

The 2022 international workshop on the high energy Circular
Electron-Positron Collider

**Principle of maximum conformality and
its application to the determination of
QCD coupling**

Jian-Ming Shen (Hunan University)

Based on arXiv: 2209.03546; 2112.06212; 1701.08245; in collaboration with
Xing-Gang Wu, Stanley J. Brodsky, Sheng-Quan Wang, Bing-Hai Qin, Jiang Yan

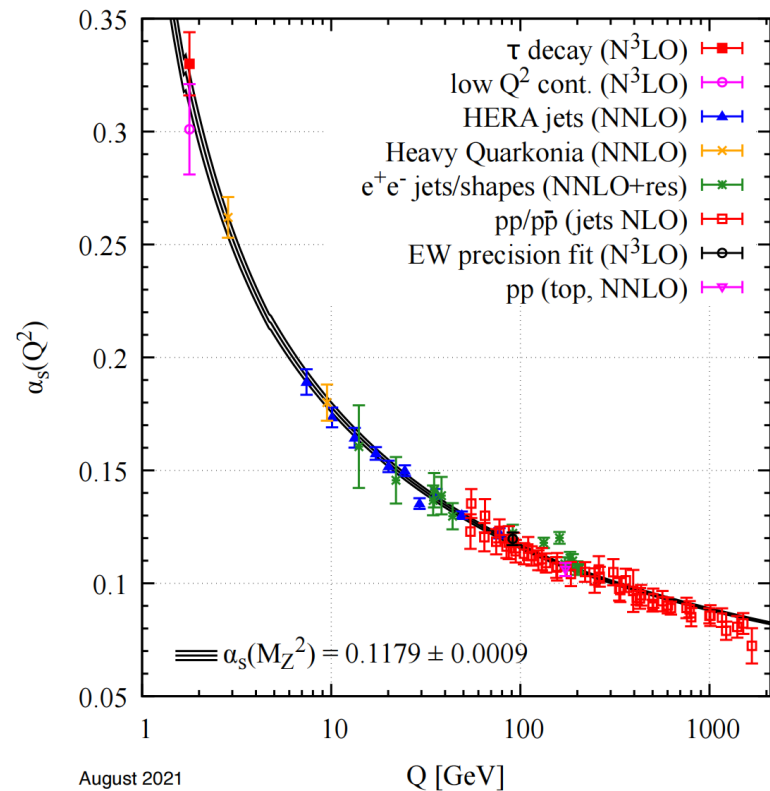
Outline

- Introduction
- Principle of maximum conformality (PMC)
- Bayesian Analysis
- Determination of α_s at e^+e^- colliders
- Conclusion

Introduction

Asymptotic freedom:
the QCD coupling between quarks and gluons becomes weak at short distances, allowing **perturbative calculations** of physical observables involving large momentum transfer.

$$\frac{d\alpha_s(\mu_r)}{d \ln \mu_r^2} = -\alpha_s^2(\mu_r) \sum_{i=0}^{\infty} \beta_i \alpha_s^i(\mu_r)$$



PDG 2022

Introduction

Renormalization in pQCD calculations

Regularization

Redefining integrals in a way to control the divergences,
i.e., $\int d^4p \rightarrow \mu^{2\epsilon} \int d^{4-2\epsilon}p$, divergences parameterized as, $1/\epsilon$

Renormalization

Redefining parameters to remove the well-defined divergences,
i.e., replacing the bare gauge coupling as, $\alpha_0 = \mu^{2\epsilon} Z_{\alpha_S} \alpha_S, \dots$

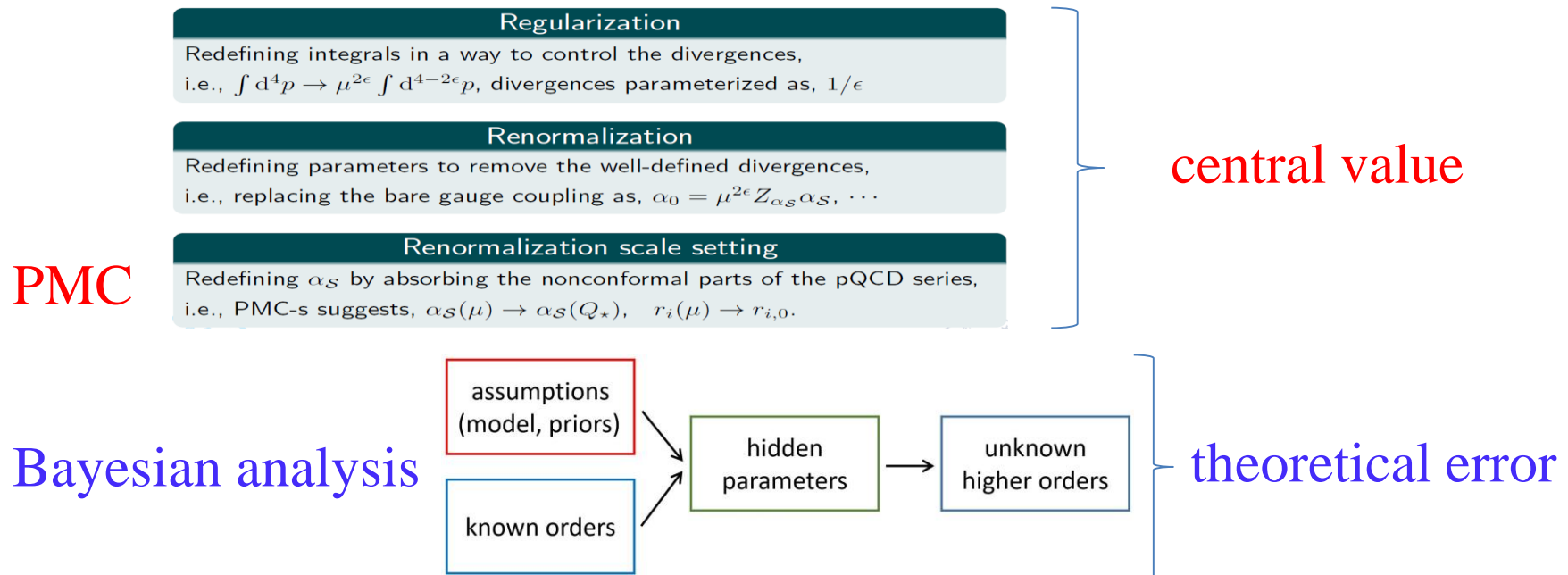
In addition to the evaluation of high-order loops, the precision and predictive power of pQCD predictions depends on two important issues:

- ✓ how to achieve a reliable, convergent fixed-order series
- ✓ how to reliably estimate the contributions of unknown higher-order terms

Introduction

Our Calculation technology, arXiv: 2209.03546, 1701.08245

- Using Principle of Maximal Conformality (**PMC**) to calculating the fixed-order pQCD series ; (**central value**)
- Using **Bayesian analysis** to estimating the uncalculated higher-order contribution. (**theoretical error**)



Principle of Maximal Conformality (PMC)

MS-like scheme (R_δ scheme)

PRL 110,192001(2013)

PRD 89,014027(2014)

$$\alpha_{S,B} \mapsto \mu^{2\epsilon} \left(\frac{e^{\gamma_E + \delta}}{4\pi} \right)^\epsilon Z_{\alpha_S} \alpha_S$$

$$\mathcal{R}_0 = \overline{\text{MS}},$$

$$\mathcal{R}_{\ln 4\pi - \gamma_E} = \text{MS},$$

$$\mathcal{R}_{-2} = \text{G},$$

$$\begin{aligned} \rho_\delta(Q) = & r_1 \alpha_s(\mu)^p + [r_2 + p\beta_0 r_1 \delta] \alpha_s(\mu)^{p+1} + \left[r_3 + p\beta_1 r_1 \delta + (p+1)\beta_0 r_2 \delta + \frac{p(p+1)}{2} \beta_0^2 r_1 \delta^2 \right] \alpha_s(\mu)^{p+2} \\ & + \left[r_4 + p\beta_2 r_1 \delta + (p+1)\beta_1 r_2 \delta + (p+2)\beta_0 r_3 \delta + \frac{p(3+2p)}{2} \beta_1 \beta_0 r_1 \delta^2 + \frac{(p+1)(p+2)}{2} \beta_0^2 r_2 \delta^2 \right. \\ & \left. + \frac{p(p+1)(p+2)}{3!} \beta_0^3 r_1 \delta^3 \right] \alpha_s(\mu)^{p+3} + \dots, \end{aligned}$$

$$\frac{\partial \rho_\delta}{\partial \delta} = -\beta(\alpha_s) \frac{\partial \rho_\delta}{\partial \alpha_s} \Rightarrow \text{If } \beta = 0, \quad \text{then } \frac{\partial \rho_\delta}{\partial \delta} = 0$$

$$\begin{aligned} \rho(Q) = & r_{1,0} \alpha_s(\mu)^p + [r_{2,0} + p\beta_0 r_{2,1}] \alpha_s(\mu)^{p+1} + \left[r_{3,0} + p\beta_1 r_{2,1} + (p+1)\beta_0 r_{3,1} + \frac{p(p+1)}{2} \beta_0^2 r_{3,2} \right] \alpha_s(\mu)^{p+2} \\ & + \left[r_{4,0} + p\beta_2 r_{2,1} + (p+1)\beta_1 r_{3,1} + \frac{p(3+2p)}{2} \beta_1 \beta_0 r_{3,2} + (p+2)\beta_0 r_{4,1} + \frac{(p+1)(p+2)}{2} \beta_0^2 r_{4,2} \right. \\ & \left. + \frac{p(p+1)(p+2)}{3!} \beta_0^3 r_{4,3} \right] \alpha_s(\mu)^{p+3} + \dots, \end{aligned}$$

$$r_{i,j} = \sum_{k=0}^j C_j^k \hat{r}_{i-k,j-k} \ln^k \frac{\mu^2}{Q^2}, \quad \hat{r}_{i,j} = r_{i,j} |_{\mu=Q}$$

PMC single-scale-setting

$$\begin{aligned} \rho(Q) = & r_{1,0}\alpha_s(\mu)^p + [r_{2,0} + p\beta_0 r_{2,1}] \alpha_s(\mu)^{p+1} + \left[r_{3,0} + p\beta_1 r_{2,1} + (p+1)\beta_0 r_{3,1} + \frac{p(p+1)}{2} \beta_0^2 r_{3,2} \right] \alpha_s(\mu)^{p+2} \\ & + \left[r_{4,0} + p\beta_2 r_{2,1} + (p+1)\beta_1 r_{3,1} + \frac{p(3+2p)}{2} \beta_1 \beta_0 r_{3,2} + (p+2)\beta_0 r_{4,1} + \frac{(p+1)(p+2)}{2} \beta_0^2 r_{4,2} \right. \\ & \left. + \frac{p(p+1)(p+2)}{3!} \beta_0^3 r_{4,3} \right] \alpha_s(\mu)^{p+3} + \dots, \end{aligned}$$

All non-conformal β -terms are absorbed into the redefinition of the renormalization scale (or α_s)

PRD 95, 094006 (2017)

$$\rho(Q)|_{\text{PMCS}} = r_{1,0}\alpha_s^p(Q_*) + r_{2,0}\alpha_s^{p+1}(Q_*) + r_{3,0}\alpha_s^{p+2}(Q_*) + r_{4,0}\alpha_s^{p+3}(Q_*) + \dots$$

$$\ln \frac{Q_*^2}{Q^2} = \sum_{i=0}^{n-2} S_i \alpha_s^2(Q_*)$$

$$\begin{aligned} S_0 &= -\frac{\hat{r}_{2,1}}{\hat{r}_{1,0}}, \\ S_1 &= \frac{(p+1)(\hat{r}_{2,0}\hat{r}_{2,1} - \hat{r}_{1,0}\hat{r}_{3,1})}{p\hat{r}_{1,0}^2} + \frac{(p+1)(\hat{r}_{2,1}^2 - \hat{r}_{1,0}\hat{r}_{3,2})}{2\hat{r}_{1,0}^2} \beta_0, \\ S_2 &= \frac{(p+1)^2(\hat{r}_{1,0}\hat{r}_{2,0}\hat{r}_{3,1} - \hat{r}_{2,0}^2\hat{r}_{2,1}) + p(p+2)(\hat{r}_{1,0}\hat{r}_{2,1}\hat{r}_{3,0} - \hat{r}_{1,0}^2\hat{r}_{4,1})}{p^2\hat{r}_{1,0}^3} \\ &+ \frac{(p+1)^2(\hat{r}_{1,0}\hat{r}_{2,0}\hat{r}_{3,2} - \hat{r}_{2,0}\hat{r}_{2,1}^2) + (p+1)(p+2)(2\hat{r}_{1,0}\hat{r}_{2,1}\hat{r}_{3,1} - \hat{r}_{2,0}\hat{r}_{2,1}^2 - \hat{r}_{1,0}^2\hat{r}_{4,2})}{2p\hat{r}_{1,0}^3} \beta_0 \\ &+ \frac{(p+1)(p+2)(3\hat{r}_{1,0}\hat{r}_{2,1}\hat{r}_{3,2} - 2\hat{r}_{2,1}^3 - \hat{r}_{1,0}^2\hat{r}_{4,3})}{6\hat{r}_{1,0}^3} \beta_0^2 + \frac{(p+2)(\hat{r}_{2,1}^2 - \hat{r}_{1,0}\hat{r}_{3,2})}{2\hat{r}_{1,0}^2} \beta_1. \end{aligned}$$

Bayesian analysis

$$\rho_k = \sum_{i=l}^k c_i \alpha_s^i \implies \delta_{k+1} = c_{k+1} \alpha_s^{k+1}$$

To obtain a probability density function (p.d.f.) for unknown c_{k+1}

Basic assumptions (JHEP 09, 039 (2011)) :

all coefficients are finite and bounded by a common number,

$$|c_i| < \bar{c}, \quad (\bar{c} > 0) \quad \forall i$$

- The order of magnitude of \bar{c} is equally probable for all values.

$$g_0(\bar{c}) = \frac{1}{2|\ln \epsilon|} \frac{1}{\bar{c}} \theta\left(\frac{1}{\epsilon} - \bar{c}\right) \theta(\bar{c} - \epsilon),$$

taking the limit $\epsilon \rightarrow 0$ for the final result.

- The conditional p.d.f. $h_0(c_i|\bar{c})$ is assumed as a uniform distribution

$$h_0(c_i|\bar{c}) = \frac{1}{2\bar{c}} \theta(\bar{c} - |c_i|), \quad \forall i,$$

- All the coefficients $c_i (i = l, l + 1, \dots)$ are mutually independent

$$h(c_j, c_k|\bar{c}) = h_0(c_j|\bar{c})h_0(c_k|\bar{c}), \quad \forall j, k, j \neq k.$$

Bayesian analysis: posterior distribution

The conditional p.d.f. $f_c(c_n | c_l, c_{l+1}, \dots, c_k), (n > k)$

$$f_c(c_n | c_l, \dots, c_k) = \int h_0(c_n | \bar{c}) f_{\bar{c}}(\bar{c} | c_l, \dots, c_k) d\bar{c}, \quad \text{arXiv: 2209.03546}$$

$$f_{\bar{c}}(\bar{c} | c_l, \dots, c_k) = \frac{h(c_l, \dots, c_k | \bar{c}) g_0(\bar{c})}{\int h(c_l, \dots, c_k | \bar{c}) g_0(\bar{c}) d\bar{c}},$$

$$f_c(c_n | c_l, \dots, c_k) = \lim_{\epsilon \rightarrow 0} \frac{\int h_0(c_n | \bar{c}) \prod_{i=l}^k h_0(c_i | \bar{c}) g_0(\bar{c}) d\bar{c}}{\int \prod_{i=l}^k h_0(c_i | \bar{c}) g_0(\bar{c}) d\bar{c}}$$

$$= \frac{1}{2} \frac{n_c}{n_c + 1} \frac{\bar{c}_{(k)}^{n_c}}{(\max\{|c_n|, \bar{c}_{(k)}\})^{n_c + 1}}$$

$$= \begin{cases} \frac{n_c}{2(n_c + 1) \bar{c}_{(k)}}, & |c_n| \leq \bar{c}_{(k)} \\ \frac{n_c \bar{c}_{(k)}^{n_c}}{2(n_c + 1) |c_n|^{n_c + 1}}, & |c_n| > \bar{c}_{(k)} \end{cases}.$$

$$f_\delta(\delta_{k+1} | c_l, \dots, c_k) = \left(\frac{n_c}{n_c + 1} \right) \frac{1}{2\alpha_s^{k+1} \bar{c}_{(k)}} \begin{cases} 1, & |\delta_{k+1}| \leq \alpha_s^{k+1} \bar{c}_{(k)} \\ \left(\frac{\alpha_s^{k+1} \bar{c}_{(k)}}{|\delta_{k+1}|} \right)^{n_c + 1}, & |\delta_{k+1}| > \alpha_s^{k+1} \bar{c}_{(k)} \end{cases},$$

Determination of α_s at e^+e^- colliders

Example :
$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons}, s)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-, s)} = R_{\text{EW}}(s) (1 + \delta_{\text{QCD}}(s))$$

PRL 101, 012002 (2008); PRL 104, 132004 (2010);
PLB 714, 62 (2012); JHEP07,017(2012)

The pQCD predictions of $R(s)$

$$D(Q^2) = -12\pi^2 Q^2 \frac{d}{dQ^2} \Pi(Q^2) = \int_0^\infty \frac{Q^2 R(s) ds}{(s + Q^2)^2},$$

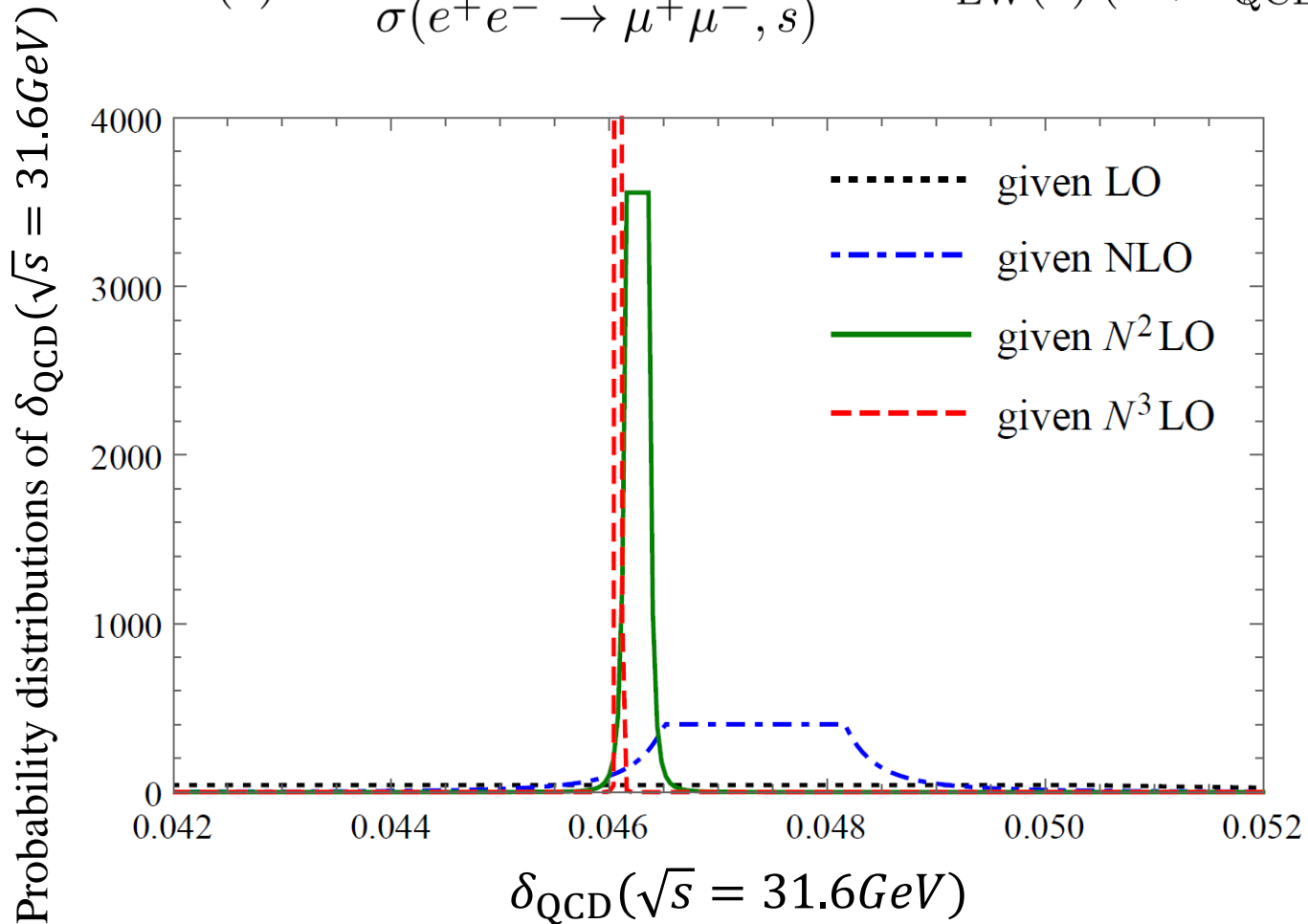
$$R(s) = D(s) - \pi^2 \beta_0^2 \left\{ \frac{d_1}{3} a_s^3 + \left(d_2 + \frac{5}{6\beta_0} d_1 \beta_1 \right) a_s^4 \right\} + \dots$$

We define the perturbative expansions

$$D(Q^2) = \sum_{i=0}^{\infty} d_i a_s^i(Q^2), \quad R(s) = \sum_{i=0}^{\infty} r_i a_s^i(s),$$

Determination of α_s at e^+e^- colliders

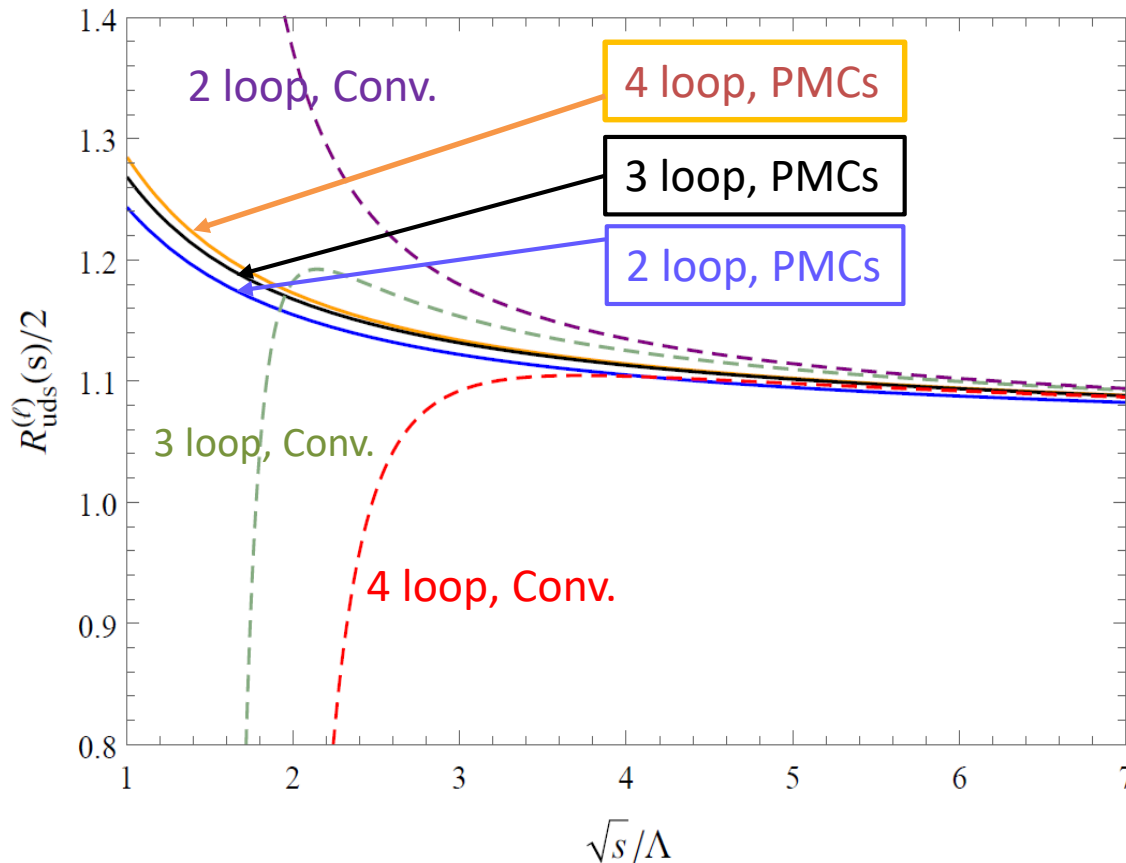
$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons}, s)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-, s)} = R_{\text{EW}}(s) (1 + \delta_{\text{QCD}}(s))$$



Determination of α_s at e^+e^- colliders

The pQCD predictions of $R_{uds}(s) \ln \frac{Q_*^2}{Q^2} = 0.2249 + 1.5427\alpha_s(Q_*^2) + 2.4933\alpha_s^2(Q_*^2)$

$$\frac{1}{2}R_{uds}(s)|_{\text{PMCs}} = 1 + \frac{\alpha_s(Q_*^2)}{\pi} + 0.2174\alpha_s^2(Q_*^2) + 0.1108\alpha_s^3(Q_*^2) + 0.0698\alpha_s^4(Q_*^2),$$



the effects due to continuation of the spacelike perturbative results into the timelike domain are only partially accounted for in conventional scale setting (Conv.)

After using PMCs approach :
Scale-fixed prediction with improved convergence

Determination of α_s at e^+e^- colliders

Determination of α_s ① solving equation ② least squares (LS)

solving the equation

$$R_{uds}^{(\text{data})} = R_{uds}^{(\text{theo.})}(\Lambda)$$

TABLE I. The values of the QCD coupling and the QCD scale parameter $\Lambda^{(n_f=3)}$ at various loop levels ($\ell = 2, 3, 4$) extracted from a single measurement $R_{uds}(\sqrt{s_0} = 2.444) = 2.175$ [34] using the PMCs and conventional (conv.) scale setting, respectively.

	$\ell = 1$	$\ell = 1$	$\ell = 2$	$\ell = 3$	$\ell = 4$
$\Lambda_{(\ell)}^{(n_f=3)} _{\text{PMCs}} \text{ (MeV)}$	193	406	345	342	
$\alpha_s^{(\ell)}(\sqrt{s_0}) _{\text{PMCs}}$	0.2749	0.2794	0.2717	0.2718	
$\Lambda_{(\ell)}^{(n_f=3)} _{\text{conv.}} \text{ (MeV)}$	193	303	308	357	
$\alpha_s^{(\ell)}(\sqrt{s_0}) _{\text{conv.}}$	0.2749	0.2438	0.2580	0.2774	

Determination of α_s at e^+e^- colliders

$$\chi^2(\Lambda) = (\mathbf{e} - \mathbf{t})^T V^{-1} (\mathbf{e} - \mathbf{t}) \quad \text{Error: } \chi^2(\Lambda) = \chi_{\min}^2 + 1$$

LS fitting

$$\mathbf{e} = (R_{\text{uds}}^{\text{exp.}}(Q_1), R_{\text{uds}}^{\text{exp.}}(Q_2), \dots, R_{\text{uds}}^{\text{exp.}}(Q_N))$$

$$\mathbf{t} = (R_{\text{uds}}^{\text{the.}}(Q_1), R_{\text{uds}}^{\text{the.}}(Q_2), \dots, R_{\text{uds}}^{\text{the.}}(Q_N))$$

TABLE III. The fitted Λ (in unit of MeV) from R_{uds} data below the $D\bar{D}$ threshold measured by KEDR collaboration [34].

$R_{\text{uds}}^{\text{the.}}$	$\chi_{\min}^2/n_{\text{d.o.f.}}$	$\Lambda^{(n_f=3)}$	$\alpha_s(M_Z^2)$
$R_{\text{uds}}^{(2)} _{\text{PMCs}}$	10.5935/21	$478_{-218-24}^{+244+28}$	$0.1252_{-0.0137-0.0013}^{+0.0118+0.0015}$
$R_{\text{uds}}^{(3)} _{\text{PMCs}}$	10.5079/21	416_{-192-6}^{+217+6}	$0.1235_{-0.0136-0.0010}^{+0.0121+0.0004}$
$R_{\text{uds}}^{(4)} _{\text{PMCs}}$	10.5706/21	406_{-186-2}^{+207+2}	$0.1227_{-0.0132-0.0002}^{+0.0117+0.0002}$

the 1st and 2nd errors are the experimental and theoretical uncertainties

$$\alpha_s(M_Z^2) \Big|_{\text{KEDR}} = 0.1227_{-0.0132}^{+0.0117} (\text{expe.}) \pm 0.0002 (\text{theo.})$$

Event shape observables at CEPC

We also calculated the classical event shapes at the CEPC at 91.2, 160 and 240 GeV.

arXiv: 2112.06212

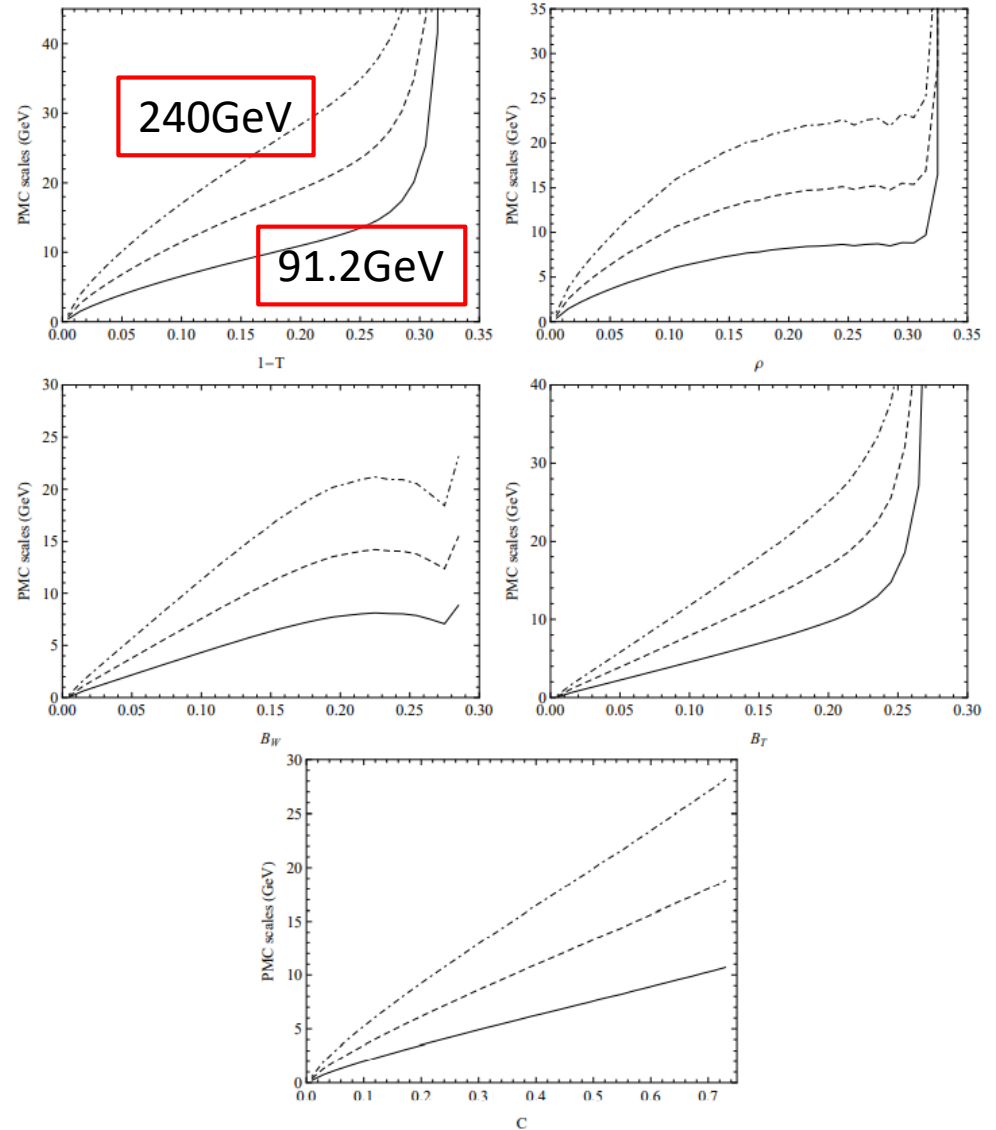
$$\frac{1}{\sigma_h} \frac{d\sigma}{d\tau} = \bar{A}(\tau) a_s(Q) + \bar{B}(\tau) a_s^2(Q) + \mathcal{O}(a_s^3).$$

$$\frac{1}{\sigma_h} \frac{d\sigma}{d\tau} = \bar{A}(\tau) a_s(\mu_r^{\text{pmc}}) + \bar{B}(\tau, \mu_r)_{\text{con}} a_s^2(\mu_r^{\text{pmc}}) + \mathcal{O}(a_s^3)$$

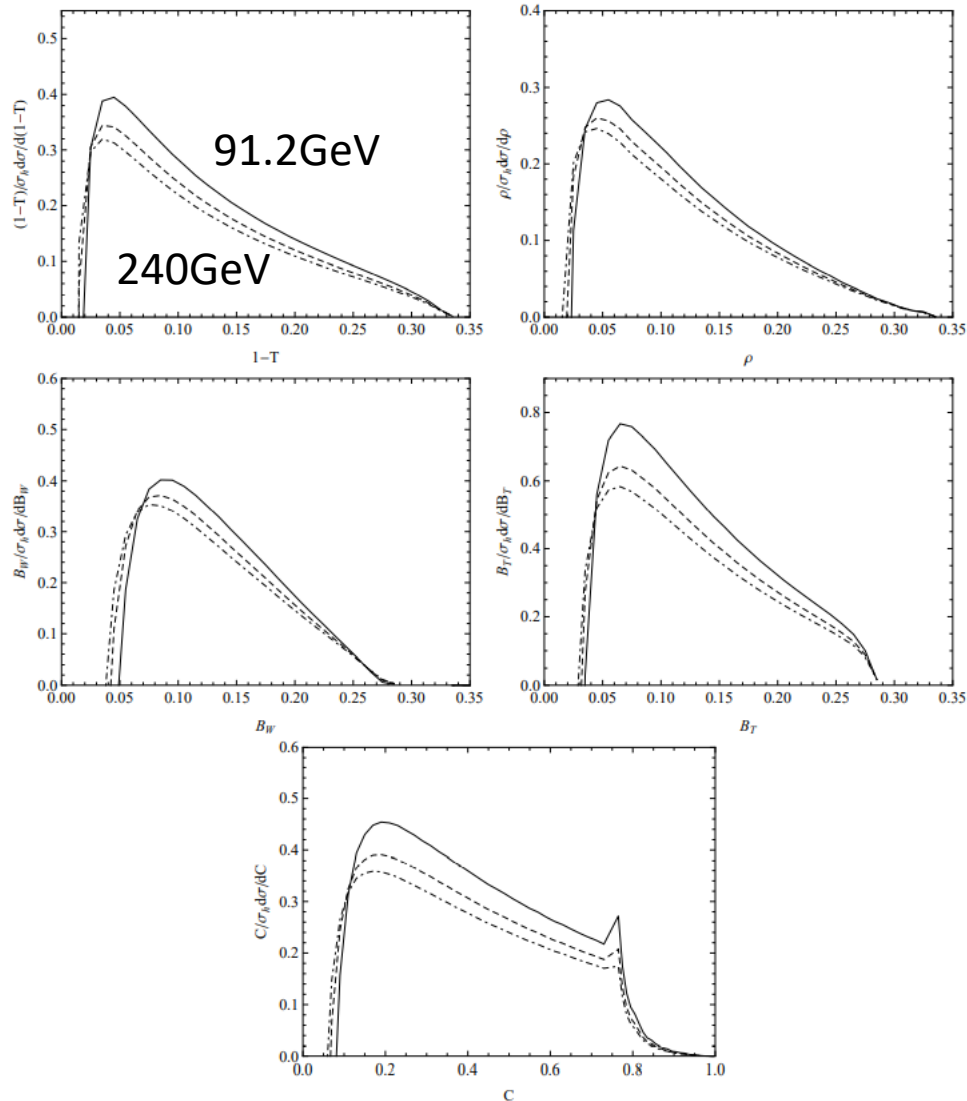
$$\bar{B}(\tau, \mu_r)_{\text{con}} = \frac{11C_A}{4T_R} \bar{B}(\tau, \mu_r)_{n_f} + \bar{B}(\tau, \mu_r)_{\text{in}},$$

$$\mu_r^{\text{pmc}} = \mu_r \exp \left[\frac{3\bar{B}(\tau, \mu_r)_{n_f}}{4T_R \bar{A}(\tau)} + \mathcal{O}(a_s) \right].$$

PMC scales for event shape observables at CEPC



Event shape observables at CEPC



Our precise and scale-independent predictions for event shape observables, provides a novel way to verify the running of $\alpha_s(Q^2)$ at CEPC.

More detail See
Wang's Talk on 10.27

Conclusion

- The resulting PMC series is a renormalon-free and scale-invariant conformal series; it thus achieves precise fixed-order pQCD predictions and provides a reliable basis for predicting unknown higher-order (UHO) contributions.
- The Bayesian analysis provides a compelling approach for estimating the UHOs from the known fixed-order series by adopting a probabilistic interpretation.
- Using the PMC, in combination with the Bayesian analysis, one can consistently achieve high degree of reliability predictions for fixed-order pQCD calculation.
- The combination of PMC and Bayesian analysis provides a reliable theoretical basis for the precise determination of the QCD running coupling.
- Future precise R_{uds} measurements at Tau-Charm Facility will provide a **reliable and independent determination of α_s** .
- Our precise and scale-independent predictions for event shape observables call for the precise measurements at CEPC.

Thank you for your attention !