

Light nuclei production in heavy-ion collisions

Elena Bratkovskaya

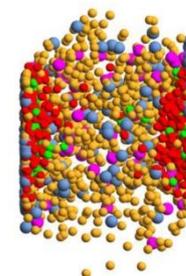
(GSI, Darmstadt & Uni. Frankfurt)

&

**Susanne Glaessel, Gabriele Coci, Viktor Kireyeu, Joerg Aichelin,
Vadym Voronyuk, Christoph Blume, Vadim Kolesnikov, Michael
Winn, Jan Steinheimer, Marcus Bleicher**

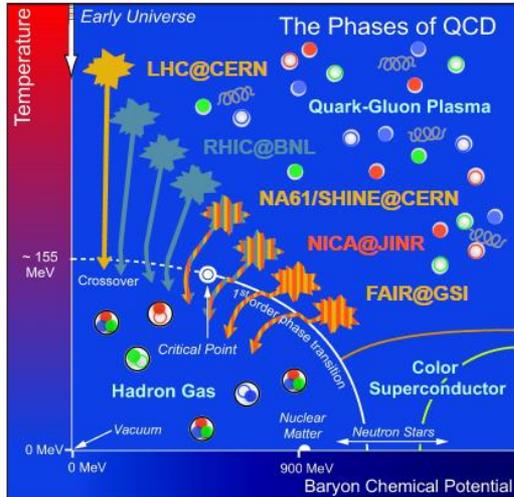


On-line seminar series V
on “RHIC Beam Energy Scan” Fall 2022,
25 October 2022



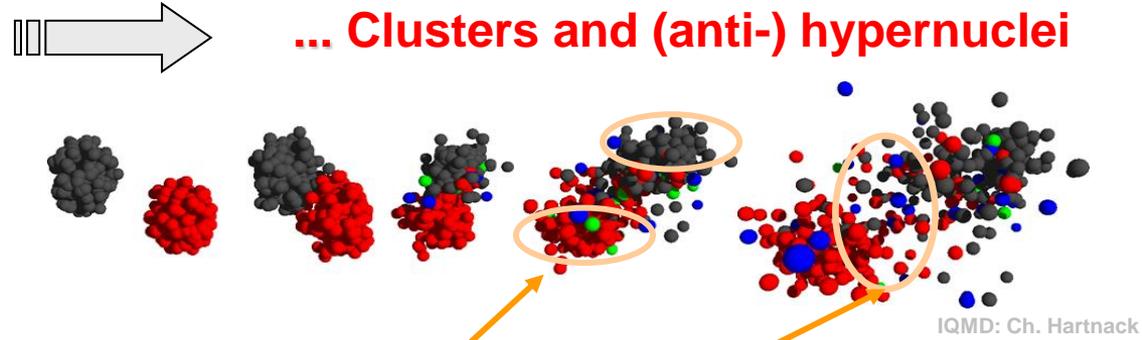
The ,holy grail' of heavy-ion physics:

The phase diagram of QCD



Experimental observables:

... Clusters and (anti-) hypernuclei



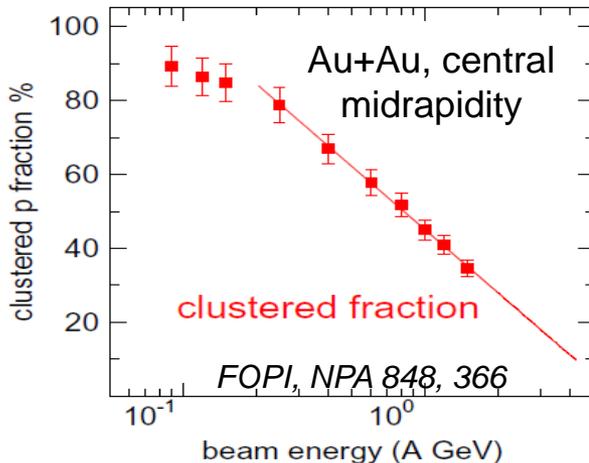
- projectile/target spectators → heavy cluster formation
- midrapidity → light clusters

! Hyperons are created in participant zone

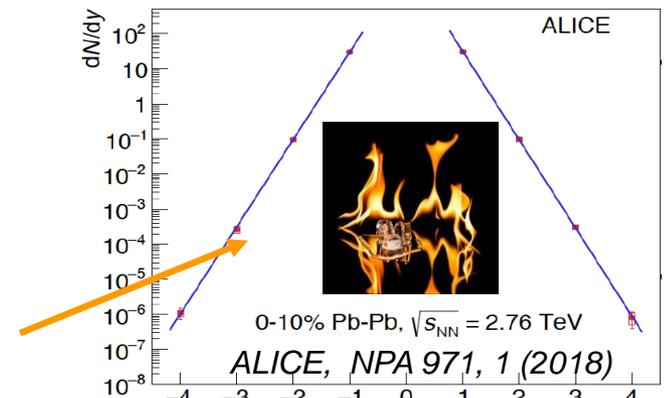
(Anti-) hypernuclei production:

- at mid-rapidity by coalescence of Λ with nucleons during expansion
- at projectile/target rapidity by rescattering/absorption of Λ by spectators

- Clusters are very abundant at low energy



High energy HIC:
,Ice in a fire' puzzle:
how the weakly bound objects can be formed and survive in a hot environment ?!



Modeling of cluster and hypernuclei formation

Existing models for cluster formation:

□ statistical model:

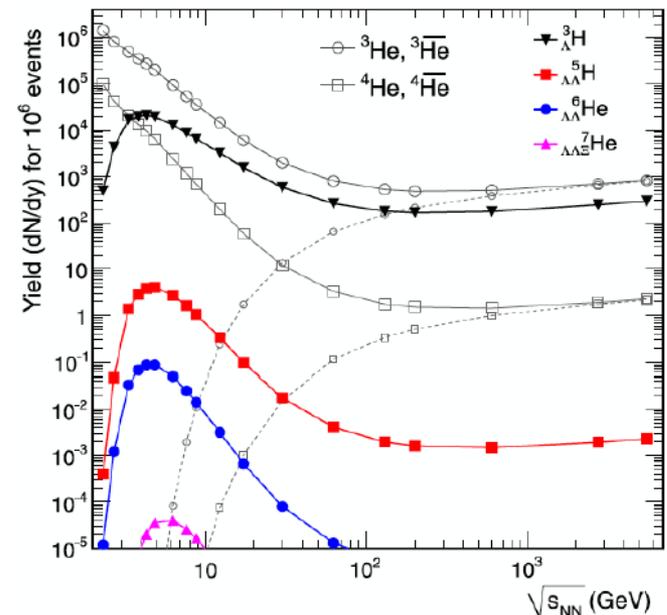
- assumption of thermal equilibrium

□ coalescence model:

- determination of clusters at a freeze-out time by coalescence radii in coordinate and momentum space

➔ don't provide information on the dynamical origin of cluster formation

A. Andronic et al., PLB 697, 203 (2011)



In order to understand the **microscopic origin** of cluster formation one needs a realistic model for the **dynamical time evolution** of the HIC

➔ **transport models:**

dynamical modeling of cluster formation based on interactions:

- via potential interaction - **potential mechanism**

-- by scattering - **kinetic mechanism**



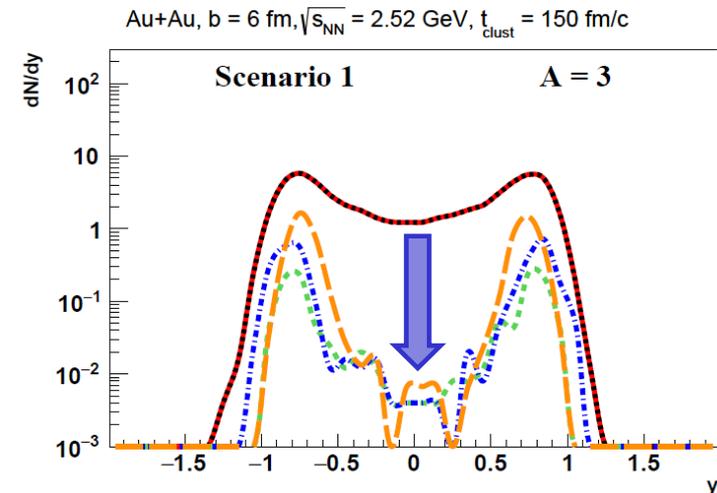
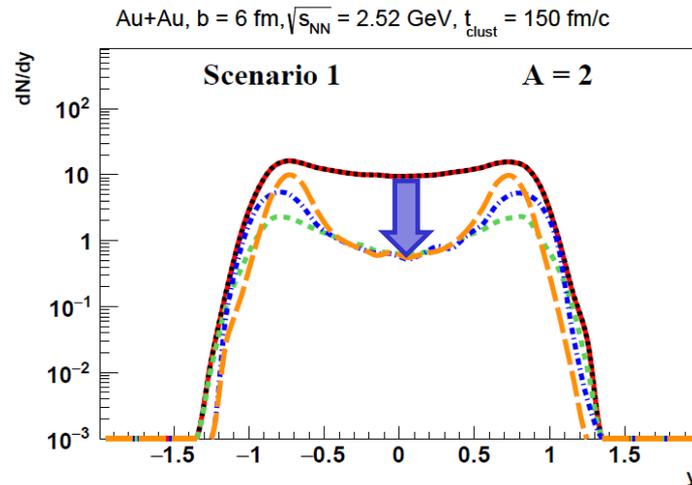
Cluster formation: QMD vs MF

- Cluster formation is sensitive to **nucleon dynamics**
- One needs to **keep the nucleon correlations (initial and final)** by realistic **nucleon-nucleon interactions** in transport models:
 - **QMD** (quantum-molecular dynamics) – allows to **keep correlations**
 - **MF** (mean-field based models) – correlations are smeared out
 - **Cascade** – no correlations by potential interactions

Example: Cluster stability over time:

V. Kireyeu, Phys.Rev.C 103 (2021) 5

- QMD:**
- PHQMD + psMST
- MF:**
- PHSD + psMST
- Cascade:**
- SMASH + psMST
 - UrQMD + psMST



→ **n-body QMD dynamics** for the description of cluster production



PHQMD: a unified n-body microscopic transport approach for the description of heavy-ion collisions and **dynamical cluster formation** from low to ultra-relativistic energies

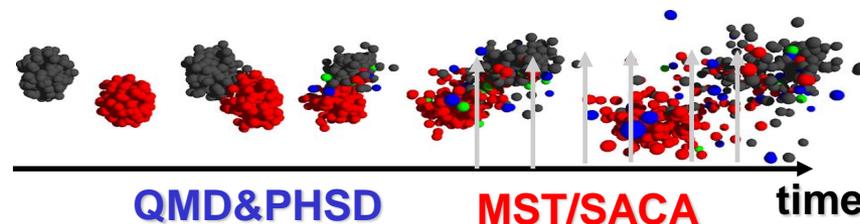
Realization: combined model **PHQMD = (PHSD & QMD) & (MST/SACA)**

Parton-Hadron-Quantum-Molecular Dynamics

Initialization → propagation of baryons:
QMD (Quantum-Molecular Dynamics)

Propagation of partons (quarks, gluons) and mesons
+ **collision integral** = interactions of hadrons and partons (QGP)
from **PHSD (Parton-Hadron-String Dynamics)**

Cluster recognition:
SACA (Simulated Annealing Clusterization Algorithm)
or **MST (Minimum Spanning Tree)**





PHQMD Collision Integral → from Parton-Hadron-String-Dynamics

PHSD is a non-equilibrium microscopic transport approach for the description of strongly-interacting hadronic and partonic matter created in heavy-ion collisions

Dynamics: based on the solution of **generalized off-shell transport equations** derived from Kadanoff-Baym many-body theory



Initialization of A-nuclei + QMD propagation of baryons

PHSD collision integral → *PHQMD*

□ **Initial A+A collisions :**

$N+N \rightarrow$ **string formation** \rightarrow decay to pre-hadrons + leading hadrons

□ **Formation of QGP stage** if local $\epsilon > \epsilon_{\text{critical}}$:

dissolution of **pre-hadrons** \rightarrow partons

□ **Partonic phase - QGP:**

QGP is described by the **Dynamical QuasiParticle Model (DQPM)** matched to reproduce **lattice QCD EoS** for finite T and μ_B (crossover)

- **Degrees-of-freedom:** strongly interacting quasiparticles: **massive quarks and gluons** (g, q, q_{bar}) with sizeable collisional widths in a self-generated mean-field potential

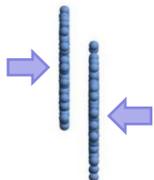
- **Interactions:** (quasi-)elastic and inelastic collisions of partons

□ **Hadronization** to colorless **off-shell mesons and baryons:**

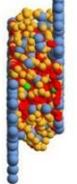
Strict 4-momentum and quantum number conservation

□ **Hadronic phase:** hadron-hadron interactions – **off-shell HSD**

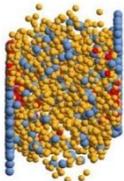
Initial A+A collision



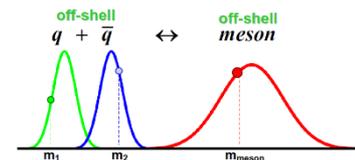
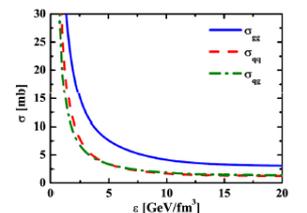
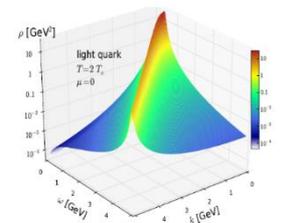
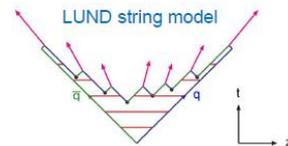
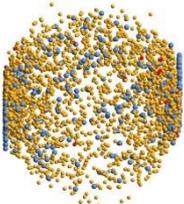
Partonic phase



Hadronization



Hadronic phase



QMD propagation

□ **Generalized Ritz variational principle:** $\delta \int_{t_1}^{t_2} dt \langle \psi(t) | i \frac{d}{dt} - H | \psi(t) \rangle = 0.$

Assume that $\psi(t) = \prod_{i=1}^N \psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t)$ for N particles (neglecting antisymmetrization !)

Ansatz: trial wave function for one particle “i” :

Gaussian with width L centered at r_{i0}, p_{i0}

[Aichelin Phys. Rept. 202 (1991)]

$$\psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t) = C e^{-\frac{1}{4L} \left(\mathbf{r}_i - \mathbf{r}_{i0}(t) - \frac{\mathbf{p}_{i0}(t)}{m} t \right)^2} \cdot e^{i \mathbf{p}_{i0}(t) (\mathbf{r}_i - \mathbf{r}_{i0}(t))} \cdot e^{-i \frac{\mathbf{p}_{i0}^2(t)}{2m} t}$$

$L = 4.33 \text{ fm}^2$

□ **Equations-of-motion (EoM)** for **Gaussian centers** in coordinate and momentum space:

$$r_{i0} \dot{=} \frac{\partial \langle H \rangle}{\partial p_{i0}} \quad p_{i0} \dot{=} - \frac{\partial \langle H \rangle}{\partial r_{i0}}$$

Hamiltonian: $H = \sum_i H_i = \sum_i (T_i + V_i) = \sum_i (T_i + \sum_{j \neq i} V_{i,j})$

$$V_{i,j} = V(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_{i0}, \mathbf{r}_{j0}, t) = V_{\text{Skyrme}} + V_{\text{Coul}}$$

QMD interaction potential and EoS

The expectation value of the Hamiltonian:

$$\langle H \rangle = \langle T \rangle + \langle V \rangle = \sum_i (\sqrt{p_{i0}^2 + m^2} - m) + \sum_i \langle V_{Skyrme}(\mathbf{r}_{i0}, t) \rangle$$

□ **Skyrme potential ('static') *** :

$$\langle V_{Skyrme}(\mathbf{r}_{i0}, t) \rangle = \alpha \left(\frac{\rho_{int}(\mathbf{r}_{i0}, t)}{\rho_0} \right) + \beta \left(\frac{\rho_{int}(\mathbf{r}_{i0}, t)}{\rho_0} \right)^\gamma$$

	α (MeV)	β (MeV)	γ	K [MeV]
S	-390	320	1.14	200
H	-130	59	2.09	380

□ **modified interaction density (with relativistic extension):**

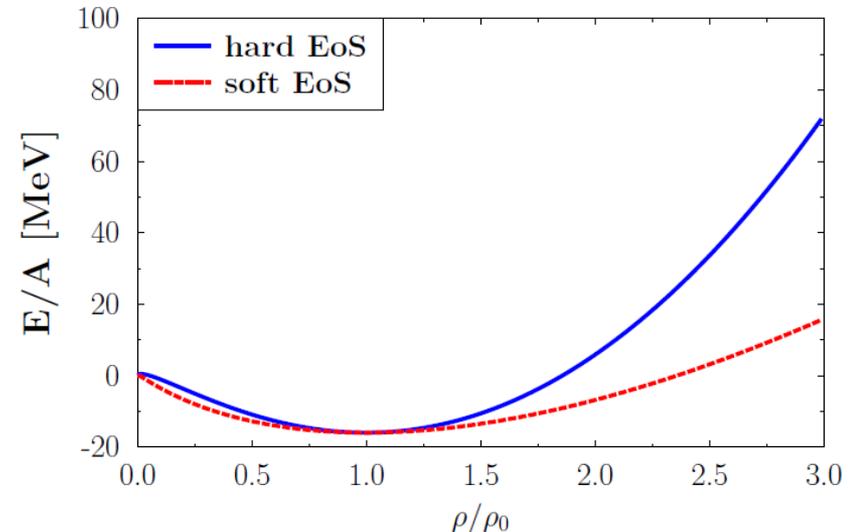
$$\rho_{int}(\mathbf{r}_{i0}, t) \rightarrow C \sum_j \left(\frac{4}{\pi L} \right)^{3/2} e^{-\frac{4}{L} (\mathbf{r}_{i0}^T(t) - \mathbf{r}_{j0}^T(t))^2} \times e^{-\frac{4\gamma_{cm}^2}{L} (\mathbf{r}_{i0}^L(t) - \mathbf{r}_{j0}^L(t))^2},$$

❖ **HIC ↔ EoS for infinite matter at rest**

○ **compression modulus K of nuclear matter:**

$$K = -V \frac{dP}{dV} = 9\rho^2 \frac{\partial^2 (E/A(\rho))}{(\partial\rho)^2} \Big|_{\rho=\rho_0}.$$

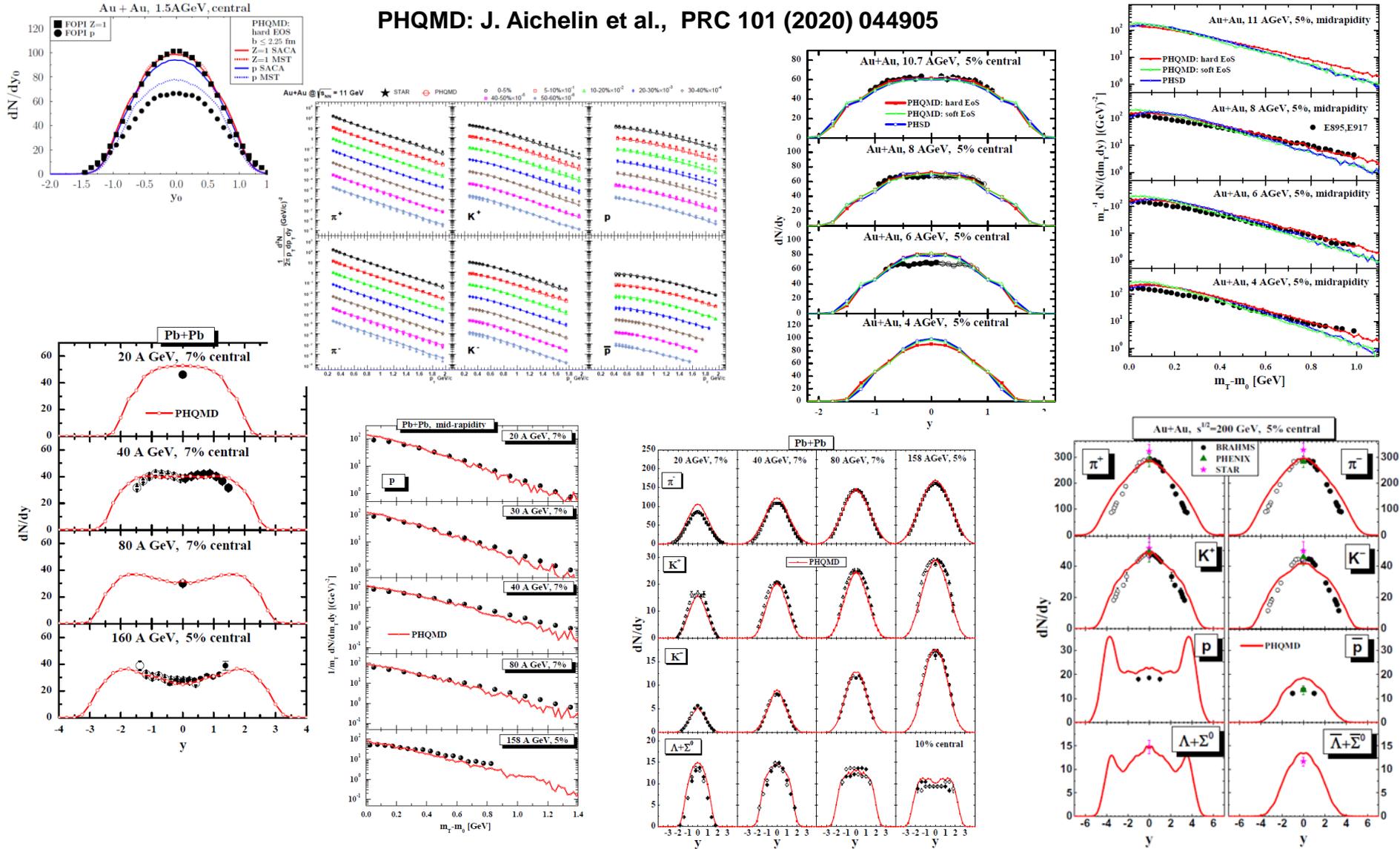
EoS for infinite matter at rest



* Work in progress: implementation of momentum-dependent potential (M. Winn)

Highlights: PHQMD ,bulk' dynamics from SIS to RHIC

PHQMD: J. Aichelin et al., PRC 101 (2020) 044905

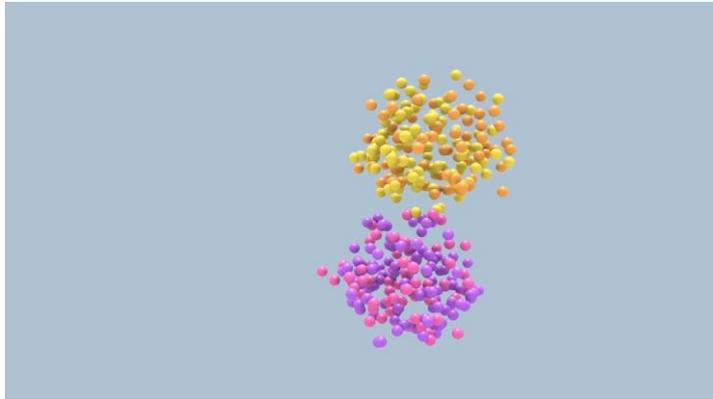


PHQMD provides a good description of hadronic 'bulk' observables from SIS to RHIC energies

I. Potential mechanism for cluster production in PHQMD: MST & SACA



Time evolution: Au+Au, $b=2$ fm, 600 AGeV



Cluster recognition: Minimum Spanning Tree (MST)

R. K. Puri, J. Aichelin, J.Comp. Phys. 162 (2000) 245-266

The **Minimum Spanning Tree (MST)** is a **cluster recognition** method applicable for the (asymptotic) **final states** where coordinate space correlations may only survive for bound states.

The MST algorithm searches for **accumulations of particles in coordinate space**:

1. Two particles are **'bound'** if their **distance in the cluster rest frame** fulfills

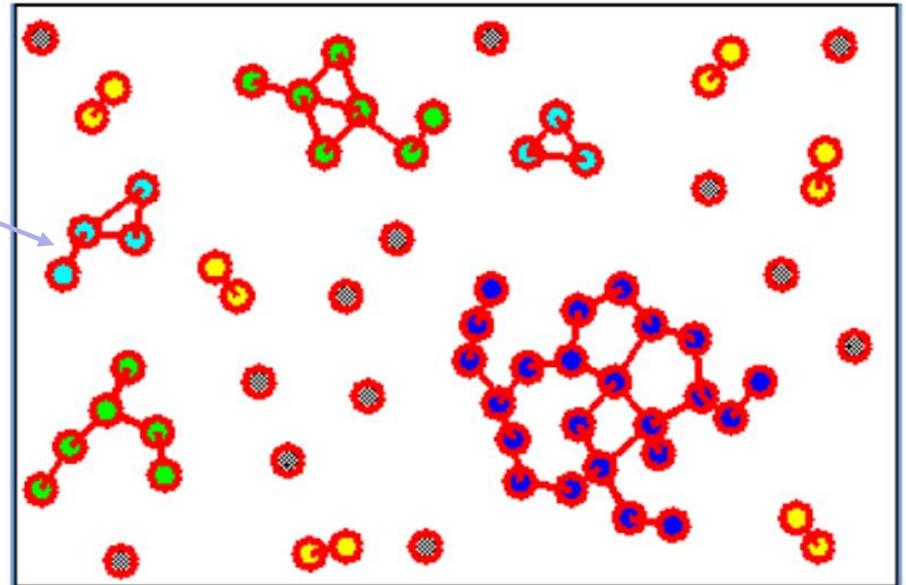
$$|\vec{r}_i - \vec{r}_j| \leq 4 \text{ fm}$$

2. Particle is **bound to a cluster** if it **binds with at least one particle** of the cluster.

* Remark:

inclusion of an additional momentum cut (coalescence) leads to small changes: particles with large relative momentum are mostly not at the same position (V. Kireyeu, Phys.Rev.C 103 (2021) 5)

- **MST + extra condition: $E_B < 0$ negative binding energy** for identified clusters



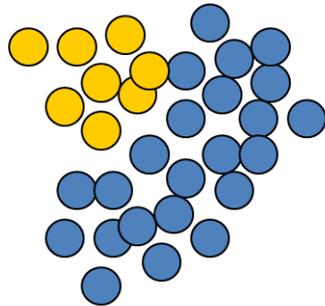
Simulated Annealing Clusterization Algorithm (SACA)

Basic ideas of clusters recognition by SACA:

Based on ideas by Dorso and Randrup
(Phys.Lett. B301 (1993) 328)

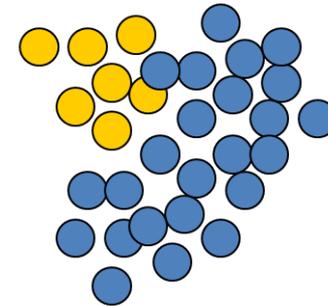
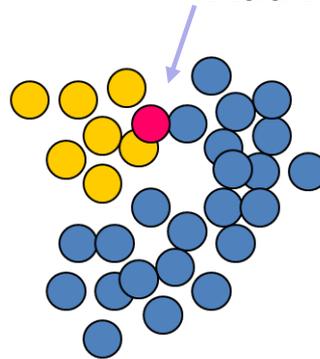
- Take the positions and momenta of all nucleons at time t
- Combine them in all possible ways into all kinds of clusters or leave them as single nucleons
- Neglect the interaction among clusters
- Choose that configuration which has the **highest binding energy**:

Take **randomly 1 nucleon**
out of a cluster



$$E = E_{kin}^1 + E_{kin}^2 + V^1 + V^2$$

Add it randomly to another cluster



$$E' = E'_{kin} + E'_{kin} + V^1 + V^2$$

If $E' < E$ take a new configuration

If $E' > E$ take the old configuration with a probability depending on $E' - E$

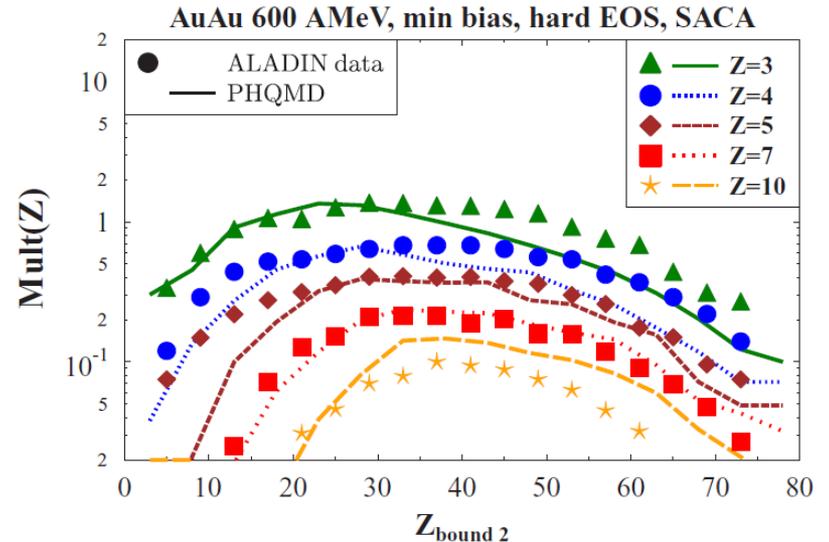
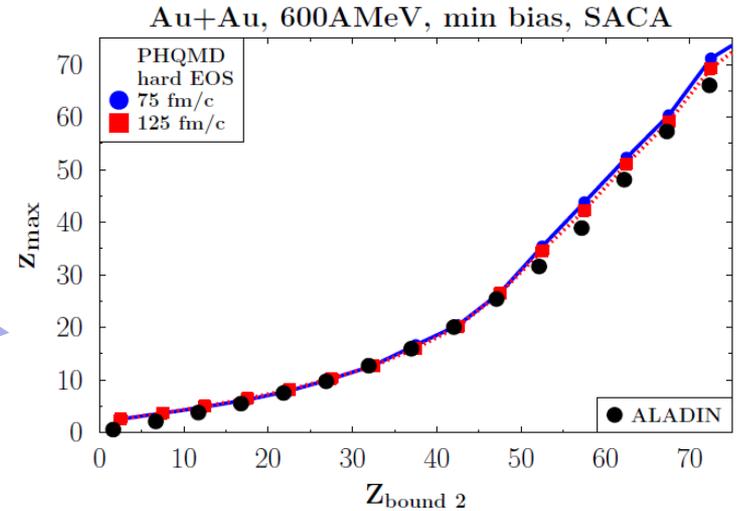
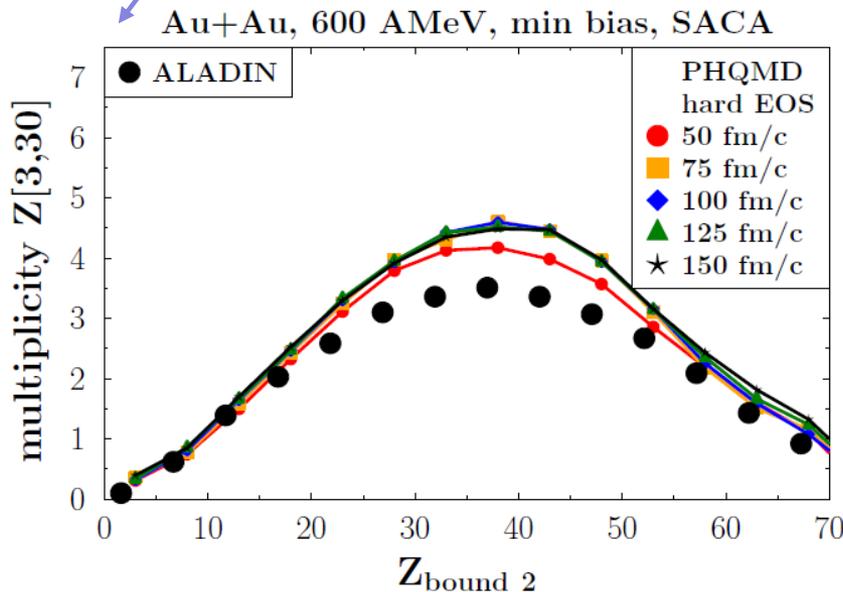
Repeat this procedure many times

➔ **Leads automatically to finding of the most bound configurations**
(realized via a Metropolis algorithm)

Heavy clusters (spectator fragments): experim. measured up to $E_{\text{beam}} = 1$ AGeV (ALADIN Collab.)

PHQMD with SACA shows an agreement with ALADIN data for very complex cluster observables as

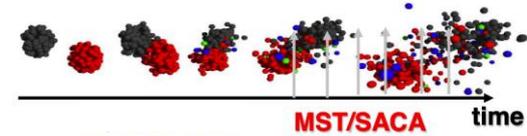
- Largest clusters (Z_{bound})
- Multiplicity (Z_{bound})
- Energy independent 'rise and fall'



$$Z_{\text{bound } 2} = \sum_i Z_i \Theta(Z_i - (1 + \epsilon)) \quad (\epsilon < 1)$$

Cluster stability in semi-classical models

Cluster stability problem in semi-classical models (as QMD):



QMD can not describe clusters as ‘quantum objects’

- the cluster **quantum ground state** has to respect a minimal average kinetic energy of the nucleons while the **semi-classical** (QMD) ground state - not!
- nucleons may still be emitted from the QMD clusters while in the corresponding quantum system this is not possible
- thus, a cluster which is “bound” at time t can **spontaneously** dissolve at $t + \Delta t$

= QMD clusters are not fully stable over time:

- the multiplicity of clusters is time dependent
- the form of the final rapidity, p_T distribution and ratio of particles do not change with time

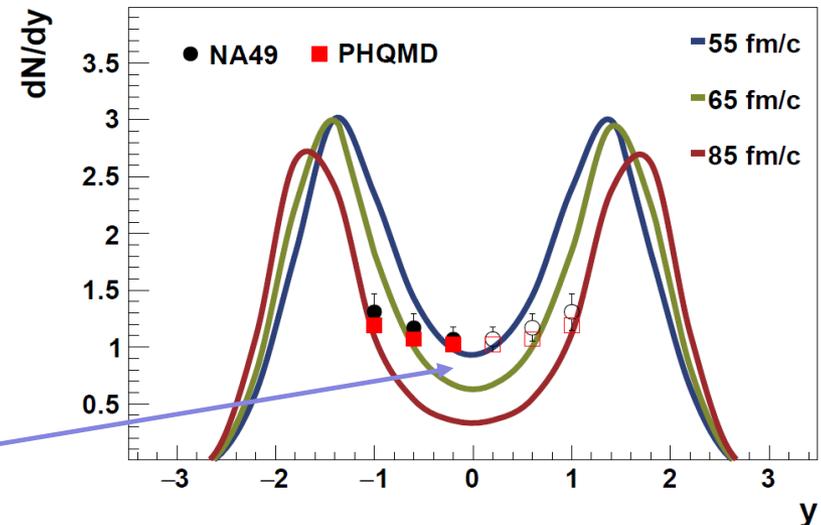
How to stabilize QMD clusters?

Scenario 1: S. Gläsel et al., PRC 105 (2022) 1

PHQMD results are taken at ‘physical time’ :

$$t = t_0 \cosh(y)$$

where t_0 is the time selected as a best description of the cluster multiplicity at $y=0$



MST with 'stabilization' procedure

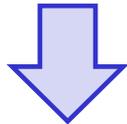
How to stabilize QMD clusters?

Scenario 2:

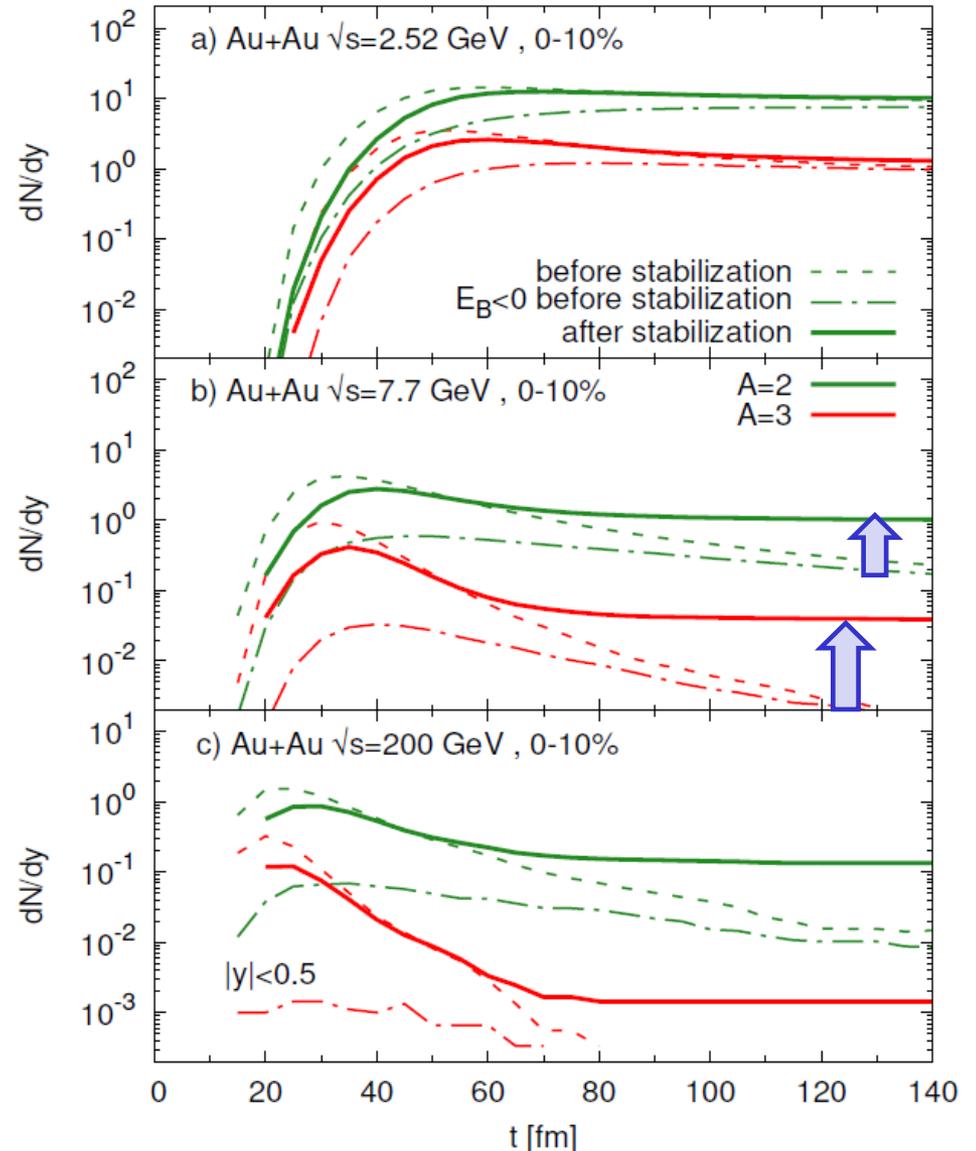
G. Coci et al., in preparation

Stabilization Procedure:

- consider asymptotic state: clusters and free nucleons
- For each nucleon in MST track the **freezeout-time** = time at which the last collision occurred
- **Recombine nucleons into clusters** with $E_B < 0$ if time of cluster disintegration is larger than nucleon freeze-out time



Allows to **recover** most of "lost" clusters

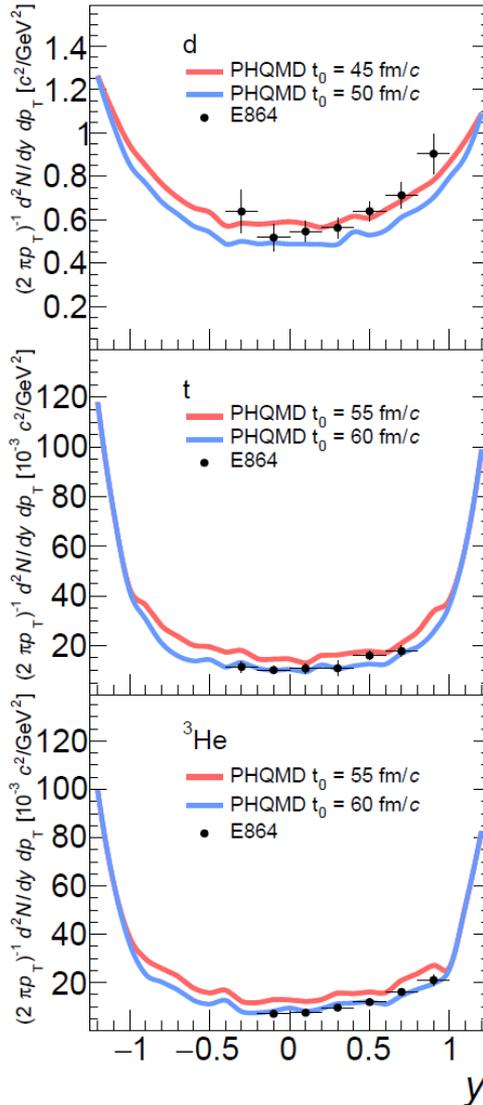


y- distributions of d, t, ^3He

Scenario 1:

p_T - distribution of deuterons

Au+Pb@10.6 AGeV

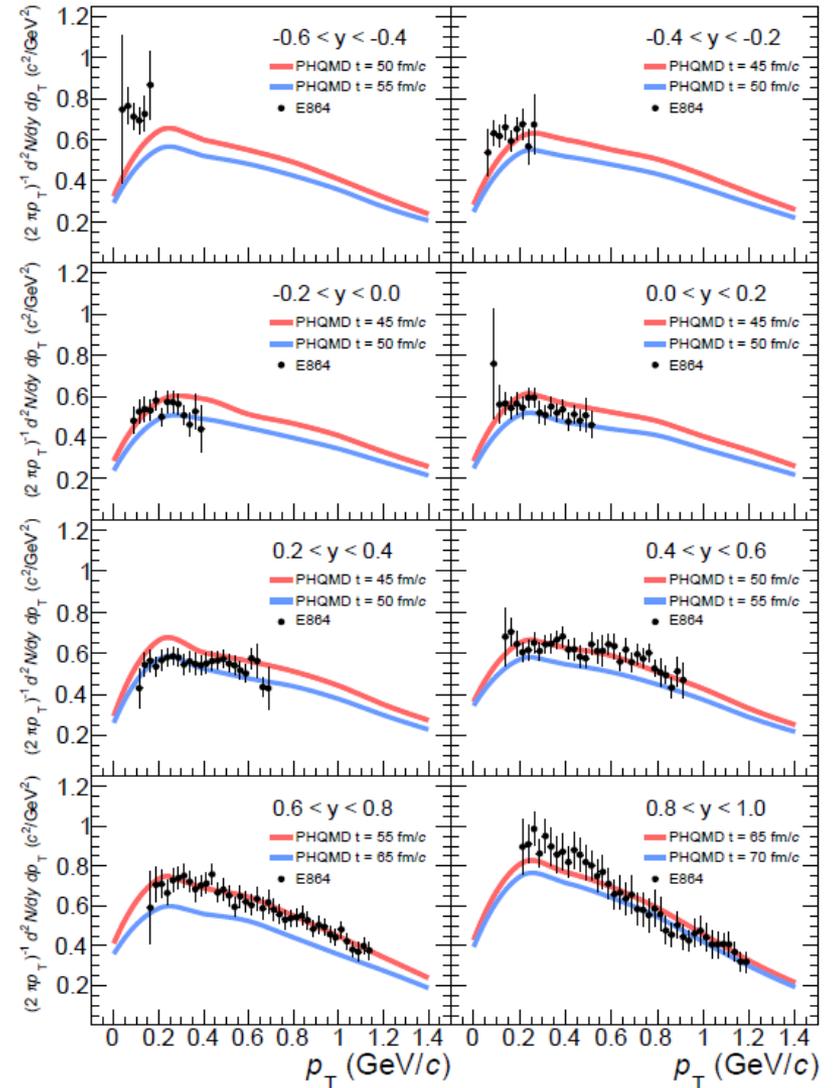


The PHQMD results for the y-distribution are taken at 'equal physical time' $t = t_0 \cosh(y)$, where t_0 is the time at $y=0$

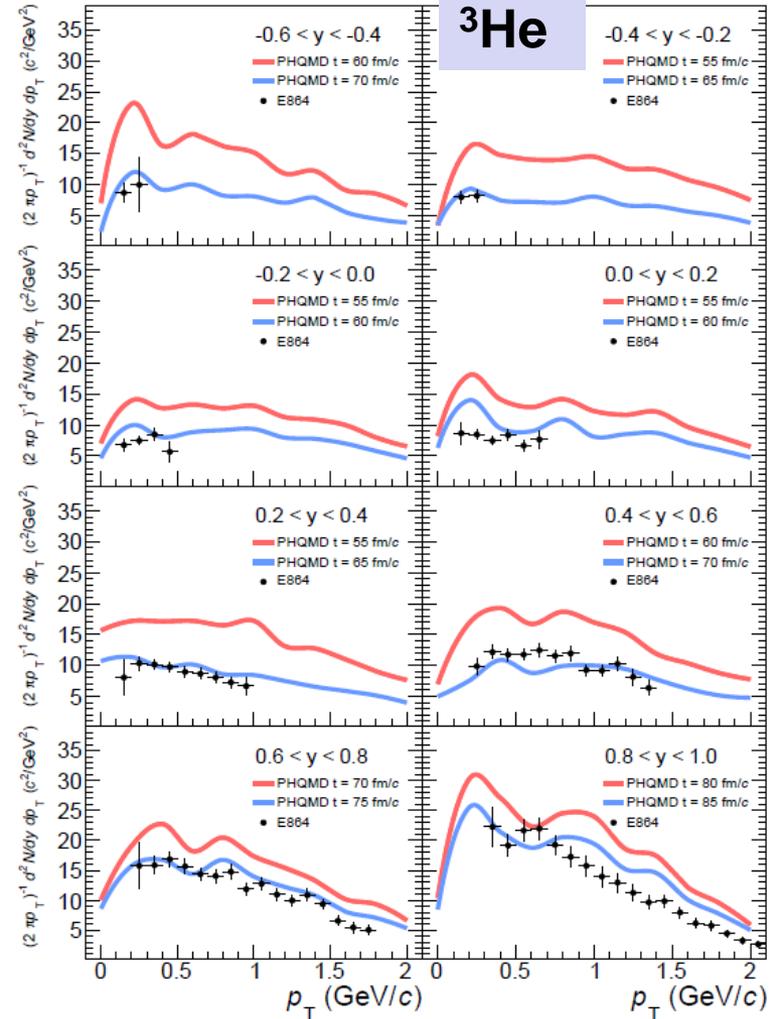
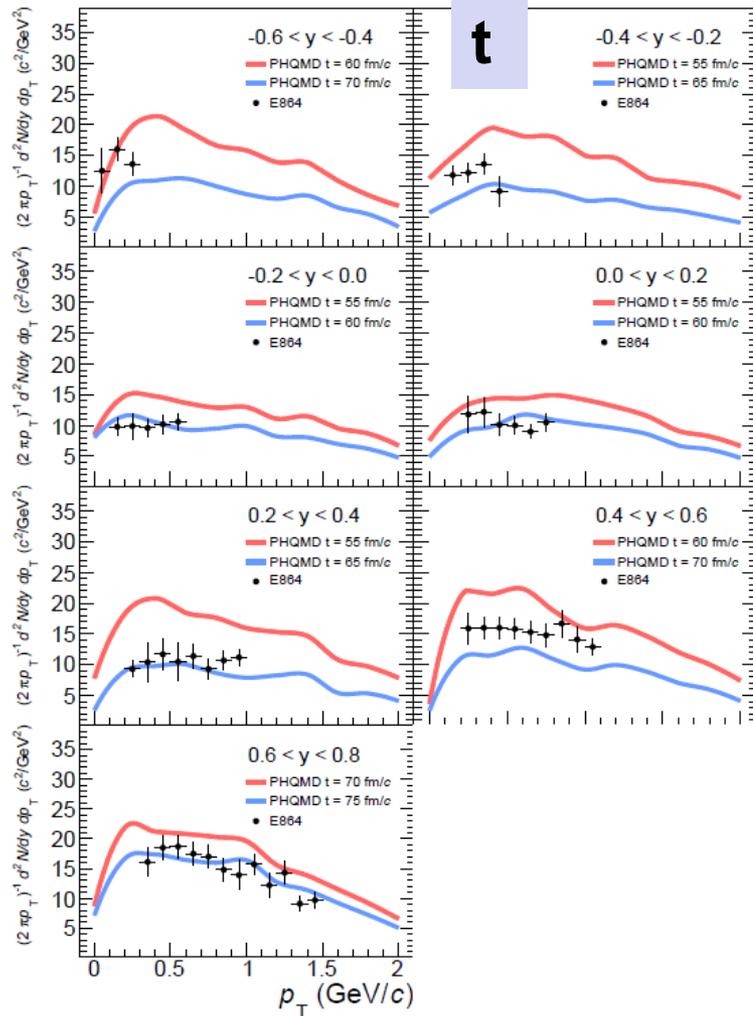
Consider $t_0=45$ and 50 fm/c

S. Gläbel et al., PRC 105 (2022) 1

Au+Pb@10.6 AGeV

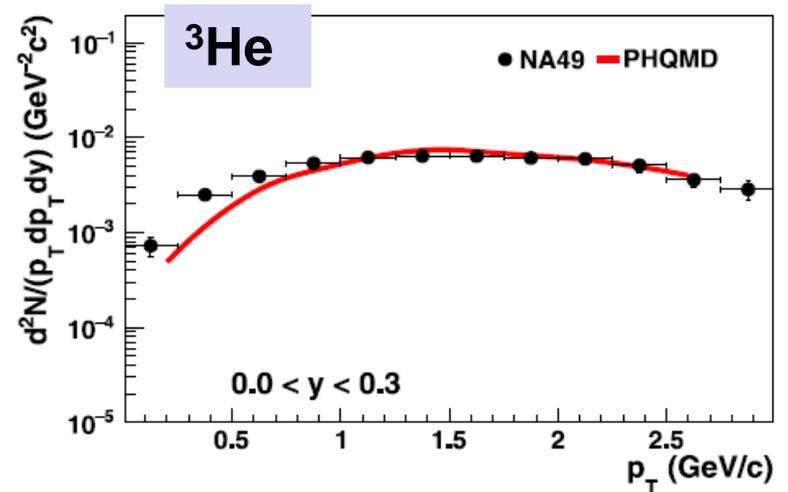
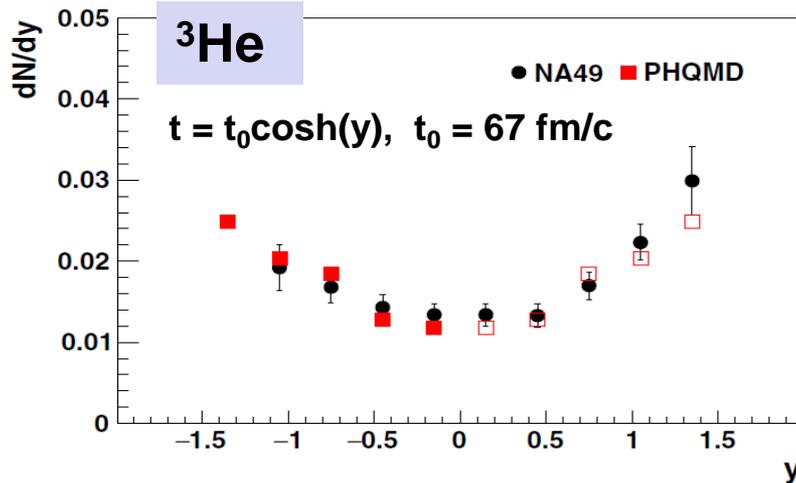
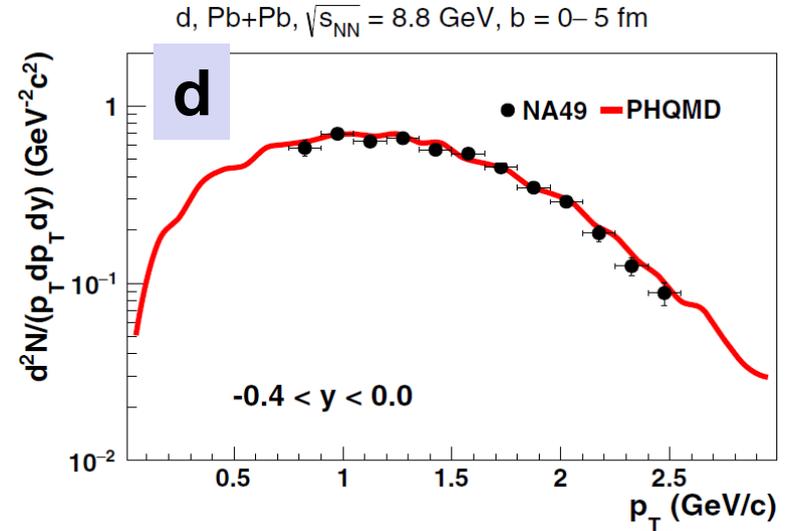
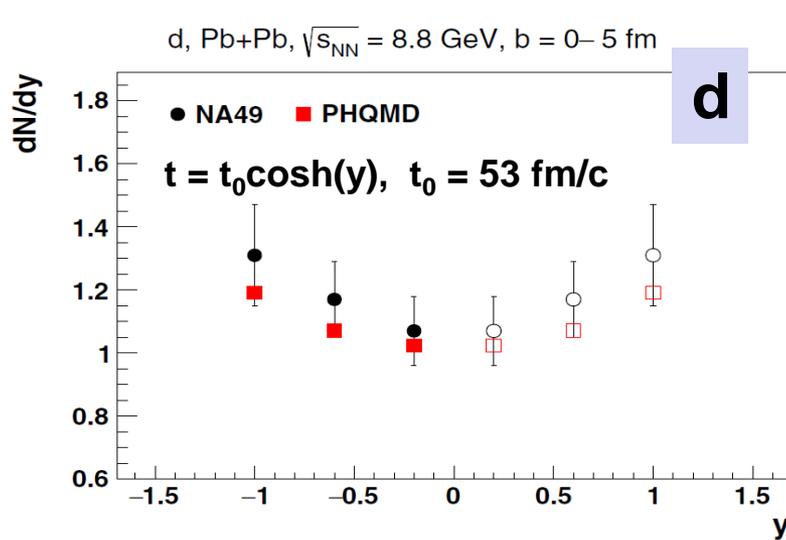


The p_T - distributions of t and ${}^3\text{He}$ from Au+Pb at 10.6 A GeV



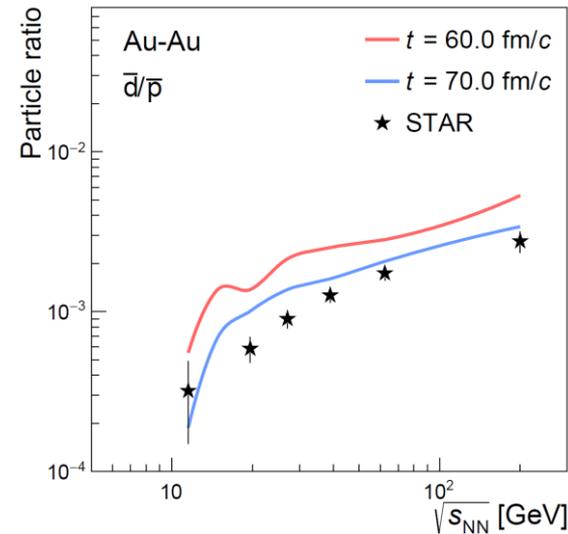
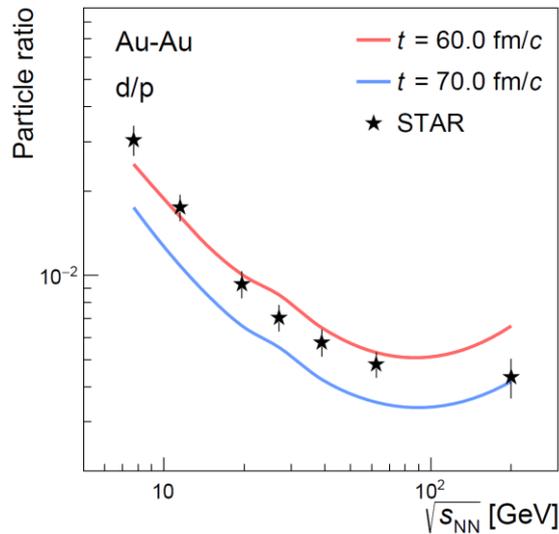
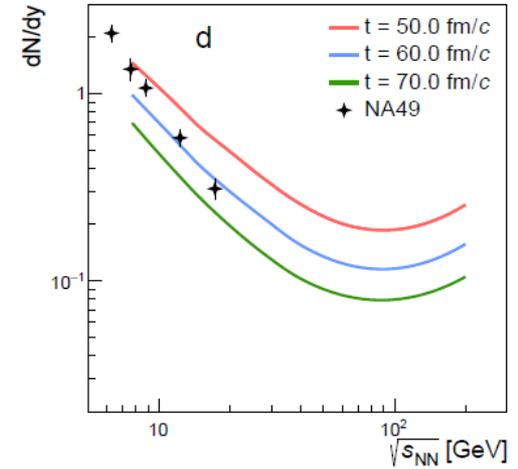
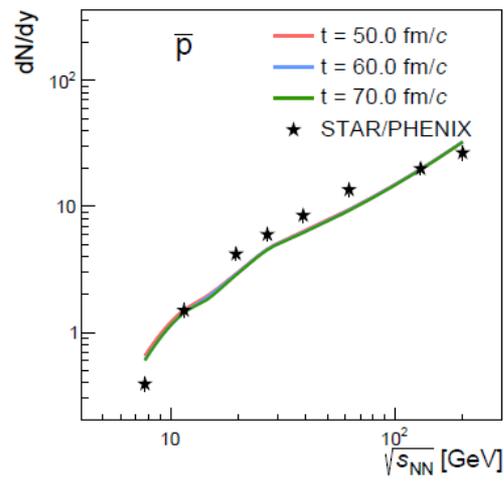
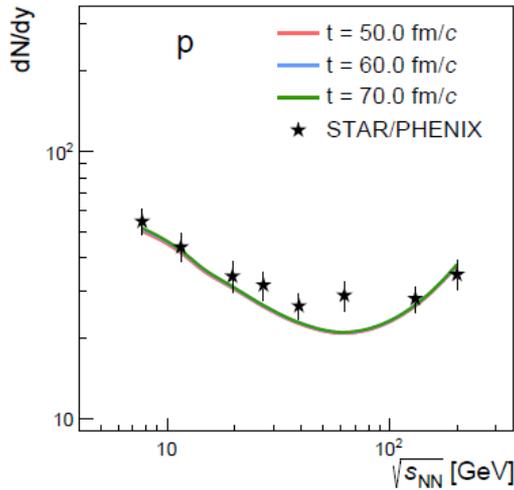
Cluster production in HICs at SPS energies

The rapidity and p_T -distributions of **d** and ${}^3\text{He}$ from Pb+Pb at 30 A GeV



The PHQMD results for d and ${}^3\text{He}$ agree with [NA49 data](#)

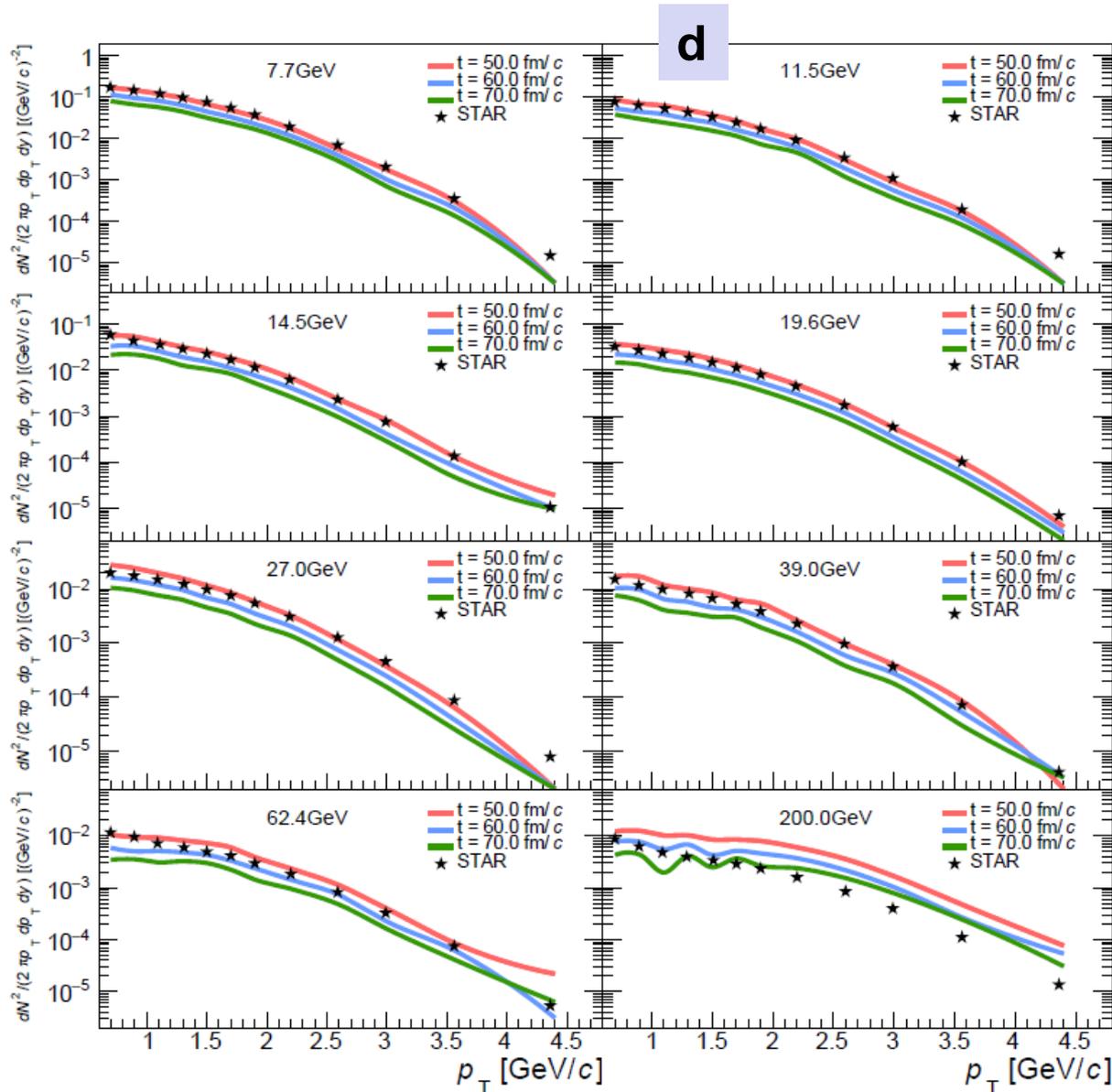
Excitation function of multiplicity of p, \bar{p}, d, \bar{d}



S. Gläsel et al.,
PRC 105 (2022) 1

The p, \bar{p} yields at $y \sim 0$ are stable, the d, \bar{d} yields are better described at $t = 60-70$ fm/c

Deuteron p_T spectra from 7.7 GeV to 200 GeV



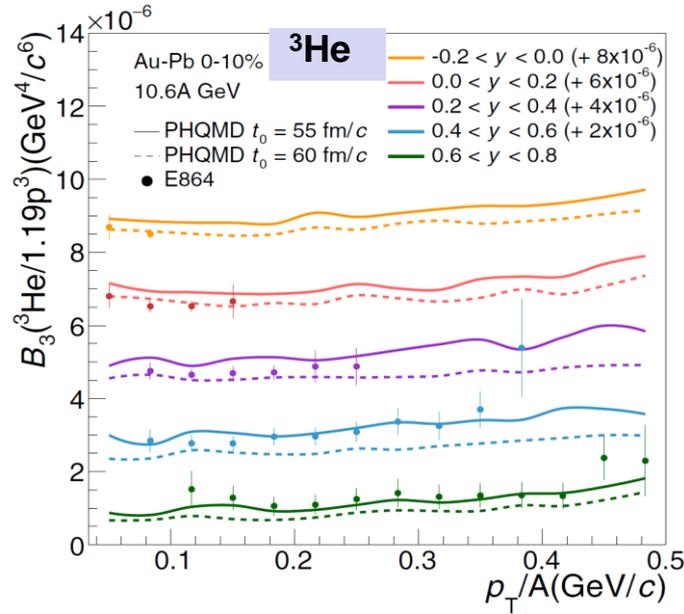
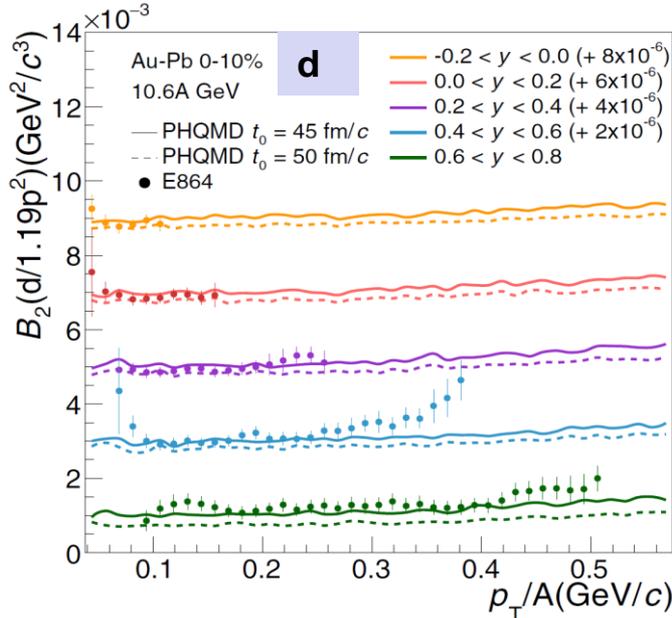
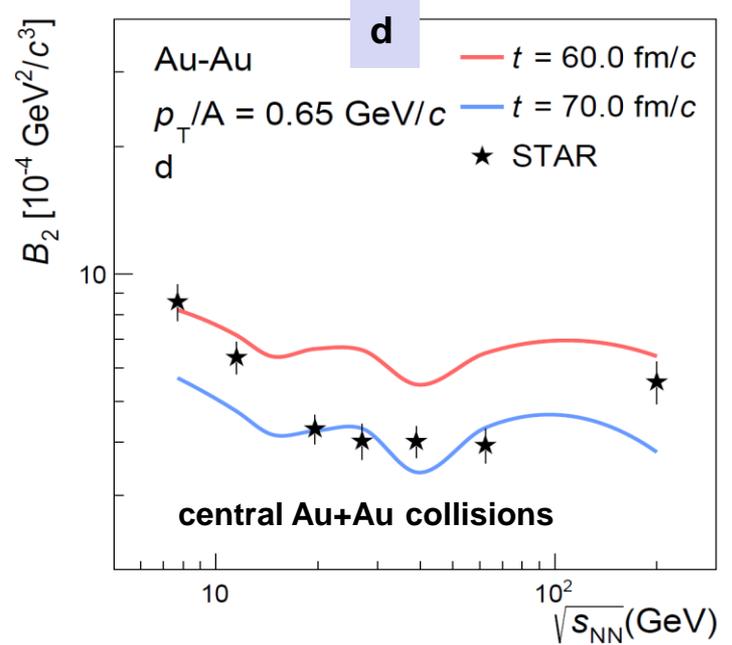
Comparison of the PHQMD results for the **deuteron** p_T -spectra at midrapidity with **STAR** data

S. Gläsel et al., Phys. Rev. C 105 (2022) 1

Coalescence parameter B_2 :

$$B_2 = \frac{E_d \frac{d^3 N_d}{d^3 P_d}}{\left(E_p \frac{d^3 N_p}{d^3 p_p} \Big|_{p_p = P_d/2} \right)^2}$$

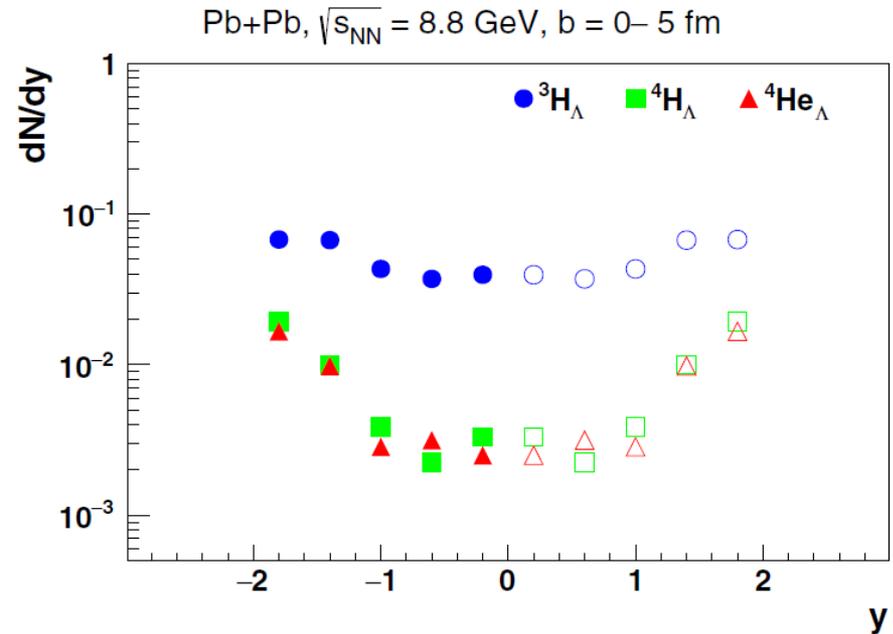
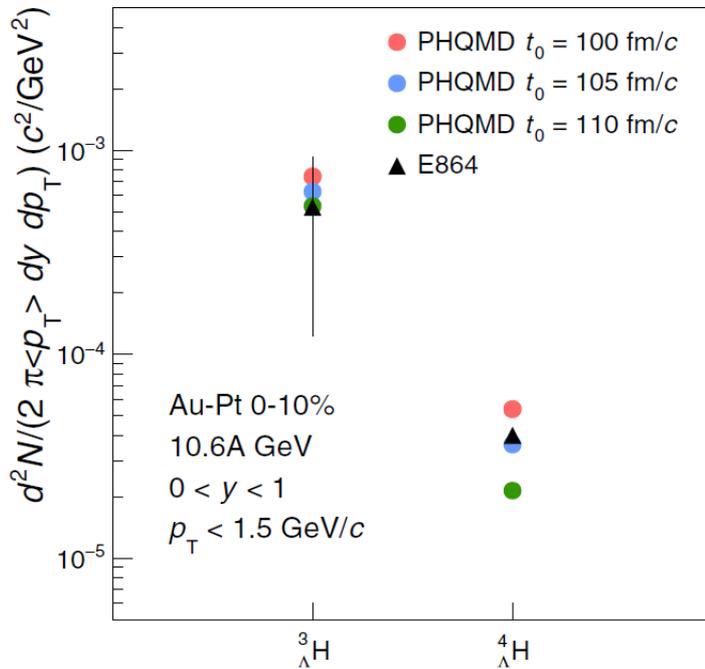
S. Gläsel et al., Phys. Rev. C 105 (2022) 1



$t = t_0 \cosh(y)$

The PHQMD results for **hypernuclei** production in Au+Pt central collisions at 10.6 A GeV

The PHQMD **predictions** for dN/dy of ${}^3\text{H}_\Lambda$, ${}^4\text{H}_\Lambda$ and ${}^4\text{He}_\Lambda$ from central Pb+Pb collisions at 30 A GeV ($\sqrt{s}^{1/2} = 8.8$ GeV)

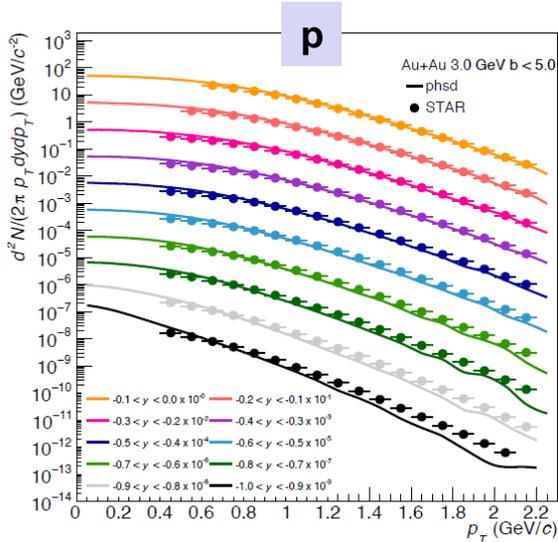


- Assumption on nucleon-hyperon potential: $V_{N\Lambda} = 2/3 V_{NN}$

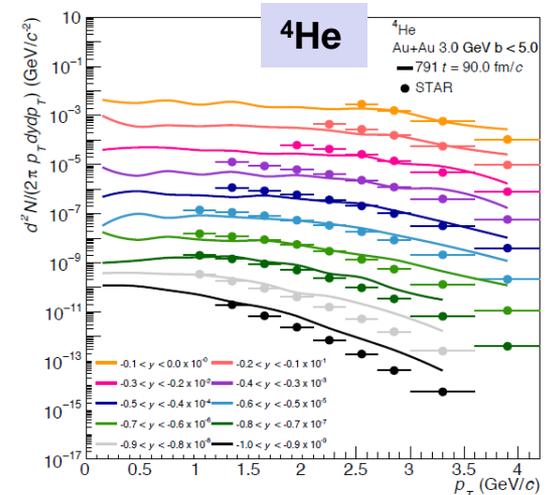
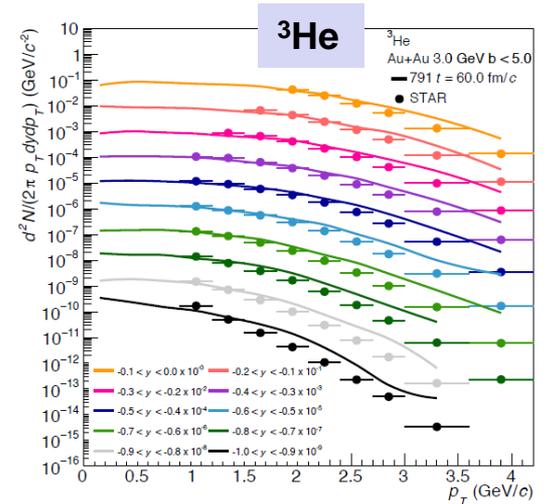
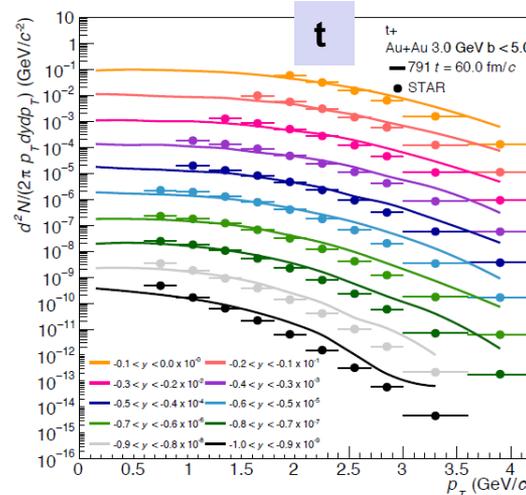
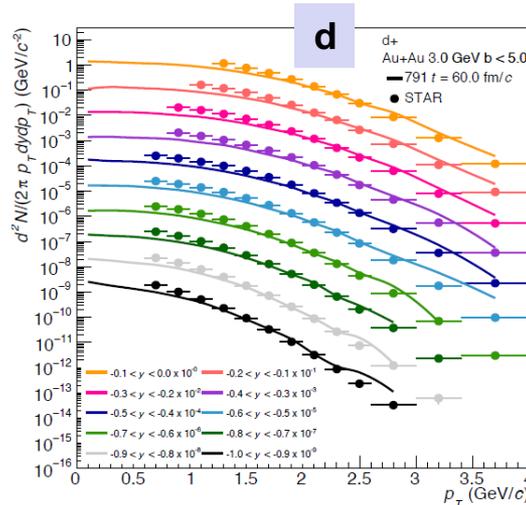
Light cluster production at $s^{1/2} = 3$ GeV

The PHQMD comparison with recent STAR fixed target p_T distribution of $p, d, t, {}^3\text{H}, {}^4\text{H}$ from Au+Au central collisions at $\sqrt{s} = 3$ GeV

PHQMD: $t = 60$ fm/c



(preliminary) STAR data – talk by Hui Liu at QM'2022



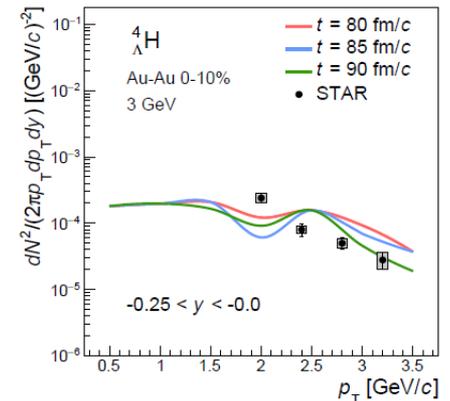
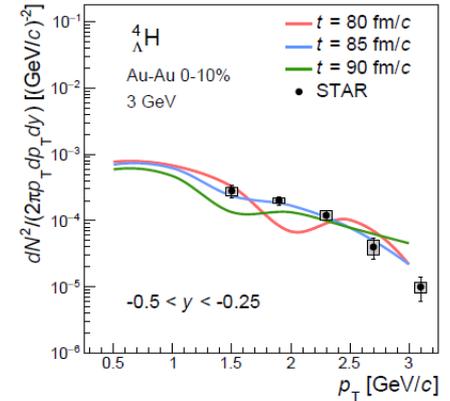
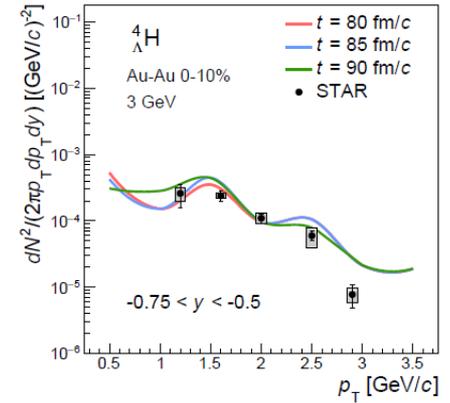
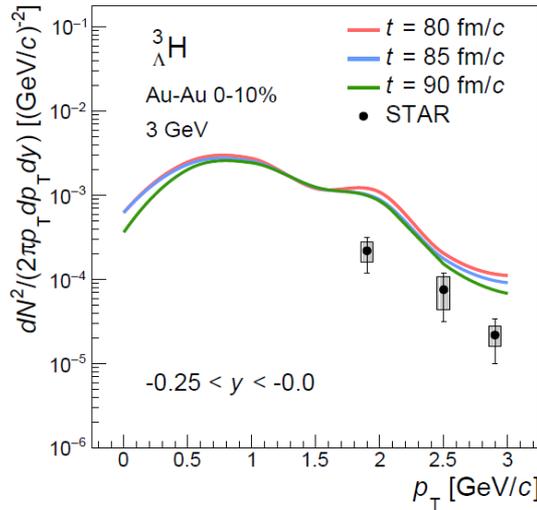
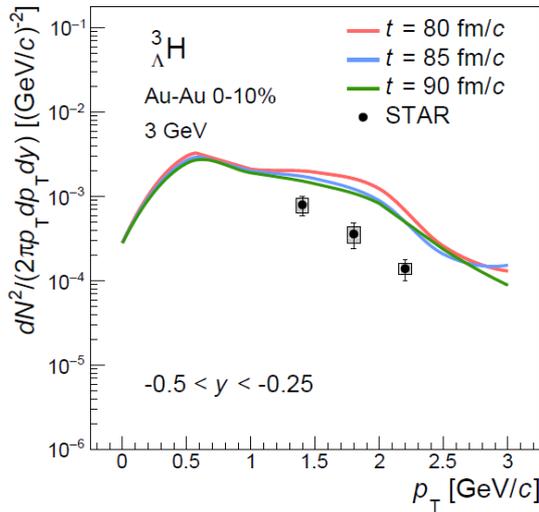
➔ Good description of cluster production

Hypernuclei production at $\sqrt{s} = 3$ GeV

The PHQMD comparison with recent STAR fixed target p_T distribution of ${}^3\text{H}_\Lambda$, ${}^4\text{H}_\Lambda$ from Au+Au central collisions at $\sqrt{s} = 3$ GeV

STAR: Phys. Rev. Lett. 128, 202301 (2022)

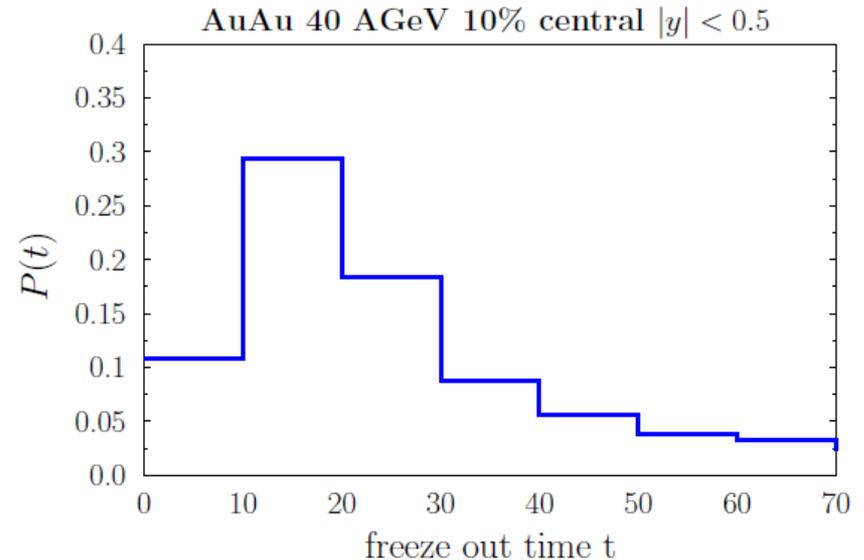
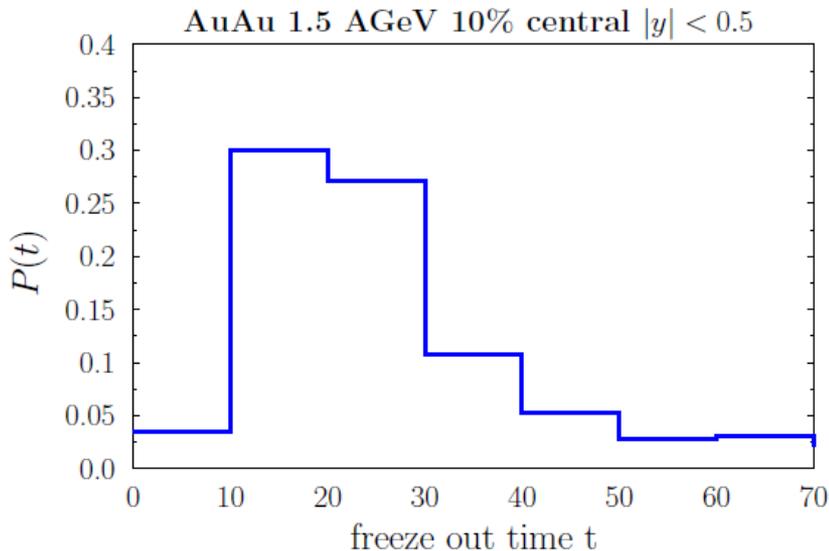
- Assumption for nucleon-hyperon potential: $V_{N\Lambda} = 2/3 V_{NN}$



➔ Reasonable description of hypernuclei production at $\sqrt{s} = 3$ GeV

When does the system freeze out?

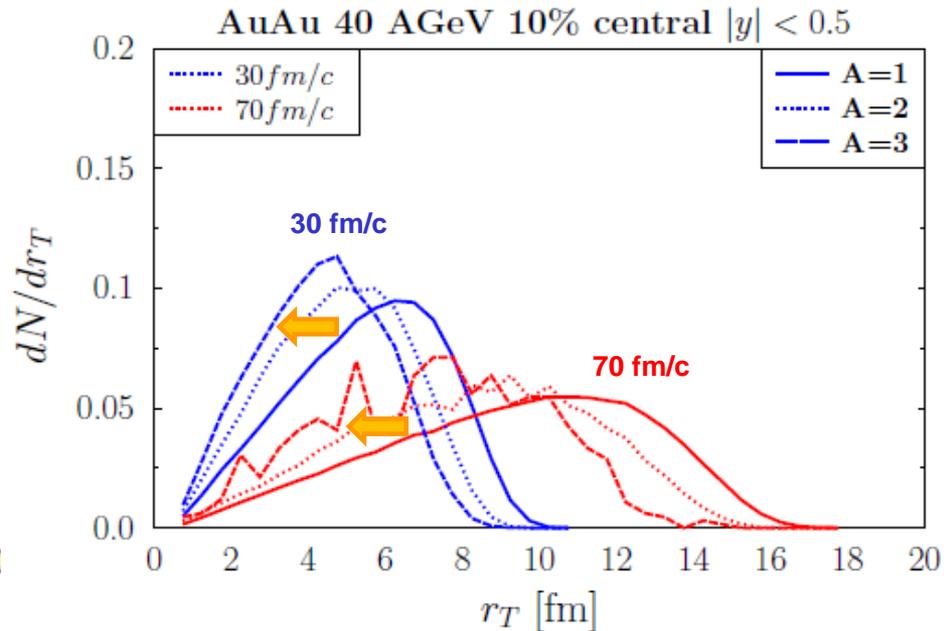
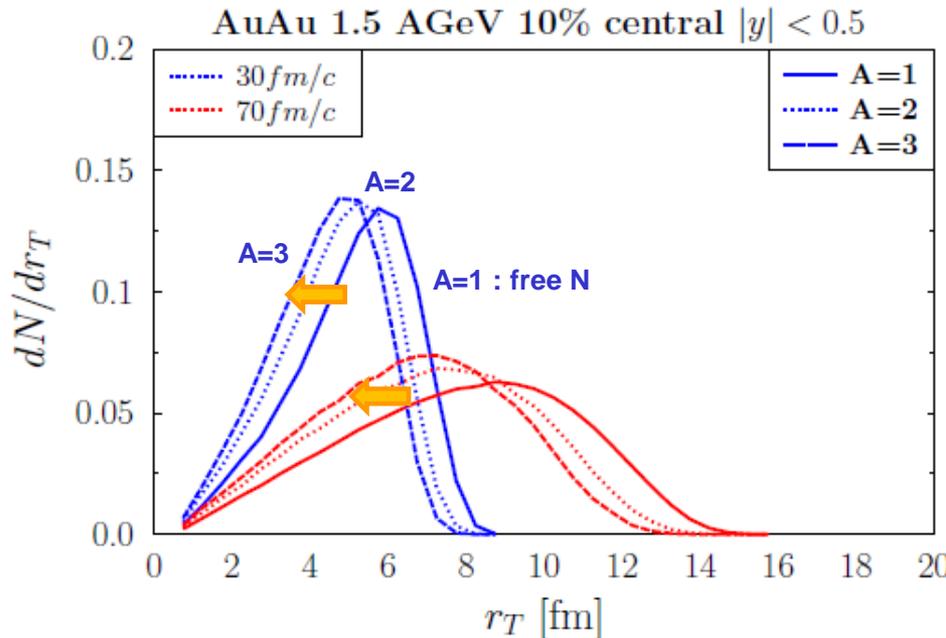
- The normalized distribution of the **freeze-out time of baryons** (nucleons and hyperons) which are finally observed at mid-rapidity $|y| < 0.5$
 - * Here freeze-out time as defined by the **last elastic or inelastic collision**, after that **only potential interaction** between baryons occurs



- ➔ Freeze-out time of baryons in Au+Au at 1.5 AGeV and 40 AGeV:
 - **similar profile** since expansion velocity of mid-rapidity fireball is roughly independent of the beam energy

Where are the clusters formed?

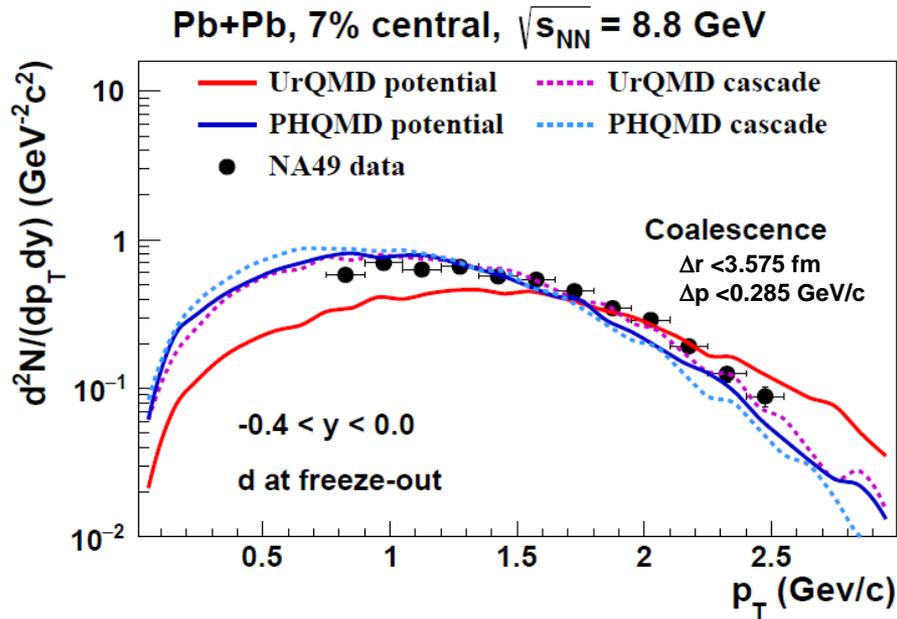
- The snapshot (taken at time 30 and 70 fm/c) of the **normalized distribution of the transverse distance r_T of the nucleons to the center of the fireball.**
- It is shown for $A=1$ (free nucleons) and for the nucleons in $A=2$ and $A=3$ clusters



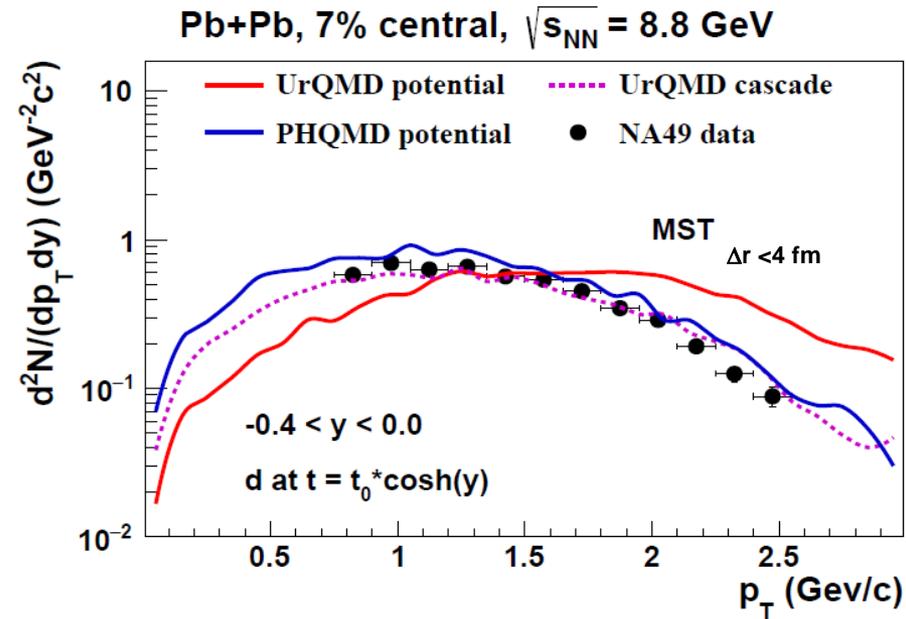
- **Transverse distance profile of free nucleons and clusters are different!**
- Clusters are mainly formed **behind the 'front' of free nucleons** of expanding fireball
- 'ice' is behind the 'fire' → cluster can survive

Comparison of the coalescence and MST for d

Coalescence

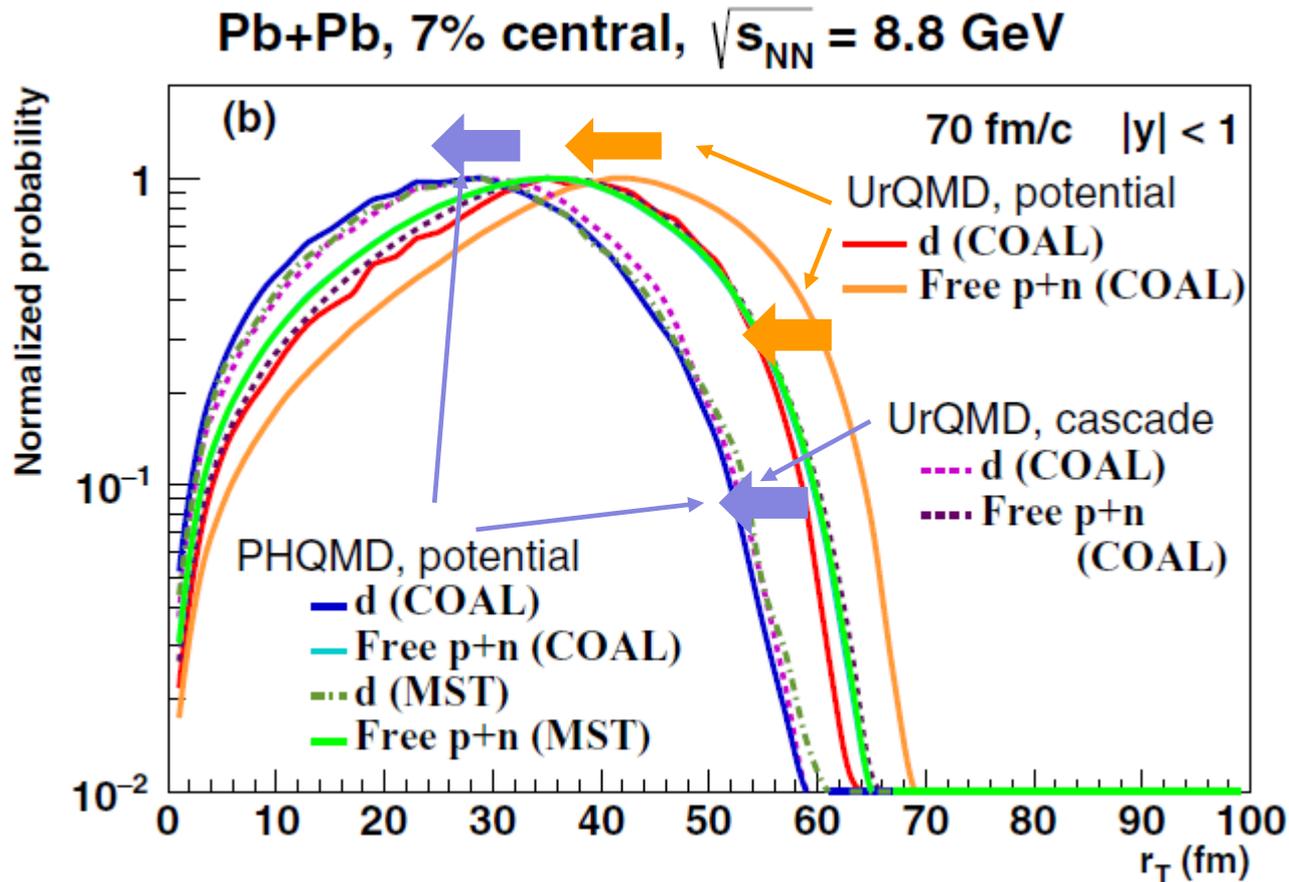


MST



- **Coalescence and MST** give very **similar** multiplicities and y - and p_T -distributions
- PHQMD and UrQMD results in the cascade mode are very similar
- Deuteron production is sensitive to the realization of potential in transport approaches

Comparison of the coalescence and MST for d



- Coalescence as well as the MST procedure show that the **deuterons remain in transverse direction closer to the center** of the heavy-ion collision than free nucleons
- deuterons are **behind** the fast nucleons (and pion wind)

II. Kinetic mechanism for deuteron production in PHQMD

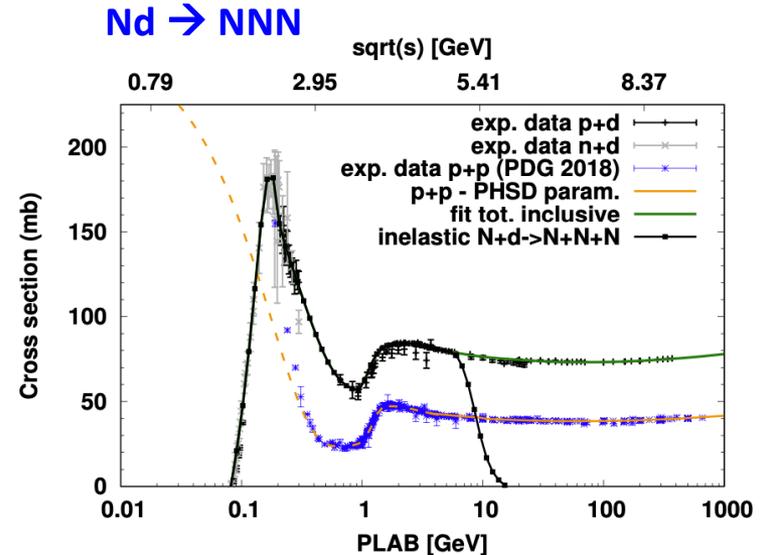
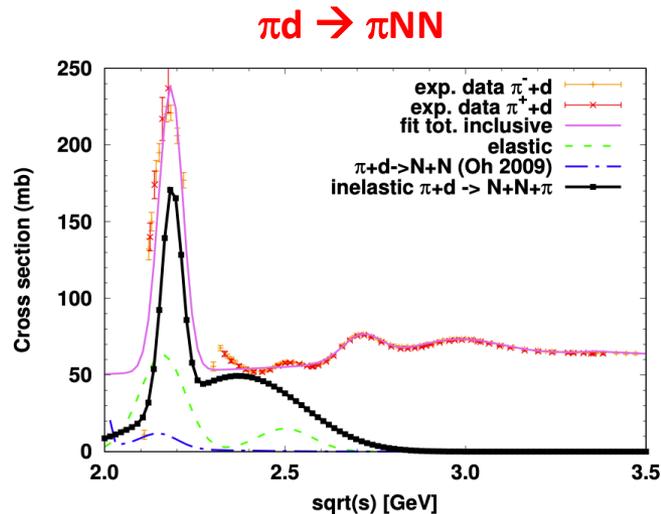


Deuteron production by hadronic reactions

“Kinetic mechanism”

- 1) hadronic inelastic reactions $NN \leftrightarrow d\pi$, $\pi NN \leftrightarrow d\pi$, $NNN \leftrightarrow dN$
- 2) hadronic elastic $\pi+d$, $N+d$ reactions

Hadronic reactions for $d+\pi$ and $d+N$ scattering have very **large cross sections** $\sigma_{\text{peak}} \approx 200 \text{ mb}$



the rates for the **inverse processes** $pNN \rightarrow pd$, $NNN \rightarrow dN$ in hadronic matter are large due to the time-reversal symmetry

* Kinetic production by **inverse reaction** $N + p + n \rightarrow N + d$ first studied in HICs at $E_{\text{Lab}} \sim 1 \text{ AGeV}$ by P.J. Siemens, J. Kapusta PRL 43 (1979) 1486

Models for deuteron production by hadronic reactions

□ **SMASH, AMPT:** Inverse reactions $X+N+N \rightarrow X+d$ ($X=\pi, N$ with X **catalyzer**)

important for d formation in HICs

□ at **RHIC** and **LHC** energies: large π abundance

→ deuterons form by **π -catalysis**: $\pi+p+n \rightarrow \pi+d$

at **SIS** energies: large N abundance

→ deuterons form by **N -catalysis**: $N+p+n \rightarrow N+d$

• **SMASH (hydro + kinetic):** $\pi NN \leftrightarrow d\pi$, $NNN \leftrightarrow dN$ are realized

1) via **fictitious dibaryon resonance d'** as two-step processes of $N+N \rightarrow d'$ and $\pi + d' \rightarrow \pi + d$

D. Oliinychenko PRC 99 (2019) 4, 044907

2) via **$3 \leftrightarrow 2$ transition rates**

J. Staudenmaier et al., PRC 104 (2021) 3, 034908

• **AMPT:** $\pi NN \leftrightarrow d\pi$ via **impulse approximation**:

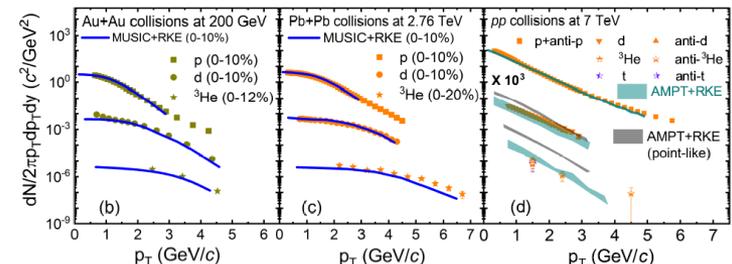
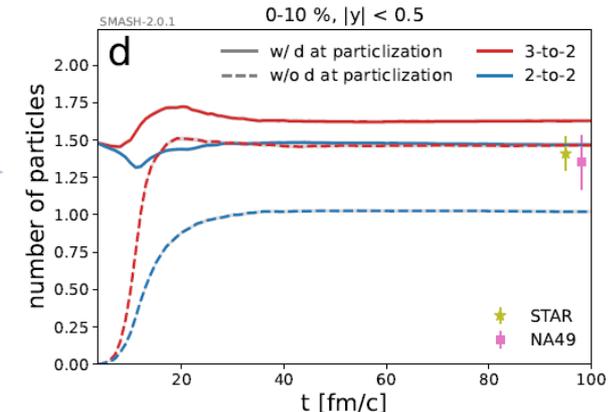
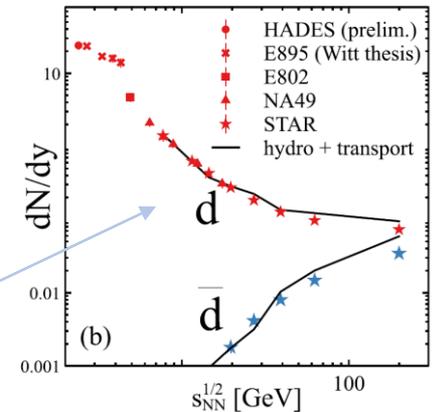
$$\mathcal{M}_{\pi d \rightarrow \pi NN} \rightarrow \langle \tilde{p}_N | \phi_d \rangle \mathcal{M}_{\pi N \rightarrow \pi N}$$

+ accounting of the **finite size of deuterons** via **Wigner function**

$$|\phi_d(\tilde{\mathbf{p}})|^2 = \int d^3\mathbf{r} \gamma_d W_d = (4\pi\sigma_d^2)^{3/2} e^{-\tilde{\mathbf{p}}^2\sigma_d^2}$$

leads to the suppression of d production in pp

K.-J. Sun, R. Wang, C.-M. Ko et al., 2106.12742



Collision Integral: covariant rate for $n \leftrightarrow m$ reactions

- In Boltzmann Equation the Collision Integral accounts for all dissipative processes (hadronic reactions ...)

W. Cassing NPA 700 (2002) 618

$$p_{1,\mu} \partial_x^\mu f_i(x, p_1) = I_{coll}^i = \sum_n \sum_m I_{coll}^i[n \leftrightarrow m]$$

$$I_{coll}^i[n \leftrightarrow m] = \frac{1}{2} \frac{1}{N_{id}!} \sum_\nu \sum_\lambda \left(\frac{1}{(2\pi)^3} \right)^{n+m-1} \left(\prod_{j=2}^n \int \frac{d^3 \vec{p}_j}{2E_j} \right) \left(\prod_{k=n+1}^{n+m} \int \frac{d^3 \vec{p}_k}{2E_k} \right) \times (2\pi)^4 \delta^4 \left(p_1^\mu + \sum_{j=2}^n p_j^\mu - \sum_{k=1}^{n+m} p_k^\mu \right) W_{n,m}(p_1, p_j; i, \nu | p_k; \lambda) \times \left[\prod_{k=n+1}^{n+m} f_k(x, p_k) - f_i(x, p_1) \prod_{j=2}^n f_j(x, p_j) \right]$$

(n-1) initial + m final integrations

Transition amplitude squared

Gain - Loss

- Collision rate for hadron "i" is the number of reactions in the covariant volume $d^4x = dt \cdot dV$

$$\frac{dN_{coll}[n(i) \rightarrow m]}{dt dV} \propto \int \frac{d^3 p_1}{2E_1} f_i(x, p_1) \int \left(\prod_{j=2}^n \frac{d^3 p_j}{2E_j} f_j(x, p_j) \right) \int \left(\prod_{k=n+1}^{n+m} \frac{d^3 p_k}{2E_k} \right) \times (2\pi)^4 \delta^4 \left(\sum_{j=1}^n p_j^\mu - \sum_{k=n+1}^{n+m} p_k^\mu \right) W_{n,m}(p_j; \tau(i), \nu | p_k; \lambda) \quad \dots \text{similar for } m \rightarrow n(i)$$

Collision Integral: covariant rate formalism

- With **n=2 initial particles**, the covariant rate can be expressed in terms of the reaction cross section

W. Cassing NPA 700 (2002) 618

$$\frac{dN_{coll}[1(d) + 2 \rightarrow 3 + 4]}{dt dV} \propto \frac{1}{(2\pi)^6} \int \frac{d^3 p_1}{2E_1} f_1(x, p_1) \int \frac{d^3 p_2}{2E_2} f_2(x, p_2) \times$$

$$\int \frac{d^3 p_3}{(2\pi)^3 2E_3} \int \frac{d^3 p_4}{(2\pi)^3 2E_4} W_{2,2}(p_1, p_2; p_3, p_4) (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4)$$

$$4E_1 E_2 v_{rel} \sigma_{2,2}(\sqrt{s})$$

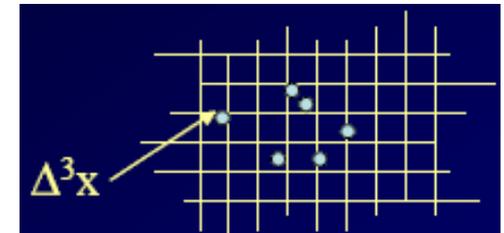
- Using **test-particle ansatz for $f(x,p)$** the collision integral is numerically solved dividing the coordinate space in cells of volume ΔV_{cell} where the reaction rate at each time step Δt are sampled **stochastically** with probability:

$$\frac{\Delta N_{coll}[1(d) + 2 \rightarrow 3 + 4]}{\Delta N_1 \Delta N_2} = P_{2,2}(\sqrt{s}) = v_{rel} \sigma_{2,2}(\sqrt{s}) \frac{\Delta t}{\Delta V_{cell}}$$

Similarly...

$$\frac{\Delta N_{coll}[1(d) + 2 \rightarrow 3 + 4 + 5]}{\Delta N_1 \Delta N_2} = P_{2,3}(\sqrt{s}) = v_{rel} \sigma_{2,3}(\sqrt{s}) \frac{\Delta t}{\Delta V_{cell}}$$

- $\Delta t \rightarrow 0, \Delta v_{cell} \rightarrow 0$ convergence to exact solution



Lang, Babovsky, Cassing, Mosel, Reusch and Weber, J. Comp. Phys., vol. 106, no. 2, (1993)
Used by BAMPS - Xu and Greiner PRC v. 71, (2005)

Collision Integral: covariant rate formalism

- With $n > 2$ initial particles, the covariant rate **cannot** be expressed in terms of the reaction cross section

W. Cassing NPA 700 (2002) 618

$$\frac{dN_{coll}[3 + 4 + 5 \rightarrow 1(d) + 2]}{dt dV} = \int \left(\prod_{k=3}^5 \frac{d^3 p_k}{(2\pi)^3 2E_k} f_k(x, p_k) \right) \times$$

$$\int \frac{d^3 p_1}{(2\pi)^3 2E_1} \int \frac{d^3 p_2}{(2\pi)^3 2E_2} W_{3,2}(p_3, p_4, p_5; p_1, p_2) (2\pi)^4 \delta(p_1 + p_2 - p_3 - p_4 - p_5)$$

- With the **assumption for the TRANSITION AMPLITUDE: $W(\sqrt{s})$** + detailed balance the covariant collision rate **can** be still expressed in terms of the reaction probability.
With **test particle ansatz** the transition rate for $3 \rightarrow 2$ reactions:

$$\frac{\Delta N_{coll}[3 + 4 + 5 \rightarrow 1(d) + 2]}{\Delta N_3 \Delta N_4 \Delta N_5} = P_{3,2}(\sqrt{s})$$

$$P_{3,2}(\sqrt{s}) = F_{spin} F_{iso} P_{2,3}(\sqrt{s}) \frac{E_1^f E_2^f}{2E_3 E_4 E_5} \frac{R_2(\sqrt{s}, m_1, m_2)}{R_3(\sqrt{s}, m_3, m_4, m_5)} \frac{1}{\Delta V_{cell}}$$

Energy and momentum
of final particles

2,3-body phase space integrals
[Byckling, Kajantie]

PHQMD: deuteron reactions in the box

$\pi+p+n \leftrightarrow d+\pi$, $p+n+N \leftrightarrow d+N$, $N+N \leftrightarrow d+\pi$, $d+X$ elastic

- $2 \rightarrow 2$ and $2 \rightarrow 3$ either by **geometric criterium** or **stochastic method**

Kodama et al. Phys. Rev. C 29 (1984)

W. Cassing NPA 700 (2002) 618

$$d_T < \sqrt{\frac{\sigma_{tot}^{2,3}(\sqrt{s})}{\pi}}$$

$$P_{2,3}(\sqrt{s}) = \sigma_{tot}^{2,3}(\sqrt{s}) v_{rel} \frac{\Delta t}{\Delta V_{cell}}$$

- $3 \rightarrow 2$ realized via covariant rate formalism by **stochastic method**

W. Cassing NPA 700 (2002) 618

- Numerically tested in "static" box



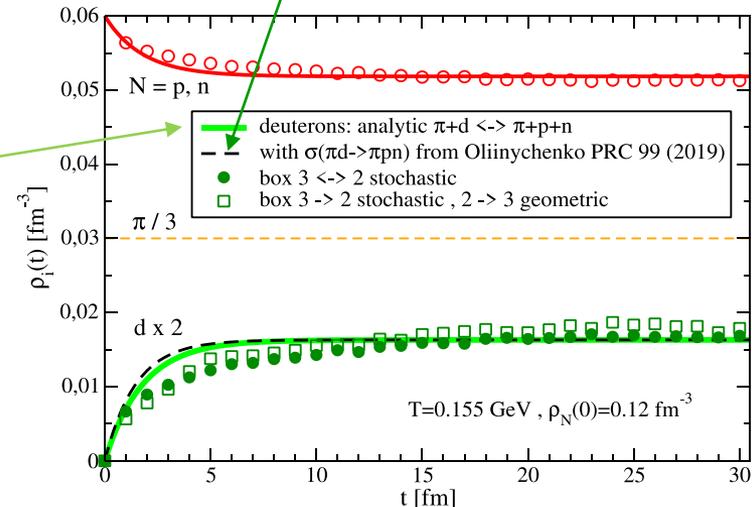
- PHQMD provides a good agreement with **analytic solutions** from rate equations

$$\begin{cases} \dot{\lambda}_d = \sum \langle v_{rel} \sigma_{\pi d} \rangle \left(\frac{g_d g_\pi}{g_N^2 g_\pi} \lambda_N^2 - \lambda_d \right) n_\pi^{eq} \lambda_\pi \\ \dot{\lambda}_N = - \sum \langle v_{rel} \sigma_{\pi d} \rangle \left(\frac{g_d g_\pi}{g_N^2 g_\pi} \lambda_N^2 - \lambda_d \right) n_\pi^{eq} \lambda_\pi \\ \dot{\lambda}_\pi = 0 \end{cases} \quad + \text{initial conditions}$$

[Y. Pan S. Pratt, PRC 89 (2014), 044911]

Comparison to SMASH cross sections: $F_{iso} = 1$

J. Staudenmaier et al., PRC 104 (2021) 3, 034908



Density inside the box at temperature T: $\rho_i = n^{eq}(T) * \lambda_i(t)$

Isospin deuteron reactions in the box

$\pi+N+N \leftrightarrow d+\pi$, $d+N \leftrightarrow p+n+N$, $N+N \leftrightarrow d+\pi$, $d+X$ elastic

Novel aspects in PHQMD:

$N+N+\pi$ inclusion of **all possible channels** allowed by total isospin T conservation:

$$P_{3,2}(\sqrt{s}) = F_{spin} F_{iso} P_{2,3}(\sqrt{s}) \frac{E_1^f E_2^f}{2E_3 E_4 E_5} \frac{R_2(\sqrt{s}, m_1, m_2)}{R_3(\sqrt{s}, m_3, m_4, m_5)} \frac{1}{\Delta V_{cell}}$$



- $NN\pi$ expanded as superposition of eigenstates of total isospin T

$$|N, N, \pi\rangle = \sum_T \sum_{T_3=-T}^{-T} \langle T, T_3 | N, N, \pi \rangle |T, T_3\rangle$$

- Fourier coefficient of eigenstate of total isospin 1 (= T(d π)=T(π))

$$F_{iso} = |\langle N, N, \pi | T(d + \pi) = 1, T_3 \rangle|^2$$

➔ For the realistic description of HICs: Important to account for all possible isospin channels !

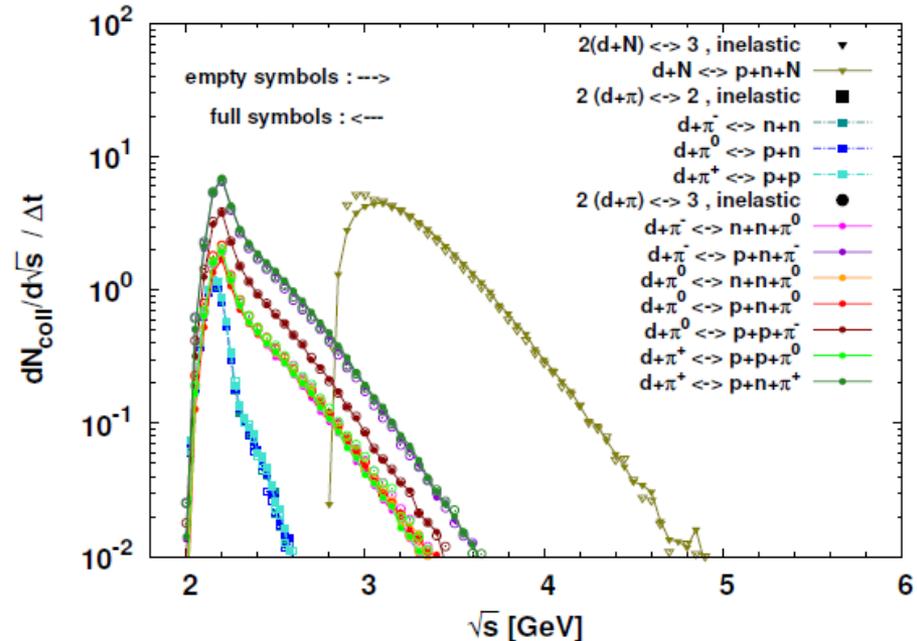
$$\pi^{\pm,0} + p + n \leftrightarrow \pi^{\pm,0} + d$$

$$\pi^- + p + p \leftrightarrow \pi^0 + d$$

$$\pi^+ + n + n \leftrightarrow \pi^0 + d$$

$$\pi^0 + p + p \leftrightarrow \pi^+ + d$$

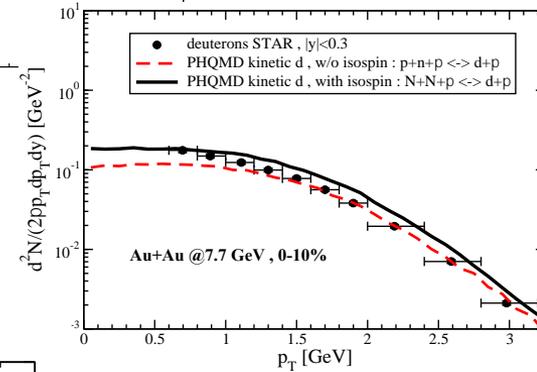
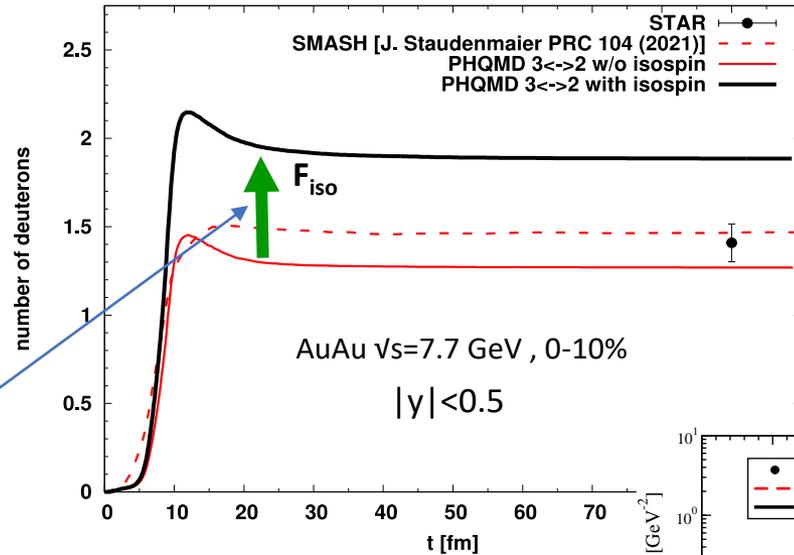
$$\pi^0 + n + n \leftrightarrow \pi^- + d$$



➔ Detailed balance condition fulfilled

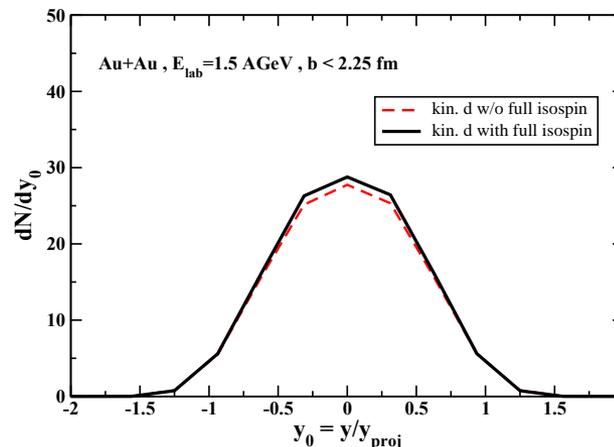
RHIC BES energy $\sqrt{s} = 7.7$ GeV:

- Hierarchy due to large π abundance
 $\pi + N + N \rightarrow \pi + d \gg N + p + n \rightarrow N + d$
- Inclusion of **all isospin channels** enhances deuteron yield $\sim 50\%$.
- p_T slope is not affected



GSI SIS energy $\sqrt{s} < 3$ GeV :

- **Baryon** dominated matter
- Enhancement due to inclusion of isospin $\pi + N + N$ channels is **negligible**



Modelling finite-size effects in kinetic mechanism

How to account for the **quantum nature of deuteron**, i.e. for

- 1) the finite-size of d in **coordinate space** (d is not a point-like particle) – for in-medium d production
- 2) the **momentum correlations** of p and n inside d

Realization 1) assume that a deuteron can not be formed in a high density region, i.e. if there are other particles (hadrons or partons) inside the ‘excluded volume’:

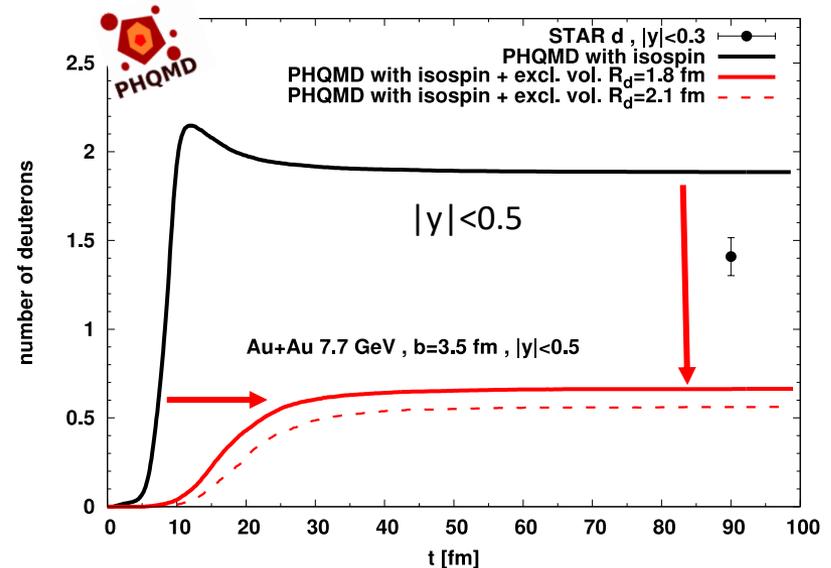
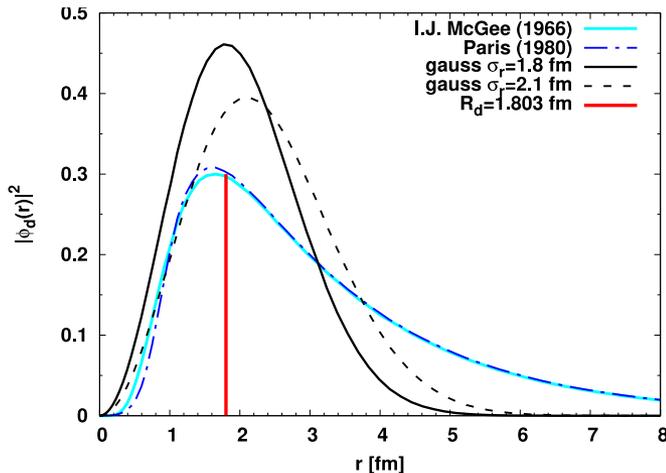
Excluded-Volume Condition:

$$|\vec{r}(i)^* - \vec{r}(d)^*| < R_d$$

“ i ” is any particle not participating in $\pi NN \rightarrow \pi d$, $NNN \rightarrow Nd$, $NN \rightarrow d\pi$
 $*$ means that positions are in the cms of pre-calculated “candidate” deuteron

The exclusion parameter R_d is tuned to the physical radius

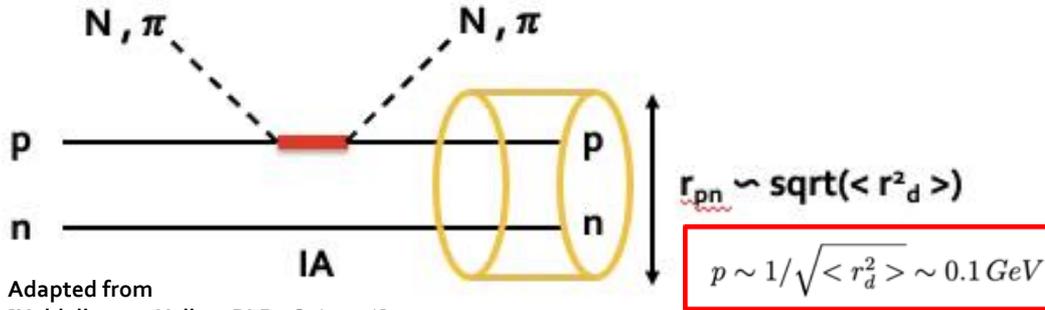
$$\langle r_d^2 \rangle = \int_0^\infty r^2 |\phi_d(r)|^2 dr \sim (1.8 \text{ fm})^2$$



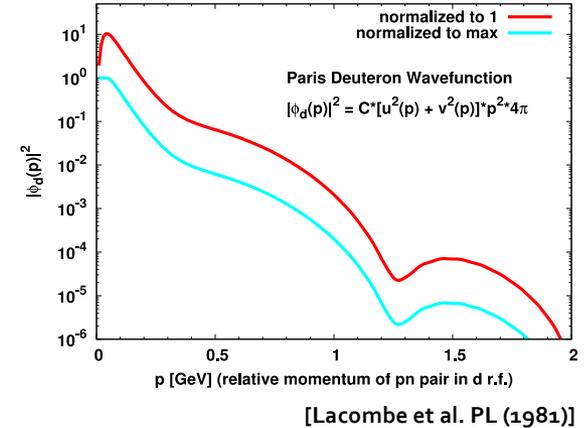
- Strong reduction of d production!
- p_T slope is not affected by excluded volume condition

2) QM properties of deuteron must be also in momentum space

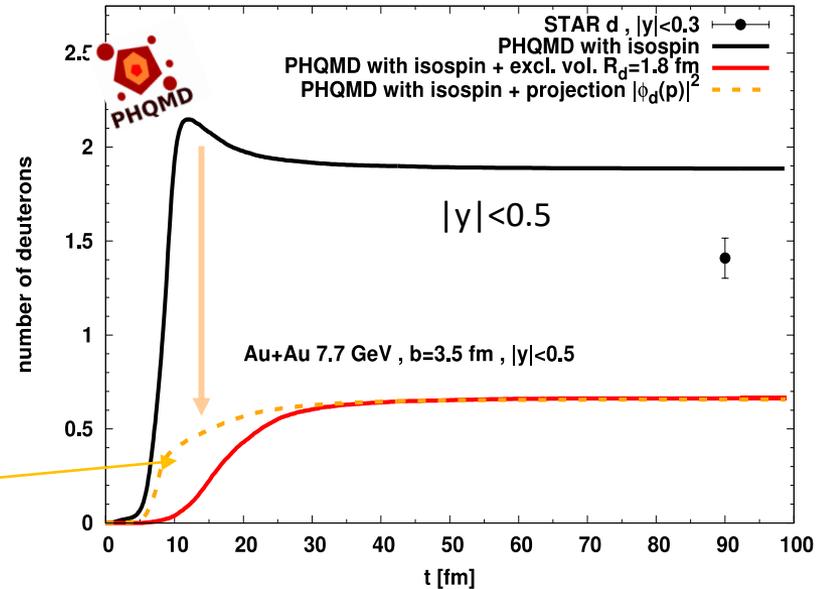
→ momentum correlations of pn-pair



Adapted from
 [Haidelbauer, Uzikov PLB 562(2003)]
 [Hoftiezer et al. PRC23 (1981)]
 Same spirit as AMPT [K.-J. Sun, R. Wang, C.-M. Ko et al., 2106.12742]



- For a “candidate” deuteron calculate the relative momentum p of the interacting pn-pair in the deuteron rest frame
- The probability of the pn-pair to bind into a final deuteron with momentum p is given by the DWF $|\phi_d(p)|^2$
- Bound pn-pairs are selected by projection on DWF $|\phi_d(p)|^2$

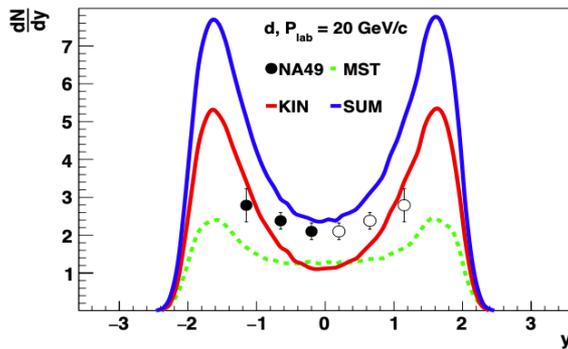


☐ Strong reduction of d production by projection on DWF $|\phi_d(p)|^2$

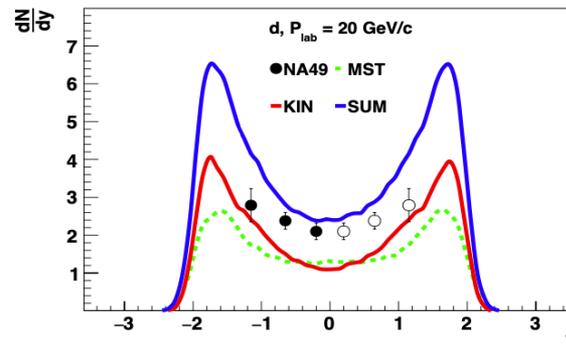
Kinetic vs. potential deuteron production

Total deuteron production = Kinetic mechanism with finite-size effects
 + MST (with stabilization) identification of deuterons (“stable” bound ($E_B < 0$) $A=2$, $Z=1$ clusters)

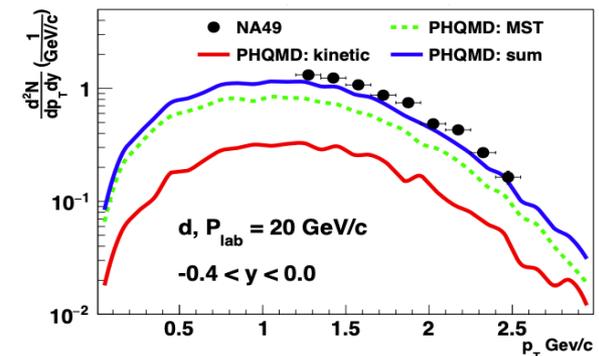
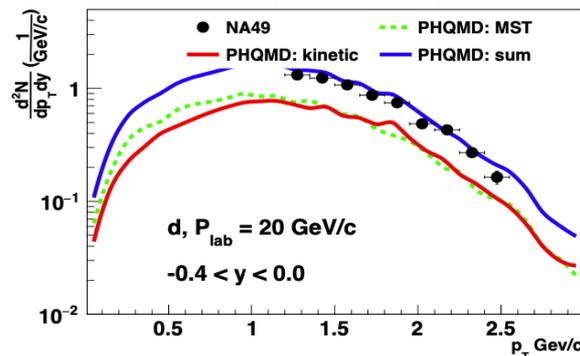
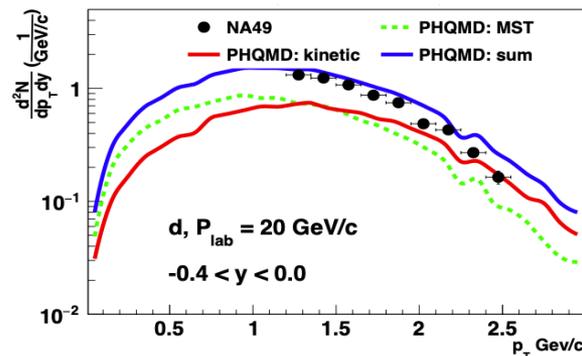
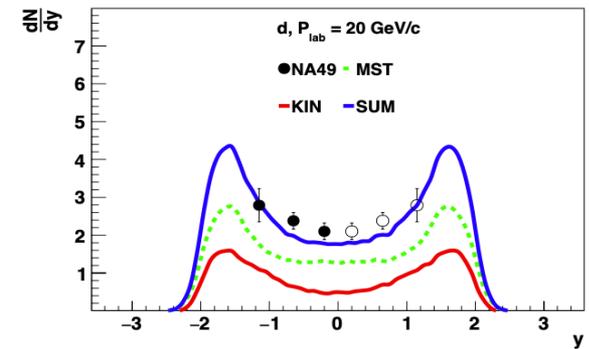
1) excluded-volume



2) Momentum projection

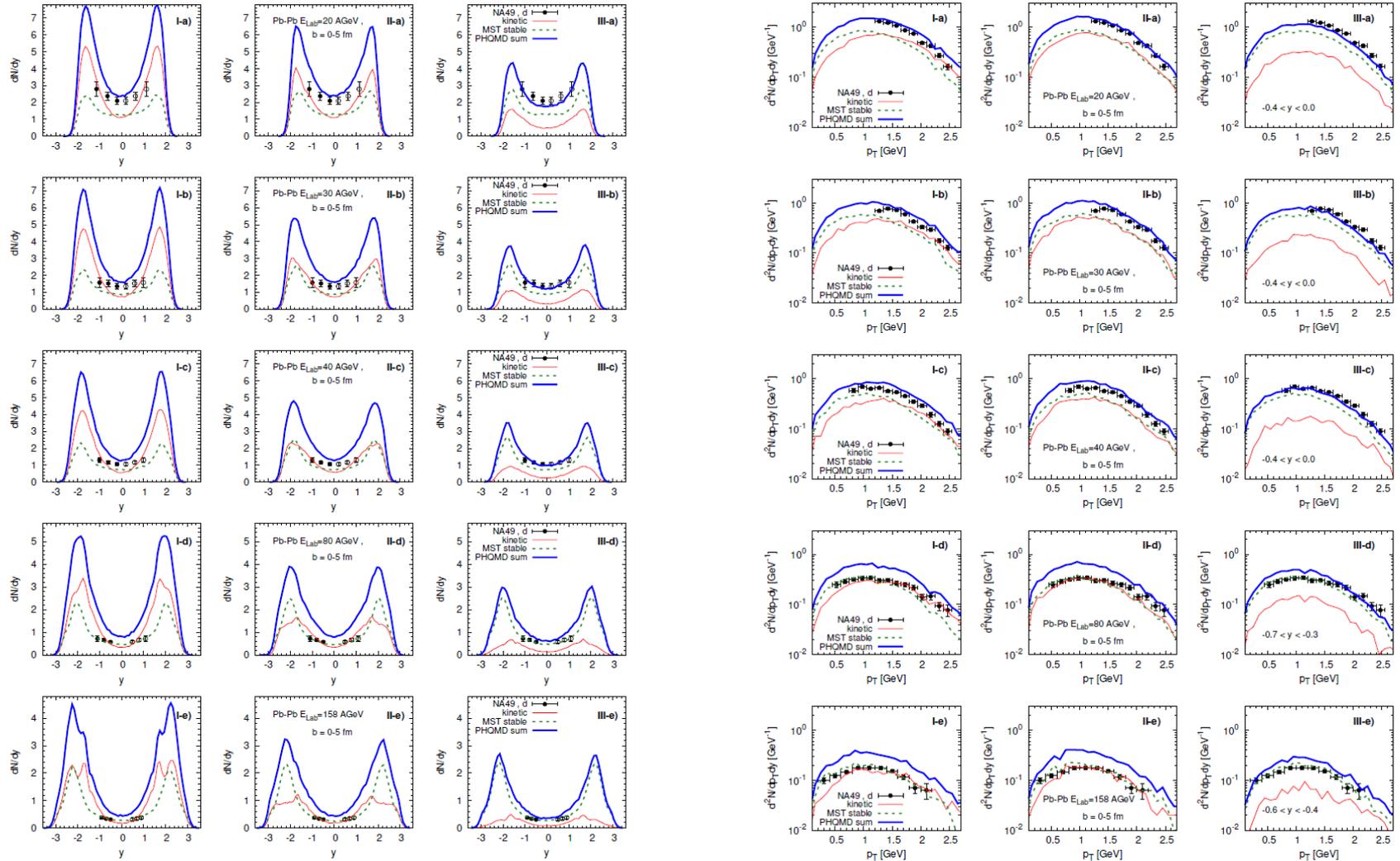


3) both effects



- Good description of mid-rapidity NA49 data [PRC 94 (2016) 04490699]

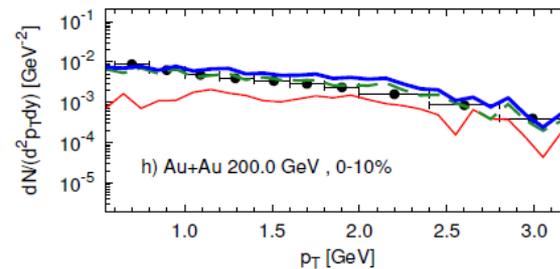
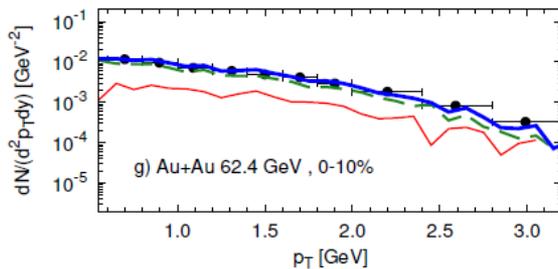
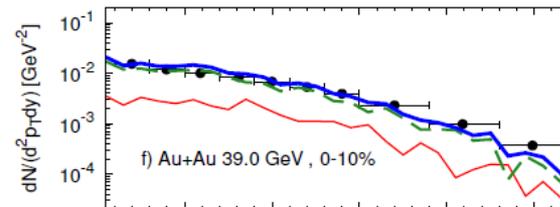
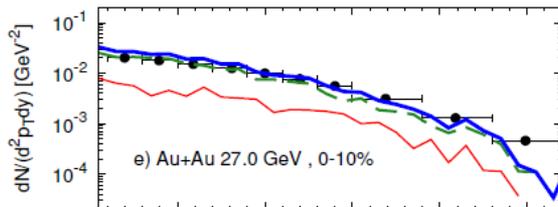
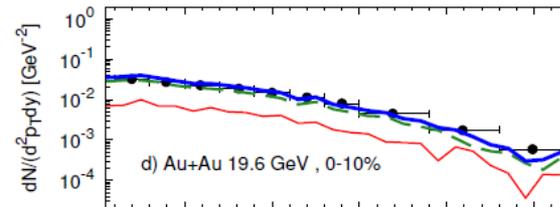
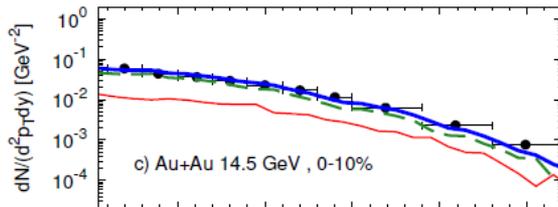
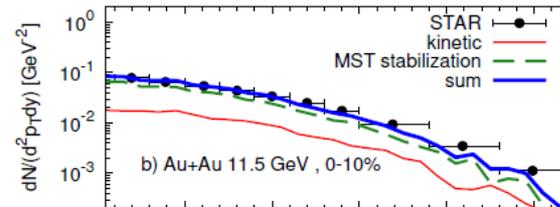
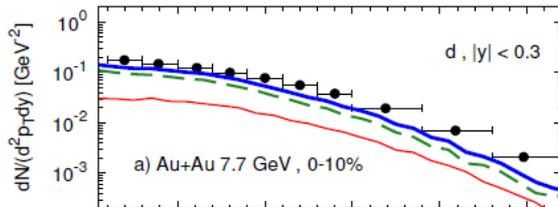
Kinetic vs. potential deuteron production



Total deuteron production = Kinetic mechanism with finite-size effects
+ MST (with stabilization) identification of deuterons ("stable" bound ($E_B < 0$) $A=2$, $Z=1$ clusters)

Kinetic vs. potential deuteron production

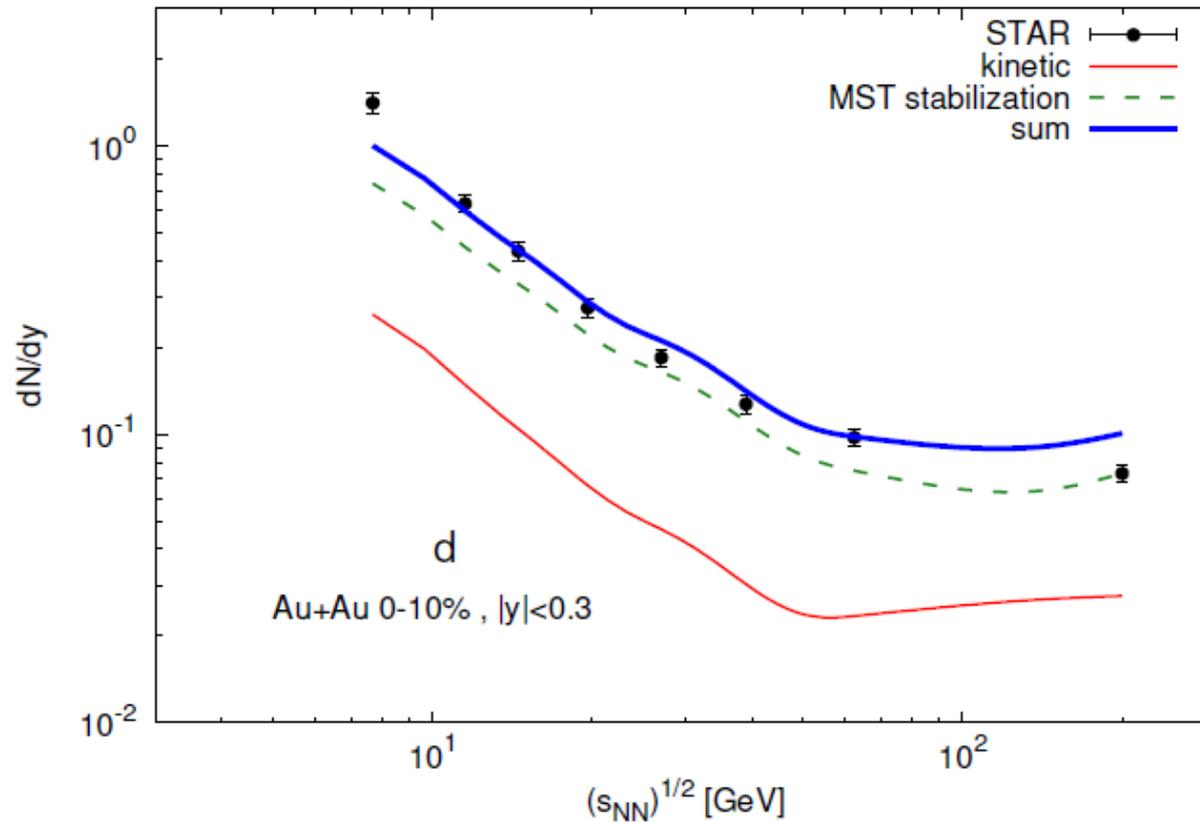
Total d = Kinetic mechanism with finite-size effects + MST (with stabilization) identification of d



- Good description of **mid-rapidity** STAR data [PRC 99, (2019)]

Kinetic vs. potential deuteron production

Excitation function dN/dy of deuterons at midrapidity



- PHQMD provides a good description of STAR data
- **The potential mechanism is dominant for d production at all energies!**

Summary

- ❑ The **PHQMD** is a **microscopic n-body transport approach** for the description of heavy-ion dynamics and cluster and hypernuclei formation

combined model **PHQMD** = (PHSD & QMD) & (MST | SACA)

- ❑ Clusters are formed dynamically by **potential interactions** among nucleons and hyperons and identified by **Minimum Spanning Tree** model
- ❑ **Kinetic mechanism** for deuteron production is implemented in the PHQMD with inclusion of full isospin decomposition for hadronic reactions which enhances d production
- ❑ However, accounting for the **quantum properties of the deuteron**, modelled by the finite-size excluded volume effect in coordinate space and projection of relative momentum of the interacting pair of nucleons on the deuteron wave-function in momentum space, leads to a strong reduction of d production, especially at target/projectile rapidities
- ❑ The PHQMD reproduces cluster and hypernuclei data on dN/dy and dN/dp_T as well as **ratios d/p** and **\bar{d}/\bar{p}** for heavy-ion collisions from AGS to top RHIC energies.

A detailed analysis reveals that stable **clusters are formed**

- shortly after elastic and inelastic collisions have ceased
- behind the front of the expanding energetic hadrons
- **since the 'fire' is not at the same place as the 'ice', cluster can survive**

- ❑ **Coalescence and MST** give very similar deuteron distributions within the PHQMD and UrQMD transport approaches

PHQMD:

- ❑ LHC energies → numerous computational efforts
- ❑ Momentum-dependent potential - important for low energies of SIS, FAIR
- ❑ Realistic description of hyperon-nucleon potential – important for hypernuclei dynamics
- ❑ Kinetic formation of light clusters like t, He ?
- ❑ Extended study of collective observables for clusters

New experimental data are needed!

y-distributions → mechanisms for cluster formation at large y

Collective observables v_1, v_2, \dots