

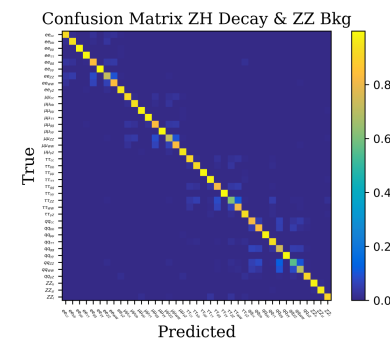
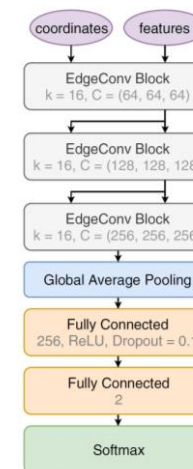
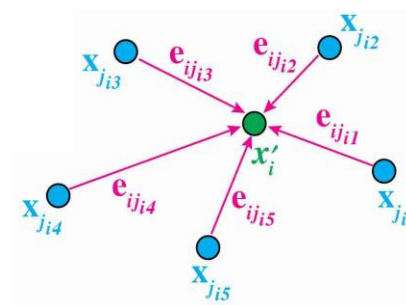
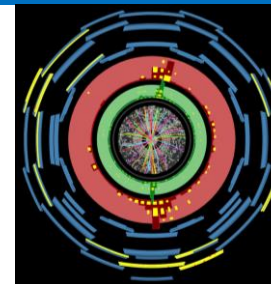
A Simple Tutorial on ParticleNet

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Institute of High Energy Physics, CAS

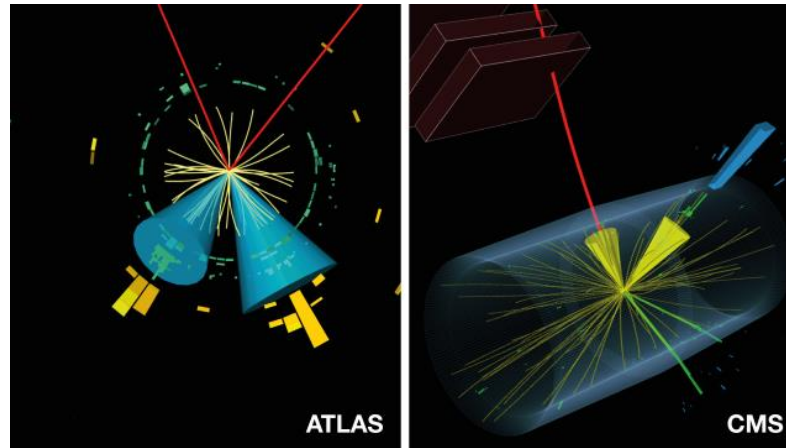
Outline

- A little background knowledge-Jets
- A Brief Introduction on ParticleNet
- Jet/Event as a point cloud
- Point clouds VS Particle clouds
- The architecture of ParticleNet
- Performance of ParticleNet
- How to run ParticleNet
- Try it yourself!

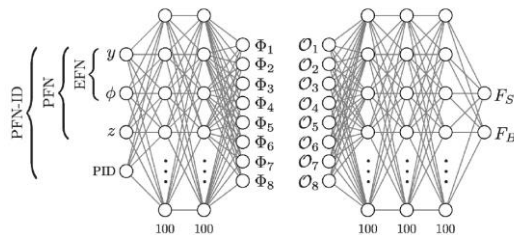


A little background knowledge-Jets

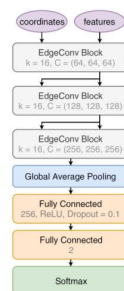
- Jets are ubiquitous at colliders, especially for hadron colliders.
- Jets are collimated sprays of particles initiated by quarks or gluons.



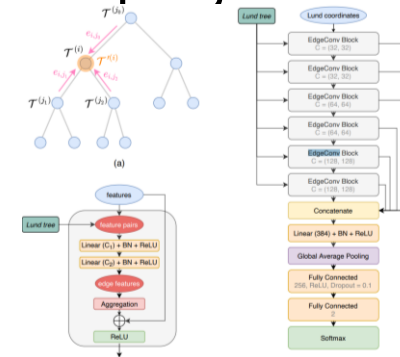
- Jet tagging: identifying the hard scattering particle that initiates the jet.
- The rise of machine learning (ML) has brought lots of new progresses to jet tagging.



[JHEP01\(2019\)121](#)



[Phys.Rev.D 101 \(2020\) 5, 056019](#)



[JHEP03\(2021\)052](#)

A Brief Introduction on ParticleNet

Based on Huilin Qu(the author of ParticleNet)'s report:

[New approaches for jet tagging with machine learning \(June 18, 2021\) · Indico of IHEP \(Indico\)](#)

A Brief Introduction on ParticleNet

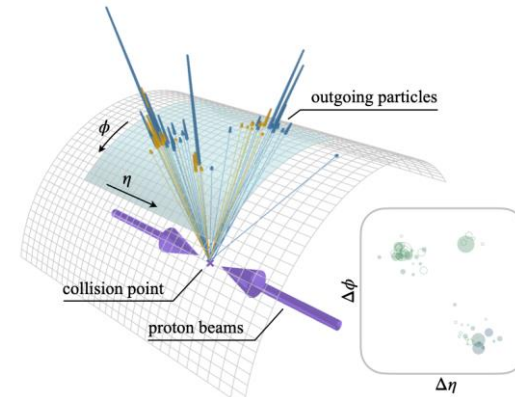
- Jet/Event as a point cloud



Point cloud

From Wikipedia, the free encyclopedia

A **point cloud** is a set of data **points** in **space**. The points may represent a **3D shape** or object. Each point **position** has its set of **Cartesian coordinates** (X, Y, Z).^[1] Point clouds are generally produced by **3D scanners** or by **photogrammetry** software, which measure many points on the external surfaces of objects around them. As the output of 3D



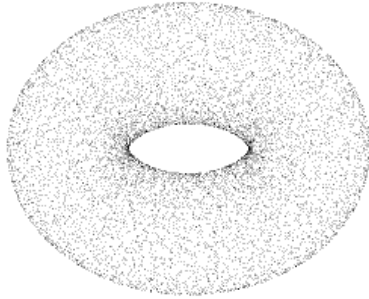
Jet (particle physics)

From Wikipedia, the free encyclopedia

A **jet** is a narrow cone of **hadrons** and other particles produced by the **hadronization** of a **quark** or **gluon** in a **particle physics** or heavy **ion** experiment. Particles

A Brief Introduction on ParticleNet

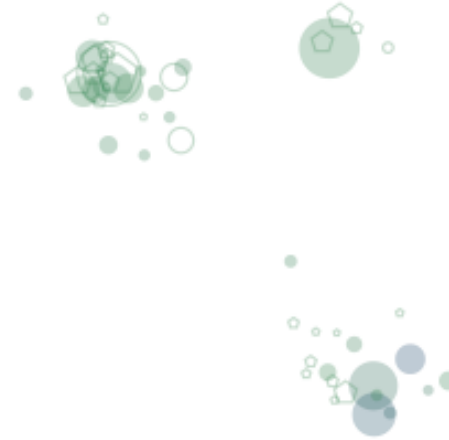
- Jet/Event as a point cloud



Point cloud

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A **point cloud** is a set of data [points](#) in [space](#). The points may represent a [3D shape](#) or object. Each point [position](#) has its set of [Cartesian coordinates](#) (X, Y, Z).^[1] Point clouds are generally produced by [3D scanners](#) or by [photogrammetry](#) software, which measure many points on the external surfaces of objects around them. As the output of 3D



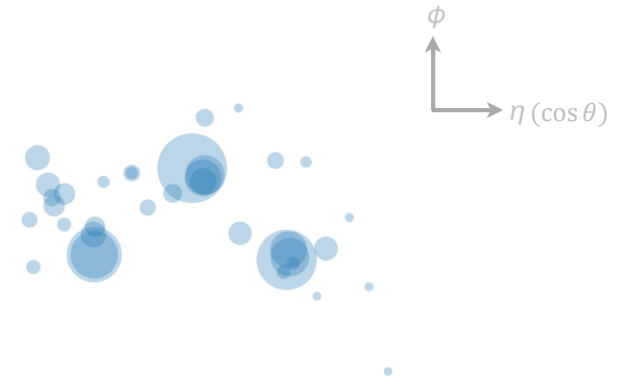
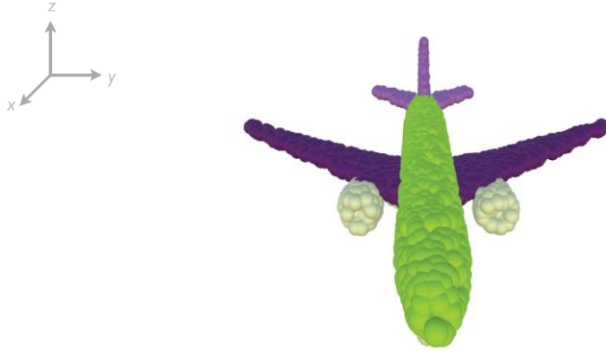
Jet (Particle cloud)

From Wikipedia, the free encyclopedia

A **jet (particle cloud)** is a set of particles in [space](#). Particle clouds are generally created by clustering a large number of particles measured by [particle detectors](#), e.g., ATLAS and CMS. which measure

A Brief Introduction on ParticleNet

- Point clouds VS Particle clouds



■ Point cloud

- points are intrinsically *unordered*
- points are distributed in space
 - spatial coordinates (3D xyz) encode geometric structure information

■ Particle cloud

- particles are intrinsically *unordered*
- particles are distributed in space
 - spatial distribution (2D coordinates in the $\eta(\cos \theta)$ - ϕ space) reflects radiation patterns

But particles have more features:

- energy/momenta/displacement/particle ID/etc.
- more interesting than a plain point cloud!

A Brief Introduction on ParticleNet

• The architecture of ParticleNet

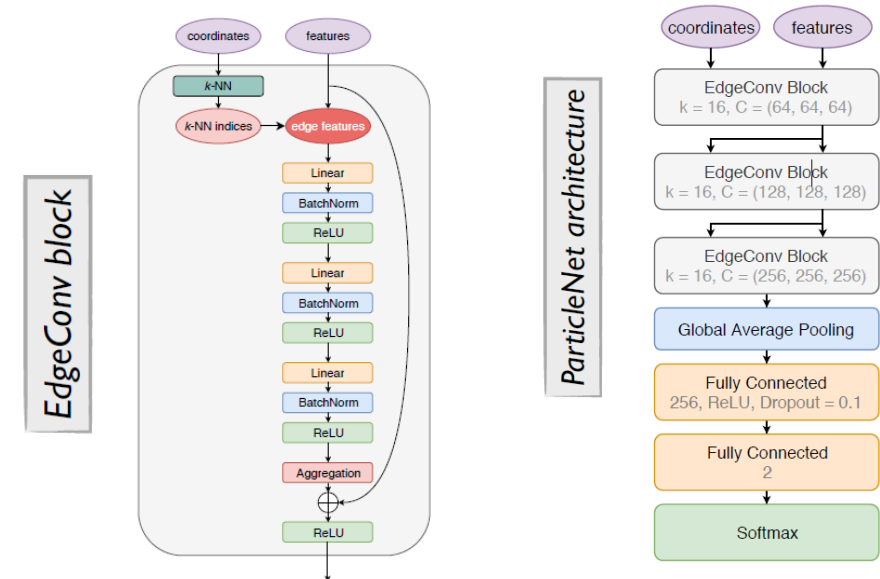
H. Qu and L. Gouskos [[Phys.Rev.D 101 \(2020\) 5, 056019](#)]

■ ParticleNet

- customized graph neural network architecture for jet tagging with the point cloud approach, based on Dynamic Graph CNN (DGCNN)
[Y. Wang et al., [arXiv:1801.07829](#)]
- explicitly respects the permutation symmetry of the point cloud

■ Key building block: EdgeConv

- treating a point cloud as a graph: each point is a vertex
 - for each point, a local patch is defined by finding its k-nearest neighbors
- designing a permutation-invariant "convolution" function
 - define "edge feature" for each center-neighbor pair: $e_{ij} = h_{\Theta}(x_i, x_{ij}) = \bar{h}_{\Theta}(x_i, x_{ij} - x_i)$
 - same h_{Θ} for all neighbor points, and all center points, for symmetry
 - aggregate the edge features in a symmetric way: $x'_i = \square_{j=1}^k h_{\Theta}(x_i, x_{ij}) = \frac{1}{k} \sum h_{\Theta}(x_i, x_{ij})$



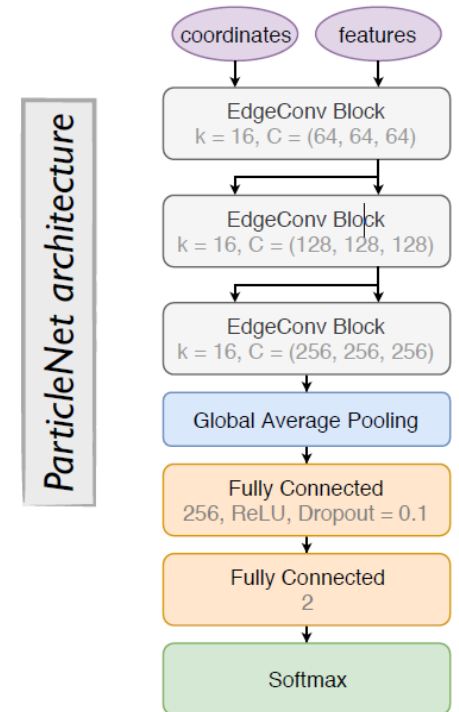
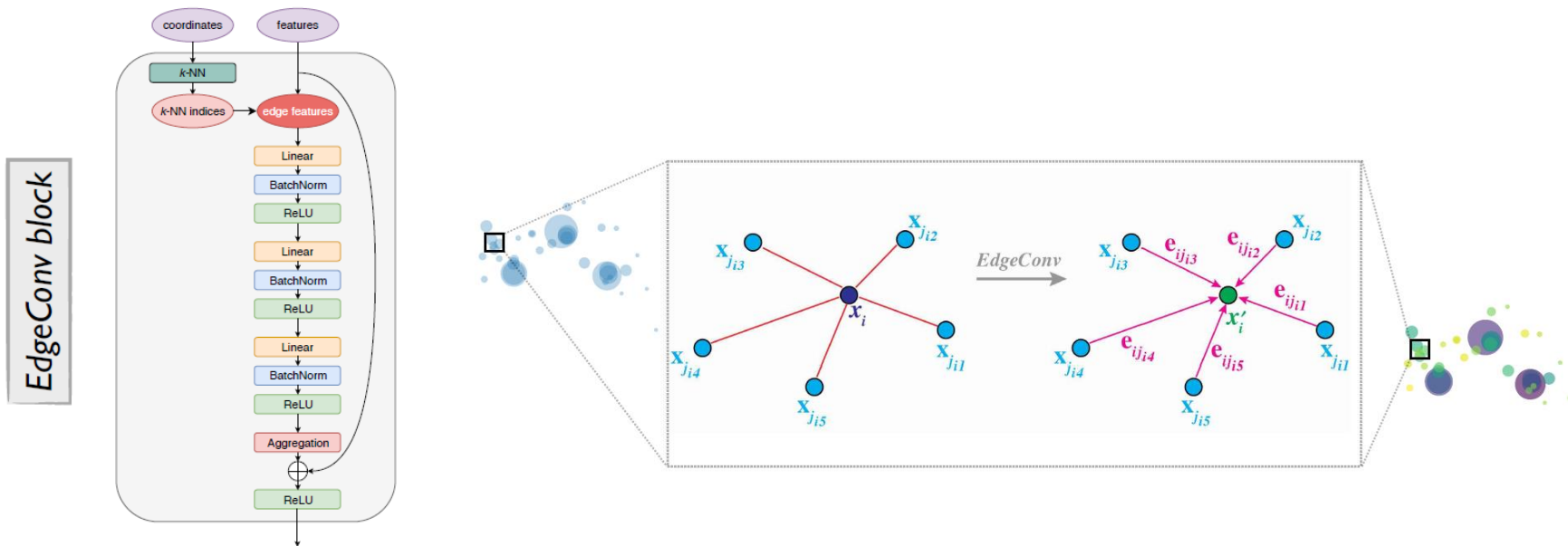
A Brief Introduction on ParticleNet

- The architecture of ParticleNet

H. Qu and L. Gouskos [[Phys.Rev.D 101 \(2020\) 5, 056019](#)]

- EdgeConv can be stacked to form a deep network

- learning both local and global structures, in a hierarchical way



A Brief Introduction on ParticleNet

- Performance of ParticleNet

- Performance comparison on the top tagging benchmark dataset.

	Accuracy	AUC	$1/\epsilon_b$ at $\epsilon_s = 50\%$	$1/\epsilon_b$ at $\epsilon_s = 30\%$
ResNeXt-50	0.936	0.9837	302 ± 5	1147 ± 58
P-CNN	0.930	0.9803	201 ± 4	759 ± 24
PFN	...	0.9819	247 ± 3	888 ± 17
ParticleNet-Lite	0.937	0.9844	325 ± 5	1262 ± 49
ParticleNet	0.940	0.9858	397 ± 7	1615 ± 93

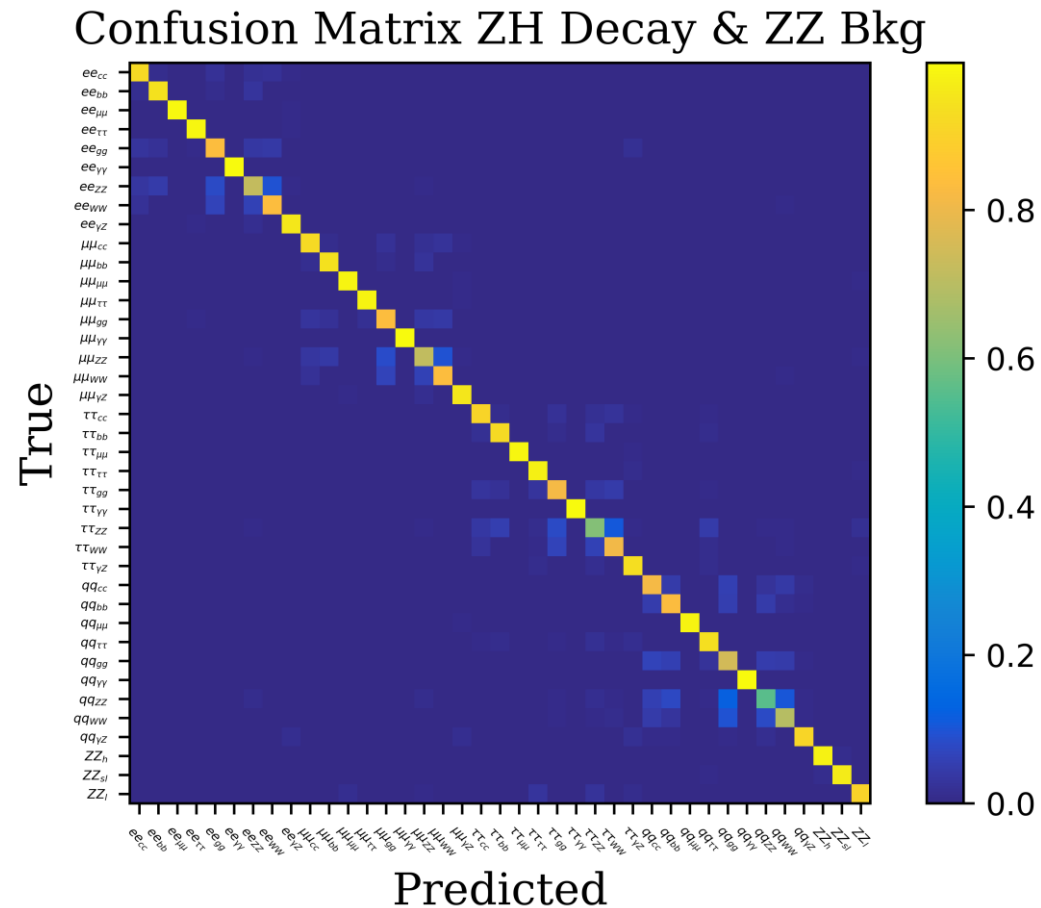
- Performance comparison on the quark-gluon tagging benchmark dataset.

	Accuracy	AUC	$1/\epsilon_b$ at $\epsilon_s = 50\%$	$1/\epsilon_b$ at $\epsilon_s = 30\%$
ResNeXt-50	0.821	0.8960	30.9	80.8
P-CNN	0.818	0.8915	31.0	82.3
PFN	...	0.8911	30.8 ± 0.4	...
ParticleNet-Lite	0.826	0.8993	32.8	84.6
ParticleNet	0.828	0.9014	33.7	85.4
P-CNN (w/ PID)	0.827	0.9002	34.7	91.0
PFN-Ex (w/ PID)	...	0.9005	34.7 ± 0.4	...
ParticleNet-Lite (w/ PID)	0.835	0.9079	37.1	94.5
ParticleNet (w/ PID)	0.840	0.9116	39.8 ± 0.2	98.6 ± 1.3

A Brief Introduction on ParticleNet


- Performance of ParticleNet

- Classify Higgs decays on CEPC (Event Level).



How to run ParticleNet

- First thing to do:
Login to IHEP cluster and do:



```
cp -r /scratchfs/bes/wangshudong/ParNet_tuto/ /PATH/TO/YOUR/SPACE/ParNet_tuto/
```

How to run ParticleNet

- **Weaver**

- *Weaver* aims at providing a streamlined yet flexible machine learning R&D framework for high energy physics (HEP) applications. [Github-Repo \(old ver.\)](#)

- **Set up your environment (you can use mine)**

- [Install Miniconda \(if you don't already have it\)](#)
 - [Set up a conda environment and install the required packages](#)
 - On IHEP cluster, simply type commands below to use my conda env (you don't even need to do this, see page 15):

```
#this conda env only support training using CPU, since most of you  
#don't have access to GPU cluster  
source "/cefs/higgs/wangshudong/miniconda3/etc/profile.d/conda.sh"  
conda activate weaver
```

- **Prepare your configuration files**

To train a neural network using *Weaver*, you need to prepare:

- A [YAML data configuration file](#) describing how to process the input data.
 - A [python model configuration file](#) providing the neural network module and the loss function.
 - **Let's move to codes now**

How to run ParticleNet

- **Start running! (general case)**


- The [train.py](#) script is the top-level script to run for training a neural net, getting prediction from trained models, and exporting trained models to ONNX for production.
- To check all the command-line options for *train.py*, run *python train.py -h*. Examples for training, inference and model exportation are shown below:

- **Training**

```
python train.py --data-train '/path/to/train_files/**/*.root' \
--data-test '/path/to/train_files/**/*.root' \
--data-config data/ak15_points_pf_sv.yaml \
--network-config networks/particle_net_pf_sv.py \
--model-prefix /path/to/models/prefix \
--gpus '0,1,2,3' --batch-size 512 --start-lr 5e-3 --num-epochs 20 --optimizer ranger \
--log logs/train.log
```

How to run ParticleNet


- Prediction/Inference



```
python train.py --predict --data-test '/path/to/test_files/**/*.root' \  
  --data-config data/ak15_points_pf_sv.yaml \  
  --network-config networks/particle_net_pf_sv.py \  
  --model-prefix /path/to/models/prefix_best_epoch_state.pt \  
  --gpus '0,1,2,3' --batch-size 512 \  
  --predict-output /path/to/output.root
```

How to run ParticleNet

- Start running! (for this tutorial only)



```
cd /PATH/TO/YOUR/SPACE/ParNet_tuto/weaver  
source subjob.sh #submit to cluster  
OR  
source weaver_e2e2h_9c1s_cpu.sh #run on login node
```

Then just wait!

How to run ParticleNet

- **Dataset**

- The dataset prepared for today's tutorial:

The production mode $\mu\mu H$ for the Higgs boson at 240 GeV are studied here, 9 decay modes are used, which are $H \rightarrow c\bar{c}$, $H \rightarrow b\bar{b}$, $H \rightarrow \mu^+\mu^-$, $H \rightarrow \tau^+\tau^-$, $H \rightarrow gg$, $H \rightarrow \gamma\gamma$, $H \rightarrow ZZ^*$, $H \rightarrow WW^*$ and $H \rightarrow \gamma Z$, respectively.

For each process, 100 events are generated with WHIZARD 1.9.5 and fed to PYTHIA6 for hadronization, where decays of most intermediate particles, such as W, Z, τ , etc., are also simulated by PYTHIA6 according to its default configuration.

All the generated samples are simulated in a simplified way to model detector responses. In detail, all particles are simulated according to the performance of the baseline detector in the CEPC CDR

How to run ParticleNet

- **Dataset**

- The dataset prepared for today's tutorial:

All the generated samples are simulated in a simplified way to model detector responses. In detail, all particles are simulated according to the performance of the baseline detector in the CEPC CDR:

The momentum resolution of charged tracks is: $\frac{\sigma(p_t)}{p_t} = 2 \times 10^{-5} \oplus \frac{0.001}{p \sin^{3/2} \theta} [\text{GeV}^{-1}]$

The energy resolution of photons is: $\frac{\sigma(E)}{E} = 0.03 \oplus \frac{0.50}{\sqrt{E/(\text{GeV})}}$

The energy resolution of neutral hadrons is: $\frac{\sigma(E)}{E} = 0.01 \oplus \frac{0.20}{\sqrt{E/(\text{GeV})}}$

All the reconstruction efficiencies are assumed to be 100% in the simulation. For impact parameters and particle identification (PID, only e, μ, γ), they are taken directly from the truth of generation.

How to run ParticleNet

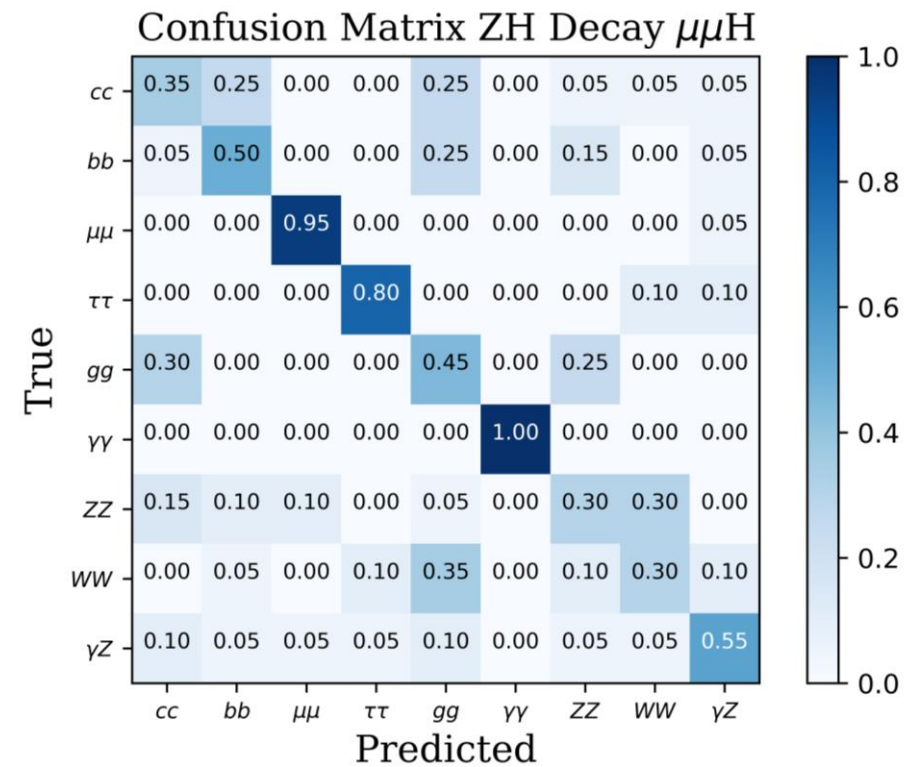
- How to plot a confusion matrix

- confusion matrix:

```
#in weaver conda environment
cd /PATH/TO/YOUR/SPACE/ParNet_tuto/weaver/pltCM
#copy confusion matrix from
#test_e2e2h_9cls_XXXXXXX_XXXXXX.log and paste it
#in pltCM.py and add some commas
python plotCM.py
```

```
CM = np.array([[0.35, 0.25, 0. , 0. , 0.25, 0. , 0.05, 0.05, 0.05],
               [0.05, 0.5 , 0. , 0. , 0.25, 0. , 0.15, 0. , 0.05],
               [0. , 0. , 0.95, 0. , 0. , 0. , 0. , 0. , 0.05],
               [0. , 0. , 0. , 0.8 , 0. , 0. , 0. , 0.1 , 0.1 ],
               [0.3 , 0. , 0. , 0. , 0.45, 0. , 0.25, 0. , 0. ],
               [0. , 0. , 0. , 0. , 0. , 1. , 0. , 0. , 0. ],
               [0.15, 0.1 , 0.1 , 0. , 0.05, 0. , 0.3 , 0.3 , 0. ],
               [0. , 0.05, 0. , 0.1 , 0.35, 0. , 0.1 , 0.3 , 0.1 ],
               [0.1 , 0.05, 0.05, 0.05, 0.1 , 0. , 0.05, 0.05, 0.55]])
```

- Result:



How to run ParticleNet

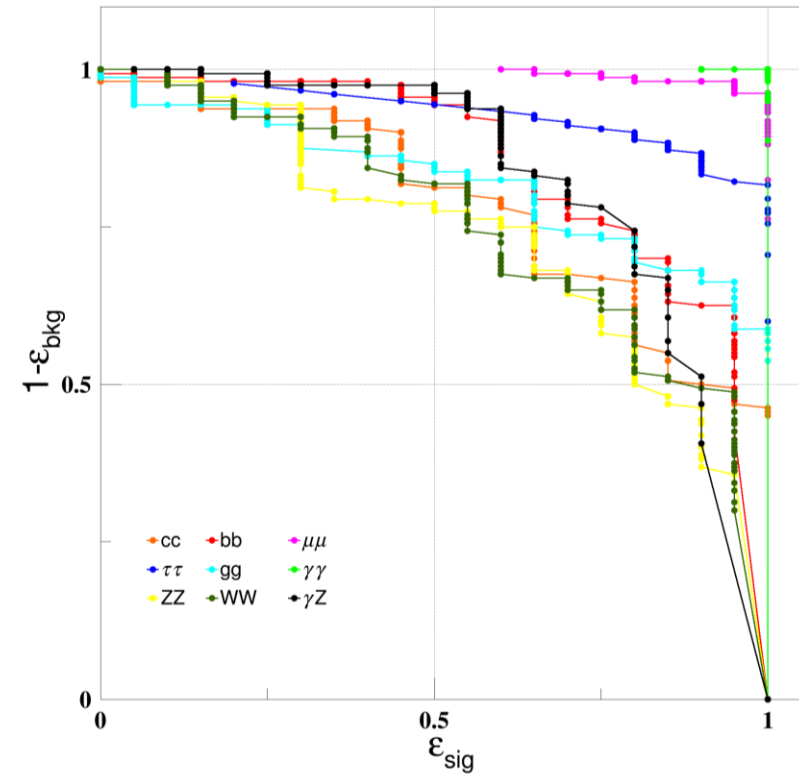
- How to plot ROC curves

- ROC curves:



```
#deactivate conda environment
cd /PATH/TO/YOUR/SPACE/ParNet_tuto/weaver/pltROC
vim plotROC.C #change the path in line 11 to your
#output root file
#use default root environment
root plotROC.C
```

- Result:



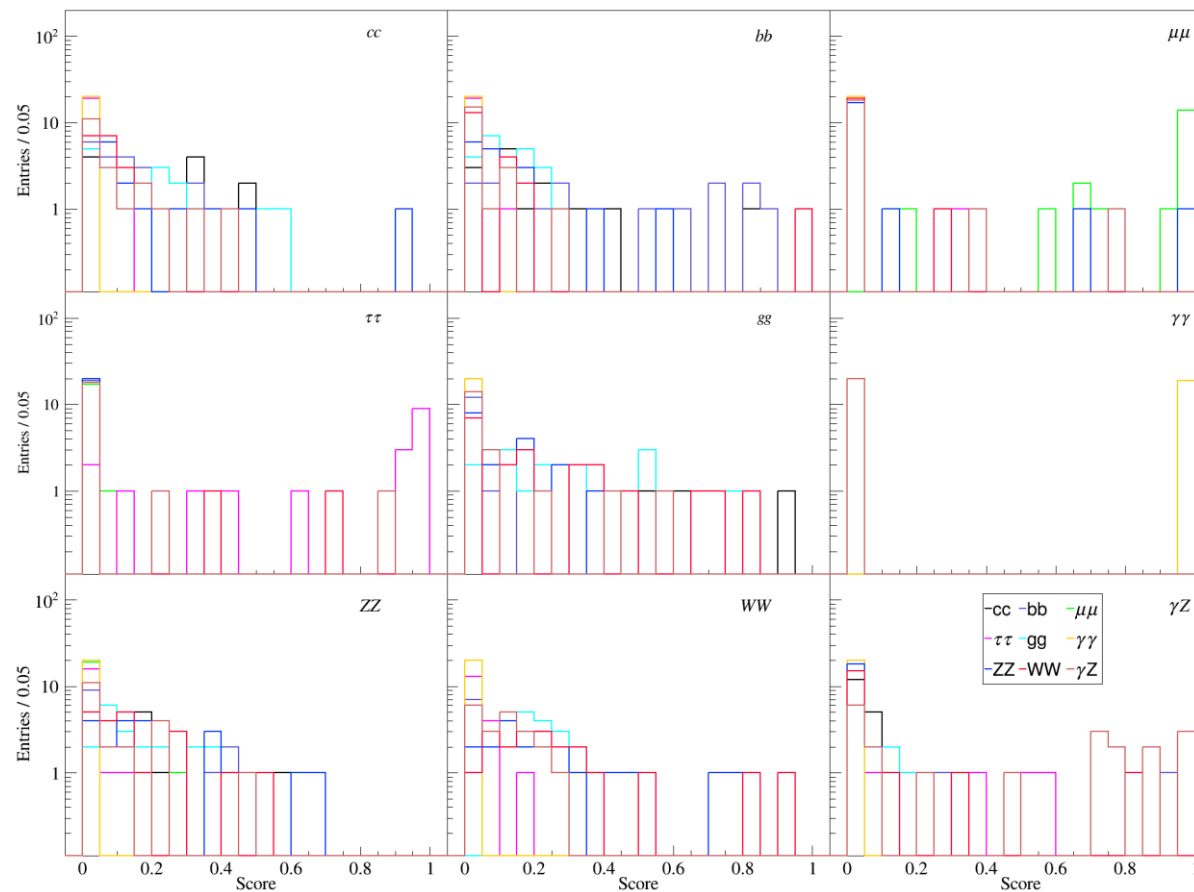
How to run ParticleNet

- How to plot scores

- ROC curves:

```
●●●
#deactivate conda environment
cd /PATH/TO/YOUR/SPACE/ParNet_tuto/weaver/pltScore
vim plotScore.C
#change the path in line 125 to your output root
#file
#use default root environment
root plotScore.C
```

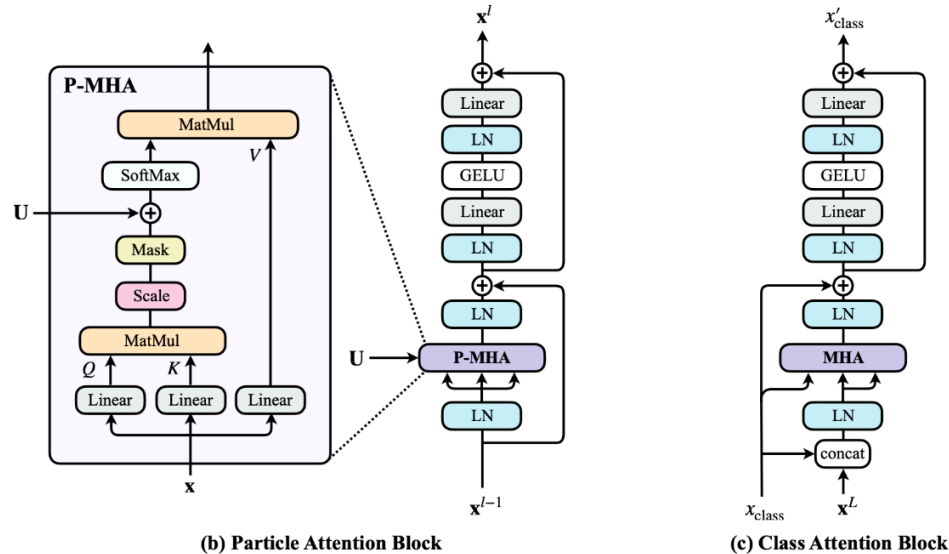
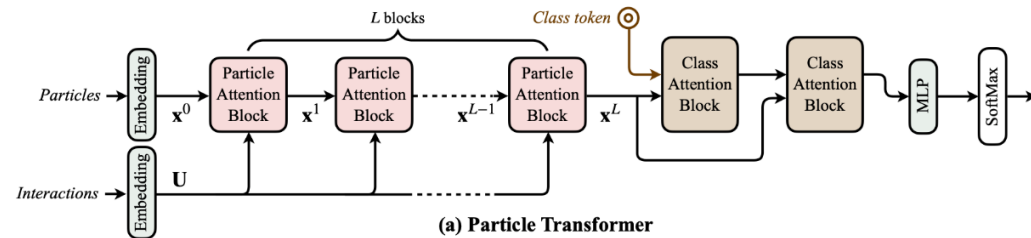
- Result:



New approach: Particle Transformer

Particle Transformer (ParT)

The **Particle Transformer (ParT)** architecture is described in "[Particle Transformer for Jet Tagging](#)", which can serve as a general-purpose backbone for jet tagging and similar tasks in particle physics. It is a Transformer-based architecture, enhanced with pairwise particle interaction features that are incorporated in the multi-head attention as a bias before softmax. The ParT architecture outperforms the previous state-of-the-art, ParticleNet, by a large margin on various jet tagging benchmarks.



Github Link:

[Official implementation of "Particle Transformer for Jet Tagging". \(github.com\)](#)

Indico Link (EPD seminar):

[Jet tagging algorithm respecting Lorentz group symmetry \(September 2, 2022\) · Indico of IHEP \(Indico\)](#)

[ParticleNet](#), [PFN](#), [P-CNN](#) are also implemented in this package using **weaver** ([Github: hqucms/weaver-core](#)) framework

Try new toys!