

Overview of recent results on open heavy flavour production (mainly) with ALICE at the LHC

张晓明

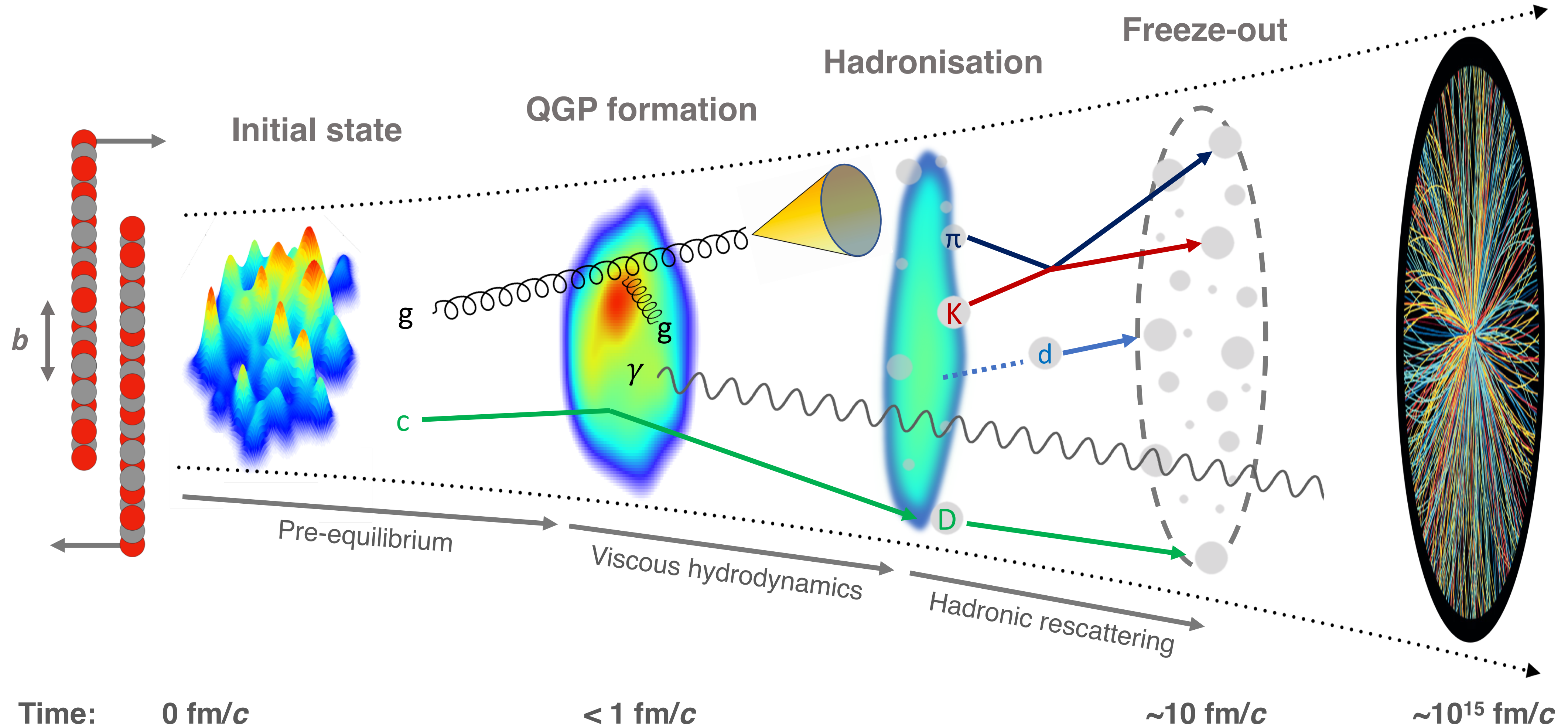
华中师范大学



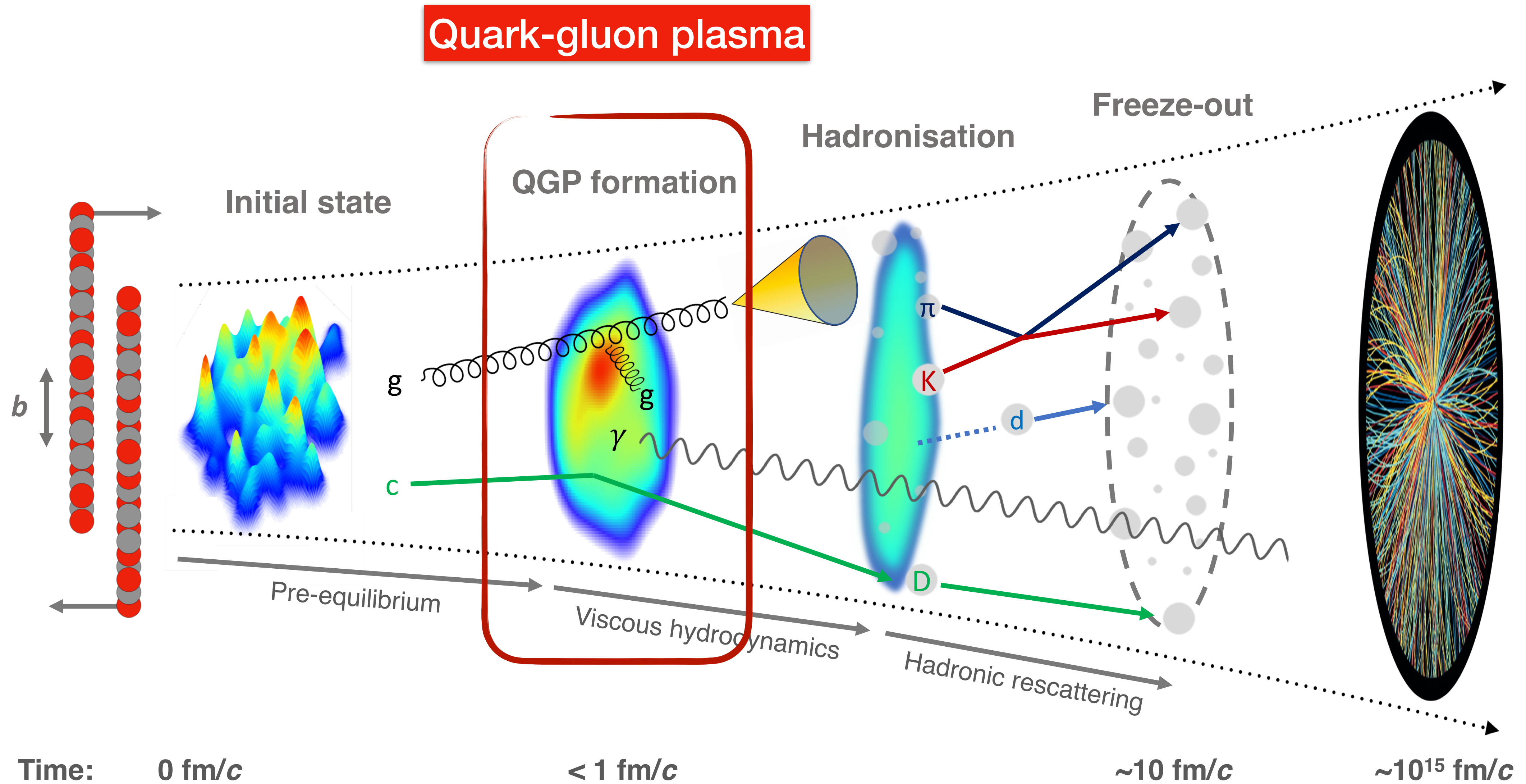
原子核结构与相对论重离子碰撞前沿交叉研讨会
31 July – 6 Aug 2023, Dalian, China



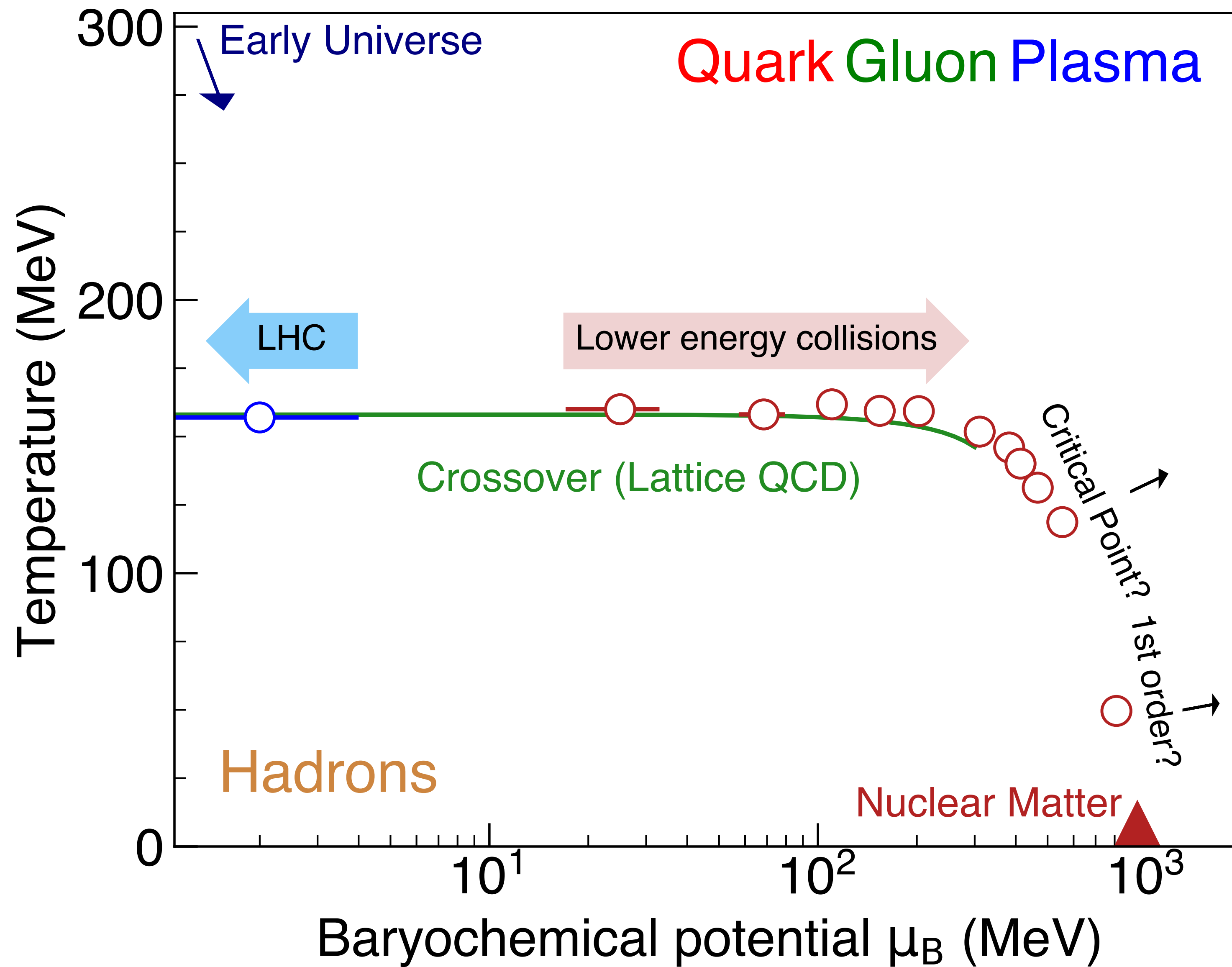
Heavy-ion collisions



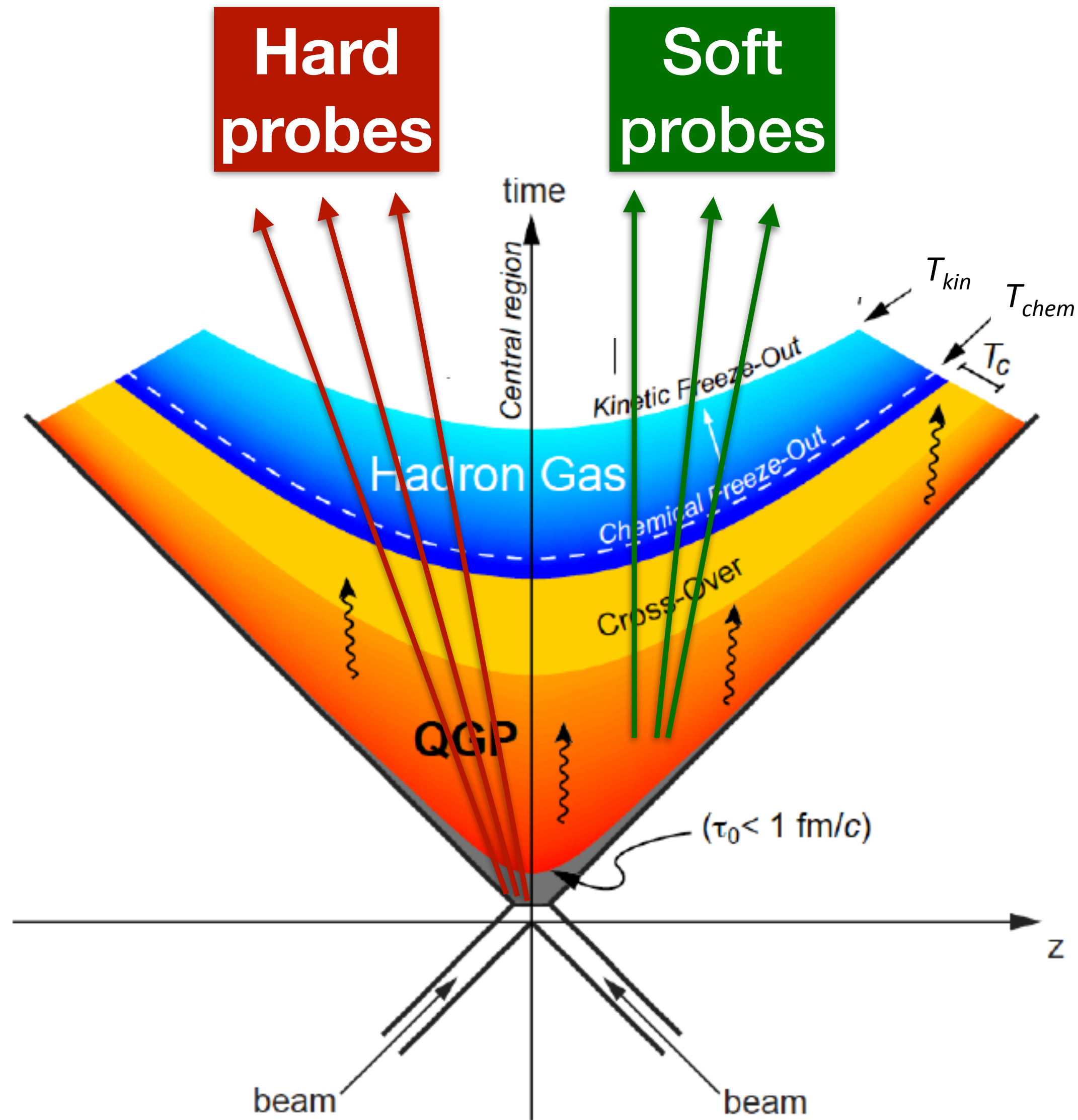
Heavy-ion collisions



QCD phase diagram



Signatures of the QGP



Heavy-ion collisions probe the strongly-interacting matter – the quark-gluon plasma (QGP) under extreme conditions of high temperature and energy density

Hard probes created at initial stage of the collision

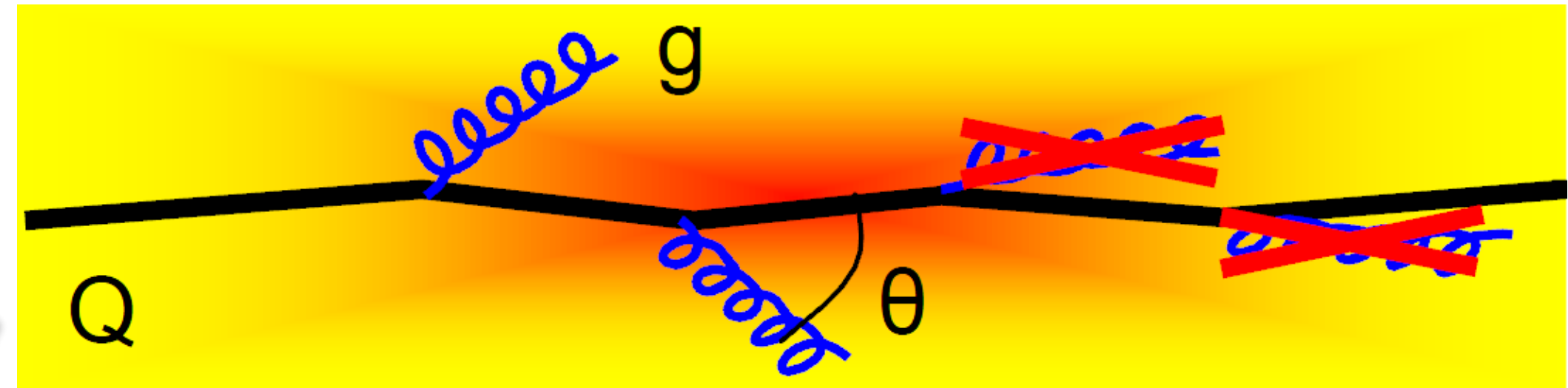
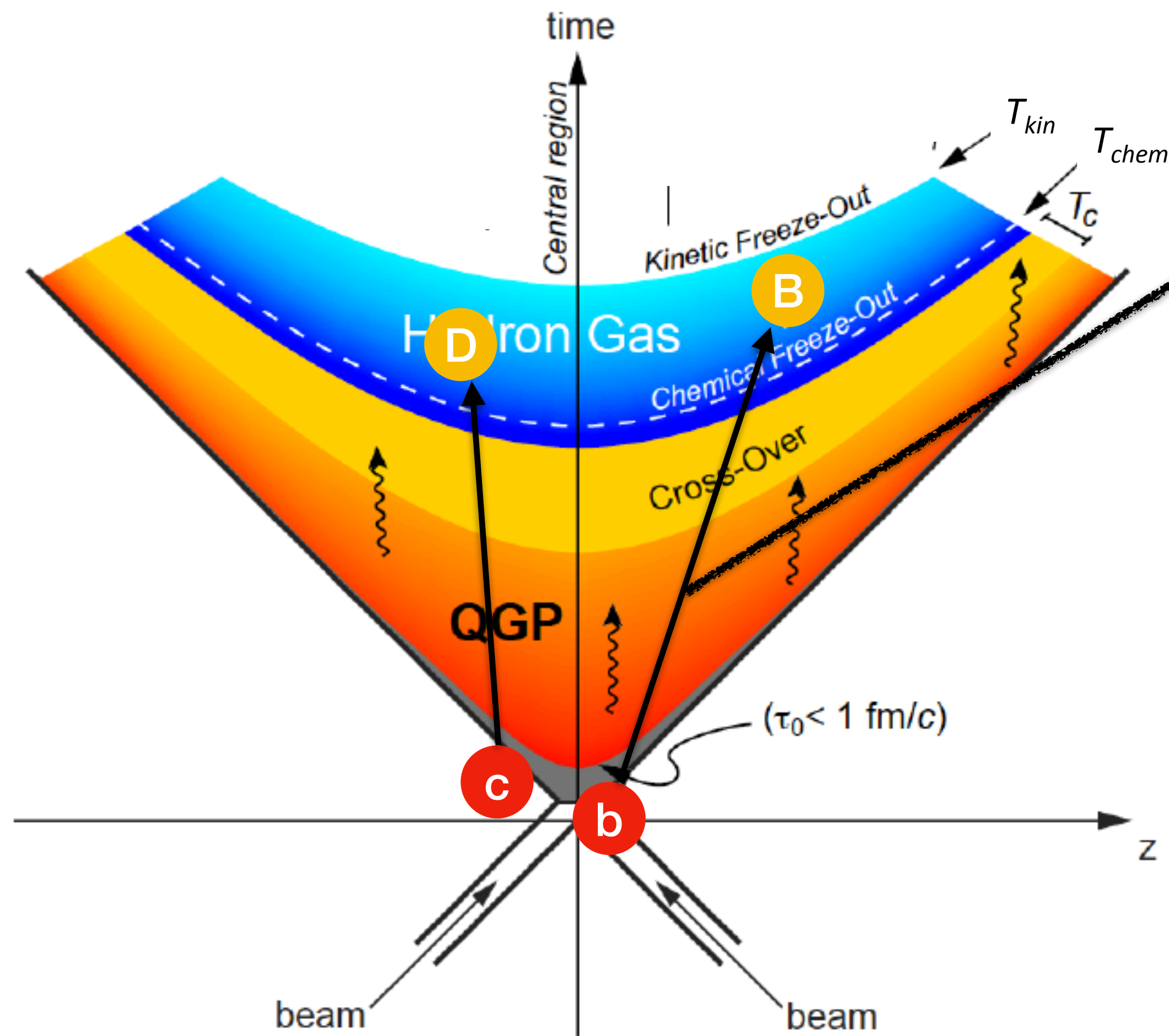
➔ QGP tomography

Soft probes created in the “fireball”

➔ Fingerprint of the QGP evolution

Heavy quarks: QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



Energy loss in QGP medium

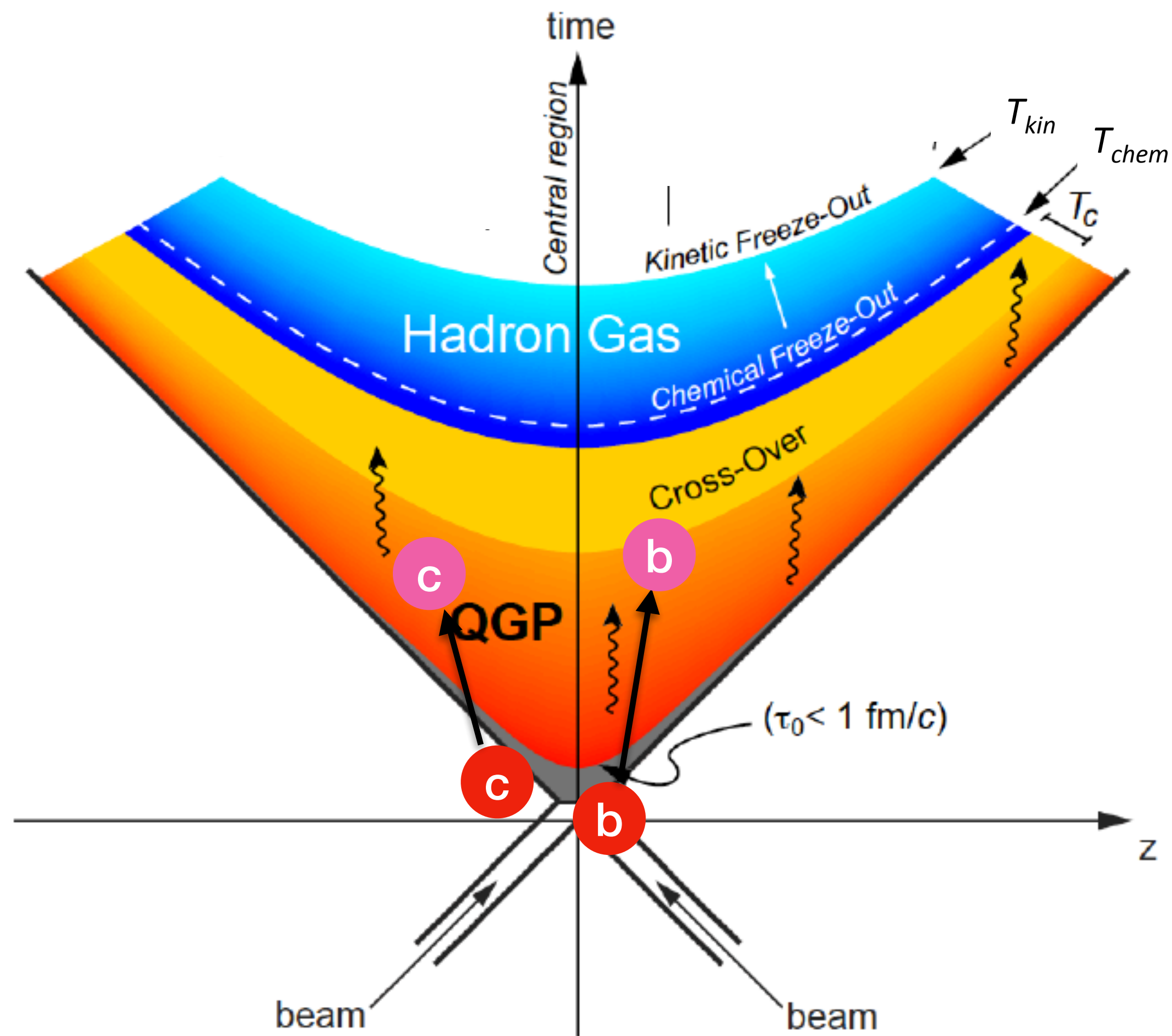
$$R_{AA}(p_T) = \frac{dN_{AA}/dp_T}{\langle T_{AA} \rangle d\sigma_{pp}/dp_T}$$

QCD medium
QCD vacuum

- $R_{AA} = 1$ if no medium effect
- **Radiative** vs. **collisional** energy loss

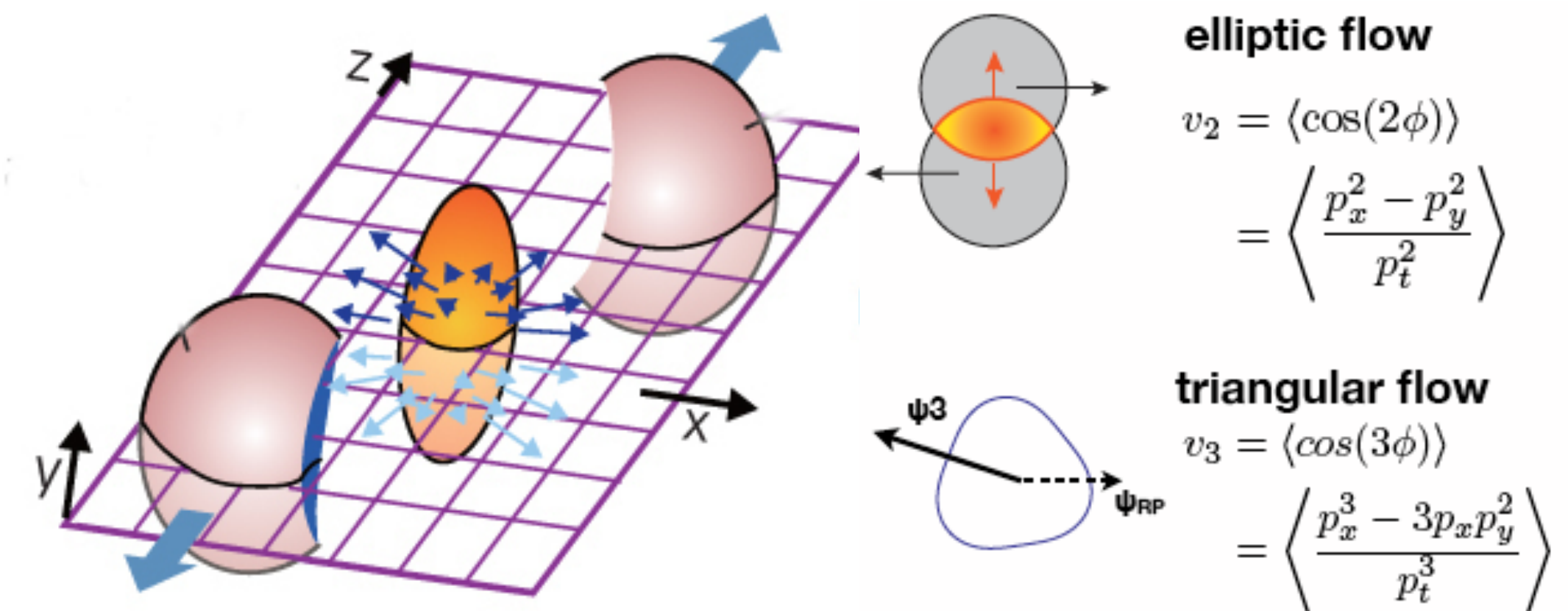
Heavy quarks: QGP tomography

Heavy quarks (charm and beauty): produced at the early stage of the collisions before the QGP creation



Collective expansion

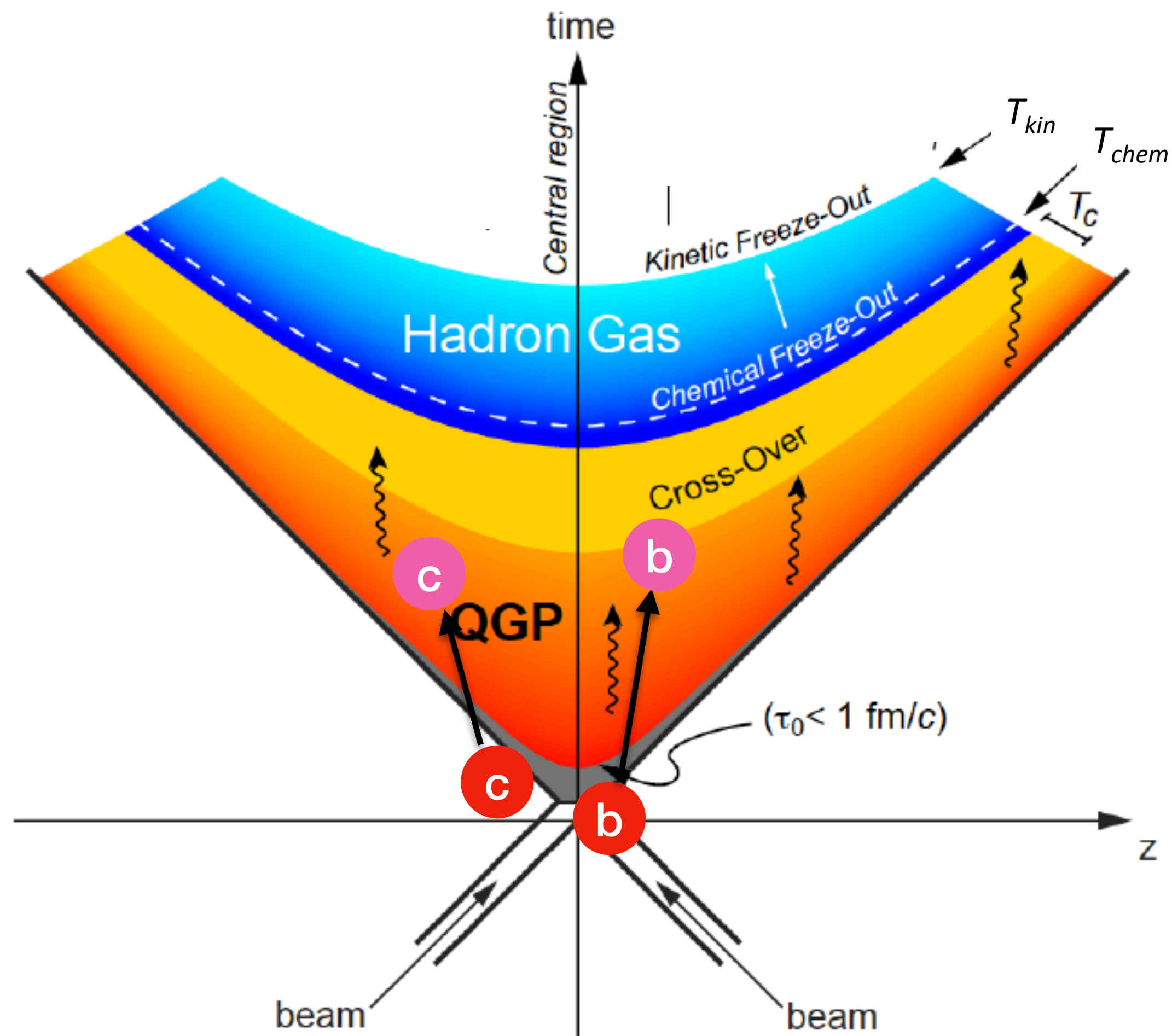
➔ **Anisotropic flow**



➔ Results in complex azimuthal structure of final state particles

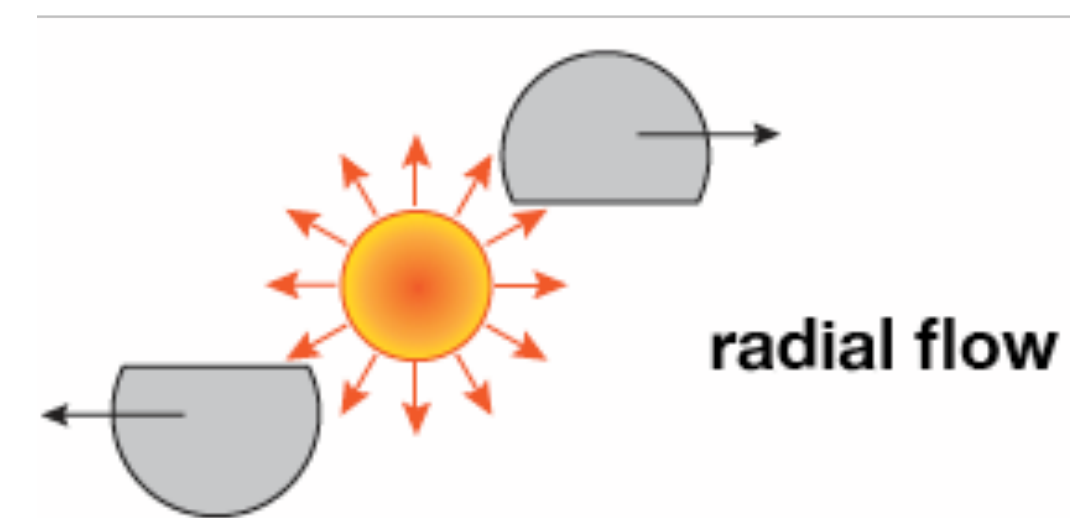
Heavy quarks: QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



Collective expansion

➔ **Radial flow**



➔ Push low p_T particles toward intermediate p_T

$$p = p_0 + \beta m$$

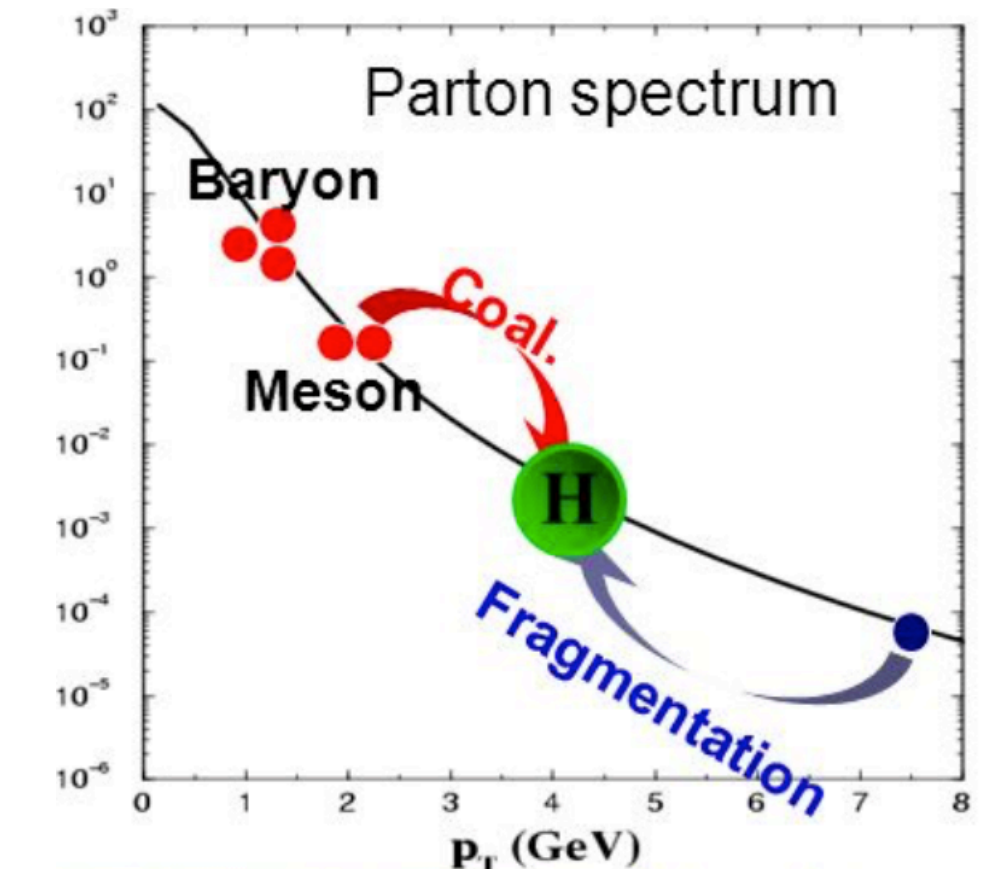
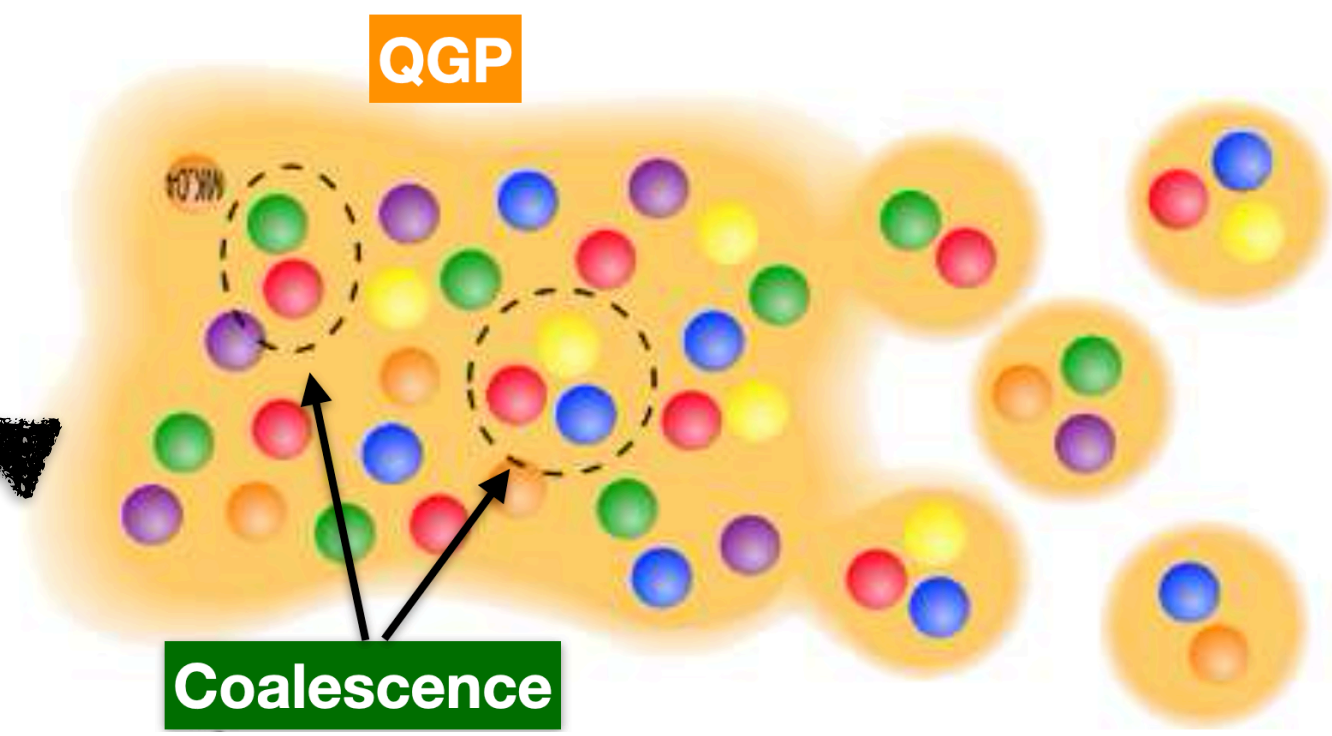
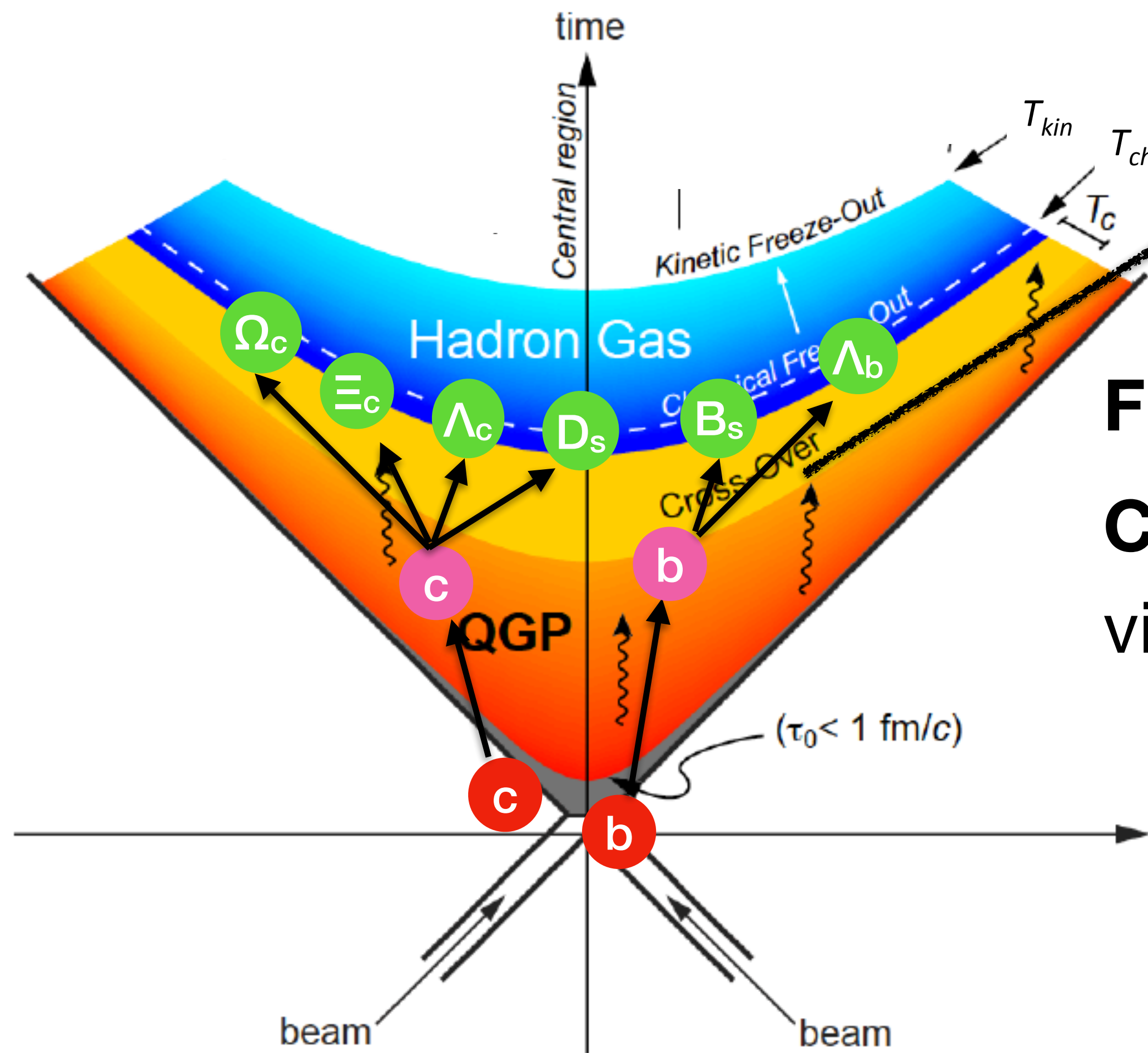
p_0 : initial momentum
 β : flow velocity
 m : particle mass

➔ More pronounced in central collisions

➔ Mass dependence

Heavy quarks: QGP tomography

Heavy quarks (charm and beauty): produced at the early stage of the collisions before the QGP creation



Fragmentation — hadrons from high p_T partons

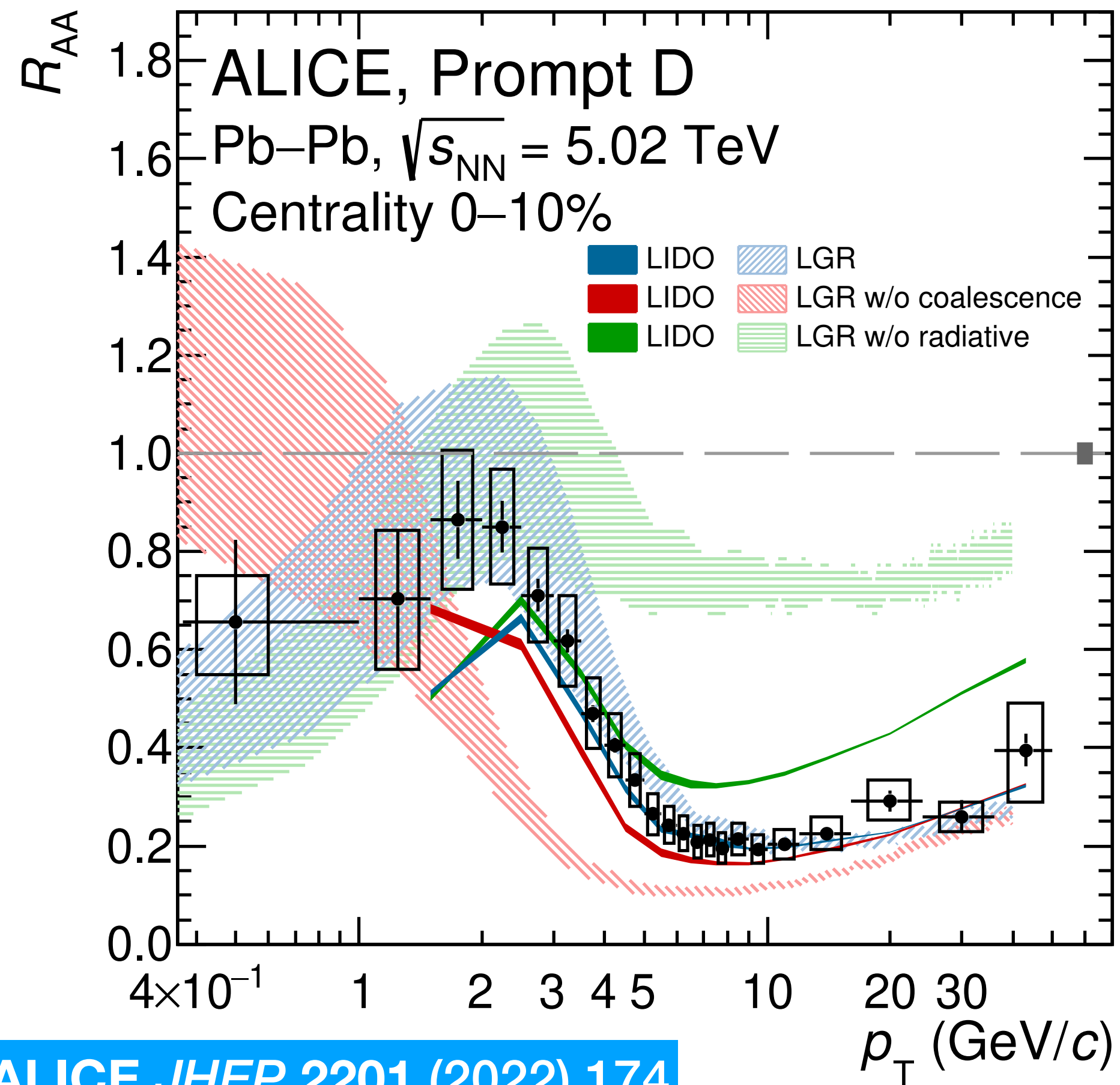
Coalescence/recombination — hadron formation via (di-)quark combination in the QGP medium

➔ $p_{T, \text{hadron}} \approx n p_{T, \text{parton}}$, $n = 2$ (meson), 3 (baryon)

➔ Sensitive to baryon and meson species

➔ Baryons from lower momenta partons (denser)

Charm quark energy loss



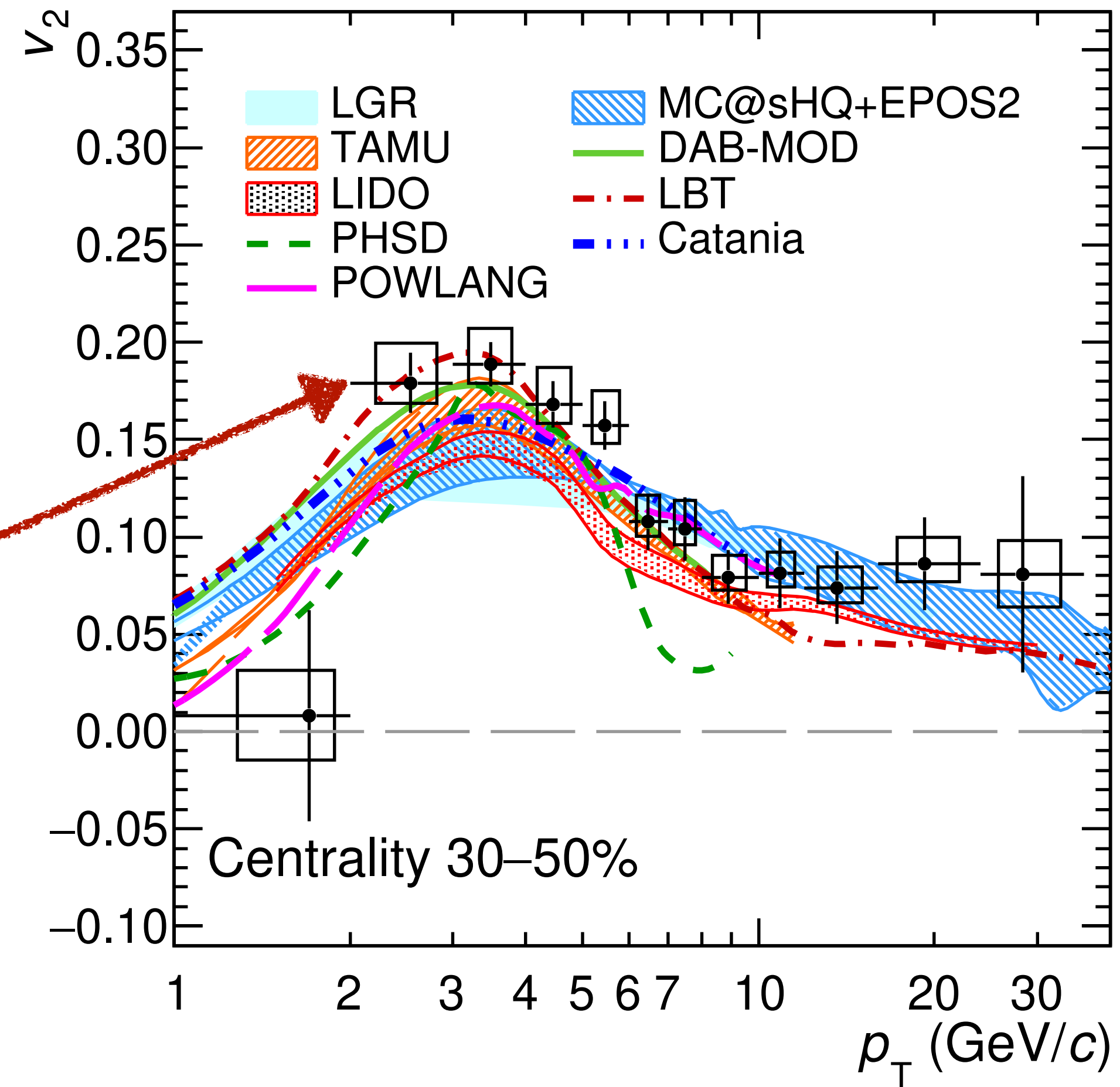
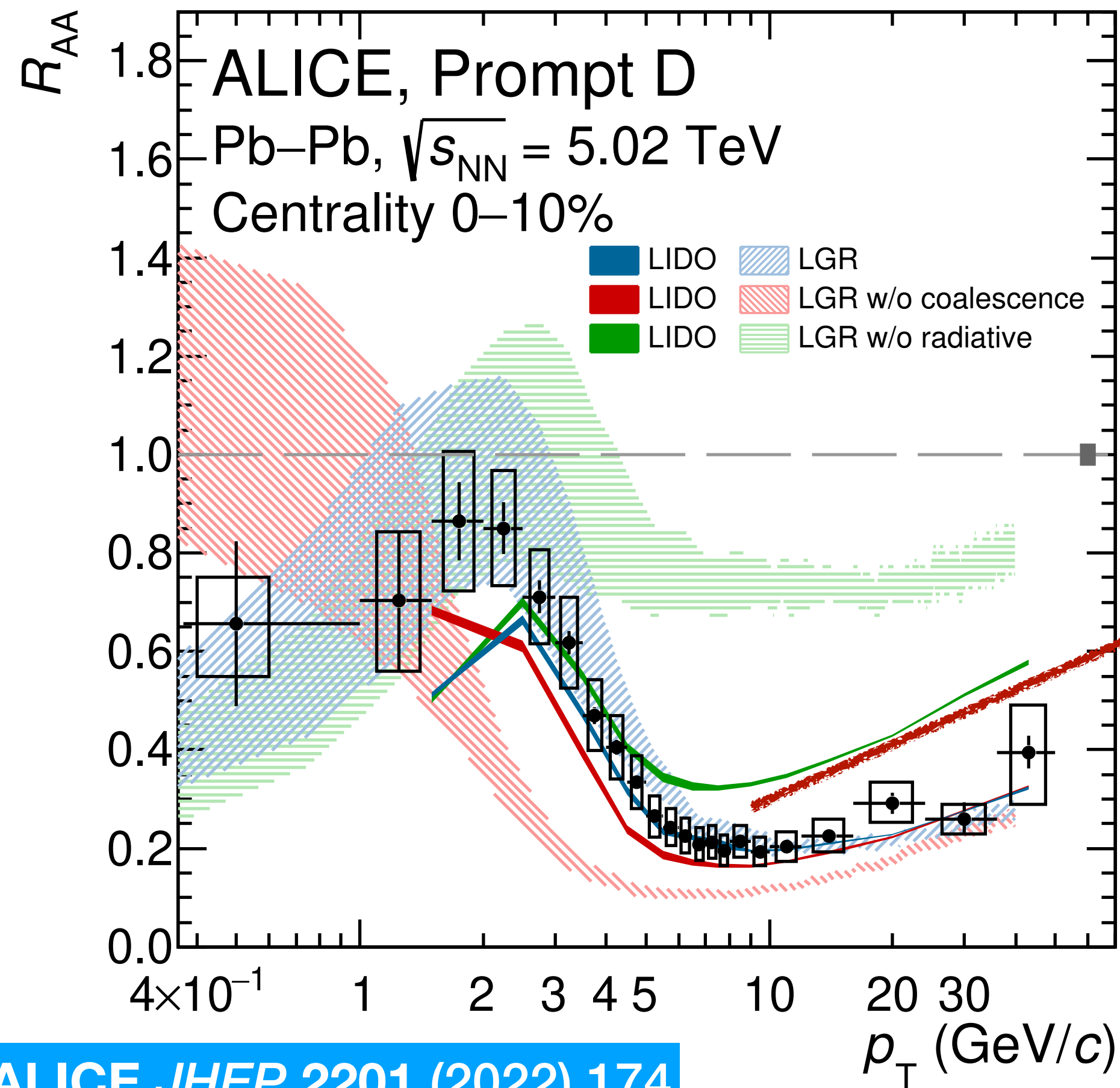
ALICE JHEP 2201 (2022) 174

W/o coalescence Large deviation from data
➔ Hadronization via coalescence is important to interpret data

W/o radiative energy loss Reasonably describe data in $p_T < 5$ GeV/c, but largely overestimate data at high p_T

➔ Radiative energy loss is dominant at high p_T , while collisional energy loss is predominant at low and intermediate p_T

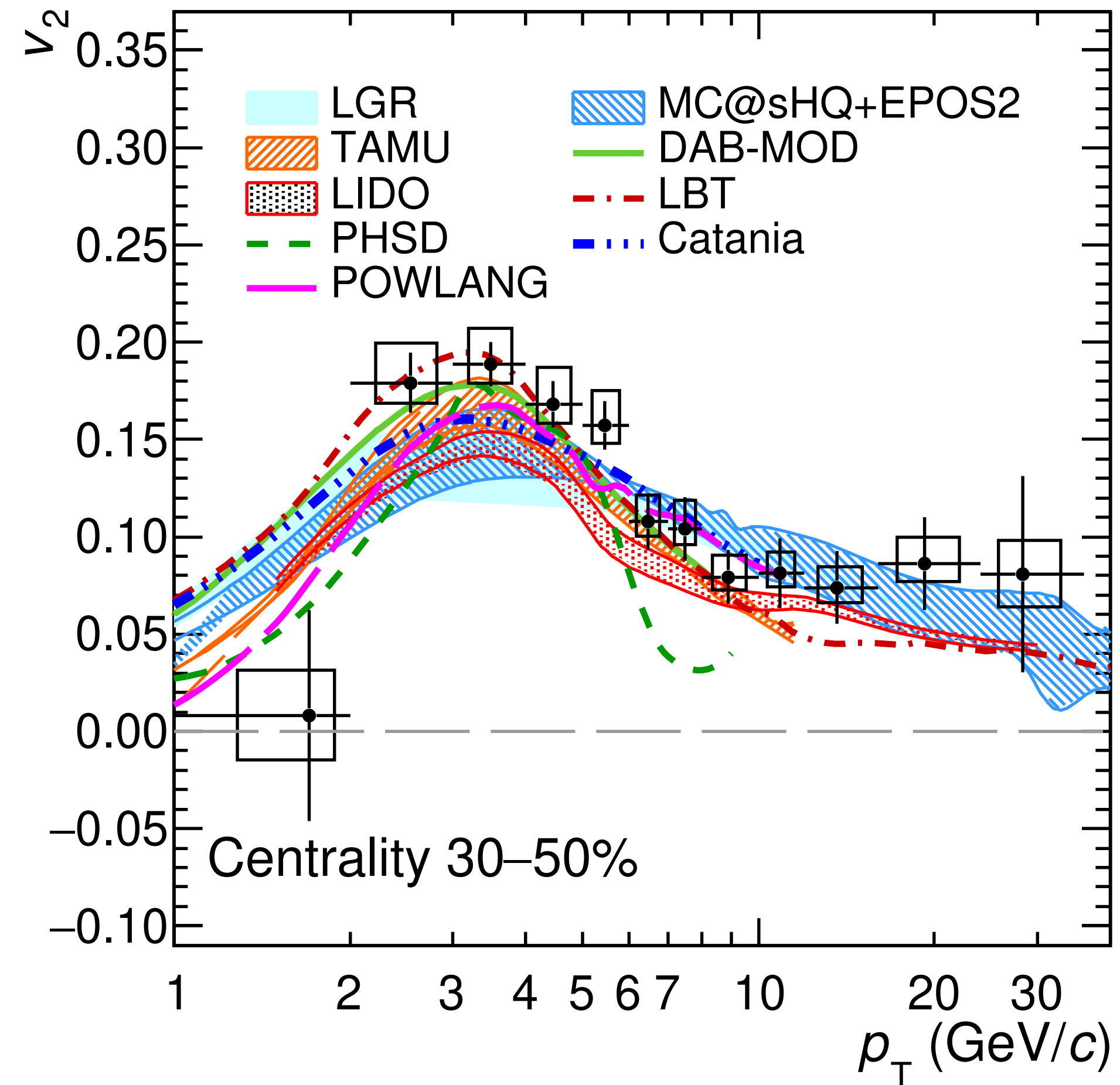
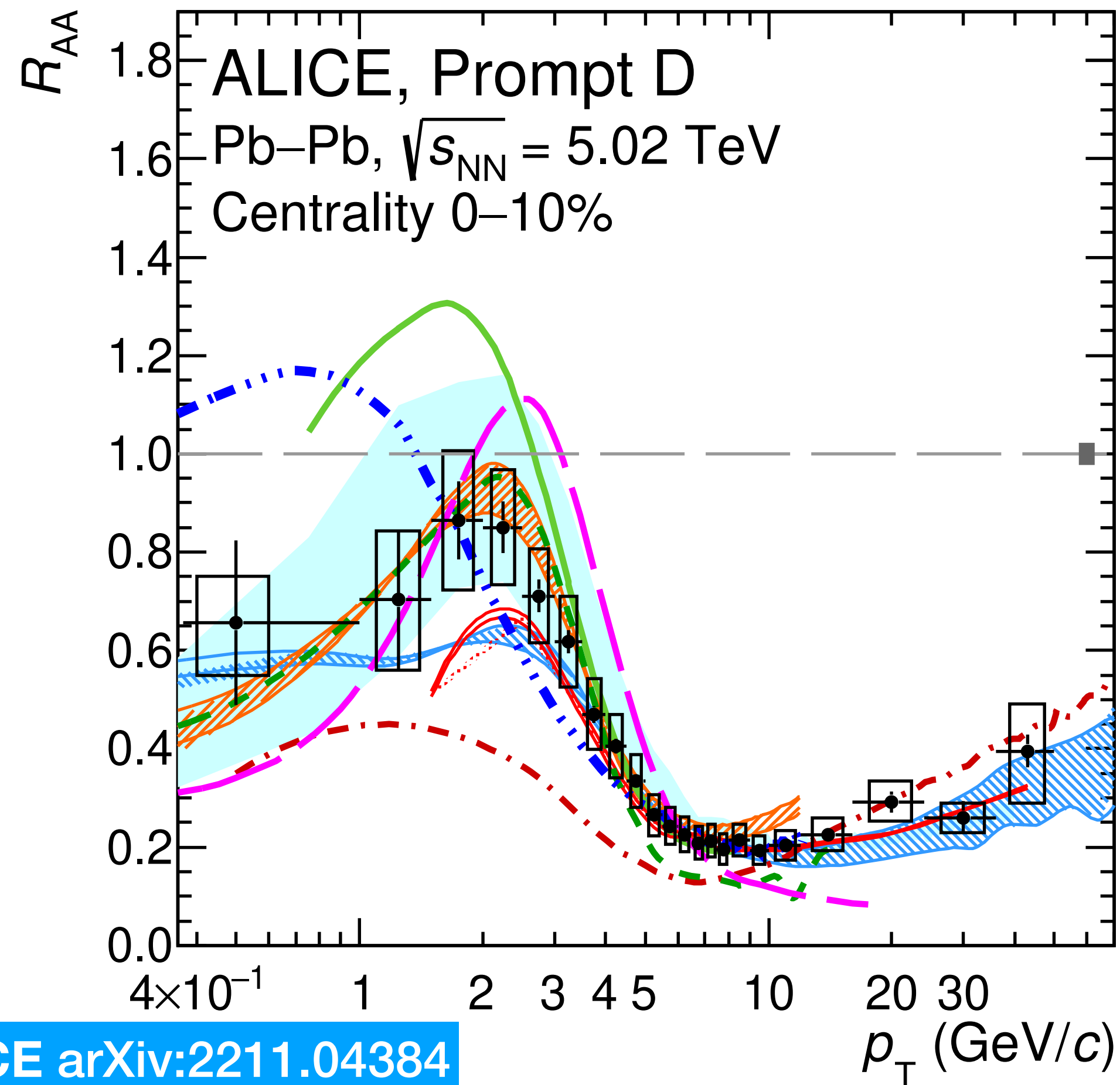
Charm quark transport



ALICE JHEP 2201 (2022) 174

- Significant charmed hadron v_2 coefficient
➔ Consistent with strong suppression resulted by large energy loss

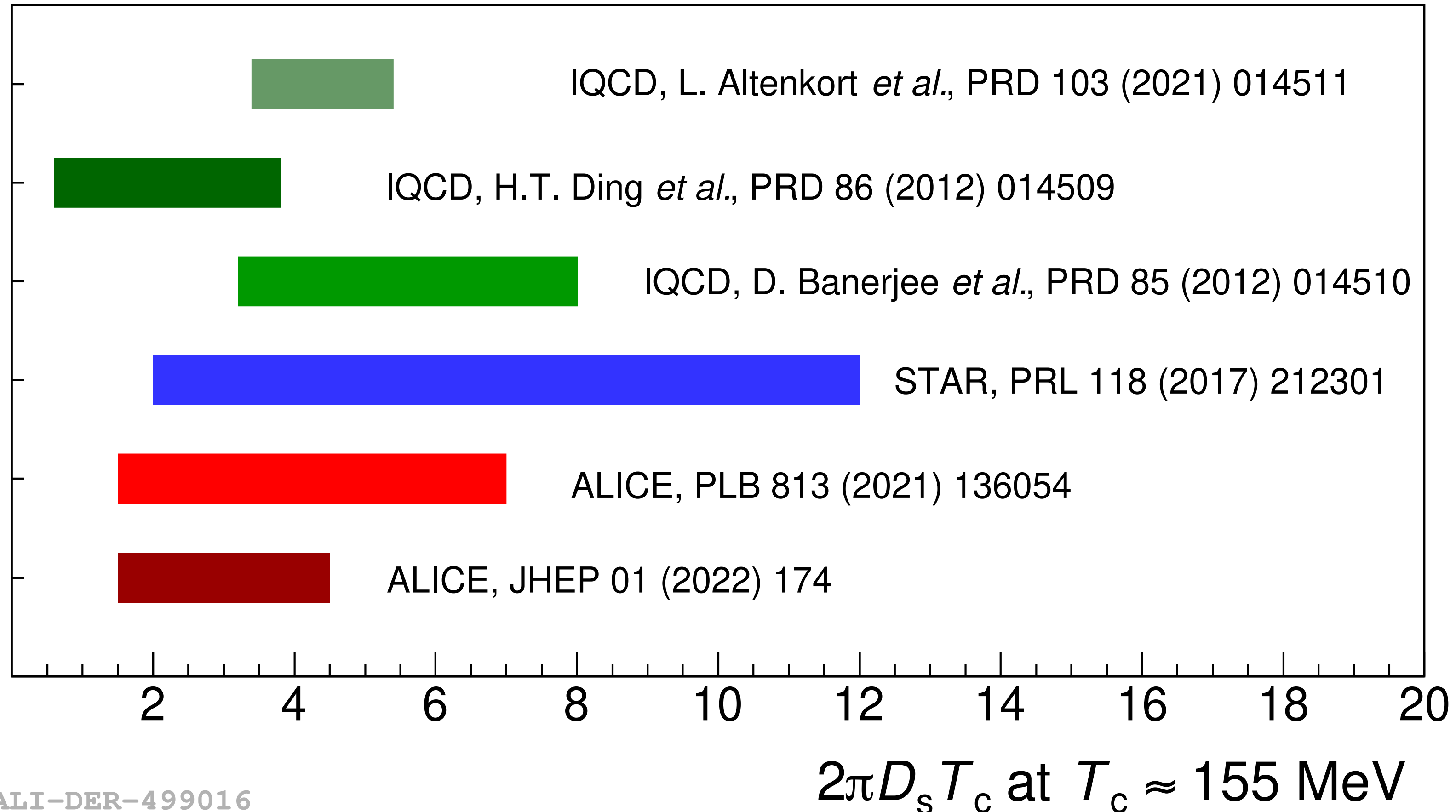
Charm quark transport



ALICE arXiv:2211.04384

- Most charm quark transport models able to describe both the R_{AA} and v_2
- Use to estimate the spatial **diffusion coefficient D_s**

Charm quark transport



ALI-DER-499016

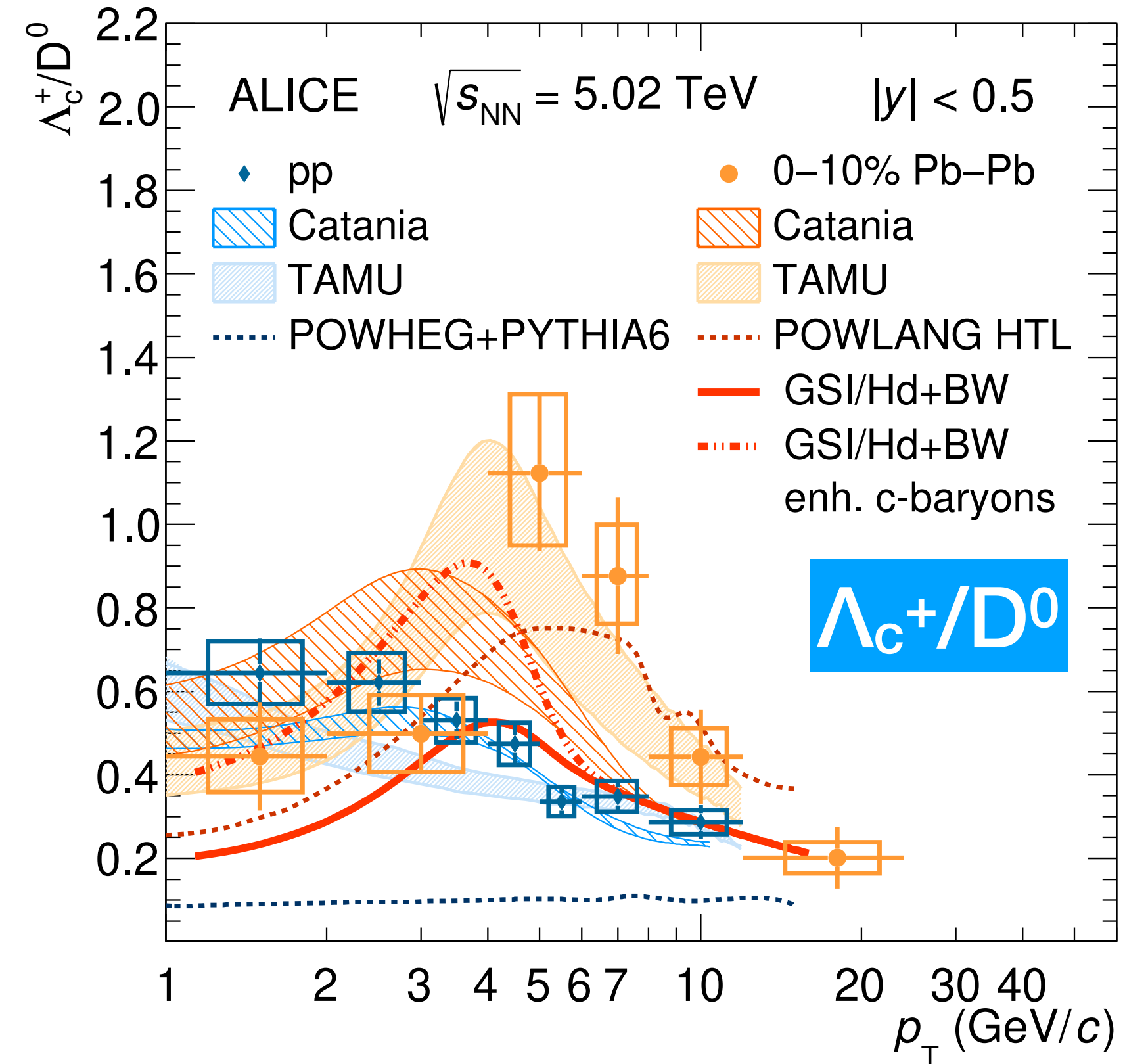
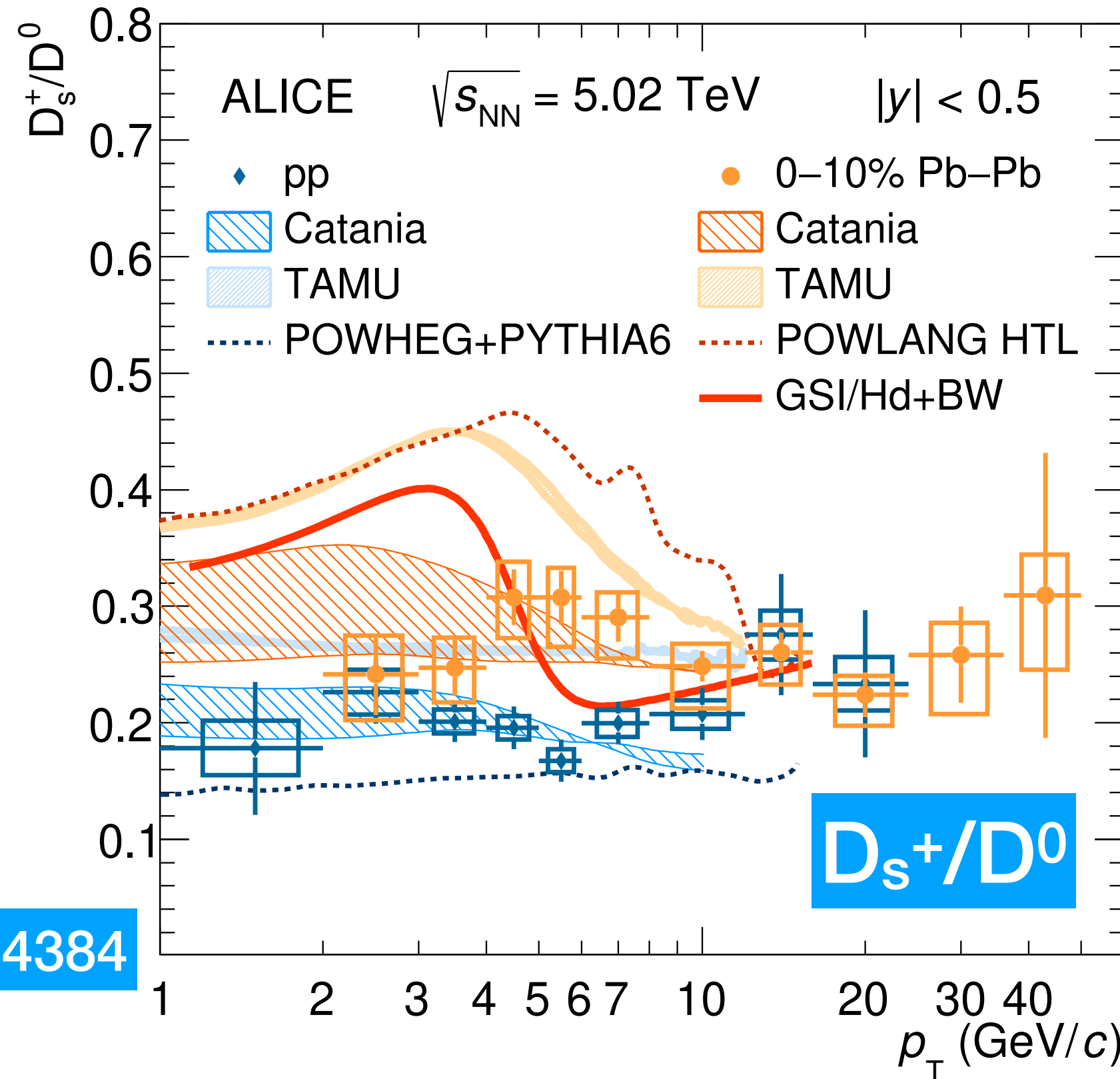
Diffusion coefficient D_s

- Almost independent of quark mass
- Characterization of the transport properties of the medium
- Constrains the specific shear viscosity η/s

The **newest** constraints from ALICE by combining D meson R_{AA} and v_2

- $1.5 < 2\pi D_s(T) < 4.5$, $\tau_{\text{charm}} = (m_{\text{charm}} / T) D_s(T) = 3\text{--}9$ fm/c $< \tau_{\text{medium}} \approx 10$ fm/c
- Indicate charm may thermalize in the medium

Charm quark hadronization



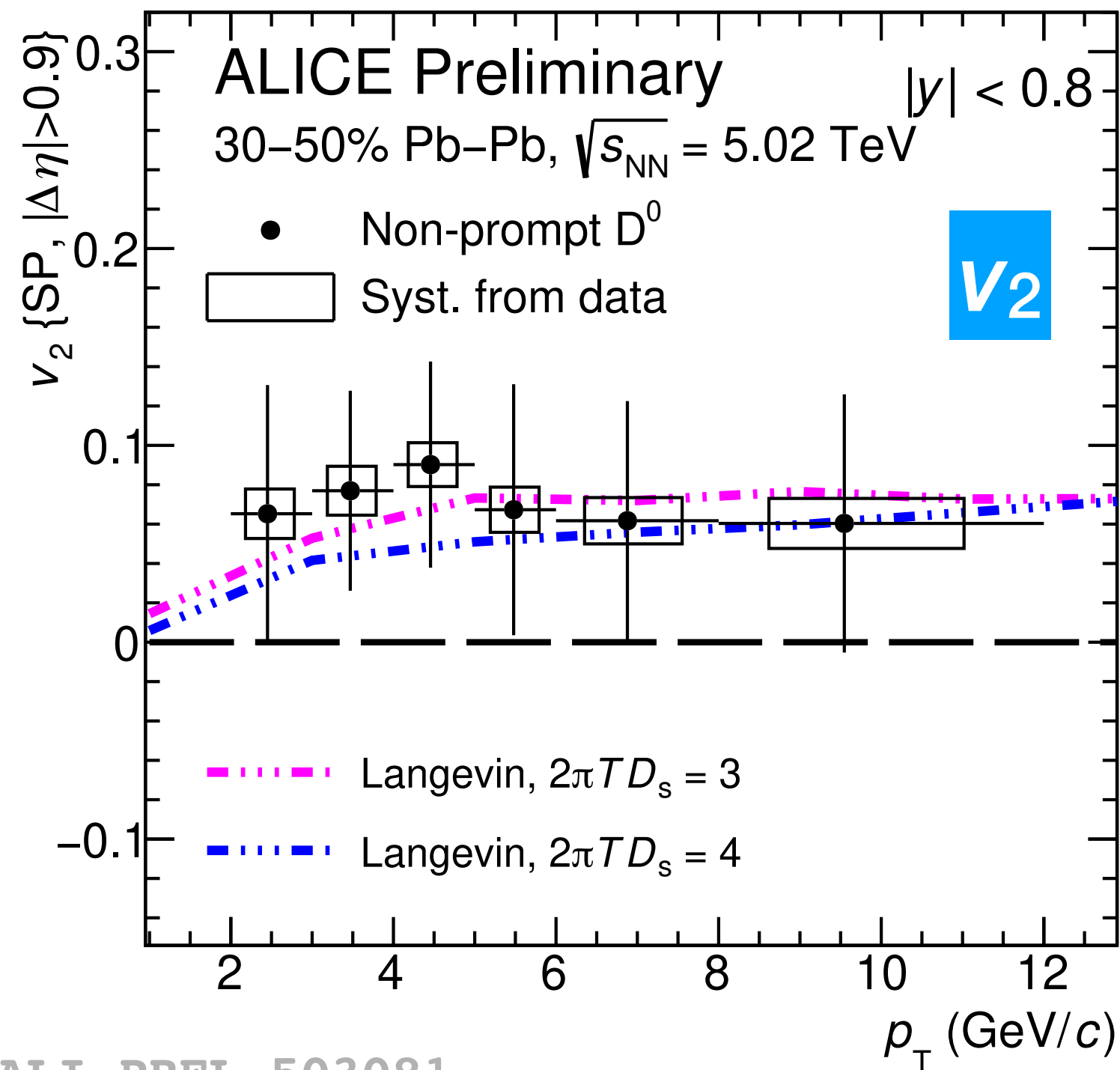
Pb-Pb

pp

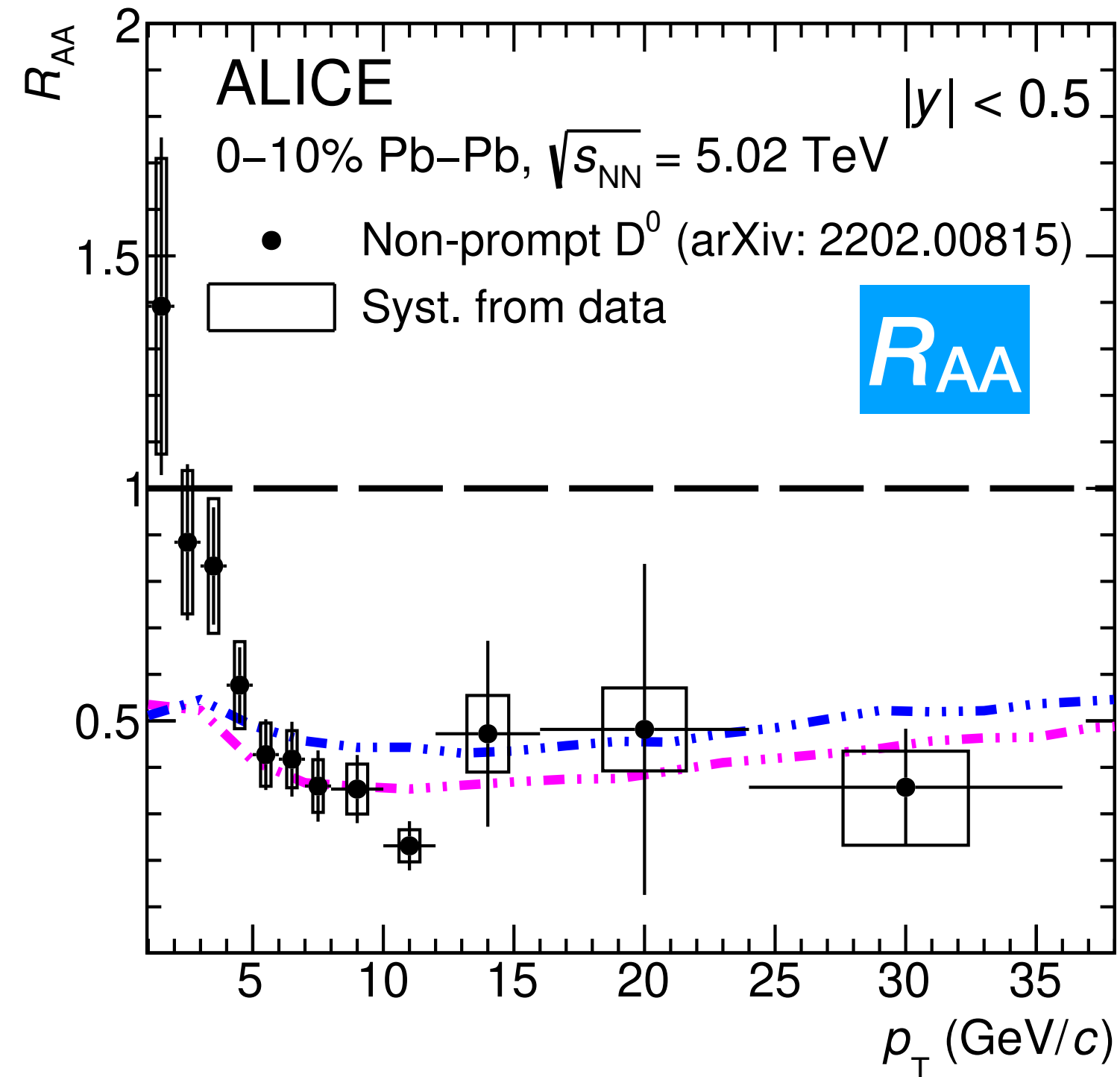
ALICE arXiv:2211.04384

- Hints of enhanced D_s^+/D^0 ratio at intermediate p_T in **Pb-Pb** w.r.t. **pp** — support charm hadronization via recombination
- Enhanced Λ_c/D^0 ratio in **Pb-Pb** w.r.t. **pp** — suggest interplay between recombination and radial flow

Beauty quark transport

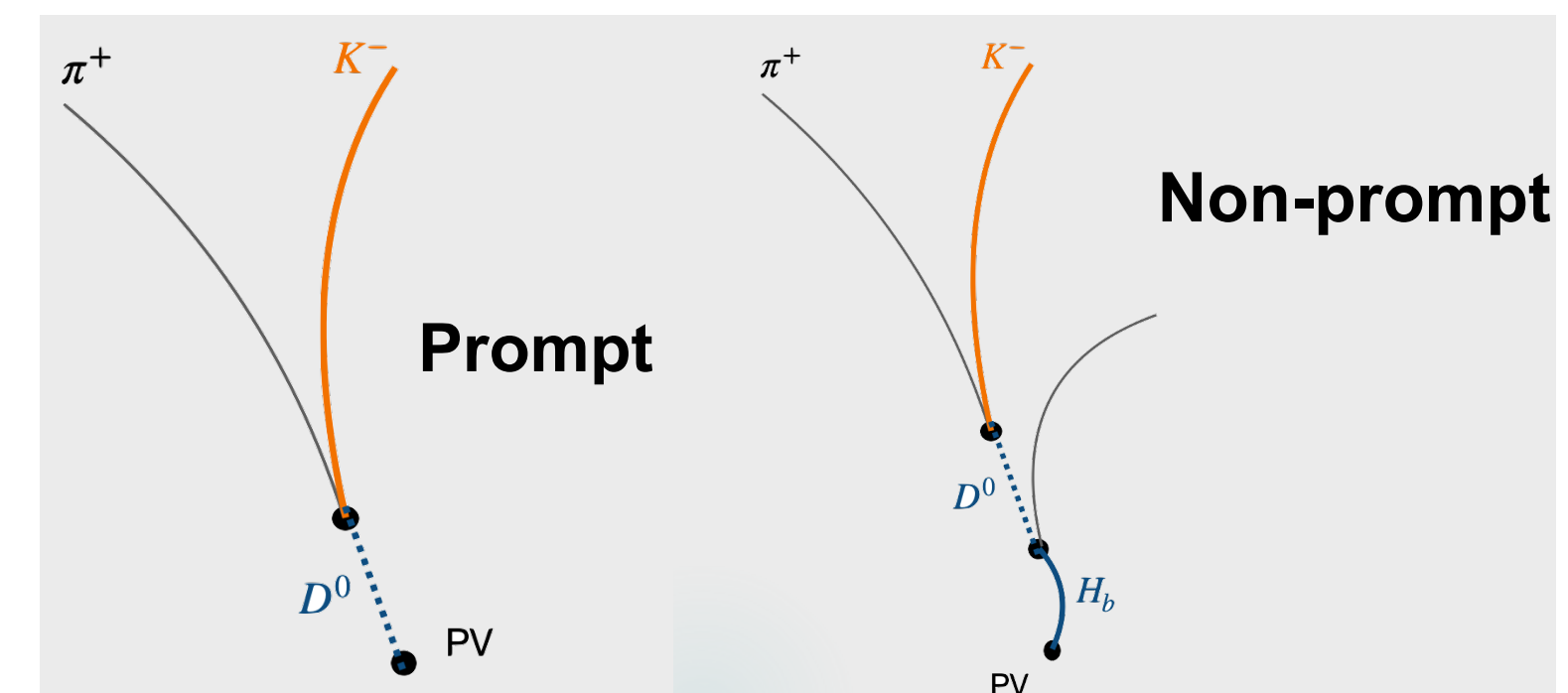


ALI-PREL-503081



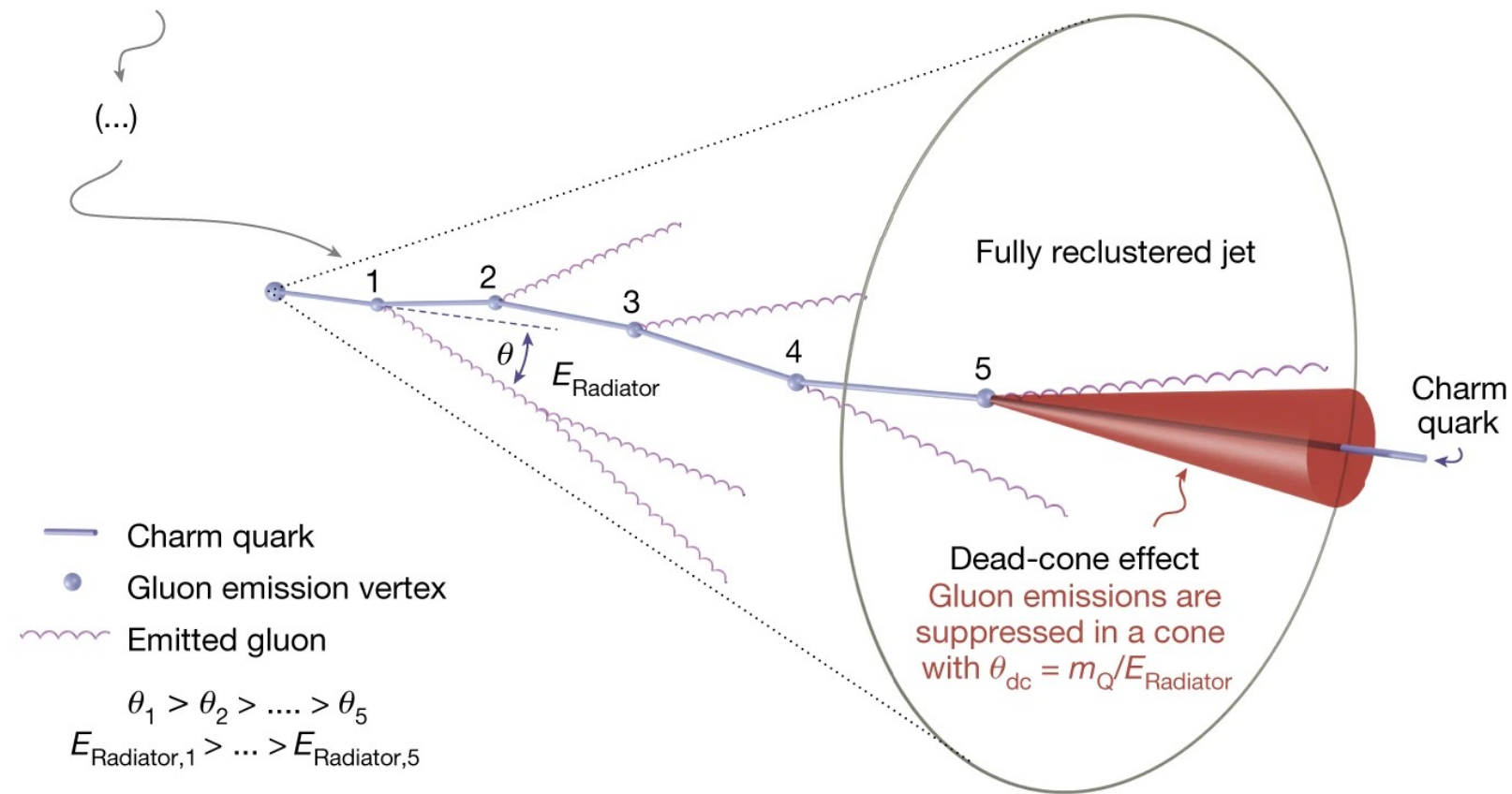
- Beauty particle R_{AA} and v_2 measured via non-prompt D^0 by ALICE
- Conclusion is similar to the measurements of B mesons, non-prompt J/ψ and B meson semileptonic decays by ATLAS and CMS

- D_s obtained in beauty sector is similar to that in charm sector ($2\pi D_s \approx 1.5-4.5$ for charm)
- Indicate $\tau_{\text{beauty}} \propto m_{\text{beauty}} D_s \gtrsim \tau_{\text{medium}} (m_{\text{beauty}} \approx 3 m_{\text{charm}})$



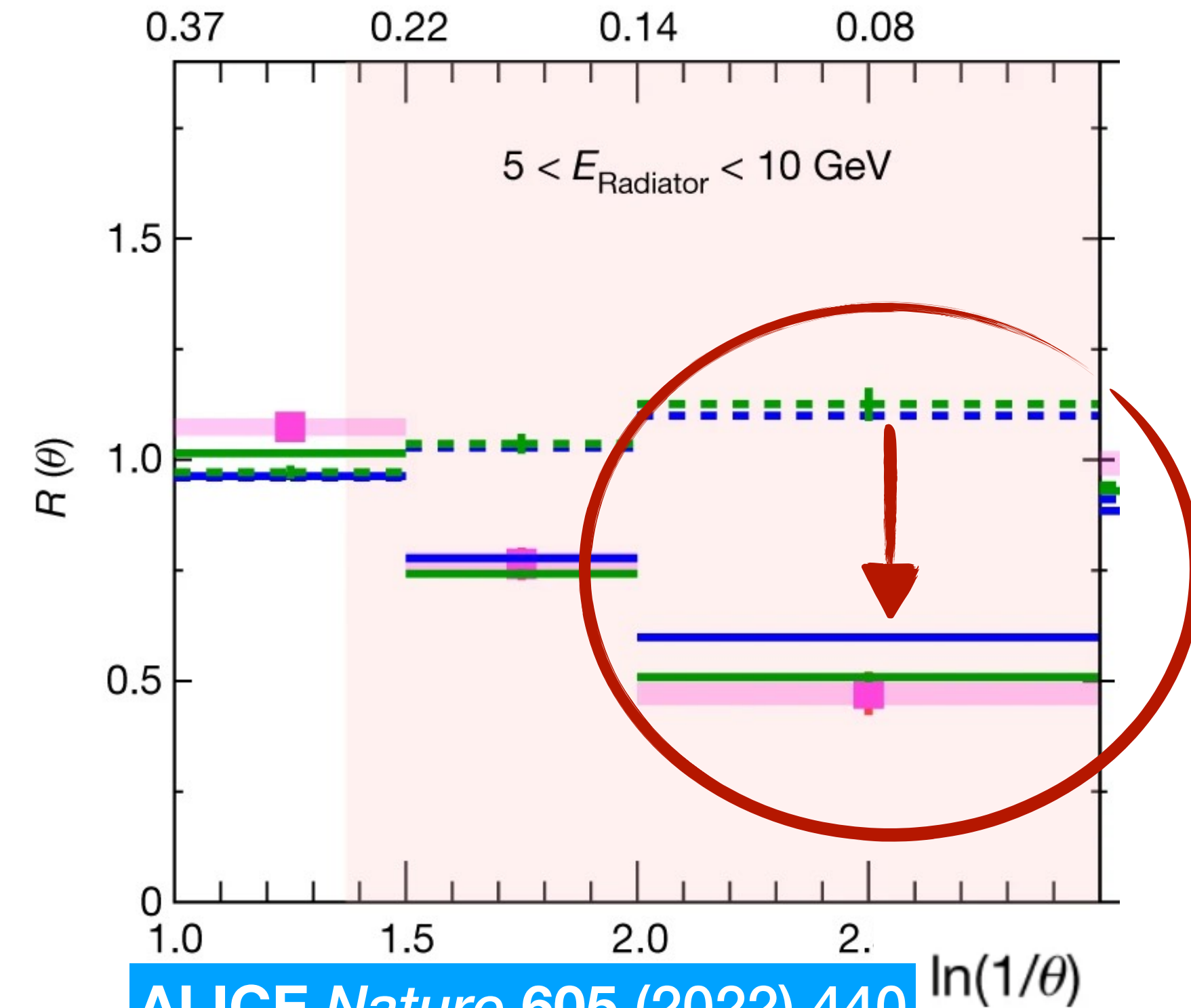
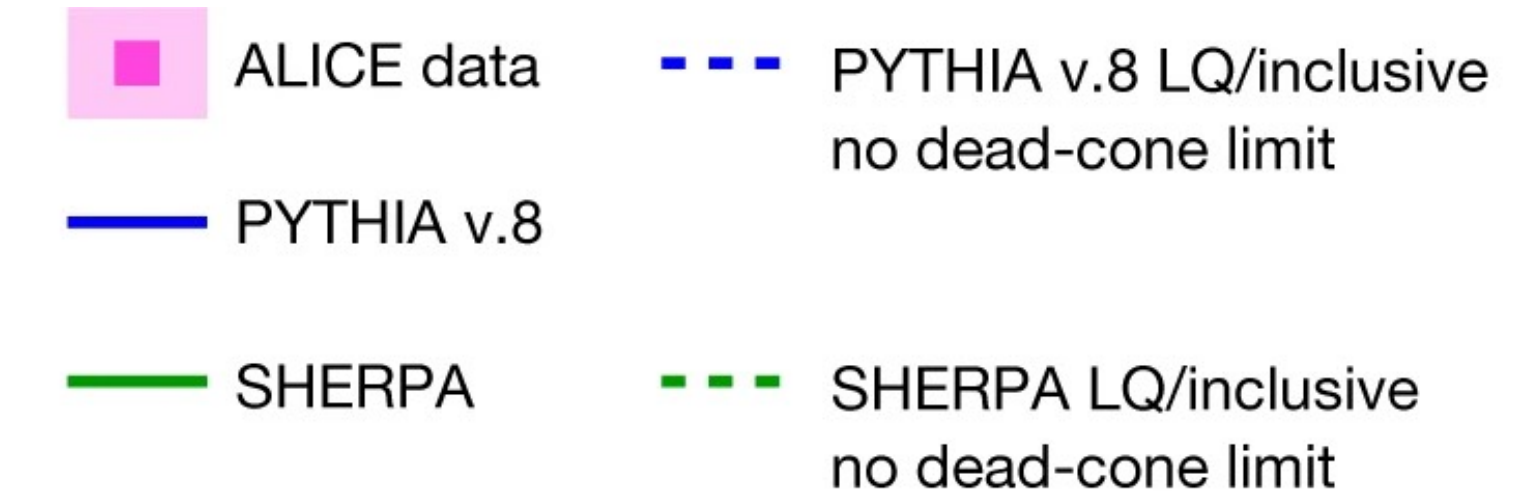
➔ What is thermalization DOF of beauty in the QGP medium?

Charm quark radiation in vacuum



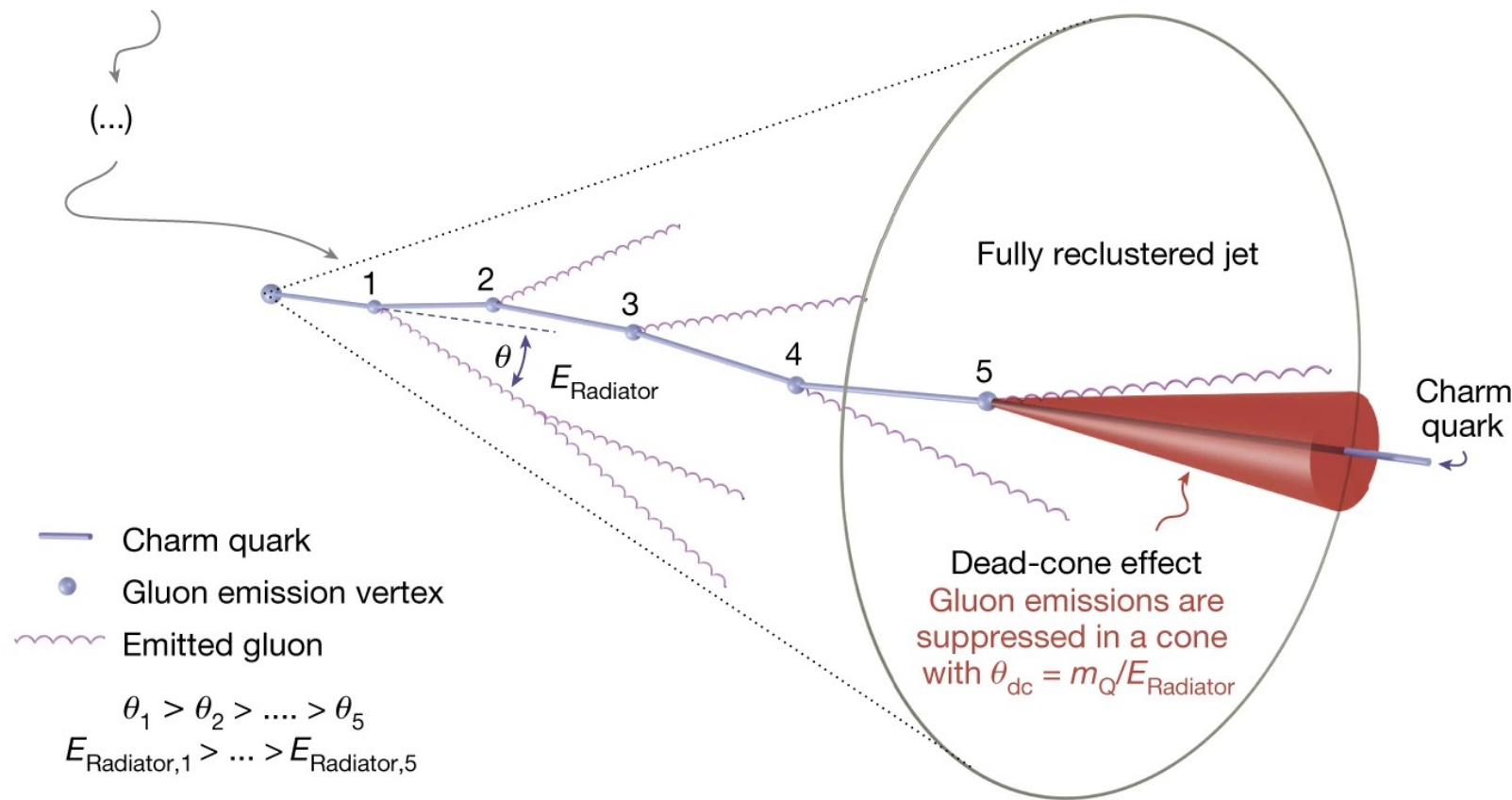
One of fundamental properties of QCD: suppression of gluon emissions within cone $\theta < m_Q / E$ — dead-cone effect

- Direct observation for charm quarks in pp — QCD vacuum



ALICE Nature 605 (2022) 440

Charm quark radiation in vacuum



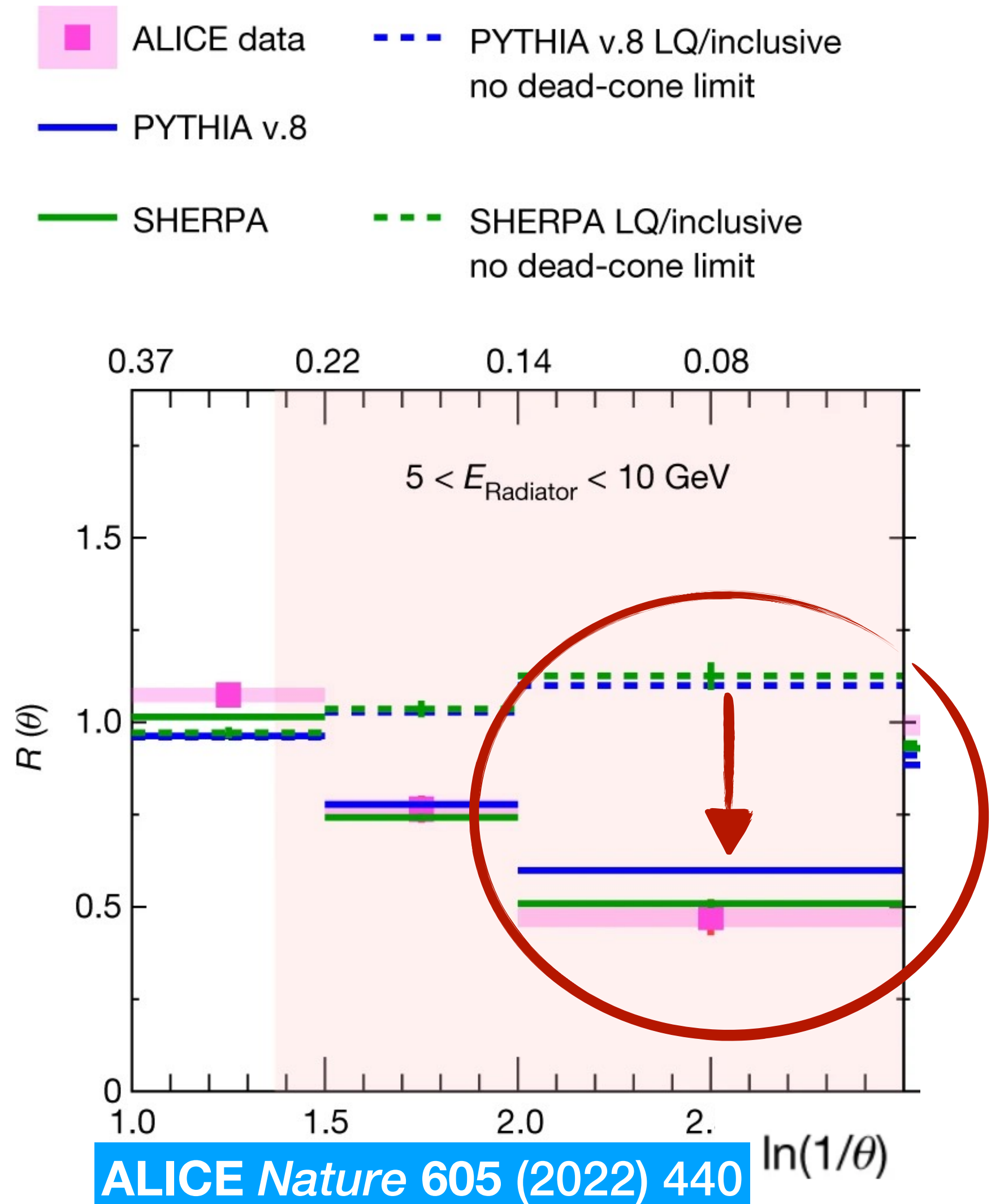
One of fundamental properties of QCD: suppression of gluon emissions within cone $\theta < m_Q / E$ — dead-cone effect

- Direct observation for charm quarks in pp — QCD vacuum

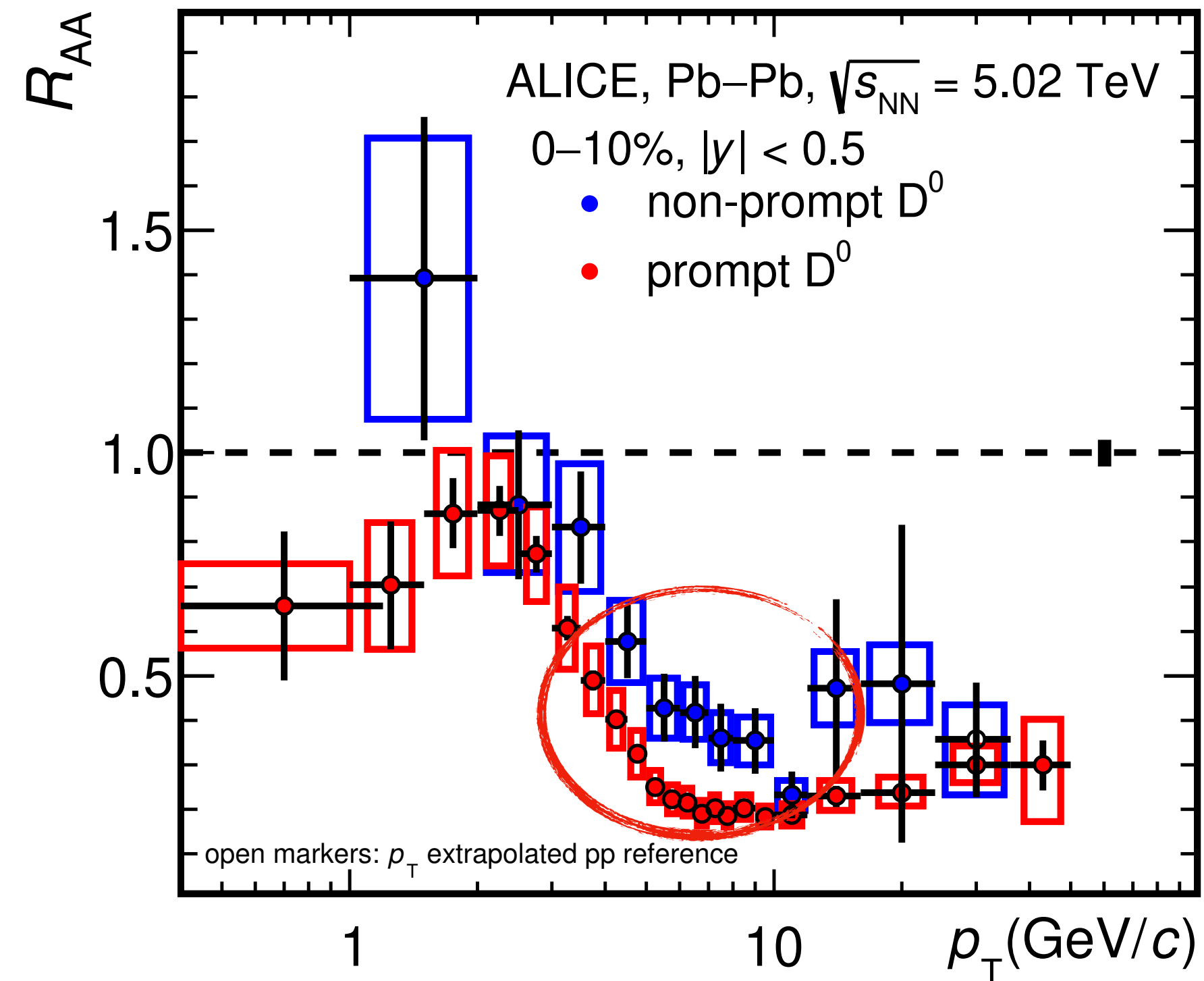
- Whether is it still validated in QCD medium?

➔ Mass dependent heavy quark radiative energy loss

$$\Delta E_{\text{beauty}} < \Delta E_{\text{charm}} \Rightarrow R_{AA}(\text{beauty}) > R_{AA}(\text{charm})$$



Mass dependent R_{AA}

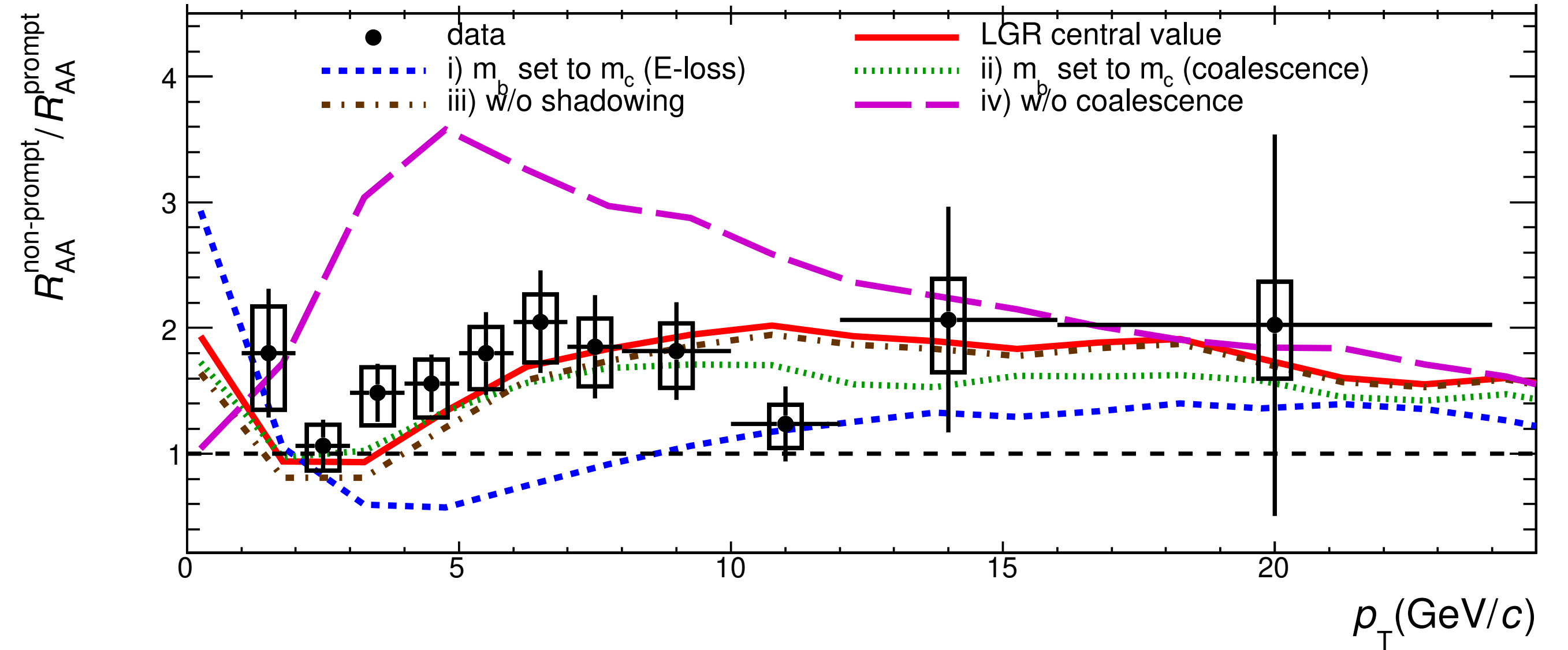
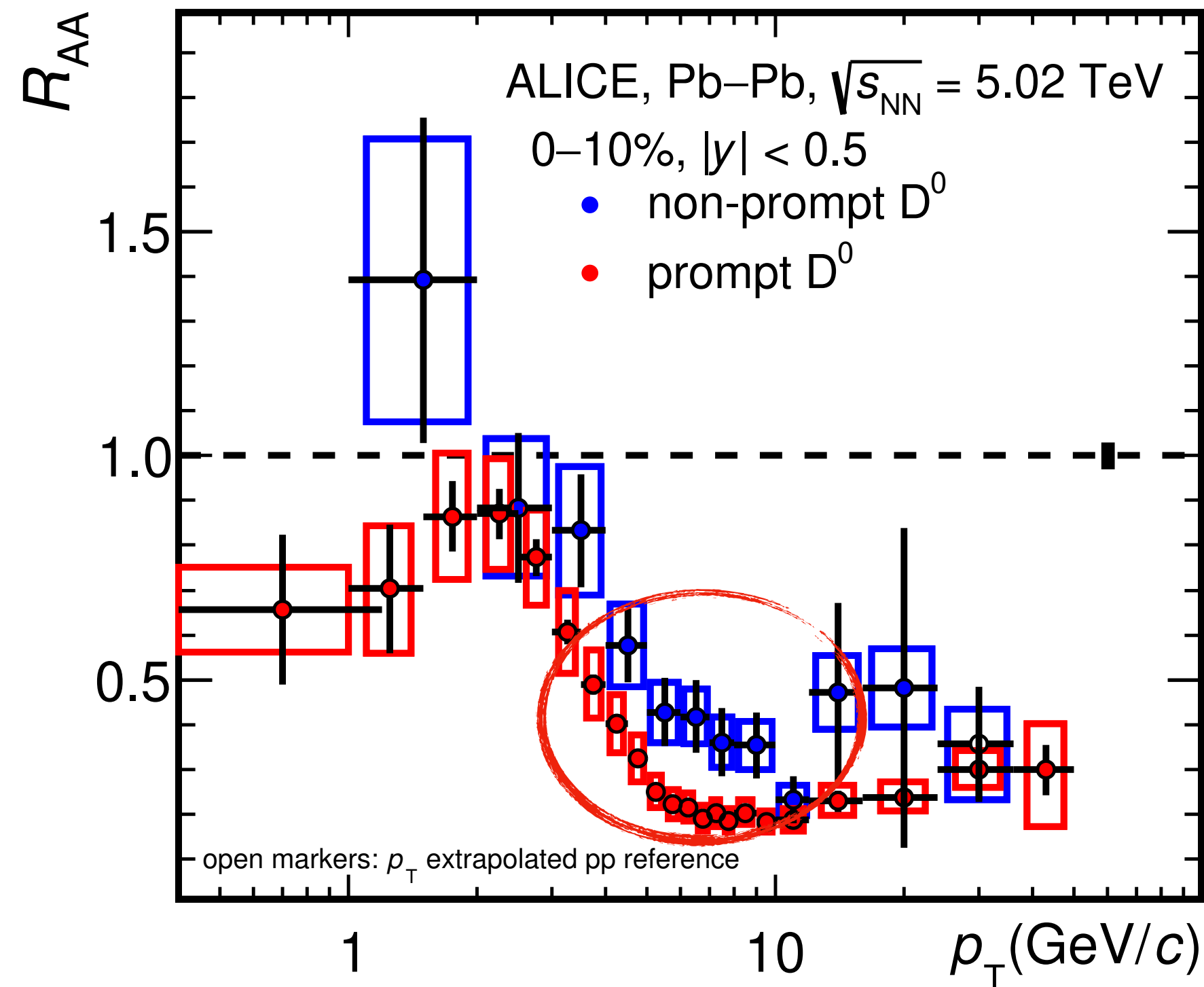


ALICE JHEP 2212 (2022) 126

Non-prompt D mesons are less suppressed than prompt D mesons

$$R_{AA}(\text{beauty}) > R_{AA}(\text{charm}) \Rightarrow \Delta E_{\text{beauty}} < \Delta E_{\text{charm}} (?)$$

Mass dependent R_{AA}



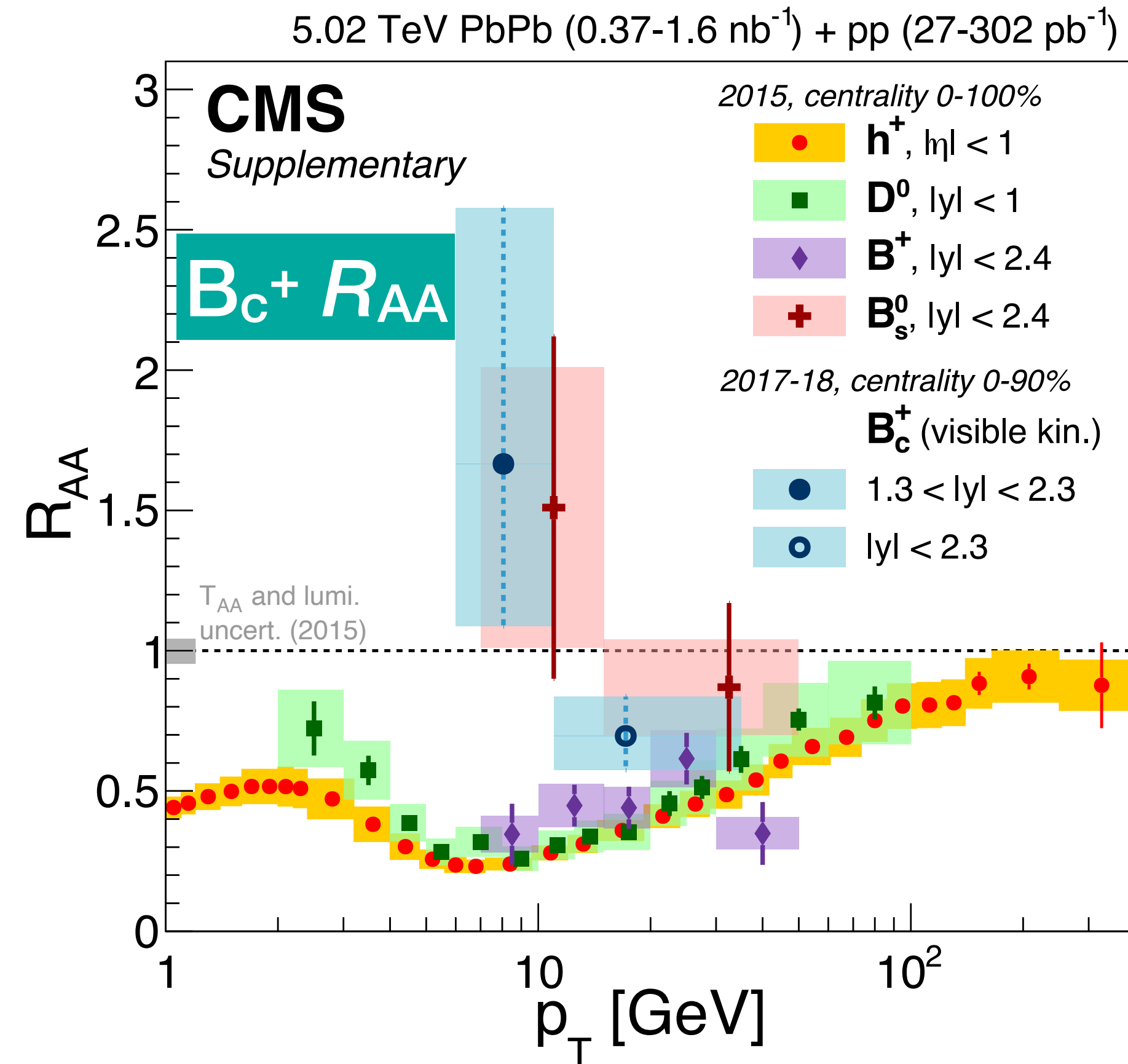
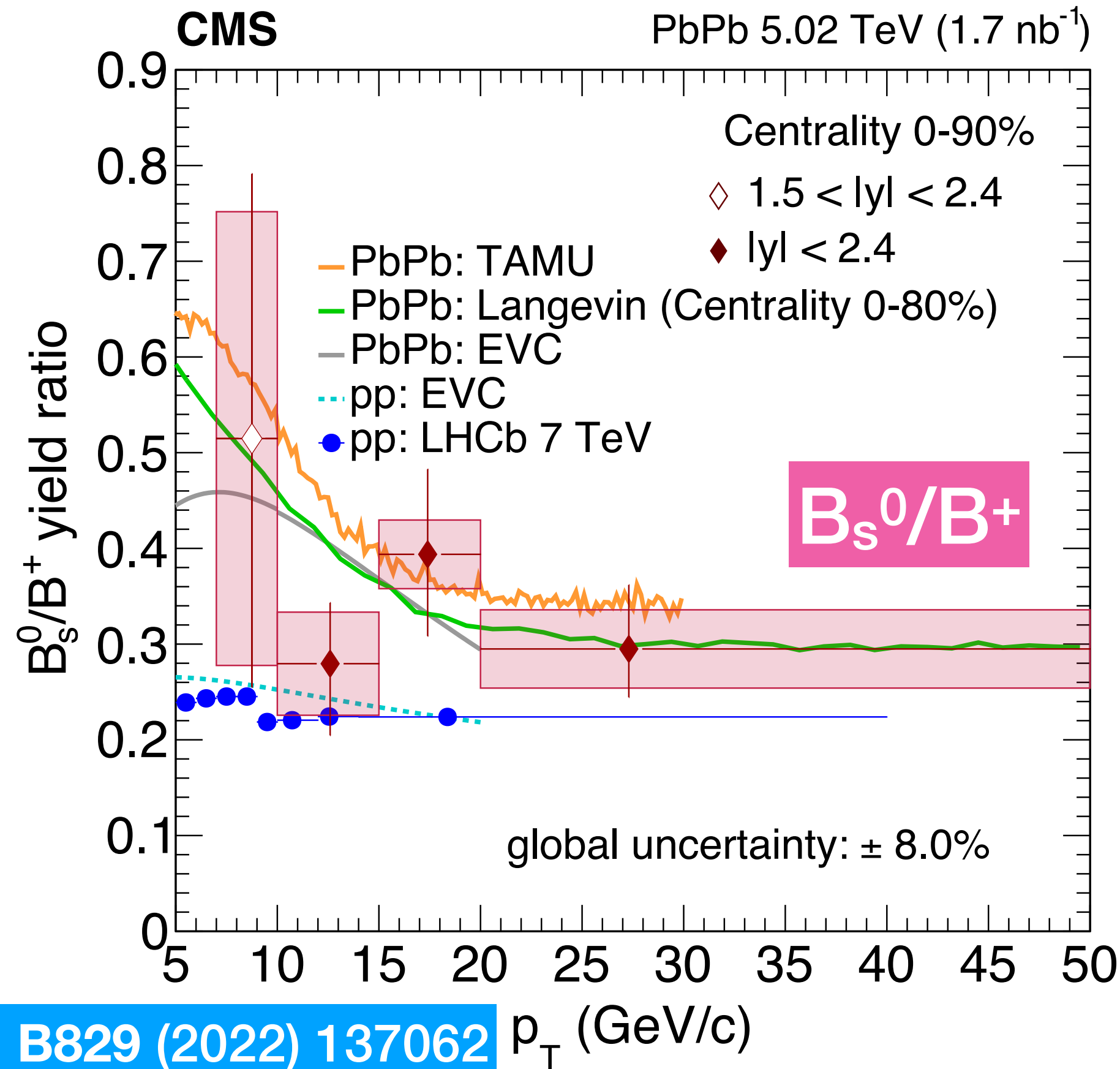
- Mass effect is important to describe data
- However, coalescence is more critical

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Non-prompt D mesons are less suppressed than **prompt** D mesons

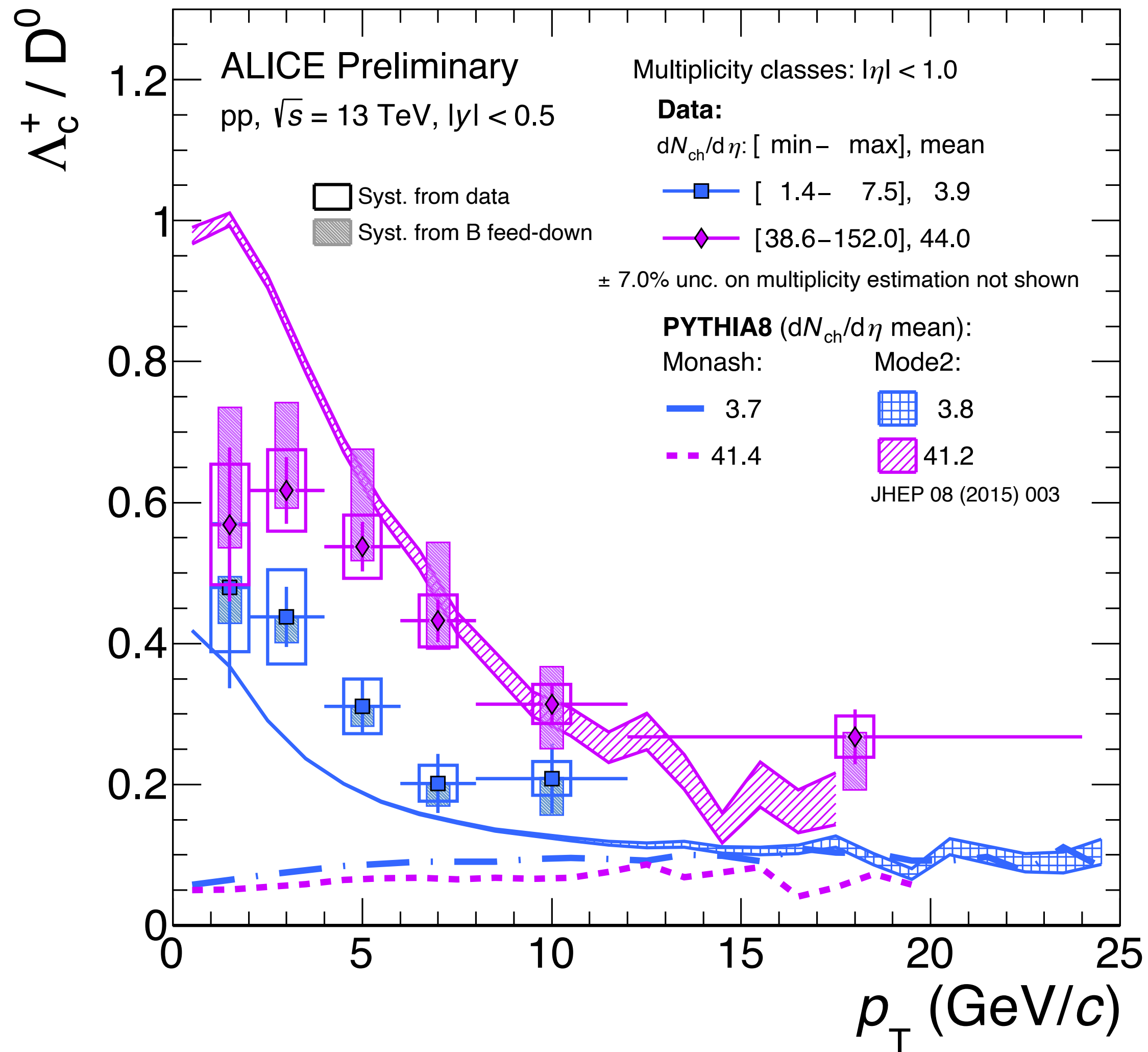
$$R_{AA}(\text{beauty}) > R_{AA}(\text{charm}) \Rightarrow \Delta E_{\text{beauty}} < \Delta E_{\text{charm}} (?)$$

Beauty quark hadronization

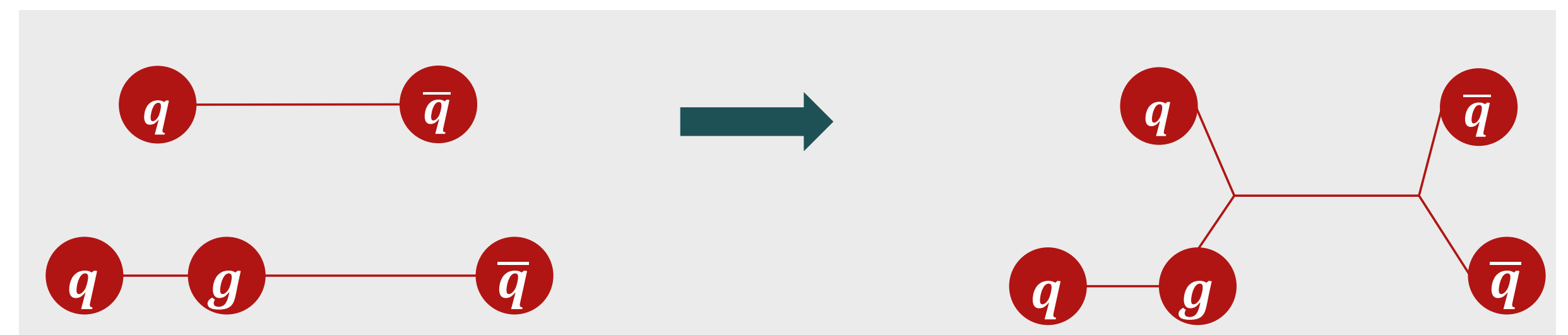


- Hints of B_s^0 and B_c^+ enhancement in Pb–Pb collisions
- Indicate recombination during beauty quark hadronization

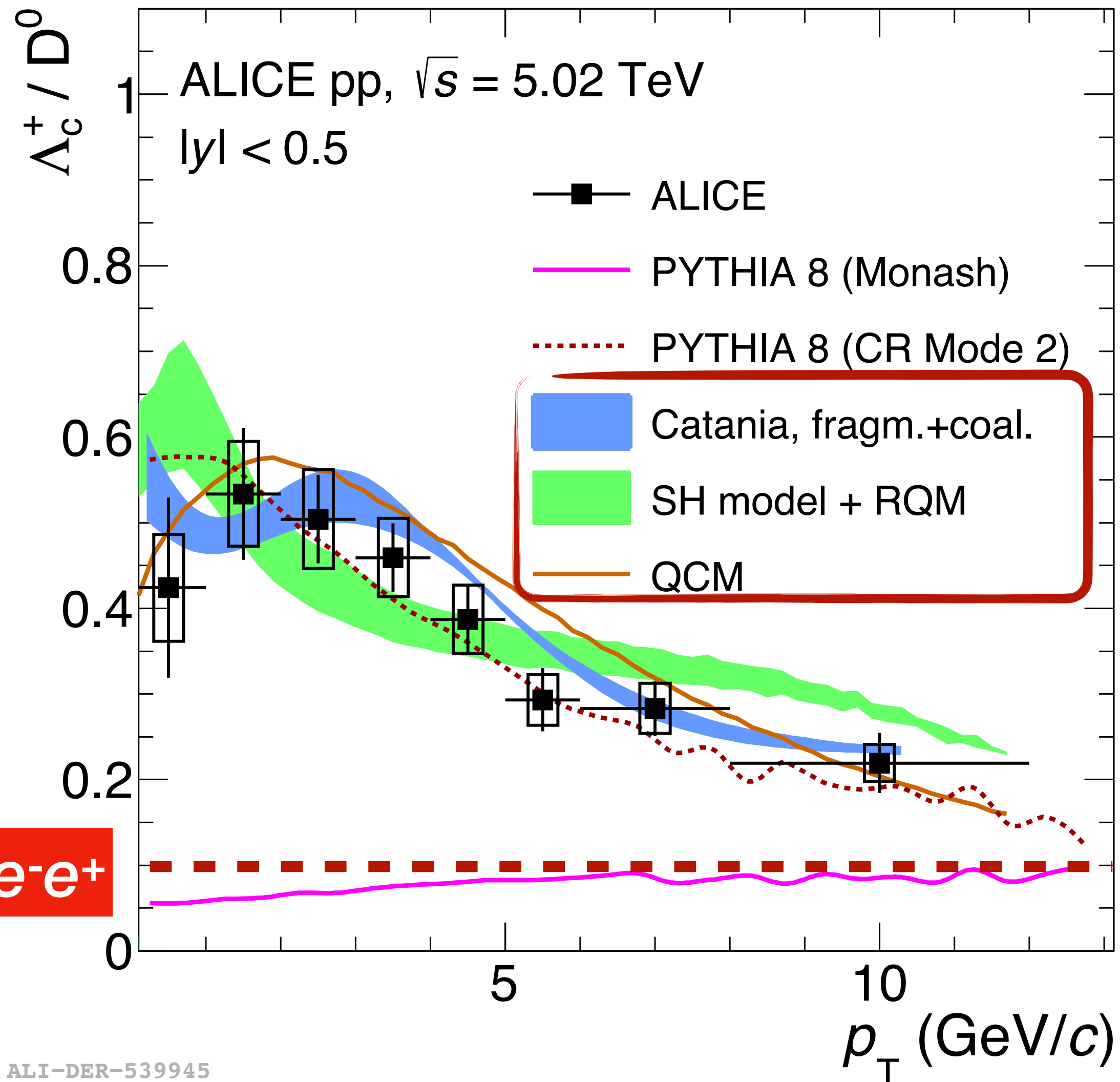
Λ_c^+ / D^0 ratio in pp collisions



- Λ_c / D^0 ratio shows a more substantial increase for increasing multiplicity
- Largely underestimated when comparing to the default PYTHIA tune (Monash)
- Good agreement including color-reconnection processes (eg “junctions”) between partons created in different MPIs



Λ_c^+ / D^0 ratio in pp collisions



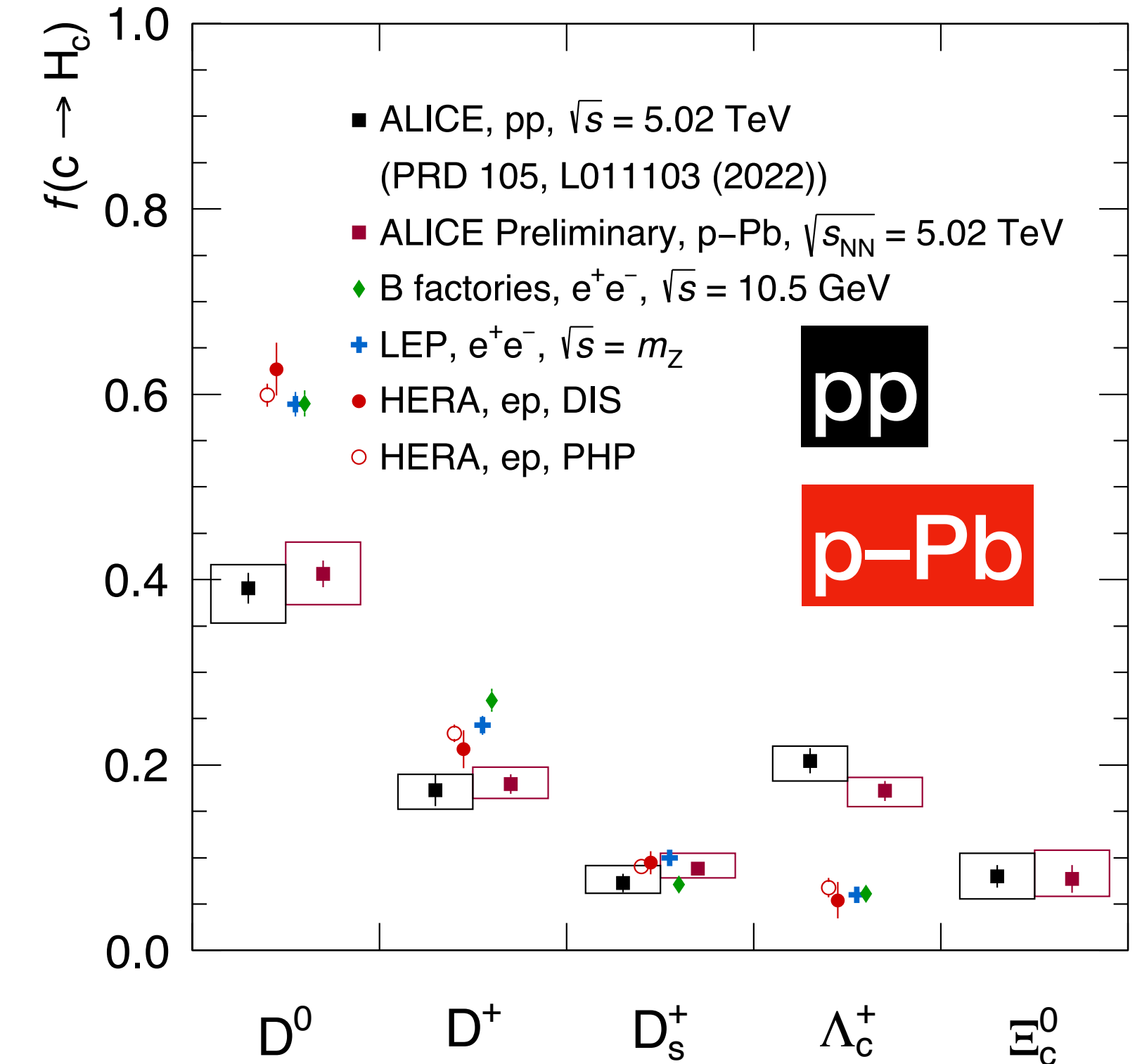
- Catania Thermalised system of gluons, light quarks and antiquarks (QGP), hadronisation via coalescence and fragmentation
- SH model + RQM Hadronisation driven by statistical weights govern by hadron masses, feed-down from excited baryon states predicted by RQM
- QCM Pure coalescence model, charm is combined with co-moving light antiquark or two quarks

ALI-DER-539945

Heavy flavour production



- Production cross section of heavy-flavour hadrons is typically described by a factorization framework
- Fragmentation functions are constrained from e^-e^+ and ep measurements, assuming they are applicable universally in **hadronic collisions**

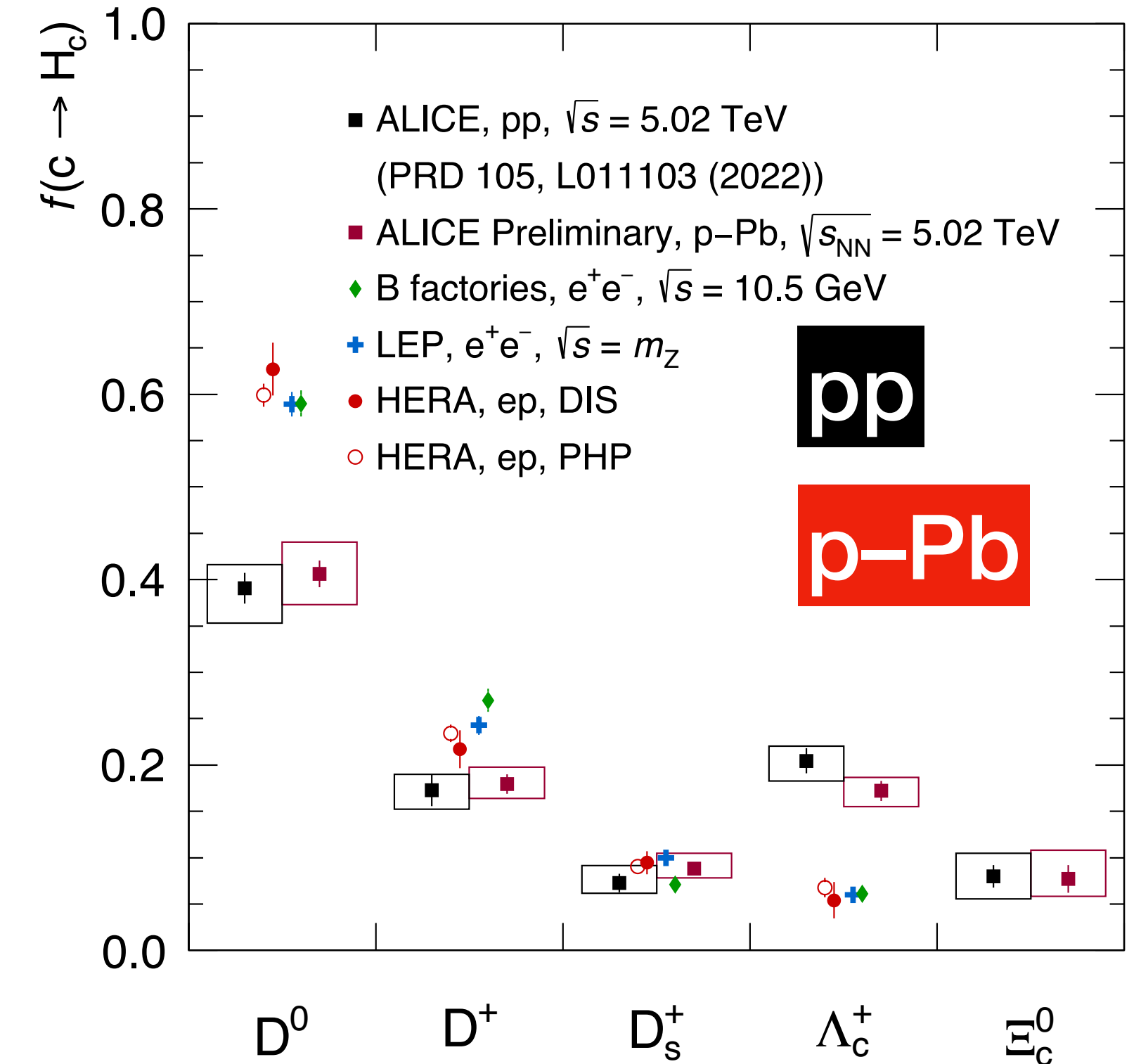


ALI-PREL-541012

Heavy flavour production



- Production cross section of heavy-flavour hadrons is typically described by a factorization framework
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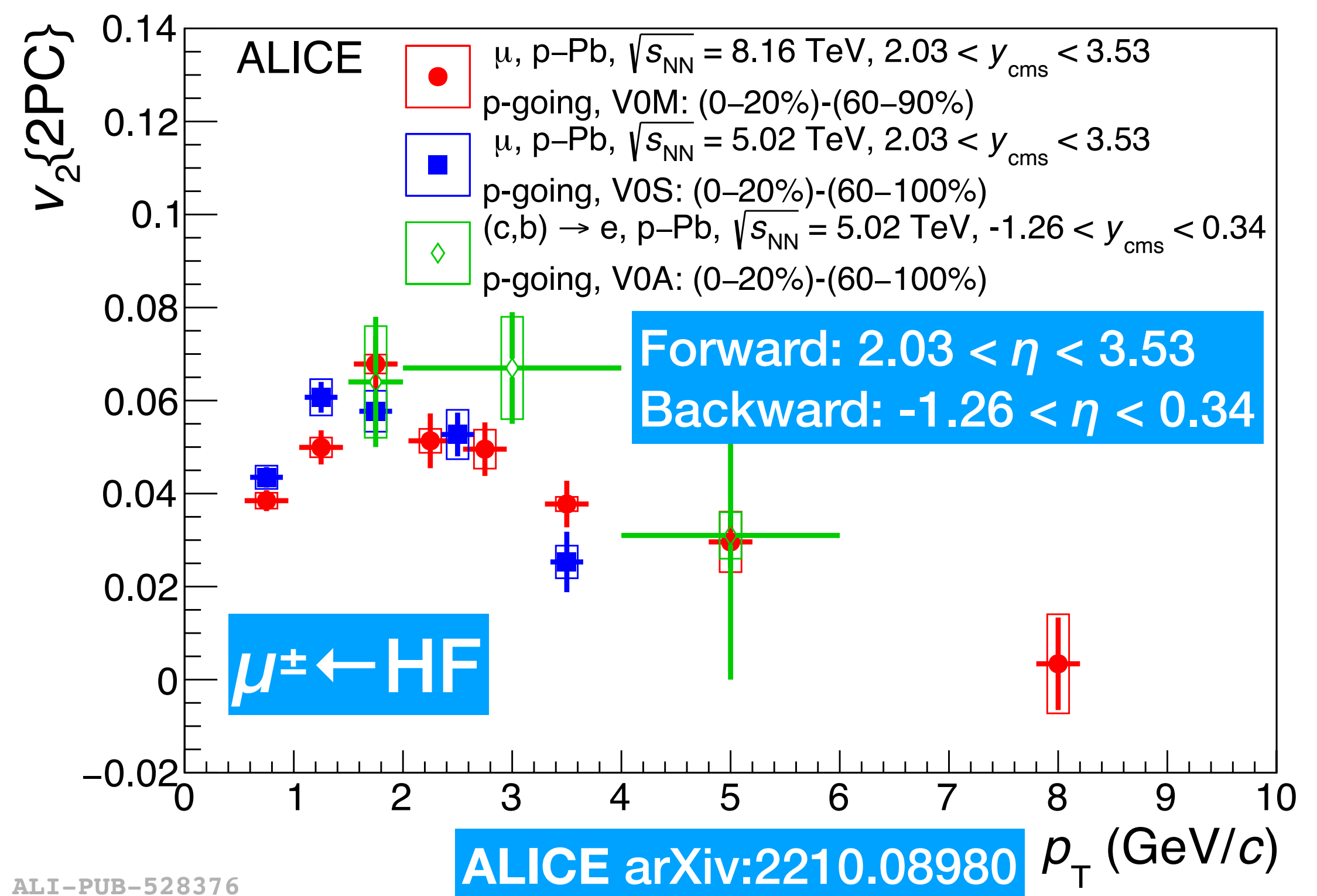
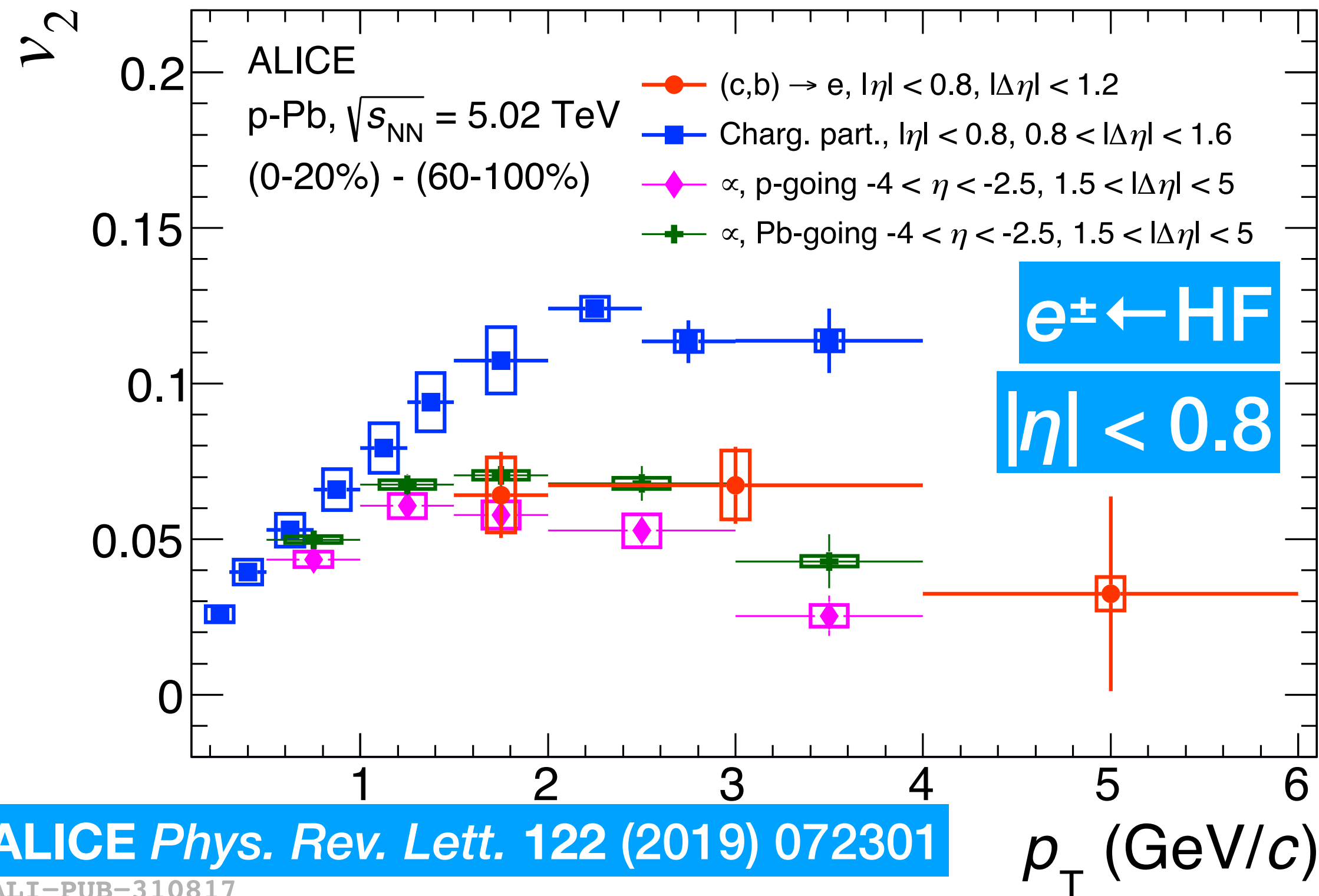
$$\frac{d\sigma^{pp \rightarrow H_Q}}{dp_T} = \sum_{ij} f_i(x_1, \mu_F) f_j(x_2, \mu_F) \oplus \frac{d\sigma^{ij \rightarrow Q}}{dp_T}(x_1 x_2, \mu_F, \mu_R) \oplus D_{Q \rightarrow H_Q}(z_Q = \frac{p_{H_Q}}{p_Q}, \mu_F)$$

Parton distribution functions (PDF)

Hard scattering cross section (pQCD)

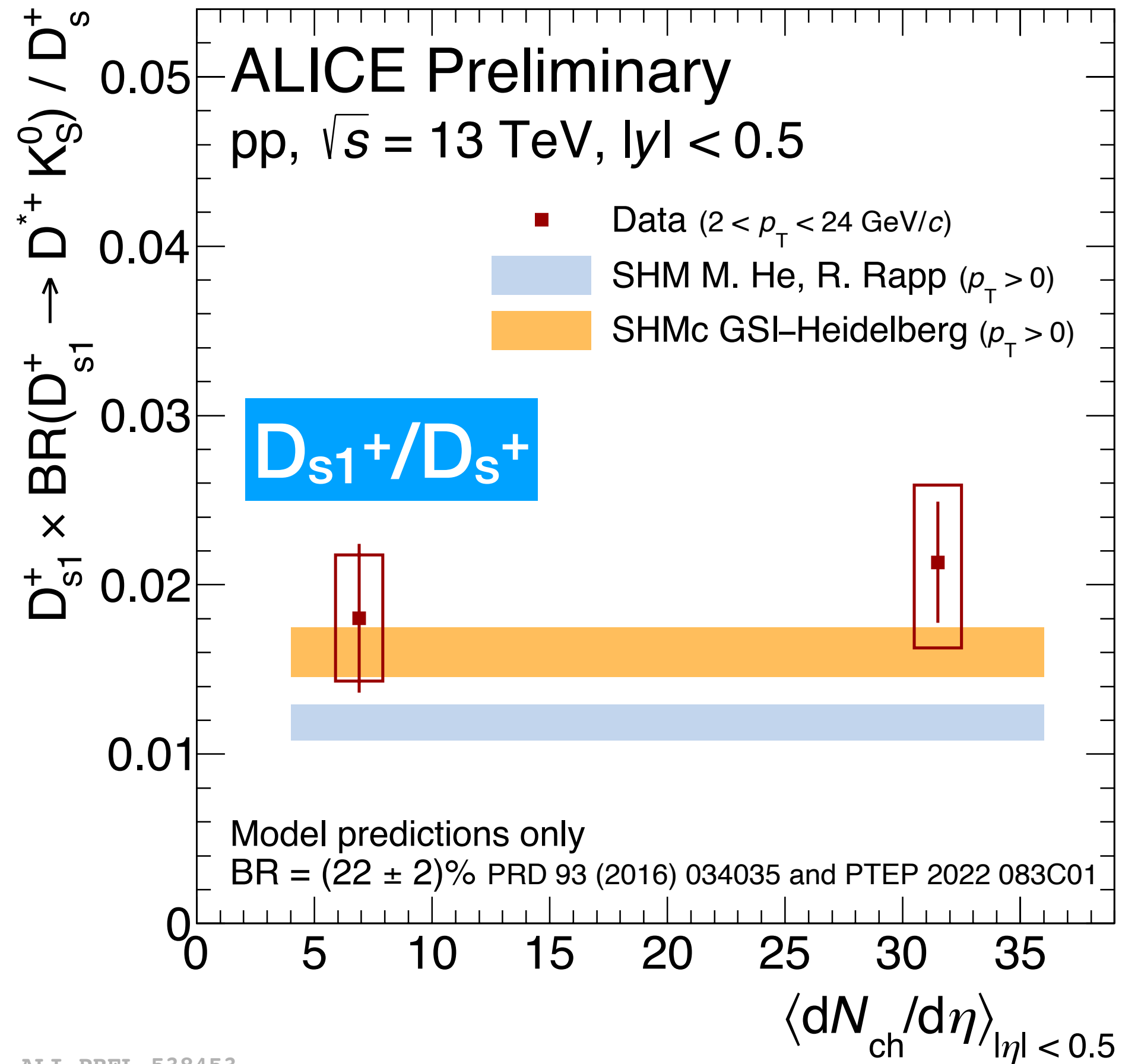
Fragmentation function (Hadronization)

v_2 of heavy quarks in p-Pb

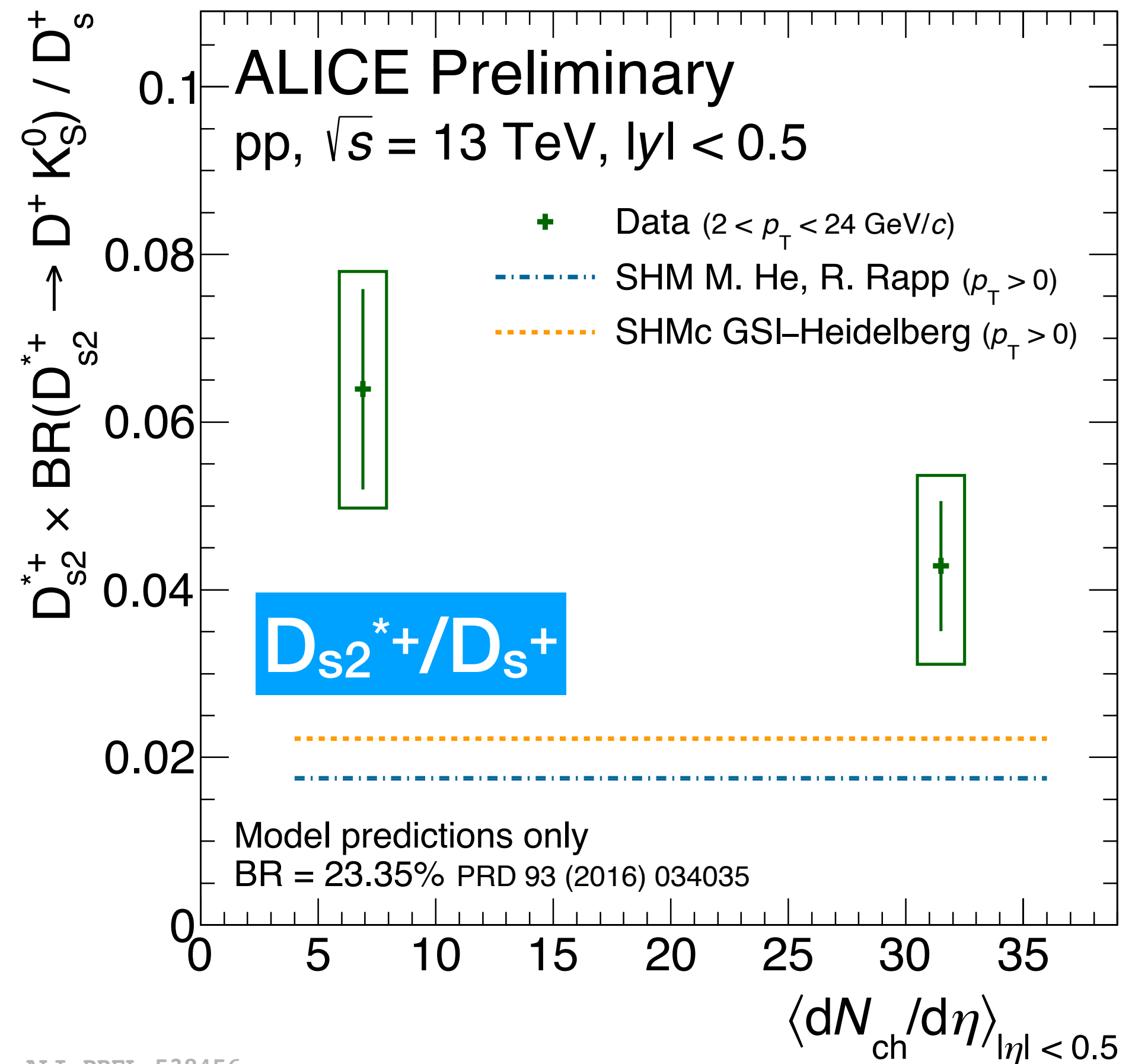


- Significant v_2 coefficient of heavy flavour particles is observed at both midrapidity and forward/backward rapidities in p-Pb collisions
- ➔ Do heavy quarks undergo hydro-like evolution in small collision systems?
- ➔ Is it correlated with the hadronization behaviors?

Next step – excited states (?)



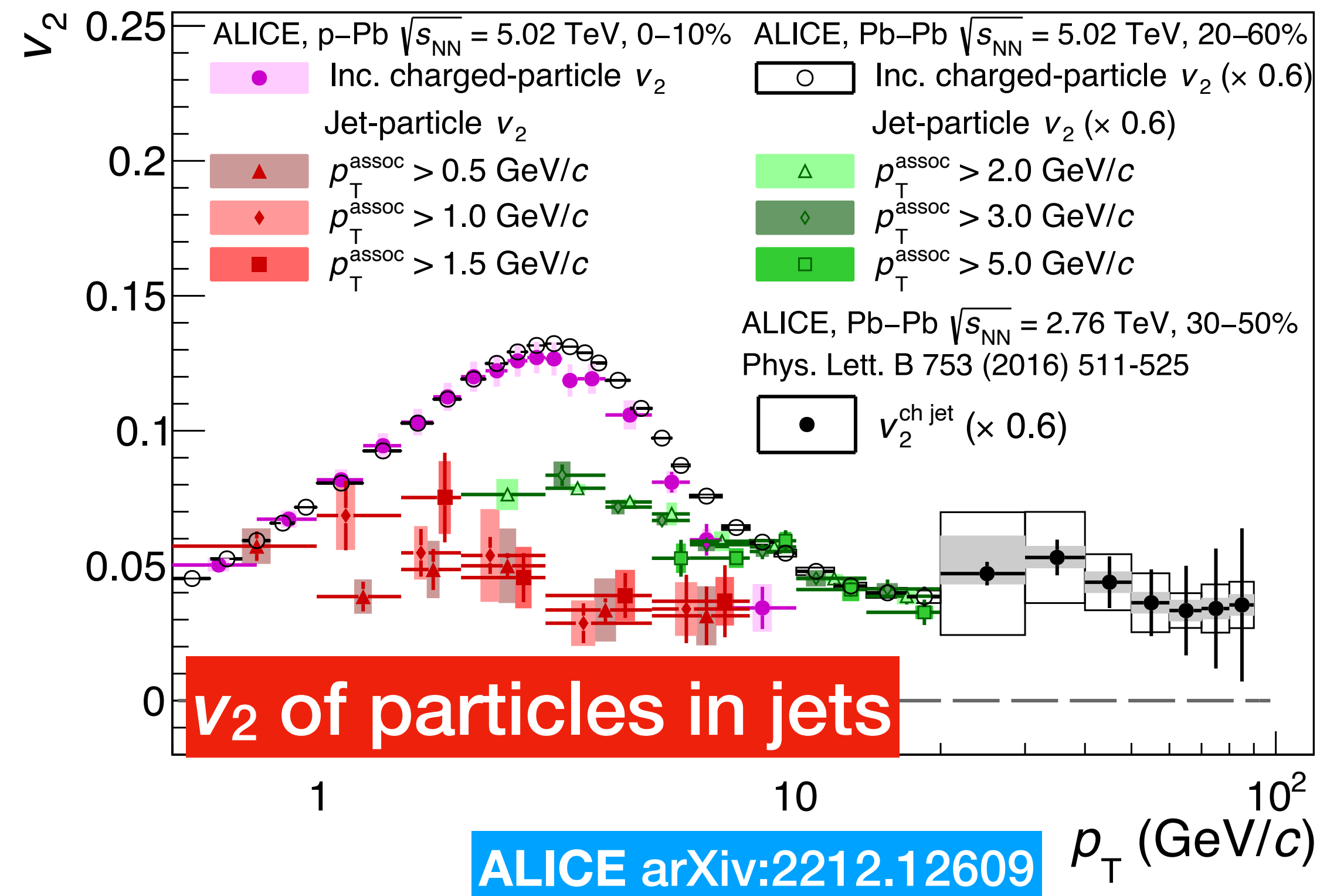
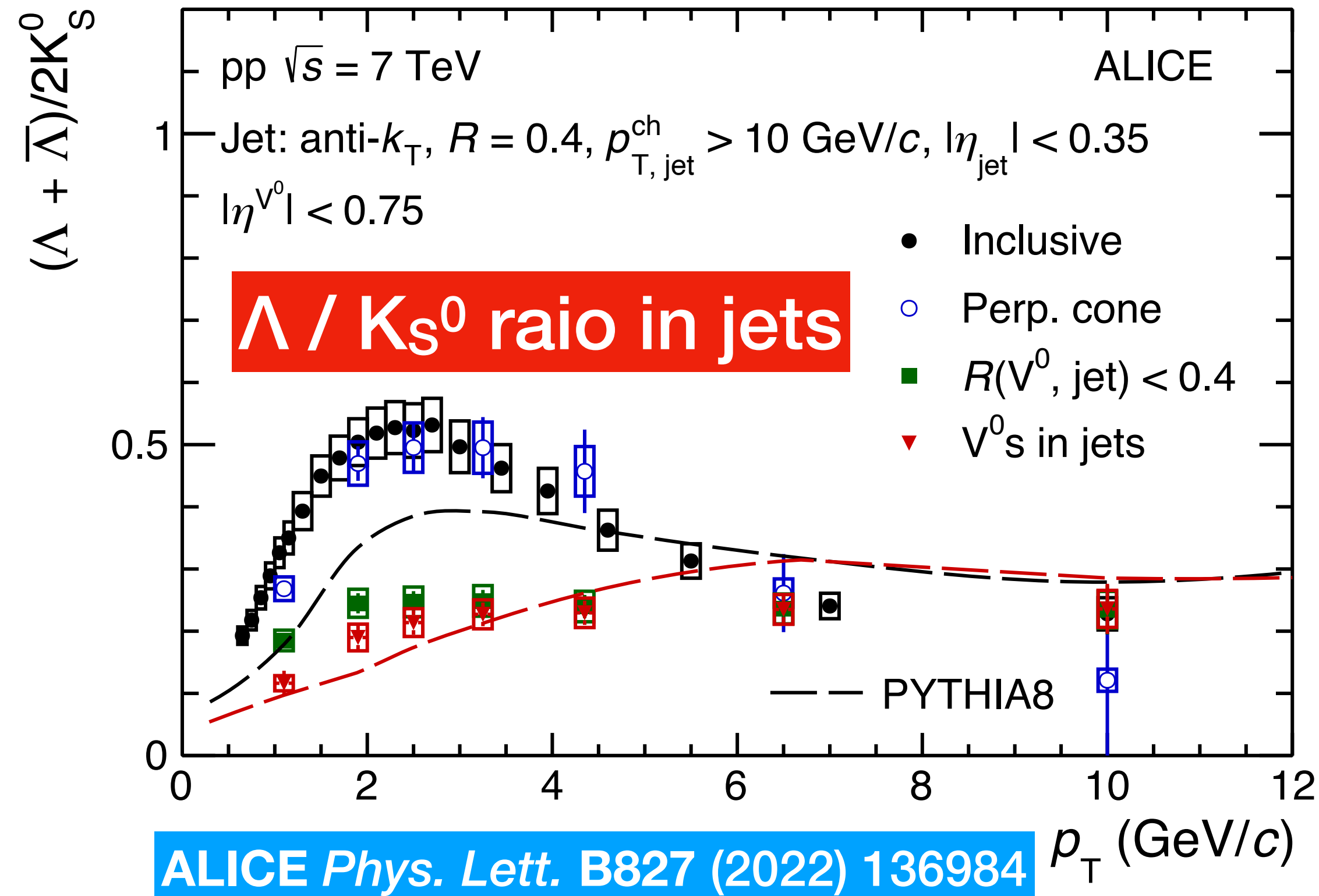
ALI-PREL-538453



ALI-PREL-538456

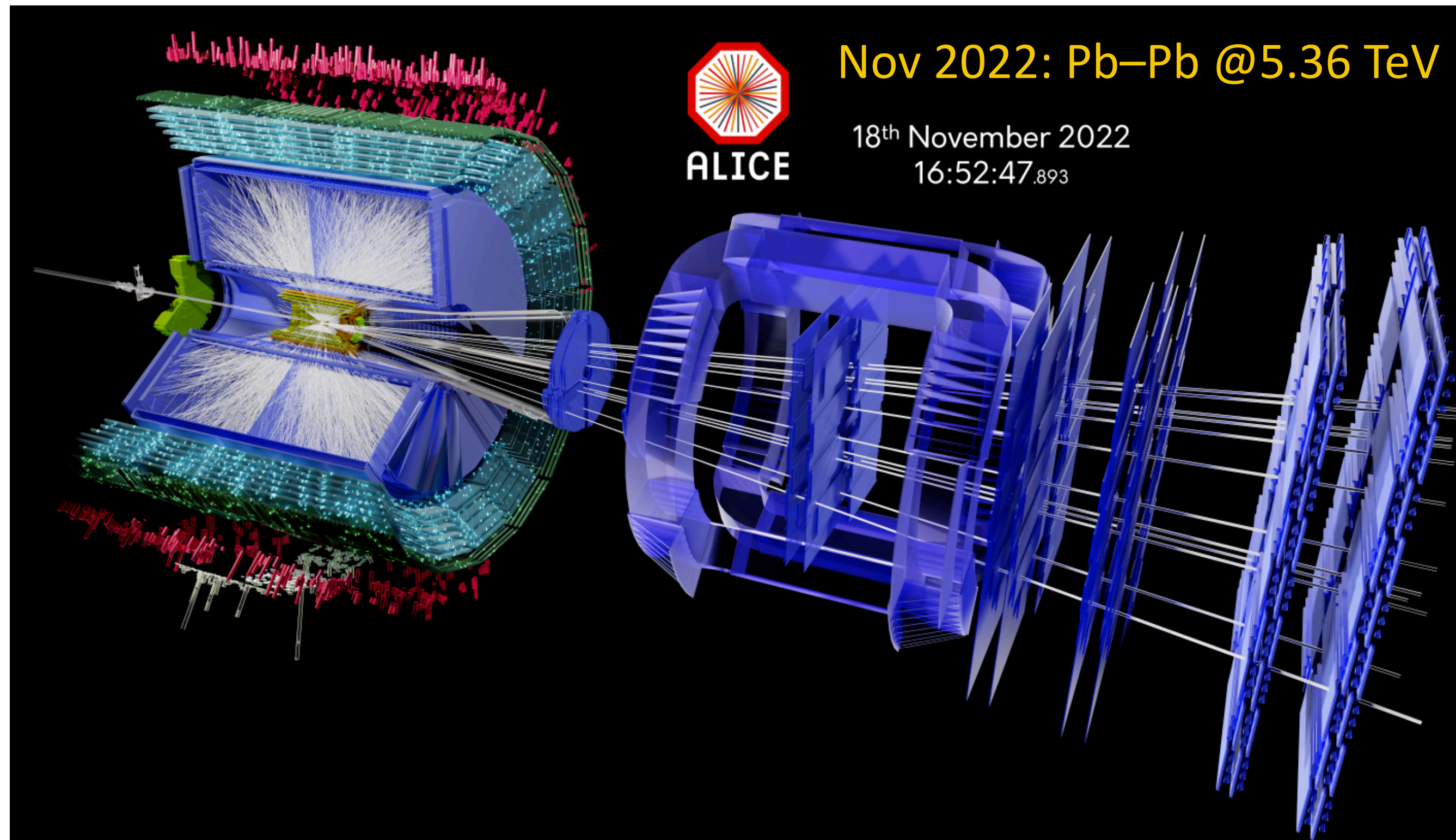
- No significant multiplicity dependence on D_{s1}^+ / D_s^+ ratio, reproduced by SHM
- Hints of decreasing trend of D_{s2}^{*+} / D_s^+ ratio with multiplicity and prediction tension **26**

Next step – jets (?)

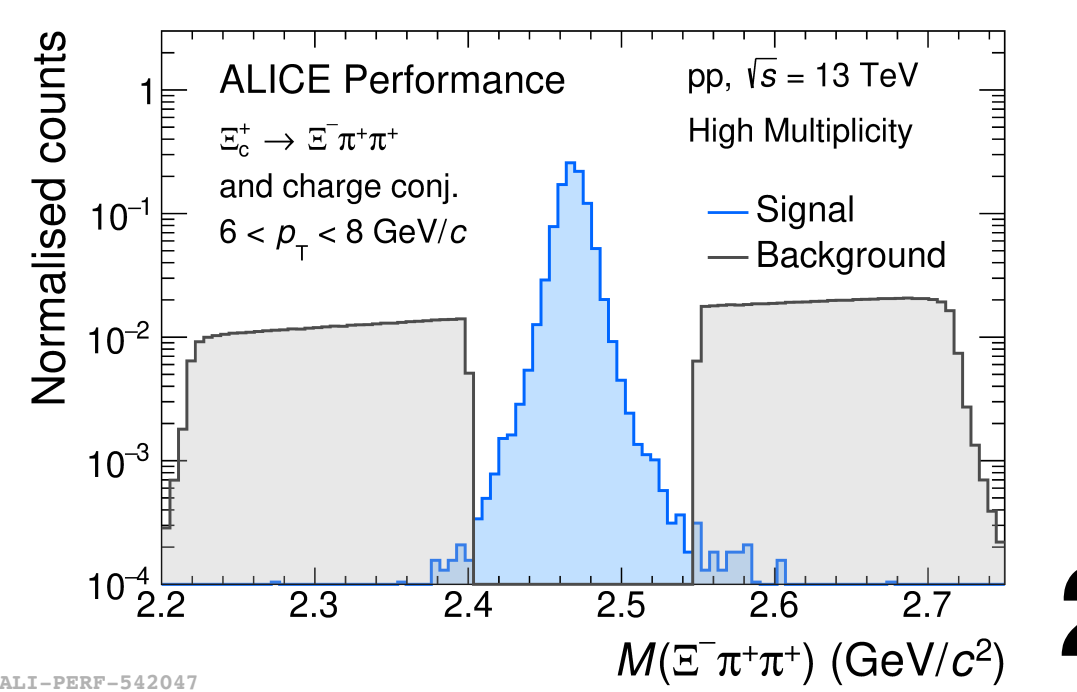
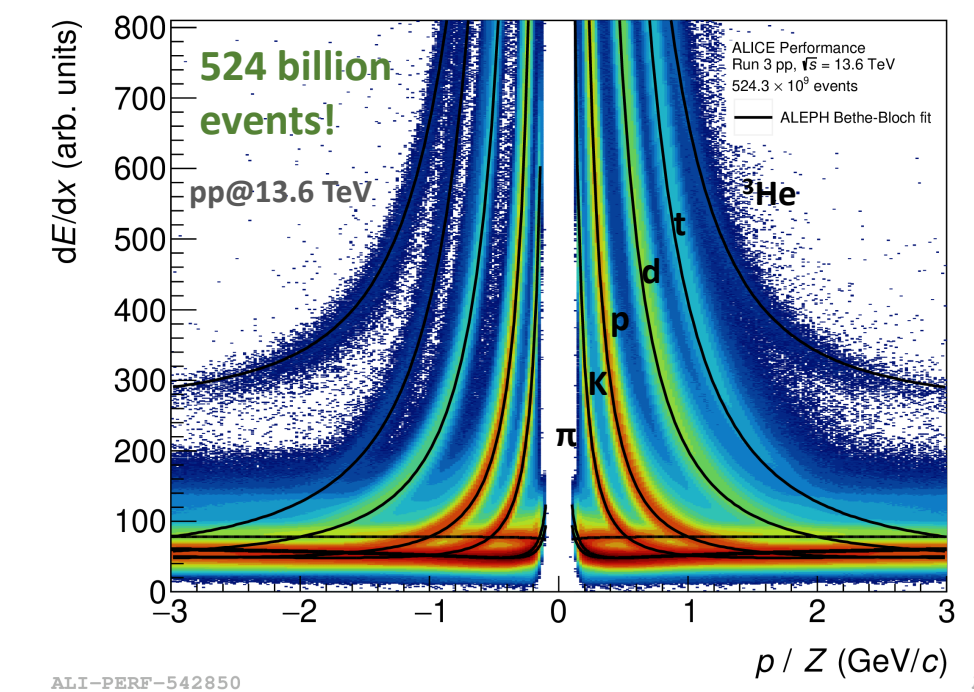
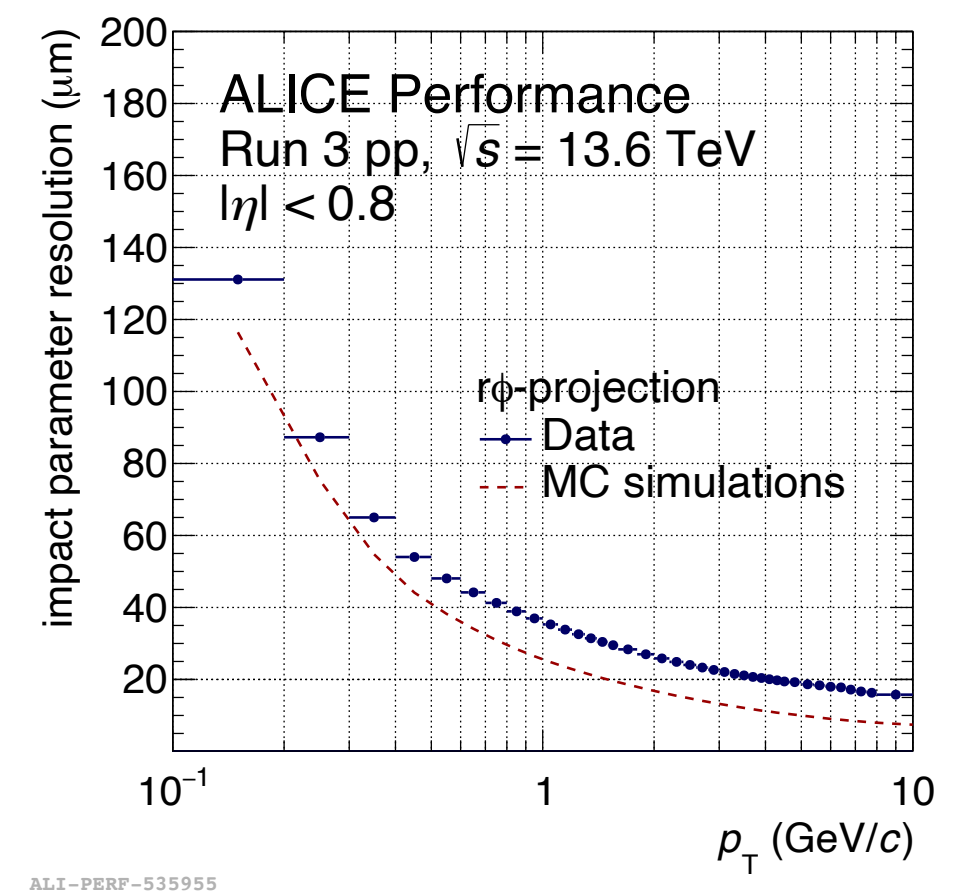
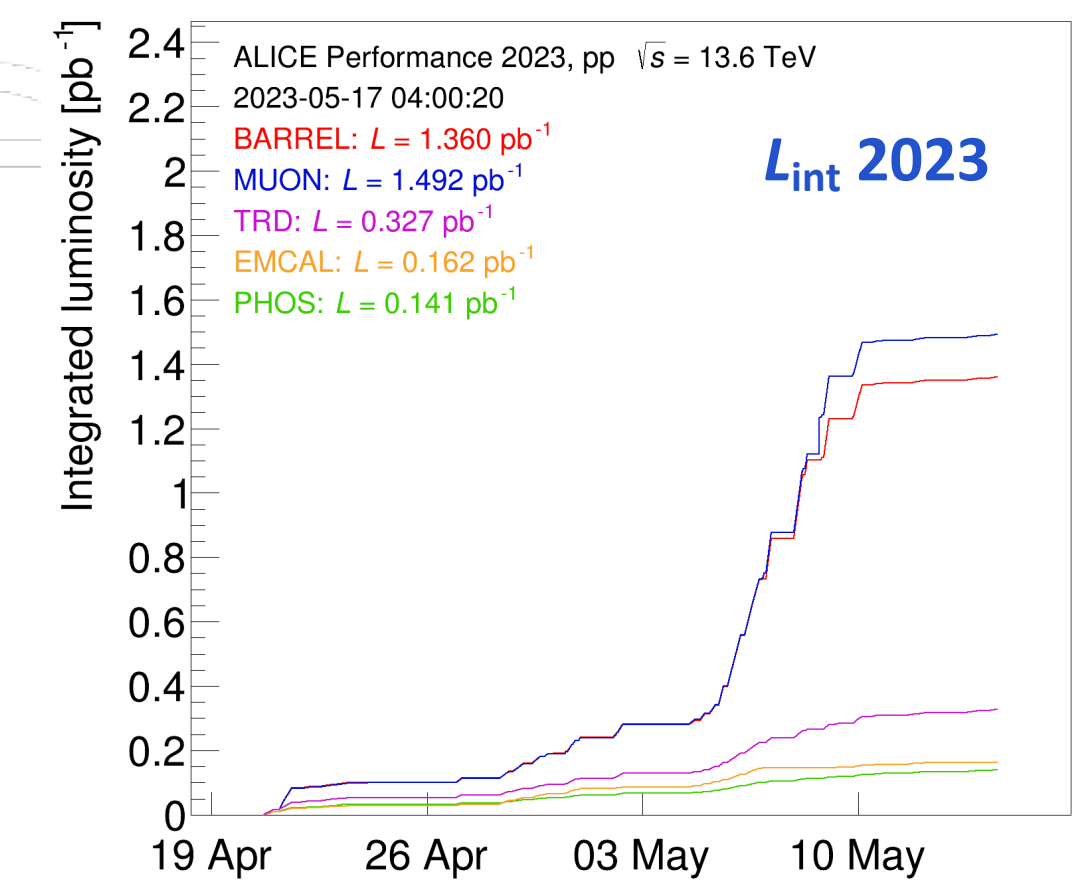


- Λ/K_S^0 ratio in jets does not show a maximum at intermediate p_T
- Non-zero v_2 of jet particles in both Pb–Pb and p–Pb collisions, amplitude differs from that of the inclusive particles

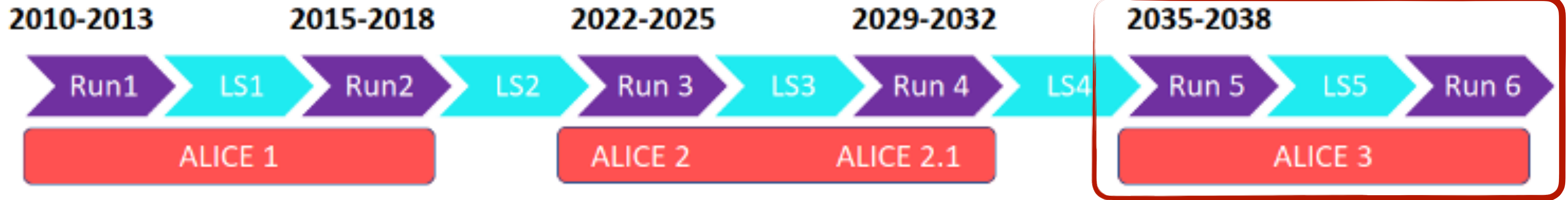
Outlook: ALICE run3/4



ALICE arXiv:2302.01238



Outlook: ALICE run5 and beyond



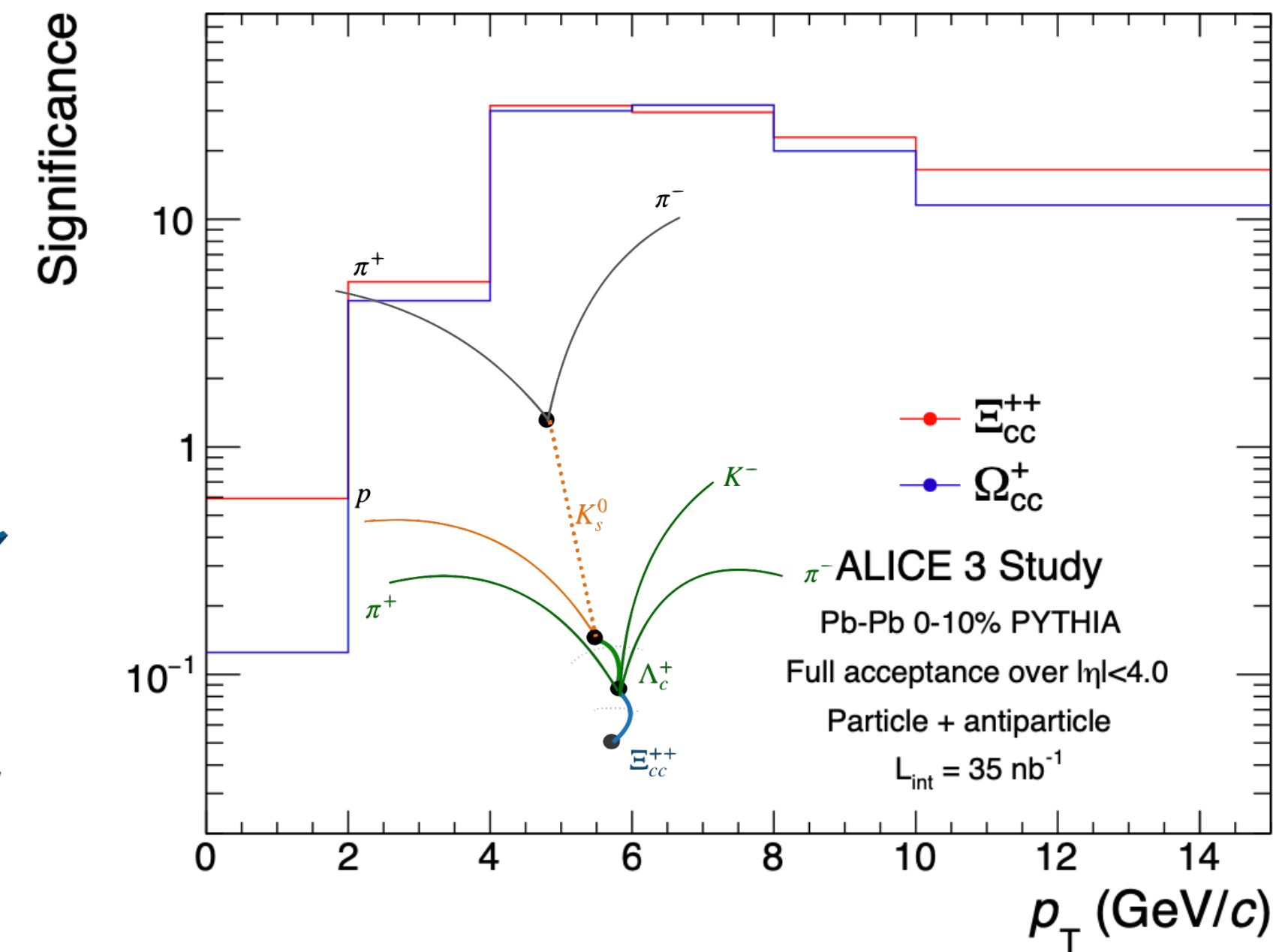
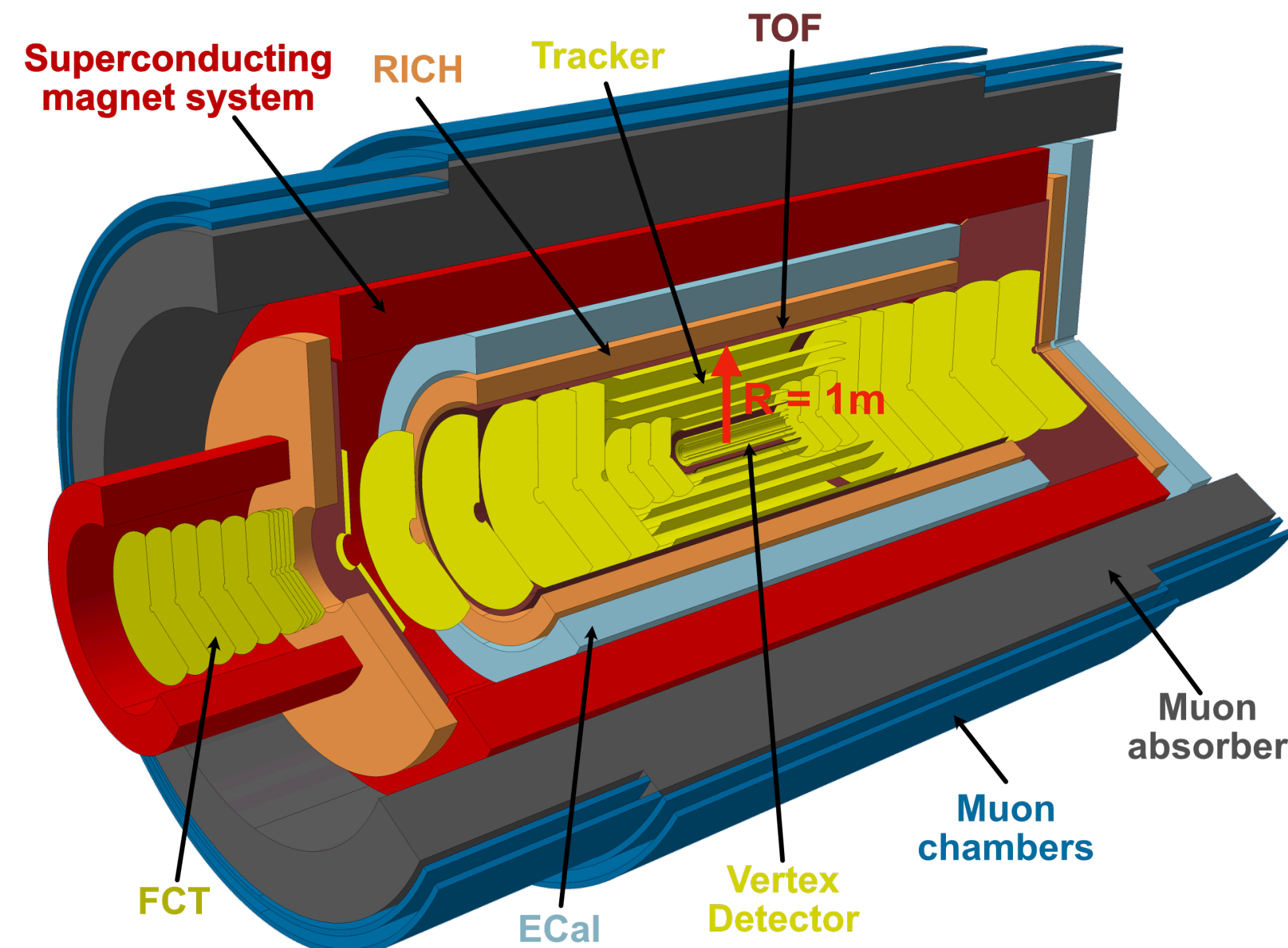
ALICE 3
Letter of intent

CERN-LHCC-2022-009
(LHCC-4-038)
4 November 2022

ALICE arXiv:2211.02491

A next-generation heavy-ion experiment at the LHC

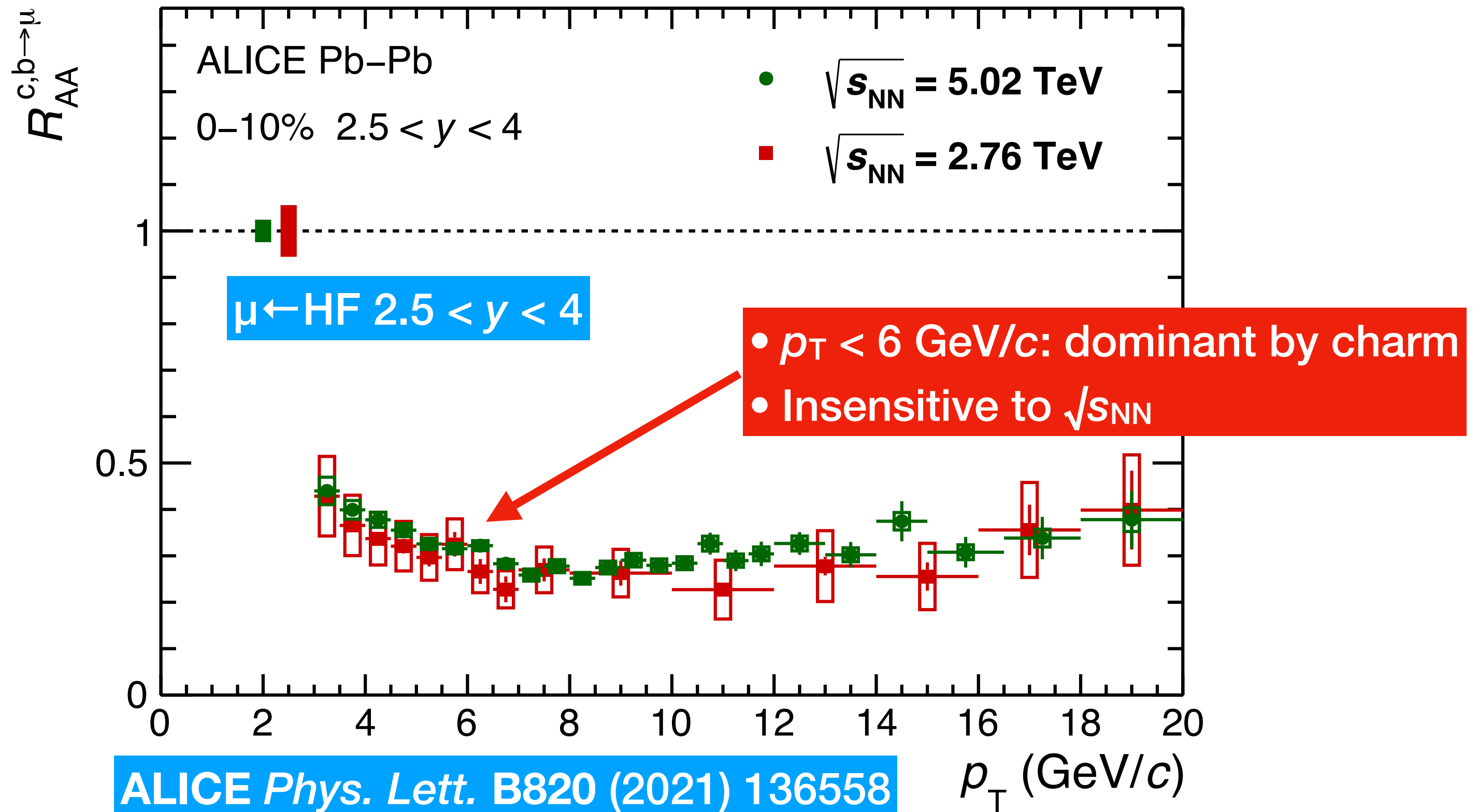
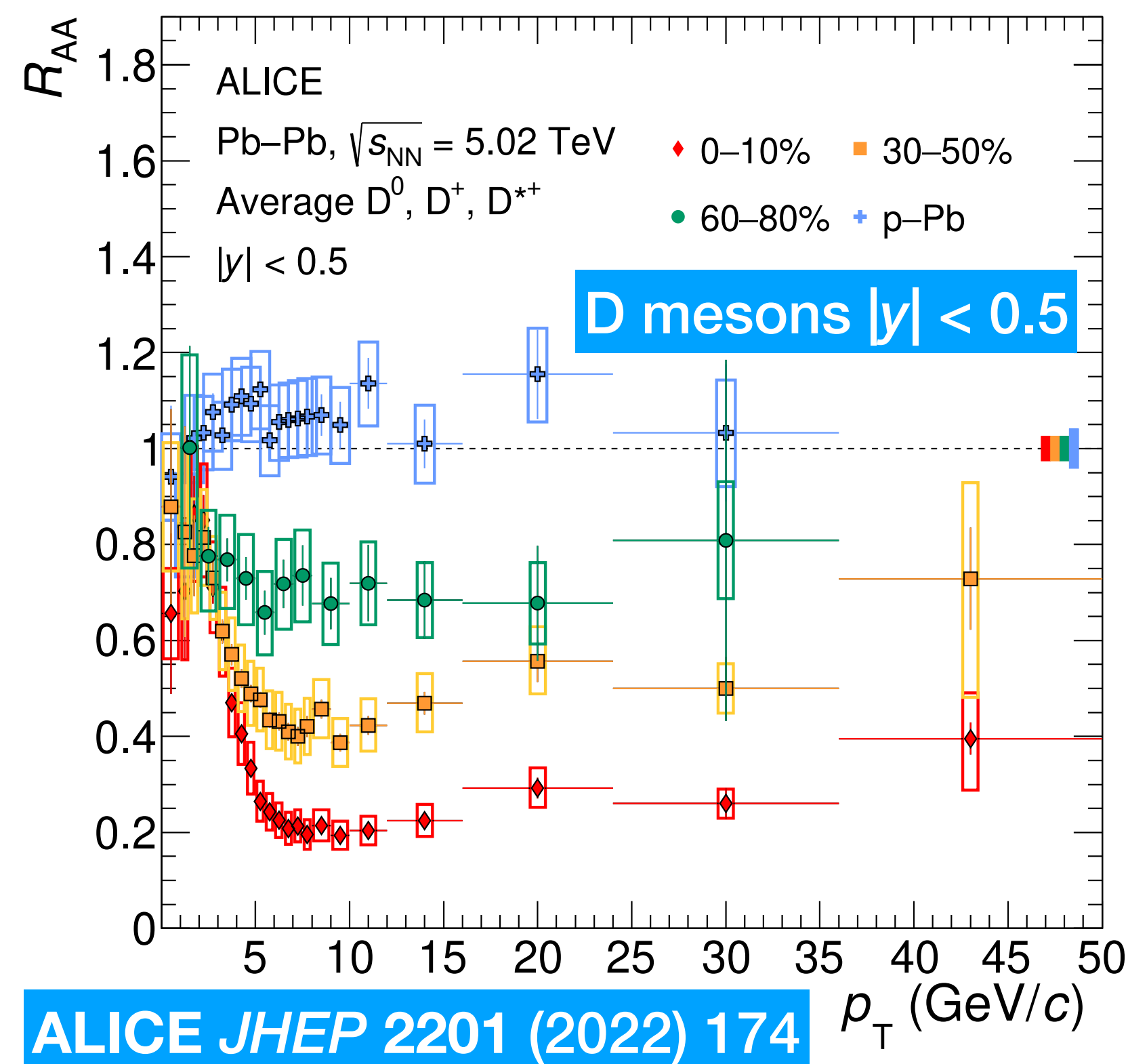
VERSION 2



Backup

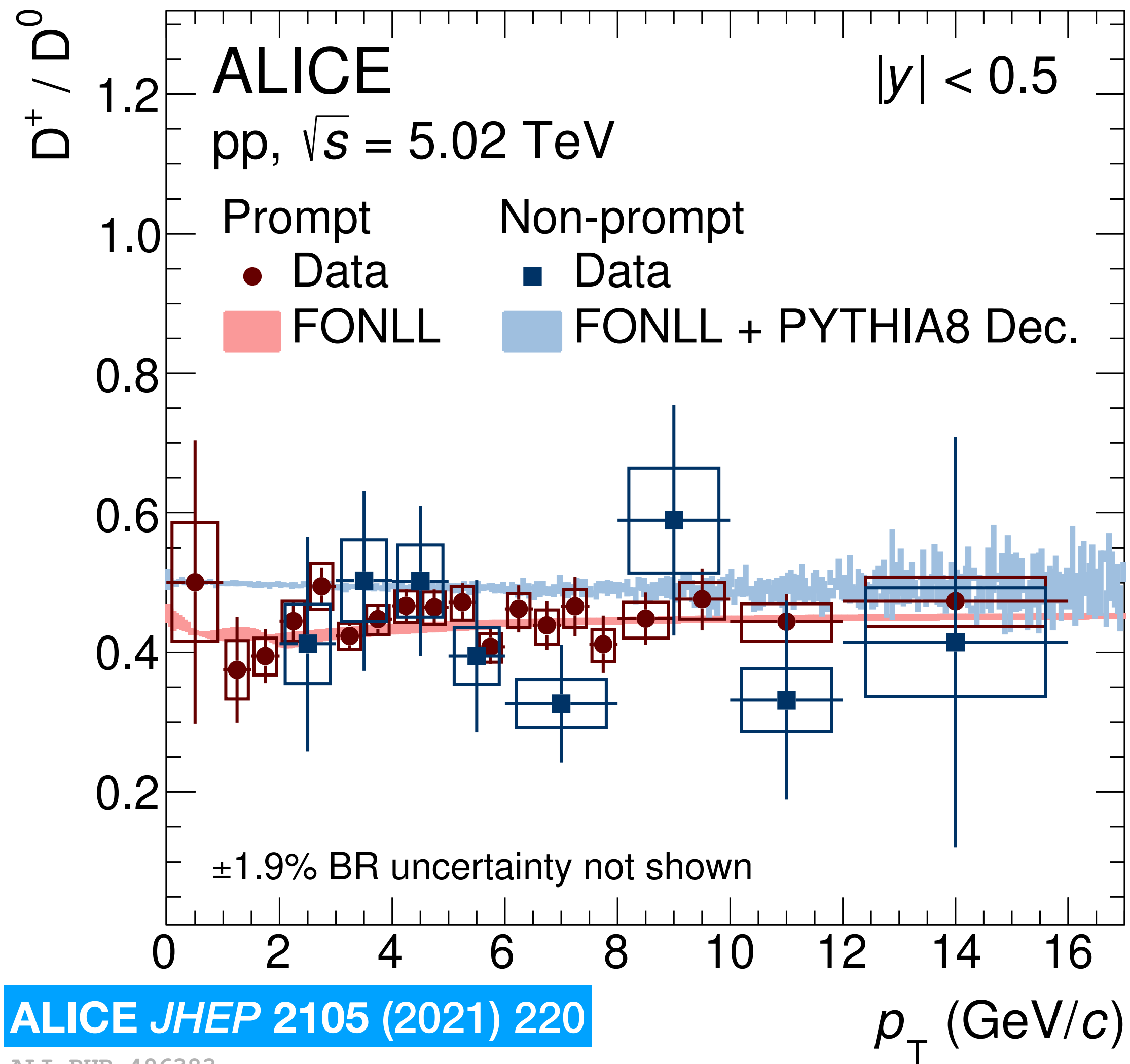


Charmed particle R_{AA}

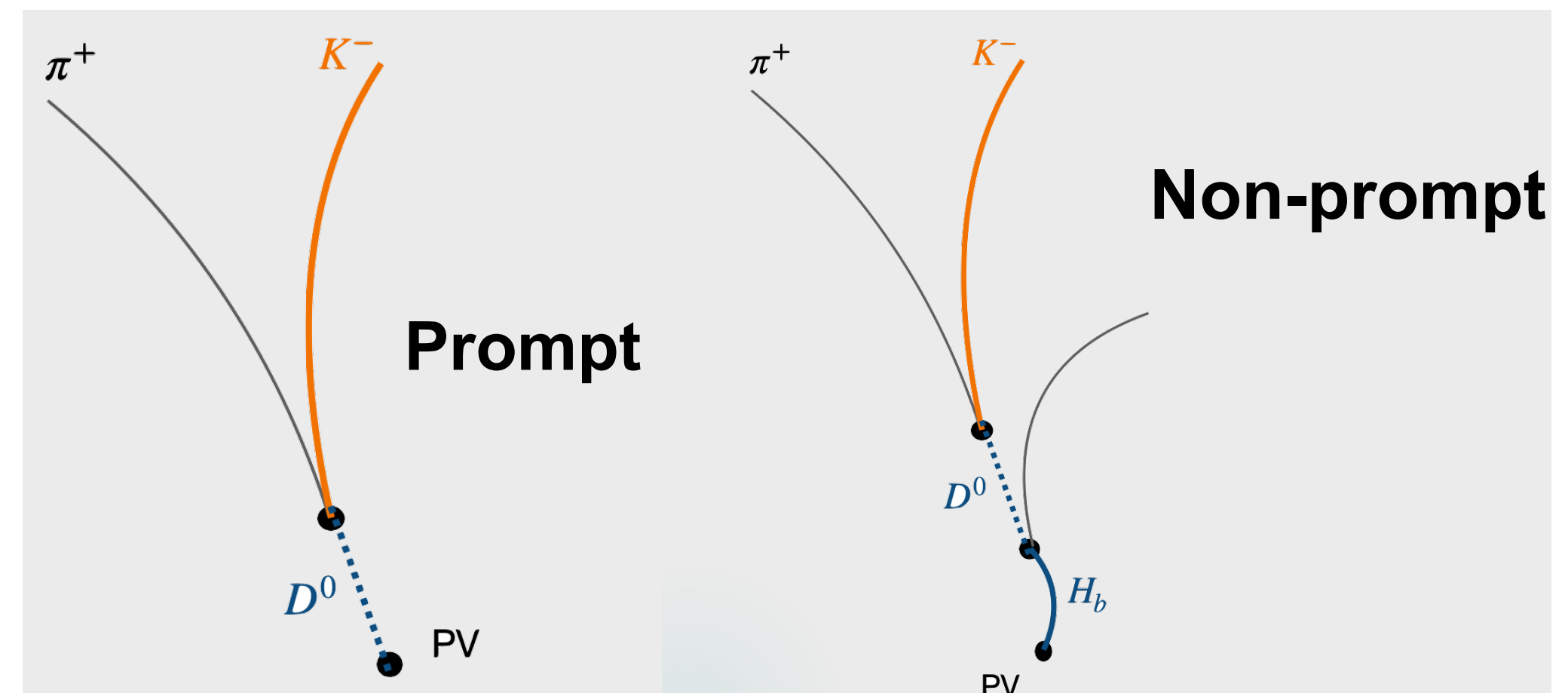


- Suppression increases from peripheral to central collisions
 - Similar suppression in the **most central** collisions between mid- and forward-rapidity
- ➔ Charm quarks undergo strong energy loss in a wide rapidity range

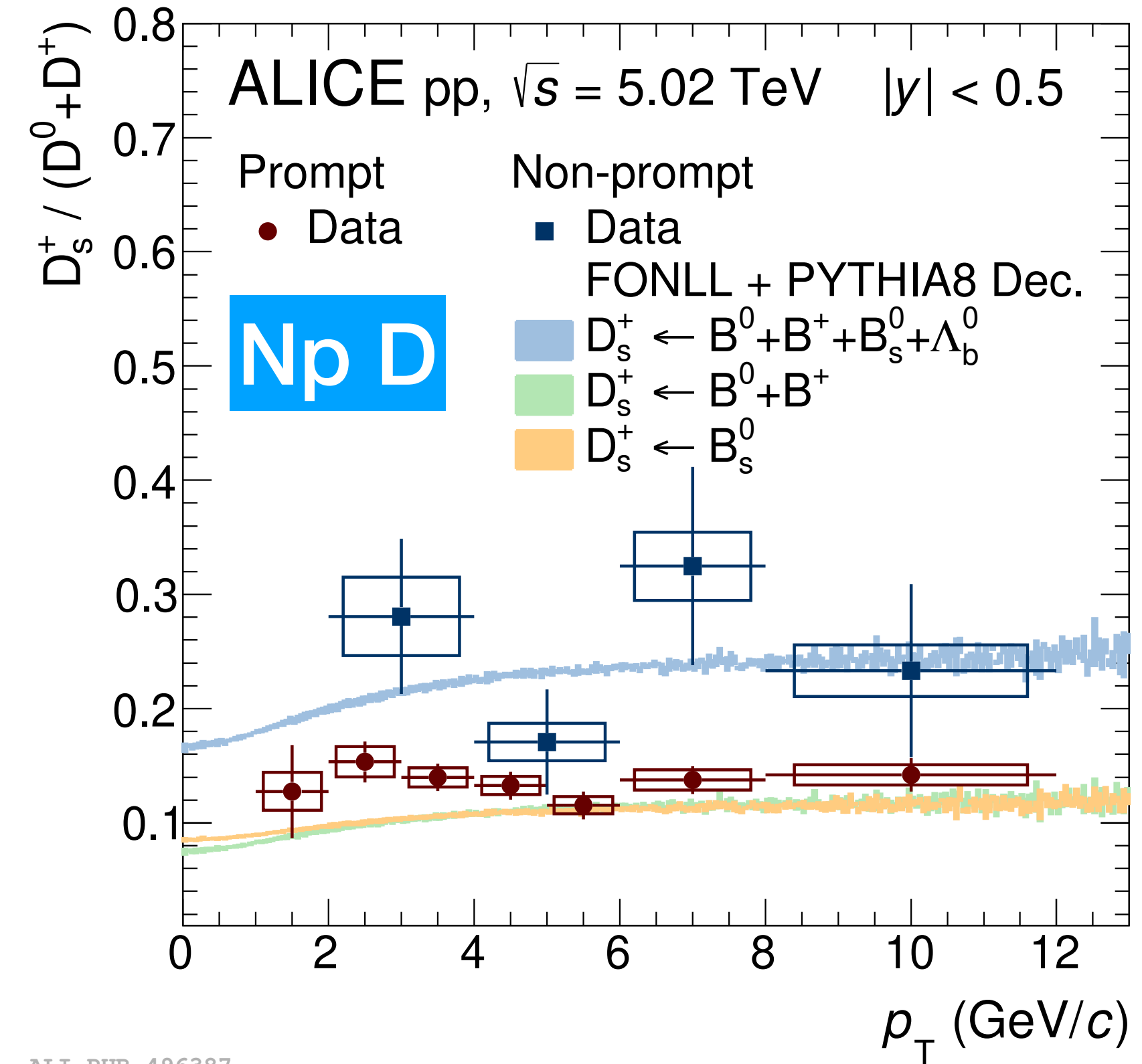
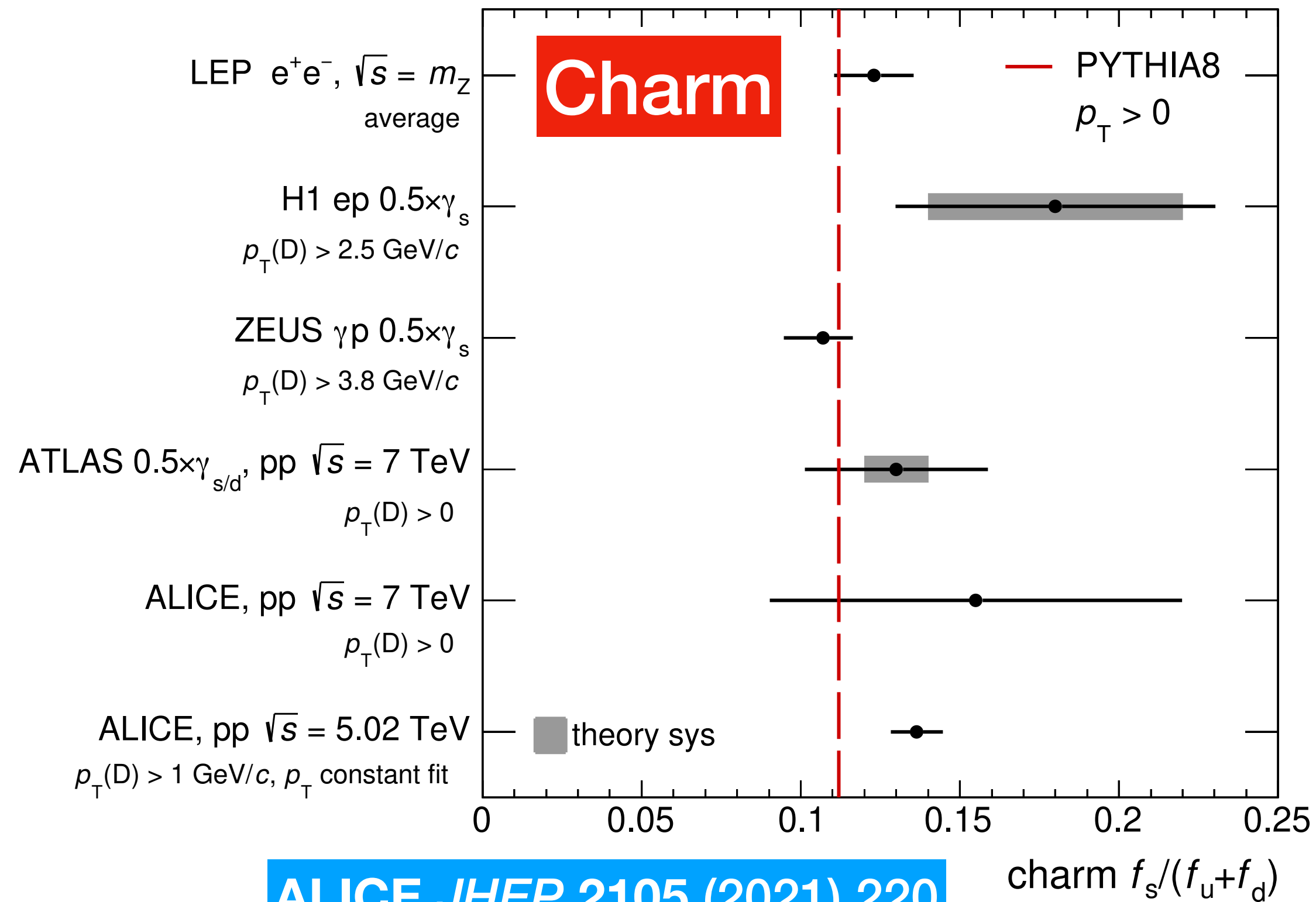
Meson-to-meson ratios



- No significant p_T -dependence for charm and beauty meson-to-meson ratios
- Good agreement with models that use fragmentation fraction tuned on leptonic collision measurements



Strange-to-non-strange meson

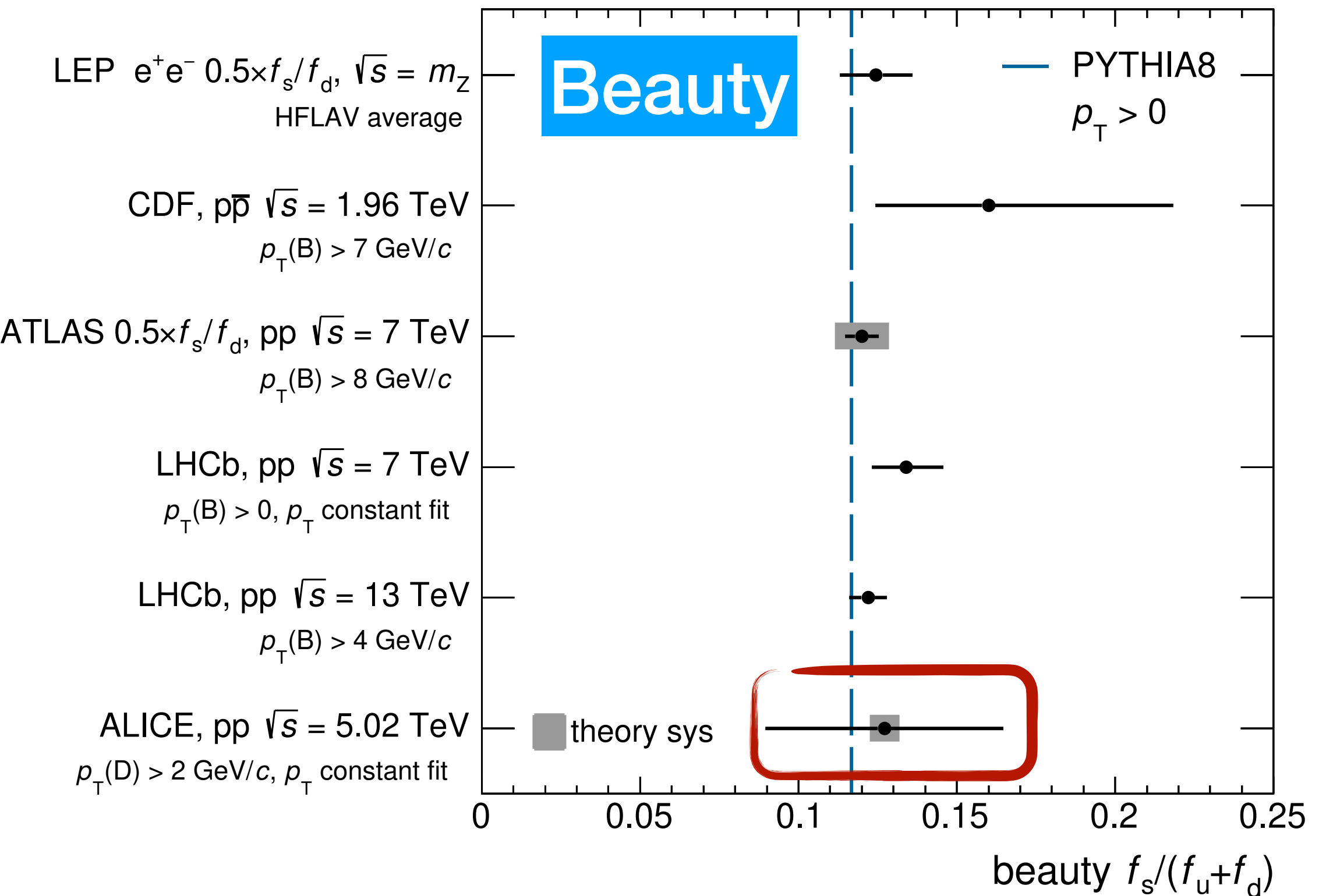
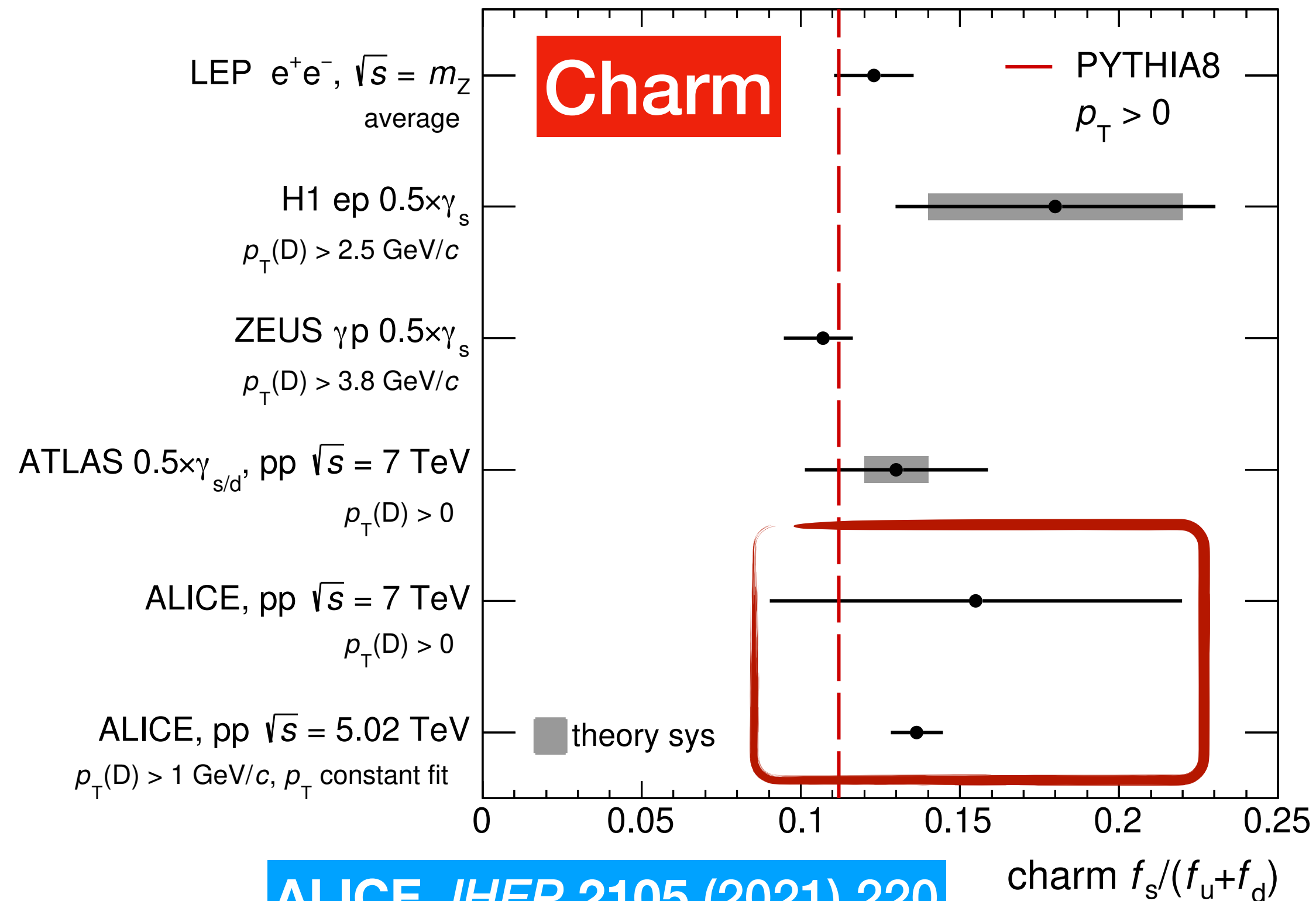


ALI-PUB-496391

ALICE JHEP 2105 (2021) 220

ALI-PUB-496387

Strange-to-non-strange meson



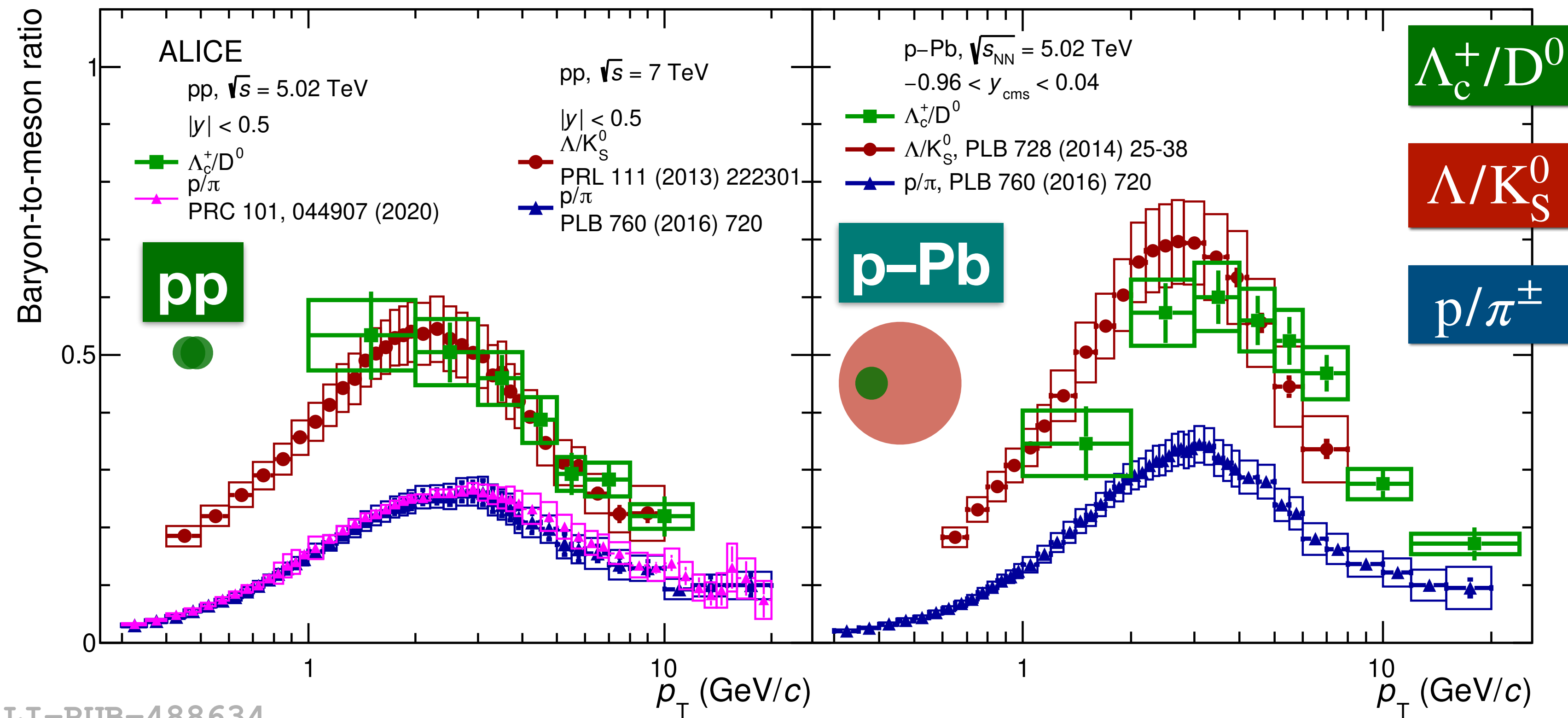
ALI-PUB-496391

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ALI-PUB-496395

- Fragmentation fraction ratios for charm and beauty mesons are well described by PYTHIA8 with fragmentation fraction tuned on e^-e^+
- No significant dependence on energy and collision systems

Λ_c/D^0 ratio in pp and p-Pb



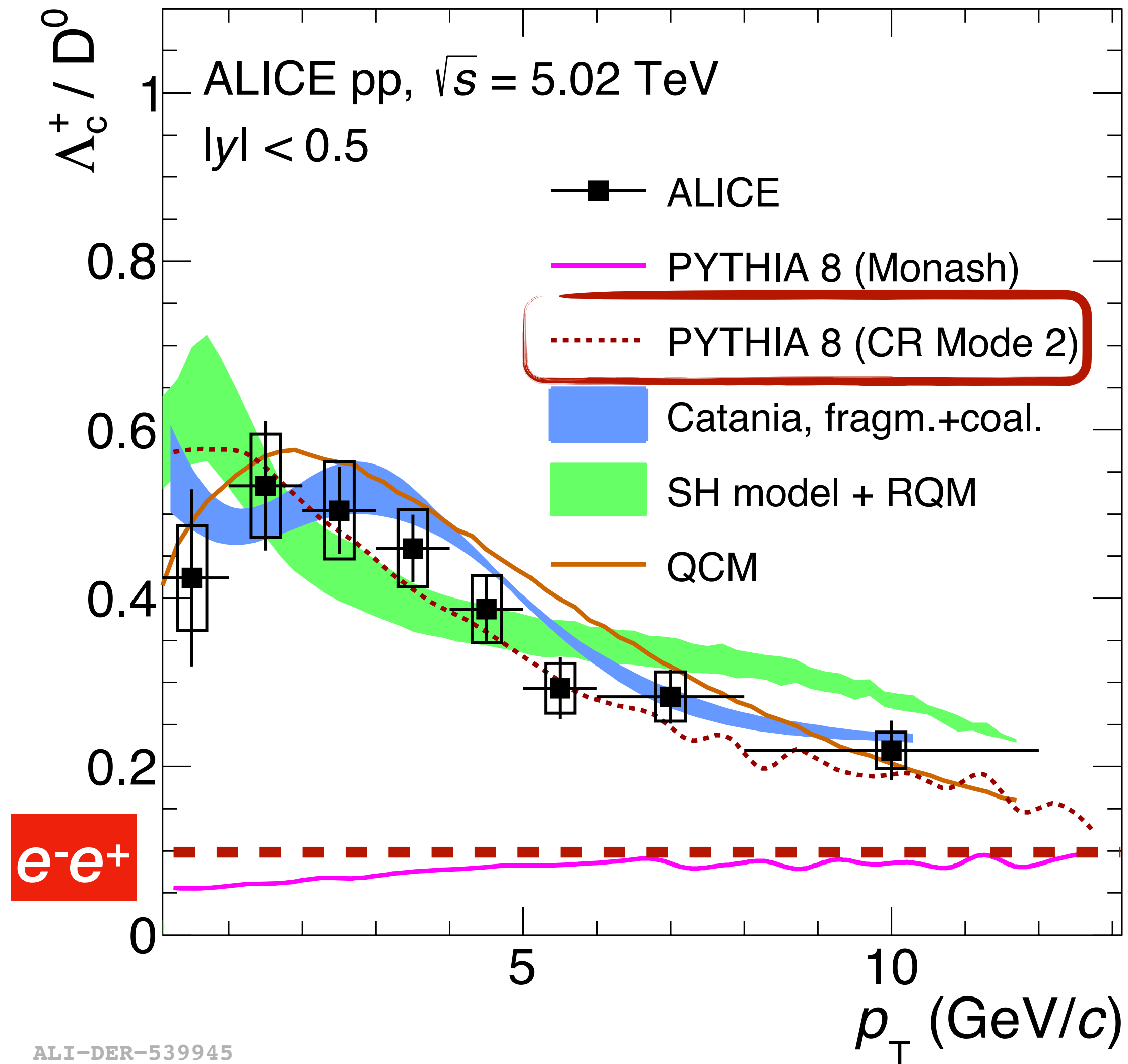
ALI-PUB-488634

- Baryon-to-meson ratio enhancement is observed in p-Pb and pp collisions at high multiplicities in **charm sector**

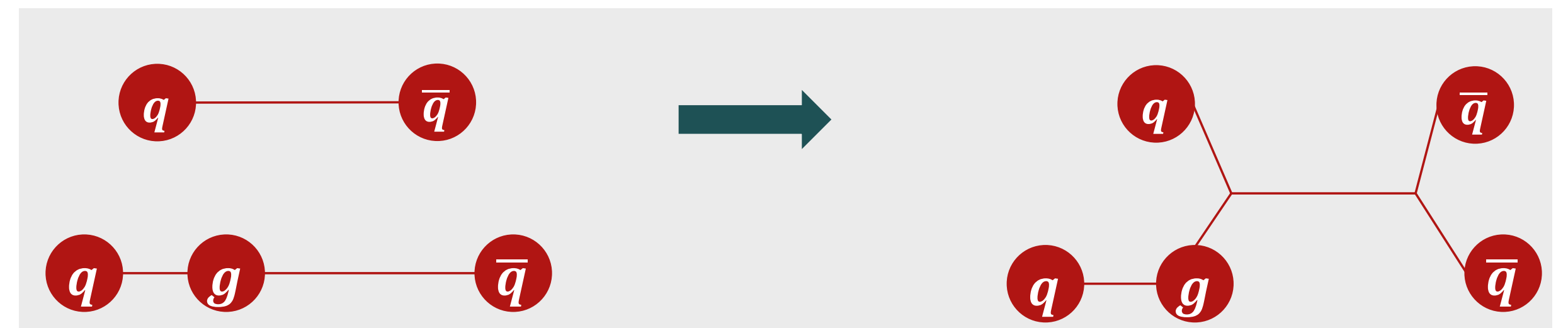
➔ Similar as that in strange sector

ALICE Phys. Rev. C104 (2021) 054905
ALICE Phys. Rev. Lett. 127 (2021) 202301

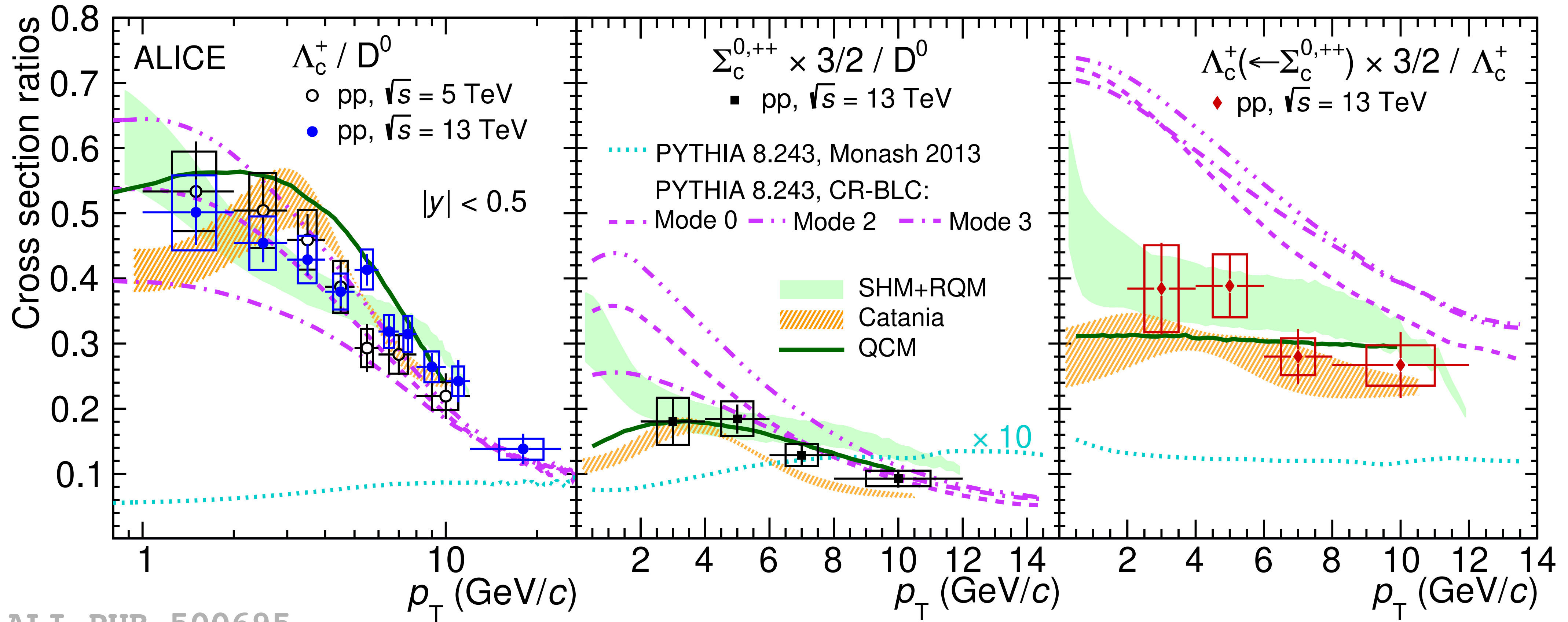
Λ_c^+ / D^0 ratio in pp collisions



- Λ_c / D^0 ratios significantly higher than e^-e^+ , p_T dependence observed
- **PYTHIA8 color-reconnection** Allowing “junction” topologies in multiparton interactions, which enhance the charm baryon production



$\Sigma_c^{0,++}$ production in pp collisions

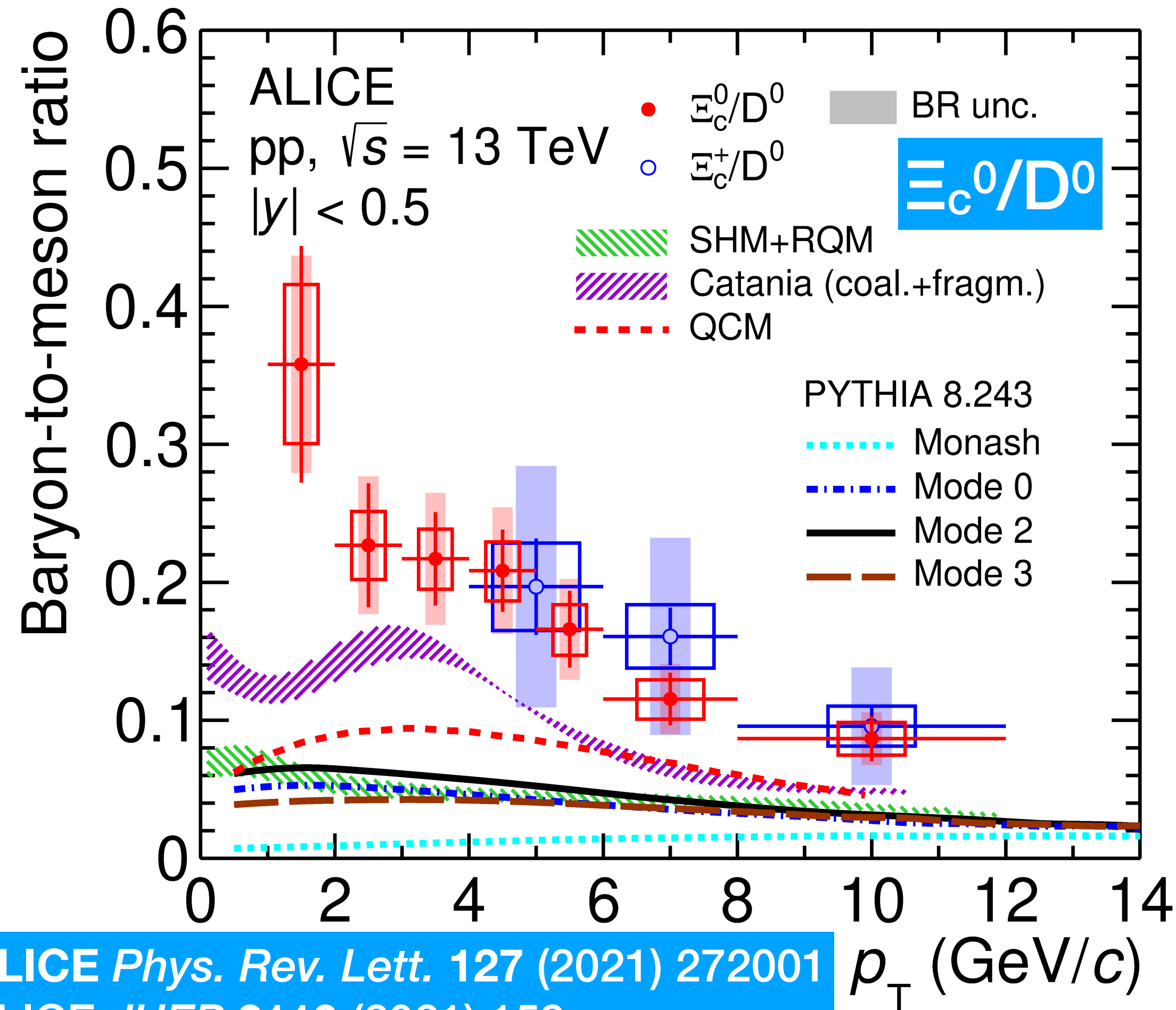


ALI-PUB-500695

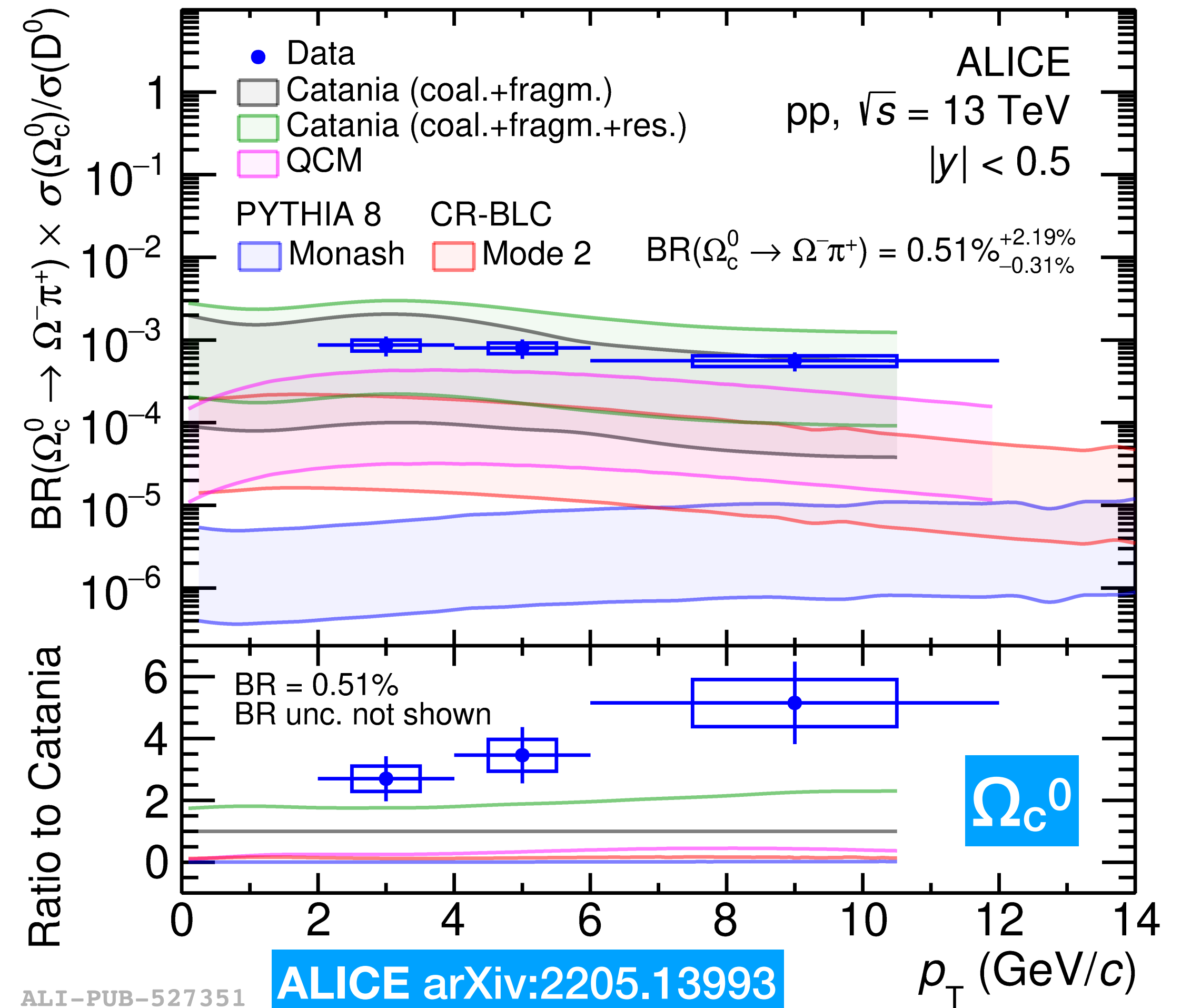
ALICE Phys. Rev. Lett. 128 (2022) 012001

- SHM+RQM, Catania, and QCM describe the $\Lambda_c^+(\leftarrow\Sigma_c^{0,+,++})/\Lambda_c^+$ ratio while PYTHIA8 with CR-BLC overestimates the data

Strange charmed baryon in pp



ALICE Phys. Rev. Lett. 127 (2021) 272001
ALICE JHEP 2110 (2021) 159

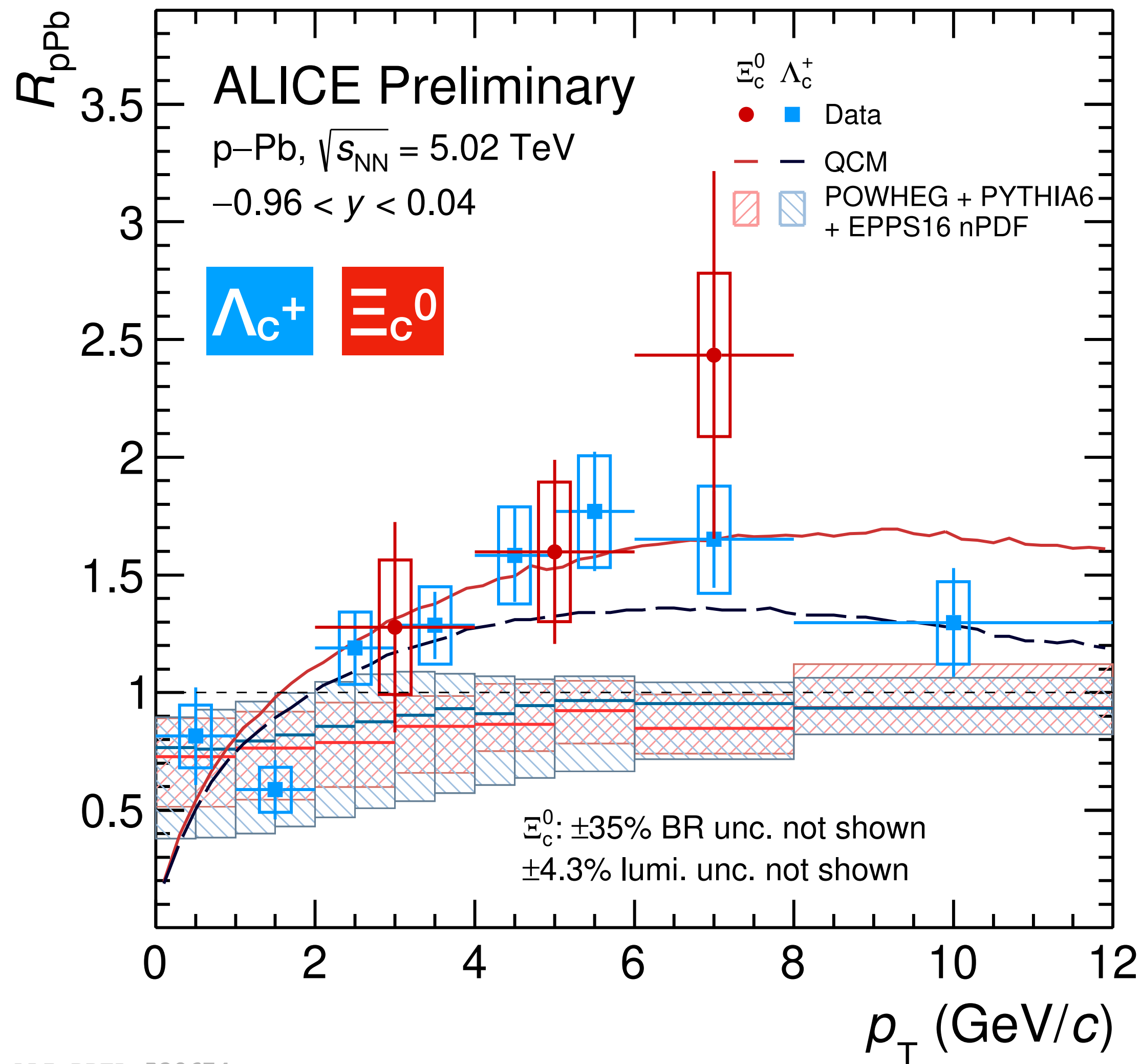


ALI-PUB-527351

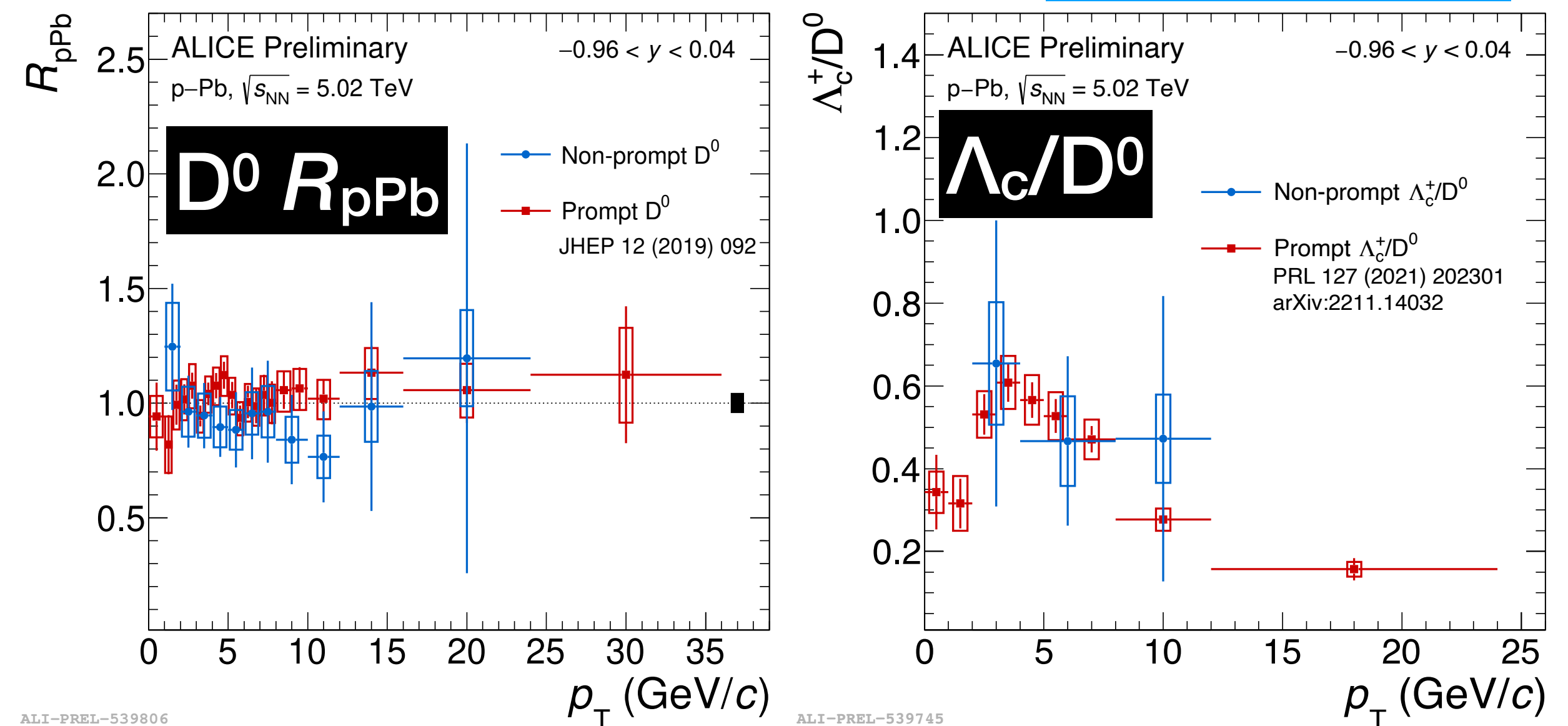
ALICE arXiv:2205.13993

- Catania model closer to the measurement when decays from additional higher-mass resonances are considered

Heavy flavours in p-Pb

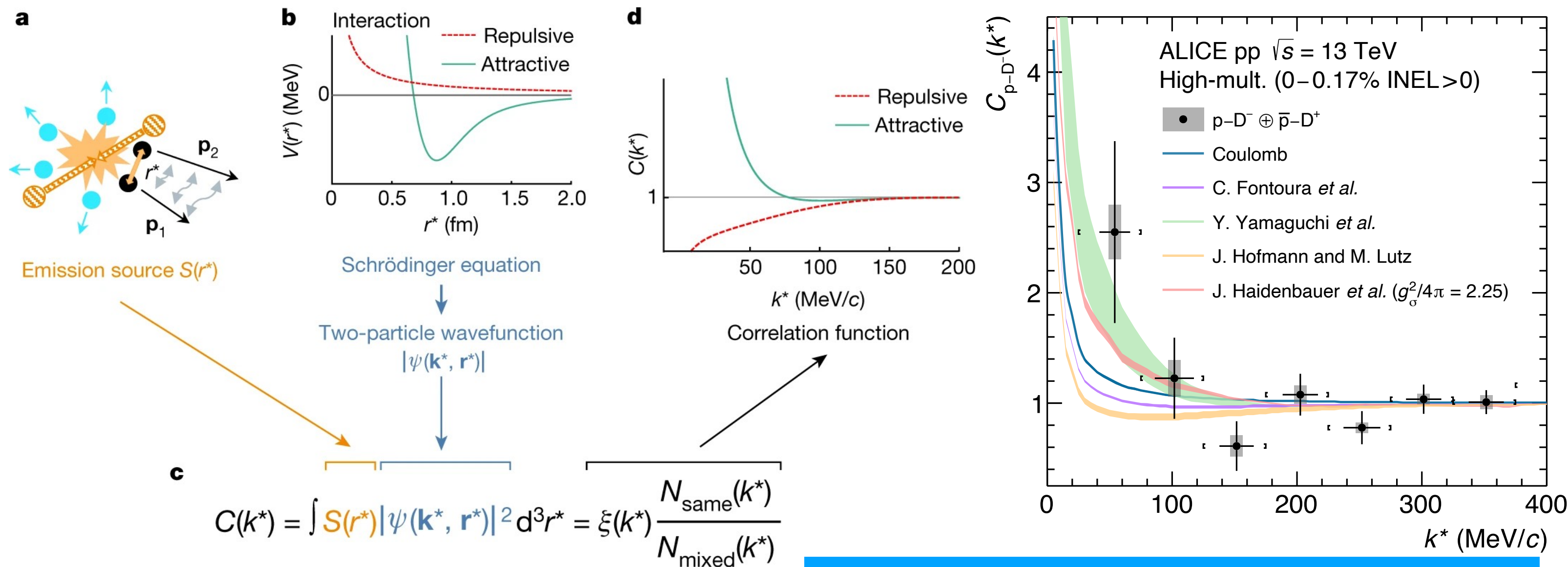


- R_{pPb} of Λ_c^+ and Ξ_c^0 are in agreement within the uncertainties
- QCM prediction agrees with **Prompt** measurement



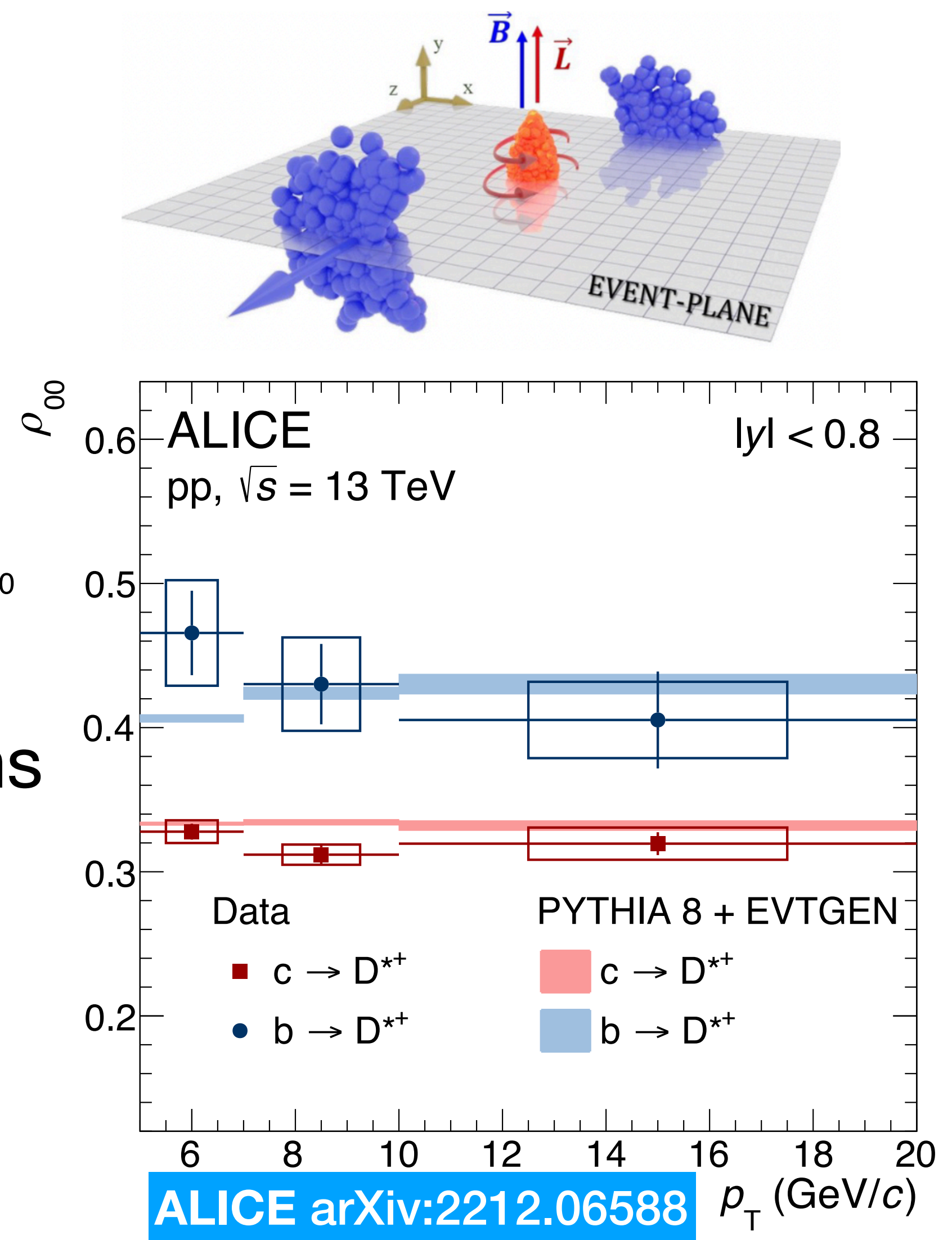
- Similar behavior between prompt and non-prompt charm baryons

Femtoscscopy and polarization



ALICE Phys. Rev. D106 (2021) 052010

- Unveiling strong-interaction potentials among hadrons via femtoscopy — test for lattice QCD, input for EOS of neutron stars
- No significant **no spin alignment for prompt D^{*+}** , hint of **spin alignment for non-prompt D^{*+}** — baseline for heavy-ion collisions



ALICE arXiv:2212.06588