

# Opening the QCD Axion Window

The First International Conference on Axion Physics  
and Experiment (Axion 2022) - 22.11.2022

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1222•2022  
**800**  
ANNI



UNIVERSITÀ  
DEGLI STUDI  
DI PADOVA

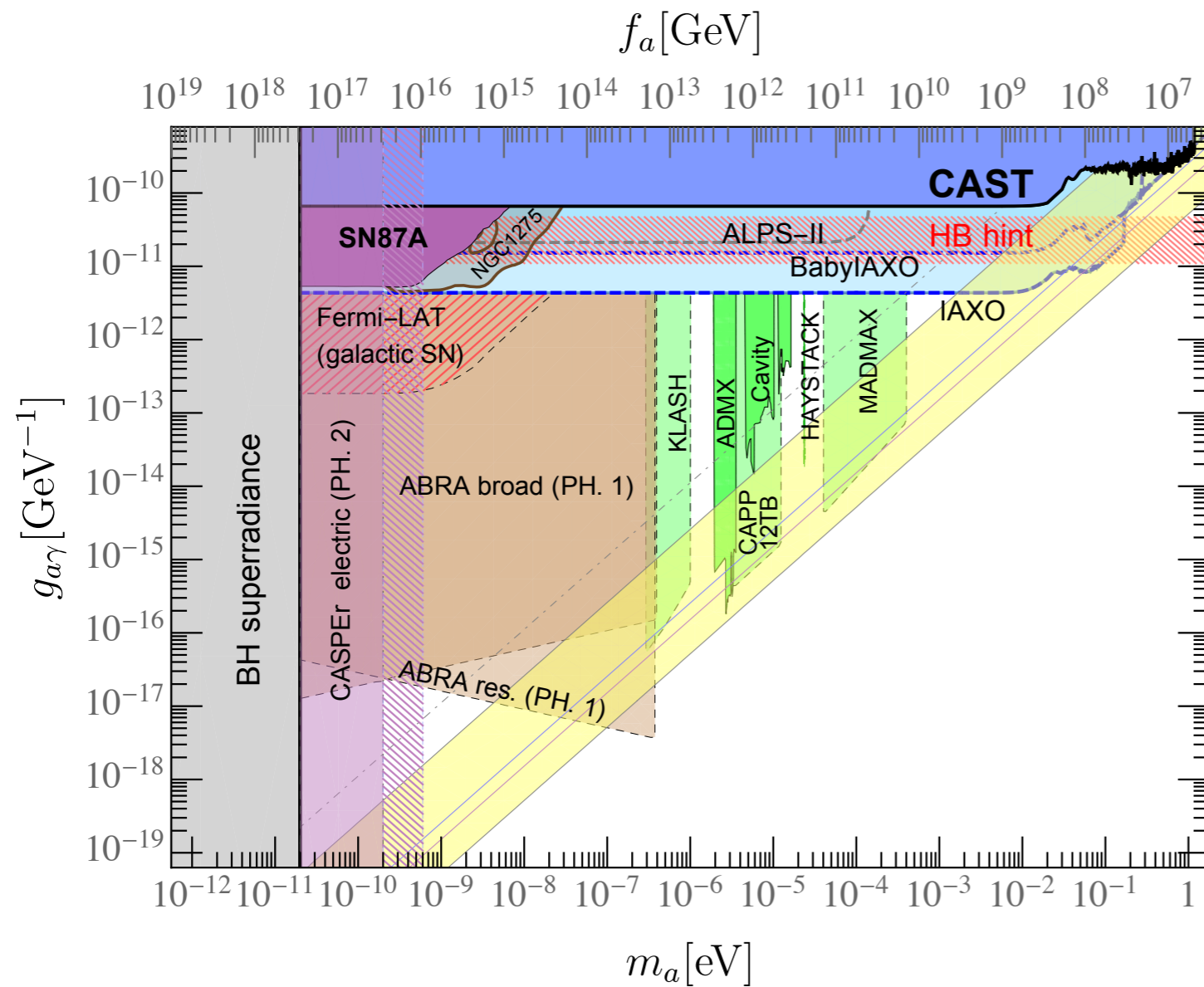


Dipartimento di Fisica e  
Astronomia  
"Galileo Galilei"



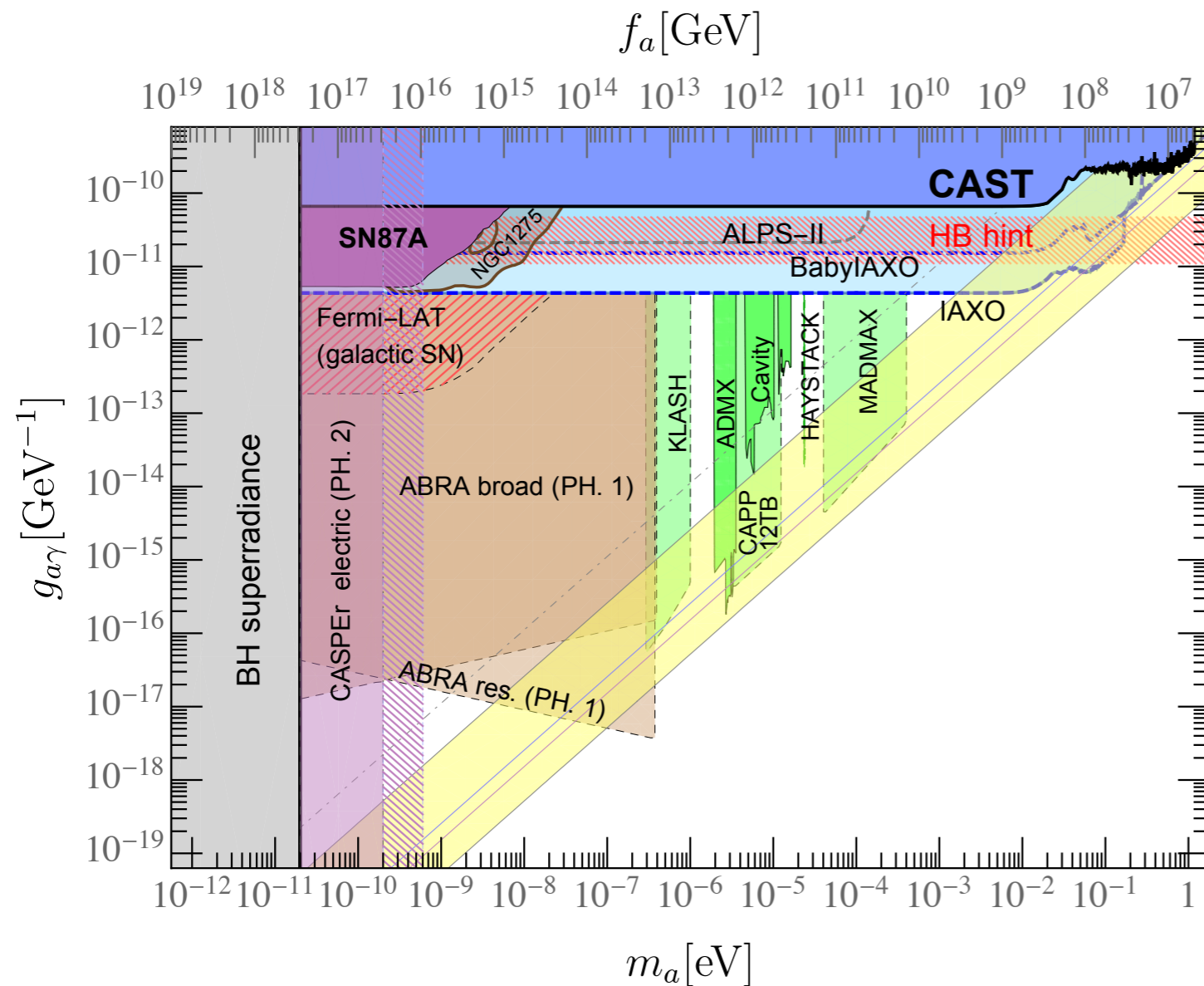
# In 10 years from now ?

♣ An experimental opportunity



[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]

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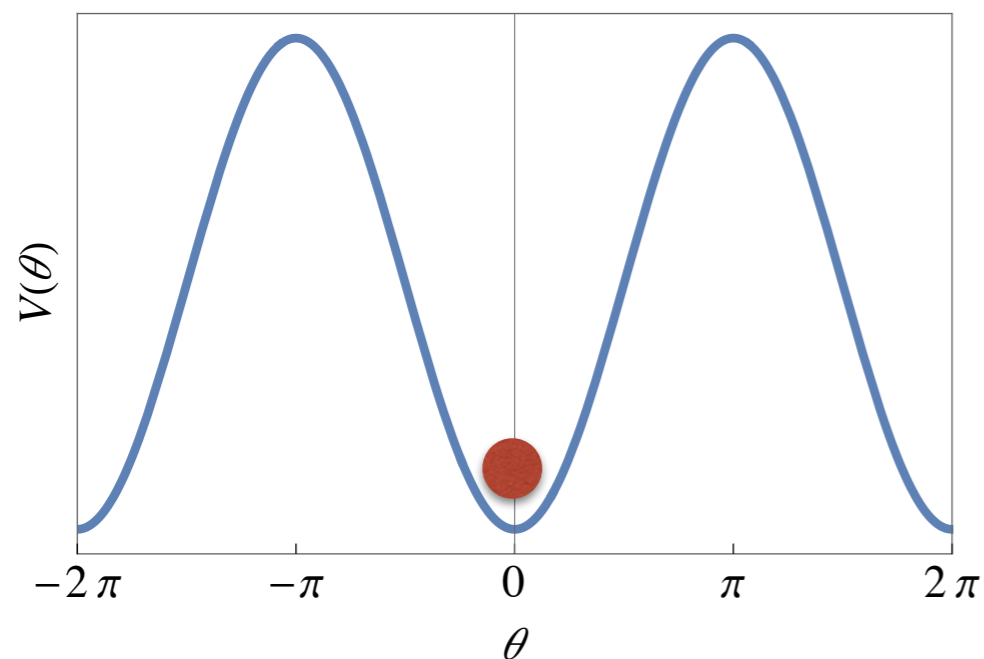
- ♣ An experimental opportunity
  - ★ Time now to rethink the QCD axion
1. PQ mechanism
  2. Axion couplings  
[from EFTs to UV models]
  3. QCD axions beyond standard benchmarks

# QCD axion

Strong CP problem

$$\delta\mathcal{L}_{\text{QCD}} = \theta \frac{g_s^2}{32\pi^2} G\tilde{G} \quad |\theta| \lesssim 10^{-10}$$

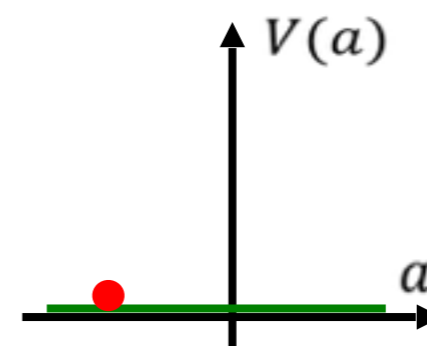
promote  $\theta$  to a dynamical field,  
which relaxes to zero via QCD dynamics



$$\theta \rightarrow \frac{a}{f_a} \quad \text{with} \quad \langle a \rangle = 0$$

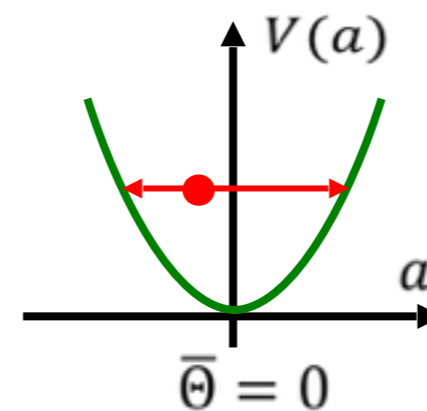
Dark Matter

vacuum re-alignment mechanism:



$T \gg 1 \text{ GeV}$

[Raffelt]



$T \sim 1 \text{ GeV}$

$$w_a = p_a / \rho_a \simeq 0$$

$$\ddot{a} + 3H\dot{a} + m_a^2(T) f_a \sin\left(\frac{a}{f_a}\right) = 0$$

# PQ mechanism

- Assume a new spin-0 boson with a pseudo-shift symmetry  $a \rightarrow a + \alpha f_a$

broken by  $\frac{a}{f_a} \frac{g_s^2}{32\pi^2} G\tilde{G}$    $E(0) \leq E(\langle a \rangle)$  [Vafa-Witten, PRL 53 (1984)]

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$$\theta_{\text{eff}} = \frac{\langle a \rangle}{f_a}$$

$$\begin{aligned} e^{-V_4 E(\theta_{\text{eff}})} &= \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \\ &= \left| \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| \\ &\leq \int \mathcal{D}\varphi \left| e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| = e^{-V_4 E(0)} \end{aligned}$$

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$$= \left| \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right|$$

$$\leq \int \mathcal{D}\varphi \left| e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| = e^{-V_4 E(0)}$$

\*Proof fails for a chiral theory (as in the SM)

$$\theta_{\text{eff}} \sim G_F^2 f_\pi^4 j_{\text{CKM}} \approx 10^{-18}$$
 [Georgi Randall, NPB276 (1986)]

PQ mechanism works accidentally in the SM!

$$j_{\text{CKM}} = \text{Im} V_{ud} V_{cd}^* V_{cs} V_{us}^* \approx 10^{-5}$$

# PQ mechanism

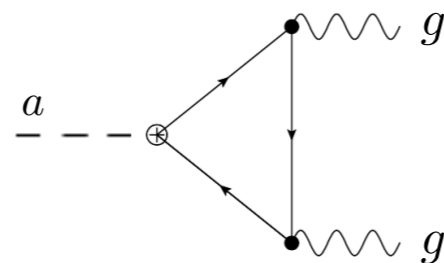
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- its origin can be traced back to a global  $U(1)_{PQ}$  [Peccei, Quinn '77, Weinberg '78, Wilczek '78]

1. spontaneously broken (axion is the associated pNGB)

2. QCD anomalous



$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G}$$



# Axion properties [model-indep.]

- Consequences of  $\frac{a}{f_a} \frac{g_s^2}{32\pi^2} G\tilde{G}$

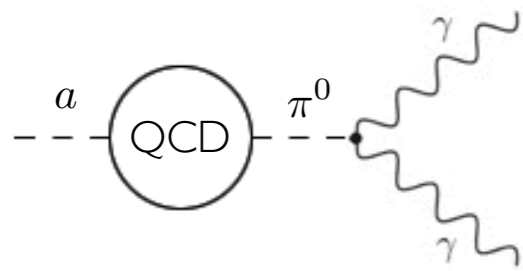
I. axion mass

$$\text{---} \overset{a}{\text{---}} \text{---} \left( \text{QCD} \right) \text{---} \overset{a}{\text{---}} \text{---} \sim \frac{\Lambda_{\text{QCD}}^4}{f_a^2} \quad \longrightarrow \quad m_a \sim \Lambda_{\text{QCD}}^2 / f_a \simeq 0.1 \text{ eV} \left( \frac{10^8 \text{ GeV}}{f_a} \right)$$

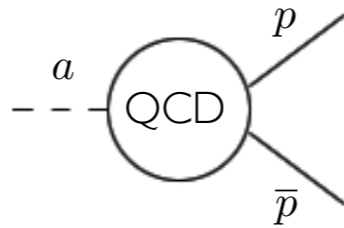
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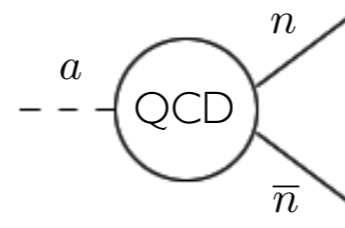
2. 'model-independent' axion couplings to photons, nucleons, electrons, ...



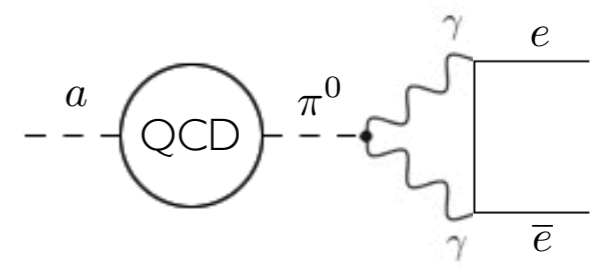
$$C_\gamma = -1.92(4)$$



$$C_p = -0.47(3)$$



$$C_n = -0.02(3)$$



$$C_e = -7.8(2) \times 10^{-6} \log\left(\frac{f_a}{m_e}\right)$$

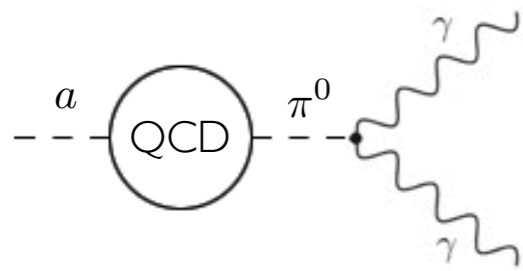
$$\mathcal{L}_a \supset \frac{\alpha}{8\pi} \frac{C_\gamma}{f_a} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{C_f}{2f_a} \partial_\mu a \bar{f} \gamma^\mu \gamma_5 f \quad (f = p, n, e)$$

[Grilli di Cortona, Hardy, Vega, Villadoro, 1511.02867]

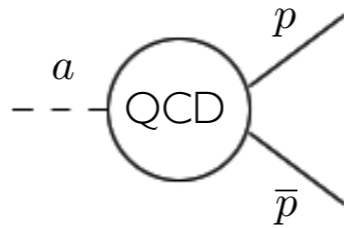
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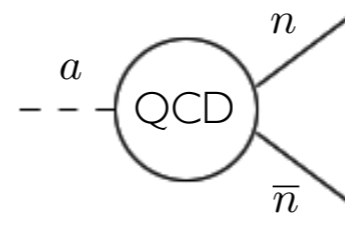
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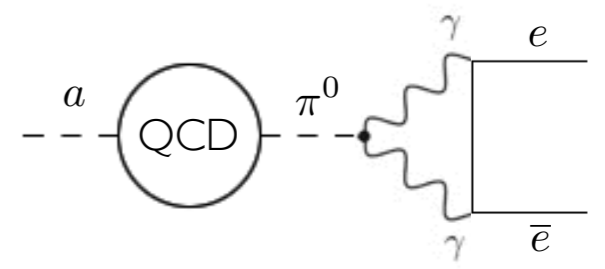
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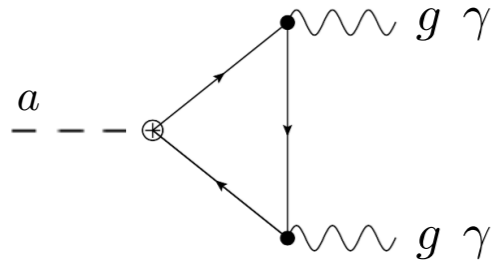
- EFT breaks down at energies of order  $f_a$



UV completion can drastically affect low-energy axion properties

# Axion properties [model-dep.]

## I. Axion-photon



$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$

$$C_\gamma = E/N - 1.92(4)$$

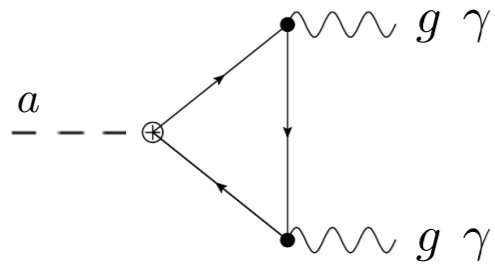
*model independent*

*depends on UV completion*

*enhance/suppress  $C_\gamma$*

# Axion properties [model-dep.]

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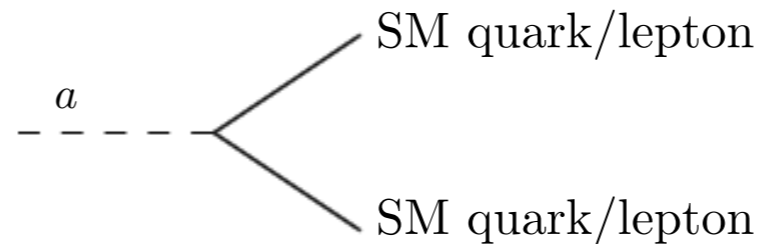
$$C_\gamma = E/N - 1.92(4)$$

model independent

depends on UV completion

enhance/suppress  $C_\gamma$

## 2. Axion-SM fermion current



$$\frac{\partial_\mu a}{2f_a} \bar{\psi}_i \gamma^\mu (C_{ij}^V + C_{ij}^A \gamma_5) \psi_j$$

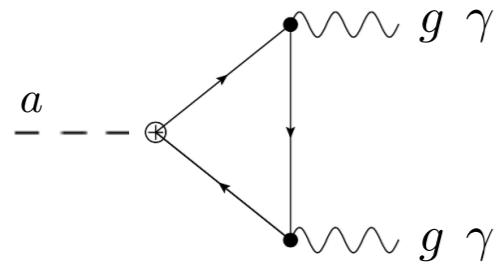
$$J_{PQ}^\mu$$

enhance/suppress  $C_{p,n,e}$

flavour-violating axion coupling

# Axion properties [model-dep.]

## 1. Axion-photon



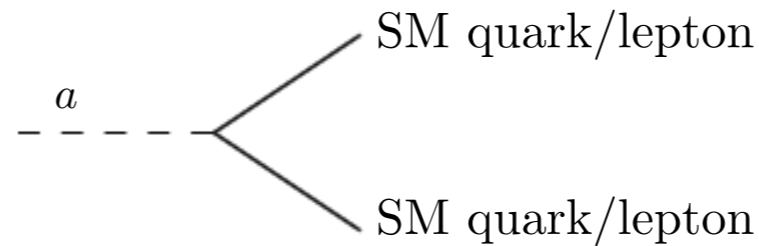
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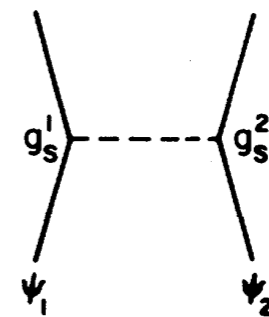
flavour-violating axion coupling

## 3. CP-violating axions

$$\frac{f_\pi}{2} \frac{a^2}{f_a^2} \bar{N} N \longrightarrow g_{aN}^S a \bar{N} N$$

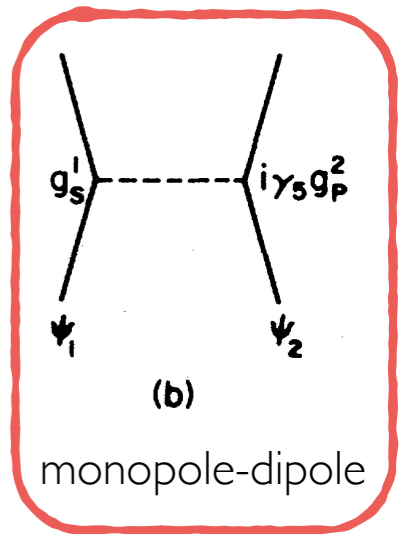
$$g_{aN}^S \sim \frac{f_\pi}{f_a} \theta_{\text{eff}} \quad \theta_{\text{eff}} = \frac{\langle a \rangle}{f_a}$$

scalar axion coupling leads to *long-range forces*



(a)

monopole-monopole



(b)

monopole-dipole

ARIADNE  
QUAX-gpgs

# Benchmark axion models

- global  $U(1)_{PQ}$  (*QCD anomalous* + *spontaneously broken*)

$$U(1)_{PQ} \times SU(3)_c^2$$

SM fermions

BSM fermions

2Higgs

2Higgs+Singlet

Higgs+Singlet

PQWW

DFSZ

KSVZ

[Peccei, Quinn '77,  
Weinberg '78, Wilczek '78]

[Zhitnitsky '80,  
Dine, Fischler, Srednicki '81]

[Kim '79,  
Shifman, Vainshtein, Zakharov '80]

$f_a \sim v$  ruled out

$f_a \gg v$  “Invisible” axion (phase of singlet field)

# Benchmark axion models

- global  $U(1)_{\text{PQ}}$  (*QCD anomalous* + *spontaneously broken*)

$$U(1)_{\text{PQ}} \times SU(3)_c^2$$

SM fermions

BSM fermions

2Higgs+Singlet

Higgs+Singlet

DFSZ

KSVZ

$$C_\gamma = E/N - 1.92(4)$$

$$E/N = 8/3$$

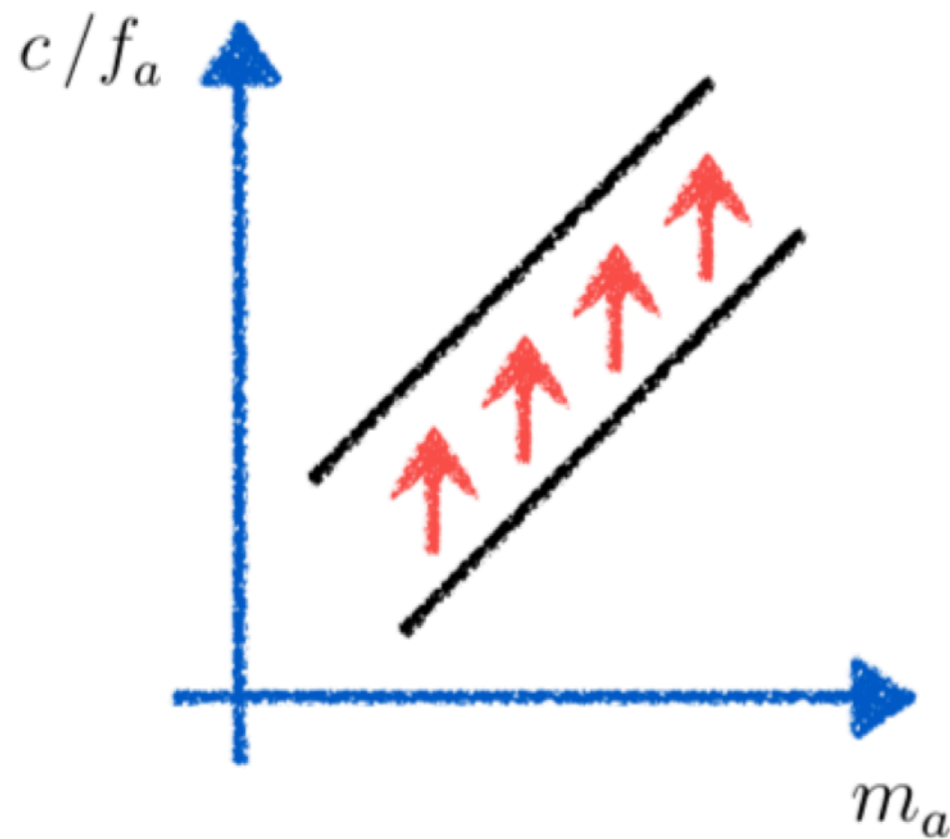
$$E/N = 0$$

$$C_{p,n,e}(\beta) \sim \mathcal{O}(1)$$

$$C_p \simeq -0.5$$

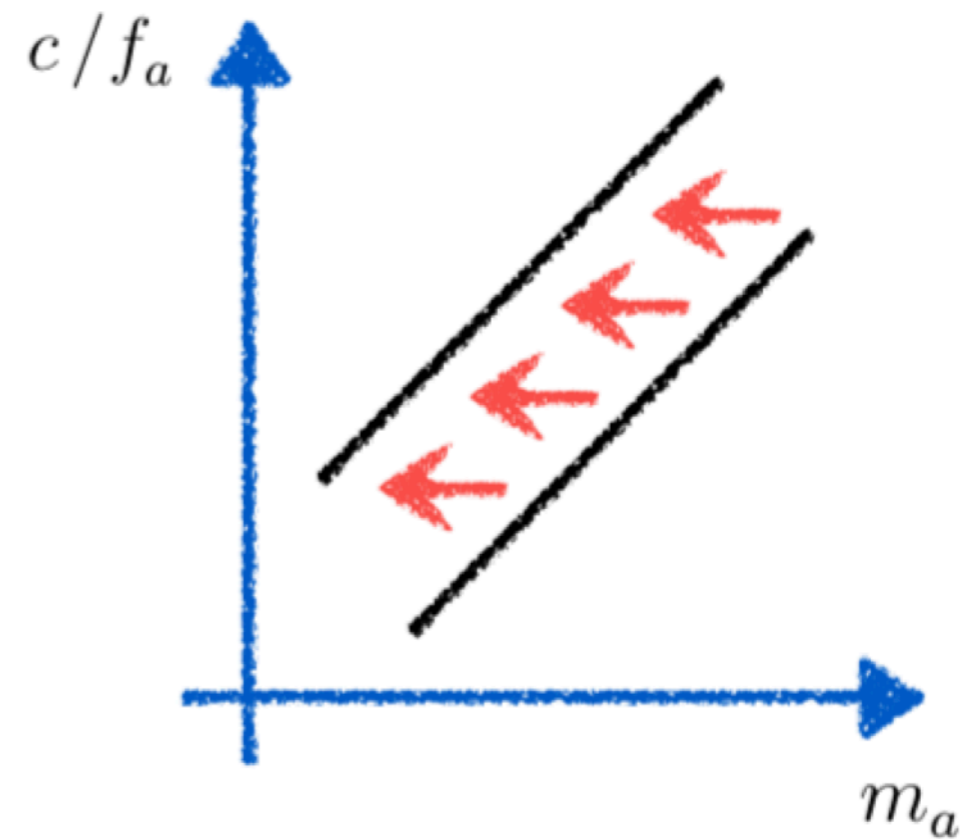


# Axions beyond benchmarks



enhance Wilson coefficient for fixed  $m_a$

[LDL, Mescia, Nardi 1610.07593 + 1705.05370  
Farina, Pappadopulo, Rompineve, Tesi 1611.09855  
Agrawal, Fan, Reece, Wang 1709.06085  
Darne', LDL, Giannotti, Nardi 2010.15846  
Ringwald, Sokolov 2104.02574]



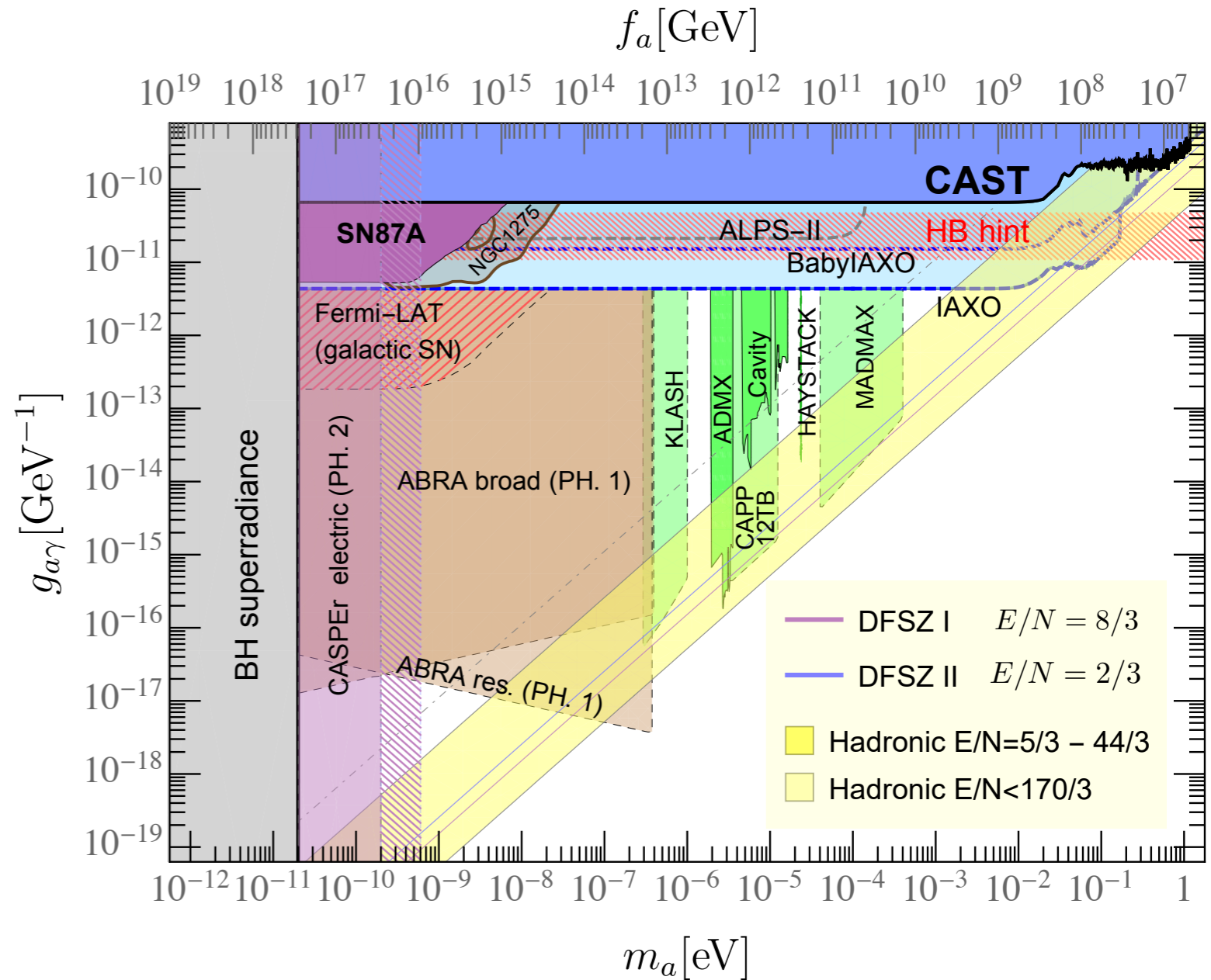
suppress axion mass for fixed  $f_a$

[Hook 1802.10093,  
LDL, Gavela, Quilez, Ringwald 2102.00012  
+ 2102.01082]

→ QCD axion parameter space much larger than what traditionally thought

# Axion-Photon

EXP	STATUS
CAST (CERN)	finished
ADMX (Seattle)	running
HAYSTAC (New Haven)	running
ALPs-II (DESY)	construction
CAPP (South Korea)	construction
ORGAN (Perth)	prototype
ABRACADABRA (MIT)	prototype
(Baby)IAXO (DESY)	preparation
MADMAX (DESY)	preparation
ACTION (South Korea)	proposed
FLASH (Frascati)	CDR
QUAX- $a\gamma$ (Legnaro)	running
...	...



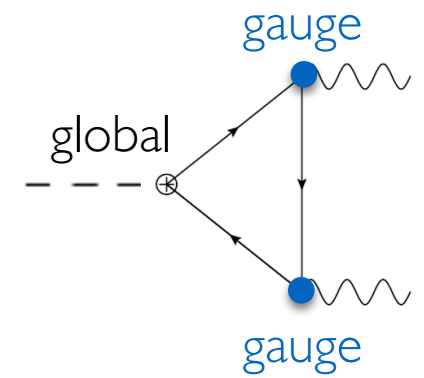
[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]

# Enhancing $g_{a\gamma}$

$$g_{a\gamma} = \frac{\alpha}{2\pi} \frac{C_{a\gamma}}{f_a}$$

$$C_{a\gamma} = E/N - 1.92(4)$$

$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$

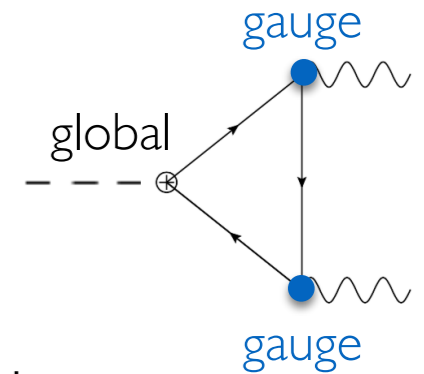


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	$R_Q$	$\mathcal{O}_{Qq}$	$\Lambda_{\text{Landau}}^{2\text{-loop}} [\text{GeV}]$	$E/N$
$R_Q^w$	(3, 1, -1/3)	$\bar{Q}_L d_R$	$9.3 \cdot 10^{38} (g_1)$	2/3
	(3, 1, 2/3)	$\bar{Q}_L u_R$	$5.4 \cdot 10^{34} (g_1)$	8/3
	(3, 2, 1/6)	$\bar{Q}_R q_L$	$6.5 \cdot 10^{39} (g_1)$	5/3
	(3, 2, -5/6)	$\bar{Q}_L d_R H^\dagger$	$4.3 \cdot 10^{27} (g_1)$	17/3
	(3, 2, 7/6)	$\bar{Q}_L u_R H$	$5.6 \cdot 10^{22} (g_1)$	29/3
	(3, 3, -1/3)	$\bar{Q}_R q_L H^\dagger$	$5.1 \cdot 10^{30} (g_2)$	14/3
$R_Q^s$	(3, 3, 2/3)	$\bar{Q}_R q_L H$	$6.6 \cdot 10^{27} (g_2)$	20/3
	(3, 3, -4/3)	$\bar{Q}_L d_R H^{\dagger 2}$	$3.5 \cdot 10^{18} (g_1)$	44/3
	( $\bar{6}$ , 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$2.3 \cdot 10^{37} (g_1)$	4/15
	( $\bar{6}$ , 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$5.1 \cdot 10^{30} (g_1)$	16/15
	( $\bar{6}$ , 2, 1/6)	$\bar{Q}_R \sigma_{\mu\nu} q_L G^{\mu\nu}$	$7.3 \cdot 10^{38} (g_1)$	2/3
	(8, 1, -1)	$\bar{Q}_L \sigma_{\mu\nu} e_R G^{\mu\nu}$	$7.6 \cdot 10^{22} (g_1)$	8/3
	(8, 2, -1/2)	$\bar{Q}_R \sigma_{\mu\nu} \ell_L G^{\mu\nu}$	$6.7 \cdot 10^{27} (g_1)$	4/3
	(15, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$8.3 \cdot 10^{21} (g_3)$	1/6
	(15, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$7.6 \cdot 10^{21} (g_3)$	2/3

- Pheno preferred hadronic axions

1. Q-fermions short lived (no coloured relics)
2. No Landau poles below Planck



$$E/N \in [5/3, 44/3]$$

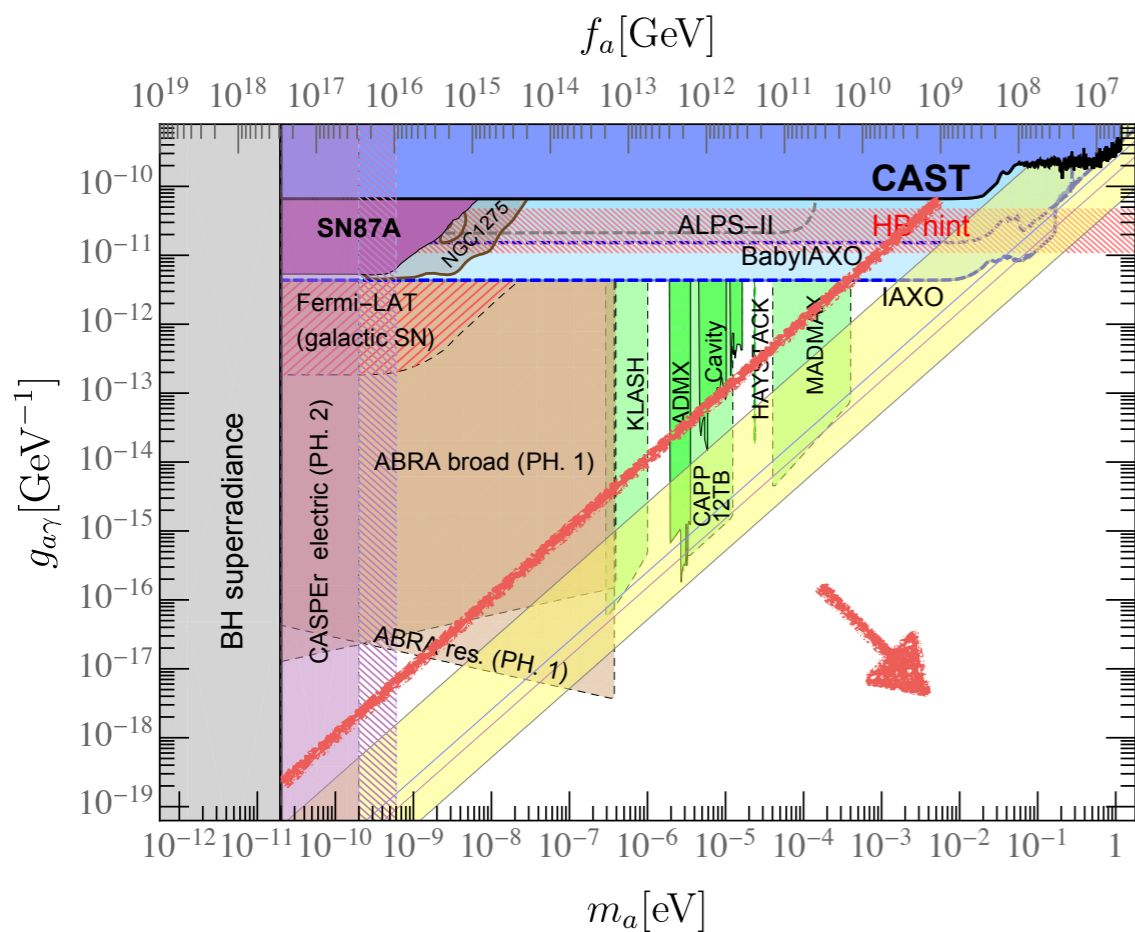
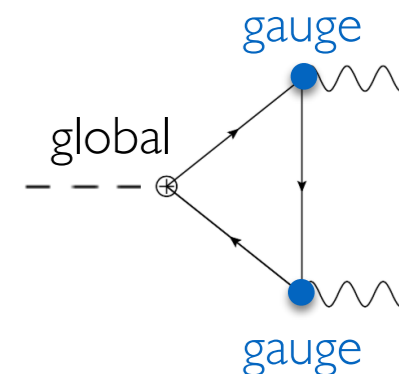
[LDL, Mescia, Nardi | 6 | 10.07593]

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$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$



- Pheno preferred hadronic axions

- More Q's? [LDL, Mescia, Nardi 1705.05370]

$$E/N < 170/3 \quad (\text{perturbativity})$$

$$g_{a\gamma} \rightarrow 0$$

[“such a cancellation is immoral, but not unnatural”,  
D. B. Kaplan, NPB260 (1985)]

- Going above  $E/N = 170/3$  ?

- boost global charge (clockwork) → backup slides

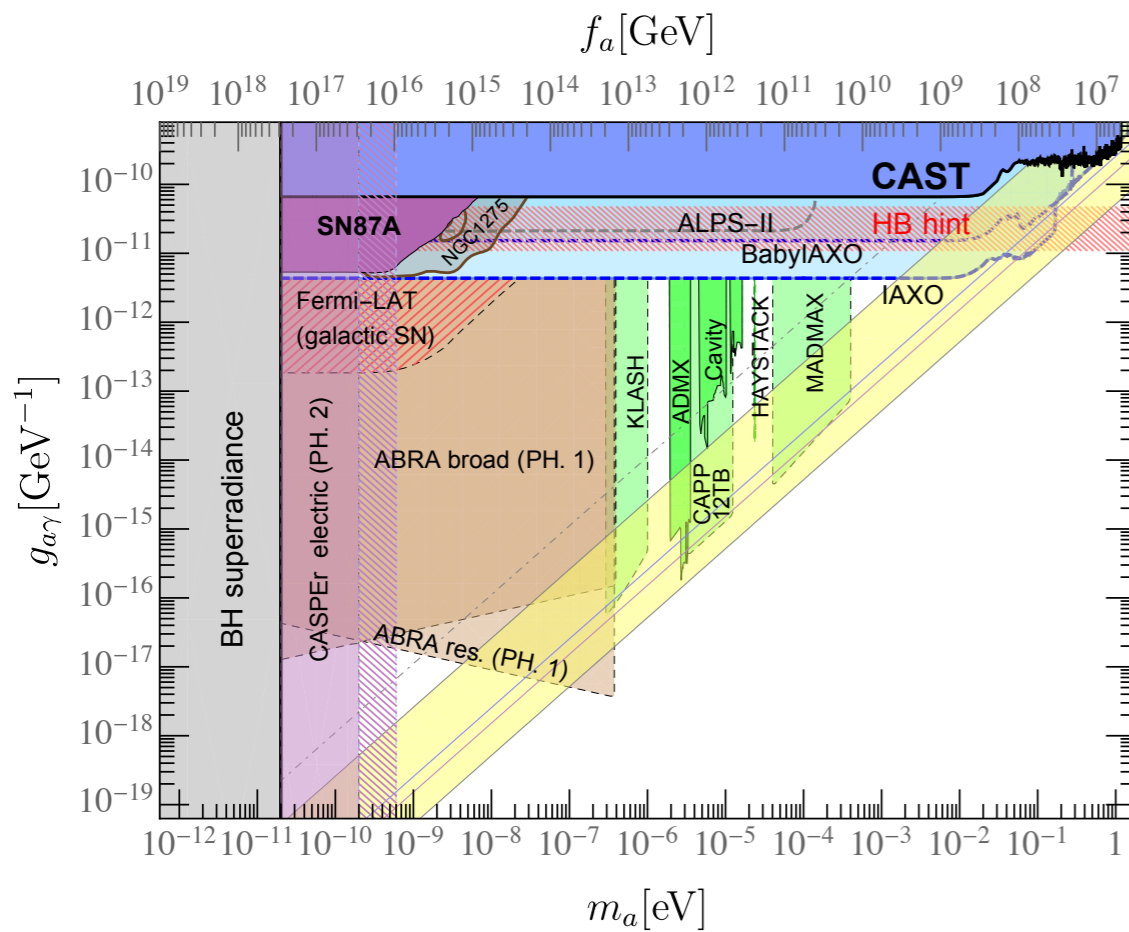
- be agnostic,  $E/N$  is a free parameter

# Enhancing $g_{a\gamma}$

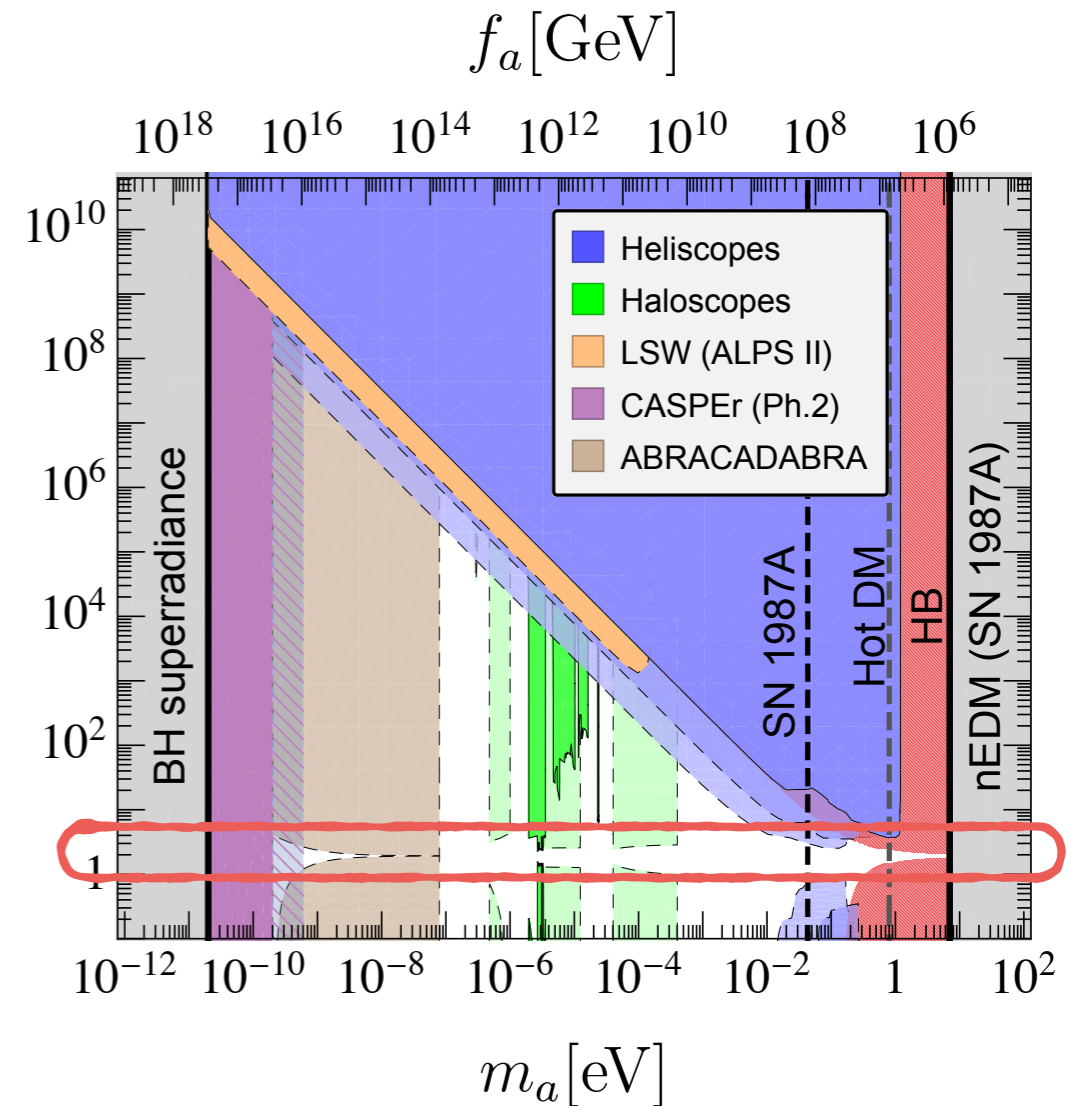
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[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]



$E/N$



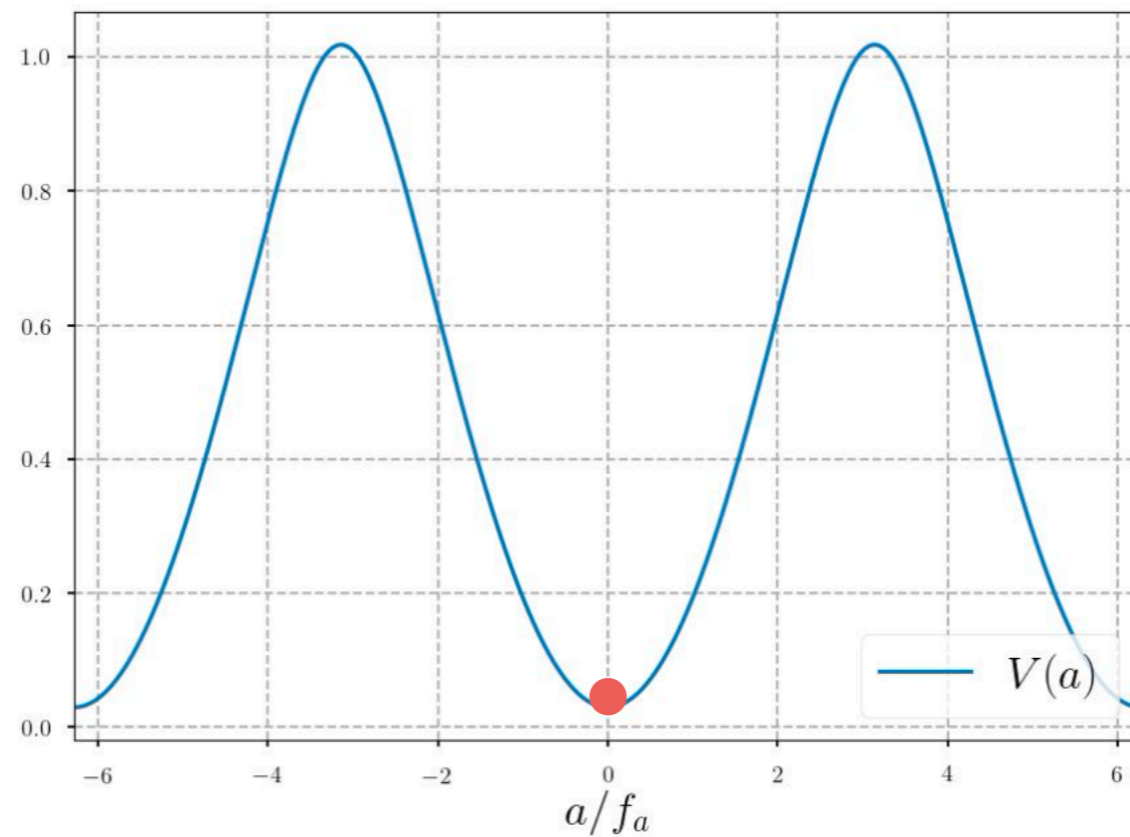
1. exp.s have just started to constrain  $E/N$  from above
2.  $E/N \sim 1.92$  appears as a tuned region in theory space

# Suppressing $m_a$

- Standard QCD axion

$$\frac{a}{f_a} \frac{\alpha_s}{8\pi} G\tilde{G} \quad \longrightarrow \quad V(a) = -m_\pi^2 f_\pi^2 \sqrt{1 - \frac{4m_u m_d}{(m_u + m_d)^2} \sin^2\left(\frac{a}{2f_a}\right)}$$

[Di Vecchia, Veneziano  
NPB171 (1980)]

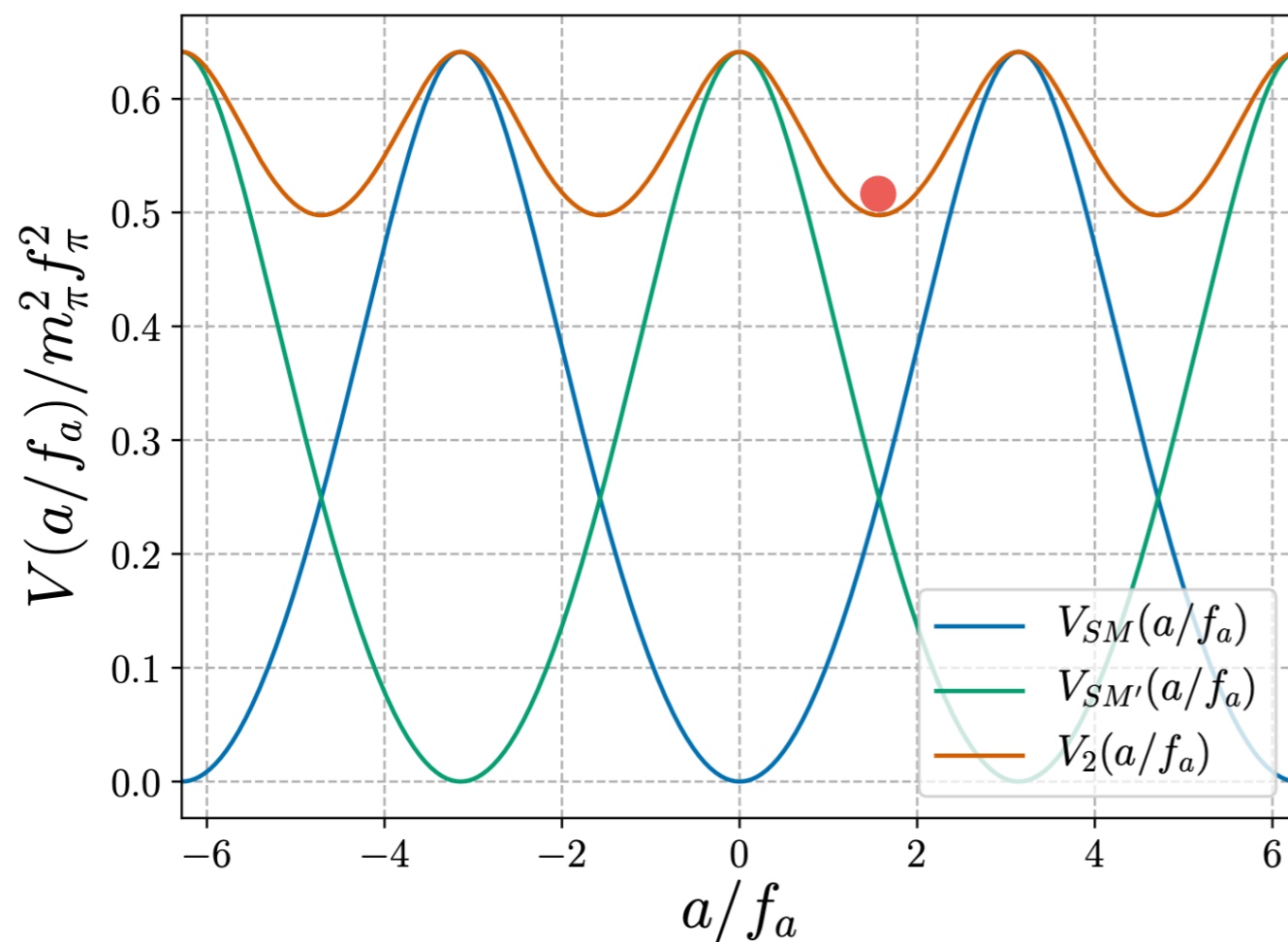


# Suppressing $m_a$

- $Z_2$  axion: mirror world

$$\begin{aligned} \text{SM} &\longleftrightarrow \text{SM}' \\ a &\longrightarrow a + \pi f_a \end{aligned}$$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{SM}'} + \frac{\alpha_s}{8\pi} \left( \frac{a}{f_a} - \theta \right) G\tilde{G} + \frac{\alpha_s}{8\pi} \left( \frac{a}{f_a} - \theta + \pi \right) G'\tilde{G}'$$



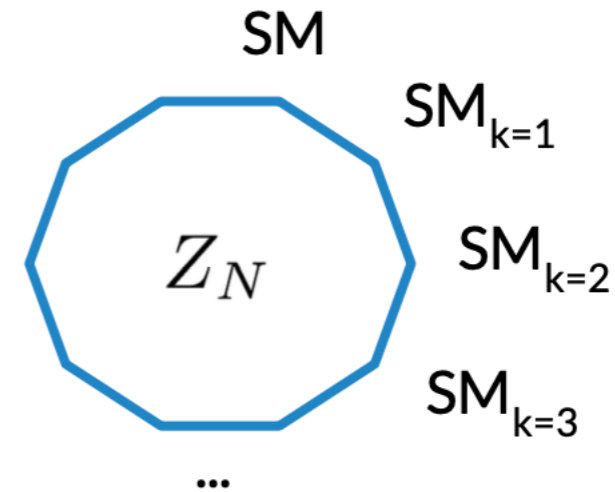
axion mass is suppressed  
but minimum in  $\pi/2$



# Suppressing $m_a$

- $Z_N$  axion:  $N$  mirror worlds [Hook 1802.10093]

$$\begin{aligned} \text{SM}_k &\longrightarrow \text{SM}_{k+1 \pmod{\mathcal{N}}} \\ a &\longrightarrow a + \frac{2\pi k}{\mathcal{N}} f_a, \end{aligned}$$



the axion ( $\theta_a \equiv a/f_a$ ) realizes the  $Z_N$  symmetry non-linearly

$$\mathcal{L} = \sum_{k=0}^{\mathcal{N}-1} \left[ \mathcal{L}_{\text{SM}_k} + \frac{\alpha_s}{8\pi} \left( \theta_a + \frac{2\pi k}{\mathcal{N}} \right) G_k \tilde{G}_k \right]$$

[LDL, Gavela, Quilez, Ringwald 2102.00012]

$$\longrightarrow V_{\mathcal{N}}(\theta_a) = -m_{\pi}^2 f_{\pi}^2 \sum_{k=0}^{\mathcal{N}-1} \sqrt{1 - \frac{4z}{(1+z)^2} \sin^2 \left( \frac{\theta_a}{2} + \frac{\pi k}{\mathcal{N}} \right)}$$

$$z \equiv \frac{m_u}{m_d} \sim 1/2$$

$$\simeq \frac{m_{\pi}^2 f_{\pi}^2}{\sqrt{\pi}} \sqrt{\frac{1-z}{1+z}} \mathcal{N}^{-1/2} (-1)^{\mathcal{N}} z^{\mathcal{N}} \cos(\mathcal{N}\theta_a)$$

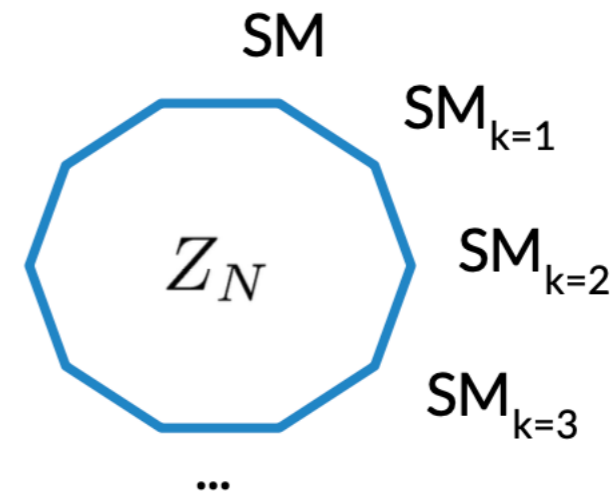
axion potential exponentially suppressed at large  $N$

# Suppressing $m_a$

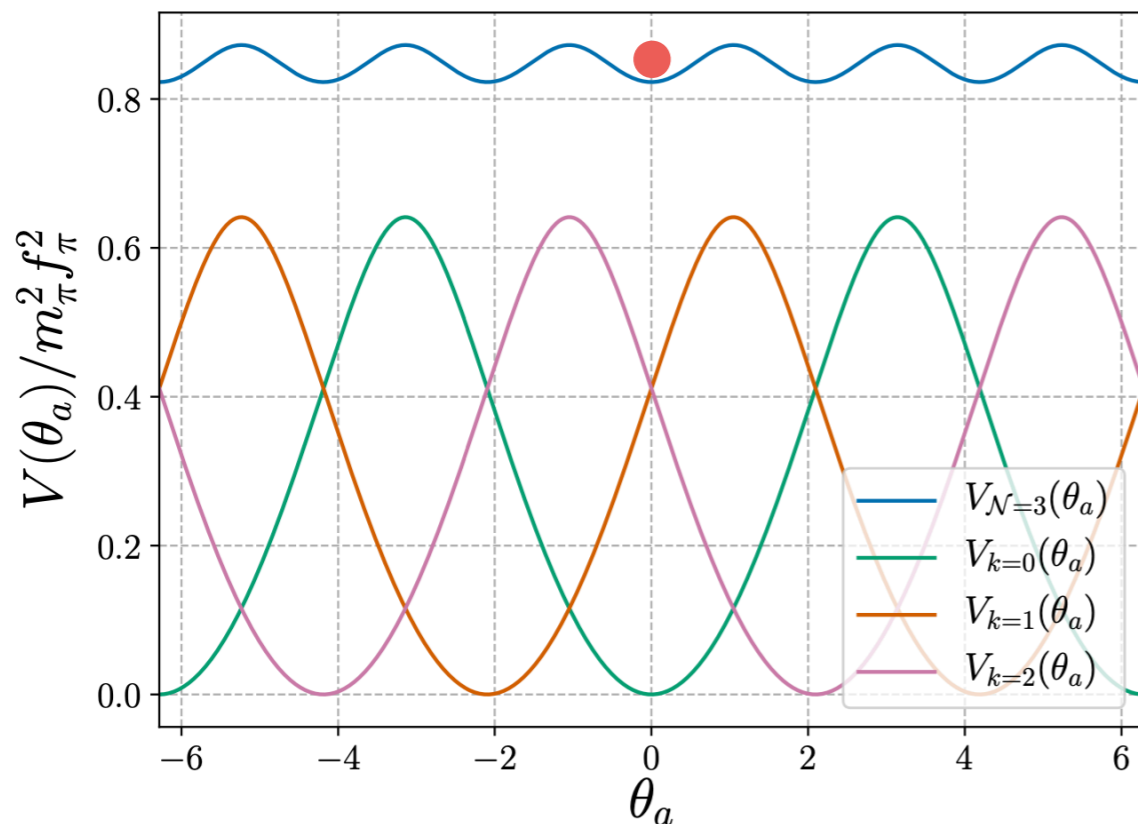
- $Z_N$  axion:  $N$  mirror worlds [Hook 1802.10093]

$$SM_k \longrightarrow SM_{k+1 \pmod{N}}$$

$$a \longrightarrow a + \frac{2\pi k}{N} f_a,$$



e.g.  $Z_3$  axion



[LDL, Gavela, Quilez, Ringwald 2102.00012]



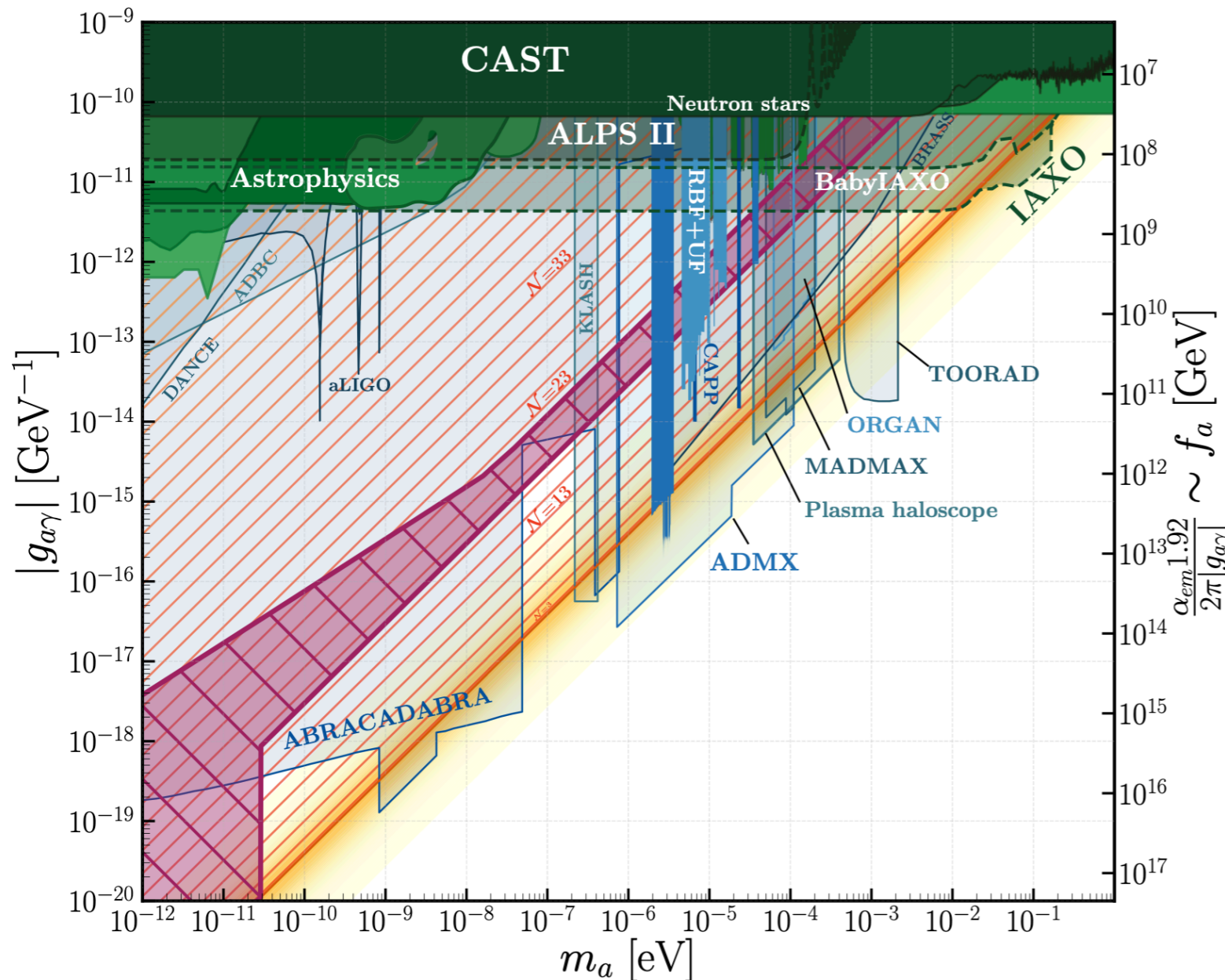
$N$  needs to be odd in order to have a minimum in zero

(strong CP problem is solved with  $1/N$  probability)

# Suppressing $m_a$

- $Z_N$  axion:  $N$  mirror worlds

[LDL, Gavela, Quilez, Ringwald 2102.00012 + 2102.01082]



$$m_a^2 \simeq \frac{m_\pi^2 f_\pi^2}{f_a^2} \frac{1}{\sqrt{\pi}} \sqrt{\frac{1-z}{1+z}} \mathcal{N}^{3/2} z^{\mathcal{N}}$$

universal enhancement of all axion couplings w.r.t. standard QCD axion

CASPER-Electric could disentangle enhanced coupling vs. suppressed mass mechanism → backup slides

# Conclusions

- QCD axion: 2 birds with 1 stone

1. Strong CP problem

2. Dark Matter

- Experimentally driven phase

we are entering now the preferred window for the QCD axion

- Take home message

axion couplings are UV dependent (enhanced/suppressed couplings, flavour, CPV, etc.)

*if an “axion-like particle” will be ever discovered away from the canonical QCD window, it will be still tempting to think that it had something to do with the strong CP problem*

# Backup slides

# A photo- and electro-philic Axion ?

- Consider a DFSZ-like construction with  $2 + n$  Higgs doublets + a SM singlet  $\Phi$

$$\mathcal{L}_Y = Y_u \bar{Q}_L u_R H_u + Y_d \bar{Q}_L d_R H_d + Y_e \bar{L}_L e_R H_e$$

$$\frac{E}{N} = \frac{\frac{4}{3}\mathcal{X}(H_u) + \frac{1}{3}\mathcal{X}(H_d) + \mathcal{X}(H_e)}{\frac{1}{2}\mathcal{X}(H_u) + \frac{1}{2}\mathcal{X}(H_d)} \quad g_{ae} = \frac{\mathcal{X}(H_e) m_e}{2N f_a}$$

naively, a large PQ charge for  $H_e$  would make the job... but, enhanced global symmetry

$$U(1)^{n+3} \rightarrow U(1)_{\text{PQ}} \times U(1)_Y$$

must be explicitly broken in the scalar potential via non-trivial invariants (e.g.  $H_u H_d \Phi^2$ )



*non-trivial constraints on PQ charges*

# A photo- and electro-philic Axion ?

- Consider a DFSZ-like construction with  $2 + n$  Higgs doublets + a SM singlet  $\Phi$

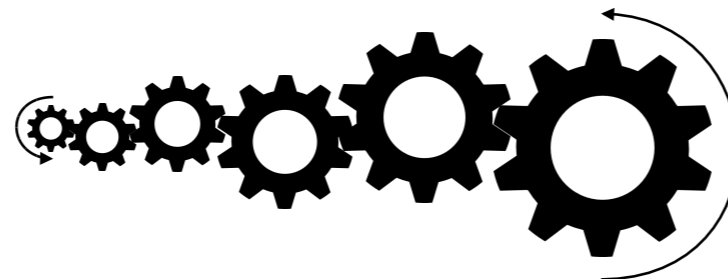
clockwork-like scenarios allow to consistently **boost**  $E/N$  [LDL, Mescia, Nardi 1705.05370]

$$\frac{E}{N} = \frac{\frac{4}{3}\mathcal{X}(H_u) + \frac{1}{3}\mathcal{X}(H_d) + \mathcal{X}(H_e)}{\frac{1}{2}\mathcal{X}(H_u) + \frac{1}{2}\mathcal{X}(H_d)} \quad g_{ae} = \frac{\mathcal{X}(H_e)m_e}{2N f_a}$$

$$(H_u H_d \Phi^2)$$

$$(H_k H_{k-1}^*)(H_{k-1}^* H_d^*)$$

$$(H_e H_n)(H_n H_d)$$



[Giudice, McCullough]



$$E/N \sim 2^{n+1}$$

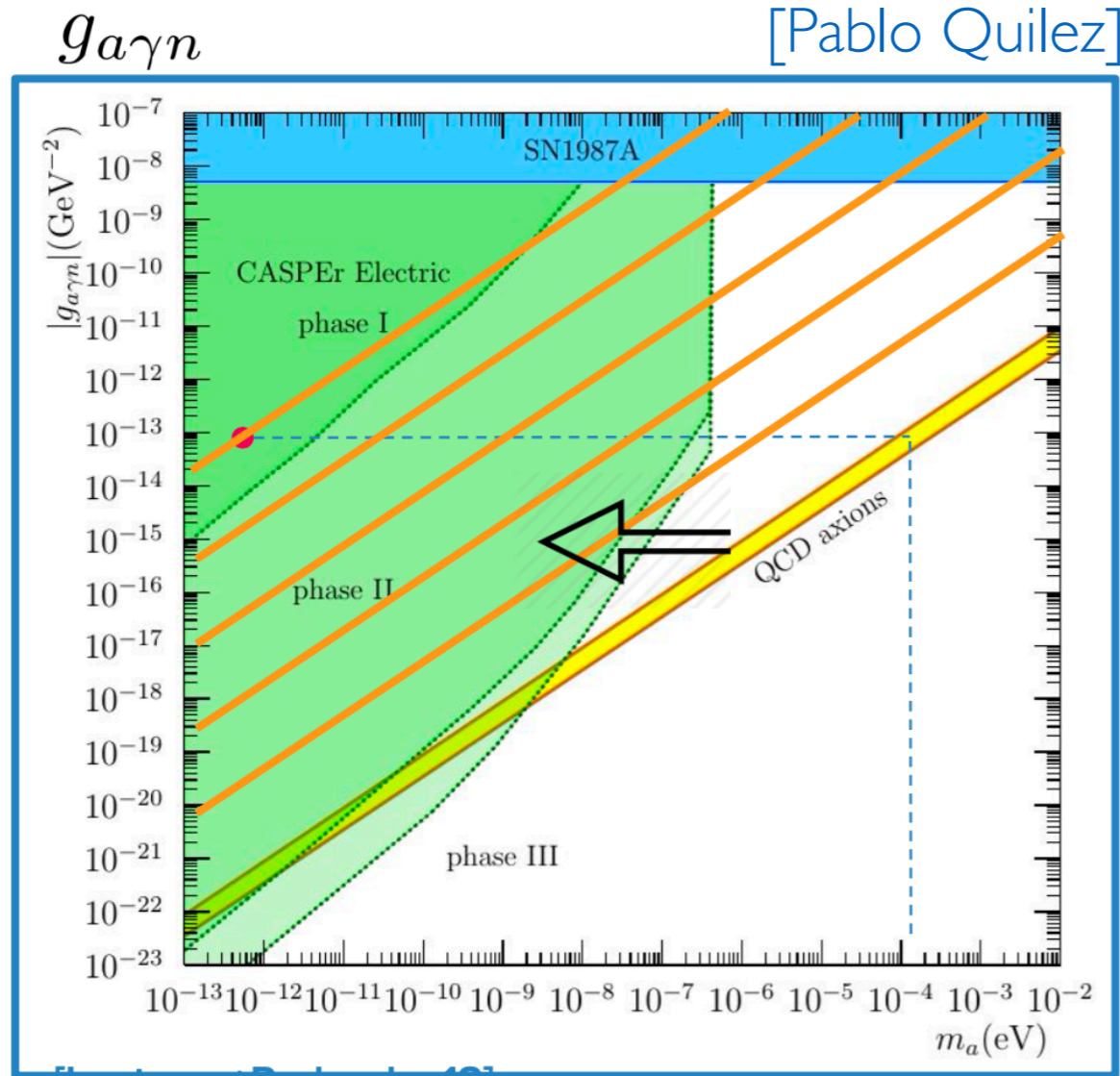
[See also Farina et al. 1611.09855, for KSVZ clockwork]

$$\mathcal{X}(H_e) = 2^{n+1} \left( 1 - \frac{v_e^2}{v^2} \right) - \sum_{k=2}^n 2^k \frac{v_k^2}{v^2}$$

# How to tell which mechanism ?



CASPEr-Electric could disentangle enhanced coupling vs. suppressed mass



[Irastorza+Redondo, 18]

Based on **2102.00012** and **2102.01082**

$$\mathcal{L} \supset \frac{a}{f_a} \frac{\alpha_s}{8\pi} G\tilde{G} + \dots$$

$$\delta\mathcal{L} \equiv -\frac{i}{2} \frac{0.011 e}{m_n} \frac{a}{f_a} \bar{n} \sigma_{\mu\nu} \gamma_5 n F^{\mu\nu} \equiv g_{a\gamma n}$$

$$m_a^2 f_a^2 \simeq m_\pi^2 f_\pi^2 \frac{m_u m_d}{(m_u + m_d)^2}$$

$$m_a^2 f_a^2 \simeq \frac{m_\pi^2 f_\pi^2}{\sqrt{\pi}} \sqrt{\frac{1-z}{1+z}} \mathcal{N}^{3/2} z^{\mathcal{N}}$$

**Coupling to the  
nEDM**

**Axion mass**

$m_a (eV)$



# CASPER-Electric

- Cosmic Axion Spin Precession Experiment

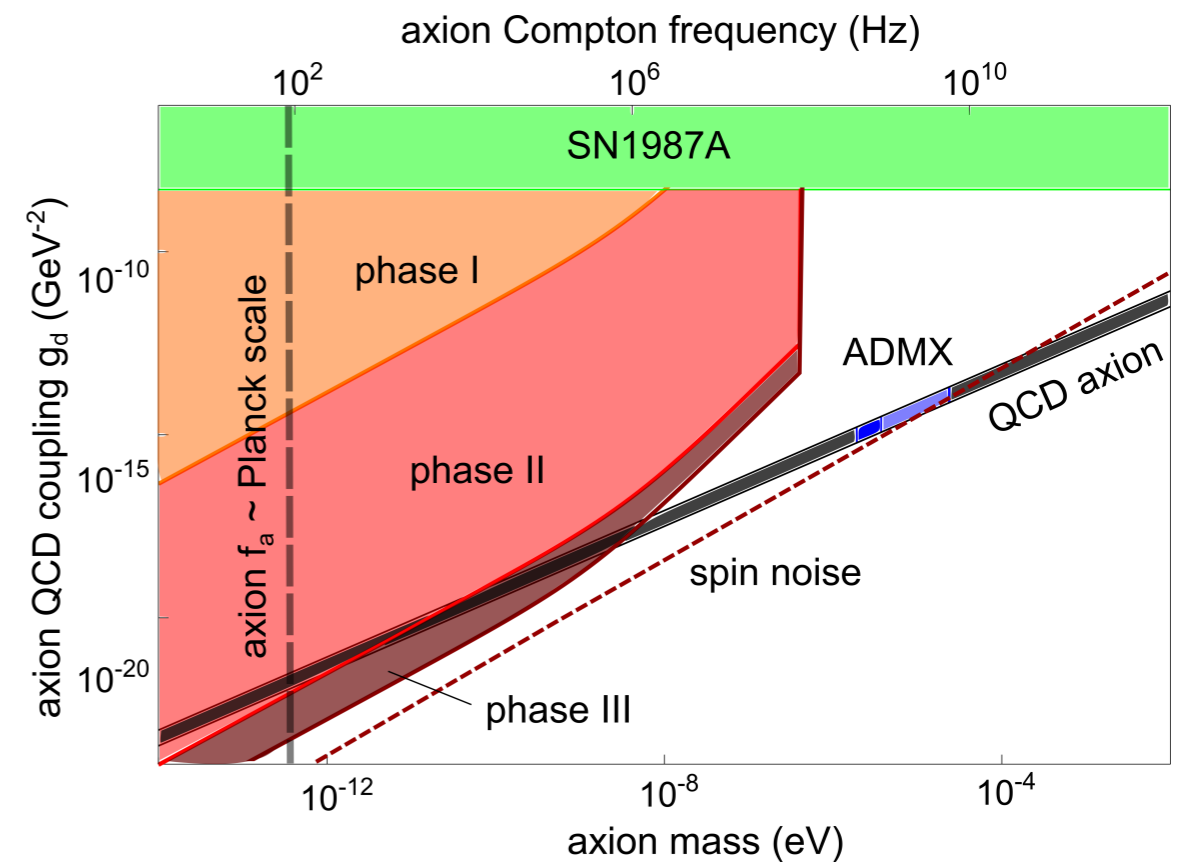
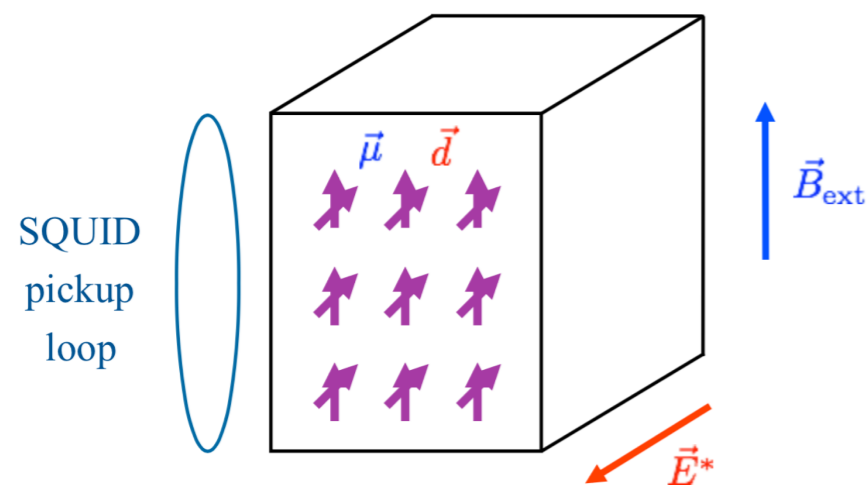
[Graham, Rajendran [1306.6088](#), Budker+ [1306.6089](#), Jackson Kimball+ [1711.08999](#)]

Axion DM field induces an oscillating nEDM

$$\mathcal{L} \supset -\frac{i}{2} g_d a \bar{n} \sigma_{\mu\nu} \gamma_5 n F^{\mu\nu}$$

$$d_n(t) = g_d \frac{\sqrt{2\rho_{\text{DM}}}}{m_a} \cos(m_a t)$$

...which is detected via NMR techniques

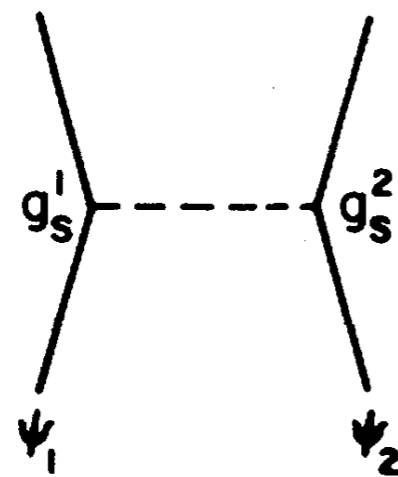


# CPV axion & long-range forces

- New CP violation in the UV can source a scalar axion-nucleon coupling

$$\frac{f_\pi}{2} \frac{a^2}{f_a^2} \bar{N}N \longrightarrow \bar{g}_{aN} a \bar{N}N \quad \bar{g}_{aN} \sim \frac{f_\pi}{f_a} \theta_{\text{eff}} \quad (\theta_{\text{eff}} = \frac{\langle a \rangle}{f_a} \neq 0)$$

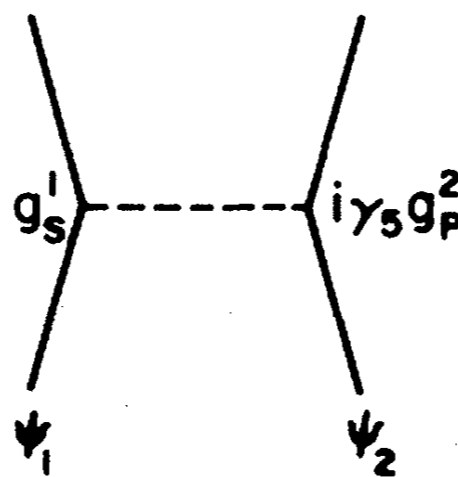
[Moody, Wilczek PRD30 (1984)]



(a)

monopole-monopole

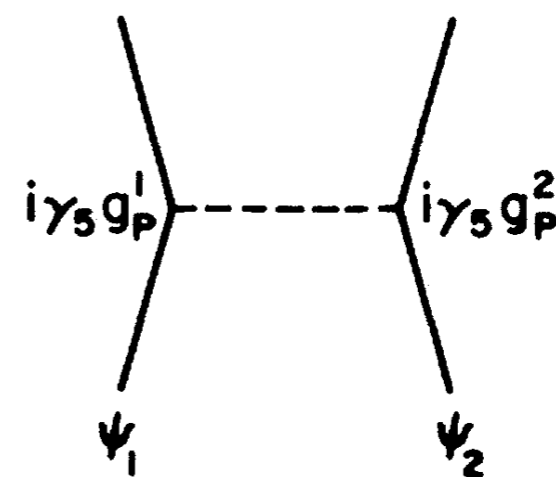
$$V(r) = \frac{-g_s^1 g_s^2 e^{-m_\phi r}}{4\pi r}$$



(b)

monopole-dipole

$$V(r) = (g_s^1 g_P^2) \frac{\hat{\sigma}_2 \cdot \hat{r}}{8\pi M_2} \left[ \frac{m_\phi}{r} + \frac{1}{r^2} \right] e^{-m_\phi r}$$



(c)

dipole-dipole

# CPV axion & long-range forces

- New CP violation in the UV can source a scalar axion-nucleon coupling

