

# Seesaw scale as a bridge to axions

In collaboration with J. T. Penedo (CFTP, Lisbon) and Y. Reyimuaji (XJU)

arXiv: 2208.03329

**Xinyi Zhang (张忻怿)**

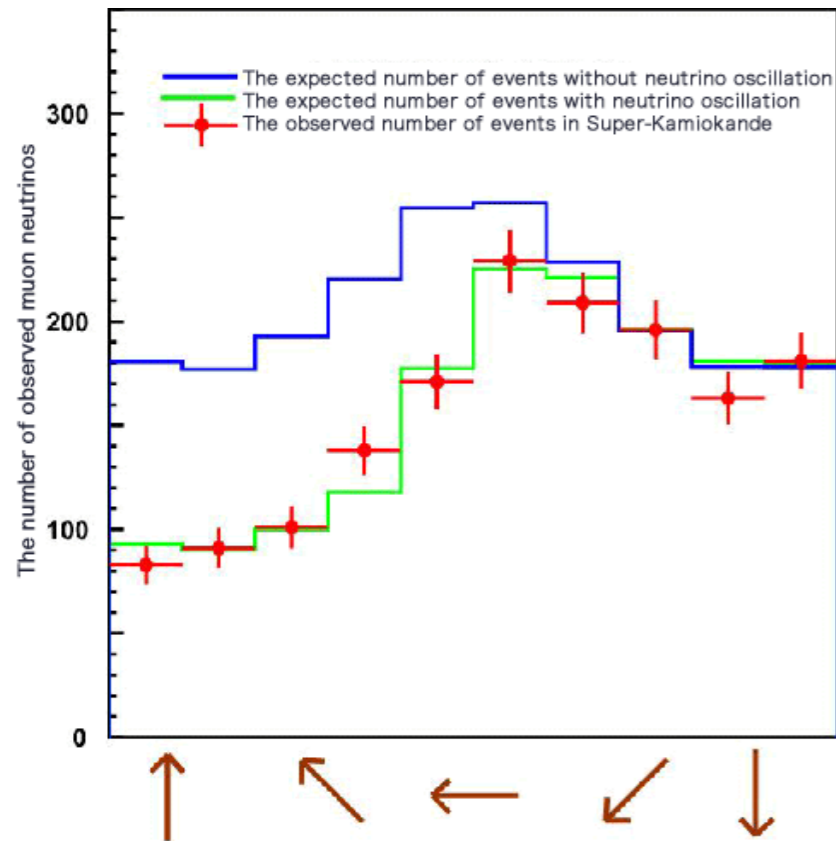
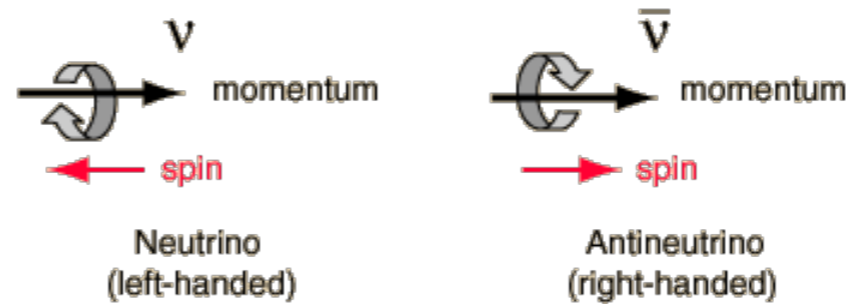
**Hebei University**

The First International Conference on Axion Physics and Experiment (Axion 2022)

online, 2022/11/24

# Neutrinos are massive

Neutrinos in the Standard Model:  
massless, interact only weakly

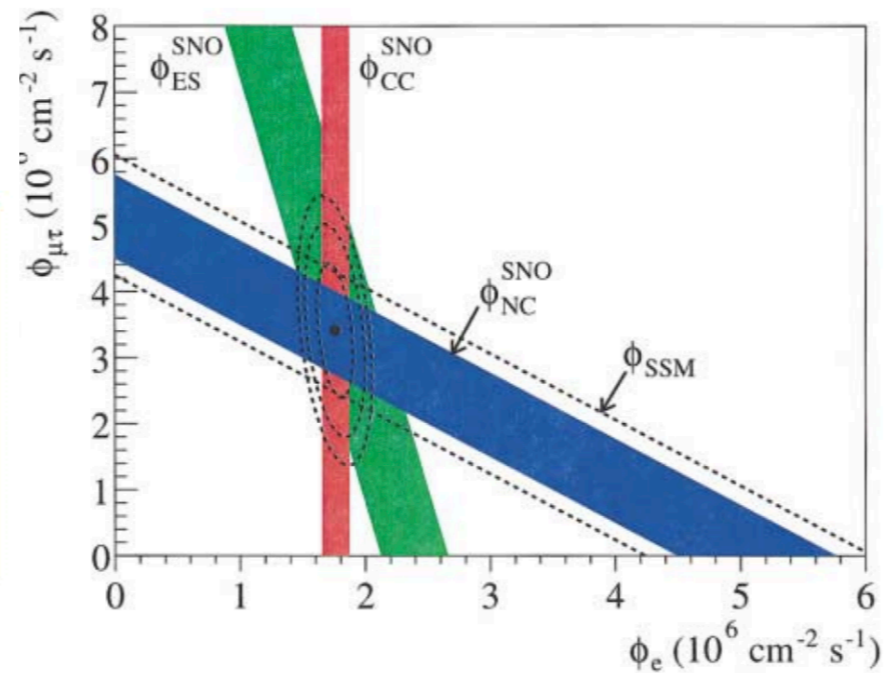


Upward going Neutrinos  
Flight length: 12800km  
Only a half of the expected number (blue line) was observed.

Horizontal going Neutrinos  
Flight length: 500km  
Only 80% of the expected number was observed.

Downward going Neutrinos  
Flight length: 15km  
Consistent with the expected number.

<http://www.hyper-k.org/>



Phys.Rev.Lett. 89 (2002), 011301

- Neutrinos have mass
- Mass eigenstates do not match flavor (weak) eigenstates  
→ neutrino mixing

Takaaki Kajita and Arthur B. McDonald shared the 2015 Nobel Prize in Physics,  
“for the discovery of neutrino oscillations, which shows that **neutrinos have mass**”.

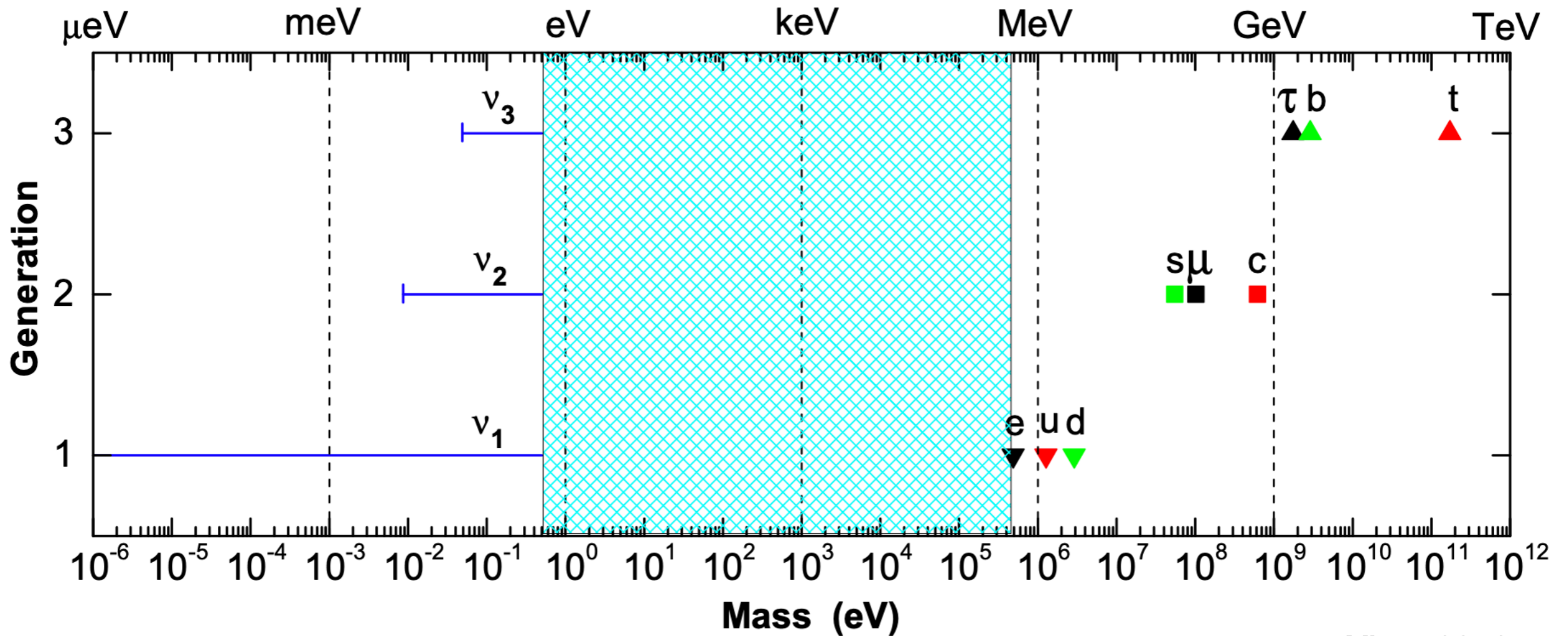
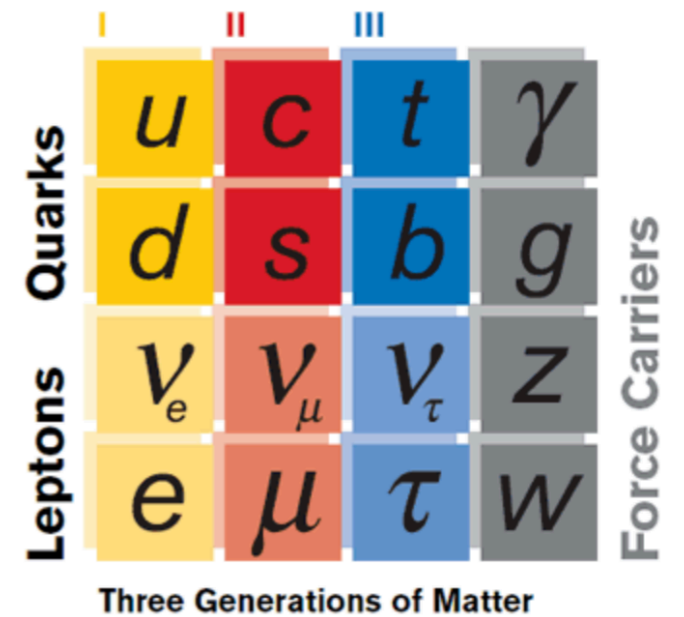
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2 \left( 1.27 \frac{\Delta m^2 L}{E} \right)$$

*Solid beyond Standard Model physics*

# How massive are neutrinos?

Tritium decay (KATRIN)  $m_{\nu_e} < 1.1$  eV 1909.06048

Cosmology  $\sum m_\nu < 0.13$  eV 2105.13549

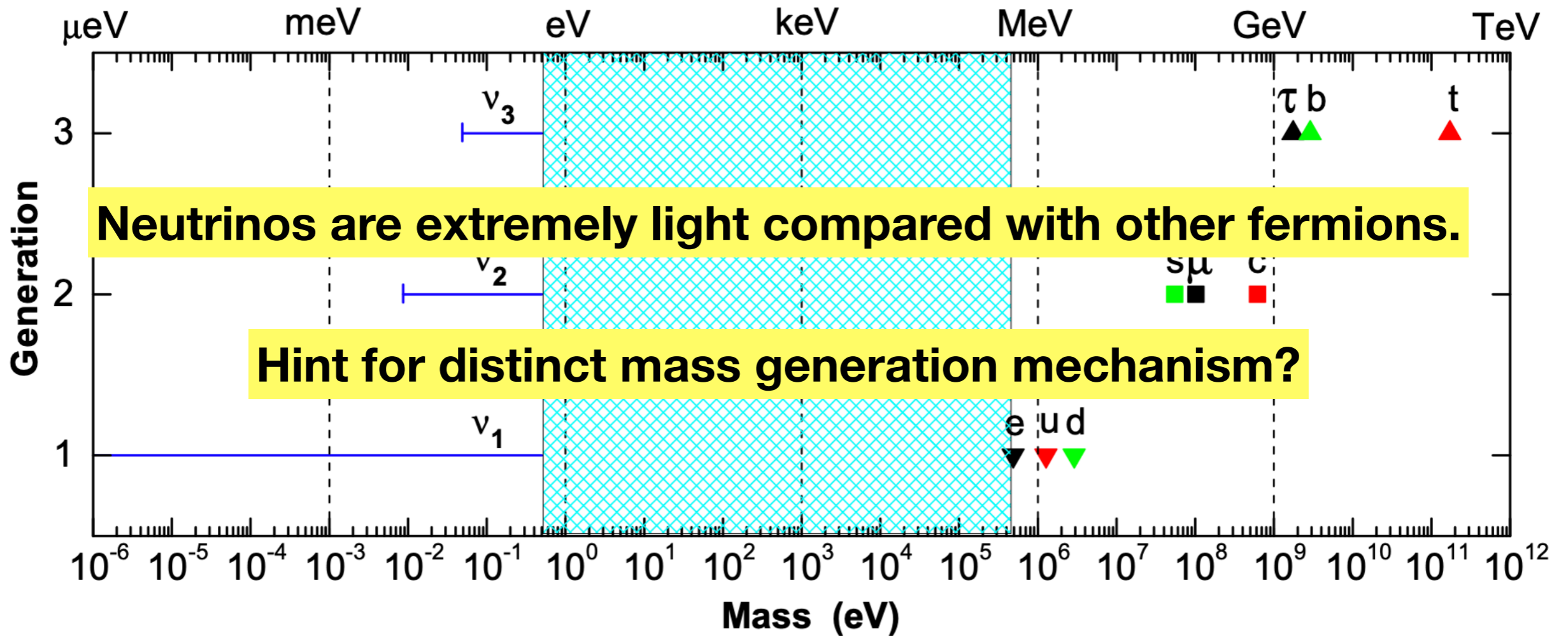
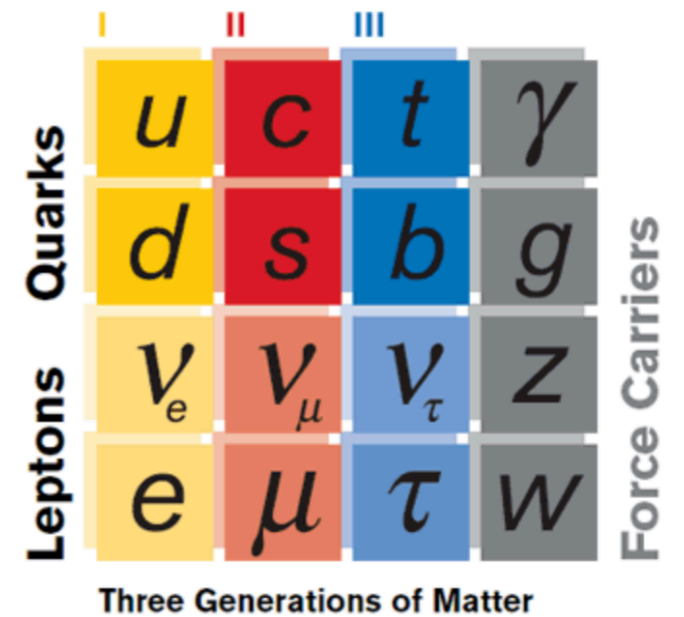


Xing, 2019

# How massive are neutrinos?

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Xing, 2019

## Two key questions concerning massive neutrinos:

- ① The nature of massive neutrinos *Dirac/Majorana*
- ① The mass generation mechanism *Explain the small mass*

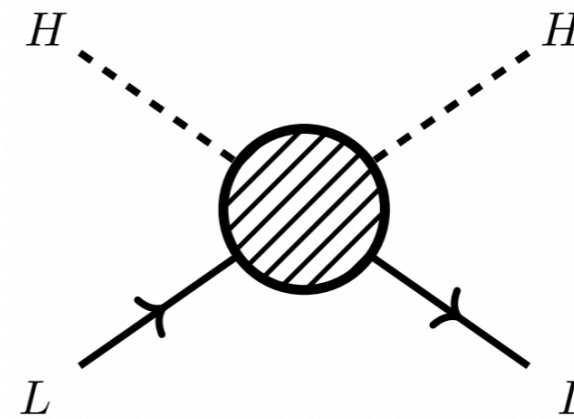
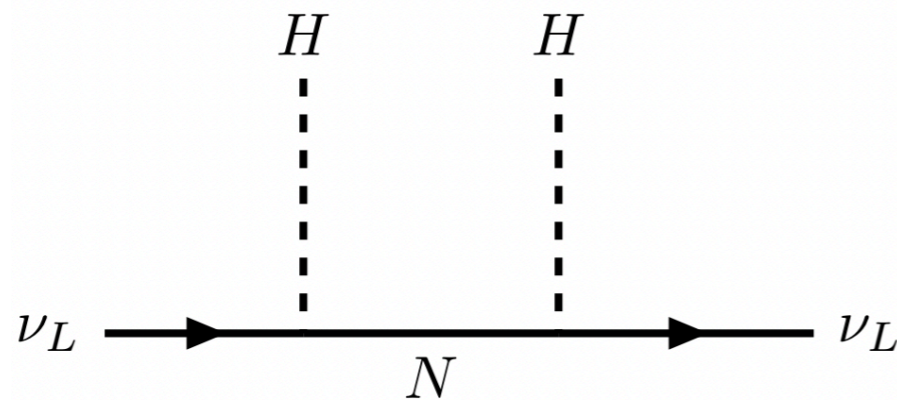
# The seesaw mechanism

for Majorana neutrinos

## Type-I seesaw

$$\mathcal{L} = -y_\nu \bar{L} \tilde{H} N - M_N \bar{N}^c N$$

P. Minkowski, 1977; T. Yanagida, 1979;  
J. Schechter and J. W. F. Valle, 1980, ...



in basis  $(\nu_L, N^c)$ , full neutrino mass matrix

$$\begin{pmatrix} \mathbf{0} & M_D \\ M_D^T & M_N \end{pmatrix}$$

Light neutrino mass matrix

$$M_\nu = -M_D M_N^{-1} M_D^T$$

$$M_D \sim 10^2 \text{ GeV}, M_\nu \sim 0.1 \text{ eV} \rightarrow M_N \sim 10^{14} \text{ GeV}$$



# The seesaw paradigm

for Majorana neutrinos

With only SM fields,

unique dimension 5 operator  
Lepton-number violating

S. Weinberg, 1980  $\frac{1}{\Lambda} \bar{L}^c \otimes \Phi \otimes \Phi \otimes L$

Tree-level, high-scale

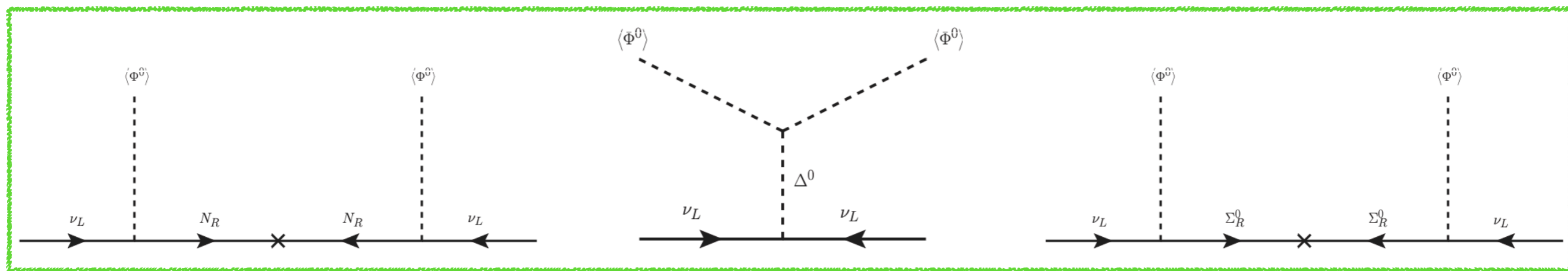
Consider different contracting,

$$\underbrace{\bar{L}^c \otimes \Phi \otimes \Phi \otimes L}_{\substack{1 \quad 1 \\ \text{Type I}}}, \quad \underbrace{\bar{L}^c \otimes L \otimes \Phi \otimes \Phi}_{\substack{3 \quad 3 \\ \text{Type II}}}, \quad \underbrace{\bar{L}^c \otimes \Phi \otimes \Phi \otimes L}_{\substack{3 \quad 3 \\ \text{Type III}}}$$

Chulia, Srivastava and Valle, 2018

Add new fields to make renormalizable operators

Tree-level realization of Weinberg operator



**Type I, II, III Seesaw mechanism:  
Neutrino mass suppressed by the heavy mediator mass**

- With only SM fields (except for  $\nu_R$ ),

$$y_\nu \bar{L} \Phi^c \nu_R \quad \frac{1}{\Lambda^{2n}} \bar{L} \Phi^c (\Phi^\dagger \Phi)^n \nu_R, \quad n \in \{0, 1, 2, 3, 4, \dots\}$$

- Introduce more BSM fields,

$$\frac{1}{\Lambda} \bar{L} \otimes X \otimes Y \otimes \nu_R \quad \text{Analog of Weinberg operator}$$

For e.g.  $X \sim$  singlet,  $Y \sim$  doublet  $X = \chi$   $Y = \Phi$

$$\underbrace{\underbrace{\bar{L} \otimes \Phi^c}_1 \otimes \underbrace{\chi \otimes \nu_R}_1}_1, \quad \underbrace{\underbrace{\bar{L} \otimes \nu_R}_2 \otimes \underbrace{\Phi^c \otimes \chi}_2}_2, \quad \underbrace{\underbrace{\bar{L} \otimes \chi}_2 \otimes \underbrace{\Phi^c \otimes \nu_R}_2}_2$$

Type I analogue                      Type II analogue                      Type III analogue

Chulia, Srivastava and Valle, 2018



# The seesaw scale $\Lambda_{SS}$

- For Majorana neutrinos,

From Weinberg operator  $\frac{1}{\Lambda} \bar{L}^c \otimes \Phi \otimes \Phi \otimes L$

$$m_\nu \sim \frac{y_\nu^2 v^2}{\Lambda}, \text{ with } y_\nu \sim 0.1, v \sim 100 \text{ GeV}, m_\nu \sim 0.1 \text{ eV} \rightarrow \Lambda \sim 10^{12} \text{ GeV}$$

- For Dirac neutrinos,

From the operator  $\frac{1}{\Lambda} \bar{L} \otimes X \otimes Y \otimes \nu_R$

Hard to tell!

But a “natural” guess of  $\langle X \rangle \sim \langle Y \rangle \sim \mathcal{O}_{EW}$  leading to the same result.

$$10^8 \text{ GeV} \lesssim \Lambda_{SS} \lesssim 10^{14} \text{ GeV}$$

Lower limit determined by how much “fine-tuning” can tolerate

# The axion decay constant

$$10^8 \text{ GeV} \lesssim f_a \lesssim 10^{17} \text{ GeV}$$

Recall that

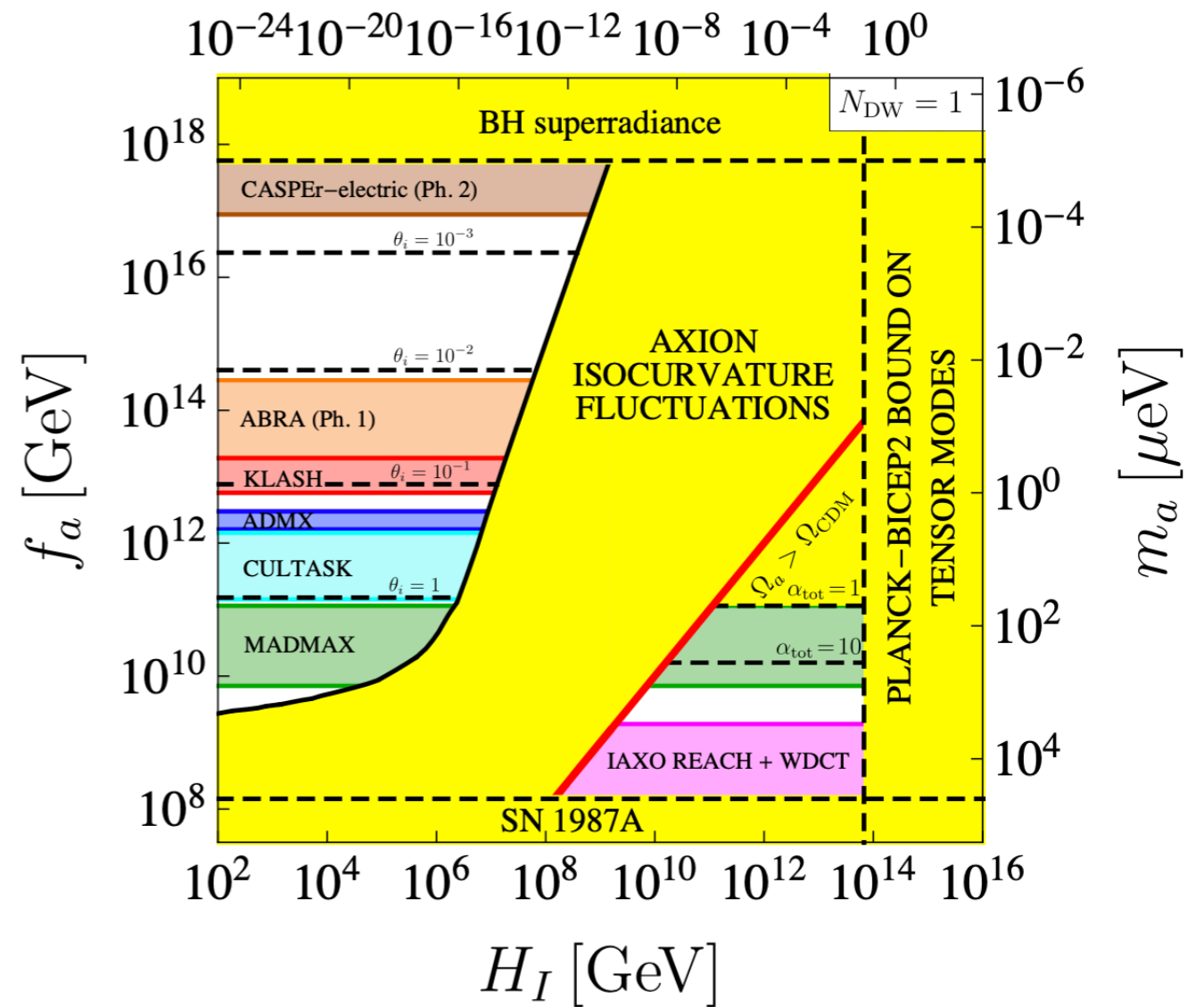
$$10^8 \text{ GeV} \lesssim \Lambda_{SS} \lesssim 10^{14} \text{ GeV}$$



$$\Lambda_{SS} \sim f_a$$

Hint for connection?

Tensor-to-scalar ratio  $r$



Luzio, Giannotti, Nardi, Visinelli, 2020

# Why does it matter?

- ✓ Small neutrino mass
- ✓ Leptogenesis

$$\Lambda_{SS} \sim f_a$$

- ✓ Strong CP problem
- ✓ Axion dark matter

*Possibility to explain more by adding less to SM*

Rich phenomenology:

Dark matter

Baryon asymmetry

Interplay of the two sectors ( $\nu$  and  $a$ )

Enlarged scalar sector

## $\Lambda_{SS} \sim f_a$ : Majorana case

$$\mathcal{L} = -y_\nu \bar{L} \tilde{H} N - M_N \bar{N}^c N$$

$$\mathcal{L} = -y_\nu \bar{L} \tilde{H} N - \sigma \bar{N}^c N$$

PQ field

Dynamical origin of RHN mass  
as Majoron model

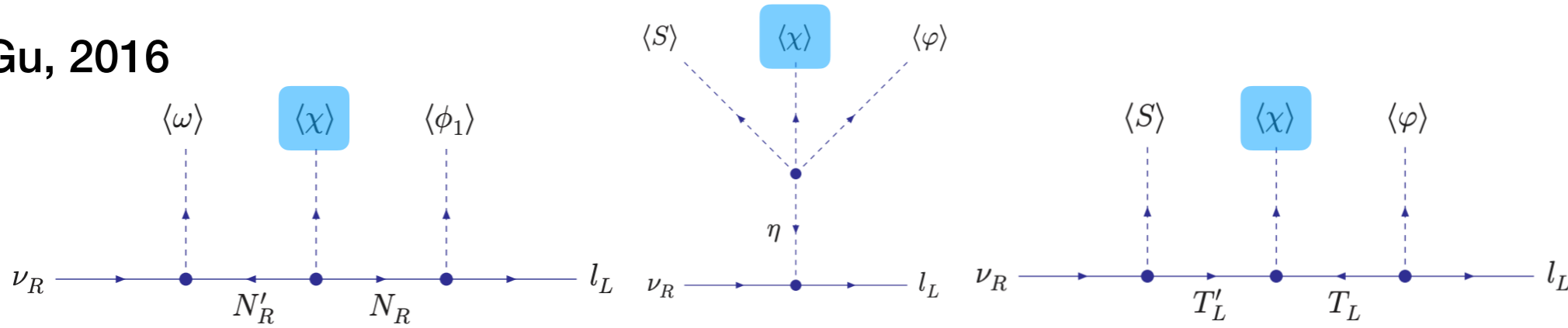
$$m_\nu \sim \frac{v^2}{f_a}$$

Kim, 1981; Langacker, Peccei and Yanagida, 1986; Shin, 1987;  
Dias, Machado, Nishi, Ringwald and Vaudrevange, 2014;  
Ballesteros, Redondo, Ringwald and Tamarit, 2016

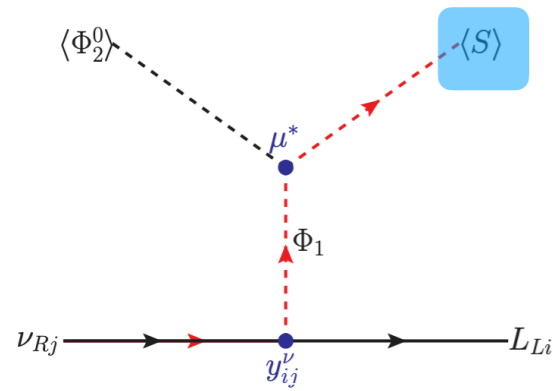
# Dirac neutrino + axion

  PQ field

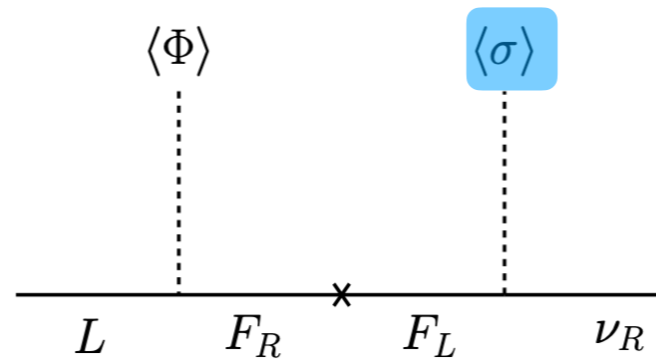
Gu, 2016



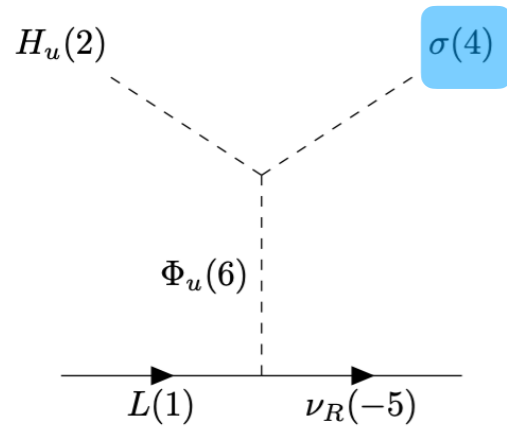
Baek, 2019



Peinado, Reig, Srivastava and Valle, 2020



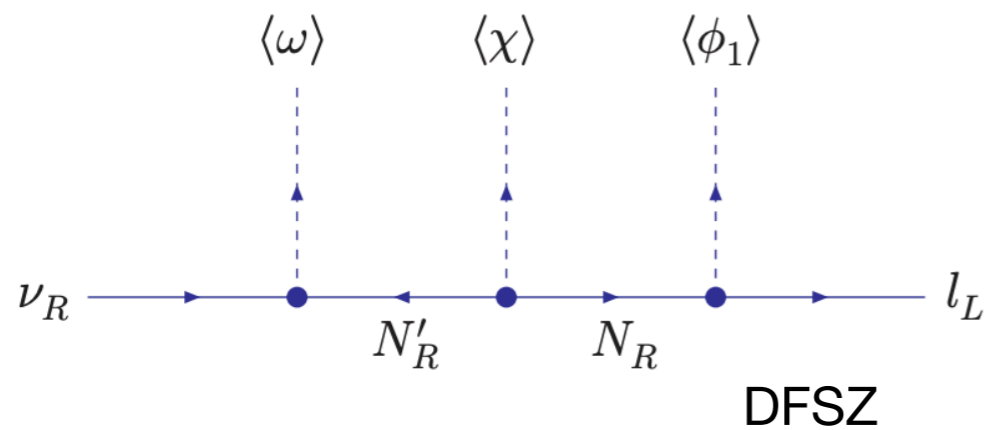
Vega, N. Nath and E. Peinado, 2020



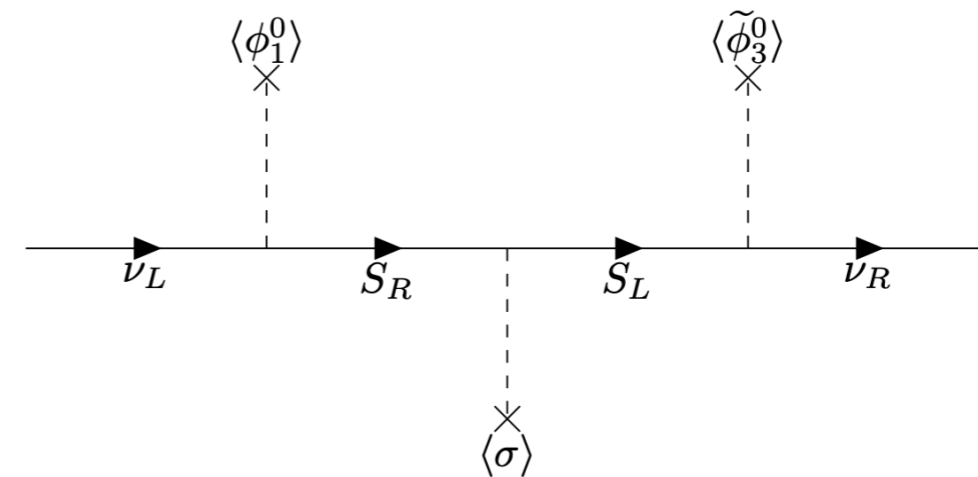
And many loop-generated  $\nu$  mass models...

# $\Lambda_{SS} \sim f_a$ : Dirac case

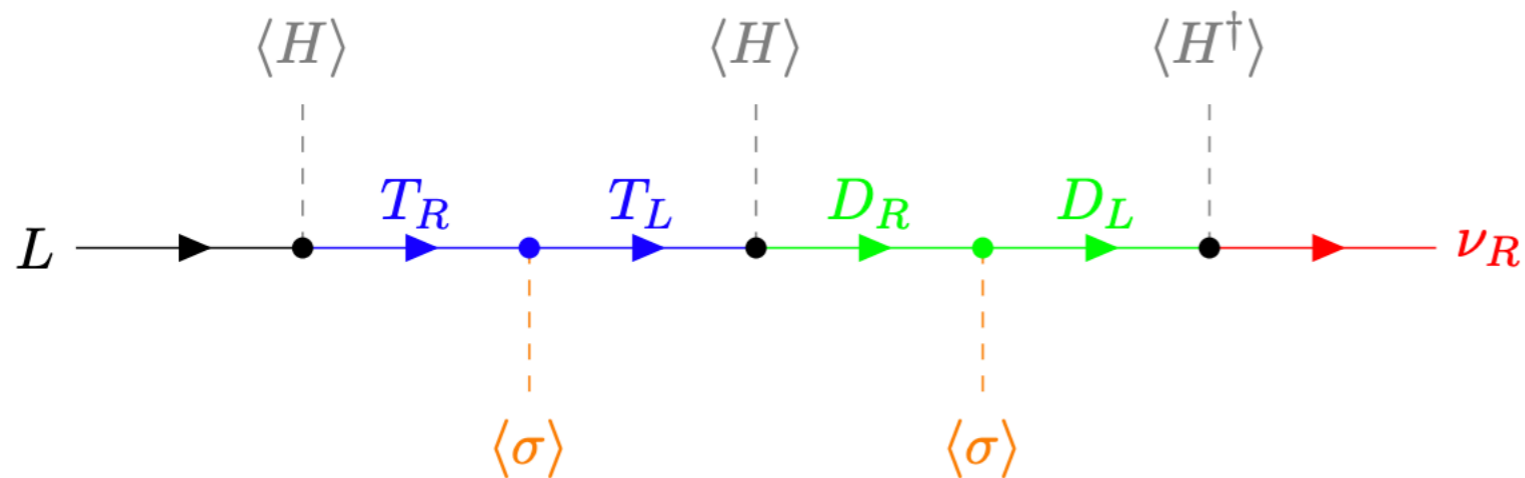
Gu, 2016



Dias, Leite, Valle and Vaquera-Araujo, 2020



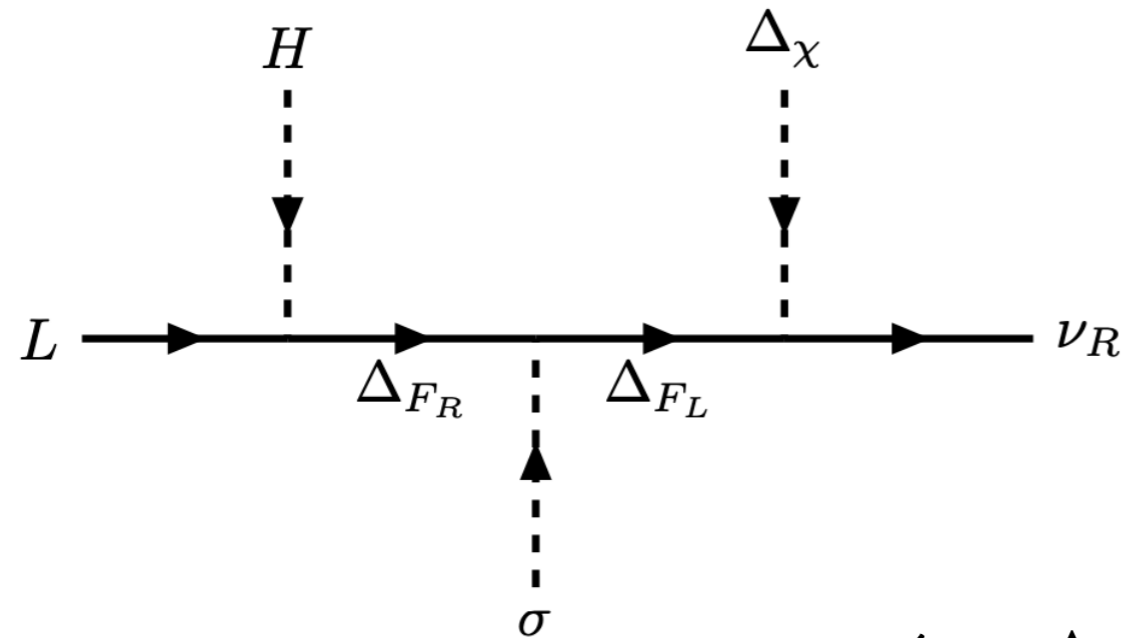
Berbig, 2022



$$m_\nu \simeq 0.05 \text{ eV} \cdot Y_{LT} Y_{TD} Y_{DR} \cdot \left( \frac{10^9 \text{ GeV}}{M_T} \right) \cdot \left( \frac{10^8 \text{ GeV}}{M_D} \right)$$

# $\Lambda_{SS} \sim f_a$ : Dirac case – Our construction

Extend SM minimally to get  $\Lambda_{SS} \sim f_a$



Scalar  $\Delta_\chi \sim \mathbf{1}, \mathbf{3}$  in SU(2)

Vector-like fermions  $\Delta_{F_R}, \Delta_{F_L} \sim \mathbf{1}, \mathbf{3}$

PQ field:  $\sigma$

For the triplet  $\Delta_X, X = \chi, F_R, F_L$

$$m_\nu \sim \frac{v_\chi v}{v_\sigma}$$

sub-eV  $m_\nu$ :  $v_\chi/v_\sigma \sim 10^{-12}$

$\rho$ :  $v_\chi \sim 5.4$  GeV

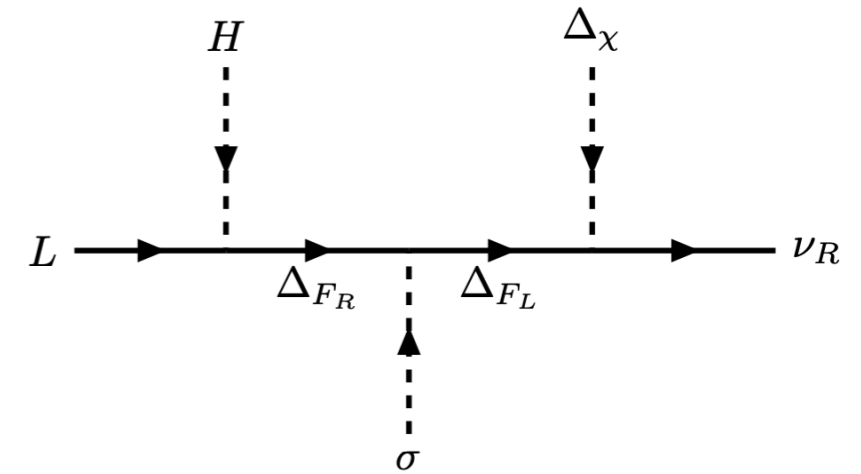
Axion DM:  $f_a \sim (10^9 - 10^{12})$  GeV

Yukawa  $\sim \mathcal{O}(10^{-3} - 1)$

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# PQ symmetry enforces lepton number

Field	$L$	$e_R$	$\nu_R$	$\Delta_{FR}$	$\Delta_{FL}$	$Q_R$	$Q_L$	$H$	$\sigma$	$\Delta_\chi$
$SU(3)_c$	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>
$SU(2)_L$	<b>2</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>3</b>
$U(1)_Y$	$-\frac{1}{2}$	$-1$	$0$	$0$	$0$	$0$	$0$	$\frac{1}{2}$	$0$	$0$
$U(1)_{PQ}$	$\alpha$	$\alpha$	$\alpha + 1$	$\alpha$	$\alpha + 1$	$-\frac{1}{2}$	$\frac{1}{2}$	$0$	$1$	$0$



$\alpha \neq -1$  forbids  $\bar{\nu}_R \nu_R^c, \bar{\nu}_R \nu_R^c \Delta_\chi^n$

$\alpha \neq k/2$  forbids  $\bar{\nu}_R \nu_R^c (\sigma^{(*)})^n, (\sigma \rightarrow H, \Delta_\chi)$

No other symmetries besides PQ  
PQ enforces Lepton number

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# Axion in our model

Kim, 1979

Shifman, Vainshtein and Zakharov, 1980

Only  $\sigma$  is charged under PQ (among scalars)

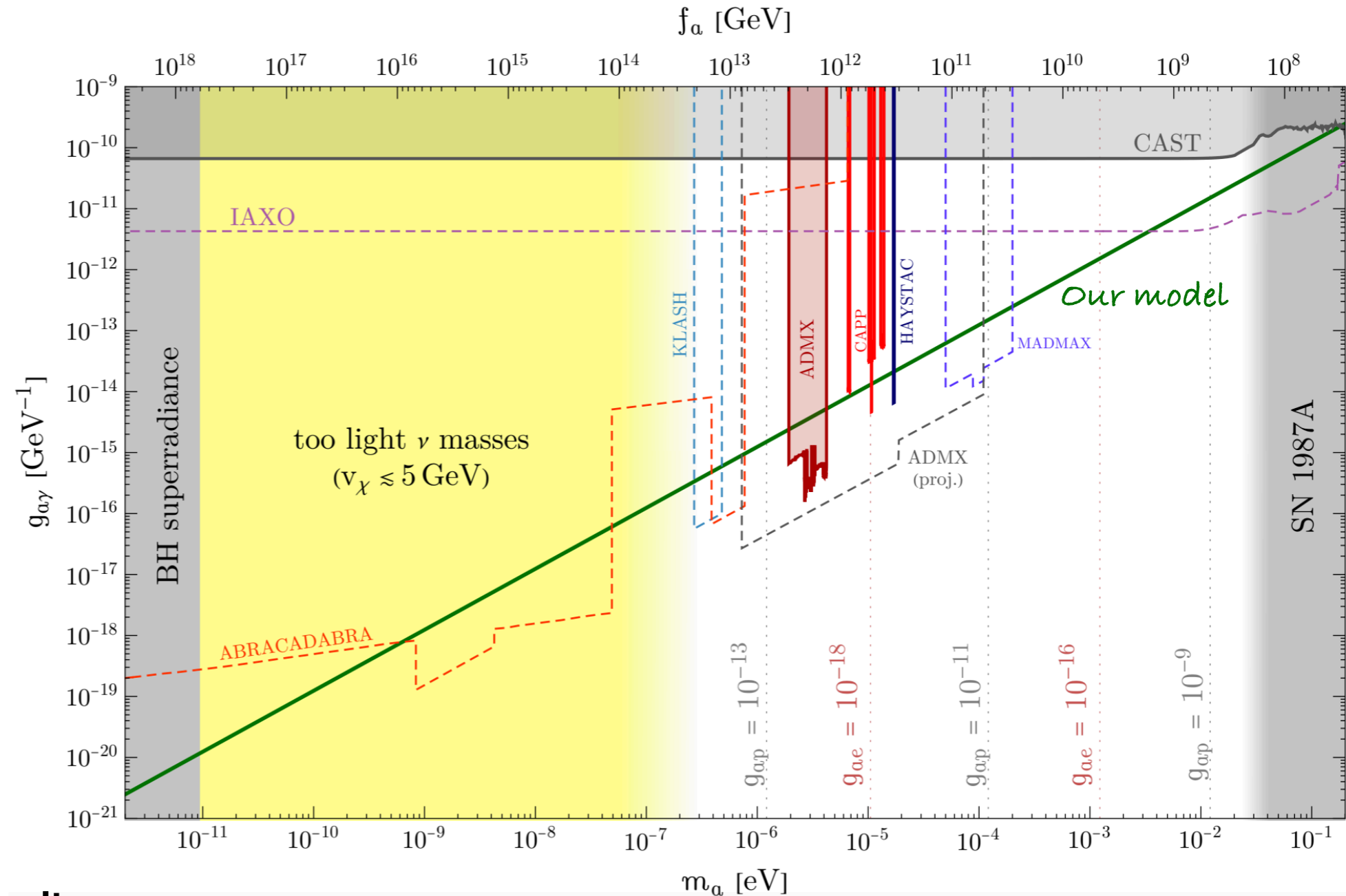
- QCD axion in this model ~ KSVZ type

QCD anomaly coefficient

$$N = 1/2$$

EM anomaly coefficient

$$E = 4$$



- In view of new CDF II result

$$\rho \equiv \frac{M_W^2}{M_Z^2 \cos^2 \theta_W} = 1 + 4 \frac{v_\chi^2}{v^2}$$

$$\rho = \frac{(M_W^{\text{CDF}})^2}{M_Z^2 \cos^2 \theta_W} \simeq \left( \frac{M_W^{\text{CDF}}}{M_W^{\text{SM}}} \right)^2 \rho_{\text{SM}} \quad v_\chi = 5.4 \text{ GeV}$$

The following Lagrangian is added to the SM one

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_{\text{kin}} - \mathcal{L}_{\text{Yuk}} - V(H, \Delta_\chi, \sigma) , \\ \mathcal{L}_{\text{kin}} &= |\partial_\mu \sigma|^2 + \text{Tr} |D_\mu \Delta_\chi|^2 + \bar{\nu}_R i \not{\partial} \nu_R + \bar{Q} i \not{D} Q + \bar{\Delta}_F i \not{D} \Delta_F , \\ \mathcal{L}_{\text{Yuk}} &= Y_Q \bar{Q}_L Q_R \sigma + \bar{L} \tilde{H} Y_L \Delta_{F_R} + \text{Tr} (\bar{\Delta}_{F_L} Y_F \Delta_{F_R}) \sigma + \text{Tr} (\bar{\Delta}_{F_L} \Delta_\chi^*) Y_R \nu_R + \text{H.c.} ,\end{aligned}$$

*$\nu$  mass*

The most general scalar potential is

$$\begin{aligned}V(H, \sigma, \Delta_\chi) &= -\mu_H^2 H^\dagger H - \mu_\chi^2 \text{Tr} (\Delta_\chi^2) - \mu_\sigma^2 \sigma^* \sigma + \underbrace{\kappa H^\dagger \Delta_\chi H}_{\text{charged Higgs mass \& mixing}} \\ &+ \frac{\lambda}{2} (H^\dagger H)^2 + \frac{\lambda_\chi}{2} \text{Tr} (\Delta_\chi^4) + \frac{\lambda_\sigma}{2} (\sigma^* \sigma)^2 \\ &+ \frac{\lambda_a}{2} (H^\dagger H) \text{Tr} (\Delta_\chi^2) + \frac{\lambda_b}{2} (H^\dagger H) (\sigma^* \sigma) + \frac{\lambda_c}{2} (\sigma^* \sigma) \text{Tr} (\Delta_\chi^2)\end{aligned}$$

*With presence of both fermions and scalars, there is no a priori conclusion about their effect on stabilizing the vacuum  $\rightarrow$  a detailed study is necessary!*

## Mass spectrum

$$M_{\text{CP-even}}^2 = \begin{pmatrix} \lambda v^2 & \frac{1}{2}\lambda_a v v_\chi - \frac{1}{2}\kappa v & \frac{1}{2}\lambda_b v v_\sigma \\ \frac{1}{2}\lambda_a v v_\chi - \frac{1}{2}\kappa v & \frac{\kappa v^2}{4v_\chi} + \frac{\lambda_\chi v_\chi^2}{2} & \frac{1}{2}\lambda_c v_\sigma v_\chi \\ \frac{1}{2}\lambda_b v v_\sigma & \frac{1}{2}\lambda_c v_\sigma v_\chi & \lambda_\sigma v_\sigma^2 \end{pmatrix}$$

$$M_{\text{charged}}^2 = \begin{pmatrix} \kappa v_\chi & \frac{\kappa v}{2} \\ \frac{\kappa v}{2} & \frac{\kappa v^2}{4v_\chi} \end{pmatrix} \quad m_{H^\pm}^2 = \frac{\kappa (v^2 + 4v_\chi^2)}{4v_\chi}$$

**Three CP-even mass eigenstates**  
with masses  $m_{H_1} \leq m_{H_2} \ll m_{H_3}$

**Heavy spectrum:**  $m_{H_1} = m_H < m_{H_2}$

**Light spectrum:**  $m_{H_1} < m_{H_2} = m_H$

## Vacuum stability constraints

*Theoretical constraints:*

**perturbativity, unitarity, copositivity, global minimum**

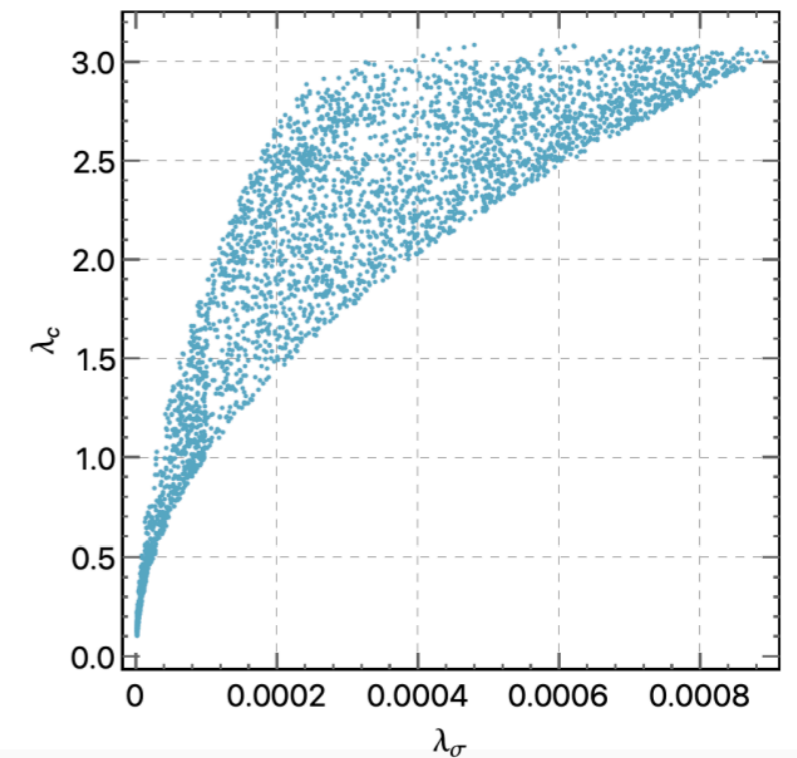
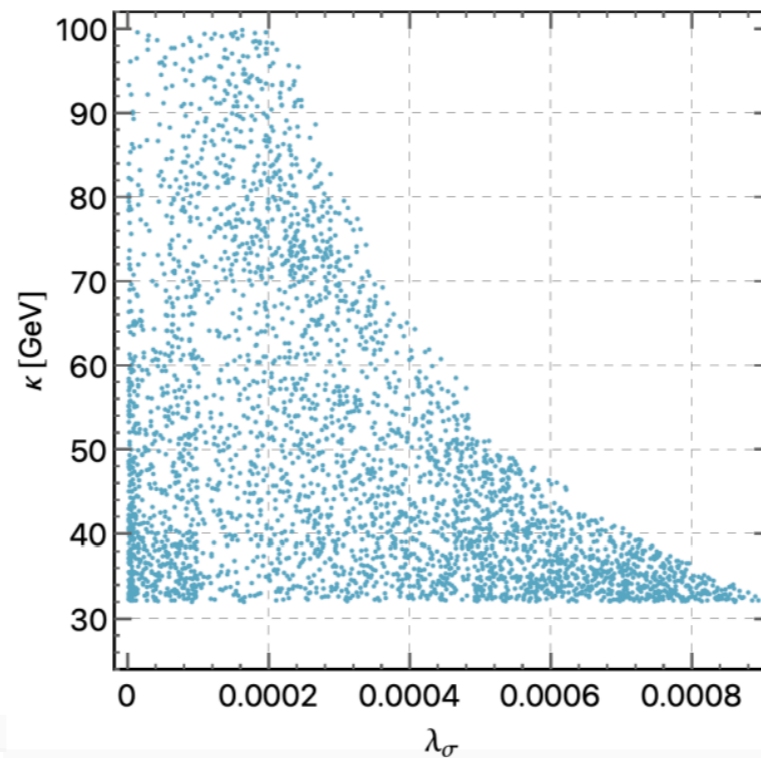
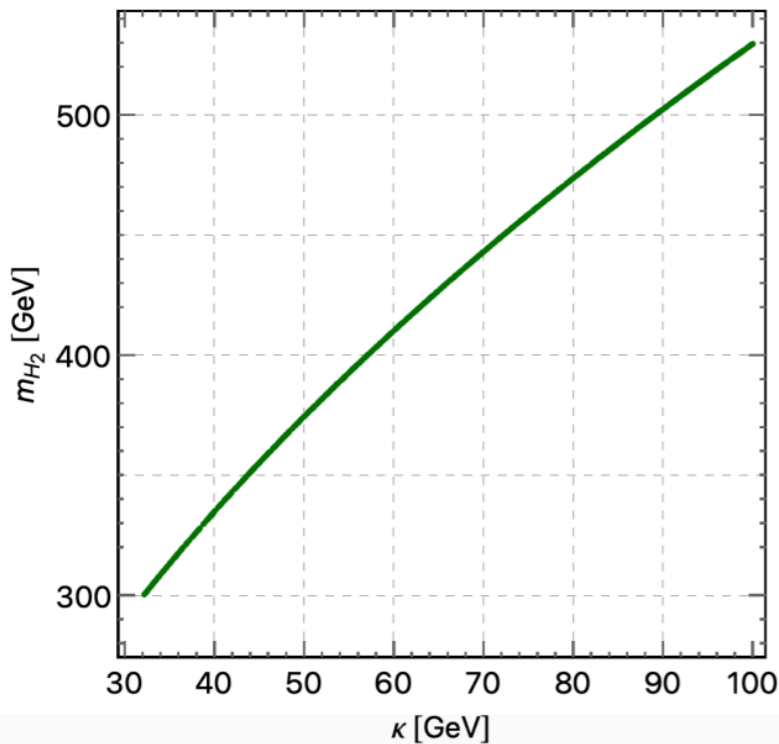
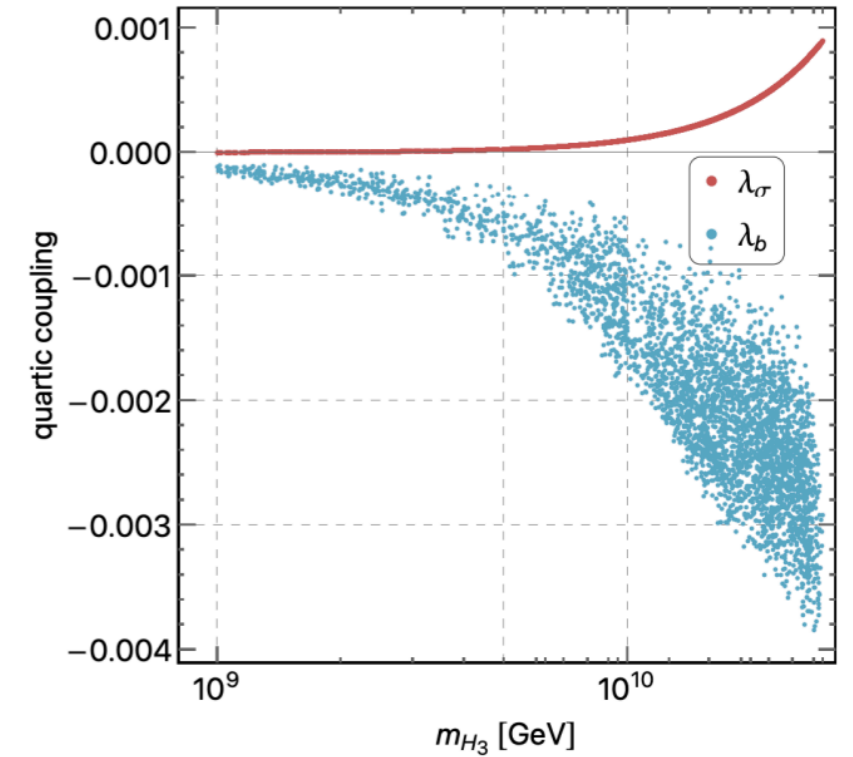
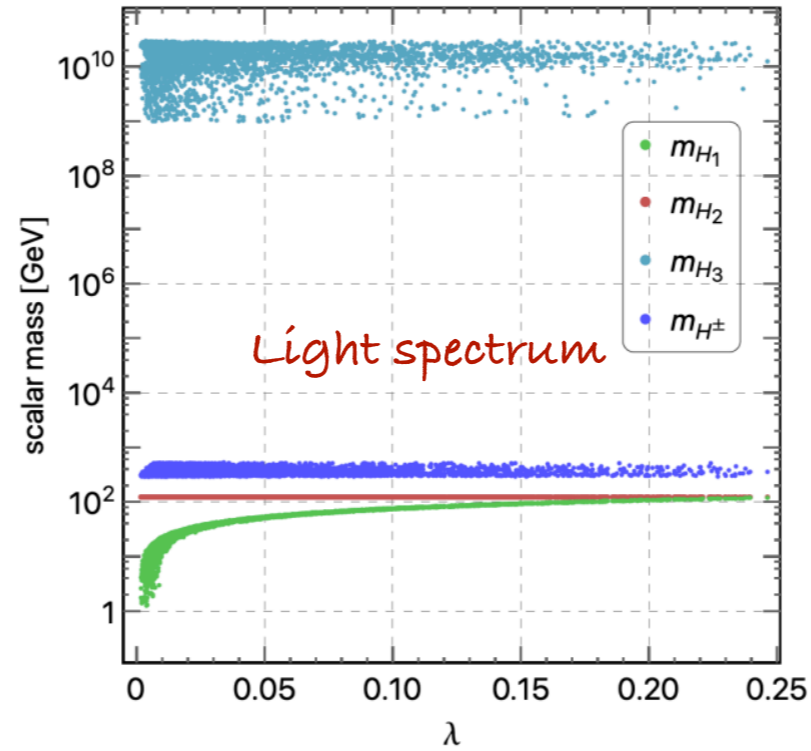
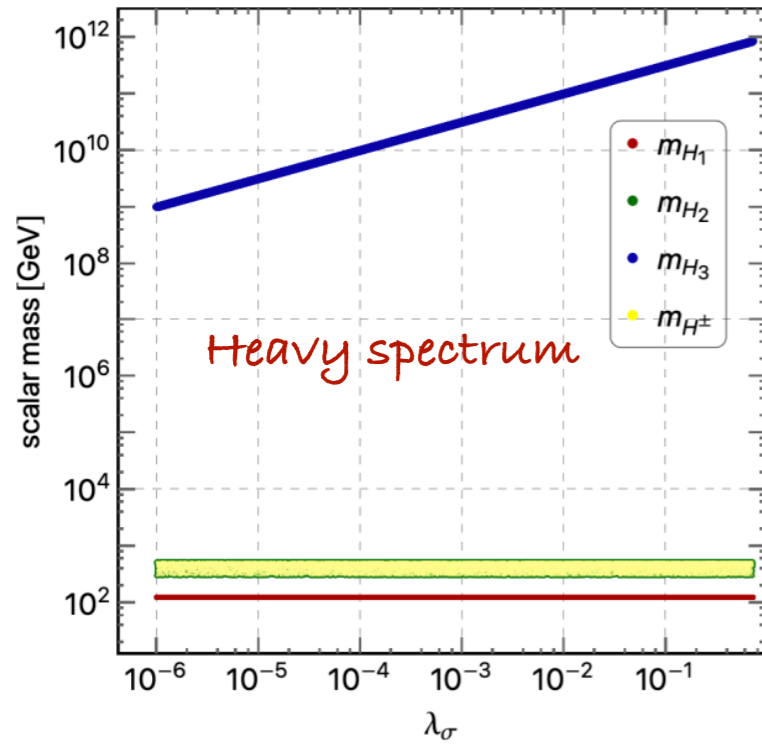
*Experimental constraints:*

**Electroweak precision:  $\rho, S, T, U$**

**Collider: LHC for charged Higgs, LEP for neutral Higgs**

# Viability parameter space

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# Summary

Build a model which features

- ⊙  $\Lambda_{SS} \sim f_a$  for Dirac neutrinos  $\rightarrow 10^8 \text{ GeV} \lesssim f_a \lesssim 10^{14} \text{ GeV}$
- ⊙ PQ enforces L number conservation
- ⊙  $\Delta_\chi \rightarrow m_W$
- ⊙ Desired vacuum  $(\nu, \nu_\chi, \nu_\sigma)$  can be the global one (heavy spectrum)

It can be extended in many ways:

Flavors, singlet, Dirac leptogenesis, collider phenomenology, etc

*Most importantly,  $\Lambda_{SS} \sim f_a$  is worth exploration!*

Thank you for your attention!