

# Searches for lepton flavour universality violation with the ATLAS detector

Hao Pang

On behalf of the ATLAS Collaboration

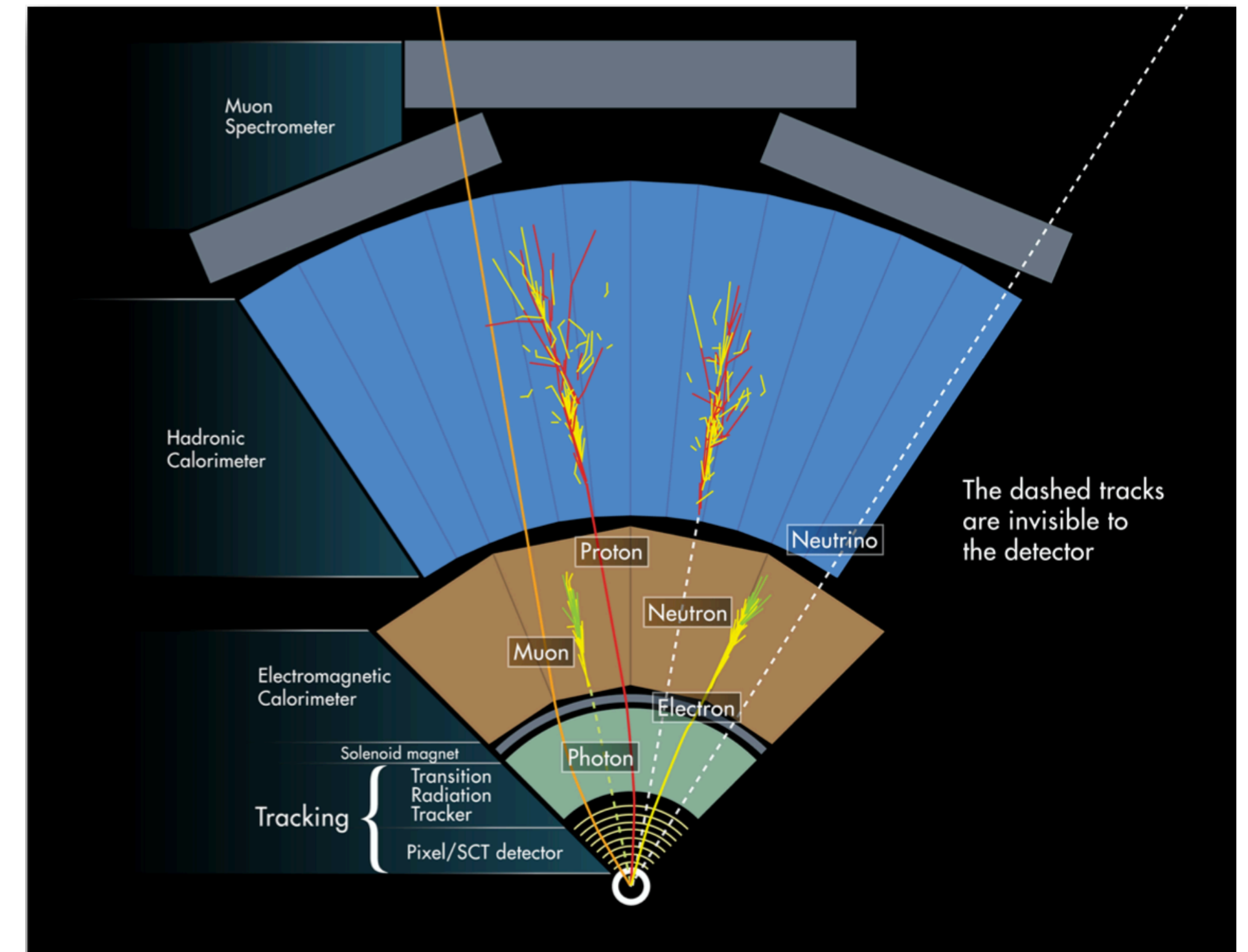
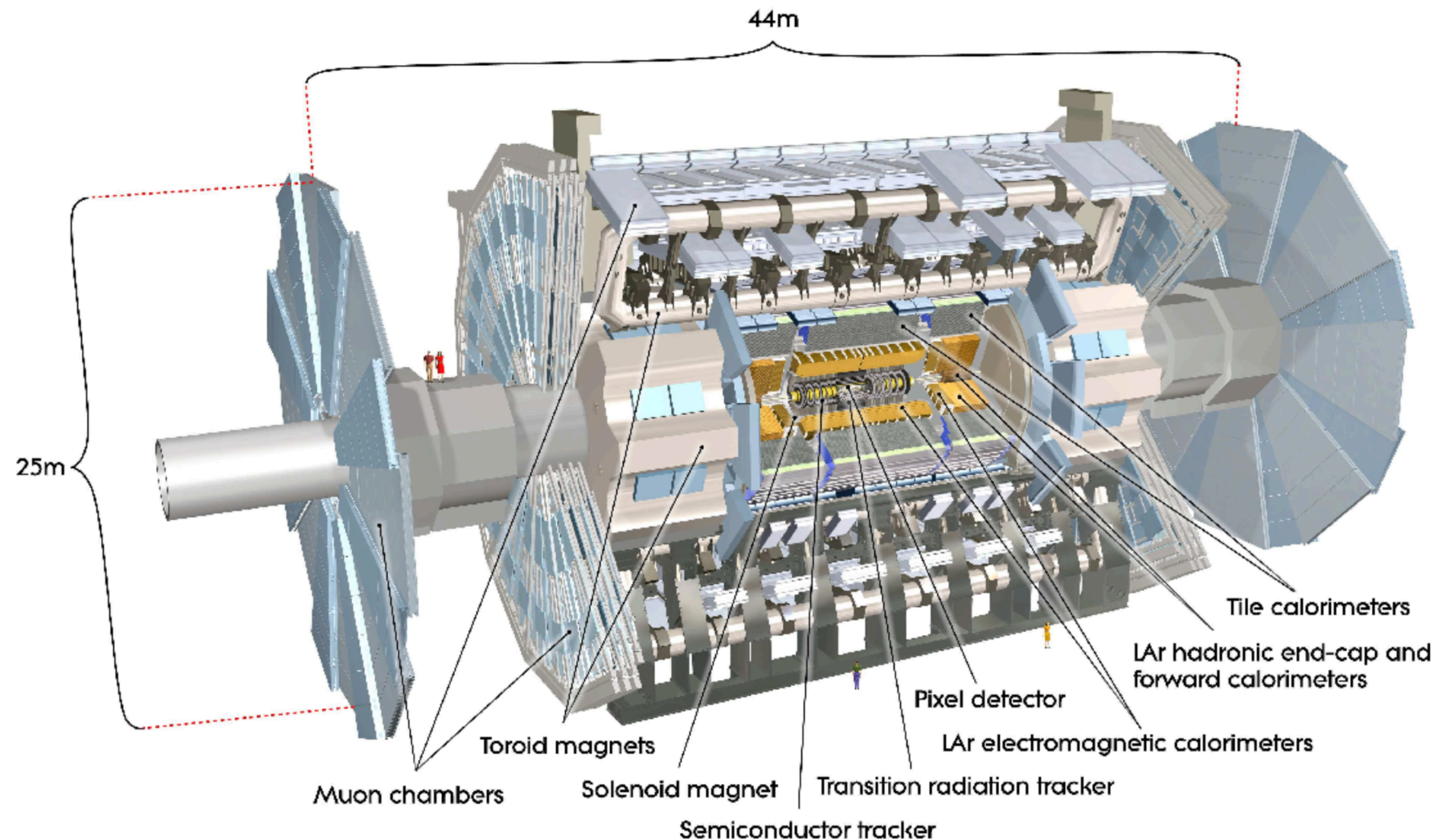


3rd to 8th July at Win 2023

# Outline

- The observation of neutrino oscillations indicates that **Lepton Flavour Violation** is realised in nature and that lepton flavour is not an exact symmetry.
  - ✓ LFV in **Z** boson decay
  - ✓ LFV in **Higgs** boson decay
  - ✓ LFV in high-mass dilepton search
- **Lepton Flavour Universality** is a fundamental prediction of the SM, search the violation of LFU for the BSM
  - ✓ asymmetry dilepton( $e\mu$ ) search
  - ✓ rare B-decays
  - ✓ leptoquarks

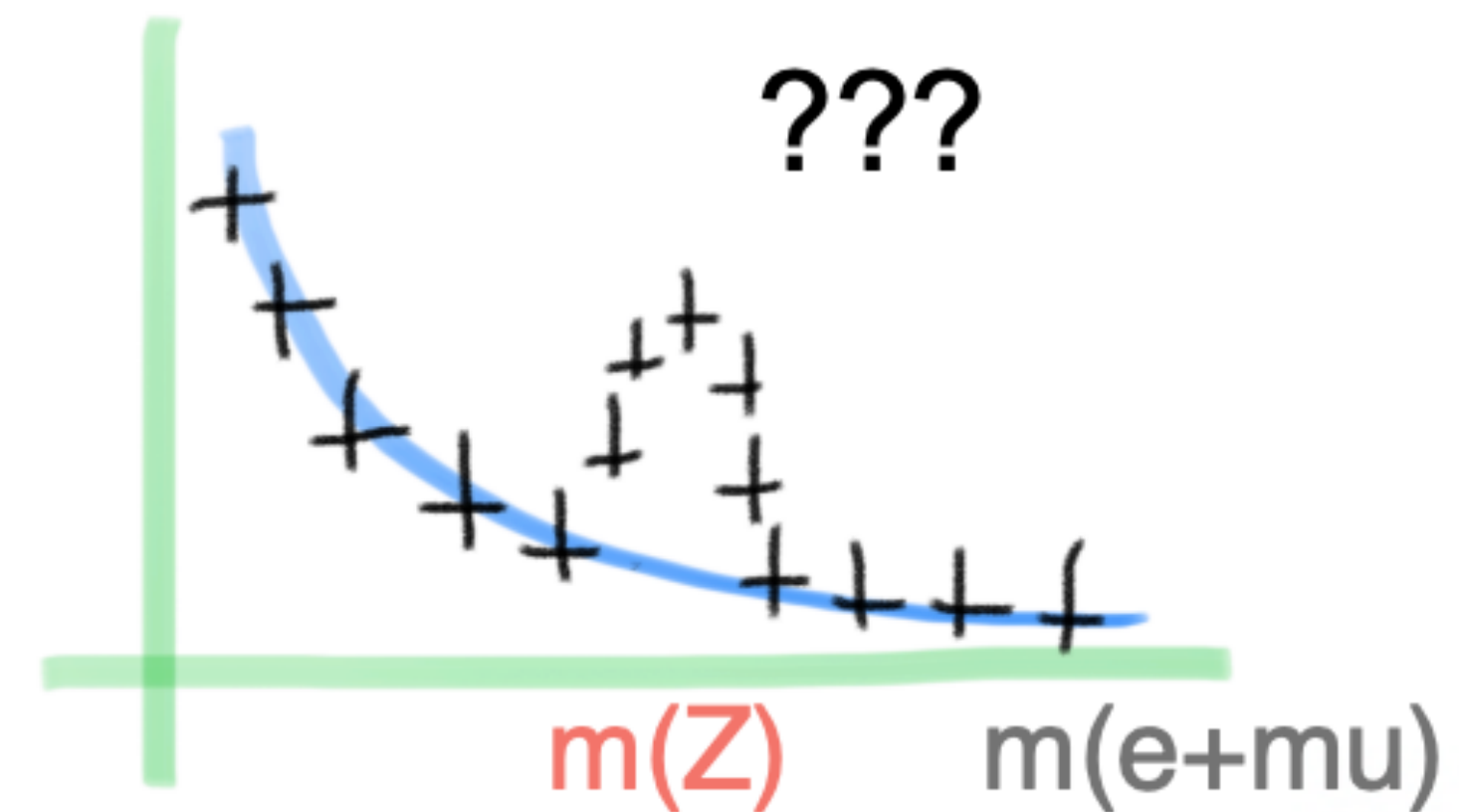
# ATLAS detector



- The ATLAS detector consists of an inner tracking detector, calorimeters and a muon spectrometer, which could provide good measurement of leptons
- ATLAS has collected lots of data  $140 \text{ fb}^{-1}$  at Run2

# Z boson $\rightarrow e\mu$

- Search for Z boson decay into  $e\mu$  using full Run-2 data
- Two high- $p_T$ , isolated opposite charged tracks identified as two different flavour  $e\mu$
- Main backgrounds:
  - ▶  $Z \rightarrow \tau\tau \rightarrow e\mu\nu\nu\nu$
  - ▶  $Z \rightarrow \mu\mu$  with one  $\mu$  is misidentified as an electron
  - ▶ Diboson( $WW \rightarrow e\mu\nu\nu$ ) or  $t\bar{t}$ ( $tt \rightarrow e\mu\nu\nu + bb$ ) or  $W$ +jets...
- Little jet activity
  - ▶ Veto b-Jets, small  $p_T$  of Leading jet..
- Little missing Energy
- Looking for peak structure on the smooth falling backgrounds
- Using MC study for efficiency/model

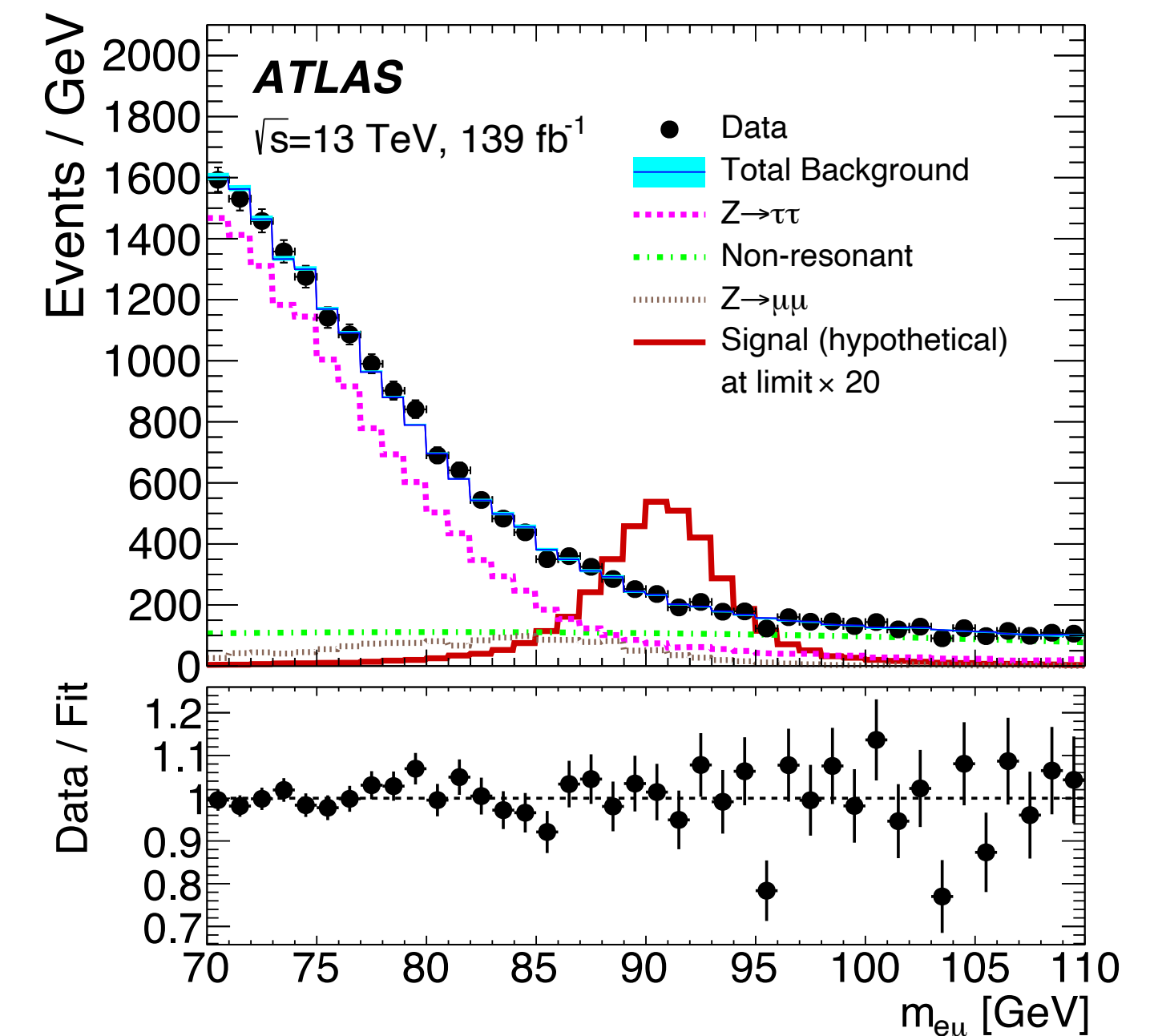
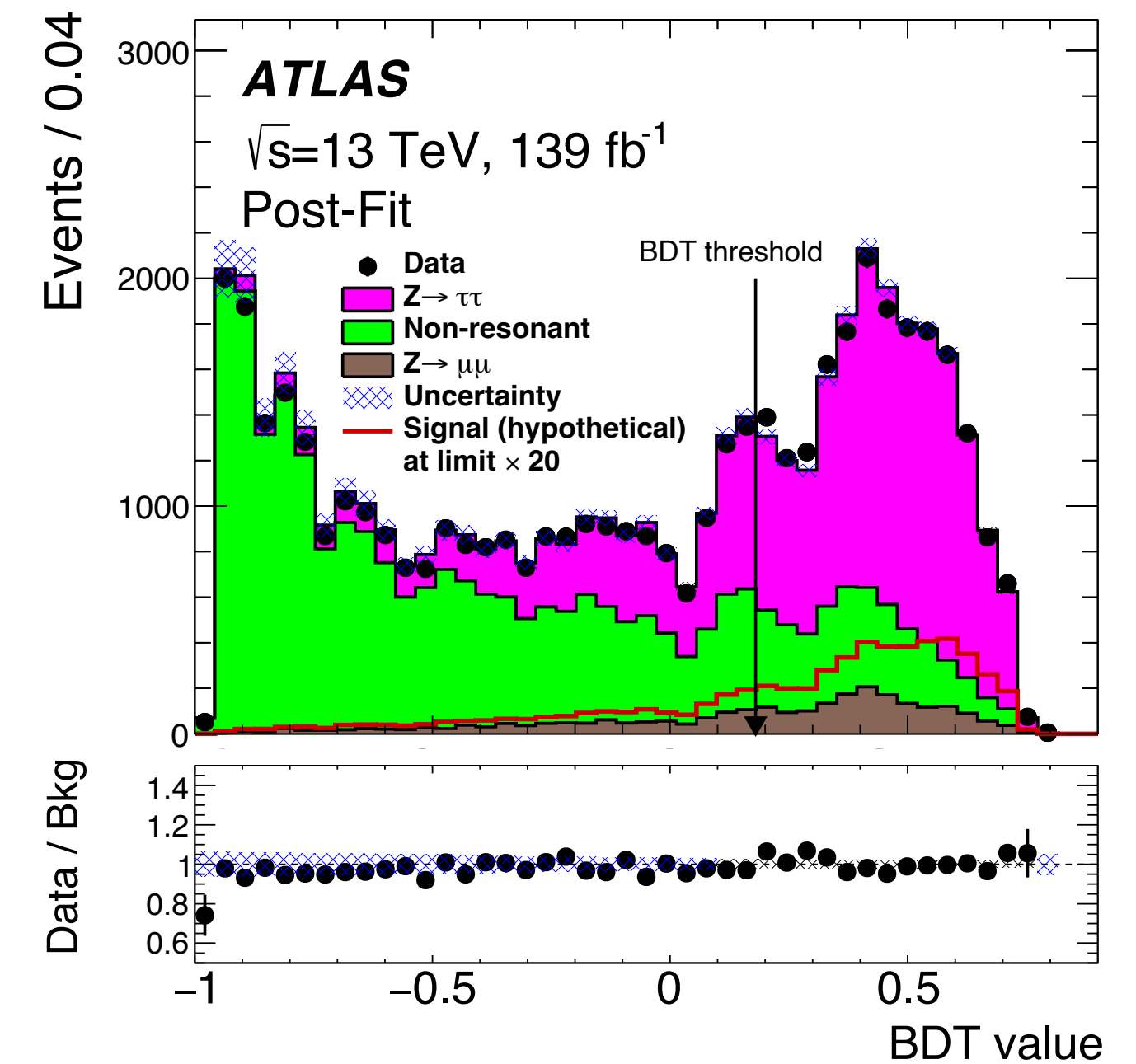


# Z boson $\rightarrow e\mu$

- Using BDT to further reduce the backgrounds
  - ▶ input variables:  $E_T^{miss}$   $p_T^Z$   $p_T$  of leading jet
- performed a likelihood fit
  - ▶ signal: peaking hist PDF from MC sample
  - ▶ Z $\rightarrow\tau\tau$  Backgrounds
  - ▶ Z $\rightarrow\mu\mu$  Backgrounds
  - ▶ Combinatorial Backgrounds described by a second order polynomial function

$$\mathcal{L} = \prod_{i=1}^N \left[ N_{\text{sig}} \cdot F_{\text{sig}} + N_{\tau\tau} \cdot F_{\tau\tau} + N_{\mu\mu} \cdot F_{\mu\mu} + N_{\text{cmb}} \cdot F_{\text{cmb}} \right]$$

- Using the Signal acceptance and efficiency and expected number of Z boson to calculate the upper limit
  - ▶  $B(\text{Z}\rightarrow e\mu) < 2.62 \cdot 10^{-7}$  at 95% CL

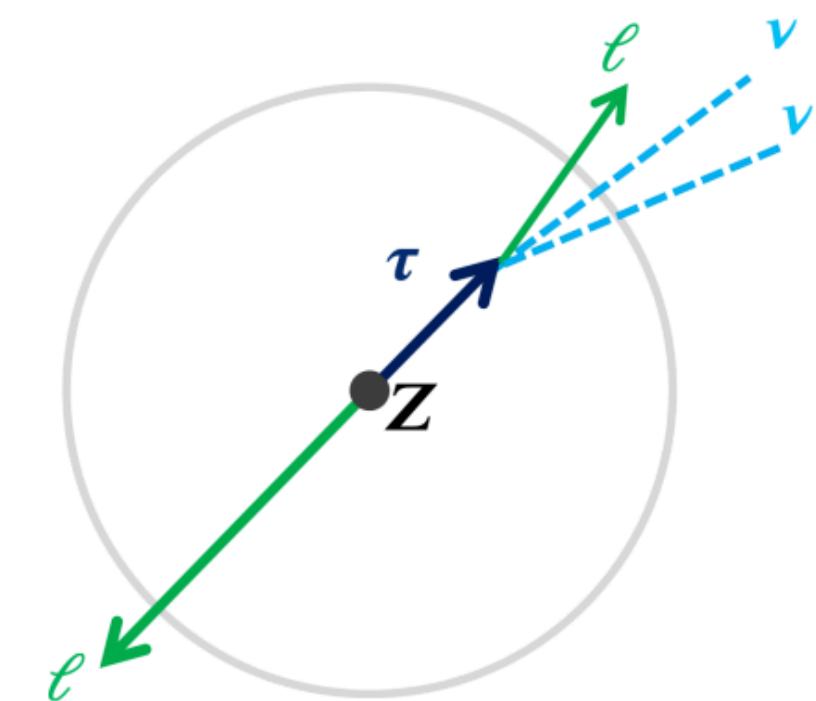
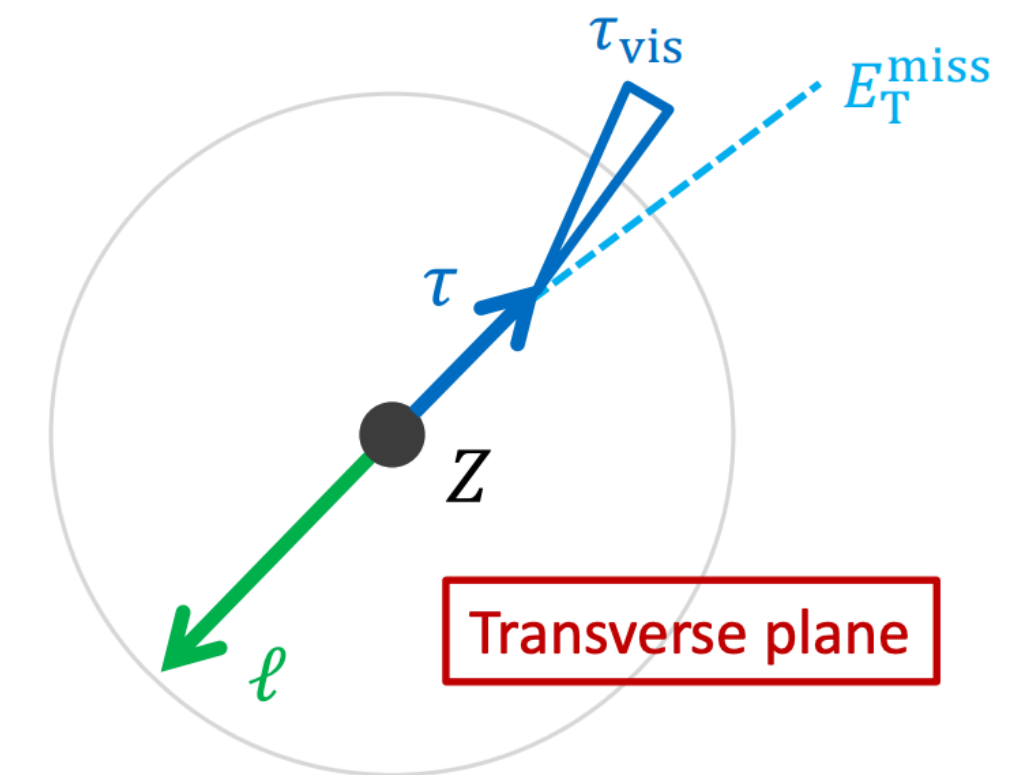


# Z boson $\rightarrow e\tau$ or $\mu\tau$

[Page Link had decay](#)

[Page Link lep decay](#)

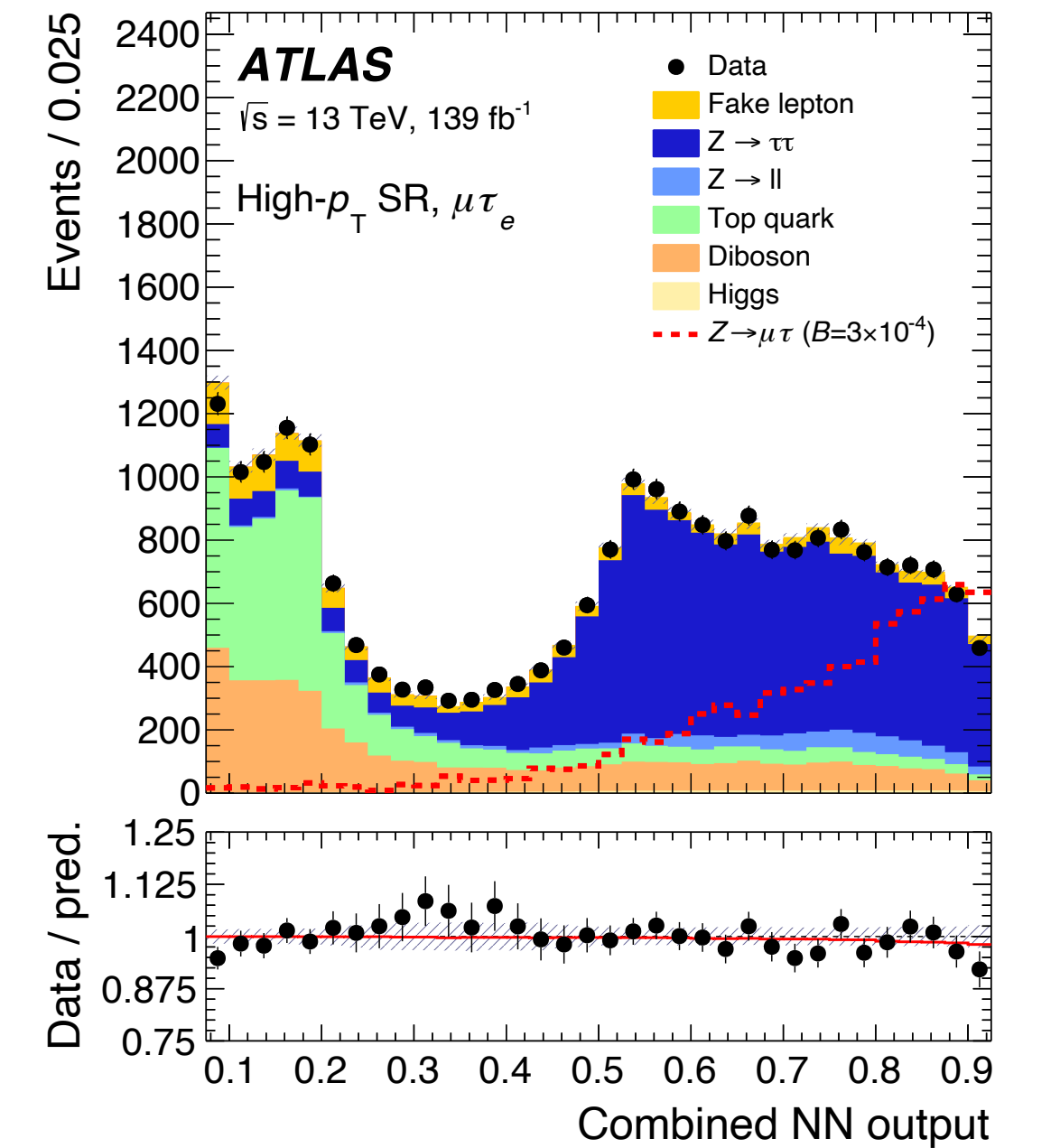
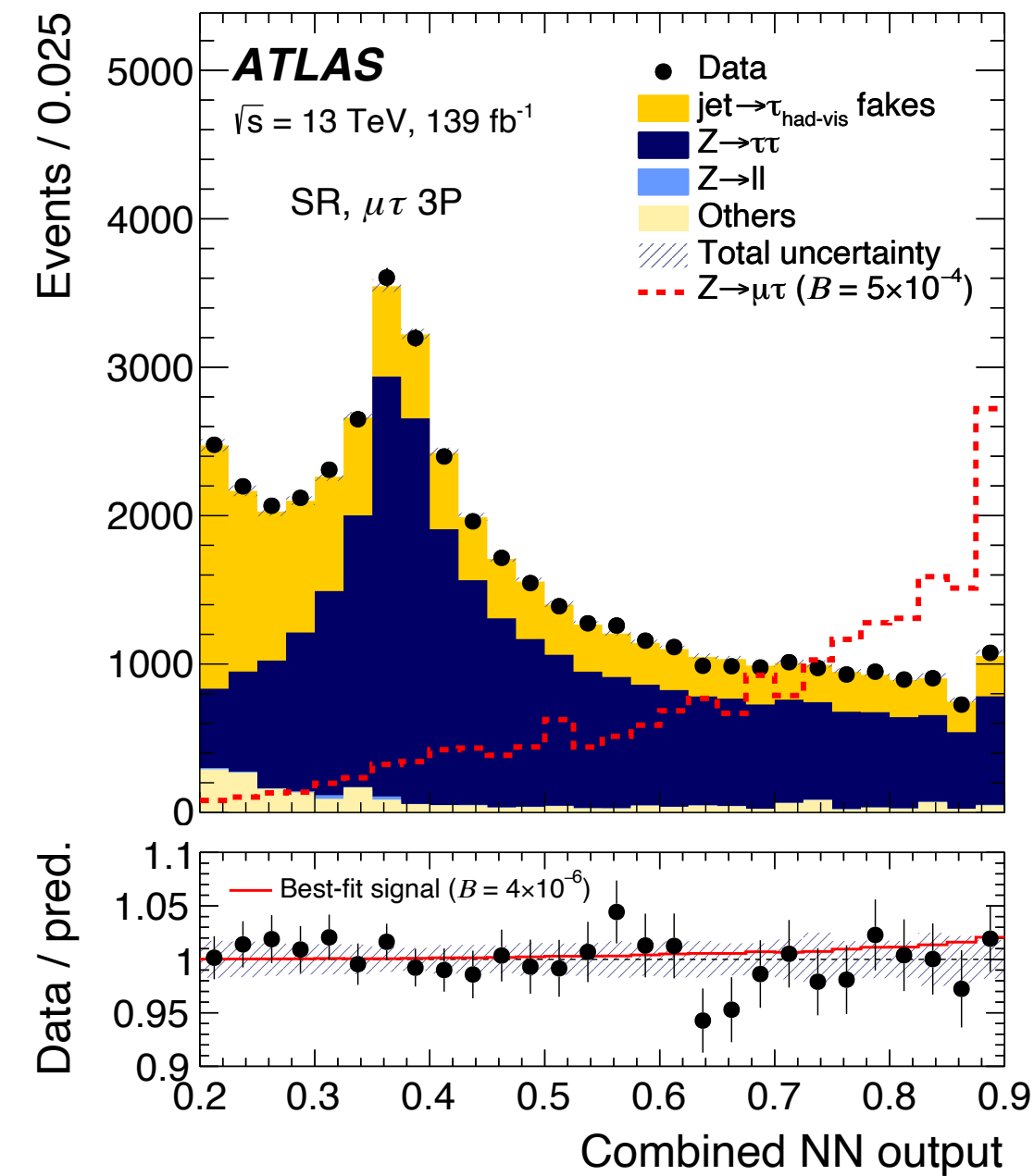
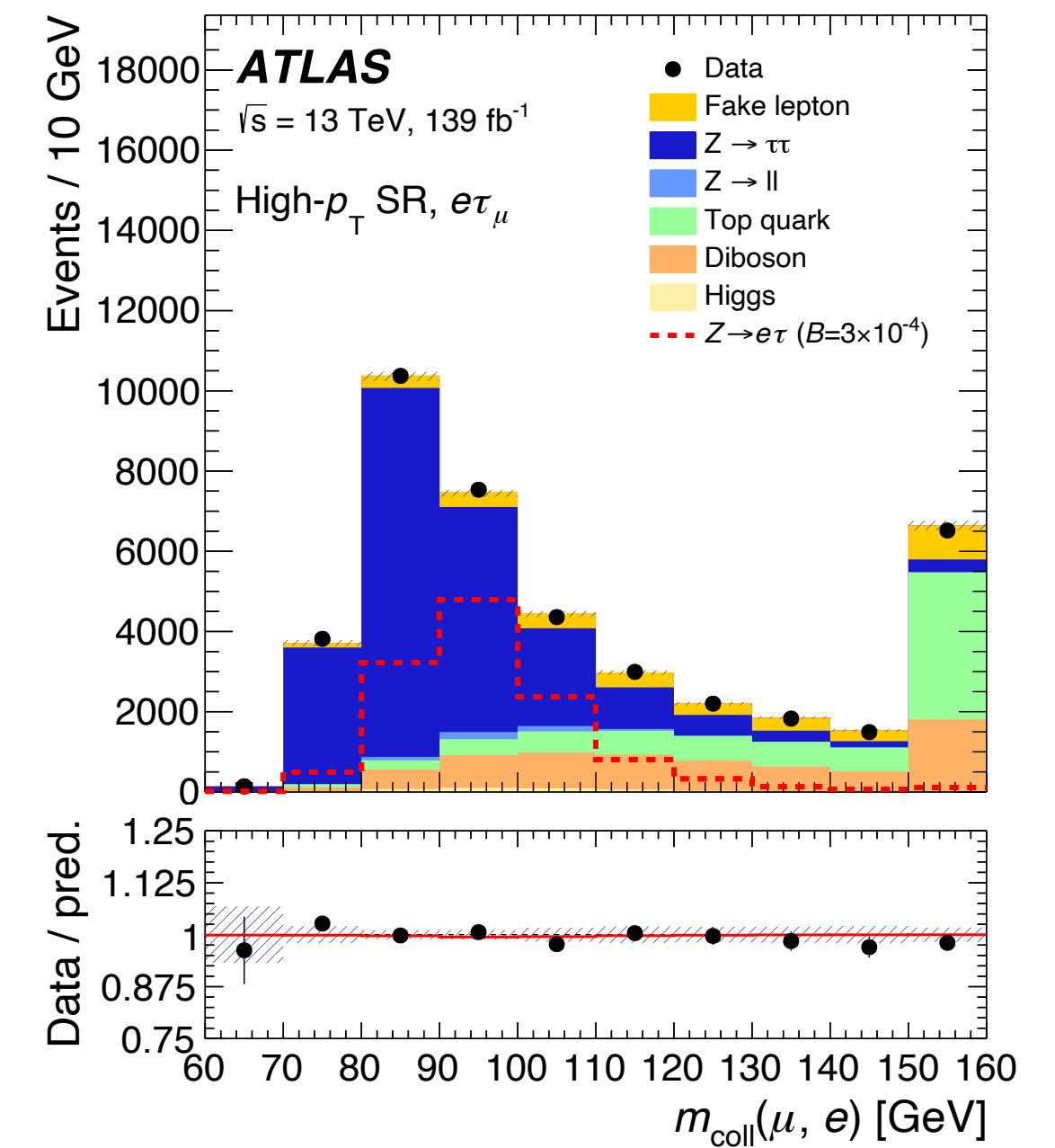
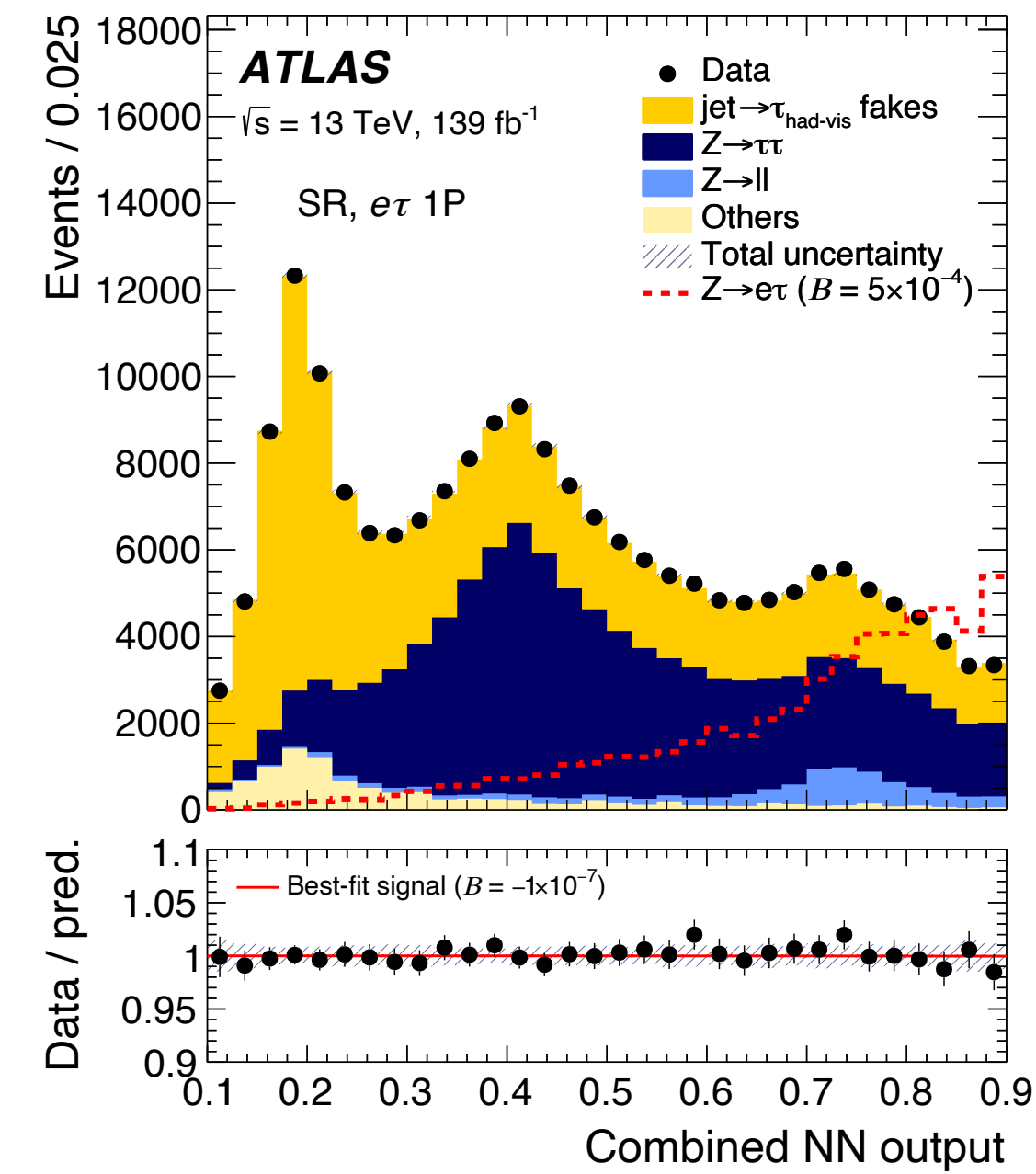
- Search for Z boson decay into lep+ $\tau$  with  $\tau$  leptonic decay or hadronic decay
- Main backgrounds
  - ▶ Z boson:  $Z \rightarrow \tau\tau$  or  $Z \rightarrow \text{leplep}$   
MC based estimation + data-driven corrections to reduce theory uncertainties
  - ▶ Jet- $\rightarrow \tau$  fakes: W+jets, QCD multijet, Z+jets  
Data-Driven fake factor method
  - ▶ other backgrounds: top, diboson, Higgs  
MC based estimation
- Event selection / sig-bkg separation
  - ▶ unique signal topology and Z mass resonance
  - ▶ SR with relatively loose kinematic selection
  - ▶ Further separation using neural network
- Statistical interpretation
  - ▶ Fit NN output in SR and  $m_{\text{coll}}$  in  $Z \rightarrow \tau\tau$  CR to data
  - ▶ Data-model compatibility quantified using frequentist CLs method
  - ▶ Exclusion limit set by inverted CLs hypothesis tests



# Z boson $\rightarrow e\tau$ or $\mu\tau$

- $\tau$  polarization reweighting
- Validation in the Same-Sign SR
- Mixture of low- and high-level variables for the neural network
- Combining individual output scores to a single one
- Fit split in 1prong/3prong( $\tau$  hadronic) or two  $p_T^{l2}$  regions( $\tau$  leptonic)
- Fit in SR and CR simultaneously

Final state, polarization assumption	Observed (expected) upper limit on $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]	
	$e\tau$	$\mu\tau$
$\ell\tau_{\text{had}}$ Run 1 + Run 2, unpolarized $\tau$	8.1 (8.1)	9.5 (6.1)
$\ell\tau_{\text{had}}$ Run 2, left-handed $\tau$	8.2 (8.6)	9.5 (6.7)
$\ell\tau_{\text{had}}$ Run 2, right-handed $\tau$	7.8 (7.6)	10 (5.8)
$\ell\tau_{\ell}$ Run 2, unpolarized $\tau$	7.0 (8.9)	7.2 (10)
$\ell\tau_{\ell}$ Run 2, left-handed $\tau$	5.9 (7.5)	5.7 (8.5)
$\ell\tau_{\ell}$ Run 2, right-handed $\tau$	8.4 (11)	9.8 (13)
Combined $\ell\tau$ Run 1 + Run 2, unpolarized $\tau$	5.0 (6.0)	6.5 (5.3)
Combined $\ell\tau$ Run 2, left-handed $\tau$	4.5 (5.7)	5.6 (5.3)
Combined $\ell\tau$ Run 2, right-handed $\tau$	5.4 (6.2)	7.7 (5.3)



$\tau$  hadronic decay

$\tau$  leptonic decay <sub>7</sub>

# Higgs boson $\rightarrow e\tau$ or $\mu\tau$

[Page Link](#)

- Search for  $H \rightarrow e\tau$  and  $H \rightarrow \mu\tau$ , two independent signals. Analysis targets leptonic tau decays and hadronic tau decays.
- Main Higgs boson production modes considered for LFV signal (ggH, VBF, VH).
- Categorisation: loose preselection(baseline) and further split into **VBF and non-VBF** regions.
- **MVA** used to enhance sensitivity. Final discriminants are the MVA scores.
- Statistical analysis for signal strength  $\mu = B(H \rightarrow l\tau)$  extraction with Maximum Binned Likelihood fit using TRexFitter

**Lep-lep** final states with one electron and one muon.

Channel classification ( $e\tau_\mu$  or  $\mu\tau_e$ ) based on  $p_T$  ordering in the approx

Higgs frame:  $p_T(l_H) > p_T(l_\tau)$ .

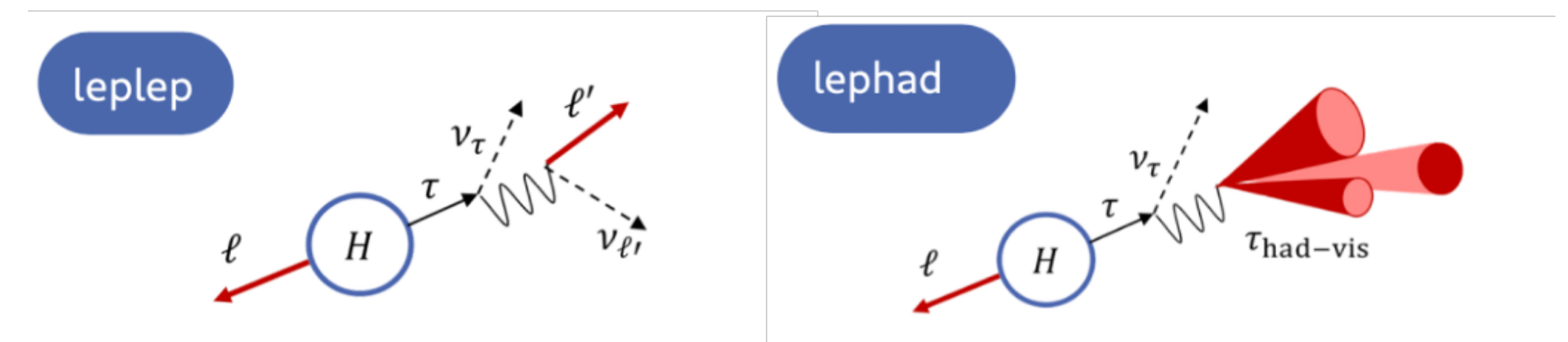
Two background estimation method:

- **Symmetry based leplep**

Fake background data-driven. Other backgrounds estimated mainly data-driven via symmetry method

- **MC-template leplep**

Fake background data-driven. Other backgrounds estimated with MC templates and normalisation of main backgrounds data-driven from CRs



**Lep-Had** final states with one lepton and one hadronic tau (ethad or muthad):

Only one background estimation is used:

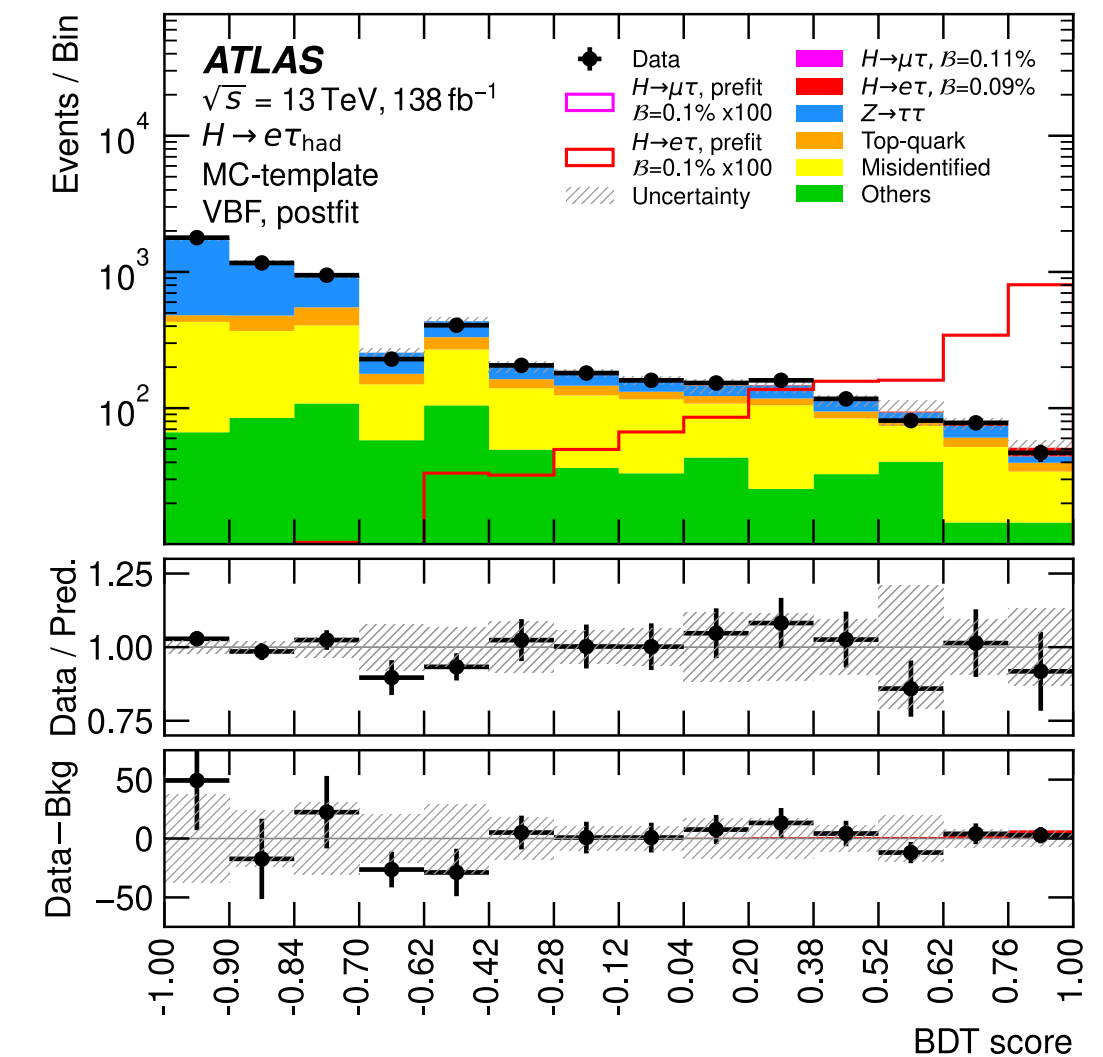
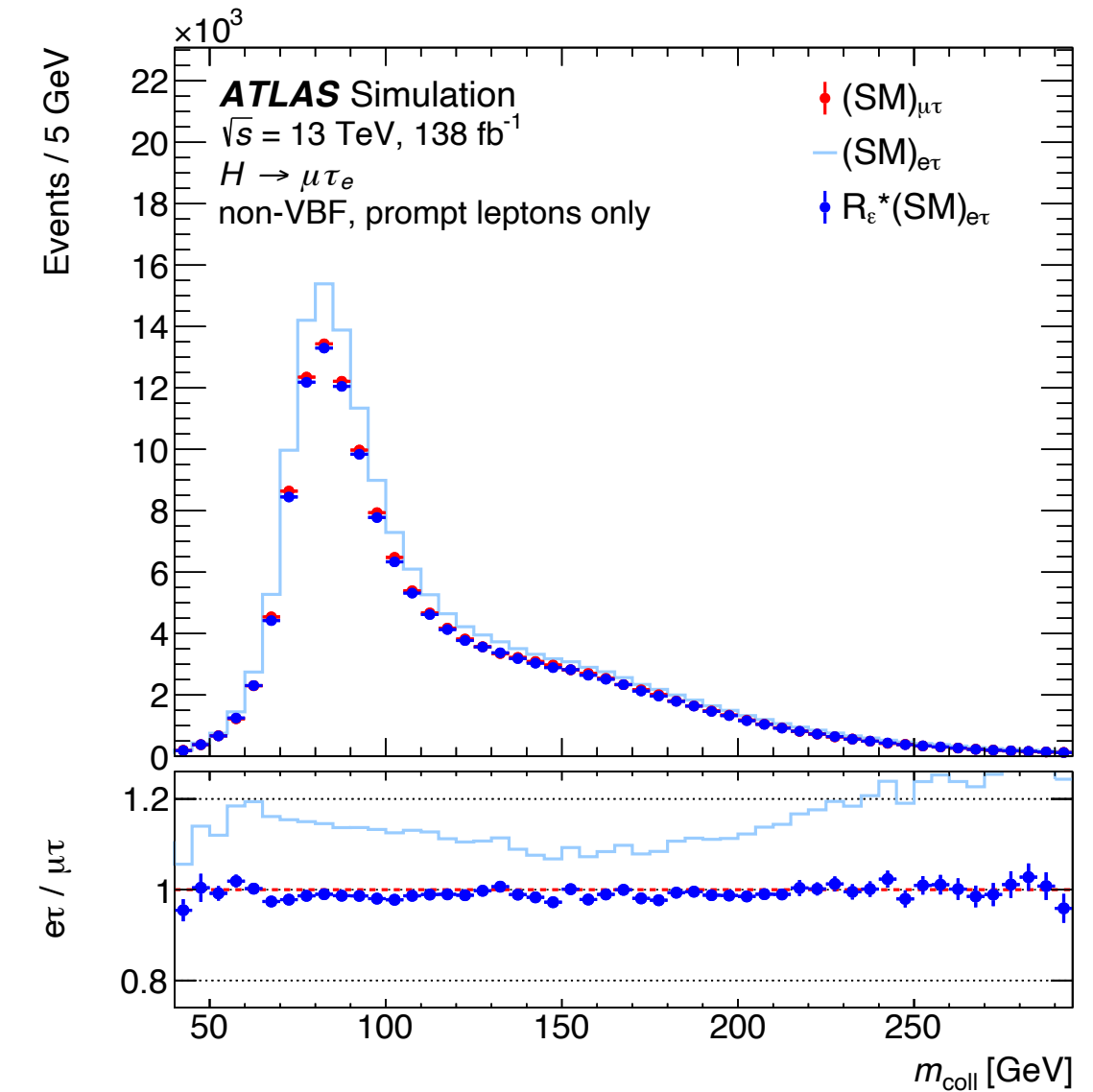
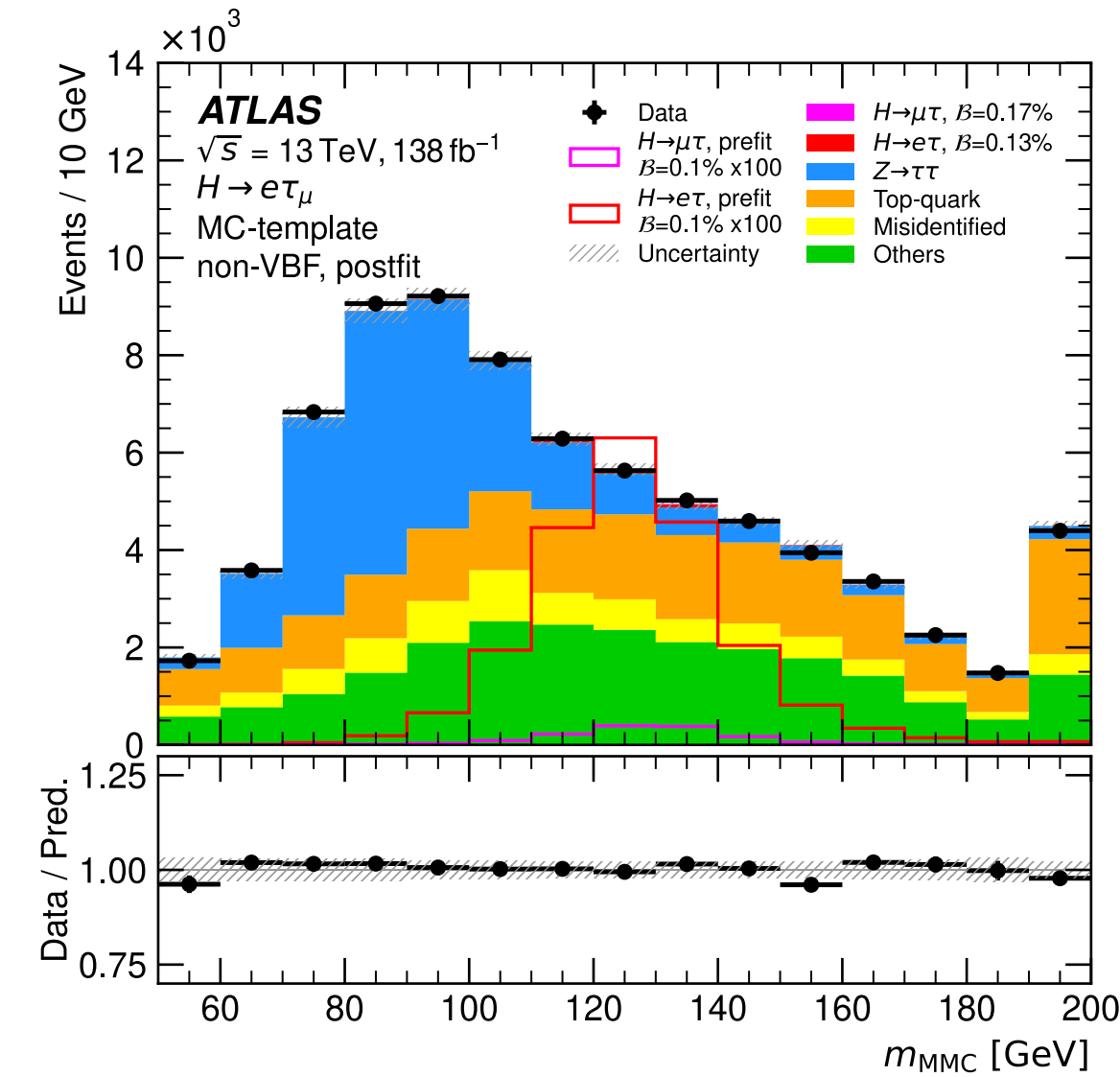
- **MC-template lephad**

Fake background data-driven. Other backgrounds estimated through MC



# Higgs boson $\rightarrow e\tau$ or $\mu\tau$

- **Symmetry method** : LFV H decays break the SM symmetry if  $B(H \rightarrow \mu\tau) \neq B(H \rightarrow e\tau)$ , Data of each of the two channels can serve as background prediction for the other channel.
- **MC-template** method uses simulation to estimate  $Z \rightarrow \tau\tau$ , Top, Diboson, Higgs...use data-driven to estimate fakes



- **Symmetry method, lelep**: **NNs trained with Keras**, Separate training for non-VBF and VBF, but common for  $e\tau_\mu$  and  $\mu\tau_e$ 
  - Non-VBF: 1 Multiclassifier NN with 3 output nodes. Signal node is used for fit.
  - VBF: 3 NNs, combined linearly. LFV vs  $(Z\tau\tau + H\tau\tau + \text{MC fakes}) / (\text{Top} + \text{VV} + \text{HWW}) / \text{Fakes}$
- **MC-template method, lelep**: **BDTs with TMVA**, Separate training for non-VBF and VBF, but common for  $e\tau_\mu$  and  $\mu\tau_e$ 
  - Non-VBF and VBF: 3 BDTs, combined linearly. LFV vs  $(Z\tau\tau + H\tau\tau + Z\text{lelep}) / (\text{Top} + \text{VV} + \text{HWW}) / \text{Fakes}$
- **MC-template method, lephad**: **BDTs with TMVA**, Separate training for non-VBF and VBF and for  $e\tau_{had}$  and  $\mu\tau_{had}$ 
  - Non-VBF  $e\tau_{had}$ : 3 BDTs, combined linearly. LFV vs  $Z\tau\tau / \text{Fakes} / \text{rest of bkg}$
  - VBF and non-VBF  $\mu\tau_{had}$ : 2 BDTs, combined linearly for non-VBF  $\mu\tau_{had}$  and quadratically for VBF. LFV vs  $Zt\tau / \text{rest of bkg}$

# Higgs boson $\rightarrow e\tau$ or $\mu\tau$

1 POI Fit results:  $B(H \rightarrow e\tau) = 0$  when fitting  $B(H \rightarrow \mu\tau)$  and viceversa.

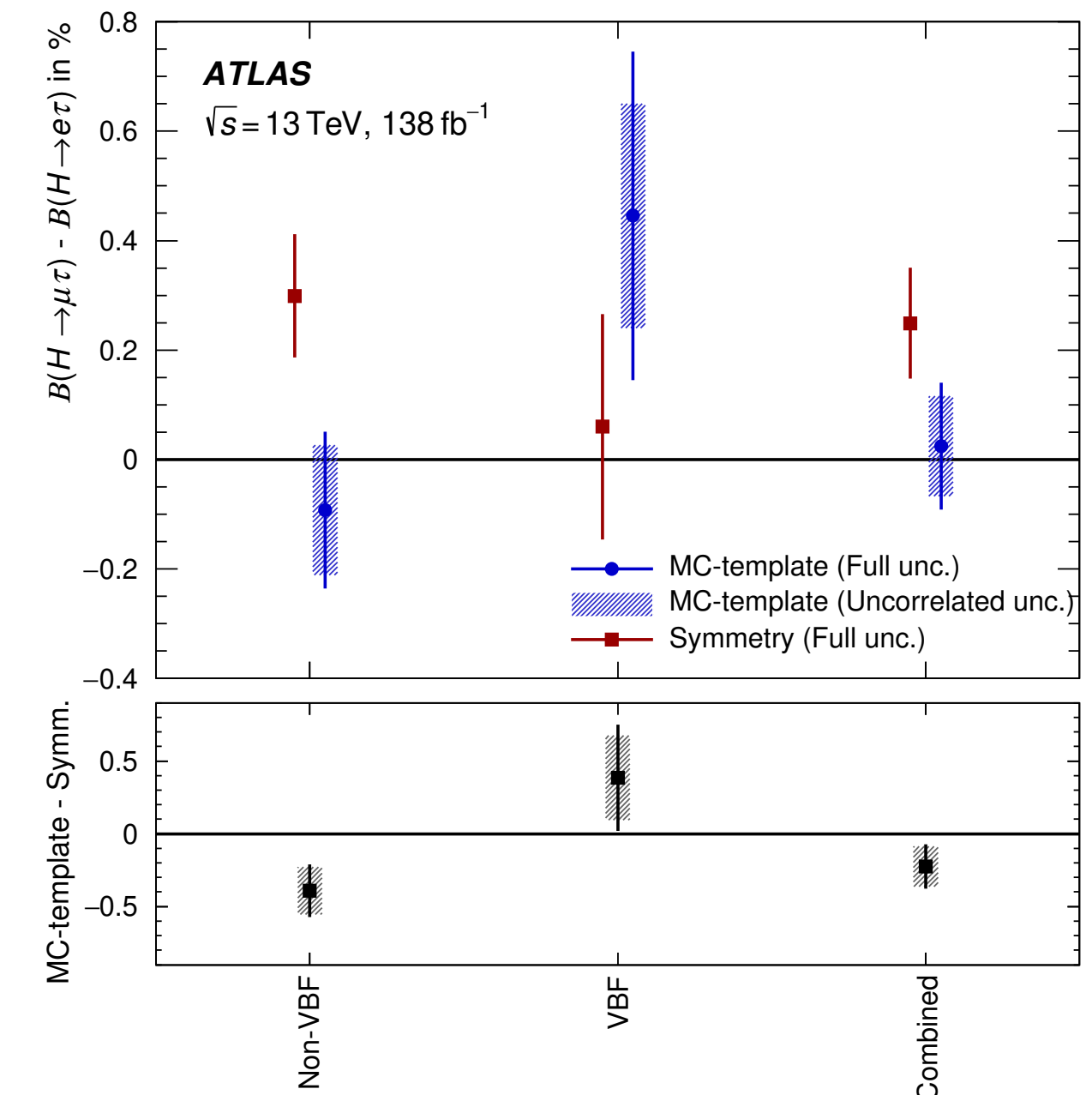
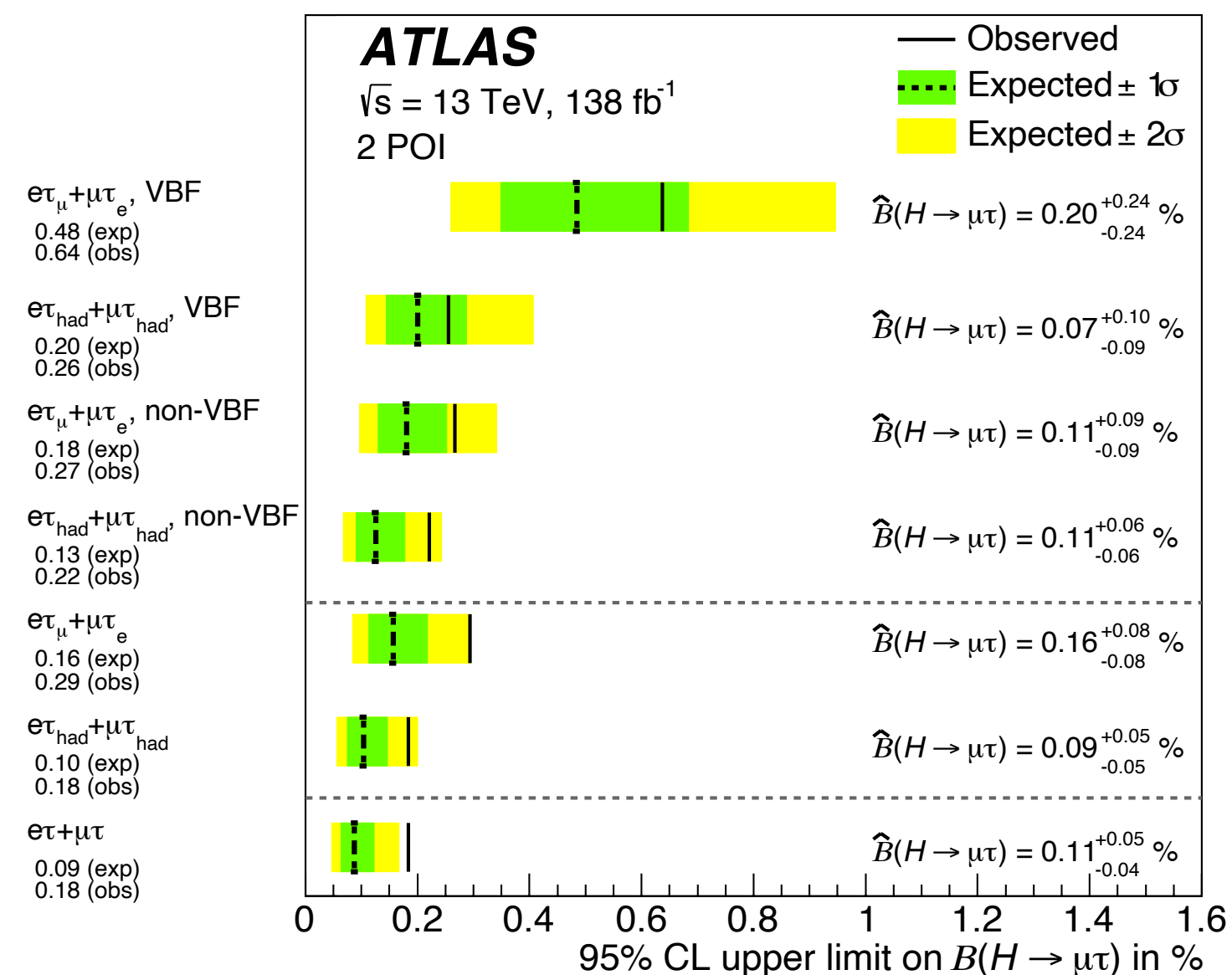
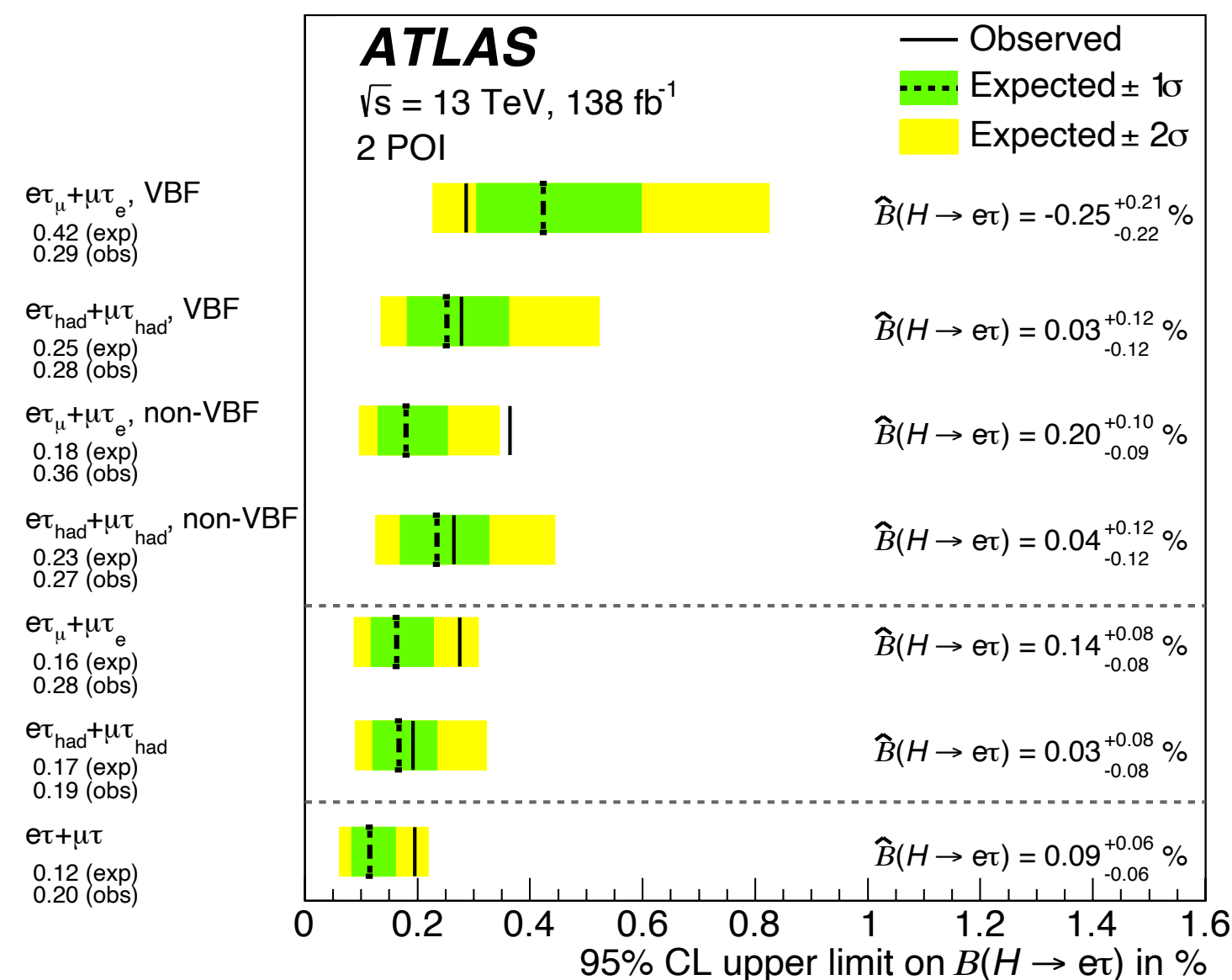
- Observed limits are above expected ones: **2.2 $\sigma$**  excess seen for  $B(H \rightarrow e\tau)$  and **1.9 $\sigma$**  for  $B(H \rightarrow \mu\tau)$ .

2 POI Fit results: fit two channel simultaneously

- 1.6 $\sigma$**  excess seen for  $B(H \rightarrow e\tau)$  and **2.5 $\sigma$**  for  $B(H \rightarrow \mu\tau)$ . Compatibility with SM within **2.17 $\sigma$** .

Symmetry method measures difference  $B(H \rightarrow \mu\tau) - B(H \rightarrow e\tau)$

- Compatibility is found to be within **2.3 $\sigma$**  (within **1.3 $\sigma$**  if combined fit results are used).



# high-mass dilepton final states

Search for Charged lepton flavour violation at TeV scale:

- LFV  $Z'$  : same quark couplings and chiral structure as the SM  $Z$  boson
- Quantum Black Hole: the extradimensional Planck Scale is reached, might violate LFC
- R-Parity Violating SUSY:  $\tau$ -sneutrino decay

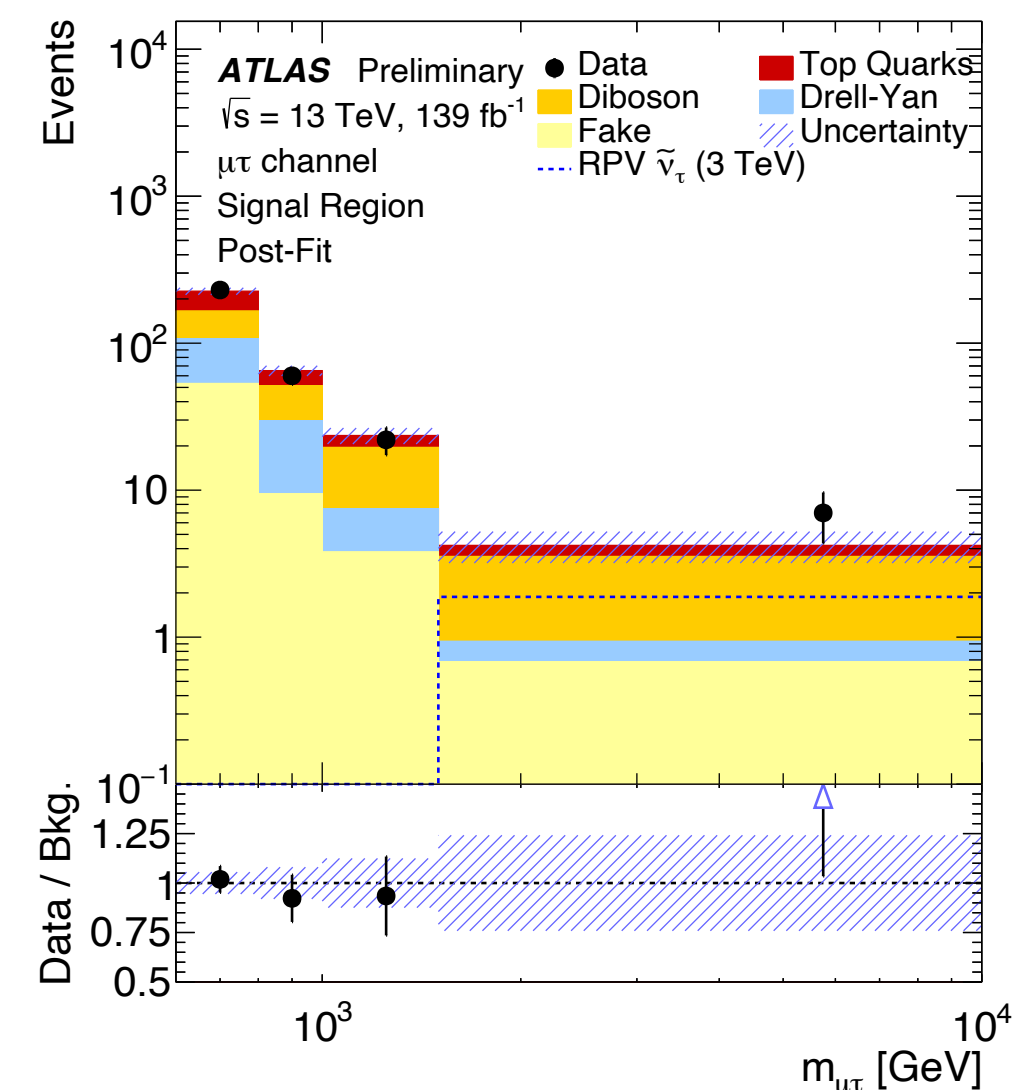
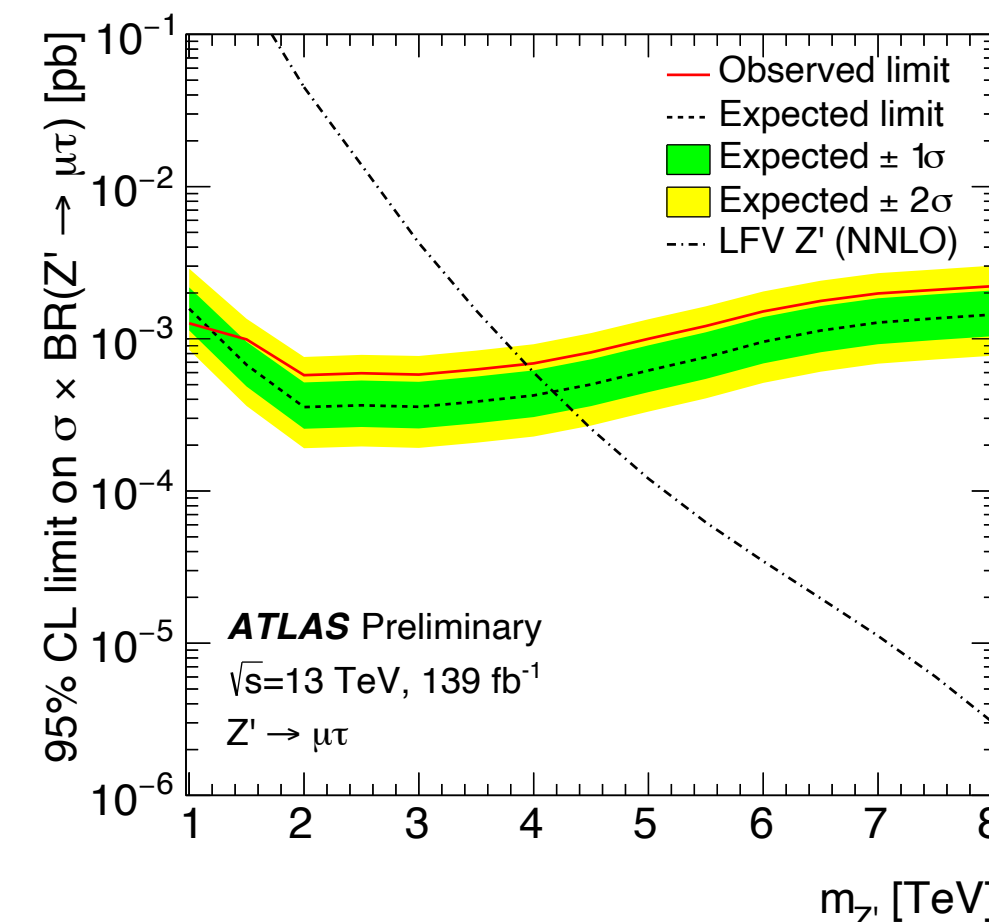
3 channels:  $e\mu$ ,  $e\tau_{had}$ ,  $\mu\tau_{had}$  with two opposite leptons which are back-to-back in phi  
tau-neutrino is reconstructed from MET and the direction of tau-hadronic

SR:  $m_{ll} > 600 \text{ GeV}$

BKG:

- Irreducible backgrounds:  $t\bar{t}$ , single top, diboson,  $Z \rightarrow ll \rightarrow$  MC simulation
- Reducible backgrounds : mainly refer to Wjets and QCD  $\rightarrow$  Data-driven method

Model	Observed (expected) limit [TeV]		
	$e\mu$ channel	$e\tau$ channel	$\mu\tau$ channel
LFV $Z'$	5.0 (4.8)	4.0 (4.3)	3.9 (4.2)
RPV SUSY $\tilde{\nu}_\tau$	3.9 (3.7)	2.8 (3.0)	2.7 (2.9)
QBH ADD $n = 6$	5.9 (5.7)	5.2 (5.5)	5.1 (5.2)
QBH RS $n = 1$	3.8 (3.6)	3.0 (3.3)	3.0 (3.1)



# unexpected asymmetry of $e\mu$

Not charge OR flavour symmetry, but the combination of both: a SM property that is yet untested.

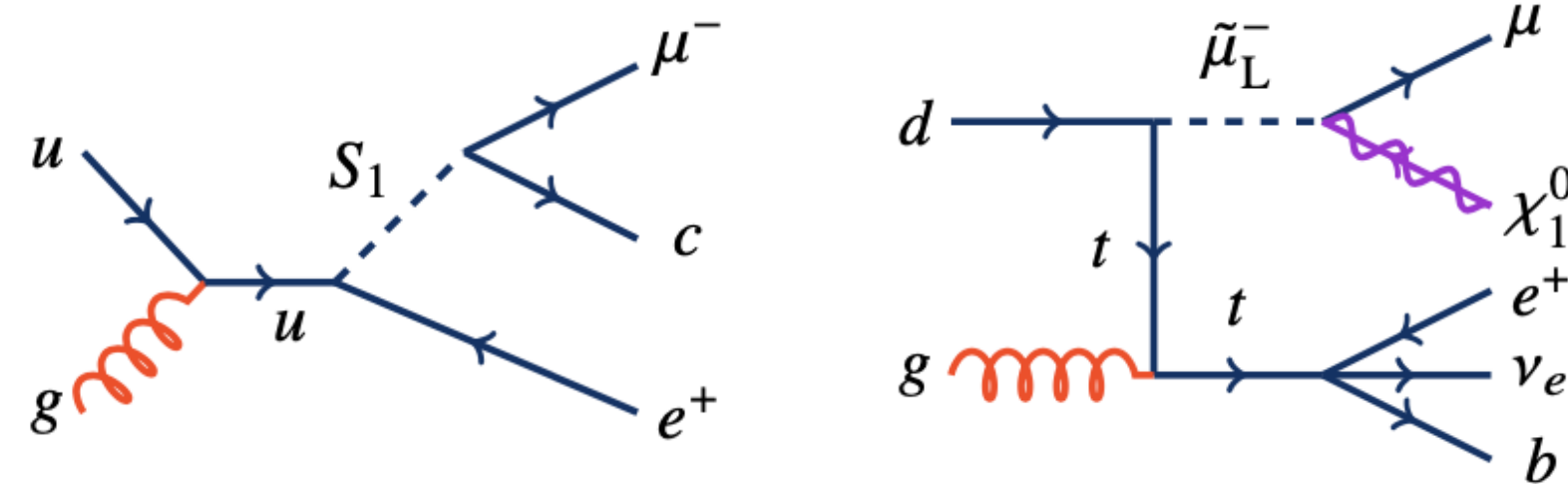
SM  $pp \rightarrow e\mu$  processes  $\rho \sim 1$

$t\bar{t}$ bar,  $VV$ , ... LO symmetric

From phase space reasons slight bias for  $\rho < 1$

If  $\rho > 1$ , significantly, only explanation BSM.

Scalar leptoquark  
R-parity-violation



Bias:

Various detector effects can make  $\rho$  smaller than 1

- Muon Sagitta

just using MCP recommended data correction + unc.

Tiny correction

- Electrons

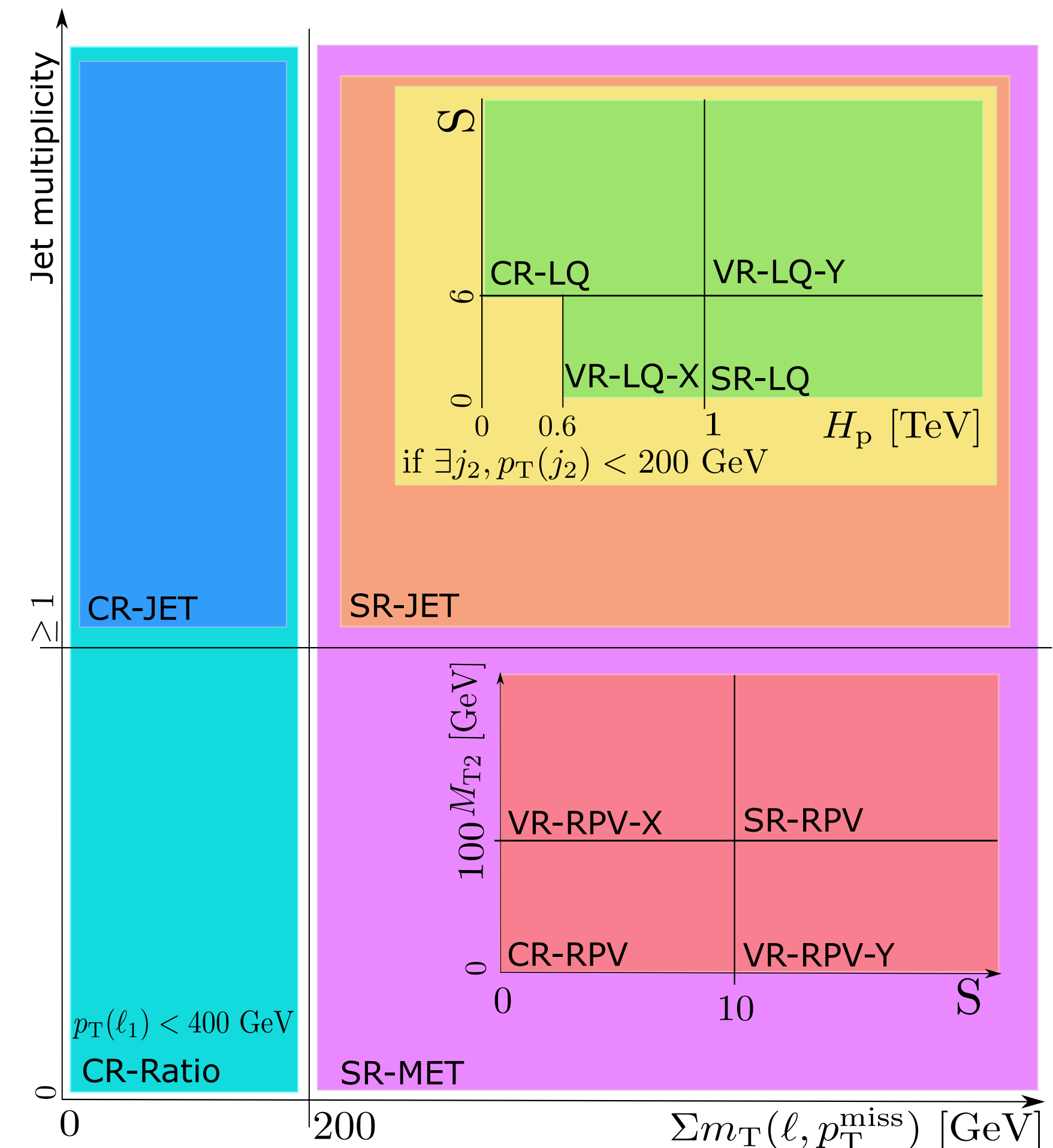
ChargeID correction Tool used.

CP uncs. applied as instructed by EGamma group cover any charge bias.

- Fake leptons

- Muon efficiency charge-bias corrections (affect  $\rho$  either way)

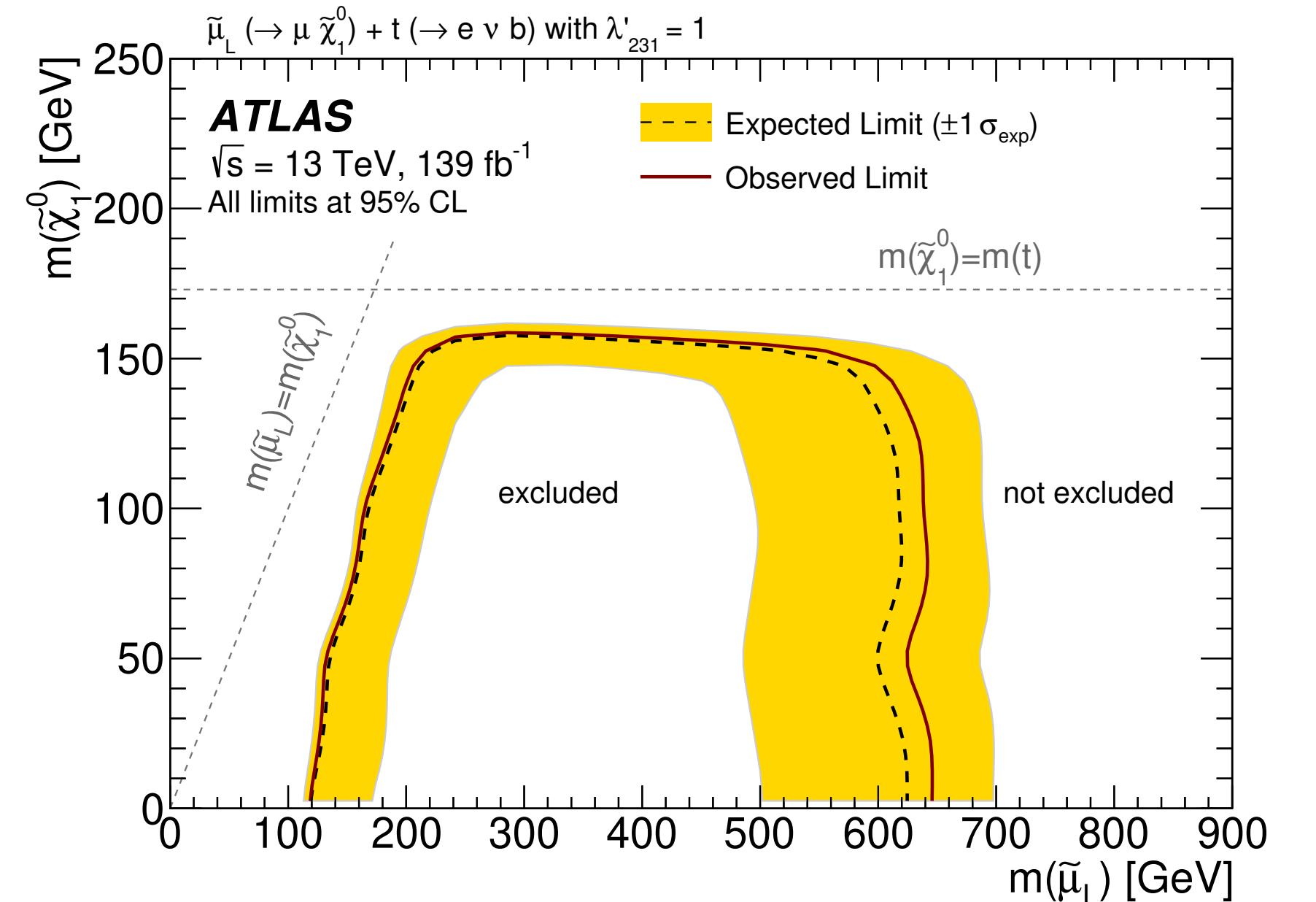
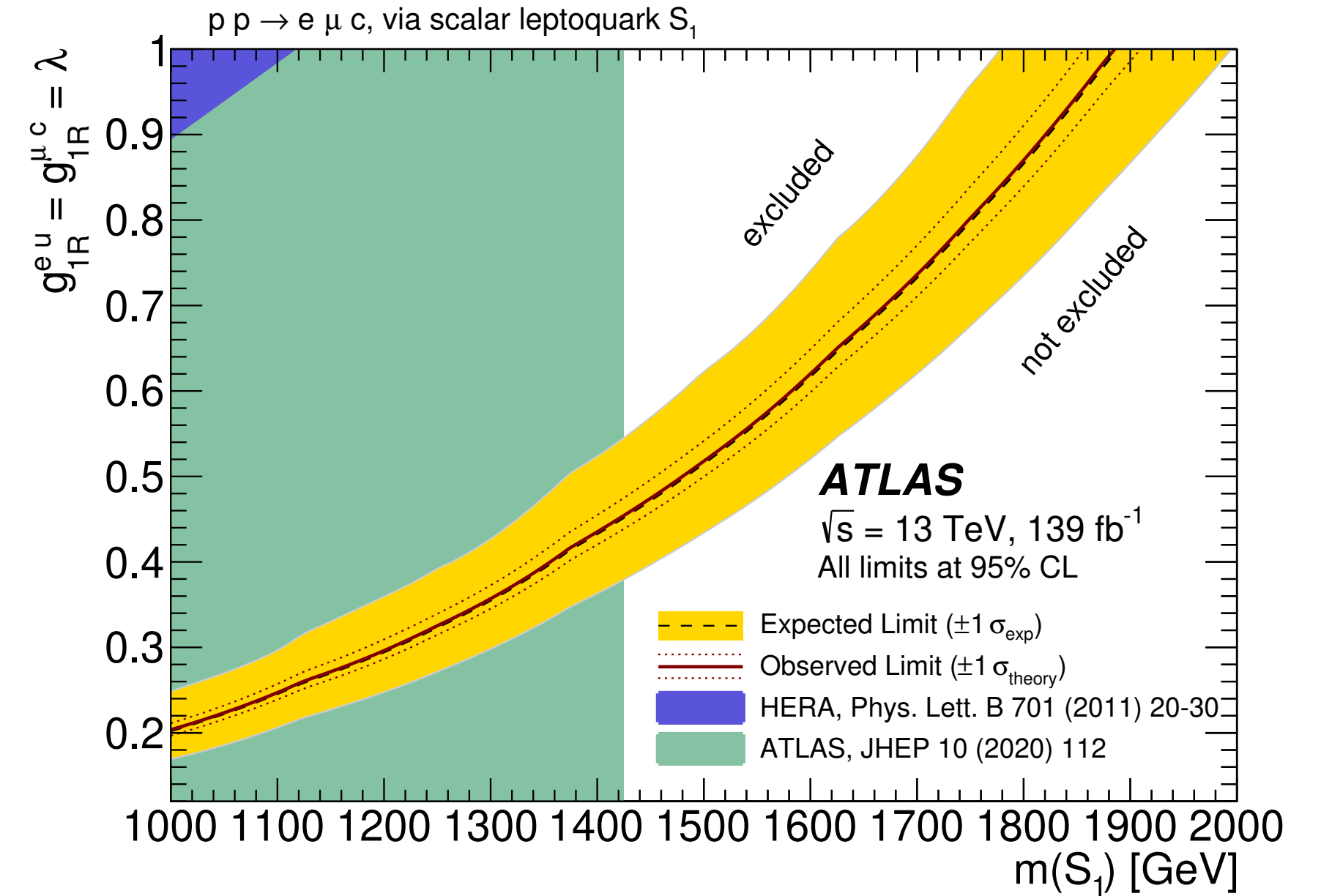
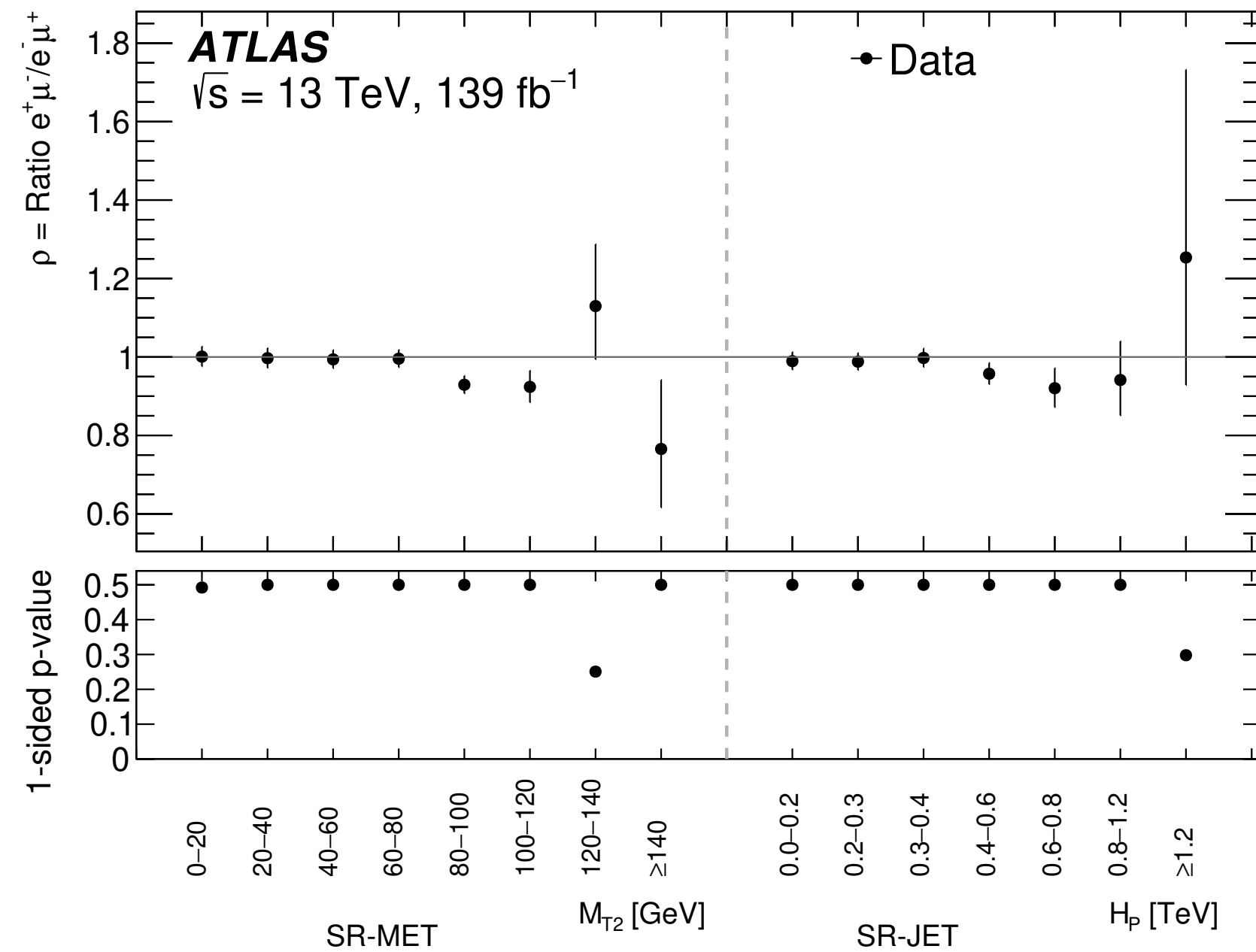
$$\rho = e^+ \mu^- / e^- \mu^+$$



# unexpected asymmetry of $e\mu$

No significant model-independent evidence for  $\rho > 1$  was seen

Set the exclusion limits for the two model

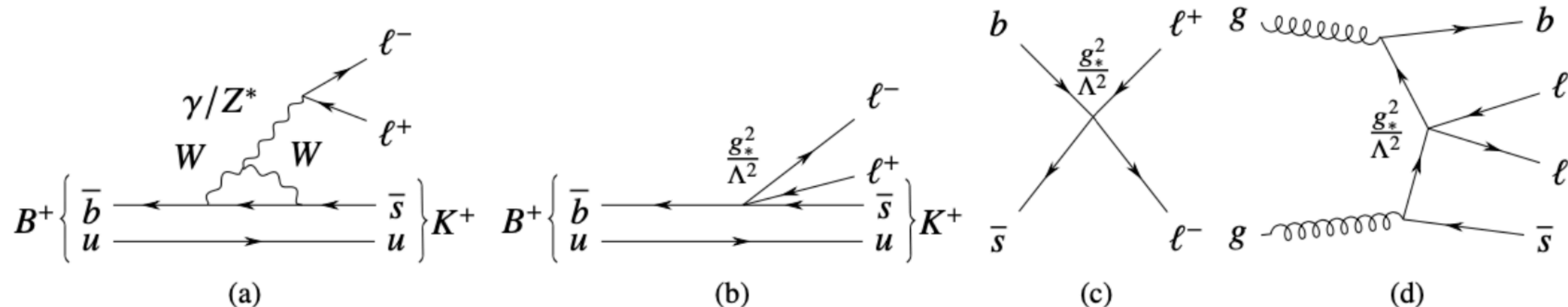
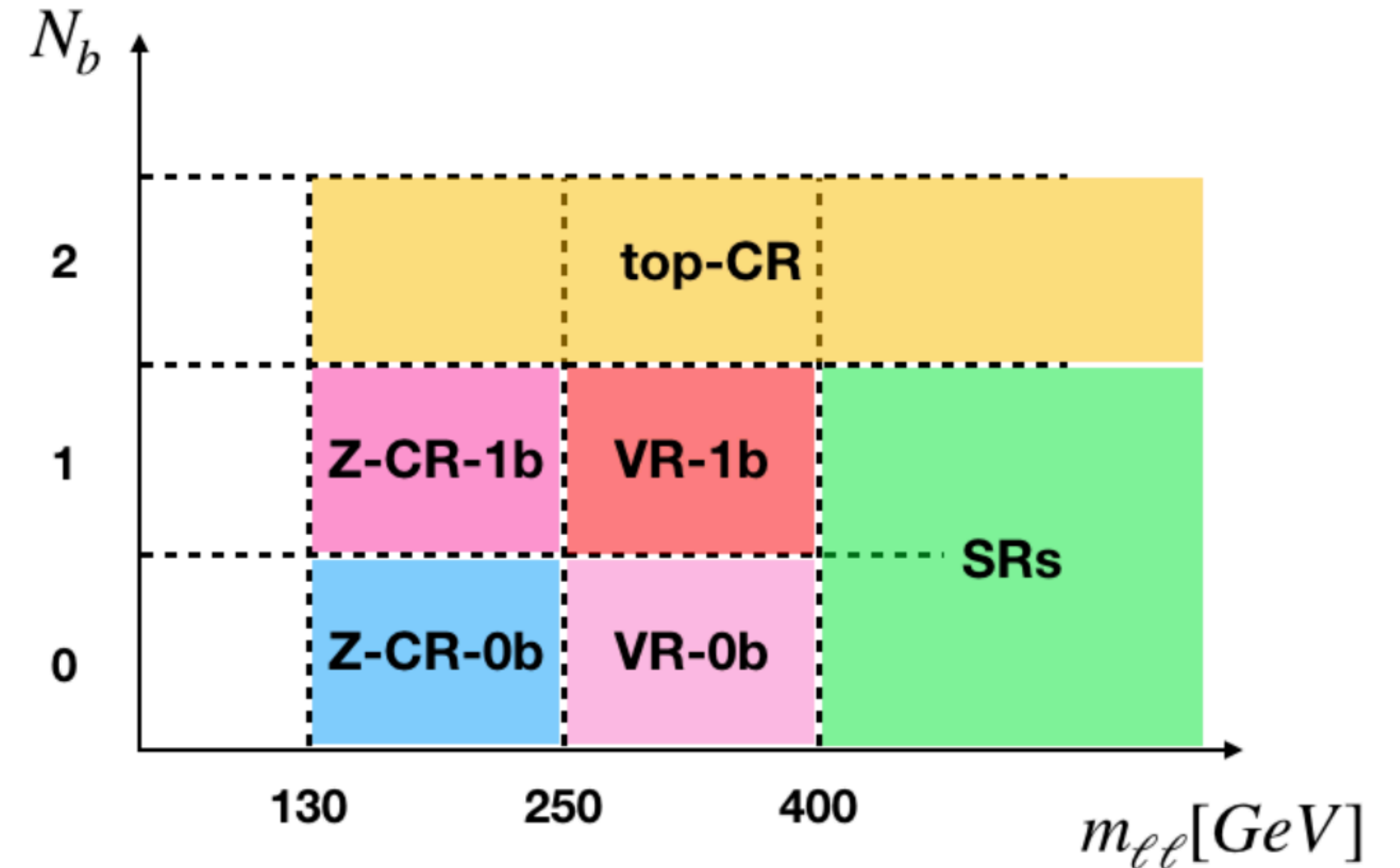


	SR-RPV		SR-LQ	
	$e^+\mu^-$	$e^-\mu^+$	$e^+\mu^-$	$e^-\mu^+$
$M(\tilde{\chi}_1^0, \tilde{\mu}) = (0, 500)$ GeV, $\lambda'_{231} = 1$	191 ± 23	46.8 ± 7.7		
$M(\tilde{\chi}_1^0, \tilde{\mu}) = (50, 250)$ GeV, $\lambda'_{231} = 1$	1160 ± 130	361 ± 97		
$M(S_1) = 1$ TeV, $\lambda = 0.5$			214 ± 15	14.5 ± 1.8
$M(S_1) = 1.25$ TeV, $\lambda = 1.0$			356 ± 53	22.9 ± 3.7
Data	489 ± 22	510 ± 23	60.9 ± 7.8	69.1 ± 8.3
Total SM expectation	503 ± 48	510 ± 26	61 ± 15	69 ± 12
• part due to real leptons	473 ± 47	479 ± 24	47 ± 13	47 ± 11
• part due to fake leptons	29.4 ± 8.2	30.3 ± 8.3	14.1 ± 6.5	22.1 ± 6.6

# $b \rightarrow s \ell \ell$

[Page Link](#)

- Several measurements hint at a possible violation of LFU in rare  $B$ -meson decays into a  $K$  meson and a pair of muons or electrons.
- modeled using an effective field theory with a four-point contact interaction between the fermions involved
- two opposite-charge and same-flavor leptons produced in association with exactly one  $b$  quark or without any  $b$  quarks
- correspond to a  $bs\ell\ell$  operator with  $\Lambda/g \approx 30$  TeV



# b -> s lep lep

Z + jets samples are good statistics.

Top samples suffer from low statistics at the tail - extrapolation.

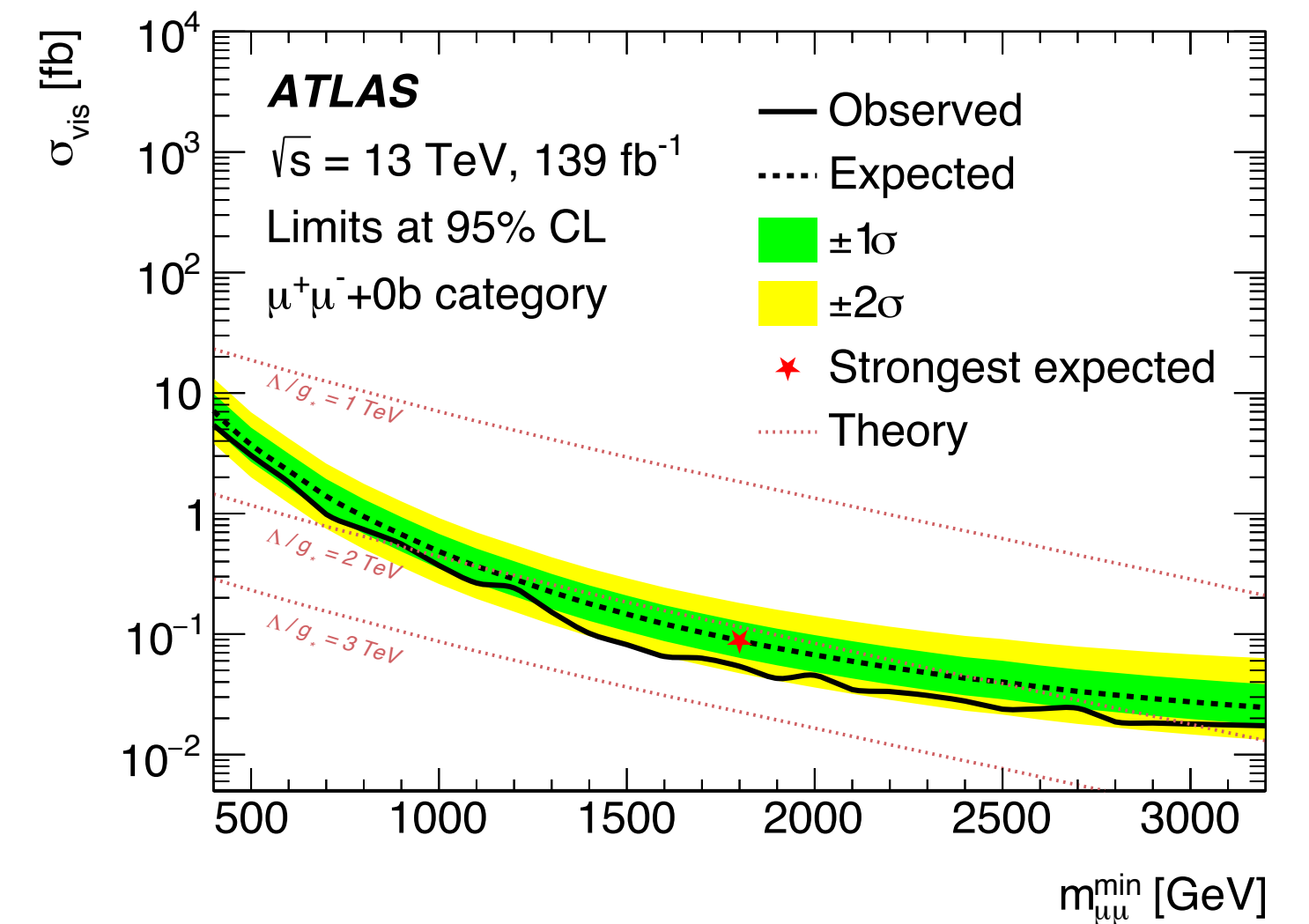
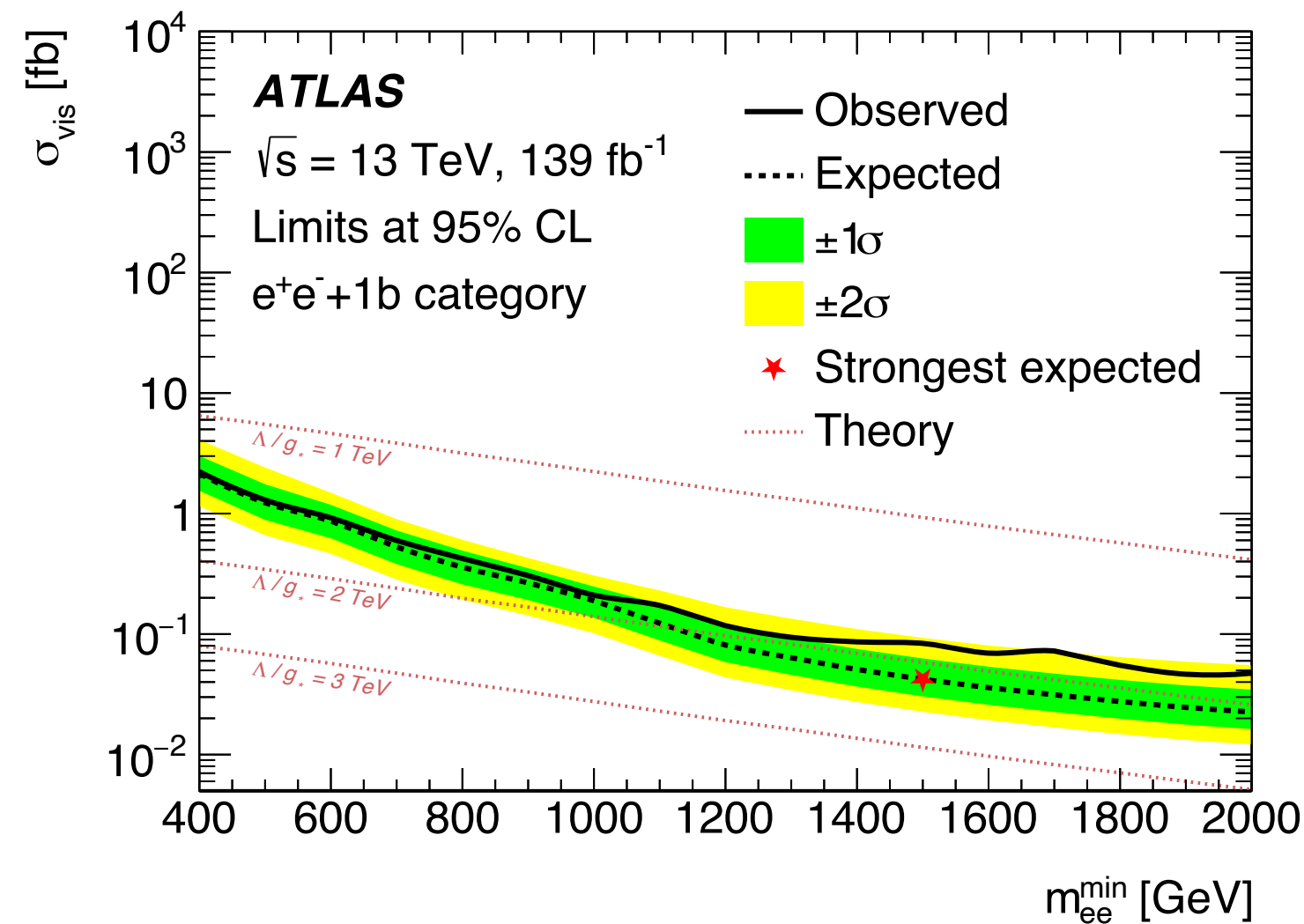
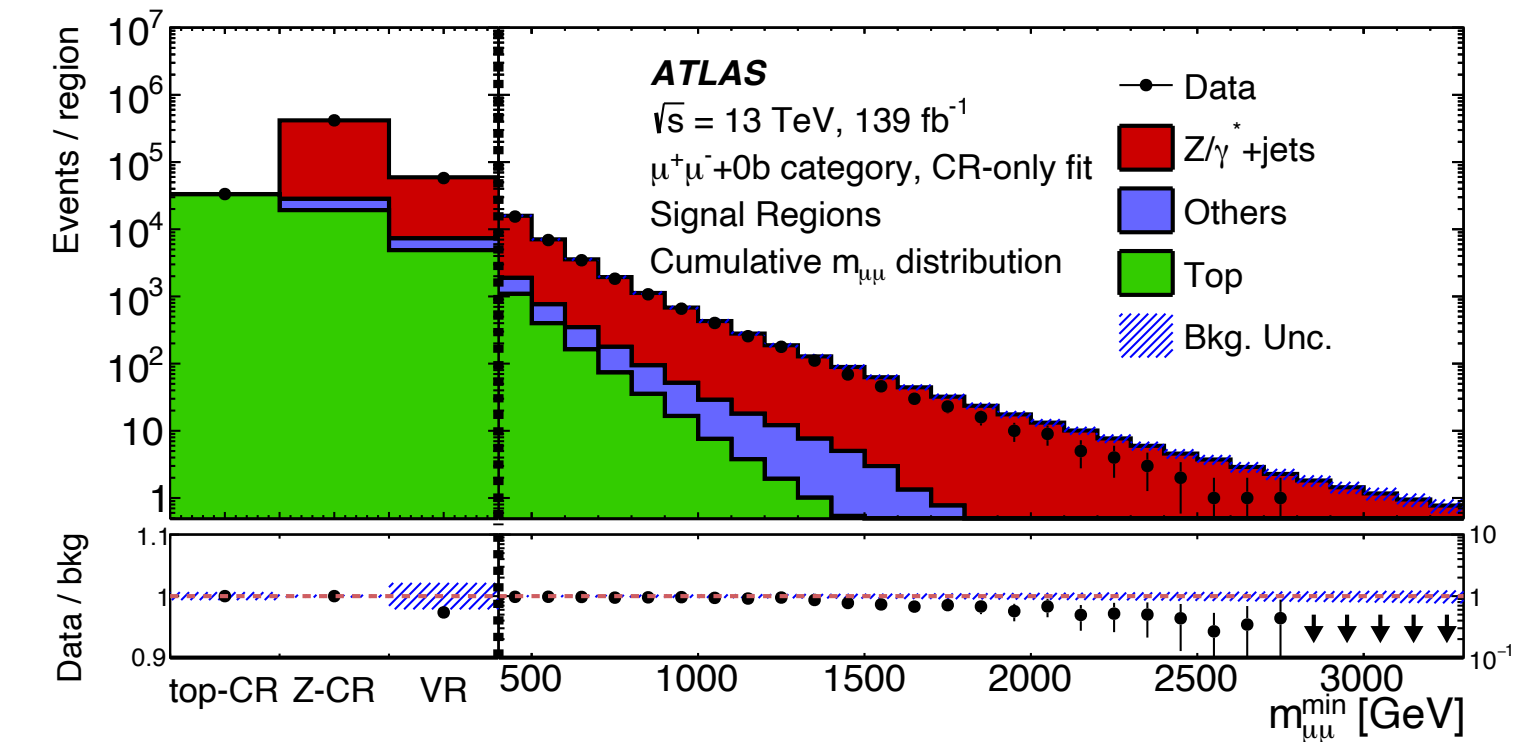
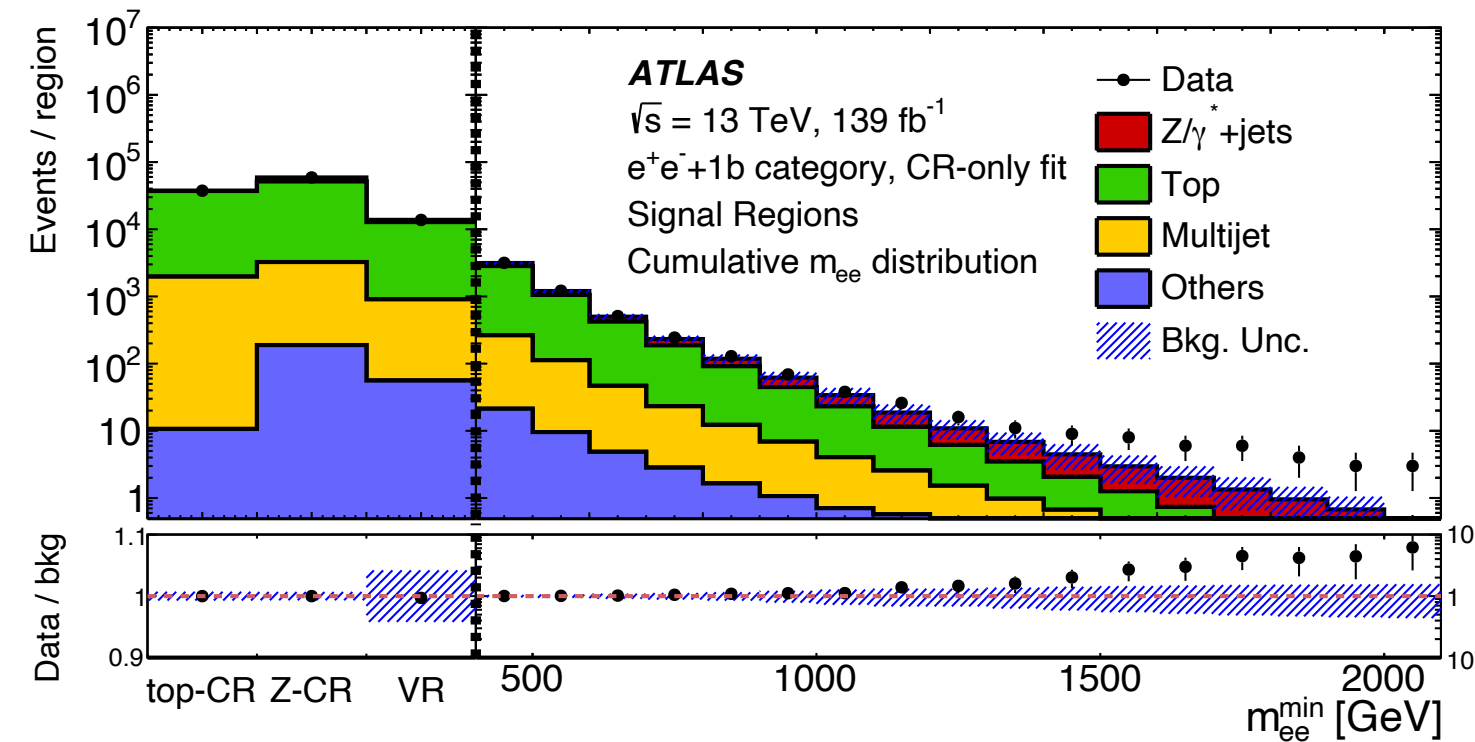
Background of misidentified objects (electron channel only):

- Use data-driven Matrix Method
- Extrapolation is necessary for the high mass bins.

The cumulative mass  $m_{ll}^{min}$  is considered

- contact interactions expect a  $m_{ll}$  tail.

Contact interactions with  $\Lambda/g*$  lower than 2.0 (2.4) TeV are excluded for electrons (muons) at the 95% CL, still far below the value which is favored by the *B*-meson decay anomalies.



# search for leptoquarks

- Leptoquarks (LQ) could explain the anomalies of LFU in B-physics.
- Target pair-produced up-type LQs with  $B(\text{LQ} \rightarrow b\tau) = 1.0$ 
  - ✓ Scalar and Vector (Yang-Mills and Minimal) are considered.
- Two channels:  $\tau_{\text{had}}\tau_{\text{had}}$ ,  $\tau_{\text{had}}\tau_{\text{lep}}$  (lep = e,  $\mu$ ),  $\geq 2$  jets,  $\geq 1$  b-jet
- parametric neural network used as a discriminator

## Signal:

Scalar LQ: Coupling parameters are set as  $\lambda = 0.3$ ,  $\beta = 0.5$

Vector LQ: Minimal coupling scenario and Yang-Mills coupling scenario,  $\beta = 0.5$

## Backgrounds:

✓ Z, W + jets, diboson, SM Higgs (MC)

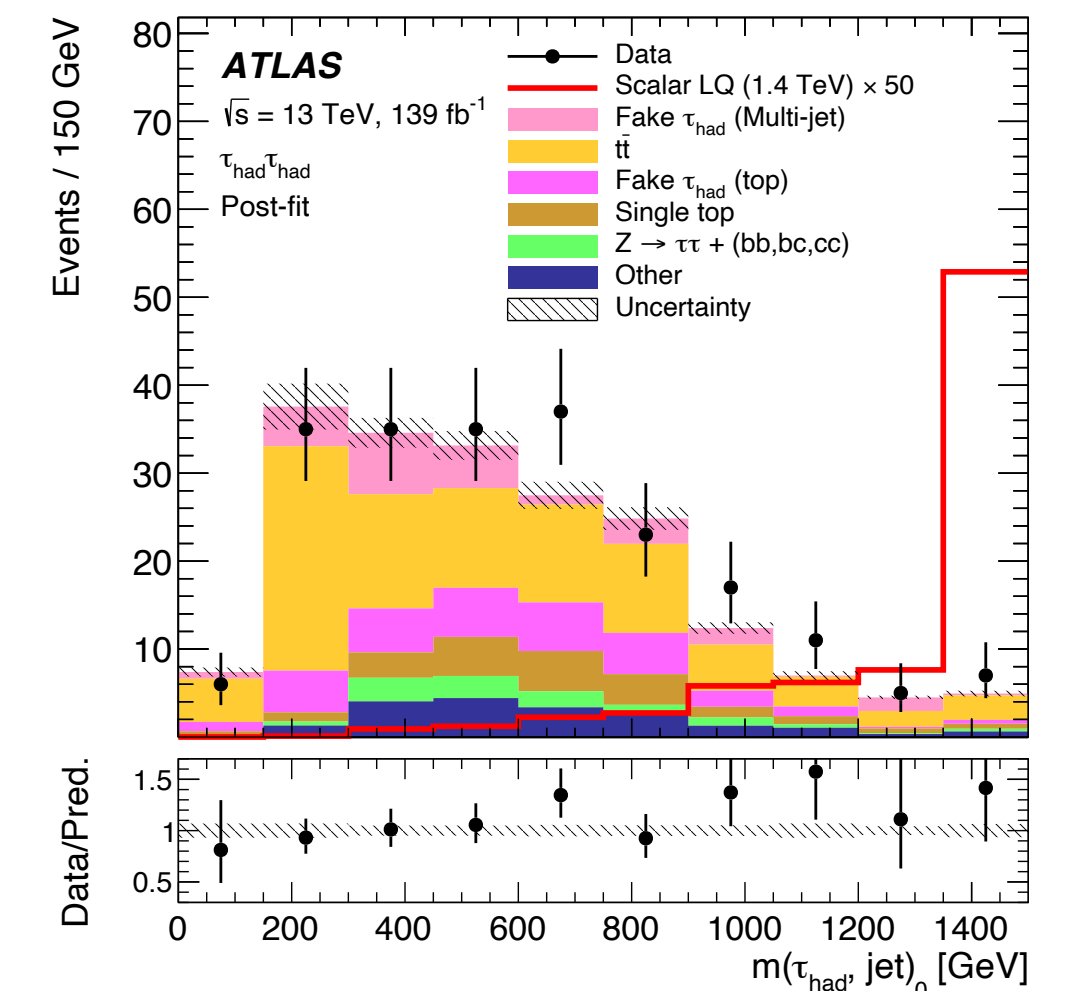
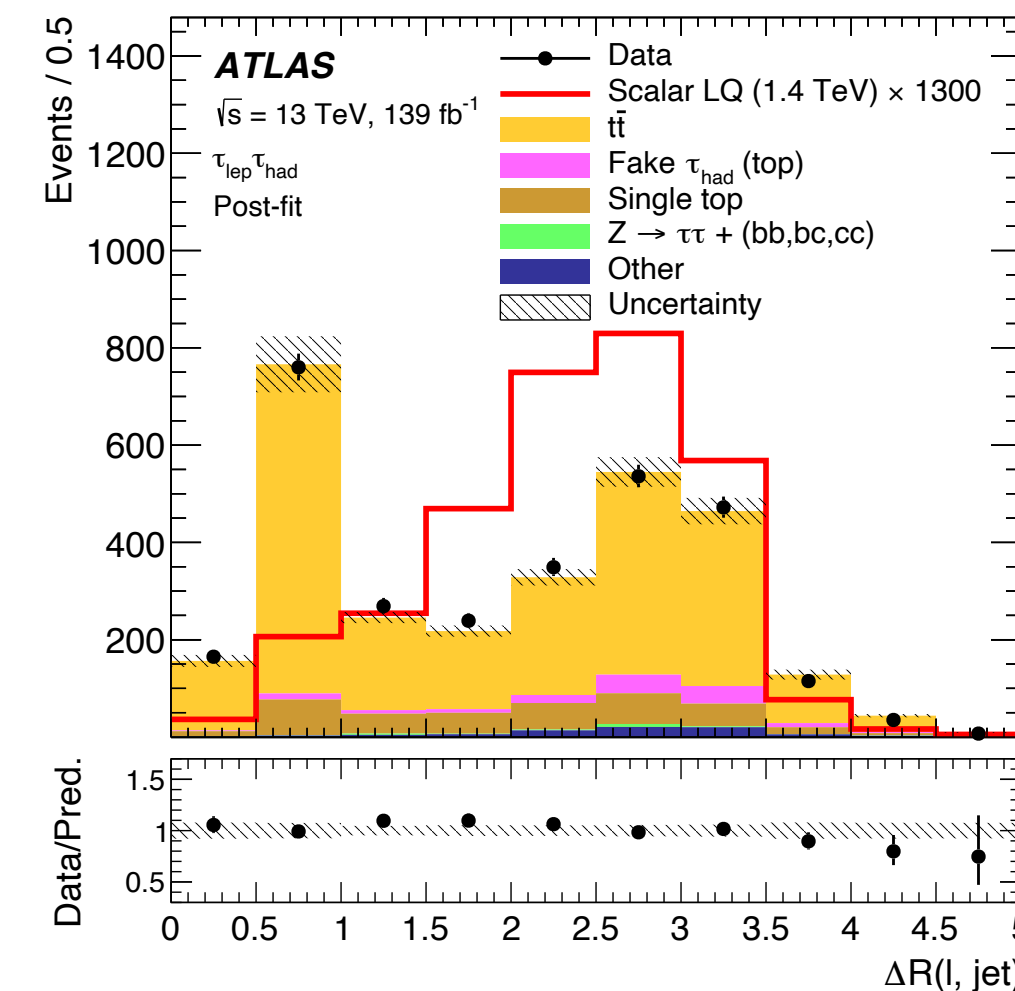
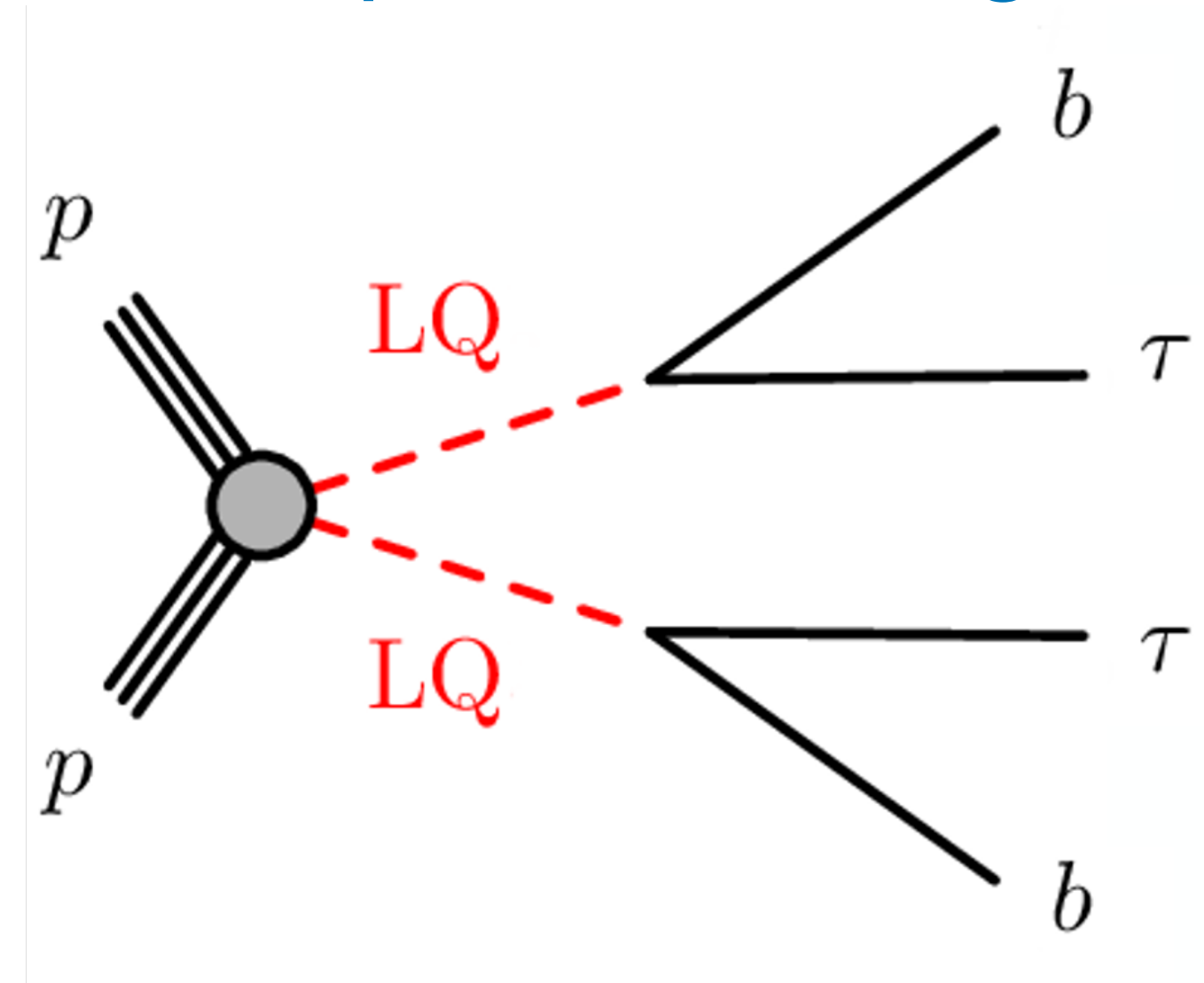
✓ ttbar/single-top: sT dependent Reweighting

✓ Multi-jet with fake  $\tau_{\text{had}}$ :

negligible. (lephad channel)

Estimated by Fake-Factor method. (hadhad channel)

[pair btau Page Link](#)



PNN input variables distribution



# search for leptoquarks

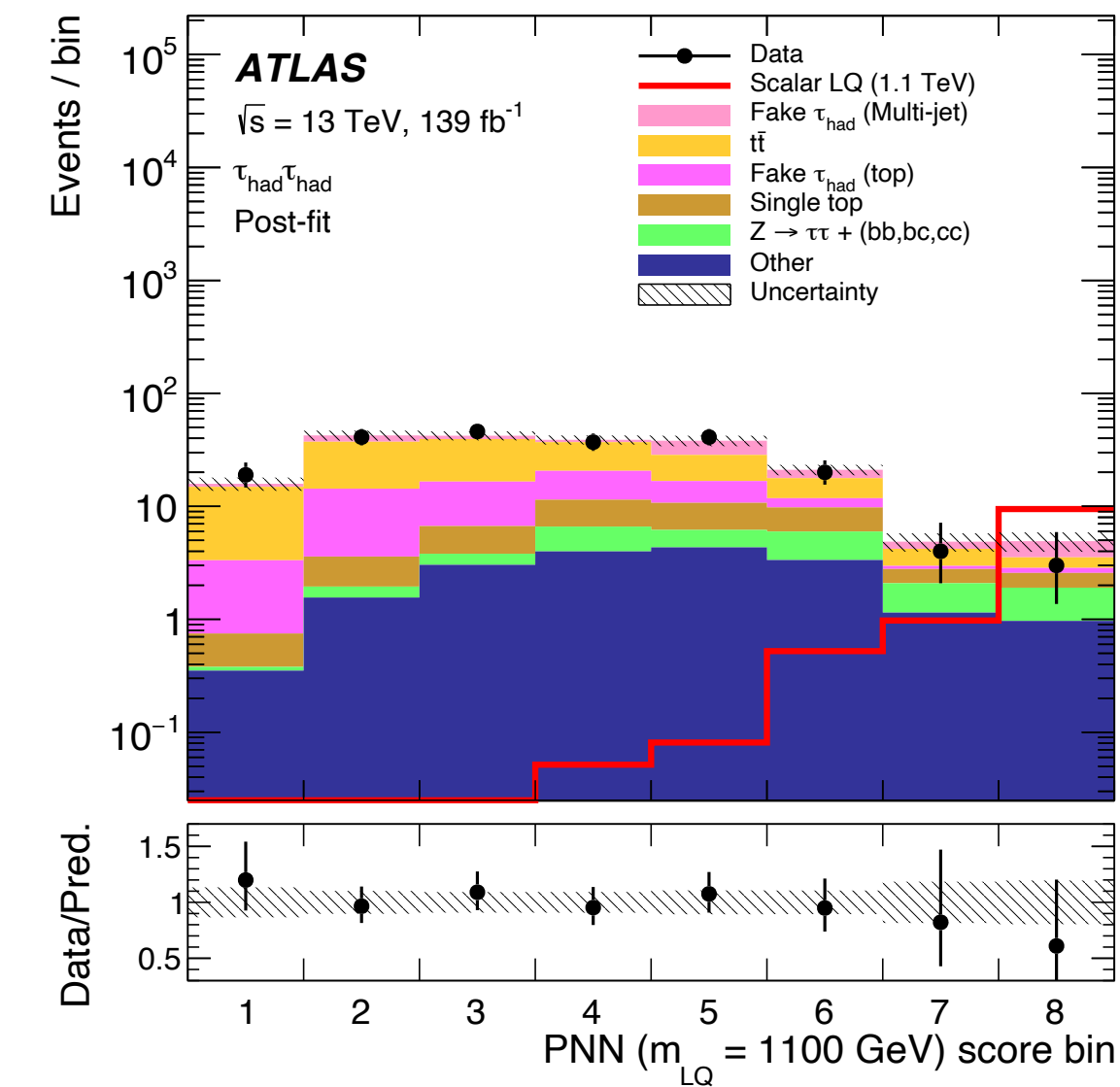
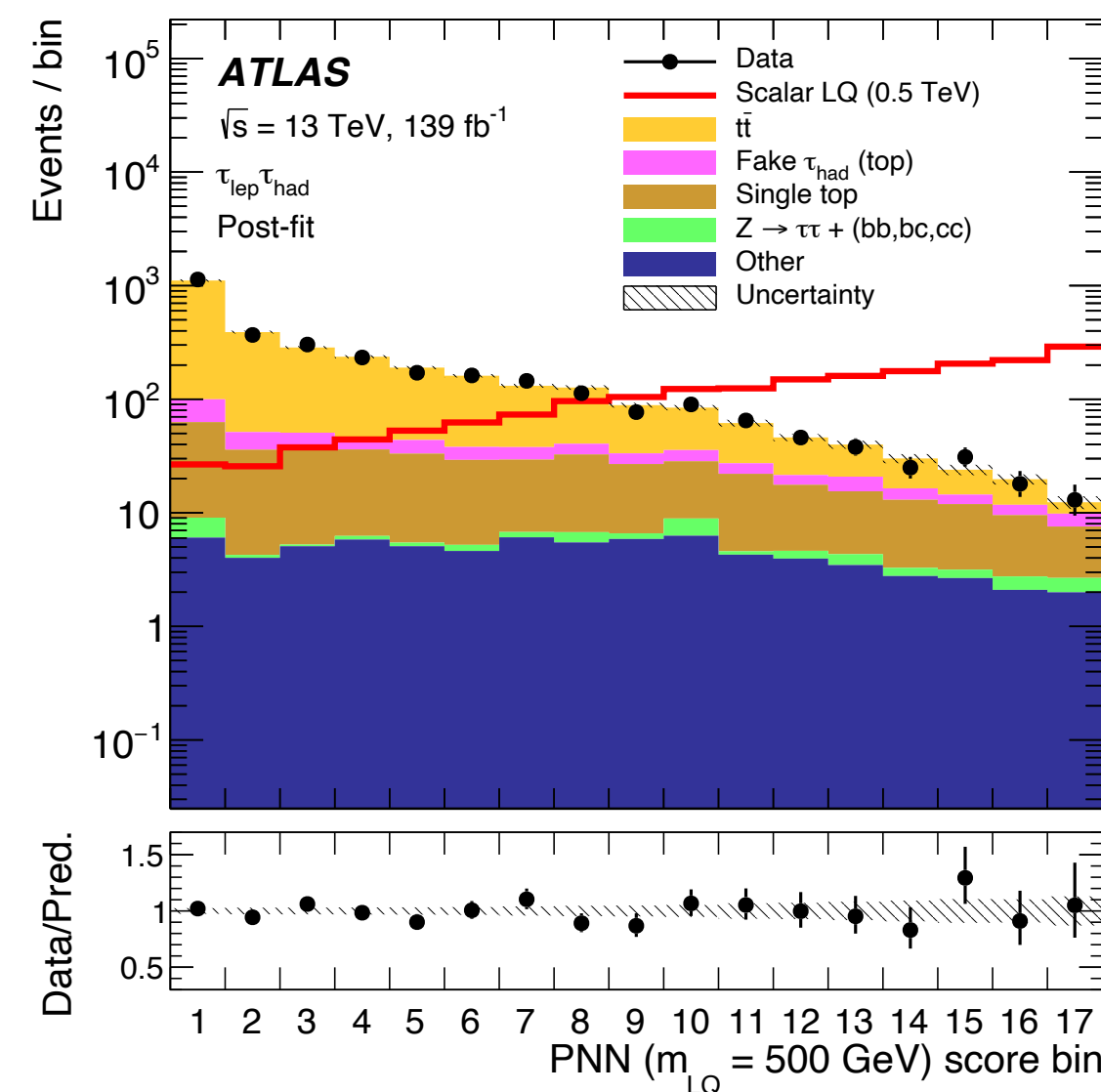
PNN is used to further separate signal and background.

Signal: each mass point of LQ

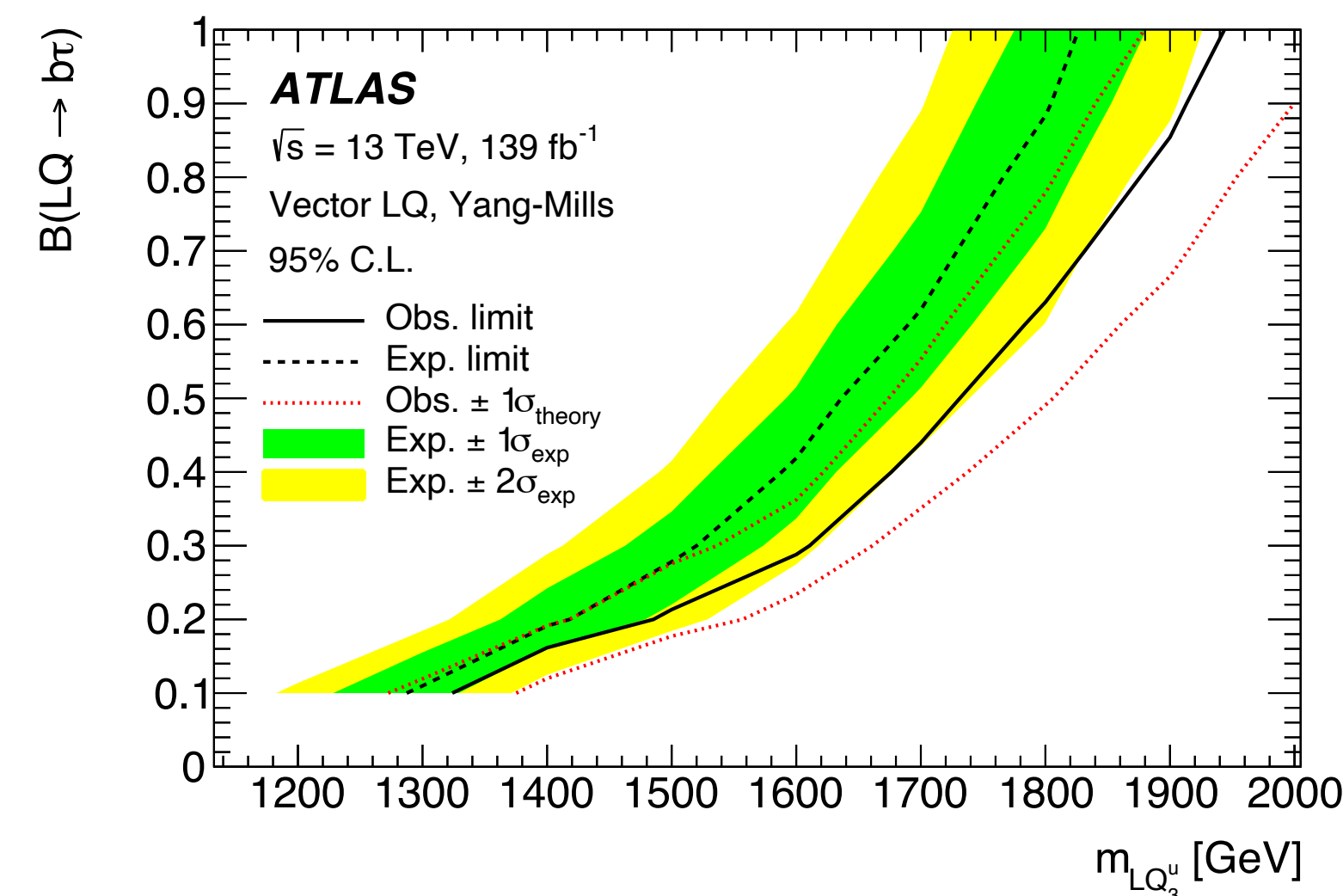
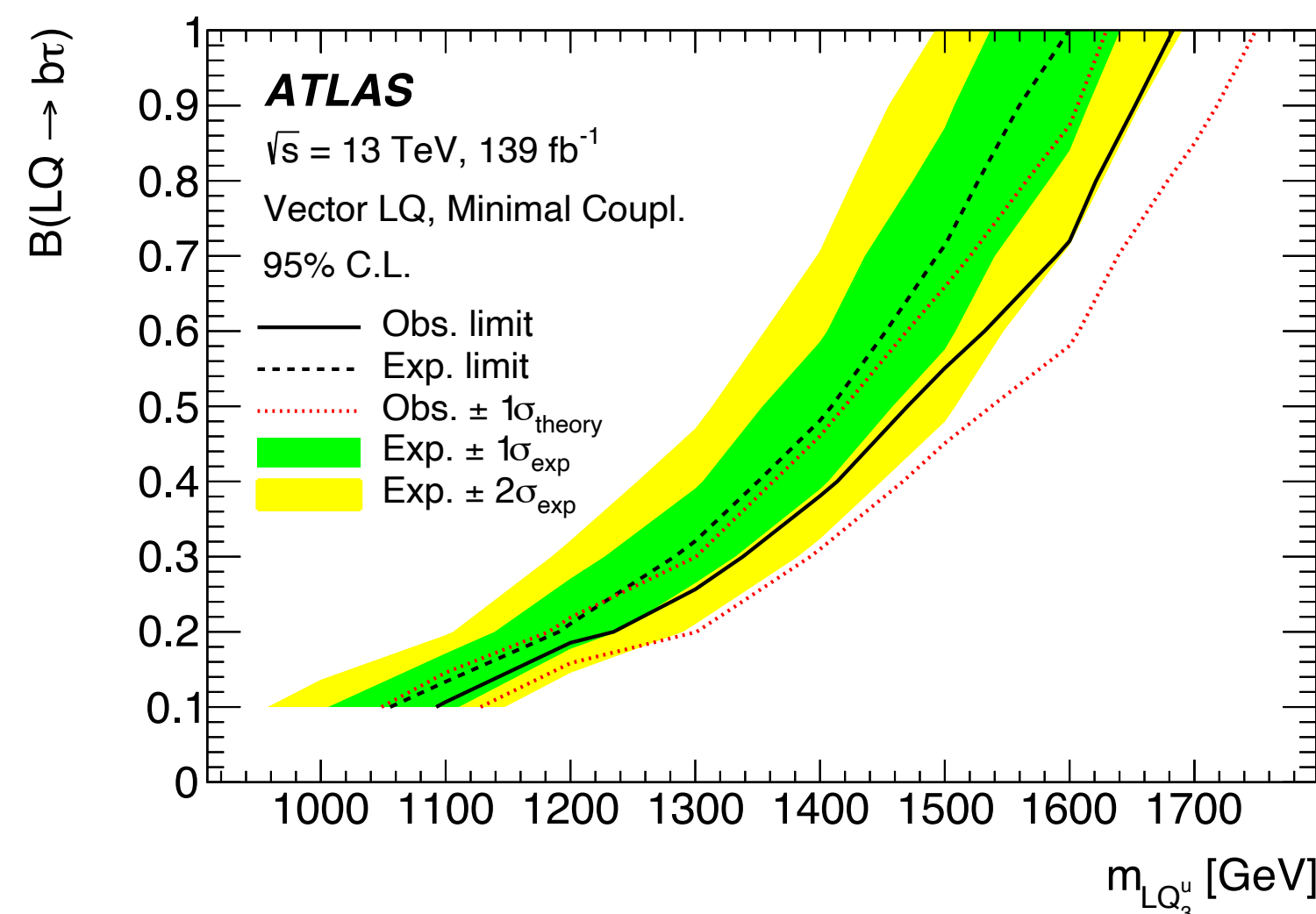
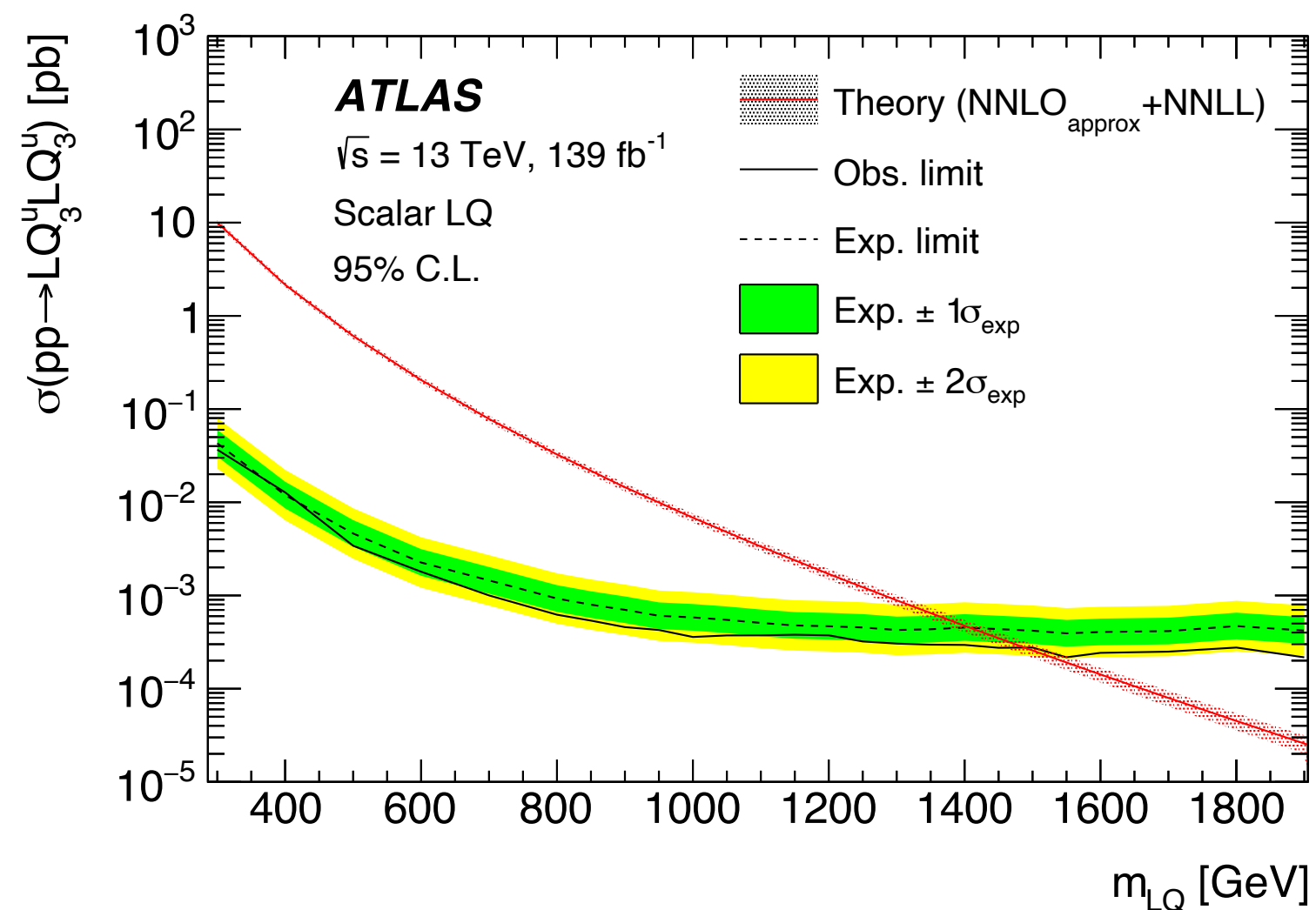
BKG:  $t\bar{t}$  and single top for both true and fake taus for training

$b\tau$  combination is taken by minimizing difference of  $m(b, \tau)$  between both pairs.

Binned profile likelihood fit is performed on PNN distribution



	Obs. limit [GeV]	Exp. limit [GeV]
Scalar LQ	1490	1410
Vector LQ (minimal-coupling)	1690	1600
Vector LQ (Yang–Mills)	1960	1840



# search for leptoquarks

[tau btau Page Link](#)

Search for LQ in  $b\tau\tau$  final states, with all prod. modes included

Two channels:  $\tau_{\text{had}} \tau_{\text{had}}$  and  $\tau_{\text{lep}} \tau_{\text{had}}$

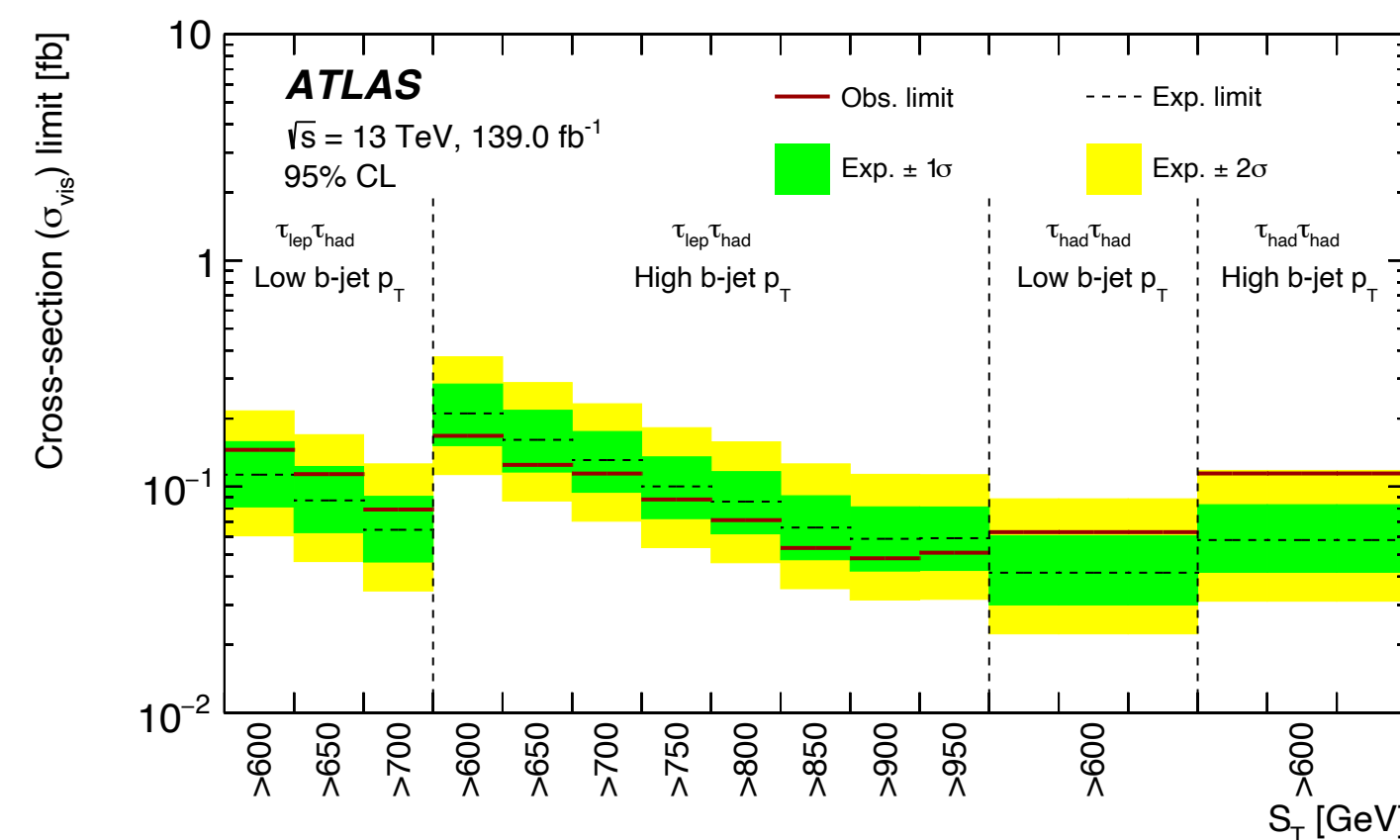
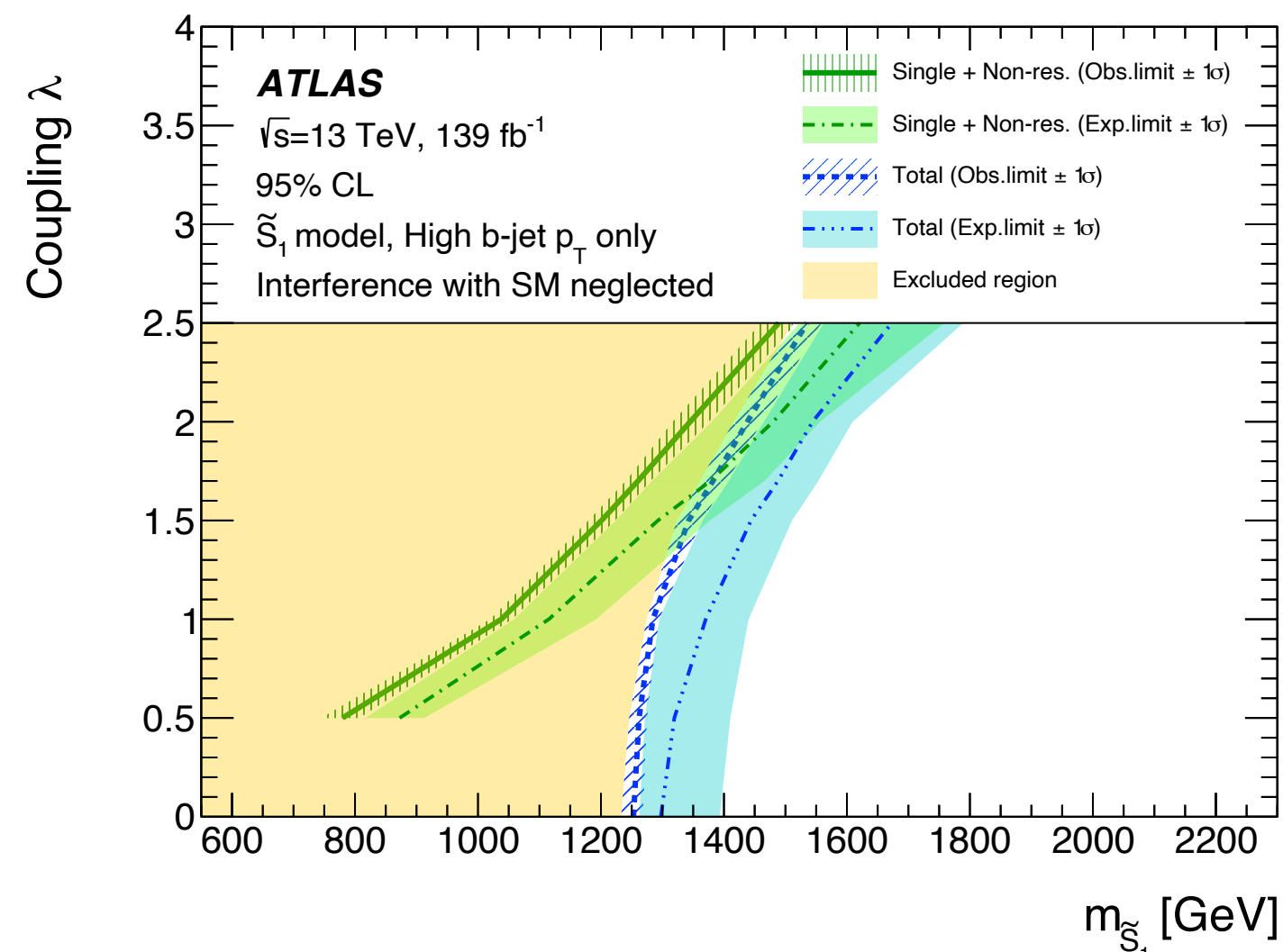
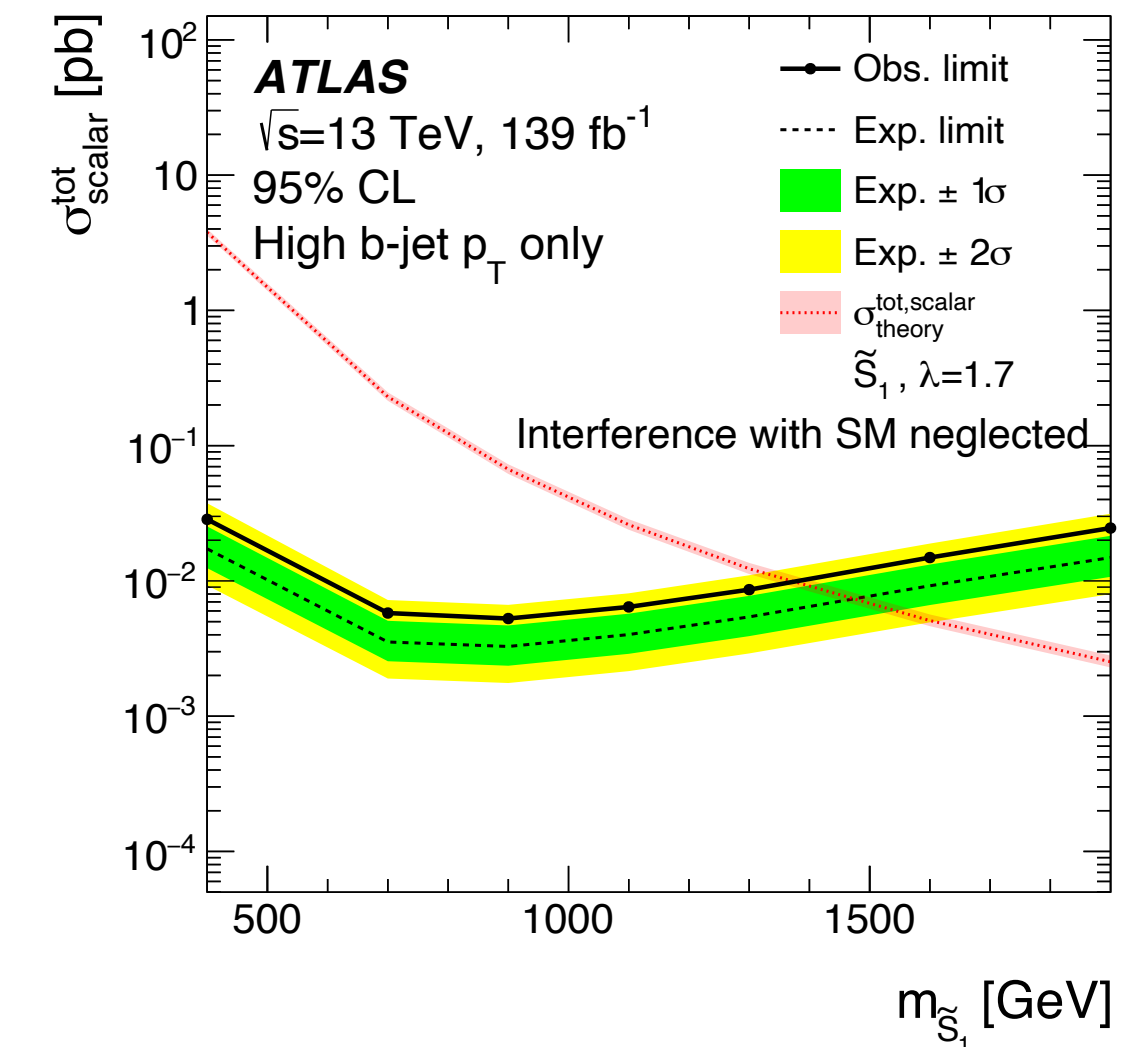
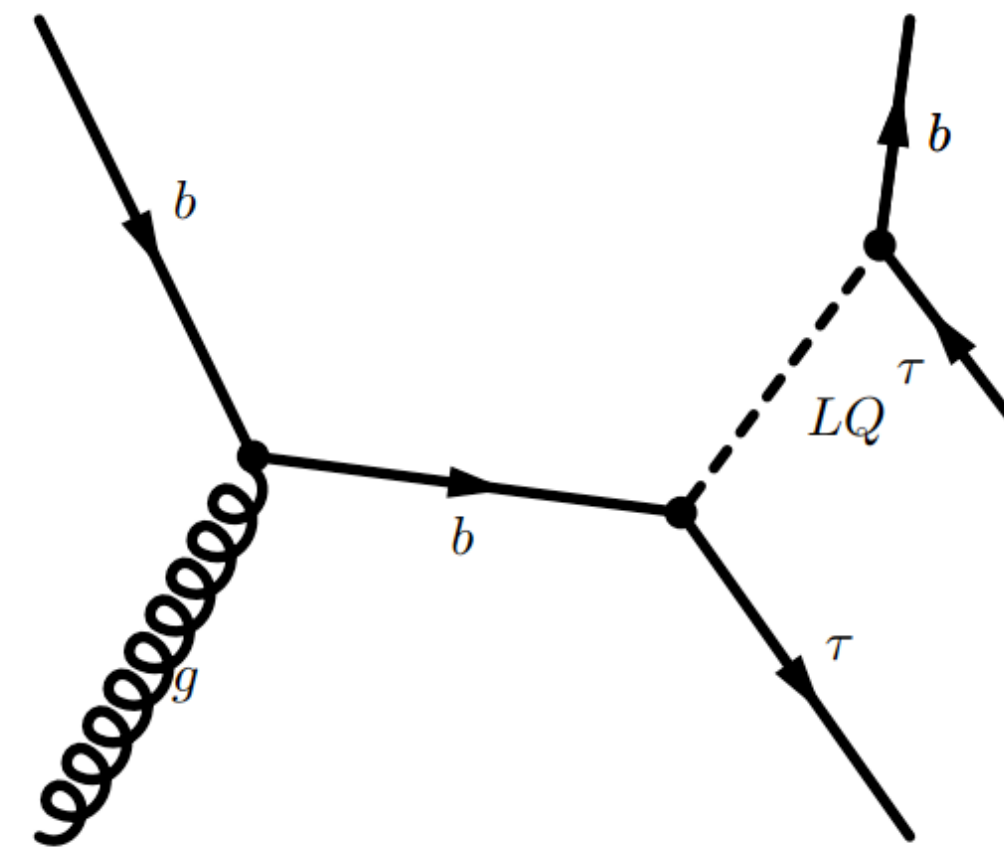
Divided in b-jets  $p_T$

Binned likelihood function in bins of  $s_T$

Simultaneous fit by combining

$\tau_{\text{had}} \tau_{\text{had}}$  and  $\tau_{\text{lep}} \tau_{\text{had}}$  / high  $p_T$  and low  $p_T$

No significant excess observed in data



Model	$\lambda = 1.0$	$\lambda = 1.7$	$\lambda = 2.5$
Single+non-resonant $U_1^{YM}$ production	1.31 (1.43)	1.59 (1.73)	2.03 (2.27)
Single+non-resonant $U_1^{MIN}$ production	1.15 (1.24)	1.45 (1.58)	1.98 (2.26)
Single+non-resonant+pair $U_1^{YM}$ production	1.58 (1.64)	1.70 (1.81)	2.05 (2.28)
Single+non-resonant+pair $U_1^{MIN}$ production	1.35 (1.44)	1.52 (1.63)	1.99 (2.26)
Single+non-resonant $\tilde{S}_1$ production	1.04 (1.11)	1.26 (1.38)	1.49 (1.62)
Single+non-resonant+pair $\tilde{S}_1$ production	1.28 (1.37)	1.38 (1.49)	1.53 (1.67)

# Summary

- Recent studies of lepton flavour universality (LFU) violation on the ATLAS detector are shown
- No significant evidence of the BSM is observed
- Look forward to Run3 and HL-LHC with much more data!

*Thank you !*

**Back up**

# Z boson $\rightarrow e\mu$

Fit model

$$\mathcal{L} = \prod_{i=1}^N P_i = \prod_{i=1}^N \frac{\mu_i^{n_i} e^{-\mu_i}}{n_i!}$$

$$= \prod_{i=1}^N \frac{(N_{sig} \cdot F_{sig} + N_{\tau\tau} \cdot F_{\tau\tau} + N_{\mu\mu} \cdot F_{\mu\mu} + N_{cmb} \cdot F_{cmb})_i^{n_i} e^{-(N_{sig} \cdot F_{sig} + N_{\tau\tau} \cdot F_{\tau\tau} + N_{\mu\mu} \cdot F_{\mu\mu} + N_{cmb} \cdot F_{cmb})_i}}{n_i!},$$

$$Br(Z \rightarrow e\mu) = \frac{N_{signal}}{\epsilon_{sig} \times N_Z} \quad N_Z = \sqrt{\left( \frac{N_{ee}^{Data}}{\epsilon_{ee} Br(Z \rightarrow ee)} \times \frac{N_{\mu\mu}^{Data}}{\epsilon_{\mu\mu} Br(Z \rightarrow \mu\mu)} \right)}$$

	Best-fit contribution in mass window	
	[70, 110] GeV	[85, 95] GeV
Background		
$Z \rightarrow \tau\tau$	$13716 \pm 185$	$951 \pm 13$
$Z \rightarrow \mu\mu$	$1557 \pm 209$	$533 \pm 72$
Non-resonant	$4105 \pm 259$	$1075 \pm 68$

## Three kinds of systematic uncertainties:

- From experimental object: jet, electron, muon, MET, pileup, btag
  - Affect the  $Z \rightarrow e\mu/ee/\mu\mu$  efficiency(cancel each other in the ratio) and the signal/background shape
- Statistical fluctuation due to the limit size of MC sample for each bin from signal/background model template
  - Affect the signal&background shape
- Other uncertainties:
  - Uncertainties from reweighting: to cancel theory/model uncertainties from the ratio
  - Uncertainties from Z boson number
  - From MC, <0.1%

Source of uncertainty	Degradation of $\mathcal{B}^{95\%CL}(Z \rightarrow e\mu)$
Statistical uncertainty in MC samples	9.5%
$Z \rightarrow \tau\tau$	4.7%
$Z \rightarrow \mu\mu$	6.1%
All other sources	2.4%
Jet energy scale and resolution	1.2%
Pileup	1.2%
Electron energy scale and resolution	0.8%
Lepton efficiency	0.7%
$b$ -tagging	0.6%
Muon resolution and bias correction	0.6%

# Z boson $\rightarrow e\tau$ or $\mu\tau$ lep channel

## Fake Factor method

Estimate fakes of the subleading-pT  $\ell_2$  with fake factor method in same-sign region

Pass or fail isolation (FCTight) for  $\ell_2$  ( $\ell_1$  always required to pass isolation)

NN:

- Trained against the dominant backgrounds:  $Z \rightarrow \tau\tau$ , di-boson, and  $t\bar{t}$ +single-t
- Combining individual output scores to a single score used in the fitting
- Low-level variables: four-momenta of the two leptons and  $E_{\text{miss}}$
- High-level variables:  $m(e, \mu)$ ,  $m_{\text{coll}}(e, \mu)$ ,  $\Delta\alpha(e, \mu)$

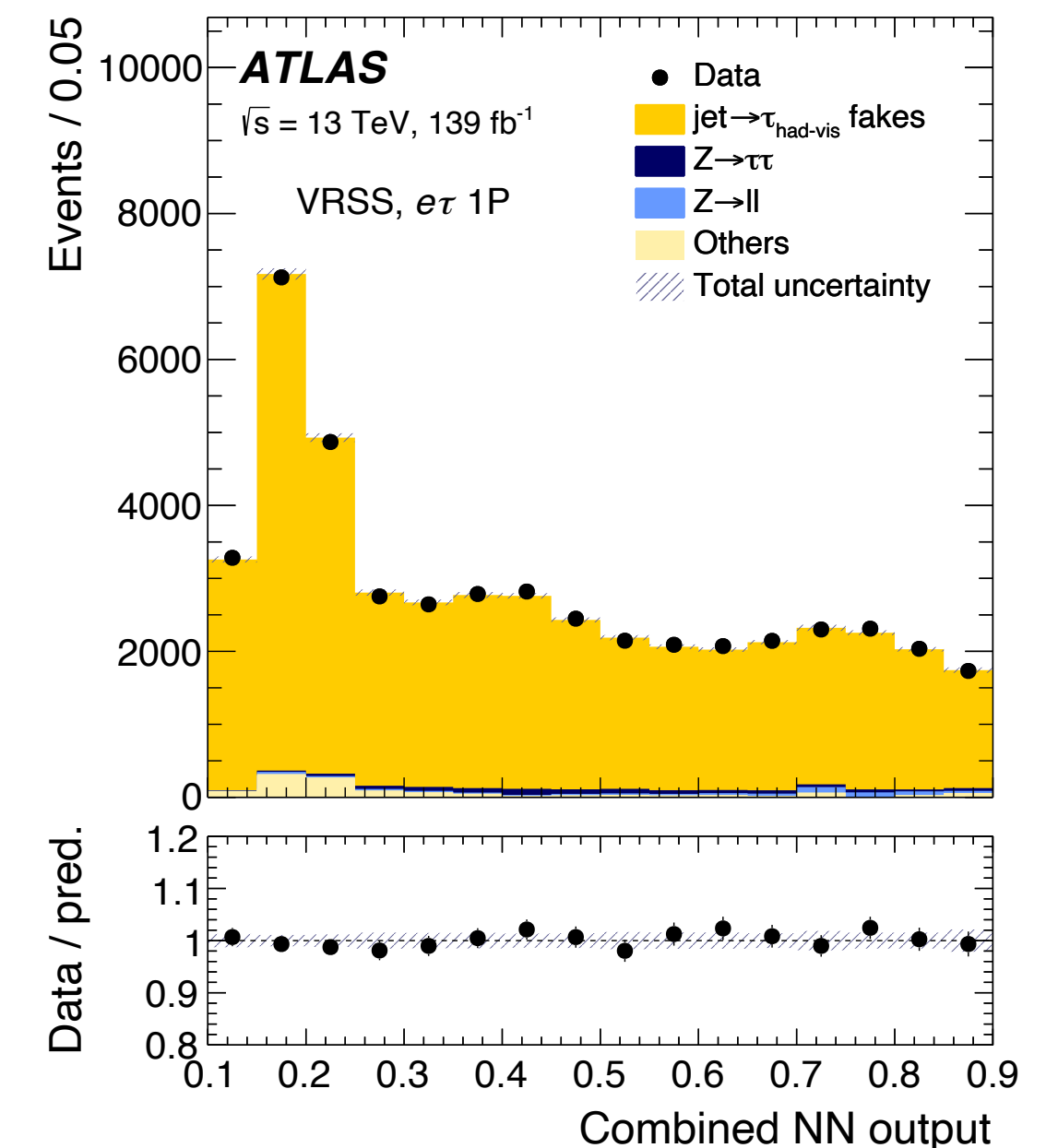
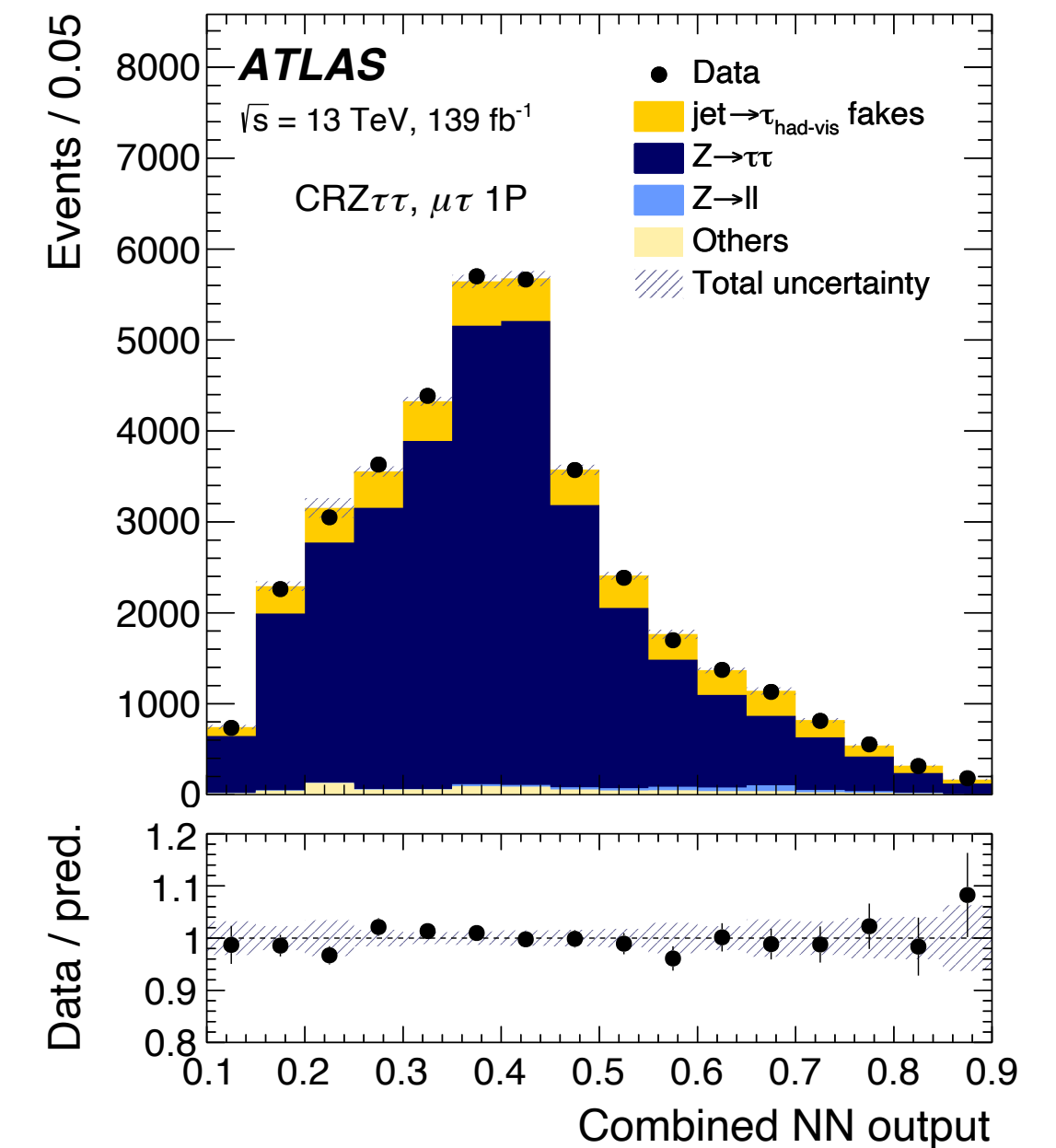
$$\text{combined NN output} = 1 - \sqrt{\frac{1}{3} \sum_{i=1}^3 (1 - \text{NN}_i)^2}.$$

Selection criterion	Purpose
Exactly two isolated light leptons ( $\ell_0, \ell_1$ ) with opposite electric charge and different flavor ( $e$ or $\mu$ ); $p_T(\ell_0) > p_T(\ell_1)$	Select events consistent with signal decays.
No $\tau_{\text{had-vis}}$ candidate	Complementarity to the $\ell\tau_{\text{had}}$ channel.
Transverse mass <sup>1</sup> $m_T(\ell_1, \mathbf{E}_T^{\text{miss}}) < 35$ GeV $ \Delta\phi(\ell_0, \mathbf{E}_T^{\text{miss}})  > 1$ rad No $b$ -tagged jets (using the 77% efficiency working point)	Reject top-quark and diboson events.
Invariant mass of the $\ell_0$ - $\ell_1$ pair $m(\ell_0, \ell_1) > 40$ GeV	Reject events incompatible with $Z$ -boson decays.
Neural network (optimized for signal vs. $Z \rightarrow \tau\tau$ ) output $> 0.2$	Complementarity to the CRZ $\tau\tau$ region.
In $\mu\tau_e$ channel: $p_T^{\text{track}}(e)/E_T^{\text{cluster}}(e) < 1.1$	Reject $Z \rightarrow \mu\mu$ events.

Uncertainty in $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]		
Source of uncertainty	$e\tau$	$\mu\tau$
Statistical	$\pm 3.5$	$\pm 3.9$
Fake leptons (statistical)	$\pm 0.1$	$\pm 0.1$
Systematic	$\pm 2.7$	$\pm 3.4$
Light charged leptons	$\pm 0.4$	$\pm 0.4$
$E_T^{\text{miss}}$	$\pm 0.4$	$\pm 0.8$
Jets	$\pm 1.9$	$\pm 2.2$
Flavor tagging	$\pm 0.5$	$\pm 0.9$
Z-boson modeling	$< 0.1$	$\pm 0.1$
$Z \rightarrow \mu\mu$ yield	–	$\pm 0.8$
Other backgrounds	$\pm 0.1$	$\pm 0.6$
Fake leptons (systematic)	$\pm 0.4$	$\pm 0.9$
Total	$\pm 4.4$	$\pm 5.2$

# Z boson $\rightarrow e\tau$ or $\mu\tau$ had channel

- Five NN classifiers trained in each channel for signal vs the major backgrounds:
  - 1P  $Z \rightarrow \tau\tau$ , 1P  $W$ +jets (fakes), 1P  $Z \rightarrow \ell\ell$
  - 3P  $Z \rightarrow \tau\tau$ , 3P  $W$ +jets (fakes)
- Training samples
  - MC samples in SR without  $m_{vis}$  and NN output cuts
  - For samples with real taus ( $Z \rightarrow \tau\tau$  and signal), tau ID is relaxed (Tight  $\rightarrow$  Loose)
- Inputs: Mix of low- and high-level kinematic variables
  - Low-level: 4-momenta of  $\ell$ ,  $\tau$  and  $E_T$  miss (boosted and rotated to remove symmetries)
  - High-level:  $m_{vis}$ ,  $m_{coll}$ ,  $\Delta\alpha$  (and  $m_{\ell, \tau}$  track for the  $Z \rightarrow \ell\ell$  classifier)
- Final discriminant created by combining the different classifier outputs
  - Outputs of different classifiers are weighted differently ( $w_{bkg}$ 's)
  - Improve separation of different backgrounds along the NN output : 1. better handle of each background. 2. lower post-fit uncertainty 3. better sensitivity
  - Optimised by a grid search that looks for best expected limit
- Modelling of the NN output distribution
  - Validated in CRZ $\tau\tau$  (for  $Z \rightarrow \tau\tau$ ) and VRSS (for fakes) [VRSS = SR but with same-sign  $\ell\tau$  pair (renamed from SS SR)]



# Z boson $\rightarrow e\tau$ or $\mu\tau$ had channel

The fake factor (FF) method:

- Process-specific fake factors ( $F_p$ ) derived in four fakes-enriched regions (FR)
  - $W$ +jets ; pure-QCD multijet ;  $Z \rightarrow \ell\ell$  +jets ;  $t\bar{t}$  (in descending order of importance in SR)
  - $F_p$  is the ratio of events passing  $\tau$  ID (Tight) to those failing (Loose but not Tight)
- The final (region-specific) fake factor ( $F_r$ ) is the weighted average of  $F_p$

Main selection criteria	Purpose
At least one $\tau_{\text{had-vis}}$ candidate Exactly one isolated light lepton Opposite-sign charged $\ell$ - $\tau_{\text{had-vis}}$ pair	Select events with $\ell$ - $\tau$ pair candidate
$m_T(\tau_{\text{had-vis}}, E_T^{\text{miss}}) < 35$ GeV	Reject $Z \rightarrow \tau\tau$ and $W$ +jets events
$m_{\text{vis}}(\ell, \tau_{\text{had-vis}}) > 60$ GeV	Invariant mass of the $\ell$ - $\tau_{\text{had-vis}}$ pair. Reject events incompatible with $\ell$ - $\tau$ pairs from $Z$ -boson decays
No tagged $b$ -hadron jets	Reject $t\bar{t}$ and single-top-quark events
Combined neural network output $> 0.1$ ( $0.2$ ) for events with 1P (3P) $\tau_{\text{had-vis}}$ candidates	Reject background-like events
NN (optimized for signal versus $Z \rightarrow \ell\ell$ ) output $> 0.2$	Ensure orthogonal region for correcting $Z \rightarrow \ell\ell$ simulation ( $\ell$ misidentified as 1P $\tau_{\text{had-vis}}$ candidate, see Section ??)

## Fit Model

- Four unconstrained normalisation factors (NF):
  - $\mu_S$  : signal strength modifier  $\propto \text{BR } Z \rightarrow \ell\tau$  (parameter of interest)
  - $\mu_Z$  : normalisation of  $Z$  samples with real  $\ell\tau_{\text{had}}$  final state ( $Z \rightarrow \tau\tau$  and  $Z \rightarrow \ell\tau$ )
  - $\mu_{1P}$  fakes /  $\mu_{3P}$  fakes : normalisation of 1-prong / 3-prong fakes
- Fit Regions:
  - SR: binned in combined NN output score
    - Low-score bins: constrain backgrounds
    - High-score bins: constrain signal
  - CRZ $\tau\tau$ : binned in  $m_{\text{coll}}$  ○ Helps constrain normalisations and TES systematics
  - Independent fits for  $e\tau$  and  $\mu\tau$
  - 1P and 3P events are separated but fitted simultaneously

Source of uncertainty	Uncertainty on $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]	
	$e\tau$	$\mu\tau$
Statistical	$\pm 3.5$	$\pm 2.8$
Systematic	$\pm 2.3$	$\pm 1.6$
$\tau$ leptons	$\pm 1.9$	$\pm 1.5$
Energy calibration	$\pm 1.3$	$\pm 1.4$
Jet rejection	$\pm 0.3$	$\pm 0.3$
Electron rejection	$\pm 1.3$	
Light leptons	$\pm 0.4$	$\pm 0.1$
$E_T^{\text{miss}}$ , jets and flavour tagging	$\pm 0.6$	$\pm 0.5$
Z-boson modelling	$\pm 0.7$	$\pm 0.3$
Luminosity and other minor backgrounds	$\pm 0.8$	$\pm 0.3$
Total	$\pm 4.1$	$\pm 3.2$

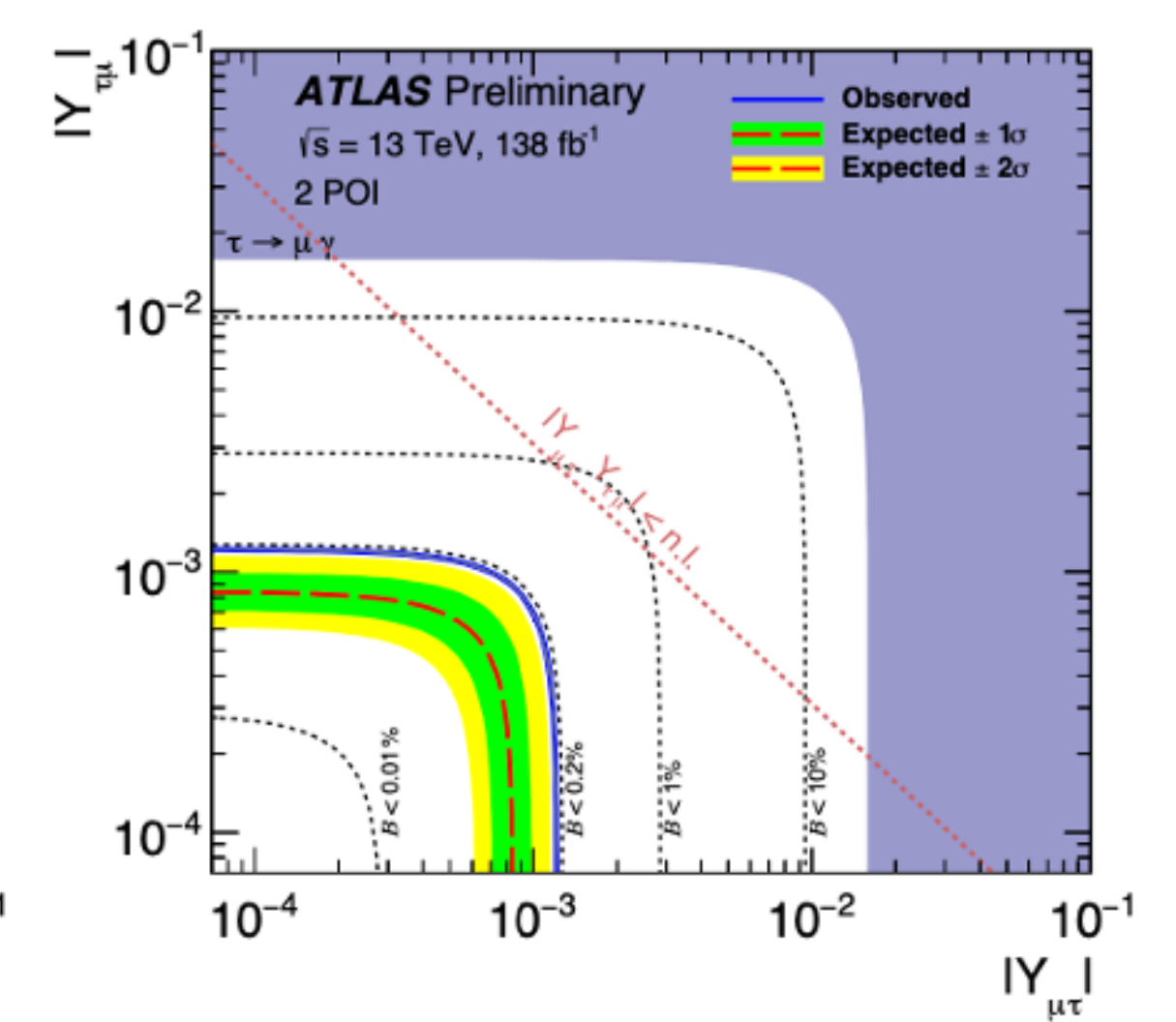
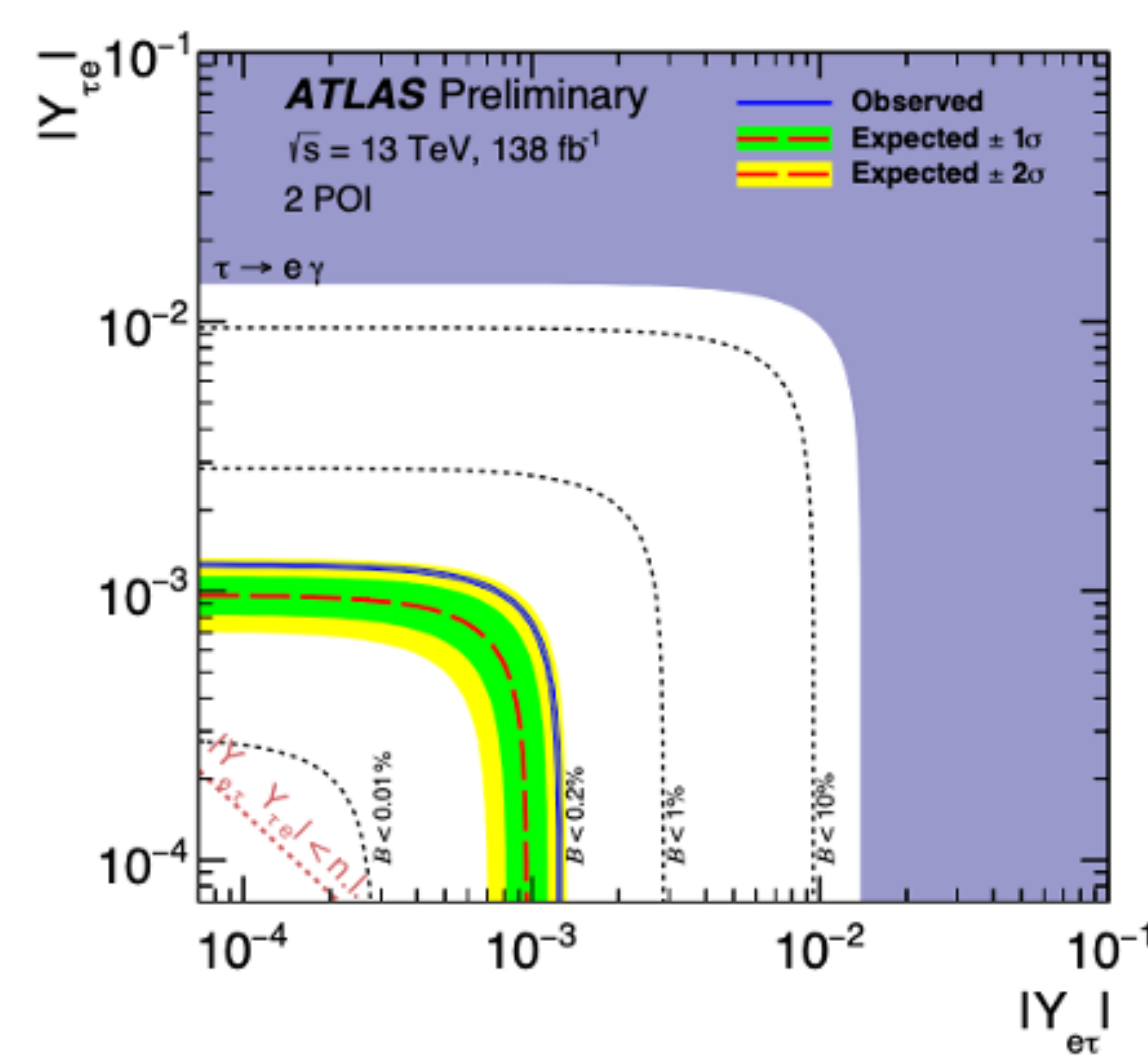
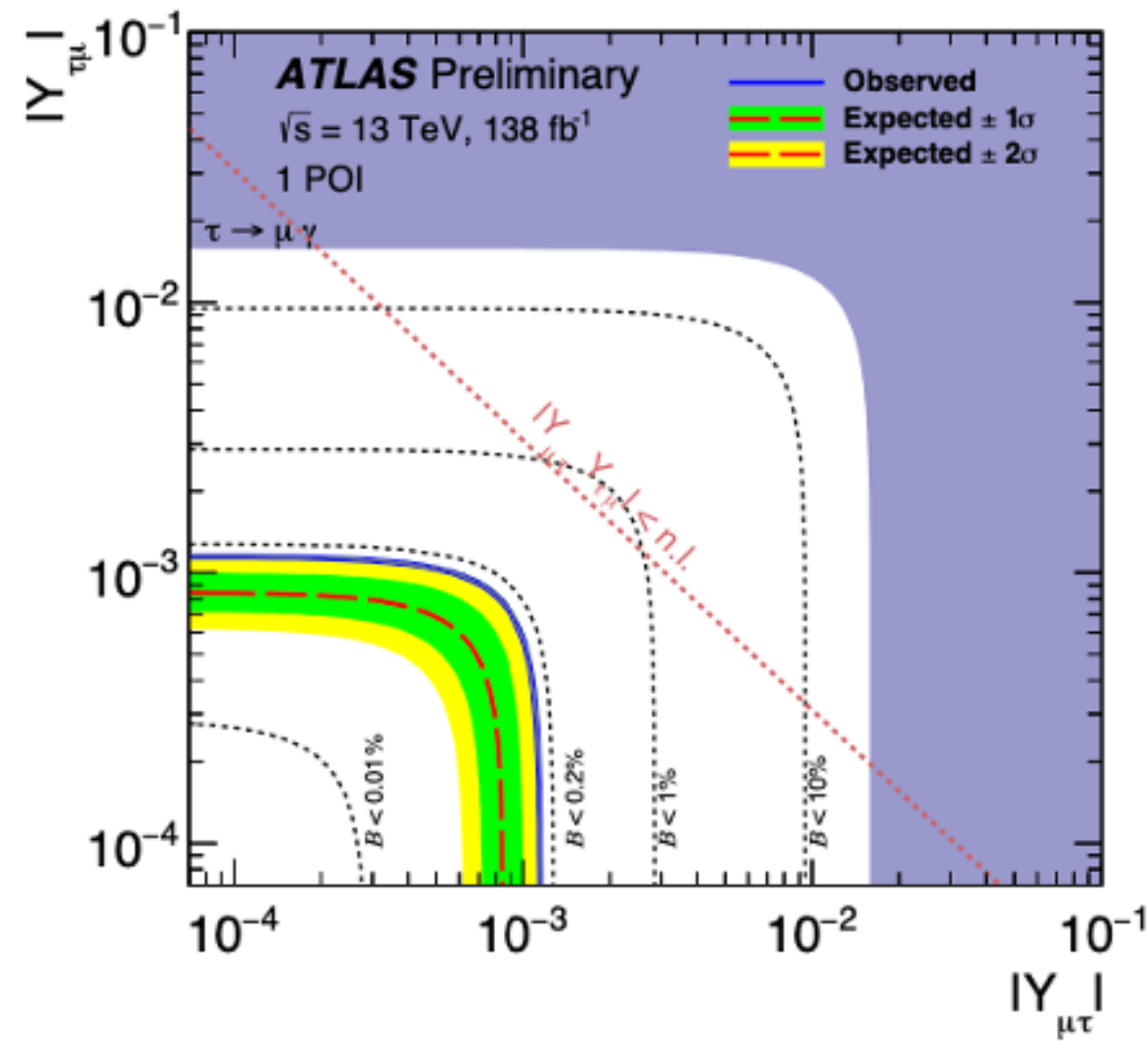
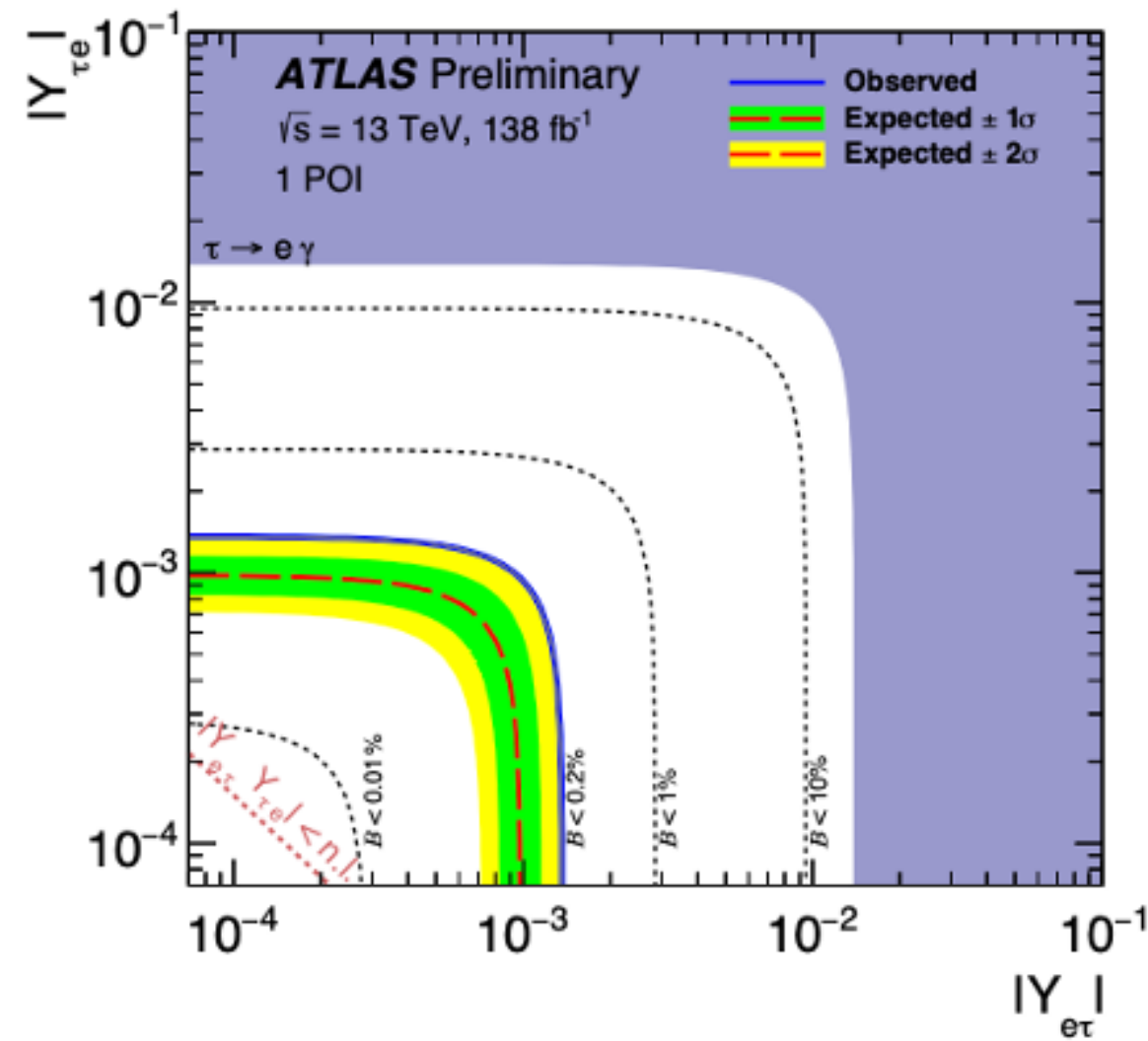
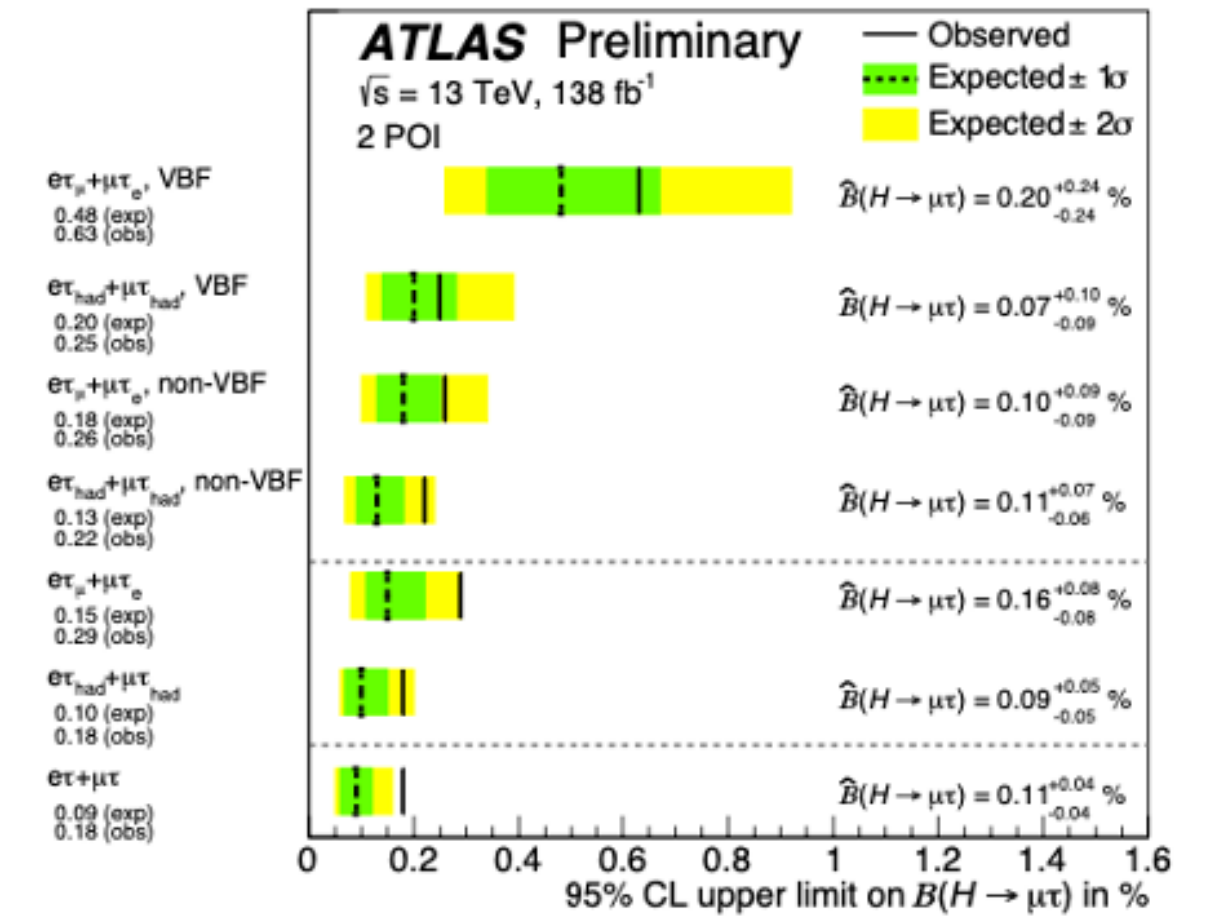
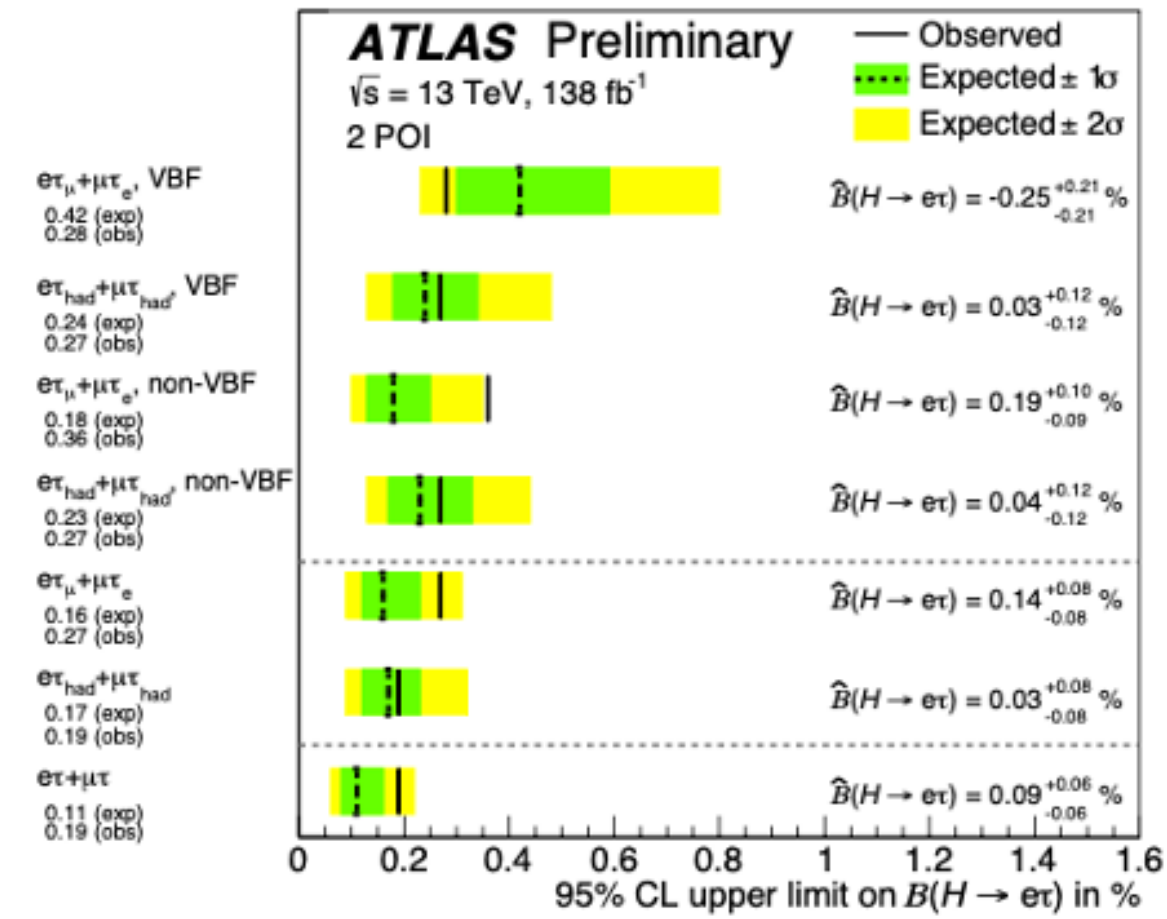
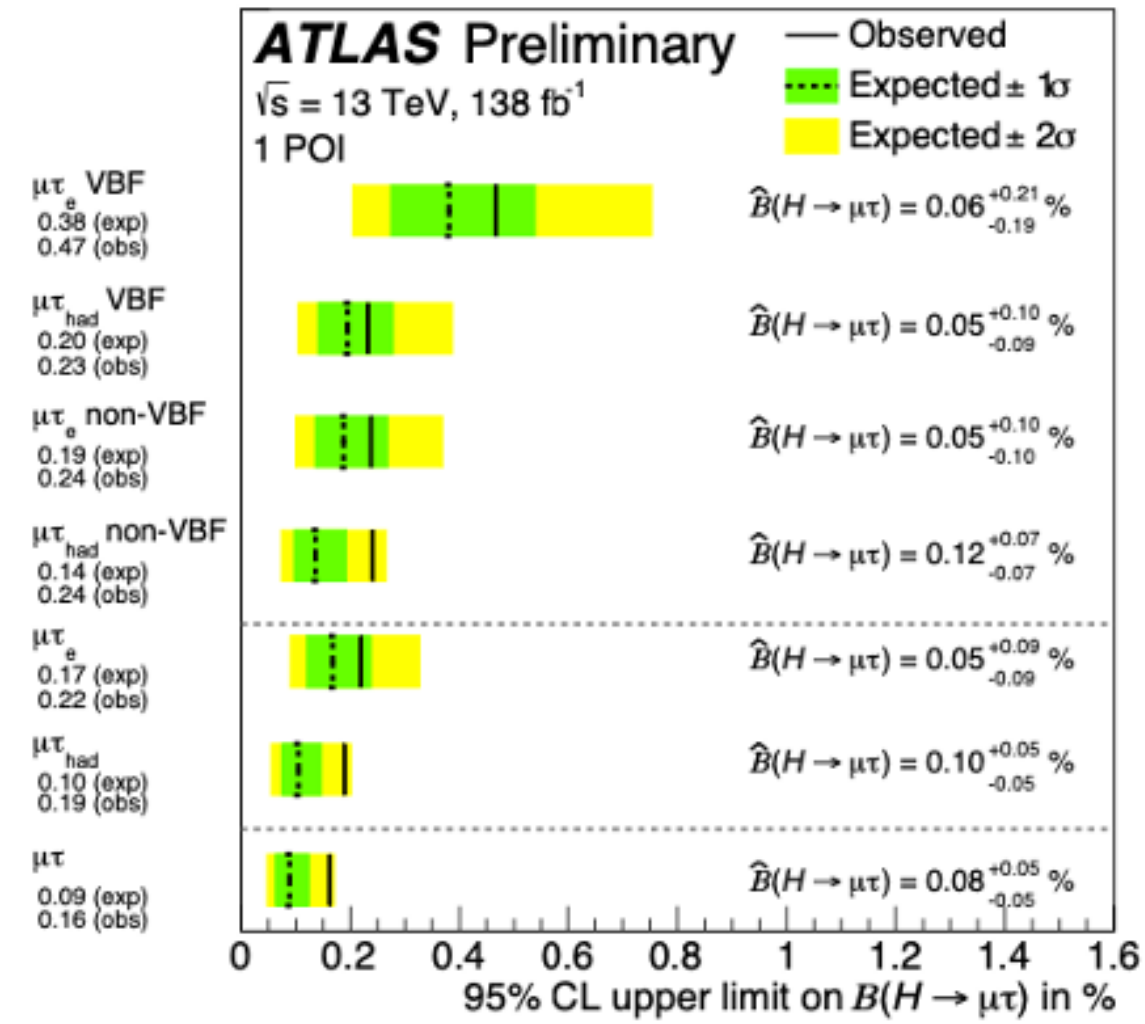
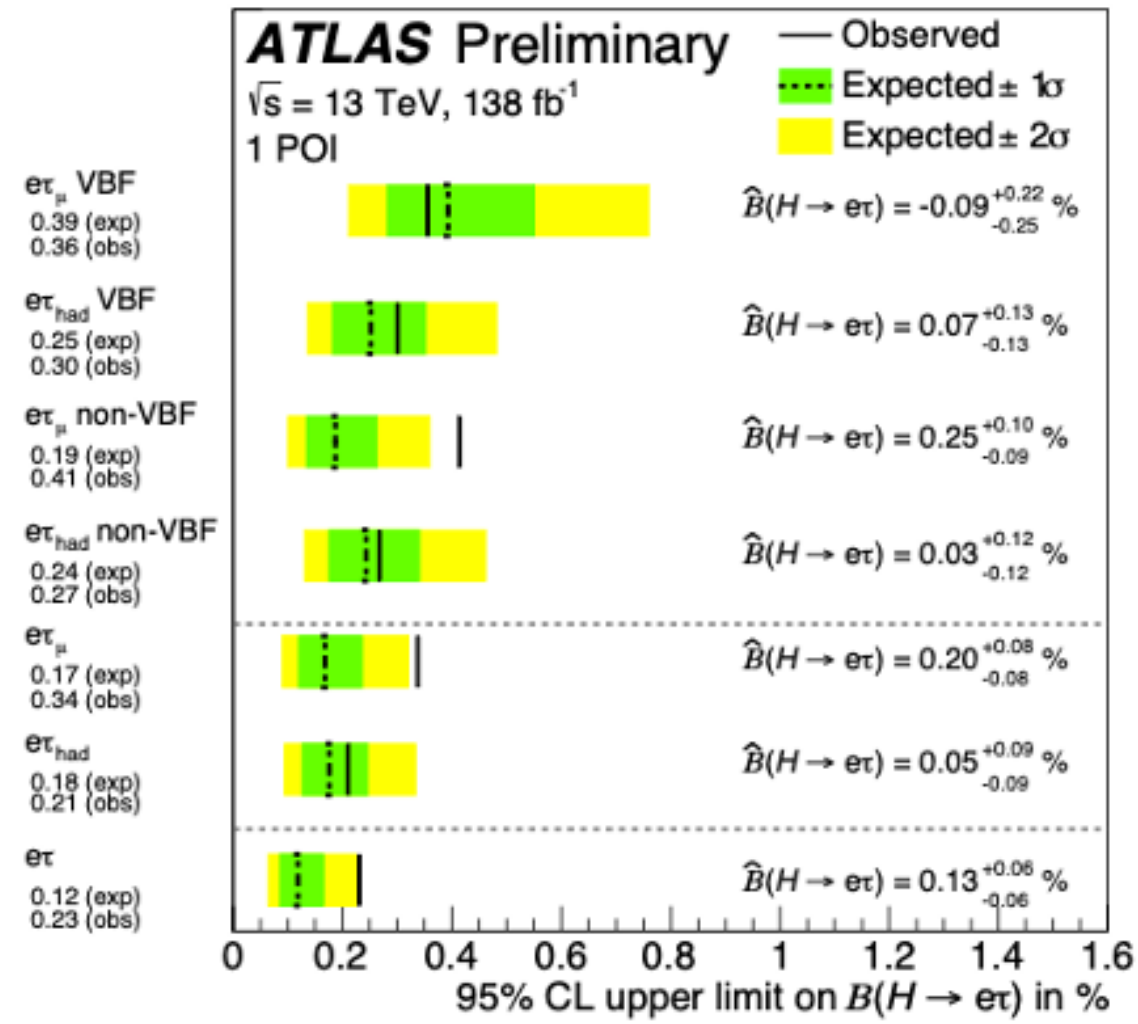


# Higgs boson $\rightarrow e\tau$ or $\mu\tau$

Selection	$\ell\tau_{\ell}$	$\ell\tau_{\text{had}}$
<i>Baseline</i>	exactly 1e and 1 $\mu$ , OS $\tau_{\text{had-veto}}$ <i>b</i> -veto $p_{\text{T}}^{\ell_1} > 45$ (35) GeV MC-template (Symmetry method) $p_{\text{T}}^{\ell_2} > 15$ GeV $30 \text{ GeV} < m_{\ell_1\ell_2} < 150 \text{ GeV}$ $0.2 < p_{\text{T}}^{\text{track}}(\ell_2 = e)/p_{\text{T}}^{\text{cluster}}(\ell_2 = e) < 1.25$ (MC-template) track $d_0$ significance requirement (see text) $ z_0 \sin \theta  < 0.5 \text{ mm}$	exactly 1 $\ell$ and 1 $\tau_{\text{had-vis}}$ , OS $\tau_{\text{had}}$ Tight ID Medium eBDT ( $e\tau_{\text{had}}$ ) <i>b</i> -veto $p_{\text{T}}^{\ell} > 27.3 \text{ GeV}$ $p_{\text{T}}^{\tau_{\text{had-vis}}} > 25 \text{ GeV},  \eta^{\tau_{\text{had-vis}}}  < 2.4$ $\sum_{i=\ell, \tau_{\text{had-vis}}} \cos \Delta\phi(i, E_{\text{T}}^{\text{miss}}) > -0.35$ $ \Delta\eta(\ell, \tau_{\text{had-vis}})  < 2$
<i>VBF</i>	<i>Baseline</i>	
	$\geq 2$ jets, $p_{\text{T}}^{j_1} > 40 \text{ GeV}, p_{\text{T}}^{j_2} > 30 \text{ GeV}$ $ \Delta\eta_{jj}  > 3, m_{jj} > 400 \text{ GeV}$	
<i>non-VBF</i>	<i>Baseline</i> plus fail <i>VBF</i> categorisation	
	–	veto events if
	–	$90 < m_{\text{vis}}(e, \tau_{\text{had-vis}}) < 100 \text{ GeV}$

- VBF and non-VBF categories as well as lep-lep and lep-had channels are mutually exclusive
- Selection is as similar as possible between MC-template and Symmetry, differences related to the symmetry assumption and definition of CRs.

# Higgs boson $\rightarrow e\tau$ or $\mu\tau$



$$|Y_{e\tau}|^2 + |Y_{\tau e}|^2 = \frac{8\pi}{m_H} \frac{\mathcal{B}(H \rightarrow \ell\tau)}{1 - \mathcal{B}(H \rightarrow \ell\tau)} \Gamma_H(\text{SM})$$

# high-mass dilepton final states

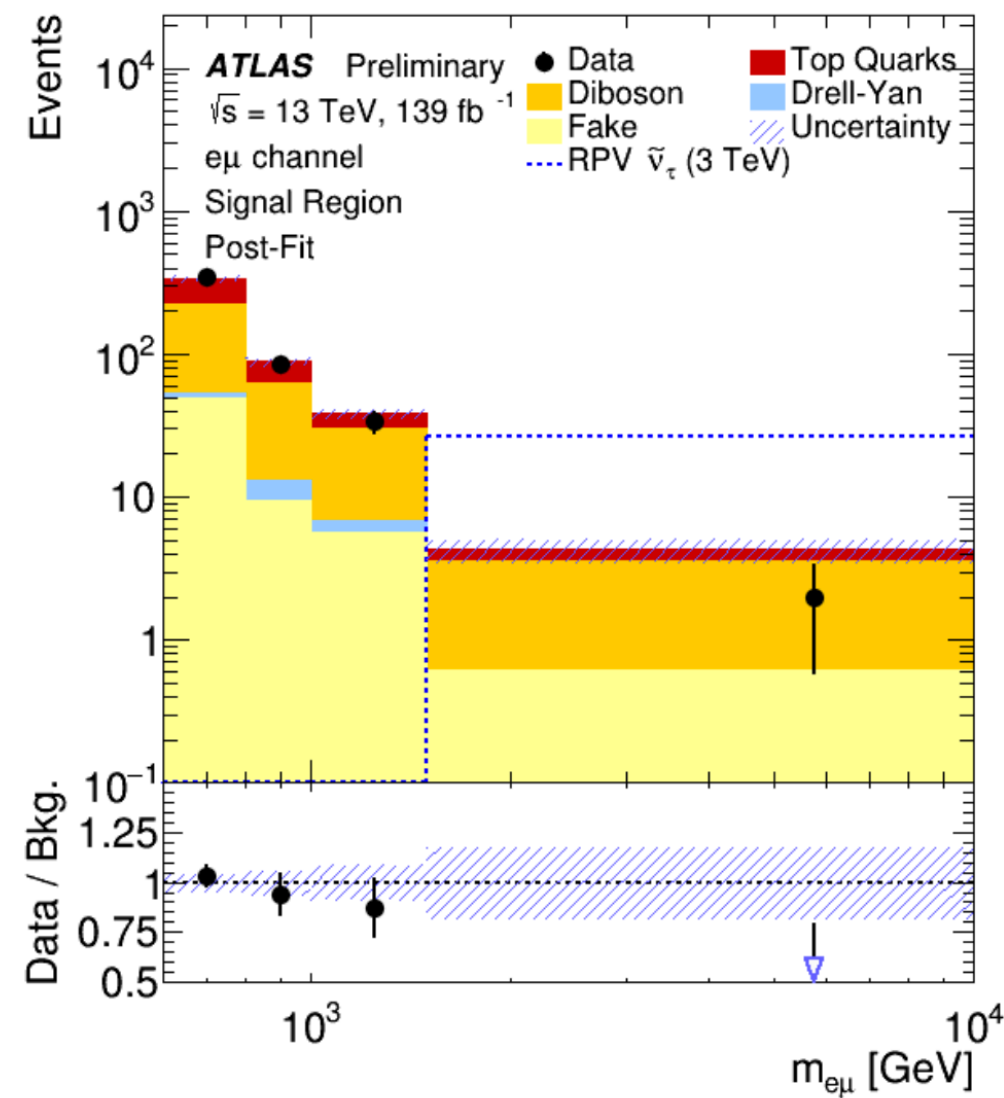
## Matrix Method

$$\begin{bmatrix} N_{TT} \\ N_{LT} \\ N_{TL} \\ N_{LL} \end{bmatrix} = \begin{bmatrix} r_e r_\mu & f_e r_\mu & r_e f_\mu & f_e f_\mu \\ (1-r_e)r_\mu & (1-f_e)r_\mu & (1-r_e)f_\mu & (1-f_e)f_\mu \\ r_e(1-r_\mu) & f_e(1-r_\mu) & r_e(1-f_\mu) & f_e(1-f_\mu) \\ (1-r_e)(1-r_\mu) & (1-f_e)(1-r_\mu) & (1-r_e)(1-f_\mu) & (1-f_e)(1-f_\mu) \end{bmatrix} \begin{bmatrix} N_{RR} \\ N_{FR} \\ N_{RF} \\ N_{FF} \end{bmatrix}$$

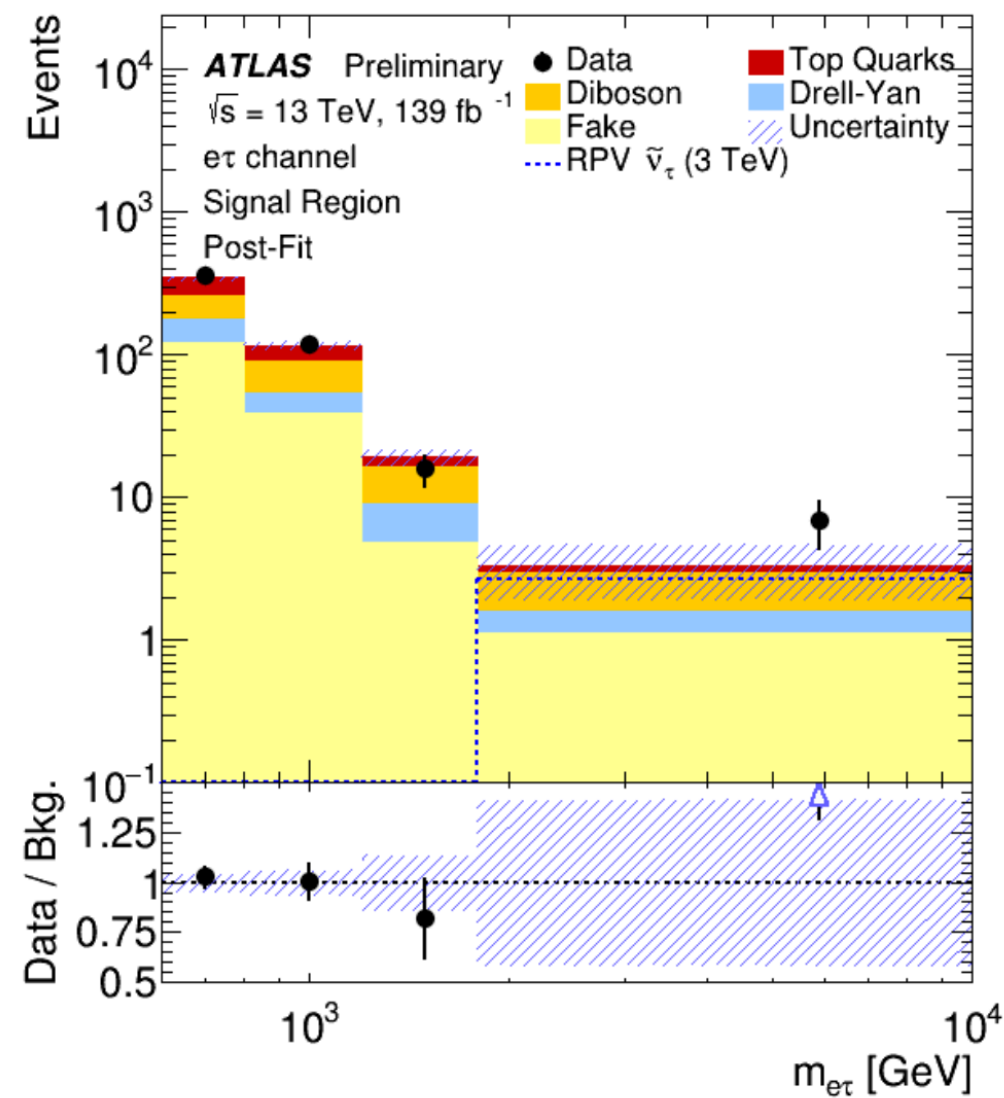
- The goal of the matrix method is to estimate the fraction of events in the data sample with a “real” electron and a “real” muon ( $N_{RR}$ ), events with a jet faking an electron (“fake” electron) and a “fake” muon ( $N_{FF}$ ), and events with one “real” lepton and one “fake” lepton ( $N_{RF}$  and  $N_{FR}$ ).
- Two selection criteria are defined: “Tight” and “Loose” for muons (electrons) based on their lepton quality (identification and isolation) respectively.
- “ $r$ ” refers to the probability of a “Loose” lepton matched to a true lepton to pass the “Tight” quality selection, defined as the “real efficiency”. It is evaluated from  $Z \rightarrow l\bar{l}$  simulated events. The “ $f$ ” refers to the probability that a jet is misidentified as a “Tight” quality lepton, so called “fake rate” which is determined in a multijet-enriched data sample.

# high-mass dilepton final states

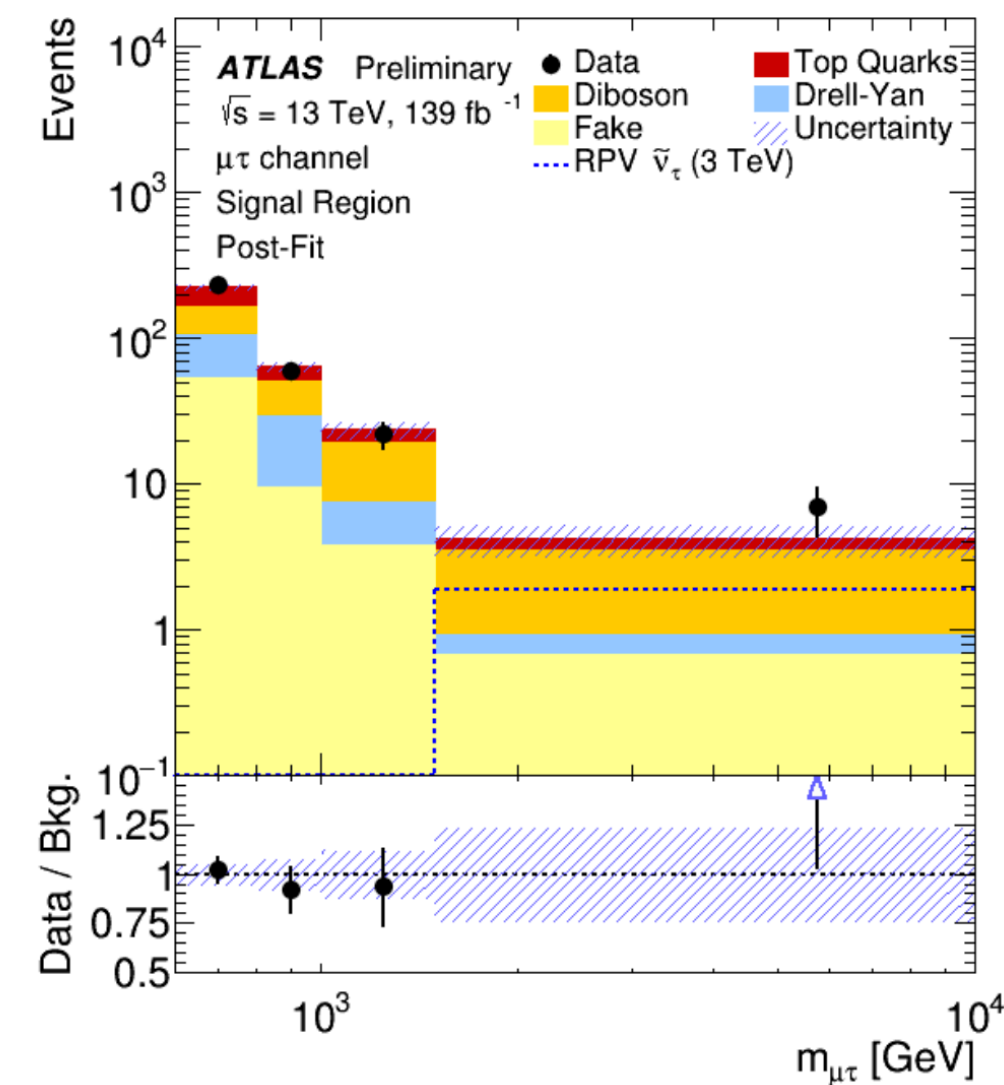
Source of uncertainty (in percentage)	Impact on observed $\mu_{\text{RPV}}^{e\mu}$	Impact on observed $\mu_{\text{RPV}}^{e\tau}$	Impact on observed $\mu_{\text{RPV}}^{\mu\tau}$
Flavor tagging	2.1	<0.1	0.11
fake backgrounds	0.57	3.2	9.7
Jet and $E_{\text{T}}^{\text{miss}}$	2.1	0.75	0.81
Electrons	2.2	0.85	-
Muons	2.8	-	4.4
$\tau$ -leptons	-	9.7	11
Other (luminosity, JVT, pile-up)	0.59	0.39	0.66
Background modelling	9.6	2.1	7.3
Top and Diboson normalisations	8.7	1.6	1.8
Signal statistics	0.36	0.66	0.76
Background statistics	28	9.6	15
<b>Total systematic uncertainty</b>	<b>32</b>	<b>14</b>	<b>23</b>
Data statistics	53	48	71
<b>Total</b>	<b>62</b>	<b>50</b>	<b>74</b>



(a)



(b)



(c)

# unexpected asymmetry of $e\mu$

$S$  is the so-called ‘object-based  $p_{T\text{miss}}$  significance’ It is a dimensionless measure of the degree to which the apparent missing transverse momentum in the event is ‘real’ (i.e. attributable to momentum carried away by invisible particles) rather than due to object mismeasurement or pile-up.

$$S^2 = \frac{|\mathbf{E}_T^{\text{miss}}|^2}{\sigma_L^2 (1 - \rho_{LT}^2)}$$

$$M_{T2} \equiv \min_{\vec{a} + \vec{b} = \vec{p}_T^{\text{miss}}} \max \left[ m_T(e, \vec{a}), m_T(\mu, \vec{b}) \right]$$

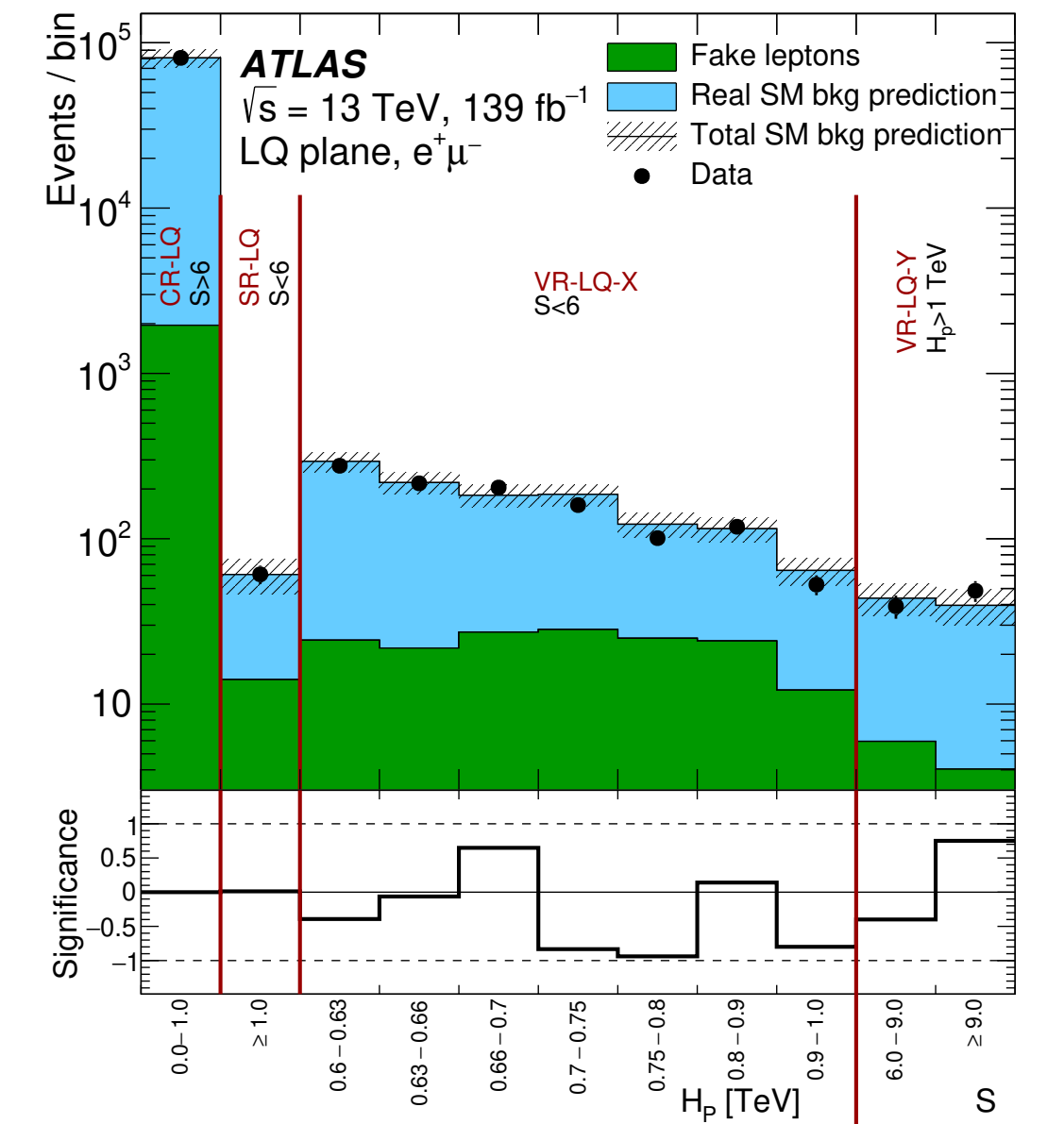
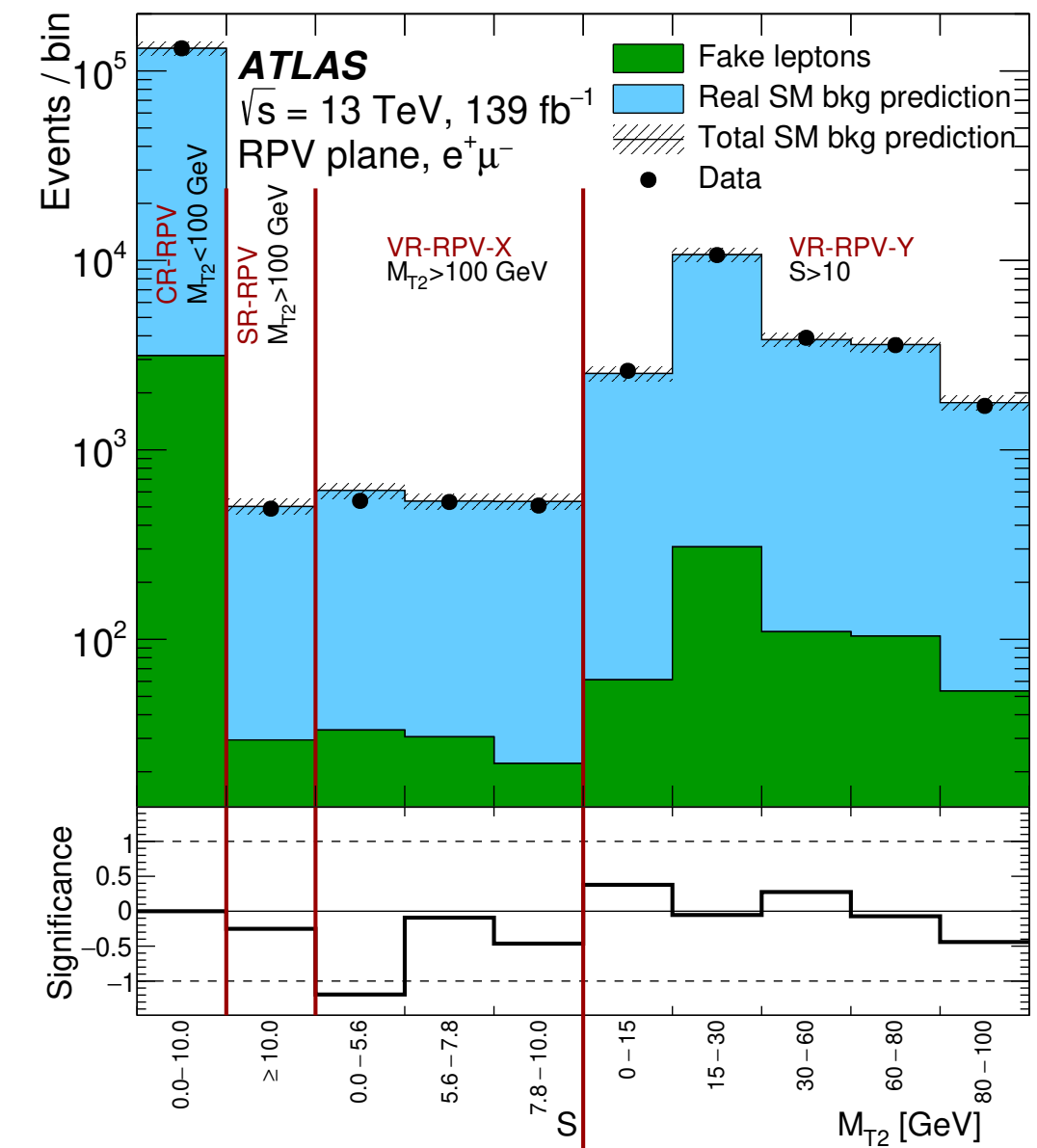
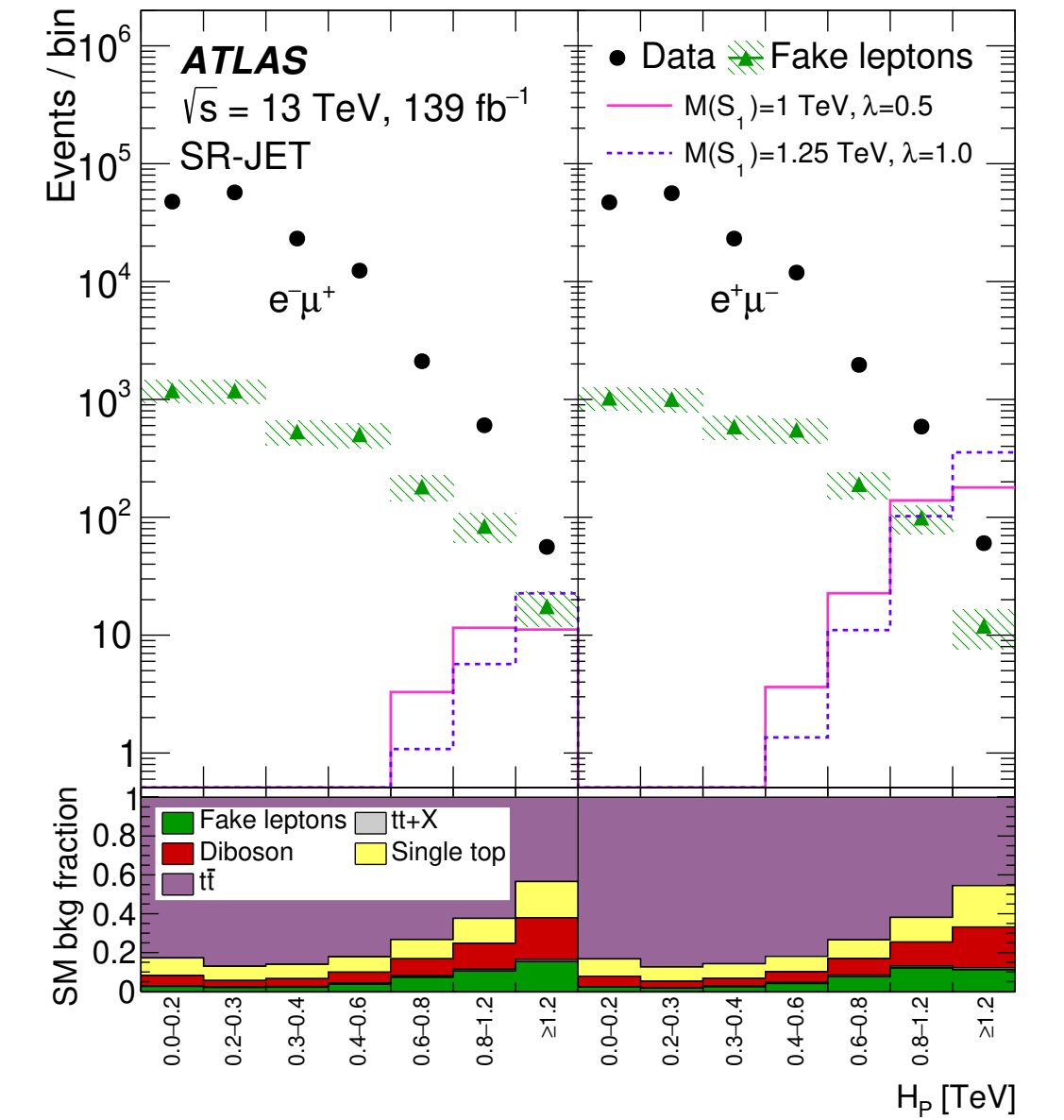
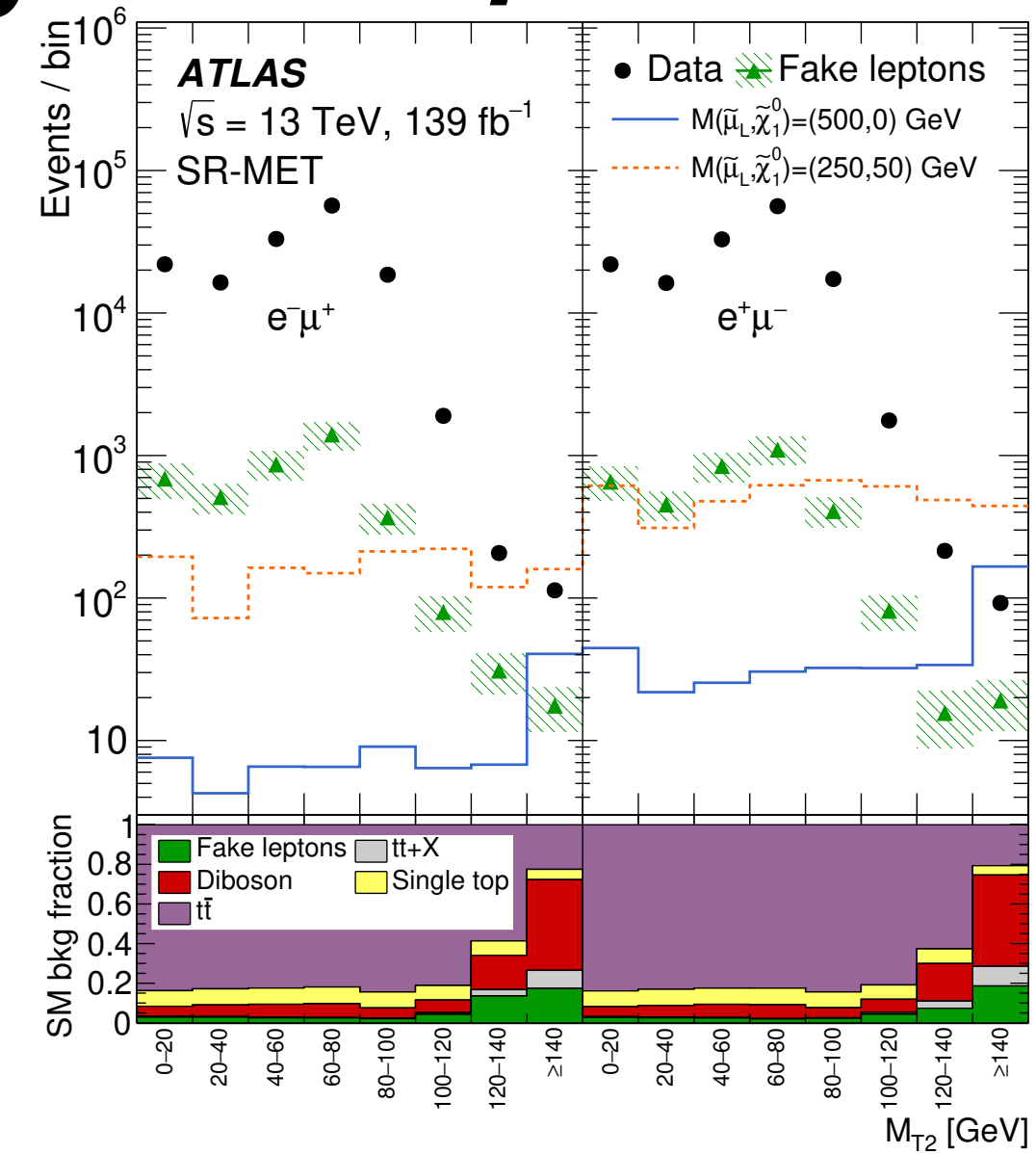
where  $a$  and  $b$  represent the contributions to  $p^{\text{miss}}$  from each semi-leptonic decay of a pair-produced particle, and all possible values that sum to the observed  $p^{\text{miss}}$  are minimised over.

$$H_P \equiv |\vec{p}_T^e| + |\vec{p}_T^\mu| + |\vec{p}_T^{j_1}|$$

$H_P$  is a simple sum of the magnitudes of the transverse momenta of the two leptons and the most energetic jet in the event.

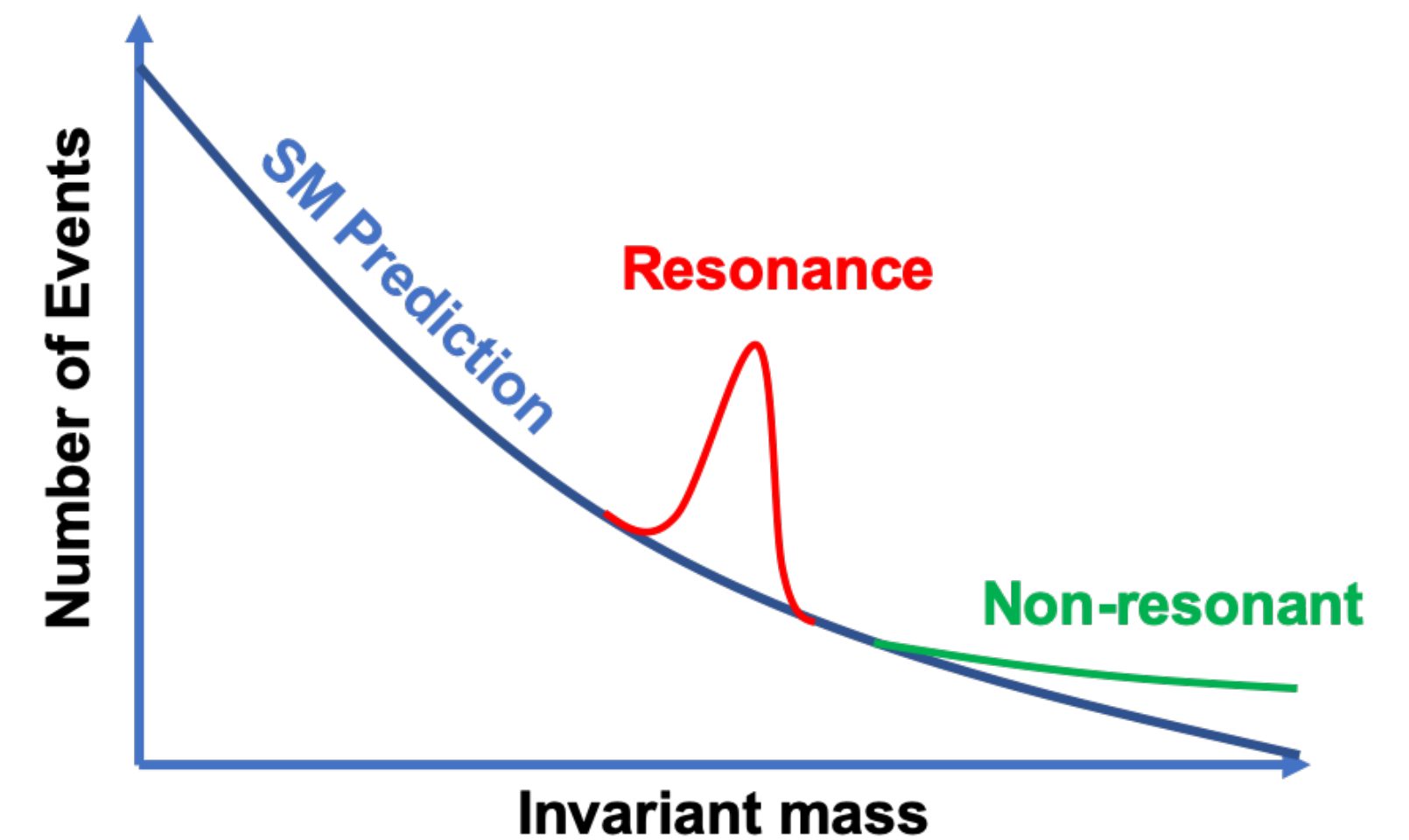
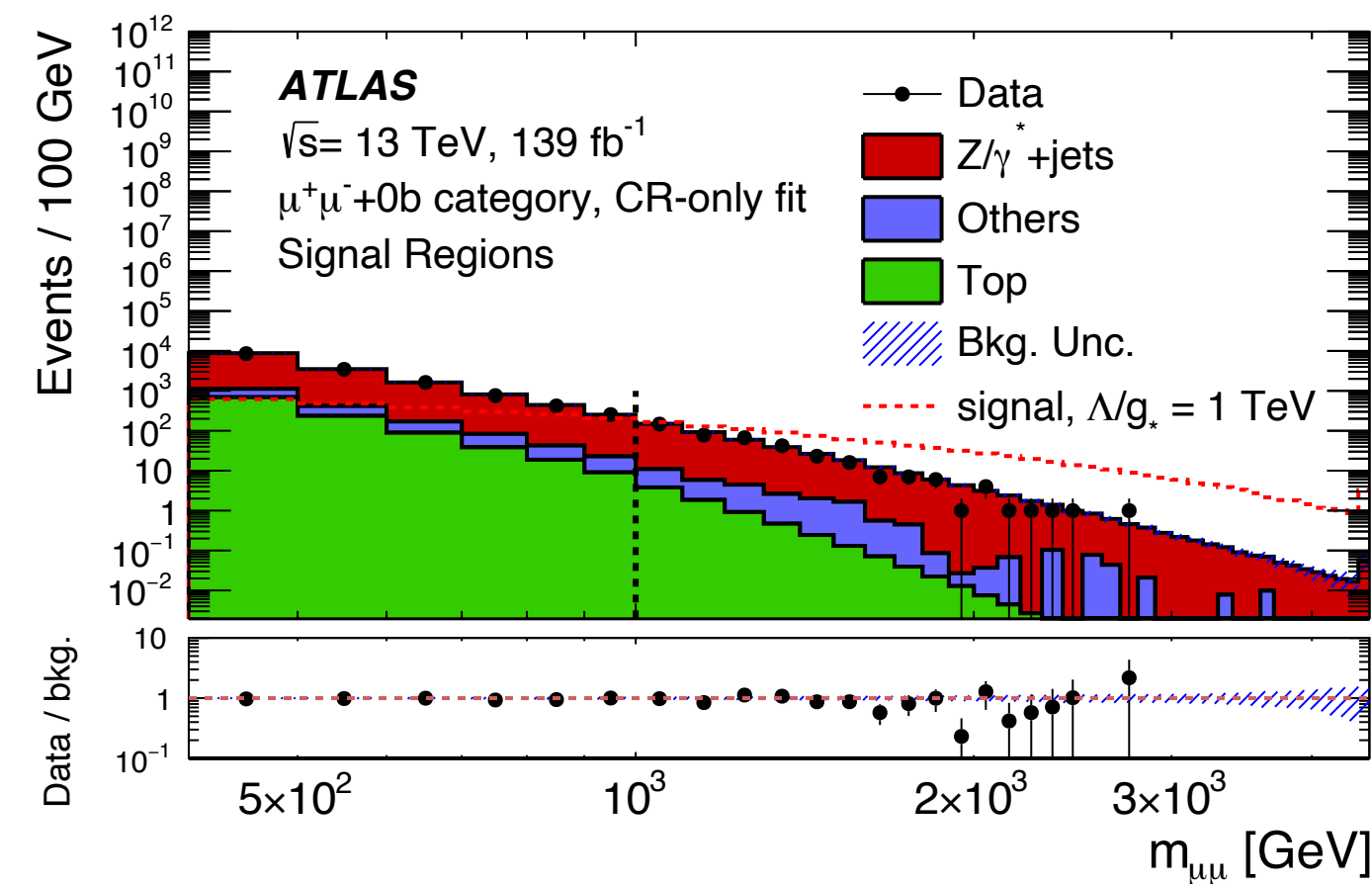
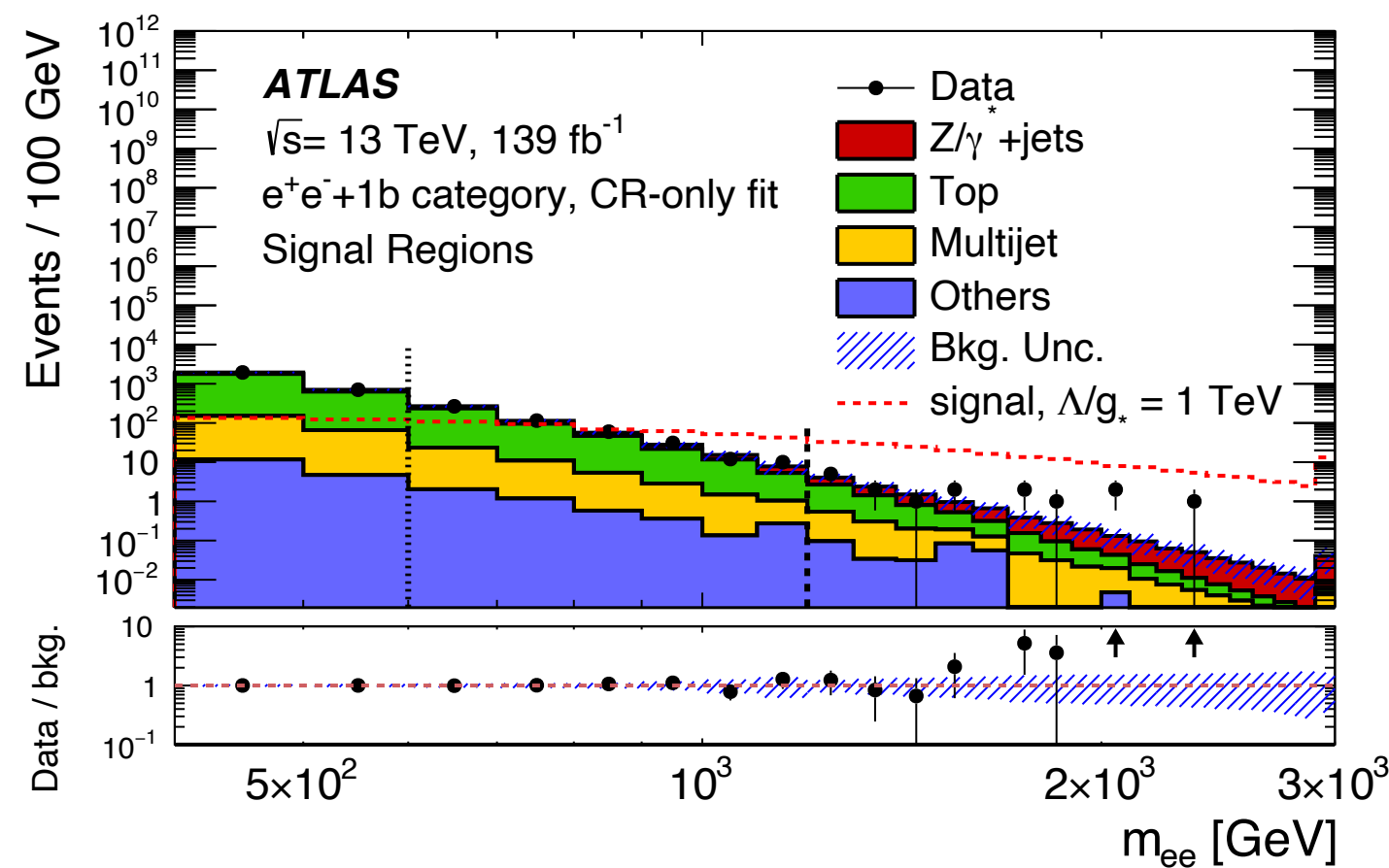
# unexpected asymmetry of $e\mu$

$$\mathcal{L}(\vec{N}_{\text{obs}}^{+-/-+} | \vec{\theta}, \vec{\alpha}, \vec{\rho}) = \prod_{i \in \text{bins}} \left[ \text{Pois}(N_{\text{obs},i}^{+-} | w_i^{+-}(\vec{\theta}) N_{\text{exp},i} + F_i^{+-}(\vec{\alpha})) \right. \\ \times \left. \text{Pois}(N_{\text{obs},i}^{+-} | \rho_i w_i^{+-}(\vec{\theta}) N_{\text{exp},i} + F_i^{+-}(\vec{\alpha})) \right] \\ \times \prod_{k \in \text{fake lepton uncertainties}} \text{Gaus}(0 | \alpha_k, 1) \\ \times \prod_{j \in \text{data uncertainties}} \text{Gaus}(0 | \theta_j, 1).$$



# b -> s lep lep

Source	$e^+e^- + 0b (1b)$ [%]		$\mu^+\mu^- + 0b (1b)$ [%]	
	Signal $0b (1b)$	Background $0b (1b)$	Signal $0b (1b)$	Background $0b (1b)$
Luminosity	1.7 (1.7)	1.6 (1.5)	1.7 (1.7)	1.7 (1.7)
Pileup	<0.5 (<0.5)	<0.5 (0.7)	<0.5 (<0.5)	<0.5 (<0.5)
Leptons	8.7 (8.6)	8.6 (6.3)	8.5 (6.5)	9.1 (4.2)
Jets	<0.5 (1.8)	<0.5 (3.4)	<0.5 (1.6)	<0.5 (1.9)
$b$ -tagging	<0.5 (1.4)	<0.5 (2.0)	<0.5 (1.4)	<0.5 (2.2)
Top bkg. extrapolation	-	3.5 (32.0)	-	<0.5 (36.0)
Multijet extrapolation	-	7.5 (15.0)	-	-
Top bkg. modeling	-	<0.5 (<0.5)	-	<0.5 (<0.5)
$Z/\gamma^*$ +jets bkg. modeling	-	9.4 (4.3)	-	10.0 (5.5)
MC statistics	0.6 (0.8)	1.9 (3.5)	0.7 (1.0)	1.7 (2.4)
Total	8.9 (9.1)	15.0 (37.0)	8.7 (7.1)	14.0 (37.0)



# search for leptoquarks

	$\tau_{\text{lep}}\tau_{\text{had}}$ channel	$\tau_{\text{had}}\tau_{\text{had}}$ channel
$e/\mu$ selection	= 1 'signal' $e$ or $\mu$ $p_{\text{T}}^e > 25, 27 \text{ GeV}$ $p_{\text{T}}^\mu > 21, 27 \text{ GeV}$	No 'veto' $e$ or $\mu$
$\tau_{\text{had-vis}}$ selection	= 1 $\tau_{\text{had-vis}}$ $p_{\text{T}}^\tau > 100 \text{ GeV}$	= 2 $\tau_{\text{had-vis}}$ $p_{\text{T}}^\tau > 100, 140, 180 (20) \text{ GeV}$
Jet selection	$p_{\text{T}}^{\text{jet}} > 45 (20) \text{ GeV}$ 1 or 2 $b$ -jets	$\geq 2$ jets
Additional selection	Opposite charge $e, \mu, \tau_{\text{had}}$ and $\tau_{\text{had}}$ $m_{\tau\tau}^{\text{MMC}} \notin 40 - 150 \text{ GeV}$ $E_{\text{T}}^{\text{miss}} > 100 \text{ GeV}$ $s_{\text{T}} > 600 \text{ GeV}$	

Variable	$\tau_{\text{lep}}\tau_{\text{had}}$ channel	$\tau_{\text{had}}\tau_{\text{had}}$ channel
$\tau_{\text{had-vis}} p_{\text{T}}^0$	✓	✓
$s_{\text{T}}$	✓	✓
$N_{b\text{-jets}}$	✓	✓
$m(\tau, \text{jet})_{0,1}$		✓
$m(\ell, \text{jet}), m(\tau_{\text{had}}, \text{jet})$	✓	
$\Delta R(\tau, \text{jet})$	✓	✓
$\Delta\phi(\ell, E_{\text{T}}^{\text{miss}})$	✓	
$E_{\text{T}}^{\text{miss}}$ $\phi$ centrality	✓	✓