



# Lepton Flavor Universality Experimental Highlights

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# Outline

- LFU tests in (semi-)leptonic D decays (Charged currents)
- LFU tests in semi-leptonic B decays (Charged currents)
- LFU tests in rare B decays (Neutral currents)



Summary

Disclaimer: A much biased personal selection of experimental results

### Charged currents versus neutral currents



- One charged lepton in the final state
- Tree level
- Theoretically clean
- Abundance of data
- Experimentally challenging due to missing neutrino



- Dilepton final states
- Forbidden at tree level in SM
- Sensitive to NP
- Highly suppressed, statistically limited in experiments
- Mainly on e- $\mu$  asymmetry

### Experimental status on B anomalies @ WIN2021



#### How coherent is the pattern of deviations?

[Scholarpedia, arXiv:1606.00999] [All plots]

 $R_K$ ,  $R_{K^*}$ : LHCb [arXiv:2103.11769] [JHEP 08 (2017) 055] vs [Bordone, Isidori, Pattori EPJC 76 (2016) 440].

R<sub>pK</sub> LHCb [JHEP 05 (2020) 040].

 $P'_5$  my average of LHCb [PRL 125 (2020) 011802], CMS [PLB 781 (2018) 517], ATLAS [JHEP 10 (2018) 047] vs [Bharucha et al., JHEP 08 (2016) 098].

 $B_s^0 \rightarrow \phi \mu^+ \mu^-$  [LHCb-PAPER-2021-014] vs [Horgan et al.] via [FLAVIO].

 $B \rightarrow \mu^+ \mu^-$  combination [Hurth et al.] of LHCb [LHCb-PAPER-2021-007], CMS [JHEP 04 (2020) 188], ATLAS [JHEP 04 (2019) 098] vs [Beneke, Bobeth, Szafron, JHEP 10 (2019) 232].

Muon g - 2 [Muon g - 2, PRL 126 (2021) 141801] vs [Aoyama et al., Phys. Rept. 887 (2020) 1].

 $R(D^{(*)})$  [HFlav].

 $\mathcal{B}(B^+ \to \tau \mu)$  [UTFit].

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### Experimental status on B anomalies @ WIN2021 A coherent pattern on LFU?



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### Major experiments for LFU tests

colliders



### Experimental challenges for LFU tests

- Hadronic part: most of uncertainties cancel in the ratio at 1st order
- Missing neutrinos for (semi-)leptonic processes:
  - e<sup>+</sup>e<sup>-</sup> machines: inferred using beam condition & mising info
  - Hadron machines: more difficult, using info such as decay vertices, isolation info, kinematics of visible part, etc
- Electron: generally more difficult in experiments such as LHCb
- Muon: difficuties in  $\mu/\pi$  separation for low-P tracks @ BESIII
- Tau lepton: short lifetime, decaying into final states with  $\geq 1\nu$ 
  - e<sup>+</sup>e<sup>-</sup> machines:  $\tau \to e\overline{\nu}\nu, \mu\overline{\nu}\nu, \pi(\pi^0)\nu$
  - Hadron machines:  $\tau \rightarrow \mu \overline{\nu} \nu$ ,  $\pi \pi \pi (\pi^0) \nu$

# LFU tests in (semi-)leptonic D decays @ BESIII



Details can also be found in Zehui's talk "Charmed mesons decays at BESIII"

#### Situation before BESIII

• Tension in charm sector



 Poor knowledge in semimuonic charm decays





#### World's largest threshold D meson samples



- $e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$ ,  $\mathcal{L}_{int} = 2.93 + 4.98$  (+12) fb<sup>-1</sup>
- $e^+e^- \rightarrow D_s D_s^*$ ,  $\sqrt{s} = 4.128 4.226$  GeV,  $\mathcal{L}_{int} = 7.33$  fb<sup>-1</sup>
- · Advantages: Clean, double tag method



#### World's largest threshold D meson samples



Advantages: Clean, double tag method



#### Double-tag method for (semi-)leptonic decays



Tag modes

• 
$$\bar{D}^0 \rightarrow K^+ \pi^-$$
, ...

- $D^- \rightarrow K^+ \pi^- \pi^-$ , ...
- $D_{\rm s}^- 
  ightarrow {\rm K}^+ {\rm K}^- \pi^-$ , ...

Missing neutrino is determined by

• 
$$U_{\text{miss}} = E_{\text{miss}} - |\vec{p}_{\text{miss}}|$$
  
•  $E_{\text{miss}} = E_{\text{cm}} - E_{\text{tag}} - E_P - E_{\ell^+}$ 

Branching fraction

• 
$$N_{\text{tag}} = 2N_{D\bar{D}}\mathcal{B}_{\text{tag}}\epsilon_{\text{tag}}$$

• 
$$N_{\rm DT} = 2N_{D\bar{D}}\mathcal{B}_{\rm tag}\mathcal{B}_{\rm sig}\epsilon_{\rm DT}$$

• 
$$\mathcal{B}_{\mathrm{sig}} = \frac{N_{\mathrm{DT}}}{N_{\mathrm{tag}}\epsilon_{\mathrm{DT}}/\epsilon_{\mathrm{tag}}}$$

• 
$$M_{\text{miss}}^2 = E_{\text{miss}}^2 - |\vec{p}_{\text{miss}}|^2$$
  
•  $\vec{p}_{\text{miss}} = -\vec{p}_{\text{tag}} - \vec{p}_P - \vec{p}_{\ell^+}$ 

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$$\Gamma(D_{(s)}^{+} \to \ell^{+} \nu_{\ell}) = \frac{G_{F}^{2}}{8\pi} f_{D_{(s)}^{+}}^{2} |V_{cd(s)}|^{2} m_{\ell}^{2} m_{D_{(s)}^{+}} \left(1 - \frac{m_{\ell}^{2}}{m_{D_{(s)}^{+}}^{2}}\right)^{2}$$

- The electron channels are strongly suppressed due to mass
- The branching ratios  $R_{\tau/\mu}$  are determined by the lepton and D masses in the SM



### LFU test with $D^+ \rightarrow \ell^+ \nu_\ell$ , $\ell = \mu, \tau$





# Studies of $D_s^+ \rightarrow \mu^+ \nu_{\mu}$

#### 3.19 fb<sup>-1</sup>@4.18 GeV

#### PRL122(2019)071802



 $B[D_s^+ \to \mu^+ v] = (5.49 \pm 0.16 \pm 0.15) \times 10^{-3}$ 

 $\mathbf{f}_{D_{s}^{+}}|\mathbf{V}_{cs}|=(246.2\pm3.6\pm3.5)~\text{MeV}$ 

Precision~2.1%

#### 6.3 fb<sup>-1</sup>@4.18-4.23GeV PRD104(2021)052009 μ–like<sup>E<sub>EMC</sub> < 300 MeV</sup> without µ counter information π–like $E_{EMC}$ > 300 MeV $D_s^+ \to \mu \nu$ 0.1 0.15 0.2 $D_{s}^{+} \rightarrow \tau \nu$ M<sup>2</sup>...(GeV/o<sup>2</sup>)<sup>2</sup> $M_{\rm m}(D_s)({\rm MeV}/c^2)$ 2198±55

 $B[D_s^+ \to \mu^+ \nu] = (5.35 \pm 0.13 \pm 0.16) \times 10^{-3}$  $f_{D_s^+}|V_{cs}| = (243.1 \pm 3.0 \pm 3.7) \text{ MeV}$  $Precision \sim 2.0\%$ 15

#### ₩S

# Studies of $D_s^+ \rightarrow \tau^+ \nu_{\tau}$ (I)

PRD104(2021)032001

 $D_s^+ \to \tau^+(\rho^+ v) v$ 

6.3 fb<sup>-1</sup> 1745±84 4.189 GeV 4.199 GeV 4.226 GeV 4.219 Ge MM<sup>2</sup> (GeV<sup>2</sup>/c<sup>4</sup>)  $B[D_s^+ \to \tau^+ \nu] = (5.29 \pm 0.25 \pm 0.20)\%$ 

 $f_{D_s^+}|V_{cs}| = (244.8 \pm 5.8 \pm 4.8) \text{ MeV}$ 



PRD104(2021)052009

 $D_s^+ \rightarrow \tau^+(\pi^+ v)v$ 

 $E_{extra}^{tot}$ : total energy of good EMC showers not associated with e<sup>+</sup>

#### PRL127(2021)171801

 $D_s^+ \to \tau^+(e^+ \nu \nu) \nu$ 



The most

precise to date







# Summary of LFU test with $D_s^+ \rightarrow \ell^+ \nu_\ell$

(		T T T	_,, arXi	v:2303.12468	$\mathcal{R}_{\tau/\mu} = \frac{\mathcal{B}_{D_s^+ \to \tau^+ \nu_\tau}}{\mathcal{B}_{D_s^+ \to \tau^+ \nu_\tau}} = \frac{m_{\tau^+}^2 (1 - \frac{m_{\tau^+}^2}{m_{D_s^+}^2})^2}{2 (1 - \frac{m_{\tau^+}^2}{m_{\tau^+}^2})^2}$
CLEO	PRD79(2009)052	<b>002</b> , τ <sub>e</sub> ν	5.32±0.47±0.22	<b>—</b>	$D_s \to \mu^+ \nu_\mu = m_{\mu^+}^2 (1 - \frac{\mu^+}{m_{\mu^+}^2})^2$
CLEO	PRD80(2009)112	<b>004</b> , τ <sub>ρ</sub> ν	$5.50 \pm 0.54 \pm 0.24$	┣━━━╫	$D_{\tilde{s}}$
CLEO	PRD79(2009)052	<b>001</b> , $\tau_{\pi} v$	$6.47 {\pm} 0.80 {\pm} 0.22$	<b>L - - - - - - - - - -</b>	$R_{-4.0}^{SM} = 9.75 \pm 0.01$
BaBar	PRD82(2010)091	103, $\tau_{e,\mu}v$	4.96±0.37±0.57	++++	$-\tau/\mu$ =
Belle	JHEP09(2013)13	<b>9</b> , τ <sub>e,μ,π</sub> ν	5.70±0.21±0.31	H+H	With PDG input of $B(D_s^+ \rightarrow \mu^+ \nu_{\mu})$
BESIII 6.32 fb	-1 PRD104(2021)05	2009, τ <sub>π</sub> ν	5.21±0.25±0.17	₩⊷-1	we have:
BESIII 6.32 fb	-1 PRD104(2021)03	<b>2001</b> , τ <sub>ρ</sub> ν	5.29±0.25±0.23	H ● H	
BESIII 6.32 fb	-1 PRL127(2021)17	1801, $\tau_{e}v$	5.27±0.10±0.12	Hel	BESIII only result:
BESIII 7.33 fb	<sup>-1</sup> arXiv:2303.12600	) [hep-ex], $\tau_{\pi}$	5.41±0.17±0.13	н	$R^{BESIII} = 9.79 \pm 0.33$
BESIII 7.33 fb	$-1$ this work $\tau_{\mu} v$		5.34±0.16±0.10	H	$\pi_{\tau/\mu} = 9.79 \pm 0.00$
BESIII	τν	i i l	5.32±0.07±0.07	Combined	All included:
	-5	0		5	$R_{\tau/\mu}^{Exp} = 9.86 \pm 0.32$
	B(E	$\mathbf{D}_{\mathbf{s}}^{+} \rightarrow \tau^{+} \mathbf{v}$	(%)		Good agreement with SM value



$$\frac{d\Gamma}{dq^2} = X \frac{G_F^2}{24\pi^3} |f_+^h(0)|^2 |V_{cq}|^2 |\vec{p}_h|^3$$

• Test of light lepton universality with branching ratios of  $e/\mu$ 

# LFU tests with $D^0 \to K^- \ell^+ \nu_\ell$

BEST





# Studies of $D \rightarrow (P,V)\mu^+\nu_{\mu}$

#### **First observations**

 $D^+ \rightarrow \omega \mu^+ v$ 

 $D^+ \rightarrow \eta \mu^+ v$ 



$$R_{D\eta} = \frac{\Gamma[D^+ \to \eta \mu^+ \nu]}{\Gamma[D^+ \to \eta e^+ \nu]} = 0.91 \pm 0.13$$

PRD101(2020)072005



 $B[D^+ \to \omega \mu^+ \nu] = (0.177 \pm 0.018 \pm 0.011)\%$ 

$$R_{D\omega} = \frac{\Gamma[D^+ \to \omega \mu^+ \nu]}{\Gamma[D^+ \to \omega e^+ \nu]} = 1.05 \pm 0.14$$

 $D^0 o 
ho^- \mu^+ v$ 

#### PRD104(2021)L091103



 $B[D^0 \to \rho^- \mu^+ \nu] = (0.135 \pm 0.009 \pm 0.009)\%$ 

$$R_{D\rho} = \frac{\Gamma\left[D^0 \to \rho^- \mu^+ \nu\right]}{\Gamma\left[D^0 \to \rho^- e^+ \nu\right]} = 0.90 \pm 0.11$$

## III $LFU tests with <math>D_{(s)} \rightarrow (P,V)\ell^+\nu_\ell$ : summary

		BF ratios	SM	References		
μ/ e	$D^0 \to K^-$	$0.978 \pm 0.007 \pm 0.012$	0.975	PRL122(2019)011804		
	$D^0 \to \pi^-$	$0.922 \pm 0.030 \pm 0.022$	0.985	PRL121(2018)171803		
	$D^0 \to \rho^-$	$0.90 \pm 0.11$	0.93-0.96	PRD104(2021)091003		
	$D^+ \to \overline{K}{}^0$	$1.00 \pm 0.03$	0.975	EPJC76(2016)369		
	$D^+ \rightarrow \pi^0$	$0.964 \pm 0.037 \pm 0.026$	0.985	PRL121(2018)171803		
	$D^+ \rightarrow \omega$	$1.05 \pm 0.14$	0.93-0.99	PRD101(2020)072005		
	$D^+ \rightarrow \eta$	$0.91 \pm 0.13$	0.97-0.98	PRL124(2020)231801		
	$D_s^+ \rightarrow \eta$	$0.86 \pm 0.29$	0.97-0.98			
	$D_s^+ \rightarrow \eta'$	$1.14 \pm 0.68$	~0.95	PRD97(2018)012006		
	$D_s^+ \rightarrow \phi$	$1.05 \pm 0.24$	0.92-0.95			
	$\Lambda_c^+\to\Lambda$	$0.96 \pm 0.16 \pm 0.04$	0.97	PLB767(2017)42		
H.L. Ma, Mini-Workshop on BESIII Physics 500 Publications						

# LFU tests in semi-leptonic B decays





 $B^0 \rightarrow D^{*} \tau^* v$ 

# R(D<sup>(\*)</sup>) measurements @ LHCb



Muonic  $\tau \rightarrow \mu \overline{\nu} \nu$ :

- Large statistics
- Study of  $\tau$  and  $\mu$  modes in one dataset
- Can measure R(D) and R(D\*) simultaneously

Hadronic  $\tau \rightarrow \pi\pi\pi(\pi^0)\bar{\nu}$ :

PV

- Relatively high purity ٠
- External BR measurement for normalization

 $\overline{D}^0$ 

 $D^{*-}$ 

 $B^0$ 

- Decay vertex of  $\tau$  well measured to suppress dominant backgrounds
- $3\pi$  dynamics important for the separation of B-> D\*DX backgrounds



# R(D<sup>(\*)</sup>) measurements @ LHCb



Hadronic  $\tau \rightarrow \pi \pi \pi (\pi^0) \nu$ 



Considerable systematic uncertainty due to limited sample sizes



# Updated R(D<sup>(\*)</sup>) world averages

- Updates with inclusion of two new results (LHCb22, LHCb23):
  - $R(D^*) = 0.284 \pm 0.013$
  - $R(D) = 0.356 \pm 0.029$
- Deviation from SM for combined R(D) – R(D\*) now moves from 3.3σ to 3.2σ



From **HFLAV** 

Recent BESIII measurements on  $D_{(s)} \rightarrow 3\pi X$  [arXiv:2212.13072, arXiv:2301.03214] could be helpful in understanding double-charm backgrounds in hadronic R(D\*) measurements



# Updated R(D<sup>(\*)</sup>) world averages

- Updates with inclusion of two new results (LHCb22, LHCb23):
  - $R(D^*) = 0.284 \pm 0.013$
  - $R(D) = 0.356 \pm 0.029$
- Deviation from SM for combined R(D\*) now at 1.9σ





From <u>HFLAV</u>

arXiv:2301.08266



# $R(X_{e/\mu})$ in semileptonic B decays

- Hadronic tagging with a fully reco'ed B<sub>tag</sub>
- Distribution of lepton momentum in  $B_{sig}$  rest frame fitted to extract signals
- Most precise LFU result in b-sector:

 $R(X_{e/\mu}) = 1.007 \pm 0.009 \text{ (stat)} \pm 0.019 \text{ (syst)}$ 

- In agreement with SM based prediction of 1.006 ± 0.001 [arXiv:2207.03432]
- In agreement with BELLE measurements in exclusive D<sup>\*</sup>ℓv channels [e.g. arXiv:2301.07529]
- Also e/µ difference in angular asymmetries in  $B^0 \rightarrow D^{*-} \ell \nu$  reported <u>here</u>



(4S)

 $B_{\rm sig}$ 

le'

# LFU tests in rare b-hadron decays





# LFU tests in $b \rightarrow s\ell^+\ell^-$ decays

- $b \rightarrow s\ell^+\ell^-$  FCNC processes highly suppressed in SM
- NP may manifest in the loops and cause LFU violation
- LFU tests use  $q^{2} = m(\ell^{+}\ell^{-})^{2}$   $R_{X} = \frac{\int_{q_{\min}}^{q_{\max}} \frac{d\mathcal{B}(B_{q} \rightarrow X_{s}\mu^{+}\mu^{-})}{dq^{2}} dq^{2}}{\int_{q_{\min}}^{q_{\max}^{2}} \frac{d\mathcal{B}(B_{q} \rightarrow X_{s}e^{+}e^{-})}{dq^{2}} dq^{2}}$ 
  - Cancellation of hadronic uncertainties in the ratio => precise prediction of R<sub>X</sub>



# R(K<sup>(\*)</sup>) measurements @ LHCb

- Electrons & muons behave guite differently in the LHCb detector
- Lower efficiencies & worse resolution (energy loss) for electrons
- Double-ratio of branching fractions:

$$R_X = \frac{\mathcal{B}(B_q \to X_s \mu^+ \mu^-)}{\mathcal{B}(B_q \to X_s J/\psi(\mu^+ \mu^-))} \cdot \frac{\mathcal{B}(B_q \to X_s J/\psi(e^+ e^-))}{\mathcal{B}(B_q \to X_s e^+ e^-)}$$

- Most of systematic uncertainties cancel to 1st order
- LFU in  $J/\psi \rightarrow \ell^+ \ell^-$  well established at % level [BESIII, PRD 88, 032007 (2013)]
- Validated in  $\psi(2S)$  mode



arXiv:2212.09152

arXiv:2212.09153







arXiv:2212.09152 arXiv:2212.09153

# R(K<sup>(\*)</sup>) results @ LHCb

• Most precise LFU test in  $b \rightarrow s\ell^+\ell^-$  decays



• Supersedes previous results



arXiv:2212.09152 arXiv:2212.09153



# R(K<sup>(\*)</sup>) results @ LHCb

• Most precise LFU test in  $b \rightarrow s\ell^+\ell^-$  decays



- Supersedes previous results
- Improved systematics of mis-IDed hadronic background in electron mode
- Now compatible with SM predictions at 0.2  $\sigma$  level
- Uncertainties statistically dominated



### Recent LFU results not covered here ...

- LFU tests in semileptonic decays of light hadrons
  - See talk "Hyeron physics at BESIII" by Hong-Fei Sun)
- LFU tests with  $B(W \rightarrow \ell \nu)$

CMS, PRD 105, 072008 (2022)

	CMS	LEP	ATLAS	LHCb	CDF	D0
$R_{\mu/e}$	$1.009\pm0.009$	$0.993\pm0.019$	$1.003\pm0.010$	$0.980\pm0.012$	$0.991\pm0.012$	$0.886 \pm 0.121$
$R_{\tau/e}$	$0.994 \pm 0.021$	$1.063\pm0.027$				
$R_{\tau/\mu}$	$0.985\pm0.020$	$1.070\pm0.026$	$0.992\pm0.013$			
$R_{\tau/\ell}$	$1.002\pm0.019$	$1.066\pm0.025$				

cLFV searches closely related to LFU

See the talk by Chen Wu after the coffee break

# Summary & outlook

- LFU tests in a large range of decay channels have been performed recently by BESIII, BELLE(II), LHCb, etc.
  - Focused on beauty/charm sectors
- With improved precision or being first measurements, all results show good agreement with LFU
- R(D<sup>(\*)</sup>) results still show tension with SM, while R(K) now moves closer to SM expectation
- With new R(K<sup>(\*)</sup>) results, LF universal NP in C<sub>9l</sub> now favored over LFU violation according to global fits [arXiv:2304.07330]
- Synergy of different experiments important to improve precision
- Still excitements ahead: LHC Run3 ongoing / more BELLEII data / BESIII taking  $7x \psi(3770)$  data / STCF on the horizon / ...

**Backup Slides** 

# D tags @ BESIII PHYSICAL REV

#### PHYSICAL REVIEW LETTERS 121, 171803 (2018)





arXlv:2303.12468 3.19 fb<sup>-1</sup> @ 4178 MeV