

UPC Physics Workshop 2023

# Nuclear excitation by electron capture in electron-ion collisions

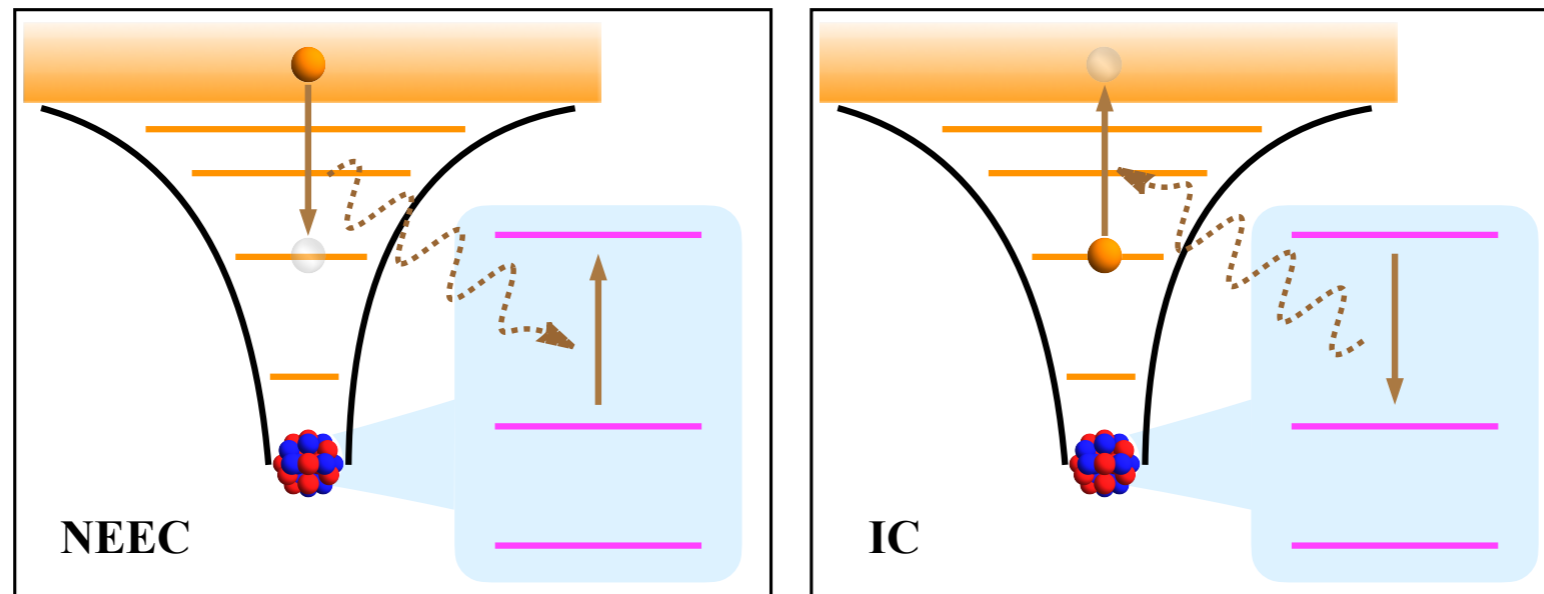
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南开大学物理科学学院

2023年05月27日

# NEEC

## NEEC: Nuclear Excitation by Electron Capture

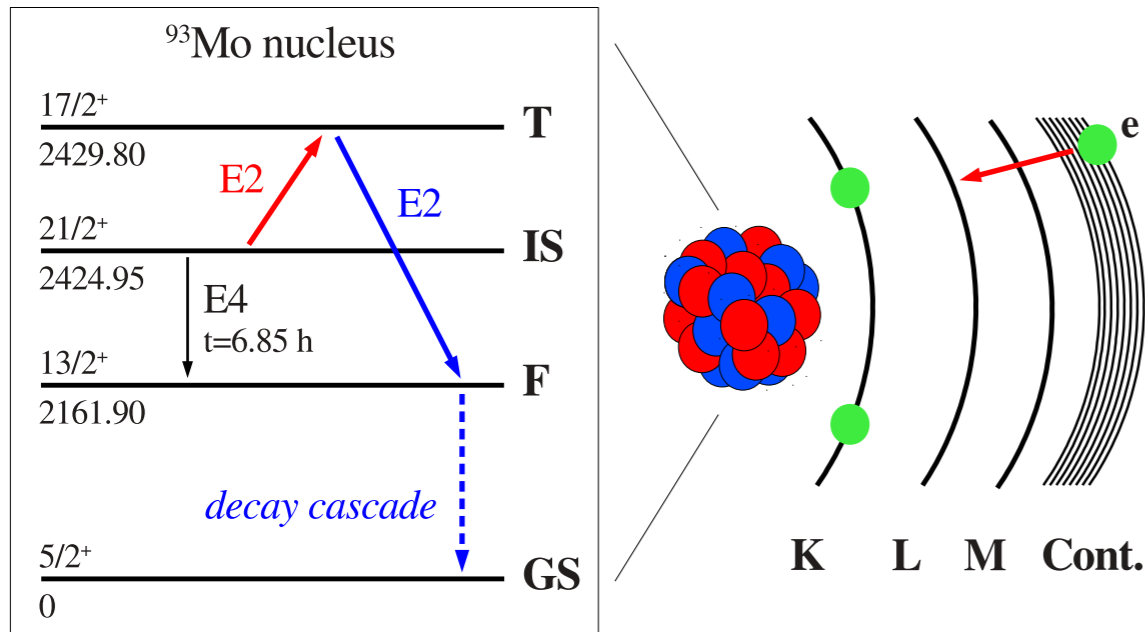


- 1976年在理论上提出，2018年首个实验迹象被报道
- **核结构**的研究、**核天体物理**的研究
- 通过调控电子与原子来**调控原子核**
- 核能触发 (Isomer triggering): **新型核能**
- 核钟 (Nuclear clock) 相关研究

# Why NEEC?

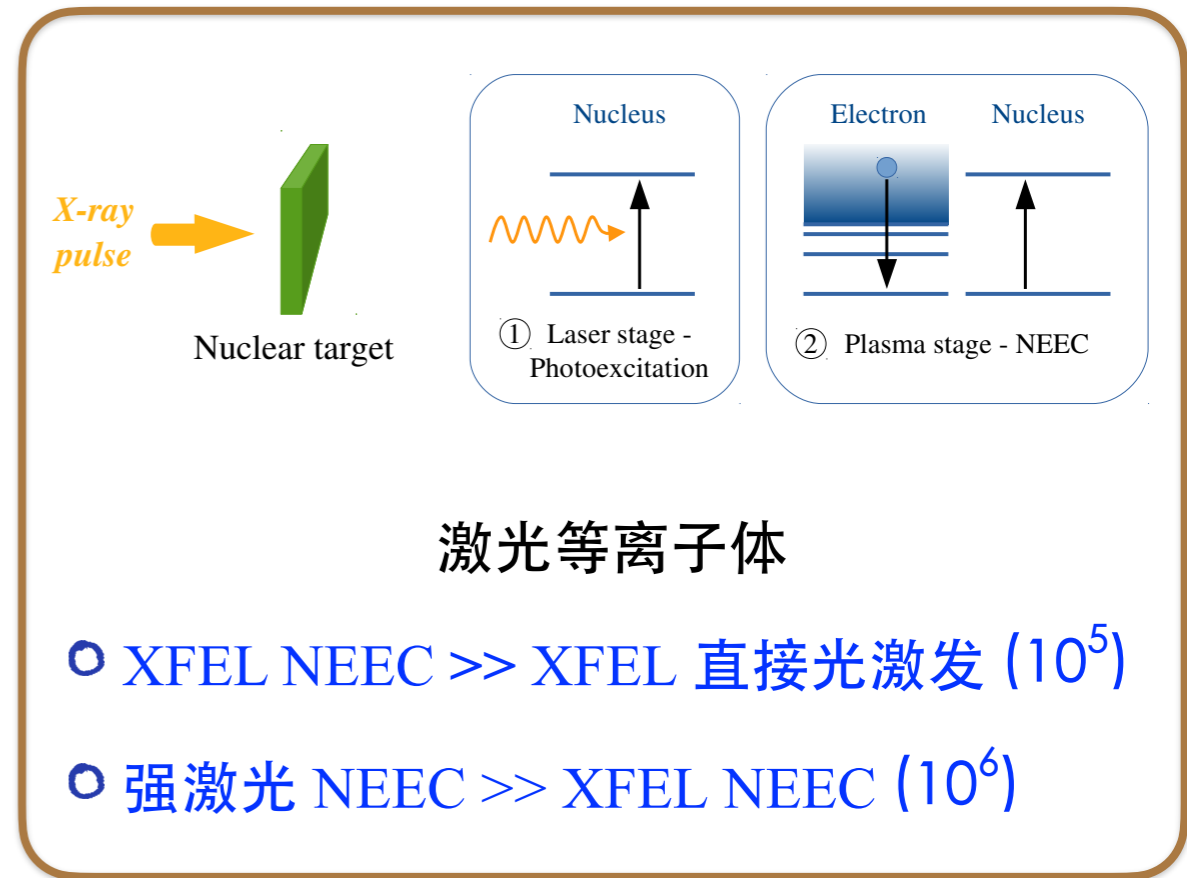
- NEEC有望用于触发同核异能素

能量释放：新型核能



Isomer triggering

Isomer: long-lived excited state of nuclei



Gunst, Litvinov, Keitel, Pálffy, Phys. Rev. Lett. 112, 082501(2014)  
 Gunst, Wu, Kumar, Keitel, Pálffy, Phys. Plasmas 22, 112706 (2015)  
 Wu, Gunst, Keitel, Pálffy, Phys. Rev. Lett. 120, 052504 (2018)  
 Gunst, Wu, Keitel, Pálffy, Phys. Rev. E 97, 063205 (2018)  
 Wu, Keitel, Pálffy, Phys. Rev. A 100, 063420 (2019)

# Why NEEC?

## 首个NEEC实验迹象在2018年被报道

- 离子束-固体靶相互作用
- $^{93m}\text{Mo}$ 同核异能素衰变

C. J. Chiara *et al.*, Nature 554, 216 (2018)

- ① 首个NEEC实验迹象的理论分析?
- ① 通过电子波函数的改变来影响NEEC?  
— 涡旋电子束

# 报告提纲

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☑ 研究背景

☑ 首个NEEC实验迹象的讨论

☑ 涡旋电子束

☑ 总结

# 报告提纲

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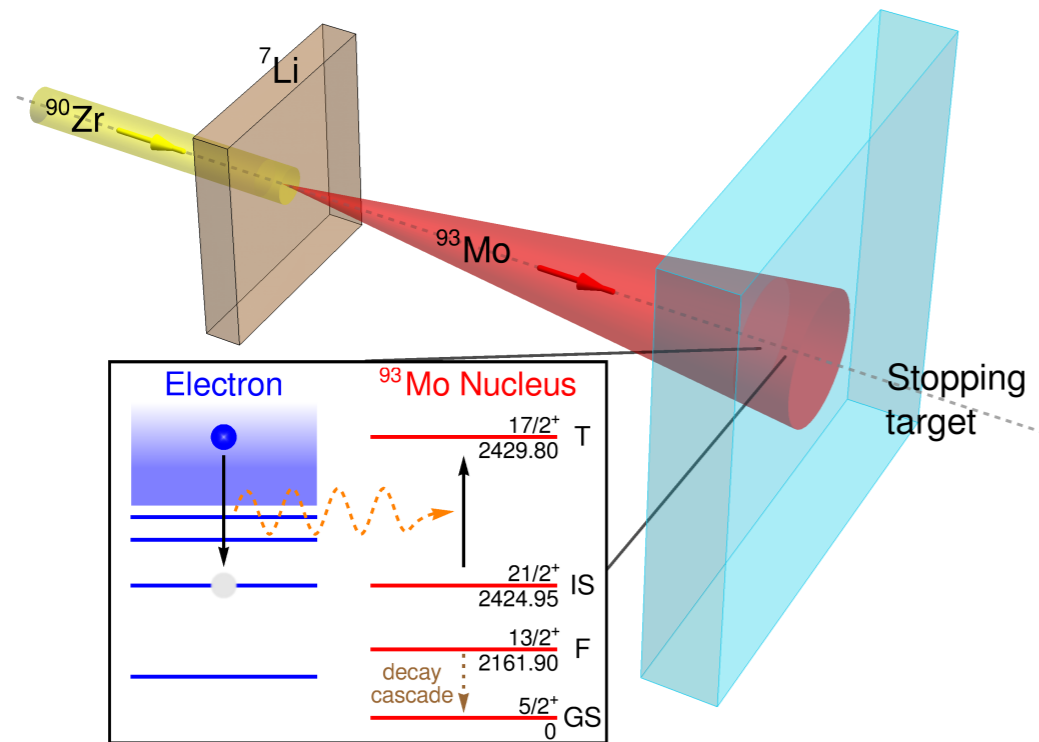
☑ 研究背景

☑ 首个NEEC实验迹象的讨论

☑ 涡旋电子束

☑ 总结

# First Claimed NEEEC evidence



## 首次实验观测NEEC

- $^{93\text{m}}\text{Mo}$  衰变
- $P_{\text{exc}} = 0.01$
- 没有相关的NEEC理论计算

C. J. Chiara *et al.*, Nature 554, 216 (2018)

Wu, Keitel, Pálffy, Phys. Rev. Lett. 122, 212501 (2019)

# NEEC 截面

## NEEC 截面

$$\sigma_q^\alpha(E) = S_q^\alpha \frac{\Gamma_{q,\alpha}/(2\pi)}{(E - E_{q,\alpha})^2 + \frac{1}{4}\Gamma_{q,\alpha}^2}$$

$S_q^\alpha$  : resonance strength

$$S_q^\alpha \propto \left| \langle \Psi_g^N | \langle \Psi_g^e | H_N | \Psi_i^e, \psi_s \rangle | \Psi_i^N \rangle \right|^2$$

- Coulomb interaction (E transitions)

$$H_{en} = \int d^3r_n \frac{\rho_n(\vec{r}_n)}{|\vec{r}_e - \vec{r}_n|}$$

- Magnetic Hamiltonian (M transitions)

$$H_{magn} = -\frac{1}{c} \vec{\alpha} \int d^3r_n \frac{\vec{j}_n(\vec{r}_n)}{|\vec{r} - \vec{r}_n|}$$

Matrix elements

- Nuclear via reduced transition probability  $B(E/ML)$
- Electronic wavefunctions  
Bound electrons: GRASP92



# First Claimed NEEC evidence

NEEC几率

$$P = \sum_{q,\alpha} \int f_q \phi \sigma_q^\alpha dt$$

NEEC截面

$$\sigma_q^\alpha(E) = S_q^\alpha(E) \frac{\Gamma_{q,\alpha}/(2\pi)}{(E - E_{q,\alpha})^2 + \frac{1}{4}\Gamma_{q,\alpha}^2}$$

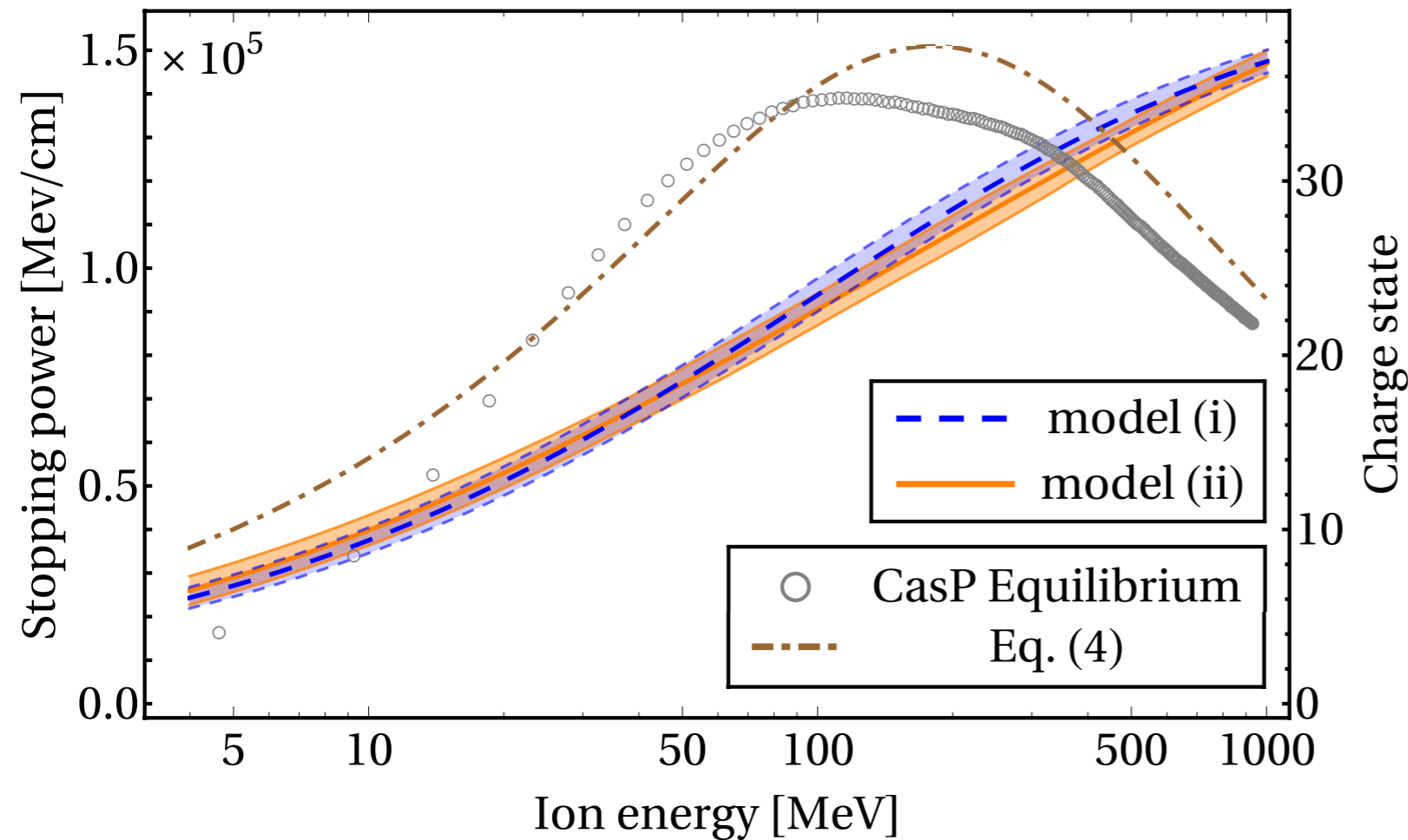
$\Gamma$ 很小

$$P = \sum_{q,\alpha} f_q(E_{q,\alpha}^{\text{ion}}) P_q^\alpha(E_{q,\alpha}^{\text{ion}})$$

$$P_q^\alpha = n_e S_q^\alpha(E_{q,\alpha}) \frac{m_i}{m_e} \frac{1}{-\left(\frac{E^{\text{ion}}}{dx}\right) \Big|_{E_{q,\alpha}^{\text{ion}}}}$$

Wu, Keitel, Pálffy, Phys. Rev. Lett. 122, 212501 (2019)

# First Claimed NEEEC evidence



- **Convolution approximation for swift Particle (CasP) code**

Schiwietz, Grande, Phys. Rev. A 84, 052703 (2011)

- **Semi-empirical formula**

$$\frac{dE^{\text{ion}}}{dx} = \frac{4\pi Z_p^2 e^4}{m_e v^2} N Z_t L$$

Javanainen, Nucl. Instr. Meth. B 285, 158 (2012)

- **Gaussian distribution with  $\bar{q}$  and width**

- (i) **A general fitting formula by Nikolaev and Dmitriev (ND)**

Phys. Lett. A 28, 277 (1968)

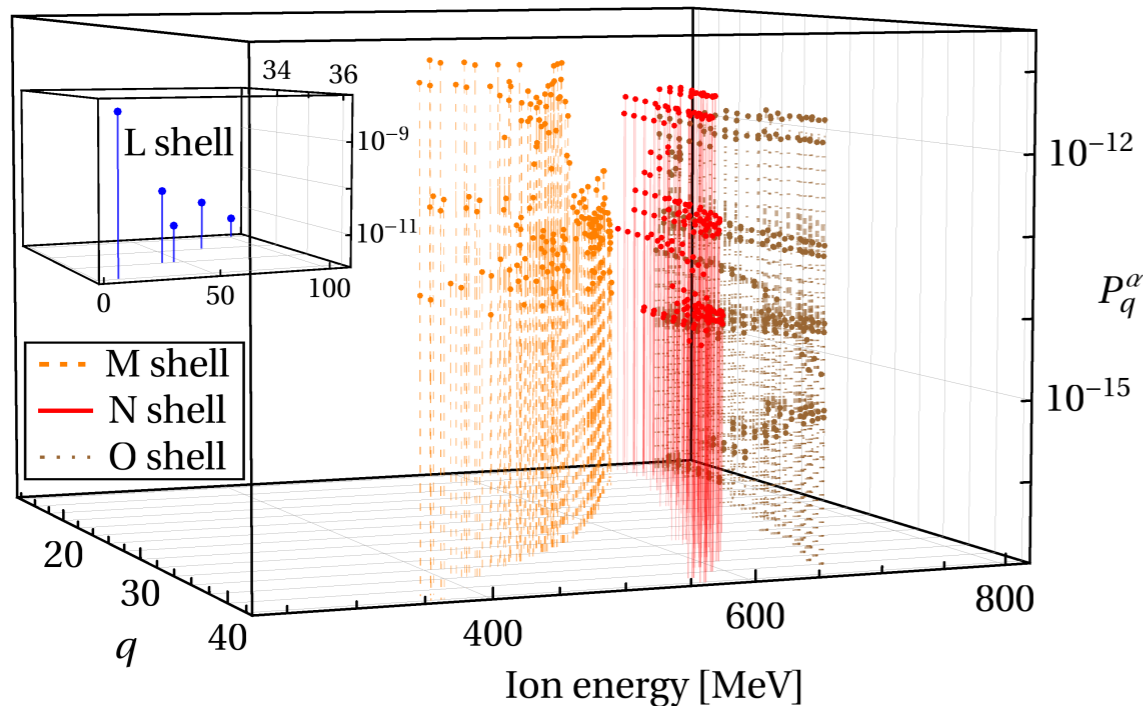
- (ii) **A multi-parameter least-square fit by Schiwietz and Grande (SG)**

Nucl. Instr. Meth. B 175-177, 125 (2001)

Wu, Keitel, Pálffy, Phys. Rev. Lett. 122, 212501 (2019)

# First Claimed NEEEC evidence

## NEEC理论计算



$$P_q^\alpha = n_e S_q^\alpha(E_{q,\alpha}) \frac{m_i}{m_e} \frac{1}{-\left(\frac{E^{\text{ion}}}{dx}\right) \Big|_{E_{q,\alpha}^{\text{ion}}}}$$

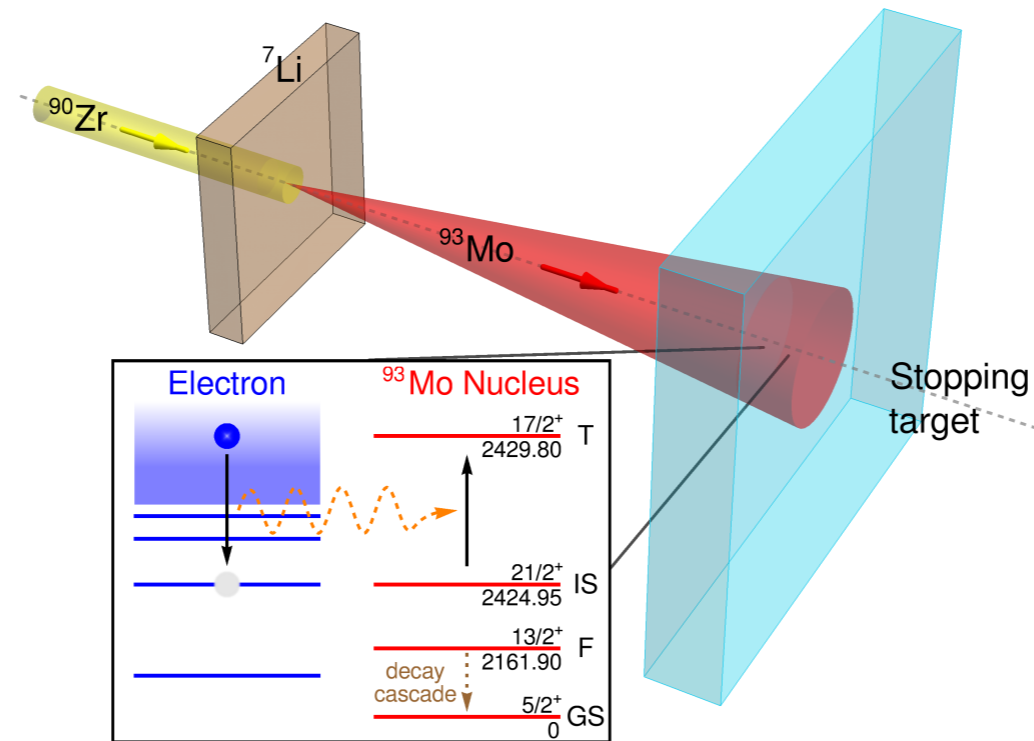
考虑648个通道

L, M, N, O 壳层

$$P = \sum_{q,\alpha} f_q(E_{q,\alpha}^{\text{ion}}) P_q^\alpha(E_{q,\alpha}^{\text{ion}})$$

- NEEC probability  $P \sim 10^{-11} \ll P_{\text{exc}} = 0.01$
- NEEC probability in Pb target  $\approx 5 \times 10^{-11}$

# First Claimed NEEEC evidence



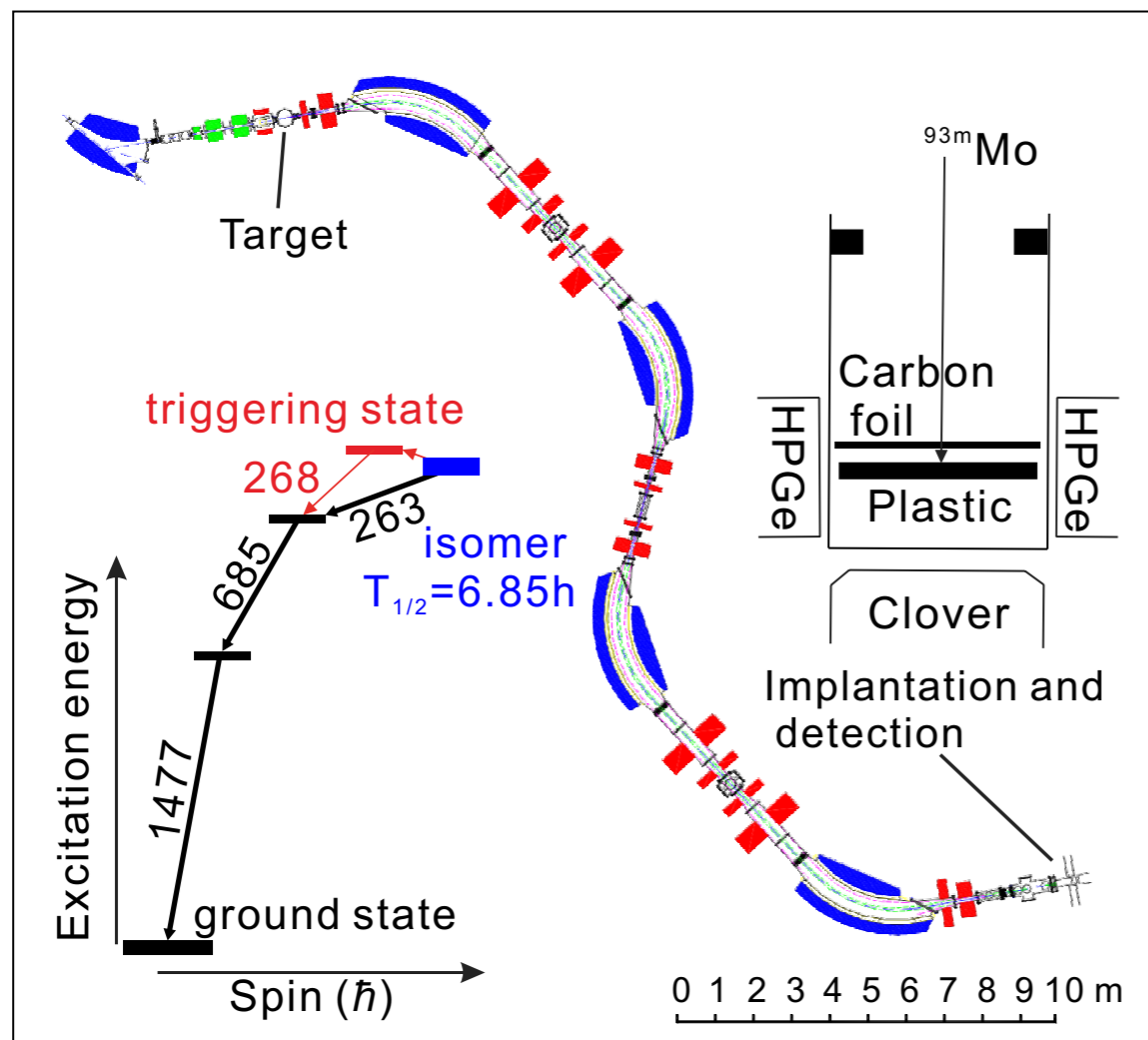
$^{93\text{m}}\text{Mo}$ 产生和衰变观测区域没有有效分离从而可能引起污染？

S. Guo *et al.*, Nature 594, E1 (2021)

C. J. Chiara *et al.*, Nature 594, E3 (2021)

# First Claimed NEEEC evidence

## 使用Isomer Beam重新观测NEEC



- $^{12}\text{C}(^{86}\text{Kr}, 5n)^{93m}\text{Mo}$
- $^{93m}\text{Mo}$ 离子能量: 460 MeV
- 分离 $^{93m}\text{Mo}$ 产生和衰变观测区域
- 低伽马本底
- 激发几率上限:  $P_{\text{exc}} < 2 \times 10^{-5}$
- NEEC几率理论比值:  
 $P(460 \text{ MeV})/P(840 \text{ MeV}) \sim 8\%$

S. Guo, B. Ding, X. H. Zhou, Y. B. Wu, ....., Y. H. Zhang, Phys. Rev. Lett. 128, 242502 (2022)

# 报告提纲

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☑ 研究背景

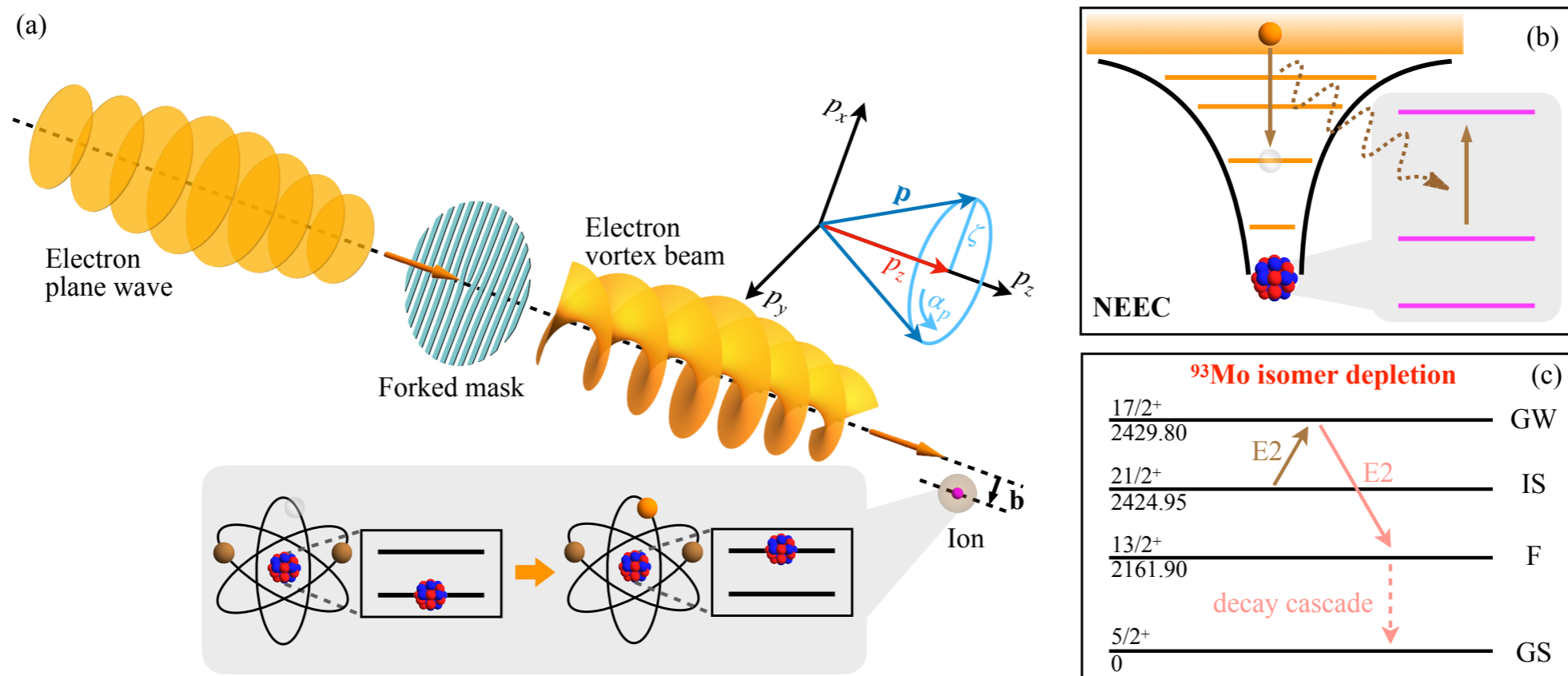
☑ 首个NEEC实验迹象的讨论

☑ 涡旋电子束

☑ 总结

# NEEC-涡旋电子束

通过调节电子波函数来操纵原子核？



Electron vortex beams carry orbital angular momentum (OAM)

Wu, Gargiulo, Carbone, Keitel, Pálffy, Phys. Rev. Lett. 128, 162501 (2022)

# NEEC-涡旋电子束

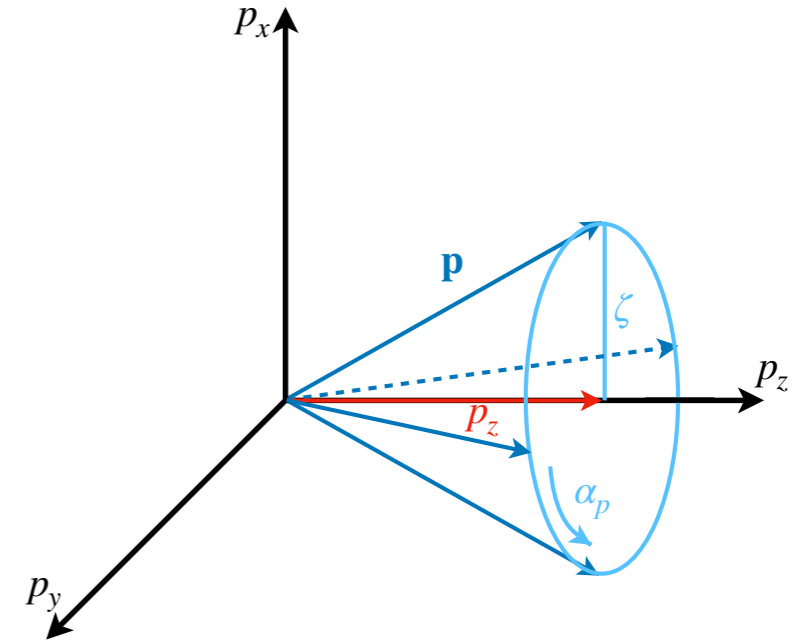
## 电子波函数

$$\psi(\mathbf{r}) = \int \frac{d^2 \mathbf{p}_\perp}{(2\pi)^2} a_{\zeta m}(\mathbf{p}_\perp) u_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{r}}$$

$$a_{\zeta m}(\mathbf{p}_\perp) = (-i)^m e^{im\alpha_p} \sqrt{2\pi/\zeta} \delta(|\mathbf{p}_\perp| - \zeta)$$

$m$ : vortex quantum number related to OAM

$$\mathbf{p} = (\mathbf{p}_\perp, p_z) = (\zeta \cos \alpha_p, \zeta \sin \alpha_p, p_z)$$



$$\psi_{+\frac{1}{2}}(\mathbf{r}) \propto e^{ip_z z} \left[ \begin{array}{c} \left( \begin{array}{c} \sqrt{E_+} \\ 0 \\ \cos \theta_0 \sqrt{E_-} \\ 0 \end{array} \right) e^{im\varphi} J_m(\zeta r_\perp) + i \left( \begin{array}{c} 0 \\ 0 \\ 0 \\ \sin \theta_0 \sqrt{E_-} \end{array} \right) e^{i(m+1)\varphi} J_{m+1}(\zeta r_\perp) \end{array} \right]$$

$$\psi_{-\frac{1}{2}}(\mathbf{r}) \propto e^{ip_z z} \left[ \begin{array}{c} \left( \begin{array}{c} 0 \\ \sqrt{E_+} \\ 0 \\ -\cos \theta_0 \sqrt{E_-} \end{array} \right) e^{im\varphi} J_m(\zeta r_\perp) - i \left( \begin{array}{c} 0 \\ 0 \\ \sin \theta_0 \sqrt{E_-} \\ 0 \end{array} \right) e^{i(m-1)\varphi} J_{m-1}(\zeta r_\perp) \end{array} \right]$$



# NEEC-涡旋电子束

NEEC - 平面波

$$\sigma_{neec} = \frac{2\pi^2}{p^2} Y_{neec} L_d (E - E_d)$$

$$Y_{neec} = Y_a \sum_{\kappa} Y_b$$

NEEC - 涡旋电子

$$\sigma_{neec} = \frac{2\pi^2}{p^2} \frac{2p}{J_z} Y_{neec} L_d (E - E_d)$$

$$Y_{neec} = \frac{\zeta b^2}{2} \int_0^{2\pi} \int_0^{2\pi} \frac{d\alpha_p}{2\pi} \frac{d\alpha_k}{2\pi} e^{im(\alpha_p - \alpha_k)} Y_{neec}^{p,k} {}_0F_1(2; u)$$

$$Y_{neec}^{p,k} = Y_a \sum_{\kappa, m_l} \frac{Y_b}{2l+1} Y_{lm_l}^*(\theta_k, \varphi_k) Y_{lm_l}(\theta_p, \varphi_p)$$

$$u = -b^2 \zeta^2 [1 - \cos(\alpha_k - \alpha_p)] / 2$$

Transitions of electric multipolarity  $L$

$$Y_a = \frac{4\pi^2(2J_d + 1)}{(2J_i + 1)(2L + 1)^2} \frac{B\rho_i}{R_0^{2(L+2)}}; \quad Y_b = \left[ C(j_d \ L \ j; \frac{1}{2} \ 0 \ \frac{1}{2}) \right]^2 |R_{L, \kappa_d, \kappa}|^2$$

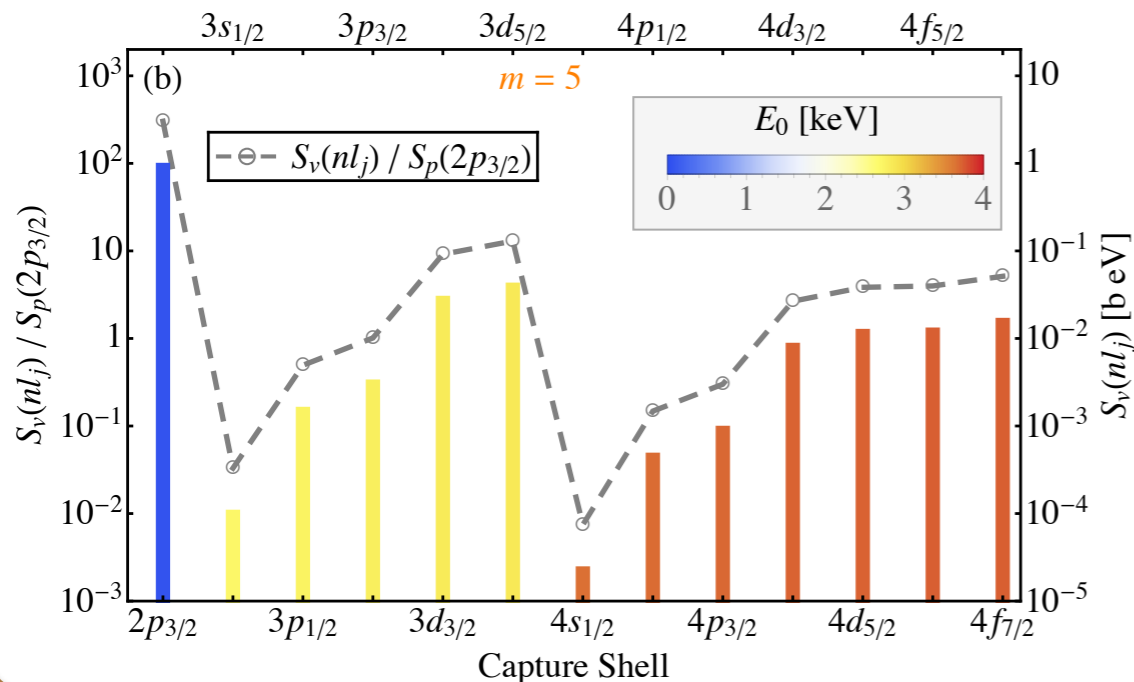
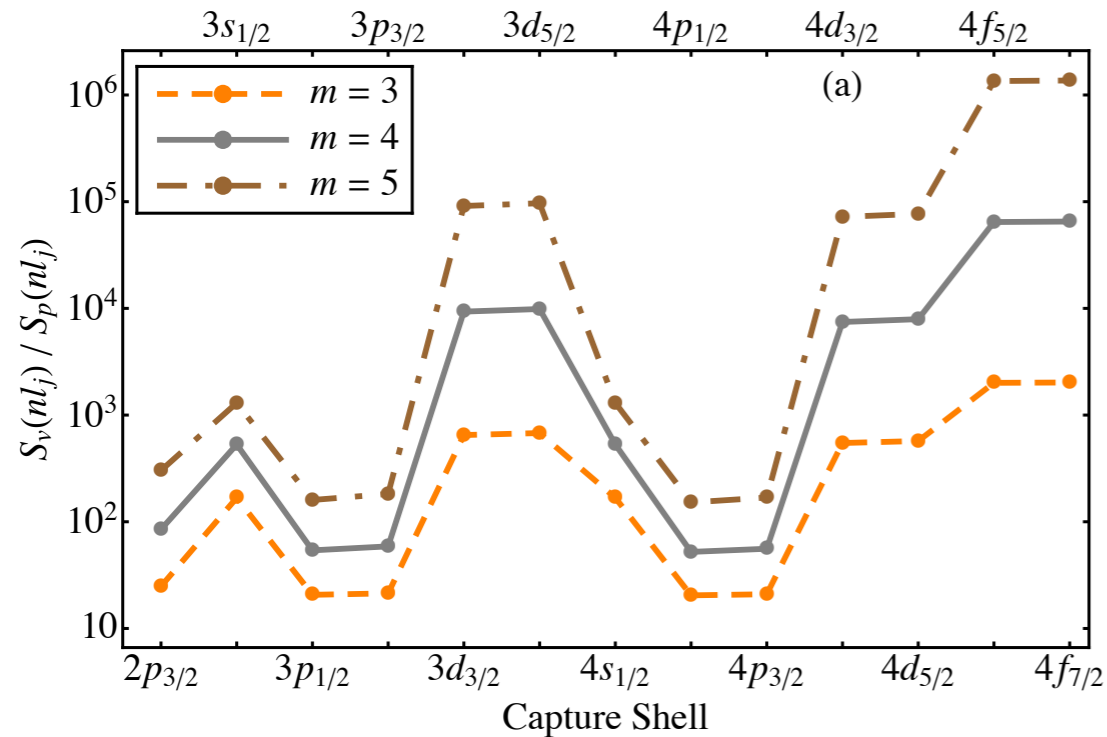
Transitions of magnetic multipolarity  $L$

$$Y_a = \frac{4\pi^2(2J_d + 1)}{(2J_i + 1)L^2(2L + 1)^2} B\rho_i; \quad Y_b = (2j + 1)(\kappa_d + \kappa)^2 \left( \begin{matrix} j_d & j & L \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{matrix} \right)^2 |R_{L, \kappa_d, \kappa}|^2$$

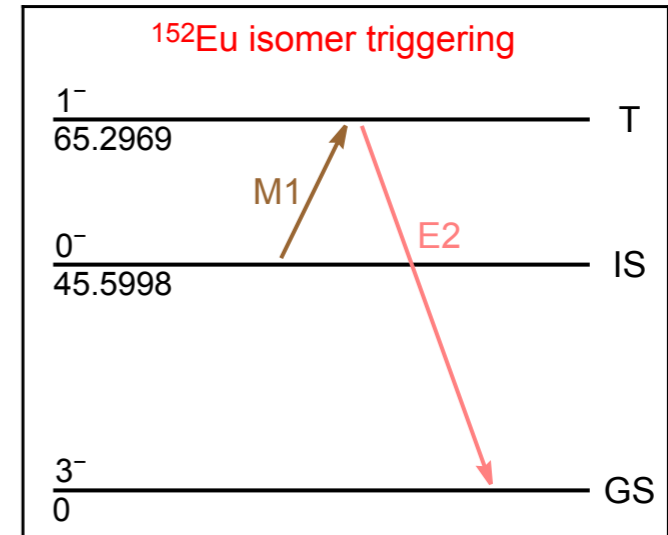
Wu, Gargiulo, Carbone, Keitel, Pálffy, Phys. Rev. Lett. 128, 162501 (2022)

# NEEC-涡旋电子束

## 93mMo E2 跃迁



## 152mEu M1 跃迁



$nl_j$	$S_p(\text{b eV})$	$S_v(\text{b eV})$ $m = 3$	$S_v(\text{b eV})$ $m = 5$
$2s_{1/2}$	$8.05 \cdot 10^{-4}$	$1.14 \cdot 10^{-3}$	$1.14 \cdot 10^{-3}$
$2p_{1/2}$	$7.85 \cdot 10^{-5}$	$1.35 \cdot 10^{-3}$	$3.34 \cdot 10^{-3}$
$2p_{3/2}$	$1.25 \cdot 10^{-5}$	$4.21 \cdot 10^{-4}$	$7.61 \cdot 10^{-3}$

$$\zeta = p_z; \zeta b = 1$$

# 报告提纲

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☑ 研究背景

☑ 首个NEEC实验迹象的讨论

☑ 涡旋电子束

☑ 总结

# 总结

## 首个NEEC实验迹象 — 离子束-固体靶

- NEEC几率  $P \sim 10^{-11} \ll P_{\text{exc}} = 0.01$  (首个NEEC实验迹象)
- 离子能量偏低、低伽马本底条件下重新测量:  $P_{\text{exc}} < 2 \times 10^{-5}$

## NEEC — 涡旋电子束-离子束

- 相比于平面波，涡旋电子束可以使NEEC截面提高2-6个数量级
- 通过电子波函数的改变影响原子核能级的跃迁

谢谢大家!