

Formation & evolution of galaxies & supermassive black holes

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Outline of lectures

1. Structure formation & assembly of dark halos
2. Gas cooling & angular momentum
3. Star formation & feedback
4. Galaxy mergers & morphologies
5. Cosmic evolution of galaxies
6. Formation of black holes
7. BH binaries & spin
8. Co-evolution of galaxies & AGN

Further reading for lectures 1-5

- Galaxy Formation and Evolution by Houjun Mo, Frank van den Bosch and Simon White
 - the best textbook on galaxy formation, very comprehensive & up-to-date
- Galaxy formation theory by Andrew Benson (Physics Reports vol 495, p33, 2010)
 - a useful recent review article
- A primer on hierarchical galaxy formation: the semi-analytical approach by Carlton Baugh (Reports on Progress in Physics, vol 69, p3101, 2006)
 - another useful review, good overview, less technical

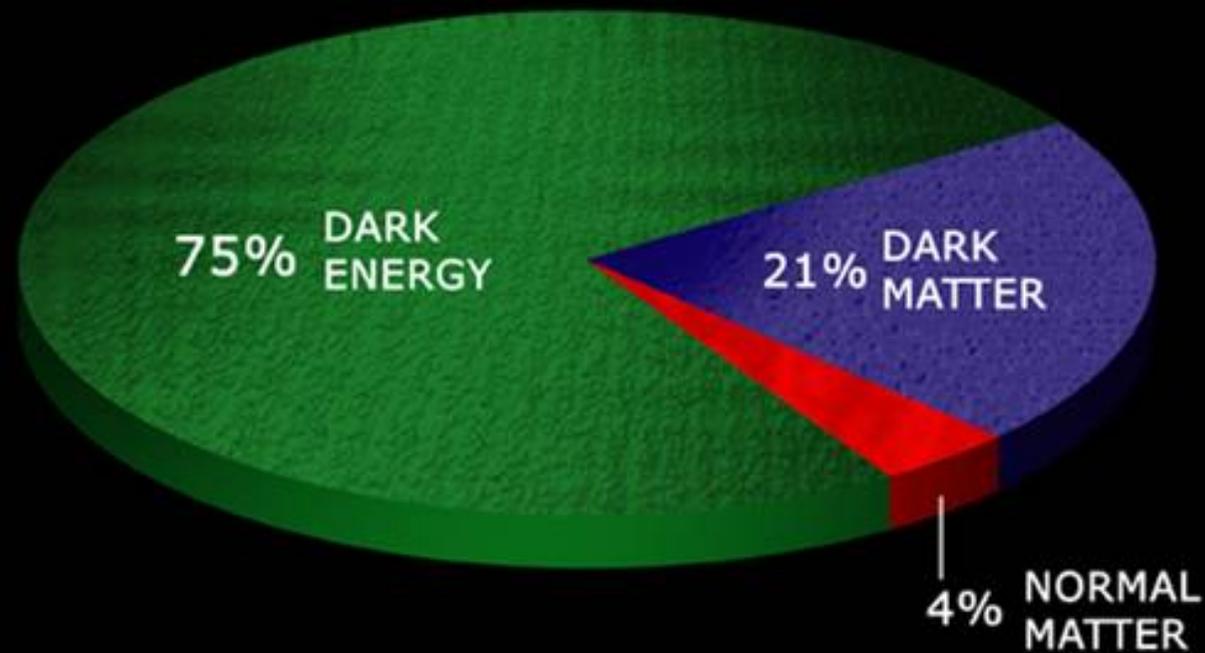
Lecture 1:
Structure formation & dark
matter halos

Lecture 1 outline

- Contents of universe
- Outline of structure formation
- Non-linear evolution of structure in dark matter - N-body simulation results
- Analytical approaches to assembly of dark matter halos & halo mass function
- Halo density profiles

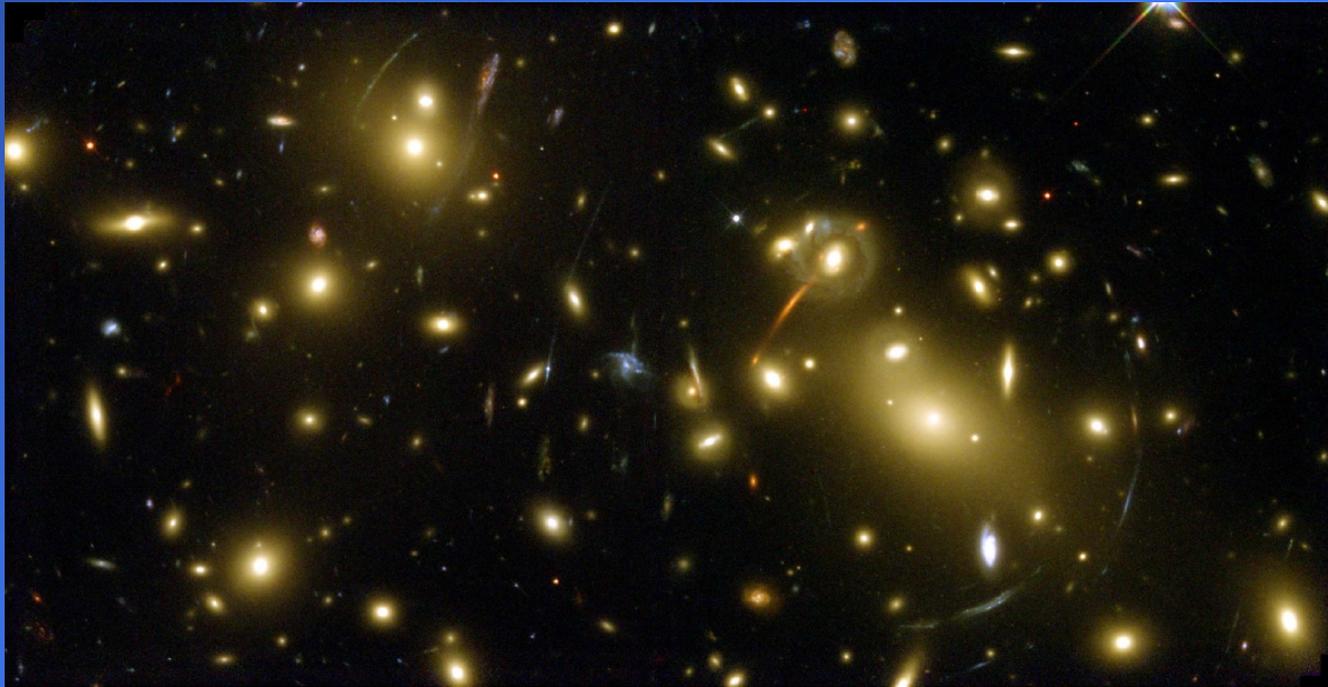
Constituents of universe

Contents of the universe



- dark energy = mysterious form of energy which opposes gravity
- dark matter = invisible form of matter which interacts only via gravity

Dark Matter – observational evidence



- Dynamics of galaxy clusters
- Galaxy rotation curves
- Gravitational lensing

Non-baryonic dark matter candidates

Type candidate mass

hot	neutrino	a few eV
warm	?	a few keV
cold	axion neutralino	10^{-5} eV ->100 GeV

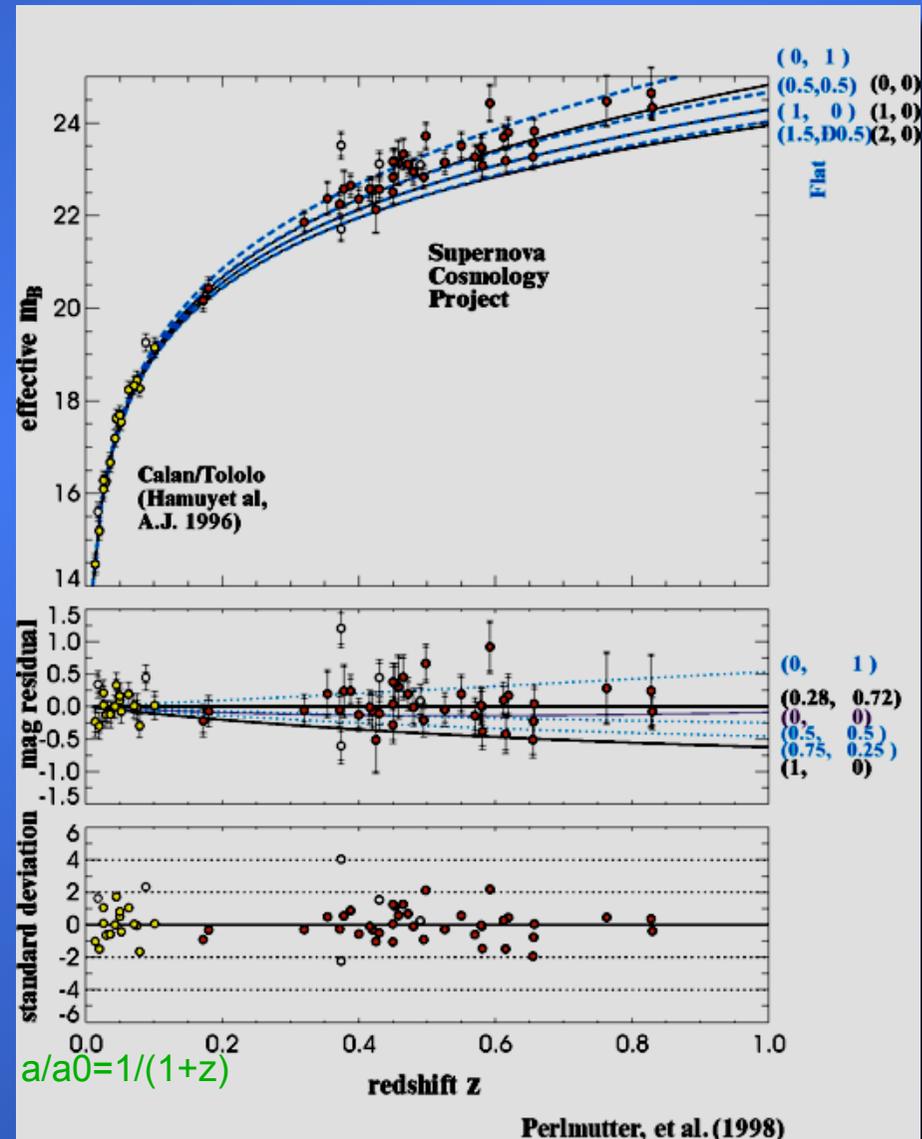


Standard model is Cold Dark Matter (CDM)

Dark energy – observational evidence

Expansion of universe from high-z supernovae:
- SNIa (standard candles) at $z \sim 0.5-1$ are fainter than expected even if universe were empty
 \Rightarrow cosmic expansion must have been accelerating since light was emitted

Standard model for dark energy is cosmological constant (Λ)



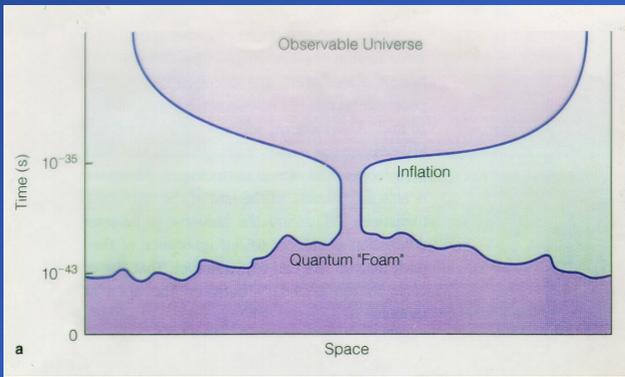
Origin of structure in the universe

Standard model for structure formation

- Universe today contains:
~70% dark energy, ~25% dark matter, ~4% baryons
- Inflation made universe spatially flat
- Also generated spectrum of adiabatic density fluctuations
- Density fluctuations grew by gravitational instability to produce present-day structure

The origin of cosmic structure

Inflation ($t \sim 10^{-35}$ s)



1. FLAT GEOMETRY:

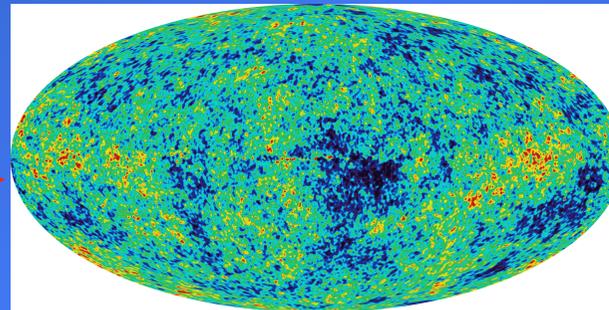
$$\Omega + \frac{\Lambda}{3H^2} = 1$$

2. QUANTUM FLUCTUATIONS:

adiabatic

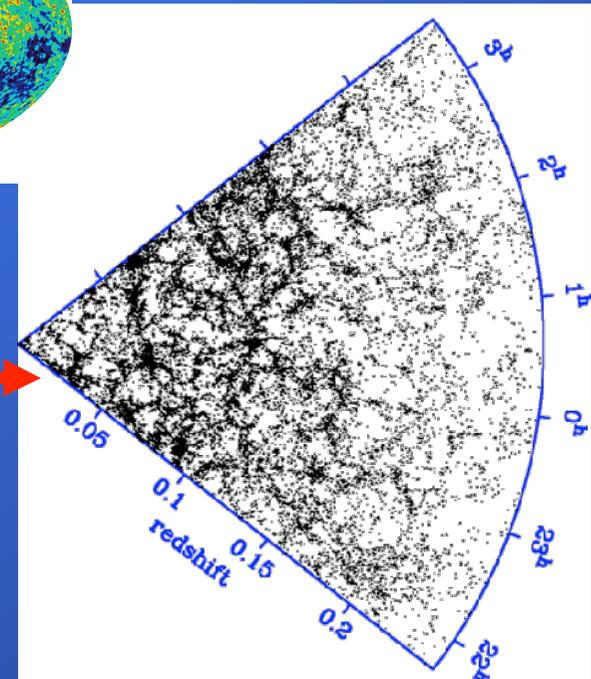
$$\left\{ \begin{array}{l} |\delta_k|^2 \propto k^n \quad n = 1 \\ \text{Gaussian amplitudes} \end{array} \right.$$

CMB ($t \sim 3 \times 10^5$ yrs)



Structure ($t \sim 13 \times 10^9$ yrs)

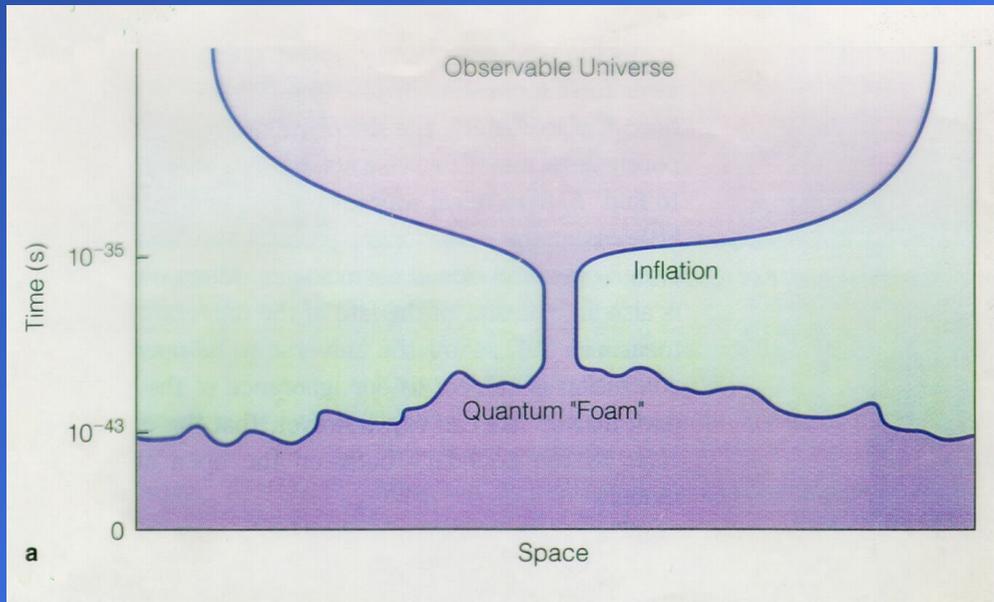
Dark matter



Cosmic Inflation

Inflation - period of exponential expansion driven by scalar field

Standard inflation predicts:



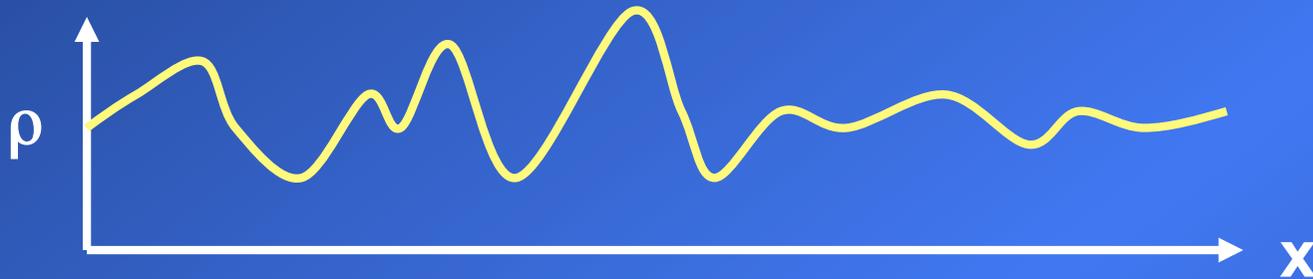
1. FLAT GEOMETRY:

$$\Omega_m + \frac{\Lambda}{3H^2} = 1$$

$$2. \left(\frac{\delta \rho}{\rho} \right)^2 \sim k^3 |\delta_k|^2$$

$$\left\{ \begin{array}{l} |\delta_k|^2 \propto k^n \quad n = 1 \\ \text{Gaussian amplitudes} \end{array} \right.$$

Spectrum of inhomogeneities



Fourier decomposition
of density field

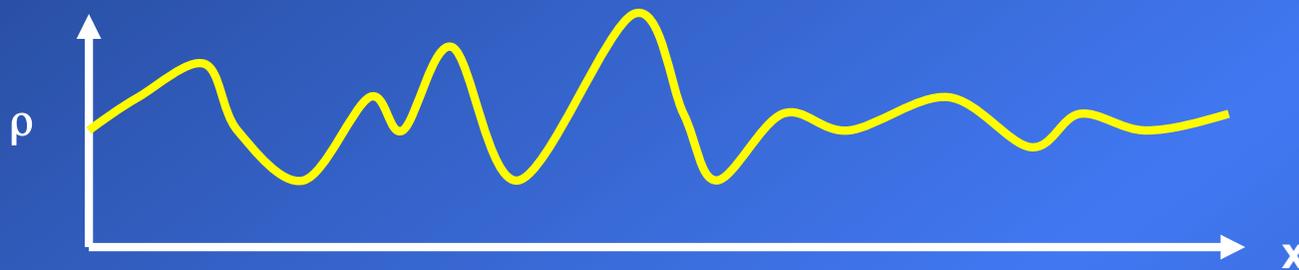
$$\delta(\mathbf{r}) \equiv \frac{\delta\rho}{\rho} = \sum_k \delta_k e^{-i\mathbf{k}\cdot\mathbf{r}}$$

Dimensionless power

$$\Delta^2(k) \equiv \frac{d\sigma^2}{d\ln k} \propto k^3 |\delta_k|^2$$

- power spectrum $P(k) = |\delta_k|^2$
- $\Delta^2(k) \sim k^3 |\delta_k|^2 = \text{average } (\delta\rho/\rho)^2$
on length scale $\lambda \sim 1/k$

Spectrum of inhomogeneities



Fourier decomposition
of density field

$$\delta(\mathbf{r}) \equiv \frac{\delta\rho}{\rho} = \sum_k \delta_k e^{-i\mathbf{k}\cdot\mathbf{r}}$$

Dimensionless power

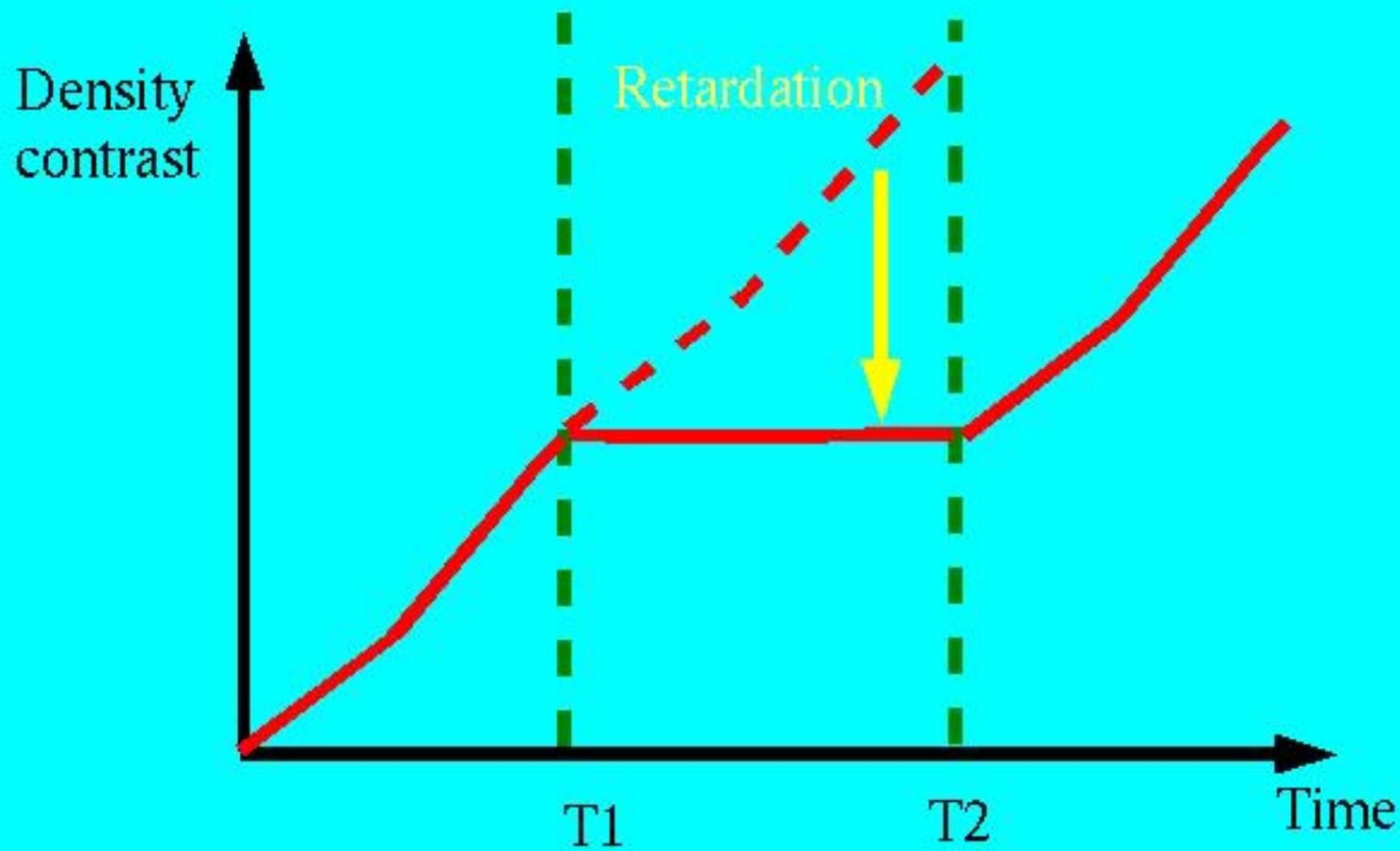
$$\Delta^2(k) \equiv \frac{d\sigma^2}{d\ln k} \propto k^3 |\delta_k|^2 \propto k^{3+n} T_k^2$$

Primordial power-law
spectrum (n=1?)

Transfer function $T_k(k,z)$

- describes growth of density perturbations in linear regime after inflation

Evolution of a density perturbation (dark matter)

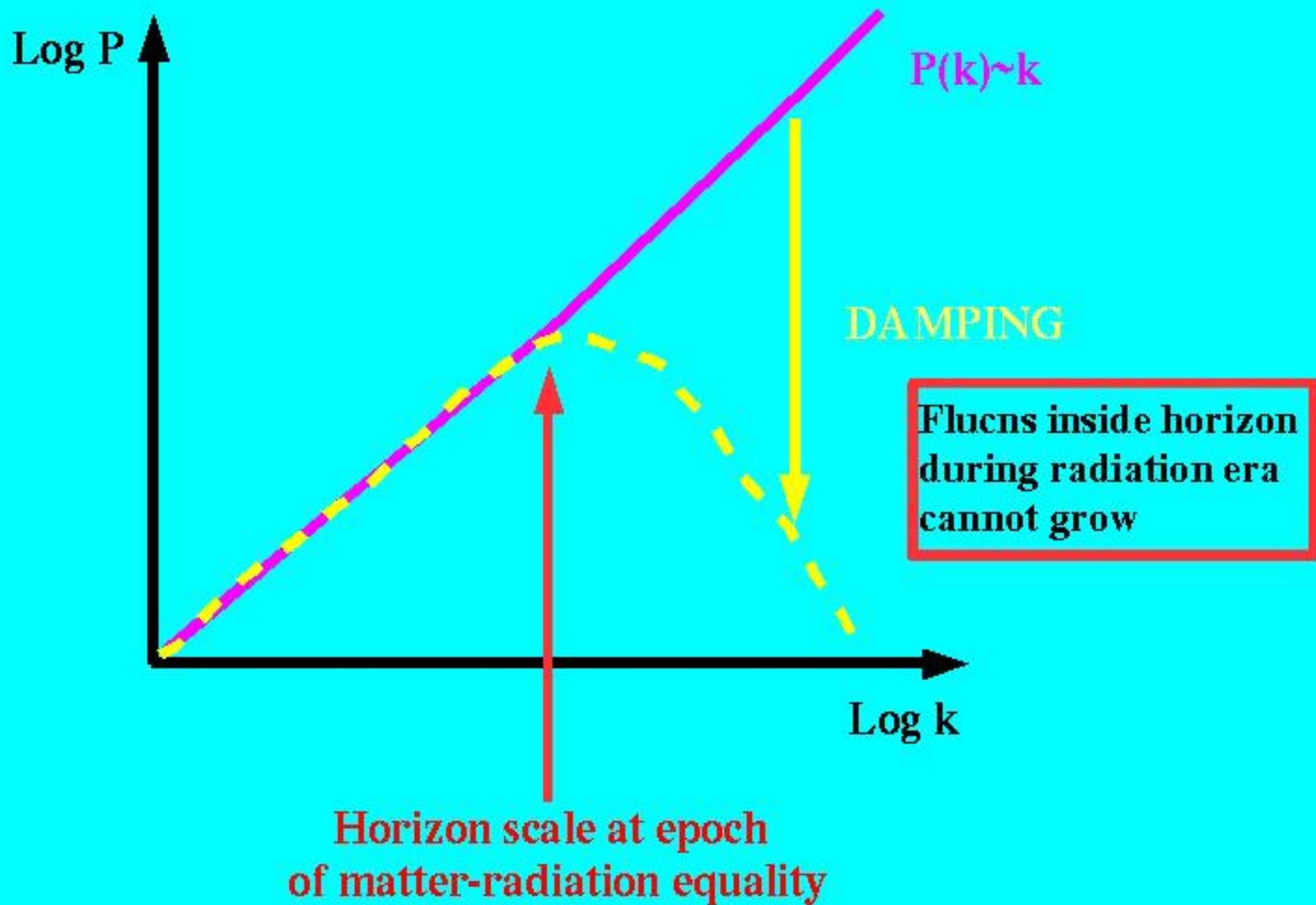


T_1 : horizon has expanded to enclose fluc'n

T_2 : epoch of matter-radiation equality

$T_1 < t < T_2$ fluc'ns in radiation density and expansion of universe
stop growth of density contrast

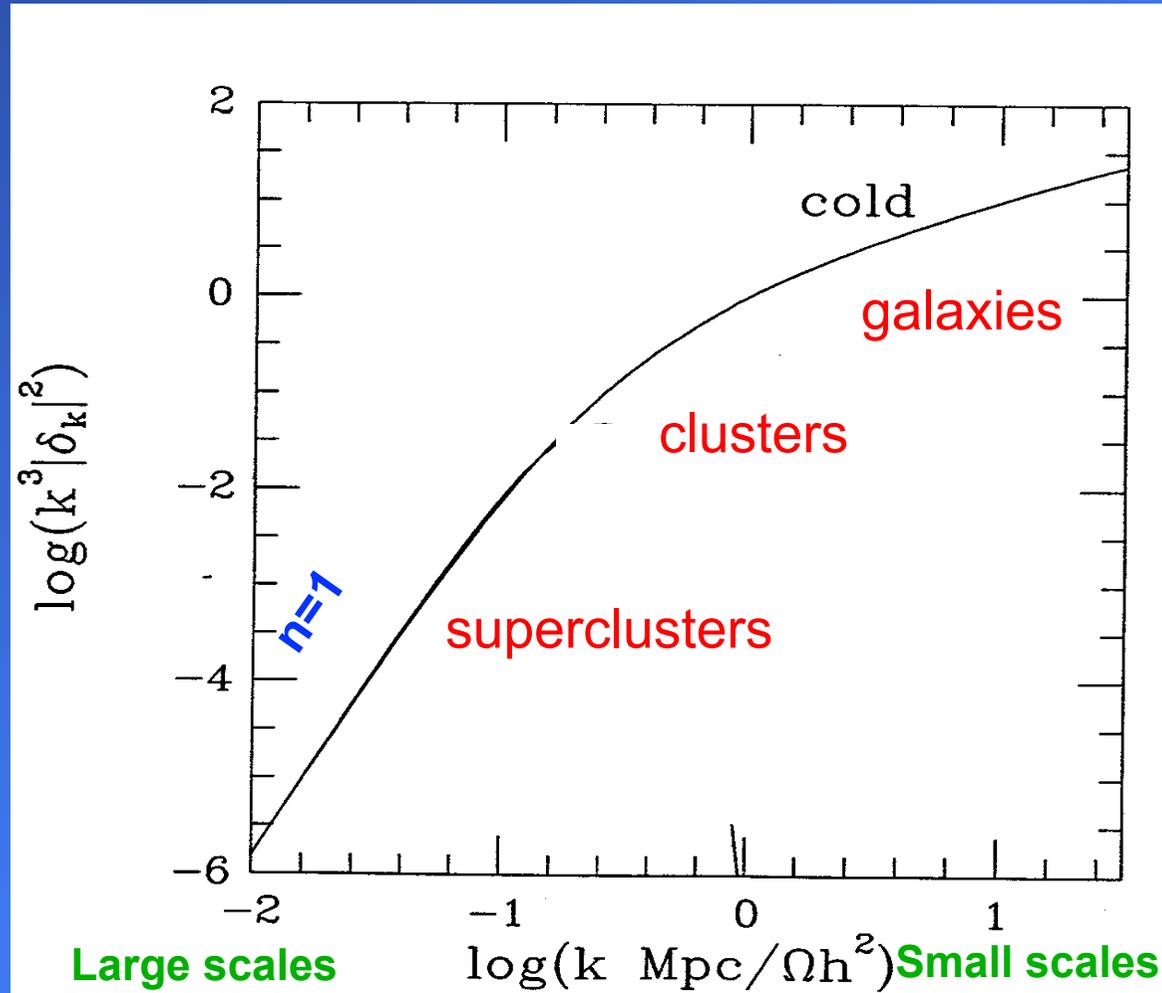
The matter power spectrum



Spectrum of linear density fluctuations for CDM

$$(\delta\rho/\rho)^2$$

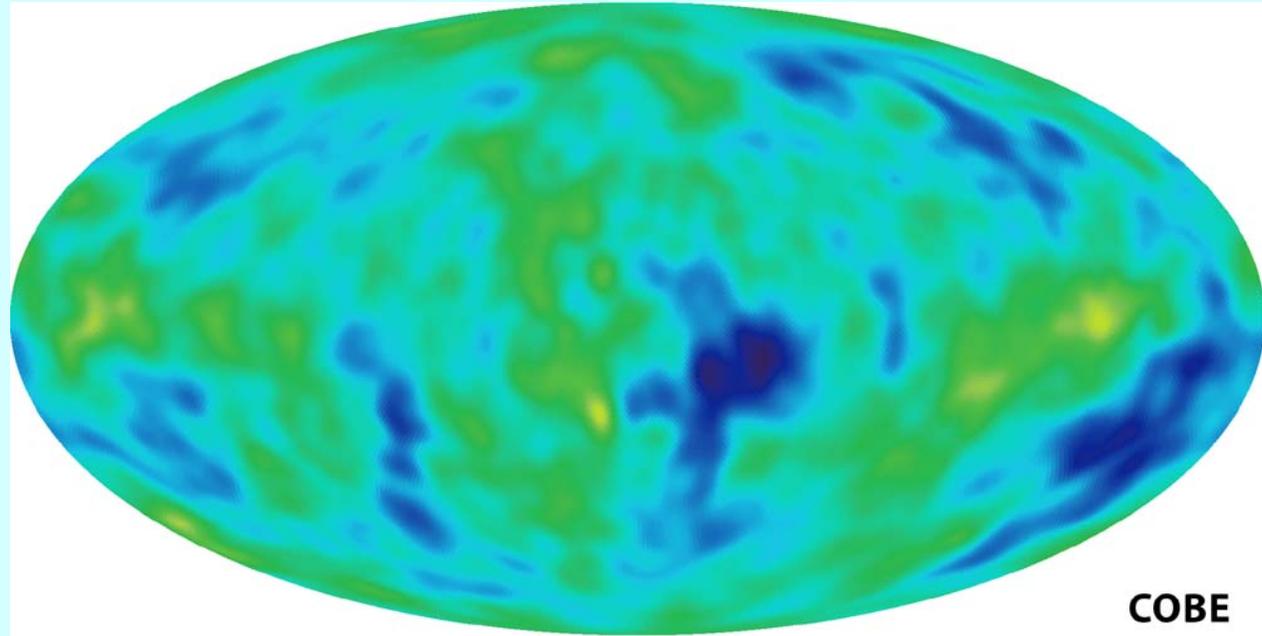
Fluctuation amplitude



$k^3 |\delta_k|^2 = \text{average } (\delta\rho/\rho)^2 \text{ on length scale } \lambda \sim 1/k$
according to linear perturbation theory at $z=0$

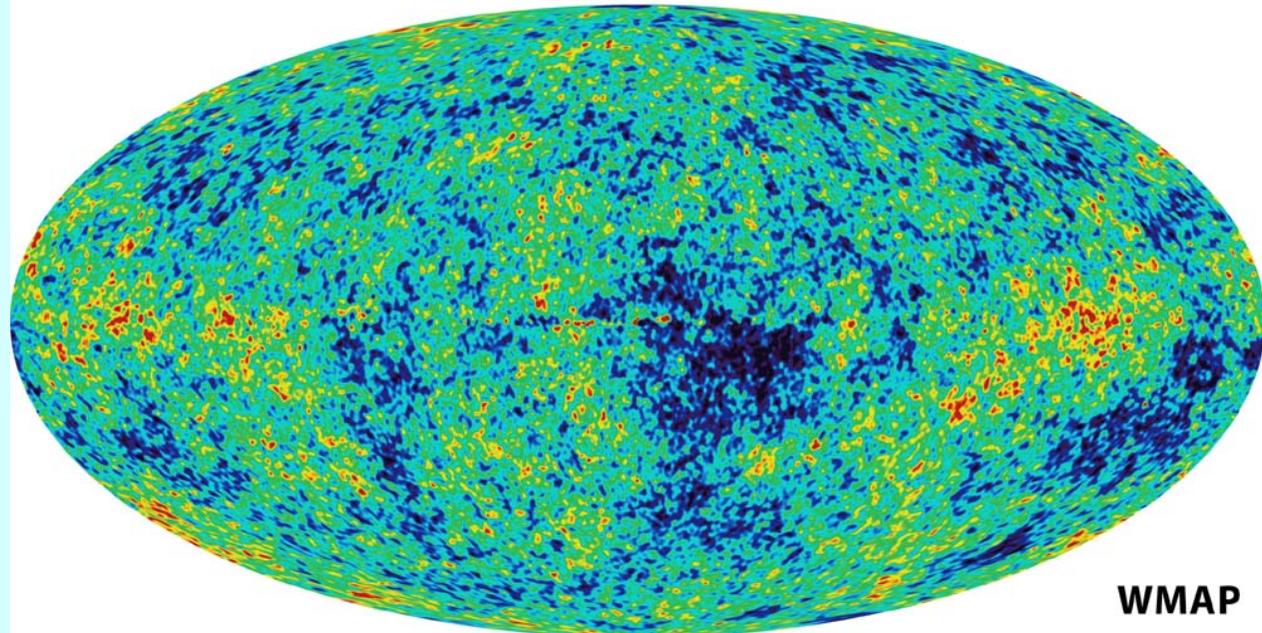
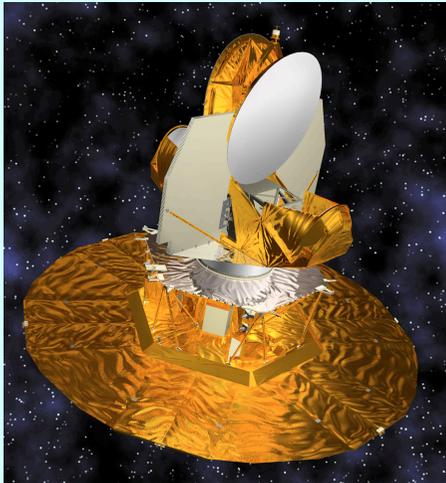
The CMB

1992

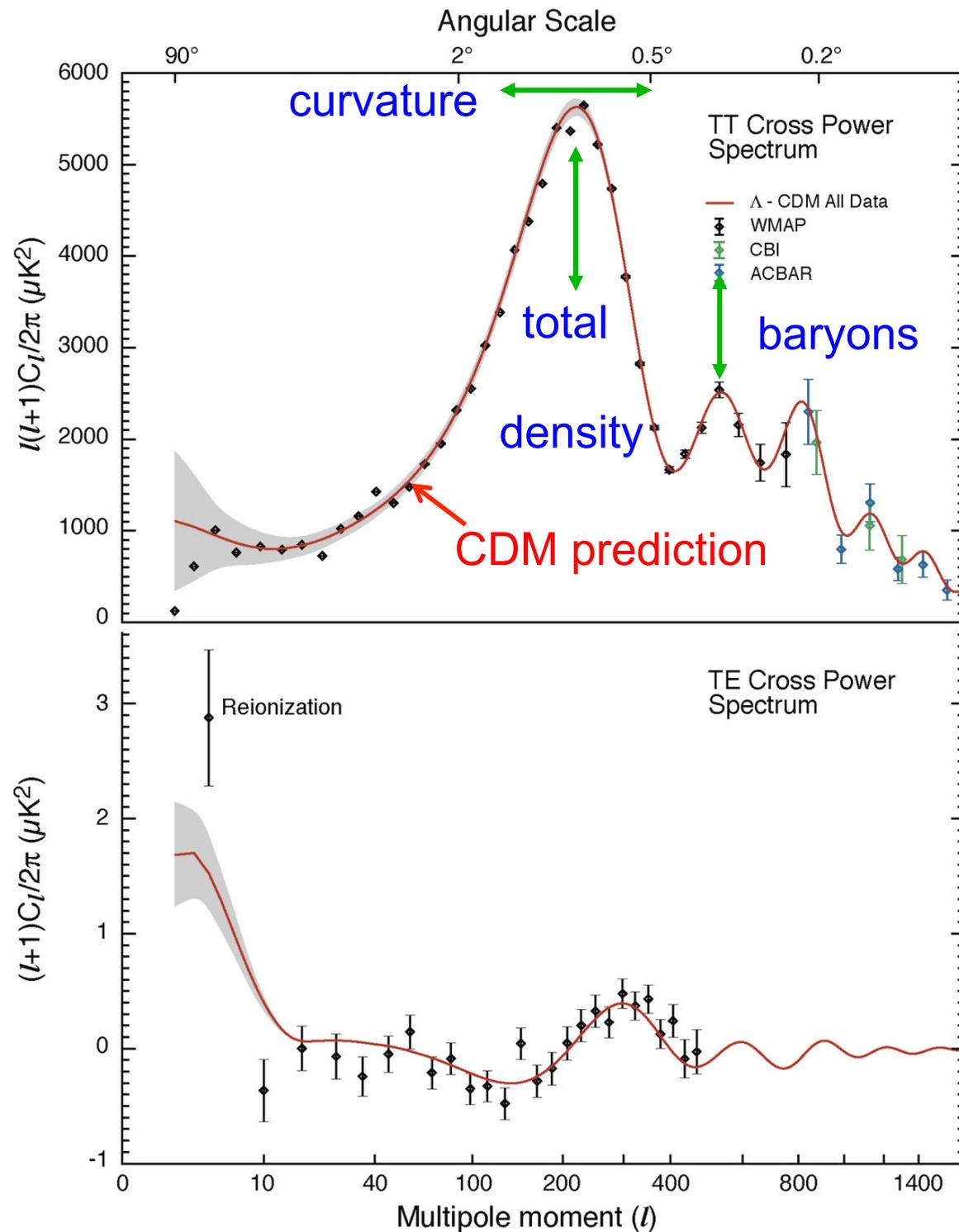


COBE

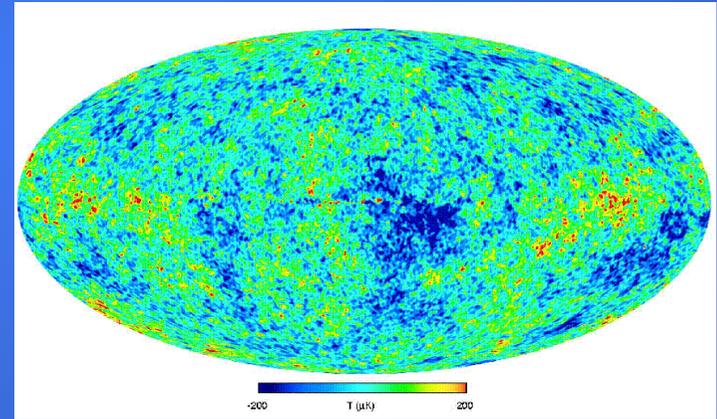
2003



WMAP

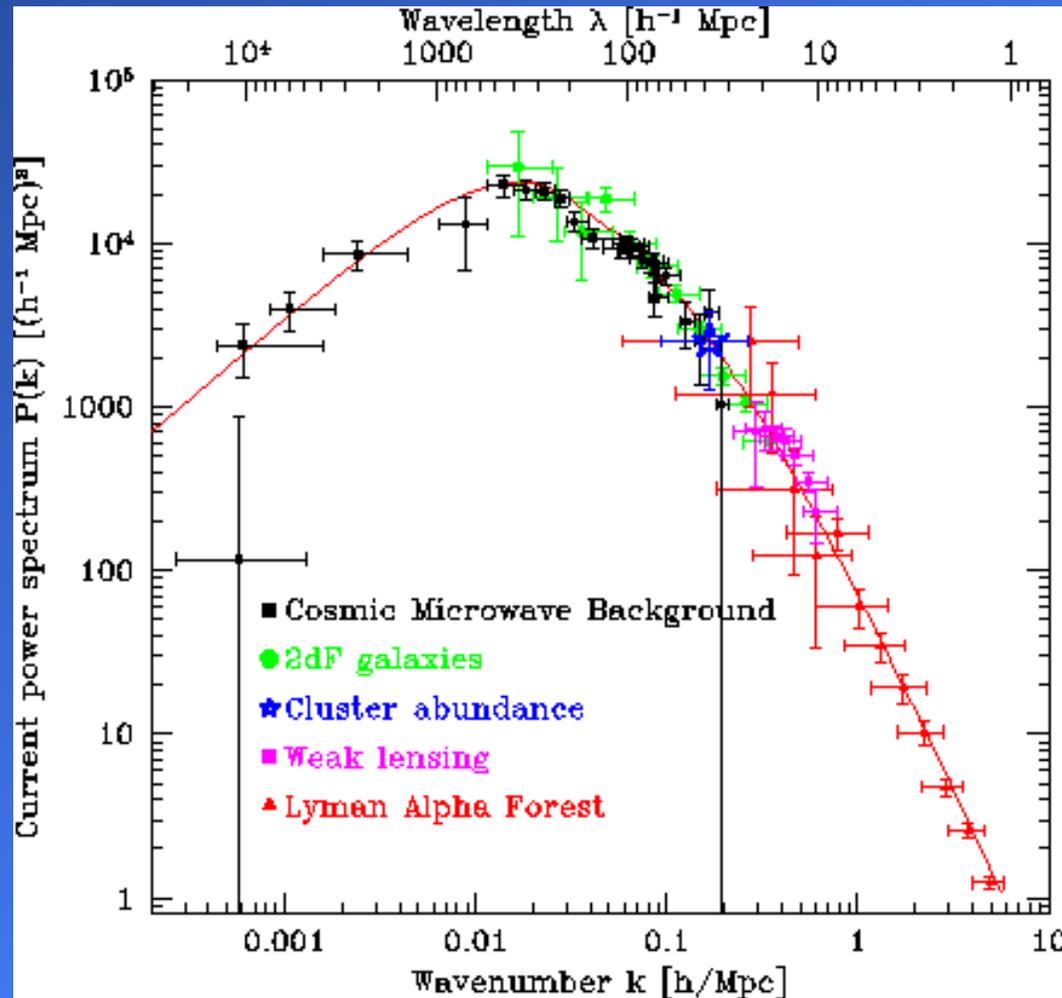


Cosmic Initial Conditions: observational constraints from CMB fluctuations



CMB temperature fluctuations confirm Λ CDM density fluctuations & give most precise constraints on cosmological parameters

Observed power spectrum of linear density fluctuations

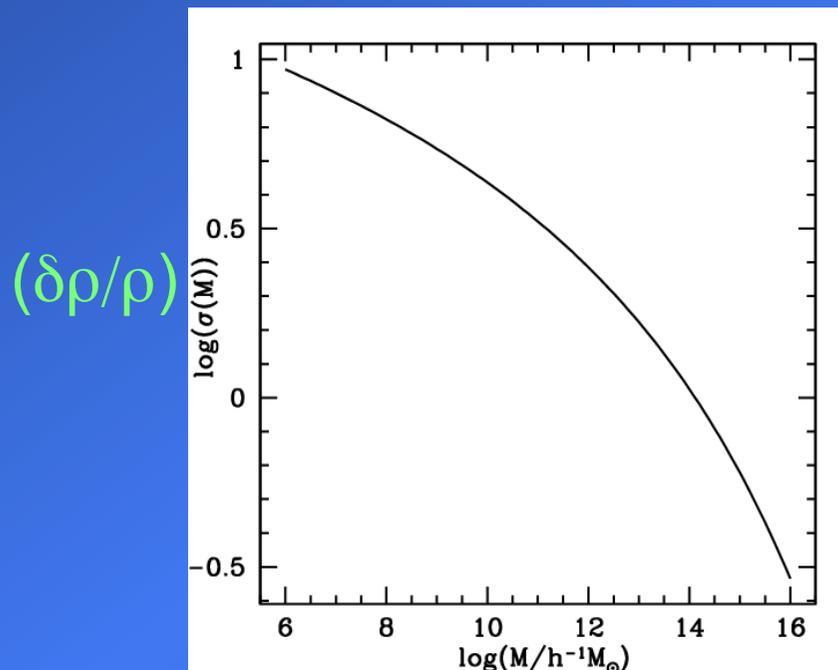


Obs measures of $P(k)$ from:

- CMB
- galaxy clustering
- weak lensing
- Ly α forest
- confirm Λ CDM

Hierarchical structure formation

- in linear regime ($\delta\rho/\rho \ll 1$), perturbations on all scales grow as $\delta\rho/\rho \propto a(t) = 1/(1+z)$ after recombination
- perturbations collapse to gravitationally bound objects when $\delta\rho/\rho \sim 1$ according to linear theory
=> perturbations with larger $(\delta\rho/\rho)_{\text{init}}$ collapse earlier

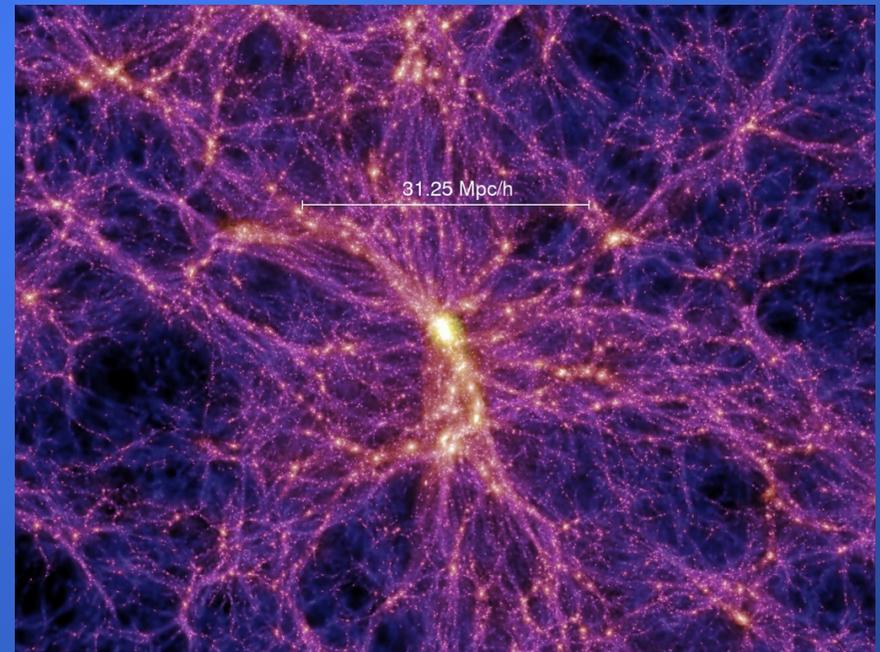


- in CDM, typical $\delta\rho/\rho$ increases monotonically with decreasing mass scale
=> small objects collapse first, larger objects later
- **HIERARCHICAL** or bottom-up structure formation

Formation of dark matter halos

N-body simulations of structure formation in the dark matter

- most general method to calculate evolution of structure in dark matter (DM) in non-linear regime
- start from small density fluctuations with CDM power spectrum
- evolve collisionless DM particles under gravity only
- as first approximation, can ignore effects of baryons on DM



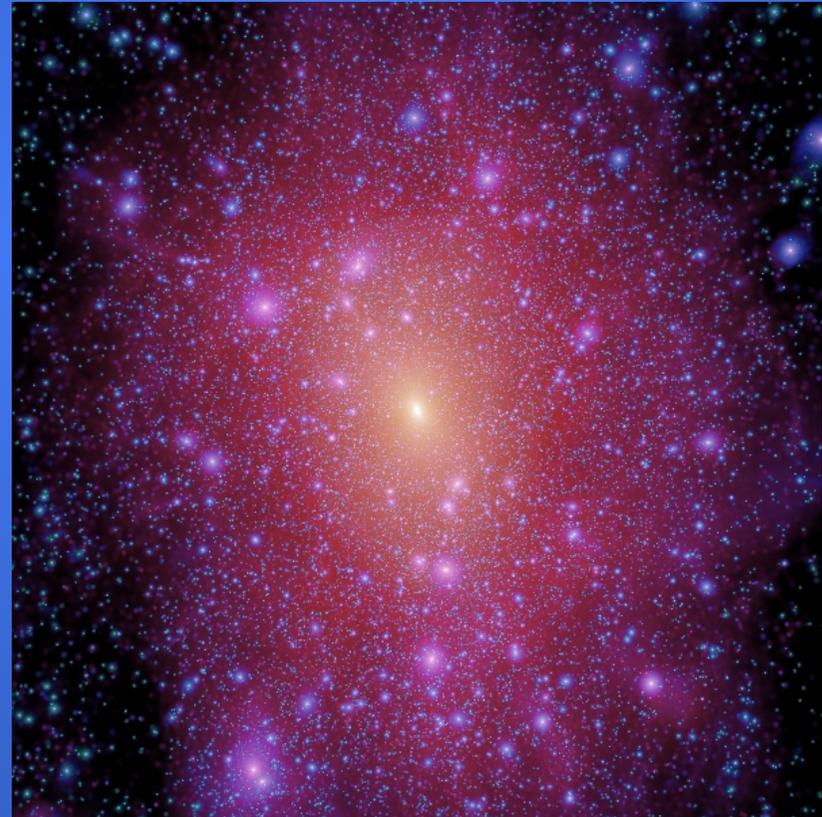
Growth of structure in DM from initial fluctuations



Movie of Millennium simulation (Springel et al 2005)

Formation of dark matter halos

- density fluctuations in the DM stop expanding with universe when $\delta\rho/\rho \sim 1$
- undergo collisionless gravitational collapse to objects in approximate dynamical equilibrium – DARK MATTER HALOS
- small halos typically form first
- large halos then assemble by MERGERS of smaller halos



Formation of a single DM halo

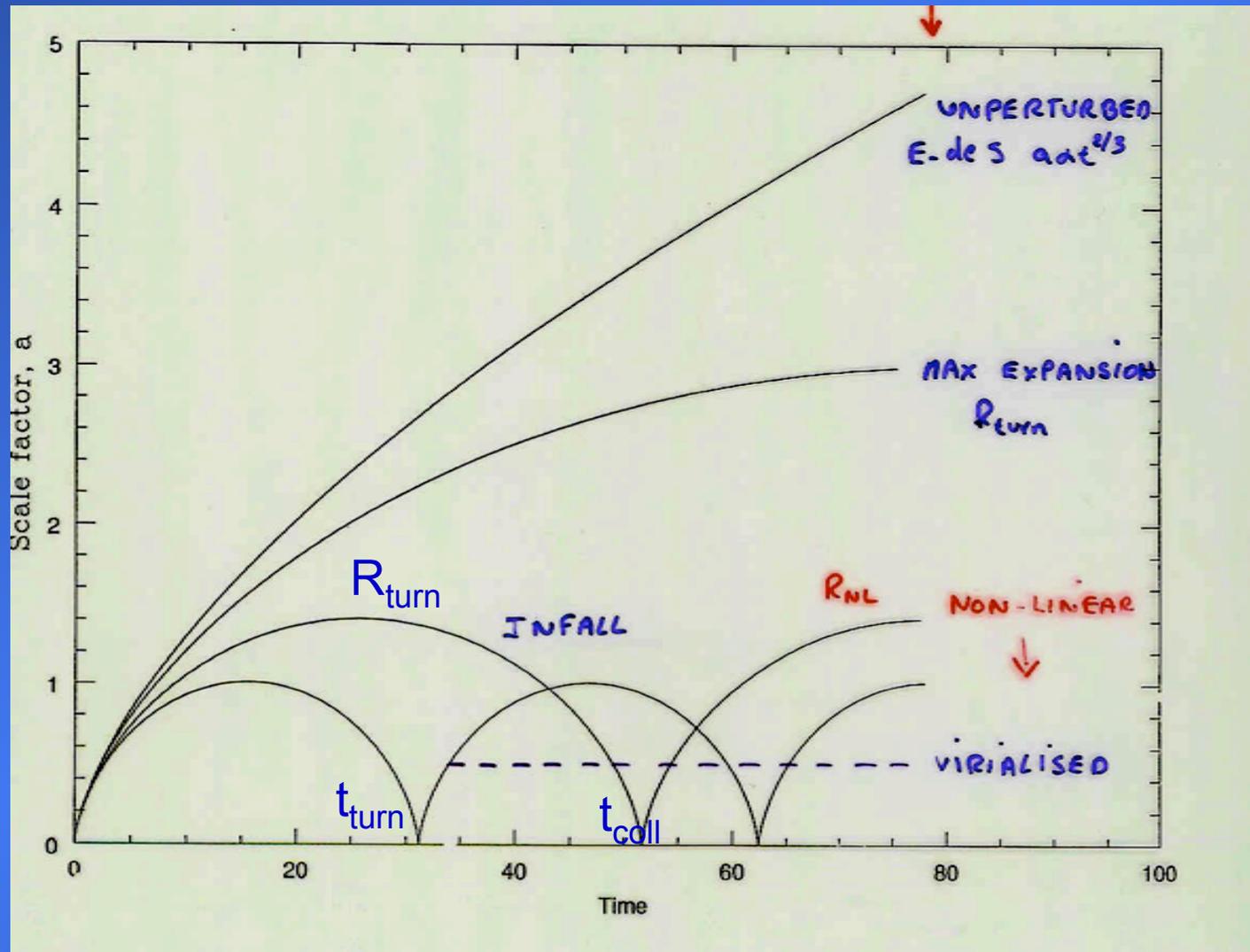


Aquarius simulation of $M \sim 10^{12} M_{\odot}$ halo

Spherical collapse model for dark halo formation

- uniform density spherical DM perturbation has exact analytical solution for evolution into non-linear regime
- provides useful guide to understand DM halo formation seen in N-body simulations
- even though real DM halos form through mergers of smaller objects - so clearly NOT spherical!

Evolution of radius of uniform spherical density perturbation



Collapse redshift for spherical perturbation

- Simplest for $\Omega=1$ cosmology:
- Collapse occurs when according to linear perturbation theory
$$\delta_{\text{LIN}}(t_{\text{coll}}) = (\delta\rho/\rho)_{\text{LIN}} = \delta_c = 1.69$$
- V. similar result in Λ CDM cosmology
- So perturbation with initial amplitude δ_i at t_i collapses at time t_{coll} given by:
$$\delta_i D(t_{\text{coll}})/D(t_i) = \delta_c$$
where $D(t) \propto t^{2/3} \propto 1/(1+z)$ is growth factor in linear perturbation theory
- For $\Omega=1$, $t_{\text{coll}} = t_i (\delta_c/\delta_i)^{3/2} \Rightarrow (1+z_{\text{coll}}) = \delta_{\text{LIN}}(t_0)/\delta_c$

Overdensity of just-collapsed halo in spherical collapse model

- Assume halo reaches virial equilibrium after collapse
- Energy conservation + Virial theorem =>

$$GM/R_{\text{turn}} = GM/2R_{\text{vir}}$$

$$\text{so } R_{\text{vir}} = R_{\text{turn}}/2$$

- So for $\Omega=1$, mean overdensity is

$$\rho(\text{halo})/\rho(\text{background}) = 18\pi^2 = 178$$

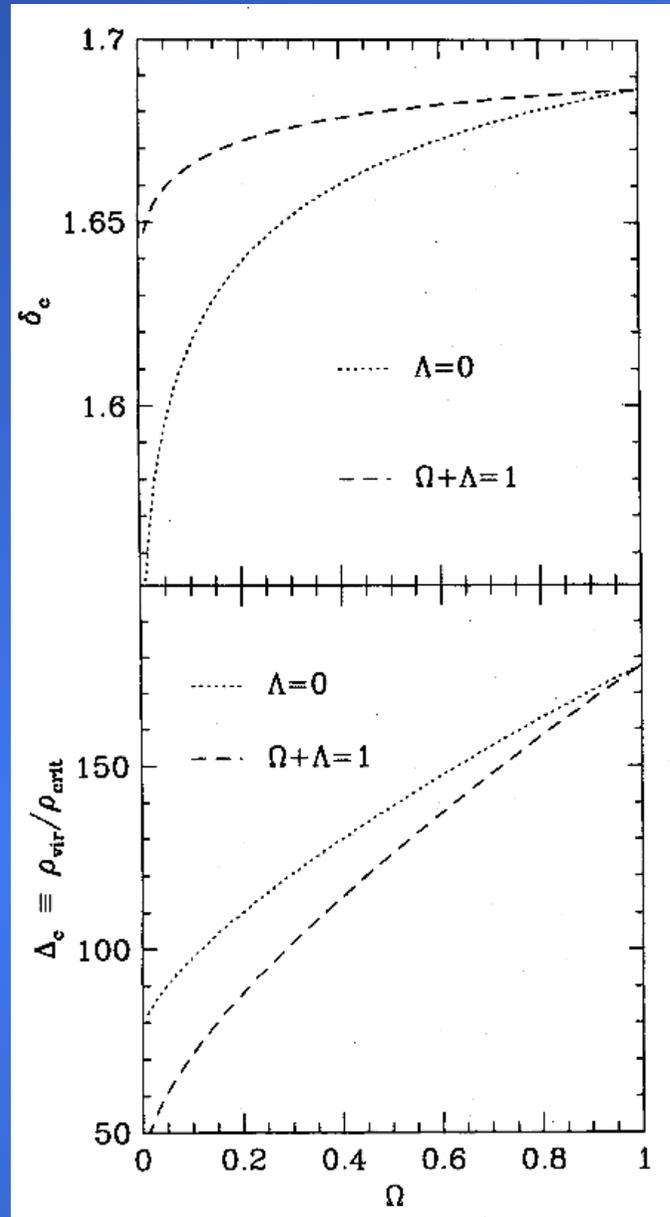
- Similar for Λ CDM cosmology
- So halos at redshift z have mean density

$$\rho_{\text{vir}}(z) \sim 100 \rho_{\text{av}}(z) \propto (1+z)^3$$

Results from spherical collapse model

For open & flat cosmologies

Eke et al 1996



Critical linear perturbation overdensity for collapse

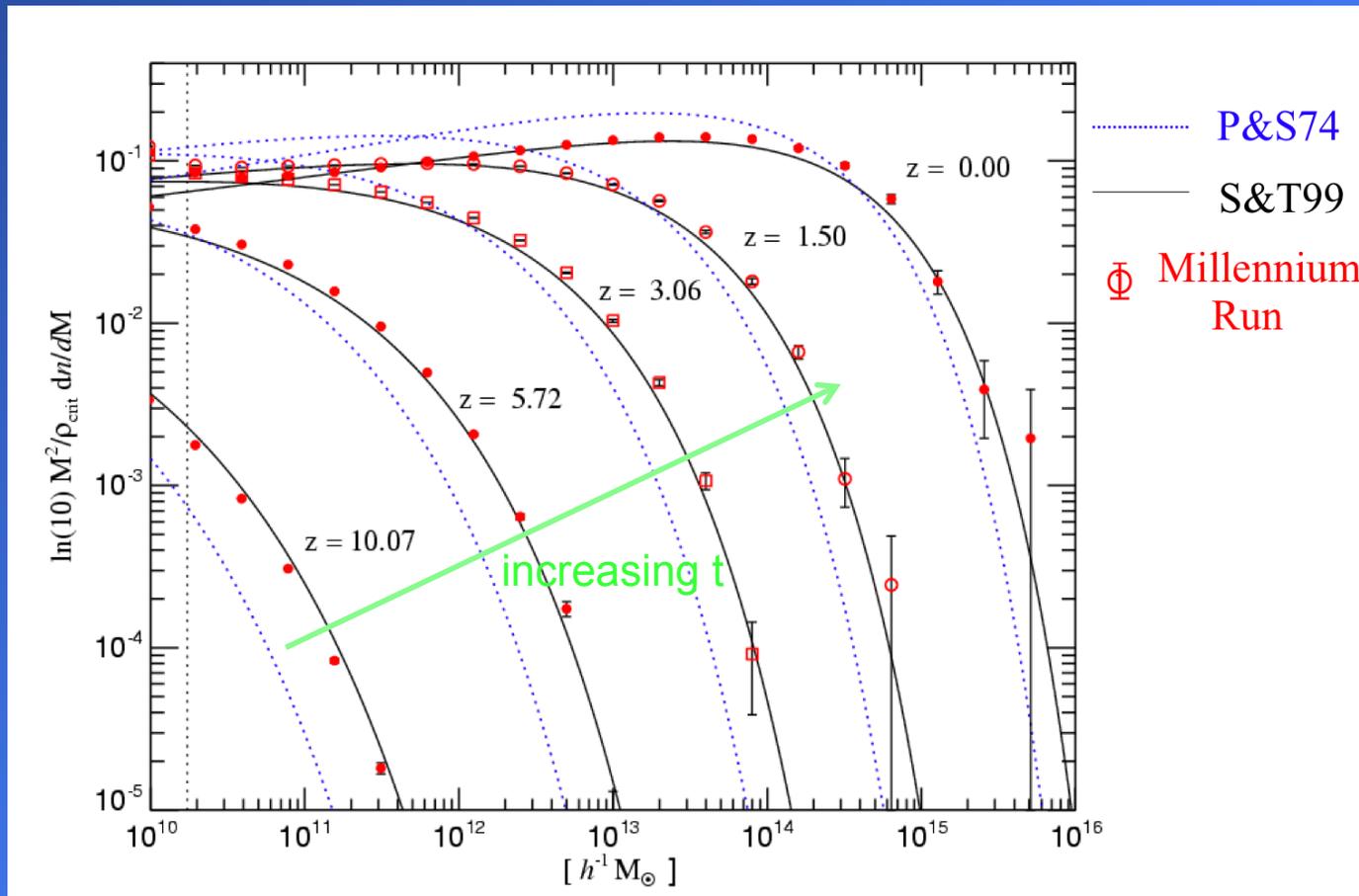
Mean overdensity (relative to critical) for just-virialized halos

Mass function of DM halos

dn/dM = number density of halos per
unit halo mass M

$(M^2/\rho) dn/dM$ = fraction of total DM
density in halos per $\ln M$

Halo mass function: simulations vs analytical models



- wide range of halo masses at any time
- characteristic mass increases with time due to hierarchical buildup of structure

y-axis gives fraction of total mass per log10 range in M

Press-Schechter mass function

(Press & Schechter 1974, Bond et al 1991)

- analytical model for halo mass function based on:
 - spherical collapse model for perturbns
 - Gaussian statistics for linear density perturbns
- predicts main features of halo mass function:
 - exponential cutoff at high-M
 - divergent power-law behaviour for dn/dM at low-M

$$\frac{dn}{dM} = n(M) = \sqrt{\frac{2}{\pi}} \frac{\bar{\rho}}{M^2} \frac{\delta_c}{\sigma(M)} \left| \frac{d \ln \sigma}{d \ln M} \right| e^{-\left(\frac{\delta_c^2}{2\sigma^2(M)}\right)}$$

δ_c = collapse threshold, $\sigma(M)$ = r.m.s $(\delta\rho/\rho)_{\text{LIN}}$ in sphere of mass M

Sheth-Tormen mass function

(Sheth & Tormen 1999, Sheth et al 2001)

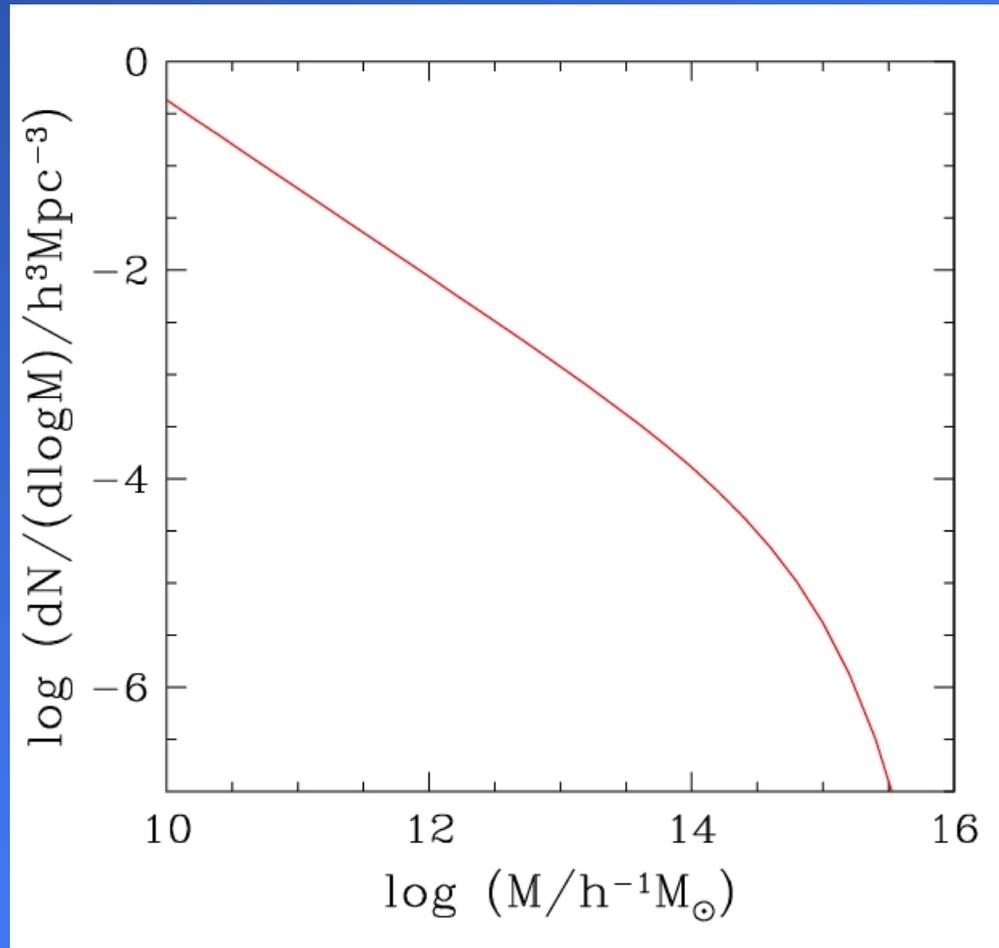
- Obtain even better fit to simulated halo MFs if allow for ellipsoidal collapse (instead of spherical collapse)

$$f(\sigma; \text{S-T}) = A \sqrt{\frac{2a}{\pi}} \left[1 + \left(\frac{\sigma^2}{a\delta_c^2} \right)^p \right] \frac{\delta_c}{\sigma} \exp \left[- \frac{a\delta_c^2}{2\sigma^2} \right]$$

$$A = 0.3222, a = 0.707 \text{ and } p = 0.3$$

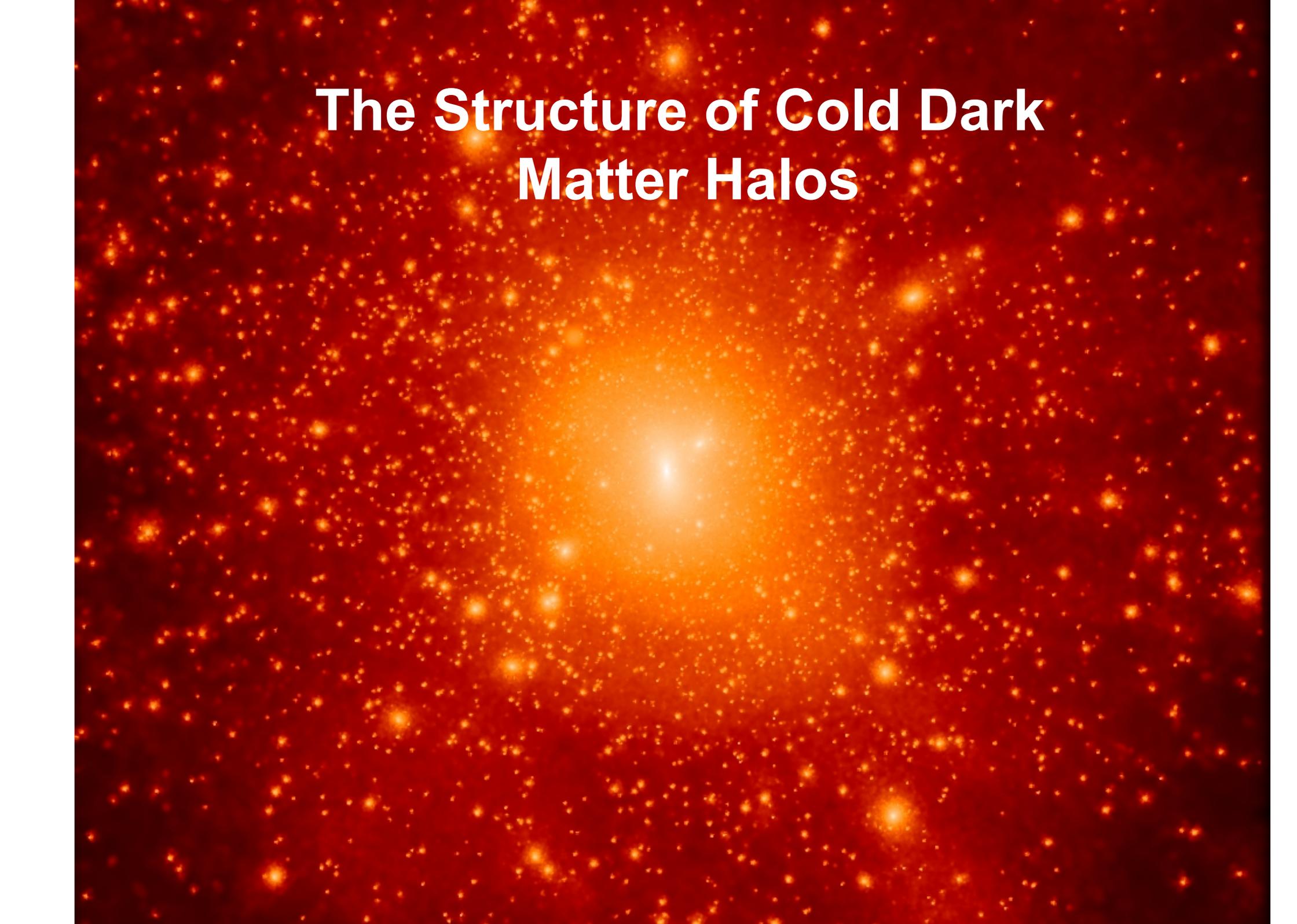
- where $f(\sigma) = (M/\rho) \, dn/d\ln\sigma$
- value of p follows from ellipsoidal collapse
a from fit to simulations
A from normalization of MF

Sheth-Tormen halo mass function for CDM at $z=0$



- $dn/dM \propto M^{-2}$ (approx) at low M
- exponential cutoff above $M \sim 10^{14} M_{\odot}$

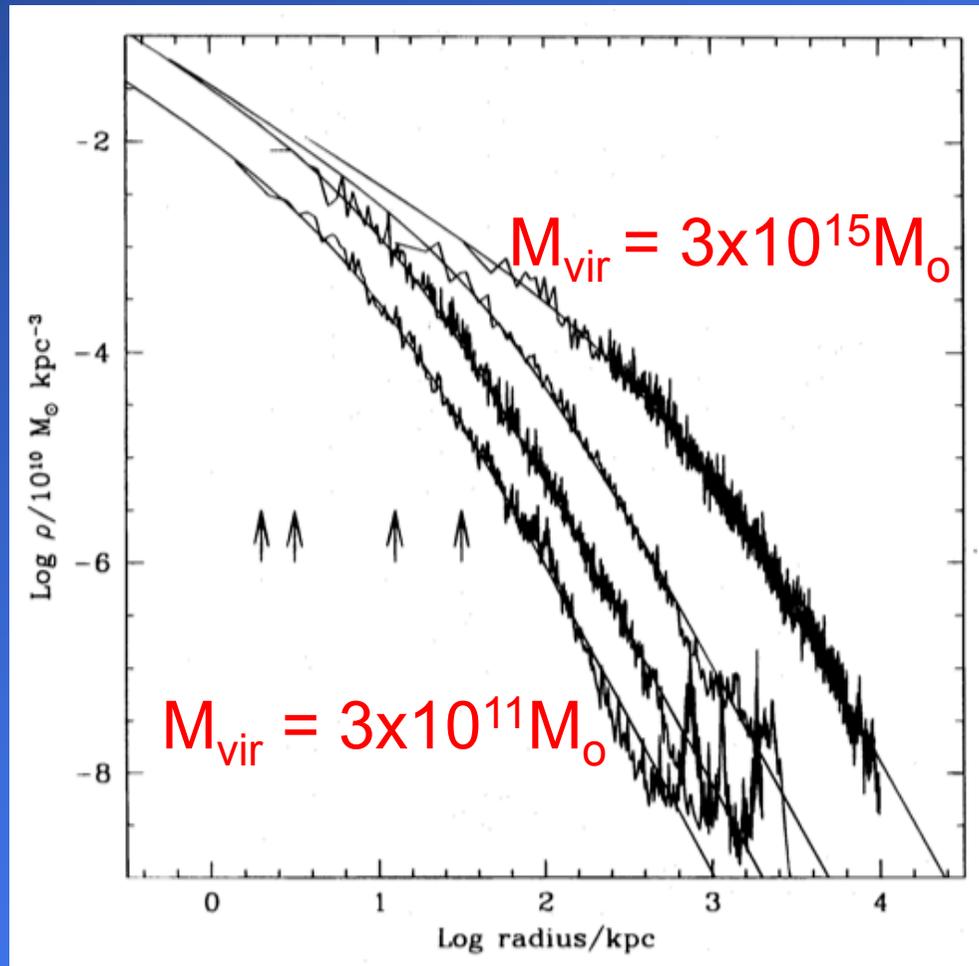
The Structure of Cold Dark Matter Halos

The background of the slide is a dense field of orange and red stars, with a bright, glowing central core that fades into a darker red at the edges. This visual represents the structure of a dark matter halo, which is a spherical distribution of dark matter that surrounds a galaxy or galaxy cluster. The stars are scattered throughout the field, with a higher concentration towards the center, mirroring the distribution of dark matter.

Structure of dark halos in CDM

- DM halos assemble by merging smaller objects
- however, tidal forces strip most of DM off halos as merge
- resulting halos have most ($\sim 90\%$) of DM in smooth, roughly spherical component
- only $\sim 10\%$ of DM remains in bound substructures orbiting in main halo
- halos are in approx dynamical equilibrium for $r < r_{\text{vir}}$, such that mean $\rho(<r_{\text{vir}}) \sim 100\rho_{\text{av}}$ (as predicted by spherical collapse model)

Halo density profiles



Navarro et al 1997 (NFW)

- halos of all masses have very similar density profiles:

$$\rho(r) \propto \frac{1}{r(1 + r/r_s)^2}$$

- $r_s = r_{\text{vir}}/c$, where concentration $c \sim 5-20$, weakly varying with M & z
- low- M halos (which form earlier) have larger c