EFFECTIVE FIELD THEORY FOR NEUTRINOLESS DOUBLE BETA DECAY

Jordy de Vries University of Amsterdam & Nikhef





EFTs and neutrino mass: an old story

- Neutrinos are formally massless in the SM \rightarrow but neutrino oscillations
- Easy fix: Insert gauge-singlet right-handed neutrino $v_{\rm R}$

$$\mathscr{L} = -y_{\nu} \bar{L} \tilde{H} \nu_R \qquad \qquad y_{\nu} \sim 10^{-12} \to m_{\nu} \sim 0.1 \,\mathrm{eV}$$

• Nothing really wrong with this.... But nothing forbids a Majorana Mass term

$$\mathscr{L} = -y_{\nu} \, \bar{L} \tilde{H} \nu_R - M_R \, \nu_R^T C \nu_R$$

'Everything that is not forbidden is compulsary'

EFTs and neutrino mass: an old story

- Neutrinos are formally massless in the SM \rightarrow but neutrino oscillations
- Easy fix: Insert gauge-singlet right-handed neutrino $v_{\rm R}$

$$\mathscr{L} = -y_{\nu} \bar{L} \tilde{H} \nu_R \qquad \qquad y_{\nu} \sim 10^{-12} \to m_{\nu} \sim 0.1 \,\mathrm{eV}$$

• Nothing really wrong with this.... But nothing forbids a Majorana Mass term

$$\mathscr{L} = -y_{\nu} \, \bar{L} \tilde{H} \nu_R - M_R \, \nu_R^T C \nu_R$$

'Everything that is not forbidden is compulsary'

- If M_R is significantly larger than active neutrino masses (< eV) : see-saw mechanism
- In case of I left and I right-handed neutrino:

$$m_1 \simeq \left| \frac{y_\nu^2 v^2}{m_R} \right| \qquad m_2 \simeq m_R$$

- The mass eigenstates are Majorana states
- Violation of lepton number by two units —> neutrinoless double beta decay

 $\nu_i^c = \nu_i$

EFT point of view

• Integrating out heavy states leads to local operator





• Obtain the single dimension-5 SMEFT operator

$$\mathscr{L}_5 = \frac{c_5}{\Lambda} \left(L^T C \tilde{H} \right) (\tilde{H}^T L)$$

Neutrino Majorana mass

$$\mathscr{L}_5 = c_5 \frac{\nu^2}{\Lambda} \nu^T C \nu$$

Weinberg '79 $c_5 = y_{\nu}^2$

EFT point of view

• Integrating out heavy states leads to local operator







• Obtain the single dimension-5 SMEFT operator

Neutrino Majorana mass

$$\mathscr{U}_5 = \frac{c_5}{\Lambda} \left(L^T C \tilde{H} \right) (\tilde{H}^T L)$$

C



$$\mathscr{L}_5 = c_5 \frac{\nu^2}{\Lambda} \nu^T C \nu$$

2

Weinberg '79
$$c_5=y_{
u}^2$$

Dimension-five	Dimensio	Dimension-nine			
	$\frac{1: \psi^2 H^4 + h.c.}{O_{LH} \left \epsilon_{ij} \epsilon_{mn} (L^i C L^n) H^j H^n (H^{\dagger} H) \right.}$	$\frac{\frac{1}{2 \cdot \psi^{2} \mathbf{J}_{c} \mathbf{chman}} 14}{\sigma_{cons}^{(l)}} + \frac{1}{\epsilon_{a} \epsilon_{ons} L^{lC} (D^{o} L^{l}) R^{o} (D_{a} R^{o})}{\epsilon_{ons} \epsilon_{a} \epsilon_{cons} L^{lC} (D^{o} L^{l}) R^{o} (D_{a} R^{o})}$	Li et al '20		
$\mathcal{L}_{\tau} = \frac{c_5}{c_5} (L^T C \tilde{H}) (\tilde{H}^T L)$	$\frac{3:\psi^2 H^3 D + hc.}{\mathcal{O}_{LHDe} \mid \epsilon_{ij}\epsilon_{mn} \left(L^i C \gamma_{\mu} \epsilon\right) H^j H^m D^{\mu} H^n}$	$\begin{array}{l} 4:\psi^2 H^2 X+ \mathrm{h.c.}\\ \\ \mathcal{O}_{LNW} & s_{ij} \epsilon_{mn} \left(L^i C \sigma_{\mu\nu} L^m \right) H^j H^n B^{\mu\nu} \\ \\ \mathcal{O}_{LNW} & s_{ij} (r^2 \epsilon)_{mn} \left(L^i C \sigma_{\mu\nu} L^m \right) H^j H^n W^{1\mu\nu} \\ \\ 6:\psi^2 H+ \mathrm{h.c.} \end{array}$	Many many terms		
• One operator	$\frac{5 : \psi^4 D + h.c.}{\sigma^{(1)}_{L\bar{L}\bar{d}wD}} = \frac{\epsilon_{ij}(\bar{d}\gamma_{\mu}w)(L^iCD^{\mu}L^j)}{\epsilon_{ij}(\bar{d}\gamma_{\mu}w)(L^iC\sigma^{\mu\nu}D_{\mu}L^j)} \\ \mathcal{O}^{(2)}_{L\bar{Q}dD} = \frac{\epsilon_{ij}(\bar{d}\gamma_{\mu}w)(L^iC\sigma^{\mu\nu}D_{\mu}L^j)}{(QC\gamma_{\mu}d)(\bar{L}D^{\mu}d)} \\ \mathcal{O}^{(2)}_{L\bar{Q}dDD} = (\bar{L}\gamma_{\mu}Q)(dCD^{\mu}d)$	$O_{1,L,L,R} = e_{Q^{L}max}(HL^{2})(L^{2}CL^{m})M^{m}$ $O_{1,L,Q^{2}R}^{(2)} = e_{Q^{L}max}(\tilde{R}L^{2})(Q^{2}CL^{m})R^{m}$ $O_{1,L,Q^{2}R}^{(2)} = e_{mf}e_{Q}(\tilde{R}L^{2})(Q^{2}CL^{m})R^{m}$ $O_{L,Q^{2}R} = e_{Q}(\tilde{R}_{m}A)(L^{m}CL^{2})R^{1}$ $O_{QQAR} = e_{Q}(\tilde{L}_{m}A)(Q^{m}CQ^{2})\tilde{R}^{1}$ $O_{LAAR} = (dCA)(\tilde{L}A)R$ $O_{LAAR} = (LA)(uCA)\tilde{R}$	19 4-quark 2-lepton operators after EWSB		
 Induces Majorana mass 	σ_{auto} ($e_{\gamma_{\mu}d}(aCD^{\mu}d)$ 12 $\Delta L=2$ operator	O_{localN} O_{localN} $C_{ij}(E'C'_{loc})(\hat{\sigma})^{\mu}u_j^{\mu}M^{\mu}$ $C_{ij}(RQ')(dCd)\hat{H}^{j}$ S	Graesser et al '17 '18		

Applications effective field theory in 0vbb

I. Use EFT to scrutinize and guide nuclear calculations (focus here on Weinberg operator)

2. Investigate non-standard mechanisms (beyond Weinberg term)

3. Use EFTs in presence of explicit light degrees of freedom (sterile neutrinos)

- Neutrinos are still degrees of freedom in low-energy chiral EFT
- Leads to 'long-range' nn → pp + ee



 $\nu_L \blacktriangleleft$

$$V_{\nu} = (2G_F^2 m_{\beta\beta})\tau_1^+ \tau_2^+ \frac{1}{\mathbf{q}^2} \left[(1 + 2g_A^2) + \frac{g_A^2 m_\pi^4}{(\mathbf{q}^2 + m_\pi^2)} \right] \otimes \bar{e}_L e_L^c$$

- Note: the nucleons appear in a bound state and **q** is a loop momentum
- Then insert this into nuclear wave functions (from nuclear many-body methods)

$$A_{\nu} \sim \langle \Psi \,|\, V_{\nu} \,|\, \Psi \rangle$$

- Neutrinos are still degrees of freedom in low-energy chiral EFT
- Leads to 'long-range' nn → pp + ee



$$V_{\nu} = (2G_F^2 m_{\beta\beta})\tau_1^+ \tau_2^+ \frac{1}{\mathbf{q}^2} \left[(1 + 2g_A^2) + \frac{g_A^2 m_\pi^4}{(\mathbf{q}^2 + m_\pi^2)} \right] \otimes \bar{e}_L e_L^c$$



• Contributions from virtual hard neutrinos $\mathbf{q} \sim \Lambda_{\chi} \sim 1 \, \mathrm{GeV}$

 ν_{I}

 \mathcal{V}_{I}

• Naive-dimensional analysis tells us this is higher-order

$$V_{\nu}^{\rm short} \sim \frac{m_{\beta\beta}}{\Lambda_{\chi}^2} \ll V_{\nu}$$

- Neutrinos are still degrees of freedom in low-energy chiral EFT
- Leads to 'long-range' nn → pp + ee



• More contributions at higher orders in chiral perturbation theory



• Loops at N²LO are divergent: come with counter terms $V_{\nu}^{N^{2}LO} \sim \left(V_{\text{finite}} + V_{UV}\log\frac{m_{\pi}^{2}}{\mu^{2}} + V_{\text{CT}}\right) \otimes \bar{e}_{L}e_{L}^{c} \quad n \qquad p$

• Divergences absorbed by counter terms

Cirigliano, Dekens, Mereghetti, Walker-Loud '17

• At higher orders also 'closure corrections' and three-body effects

e.g. Engel et al '18

n

$$n \rightarrow p = p = V_{\nu} = (2G_F^2 m_{\beta\beta})\tau_1^+ \tau_2^+ \frac{1}{\mathbf{q}^2} \left[(1 + 2g_A^2) + \frac{g_A^2 m_{\pi}^4}{(\mathbf{q}^2 + m_{\pi}^2)} \right] \otimes \bar{e}_L e_L^c$$

- Leading-order 0vbb current is very simple
- No unknown hadronic input ! Only unknown is m_{etaeta}

 $n \rightarrow p$ ep

$$V_{\nu} = (2G_F^2 m_{\beta\beta})\tau_1^+ \tau_2^+ \frac{1}{\mathbf{q}^2} \left[(1 + 2g_A^2) + \frac{g_A^2 m_\pi^4}{(\mathbf{q}^2 + m_\pi^2)} \right] \otimes \bar{e}_L e_L$$

- Leading-order 0vbb current is very simple
- No unknown hadronic input ! Only unknown is m_{etaeta}
- Many-body methods disagree significantly
- Idea: see what happens for lighter systems
- Not relevant for experiments but as a theoretical laboratory



Engel-Menendez '16

Neutron-Neutron → **Proton-Proton**

Study simplest nuclear process: nn → pp + ee



Cirigliano, Dekens, JdV, Graesser, Mereghetti, Pastore van Kolck, PRL '18 Cirigliano, Dekens, JdV, Hoferichter, Mereghetti PRL '21

Neutron-Neutron → **Proton-Proton**

Study simplest nuclear process: nn → pp + ee



• Derive wave functions from chiral effective field theory $T = V + VG_0 T$

• To solve Schrodinger equation need regulator

$$V_{\rm strong} \to e^{-p^6/\Lambda^6}$$

• **Dim-reg possible** as well but much more complicated.



Neutron-Neutron → Proton-Proton

LO
$$\downarrow --- \downarrow V_{\text{strong}} = C_0 - \frac{g_A^2}{4f_\pi^2} \frac{m_\pi^2}{\mathbf{q}^2 + m_\pi^2} \downarrow --- \downarrow \text{Weinberg 90'91'}$$

• Fit counter terms to nucleon-nucleon scattering lengths for each ΔA --

• Predict cross sections (phase shifts) for other energies.



Nogga, Timmermans, van Kolck '05

• Insert long-distance neutrino exchange into scattering states





$$\sim (1+2g_A^2) \left(\frac{m_N C_0}{4\pi}\right)^2 \left(\frac{1}{\epsilon} + \log\frac{\mu^2}{p^2}\right)$$

New divergences

Cirigliano, Dekens, JdV, Graesser, Mereghetti, Pastore, van Kolck PRL '18

• Insert long-distance neutrino exchange into scattering states



- Logarithmic regulator dependence
- Divergence indicates sensitivity to short-distance physics (hard-neutrino exchange)
- Requires a counter term: a short-range nn → pp + ee operator

n p e p e p e

Cirigliano, Dekens, JdV, Graesser, Mereghetti, Pastore, van Kolck PRL '18

A new leading-order contribution



'Long-range' neutrino-exchange

'Short-distance' neutrino exchange required by renormalization of amplitude

- Short-distance piece depends on unknown QCD matrix element ${\ensuremath{g_{\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!}}$

• How to determine the value of this matrix element ? Obviously no data!

• Lattice QCD can do this in the future. But not yet....

• But solved already for the 'toy-problem'

Tremendous progress for the 'toy-problem'

Davoudi, Kadam PRL '21 Briceno et al '19 '20

 $\pi^- + \pi^- \rightarrow e^- + e^-$

Tuo et al. '19; Detmold, Murphy '20 '22

A connection to electromagnetism

• A neutrino-exchange process looks like a photon-exchange process



Cirigliano et al '19

- Isospin-breaking nucleon-nucleon scattering data determines C_1+C_2
- Electromagnetism conserves parity (L + R) coupling and $g_v \sim C_1$ only
- Large-Nc arguments indicates $C_1 + C_2 \gg C_1 C_2$ Richardson, Schindler, Pastore, Springer '21
- In this way, we can extract g_v from data.

An analytic approach

• The nn \rightarrow pp + ee amplitude can be represented as an integral expression



- Can represent the `red box' in regions of the virtual neutrino momentum k
- Skip the details but analysis agree with CIB extraction

Cirigliano, Dekens, JdV, Hoferichter, Mereghetti PRL '21

Impact on realistic nucleus

- First calculations now include this heavier nuclei
- N3LO chiral nucleon-nucleon potential with certain regulator
- Fit short-distance $\,g_{
 u}\,\,$ to synthetic data
- Similarity Renormalization Group transformation to perform many-body computations



Wirth, Yao, Hergert PRL '21

Bigger effect for I=2 transitions due to node
 ~ 100 % for ⁸He
 ~ 60 % for ⁴⁸Ca

n

n

 $\sim g_{\nu}$

⁴⁸Ca decay rate increases by factor >2

Enhanced sensitivity to neutrino Majorana mass

Similar enhancements found for ⁷⁶Ge and ¹³⁶Xe (Menendez et al '22)

Other mechanism of 0vbb

Many beyond-the-SM model induce different 0vbb mechanism

• Examples: Left-right symmetry, supersymmetry, leptoquarks,



Other mechanism of 0vbb

Many beyond-the-SM model induce different 0vbb mechanism

• Examples: Left-right symmetry, supersymmetry, leptoquarks,



• If new fields are heavy, can use effective field theory !



Higher-dimensional operators

• Effective operators appear at odd dimension (5, 7, 9,) Kobach '16



- Higher-dimensional terms only relevant if dim-5 operator are suppressed
- Example: in left-right symmetric models

• If

$$c_5 \sim y_e^2 \sim 10^{-10} \qquad c_7 \sim y_e^1 \sim 10^{-5} \qquad c_9 \sim y_e^0 \sim 1$$

scale is not too high:
$$\frac{v^2}{\Lambda^2} \sim y_e \rightarrow \Lambda \simeq (10 - 100) \text{ TeV}$$

Dim-7 or dim-9 can dominate low-energy phenomenology !

Example dim-7 operators





 $\sim c_7 \frac{\nu}{\Lambda^3}$

Integrate out heavy SM field and Higgs takes vev

- Fermi-like operator (beta decay)But 'wrong' neutrino intstead of anti-neutrino

Example dim-7 operators



Associated low-energy constants well known (nucleon charges gA,S,T,V)

Example dim-9 operators Η M_{EW} 100 GeV а • Four-quark 2-lepton operators Neutrinoless interactions d U $\Lambda_{\chi} \sim 2\pi F_{\pi}$ 1 GeV Chiral perturbation theory Prezeau et al '03 π $g_{;}^{\pi\pi}$ n g_i^{NN}

- Often missed in literature which uses factorization methods (O(100) error on decay rate)
- Depend on four-quark matrix elements: great improvements by CalLat and MIT groups

 $g_4^{\pi\pi} = -(1.9 \pm 0.2) \,\text{GeV}^2$ $g_5^{\pi\pi} = -(8.0 \pm 0.6) \,\text{GeV}^2$

Nicholson et al '18

New Ovbb topologies



- Straightforward to calculate generalized 0vbb transition current Cirigliano et al '17 '18
- Need additional nuclear matrix elements (NMEs)

New Ovbb topologies



- Straightforward to calculate generalized 0vbb transition current Cirigliano et al '17 '18
- Need additional nuclear matrix elements (NMEs)
- At leading-order in Chiral-EFT: 15 NMEs (all in literature)
- Similar uncertainties as before

NMEs	⁷⁶ Ge				Hyvarinen/Suhonen '15				
	[74]	[31]	[81]	[82, 83]	Barea et al '15 '18				
M_F	-1.74	-0.67	-0.59	-0.68	H	Horoi/Neacsu '17			
M_{GT}^{AA}	5.48	3.50	3.15	5.06					
M_{GT}^{AP}	-2.02	-0.25	-0.94	NMEs	⁷⁶ Ge				
M_{GT}^{PP}	0.66	0.33	0.30	$M_{F,sd}$	-3.46	-1.55	-1.46	-1.1	
M_{GT}^{MM}	0.51	0.25	0.22	$M^{AA}_{GT, sd}$	11.1	4.03	4.87	3.62	
M_T^{AA}	-	-	-	$M^{AP}_{GT, sd}$	-5.35	-2.37	-2.26	-1.37	
M_T^{AP}	-0.35	0.01	-0.01	$M^{PP}_{GT, sd}$	1.99	0.85	0.82	0.42	
M_T^{PP}	0.10	0.00	0.00	$M^{AP}_{T,sd}$	-0.85	0.01	-0.05	-0.97	
M_T^{MM}	-0.04	0.00	0.00	$M^{PP}_{T,sd}$	0.32	0.00	0.02	0.38	



Using the framework

• Example: a model of heavy leptoquarks (LHC probes I TeV leptoquarks roughly)





- Dramatic impact on 0vbb phenomenology !
- Sensitivity to 500-TeV new physics scales

The 0vbb metro map

Cirigliano, Dekens, JdV, Graesser, Mereghetti' 18



• Open-access Python tool almost ready to be submitted (Scholer + Graf + JdV)

NuDoBe

• Open-access Python tool automizes the EFT calculations

[Submitted on 11 Apr 2023]

vDoBe -- A Python Tool for Neutrinoless Double Beta Decay

Oliver Scholer, Jordy de Vries, Lukáš Gráf

- User specifies which SM-EFT LNV operators are turned on at which scale
- Code computes 0vbb (differential rates) of all isotopes of interest
- User can vary different results for NMEs/Hadronic LECs etc
- Comes with many built-in plotting options

NuDoBe

Tool also computes angular&energy electron distributions



Concluding remarks

- Neutrinoless double beta decay best way to determine if neutrinos are Majorana states
- Heroic experimental effort ! Particle/Hadronic/Nuclear theory needed to interpret data
- Progress from EFT + lattice + nuclear structure
- **New findings:** standard mechanism depends on short-distance physics Significant impact on NMEs: larger than before.
- End-to-End EFT framework for any LNV source
- Automized with Python Tool: NuDoBE
- Not discussed today: light sterile neutrinos and EFT



n

n

An analytic approach

• The nn \rightarrow pp + ee amplitude can be represented as an integral expression

$$A_{\nu} \sim G_F^2 \int \frac{d^4k}{(2\pi)^4} \frac{g_{\mu\nu}}{k^2} \int d^4x e^{ik \cdot x} \langle pp \,|\, T\{J_W^{\mu}(x)J_W^{\nu}(0)\} \,|\, nn \rangle$$

- At small virtual momentum: NLO chiral EFT
- Intermediate momentum: (model-dependent) resonance contributions to nucleon form factors and to NN scattering
- Large momentum: Perturbative QCD + Operator Product Expansion



Small dependence on local 4-quark matrix elements

- Analytic and CIB approach within uncertainties (~30-40%)
- Analytic approach agrees with nucleon-nucleon CIB data

Current procedure in literature

• Compute nuclear matrix element computations for different neutrino masses



Revisit the light regime

• The amplitude is strongly suppressed

$$A_{\nu} \sim \sum_{i=1}^{4} U_{ei}^2 m_i^3$$
 Blennow et al '10 JHEP

- Example in 3+1 model
- Cancellation between light + sterile contributions leads to

 $\tau_{1/2} \sim m_4^4$



Light extra neutrinos

- Is there a way to avoid the GIM mechanism ?
- There are additional contributions from 'ultra-soft' neutrinos



$$\sum_{n} \langle f | J_{\mu} | n \rangle \langle f | J^{\mu} | i \rangle \times \int \frac{d^{3}k}{(2\pi)^{3}} \frac{1}{E_{\nu}[E_{\nu} + (E_{n} - E_{0}) - i\epsilon]} \qquad E_{\nu} = \sqrt{k^{2} + m_{i}^{2}}$$

- Depends on nuclear excited states. Normally these are tiny effects (5%)
- But become dominant in the GIM mechanism ! $\sim U_{ei}^2 m_i^3$
- For $m_4 \sim MeV$ we get new contributions
- For m₄ << MeV we get new contributions

$$\sim U_{ei}^2 m_i^2$$

 $\sim U_{ei}^2 m_i^3 \log \frac{(E_n - E_0)^2}{m_i^2}$

- These effects are not considered in any analysis of neutrinoless double beta decay
- Javier Menendez computed for us the necessary matrix elements

Light extra neutrinos

- Is there a way to avoid the GIM mechanism ?
- There are additional contributions from 'ultra-soft' neutrinos



$$\sum_{n} \langle f | J_{\mu} | n \rangle \langle f | J^{\mu} | i \rangle \times \int \frac{d^{3}k}{(2\pi)^{3}} \frac{1}{E_{\nu}[E_{\nu} + (E_{n} - E_{0}) - i\epsilon]} \qquad E_{\nu} = \sqrt{k^{2} + m_{i}^{2}}$$



I 00x larger decay rates Still small ;)

• These effects are not considered in any analysis of neutrinoless double beta decay

Work in progress: compute these corrections for realistic models

• Other issues (not today) $g_{\nu}^{NN}(m_i)$? NME's don't make sense for $m_i >> GeV \dots$