

Parton shower generator at small x

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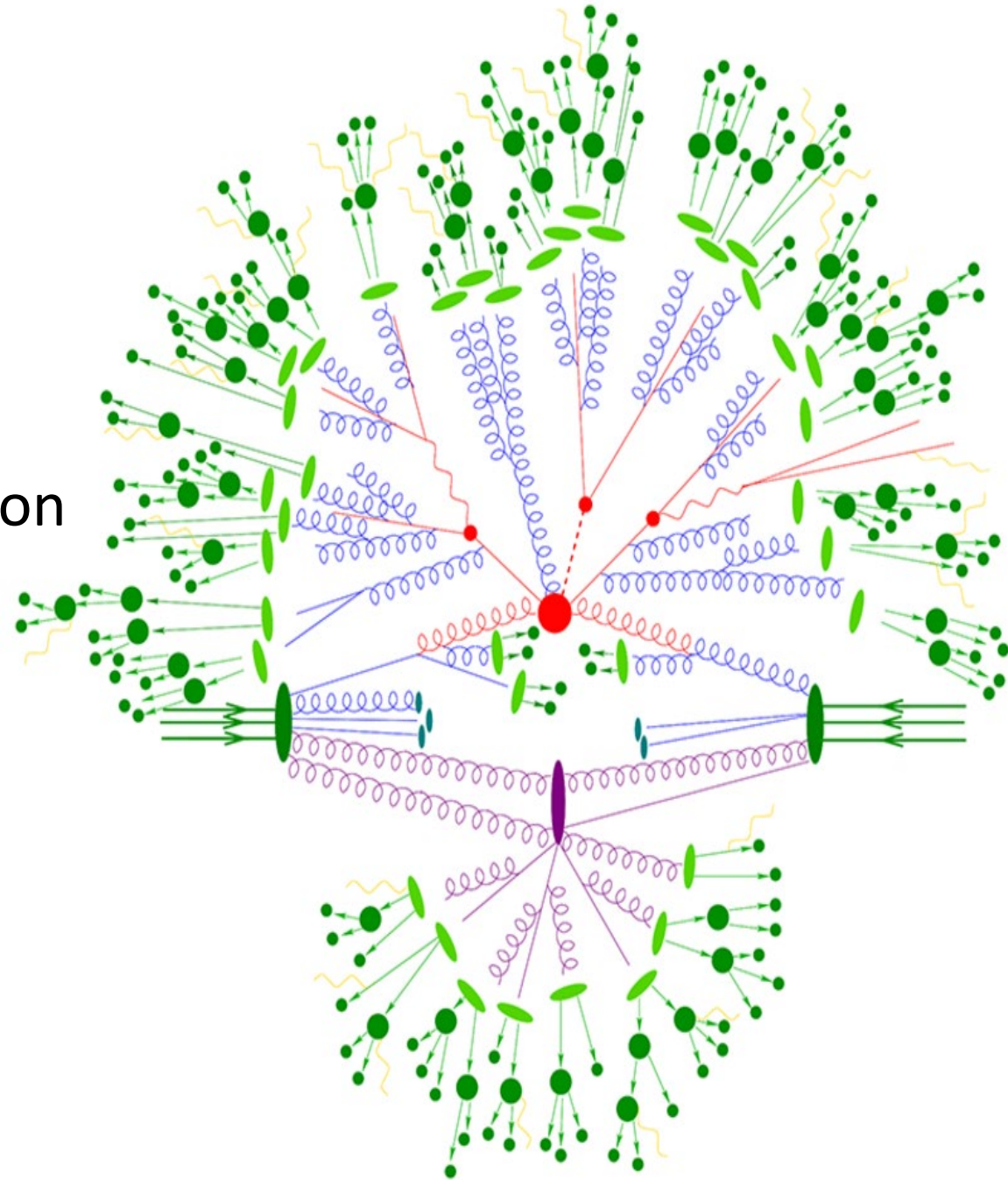
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Based on the papers: [2211.07174](#) , [1807.00506](#), [1703.06163](#), [1603.07426](#)

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Outline:

- Background
- Forward evolution & backward evolution
- Joint small x and kt resummation
- Summary



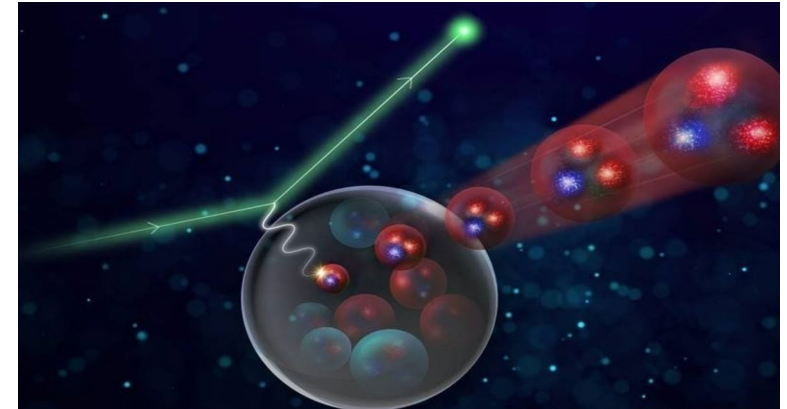
Why parton shower generator

- Describe fully exclusive hadronic state
- Coherent branching & recoiled effect
- Keep four momentum conservation in each branching
- Impact studies for future experiments

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Why small x parton shower generator

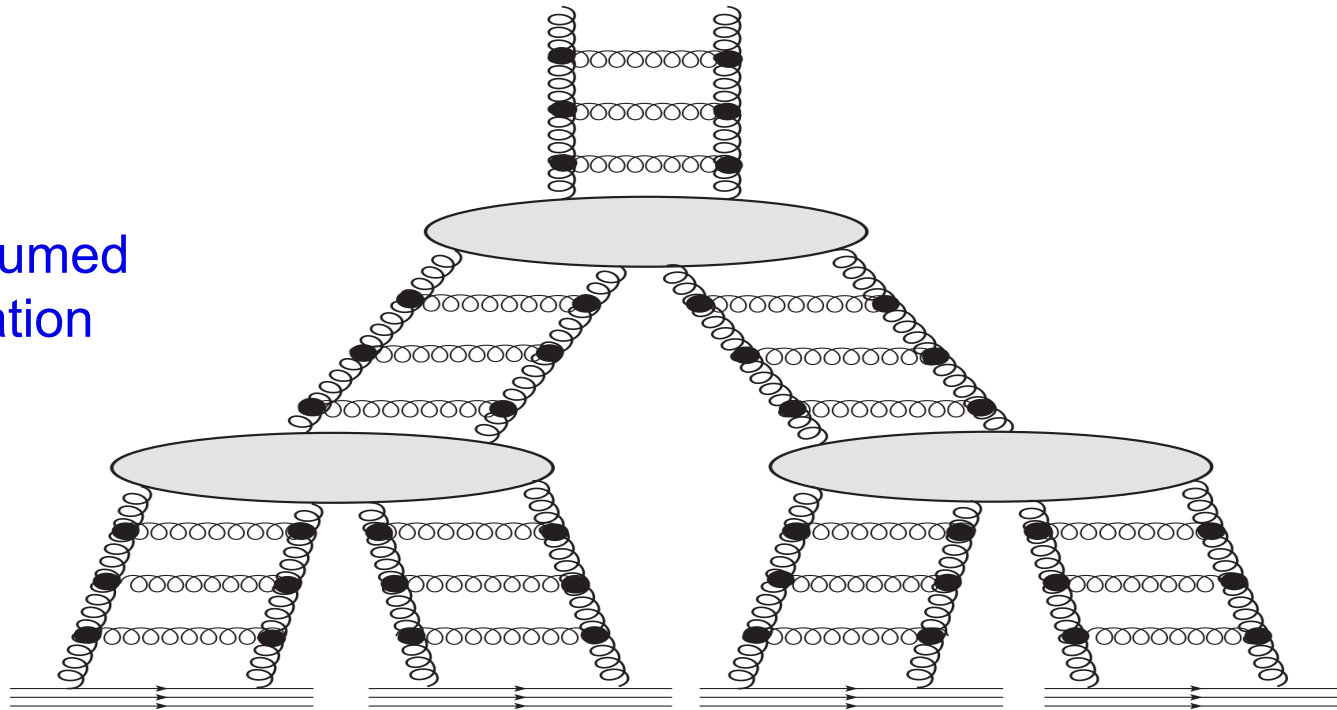
- Saturation effect is absent in all existing generators
- Aim at developing a PS algorithm to be used:
 - Phenomology in eA collisions @EIC
 - Forward physics in pA collisions @LHC
 - Cosmic ray event generator



Small x evolution equations: $\ln \frac{s}{Q^2}$ or $\ln \frac{1}{x}$

- **BK equation** $2 \rightarrow 1, 3 \rightarrow 1, 4 \rightarrow 1 \dots$ gluon fusion & $\ln \frac{1}{x}$ Balitsky, 1996; Kovchegov, 1997
- **GLR equation** $2 \rightarrow 1$ gluon fusion & $\ln \frac{1}{x}$ Gribov-Levin-Ryskin, 1983

“fan” diagram resummed
by the GLR equation



Folded and unfolded GLR equation

- The standard GLR equation(unfolded one)

$$\frac{\partial N(\eta, k_{\perp})}{\partial \eta} = \frac{\bar{\alpha}_s}{\pi} \left[\int \frac{d^2 l_{\perp}}{l_{\perp}^2} N(\eta, k_{\perp} + l_{\perp}) - \int \frac{d^2 l_{\perp}}{(k_{\perp} - l_{\perp})^2} \frac{k_{\perp}^2}{2l_{\perp}^2} N(\eta, k_{\perp}) \right] - \bar{\alpha}_s N^2(\eta, k_{\perp})$$

- Resolved and unresolved branching:

$$\int \frac{d^2 l_{\perp}}{l_{\perp}^2} N(\eta, k_{\perp} + l_{\perp}) \approx \int_{\mu} \frac{d^2 l_{\perp}}{l_{\perp}^2} N(\eta, k_{\perp} + l_{\perp}) + \int_0^{\mu} \frac{d^2 l_{\perp}}{l_{\perp}^2} N(\eta, k_{\perp})$$

- **Folded** GLR equation by resumming virtual correction

$$\frac{\partial}{\partial \eta} \frac{N(\eta, k_{\perp})}{\Delta(\eta, k_{\perp})} = \frac{\bar{\alpha}_s}{\pi} \int_{\mu} \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{N(\eta, l_{\perp} + k_{\perp})}{\Delta(\eta, k_{\perp})}$$

◆ Non-Sudakov form factor

Shi-Wei-ZJ, 2022

$$\Delta(\eta, k_{\perp}) = \exp \left\{ -\bar{\alpha}_s \int_{\eta_0}^{\eta} d\eta' \left[\ln \frac{k_{\perp}^2}{\mu^2} + N(\eta', k_{\perp}) \right] \right\}$$

GLR v.s. DGLAP

- Determine the next x

$$\mathcal{R} = \exp \left[-\bar{\alpha}_s \int_{\eta_i}^{\eta_{i+1}} d\eta' \left(\ln \frac{k_{\perp}^2}{\mu^2} + N(\eta', k_{\perp}) \right) \right]$$

- ◆ PS based on DGLAP type dynamics: evolution variable Q

- The generated event has to be re-weighted

$$\mathcal{W}(\eta_i, \eta_{i+1}; k_{\perp,i}) = \frac{\int_{\eta_i}^{\eta_{i+1}} d\eta \ln(P_{\perp}^2/\mu^2)}{\int_{\eta_i}^{\eta_{i+1}} d\eta \left[\ln(k_{\perp,i}^2/\mu^2) + N(\eta, k_{\perp,i}) \right]}$$

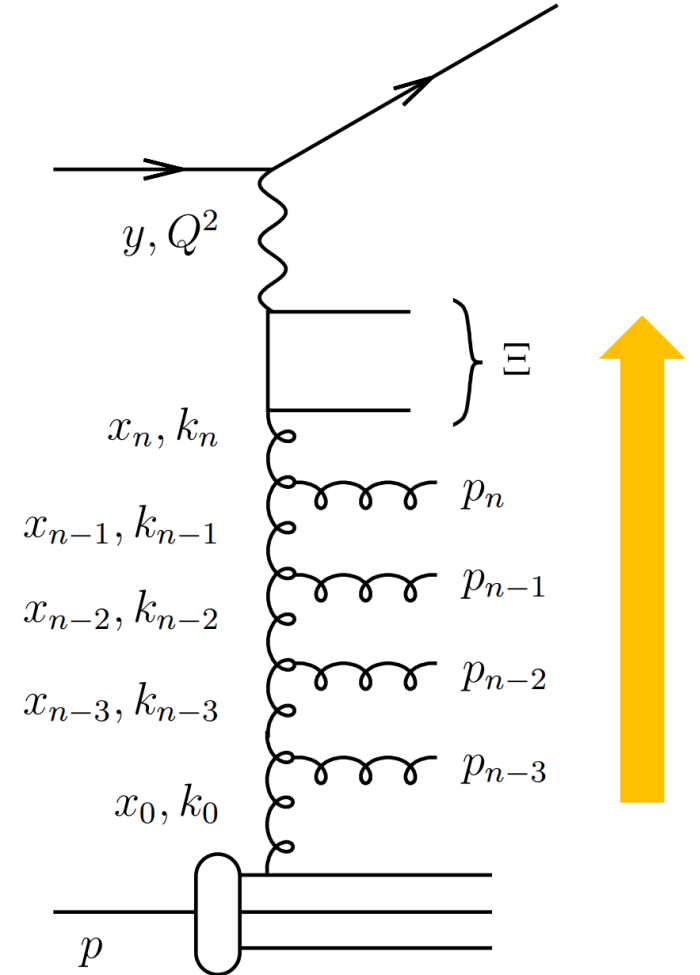
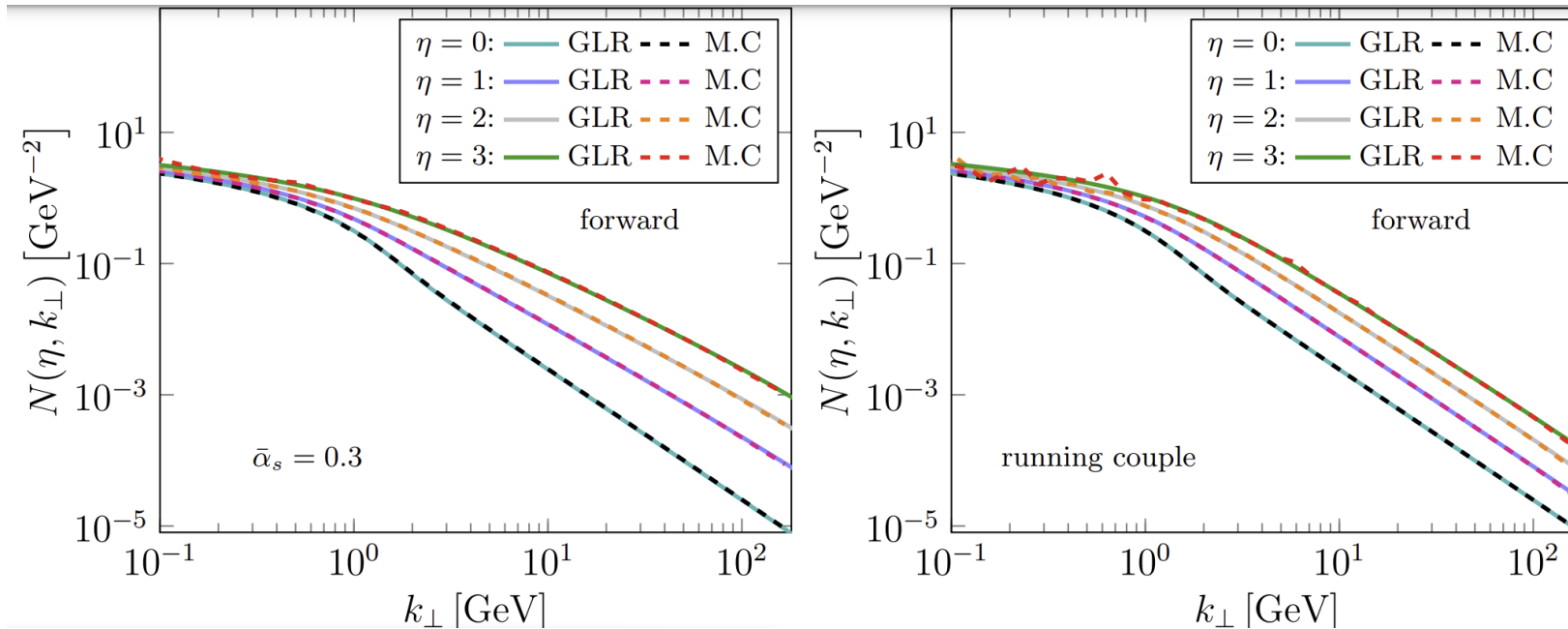
- ◆ Unitarity is preserved under DGLAP evolution

Forward evolution

- MV model as the initial condition at $x_0=0.01$:

$$N(\eta = 0, k_{\perp}) = \int \frac{d^2 r_{\perp}}{2\pi} e^{-ik_{\perp} \cdot r_{\perp}} \frac{1}{r_{\perp}^2} \left(1 - \exp \left[-\frac{1}{4} Q_{s0}^2 r_{\perp}^2 \ln \left(e + \frac{1}{\Lambda r_{\perp}} \right) \right] \right)$$

- Test the algorithm

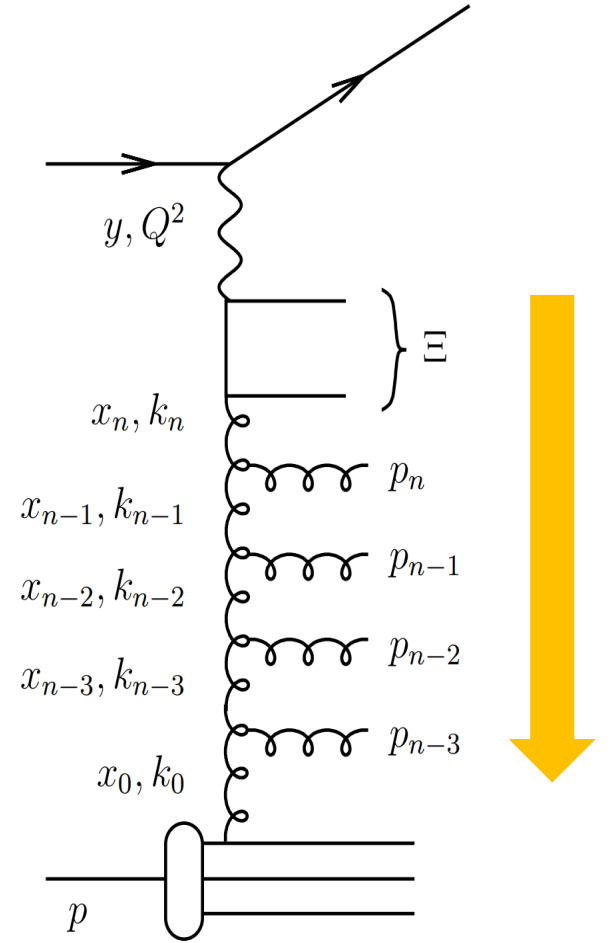
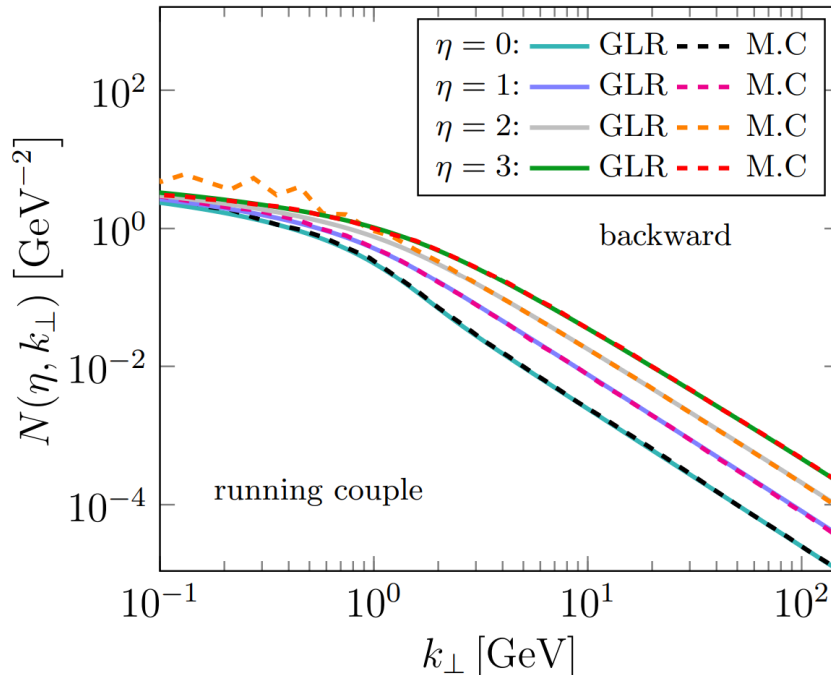
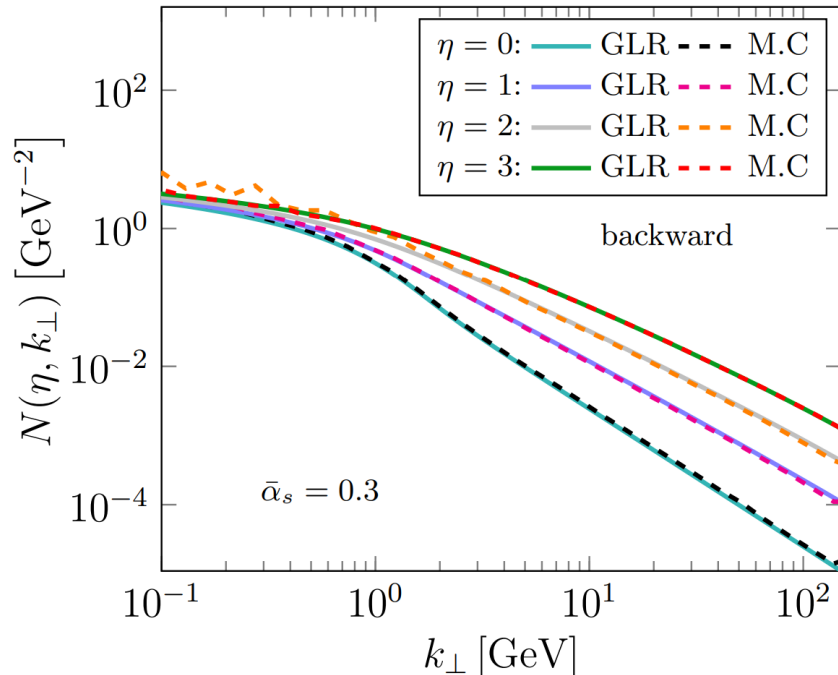


Backward evolution

➤ Non-Sudakov form factor:

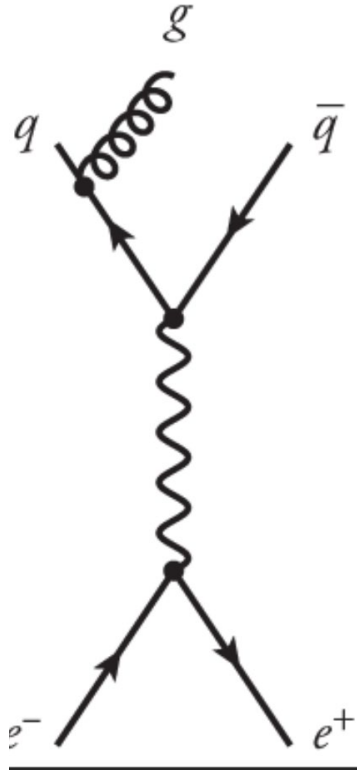
$$\frac{\Delta(\eta_{i+1}, k_{\perp, i+1}) N(\eta_i, k_{\perp, i+1})}{\Delta(\eta_i, k_{\perp, i+1}) N(\eta_{i+1}, k_{\perp, i+1})} = \exp \left[-\frac{\bar{\alpha}_s}{\pi} \int_{\eta_i}^{\eta_{i+1}} d\eta \int_{\mu} \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{N(\eta, k_{\perp, i+1} + l_{\perp})}{N(\eta, k_{\perp, i+1})} \right]$$

◆ $l_{\perp}$ is also sampled in a different way!



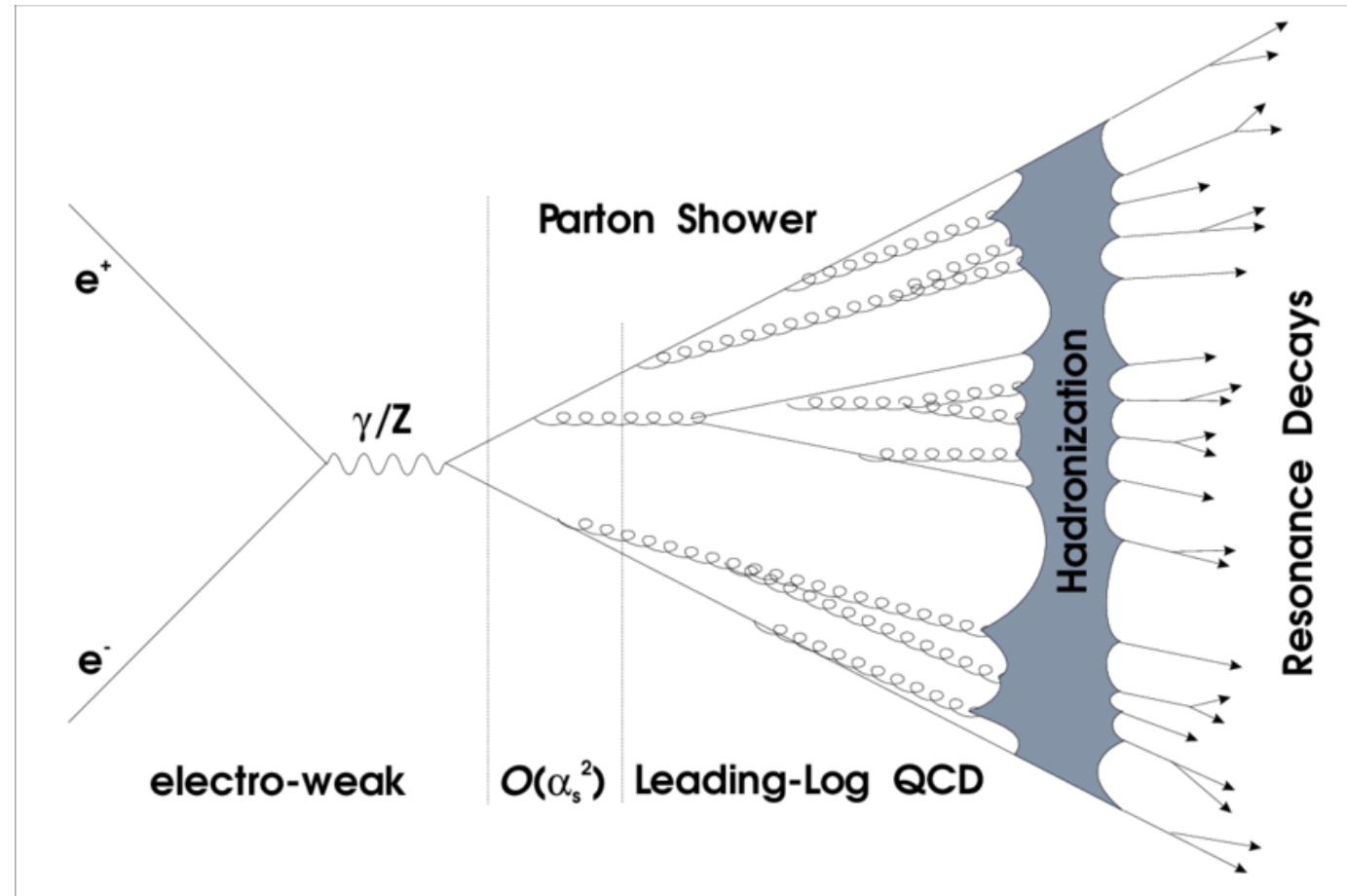
Coherent branching

□ The Chudakov effect



➤ Wide angle radiation suppressed!

□ Angular ordering



Coherent branching in the GLR evolution

➤ Kinematic constrained GLR equation

$$\frac{\partial}{\partial \eta} \frac{N(x, k_{\perp})}{\Delta(\eta, k_{\perp})} = \frac{\bar{\alpha}_s}{\pi} \int_{\mu} \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{N\left(\eta + \ln \left[\frac{k_{\perp}^2}{k_{\perp}^2 + l_{\perp}^2} \right], l_{\perp} + k_{\perp}\right)}{\Delta(\eta, k_{\perp})}$$

Shi-Wei-ZJ, 2022

➤ Weight factor for forward evolution:

$$\mathcal{W}_{kc}(\eta_i, \eta_{i+1}; k_{\perp}) = \frac{(\eta_{i+1} - \eta_i) \int_{\mu}^{\min[P_{\perp}, \sqrt{(k_{\perp} - l_{\perp})^2 \frac{1-z}{z}}]} \frac{d^2 l_{\perp}}{l_{\perp}^2} e^{-\bar{\alpha}_s \int_{\eta_{i+1}}^{\eta_{i+1} + \ln \frac{(k_{\perp} - l_{\perp})^2}{(k_{\perp} - l_{\perp})^2 + l_{\perp}^2}} d\eta \left[\ln \frac{k_{\perp}^2}{\mu^2} + N(\eta, k_{\perp}) \right]}{(\eta_{i+1} - \eta_i) \ln \frac{k_{\perp}^2}{\mu^2} + \int_{\eta_i}^{\eta_{i+1}} d\eta N(\eta, k_{\perp})}$$

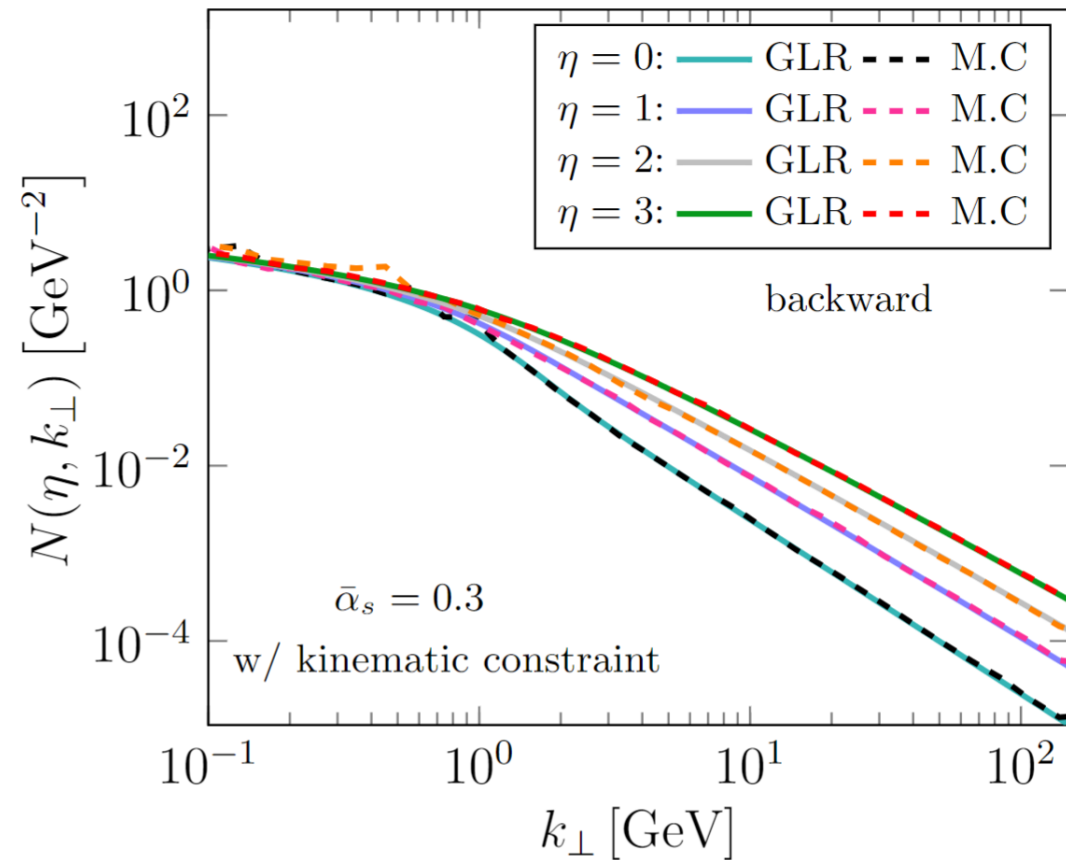
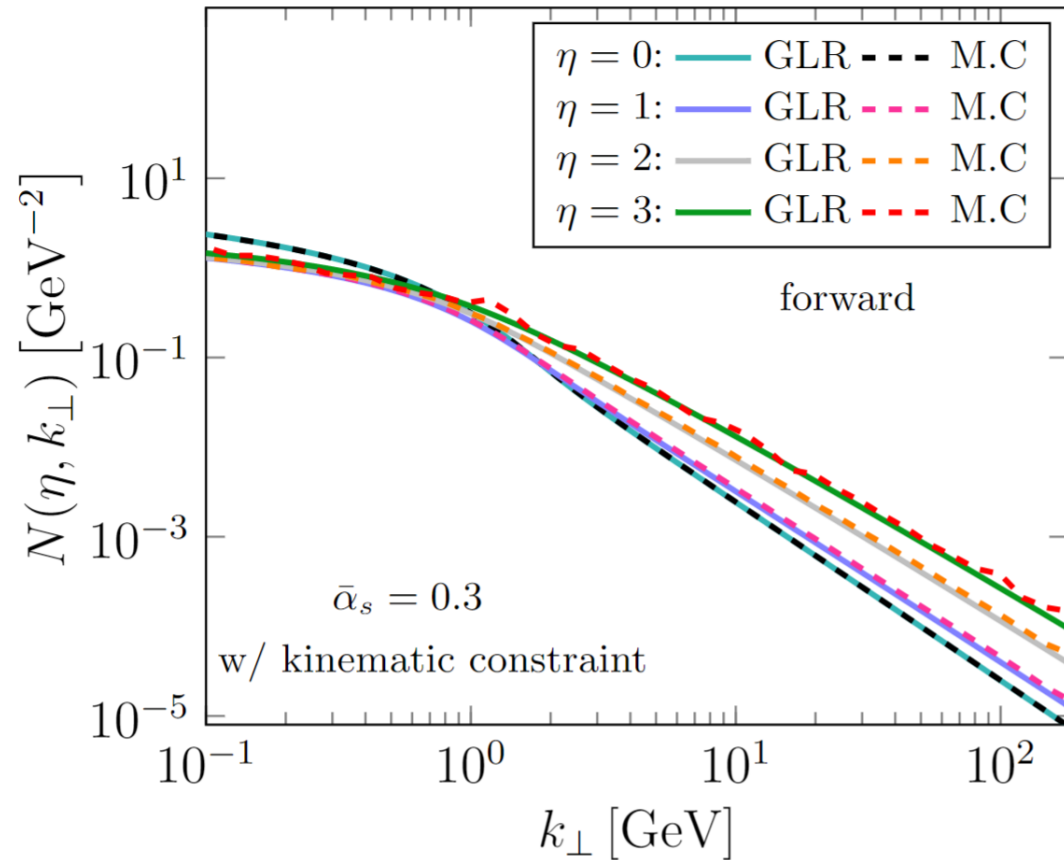
➤ Weight factor for backward evolution:

$$\mathcal{W}(\eta_{i+1}, \eta_i; k_{\perp, i+1}) = \frac{(\eta_{i+1} - \eta_i) \ln \frac{k_{\perp, i}^2}{\mu^2} + \int_{\eta_i}^{\eta_{i+1}} d\eta \mathcal{N}(\eta, k_{\perp, i})}{(\eta_{i+1} - \eta_i) \ln \frac{P_{\perp}^2}{\mu^2}} \frac{N(\eta_i, k_{\perp, i})}{N(\eta_i + \ln \left[\frac{k_{\perp, i+1}^2}{k_{\perp, i+1}^2 + l_{\perp}^2} \right], k_{\perp, i})}$$

➤ Non-Sudakov factor for backward evolution

$$\frac{\Delta(\eta_{i+1}, k_{\perp, i+1}) N(\eta_i, k_{\perp, i+1})}{\Delta(\eta_i, k_{\perp, i+1}) N(\eta_{i+1}, k_{\perp, i+1})} = \exp \left[-\frac{\bar{\alpha}_s}{\pi} \int_{\eta_i}^{\eta_{i+1}} d\eta \int_{\mu}^{\min[P_{\perp}, \sqrt{\frac{1-z}{z} k_{\perp, i+1}^2}]} \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{N\left(\eta + \ln \left[\frac{k_{\perp, i+1}^2}{k_{\perp, i+1}^2 + l_{\perp}^2} \right], k_{\perp, i+1} + l_{\perp}\right)}{N(\eta, k_{\perp, i+1})} \right]$$

Coherent branching: test against the numerical results



Joint small x and kt resummation

□ When $S \gg Q^2 \gg k_T^2$, resume two type large logs

$$\ln \frac{S}{Q^2} \quad \ln^2 \frac{Q^2}{k_{\perp}^2}$$

◆ Starting point:

$$xG(x, l_{\perp}, x\zeta) = \int \frac{dy^- d^2 y_{\perp}}{(2\pi)^3 P^+} e^{-ixP^+ y^- + il_{\perp} \cdot y_{\perp}} \langle P | F_{\mu}^+(y^-, y_{\perp}) \mathcal{L}_{\tilde{n}}^{\dagger}(y^-, y_{\perp}) \mathcal{L}_{\tilde{n}}(0, 0_{\perp}) F^{\mu+}(0) | P \rangle$$

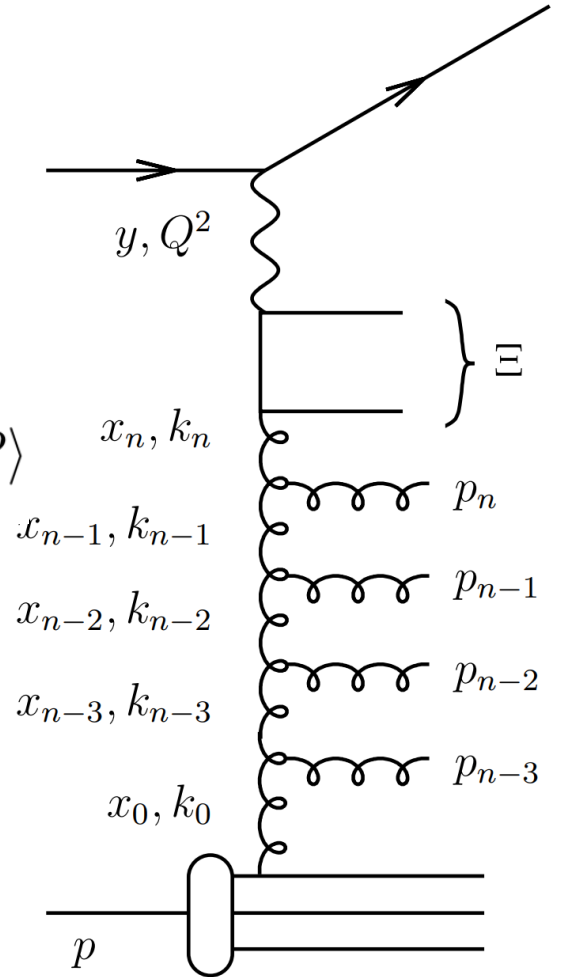
Collins, Soper, 81; Collins, Soper, Sterman, 85

Gloun---->gloun splitting kernel; $\mathcal{P}_{gg}(z) = 2C_A \frac{(z^2 - z + 1)^2}{z(1 - z)}$

When z(or x) ---->0, large logarithm $\ln \frac{1}{x}$ summed by BFKL

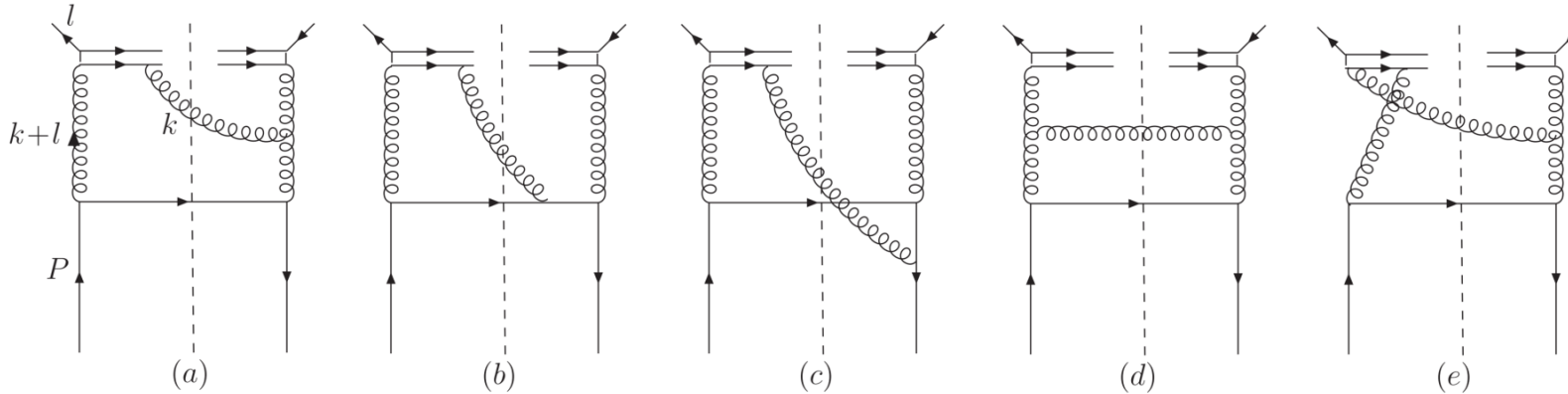
When z---->1, light cone divergence, introduce ζ to regularize

large logarithm $\ln \frac{x^2 \zeta^2}{k_T^2}$ summed by CS



Small x gluon TMD in dilute limit

◆ Sample real diagrams



➤ The resulting gluon TMD indeed simultaneously satisfies the both

$$\int_0^\infty \frac{dk^+}{k^+} = \int_{l^+}^\infty \frac{dk^+}{k^+} + \int_0^{l^+} \frac{dk^+}{k^+}$$

2016, ZJ

BFKL equation:

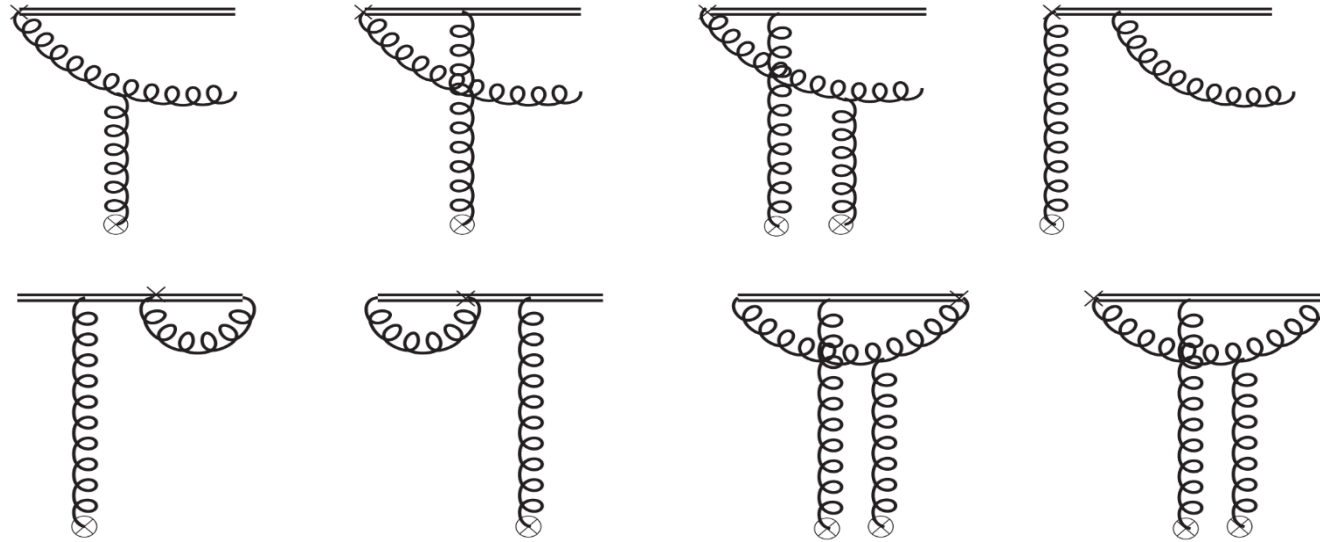
$$\frac{\partial [xG(x, l_\perp, x\zeta)]}{\partial \ln(1/x)} = \frac{\alpha_s N_c}{\pi^2} \int \frac{d^2 k_\perp}{k_\perp^2} \left\{ xG(x, k_\perp + l_\perp, x\zeta) - \frac{l_\perp^2}{2(l_\perp + k_\perp)^2} xG(x, l_\perp, x\zeta) \right\}$$

CS equation:

$$\frac{\partial [G(x, b_\perp, x\zeta)]}{\partial \ln \zeta} = -\frac{\alpha_s N_c}{\pi} \ln \left[\frac{x^2 \zeta^2 b_\perp^2}{4} e^{2\gamma_E - \frac{1}{2}} \right] G(x, b_\perp, x\zeta)$$

Small x TMDs in CGC at NLO(double log)

Sample diagrams (Collins-2011 scheme)



Xiao, Yuan and ZJ, 2017.

The basic nonperturbative ingredient:

$$U(x_{\perp}) = \langle \mathcal{P} e^{-ig \int_{-\infty}^{+\infty} dx^- A^+(x^-, x_{\perp})} \rangle$$

Multiple gluon rescattering is treated in an equal way.

Collinear approach v.s. CGC

➤ Collinear factorization:

$$\tilde{f}_g^{(sub.)}(x, r_\perp, \zeta_c) = e^{-S_{pert}^g(Q, r_\perp)} \sum_i C_{g/i}(\mu_r/\mu) \otimes f_i(x, \mu)$$

Sudakov
factor

Hard
coefficient

Collinear PDF

➤ CGC (Collinear divergence absent)

$$xG^{(1)}(x, k_\perp, \zeta) = -\frac{2}{\alpha_S} \int \frac{d^2 x_\perp d^2 y_\perp}{(2\pi)^4} e^{ik_\perp \cdot r_\perp} \mathcal{H}^{WW}(\alpha_s(Q)) e^{-S_{sud}(Q^2, r_\perp^2)} \mathcal{F}_{Y=\ln 1/x}^{WW}(x_\perp, y_\perp)$$

Hard
coefficient

Sudakov
factor

Two point
function

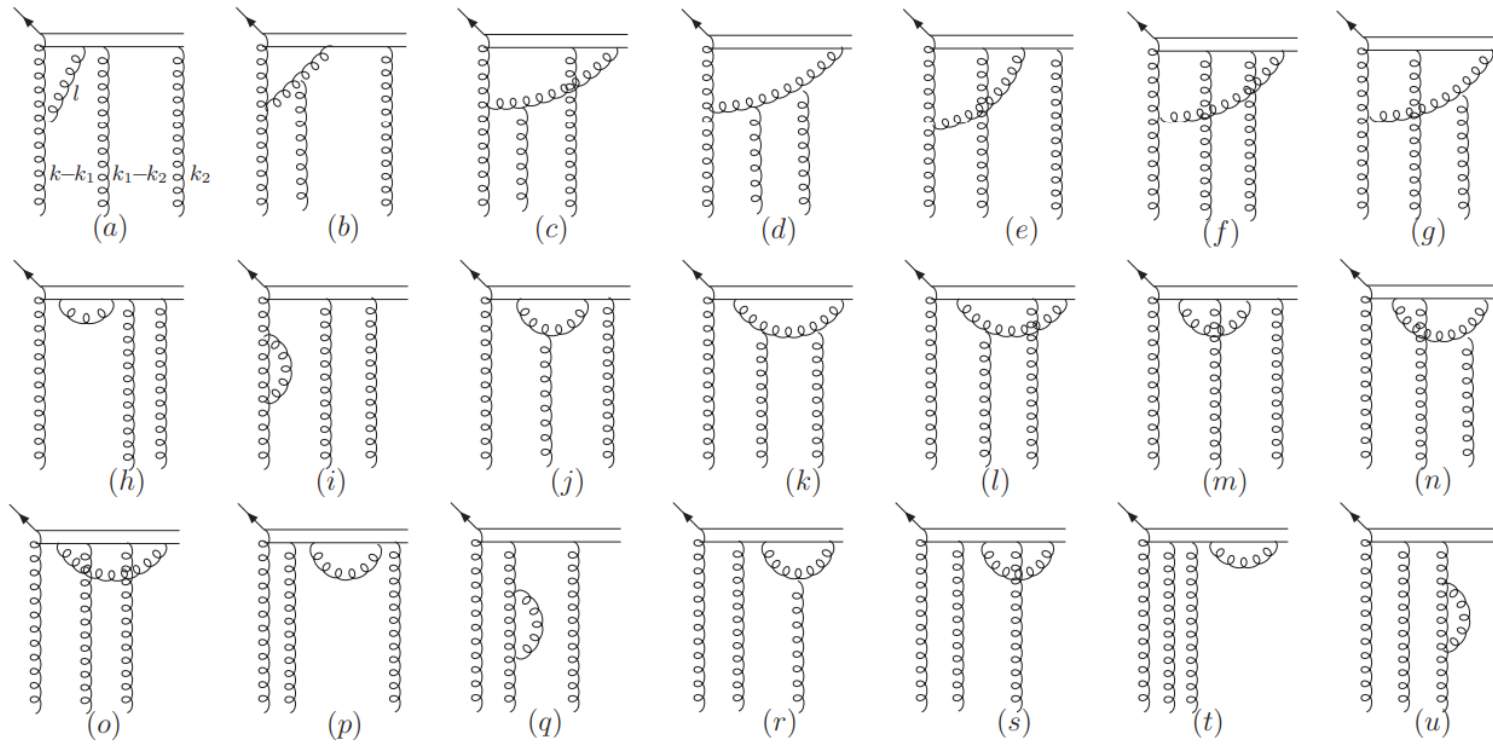
Two step evolution: $x_0 \longrightarrow x$ $kt \longrightarrow Q$

Sudakov Single log at small x

The anomalous dimension at small x?

$$\frac{d \ln G(x, b_\perp, \mu^2, \zeta_c^2)}{d \ln \mu} = \gamma_G(g(\mu), \zeta_c^2/\mu^2)$$

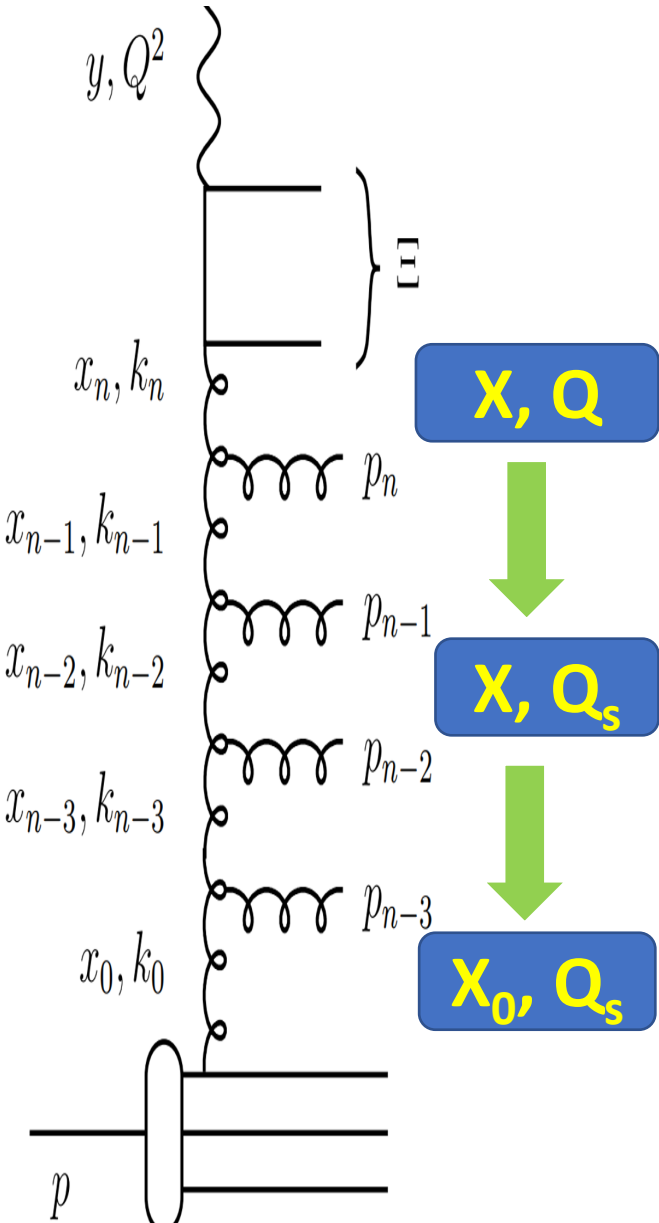
Compute UV part first; employ the Eikonal approximation next!



2019, ZJ

□ Single log is not affected by saturation effect.

Monte Carlo implementation of the joint resummation



➤ Combing Collins-Soper equation

$$\frac{\partial N(\mu^2, \zeta^2, x, k_\perp)}{\partial \ln \zeta^2} = \frac{2\alpha_s N_c}{\pi^2} \int_0^\zeta \frac{d^2 l_\perp}{l_\perp^2} [N(\mu^2, \zeta^2, x, k_\perp + l_\perp) - N(\mu^2, \zeta^2, x, k_\perp)]$$

with renormalization group equation

$$\frac{\partial N(\mu^2, \zeta^2, x, k_\perp)}{\partial \ln \mu^2} = \frac{\alpha_s N_c}{\pi} \left[\frac{\beta_0}{6} - \ln \frac{\zeta^2}{\mu^2} \right] N(\mu^2, \zeta^2, x, k_\perp)$$

➤ **Folded CS+RG:** $N(Q^2, x, k_\perp) \equiv N(\mu^2 = Q^2, \zeta^2 = Q^2, x, k_\perp)$

$$\frac{\partial}{\partial \ln Q^2} \frac{N(Q^2, x, k_\perp)}{\Delta_s(Q^2, k_\perp)} = \frac{2\bar{\alpha}_s}{\pi} \int_{Q_0}^Q \frac{d^2 l_\perp}{l_\perp^2} \frac{N(Q^2, x, k_\perp + l_\perp)}{\Delta_s(Q^2, k_\perp)}$$

Shi-Wei-ZJ, in preparation

Sudakov form factor

$$\Delta_s(Q^2, k_\perp) = \exp \left[-\bar{\alpha}_s \int_{Q_0^2}^{Q^2} \frac{dt}{t} \left(2 \ln \frac{t}{Q_0^2} - \frac{\beta_0}{6} \right) \right]$$

Monte Carlo implementation of kt resummation

- The modified Sudakov factor in the backward evolution:

$$\frac{\Delta_s(Q_n^2, k_{\perp,n}) N(Q_{n-1}^2, x_n, k_{\perp,n})}{\Delta_s(Q_{n-1}^2, k_{\perp,n}) N(Q_n^2, x_n, k_{\perp,n})} = \exp \left[- \int_{Q_{n-1}^2}^{Q_n^2} \frac{dt}{t} \int_{Q_0}^t \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{2\bar{\alpha}_s(l_{\perp}^2)}{\pi} \frac{N(t, x_n, k_{\perp,n} + l_{\perp})}{N(t, x_n, k_{\perp,n})} \right] = \mathcal{R}$$

- Sample $l_{\perp}$ and reconstruct z

Shi-Wei-ZJ, in preparation

$$\int \frac{d^2 l_{\perp}}{l_{\perp}^2} \frac{\bar{\alpha}_s(l_{\perp}^2)}{\pi} N(Q_{n-1}^2, x_n, k_{\perp,n} + l_{\perp}) \quad l_{\perp,n}^2 \approx Q_{n-1}^2 (1 - z_n)$$

- Weight factor

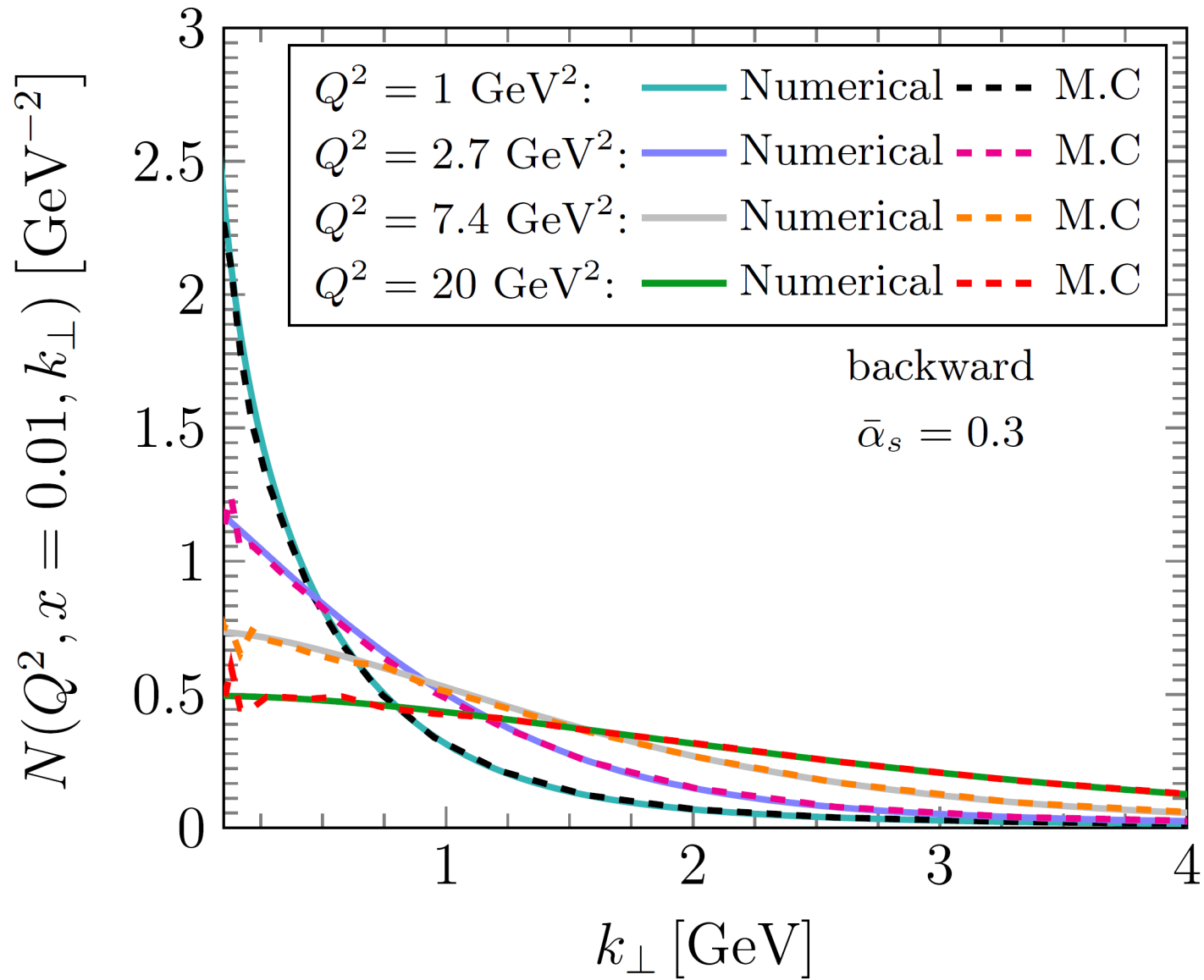
$$\mathcal{W} = \frac{\int_{Q_{n-1}^2}^{Q_n^2} \frac{dt}{t} \ln \frac{t^2}{Q_0^2}}{\int_{Q_{n-1}^2}^{Q_n^2} \frac{dt}{t} \left[\ln \frac{t^2}{Q_0^2} - \frac{\beta_0}{12} \right]}$$

◆ Unitarity is preserved only within the double leading log approximation

- Terminate kt PS and turn on small x PS

$$Q_{n-1}^2 > 2k_{\perp,n}^2 \text{ and } Q_{n-1}^2 > 2l_{\perp,n}^2.$$

Numerical V.S. MC:



Initial condition:

$$N(Q_0^2 = 1 \text{ GeV}^2, x = 0.01, k_\perp) = \int \frac{d^2 r_\perp}{2\pi} e^{ik_\perp \cdot r_\perp} \frac{1}{r_\perp^2} \left[1 - e^{-\frac{Q_s^2 r_\perp^2}{4}} \log\left(\frac{1}{r_\perp \Lambda_{\text{MV}}} + e\right) \right]$$

Summary and Outlook

- The first PS algorithm incorporating saturation effect
- The implementation of the joint resummation is sketched
- Recoiled effect; linear polarization effect; multiple gluon fusion effect
- Perform hadronization using PYTHIA

Thank you for your attention!



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