

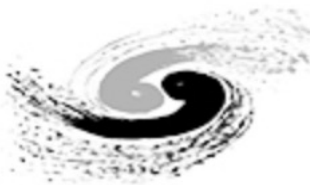
LHCb upgrades status and plan

Xuhao Yuan

IHEP

On behalf of the LHCb China group

2023-04-15

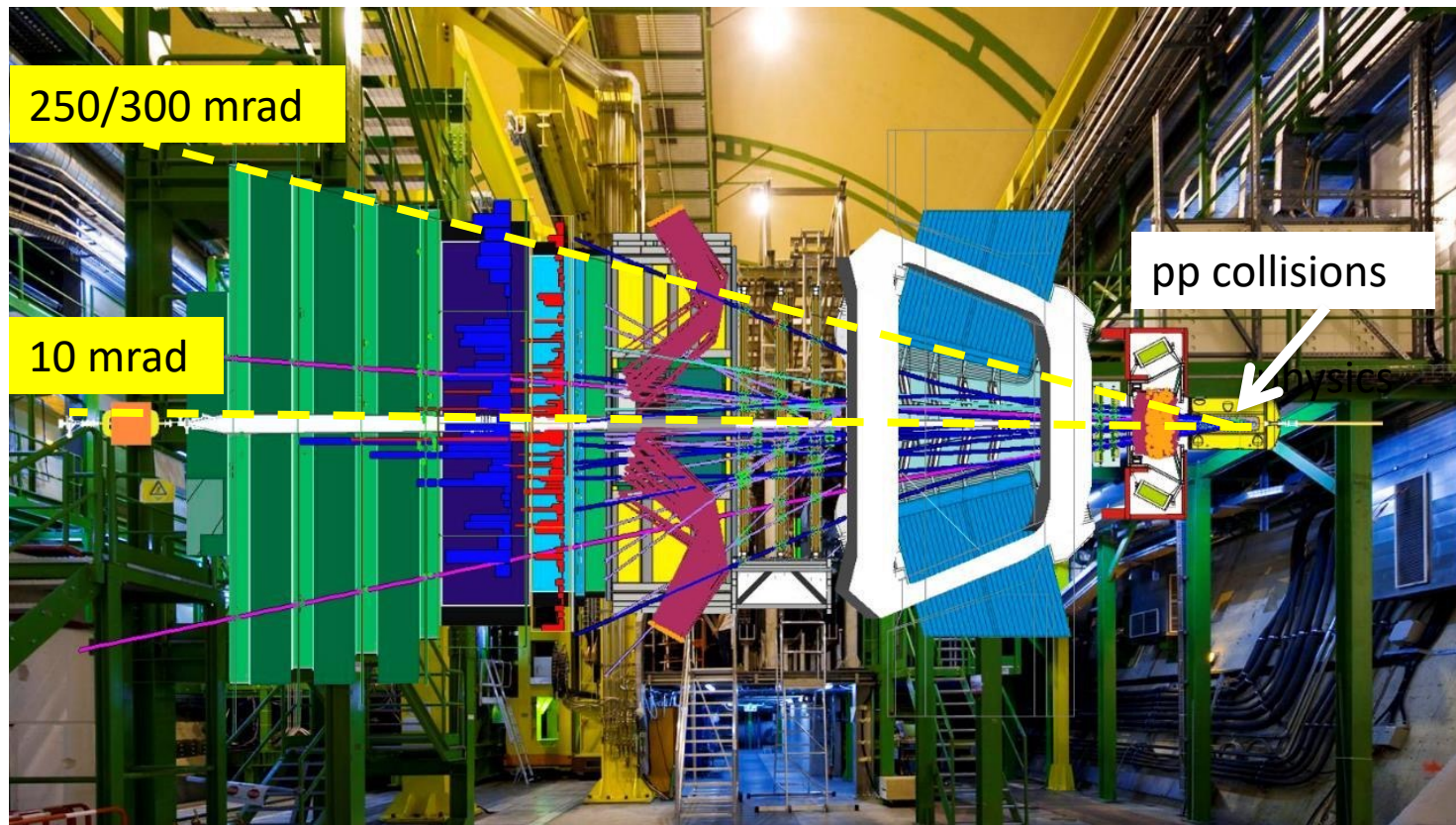


- Introduction
- LHCb upgrades
 - ❑ Upgrade I (2019 - 2023)
 - ✓ Upgrade Ib (2026 – 2028)
 - ❑ Upgrade II (2032 -)
- LHCb physics in future
- Summary

LHCb: a forward spectrometer @ LHC



More details on Liupan's talk

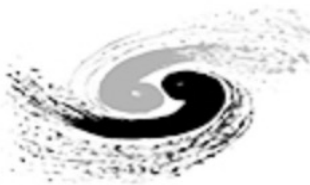


Main physics goal

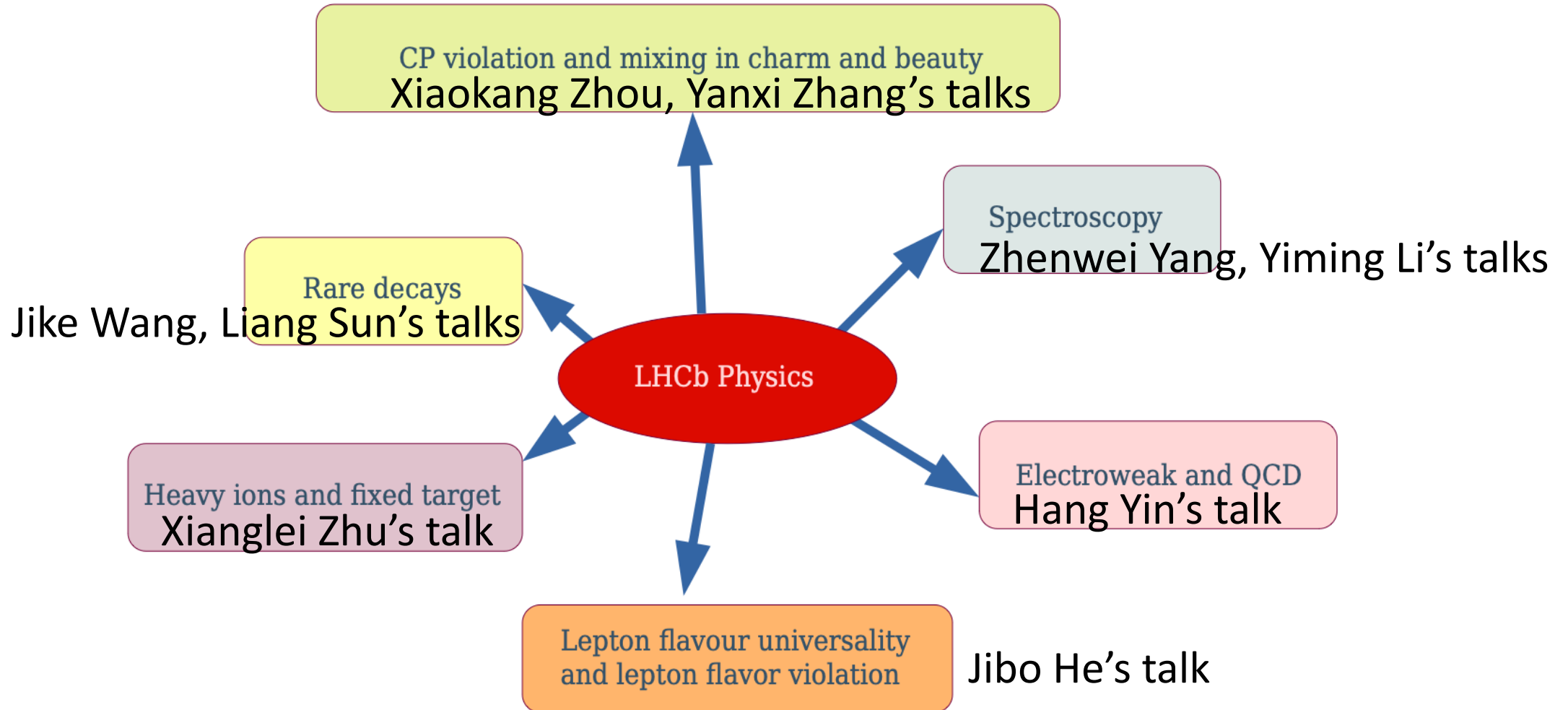
- To study b & c sectors on CP-violation, rare decays, search for new physics...

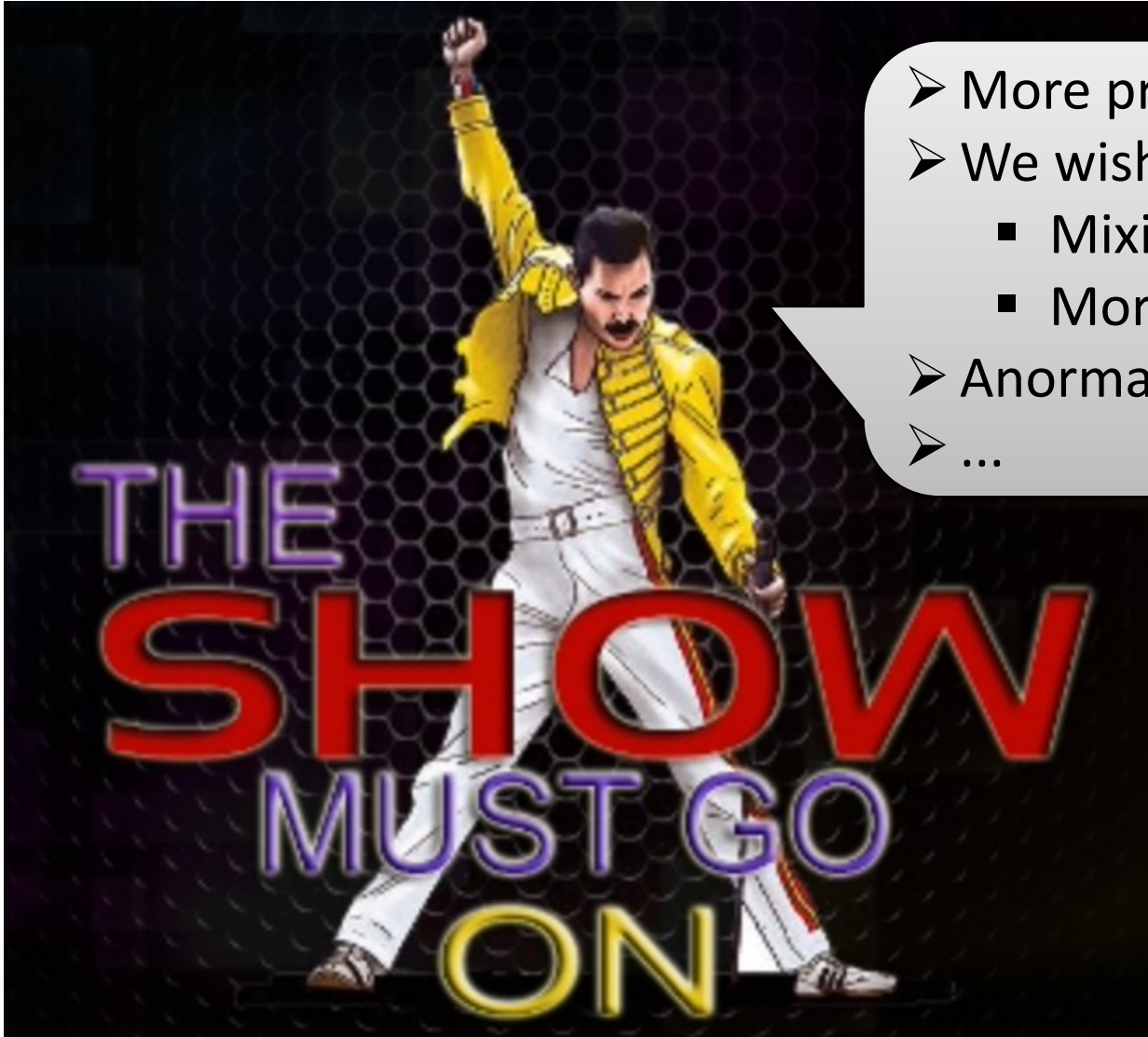
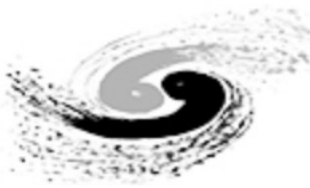
- $\Delta p/p = 0.5\% @ < 20 \text{ GeV}/c$, $1\% @ < 200 \text{ GeV}/c$
- IP resolution $\sim 15 + 29/p_T [\text{GeV}/c] \mu\text{m}$
- Decay time resolution 45 fs ($B_s \rightarrow J/\psi \phi$)
- Kaon ID $\sim 95\%$ for 5% $\pi \rightarrow K$ mis-ID probability

LHCb physics performance in Run 1 & 2



A decade of important discoveries and precision measurements (9 fb⁻¹ pp data)





- More precision required in crucial observables
- We wish to observe:
 - Mixing CPV in Charm
 - More exotics ...
- Anomaly in P_5' , Lepton flavor universality
- ...



Upgrade I (U1), started in LS2 and to be completed in weeks:

$$\mathcal{L}_{\max} \sim 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$$

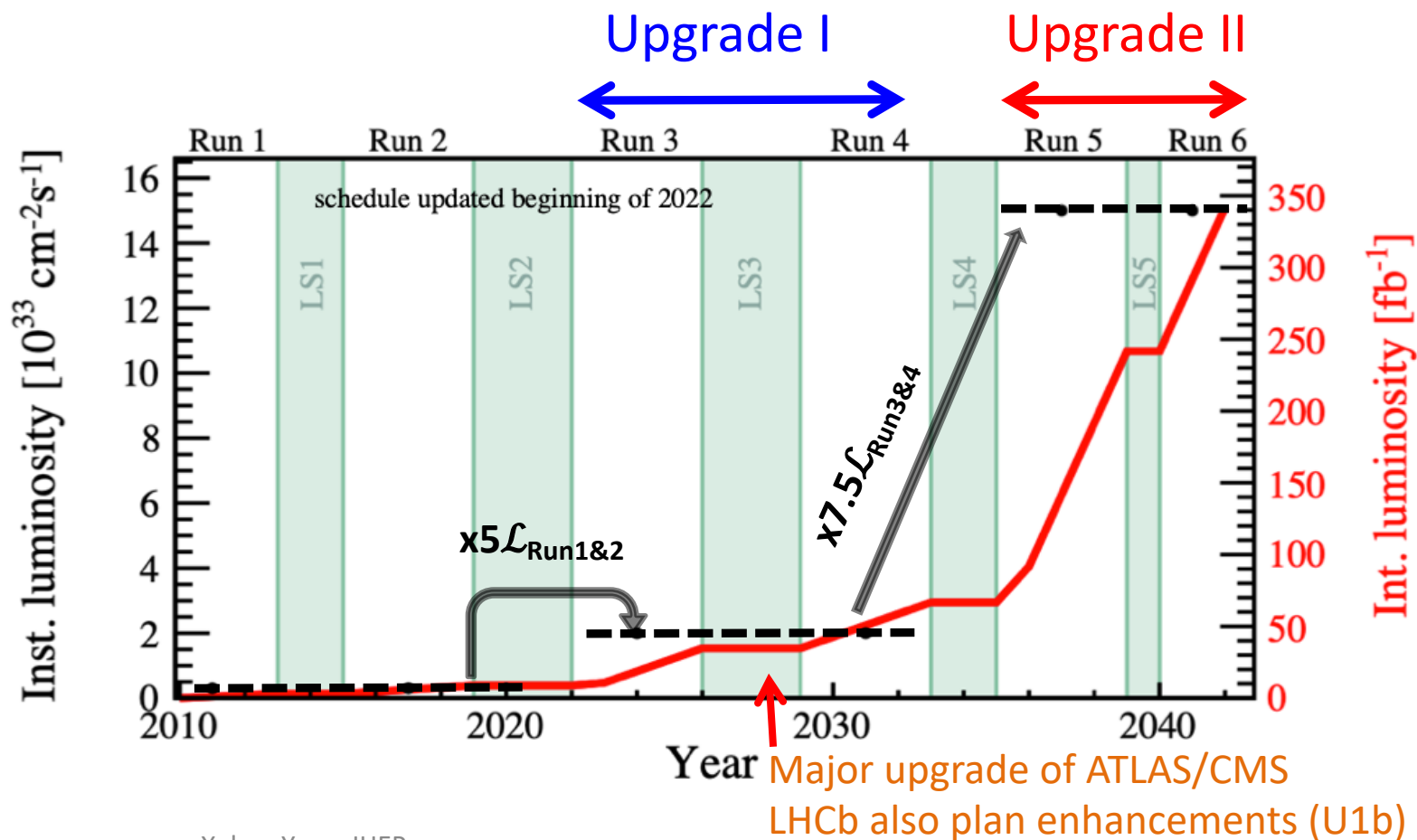
$$\mathcal{L}_{\text{int}} \sim 50 \text{ fb}^{-1}$$

Upgrade II (U2), starts after LS4

$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

Some smaller detector consolidation and enhancements in LS3 (2026) \Leftarrow U1b





Higher luminosity ($5 \times \mathcal{L}_{\text{Run1\&2}}$) results in

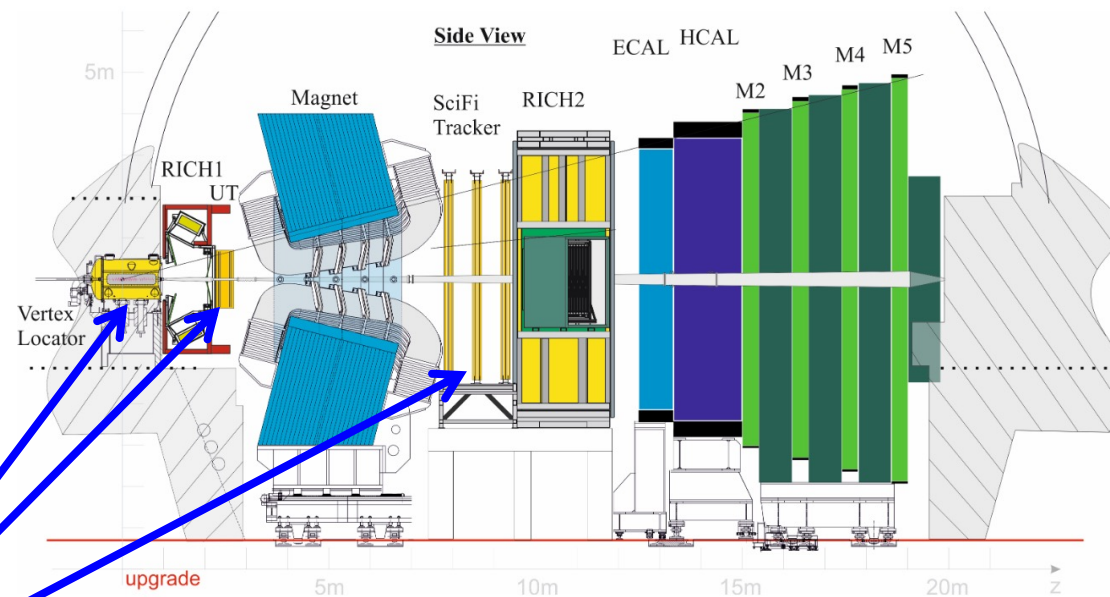
- Higher pile up, occupancy, fluence

No hardware trigger

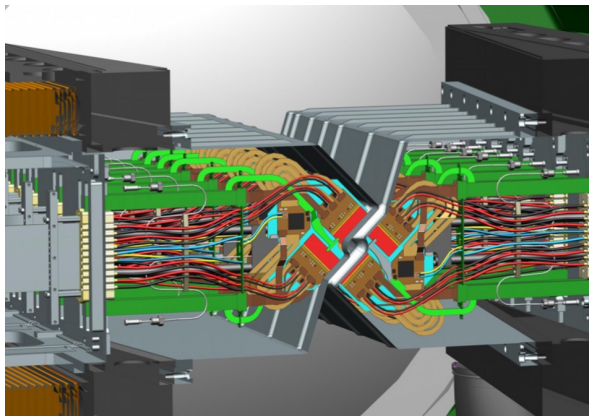
- GPU + CPU full software-based trigger
- Readout at the LHC bunch crossing rate (40 MHz) for all subdetectors

New tracking system

- **VertexLocator (VELO)**, **Upstream Tracker (UT)** and **Scintillating Fiber Tracker (SciFi)**

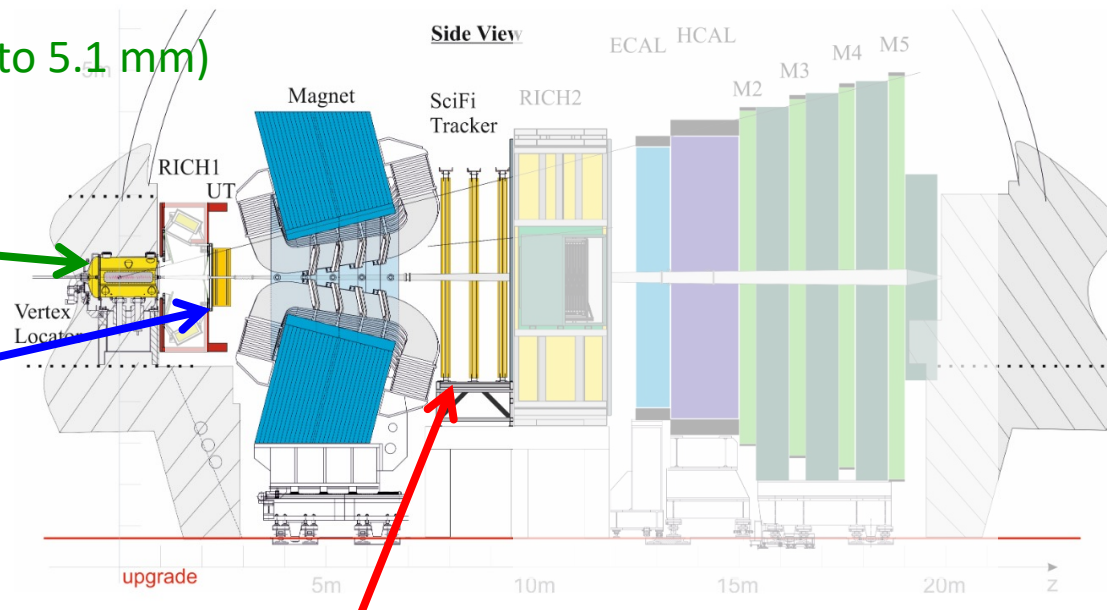


Upgraded trackers: VELO, UT and SciFi



VELO: hybrid pixel detector

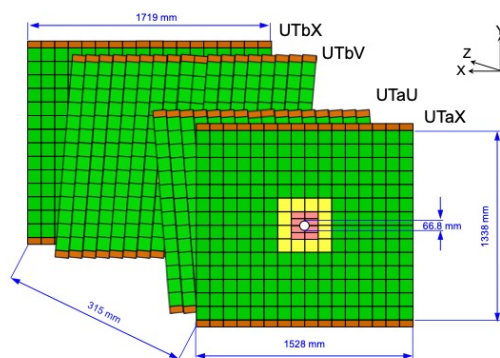
- Closer to the beam (from 8.2 mm to 5.1 mm)
- New RF box
- MAX fluence: $8 \times 10^{15} \text{ MeVn}_{\text{eq}} \text{cm}^{-2}$



UT: Si Strip detector

- Higher coverage, segmentation, resolution
- Speed up tracks reconstruction & reduce P_{GhostTrk}

LHCb China group contributes from UT electronics, software to final installation

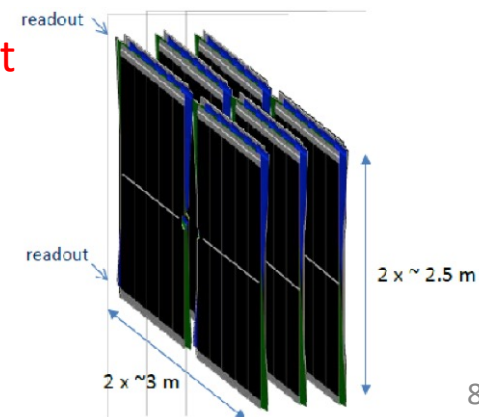


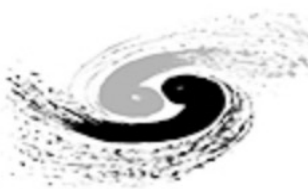
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SciFi: Scintillating fibers detector

- 3 station with 4 detection layers
- 2x2.5 m long modules with Readout SiPMs at the outer edge

LHCb China group contributes PACIFIC R&D and production

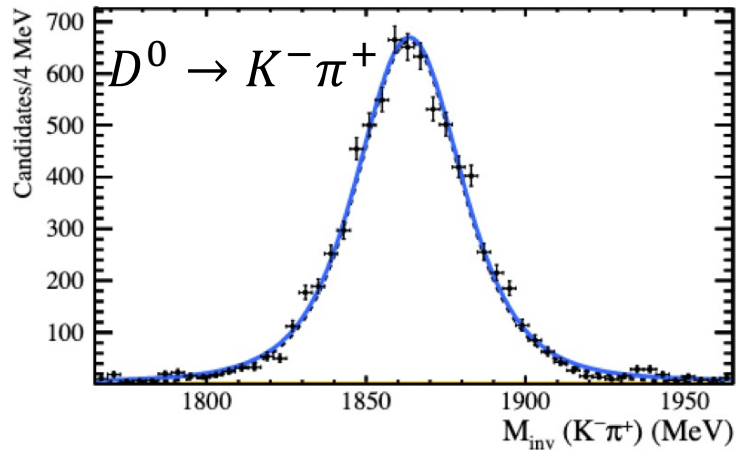




Upgrade I status

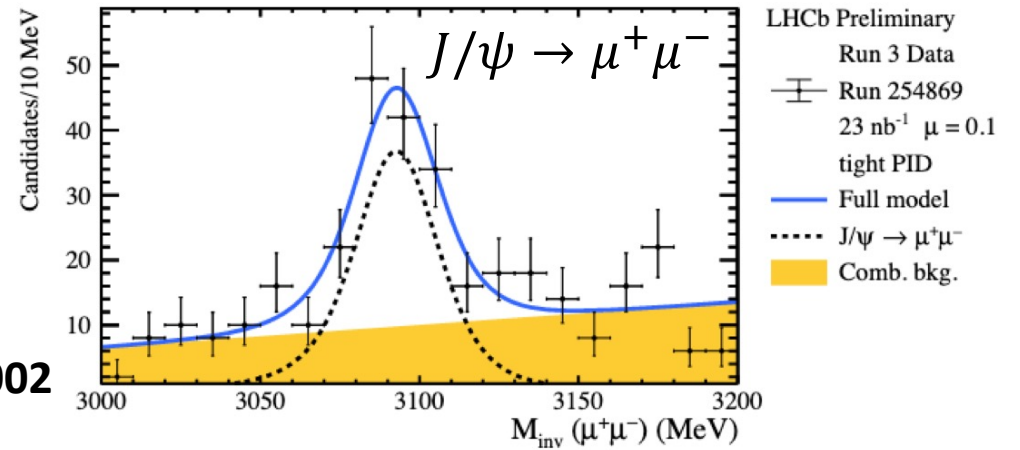
First collisions started from Jul 5, 2022

With GPU+CPU triggers and PID, the first mass peaks observed

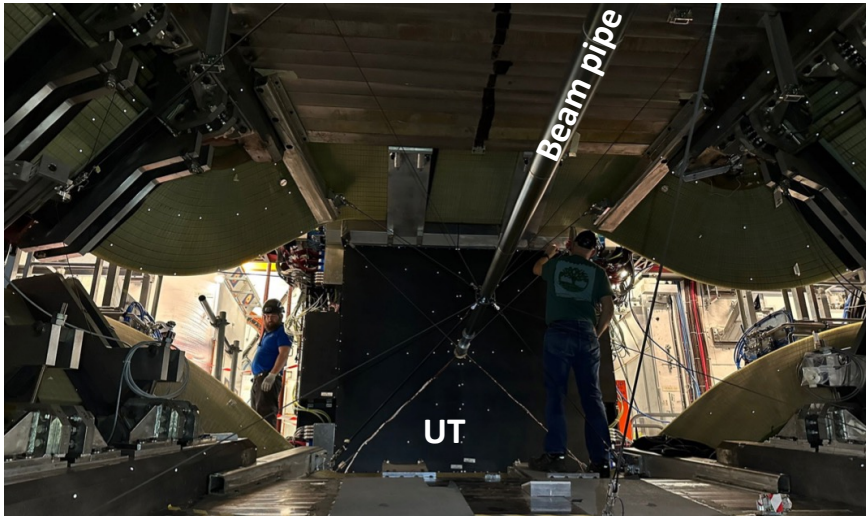


LHCb Preliminary
Run 3 Data
Run 254869
 $23 \text{ nb}^{-1} \mu = 0.1$
with PID selection
Full model
 $D^0 \rightarrow K^- \pi^+$
Comb. bkg.

LHCb-FIGURE-2023-002



LHCb Preliminary
Run 3 Data
Run 254869
 $23 \text{ nb}^{-1} \mu = 0.1$
tight PID
Full model
 $J/\psi \rightarrow \mu^+ \mu^-$
Comb. bkg.



UT completed and closed around beam pipe by Mar 2023
➤ Commissioning now ongoing: ~96% channels are active

Stable beams @ 6.8 TeV by end of April

High pile-up in Upgrade II

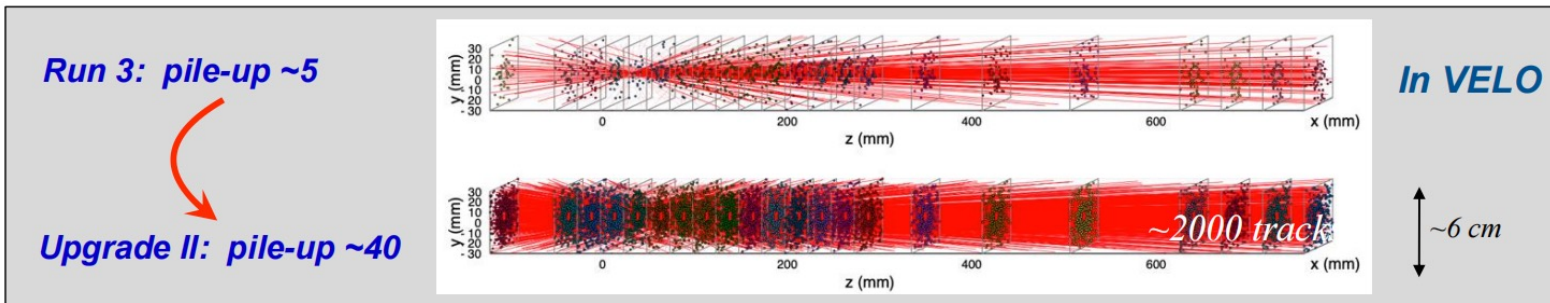


In Upgrade II

$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

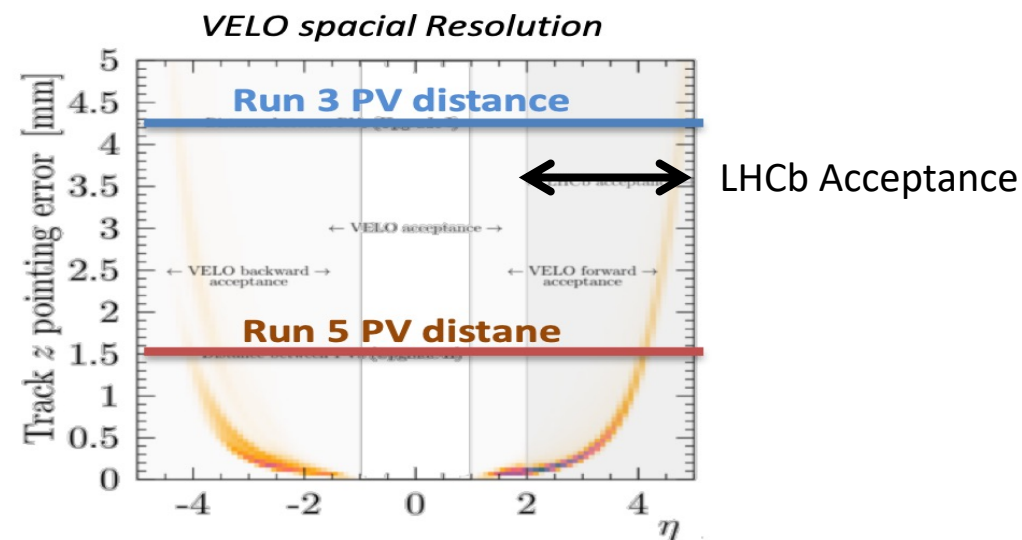
$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

~ 40 visible interactions/Xing



- High pile-up induces PV spacial separation of the same order as VELO resolution
 -> PV unresolvable
- Ensure $\epsilon_{\text{trigger}}$ at high pileup condition

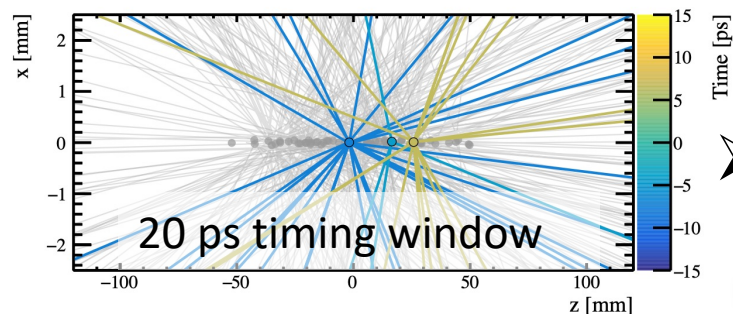
New detector with t information



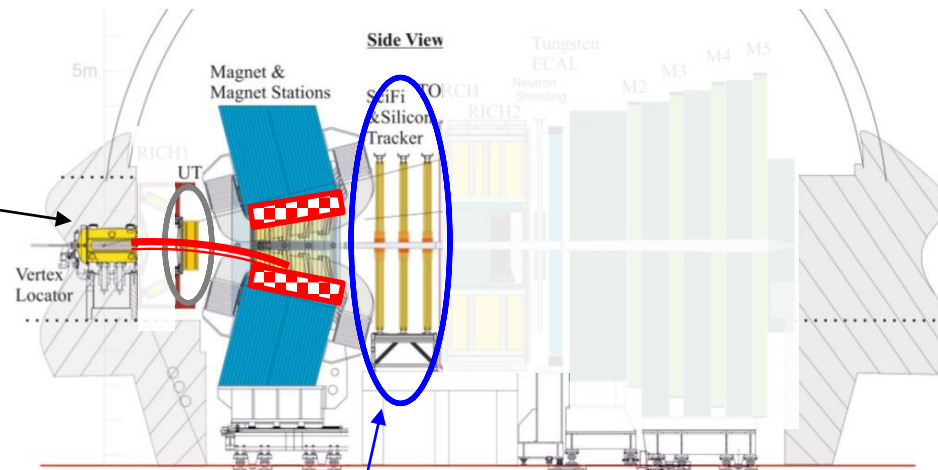
New tracking detectors in U2



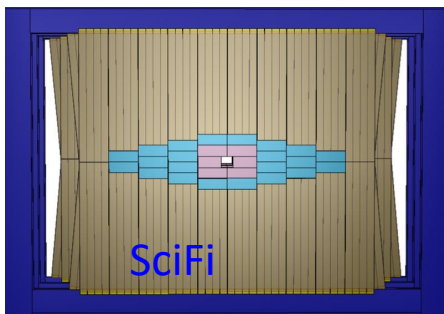
VELO: 4D detector with timing



➤ $\sigma_t(\text{Track}) = 20 \text{ ps}$ restores the performance to U1 level

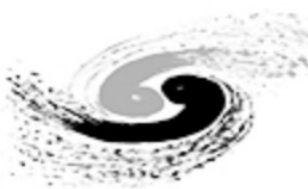


UT: Pixel detector, more details on next slides



SciFi: Keep current design at outer region, while at most inner region CMOS sensors to be used

➤ New optical & cooling systems U1b @ 2026



Upgrade II UT (U2UT)

LHCb China group will lead this upgrade

Channel occupancy [%]

0.42	0.45	0.47	0.49	0.52	0.54	0.57	0.60	0.60
0.46	0.49	0.52	0.56	0.59	0.63	0.68	0.74	0.77
0.53	0.58	0.62	0.68	0.73	0.83	0.89	1.00	1.06
0.64	0.70	0.77	0.86	0.96	1.10	1.26	1.48	1.63
0.78	0.88	0.97	1.13	1.27	1.54	1.81	2.34	2.72
0.96	1.10	1.23	1.45	1.68	2.05	2.63	2.84	3.87
1.28	1.45	1.54	1.81	2.04	2.57	3.42	4.48	3.95 5.13

Current UT optimized for $\mathcal{L}_{\text{Run 3\&4}}$

Upgrade II luminosity $1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ($\times 7.5 \mathcal{L}_{\text{Run 3\&4}}$)

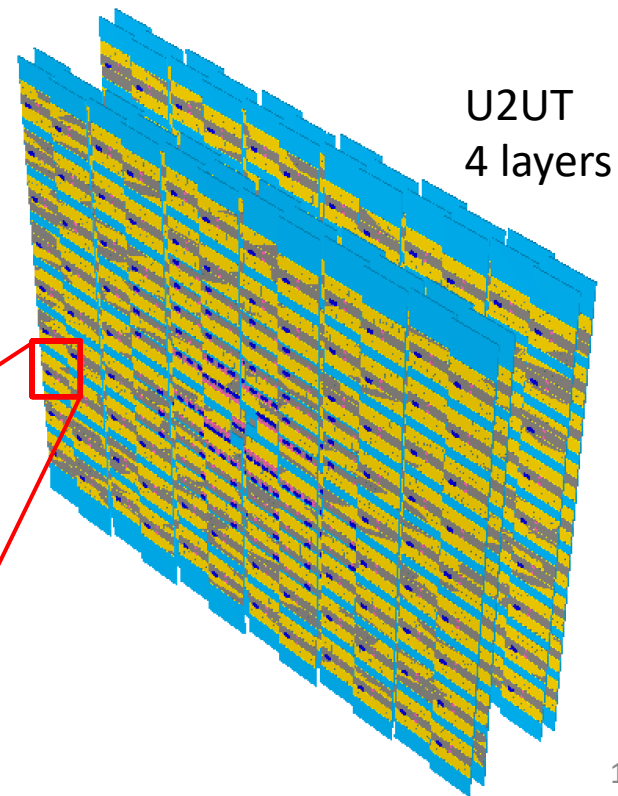
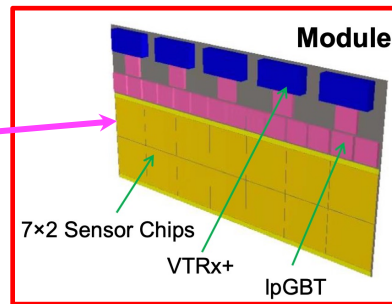
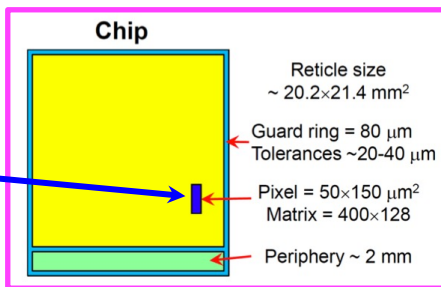
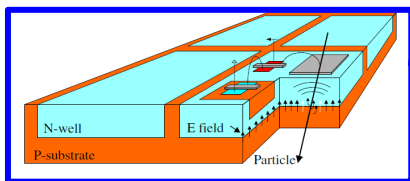
- The occupancy (max $\sim 10\%$) will compromise the performance
- Radiation does too high for current sensor

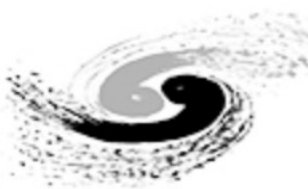
Beam pipe

U2UT:

- CMOS MAPS technique applied
- Very promising and cost effective for large area pixel detectors.

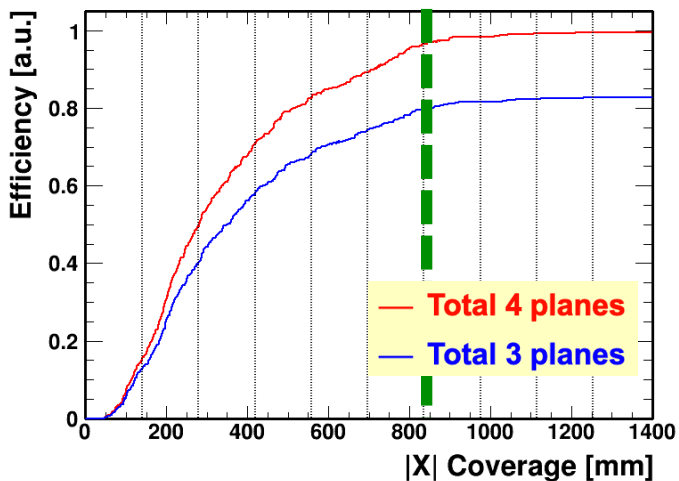
Monolithic Active Pixel Sensor (MAPS)





U2UT R&D status

Track efficiency vs X coverage



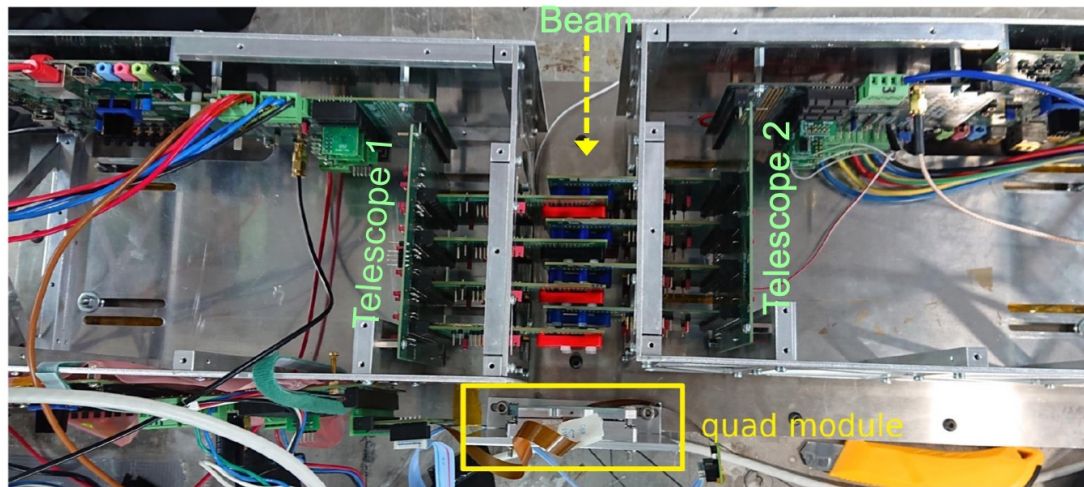
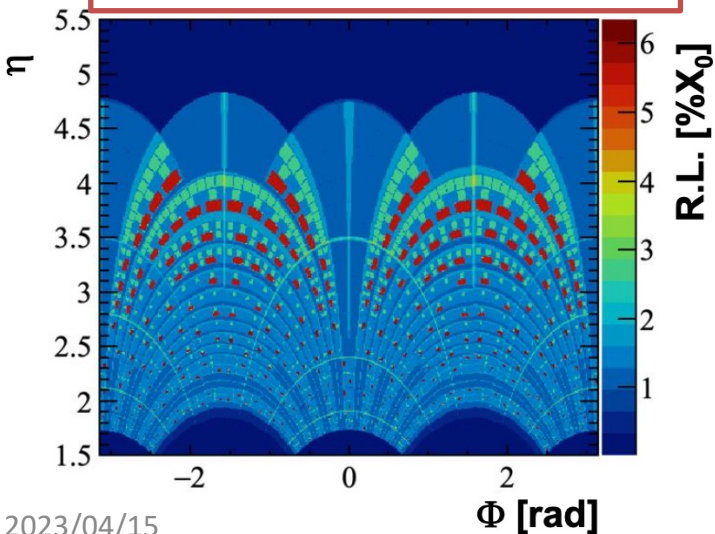
U2UT software ⇔ Lead by LHCb China group

- Preliminary studies on
 - ❑ Track efficiency for $B^- \rightarrow D^0 K^-$, $D^0 \rightarrow K_S \pi^+ \pi^-$, $K_S \rightarrow \pi^+ \pi^-$
 - Optimizing U2UT coverage
 - ❑ Detector simulation mostly done and RL calculated

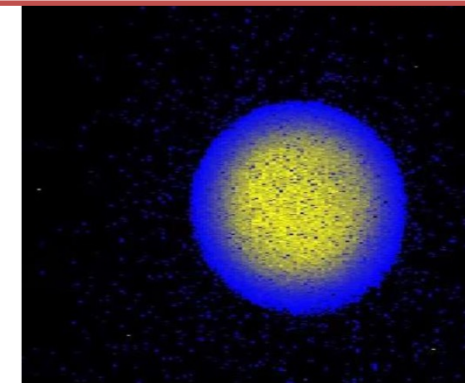
U2UT chips

- Testbeam @ DESY in April 2022
- Tested in cosmic ray and various radioactive sources

R.L. as functions of Φ and η



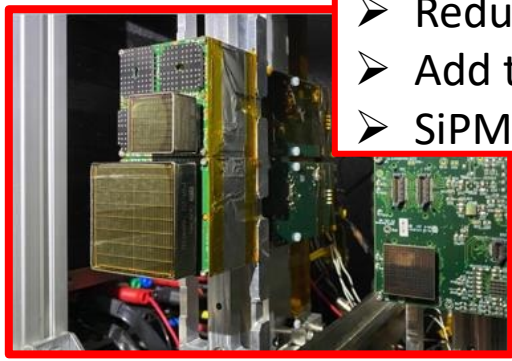
Hitmap with Fe55 source



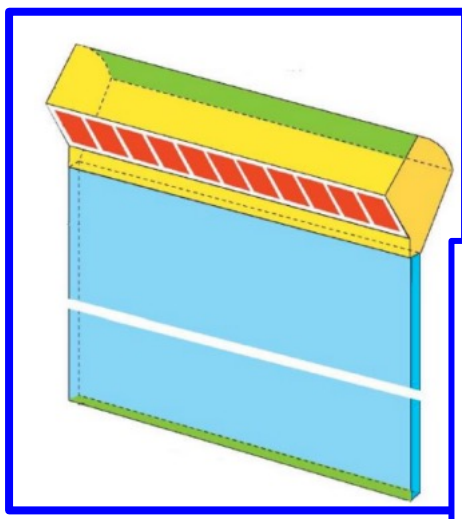
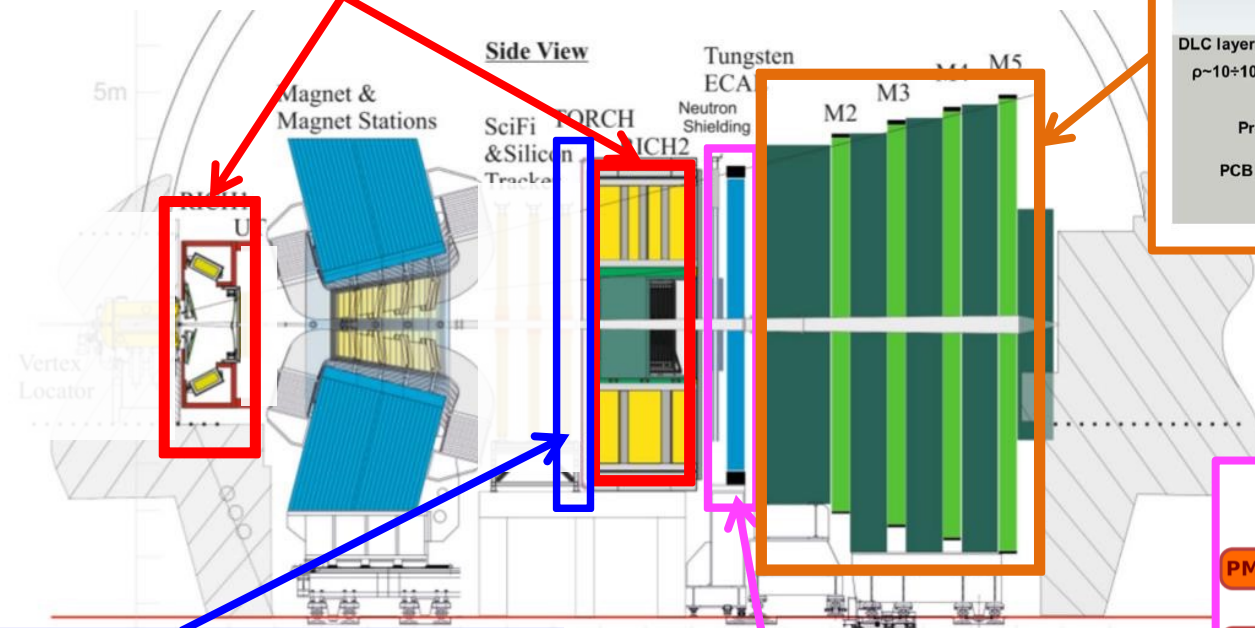
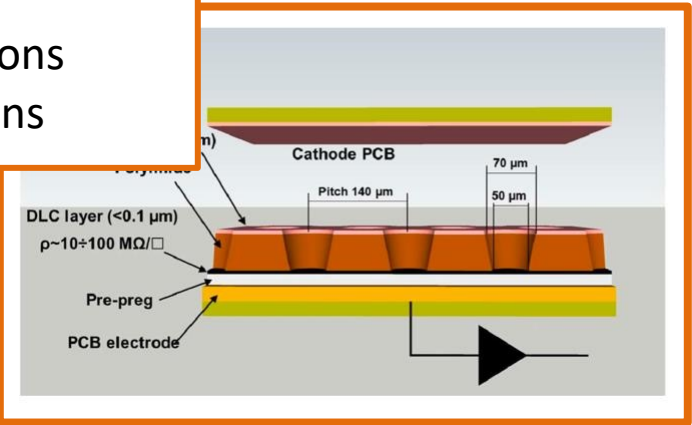
Particle Identification (PID) Detectors



- RICH1, RICH2**
- Reduced pixel size
 - Add timing information
 - SiPM, MCP

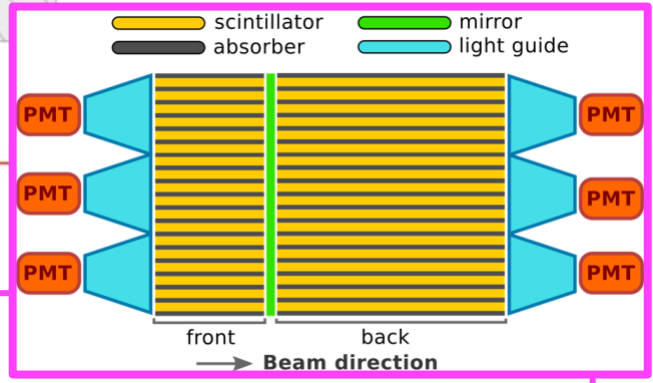


- Muon**
- μ RWELL for inner regions
 - MWPC for outer regions



- TORCH**
- To enhance PID capabilities for soft particles
 - Measure light angle, path length and TOF

- ECAL**
- Space & time, longitudinal segmentation
 - SPACAL with radiation hard crystals



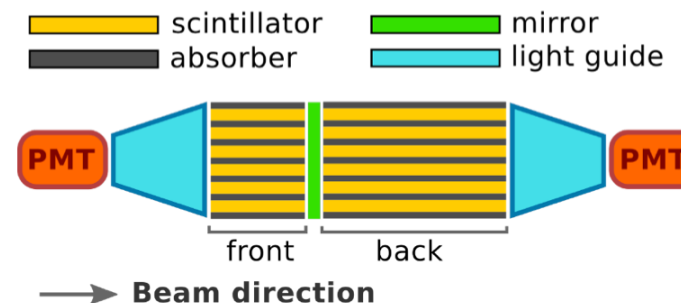
5D calorimeter with precision timing



LHCb China groups are contributing

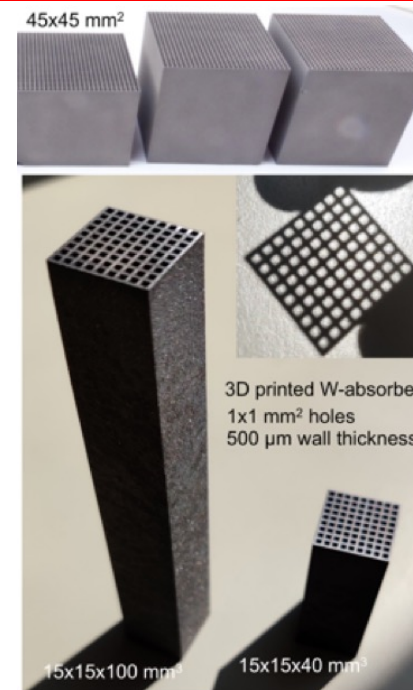
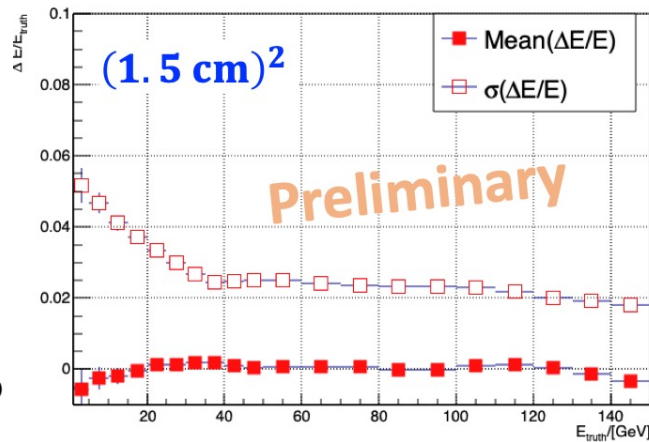
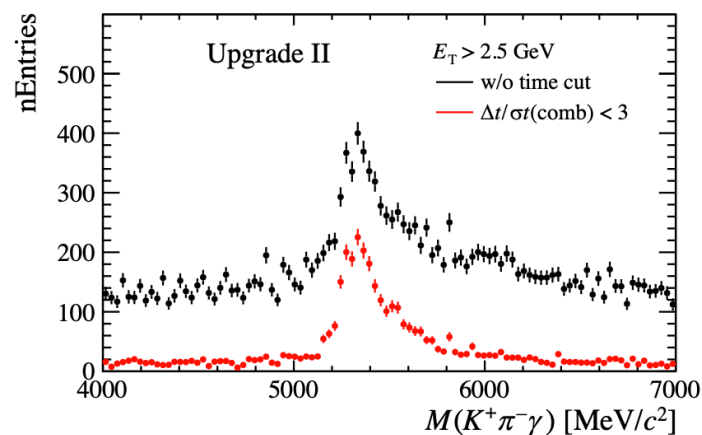
Key features: energy resolution ($10\%/\sqrt{E} \oplus 1\%$), radiation hardness (up to 1 MGy), timing capability (tens of ps) and granularity.

- Multiple technologies for different regions from inner to outer
- Possibility of adding timing layer: Si layers.
- Possibility of replacing the inner-most modules at LS3.



Significant contributions from LHCb China group

- Simulation studies
- Module production





Key observables in flavor physics

Observable	Current LHCb (up to 9 fb ⁻¹)	Upgrade I (23 fb ⁻¹)	Upgrade I (50 fb ⁻¹)	Upgrade II (300 fb ⁻¹)
CKM tests				
$\gamma (B \rightarrow DK, \text{etc.})$	4° [9, 10]	1.5°	1°	0.35°
$\phi_s (B_s^0 \rightarrow J/\psi\phi)$	32 mrad [8]	14 mrad	10 mrad	4 mrad
$ V_{ub} / V_{cb} (\Lambda_b^0 \rightarrow p\mu^-\bar{\nu}_\mu, \text{etc.})$	6% [29, 30]	3%	2%	1%
$a_{\text{sl}}^d (B^0 \rightarrow D^-\mu^+\nu_\mu)$	36×10^{-4} [34]	8×10^{-4}	5×10^{-4}	2×10^{-4}
$a_{\text{sl}}^s (B_s^0 \rightarrow D_s^-\mu^+\nu_\mu)$	33×10^{-4} [35]	10×10^{-4}	7×10^{-4}	3×10^{-4}
Charm				
$\Delta A_{CP} (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	29×10^{-5} [5]	13×10^{-5}	8×10^{-5}	3.3×10^{-5}
$A_\Gamma (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	11×10^{-5} [38]	5×10^{-5}	3.2×10^{-5}	1.2×10^{-5}
$\Delta x (D^0 \rightarrow K_s^0\pi^+\pi^-)$	18×10^{-5} [37]	6.3×10^{-5}	4.1×10^{-5}	1.6×10^{-5}
Rare Decays				
$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	69% [40, 41]	41%	27%	11%
$S_{\mu\mu} (B_s^0 \rightarrow \mu^+\mu^-)$	—	—	—	0.2
$A_T^{(2)} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$A_T^{\text{Im}} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$\mathcal{A}_{\phi\gamma}^{\Delta\Gamma} (B_s^0 \rightarrow \phi\gamma)$	$\begin{matrix} +0.41 \\ -0.44 \end{matrix}$ [51]	0.124	0.083	0.033
$S_{\phi\gamma} (B_s^0 \rightarrow \phi\gamma)$	0.32 [51]	0.093	0.062	0.025
$\alpha_\gamma (\Lambda_b^0 \rightarrow \Lambda\gamma)$	$\begin{matrix} +0.17 \\ -0.29 \end{matrix}$ [53]	0.148	0.097	0.038
Lepton Universality Tests				
$R_K (B^+ \rightarrow K^+\ell^+\ell^-)$	0.044 [12]	0.025	0.017	0.007
$R_{K^*} (B^0 \rightarrow K^{*0}\ell^+\ell^-)$	0.12 [61]	0.034	0.022	0.009
$R(D^*) (B^0 \rightarrow D^{*-}\ell^+\nu_\ell)$	0.026 [62, 64]	0.007	0.005	0.002

LHCC-2021-012

Upgrade II will fully realize the flavor physics potential of the HL-LHC

Further pursue a broad physics programme

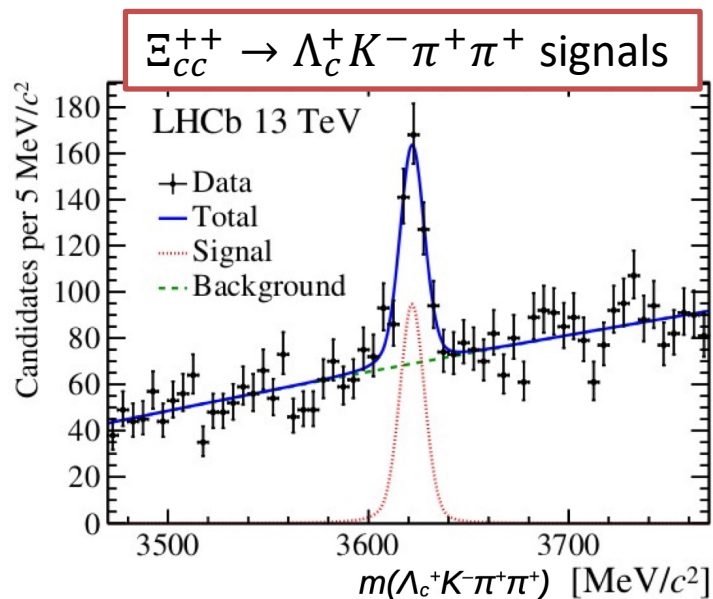
- Spectroscopy
- High precision EW and Higgs
- Dark sector
- Exotic search
- Heavy ions and fixed target

Success of the physics programme relies on

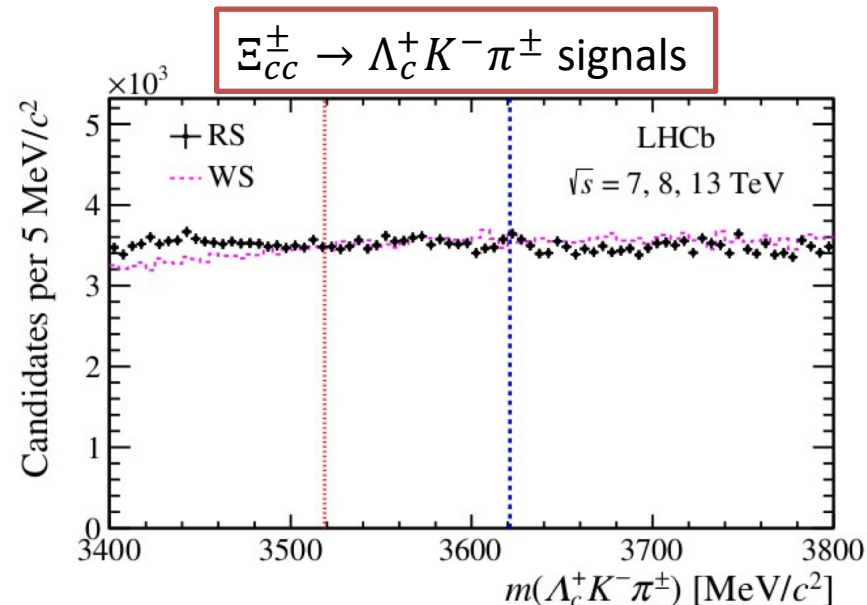
- HL-LHC providing LHCb $\sim 50 \text{ fb}^{-1}/\text{year}$ during Run 5&6
- A detector with similar or better performance as the present one for Run 3



Q: Why do we observe the Ξ_{cc}^{++} , but not the Ξ_{cc}^+ ?



[PRL119 \(2017\) 112001](#)

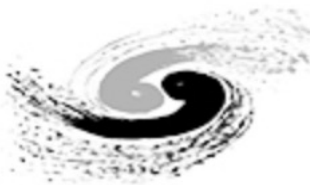


[Sci.China Phys. Mech. Astron. 63 \(2020\) 221062](#)

Likely explanation: $\tau(\Xi_{cc}^{++}) \sim 250$ fs [[PRL121 \(2018\) 052002](#)], $\tau(\Xi_{cc}^+) \sim 80$ fs [predicted]

Current VELO (Run 1+2) performance enough to separate Ξ_{cc}^{++} , but not Ξ_{cc}^+ from PV background

➤ Better performance expected in U1 & U2



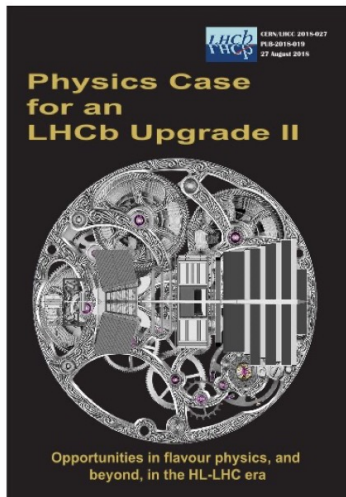
LHCb upgrades

- Upgrade I: to be completed in weeks
- Upgrade II: starts in LS4, with consolidation in LS3

LHCb Upgrade II to fully exploit HL-LHC for flavor physics and beyond

- FTDR approved and now in R&D phase
 - Next: detector TDRs, construction, installation and eventually operation for physics
- LHCb China groups contribute more significantly, and you are welcome to join

More physical results can be expected from LHCb



CERN-ACC-NOTE-2018-0038

2018-08-29

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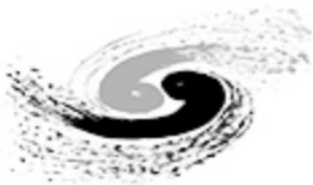
LHCb Upgrades and operation at 10^{34} cm⁻² s⁻¹ luminosity –A first study

G. Arduini, V. Baglin, H. Burkhardt, F. Cerutti, S. Claudet, B. Di Girolamo, R. De Maria, I. Efthymiopoulos, L.S. Esposito, N. Karastathis, R. Lindner, L.E. Medina Medrano, Y. Papaphilippou, C. Parkes, D. Pellegrini, S. Redaelli, S. Roester, F. Sanchez-Galan, P. Schwarz, E. Thomas, A. Tsinganis, D. Wollmann, G. Wilkinson
CERN, Geneva, Switzerland

Keywords: LHC, HL-LHC, HiLumi LHC, LHCb, <https://indico.cern.ch/event/400665>

- Expression of Interest, LHCC-2017-003
- Physics case, LHCC-2018-027
- Accelerator study, CERN-ACC-2018-038

Thank you for your attentions

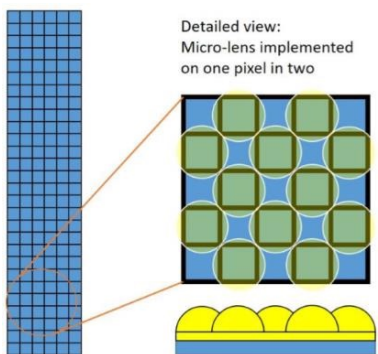


Backup

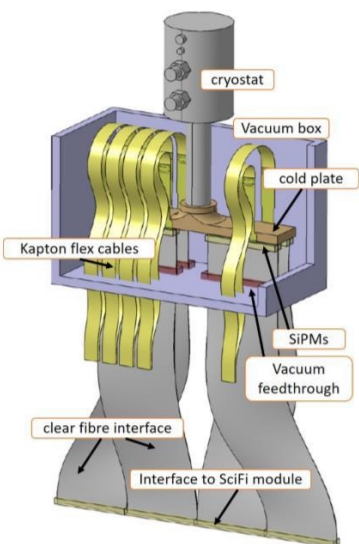
Mighty Tracker



Micro-lens

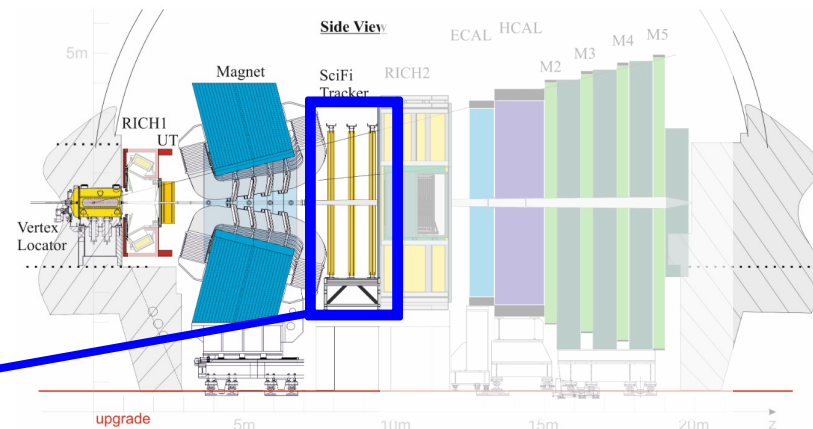
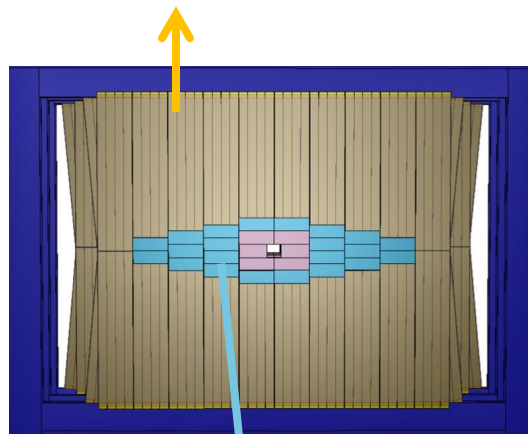


Cryogenic cooling

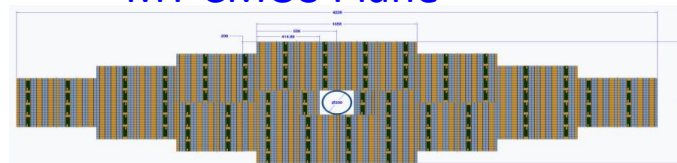


Keep SciFi design at outer region

- Further away from beam
- Micro-lens on SiPM to enhance light collection
- Cryogenic cooling for SiPM: $-40^{\circ}\text{C} \Rightarrow -120^{\circ}\text{C}$

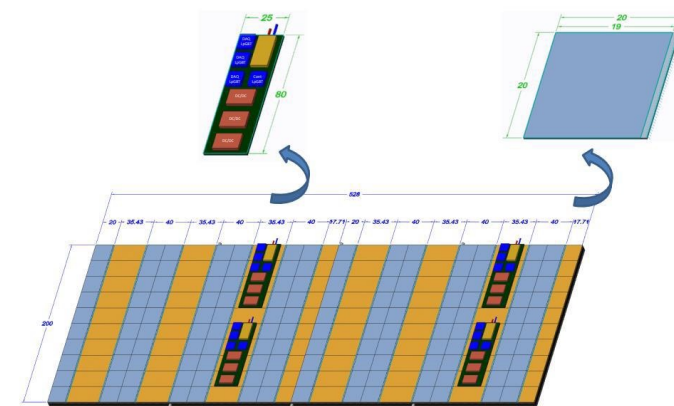


MT-CMOS Plane



HV-COMS MAPS detector (as U2UT)

- 6 layers, 18 m² in total
- Pixel size $\sim 50 \times 150 \mu\text{m}^2$
- Upgrade the inner-most at LS3



MT-CMOS Module

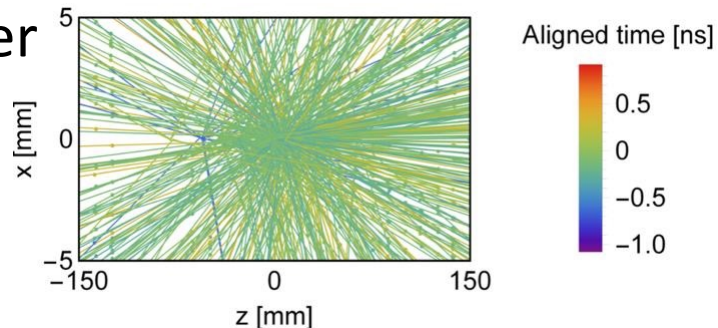
4D VELO with precision timing



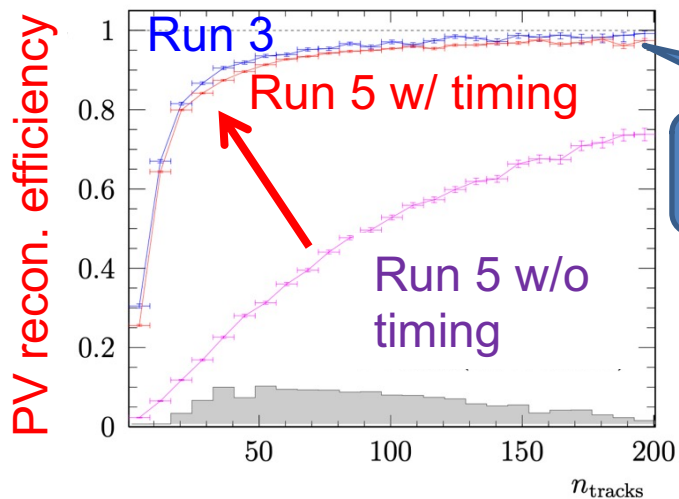
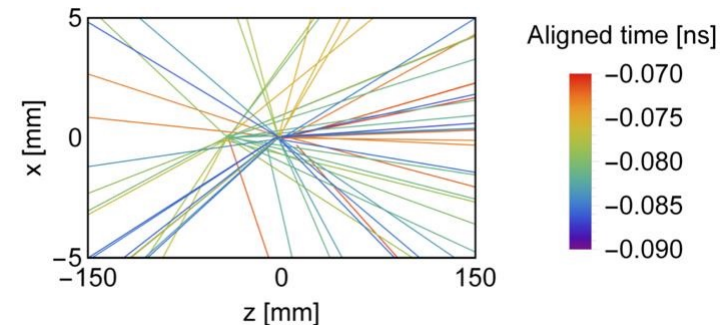
PV RMS time distribution in the order of 186 ps (Gaussian)

➤ 20 ps track binning sufficient

Track density with ~40 visible interactions



20 ps timing window



Similar performance as the present VELO for Run 3

Track reconstruction benefits from t
PV reconstruction efficiency recovered

New techniques in R&D:

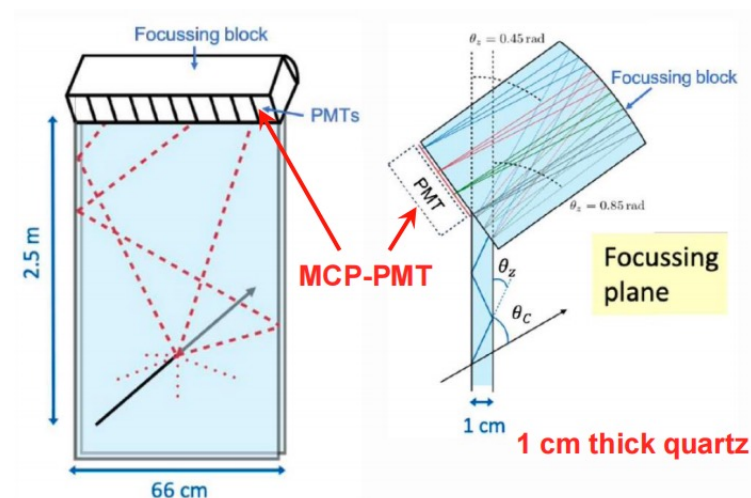
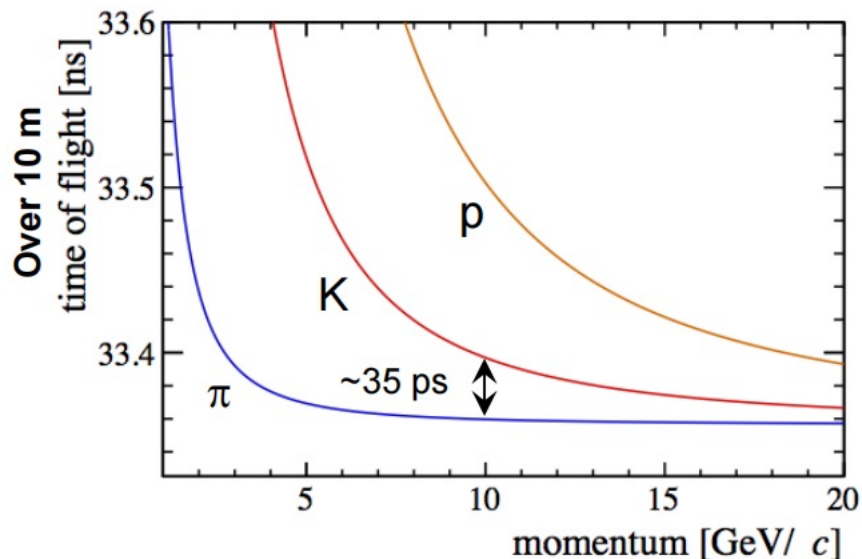
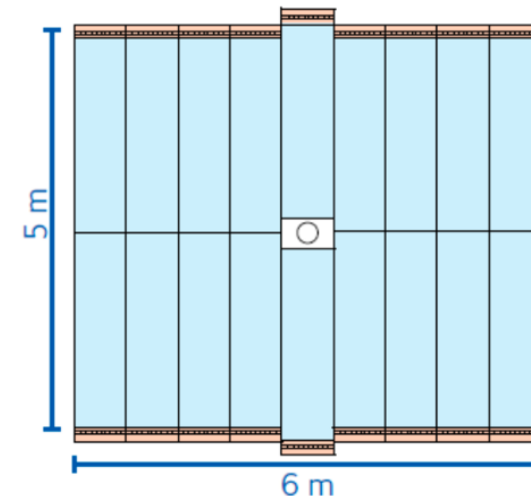
- Sensor: timing (~50 ps), radiation hardness (max $\sim 6 \times 10^{16} n_{eq}/cm^2$)
Candidates: Planar, LGAD, 3D pixel or new concepts
- Candidates of 28 nm tech. ASICs: VeloPix2, TimePix4 or PicpPix
- Replaceable modules, thinner or no RF foil, robust 3D printed Ti cooling substrate...

TORCH: Time of Flight Detector

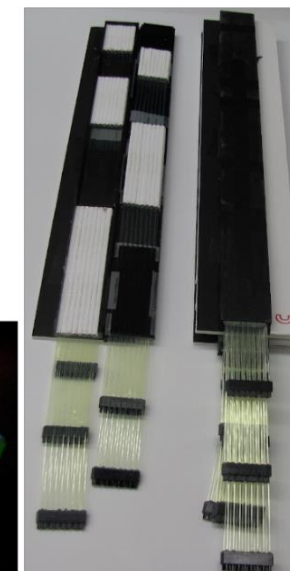
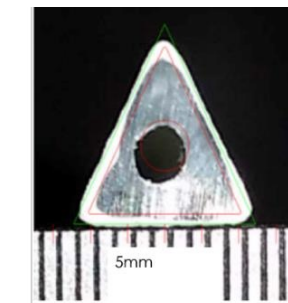
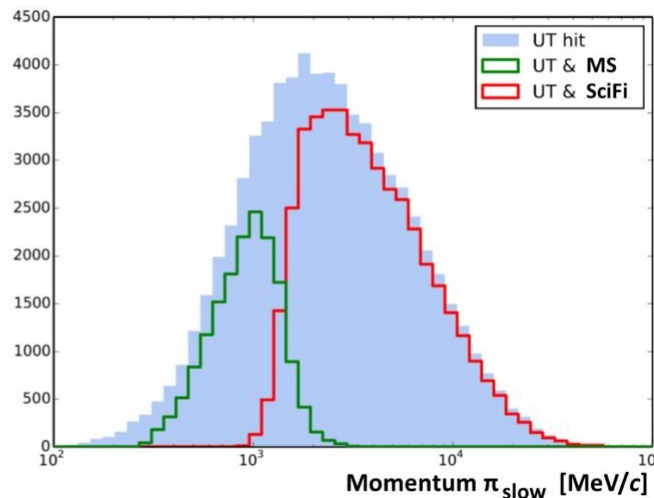
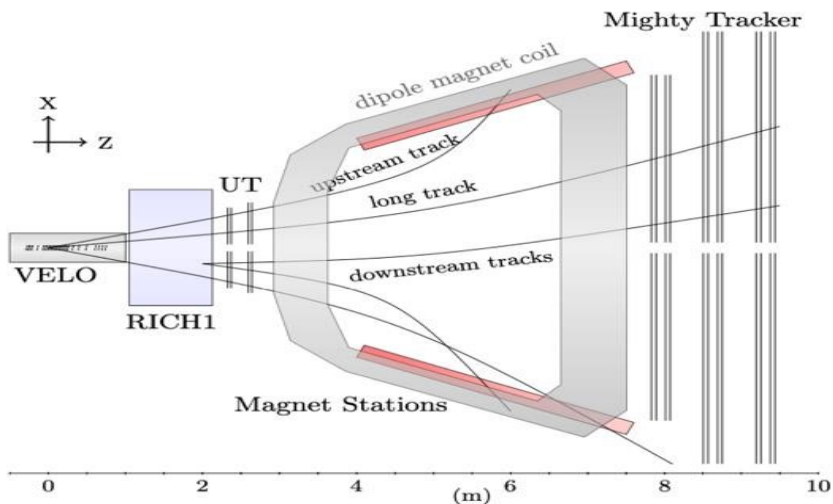


Brand new detector to enhance low momentum PID capabilities, improve background suppression and flavour tagging.

- Cherenkov photons produced by charged particles traversing quartz plane, then transported by total internal reflection to focusing block and detected with MCP-PMTs
- Measurement of Cherenkov angle, path length, and time of arrival.
- Aim for 10-15 ps resolution/track, needs ~ 30 photons, 70 ps/photon



Magnet Stations (MS)



A new MS to be installed for reconstructing Upstream Tracks

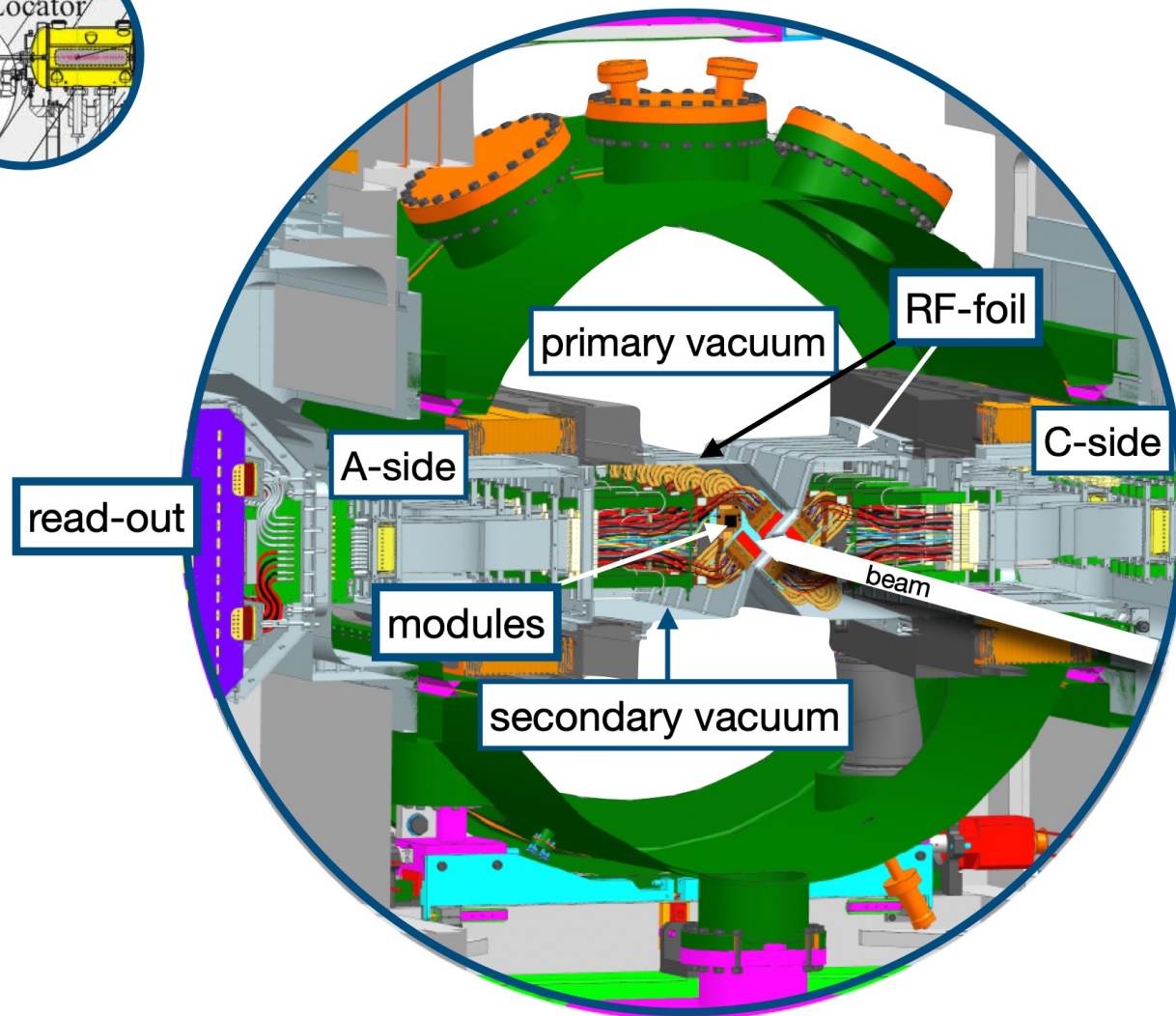
- Tracking capability, reconstruction ↗ for low momentum tracks.

Instrument walls of magnet with extruded triangular scintillating bars.

- Light collected by WS, guided through clear fibers to SiPMs outside magnet.
- sub-% momentum measurement precision.

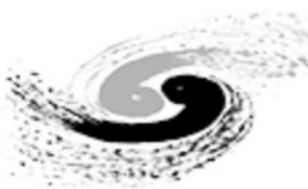
The Magnet Stations could be installed at LS3.

VELO



1 m

Prospect on CKM angle γ



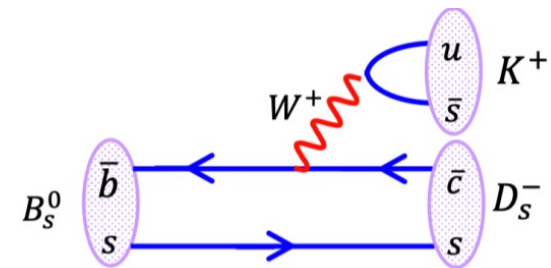
- The only angle that can be determined exclusively from tree processes
- Theoretically clean: $\delta\gamma/\gamma \leq \mathcal{O}(10^{-7})$
- SM benchmark for New Physics searches
- The most recent LHCb result (15 decay modes): $\gamma = (65.4^{+4.2}_{-3.8})^\circ$

$$\gamma \equiv \arg \left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right)$$

Run 1-2:

Some tension between direct and indirect methods
need better precision from tree measurements

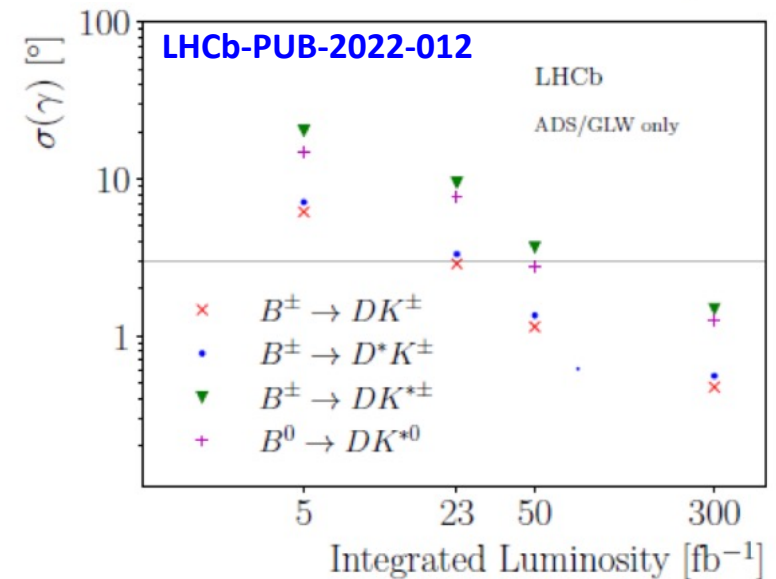
JHEP 12 (2021) 141



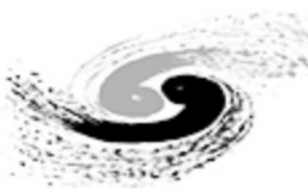
- Upgrade in sensitivity: combination of many decay channels in

$$B_{(s)} \rightarrow D_{(s)}^{(*)} h^{(*)}$$

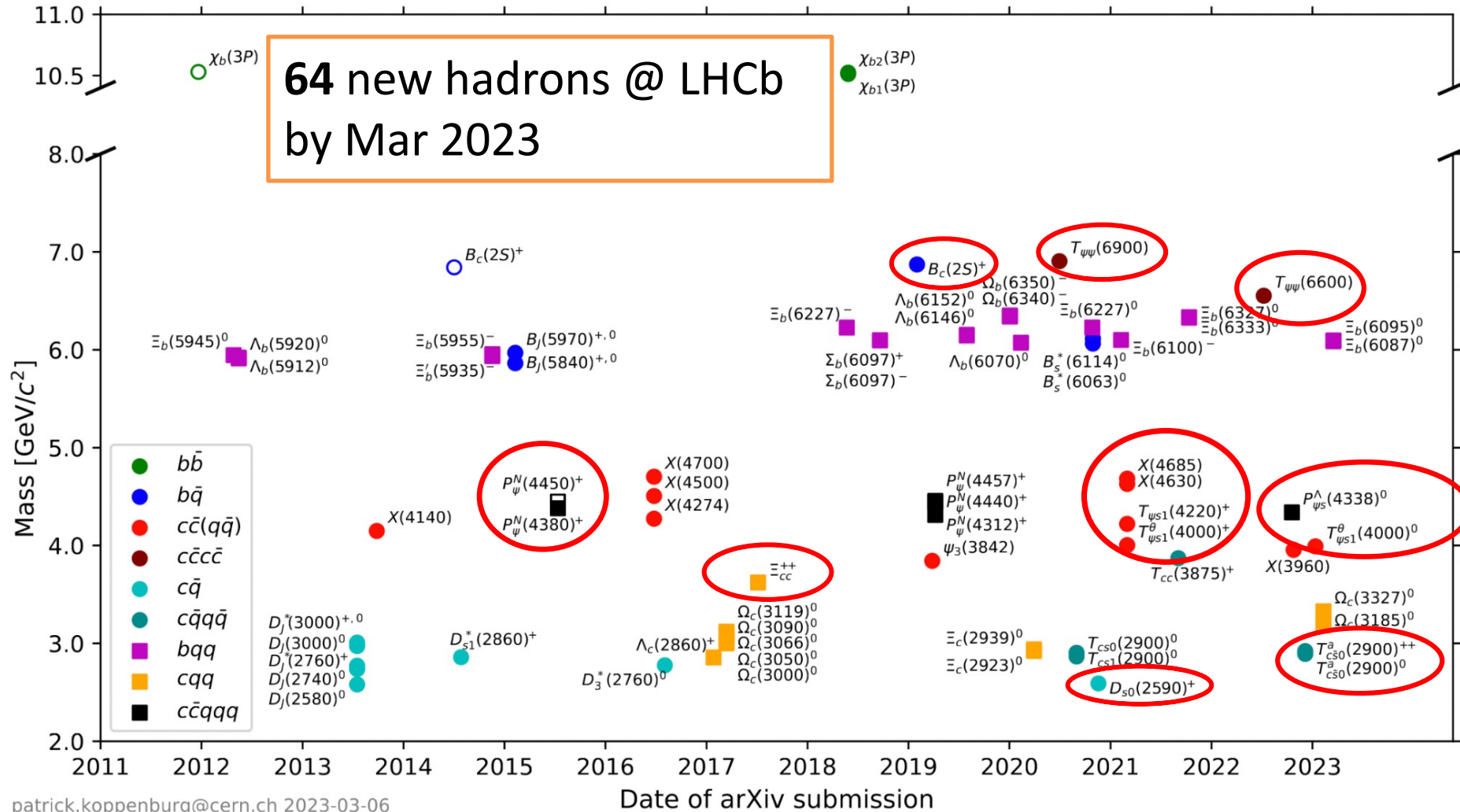
- Charged and neutral (π^0, γ)
- Two- and multi body D decays
- Fully and partially reconstructed



LHCb Upgrade II anticipates a precision on γ of about 0.35°



New hadrons @ LHCb



LHCb China group contributions highlighted in **RED CIRCLES**

Selected Topics for Prospects



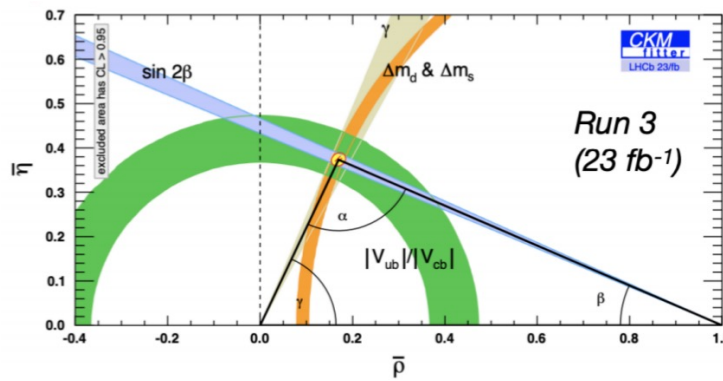
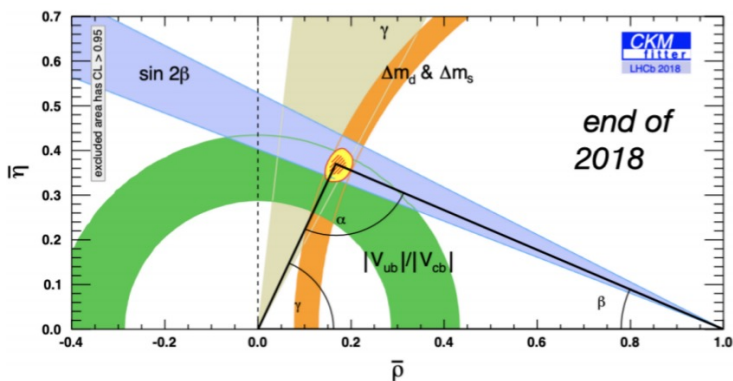
With larger data sample (300 fb^{-1} for pp), we can expect from LHCb in

- CPV
- Rare decays
- New Physics searches
- Exotic hadrons
- Forward physics, QCD
- Dark matter
- Heavy ion physics

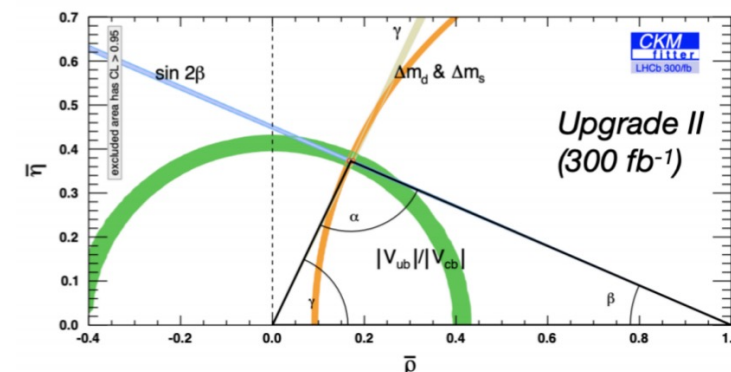


LHCb will test the CKM paradigm, searching for NP, with unprecedented accuracy

LHCb-only inputs for UT



LHCb U2 + LQCD improvement



- Two independent measurements: $(\Delta m_d/\Delta m_s, \sin 2\beta)_{\text{loop}}$ and $(V_{ub}, \gamma)_{\text{tree}}$
- Both pairs require Upgrade II for statistics ($\sin 2\beta$ and γ) and theory improvements for $(\Delta m_d/\Delta m_s$ and $V_{ub})$
 - ❑ \sim Order of magnitude improvement in LQCD is assumed for Upgrade II

Possibility for clean picture of the flavor physics



Charm CP violation in decay discovered in 2019

$$\Delta A_{CP} = [-15.4 \pm 2.9] \times 10^{-4}$$

➤ SM or BSM?: Open question

Phys.Rev.Lett. 122 (2019) 21, 211803

Upgrade II will allow for an order-of-magnitude improvement in precision

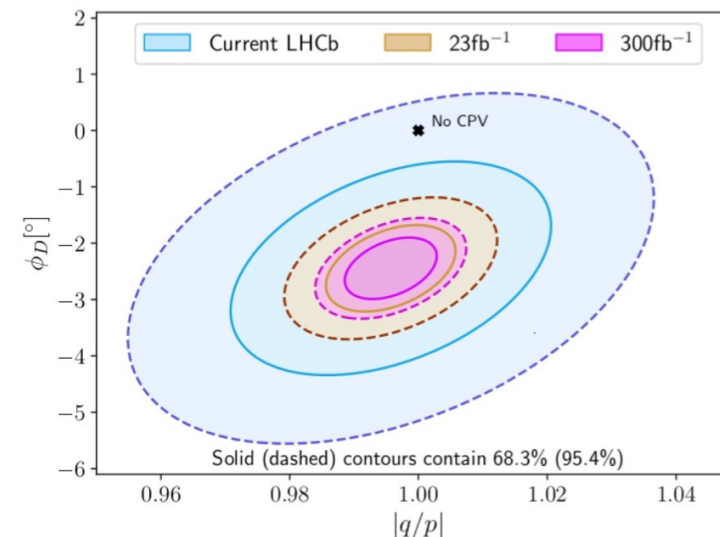
Sample (\mathcal{L})	Tag	Yield	Yield	$\sigma(\Delta A_{CP})$	$\sigma(A_{CP}(hh))$
		$D^0 \rightarrow K^- K^+$	$D^0 \rightarrow \pi^- \pi^+$		
Run 1-2 (9 fb ⁻¹)	Prompt	52M	17M	0.03	0.07
Run 1-3 (23 fb ⁻¹)	Prompt	280M	94M	0.013	0.03
Run 1-4 (50 fb ⁻¹)	Prompt	1G	305M	0.01	0.03
Run 1-5 (300 fb ⁻¹)	Prompt	4.9G	1.6G	0.003	0.007

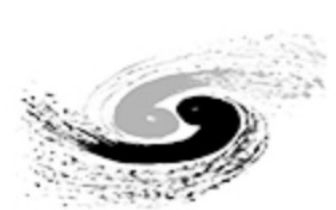
LHCC-2021-012

No sign of indirect CPV yet ($\sim \mathcal{O}(10^{-5})$)

➤ LHCb Upgrade II is the only planned experiment with possibility to observe CPV in charm mixing

➤ Searches of NP more efficient





Measurement of $B_s^0 \rightarrow \mu^+ \mu^-$

LHCb 9 fb⁻¹ data result:

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09_{-0.43}^{+0.46} {}_{-0.11}^{+0.15}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-10} \text{ @ 95\% C.L.}$$

15% accuracy on B_s^0 , compatible with SM

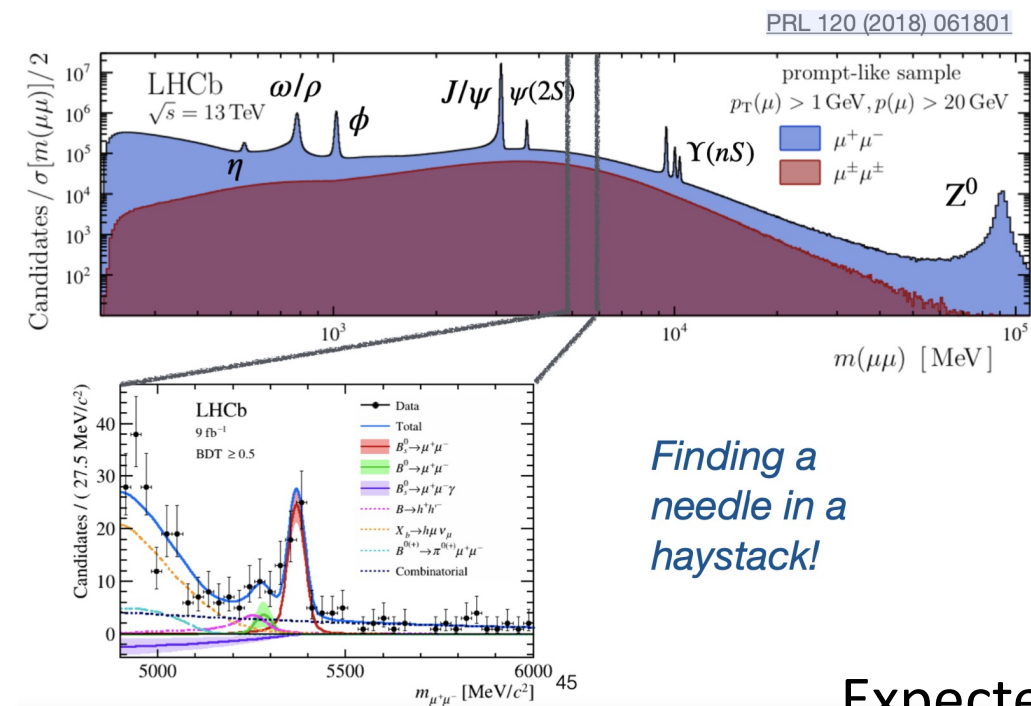
Phys.Rev.Lett. 128 (2022) 4, 041801

In upgrade II, we expect

- Large cross section
- Efficient muon trigger
- Excellent vertex and IP resolutions, and PID against $h \rightarrow \mu$ misID

Expected future statistical precisions on $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$

- ~7% after Run3, ~2% @ Upgrade II
- Systematics dominated by f_s/f_d , now @ 3%
- Effective lifetime $\tau_{\mu\mu}$ will be measured to 3%



Finding a needle in a haystack!

Prospects in $b \rightarrow sl^+l^-$



$b \rightarrow sl^+l^-$: Rare, SM suppressed, sensitive to $m_{\text{Heavy}}^{\text{NP}}$

Present P'_5 result (Run 1+2016 data) $\sim 3\sigma$ above SM

- Based on BR & angular analyses on $B^+ \rightarrow K^+\mu^+\mu^-$, $B^0 \rightarrow K^{(*)0}\mu^+\mu^-$, $B \rightarrow \phi\mu^+\mu^-$
- Upgrade II will clarify the situation

LFU in $R_K = \mathcal{B}(B \rightarrow K^+\mu^+\mu^-)/\mathcal{B}(B \rightarrow K^+e^+e^-)$

- Intriguing deviations in a range of channels \leftarrow need more data

