



# Rare Charm & Strange Decays @ LHCb

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2023/04/16

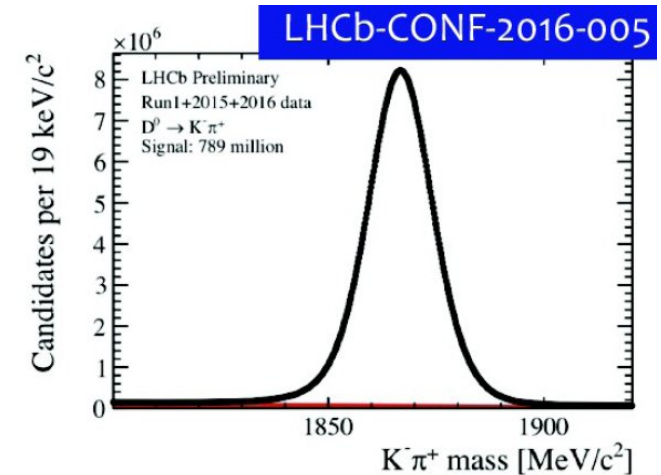
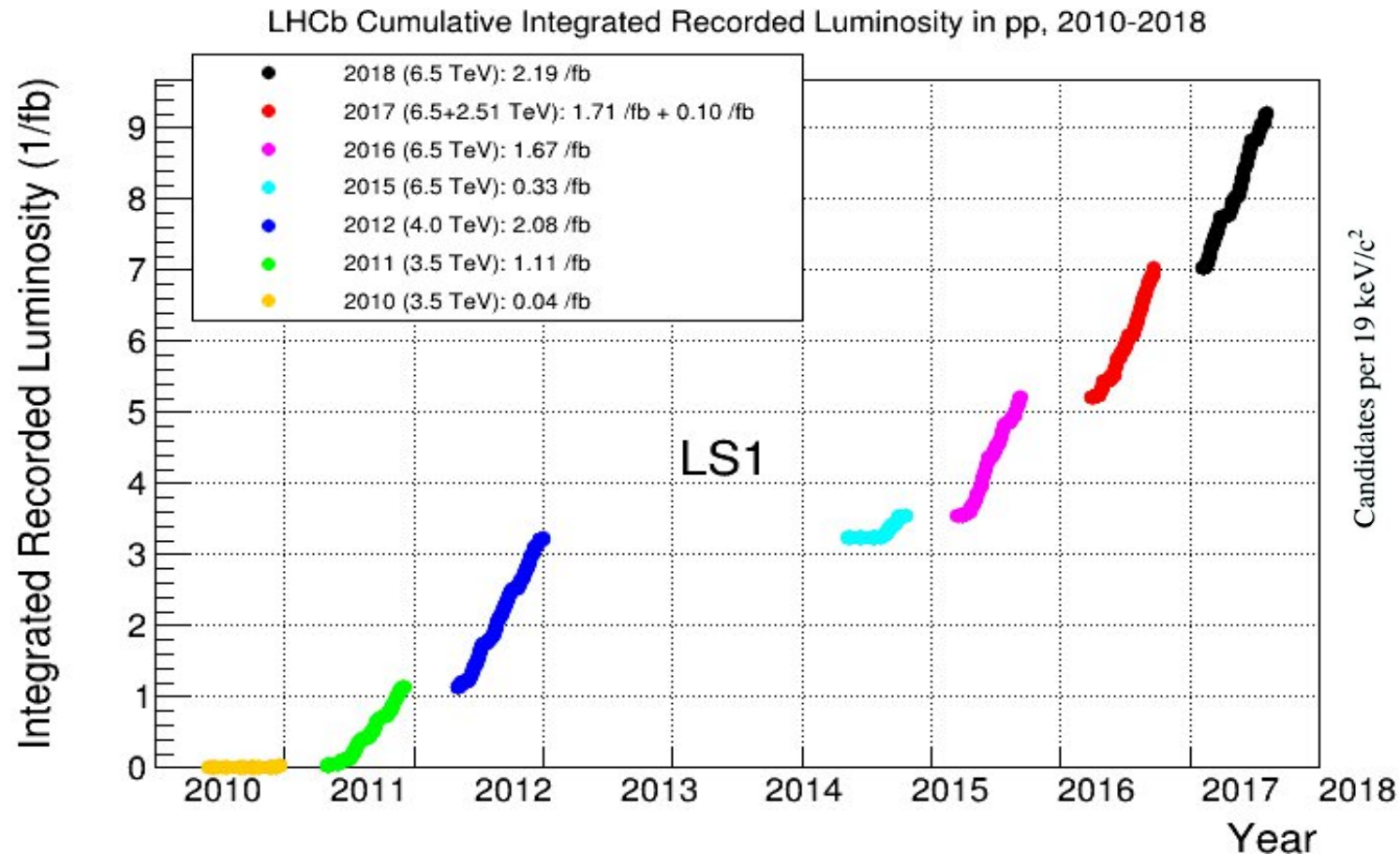
# Outline

- Rare charm decays @ LHCb
- Rare strange decays @ LHCb
- Summary & prospects

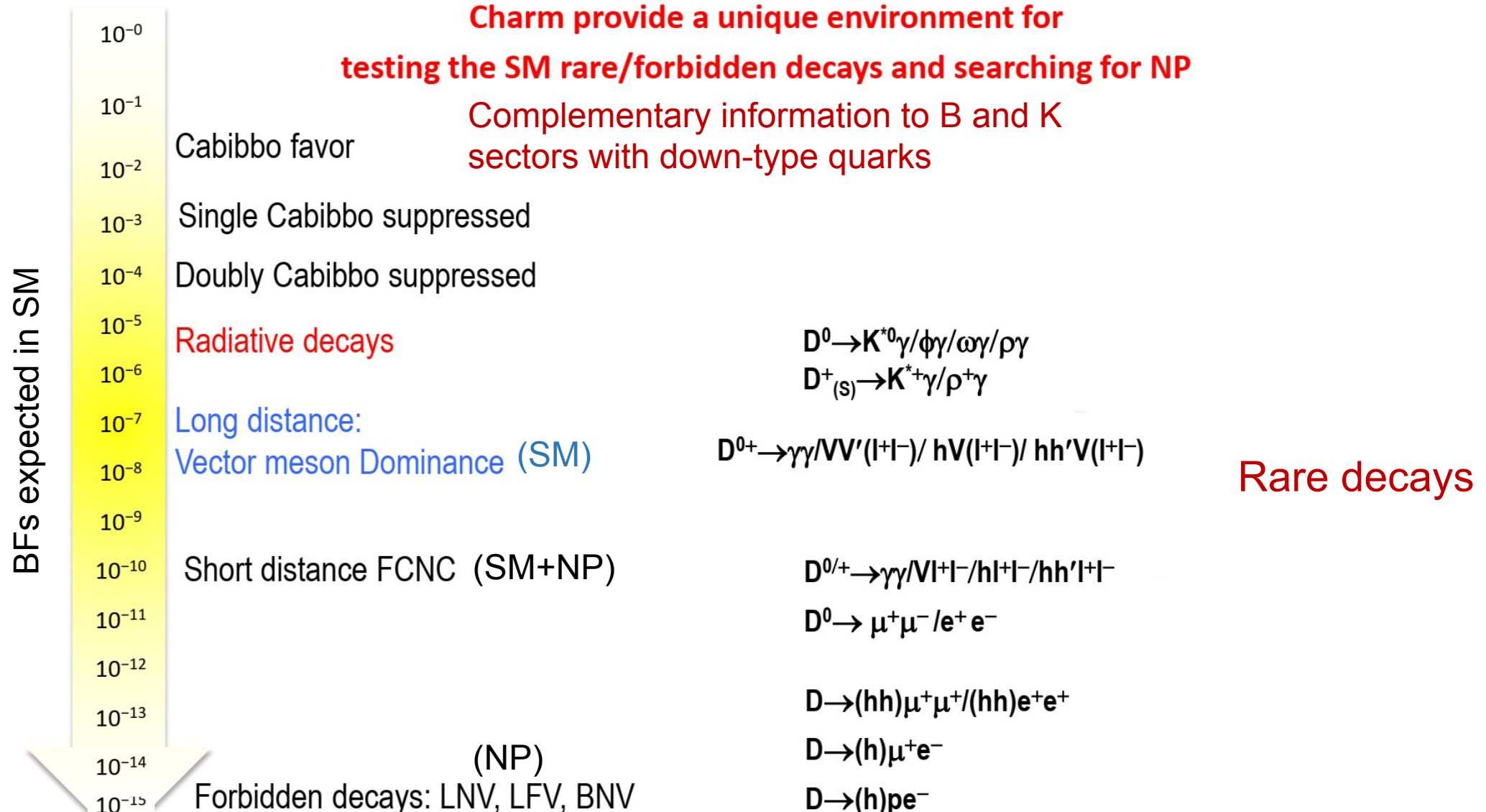
# LHCb: a charm factory

- levelled instantaneous luminosity of  $\mathcal{L} = 4 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- Run 1:  $\sim 3 \text{ fb}^{-1}$  of pp collisions at  $\sqrt{s} = 7\text{-}8 \text{ TeV}$
- Run 2:  $\sim 6 \text{ fb}^{-1}$  of pp collisions at  $\sqrt{s} = 13 \text{ TeV}$
- $\sigma(pp \rightarrow Q\bar{Q}X) \propto \sqrt{s} \Rightarrow 4x$   $b$ - and  $c$ -hadrons in Run 2

More than 1B of  $D^0 \rightarrow K^- \pi^+$  events reconstructed with full LHCb data sample

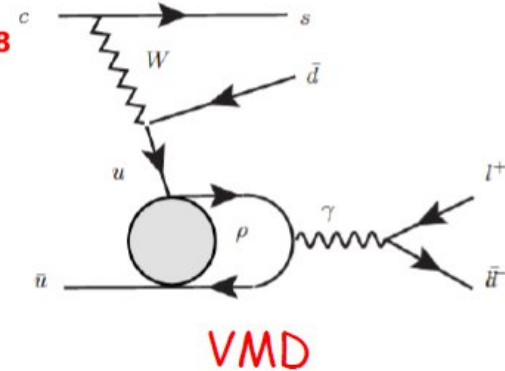
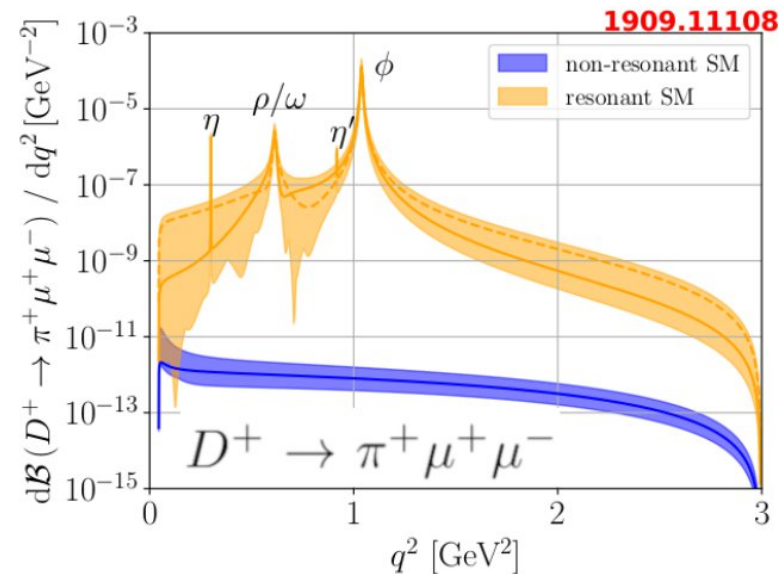
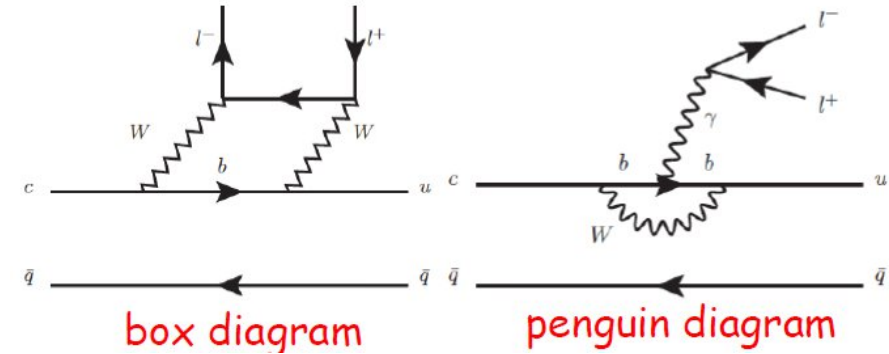


# Summary of charm decays



# Flavor Changing Neutral Currents

- Forbidden at tree level in SM, only allowed in loop and box diagrams
  - Strongly suppressed due to GIM cancellation:
  - BF  $\sim O(10^{-9})$
- $D \rightarrow X \ell^+ \ell^-$  dominated by Long-Distance contributions
  - Vector Meson dominance (VMD)
  - BF  $\sim O(10^{-6})$
- No VMD in  $D \rightarrow X \nu \bar{\nu}$



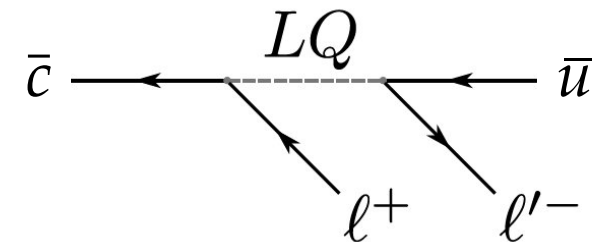
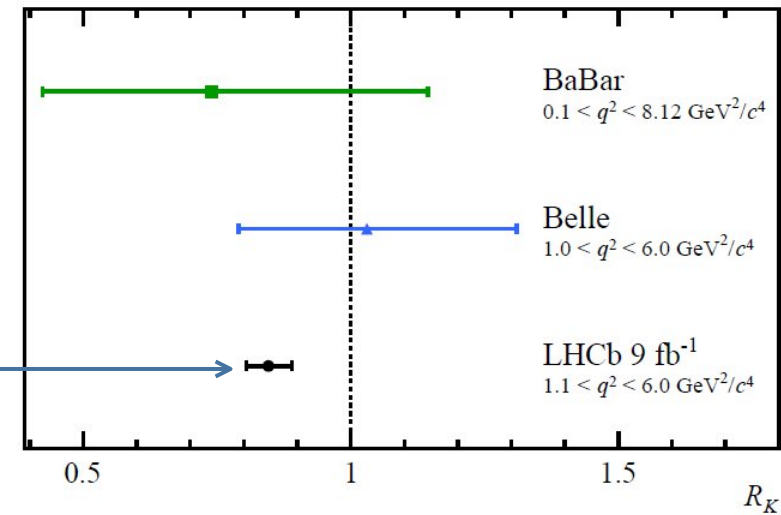
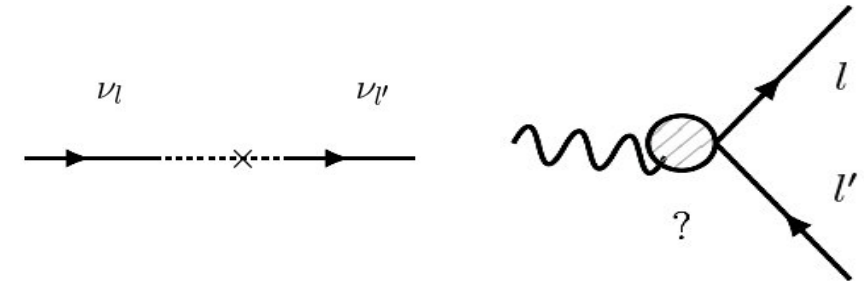
# Lepton Flavor Violation

- LFV exists in neutrino oscillation
- Observation of charged LFV (cLFV) decays will be a clear sign for NP
- Lepton flavor non-universality closely related to cLFV

- LHCb recently reported  $3.1\sigma$  tension with SM in  $b \rightarrow s\ell^+\ell^-$

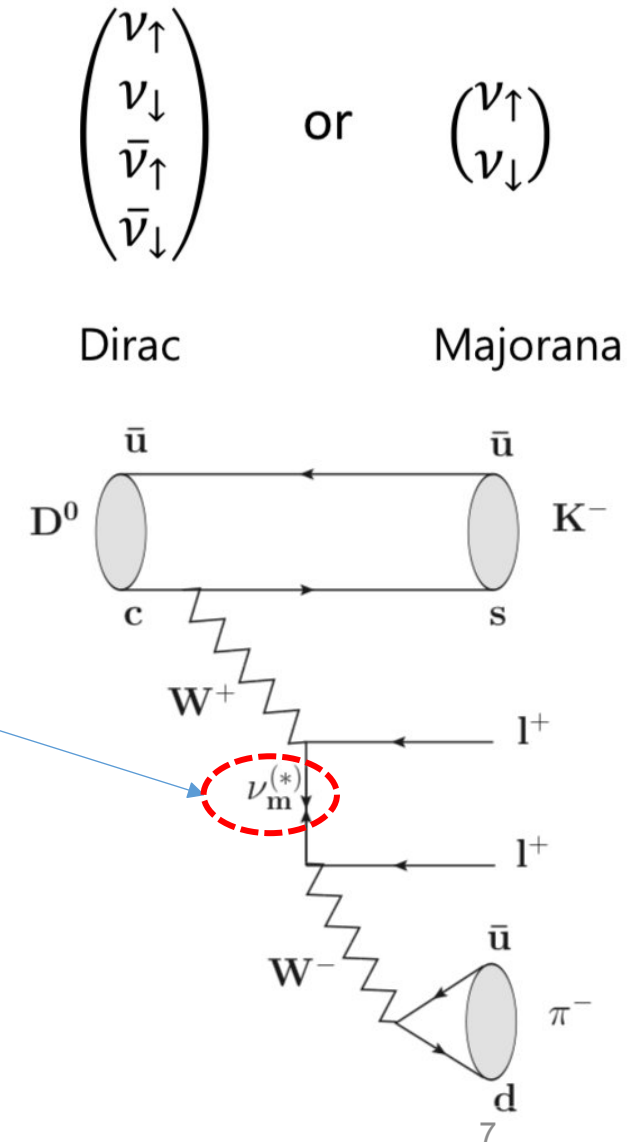
[Nature Phys. 18 (2022) 3, 277]

- BSM models (lepto-quark,  $Z'$ , etc.) may induce cLFV and enhance BF up to  $O(10^{-5})$

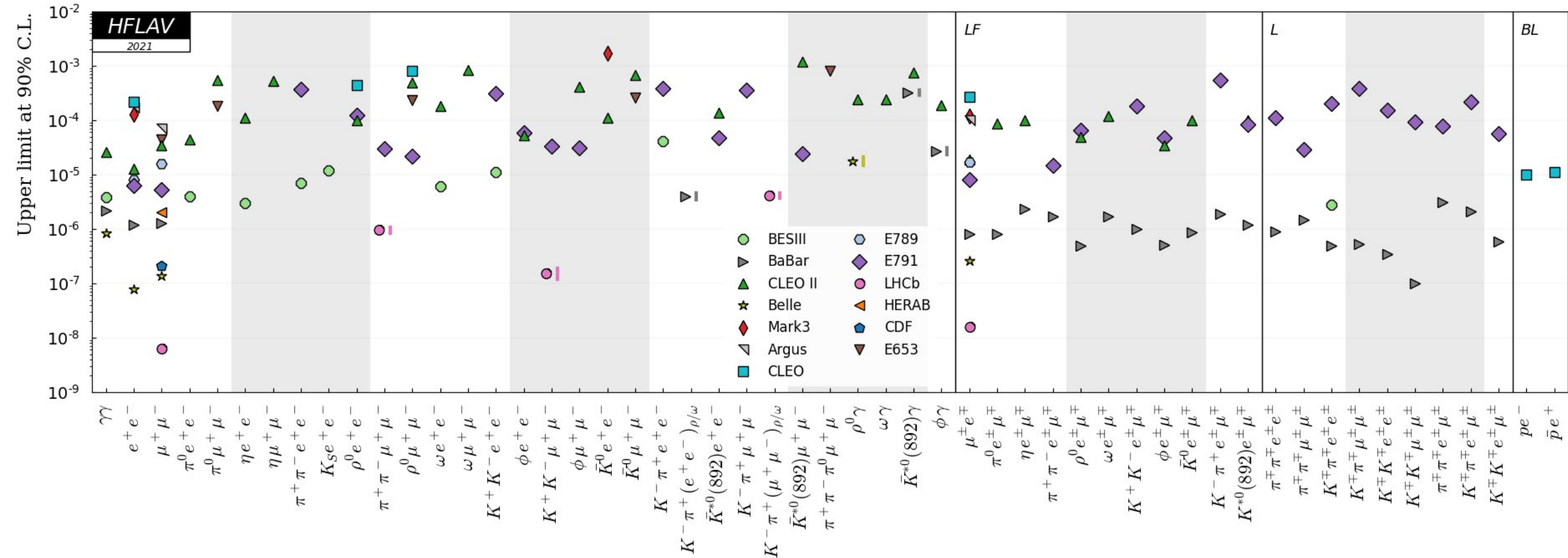


# Lepton Number Violation

- **Lepton Number Violation** ( $\Delta L \neq 0$ ) is forbidden in SM
- Neutrino oscillation  $\rightarrow m_\nu \neq 0 \rightarrow$  New Physics needed to explain mass origin
- Nature of neutrino: Dirac or Majorana ( $\nu_m$ )?
- Majorana neutrino can lead to  $\Delta L = 2$  LNV processes
- LNV is introduced in many NP models:
  - 4<sup>th</sup> quark generation, SO(10) SUSY GUT, exotic Higgs, etc.
- LNV processes have been widely searched for in  $\tau$ ,  $K$ ,  $D$ , and  $B$  decays

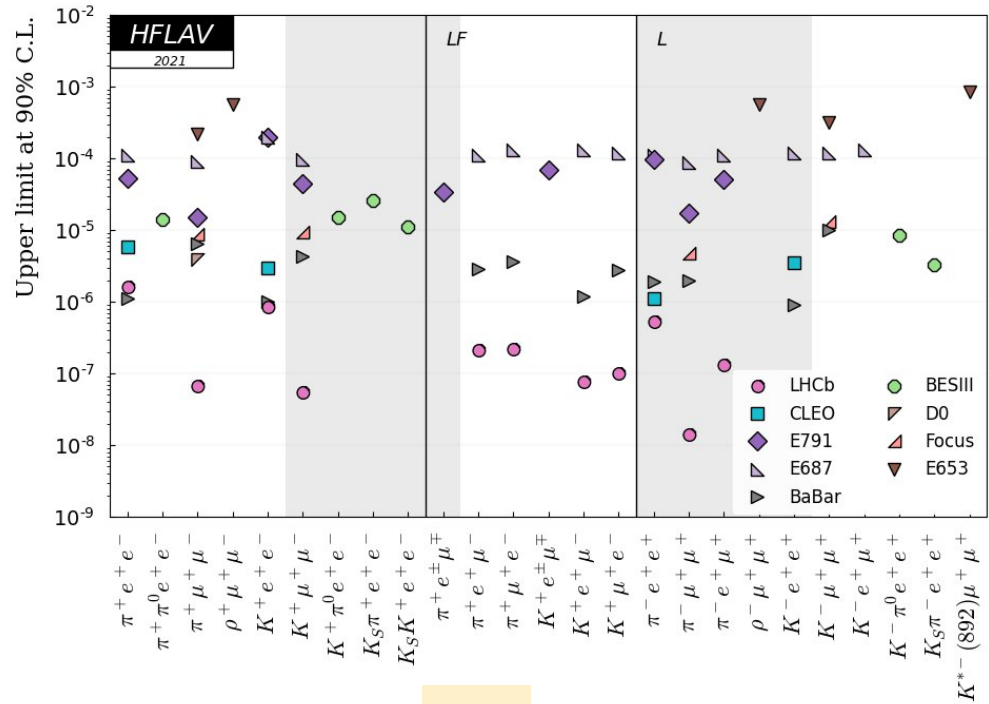


# Results on rare charm decays ( $D^0$ )

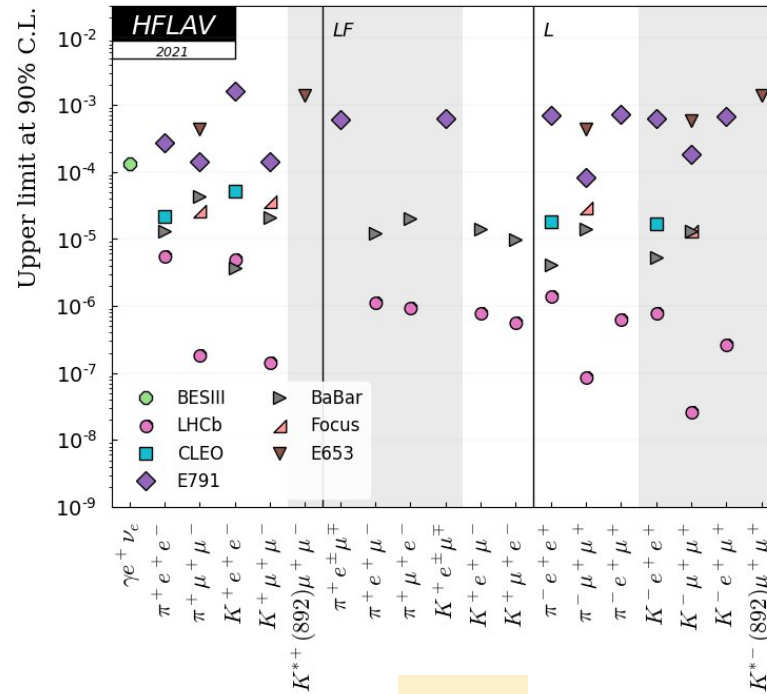




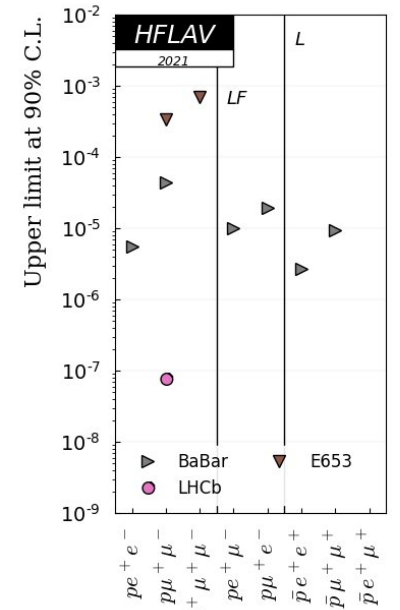
# Results on rare charm decays



$D^+$



$D_s^+$



$\Lambda_c^+$

# Search for $D_{(s)}^+ \rightarrow h^\pm \ell^+ \ell^{(\prime)\mp}$ decays

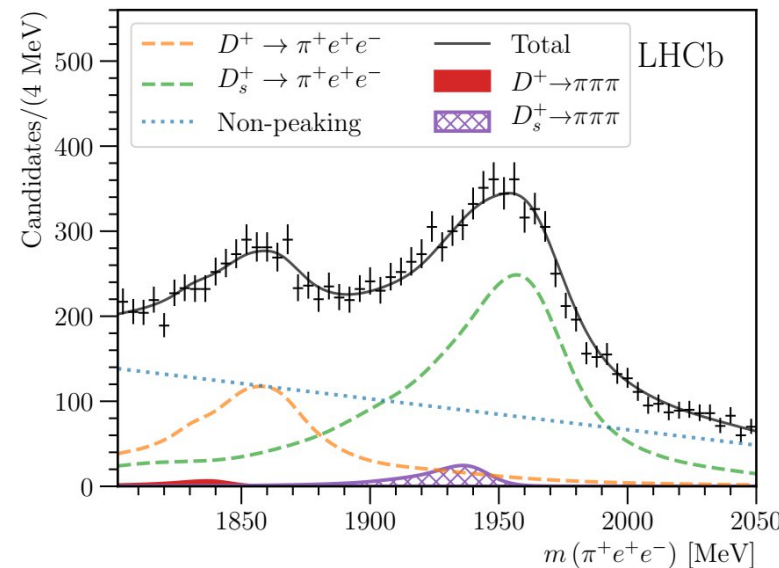
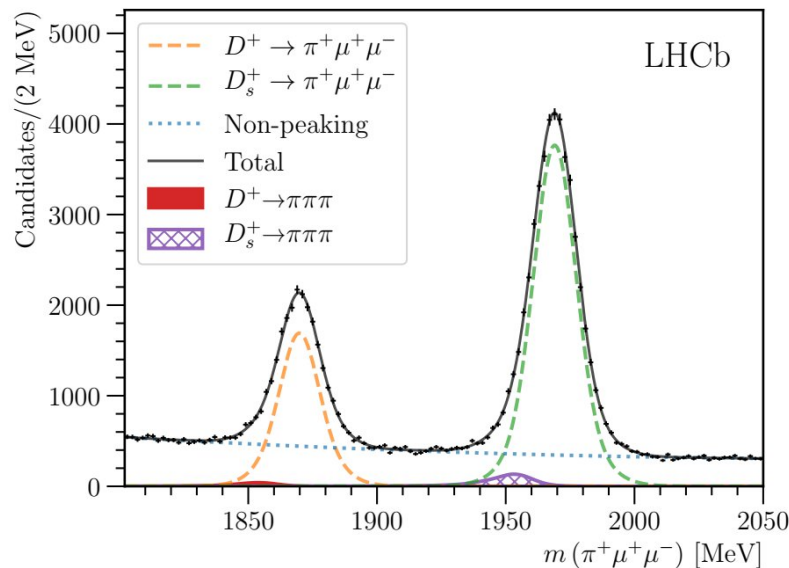
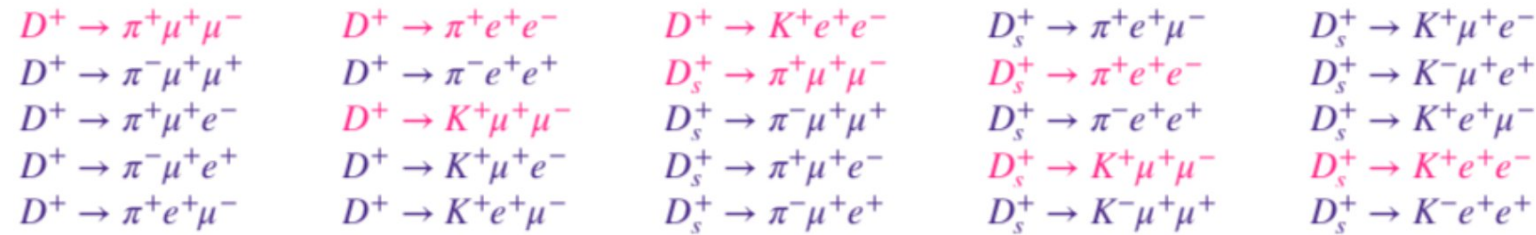
- 25 decays

LFV & LNV included

- Normalized with  $D_{(s)}^+ \rightarrow \phi (\ell\ell) \pi^+$

Allowed in the SM, Forbidden in the SM

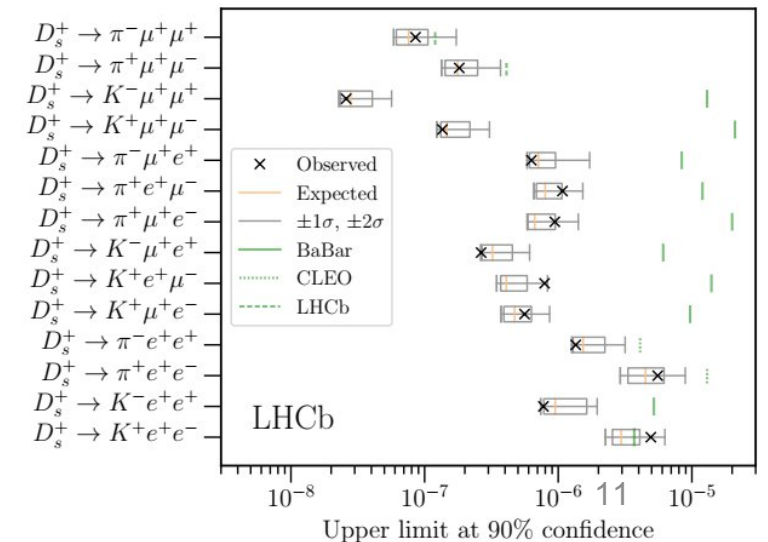
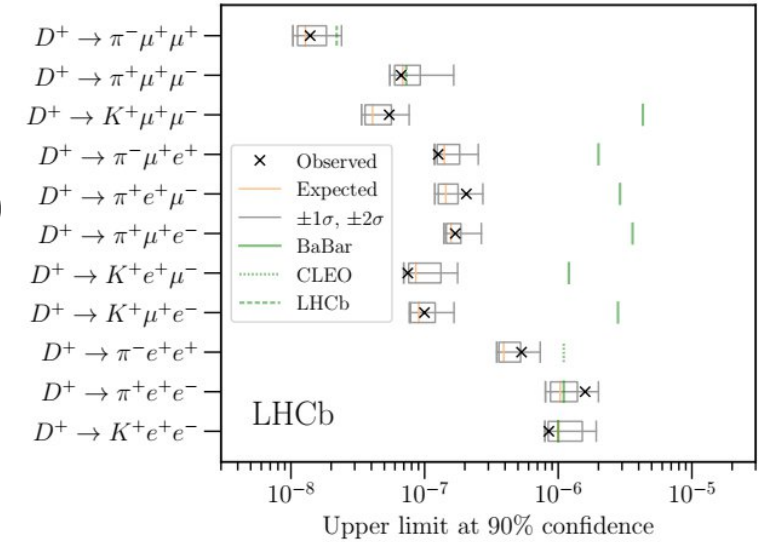
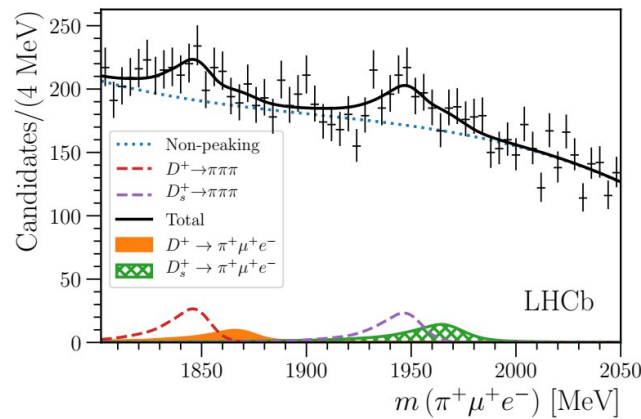
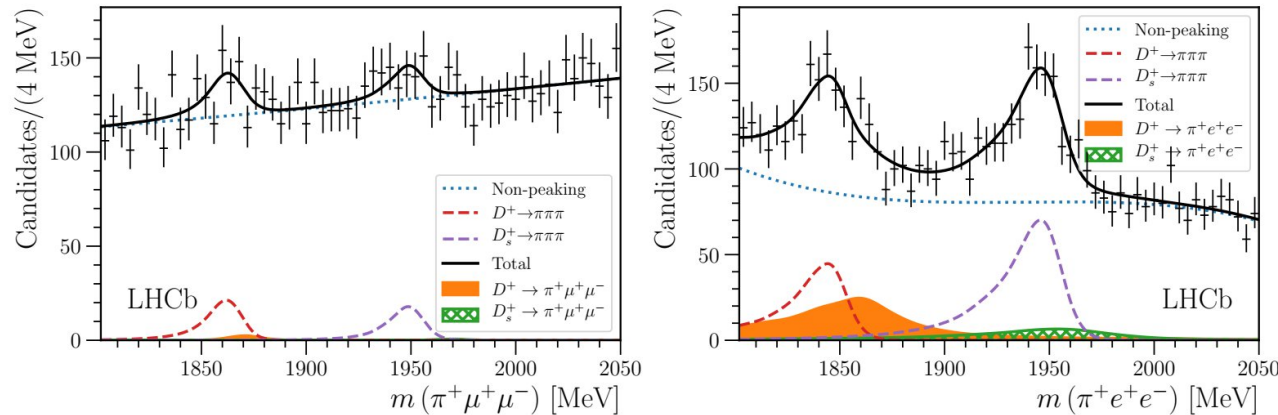
- Analysis based on 2016 dataset ( $1.7 \text{ fb}^{-1}$ )



Channel	Fitted yield
$D^+ \rightarrow (\phi \rightarrow \mu^- \mu^+) \pi^+$	$18\,100 \pm 340$
$D^+ \rightarrow (\phi \rightarrow e^- e^+) \pi^+$	$2\,160 \pm 180$
$D_s^+ \rightarrow (\phi \rightarrow \mu^- \mu^+) \pi^+$	$42\,000 \pm 400$
$D_s^+ \rightarrow (\phi \rightarrow e^- e^+) \pi^+$	$5\,320 \pm 180$

# Search for $D_{(s)}^+ \rightarrow h^\pm \ell^+ \ell^{(\prime)\mp}$ decays

- No signal observed, BF limits are set down to  $\mathcal{O}(10^{-8})$
- Results improve the prior world's best by up to a factor of 500



Regions dominated by resonances in dilepton mass spectrum are vetoed

# CPV and angular analysis in $D^0 \rightarrow hh\mu^+\mu^-$

- Rarest charm meson decays observed, dominated by resonant contributions

$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 9.6 \times 10^{-7}$$

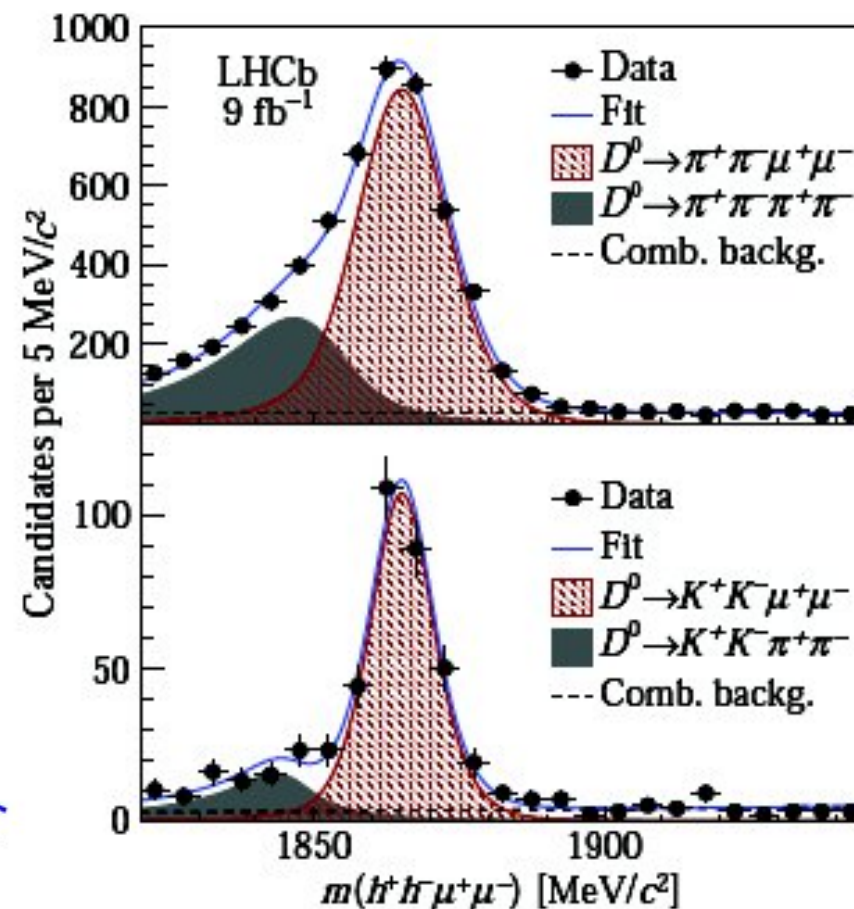
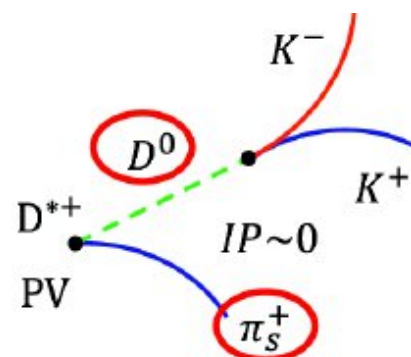
$$\mathcal{B}(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 1.5 \times 10^{-7}$$

- First full angular analysis with  $9 \text{ fb}^{-1}$  data

- $D^0$  selected from **flavor specific**  $D^{*+} \rightarrow D^0\pi^+$

$$N(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 3500$$

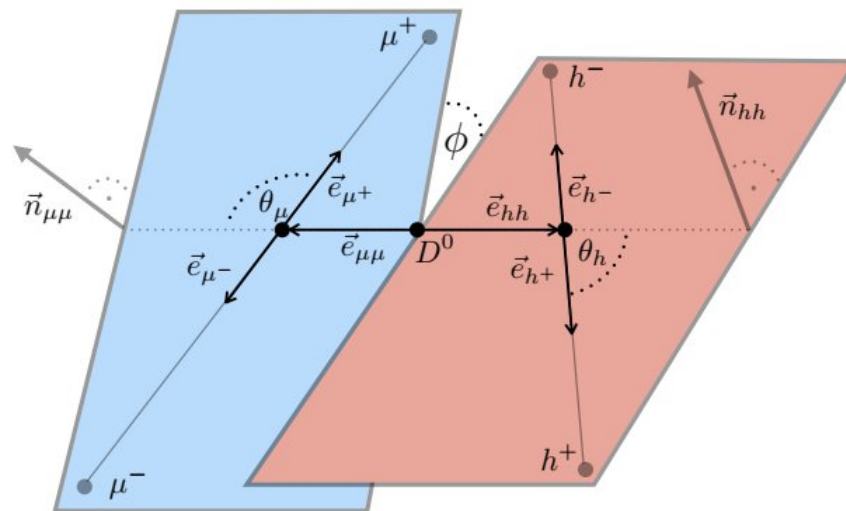
$$N(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 300$$



# Differential decay rate in $D^0 \rightarrow hh\mu^+\mu^-$

$$\frac{d\Gamma}{d\cos\theta_\mu d\cos\theta_h d\phi} = I_1 + I_2 \cdot \cos 2\theta_\mu + I_3 \cdot \sin^2 2\theta_\mu \cos 2\phi + I_4 \cdot \sin 2\theta_\mu \cos \phi + I_5 \cdot \sin \theta_\mu \cos \phi + I_6 \cdot \cos \theta_\mu + I_7 \cdot \sin \theta_\mu \sin \phi + I_8 \cdot \sin 2\theta_\mu \sin \phi + I_9 \cdot \sin^2 \theta_\mu \sin 2\phi$$

$I_5, I_6, I_7$  clean null tests!



$$p^2 = m^2(h^+h^-)$$

$$q^2 = m^2(\mu^+\mu^-)$$

- Measure  $p^2, \cos\theta_h$  integrated  $\langle I_i \rangle$  separately for  $D^0/\bar{D}^0$  in  $q^2$  bins

$$\langle I_{2,3,6,9} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \int_{-1}^1 d\cos\theta_h I_{2,3,6,9}$$

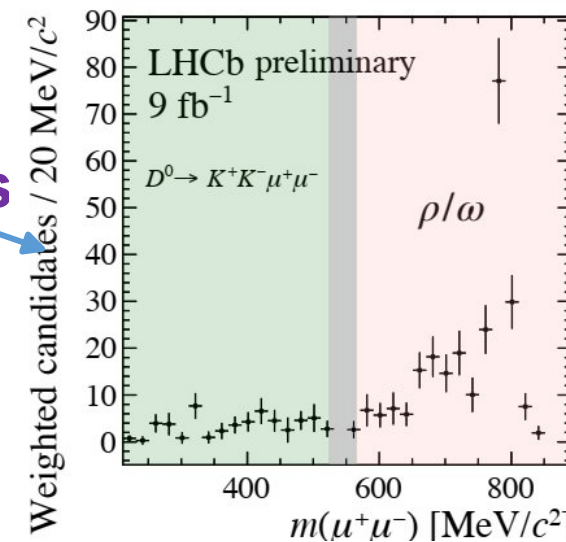
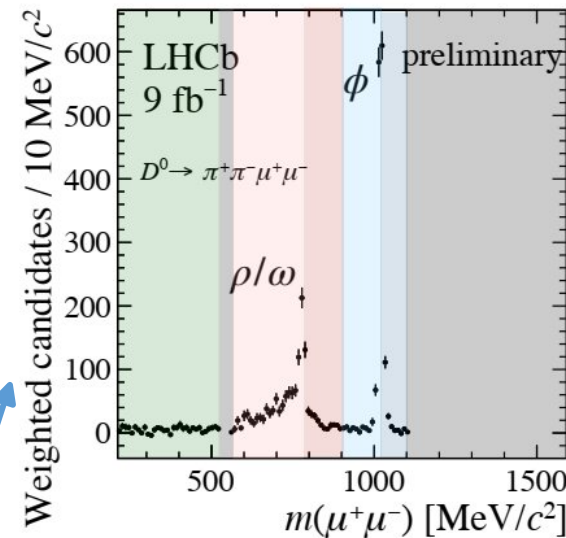
$$\langle I_{4,5,7,8} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \left[ \int_{-1}^0 d\cos\theta_h - \int_0^1 d\cos\theta_h \right] I_{4,5,7,8}$$

$$\langle S_i \rangle = \frac{1}{2} [\langle I_i \rangle + (-) \langle \bar{I}_i \rangle] \quad \langle S_{5,6,7} \rangle = 0 \text{ SM}$$

$$\langle A_i \rangle = \frac{1}{2} [\langle I_i \rangle - (+) \langle \bar{I}_i \rangle] \quad \langle A_i \rangle = 0 \text{ SM}$$

for CP even (CP odd) coefficients  $i=2, \dots, 9$

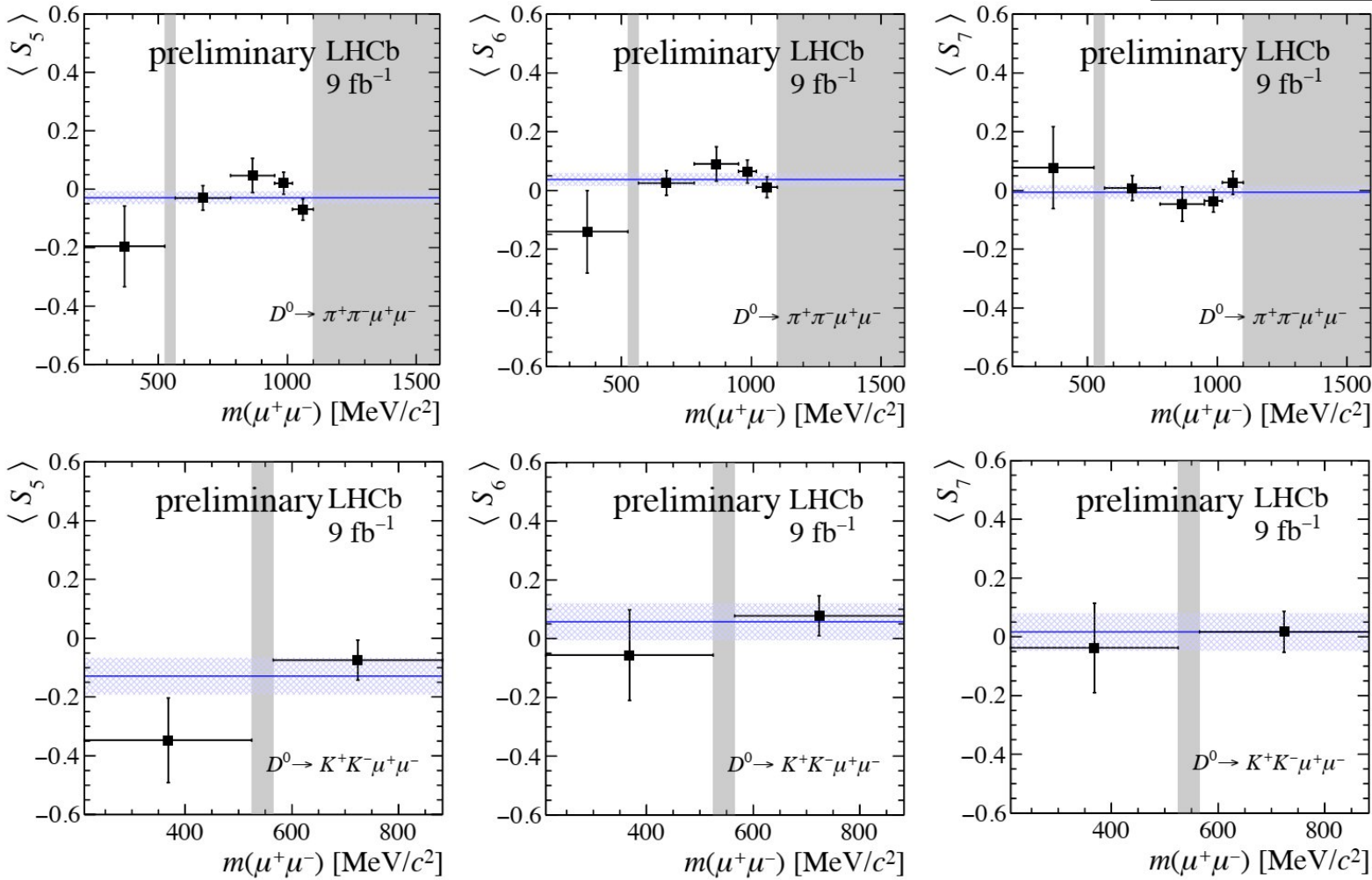
2,3,4,7 (5,6,8,9)



# Flavor-averaged observables $\langle S_i \rangle$

- Shown examples: SM null tests  $\langle S_{5,6,7} \rangle$  [ $\langle S_6 \rangle \sim A_{FB}$ ]

From D. Mitzel's talk @ 11<sup>th</sup> workshop on "Implications of LHCb measurements and future prospects"



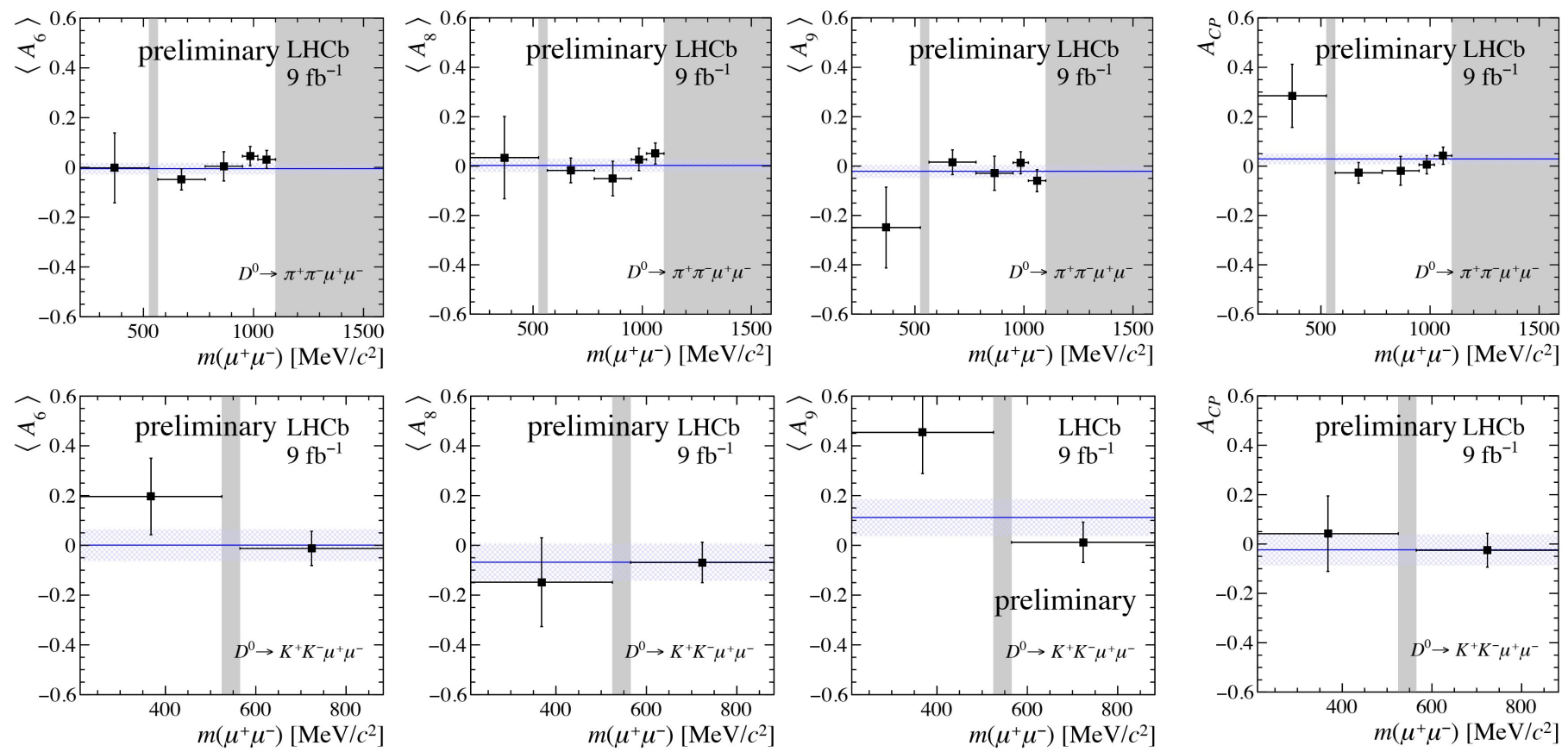
**agreement with SM predictions**  
 [JHEP 04 135 (2013), PRD 98, 035041(2018)]

# CP asymmetries $\langle A_i \rangle$

$$A_{CP} = \frac{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) - \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) + \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}$$

From D. Mitzel's talk @ 11<sup>th</sup> workshop on "Implications of LHCb measurements and future prospects"

- Shown:  $\langle A_6 \rangle$  [ $\langle A_6 \rangle \sim A_{FB}^{CP}$ ],  $\langle A_{8,9} \rangle$  [triple-product-asym.] &  $A_{CP}$



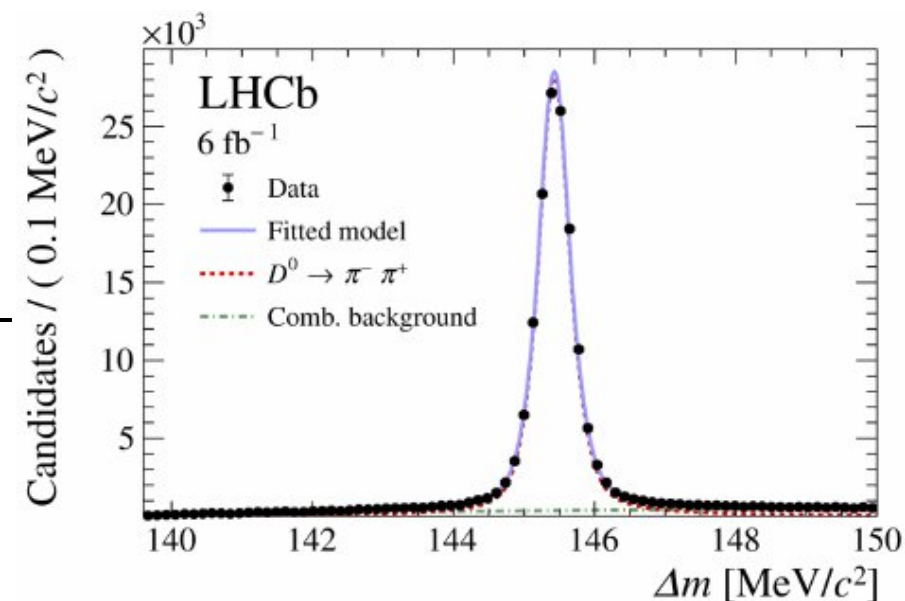
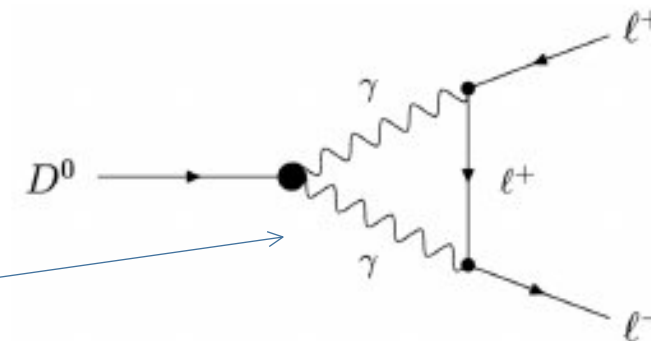
- overall agreement wrt. to SM hypothesis considering  $A_{CP}$ ,  $\langle A_{2-9} \rangle$  &  $\langle S_{5,6,7} \rangle$ :

$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$   $p = 79\%$  ( $0.3\sigma$ )  
 $D^0 \rightarrow K^+ K^- \mu^+ \mu^-$   $p = 0.8\%$  ( $2.7\sigma$ )  
 preliminary

consistent with SM

# Search for $D^0 \rightarrow \mu^+ \mu^-$

- Short-distance contribution from  $c \rightarrow u \ell \ell$  (FCNC) highly suppressed:  $\text{Br} \sim 10^{-18}$
- Long-distance from a two-photon intermediate state:  $\text{Br} < 10^{-13}$
- Dataset: full Runs1+2  $9 \text{ fb}^{-1}$
- $D^0$  candidates from  $D^{*+} \rightarrow D^0 \pi^+$
- Normalization channels:  $D^0 \rightarrow K^- \pi^+, \pi^+ \pi^-$
- BDT trained to suppress combinatorial backgrounds



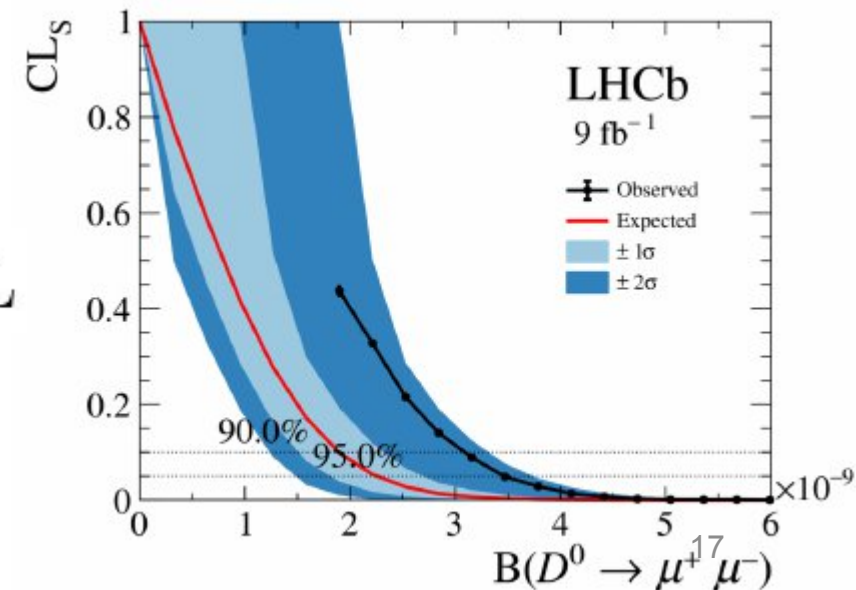
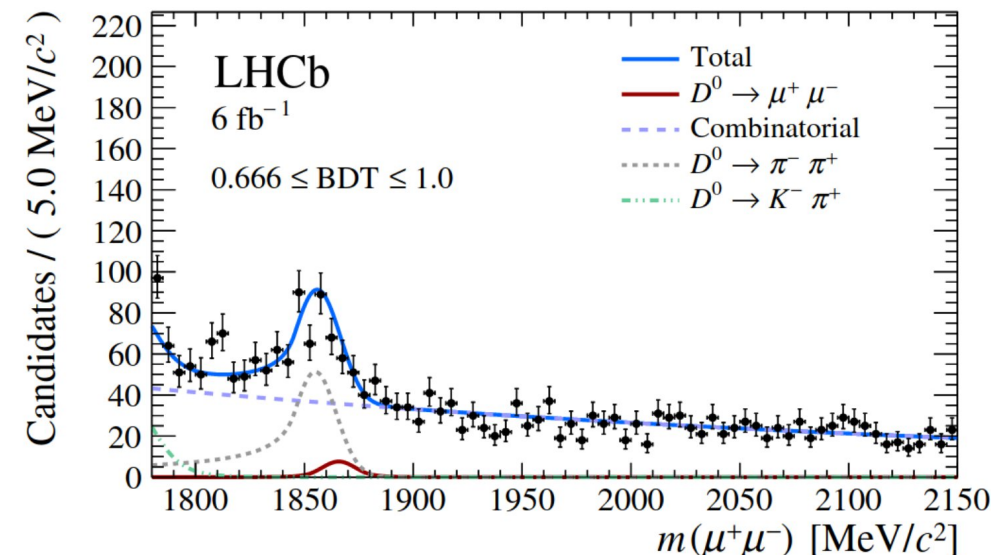


# Search for $D^0 \rightarrow \mu^+ \mu^-$ : results

- Peaking backgrounds from  $D^0 \rightarrow h\pi$  are carefully calibrated using MC & control samples
  - $K\pi$ :  $\mu\mu$  mass sideband
  - $\pi\pi$ :  $D_{(s)}^+ \rightarrow \pi\pi\pi$  decays
- Final yield:  $N(D^0 \rightarrow \mu\mu) = 79 \pm 45$
- Upper limits are set:

$$\mathcal{B}(D^0 \rightarrow \mu^- \mu^+) < 3.1(3.5) \times 10^{-9} \text{ at } 90 \text{ (95)\% CL}$$

**A factor of 2 improvement!**



# Search for $D^{*0} \rightarrow \mu^+ \mu^-$ in B decay

- Leptonic  $D^*$  decays offer a complementary approach to constraining Wilson coefficients
- Highly suppressed in SM:  $BF \sim 10^{-18}$
- Search in the decay chain of  $B^- \rightarrow D^{*0}(\rightarrow \mu^+ \mu^-)\pi^-$

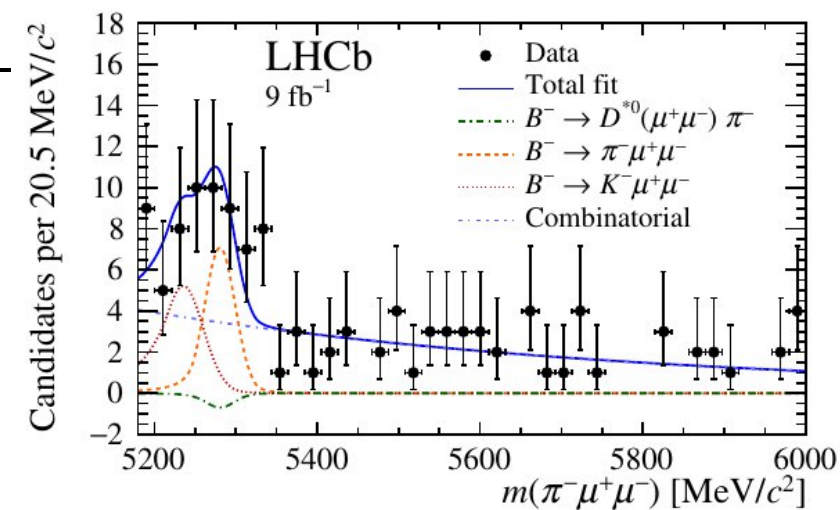
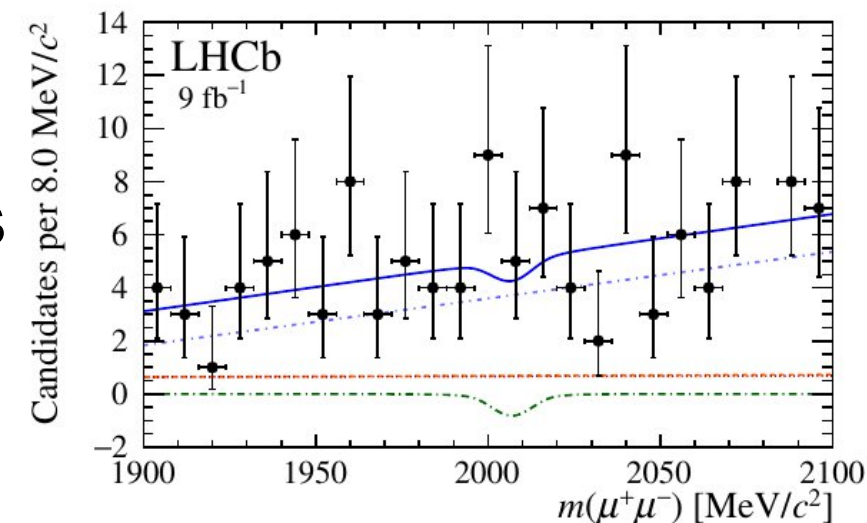
- Normalization channel:  $B^- \rightarrow J/\psi(\rightarrow \mu^+ \mu^-)K^-$

- First search:

$$\frac{\epsilon_{J/\psi K^-}}{N_{J/\psi K^-}} = 1.21 \pm 0.03$$

$$N_{J/\psi K^-} = (2316 \pm 8) \times 10^3$$

$$\mathcal{B}(D^{*0} \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-8} \text{ at 90\% CL}$$



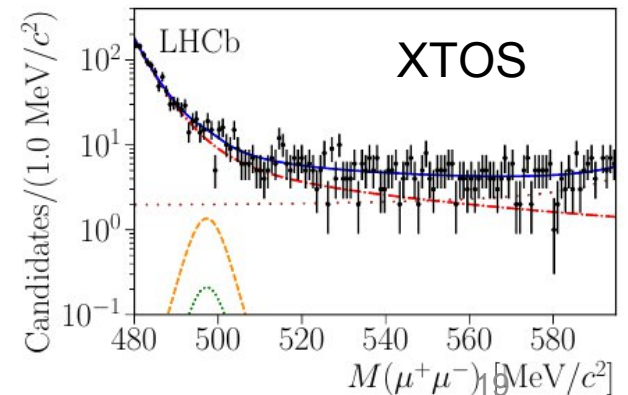
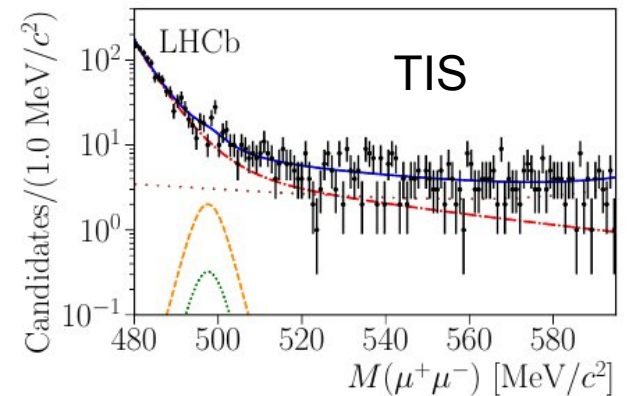
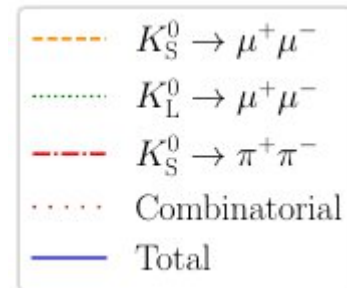
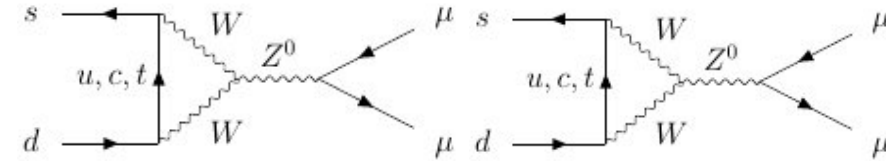
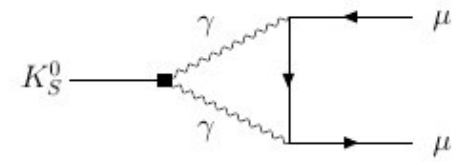
# Search for $K_S^0 \rightarrow \mu^+ \mu^-$

- Expected:

$$\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = (6.85 \pm 0.80_{\text{LD}} \pm 0.06_{\text{SD}}) \times 10^{-9}$$

- Sensitive to NP contributions
- Dedicated software trigger in Run2
- Normalized to  $K_S^0 \rightarrow \pi^+ \pi^-$
- Combined results from Runs1-2:

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) < 2.1 \times 10^{-10} \text{ at 90\% CL}$$



# Search for $K_{S(L)}^0 \rightarrow \mu^+ \mu^- \mu^+ \mu^-$

- Expected:

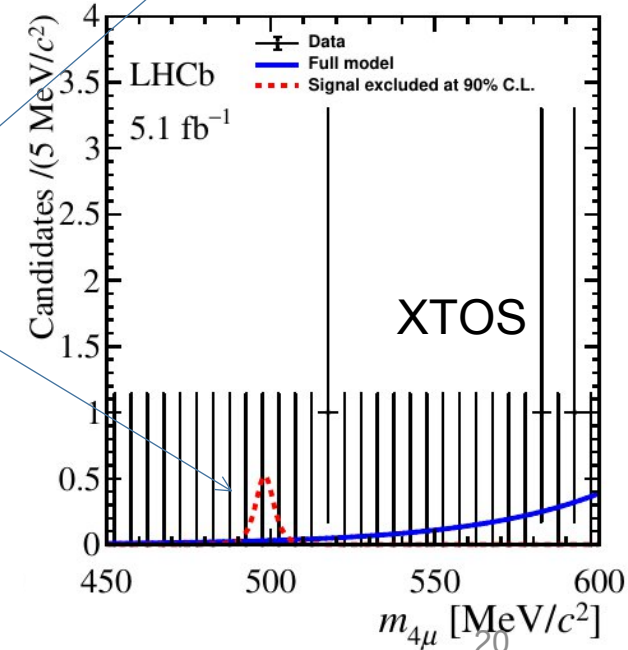
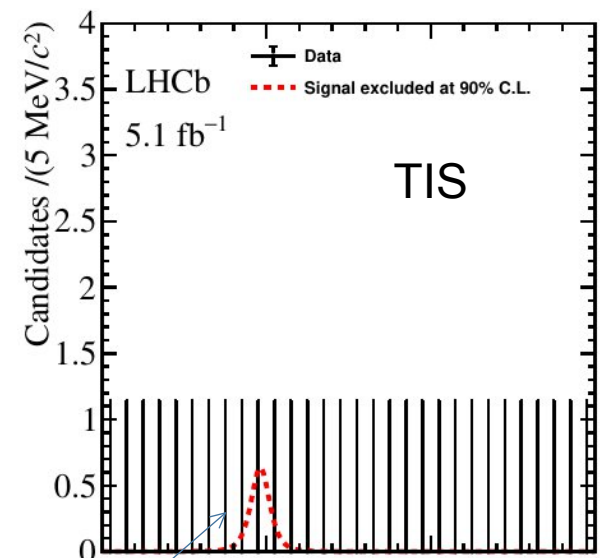
$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^- \mu^+ \mu^-)_{\text{SM}} \sim (1-4) \times 10^{-14}.$$

$$\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^- \mu^+ \mu^-)_{\text{SM}} \sim (4-9) \times 10^{-13}.$$

- LHCb acceptance for  $K_L^0 \sim 0.2\%$  of  $K_S^0$
- Normalized to  $K_S^0 \rightarrow \pi^+ \pi^-$
- No events found in the signal mass window
- ULs @ 90% CL using 5.1 fb<sup>-1</sup> Run2:

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^- \mu^+ \mu^-) < 5.1 \times 10^{-12},$$

$$\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^- \mu^+ \mu^-) < 2.3 \times 10^{-9},$$



# Search for $\Sigma^+ \rightarrow p\mu^+\mu^-$

- A  $s \rightarrow d$  FCNC process, LD contribution dominated
- Evidence from HyperCP [PRL 94 021801 (2005)]:

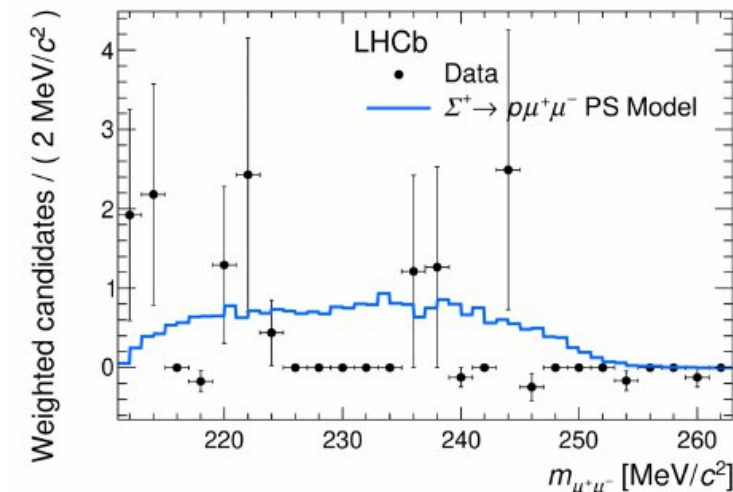
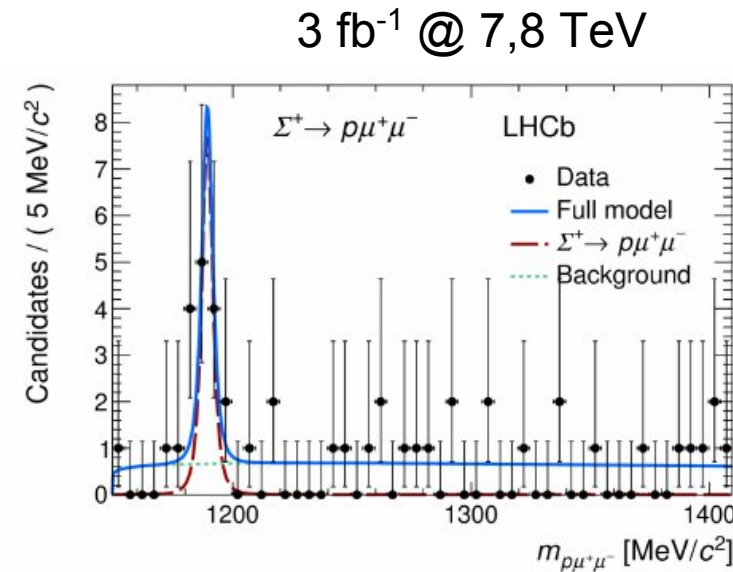
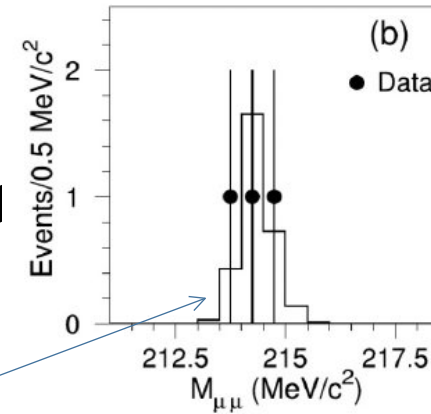
$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-) = (8.6_{-5.4}^{+6.6} \pm 5.5) \times 10^{-8}$$

- Three candidates found with  $m_{X^0} = 214.3 \pm 0.5$  MeV
- LHCb uses  $\Sigma^0 \rightarrow p\pi^0(\gamma\gamma)$  as norm channel

$$N_{\Sigma^+ \rightarrow p\pi^0} = (1171 \pm 9) \times 10^3$$

Observed  $\Sigma^+ \rightarrow p\mu^+\mu^-$  candidates evaluated by fitting  $m_{p\mu^+\mu^-}$

- $N_{\Sigma^+ \rightarrow p\mu^+\mu^-} = (10.2_{-3.5}^{+3.9})$
- Measured  $\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-) = (2.2_{-0.8}^{+0.9+1.5}) \times 10^{-8}$
- No significant peak found in the dimuon invariant mass distribution
  - $\mathcal{B}(\Sigma^+ \rightarrow pX^0(\rightarrow \mu^+\mu^-)) < 1.4 \times 10^{-8}$  at 90% CL
  - HyperCP result excluded



Run 2 analysis ongoing

# LHCb prospects for rare charm decays

Mode	Upgrade (50 fb <sup>-1</sup> )	Upgrade II (300 fb <sup>-1</sup> )
$D^0 \rightarrow \mu^+ \mu^-$	$4.2 \times 10^{-10}$	$1.3 \times 10^{-10}$
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$10^{-8}$	$3 \times 10^{-9}$
$D_s^+ \rightarrow K^+ \mu^+ \mu^-$	$10^{-8}$	$3 \times 10^{-9}$
$\Lambda \rightarrow p \mu \mu$	$1.1 \times 10^{-8}$	$4.4 \times 10^{-9}$
$D^0 \rightarrow e \mu$	$10^{-9}$	$4.1 \times 10^{-9}$

Mode	Upgrade (50 fb <sup>-1</sup> )	Upgrade II (300 fb <sup>-1</sup> )
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	0.2%	0.08%
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	1%	0.4%
$D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$	0.3%	0.13%
$D^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$	12%	5%
$D^0 \rightarrow K^+ K^- \mu^+ \mu^-$	4%	1.7%

# Prospects for measurements with strange hadrons at LHCb

arXiv:1808.03477

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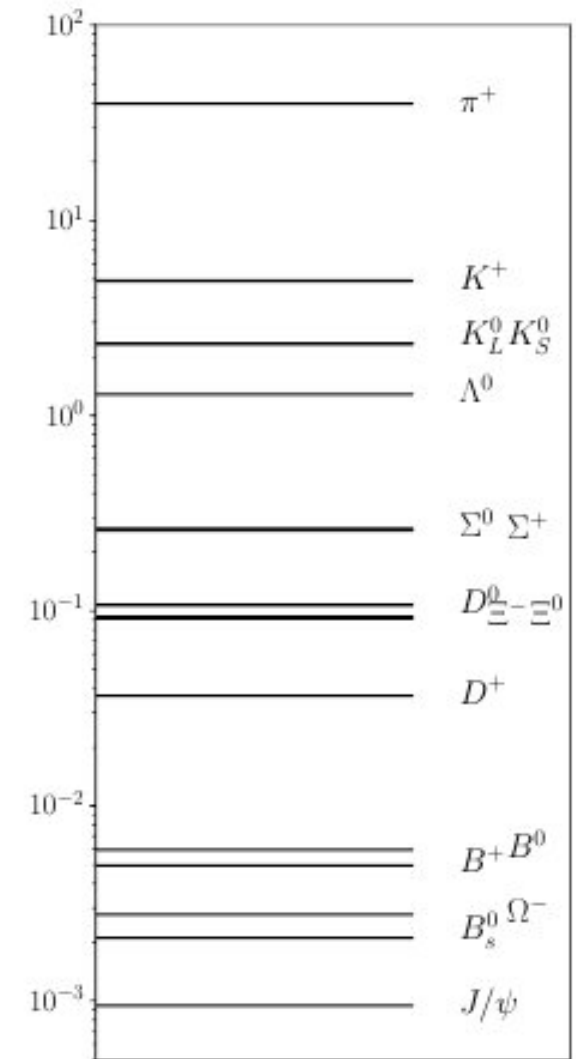
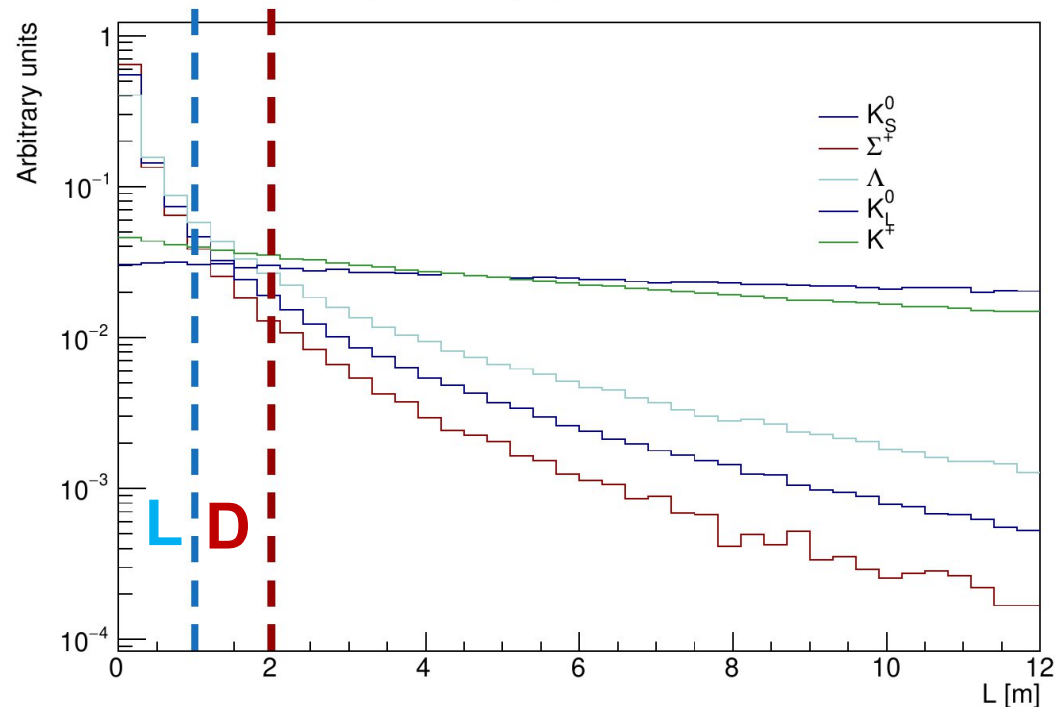


Figure 1: Multiplicity of particles produced in a single  $pp$  interaction at  $\sqrt{s} = 13$  TeV within LHCb acceptance.

# Summary

- Thanks to overwhelming statistics & superb detector performance, LHCb has been dominating the searches for rare charm & strange decays into all-track final states
- Still great potentials to be explored, e.g.
  - Di-electron channels
  - Radiative charm decays
  - Semileptonic charm decays
  - Decays of  $D_{(s)}^*$  from B
- Expectation of improved ULs or observations in the Run3 era and beyond!

