



*The 7th Symposium on “Symmetries and the emergence of Structure in QCD”*

# Baryons and Tetraquark States with Diffusion Monte Carlo Method

---

**Yao Ma (马尧)**

*Peking University*

**Based on** [PRD107\(2023\),054035](#) and papers in preparation

**Together with** Lu Meng (RUB), Yan-Ke Chen and Shi-Lin Zhu (PKU)


***July 21, 2023, Rizhao***

# Contents

---

- Background
- Diffusion Monte Carlo Method (DMC)
- Application in baryons and tetraquark states
- Summary

# Multiquark state



**Phys.Lett. 8 (1964) 214-215**


Volume 8, number 3      PHYSICS LETTERS      1 February 1964

**A SCHEMATIC MODEL OF BARYONS AND MESONS \***

M. GELL-MANN  
*California Institute of Technology, Pasadena, California*

Received 4 January 1964

...  
A simpler and more elegant scheme can be constructed if we allow non-integral values for the charges. We can dispense entirely with the basic baryon b if we assign to the triplet t the following properties: spin  $\frac{1}{2}$ ,  $z = -\frac{1}{3}$ , and baryon number  $\frac{1}{3}$ . We then refer to the members  $u^{\frac{1}{3}}$ ,  $d^{-\frac{1}{3}}$ , and  $s^{-\frac{1}{3}}$  of the triplet as "quarks"  $q$  and the members of the anti-triplet as anti-quarks  $\bar{q}$ . Baryons can now be constructed from quarks by using the combinations  $(qqq)$ ,  $(qqq\bar{q})$  etc., while mesons are made out of  $(q\bar{q})$ ,  $(qq\bar{q}\bar{q})$ , etc. It is assuming that the lowest baryon configuration  $(qqq)$  gives just the represen-



8419/TH.412  
21 February 1964

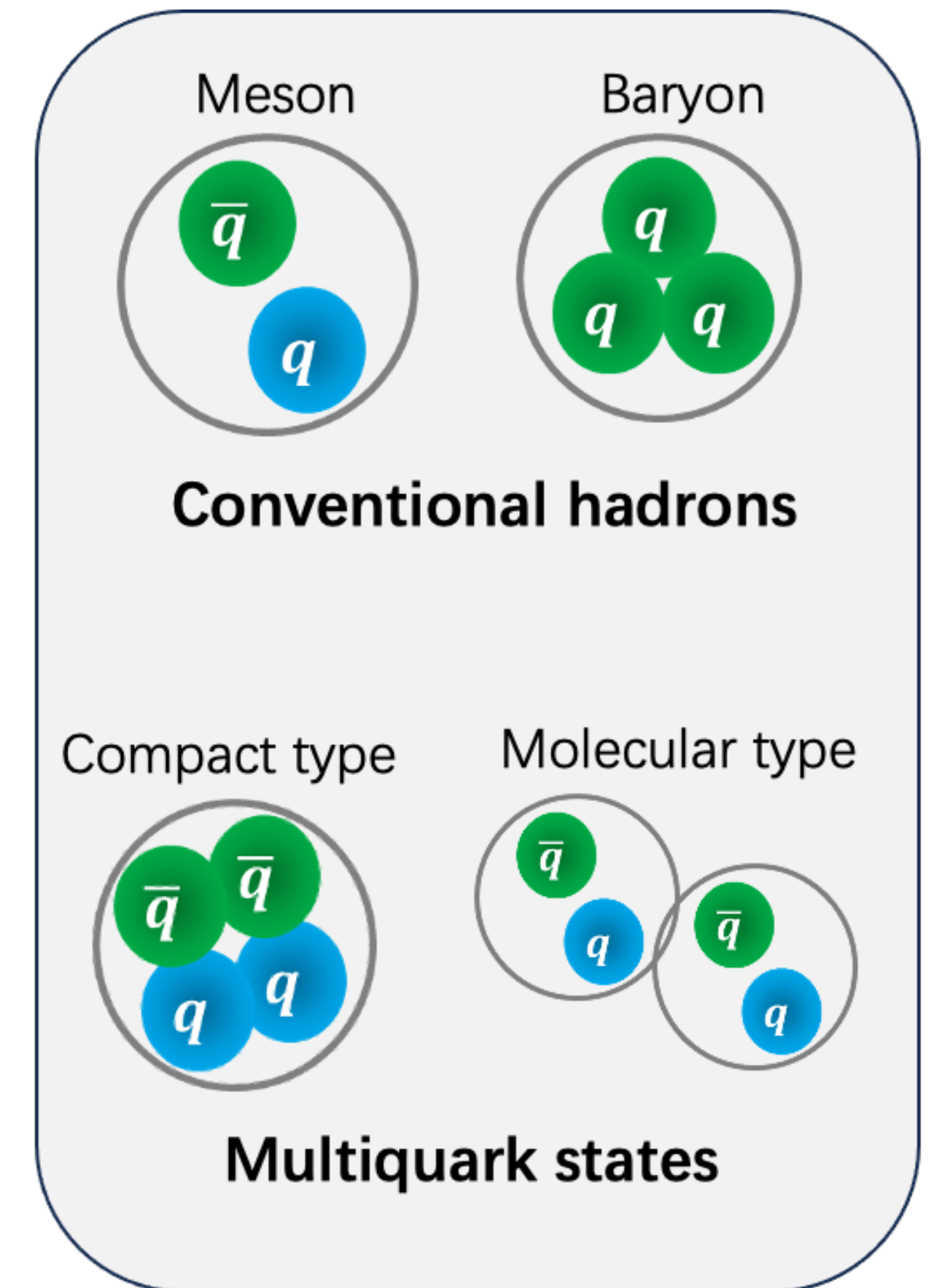
AN  $SU_3$  MODEL FOR STRONG INTERACTION SYMMETRY AND ITS BREAKING  
II \*)

G. Zweig  
CERN---Geneva

\*) Version I is CERN preprint 8182/TH.401, Jan. 17, 1964.

...

6) In general, we would expect that baryons are built not only from the product of three aces, AAA, but also from  $\bar{A}AAA$ ,  $\bar{A}AAAA$ , etc., where  $\bar{A}$  denotes an anti-ace. Similarly, mesons could be formed from  $\bar{A}A$ ,  $\bar{A}AAA$  etc. For the low mass mesons and baryons we will assume the simplest possibilities,  $\bar{A}A$  and  $AAA$ , that is, "deuces and treys".



- Multiquark states were predicted at the birth of quark model
- **Quark model** — — a useful theoretical tool

◆ Cornell model: 
$$V_{ij}(r) = \underbrace{\left[ \frac{\alpha_s}{r} - \frac{8\pi\alpha_s}{3m_i m_j} \frac{\tau^3}{\pi^{3/2}} e^{-\tau^2 r^2} \mathbf{s}_i \cdot \mathbf{s}_j \right]}_{\text{OGE}} + \underbrace{\left( -\frac{3b}{4} r + V_c \right)}_{\text{Confinement}} \frac{\lambda_i \cdot \lambda_j}{4}$$

Eichten:1974af, Eichten:1978tg, Eichten:1979ms

# Quark potential model

- Semay-Silvestre-Brac Models

Semay:1994ht, Silvestre-Brac:1996myf

$$V_{ij}(r) = \left[ -\frac{\kappa}{r} + \lambda r^p - \Lambda + \frac{2\pi}{3m_i m_j} \kappa' \frac{1}{\pi^{3/2} r_0^3} e^{(-r^2/r_0^2)} \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \right] \lambda_i \cdot \lambda_j$$

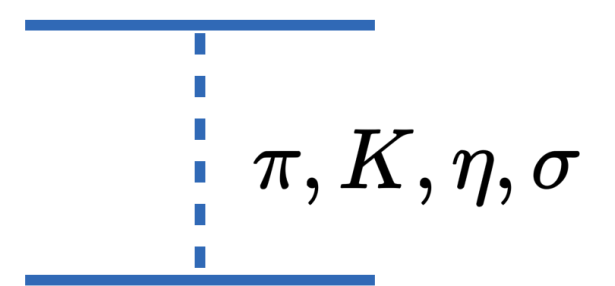
$$\text{AL1: } p = 1, \text{ AP1: } p = 2/3$$

- Chiral quark models [e.g. Salamanca model (SLM)]

Vijande:2004he, Segovia:2011dg

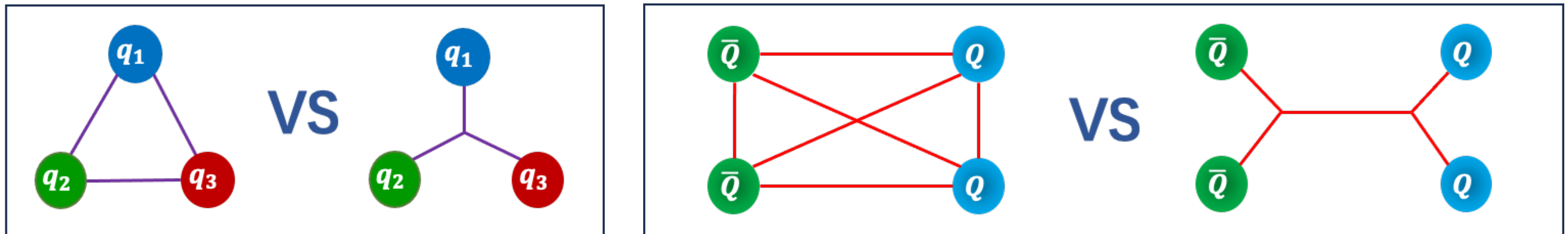
$$V_{ij}(r) = \left[ \frac{\alpha_s}{4} \left( \frac{1}{r} - \frac{1}{6m_i m_j} \frac{e^{-r/r_0}}{r_0^2 r} \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \right) + \underbrace{(-a_c(1 - e^{-\mu_c r}) + \Delta)}_{\text{Screened confinement}} \right] \lambda_i \cdot \lambda_j$$

$$+ V_\pi + V_K + V_\eta + V_\sigma$$



- Flux-tube confinement - three-body and four-body force

Takahashi:2002bw



# Motivation

## Variational method

- ◆ Computational cost increases exponentially with # of particles
- ◆ Three-body and four-body force
- ◆ Presumed clustering

## ► Diffusion Monte Carlo (DMC) Method

Reviews: [Carlson:2014vla](#), [Foulkes:2001zz](#)

— — a mature approach in molecular physics, solid physics and nuclear physics

- ◆ Moderate the increasing computational cost as the particles number
- ◆ Easier to deal with the few-body force
- ◆ No presumed clustering

## • DMC applications in multiquark systems

[Gordillo:2020sgc](#)

	$n^{2S+1}L_J$	$J^{PC}$	DMC
$\eta_c$	$1^1S_0$	$0^{-+}$	3005
$J/\psi$	$1^3S_1$	$1^{--}$	3101
$B_c$	$1^1S_0$	$0^{-+}$	6292
$B_c^*$	$1^3S_1$	$1^{--}$	6343
$\eta_b$	$1^1S_0$	$0^{-+}$	9424
$\Upsilon(1S)$	$1^3S_1$	$1^{--}$	9462

$cc\bar{c}\bar{c}$

$J^{PC}$	DMC
$0^{++}$	6351
$1^{+-}$	6441
$2^{++}$	6471

[Bai:2016int](#)

$bb\bar{b}\bar{b}$ ,  $0^{++}$  bound state

$$M_{T_{cc\bar{c}\bar{c}}} - 2M_{\eta_c} = 341 \text{ MeV}$$

# Diffusion Monte Carlo (DMC) Method

---

# Diffusion Monte Carlo

- A numerical way for solving Schrödinger equation
- Imaginary time Schrödinger equation ( $\tau = it$ )

$$-\frac{\partial \Psi(\mathbf{R}, \tau)}{\partial \tau} = \left[ \underbrace{-\frac{\nabla^2}{2m}}_{\text{Diffusion}} + \underbrace{V(\mathbf{R}) - E_R}_{\text{Source or Sink}} \right] \Psi(\mathbf{R}, \tau), \quad \Psi(\mathbf{R}, \tau) = \sum_i c_i \Phi_i(\mathbf{R}) e^{-[E_i - E_R] \tau}$$

- ◆ Diffusion equation: Salt in still water
- ◆ If we take  $E_R = E_0$ ,  $\lim_{\tau \rightarrow \infty} \Psi(\mathbf{R}, \tau) = c_0 \Phi_0(\mathbf{R})$

- Solution in the form of path integral

$$\begin{aligned} \Psi(\mathbf{R}, \tau + \Delta\tau) &= \int G(\mathbf{R}, \mathbf{R}', \Delta\tau) \Psi(\mathbf{R}', \tau) d\mathbf{R}' \\ &= \int G_1(\mathbf{R}, \mathbf{R}', \Delta\tau) G_2(\mathbf{R}', \mathbf{R}'', \Delta\tau) \Psi(\mathbf{R}'', \tau) d\mathbf{R}' d\mathbf{R}'' \end{aligned}$$

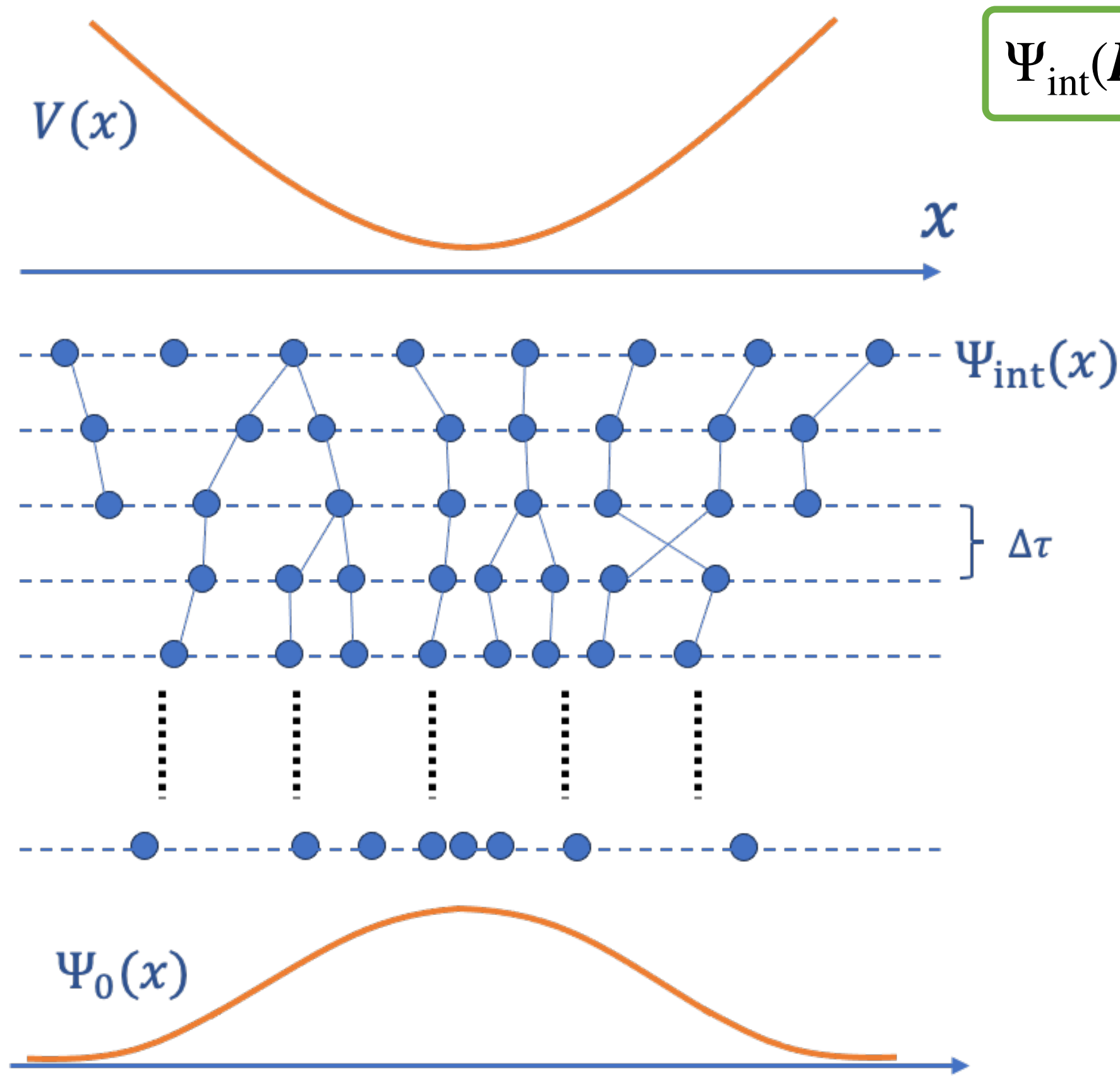
- The Green's function

$$G_1(\mathbf{R}, \mathbf{R}', t) = (2\pi t/m)^{-3/2} e^{-\frac{m(\mathbf{R}' - \mathbf{R})^2}{2t}}, \quad G_2(\mathbf{R}, \mathbf{R}', t) = e^{-\left(\frac{V(\mathbf{R}) + V(\mathbf{R}')}{2} - E_R\right)t}$$

- Can be implemented with the diffusion Monte Carlo algorithm

# Algorithm

- The wave function is sampled by walkers:  $\Psi(\mathbf{R}) \Rightarrow$  distribution of walkers
- Walkers: in space  $D=3N$



$\Psi_{\text{int}}(\mathbf{R})$ : a state not orthogonal to the ground state

Follow the Gaussian distribution:

$$(2\pi\Delta\tau/m)^{-3/2} e^{-\frac{m(\Delta R)^2}{2\Delta\tau}}$$

Replicate the walker  $n_r$  times:

$$n_r = \text{Floor} \left[ e^{-\left( \frac{V(R)+V(R')}{2} - E_R \right) \Delta\tau} + u \right]$$

♦  $u$  is a random number uniformly distributed in  $[0,1]$

$E_R$  and total # of walkers become stable?

Initial walkers

Random walk

Birth-death

Equilibrium?

Output

N

Y



# Algorithm

- The wave function is sampled by walkers:  $\Psi(\mathbf{R}) \Rightarrow$  distribution of walkers
- Walkers: in space  $D=3N$

- No numerical integration
- No Jacobi coordinate, no presumed clustering
- Computational cost increases linearly
- Same complexity dealing with pairwise confinement interaction and flux-tube interaction



VS

Output

# Importance Sampling

In the Birth-death process:

$$n_r = \text{Floor} \left[ e^{-\left(\frac{V(\mathbf{R})+V(\mathbf{R}')}{2} - E_R\right)\Delta\tau} + u \right]$$

In areas where the potential changes intensely, a tiny movement of the walker will lead to a drastic fluctuation of the population.

► *Importance sampling technique*

- Introduce importance function:  $\psi_T(\mathbf{R})$ , and sample  $f(\mathbf{R}, t) \equiv \Psi(\mathbf{R}, t)\psi_T(\mathbf{R})$
- The  $\psi_T(\mathbf{R})$  should be as close as possible to  $\Psi_0(\mathbf{R})$
- Schrödinger equation with importance sampling

$$-\frac{\partial f(\mathbf{R}, t)}{\partial t} = \underbrace{-\sum_{i=1}^m \frac{1}{2m_i} \nabla_{r_i}^2 f(\mathbf{R}, t)}_{\text{Diffusion}} + \underbrace{\sum_{i=1}^m \frac{1}{2m_i} \nabla_{r_i} (\mathbf{F}_i(\mathbf{R}) f(\mathbf{R}, t))}_{\text{Drift}} + \underbrace{[E_L(\mathbf{R}) - E_R] f(\mathbf{R}, t)}_{\text{Source or Sink}}$$

$$\mathbf{F}_i(\mathbf{R}) = 2\psi_T(\mathbf{R})^{-1} \nabla_{r_i} \psi_T(\mathbf{R}) = \nabla \ln |\psi_T|^2, \quad E_L(\mathbf{R}) = \psi_T(\mathbf{R})^{-1} \hat{H} \psi_T(\mathbf{R})$$

◆ Convection-diffusion equation: Salt in flowing water

- Drift term Green's function:  $G_3(\mathbf{R}, \mathbf{R}', t) = \delta\left(\mathbf{R} - \mathbf{R}' - \frac{\mathbf{F}(\mathbf{R})}{2m} t\right)$

Make a displacement:  $\frac{\mathbf{F}(\mathbf{R})}{2m} \Delta\tau$

Drift

# Importance Sampling

- Two effects:

1. Guides walkers to regions with higher probability density: drift force  $\mathbf{F}_i(\mathbf{R}) = \nabla \ln |\psi_T|^2$
2. Reduces the fluctuation of the population of walkers

$$E_L(\mathbf{R}) = \psi_T(\mathbf{R})^{-1} \hat{H} \psi_T(\mathbf{R}) \rightarrow E_0$$

$$n_\tau = e^{-\left(\frac{E_L(\mathbf{R}) + E_L(\mathbf{R}')}{2} - E_R\right) \Delta\tau} \rightarrow 1$$

Hjorth-Jensen:2017gss, Gordillo:2020sgc

- In the practical simulation, the  $\psi_T(\mathbf{R})$  is unknown beforehand

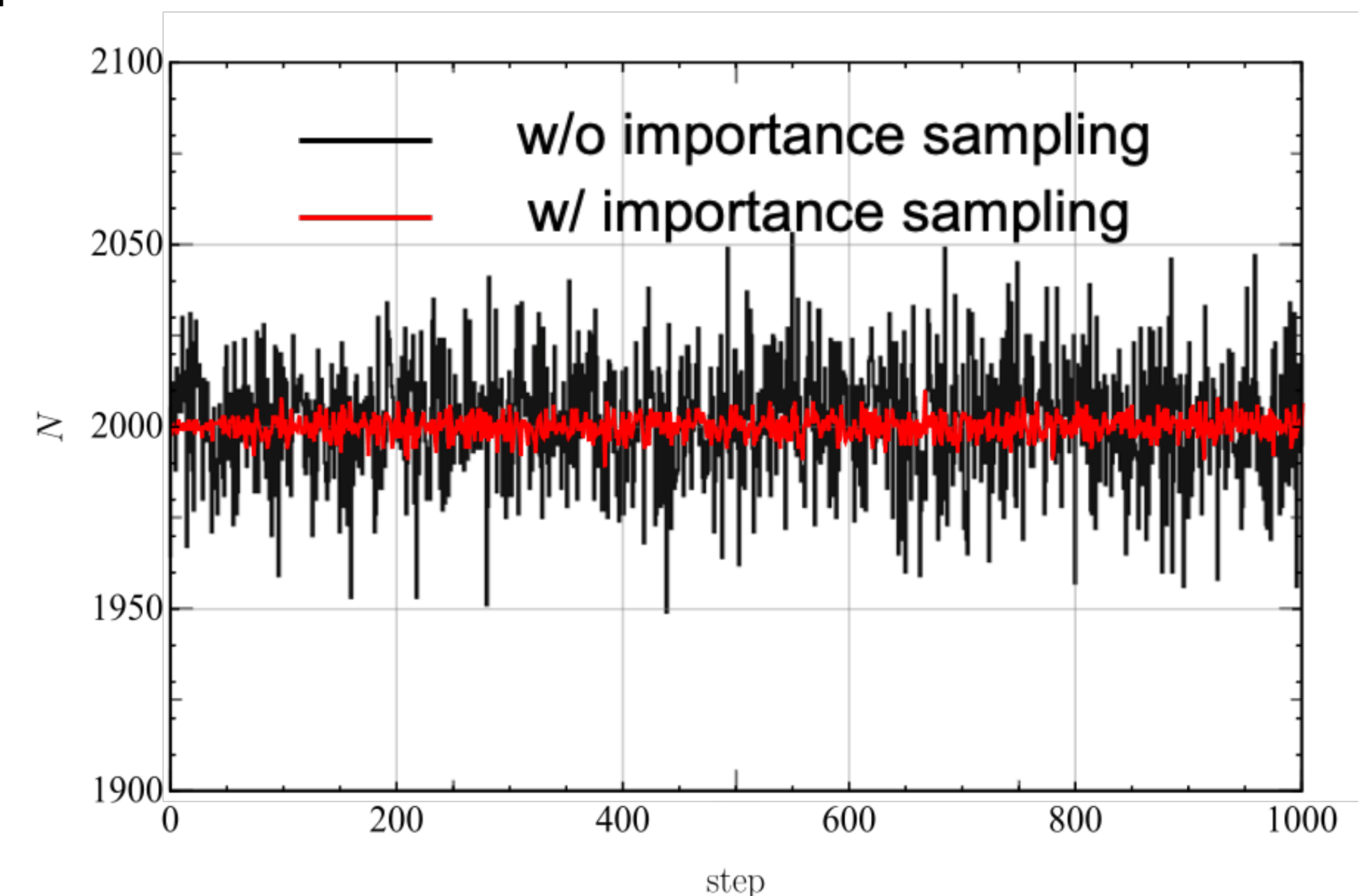
In our calculation

$$\psi_T(\mathbf{R}) = \prod_{i < j} e^{-a_{ij} r_{ij}}$$

- $a_{ij}$ : adjustable constants, set to minimize fluctuation
- e.g. 1-d HO

$$H = -\frac{1}{2} \frac{\partial^2}{\partial x^2} + \frac{1}{2} x^2, E_0 = 0.5$$

With importance sampling, the fluctuation is reduced

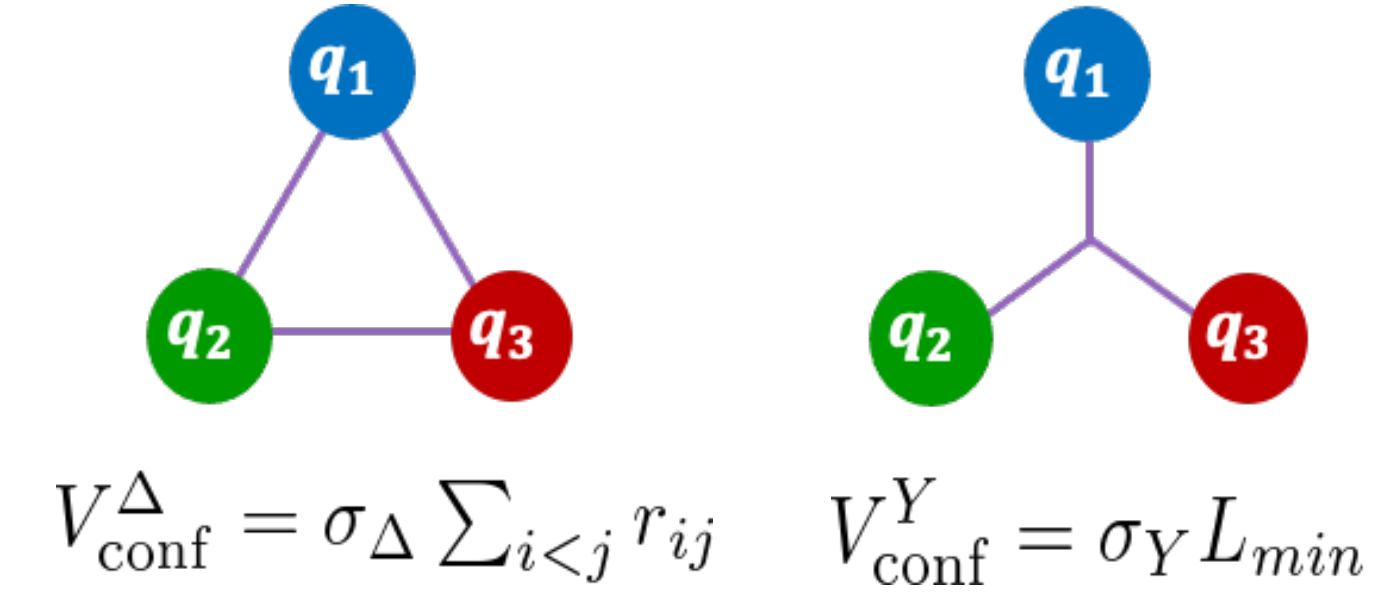


# Application in baryons and tetraquark states

---

# Baryons

- Potential: AL1 and its revised version
- Two confinement scenarios ( $\Delta$ -type and Y-type)
- In variational method: It is hard to calculate the matrix elements of  $V_{\text{conf}}^Y$



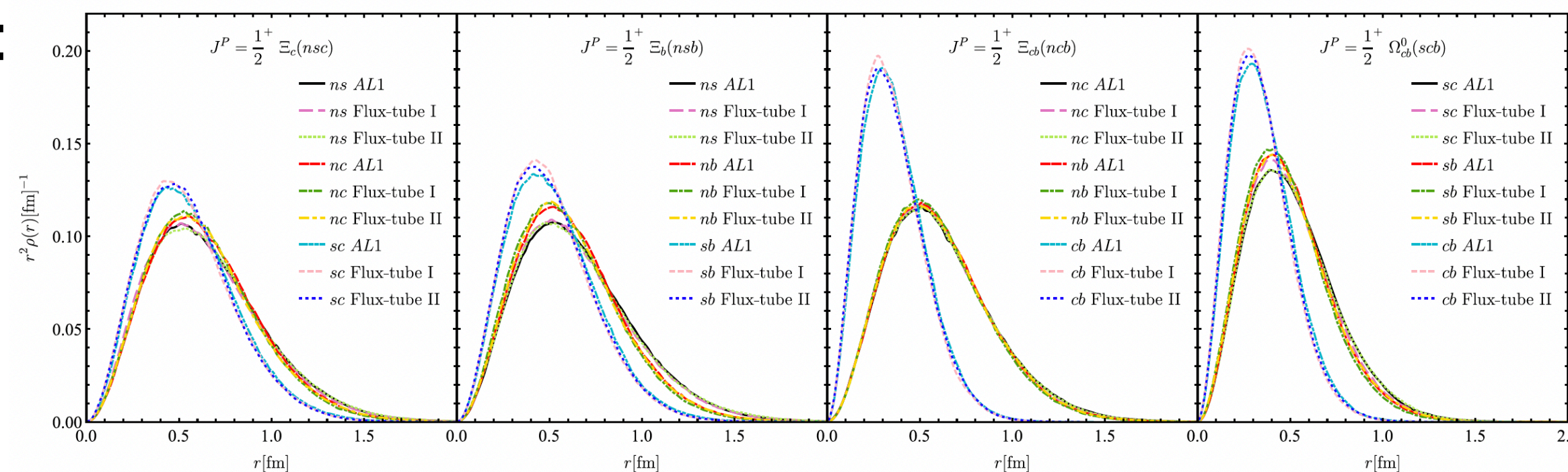
$$L_{\text{min}} = \left[ \frac{1}{2} (a^2 + b^2 + c^2) + \frac{\sqrt{3}}{2} \sqrt{(a+b+c)(-a+b+c)(a-b+c)(a+b-c)} \right]^{1/2}$$

Takahashi:2002bw

- In DMC: No need of integration, Steiner tree problem D. Smith, Algorithmica 7, 137 (1992)
- Coupling constants
  - ◆  $\sigma_{\Delta}$  from AL1 model
  - ◆ Flux tube-I:  $\sigma_Y = \sigma_{\bar{q}q} = 2\sigma_{\Delta}$ , Flux tube-II: fix  $\sigma_Y$  from  $\Omega(sss)$  mass,  $\sigma_Y = 0.9204\sigma_{\bar{q}q}$  Ma:2022vqf

Takahashi:2002bw    Lattice QCD:  $\sigma_Y = 0.9355\sigma_{\bar{q}q}$

## Results:



- Flux tube-II is more reliable
- For baryons it is hard to distinguish two confinement scenarios

# DMC in quark models

- A lesson from nucleon calculation: proper configuration assignment

- ◆ Single channel  $|N\rangle_{\text{frac}} = \chi_{sf}^S(123)\psi^S(123) \rightarrow$  not general enough

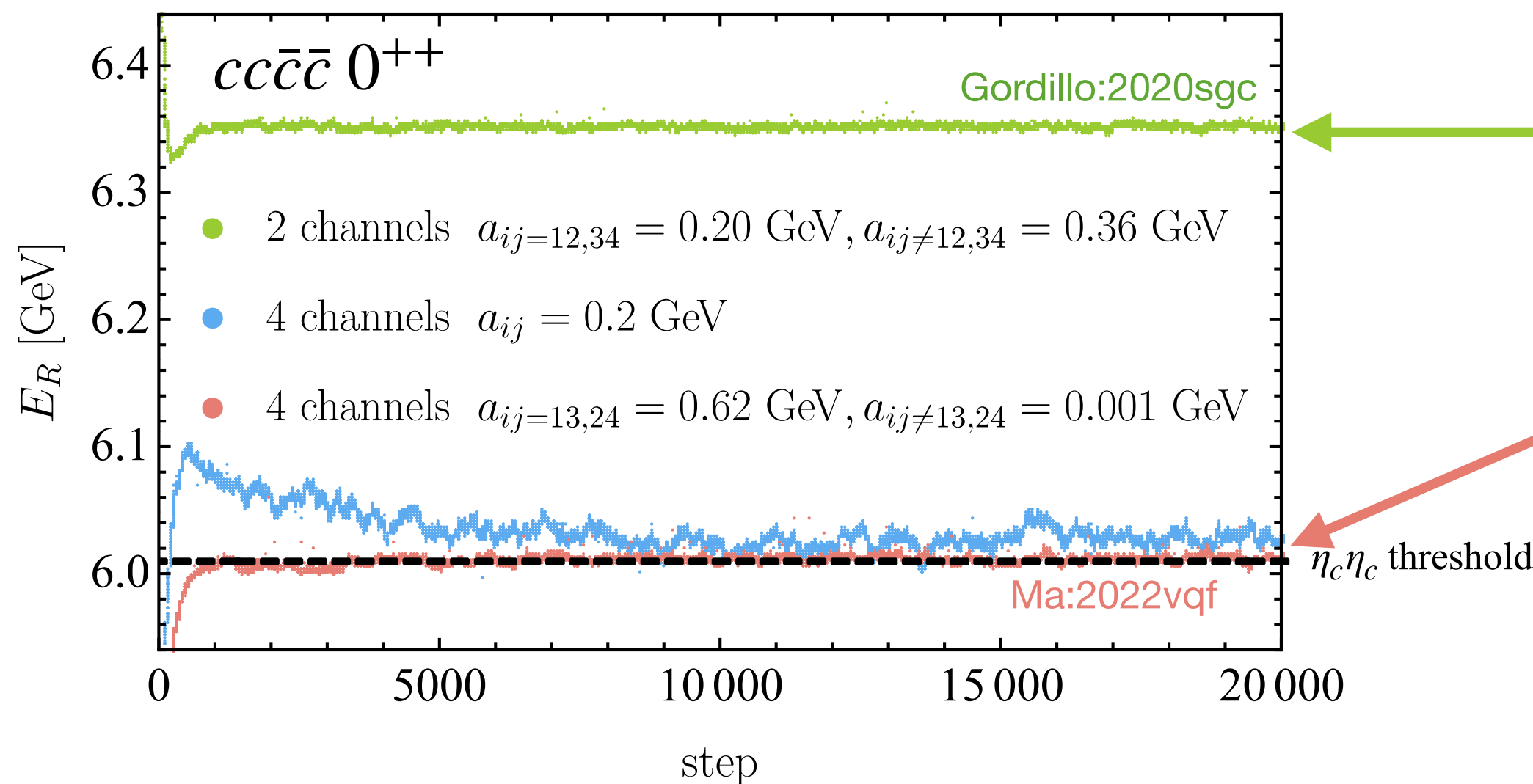
- ◆  $|Ci\rangle = |Bi\rangle +$  even perm (1, 2, 3),
    - $|B1\rangle = \chi_s^S(12;3)\chi_f^S(12;3)\psi_1^S(12;3),$
    - $|B2\rangle = \chi_s^S(12;3)\chi_f^A(12;3)\psi_2^A(12;3),$
    - $|B3\rangle = \chi_s^A(12;3)\chi_f^A(12;3)\psi_3^S(12;3),$
    - $|B4\rangle = \chi_s^A(12;3)\chi_f^S(12;3)\psi_4^A(12;3),$

	AL1			FT I	FT II	EXP
	DMC	VAR	Faddeev			
$ N(123)\rangle_{\text{fac}}$	968	966	933	1059	975	939
$ N(123)\rangle_{\text{general}}$	930	930		1019	936	

- $cc\bar{c}\bar{c}$  system

- ◆ Cannot get the di-meson thresholds (real ground state for systems w/o bound states) [Gordillo:2020sgc](#)

- ◆ Our advancement: including the extra two configuration channels [Ma:2022vqf](#)



$$|T1\rangle = [((12)_{\bar{3}_c}^0 (34)_{\bar{3}_c}^0)_{1_c}^0] \psi_1^{SS}(12; 34),$$

$$|T2\rangle = [((12)_{\bar{6}_c}^1 (34)_{\bar{6}_c}^1)_{1_c}^0] \psi_2^{SS}(12; 34),$$

$$|T3\rangle = [((12)_{\bar{3}_c}^1 (34)_{\bar{3}_c}^1)_{1_c}^0] \psi_3^{AA}(12; 34),$$

$$|T4\rangle = [((12)_{\bar{6}_c}^0 (34)_{\bar{6}_c}^0)_{1_c}^0] \psi_4^{AA}(12; 34).$$

# DMC in quark models

- A lesson from nucleon calculation: proper configuration assignment

- ◆ Single channel  $|N\rangle_{\text{frac}} = \chi_{sf}^S(123)\psi^S(123) \rightarrow$  not general enough

- ◆  $|Ci\rangle = |Bi\rangle +$  even perm (1, 2, 3),  $|B1\rangle = \chi_s^S(12;3)\chi_f^S(12;3)\psi_1^S(12;3),$

- $|B2\rangle = \chi_s^S(12;3)\chi_f^A(12;3)\psi_2^A(12;3),$

- $|B3\rangle = \chi_s^A(12;3)\chi_f^A(12;3)\psi_3^S(12;3),$

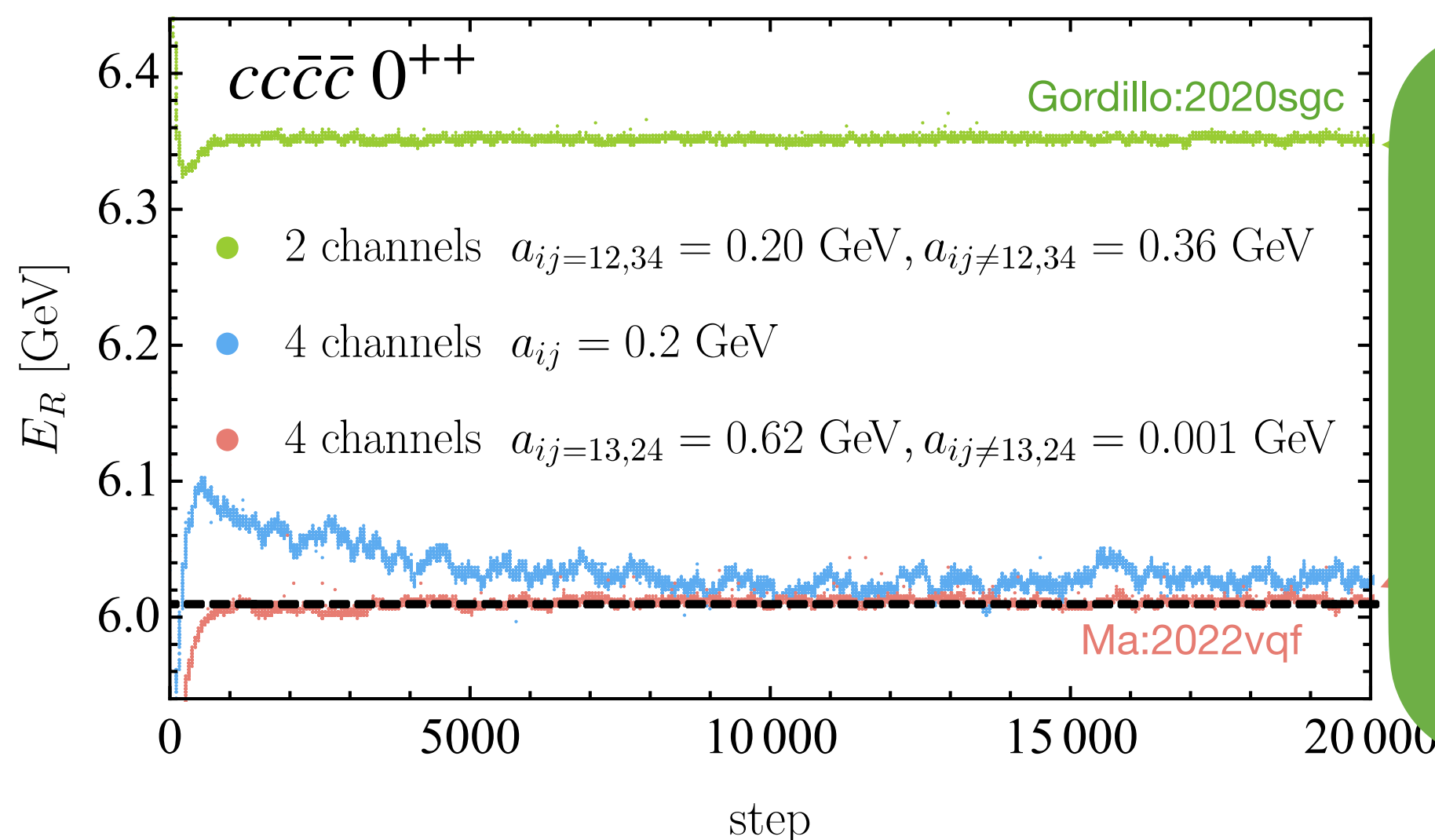
- $|B4\rangle = \chi_s^A(12;3)\chi_f^S(12;3)\psi_4^A(12;3),$

	AL1			FT I	FT II	EXP
	DMC	VAR	Faddeev			
$ N(123)\rangle_{\text{fac}}$	968	966	933	1059	975	939
$ N(123)\rangle_{\text{general}}$	930	930		1019	936	

- $cc\bar{c}\bar{c}$  system

- ◆ Cannot get the di-meson thresholds (real ground state for systems w/o bound states) [Gordillo:2020sgc](#)

- ◆ Our advancement: including the extra two configuration channels [Ma:2022vqf](#)



- In variational method, it is hard to get the di-meson threshold without the di-meson clustering basis
- In DMC, we get a di-meson type ground state without presuming such kind of clustering

# Double-heavy tetraquark

- Potential: Chiral quark models [Salamanca model (SLM)]
- Systems with bound state:

$J^P$		$I$	$E_{\text{th}}$	$E$	$\Delta E$
$0^+$	$bc\bar{n}\bar{n}$	0	7171	6986	-185
	$bc\bar{s}\bar{n}$	$\frac{1}{2}$	7244	7243	-1
$1^+$	$cc\bar{n}\bar{n}$	0	3915	3759	-156
	$bb\bar{n}\bar{n}$	0	10594	10249	-345
	$bb\bar{s}\bar{n}$	$\frac{1}{2}$	10667	10653	-14
	$bc\bar{n}\bar{n}$	0	7215	7012	-203
	$bc\bar{s}\bar{n}$	$\frac{1}{2}$	7291	7287	-4



# Summary and outlook

---

- Improved DMC to give the di-meson threshold

- Recommended tetraquark bound states:

$$J^P = 1^+ : [cc\bar{n}\bar{n}]^{I=0}, [bb\bar{n}\bar{n}]^{I=0}, [bc\bar{n}\bar{n}]^{I=0}, [bb\bar{s}\bar{n}], [bc\bar{s}\bar{n}]$$

$$J^P = 0^+ : [bc\bar{n}\bar{n}]^{I=0}, [bc\bar{s}\bar{n}]$$

- Can be further improved: Auxiliary field diffusion Monte Carlo, fixed-node method
- Flux-tube confinement potentials for tetraquark states

Thanks for your attention!

*The 7th Symposium on “Symmetries and the emergence of Structure in QCD”*



# Backup

*July 21, 2023*

# Coupled-channel formalism

---

- Coupled-channel Schrödinger equation

$$\Psi(\mathbf{R}, t) = \sum_{\alpha} \Psi_{\alpha}(\mathbf{R}, t) \chi_{\alpha}$$
$$-\frac{\partial \Psi_{\alpha'}}{\partial t} = \sum_{\alpha} \hat{H}_{\alpha'\alpha} \Psi_{\alpha} - E_R \Psi_{\alpha'}$$

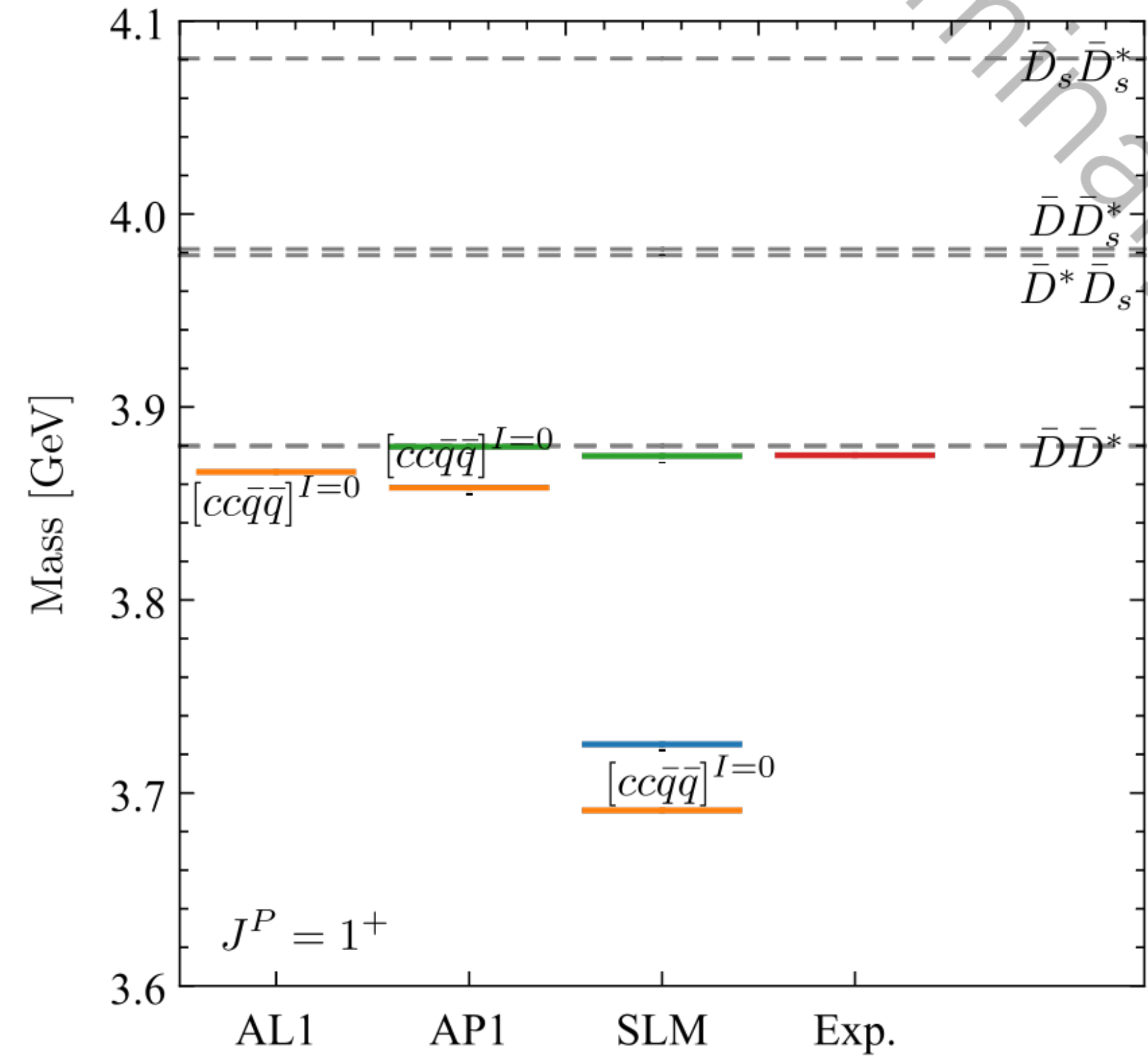
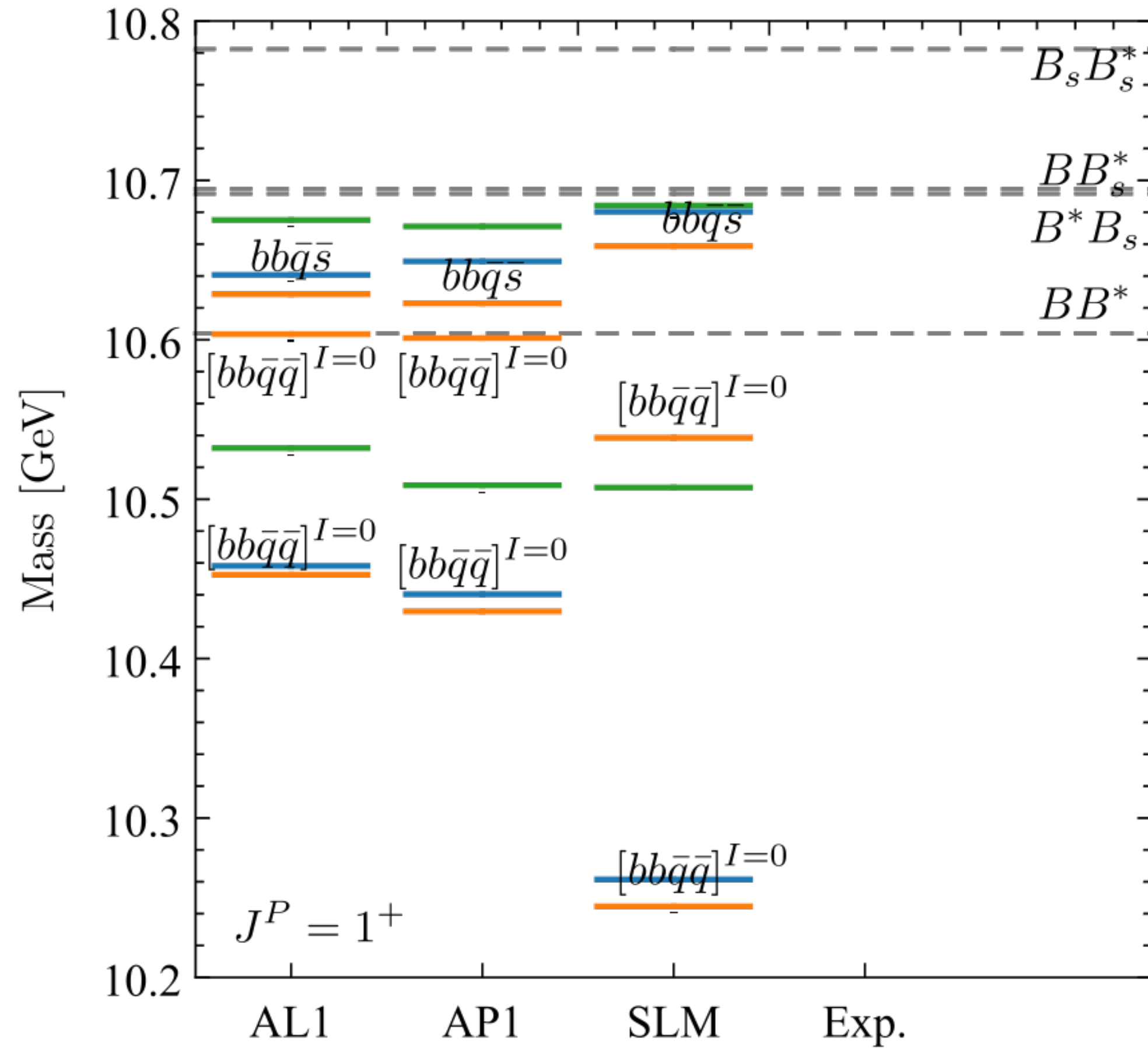
- Sample  $\mathcal{F}(\mathbf{R}, t)$

$$f_{\alpha}(\mathbf{R}, t) \equiv \psi_T(\mathbf{R}) \Psi_{\alpha}(\mathbf{R}, t),$$

$$\mathcal{F}(\mathbf{R}, t) \equiv \sum_{\alpha} f_{\alpha}(\mathbf{R}, t).$$

# Double-heavy tetraquark

- The DMC with the present coupled-channel strategy give the higher energy than GEM
- The DMC performs better than RGM



# Systemic uncertainties

---

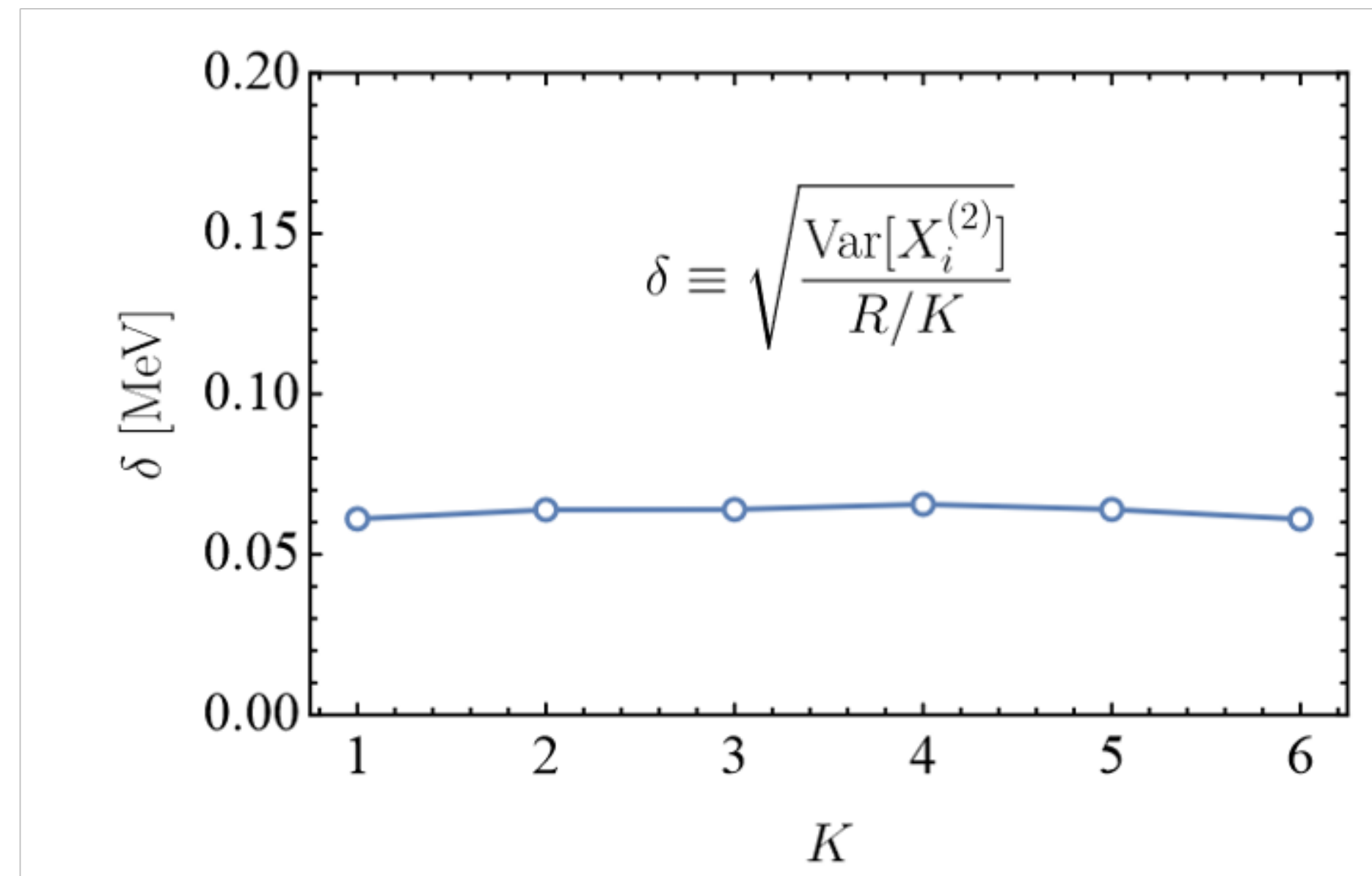
- Time-step uncertainty
  - Walker number control uncertainty
  - Choice of importance functions
  - Fermion sign problem (Main problem)
    - ▶ The density of the walker is always positive
    - ▶ However, the wave functions can be negative
    - ▶ The present coupled channel strategy
    - ▶ Better choice: fixed-node method, fixed-phase method...
  - Other possible improvement
    - ▶ The wave function of the discrete quantum numbers can be sampled
- Auxiliary field diffusion Monte Carlo
- ▶ Optimize the initial function

# Statistical uncertainties

- Jackknife resampling method

$$\begin{aligned}\sigma[\bar{X}] &= \sqrt{\frac{1}{R(R-1)} \sum_i^R (X_i^{(1)} - \bar{X})^2} \\ &= \sqrt{\frac{R-1}{R} \sum_i^R (\bar{X}_{(i)\text{jack}} - \bar{X}_{\text{jack}})^2}.\end{aligned}$$

- Statistical uncertainties: less than 1 MeV



# Resonances within DMC method

- Put them into finite box or a well [Wiese:1988qy](#), [Gandolfi:2016bth](#)
- Similar to the real scaling method
- More methods to calculate resonance: see the papers about tetra-neutron resonance

