

# ML AT JUNO

W U M I N G L U O

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机器学习技术在高能物理中的应用研讨会



中国科学院高能物理研究所  
*Institute of High Energy Physics*  
*Chinese Academy of Sciences*



# OUTLINE

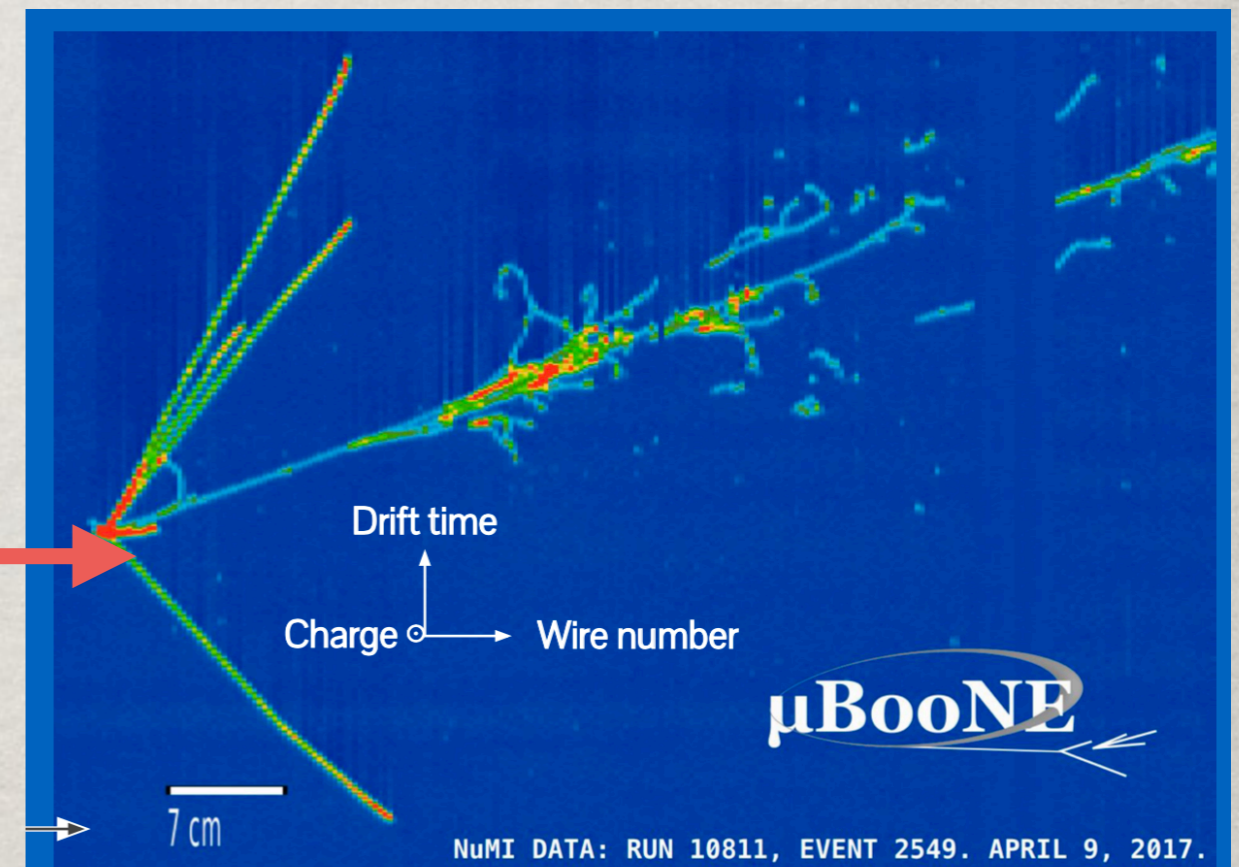
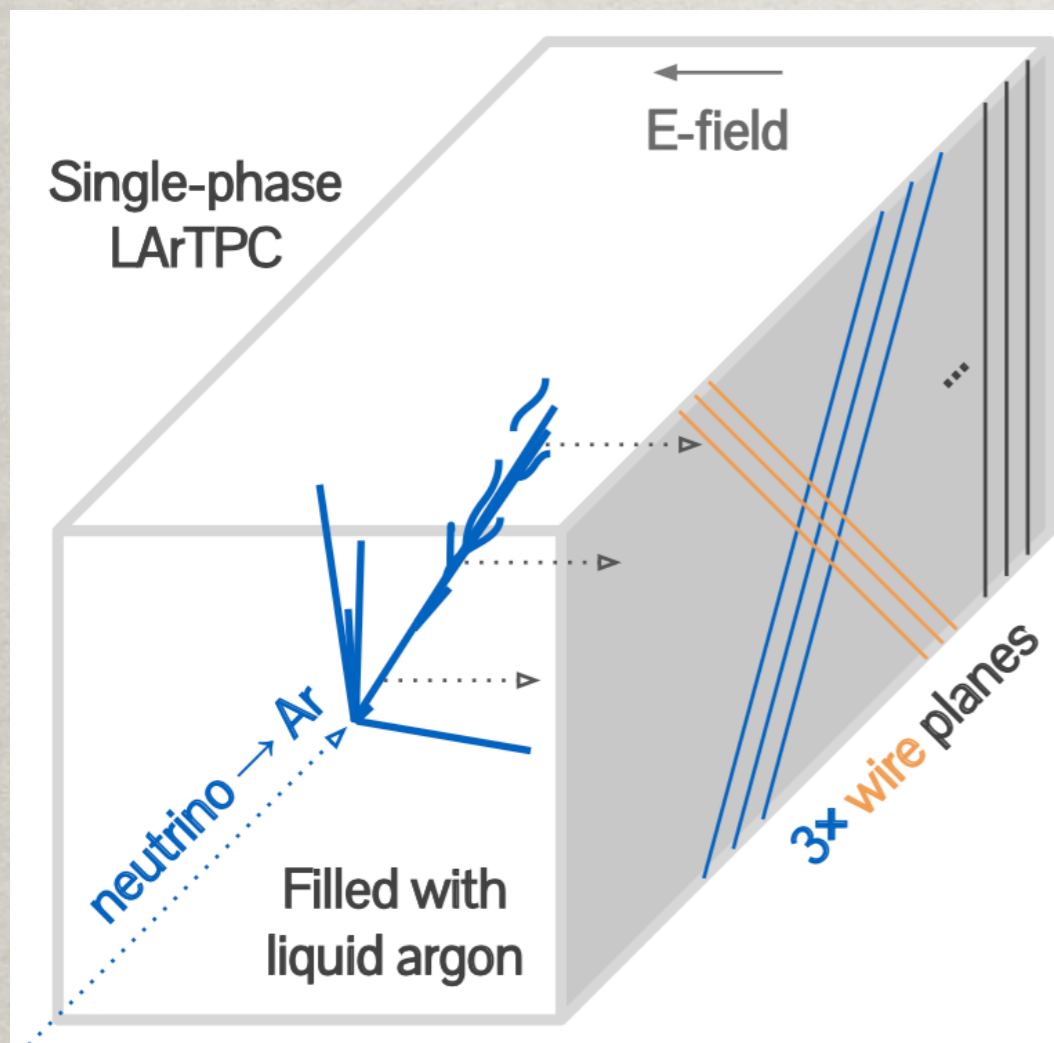
- ✿ Status of ML in neutrino exp.
- ✿ ML applications in JUNO
- ✿ Manpower, issues, requests
- ✿ Summary



# ML FOR NEUTRINO EXP.

✿ LArTPC(DUNE,  $\mu$ BooNE)

✿ Very advanced and mature application of ML

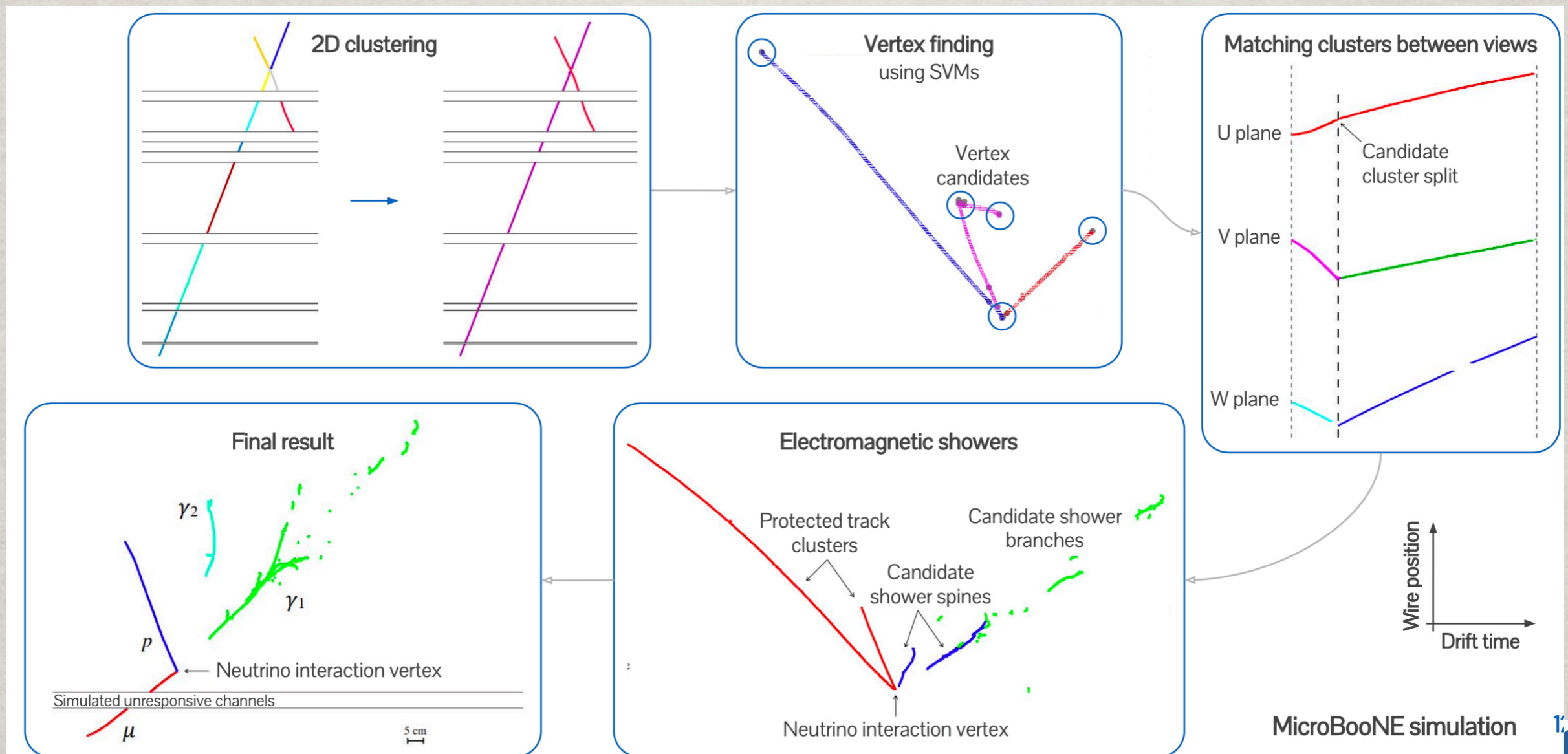


*A neutrino interaction image from one wire plane in MicroBooNE*

- Very high resolution calorimeter - millimeter-scale
- Can resolve individual particles down to low energies
- 3×2D views  $\Rightarrow$  3D imaging

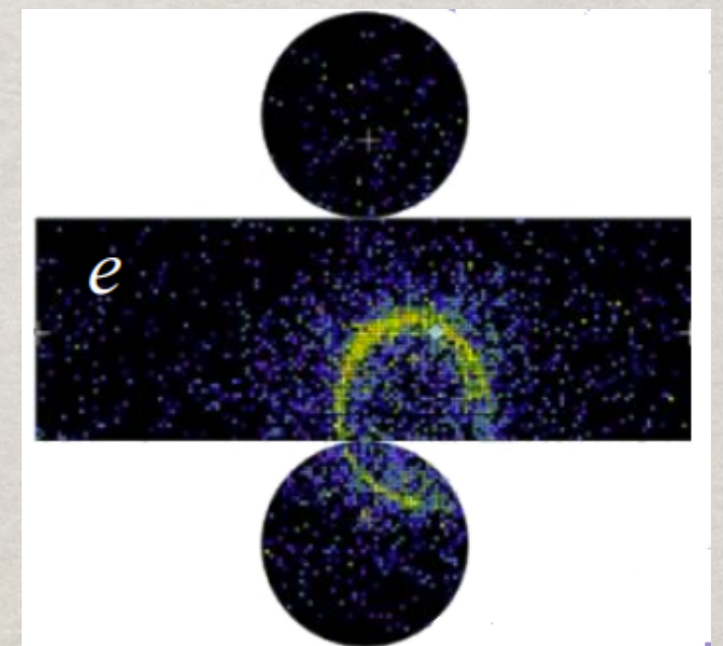
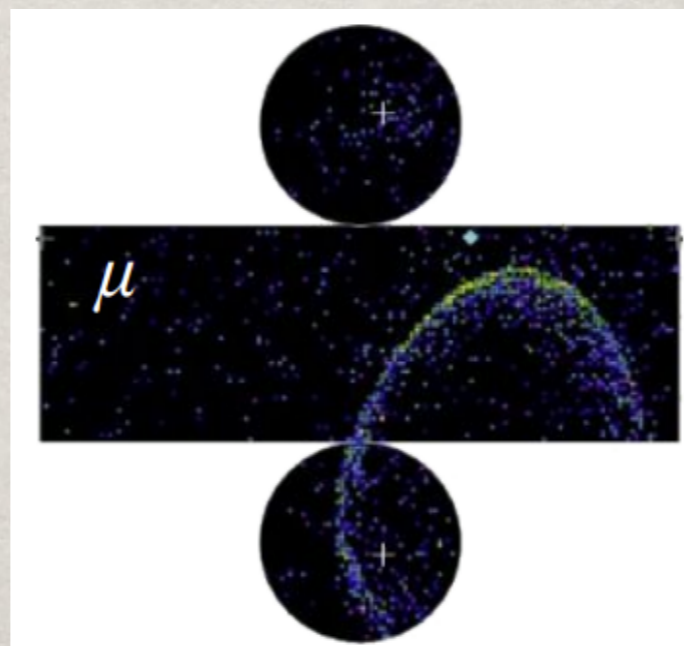
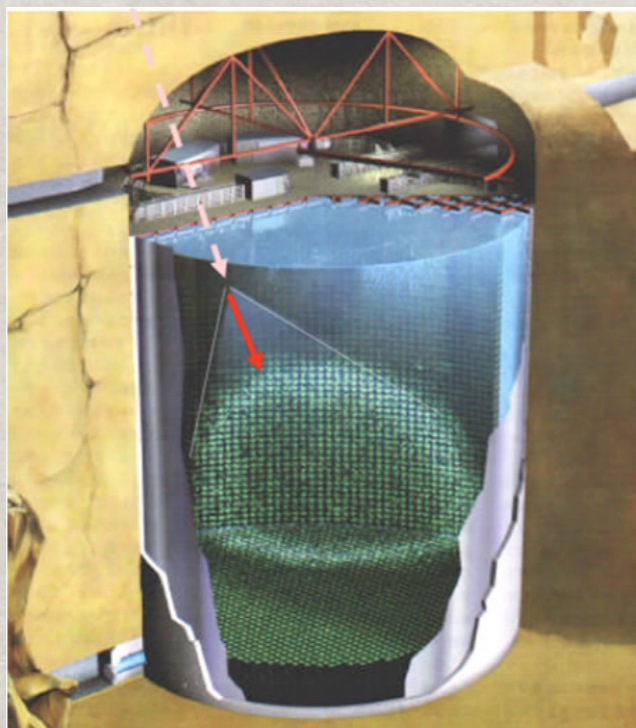
# ML FOR NEUTRINO EXP.

☀ End to end reconstruction, explainability, reusability



# ML FOR NEUTRINO EXP.

- ✧ Water Cherenkov (Super-K/Hyper-K)
- ✧ Particle Identification:  $e/\mu/\gamma/\pi$ 
  - ✧ Challenges: cylindrical detector, sparse data
  - ✧ Models: CNN, PointNet, DGCNN

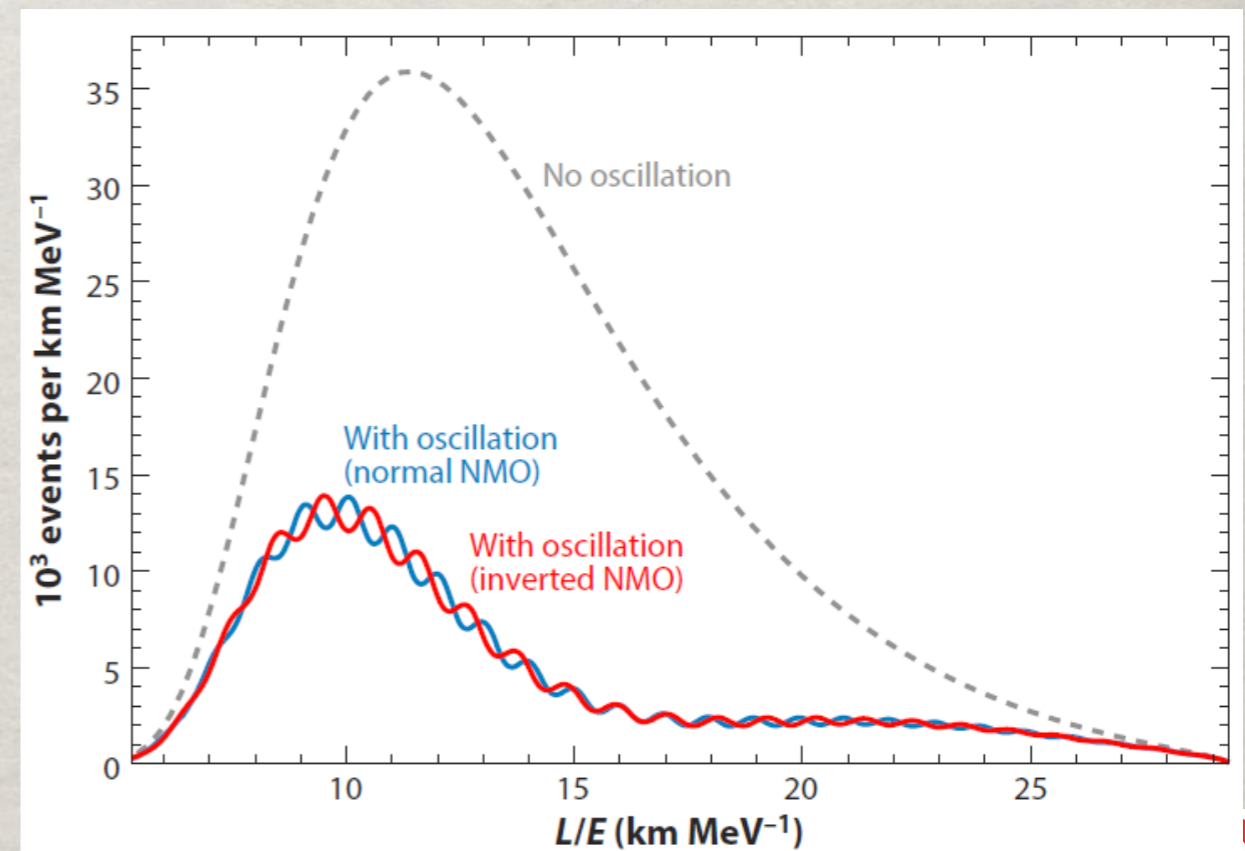
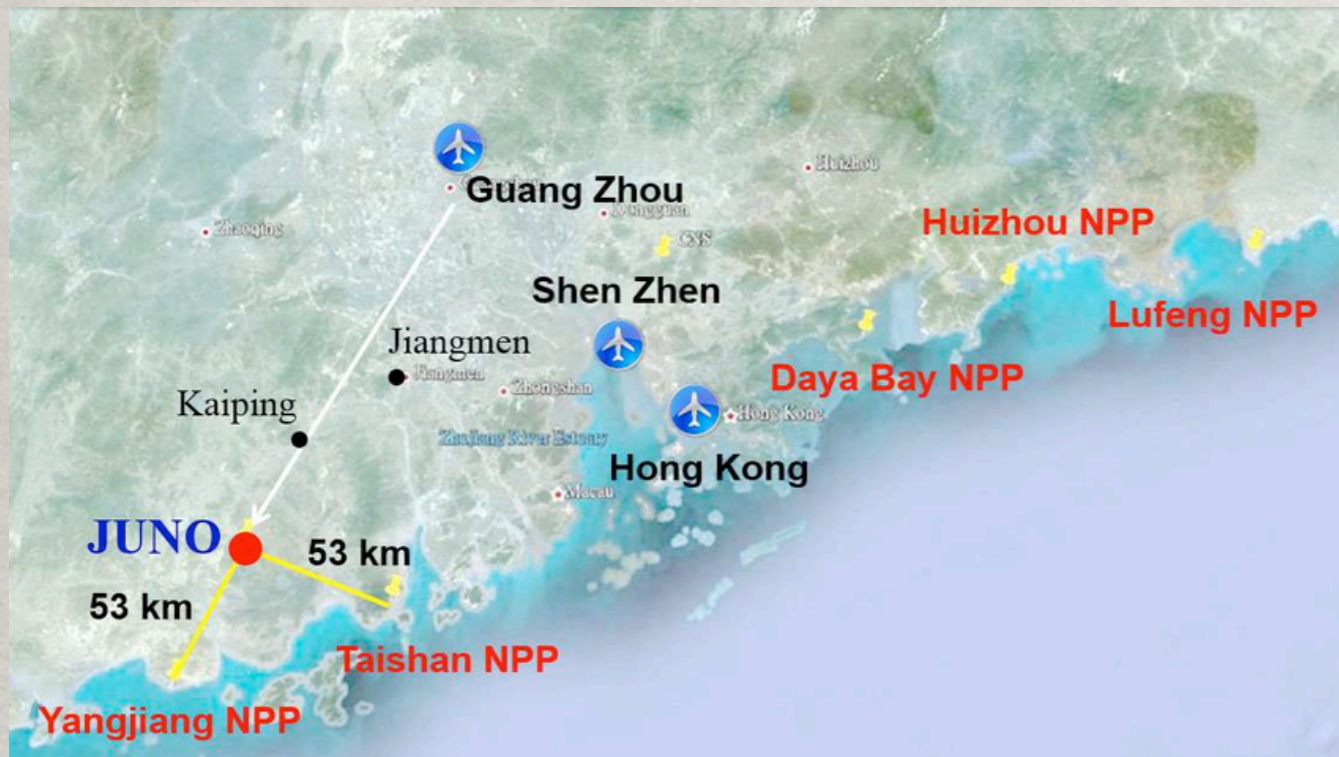


# JUNO

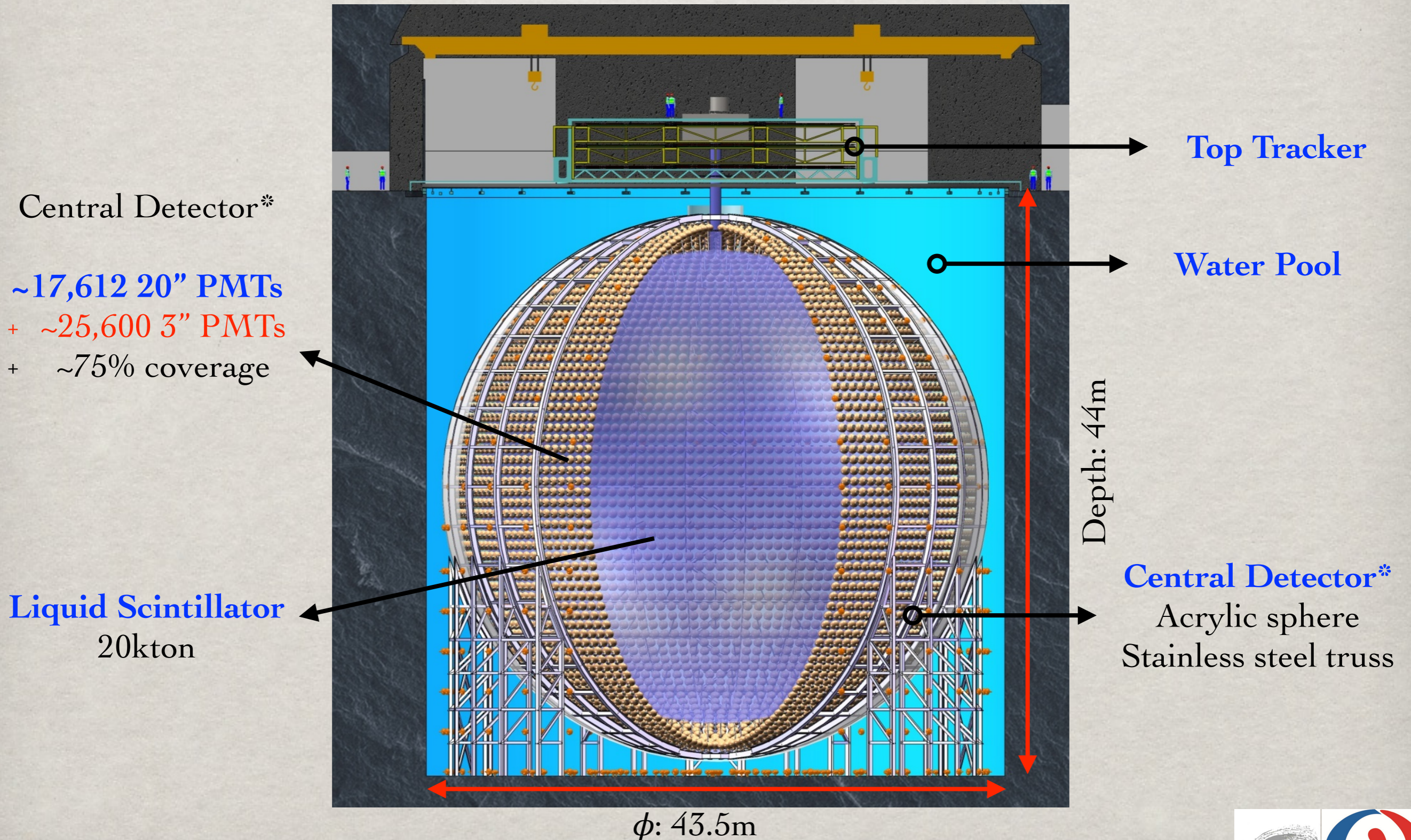
☀ Jiangmen Underground Neutrino Observatory(JUNO):

- ☀ Determine the neutrino mass ordering
- ☀ Measure neutrino oscillation parameters to sub-percent level
- ☀ SuperNova, Solar, Atm. Geo. etc

	DETECTOR TARGET MASS	ENERGY RESOLUTION
KamLAND	1000 t	6%/√E
D. Chooz	8+22 t	8%/√E
RENO	16 t	
Daya Bay	20 t	
Borexino	300 t	5%/√E
<b>JUNO</b>	<b>20000 t</b>	<b>3%/√E</b>

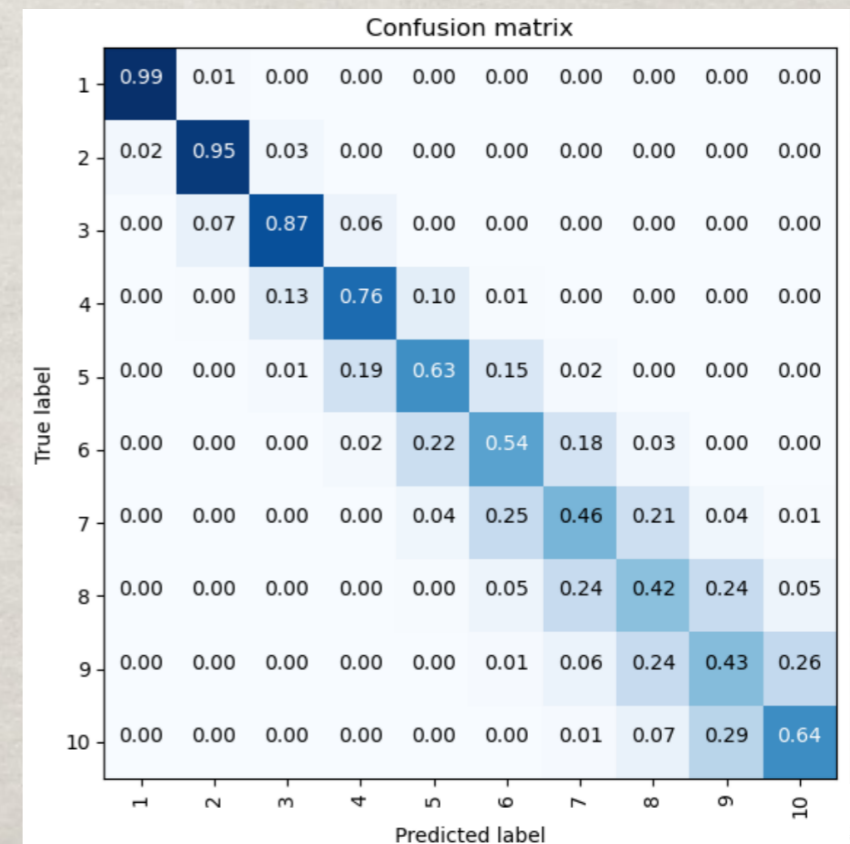
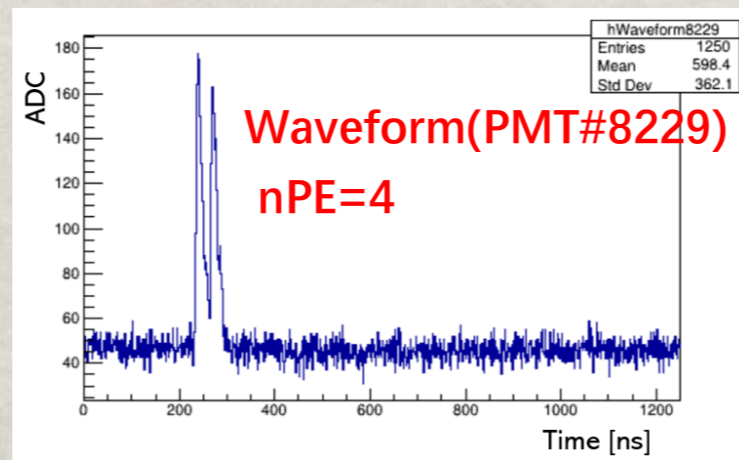
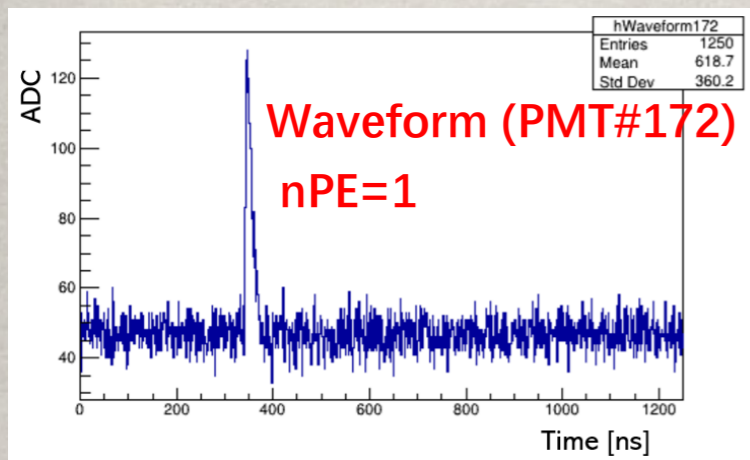


# DETECTOR



## PMT WAVEFORM RECO I

- ☀ **Classification:** photon counting
- ☀ **Model:**
  - ☀ resembles speech recognition
  - ☀ **RawNet:** one of the most influential DNN model designed for speech recognition
  - ☀ takes 1D waveform as input

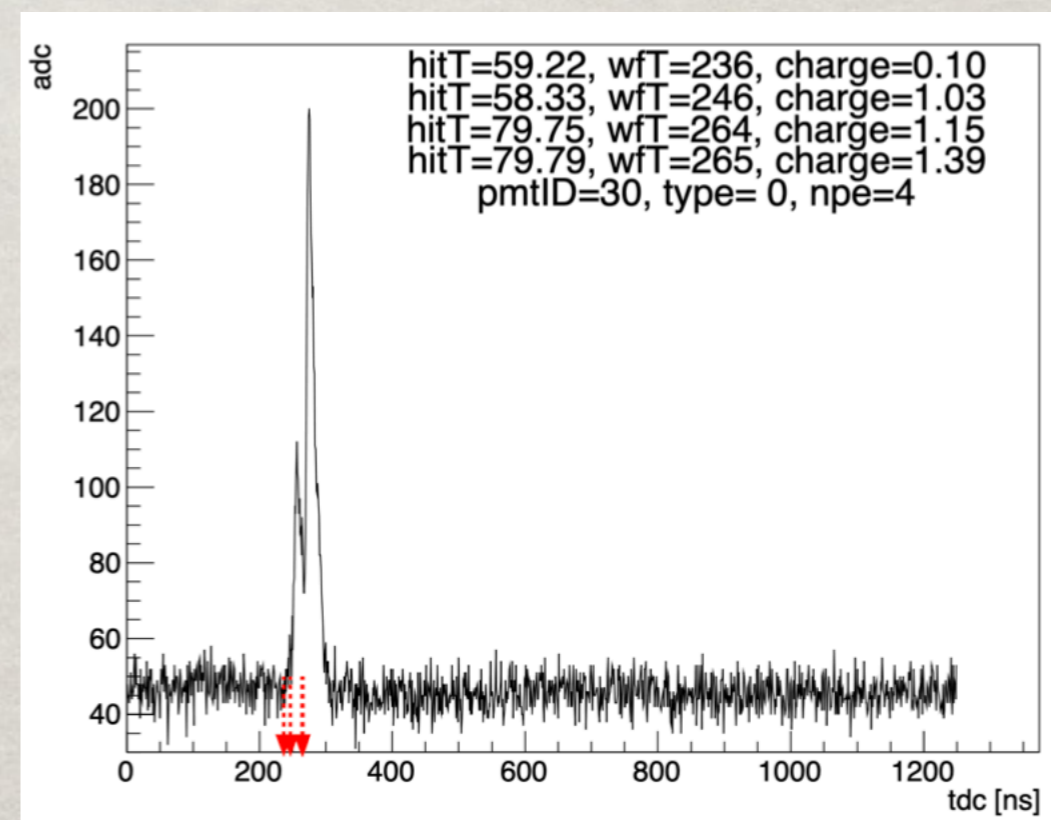
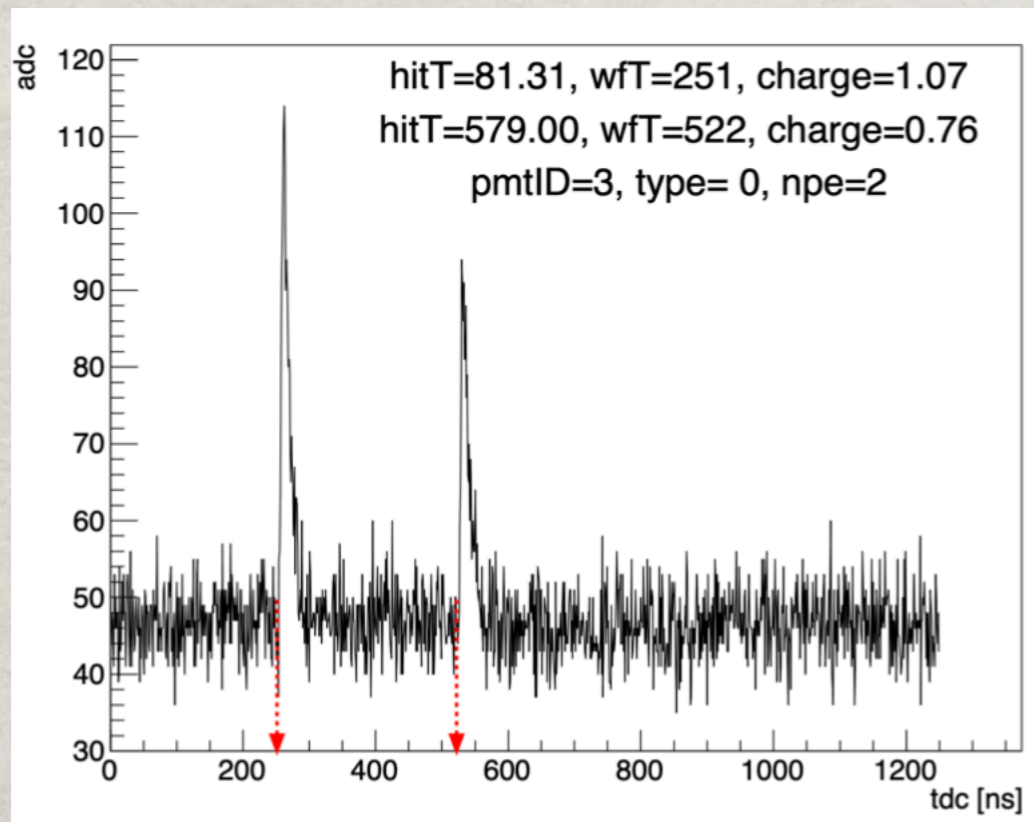




# PMT WAVEFORM RECO II

## ☀ Regression:

- ☀ easy: total charge or first hit time 😊
  - ☀ difficult: charge and time for the first 5 or 10 pulses 🌀
  - ☀ super difficult: charge and time for each pulse 😱
- ☀ Method: 1D waveform + CNN



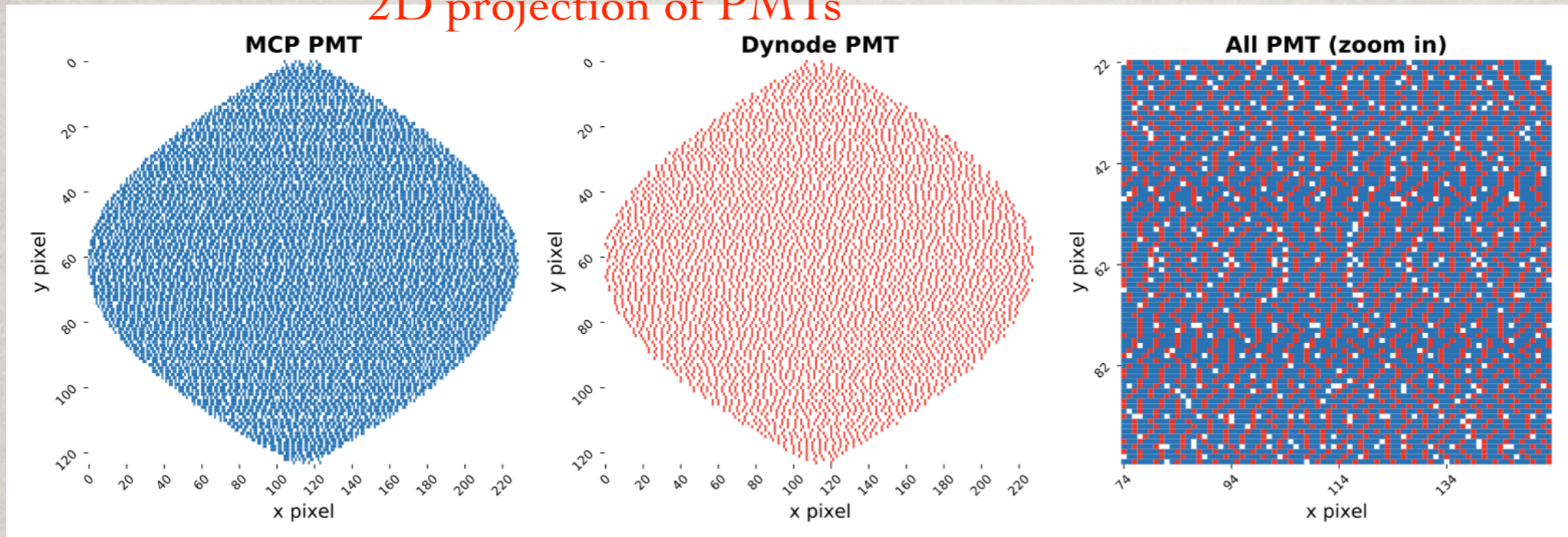
# VERTEX RECO

- ✱ **Goal:** vertex reco for  $e^+$  in  $[0-10]$  MeV region
- ✱ **Principle:** PMTs charge&time (both highly vertex dependent)  $\rightarrow$  vertex
- ✱ **ML based Methods:**
  - ✱ inputs: each PMT as a pixel  $\rightarrow$  images
  - ✱ models: Plane or Spherical CNN

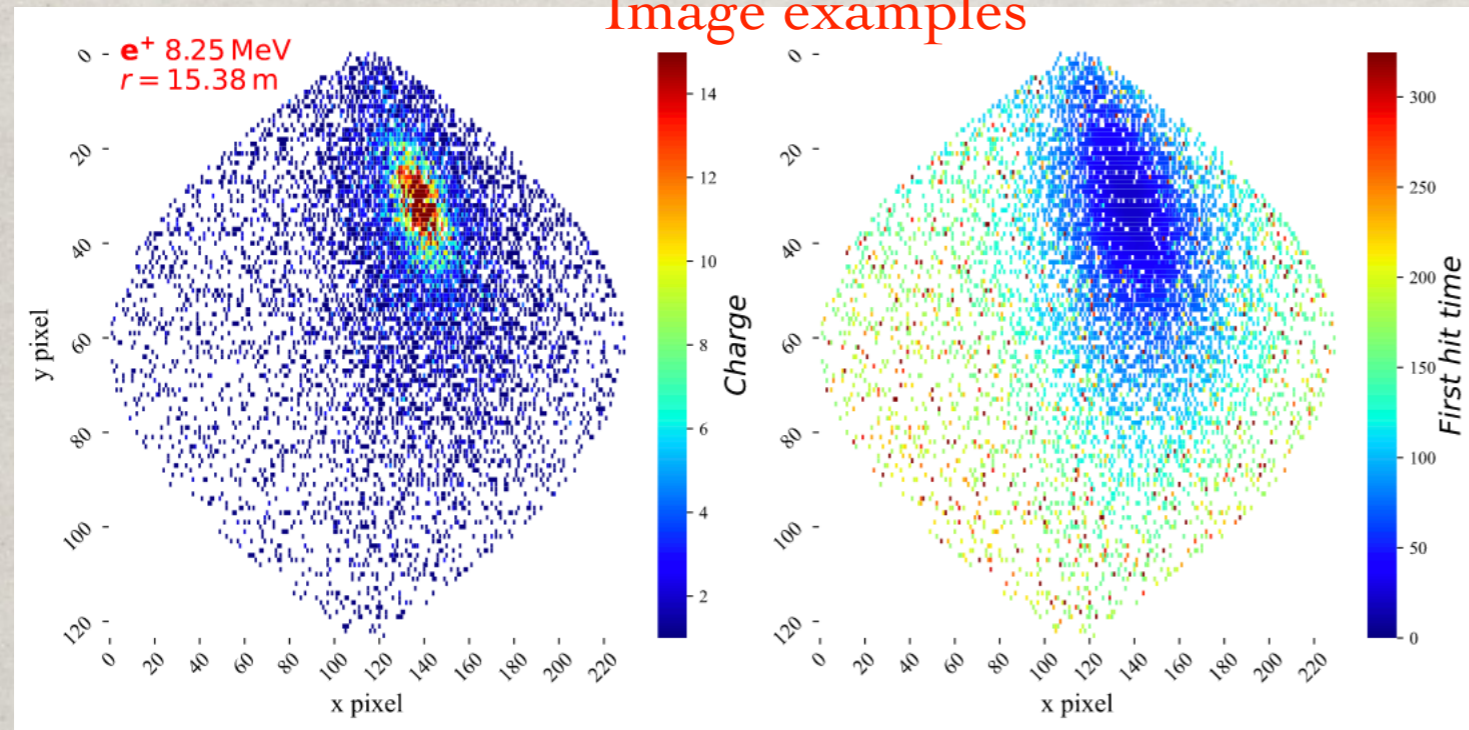


# 1. PLANE MODELS

## 2D projection of PMTs



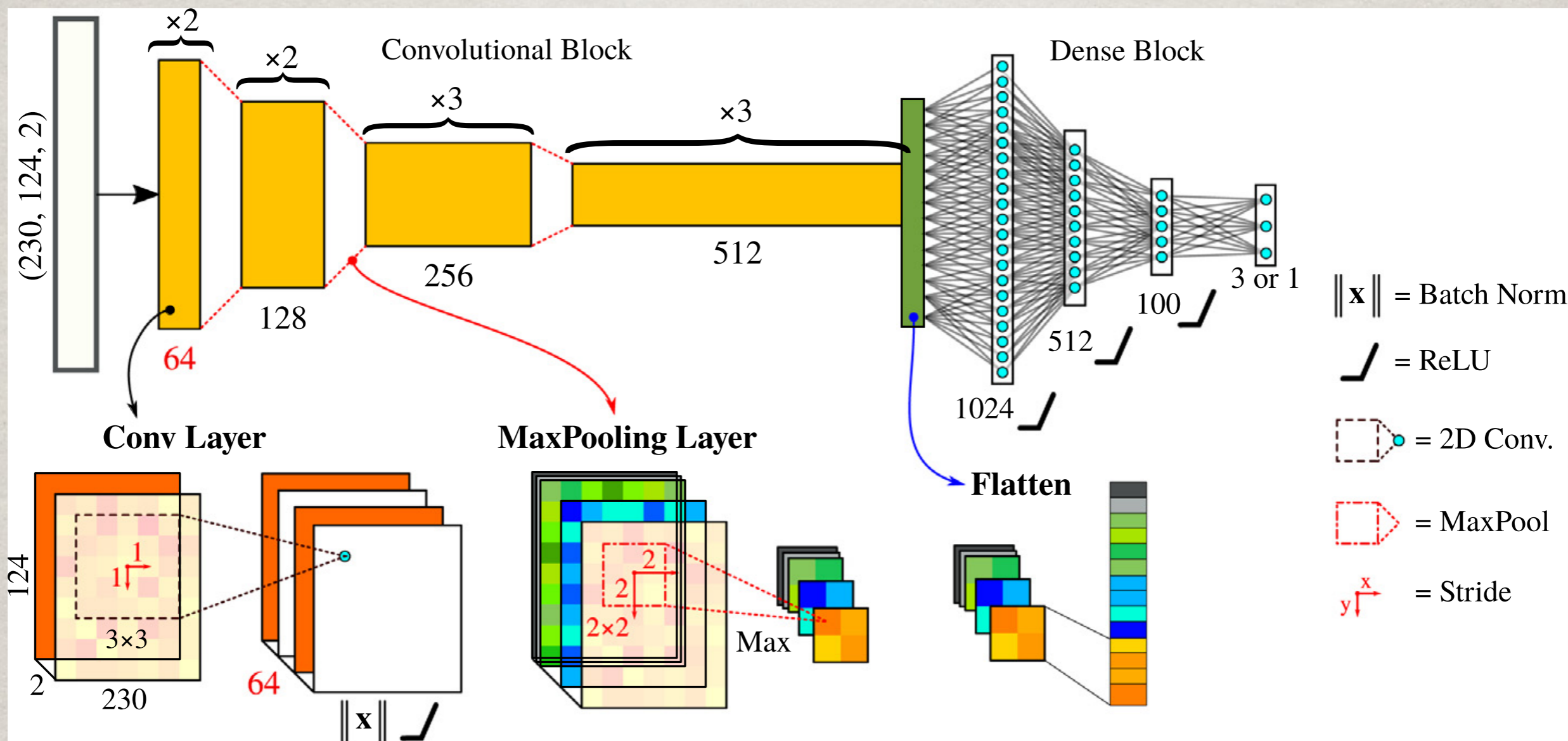
## Image examples



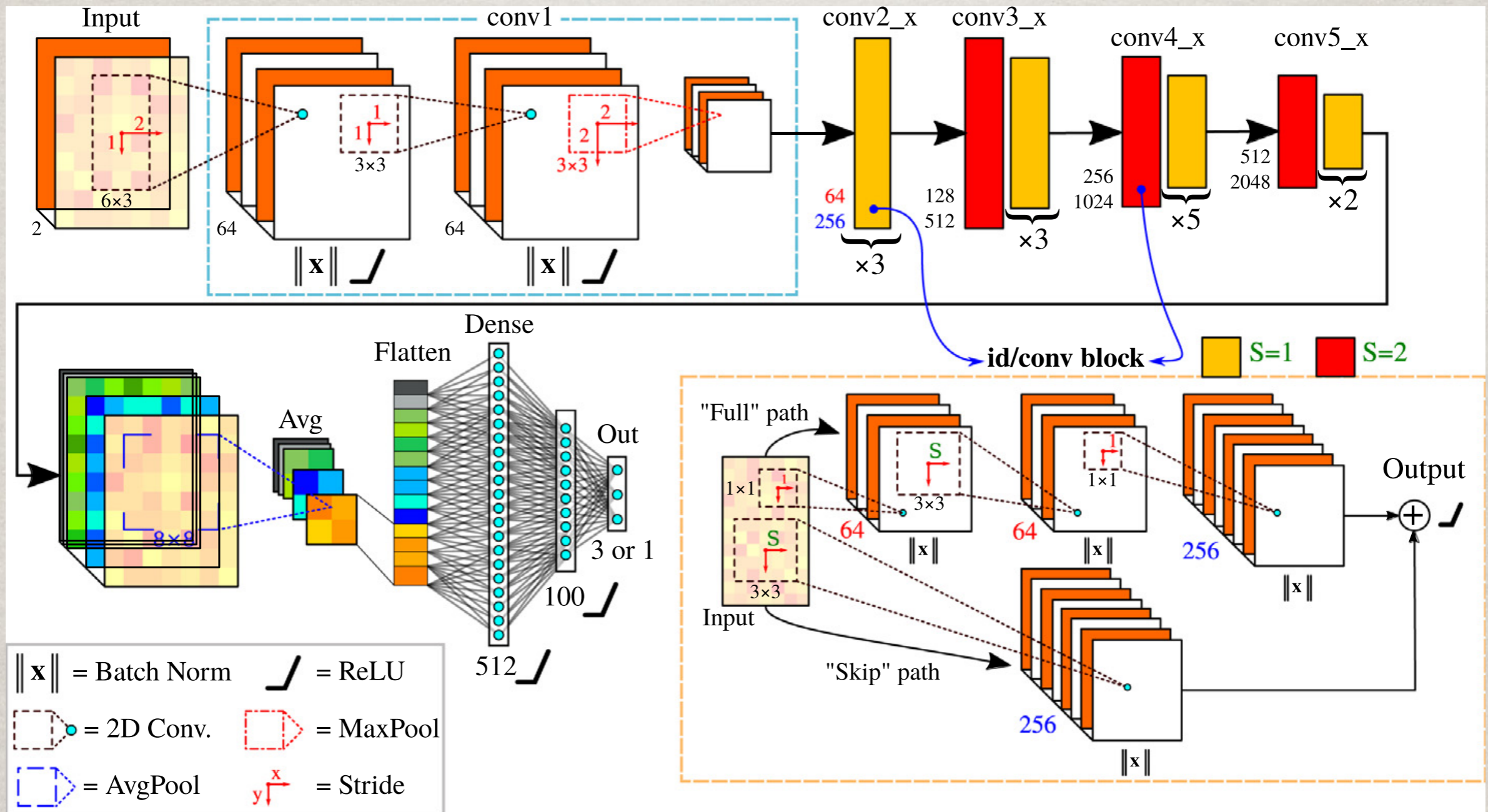
- Remarks: inputs optimization
1. separate different types of PMTs
  2. add info of later hits

Pros and Cons

# MODELS: VGGG-J

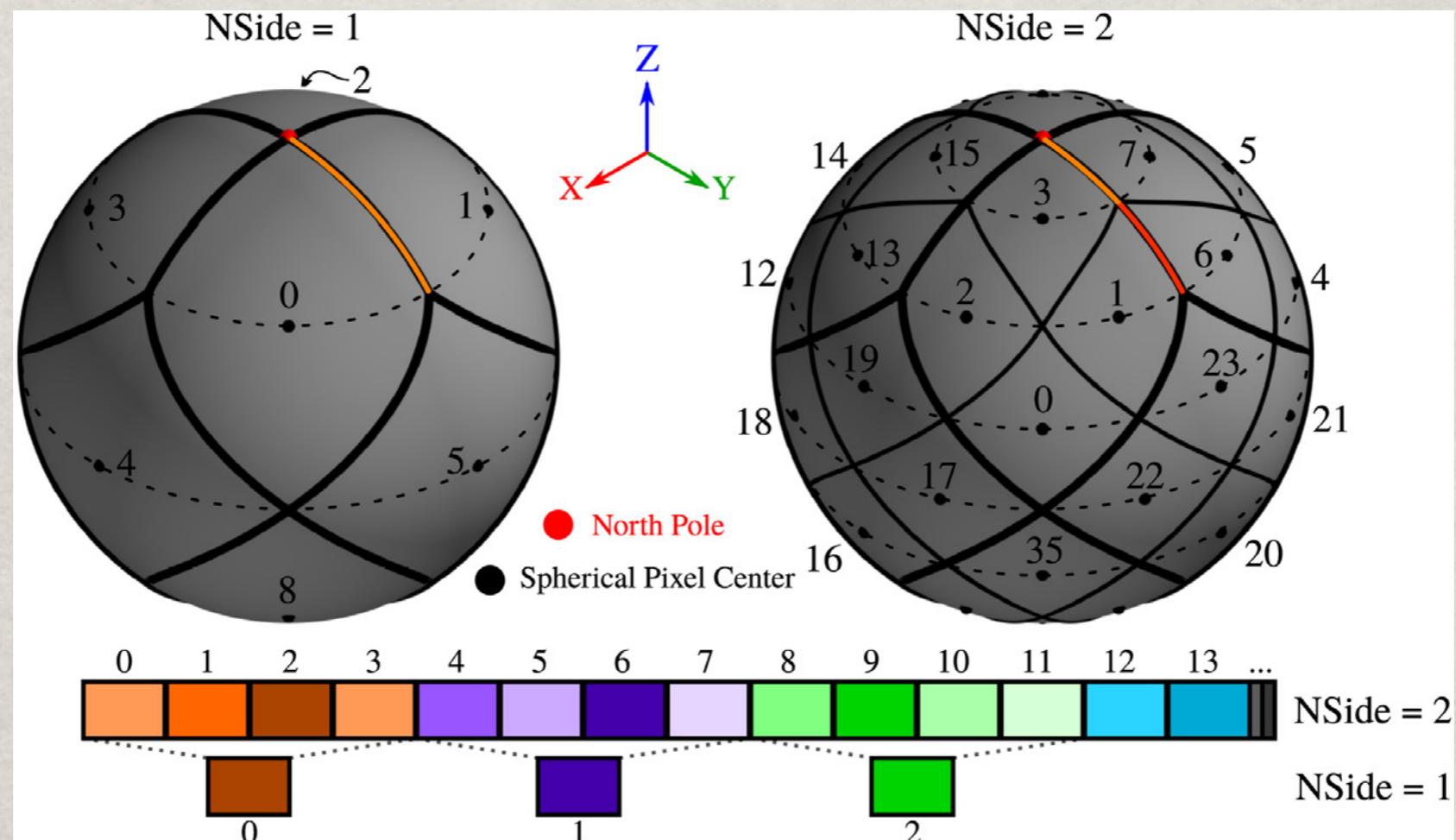


# MODELS: RESNET-J



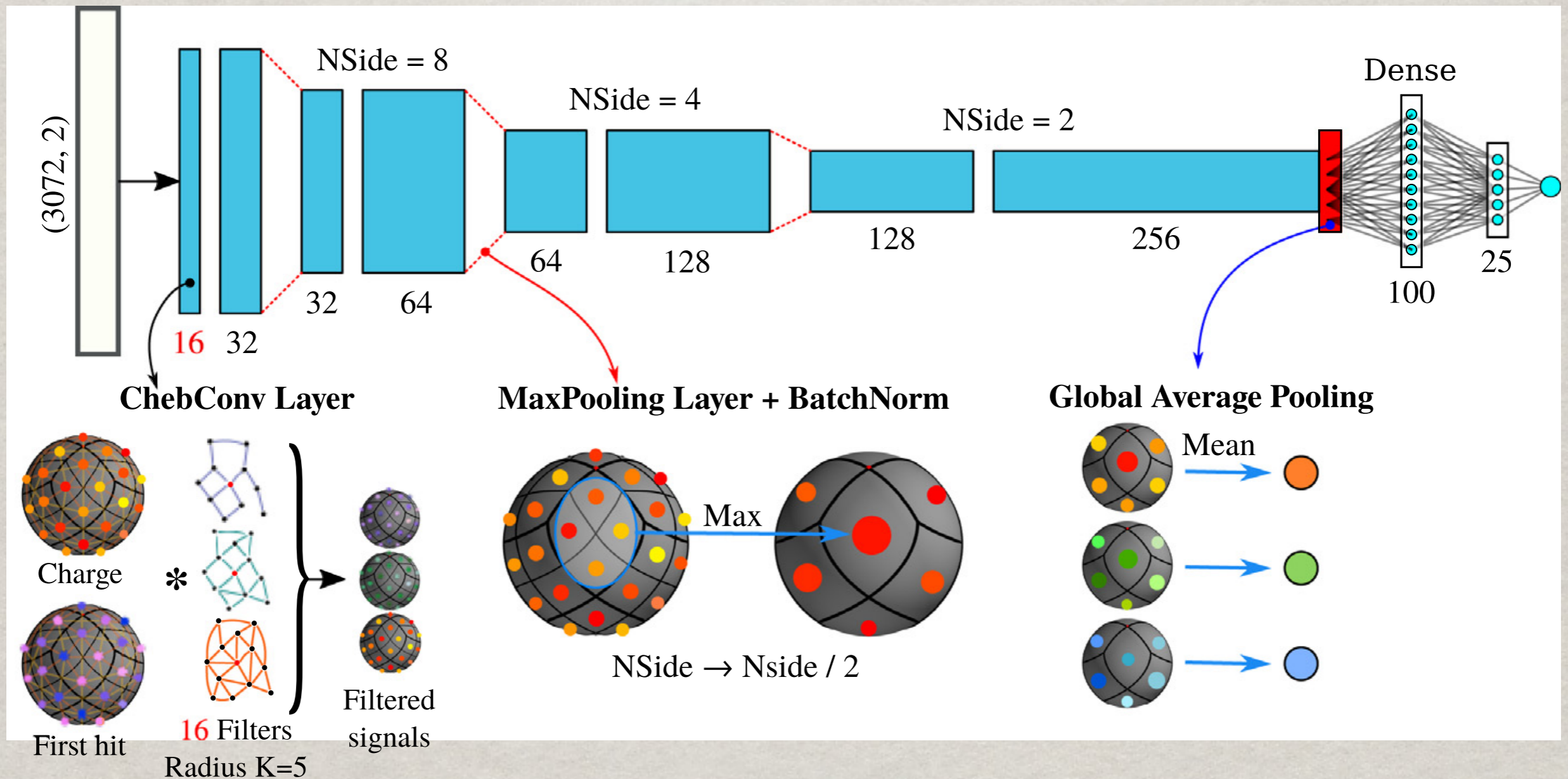
# 2. SPHERICAL MODELS

- ☀ **HEALPix**  $\rightarrow$  spherical CNN
  - ☀ Borrowed from Astro. Phys.
  - ☀ Pixelization of a sphere
- ☀ Many other spherical models...



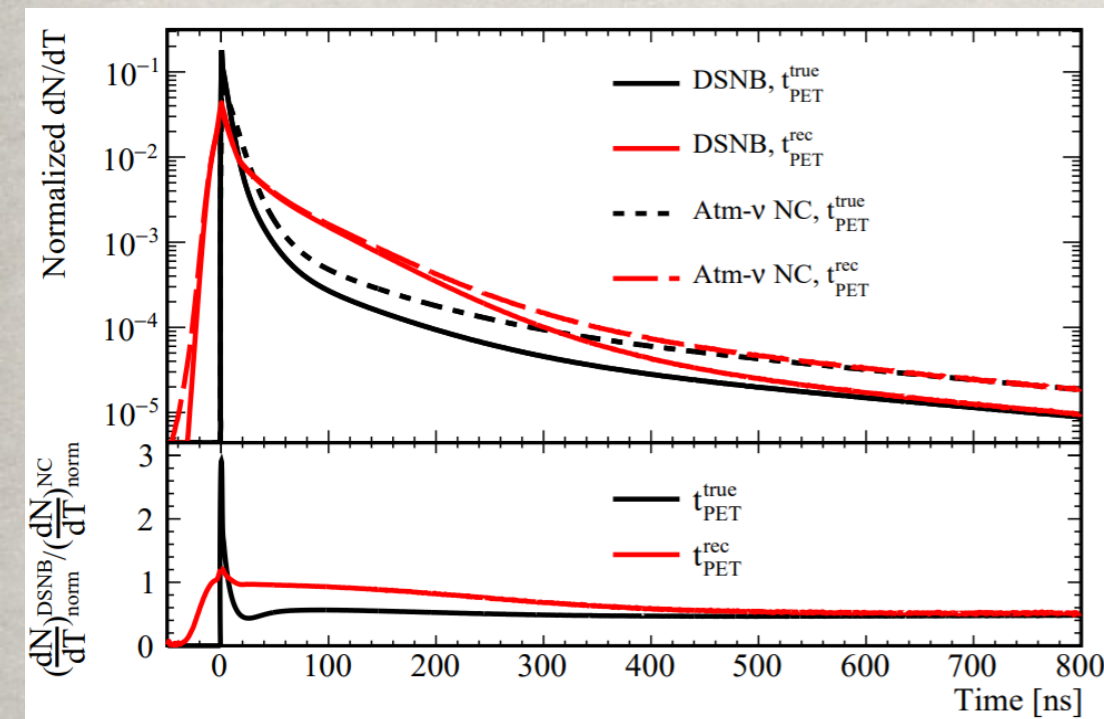
# MODELS: GNN-J

N<sub>Side</sub> = 16



# PARTICLE IDENTIFICATION

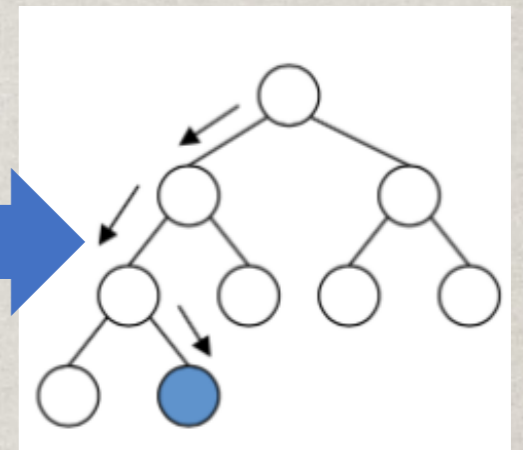
- ☀ **Goal:** Pulse Shape Discrimination ( $\gamma/e/e^+$ , vs proton/neutron)
- ☀ **Principle:** different scintillation timing profile
- ☀ **Method:** BDT or NN



Method ①  
(BDT)

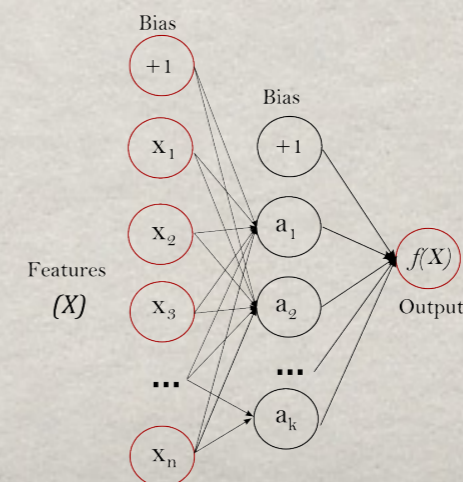
$\tau_1$	$W_r$
$\tau_2$	$W_f$
$\eta$	$R_{peak}$
$n_{dark}$	$R_{tail}$

$R^3$



Method ②  
(NN)

Multi-layer Perceptron Classifier





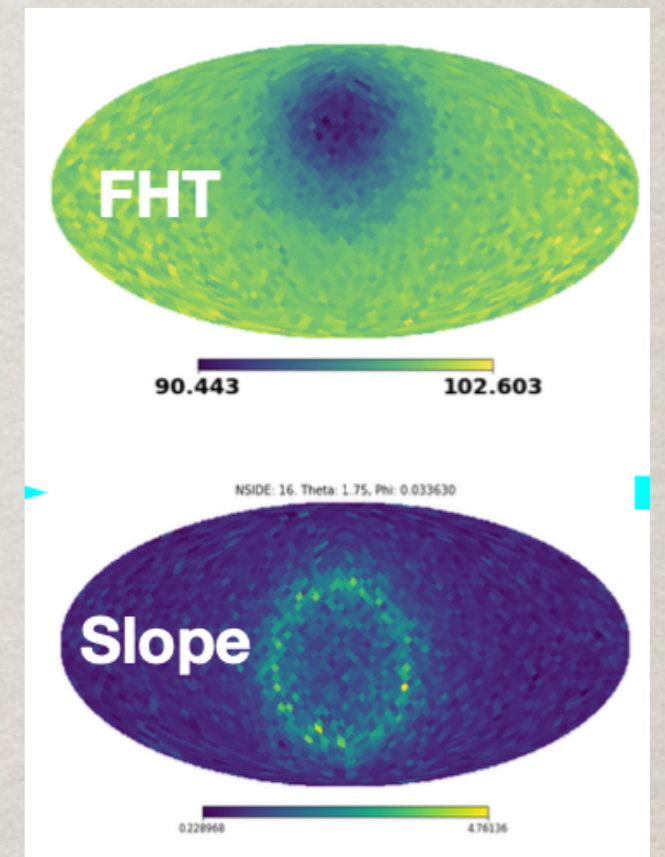
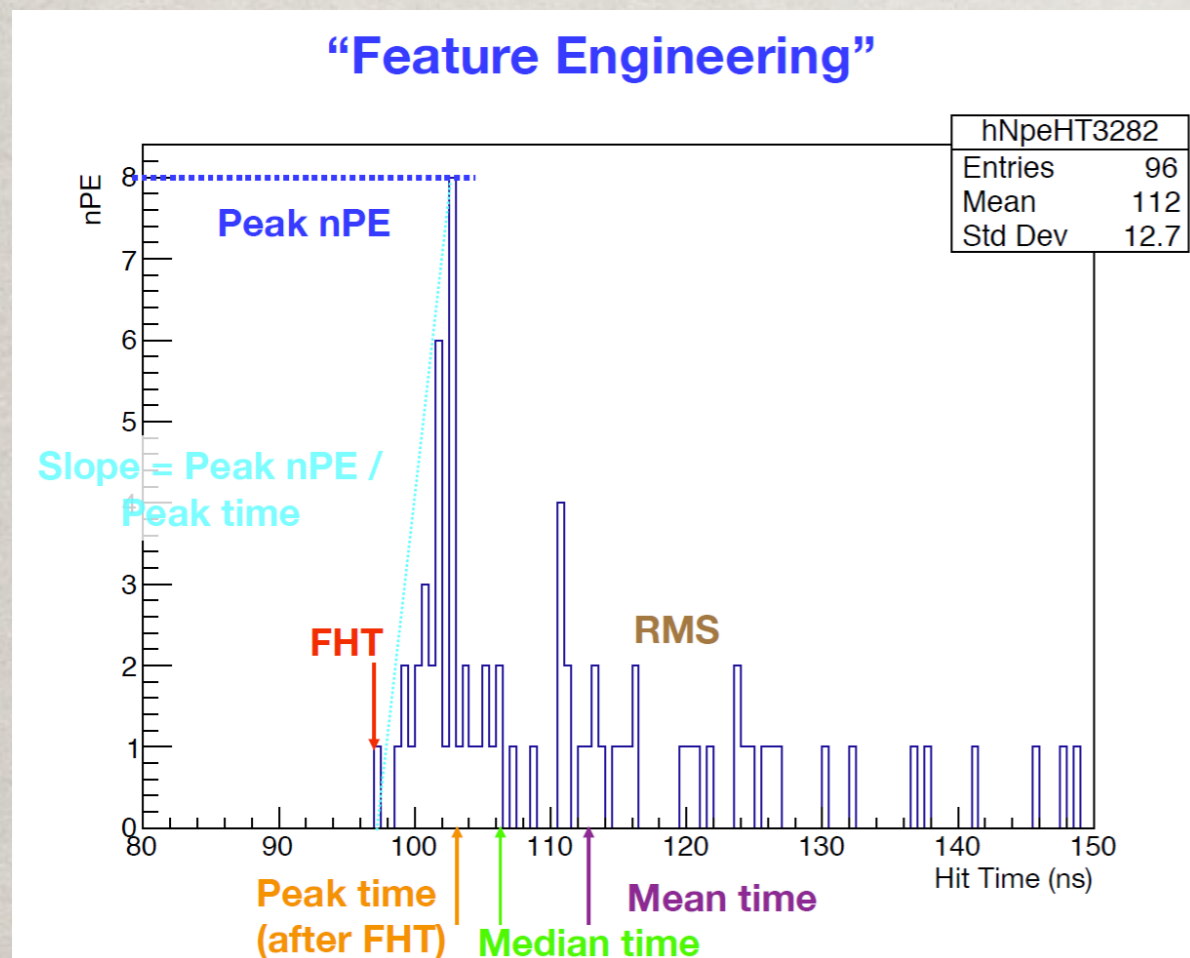
## ATMOSPHERIC $\nu$ - I

- ✱ Detector signatures for Atm.  $\nu$  in LS
  - ✱ Prompt signal: high energy  $\mu/e/\pi/p\dots$ 
    - ✱ track or shower
  - ✱ Delayed signals: neutron capture, Michel electrons...
- ✱ **Goal:** directionality reconstruction
- ✱ **Principle:** event info hidden in PMT waveforms
- ✱ **Methodology**
  - ✱ Step1: feature extraction from PMT waveforms
  - ✱ Step2: feed features into ML models



# INPUTS

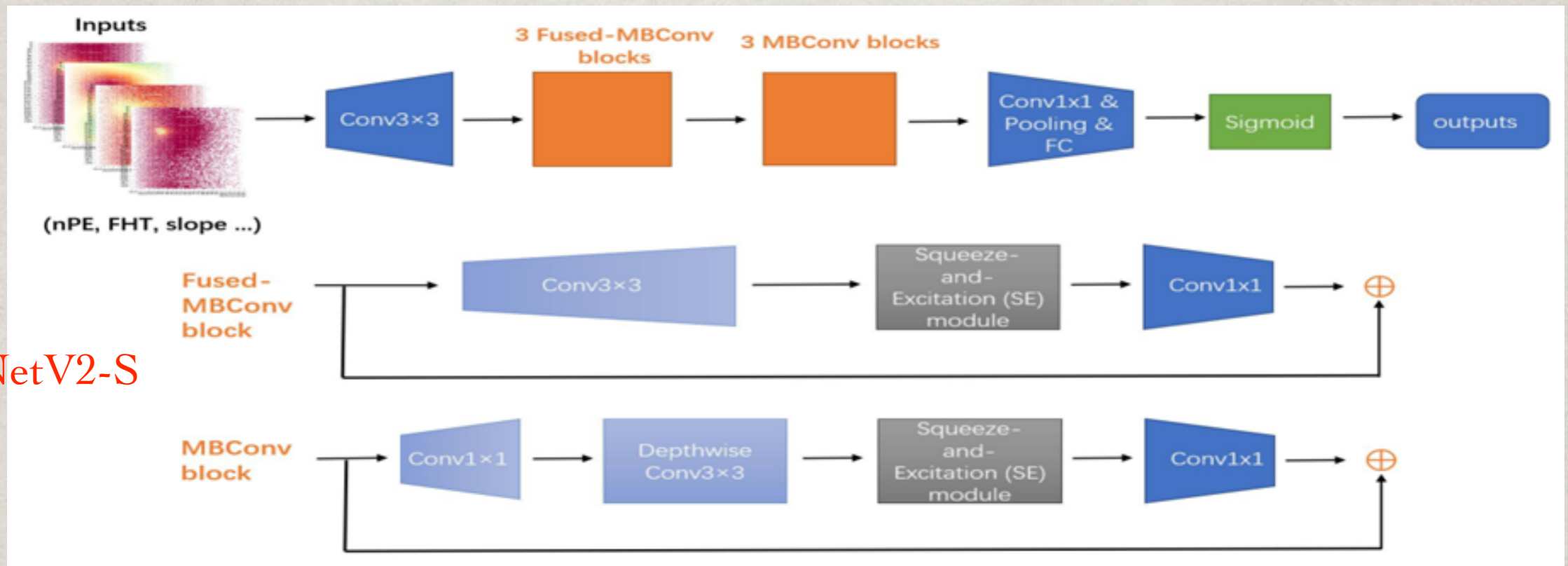
☼ Extract “features” from PMT waveforms



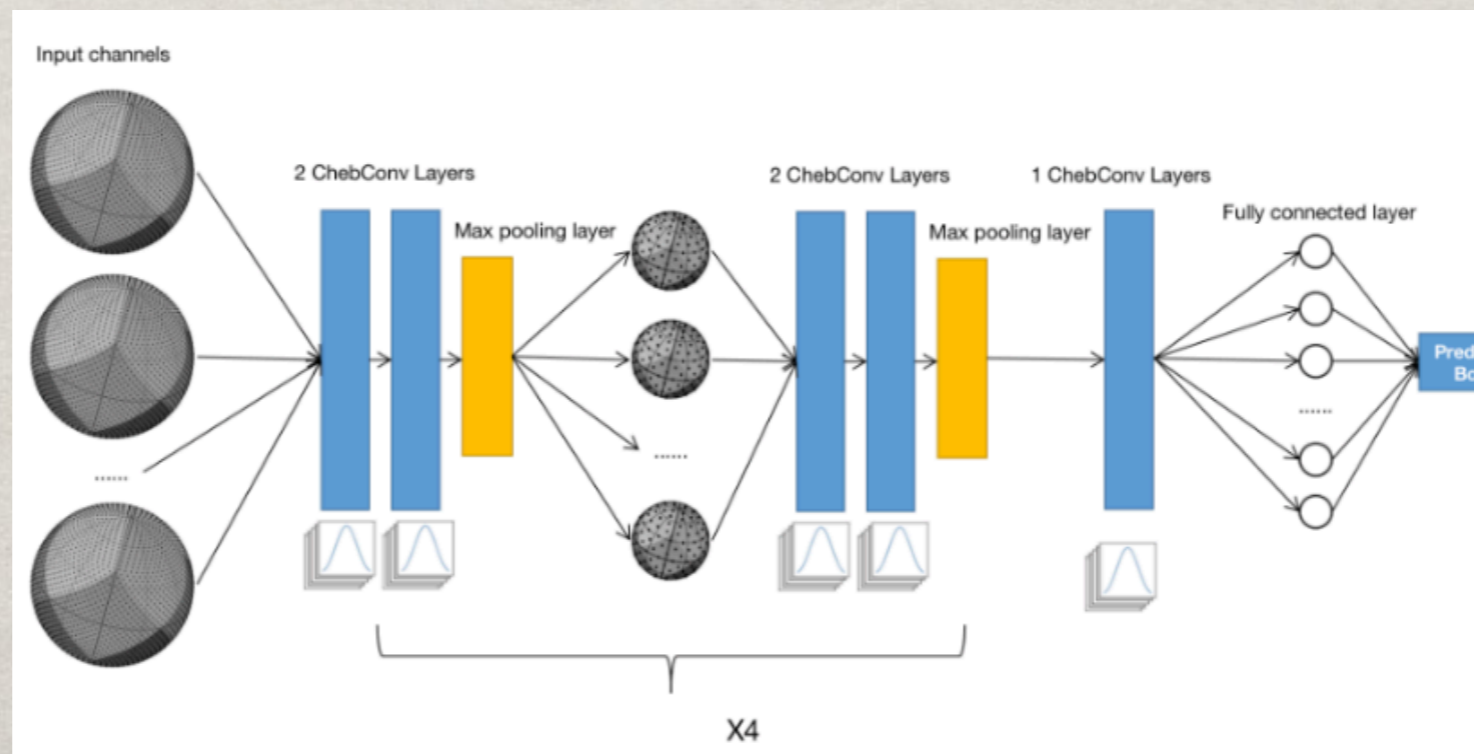
+ more...

# MODELS

EfficientNetV2-S



DeepSphere



# ATMOSPHERIC $\nu$ - II

- ✱ **Goal:** Particle Identification,  $\nu_{\mu}$  vs  $\nu_e$  vs NC;  $\nu$  vs  $\bar{\nu}$
- ✱ Principle: different event topology
  - ✱ track or shower for prompt signal
  - ✱ different particles in delayed signals: neutrons, Michael electrons
- ✱ Method: mixed model
  - ✱ features + variables



# ISSUES

- ✿ Image vs video: how to use the temporal info
- ✿ Sparse data: lots of un-fired PMTs
- ✿ Spherical detector
- ✿ MC and data discrepancy
- ✿ ML related systematics uncertainties
- ✿ Multi-target reco, 如何信息分割
- ✿ And more...



# MANPOWER

- ✻ 职工：罗武鸣（50%），方文兴（40%）
- ✻ 博后：刘震（100%），未来再招1人
- ✻ 学生若干



# REQUESTS???

- ✻ GPU 资源
- ✻ 业界机器学习最新动态和最优模型
  - ✻ e.g. 语音/图像识别，降噪，视频处理etc
- ✻ 其他高能实验/探测器最新进展
  - ✻ 组织学习/借鉴
- ✻ 人力，基金



# PLAN

- ✱ 底层重建/鉴别
- ✱ Cosmic muon track & shower point reco
- ✱ 多点鉴别/重建, 信息分割
  - ✱  $^{14}\text{C}$  &  $e^+$
  - ✱ PMT dark noise de-noising
  - ✱ annihilation & kinetic energy separation
  - ✱ Cherenkov & Scintillation photons separation





# PLAN CONT.

- ✱ 物理分析方面
- ✱ 快速事例分类: cosmic muons, atm. neutrinos
- ✱ 关联事例挑选: e.g. encoder—decoder
  - ✱ IBD快慢信号符合
  - ✱ Cosmic Muon & induced isotopes
- ✱ 信号/本底的TMVA fitting
- ✱ More...



# SUMMARY

- ✿ ML at JUNO is in the early stage
- ✿ Lots of applications as well as challenges
- ✿ Look forward to all the activities in this ML@IHEP forum



# REFERENCES

- ✿ Vertex and energy reconstruction in JUNO with machine learning methods
  - ✿ <https://www.sciencedirect.com/science/article/pii/S016890022100512X?via%3Dihub>
- ✿ Improvement of machine learning-based vertex reconstruction for large liquid scintillator detectors with multiple types of PMTs
  - ✿ <https://link.springer.com/article/10.1007/s41365-022-01078-y>
- ✿ RawNet: <https://arxiv.org/abs/1904.08104>

