

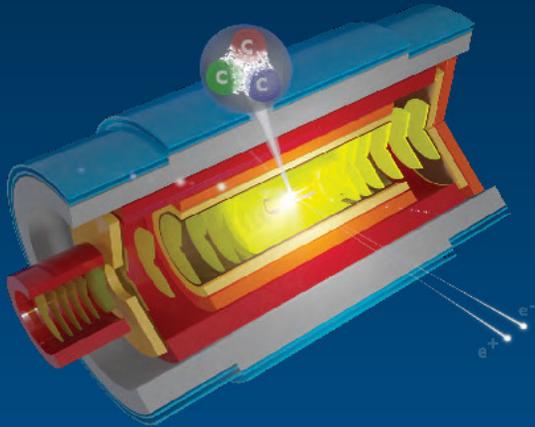
**ALICE 3**  
Letter of intent

CERN-LHCC-2022-009  
(LHCC-I-038)  
4 November 2022



A next-generation heavy-ion  
experiment at the LHC

VERSION 2



[arXiv:2211.02491](https://arxiv.org/abs/2211.02491)

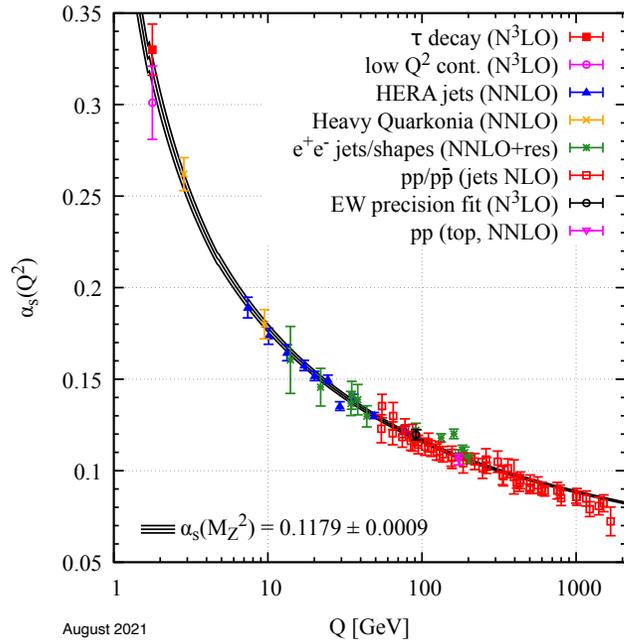
# ALICE 3 - A new horizon for QCD

Anthony Timmins

*RHIC-BES seminar series, June 27th 2023*

# Hallmarks of Quantum Chromodynamics (QCD)

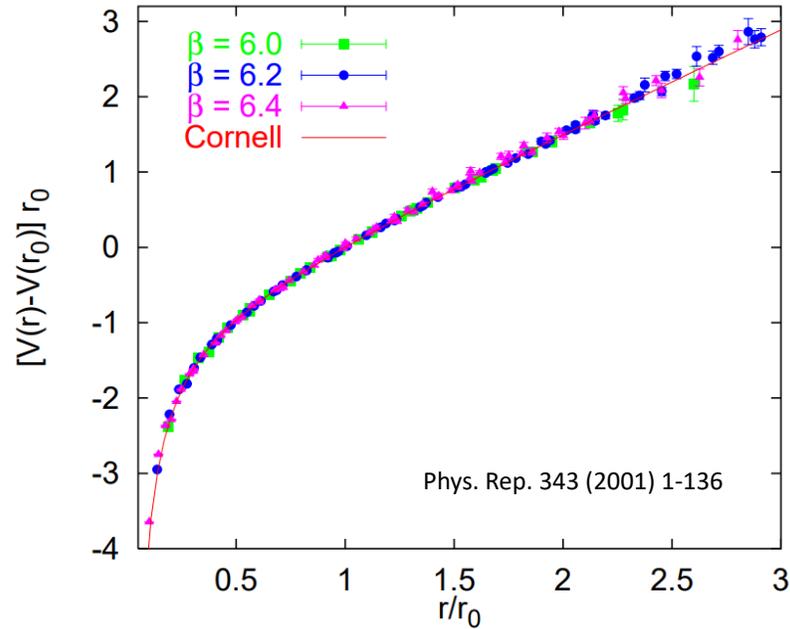
2004 Nobel Prize



## Asymptotic freedom

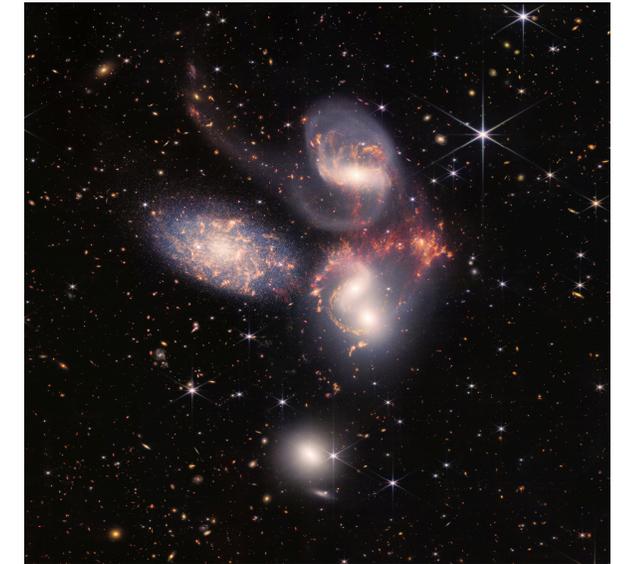
Vanishing of strong force at high energy

2008 Nobel Prize



## Confinement

Quarks are never alone



## Chiral symmetry breaking

Origin of 95% of observable mass in universe

# Unlocking the QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = \sum_{q=u,d,s,\dots} \bar{\psi}_{qi}(x) [(i\gamma_{\mu}D^{\mu})_{ij} - m_q\delta_{ij}] \Psi_{qj}(x) - \frac{1}{4}F_{\mu\nu}^a(x)F^{\mu\nu a}(x)$$

Can be **approximated in high energy limit** - [perturbative QCD](#)

✓ E.g. Running of strong coupling  $\alpha_s$ , cross sections of hard processes

Can be **partially solved in low energy limit** - [Lattice QCD](#)

✓ E.g. QCD potential at large distances, mass of hadrons

**Untractable** for **dynamical low energy processes**

✓ E.g. Space-time structure of hadron/nuclear structure, hadron formation..

Q momentum exchange

**High energy**

$Q \gg \lambda_{\text{QCD}} = 200 \text{ MeV}$

**Low energy**

$Q \sim \lambda_{\text{QCD}}$

# Emergence of the Quark-Gluon Plasma

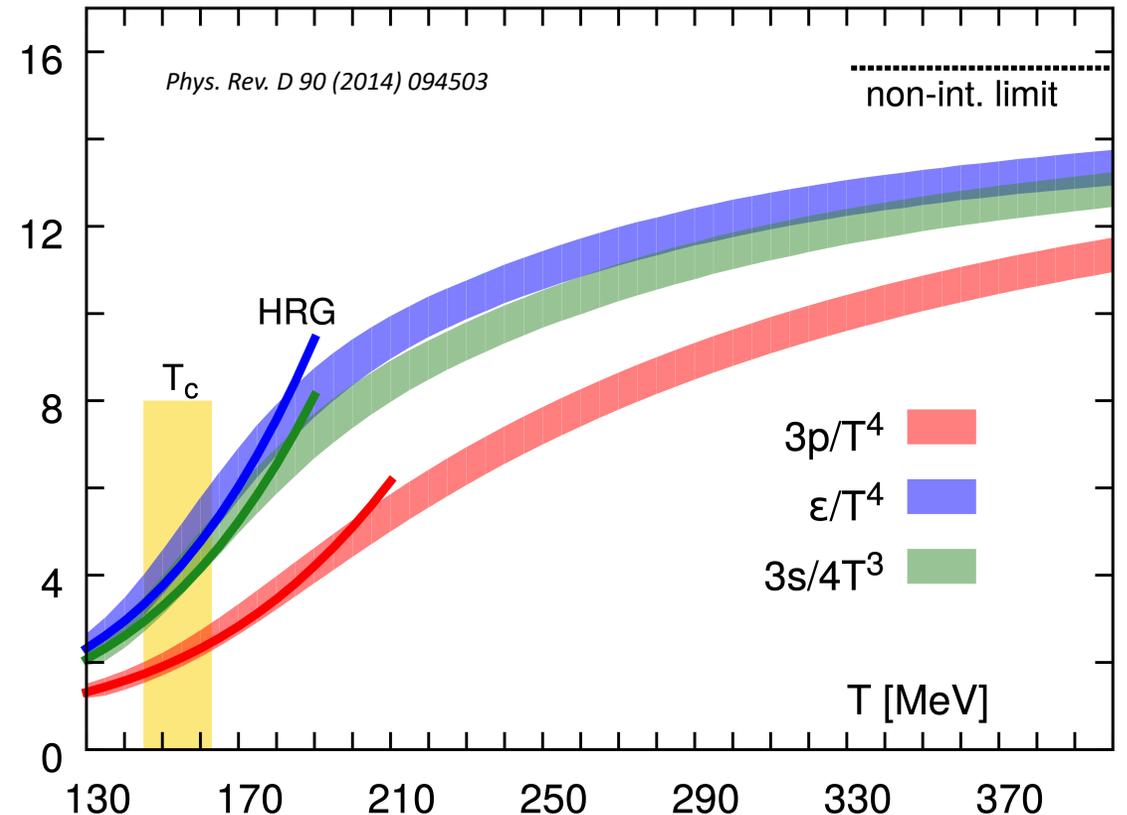
Lattice QCD predicts **rapid change in hadronic thermodynamic properties** around  $T_c = 156$  MeV of many-body system

Formation of **Quark-Gluon Plasma (QGP)**

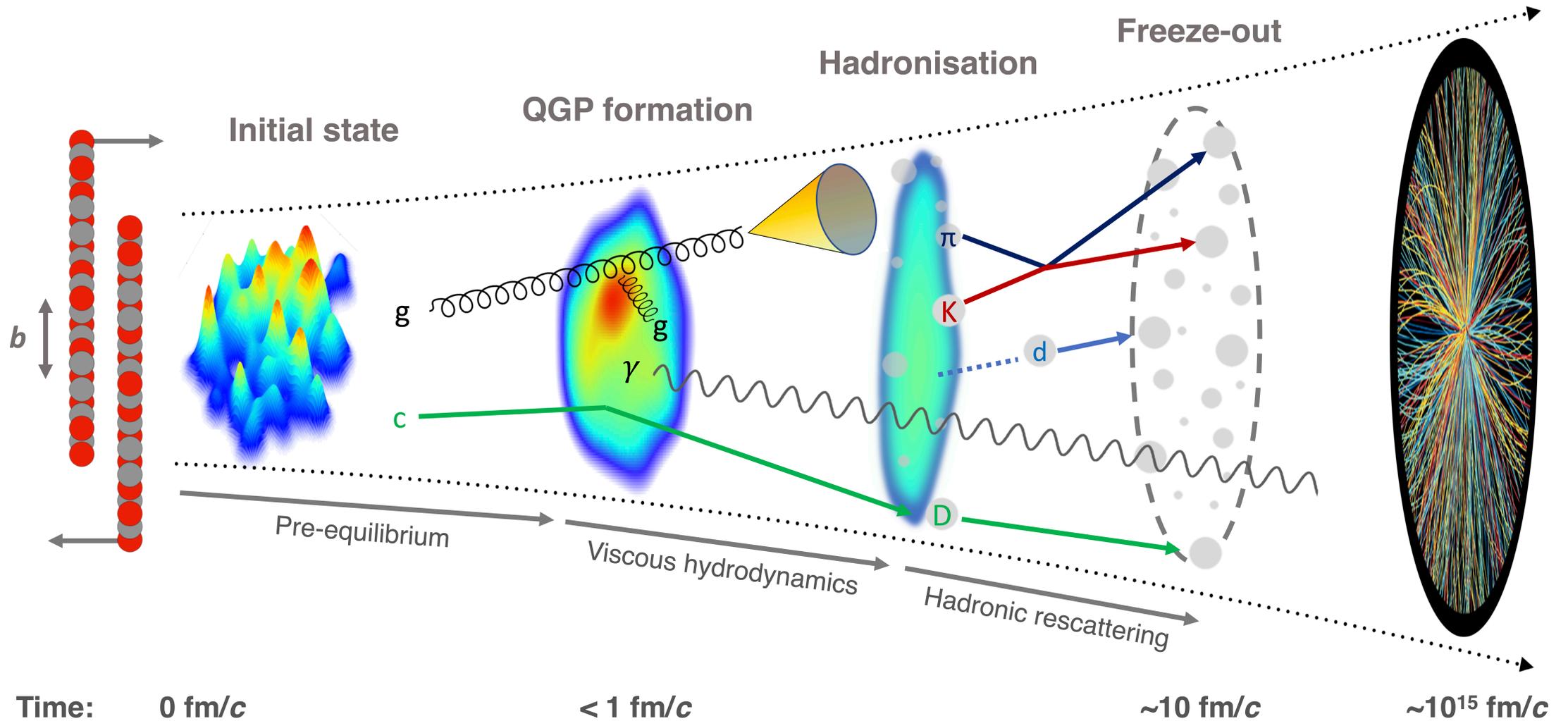
✓ Quarks & gluons no longer confined

**Crossover phase transition** for matter-antimatter symmetric system

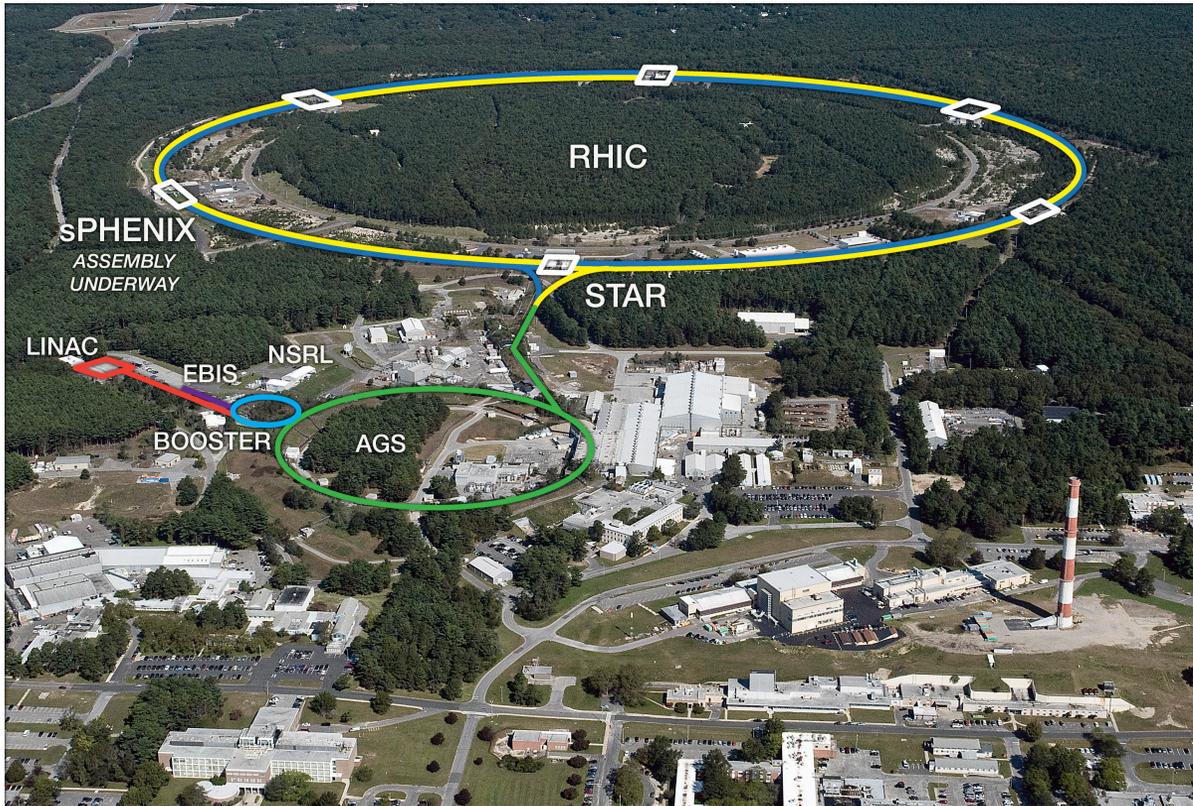
✓ Accompanied by **Chiral Symmetry Restoration**



# “Standard Model” of Heavy-Ion collisions



# Machines that collide heavy-ions



**Relativistic Heavy-Ion Collider (RHIC)**

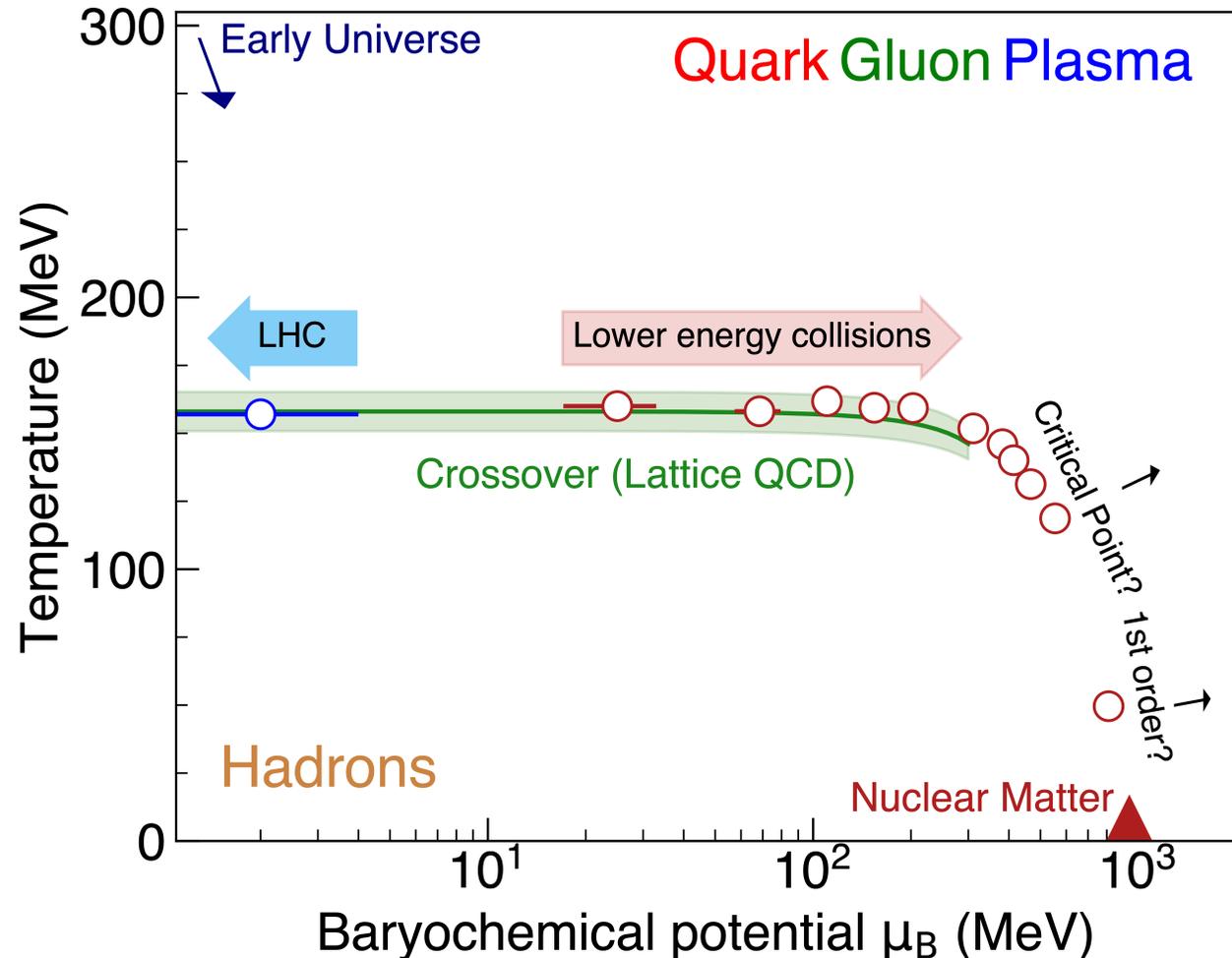
Brookhaven National Lab (BNL), New York



**Large Hadron Collider (LHC)**

CERN, Geneva, Switzerland

# The QCD Phase Diagram



QGP produced at LHC has **highest temperatures** and **largest matter-antimatter symmetry**

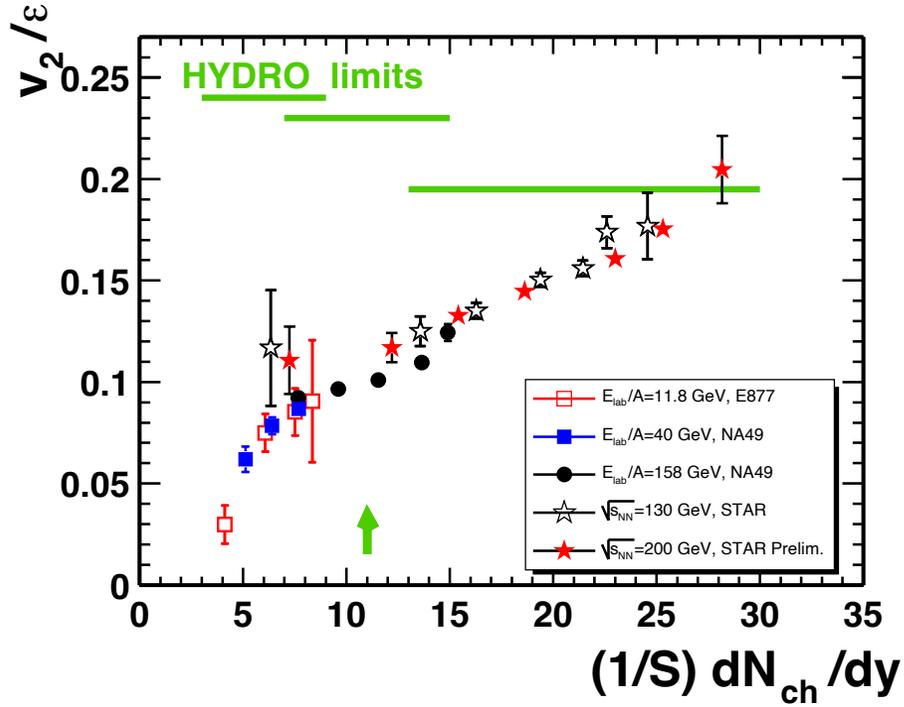
✓ Early universe in this state  $\sim 10^{-6}$  seconds after big bang

Ongoing dedicated high energy program at RHIC

✓ STAR and new sPHENIX detector

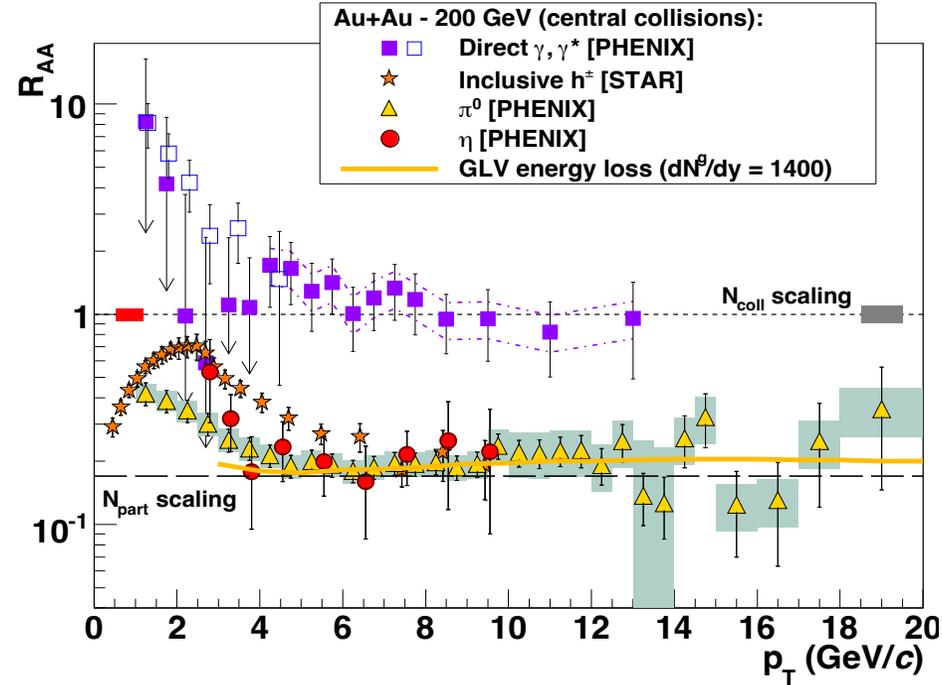
Lower energies at SPS (CERN), RHIC, FAIR, NICA search for **QCD critical point** and **thresholds of QGP** formation

# Major QGP discoveries at RHIC (2000s)



**Perfect liquid**

Elliptic flow achieves hydrodynamic limit



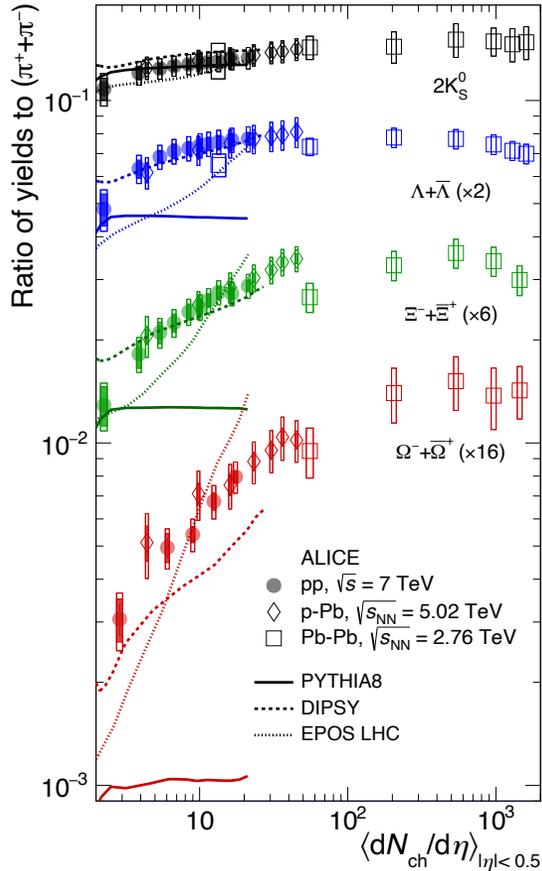
**High-momentum hadron suppression**

Partons lose energy in the QGP

[RHIC Scientists Serve Up 'Perfect' Liquid](#)

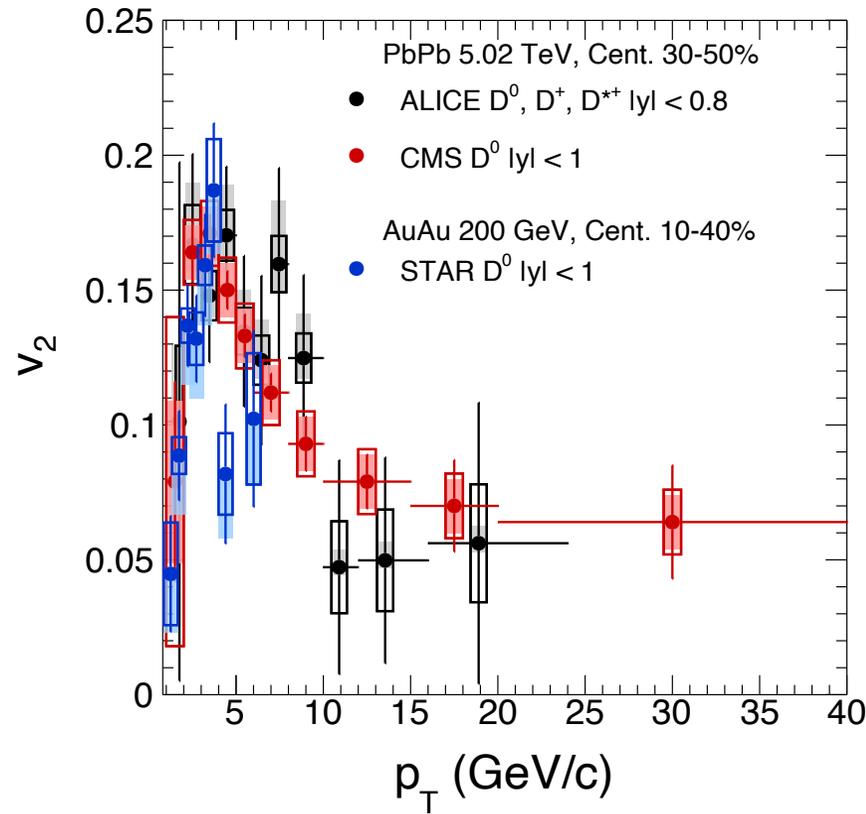


# QGP discoveries at RHIC and LHC (2010s)



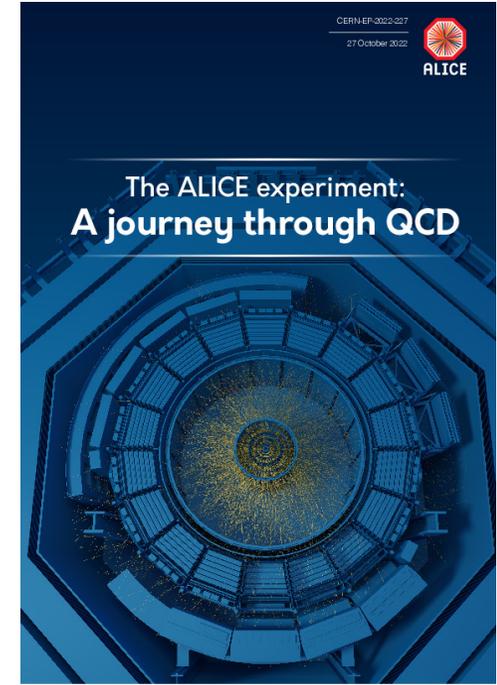
**QGP is everywhere?**

Small systems exhibit QGP behavior



**Charm flow and quenching**

Heavy quarks couple with QGP medium



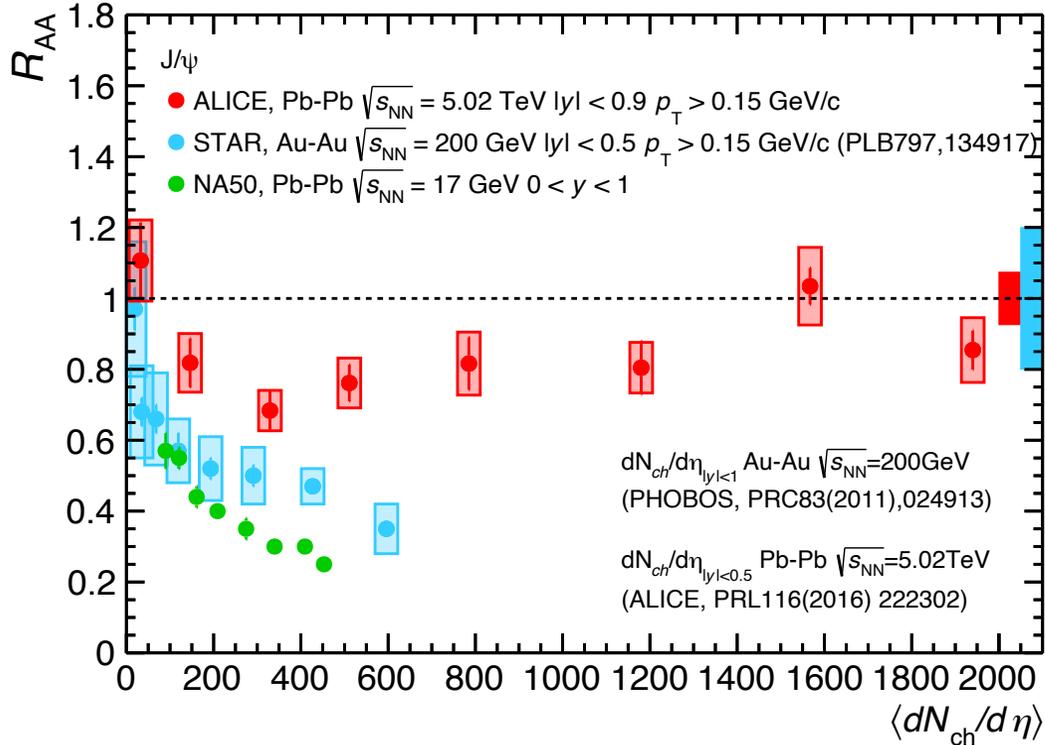
[ALICE Review Paper](#)



# Unique QGP studies at the LHC

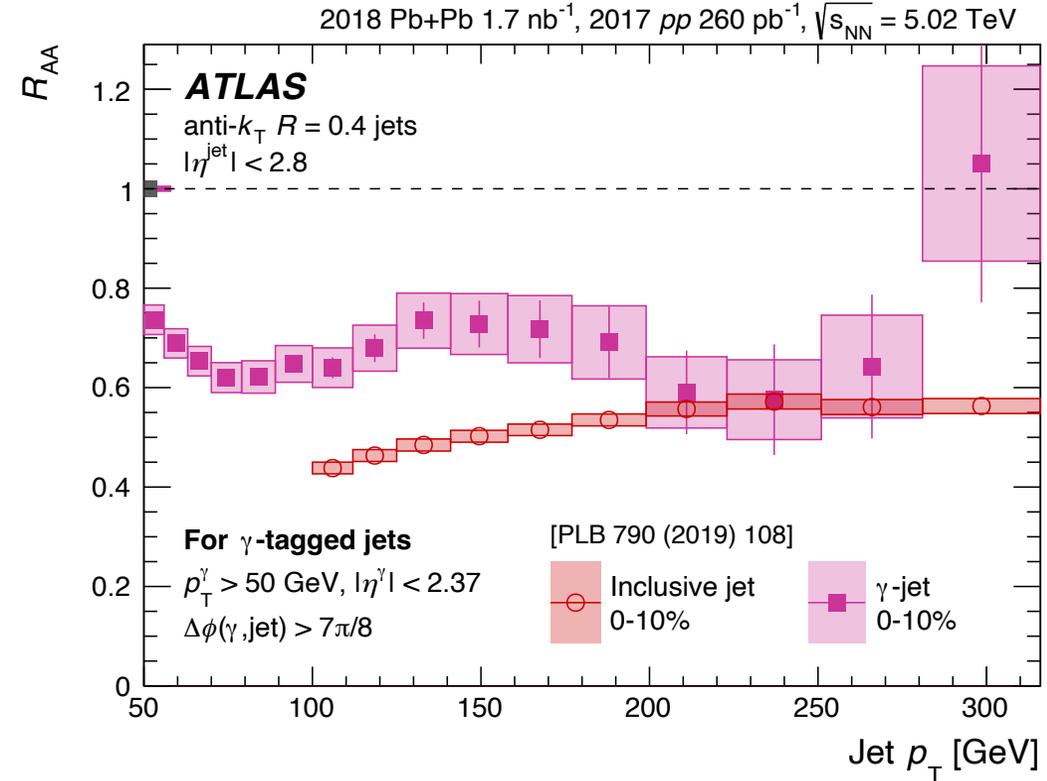
[arXiv:2303.10090](https://arxiv.org/abs/2303.10090)

[arXiv:2211.04384](https://arxiv.org/abs/2211.04384)



## Charmonium

Regeneration evidence of deconfinement

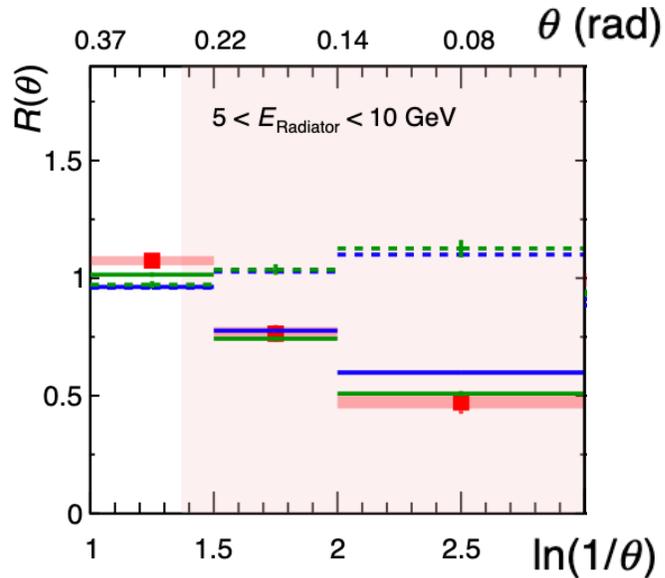


## Full jet reconstruction over widest kinematics

Probes of microscopic QGP structure at various scales

# Unravelling few-body QCD

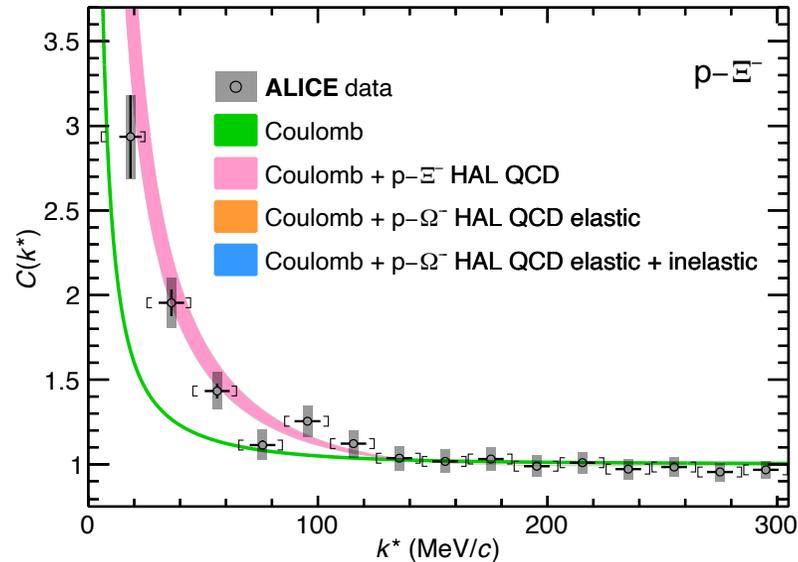
## Dead-cone effect exposed by ALICE



### QCD Dead-cone effect

Radiation from charm quarks suppressed

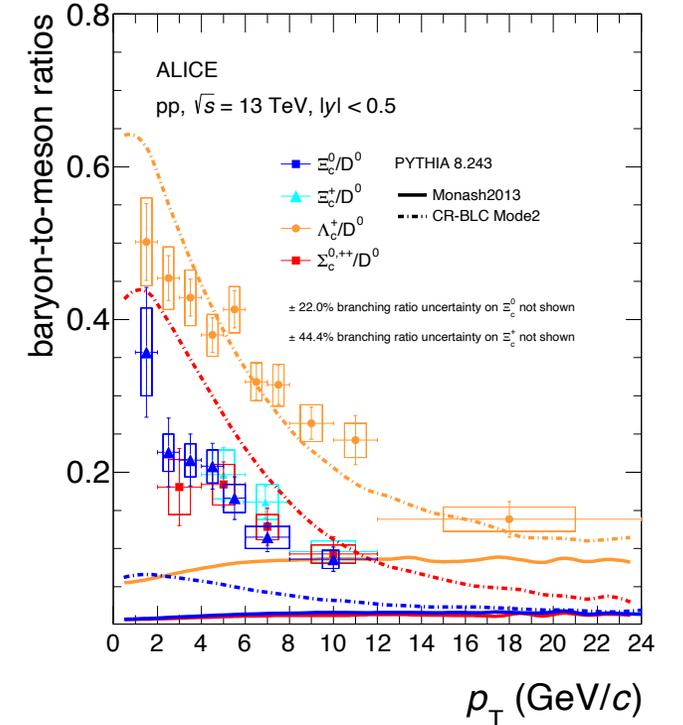
## Neutron stars go under the LHC microscope



### Hyperon interactions attractive

Implications for neutron star equation of state

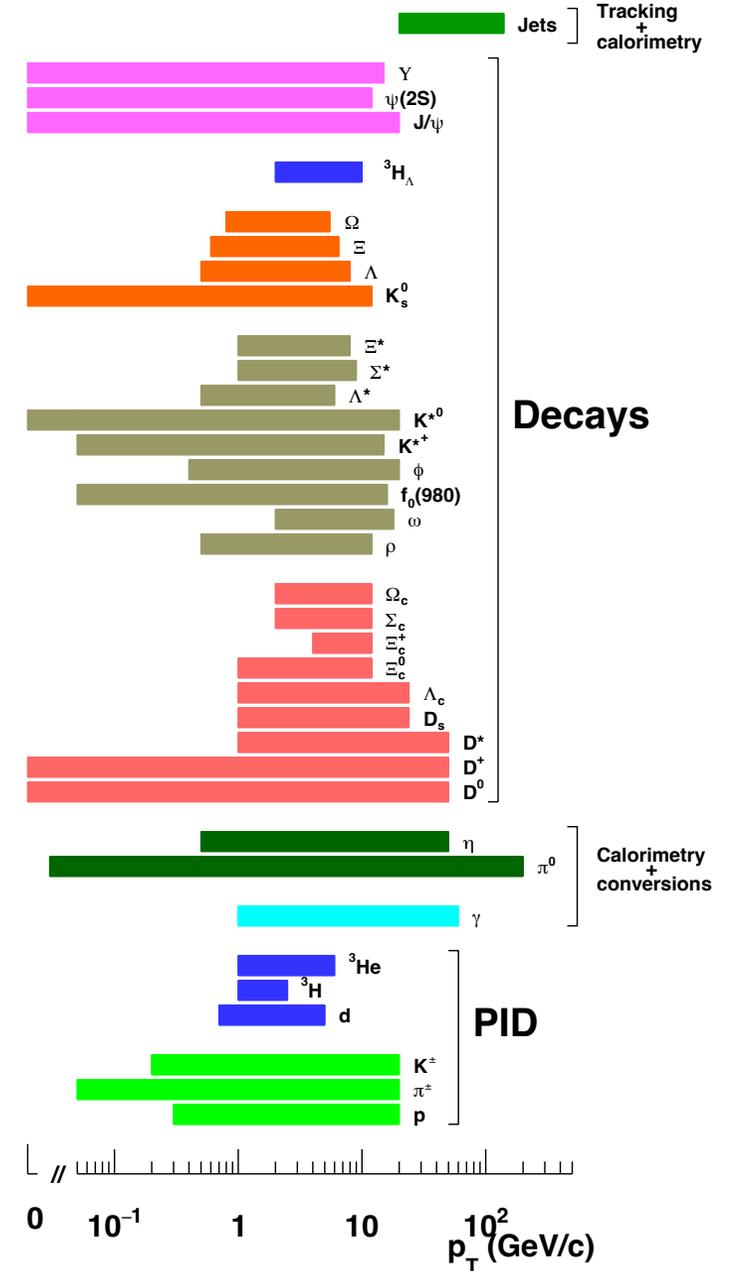
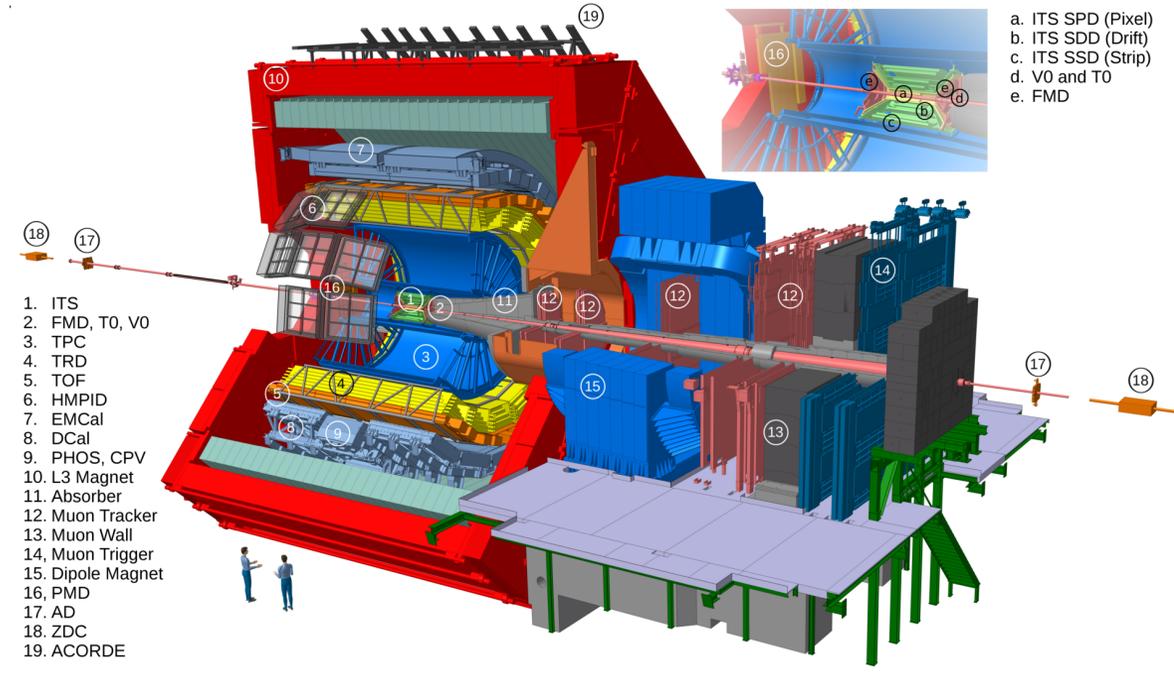
## Charm hadronisation differs at the LHC



### Non-universal hadron production

Charmed baryons favored in proton collisions wrt electron

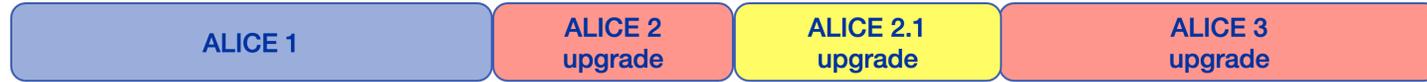
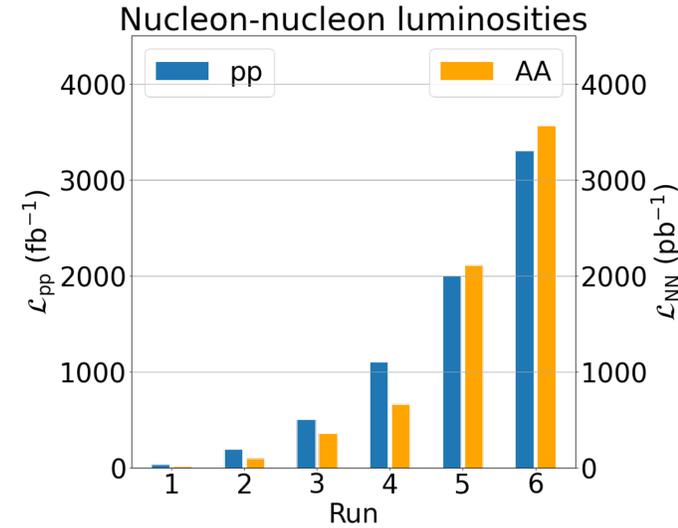
# The ALICE detector (2010s)



**Broad momentum acceptance probes all aspects of QGP behaviour**

✓ World leading particle identification

# Looking ahead at the LHC

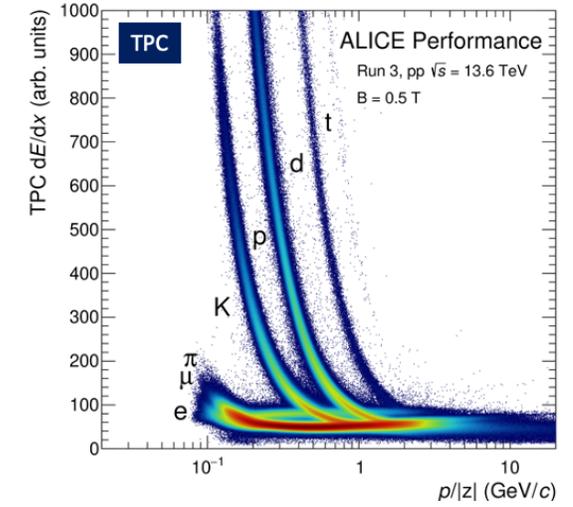
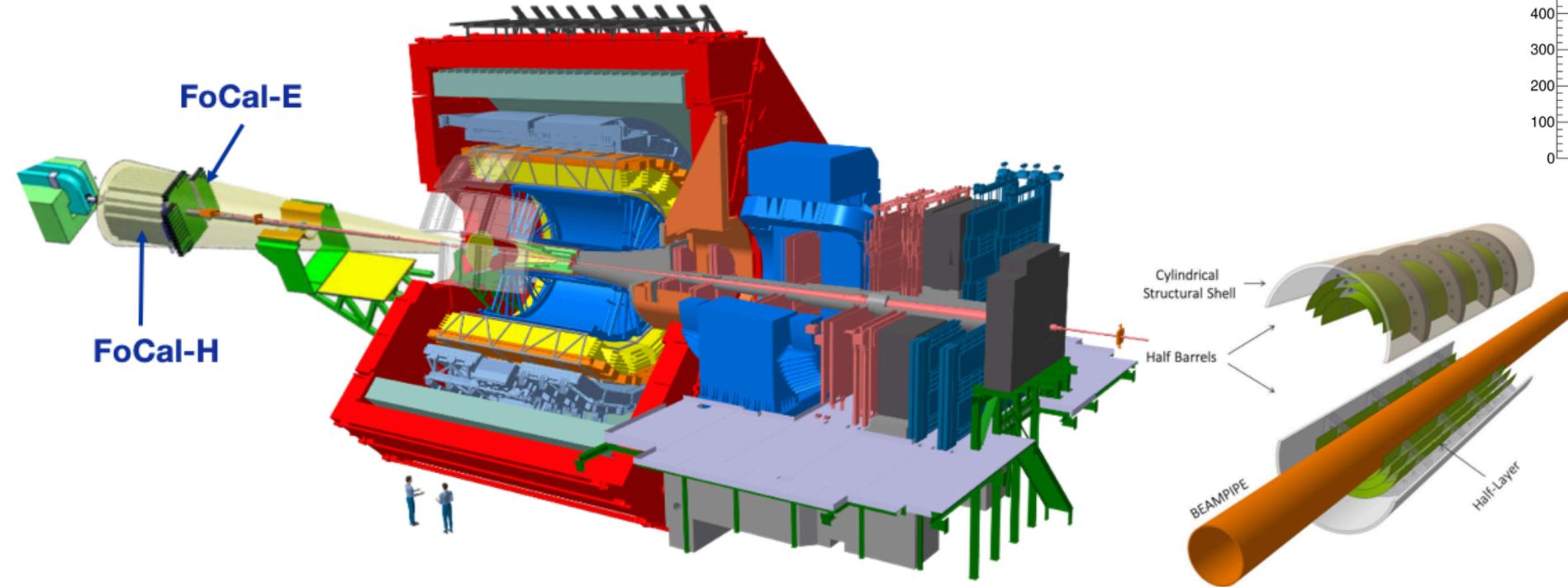


intermediate upgrade

major upgrade



# ALICE 2 in the 2020s



Recent upgrades to TPC, ITS and new MFT for Run 3 (and many others). New FoCal and ITS3 in Run 4

✓ **Precision era** for jet, heavy-flavor and electromagnetic probes in **large & small systems**

✓ Deeper explorations of **proton/nuclear structure** and **rare hadron interactions**

# ALICE 3 - A next generation heavy-ion detector

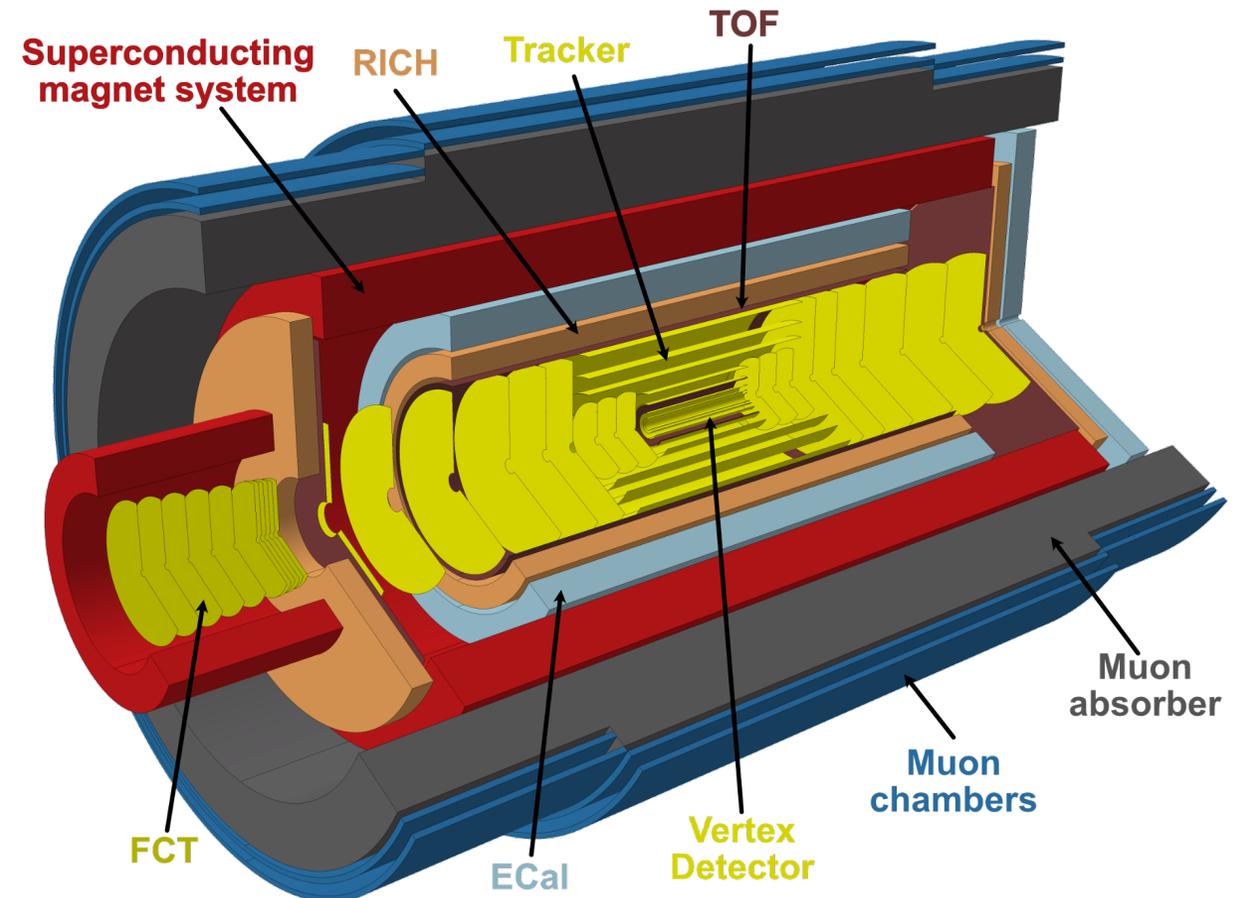
Compact all-silicon tracker with high-resolution vertex detector and **extremely low material budget**

Superconducting magnet system up with  $B=2$  T

**Particle Identification** over large acceptance:  
muons, electrons, hadrons, photons at  $|\eta| < 4$

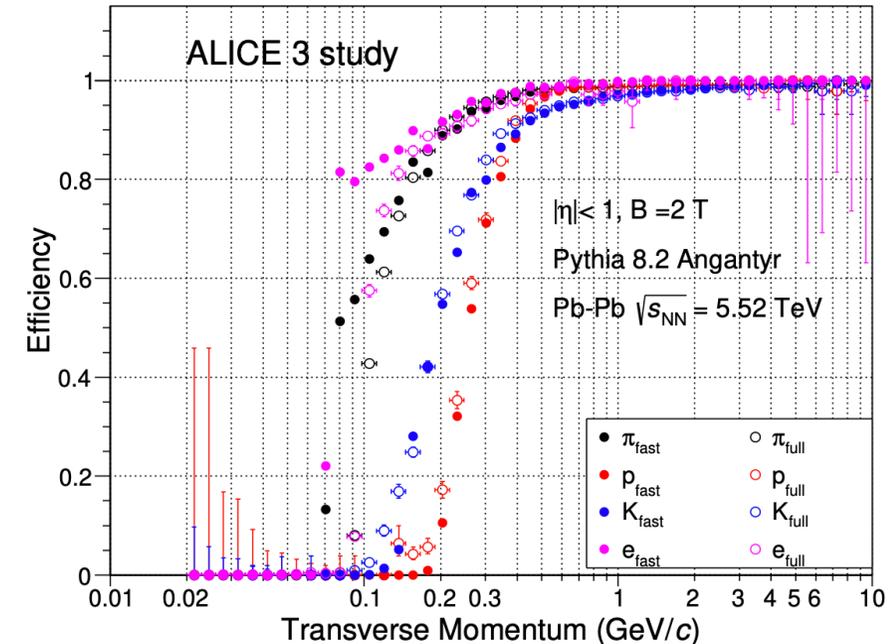
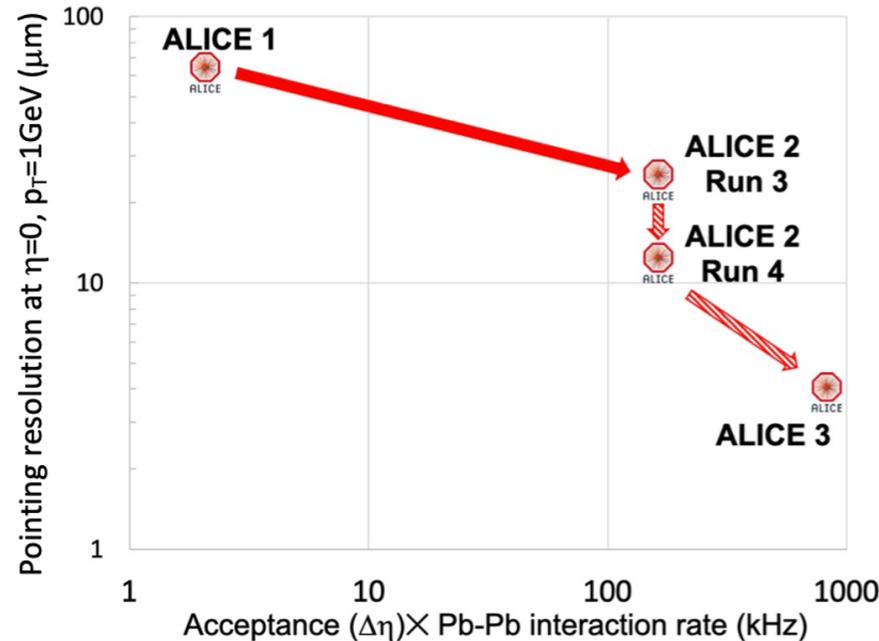
Fast read-out and online processing.

✓ **Starts taking data in 2035**



# ALICE 3 - Expected Luminosities & Performance

System	$\mathcal{L}^{\text{month}}$	$\mathcal{L}^{\text{Run5+6}}$
pp	$0.5 \text{ fb}^{-1}$	$18 \text{ fb}^{-1}$
pp reference	$100 \text{ pb}^{-1}$	$200 \text{ pb}^{-1}$
A–A		
Xe–Xe	$26 \text{ nb}^{-1}$	$156 \text{ nb}^{-1}$
Pb–Pb	$5.6 \text{ nb}^{-1}$	$33.6 \text{ nb}^{-1}$



Will collect **all ion luminosity provided by LHC** - 100s billions of A-A events!

✓ Factor 3 improvement in pointing resolution compared to ALICE in Run 4

✓ Momentum resolution 1-2% over broad  $0.1 < p_T < 100 \text{ GeV/c}$  and  $|\eta| < 4$  ranges

# ALICE 3 - Vertex detector and inner tracking

**Pointing resolution** ( $\sigma_{\text{DCA}}$ ) of tracks to vertex **few  $\mu\text{m}$**  at 1 GeV/c

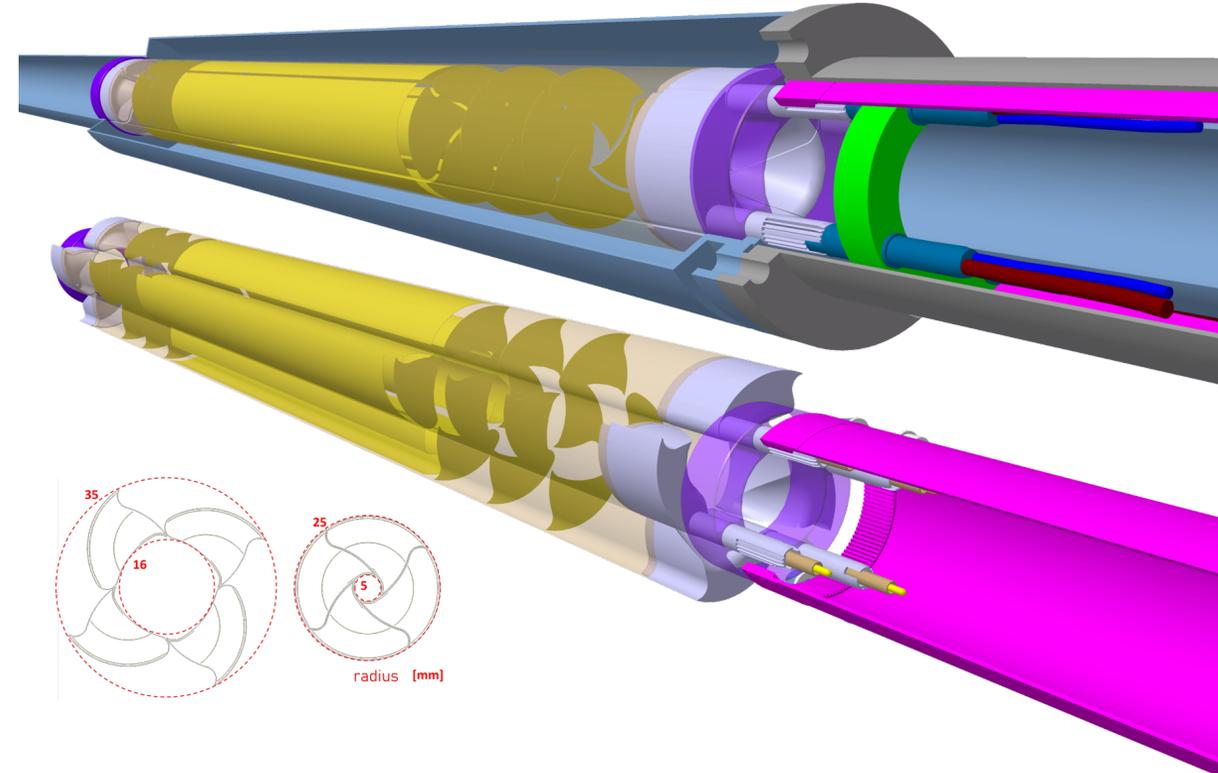
Achieved by placing retractable vertex detector 5 mm ( $r_0$ ) away from beam

Vertex detector has **3 tracker layers**

✓ Material thickness ( $X$ ) 0.1% of radiation length ( $X_0$ )

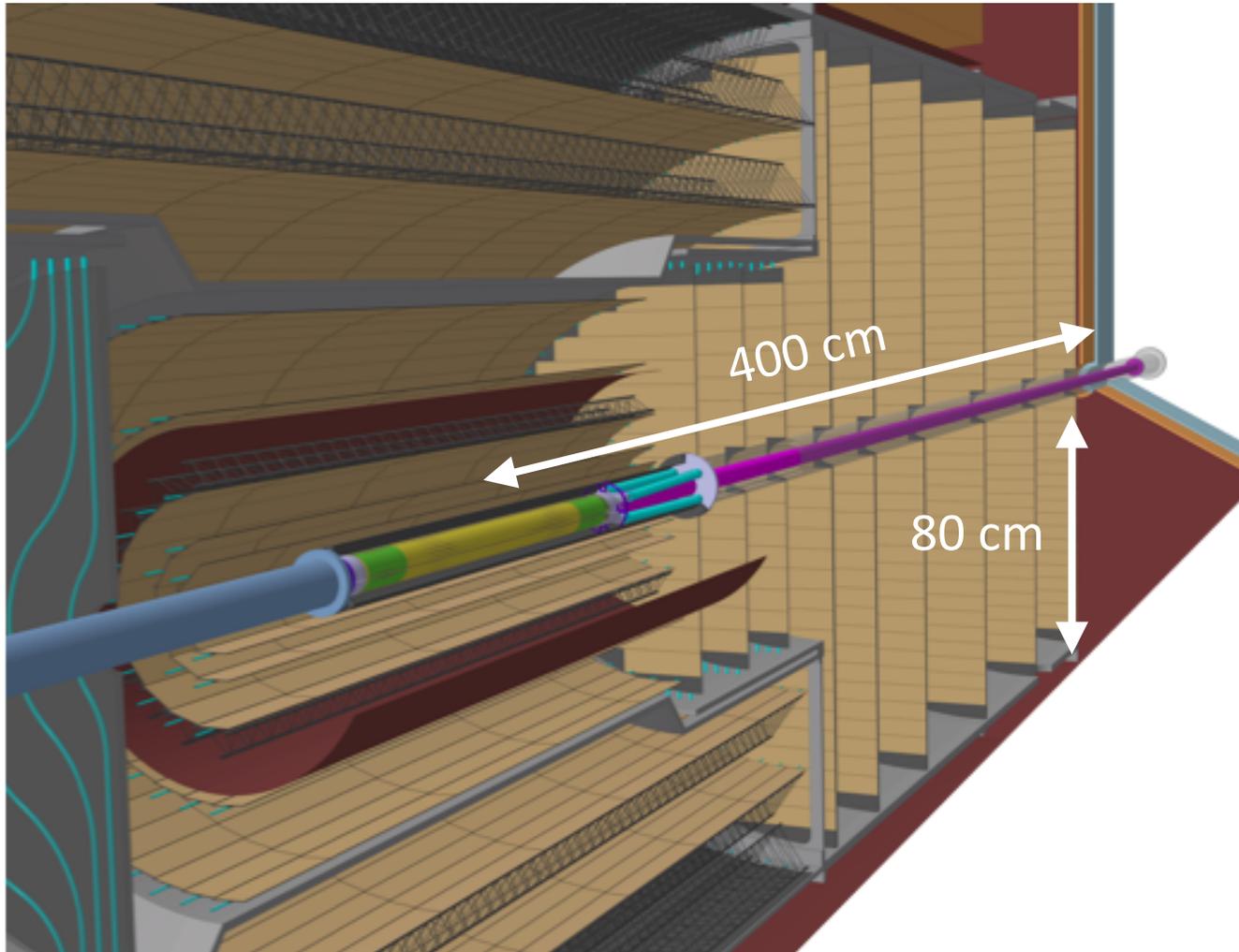
✓ CMOS Monolithic Active Pixel Sensors (MAPS):  
curved, thin, large-area, low power

✓ Intrinsic resolution 2.5  $\mu\text{m}$



$$\sigma_{\text{DCA}} \propto r_0 \cdot \sqrt{X/X_0 \cdot \cosh \eta} \cdot \frac{1}{p}$$

# ALICE 3 - Outer tracker



**60 m<sup>2</sup> silicon pixel detector**

Outer tracker compact and has **8 barrel layers, 9 forward discs**

✓  $R_{\text{out}} = 80 \text{ cm}$ ,  $z_{\text{out}} \pm 400 \text{ cm}$

✓ Pixel size  $\sim 50 \times 50 \text{ } \mu\text{m}^2$

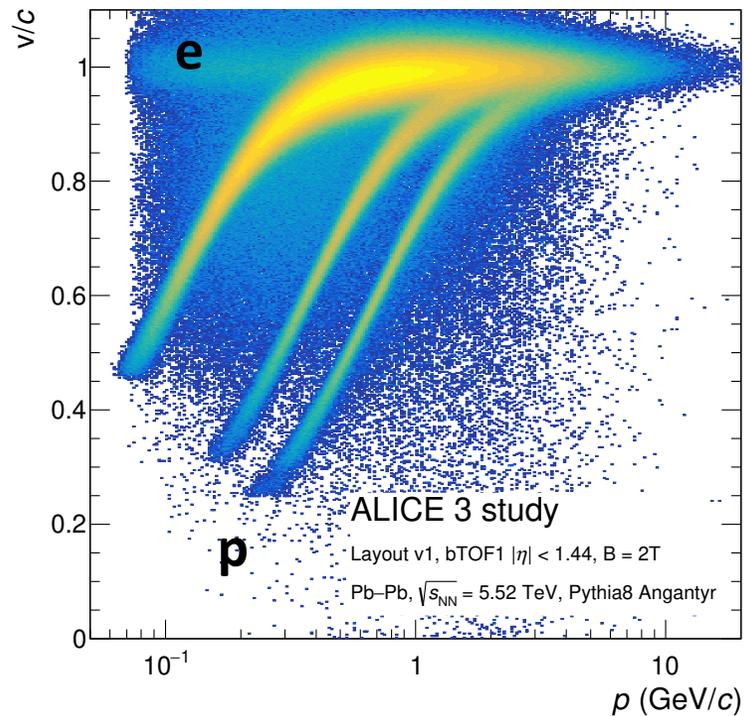
✓ Pixel resolution  $\sim 10 \text{ } \mu\text{m}$

✓  $X/X_0 \sim 1\%$

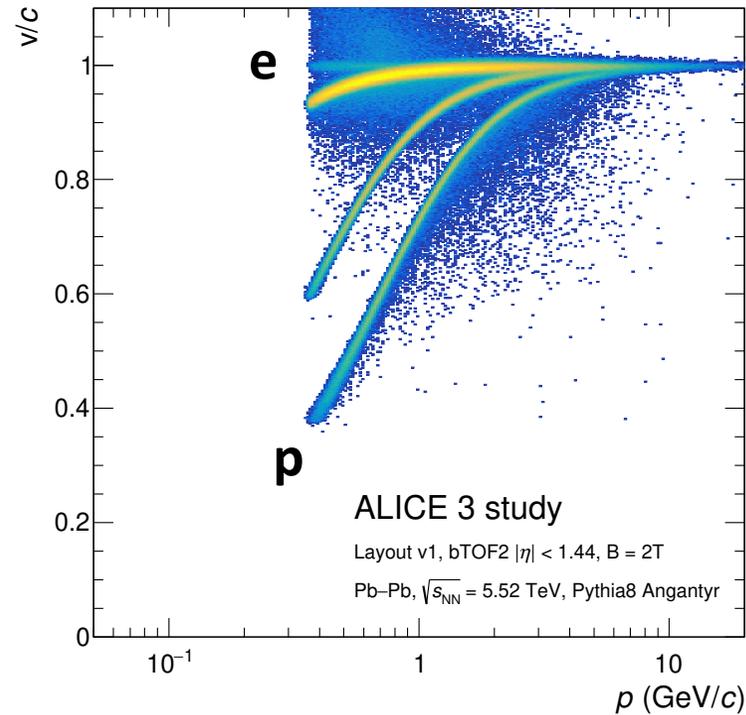
Both inner and outer trackers will build on on **experience with ITS2 and ITS3**

# ALICE 3 - Particle Identification

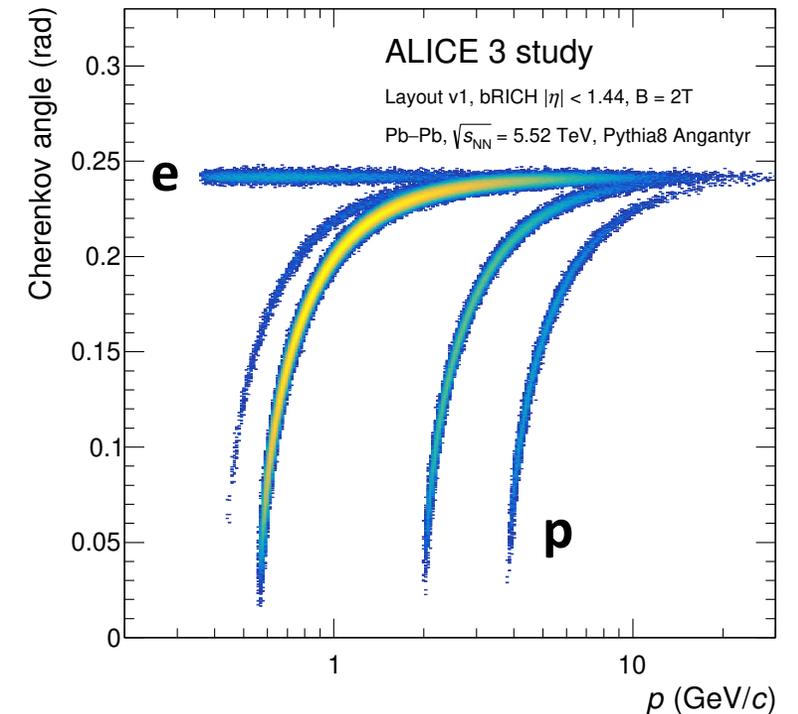
Inner TOF, R = 20 cm



Outer TOF, R = 85 cm



RICH, R = 90cm



**e, π, K, p separation with TOF + RICH detectors, with specifications  $\sigma_{\text{time}} < 20$  ps,  $\sigma_{\theta} < 1.5$  mrad**

✓ Endcap TOF and RICH for full  $\eta$  coverage

# Full ALICE 3 detector requirements

Component	Observables	Barrel ( $ \eta  < 1.75$ )	Forward ( $1.75 <  \eta  < 4$ )	Detectors
Vertexing	(Multi-)charm baryons, dielectrons	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 10 \mu\text{m}$ at $p_{\text{T}} = 200 \text{ MeV}/c$ , $\eta = 0$	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 30 \mu\text{m}$ at $p_{\text{T}} = 200 \text{ MeV}/c$ , $\eta = 3$	retractable Si-pixel tracker: $\sigma_{\text{pos}} \approx 2.5 \mu\text{m}$ , $R_{\text{in}} \approx 5 \text{ mm}$ , $X/X_0 \approx 0.1 \%$ for first layer
Tracking	(Multi-)charm baryons, dielectrons, photons ...	$\sigma_{p_{\text{T}}}/p_{\text{T}} \approx 1 - -2 \%$		Silicon pixel tracker: $\sigma_{\text{pos}} \approx 10 \mu\text{m}$ , $R_{\text{out}} \approx 80 \text{ cm}$ , $L \approx \pm 4 \text{ m}$ $X/X_0 \approx 1 \%$ per layer
Hadron ID	(Multi-)charm baryons	$\pi/K/p$ separation up to a few $\text{GeV}/c$		Time of flight: $\sigma_{\text{tof}} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$ , $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Electron ID	Dielectrons, quarkonia, $\chi_{c1}(3872)$	pion rejection by 1000x up to 2–3 $\text{GeV}/c$		Time of flight: $\sigma_{\text{tof}} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$ , $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Muon ID	Quarkonia, $\chi_{c1}(3872)$	reconstruction of $J/\psi$ at rest, i.e. muons from $p_{\text{T}} \sim 1.5 \text{ GeV}/c$ at $\eta = 0$		steel absorber: $L \approx 70 \text{ cm}$ muon detectors
ECal	Photons, jets	large acceptance		Pb-Sci sampling calorimeter
ECal	$\chi_c$	high-resolution segment		PbWO <sub>4</sub> calorimeter
Soft photon detection	Ultra-soft photons	measurement of photons in $p_{\text{T}}$ range 1–50 $\text{MeV}/c$		Forward conversion tracker based on silicon pixel tracker

# ALICE 3 Planning

**2022:** Letter of Intent reviewed by LHCC → Very strong support

**2023-25:** Selection of technologies, small-scale proof of concept prototypes

**2026-27:** Large-scale engineered prototypes → Technical Design Reports

**2028-31:** Construction and testing

**2032:** Contingency

**2033-34:** Preparation of cavern and installation

**2035-41:** Run 5 and 6 ALICE 3 physics campaign

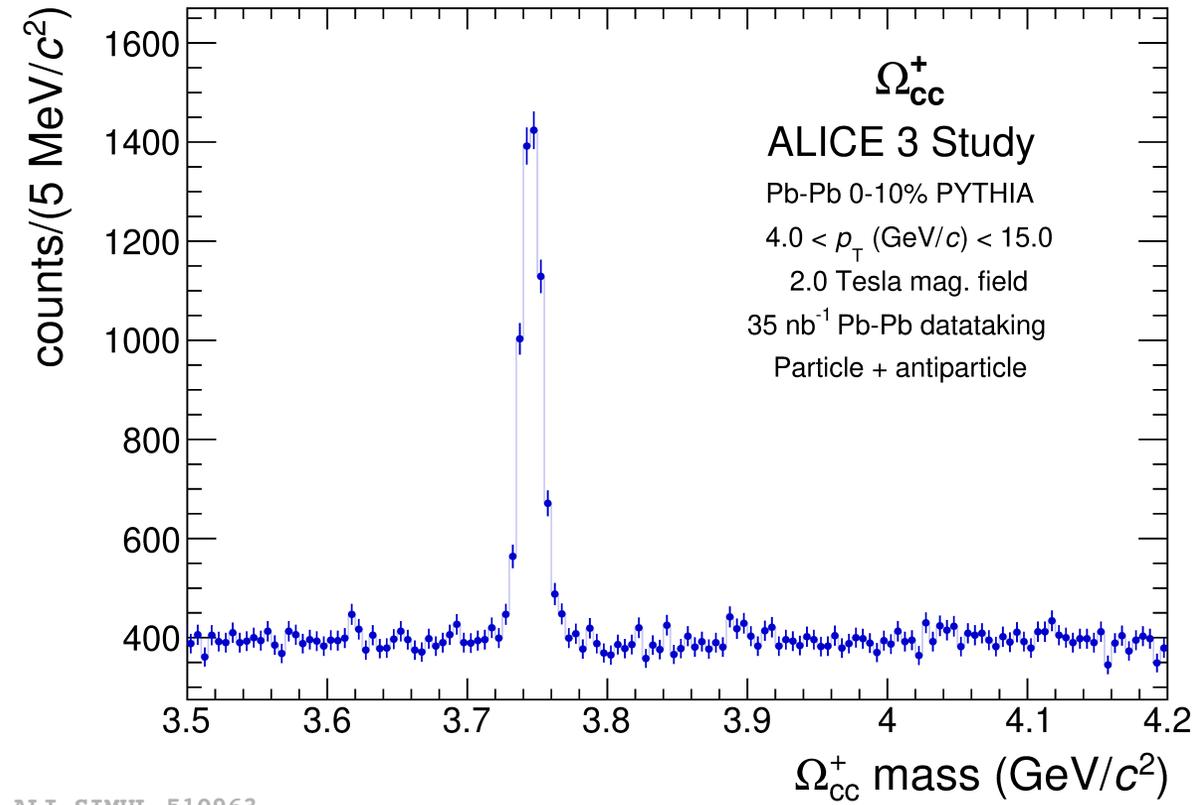
# Some physics topics explored with ALICE 3

Can we **learn more** about the **QGP**?

Have we **exhausted** our tests of **fundament QCD**?

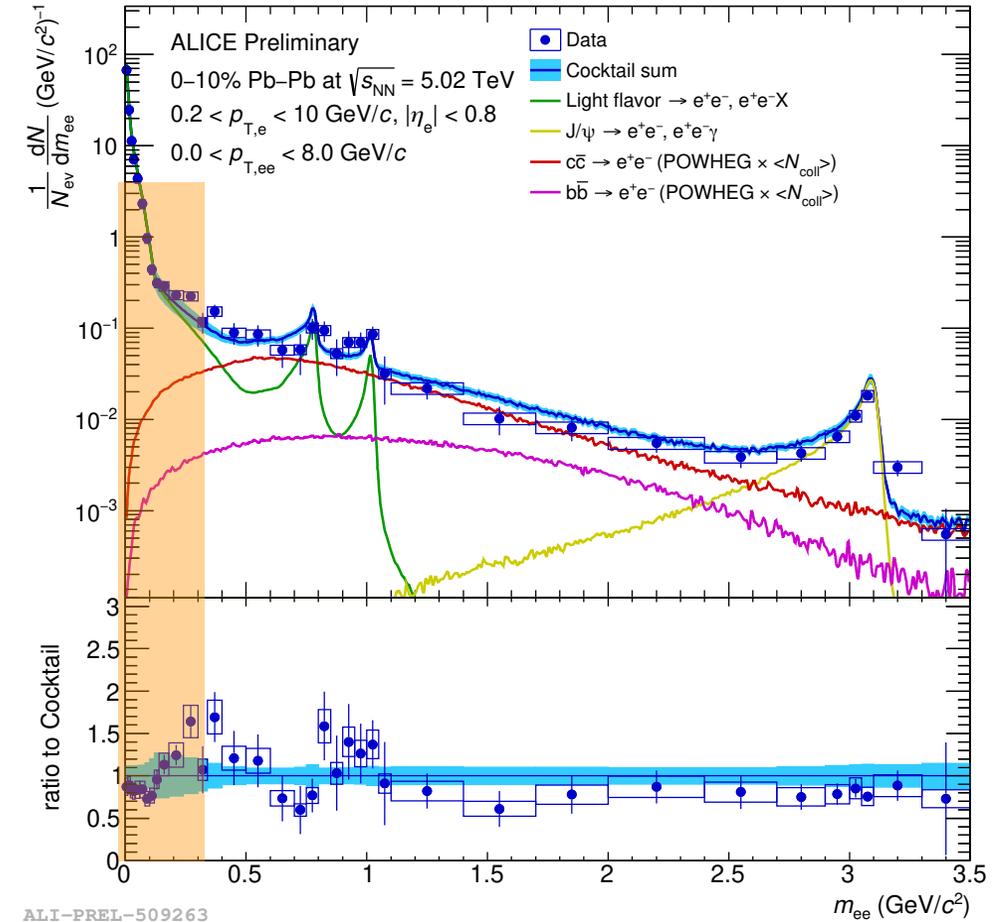
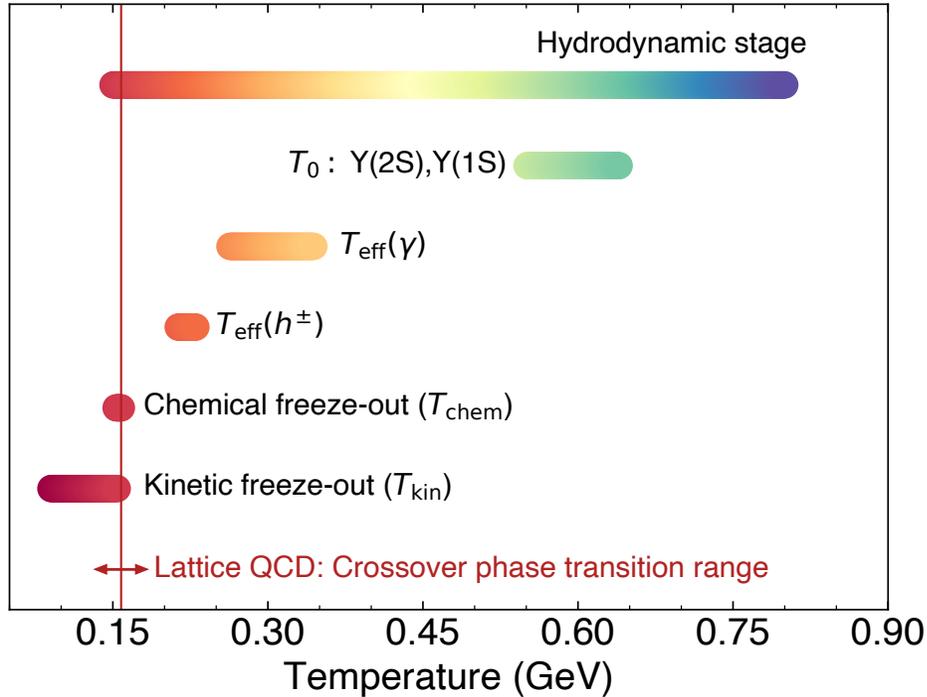
Is there more can we explore regarding **hadronization and hadronic interactions**?

Can we contribute to **Beyond Standard Model searches**?



ALI-SIMUL-510963

# Temperature of the QGP

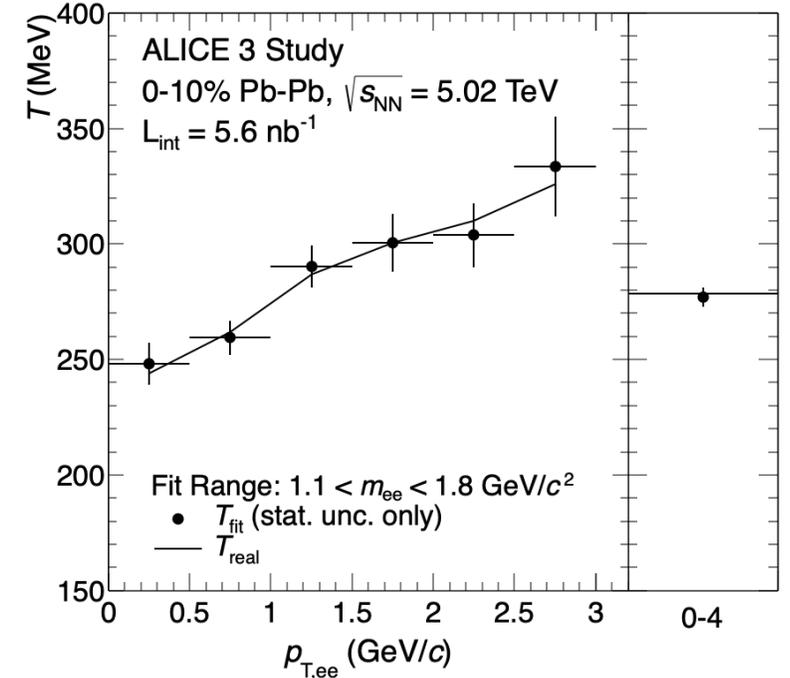
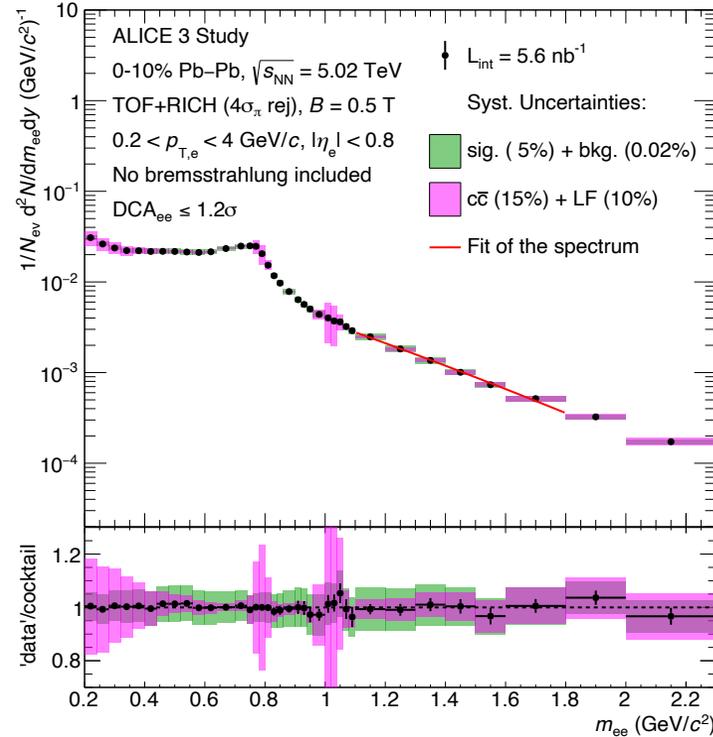
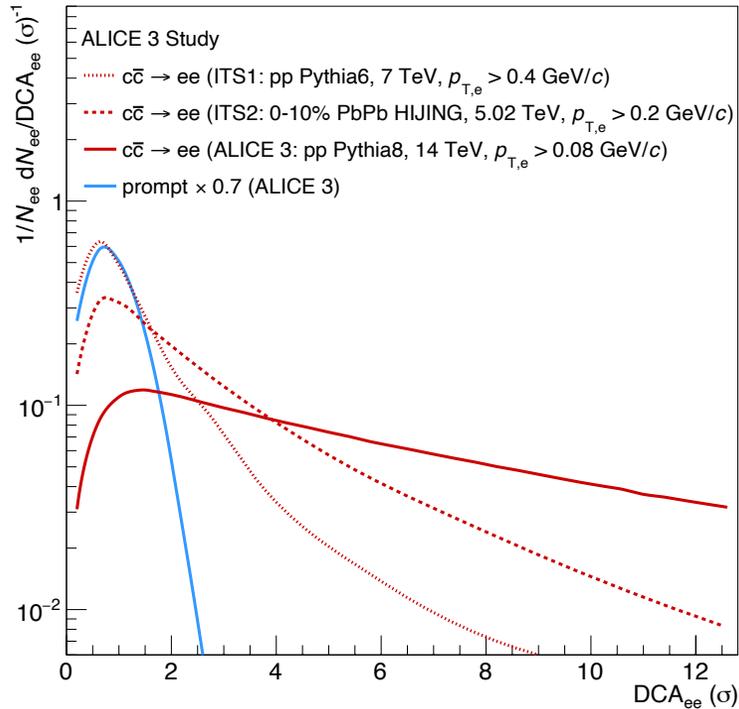


Vast majority of **QGP temperature** estimates from **models** constrained by data

✓ **Photons direct probe** from data, but blue-shifted as QGP is expanding

✓ **Di-electrons best temperature probe** as Lorentz invariant  $\rightarrow$  Very challenging experimentally

# Thermal radiation from di-electrons in ALICE 3



Very clean separation of prompt and heavy-flavor electrons

✓ Direct QGP temperatures from **slope** of intermediate **di-electron invariant mass spectrum**

✓ Increasing electron  $p_T$  probes earlier times → **Evolution of QGP temperature**

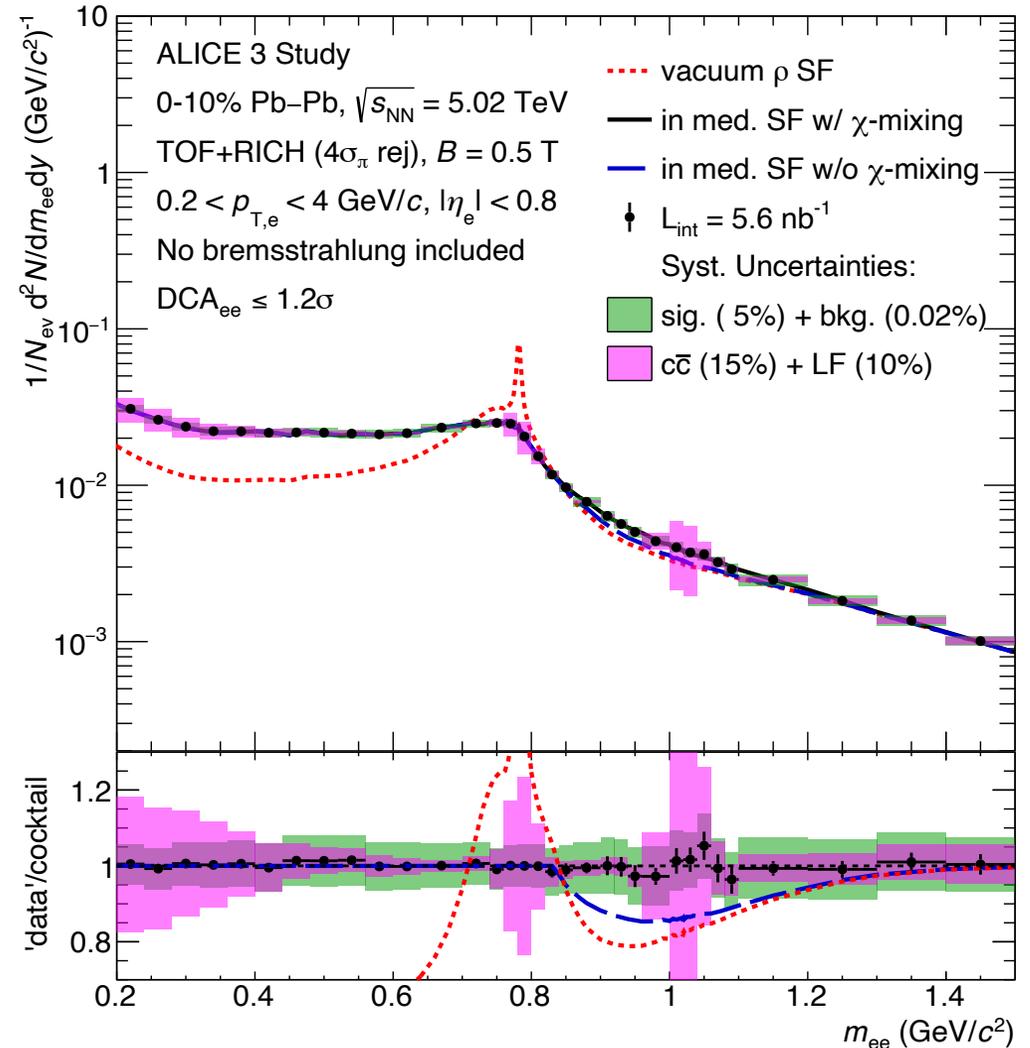
# Searches for Chiral Symmetry Restoration

Long sought after evidence of QGP formation

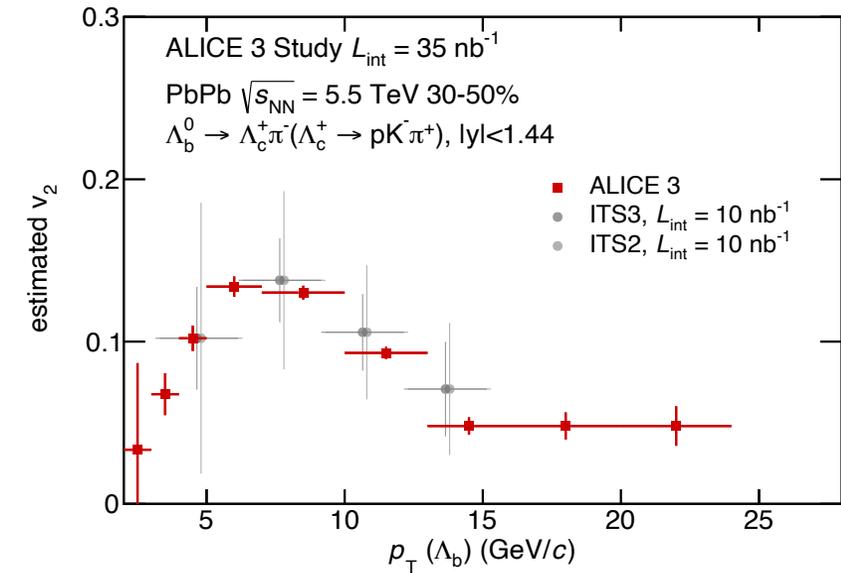
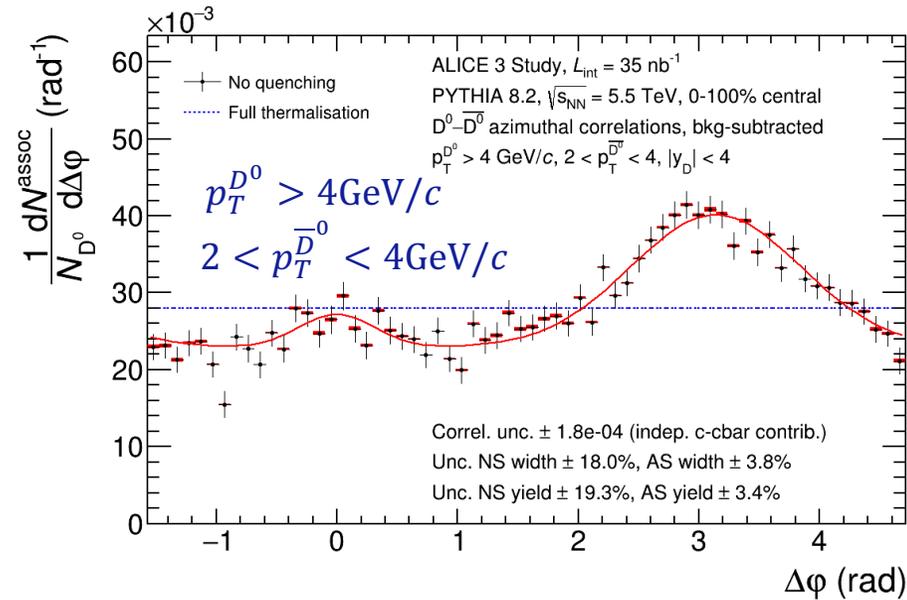
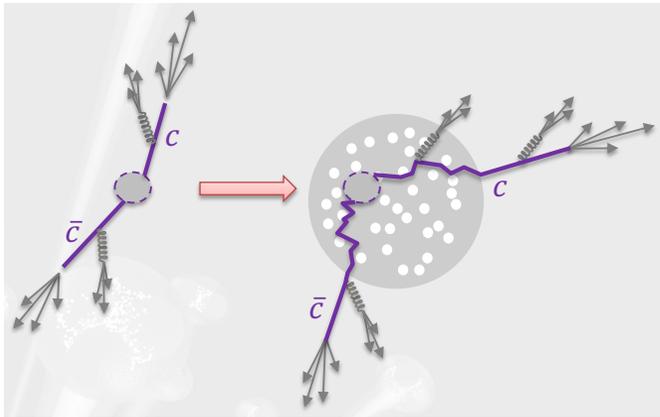
**Fundamental feature of high temperature  
Lattice QCD**

**Onset of exponential at  $m_{ee} \sim 1 \text{ GeV}/c^2$   
indicative of Chiral Symmetry Restoration**

$\rho - a_1$  mixing



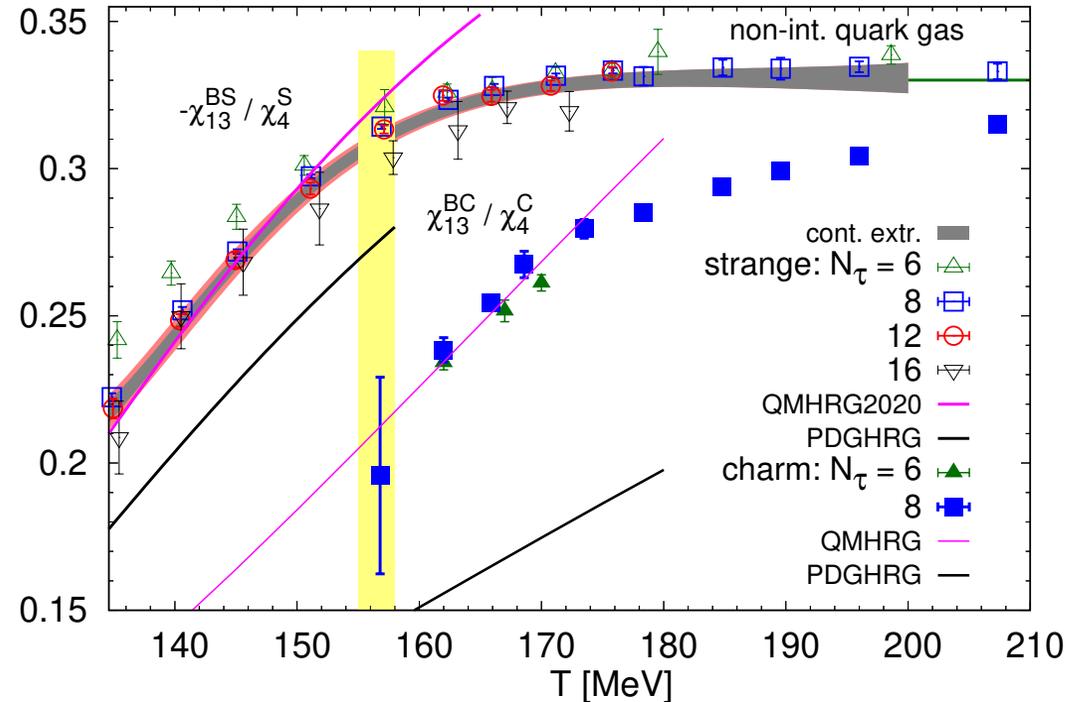
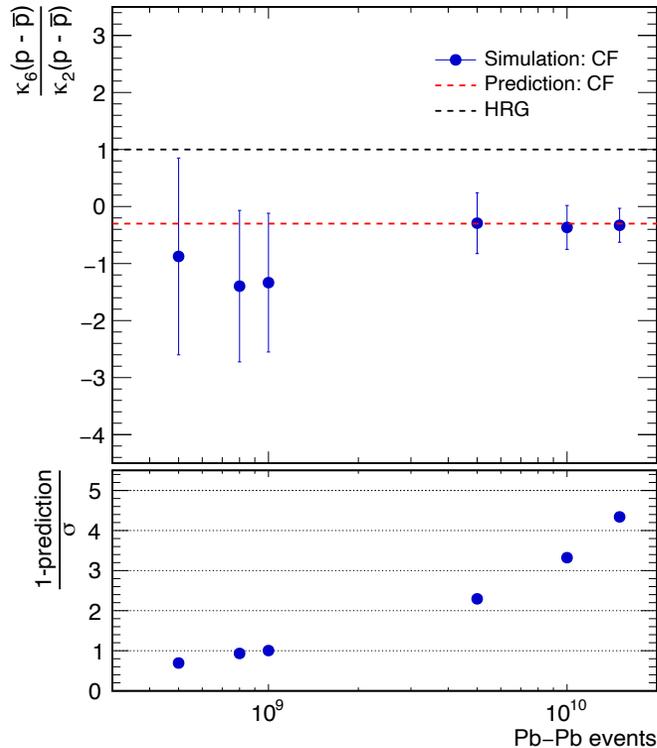
# Heavy-flavor interactions in QGP



Two-particle  $D^0$  correlations probe microscopic QGP charm diffusion directly

Beauty flow provides best constraints on bottom quark diffusion & limits on QGP equilibration

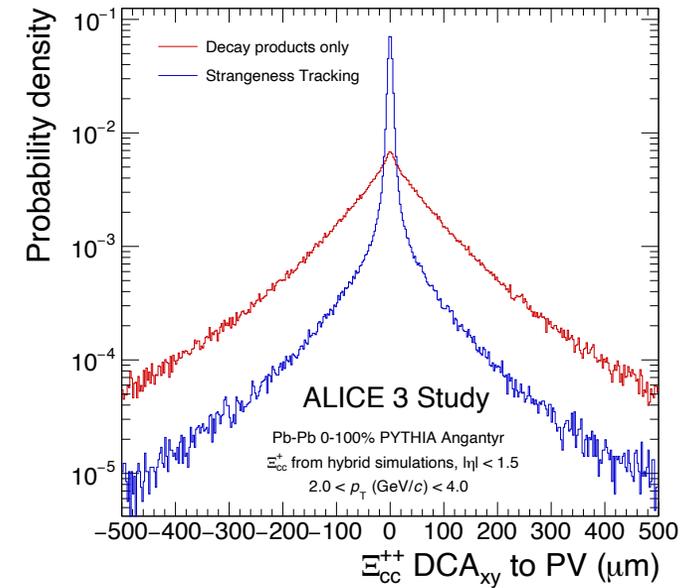
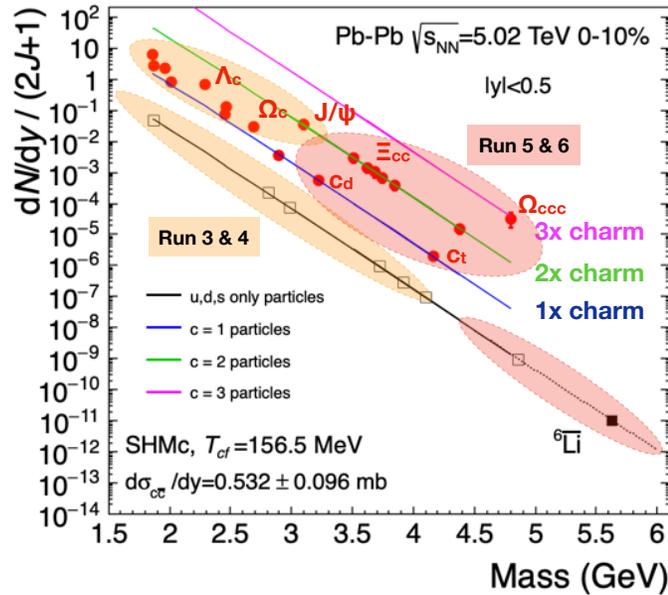
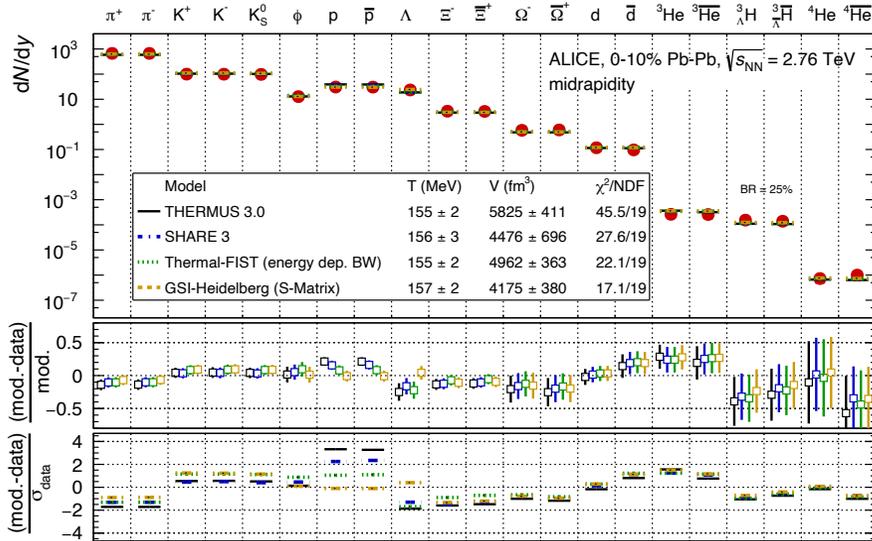
# New tests of Lattice QCD during QGP transition



Measured **fluctuations of net-quantum numbers** explore **chiral features of cross-over transition**

- ✓ Increased precision of high order net baryon fluctuations and strangeness/charm being explored
- ✓ Become accessible for the first time

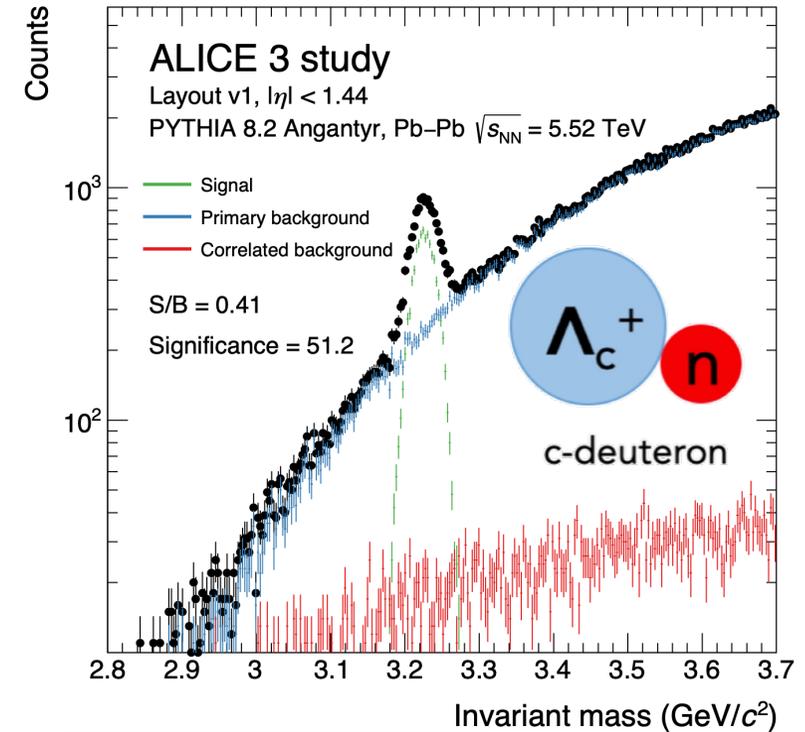
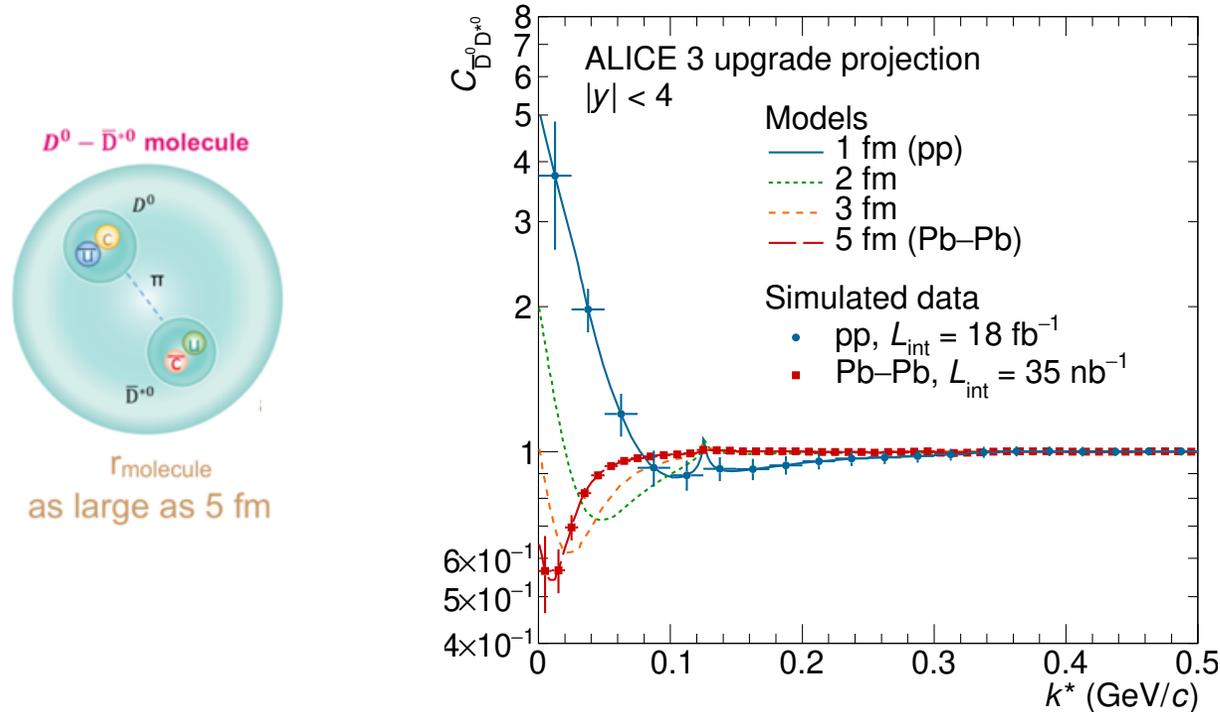
# Multi-charm baryon production in ALICE 3



## Statistical Hadronization → Example of emergent behavior in QCD

- ✓ Almost all light flavor hadrons/nuclei yields described by **thermal model** with few parameters
- ✓ **Open question for charmed hadrons** → unique tests with multi-charmed baryons

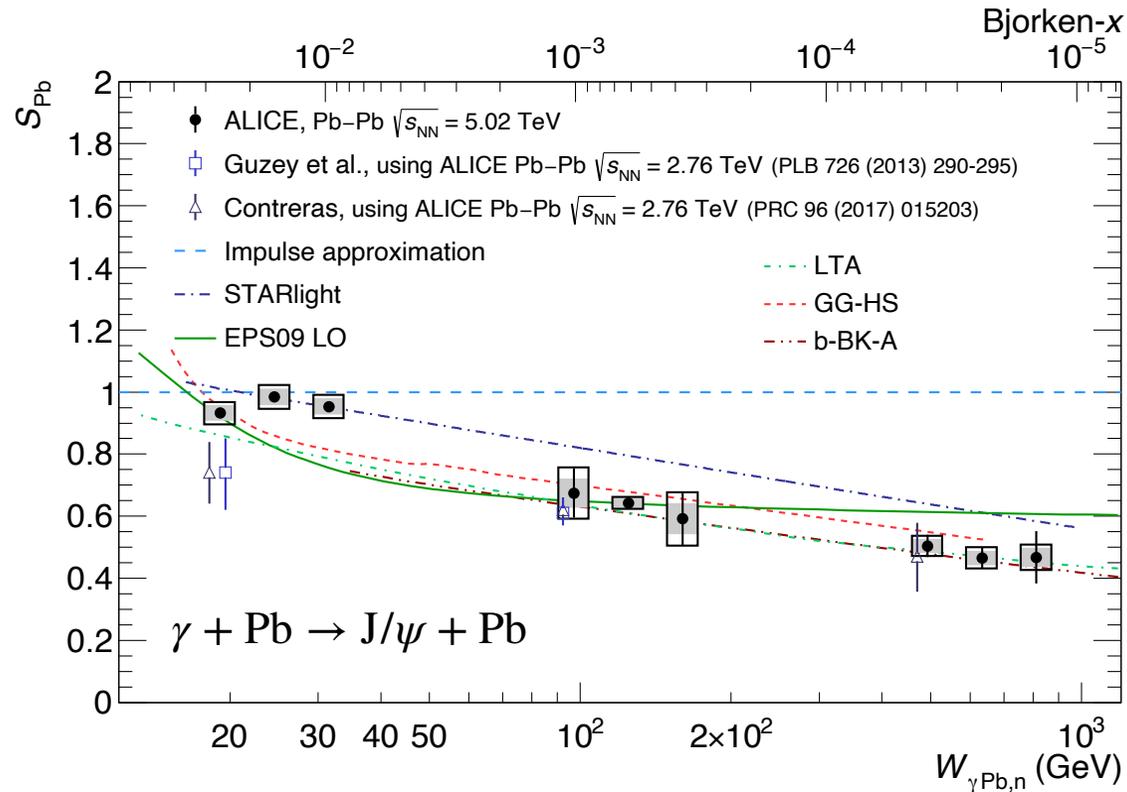
# Hadronic interactions and exotic nuclei



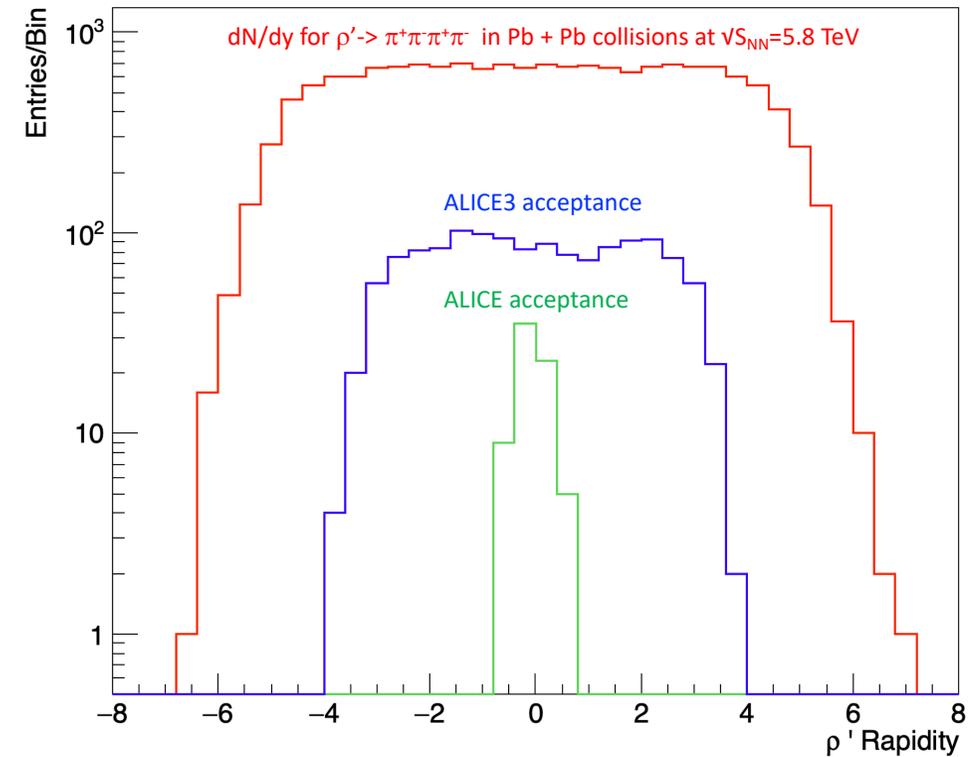
Two particle  $D^0$  femto correlations can be used to explore formation of  $D^0$  molecules

- ✓ Candidate for structure of **exotic  $T_{cc}$  hadron**
- ✓ First observation of a **charmed nucleus feasible**

# Ultra peripheral collisions (UPCs)



$$\gamma + A \rightarrow \rho' + A$$

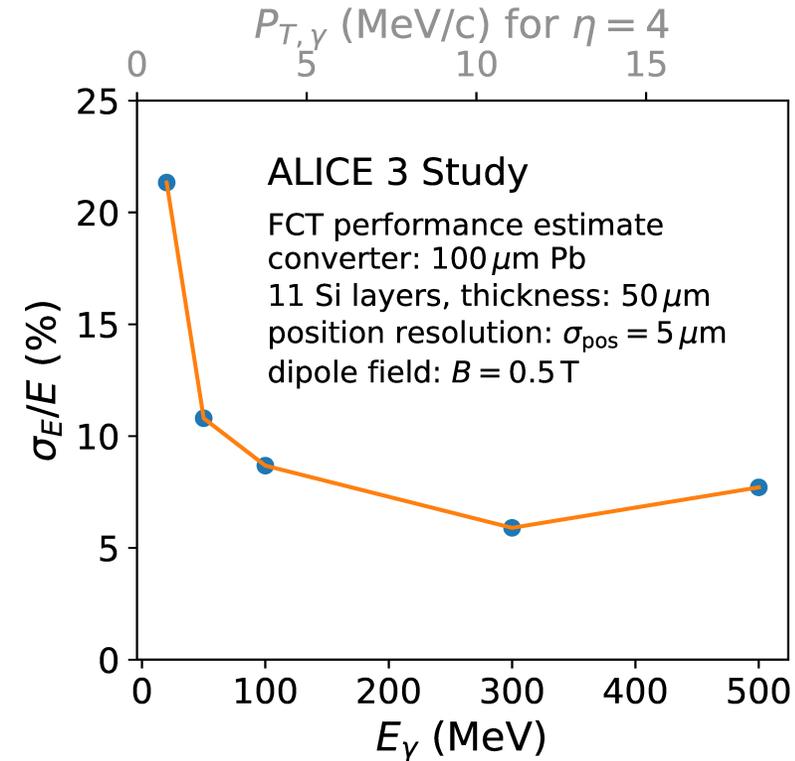
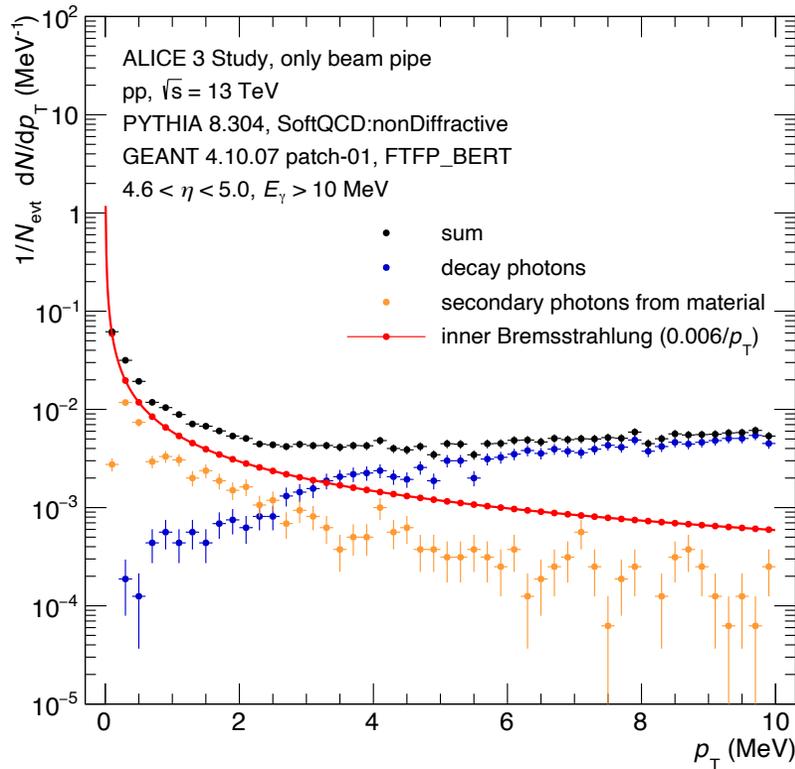


UPCs have emerged as an extremely powerful tool to explore cold nuclear matter (and much more)

✓ ALICE 3 provides excellent coverage and reconstruction of complex excited  $\rho$  states

✓ Hopes to resolve question **whether  $\rho'$  is one state or two** ( $2\pi$  &  $4\pi$  decays)

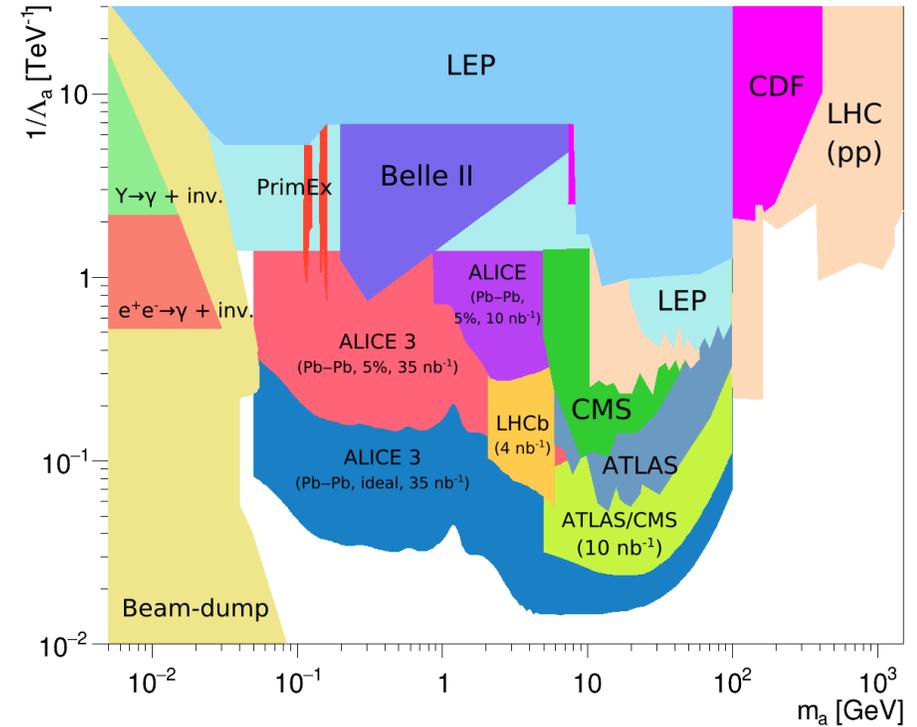
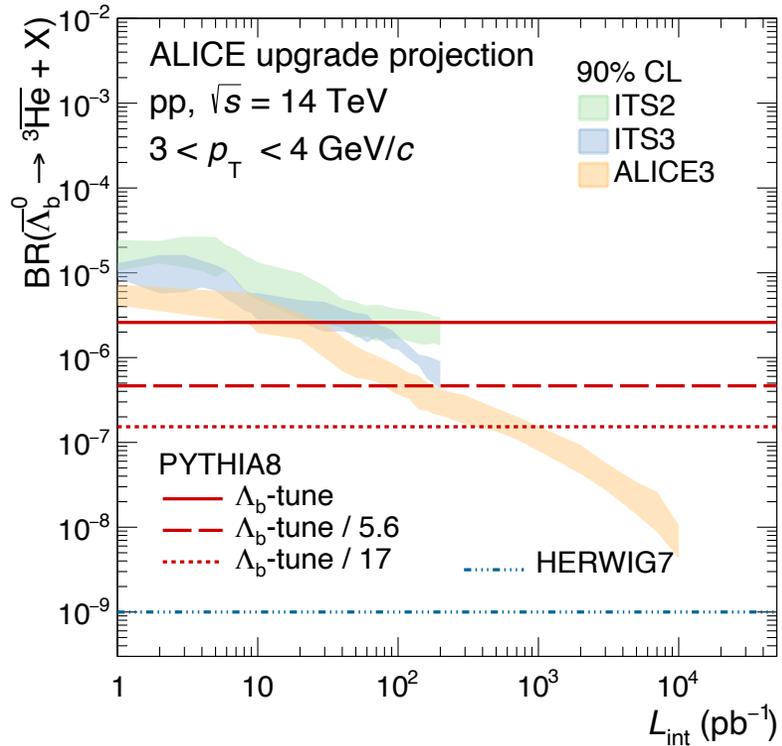
# Ultra soft photon production



**Forward Conversion Tracker** used to measure ultra-soft photons (few MeV) at forward rapidity ( $\eta \sim 4$ )

✓ Low's theorem can be used to test **infrared limits of quantum field theories**

# Beyond Standard Model searches

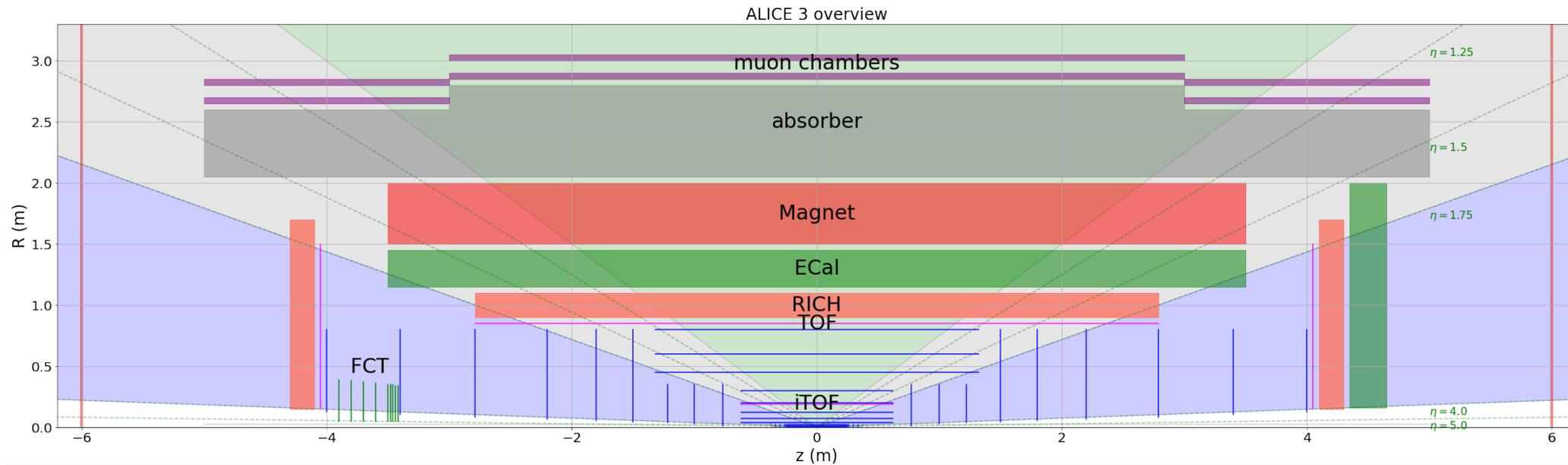


Recent AMS discovery of O(10) **anti-helium nuclei** might be signal of **dark matter production**

✓ Excellent constraints on branching ratio from **beauty baryon decay**

✓ **Light by light scattering** via UPCs provide **competitive limits on axion searches**

# More physics topics opportunities with ALICE 3



[See ALICE-USA white paper for more ideas!](#)

Large  $\eta$  and  $p_T$  acceptance + excellent PID enable (for example):

- ✓ **Heavy-flavor jet correlations** or **photon-heavy-flavor jet correlations** with unprecedented purity at low transverse momentum scales
- ✓ Two-particle correlations with **large  $\Delta\eta$  to probe early time dynamics and diffusion**

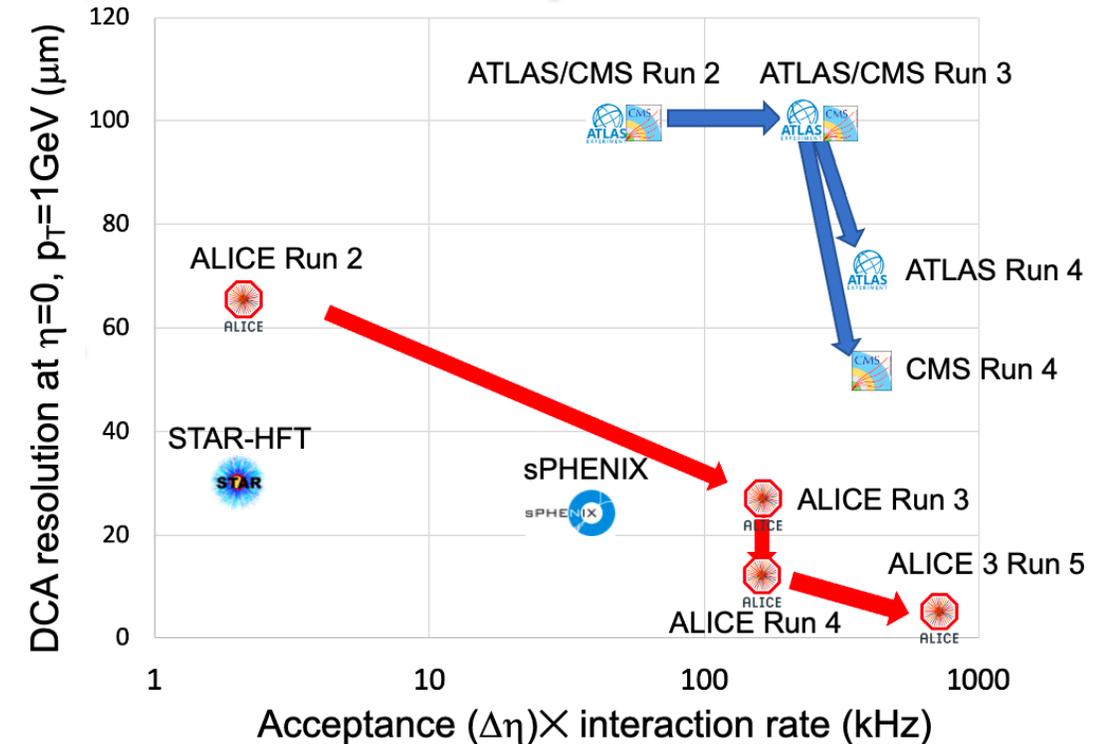
# Summary

ALICE 3 opens **new era of discovery potential and precision in QCD**

Designed by heavy-ion physicists for heavy-ion physics

✓ Extremely versatile setup allows for **very broad physics program within and beyond QCD**

Continues hugely successful endeavor of pushing the **world's most powerful microscopes to new limits**

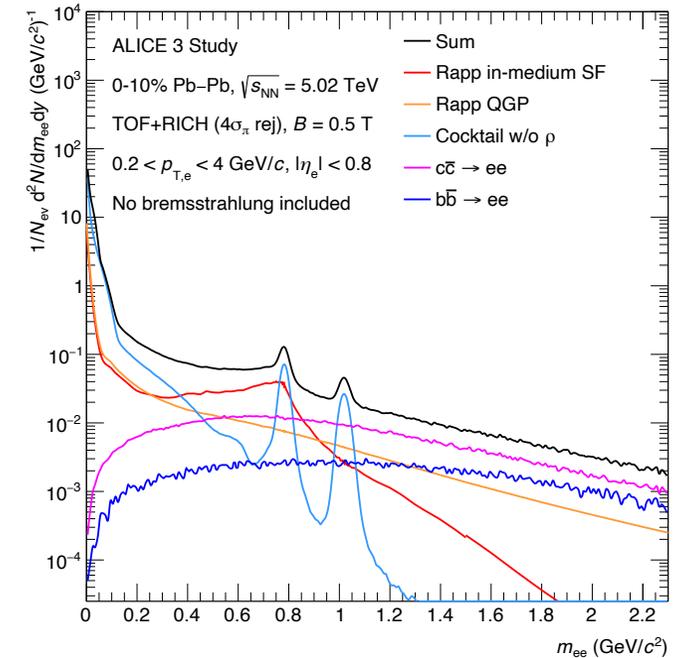
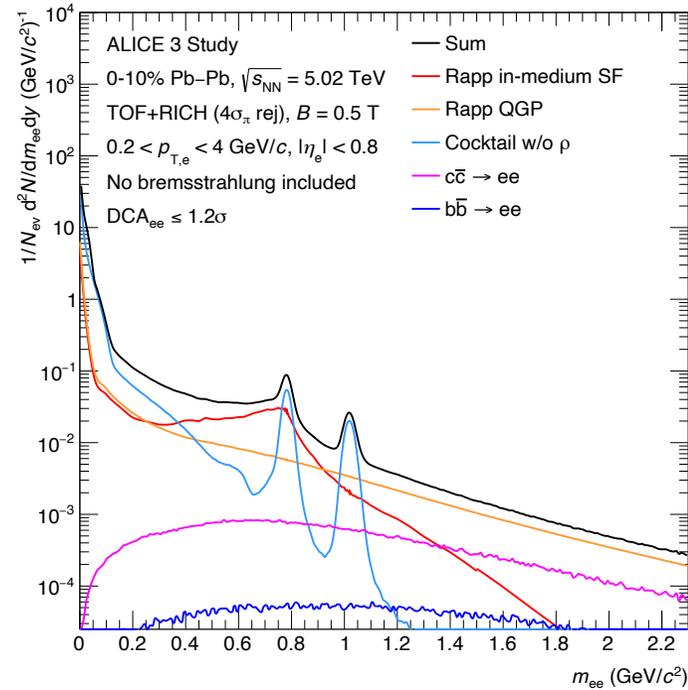


Quantity	pp	O–O	Ar–Ar	Ca–Ca	Kr–Kr	In–In	Xe–Xe	Pb–Pb
$\sqrt{s_{NN}}$ (TeV)	14.00	7.00	6.30	7.00	6.46	5.97	5.86	5.52
$L_{AA}$ ( $\text{cm}^{-2}\text{s}^{-1}$ )	$3.0 \times 10^{32}$	$1.5 \times 10^{30}$	$3.2 \times 10^{29}$	$2.8 \times 10^{29}$	$8.5 \times 10^{28}$	$5.0 \times 10^{28}$	$3.3 \times 10^{28}$	$1.2 \times 10^{28}$
$\langle L_{AA} \rangle$ ( $\text{cm}^{-2}\text{s}^{-1}$ )	$3.0 \times 10^{32}$	$9.5 \times 10^{29}$	$2.0 \times 10^{29}$	$1.9 \times 10^{29}$	$5.0 \times 10^{28}$	$2.3 \times 10^{28}$	$1.6 \times 10^{28}$	$3.3 \times 10^{27}$
$\mathcal{L}_{AA}^{\text{month}}$ ( $\text{nb}^{-1}$ )	$5.1 \times 10^5$	$1.6 \times 10^3$	$3.4 \times 10^2$	$3.1 \times 10^2$	$8.4 \times 10^1$	$3.9 \times 10^1$	$2.6 \times 10^1$	5.6
$\mathcal{L}_{NN}^{\text{month}}$ ( $\text{pb}^{-1}$ )	505	409	550	500	510	512	434	242
$R_{\text{max}}$ (kHz)	24 000	2169	821	734	344	260	187	93
$\mu$	1.2	0.21	0.08	0.07	0.03	0.03	0.02	0.01
$dN_{\text{ch}}/d\eta$ (MB)	7	70	151	152	275	400	434	682
at $R = 0.5$ cm								
$R_{\text{hit}}$ ( $\text{MHz}/\text{cm}^2$ )	94	85	69	62	53	58	46	35
NIEL (1 MeV $n_{\text{eq}}/\text{cm}^2$ )	$1.8 \times 10^{14}$	$1.0 \times 10^{14}$	$8.6 \times 10^{13}$	$7.9 \times 10^{13}$	$6.0 \times 10^{13}$	$3.3 \times 10^{13}$	$4.1 \times 10^{13}$	$1.9 \times 10^{13}$
TID (Rad)	$5.8 \times 10^6$	$3.2 \times 10^6$	$2.8 \times 10^6$	$2.5 \times 10^6$	$1.9 \times 10^6$	$1.1 \times 10^6$	$1.3 \times 10^6$	$6.1 \times 10^5$
at $R = 100$ cm								
$R_{\text{hit}}$ ( $\text{kHz}/\text{cm}^2$ )	2.4	2.1	1.7	1.6	1.3	1.0	1.1	0.9
NIEL (1 MeV $n_{\text{eq}}/\text{cm}^2$ )	$4.9 \times 10^9$	$2.5 \times 10^9$	$2.1 \times 10^9$	$2.0 \times 10^9$	$1.5 \times 10^9$	$8.3 \times 10^8$	$1.0 \times 10^9$	$4.7 \times 10^8$
TID (Rad)	$1.4 \times 10^2$	$8.0 \times 10^1$	$6.9 \times 10^1$	$6.3 \times 10^1$	$4.8 \times 10^1$	$2.7 \times 10^1$	$3.3 \times 10^1$	$1.5 \times 10^1$

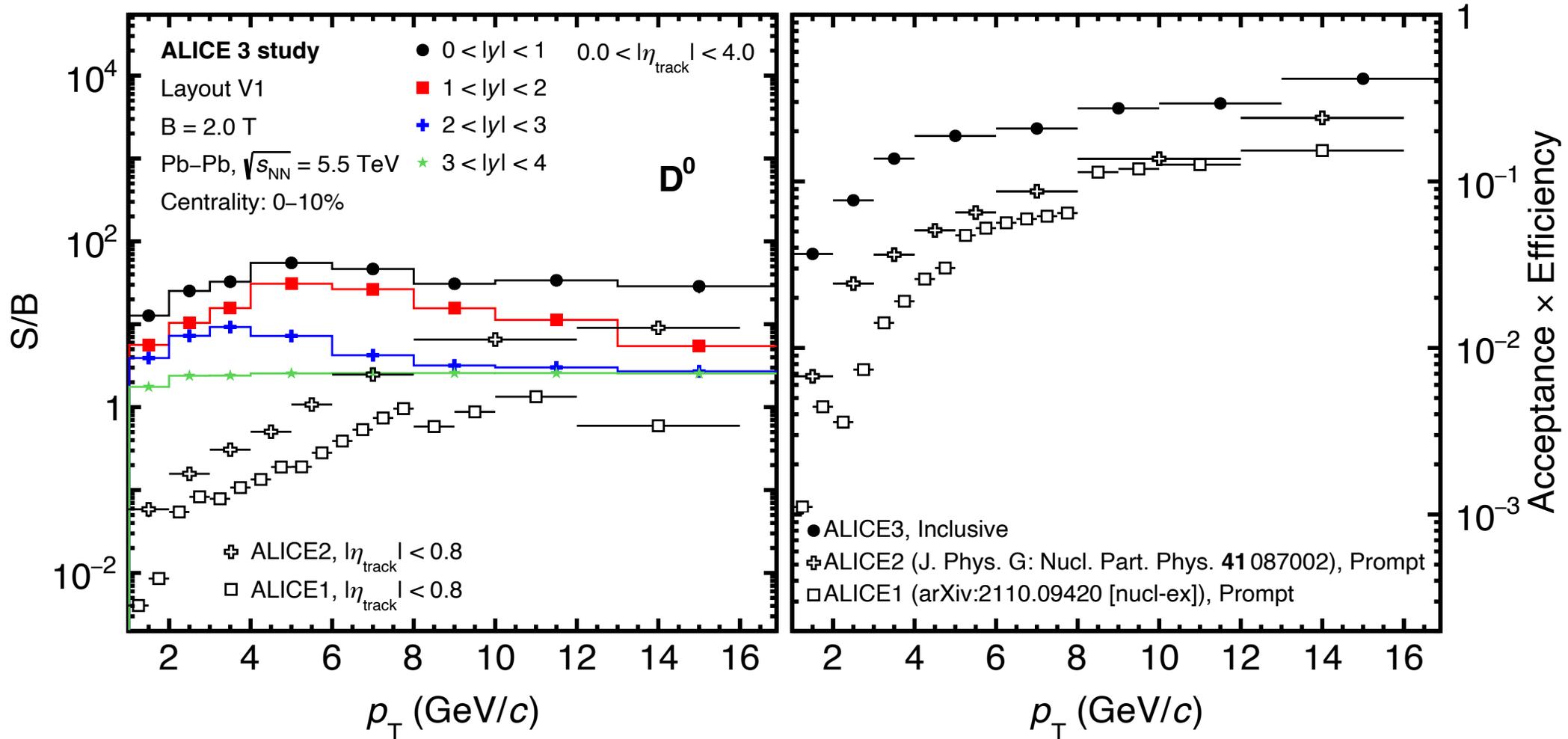
**Table 1:** Projected LHC performance: For various collision systems, we list the peak luminosity  $L_{AA}$ , the average luminosity  $\langle L_{AA} \rangle$ , the luminosity integrated per month of operation  $\mathcal{L}_{AA}^{\text{month}}$ , also rescaled to the nucleon–nucleon luminosity  $\mathcal{L}_{NN}^{\text{month}}$  (multiplying by  $A^2$ ). Furthermore, we list the maximum interaction rate  $R_{\text{max}}$ , the minimum bias (MB) charged particle pseudorapidity density  $dN/d\eta$ , and the interaction probability  $\mu$  per bunch crossing. For the radii 0.5 cm and 1 m, we also list the particle fluence, the non-ionising energy loss, and the total ionising dose per operational month (assuming a running efficiency of 65%).

# Backup - Kinematics and di-electrons

Observables	Kinematic range
Heavy-flavour hadrons	$p_T \rightarrow 0$ , $ \eta  < 4$
Dielectrons	$p_T \approx 0.05$ to $3 \text{ GeV}/c$ , $M_{ee} \approx 0.05$ to $4 \text{ GeV}/c^2$
Photons	$p_T \approx 0.1$ to $50 \text{ GeV}/c$ , $-2 < \eta < 4$
Quarkonia and exotica	$p_T \rightarrow 0$ , $ \eta  < 1.75$
Ultrasoft photons	$p_T \approx 1$ to $50 \text{ MeV}/c$ , $3 < \eta < 5$
Nuclei	$p_T \rightarrow 0$ , $ \eta  < 4$



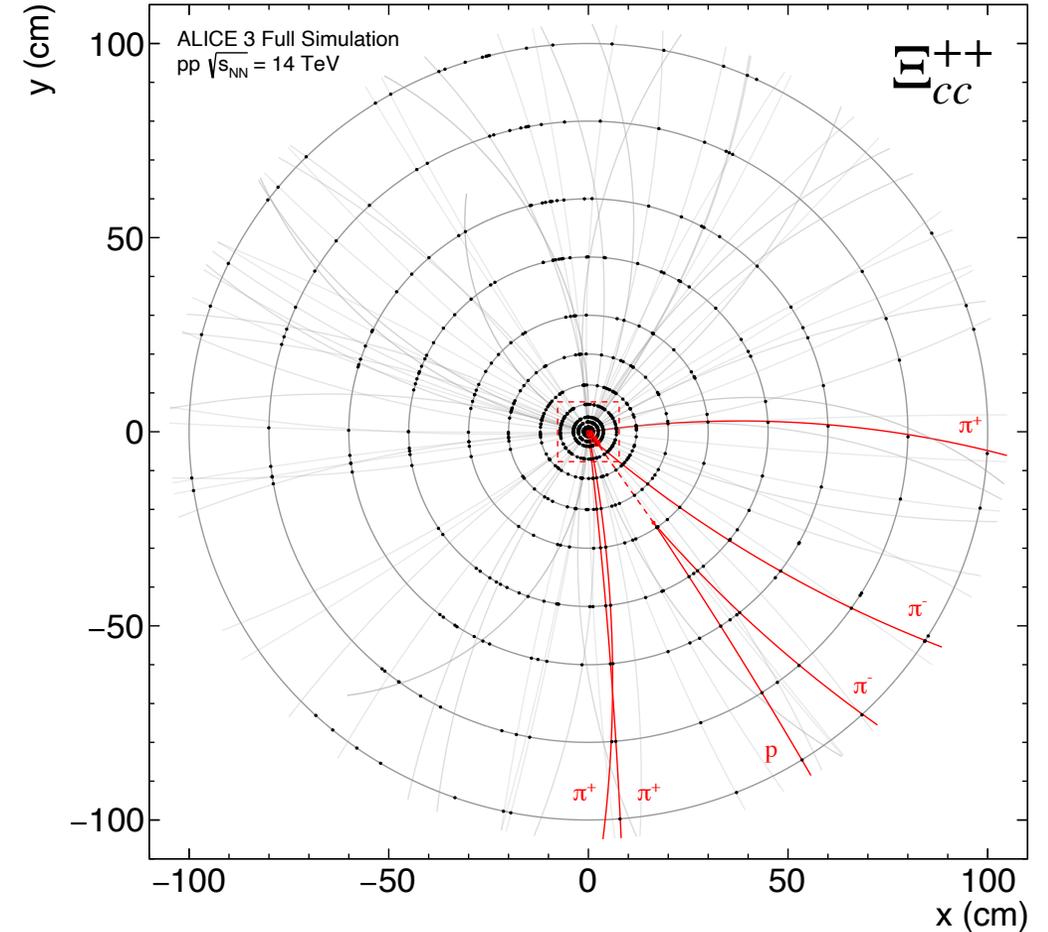
# Backup - D meson



# Backup - Multi-charm baryons

Particle	Mass (GeV/ $c$ )	$c\tau$ ( $\mu\text{m}$ )	Decay Channel	Branching Ratio (%)
$\Omega_{cc}^+$	3.746	50 (assumed)	$\Omega_c^0 + \pi^+$	5.0 (assumed)
$\Omega_c^0$	2.695	80	$\Omega^- + \pi^+$	5.0 (assumed)
$\Xi_{cc}^{++}$	3.621	76	$\Xi_c^+ + \pi^+$	5.0 (assumed)
$\Xi_c^+$	2.468	137	$\Xi^- + 2\pi^+$	$(2.86 \pm 1.27)$
$\Xi_c^+$	2.468	137	$p + K^- + \pi^+$	$(6.2 \pm 3.0)10^{-3}$

**Table 6:** Particles and decay channels used in the reconstruction of the  $\Xi_{cc}^{++}$  and  $\Omega_{cc}^+$  analyses using strangeness tracking. Values from [227]. Where no measurement is available, a branching ratio of 5% is assumed.



# Backup - Multi-charm baryons

