

# Introduction to Partonic Structure of Hadrons

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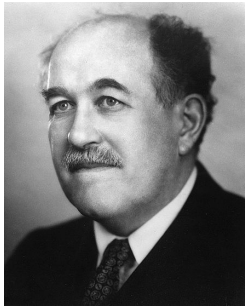
香港中文大學(深圳)

The Chinese University of Hong Kong, Shenzhen

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# Introduction

- Hadrons (baryons, mesons) are composite particles with **quarks** and **gluons** being their fundamental constituents
- First evidence of the **composite nature** of the proton



Otto Stern



Nobel prize  
in 1943

$$\mu_p = g_p \left( \frac{e\hbar}{2m_p} \right)$$

$$g_p = 2.792847356(23) \neq 2!$$

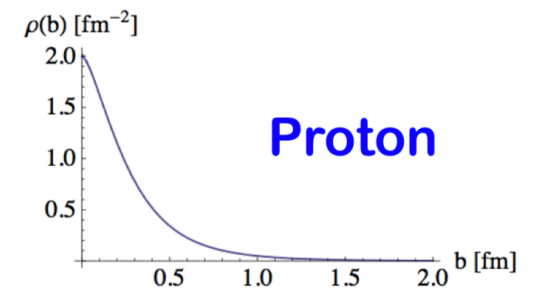
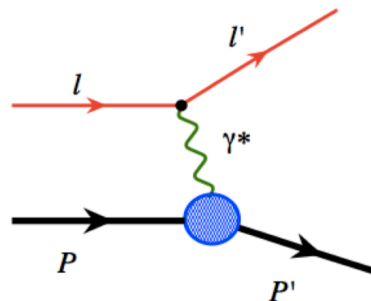
- Elastic e-p scattering maps out the **charge and magnetization distribution** of the proton



R. Hofstadter

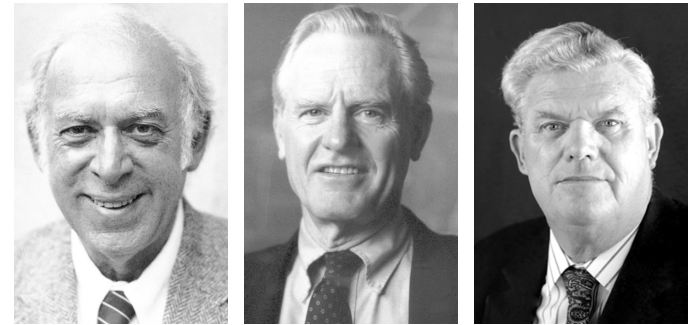
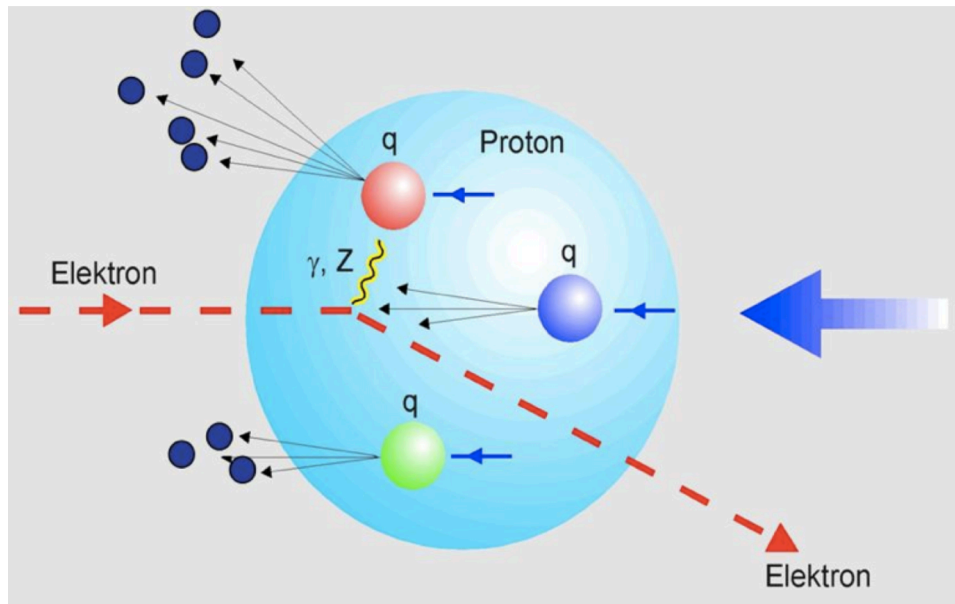


Nobel prize  
in 1961



# Introduction

- Hadrons (baryons, mesons) are composite particles with **quarks** and **gluons** being their fundamental constituents
- Deep-inelastic scattering accesses the **momentum density** of the proton's fundamental constituents via knockout reactions



J. Friedman H. Kendall R. Taylor



Nobel prize in 1990

- Discovery of spin-1/2 quarks and **partonic structure** of the proton

# Theoretical tools for hadron structure

- What have we learnt from non-relativistic systems such as atoms?
- A quantum mechanical system is described by its wave function  $|\psi\rangle$ , which is determined from Schrödinger equation
- Physical observables are usually sensitive to the modulus square of the wave function  $|\langle x|\psi\rangle|^2 = |\psi(x)|^2$ , where the phase information is washed out
- The complete information of the system can be obtained by measuring correlations of wave functions or the density matrix. For a pure state it is defined as

$$\rho = |\psi\rangle\langle\psi|$$

- In coordinate space, we have

$$\langle x|\rho|x'\rangle = \langle x|\psi\rangle\langle\psi|x'\rangle = \psi(x)\psi^*(x')$$

# Theoretical tools for hadron structure

- The Fourier transform of the density matrix provides an alternative description of a quantum mechanical system. It is called the **Wigner function/distribution**

$$W(\mathbf{r}, \mathbf{p}) = \int \frac{d^3\mathbf{R}}{(2\pi)^3} e^{-i\mathbf{p}\cdot\mathbf{R}} \psi^*\left(\mathbf{r} - \frac{\mathbf{R}}{2}\right) \psi\left(\mathbf{r} + \frac{\mathbf{R}}{2}\right)$$

- It is the quantum analogue of the classical phase-space distribution. It is a real function,

$$W^*(\mathbf{r}, \mathbf{p}) = W(\mathbf{r}, \mathbf{p})$$

but not positive-definite, and cannot be regarded as a probability distribution

- Nevertheless, physical observables can be computed by taking the average

$$\langle O(\mathbf{r}, \mathbf{p}) \rangle = \int d^3\mathbf{r} d^3\mathbf{p} W(\mathbf{r}, \mathbf{p}) O(\mathbf{r}, \mathbf{p})$$

with the operator being appropriately ordered

# Theoretical tools for hadron structure

- The Fourier transform of the density matrix provides an alternative description of a quantum mechanical system. It is called the **Wigner function/distribution**

$$W(\mathbf{r}, \mathbf{p}) = \int \frac{d^3\mathbf{R}}{(2\pi)^3} e^{-i\mathbf{p}\cdot\mathbf{R}} \psi^*\left(\mathbf{r} - \frac{\mathbf{R}}{2}\right) \psi\left(\mathbf{r} + \frac{\mathbf{R}}{2}\right)$$

- Integrating over coordinate or momentum does yield **positive-definite density functions**

$$\int d^3\mathbf{p} W(\mathbf{r}, \mathbf{p}) = |\psi(\mathbf{r})|^2 = \rho(\mathbf{r}), \quad \int d^3\mathbf{r} W(\mathbf{r}, \mathbf{p}) = |\psi(\mathbf{p})|^2 = n(\mathbf{p})$$

- The former represents the **spatial distribution** of matter (e.g., charge distribution), while the latter represents the **density distribution** of its constituents in momentum space
- They provide two types of quantities unraveling the microscopic structure of matter

# Theoretical tools for hadron structure

- The spatial distribution  $\rho(\mathbf{r}) = |\psi(\mathbf{r})|^2$  can be probed through elastic scattering of electrons, photons, etc., off the target, where one measures the elastic form factor  $F(\Delta)$  defined as

$$\rho(\mathbf{r}) = \int d^3\Delta e^{i\Delta \cdot \mathbf{r}} F(\Delta)$$

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_{\text{point}} |F(\Delta)|^2$$

- The momentum density can be probed through inelastic knockout scattering, where one measures the structure function related to the momentum density

$$n(\mathbf{p}) = \int \frac{d^3\mathbf{r}_1 d^3\mathbf{r}_2}{(2\pi)^6} e^{i\mathbf{p} \cdot (\mathbf{r}_1 - \mathbf{r}_2)} \rho(\mathbf{r}_1, \mathbf{r}_2)$$

- These two observables are complementary. The former contains spatial distribution but not velocity information of the constituents, while for the latter it is the opposite

# Nucleon form factors

- The spatial distribution and momentum density can be generalized to relativistic systems described by quantum field theory
- Take the nucleon as an example. The spatial distribution can be probed by its elastic form factors. For example, the electromagnetic form factor is given by

$$\langle p_2 | j^\mu(0) | p_1 \rangle = \bar{U}(p_2) \left[ \gamma^\mu F_1(\Delta^2) + \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M_N} F_2(\Delta^2) \right] U(p_1), \quad j^\mu(0) = \sum_f Q_f \bar{\psi}_f(0) \gamma^\mu \psi_f(0)$$

- $F_1(\Delta^2), F_2(\Delta^2)$  are called Dirac and Pauli form factors. They are related to the Sachs electric and magnetic form factors by

$$G_E(\Delta^2) = F_1(\Delta^2) - \frac{\Delta^2}{4M_N^2} F_2(\Delta^2), \quad G_M(\Delta^2) = F_1(\Delta^2) + F_2(\Delta^2)$$

which correspond to the charge and magnetization distribution in the Breit frame (the initial and final nucleons have  $\mathbf{p}_1 = -\mathbf{p}_2$ )



# Nucleon form factors

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- This is also reflected from the relation to the charge and magnetic moment of the nucleon

$$Q \equiv \int d^3r j^0(\mathbf{r}), \quad \boldsymbol{\mu} \equiv \int d^3r [\mathbf{r} \times \mathbf{j}(\mathbf{r})]$$

$$\frac{\langle p | Q | p \rangle}{\langle p | p \rangle} = F_1(0), \quad \frac{\langle p | \boldsymbol{\mu} | p \rangle}{\langle p | p \rangle} = \frac{s}{M_N} (F_1(0) + F_2(0))$$

- One can sandwich different current operators in the nucleon state, yielding different information about the nucleon structure

# Nucleon form factors

- In particular, the axial-vector current helps to reveal the nucleon spin structure

$$\langle p_2 | A^\mu(0) | p_1 \rangle = \bar{U}(p_2) [\gamma^\mu \gamma_5 G_A(\Delta^2) + \frac{\gamma_5 \Delta^\mu}{2M_N} G_P(\Delta^2)] U(p_1)$$

$$A^\mu(0) = \bar{\psi}_f(0) \gamma^\mu \gamma_5 \psi_f(0)$$

- $G_A(\Delta^2), G_P(\Delta^2)$  are the axial and (induced) pseudoscalar form factor
- In analogy with the vector case, the axial charge is defined as the zero momentum transfer limit of  $G_A(\Delta^2)$

$$g_A = G_A(\Delta^2 = 0)$$

- The isovector combination  $g_A^{u-d}$  is an important parameter dictating the strength of weak interactions of nucleons
- It can be well determined in neutron beta decay experiments
- Ideal for benchmark lattice calculations of nucleon structure
- Disconnected contributions cancel

# Nucleon form factors from lattice QCD

- The only systematically improvable tool to study nonperturbative phenomena of hadrons is lattice QCD

- Calculate physical observables from the path integral

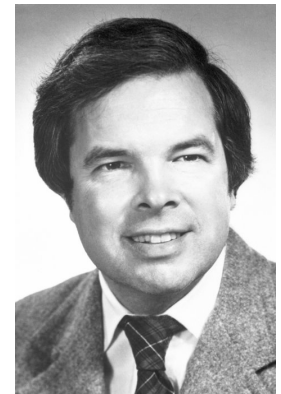
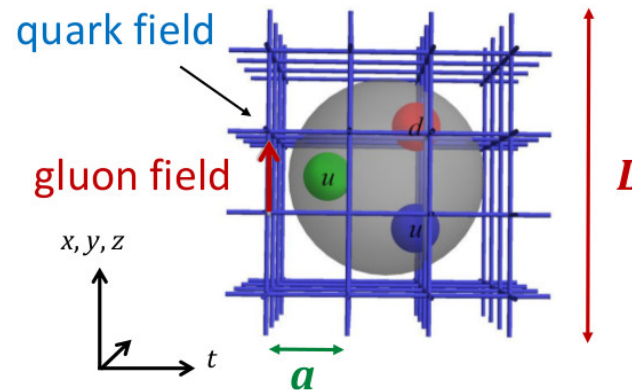
$$\langle 0 | O(\bar{\psi}, \psi, A) | 0 \rangle = \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{iS(\bar{\psi}, \psi, A)} O(\bar{\psi}, \psi, A)$$

in Euclidean space

$$t \rightarrow -i\tau, \quad e^{iS_M} \rightarrow e^{-S_E}$$

- Recover physical limit

$$m_\pi \rightarrow m_\pi^{phys}, \quad a \rightarrow 0, \quad L \rightarrow \infty$$



# Nucleon form factors from lattice QCD

- Lattice calculation of nucleon axial charge:
- Consider the nucleon 2- and 3-point correlation functions at zero momentum (Fourier transform factors reduce to 1)

$$C_{\alpha\beta}^{2\text{pt}}(t) = \sum_{\mathbf{x}} \langle 0 | \chi_{\alpha}(t, \mathbf{x}) \bar{\chi}_{\beta}(0, \mathbf{0}) | 0 \rangle ,$$

$$\mathcal{O}_{\Gamma}(x) = \bar{q}(x)\Gamma q(x)$$

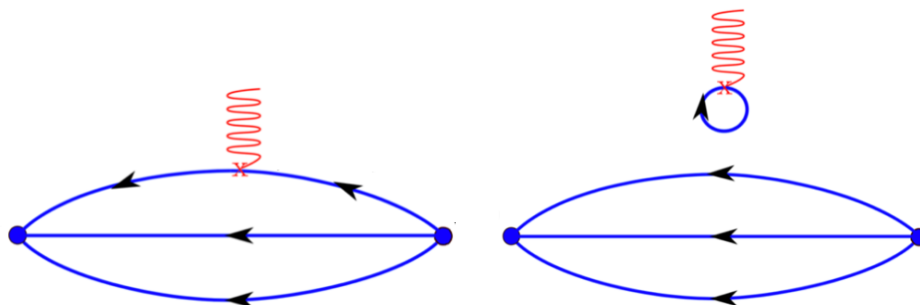
$$C_{\Gamma;\alpha\beta}^{3\text{pt}}(t, \tau) = \sum_{\mathbf{x}, \mathbf{x}'} \langle 0 | \chi_{\alpha}(t, \mathbf{x}) \mathcal{O}_{\Gamma}(\tau, \mathbf{x}') \bar{\chi}_{\beta}(0, \mathbf{0}) | 0 \rangle$$

$$\Gamma = \gamma_i \gamma_5$$

- with the nucleon interpolating operator

$$\chi(x) = \epsilon^{abc} \left[ q_1^{aT}(x) C \gamma_5 \frac{(1 \pm \gamma_4)}{2} q_2^b(x) \right] q_1^c(x)$$

For a given flavor, quark contraction yields



Connected

Disconnected

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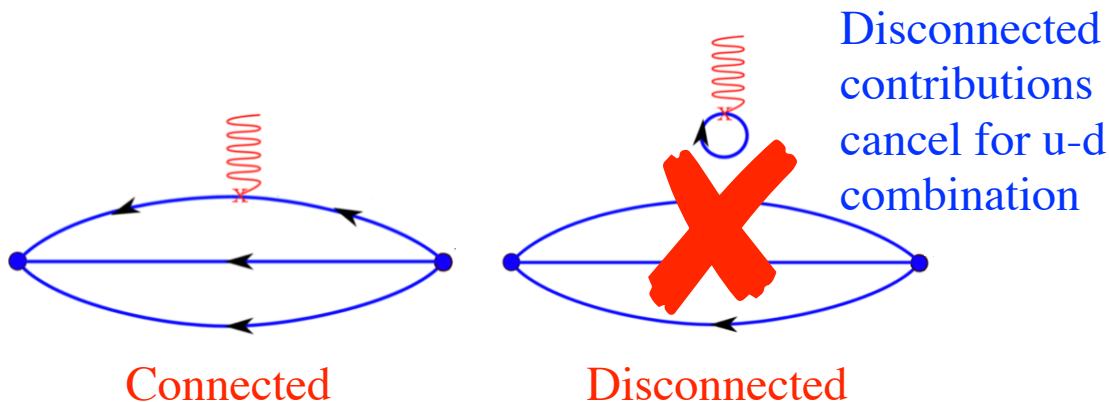
$$C_{\Gamma;\alpha\beta}^{3\text{pt}}(t, \tau) = \sum_{\mathbf{x}, \mathbf{x}'} \langle 0 | \chi_{\alpha}(t, \mathbf{x}) \mathcal{O}_{\Gamma}(\tau, \mathbf{x}') \bar{\chi}_{\beta}(0, \mathbf{0}) | 0 \rangle$$

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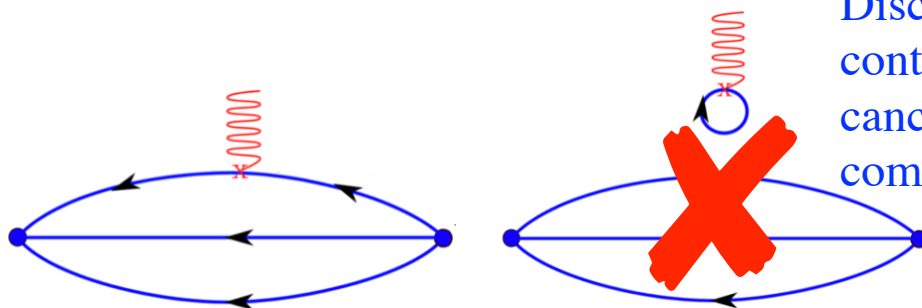
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For a given flavor, quark contraction yields

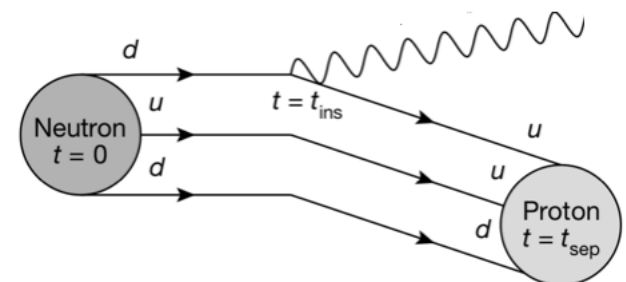


Connected

Disconnected

Disconnected contributions cancel for u-d combination

Equivalent to



# Nucleon form factors from lattice QCD

- Lattice calculation of nucleon axial charge:
- Consider the nucleon 2- and 3-point correlation functions at zero momentum (Fourier transform factors reduce to 1)

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$$\Gamma = \gamma_i \gamma_5$$

- The nucleon charge is given by

$$\langle N(p, s) | \mathcal{O}_{\Gamma}^q | N(p, s) \rangle = g_{\Gamma}^q \bar{u}_s(p) \Gamma u_s(p)$$

$$\sum_s u_s(\mathbf{p}) \bar{u}_s(\mathbf{p}) = \not{p} + m_N$$

- To extract the charge, we need the projected correlation functions

$$\mathbf{C}^{2\text{pt}}(t) = \langle \text{Tr}[\mathcal{P}_{2\text{pt}} \mathbf{C}^{2\text{pt}}(t)] \rangle$$

$$\mathcal{P}_{2\text{pt}} = (1 + \gamma_4)/2$$

$$\mathbf{C}_{\Gamma}^{3\text{pt}}(t, \tau) = \langle \text{Tr}[\mathcal{P}_{3\text{pt}} \mathbf{C}_{\Gamma}^{3\text{pt}}(t, \tau)] \rangle$$

$$\mathcal{P}_{3\text{pt}} = \mathcal{P}_{2\text{pt}}(1 + i\gamma_5\gamma_3)$$

# Nucleon form factors from lattice QCD

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- Consider the nucleon 2- and 3-point correlation functions at zero momentum (Fourier transform factors reduce to 1)

$$C_{\alpha\beta}^{2\text{pt}}(t) = \sum_{\mathbf{x}} \langle 0 | \chi_{\alpha}(t, \mathbf{x}) \bar{\chi}_{\beta}(0, \mathbf{0}) | 0 \rangle, \quad \mathcal{O}_{\Gamma}(x) = \bar{q}(x) \Gamma q(x)$$

$$C_{\Gamma;\alpha\beta}^{3\text{pt}}(t, \tau) = \sum_{\mathbf{x}, \mathbf{x}'} \langle 0 | \chi_{\alpha}(t, \mathbf{x}) \mathcal{O}_{\Gamma}(\tau, \mathbf{x}') \bar{\chi}_{\beta}(0, \mathbf{0}) | 0 \rangle \quad \Gamma = \gamma_i \gamma_5$$

- Two-state fits for the projected 2- and 3-point correlation functions

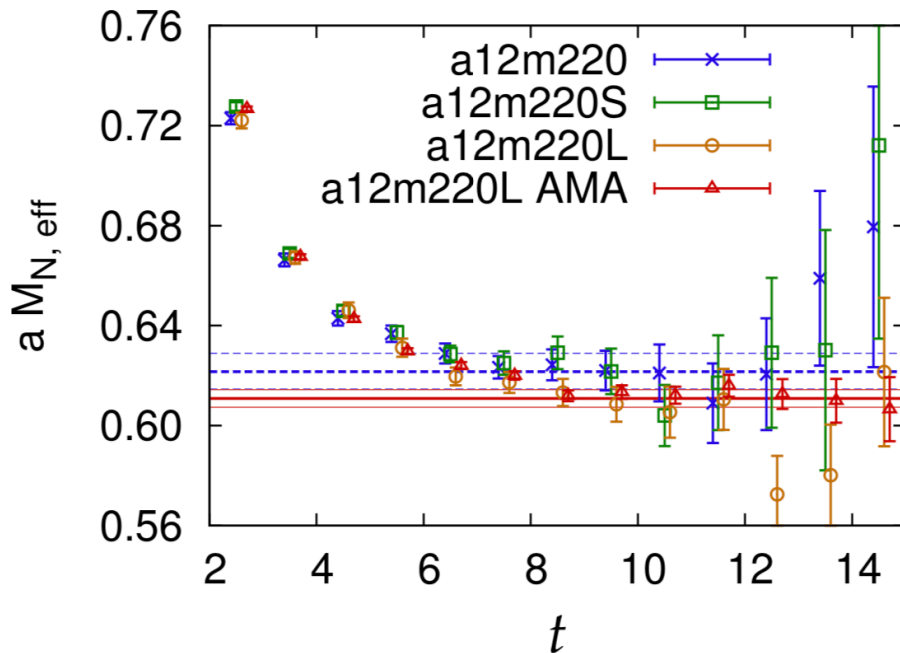
$$C^{2\text{pt}}(t_f, t_i) = |\mathcal{A}_0|^2 e^{-M_0(t_f - t_i)} + |\mathcal{A}_1|^2 e^{-M_1(t_f - t_i)},$$

$$C_{\Gamma}^{3\text{pt}}(t_f, \tau, t_i) = |\mathcal{A}_0|^2 \langle 0 | \mathcal{O}_{\Gamma} | 0 \rangle e^{-M_0(t_f - t_i)} + |\mathcal{A}_1|^2 \langle 1 | \mathcal{O}_{\Gamma} | 1 \rangle e^{-M_1(t_f - t_i)} + \mathcal{A}_0 \mathcal{A}_1^* \langle 0 | \mathcal{O}_{\Gamma} | 1 \rangle e^{-M_0(\tau - t_i)} e^{-M_1(t_f - \tau)} + \mathcal{A}_0^* \mathcal{A}_1 \langle 1 | \mathcal{O}_{\Gamma} | 0 \rangle e^{-M_1(\tau - t_i)} e^{-M_0(t_f - \tau)},$$

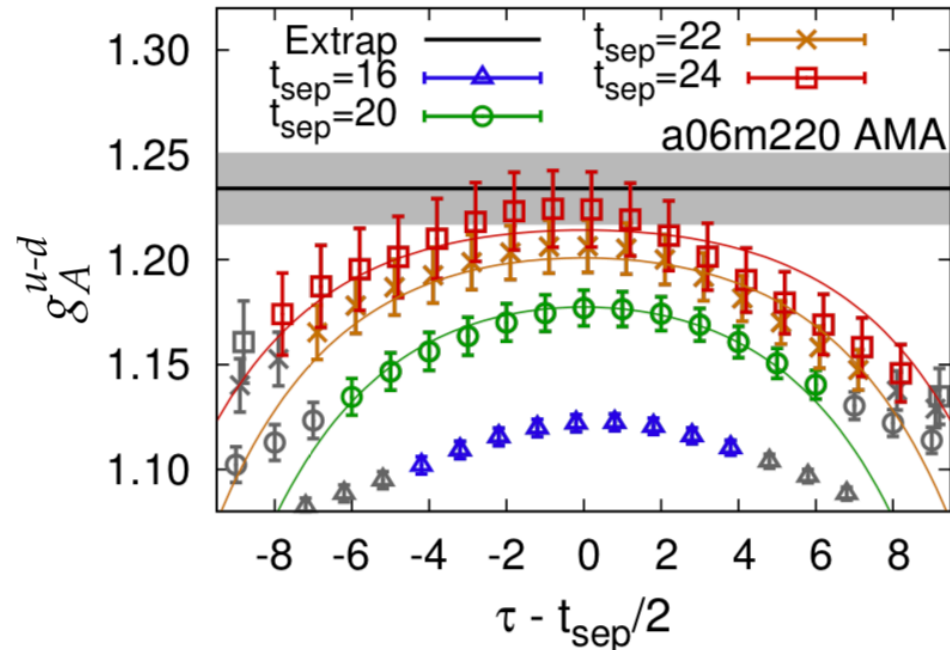


# Nucleon form factors from lattice QCD

- Lattice calculation of nucleon axial charge:



Effective mass plot



2-state fit of unrenormalized  $g_A^{u-d}$

Bhattacharya et al, PRD 16'

# Nucleon form factors from lattice QCD

- Lattice calculation of nucleon axial charge:
- Renormalization constant

ID	$Z_A$	$Z_A/Z_V$
a12	0.95(3)	1.045(09)
a09	0.95(4)	1.034(11)
a06	0.97(3)	1.025(09)

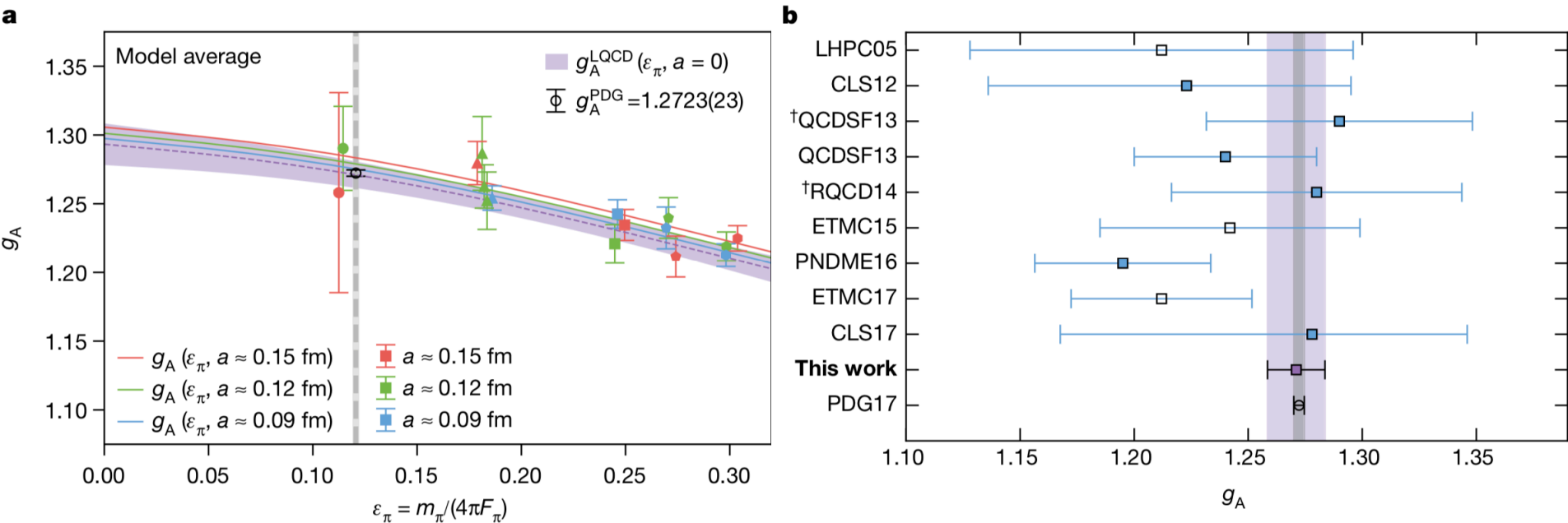
$$Z_V g_V^{u-d} = 1$$

- To compare with experimental measurements, we need to extrapolate to the continuum ( $a \rightarrow 0$ ), physical pion mass ( $m_\pi = m_{\pi,\text{phys}}$ ) and the infinite volume limit ( $L \rightarrow \infty$ )

$$g_A^{u-d}(a, m_\pi, L) = c_1 + c_2 a + c_3 m_\pi^2 + c_4 m_\pi^2 e^{-m_\pi L}$$

# Nucleon form factors from lattice QCD

- Lattice calculation of nucleon axial charge:



Chang et al, Nature 18'

# Parton distribution functions

- Parton distribution functions describe **momentum densities of partons** inside the nucleon, can be accessed in inclusive DIS
- Scattering amplitude

$$\mathcal{M} = \bar{u}(k')(-ie\gamma_\mu)u(k)\frac{-i}{q^2}\langle X|J^\mu|P\rangle$$

- Differential cross section can be written as

$$\frac{d\sigma}{d\Omega dE} = \frac{\alpha^2}{Q^4} \frac{E'}{E} \ell_{\mu\nu} W^{\mu\nu}$$

- with leptonic and hadronic tensors

$$\ell_{\mu\nu} = 4e^2(k_\mu k'_\nu + k'_\mu k_\nu - g_{\mu\nu} k \cdot k'), \quad W_{\mu\nu} = \frac{1}{4\pi} \sum_X \langle P|J_\mu|X\rangle \langle X|J_\nu|P\rangle (2\pi)^4 \delta^4(P+q-P_X)$$

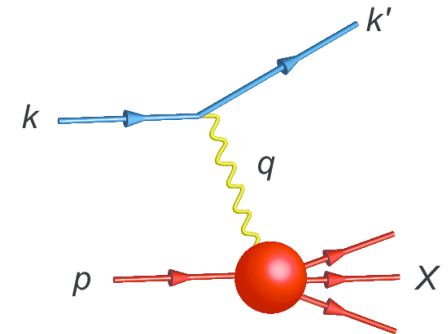
- General decomposition of  $W_{\mu\nu}$  in terms of structure functions

$$W_{\mu\nu} = -\left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2}\right) F_1(x_B, Q^2) + \frac{1}{p \cdot q} \left(p_\mu - q_\mu \frac{p \cdot q}{q^2}\right) \left(p_\nu - q_\nu \frac{p \cdot q}{q^2}\right) F_2(x_B, Q^2)$$

$$+ iM_p \varepsilon^{\mu\nu\rho\sigma} q_\rho \left[ \frac{S_\sigma}{p \cdot q} g_1(x_B, Q^2) + \frac{(p \cdot q) S_\sigma - (S \cdot q) p_\sigma}{(p \cdot q)^2} g_2(x_B, Q^2) \right]$$

$$Q^2 = -q^2$$

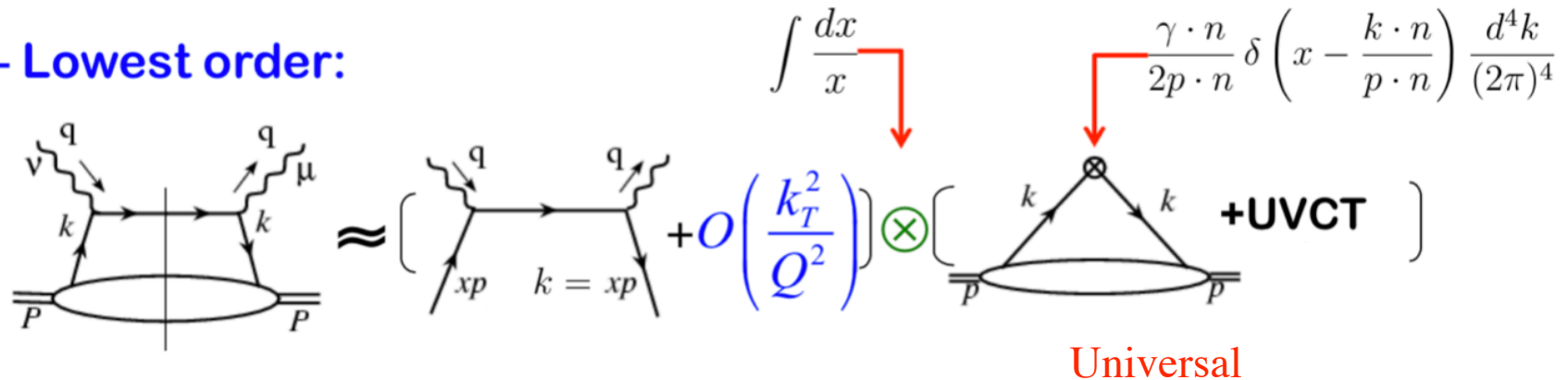
$$x_B = \frac{Q^2}{2p \cdot q}$$



# Parton distribution functions

- Collinear approximation  $Q \sim xn \cdot p \gg k_T, \sqrt{k^2}$

– Lowest order:



- A simple example of factorization
- Parton transverse momentum integrated over in the collinear PDFs
- It also provides an estimate of certain power corrections
- In the Bjorken limit  $Q^2 \rightarrow \infty, x_B$  fixed

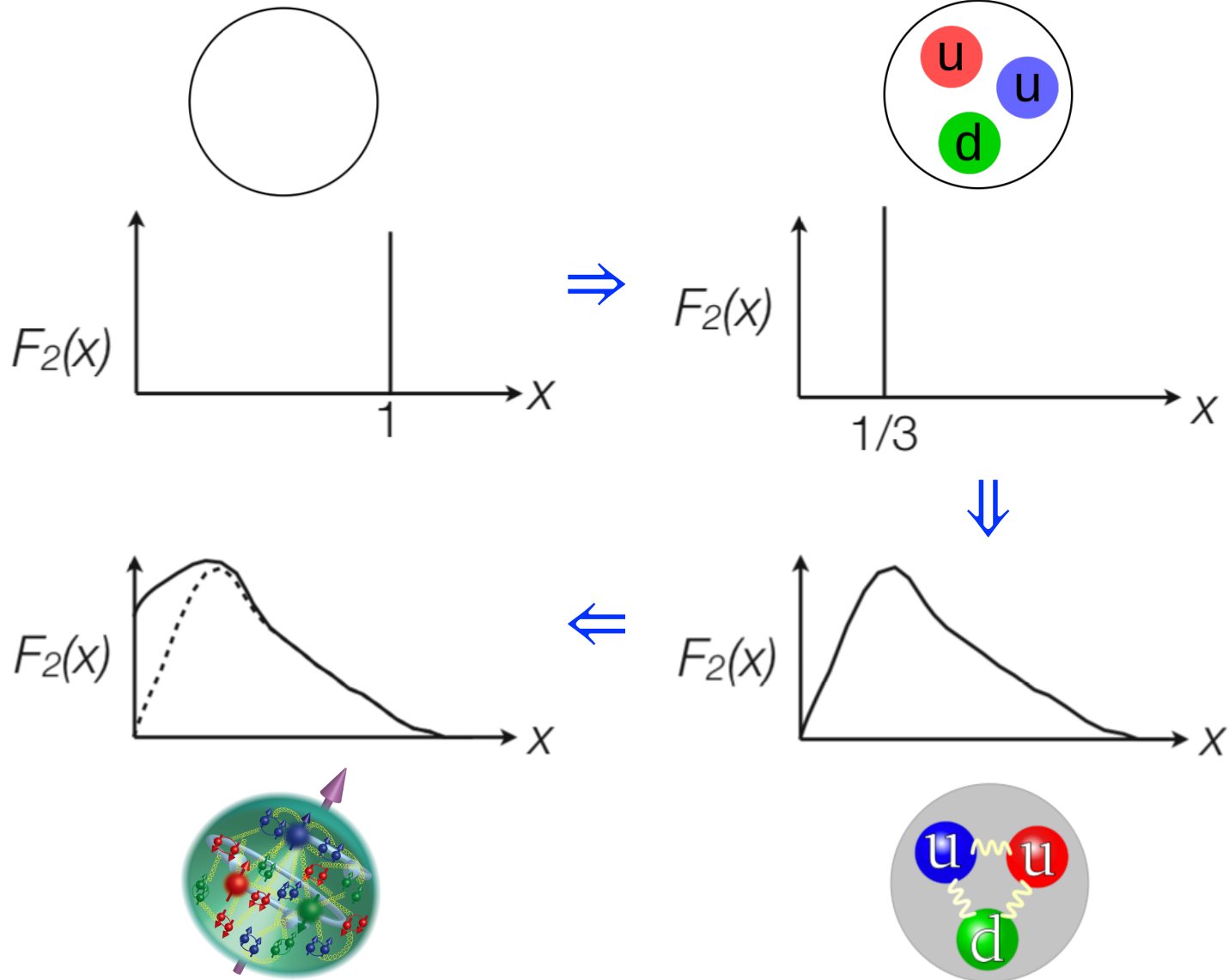
$$F_1(x_B, Q^2) = \frac{1}{2} \sum_i e_i^2 q_i(x_B), \quad F_2(x_B, Q^2) = x_B \sum_i e_i^2 q_i(x_B)$$

up to higher-order perturbative and high-power corrections

- Bjorken scaling and Feynman's parton model

# Parton distribution functions

- How do PDFs look like?

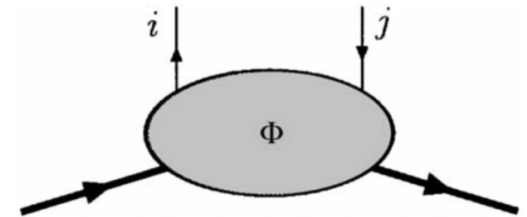


# Parton distribution functions

- PDFs from correlation matrix
- Example: The quark PDFs are obtained by applying certain projection to the quark-quark correlation matrix

$$\Phi_{ij}(k, P, S) = \int d^4\xi e^{ik\cdot\xi} \langle PS | \bar{\psi}_j(0) \psi_i(\xi) | PS \rangle$$

$$\text{Tr}(\Gamma\Phi) = \int d^4\xi e^{ik\cdot\xi} \langle PS | \bar{\psi}(0) \Gamma \psi(\xi) | PS \rangle$$



- Not gauge invariant  $\psi(x) \rightarrow e^{i\alpha(x)}\psi(x)$ ,  $\bar{\psi}(x) \rightarrow \bar{\psi}(x)e^{-i\alpha(x)}$
- Needs a gauge link

$$W(x_2, x_1) = \mathcal{P}e^{-ig \int_{x_1}^{x_2} dx \cdot A(x)}, \quad W(x_2, x_1) \rightarrow e^{i\alpha(x_2)} W(x_2, x_1) e^{-i\alpha(x_1)}$$

- This correlation matrix satisfies certain constraints from hermiticity, parity and time-reversal invariance

$$\Phi^\dagger(k, P, S) = \gamma^0 \Phi(k, P, S) \gamma^0 \quad \text{Hermiticity}$$

$$\Phi(k, P, S) = \gamma^0 \Phi(\tilde{k}, \tilde{P}, -\tilde{S}) \gamma^0 \quad \text{Parity} \quad \tilde{k}^\mu = (k^0, -\mathbf{k})$$

$$\Phi^*(k, P, S) = \gamma_5 C \Phi(\tilde{k}, \tilde{P}, \tilde{S}) C^\dagger \gamma_5 \quad \text{Time reversal}$$

# Parton distribution functions

- $\Phi$  can be decomposed in terms of Dirac matrices

$$\Phi(k, P, S) = \frac{1}{2} \{ \mathcal{S} \mathbb{1} + \mathcal{V}_\mu \gamma^\mu + \mathcal{A}_\mu \gamma_5 \gamma^\mu + i \mathcal{P}_5 \gamma_5 + \frac{1}{2} i \mathcal{T}_{\mu\nu} \sigma^{\mu\nu} \gamma_5 \}$$

- with the coefficients of each matrix

$$\mathcal{S} = \frac{1}{2} \text{Tr}(\Phi) = C_1,$$

$$\mathcal{V}^\mu = \frac{1}{2} \text{Tr}(\gamma^\mu \Phi) = C_2 P^\mu + C_3 k^\mu,$$

$$\mathcal{A}^\mu = \frac{1}{2} \text{Tr}(\gamma^\mu \gamma_5 \Phi) = C_4 S^\mu + C_5 k \cdot S P^\mu + C_6 k \cdot S k^\mu,$$

$$\mathcal{P}_5 = \frac{1}{2i} \text{Tr}(\gamma_5 \Phi) = 0,$$

$$\mathcal{T}^{\mu\nu} = \frac{1}{2i} \text{Tr}(\sigma^{\mu\nu} \gamma_5 \Phi) = C_7 P^{[\mu} S^{\nu]} + C_8 k^{[\mu} S^{\nu]} + C_9 k \cdot S P^{[\mu} k^{\nu]},$$

- $C_i = C_i(k^2, k \cdot P)$  are real functions



# Parton distribution functions

- In the collinear approximation

$$k^\mu \approx xP^\mu, S^\mu \approx \lambda_N \frac{P^\mu}{M} + S_\perp^\mu$$

- To leading-power accuracy, only three terms are left

$$\mathcal{V}^\mu = \frac{1}{2} \int d^4\xi e^{ik\cdot\xi} \langle PS | \bar{\psi}(0) \gamma^\mu \psi(\xi) | PS \rangle = A_1 P^\mu,$$

$$\mathcal{A}^\mu = \frac{1}{2} \int d^4\xi e^{ik\cdot\xi} \langle PS | \bar{\psi}(0) \gamma^\mu \gamma_5 \psi(\xi) | PS \rangle = \lambda_N A_2 P^\mu,$$

$$\mathcal{T}^{\mu\nu} = \frac{1}{2i} \int d^4\xi e^{ik\cdot\xi} \langle PS | \bar{\psi}(0) \sigma^{\mu\nu} \gamma_5 \psi(\xi) | PS \rangle = A_3 P^{[\mu} S_\perp^{\nu]},$$

- and

$$\Phi(k, P, S) = \frac{1}{2} \{ A_1 \not{P} + A_2 \lambda_N \gamma_5 \not{P} + A_3 \not{P} \gamma_5 \not{S}_\perp \}$$

$$A_1 = \frac{1}{2P^+} \text{Tr}(\gamma^+ \Phi), \quad \lambda_N A_2 = \frac{1}{2P^+} \text{Tr}(\gamma^+ \gamma_5 \Phi), \quad S_\perp^i A_3 = \frac{1}{2P^+} \text{Tr}(i\sigma^{i+} \gamma_5 \Phi) = \frac{1}{2P^+} \text{Tr}(\gamma^+ \gamma^i \gamma_5 \Phi).$$

$$\left\{ \begin{array}{l} f(x) \\ \Delta f(x) \\ \Delta_T f(x) \end{array} \right\} = \int \frac{d^4k}{(2\pi)^4} \left\{ \begin{array}{l} A_1(k^2, k \cdot P) \\ A_2(k^2, k \cdot P) \\ A_3(k^2, k \cdot P) \end{array} \right\} \delta\left(x - \frac{k^+}{P^+}\right) = \left\{ \begin{array}{l} \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \psi(0, \xi^-, 0_\perp) | PS \rangle, \\ \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(0, \xi^-, 0_\perp) | PS \rangle \\ \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \gamma^1 \gamma_5 \psi(0, \xi^-, 0_\perp) | PS \rangle \end{array} \right.$$

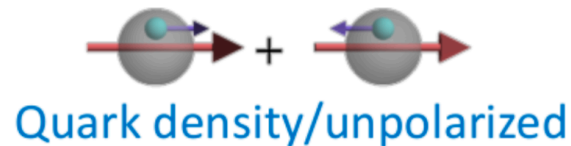
# Parton distribution functions

- In the collinear approximation

$$k^\mu \approx xP^\mu, S^\mu \approx \lambda_N \frac{P^\mu}{M} + S_\perp^\mu$$

- To leading-power accuracy, only three terms are left

$$\mathcal{V}^\mu = \frac{1}{2} \int d^4\xi e^{ik \cdot \xi} \langle PS | \bar{\psi}(0) \gamma^\mu \psi(\xi) | PS \rangle$$



$$\mathcal{A}^\mu = \frac{1}{2} \int d^4\xi e^{ik \cdot \xi} \langle PS | \bar{\psi}(0) \gamma^\mu \gamma_5 \psi(\xi) | PS \rangle$$



$$\mathcal{T}^{\mu\nu} = \frac{1}{2i} \int d^4\xi e^{ik \cdot \xi} \langle PS | \bar{\psi}(0) \sigma^{\mu\nu} \gamma_5 \psi(\xi) | PS \rangle$$

longitudinally polarized

- and

$$\Phi(k, P, S) = \frac{1}{2} \{ A_1 \not{P} + A_2 \lambda_N \gamma_5 \not{P} + A_3 \gamma_5 \not{P} \}$$



transversely polarized

$\text{Tr}(\gamma^+ \gamma^i \gamma_5 \Phi)$ .

$$A_1 = \frac{1}{2P^+} \text{Tr}(\gamma^+ \Phi),$$

$$\lambda_N A_2 = \frac{1}{2P^+} \text{Tr}(\gamma^+ \gamma_5 \Phi),$$

$$\begin{Bmatrix} f(x) \\ \Delta f(x) \\ \Delta_T f(x) \end{Bmatrix} = \int \frac{d^4k}{(2\pi)^4} \begin{Bmatrix} A_1(k^2, k \cdot P) \\ A_2(k^2, k \cdot P) \\ A_3(k^2, k \cdot P) \end{Bmatrix} \delta\left(x - \frac{k^+}{P^+}\right) = \begin{cases} \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \psi(0, \xi^-, 0_\perp) | PS \rangle, \\ \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(0, \xi^-, 0_\perp) | PS \rangle, \\ \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle PS | \bar{\psi}(0) \gamma^+ \gamma^1 \gamma_5 \psi(0, \xi^-, 0_\perp) | PS \rangle \end{cases}$$

# Parton distribution functions

- Global determination of PDFs from experimental data

Input DPFs at  $Q_0$

$$\varphi_{f/h}(x, \{a_j\})$$



DGLAP

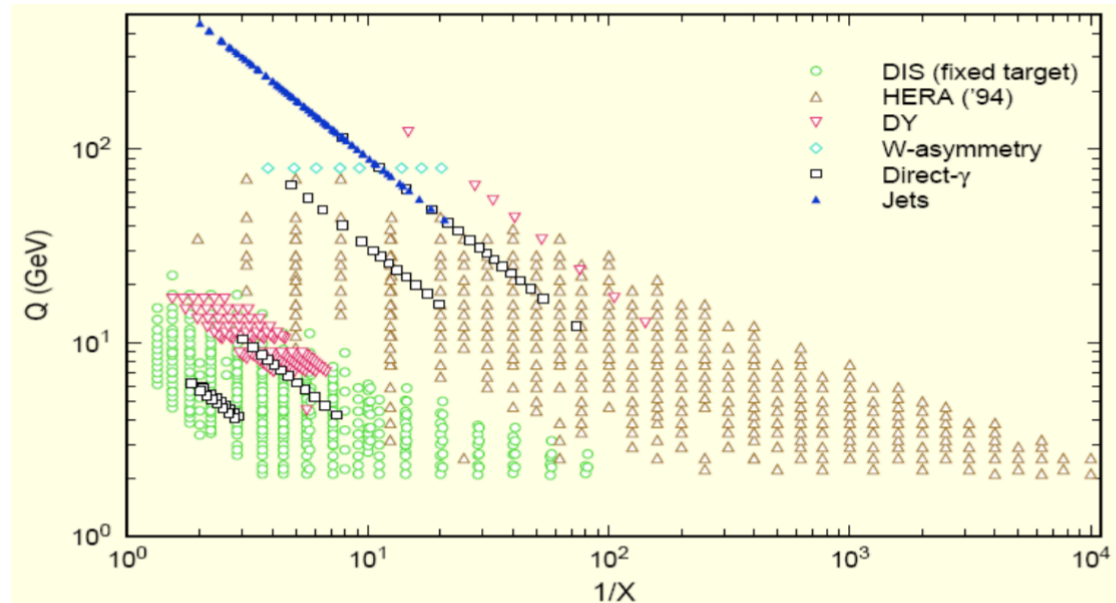


$$\varphi_{f/h}(x) \text{ at } Q > Q_0$$



Vary  $\{a_j\}$

Minimize  $\chi^2$



QCD calculation →

Comparison with Data at various  $x$  and  $Q$

Procedure: Iterate to find the best set of  $\{a_j\}$  for the input DPFs

# Parton distribution functions

- Theory prediction from lattice QCD

- Example:

$$q(x) = \int \frac{d\lambda}{4\pi} e^{ix\lambda} \langle P | \bar{\psi}(0) n \cdot \gamma L(0, \lambda n) \psi(\lambda n) | P \rangle \quad (n^2 = 0)$$

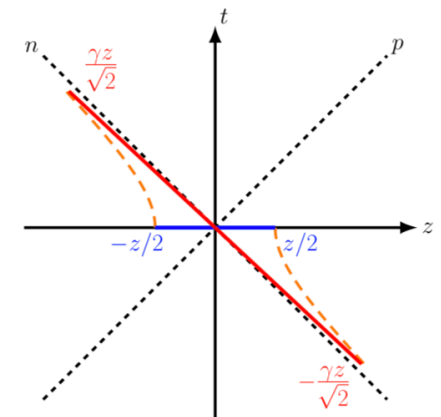
- When reinterpreted in Feynman's parton picture

$$q(x) = \int \frac{d\lambda}{2\pi} e^{ix\lambda} \langle P = \infty | \psi^\dagger(z) \psi(0) | P = \infty \rangle, \quad | P = \infty \rangle = U(\Lambda_\infty) | P = 0 \rangle$$

- The boost operator can be applied either to the static operator or to the external state, projecting out the same physics
- In practice, parton physics can be approximated by static correlations at large Lorentz boost  
(**L**arge-**M**omentum **E**ffective **T**heory)

Ji, PRL 13' & SCPMA 14', Ji, Liu, Liu, JHZ, Zhao, RMP 21'

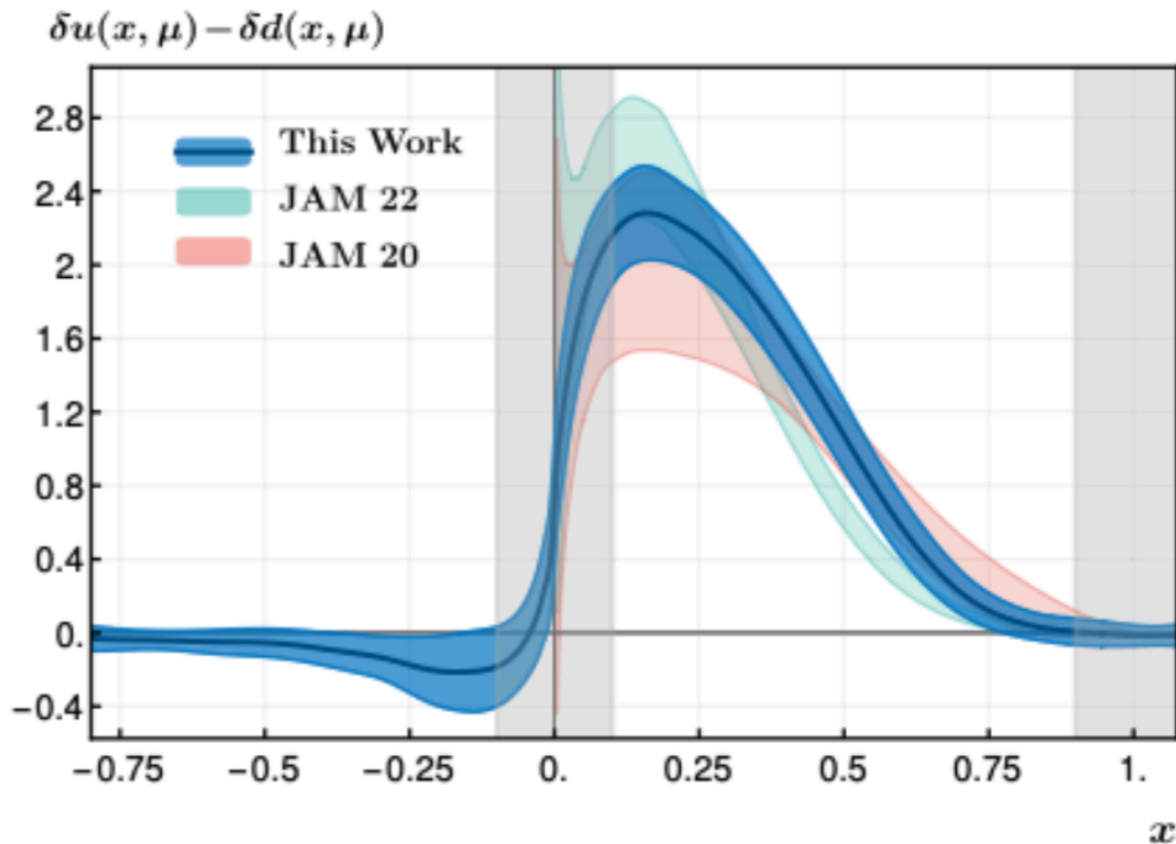
$$\tilde{q}(y, P^z) = N \int \frac{dz}{4\pi} e^{-iyzP^z} \langle P | \bar{\psi}(0) \gamma^0 L(0, z) \psi(z) | P \rangle$$



$$\tilde{q}(y, P^z) = C(y/x, \mu/xP^z) \otimes q(x, \mu) + \mathcal{O}(\Lambda_{QCD}^2 / (yP^z)^2, \Lambda_{QCD}^2 / ((1-y)P^z)^2)$$

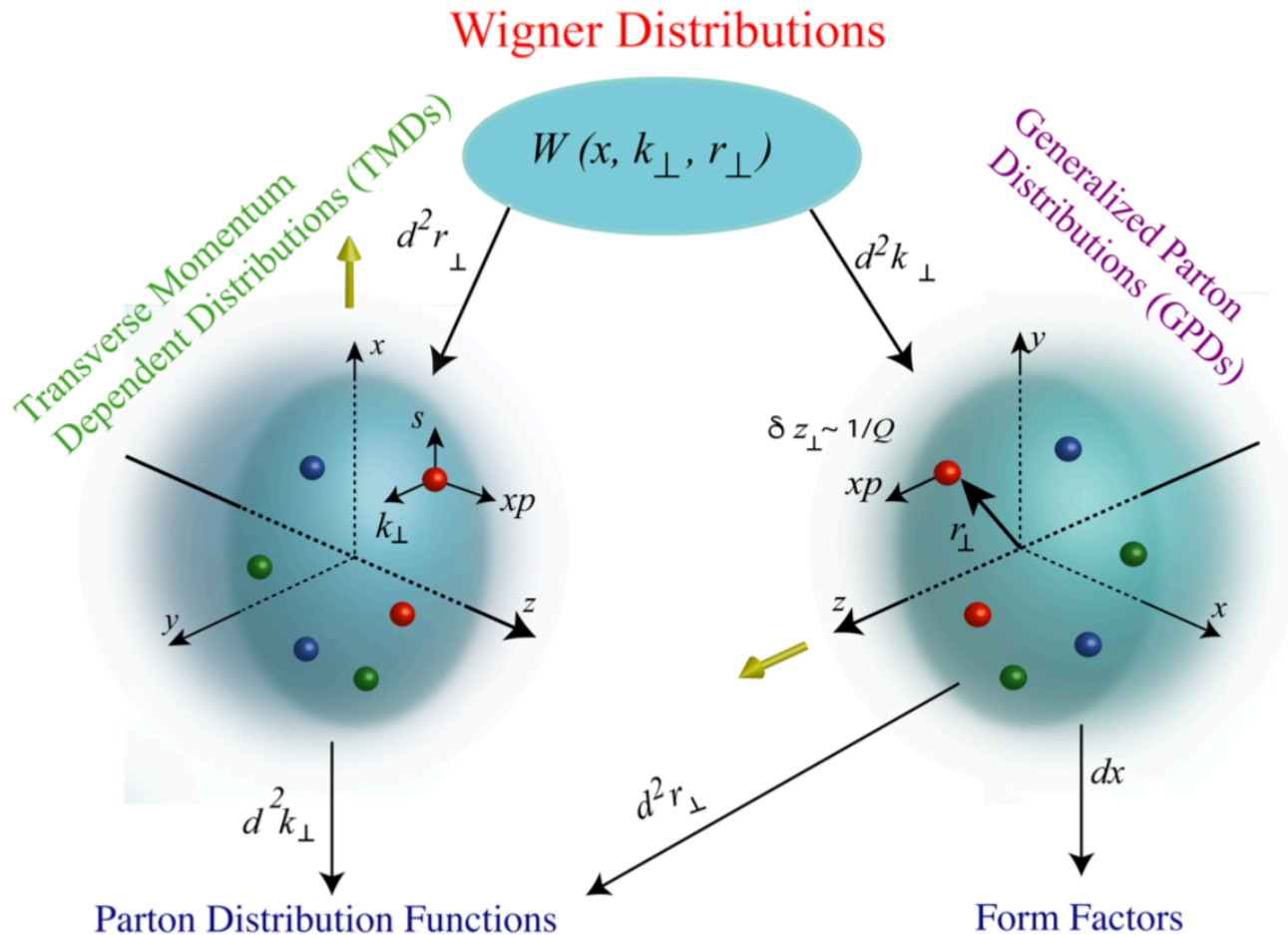
# Parton distribution functions

- Theory prediction from lattice QCD
- Example: nucleon isovector (u-d) quark transversity PDF



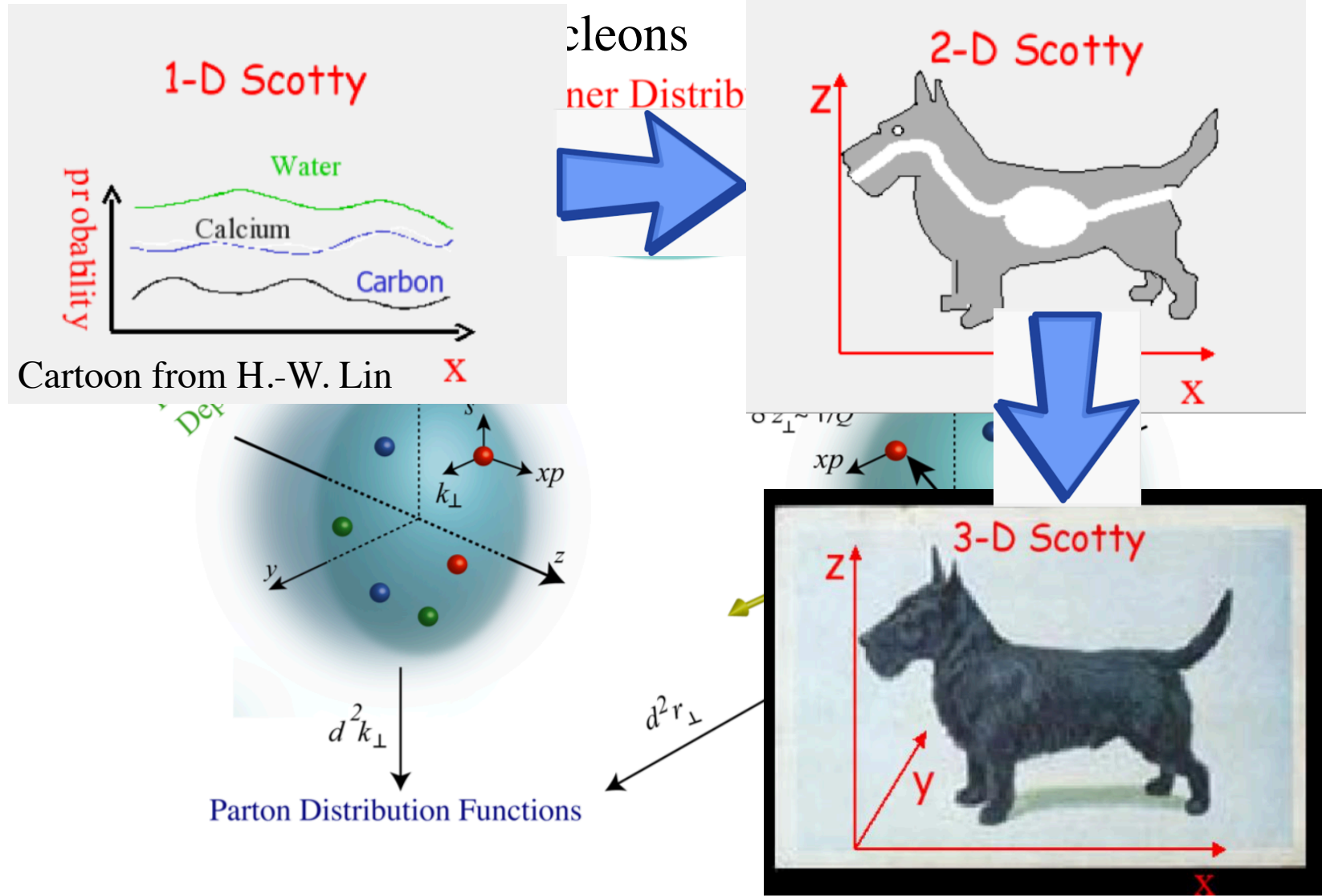
# Generalizations: GPDs and TMDs

- PDFs can be generalized to include more kinematic dependence. The generalized quantities play an important role in describing three-dim. structure of nucleons



# Generalizations: GPDs and TMDs

- PDFs can be generalized to include more kinematic dependence. The generalized quantities play an important role in describing



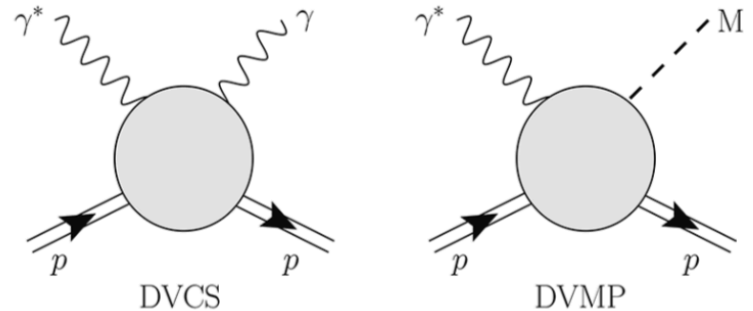
# Generalizations: GPDs and TMDs

- The GPDs are given by **non-forward** matrix elements of nonlocal parton correlators, e.g.

$$F(x, \xi, t) = \frac{1}{2\bar{P}^+} \int \frac{d\lambda}{2\pi} e^{-ix\lambda} \langle P' | O_{\gamma^+}(\lambda n) | P \rangle = \frac{1}{2\bar{P}^+} \bar{u}(P') \left[ H(x, \xi, t) \gamma^+ + E(x, \xi, t) \frac{i\sigma^{+\mu} \Delta_\mu}{2M} \right] u(P)$$

$$O_{\gamma^+}(\lambda n) = \bar{\psi}\left(\frac{\lambda n}{2}\right) \gamma^+ W\left(\frac{\lambda n}{2}, -\frac{\lambda n}{2}\right) \psi\left(-\frac{\lambda n}{2}\right), \quad \bar{P} = \frac{P' + P}{2}, \quad \Delta = P' - P, \quad t = \Delta^2, \quad \xi = -\frac{\Delta^+}{2\bar{P}^+}$$

- Access through exclusive processes like **deeply virtual Compton scattering** and **meson production**



- Factorization formula

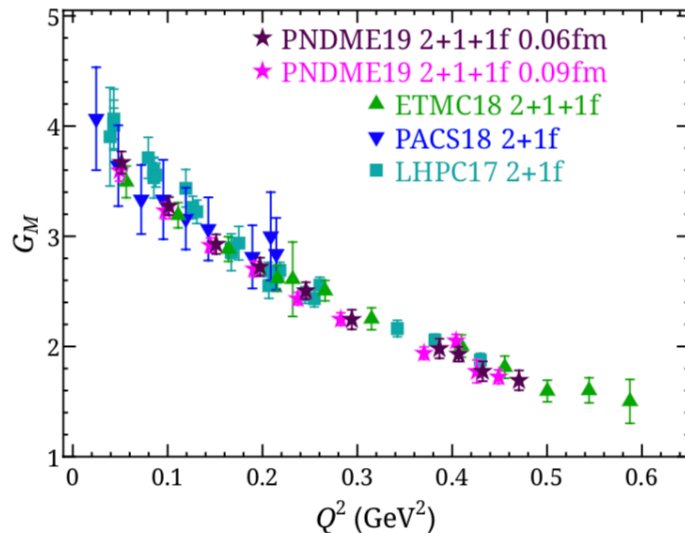
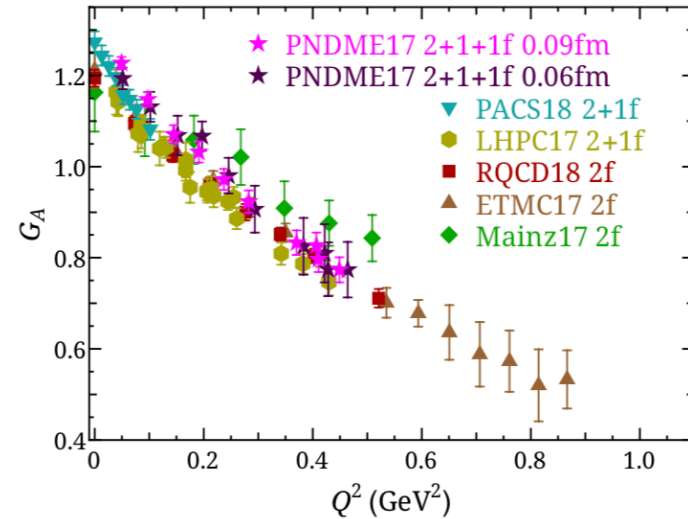
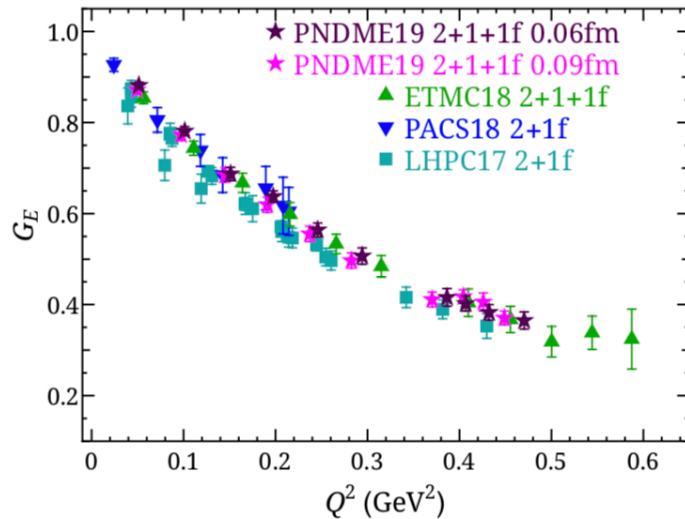
$$\mathcal{H}(\xi, t, Q^2) = \int_{-1}^1 \frac{dx}{\xi} \sum_{a=g,u,d,\dots} C^a \left( \frac{x}{\xi}, \frac{Q^2}{\mu_F^2}, \alpha_S(\mu_F^2) \right) H^a(x, \xi, t, \mu_F^2)$$

- Various models for GPD parametrization have been used for extraction from experimental data



# Generalizations: GPDs and TMDs

● Form factors from nucleon GPDs  $\langle x^n \rangle = \int_{-1}^1 dx x^{n-1} F(x, \xi, t)$



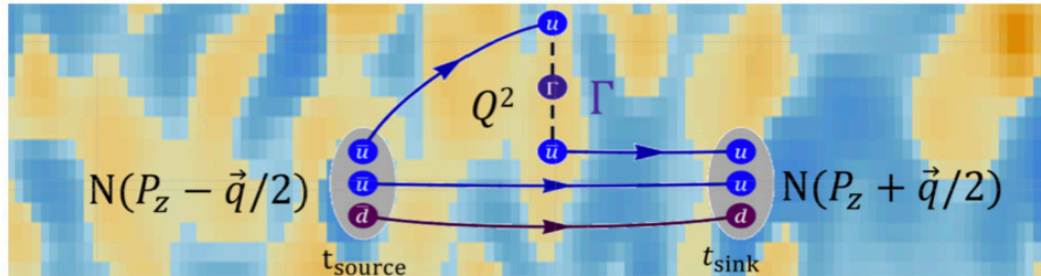
$$\langle N(p_f) | V_\mu^+(x) | N(p_i) \rangle = \bar{u}^N \left[ \gamma_\mu F_1(q^2) + i\sigma_{\mu\nu} \frac{q^\nu}{2M_N} F_2(q^2) \right] u_N e^{iq \cdot x}$$

$$\langle N(p_f) | A_\mu^+(x) | N(p_i) \rangle = \bar{u}_N \left[ \gamma_\mu \gamma_5 G_A(q^2) + iq_\mu \gamma_5 G_P(q^2) \right] u_N e^{iq \cdot x}$$

Constantinou, JHZ et al, Prog. Part. Nucl. Phys. 21'

# Generalizations: GPDs and TMDs

- Apart from the form factors, the entire distribution can also be accessed from suitable spatial correlations on lattice



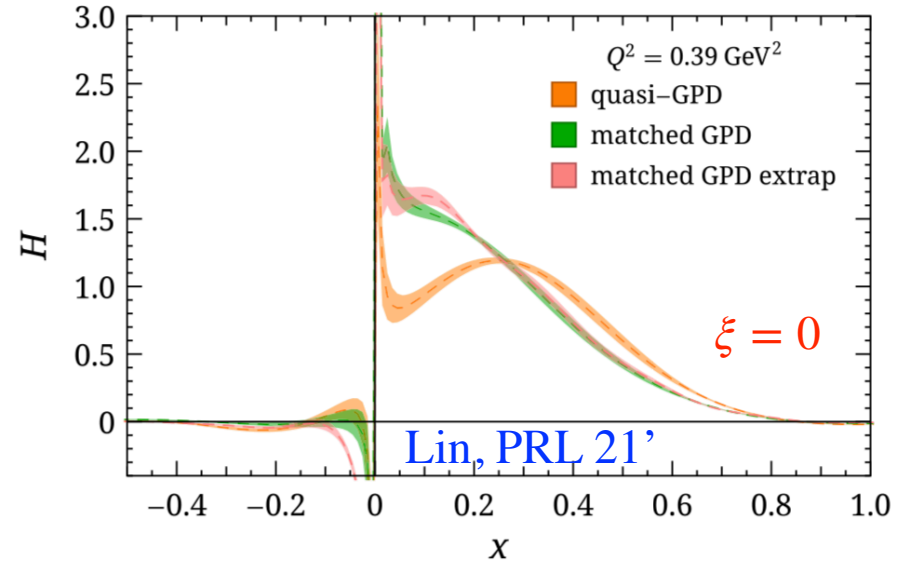
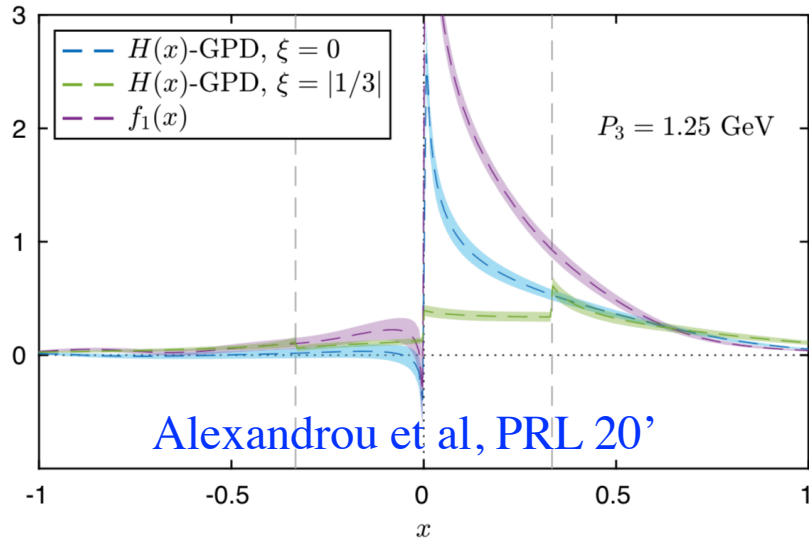
$$\begin{aligned}
 & C_{\Gamma}^{3\text{pt}}(\vec{p}_i, \vec{p}_f, t, t_{\text{sep}}) \\
 &= |A_0|^2 \langle 0 | O_{\Gamma} | 0 \rangle e^{-E_0 t_{\text{sep}}} + |A_1|^2 \langle 1 | O_{\Gamma} | 1 \rangle e^{-E_1 t_{\text{sep}}} \\
 &+ A_1 A_0^* \langle 1 | O_{\Gamma} | 0 \rangle e^{-E_1(t_{\text{sep}}-t)} e^{-E_0 t} + A_0 A_1^* \langle 0 | O_{\Gamma} | 1 \rangle e^{-E_0(t_{\text{sep}}-t)} e^{-E_1 t}
 \end{aligned}$$

- via the factorization (after Fourier transform)

$$\tilde{H}_{u-d}(x, \xi, t, P^z, \tilde{\mu}) = \int_{-1}^1 \frac{dy}{|y|} C \left( \frac{x}{y}, \frac{\xi}{y}, \frac{\tilde{\mu}}{\mu}, \frac{yP^z}{\mu} \right) H_{u-d}(y, \xi, t, \mu) + h.t.$$

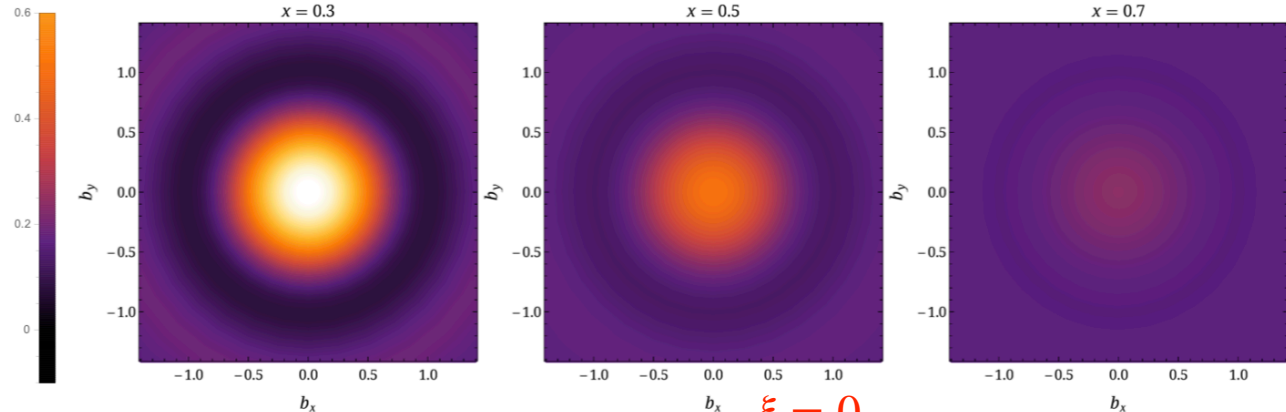
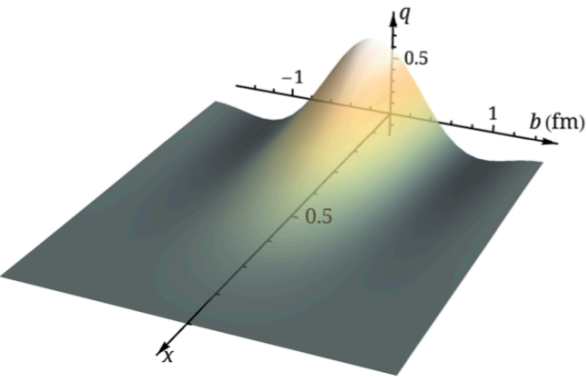
# Generalizations: GPDs and TMDs

## ● Nucleon GPDs (unpolarized)



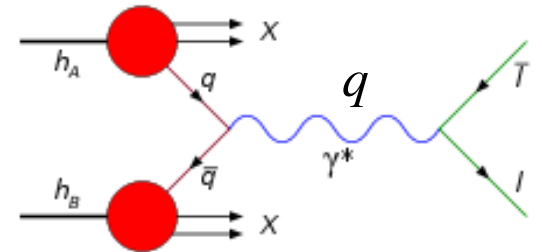
## ● Impact parameter distribution

$$q(x, b) = \int \frac{d\mathbf{q}}{(2\pi)^2} H(x, \xi = 0, t = -\mathbf{q}^2) e^{i\mathbf{q} \cdot \mathbf{b}}$$



# Generalizations: GPDs and TMDs

- TMDs are relevant for multi-scale processes where low transverse momentum transfer is important
- Example: Drell-Yan process
- If transverse momentum  $\mathbf{q}_T$  of the lepton pair is not measured



$$\frac{d\sigma}{dQ^2} = \sum_{i,j} \int_0^1 d\xi_a d\xi_b f_{i/P_a}(\xi_a) f_{j/P_b}(\xi_b) \frac{d\hat{\sigma}_{ij}(\xi_a, \xi_b)}{dQ^2} \times \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}\right) \right] \quad Q = \sqrt{q^2}$$

- If  $\mathbf{q}_T$  is measured but  $|\mathbf{q}_T| \sim Q \gg \Lambda_{\text{QCD}}$

$$q_T \sim Q \gg \Lambda_{\text{QCD}} :$$

$$\frac{d\sigma}{dQ^2 d^2\mathbf{q}_T} = \sum_{i,j} \int_0^1 d\xi_a d\xi_b f_{i/P_a}(\xi_a) f_{j/P_b}(\xi_b) \frac{d\hat{\sigma}_{ij}(\xi_a, \xi_b)}{dQ^2 d^2\mathbf{q}_T} \times \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}, \frac{\Lambda_{\text{QCD}}}{q_T}\right) \right]$$

- If  $\mathbf{q}_T$  is measured but  $|\mathbf{q}_T| \ll Q$

$q_T \ll Q :$

$$\frac{d\sigma}{dQ^2 d^2\mathbf{q}_T} = \sum_{i,j} H_{ij}(Q) \int_0^1 d\xi_a d\xi_b \int d^2\mathbf{b}_T e^{i\mathbf{b}_T \cdot \mathbf{q}_T} \times f_{i/P}(\xi_a, \mathbf{b}_T) f_{j/P}(\xi_b, \mathbf{b}_T) \times \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}, \frac{q_T}{Q}\right) \right]$$

# Generalizations: GPDs and TMDs

- We need to take into account the transverse momentum of quarks

$$k^\mu \approx xP^\mu + k_\perp^\mu, \quad S^\mu \approx \lambda_N \frac{P^\mu}{M} + S_\perp^\mu$$

- To leading-power accuracy, we have

$$\mathcal{V}^\mu = A_1 P^\mu,$$

$$\mathcal{A}^\mu = \lambda_N A_2 P^\mu + \frac{1}{M} \tilde{A}_1 \mathbf{k}_\perp \cdot \mathbf{S}_\perp P^\mu,$$

$$\mathcal{T}^{\mu\nu} = A_3 P^{[\mu} S_\perp^{\nu]} + \frac{\lambda_N}{M} \tilde{A}_2 P^{[\mu} k_\perp^{\nu]} + \frac{1}{M^2} \tilde{A}_3 \mathbf{k}_\perp \cdot \mathbf{S}_\perp P^{[\mu} k_\perp^{\nu]},$$

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$$\mathcal{V}^\mu = A_1 P^\mu, + \frac{1}{M} A'_1 \epsilon^{\mu\nu\rho\sigma} P_\nu k_{\perp\rho} S_{\perp\sigma}$$

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- And

$$\Phi(k, P, S) = \frac{1}{2} \left\{ A_1 \not{P} + A_2 \lambda_N \gamma_5 \not{P} + A_3 \not{P} \gamma_5 \not{S}_\perp + \frac{1}{M} \tilde{A}_1 \mathbf{k}_\perp \cdot \mathbf{S}_\perp \gamma_5 \not{P} + \frac{1}{M} A'_1 \epsilon^{\mu\nu\rho\sigma} \gamma_\mu P_\nu k_{\perp\rho} S_{\perp\sigma} \right.$$

$$\left. + \frac{i}{2M} A'_2 \epsilon^{\mu\nu\rho\sigma} P_\rho k_{\perp\sigma} \sigma_{\mu\nu} \gamma_5 + \tilde{A}_2 \frac{\lambda_N}{M} \not{P} \gamma_5 \not{k}_\perp + \frac{1}{M^2} \tilde{A}_3 \mathbf{k}_\perp \cdot \mathbf{S}_\perp \not{P} \gamma_5 \not{k}_\perp \right\}.$$

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$$\frac{1}{2P^+} \text{Tr}(\gamma^+ \Phi), \quad \frac{1}{2P^+} \text{Tr}(\gamma^+ \gamma_5 \Phi), \quad \frac{1}{2P^+} \text{Tr}(i\sigma^{i+} \gamma_5 \Phi)$$

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$$\left. + \frac{1}{2M} A'_2 \epsilon^{\mu\nu\rho\sigma} P_\rho k_{\perp\sigma} \sigma_{\mu\nu} \gamma_5 - \tilde{A}_2 \frac{\lambda_N}{M} \not{P} \gamma_5 \not{k}_\perp + \frac{1}{M^2} \tilde{A}_3 \mathbf{k}_\perp \cdot \mathbf{S}_\perp \not{P} \gamma_5 \not{k}_\perp \right\}.$$

- Leading-power projection is again given by

$$\frac{1}{2P^+} \text{Tr}(\gamma^+ \Phi), \quad \frac{1}{2P^+} \text{Tr}(\gamma^+ \gamma_5 \Phi), \quad \frac{1}{2P^+} \text{Tr}(i\sigma^{i+} \gamma_5 \Phi)$$

# Generalizations: GPDs and TMDs

- These projections define the eight leading-twist quark TMDPDFs

- Introduce

$$\begin{aligned}\Phi^{[\Gamma]} &\equiv \frac{1}{2} \int \frac{dk^+ dk^-}{(2\pi)^4} \text{Tr}(\Gamma \Phi) \delta(k^+ - xP^+) \\ &= \int \frac{d\xi^- d^2\xi_\perp}{2(2\pi)^3} e^{i(xP^+\xi^- - \mathbf{k}_\perp \cdot \xi_\perp)} \langle PS | \bar{\psi}(0) \Gamma \psi(0, \xi^-, \xi_\perp) | PS \rangle\end{aligned}$$

- Then

$$\Phi^{[\gamma^+]} = f_1(x, \mathbf{k}_\perp^2) - \frac{\epsilon_\perp^{ij} k_{\perp i} S_{\perp j}}{M} f_{1T}^\perp(x, \mathbf{k}_\perp^2)$$

$$\Phi^{[\gamma^+ \gamma_5]} = \lambda_N g_{1L}(x, \mathbf{k}_\perp^2) - \frac{\mathbf{k}_\perp \cdot \mathbf{S}_\perp}{M} g_{1T}^\perp(x, \mathbf{k}_\perp^2)$$

$$\Phi^{[i\sigma^{i+} \gamma_5]} = S_\perp^i h_1(x, \mathbf{k}_\perp^2) + \frac{\lambda_N}{M} k_\perp^i h_{1L}^\perp(x, \mathbf{k}_\perp^2) + \frac{1}{M^2} \left( \frac{1}{2} g_\perp^{ij} \mathbf{k}_\perp^2 - k_\perp^i k_\perp^j \right) S_{\perp j} h_{1T}^\perp(x, \mathbf{k}_\perp^2) - \frac{\epsilon_\perp^{ij} k_{\perp j}}{M} h_1^\perp(x, \mathbf{k}_\perp^2)$$

- The leading-twist TMDPDFs can be interpreted as number densities
- When FT to coordinate space, the correlations exhibit certain symmetries

# Generalizations: GPDs and TMDs

- These projections define the eight leading-twist quark TMDPDFs

- Introduce

$$\begin{aligned}\Phi^{[\Gamma]} &\equiv \frac{1}{2} \int \frac{dk^+ dk^-}{(2\pi)^4} \text{Tr}(\Gamma \Phi) \delta(k^+ - xP^+) \\ &= \int \frac{d\xi^- d^2\xi_\perp}{2(2\pi)^3} e^{i(xP^+\xi^- - \mathbf{k}_\perp \cdot \xi_\perp)} \langle PS | \bar{\psi}(0) \Gamma \psi(0, \xi^-, \xi_\perp) | PS \rangle\end{aligned}$$

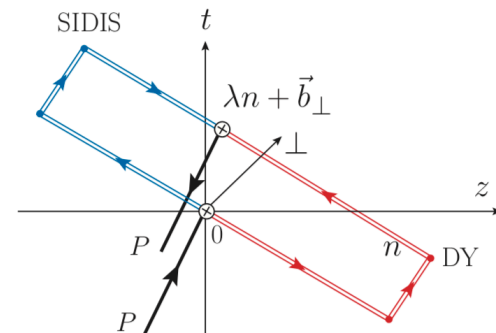
- Then

$$\Phi^{[\gamma^+]} = f_1(x, \mathbf{k}_\perp^2) - \frac{\epsilon_\perp^{ij} k_{\perp i} S_{\perp j}}{M} f_{1T}^\perp(x, \mathbf{k}_\perp^2)$$

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- Again, gauge links are needed to ensure gauge invariance. Now they are staple-shaped



# Generalizations: GPDs and TMDs

- 1)  $f_1$  : unpol. TMDPDF
- 2)  $g_{1L}$  : helicity TMDPDF
- 3)  $h_1$  : transversity TMDPDF
- 4)  $f_{1T}^\perp$  : Sivers function (**T-odd**)
- 5)  $h_1^\perp$  : Boer-Mulders function (**T-odd**)
- 6)  $g_{1T}^\perp$  : worm-gear T/transversal helicity TMDPDF
- 7)  $h_{1L}^\perp$  : worm-gear L/longitudinal transversity TMDPDF
- 8)  $h_{1T}^\perp$  : pretzelosity TMDPDF

		quark pol.		
		U	L	T
nucleon pol.	U	$f_1$		$h_1^\perp$
	L		$g_1$	$h_{1L}^\perp$
	T	$f_{1T}^\perp$	$g_{1T}$	$h_1$   $h_{1T}^\perp$

nucleon with transverse or longitudinal spin

parton with transverse or longitudinal spin

parton transverse momentum

$$f_1 = \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$g_1 = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$h_1 = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$f_{1T}^\perp = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$h_1^\perp = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

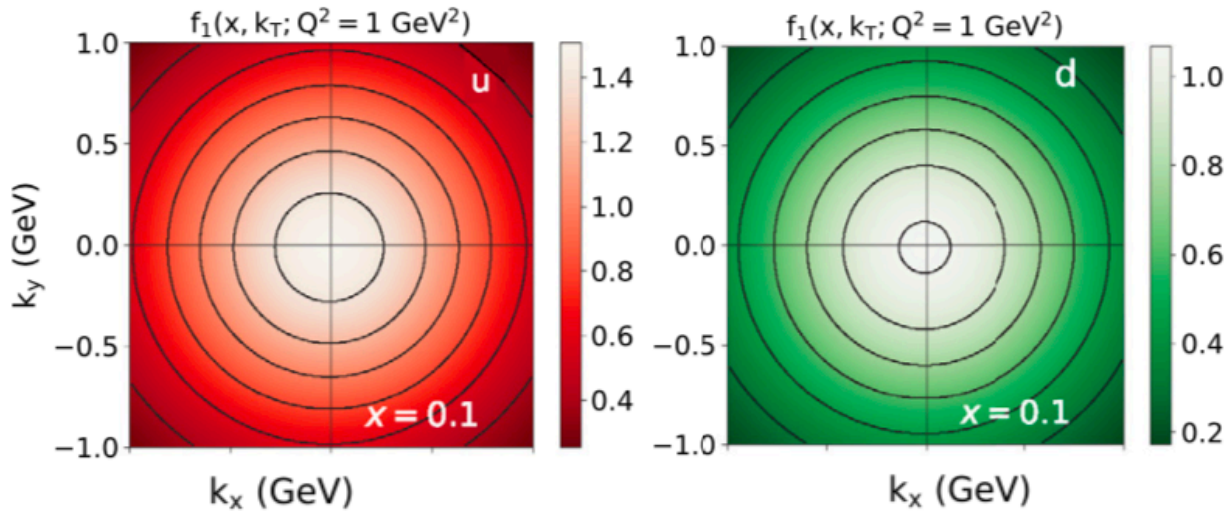
$$g_{1T} = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$h_{1L}^\perp = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

$$h_{1T}^\perp = \text{[Nucleon with transverse spin and parton with transverse spin]} - \text{[Nucleon with longitudinal spin and parton with longitudinal spin]}$$

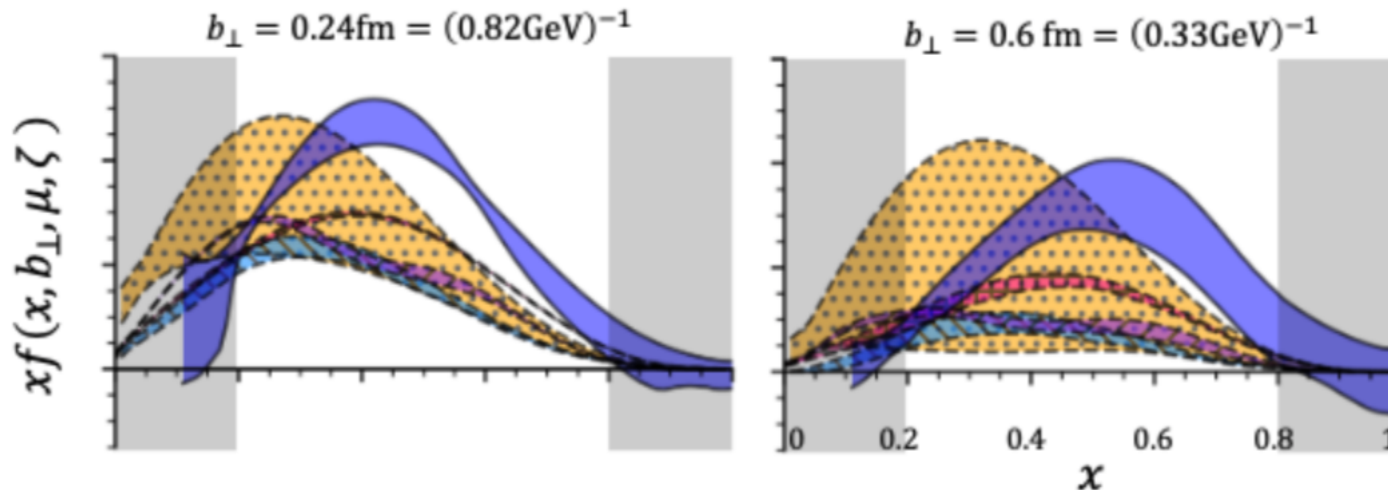
# Generalizations: GPDs and TMDs

- Global analyses also exist for TMDs



- Also lattice calculations

Constantinou, JHZ et al, Prog. Part. Nucl. Phys. 21'



He et al, LPC 22'

# Multiparton distributions

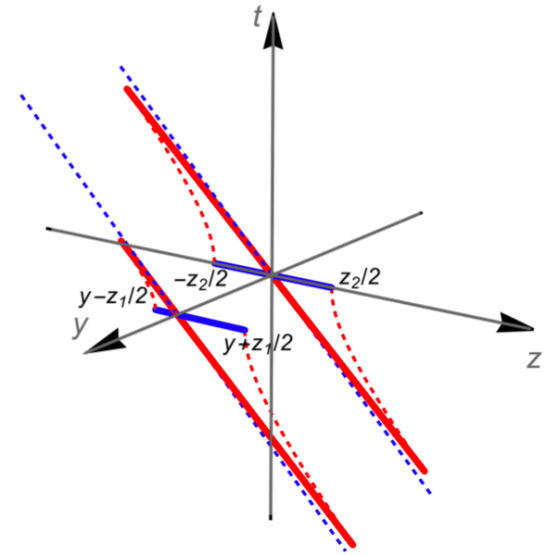
- Relevant for multiparton scattering processes
- Related to joint probability of finding two or more partons carrying momentum fractions  $x_i$  at given relative transverse separation
- Example: double parton distributions

$$f_{q_1 q_2}(x_1, x_2, y^2) = \quad (1)$$

$$2P^+ \int dy^- \int \frac{dz_1^-}{2\pi} \frac{dz_2^-}{2\pi} e^{i(x_1 z_1^- + x_2 z_2^-)P^+} h_0(y, z_1, z_2, P)$$

$$h_0(y, z_1, z_2, P) = \langle P | O_{q_1}(y, z_1) O_{q_2}(0, z_2) | P \rangle,$$

$$O_q(y, z) = \bar{\psi}_q\left(y - \frac{z}{2}\right) \frac{\gamma^+}{2} W\left(y - \frac{z}{2}; y + \frac{z}{2}\right) \psi_q\left(y + \frac{z}{2}\right),$$



JHZ, 23'

- Factorization

$$\tilde{f}(x_i, \mu_i, y^2) = C_1(x_1, x'_1, \mu_1^2/x'_1 P^z)^2 \otimes C_2(x_2/x'_2, \mu_2^2/x'_2 P^z)^2 \otimes f(x'_i, \mu_i, y^2) + \dots$$

# Summary

- Understanding the partonic structure of hadrons is an important goal of hadron physics, and is also relevant to collider phenomenology
- Lattice QCD can now be used to access dynamical properties of hadrons, and plays an important complementary role to phenomenological determinations of partonic observables
  - Form factors
  - PDFs, GPDs, TMDs...
  - Multiparton distributions
- Both analytical and numerical inputs are needed to realize such calculations
- A lot more to be explored...