

Parton fragmentation functions.

(部分子碎裂函數) FFs

Parton distribution functions.

(部分子分布函數) PDFs

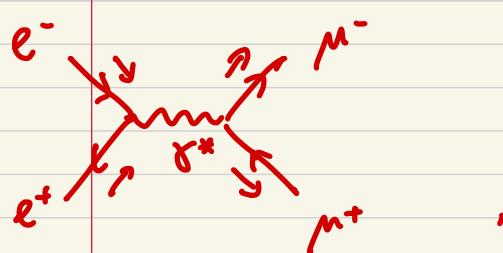
parton  $\leftrightarrow$  hadron

non-perturbative, universal objects.

Naive parton model  $\hookrightarrow$

QCD factorization theorem

# 1. $e^+ e^-$ collision and QCD

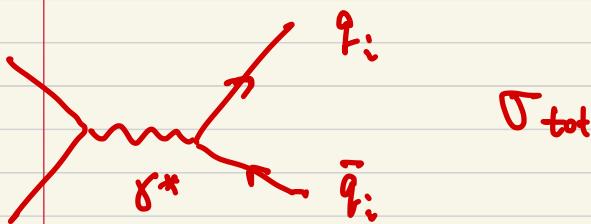


unpolarized,  $Q^2 \lesssim M_Z^2$ ,  
neglecting muon mass  
 $s = Q^2$

total cross section

$$\sigma_{\mu\mu} = \frac{1}{2s} \int dP_S \cdot [M] = \frac{4\pi}{3} \frac{\alpha^2}{Q^2}$$

One can also measure cross sections involving  
final hadrons.



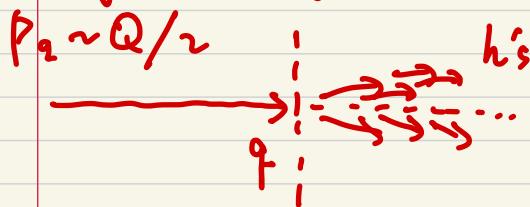
$$= \sigma_{\mu\mu} \left( \sum_i e_{q_i} \right) \cdot N_c \quad , \quad i=1, \dots, 6$$

depending on  $Q^2$  vs.  $m_{q_i}$

Experimentally only observed "hadrons", not quarks

QCD Confinement . quarks  $\leftrightarrow$  hadrons

At high energy  $Q^2$ .



(hundreds)  
a collection of  
collimated hadrons.  
 $\rightarrow$  jet

$$\sum P_{h,i} \sim P_2, \quad P_{h,i} \ll P_2$$

Theoretical description of hadron distributions

non-perturbative  
many-body  
multi-scales

PYTHIA, HERWIG  
Lund string, cluster  
models

## 2. Collinear fragmentation function

A simplified observable / description of hadrons  
inside jet



definition,  $z \in [0, 1]$

$$z \equiv \frac{P_{h,i}}{P_2} \sim \frac{E_{h,i}}{Q}$$

FFs,  $D_q^h(z, Q^2) \equiv \frac{\Delta N_h}{\Delta z}$

momentum conservation

$$\sum_{\text{all } h} \int_0^1 z D_q^h(z, Q^2) dz = 1$$

Scaling behavior  $D_q^h(z, Q^2) \sim D_q^h(z)$ , ?

mean number of hadrons ?

$$\langle N_h \rangle = \int_{z=0}^1 D_q^h(z) dz$$

grows logarithmically

Single particle inclusive cross section

$$\frac{d\sigma}{dq} (e^+ e^- \rightarrow h + X) \equiv \frac{d\sigma_h}{J_q} (Q^2)$$

$$= 3 \sigma_{NN} \sum_{i=1}^{n_f} e_{q_i}^2 \cdot (D_{q_i}^h(z, Q^2) + D_{\bar{q}_i}^h(z, Q^2))$$

normalization

$$\sum_{all \, h} \int_0^1 \frac{1}{z} + \frac{d\sigma_h}{J_q} (Q^2) dz = \Gamma_{tot}$$

Theoretical prediction on  $D_q^h(z, Q^2)$

non-perturbative, 1-D distribution

Simple factorization from QCD

### 3. A model due to Field & Feynman

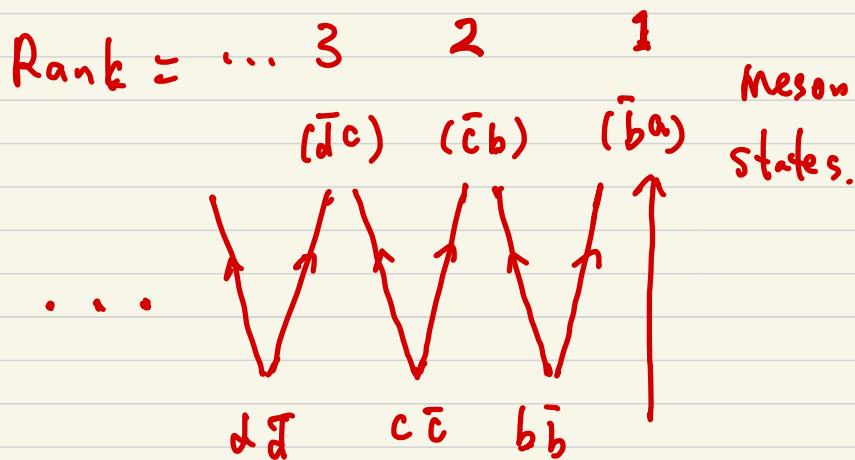
taking mesons  $(a\bar{b})$  as example.

Mostly naive parton model, qualitative feature of  $D_s$ ,

exact scaling  $D(z, Q^2) = D(z)$ .

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Hierarchy of Final Mesons



Quark-Antiquark pairs

↑  
Original quark  
flavor "a"

Recursive process controlled by

$f(\eta) dy =$  probability that the first  
 $\equiv$  hierarchy (rank 1) meson leaves fractional  
momentum  $[\eta, \eta + dy]$  to the remaining cascade.

by definition  $\int_0^1 f(\eta) d\eta = 1$ .

Now we can derive

(independent  
(of hierarchy))

$F(z) dz \equiv$  probability of finding a meson  
with fractional momentum  $[z, z + dz]$   $\equiv$

Satisfies

$$F(z) = f(1-z) + \underbrace{\int_z^1 \frac{d\eta}{\eta} f(\eta) F(z/\eta)}_{\text{convolution}}$$

Solving integral Eq. (using integral transf.)

$$F(z) = f(1-z) + \int_z^1 \frac{d\eta}{\eta} f(\eta) F(z/\eta)$$

$$\Rightarrow \boxed{F(z) = f(1-z) + \int_z^1 \frac{d\eta}{\eta} g(\eta) f(1-z/\eta)}$$

with  $g(\eta)$  defined as.

$$\int_0^1 \eta^r g(\eta) d\eta = \frac{C(r)}{1-C(r)}, \quad C(r) = \int_0^1 \eta^r f(\eta) d\eta$$

Consistency :

$$\int_0^1 z F(z) dz = 1. \quad z F(z) \underset{z \rightarrow 0}{\approx} R$$

Example :

$$f(\eta) = (\alpha+1) \eta^\alpha \Rightarrow z F(z) = (\alpha+1) (1-z)^\alpha.$$

$$\alpha = 2$$

qualitative description of exp. data.

## 4. Flavor of quark / mesons.

creation of  $q\bar{q}$  pair follows a probability.

$$1 = \sum_{n=1}^{n_f} \beta_n , \quad \beta_c, \beta_b, \beta_t \sim 0.$$

When incident quark  $\frac{Q}{2} > \Lambda_{QCD}$ ,

approximate  $SU(3)/SU(n)$  flavor symmetry.

$$\beta_u = \beta_d = \beta, \quad \beta_s \sim \frac{1}{\nu} \beta_u, \quad (\text{data}), \quad \beta \sim 0.4$$

Now considering  $q$  fragments into  $(a\bar{b})$  meson state, probability density

$$P_q^{a\bar{b}}(z) = \delta_{qa} \beta_b f(z) +$$

-two probability

$$\int_z^1 \frac{d\eta}{\eta} f(\eta) \sum_c \beta_c P_c^{a\bar{b}}(z/\eta),$$

Solving above Eq.,

$$P_2^{ab}(z) = \delta_{2a} \beta_b f(1-z) + \beta_a \beta_b \bar{F}(z),$$

with

$$\bar{F}(z) \equiv F(z) - f(1-z)$$

implications :  $\Delta$   $\Delta$

① meson state to meson

$$\lambda^0, u\bar{u} \sim \frac{1}{2}, d\bar{d} \sim \frac{1}{2}, \pi^+, u\bar{d} \sim 1$$

$$\lambda^-, d\bar{u} \sim 1, \text{ transition probability}$$

$$D_2^h(z) = A_2^h f(1-z) + B_2^h \bar{F}(z)$$

thus

$$D_u^{\lambda^+}(z) = \beta f(1-z) + \beta^2 \bar{F}(z), D_u^{\lambda^-}(z) = \beta^2 \bar{F}(z)$$

$$D_u^{\lambda^0}(z) = \frac{1}{2} \beta f(1-z) + \beta^2 \bar{F}(z)$$

② average charge distribution inside jet

$$\langle Q_g(z) \rangle = \sum_{a,b} (\ell_a - \ell_b) P_g^{ab}(z)$$
$$= (\ell_q - \ell_{\bar{q}}) f(1-z)$$

with

$$\ell_{\langle q \rangle} = \sum_a \beta_a \ell_a = \frac{2}{3} \beta - \frac{1}{3} \beta - \frac{1}{6} \beta = \frac{\beta}{6}$$

integrate over  $z$ .

$$\langle Q_u \rangle = \frac{2}{3} - \frac{\beta}{6} = 0.6$$

$$\langle Q_d \rangle = \langle Q_s \rangle = -0.4$$

jet / hadrons do "remember" quark charge.

electric charge conservation ?

## 5. Statement of QCD factorization

Single particle inclusive cross section

$$\frac{d\sigma_h}{dz}(z, Q^2) = \sum_{i=q,\bar{q},g} \frac{d\hat{\sigma}}{dx_i}(x_i, Q^2, \mu^2) = =$$

$$\otimes D_i^h(z, \mu^2)$$

$$\equiv \sum_{i=q,\bar{q},g} \int_z^1 \frac{dx_i}{x_i} \cdot \frac{d\hat{\sigma}}{dx_i}(x_i, \dots) \cdot D_i^h(z/x_i, \mu^2)$$

—————
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perturbative
non-perturbative

$$\text{At LO, } \frac{d\hat{\sigma}}{dx_i} \sim \delta(1-x_i),$$

$$\frac{d\sigma_h}{dz}(z, Q^2) \sim \sum_{i=q,\bar{q}} D_i^h(z, \mu^2)$$

no  $Q^2$  dependence, !!  $\Rightarrow$  higher orders in  $z$ , !!

Preparations :

Dimensional regularization ,  $N = 4 + \epsilon$

Phase space , 2-body . (Center of mass energy =  $Q$ )

$$\int dP_{S_2} = \int \frac{d^{N-1} p_1}{(2\pi)^{N-1} (2E_1)} \frac{d^{N-1} p_2}{(2\pi)^{N-1} (2E_2)}.$$

$$(2\pi)^N \delta^N (q - p_1 - p_2) ,$$

$q \equiv (Q, 0, 0, 0)$  , 1, 2 being massless

$$\text{If } \epsilon = 0 , \int dP_{S_2} = \frac{1}{32\pi^2} \int d^2 \Omega = \frac{1}{8\pi}$$

In  $N$  dimensions

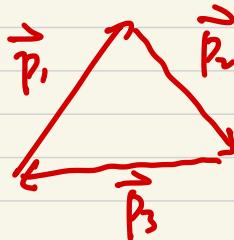
$$\int dP_{S_2} \propto \left(\frac{Q^2}{4\pi}\right)^{\epsilon/2} \cdot \frac{\Gamma(1 + \frac{\epsilon}{2})}{\Gamma(2 + \epsilon)}$$

Phase Space . 3-body .

$$\int dPS_3 = \int \frac{dP_1}{\dots} \frac{dP_2}{\dots} \frac{dP_3}{\dots}$$

$$(2\pi)^N \delta^N(q - p_1 - p_2 - p_3), \quad q = (Q, 0, 0, 0)$$

If  $\epsilon = 0$ ,



$$E_1 + E_2 + E_3 = Q$$

$$x_1 + x_2 > 1$$

$$\int dPS_3 = \frac{Q^2}{16(2\pi)^3} \cdot \int dx_1 dx_2, \quad x_i \equiv \frac{2E_i}{Q}$$

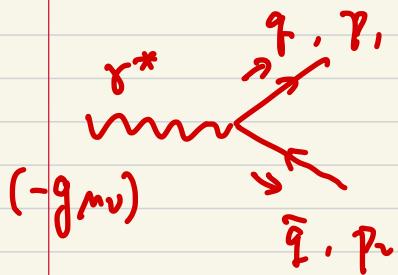
In N dimension .

$$\int dPS_3 * \left(\frac{Q^2}{4\pi}\right)^\epsilon \cdot \frac{x_1^\epsilon \cdot x_2^\epsilon}{\Gamma(2+\epsilon)} \cdot \left(\frac{1-z^2}{4}\right)^{\epsilon/2}$$

$$\text{with } z \equiv 1 + \frac{2(1-x_1-x_2)}{x_1 x_2}, \quad = \cos \theta_{12}$$

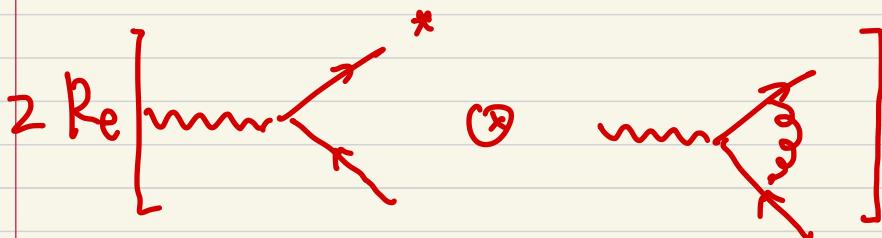
MES for  $\gamma^* \rightarrow q\bar{q}$ , for simplicity unpolarised, rotation symmetric.

LO



$$|M_B|^2 = 4 N_c \cdot e_q^2 \cdot e^2 \cdot Q^2 \cdot \left(1 + \frac{\epsilon}{2}\right) \cdot \frac{1}{\mu^\epsilon}$$

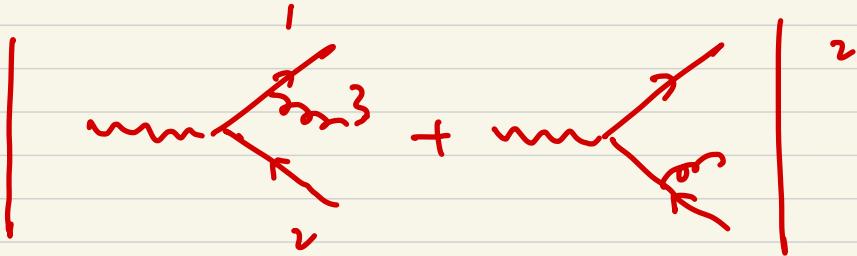
NLO, virtual,  $N = 4 + \epsilon$



$$2 \operatorname{Re} [ M_B^* M_{\text{loop}} ] = |M_B|^2 \cdot$$

$$\frac{2 \alpha_s}{3 \pi} \cdot \left( \frac{Q^2}{4 \pi \mu^\epsilon} \right)^{\epsilon/2} \cdot \frac{1}{\Gamma(1 + \frac{\epsilon}{2})} \left\{ -\frac{8}{\epsilon^2} + \frac{6}{\epsilon} + \frac{7\pi^2}{6} - 8 \right\}$$

NLO, real,  $N = 4 + 6$



$$|M_F|^2 = 32 e_q^2 e^2 \cdot \frac{4\lambda^2 s}{M^2 t} \cdot F(x_1, x_2),$$

with

$$\begin{aligned} F(x_1, x_2) &= \left(1 + \frac{t}{s}\right)^2 \cdot \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} \\ &+ C \left(1 + \frac{t}{s}\right) \cdot \frac{2 - 2x_1 - 2x_2 + x_1 x_2}{(1-x_1)(1-x_2)} \end{aligned}$$

Soft & collinear divergences !!

6. total cross section at  $\mathcal{O}(\alpha_s)$

LO cross section . (width)

$$\Gamma_0 = \frac{1}{2Q} \cdot \int dP S_3 |M_B|^2 = N_c \cdot 2 e_q^2 \cdot Q \cdot$$

$$\underbrace{\frac{\Gamma(2 + \frac{\epsilon}{\nu})}{\Gamma(2 + \epsilon)} \cdot \left( \frac{Q^2}{4\pi\mu^2} \right)^{\epsilon/\nu}}_{\sim}$$

Virtual ,  $\mathcal{O}(\alpha_s)$

$$\Gamma_V = \Gamma_0 \cdot \frac{2\alpha_s}{3\pi} \left( \frac{Q^2}{4\pi\mu^2} \right)^{\epsilon/\nu} \cdot \frac{1}{\Gamma(1 + \frac{\epsilon}{\nu})} \left\{ \frac{-8}{\epsilon^2} + \frac{6}{\epsilon} + \bar{\lambda}^2 - 8 \right\}$$

real ,  $\mathcal{O}(\alpha_s)$

$$\Gamma_R = \frac{1}{2Q} \cdot \int dP S_3 |M_R|^2$$

define

$$\frac{d\sigma_R}{dx_1 dx_2} \equiv \sigma_0 \cdot \frac{2ds}{3\pi} \left( \frac{Q^2}{4\pi\mu^2} \right)^{1/2} \frac{F(x_1, x_2)}{\Gamma(1 + \frac{\epsilon}{2})}.$$

$$x_1^\epsilon x_2^\epsilon \cdot \left( \frac{1 - z^2}{4} \right)^{1/2}$$

and

$$\sigma_R = \int_0^1 dx_1 \int_{-x_1}^1 dx_2 \cdot \frac{d\sigma_R}{dx_1 dx_2}$$

introducing  $x_2 = (-v x_1, v \in [0, 1])$ ,

$$\Rightarrow \int_0^1 dx_1 \int_0^1 dv \dots .$$

$$\int_0^1 dy \quad y^{\alpha-1} (1-y)^{\beta-1} = \frac{\Gamma(\alpha) \Gamma(\beta)}{\Gamma(\alpha + \beta)}$$

arriving at

$$\sigma_R = \sigma_0 \cdot \frac{2ds}{3\pi} \cdot \left( \frac{Q^2}{4\pi\mu^2} \right)^{1/2} \cdot \frac{1}{\Gamma(1 + \frac{\epsilon}{2})} \cdot \left\{ \frac{8}{\epsilon^2} - \frac{6}{\epsilon} - 2^2 + \frac{57}{6} \right\}$$

## NLO cross section

$$\sigma_{\text{tot}} = \sigma_0 + (\sigma_v + \sigma_p)$$

$$= \sigma_0 + \sigma_0 \cdot \frac{2\partial_s}{3\pi} \cdot \left( \frac{57}{6} - 8 + \mathcal{O}(\epsilon) \right)$$

$$= \sigma_0 \cdot \left( 1 + \frac{\partial_s}{\pi} \right)$$

△

7. Single particle inclusive cross section at  $\mathcal{O}(\alpha_s)$

LO cross section, (1 flavor of quark)

$$\frac{d\sigma_h}{dz}(z, Q^2) = \sigma_0 \cdot \left( D_g^h(z, \mu^2) + D_{\bar{q}}^h(z, \mu^2) \right)$$

Virtual, ( $\mathcal{O}(\alpha_s)$ )

$$\frac{d\sigma_{h,v}}{dz}(z, Q^2) = \sigma_v \cdot \left( D_g^h(z, \mu^2) + D_{\bar{q}}^h(z, \mu^2) \right)$$

real, ( $\mathcal{O}(\alpha_s)$ )

$$\frac{d\sigma_{h,R}}{dz}(z, Q^2) = \int_z^1 \left\{ \frac{1}{x_1} \frac{d\hat{\sigma}_R}{dx_1} \cdot D_g^h\left(\frac{z}{x_1}, \mu^2\right) + \dots \right\}$$

need to know  $\frac{d\hat{\sigma}_R}{dx_i}$  in N dimensions.

Indeed

$$\begin{aligned}\frac{\hat{d\sigma_F}}{dx_1} &= \int_{x_1}^1 dx_2 \frac{d\sigma_F}{dx_1 dx_2} \\ &= \sigma_0 \cdot \frac{2ds}{3\pi} \cdot \left( \frac{Q^2}{4\pi\mu^2} \right)^{\epsilon/2} \cdot \frac{1}{\Gamma(1+\frac{\epsilon}{2})} \cdot \\ &\quad \left\{ \frac{1+x_1^2}{1-x_1} \left( \frac{2}{\epsilon} + \ln(x_1(1-x_1)) \right) \right. \\ &\quad \left. - \frac{3}{\epsilon} \frac{1}{1-x_1} - \frac{3}{\epsilon} x_1 + \frac{5}{\epsilon} \right\}\end{aligned}$$

now taking only quark contribution as example,

$$\begin{aligned}&\int_z^1 dx_1 \frac{\hat{d\sigma_F}}{dx_1} \cdot \underbrace{\left( D_q^h(z/x_1, \mu^2) - D_q^h(z, \mu^2) \right)}_{x_1} \\ &\quad + D_q^h(z, \mu^2)\end{aligned}$$

thus

$$\frac{d\sigma_h}{dz}(z, Q^2) = \sigma_R \cdot D_g^h(z, \mu^2)$$

$$+ \int_z^1 dx_1 \frac{d\hat{\sigma}_R}{dx_1} \left( \frac{D_g^h(z/x_1, \mu^2)}{x_1} - D_g^h(z, \mu^2) \right)$$

$$- \left( \int_0^z dx_1 \frac{d\hat{\sigma}_R}{dx_1} \right) \cdot D_g^h(z, \mu^2) + \dots$$

$$= \sigma_R \cdot D_g^h(z, \mu^2) + \left[ \frac{d\hat{\sigma}_R}{dx_1} \right]_+ \otimes D_g^h(z, \mu^2)$$

"plus function",  $[F(x)]_+$

$$\equiv \lim_{\beta \rightarrow 0} \left\{ F(x) \theta(1-x-\beta) - \delta(1-x-\beta) \int_0^{1-\beta} F(y) dy \right\}$$

satisfy  $[F(x)]_+ = F(x)$ ,  $x < 1$ ,  $\int_0^1 [F(x)]_+ dx = 0$

$$\int_0^1 [F(x)]_+ g(x) dx = \int_0^1 F(x) \cdot (g(x) - g(1)) dx$$

adding together,

$$\frac{d\Gamma_h}{dz}(z, Q^2) = \Gamma_0 \left\{ \left( 1 + \frac{\partial s}{z} \right) \cdot \left( D_q^h(z, \mu^2) + D_{\bar{q}}^h(z, \mu^2) \right) \right.$$
$$+ \frac{2\partial s}{z} \cdot \frac{1}{\Gamma(1+\frac{\epsilon}{\nu})} \cdot \left( \left[ \frac{1+x_1^2}{1-x_1} \right]_+ \cdot \left( \frac{2}{\epsilon} + \ln \frac{Q^2}{\mu^2} \right) \right.$$
$$\left. \left. + \dots \right) \otimes D_q^h(z, \mu^2) + \dots \right\}$$

mass factorization, have fragmentation f.

$$D_q^h(z) = D_q^h(z, \mu^2) + \frac{\partial s}{z} \cdot \frac{1}{\Gamma(1+\frac{\epsilon}{\nu})} \cdot -\frac{2}{\epsilon} \cdot$$

$$C_F \cdot \left[ \frac{1+x^2}{1-x} \right]_+ \otimes D_q^h(z, \mu^2) + \dots$$

Physical prediction.

$$\frac{d\sigma_h}{dz} (z, Q^2) = \sigma_0 \cdot \left\{ \left( 1 + \frac{\partial s}{z} \right) \cdot \left( D_2^h(z, \mu^2) + D_3^h(z, \mu^2) \right) \right. \\ \left. + \frac{2\partial s}{3z} \cdot \left( \left[ \frac{1+x_1^2}{1-x_1} \right]_+ \otimes D_2^h(z, \mu^2) \cdot \ln \frac{Q^2}{\mu^2} + \right. \right. \\ \left. \left. \dots \right) \right\}$$

~~more~~

Scaling violation appears at  $\mathcal{O}(a_s)$  !!

Reference :

Applications of perturbative QCD

R. D. Field

