



# Introduction to Chiral Perturbation Theory

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<https://indico.ihep.ac.cn/event/19300/>

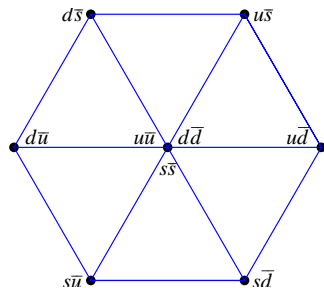
## 1 Chiral symmetry

## 2 Chiral perturbation theory

- Effective field theory in a nut shell
- Transformation properties
- CHPT at leading order
  - Including quark masses
  - Effects of virtual photons
  - Pion-pion scattering at LO
- CHPT with matter fields
  - Baryon CHPT at LO
- CHPT at the next-to-leading order

## 3 Exercises

Light meson SU(3) [ $u, d, s$ ] multiplets (octet + singlet):



- Vector mesons

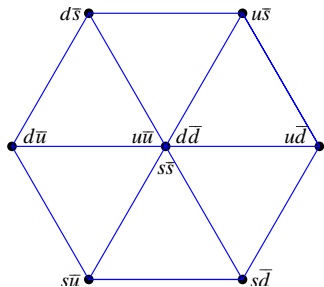
meson	quark content	mass (MeV)
$\rho^+ / \rho^-$	$u\bar{d} / d\bar{u}$	775
$\rho^0$	$(u\bar{u} - d\bar{d}) / \sqrt{2}$	775
$K^{*+} / K^{*-}$	$u\bar{s} / s\bar{u}$	892
$K^{*0} / \bar{K}^{*0}$	$d\bar{s} / s\bar{d}$	896
$\omega$	$(u\bar{u} + d\bar{d}) / \sqrt{2}$	783
$\phi$	$s\bar{s}$	1019

👉 approximate SU(3) symmetry

👉 very good isospin SU(2) symmetry

$$m_{\rho^0} - m_{\rho^\pm} = (-0.7 \pm 0.8) \text{ MeV}, \quad m_{K^{*0}} - m_{K^{*\pm}} = (6.7 \pm 1.2) \text{ MeV}$$

Light meson SU(3) [ $u, d, s$ ] multiplets (octet + singlet):



- Pseudoscalar mesons

meson	quark content	mass (MeV)
$\pi^+ / \pi^-$	$u\bar{d} / d\bar{u}$	140
$\pi^0$	$(u\bar{u} - d\bar{d}) / \sqrt{2}$	135
$K^+ / K^-$	$u\bar{s} / s\bar{u}$	494
$K^0 / \bar{K}^0$	$d\bar{s} / s\bar{d}$	498
$\eta$	$\sim (u\bar{u} + d\bar{d} - 2s\bar{s}) / \sqrt{6}$	548
$\eta'$	$\sim (u\bar{u} + d\bar{d} + s\bar{s}) / \sqrt{3}$	958

👉 very good isospin SU(2) symmetry

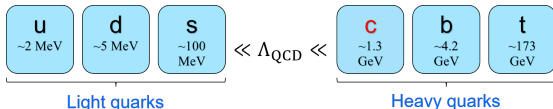
$$m_{\pi^\pm} - m_{\pi^0} = (4.5936 \pm 0.0005) \text{ MeV}, \quad m_{K^0} - m_{K^\pm} = (3.937 \pm 0.028) \text{ MeV}$$

👉 Why are the pions so light? Pseudo-Nambu-Goldstone bosons of the spontaneous breaking of chiral symmetry:  $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$

$$\mathcal{L}_{\text{QCD}} = \sum_{f=\substack{u,d,s, \\ c,b,t}} \bar{q}_f (i\not{D} - m_f) q_f - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu,a} + \frac{g_s^2 \theta}{64\pi^2} \epsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\rho\sigma}^a$$

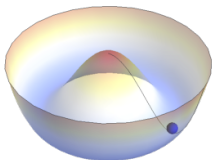
- Exact: Lorentz-invariance,  $SU(3)_c$  gauge,  $C$  (and  $P, T$  for  $\theta = 0$  w/ real  $m_f$ )

- Approximate:

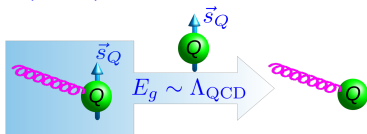


- ☞ Spontaneously broken chiral symmetry:

$$SU(N_f)_L \times SU(N_f)_R \xrightarrow{\text{SSB}} SU(N_f)_V$$



- ☞ Heavy quark spin symmetry (HQSS)
- ☞ Heavy quark flavor symmetry (HQFS)
- ☞ Heavy aiquark-diquark symmetry (HADS)



# Chiral symmetry

# Chiral symmetry (1)

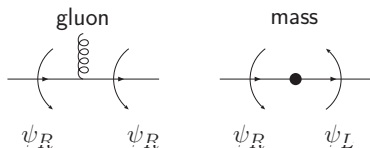
- Masses of the three lightest quarks  $u, d, s$  are small  
⇒ approximate **chiral symmetry**
- Chiral decomposition of fermion fields:

$$\psi = \frac{1}{2}(1 - \gamma_5)\psi + \frac{1}{2}(1 + \gamma_5)\psi \equiv P_L\psi + P_R\psi = \psi_L + \psi_R$$

- ☞ Properties:  $(\gamma_5)^2 = 1, \{\gamma_5, \gamma_\mu\} = 0$
- ☞  $P_L^2 = P_L, P_R^2 = P_R,$   
 $P_L P_R = P_R P_L = 0, P_L + P_R = 1$

- **For massless fermions**, left-/right-handed fields do not interact with each other

$$\mathcal{L}[\psi_L, \psi_R] = i\bar{\psi}_L \not{D}\psi_L + i\bar{\psi}_R \not{D}\psi_R - m(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L)$$



- Decompose  $\mathcal{L}_{\text{QCD}}$  into  $\mathcal{L}_{\text{QCD}}^0$ , the QCD Lagrangian in the **3-flavor chiral limit**  $m_u = m_d = m_s = 0$ , and the light quark mass term:

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{QCD}}^0 - \bar{q}\mathcal{M}q, \quad q = (u, d, s)^T, \quad \mathcal{M} = \text{diag}(m_u, m_d, m_s)$$

- $\mathcal{L}_{\text{QCD}}^0 = i\bar{q}_L \not{D}q_L + i\bar{q}_R \not{D}q_R + \dots$

is invariant under  **$U(3)_L \times U(3)_R$  transformations**:

$$\mathcal{L}_{\text{QCD}}^0(G_{\mu\nu}, q', D_\mu q') = \mathcal{L}_{\text{QCD}}^0(G_{\mu\nu}, q, D_\mu q)$$

$$q' = R P_R q + L P_L q = R q_R + L q_L$$

$$R \in U(3)_R, \quad L \in U(3)_L$$

- Parity:  $q(t, \vec{x}) \xrightarrow{P} \gamma^0 q(t, -\vec{x})$   
 $\Rightarrow q_R(t, \vec{x}) \xrightarrow{P} P_R \gamma^0 q(t, -\vec{x}) = \gamma^0 P_L q(t, -\vec{x}) = \gamma^0 q_L(t, -\vec{x})$   
 $q_L(t, \vec{x}) \xrightarrow{P} \gamma^0 q_R(t, -\vec{x})$
- $U(3)_L \times U(3)_R = \mathbf{SU(3)}_L \times \mathbf{SU(3)}_R \times U(1)_L \times U(1)_R$



# Chiral symmetry (3)

$$q_{L/R} \Rightarrow L/R \not\sim q_{L/R} = e^{-i\alpha_L^a T^a} e^{-i\alpha_R^a T^a} q_{L/R}$$

$$q = q_L + q_R = \frac{1-\gamma_5}{2} q + \frac{1+\gamma_5}{2} q$$

$$\rightarrow \frac{1}{2} \left( e^{-i\alpha_L^a T^a} e^{-i\alpha_L} + e^{-i\alpha_R^a T^a} e^{-i\alpha_R} \right) q + \frac{\gamma_5}{2} \left( -e^{-i\alpha_L^a T^a} e^{-i\alpha_L} + e^{-i\alpha_R^a T^a} e^{-i\alpha_R} \right) q$$

$$= \frac{1}{2} \left( 2 - i\alpha_L^a T^a - i\alpha_L - i\alpha_R^a T^a - i\alpha_R \right) q + \frac{\gamma_5}{2} \left( i\alpha_L^a T^a + i\alpha_L - i\alpha_R^a T^a - i\alpha_R \right) q + \dots$$

$$= \left( 1 - \underbrace{i\alpha_V^a T^a}_{\frac{1}{2}(\alpha_L^a + \alpha_R^a)} - \underbrace{i\alpha_V}_{\frac{1}{2}(\alpha_L + \alpha_R)} \right) q + \left( -\underbrace{i\alpha_A^a T^a}_{\frac{1}{2}(\alpha_R^a - \alpha_L^a)} - \underbrace{i\alpha_A}_{\frac{1}{2}(\alpha_R - \alpha_L)} \right) \gamma_5 q + \dots$$

$$= \underbrace{e^{-i\alpha_V^a T^a}}_{\text{SU}(N)_V} \underbrace{e^{-i\alpha_V}}_{\text{U}(1)_V} \underbrace{e^{-i\alpha_A^a T^a \gamma_5}}_{\text{axial}} \underbrace{e^{-i\alpha_A \gamma_5}}_{\text{U}(1)_A} q$$

- $U(3)_L \times U(3)_R = \text{SU}(3)_L \times \text{SU}(3)_R \times U(1)_V \times U(1)_A$
- $\{e^{-i\alpha_A^a T^a \gamma_5}\} (\alpha_A^a \in \mathbb{R})$  do not form a group (the closure property not satisfied).

Baker-Campbell-Hausdorff formula:  $e^X e^Y = e^{X+Y + \frac{1}{2}[X,Y] + \dots}$ ;  $(\gamma_5)^2 = 1$

## Chiral symmetry (4)

- Decompose  $U(3)_L \times U(3)_R = SU(3)_L \times SU(3)_R \times U(1)_V \times U(1)_A$  :  
18 conserved (Noether) currents for massless QCD at the classical level

$$J_{L,R}^{\mu,a} = \bar{q}_{L,R} \gamma^\mu \frac{\lambda^a}{2} q_{L,R}, \quad J_{L,R}^{\mu,0} = \bar{q}_{L,R} \gamma^\mu q_{L,R}, \quad (\lambda_a : \text{Gell-Mann matrices})$$

- rewritten in terms of vector ( $V = L + R$ ) and axial vector ( $A = R - L$ ) currents

$$V^{\mu,a} = \bar{q} \gamma^\mu \frac{\lambda^a}{2} q, \quad A^{\mu,a} = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda^a}{2} q$$
$$\partial_\mu V^{\mu,a} = 0, \quad \partial_\mu A^{\mu,a} = 0$$

- $U(1)_V$ : baryon or quark number conservation

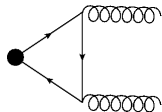
$$V^{\mu,0} = \bar{q} \gamma^\mu q, \quad \partial_\mu V^{\mu,0} = 0$$

- $U(1)_A$ : explicitly broken by quantum effects, anomaly

$$A^{\mu,0} = \bar{q} \gamma^\mu \gamma_5 q, \quad \partial_\mu A^{\mu,0} = \frac{N_f g_s^2}{32\pi^2} \epsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\rho\sigma}^a$$

$\Rightarrow$  the  $\theta$ -term,  $|\bar{\theta}| \lesssim 10^{-10}$  ( $\bar{\theta} \equiv \theta + \arg \det \mathcal{M}$ )  $\Rightarrow$  strong CP problem

- Is  $SU(3)_L \times SU(3)_R$  realized in hadron spectrum?



- Noether's theorem: **continuous** symmetry  $\Rightarrow$  conserved currents

Let  $Q^a$  be **symmetry charges**:  $Q^a = \int d^3\vec{x} J^{a,0}(t, \vec{x})$ ,  $\partial_\mu J^{a,\mu} = 0$

- $Q^a$  is the symmetry generator:  $g = e^{i\alpha^a Q^a}$ ,  $H$ : Hamiltonian, thus

$$gHg^{-1} = H \Rightarrow [Q^a, H] = 0,$$

$$[Q^a, H]|0\rangle = Q^a \underbrace{H|0\rangle}_{=0} - HQ^a|0\rangle = 0$$

- Wigner–Weyl** mode:  $Q^a|0\rangle = 0$  or equivalently  $g|0\rangle = |0\rangle$   
degeneracy in mass spectrum
- Nambu–Goldstone** mode:  $g|0\rangle \neq |0\rangle$ , spontaneously broken (hidden)  
 $Q^a|0\rangle \neq 0$ : **new states** degenerate with vacuum ( $HQ^a|0\rangle = 0$ ), **massless Goldstone bosons**
  - $\Rightarrow$  spontaneously broken continuous global symmetry  $\Rightarrow$  **massless GBs**
  - $\Rightarrow$  the same quantum numbers as  $Q^a|0\rangle \Rightarrow$  **spinless**
  - $\Rightarrow$   $\#(\text{GBs}) = \#(\text{broken generators})$

Vector and axial charges:

$$Q_V^a = Q_L^a + Q_R^a, \quad Q_A^a = Q_R^a - Q_L^a, \quad [Q_{V,A}^a, H_{\text{QCD}}^0] = 0$$

here  $H_{\text{QCD}}^0$ : QCD Hamiltonian in the chiral limit.

Under parity transformation:  $q_R \rightarrow \gamma^0 q_L, q_L \rightarrow \gamma^0 q_R$

$$\begin{aligned} J_L^{\mu,a} &\rightarrow J_{R,\mu}^a, & J_R^{\mu,a} &\rightarrow J_{L,\mu}^a \\ \Rightarrow PQ_V^a P^{-1} &= Q_V^a, & PQ_A^a P^{-1} &= -Q_A^a \end{aligned}$$

For an eigenstate of  $H_{\text{QCD}}^0$ ,  $|\psi_\alpha\rangle = b_\alpha^\dagger |0\rangle$ :  $H_{\text{QCD}}^0 |\psi_\alpha\rangle = E |\psi_\alpha\rangle$  with  $P |\psi_\alpha\rangle = \eta_P |\psi_\alpha\rangle$ , then

$$\begin{aligned} H_{\text{QCD}}^0 Q_A^a |\psi_\alpha\rangle &= Q_A^a H_{\text{QCD}}^0 |\psi_\alpha\rangle = E Q_A^a |\psi_\alpha\rangle, \\ P Q_A^a |\psi_\alpha\rangle &= P Q_A^a P^{-1} P |\psi_\alpha\rangle = -\eta_P Q_A^a |\psi_\alpha\rangle \end{aligned}$$

**Parity doubling:**  $Q_A^a |\psi_\alpha\rangle = Q_A^a b_\alpha^\dagger |0\rangle = \underbrace{[Q_A^a, b_\alpha^\dagger]}_{=(t^a)_{\alpha\beta} b_{\beta-}^\dagger} |0\rangle + b_\alpha^\dagger Q_A^a |0\rangle$  has the **same mass**

but **opposite parity**

- But, in the hadron spectrum:

$$m_{\text{Nucleon}, P=+} = 939 \text{ MeV} \ll m_{N^*(1535), P=-} = 1535 \text{ MeV},$$

$$m_{\pi, P=-} = 139 \text{ MeV} \ll m_{a_0(980), P=+} = 980 \text{ MeV}$$

- No parity doubling in hadron spectrum  $\Rightarrow$

$$Q_A^a |0\rangle \neq 0, \quad \text{or} \quad e^{i\alpha^a Q_A^a} |0\rangle \neq |0\rangle$$

Nambu–Goldstone mode (hidden symmetry):

In QCD,  $SU(3)_L \times SU(3)_R$  is spontaneously broken down to  $SU(3)_V$

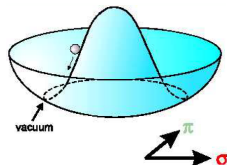
- GBs should have  $J^P = 0^-$

- Linear  $\sigma$  model with an  $O(4)$  symmetry

$$\mathcal{L}_{\text{LSM}} = \frac{1}{2} \partial_\mu \Phi^T \partial^\mu \Phi - V(\Phi),$$

$$V(\Phi) = \frac{\lambda}{4} (\Phi^T \Phi - v^2)^2, \quad \text{with } \Phi^T = (\sigma, \pi_1, \pi_2, \pi_3)$$

- $\sigma = \pi_a = 0$  is not a minimum of  $V(\Phi)$  for  $v^2 > 0$



There is a continuum of degenerate vacua:  $\Phi_{\text{min}}^T \Phi_{\text{min}} = v^2$

Choose  $\Phi_{\text{min}} = (v, 0, 0, 0)$ , vacuum is only invariant under  $O(3)$  rotations

spontaneous symmetry breaking:  $O(4) \rightarrow O(3)$

- Perturb around  $\Phi_{\text{min}} = (v, \vec{0})$ , let  $\Phi = \Phi_{\text{min}} + (\sigma', \pi_1, \pi_2, \pi_3)^T$ , then

$$\mathcal{L}_{\text{LSM}} = \frac{1}{2} \partial_\mu \pi_a \partial^\mu \pi_a + \frac{1}{2} \partial_\mu \sigma' \partial^\mu \sigma' - \frac{\lambda}{4} (\sigma'^2 + \pi_a \pi_a + 2v\sigma')^2$$

☞ Goldstone bosons (GBs):  $\pi_a$ 's are massless

☞  $\sigma'$  is massive:  $m_{\sigma'}^2 = 2\lambda v^2$

☞  $m_{\sigma'}$  and 5 interaction terms described by **only 2 parameters**

$$\mathcal{L}_{\text{LSM}} = \frac{1}{2} \partial_\mu \pi_a \partial^\mu \pi_a + \frac{1}{2} \partial_\mu \sigma' \partial^\mu \sigma' - \frac{\lambda}{4} (\sigma'^2 + \pi_a \pi_a + 2v\sigma')^2$$

Only 2 parameters, important for cancellation!

Examples: tree-level scattering amplitudes

- $\pi_3(p_1)\pi_3(p_2) \rightarrow \pi_3(p_3)\pi_3(p_4)$  (individually large terms!)

$$\begin{aligned}
 i\mathcal{A} &= \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \text{diagram 4} \\
 &= -i6\lambda + \frac{i(-2i\lambda v)^2}{s - (2\lambda v)^2} + \frac{i(-2i\lambda v)^2}{t - (2\lambda v)^2} + \frac{i(-2i\lambda v)^2}{u - (2\lambda v)^2} \\
 &= -i6\lambda + \frac{i}{2\lambda v^2} (2\lambda v)^2 \left( 3 + \frac{s+t+u}{2\lambda v^2} \right) + \mathcal{O}\left(\frac{p_\pi^4}{m_\sigma^4}\right) = \mathcal{O}\left(\frac{p_\pi^4}{m_\sigma^4}\right)
 \end{aligned}$$

$p_\pi$ : a generic momentum of GBs

Mandelstam variables:  $s = (p_1 + p_2)^2$ ,  $t = (p_1 - p_3)^2$ ,  $u = (p_1 - p_4)^2$ ,

$$s + t + u = \sum_i p_i^2$$

- $\pi_3\sigma' \rightarrow \pi_3\sigma'$

Exercise: Show that at tree-level

$$\mathcal{A}(\pi_3\sigma' \rightarrow \pi_3\sigma') = \mathcal{O}\left(\frac{p_\pi^2}{m_\sigma^2}\right)$$

Lessons from the linear  $\sigma$  model:

- ☞ SSB happens when there is a **degeneracy of the vacuum**
- ☞ **nonvanishing VEV** of some Hermitian operator, here  $\langle\sigma\rangle = v$
- ☞ SSB  $\Rightarrow$  **massless GBs** ( $\pi_a$ ),  $\# = \dim(O(4)) - \dim(O(3)) = 3$
- ☞ **GBs decouple at vanishing momenta!**



Symmetry implies a **derivative coupling** for GBs, i.e.,

GBs do not interact at vanishing momenta

- Consider GB  $\pi^a$ :  $\langle \pi^a | Q_A^a | 0 \rangle = \int d^3x \langle \pi^a | A_0^a(x) | 0 \rangle \neq 0$

Lorentz invariance  $\Rightarrow \langle \pi^a(q) | A_\mu^a(0) | 0 \rangle = -iq_\mu F_\pi$

- Consider the matrix element

$$\begin{aligned}
 \langle \psi_1 | A_\mu^a(0) | \psi_2 \rangle &= \text{diagram 1} + \text{diagram 2} \\
 &= R_\mu^a + F_\pi q^\mu \frac{1}{q^2} T^a
 \end{aligned}$$

Current conservation  $\Rightarrow q^\mu A_\mu^a = 0$ , thus

$$q^\mu R_\mu^a + F_\pi T^a = 0 \Rightarrow \lim_{q^\mu \rightarrow 0} T^a = 0$$

- $\Rightarrow$  GBs couple in a **derivative** form !!

- Hamiltonian invariant under a group  $G = \text{SU}(N_f)_L \times \text{SU}(N_f)_R$ , vacuum invariant under its **vector** subgroup  $H = \text{SU}(N_f)_V$ .

$$Q_V^a |0\rangle = 0, \quad Q_A^a |0\rangle \neq 0$$

- Nonvanishing chiral condensate:  $\langle \bar{q}q \rangle = \langle 0 | (\bar{q}_L q_R + \bar{q}_R q_L) | 0 \rangle \neq 0$
- SSB  $\Rightarrow$  massless pseudoscalar Goldstone bosons

$$\#(\text{GBs}) = \dim(G) - \dim(H) = N_f^2 - 1$$

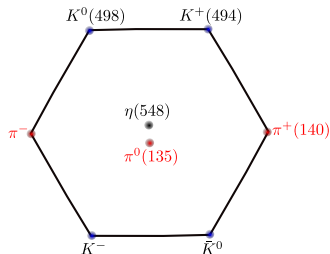
for  $N_f = 3$ , 8 GBs:  $\pi^\pm, \pi^0, K^\pm, K^0, \bar{K}^0, \eta$

for  $N_f = 2$ , 3 GBs:  $\pi^\pm, \pi^0$

Pions get a small mass due to **explicit symmetry breaking** by tiny  $m_{u,d}$  (a few MeV)

$$M_\pi \ll M_{\text{other hadron}}$$

$$\text{also, } m_s \gg m_{u,d} \Rightarrow M_K \gg M_\pi$$



- Mechanism for  $\text{SU}(N_f)_L \times \text{SU}(N_f)_R \rightarrow \text{SU}(N_f)_V$  in QCD not well understood

# Chiral perturbation theory

S. Weinberg, "Phenomenological Lagrangians", *Physica* **96A** (1979) 327

been proven, but which I cannot imagine could be wrong. The "theorem" says that although individual quantum field theories have of course a good deal of content, quantum field theory itself has no content beyond analyticity, unitarity, cluster decomposition, and symmetry. This can be put more precisely in the context of perturbation theory: **if one writes down the most general possible Lagrangian, including all terms consistent with assumed symmetry principles, and then calculates matrix elements with this Lagrangian to any given order of perturbation theory, the result will simply be the most general possible  $S$ -matrix consistent with analyticity, perturbative unitarity, cluster decomposition and the assumed symmetry principles.** As I said, this has not

Translation:

- 👉 The **most general** effective Lagrangian, up to a given order, consistent with the **symmetries of the underlying theory**  
⇒ results consistent with the underlying theory (with unitarity satisfied only perturbatively)!
- 👉 The degrees of freedom can be different from those of the underlining theory  
⇒ we can **work with hadrons directly** for low-energy QCD, really effective!

## Low-energy EFT (2)

However, the “most general” means

- an **infinite** number of parameters  $\Rightarrow$  intractable (?)
- nonrenormalizable (in contrast to, e.g., QED and QCD)

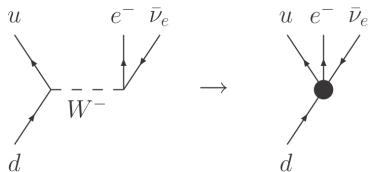
Solution: systematic expansion with a **power counting**

- only a **finite** number of parameters **at a given order**, can be determined from
  - ☞ experiments
  - ☞ lattice calculations for QCD
- renormalize **order by order**
- existence of a small (dimensionless) quantity, e.g.,

**separation of energy scales**,  $E \ll \Lambda \Rightarrow$  expansion in powers of  $(E/\Lambda)$

Neutron decay ( $n \rightarrow pe^- \bar{\nu}_e$ ): weak interactions for  $|q^2| \ll M_W^2$  (decoupling EFT)

$$\begin{aligned} & \frac{e^2}{2 \sin^2 \theta_W} \frac{1}{M_W^2 - q^2} \\ &= \frac{e^2}{2M_W^2 \sin^2 \theta_W} \left( 1 + \frac{q^2}{M_W^2} + \dots \right) \\ &= \frac{4G_F}{\sqrt{2}} + \mathcal{O} \left( \frac{q^2}{M_W^2} \right) \end{aligned}$$



- Pro: **model-independent**, controlled uncertainty
- Con: number of parameters increases fast when going to higher orders

Things need to be remembered for an EFT:

- **separation of energy scales**:  
systematic expansion with a **power counting**
- **symmetry** constraints from the full theory

For low-energy QCD, we consider

- 👉 (approximate) **chiral symmetry** of light quarks  
⇒ **CHiral Perturbation Theory** (non-decoupling EFT)  
full theory ⇒ EFT via **spontaneous symmetry breaking** (SSB)  
generation of new light degrees of freedom
- 👉 **heavy quark symmetry**: spin and flavor

CHPT: a low energy EFT for QCD:

- an example for a **non-decoupling** EFT:  
degrees of freedom are different from those of the underlying theory
- a theory for the Goldstone bosons of  $SU(N_f)_L \times SU(N_f)_R \rightarrow SU(N_f)_V$
- **most general** Lagrangian with the same global symmetries as QCD

How do the Goldstone bosons transform under  $SU(N_f)_L \times SU(N_f)_R$ ?

# Nonlinear realization of chiral symmetry (1)

Weinberg (1968); Coleman, Wess, Zumino (1969); Callan, Coleman, Wess, Zumino (1969)

To study the transformation properties of the Goldstone bosons (GBs)

- Assume  $G \xrightarrow{\text{SSB}} H$ , we have GBs

$$\Phi = (\phi_1, \dots, \phi_n), \quad n = \dim(G) - \dim(H)$$

- Recall for SSB,  $g|0\rangle \neq |0\rangle$  produces massless GBs

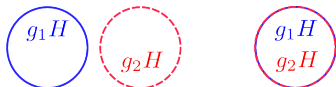
$$g|0\rangle = gh|0\rangle \text{ since the vacuum is invariant under } h \in H$$

GBs are defined up to the equivalence  $g \sim gh$ , i.e., **GBs live in the coset space  $G/H$**

- Left coset of  $H$  with respect to  $g \in G$ :  $gH = \{gh|h \in H\}$

coset space  $G/H$ : set of all left cosets  $\{gH|g \in G\}$

👉 Two left cosets either completely overlap or completely disjoint



$$\text{If } \underbrace{g_1 h_1}_{\in g_1 H} = \underbrace{g_2 h_2}_{\in g_2 H}, \text{ then } \underbrace{g_1 h_1 H}_{=g_1 H} = \underbrace{g_2 h_2 H}_{=g_2 H}$$

👉  $\dim(G/H) = \dim(G) - \dim(H)$

- GBs live in the coset space  $G/H$ :



- Cosets either completely overlap or completely disjoint  
⇒ free to choose the set of representative elements / set of coordinates on  $G/H$   
E.g., for  $h_{1,2} \in H$ , we can choose either  $gh_1$  or  $gh_2$  to represent the coset  $gH$
- Transformation properties of the GBs **uniquely** determined once the set of rep. elements have been chosen:

Parameterizing GBs by  $u \in G/H$

transformation under  $g \in G$

$$gu = u' h(g, u)$$

since any element of the coset  $\{u' h(g, u) | h(g, u) \in H\}$  can be used

⇒ **Nonlinear** transformation of GBs

$$u \xrightarrow{g \in G} u' = gu h^{-1}(g, u)$$

## Application to QCD (1)

For QCD,  $G = \text{SU}(N_f)_L \times \text{SU}(N_f)_R \xrightarrow{\text{SSB}} H = \text{SU}(N_f)_V$

- $g = (g_L, g_R) \in \text{SU}(N_f)_L \times \text{SU}(N_f)_R$  for  $g_L \in \text{SU}(N_f)_L, g_R \in \text{SU}(N_f)_R$

$$g_1 g_2 = (g_{L_1}, g_{R_1})(g_{L_2}, g_{R_2}) = (g_{L_1} g_{L_2}, g_{R_1} g_{R_2})$$

- Choice of a representative element inside each left coset is free

$$(g_L, g_R)H = (g_L g_R^\dagger, \mathbb{1}) \underbrace{(g_R, g_R)}_{\in H = \text{SU}(N_f)_V} H = (g_L g_R^\dagger, \mathbb{1}) H$$

☞ Goldstone bosons can be parameterized by

$$U = g_L g_R^\dagger = \exp\left(i \frac{\phi}{F'}\right)$$

here,  $\phi = \sum_{a=1}^8 \lambda_a \phi_a$  for SU(3), and  $\vec{\tau} \cdot \vec{\pi}$  for SU(2)

$\lambda_a$ : Gell-Mann matrices,  $\tau_i (i = 1, 2, 3)$ : Pauli matrices

$\phi_a (\pi_i)$ : Goldstone boson fields

$F'$ : dimensionful constant (to be determined later)

- Acting  $g = (L, R) \in G$  on the coset  $(U, \mathbb{1})H$

$$g(U, \mathbb{1})H = (LU, R)H = (LU R^\dagger R, R)H = (LU R^\dagger, \mathbb{1}) \underbrace{(R, R)}_{\in \text{SU}(N_f)_V} H$$

$\Rightarrow$  transformation property of  $U$ :

$$U \xrightarrow{g} LU R^\dagger$$

One can also parametrize the GBs such that  $U \xrightarrow{g} RUL^\dagger$ . Any one is okay if used consistently.

- For  $g \in H = \text{SU}(N_f)_V$ , we have  $R = L$

$$U \rightarrow LUL^\dagger \quad \Rightarrow \quad \phi \rightarrow L\phi L^\dagger$$

i.e., GB fields transform **linearly under**  $\text{SU}(N_f)_V$

# CHPT at leading order

Aim: reproduce **low-energy structure** of QCD

- Effective Lagrangian invariant under  $G = \text{SU}(N_f)_L \times \text{SU}(N_f)_R$  (and  $C, P$ )

$$U \xrightarrow{G} L U R^\dagger, \quad U \xrightarrow{C} U^T, \quad U \xrightarrow{P} U^\dagger$$

- What does "low-energy" mean here?

Goldstone boson fields (contained in  $U$ ) as the only degrees of freedom

$\Rightarrow$  energy range restricted to **well below 1 GeV**

(**separation of energy scales**, more see later)

- Low energies: **expand in powers of momenta** (= number of derivatives)
- Lorentz invariance  $\Rightarrow$  only **even** number of derivatives are allowed

$$\mathcal{L} = \mathcal{L}^{(0)} + \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \dots$$

- $\mathcal{L}^{(0)}$ ?  $U$  is unitary,  $U U^\dagger = \mathbb{1}$ , hence

$$\langle (U U^\dagger)^n \rangle = \text{const.}, \quad \langle \dots \rangle \equiv \text{Tr}_{\text{flavor}}[\dots]$$

$\Rightarrow$  Leading non-trivial term is  $\mathcal{L}^{(2)}$

## Construction of the effective Lagrangian for GBs (2)

- Leading term of the effective Lagrangian is  $\mathcal{L}^{(2)}$   
just one single term (nonlinear  $\sigma$  model):

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial^\mu U^\dagger \rangle \quad \text{with } U = \exp\left(\frac{i\phi}{F'}\right)$$

$$\phi_{\text{SU}(2)} = \begin{pmatrix} \pi^0 & \sqrt{2}\pi^+ \\ \sqrt{2}\pi^- & -\pi^0 \end{pmatrix}, \quad \phi_{\text{SU}(3)} = \sqrt{2} \begin{pmatrix} \frac{\phi_3}{\sqrt{2}} + \frac{\phi_8}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\phi_3}{\sqrt{2}} + \frac{\phi_8}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\frac{2\phi_8}{\sqrt{6}} \end{pmatrix}$$

i.e.  $\phi_{\text{SU}(2)} = \tau^a \pi^a$ ,  $\phi_{\text{SU}(3)} = \lambda^a \phi^a$ , with  $\tau^a$  ( $a = 1, 2, 3$ ) and  $\lambda^a$  ( $a = 1, 2, \dots, 8$ ) the Pauli and Gell-Mann matrices, respectively

- $\mathcal{L}^{(2)}$  is invariant under  $\text{SU}(N_f)_L \times \text{SU}(N_f)_R$ :

$$\begin{aligned} \langle \partial_\mu U \partial^\mu U^\dagger \rangle &\rightarrow \langle \partial_\mu U' \partial^\mu U'^\dagger \rangle \\ &= \langle L \partial_\mu U R^\dagger R \partial^\mu U^\dagger L^\dagger \rangle = \langle \partial_\mu U \partial^\mu U^\dagger \rangle \end{aligned}$$

Note that  $\langle U \partial^\mu U^\dagger \rangle = 0$ , therefore  $\langle U \partial_\mu U^\dagger \rangle \langle U \partial^\mu U^\dagger \rangle$  is not present.

## The low-energy constant $F$

- Expand  $U$  in powers of  $\phi$ ,  $U = 1 + \frac{i\phi}{F'} - \frac{\phi^2}{2F'^2} + \dots$   
 $\Rightarrow$  canonical kinetic terms  $\mathcal{L}^{(2)} = \partial_\mu \pi^+ \partial^\mu \pi^- + \partial_\mu K^+ \partial^\mu K^- + \dots$   
for  $F' = F$

- Calculate the Noether currents  $L_a^\mu, R_a^\mu$  from  $\mathcal{L}^{(2)} \Rightarrow$

$$V_a^\mu = R_a^\mu + L_a^\mu = i \frac{F^2}{4} \langle \lambda_a [\partial^\mu U, U^\dagger] \rangle$$

$$A_a^\mu = R_a^\mu - L_a^\mu = i \frac{F^2}{4} \langle \lambda_a \{ \partial^\mu U, U^\dagger \} \rangle$$

- Expand the currents in powers of  $\phi$ ,  $A_a^\mu = -F \partial^\mu \phi_a + \mathcal{O}(\phi^3)$

$$\langle 0 | A_a^\mu(x) | \phi_b(p) \rangle = i p^\mu F e^{-i p \cdot x} \delta_{ab}$$

$\Rightarrow F$  is the pion decay constant in the chiral limit

$$F \approx F_\pi$$

$F_\pi = 92.2 \text{ MeV}$  measured in the leptonic decay of the pion  $\pi^+ \rightarrow \ell^+ \nu_\ell$

So far, only considered **chiral limit**  $m_u = m_d = m_s = 0$ .

For **non-zero** quark masses,

- the **singlet vector current** still conserved (baryon number conservation)

$$\partial^\mu V_\mu = 0$$

- vector currents  $V_\mu^a$  **conserved when**  $m_u = m_d = m_s = m$  (i.e.,  $\mathcal{M} = m\mathbb{1}$ )

$$\partial^\mu V_\mu^a = i\bar{q} \left[ \mathcal{M}, \frac{\lambda^a}{2} \right] q$$

- $A_\mu^a$  not conserved any more: **Partially Conserved Axial Current (PCAC)**

$$\partial^\mu A_\mu^a = i\bar{q} \left\{ \mathcal{M}, \frac{\lambda^a}{2} \right\} q$$



- In the **chiral limit**  $m_u = m_d = m_s = 0$

☞  $\mathcal{L}^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial^\mu U^\dagger \rangle$  contains no terms  $\propto M^2 \phi^2$

☞ theory for **massless** Goldstone bosons, but pions have nonvanishing masses

- In nature,  $u, d, s$  quark masses are **small** ( $m_{u,d,s} \ll \Lambda_{\text{QCD}}$ ), but non-zero

☞ chiral symmetry explicitly broken

$$\mathcal{L}_m = -\bar{q}_R m q_L - \bar{q}_L m q_R$$

☞ if symmetry breaking is **weak**  $\Rightarrow$

a perturbative expansion in the quark masses

- Effective Lagrangian is still an appropriate tool to **systematically derive all symmetry relations**

CHiral Perturbation Theory (CHPT):

double expansion in low momenta and quark masses

**Spurion** in 3 steps: very useful trick for explicit symmetry breaking

1. Introduce a **spurion field** (e.g. **quark mass**, **electric charge**,  $\gamma_\mu$ , ...) with a transformation property so that the symmetry breaking term **in the full theory** is invariant
2. Write down invariant operators **in EFT including the spurion field**
3. Set the spurion field to **the value which it should take**

- Apply the spurion trick to quark masses:

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{QCD}}^0 - \bar{q}_L \mathcal{M} q_R - \bar{q}_R \mathcal{M}^\dagger q_L$$

- Treat  $\mathcal{M}$  as a complex spurion field

$$\mathcal{M} \rightarrow \mathcal{M}' = L \mathcal{M} R^\dagger$$

- Then construct Lagrangian invariant under  $\text{SU}(N_f)_L \times \text{SU}(N_f)_R$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{eff}}(U, \partial U, \partial^2 U, \dots, \mathcal{M})$$

- This procedure guarantees that chiral symmetry is broken in **exactly the same way** in the effective theory as it is in QCD

$$\mathcal{L}_2 = \frac{F^2}{4} [\langle \partial_\mu U \partial^\mu U^\dagger \rangle + 2B \langle \mathcal{M} U^\dagger + \mathcal{M}^\dagger U \rangle] \quad \text{with } U \rightarrow L U R^\dagger$$

- The spurion trick is very useful to construct EFT operators with a given symmetry transformation property

$$\mathcal{L}^{(2)} = \frac{F^2}{4} [\langle \partial_\mu U \partial^\mu U^\dagger \rangle + 2B \langle \mathcal{M}U^\dagger + \mathcal{M}^\dagger U \rangle]$$

- At LO [SU(3)]:

$$M_{\pi^\pm}^2 = B(m_u + m_d)$$

$$M_{K^\pm}^2 = B(m_u + m_s)$$

$$M_{K^0}^2 = B(m_d + m_s)$$

- Gell-Mann–Oakes–Renner (GMOR) relation:

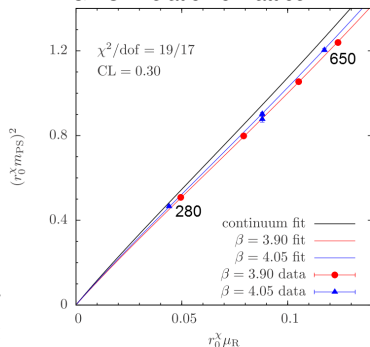
$$M_{\text{GB}}^2 \propto m_q$$

👉 CHPT can be used to extrapolate lattice results from large to the physical values of  $m_{u,d}$  (or equivalently pion masses)

- Unified power counting for derivative and quark mass expansions:

$$m_q = \mathcal{O}(p^2)$$

GMOR relation on lattice:



ETM Col., JHEP08(2010)097

$$\mathcal{L}^{(2)} = \frac{F^2}{4} [\langle \partial_\mu U \partial^\mu U^\dagger \rangle + 2B \langle \mathcal{M}U^\dagger + \mathcal{M}^\dagger U \rangle]$$

- Flavor-neutral states  $\phi_3, \phi_8$  are **mixed**:

$$\frac{B}{2} \begin{pmatrix} \phi_3 \\ \phi_8 \end{pmatrix}^T \begin{pmatrix} m_u + m_d & \frac{1}{\sqrt{3}}(m_u - m_d) \\ \frac{1}{\sqrt{3}}(m_u - m_d) & \frac{1}{3}(m_u + m_d + 4m_s) \end{pmatrix} \begin{pmatrix} \phi_3 \\ \phi_8 \end{pmatrix}$$

- Diagonalize with

$$\begin{pmatrix} \pi^0 \\ \eta \end{pmatrix} = \begin{pmatrix} \cos \epsilon_{\pi^0 \eta} & \sin \epsilon_{\pi^0 \eta} \\ -\sin \epsilon_{\pi^0 \eta} & \cos \epsilon_{\pi^0 \eta} \end{pmatrix} \begin{pmatrix} \phi_3 \\ \phi_8 \end{pmatrix}$$

- Exercise**: derive the  $\pi^0 \eta$  **mixing angle**:

$$\epsilon_{\pi^0 \eta} = \frac{1}{2} \arctan \left( \frac{\sqrt{3}(m_d - m_u)}{2m_s - m_u - m_d} \right) \simeq \frac{\sqrt{3}}{2} \frac{m_d - m_u}{2m_s - m_u - m_d}$$

- Mass eigenvalues:

$$\begin{aligned}
 M_{\pi^0}^2 &= B(m_u + m_d) - \mathcal{O}((m_u - m_d)^2) \\
 M_{\eta}^2 &= \frac{B}{3}(m_u + m_d + 4m_s) + \mathcal{O}((m_u - m_d)^2)
 \end{aligned}$$

- At LO w/o electromagnetic effects,

$$M_{\pi^\pm}^2 = M_{\pi^0}^2,$$

in the isospin limit  $m_u = m_d$ :

$$M_{K^\pm}^2 = M_{K^0}^2 \quad (\text{of course!})$$

- Gell-Mann–Okubo (GMO) mass formula for pseudoscalars:

$$4M_K^2 = 3M_\eta^2 + M_\pi^2$$

⇒ a LO relation, fulfilled in nature at 7% accuracy

**Exercise:** One possible solution of the strong CP problem is the Peccei-Quinn (PQ) mechanism which introduces a global U(1) symmetry, called the PQ symmetry. Axion is the pseudo-Goldstone boson of the spontaneous breaking of this symmetry. Its properties can be studied in CHPT by changing the quark mass matrix  $\mathcal{M}$  to  $\mathcal{M}e^{iXa/f_a}$  with  $a$  the axion field,  $f_a$  the axion decay constant, and  $X$  satisfying  $\langle X \rangle = 1$ . Consider the LO mass term of the SU(2) version of CHPT with axion,

$$\mathcal{L}_a^{(2)} = \frac{F^2}{2} B \langle \mathcal{M} e^{iXa/f_a} U^\dagger + \text{h.c.} \rangle,$$

where h.c. represents the Hermitian conjugated term.

- 1) show that there will be no  $a$ - $\pi^0$  mixing if we choose  $X = \mathcal{M}^{-1} / \langle \mathcal{M}^{-1} \rangle$ ;
- 2) show that the axion mass squared is given by

$$m_a^2 = \frac{F^2 M_\pi^2}{f_a^2} \frac{m_u m_d}{(m_u + m_d)^2}.$$

- Unknown parameter  $B$  prevents quark mass determination
- Quark mass ratios:

$$\frac{m_u}{m_d} = \frac{M_{K^+}^2 - M_{K^0}^2 + M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} \approx 0.67$$

$$\frac{m_s}{m_d} = \frac{M_{K^0}^2 + M_{K^+}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} \approx 22$$

- Sizable  $m_u/m_d$ , but why no large isospin violation in nature?

☞  $(m_d - m_u)/m_s$  (see  $\pi^0\eta$  mixing angle) is small

☞  $(m_d - m_u)/\Lambda_{\text{QCD}}$  is small

- Pion mass difference due to  $m_d - m_u$ :

$$M_{\pi^0}^2 = M_{\pi^+}^2 \left\{ 1 - \frac{(m_d - m_u)^2}{8\hat{m}(m_s - \hat{m})} + \dots \right\}$$

this leads to

$$M_{\pi^+} - M_{\pi^0} \approx 0.2 \text{ MeV}$$

vs.  $(M_{\pi^+} - M_{\pi^0})_{\text{exp}} \approx 4.6 \text{ MeV}$



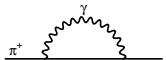
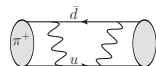
- Two sources of isospin symmetry breaking:

☞  $m_u \neq m_d$

☞ **electromagnetic effects**,  $Q_u (= \frac{2}{3}) \neq Q_d (= -\frac{1}{3})$  (in units of  $e$ )

- Coupling of  $\mathcal{L}^{(2)}$  to external vector ( $v_\mu$ ) / axial vector ( $a_\mu$ ) currents via **covariant derivative** straightforward:

$$\partial_\mu U \longrightarrow D_\mu U = \partial_\mu U - i[v_\mu, U] + i\{a_\mu, U\}$$

$\langle D_\mu U D^\mu U^\dagger \rangle$  contains , but **misses**, e.g., 

- Including photons only through the minimal substitution does not generate the most general e.m. effects  
 $\Rightarrow$  has to include **chirally invariant local operators of  $\mathcal{O}(e^2)$**  for **virtual photons**

- Using the **spurion trick** for quark **electric charge matrix**  $Q = e \text{diag} \left( \frac{2}{3}, -\frac{1}{3}, -\frac{1}{3} \right)$  as for the quark mass term:

$$\mathcal{L}_{\text{em}} = -\bar{q}Q\not{A}q \longrightarrow -\bar{q}_L Q_L \not{A}q_L - \bar{q}_R Q_R \not{A}q_R$$

☞ Pretend  $Q_{L,R}$  transform as:

$$Q_L \longmapsto L Q_L L^\dagger, \quad Q_R \longmapsto R Q_R R^\dagger$$

☞ Construct terms invariant under  $SU(3)_L \times SU(3)_R$

☞ Set  $Q_L = Q_R = Q$  in the end

- Power counting:  $Q_{L,R} = \mathcal{O}(p)$
- Only one term at  $\mathcal{O}(e^2) = \mathcal{O}(p^2)$

$$\mathcal{L}_{\text{em}}^{(2)} = C \langle Q_L U Q_R U^\dagger \rangle$$

- Contribution to the meson masses:

$$M_{\pi^\pm}^2 = B(m_u + m_d) + \frac{2Ce^2}{F^2}, \quad M_{K^\pm}^2 = B(m_u + m_s) + \frac{2Ce^2}{F^2}$$

no contributions to neutral meson masses or  $\pi^0\eta$  mixing

- Dashen's theorem:

$$(M_{\pi^+}^2 - M_{\pi^0}^2)_{\text{em}} = (M_{K^+}^2 - M_{K^0}^2)_{\text{em}}$$

in the chiral limit

- Constant  $C$  fixed:  $C = \frac{F_\pi^2}{2e^2} (M_{\pi^+}^2 - M_{\pi^0}^2)$
- Improved quark mass ratio

$$\frac{m_u}{m_d} = \frac{M_{K^+}^2 - M_{K^0}^2 + 2M_{\pi^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 0.56 \quad \text{instead of } 0.67$$

- The  $\pi^0\text{-}\eta$  mixing angle can be constructed:

$$\epsilon_{\pi^0\eta} \simeq \frac{\sqrt{3}}{2} \frac{m_d - m_u}{2m_s - m_u - m_d} \simeq \frac{\sqrt{3}}{2} \frac{M_{K^0}^2 - M_{K^\pm}^2 - M_{\pi^0}^2 + M_{\pi^\pm}^2}{M_{K^0}^2 + M_{K^\pm}^2 - M_{\pi^0}^2 - M_{\pi^\pm}^2} \simeq 0.0099$$

- Freedom to choose coordinates on coset space  $G/H$
- Haag's theorem on field redefinition: Haag (1958); Coleman, Wess, Zumino (1969)

If fields  $\phi$  and  $\chi$  are related nonlinearly by a local function as

$$\phi = \chi F[\chi] \quad \text{with } F[0] = 1,$$

then **the same physical observables (on-shell  $S$ -matrices)** can be obtained using either field  $\phi$  with Lagrangian  $\mathcal{L}[\phi]$  or  $\chi$  with  $\mathcal{L}[\chi F[\chi]]$ .

In the chiral limit,

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial^\mu U^\dagger \rangle$$

Amplitude for  $\pi^+(p_1)\pi^-(p_2) \rightarrow \pi^0(p_3)\pi^0(p_4)$ :  $\mathcal{A}(s, t, u)$

- Exponential representation:

$$U = \exp \frac{i\phi}{F}$$

with  $\phi = \vec{\tau} \cdot \vec{\pi}$ ,  $\pi^0 = \pi_3$ ,  $\pi^\pm = \frac{1}{\sqrt{2}} (\pi_1 \mp i\pi_2)$  for SU(2).

$$\mathcal{A}(s, t, u) = \frac{s}{F^2}$$

☞ parameter-free prediction

☞ in accordance with Goldstone theorem: vanishes at zero-momentum

- Square-root representation:

$$U = \frac{1}{F} \left( \sqrt{F^2 - \vec{\pi}'^2} + i\vec{\tau} \cdot \vec{\pi}' \right)$$

calculating with  $\vec{\pi}'$  as the pion fields gives the same scattering amplitude.

**Exercise:** Calculate the amplitude and show that the two representations are related by the following **field redefinition**:

$$\vec{\pi}' = \vec{\pi} \frac{F}{|\vec{\pi}|} \sin\left(\frac{|\vec{\pi}|}{F}\right) = \vec{\pi} + \text{nonlinear terms}, \quad \text{here } |\vec{\pi}| \equiv \sqrt{\vec{\pi}^2}$$

Hint: The following relations of Pauli matrices might be useful:

$$[\tau^a, \tau^b] = 2i\epsilon^{abc}\tau^c, \quad \{\tau^a, \tau^b\} = 2\delta^{ab}\mathbb{1},$$

$$\vec{\tau} \cdot \vec{A} \vec{\tau} \cdot \vec{B} = \vec{A} \cdot \vec{B} \mathbb{1} + i\vec{\tau} \cdot (\vec{A} \times \vec{B}), \quad \exp(i\vec{\tau} \cdot \vec{\pi}) = \cos(|\vec{\pi}|) \mathbb{1} + i \frac{\vec{\tau} \cdot \vec{\pi}}{|\vec{\pi}|} \sin(|\vec{\pi}|),$$

$$\text{Tr}(\tau^a) = 0, \quad \text{Tr}(\tau^a \tau^b) = 2\delta^{ab}, \quad \text{Tr}(\tau^a \tau^b \tau^c) = 2i\epsilon^{abc},$$

$$\text{Tr}(\tau^a \tau^b \tau^c \tau^d) = 2(\delta^{ab}\delta^{cd} + \delta^{ad}\delta^{bc} - \delta^{ac}\delta^{bd})$$

- The amplitude with the quark mass term included reads

$$A(s, t, u) = \frac{s - M_\pi^2}{F_\pi^2}$$

Weinberg (1966)

- Pions: isospin  $I = 1$ . From particle basis to isospin basis ( $|I, I_3\rangle$ ), choosing phase convention:

$|\pi^+\rangle = -|\pi; I = 1, I_3 = 1\rangle$ ,  $|\pi^0\rangle = |\pi; 1, 0\rangle$ ,  $|\pi^-\rangle = |\pi; 1, -1\rangle$ , then

$$|\pi^+\pi^-\rangle = -\left(\frac{1}{\sqrt{6}}|\pi\pi; 2, 0\rangle + \frac{1}{\sqrt{2}}|\pi\pi; 1, 0\rangle + \frac{1}{\sqrt{3}}|\pi\pi; 0, 0\rangle\right),$$

$$|\pi^0\pi^0\rangle = \sqrt{\frac{2}{3}}|\pi\pi; 2, 0\rangle - \frac{1}{\sqrt{3}}|\pi\pi; 0, 0\rangle,$$

$$|\pi^+\pi^0\rangle = -\frac{1}{\sqrt{2}}(|\pi\pi; 2, 1\rangle + |\pi\pi; 1, 1\rangle),$$

$$|\pi^0\pi^+\rangle = -\frac{1}{\sqrt{2}}(|\pi\pi; 2, 1\rangle - |\pi\pi; 1, 1\rangle).$$

- **Crossing symmetry** (recall  $s = (p_1 + p_2)^2$ ,  $t = (p_1 - p_3)^2$ ,  $u = (p_1 - p_4)^2$ ):

Let  $T(s, t, u)$  denotes the amplitude for  $A(p_1)B(p_2) \rightarrow C(p_3)D(p_4)$ , then

$$T(t, s, u) : \quad A(p_1)\bar{C}(-p_3) \rightarrow \bar{B}(-p_2)D(p_4)$$

$$T(u, t, s) : \quad A(p_1)\bar{D}(-p_4) \rightarrow C(p_3)\bar{B}(-p_2)$$





- **Isospin symmetry**: isospin is conserved (for strong interaction with  $m_u = m_d$ )

$$\mathcal{A}(s, t, u) = T_{\pi^+\pi^-\rightarrow\pi^0\pi^0}(s, t, u) = \frac{1}{3} (T^{I=0}(s, t, u) - T^{I=2}(s, t, u)),$$

$$\mathcal{A}(t, s, u) = T_{\pi^+\pi^0\rightarrow\pi^+\pi^0}(s, t, u) = \frac{1}{2} (T^{I=1}(s, t, u) + T^{I=2}(s, t, u)),$$

$$\mathcal{A}(u, t, s) = T_{\pi^+\pi^0\rightarrow\pi^0\pi^+}(s, t, u) = \frac{1}{2} (T^{I=2}(s, t, u) - T^{I=1}(s, t, u)).$$

- **Crossing symmetry + isospin symmetry**  $\Rightarrow$  isospin amplitudes

$$T^{I=0}(s, t, u) = 3\mathcal{A}(s, t, u) + \mathcal{A}(t, s, u) + \mathcal{A}(u, t, s)$$

$$T^{I=1}(s, t, u) = \mathcal{A}(t, s, u) - \mathcal{A}(u, t, s)$$

$$T^{I=2}(s, t, u) = \mathcal{A}(t, s, u) + \mathcal{A}(u, t, s)$$

- $S$ -wave scattering lengths (unit:  $M_\pi^{-1}$ ):  $a_0^I = \frac{1}{32\pi} T^I (s = 4M_\pi^2, t = u = 0)$

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2} = 0.16, \quad a_0^2 = -\frac{M_\pi^2}{16\pi F_\pi^2} = -0.045$$

- How do the LO predictions compare with data?

👉 Precise measurements from NA48/2 ( $K_{e4}$  decays &  $K^\pm \rightarrow \pi^0 \pi^0 \pi^\pm$ )

B.Bloch-Devaux, Kaon09

$$a_0^0 = 0.2210 \pm 0.0047_{\text{stat}} \pm 0.0015_{\text{sys}}$$

$$a_0^2 = -0.0429 \pm 0.0044_{\text{stat}} \pm 0.0016_{\text{sys}}$$

$$a_0^0 - a_0^2 = 0.2639 \pm 0.0020_{\text{stat}} \pm 0.0004_{\text{sys}}$$

👉 from DIRAC (life time of  $\pi^+\pi^-$  atom)

B.Adeva et al., PLB704(2011)24

$$|a_0^0 - a_0^2| = 0.2533^{+0.0080}_{-0.0078} \Big|_{\text{stat}}^{+0.0078}_{-0.0073} \Big|_{\text{sys}}$$

# CHPT with matter fields

- So far, EFT for (pseudo-)Goldstone bosons
- **Matter fields** (fields which are not Goldstone bosons) can be included as well, e.g.
  - ☞ baryon CHPT:  
nucleons [SU(2)] / baryon ground state octet [SU(3)]
  - ☞ SU(2) kaon CHPT:  
kaons treated as matter fields rather than GBs
  - ☞ heavy-hadron CHPT:  
heavy-flavor (charm, bottom) mesons and baryons
  - ...
- Feature:  
**a new mass scale**: mass of the matter field, **non-vanishing in the chiral limit**

$$m_N|_{m_q=0} = \mathcal{O}\left(m_N|_{m_q=m_q^{\text{phys.}}}\right)$$

will cause a problem in power counting

- At **low-energies**, **3-momenta** remain small  $\sim M_\pi$ , derivative expansion is feasible

- Proceed as before
  - 👉 need to know how matter fields transform under  $SU(N_f)_L \times SU(N_f)_R$
  - 👉 construct effective Lagrangians according to increasing number of momenta
- Transformation properties of matter fields:
  - 👉 well-defined transformation rule under the unbroken  $SU(N_f)_V$
  - 👉 not necessarily form representations of  $SU(N_f)_L \times SU(N_f)_R$ :  
transformation of matter fields under  $SU(N_f)_L \times SU(N_f)_R$   
**not uniquely defined**,  
related by **field redefinition** (again: representation invariance)

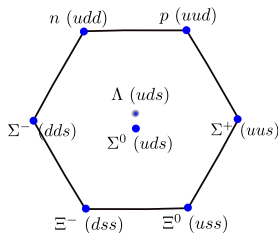
Two examples:

- 1 Baryon CHPT
- 2 Heavy meson CHPT (see backup slides)

# Baryon CHPT at LO

Consider the SU(3) case, the baryon ground state **octet**:

$$B = \begin{pmatrix} \frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda}{\sqrt{6}} & \Sigma^+ & p \\ \Sigma^- & -\frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda}{\sqrt{6}} & n \\ \Xi^- & \Xi^0 & -\frac{2\Lambda}{\sqrt{6}} \end{pmatrix}$$



transform under the global **unbroken**  $H = \text{SU}(3)_V$  as

$$B \xrightarrow{V \in H} V B V^\dagger$$

- Representation invariance:

free to choose how  $B$  transforms under  $\text{SU}(3)_L \times \text{SU}(3)_R$  as long as it reduces to the above under  $\text{SU}(3)_V$

- Example:

describe the baryons by  $B_1$  or  $B_2$ , under  $g = (L, R) \in \text{SU}(3)_L \times \text{SU}(3)_R$

$$B_1 \xrightarrow{g} L B_1 L^\dagger, \quad B_2 \xrightarrow{g} L B_2 R^\dagger$$

both transform as an **octet** under  $(V, V) \in \text{SU}(3)_V$

- Example:

describe the baryons by  $B_1$  or  $B_2$ , under  $g = (L, R) \in \text{SU}(3)_L \times \text{SU}(3)_R$

$$B_1 \xrightarrow{g} L B_1 L^\dagger, \quad B_2 \xrightarrow{g} L B_2 R^\dagger$$

both transform as an **octet under**  $(V, V) \in \text{SU}(3)_V$

- Related to each other through **field redefinition**:

$$B_2 = B_1 U = B_1 + \frac{i}{F} B_1 \phi + \dots, \quad U = \exp\left(\frac{i}{F} \phi\right) \xrightarrow{g} L U R^\dagger$$

- But  $B_{1,2}$  are inconvenient: not parity ( $P$ ) "invariant"

$L(L^\dagger)$  needs to be replaced by  $R(R^\dagger)$  under parity  $\Rightarrow$

$$B_1(t, \vec{x}) \xrightarrow{P} U^\dagger \gamma^0 B_1(t, -\vec{x}) U \xrightarrow{g} R U^\dagger \gamma^0 B_1(t, -\vec{x}) U R^\dagger$$



- An elegant/convenient way:

introduce  $u = \exp\left(\frac{i\phi}{2F}\right)$  or  $u^2 = U$

it transforms under  $g \in \text{SU}(3)_L \times \text{SU}(3)_R$  as (recall  $U \xrightarrow{g} L U R^\dagger$ )

$$u \xrightarrow{g} L u h^\dagger(L, R, \phi) = h(L, R, \phi) u R^\dagger$$

$h(L, R, \phi)$ : space-time dependent **nonlinear** function, called **compensator** field

For  $\text{SU}(3)_V$  transformations ( $L = R$ ), reduces to  $h(L, R, \phi) = L = R$

- We can construct  $B = u^\dagger B_1 u$ , it transforms as

$$B \xrightarrow{g} h B h^\dagger$$

$h$  is invariant under parity, i.e.  $h(t, \vec{x}) \xrightarrow{P} h(t, -\vec{x}) \Rightarrow B \xrightarrow{P} \gamma^0 B$

- For the  $\text{SU}(2)$  case, proton and neutron form an isospin doublet  $N = (p, n)^T$ , construct  $N$  such that

$$N \xrightarrow{g} h N$$

- Useful to introduce combinations of  $u$  whose transformations only involve  $h$ :

$$\Gamma^\mu = \frac{1}{2} [u^\dagger (\partial^\mu - i l^\mu) u + u (\partial^\mu - i r^\mu) u^\dagger]$$

$$u^\mu = i [u^\dagger (\partial^\mu - i l^\mu) u - u (\partial^\mu - i r^\mu) u^\dagger]$$

$\Gamma^\mu$ : chiral connection, **vector**;       $u^\mu$ : chiral vielbein, **axial vector**

$$\Gamma^\mu \xrightarrow{g} h \Gamma^\mu h^\dagger + h \partial^\mu h^\dagger, \quad u^\mu \xrightarrow{g} h u^\mu h^\dagger$$

- Introduce a **covariant derivative**:

$$\mathcal{D}^\mu B = \partial^\mu B + [\Gamma^\mu, B], \quad \mathcal{D}^\mu N = (\partial^\mu + \Gamma^\mu) N$$

which transform as the baryon fields under  $SU(N_f)_L \times SU(N_f)_R$

$$\mathcal{D}^\mu B \xrightarrow{g} h \mathcal{D}^\mu B h^\dagger, \quad \mathcal{D}^\mu N \xrightarrow{g} h \mathcal{D}^\mu N$$

- Include the quark mass term  $\chi = 2B(s + i p) = 2B\mathcal{M} + \dots$  by introducing

$$\chi_+ = u^\dagger \chi u^\dagger + u \chi^\dagger u, \quad \chi_+ \rightarrow h \chi_+ h^\dagger$$

All fields transform in terms of  $h$ , convenient to construct the effective Lagrangians

$$\mathcal{L}_{\pi N}^{(1)} = \bar{N} \left( i\gamma_\mu \mathcal{D}^\mu - m + \frac{g_A}{2} \gamma_\mu \gamma_5 u^\mu \right) N$$

$$\mathcal{L}_{\phi B}^{(1)} = \langle \bar{B} (i\gamma_\mu \mathcal{D}^\mu - m) B \rangle + \frac{D}{2} \langle \bar{B} \gamma_\mu \gamma_5 \{u^\mu, B\} \rangle + \frac{F}{2} \langle \bar{B} \gamma_\mu \gamma_5 [u^\mu, B] \rangle$$

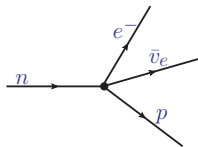
Remark:

- in meson CHPT, Lagrangian has even powers of momenta (Lorentz invariance)
- here, due to Dirac structures, odd powers possible

New parameters:

- $m$ : nucleon (baryon) mass in the chiral limit
- $g_A$ : expand  $u_\mu = l_\mu - r_\mu + \mathcal{O}(\phi) \Rightarrow$  axial vector coupling known from neutron beta decay,  $g_A = 1.27$
- $D/F$ : two axial vector couplings in SU(3), can be determined from semileptonic hyperon decays ( $D \approx 0.804$ ,  $F \approx 0.463$ ), SU(2) constraint

$$D + F = g_A$$



- Lagrangians need to respect the global symmetries of QCD, explicit symmetry breaking can be included using the **spurion** technique
- The LO mesonic chiral Lagrangian invariant under  $SU(N_f)_L \times SU(N_f)_R$

$$\mathcal{L}^{(2)} = \frac{F^2}{4} [\langle \partial_\mu U \partial^\mu U^\dagger \rangle + 2B \langle \mathcal{M} U^\dagger + \mathcal{M}^\dagger U \rangle] \quad \text{with } U = e^{i\phi/F}$$

GMOR relation:  $M_\pi^2 \propto m_q$

- Physical observables do not change under nonlinear field redefinition satisfying:

$$\phi = \chi F[\chi] \quad \text{with } F[0] = 1$$

- Under  $SU(N_f)_L \times SU(N_f)_R$ , matter fields do not have a unique transformation law, convenient to introduce the **compensator field**  $h$ , and use a field transforming in the same way under  $SU(N_f)_V$ , e.g.,

$$\text{if } X \xrightarrow{V \in H} V X V^\dagger, \quad \text{then choose } X \xrightarrow{g \in G} h X h^\dagger$$

# CHPT at NLO

- Probability conservation  $\Rightarrow$  **unitarity** of the  $S$ -matrix

$$S S^\dagger = S^\dagger S = \mathbb{1}$$

$$T\text{-matrix: } S = \mathbb{1} + iT \Rightarrow T - T^\dagger = iT T^\dagger$$

thus, unitarity dictates a relation for the partial-wave scattering amplitude  $t_\ell$ :

$$\text{Im } t_\ell(s) = \sigma(s) |t_\ell(s)|^2$$

here  $\sigma(s)$ : two-body phase space factor

- From the LO CHPT, the  $\pi\pi$  scattering amplitude  $A(s, t, u) = \frac{s - M_\pi^2}{F^2}$ ,  
**no imaginary part!**  $\Rightarrow$  unitarity is broken

- **Perturbative unitarity**: imaginary part given by loops

$$\text{Im } t_\ell^{(2)}(s) = 0, \quad \text{Im } t_\ell^{(4)}(s) = \sigma(s) |t_\ell^{(2)}(s)|^2, \dots$$

- Symmetries do not forbid higher order terms in effective Lagrangians: more derivatives, more insertion of quark masses
- More derivatives  $\Rightarrow$  non-renormalizable

..., if we include in the Lagrangian **all of the infinite number of interactions allowed by symmetries**, then there will be a counterterm available to cancel every ultraviolet divergence. In this sense, ..., non-renormalizable theories are just as renormalizable as renormalizable theories, as long as we include all possible terms in the Lagrangian.

S.Weinberg, *The Quantum Theory of Fields, Vol.1*

- Q: How should we deal with the **infinite** number of terms?

A: **Power counting** is needed: organize the infinite number of terms according to power of the expansion parameter, **finite** number of terms **up to a given order**

Consider the example of  $\pi\pi$  scattering

- At LO,  $\mathcal{O}(p^2)$ : two derivatives or one quark mass insertion

$$\text{X} = \mathcal{O}(p^2)$$

- One-loop with two  $\mathcal{O}(p^2)$  vertices:

$$\begin{aligned} \text{Loop Diagram} &= \int d^4q \frac{q_1 q_2 q_3 q_4}{(q^2 - M_\pi^2)[(p - q)^2 - M_\pi^2]} \\ &= \mathcal{O}(p^{4+4-4}) = \mathcal{O}(p^4) \end{aligned}$$

- The loop is **divergent**, divergence absorbed by the **counterterms in the  $\mathcal{O}(p^4)$  Lagrangian**

How can we construct the higher order Lagrangian?



- Powerful to include external fields

⇒ incorporate electroweak interactions, quark masses:

$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \mathcal{L}_{\text{QCD}}^0 + \bar{q}\gamma^\mu (v_\mu + \gamma_5 a_\mu) q - \bar{q}(s + i\gamma_5 p) q \\ &= \mathcal{L}_{\text{QCD}}^0 + \bar{q}_L \gamma^\mu l_\mu q_L + \bar{q}_R \gamma^\mu r_\mu q_R - \bar{q}_L (s + ip) q_R - \bar{q}_R (s - ip) q_L\end{aligned}$$

$$l_\mu = v_\mu - a_\mu, \quad r_\mu = v_\mu + a_\mu \quad \text{left-/right-handed sources}$$

$$F_R^{\mu\nu} = \partial^\mu r^\nu - \partial^\nu r^\mu - i[r^\mu, r^\nu], \quad F_L^{\mu\nu} = \partial^\mu l^\nu - \partial^\nu l^\mu - i[l^\mu, l^\nu]$$

$$\chi = 2B(s + ip) = 2B\mathcal{M} + \dots \quad \text{scalar/pseudoscalar sources}$$

- Transformation laws for the building blocks:

$$\begin{aligned}U &\rightarrow L U R^\dagger, & \chi &\rightarrow L \chi R^\dagger, \\ F_L^{\mu\nu} &\rightarrow L F_L^{\mu\nu} L^\dagger, & F_R^{\mu\nu} &\rightarrow R F_R^{\mu\nu} R^\dagger\end{aligned}$$

- Another set of building blocks:  $u_\mu, \chi_\pm$  and  $f_\pm^{\mu\nu}$ .  $f_\pm^{\mu\nu} = u F_L^{\mu\nu} u^\dagger \pm u^\dagger F_R^{\mu\nu} u$   
They all transform as  $O \rightarrow h O h^\dagger$

- We need a counting scheme (more see later):

$$U \sim \mathcal{O}(p^0)$$

$$\text{small momentum / derivative} \sim \mathcal{O}(p)$$

$$\text{light quark masses } \chi \sim \mathcal{O}(p^2) \Leftrightarrow M_{\text{GB}}^2 \sim m_q$$

$$\text{external fields } l_\mu, r_\mu \sim \mathcal{O}(p) \Leftrightarrow D_\mu U = \partial_\mu U - il_\mu U + iUr_\mu$$

- At a given order, write down the **most general** Lagrangian allowed by symmetries (for  $\theta = 0$ ):

chiral symmetry,  $P$ ,  $C$  and  $T$

most general  $\Rightarrow$  be able to absorb all divergences at the same order

- SU(3) chiral Lagrangian at  $\mathcal{O}(p^4)$

Gasser, Leutwyler (1985)

$$\begin{aligned}
 \mathcal{L}^{(4)} = & L_1 \langle D_\mu U^\dagger D^\mu U \rangle^2 + L_2 \langle D_\mu U^\dagger D_\nu U \rangle \langle D^\mu U^\dagger D^\nu U \rangle \\
 & + L_3 \langle D_\mu U^\dagger D^\mu U D_\nu U^\dagger D^\nu U \rangle + L_4 \langle D_\mu U^\dagger D^\mu U \rangle \langle \chi^\dagger U + \chi U^\dagger \rangle \\
 & + L_5 \langle D_\mu U^\dagger D^\mu U (\chi^\dagger U + \chi U^\dagger) \rangle + L_6 \langle \chi^\dagger U + \chi U^\dagger \rangle^2 \\
 & + L_7 \langle \chi^\dagger U - \chi U^\dagger \rangle^2 + L_8 \langle \chi^\dagger U \chi^\dagger U + \chi U^\dagger \chi U^\dagger \rangle \\
 & - i L_9 \langle F_L^{\mu\nu} D_\mu U D_\nu U^\dagger + F_R^{\mu\nu} D_\mu U^\dagger D_\nu U \rangle + L_{10} \langle U^\dagger F_L^{\mu\nu} U F_{R\mu\nu} \rangle \\
 & + 2 \text{ contact terms}
 \end{aligned}$$

- $L_{1,\dots,10}$ : low-energy constants (LECs)
- NLO SU(2) chiral Lagrangian contains 7 terms:  $\ell_{1,\dots,7}$

Gasser, Leutwyler (1984)

- One loop diagrams with vertices from  $\mathcal{L}^{(2)}$  are of  $\mathcal{O}(p^4)$ , divergences should be absorbed by counterterms in  $\mathcal{L}^{(4)}$ , can be derived using background field method with heat kernel technique, for detailed derivations, see the attached file *chpt\_heat\_kernel\_renormalization.pdf*
- Low-energy constants generally contain two parts:

$$L_i = L_i^r(\mu) + \Gamma_i \times \text{divergence}$$

renormalized LECs  $L_i^r(\mu)$  are finite, **scale-dependent**

- Scale dependence of LECs cancel the one from loop integrals  
 $\Rightarrow$  **physical observables are scale-independent !**
- $L_i^r$ 's are **independent of light quark masses** by construction, parameterize the **short-distance** physics

Values not fixed by chiral symmetry:

- 👉 extracted using experimental data
- 👉 estimated with models such as resonance saturation Ecker et al (1989)
- 👉 using lattice simulations Flavour Lattice Averaging Group (FLAG) (2019)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \mathcal{L}^{(6)} + \dots$$

- Number of terms allowed by the symmetries increases very fast
- How many LECs are contained in these for  $SU(N)$ ,  $N = (2, 3)$ ?

$\mathcal{L}^{(2)}$  contains  $(2, 2)$  constants  $(F, B)$

$\mathcal{L}^{(4)}$  contains  $(7, 10)$  constants [Gasser, Leutwyler \(1984, 1985\)](#)

$\mathcal{L}^{(6)}$  contains  $(53, 90)$  constants [Bijnens, Colangelo, Ecker \(1999\)](#)

- Why different for  $SU(2)$  /  $SU(3)$ ?

same most general  $SU(N)$  Lagrangian, but

matrix-trace relations [Cayley–Hamilton] render some of the structures redundant.

Example: Cayley–Hamilton relation for  $2 \times 2$  matrices  $A, B$ ,

$$\{A, B\} = A \langle B \rangle + B \langle A \rangle + \langle A B \rangle - \langle A \rangle \langle B \rangle$$

- Consider an arbitrary Feynman diagram with  $L$  loops,  $I$  internal lines,  $V_d$  vertices of order  $d$ :

$$\begin{aligned} \text{cross} &\sim p^d \\ \text{line} &\sim p^{-2} \\ \int d^4 p &\sim p^4 \end{aligned}$$

$$\mathcal{A} \propto \int (d^4 p)^L \frac{1}{(p^2)^I} \prod_d (p^d)^{V_d}$$

- The **chiral dimension** of  $\mathcal{A}$

$$D = 4L - 2I + \sum_d dV_d$$

- Use topological identity for  $L$  to eliminate  $I$ ,  $L = I - \sum_d V_d + 1$

$$D = \sum_d V_d(d-2) + 2L + 2$$

- Lowest order is  $\mathcal{O}(p^2)$ , i.e.  $d \geq 2 \Rightarrow$  rhs is a sum of non-negative numbers
- For a given order  $D$ , there is only a finite number of combinations of  $L$  and  $V_d$
- Each loop is **suppressed by two orders** in the momentum expansion

## Power counting – example: $\pi\pi$ scattering (1)

$$D = \sum_d V_d(d-2) + 2L + 2$$

- $D = 2$

☞  $L = 0$ , lowest order tree-level diagram only



- $D = 4$

☞  $L = 0$ , tree-level diagram with one insertion from  $\mathcal{L}^{(4)}$

$$V_4 = 1, V_{d>4} = 0$$



☞  $L = 1$ , one-loop diagram with only  $d = 2$  vertices

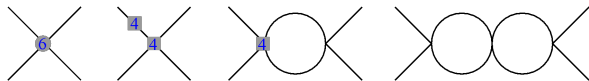
$$V_{d>2} = 0$$



$$D = \sum_d V_d(d-2) + 2L + 2$$

- $D = 6$

- ☞  $L = 0$ , tree-level diagram with one insertion from  $\mathcal{L}^{(6)}$
- ☞  $L = 0$ , tree-level diagram with two insertions from  $\mathcal{L}^{(4)}$
- ☞  $L = 1$ , one-loop diagram with one insertion from  $\mathcal{L}^{(4)}$
- ☞  $L = 2$ , two-loop diagram with  $V_d = 2$  vertices





$$\mathcal{L}_{\text{eff}} = \frac{F^2}{4} \left( \langle D_\mu U D^\mu U^\dagger + \chi U^\dagger + \chi^\dagger U \rangle + \frac{1}{\Lambda_\chi^2} \tilde{\mathcal{L}}^{(4)} + \frac{1}{\Lambda_\chi^4} \tilde{\mathcal{L}}^{(6)} + \dots \right)$$

- What is the scale  $\Lambda_\chi$ ?

☞ **hard energy scale** where the momentum expansion definitely fails

☞ uncertainty estimate: higher-order corrections are **suppressed by  $\sim p^2/\Lambda_\chi^2$**   
⇒ how big?

- Estimate with **resonance masses**:

The only dynamical degrees of freedom are the GBs, no resonances

⇒ CHPT must fail once the energy reaches the resonance region: a perturbative momentum expansion cannot generate a pole

$$\Lambda_\chi \approx M_{\text{res}}$$

Lowest narrow resonance  $M_\rho \approx 770$  MeV,

typically

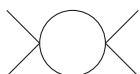
$$M_{\text{res}} \sim 1 \text{ GeV}$$

$$\mathcal{L}_{\text{eff}} = \frac{F^2}{4} \left( \langle D_\mu U D^\mu U^\dagger + \chi U^\dagger + \chi^\dagger U \rangle + \frac{1}{\Lambda_\chi^2} \tilde{\mathcal{L}}^{(4)} + \frac{1}{\Lambda_\chi^4} \tilde{\mathcal{L}}^{(6)} + \dots \right)$$


- Naturalness** argument:

Manohar, Georgi (1984)

Compare



$$\propto \left( \frac{p^2}{F^2} \right)^2 \int \frac{d^4 q}{(2\pi)^4} \frac{1}{(q^2)^2} \stackrel{\text{dim.reg}}{\propto} \frac{1}{(4\pi)^2} \frac{p^4}{F^4} \log \mu$$



$$\propto \frac{F^2}{\Lambda_\chi^2} \frac{p^4}{F^4} \tilde{\ell}_i(\mu)$$

- Scale-dependent LEC  $\tilde{\ell}_i(\mu)$  compensates for  $\log \mu$  dependence of the loop graph
- If no accidental fine-tuning (**naturalness**),  $\tilde{\ell}_i(\mu)$  should be at least of similar size as the shift induced by change in scale  $\mu \Rightarrow$

$$\Lambda_\chi \approx 4\pi F \approx 1.2 \text{ GeV}$$

- Using the naturalness assumption, the size of  $L_i^r$  in  $\mathcal{L}^{(4)}$ :  $\sim \mathcal{O} \left( \frac{1}{(4\pi)^2} \right)$

Take the pion mass as an example of NLO calculations.

- Mass: **pole** in the two point correlation function

At LO,  $M_\pi^2 = M^2 \equiv B(m_u + m_d)$

- Higher orders: self-energy

$$\begin{aligned}
 i \delta^{ab} \Delta_\pi(p) &= \int d^4x e^{-ipx} \langle 0 | T [\pi^a(x) \pi^b(0)] | 0 \rangle \\
 &= \text{---} + \text{---} \textcircled{\text{1PI}} \text{---} + \text{---} \textcircled{\text{1PI}} \textcircled{\text{1PI}} \text{---} + \dots \\
 &= \frac{i}{p^2 - M^2 + i\epsilon} + \frac{i}{p^2 - M^2 + i\epsilon} [-i\Sigma(p^2)] \frac{i}{p^2 - M^2 + i\epsilon} + \dots \\
 &= \frac{i}{p^2 - M^2 - \Sigma(p^2) + i\epsilon} = \frac{i Z_\pi}{p^2 - M_\pi^2 + i\epsilon} + \text{non-singular terms}
 \end{aligned}$$

☞  $M_\pi$ : physical pion mass, solution of the equation

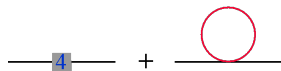
$$M_\pi^2 - M^2 - \Sigma(M_\pi^2) = 0$$

☞ **Exercise**: show that the wave function renormalization constant is

$$Z_\pi = \frac{1}{1 - \Sigma'(M_\pi^2)}, \quad \text{with } \Sigma'(M_\pi^2) \equiv \left. \frac{d\Sigma(p^2)}{dp^2} \right|_{p^2=M_\pi^2}$$

- Self-energy contains two parts:

$\pi, K, \eta$  tadpole loops and counterterms in  $\mathcal{L}^{(4)}$



Assuming isospin symmetry ( $m_u = m_d = \hat{m}$ ), the pion mass at NLO:

$$M_\pi^2 = M^2 \left[ 1 + \frac{I(M^2)}{2F^2} - \frac{I(M_{\eta,2}^2)}{2F^2} + \frac{8M^2}{F^2}(2L_8 - L_5) + \frac{24M_{\eta,2}^2}{F^2}(2L_6 - L_4) \right]$$

here  $M^2 = 2B\hat{m}$ ,  $M_{\eta,2}^2 = \frac{2}{3}B(2\hat{m} + m_s)$

- Loop is divergent, in **dimensional regularization** (good for preserving symmetry)

$$I(M^2) = i\mu^{4-d} \int \frac{d^d l}{(2\pi)^d} \frac{1}{l^2 - M^2 + i\epsilon} = \frac{M^2}{16F^2} \left( \lambda + \log \frac{M^2}{\mu^2} \right)$$

$$\lambda = \frac{2}{d-4} - [\log(4\pi) + \Gamma'(1) + 1], \quad \text{divergent for } d = 4!$$

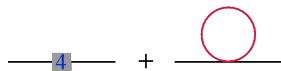
On the other hand,  $L_i$ 's are divergent either  $L_i = L_i^r + \frac{\Gamma_i}{32\pi^2} \lambda$

$$\Gamma_4 = \frac{1}{8}, \quad \Gamma_5 = \frac{3}{8}, \quad \Gamma_6 = \frac{11}{144}, \quad \Gamma_8 = \frac{5}{48}$$

Useful formulae in dim.reg.: **the Peskin & Schroeder QFT book, App. A.4, p.807**

- Self-energy contains two parts:

$\pi, K, \eta$  tadpole loops and counterterms in  $\mathcal{L}^{(4)}$



Assuming isospin symmetry ( $m_u = m_d = \hat{m}$ ), the pion mass at NLO:

$$M_\pi^2 = M^2 \left[ 1 + \frac{I(M^2)}{2F^2} - \frac{I(M_{\eta,2}^2)}{2F^2} + \frac{8M^2}{F^2}(2L_8 - L_5) + \frac{24M_{\eta,2}^2}{F^2}(2L_6 - L_4) \right]$$

here  $M^2 = 2B\hat{m}$ ,  $M_{\eta,2}^2 = \frac{2}{3}B(2\hat{m} + m_s)$

- Renormalization: divergences from LECs (i.e., counterterms) and loops cancel out (as well as the  $\mu$ -dependence)

$\Rightarrow$  the pion mass is finite and  $\mu$ -independent

$$M_\pi^2 = M^2 \left[ 1 + \frac{1}{32\pi^2 F^2} M^2 \log \frac{M^2}{\mu^2} - \frac{1}{96\pi^2 F^2} M_{\eta,2}^2 \log \frac{M_{\eta,2}^2}{\mu^2} + \frac{8M^2}{F^2}(2L_8^r - L_5^r) + \frac{24M_{\eta,2}^2}{F^2}(2L_6^r - L_4^r) \right]$$

- $M_\pi^2$  vanishes in chiral limit, loop correction does not generate a non-zero mass
- Chiral logarithm:** non-analytic in the light quark masses

- Calculate pion/kaon masses **beyond leading order**:

$$M_{\pi^+}^2 = B(m_u + m_d) \left[ 1 + \mathcal{O}(\hat{m}, m_s) \right]$$

$$M_{K^+}^2 = B(m_u + m_s) \left[ 1 + \mathcal{O}(\hat{m}, m_s) \right]$$

- Form dimensionless ratios:

$$\frac{M_K^2}{M_\pi^2} = \frac{m_s + \hat{m}}{m_u + m_d} \left[ 1 + \Delta_M + \mathcal{O}(m_q^2) \right]$$

$$\frac{(M_{K^0}^2 - M_{K^+}^2)_{\text{strong}}}{M_K^2 - M_\pi^2} = \frac{m_d - m_u}{m_s - \hat{m}} \left[ 1 + \Delta_M + \mathcal{O}(m_q^2) \right]$$

$$\Delta_M = \frac{8(M_K^2 - M_\pi^2)}{F_\pi^2} (2L_8^r - L_5^r) + \text{chiral logs}$$

- Double ratio  $Q^2$  particularly stable:

$$Q^2 = \frac{m_s^2 - \hat{m}^2}{m_d^2 - m_u^2} = \frac{M_K^2}{M_\pi^2} \frac{M_K^2 - M_\pi^2}{(M_{K^0}^2 - M_{K^+}^2)_{\text{strong}}} \left[ 1 + \mathcal{O}(m_q^2) \right]$$

- Leutwyler's ellipse:

Leutwyler (1996)

$$\left(\frac{m_u}{m_d}\right)^2 + \frac{1}{Q^2} \left(\frac{m_s}{m_d}\right)^2 = 1$$

- Recall Dashen's theorem:

$$(M_{K^+}^2 - M_{K^0}^2)_{\text{em}} = (M_{\pi^+}^2 - M_{\pi^0}^2)_{\text{em}} + \mathcal{O}(e^2 m_q)$$

$$Q_{\text{Dashen}} = 24.2$$

- Value extracted from lattice simulations [FLAG, EPJC77, 112 \(2017\); arXiv:2111.09849 \[hep-lat\]](#)

$$N_f = 2: \quad 24.3 \pm 1.4 \pm 0.6$$

$$N_f = 2 + 1: \quad 23.3 \pm 0.5$$

$$N_f = 2 + 1 + 1: \quad 22.5 \pm 0.5$$

- $S$ -wave scattering lengths:

	$a_0^0$	$a_0^2$	
LO	0.16	-0.045	Weinberg (1966)
NLO (one-loop)	$0.20 \pm 0.01$	$-0.042 \pm 0.002$	Gasser, Leutwyler (1983)
NNLO (two-loop)	$0.217 \pm \dots$	$-0.041 \pm \dots$	Bijnens <i>et al.</i> (1996)
NNLO + Roy eq.	$0.220 \pm 0.005$	$-0.0444 \pm 0.0010$	Colangelo <i>et al.</i> (2001)

- Compare again with the modern data from NA48/2

( $K_{e4}$  decays &  $K^\pm \rightarrow \pi^0 \pi^0 \pi^\pm$ )

B.Bloch-Devaux, Kaon09

$$a_0^0 = 0.2210 \pm 0.0047_{\text{stat}} \pm 0.0015_{\text{syst}}$$

$$a_0^2 = -0.0429 \pm 0.0044_{\text{stat}} \pm 0.0016_{\text{syst}}$$



2-5) Derive the  $\pi^0\eta$  mixing angle:

$$\epsilon_{\pi^0\eta} = \frac{1}{2} \arctan \left( \frac{\sqrt{3}(m_d - m_u)}{2m_s - m_u - m_d} \right) \simeq \frac{\sqrt{3}}{2} \frac{m_d - m_u}{2m_s - m_u - m_d}.$$

2-6) One possible solution of the strong CP problem is the Peccei-Quinn (PQ) mechanism which introduces a global U(1) symmetry, called the PQ symmetry. Axion is the pseudo-Goldstone boson of the spontaneous breaking of this symmetry. Its properties can be studied in CHPT by changing the quark mass matrix  $\mathcal{M}$  to  $\mathcal{M}e^{iXa/f_a}$  with  $a$  the axion field,  $f_a$  the axion decay constant, and  $X$  satisfying  $\langle X \rangle = 1$ . Consider the LO mass term of the SU(2) version of CHPT with axion,

$$\mathcal{L}_a^{(2)} = \frac{F^2}{2} B \langle \mathcal{M} e^{iXa/f_a} U^\dagger + \text{h.c.} \rangle,$$

where h.c. represents the Hermitian conjugated term.

1) show that there will be no  $a$ - $\pi^0$  mixing if we choose  $X = \mathcal{M}^{-1} / \langle \mathcal{M}^{-1} \rangle$ ;

2) show that the axion mass squared is given by  $m_a^2 = \frac{F^2 M_\pi^2}{f_a^2} \frac{m_u m_d}{(m_u + m_d)^2}$ .

- 2-7)** Show that the leading order chiral amplitude for  $\pi^+(p_1)\pi^-(p_2) \rightarrow \pi^0(p_3)\pi^0(p_4)$  in the chiral limit is given by

$$\mathcal{A}(s, t, u) = \frac{s}{F^2}$$

and show that the exponential and square-root representations are related by the following field redefinition:

$$\vec{\pi}' = \vec{\pi} \frac{F}{|\vec{\pi}|} \sin\left(\frac{|\vec{\pi}|}{F}\right) = \vec{\pi} + \text{nonlinear terms}, \quad \text{here } |\vec{\pi}| \equiv \sqrt{\vec{\pi}^2}.$$

- 2-8)** The pion mass is given by the pole of the pion propagator,

$$i \delta^{ab} \Delta_\pi(p) = \frac{i}{p^2 - M^2 - \Sigma(p^2) + i\epsilon} = \frac{i Z_\pi}{p^2 - M_\pi^2 + i\epsilon} + \text{non-singular terms},$$

where  $\Sigma(p^2)$  is the pion self-energy. Show that the wave function renormalization constant is

$$Z_\pi = \frac{1}{1 - \Sigma'(M_\pi^2)}, \quad \text{with } \Sigma'(M_\pi^2) \equiv \left. \frac{d\Sigma(p^2)}{dp^2} \right|_{p^2=M_\pi^2}$$

## Further Reading: Heavy meson CHPT

For  $SU(3)$ , the charmed meson ground state **flavor anti-triplet** ( $a$ : light flavor index):

$$P_a = (D^0, D^+, D_s^+)_a, \quad P_a^* = (D^{*0}, D^{*+}, D_s^{*+})_a \quad [(c\bar{u}, c\bar{d}, c\bar{s})]$$

Let  $H$  denote heavy mesons. It transforms under the global **unbroken  $SU(3)_V$**  as

$$H \xrightarrow{V \in SU(3)_V} H V^\dagger$$

- Representation independence:

free to choose how  $H$  transforms under  $SU(3)_L \times SU(3)_R$  as long as it reduces to the above under  $SU(3)_V$

- Example:

describe the heavy mesons by  $H_1$  or  $H_2$ , under

$$g = (L, R) \in SU(3)_L \times SU(3)_R$$

$$H_1 \xrightarrow{g} H_1 L^\dagger, \quad H_2 \xrightarrow{g} H_2 R^\dagger$$

both transform as an **anti-triplet under  $(V, V) \in SU(3)_V$**

- Example:

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both transform as an **anti-triplet under**  $(V, V) \in \text{SU}(3)_V$

- Related to each other through **field redefinition**:

$$H_2 = H_1 U = H_1 + \frac{i}{F} H_1 \phi + \dots, \quad U = \exp\left(\frac{i}{F} \phi\right) \xrightarrow{g} L U R^\dagger$$

- But  $H_{1,2}$  are inconvenient: complicated parity transformation ( $P$ )

$L(L^\dagger)$  needs to be replaced by  $R(R^\dagger)$  under parity  $\Rightarrow$

$$H_{1,a}(t, \vec{x}) \xrightarrow{P} \gamma^0 H_{1,b}(t, -\vec{x}) \gamma^0 U_{ba} \xrightarrow{g} \gamma^0 H_{1,b}(t, -\vec{x}) \gamma^0 U_{ba} R^\dagger$$

[recall for a spinor:  $\psi(t, \vec{x}) \xrightarrow{P} \gamma^0 \psi(t, -\vec{x})$ ]

## Transformations of heavy meson fields (3)

- An elegant/convenient way:

introduce

$$u = \exp\left(\frac{i\phi}{2F}\right) \quad \text{or} \quad u^2 = U$$

it transforms under  $g \in \text{SU}(3)_L \times \text{SU}(3)_R$  as (recall  $U \xrightarrow{g} L U R^\dagger$ )

$$u \xrightarrow{g} L u h^\dagger(L, R, \phi) = h(L, R, \phi) u R^\dagger$$

$h(L, R, \phi)$ : space-time dependent **nonlinear** function, called **compensator** field.

From the above definition, we can express  $h$  in terms of  $L, R, U$ :

$$h = \sqrt{LUR^\dagger} R \sqrt{U^\dagger} = \sqrt{RU^\dagger L^\dagger} L \sqrt{U}$$

- For  $\text{SU}(3)_V$  transformations ( $L = R = V$ ), reduces to  $h(L, R, \phi) = V$
- We can construct  $H = H_1 u$  or  $H = H_2 u^\dagger$ , it transforms as

$$H \xrightarrow{g} H h^\dagger$$

Under parity transformation  $h(t, \vec{x}) \xrightarrow{P} h(t, -\vec{x})$ , and  $H(t, \vec{x}) \xrightarrow{P} \gamma^0 H(t, -\vec{x}) \gamma^0$ .

- Useful to introduce combinations of  $u$  whose transformations only involve  $h$ :

$$\Gamma^\mu = \frac{1}{2} [u^\dagger (\partial^\mu - i l^\mu) u + u (\partial^\mu - i r^\mu) u^\dagger]$$

$$u^\mu = i [u^\dagger (\partial^\mu - i l^\mu) u - u (\partial^\mu - i r^\mu) u^\dagger]$$

$\Gamma^\mu$ : chiral connection, **vector**;       $u^\mu$ : chiral vielbein, **axial vector**

$$\Gamma^\mu \xrightarrow{g} h \Gamma^\mu h^\dagger + h \partial^\mu h^\dagger, \quad u^\mu \xrightarrow{g} h u^\mu h^\dagger$$

- Introduce a **covariant derivative**:

$$\mathcal{D}^\mu H = \partial^\mu H - H \Gamma^\mu$$

which transform the same way as  $H$  under  $SU(N_f)_L \times SU(N_f)_R$

$$\mathcal{D}^\mu H \xrightarrow{g} \mathcal{D}^\mu H h^\dagger$$

- Include the quark mass term  $\chi = 2B(s + i p) = 2B\mathcal{M} + \dots$  by introducing

$$\chi_+ = u^\dagger \chi u^\dagger + u \chi^\dagger u, \quad \chi_+ \rightarrow h \chi_+ h^\dagger$$

All fields transform in terms of  $h$ , convenient to construct the effective Lagrangians

## Simplified two-component notation

The superfield for pseudoscalar and vector heavy mesons:  $(^{(4)})$  means 4-component

$$H_a^{(4)} = \frac{1 + \not{v}}{2} [P_a^{*\mu} \gamma_\mu - P_a \gamma_5]$$

In the rest frame of heavy meson,  $v^\mu = (1, \mathbf{0})$ . We take the Dirac basis

$$\gamma^0 = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix}, \quad \gamma^5 = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}.$$

Simplifications:  $\frac{1 + \not{v}}{2} = \frac{1 + \gamma^0}{2} = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & 0 \end{pmatrix}$

$$H_a^{(4)} = \begin{pmatrix} 0 & -(P_a + P_a^* \cdot \boldsymbol{\sigma}) \\ 0 & 0 \end{pmatrix}, \quad \bar{H}_a^{(4)} = \begin{pmatrix} 0 & 0 \\ (P_a^\dagger + P_a^{*\dagger} \cdot \boldsymbol{\sigma}) & 0 \end{pmatrix}$$

Thus, it is convenient to simply use the **two-component notation**

$$H_a = P_a + P_a^* \cdot \boldsymbol{\sigma}, \quad H_a^{(4)} \rightarrow -H_a, \quad \bar{H}_a^{(4)} \rightarrow H_a^\dagger$$



The LO Lagrangian  $[\mathcal{O}(p)]$ :

$$\mathcal{L}_{\text{HM}}^{(1)} = \underbrace{-i \text{Tr} [\bar{H}_a v_\mu (\mathcal{D}^\mu H)_a]}_{\text{kinetic term} + D\pi \text{ scattering} + \dots} + \underbrace{\frac{g}{2} \text{Tr} [\bar{H}_a H_b \gamma_\mu \gamma_5]}_{\text{terms for } D^* \rightarrow D\pi + \dots} u_{ba}^\mu$$

- ☞ invariant under Lorentz transformation, chiral symmetry, parity
- ☞ Tr: trace in the spinor space,  $a, b$ : indices in the light flavor space
- The chirally covariant kinetic term:

$$\begin{aligned} -i \text{Tr} [\bar{H}_a^{(4)} v_\mu (\mathcal{D}^\mu H)_a^{(4)}] &= i \text{Tr} [H_a^\dagger (\partial^0 H_a - H_b \Gamma_{ba}^0)] \\ &= 2i (P_a^\dagger \partial^0 P_a + P_a^{*i \dagger} \partial^0 P_a^{*i}) + \underbrace{\frac{-i}{4F^2} (P_a^\dagger P_b + P_a^{* \dagger} \cdot P_b^*)}_{\text{scattering between } (D, D^*, \bar{B}, \bar{B}^*) \text{ and GBs } (\pi, K, \eta)} [\phi, \partial^0 \phi]_{ba} + \dots \end{aligned}$$

**Universality of the LO,  $\mathcal{O}(p)$ , scattering amplitudes:** completely determined by chiral symmetry (strength in term of  $F$ )! the Weinberg–Tomozawa term

- The axial coupling term ( $u^k = -\frac{1}{F}\partial^k\phi + \dots$ ):

$$\begin{aligned}
 & \frac{g}{2} \text{Tr} \left[ \bar{H}_a^{(4)} H_b^{(4)} \gamma_\mu \gamma_5 \right] u_{ba}^\mu = -\frac{g}{2} \text{Tr} \left[ H_a^\dagger H_b \sigma^i \right] u_{ba}^i \\
 & = -\frac{g}{2} \text{Tr} \left[ \left( P_a^{*i\dagger} \sigma^i + P_a^\dagger \right) \left( P_b^{*j} \sigma^j + P_b^\dagger \right) \sigma^k \right] u_{ba}^k \\
 & = \underbrace{\frac{g}{F} P_a^\dagger P_b^{*i} \partial^i \phi_{ba}}_{\text{term for } D^* \rightarrow D\pi} + \frac{g}{F} P_a^{*i\dagger} P_b \partial^i \phi_{ba} + \underbrace{i \frac{g}{F} \epsilon^{ijk} P_a^{*i\dagger} P_b^{*j} \partial^k \phi_{ba}}_{D^* D^* \pi \text{ coupling}} + \mathcal{O} \left( \frac{\phi^3}{F^3} \right)
 \end{aligned}$$

- Decay amplitude:

$$\mathcal{A}(D^{*+} \rightarrow D^0 \pi^+) = \frac{i\sqrt{2}g}{F} \epsilon_{(\lambda)} \cdot \mathbf{q}_\pi \underbrace{\sqrt{M_{D^*} M_D}}_{\text{accounts for NR normalization}}$$

and the two-body decay width

$$\Gamma(D^{*+} \rightarrow D^0 \pi^+) = \frac{1}{8\pi} \frac{|\mathbf{q}_\pi|}{M_{D^*}^2} \frac{1}{3} \sum_\lambda |\mathcal{A}|^2 = \frac{g^2 M_D |\mathbf{q}_\pi|^3}{12\pi F^2 M_{D^*}}$$

where we used  $\sum_\lambda \epsilon_{(\lambda)}^i \epsilon_{(\lambda)}^j = \delta_{ij}$

- Measured  $D^*$  widths:

PDG2018

$$\Gamma(D^{*0}) < 2.1 \text{ MeV}, \quad \Gamma(D^{*\pm}) = (83.4 \pm 1.8) \text{ keV}$$

$$\mathcal{B}(D^{*+} \rightarrow D^0 \pi^+) = (67.7 \pm 0.5)\%, \quad \mathcal{B}(D^{*+} \rightarrow D^+ \pi^0) = (30.7 \pm 0.5)\%$$

- The two-body decay width

$$\Gamma(D^{*+} \rightarrow D^0 \pi^+) = \frac{g^2 M_D |\mathbf{q}_\pi|^3}{12\pi F^2 M_{D^*}} \Rightarrow |g| \simeq 0.57$$

For  $D^{*0}$ , with measured branching fraction  $\mathcal{B}(D^{*0} \rightarrow D^0 \pi^0) = (64.7 \pm 0.9)\%$ , we can predict:

$$\Gamma(D^{*0} \rightarrow D^0 \pi^0) = \frac{g^2 M_D |\mathbf{q}_\pi|^3}{24\pi F^2 M_{D^*}} \Rightarrow \Gamma(D^{*0}) = (55.3 \pm 1.4) \text{ keV}$$

- HQFS:**  $g$  should be approximately the same in bottom sector with

a **relative** uncertainty of  $\sim \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_c} - \frac{\Lambda_{\text{QCD}}}{m_b}\right) \sim \mathcal{O}(20\%)$

Lattice QCD results:

$$g_b = 0.492 \pm 0.029$$

ALPHA Collaboration, Phys. Lett. B **740** (2015) 278

$$g_b = 0.56 \pm 0.03 \pm 0.07$$

RBC and UKQCD Collaborations, Phys. Rev. D **93** (2016) 014510

## Mass splittings among heavy mesons (2)

- Light quark mass-dependent terms in two-component notation:

$$\mathcal{L}_\chi = -\lambda_1 \text{Tr} [H_a^\dagger H_b] \chi_{+,ba} - \lambda'_1 \text{Tr} [H_a^\dagger H_a] \chi_{+,bb}$$

here,  $\chi_+ = u^\dagger \chi u^\dagger + u \chi^\dagger u = 4BM - \frac{B}{2F^2} \{ \phi, \{ \phi, \mathcal{M} \} \} + \dots$

- SU(3) mass differences:

$$\begin{aligned} M_{D_s^+} - M_{D^+} &= 4\lambda_1 B(m_s - m_d) = 4\lambda_1 (M_{K^\pm}^2 - M_{\pi^\pm}^2) \\ \Rightarrow \lambda_1 &\simeq 0.11 \text{ GeV}^{-1} \end{aligned}$$

- Isospin splitting induced by  $m_d - m_u$ :

$$\begin{aligned} (M_{D^0} - M_{D^+})_{\text{quark mass}} &= 4\lambda_1 B(m_u - m_d) \\ &= 4\lambda_1 (M_{K^\pm}^2 - M_{K^0}^2 - M_{\pi^\pm}^2 + M_{\pi^0}^2) \\ &= -2.3 \text{ MeV} \end{aligned}$$

Exp. value:  $M_{D^0} - M_{D^+} = -(4.77 \pm 0.08) \text{ MeV}$   
 $= (M_{D^0} - M_{D^+})_{\text{quark mass}} + (M_{D^0} - M_{D^+})_{\text{e.m.}}$

- $\mathcal{L}_\chi$  also contributes to scattering between a heavy meson and the lightest pseudoscalar mesons (GBs)

# Baryon CHPT at NLO

- CHPT is useful in the low-energy region
- Remember:  
the nucleon mass does not vanish in the chiral limit ( $M_\pi = 0$ )

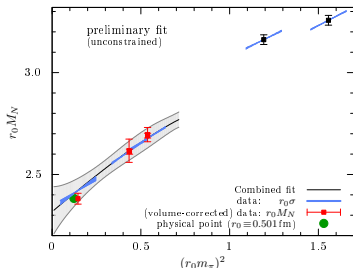
$$m_N \Big|_{M_\pi=0} \sim m_N \Big|_{M_\pi=M_\pi^{\text{phys.}}} \\ \sim \Lambda_\chi = \mathcal{O}(1 \text{ GeV})$$

$\Rightarrow$  a large mass scale  $\gg$  low-momenta,  $M_\pi$

- As a result, the power counting of baryon CHPT is different:

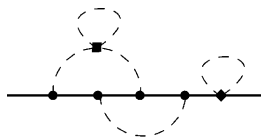
$$\Rightarrow q^2 - m^2 = \left( q^0 + \sqrt{\vec{q}^2 + m^2} \right) \left( q^0 - \sqrt{\vec{q}^2 + m^2} \right) = \mathcal{O}(p)$$

$$\Rightarrow \mathcal{L}_{\pi N} = \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)} + \mathcal{L}_{\pi N}^{(3)} + \dots \quad \text{not only even powers}$$



G.Bali *et al.*, Lattice2013

- Consider an arbitrary  $L$ -loop **1-baryon** diagram with  $V_d^{\pi\pi}$  meson–meson vertices of order  $d$ ,  $V_{d'}^{\pi N}$  meson–baryon vertices of order  $d'$ , and  $I_\pi(I_N)$  internal meson (baryon) lines.



- Chiral dimension  $D$  is

$$D = 4L - 2I_\pi - I_N + \sum_d V_d^{\pi\pi} d + \sum_{d'} V_{d'}^{\pi N} d'$$

☞ Again, topological relation:  $L = I_\pi + I_N - \sum_d V_d^{\pi\pi} - \sum_{d'} V_{d'}^{\pi N} + 1$

☞ Baryon number conservation  $\Rightarrow \sum_{d'} V_{d'}^{\pi N} = I_N + 1$

- We get

$$D = 2L + 1 + \sum_d V_d^{\pi\pi} (d - 2) + \sum_{d'} V_{d'}^{\pi N} (d' - 1)$$

$$D = 2L + 1 + \sum_d V_d^{\pi\pi} (d - 2) + \sum_{d'} V_{d'}^{\pi N} (d' - 1)$$

Note again:  $d \geq 2, d' \geq 1 \Rightarrow D \geq 1$

- Therefore,

$\mathcal{O}(p^1), \mathcal{O}(p^2)$ : tree-level only

$\mathcal{O}(p^3), \mathcal{O}(p^4)$ : tree-level + one-loop

$\mathcal{O}(p^5), \mathcal{O}(p^6)$ : tree-level + one-loop + two-loop

...

- But, the problem is **it does not naively work** :

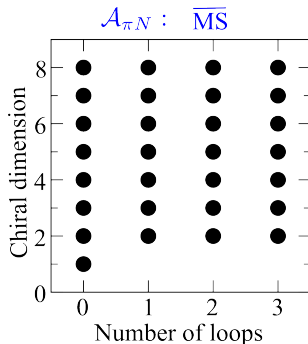
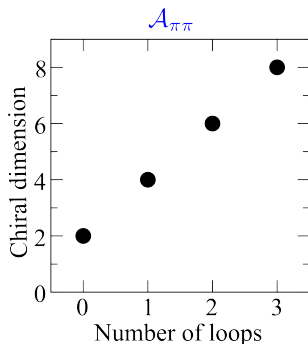
e.g.,  $\mathcal{O}(p^2)$  receives contribution from **any** loop diagram if we use **dimensional regularization with the  $\overline{\text{MS}}$  scheme** (subtracting  $\lambda = \frac{2}{d-4} - [\log(4\pi) + \Gamma'(1) + 1]$ )!



## Problem of power counting in baryon CHPT (1)

- In Goldstone boson sector, all masses are small quantities:  $M \sim p \ll \Lambda_\chi$
- With baryons, loop integration picks up momenta of order  $m_N \sim \Lambda_\chi$
- Schematically,

Gasser, Sainio, Švarc (1988)



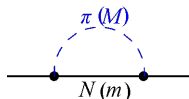
- ☞ “One no longer has a one-to-one mapping between the loop and small-momentum expansion”

Bernard, Kaiser, Kambor, Meißner (1992)

- ☞ Higher-order loops renormalize lower-order couplings

- To see the problem, consider the nucleon self-energy

$$\Sigma_N \sim M^2 I_{\pi N}(p_N^2) + \dots$$



$$I_{\pi N}(p_N^2) = \mu^{4-d} i \int \frac{d^d l}{(2\pi)^d} \frac{1}{[(p_N - l)^2 - m^2 + i\epsilon] (l^2 - M^2 + i\epsilon)}$$

$$= \frac{1}{16\pi^2} \left[ \lambda \underbrace{-1}_{=\mathcal{O}(1)} + \mathcal{O}(M) \right]$$

$$\Rightarrow \text{nucleon mass to } \mathcal{O}(p^3): \quad m_N = m \underbrace{-4c_1 M^2}_{\text{from } \mathcal{L}_{\pi N}^{(2)}} + \underbrace{\frac{3g_A^2 M^2 m}{32\pi^2 F^2}}_{\text{breaks power counting}} - \underbrace{\frac{3g_A^2 M^3}{32\pi F^2}}_{\text{from one-loop}}$$

- Solutions:

👉 Heavy baryon CHPT

Jenkins, Manohar (1991); Bernard, Kaiser, Kambor, Meißner (1992)

👉 Infrared regularization

Becher, Leutwyler (1999)

👉 Extended on-mass-shell scheme

Gegelia, Japaridze (1999); Fuchs *et al.* (2003)

- $m_N \gg M_\pi \Rightarrow$  in low-momentum region, treat nucleons as heavy
- Analogous to heavy quark effective theory, decompose baryon momentum according to (nearly on-shell)

$$p_\mu = \underbrace{m_N v_\mu}_{\text{large}} + \underbrace{k_\mu}_{\text{residual}}, \quad v^2 = 1, \quad v \cdot k \ll m_N$$

- Decompose the nucleon field into **large** ( $N_v$ ) and **small** ( $n_v$ ) components

$$N(x) = e^{-imv \cdot x} [N_v(x) + n_v(x)],$$

$$\text{with } N_v = e^{imv \cdot x} \frac{1}{2}(1 + \not{v})N(x), \quad n_v = e^{imv \cdot x} \frac{1}{2}(1 - \not{v})N(x)$$

- Using the EOM for  $n_v(x) \propto \frac{1}{m} N_v(x)$ , we can eliminate  $n_v$ .

$$\begin{aligned} \mathcal{L}_{\pi N}^{(1)} \text{ becomes: } \quad \mathcal{L}_{\pi N}^{(1)} &= \bar{N} \left( i\gamma_\mu \mathcal{D}^\mu - m + \frac{g_A}{2} \gamma_\mu \gamma_5 u^\mu \right) N \\ &= \bar{N}_v (i v \cdot \mathcal{D} + g_A S \cdot u) N_v + \mathcal{O}(m_N^{-1}) \end{aligned}$$

$$S_\mu = \frac{i}{2} \gamma_5 \sigma_{\mu\nu} v^\nu: \text{ Pauli-Lubanski spin vector}$$

- Nucleon mass gone from  $\mathcal{L}_{\pi N}^{(1)}$ , nucleon propagator becomes

$$\frac{i}{v \cdot k + i\epsilon}$$

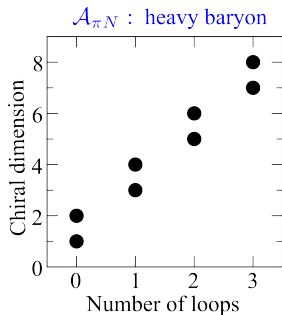
the mass scale  $m$  was eliminated!

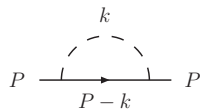
- $1/m_N$  corrections can be constructed systematically on Lagrangian level

Thus, heavy baryon CHPT is a **two-fold expansion**

in  $\left(\frac{p}{\Lambda_\chi}\right)^n$ ,  $\left(\frac{p}{m_N}\right)^n$  (but treated as one)

- Power counting works as in the meson sector:**  
each loop only contributes at one momentum power





$$a = k^2 - M^2 + i\epsilon, \quad b = (P - k)^2 - m^2 + i\epsilon$$

$$H = \frac{1}{i} \int \frac{d^d k}{(2\pi)^d} \frac{1}{ab} = \int_0^1 dz \frac{1}{i} \int \frac{d^d k}{(2\pi)^d} \frac{1}{[(1-z)a + zb]^2}$$

- The integral can be separated into two parts:

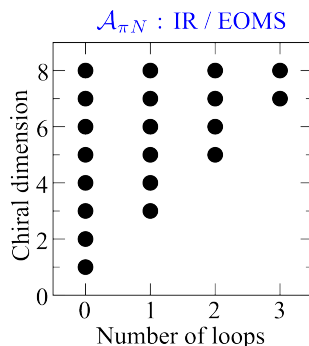
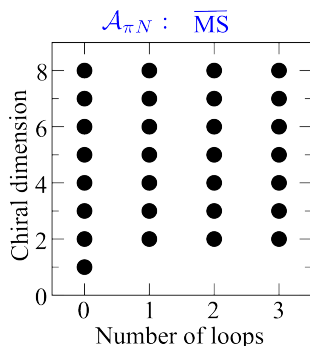
$$H = I + R, \quad I = \int_0^\infty dz \dots, \quad R = - \int_1^\infty dz \dots$$

- IR singular part  $I$ : generated by momenta of order of the pion mass  
obeys power counting  
contains the chiral physics like chiral logs etc
- IR regular part  $R$ : generated by momenta of order of the nucleon mass  
violates power counting  
polynomial in pion mass and external momenta  
 $\Rightarrow$  can be absorbed into redefinition of LECs

- Practical recipe: replace any one-loop integral by the IR singular part

## Extended on-mass-shell regularization

- Idea: **Perform additional subtractions** beyond the  $\overline{\text{MS}}$  scheme so that renormalized diagrams satisfy the power counting
- Method: Expand loop integrand in small quantities, and subtract those power counting violating terms
- Similar to the IR regularization:  
power counting violating terms are analytic in  $M_\pi$  and external momenta  
 $\Rightarrow$  can be absorbed in a renormalization of the counterterms



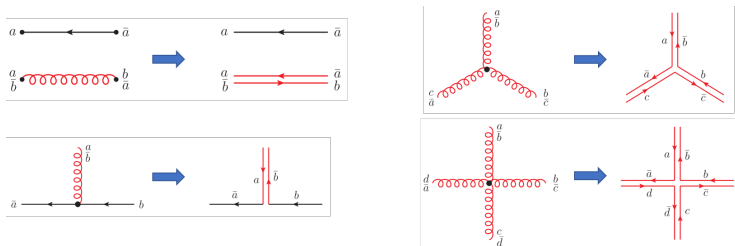
# Large $N_c$

# Large $N_c$ (1)

- $SU(N_c)$ :  $N_c$  quark colors,  $N_c^2 - 1$  gluons;  $N_c$  regarded as a parameter of QCD proposed by 't Hooft [NPB72\(1974\)461](#)  
extension to baryons by Witten [NPB160\(1979\)57](#) [strongly recommended reading]
- Large  $N_c$  limit keeping  $\Lambda_{\text{QCD}}$  independent of  $N_c$  requires  $g_s^2 N_c \equiv \lambda = \mathcal{O}(N_c^0)$ :

$$\alpha_s(\mu) = \frac{4\pi}{\left(\frac{11N_c}{3} - \frac{2N_f}{3}\right) \log \frac{\mu^2}{\Lambda_{\text{QCD}}^2}},$$

- At leading order, we can approximate  $N_c^2 - 1$  by  $N_c^2$  or  $SU(N_c)$  by  $U(N_c)$ ;  
double-line representation of gluons:



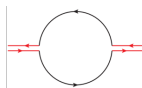
here most diagrams are taken from [Lucha, Melikhov, Sazdjian, arXiv:2012.02542](#)



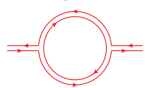
## Large $N_c$ (2)

- **Planar diagrams:** can be mapped on a plane.

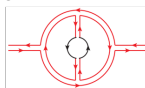
Each order contains infinite diagrams, e.g., gluon self-energies



(a) quark loop  $\mathcal{O}(N_c^{-1})$



(b) gluon loop  $\mathcal{O}(N_c^0)$



(c)  $\mathcal{O}(N_c^{-1})$



(d)  $\mathcal{O}(N_c^0)$

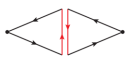
The next-to-leading diagrams (a) and (b) contain a quark loop, topologically a **hole**, suppressed by  $\mathcal{O}(N_c^{-1})$

- **Nonplanar diagrams: suppressed.** E.g., consider the 2-point correlation function:

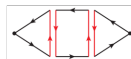
$\langle j_{\bar{k}l}(x) j_{\bar{k}l}^\dagger(y) \rangle$  with  $j_{\bar{k}l} = \bar{q}_{a,k} q_l^a$ , where  $a$ : color index,  $k, l$ : flavor indices



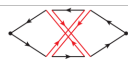
(a)  $\mathcal{O}(N_c)$



(b)  $\mathcal{O}(N_c)$



(c)  $\mathcal{O}(N_c)$



(d)  $\mathcal{O}(N_c^{-1})$

characterized by a topological invariant: number of **handles**

- General formula of the large  $N_c$  behavior for a diagram:  $N_c^{2-B-2H}$ ,  
where  $B$ : number of holes;  $H$ : number of handles

- The leading diagrams of the 2-point correlation function for a meson contain only 2 quark lines: sum of infinite ordinary mesons,  $M_n = \mathcal{O}(N_c^0)$ ,  $F_n = \mathcal{O}(\sqrt{N_c})$

$$\int d^4x e^{ip \cdot x} \langle j(x) j^\dagger(0) \rangle = \sum_n \frac{i F_n^2}{p^2 - M_n^2 + i\epsilon} = \mathcal{O}(N_c), \quad F_n \equiv \langle 0 | j | n \rangle$$

- Leading three-meson coupling scales as  $\mathcal{O}(N_c^{-1/2})$ , meson decay width scales as  $\mathcal{O}(N_c^{-1})$ : consider a 3-point correlation function

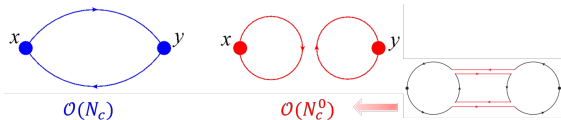
$$\langle jjj \rangle_c = \Sigma \left[ \begin{array}{c} N_c^{1/2} \\ | \\ N_c^{-1/2} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right] + \Sigma \left[ \begin{array}{c} N_c^0 \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right]$$

- Leading meson-meson scattering amplitude scales as  $\mathcal{O}(N_c^{-1})$

$$\langle jjjj \rangle_c = \Sigma \left[ \begin{array}{c} N_c^{1/2} \quad N_c^{1/2} \\ \backslash \quad / \\ N_c^{-1} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right] + \Sigma \left[ \begin{array}{c} N_c^{1/2} \quad N_c^{1/2} \\ \backslash \quad / \\ N_c^{-1/2} \\ | \\ N_c^{-1/2} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right] + \Sigma \left[ \begin{array}{c} N_c^{1/2} \quad N_c^{1/2} \\ \backslash \quad / \\ N_c^{-1/2} \quad N_c^{-1/2} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right] + \Sigma \left[ \begin{array}{c} N_c^{-1/2} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \\ | \\ N_c^{1/2} \\ / \quad \backslash \\ N_c^{1/2} \quad N_c^{1/2} \end{array} \right]$$

- OZI (Okubo-Zweig-lizuka) rule: drawing only quark lines, any diagrams that can be separated into color-singlet clusters are suppressed

$$\langle \bar{u}(y)u(y) \bar{u}(x)u(x) \rangle = - \langle S_u(y,x)S_u(x,y) \rangle + \langle S_u(y,y) \rangle \langle S_u(x,x) \rangle$$



- For three light quark flavors, mesons generally form **nonets of U(3)**, rather than octets and singlets separately of SU(3); e.g.,  $\omega: \bar{u}u + \bar{d}d$ ;  $\phi: \bar{s}s$

- Meson decays:

$\phi$  decays into  $K\bar{K}$ , amplitude for the decay to  $\pi\pi$  is relatively suppressed by  $N_c^{-1}$  (and by isospin breaking);

widths of  $\bar{c}c$  below open-charm thresholds are small since decays into light hadrons violate the OZI rule

- Meson-meson scattering:

the scattering of mesons with different quark flavors violates the OZI rule;

e.g.  $D^+\pi^+ \rightarrow D^+\pi^+$