

# Precision Study of Gluon Saturation: Experimental Analysis versus Theoretical Approach

Bo-Wen Xiao

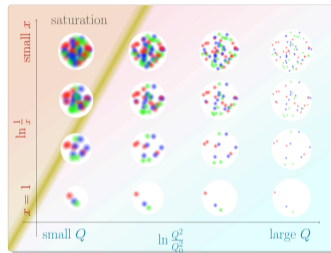
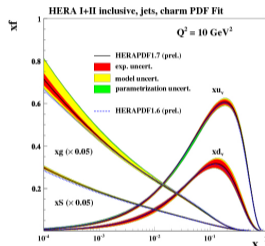
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G. A. Chirilli, Bo-Wen Xiao, Feng Yuan, [▶ Phys. Rev. Lett. 108, 122301 \(2012\).](#)  
Y. Shi, L. Wang, S.Y. Wei, Bo-Wen Xiao, [▶ Phys. Rev. Lett. 128, 202302 \(2022\).](#)



# Saturation Physics (Color Glass Condensate)

Describe **Emergent Phenomenon** of the ultra-dense QCD cold matter.

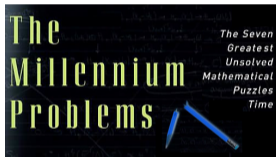
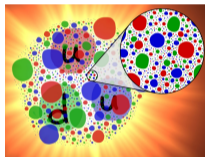
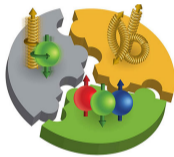


- Gluon density grows rapidly as  $x$  gets small. BFKL evolution!
- Resummation of the  $\alpha_s \ln \frac{1}{x} \Rightarrow$  **BFKL equation**. **Hard at NLO!** (20 years)
- Many gluons with fixed size packed in a confined hadron, gluons **overlap and recombine**  $\Rightarrow$  **Non-linear QCD dynamics (BK/JIMWLK)**  $\Rightarrow$  **ultra-dense gluonic matter**
- Saturation = **Multiple Scattering** (MV model) + **Small-x (high energy) evolution**



# Ultimate Questions and Challenges in QCD

To understand our physical world, we have to understand QCD!



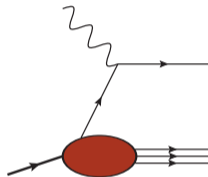
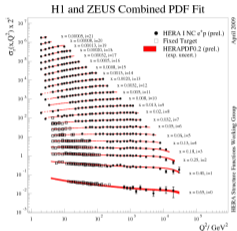
Three pillars of EIC Physics:

- How does the spin of proton arise? (Spin puzzle)
- What are the emergent properties of dense gluon system?
- How does proton mass arise? Mass gap: million dollar question.

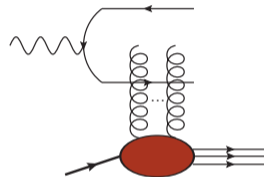
EICs: keys to unlocking these mysteries! Many opportunities will be in front of us!



# Dual Descriptions of Deep Inelastic Scattering



Bjorken frame



Dipole frame

- **Bjorken**: partonic picture is manifest. Saturation shows up as limit of number density.
- **Dipole**: the partonic picture is no longer manifest. Saturation appears as the unitarity limit for scattering. Convenient to resum the multiple gluon interactions.

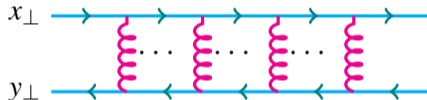
$$F_2(x, Q^2) = \sum_f e_f^2 \frac{Q^2}{4\pi^2 \alpha_{em}} S_{\perp} \int_0^1 dz \int d^2 r_{\perp} |\psi(z, r_{\perp}, Q)|^2 \left[ 1 - S^{(2)}(Q_s, r_{\perp}) \right]$$



## Wilson Lines in Color Glass Condensate Formalism

The Wilson loop (**color singlet dipole**) in McLerran-Venugopalan (MV) model

$$\frac{1}{N_c} \langle \text{Tr} U(x_\perp) U^\dagger(y_\perp) \rangle = e^{-\frac{q_s^2(x_\perp - y_\perp)^2}{4}}$$



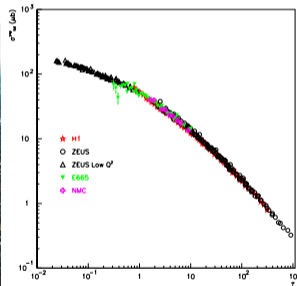
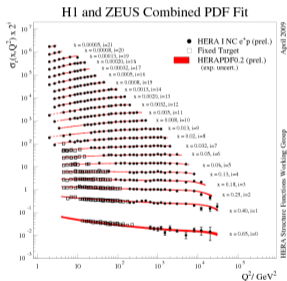
- Dipole amplitude  $S^{(2)}$  then produces the quark  $k_T$  spectrum via Fourier transform

$$\mathcal{F}(k_\perp) \equiv \frac{dN}{d^2k_\perp} = \int \frac{d^2x_\perp d^2y_\perp}{(2\pi)^2} e^{-ik_\perp \cdot (x_\perp - y_\perp)} \frac{1}{N_c} \langle \text{Tr} U(x_\perp) U^\dagger(y_\perp) \rangle.$$



# Geometrical Scaling in DIS

[Golec-Biernat, Stasto, Kwicinski; 01, Munier, Peschanski, 03]



- All data ( $x \leq 0.01$ ,  $Q^2 \leq 450\text{GeV}^2$ ) is function of a **single variable**  $\tau = Q^2/Q_s^2$ .
- Define  $Q_s^2(x) = (x_0/x)^\lambda \text{GeV}^2$  with  $x_0 = 3.04 \times 10^{-3}$  and  $\lambda = 0.288$ .

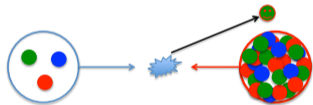


# Forward hadron production in $pA$ collisions

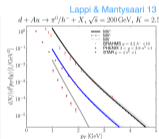
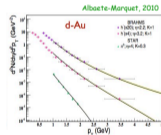
Use dilute objects to probe dense targets

[Dumitru, Jalilian-Marian, 02] Dilute-dense factorization at forward rapidity

$$\frac{d\sigma_{\text{LO}}^{pA \rightarrow hX}}{d^2p_{\perp} dy_h} = \int_{\tau}^1 \frac{dz}{z^2} \left[ x_1 q_f(x_1, \mu) \mathcal{F}_{x_2}(k_{\perp}) D_{h/q}(z, \mu) + x_1 g(x_1, \mu) \tilde{\mathcal{F}}_{x_2}(k_{\perp}) D_{h/g}(z, \mu) \right].$$



projectile:  $x_1 \sim \frac{p_{\perp}}{\sqrt{s}} e^{+y} \sim 1$  valence  
 target:  $x_2 \sim \frac{p_{\perp}}{\sqrt{s}} e^{-y} \ll 1$  gluon

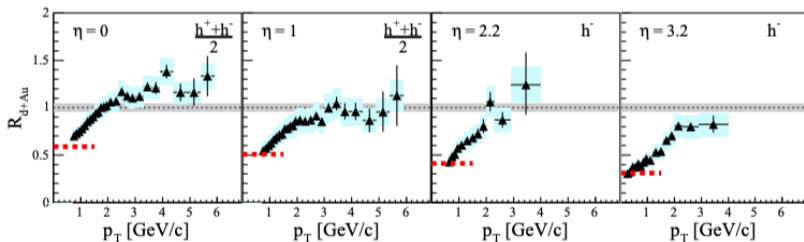


- Proton: Collinear PDFs and FFs (**Strongly depends on  $\mu^2$** ).; Nucleus: Small- $x$  gluon!
- **Early attempts:** [Dumitru, Hayashigaki, Jalilian-Marian, 06; Altinoluk, Kovner 11]  
 [Altinoluk, Armesto, Beuf, Kovner, Lublinsky, 14]
- Full NLO: [Chirilli, BX and Yuan, 12]



## d+Au collisions at RHIC

$$\frac{d + Au \rightarrow h + X}{p + p \rightarrow h + X} \Rightarrow R_{d+Au} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{d^2 N_{d+Au} / d^2 p_T d\eta}{d^2 N_{pp} / d^2 p_T d\eta}$$



BRAHMS

- Cronin effect at middle rapidity
- Rapidity evolution of the nuclear modification factors  $R_{d+Au}$
- Promising evidence for gluon saturation effects

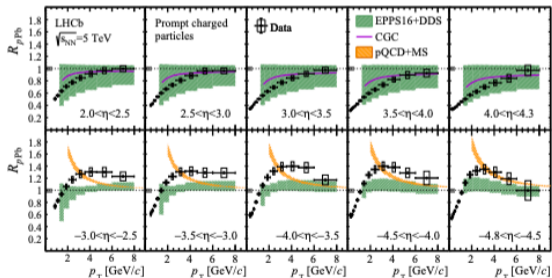




## New LHCb Results

[R. Aaet al. (LHCb Collaboration), Phys. Rev. Lett. 128 (2022) 142004]

$$R_{pPb} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{d^2 N_{p+Pb} / d^2 p_T d\eta}{d^2 N_{pp} / d^2 p_T d\eta}$$

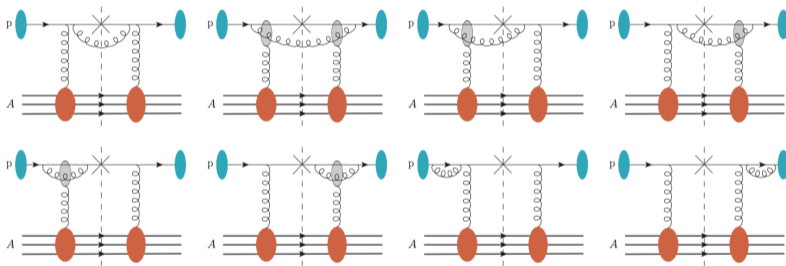


- Rapidity evolution of the nuclear modification factors  $R_{pPb}$  similar to RHIC



## NLO diagrams in the $q \rightarrow q$ channel

G. A. Chirilli, Bo-Wen Xiao, Feng Yuan, [Phys. Rev. Lett. 108, 122301 \(2012\)](#).

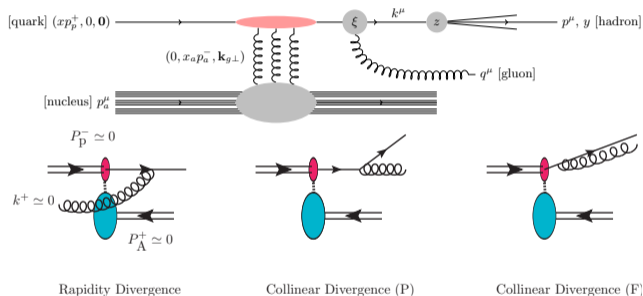


- Take into account real (top) and virtual (bottom) diagrams together!
- Non-linear multiple interactions inside the grey blobs!
- Integrate over gluon phase space  $\Rightarrow$  Divergences!.



# Factorization for single inclusive hadron productions

Factorization for the  $p + A \rightarrow H + X$  process [Chirilli, BX and Yuan, 12]



- Include all real and virtual graphs in all channels  $q \rightarrow q$ ,  $q \rightarrow g$ ,  $g \rightarrow q(\bar{q})$  and  $g \rightarrow g$ .
- 1. collinear to target nucleus; rapidity divergence  $\Rightarrow$  BK evolution for UGD  $\mathcal{F}(k_\perp)$ .
- 2. collinear to the initial quark;  $\Rightarrow$  DGLAP evolution for PDFs
- 3. collinear to the final quark.  $\Rightarrow$  DGLAP evolution for FFs.



## Numerical implementation of the NLO result

Single inclusive hadron production up to NLO

$$d\sigma = \int xf_a(x) \otimes D_a(z) \otimes \mathcal{F}_a^{xg}(k_\perp) \otimes \mathcal{H}^{(0)} + \frac{\alpha_s}{2\pi} \int xf_a(x) \otimes D_b(z) \otimes \mathcal{F}_{(N)ab}^{xg} \otimes \mathcal{H}_{ab}^{(1)}.$$

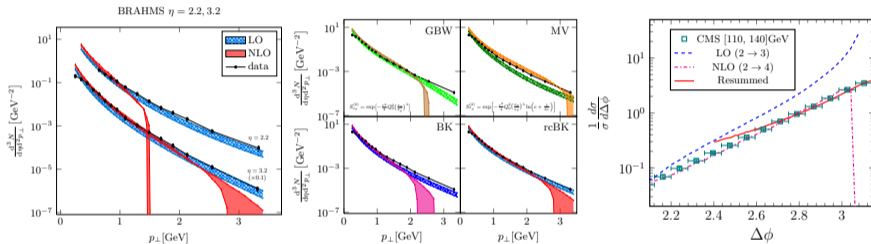
Consistent implementation should include all the NLO  $\alpha_s$  corrections.

- **NLO parton distributions.** (MSTW or CTEQ)
- **NLO fragmentation function.** (DSS or others.)
- **Use NLO hard factors.** [Chirilli, BX and Yuan, 12]
- **Use the one-loop approximation for the running coupling**
- **rcBK evolution equation for the dipole gluon distribution** [Balitsky, Chirilli, 08; Kovchegov, Weigert, 07]. Full NLO BK evolution not available.
- **Saturation physics at One Loop Order (SOLO).** [Stasto, Xiao, Zaslavsky, 13]



# Numerical implementation of the NLO result

Saturation physics at One Loop Order (SOLO). [Stasto, Xiao, Zaslavsky, 13]



- Reduced factorization scale dependence!
- **Catastrophe:** Negative NLO cross-sections at high  $p_T$ .
- Fixed order calculation in field theories is not **guaranteed to be positive**.
- Similar example, dijet angular correlation NLO becomes negative  $\Delta\phi \sim \pi$ .
- **Rapidity sub** with kinematic constraints. [Watanabe, Xiao, Yuan, Zaslavsky, 15]



## Extending the applicability of CGC calculation

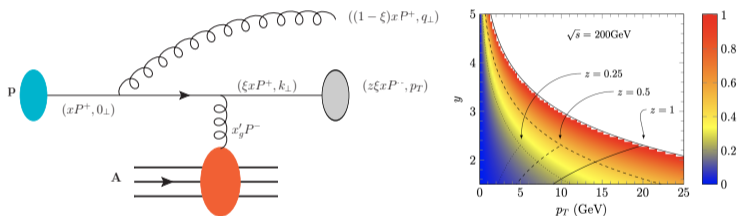
- Goal: find a solution within our **current factorization** (exactly resum  $\alpha_s \ln 1/x_g$ ) to extend the applicability of CGC. **Other scheme choices** certainly is possible.
- A lot of logs **arise** in pQCD loop-calculations: **DGLAP, small- $x$ , threshold, Sudakov**.
- **Breakdown** of  $\alpha_s$  expansion occurs due to the appearance of logs in certain PS.
- Demonstrate **onset of saturation** and visualize **smooth transition to dilute regime**.
- Add'l consideration: numerically challenging due to **limited computing resources**.
- Towards a more complete framework. [Altinoluk, Armesto, Beuf, Kovner, Lublinsky, 14; Kang, Vitev, Xing, 14; Ducloue, Lappi and Zhu, 16, 17; Iancu, Mueller, Triantafyllopoulos, 16; Liu, Ma, Chao, 19; Kang, Liu, 19; Kang, Liu, Liu, 20;]



## Gluon Radiation at the Threshold

Near threshold:

radiated gluon has to be **soft**!  $\tau = \frac{p_{\perp} e^y}{\sqrt{s}}$  density ( $\tau = x_p \xi z \leq 1$ )



- Gluon momentum:  $q^+ = (1 - \xi)p_q^+ \rightarrow 0$ ; Clearly there are **soft-collinear logs**
- Introduce an additional semi-hard scale  $\Lambda^2$ .
- Competition between  $Q_s$  and soft gluon emissions.



# Threshold Logarithms

Y. Shi, L. Wang, S.Y. Wei, Bo-Wen Xiao, [Phys. Rev. Lett. 128, 202302 \(2022\)](#).

- Numerical integration (8-d in total) is notoriously hard in  $r_{\perp}$  space. Go to  $k_{\perp}$  space.
- In the coordinate space, we can identify two types of logarithms

$$\text{single log: } \ln \frac{k_{\perp}^2}{\mu_r^2} \rightarrow \ln \frac{k_{\perp}^2}{\Lambda^2}, \quad \ln \frac{\mu^2}{\mu_r^2} \rightarrow \ln \frac{\mu^2}{\Lambda^2}; \quad \text{double log: } \ln^2 \frac{k_{\perp}^2}{\mu_r^2} \rightarrow \ln^2 \frac{k_{\perp}^2}{\Lambda^2},$$

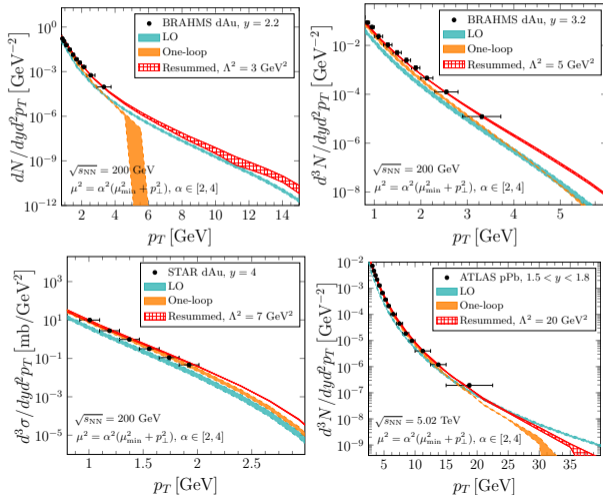
with  $\mu_r \equiv c_0/r_{\perp}$  with  $c_0 = 2e^{-\gamma_E}$ .

- Introduce a semi-hard auxiliary scale  $\Lambda^2 \sim \mu_r^2 \gg \Lambda_{QCD}^2$ . Identify dominant  $r_{\perp}$ !
- Dependences on  $\mu^2$ ,  $\Lambda^2$  cancel order by order. Choose “natural” values at fixed order.
- For running coupling,  $\Lambda^2 = \Lambda_{QCD}^2 \left[ \frac{(1-\xi)k_{\perp}^2}{\Lambda_{QCD}^2} \right]^{C_R/[C_R+\beta_1]}$ . Akin to CSS & Catani *et al.*





# Numerical Results for $p_A$ spectra

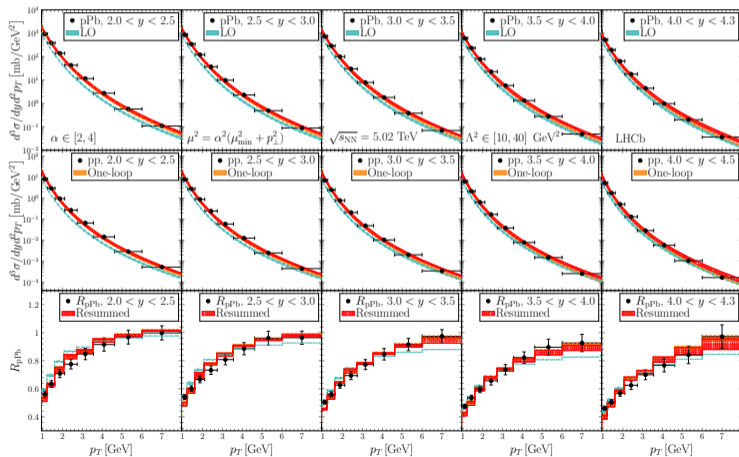


- $\mu^2 = \alpha^2(\mu_{\min}^2 + p_T^2)$  &  $\alpha \in [2, 4]$ ;
- RHIC:  $\Lambda^2 \sim Q_s^2$ ; LHC, larger  $\Lambda^2$ .
- $\mu \sim Q \geq 2k_{\perp}$  ( $\alpha > 2$ ) at high  $p_T$ .  
 $2 \rightarrow 2$  hard scattering.
- Estimate higher order correction by varying  $\mu$  and  $\Lambda$ .
- Threshold enhancement for  $\sigma$ .
- **Nice agreement** with data across many orders of magnitudes for different energies and  $p_T$  ranges



# Comparison with the new LHCb data

[Shi, Wang, Wei, Xiao, 21] [▶ 2112.06975 \[hep-ph\]](#) [LHCb: 2108.13115]



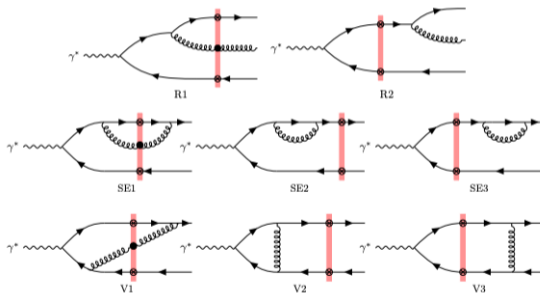
- Sudakov/Threshold resummation help stabilize NLO.
- Precision test needs reliable NLO calculation from CGC.
- Proof of concept for NLO predictive power.
- Agreement with forward LHCb data.
- Rapidity evolution.



# NLO DIS dijets

[Caucal, Salazar, Schenke, Stebel and Venugopalan, 2304.03304]

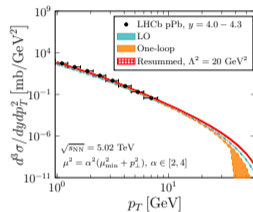
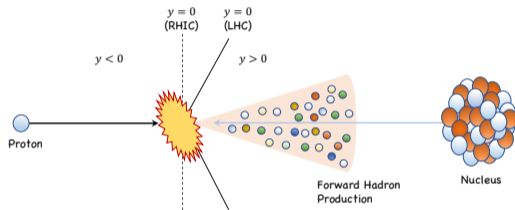
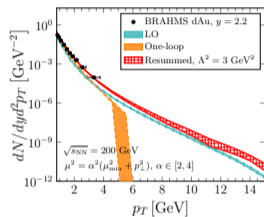
see also [Tael, Altinoluk, Beuf and Marquet, 2204.11650]



- Proved factorization at one-loop.
- Resummation of small- $x$  and Sudakov logarithms.
- Provide more reliable predictions for measurements at future EIC.



# Summary



- **Ten-Year Odyssey** in **NLO hadron productions** in  $pA$  collisions in CGC.
- Towards the **precision** test of saturation physics (CGC) at RHIC and LHC.
- Next Goal: **Global analysis** for CGC combining data from  **$pA$  and DIS**.
- Exciting time of NLO CGC phenomenology with **the upcoming EIC**.



## Threshold resummation in the CGC formalism

Threshold logarithms: **Sudakov soft gluon** part and **Collinear (plus-distribution)** part.

- Soft single and double logs ( $\ln k_{\perp}^2/\Lambda^2, \ln^2 k_{\perp}^2/\Lambda^2$ ) are resummed via Sudakov factor. Performing Fourier transformations

$$\int \frac{d^2 r_{\perp}}{(2\pi)^2} S(r_{\perp}) \ln \frac{\mu^2}{\mu_r^2} e^{-ik_{\perp} \cdot r_{\perp}} = - \int \frac{d^2 l_{\perp}}{\pi l_{\perp}^2} \left[ F(k_{\perp} + l_{\perp}) - J_0\left(\frac{c_0}{\mu} l_{\perp}\right) F(k_{\perp}) \right]$$

$$= -\frac{1}{\pi} \int \frac{d^2 l_{\perp}}{(l_{\perp} - k_{\perp})^2} \left[ F(l_{\perp}) - \frac{\Lambda^2}{\Lambda^2 + (l_{\perp} - k_{\perp})^2} F(k_{\perp}) \right] + F(k_{\perp}) \ln \frac{\mu^2}{\Lambda^2}.$$

- Two equivalent methods to resum the collinear part ( $P_{ab}(\xi) \ln \Lambda^2/\mu^2$ ):  
 1. Reverse DGLAP evolution; 2. RGE method (threshold limit  $\xi \rightarrow 1$ ).
- Introduce forward threshold quark jet function  $\Delta^q(\Lambda^2, \mu^2, \omega)$ , which satisfies

$$\frac{d\Delta^q(\omega)}{d \ln \mu^2} = -\frac{d\Delta^q(\omega)}{d \ln \Lambda^2} = -\frac{\alpha_s C_F}{\pi} \left[ \ln \omega + \frac{3}{4} \right] \Delta^q(\omega) + \frac{\alpha_s C_F}{\pi} \int_0^{\omega} d\omega' \frac{\Delta^q(\omega) - \Delta^q(\omega')}{\omega - \omega'}$$

- Consistent with the threshold resummation in SCET[Becher, Neubert, 06]!

