



Science and
Technology
Facilities Council



WARWICK
THE UNIVERSITY OF WARWICK

Neutrino Interactions and Future Experiments with GeV Neutrinos

Lu, Xianguo 卢显国

University of Warwick

UCAS HEP Seminar

18 April, 2023

Neutrino Mass

Standard Model

Beyond Standard Model

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

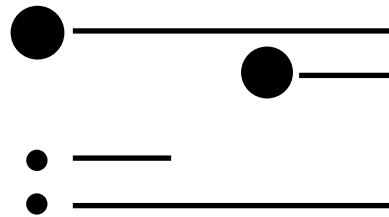
\pm

Pontecorvo–Maki–Nakagawa–Sakata

PMNS matrix

$$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mass Ordering



Normal

Inverted

PMNS Matrix

$$c_{ij} = \cos\theta_{ij}$$

$$s_{ij} = \sin\theta_{ij}$$

PMNS matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\theta_{13} \neq 0 \rightarrow \delta_{CP}$ can be observed

θ_{12} : mixing between ν_1 and ν_2

θ_{23} : mixing between ν_μ and ν_τ

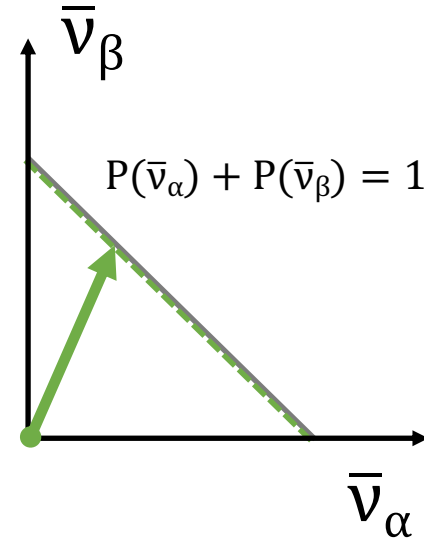
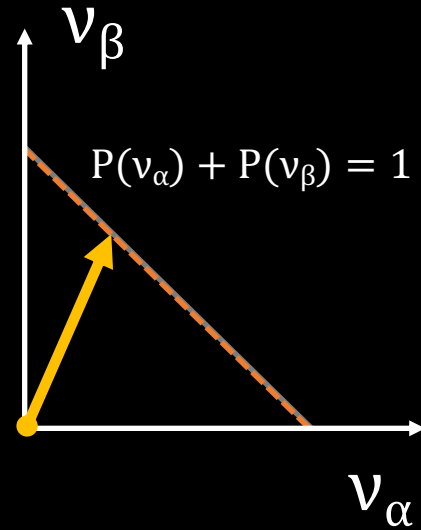
θ_{13} : if 0, effective 2 flavour mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} \nu_1' \\ \nu_2' \\ \nu_3 \end{pmatrix}$$

$$\nu_\alpha \longleftrightarrow \nu_\beta$$

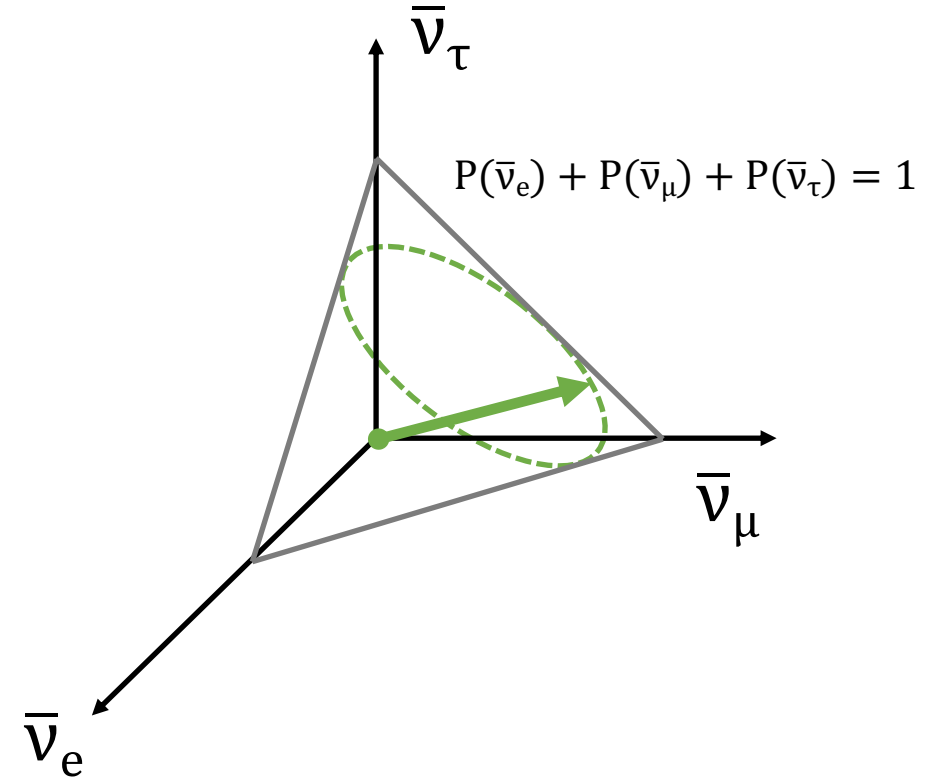
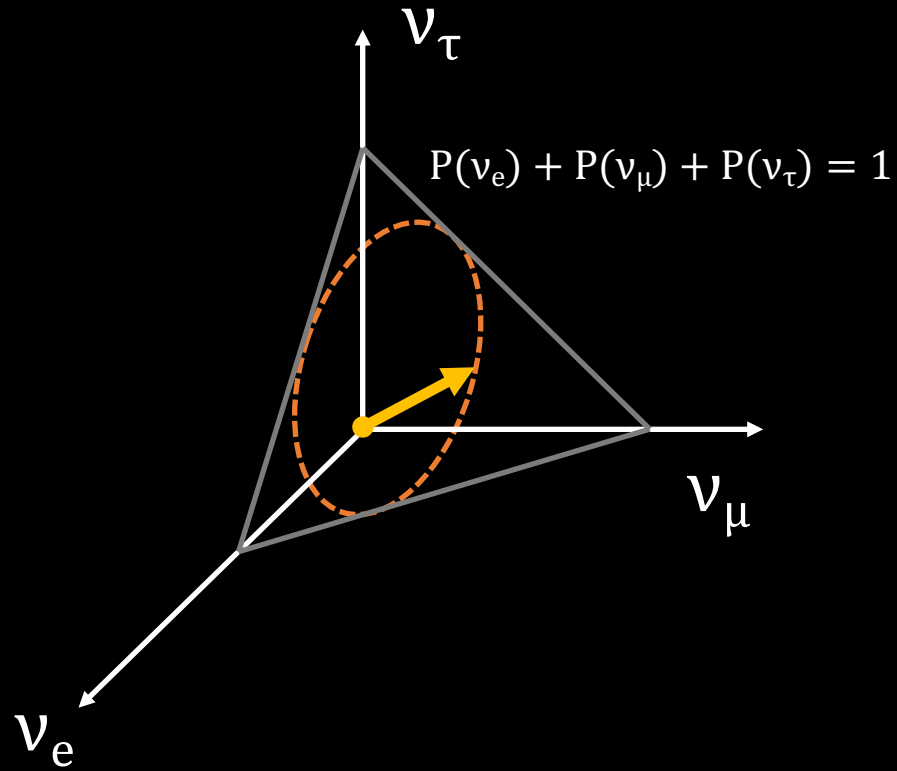
$$P(\nu_\alpha) + P(\nu_\beta) = 1$$

2-flavor oscillation



Oscillation as a function of *time*
line-in-line \rightarrow same trivia

3-flavor oscillation



Oscillation as a function of *time*
line-in-plane \rightarrow CP-violation possible

CP Violation

Neutrino oscillations depend on mixing parameters and mass differences.

$$c_{ij} = \cos\theta_{ij}$$

$$s_{ij} = \sin\theta_{ij}$$

PMNS matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\theta_{13} \neq 0 \rightarrow \delta_{CP}$ can be observed

Appearance probability
of ν_e in a ν_μ beam

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \sin^2 \Delta_{32} \left(\sin^2 \theta_{23} - \frac{\sin 2\theta_{12} \sin 2\theta_{23}}{2 \sin \theta_{13}} \sin \delta_{CP} \sin \Delta_{21} \right)$$

CP-odd term

* neglecting matter effects

CP Violation

Neutrino oscillations depend on mixing parameters and mass differences.

$$\begin{aligned}
 & c_{ij} = \cos\theta_{ij} \\
 & s_{ij} = \sin\theta_{ij}
 \end{aligned}$$

PMNS matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\theta_{13} \neq 0 \rightarrow \delta_{CP}$ can be observed

Appearance probability of $\bar{\nu}_e$ in a $\bar{\nu}_\mu$ beam

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \simeq \sin^2 2\theta_{13} \sin^2 \Delta_{32} \left(\sin^2 \theta_{23} + \frac{\sin 2\theta_{12} \sin 2\theta_{23}}{2 \sin \theta_{13}} \sin \delta_{CP} \sin \Delta_{21} \right)$$

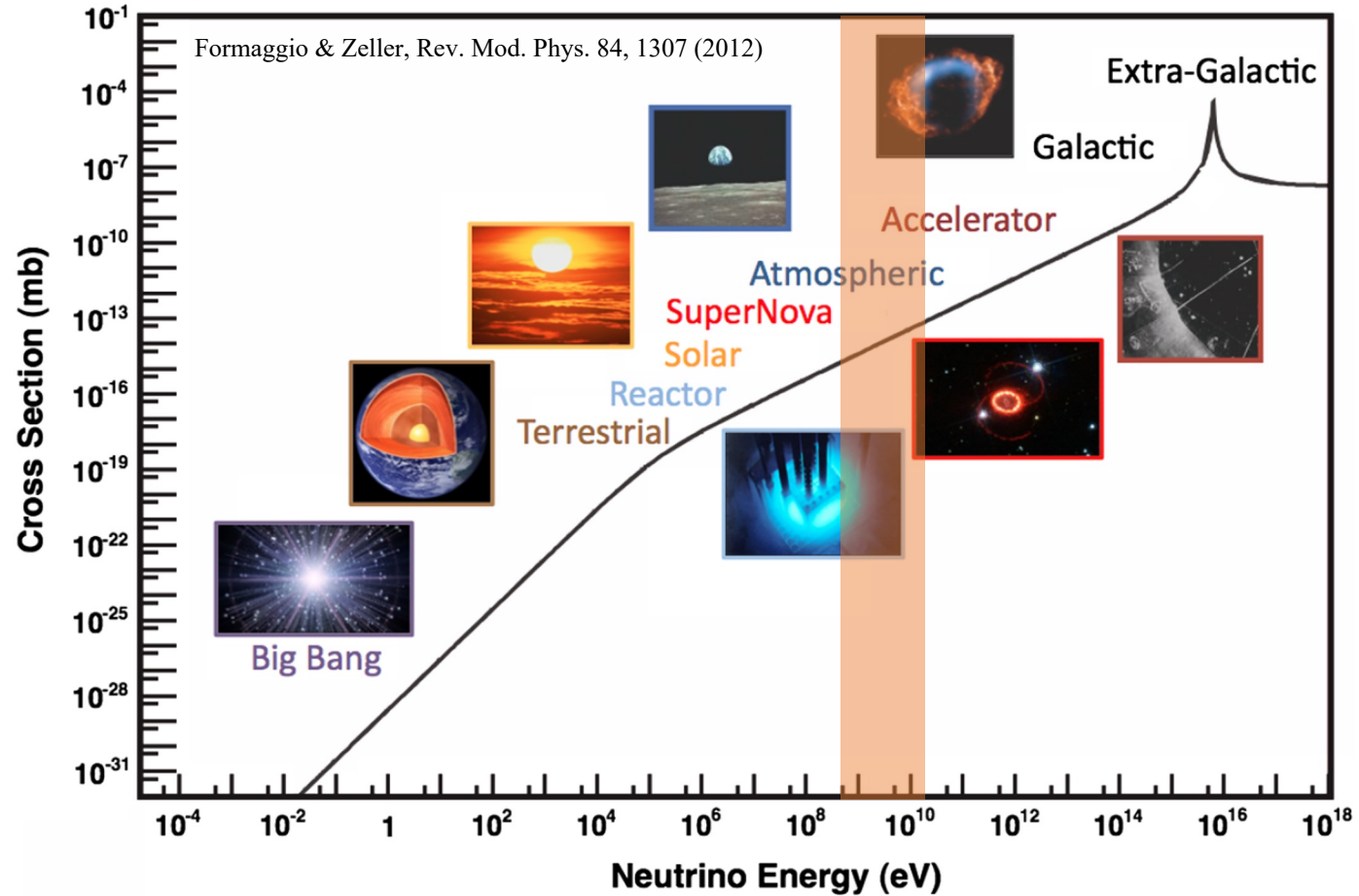
flip sign

$\delta_{CP} \rightarrow$ CP violation

CP violation: electron flavor appears from muon-flavor neutrinos and antineutrinos differently.

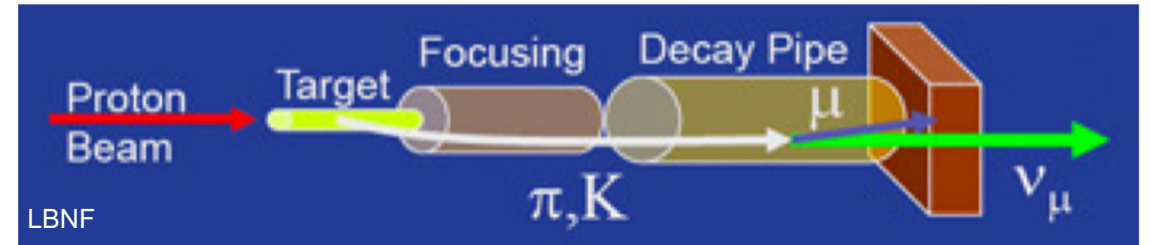
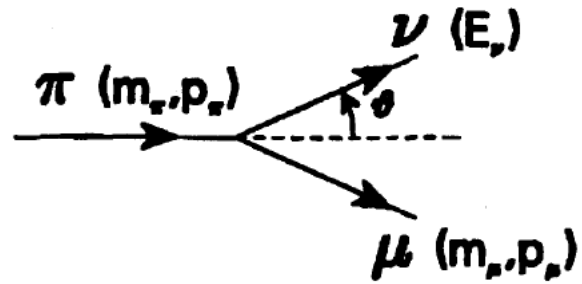
* neglecting matter effects

Neutrinos Sources

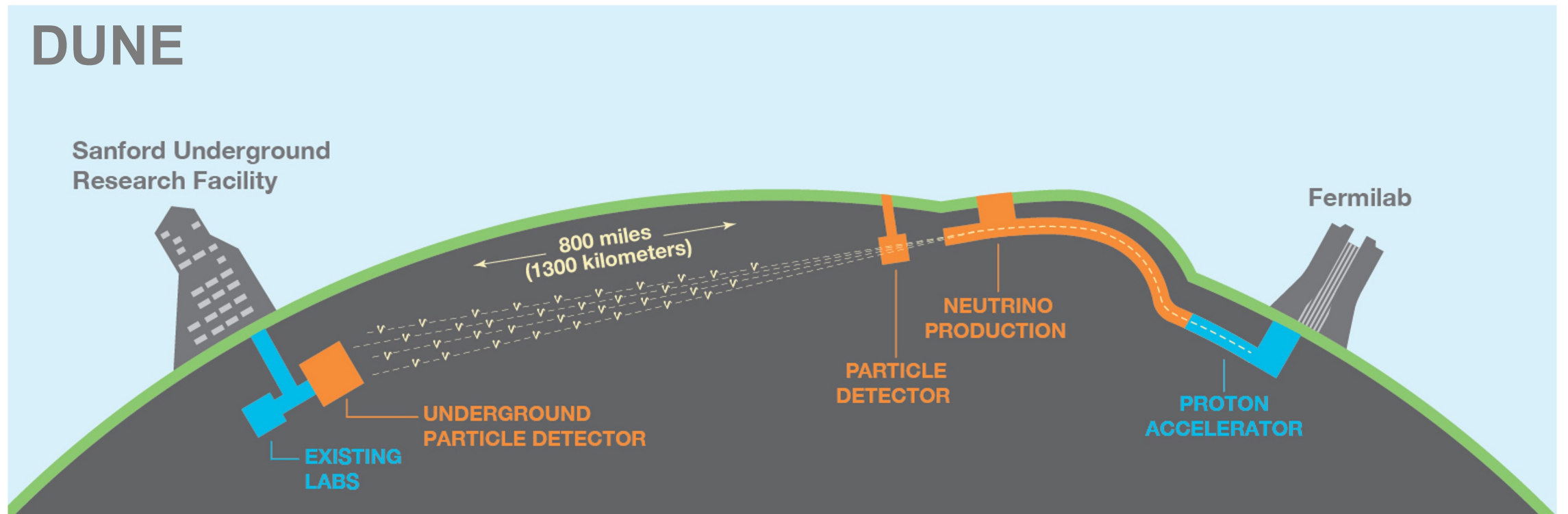


Accelerator Neutrinos

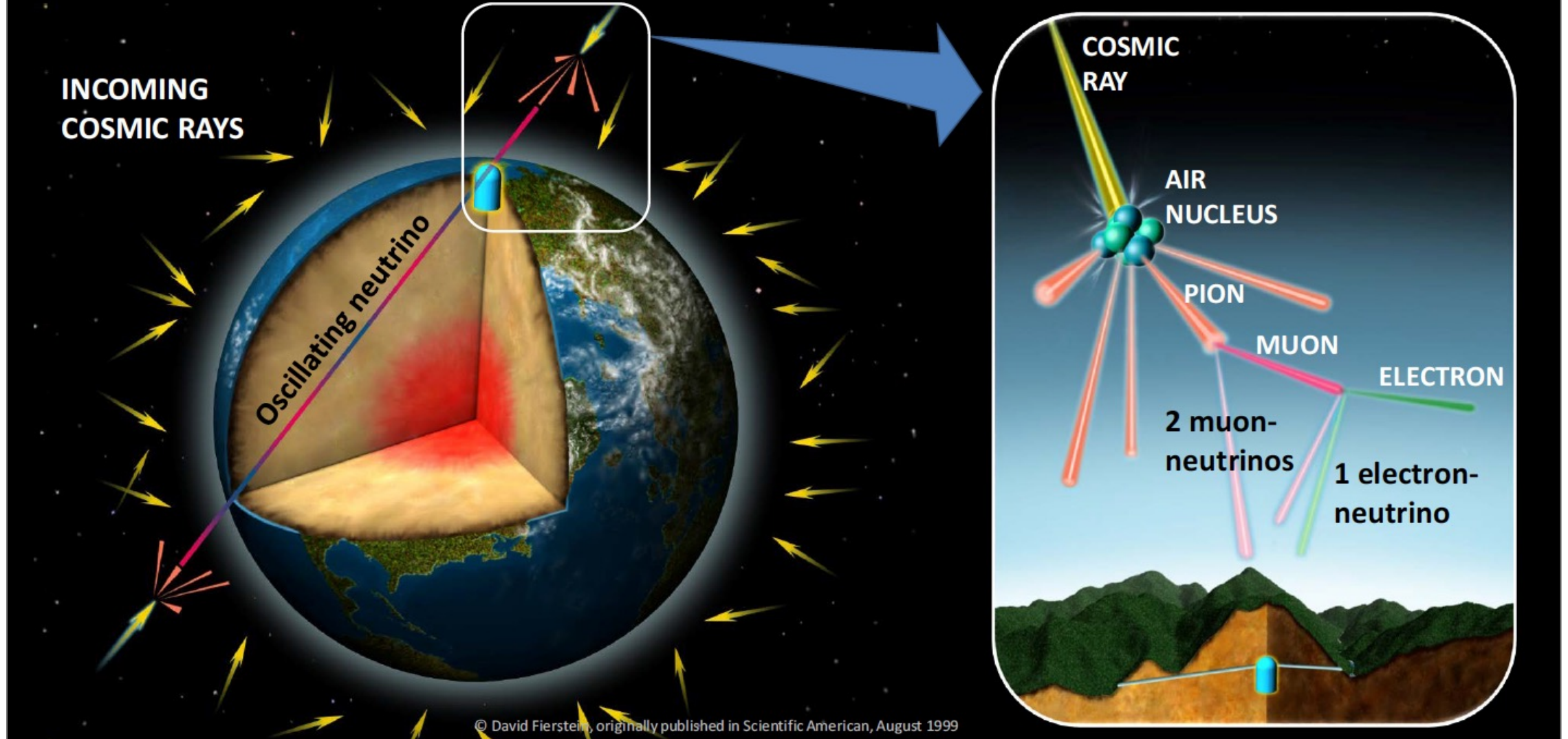
😊 Ad: K.-J. Plows and XL, Modeling heavy neutral leptons in accelerator beamlines, Phys.Rev.D 107, 055003 (2023).

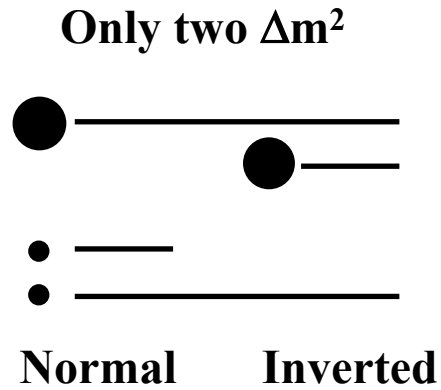


“ β decay” of energetic collision products (mostly ν_μ from π)



Discovery of neutrino oscillations



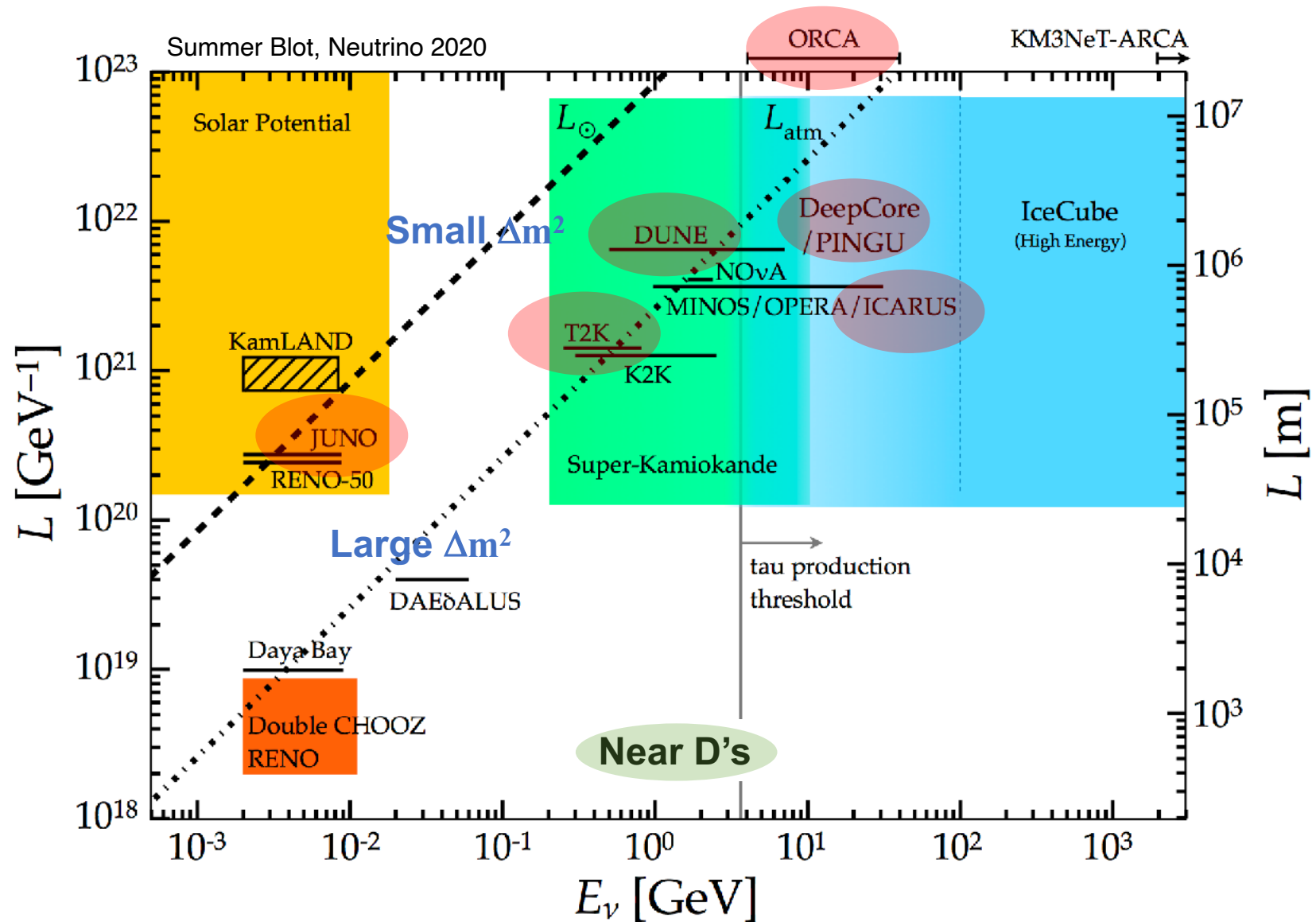


$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \sin^2 \Delta_{32} \left(\sin^2 \theta_{23} - \frac{\sin 2\theta_{12} \sin 2\theta_{23}}{2 \sin \theta_{13}} \sin \delta_{CP} \sin \Delta_{21} \right)$$

$$\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}$$

$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$$

Oscillation phase $\sim \Delta m^2 L/E$



Near Detectors



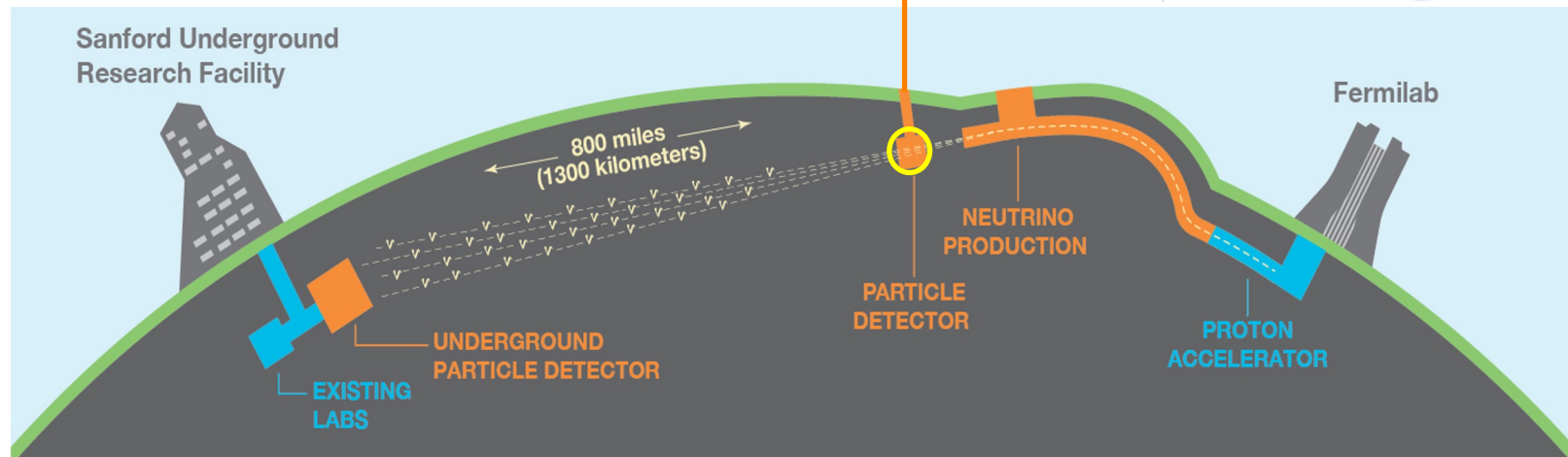
T2K / Hyper-K



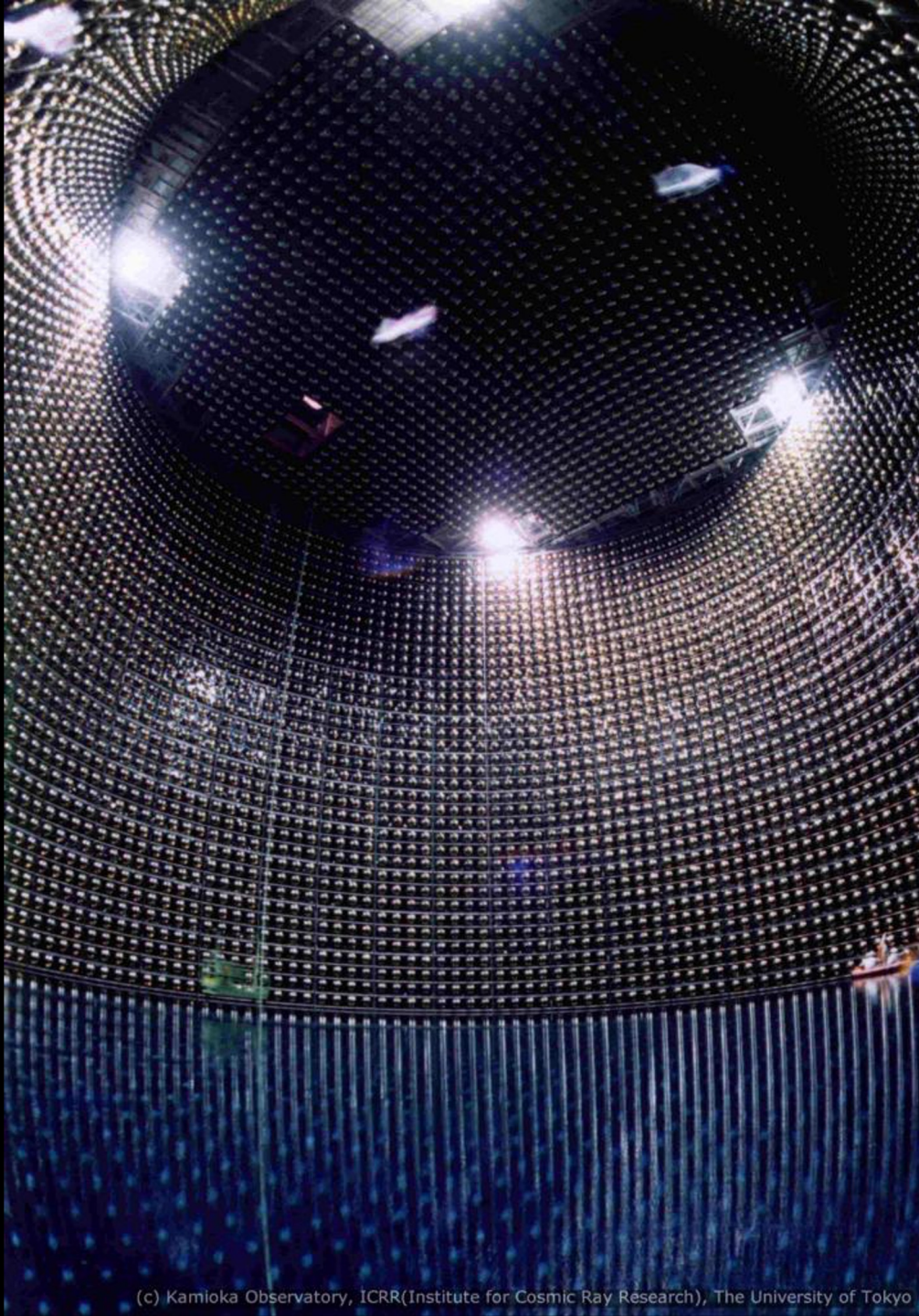
NOvA

Near Detectors to measure ν interactions

DUNE



Water Cherenkov detector

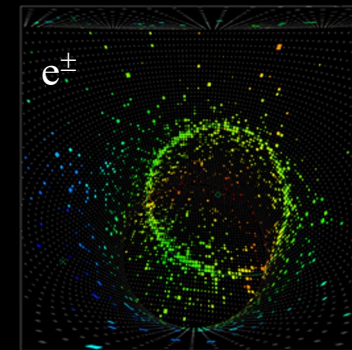
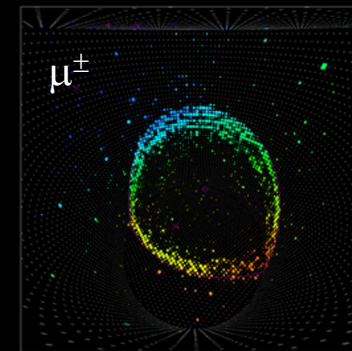
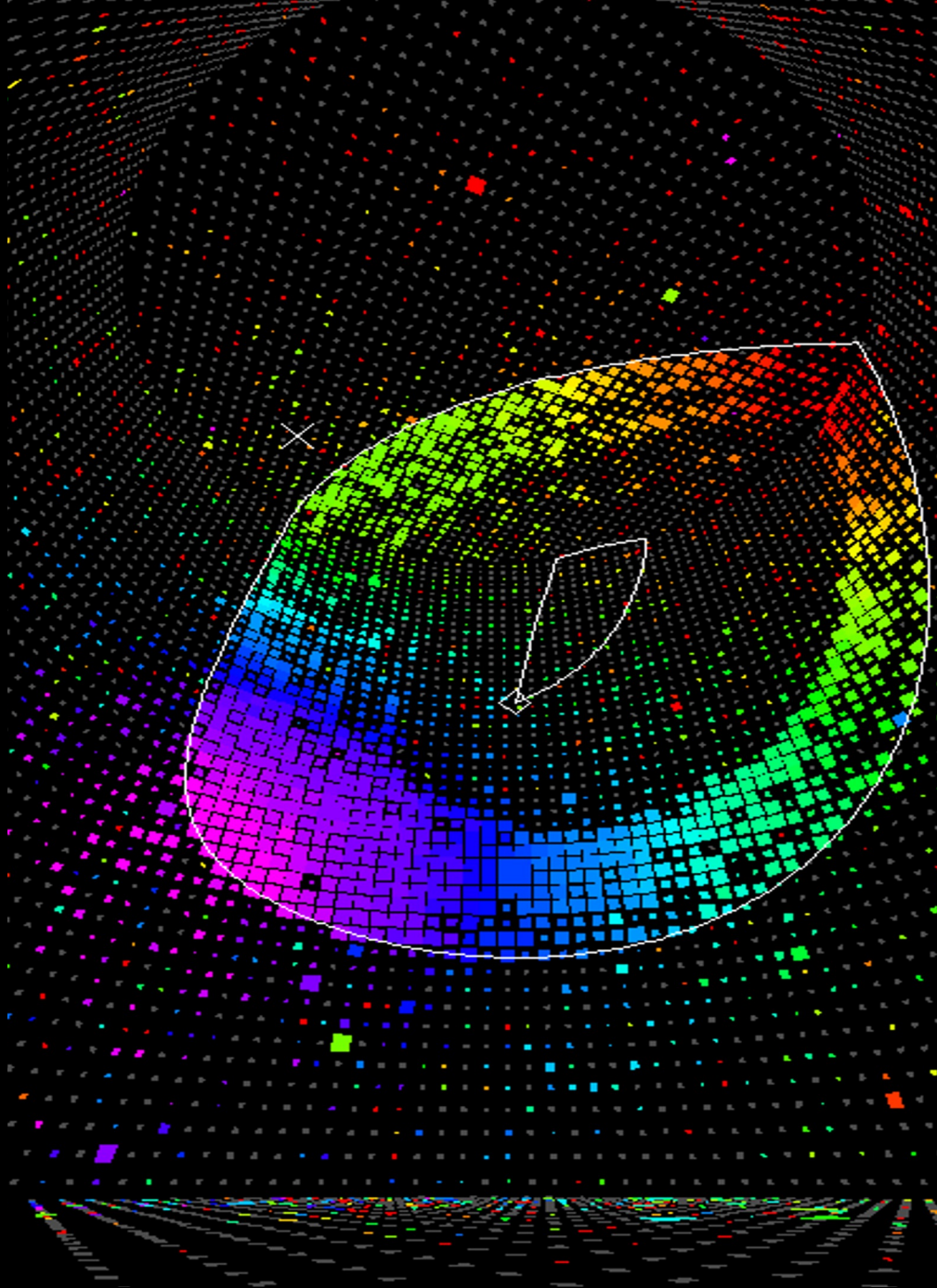


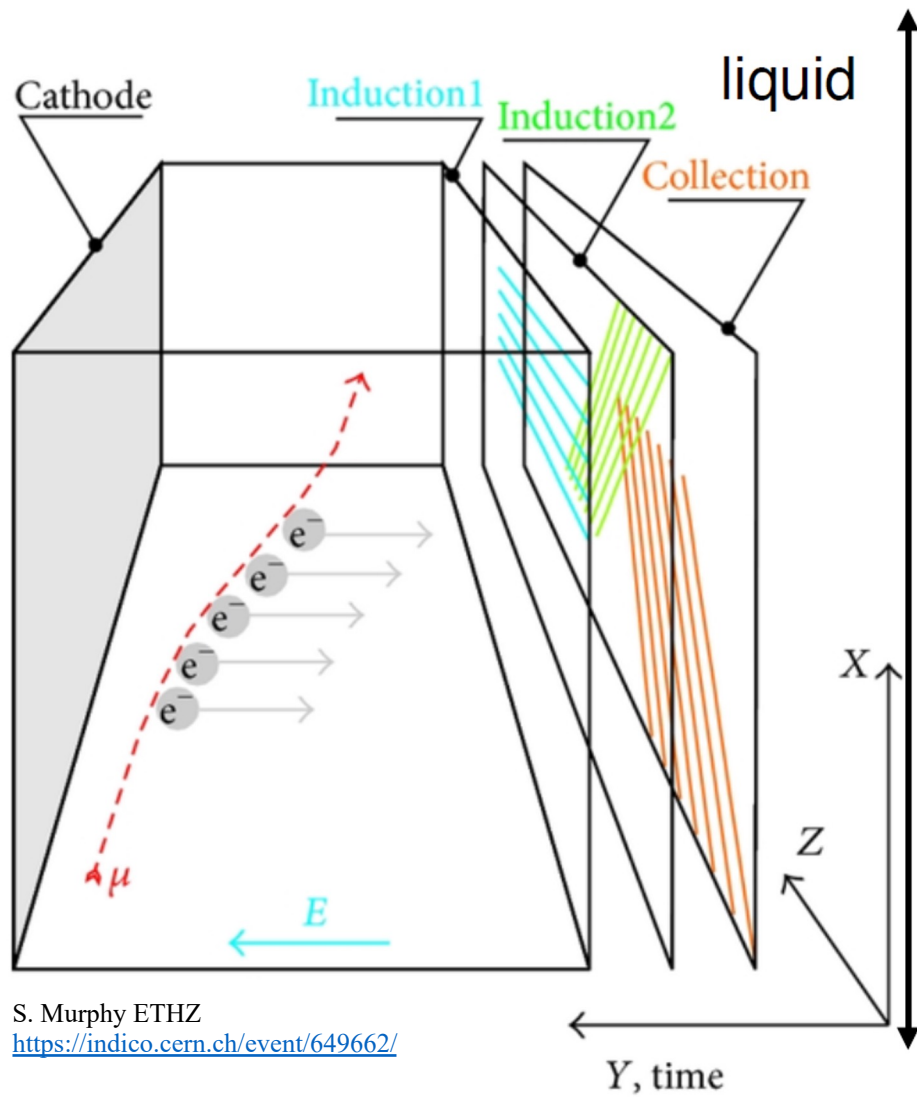
18 April, 2023

(c) Kamioka Observatory, ICRR(Institute for Cosmic Ray Research), The University of Tokyo

Source: <http://www.sk.icrr.u-tokyo.ac.jp/sk/detector/image-e.html>

Water Cherenkov detector

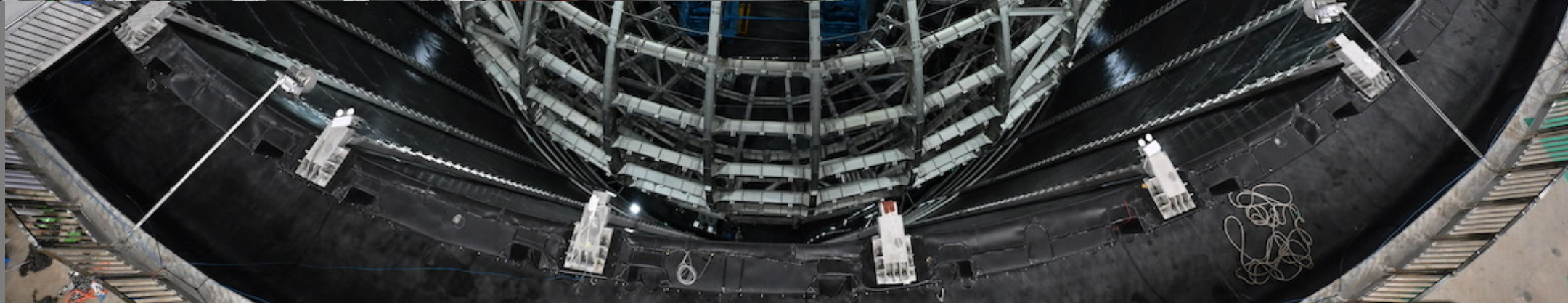
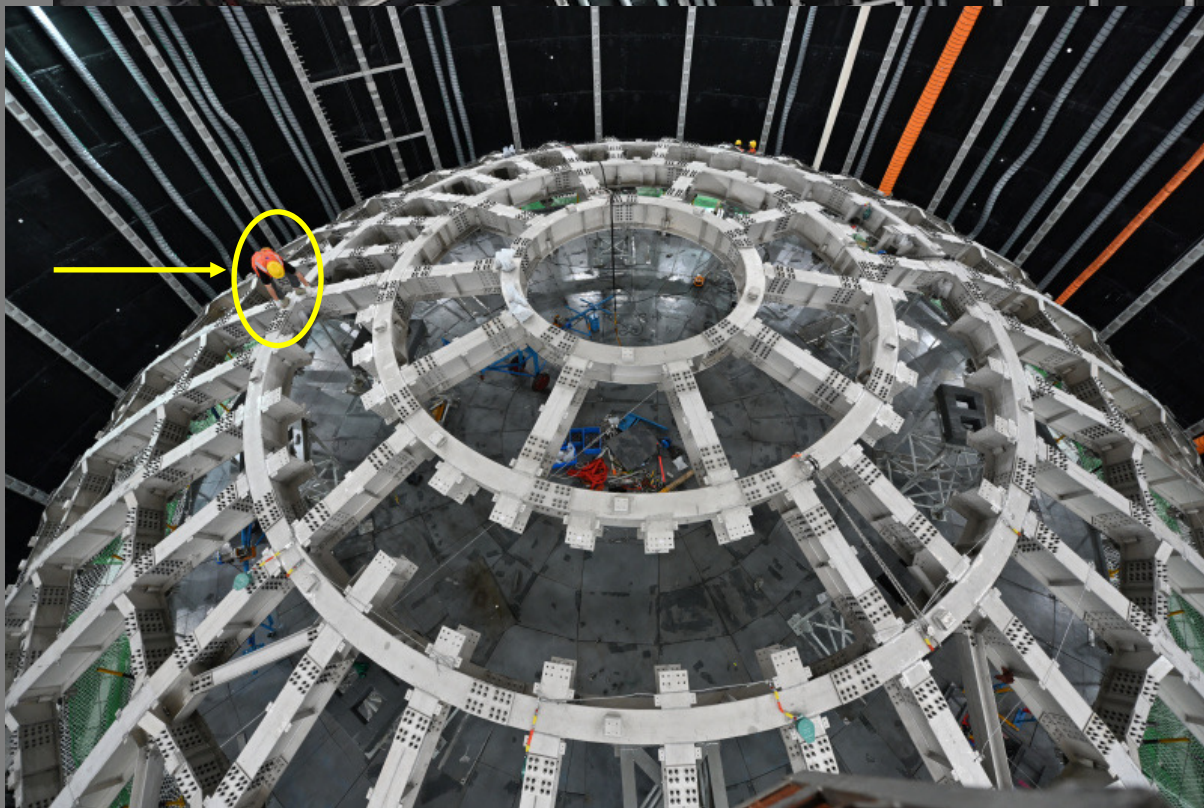




S. Murphy ETHZ
<https://indico.cern.ch/event/649662/>

Liquid argon Time Projection Chamber (LArTPC)

The New Impressive



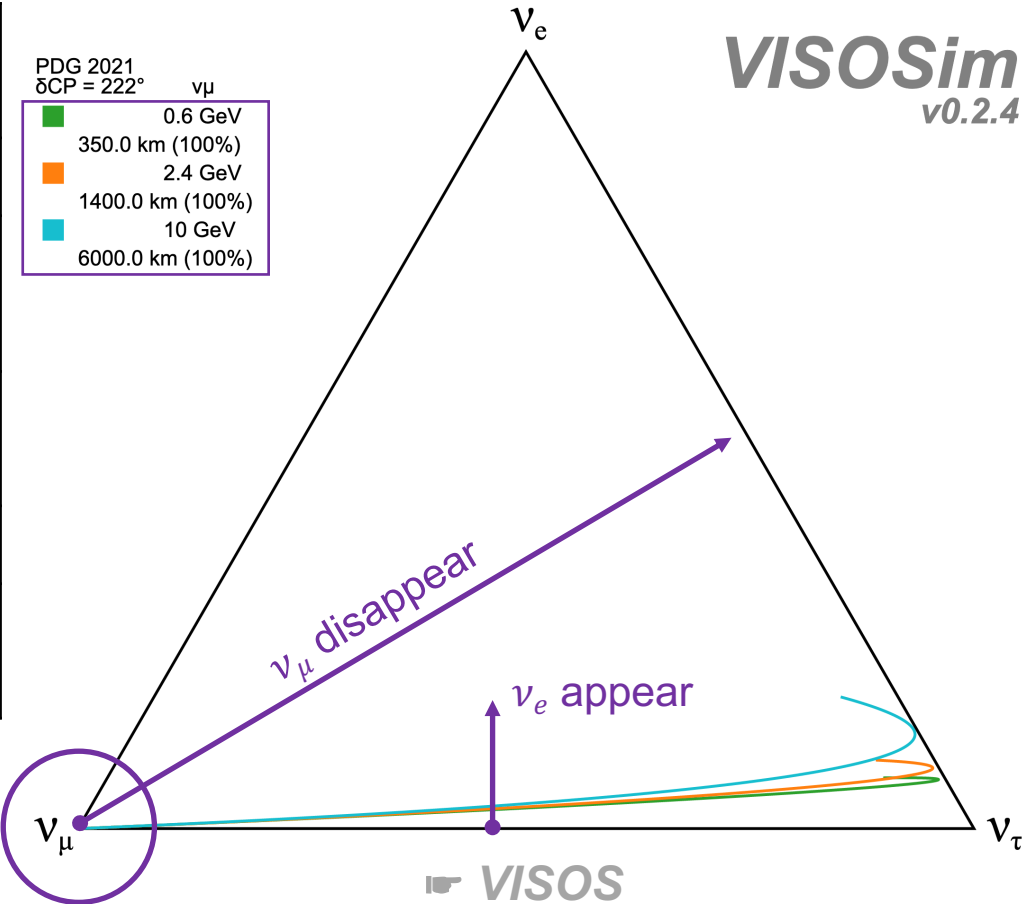
Future oscillation experiments

This talk only on

❖ accelerator and atmospheric GeV- ν

❖ ν_μ flux*: ν_μ disappear, ν_e appear

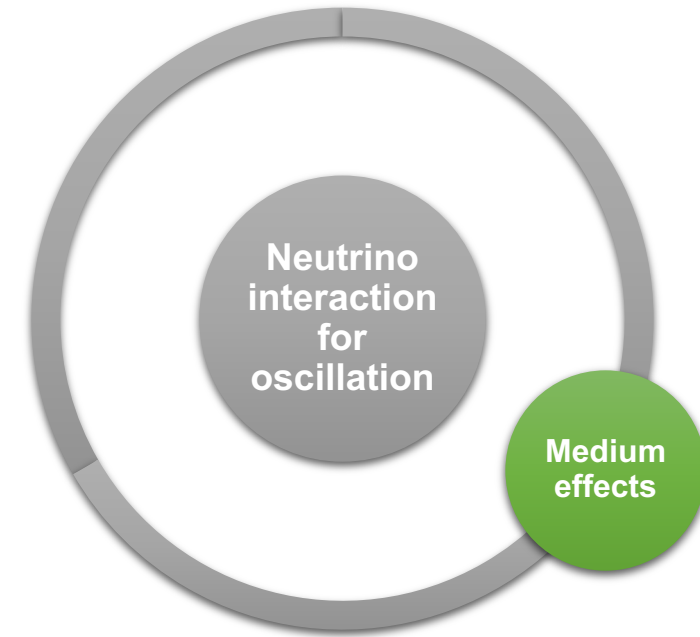
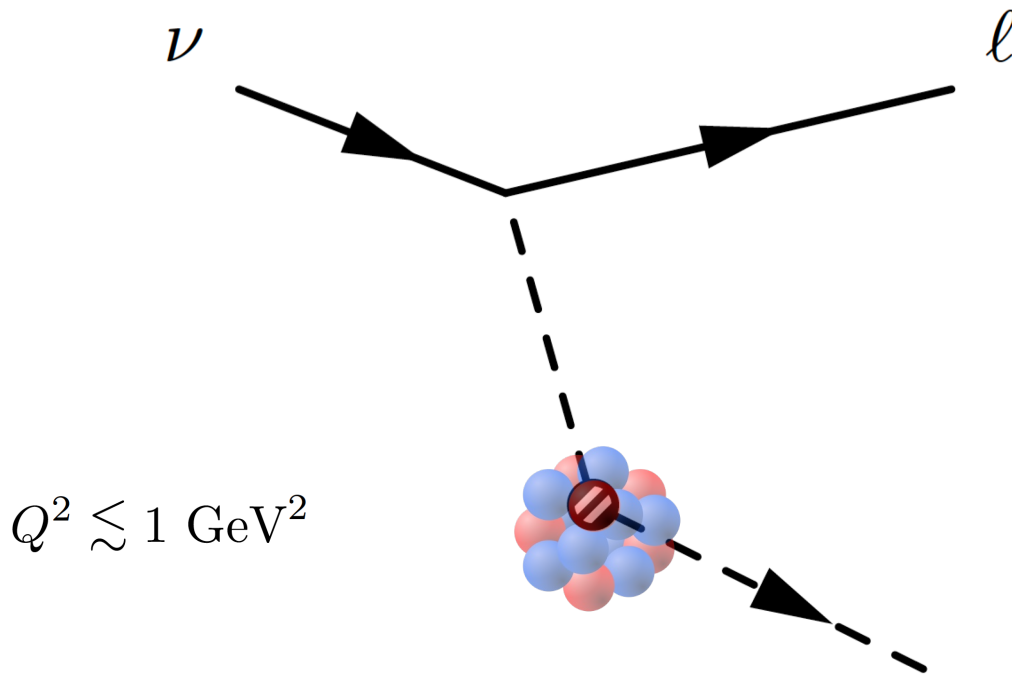
Future Oscillation Experiment	E_ν/GeV @Flux Peak	Detector Technology	Target Nuclei
Hyper-K	0.6	WC	H ₂ O
ICARUS + SBND	0.8	LAr TPC	Ar
DUNE	2.4		
IceCube Upgrade	3-10 (ν Mass Ordering/NMO sensitive region)	Cherenkov in ice	H ₂ O
KM3NeT/ORCA		WC	H ₂ O
Atmos- ν @ JUNO		LS	CH _{1.6}



*Referring to neutrinos and/or antineutrinos implicitly depending on the context.

Interaction inside nuclei

- ❑ $\nu_{\mu/e}$ Charged Current (CC) for ν detection
- ❑ GeV- ν interaction: νN interaction embedded in **nuclei (A)**



Medium effects—source of systematics

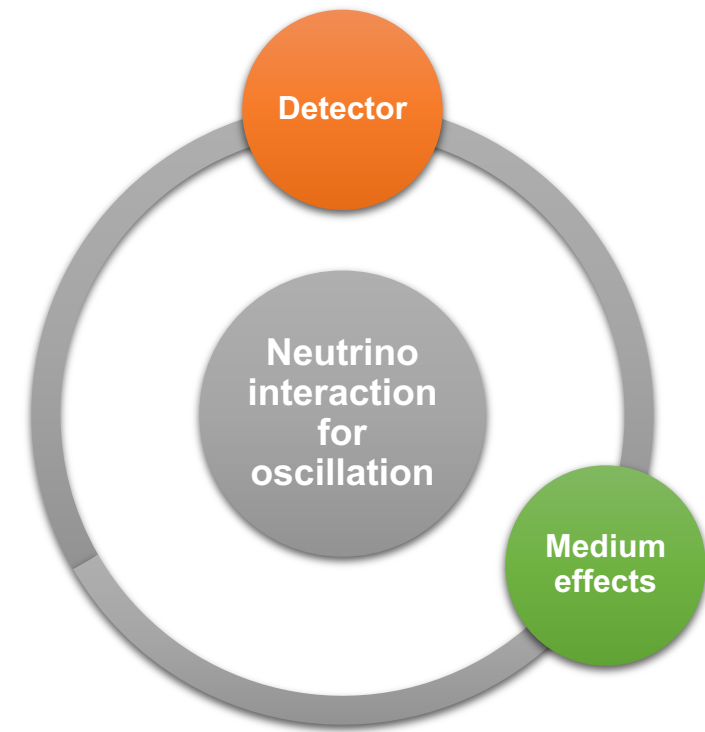
✓ **ν energy reconstruction, event classification**

- ❑ Through initial state, vertex, final state
- ❖ Fermi motion & nuclear potential
- ❖ NN correlations
- ❖ Pauli-blocking
- ❖ Multinucleon excitation
- ❖ FSI

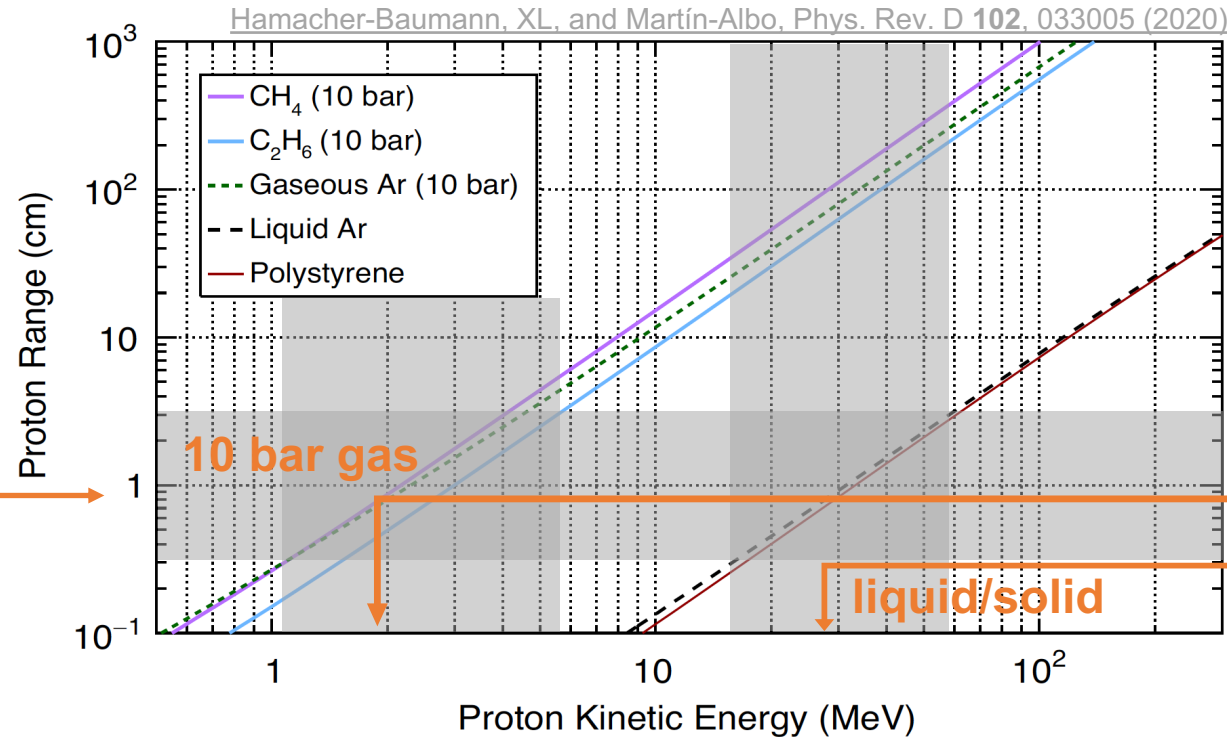
Sensing ν interactions

Embedded in detector, incomplete particle information

- ❖ Tracking/Cherenkov threshold
- ❖ Angular acceptance
- ❖ PID
- ❖ Neutrals
- ❖ Noise



Proton Range VS Kinetic Energy



Sensor granularity
~ mm-cm

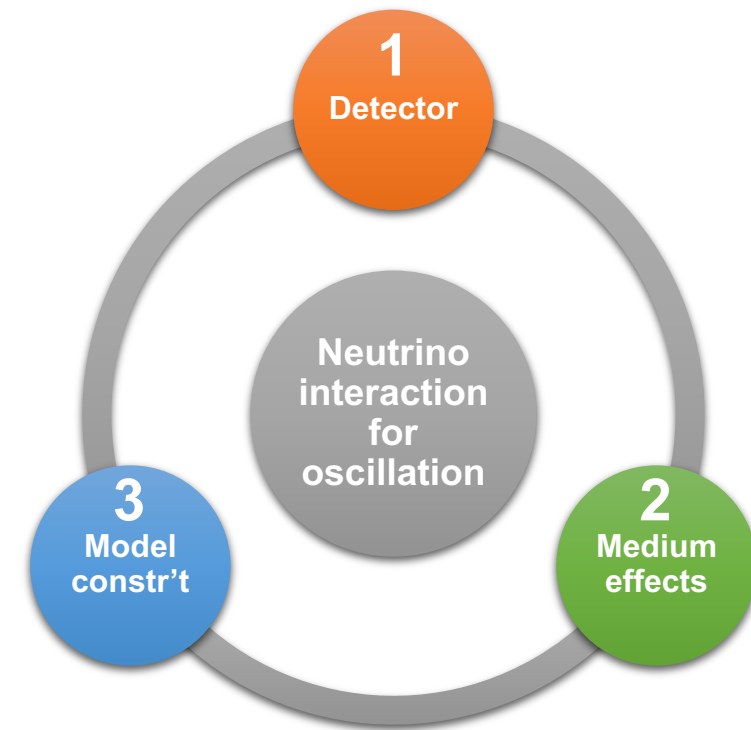
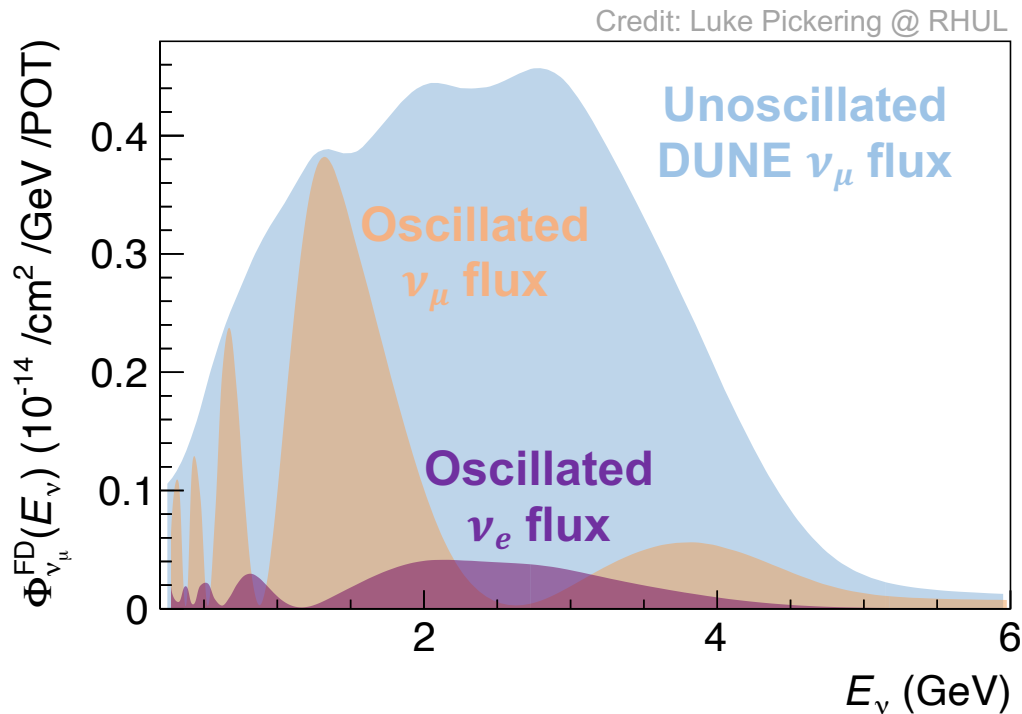
Tracking threshold
~ few MeV
~ 10s MeV
No momentum
measurement downwards

Counting oscillated ν

At (*far-*)*detector*, interactions **cannot** be measured with **unknown oscillated flux**

$$\text{Measurement} = (\text{flux} \times \text{interaction}) \oplus \text{detector effects}$$

No two unknowns at the same time



- ❖ **Near detectors** for accelerator- ν experiments
- ❖ Non-accel: rely on **externally constrained** models
- ❖ **Unconstrained** flavour and/or target nuclei

Detector

Plastic scintillator tracker

☐ Also **active target**

❖ Tracking + **calorimetry**

Current role in studying ν interactions

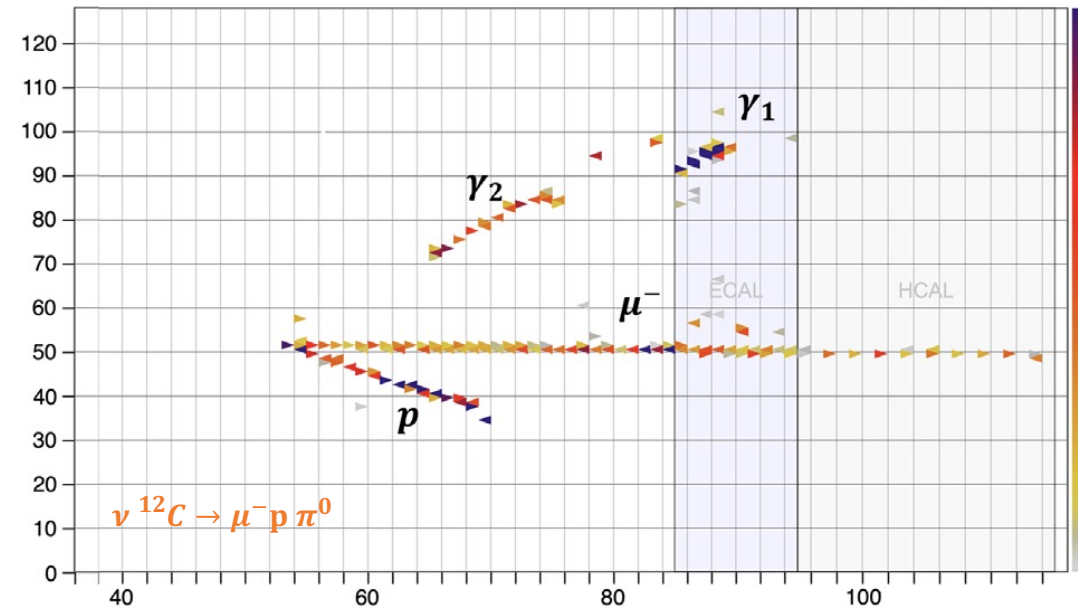
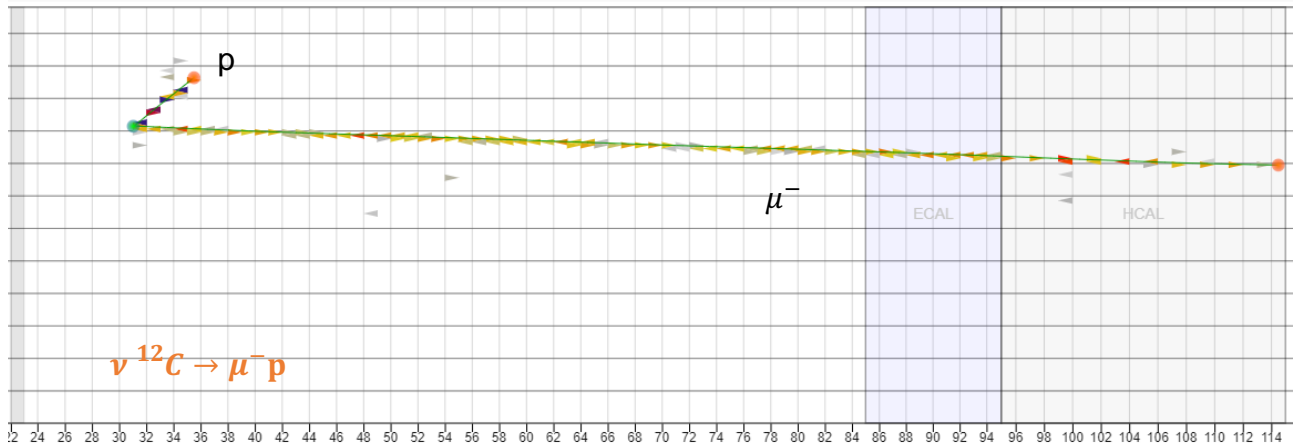
☐ Largest data set

☐ Systematic investigation cf. e.g. [MINERvA, Eur. Phys. J. ST 230, 4243 \(2021\)](#)

Hydrogen target from CH-C subtraction:

T. Cai *et al.* [MINERvA], Measurement of the axial vector form factor from antineutrino-proton scattering, **Nature** 614, 48 (2023)

Typical event display w/ plastic scintillator tracker





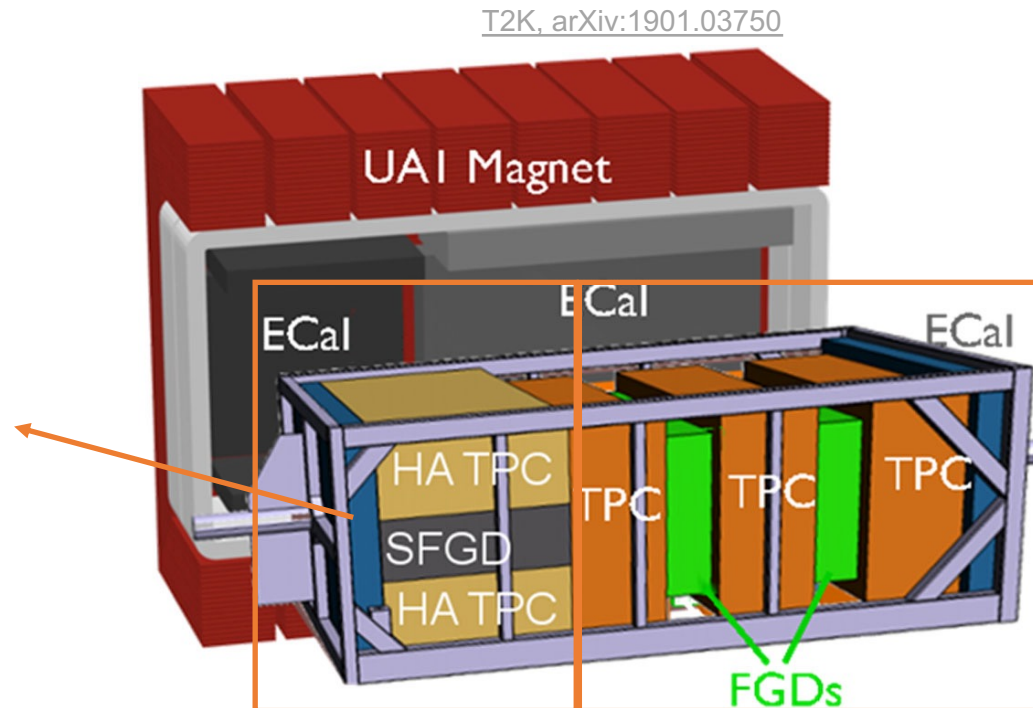
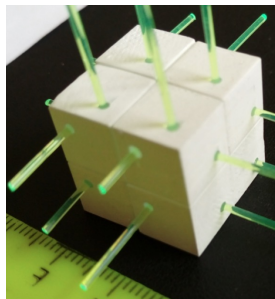
Plastic scintillator tracker

- ❑ Also *active target*
 - ❖ Tracking + *calorimetry*
- ❑ T2K Upgrade/*Hyper-K ND* (more later) sFGD
 - ❖ *Homogeneous 4π acceptance*
 - ❖ *Lower tracking threshold*
 - ✓ *Much improved exclusivity*

Exclusivity: to measure all final states (except nuclear remnant)

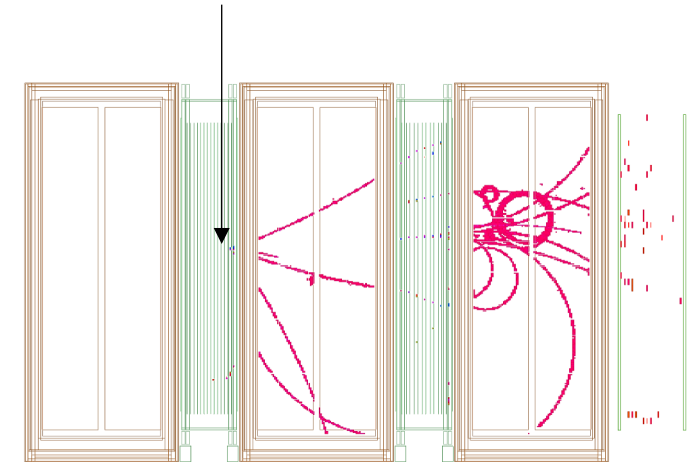
ND280 Upgrade
sFGD (SuperFGD)
1-cm³ *cube*

Blondel et al. JINST 13, P02006 (2018)



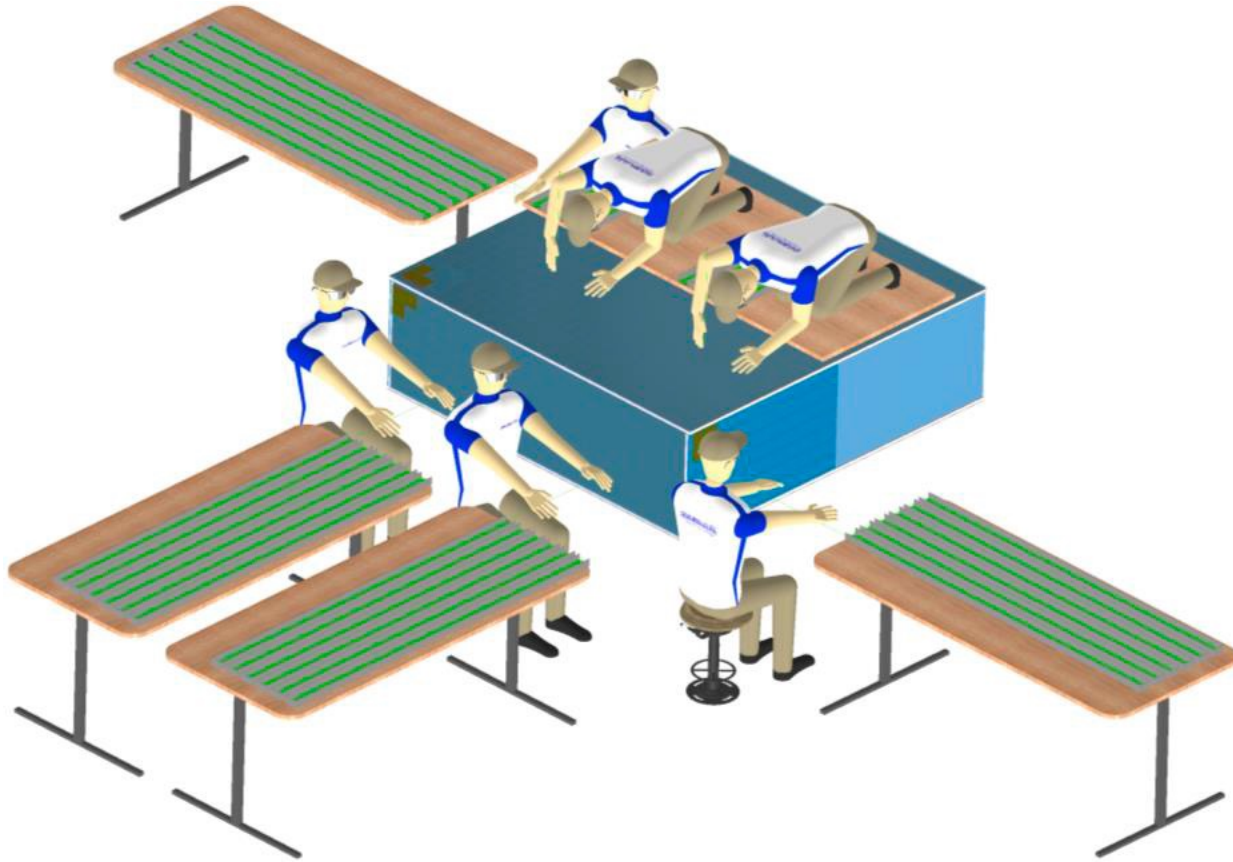
T2K Near Detector ND280
FGD (Fine-Grained Detector)
planes of few-cm-thick **bars**

ν interaction in plastic scintillator bars—FGD



T2K, Nucl. Instrum. Meth. A 659, 106 (2011)

Cube assembly and fiber insertion ...

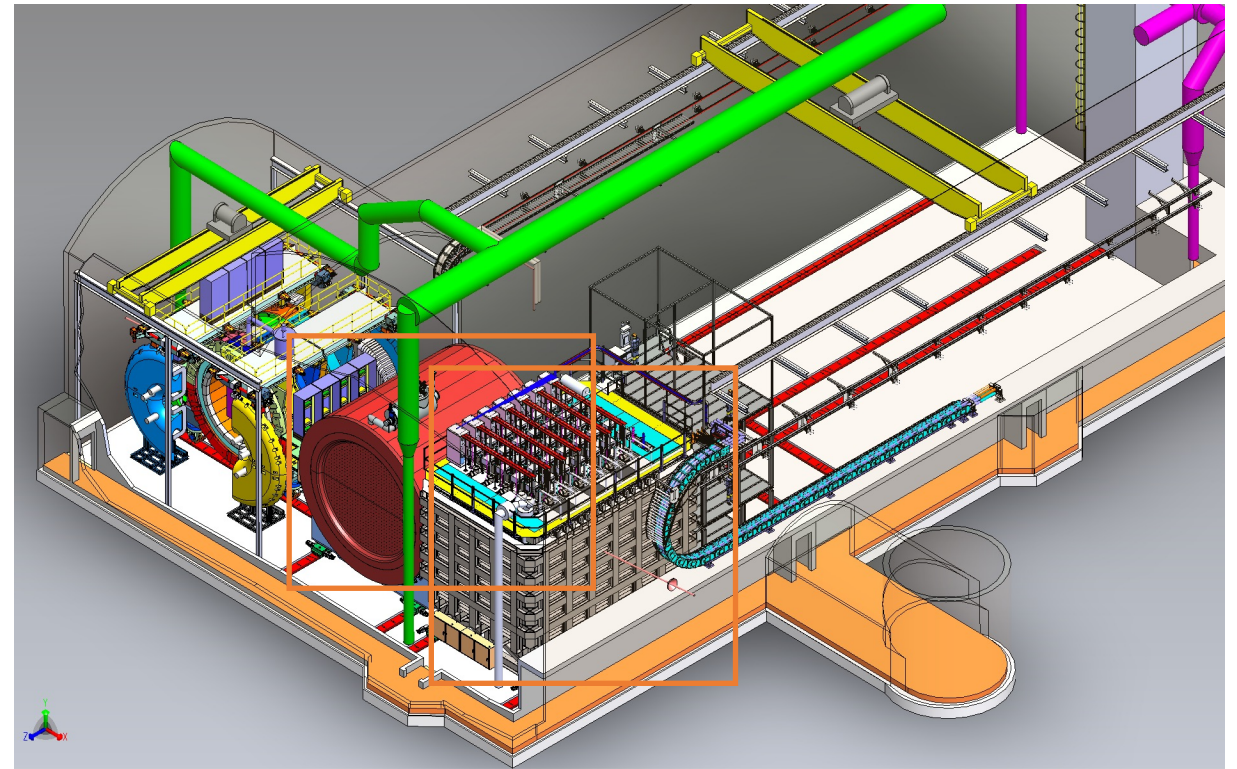


Weijun Li 利伟君 (Oxford/Warwick)
January 2023, J-PARC

DUNE

- ❑ FD (Far Detector)
 - ❖ LArTPC (Liquid Argon TPC)
 - ✓ *Mass-scalable for tracking + calo*
- ❑ Near Detector ND-LAr
 - ❖ Same technology as FD
- ❑ Near Detector ND-GAr (Gaseous Argon)—Reference Design
 - ❖ 10-bar argon-based gas TPC
 - ❖ $\sim 100 \text{ m}^3$ gas volume surrounded by calorimeter
 - ❖ B-field provides sign selection
 - ✓ *Large statistics of ν interactions on gas*
 - ✓ *4π acceptance, very low tracking threshold*
 - ✓ *Arguably ultimate exclusivity for ν interactions*

DUNE, instruments 5, 31 (2021)



Exclusivity: to measure all final states (except nuclear remnant)

Vessel (200 L 10 bar) for high pressure TPC R&D @ WarTPC lab



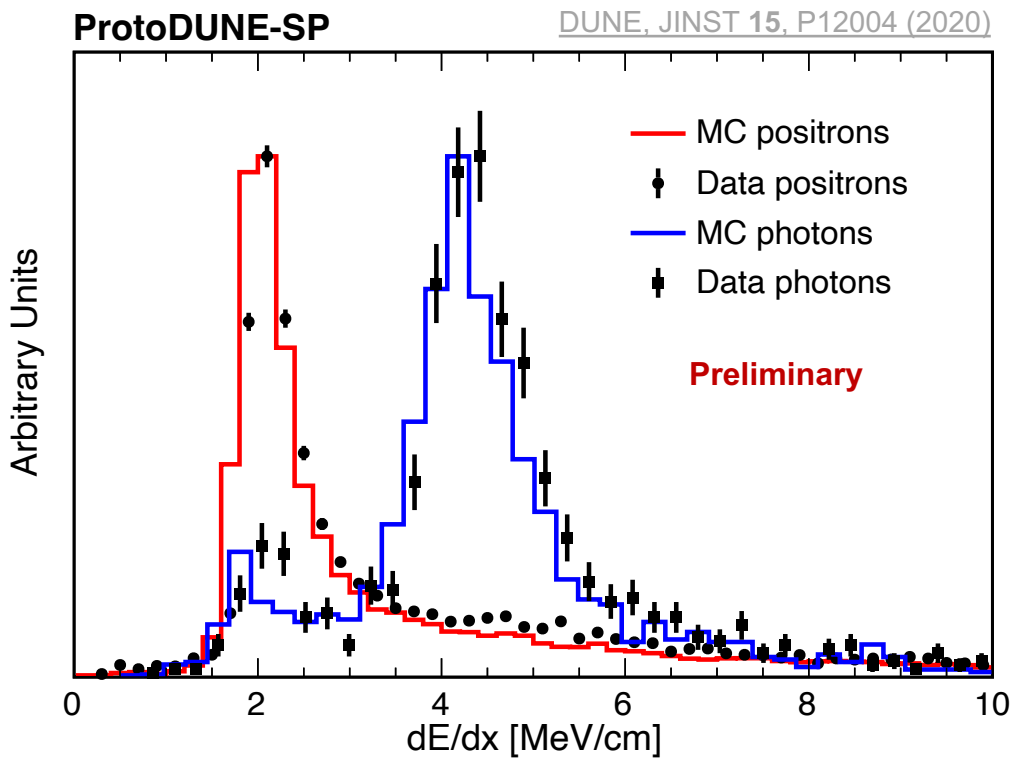
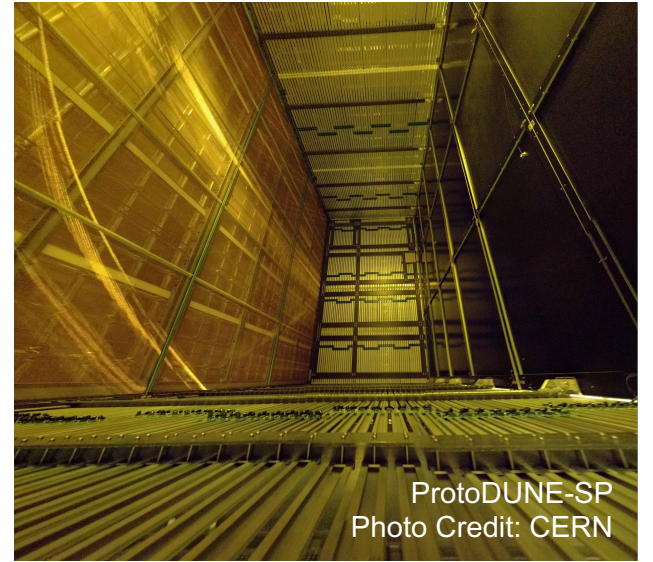
Matt Snape (Warwick) and
Philip Hamacher-Baumann (Aachen/Warwick)
August 2022, Warwick



ProtoDUNE

LArTPC Demonstrator at CERN for DUNE FD

- ❑ Hadron beams of 0.3-7 GeV/c
 - ❖ 4.7 mm wire spacing (same as FD)
 - ✓ *Versatile reconstruction in LAr*



e/γ separation

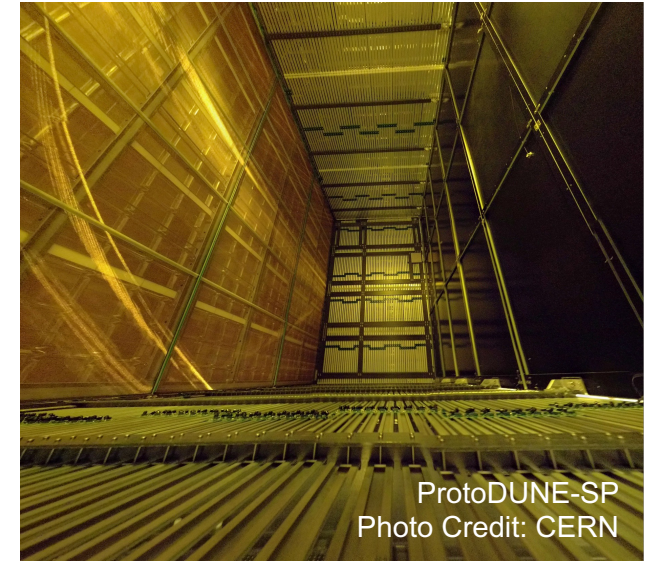


ProtoDUNE

LArTPC Demonstrator at CERN for DUNE FD

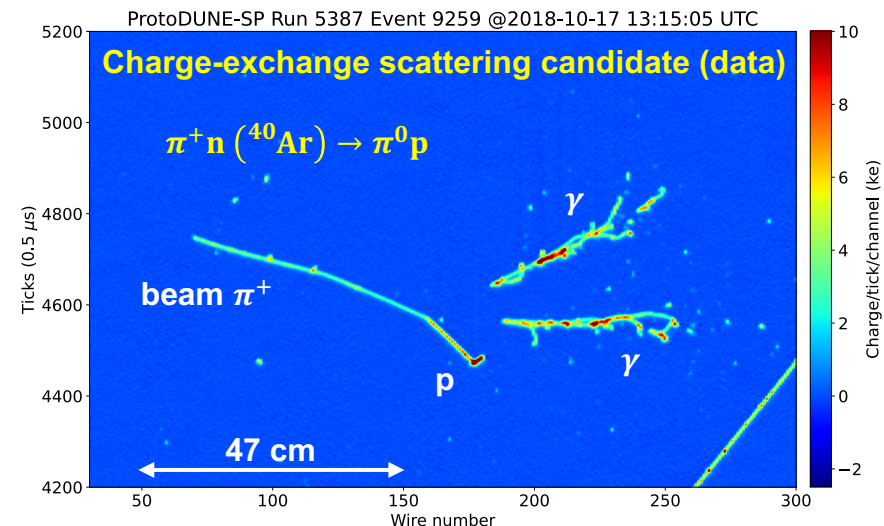
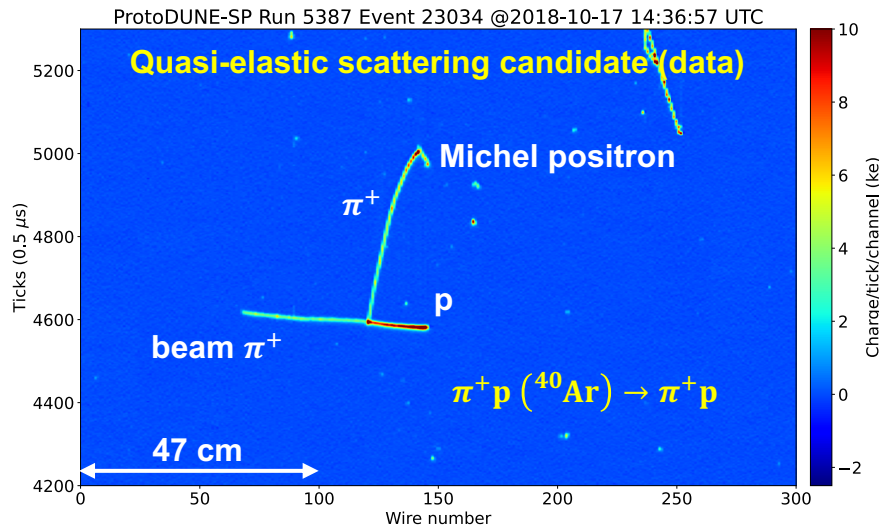
- ❑ Hadron beams of 0.3-7 GeV/c
 - ❖ 4.7 mm wire spacing (same as FD)
 - ✓ *Versatile reconstruction in LAr*
 - ✓ *hAr interactions to constrain ν -int. FSI*
 - ✓ *Exclusivity + beam energy, can “see” inside argon nuclei*

Exclusivity: to measure all final states (except nuclear remnant)

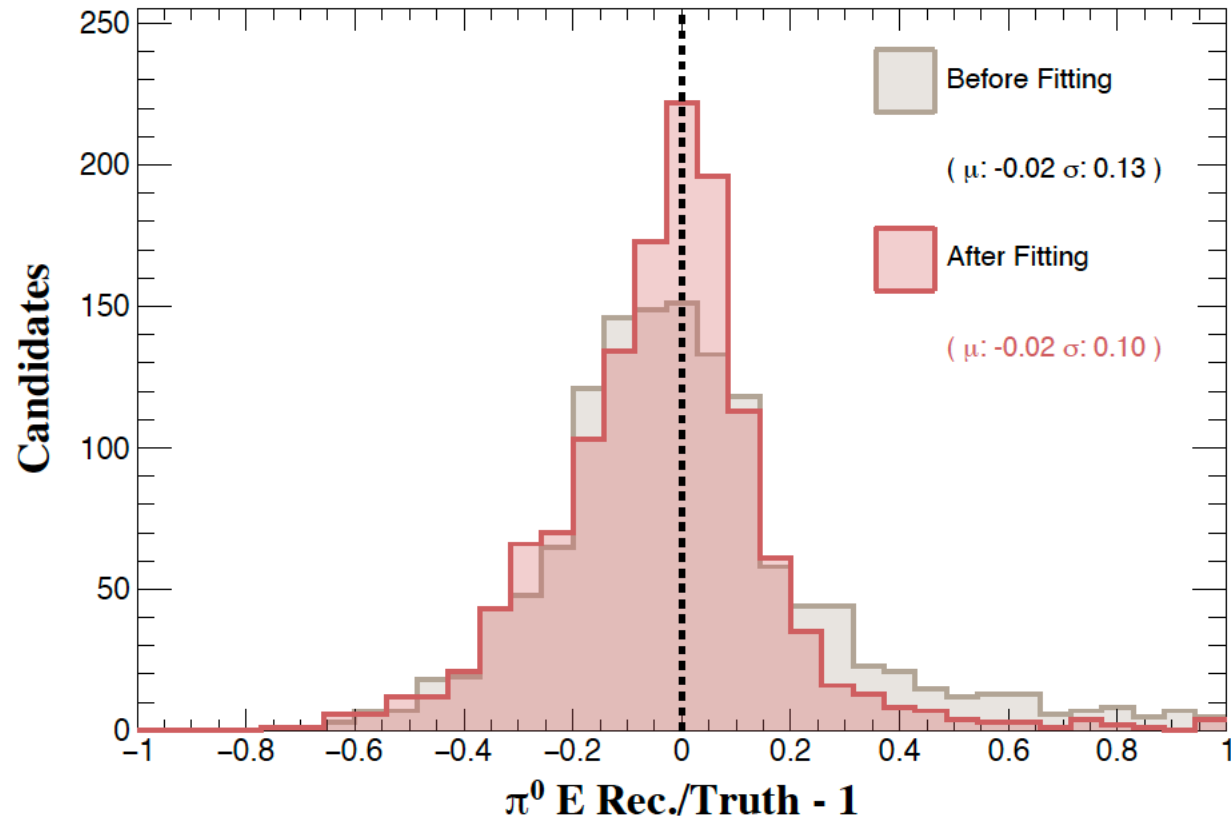


Exclusive event candidates

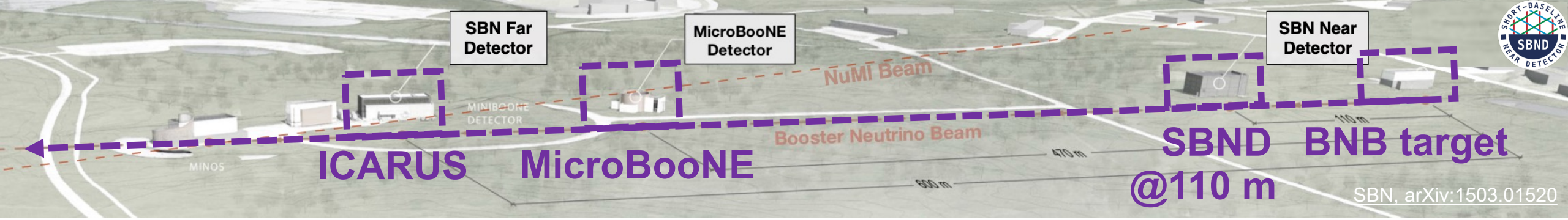
DUNE, JINST 15, P12004 (2020)



Kinematic fitting improves π^0 energy resolution from 13% to 10%



Kang Yang 杨康 (Oxford/Warwick)

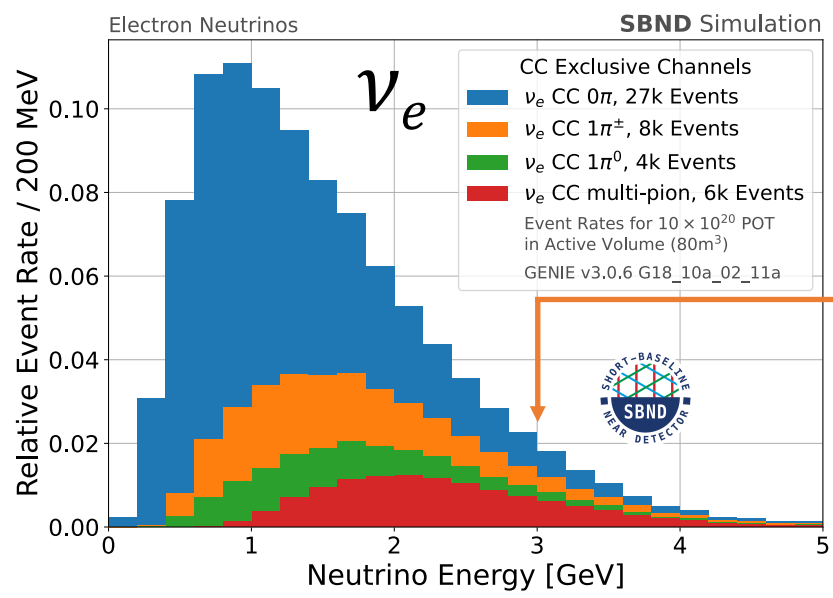
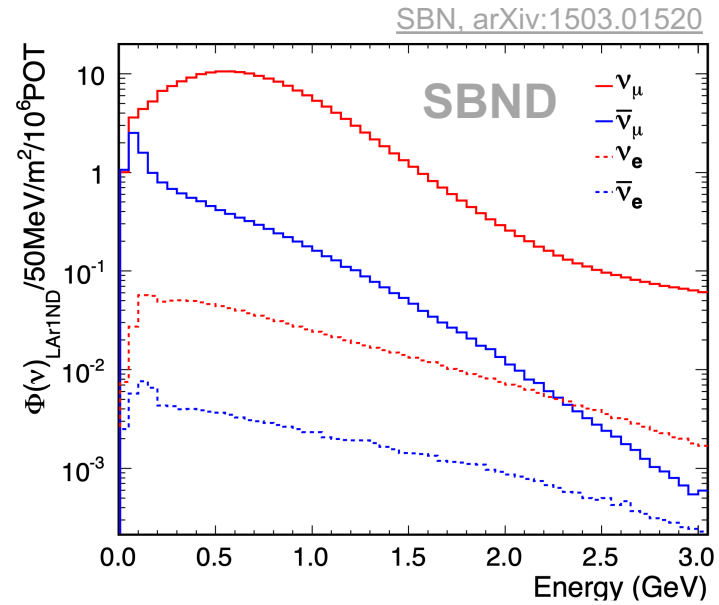


Detector

SBND

20~30 × current world ν Ar data

❖ Large statistics for ν_μ and ν_e



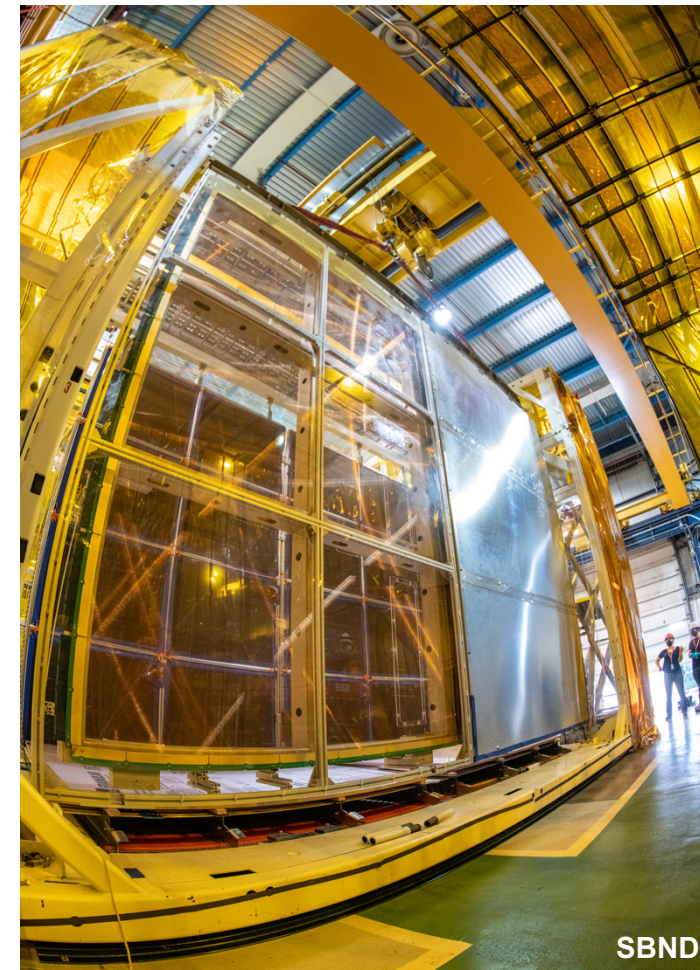
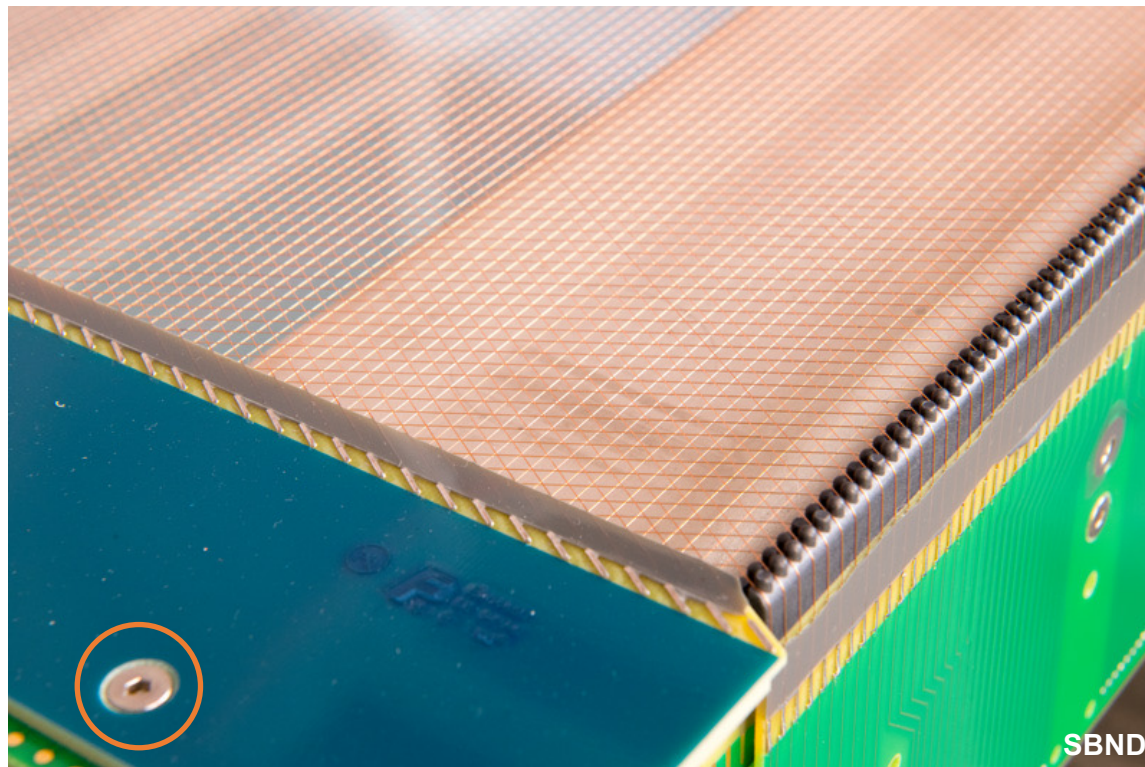
Tail up to 3 GeV

Detector

SBND

20~30 × current world ν Ar data

- ❖ 3 mm wire spacing (same as MicroBooNE and ICARUS)



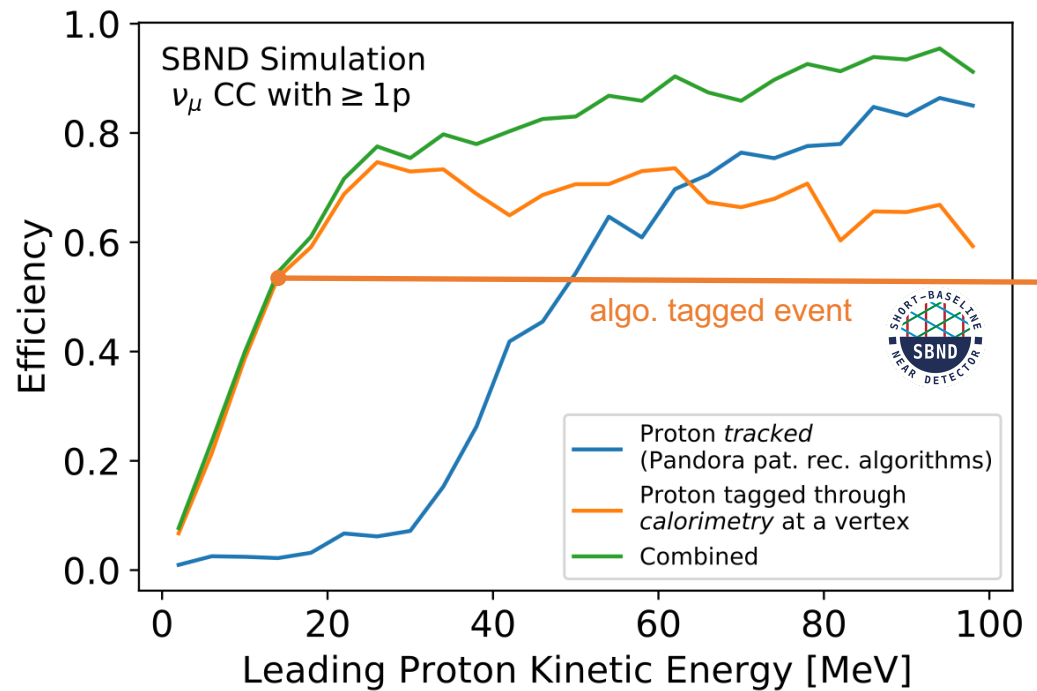


SBND

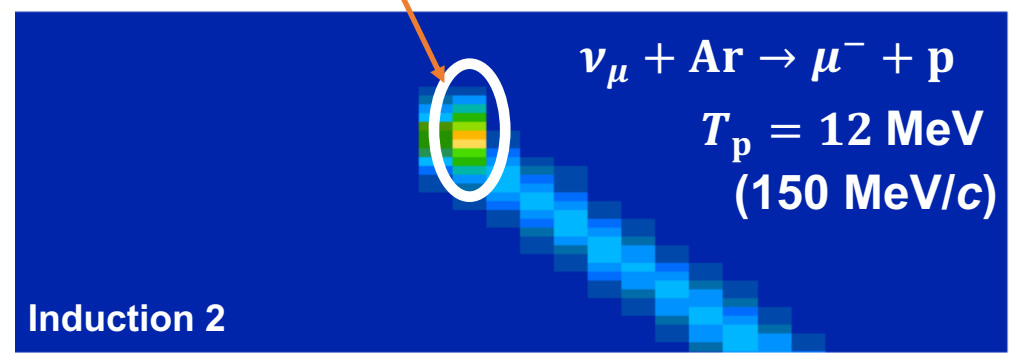
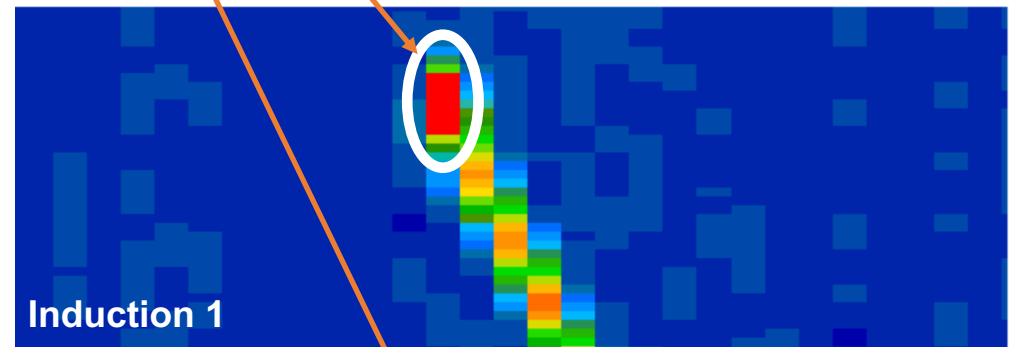
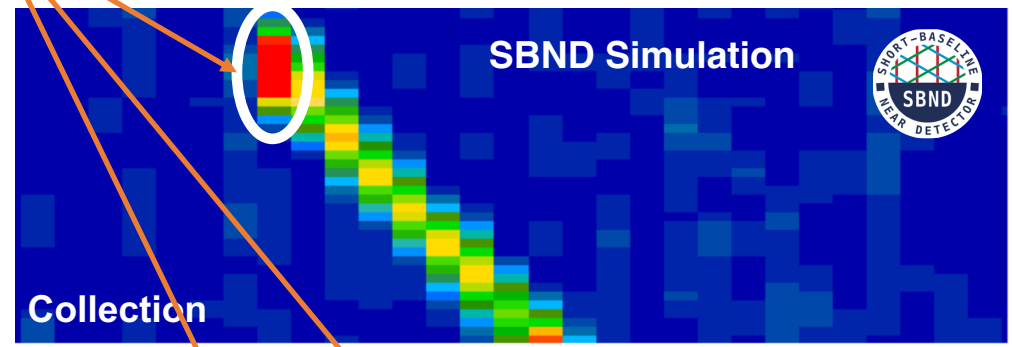
20~30 × current world ν Ar data

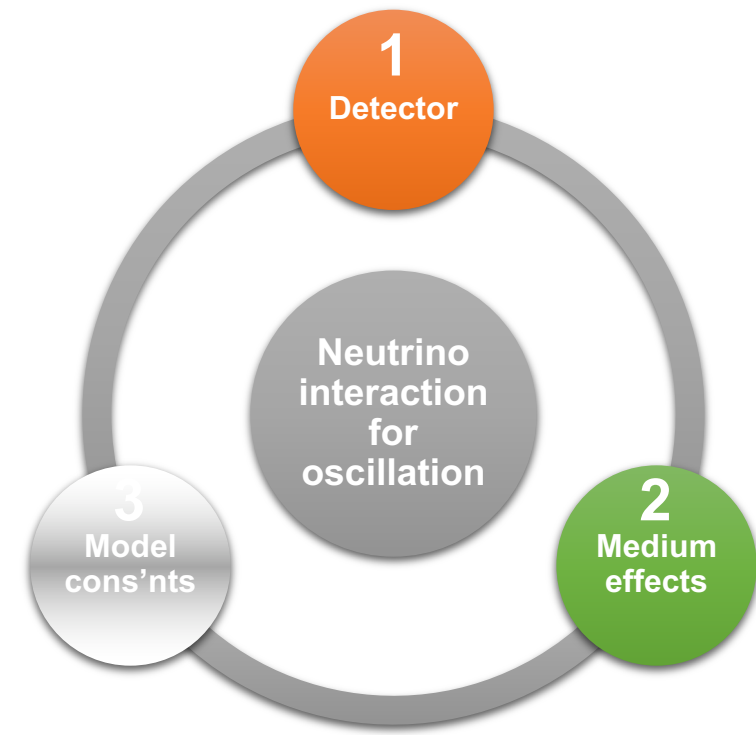
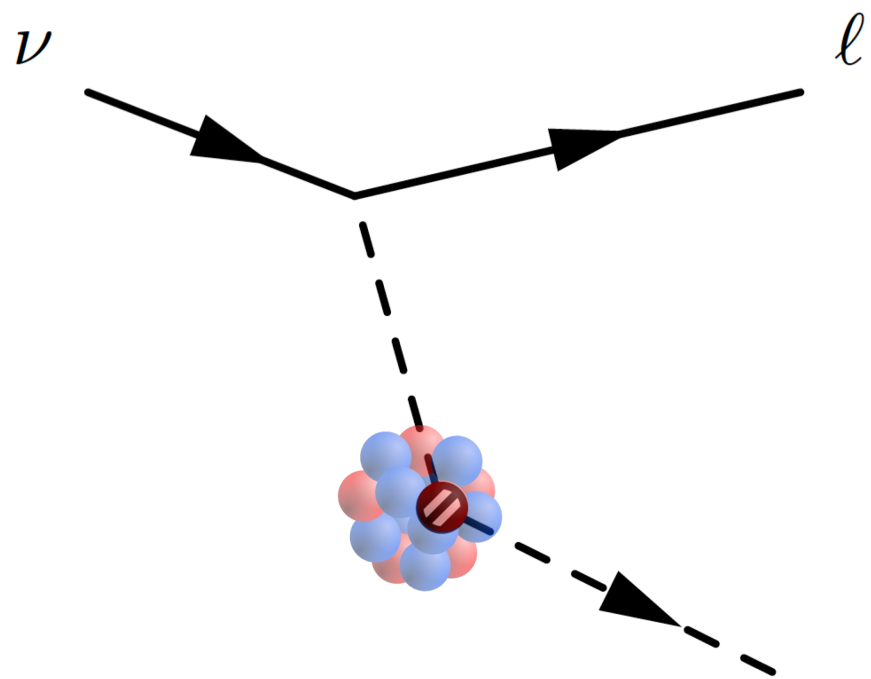
- ❖ 3 mm wire spacing (same as MicroBooNE and ICARUS)
- ✓ **Proton tracking threshold ~ 40 MeV (277 MeV/c)**
- ✓ **Proton tagging at vertex**

Exclusivity: to measure all final states (except nuclear remnant)

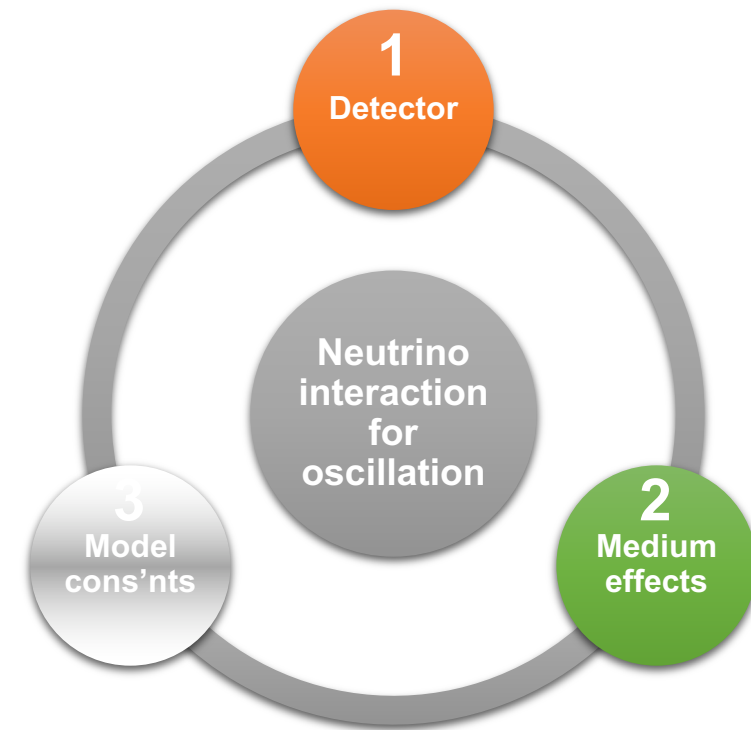
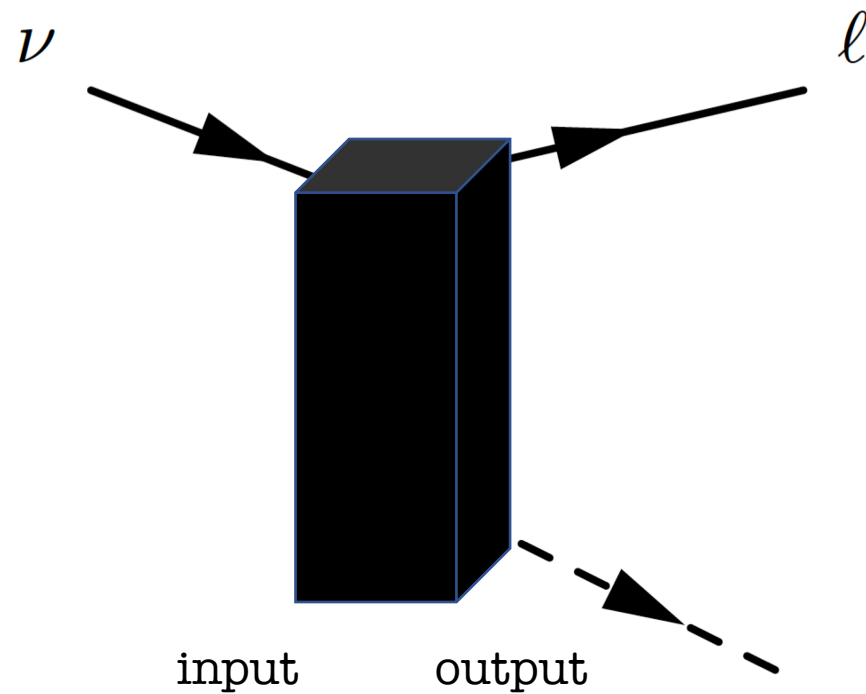


Tagged proton



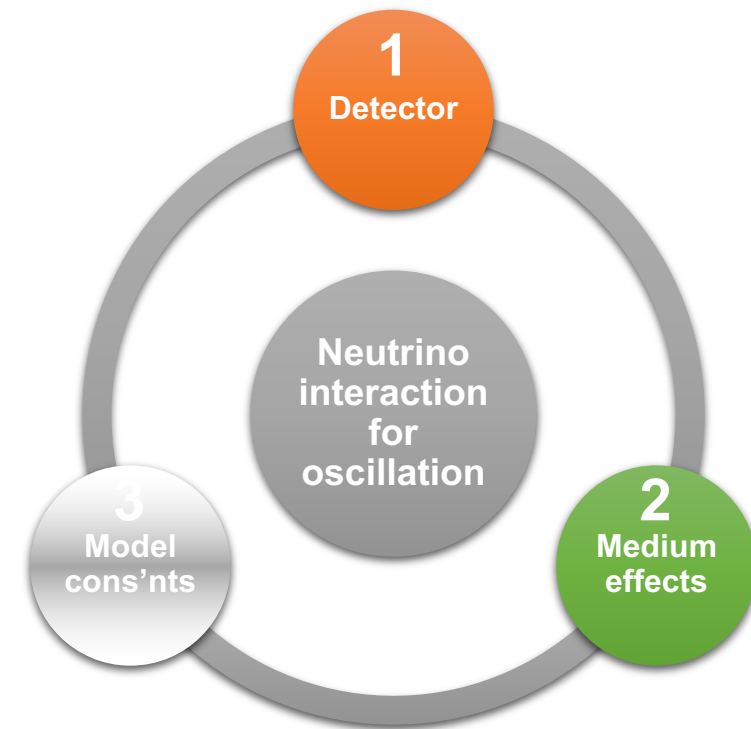
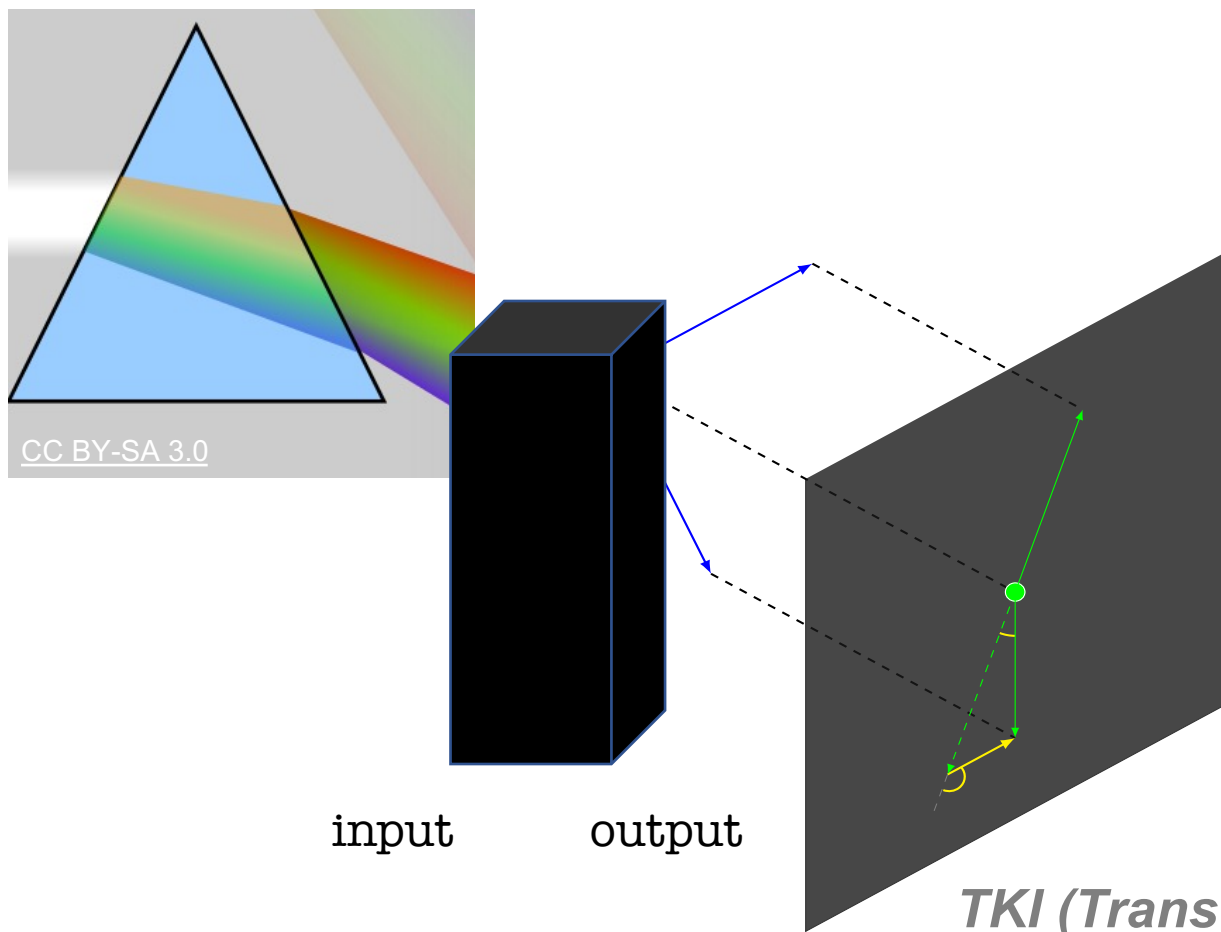


*Detector limit can be pushed,
but inside of a nucleus is
never allowed...*



*Detector limit can be pushed,
but inside of a nucleus is
never allowed...*

PRISM (Precision Reaction Independent Spectrum Measurement)

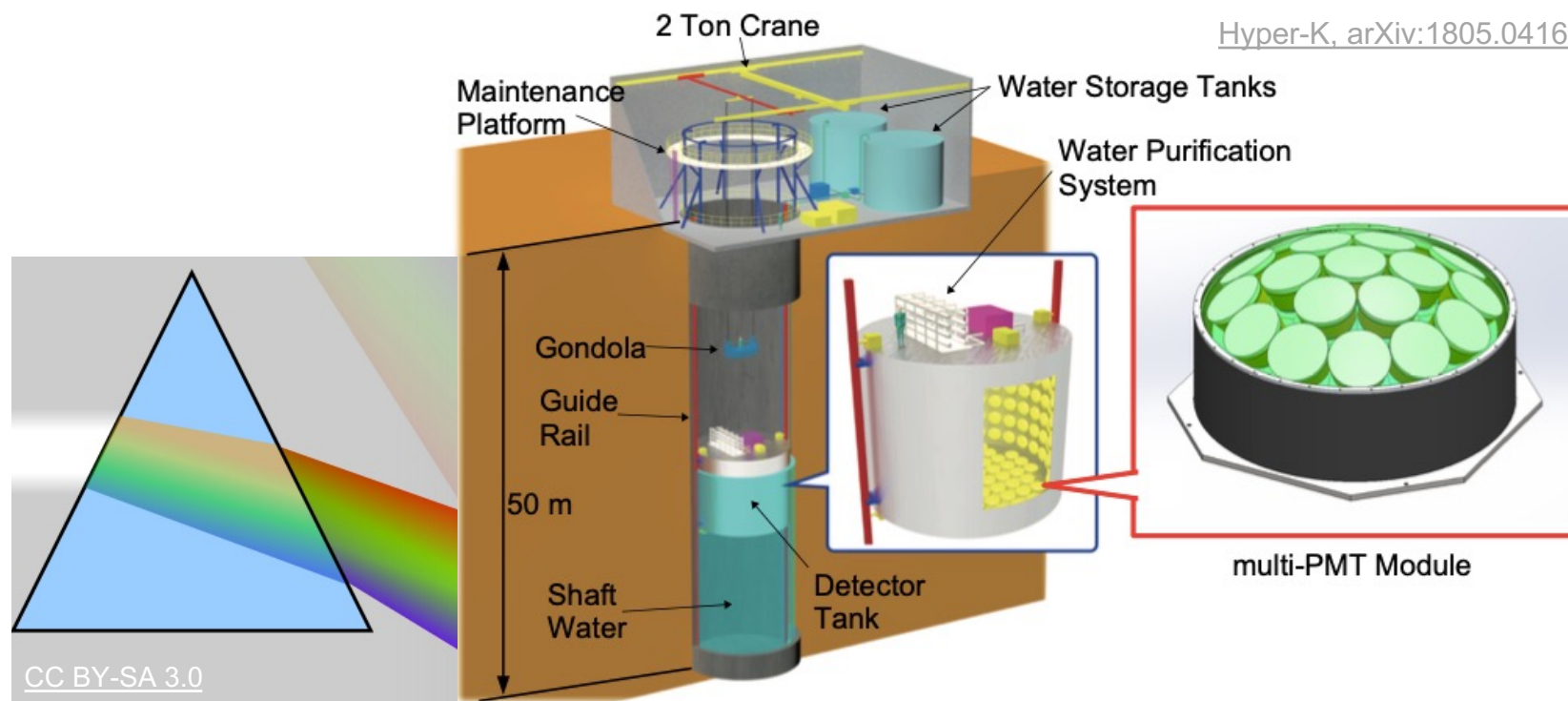


*Detector limit can be pushed,
but inside of a nucleus is
never allowed...*

Medium effects

Hyper-Kamiokande

- ❑ FD: water Cherenkov
- ❑ ND: IWCD (Intermediate Water Cherenkov Detector)
 - ❖ Same technology as FD
 - ❖ 50 m vertical shaft @ 750 m from beam source
 - ✓ **1°-4° off-axis (OA) angle (“PRISM Definition Part 1”)**



Medium effects

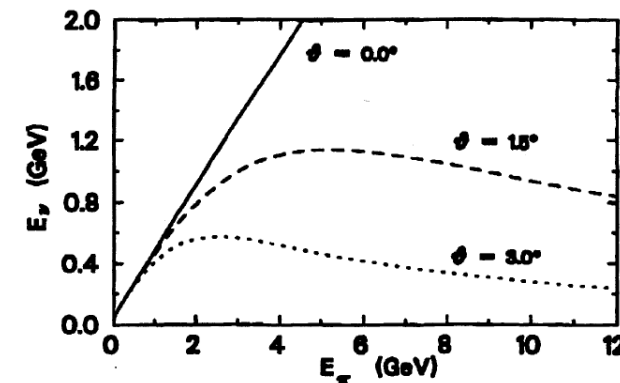
Hyper-Kamiokande

- FD: water Cherenkov
- ND: IWCD (Intermediate Water Cherenkov Detector)

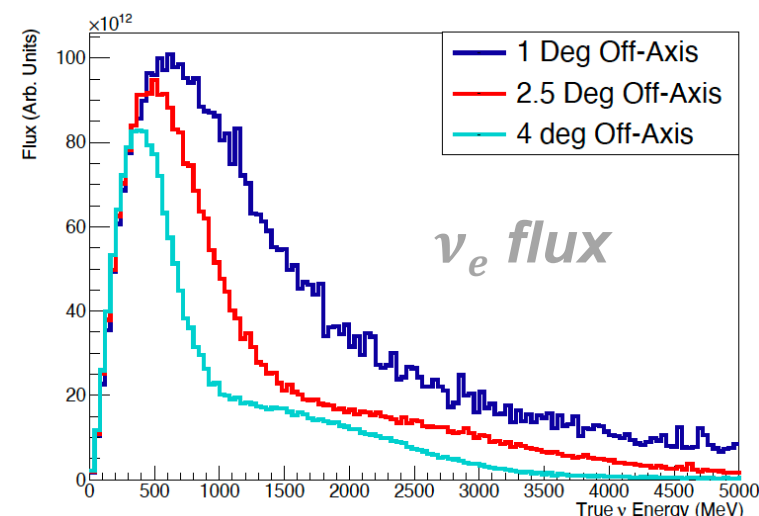
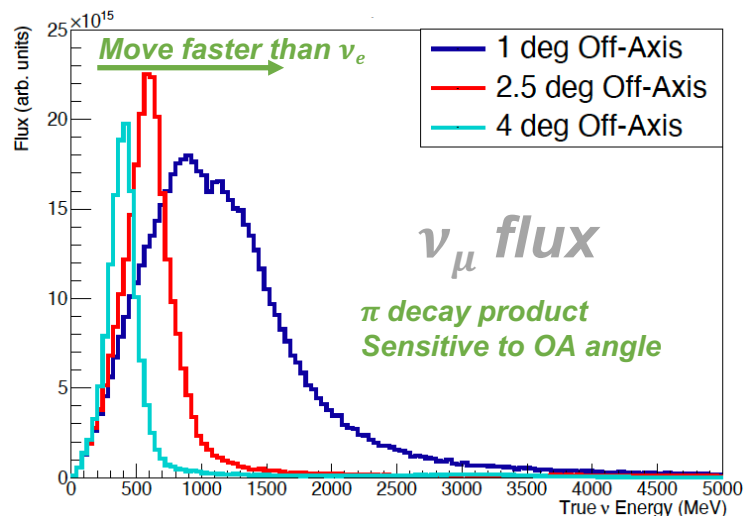
- ❖ Same technology as FD
- ❖ 50 m vertical shaft @ 750 m from beam source
 - ✓ 1°-4° off-axis (OA) angle (“PRISM Definition Part 1”)
- ❖ ~ 1% residual $\nu_e/\bar{\nu}_e$ beam components
 - ✓ Large fraction at far-OA angle
 - ✓ Constrain $\nu_e/\bar{\nu}_e$ (besides $\nu_\mu/\bar{\nu}_\mu$) cross sections on water (enabled by active γ shielding)

From energy, momentum conservation

$$E_\nu = \frac{m_\pi^2 - m_\mu^2}{2(E_\pi - p_\pi \cos \theta)}$$



Hyper-K, J. Phys. Conf. Ser. 2156, 012121 (2021)

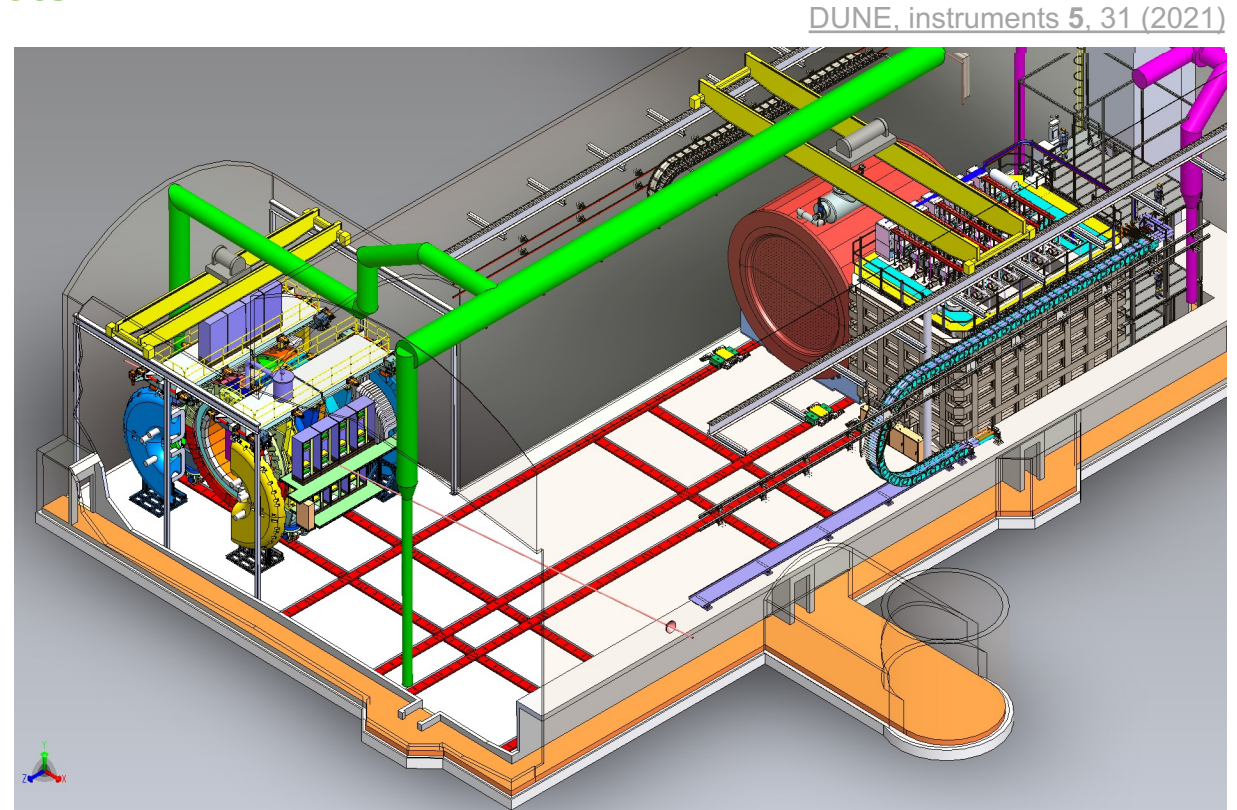
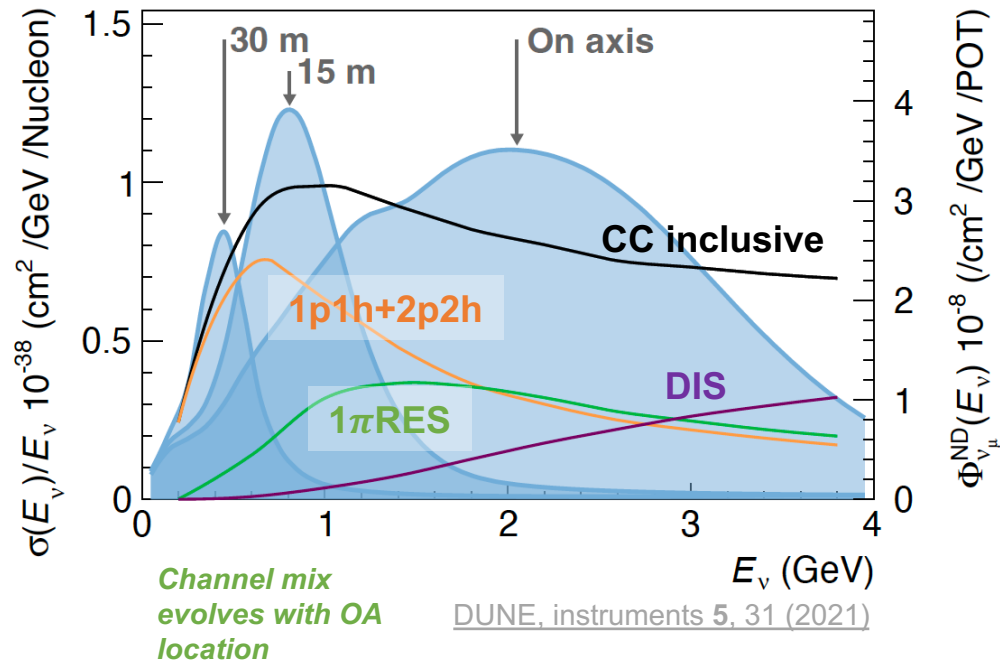


DUNE-PRISM

ND-LAr & ND-GAr

❖ Up to 30 m off axis @ 574 m from beam source

- ✓ 0° - 3° off-axis angle
- ✓ E_ν up to ~ 3 GeV, covering different interaction dynamics
- ✓ Probe energy-dependent medium effects



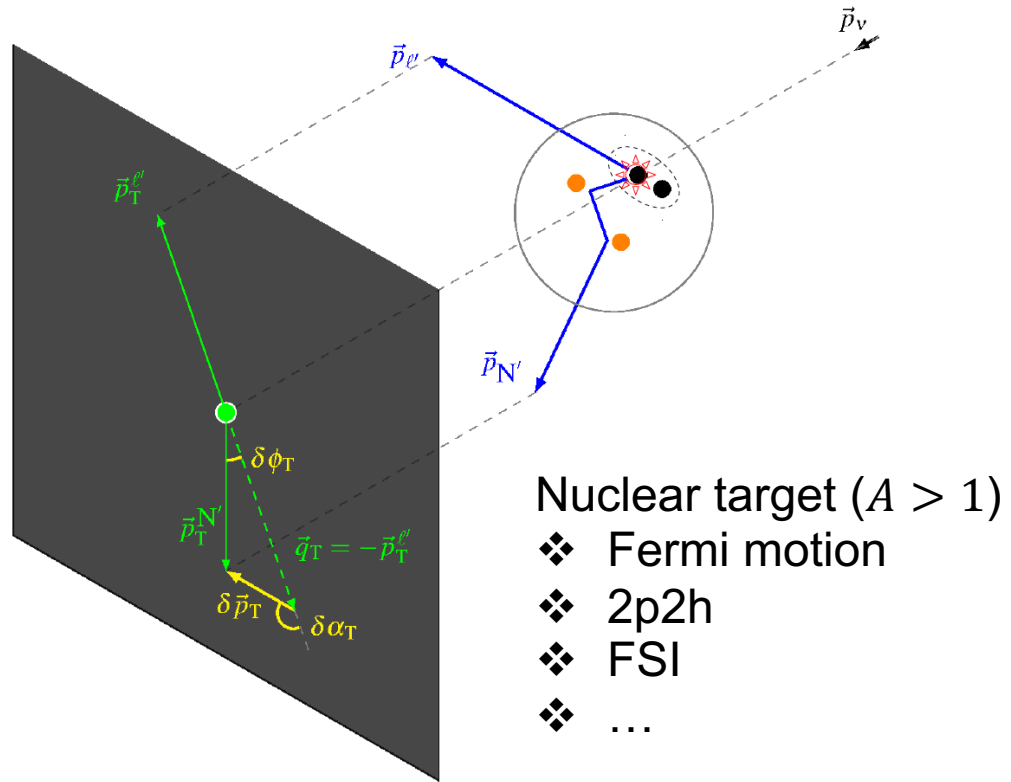
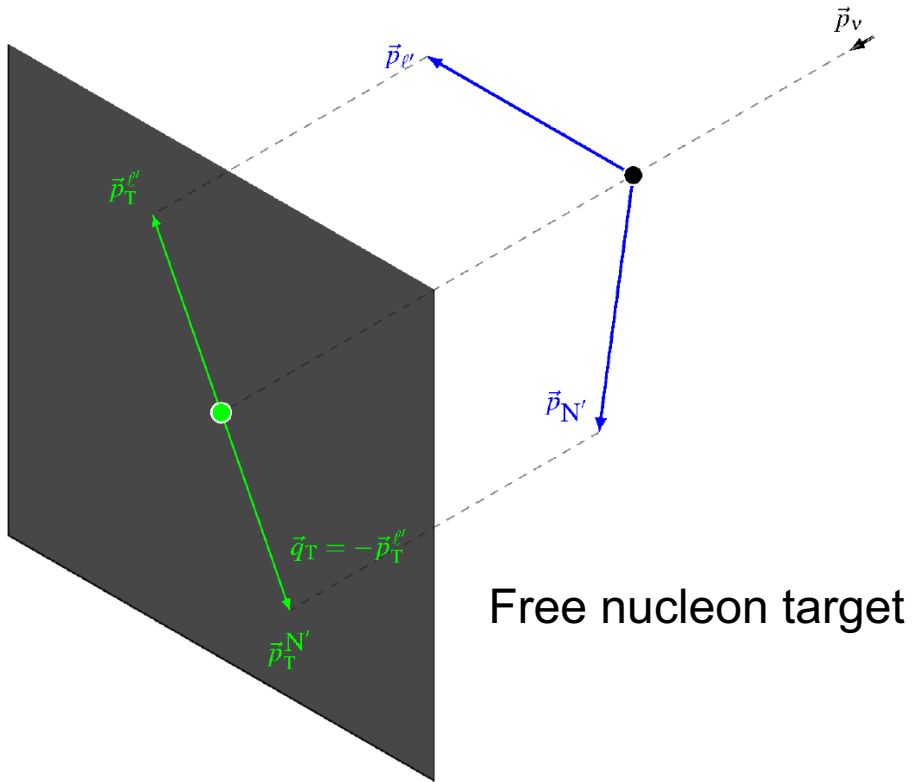
TKI (Transverse Kinematic Imbalance)

- ❑ TK orthogonal to **unknown** E_ν
- ❑ Embed in imbalance created by
 - ❖ Nucleus “contacting” medium
 - ❖ Detector loss & secondary interactions

✓ **Signature imbalance probing inside nuclei**

✗ **Mock nuclear effects**

Exclusivity: to measure all final states (except nuclear remnant)



Medium effects

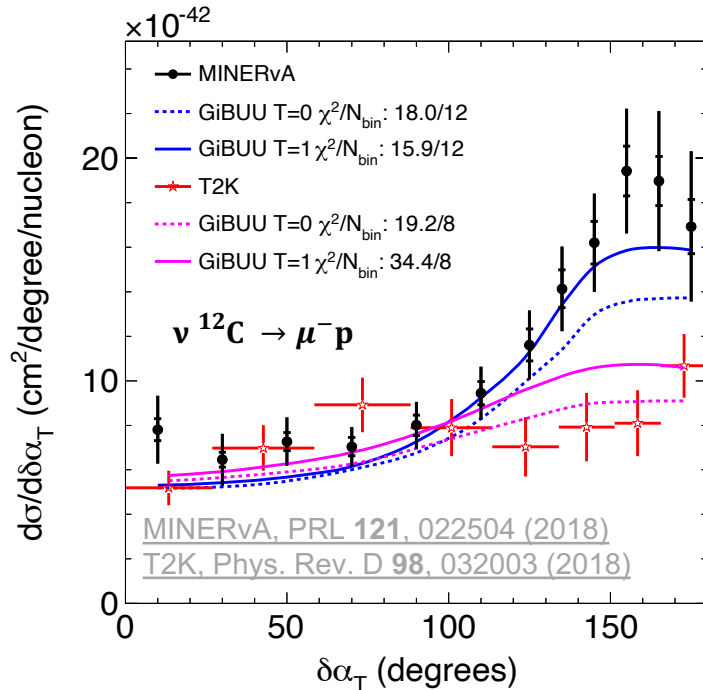
TKI

Transverse boosting angle

XL et al. Phys. Rev. C 94, 015503 (2016)

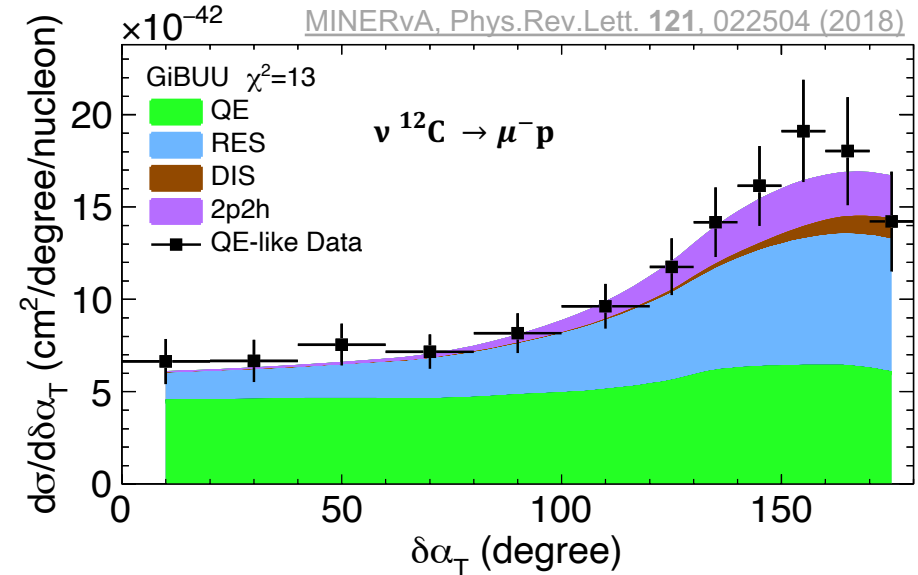
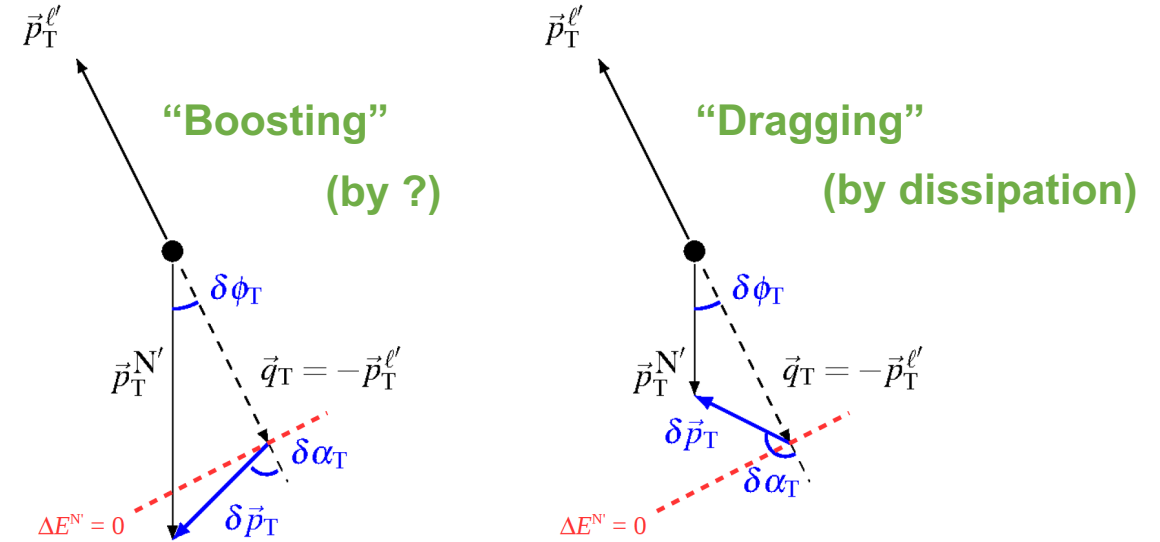
Quasielastic-like: $\nu^{12}\text{C} \rightarrow \mu^- \text{p}$

- ❖ 2p2h, resonance (π production + absorption)
- ✓ **Energy dependence** (T2K, MINERvA $E_\nu \sim 0.6, 3 \text{ GeV}$)



- ❖ 2p2h
- ❖ RES
- Develop above T2K energy

MINERvA, PRL 121, 022504 (2018)
T2K, Phys. Rev. D 98, 032003 (2018)



- ❖ 2p2h
- ❖ RES
- Dragging = Energy carried away by unobserved particles

MINERvA, Phys.Rev.Lett. 121, 022504 (2018)

$\nu_e/\bar{\nu}_e$ interactions

- ❑ δ_{CP} requires ν_e and $\bar{\nu}_e$ appearance
 - ✓ Suppress ν_e and $\bar{\nu}_e$ bkg in beams
- ❑ Need $\nu_e/\bar{\nu}_e$ interaction data
- ❑ ν_μ -A + lepton universality constrains ν_e -A to 1st order precision
- ❑ Oscillation requires 2nd order precision
 - ✓ *Higher statistics and better-understood fluxes*

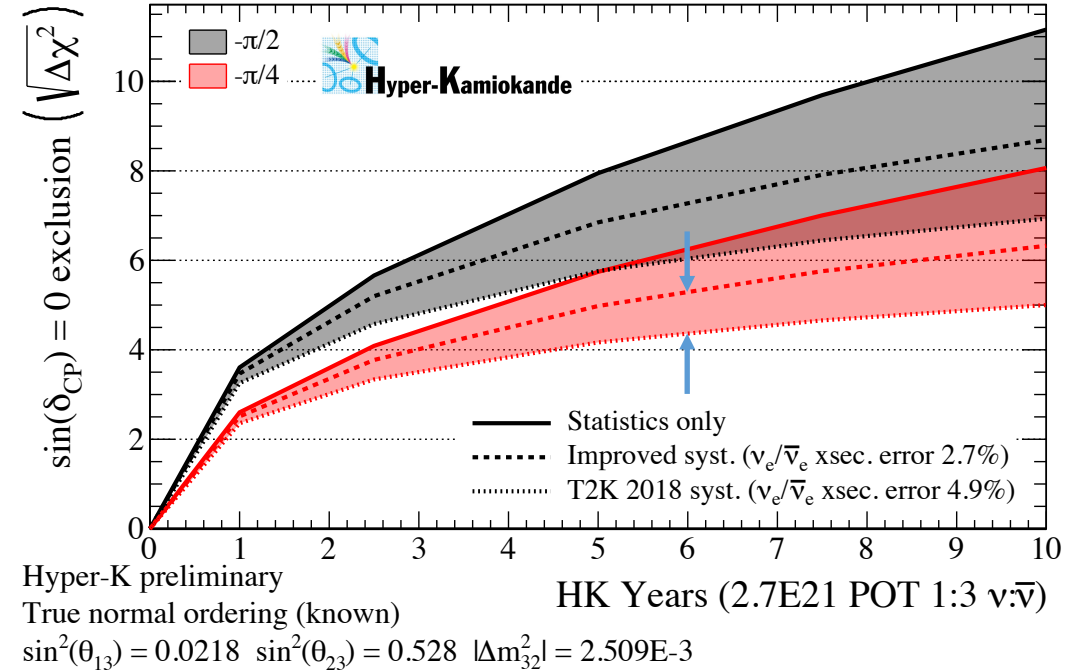
Lepton mass correction $m_\ell^2 + Q^2$ Hadronic/nuclear response

$$E_\nu^{\text{tree-level}} = \frac{m_\ell^2 + Q^2}{2(E_\ell - p_\ell \cos \theta_\ell)}$$

Lepton observables

- ❖ QED radiative corrections and lepton mass “nudge” Q^2 , shifting internal (q_0, \vec{q}_3) phase space

Jeanne Wilson's Hyper-K in S10 on Thursday

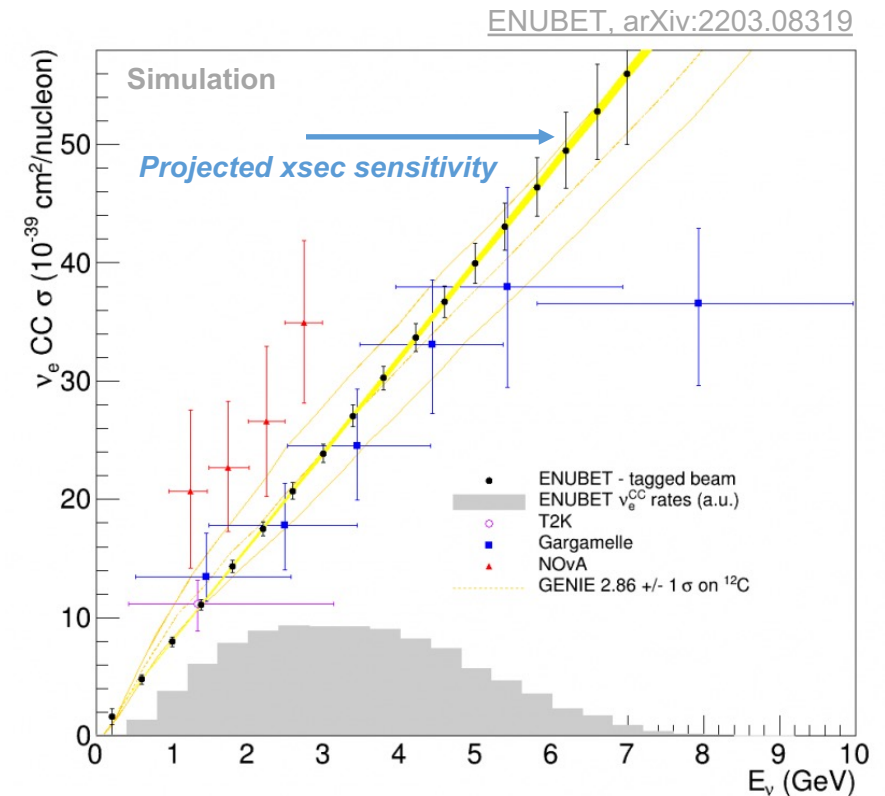
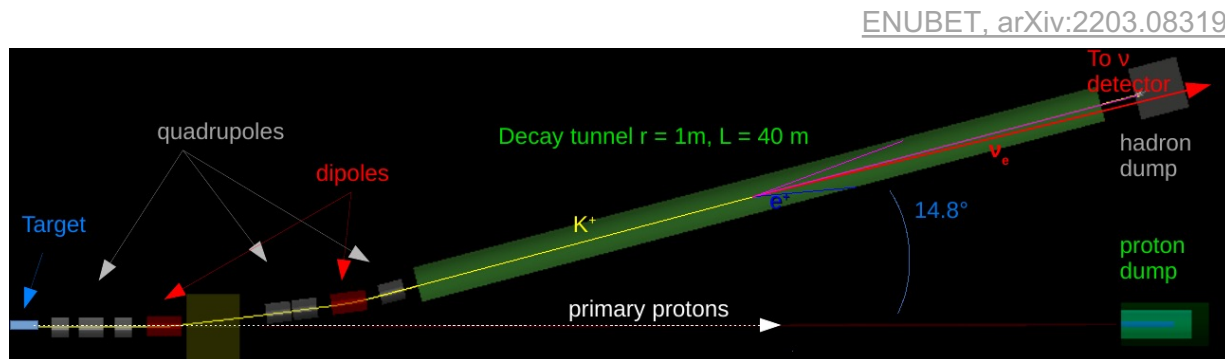


$\nu_e/\bar{\nu}_e$ interactions

- ❑ δ_{CP} requires ν_e and $\bar{\nu}_e$ appearance
 - ✓ Suppress ν_e and $\bar{\nu}_e$ bkg in beams
- ❑ Need $\nu_e/\bar{\nu}_e$ interaction data
- ❑ ν_μ -A + lepton universality constrains ν_e -A to 1st order precision
- ❑ Oscillation requires 2nd order precision
 - ✓ *Higher statistics and better-understood fluxes*

Enhanced Neutrino BEams from kaon Tagging (ENUBET)

- ❖ ν_e from e^+ tagging for $K^+ \rightarrow \pi^0 e^+ \nu_e$
- ❖ ν_μ from μ^+ tagging
- ❖ Flux uncertainty $\sim 1\%$



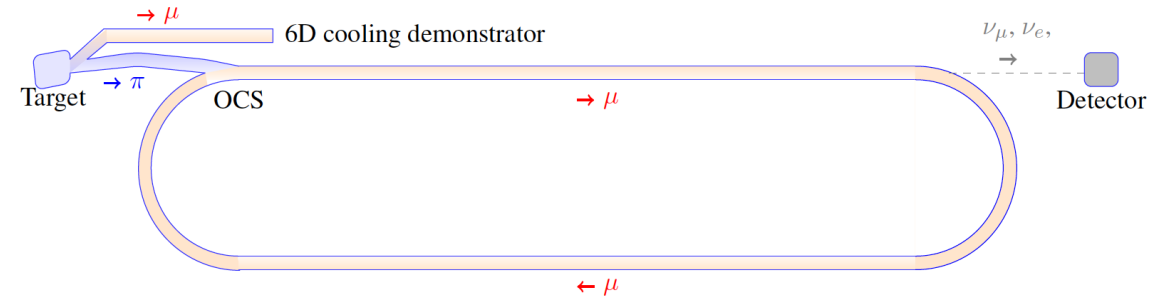
Model
constr't

$\nu_e/\bar{\nu}_e$ interactions

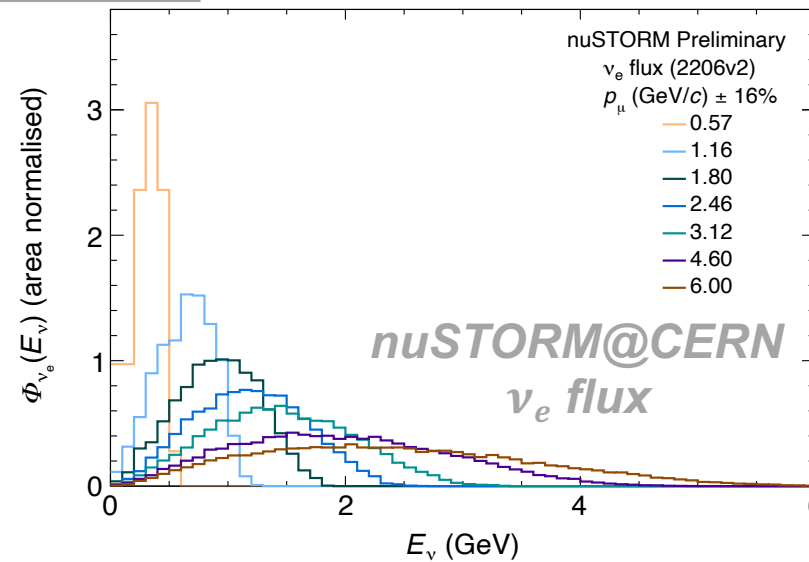
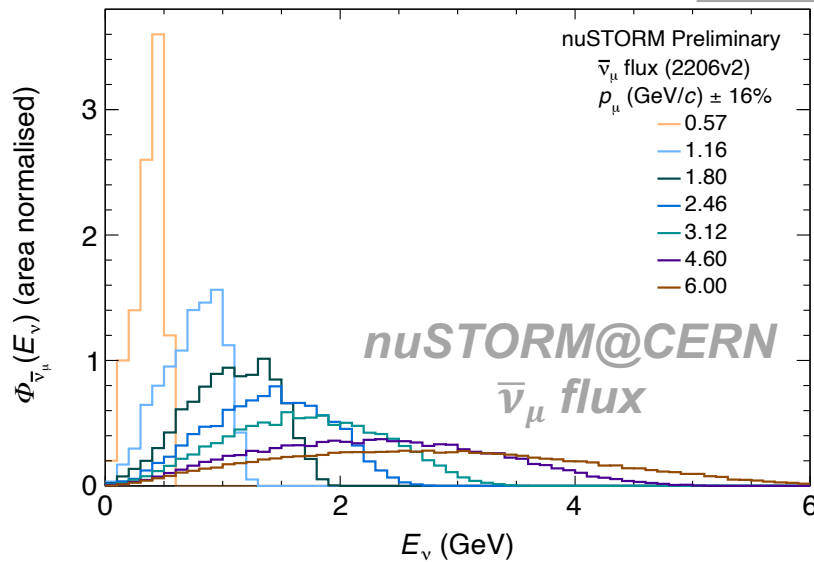
- ☐ δ_{CP} requires ν_e and $\bar{\nu}_e$ appearance
 - ✓ Suppress ν_e and $\bar{\nu}_e$ bkg in beams
- ☐ Need $\nu_e/\bar{\nu}_e$ interaction data
- ☐ ν_μ -A + lepton universality constrains ν_e -A to 1st order precision
- ☐ Oscillation requires 2nd order precision
 - ✓ **Higher statistics and better-understood fluxes**

☐ ν from STOREd Muons (nuSTORM)

- ❖ $\nu_\mu/\bar{\nu}_e/\bar{\nu}_\mu/\nu_e$ fluxes from μ^\pm decays
- ✓ **1% or better flux precision**



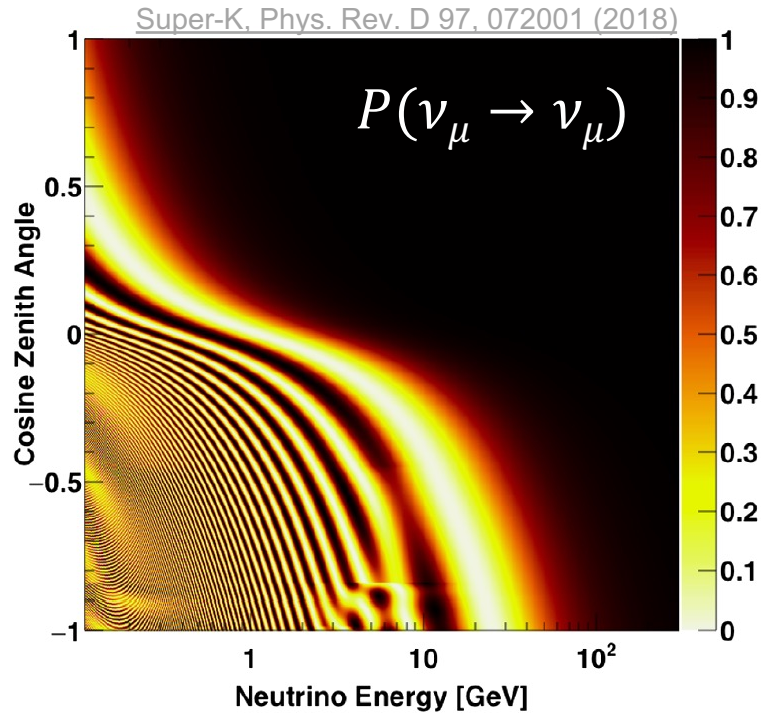
nuSTORM, arXiv:2203.07545



**Oscillation-relevant
energy regime**

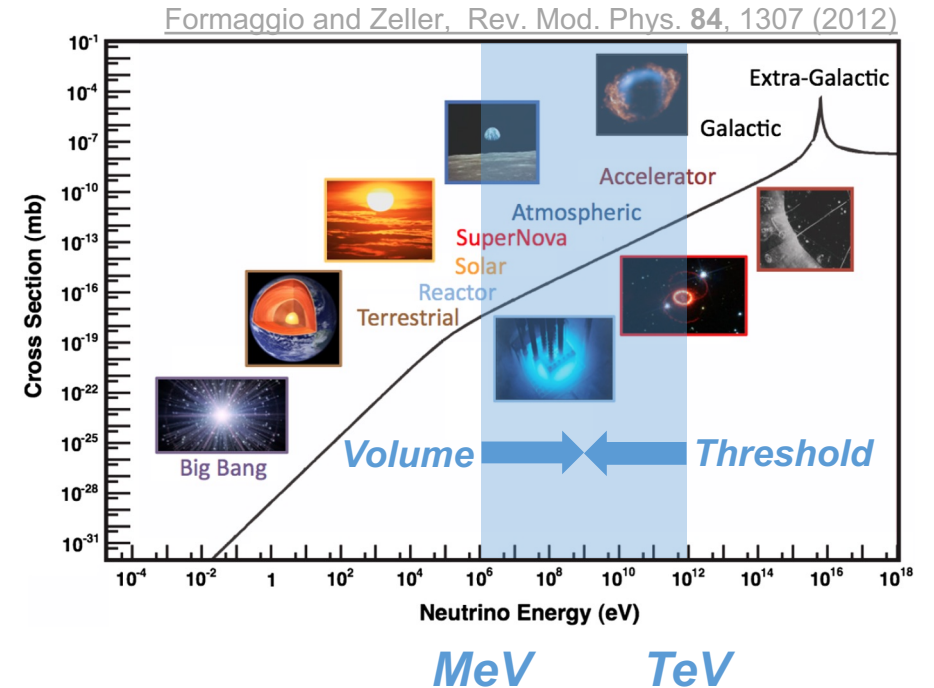
NMO with atmospheric ν

□ ν energy & angle for L/E -variation



GeV- ν interaction more critical and challenging

Future Oscillation Experiment	E_ν/GeV	Detector Technology	Target Nuclei
IceCube Upgrade	3-10 (NMO sensitive region)	Cherenkov in ice	H ₂ O
KM3NeT/ORCA		WC	H ₂ O
Atmos-ν @JUNO		LS	CH _{1.6}

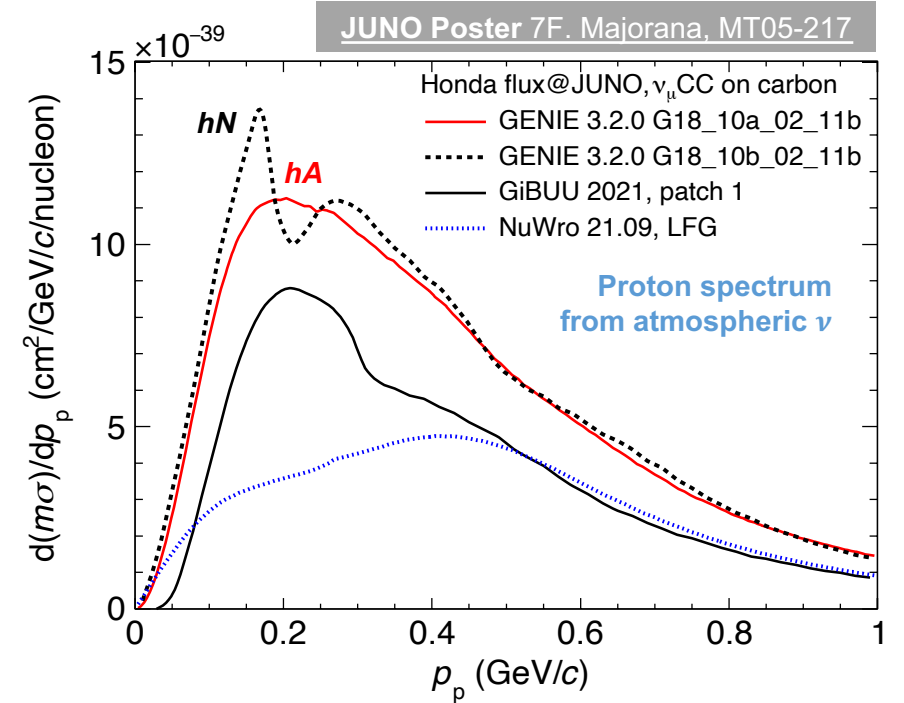


NMO with atmospheric ν

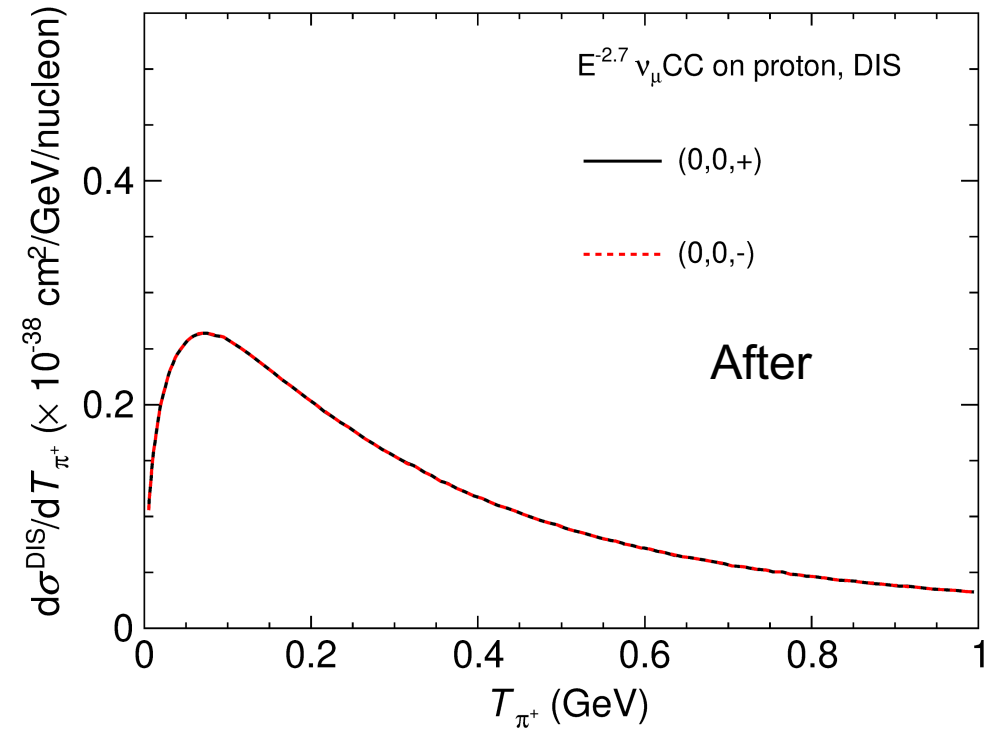
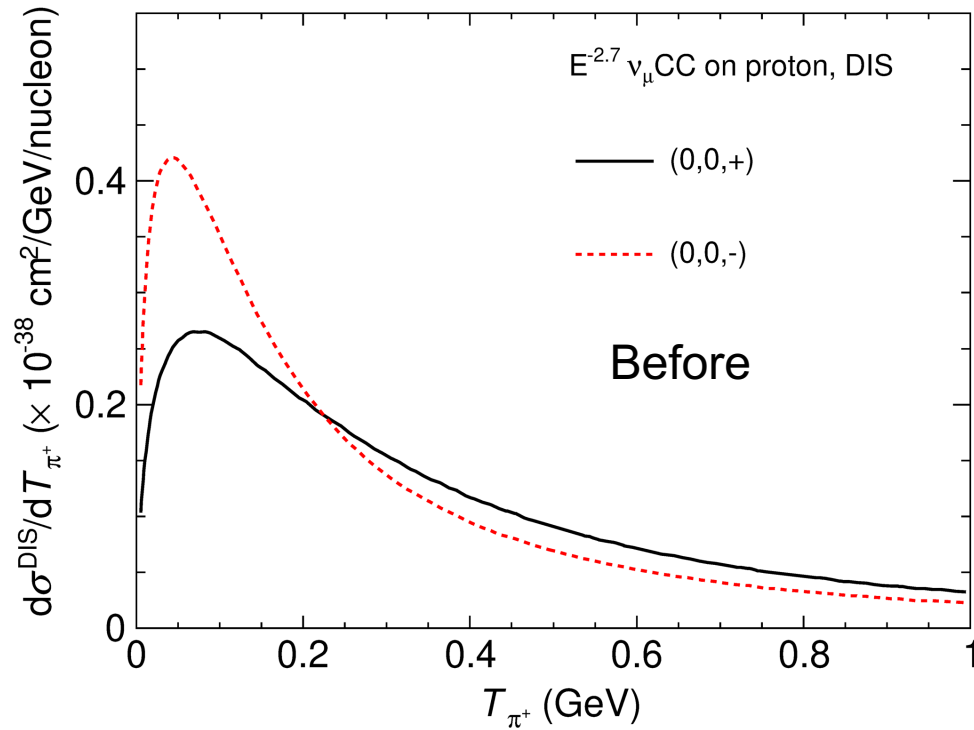
- ❑ ν energy & angle for L/E -variation
- ❑ No near detector
 - ❖ *flux \times interaction ambiguity*
- ❑ Sensitive to new unknowns
 - ❖ *E.g. unconstrained low-momentum proton production (450 MeV/c common tracker threshold)*
 - ❖ *Impact on very-low-threshold calo*

- ❑ Dedicated GeV- ν interaction measurements: MINERvA Medium Energy data
 - ✓ *E_ν peak at 6 GeV, tail up to 20 GeV*
 - ✓ *CH and nuclear targets*
 - ✓ *~ 10 M-event data set*

Future Oscillation Experiment	E_ν/GeV	Detector Technology	Target Nuclei
IceCube Upgrade	3-10 (NMO sensitive region)	Cherenkov in ice	H ₂ O
KM3NeT/ORCA		WC	H ₂ O
Atmos-ν @JUNO		LS	CH _{1.6}



Atmospheric neutrino interaction products: big surprise (fixed) in a very popular event generator
(Interesting story: <https://github.com/GENIE-MC/Generator/issues/226>)



Qiyu Yan 严启宇 (UCAS/Warwick)

Summary

Future oscillation experiments require *surgical precision* inside a *black box*

$$\text{Measurement} = (\text{flux} \times \text{interaction}) \oplus \text{detector effects}$$

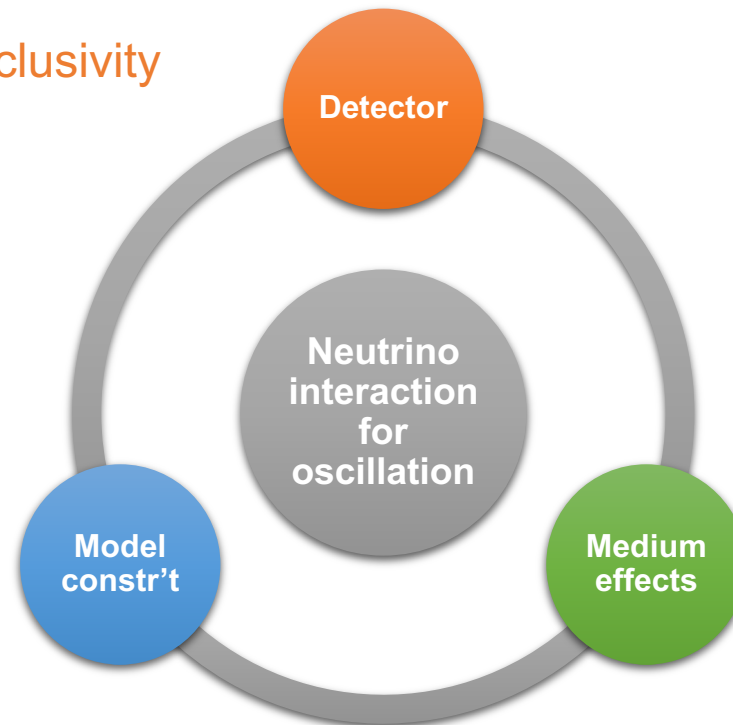
Technology pushing the limit of exclusivity

- ❑ Plastic scintillator tracker
- ❑ Liquid Argon TPC

Exclusivity: to measure all final states (except nuclear remnant)

Dedicated *ex situ* interaction measurements

- ❑ $\nu_e/\bar{\nu}_e$ interactions
- ❑ Atmospheric NMO measurements



Novel analysis methods

- ❑ PRISM flux scan
- ❑ Signature TKI

Awaiting the future

Detector

Technology: neutrons

- ✓ ***ν energy budget and event classification—missing piece for exclusivity***
- Tagging and calorimetry exist
- 4-momentum determination on the verge (e.g. time of flight)

Medium effects

Analysis methods: ν -hydrogen interaction

- ✓ ***Complete removal of medium effects***
- Established: statistical subtraction between targets
- Ideas: exclusivity + TKI event-by-event selection using mass-scalable H-based compounds

Model constr't

Ex situ interaction measurements: precise nuclear response

- ✓ ***Break flux \times interaction ambiguity***
- Electron scattering + exclusivity for initial-and final-state effects (not vertex)

BACKUP