



宇宙学一级相变与物质、暗物质 和原初黑洞的起源

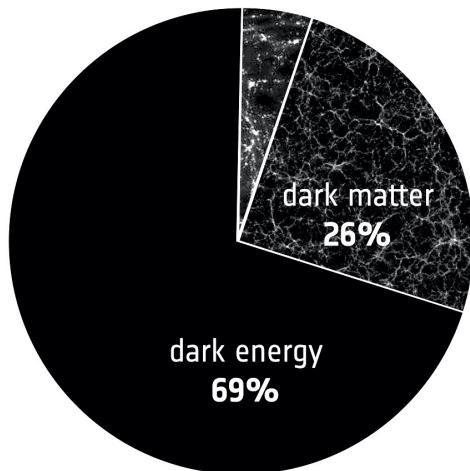
谢柯盼 (Ke-Pan Xie)

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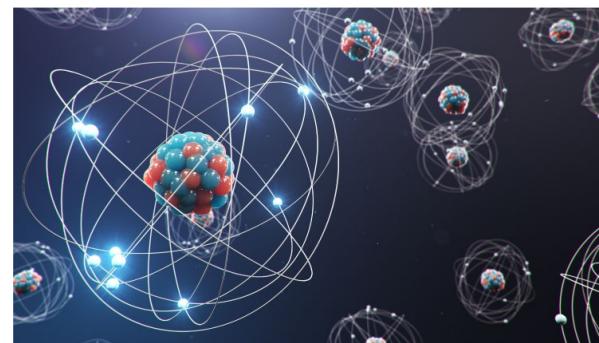
2023.4.26 @中科院高能所

我们生活的宇宙，充满了谜团

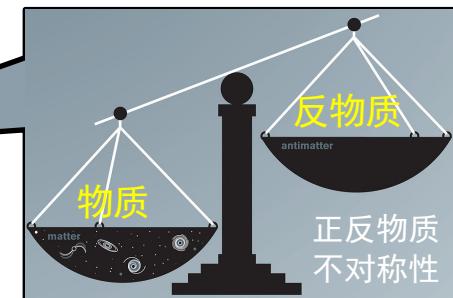
当今宇宙的能量分布



可见物质 5%



反物质失踪了！



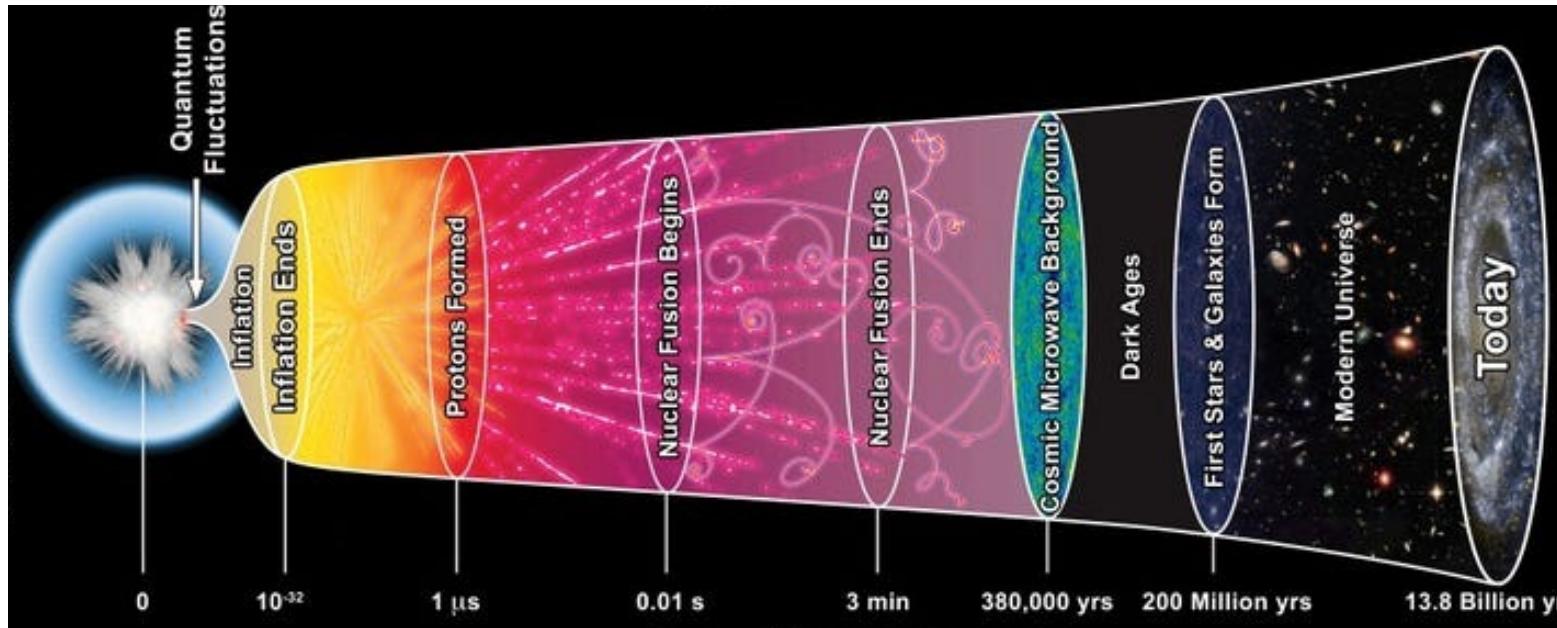
粒子物理标准模型——“已知的宇宙”

暗物质 26% [???

暗能量 69% [???

} 未知的宇宙.....

这些谜团存在已久



大爆炸后约0.1纳秒~1秒内，正反物质不对称性就已经产生，暗物质已经形成

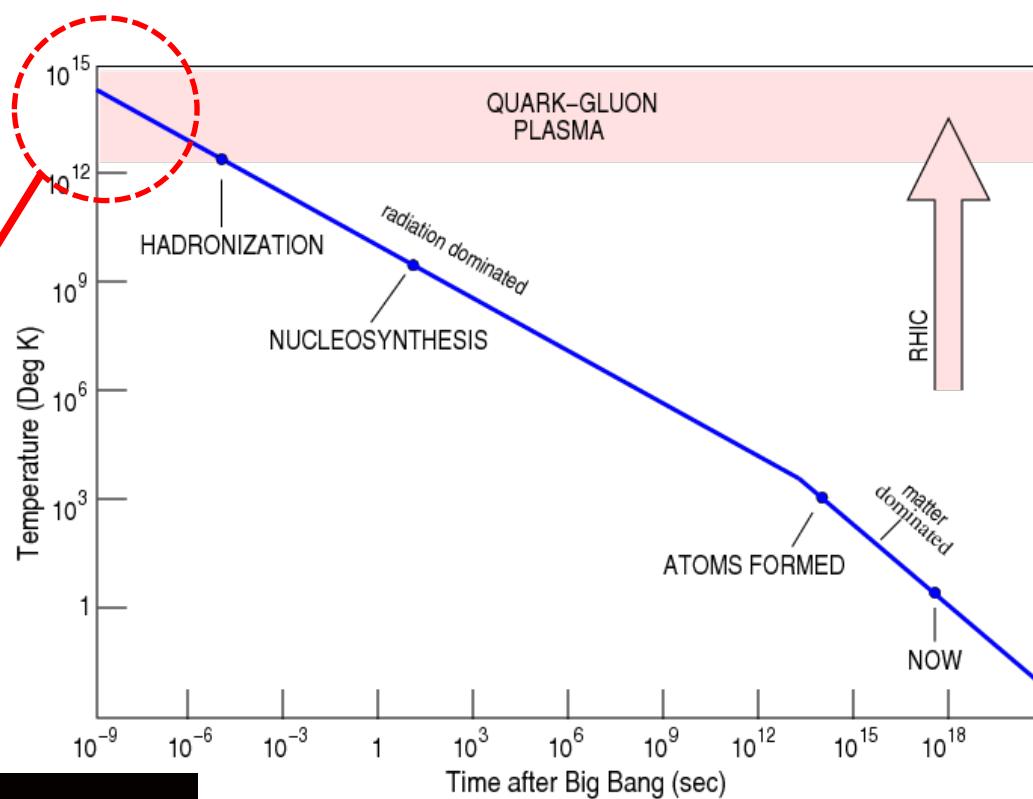
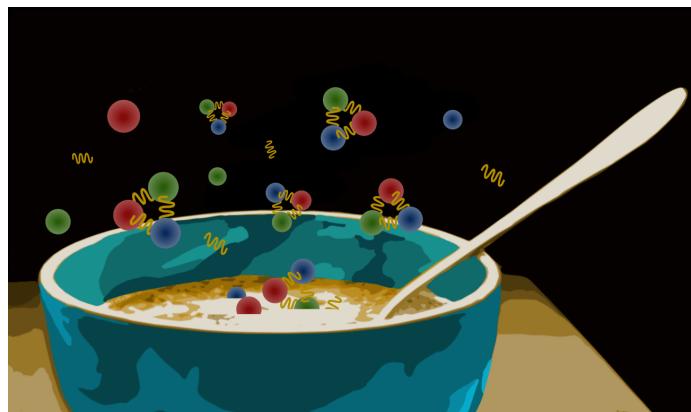
- 1920年代起，就陆续有暗物质存在的证据；至1970年代，暗物质成为被广泛承认的未解之谜，持续至今
- 正反物质不对称性于1960年代被注意到，一直没有妥善的解答

追溯早期宇宙的历史

早期宇宙的显著特点：



粒子宇宙学



基本粒子（电子、中微子、夸克……）以等离子体的形式存在
宇宙像一锅炽热的汤

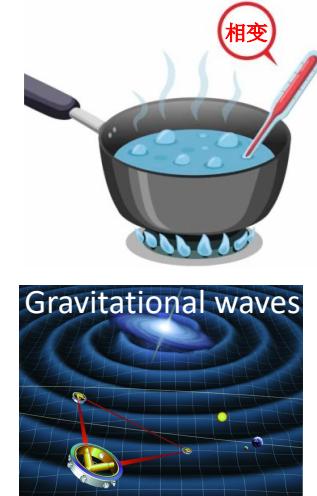
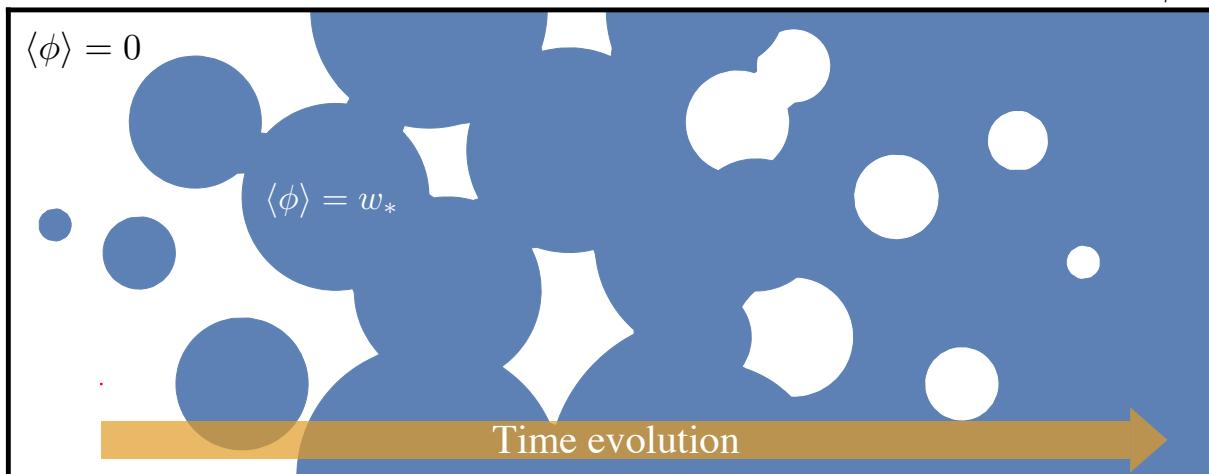
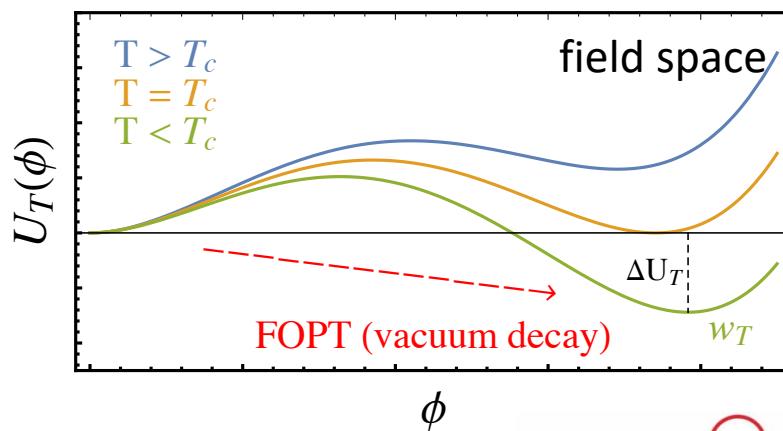
Solving the puzzles with a first-order phase transition

Vacuum decay

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - U(\phi)$$



Thermal corrections
 $U(\phi) \Rightarrow U_T(\phi, T)$



Boiling of the Universe, vacuum bubble nucleation and expansion

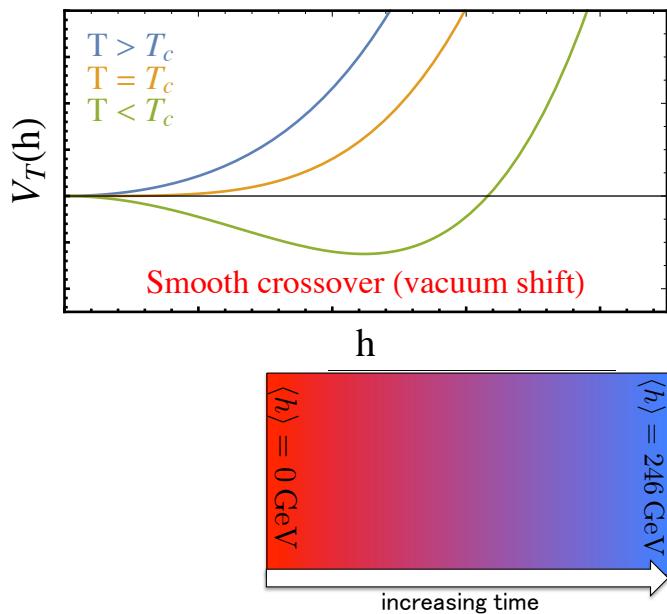
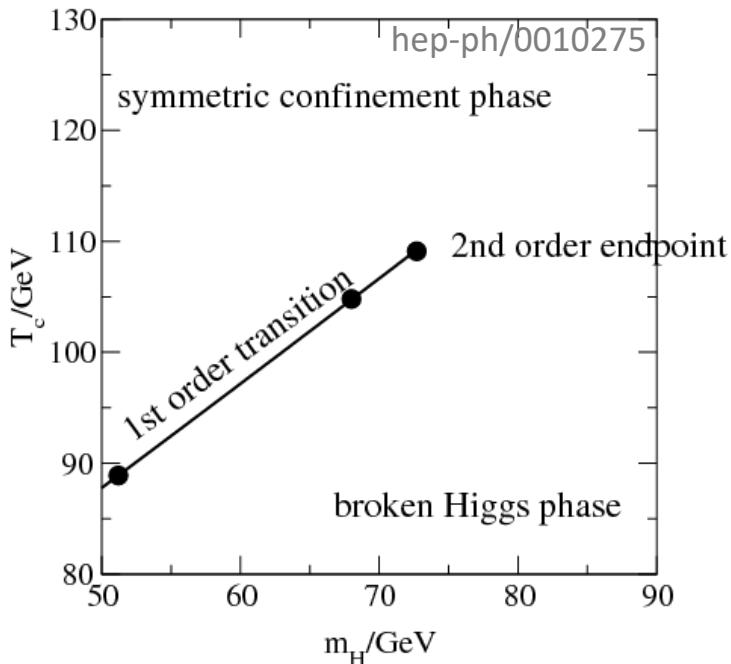
If $\phi = h$ (SM Higgs) – first-order electroweak phase transition

If ϕ = new physics field – general FOPT

3 main directions of research on a boiling Universe

1. The dynamics of FOPT;
2. FOPTs in new physics models;
3. New physics mechanisms based on FOPTs

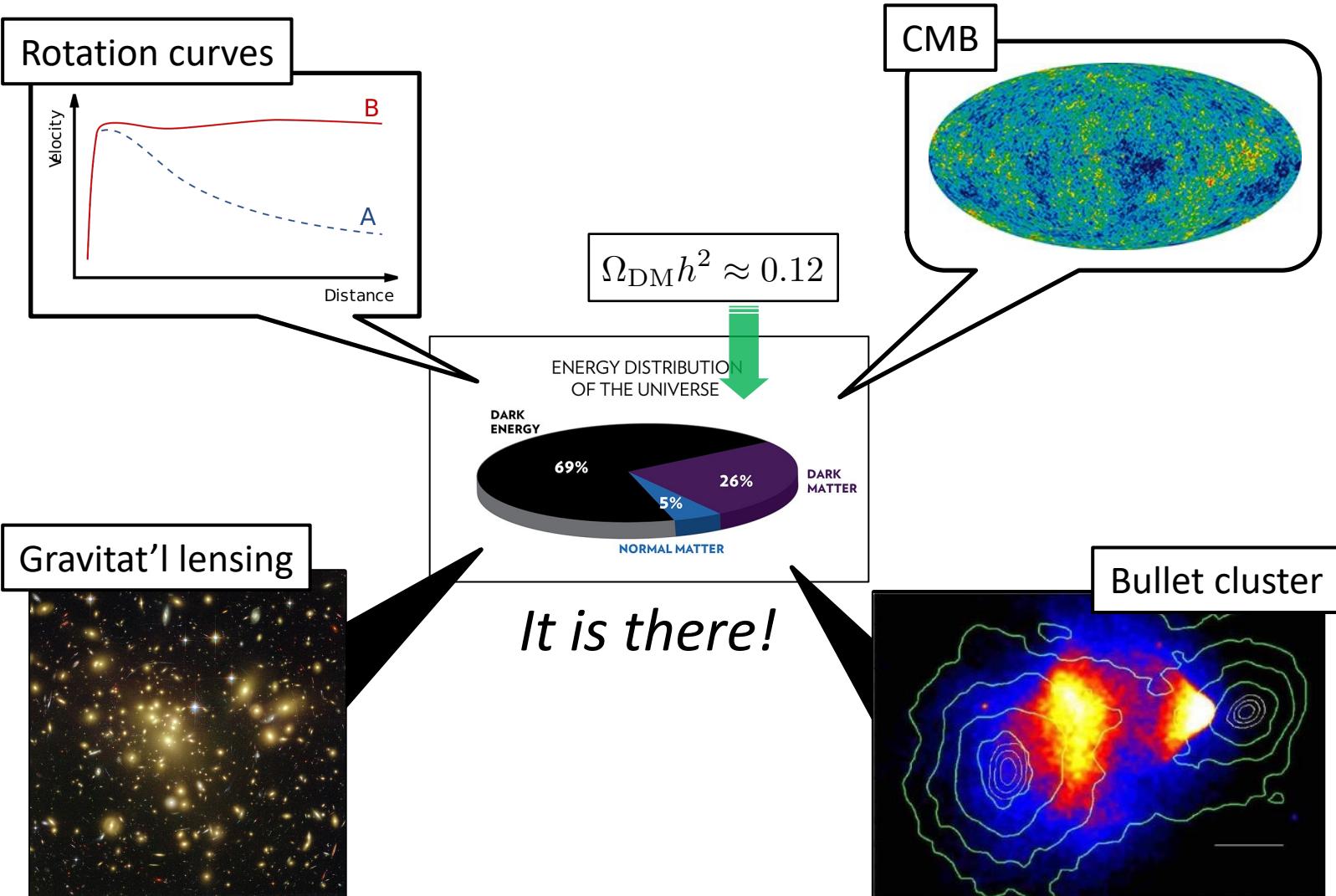
There is NO FOPT in the Standard Model



FOPT \Rightarrow new physics

First-order EW phase transition of h , or FOPT of a new field ϕ

The mystery of dark matter

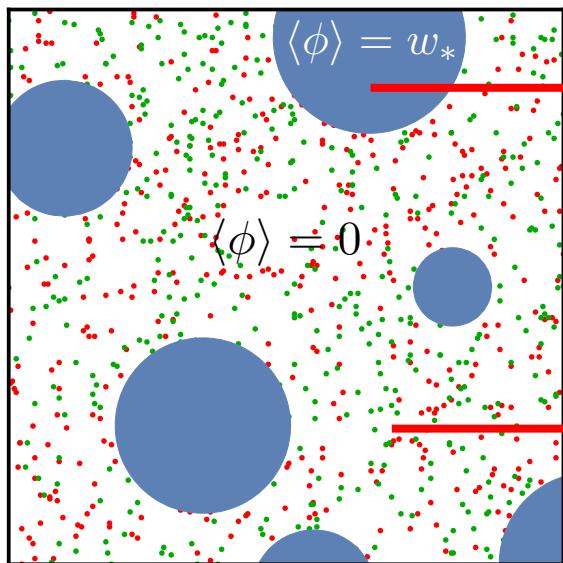


Dark matter & FOPTs

Relevant Lagrangian

$$\mathcal{L} \supset \frac{1}{2} \underbrace{\partial_\mu \phi \partial^\mu \phi - U(\phi)}_{\text{FOPT Lagrangian}} + \bar{\chi} (i \gamma^\mu \partial_\mu - m_0) \chi - y_\chi \overbrace{\phi \bar{\chi} \chi}^{\text{Portal coupling}}$$

Dark fermion



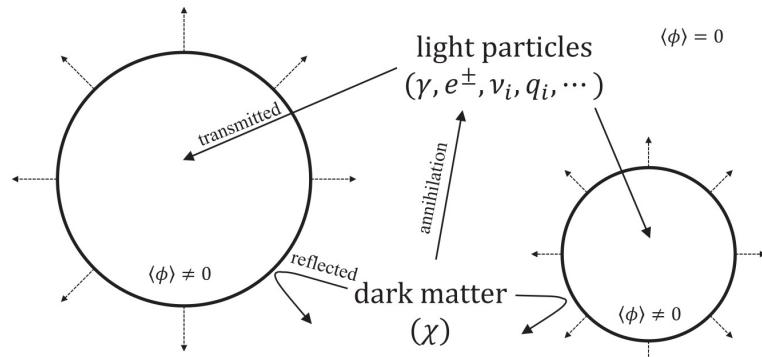
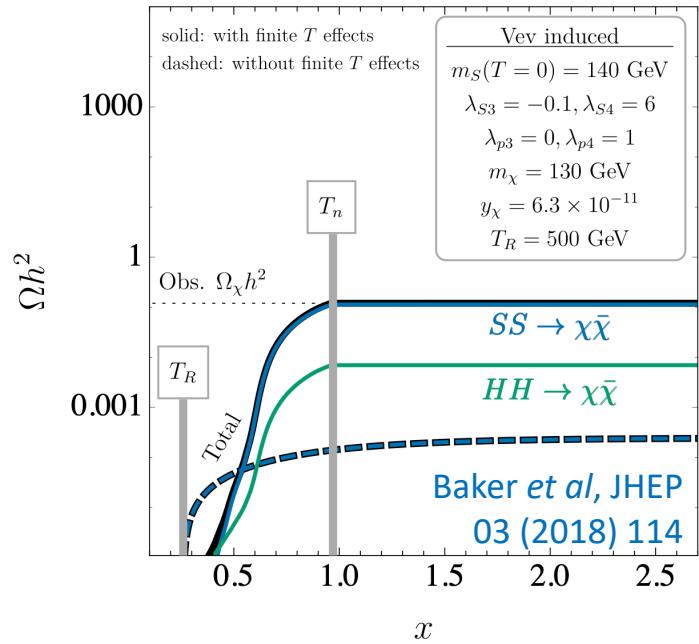
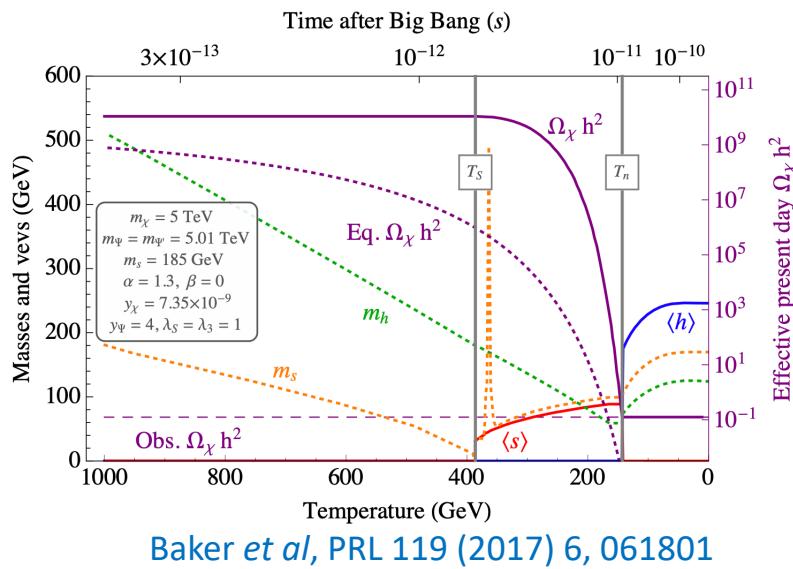
Inside the bubble:
 $m_\chi = m_0 + y_\chi w_*$

Outside the bubble:
 $m_\chi = m_0$



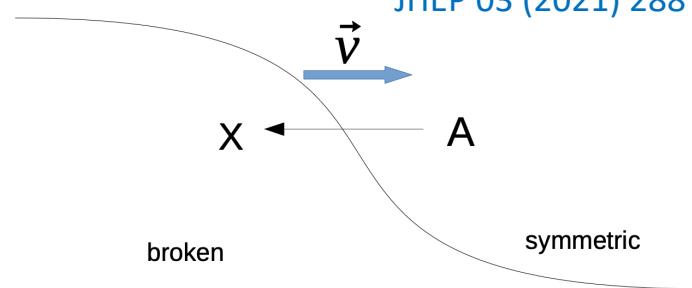
Mass discontinuity between two sides of the bubble wall

Dark matter mechanisms based on a FOPT

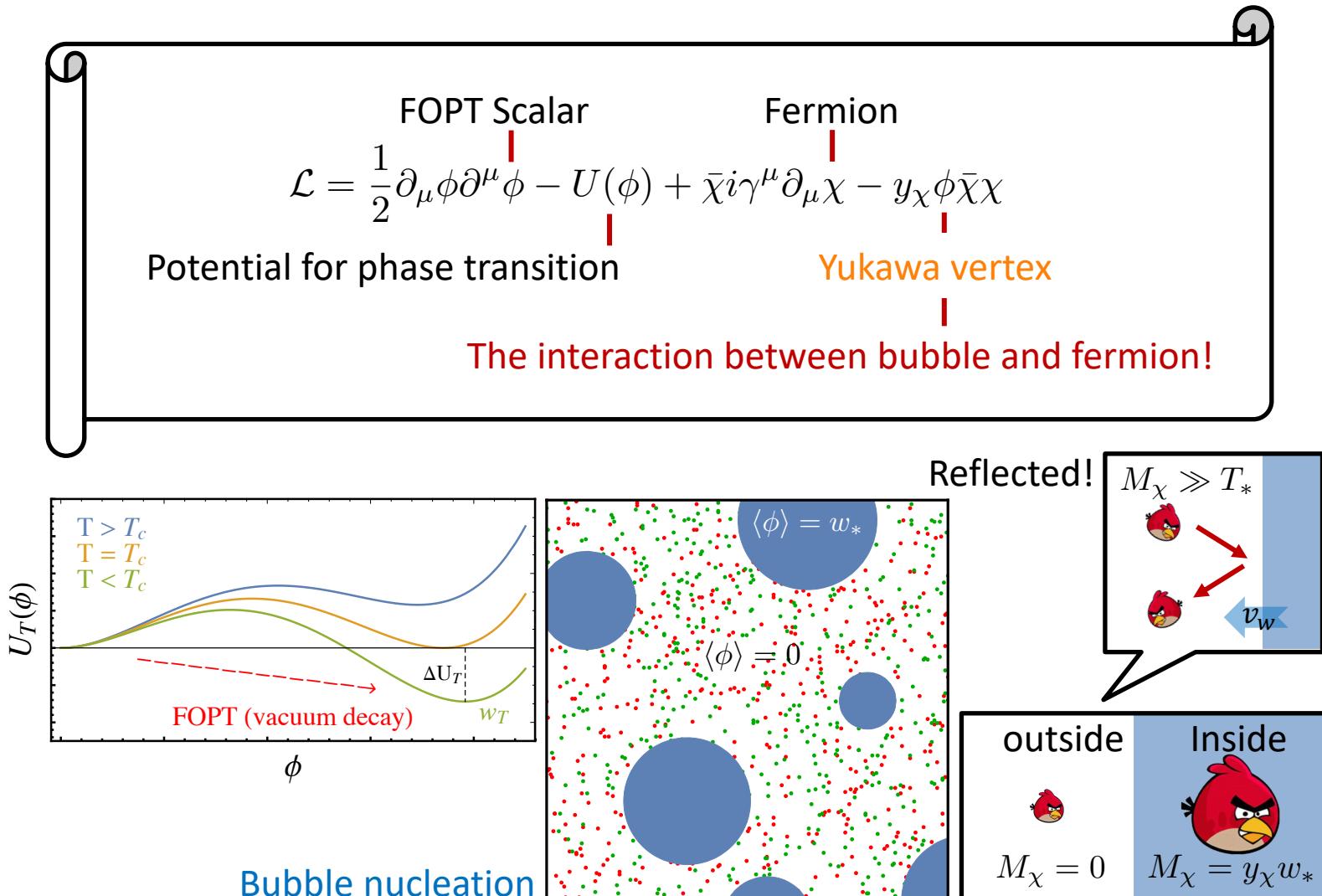


Baker et al, PRL 125 (2020) 15, 151102
Chway et al, PRD 101 (2020) 9, 095019

Azatov et al, JCAP 01 (2021) 058;
JHEP 03 (2021) 288

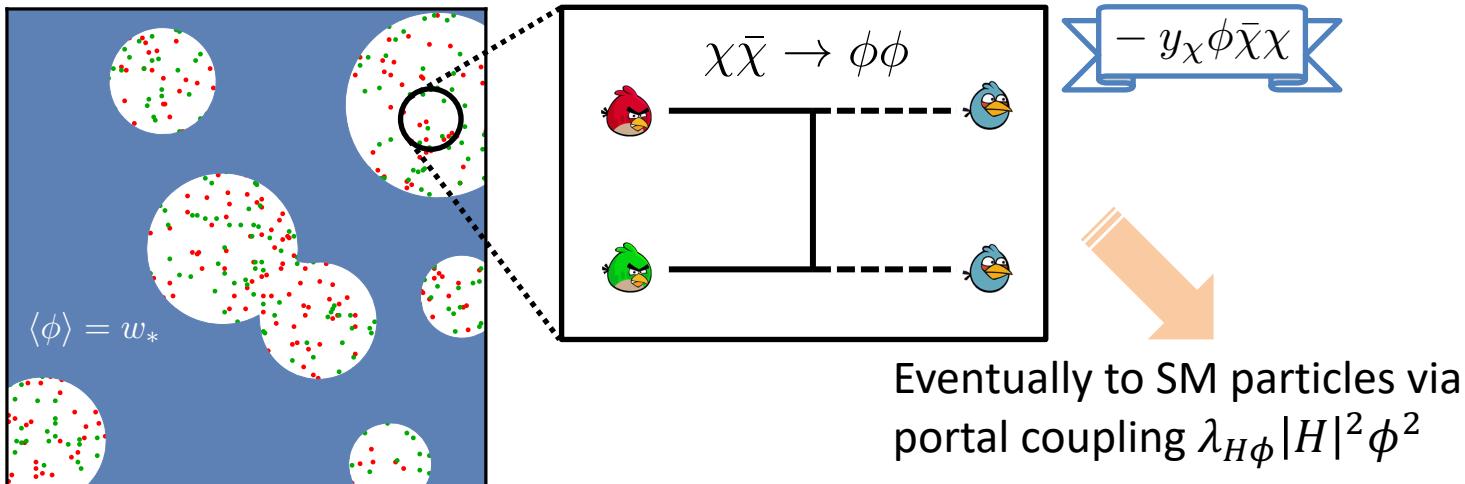


The fermion soliton dark matter from a FOPT



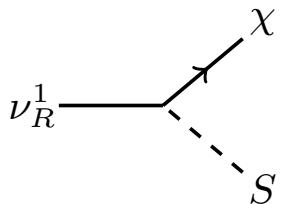
Example: trapping fraction = 98% for $M_\chi/T_* = 12$ and $v_w = 0.6$

What happens for the trapped fermions?

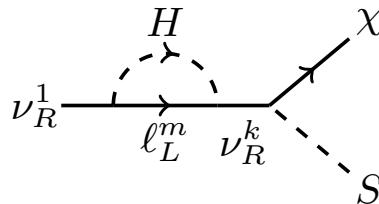


To have a nontrivial result, there should be $N(\chi) \neq N(\bar{\chi})$

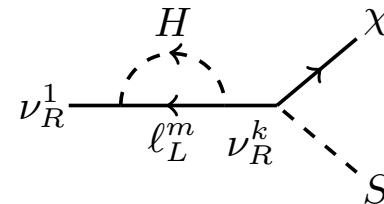
1. Thermal fluctuation; [Asadi *et al*, PRL 127 (2021) 21, 211101]
2. A baryogenesis-like asymmetry; [Shelton *et al*, PRD 82 (2010) 123512]



$$\Gamma(\nu_R^1 \rightarrow \chi S) > \Gamma(\nu_R^1 \rightarrow \bar{\chi} S)$$

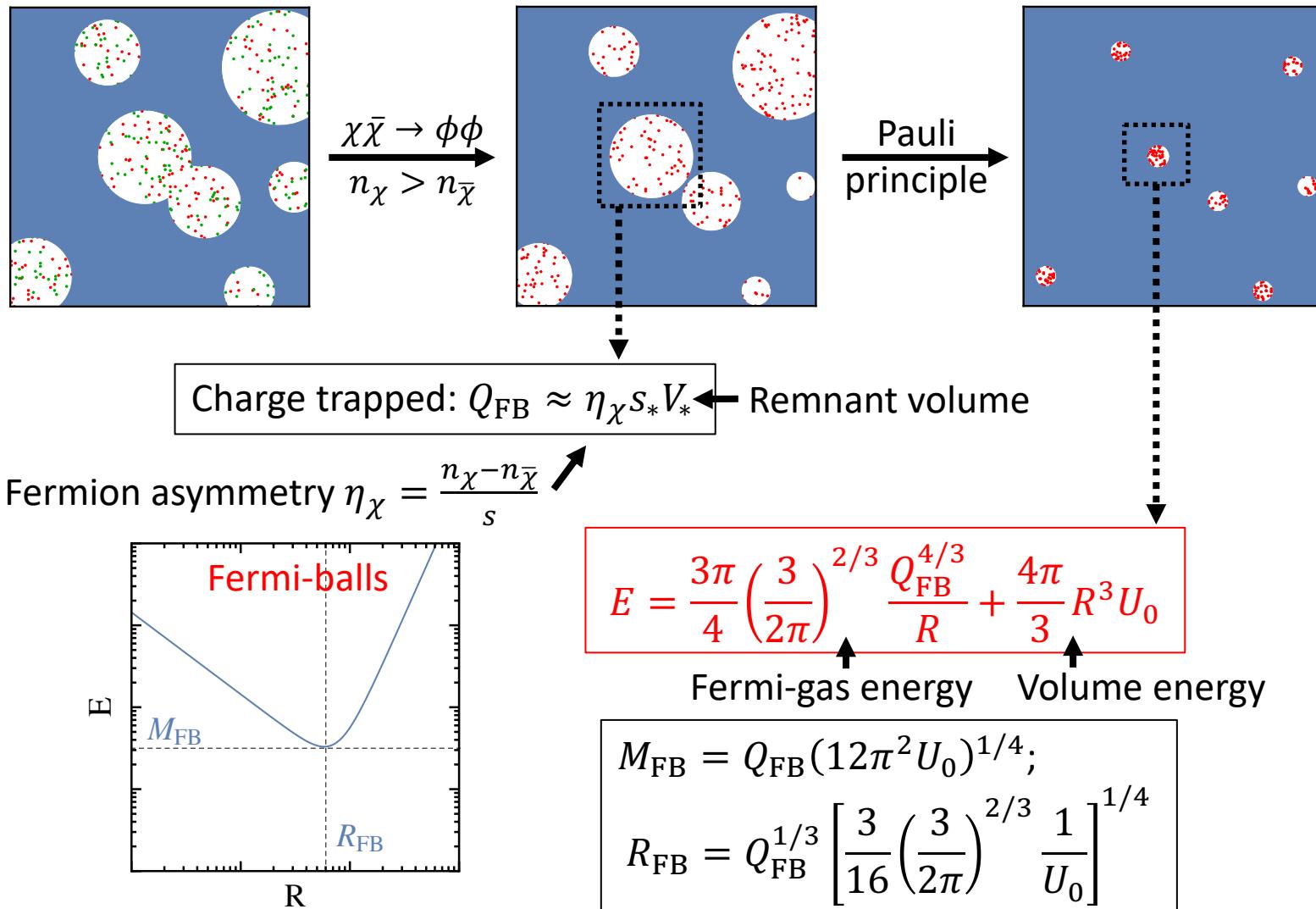


$$\eta_\chi \equiv \frac{n_\chi - n_{\bar{\chi}}}{s}$$



Similar to baryon asymmetry of the Universe

Formation of Fermi-ball solitons



Hong, Jung and KPX, PRD 102 (2020) 7, 075028

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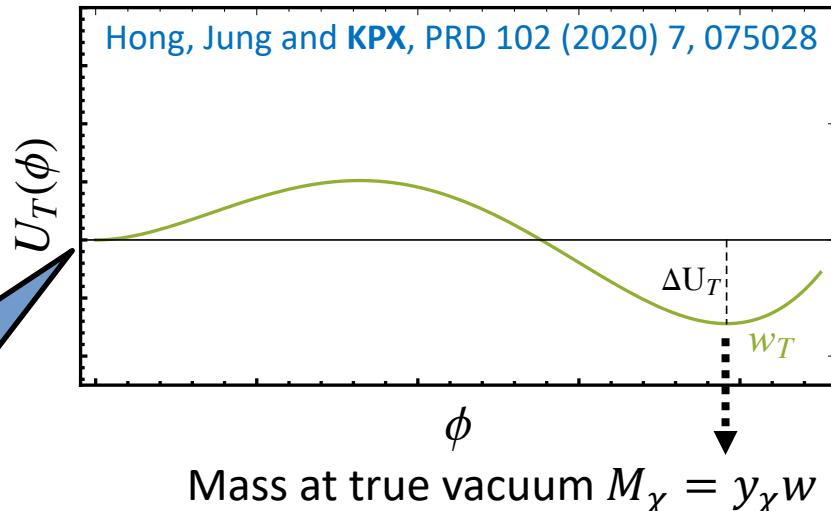
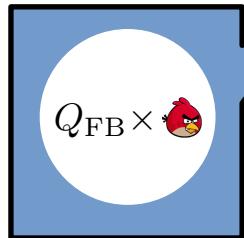
The Fermi-ball profile

$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4};$$

$$R_{\text{FB}} = Q_{\text{FB}}^{1/3} \left[\frac{3}{16} \left(\frac{3}{2\pi} \right)^{2/3} \frac{1}{U_0} \right]^{1/4}$$

Effective χ -mass
inside the ball:

$$M_{\text{eff}} = (12\pi^2 U_0)^{1/4}$$



Stability condition: $\frac{dM_{\text{FB}}}{dQ_{\text{FB}}} = M_{\text{eff}} < M_\chi$



*Fission stability $d^2M_{\text{FB}}/dQ_{\text{FB}}^2 < 0$ always satisfied with surface term $\propto Q_{\text{FB}}^{2/3}$

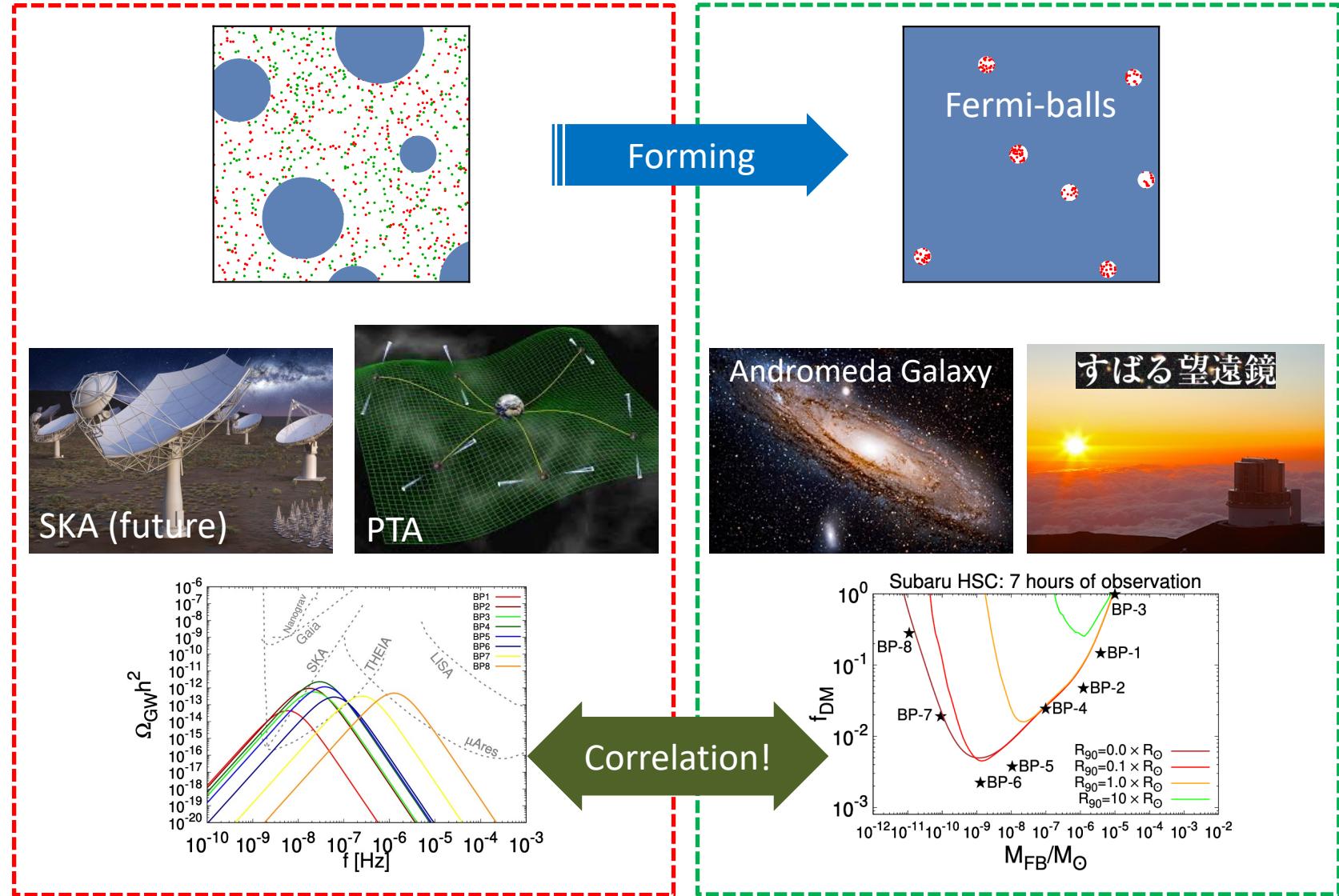
Estimates $Q_{\text{FB}} \approx 10^{42} \times v_w^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \left(\frac{100}{g_*} \right)^{1/2} \left(\frac{100 \text{ GeV}}{T_*} \right)^3 \left(\frac{100}{\beta/H_*} \right)^3$ **Macroscopic**

$$M_{\text{FB}} \approx 1.4 \times 10^{21} \text{ g} \times v_w^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \left(\frac{100}{g_*} \right)^{1/4} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H_*} \right)^3 \alpha^{1/4} \quad \text{Dark Matter!}$$

$$R_{\text{FB}} \approx 4.8 \times 10^{-3} \text{ cm} \times v_w \left(\frac{\eta_\chi}{10^{-3}} \right)^{1/3} \left(\frac{100}{g_*} \right)^{5/12} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H_*} \right) \alpha^{-1/4}$$

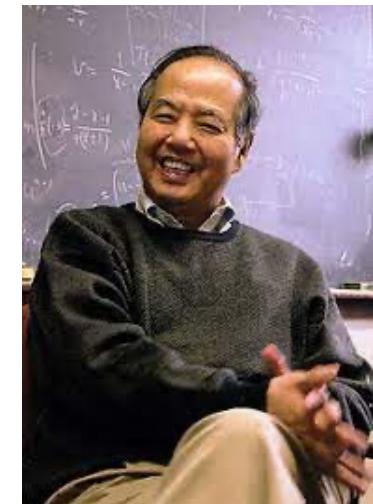
An example of phenomenology

Marfatia et al, JHEP 11 (2021) 068



Big picture: fermion-type solitons

Proposed by T. D. Lee. [PRD.15.1694, PRD.16.1096]



Fermion-field nontopological solitons*

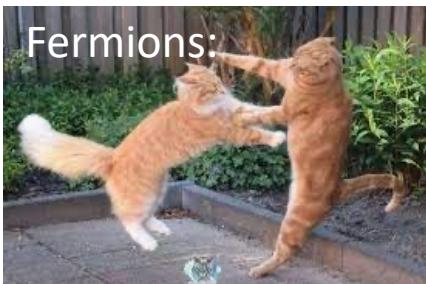
R. Friedberg

Barnard College and Columbia University, New York, New York 10027

T. D. Lee

Columbia University, New York, New York 10027

(Received 8 December 1976)

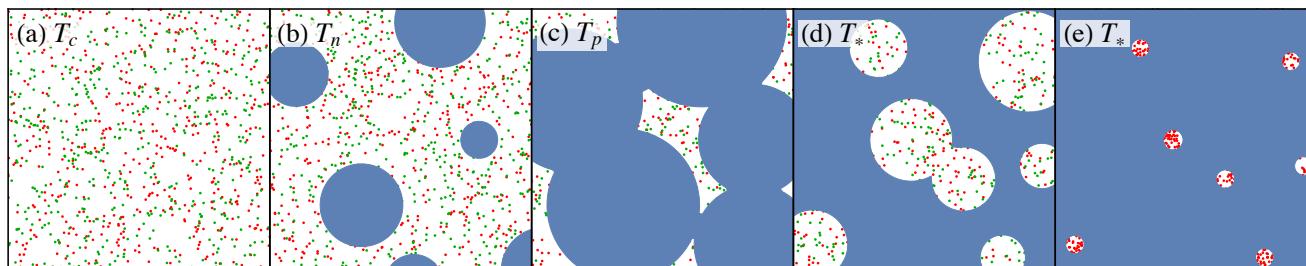


Fermion-soliton is possible with a scalar

Theorem 1. There exists a critical value N_s . For $N > N_s$, the lowest-energy state is a soliton, not the plane-wave solution. Furthermore, as $N \rightarrow \infty$,

$$E \leq \frac{4}{3}\pi\sqrt{2}N^{3/4}[U(-m/g)]^{1/4}. \quad (2.1)$$

Our work provides a dynamical mechanism to form such solitons

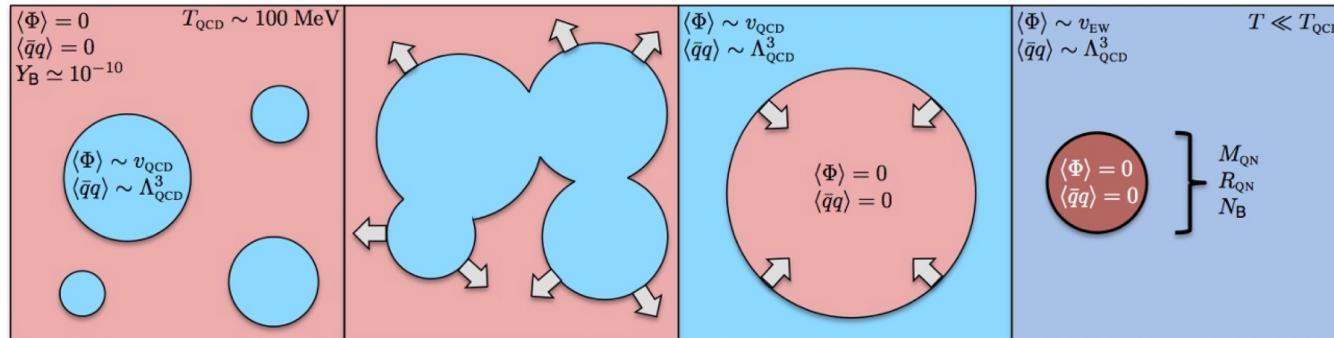


Hong, Jung and KPX, PRD 102 (2020) 7, 075028

Big picture: solitons formation during a FOPT

Fermions trapped to form dark dwarfs [Gross *et al*, JHEP 09 (2021) 033]

Quark-nuggets in a QCD FOPT [Bai *et al*, JHEP 06 (2018) 072; PRD 99 (2019) 5, 055047]

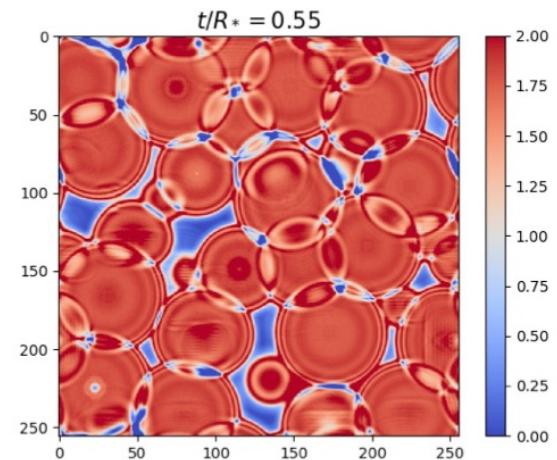


Scalar Q-balls [Krylov *et al*, PRD 87 (2013) 8, 083528; Huang *et al*, PRD 96 (2017) 9, 095028]



Topological solitons—

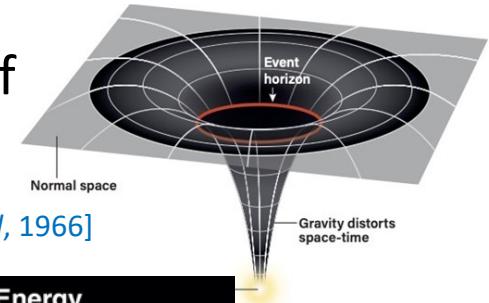
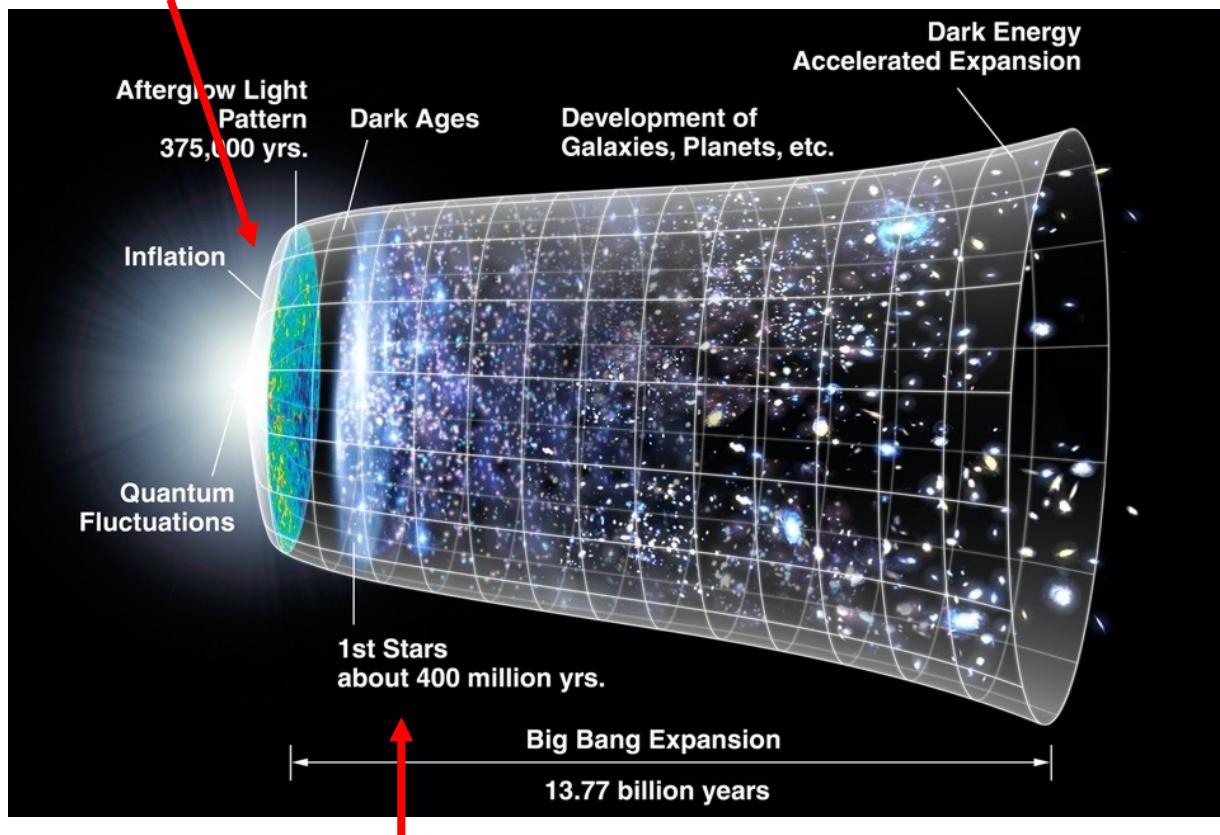
- Monopoles [Bian *et al*, PLB 839 (2023) 137822]
- Cosmic strings [Bian, Cai *et al*, 2204.04427]
- Domain walls [Jiang *et al*, 2208.07186]



From solitons to black holes

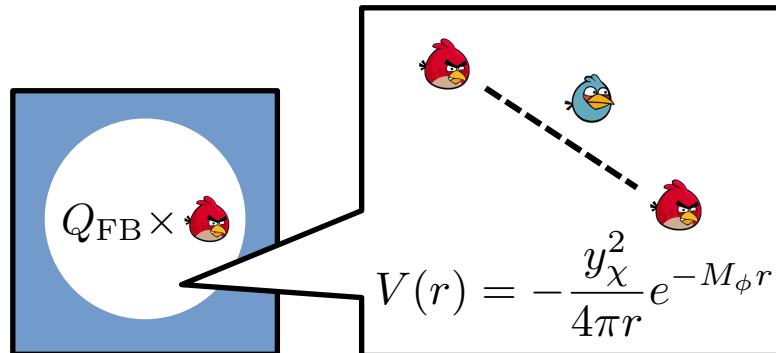
Almost all kinds of solitons have the possibility of **collapsing into black holes**

Primordial black holes (soon after Big Bang); [Zel'dovitch *et al*, 1966]



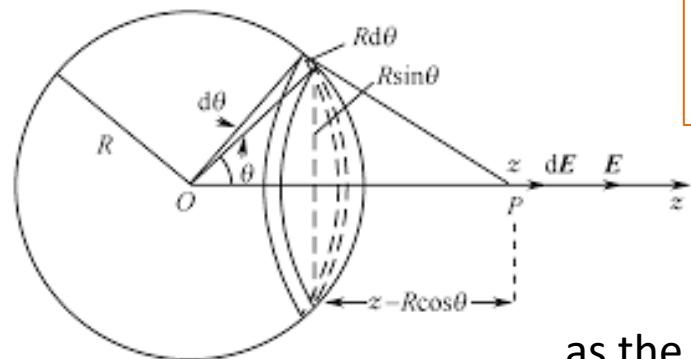
Attractive force inside a soliton

Yukawa force inside a Fermi-ball



Originates from
 $\mathcal{L} \supset -y_\chi \phi \bar{\chi} \chi$

A “textbook exercise” calculation...



$$E_{\text{Yuk}} \approx -\frac{15y_\chi^2 Q_{\text{FB}}^2}{40\pi} \left(\frac{L_\phi}{R}\right)^2$$

$L_\phi = M_\phi^{-1}$: range of force

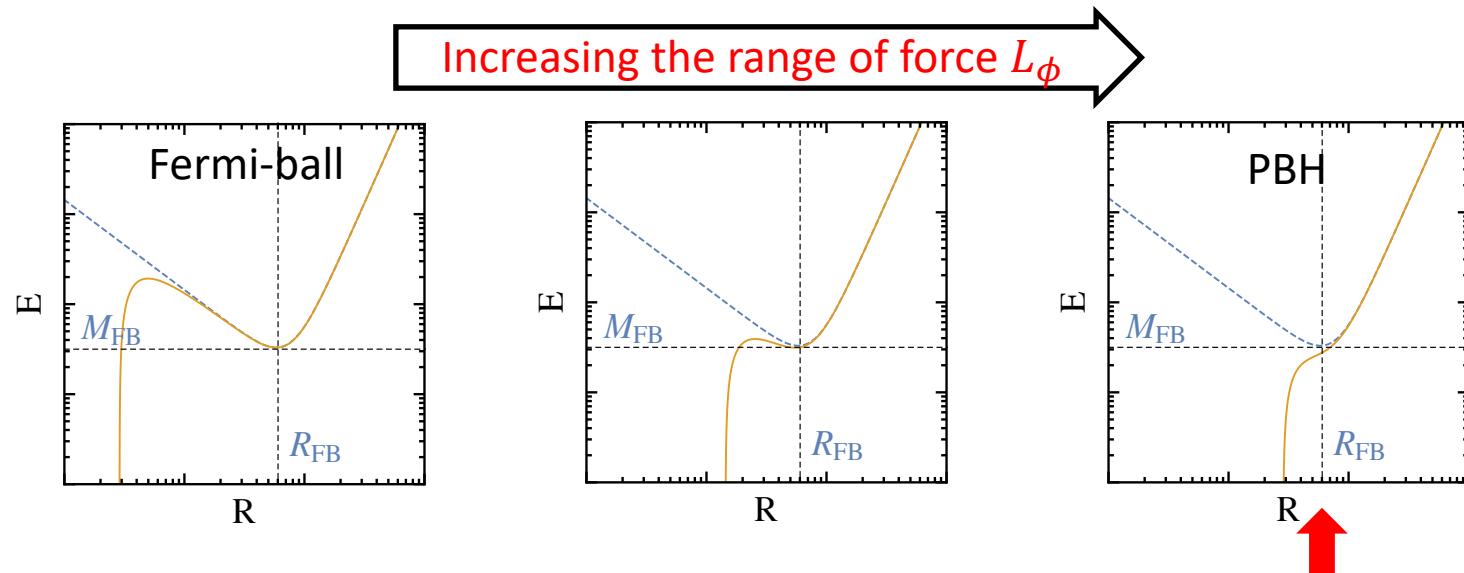
...as the leading-order approximation

The complete energy profile

The improved energy profile (when $L_\phi = M_\phi^{-1} \ll R$)

$$E \approx \frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R} + \frac{4\pi}{3} R^3 U_0 - \frac{15y_\chi^2}{40\pi} \frac{Q_{\text{FB}}^2}{R} \left(\frac{L_\phi}{R} \right)^2$$

What if the Yukawa energy dominates?

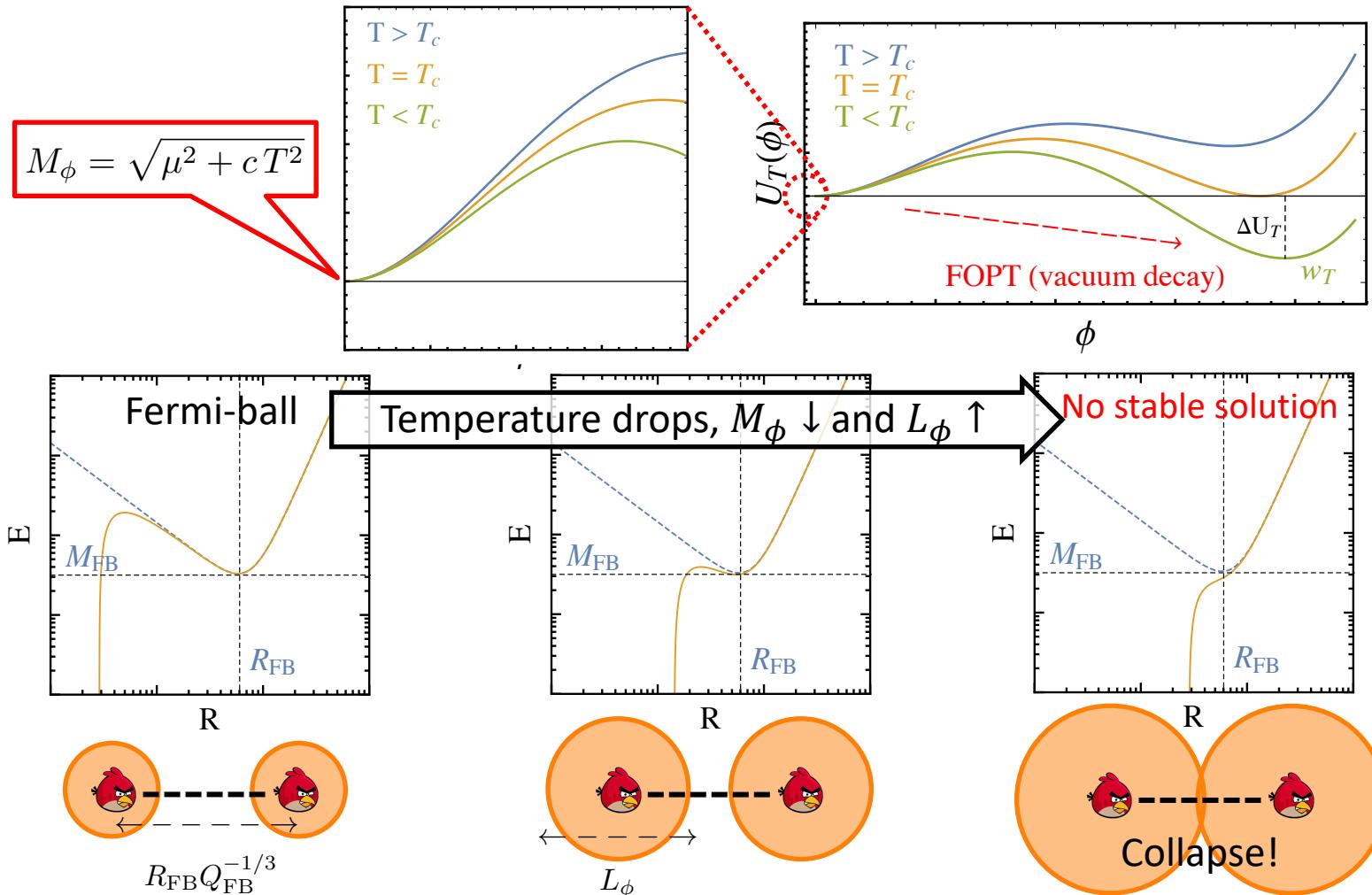


A Fermi-ball collapses in to a black hole!

$$L_\phi \sim R_{\text{FB}} Q_{\text{FB}}^{-1/3}$$

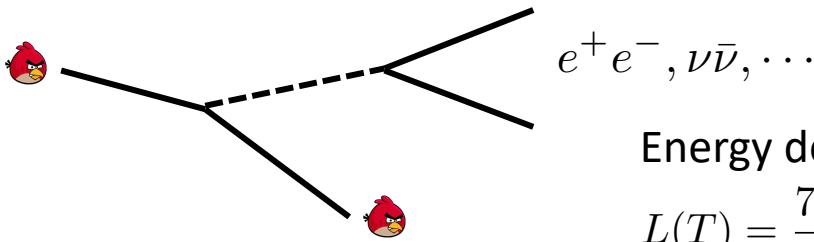
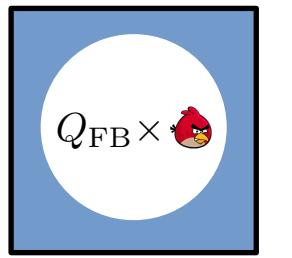
Fermi-balls may collapse when they cool down

The range of force **increases** as temperature drops!



The cooling of Fermi-balls

Emitting SM light particles (black body radiation [Witten, PRD1984]);



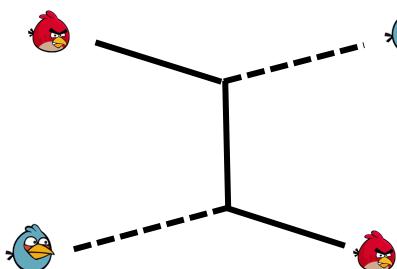
Energy decreasing rate

$$L(T) = \frac{7\pi^3 N_f}{240} R_{FB}^2 T^4$$

$$\tau_{cool} = \frac{240}{7\pi^2} \left(\frac{2\pi}{3}\right)^{1/3} \frac{Q_{FB}^{1/3} (12\pi^2 U_0)^{1/4}}{N_f T^2}.$$

Radiation cooling is very efficient: $\tau_{cool} \ll 1/H$

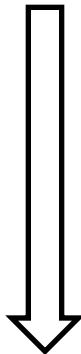
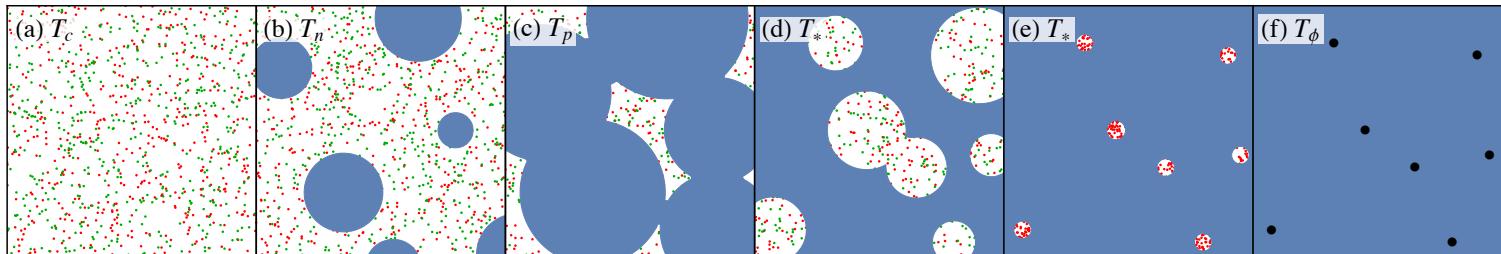
Scattering cooling: [Kawana, Lu and KPX, JCAP 10 (2022) 030]



In thermal bath via
 $\lambda_{H\phi} |H|^2 \phi^2$

In short: Fermi-balls can cool down!

PBHs from Fermi-ball collapse from a FOPT



Mass distribution: Lu, Kawana and KPX, PRD 105 (2022) 12, 123503

New soliton & PBH solutions: Kawana, Lu and KPX, JCAP 10 (2022) 030

EWPT & PBH DM: Huang and KPX, PRD 105 (2022) 11, 115033

PBH & gamma-rays: Marfatia et al, JHEP 08 (2022) 001

511 keV galactic line: Tseng et al, 2209.01552

PBHs after the CMB: Lu et al, 2210.16462

Boosted DM: Marfatia et al, JHEP 04 (2023) 006

Distinguishing different mechanisms: KPX, 2301.02352

GWs at PTA: Tseng et al, 2304.10084

Mechanism

Application

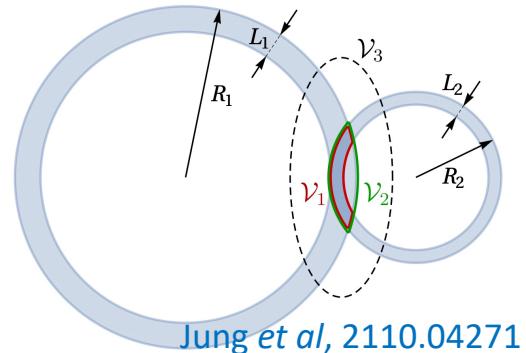
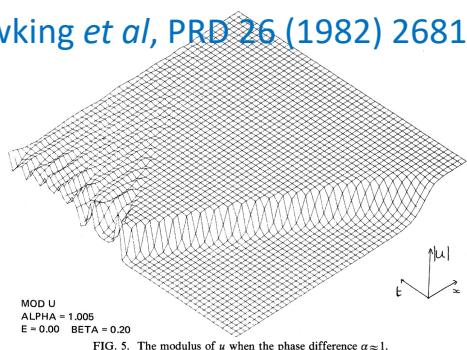
Advantages:

- Friendly to particle physicists;
- Can control the **formation time** of PBHs

Big picture: primordial black holes from FOPTs

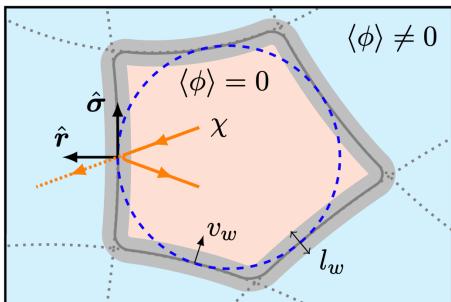
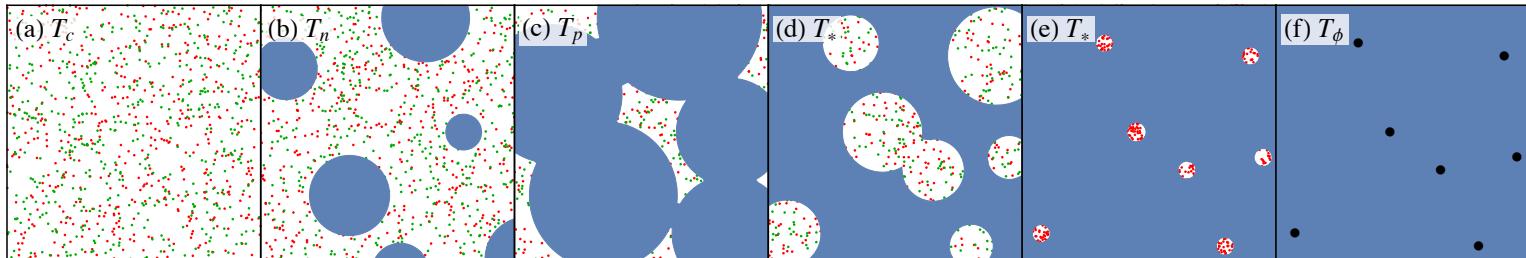
- Bubble collisions

Hawking *et al*, PRD 26 (1982) 2681



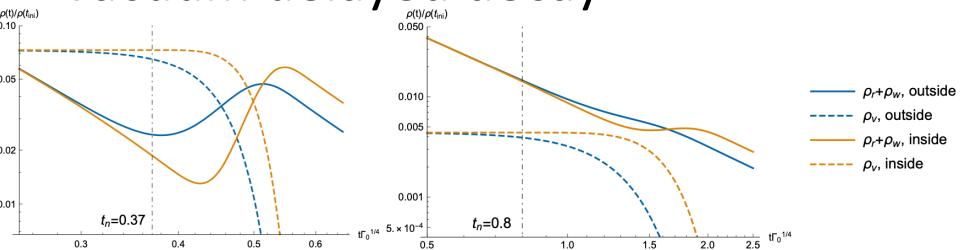
- Particle trapping

Kawana and KPX, PLB 824 (2022) 136791



Baker *et al*, 2105.07481

- Vacuum delayed decay



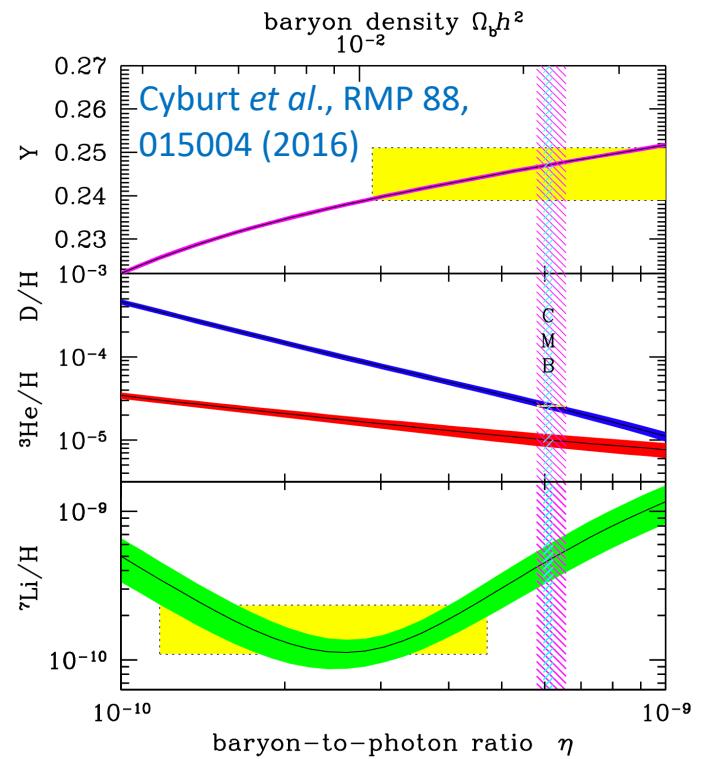
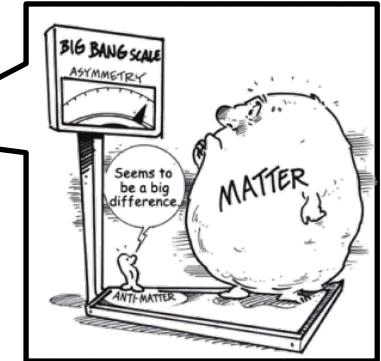
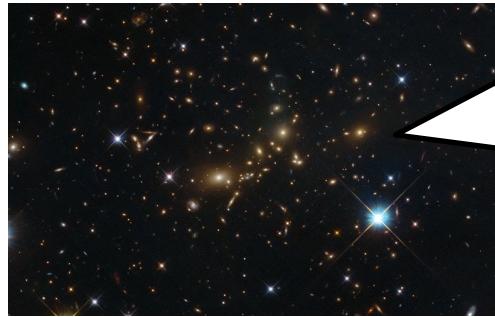
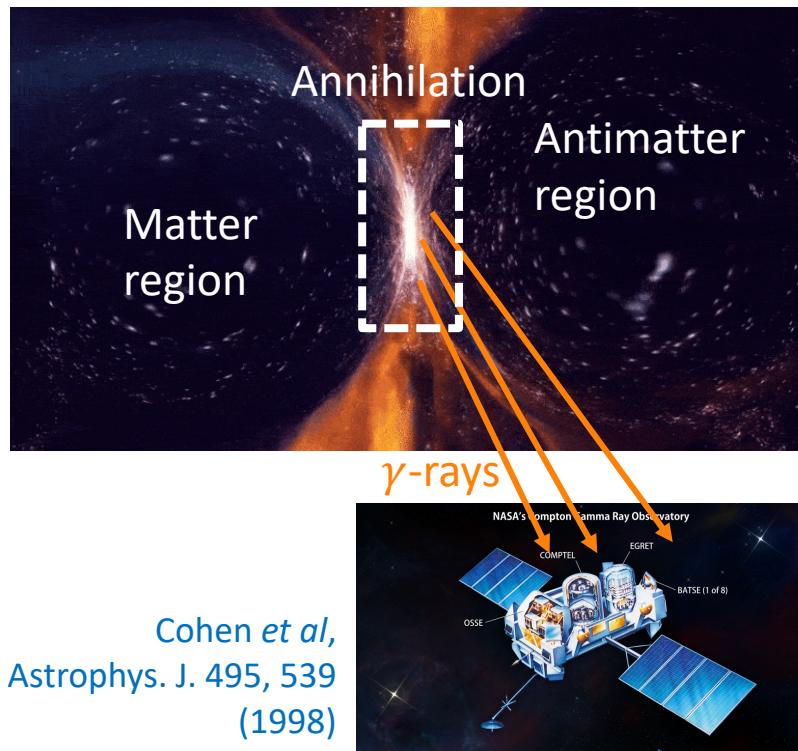
Liu, Bian, Cai, Guo and Wang PRD 105, L021303

The matter-antimatter asymmetry

Also known as the *baryon asymmetry*

$$Y_B = \frac{n_B - n_{\bar{B}}}{s} \approx 10^{-10}$$

Two evidences:



Generating the matter-antimatter asymmetry

Baryogenesis between inflationary reheating & BBN

Three conditions for baryogenesis: [Sakharov,1967]

1. Baryon number violation;
2. C/CP violation;
3. Departure from equilibrium.

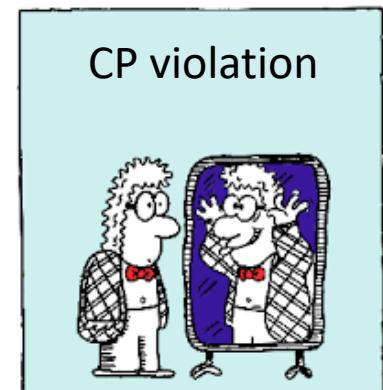


A.Sakharov (1921-1989)

C&CP violation: To avoid $\left\{ \begin{array}{l} N(\text{matter}) = N(\text{antimatter}) \\ \\ N(\text{left-handed matter}) = N(\text{right-handed antimatter}) \\ N(\text{right-handed matter}) = N(\text{left-handed antimatter}) \end{array} \right.$

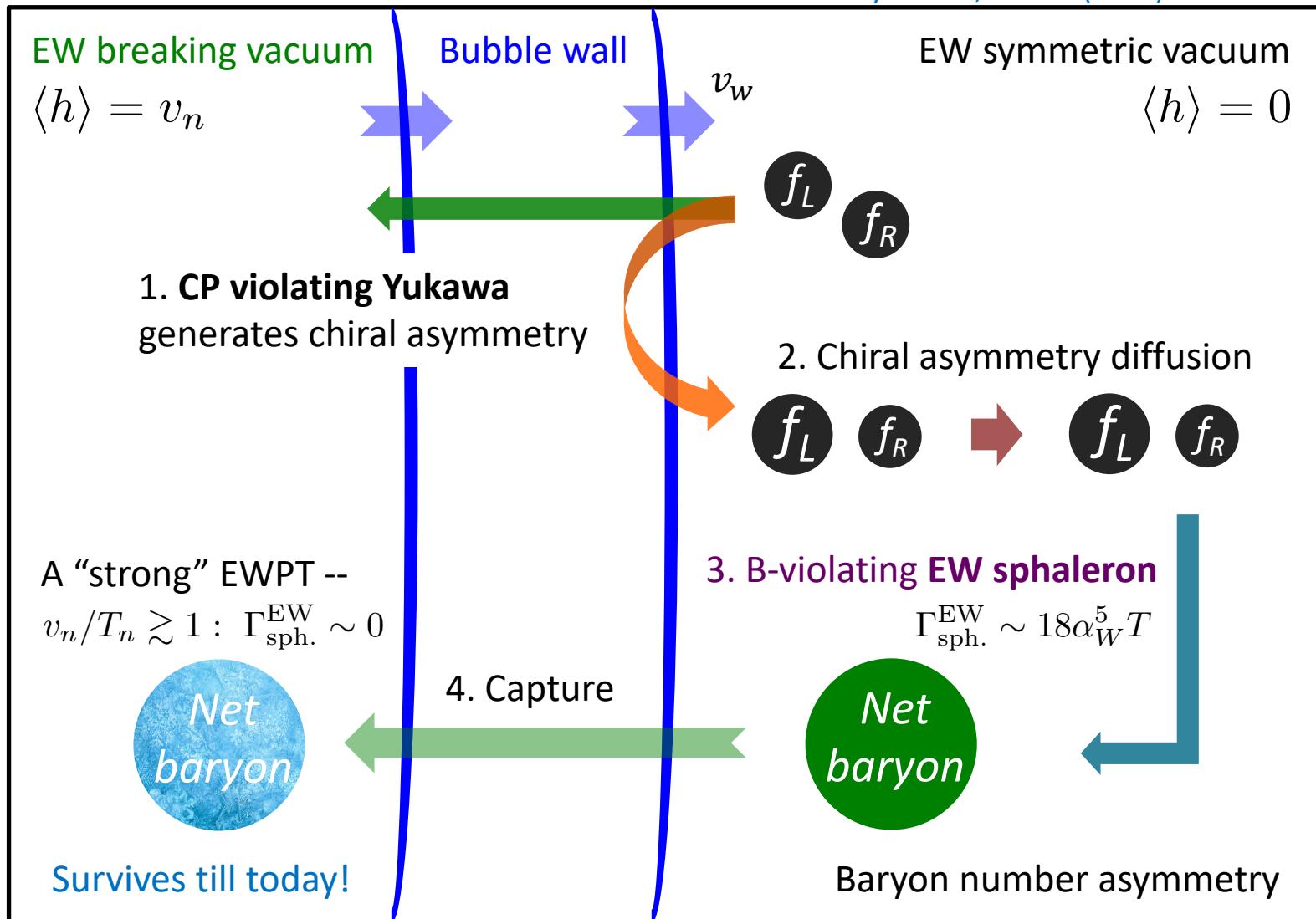
Why departure from equilibrium:

$$\begin{aligned} \langle B \rangle &= \text{tr}[B e^{-H/T}] \\ &= \text{tr}[(CPT)^{-1} B e^{-H/T} (CPT)] = -\text{tr}[B e^{-H/T}] \\ &= -\langle B \rangle = 0 \end{aligned}$$



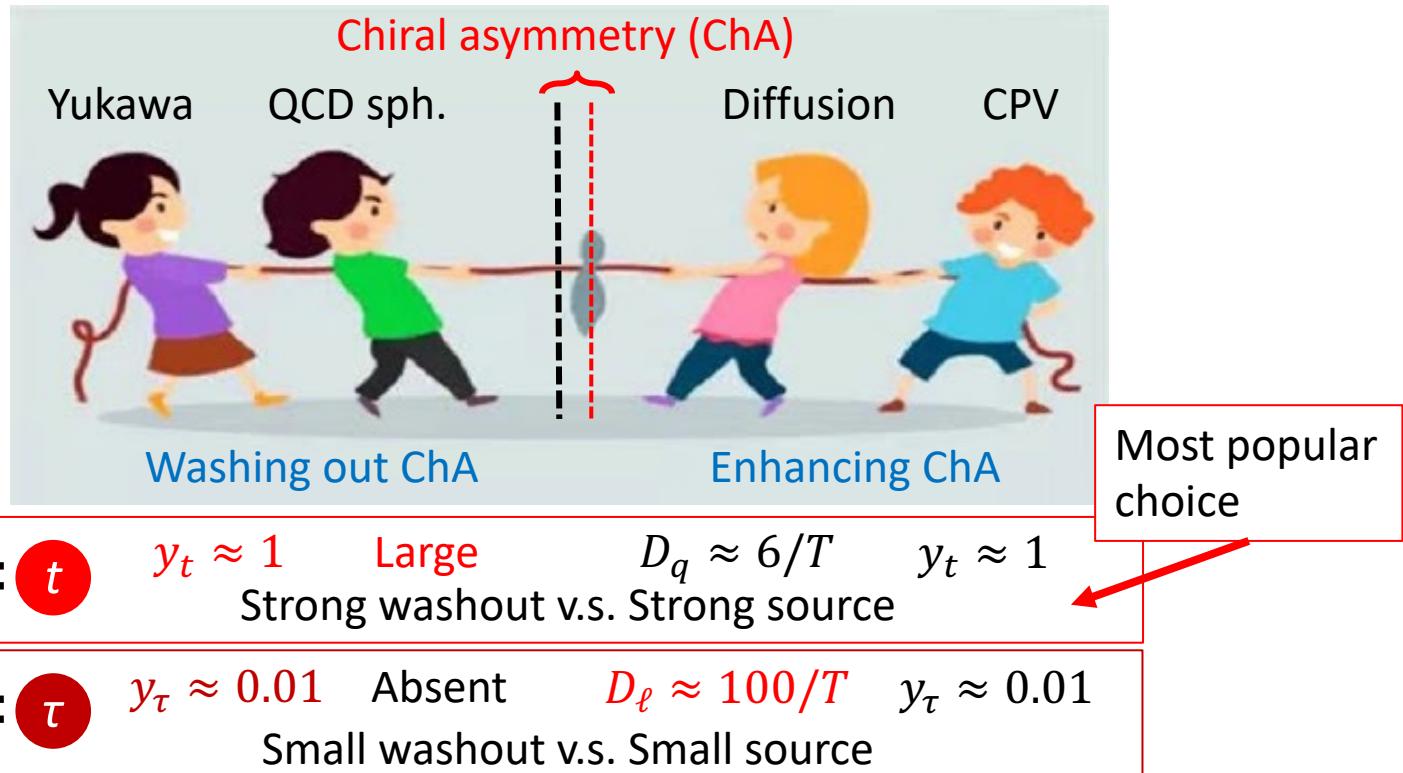
Electroweak baryogenesis

Joyce et al, PRL 75 (1995) 1695–1698



[Review] Morrissey et al, New J.Phys. 14 (2012) 125003

Which fermion?

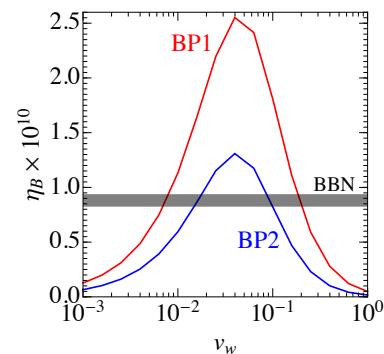


τ -mediated [Chung *et al*, PRL 102 (2009) 061301; Guo, Li, Liu, Ramsey-Musolf and Shu, PRD 96 (2017) 115034; De Vries *et al*, JHEP 04 (2019) 024; KPX, JHEP 02 (2021) 090

b -mediated [Modak *et al*, PRD 99 (2019) 115022]

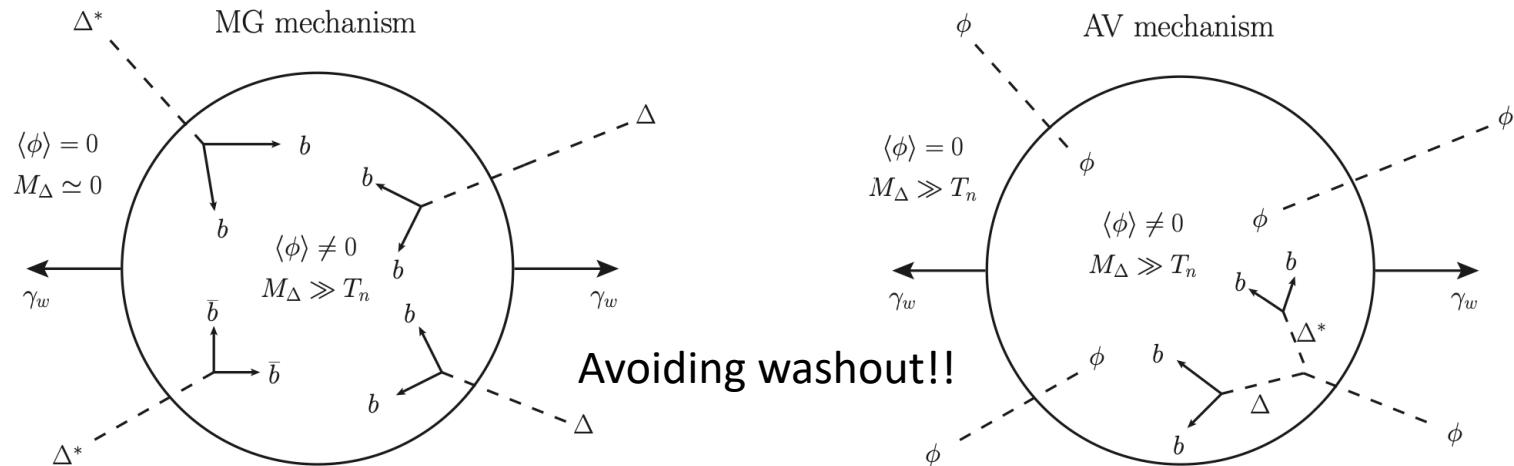
μ -mediated [Fuchs *et al*, PRL 124 (2020) 181801]

ν -mediated [Fernandez-Martinez *et al*, JHEP 10 (2020) 063]



Baryogenesis triggered by a FOPT

Relativistic bubbles [Baldes *et al*, PRD 104 (2021) 115029; Azatov *et al*, JHEP 10 (2021) 043]

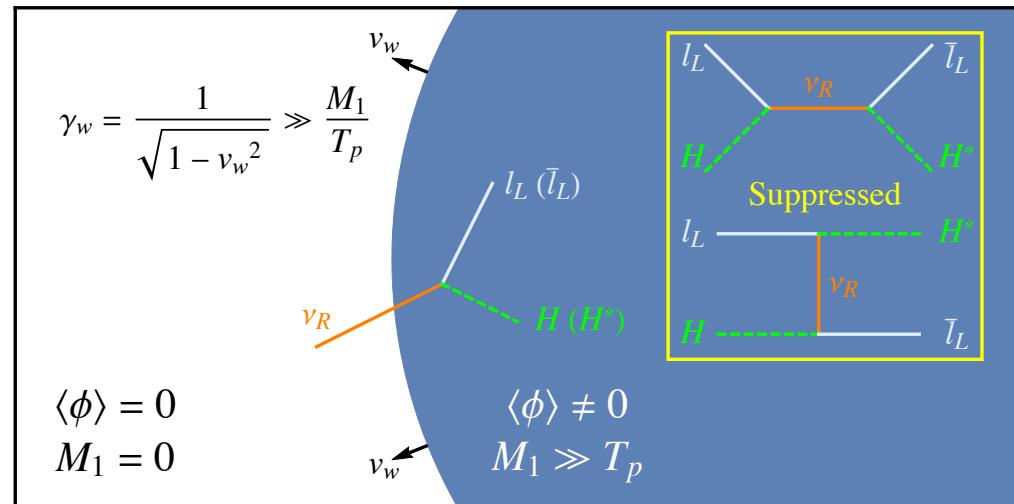


Huang and KPX, JHEP 09 (2022) 052

Apply to leptogenesis

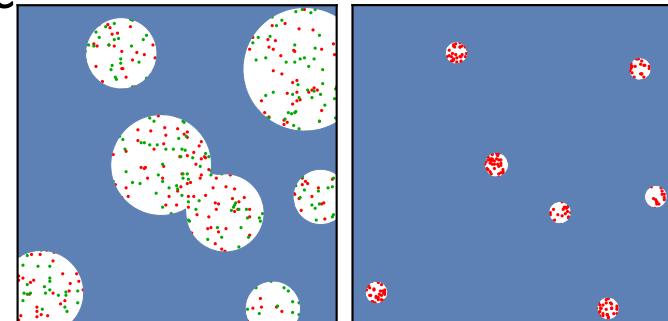
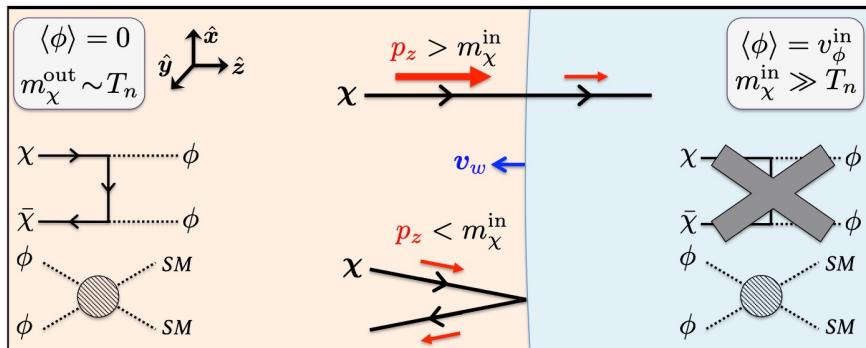
- $\nu_R \rightarrow \ell_L H$
- $\epsilon_1 = \frac{\Gamma_{\ell H} - \Gamma_{\ell H^*}}{\Gamma_{\ell H} + \Gamma_{\ell H^*}}$
- $Y_B \approx \epsilon_1 \frac{n_{\nu_R}}{s}$

No washout at all



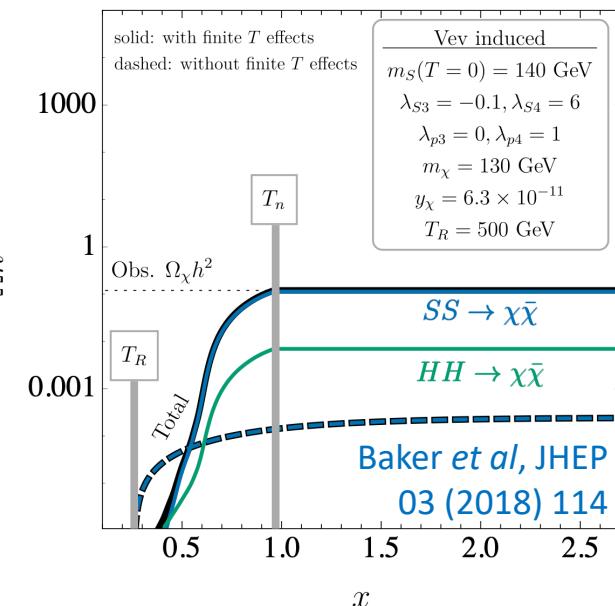
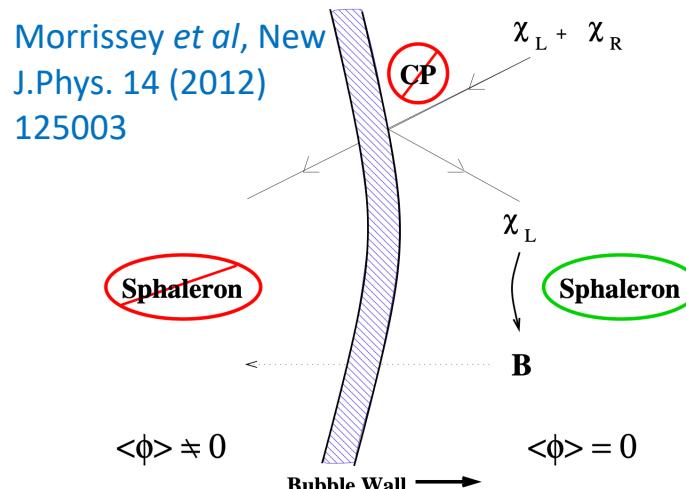
A short summary on new physics mechanisms (1)

Slow-moving bubble walls ($v_w < 1$): particle filtering or trapping; diffusion; change of kinetic space, etc



Hong, Jung and KPX, PRD 102
(2020) 7, 075028

Baker *et al*, PRL 125 (2020) 15, 151102;
Chao *et al*, JCAP 06 (2021) 038



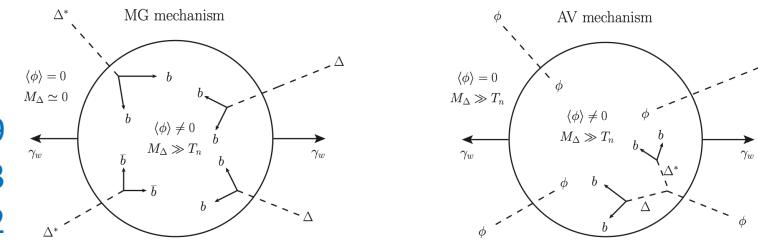
A short summary on new physics mechanisms (2)

Relativistic bubble walls ($v_w \approx 1$): heavy particle production; entropy injection, etc

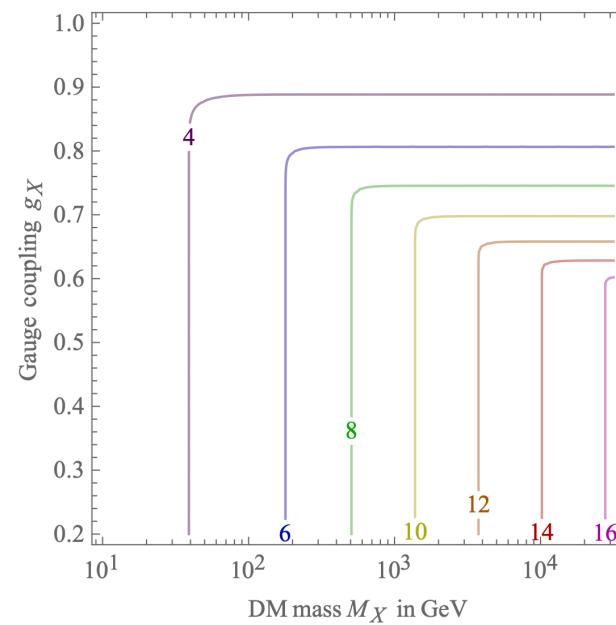
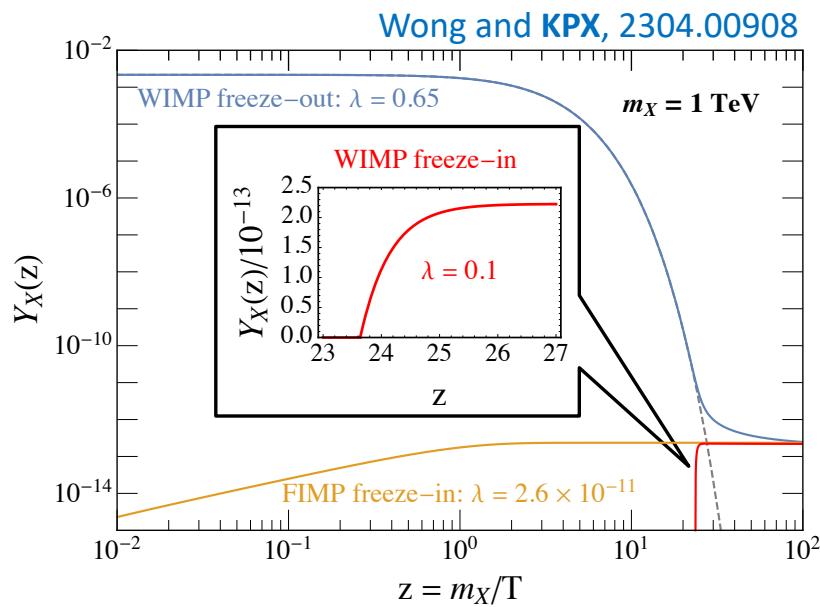
Baldes *et al*, PRD 104 (2021) 115029

Azatov *et al*, JHEP 10 (2021) 043

Huang and KPX, JHEP 09 (2022) 052



Hambye *et al*, JHEP 08 (2018) 188;
Baldes *et al*, JHEP 07, 084 (2022) Number of e -folds N



Models that can have FOPTs

SM + singlet scalar (xSM or cxSM)

Cline *et al*, JCAP 01 (2013) 012; Alanne et al, NPB 889 (2014) 692; Chiang *et al*, PLB 789 (2019) 154; Jiang, Bian, Huang and Shu, PRD 93 (2016) 6, 065032; Alves *et al*, JHEP 04 (2019) 052, JHEP 12 (2018) 070, JHEP 03 (2020) 053, PLB 818 (2021) 136377; Carena *et al*, JHEP 08 (2020) 107; Liu and **KPX**, JHEP 04 (2021) 015; Huang and **KPX**, PRD 105 (2022) 11, 115033, Liu *et al*, PRD 105 (2022) 11, 115040; etc

Two-Higgs-doublet model

Cline *et al*, JHEP 11 (2011) 089; Dorsch *et al*, JHEP 10 (2013) 029; Basler *et al*, JHEP 02 (2017) 121; Dorsch *et al*, JHEP 12 (2017) 086; Bian, Jiang *et al*, JHEP 05 (2018) 151; Wang, Yang, Zhang and Zhang, PLB 788 (2019) 519; Wang, Huang, Zhang, PRD 101 (2020) 015015; Su *et al*, JHEP 04 (2021) 219; etc

Left-right model

Brdar, Graf and Xu, JCAP 12 (2019) 027; Li, Yan, Zhang, Zhao, JHEP 03 (2021) 267; etc

Georgi-Machacek model

Zhou, Cheng, Deng, Bian and Wu, JHEP 01 (2019) 216; etc

Supersymmetric model

Lee *et al*, PRD 71 (2005) 075010; Balazs *et al*, PRD 71 (2005) 075002; Huang, Kang, Shu, Wu and Yang, PRD 91 (2015) 2, 025006; Bi, Bian, Huang, Shu and Yin, PRD 92 (2015) 023507; Bian, Guo, Shu, CPC 42 (2018) 9, 093106; Athron *et al*, JHEP 11 (2019) 151; Wang, **KPX**, Wu and Yang, EPJC 82 (2022) 12, 1120; etc

Composite Higgs model

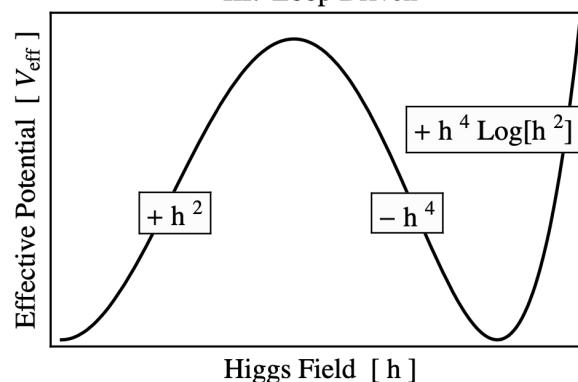
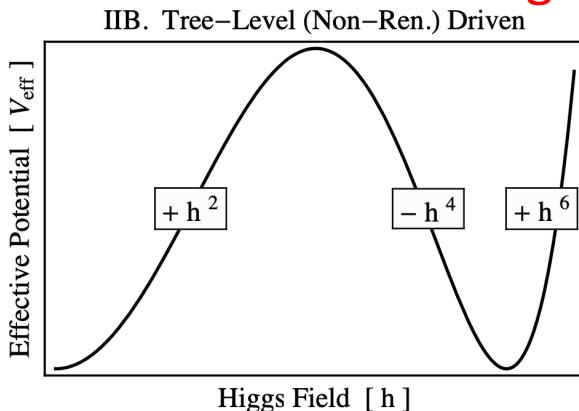
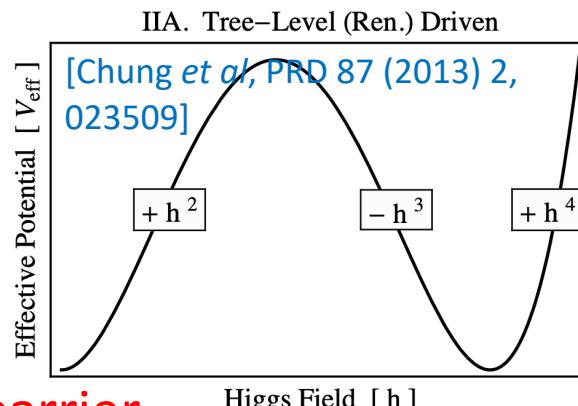
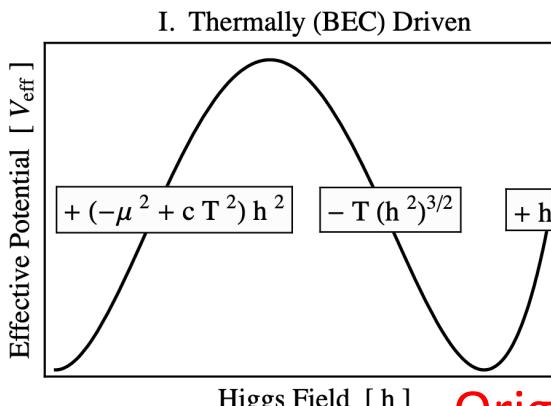
Espinosa *et al*, JCAP 01 (2012) 012; Bian, Wu and **KPX**, JHEP 12 (2019) 028, JHEP 12 (2020) 047; De Curtis *et al*, JHEP 12 (2019) 149; Angelescu *et al*, JHEP 10 (2022) 019; etc

Calculating the FOPTs (1): potential

The finite temperature potential [Quiros, hep-ph/9901312]

$$U_T(\phi, T) = U_0(\phi) + U_1(\phi) + U_{1,T}(\phi, T) + U_{\text{daisy}}(\phi, T)$$

↑ ↑ ↑ ↑
 Tree level 1-loop CW 1-loop thermal Daisy resummation



谢柯盼@北京航空航天大学

IIA & III:
Hot now

Calculating the FOPTs (2): dynamics

Vacuum decay rate [Coleman *et al*, PRD 15 (1977) 2929-2936; PRD 16 (1977) 1762-1768]

At finite temperature [Linde, NPB 216 (1983) 421] $\Gamma(T) \sim \left(\frac{S_3}{2\pi T}\right) T^4 e^{-S_3/T}$

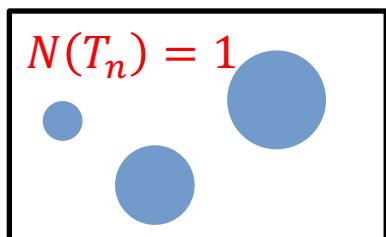
S_3 -- Action of $O(3)$ -symmetric bounce solution

$$S_3 = \int_0^\infty 4\pi r^2 dr \left[\frac{1}{2} \left(\frac{d\hat{\phi}}{dr} \right)^2 + U_T(\hat{\phi}, T) \right]$$

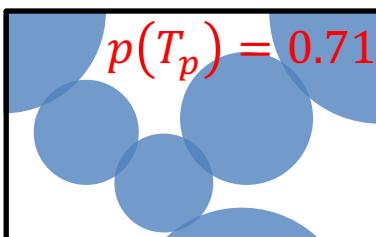
Nucleation rate $N(T) = \int_T^{T_c} \frac{dT'}{T'} \frac{\Gamma(T')}{H^4(T')}$

False vacuum fraction [Guth *et al*, PRD23 (1981) 876] $p(T) = e^{-I(T)}$;

$$I(T) = \frac{4\pi}{3} \int_T^{T_c} dT' \frac{\Gamma(T')}{T'^4 H(T')} \left(\int_T^{T'} \frac{v_w d\tilde{T}}{H(\tilde{T})} \right)^3$$



1. Nucleation T_n



2. Percolation T_p



3. Completed

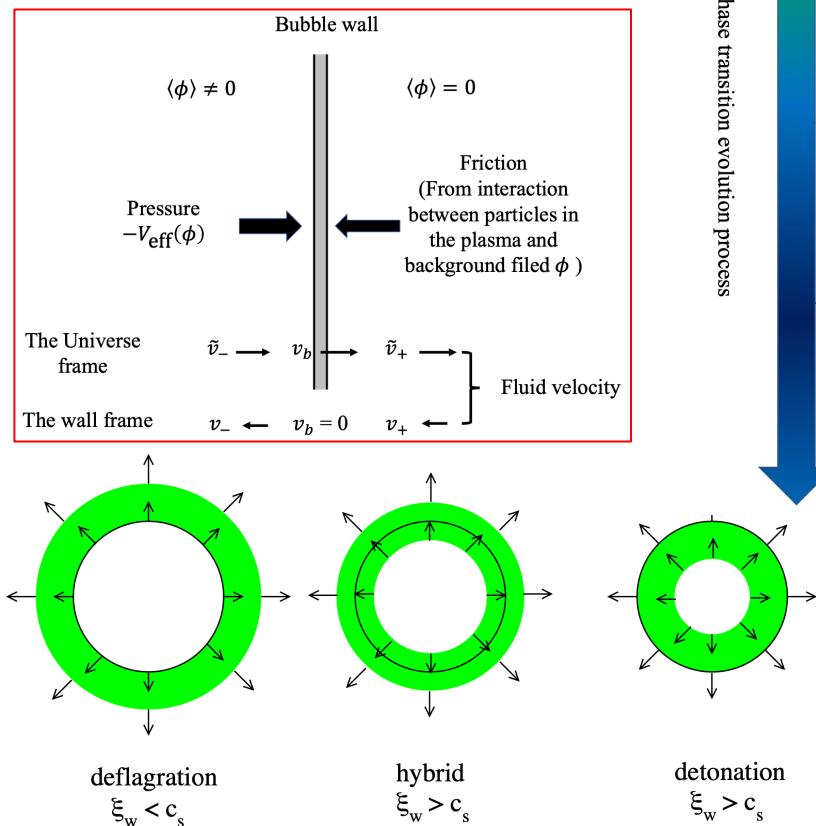
FOPT criterion

Very rough: $\frac{S_3}{T} \sim 4 \ln \frac{M_{\text{Pl}}}{T}$

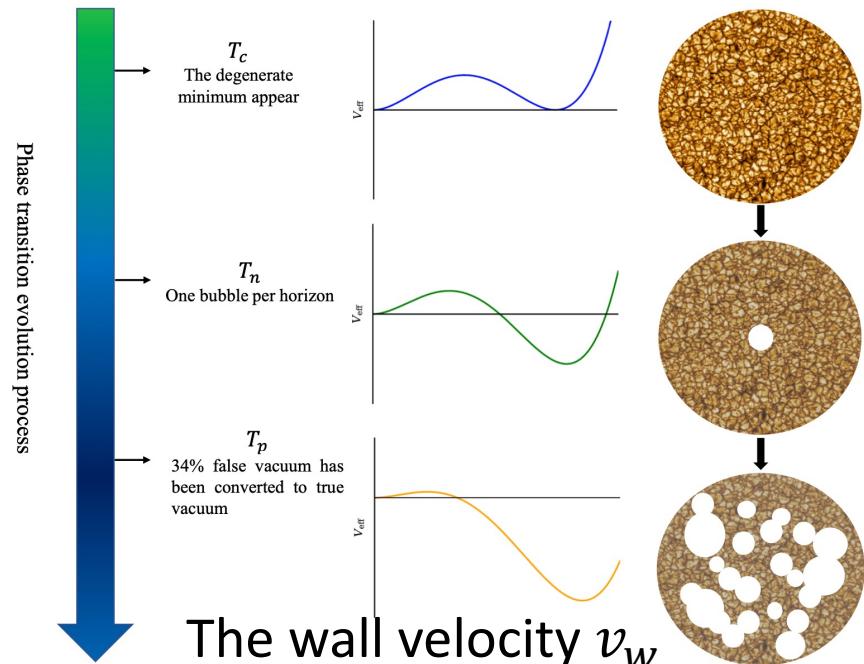
Strict: $3 + T_p \frac{dI}{dT} \Big|_{T_p} < 0$

Dynamics of the FOPT

Energy budget: how much energy is devoted into wall or plasma motion?



Wang, Huang and Zhang, JCAP 05 (2020) 045



The wall velocity v_w

Konstandin *et al*, JCAP 09 (2014) 028;
Bodeker *et al*, JCAP 0905 (2009) 009, JCAP 05 (2017) 025; Höche *et al*, JCAP 03 (2021) 009; Cai and Wang, JCAP 03 (2021) 096; Lewicki *et al*, JHEP 02 (2022) 017; Ai *et al*, JCAP 03 (2022) 03, 015; Gouttenoire(DESY and Tel Aviv U.), Ryusuke Jinno *et al*, JHEP 05 (2022) 004; Wang *et al*, PRD 107 (2023) 2, 023501

Gravitational waves & lattice simulation

Sources: [Caprini *et al*, JCAP 1604 (2016) 001]

1. Bubble collision;
2. Sound waves;
3. Turbulence

Normally, sound wave dominates

Espinosa *et al*, JCAP 1006 (2010) 028; Ellis *et al*, JCAP 04 (2019) 003; Wang, Huang and Zhang, JCAP 05 (2020) 045; Guo *et al*, JCAP 01 (2021) 001; etc

Bubble collision dominates for relativistic bubbles

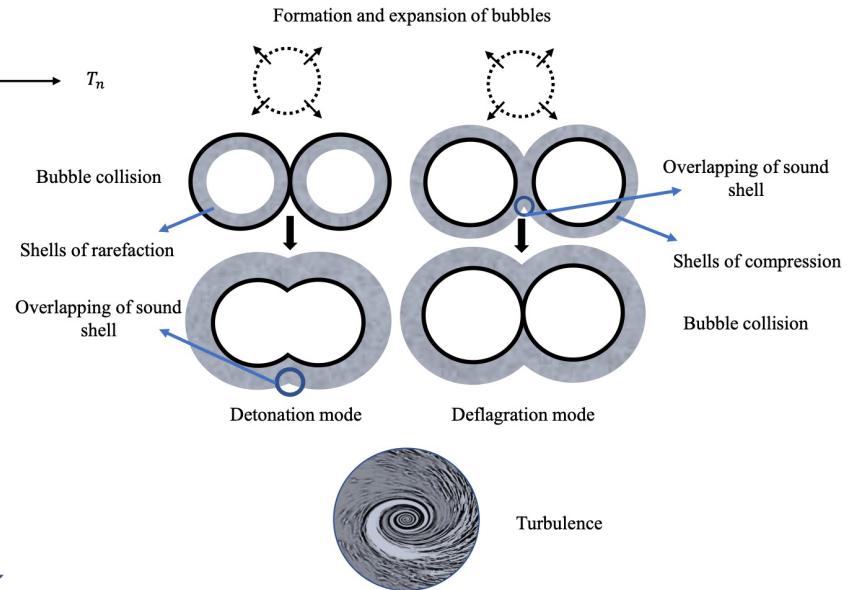
Ellis *et al*, JCAP 06 (2019) 024, JCAP 11 (2020) 020; etc

Lattice simulations

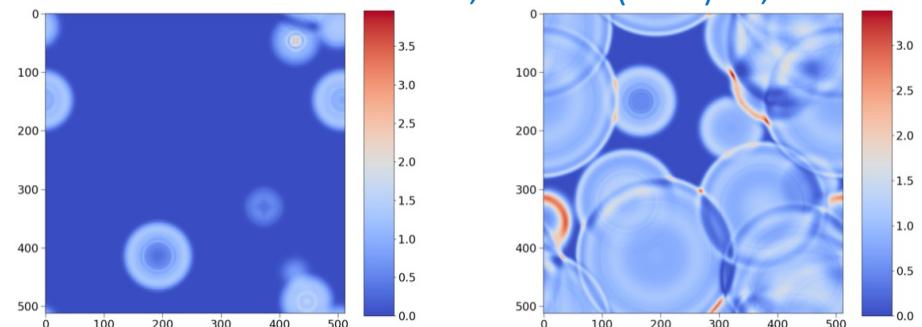
Di, Wang, Zhou, Bian, Cai and Liu, PRL 126 (2021) 25, 251102; Zhao, Di, Bian and Cai, 2204.04427; Li, Bian and Jia, 2304.05220; etc



Wang, Huang and Zhang, JCAP 05 (2020) 045

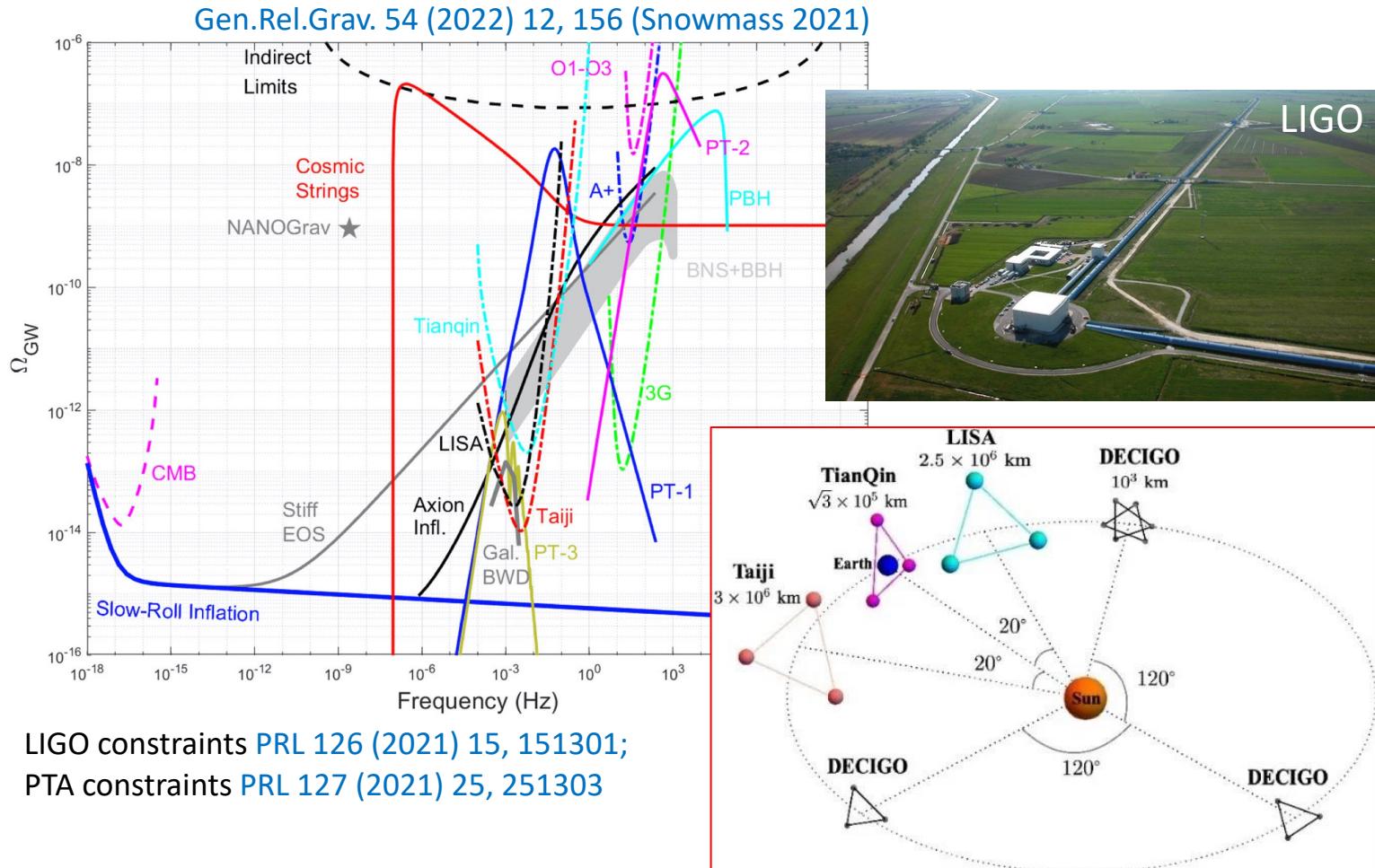


Di *et al*, PRL 126 (2021) 25, 251102



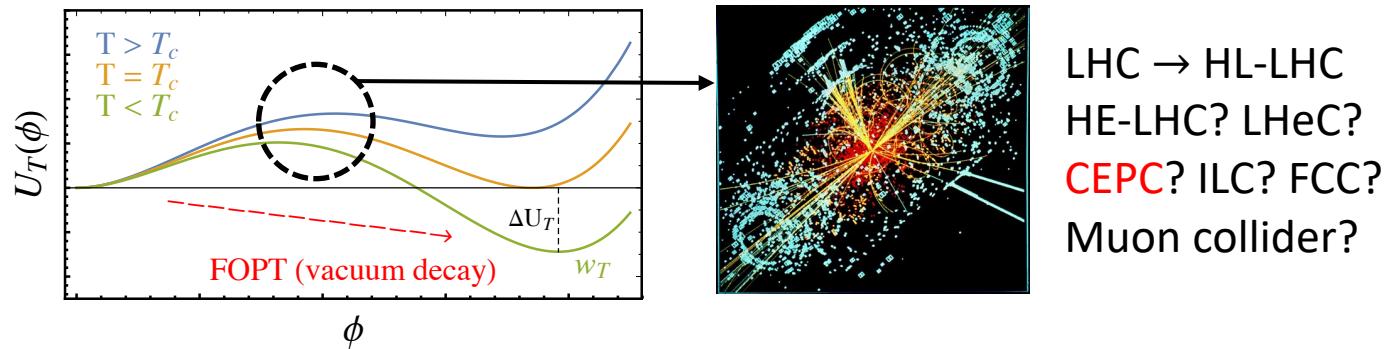
Detecting FOPTs (1)

Current and future GW detectors



Detecting FOPTs (2)

Collider experiments complementarity



Di-Higgs production

Huang, Gu, Yu and Zhang, PRD 93 (2016) 10, 103515; Alves, Guo, *et al*, JHEP 04 (2019) 052, JHEP 12 (2018) 070, JHEP 03 (2020) 053, PLB 818 (2021) 136377; Liu and **KPX**, JHEP 04 (2021) 015; etc

Exotic Higgs decay

Kozaczuk *et al*, PRD 101 (2020) no. 11, 115035; Carena, **KPX** *et al*, 2203.08206 (Snowmass 2021); Liu *et al*, PRD 105 (2022) 11, 115040; etc

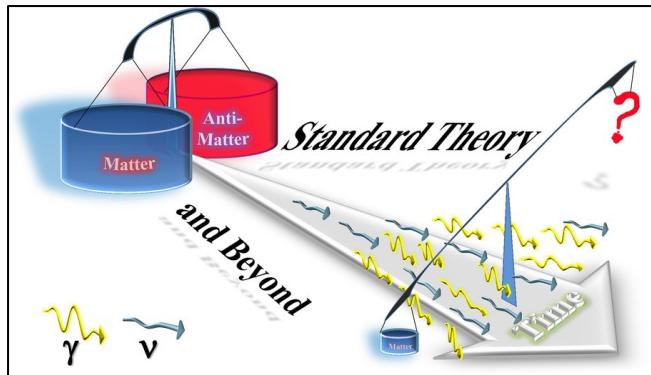
Higgs triple coupling, EW precision measurements

Huang, Gu, Yu and Zhang, PRD 93 (2016) 10, 103515; Cao, Huang, **KPX** and Zhang, CPC 42 (2018) 2, 023103; Su, Williams and Zhang, JHEP 04 (2021) 219; Song, Su and Zhang, JHEP 10 (2022) 048; etc

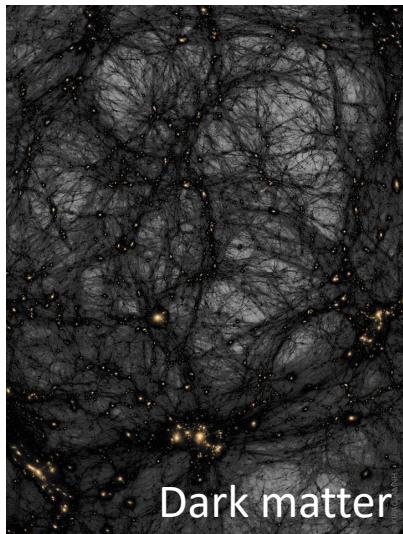
And other signals (very model-dependent)

Closing remarks

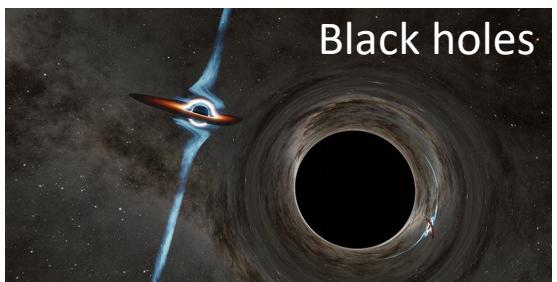
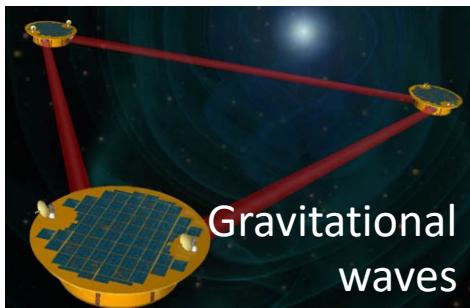
A lot of fun in a boiling Universe!



Matter-antimatter asymmetry



Dark matter



Thank you!