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SHANDONG UNIVERSITY

BESIII track reconstruction algorithm based on machine learning

Xiaoqian Jia¹ , Xiaoshuai Qin¹ , Teng Li¹ , Xingtao Huang¹ ,
Xueyao Zhang¹ , Yao Zhang² and Ye Yuan²

1. Shandong University, Qingdao

2. Institute of High Energy Physics, Beijing

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Outline

01 Motivation

02 Methodology

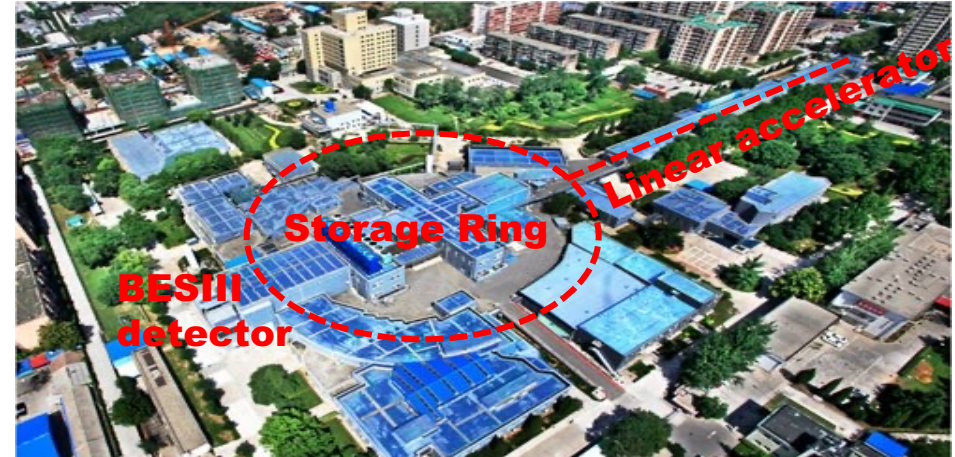
➤ Filtering Noise via GNN

➤ Clustering of Tracks Based on DBSCAN and RANSAC

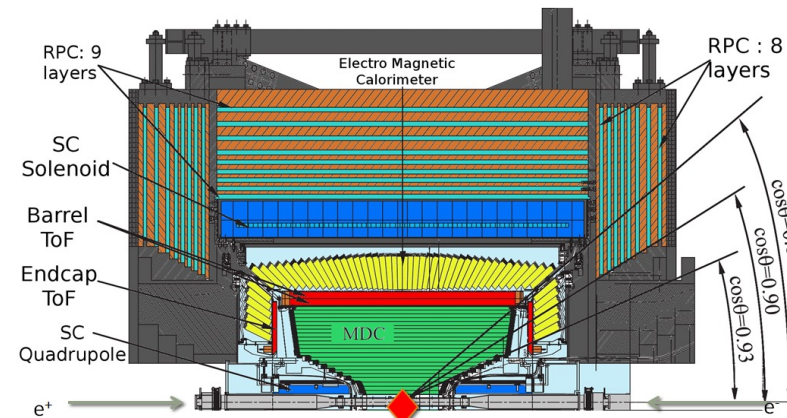
03 Preliminary Results

04 Summary

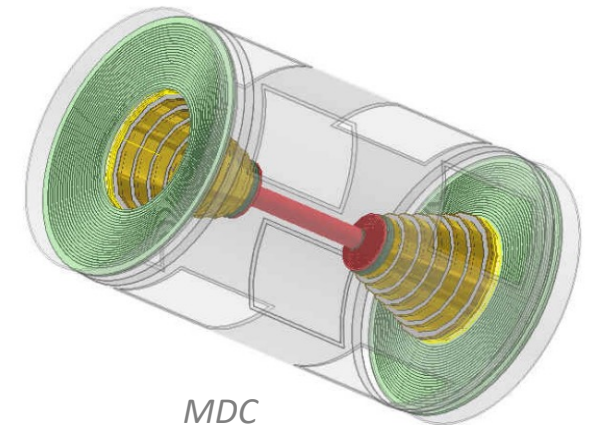
- ◆ Beijing electron-positron collider (BEPCII)
 - Peak luminosity : $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
 - CMS: 2.0 - 4.95 GeV, τ -charm region
 - World's largest J/ψ dataset : 10 billion
- ◆ Beijing Spectrometer (BESIII)
 - Study the electroweak and strong interactions
 - Search for new physics
- ◆ Main Drift Chamber (MDC)
 - 43 sense wire layers
 - dE/dx resolution : 6%
 - Momentum resolution : $0.5\% @ 1\text{GeV}/c$



Aerial view of the BEPCII

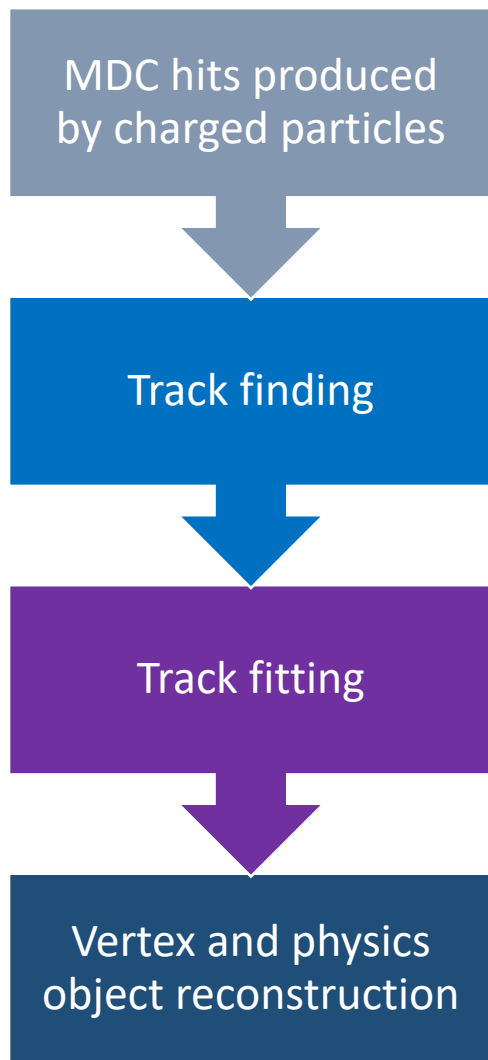


BESIII detector

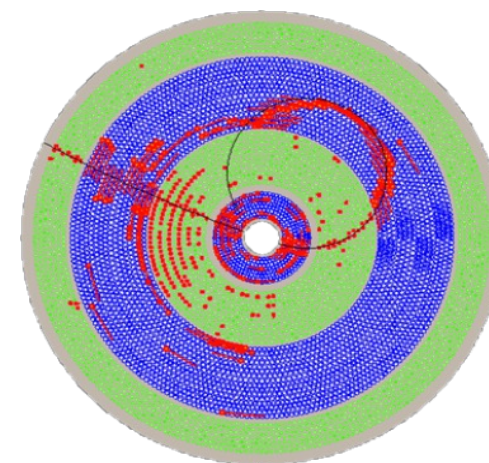


MDC

Traditional tracking of BESIII drift chamber

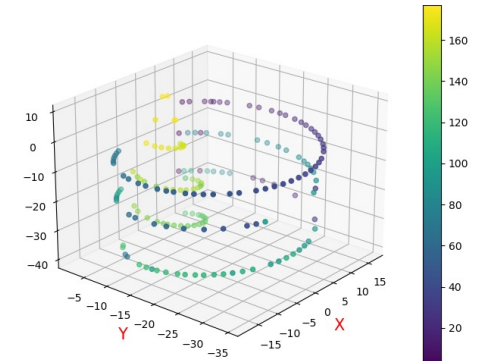


- ◆ Identify hits from different tracks
 - Global method : Hough transform (HOUGH)
 - Local method : Template matching for segment (PAT)
- Combinatorial Kalman Filter (CKF)
- ◆ Estimate the track parameters
 - Kalman filter
 - Runge-Kutta
- ◆ Estimate charged particles properties
 - Momentum and direction
 - Charge

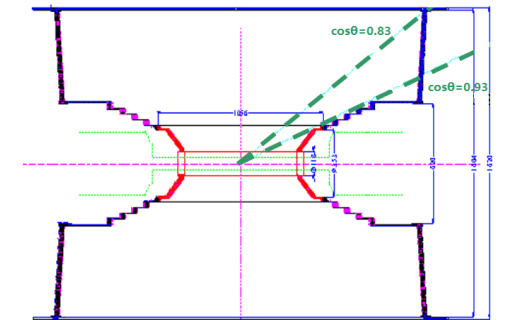


01 Motivation

- ◆ **Further optimizations:** Increase the tracking efficiency and performance for special events
 - Low transverse momentum
 - Large dip angle
 - Secondary vertex
- ◆ **New Challenge:** Higher Background and noise with the upgrade of BEPCII
- ◆ **But** the optimization of the traditional tracking algorithm could be **very challenging**
- ◆ **Goals of this study**
 - Explore the new tracking method with novel technologies
 - GNN, DBSCAN...
 - **Develop** experiment independent tracking **for other experiments (i.e. STCF, CEPC ...)**

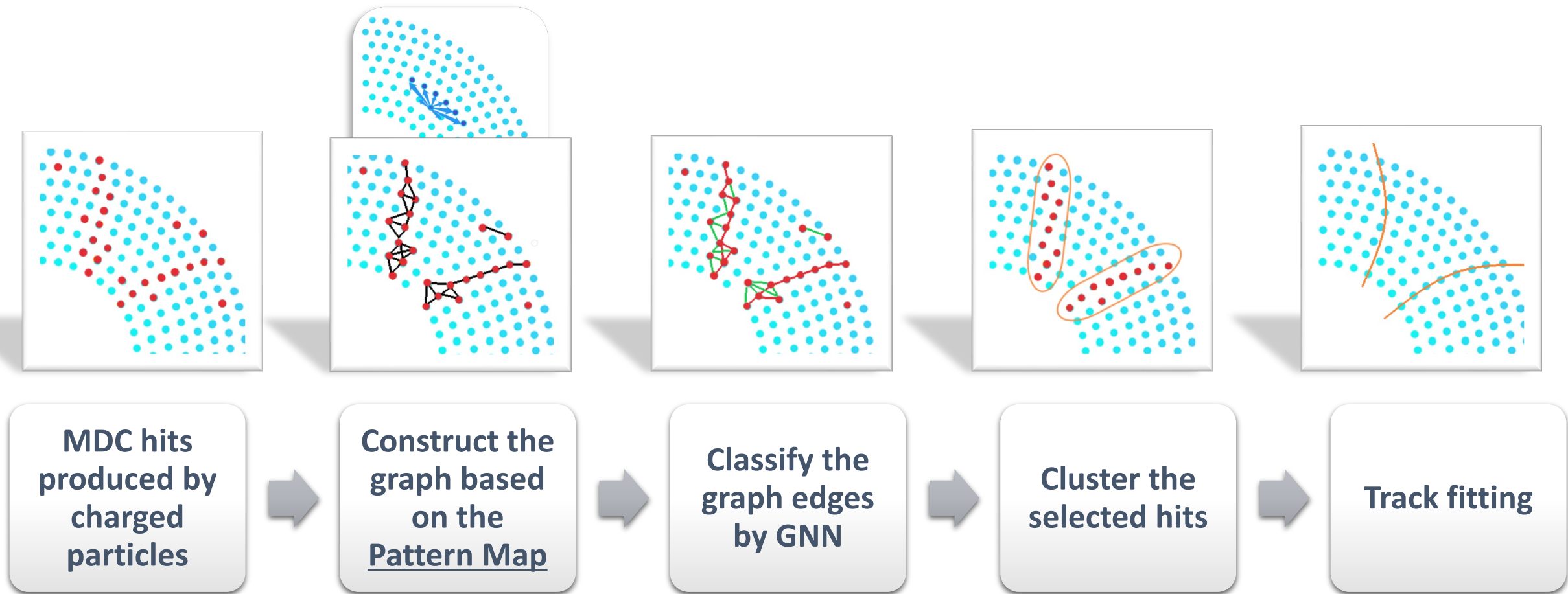


Track of low transverse momentum(51.8 MeV) particle



ZR view of drift chamber

02 Methodology: workflow

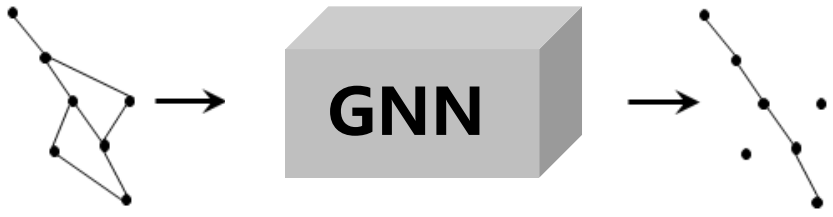
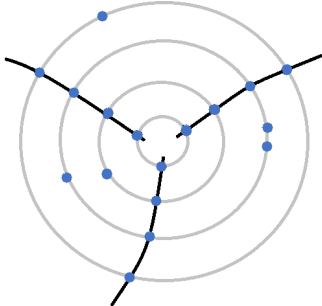
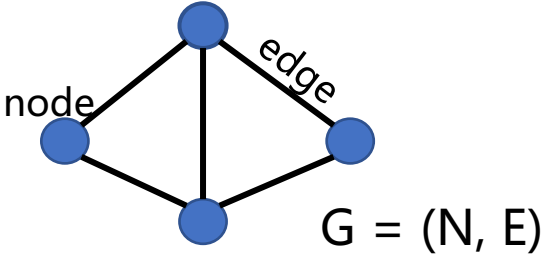


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02

Graph Neural Network

- ◆ A type of neural network that are specifically designed to operate on graph-structured data
- ◆ Graph: nodes, edges
- ◆ Graph \rightarrow Track
 - Nodes \rightarrow Hits
 - edges \rightarrow track segments
- ◆ GNN key idea: propagate information across the graph using a set of learnable functions that operate on node and edge features
- ◆ Graph Neural Network edge classifier
 - High classification score
 - \rightarrow *the edge belongs to a true particle track*
 - Low classification score
 - \rightarrow *it is a spurious or noise edge*



02 Graph construction

Pattern Map based on MC simulation

To reduce the number of fake edges during graph construction

◆ Definition of valid neighbors

- Hits on the same layer
 - Two adjacent sense wires on the left and right
- Hits on the next layer

The collection of sense wires that could potentially represent two successive hits on a track

◆ MC sample used to build pattern map

- Two million single tracks produced with BESIII offline software (BOSS)
- 5 types of charged particles (e^\pm , K^\pm , μ^\pm , p^\pm , π^\pm)
- $0.05 \text{ GeV}/c < P < 3 \text{ GeV}/c$

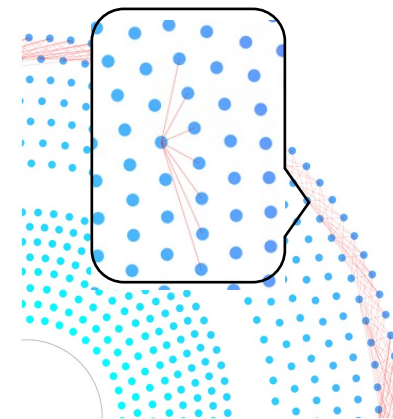
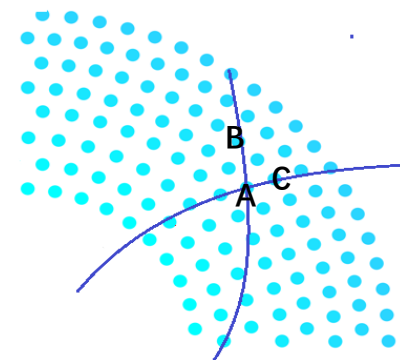
◆ Edge assignment based on Pattern Map

- Hit with its neighbors on the **same layer** and **next layer**
- Hit with its neighbors' neighbors on **one layer apart**

◆ To reduce the size of the graphs, the Pattern Map is further reduced based on a **probability cut**

◆ Graph representation

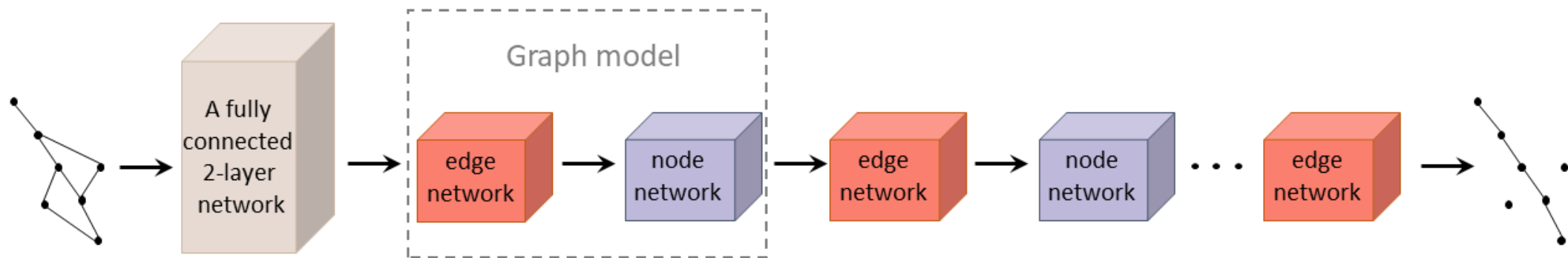
- Node features (raw drift time, position coordinates r , ϕ of the sense wires), adjacency matrices, edge labels



A wire on layer13 and its neighbors on layer14

02 GNN edge Classifier based on PyTorch

- ◆ Input network
 - Node features embedded in latent space
- ◆ Graph model
 - Edge network computes **weights for edges** using the features of the start and end nodes
 - Node network computes **new node features** using the edge weight aggregated features of the connected nodes and the nodes' current features
 - MLPs
 - 8 graph iterations
- ◆ Strengthen important connections and weaken useless or spurious ones



02 Performance of filtering noise

◆ Dataset

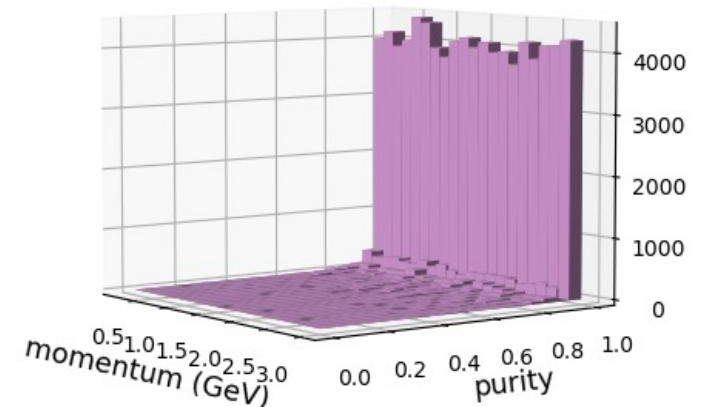
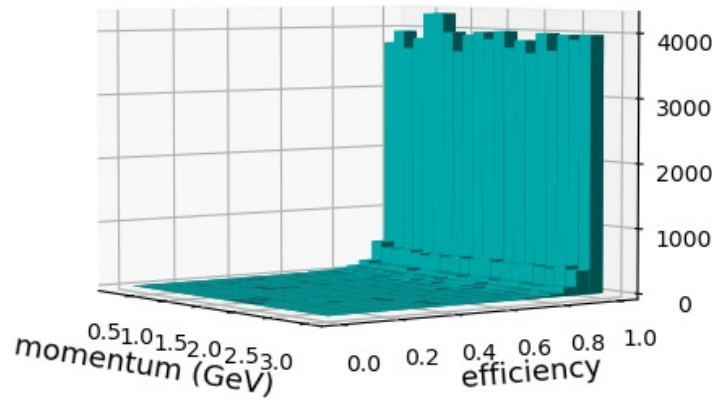
- Single-particle (e^\pm , K^\pm , μ^\pm , p^\pm , π^\pm) MC sample
- $0.2 \text{ GeV}/c < P < 3.0 \text{ GeV}/c$
- Mixed with BESIII random trigger data as background (~45% hits)
- Train: Validation: Test = 4: 1: 1

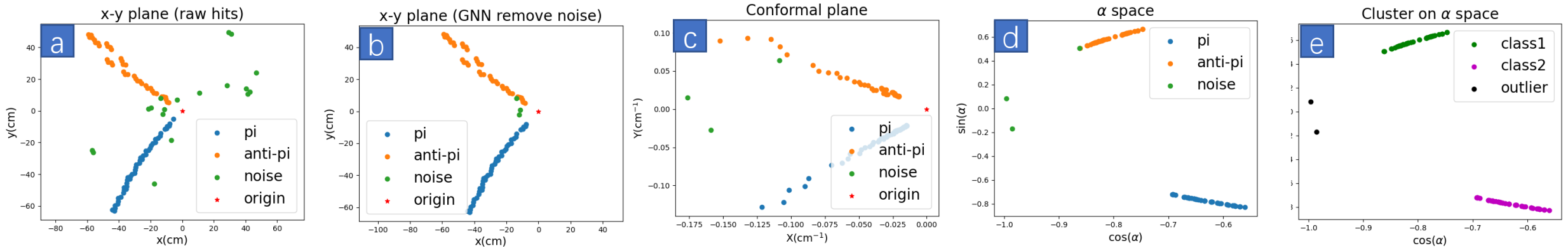
◆ Hit selection performance

- The preliminary results show that GNN provides high efficiency and purity of hits selection

- *Hit selection Efficiency* : $\frac{N_{signal}^{predicted}}{N_{signal}^{real}}$

- *Hit selection Purity* : $\frac{N_{signal}^{predicted}}{N_{all}^{predicted}}$





a) Original MC data sample

- $J/\Psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$
- $\pi^+, \pi^- : P_t (0.2\text{GeV} - 1.4\text{GeV})$

b) Remove noise via GNN

c) Transform to Conformal plane

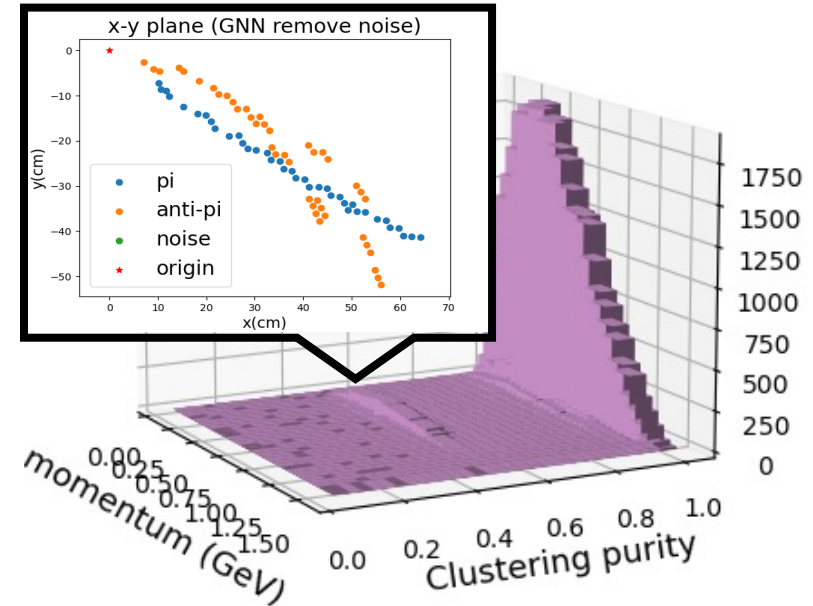
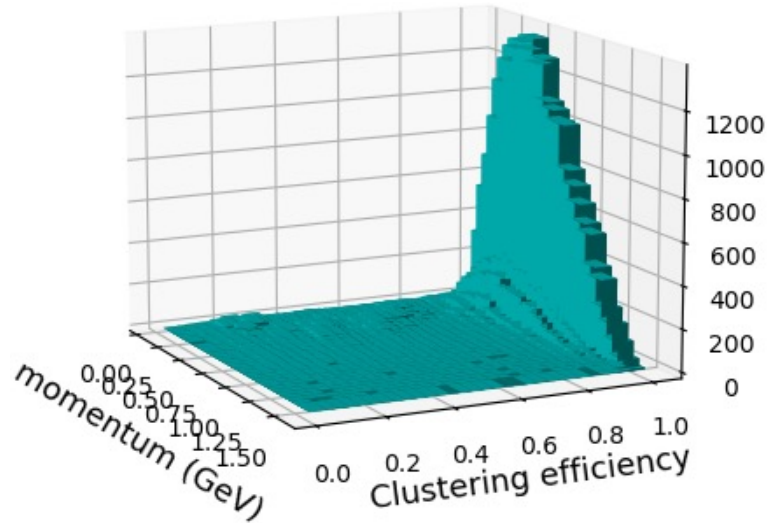
- $X = \frac{2x}{x^2+y^2} \quad Y = \frac{2y}{x^2+y^2}$
- Circle passing the origin transform into a straight line

d) Transform to ' α ' parameter plane

- Hits connected in the X-Y plane in a straight line
- α as the angle between the straight line and X axis
- The parameter space as $\cos\alpha$ and $\sin\alpha$

e) DBSCAN clustering in ' α ' parameter plane

- Density-Based Spatial Clustering of Application with Noise
- Hits in a cluster are considered to be in the same track



◆ DBSCAN can achieve high clustering efficiency ($\frac{N_{track}^{predicted}}{N_{track}^{real}}$)

◆ An obvious bulge at the purity ($\frac{N_{cluster}^{real}}{N_{cluster}^{all}}$) of about 0.5

- Can not separate hits from the two very close tracks
- It accounts for about 3.5%

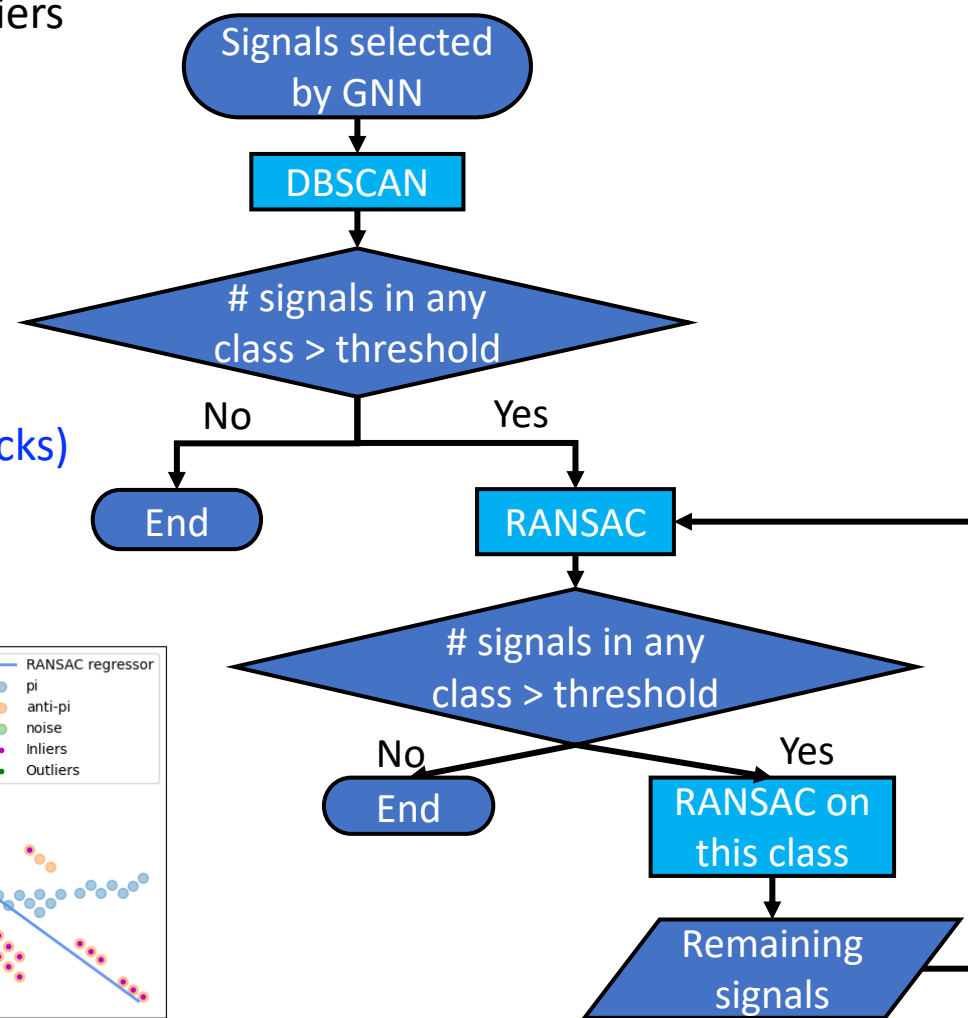
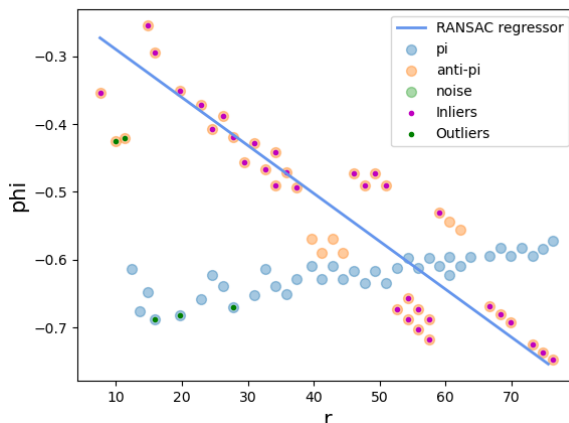
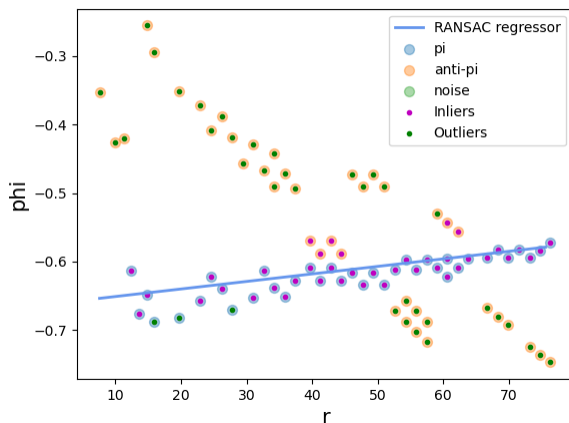
02 Optimizations

◆ Random sample consensus (RANCAS)

- Estimate a mathematical model from the data that contains outliers
- Its good robustness to noise and outliers
- Model can be specified

◆ RANCAS is triggered by the events that DBSCAN processing fails

- Polar coordinate space
- linear model (being optimized to a more suitable model for tracks)
- Inliers \rightarrow a track , outliers \rightarrow other tracks
- Stop condition: outliers $<$ threshold

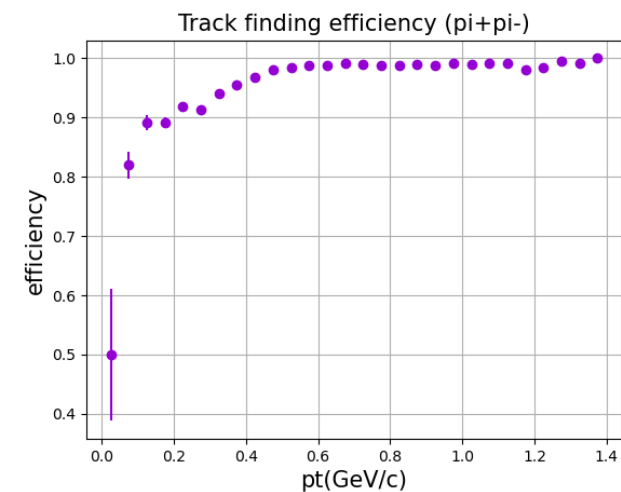
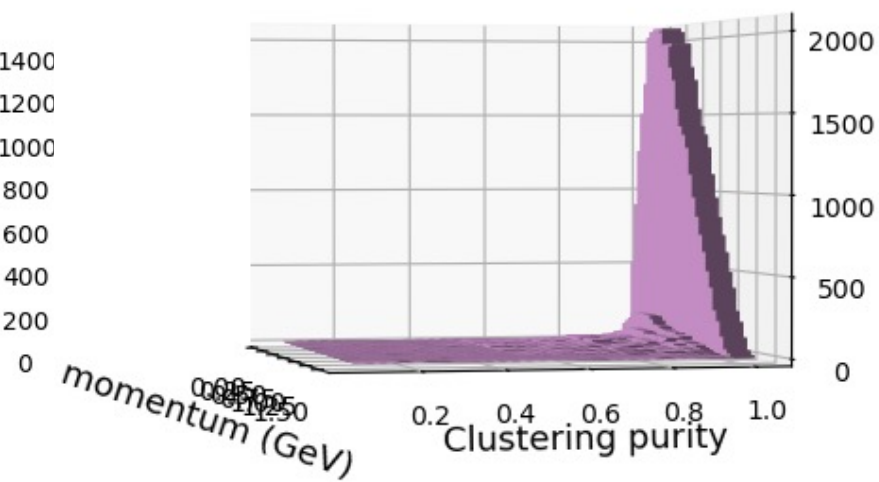
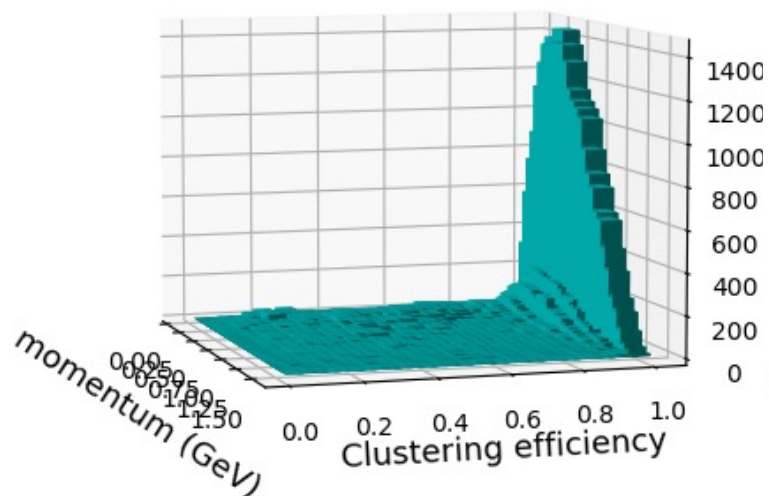


02 Results after Optimizations

◆ Removed bulges at purity

◆ Track finding efficiency

- $track\ eff = \frac{N_{rec\ tracks}}{N_{total\ tracks}}$
- $Pt > 0.2\ GeV/c$, track eff > 90%
- $Pt > 0.45\ GeV/c$, track eff > 98%



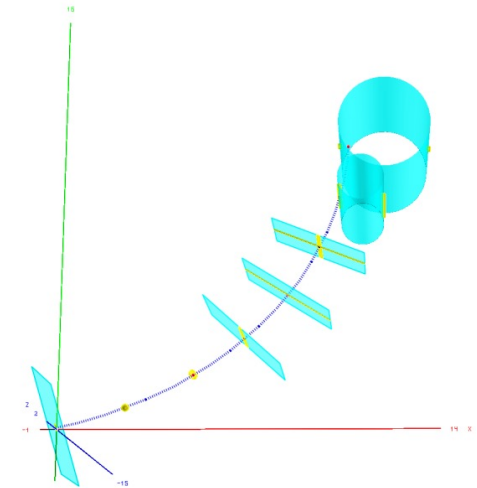
02 Track fitting

◆ Genfit2

- A Generic Track-Fitting Toolkit
- Experiment-independent framework
- PANDA, Belle II, FOPI and other experiments
- Deterministic annealing filter (DAF) to resolving the left-right ambiguities of wire measurements

◆ Configuration: Detector geometry and materials

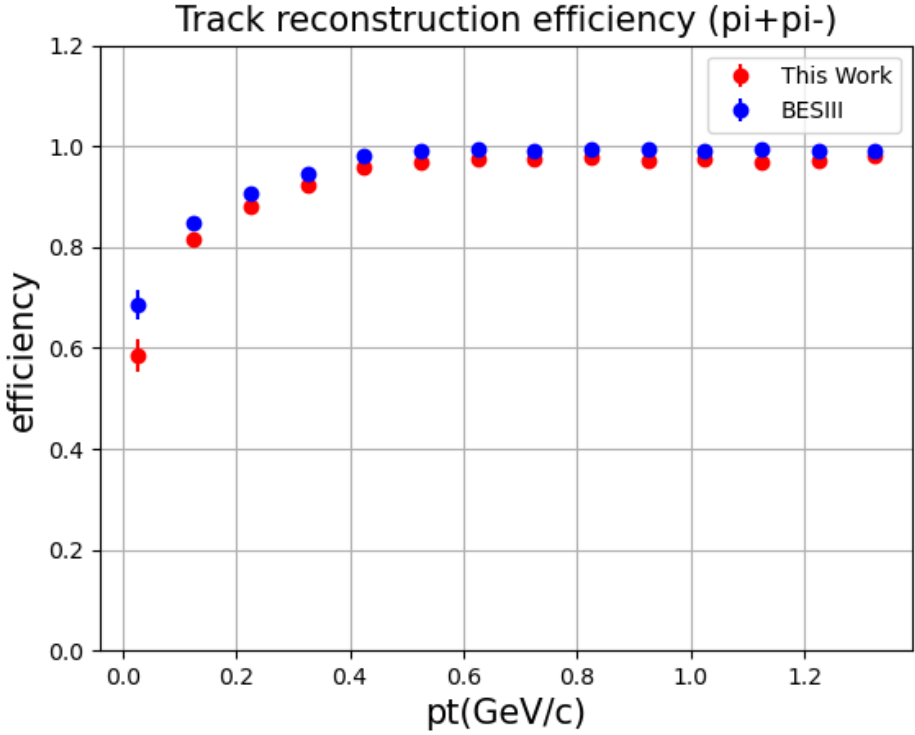
◆ Input : Signal wire position, initial values of position and momentum, 5 hypothesis



03

Preliminary Results

- ◆ Particle reconstructed performance
 - $J/\psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$ from MC simulation
 - The preliminary results presents promising performance

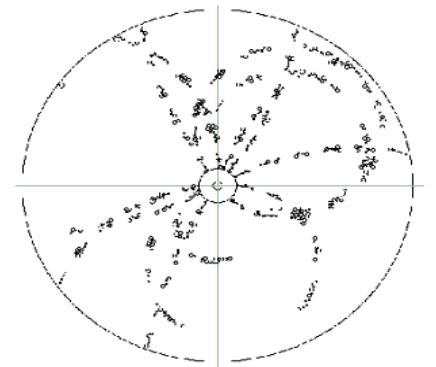


04 Summary

- ◆ A novel tracking algorithm prototype based on machine learning method at BESIII is under development
 - GNN to distinguish the hit-on-track from noise hits.
 - Clustering method based on DBSCAN and RANSAC to cluster hits from multiple tracks
- ◆ Preliminary results on BESIII MC data shows promising performance

Outlook

- ◆ Further optimization of the cluster model is needed
- ◆ Performance verification concerning events with more tracks
- ◆ Check the reconstruction time





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Thank you !

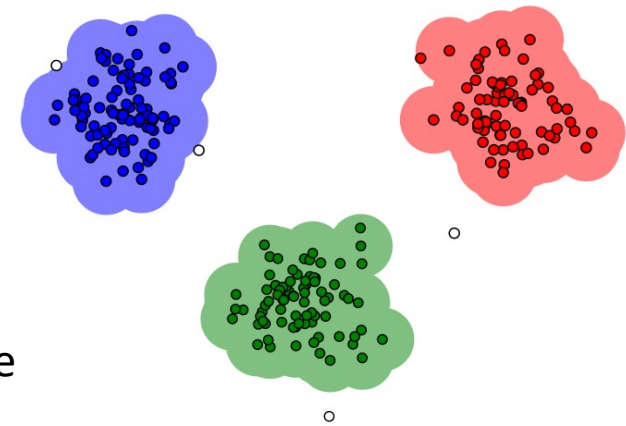
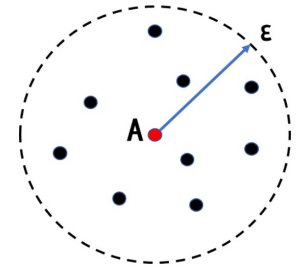
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Back up

DBSCAN (Density-Based Spatial Clustering of Applications with Noise)

- ◆ A density-based clustering algorithm that can automatically discover clusters of arbitrary shapes and identify noise points
- ◆ Robust to outliers
- ◆ Not require the number of clusters to be told beforehand
- ◆ Parameter
 - Epsilon (radius of the circle to be created around each data point)
 - MinPoints (the minimum number of data points required inside that circle for that data point to be classified as a Core point)
 - Choose MinPoints based on the dimensionality ($\geq \text{dim}+1$), and epsilon based on the elbow in the k-distance graph



RANSAC (Random Sample Consensus)

- ◆ Basic idea: randomly select a subset of data points, fit a model based on these points, and then judge whether the remaining data points belong to the inlier set by calculating their distances to the model
- ◆ Accurately estimate model parameters even in the presence of noise and outliers
- ◆ The specific steps
 - Randomly select a small subset of data, called the inlier set
 - Fit a model based on the inlier set
 - Calculate the distances between the remaining data points and the model, and classify these points as inliers or outliers based on a certain threshold
 - If the number of inliers reaches a preset threshold, the algorithm exits and the current model is considered good
 - If the number of inliers is not enough, repeat steps 1-4 until the maximum iteration times are reached
- ◆ Parameters such as threshold and iteration times need to be preset

Momentum resolution

◆ Particle reconstructed performance

- $J/\psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$ from MC simulation
- The preliminary results presents promising performance

