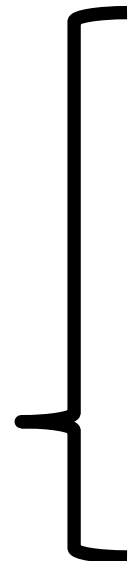
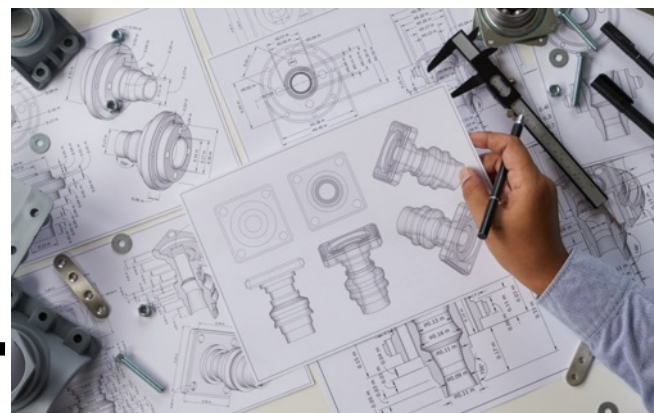


LHCb UT Tracker

袁煦昊 副研究员
中科院高能物理研究所
2023年5月25日



- LHCb experiment
- LHCb upgrades
 - ❑ Upgrade I
 - Upgrade Ib
 - ❑ Upgrade II
- LHCb UT detector
- Summary

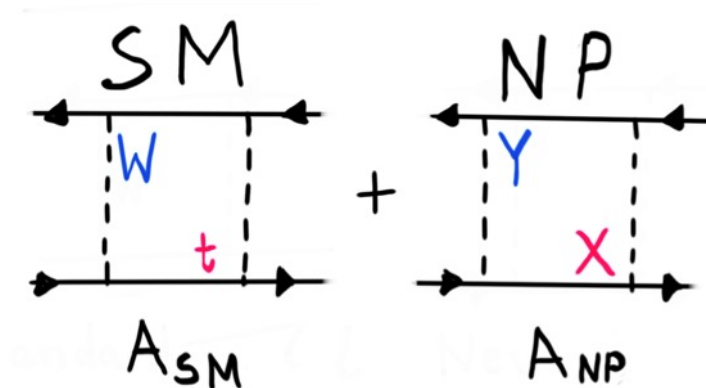


Focus on mechanical in this talk



LHCb located at Large Hadron Collider (LHC)

- Search for New Physics in b & c sectors

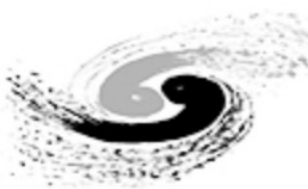


Sensitive to heavy particles in the loops

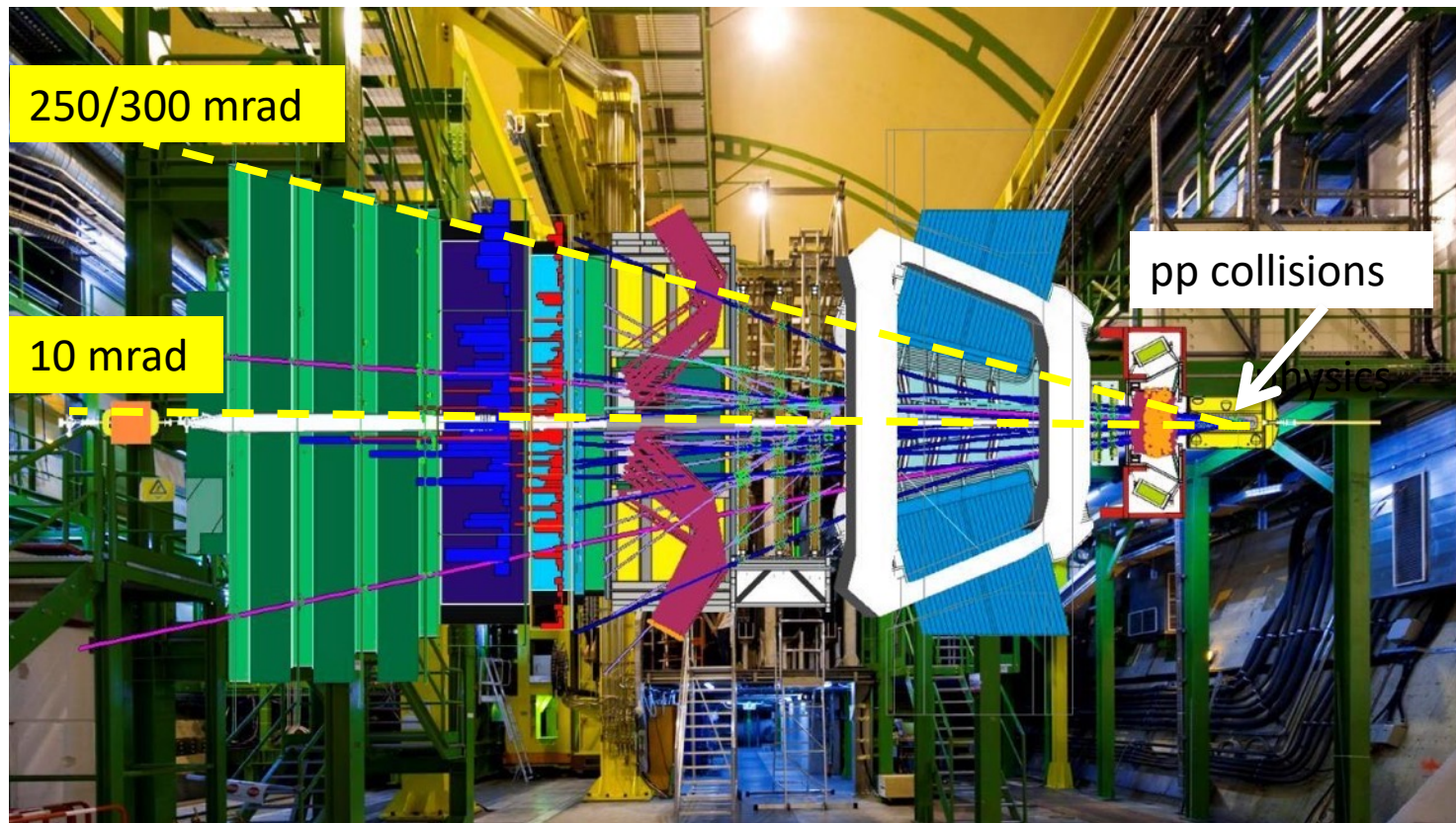
- Rare processes (suppressed or forbidden in SM)
 - ❑ $B_{(s)}^0 \rightarrow \mu^+ \mu^-$, LFV ...
- Observables with very small theoretical uncertainty
 - ❑ CKM angle γ , ϕ_S , $\Delta\Gamma_S$...

```
if res(Exp) != res(SM prediction){
    std::cout<<"NP found!"<<std::endl;
}
```

LHCb: a forward spectrometer @ LHC



Detector before 2019



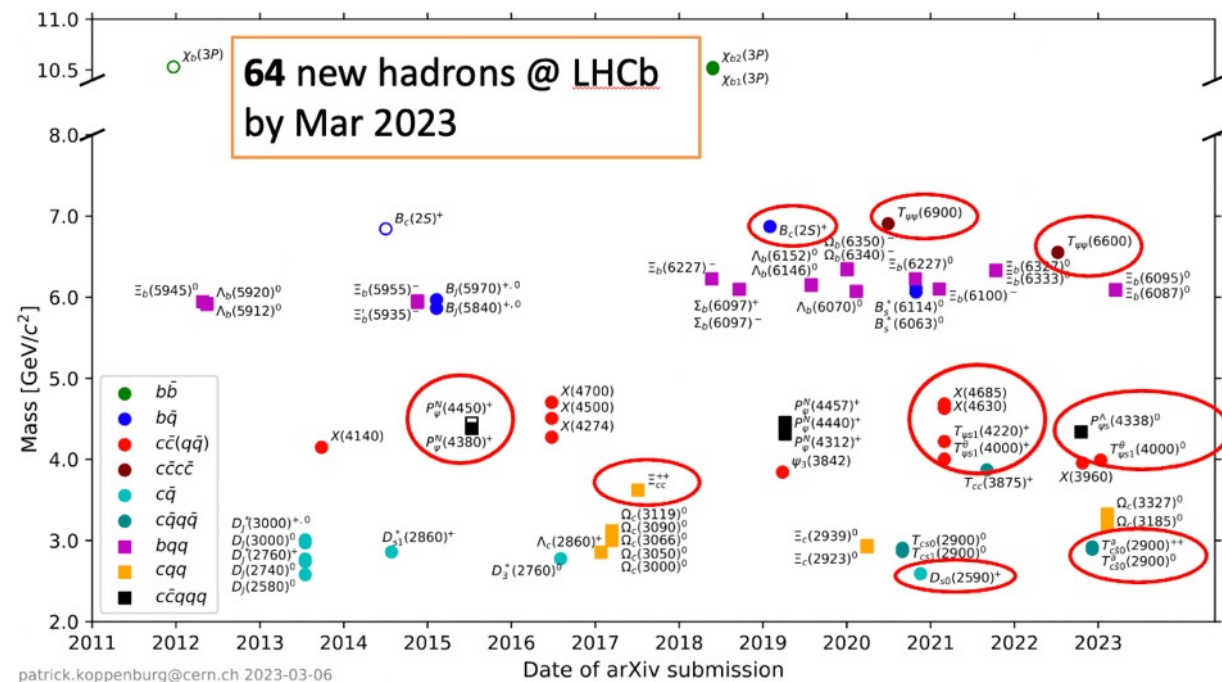
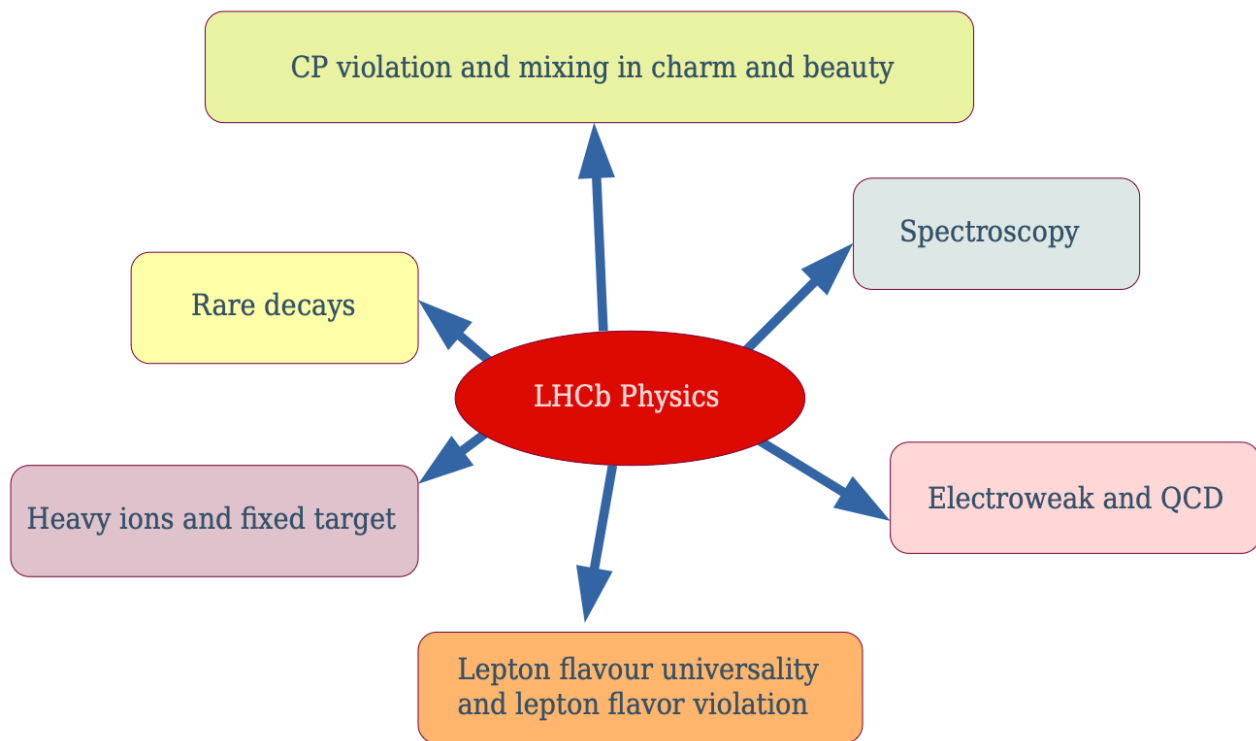
Main physics goal

- To study b & c sectors on CP-violation, rare decays, search for new physics...

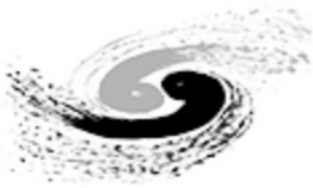
- $\Delta p/p = 0.5\% @ < 20 \text{ GeV}/c$, $1\% @ < 200 \text{ GeV}/c$
- IP resolution $\sim 15 + 29/p_T [\text{GeV}/c] \mu\text{m}$
- Decay time resolution 45 fs ($B_s \rightarrow J/\psi \phi$)
- Kaon ID $\sim 95\%$ for 5% $\pi \rightarrow K$ mis-ID probability



A decade of important discoveries and precision measurements (9 fb^{-1} pp data)



patrick.koppenburg@cern.ch 2023-03-06



- LHCb experiment
- LHCb upgrades
 - Upgrade I
 - Upgrade Ib
 - Upgrade II
- LHCb UT detector
- Summary



Upgrade I (U1), started in LS2 and to be completed in weeks:

$$\mathcal{L}_{\max} \sim 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$$

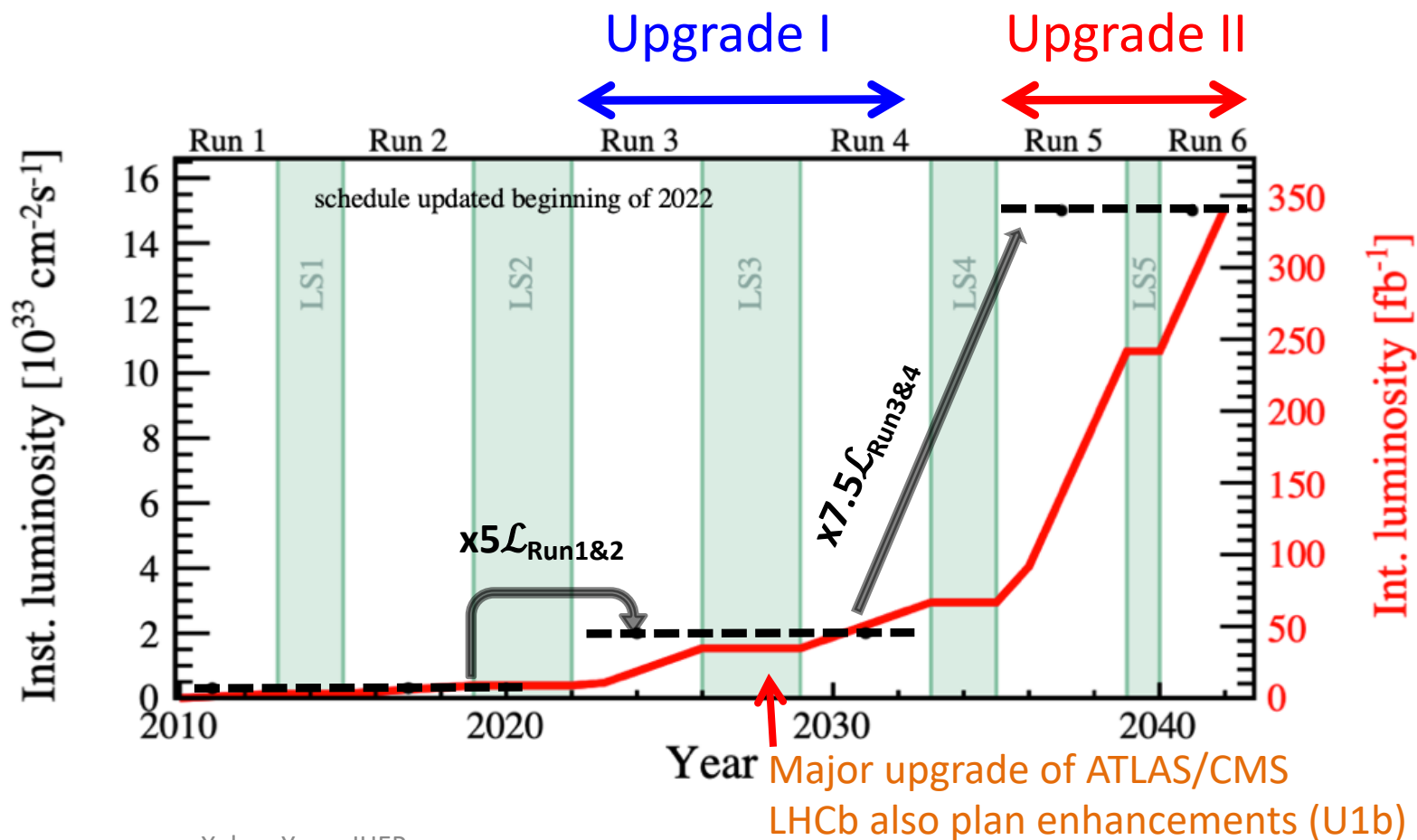
$$\mathcal{L}_{\text{int}} \sim 50 \text{ fb}^{-1}$$

Upgrade II (U2), starts after LS4

$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

Some smaller detector consolidation and enhancements in LS3 (2026) \leftrightarrow U1b





Higher luminosity ($5 \times \mathcal{L}_{\text{Run1\&2}}$) results in

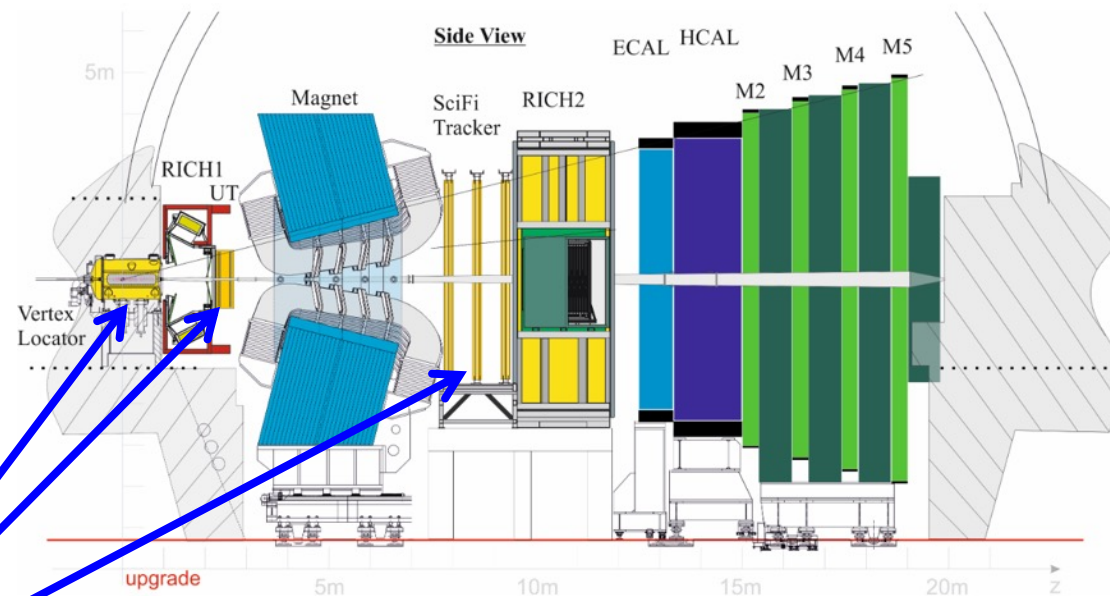
- Higher pile up, occupancy, fluence

No hardware trigger

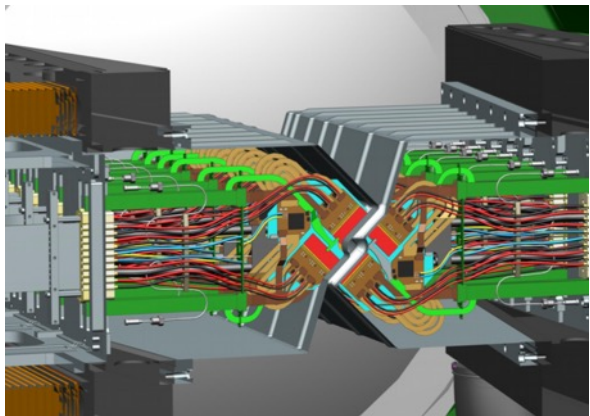
- GPU + CPU full software-based trigger
- Readout at the LHC bunch xing rate (40 MHz) for all subdetectors

New tracking system

- **VertexLocator (VELO)**, **Upstream Tracker (UT)** and **Scintillating Fiber Tracker (SciFi)**

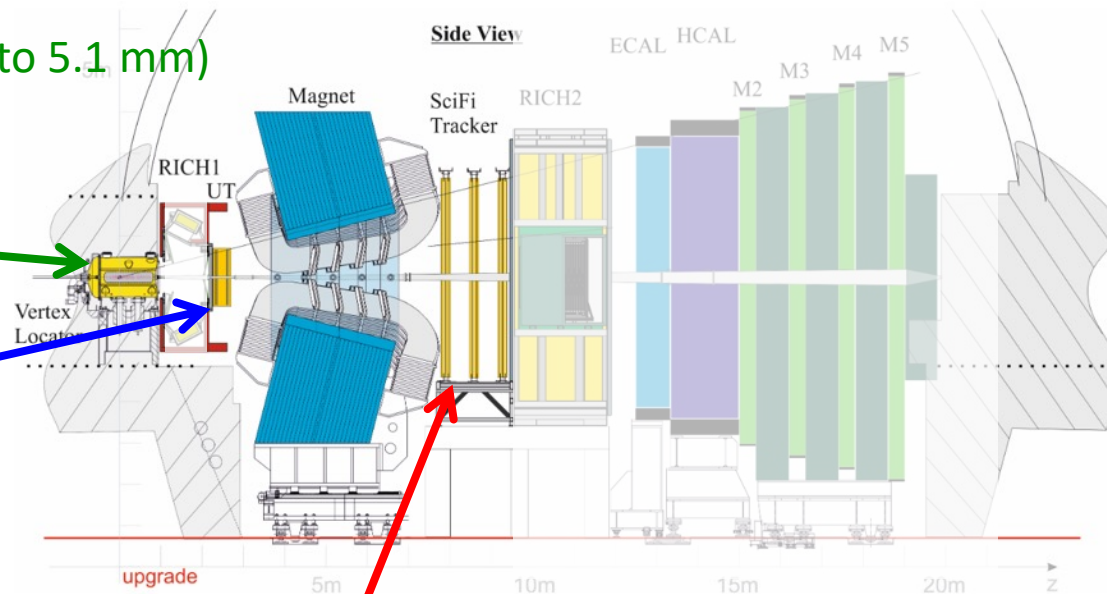


Upgraded trackers: VELO, UT and SciFi



VELO: hybrid pixel detector

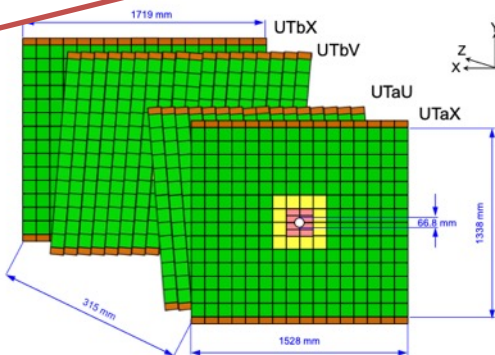
- Closer to the beam (from 8.2 mm to 5.1 mm)
- New RF box
- MAX fluence: $8 \times 10^{15} \text{ MeVn}_{\text{eq}}\text{cm}^{-2}$



UT: Si Strip detector

- Higher coverage, segmentation, resolution
- Speed up tracks reconstruction & reduce P_{GhostTrk}

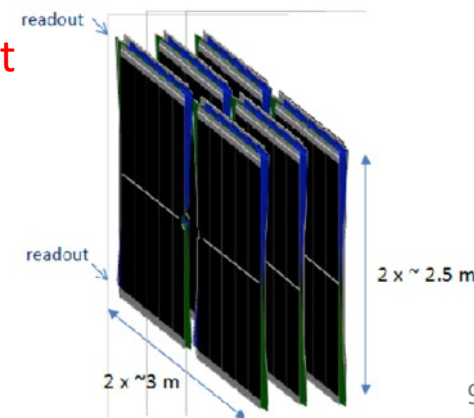
UT: more details later
 LHCb China group contributes PACIFIC R&D and production
 from electronics, software to final installation



SciFi: Scintillating fibers detector

- 3 station with 4 detection layers
- 2x2.5 m long modules with Readout SiPMs at the outer edge

LHCb China group contributes PACIFIC R&D and production



High pile-up in Upgrade II

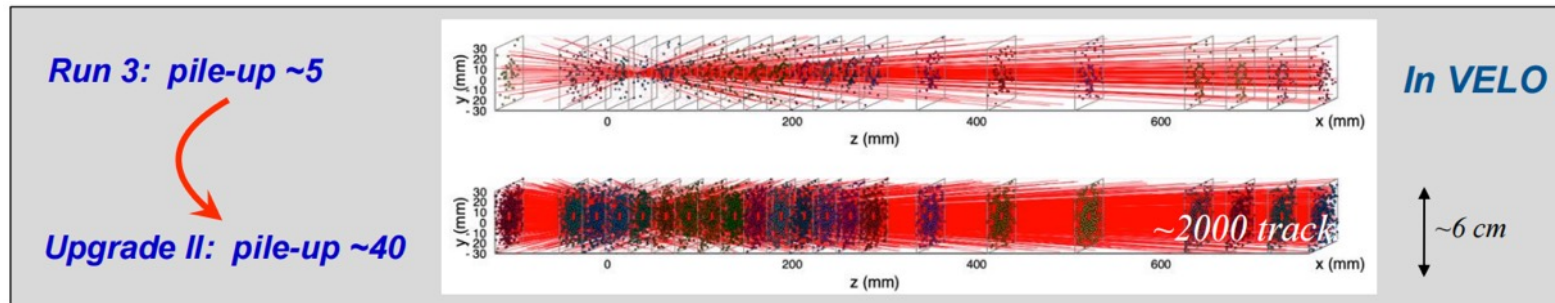


In Upgrade II

$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

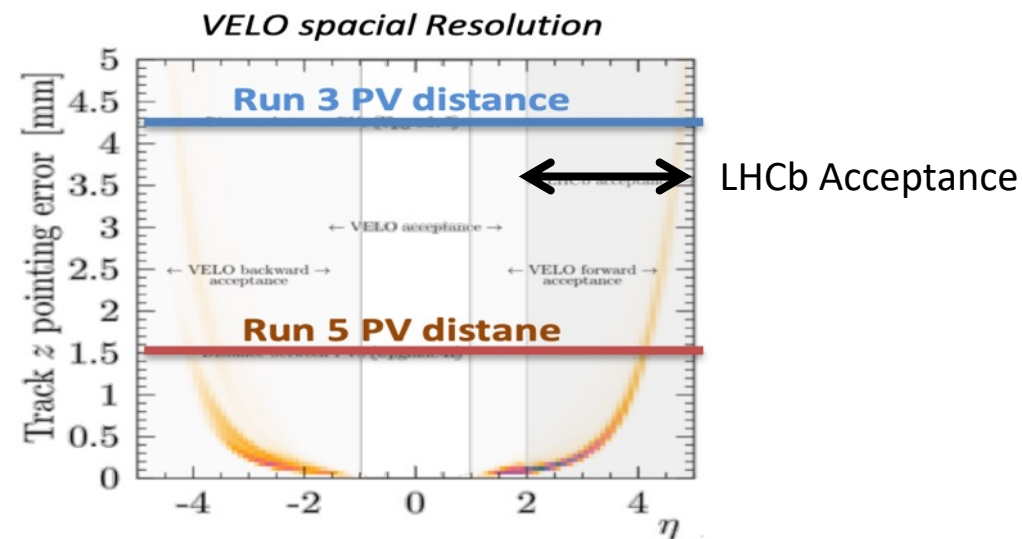
$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

~ 40 visible interactions/Xing



- High pile-up induces PV spacial separation of the same order as VELO resolution
-> PV unresolvable
- Ensure $\epsilon_{\text{trigger}}$ at high pileup condition

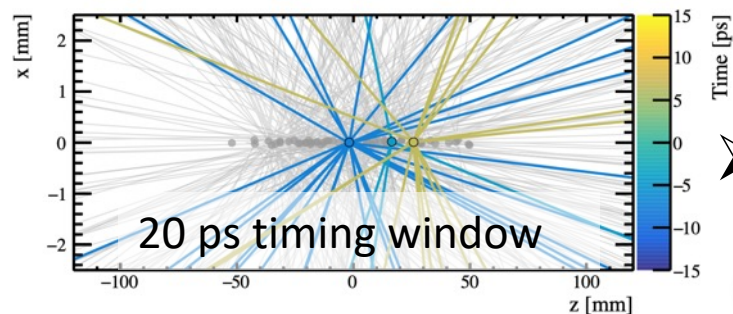
New detector with t information



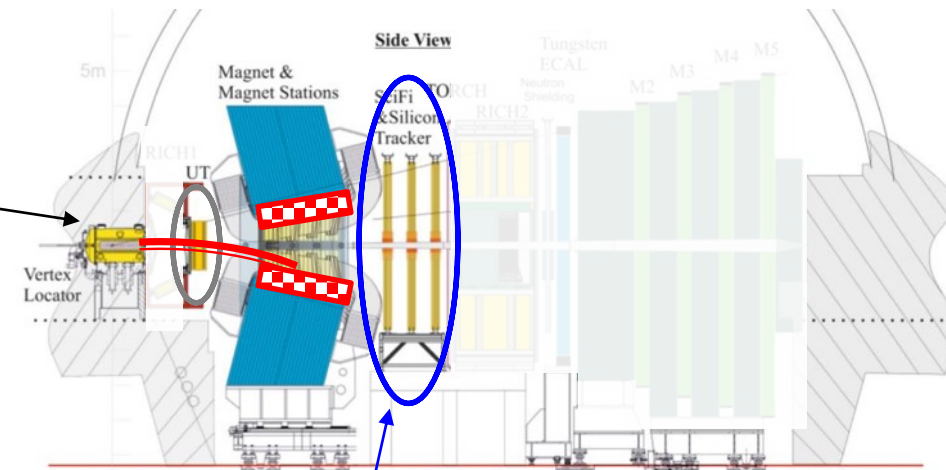
New tracking detectors in U2



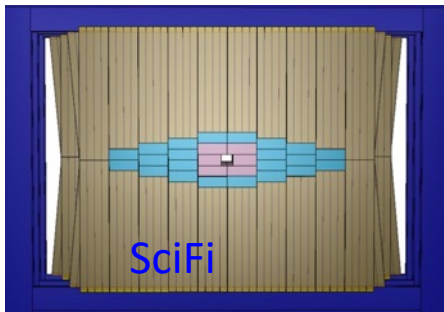
VELO: 4D detector with timing



➤ $\sigma_t(\text{Track}) = 20 \text{ ps}$ restores the performance to U1 level

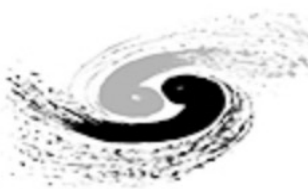


UT: Pixel detector, more details later



SciFi: Keep current design at outer region, while at most inner region CMOS sensors to be used

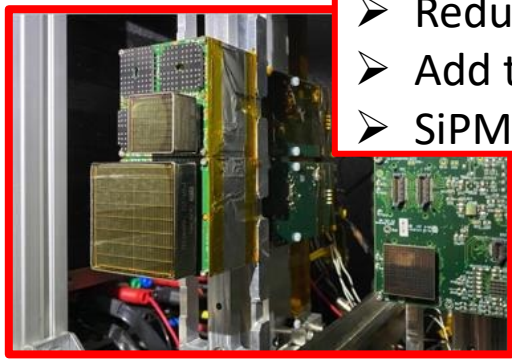
➤ New optical & cooling systems U1b @ 2026



Particle Identification (PID) Detectors

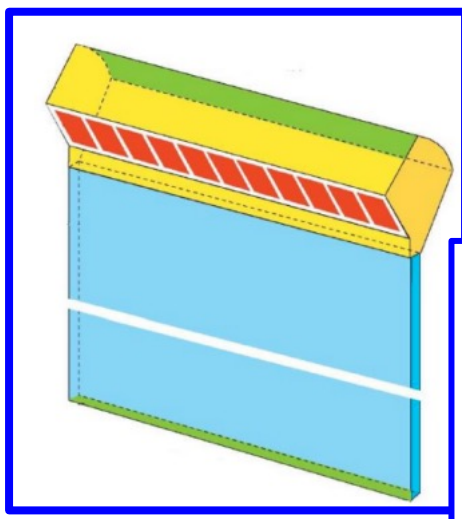
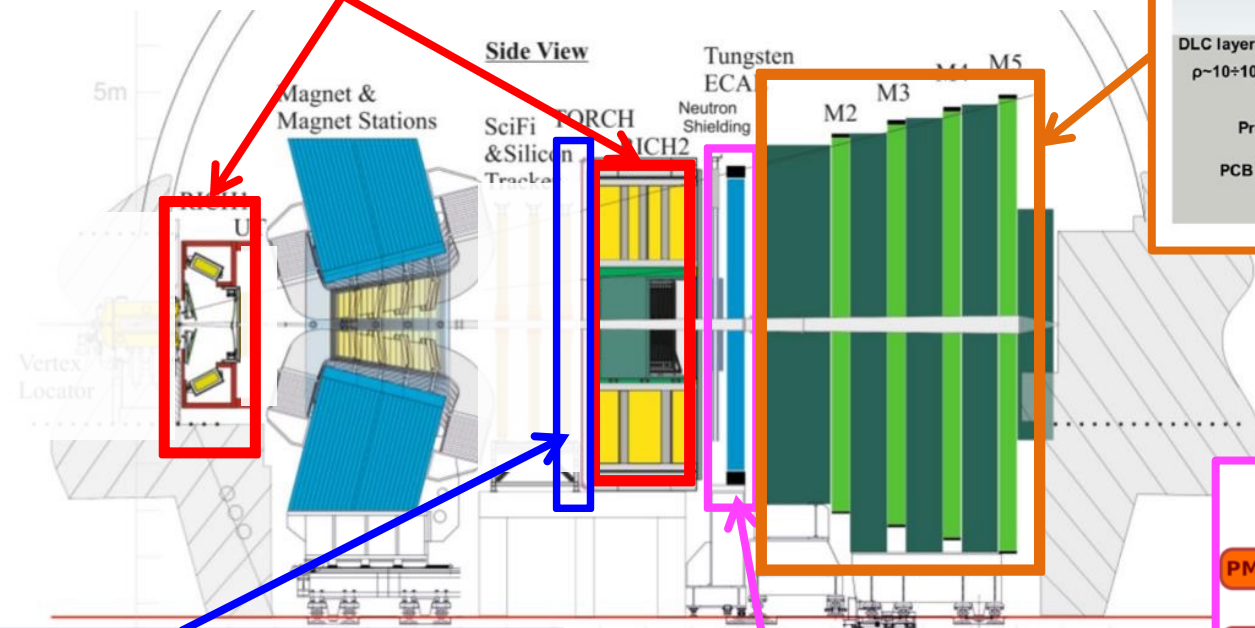
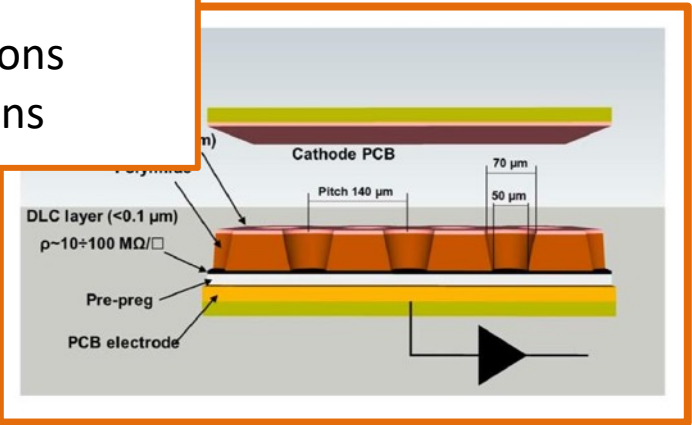
RICH1, RICH2

- Reduced pixel size
- Add timing information
- SiPM, MCP



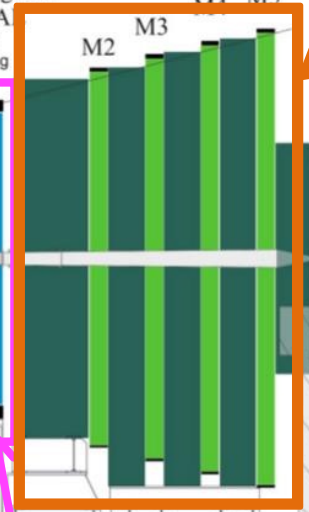
Muon

- μ RWELL for inner regions
- MWPC for outer regions



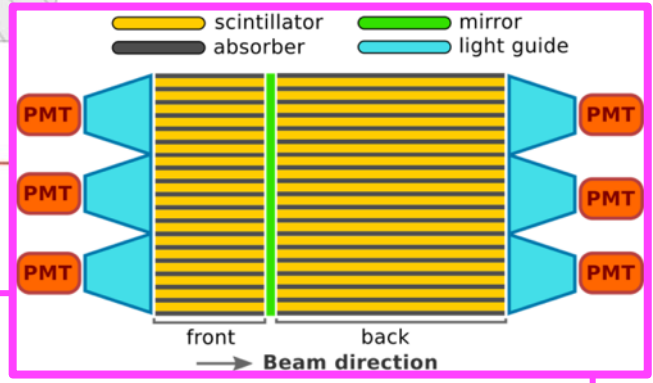
TORCH

- To enhance PID capabilities for soft particles
- Measure light angle, path length and TOF



ECAL

- Space & time, longitudinal segmentation
- SPACAL with radiation hard crystals



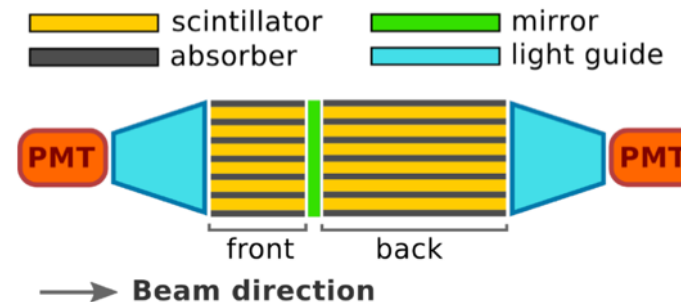
5D calorimeter with precision timing



LHCb China groups are contributing

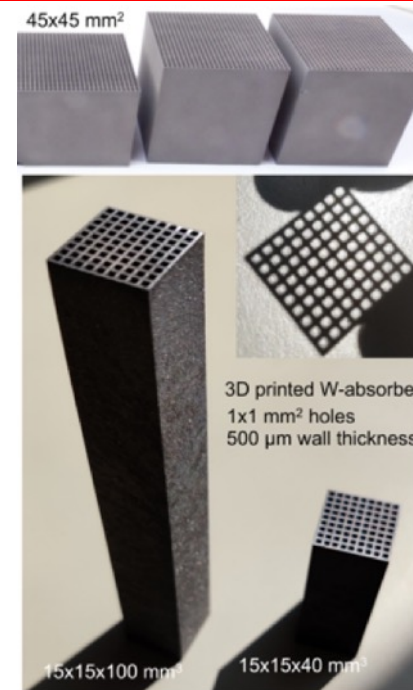
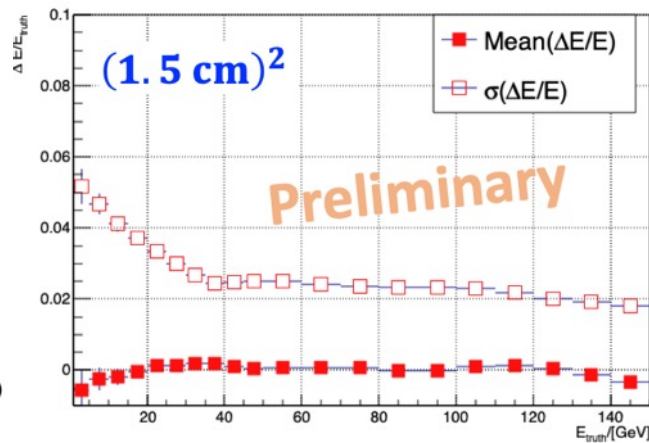
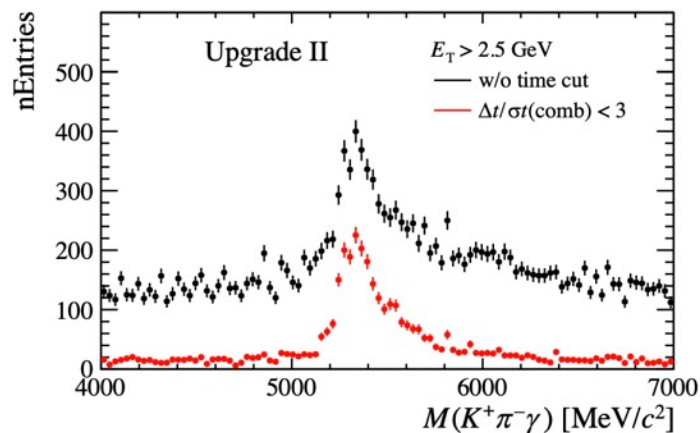
Key features: energy resolution ($10\%/\sqrt{E} \oplus 1\%$), radiation hardness (up to 1 MGy), timing capability (tens of ps) and granularity.

- Multiple technologies for different regions from inner to outer
- Possibility of adding timing layer: Si layers.
- Possibility of replacing the inner-most modules at LS3.



Significant contributions from LHCb China group

- Simulation studies
- Module production





Key observables in flavor physics

Observable	Current LHCb (up to 9 fb ⁻¹)	Upgrade I (23 fb ⁻¹)	Upgrade I (50 fb ⁻¹)	Upgrade II (300 fb ⁻¹)
CKM tests				
$\gamma (B \rightarrow DK, \text{etc.})$	4° [9, 10]	1.5°	1°	0.35°
$\phi_s (B_s^0 \rightarrow J/\psi\phi)$	32 mrad [8]	14 mrad	10 mrad	4 mrad
$ V_{ub} / V_{cb} (\Lambda_b^0 \rightarrow p\mu^-\bar{\nu}_\mu, \text{etc.})$	6% [29, 30]	3%	2%	1%
$a_{\text{sl}}^d (B^0 \rightarrow D^-\mu^+\nu_\mu)$	36×10^{-4} [34]	8×10^{-4}	5×10^{-4}	2×10^{-4}
$a_{\text{sl}}^s (B_s^0 \rightarrow D_s^-\mu^+\nu_\mu)$	33×10^{-4} [35]	10×10^{-4}	7×10^{-4}	3×10^{-4}
Charm				
$\Delta A_{CP} (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	29×10^{-5} [5]	13×10^{-5}	8×10^{-5}	3.3×10^{-5}
$A_\Gamma (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	11×10^{-5} [38]	5×10^{-5}	3.2×10^{-5}	1.2×10^{-5}
$\Delta x (D^0 \rightarrow K_s^0\pi^+\pi^-)$	18×10^{-5} [37]	6.3×10^{-5}	4.1×10^{-5}	1.6×10^{-5}
Rare Decays				
$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	69% [40, 41]	41%	27%	11%
$S_{\mu\mu} (B_s^0 \rightarrow \mu^+\mu^-)$	—	—	—	0.2
$A_T^{(2)} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$A_T^{\text{Im}} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$\mathcal{A}_{\phi\gamma}^{\Delta\Gamma} (B_s^0 \rightarrow \phi\gamma)$	$\begin{matrix} +0.41 \\ -0.44 \end{matrix}$ [51]	0.124	0.083	0.033
$S_{\phi\gamma} (B_s^0 \rightarrow \phi\gamma)$	0.32 [51]	0.093	0.062	0.025
$\alpha_\gamma (\Lambda_b^0 \rightarrow \Lambda\gamma)$	$\begin{matrix} +0.17 \\ -0.29 \end{matrix}$ [53]	0.148	0.097	0.038
Lepton Universality Tests				
$R_K (B^+ \rightarrow K^+\ell^+\ell^-)$	0.044 [12]	0.025	0.017	0.007
$R_{K^*} (B^0 \rightarrow K^{*0}\ell^+\ell^-)$	0.12 [61]	0.034	0.022	0.009
$R(D^*) (B^0 \rightarrow D^{*-}\ell^+\nu_\ell)$	0.026 [62, 64]	0.007	0.005	0.002

LHCC-2021-012

Upgrade II will fully realize the flavor physics potential of the HL-LHC

Further pursue a broad physics programme

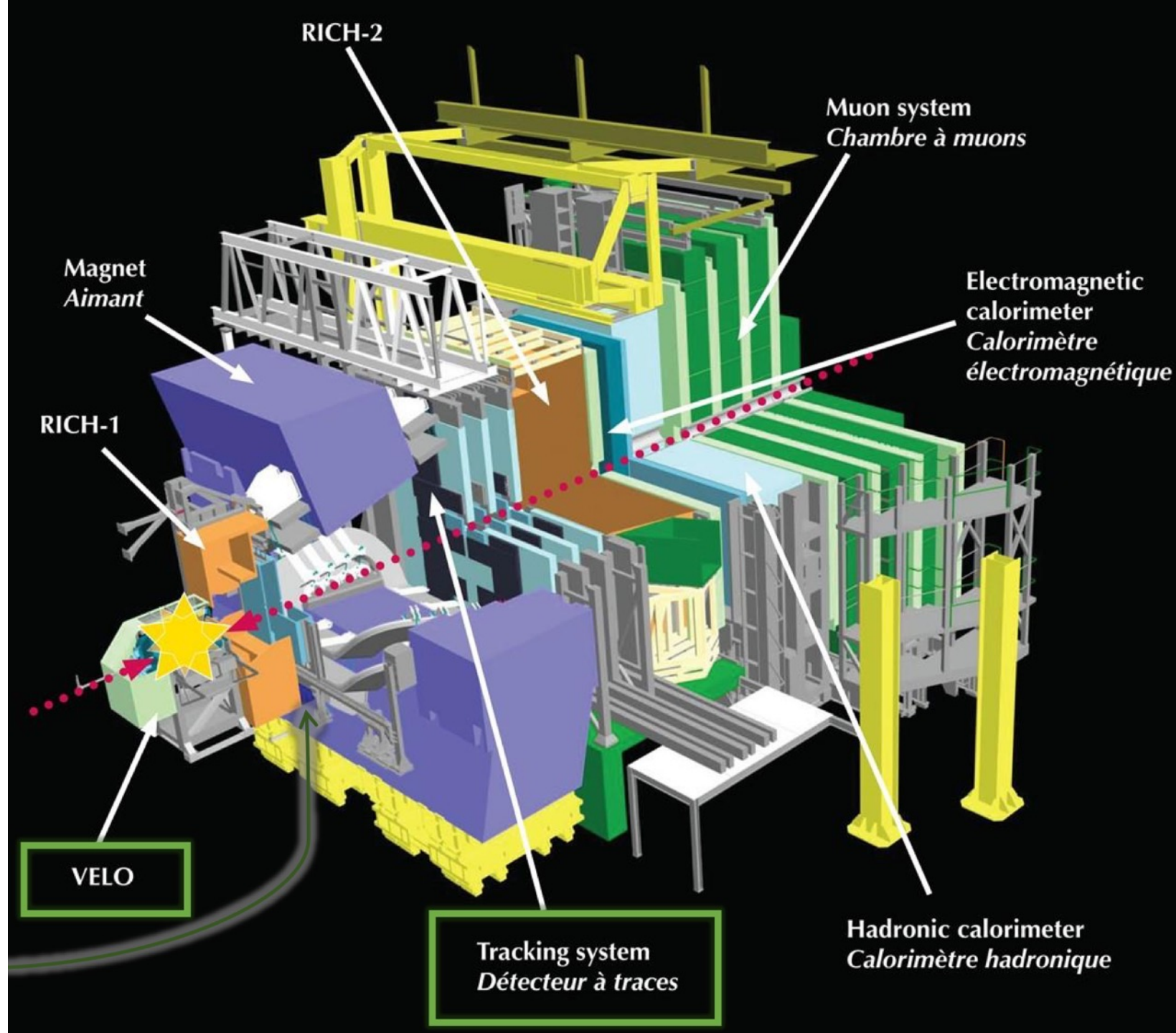
- Spectroscopy
- High precision EW and Higgs
- Dark sector
- Exotic search
- Heavy ions and fixed target

Success of the physics programme relies on

- HL-LHC providing LHCb $\sim 50 \text{ fb}^{-1}/\text{year}$ during Run 5&6
- A detector with similar or better performance as the present one for Run 3

- LHCb experiment
- LHCb upgrades
 - Upgrade I
 - Upgrade Ib
 - Upgrade II
- LHCb UT detector
- Summary

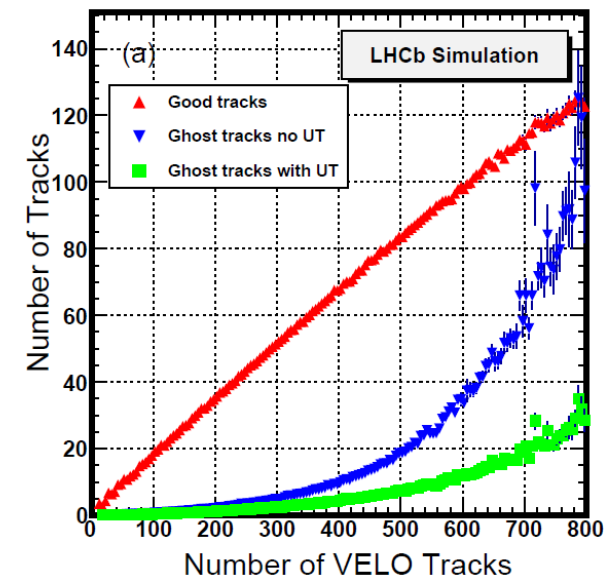
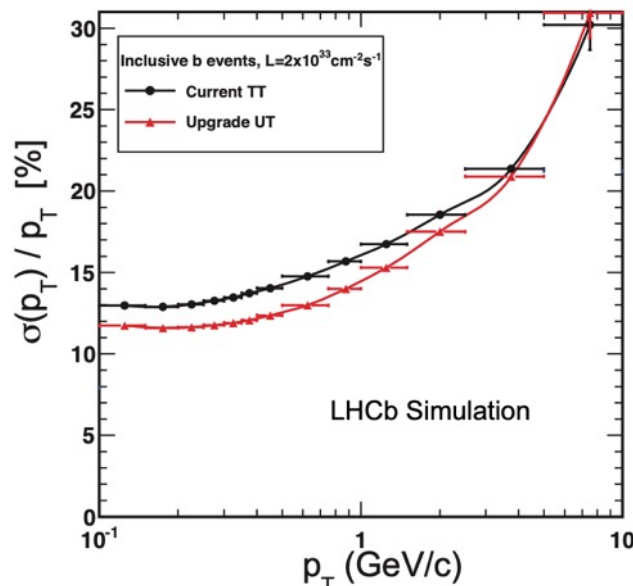
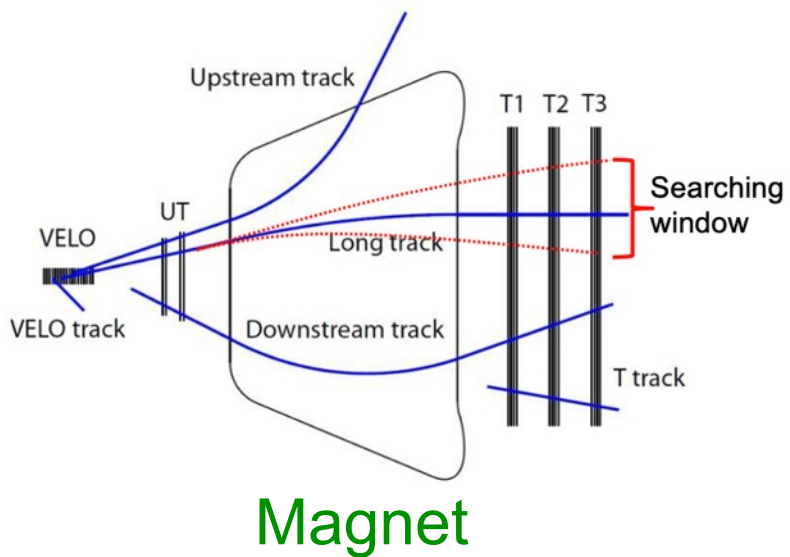
Location of UT

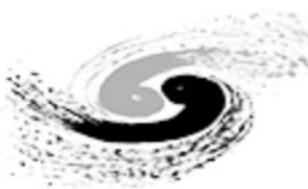


UT in LHCb tracking system



- Provide fast track reconstruction in software trigger
 - ❑ Searching window in SciFi tighten
 - ❑ Charge determined
- Reduce ghost rate in long tracks
- Increase reconstruction efficiency of long lived particles:
e.g. $K_S^0 \rightarrow \pi^+\pi^-$, $\Lambda \rightarrow p\pi^-$





UT

Replace TT, similar geometric

- 4 layers (X, U, V, X) @ (0°, +5°, -5°, 0°), provide stereo measurements, precision horizontally

Higher segmentation, especially in central, <2% strip occupancy

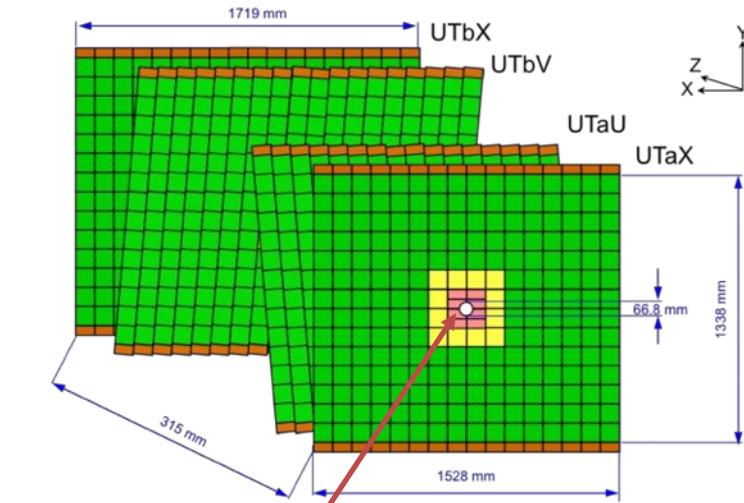
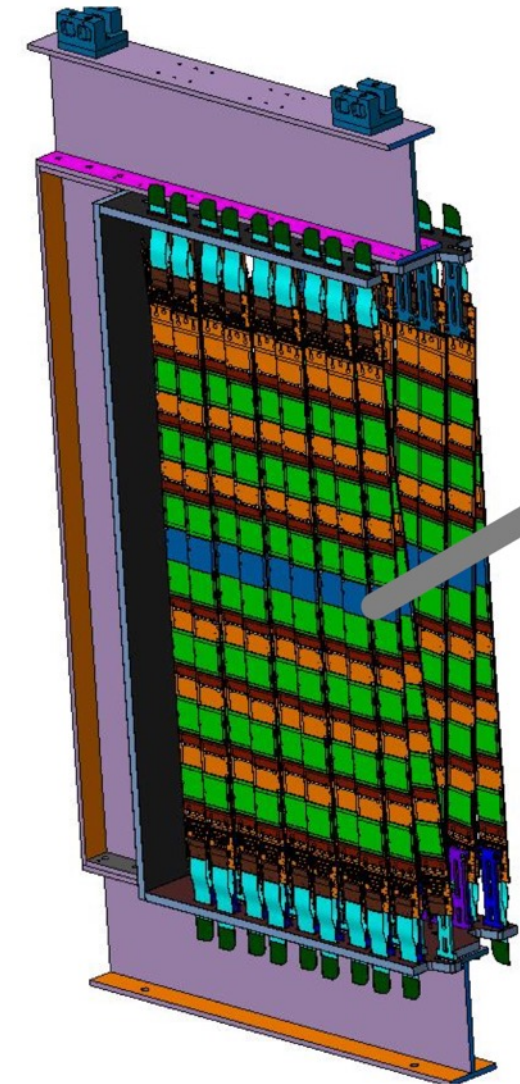
Much improved coverage

- Circular hole surround beam pipe
- Sensor overlap in X&Y directions

Sensor more radiation resilience

- $\Phi_{\max} \sim 5 \times 10^{14} n_{\text{eq}} \text{cm}^{-2}$ after 50 fb^{-1}
- Reduce material at small angle

40MHz readout SALT ASICs



Hole for beam pipe

UT stave design



UT layers consist of Staves

- 16/16/18/18 staves on UT layers

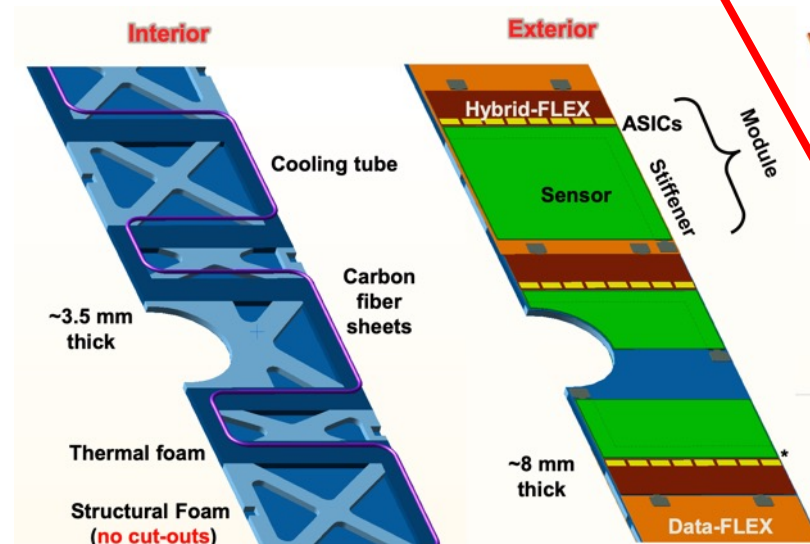
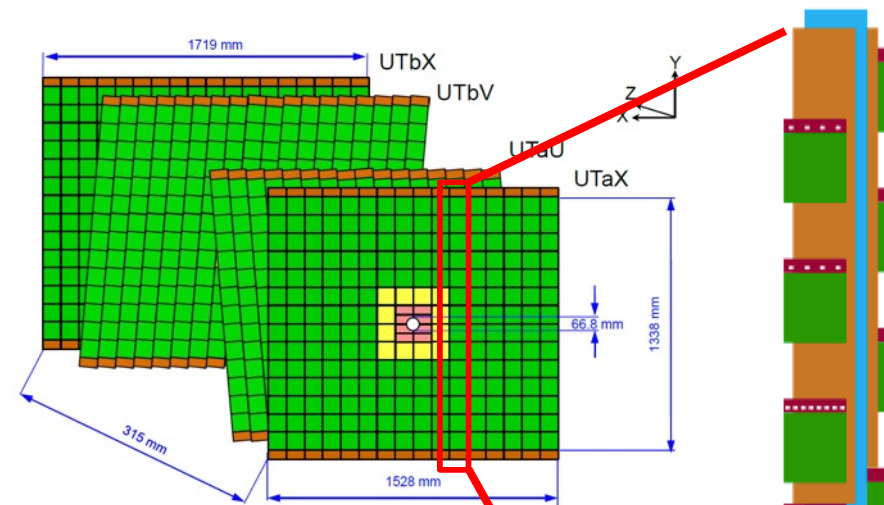
Stave:

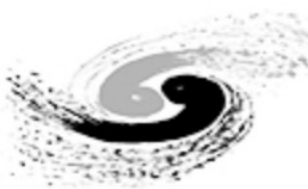
- Main mechanical element of UT
 - ❑ Mounting & positioning for sensors
- Stiff sandwich structure of lightweight CFRP/foam
- Integrated with CO2 cooling system

Components of fully-instrumented stave

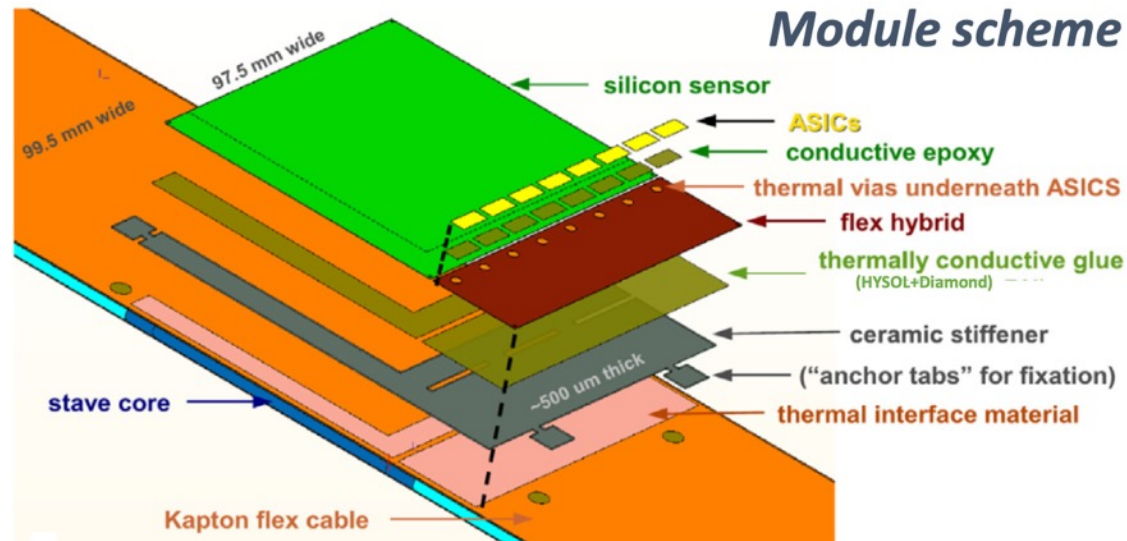
- “Bare” stave + Dataflex + Modules

Adapting ATLAS-type integrated stave concept





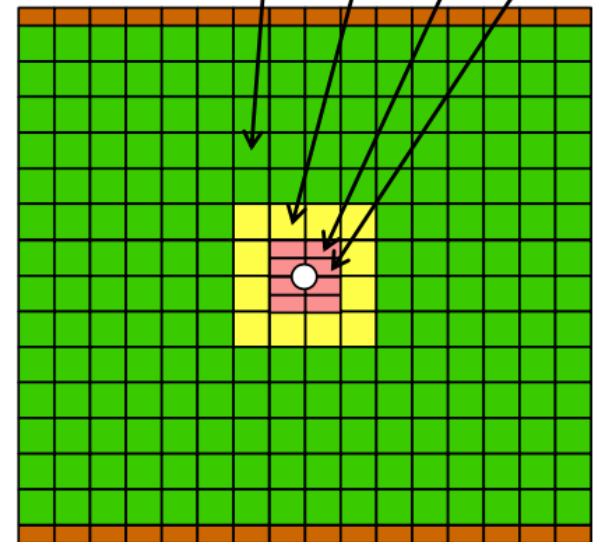
UT module



Basic detector unit

- Capture particle hits and processes signals
- No over-constrain sensor, allows for bow
- Reworkable epoxy(TIM)

Sensor	A	B	C	D
Pitch (μm)	187	94	94	94
Length (mm)	98	98	49	49
Strips/sensor	512	1024	1024	1024
Number	888	48	16	16



Four types of sensor:

A-type (~92%): p^+ -in-n, 320 μm thick

Strip pitch 187 μm

B/C/D-type: n^+ -in-p, 250 μm thick

C&D-type: half length

Strip pitch 94 μm

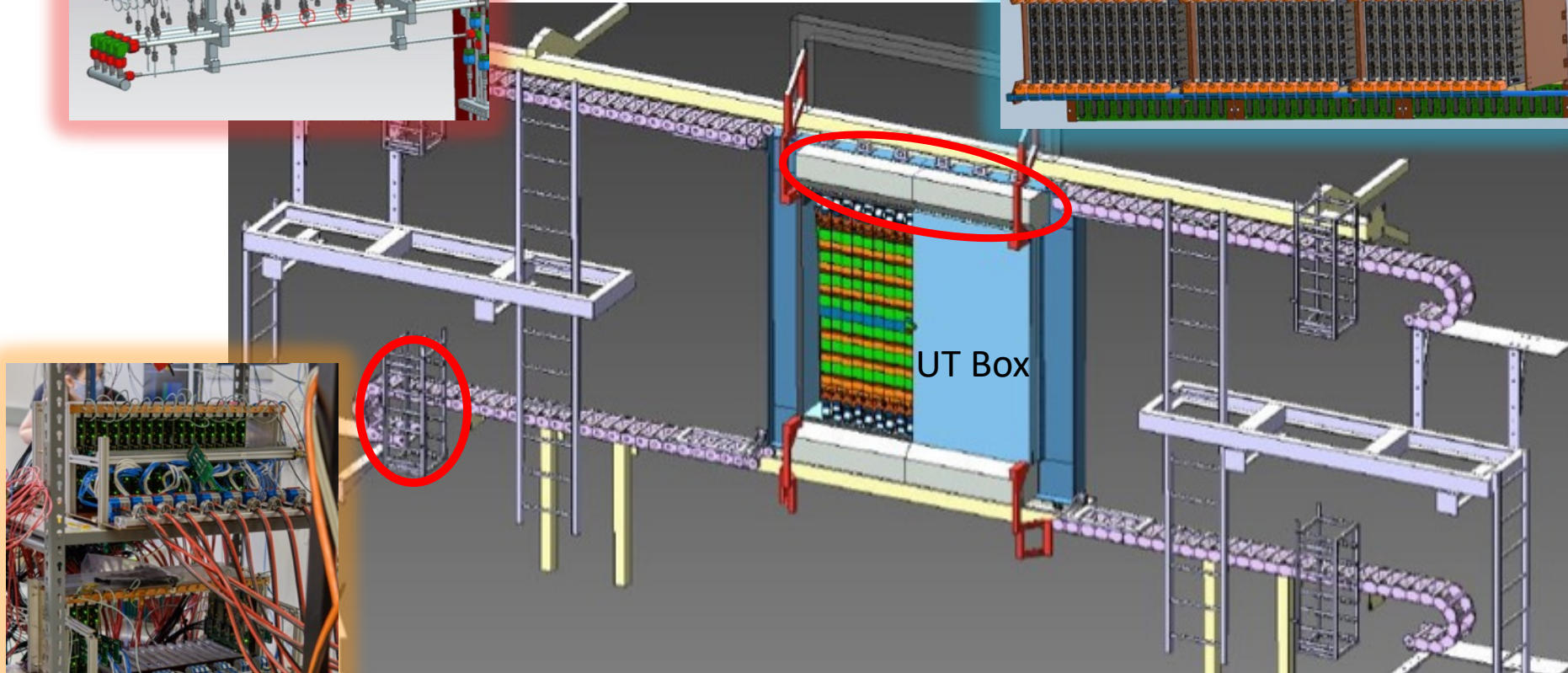
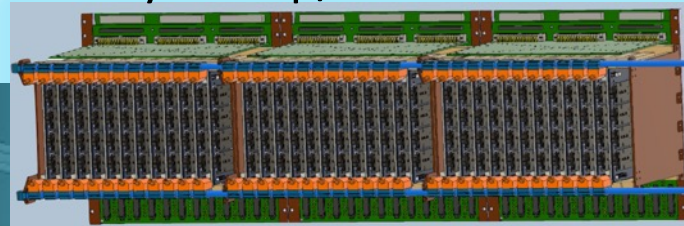
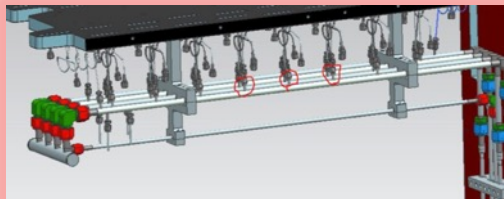
Finer granularity in central region

UT Integration



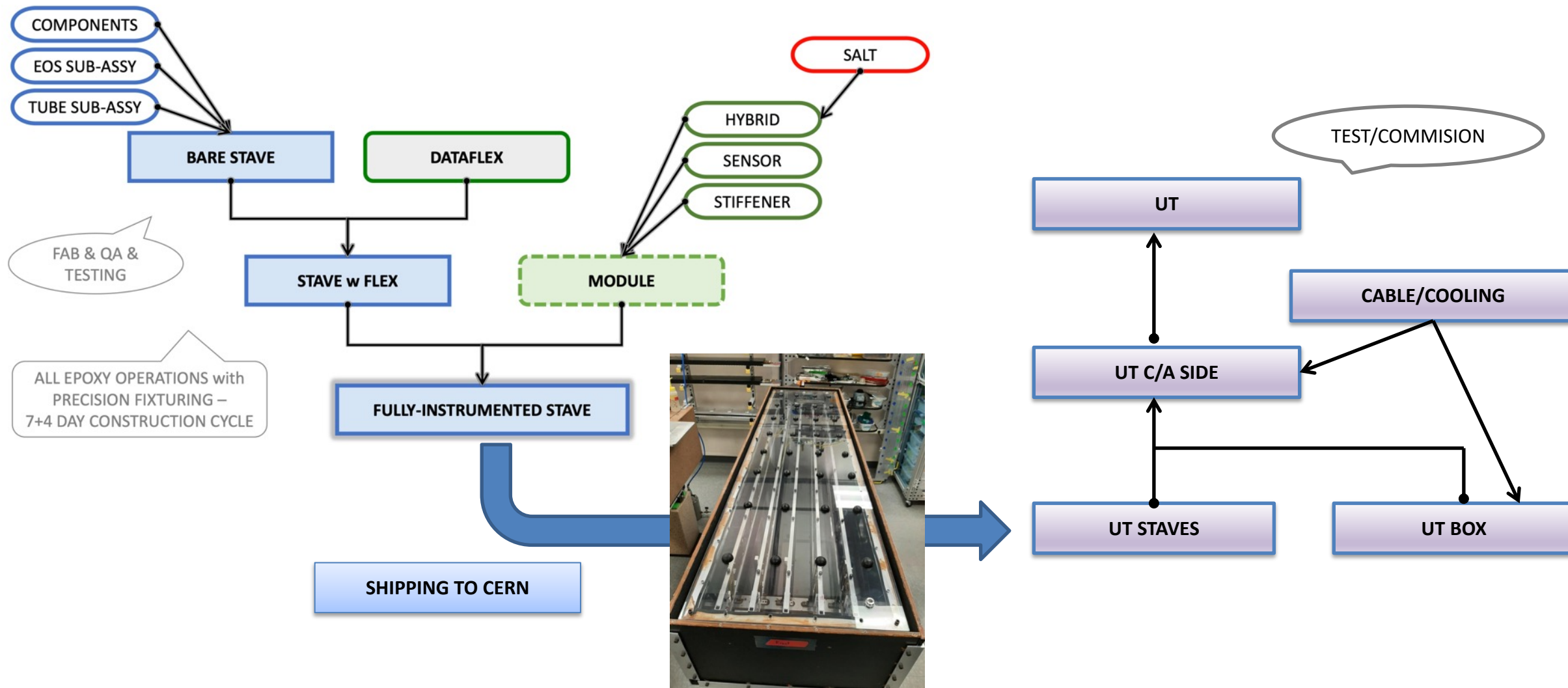
Staves cooled by CO₂, tested
btw -30°C and 20°C

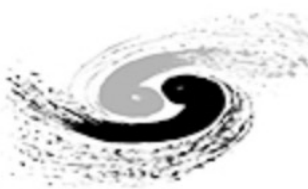
PEPI cooled by water, boxes sit
directly on top/below staves



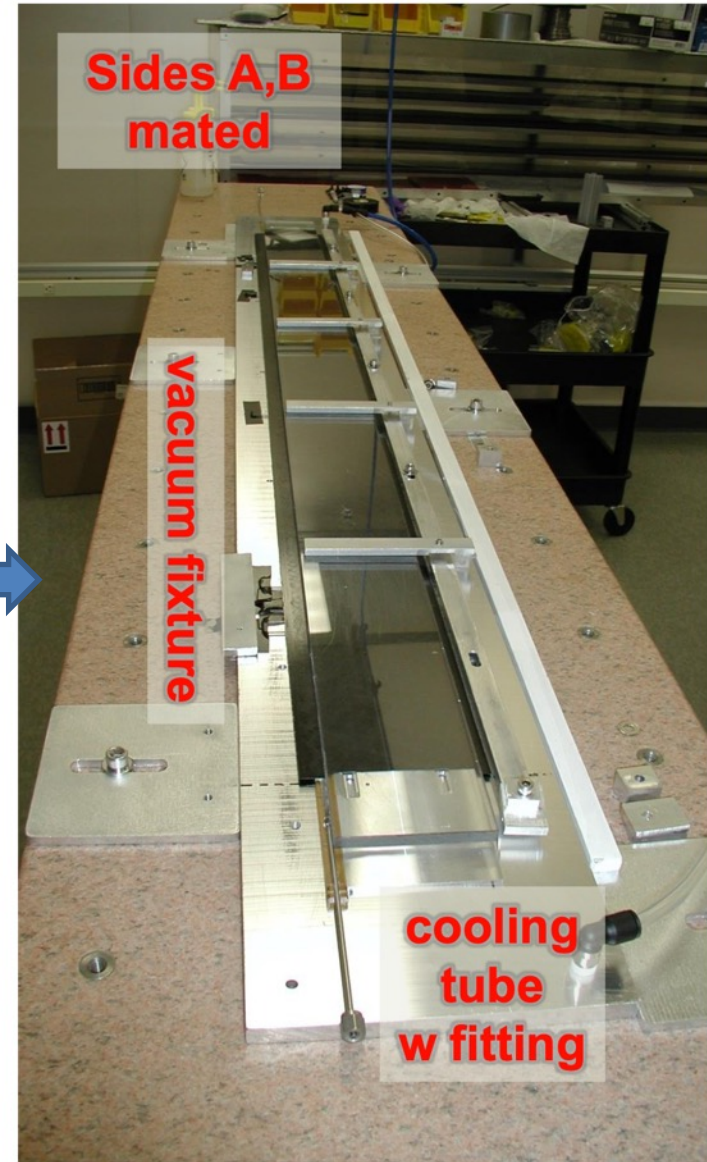
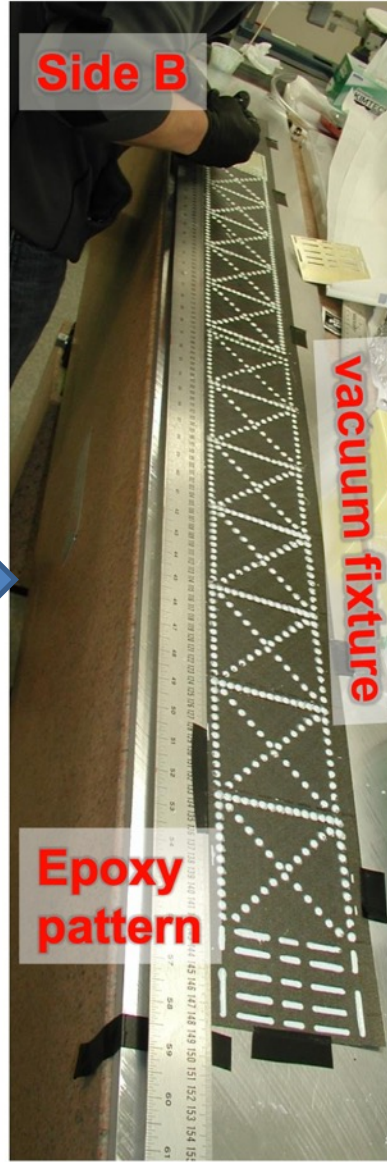
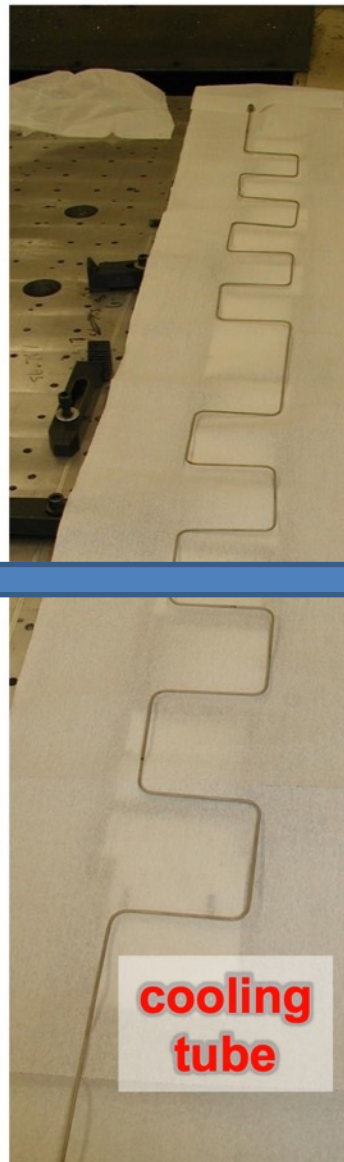
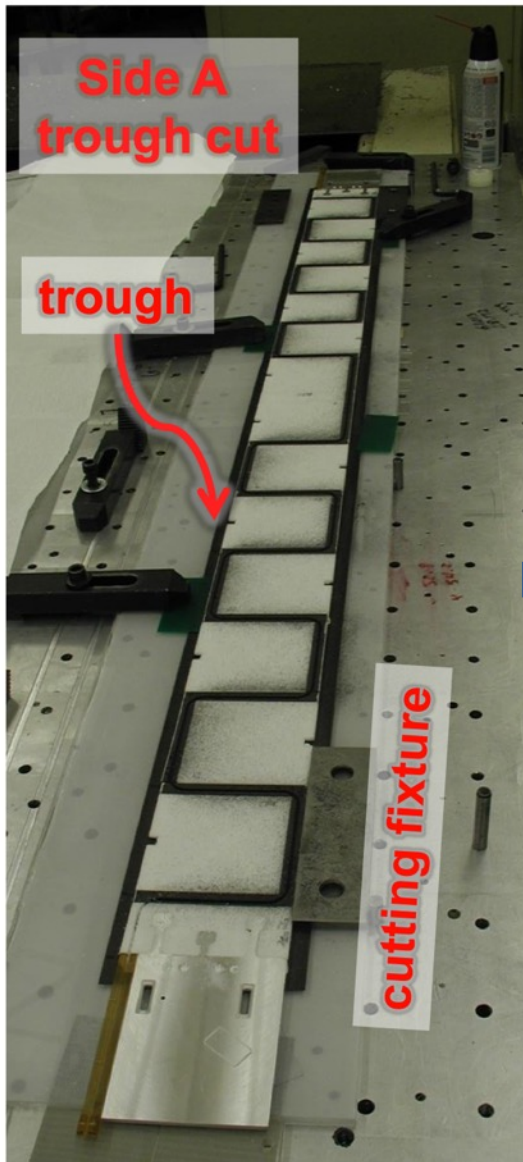
LV & HV regulation
at service bays

UT construction overview

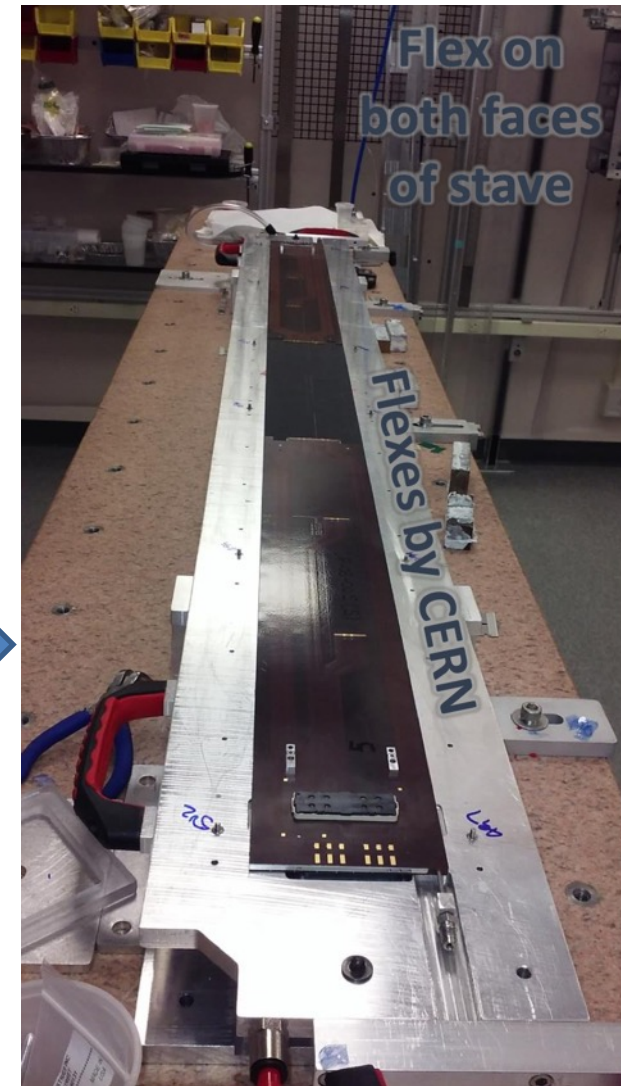
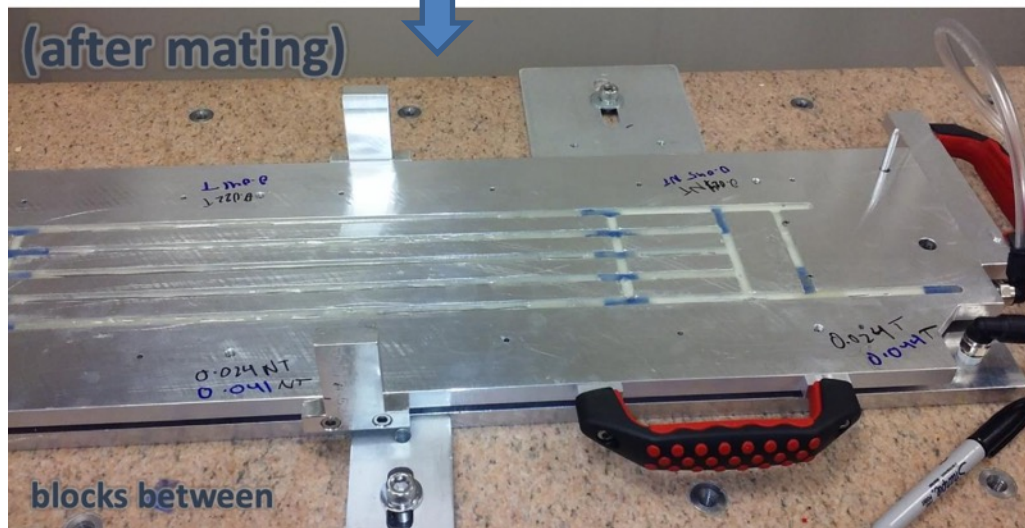
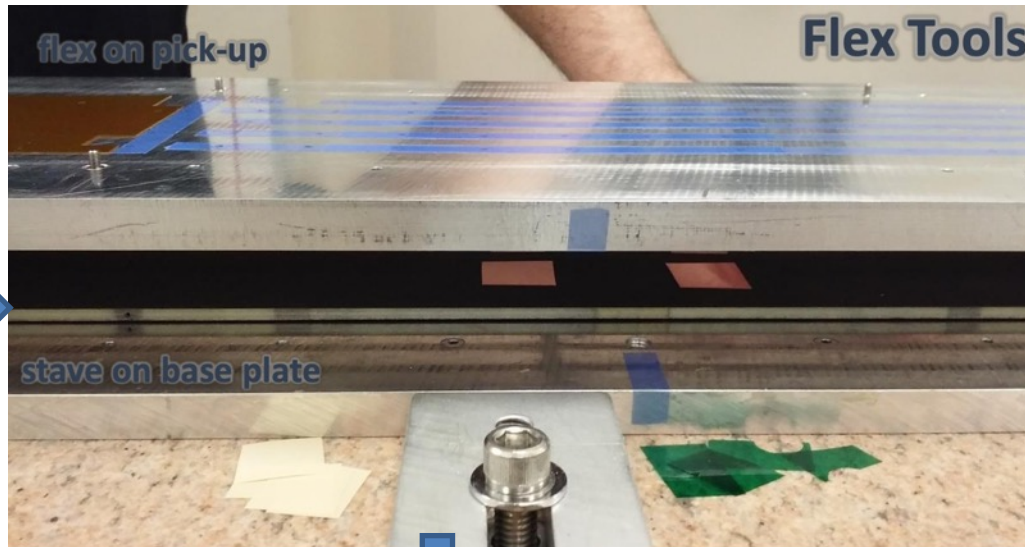
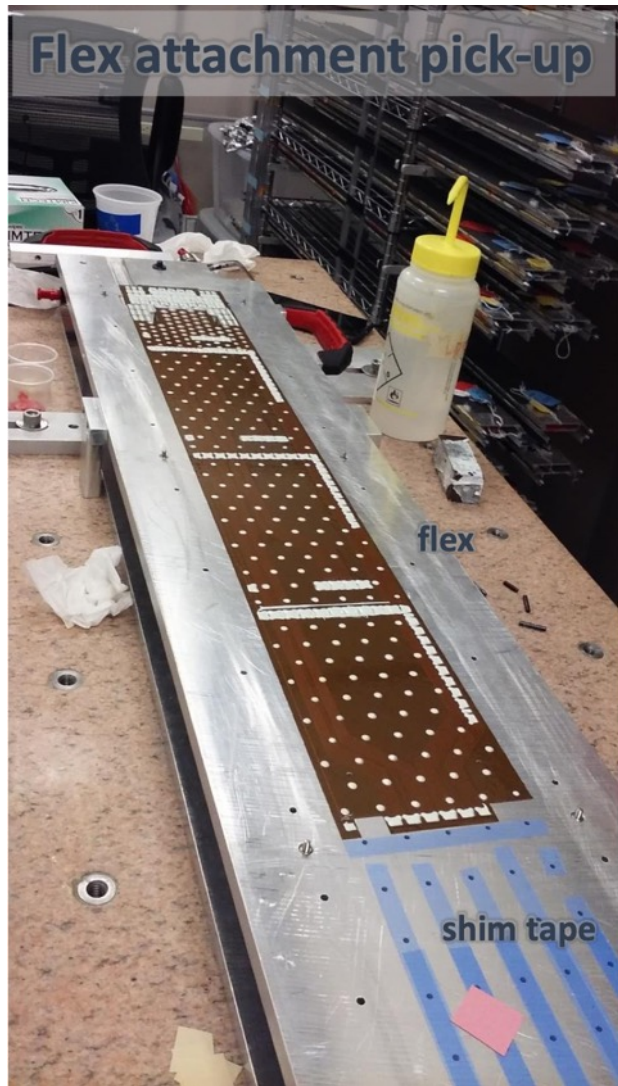


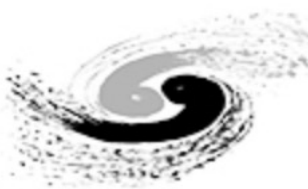


Bare stave construction

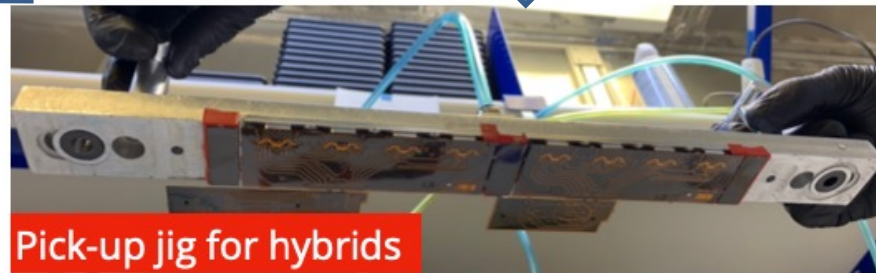
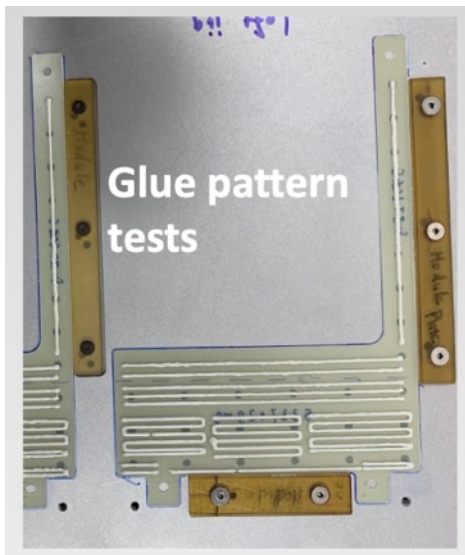
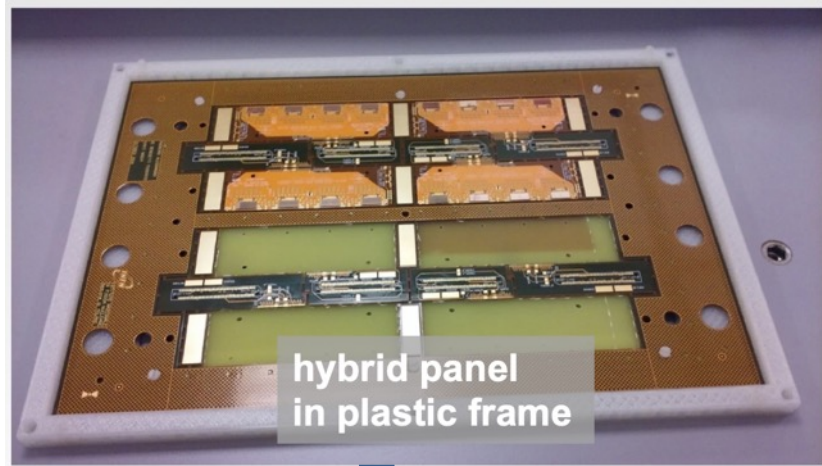
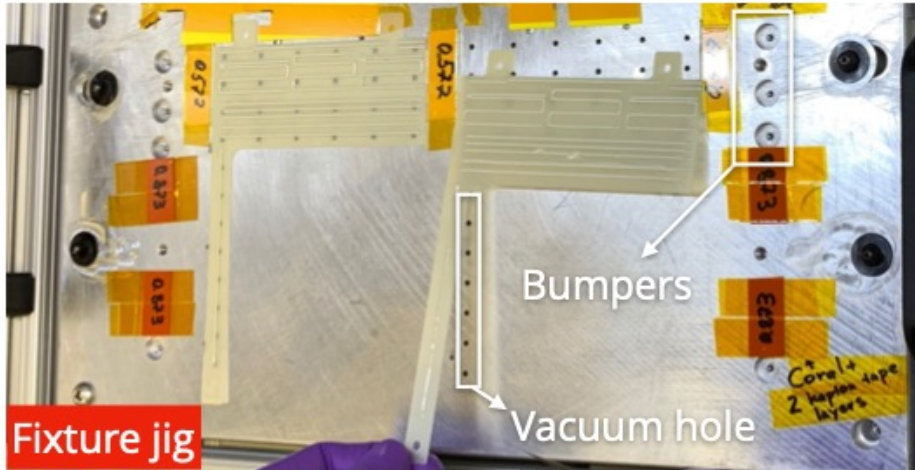


Flex attachment

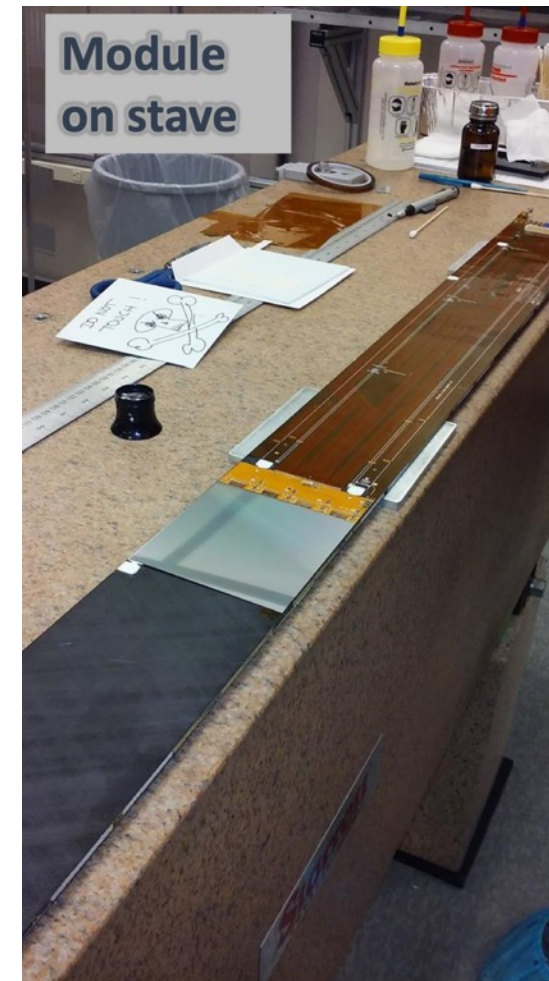
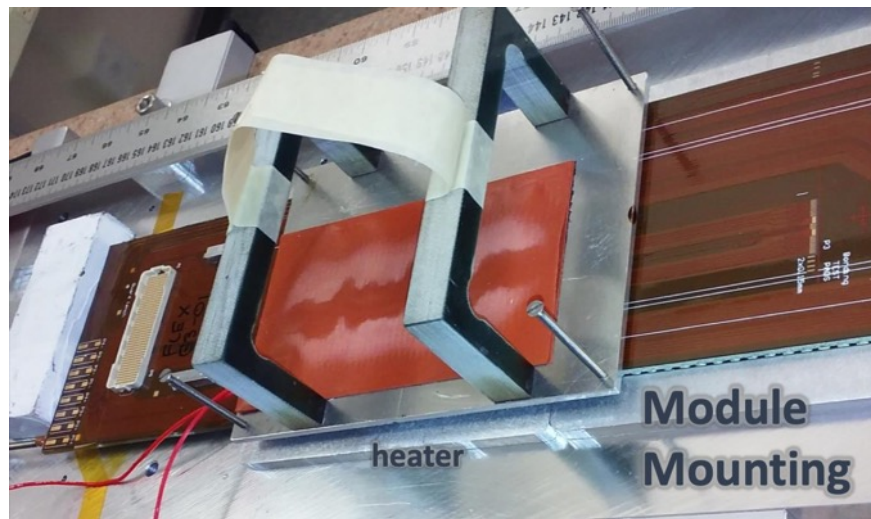
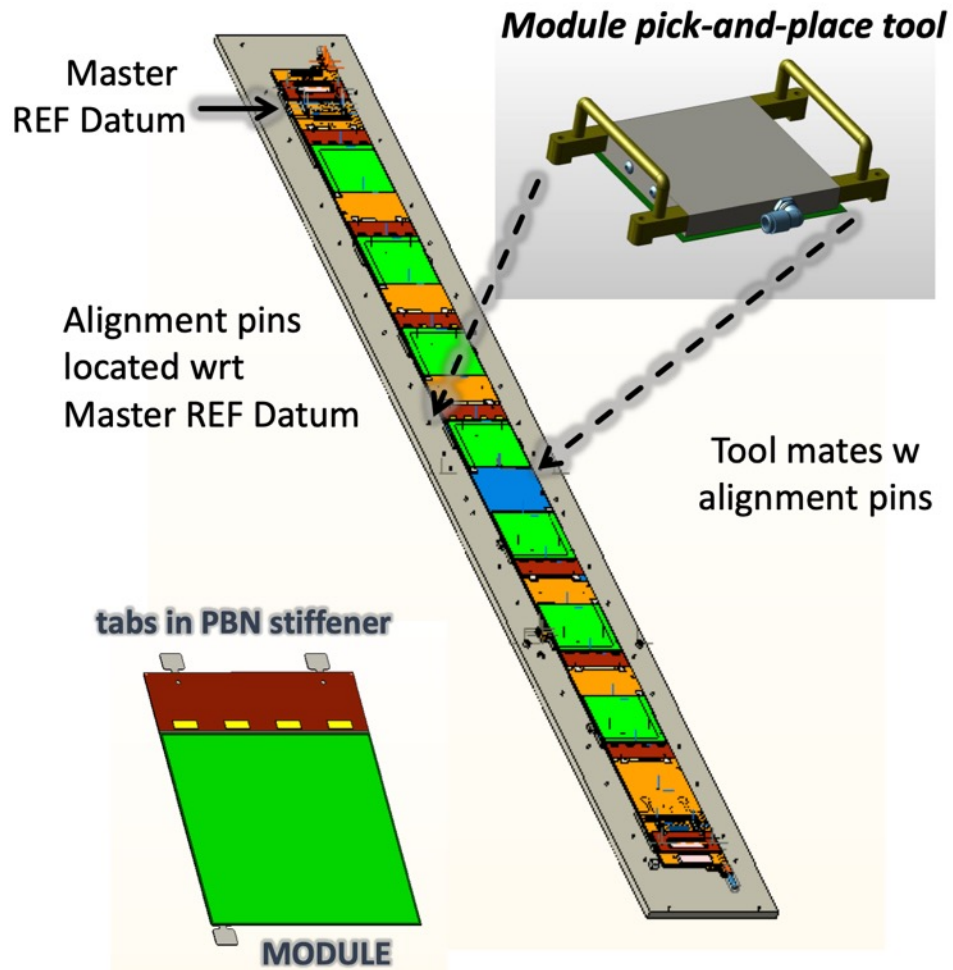




Module assembly



Module mounting

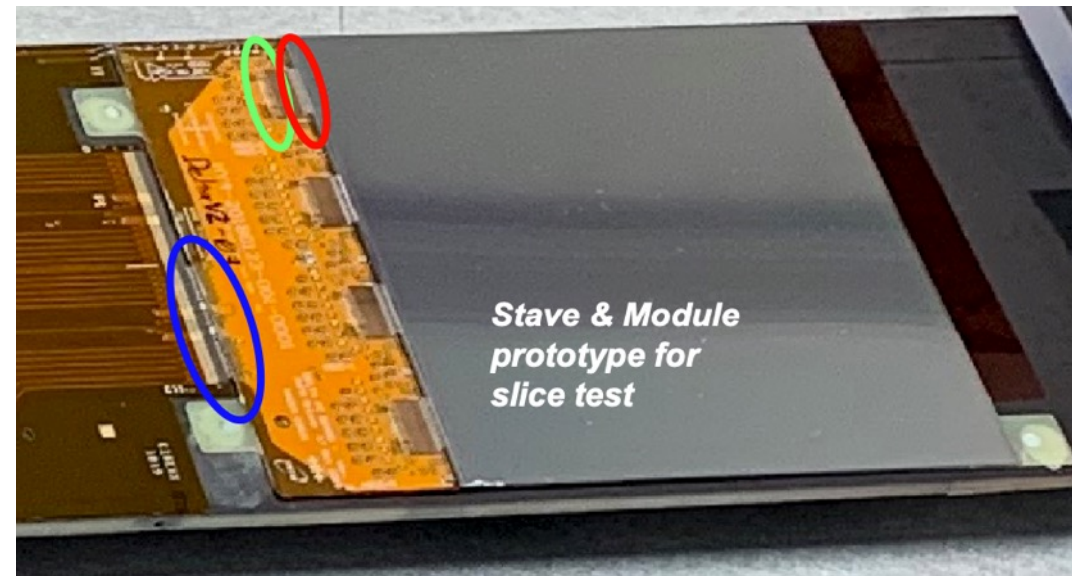




3 wire bonding steps

- ASICs -> hybrid
 - ❑ 135 bonds per ASIC, 540(1080) per module, pads 60-120um wide
- Sensor -> ASICs
 - ❑ 512(1024) bonds, pads 60-65um wide
- Hybrid -> Flex Cable
 - ❑ ~80 bonds per module, pads 200um wide

~15K bonds per stave, >1M overall

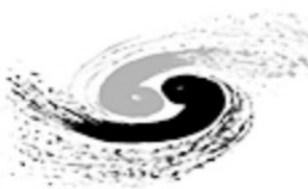


Quality requirements

- Reliability: <<0.1% failures
- Bond strength: >5g (with mean at 8-10g)

Equipment

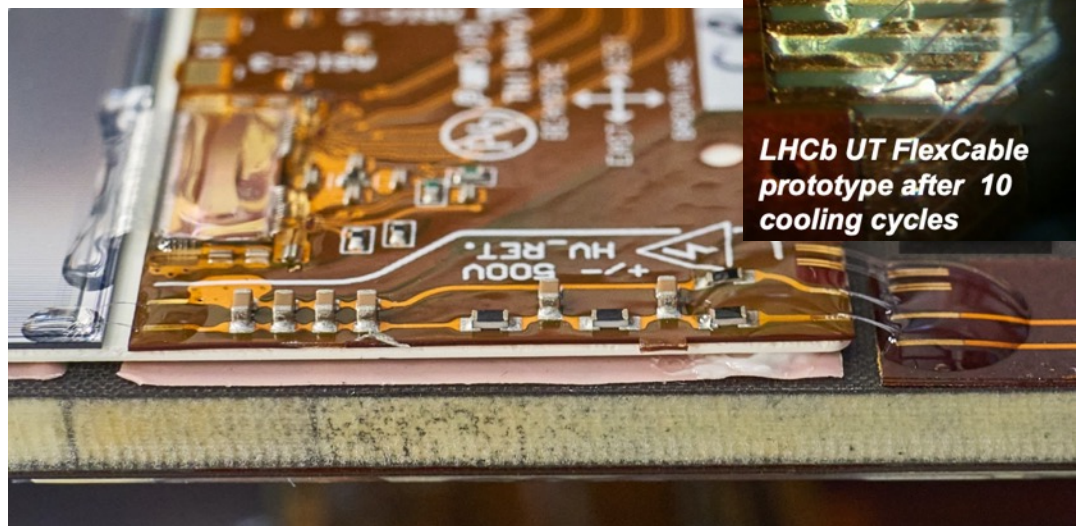
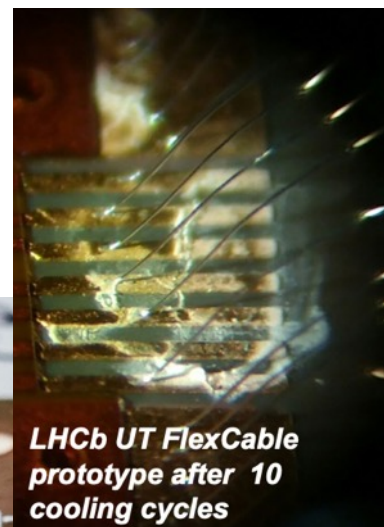
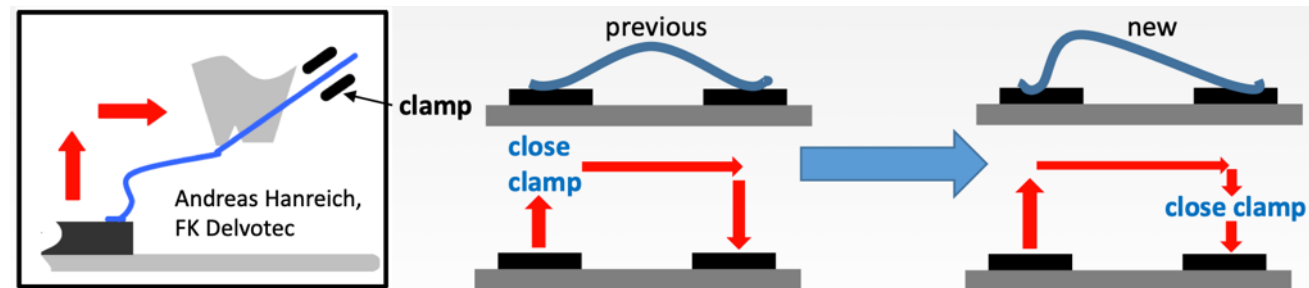
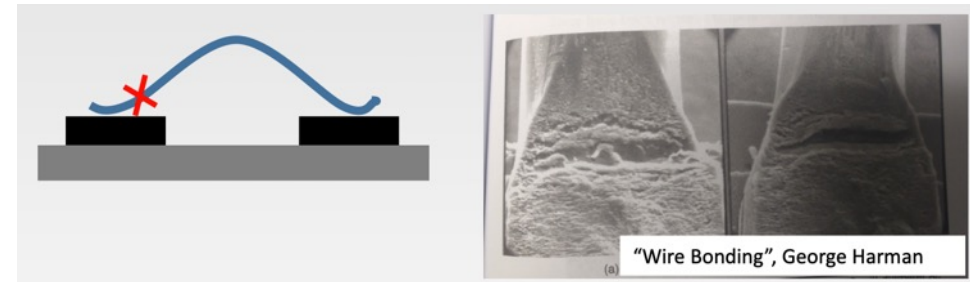
- F&K Delvotek G4 6400 + 25um al wire
- Doge 4000 pull tester for QA



Improvements

Loop shape process adjustments

- Most of the bonds broke in the same place near the foot of the 1st bond
- Change clamping sequence

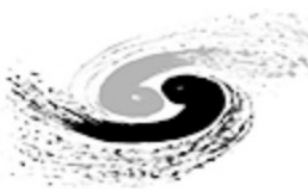


Observed corrosion after several cooling cycles

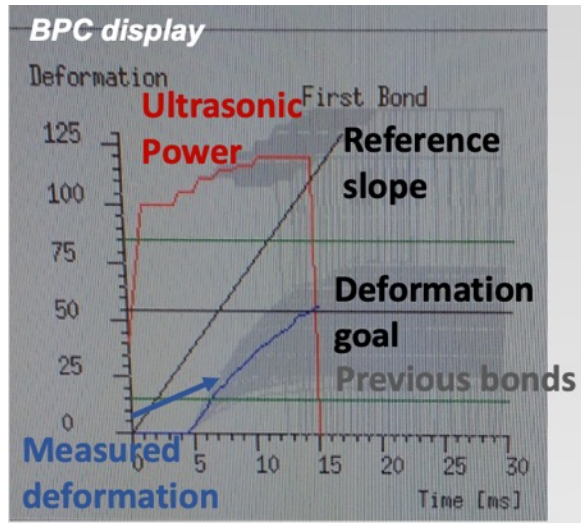
- White residue on bonds: Al reaction with water

Pot feet for all bonds

- No mechanical protection, only for corrosion



Wire bonding QA

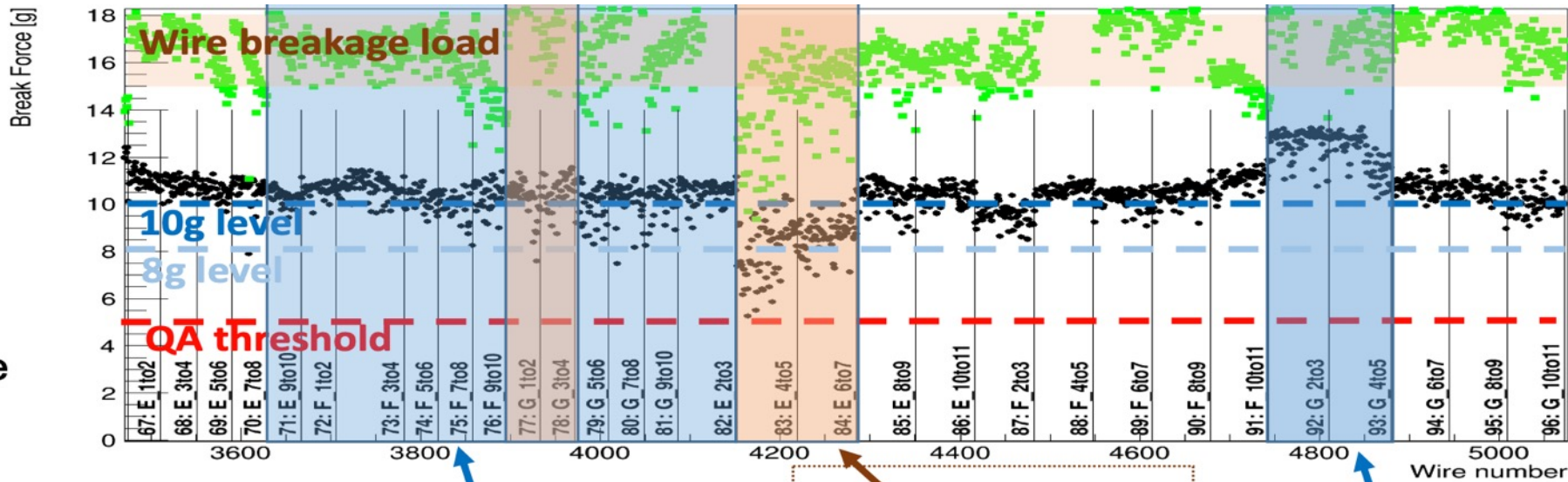


Adjusted BondProcessControl (BPC)

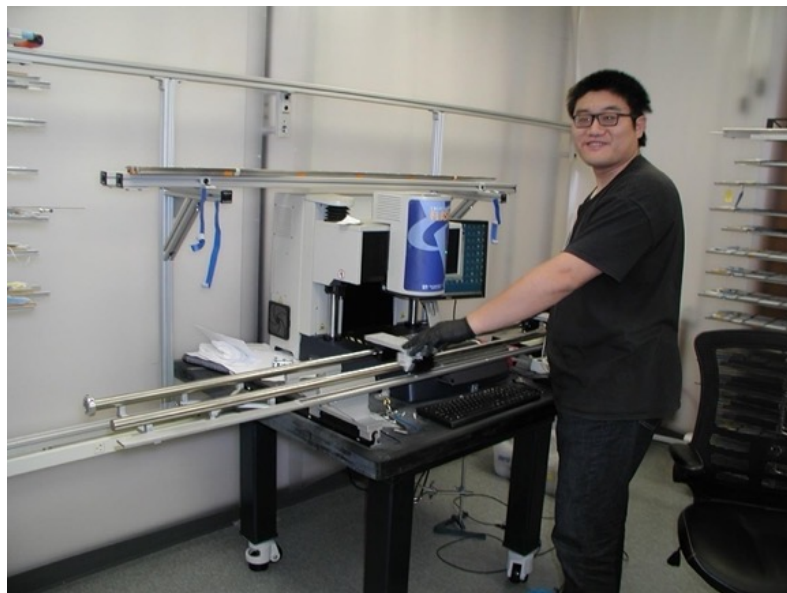
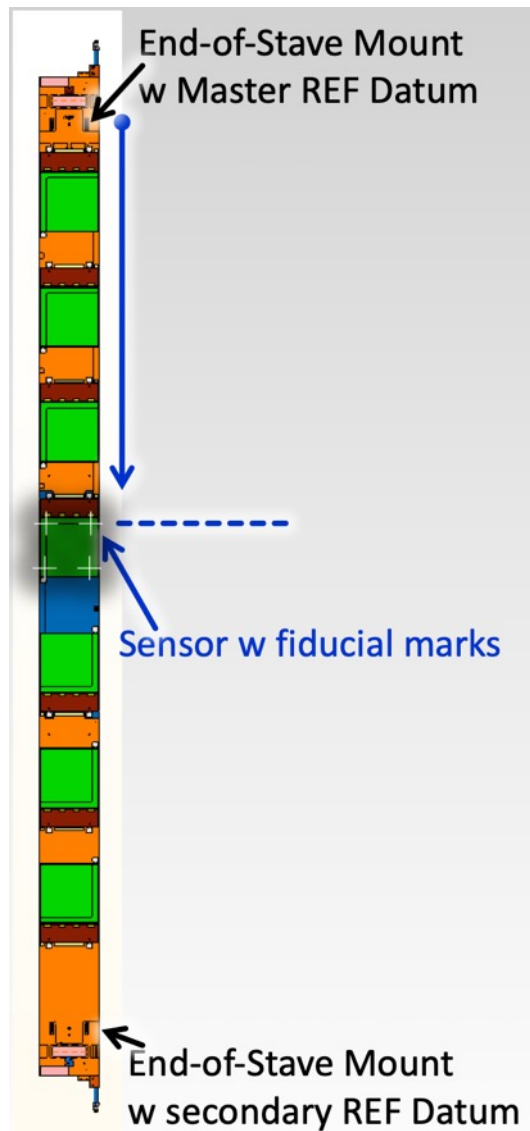
- Adjust ultrasonic power/stop bonding based on wire deformation measured online

Parameters optimized based on thousands pull tests

- Contamination/vibration/degradation tests done



1/800 broke at less than 8g



Sensor location wtr stave ref. datum

- Alignment marks $\sigma < 1 \mu\text{m}$
- Feedback for stave construction
- Inputs for online tracking alignment

Optical QA also measure

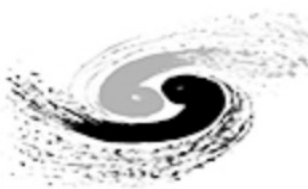
- Size
- Flatness
- Tube direction ...

QVI@ SmartScope™ Flash 200

- $\sigma \sim 1 \mu\text{m}$

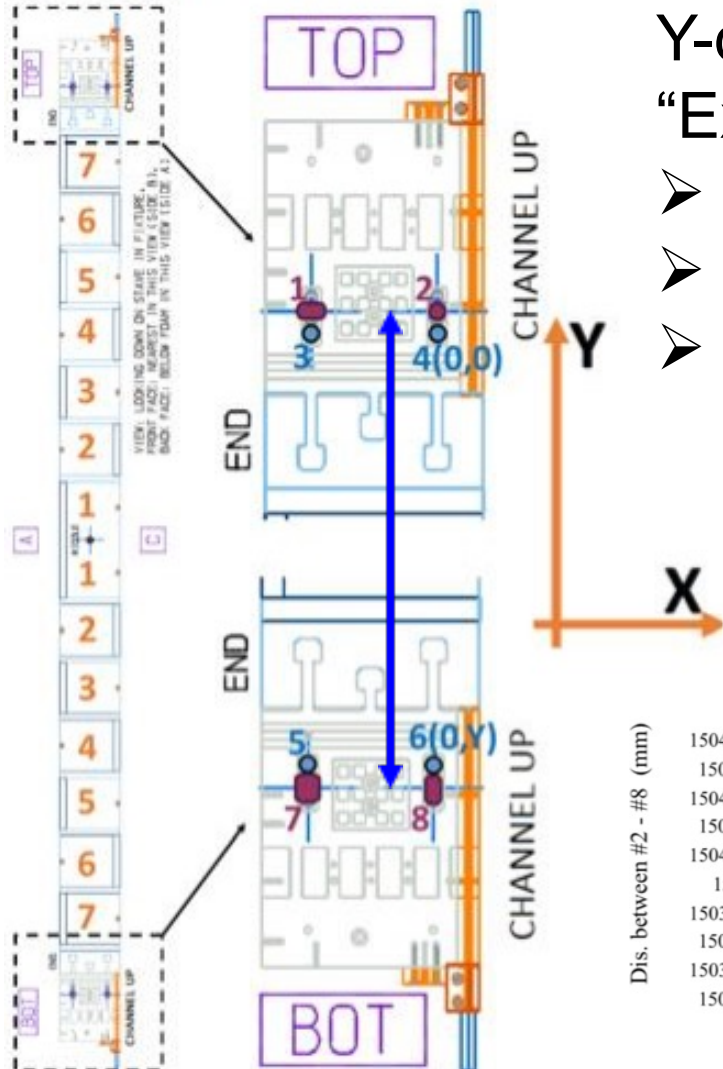
A rail system built for stave measurement

- XY travel from $20 \times 20 \text{ cm} \Rightarrow 244 \times 20 \text{ cm}$
- After calibration, the precision $\sim 10 \mu\text{m}$



Length metric for constructed staves

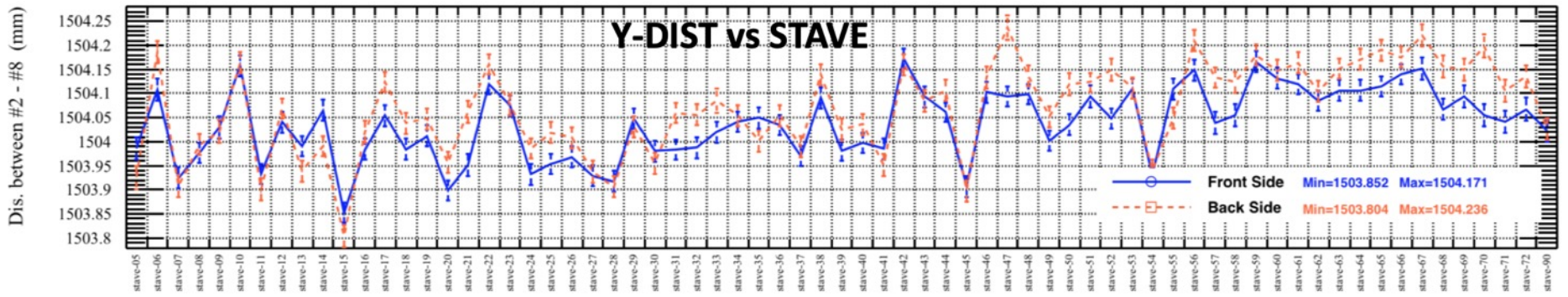
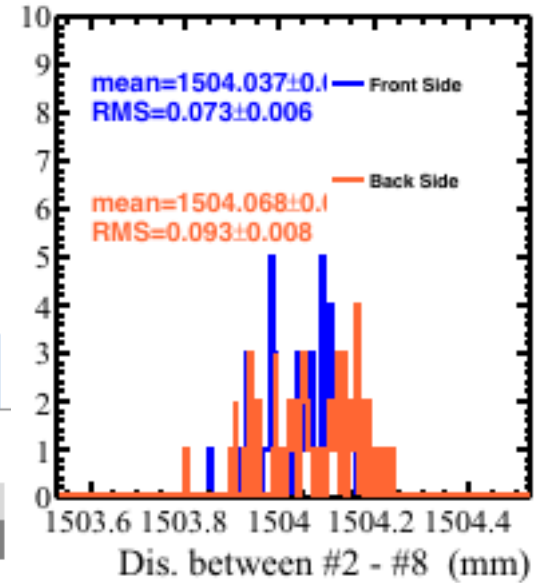
FRONT FACE



Y-distance from top to bottom mounting holes
 "Excess" metric gives comparison to target

- Average under 50 μm (accuracy)
- RMS within 100 μm (precision)
- Range within +/- 200 μm

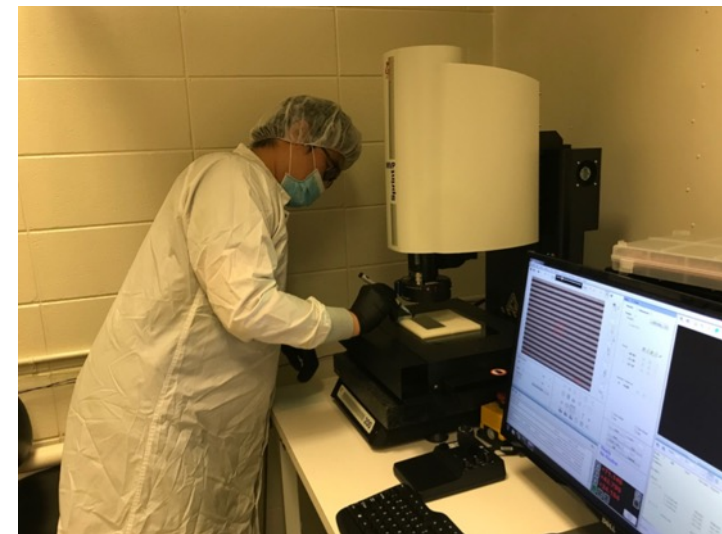
HOLE #	ELEMENT		Y			"EXCESS"			
			[mm]	RMS	MIN	MAX	[mm]	MIN	MAX
#2 - #8	MNT-T1	FRONT	1504.037	0.073	1503.854	1504.171	0.007	-0.176	0.141
	to MNT-B1	BACK	1504.068	0.093	1503.804	1504.236	0.038	-0.226	0.206
		CAD	1504.030	-					



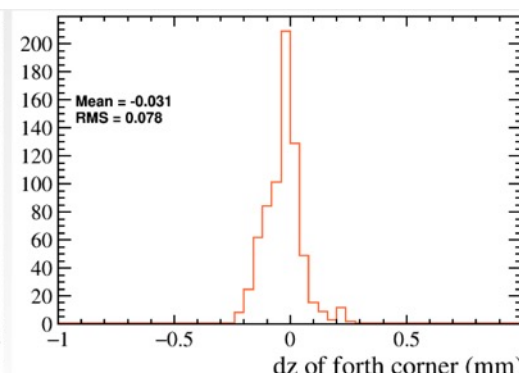
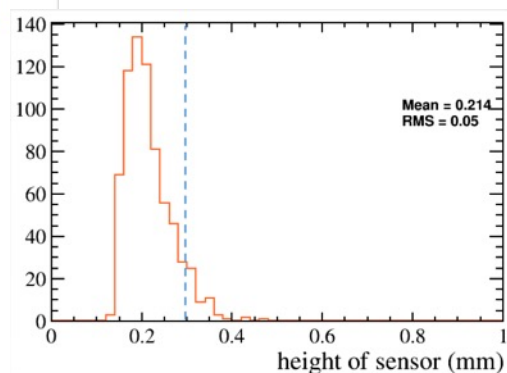
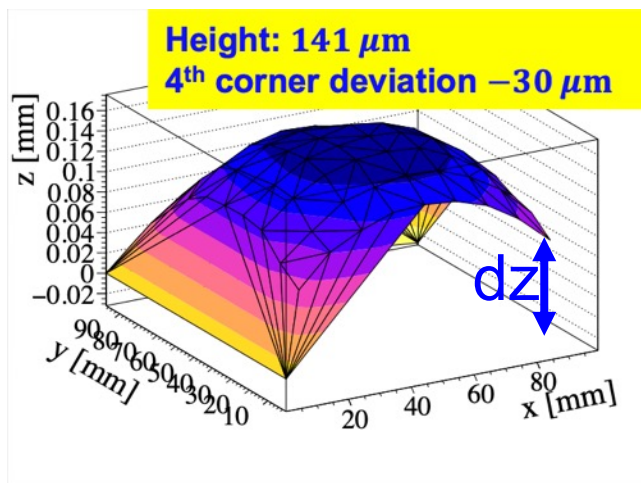


Q/A before construction

- >1K sensors from Hamamatsu
- Metrology: bow measurement
- Visual inspection: defects from cutting along the edges + scratches/defects
- Electrical tests: IV/CV scans



Sensors with too large bow shape will be marked as “problem”



- Average height: 214 um
- Average dz: -31 um

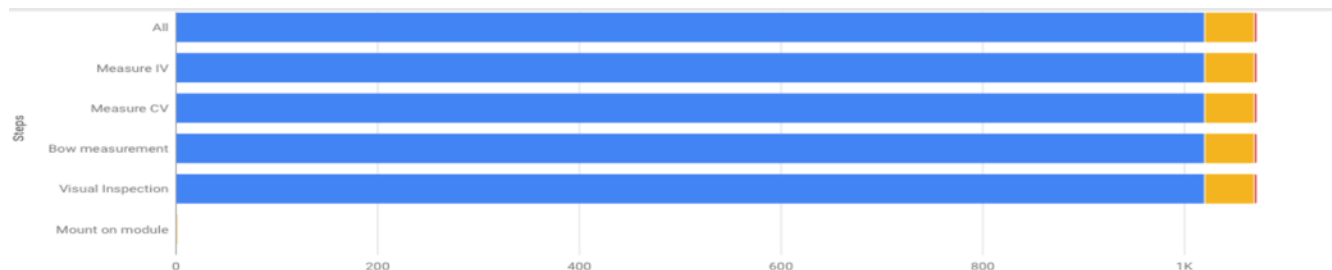
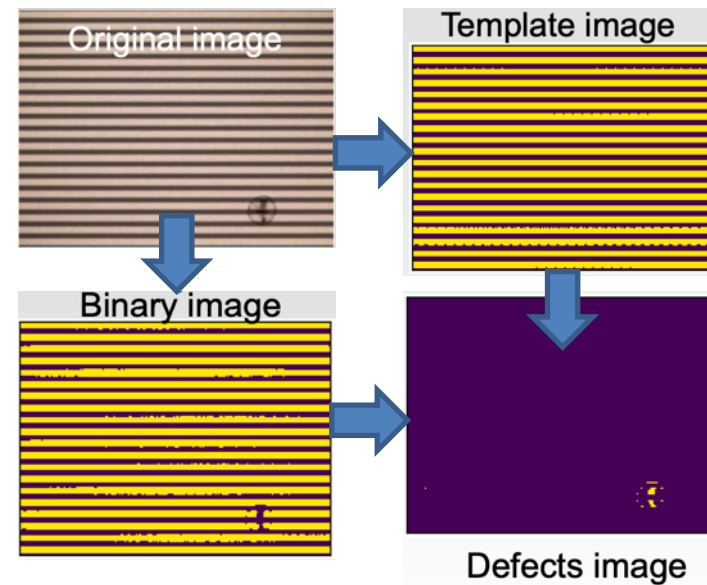
Visual inspection



1. >1K sensors checked by eyes with microscope
 2. SmartScope shoots ~700 micro-pictures on sensor surface for 1 sensor, totally 7M pictures
- Tons of man power + high rate for mistakes

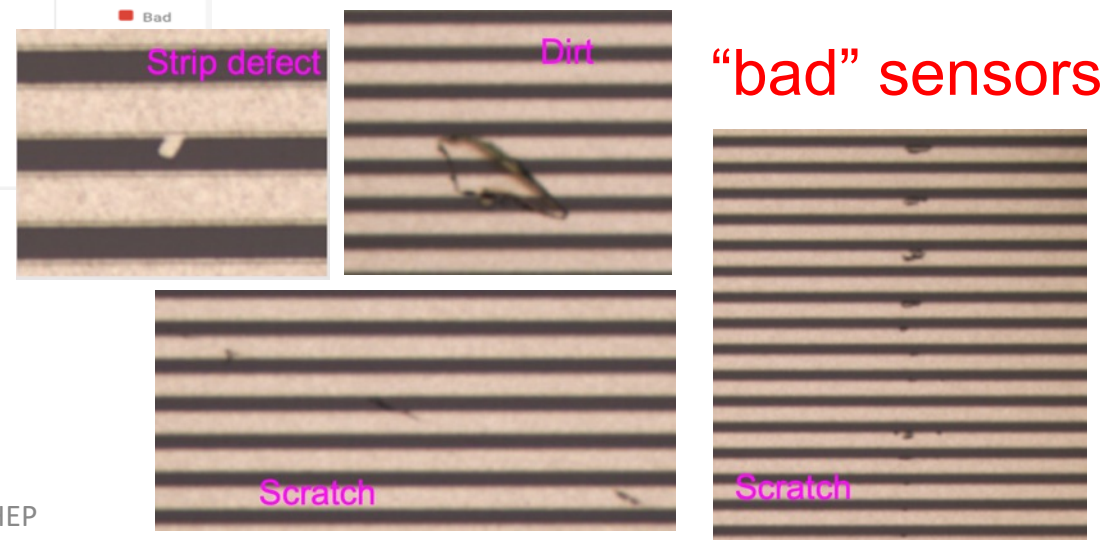
A graph script for searching "bad" picture automatically

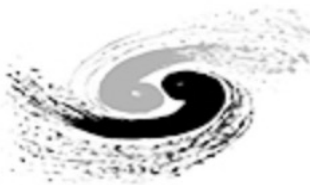
- 0.3s for each micro-picture



Optical QA for all sensors

- 49 marked as "problem": defects or bad metrology ⇒ spares
- 3 marked as "bad": scratch/broken

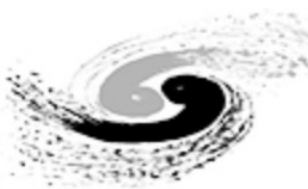




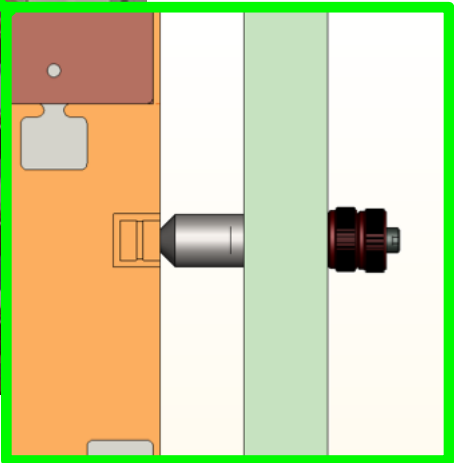
Installation @ CERN



I am hungry for staves



Stave installation



Stave installation on supporter with long narrow-size screws \Rightarrow allow stave move \sim mm

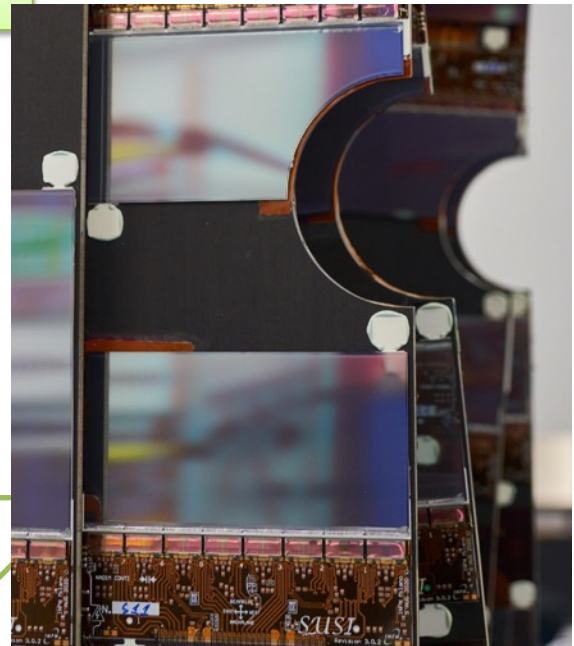
HV pins connected + validation of the mapping

Back face pigtails connected

Stave adjusted to final position and fixed

Front face pigtails + CO2 tubes connected

Electronic tests

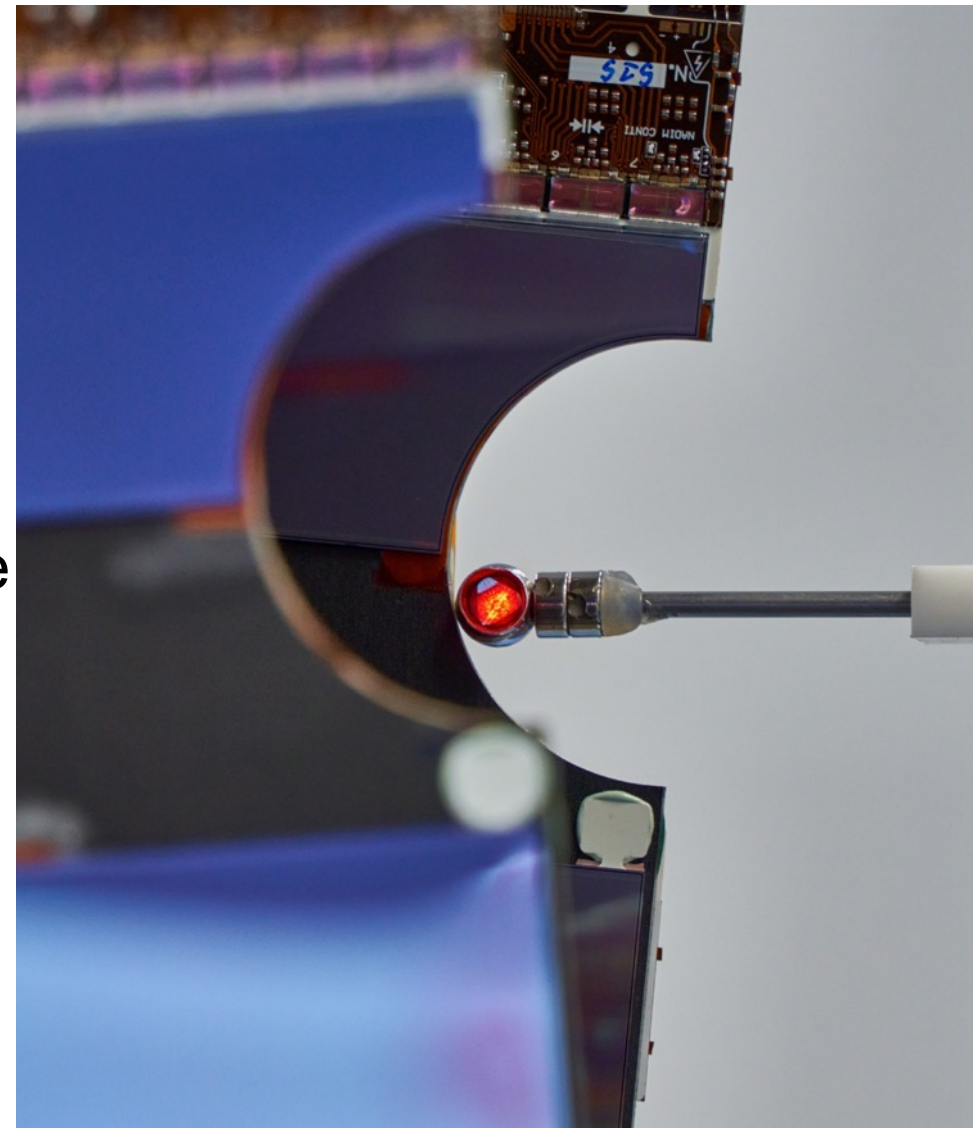




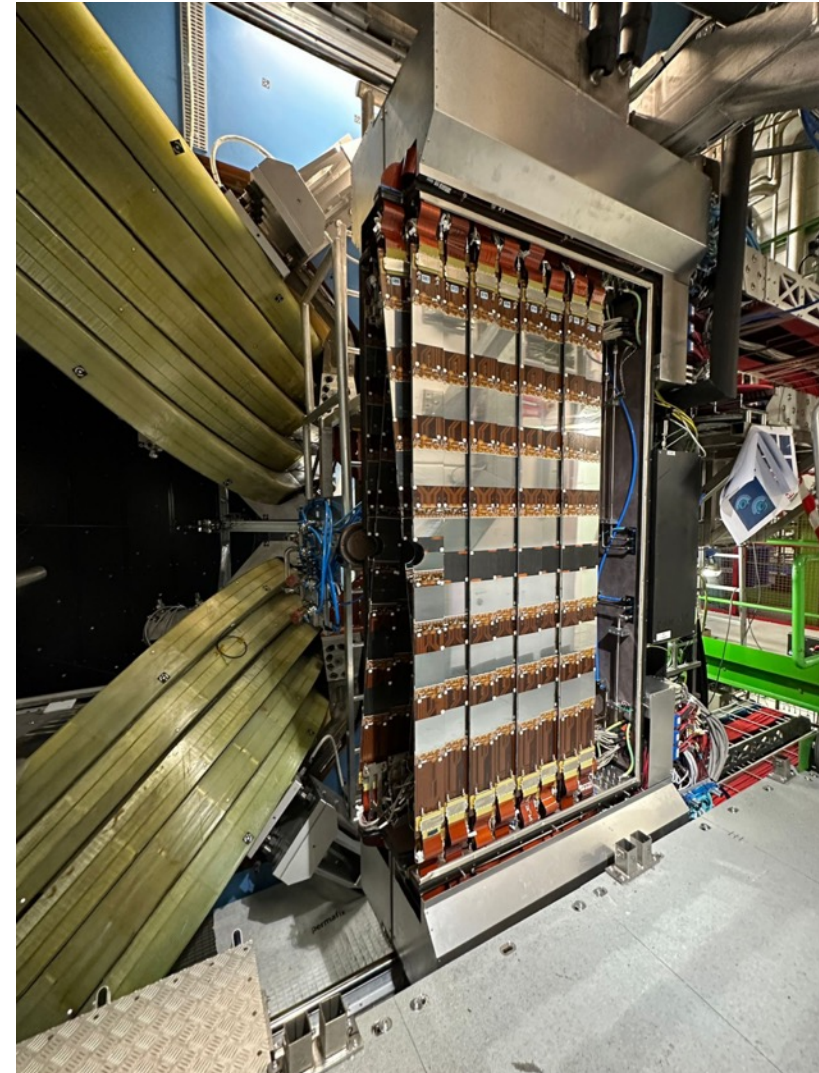
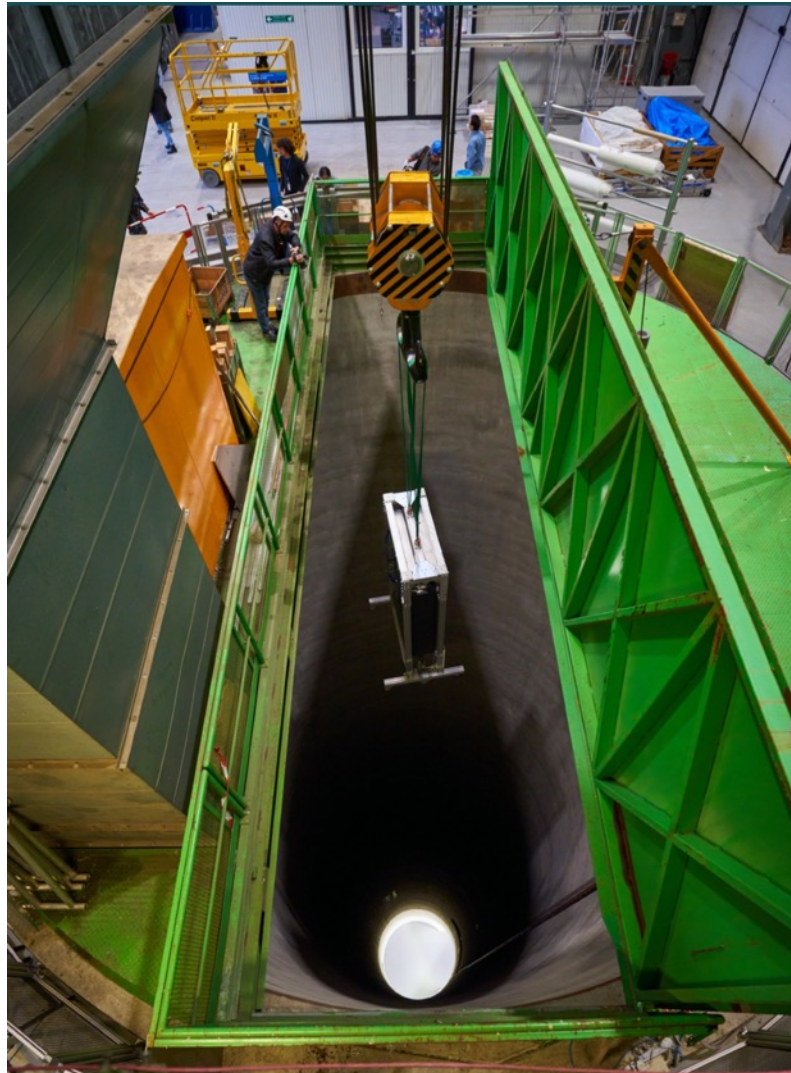
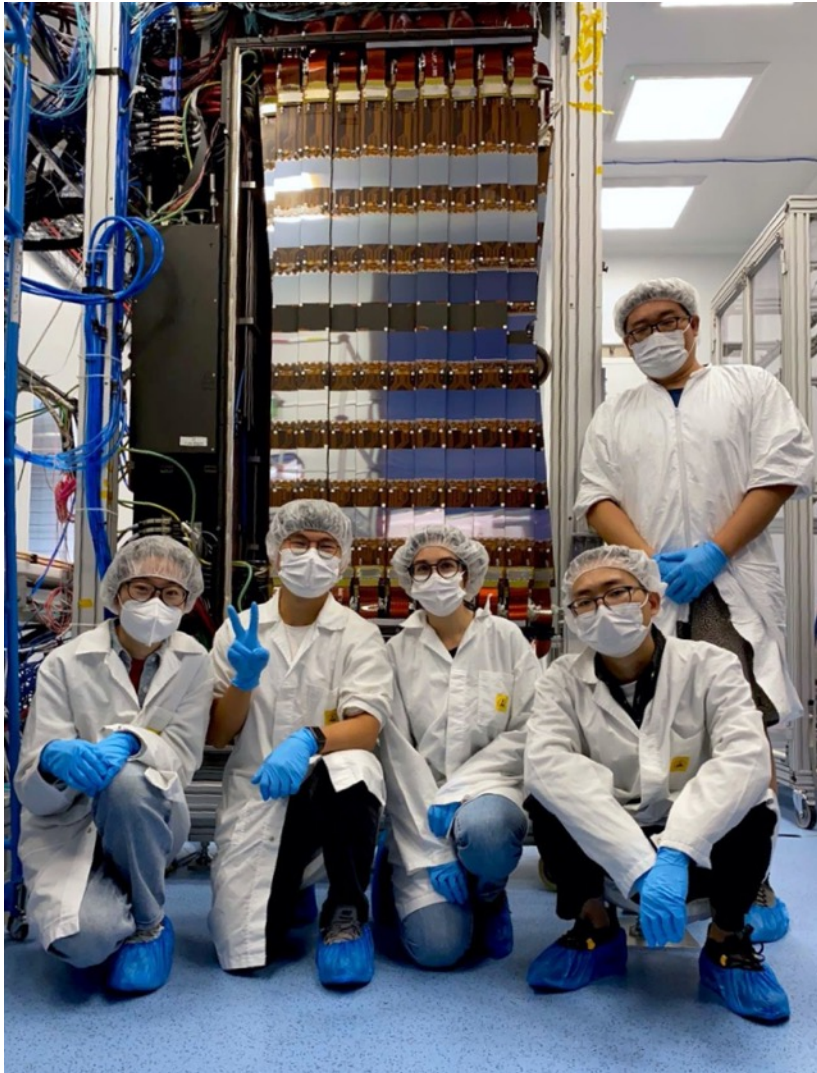
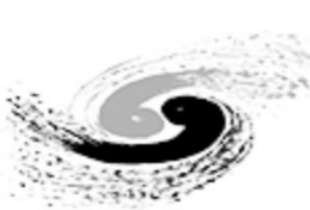
Stave positions validated with photogrammetry measurements. Stave installed on average within 0.5 mm in X, 0.2 mm in Y. Angle less than a milliradian from nominal

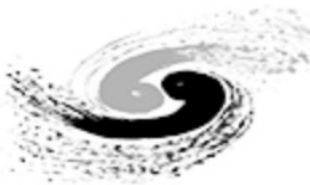
High importance the positions of the innermost staves with the beam pipe cut out. Enough clearance to ensure that due to contraction during operation there will no interference

The position of the one of the staves was adjusted in order to comply with the requirements and be safe with the beam pipe and with the box enclosure

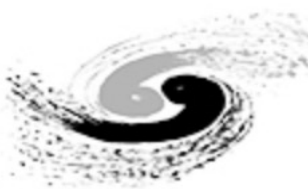


Lowered UT





UT story almost done, but we have another story for new UT or U2UT



Upgrade II UT (U2UT)

LHCb China group will lead this upgrade

Channel occupancy [%]

0.42	0.45	0.47	0.49	0.52	0.54	0.57	0.60	0.60
0.46	0.49	0.52	0.56	0.59	0.63	0.68	0.74	0.77
0.53	0.58	0.62	0.68	0.73	0.83	0.89	1.00	1.06
0.64	0.70	0.77	0.86	0.96	1.10	1.26	1.48	1.63
0.78	0.88	0.97	1.13	1.27	1.54	1.81	2.34	2.72
0.96	1.10	1.23	1.45	1.68	2.05	2.63	2.84	3.87
1.28	1.45	1.54	1.81	2.04	2.57	3.42	4.48	3.95 5.13

Current UT optimized for $\mathcal{L}_{\text{Run 3\&4}}$

Upgrade II luminosity $1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ($\times 7.5 \mathcal{L}_{\text{Run 3\&4}}$)

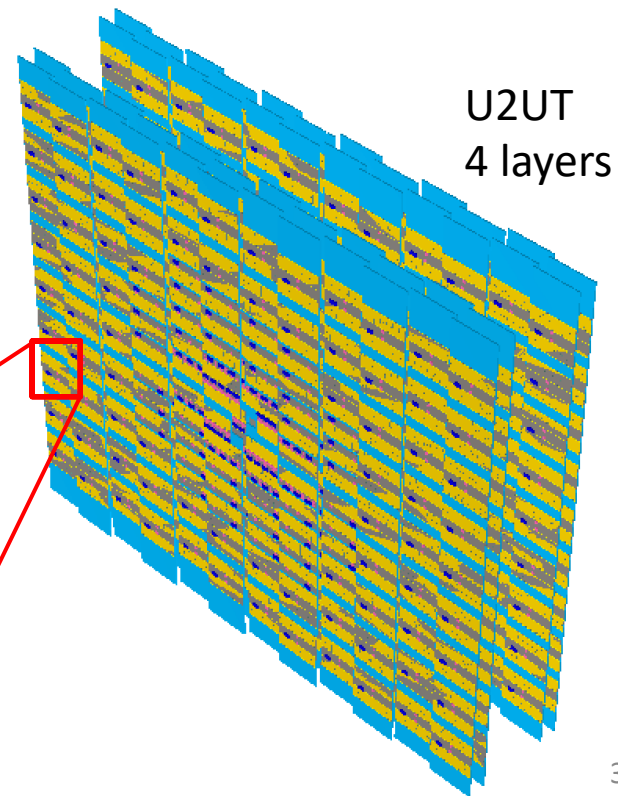
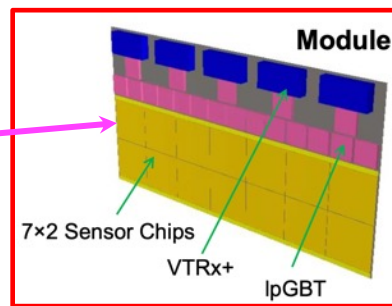
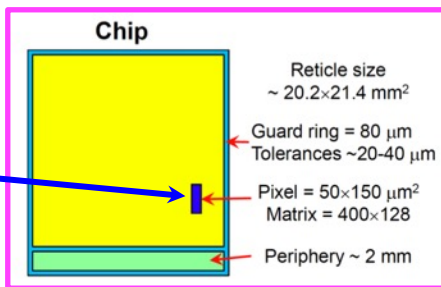
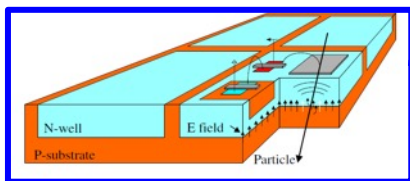
- The occupancy (max $\sim 10\%$) will compromise the performance
- Radiation does too high for current sensor

Beam pipe

U2UT:

- CMOS MAPS technique applied
- Very promising and cost effective for large area pixel detectors.

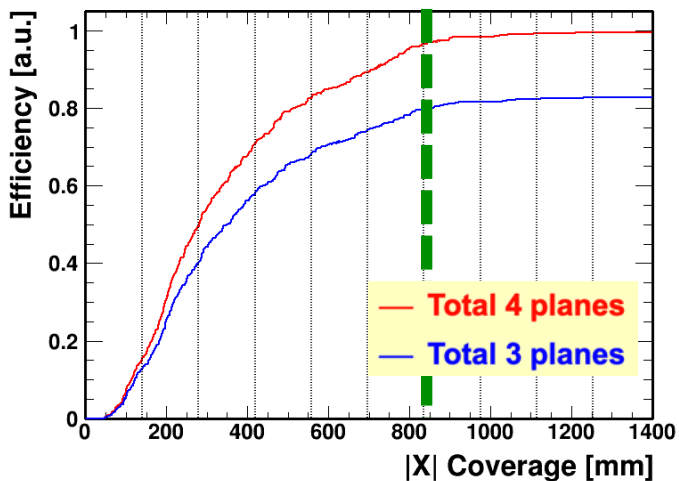
Monolithic Active Pixel Sensor (MAPS)



U2UT R&D status



Track efficiency vs X coverage



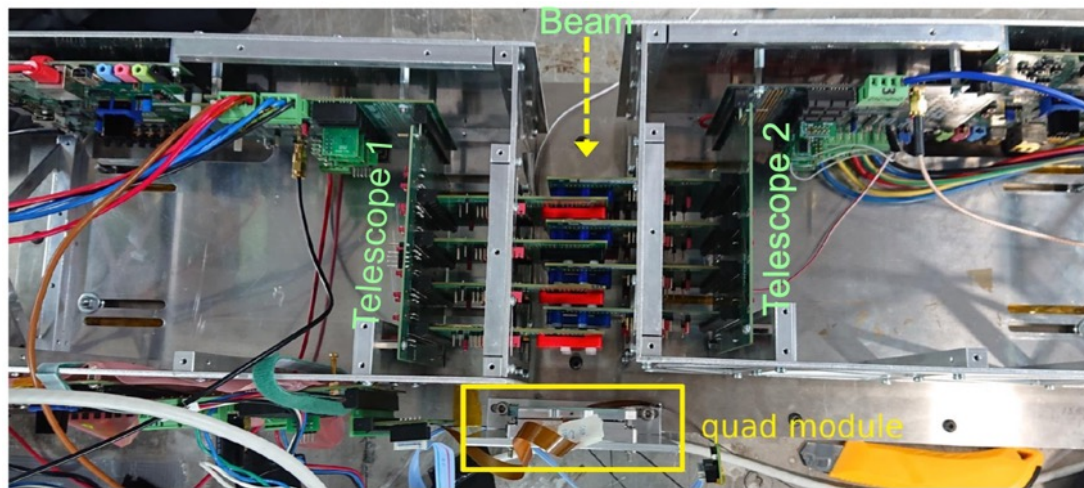
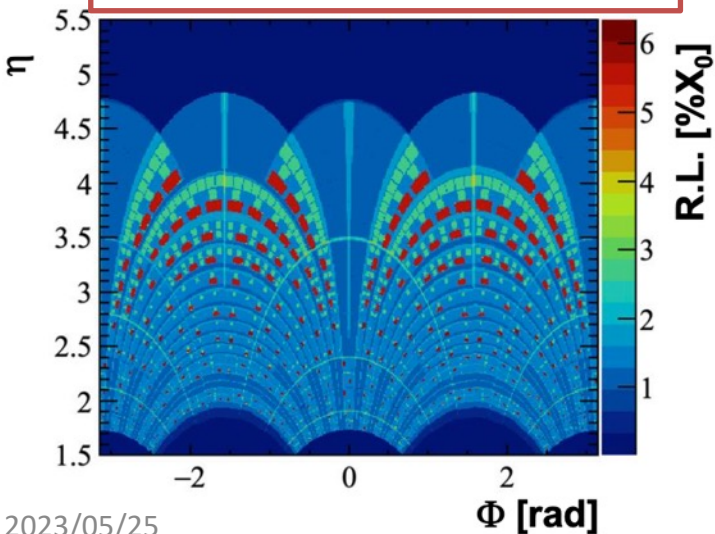
U2UT software ⇔ Lead by LHCb China group

- Preliminary studies on
 - ❑ Track efficiency for $B^- \rightarrow D^0 K^-$, $D^0 \rightarrow K_S \pi^+ \pi^-$, $K_S \rightarrow \pi^+ \pi^-$
 - Optimizing U2UT coverage
 - ❑ Detector simulation mostly done and RL calculated

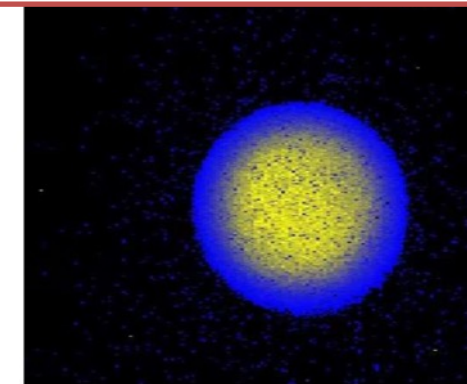
U2UT chips

- Beam test @ DESY in April 2022
- Tested in cosmic ray and various radioactive sources

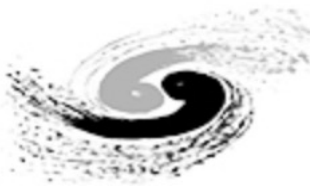
R.L. as functions of Φ and η



Hitmap with Fe55 source



Summary



LHCb plans upgrades

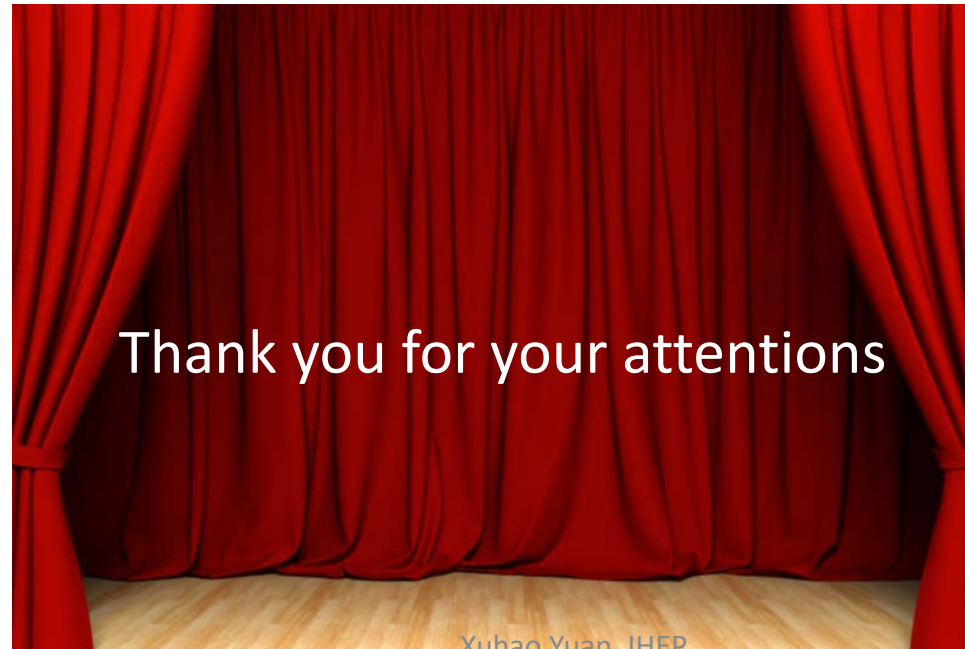
- Upgrade1, Upgrade1b and Upgrade2

UT: key for tracking system

- Tracks reconstructed faster, more efficiently ...
- Installation done, commission/online software nearly done

U2UT: replace current UT in the future

- FTDR is ready and now we are in R&D phase



Thank you for your attentions