

CEPC味物理 at Fudan University

15 August 2023

RD(*) anomaly status & recent developments

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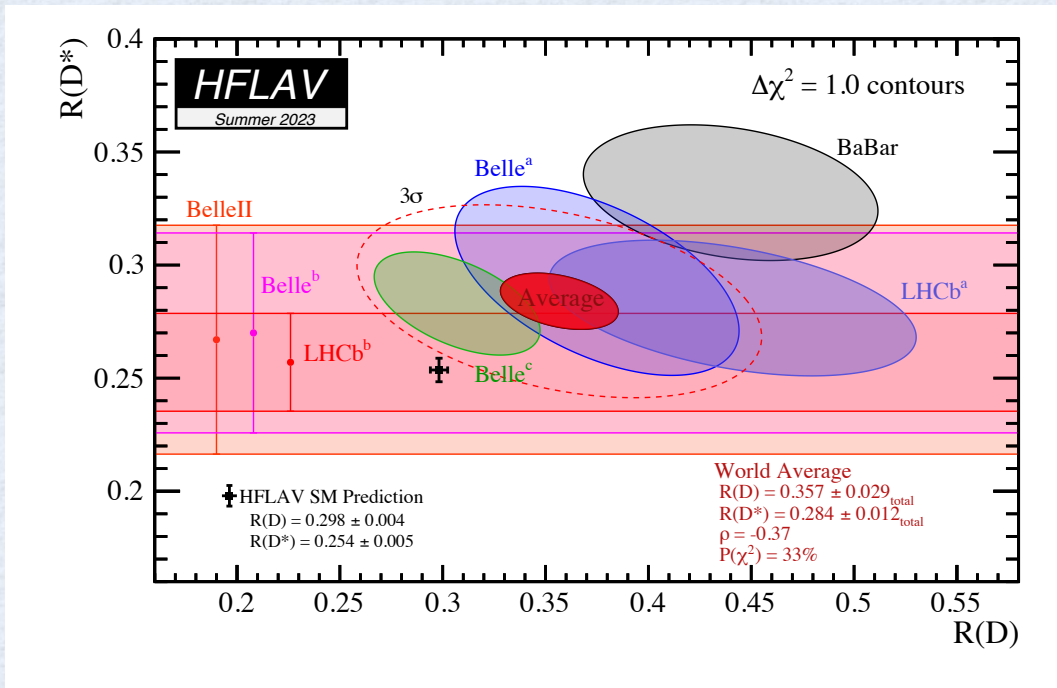
華中師範大學

CENTRAL CHINA NORMAL UNIVERSITY

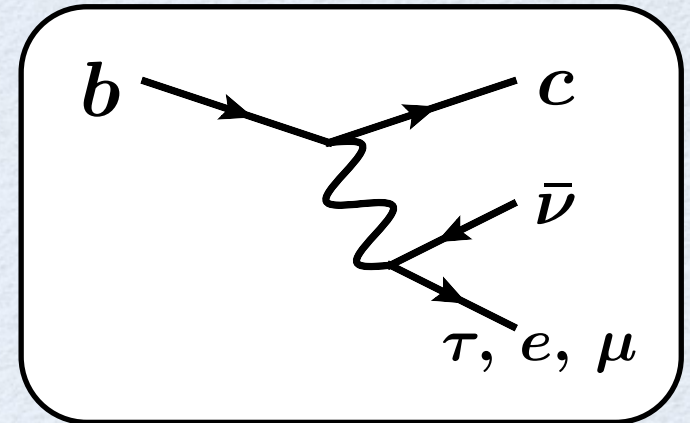
“Anomaly”

has been observed since 2012 in $R_{D^{(*)}} = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu})}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell \bar{\nu})}$

Measurements:



SM process:



Official consensus:

- $\sim 3\sigma$ deviation from the “official” SM value
- Some NP interpretations are possible, but not conclusive

RD(*): experiments

Experiment	R_{D^*}	R_D	Correlation
BaBar (2012)	$0.332 \pm 0.024 \pm 0.018$	$0.440 \pm 0.058 \pm 0.042$	-0.31
Belle (2015)	$0.293 \pm 0.038 \pm 0.015$	$0.375 \pm 0.064 \pm 0.026$	-0.50
Belle (2016)	$0.270 \pm 0.035^{+0.028}_{-0.025}$	—	—
Belle (2019)	$0.283 \pm 0.018 \pm 0.014$	$0.307 \pm 0.037 \pm 0.016$	-0.52
LHCb (2015)	$0.336 \pm 0.027 \pm 0.030$	—	—
LHCb (2017)	$0.280 \pm 0.018 \pm 0.029$	—	—
Previous average	0.297 ± 0.013	0.338 ± 0.030	-0.39
LHCb (2022)	$0.280 \pm 0.018 \pm 0.024$	$0.441 \pm 0.060 \pm 0.066$	-0.43
New average	0.284 ± 0.013	0.356 ± 0.029	-0.37

Latest status:

Belle (2019) → no update in 4 years / LHCb run1 (2017) → updated in 2022

Waiting lists:

CMS with “B-parking” / Belle II (first result → in 2023!) / LHCb run2

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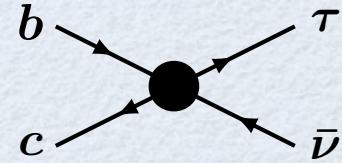
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RD(*): usual interpretations

NP EFT: $\mathcal{L}_X = 2\sqrt{2}G_F V_{cb} C_X^\tau (\bar{c} \Gamma b)(\bar{\tau} \Gamma' \nu)$



— Solutions to the RD(*) anomaly

$$C_{VLL}^\tau \approx 0.09$$

$$(\bar{c} \gamma^\mu P_L b)(\bar{\ell} \gamma_\mu P_L \nu)$$

$$C_{VRL}^\tau \approx 0.42i$$

$$(\bar{c} \gamma^\mu P_R b)(\bar{\ell} \gamma_\mu P_L \nu)$$

$$C_T^\tau \approx 0.15 + i 0.19$$

$$(\bar{c} \sigma^{\mu\nu} P_L b)(\bar{\ell} \sigma_{\mu\nu} P_L \nu)$$

$$C_{SLL}^\tau \approx -0.82 + 0.78i$$

$$(\bar{c} P_L b)(\bar{\ell} P_L \nu)$$

Right-handed neutrino scenarios are skipped here:

1802.01732, 1804.04135, 1804.04642,
1807.04753, 1811.04496

— Models of the mediator particle

Vector boson (W'): $C_{VLL}^\tau, C_{VRL}^\tau$

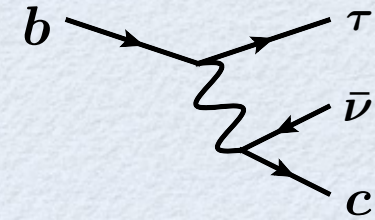
→ SU(2) model inevitably includes Z' that is very constrained due to tree-level FCNC

Charged Higgs: C_{SLL}^τ

→ typical models (type-I, II) do not give desired SLL and so type-III is the last hope

RD(*): usual interpretations

Leptoquarks (LQ): S_1 , R_2 , U_1



S_1 ($\bar{3}, 1, 1/3$) scalar: $C_{VLL}, C_{SLL} = -4C_T \approx 0.13$

→ VLL & SLL-T type couplings are independent and both has the solution

→ S1-S3 mixture was discussed for RK

1703.09226

R_2 ($3, 2, 7/6$) scalar: $C_{SLL} = +4C_T \approx 0.40 i$

→ could be related to GUT and neutrino mass generation

1701.08322

U_1 ($3, 1, 2/3$) vector: C_{VLL}, C_{SLL}

1709.00692, 1808.07492,
1812.01603, 2103.11889

→ VLL and SLL are independent unless UV is discussed

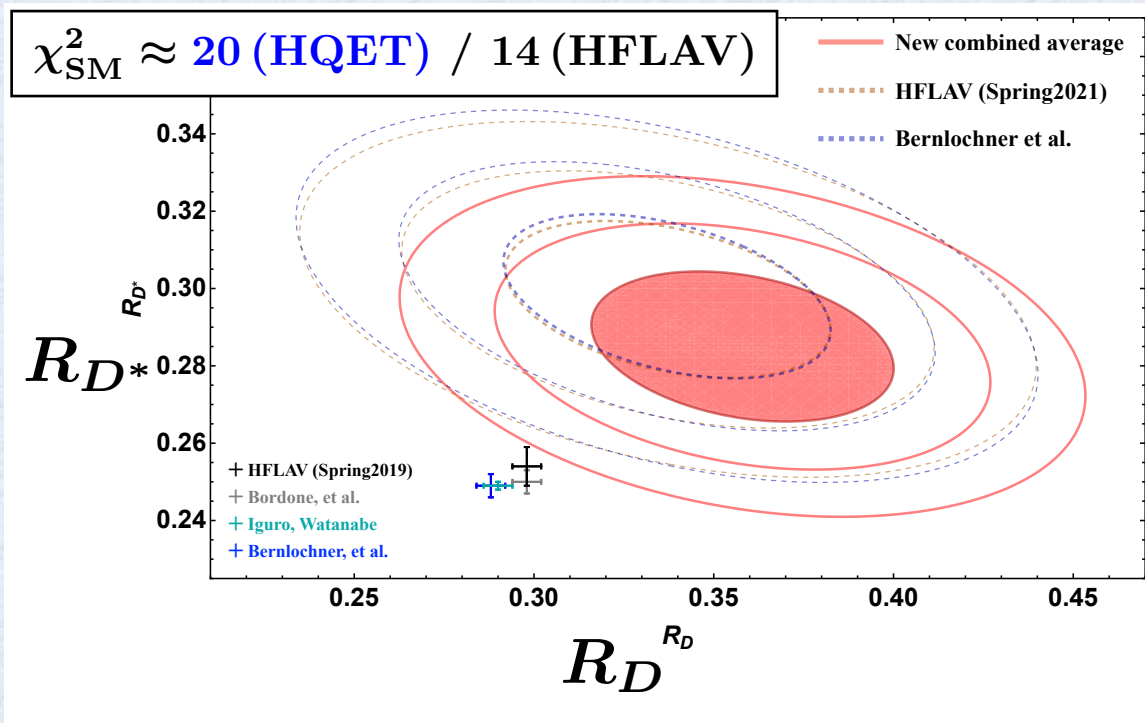
→ Famous Pati-Salam UV induces Z' that has to be managed (model dependent)

→ Another UV from $U(2)$ flavor symmetry gives $C_{SLL} = -2 e^{I\phi} C_{VLL}$

Content

- Status on **related observables/measurements** to $RD(^*)$
- **Impacts** on the NP solutions
 - SM predictions
 - NP in the light lepton modes?
 - Flavor signals: B_c , Λ_b , Tau polarizations
 - Collider signals: Tau + missing (+b jet)

SM predictions (Form Factors)



BGL parameterization:

+ HFLAV (Spring2019)

HQET parameterization:

+ EPJC80(2020)74 [3/2/1 model]

+ JHEP08(2020)006 [3/2/1 model]

+ Phys,Rev.D106(2022)096015 [CLN base]

Why different?:

- FF shape fit is still **unstable** → We need more theory calculation
- Lattice was available only for **B → D** until last year

New lattice results for **B → D*** !!!:

- Fermilab-MILC (2022 published) / HPQCD (2022) / JLQCD (2023)

EPJC 82, 1141 (2022)

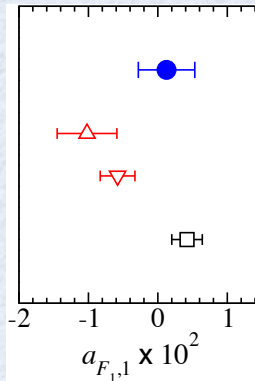
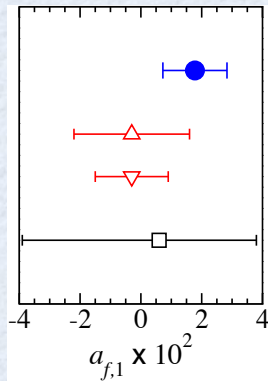
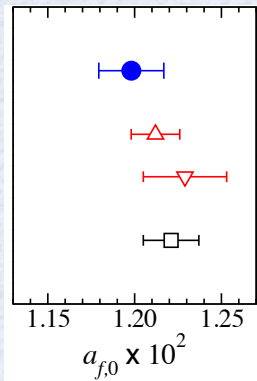
2304.03137

2306.05657

SM predictions (Form Factors)

New lattice results for $B \rightarrow D^*$!!!

— Fermilab-MILC (2022 published) / HPQCD (2022) / JLQCD (2023)



- ← JLQCD
- ← HPQCD
- ← Fermilab-MILC
- ← extracted from Belle data

$$\text{FF}(w) = \sum_n a_{\text{FF}}^n z(w)^n$$

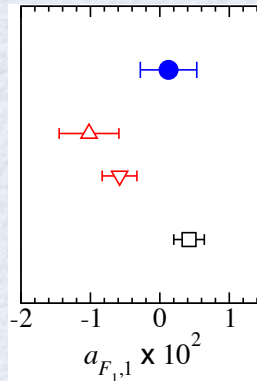
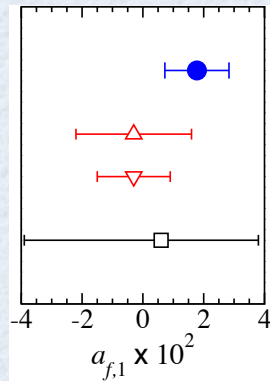
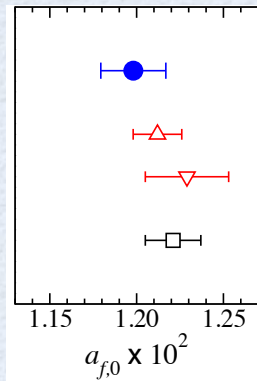
$$\text{FF} = \{f, g, \mathcal{F}_1, \mathcal{F}_2\} \text{ for } B \rightarrow D^*$$

New issue: how should we combine the lattice results?

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Effect on RD^* :

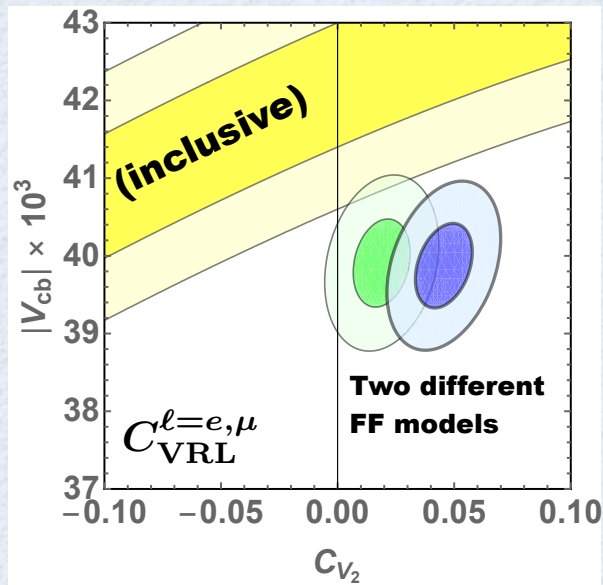
[0.252(22) JLQCD] vs [0.279(13) HPQCD] vs [0.265(13) MILC]

— JLQCD consistent with the present status [0.254(5) HFLAV]

— HPQCD & MILC larger value / reducing the B anomaly [0.285(13) exp]

NP in the light lepton modes?

Simultaneous fit of FF + Vcb + NP in $B \rightarrow D^{(*)} \mu \nu, D^{(*)} e \nu$

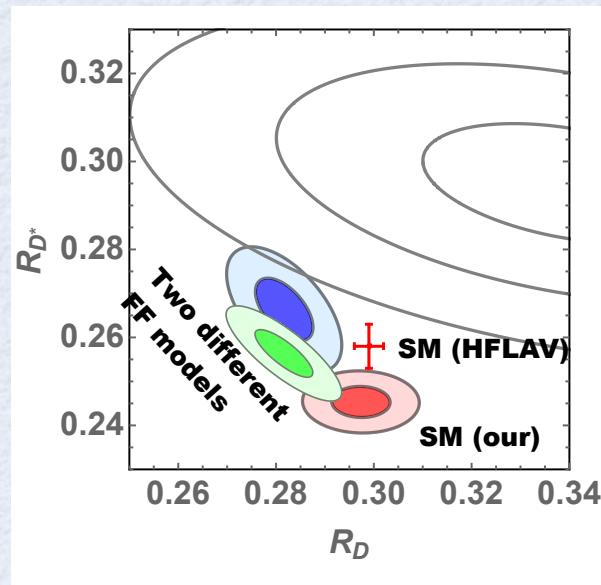
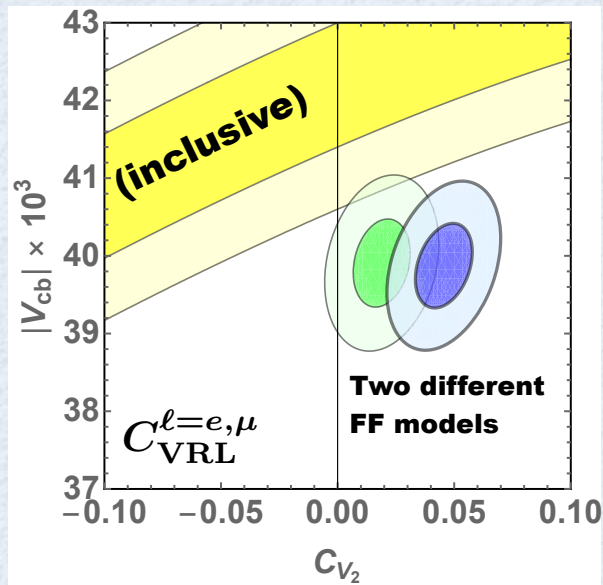


2004.10208 (RW)

- assuming LFU type NP in e/ μ : $C_X^e = C_X^\mu$
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- Impact on $RD^{(*)}$, NP in denominator, is mild
 - RD^* increases while RD decreases in case of VRL type NP

Flavor signals

(1) B_c lifetime

excluded the scalar NP solution (SLL):

— **Difference in experiment/theory is room for NP contribution** [hep-ph/9601249, 1611.06676](#)

$$[\tau_{B_c}^{\text{exp}} \approx 0.5\text{ps}] \text{ vs. } [0.4\text{ps} < \tau_{B_c}^{\text{th}} < 0.7\text{ps}] \Rightarrow \text{Br}(B_c \rightarrow \frac{\text{induced by NP}}{\tau_{\nu}}) < \mathbf{30\%}$$

→ **killed all the scalar NP solutions to the anomaly**

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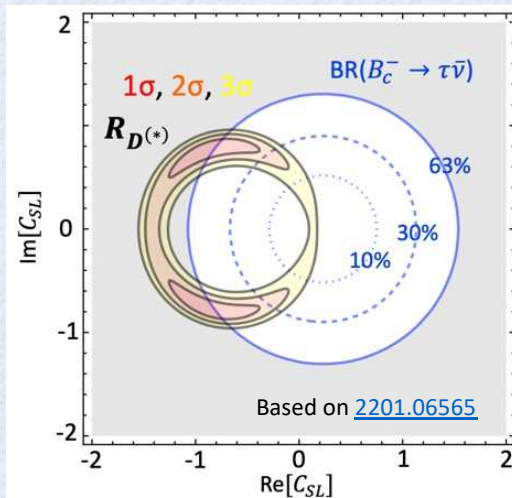
→ **killed all the scalar NP solutions to the anomaly**

— **The present calculation (OPE) is sensitive to charm mass input**

→ [1811.09603](#) pointed out a conservative bound should be **< 60%**

→ [2105.02988](#) provides update concerning charm mass: th. could reach **< 1.0ps (< 50%)**

→ **theory calculation is not conclusive, need further update...**



[2201.06565](#)

— **This update significantly affects the SLL scenario**

→ **Scalar type solution revived, but on the edge!**

→ **Type-III charged Higgs has to be revisited now!**

→ **Good news for several LQ scenarios as well**

Flavor signals

(2) Λ_b decay

Another R proposal from b-baryon: $R_{\Lambda_c} = \mathcal{B}(\Lambda_b \rightarrow \Lambda_c \tau \nu) / \mathcal{B}(\Lambda_b \rightarrow \Lambda_c \ell \nu)$

— light lepton modes were measured by DELPHI/CDF/LHCb since 2004

— the first result for tau together with R was reported by LHCb in this year!

2201.03497

LHCb (2022): $0.242 \pm 0.026 \pm 0.04 \pm 0.059$ \Leftrightarrow SM (2018): 0.324 ± 0.004

Flavor signals

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Heavy Quark Symmetry ensures **sum rule**: $\frac{R_{\Lambda_c}}{R_{\Lambda_c}^{\text{SM}}} = 0.28 \frac{R_D}{R_D^{\text{SM}}} + 0.72 \frac{R_{D^*}}{R_{D^*}^{\text{SM}}} + \delta$

— $|\delta| \ll 1$ holds under any NP existence as long as $|C_T| \ll 1$

1811.09603, 1905.08253

→ Recall **the T solution**: $|C_T| \approx |0.15 + i 0.19| = 0.24 \Rightarrow \delta = -0.03$

— measured R_{D^*} provides **model-independent fit**: $R_{\Lambda_c}^{\text{fit}} = 0.380 \pm 0.013 \pm 0.005$

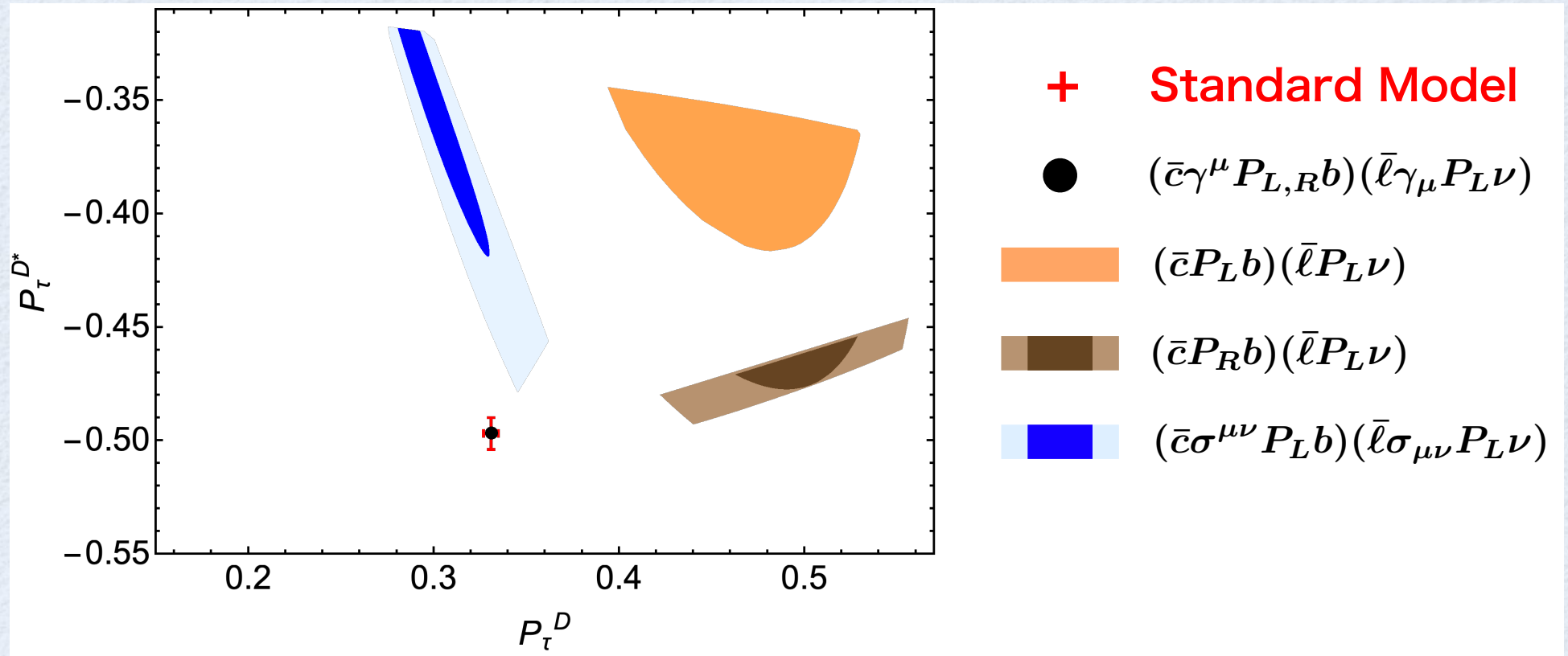
→ is **another index** to test the anomaly

→ IOW, this R cannot distinguish NP types but is **a unique value for every NP solution**

→ For now, the measured R_{Λ_c} is not consistent with the R_{D^*} anomaly

Flavor signals

(3) Tau spin polarization



— NP solutions for RD(*) anomaly predict distinct signals

→ could identify T/SLL/SRL solution (blue/yellow/cyan)

→ Current experimental measurement

Belle (2017) 1709.00129

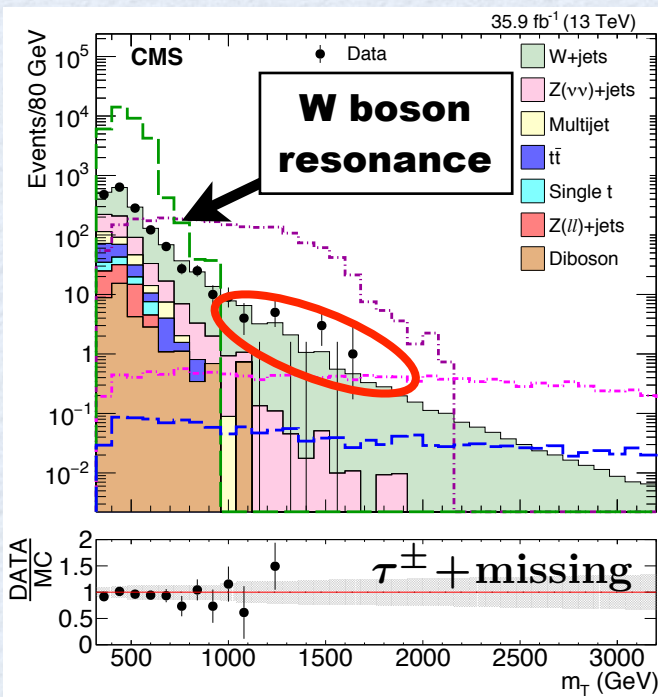
D* mode: $P_{\tau,\text{exp}}^{D*} = -0.38 \pm 0.51^{+0.21}_{-0.16}$

D mode: not measured yet

Collider signals

W boson resonance:

- has been observed with missing transverse mass
- its **tail** can be interpreted as NP contribution responsible for the $RD^{(*)}$ anomaly
- minimal NP process is $bc \rightarrow \tau\nu$
 - **W'** is severely constrained: $< 2\text{TeV}$ excluded (bc PDF suppressed) / $< 5\text{TeV}$ (SSM)
 - **EFT** based analysis is also available and gives very crucial bound



1811.07920

- competitive with the NP solutions that require large WCs:

$$|C_{VLL}^{\text{LHC-EFT}}| < 0.32 \Leftrightarrow C_{VLL}^{R_{D^{(*)}}} \approx 0.09$$

$$|C_{VRL}^{\text{LHC-EFT}}| < 0.33 \Leftrightarrow C_{VRL}^{R_{D^{(*)}}} \approx 0.42 i$$

$$|C_T^{\text{LHC-EFT}}| < 0.20 \Leftrightarrow |C_T^{R_{D^{(*)}}}| \approx |0.15 + i 0.19| = 0.24$$

$$|C_{SLL}^{\text{LHC-EFT}}| < 0.32 \Leftrightarrow |C_{SLL}^{R_{D^{(*)}}}| \approx |-0.82 + i 0.78| = 1.13$$

- **Charged Higgs** is very excluded, but has an exception

→ tail $p_T < 500\text{GeV}$ is less sensitive to NP signal

→ mass window $180\text{GeV} < m_H < 400\text{GeV}$ is not accessible

Collider signals

t-channel case:

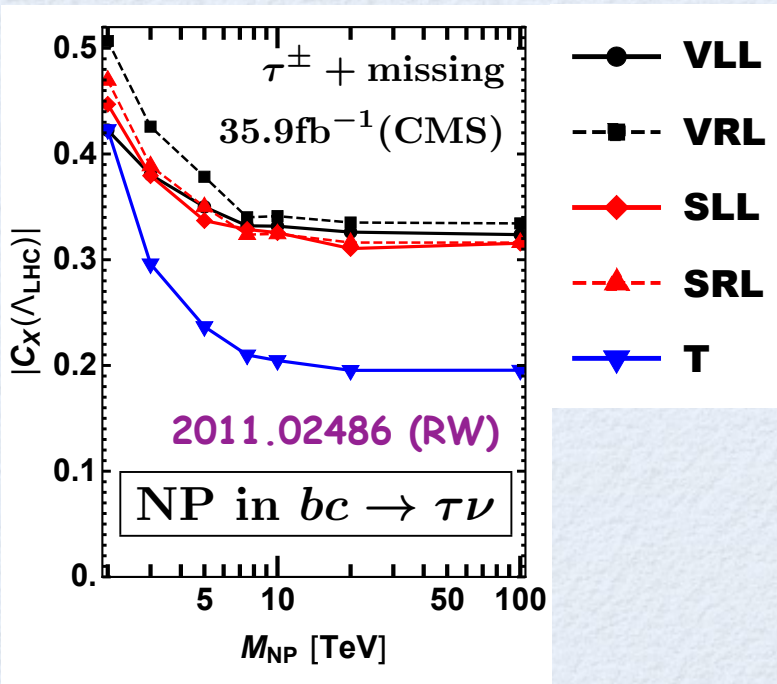
— EFT approximation is not good at high-mT

→ if NP mass is close to **mT bin ~ 1TeV** applicable for bound

→ In particular, it **overestimates** the signal in the case of t-channel

→ Large **t(<0)** generates large mT and **reduces** the contribution

— **ex)** $\mathcal{L}_U = h_U^{ij} \left(\bar{q}_L^i \gamma^\mu \ell_L^j \right) U_\mu + \text{h.c.} \Rightarrow \frac{h_U^{b\tau} \cdot h_U^{c\nu}}{t - m_{LQ}^2} \neq - \frac{h_U^{b\tau} \cdot h_U^{c\nu}}{m_{LQ}^2} \equiv C_{VLL}$



Proper bound for t-channel NP:

→ **2TeV LQ:** EFT bound is **40~100%** overestimated

→ **5TeV LQ:** **10~20%** overestimated

→ T solution is still viable in the case of LQ type

$$|C_T^{\text{LHC-LQ}}| < 0.42 \Leftrightarrow |C_T^{R_{D^{(*)}}}| \approx |0.15 + i 0.19| = 0.24$$

Future capability:

→ **3ab⁻¹ LHC** reaches all the solutions **except VLL**

$$|C_{VLL}^{\text{LHC } 3ab^{-1}}| < 0.15 \Leftrightarrow C_{VLL}^{R_{D^{(*)}}} \approx 0.09$$

Collider signals

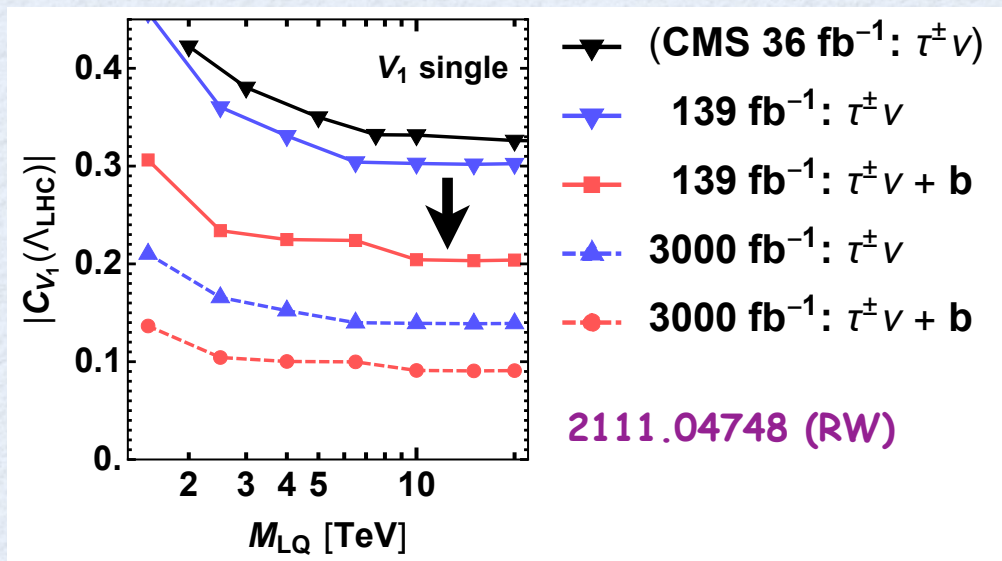
proposal of improvement:

— Requiring **additional b-jet** greatly reduces the SM background 2008.07541

→ comes from $gq \rightarrow b\ell\nu$ ($q = u, c$) suppressed by $|V_{qb}|^2$ in the SM

→ simulation shows +b search could improve the LHC bound by **~50%**

→ $3ab^{-1}$ LHC could reach the VLL solution: $|C_{VLL}^{3ab^{-1} + b}| \lesssim 0.1$



Collider signals

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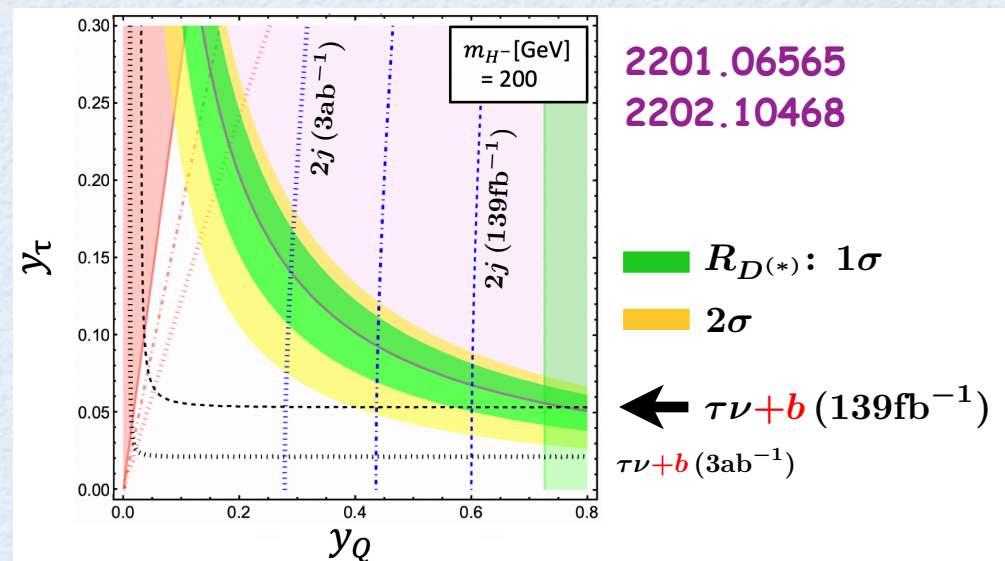
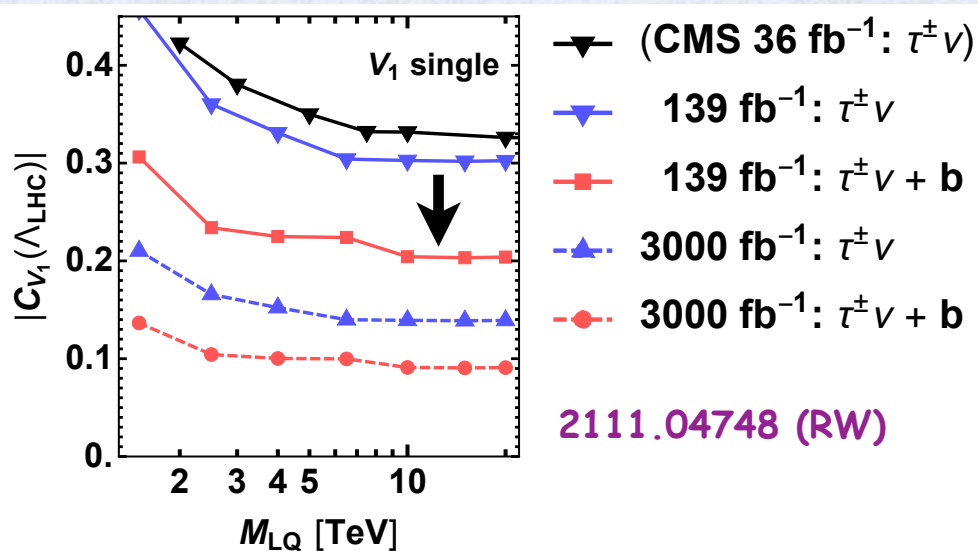
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→ $3ab^{-1}$ LHC could reach the VLL solution: $|C_{VLL}^{3ab^{-1}+b}| \lesssim 0.1$

— $\tau\nu+b$ search can also access **$m_H < 400\text{GeV}$** (out of range for $\tau\nu$ search)

→ suppressing trigger rate could reach up to **180GeV**

→ simulation shows **139fb^{-1} data is sufficient** to test the SLL solution for RD(*)



Summary

— SM predictions

- ✓ New **lattice** form factor calculations bring impacts on the SM values

— NP in the light lepton modes?

- ✓ NP hidden **in the V_{cb} measurement** is possible ($< 5\%$), but impact on $RD^{(*)}$ is limited

— Flavor signals: B_c , Λ_b , Tau polarizations

- ✓ $B_c \rightarrow \tau \nu$ has great **potential to pin down** the $RD^{(*)}$ solution → **CEPC** has a crucial role!
- ✓ $R_{\Lambda c}$ has model-independent **sum rule with $RD^{(*)}$** , and gives **another index** for the anomaly

— Collider signals: Tau + missing (+ b jet)

- ✓ **High- p_T ($>500\text{GeV}$) tail** is sensitive to NP responsible for $RD^{(*)}$, and **already competitive**
- ✓ EFT bounds already excluded some $RD^{(*)}$ solutions, while **t-channel bounds more milder**
- ✓ **Additional b-jet tag** will improve the collider bound and **reach 10% precision**

Backup

Flavor signals

(2) B_c decay

The “**R**” observable for B_c : $R_{J/\psi} = \mathcal{B}(B_c \rightarrow J/\psi \tau \nu) / \mathcal{B}(B_c \rightarrow J/\psi \mu \nu)$

1711.05623

LHCb (2017): $0.71 \pm 0.17 \pm 0.18$ \Leftrightarrow
35%

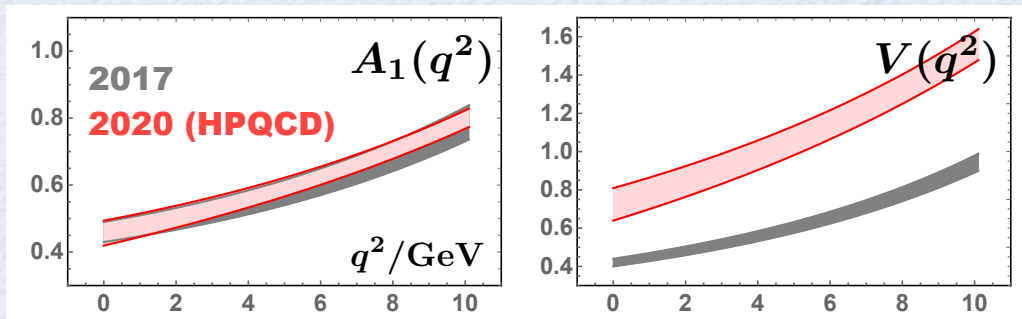
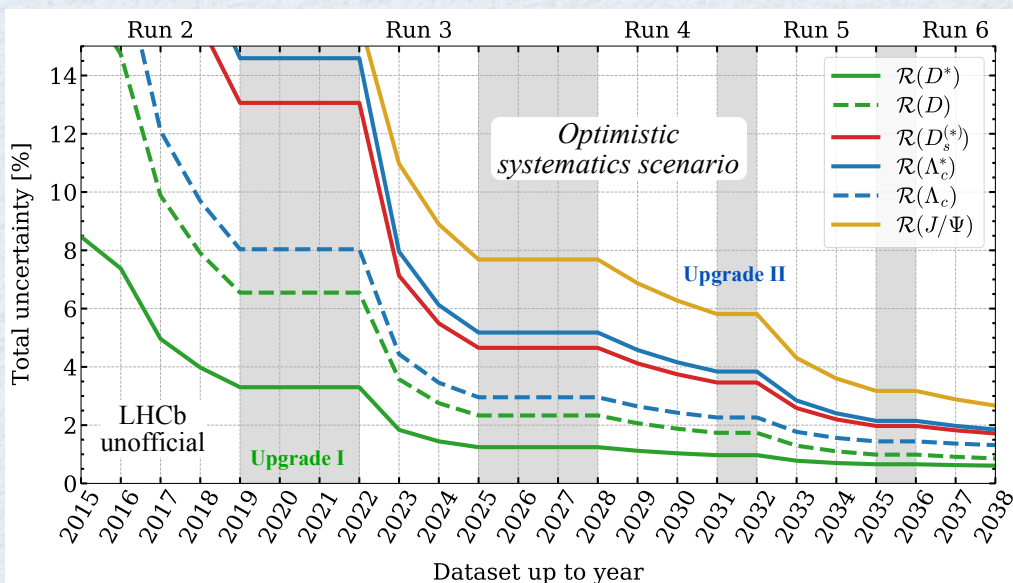
SM (2017): 0.28 ± 0.05 1709.08644

SM (2019): 0.24 ± 0.01 1901.08368

SM (2022): 0.258 ± 0.004 2204.04357

- Update is planned in the LHCb roadmap
 → error could go into **8% in 5 years**
- Sufficiently crucial for the RD^* anomaly
 → NP prediction on $R_{J\psi}$ can be tested

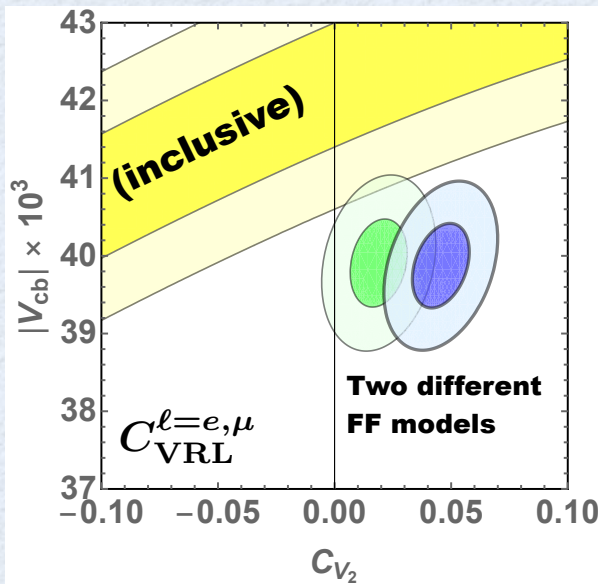
- FF updated: 2007.06957
 → QCD (2017)/ SR (2019) / lattice (2020)
 → deviations affected the SM value



- NP prediction from the RD^* solution:
 → ex) VLL solution predicts **0.28-0.29**
 → Summary given later

NP in the light lepton modes?

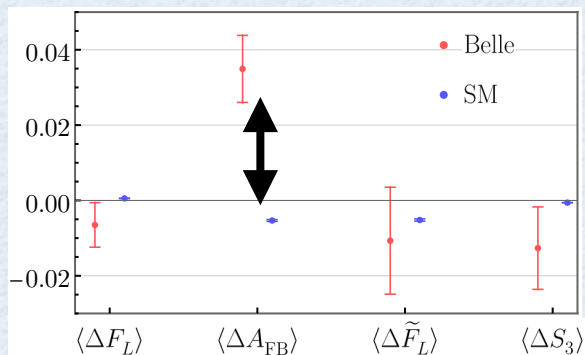
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- Impact on RD(*), NP in denominator, is mild
 - RD* increases while RD decreases in case of VRL type NP

(2) New anomaly in angular obs. $\Delta A_{\text{FB}} = A_{\text{FB}}(D^* \mu \nu) - A_{\text{FB}}(D^* e \nu)$

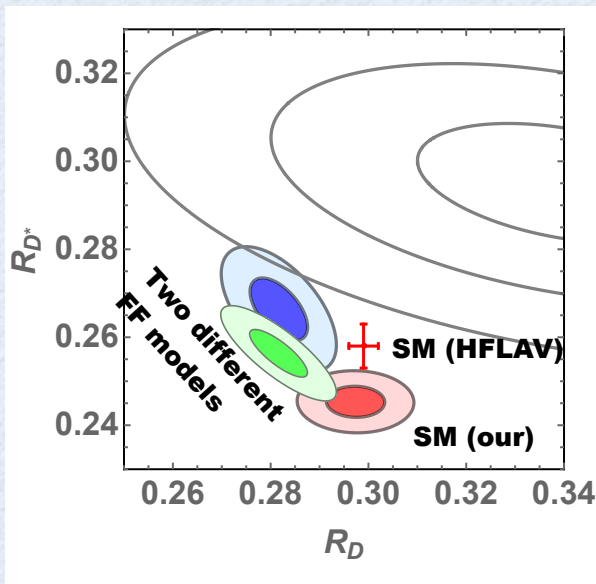


2104.02094, 2203.07189

- using Belle 2018 data, angular asymmetries can be constructed
- “anomaly” was observed in the FB asymmetry between e/μ
 - Single NP operators difficult / Tuned NP couplings needed
 - Impact on RD(*) is very limited since $\text{Br}(e/\mu) = 1 \pm 0.01$

NP in the light lepton modes?

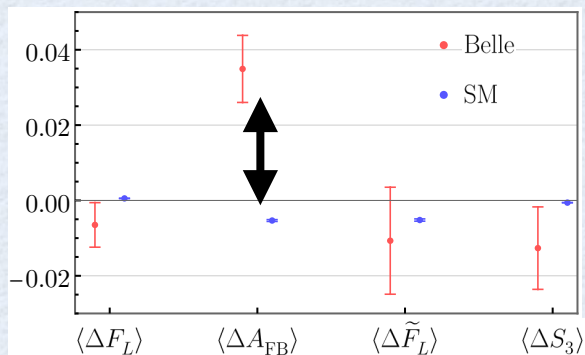
(1) Simultaneous fit of FF + Vcb + NP in $B \rightarrow D^{(*)} \mu \nu, D^{(*)} e \nu$



2004.10208 (RW)

- assuming LFU type NP in e/ μ $C_X^e = C_X^\mu$
- taking Belle full angular data (2017,2018) & all available theory
 - processes usually used to measure Vcb
- NP can be hidden behind the Vcb measurement
 - possible size is < 5% of the “SM size” $\equiv 2\sqrt{2}G_F V_{cb}$
- Impact on $RD^{(*)}$, NP in denominator, is mild
 - RD^* increases while RD decreases in case of VRL type NP

(2) New anomaly in angular obs. $\Delta A_{FB} = A_{FB}(D^* \mu \nu) - A_{FB}(D^* e \nu)$

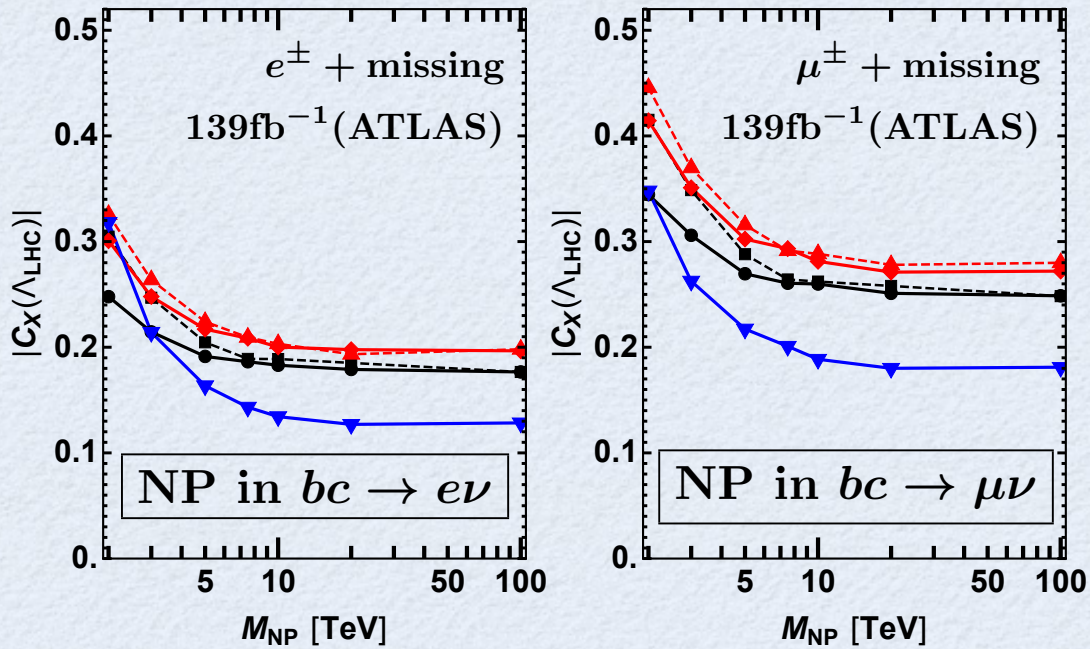


2104.02094, 2203.07189

- using Belle 2018 data, angular asymmetries can be constructed
- “anomaly” was observed in the FB asymmetry between e/ μ
 - Single NP operators difficult / Tuned NP couplings needed
 - Impact on $RD^{(*)}$ is very limited since $Br(e/\mu) = 1 \pm 0.01$

Result 1/2

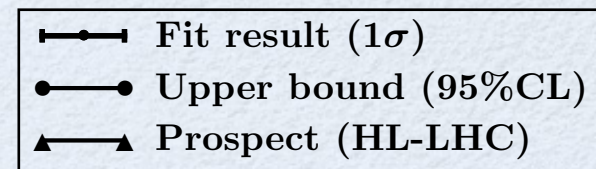
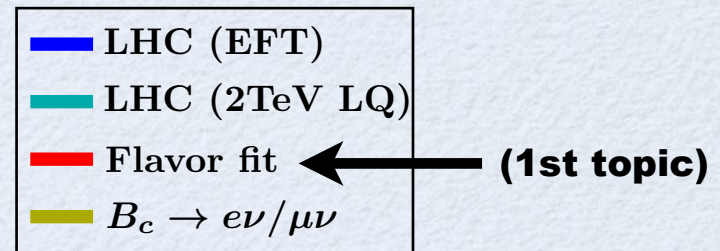
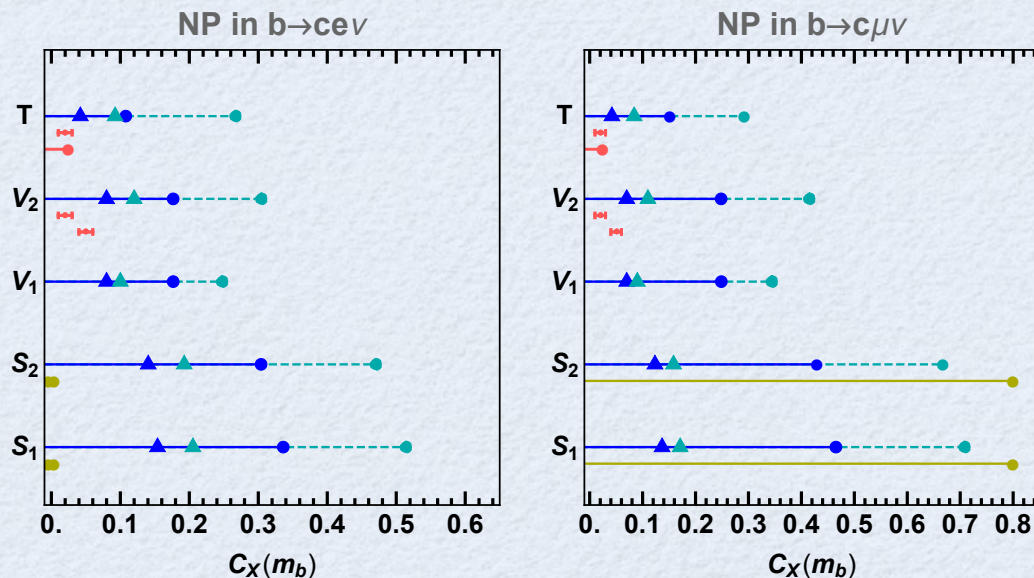
Mediator (LQ) mass dependence:



(WC definition)

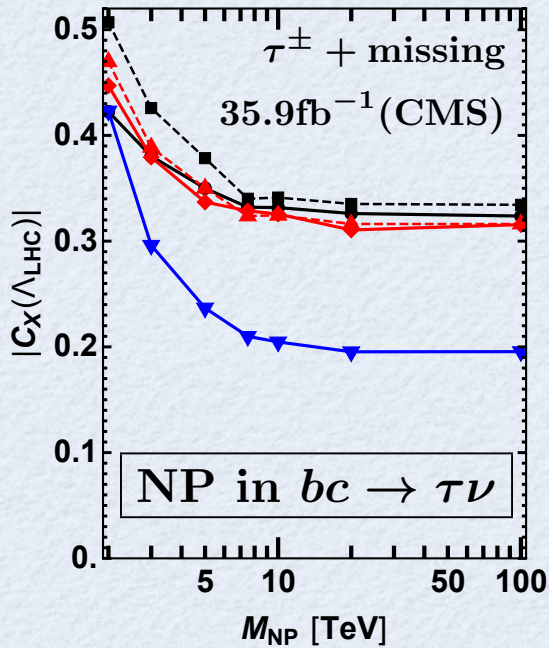
●	V1	$2\sqrt{2}G_F V_{cb} [C_{V_1}(\bar{c}\gamma^\mu P_L b)(\bar{\ell}\gamma_\mu P_L \nu)$
■	V2	$+C_{V_2}(\bar{c}\gamma^\mu P_R b)(\bar{\ell}\gamma_\mu P_L \nu)$
◆	S1	$+C_{S_1}(\bar{c}P_R b)(\bar{\ell}P_L \nu)$
▲	S2	$+C_{S_2}(\bar{c}P_L b)(\bar{\ell}P_L \nu)$
▼	T	$+C_T(\bar{c}\sigma^{\mu\nu} P_L b)(\bar{\ell}\sigma_{\mu\nu} P_L \nu)]$

Impact on Flavor (Vcb+NP fit):



Result 2/2

The tau case:



EFT) $|C_T|_{\text{LHC}} < 0.20$ (95%CL)



LQ) $|C_T|_{\text{LHC}} < 0.42$ (95%CL)

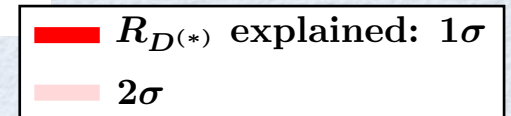
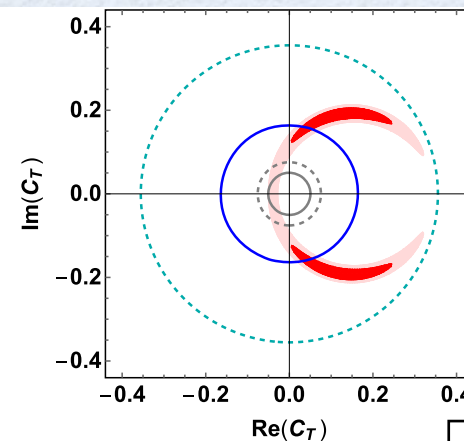
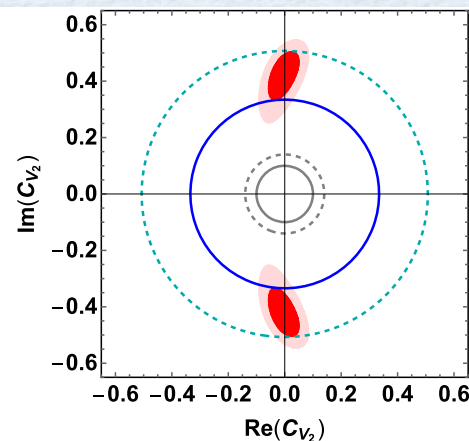
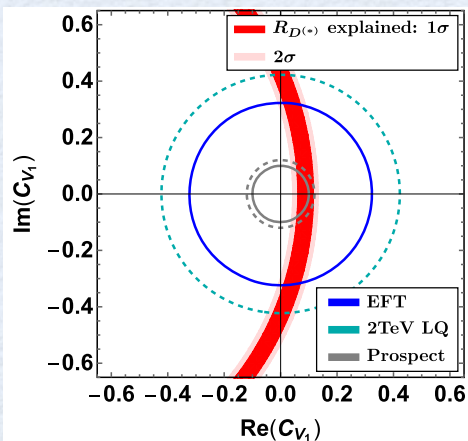
$$\leftrightarrow |C_T|_{R_{D^{(*)}}} \approx |0.15 + i 0.19| = 0.24$$

(Summary)

2TeV LQ: EFT bound is **40~100% overestimated**

5TeV LQ: **10~20% overestimated**

Impact on Flavor ($R_{D^{(*)}}$) anomaly:



+ b-jet tag

2111.104748

— Requiring **additional b-jet** greatly reduces the SM background

$$\ell^\pm \nu + b \Big|_{\text{SM}} \Rightarrow gq \rightarrow b\ell\nu \quad (q = u, c) \Rightarrow |V_{ub,cb}|^2 \text{ suppression}$$

Improvement ①: stronger bound is simply expected

— can look into detail of the **U1-LQ** model = SM-like vector operator

$$\mathcal{L}_U = h_U^{ij} \left(\bar{q}_L^i \gamma^\mu \ell_L^j \right) U_\mu + \text{h.c.} \quad C_{V_1} \equiv -\frac{h_U^{b\tau} \cdot h_U^{c\nu}}{m_{\text{LQ}}^2}, \text{ but indeed } h_U^{c\nu} = h_U^{s\ell}$$

$$\ell^\pm \nu \Big|_{U_1\text{-LQ}} \Rightarrow cb, cs \rightarrow \ell\nu \Rightarrow \text{The } C_{V_1} \text{ bound is valid only if } h_U^{b\tau} \gg h_U^{c\nu} \text{ for } U_1\text{-LQ}$$

$$\ell^\pm \nu + b \Big|_{U_1\text{-LQ}} \Rightarrow cg \rightarrow b\ell\nu \Rightarrow \text{no } s \text{ quark, (but could be mis-tagged)}$$

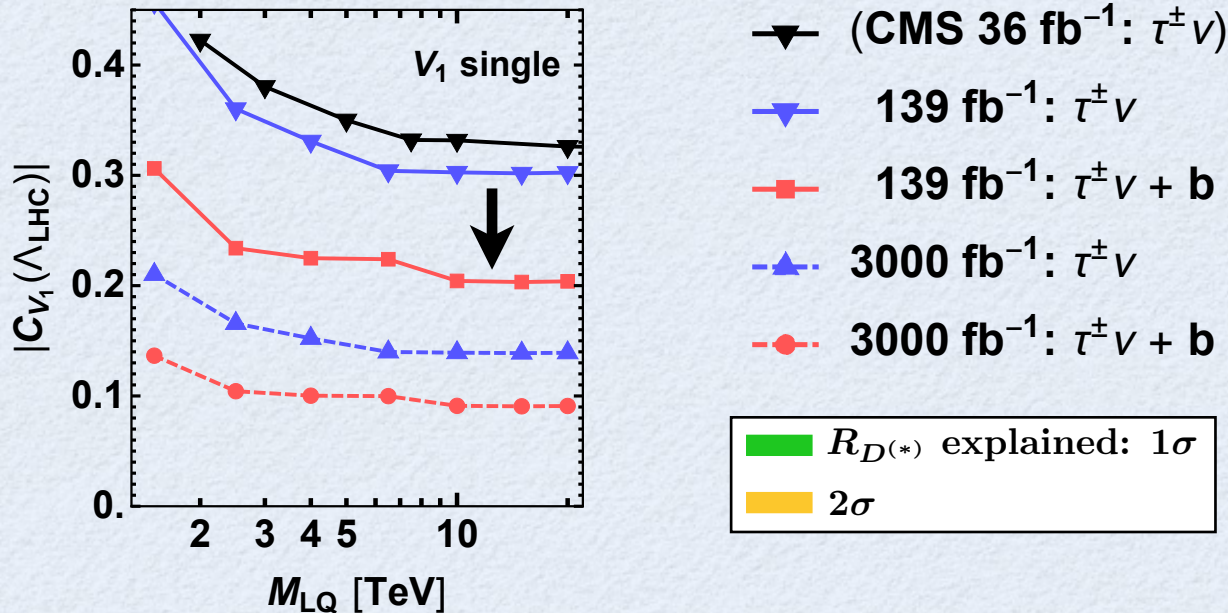
Improvement ②: complementary bound on the two couplings

+ b-jet tag

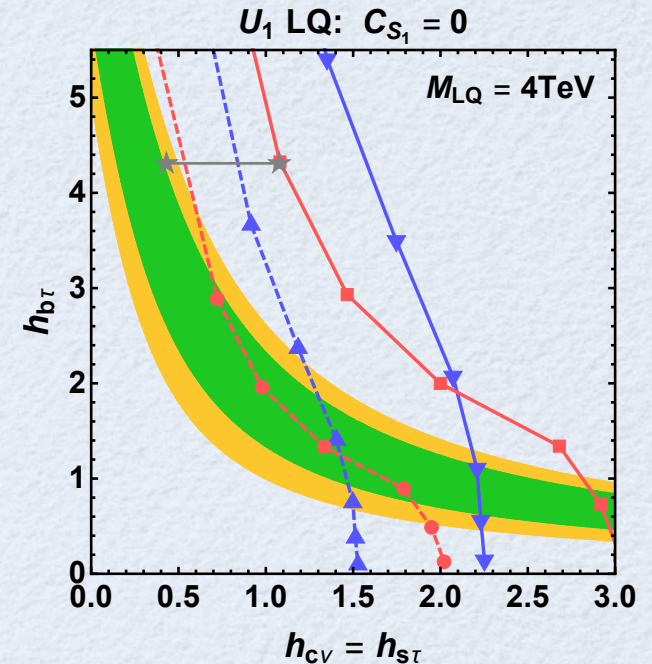
2111.104748

(BG/Signal events generated & simulated: details skipped)

Improvement ①:



Improvement ②:



Observations:

- +b search improves the bound by **~50%**
- +b search at HL_LHC can achieve **$Cx \sim 0.1$** , i.e. **10% NP effect**
- Given the LQ mass, the two couplings (**not combination**) are constrained

FF parameterization

CLN

Caprini, Lellouch, Neubert (1997)

- “**Traditional**” parameterization based on HQET
- Form Factors are **approximated** and **related with each other**

Cons: parameterization is valid only up to $1/m_Q$ correction



Comparison: inclusive decay has no $(1/m_Q)^1$ but starts from $(1/m_Q)^2$

BGL

Boyd, Grinstein, Lebed (1997)

- “**General**” parameterization with minimum requirement
- Each Form Factor involves **independent parameters**

Cons: FFs in New Physics involve new unknown parameters

FF parameterization

✓ “general HQET”

[Jung, Straub \(2018\)](#), [Bordone, Jung, Dyk \(2019\)](#)

— **general HQET based** parameterization

— includes higher order corrections **at the cost of larger parameter set**

Pros: NNLO could be competitive to NLO because $(\Lambda/m_c)^2 \sim (\Lambda/m_b)^1$

Pros: Including NNLO is also a fair comparison with inclusive mode

✓ Modeling

HQET property: **one LO / three NLO / six NNLO** Isgur-Wise functions

Parameterization: ex) $\xi(w) \equiv \sum_{n=0}^{N_{\text{LO}}} a_{\xi}^{(n)} z^n$ **Truncation order:** arbitrary

Two proposed modelings for the truncation orders:

* CLN is naively (3/0/-)

$$(N_{\text{LO}}/N_{\text{NLO}}/N_{\text{NNLO}}) = \begin{cases} (3/2/1) & \rightarrow \mathbf{23 \text{ parameters!}} \\ (2/1/0) & \rightarrow \mathbf{13 \text{ parameters!}} \end{cases}$$

Tau Polarization in LQ

