

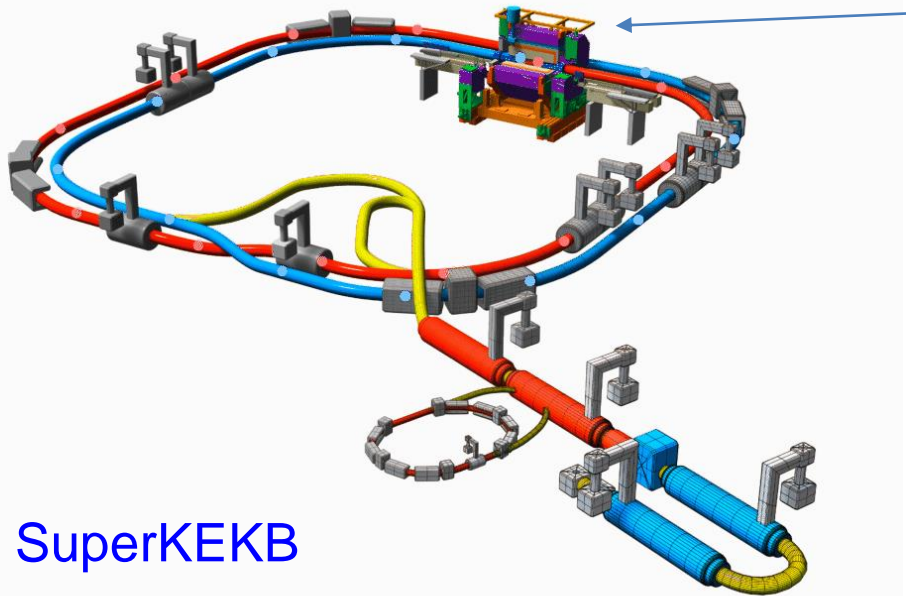
Belle II PID Systems

Shohei Nishida (西田昌平)

KEK

CEPC Workshop @ 復旦大學

Aug. 14, 2023



SuperKEKB



EM Calorimeter

CsI(Tl), waveform sampling electronics

electrons (7 GeV)

Vertex Detector

2 layers Si Pixels (DEPFET) +
4 layers Si double sided strip DSSD

Central Drift Chamber

Smaller cell size, long lever arm

KL and muon detector

Resistive Plate Counter (barrel outer layers)
Scintillator + WLSF + MPPC
(end-caps, inner 2 barrel layers)

Particle Identification

Time-of-Propagation counter (barrel)
Prox. focusing Aerogel RICH (forward)

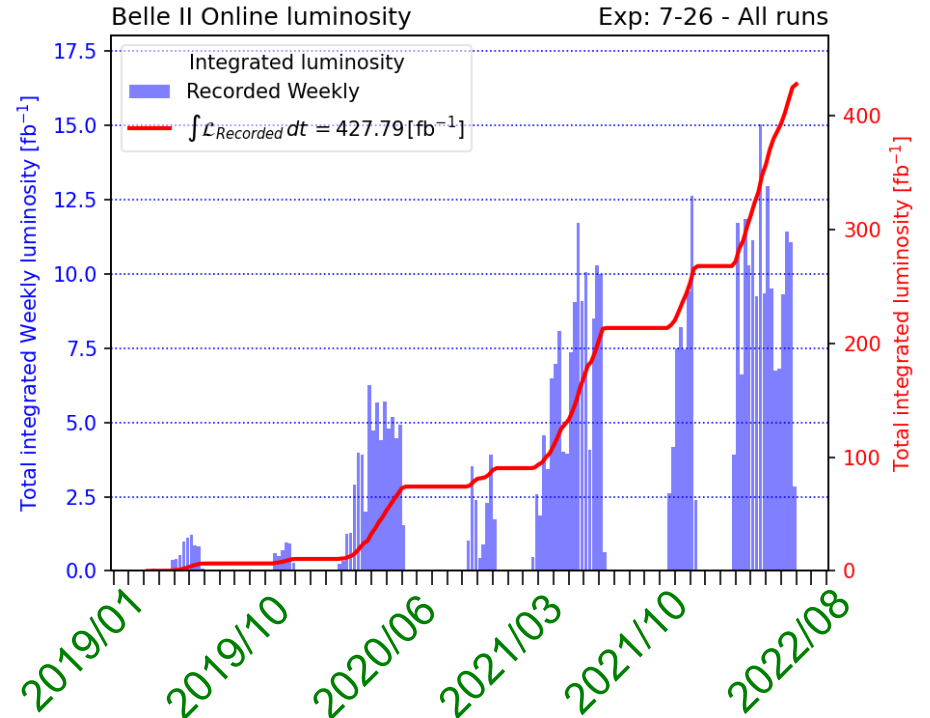
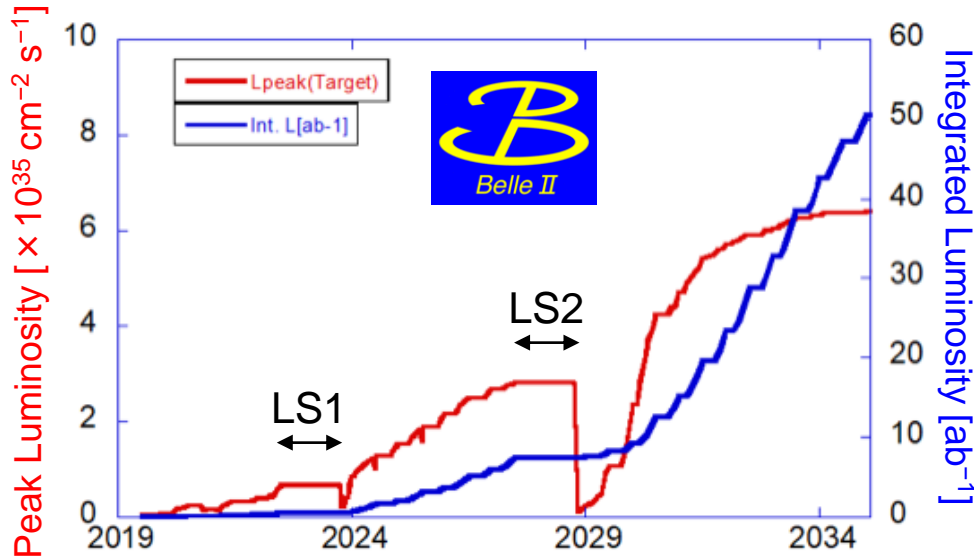
positrons (4 GeV)

Belle II

Belle II TDR, arXiv:1011.0352

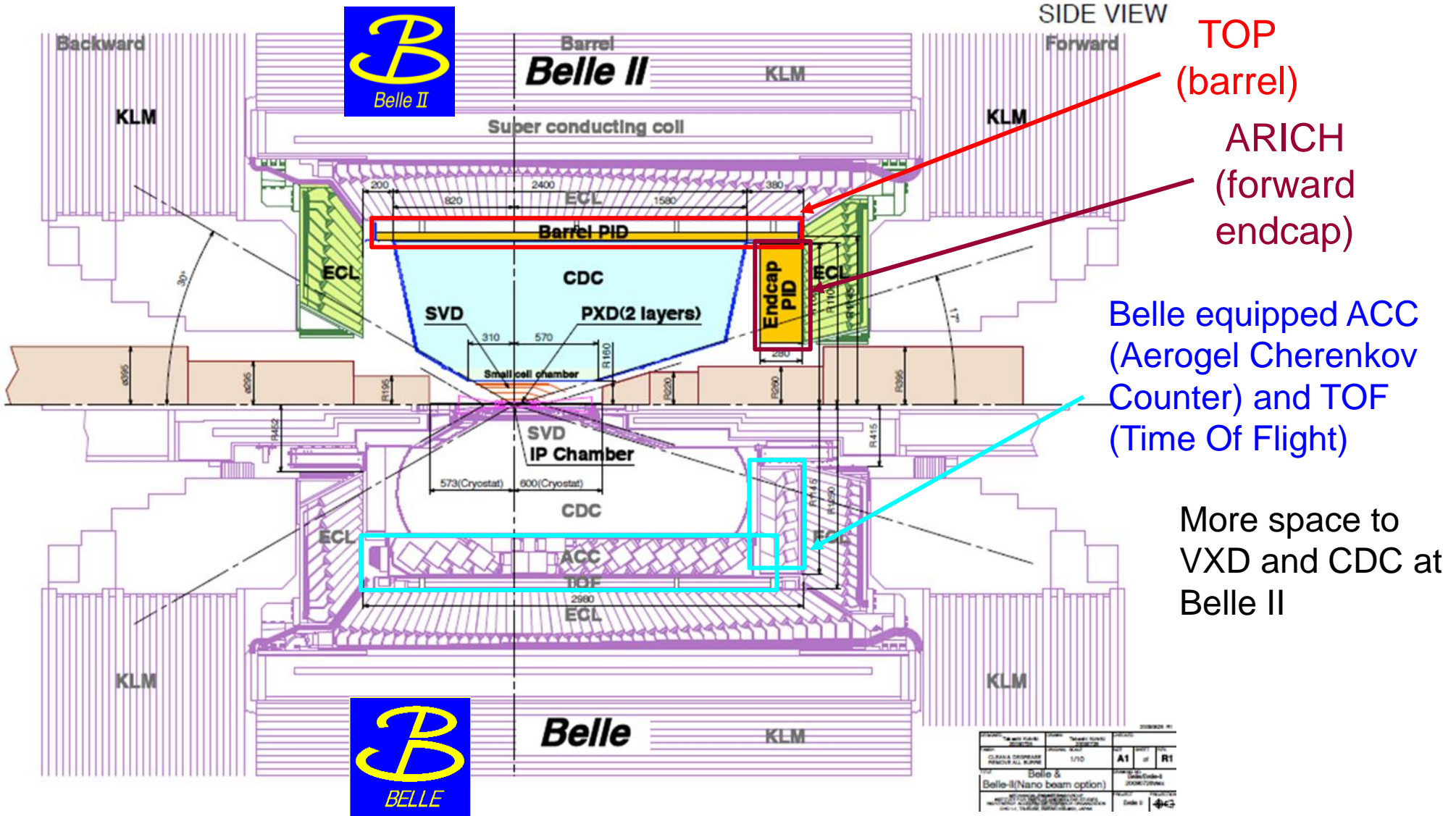
- **Belle II experiment** at KEK: flavor physics experiment, successor of Belle.
- **SuperKEKB** Asymmetric electron-positron collider: 4 GeV e^+ + 7 GeV e^- .
- Nano beam scheme to achieve high luminosity.
- Operation with full detector started in 2019.
- Now in Long Shutdown 1 (2022 Jul – 2023 Nov)
- Luminosity $4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ achieved so far (aiming one order higher).
- Plan to accumulate one order larger dataset than belle (50 ab^{-1})

- Luminosity $4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ achieved (Jun 8, 2022).
 - ✓ World record ($\sim \times 2$ of KEKB)
 - ✓ Aiming one order higher.
- 424 fb^{-1} of data accumulated so far.
 - ✓ Belle: 1 ab^{-1} (= 1000 fb^{-1}) in 11 years' operation.
 - ✓ Belle II target: O(10) of Belle.

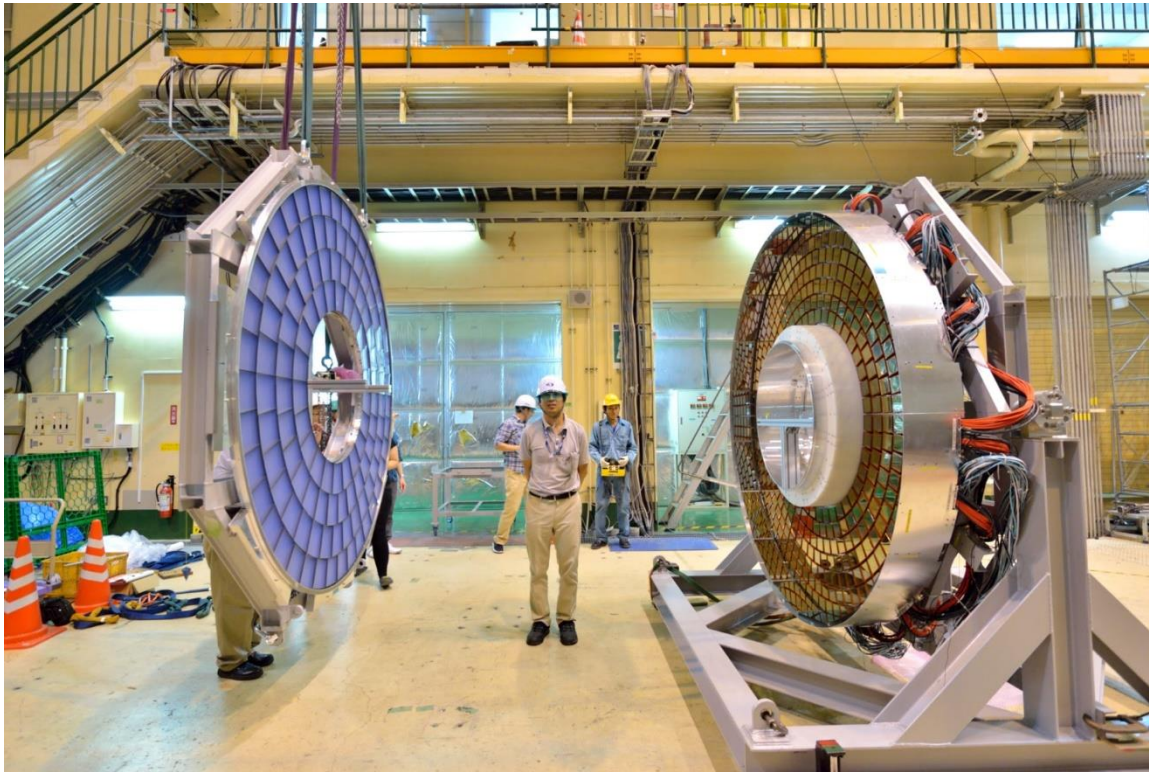


$1 \text{ ab}^{-1} \sim 10^9 \text{ BB}^-$

- Long shutdown (LS) 1 starts from summer 2022 to fully install PXD. Operation will be resumed in the end of 2023.

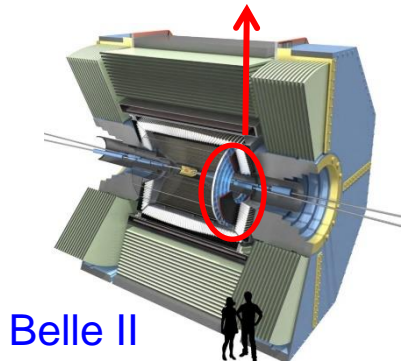


ARICH (Aerogel RICH)



Aerogel RICH (ARICH)

- Ring Imaging Cherenkov Counter (RICH) with aerogel radiator.
- Limited space available
→ proximity-focusing RICH.



Belle II

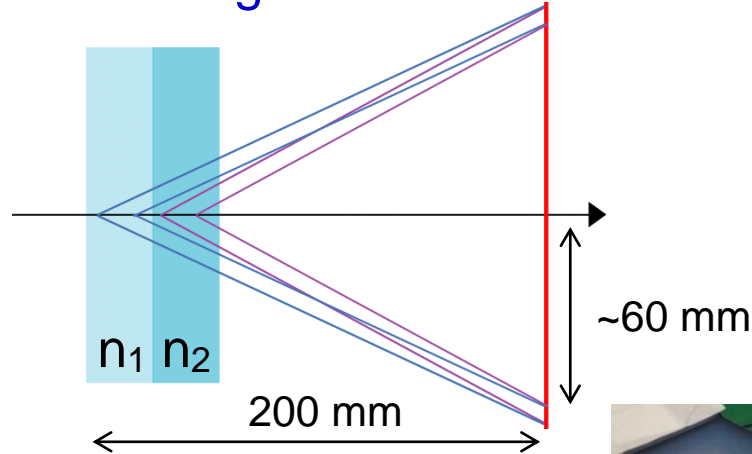
$$\cos \theta_c = \frac{1}{\beta n}$$

$$= \frac{\sqrt{(m/p)^2 + 1}}{n}$$

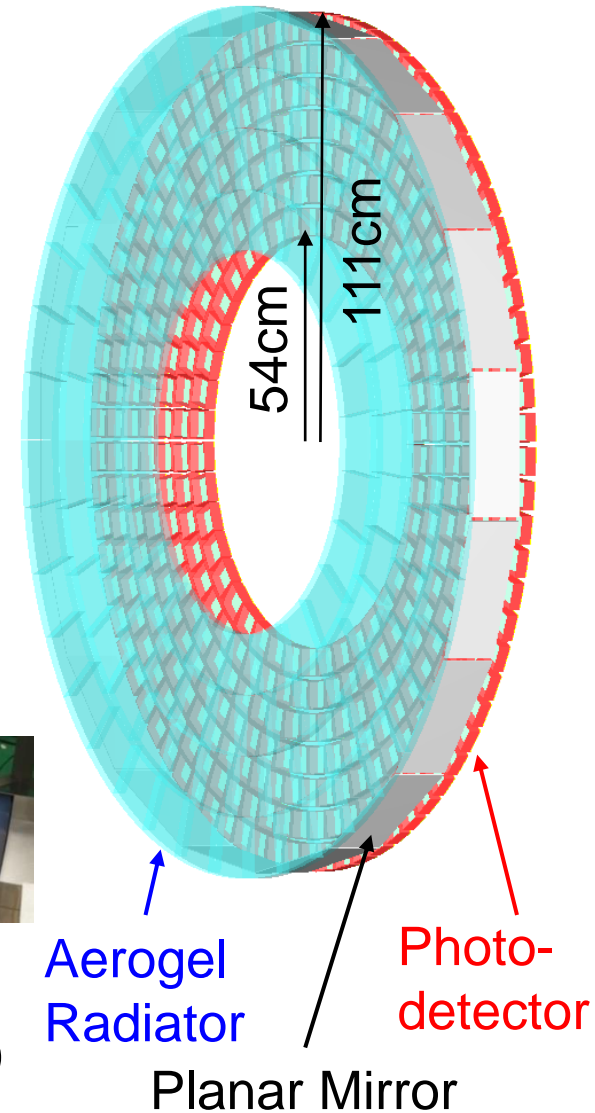
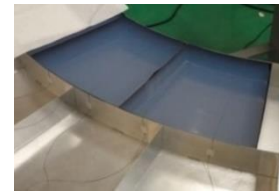
performance:

$$\sigma_{\text{track}} = \frac{\sigma_\theta}{\sqrt{N_{p.e.}}}$$

Silica Aerogel Photodetector

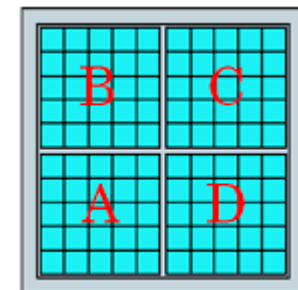
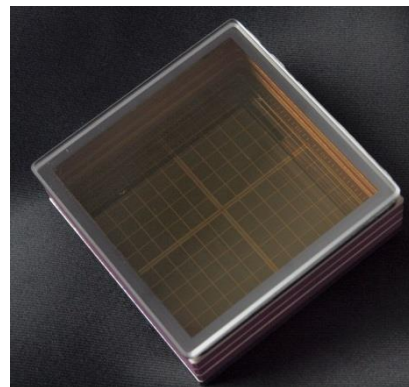


- Two layers of aerogel
 $n_1 = 1.045$, $n_2 = 1.055$
- Good transparency (~40mm)
- $\theta_c(\pi) - \theta_c(K) \sim 23 \text{ mrad}$ (@4 GeV)



Photodetector

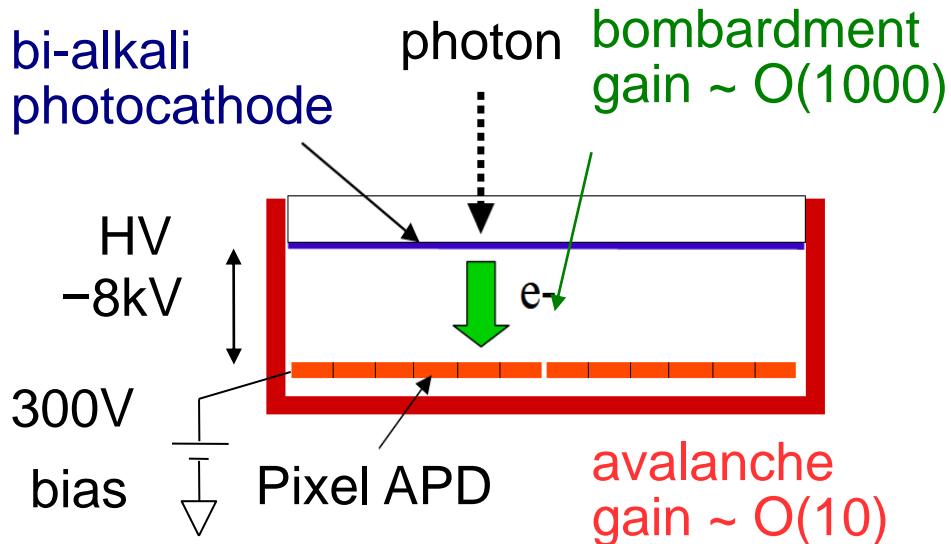
- ~5mm pixel size. Sensitive to single photon
- Large coverage (3 m²).
- Immune to 1.5T magnetic field.
- Radiation tolerance (10¹² cm⁻² neutron).



□ 4.9 [mm]

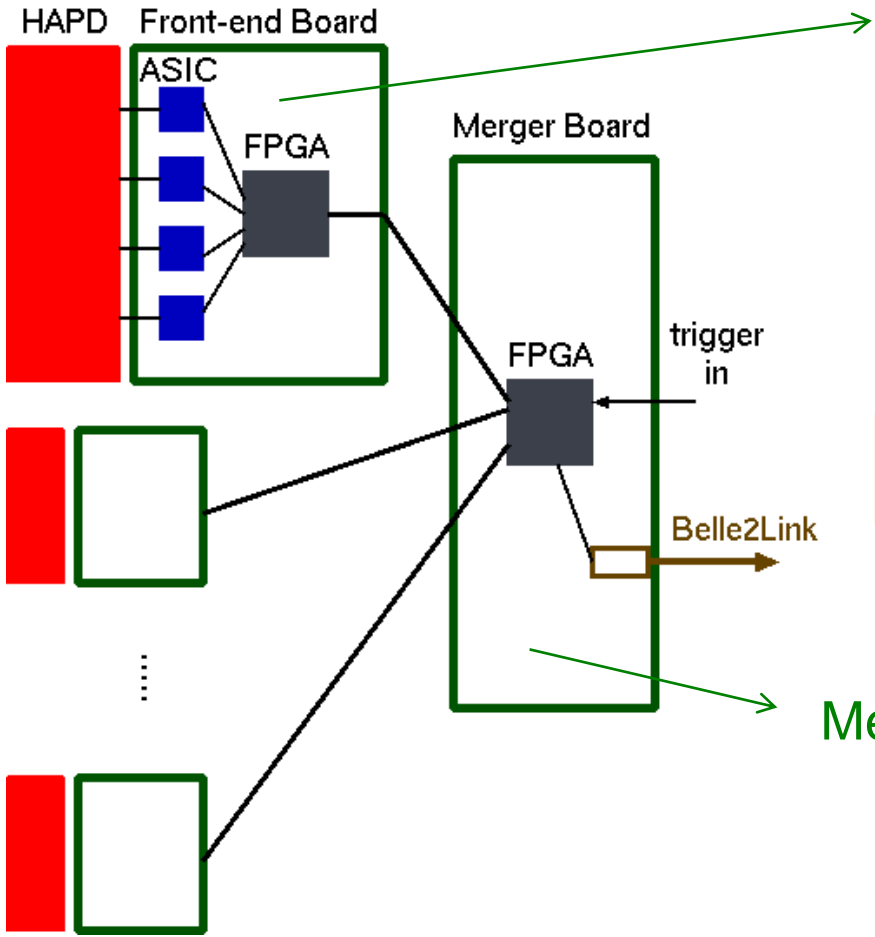
⇒ HAPD (Hybrid Avalanche Photo-Detector)

Hybrid: Vacuum tube + semi-conductor



- Developed with Hamamatsu Photonics.
- 144 channels (36-ch APD chip × 4).
- Gain ~ 70000.
- Peak QE ~28%
- Size 73mm × 73mm.
- Effective area 63mm×63mm (65%).

Total 420 HAPDs



Front-end Board

- 4 ASIC + Xilinx FPGA (Spartan6).
- ASIC : preamp + shaper + discriminator.



- Total 60480 channels.
 ✓ 1-bit ON/OFF information is enough.

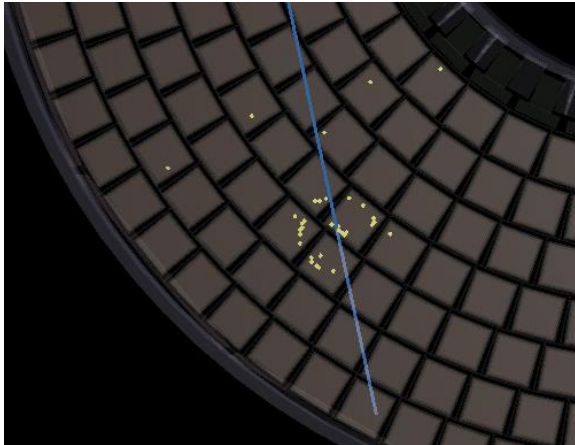
Merger



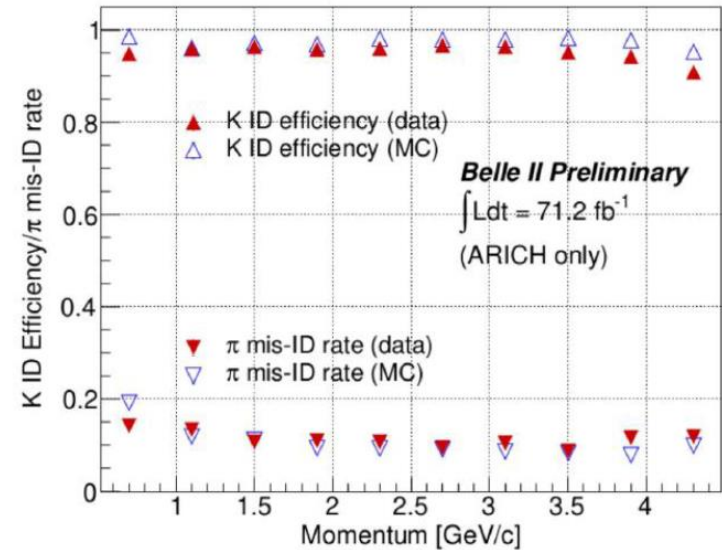
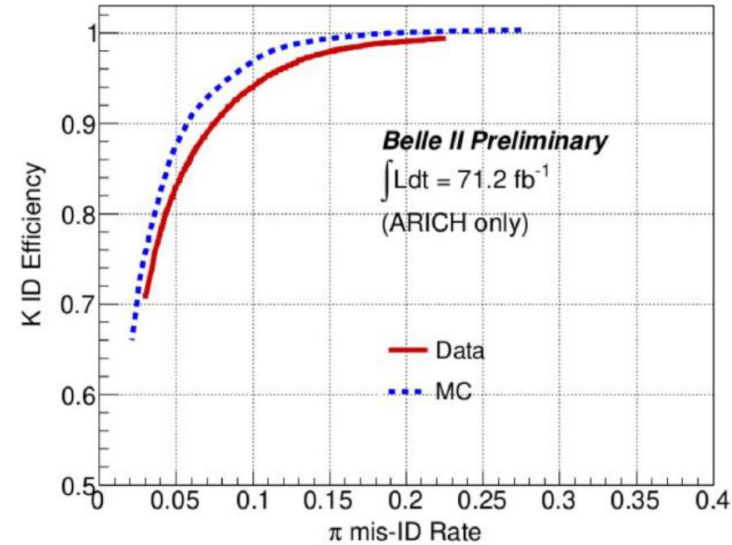
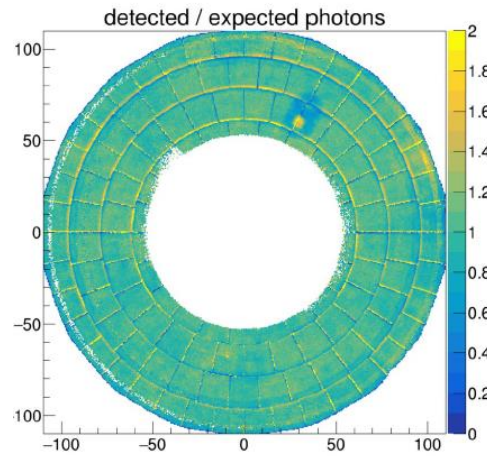
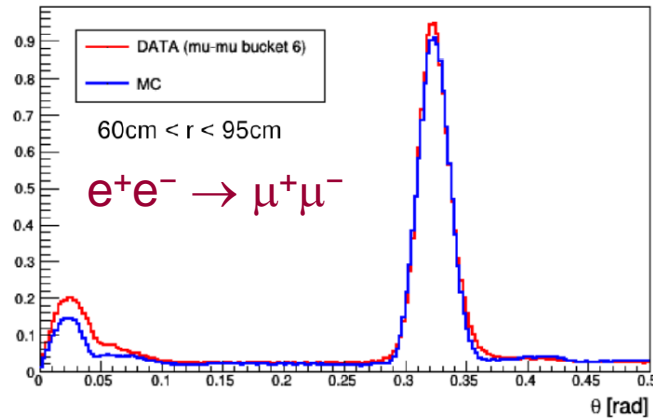
- Receive hitdata from 5-6 front-end boards.
- Zero suppression.
- Send to DAQ.

420 HAPDs + Front-end Boards
 72 Merger Boards

Particle Identification (PID) by ARICH is obtained from the comparison of the hit pattern and the expected PDF for different particle hypothesis.

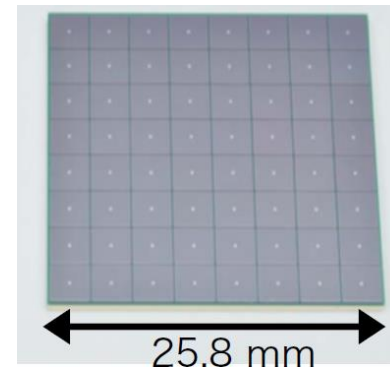


- Reconstruction procedure is rather simple.
- Many minor things to be considered (aerogel tile edge, reflection of photons inside HAPDs...)

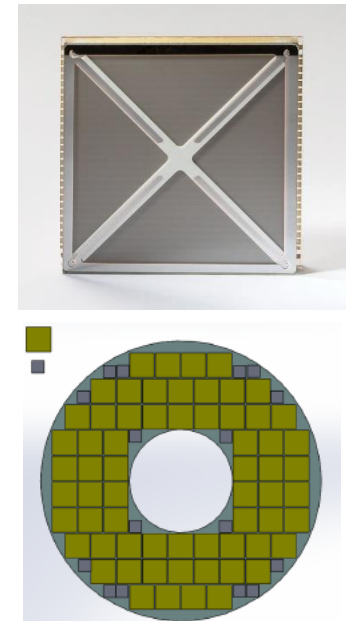


- HAPDs are expected to work for ~10 years, but additional HAPDs cannot be purchased any more → upgrade around 2030- ?
- Candidate photon sensors: **MPPC (SiPM)**, **LAPPD** (MCP based detector)
- MPPC has better performance (PDE) but **has large concern on the dark count and radiation damage** ($>10^{12}$ n / cm² @ 1 MeV equiv. is expected.)
 - ✓ Cooling (~ -40°C ?) is necessary.
 - ✓ Readout electronics with fast timing capability (fastIC chip developed for LHCb ARICH is a candidate)
- LAPPD looks a promising option, but it is still at development stage and its performance (PDE etc.) is not so good.
 - ✓ Study just started at JSI.

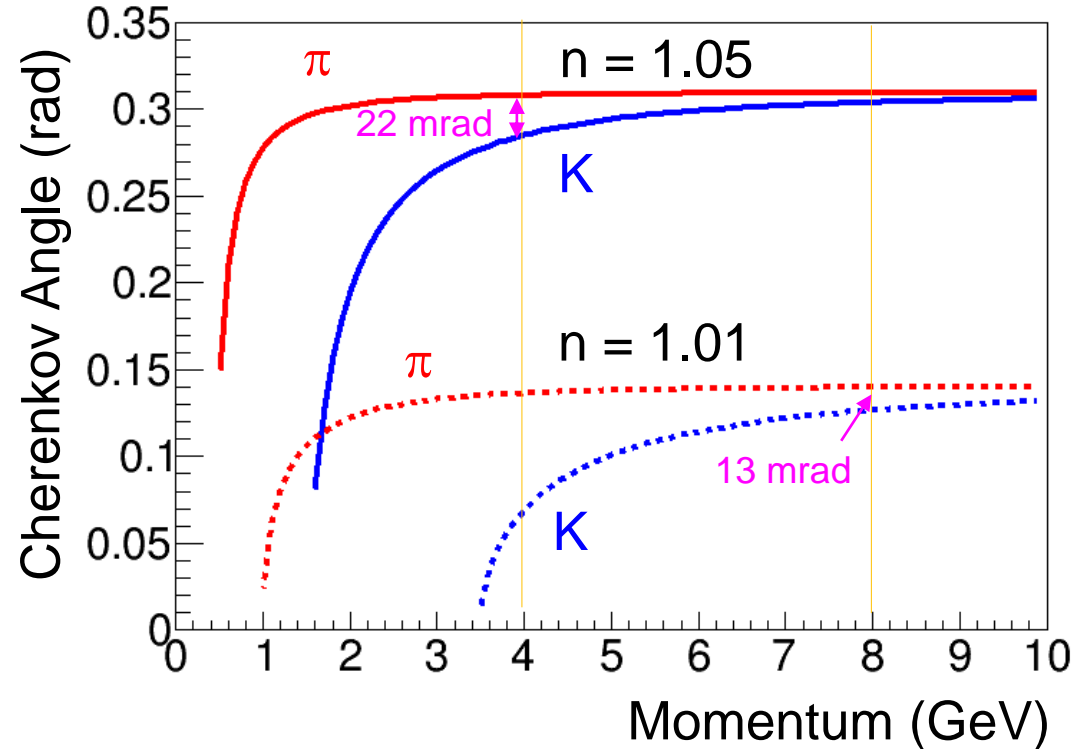
64 (8 × 8) ch
MPPC



LAPPD (Large Area Picosecond PhotoDetector) :
200mm × 200mm



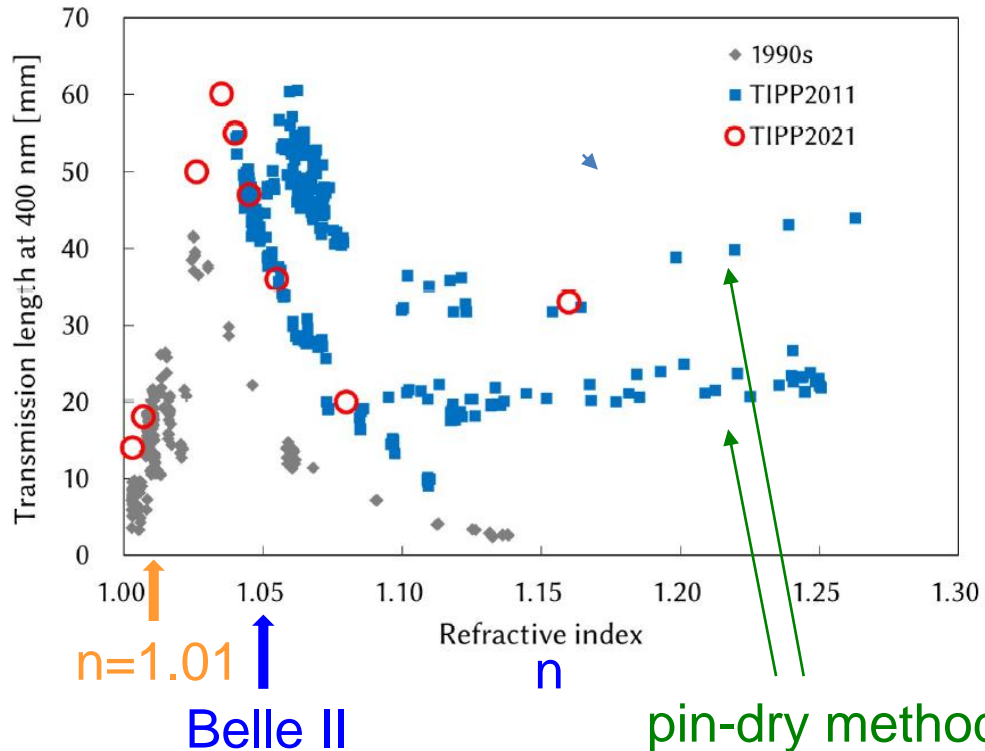
- Belle II ARICH is optimized to 1-4 GeV ($n \sim 1.05$).
- If you need cover higher momentum range, you can reduce the refractive index of the aerogels.
 - ✓ Cherenkov angle gets smaller, so you need larger distance or better position resolution.
 - ✓ $n \sim 1.005$ - 1.01 is minimum; 10 GeV looks the maximum.



[Shown at the previous workshop]

Aerogel developed at Chiba Univ. →
 “Aerogel Factory” (<https://www.aerogel-factory.jp/>)

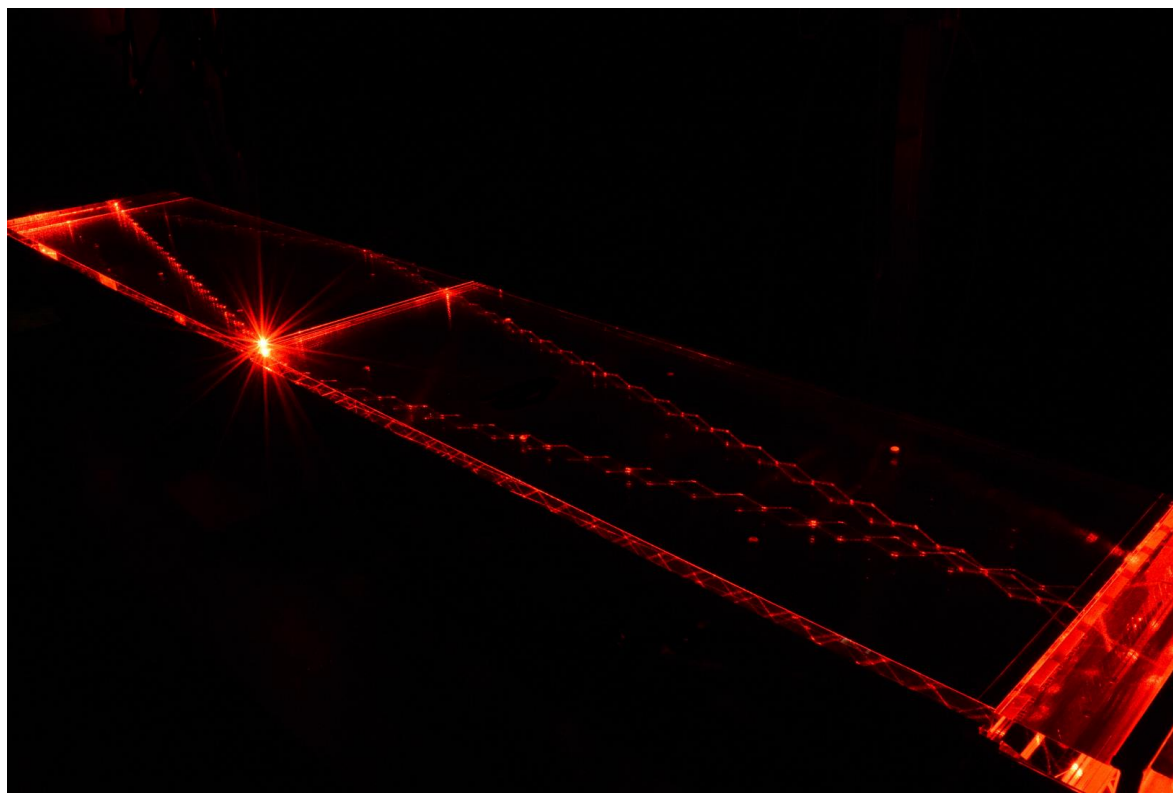
[J.Phys.Conf.Ser. 2374 (2022) 1, 012114.
 doi:10.1088/1742-6596/2374/1/012114]



- Transmission length (transparency) (t) is important for ARICH.
- Aerogel for Belle II ARICH: $n=1.045$ & 1.055 , $t \sim 40$ mm
- t rapidly drops for lower n at $n < 1.04$.
- $n=1.02$ with $t \sim 40$ mm will be available with small development.
- $n=1.01$ with $t \sim 40$ mm is challenging, but maybe possible with more study.
- Aerogels with $n=1.003$, 1.007 are under development for threshold type detector (with lower t).

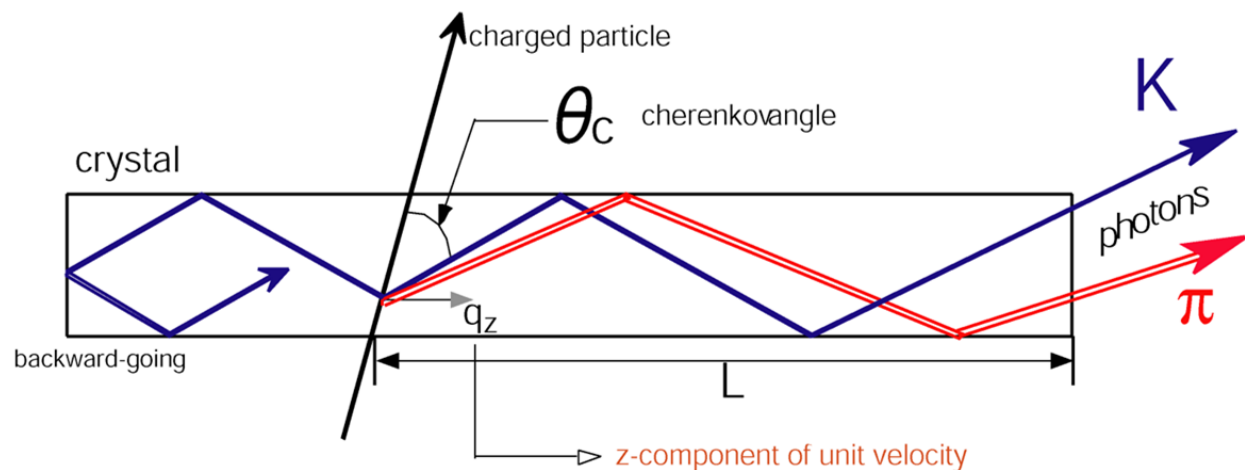
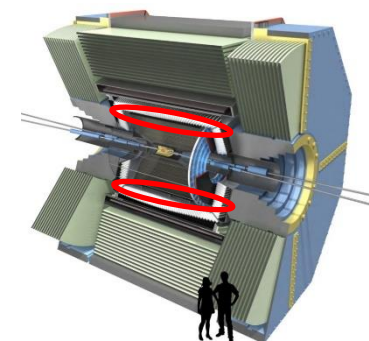
The performance at higher momentum depends on the configuration, but $p < 10$ GeV is roughly the range that ARICH can cover.

TOP (Time Of Propagation)



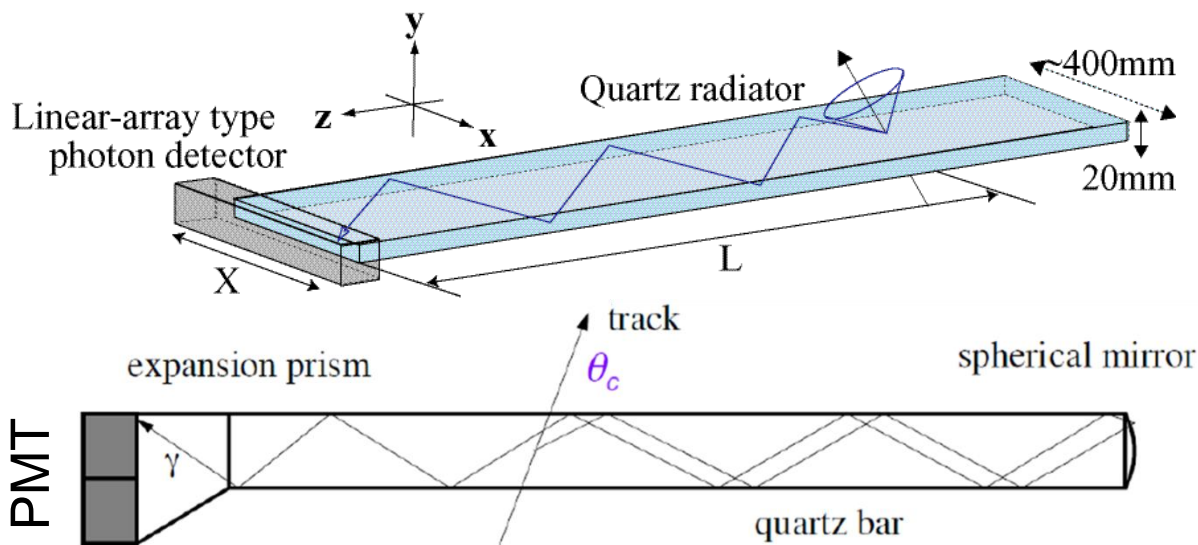
A part of the material is provided by [Kenji Inami \(Nagoya\)](#) from Belle II TOP group.

Measurement principle of TOP (Time of Propagation) Detector

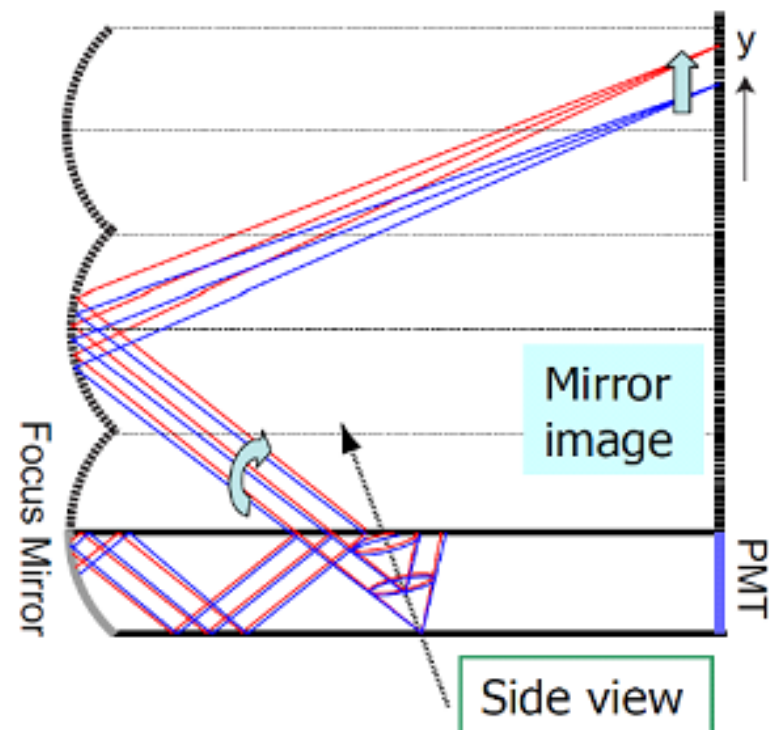


Different Cherenkov angle
 → Different photon path
 → Different time of propagation.

- Measure the time of propagation of K and π : need ~ 50 ps timing resolution
- Measure the position of photons, too.
- Also works as a TOF (Time of Flight) detector for low momentum particles.
 - ✓ Combination of TOF and RICH with a single device



- Very flat quartz bar
- Photo-detector with good timing resolution.
- Focus Mirror
 - ✓ Parallel photons are focused: remove the uncertainty from the bar thickness.
 - ✓ y actually differs with different θ_c (when wavelength is different).
 - Correction of chromatic dispersion (look at the relation of y and t)



$$\theta_c(\lambda) = \cos^{-1}\left(\frac{1}{n(\lambda)\beta}\right)$$

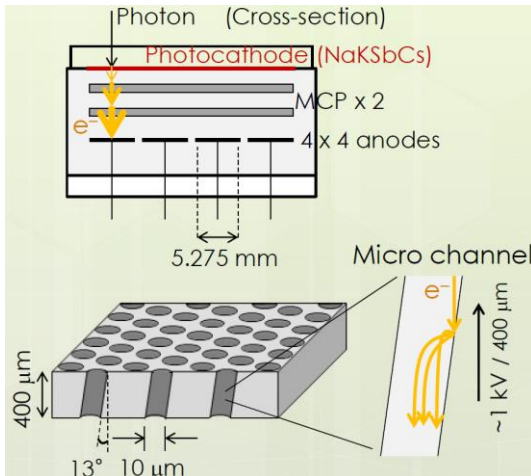
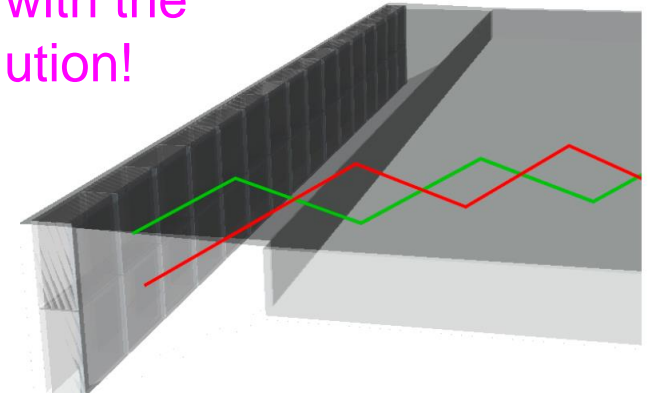
MCP (Micro Channel Plate) -PMT

Photodetector with the best time resolution!

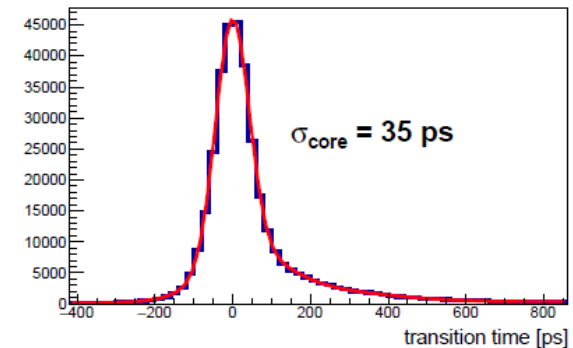


- 4 × 4 channels
- NaKSbCs photo cathode; QE>24%
- **TTS (Transit Time Spread)* < 40ps**

* = Fluctuation of the signal timing for single photon input.

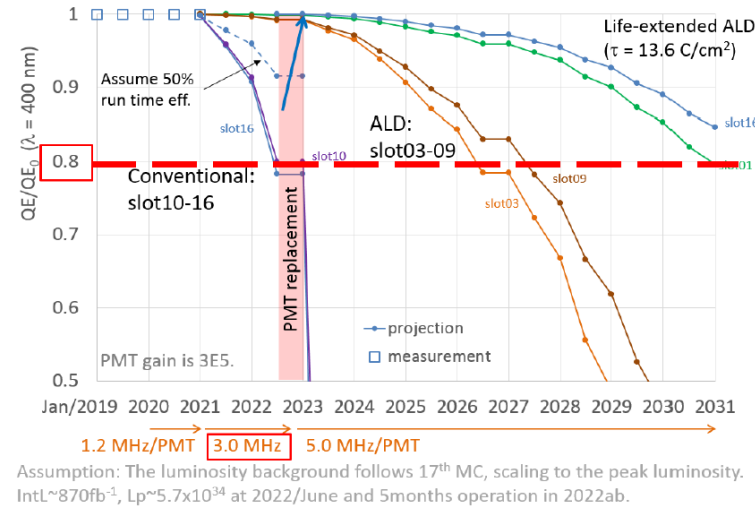
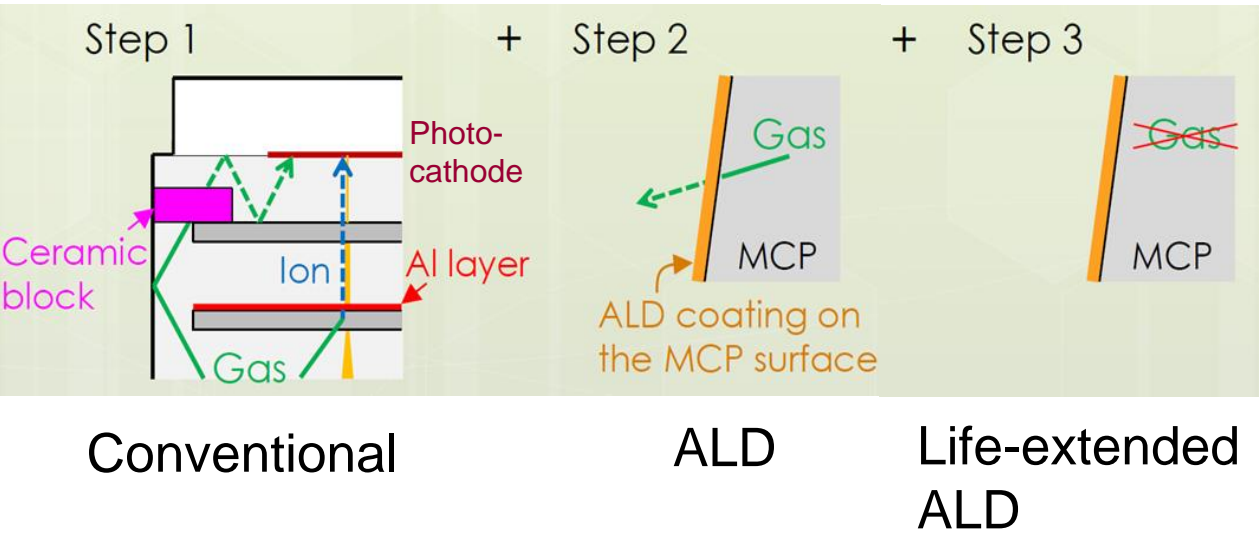
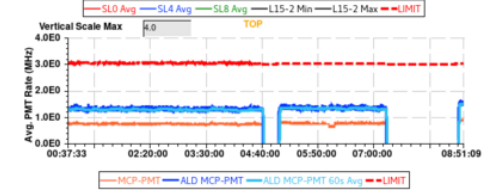


- Hamamatsu MCP-PMT's, 4 × 4 channels, 5.5 mm pixel size
- 2 rows of 16 PMT's per module (512 pixels)
- single photon sensitivity
- excellent time resolution
- works in magnetic field

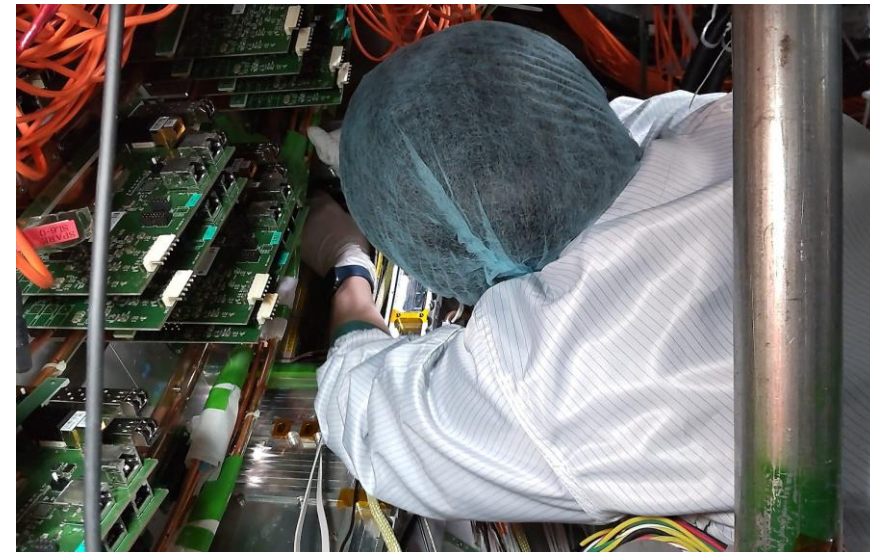
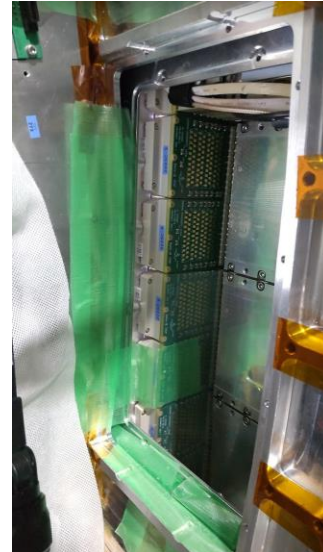
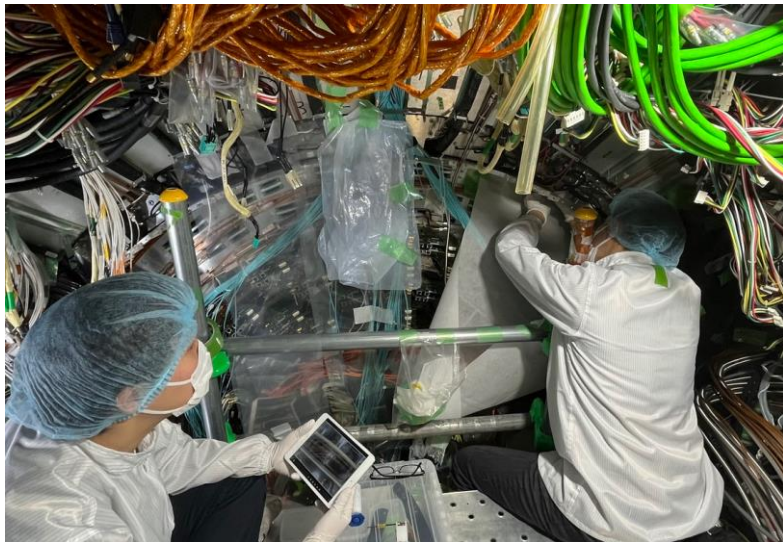
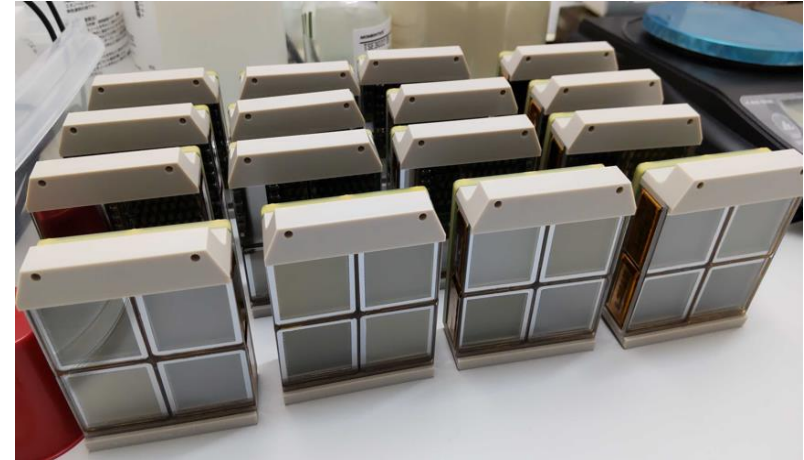


Aging problem of MCP

- QE drops as a function of accumulated charge.
 - ✓ The gas and ion from MCP damage the photo-cathode.
- ALD (Atomic Layer Deposition) and life-extended ALD type were developed during mass production.
- The MCP-PMT rate (~accumulate charge) is now limited to 3 MHz so that MCP-PMTs survive till the replacement.
- Replacement work was done during.

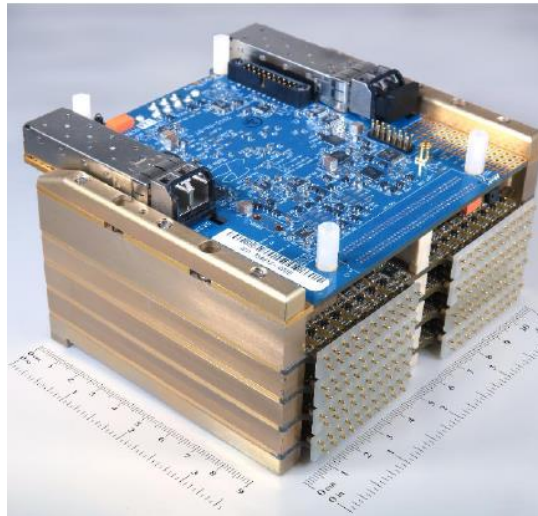


- Replaced 224 MCP-PMTs by new life-extended ALD PMTs
 - ✓ Installed to upper half of TOP modules
- Relocate lower half by best ALD and conventional PMTs
- Exchanged/repaired frontend electronics → >99.5% active channels



- waveform sampling with 2.7 Gs/sec
- custom designed ASIC with 11 μ s long analog ring buffer for storing waveforms
→ running continuously
- 8 channels/ASIC
- 16 ASIC's/boardstack (=128 channels)
- digitization and feature extraction (50% CFD)
- data sent-out by optical link

4 ASICs packed into 4 *boardstacks*

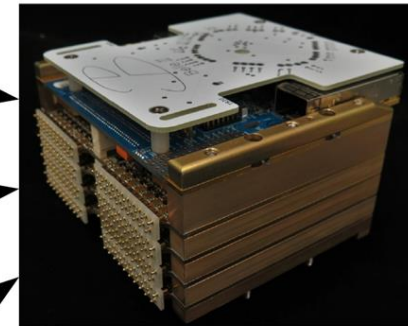


16 IRSX asics

4 Xilinx Zynq Z-7030
(1 per 4 Asics)

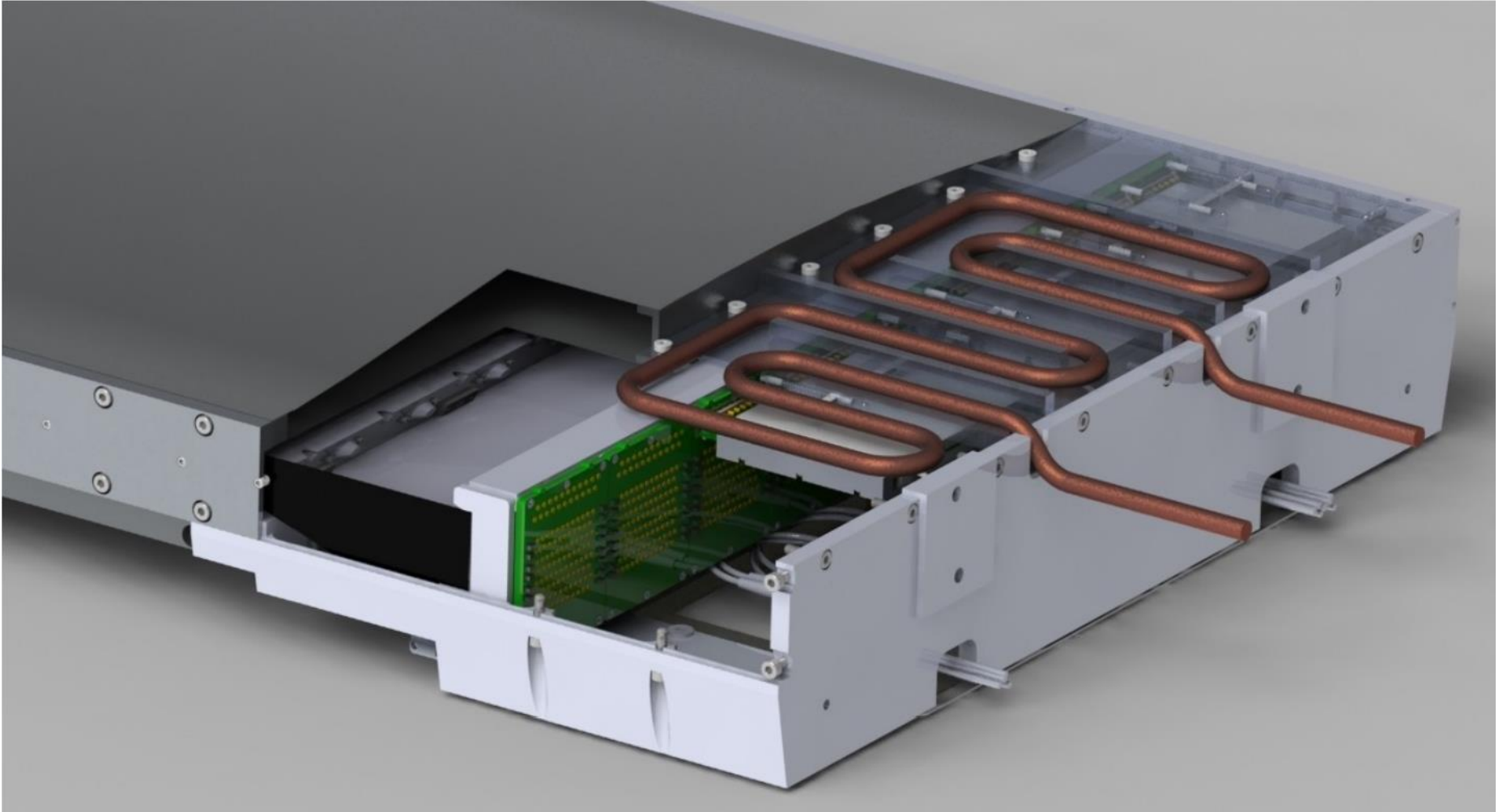
1 Xilinx Zynq Z-7045
(global data flow)

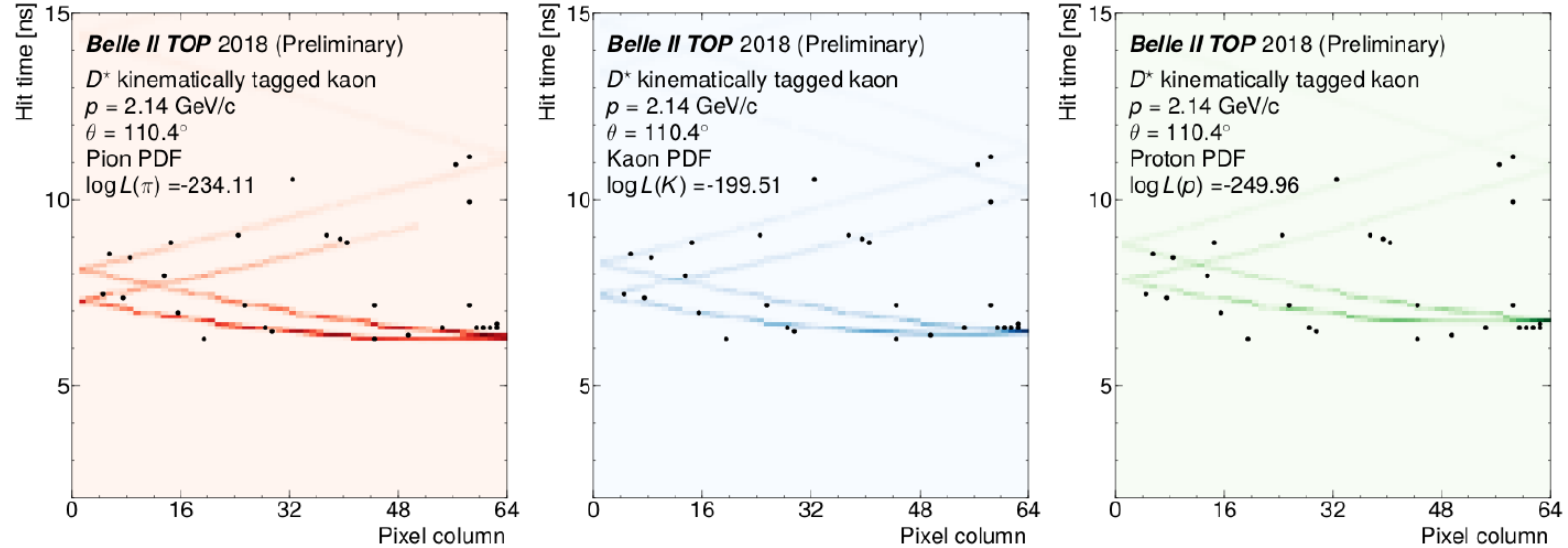
1 HV board



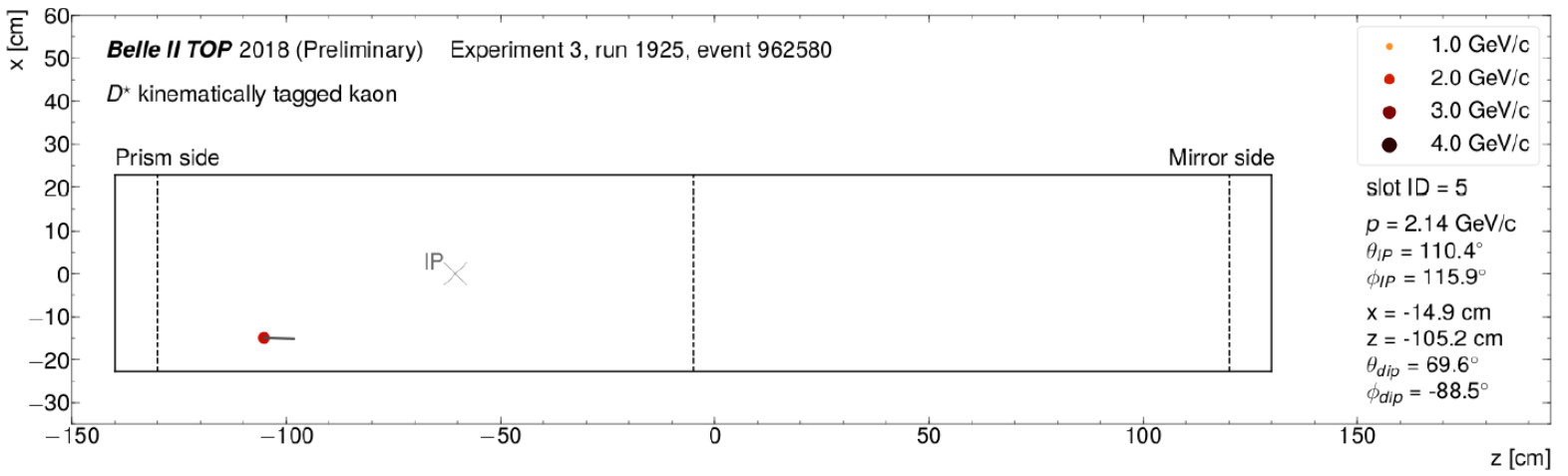
- IRSX developed at Hawaii Univ.
- Full waveform output.

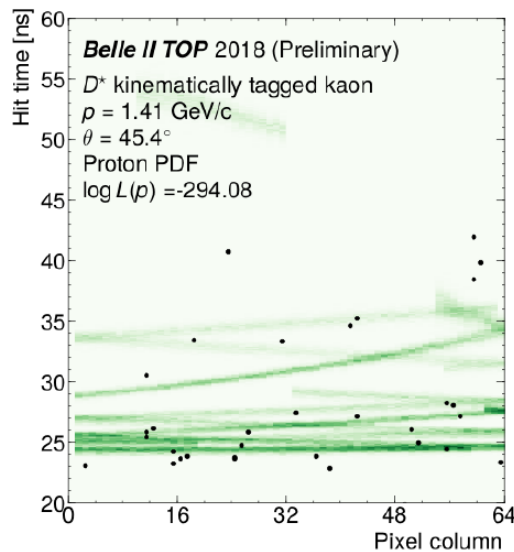
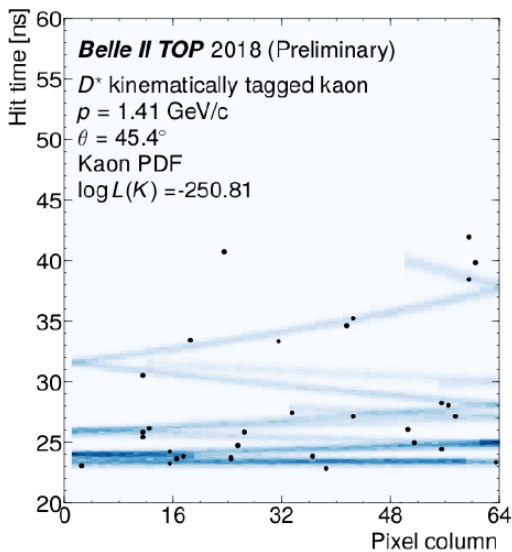
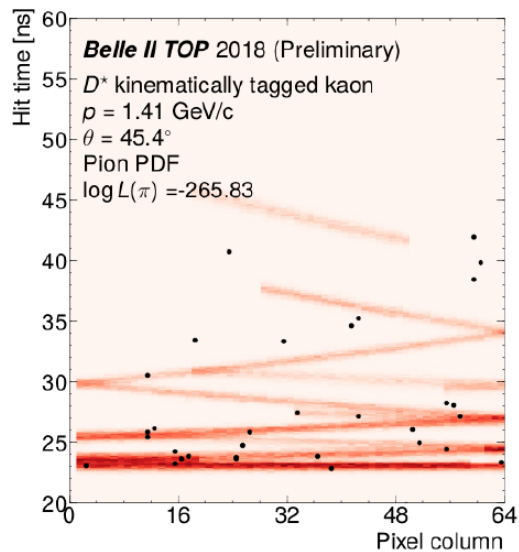
4 boardstacks per module



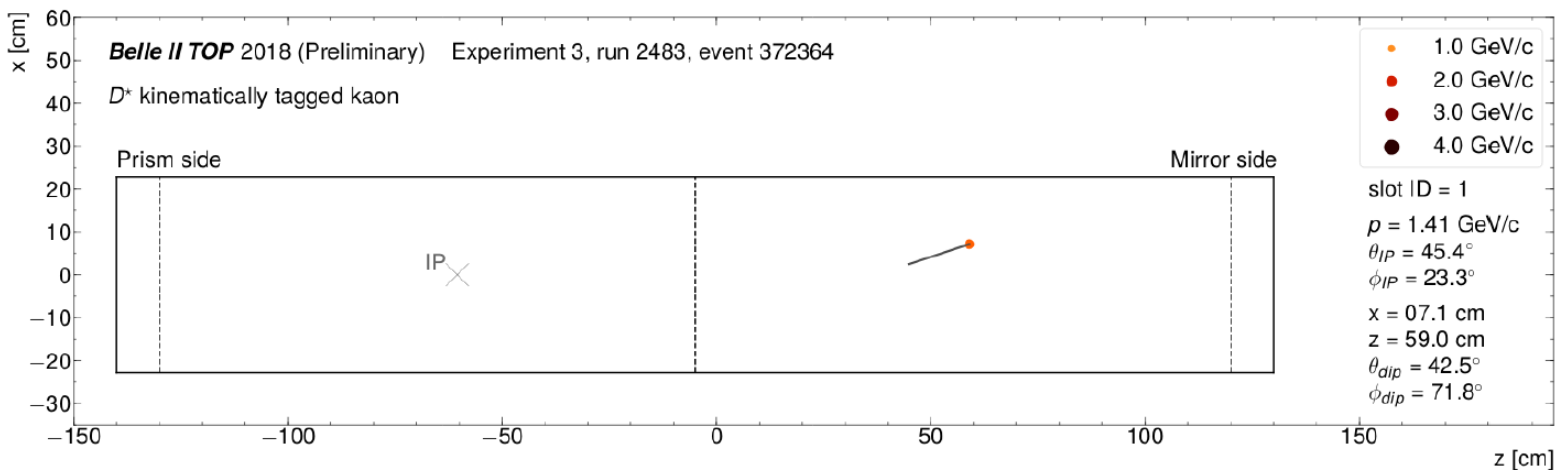


2.14 GeV kaon
(prism-facing)

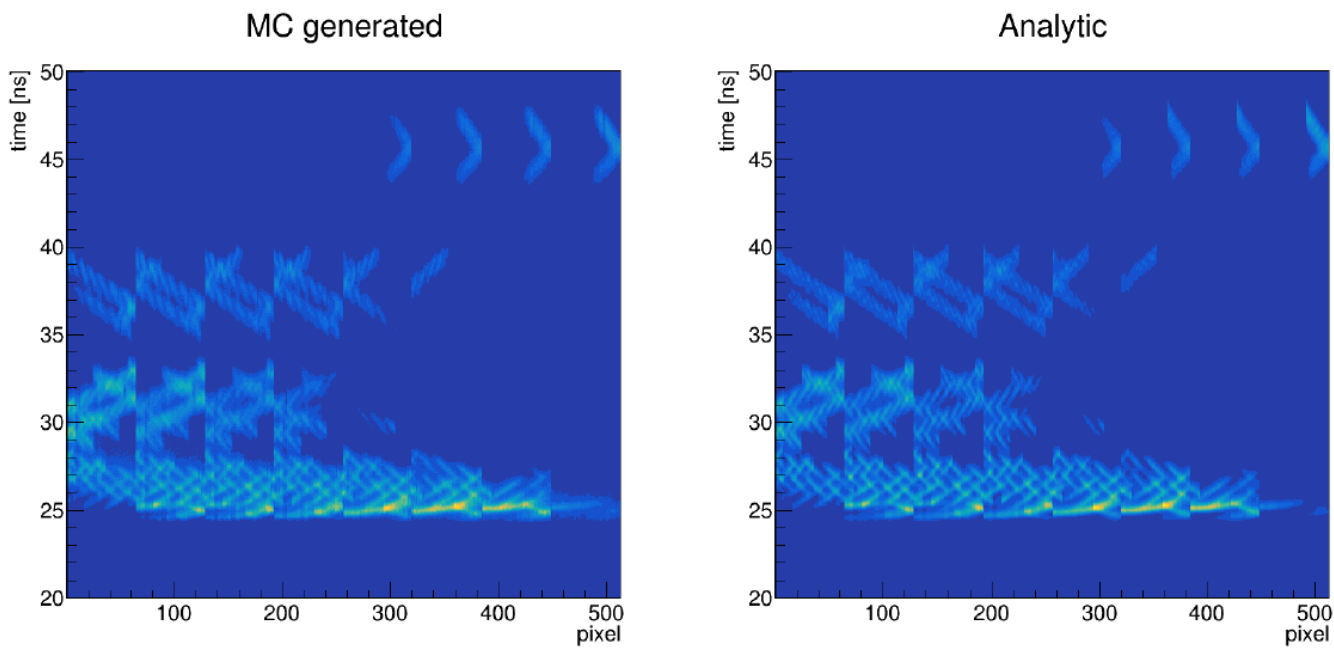


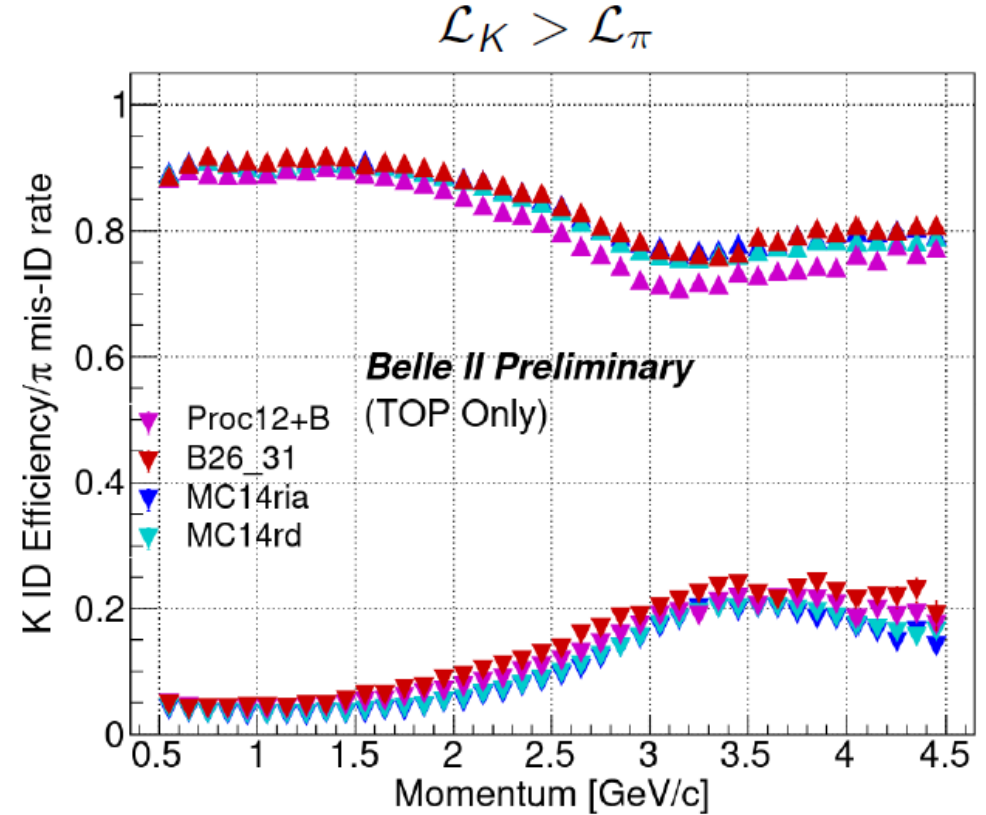
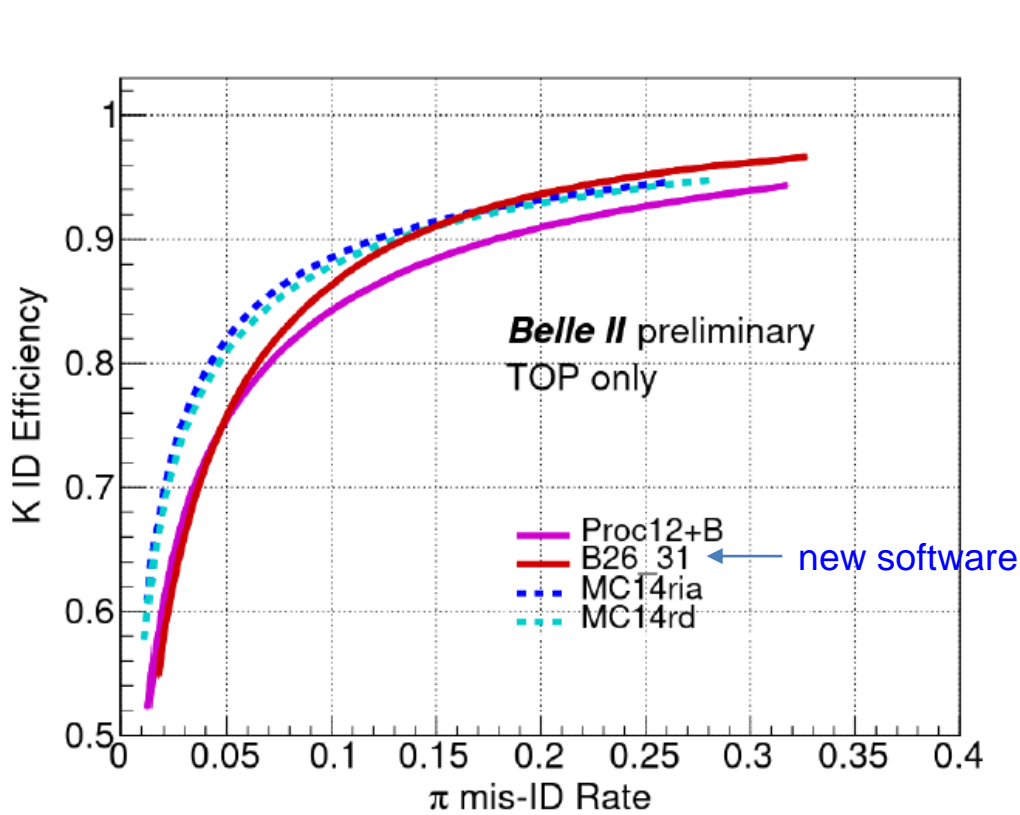


1.41 GeV kaon
(mirror-facing)



- Extended likelihood method with analytically constructed PDF's to determine log likelihoods of e, μ, π, K, p, d
- PDF in a single channel described with a sum of Gaussian distr.
 - positions, widths and normalizations determined analytically according to particle impact position, angles, momentum and mass
- Method presented at RICH2010 (NIM A 639 (2011) 252-255)





TOP works well, but still need improvement for better performance.

- bunch-finder
- PDF reconstruction by machine learning

2021 2022 2023 2024 2025 ~2026 2027 2028 2029 2030 ~2031

Replacement of conventional MCP-PMT

Replacement of no life-extended ALD MCP-PMT

TOP readout upgrade

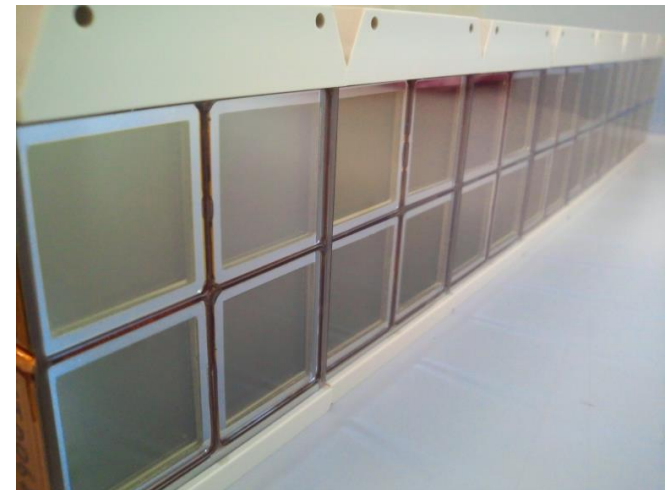
Replacement off all PMTs

TOP

- New life-extended ALD PMTs are in production and will be replaced with remaining ALD PMTs in next long shutdown.
- Readout upgrade with higher speed and compact digitizer (new ASIC or RFSoc, Radio Frequency System on Chip)
- New photon detector option based on SiPM is in testing.
 - ✓ Need to check neutron radiation level at the detector and its tolerance of possible candidate (or new production).

- **ARICH**: a proximity focusing RICH detector with aerogel, in the forward endcap at Belle II.
- **ARICH is running stably since 2019.**
 - ✓ Simple detector.
- New photo-detectors will be a key development items for future use.
 - ✓ MPPC(SiPM): radiation ?
- For high momentum particles, aerogels with low refractive index needs to be study.

- **TOP**: time of propagation, in barrel region.
- State-of-the-art PID device.
 - ✓ Need more understanding
- Possible to extend to higher momentum region by putting the detector at the position with longer flight length,



Backup



Belle and Belle II experiment:

- KEK (High Energy Accelerator Research Organization) in Tsukuba, Japan.
- Accelerator: KEKB / SuperKEKB
 - ✓ Linac + 3km ring
 - ✓ Asymmetric e^+e^- collider
- KEKB + Belle : 1999-2010.
- SuperKEKB + Belle II : 2019-
- “B factory experiments” (produce large amount of B mesons).

KEKB

- 3.5 GeV e^+ + 8 GeV e^- .
- Max. current 2.0A (e^+), 1.4A (e^-).
- Peak lum. $2.11 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Total luminosity $\sim 1040 \text{ fb}^{-1}$



SuperKEKB

- 4 GeV e^+ + 7 GeV e^- .
- Nano beam scheme.
- Target luminosity
 - ✓ Total 50 ab^{-1}

2016 Feb.-Jun. : Phase 1

- SuperKEKB commissioning without Belle II detector

- Belle II installed in 2017. Apr.
- ARICH installed in 2017 summer.

2018 Feb.-Jul.: Phase 2

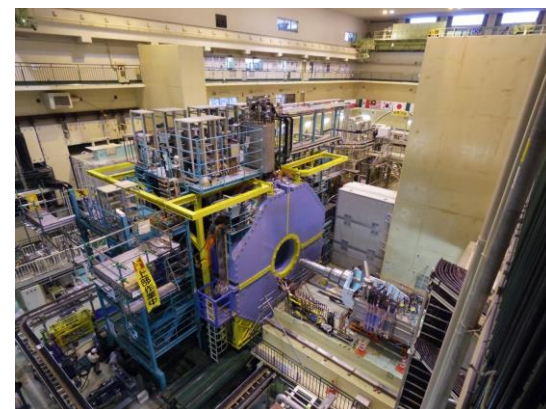
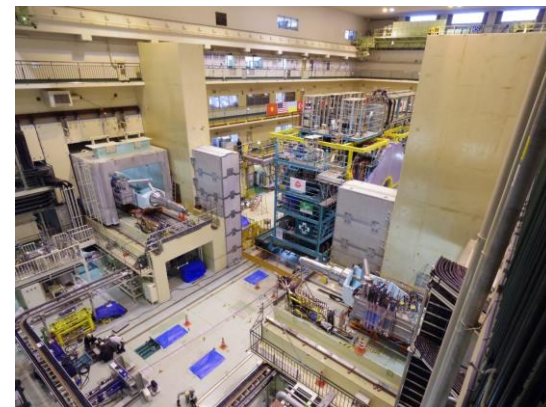
- Belle II detector without inner vertex detectors
- First collision. Commissioning of SuperKEKB and Belle II, beam background study.

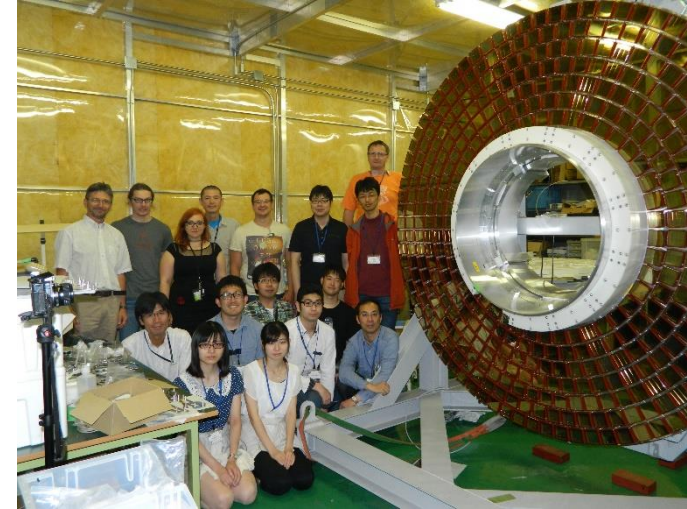
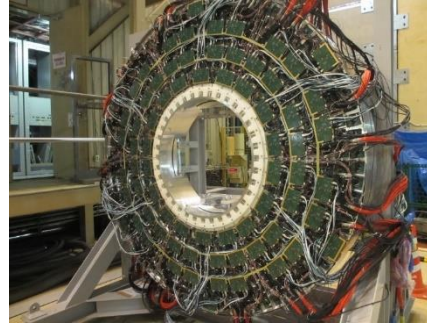
- ARICH hardware modification + re-installation

2019 Mar.- : Phase 3

- Physics run with full Belle II detector.
- 6.5 fb^{-1} accumulated in 2019 Mar.-Jul operation.
- Autumn run starts on Oct. 15.

2017/4/11



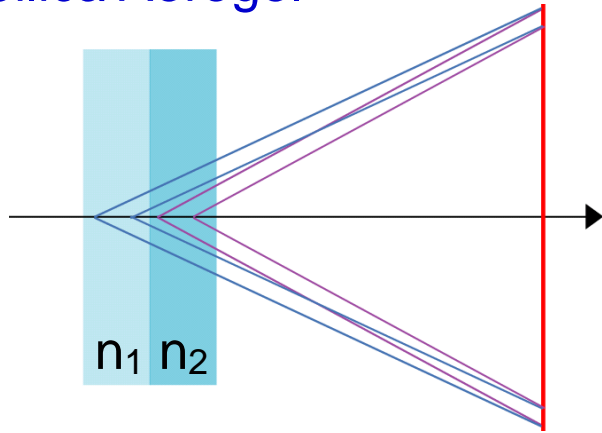


- 2017: ARICH installation to Belle II detector.
- 2018 Feb-Jun: Belle II commissioning without inner vertex detector (Phase 2).
- 2018 Sep-: ARICH hardware modification
- 2019-2022 Jun: Belle II operation with full detector (except PXD 2nd layer)
- 2022 Summer- 2023 : Long Shutdown1 LS1 (for PXD 2nd layer installation).
- 2023-: Resume operation.

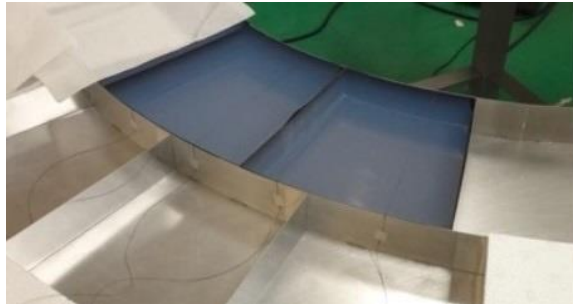


Silica Aerogel

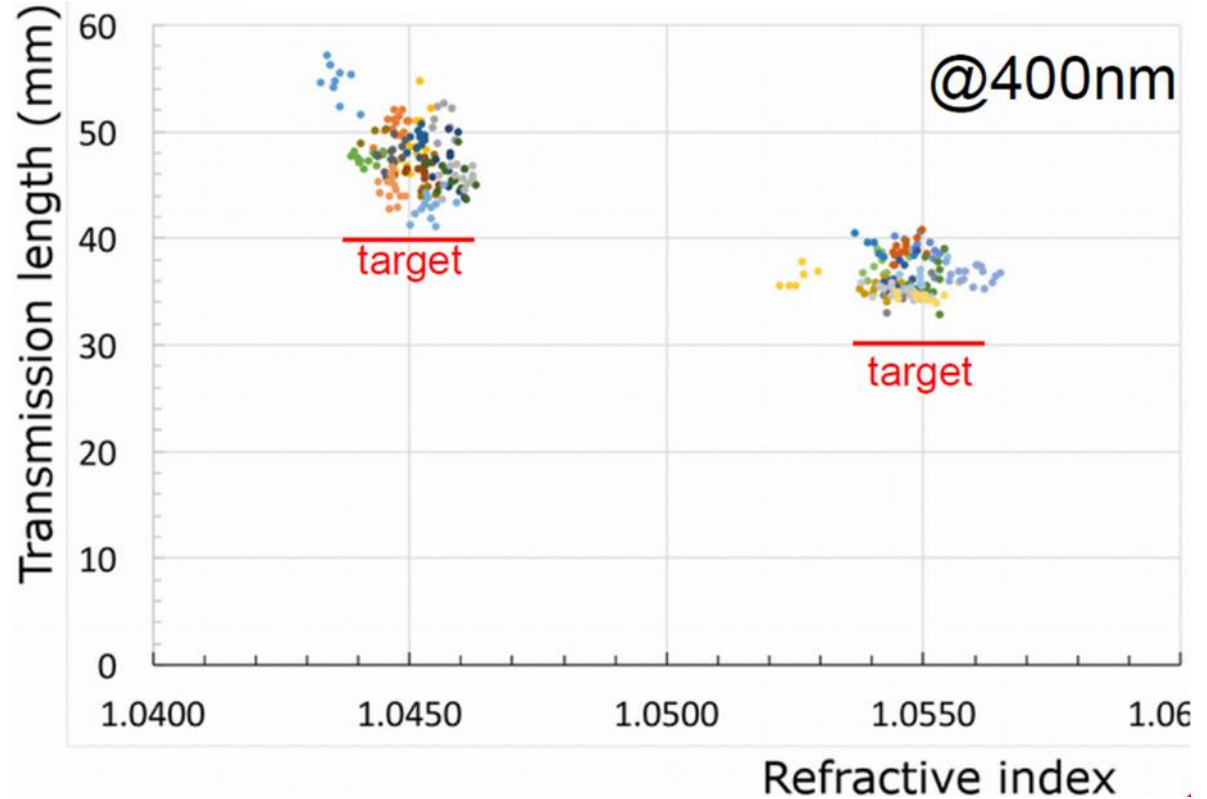
Photodetector



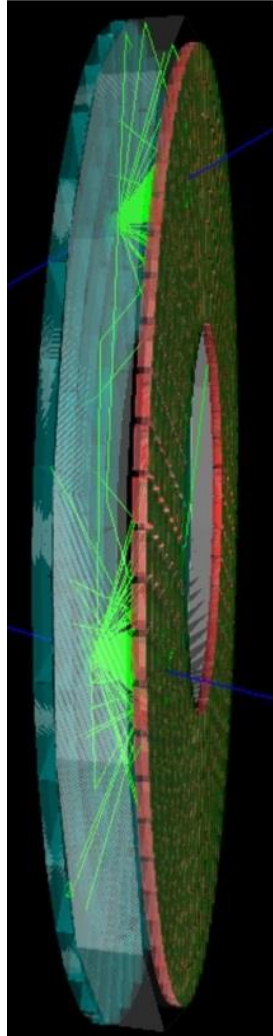
- $n_1 = 1.045$ and $n_2 = 1.055$
- Good transparency ($\sim 40\text{mm}$)
- 248 tiles in total
 - ✓ Cut with water jet from $18\text{cm} \times 18\text{cm}$ tile.



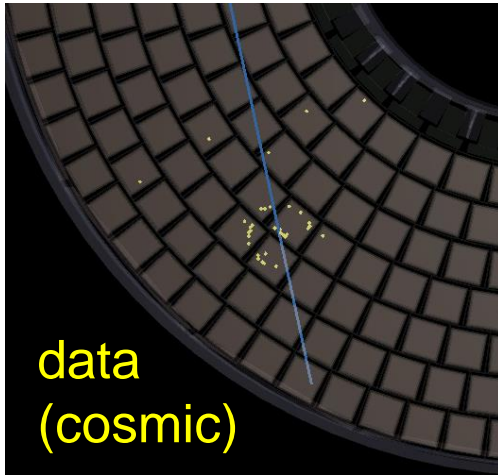
- Thicker aerogels produce more photons but make angle resolution worse.
- Two layers of aerogels with different indices.
 - ✓ Ring images overlap at the photo-detector.



MC



- Rough performance can be obtained Cherenkov angle (σ_θ) and Number of photons per track ($N_{p.e.}$)
- Distribution with Bhabha sample from the commissioning run (2018).
 - ✓ $N_{p.e.} = 9.5$ (10.4), $\sigma_\theta = 16.3$ (14.7) mrad in data (MC)
 - ✓ corresponding to 4.3σ K/ π separation at 4 GeV.



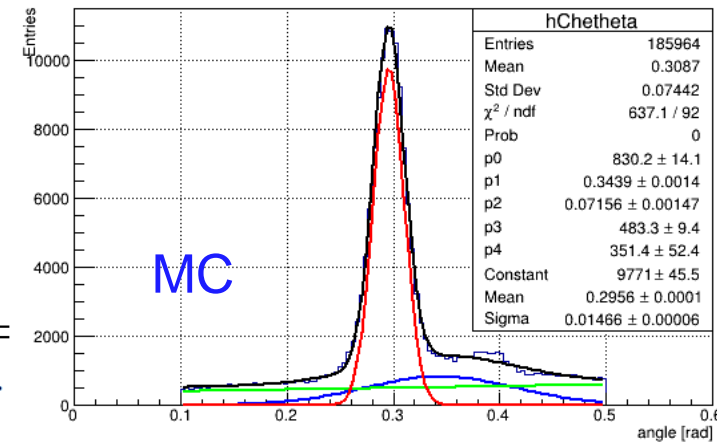
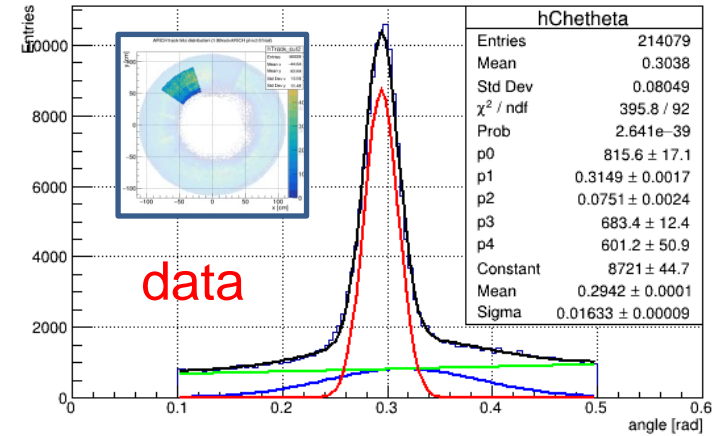
data
(cosmic)

ARICH is working well

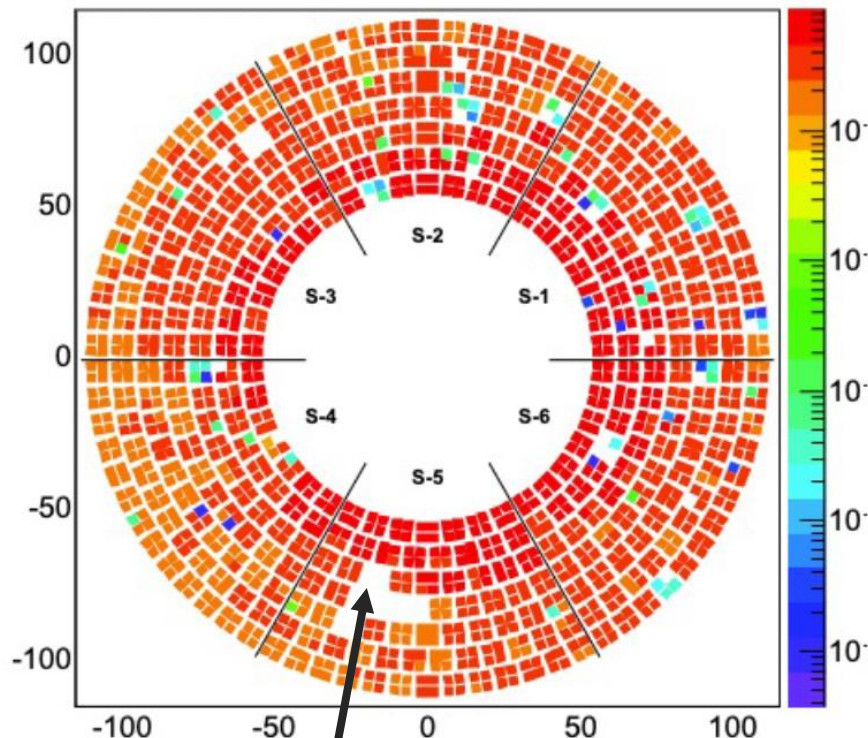
performance:

$$\sigma_{\text{track}} = \frac{\sigma_\theta}{\sqrt{N_{p.e.}}}$$

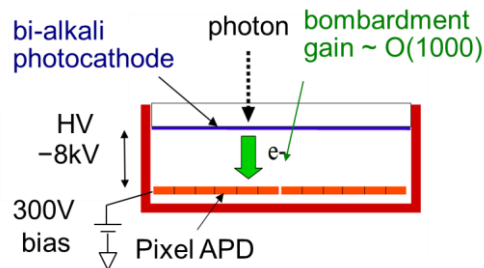
Cherenkov Angle distribution (Bhabha, 2018)



Signal hits / channel / event



LV cable failure



Status of HAPD operations in 2022

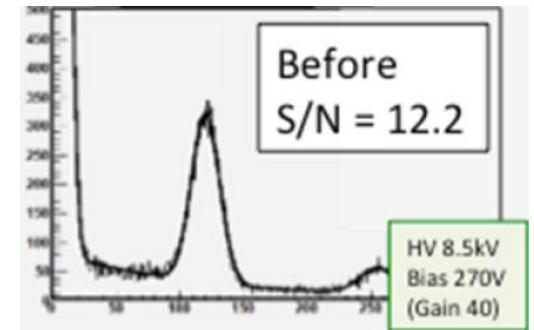
- 5 HAPDs (1.2%) are off due to a problem of LV cable to the front-end electronics.
 - ✓ Fixed in 2022 summer.
- 3% of channels suffer bias (or guard) problem inside APD.
 - ✓ Typically due to sudden increase of leakage current.
- 2% of channels suffer HV problem.
 - ✓ Probably outside of HAPDs.

Total 6% dead

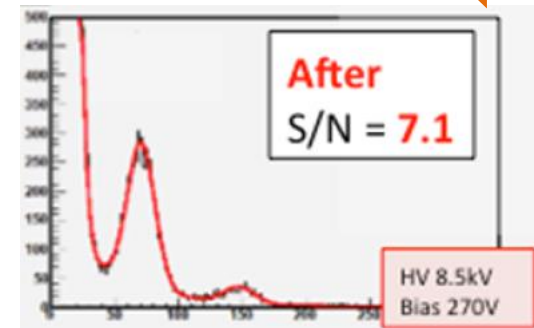
The effect of dead channels to PID performance is very small.

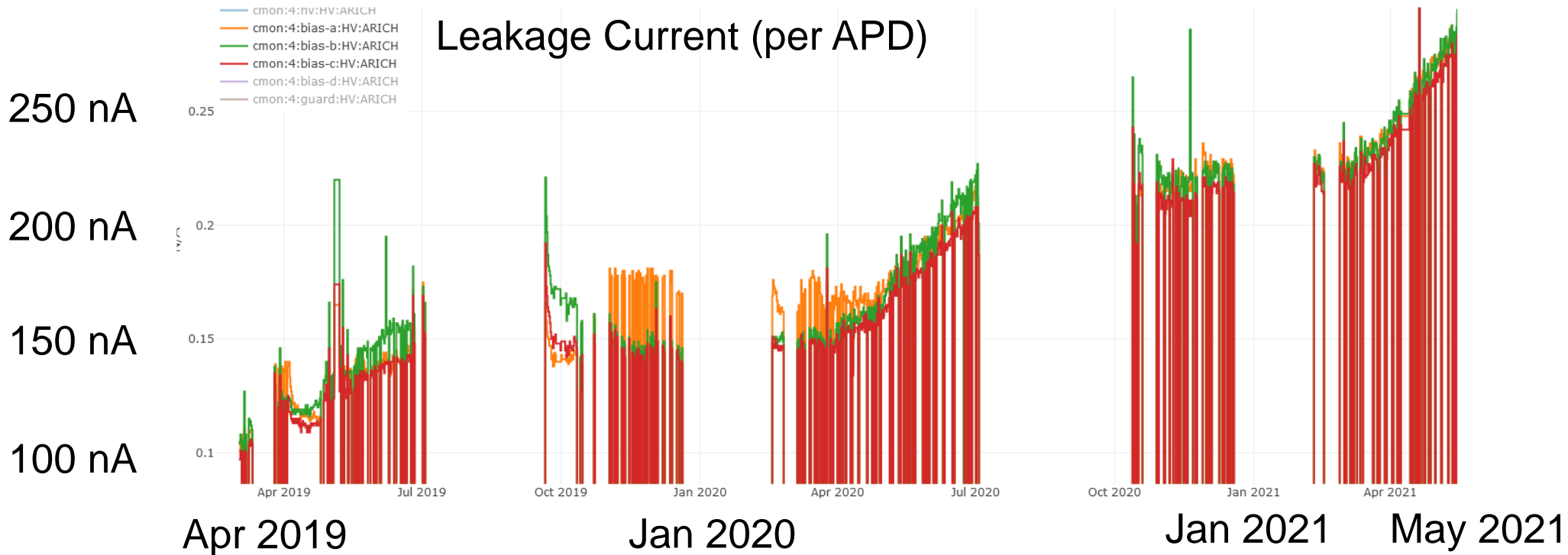
- ARICH operation has been stable. No major problem happened in ARICH.
- ARICH is relatively tolerant to the beam background.
 - ✓ In general, large beam background is an issue to Belle II detector.
- One concern is the neutron radiation.
- Deterioration of HAPDs (increase of the leakage current, larger noise) due to silicon bulk damage by neutrons.
 - ✓ Tolerant to 10^{12} neutrons / cm^2 @ 1MeV equiv., assumed for to 10 years' operation.
 - ✓ Sensor performance will be gradually degraded, with a very modest effect on the PID performance.
- Single event upset in the FPGAs electronics.

neutron irradiation test of HAPD



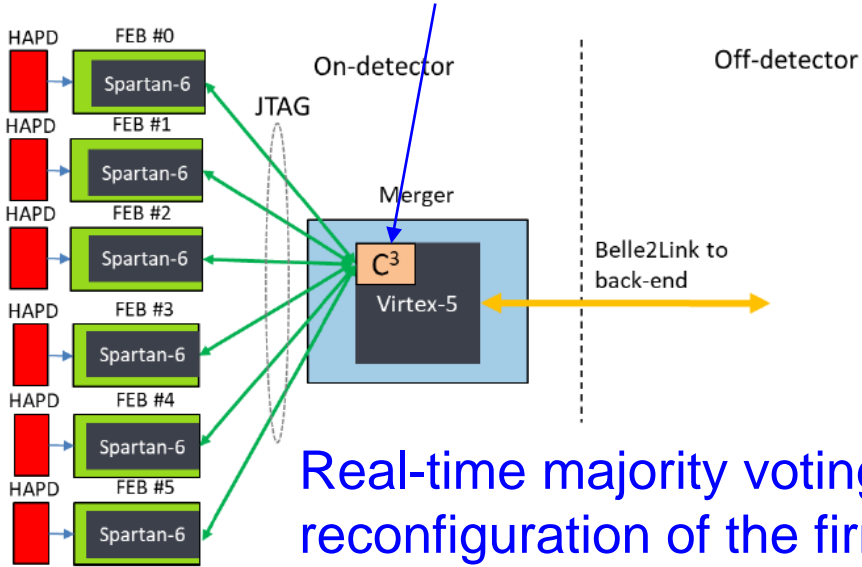
$0.5 \times 10^{12} \text{ n / cm}^2$





- Leakage current of APD (bias) increases at $\sim 10\text{-}30$ nA / months.
- Estimated neutrons $\sim (0.3\text{-}1) \times 10^9$ n / cm² / month; 6×10^9 n / cm² till now.
- Below the original expectation (10^{11} n / cm² / year or 10^{12} n / cm² in 10 years' operation)

Another effect from neutrons is SEU (Single Event Upset) in the FPGAs

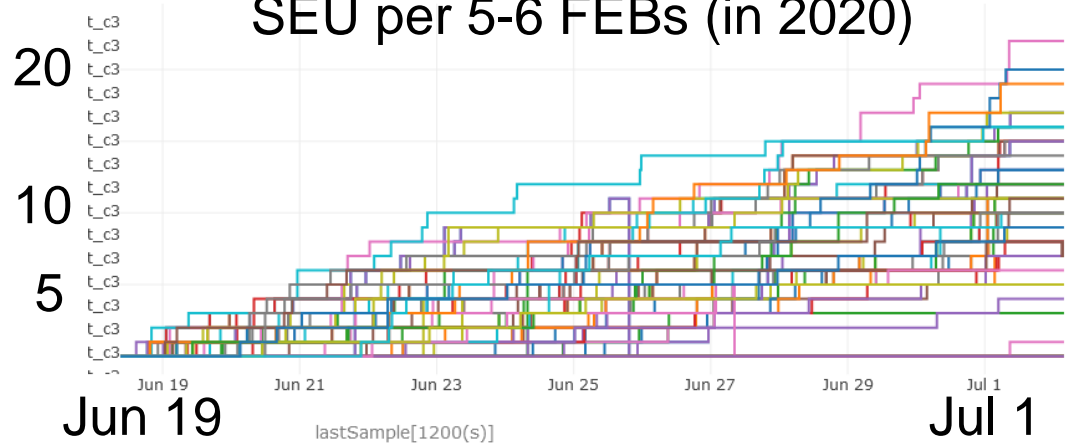


- **Frequent SEUs expected at Spartan 6.**
 - ✓ Boron is used as p-type dopant.
 - ✓ old estimation: 8 SEUs / h / HAPD in the firmware.
- Configuration consistency corrector (C³) is implemented in the merger firmware.

[R.Giordano et. al. IEEE Trans. Nucl. Sci. 68, no 12, 2810 (2021) arXiv:2010.16194]

- ~0.5 SEUs / FPGA per day are detected (and fixed).
- **DAQ failures possibly due to SUEs happened a few time per month.** Maybe an issue in future with higher luminosity (raidiation).

SEU per 5-6 FEBs (in 2020)



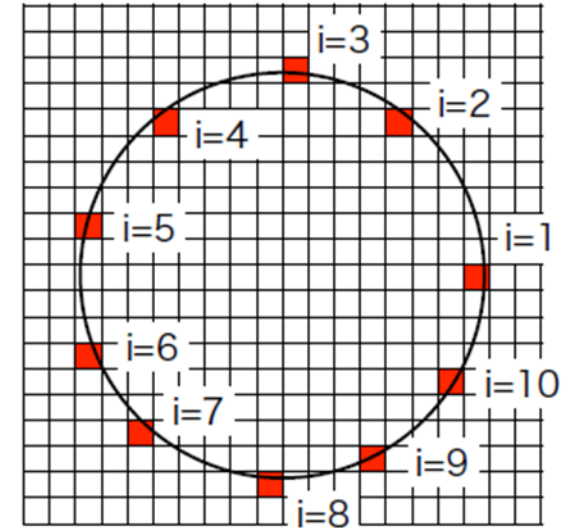
Particle Identification (PID) by ARICH is obtained from the comparison of the hit pattern and the expected PDF for different particle hypothesis.

$$\ln \mathcal{L}_h = -N_h + \sum_{\text{hit } i} [n_{h,i} + \ln (1 - e^{-n_{h,i}})]$$

h : particle hypothesis (e, μ , π , K, p,...)

N_h : expected total number of hits

$n_{h,i}$: expected number of hits (probability) at pixel i



Note: ARICH has only ON/OFF information in each channel (pixel).

Likelihood ratio

$$R_{K/\pi} = \frac{\mathcal{L}_K}{\mathcal{L}_K + \mathcal{L}_\pi}$$

$$R_{\pi/K} = \frac{\mathcal{L}_\pi}{\mathcal{L}_K + \mathcal{L}_\pi} = 1 - R_{K/\pi}$$

This table is only for the purpose to give a rough idea. The values depend on model numbers; some values are not confirmed.

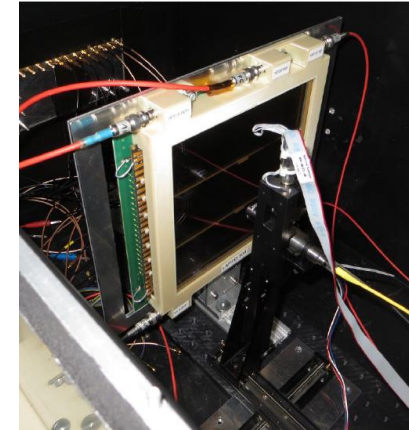
	HAPD	MPPC (SiPM)	LAPPD
Pad / Position	4.9mm × 4.9mm	3.0mm × 3.0mm	1mm resolution
PDE	~20% (QE ~ 30%)	~40%	~15% (QE ~ 20%)
Gain	7×10^4	6×10^6	$\sim 10^7$
Wavelength	200-600 nm	320-900 nm	(200-600 nm)
Dark Count	~0	~0.5 MHz	<150 Hz / s / cm ²
Operation voltage	-8kV HV + 350V bias	60V	3kV HV
Radiation damage	Tolerable at Belle II	Weak	(OK)

- MPPC (SiPM) has good performance, but **radiation tolerance is an issue**.
- LAPPD is still under development.

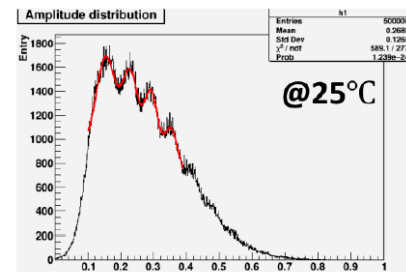
Radiation tolerance is an issue for MPPC

- Neutron irradiation test for MPPC is performed at J-PARC MLF in 2020.
- Single photon cannot be measured after 10^{10} n / cm^2 (@ 1 MeV equiv), while 10^{12} are expected for 10 years operation at Belle II.
- **Cooling is necessary** (but not studied yet).

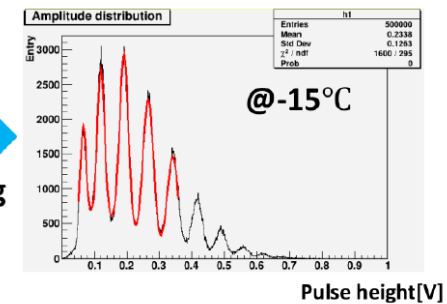
test of LAPPD just started



irradiation 10^{10}



cooling



Pulse height[V]

Pulse height[V]

At this stage, we still don't have clear strategy for photon detector upgrade.