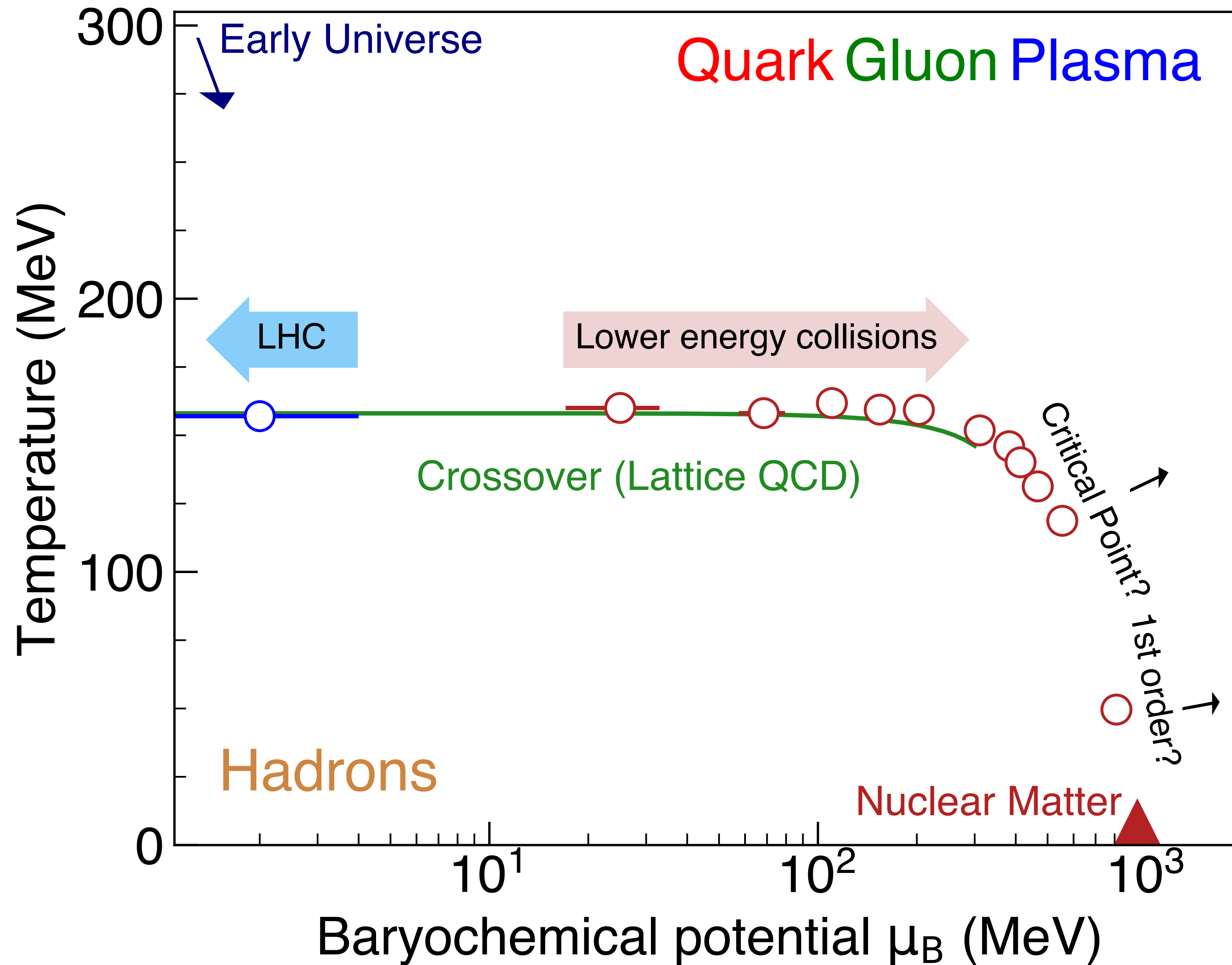


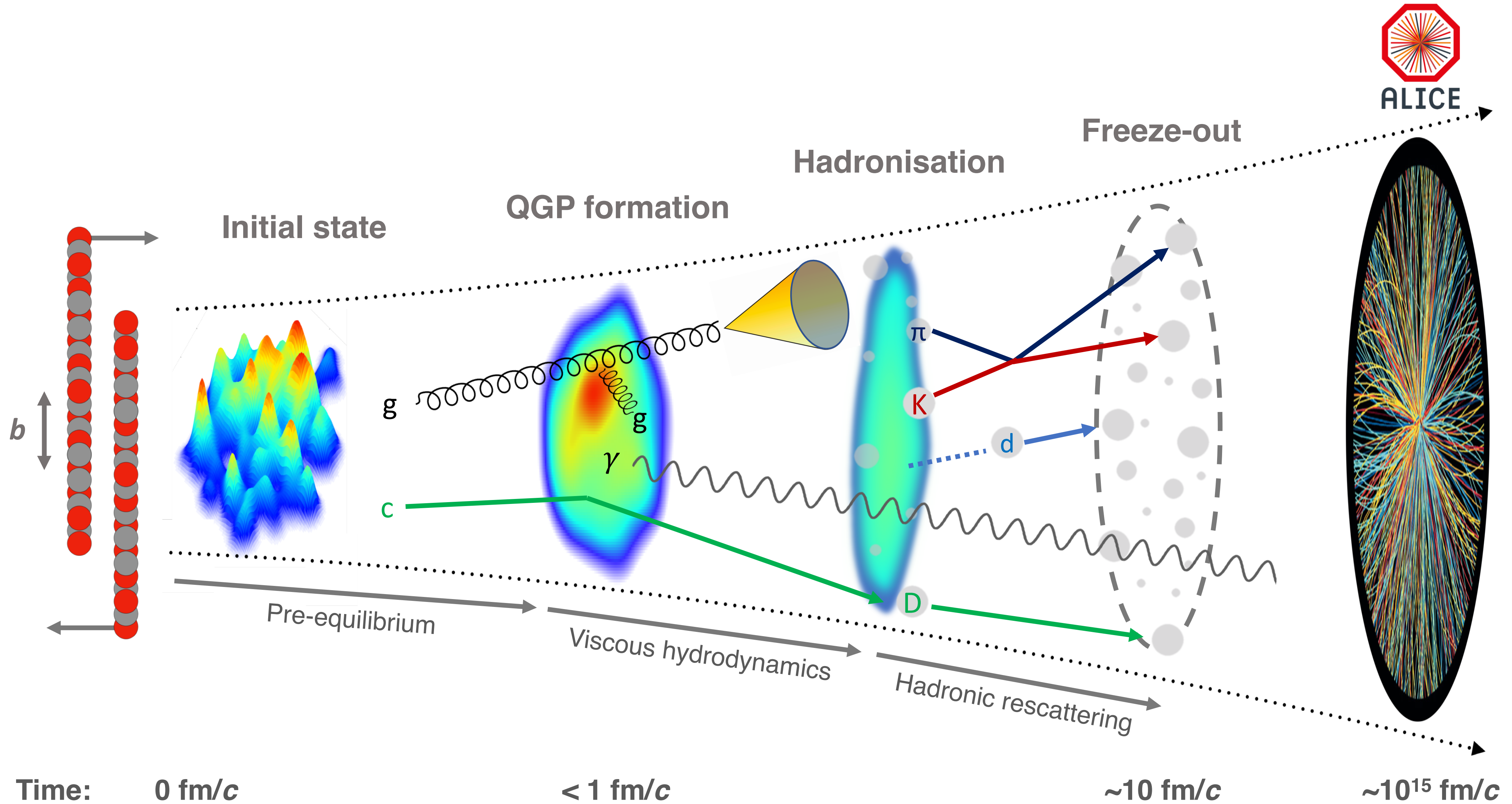
Xiaoming Zhang

# Imaging the quark-gluon plasma using heavy quarks

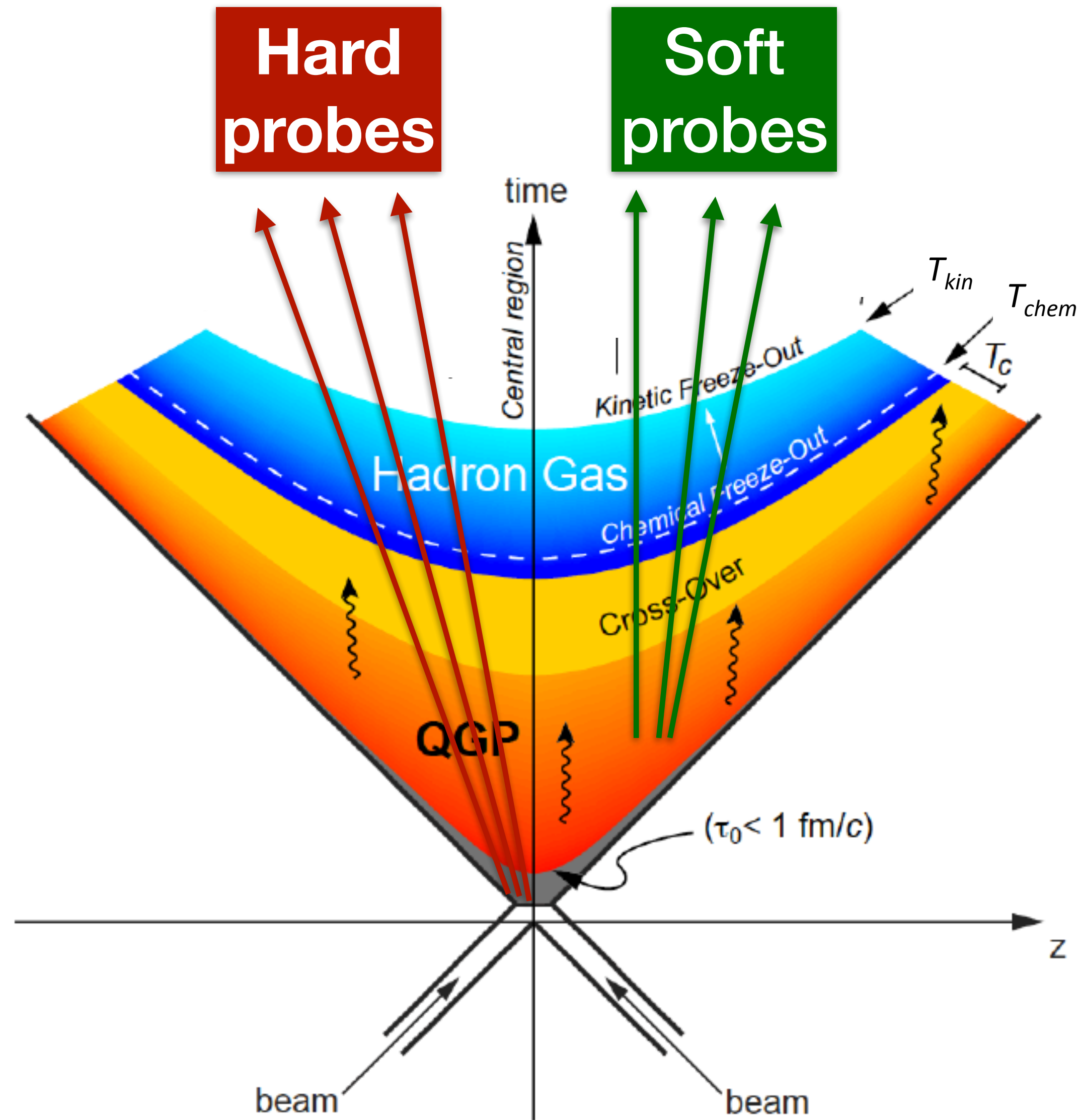
# QCD phase diagram



# Heavy-ion collisions



# Signatures of the QGP



**Heavy-ion collisions** probe the strongly-interacting matter – the quark-gluon plasma (QGP) under extreme conditions of high temperature and energy density

**Hard probes** created at initial stage of the collision

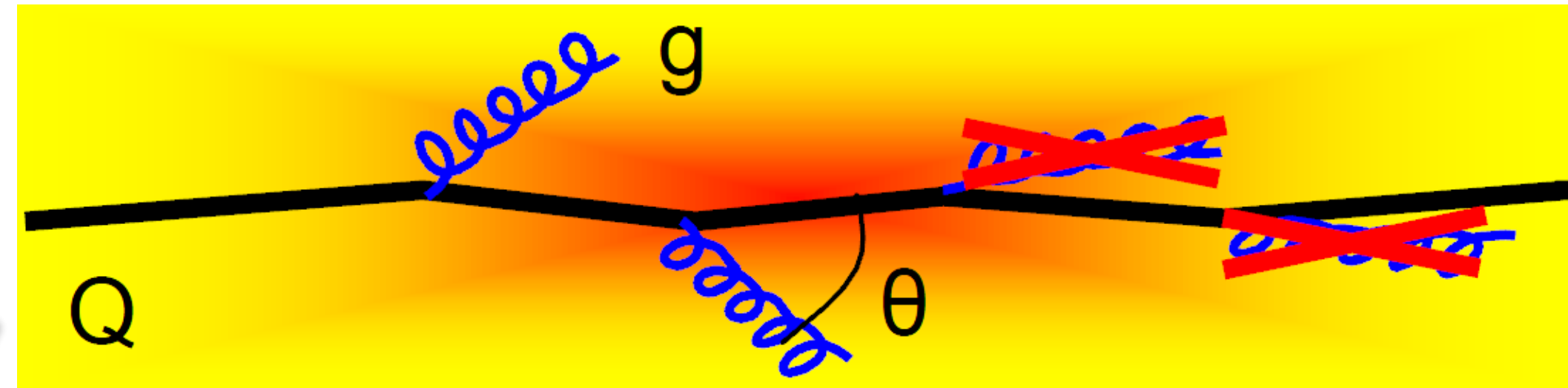
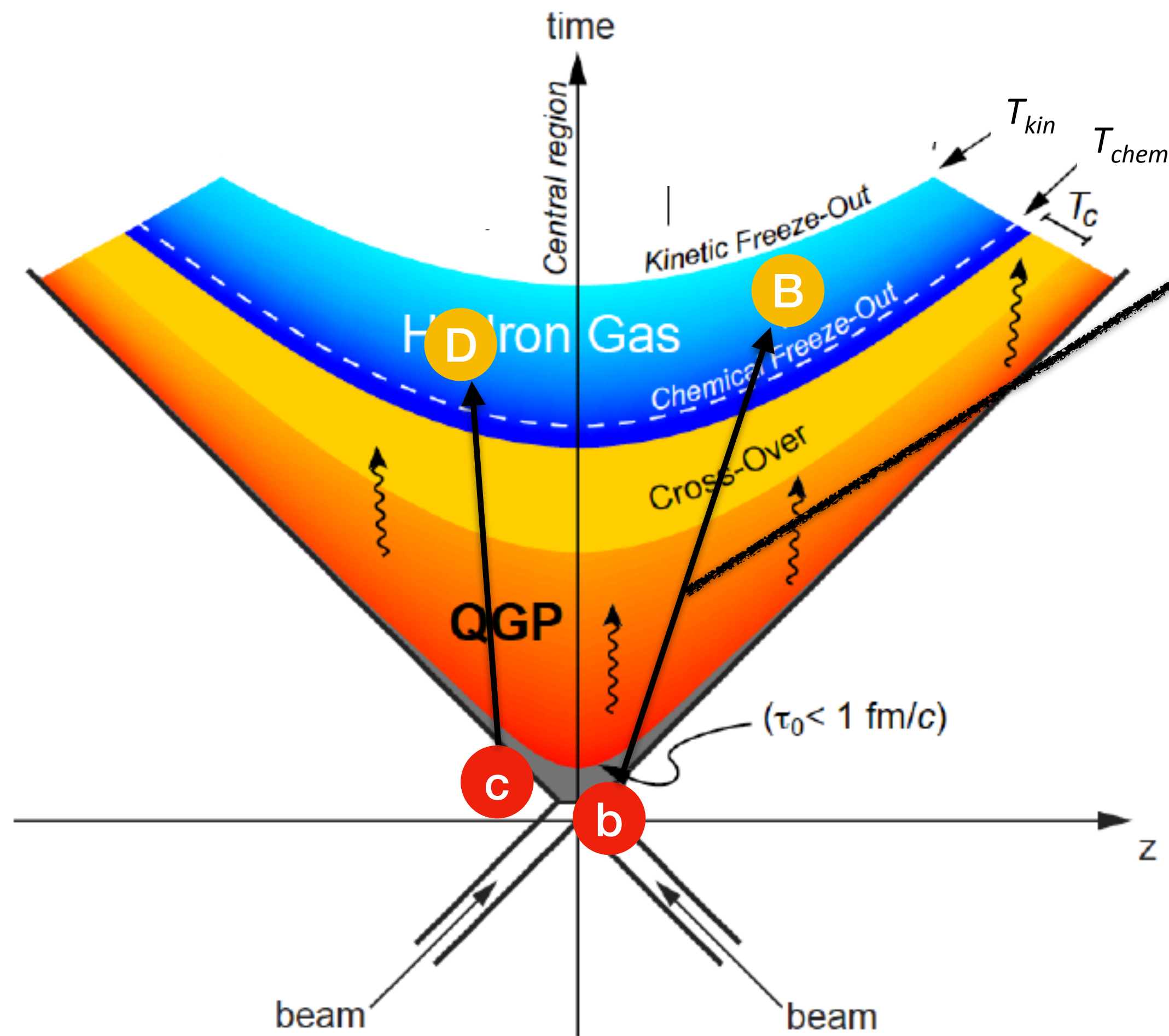
➔ QGP tomography

**Soft probes** created in the “fireball”

➔ Fingerprint of the QGP evolution

# Heavy quarks: the QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



## Energy loss in QGP medium

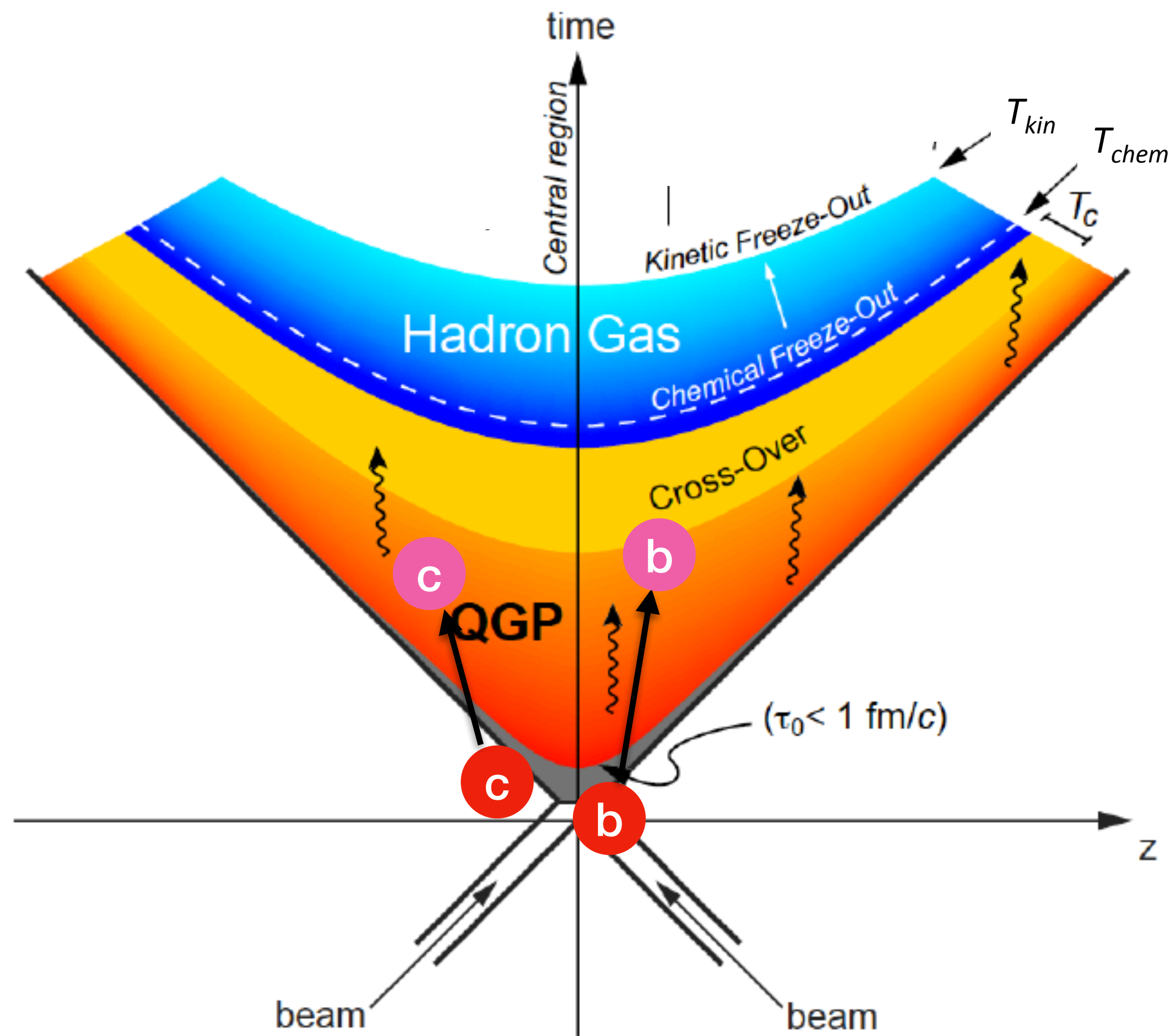
$$R_{AA}(p_T) = \frac{dN_{AA}/dp_T}{\langle T_{AA} \rangle d\sigma_{pp}/dp_T}$$

QCD medium  
QCD vacuum

- $R_{AA} = 1$  if no medium effect
- Radiative vs. collisional energy loss

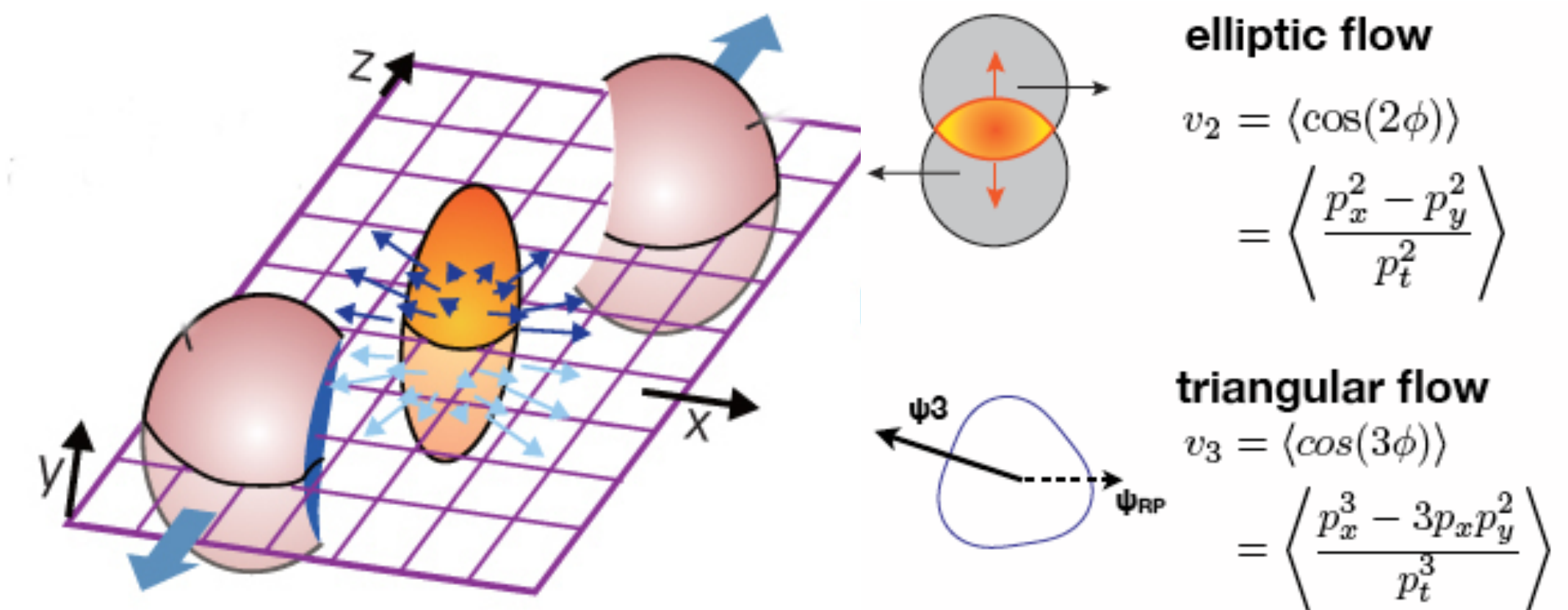
# Heavy quarks: the QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



## Collective expansion

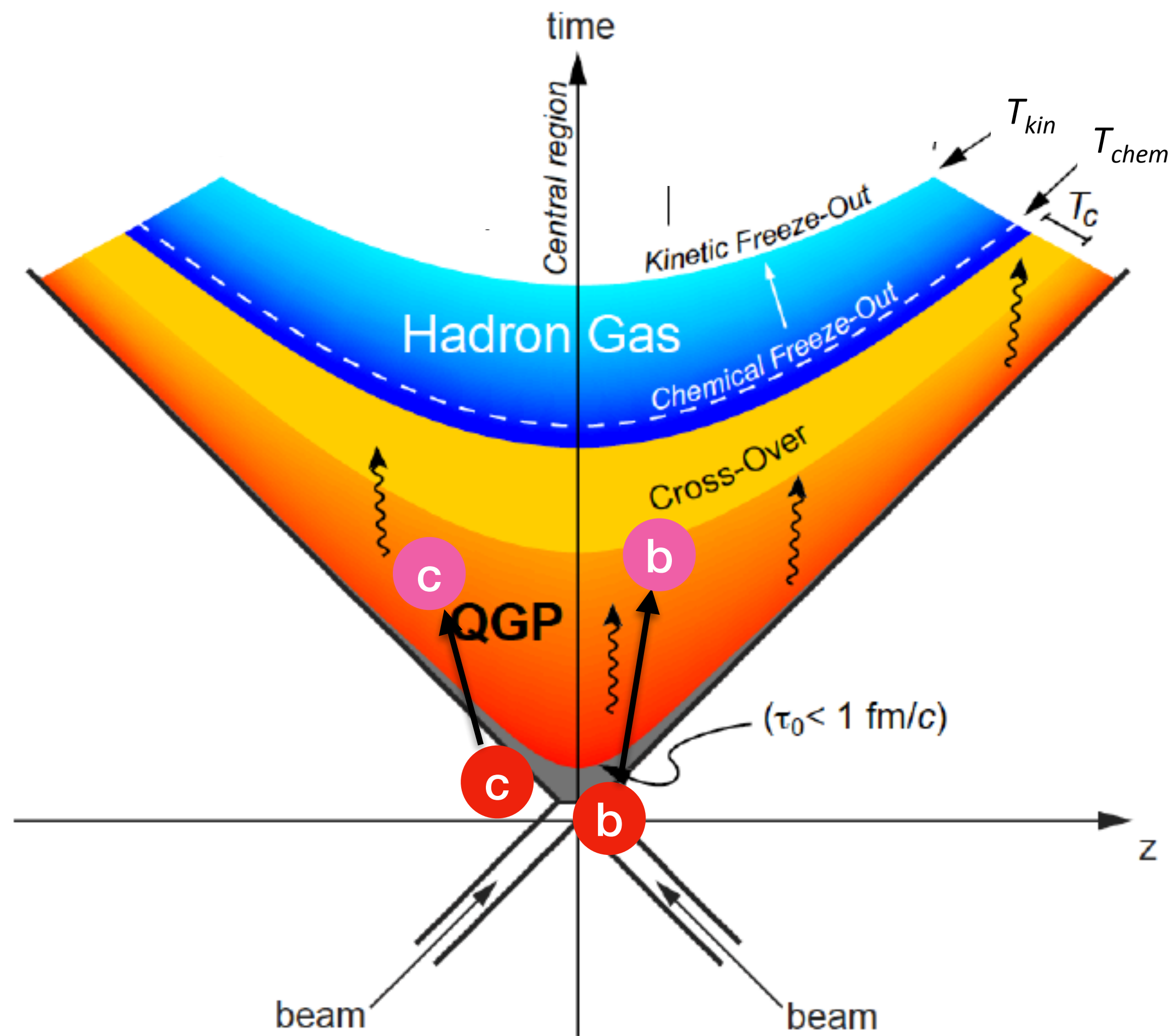
➔ **Anisotropic flow**



➔ Results in complex azimuthal structure of final state particles

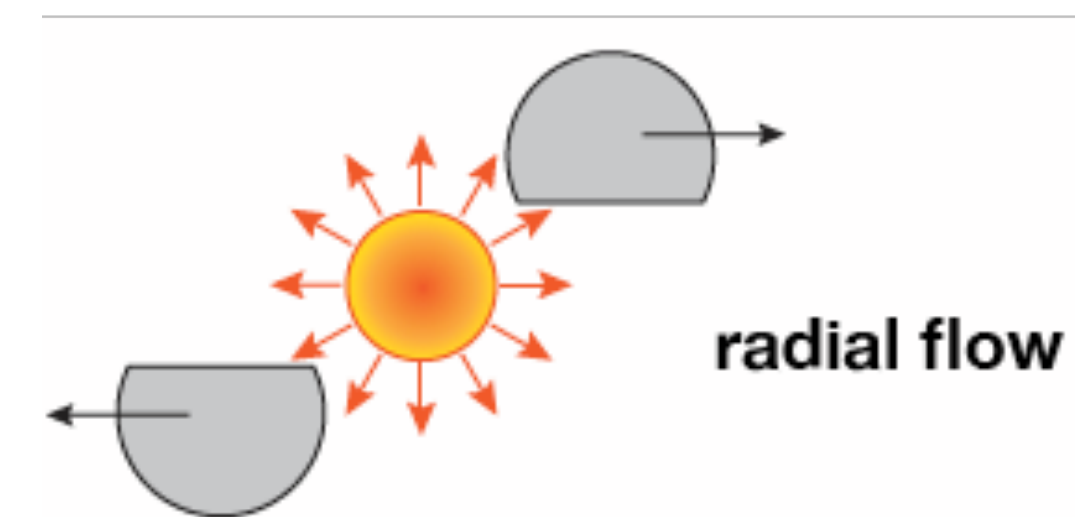
# Heavy quarks: the QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



## Collective expansion

➔ Radial flow



➔ Push low  $p_T$  particles toward intermediate  $p_T$

$$p = p_0 + \beta m$$

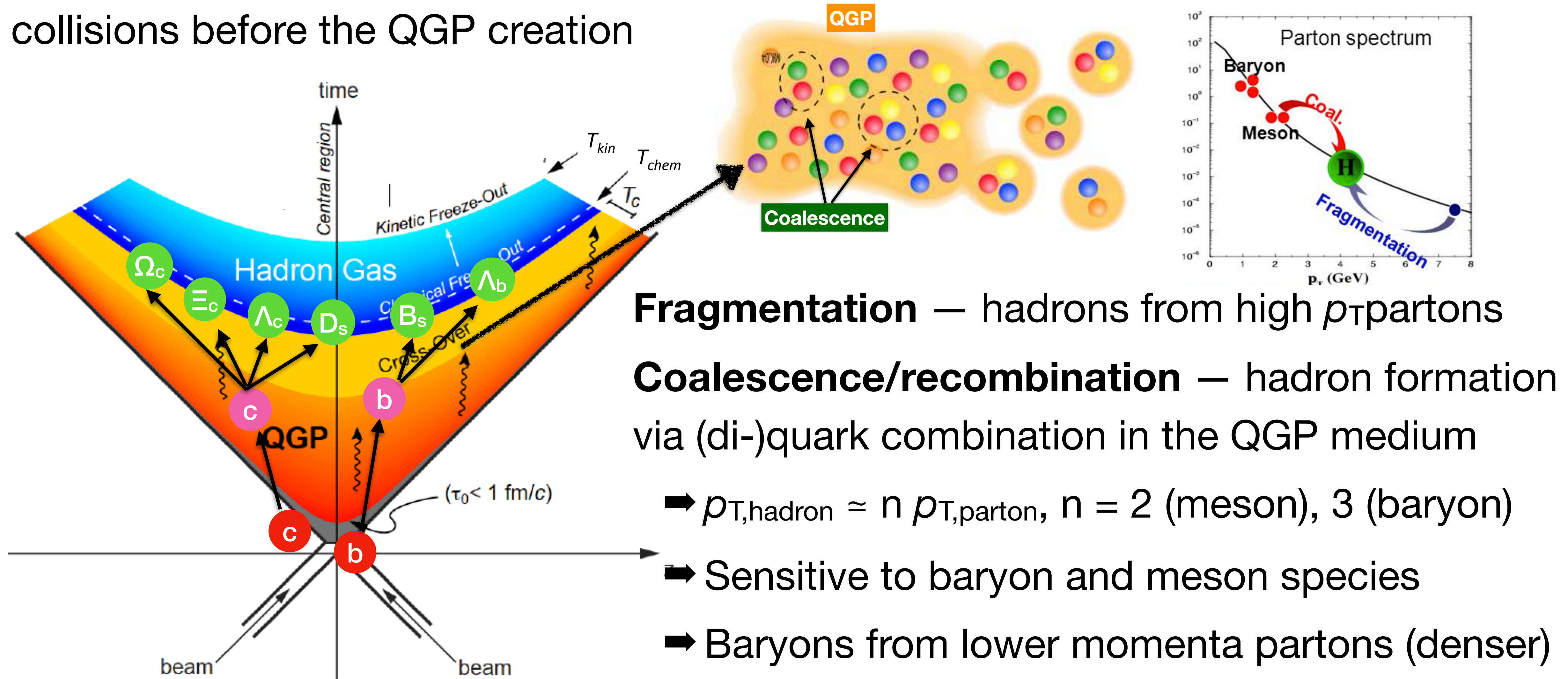
$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

➔ More pronounced in central collisions

➔ Mass dependence

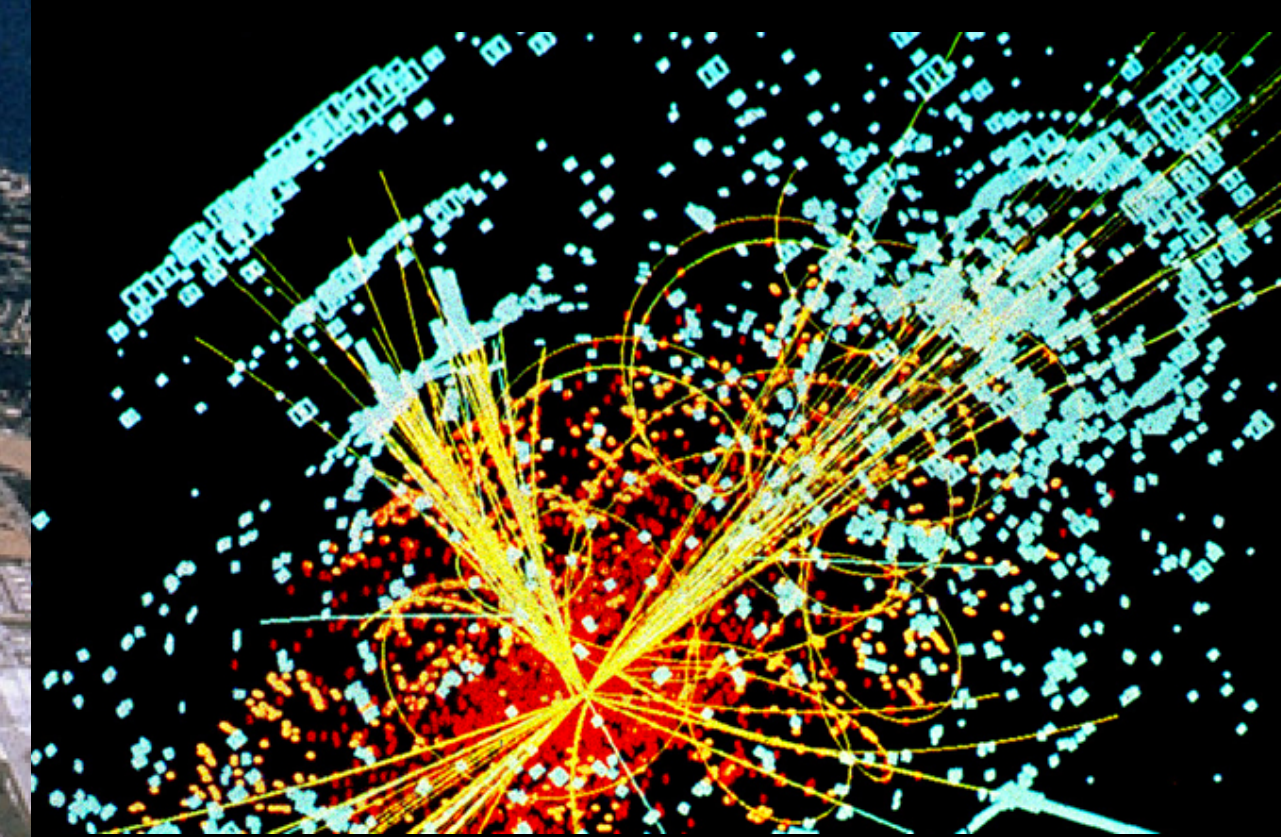
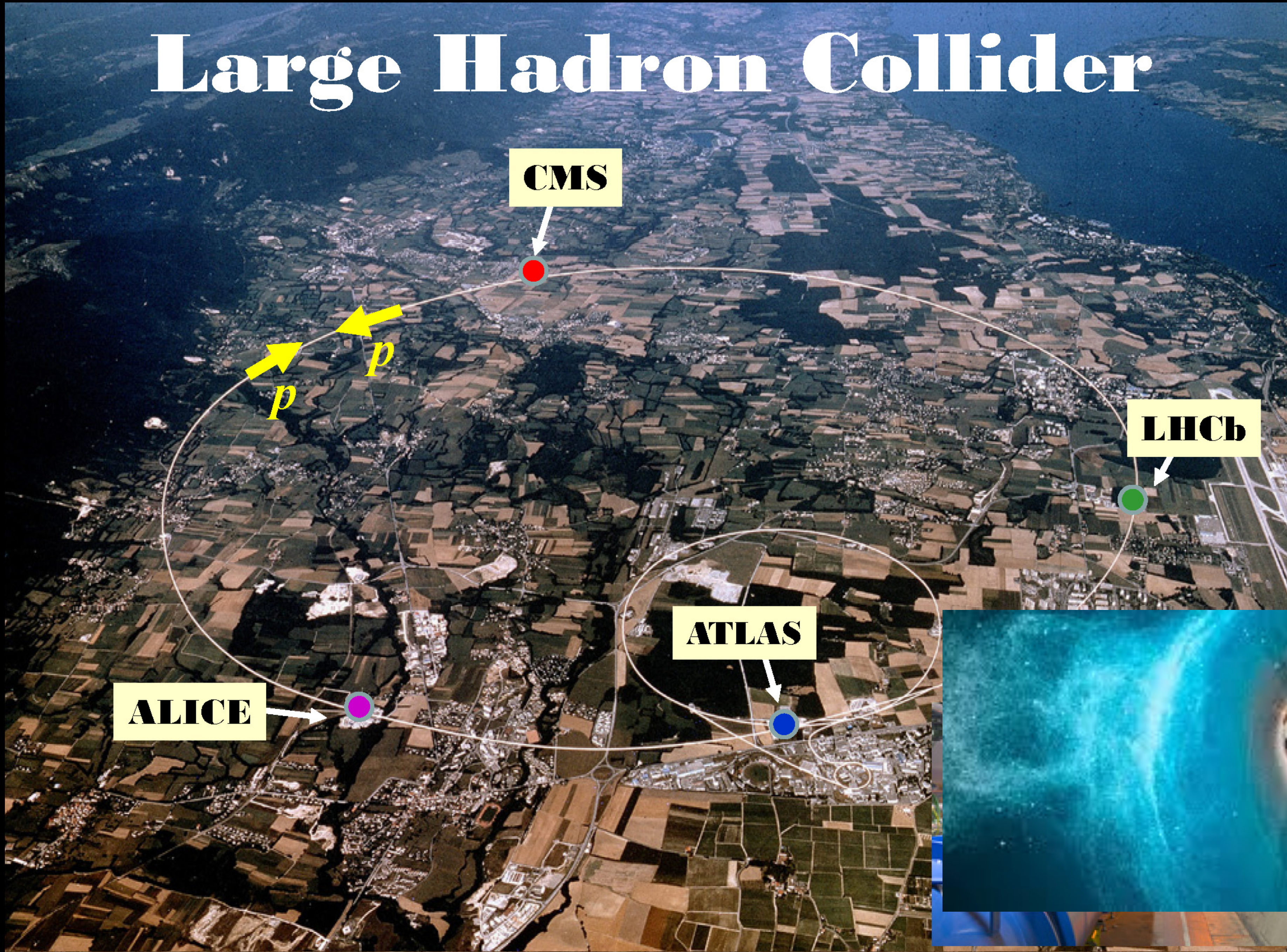
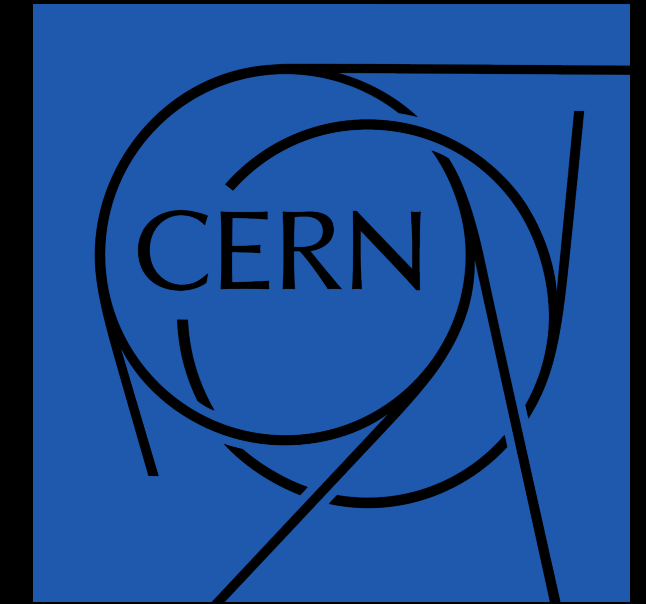
# Heavy quarks: the QGP tomography

Heavy quarks (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation





# Large Hadron Collider

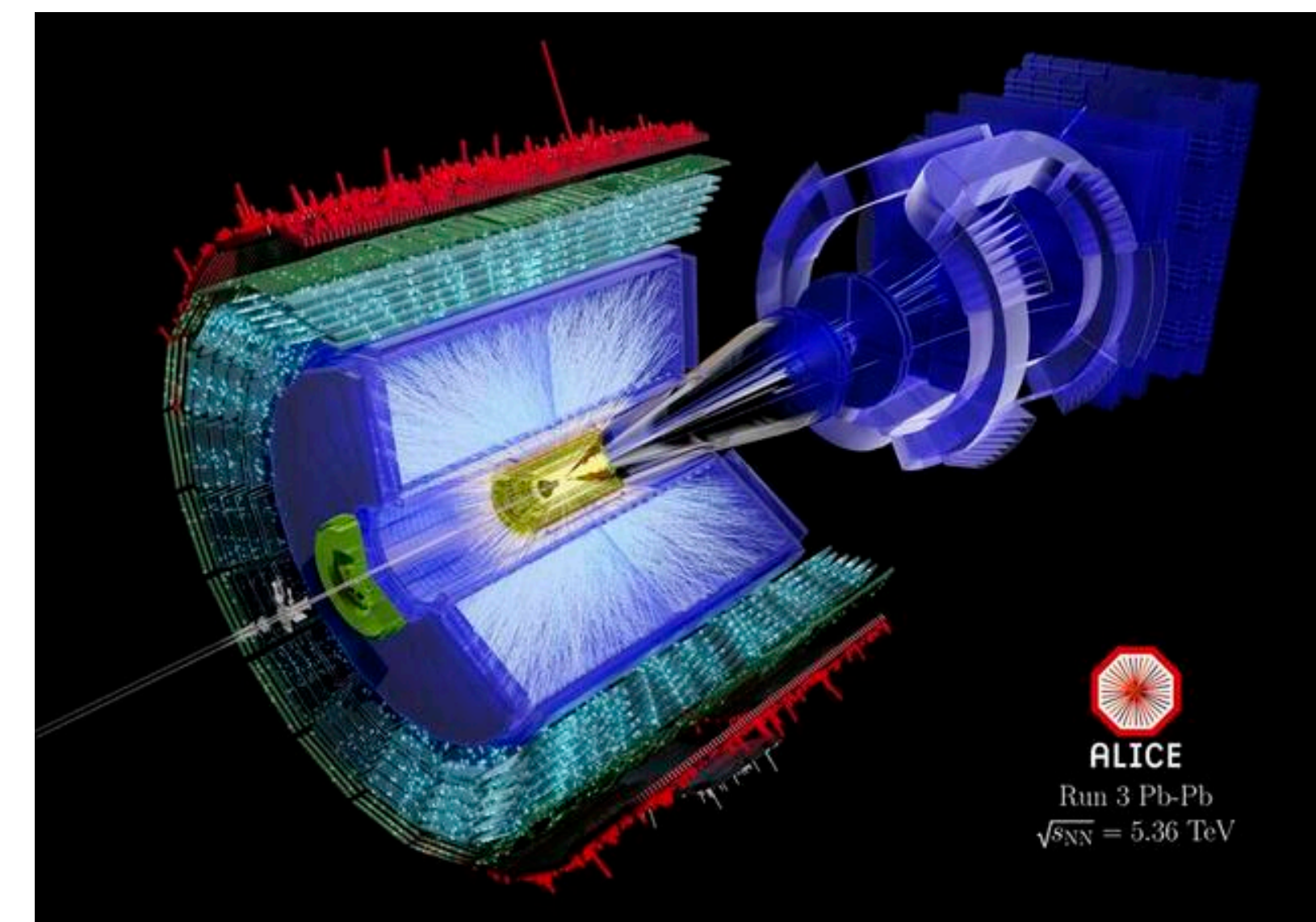
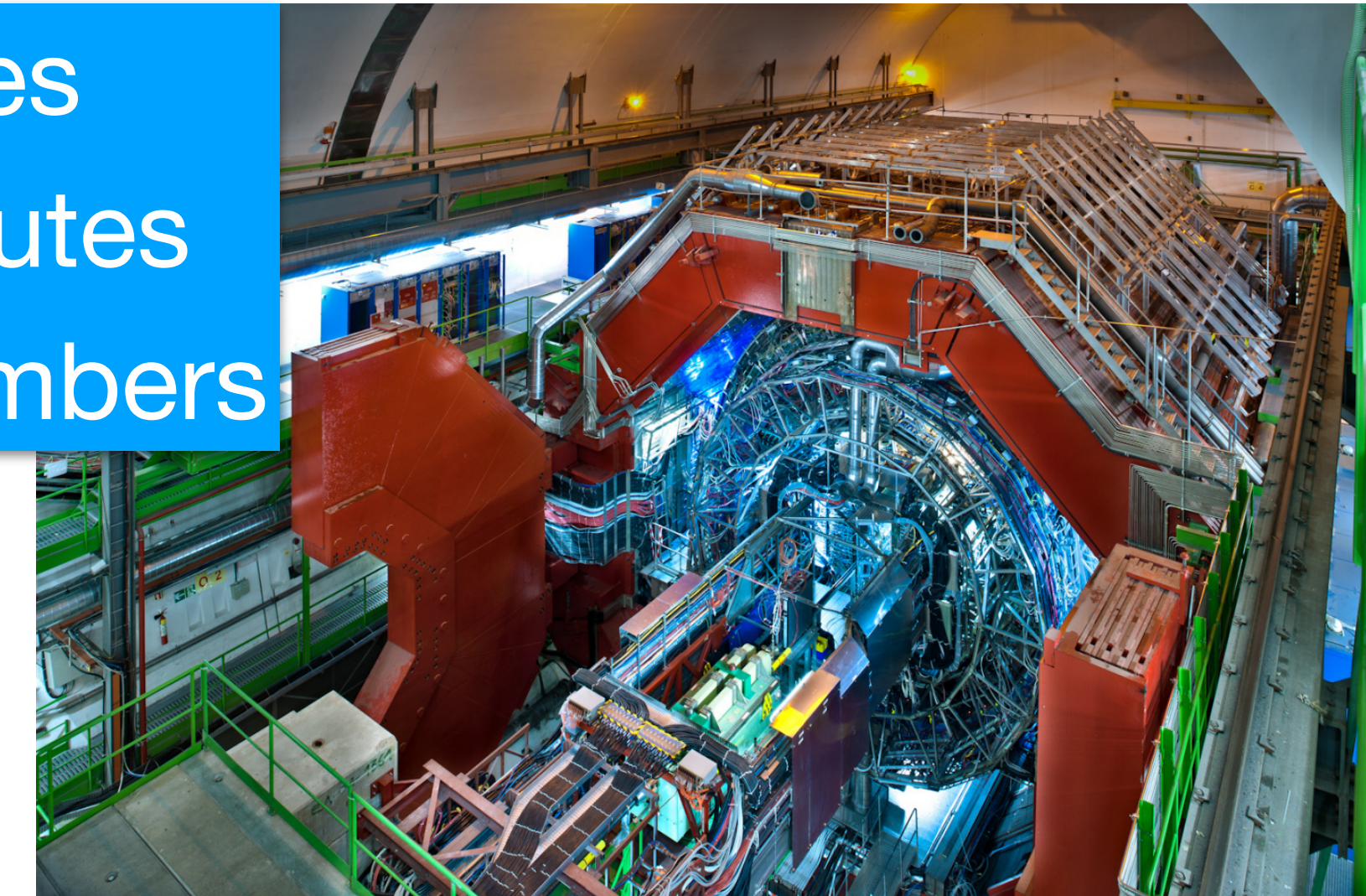


# ALICE Collaboration



A large ion collider experiment — ALICE

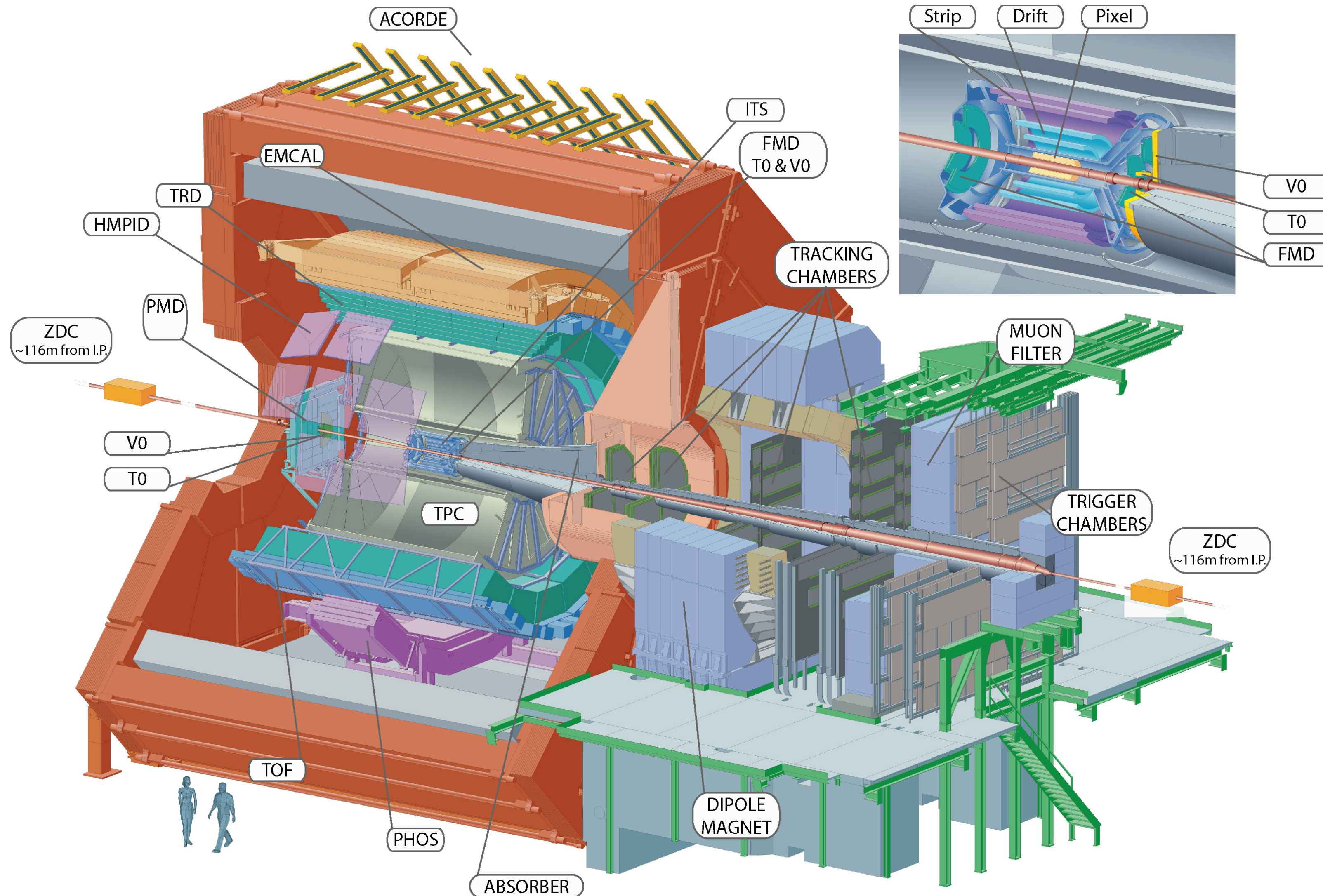
- 40 countries
- 170 institutes
- 1991 members



Study the primordial matter existed after the Big Bang via ultra-relativistic heavy-ion collision “little bang”

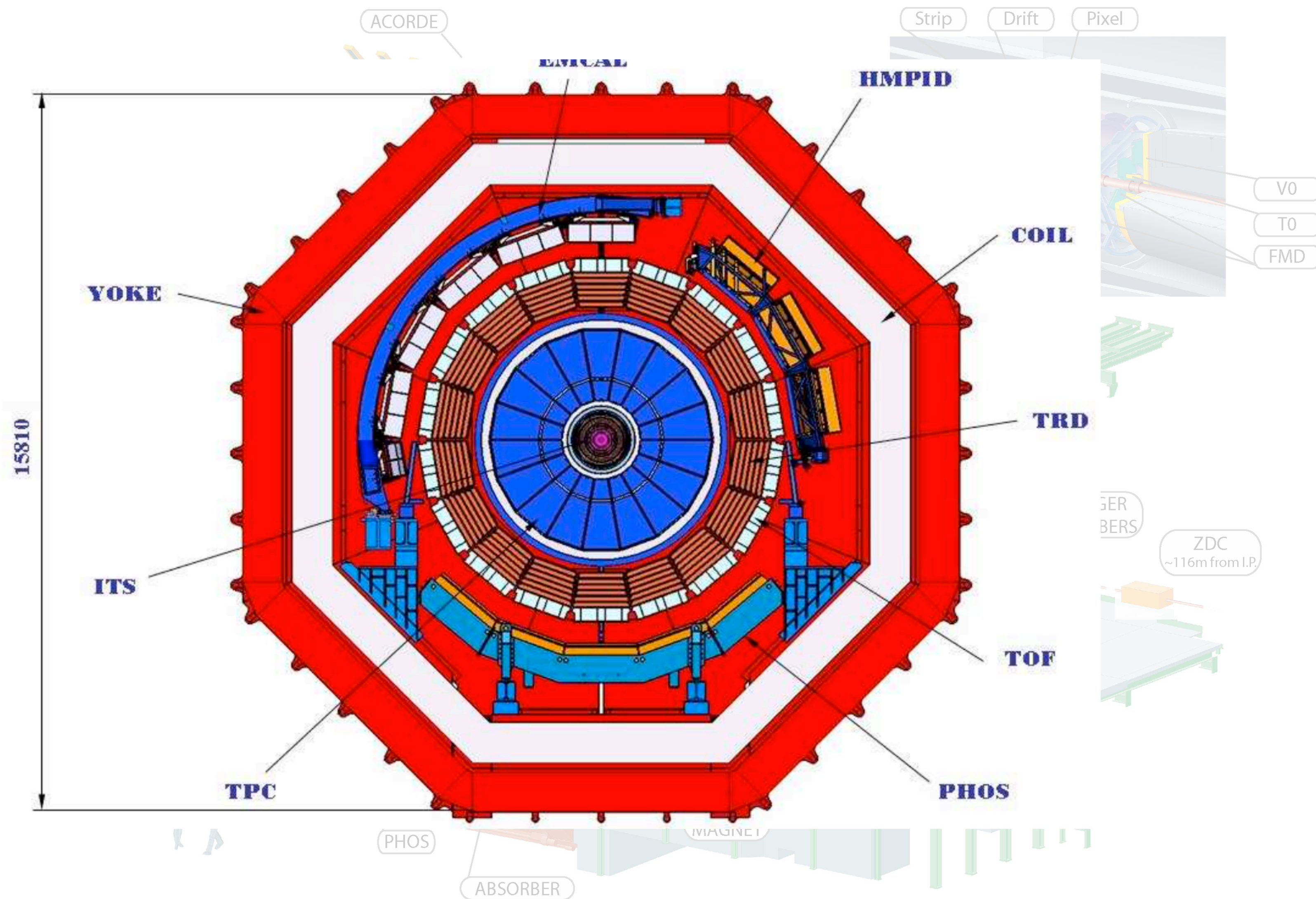
ALICE  
Run 3 Pb-Pb  
 $\sqrt{s_{NN}} = 5.36$  TeV

# ALICE apparatus

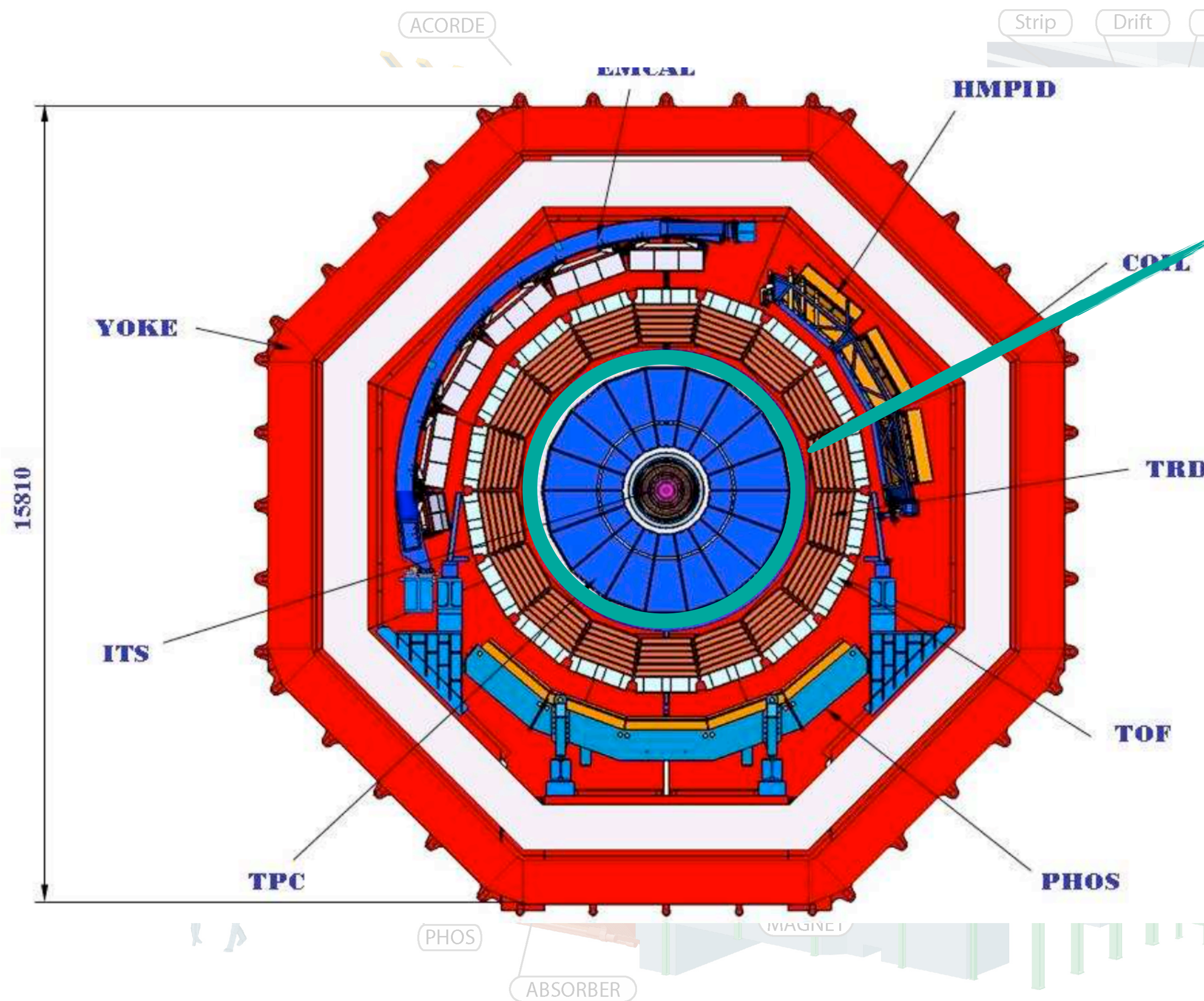


- Took 17 years to design and build
- Costing 180 M CHF
- High 16m, long 26 m
- Weight 10,000 tons
- Located 50–100 m underground

# ALICE apparatus



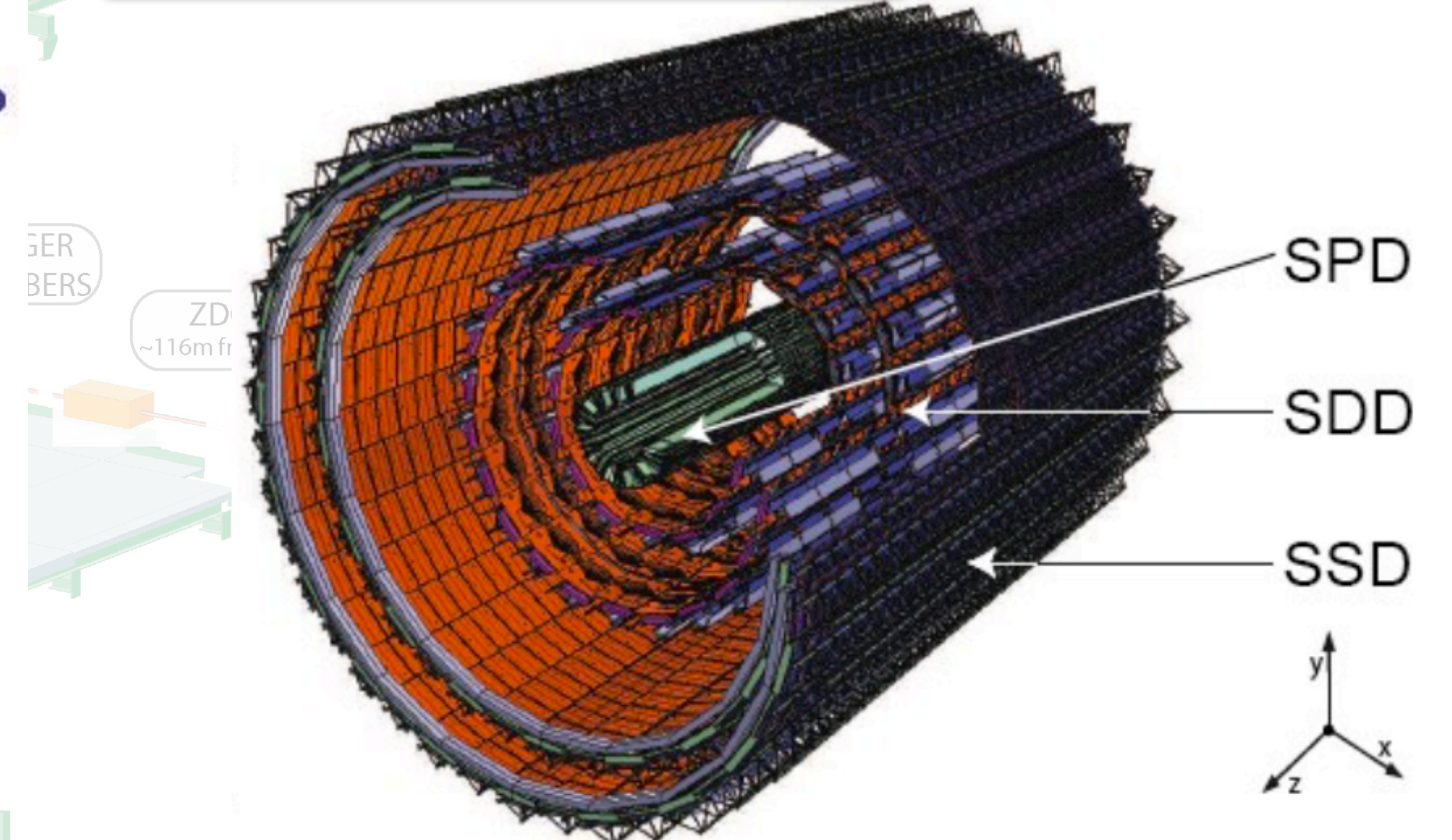
# ALICE apparatus



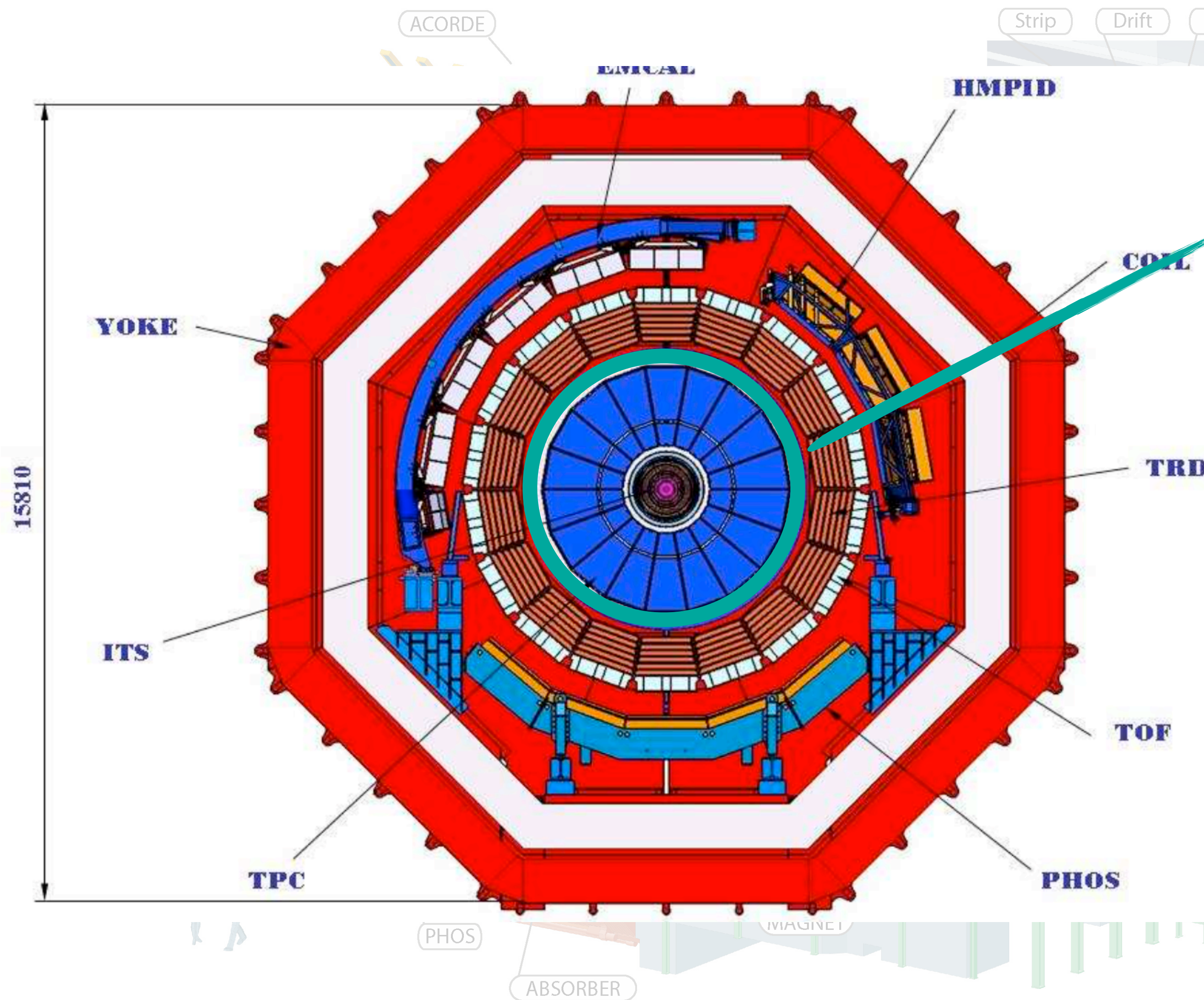
## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)

## Inner tracking system (ITS)

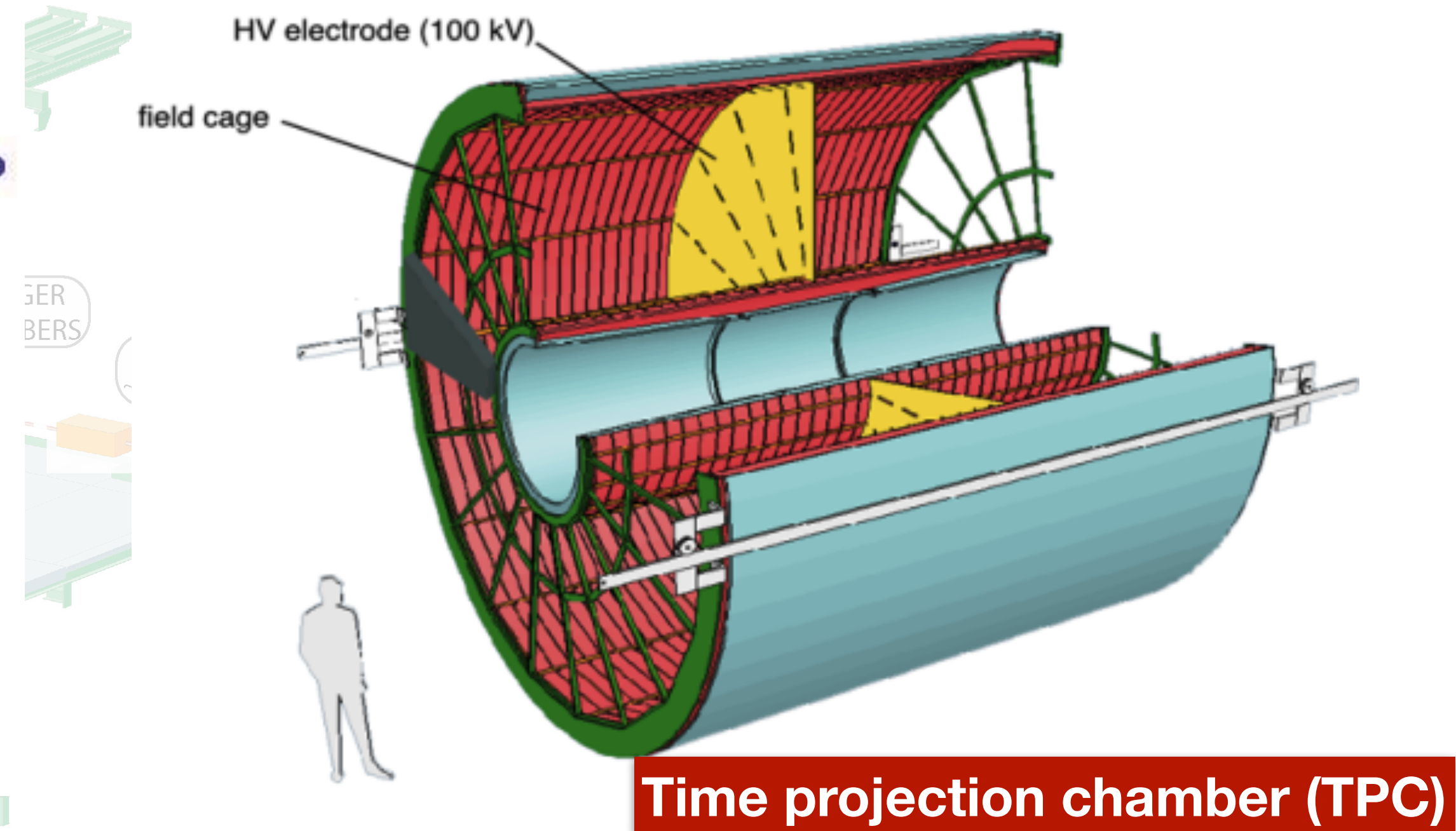


# ALICE apparatus

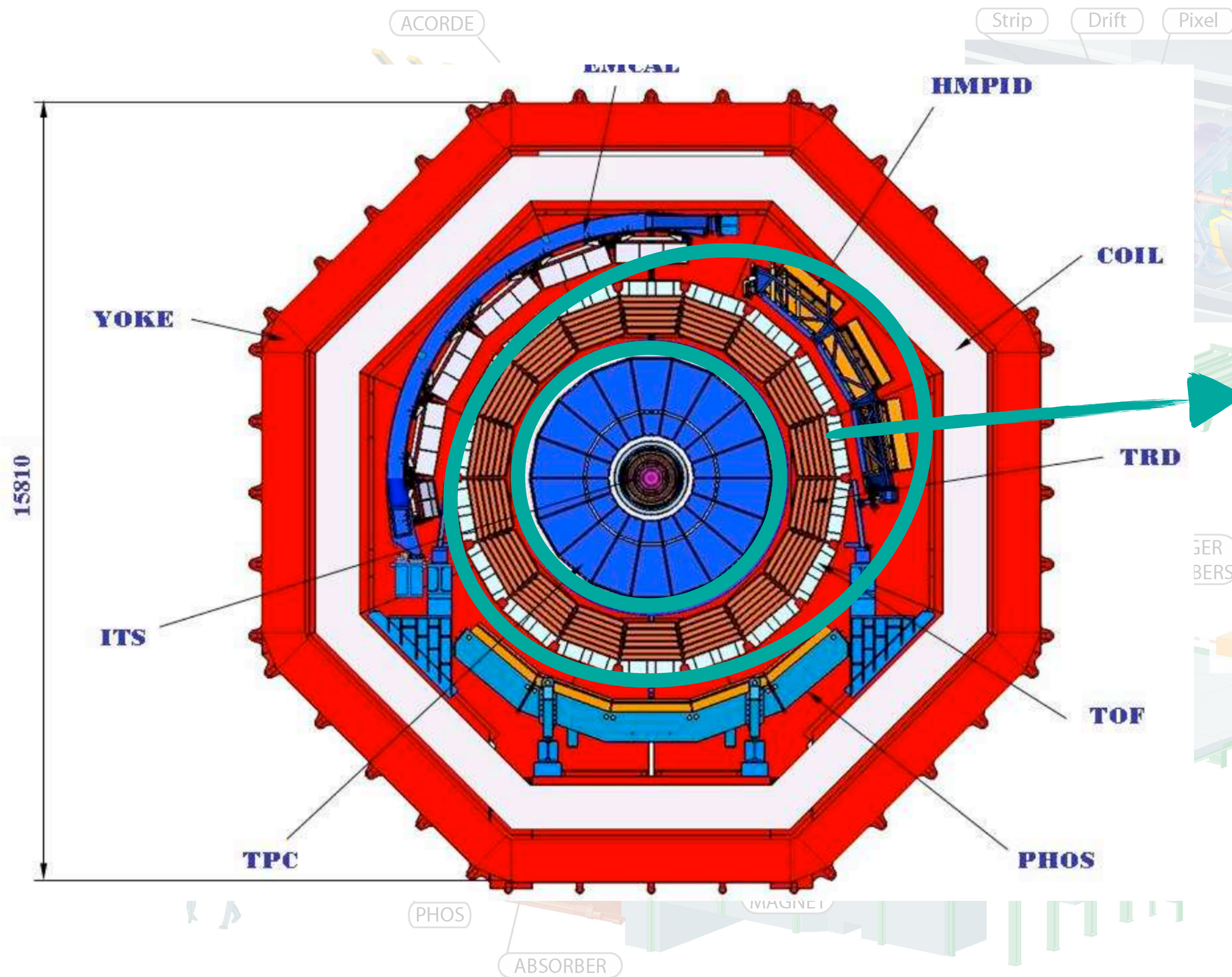


## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)



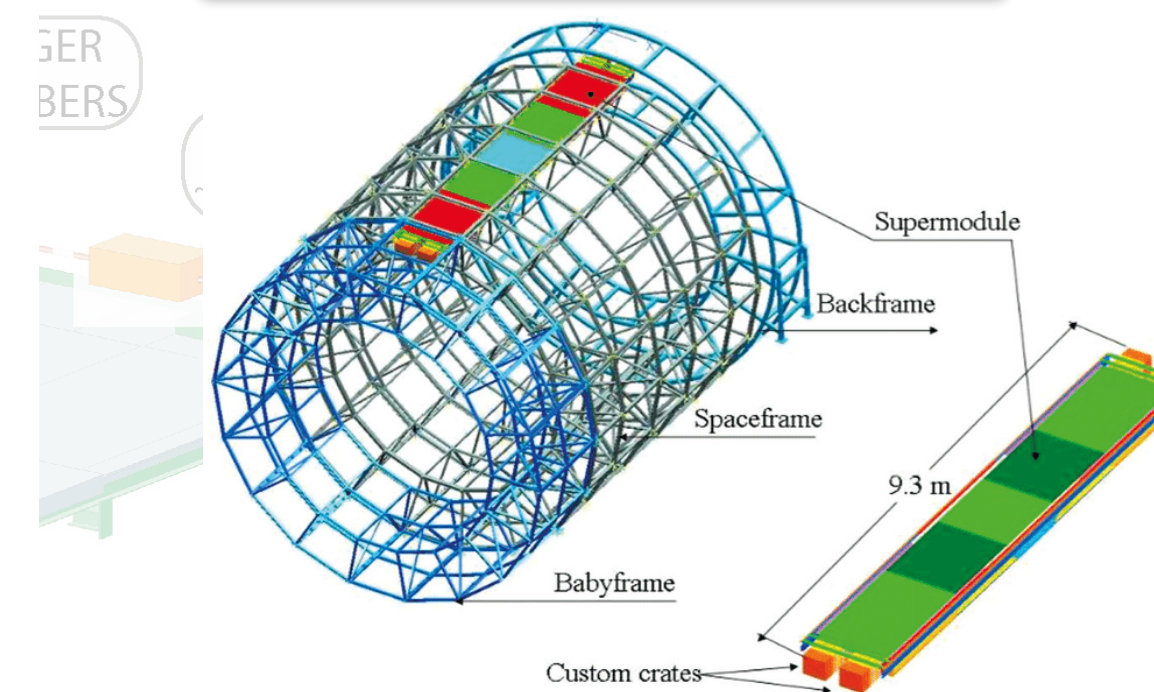
# ALICE apparatus



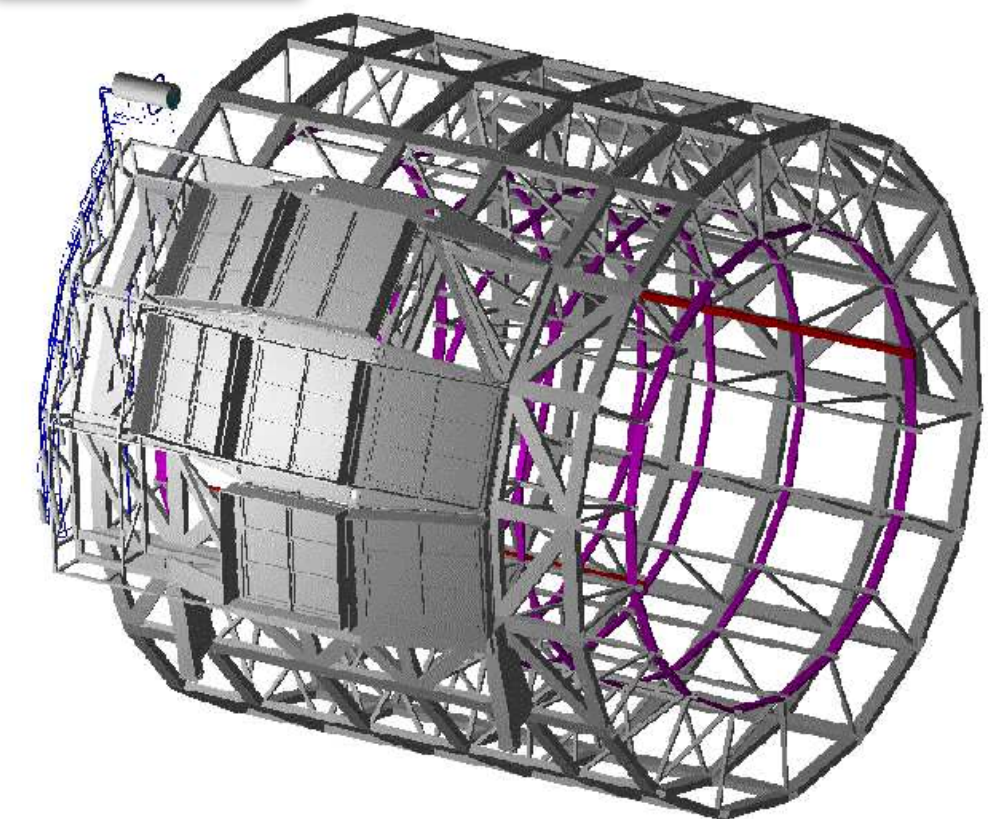
## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)
- TRD, TOF, HMPID: PID

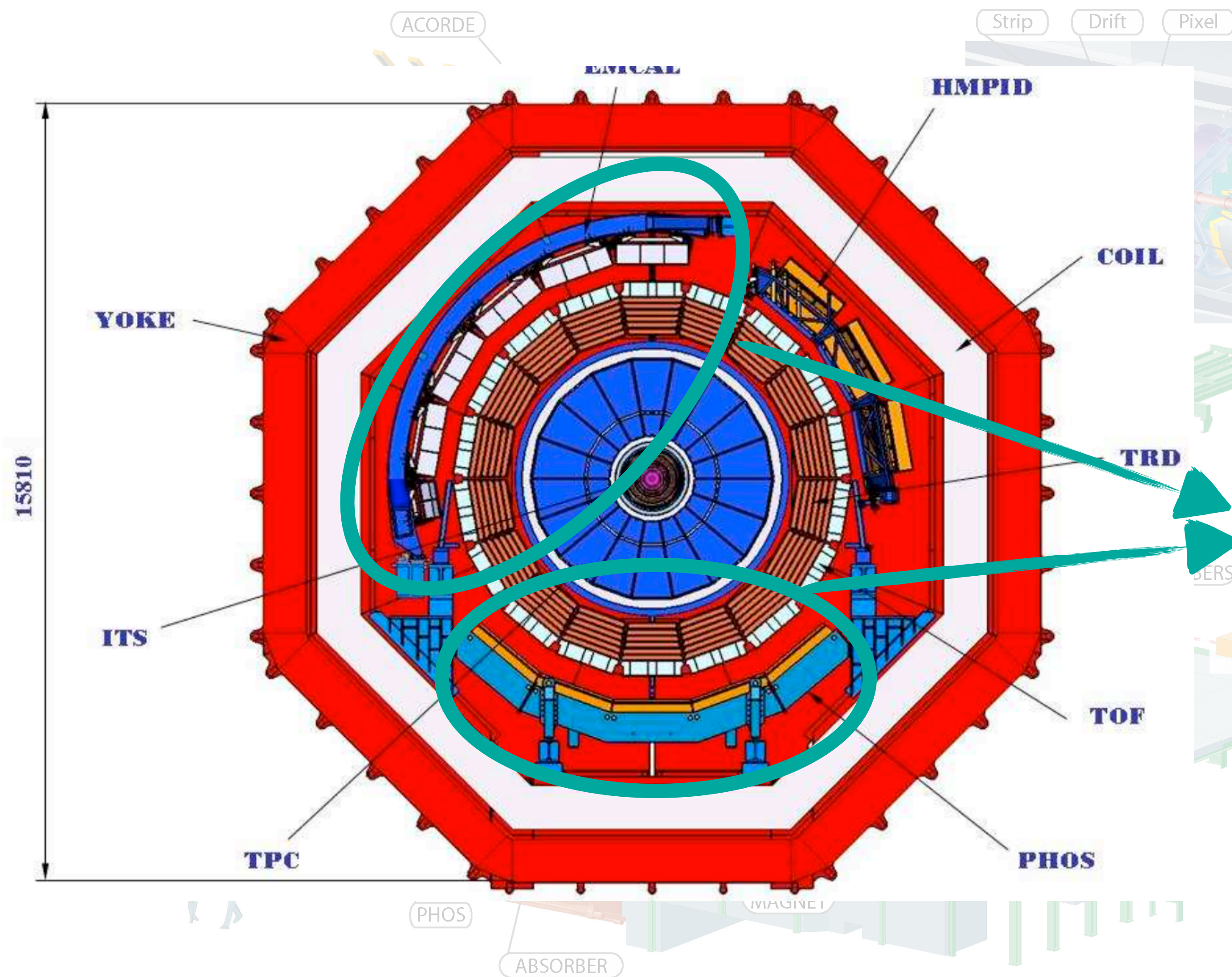
### Time of flight (TOF)



### HMPID

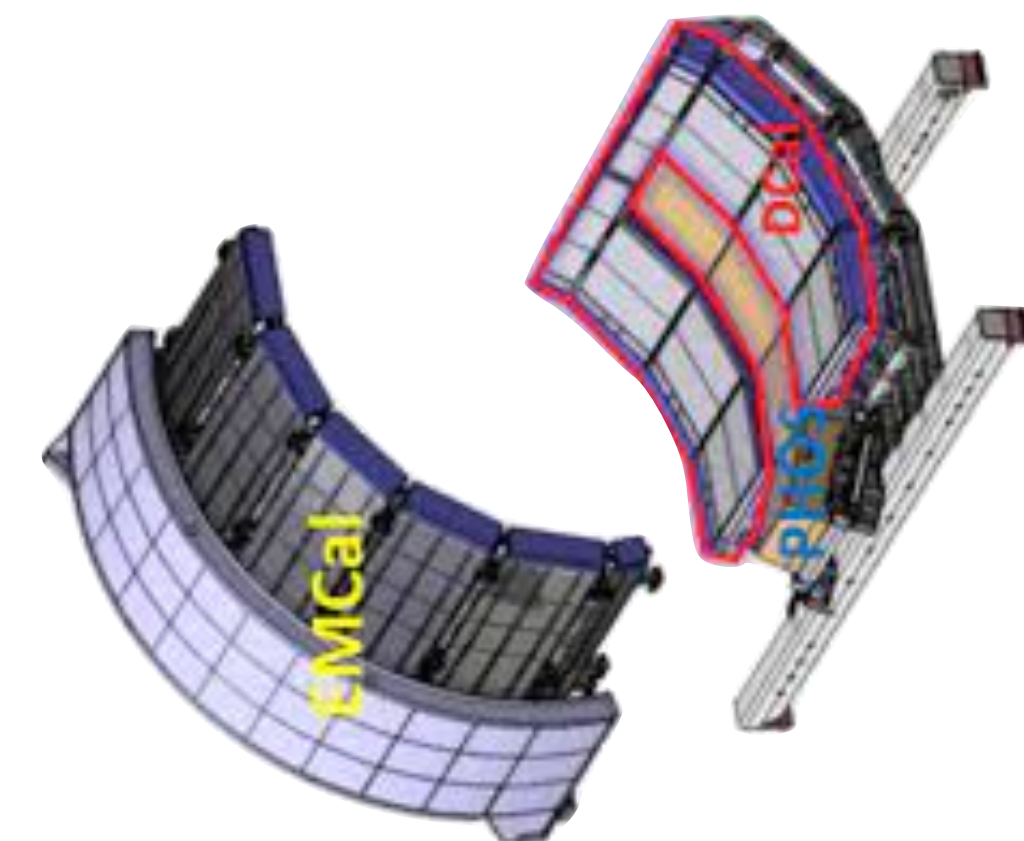


# ALICE apparatus



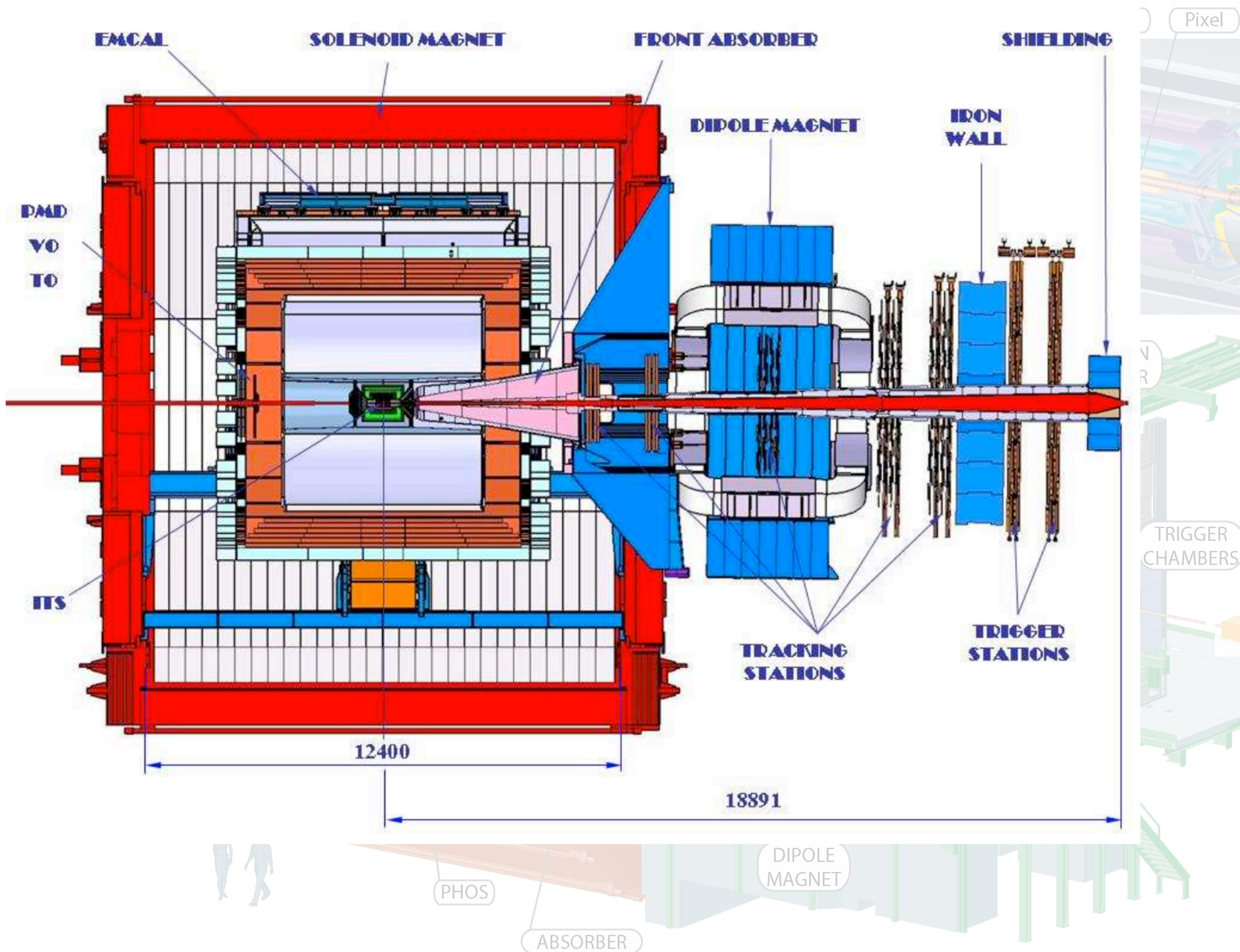
## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)
- TRD, TOF, HMPID: PID
- EMCal, DCal, PHOS: EM probes, high- $p_T$   $\pi^0$  and electrons





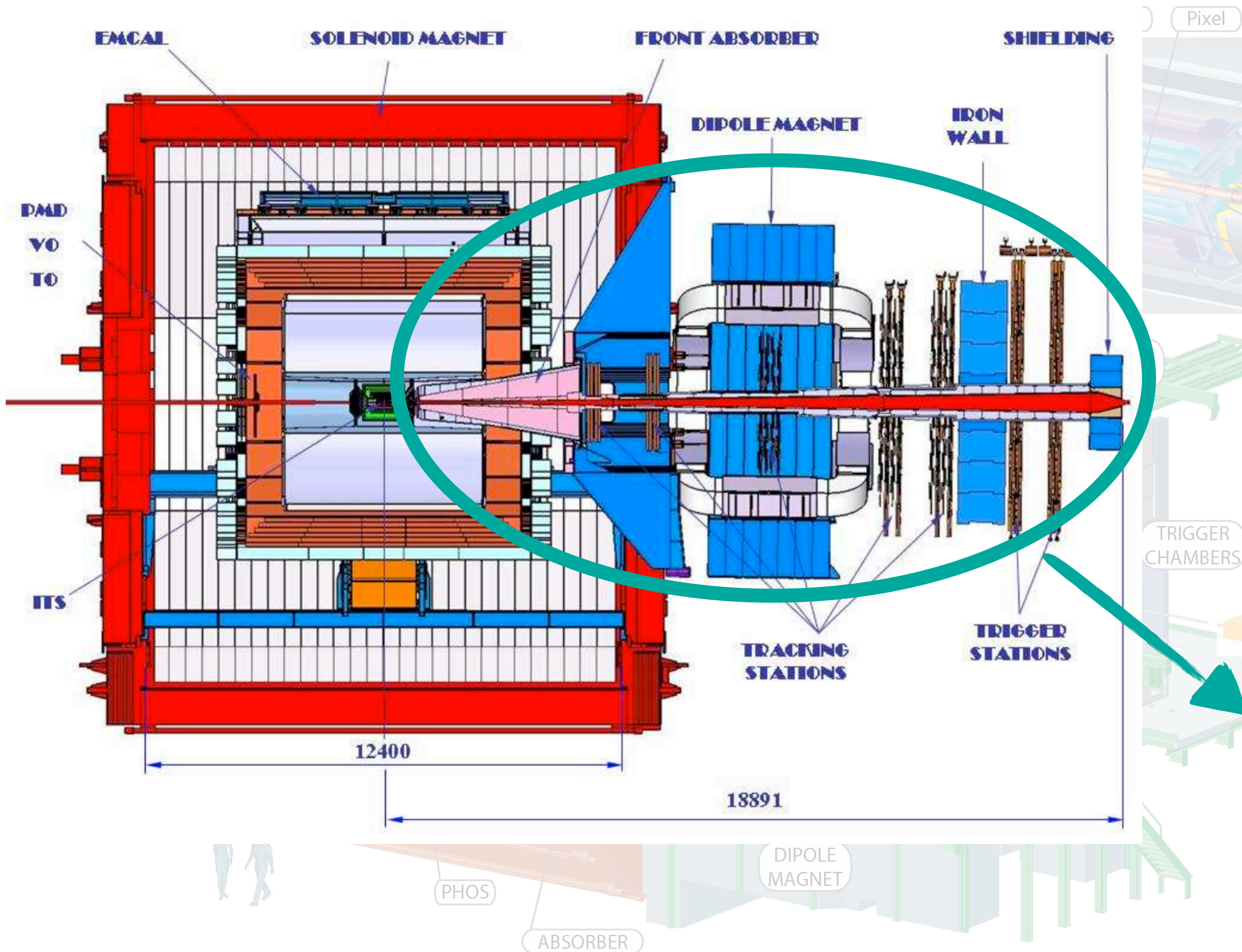
# ALICE apparatus



## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)
- TRD, TOF, HMPID: PID
- EMCal, DCal, PHOS: EM probes, high- $p_T$   $\pi^0$  and electrons

# ALICE apparatus

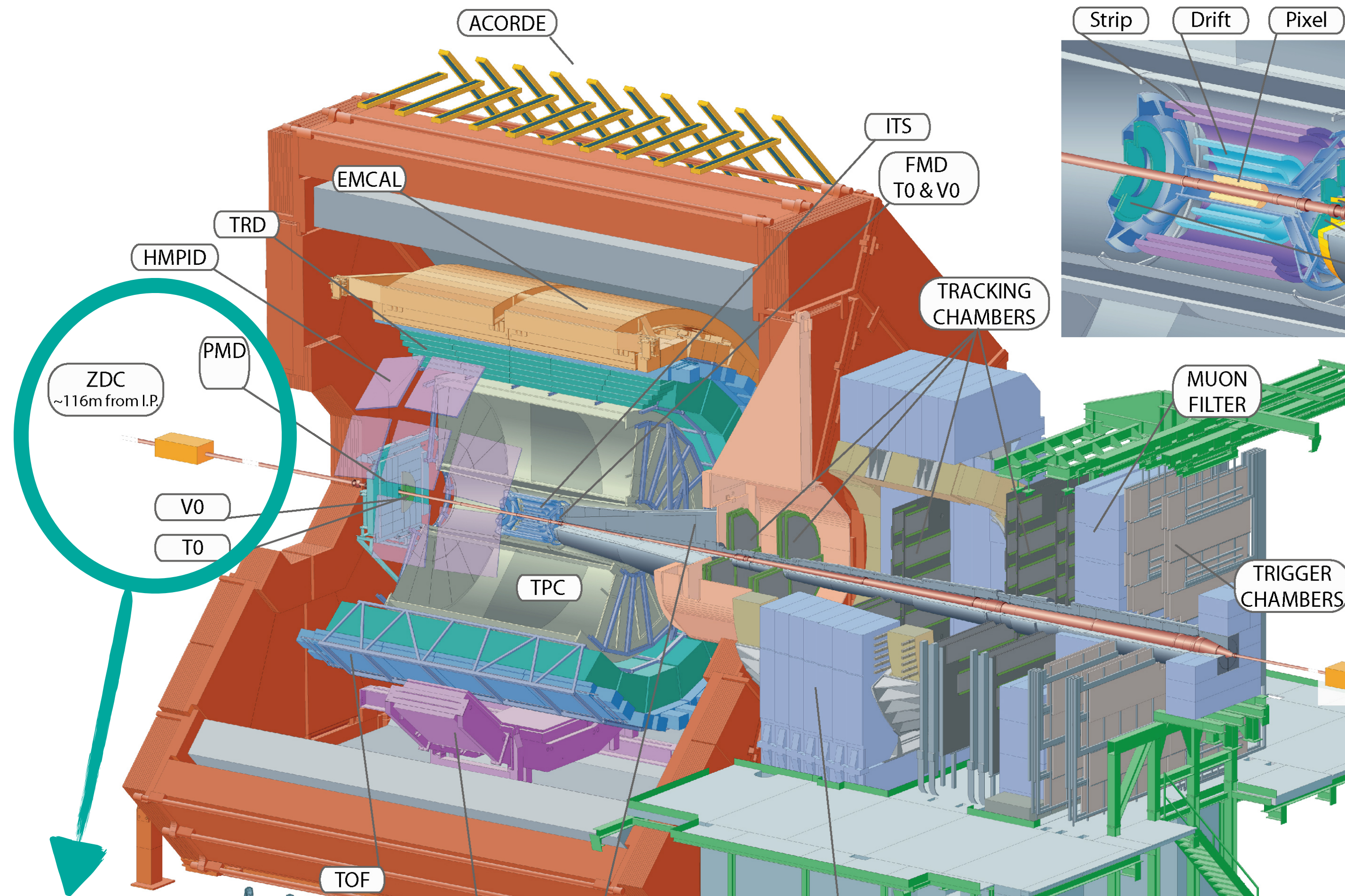


## Central barrel detectors ( $|\eta| < 0.9$ )

- ITS, TPC: vertexing, tracking and particle identification (PID)
- TRD, TOF, HMPID: PID
- EMCal, DCal, PHOS: EM probes, high- $p_T$   $\pi^0$  and electrons

**Forward MUON:** open and hidden heavy-flavor hadrons and  $W^\pm/Z^0$  muonic decays

# ALICE apparatus



## Central barrel detectors ( $|\eta| < 0.9$ )

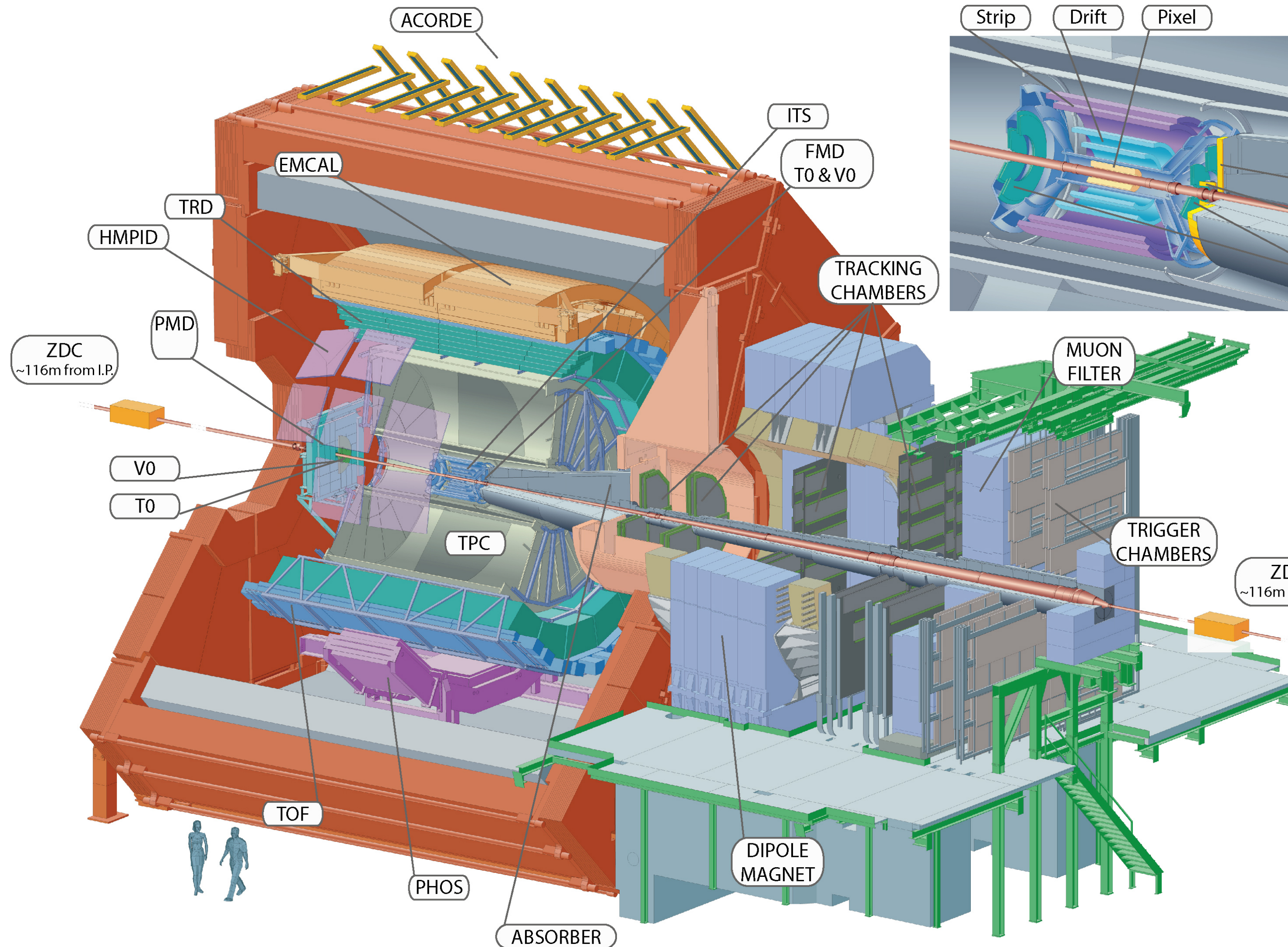
- ITS, TPC: vertexing, tracking and particle identification (PID)
- TRD, TOF, HMPID: PID
- EMCal, DCal, PHOS: EM probes, high- $p_T$   $\pi^0$  and electrons

**Forward muon:** open and hidden heavy-flavor hadrons and  $W^\pm/Z^0$  muonic decays

## Small detectors (V0, T0, PMD, ZDC...)

- Event triggering and characterization

# ALICE shopping list



## Soft physics

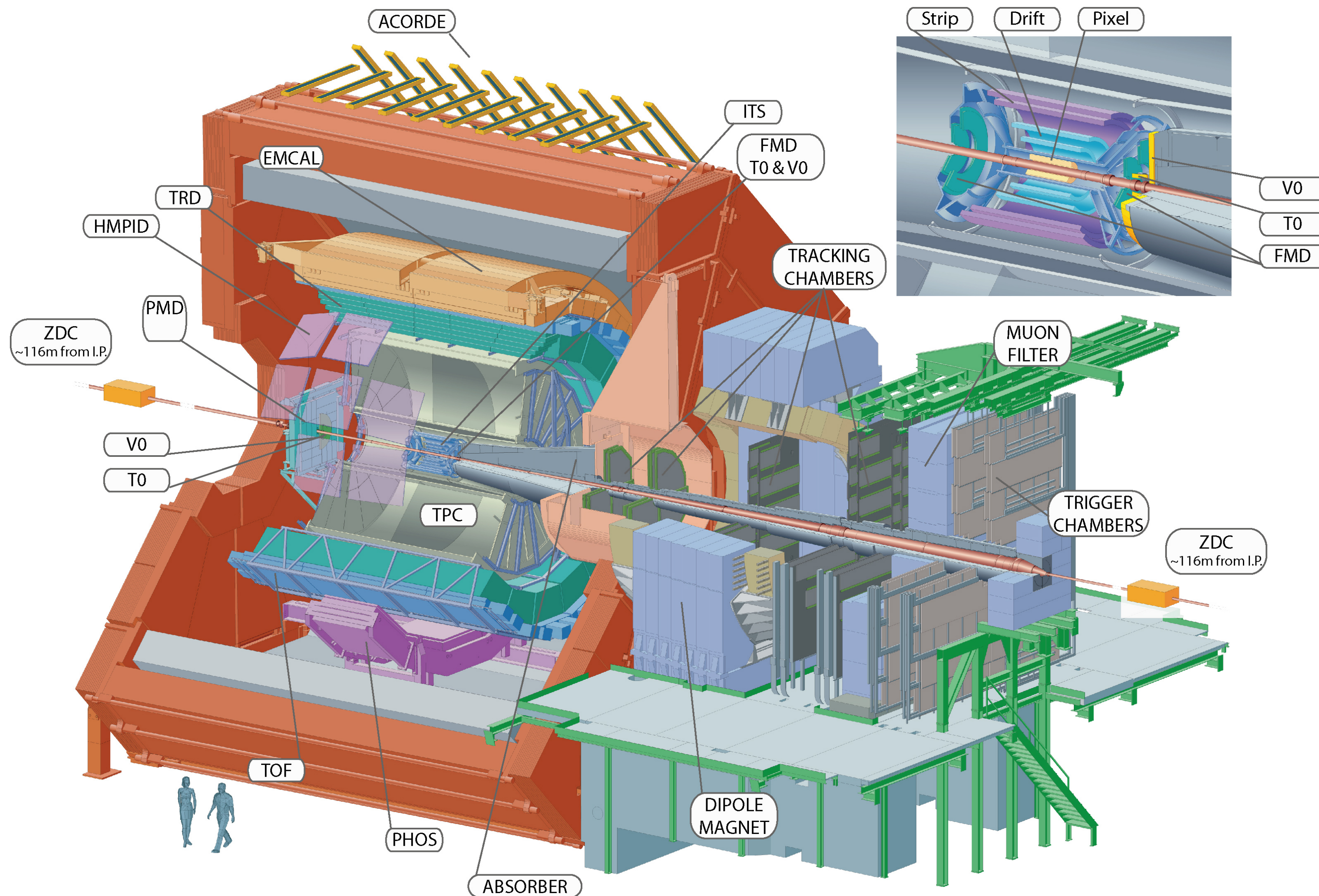
- Event multiplicity and particle production
- Correlations and fluctuations

## Hard probes

- Heavy quarks, jets and high- $p_T$  photons
- Ultra-peripheral collisions

New physics: magnetic field effects, exotic particles, light nuclei, antimatter...

# ALICE heavy quark programme



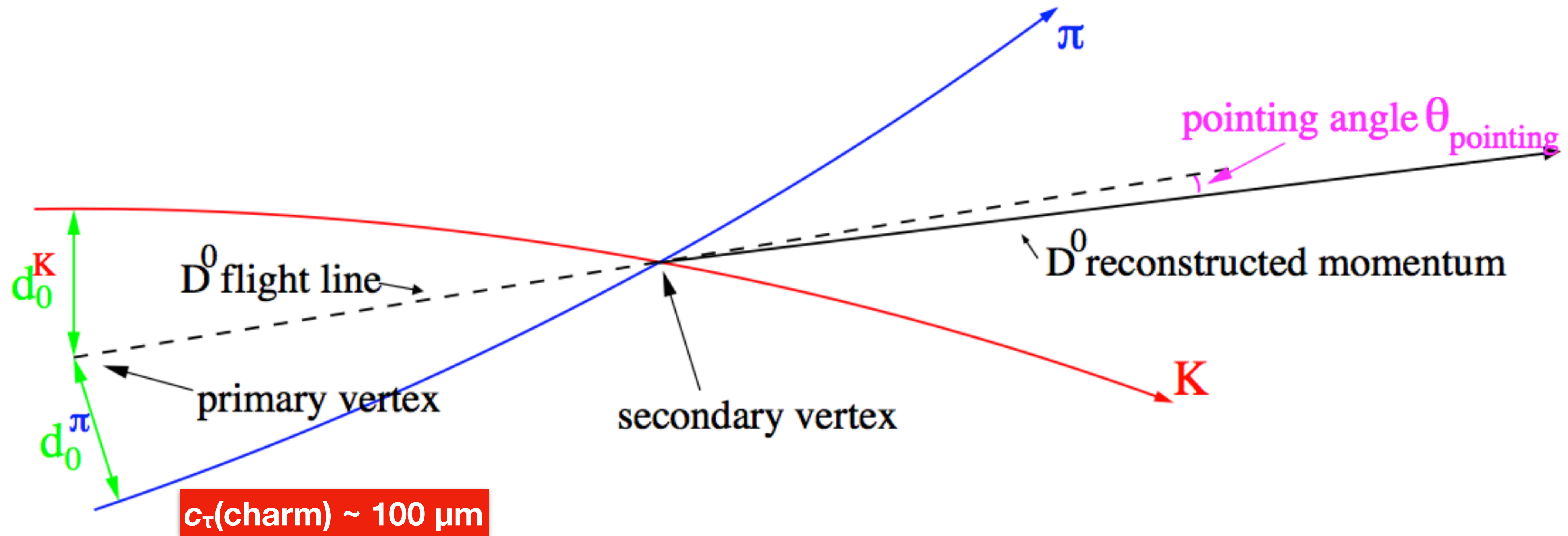
## Hadronic decays ( $|y| < 0.8$ )

- $D^0 \rightarrow K^- \pi^+$
- $D^+ \rightarrow K^- \pi^+ \pi^+$
- $D^{*+} \rightarrow D^0 \pi^+ \rightarrow K^- \pi^+ \pi^+$
- $D_s^+ \rightarrow \phi \pi^+ \rightarrow K^- K^+ \pi^+$
- $\Lambda_c^+ \rightarrow p K^- \pi^+$
- $\Sigma_c^{0,++} \rightarrow \Lambda_c^+ \pi^\mp$
- $\Xi_c^{0(+)} \rightarrow \Xi^- \pi^+ (\pi^+)$
- $\Omega_c^+ \rightarrow \Omega^0 \pi^+$

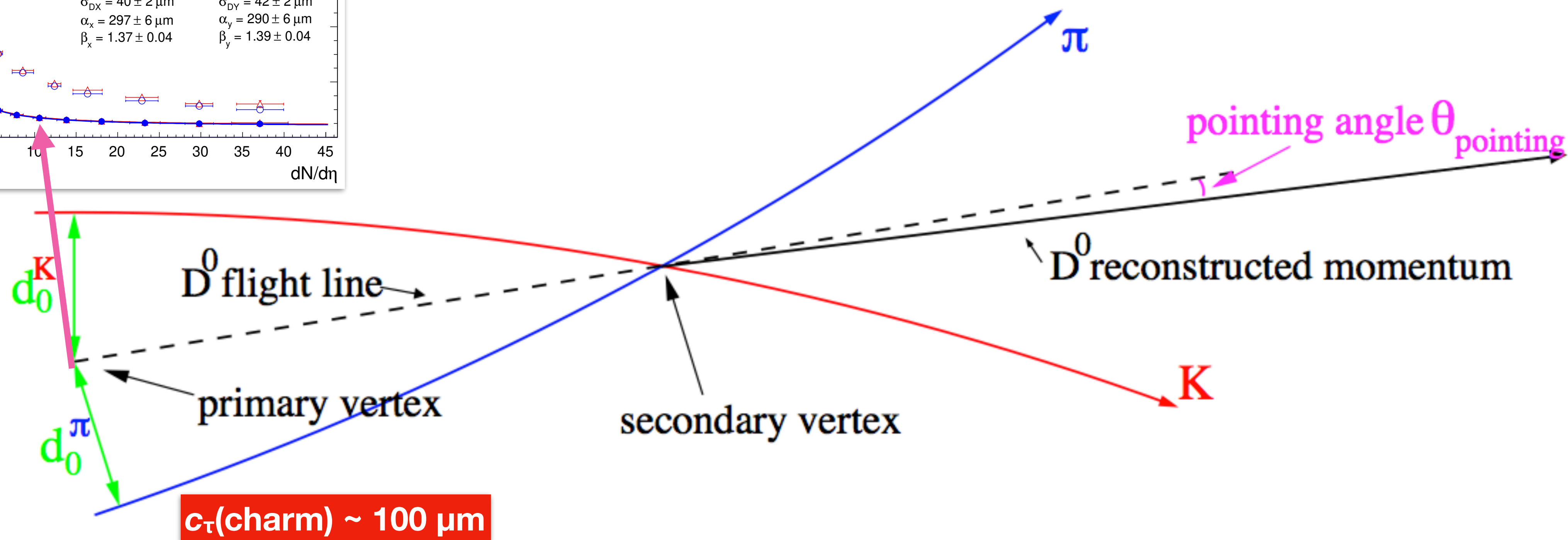
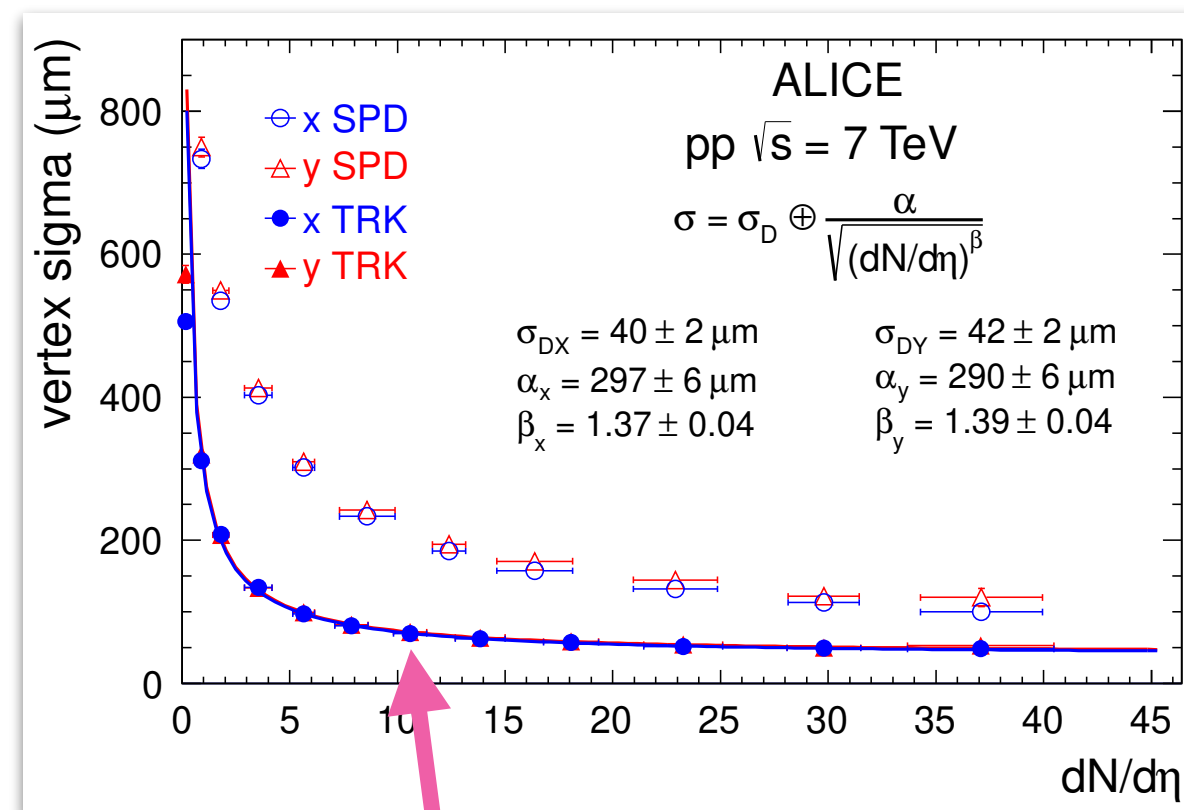
## Semi-leptonic decays

- $c, b \rightarrow e^\pm$  ( $|y| < 0.7$ )
- $c, b \rightarrow \mu^\pm$  ( $2.5 < y < 4$ )

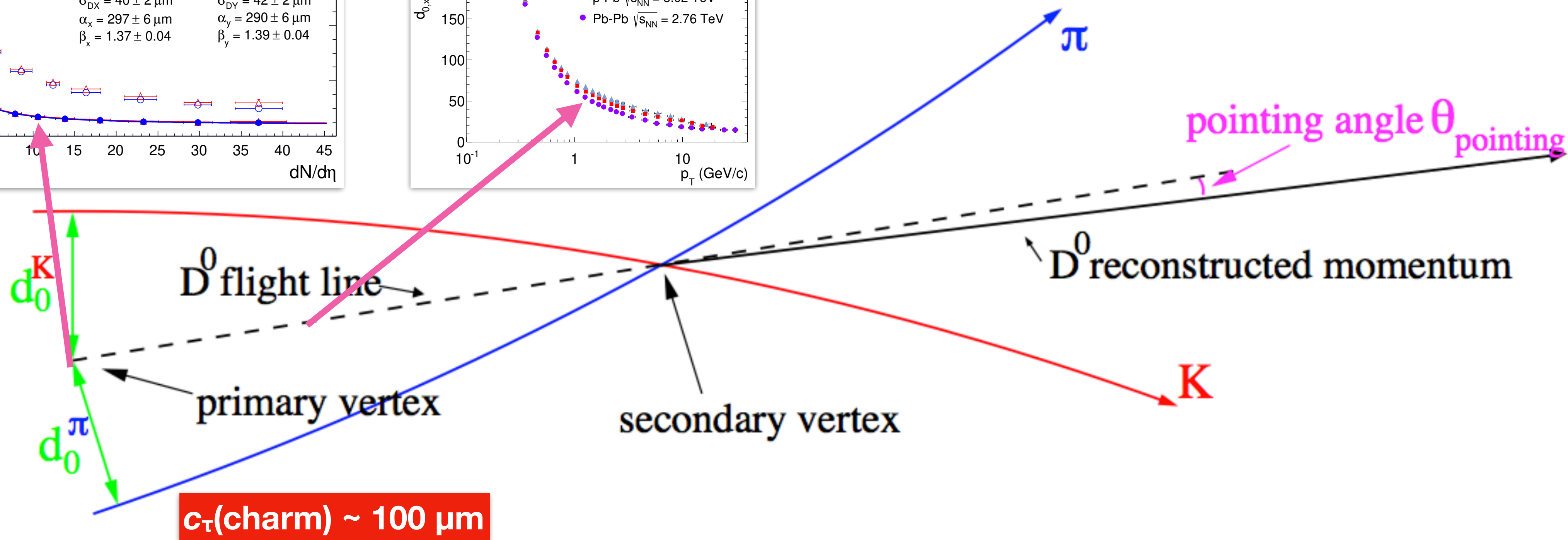
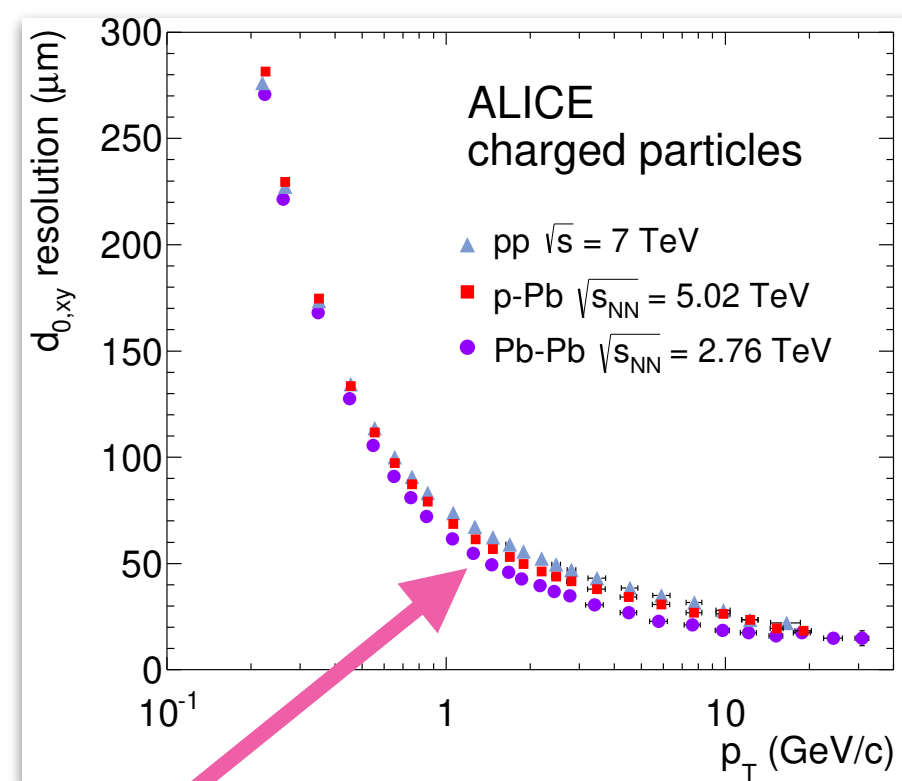
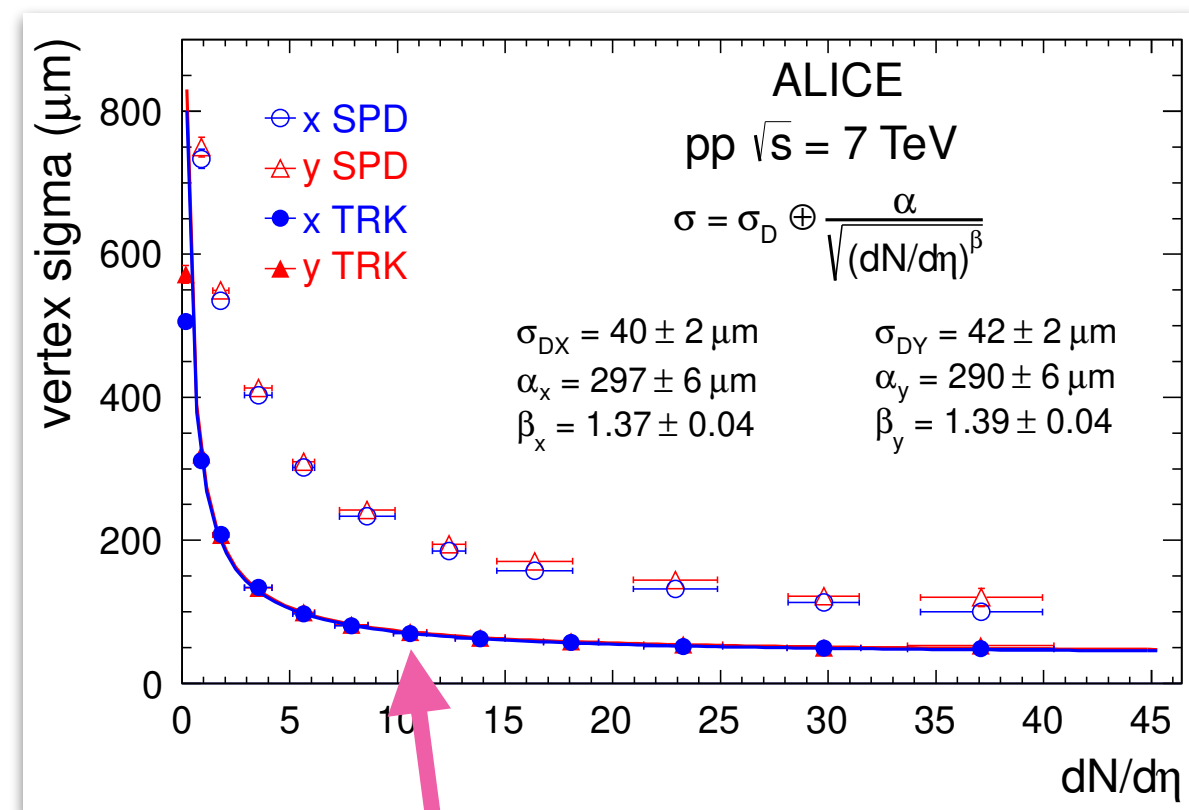
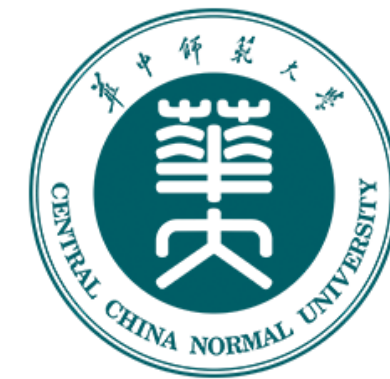
# $D^0 \rightarrow K^- \pi^+$ reconstruction



# $D^0 \rightarrow K^- \pi^+$ reconstruction

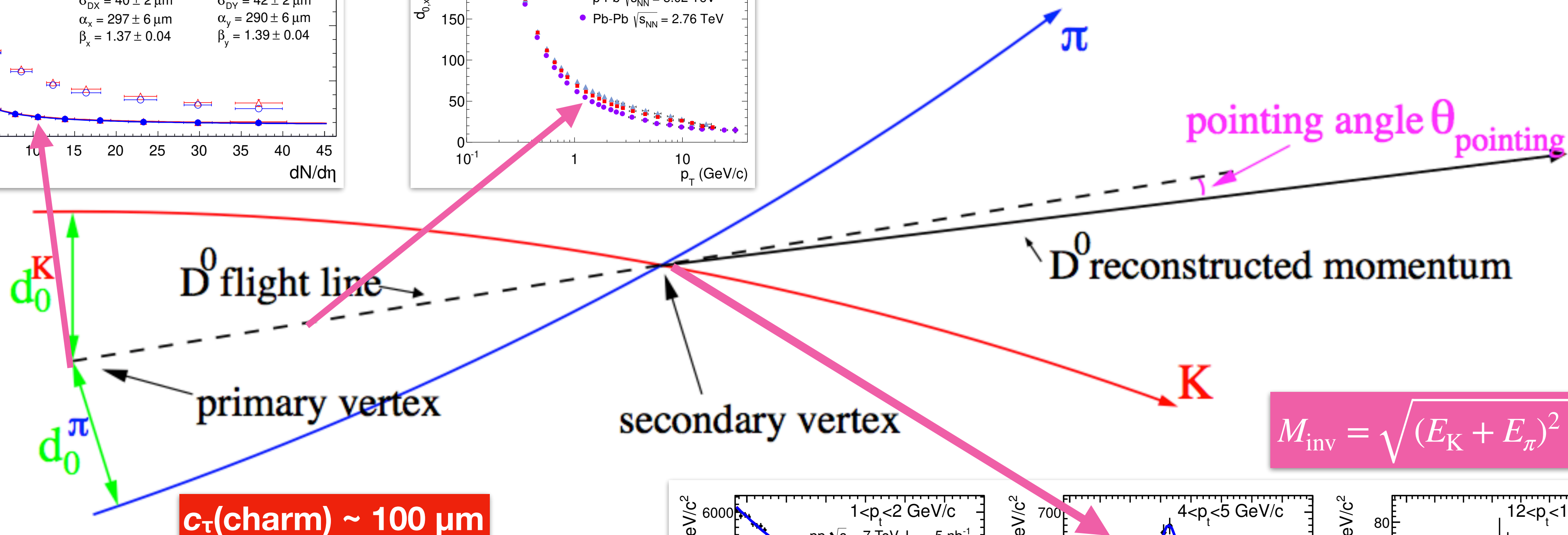
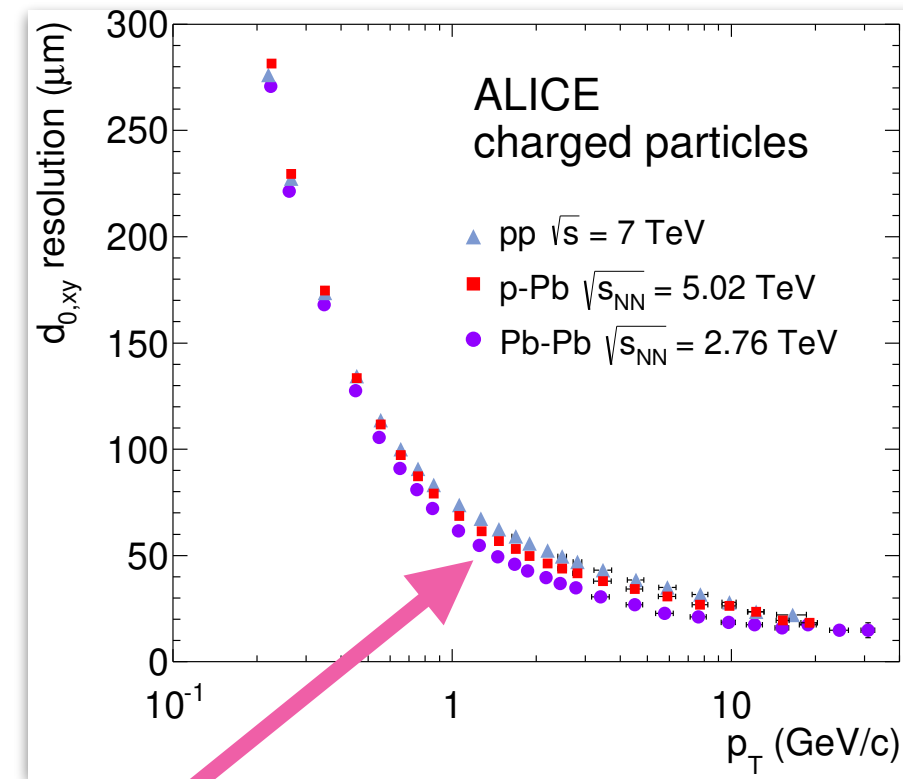
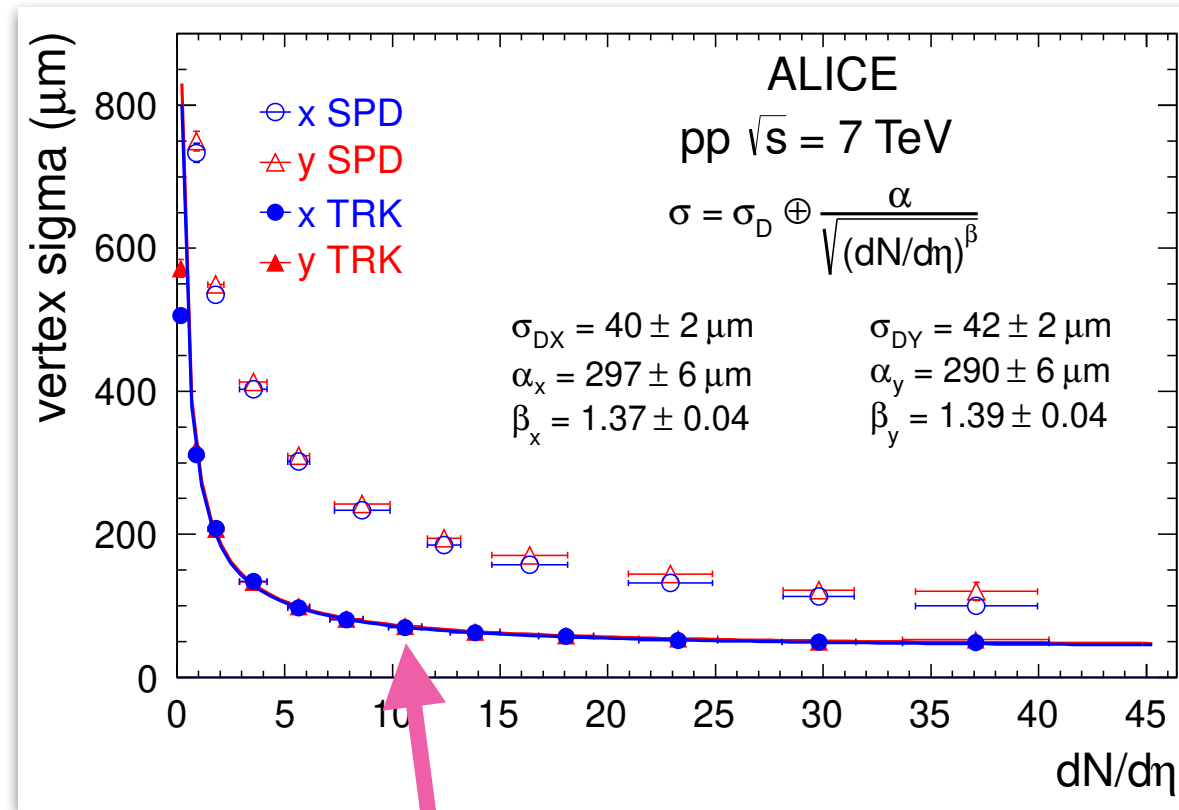


# D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup> reconstruction

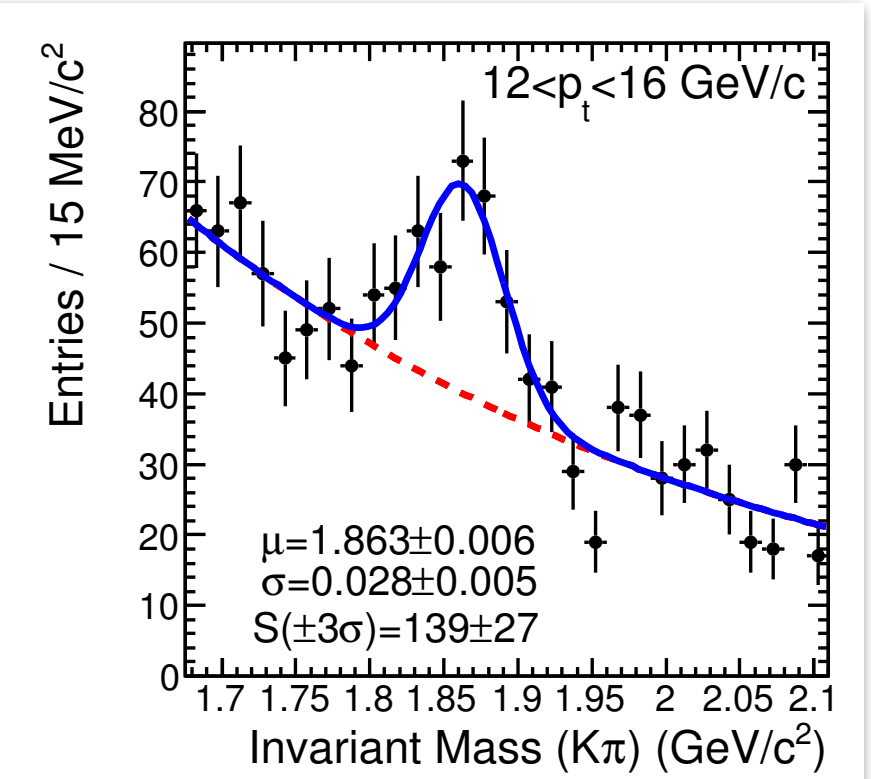
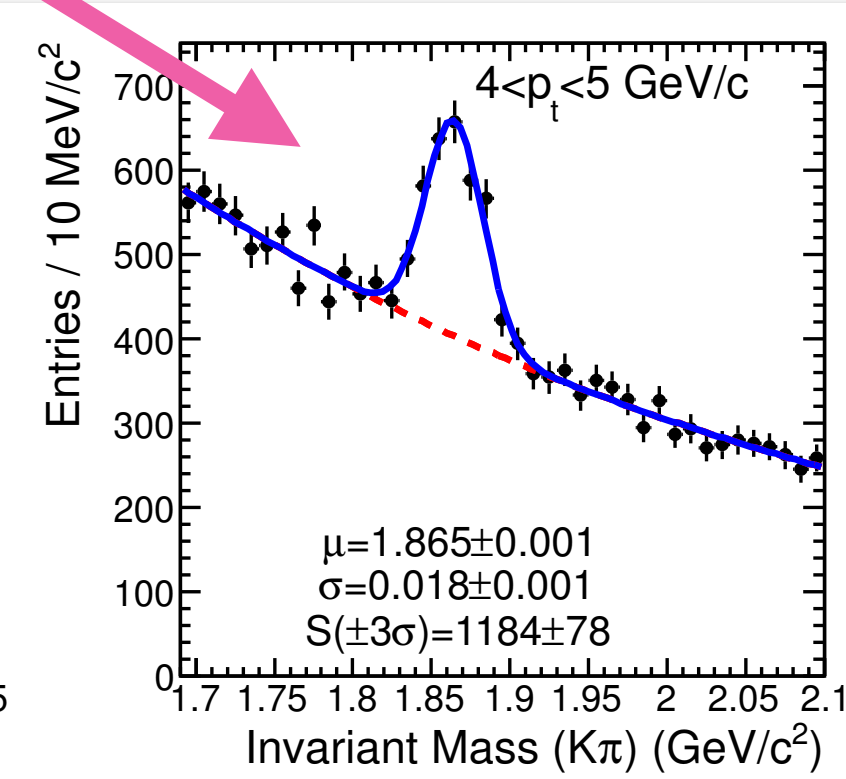
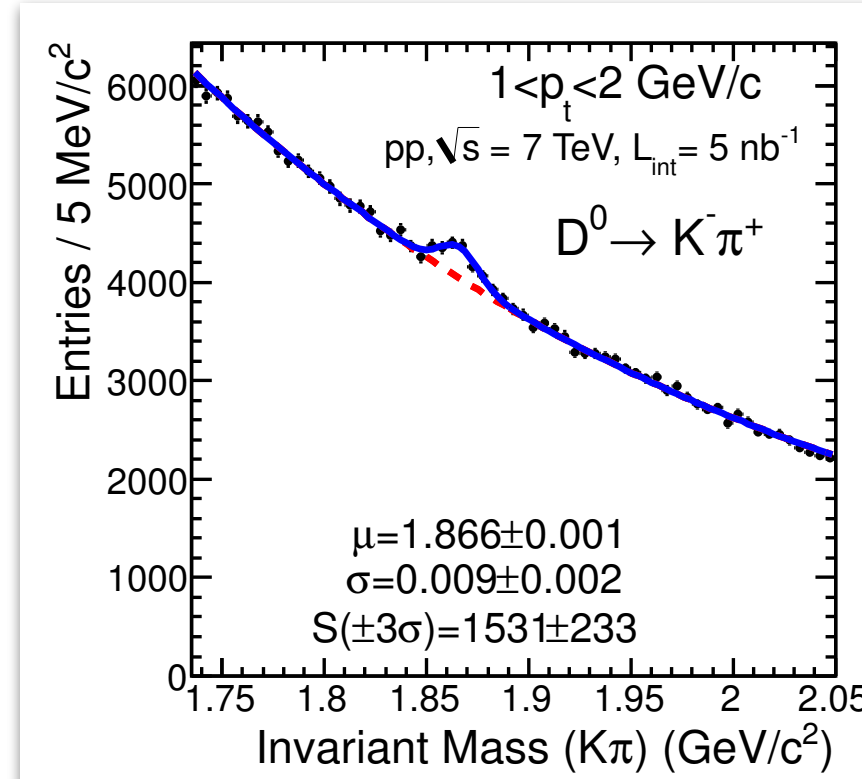




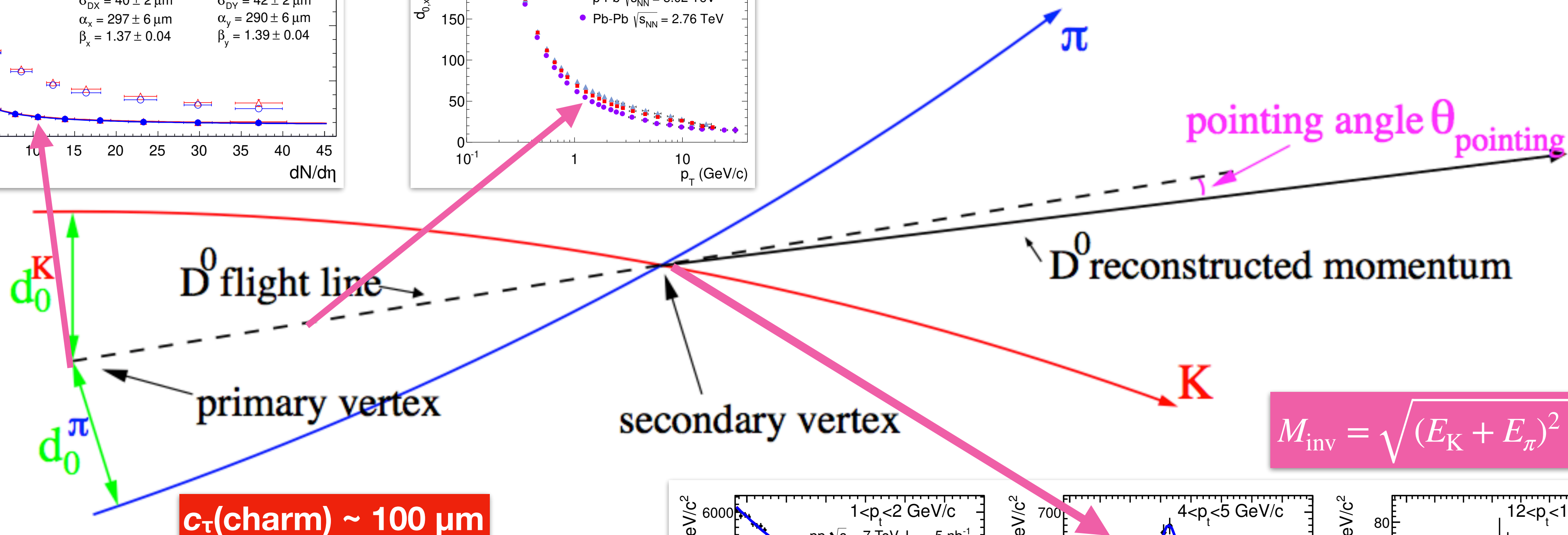
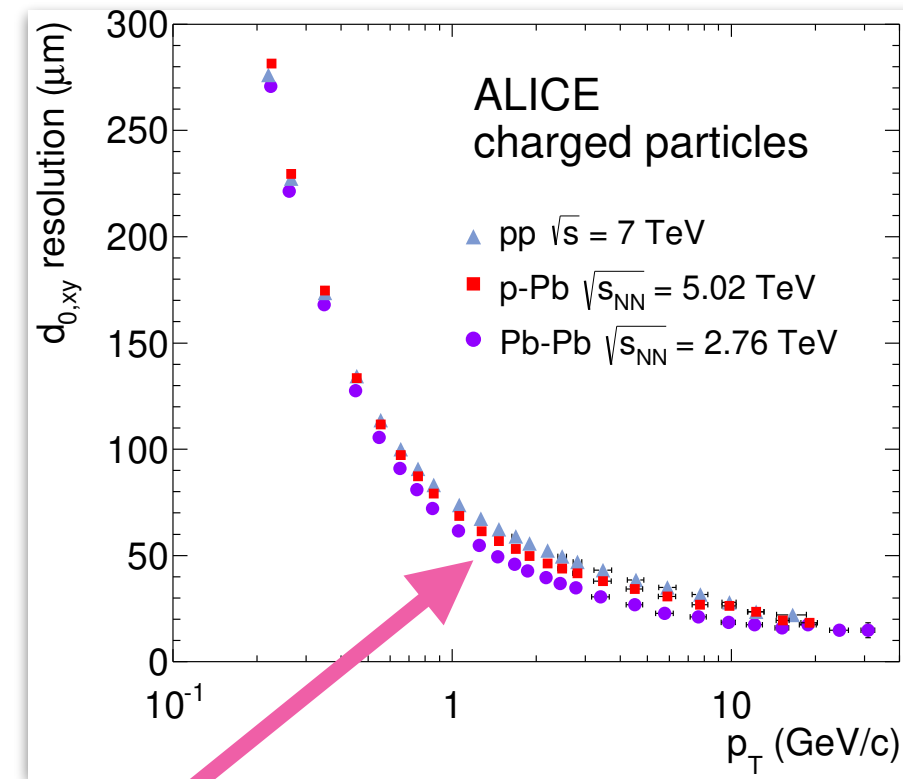
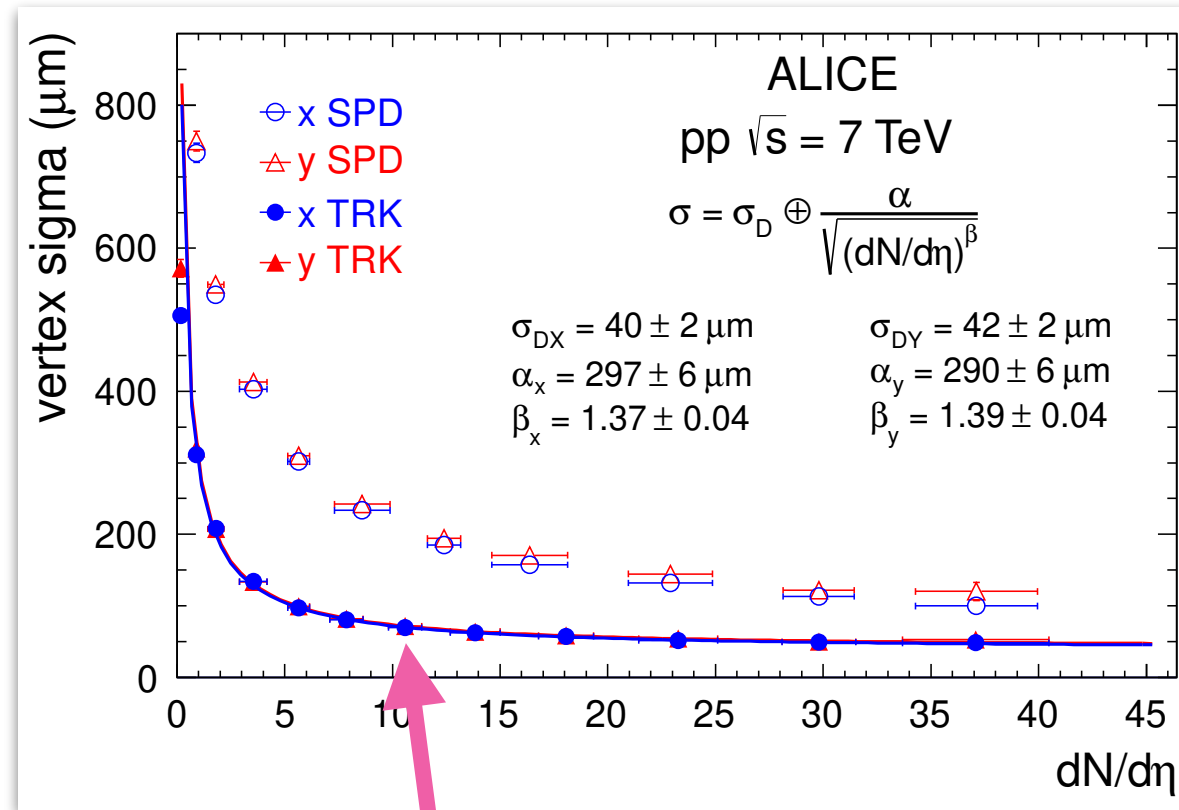
# D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup> reconstruction



$$M_{\text{inv}} = \sqrt{(E_K + E_\pi)^2 - (p_K + p_\pi)^2}$$

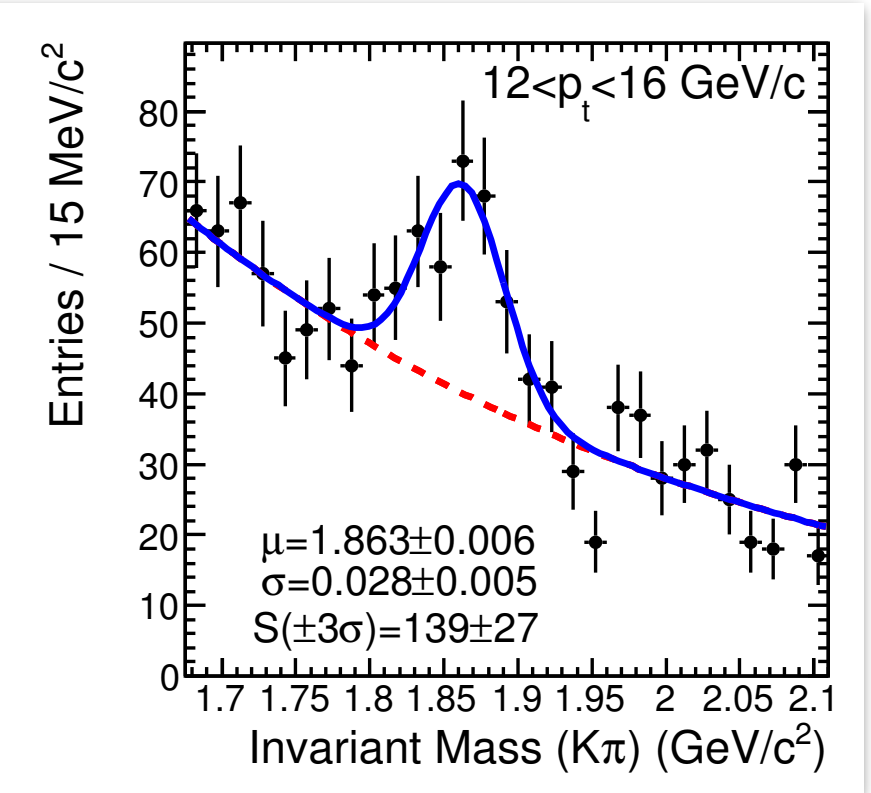
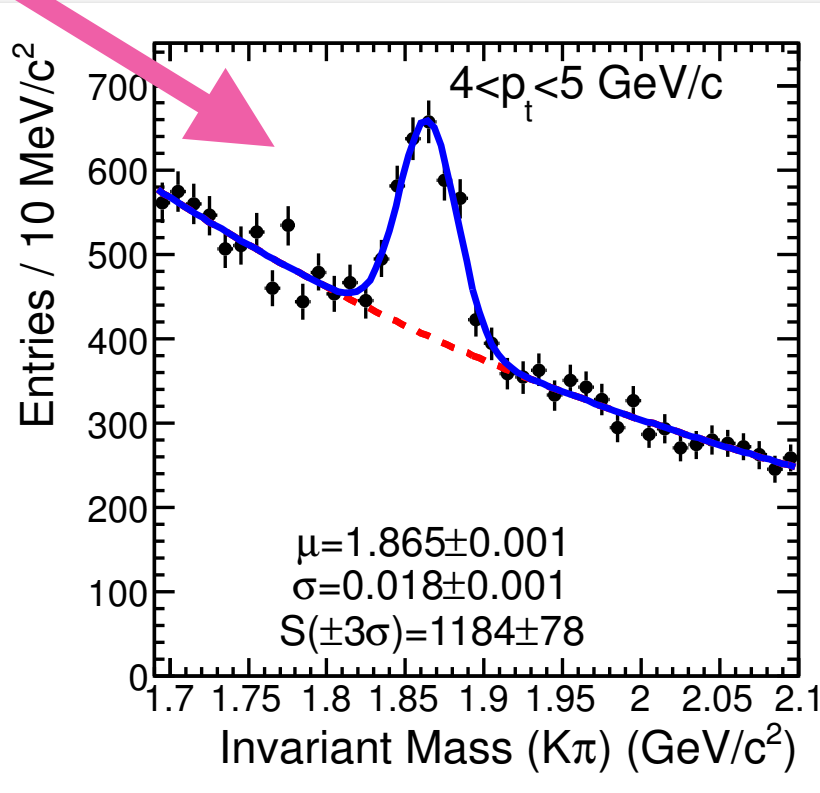
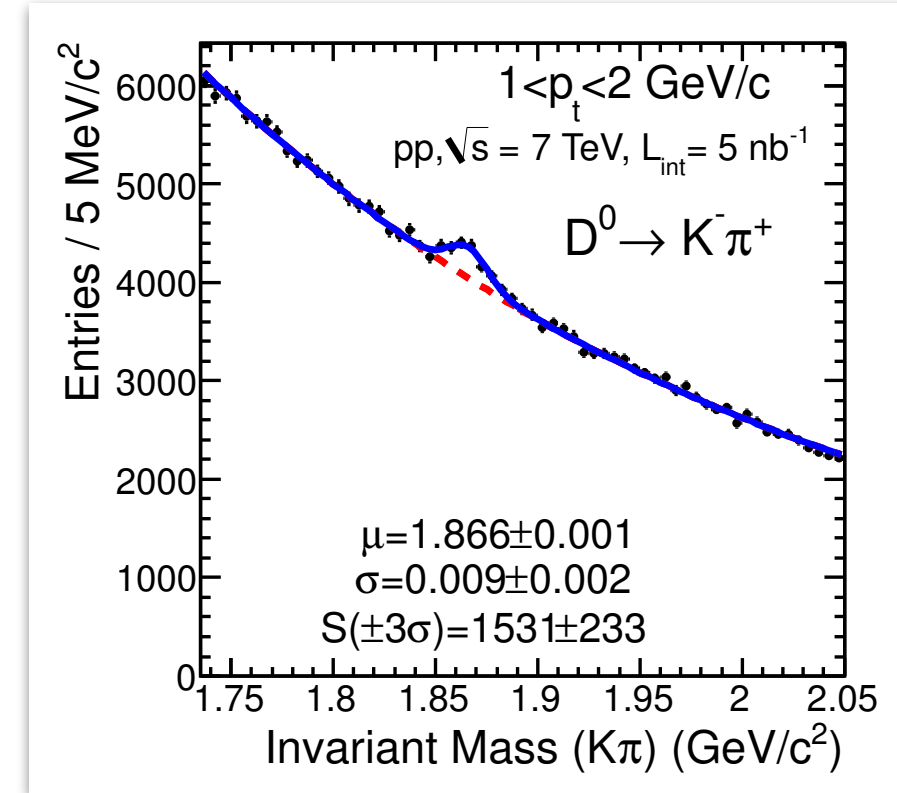


# D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup> reconstruction

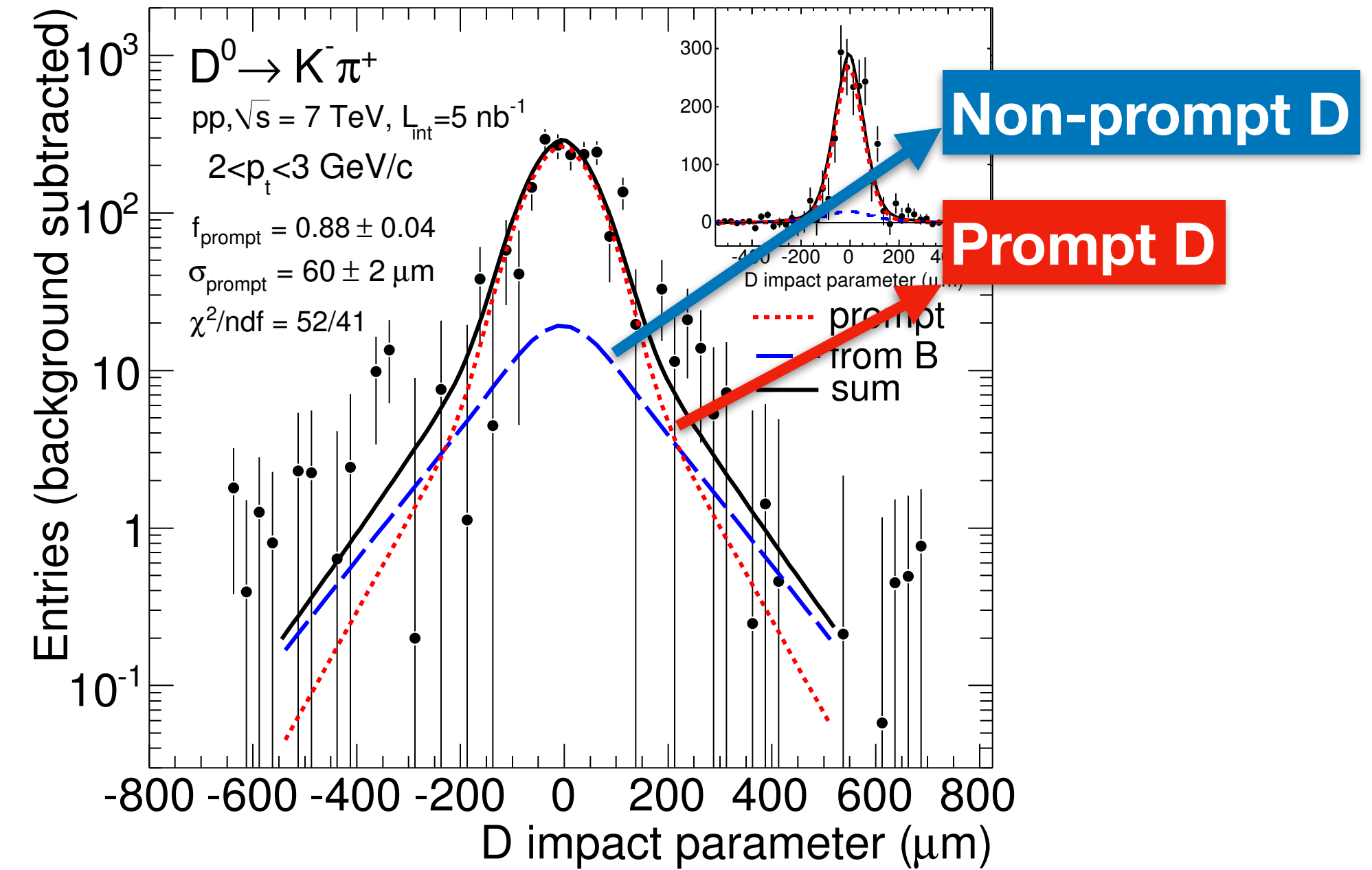
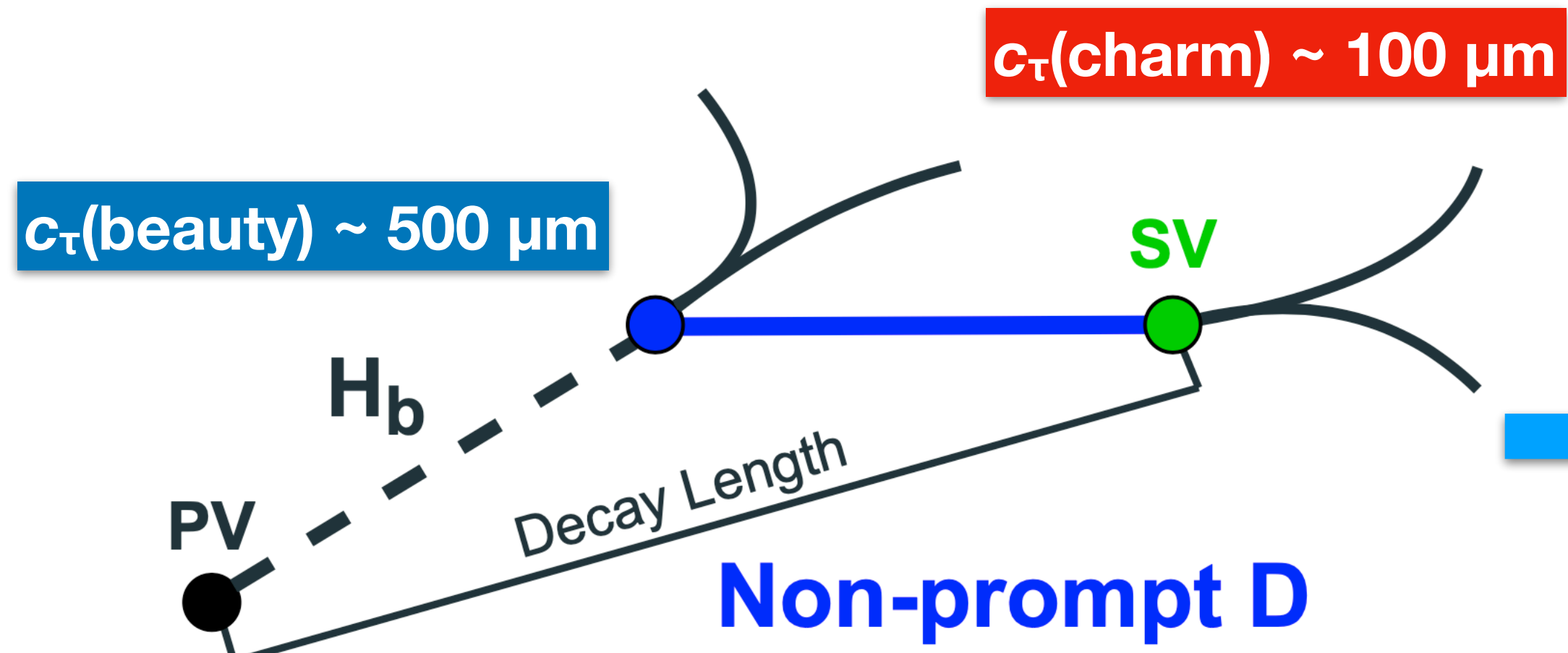


$$M_{\text{inv}} = \sqrt{(E_K + E_\pi)^2 - (p_K + p_\pi)^2}$$

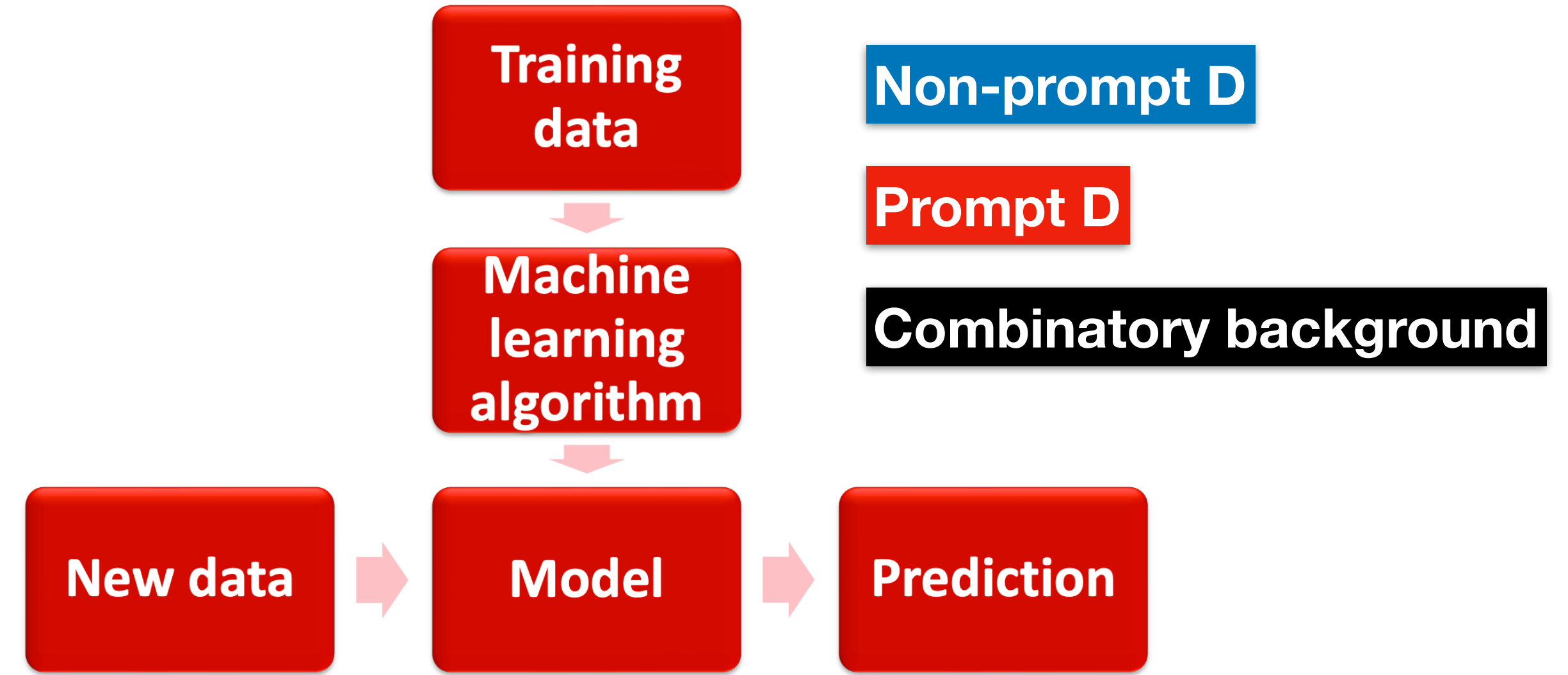
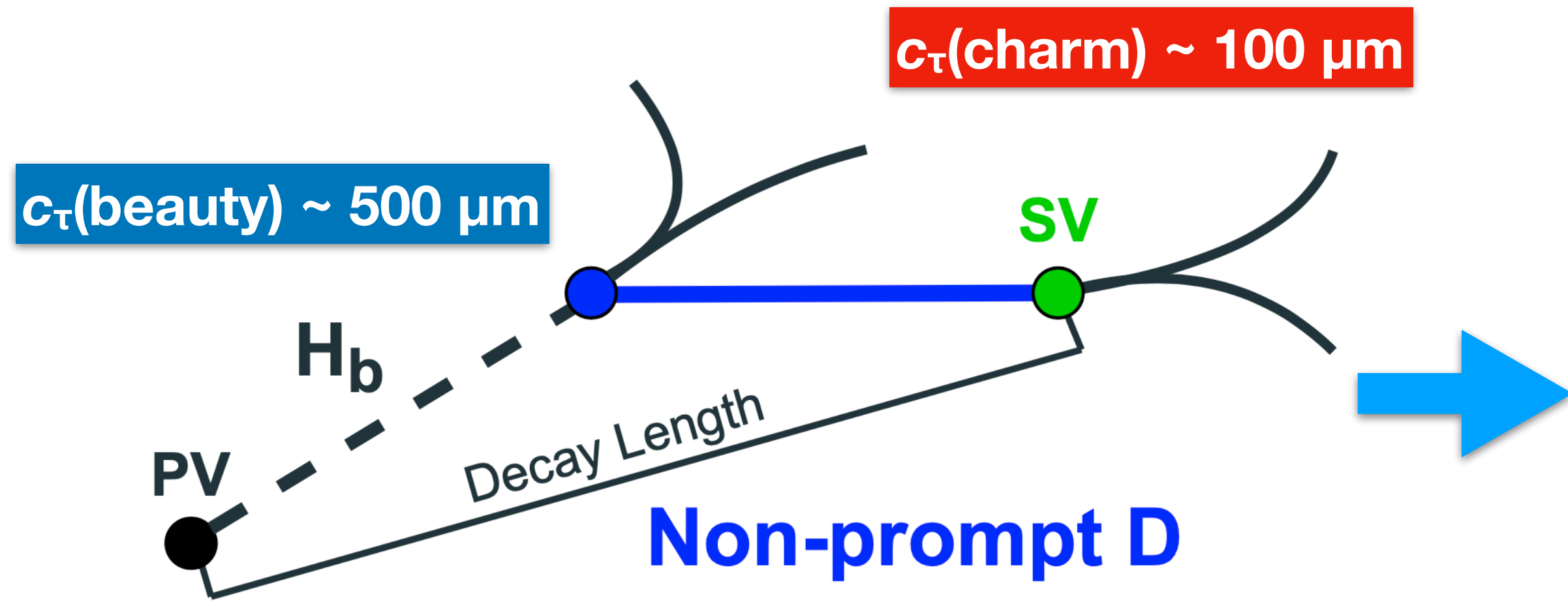
Similar procedure apply to  $D^\pm$ ,  $D^{*\pm}$  and  $D_s^\pm$



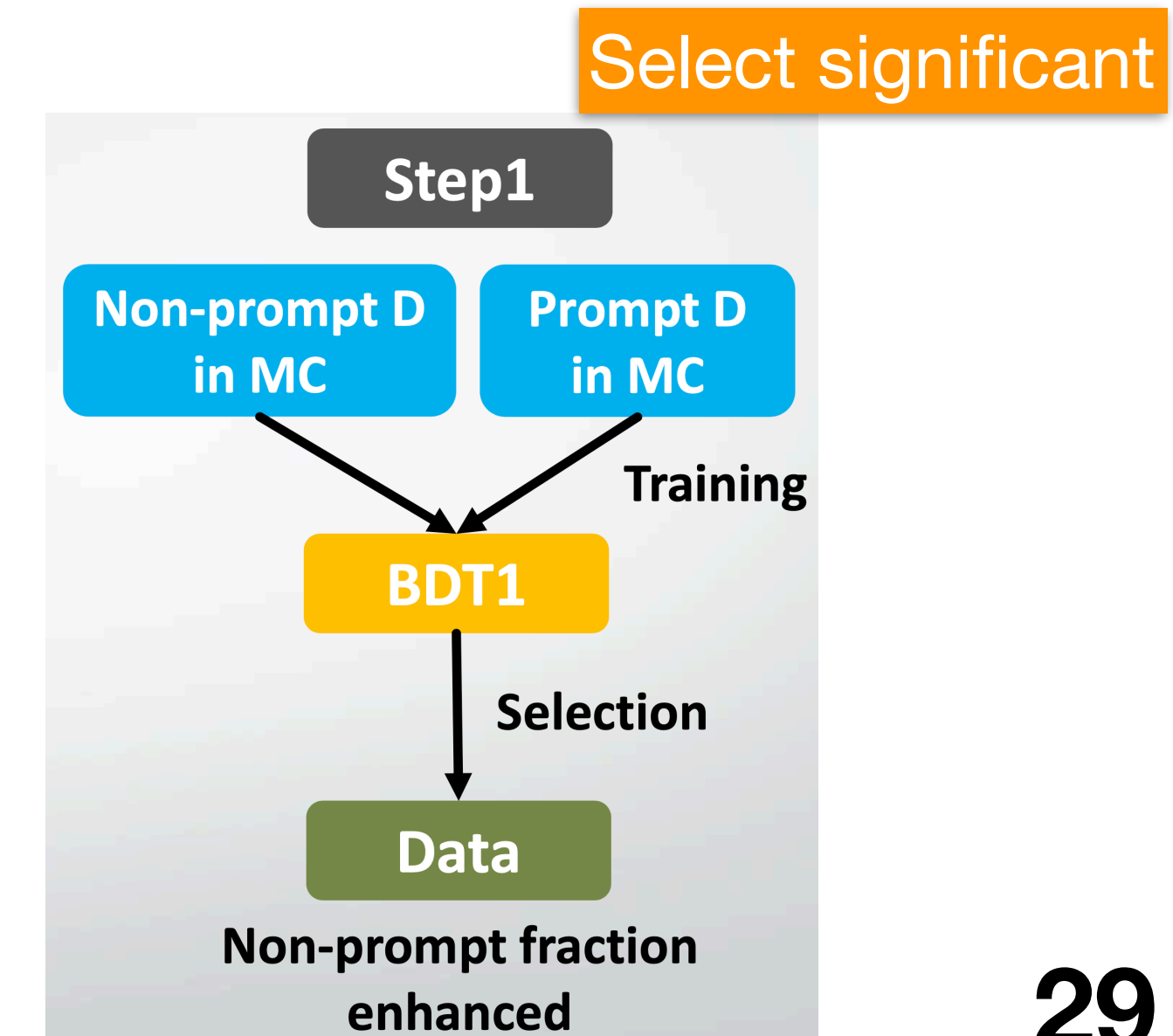
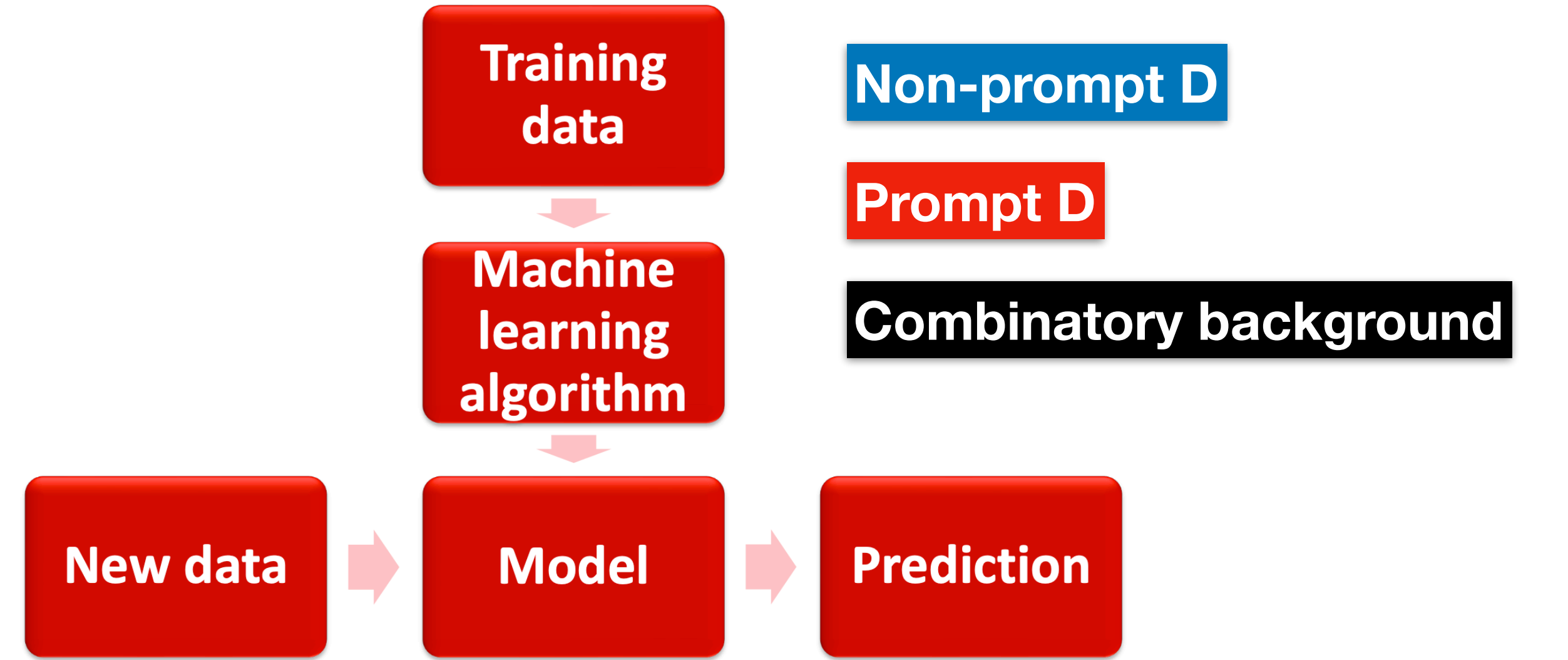
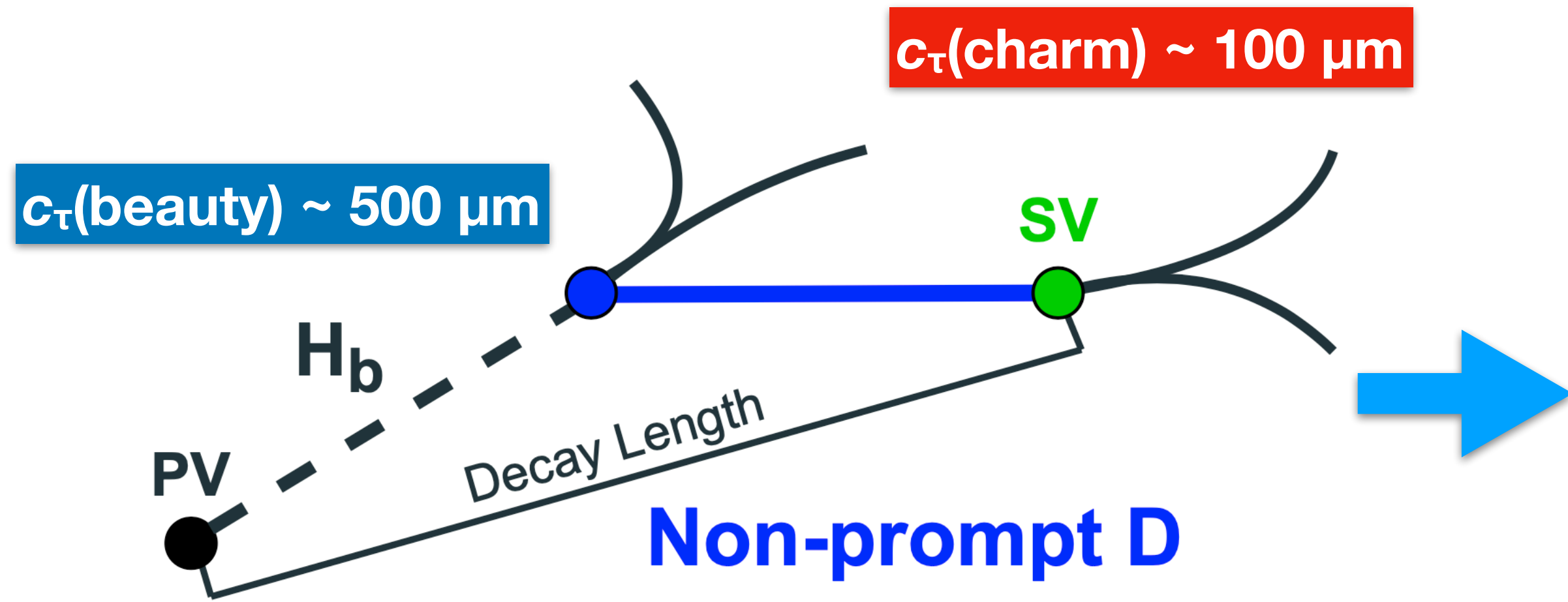
# Non-prompt $D^0$ signal extraction



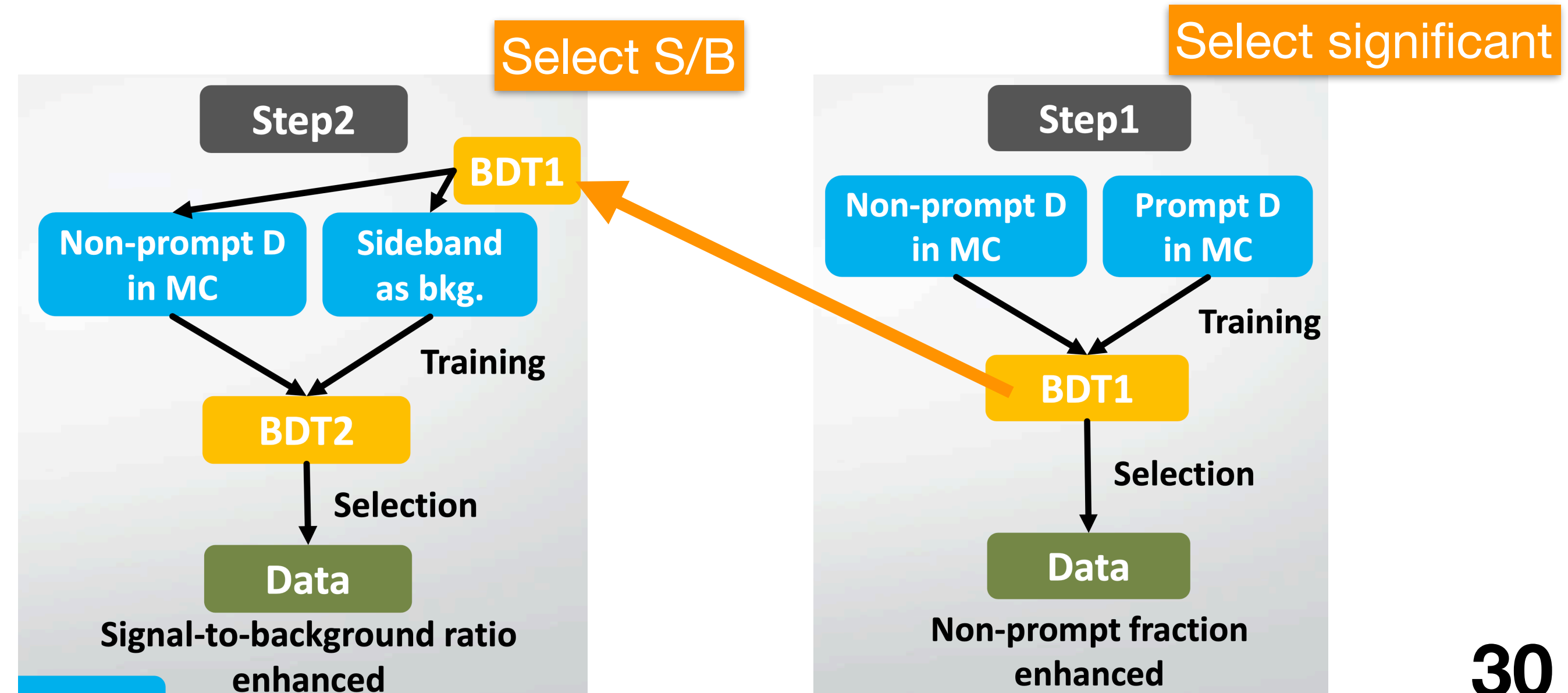
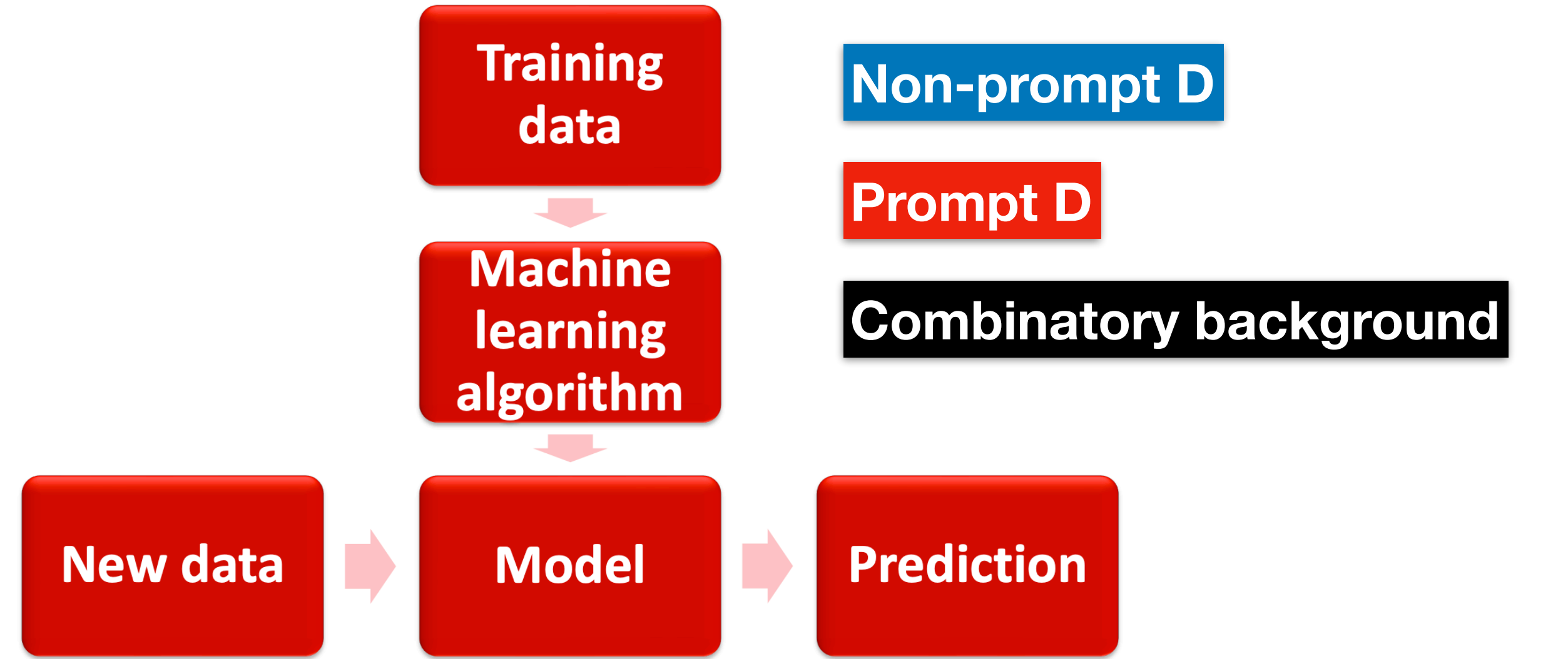
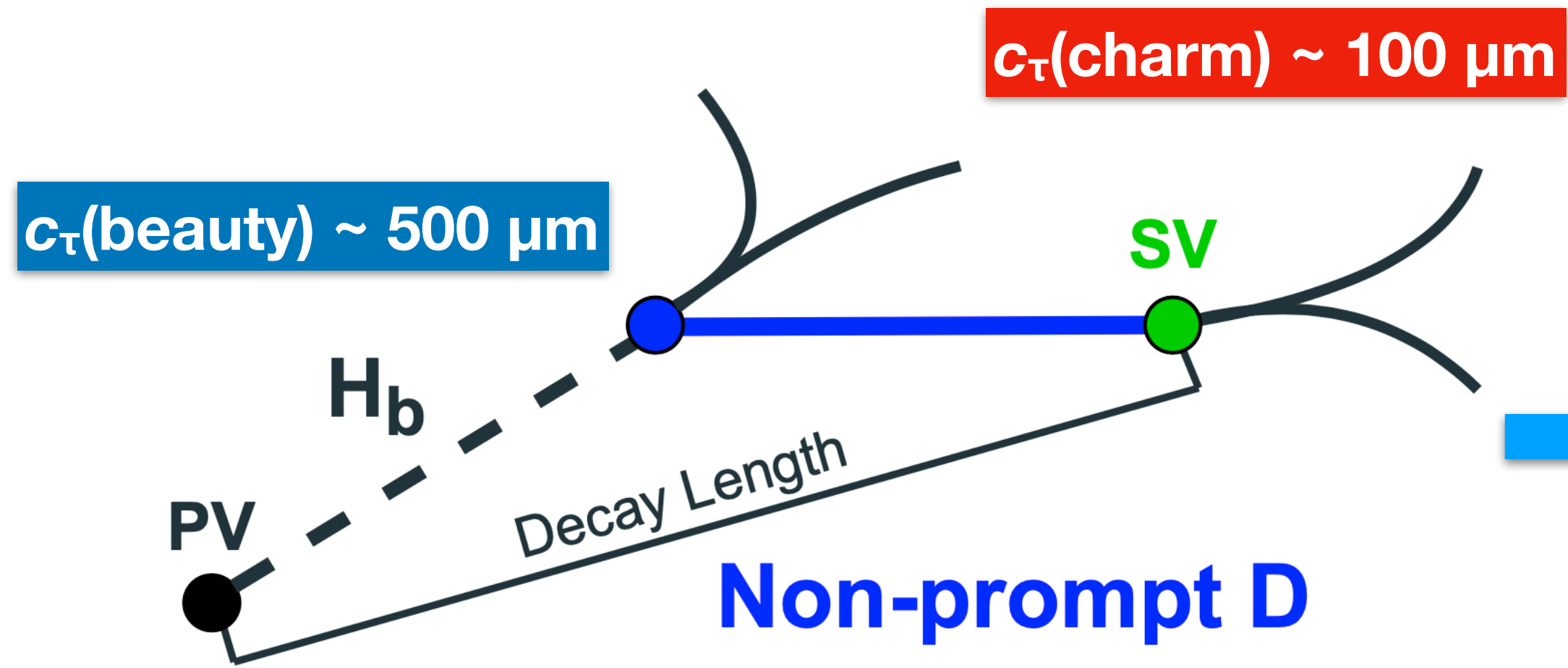
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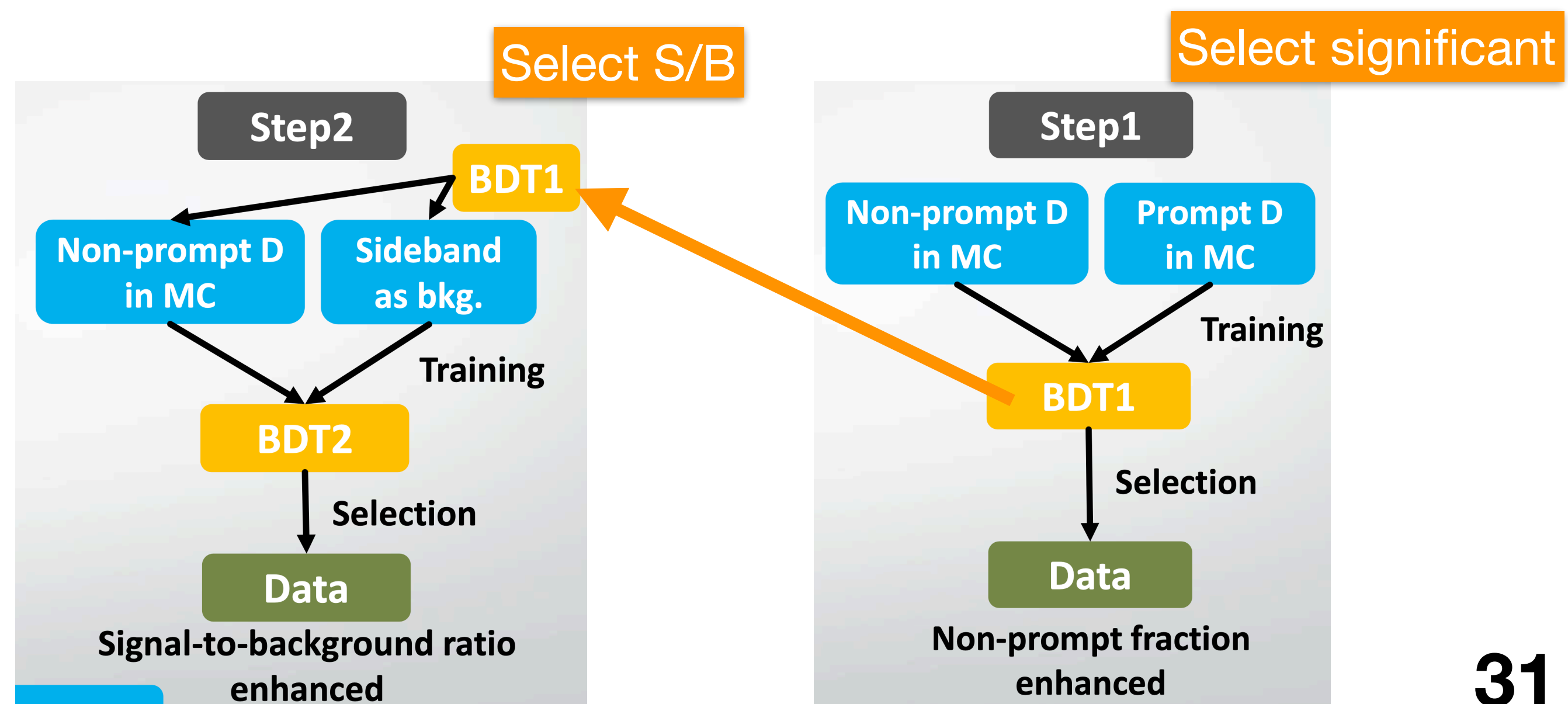
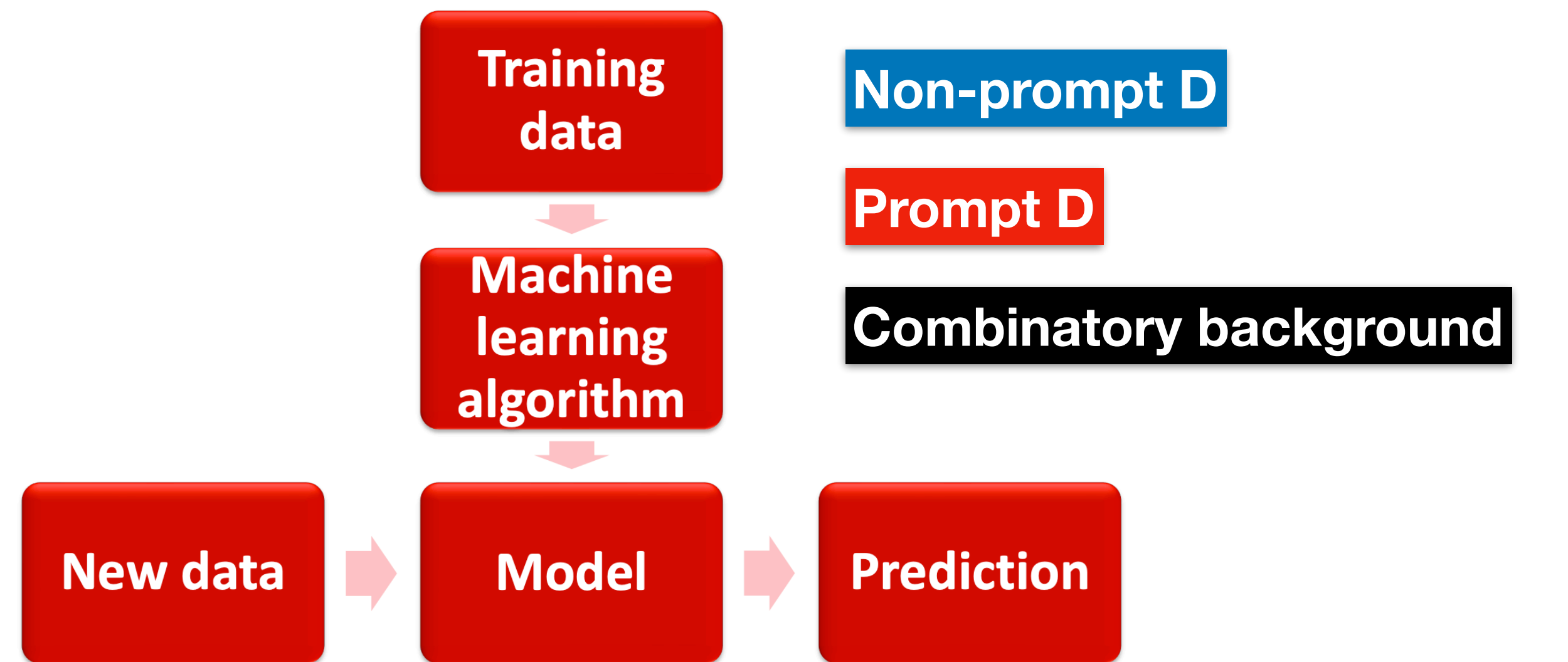
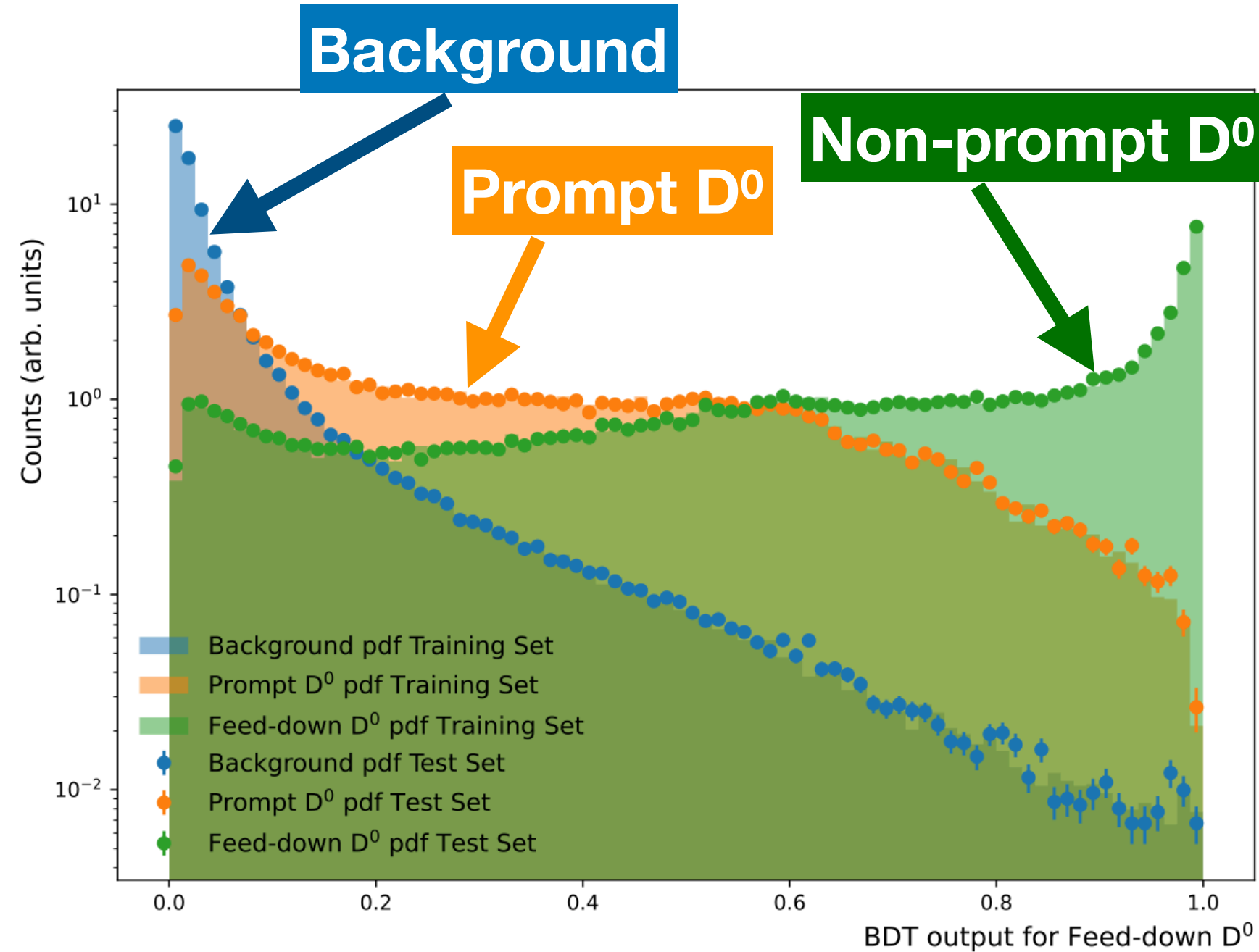
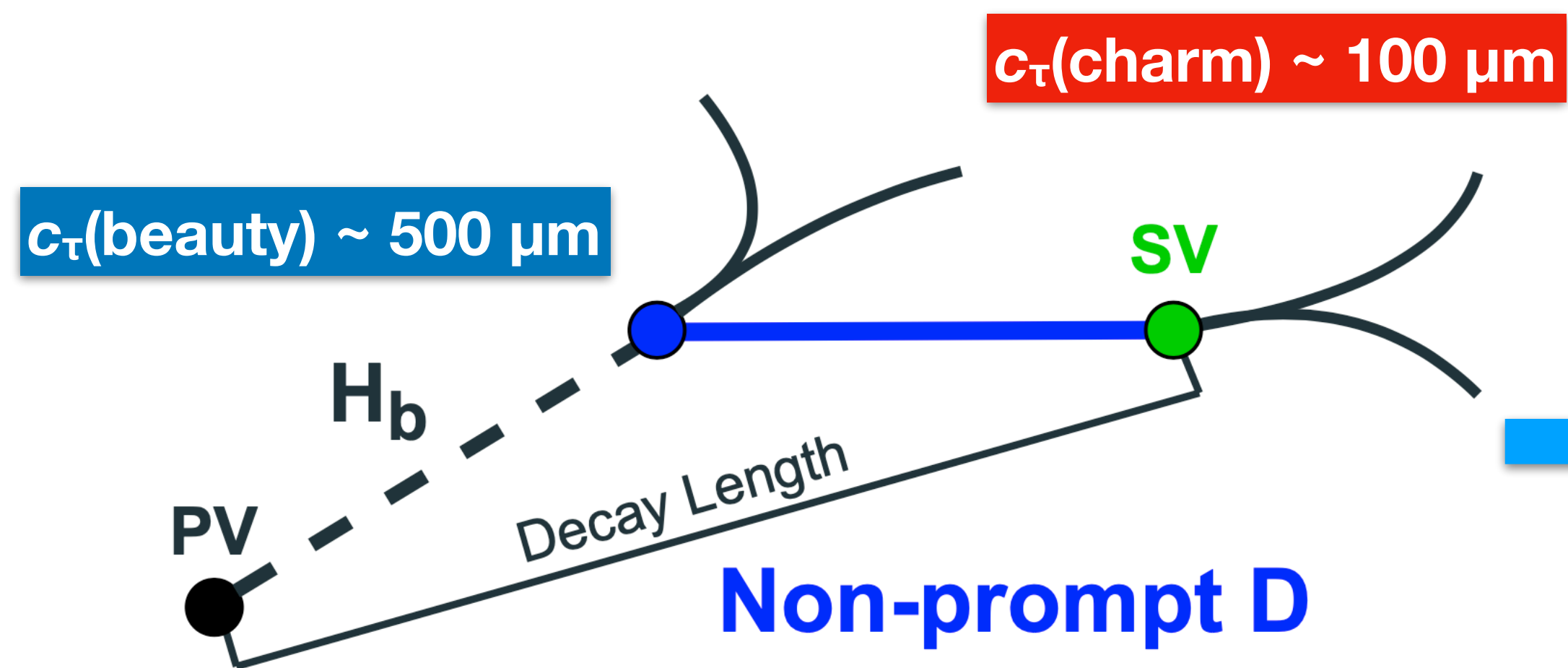
# Non-prompt $D^0$ signal extraction



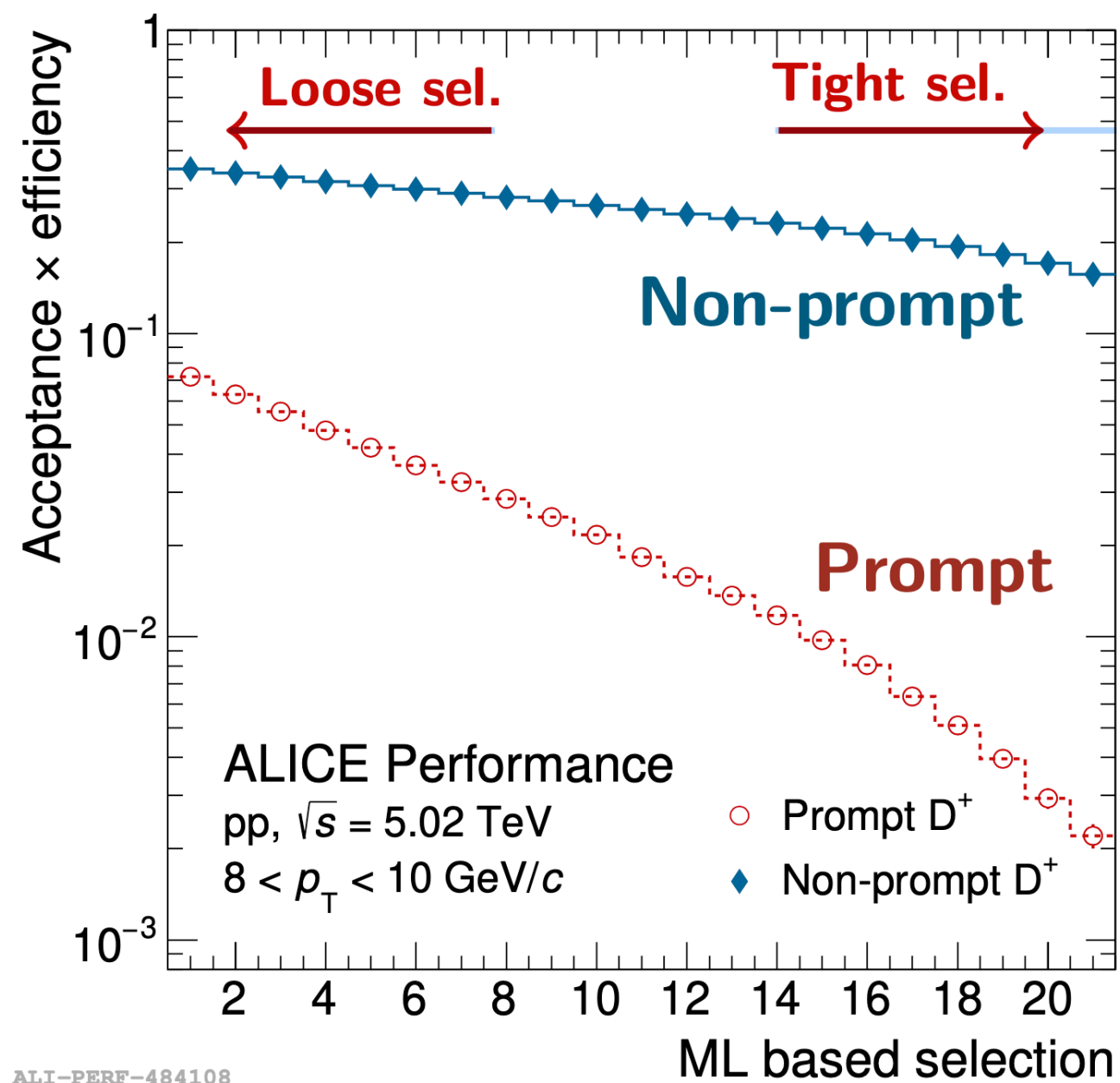
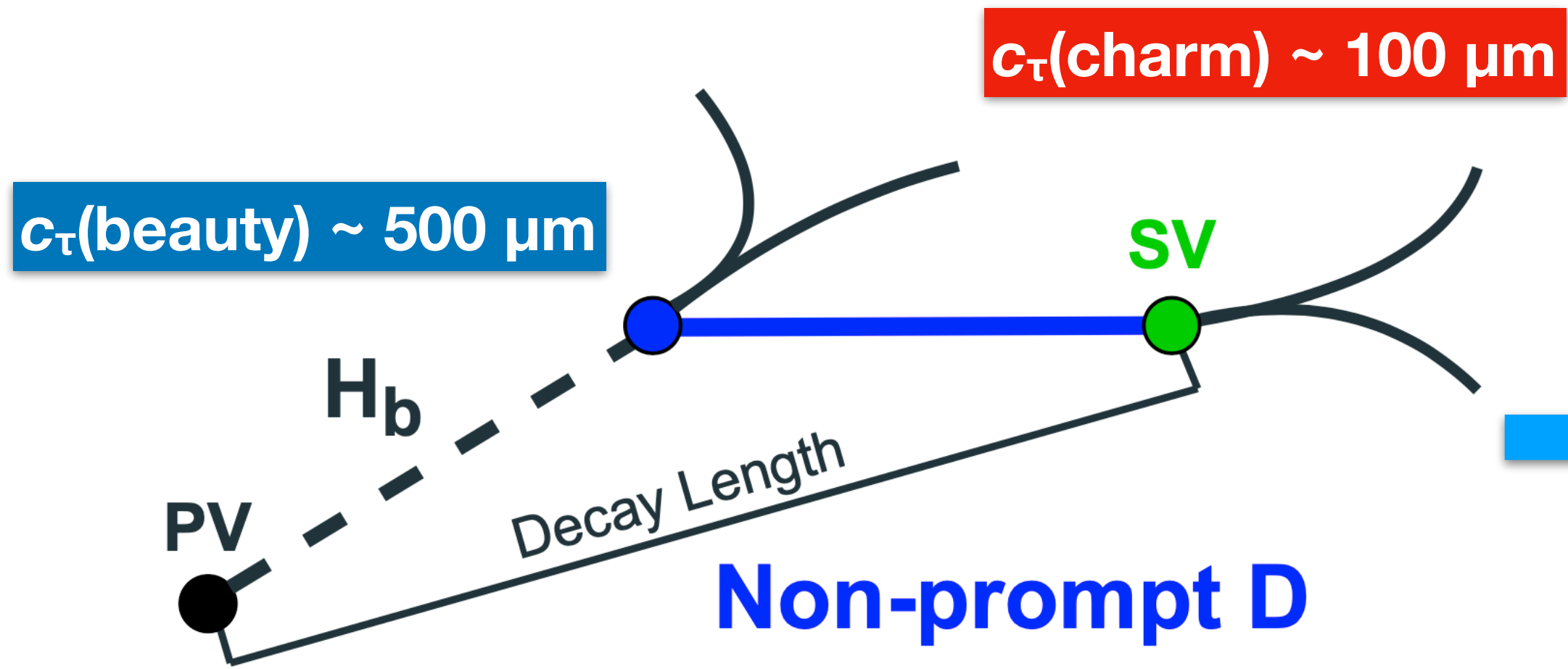
# Non-prompt $D^0$ signal extraction



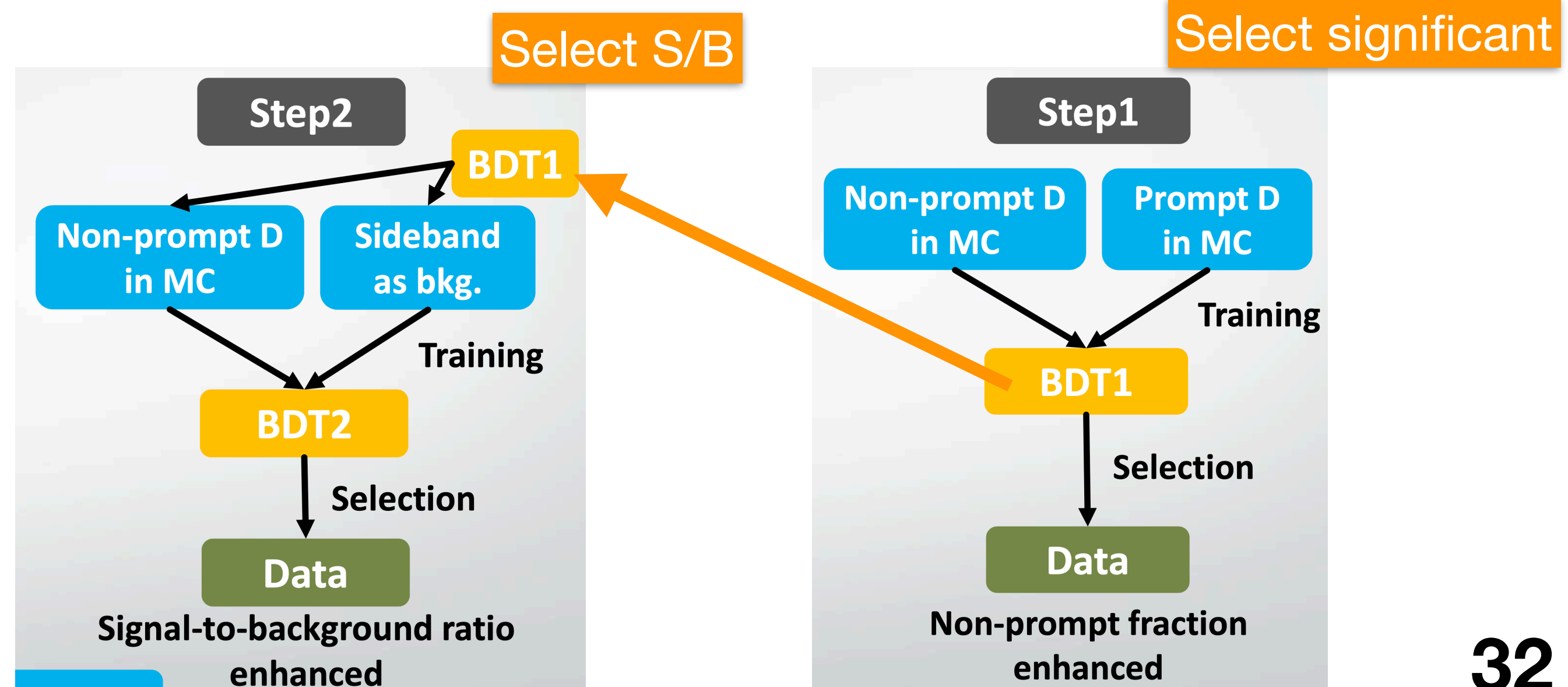
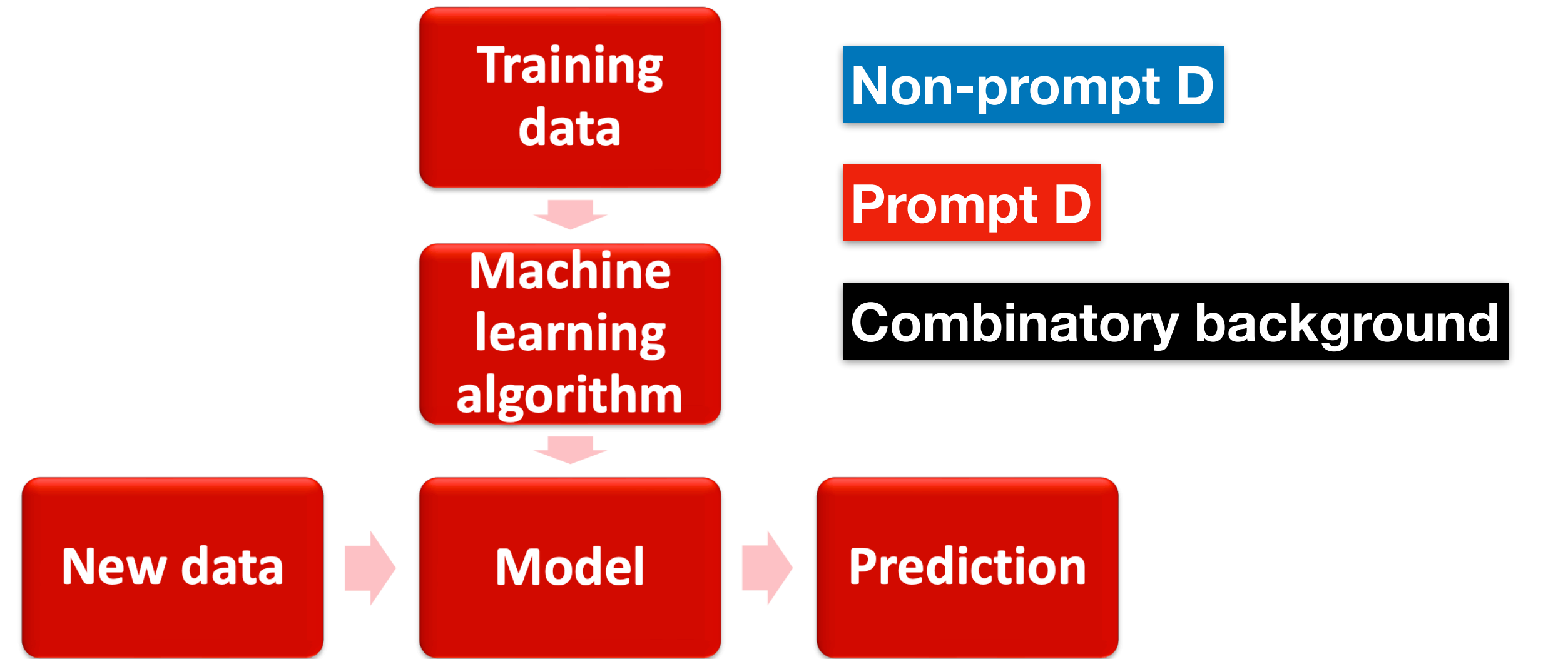
# Non-prompt $D^0$ signal extraction



# Non-prompt $D^0$ signal extraction

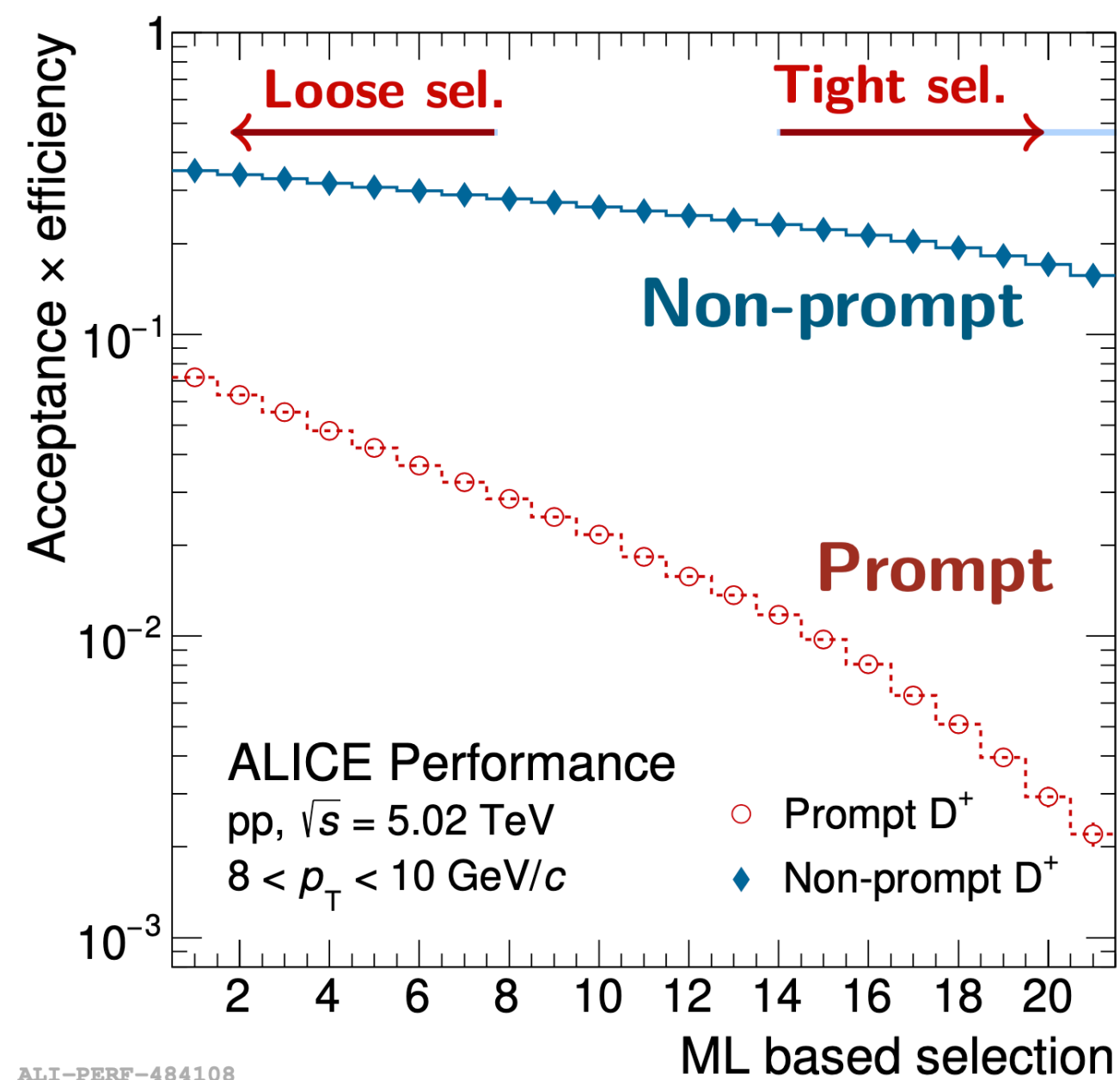
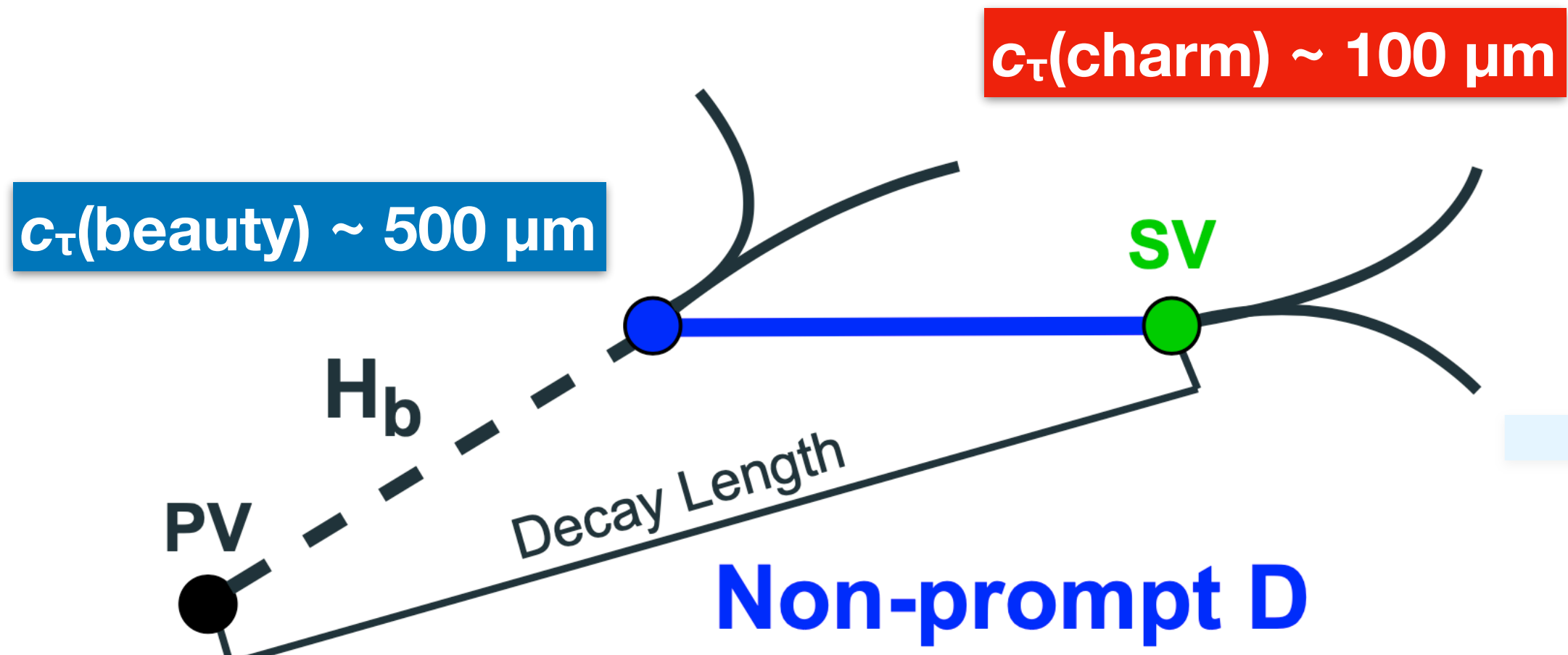


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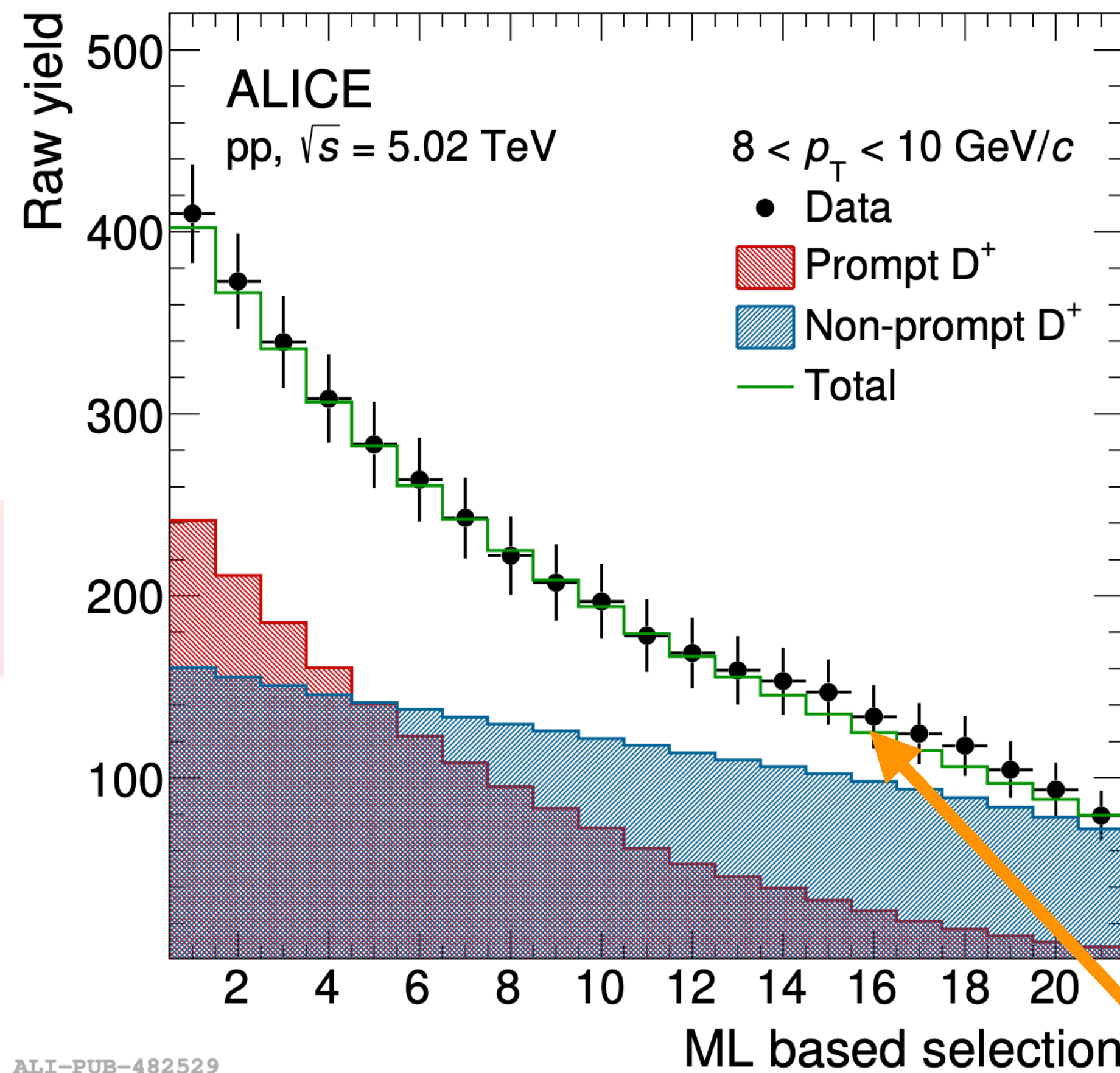




# Non-prompt $D^0$ signal extraction



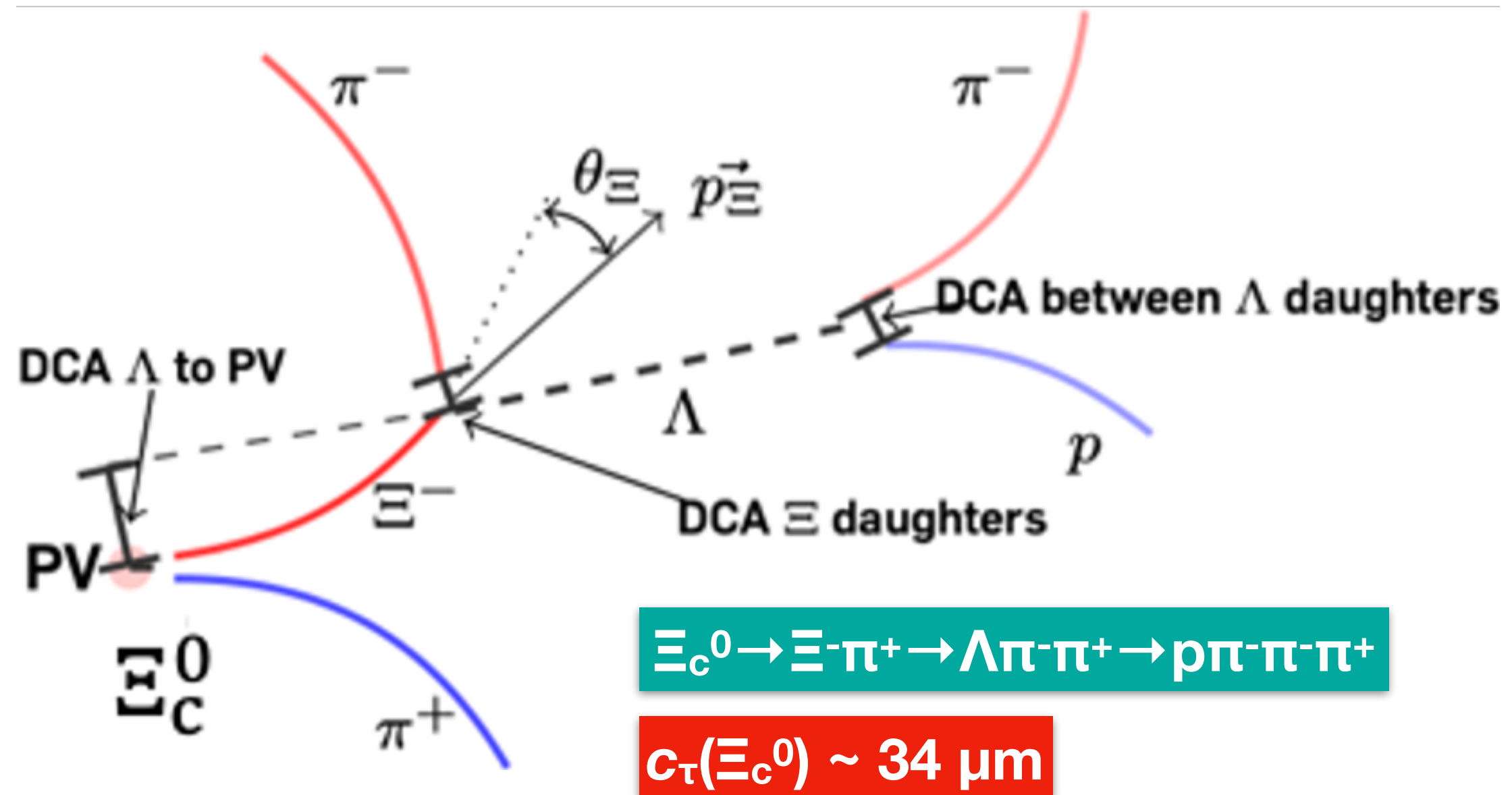
ALI-PERF-484108



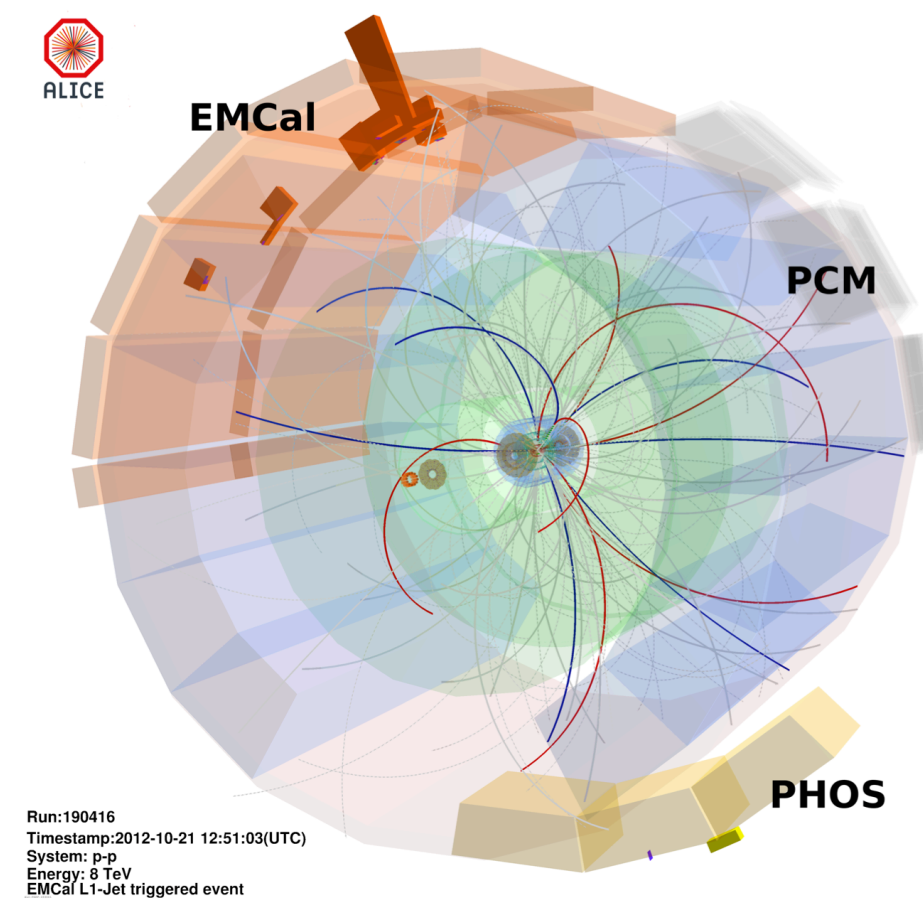
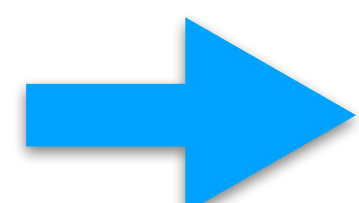
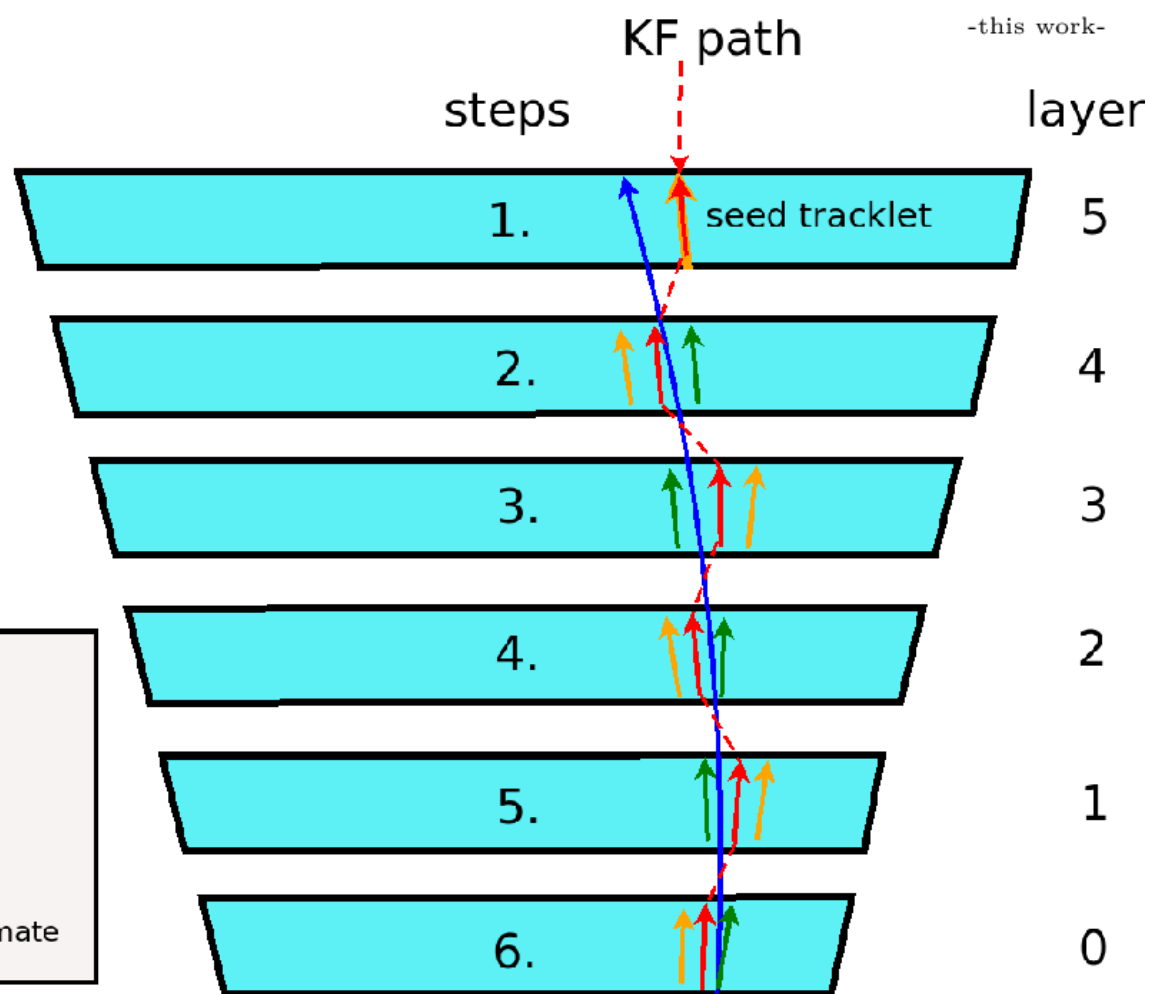
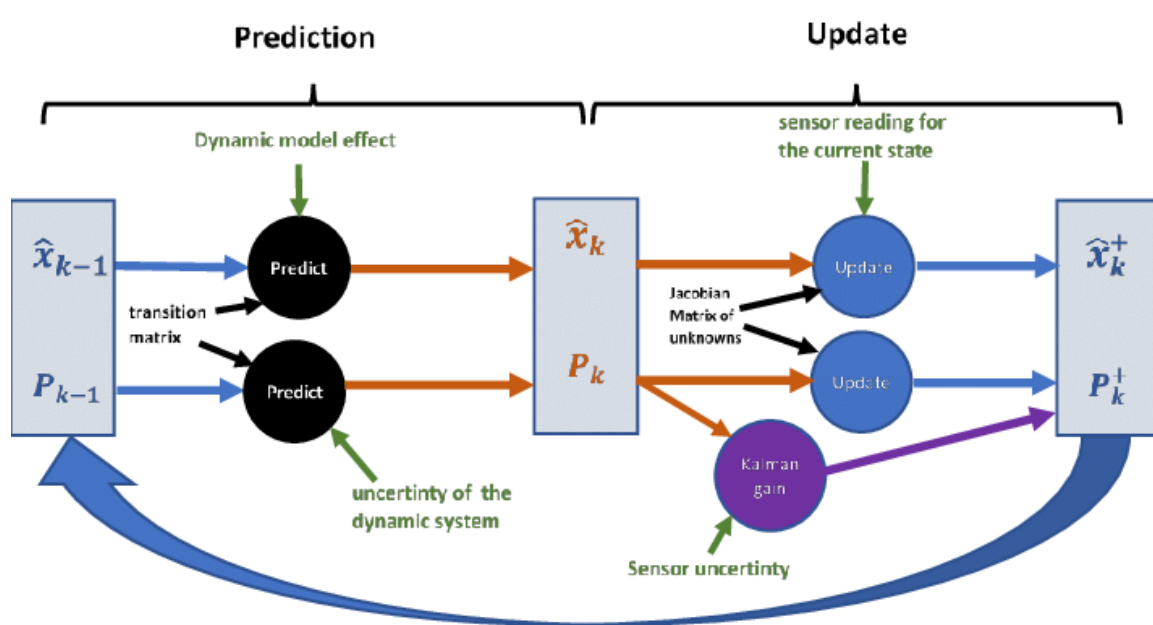
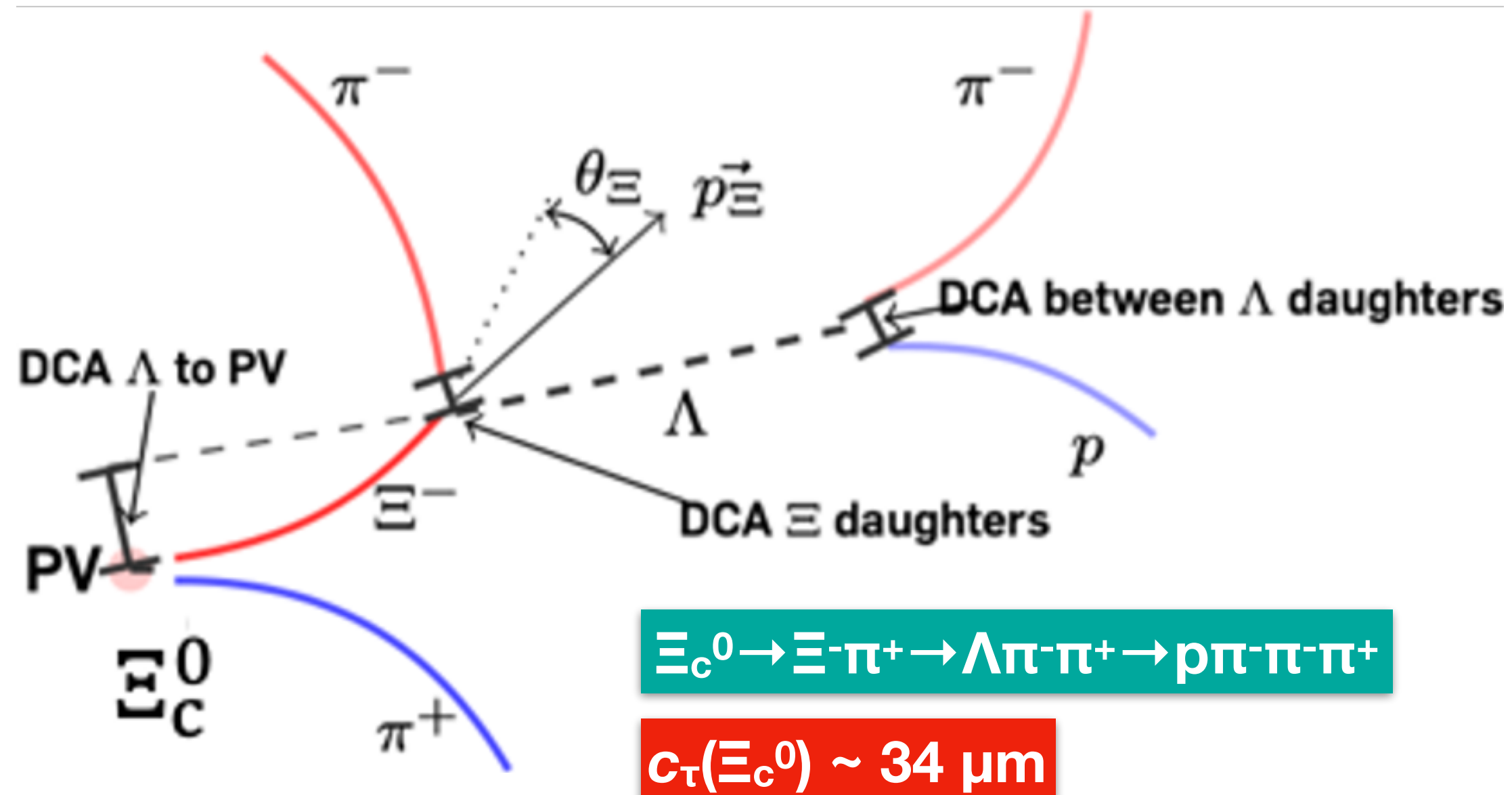
ALI-PUB-482529

$$\begin{cases} (\text{Acc} \times \epsilon)_1^{\text{prompt}} \cdot N_{\text{prompt}} + (\text{Acc} \times \epsilon)_1^{\text{non-prompt}} \cdot N_{\text{non-prompt}} = Y_1 \\ \dots \\ (\text{Acc} \times \epsilon)_n^{\text{prompt}} \cdot N_{\text{prompt}} + (\text{Acc} \times \epsilon)_n^{\text{non-prompt}} \cdot N_{\text{non-prompt}} = Y_n \end{cases}$$

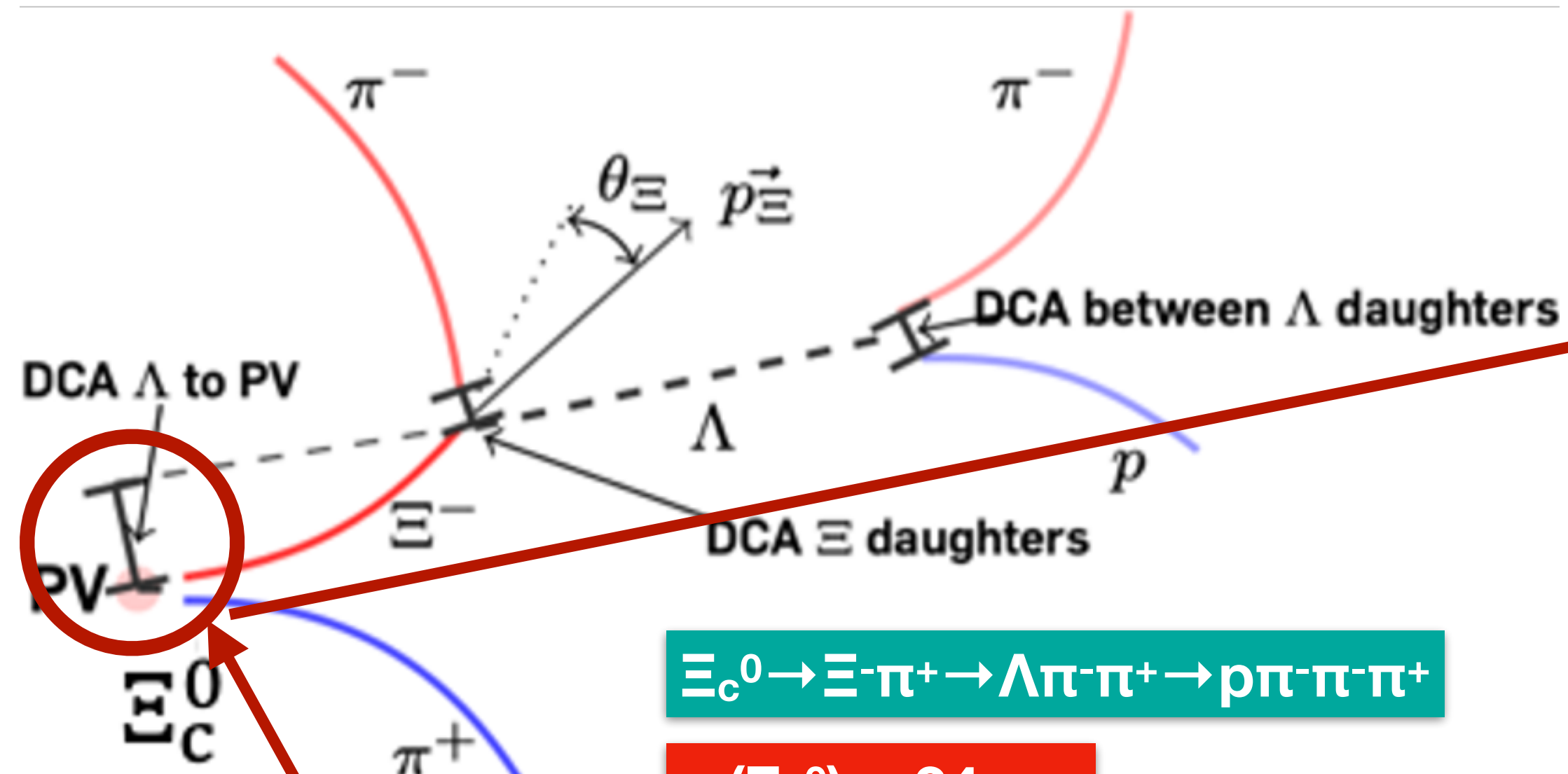
# Cascade decay reconstruction



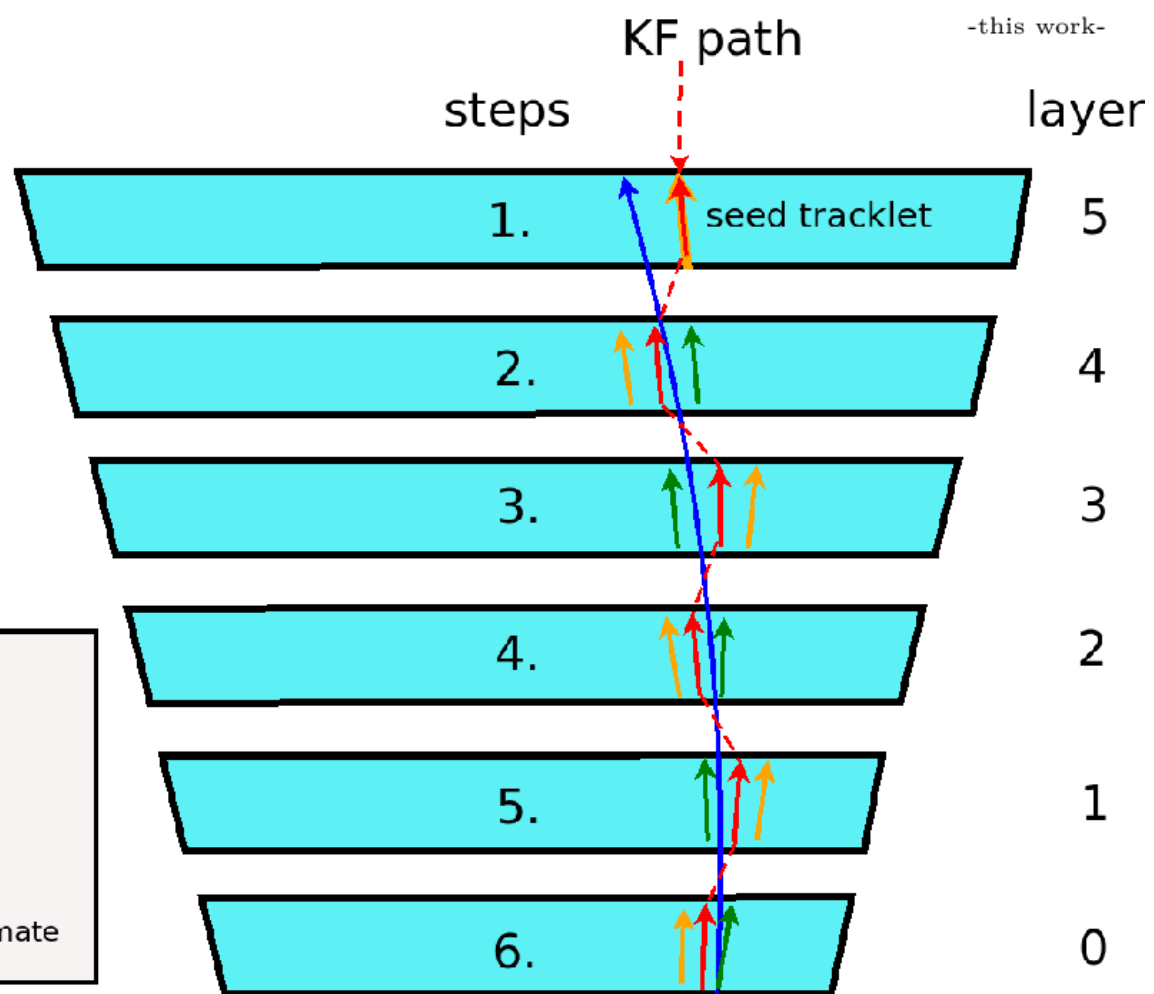
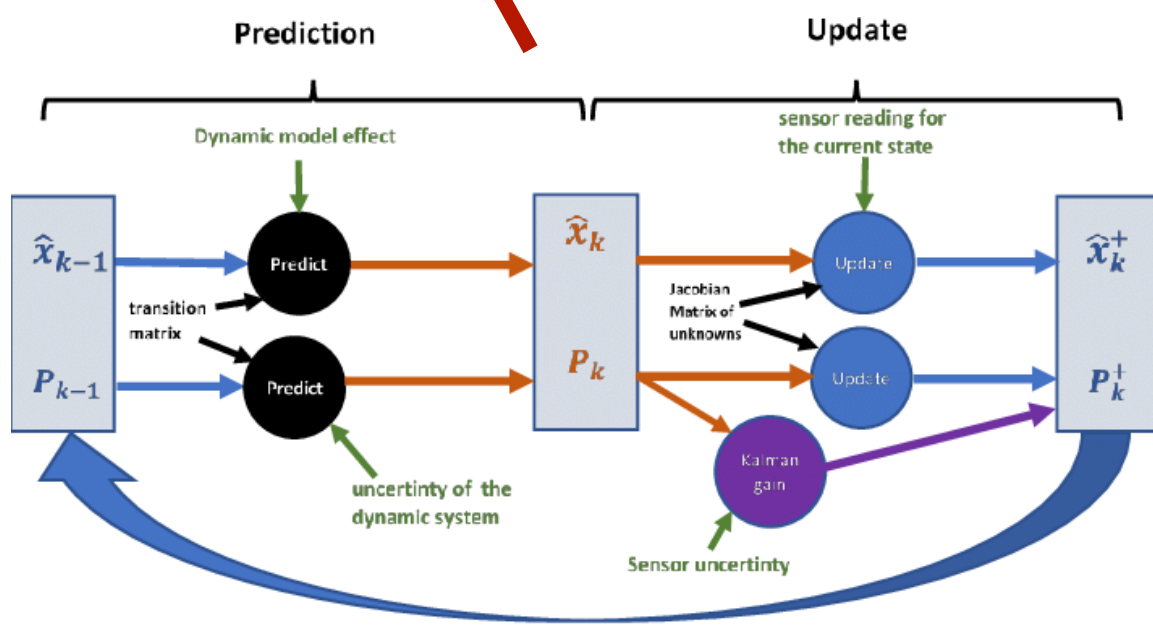
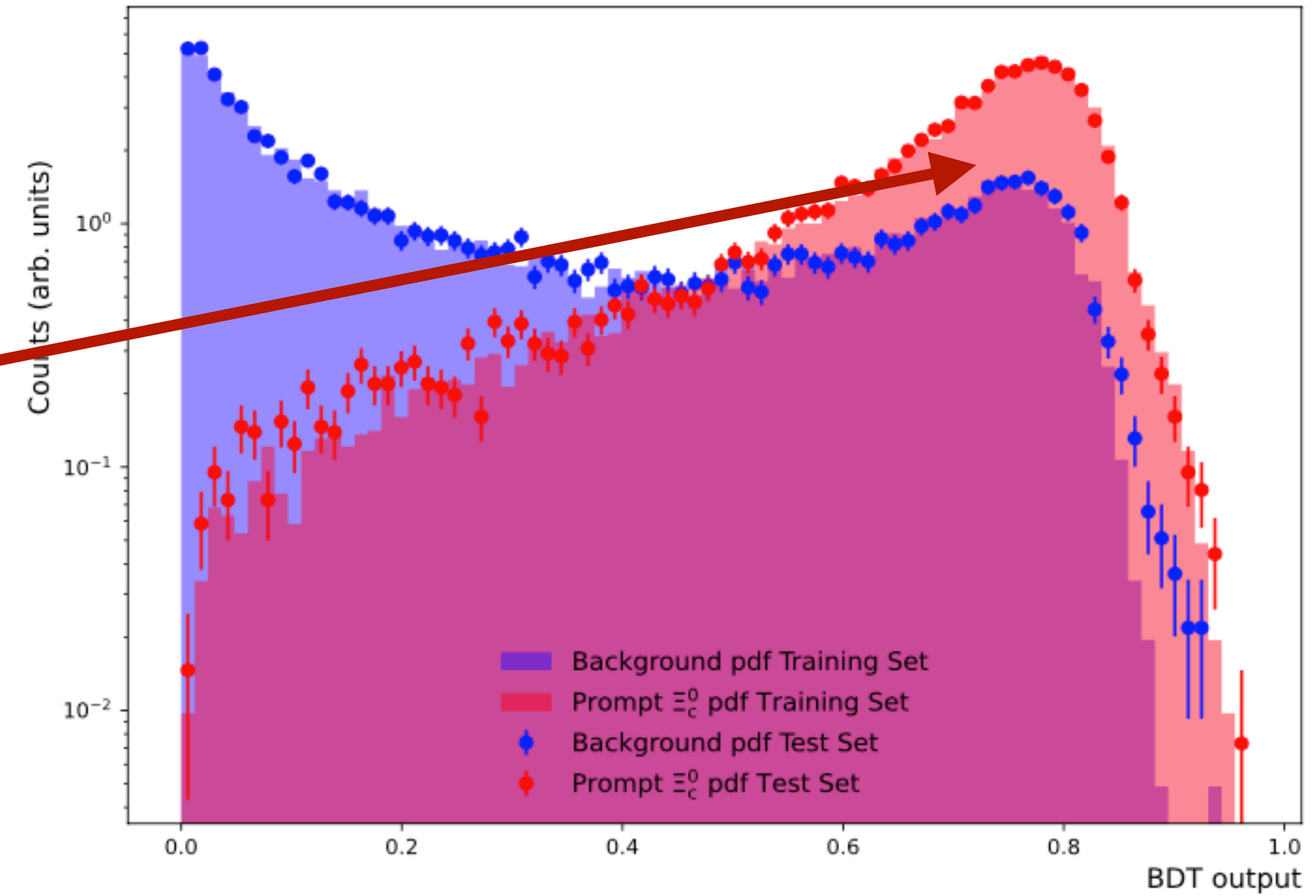
# Cascade decay reconstruction



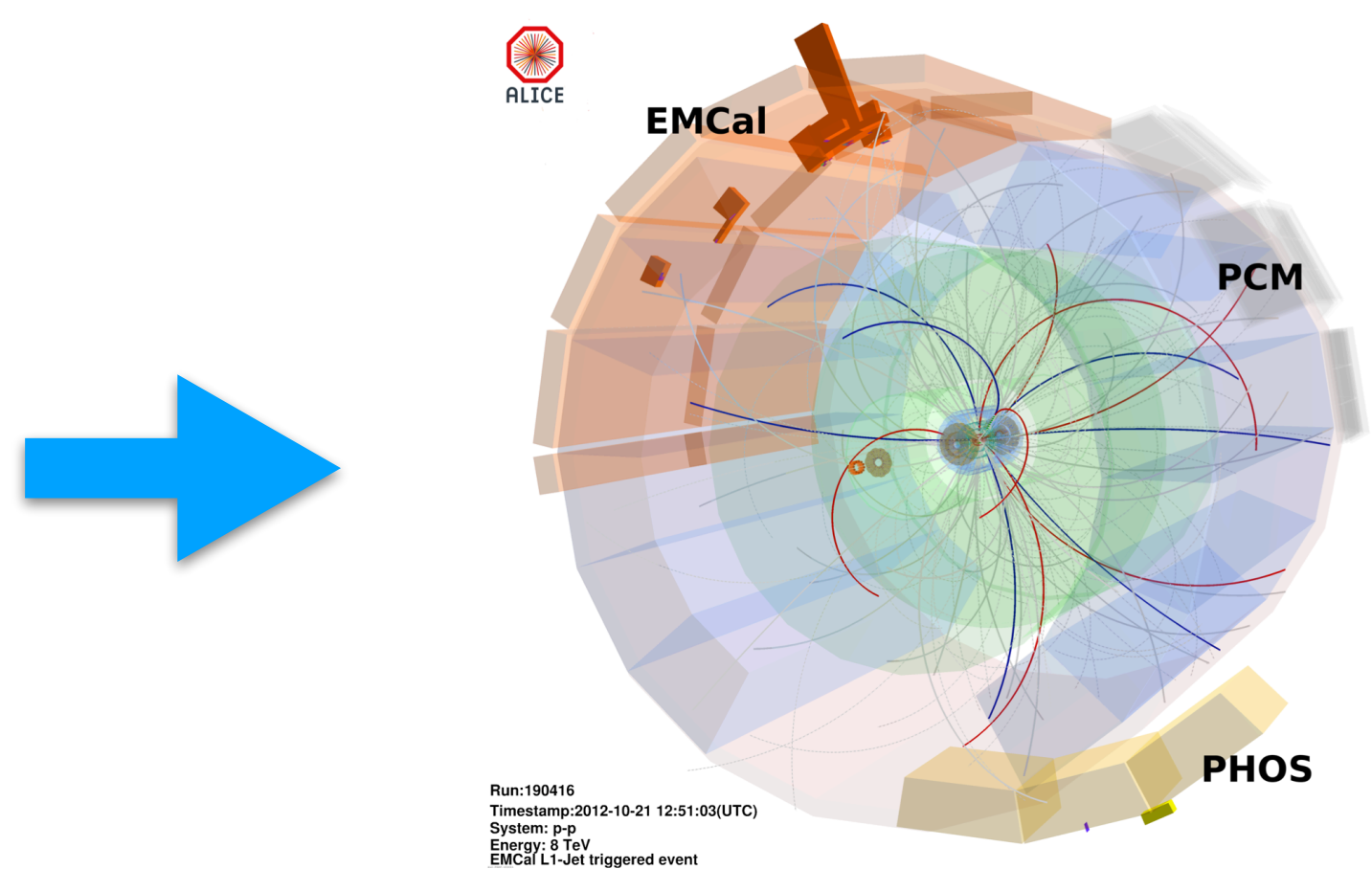
# Cascade decay reconstruction



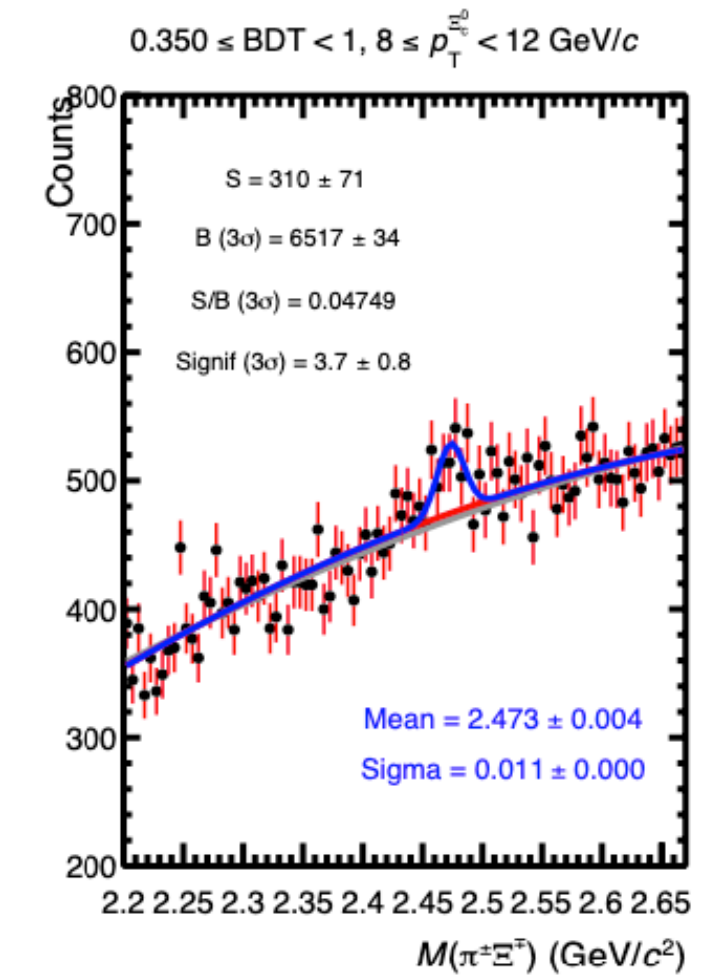
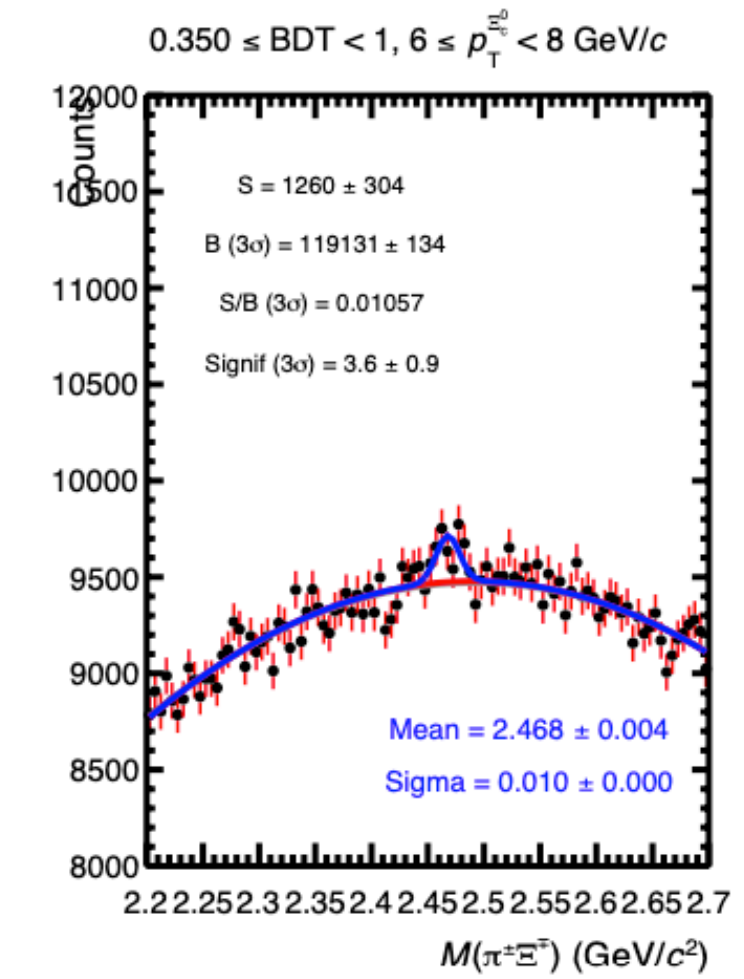
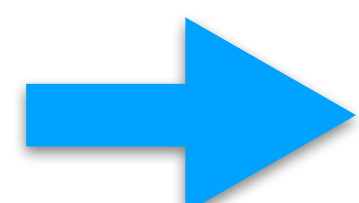
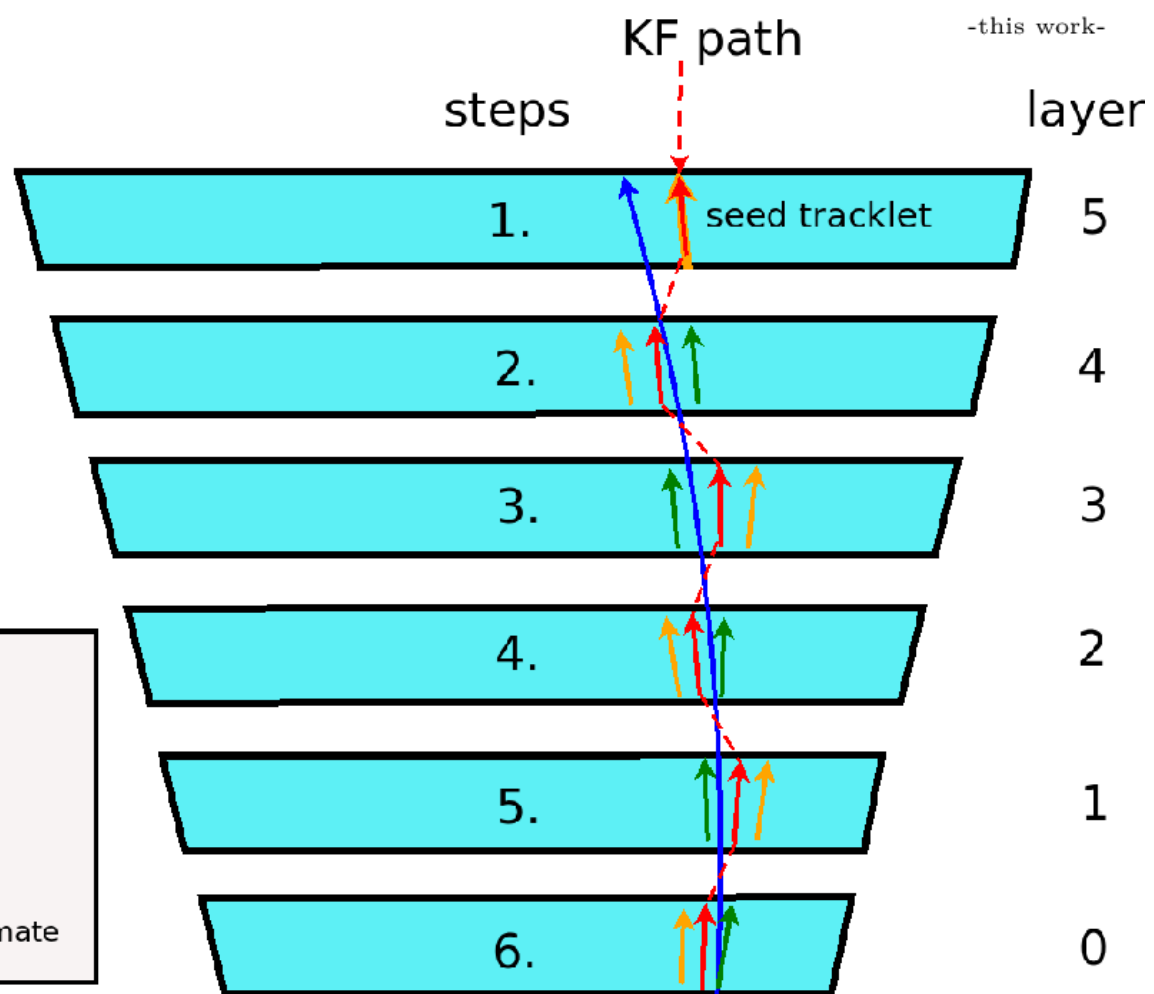
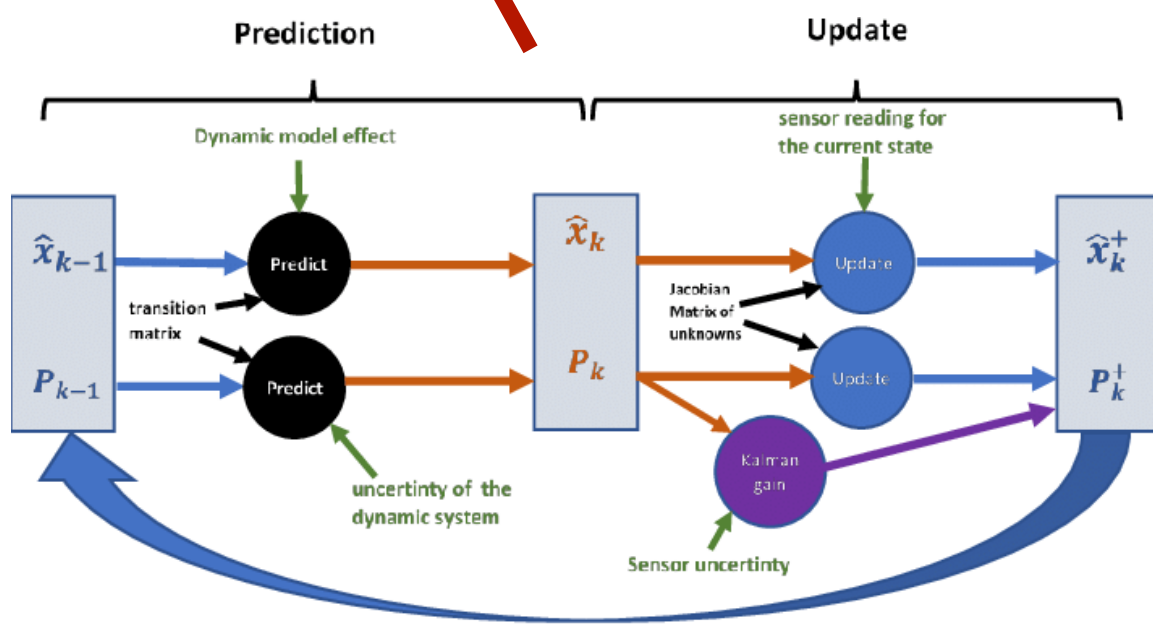
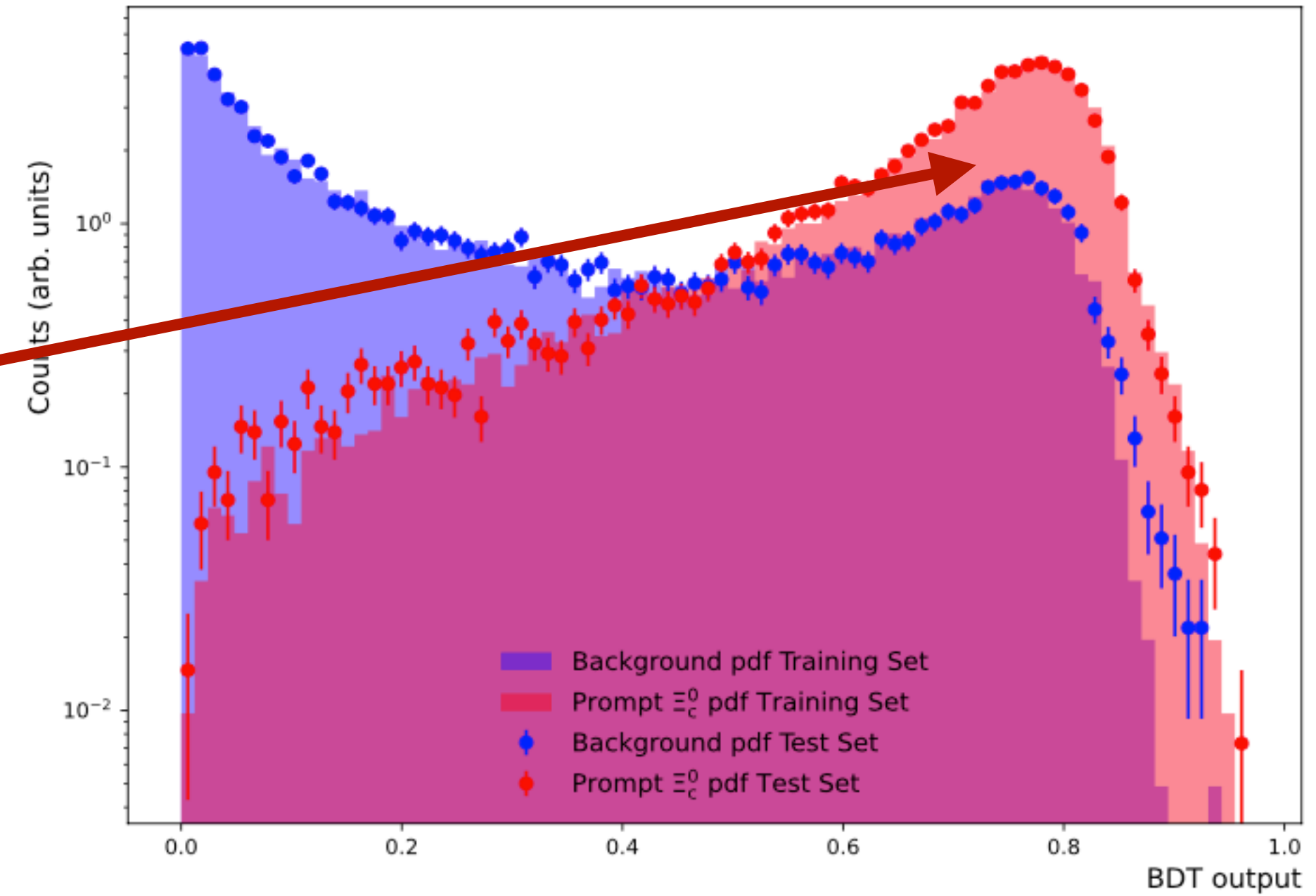
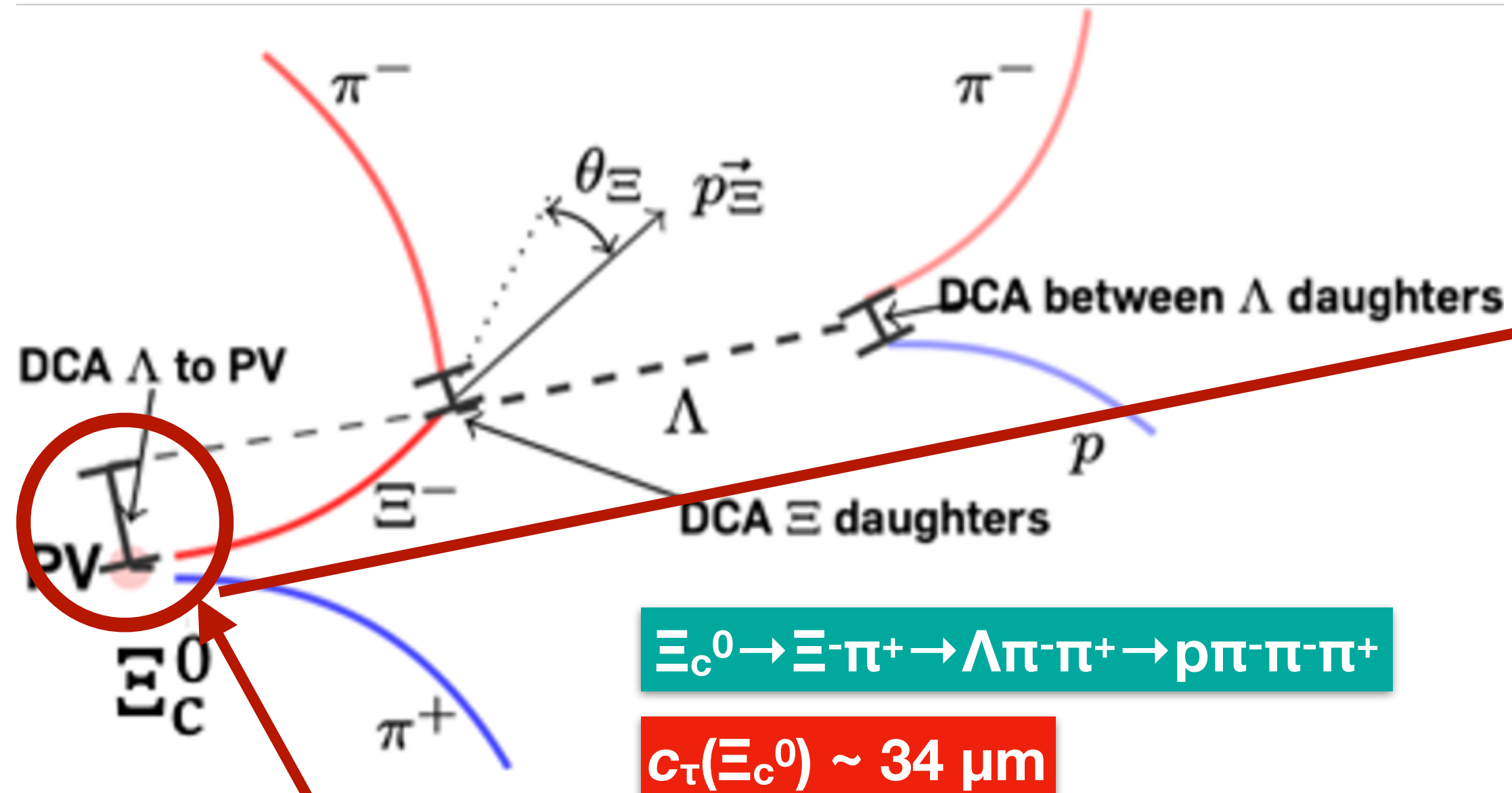
$c_\tau(\Xi_c^0) \sim 34 \mu\text{m}$



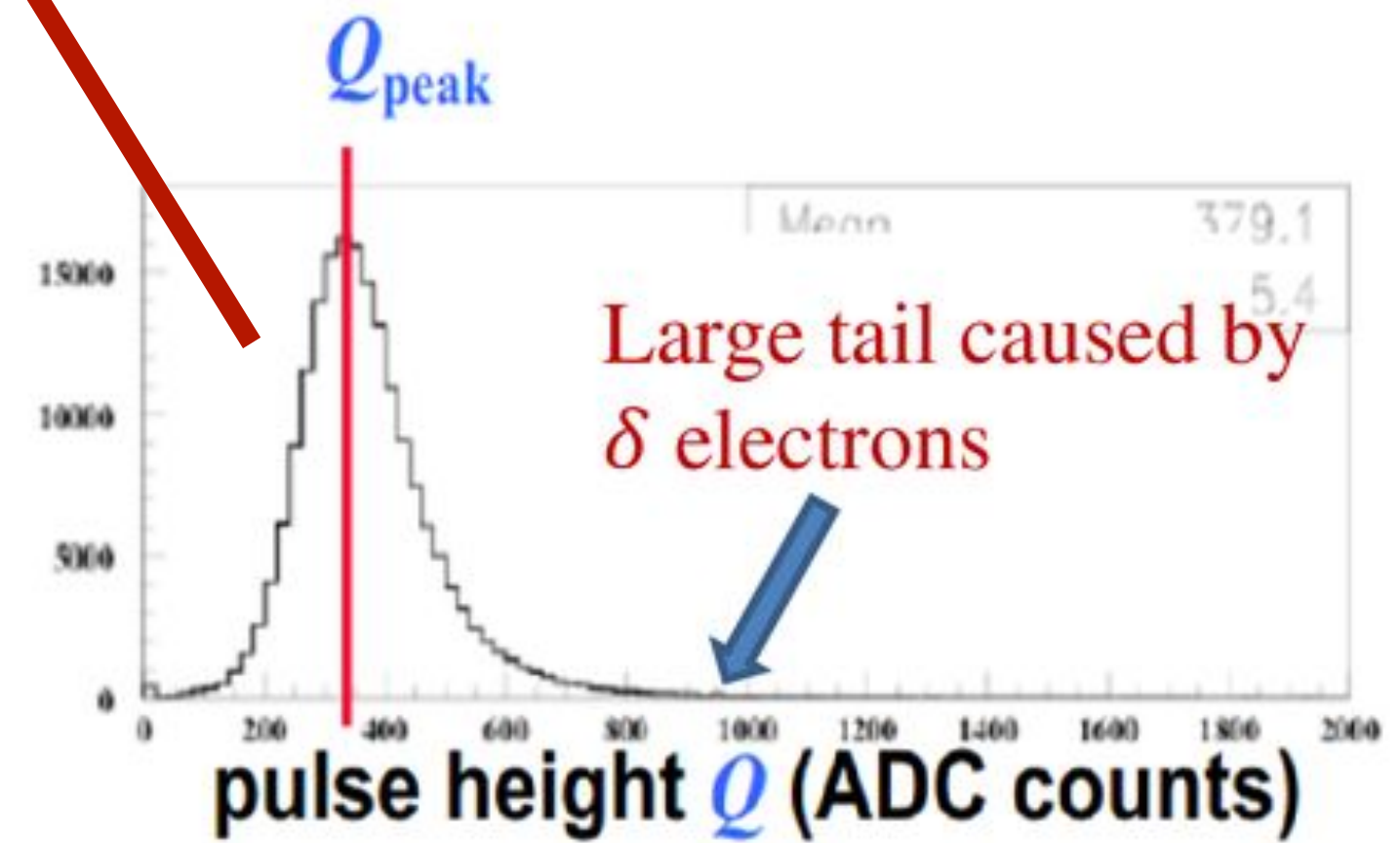
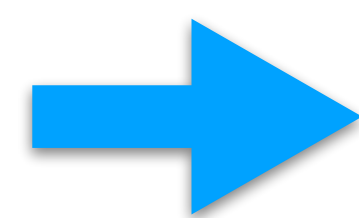
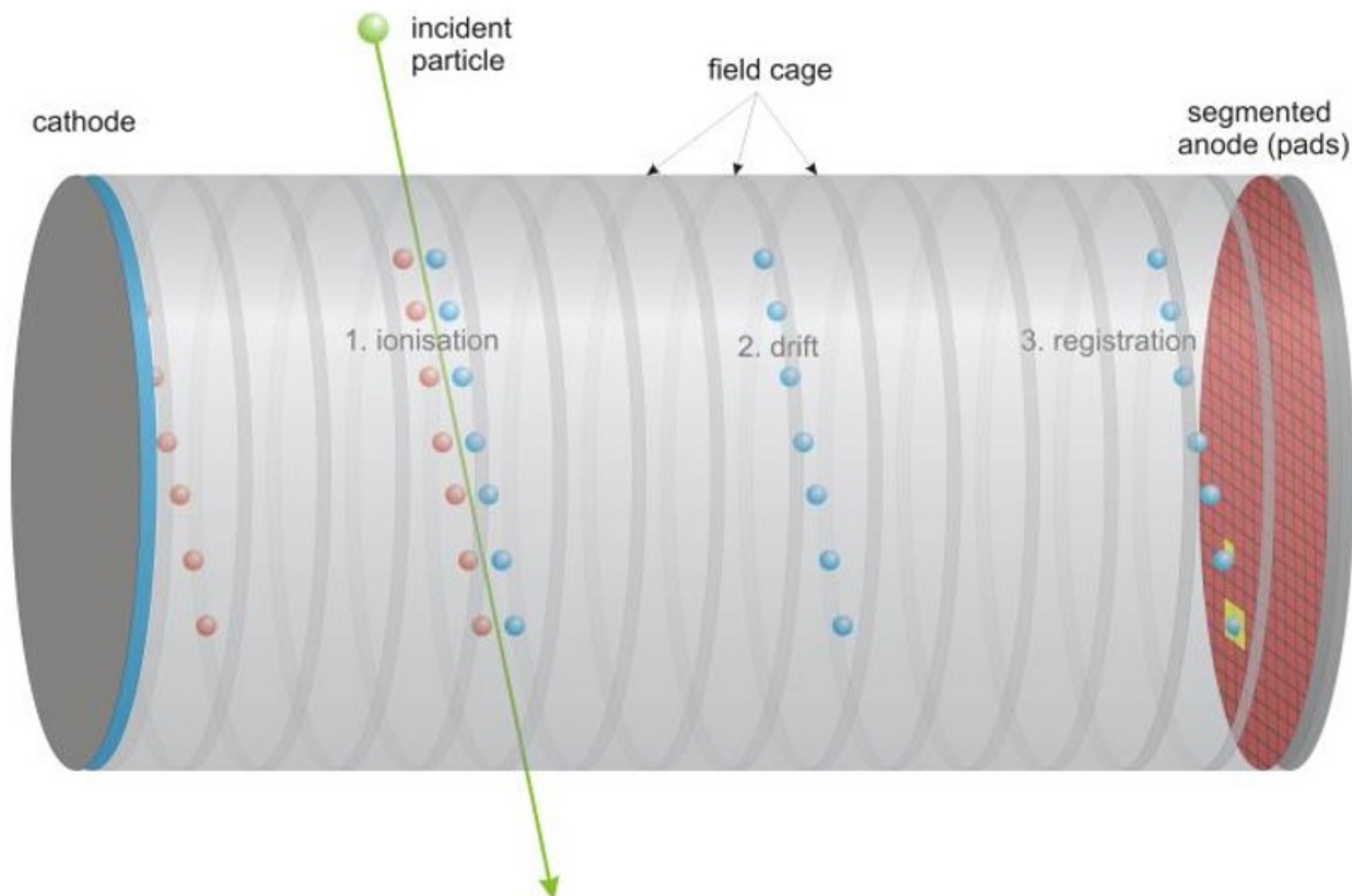
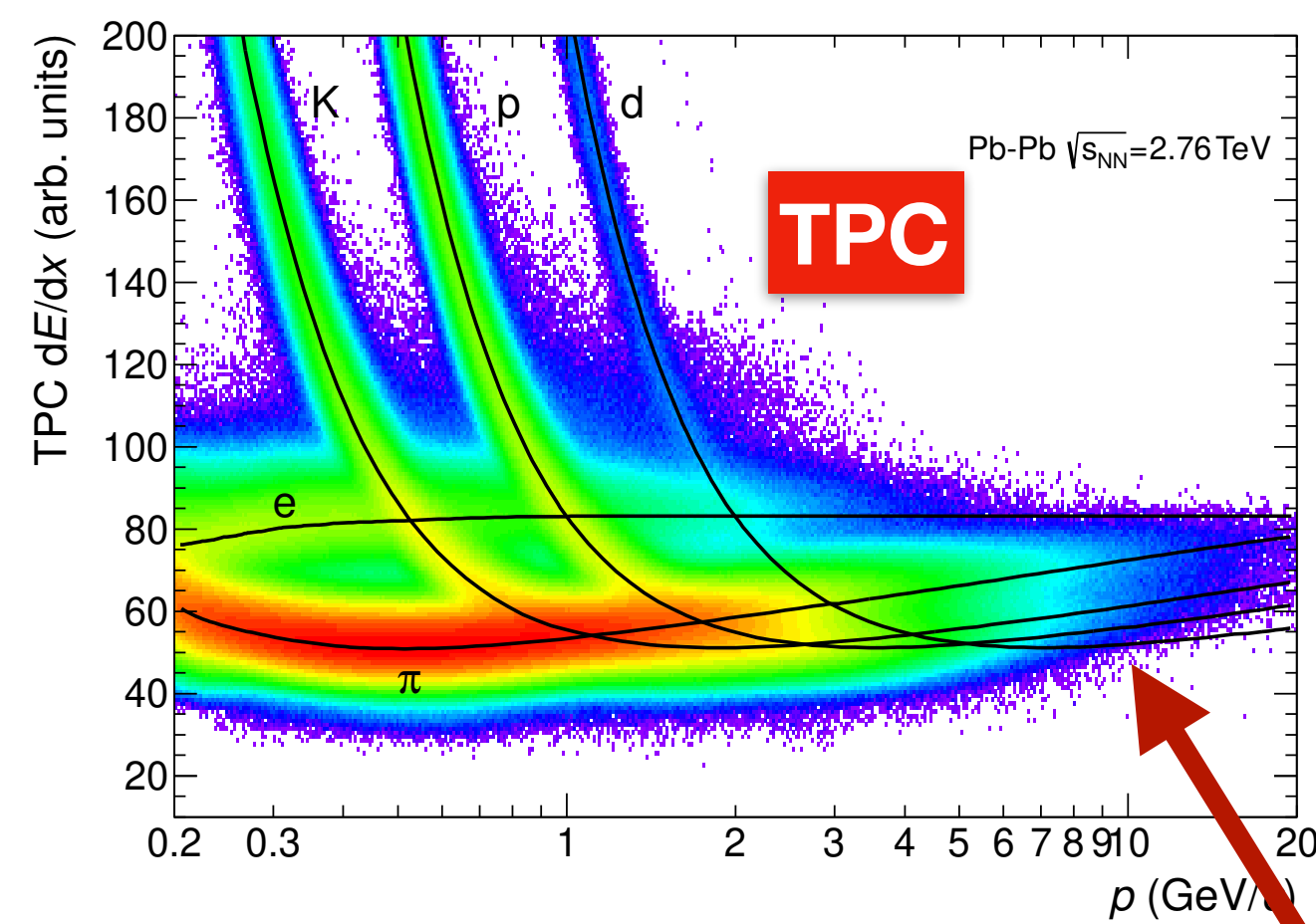
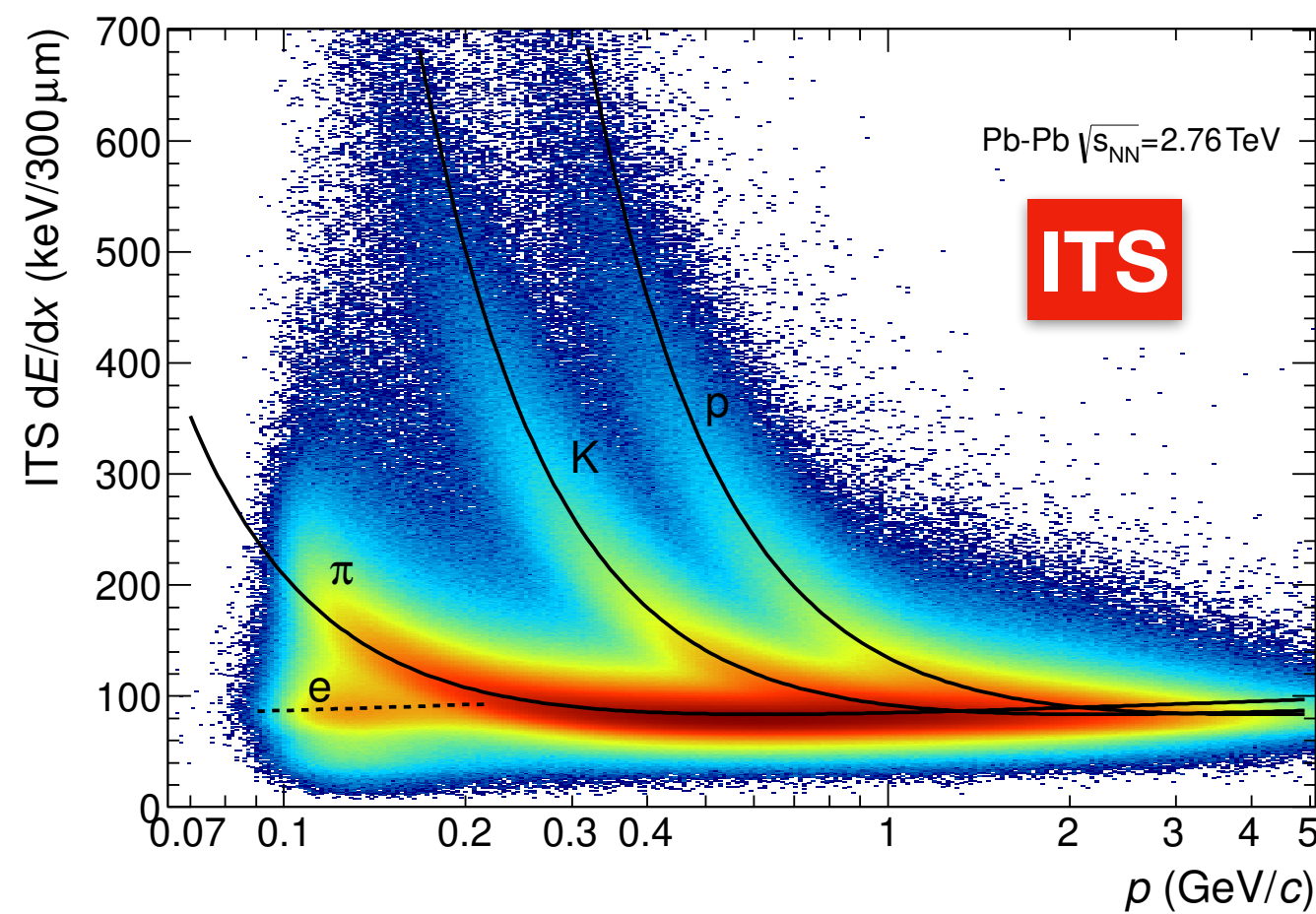
particle track  
 measurement  
 prediction  
 corrected estimate



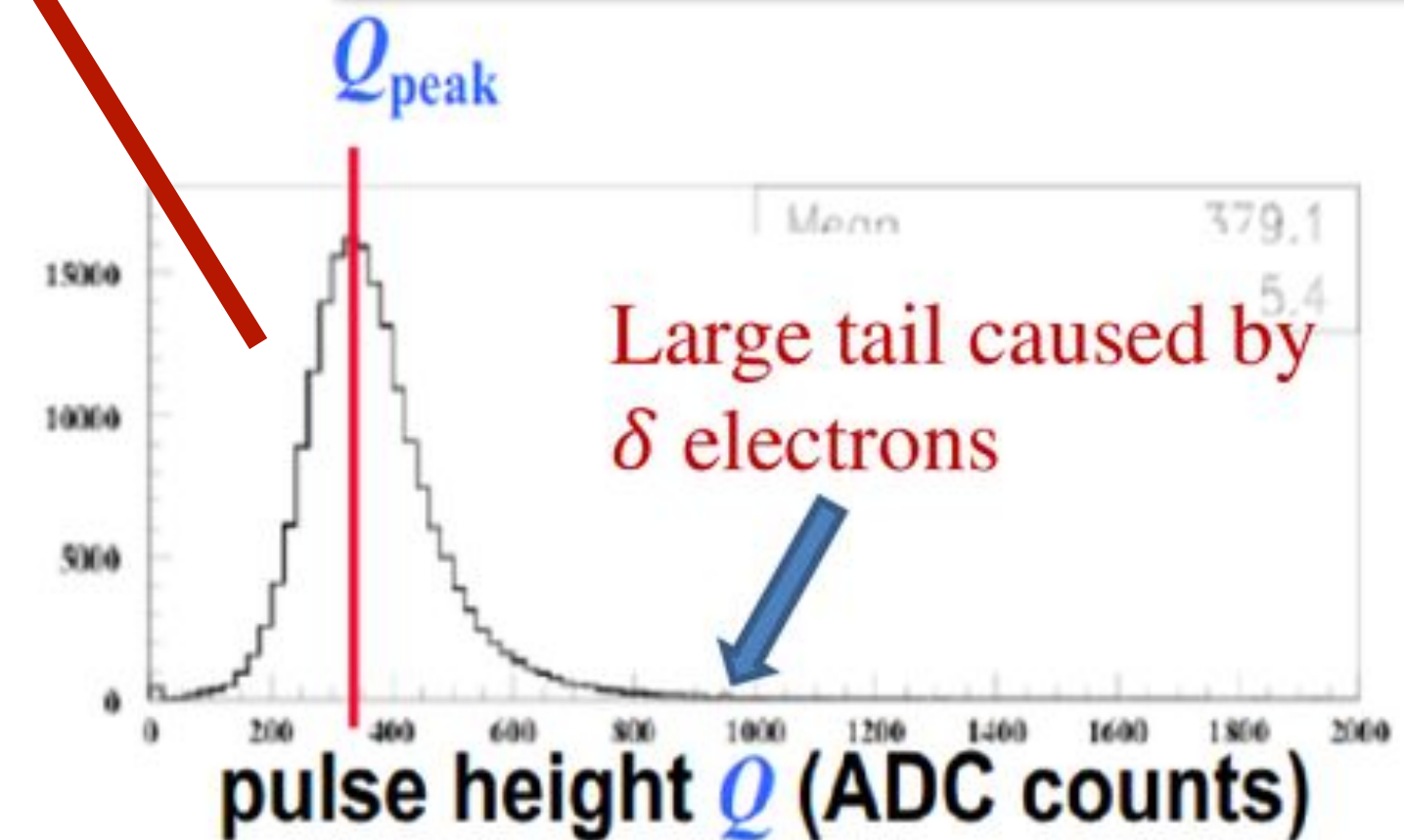
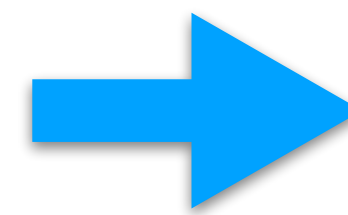
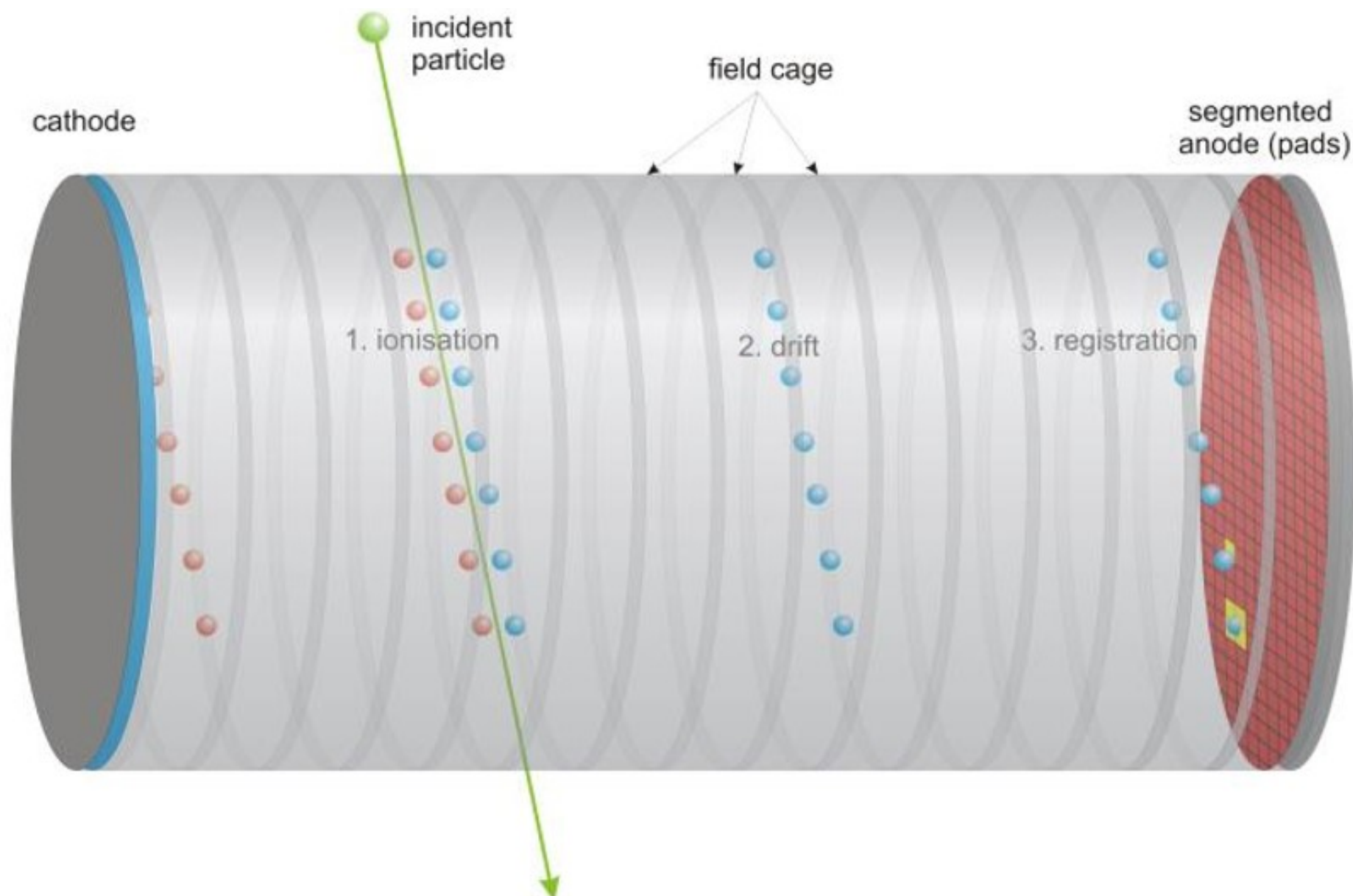
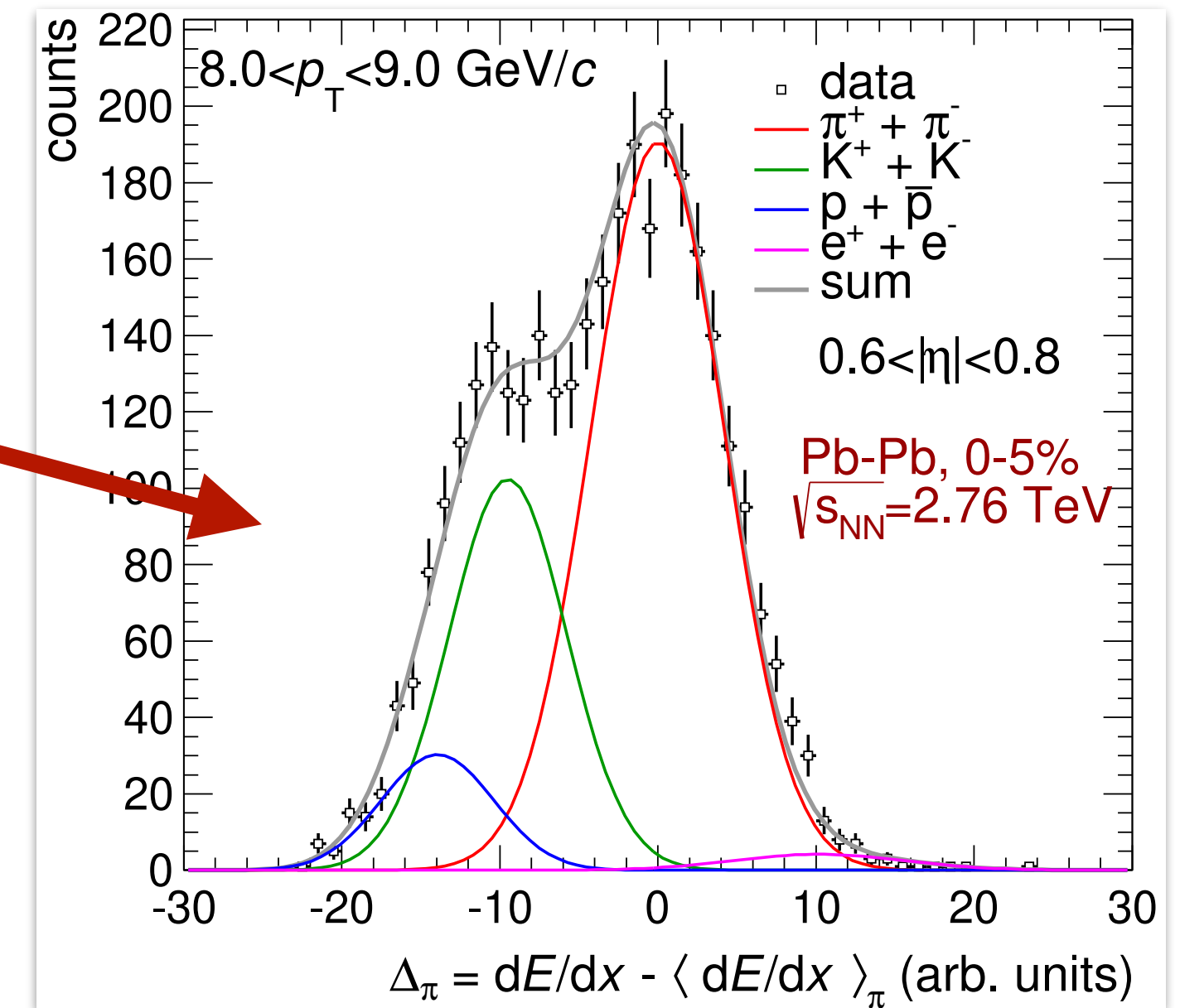
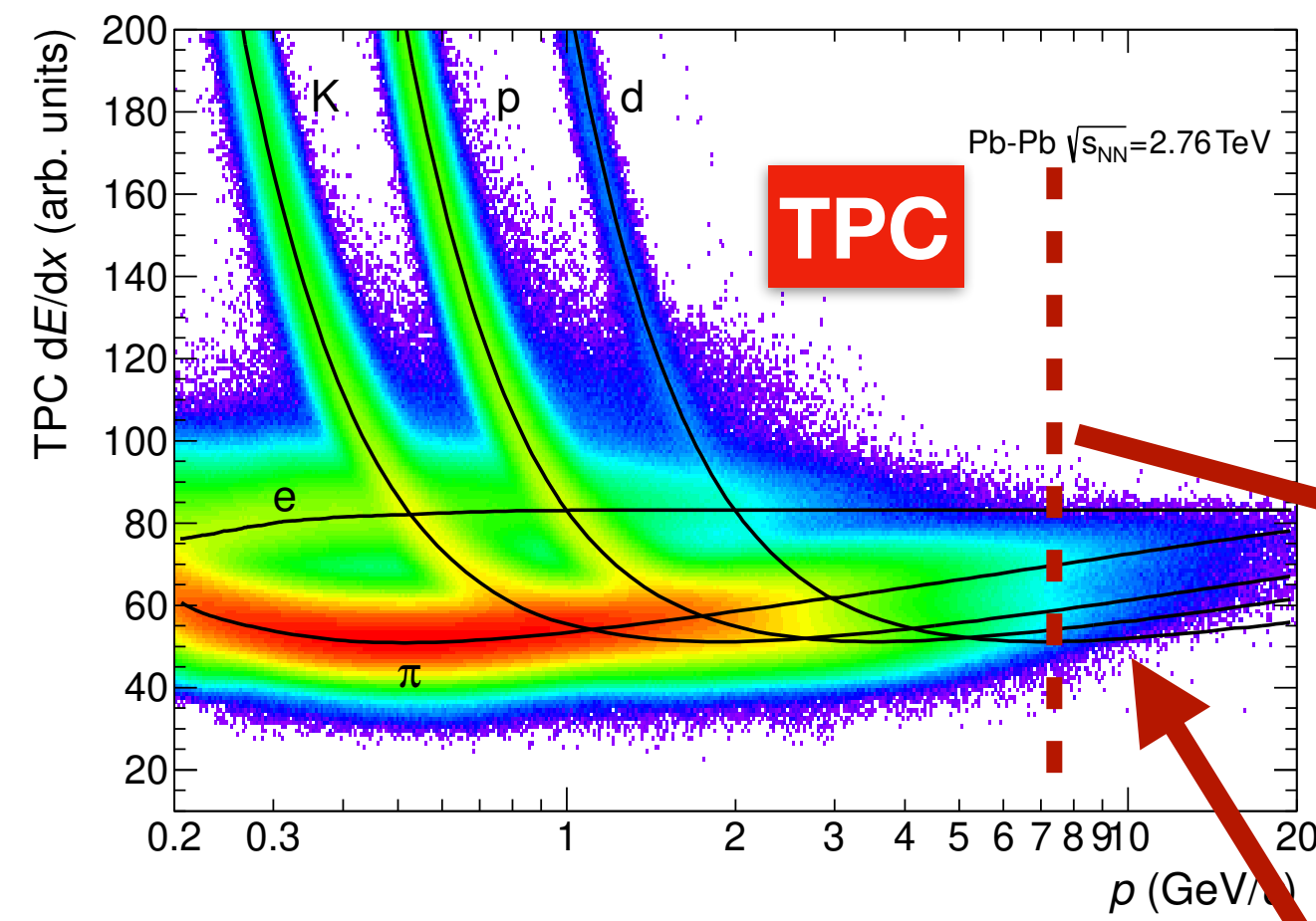
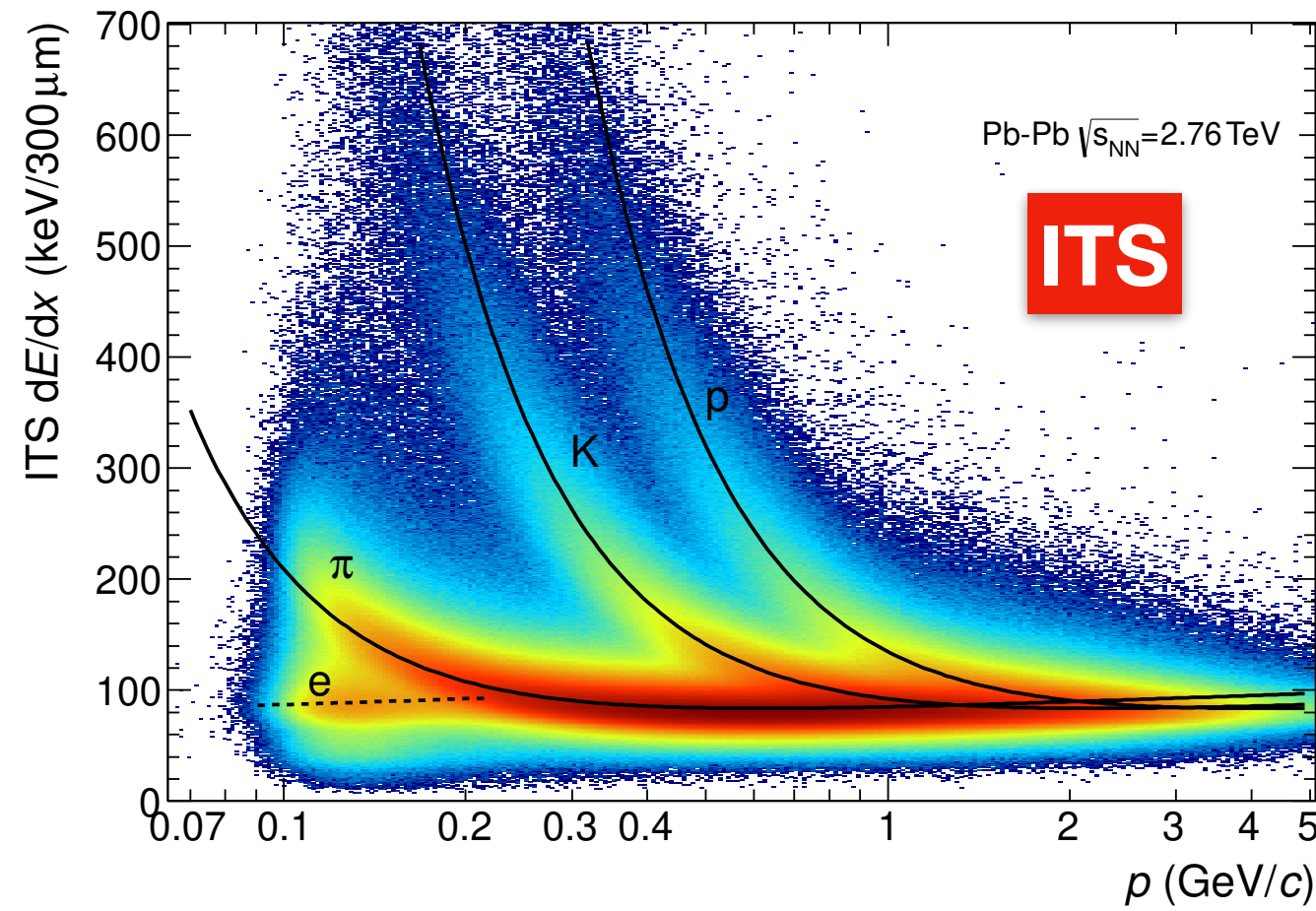
# Cascade decay reconstruction



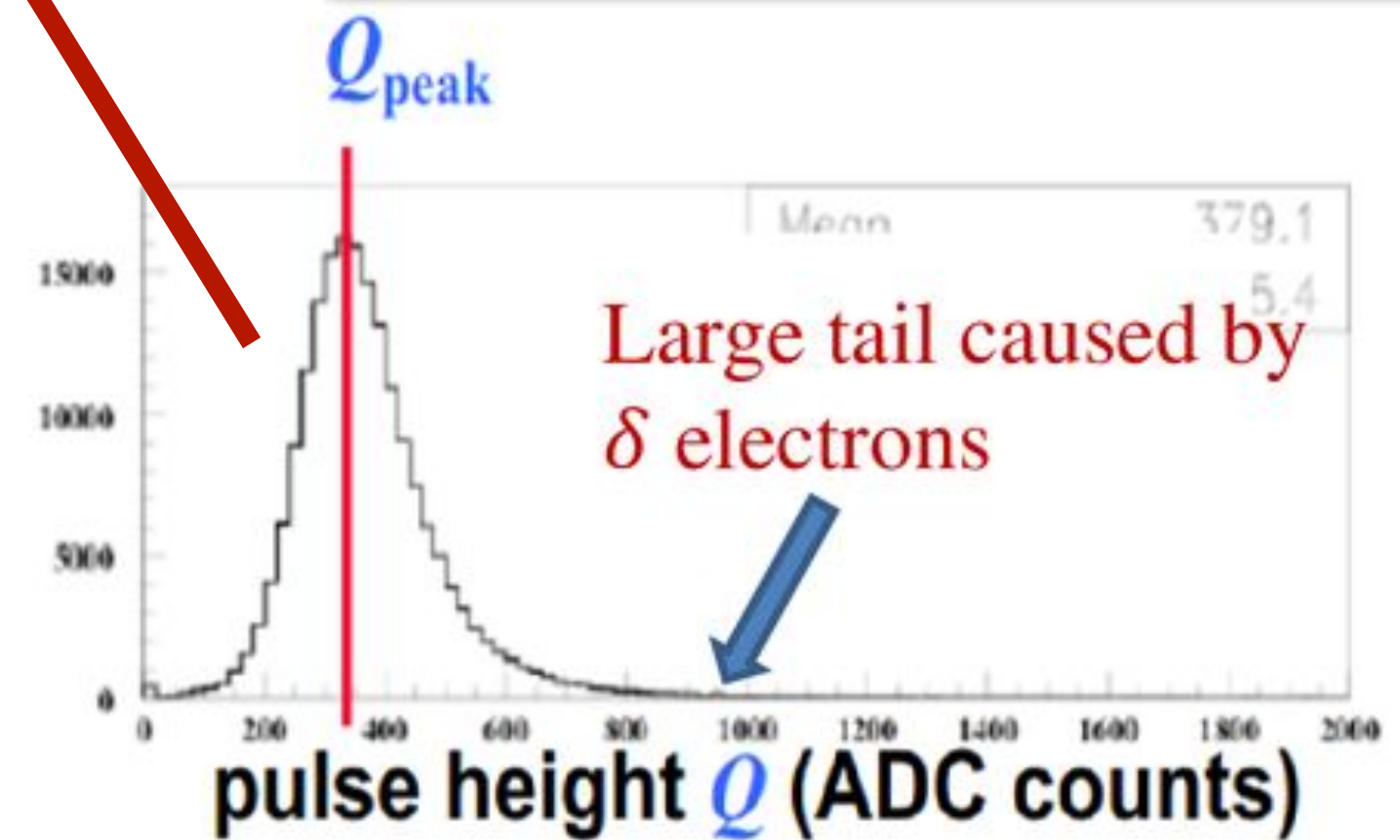
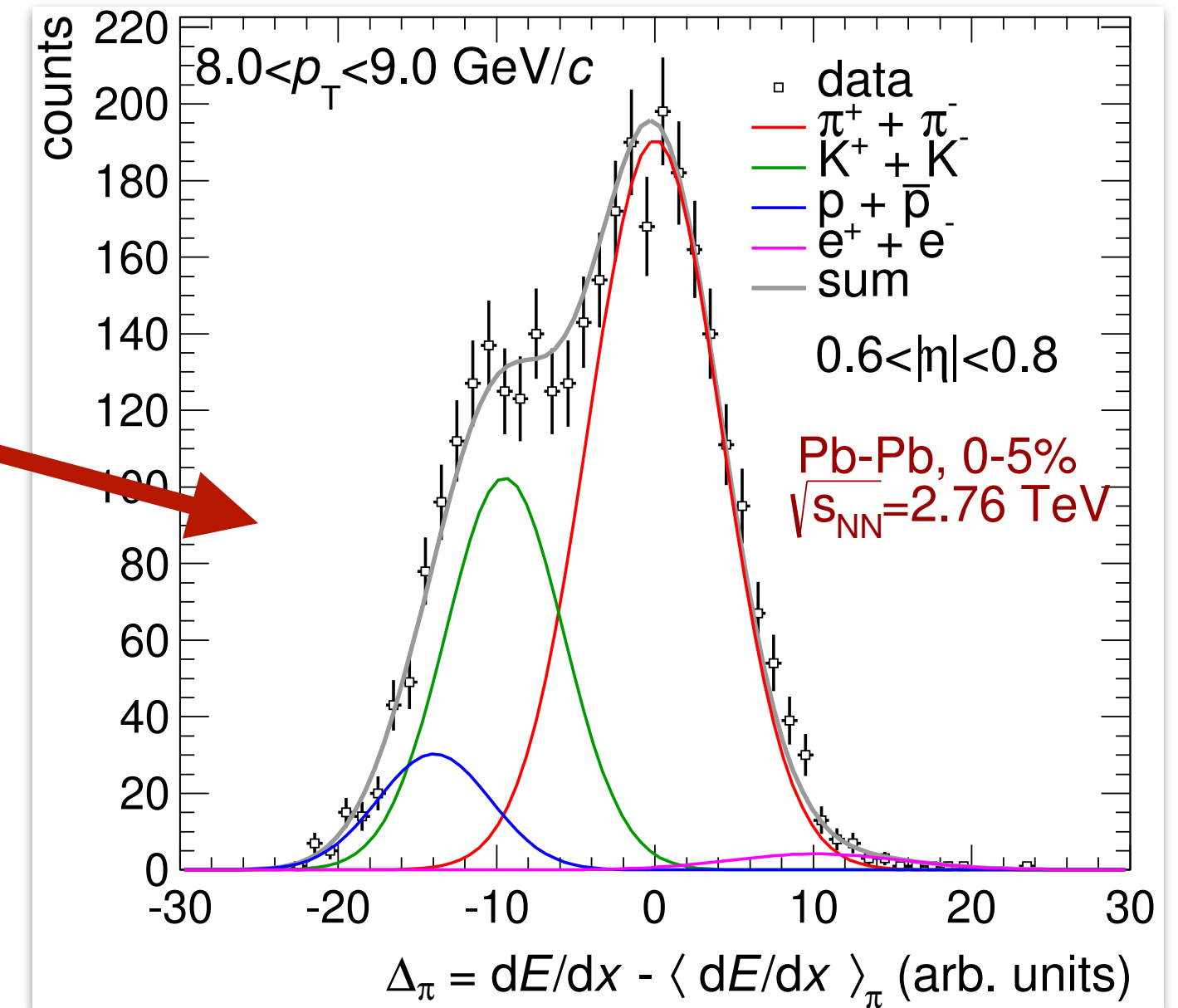
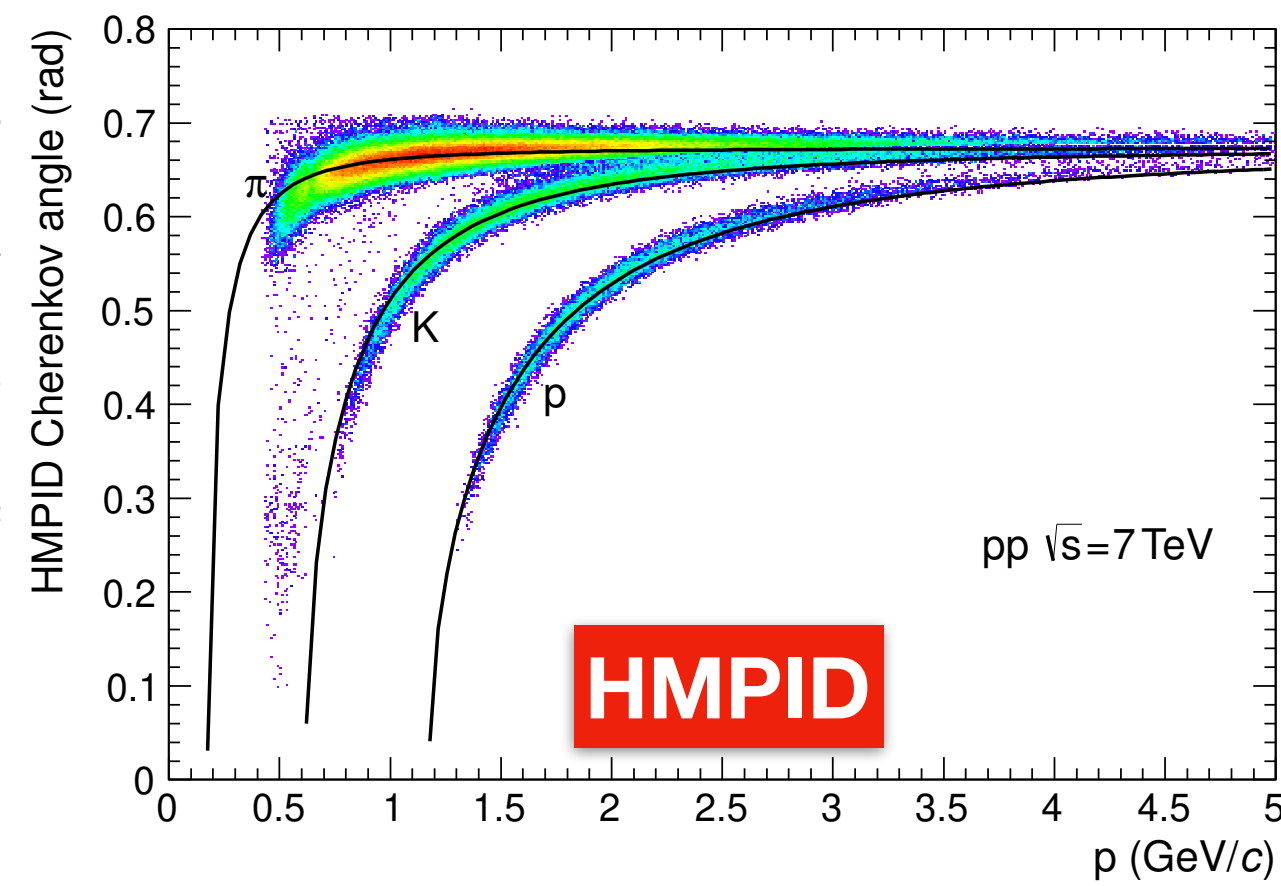
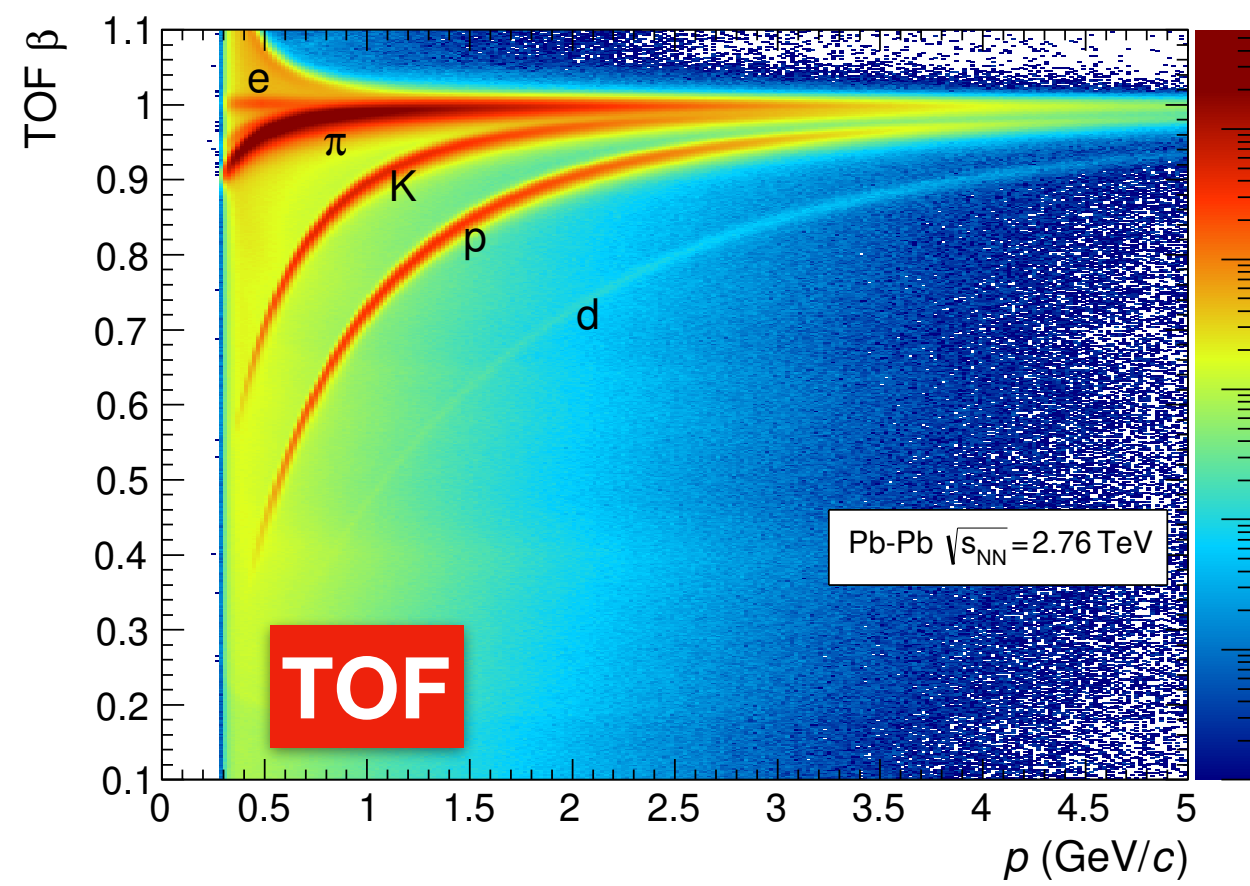
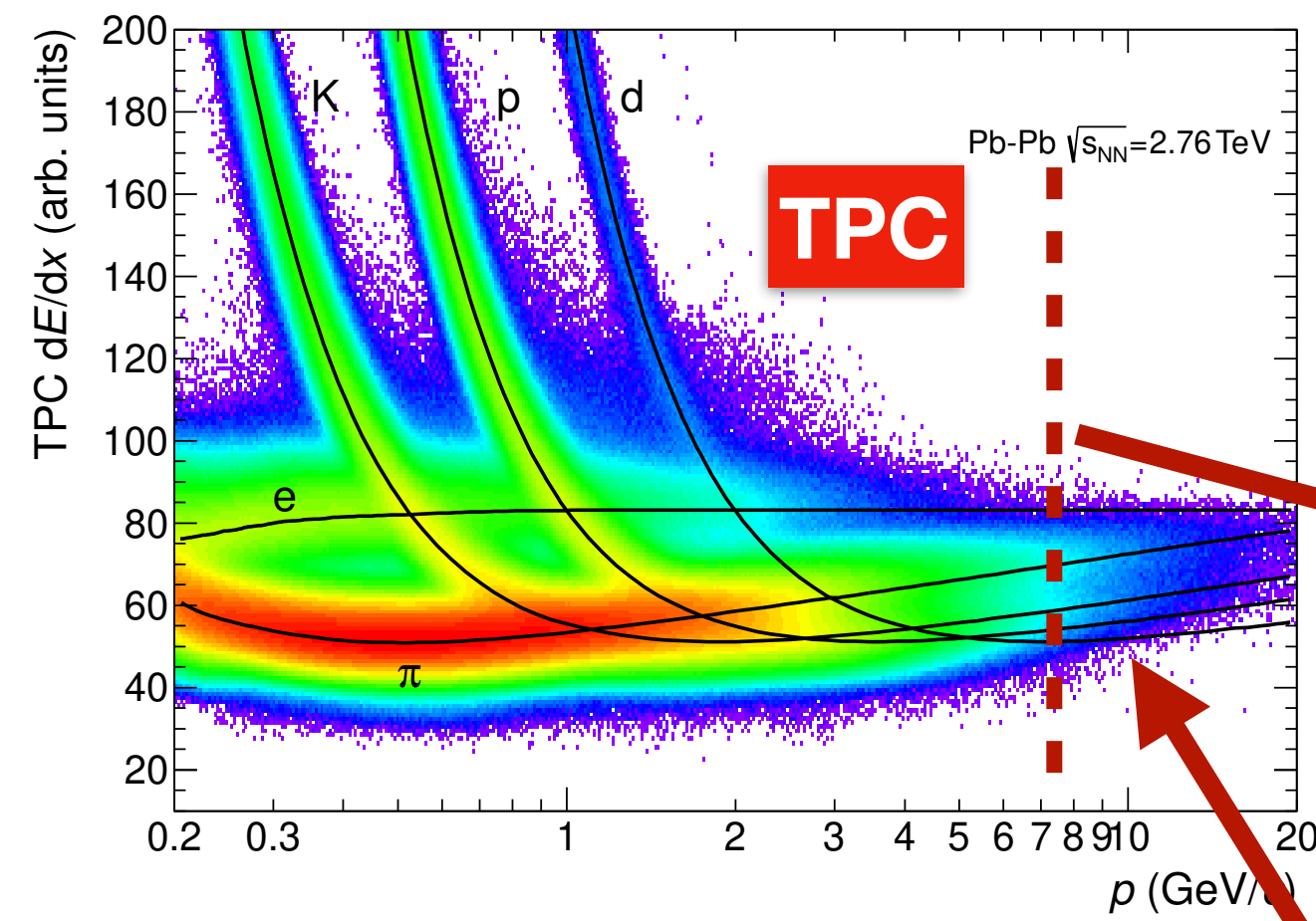
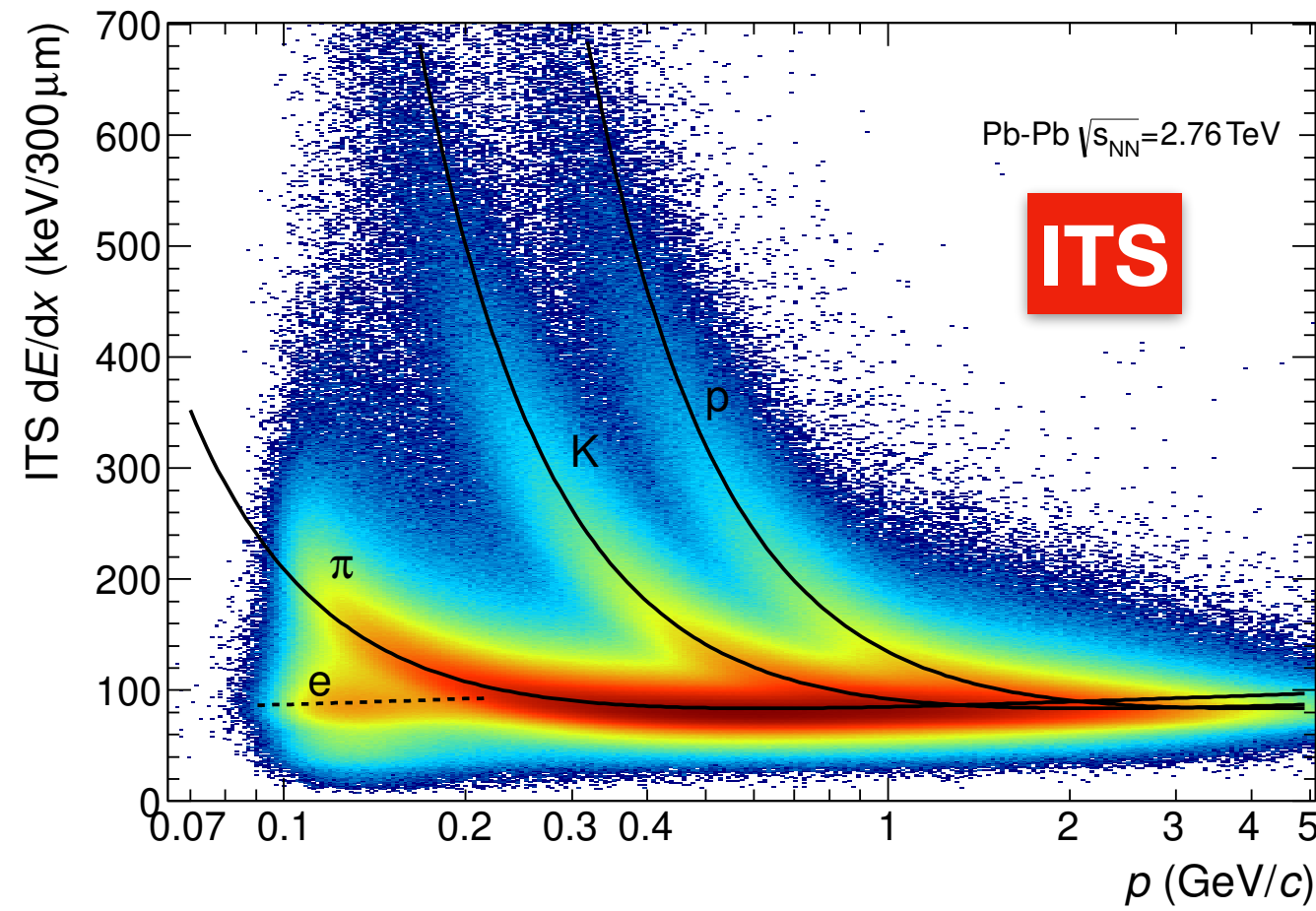
# Particle identification



# Particle identification

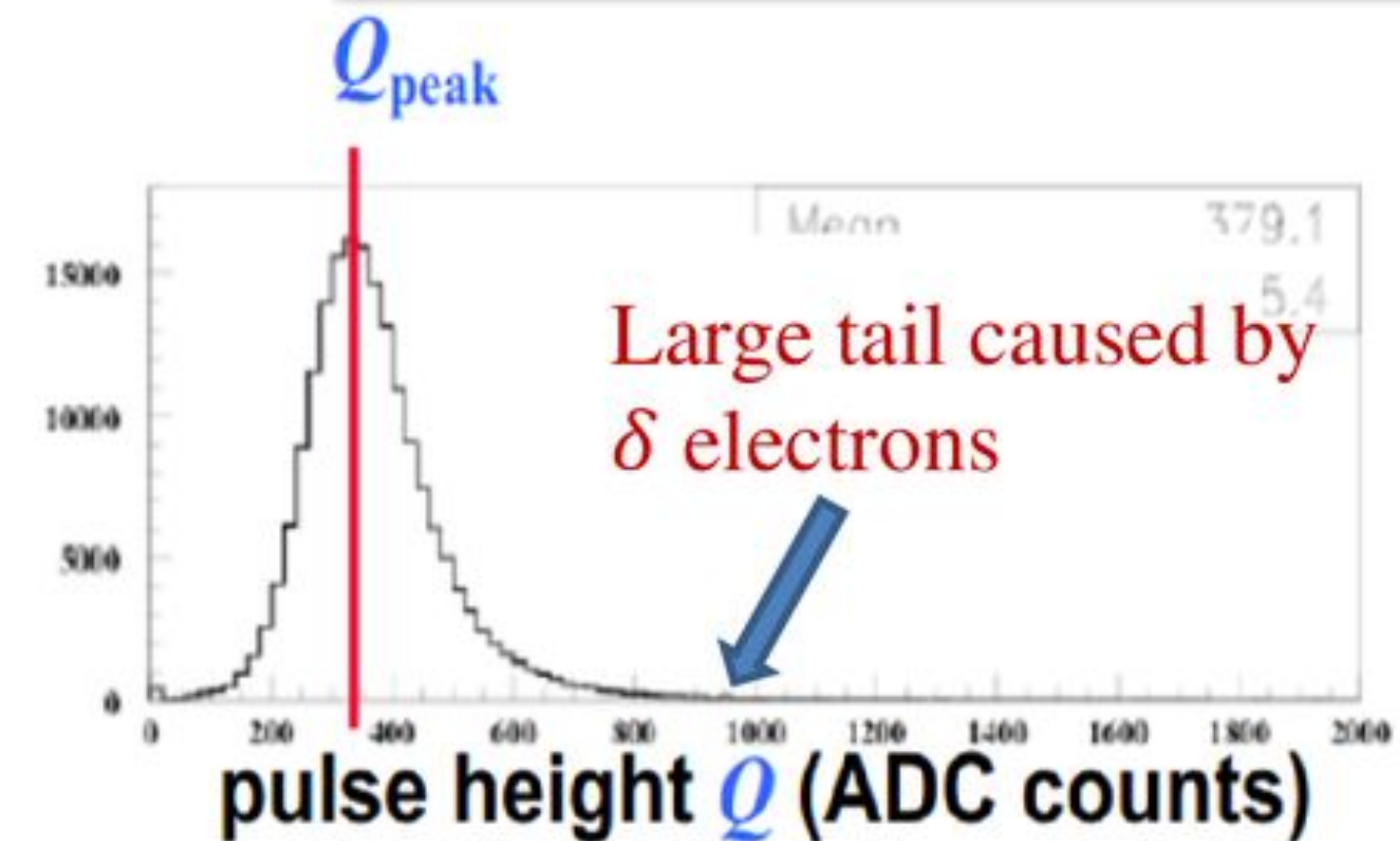
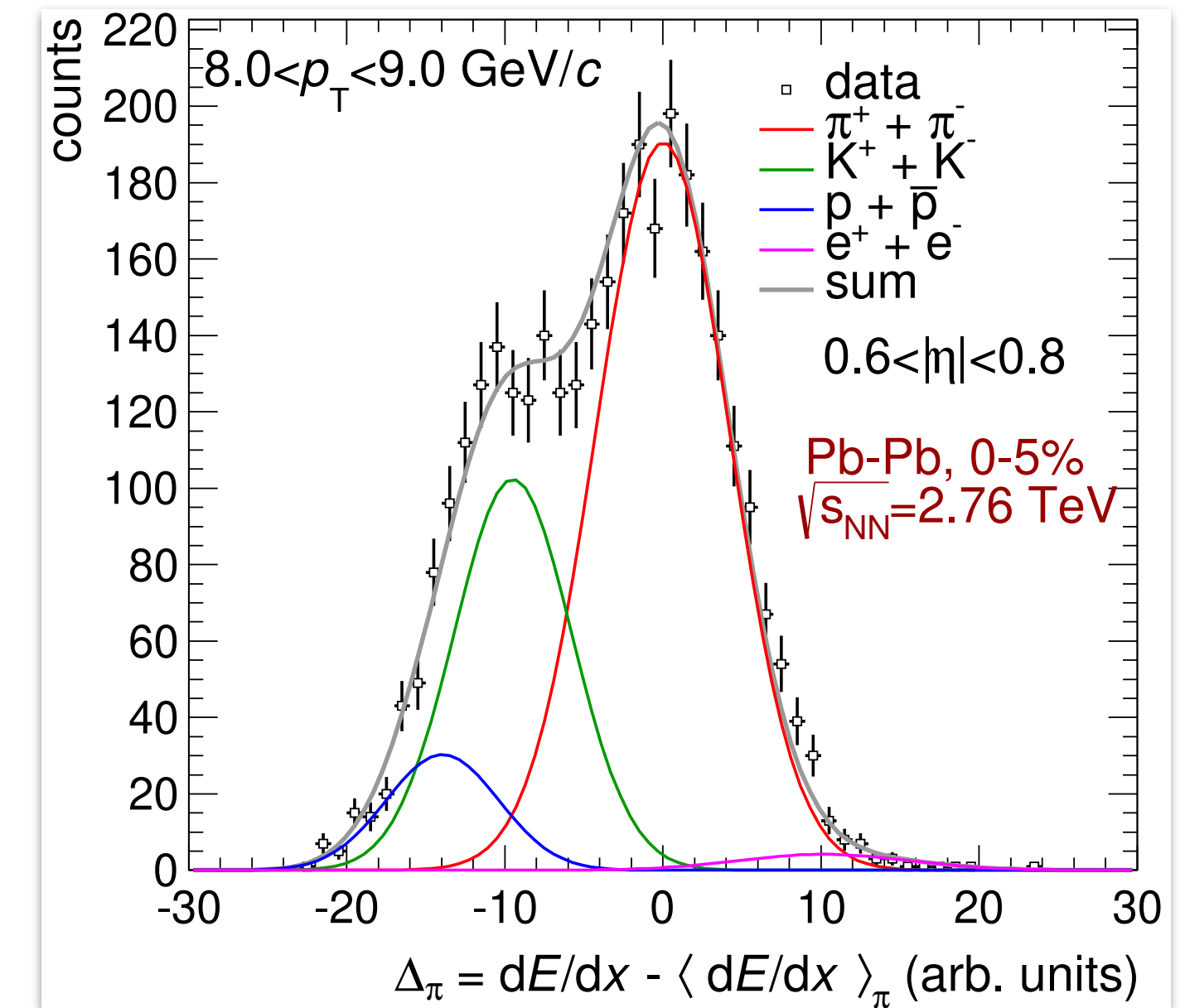
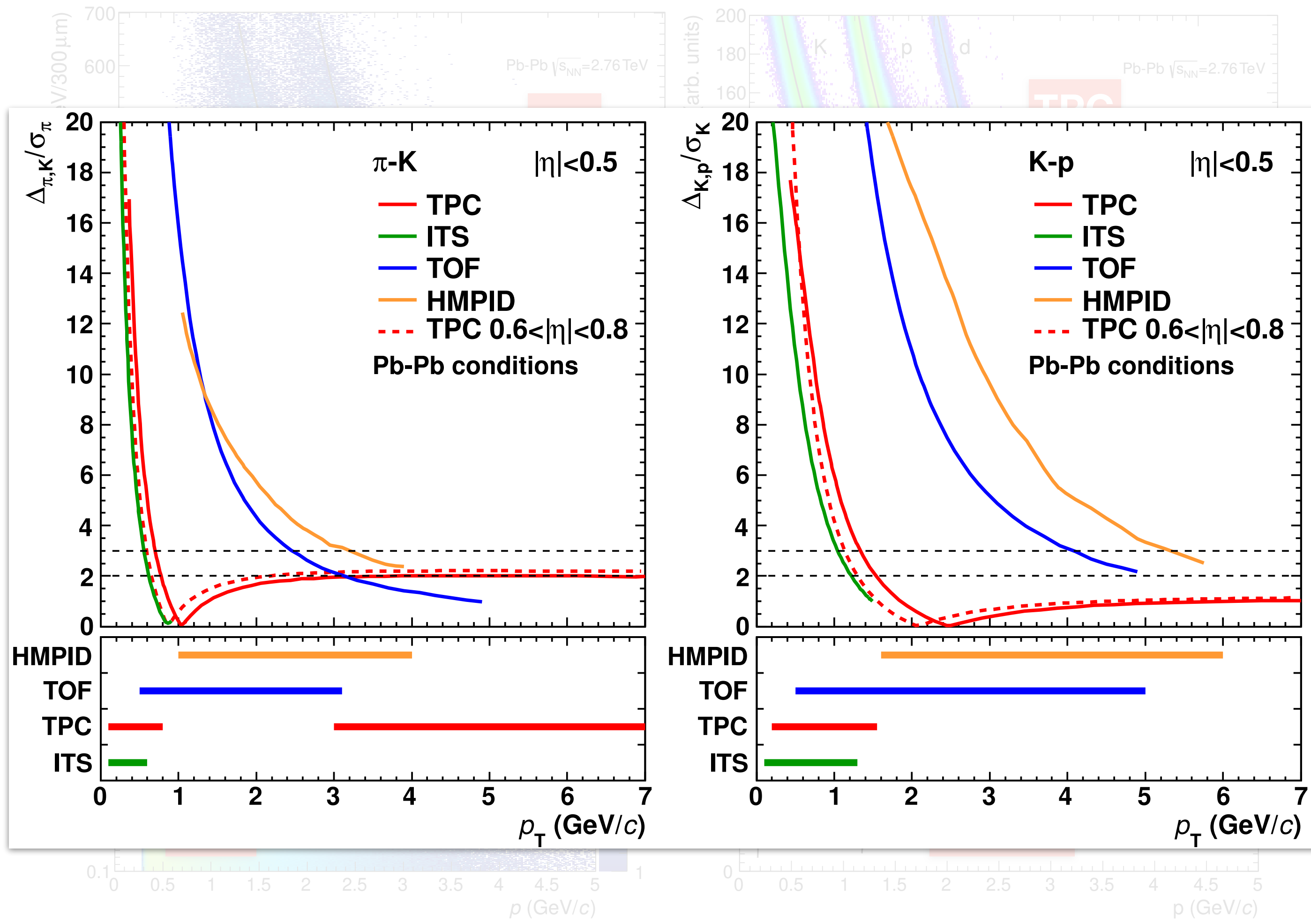


# Particle identification

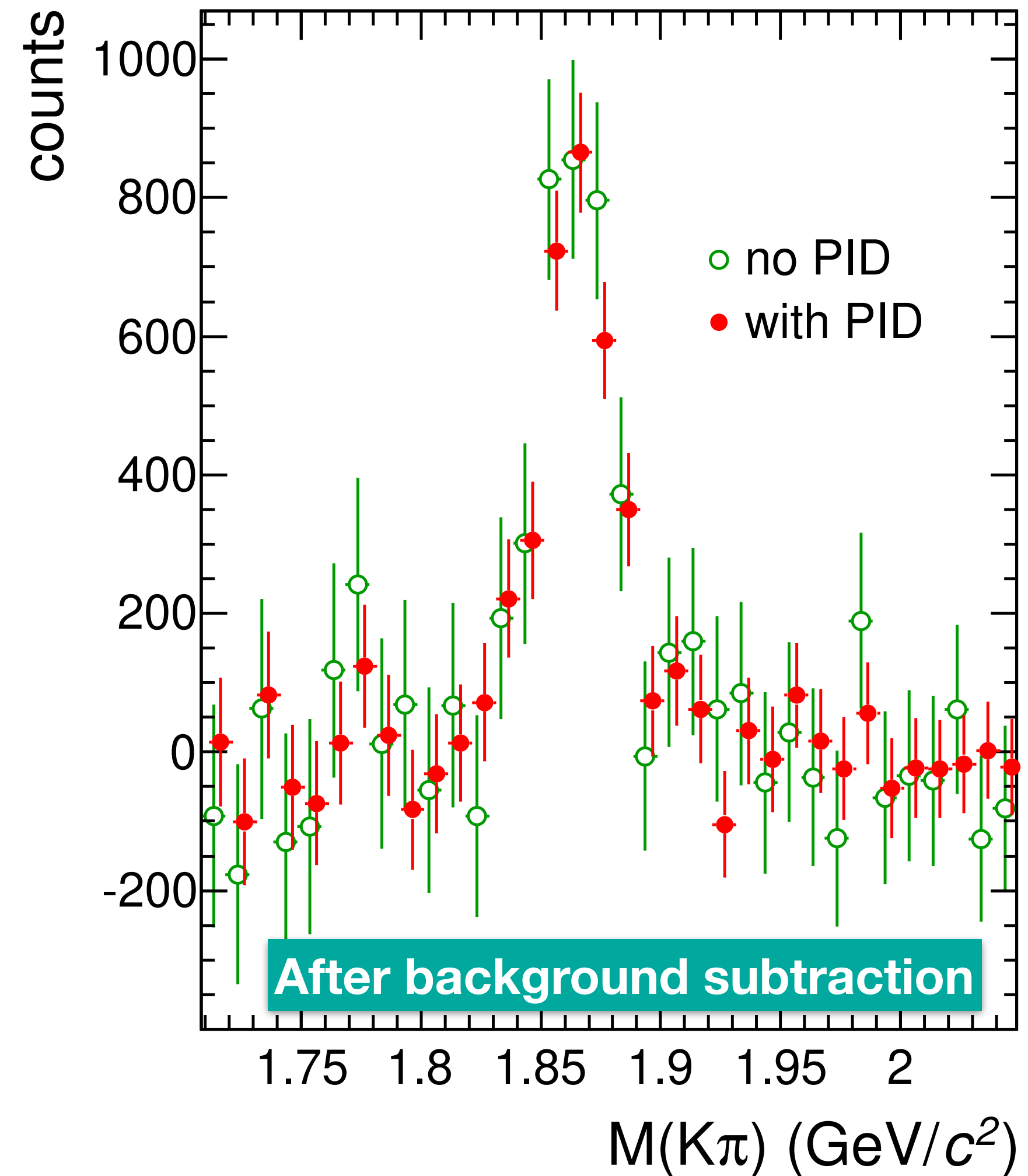
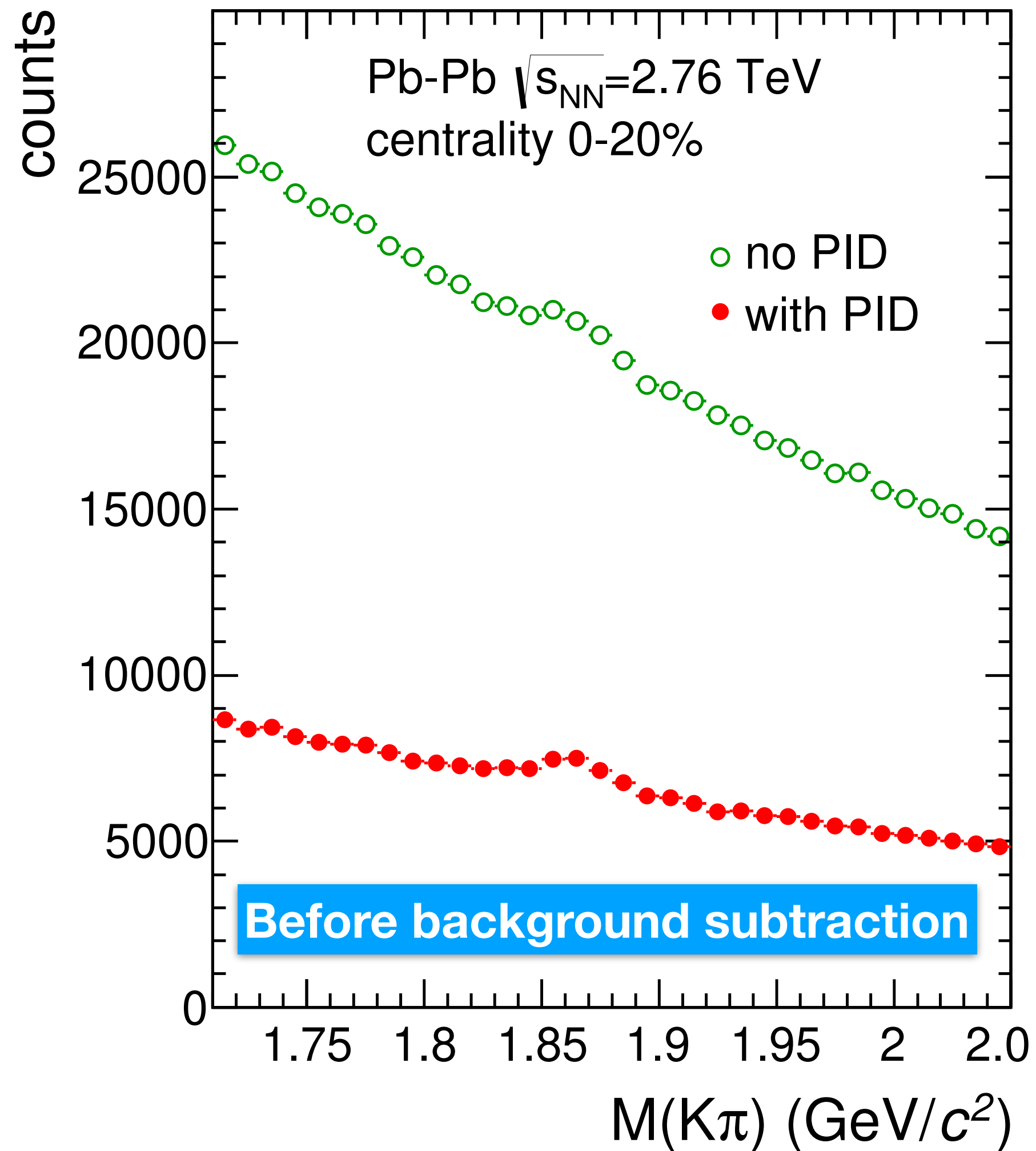




# Particle identification



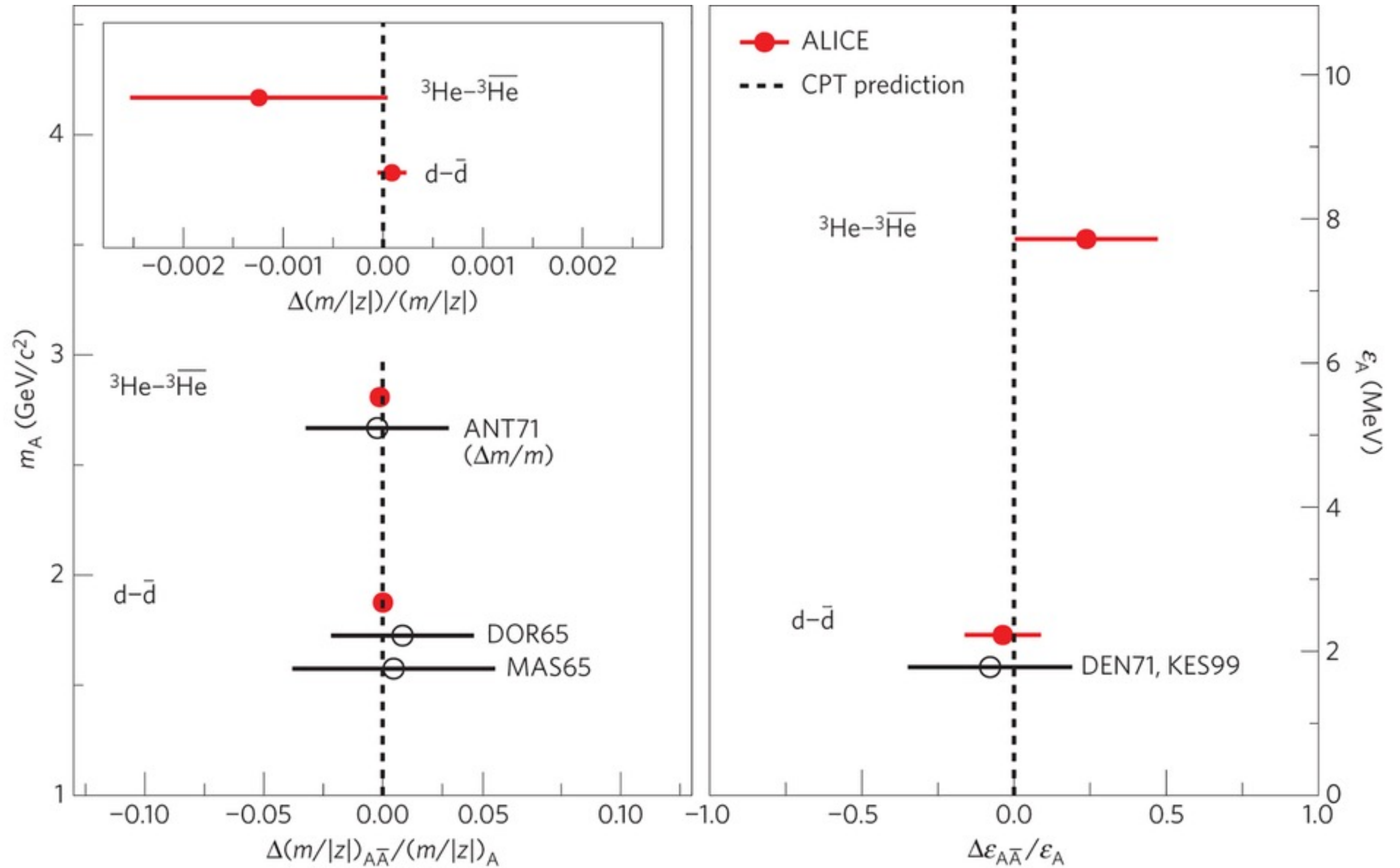
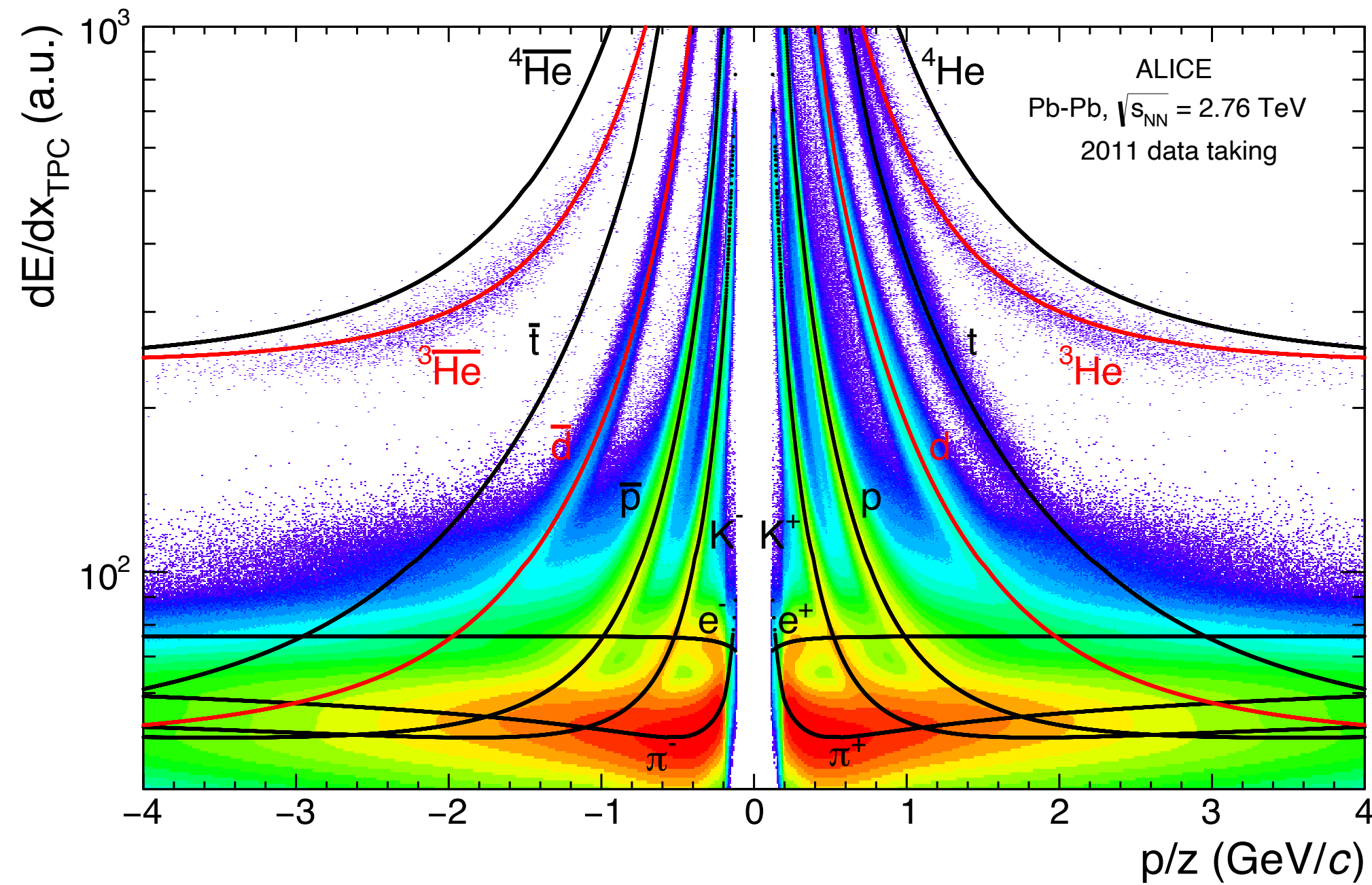
# D<sup>0</sup> selection with PID



# Mass difference of (anti)-nuclei



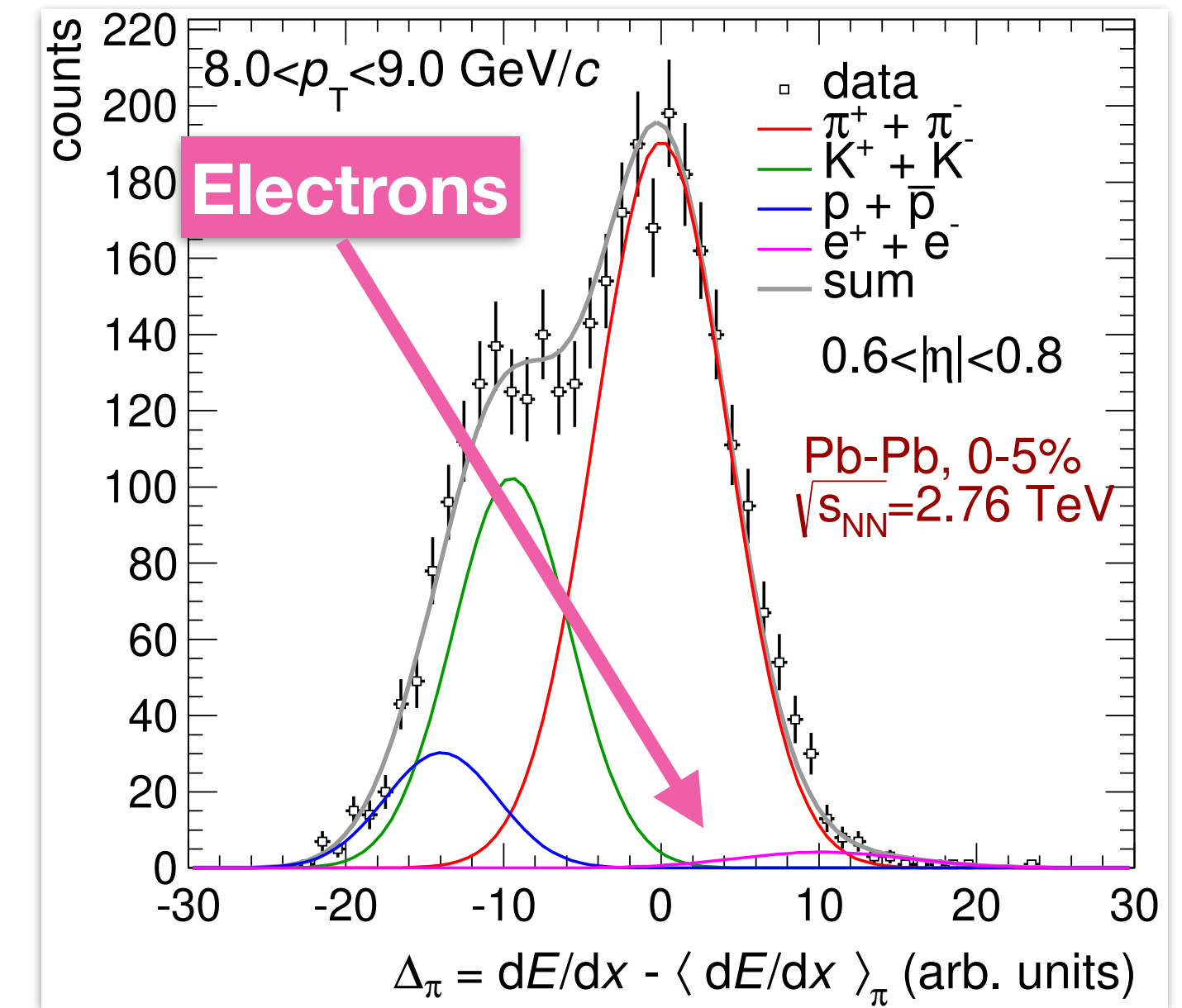
- Test of CPT invariance of residual nuclear force by measuring mass difference in the nuclei sector ( ${}^3\text{He}$  and deuterons)



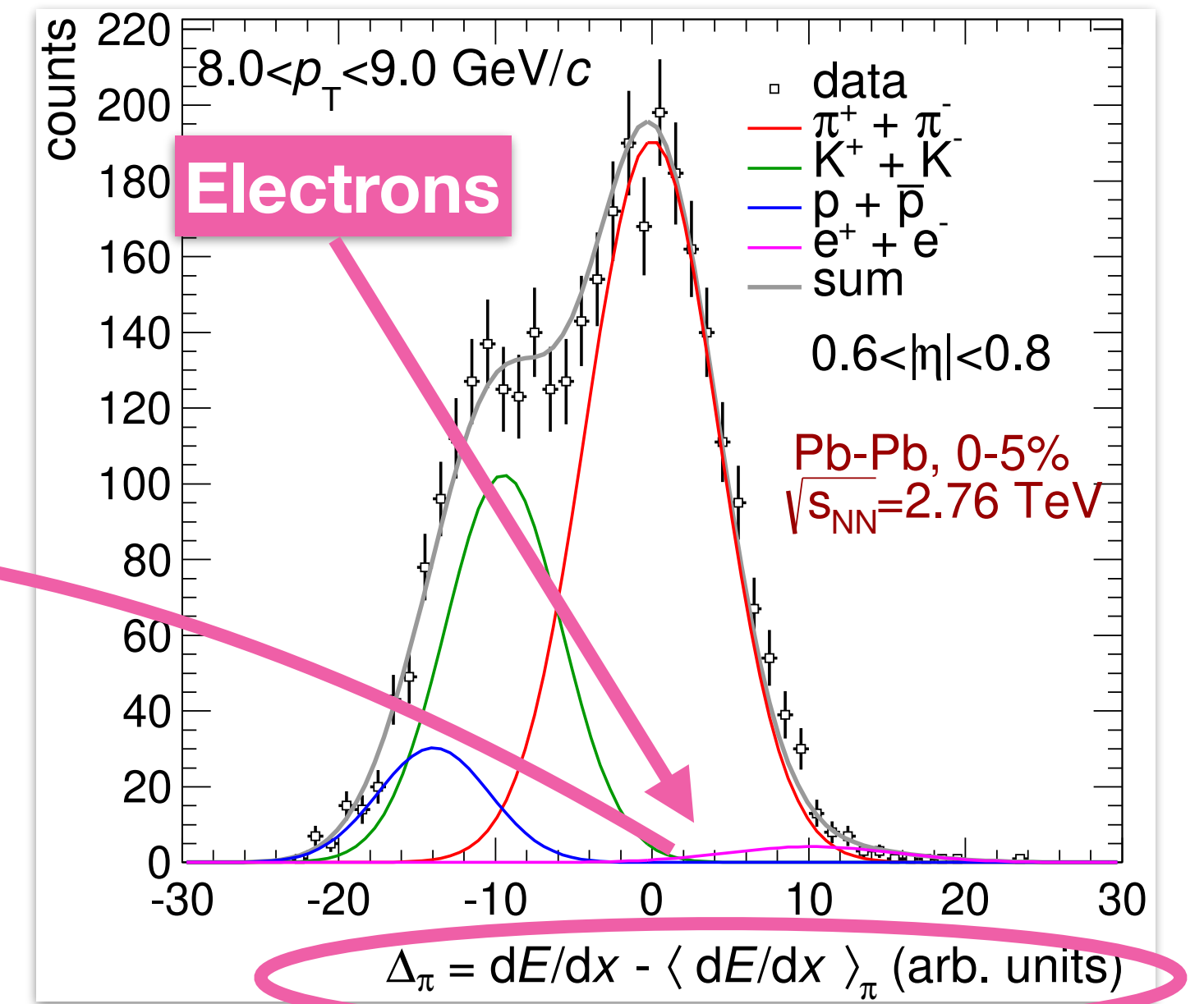
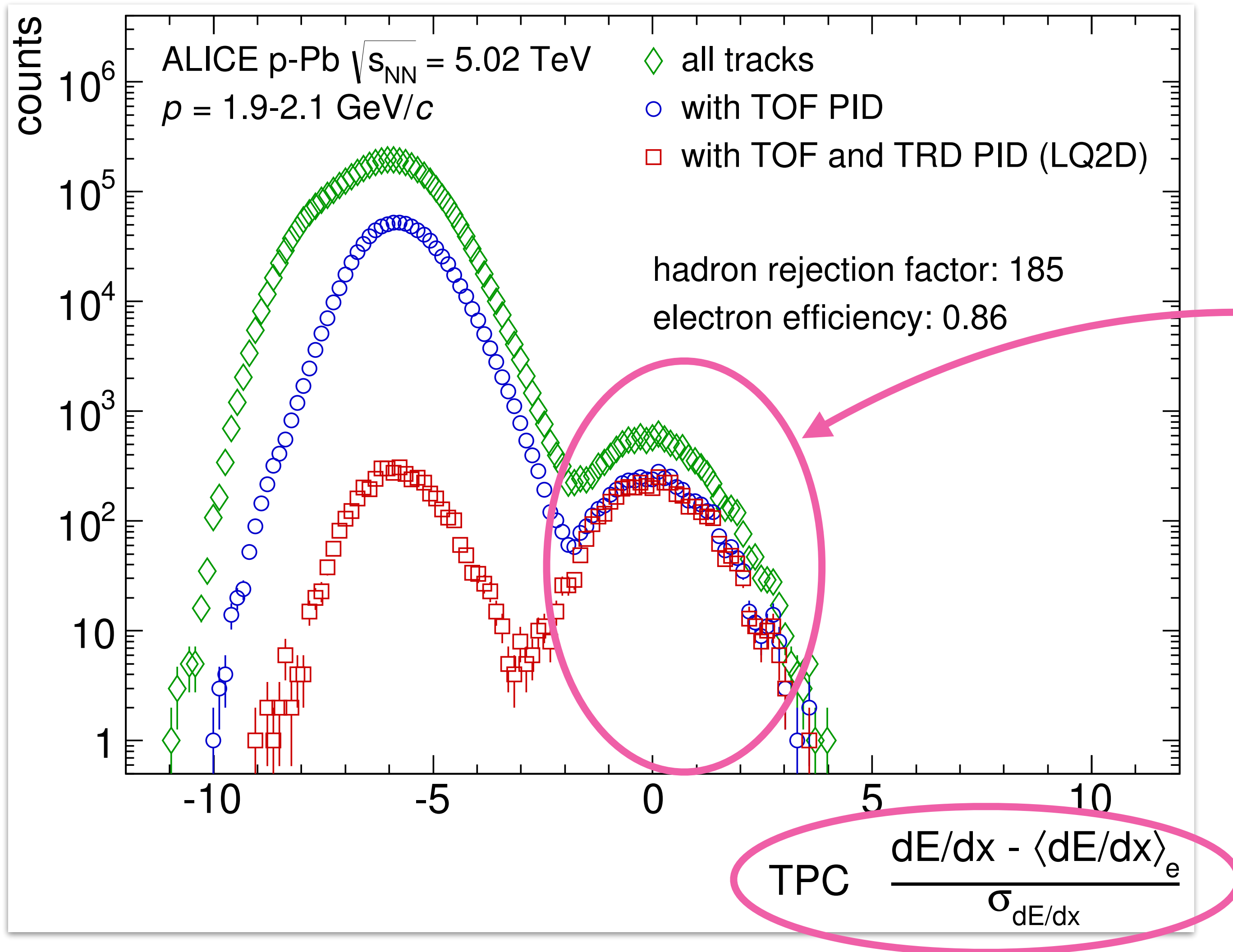
- First measurement of binding-energy for (anti-) ${}^3\text{He}$
- Confirms CPT invariance for light nuclei

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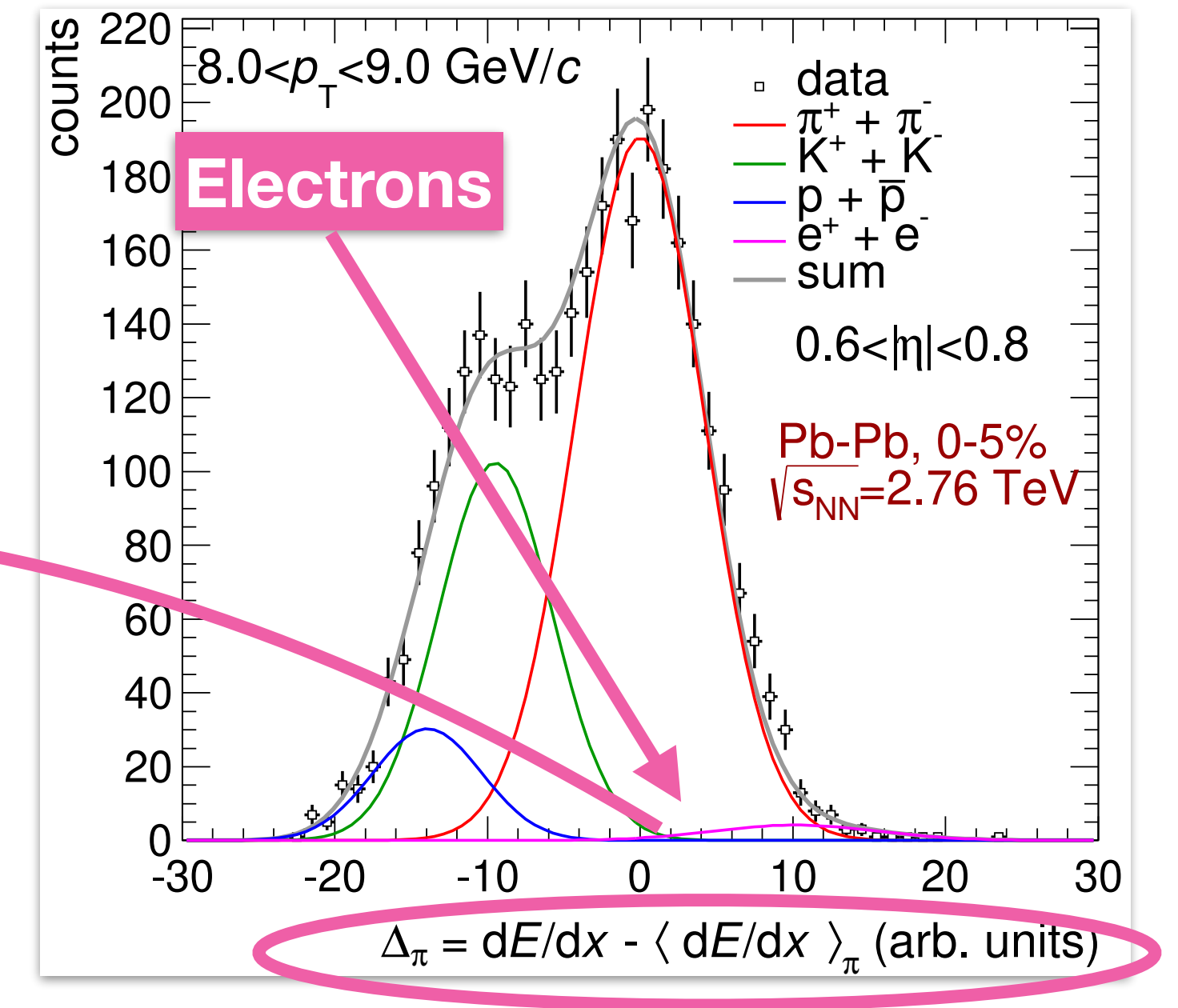
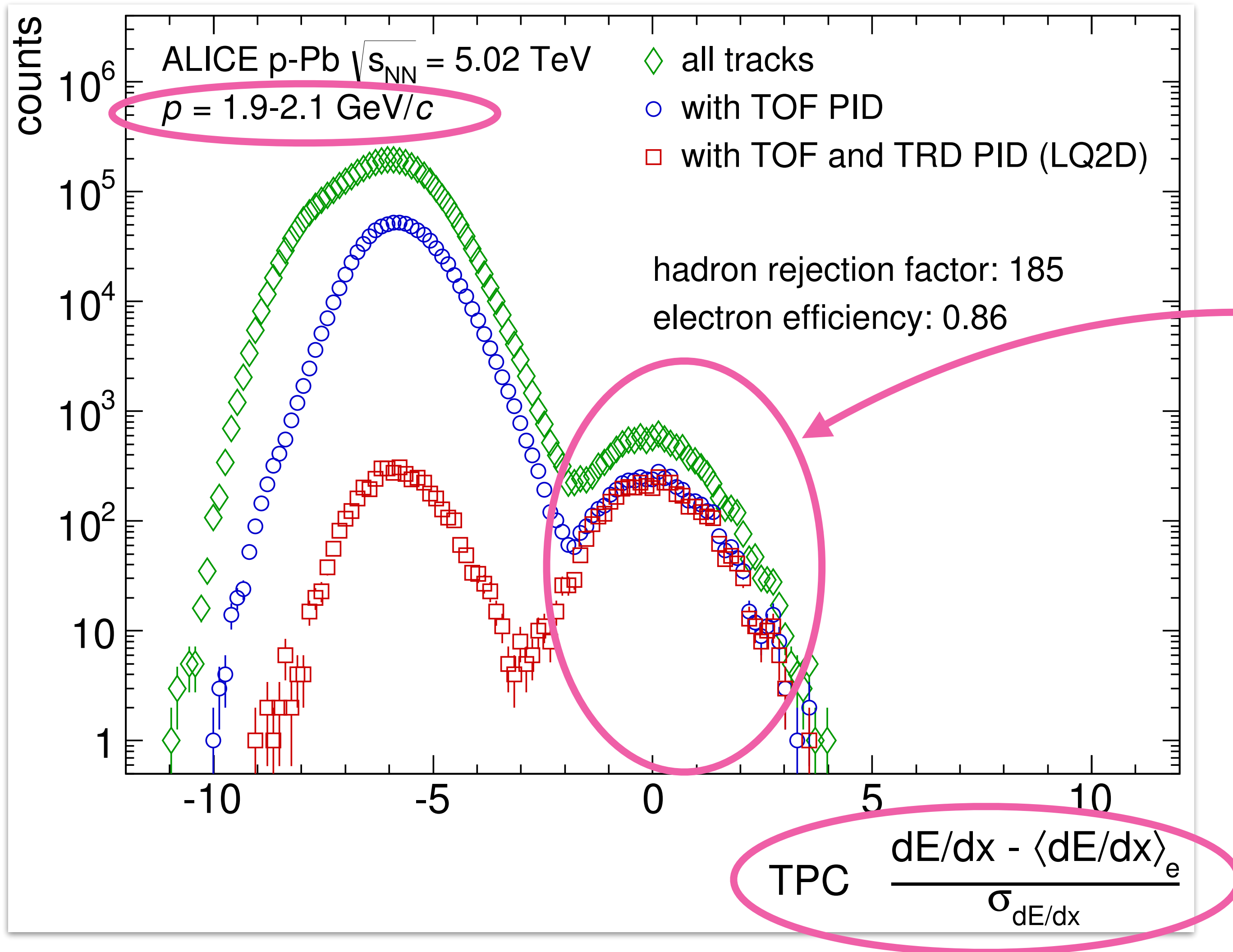
# Electron identification



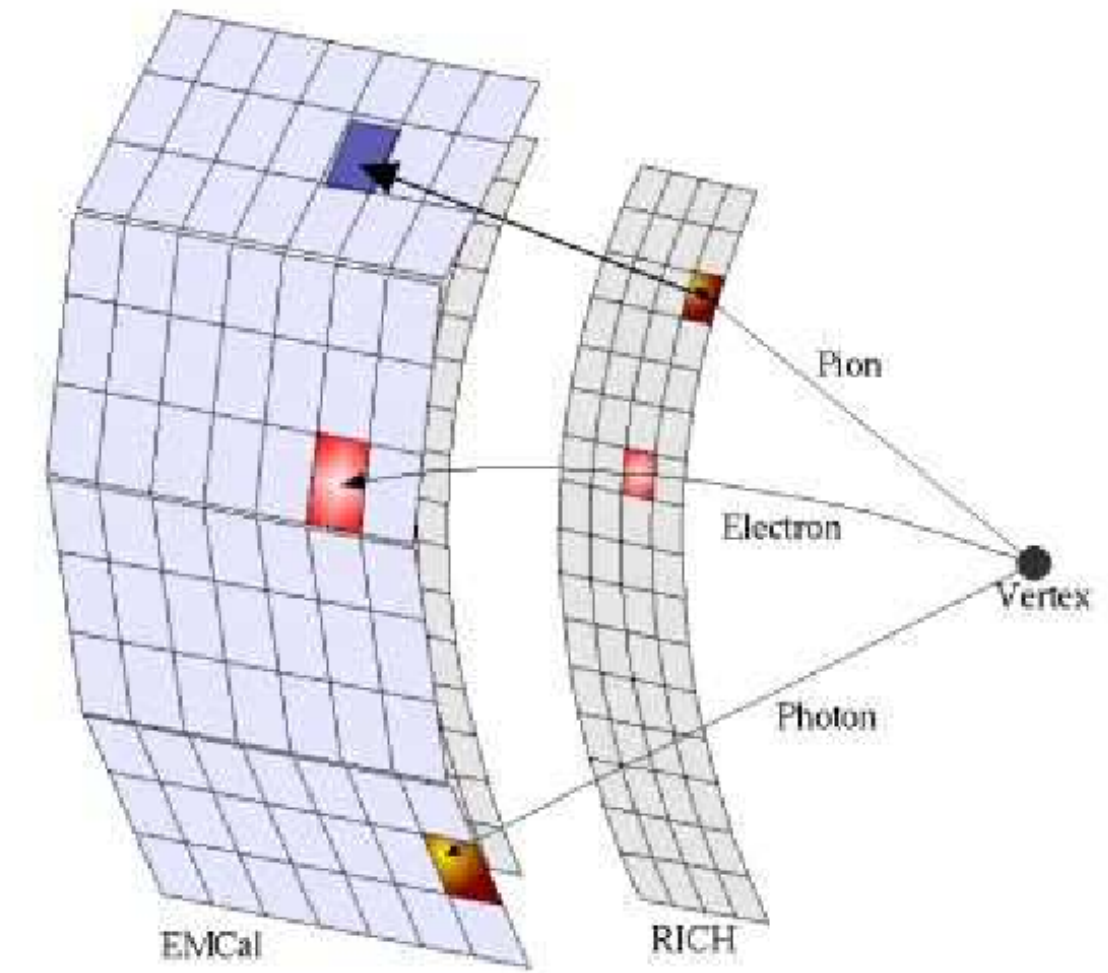
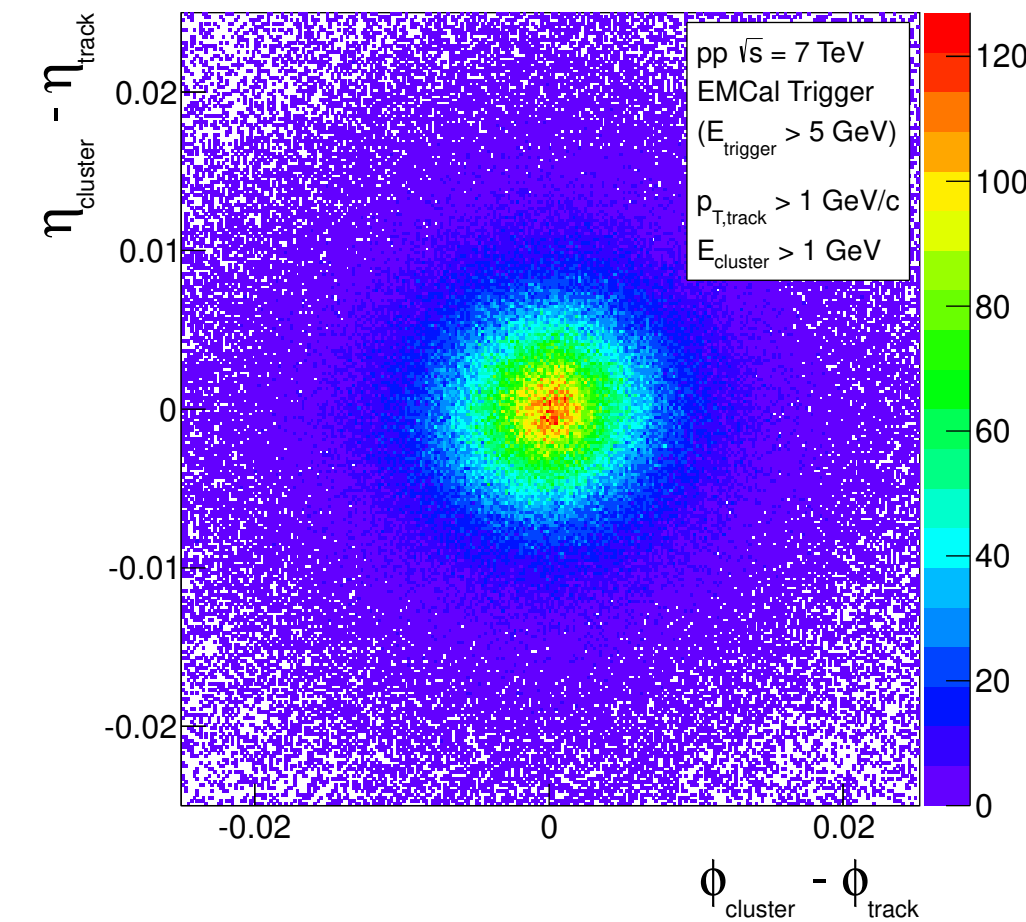
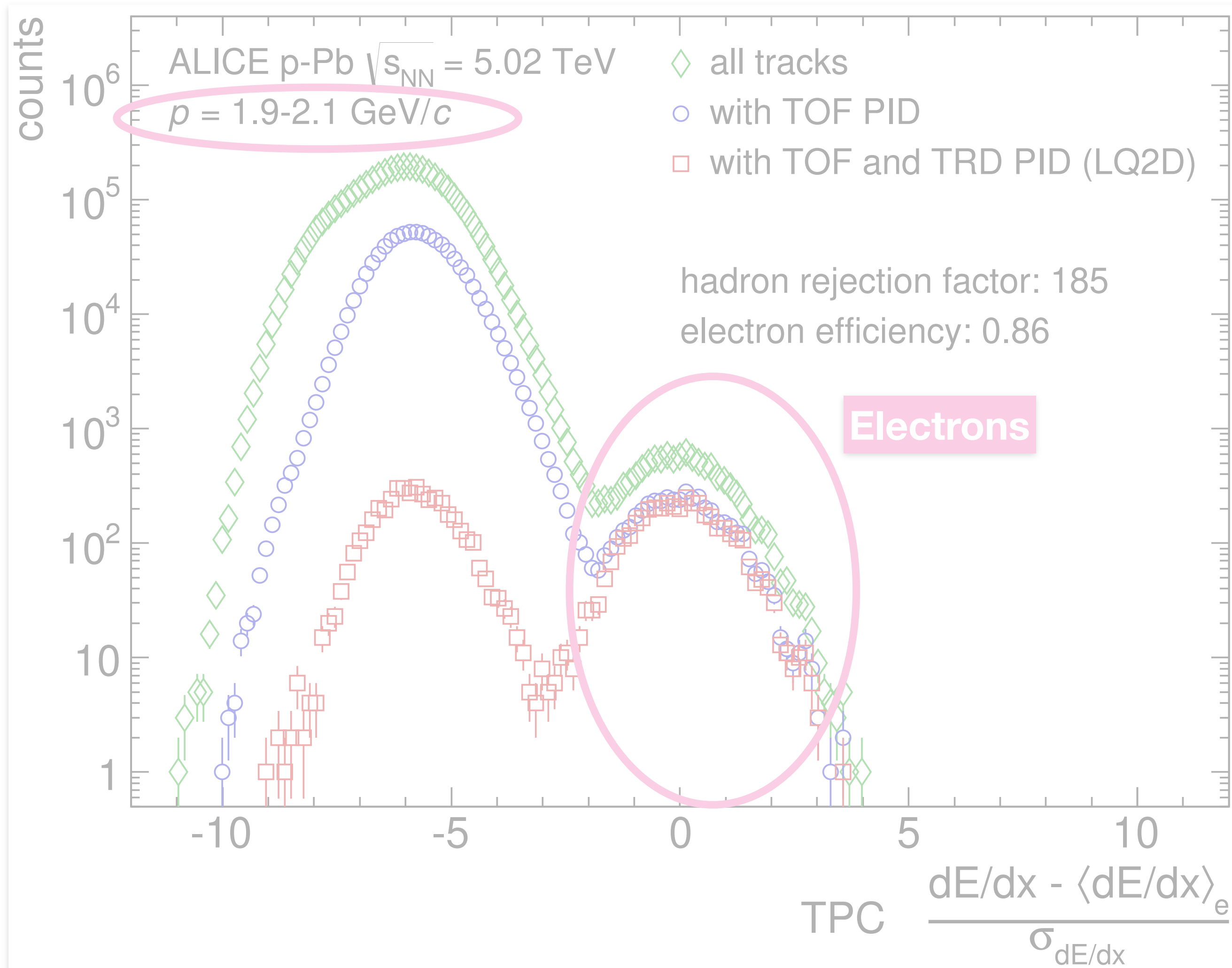
# Electron identification



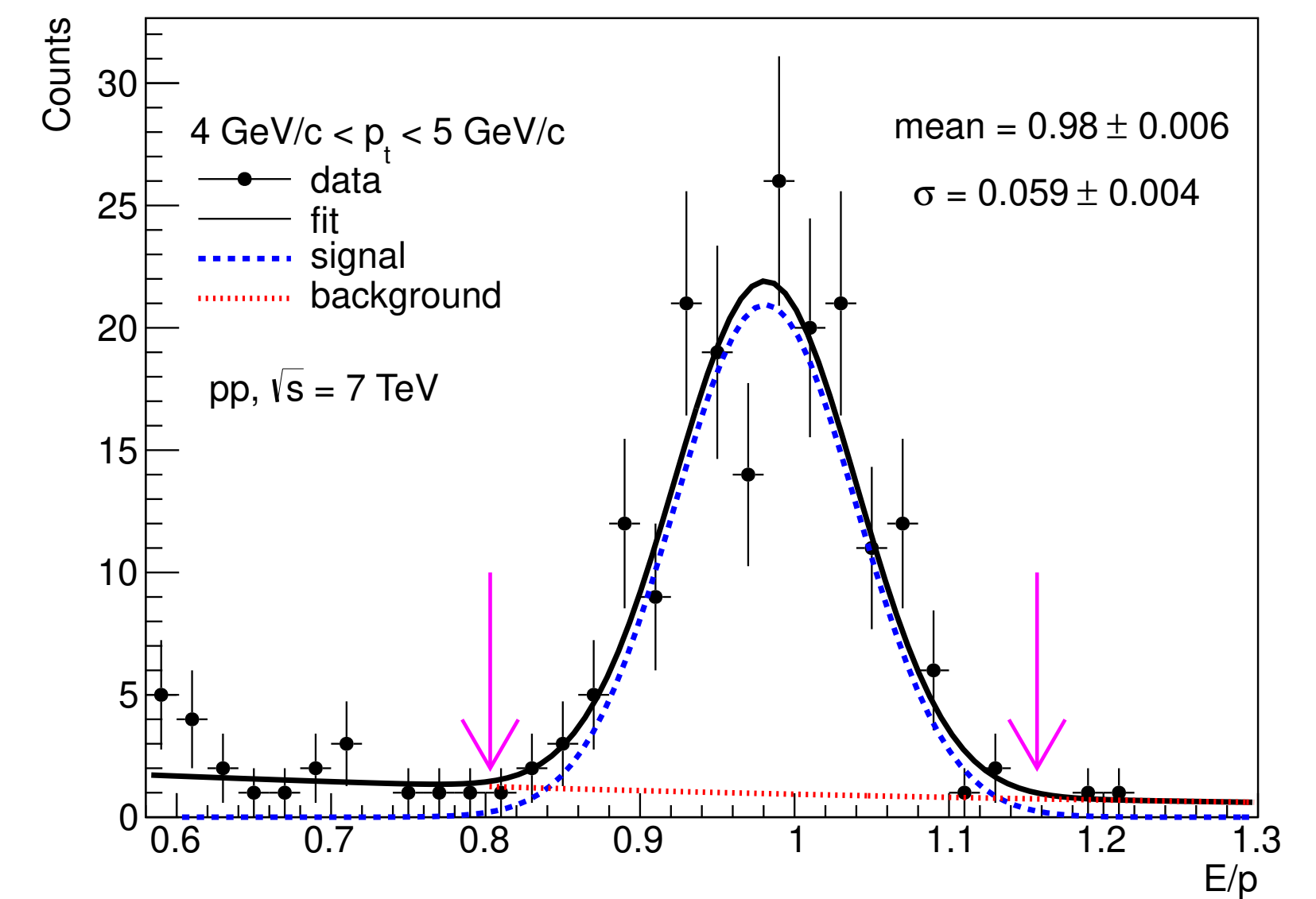
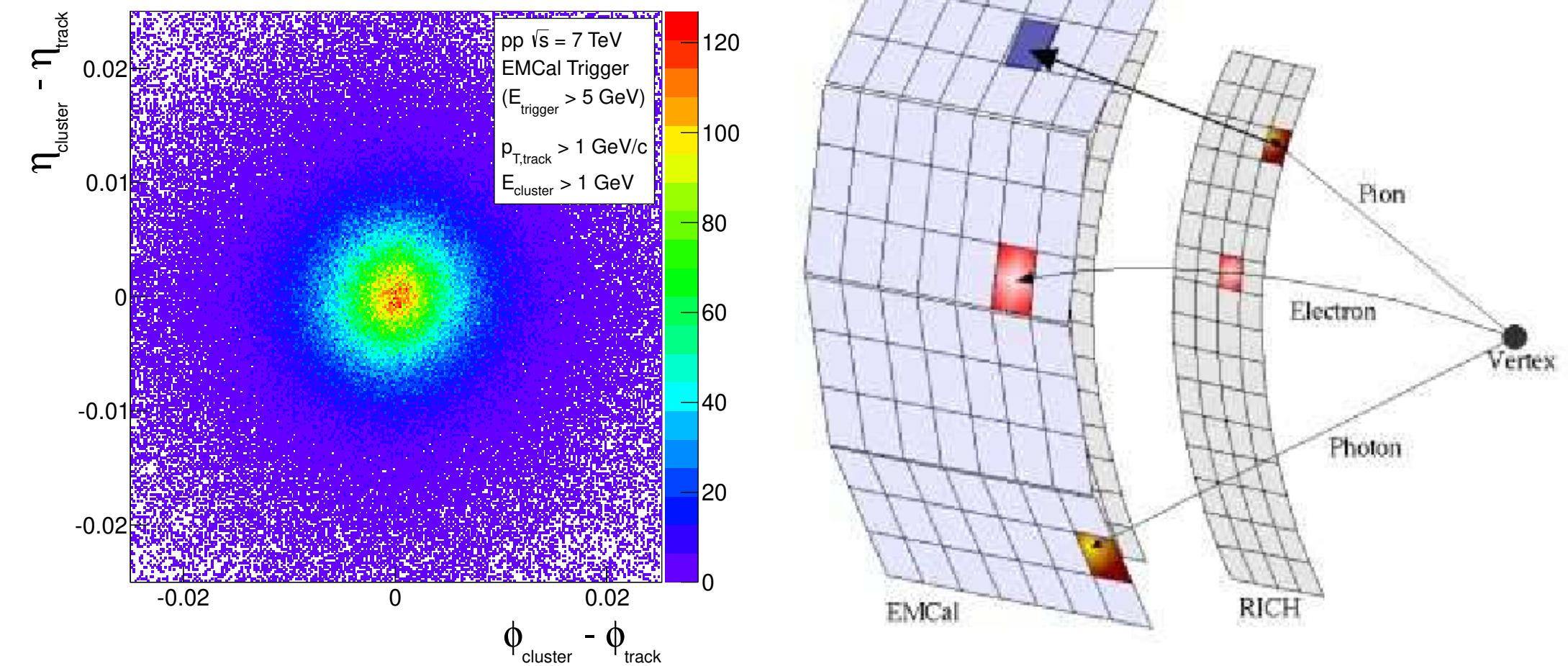
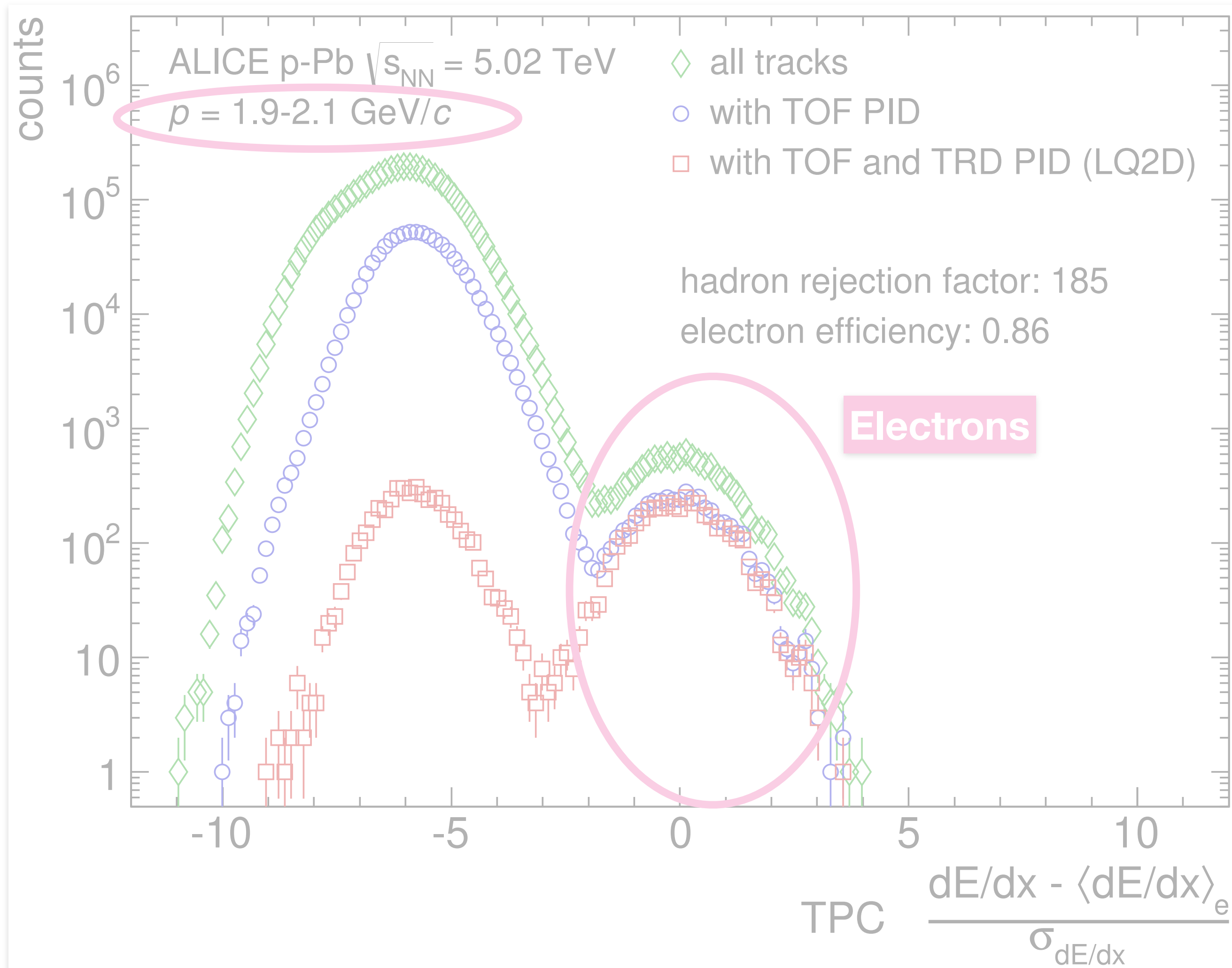
# Electron identification



# Electron identification

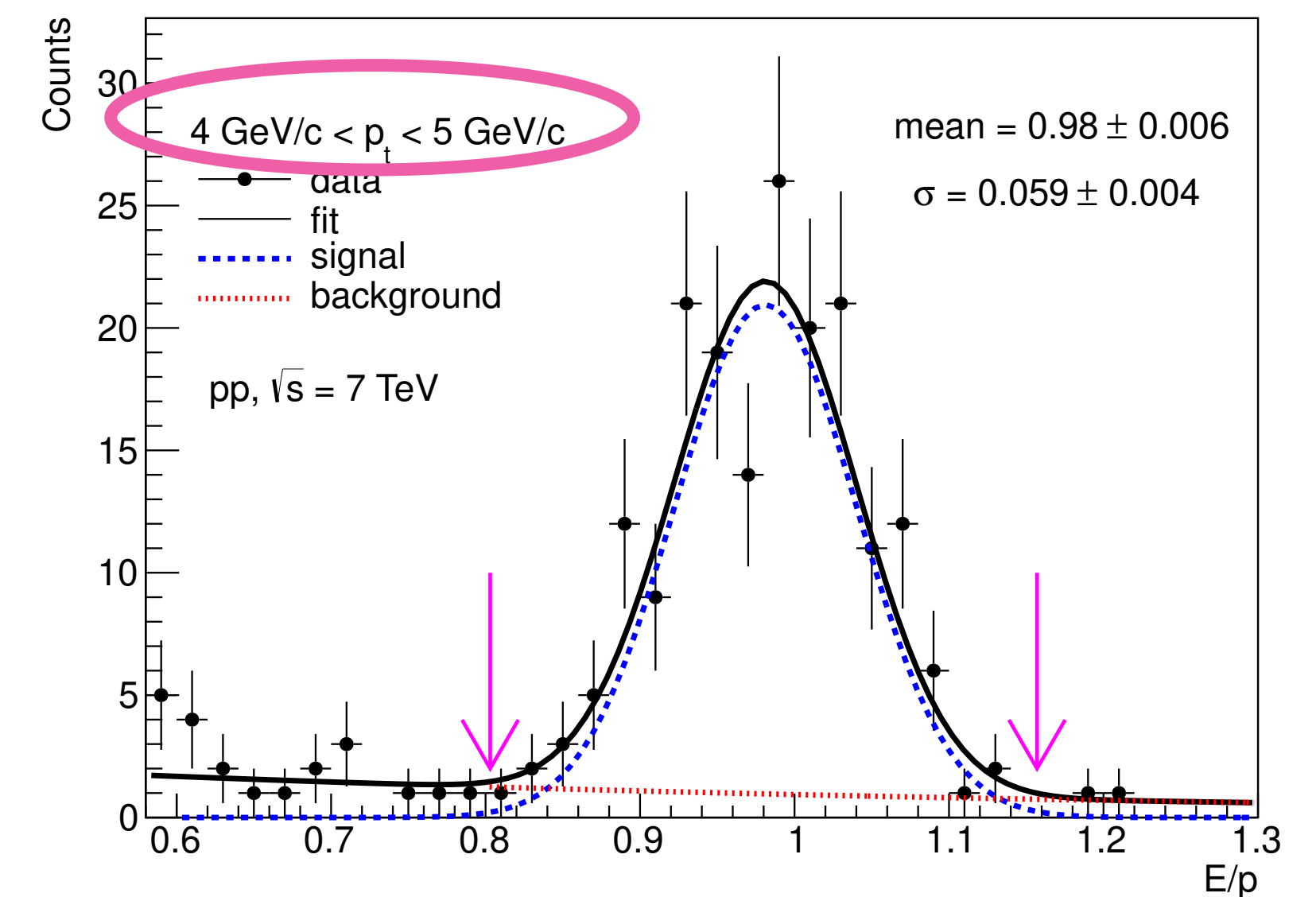
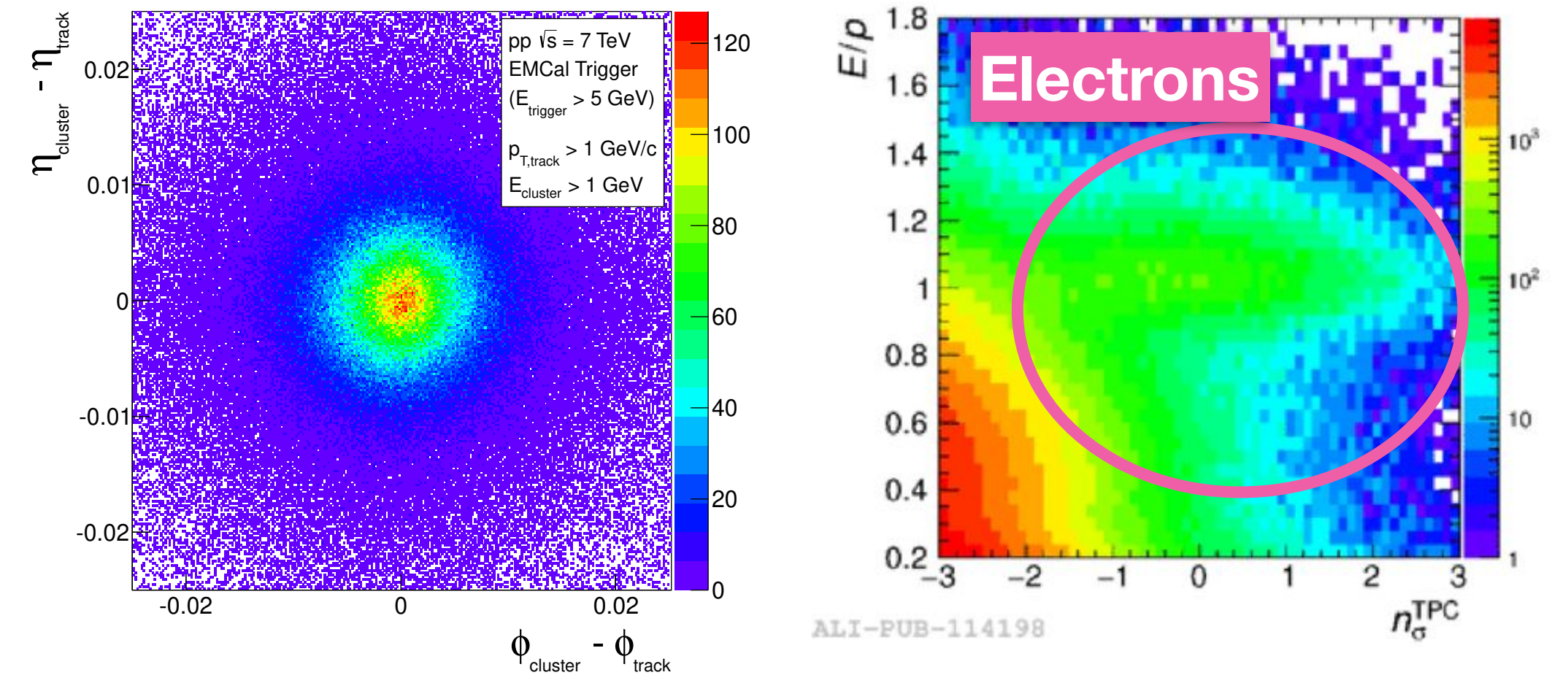
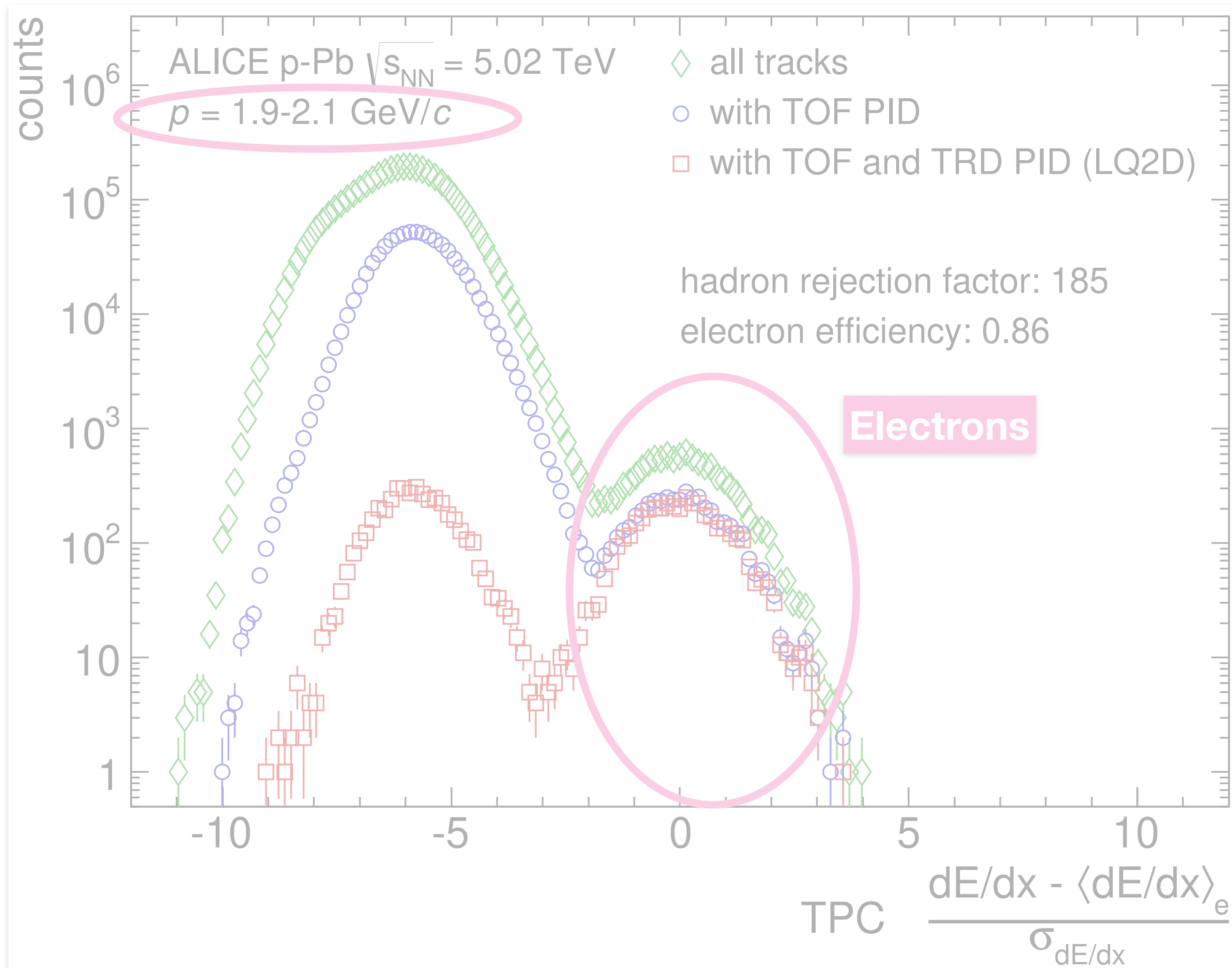


# Electron identification

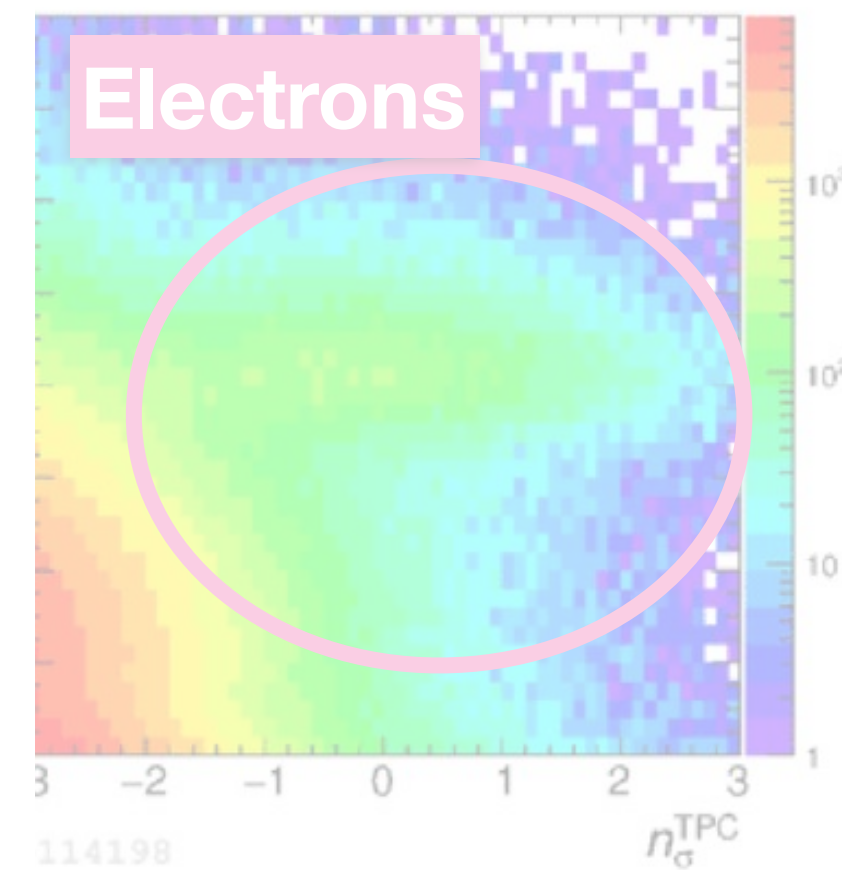
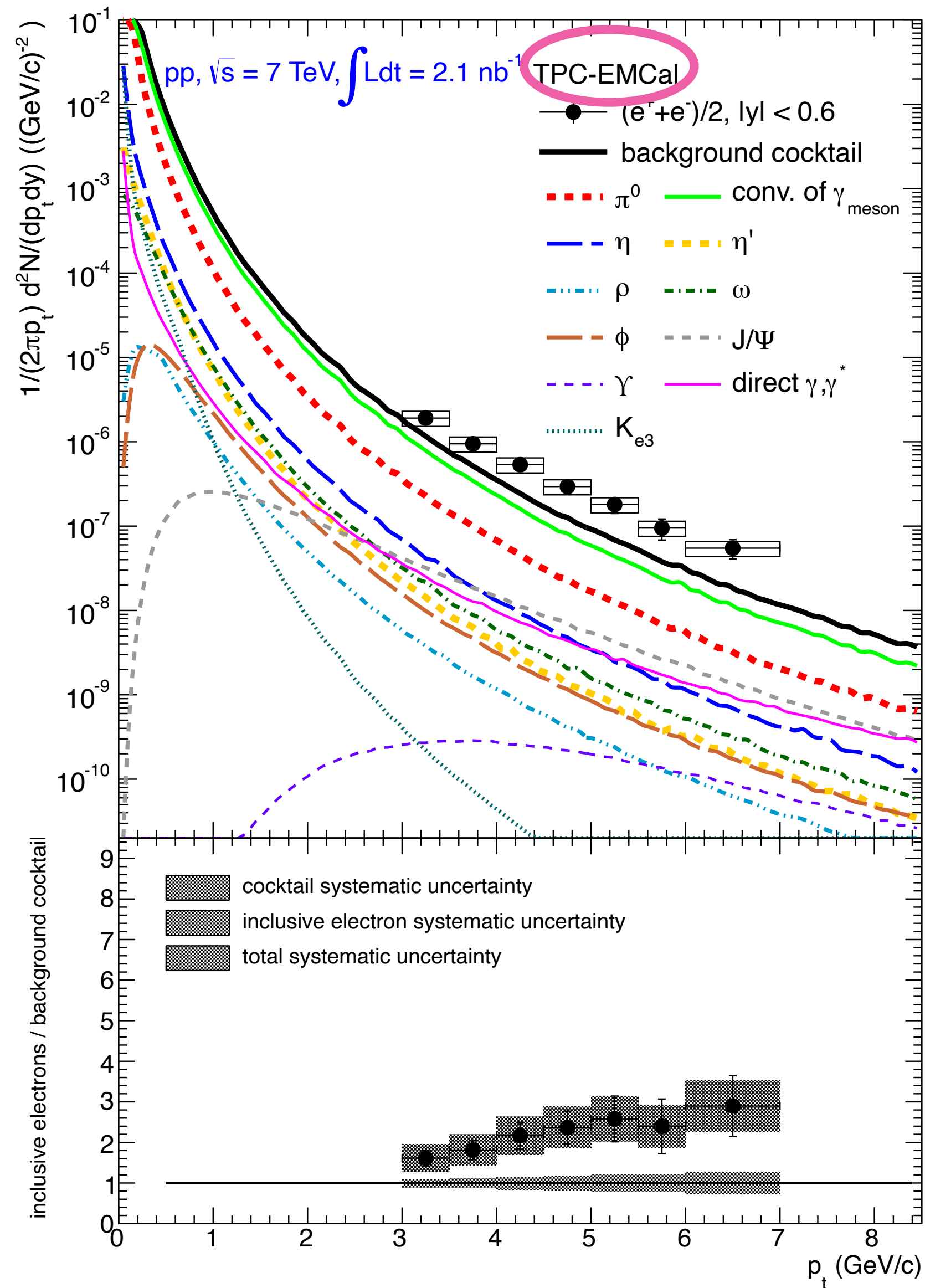
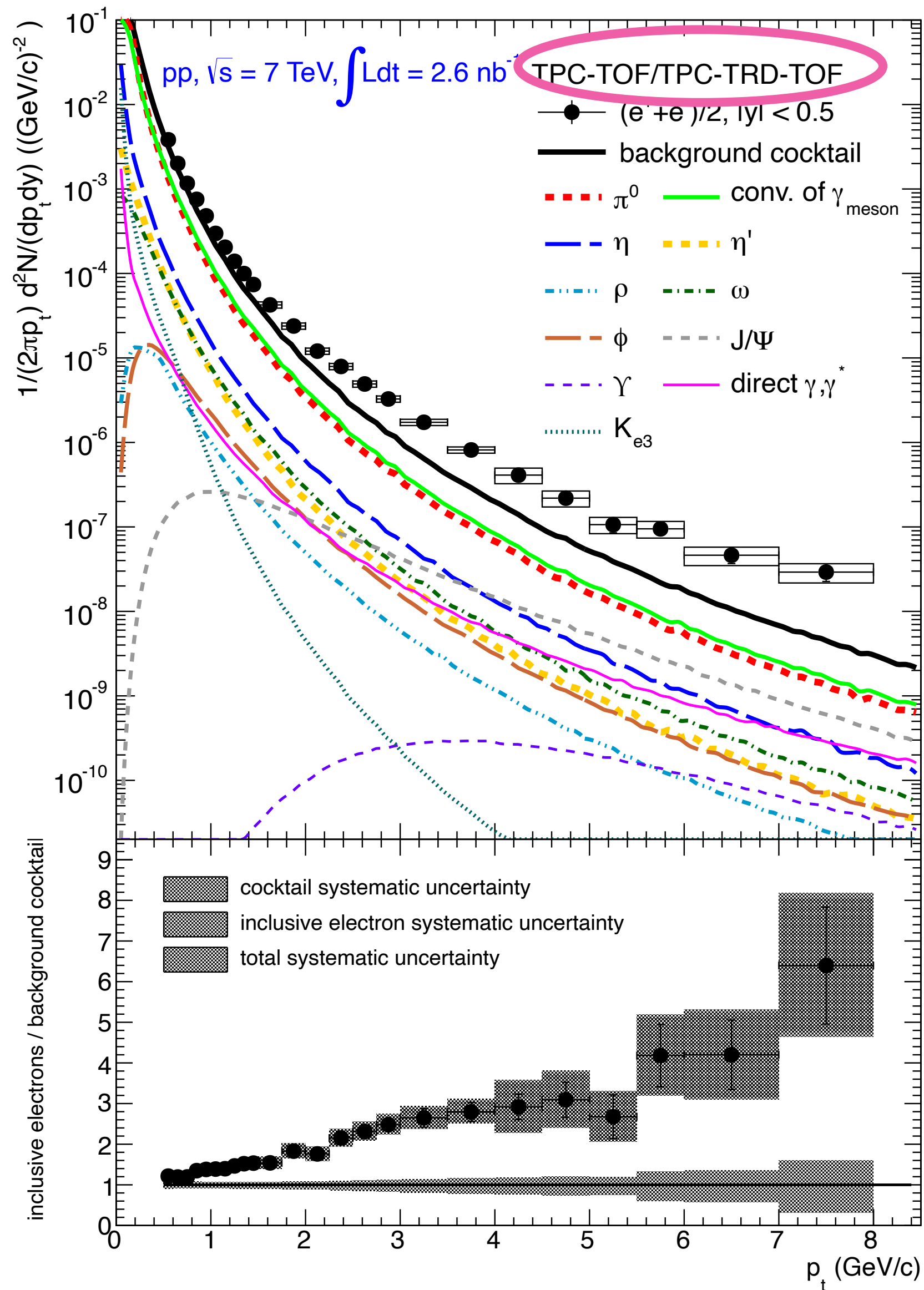
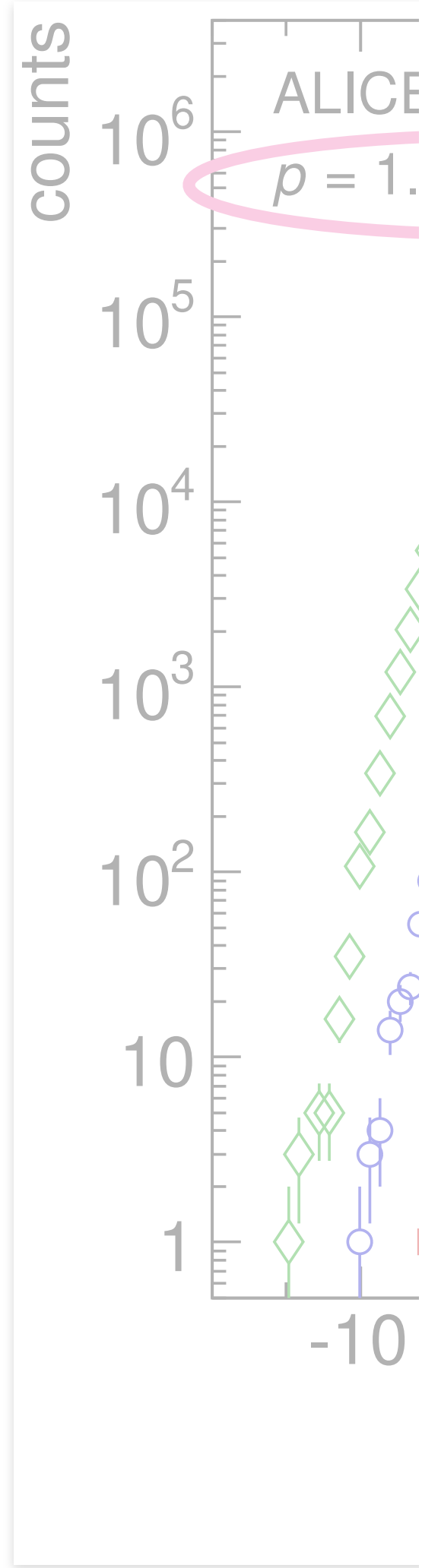




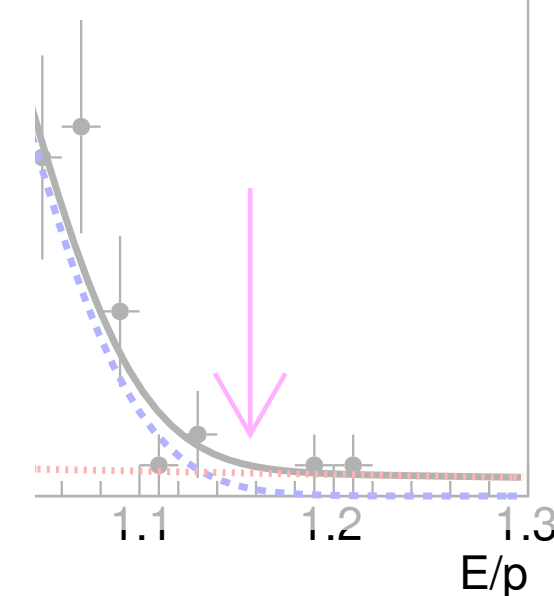
# Electron identification



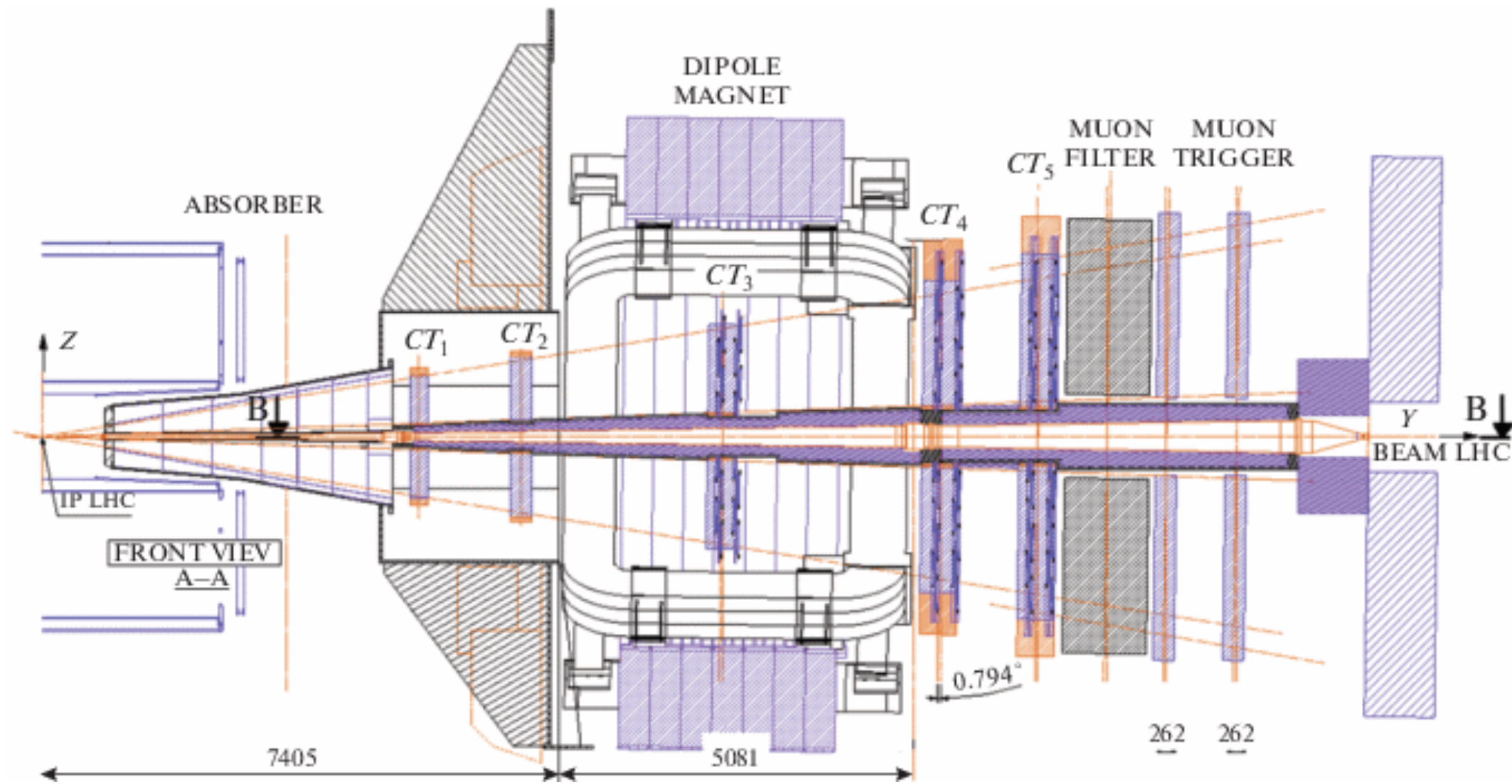
# Heavy flavour decay electrons



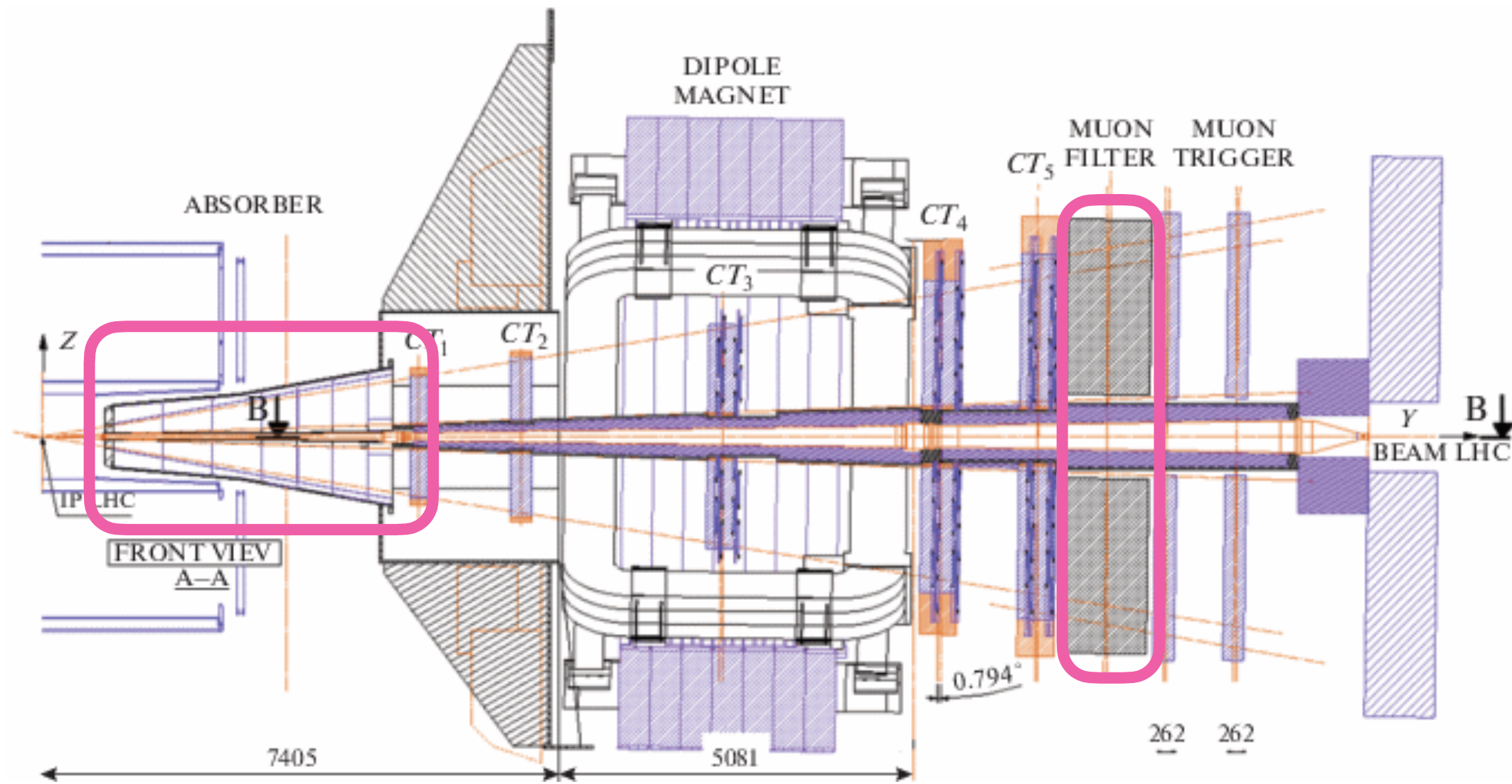
mean =  $0.98 \pm 0.006$   
 $\sigma = 0.059 \pm 0.004$



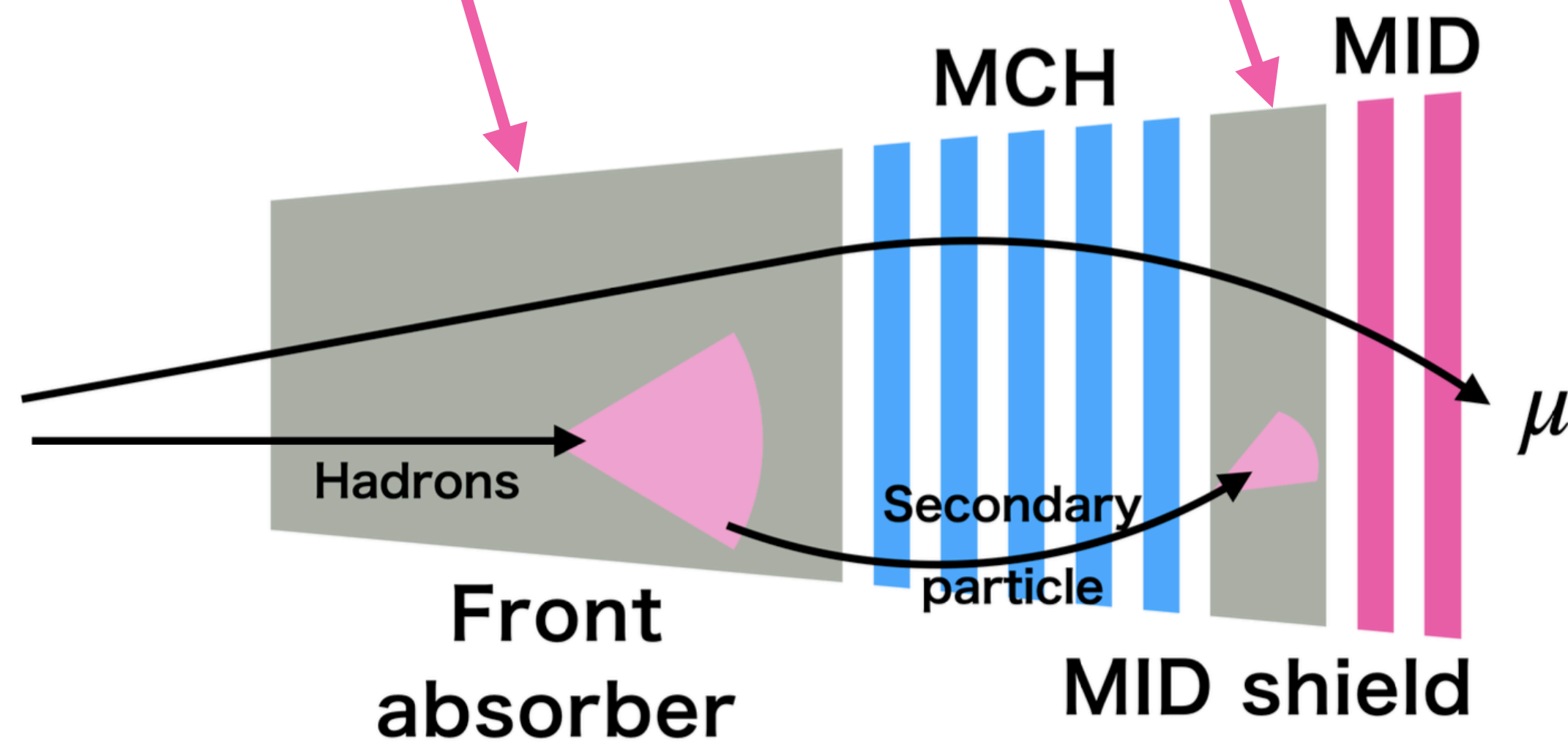
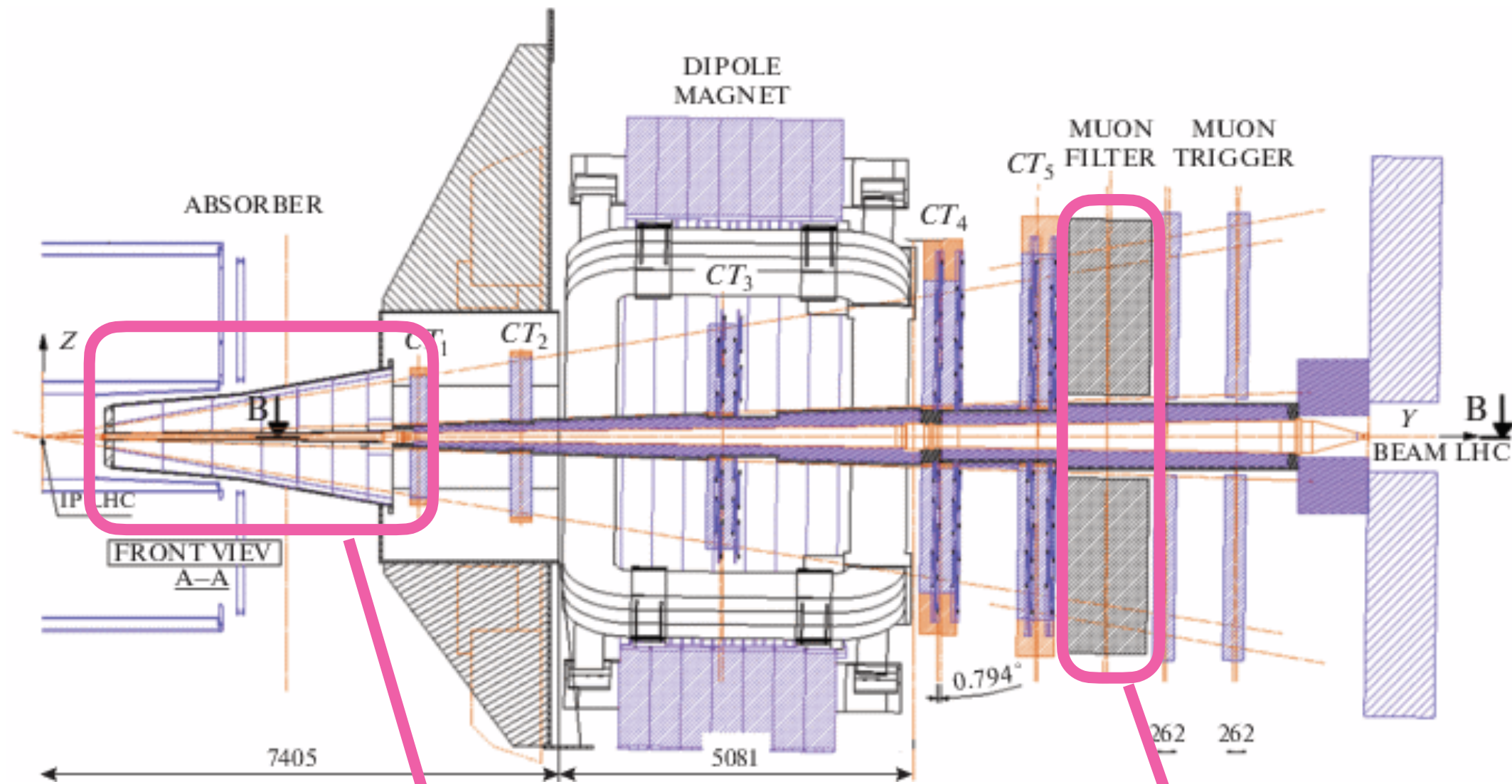
# Heavy flavour decay muons



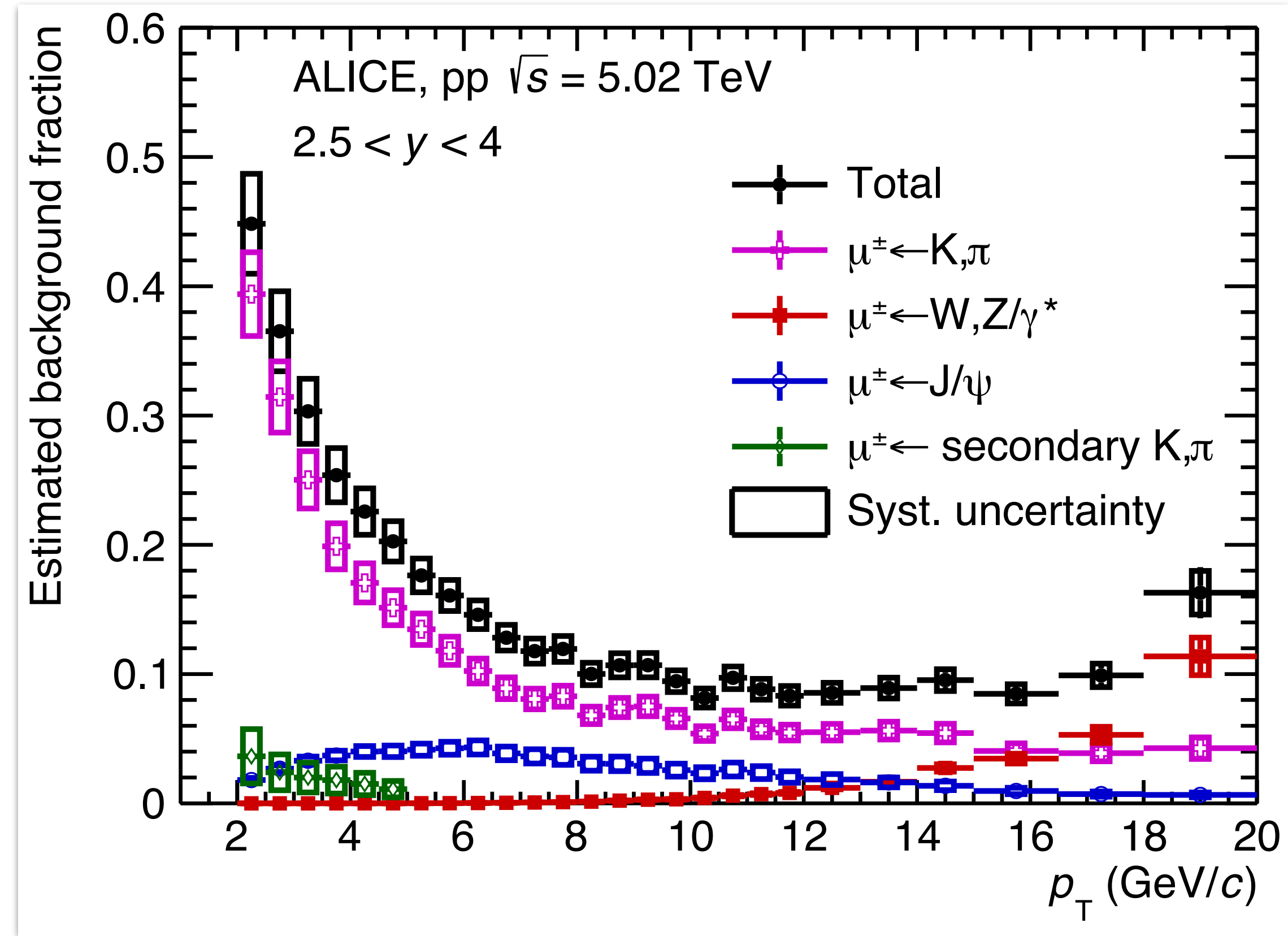
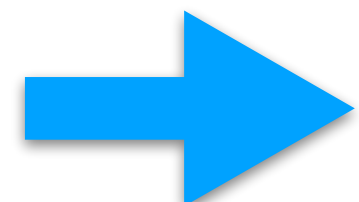
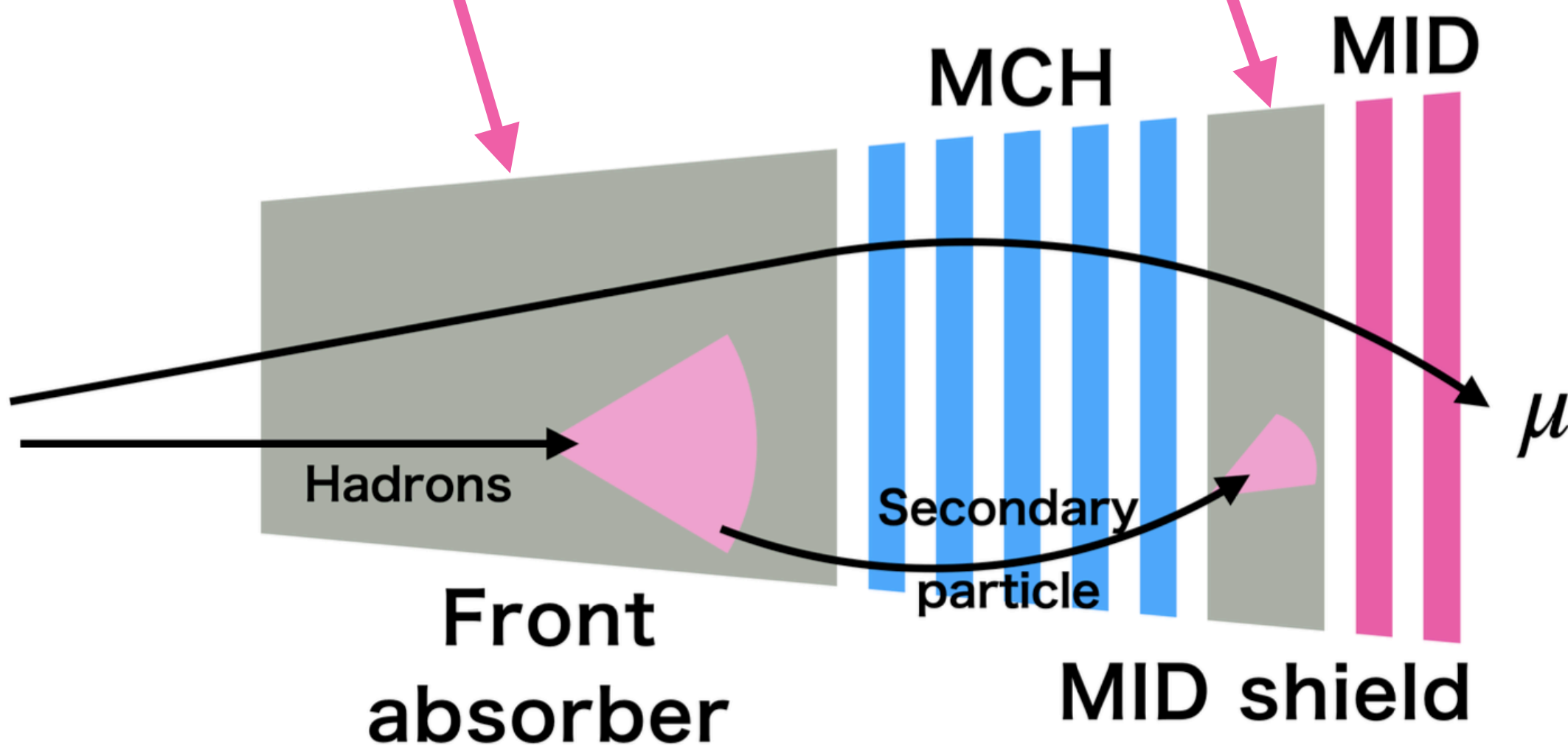
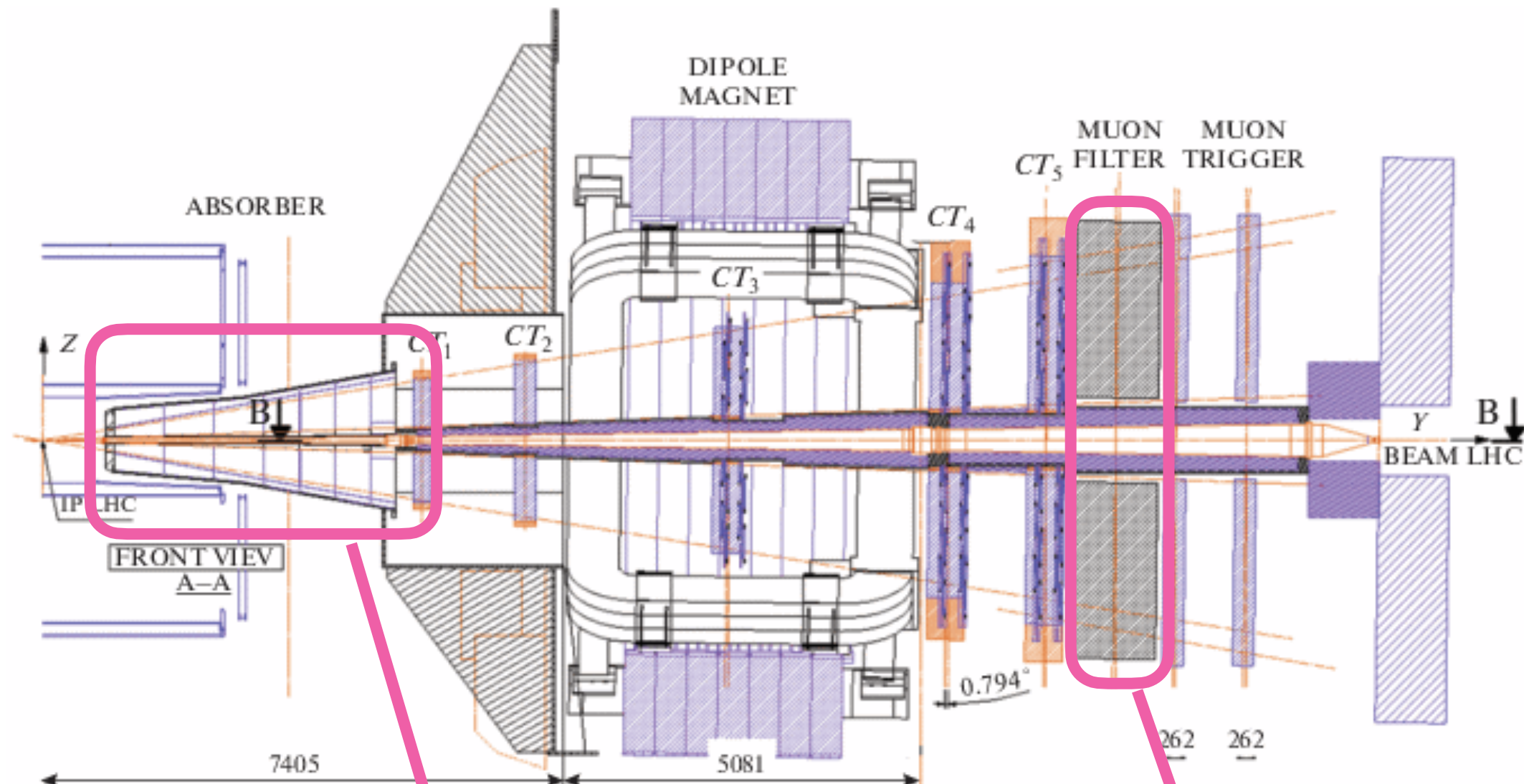
# Heavy flavour decay muons



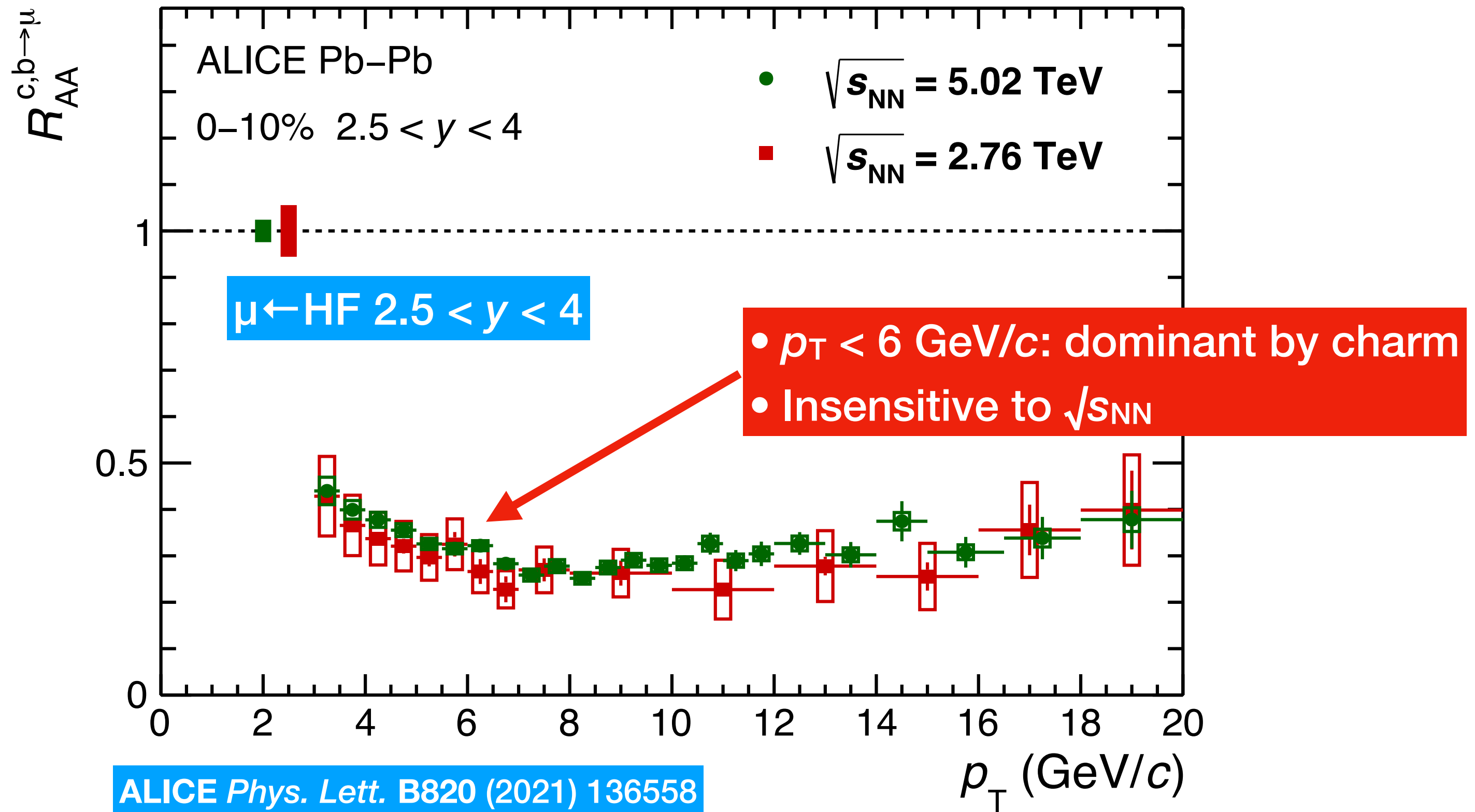
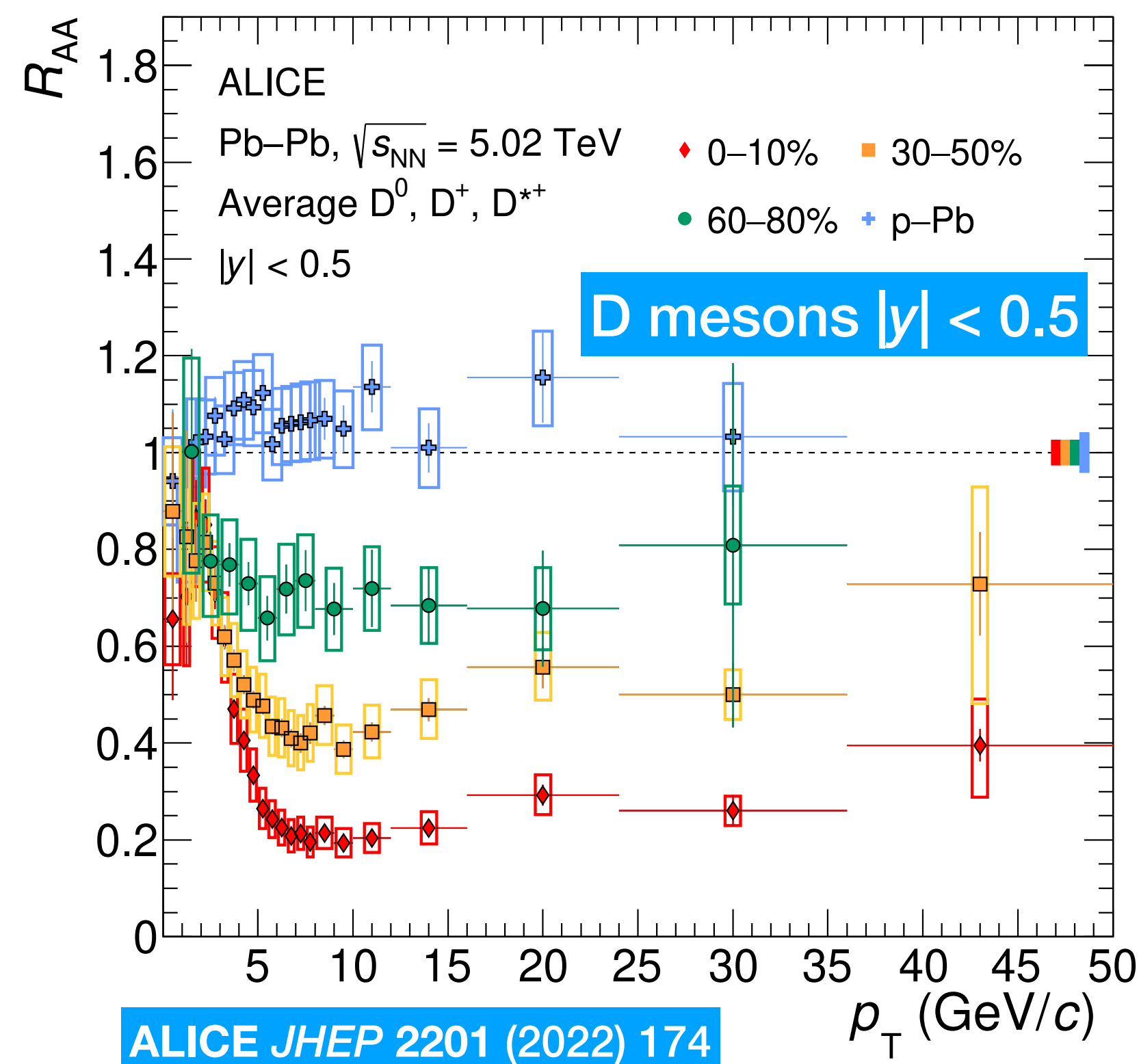
# Heavy flavour decay muons



# Heavy flavour decay muons

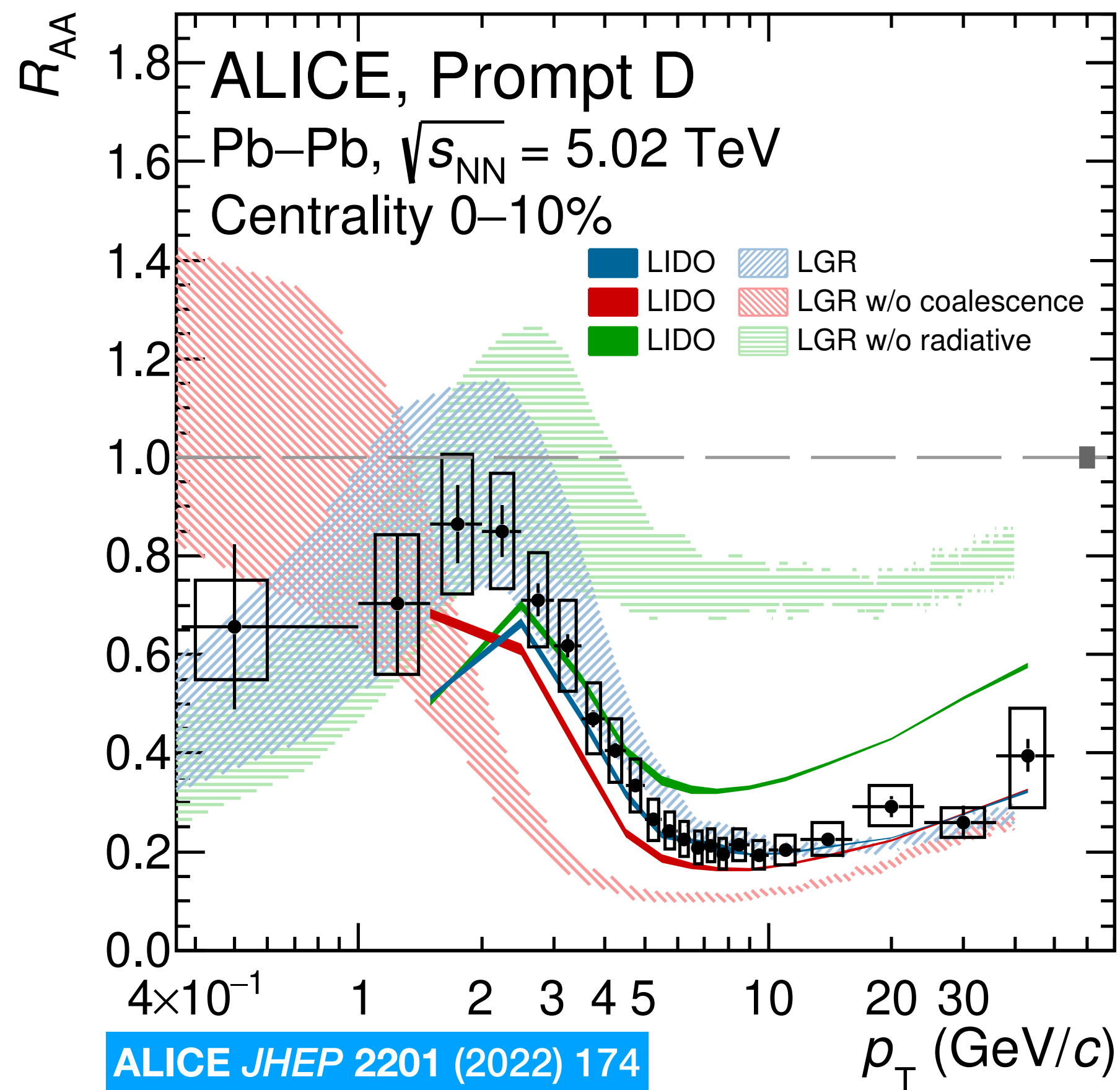


# Charmed particle $R_{AA}$



- Suppression increases from peripheral to central collisions
  - Similar suppression in the **most central** collisions between mid- and forward-rapidity
- ➔ Charm quarks undergo strong energy loss in a wide rapidity range

# Charm quark energy loss



**W/o coalescence:** large deviation from data

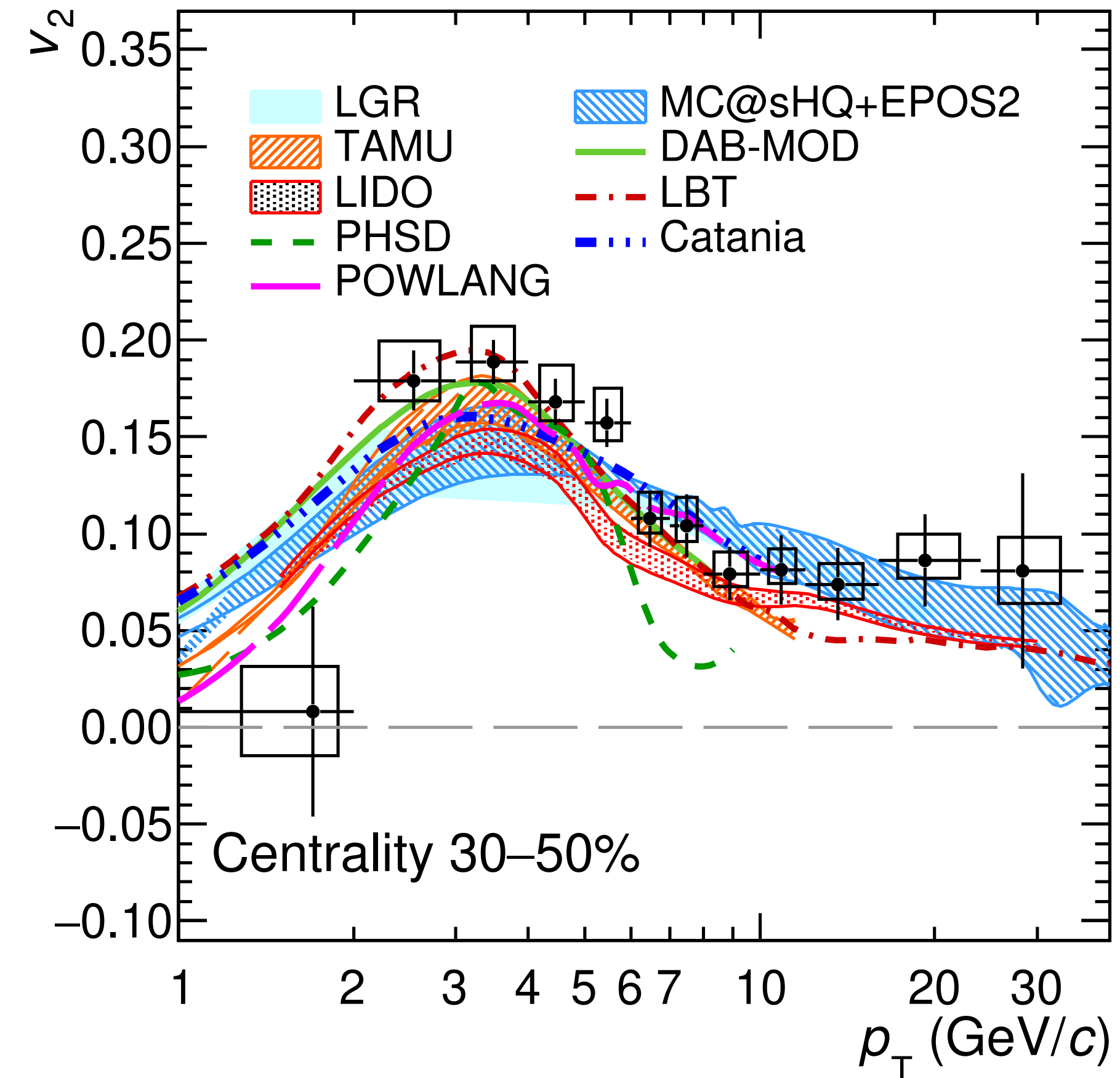
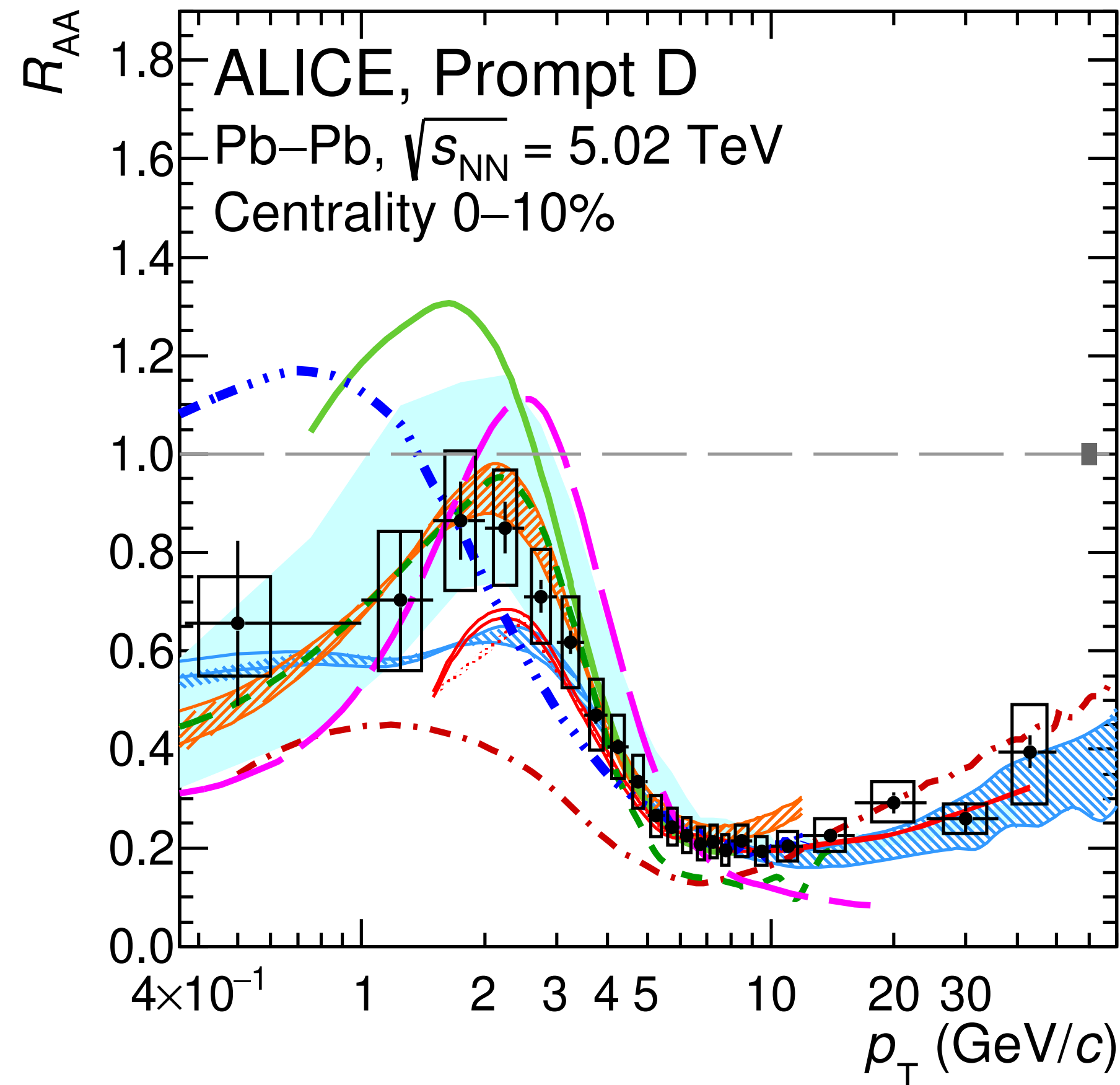
➔ Hadronization via coalescence is important to interpret data

**W/o radiative energy loss:** reasonably describe data in  $p_T < 5$  GeV/c, but largely overestimate data at high  $p_T$

➔ Radiative energy loss is dominant at high  $p_T$ , while collisional energy loss is predominant at low and intermediate  $p_T$

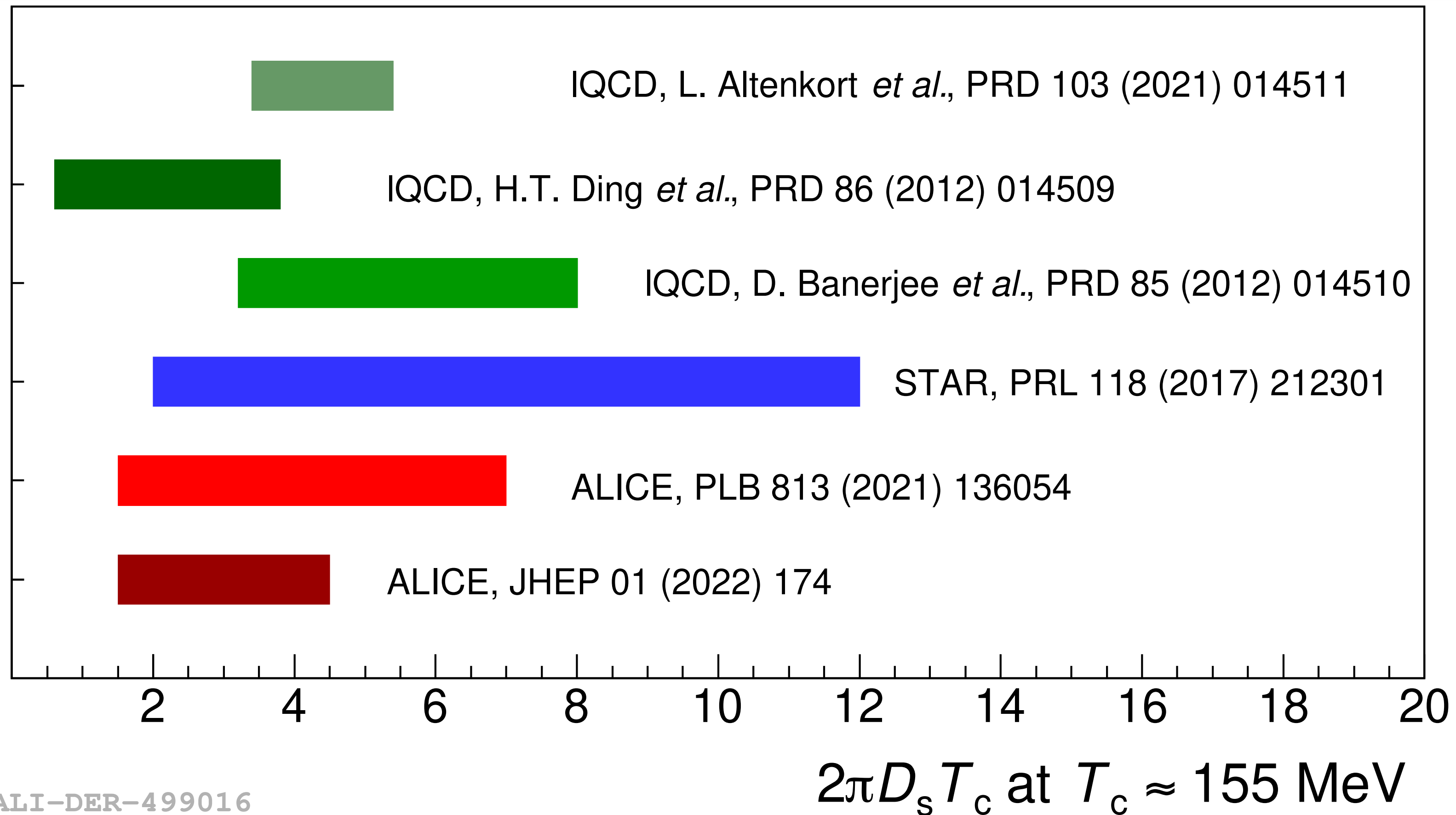


# Charm quark transport



- Most charm quark transport models able to describe both the  $R_{AA}$  and  $v_2$
- Use to estimate the spatial **diffusion coefficient  $D_s$**

# Charm quark transport



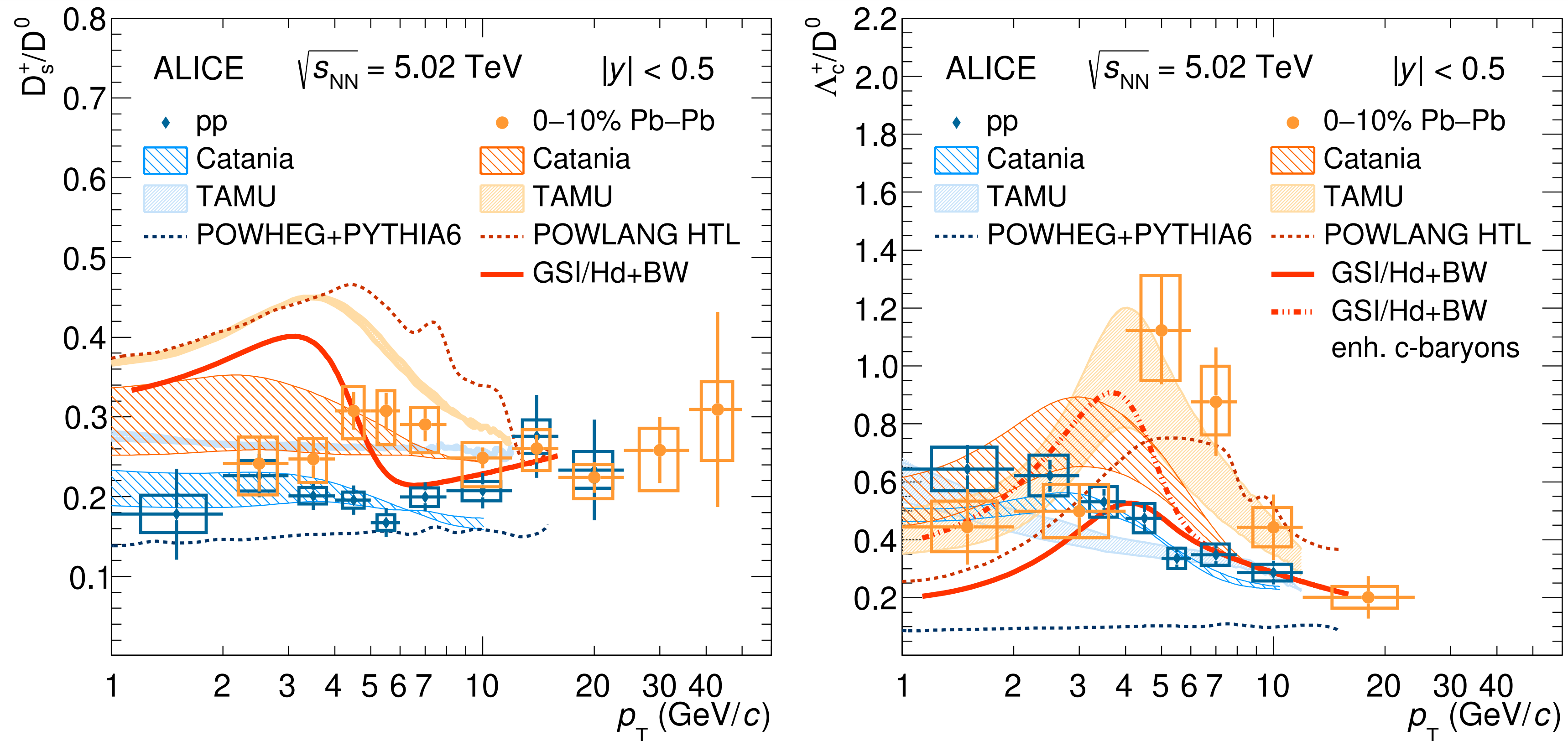
Diffusion coefficient  $D_s$

- Almost independent of quark mass
- Characterization of the transport properties of the medium
- Constrains the specific shear viscosity  $\eta/s$

The **newest** constraints from ALICE by combining D meson  $R_{AA}$  and  $v_2$

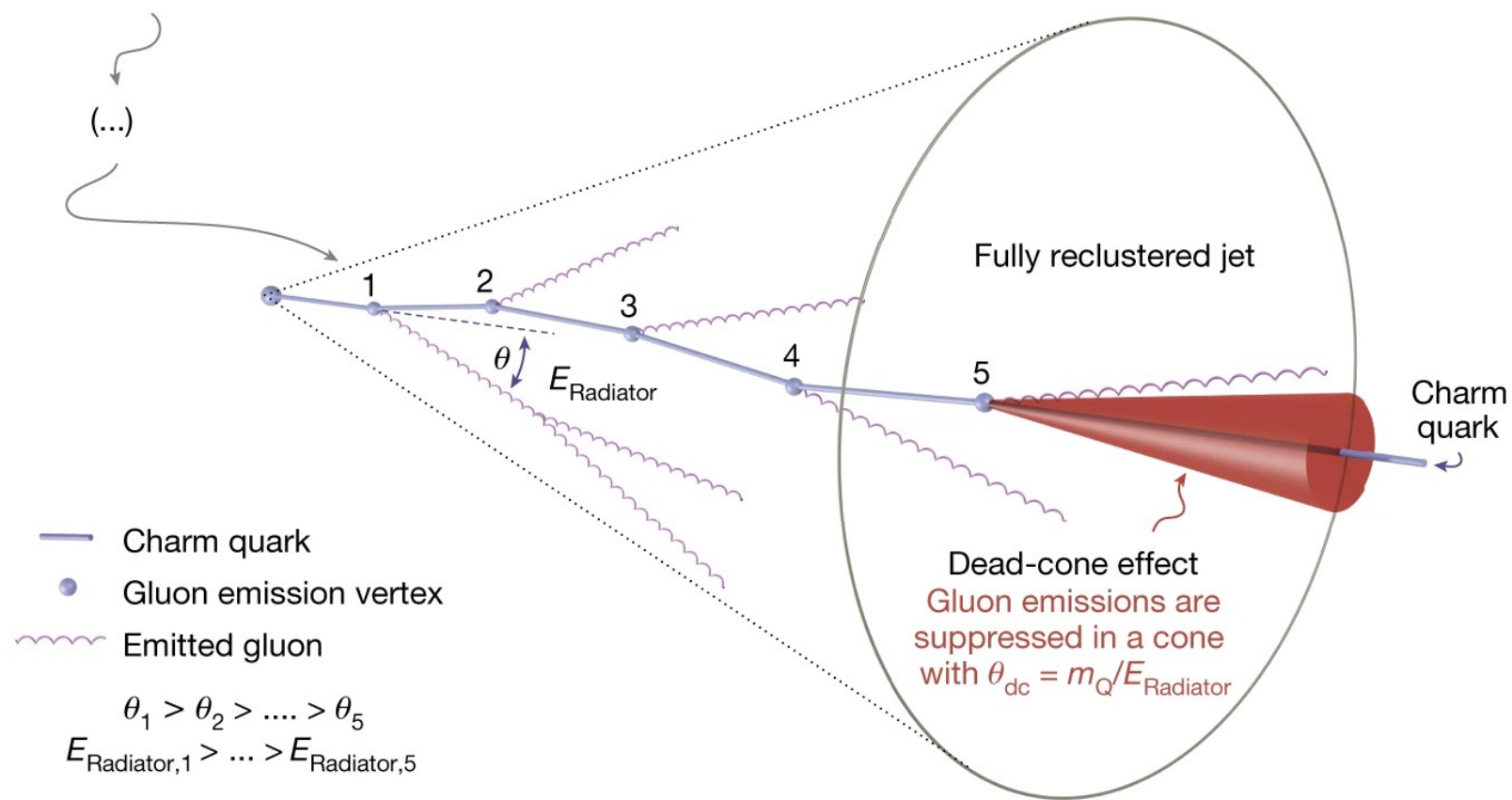
- $1.5 < 2\pi D_s(T) < 4.5$ ,  $\tau_{\text{charm}} = (m_{\text{charm}} / T) D_s(T) = 3-9$  fm/c  $< \tau_{\text{medium}} \approx 10$  fm/c
- Indicate charm may thermalize in the medium

# Charm quark hadronization



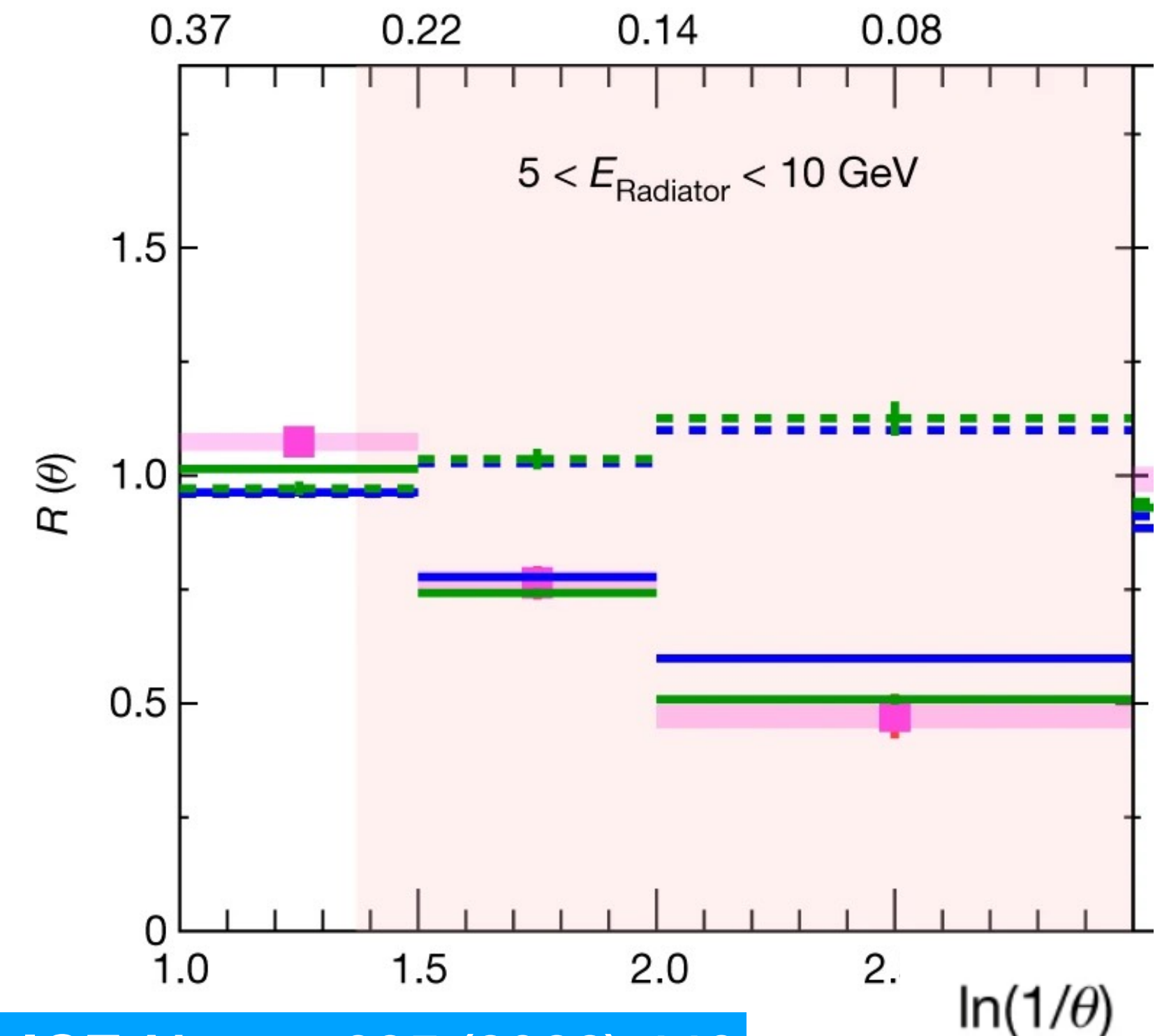
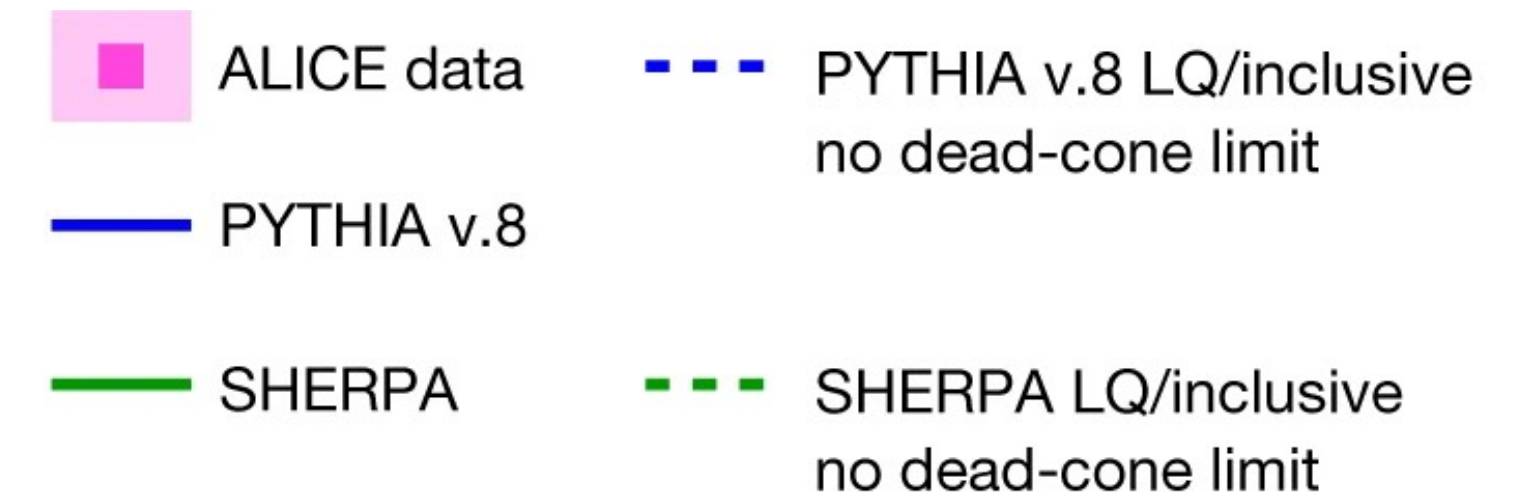
- Hints of enhanced  $D_s^+/D^0$  ratio at intermediate  $p_T$  in **Pb-Pb** w.r.t. **pp** — support charm hadronization via recombination
- Enhanced  $\Lambda_c^+/D^0$  ratio in **Pb-Pb** w.r.t. **pp** — suggest interplay between recombination and radial flow

# Dead-cone of charm radiation



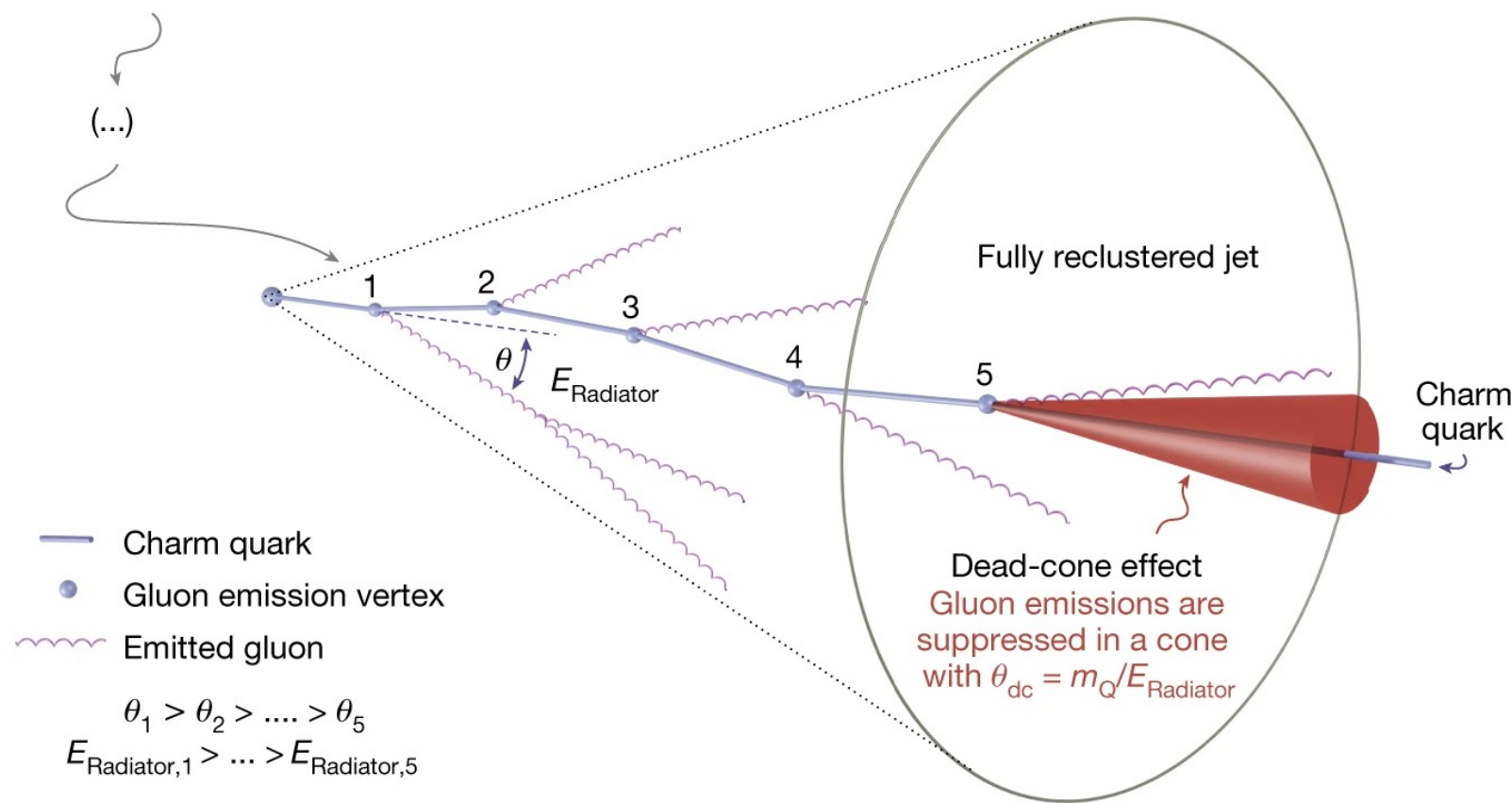
One of fundamental properties of QCD: suppression of gluon emissions within cone  $\theta < m_Q / E$  — dead-cone effect

- Direct observation for charm quarks in pp — QCD vacuum



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# Dead-cone of charm radiation



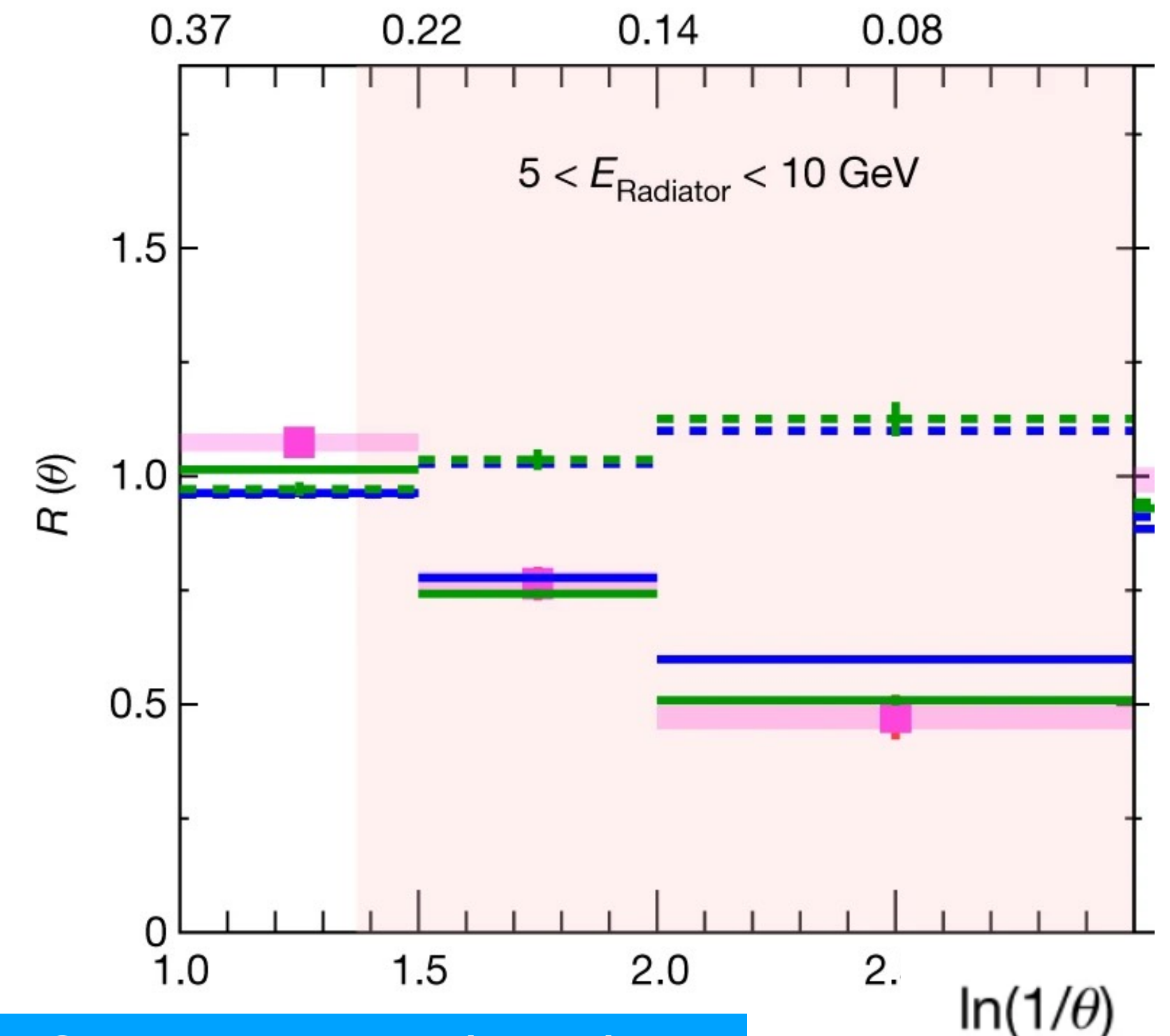
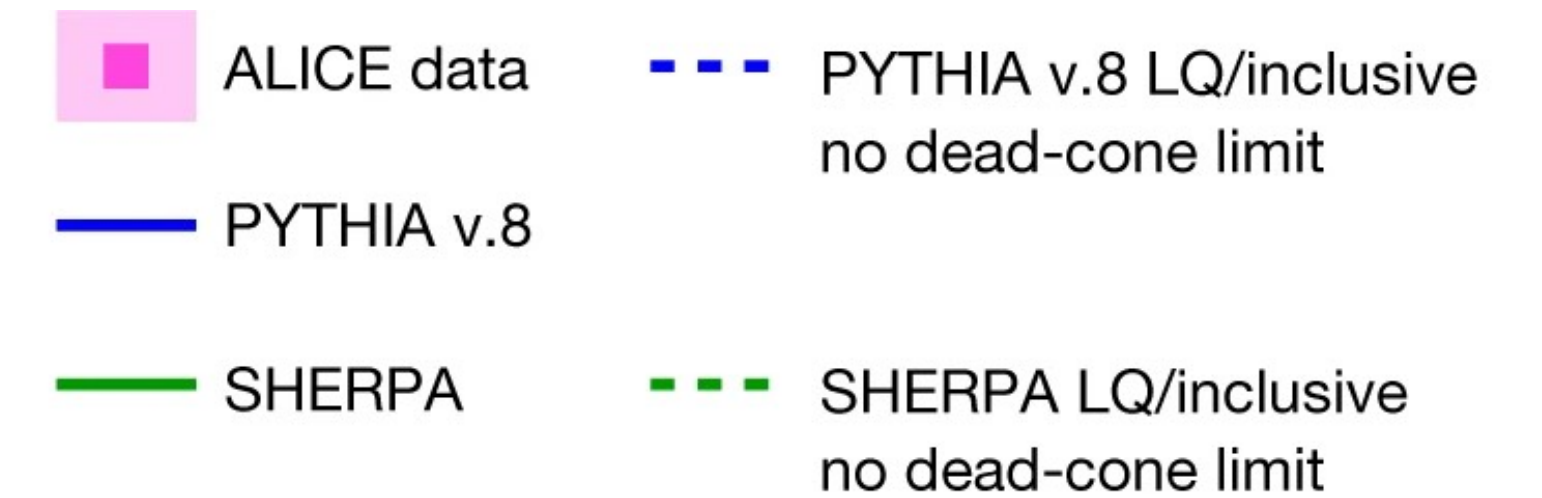
One of fundamental properties of QCD: suppression of gluon emissions within cone  $\theta < m_Q / E$  — dead-cone effect

- Direct observation for charm quarks in pp — QCD vacuum

- Whether is it still validated in QCD medium?

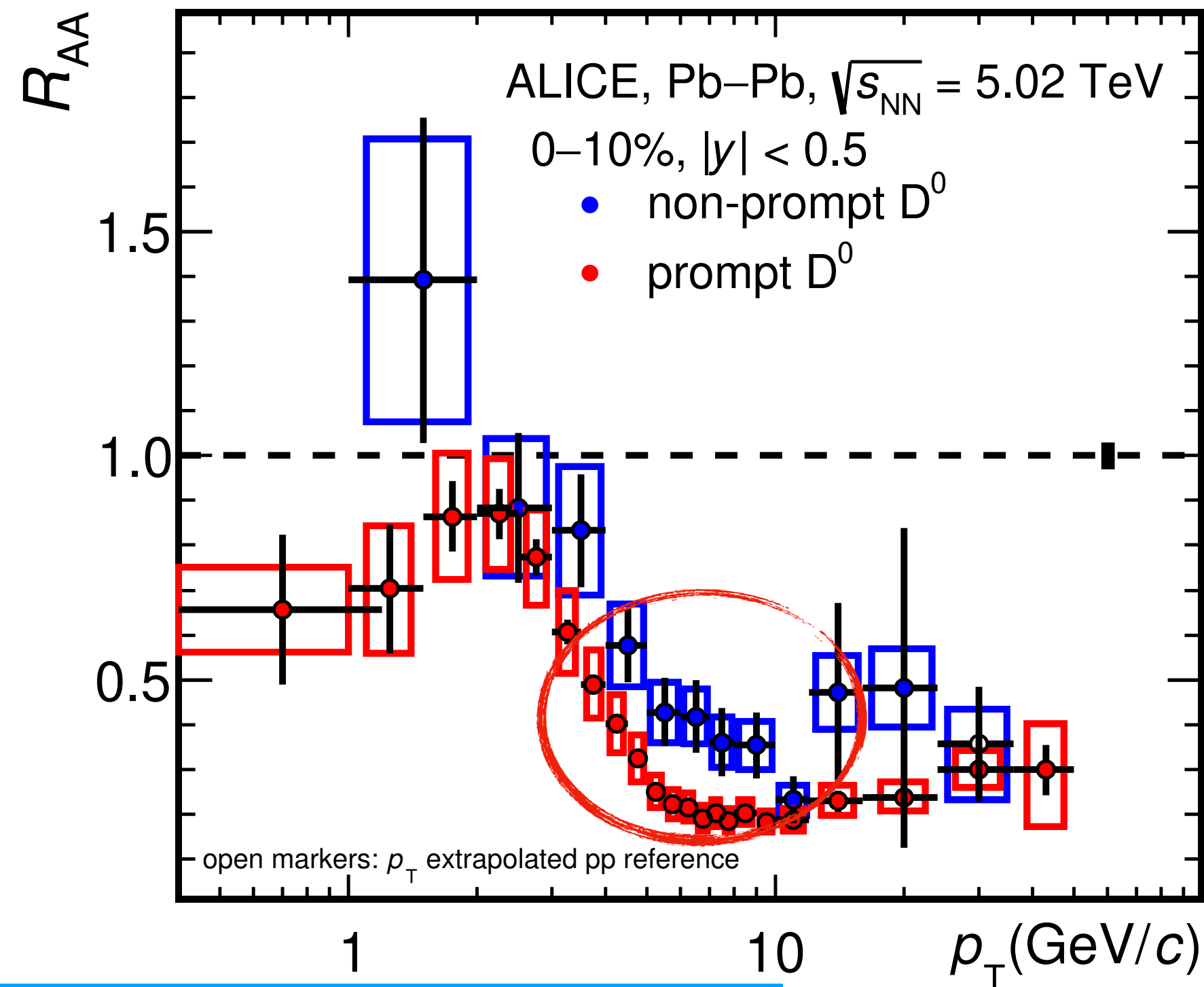
➔ Mass dependent heavy quark radiative energy loss

$$\Delta E_{\text{beauty}} < \Delta E_{\text{charm}} \Rightarrow R_{AA}(\text{beauty}) > R_{AA}(\text{charm})$$

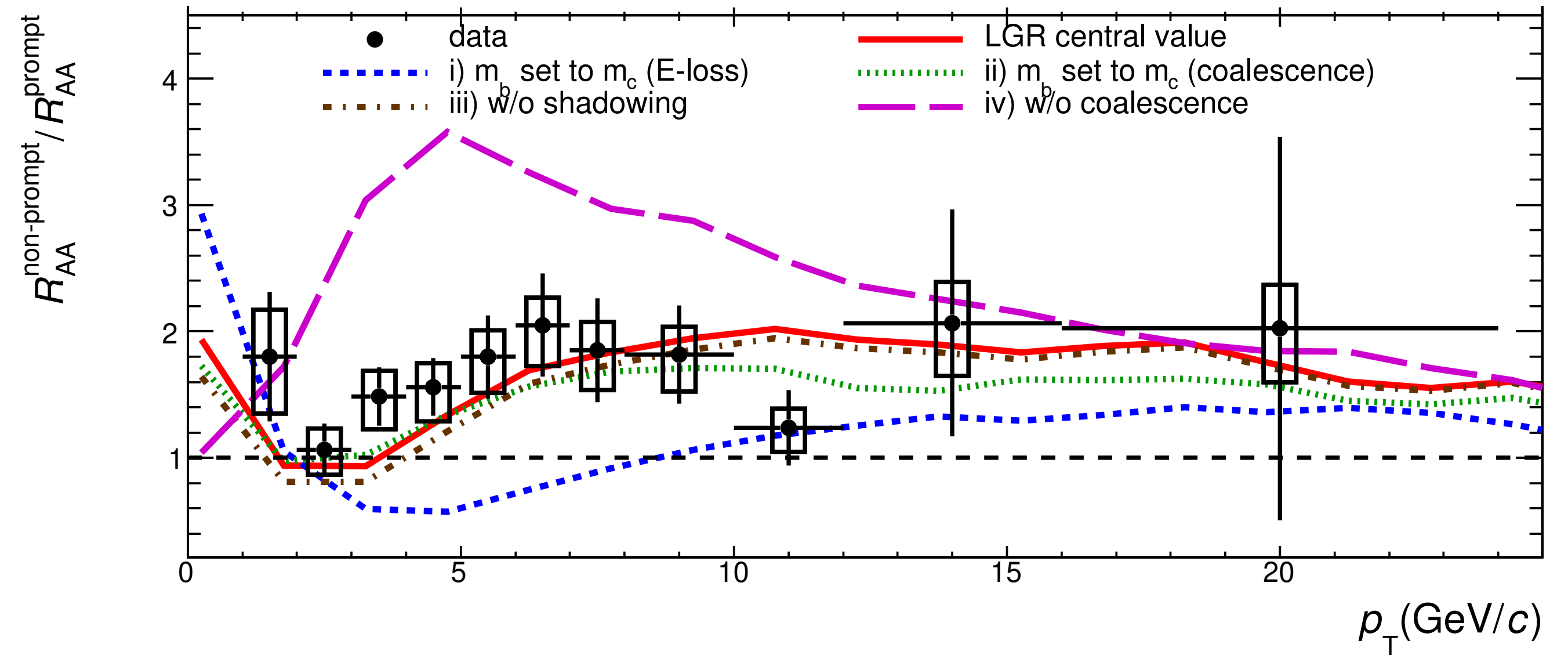


ALICE Nature 605 (2022) 440

# Beauty quark energy loss



ALICE JHEP 2212 (2022) 126

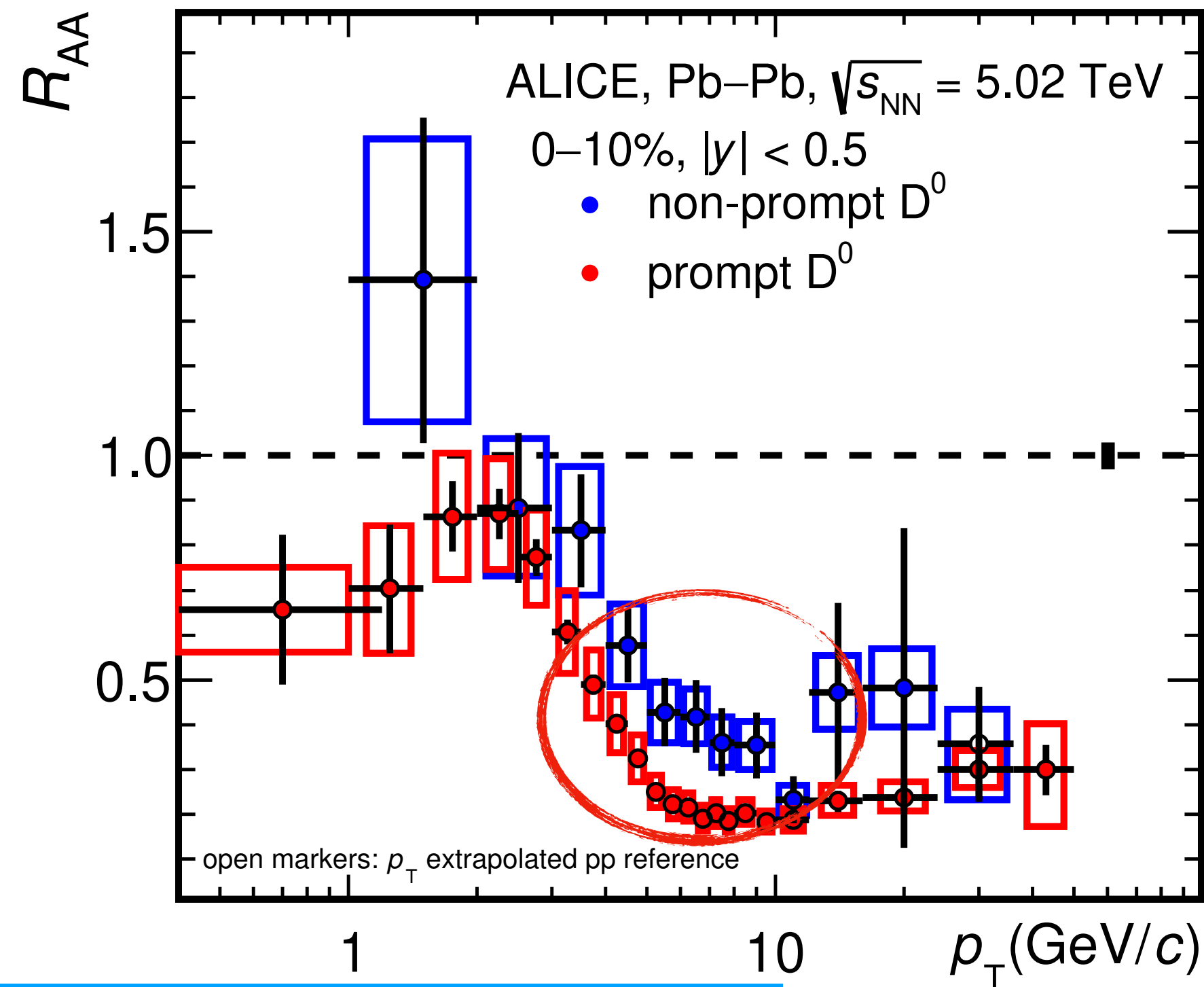


- Mass effect is important to describe data
- However, coalescence is more critical

Non-prompt  $D$  mesons are less suppressed than prompt  $D$  mesons

$$R_{AA}(\text{beauty}) > R_{AA}(\text{charm}) \Rightarrow \Delta E_{\text{beauty}} < \Delta E_{\text{charm}} (?)$$

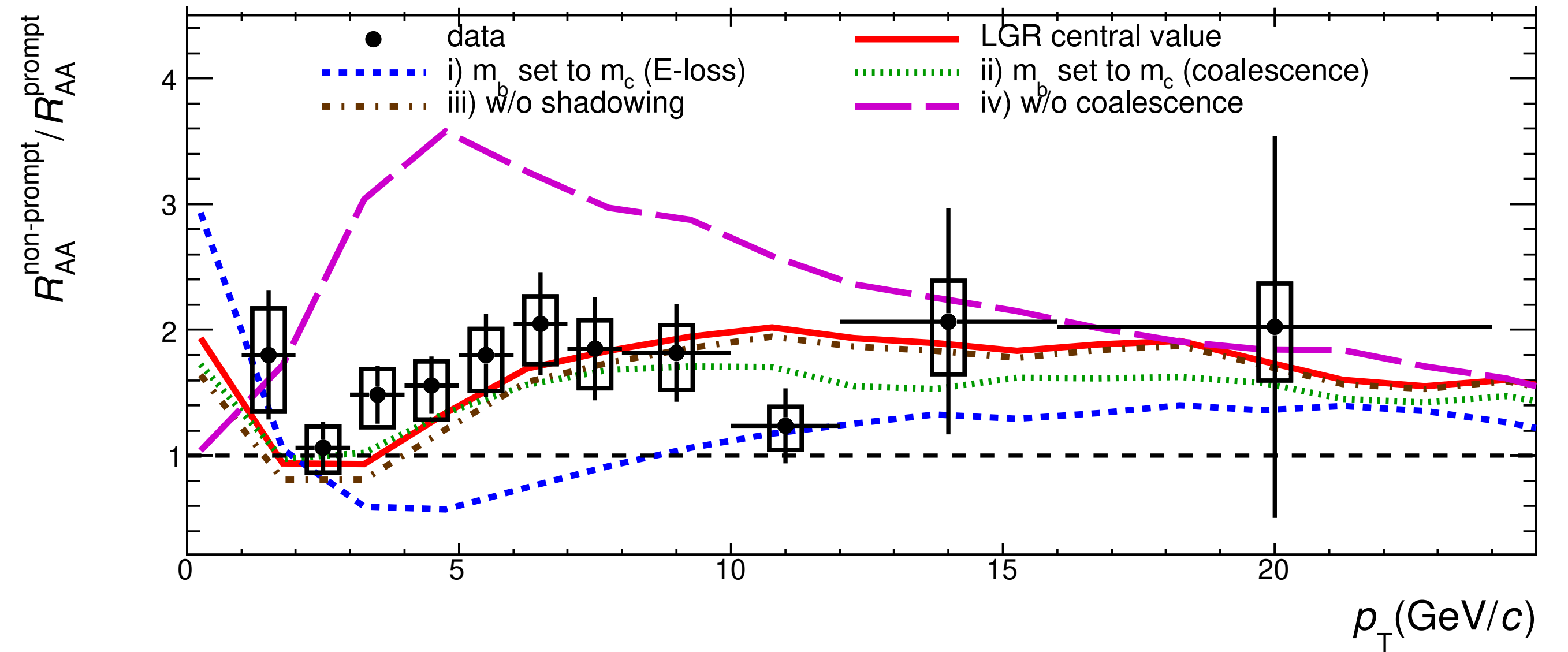
# Beauty quark energy loss



ALICE JHEP 2212 (2022) 126

Non-prompt D mesons are less suppressed than prompt D mesons

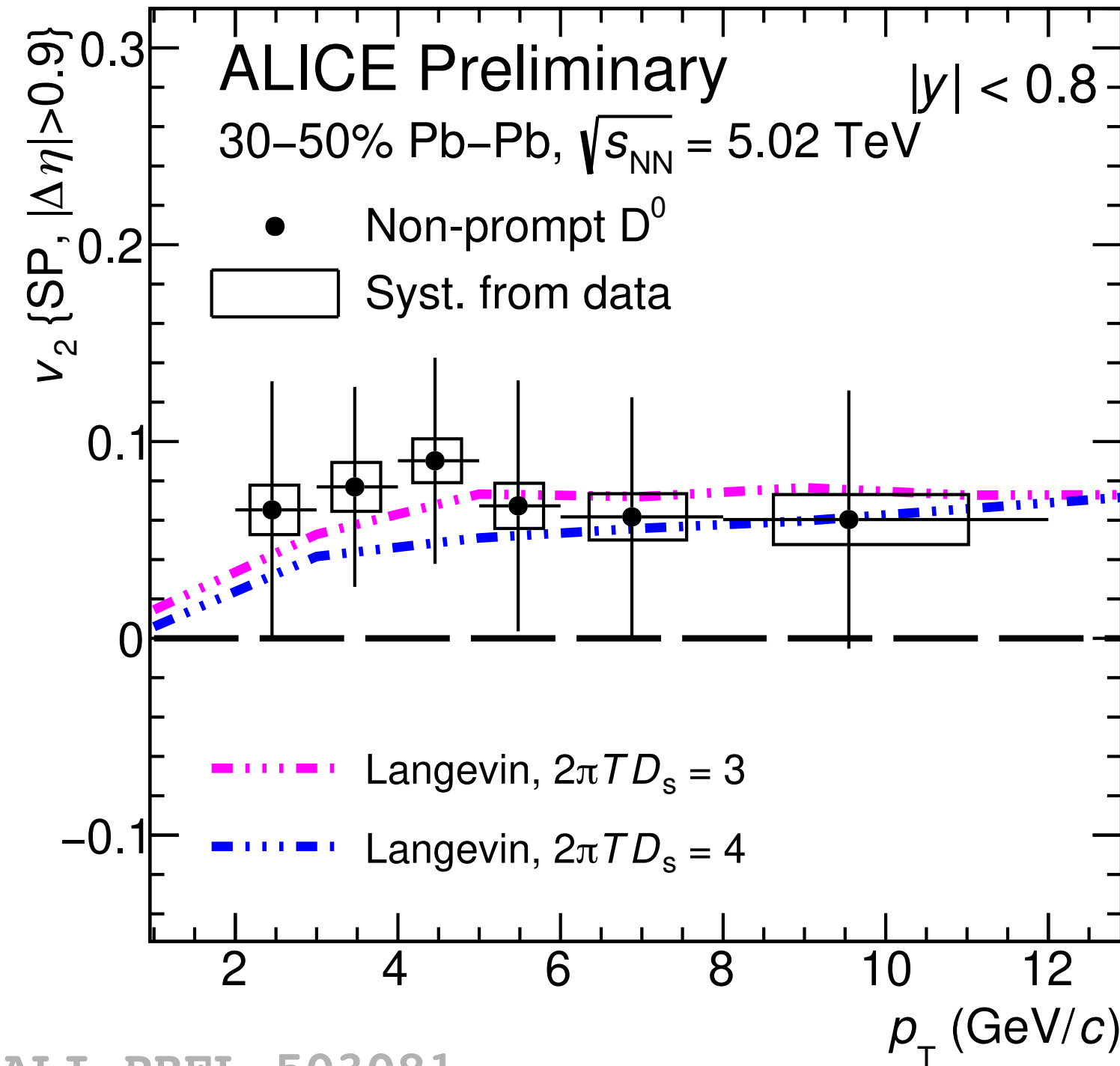
$$R_{AA}(\text{beauty}) > R_{AA}(\text{charm}) \Rightarrow \Delta E_{\text{beauty}} < \Delta E_{\text{charm}} (?)$$



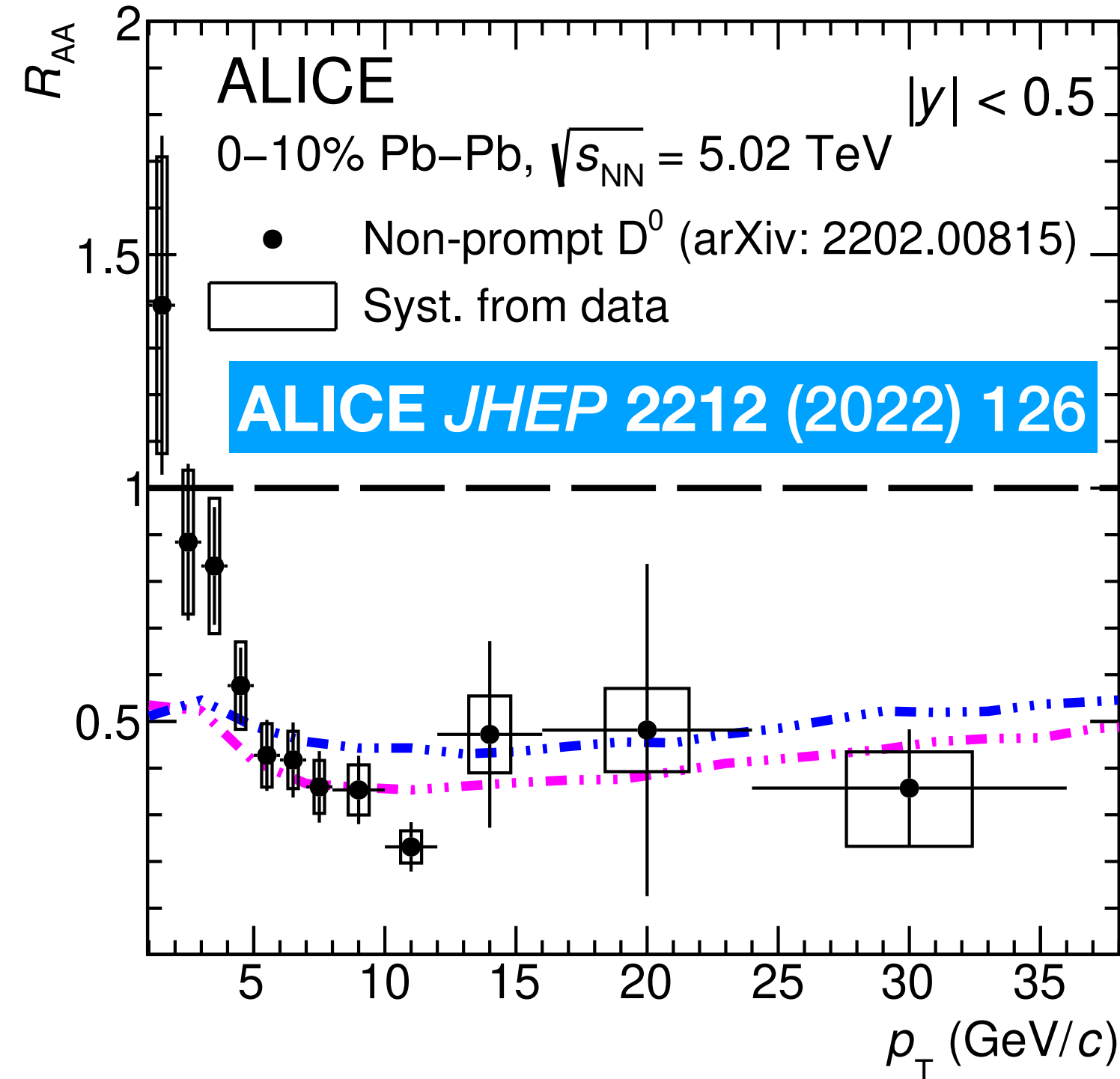
- Mass effect is important to describe data
- However, coalescence is more critical

Open question: Can the dead-cone effect be explored directly in the QCD medium?

# Beauty quark transport

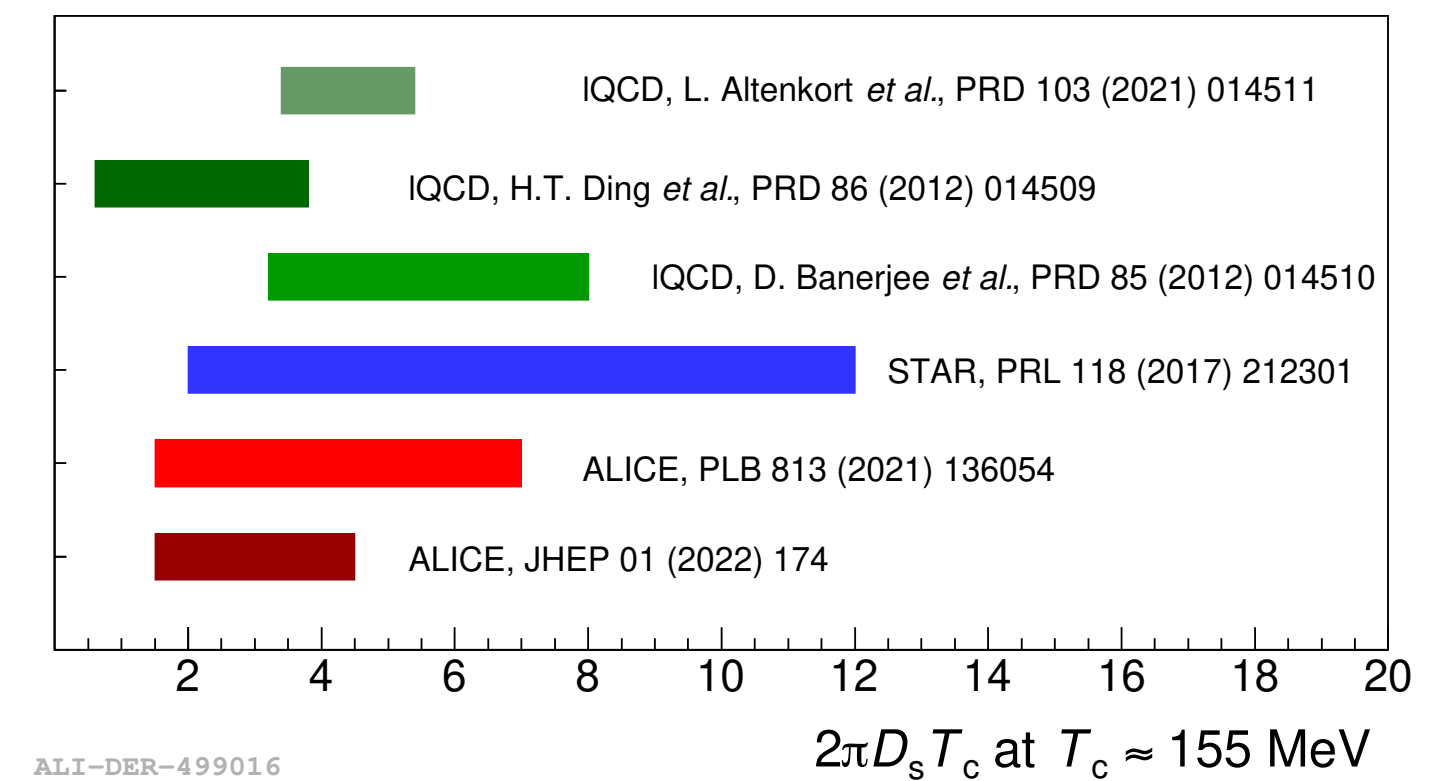


ALI-PREL-503081



- Beauty particle  $R_{AA}$  and  $v_2$  measured via non-prompt  $D^0$  by ALICE
- Conclusion is similar to the measurements of B mesons, non-prompt  $J/\psi$  and B meson semileptonic decays by ATLAS and CMS

- $D_s$  obtained in beauty sector is similar to that in charm sector ( $2\pi D_s \approx 1.5-4.5$  for charm)
- Indicate  $\tau_{\text{beauty}} \propto m_{\text{beauty}} D_s \gtrsim \tau_{\text{medium}}$  ( $m_{\text{beauty}} \approx 3 m_{\text{charm}}$ )



ALI-DER-499016

➔ What is thermalization DOF of beauty in the QGP medium?

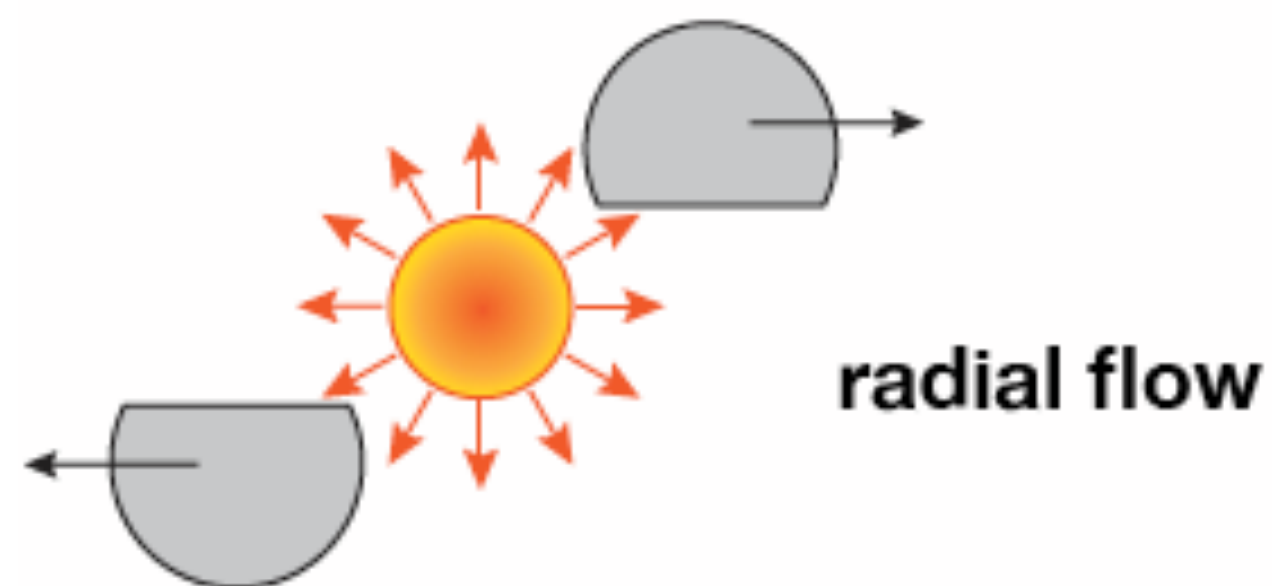


# Radial flow



## Collective expansion

➔ “Zero order” — radial flow

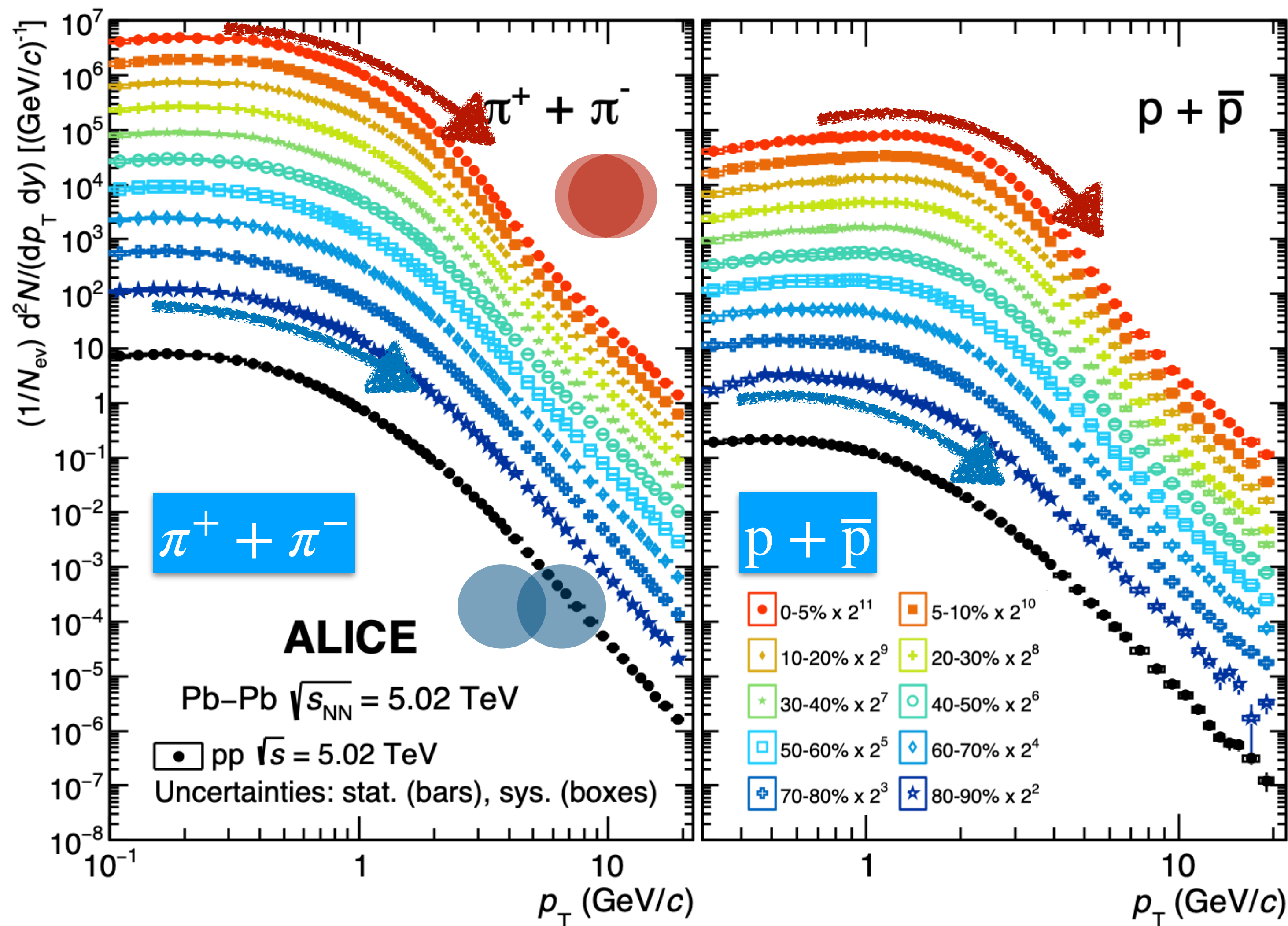


➔ Push low  $p_T$  particles toward intermediate  $p_T$

$$p = p_0 + \beta m$$

$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

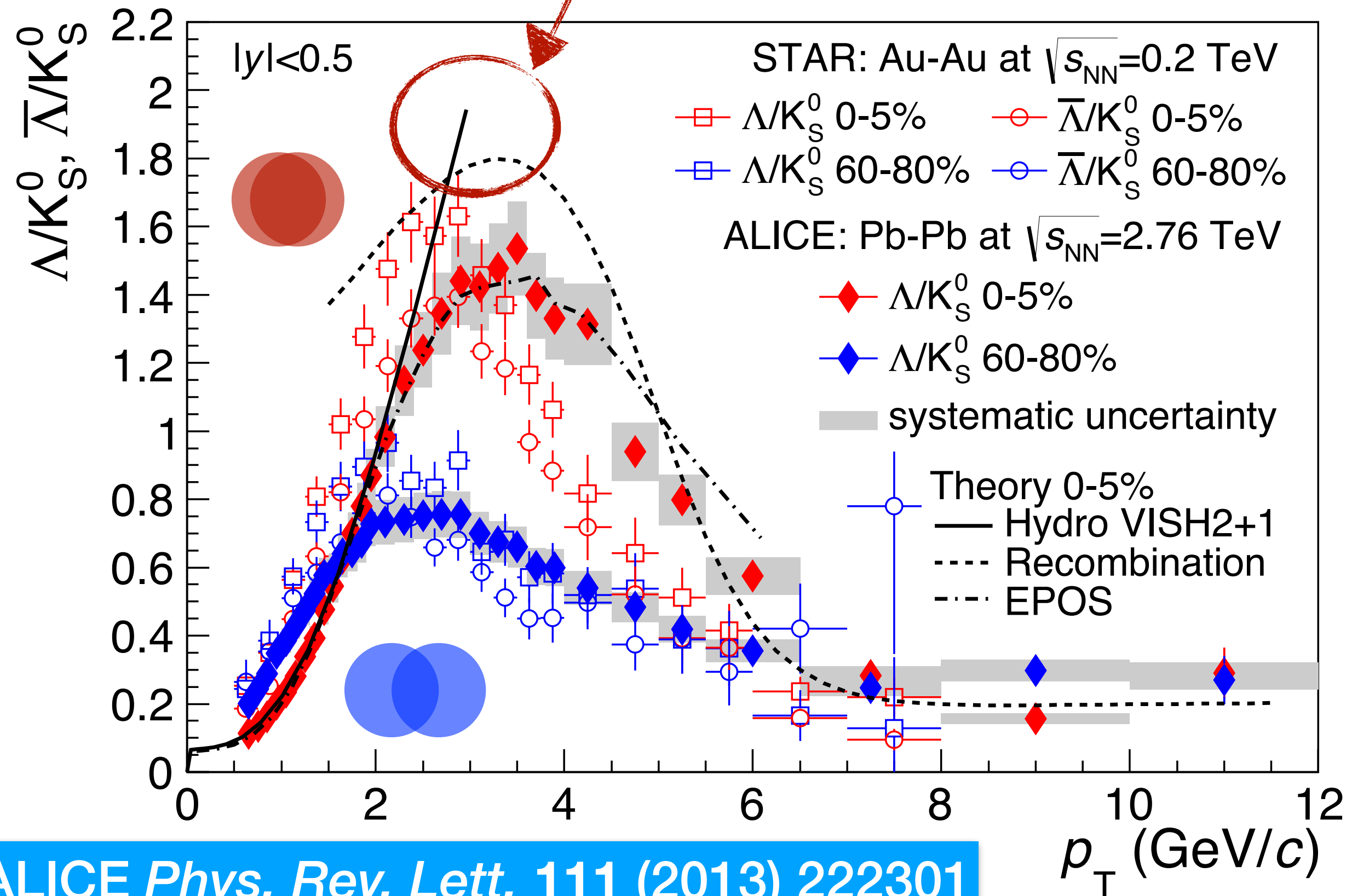
➔ More pronounced in central collisions



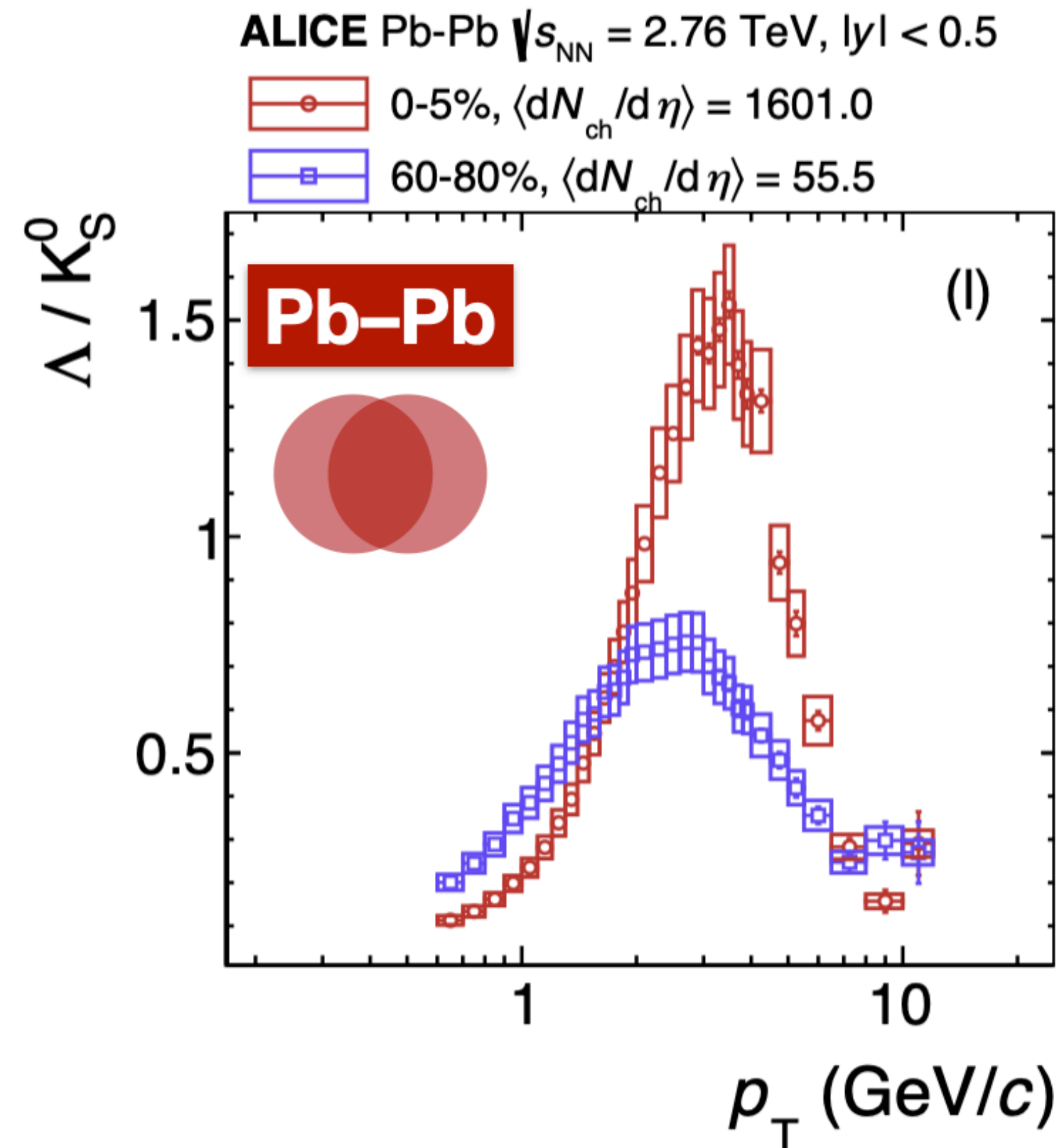
# Baryon-to-meson enhancement



It leads large **deviation** from data if consider the soft component only

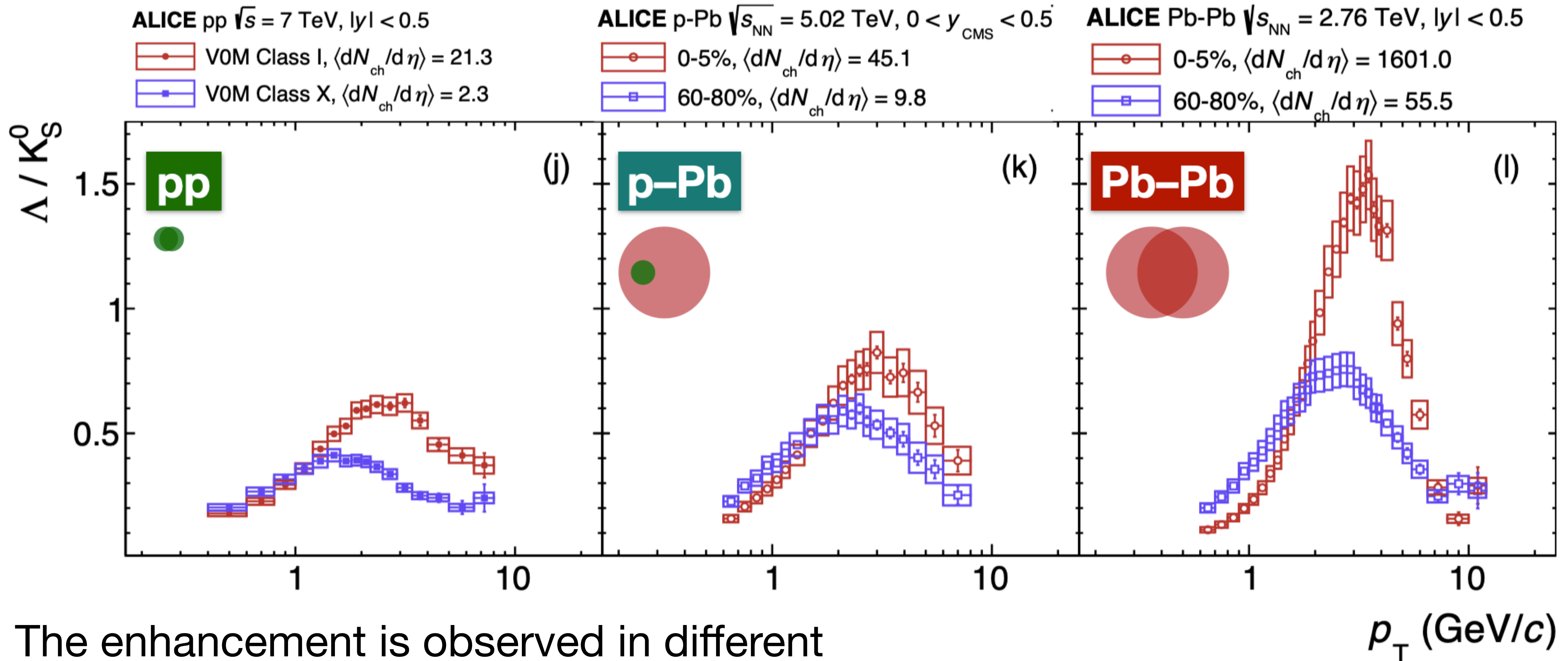


ALICE Phys. Rev. Lett. 111 (2013) 222301



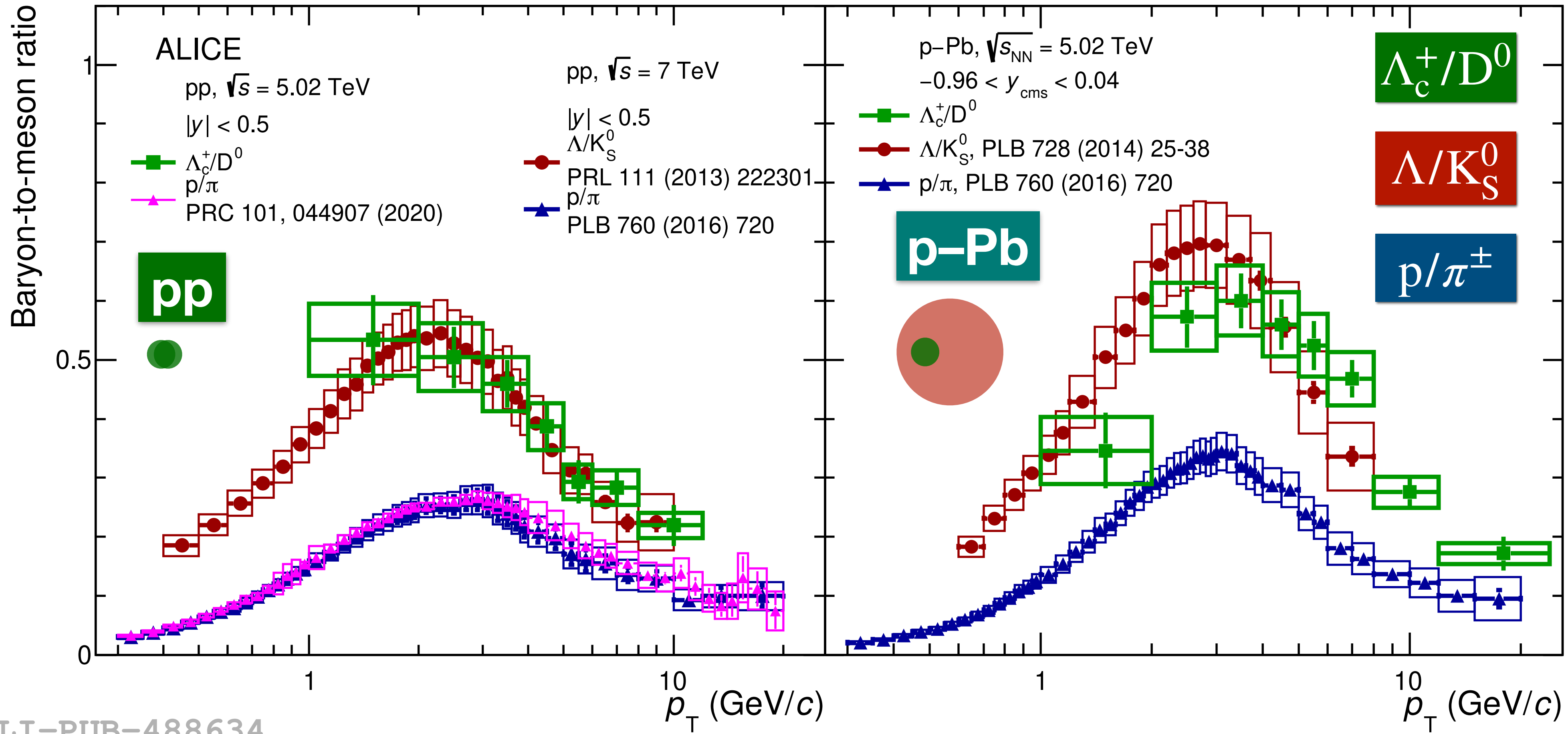
ALICE Phys. Rev. C99 (2019) 024906

# Baryon-to-meson enhancement



The enhancement is observed in different collision systems (Pb-Pb, p-Pb and pp) at high multiplicities

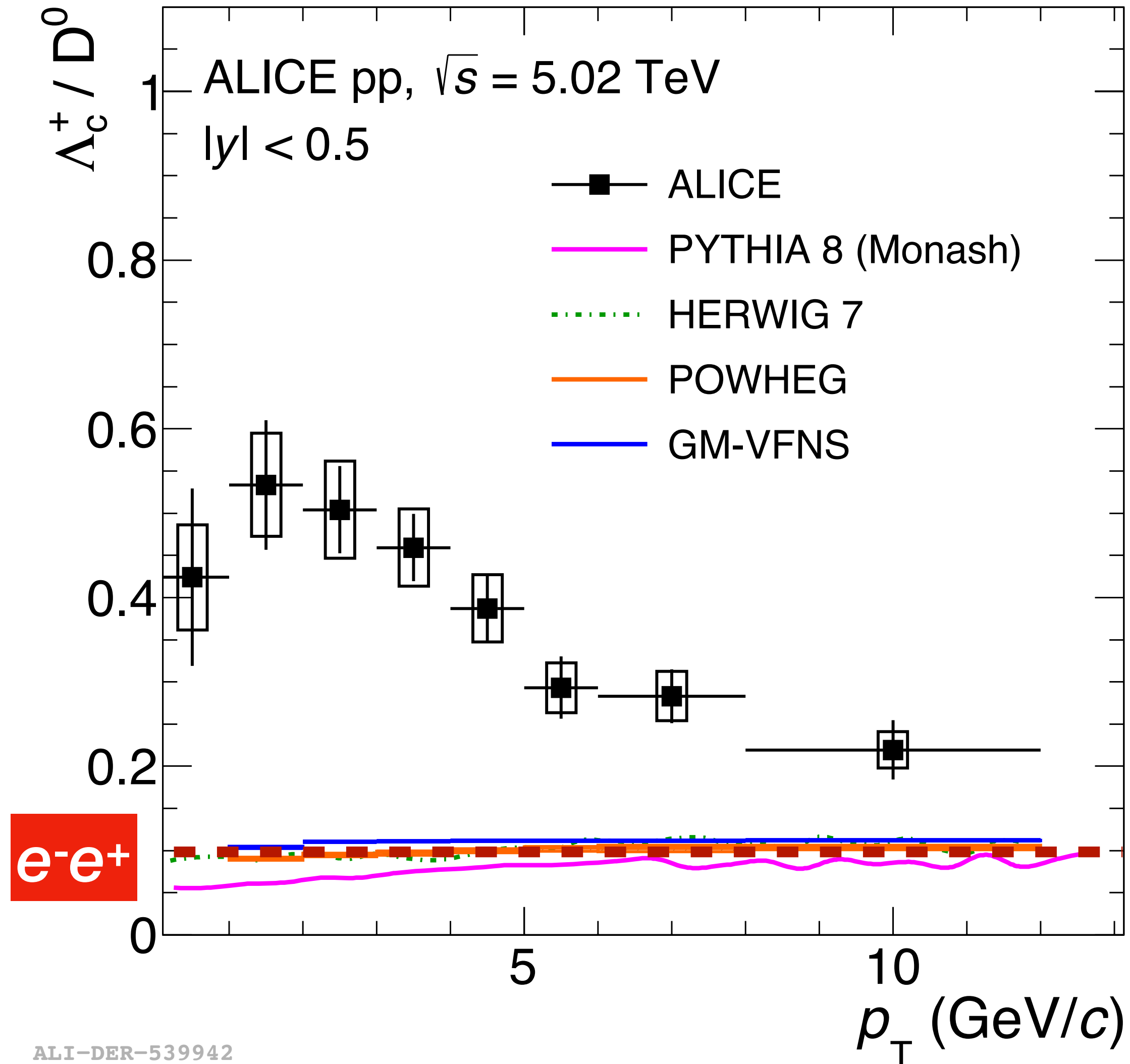
# Baryon-to-meson enhancement



ALI-PUB-488634

Similar behavior observed also in **charm sector** in small system (pp and p-Pb) collisions

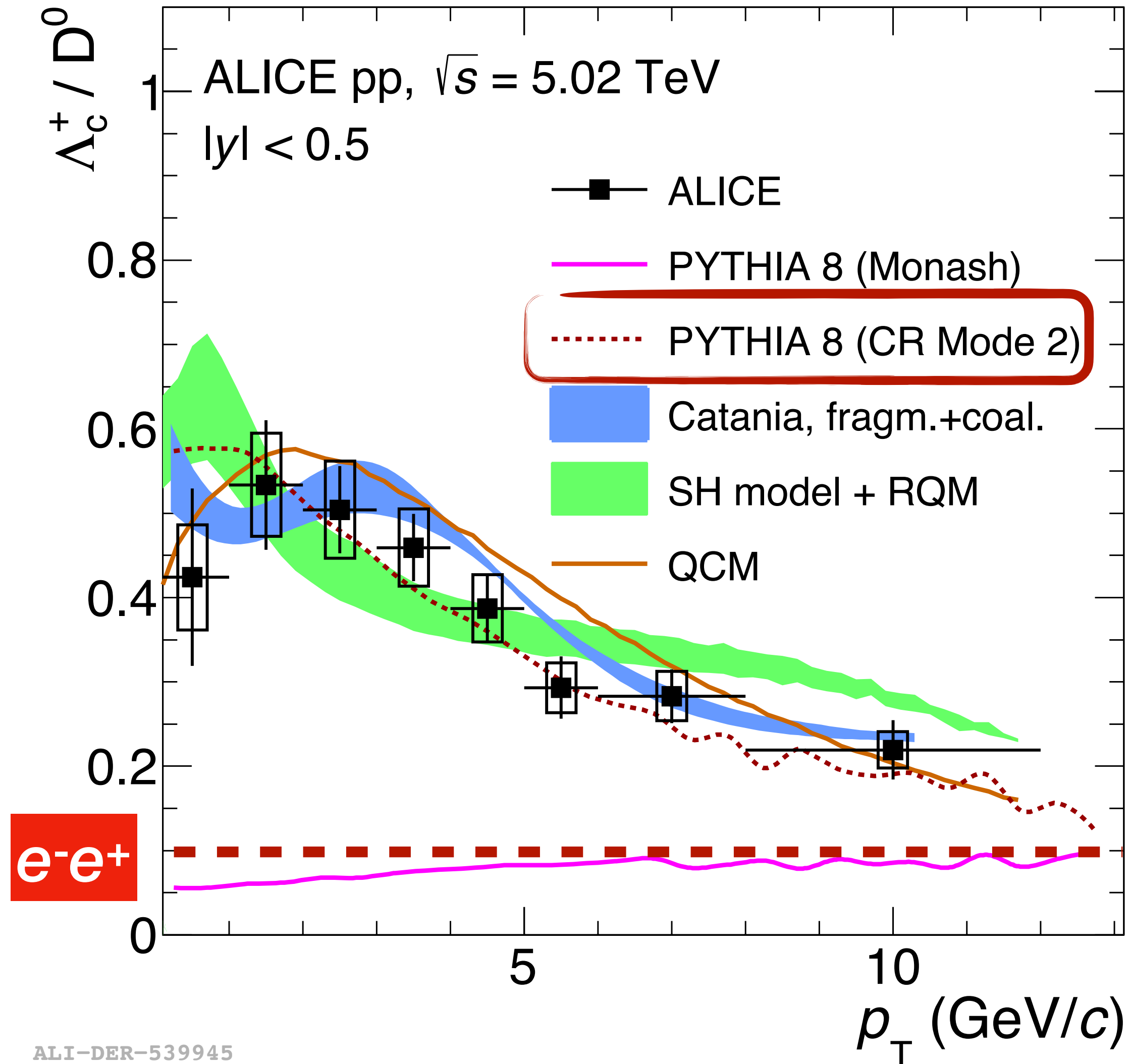
# $\Lambda_c^+ / D^0$ ratio in pp collisions



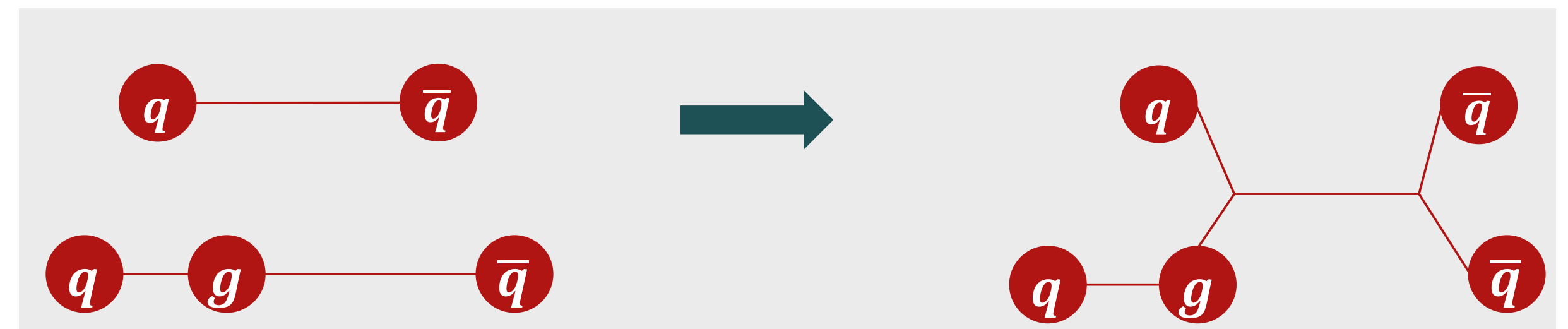
- $\Lambda_c / D^0$  ratios significantly higher than  $e^-e^+$ ,  $p_T$  dependence observed

ALI-DER-539942

# $\Lambda_c^+ / D^0$ ratio in pp collisions

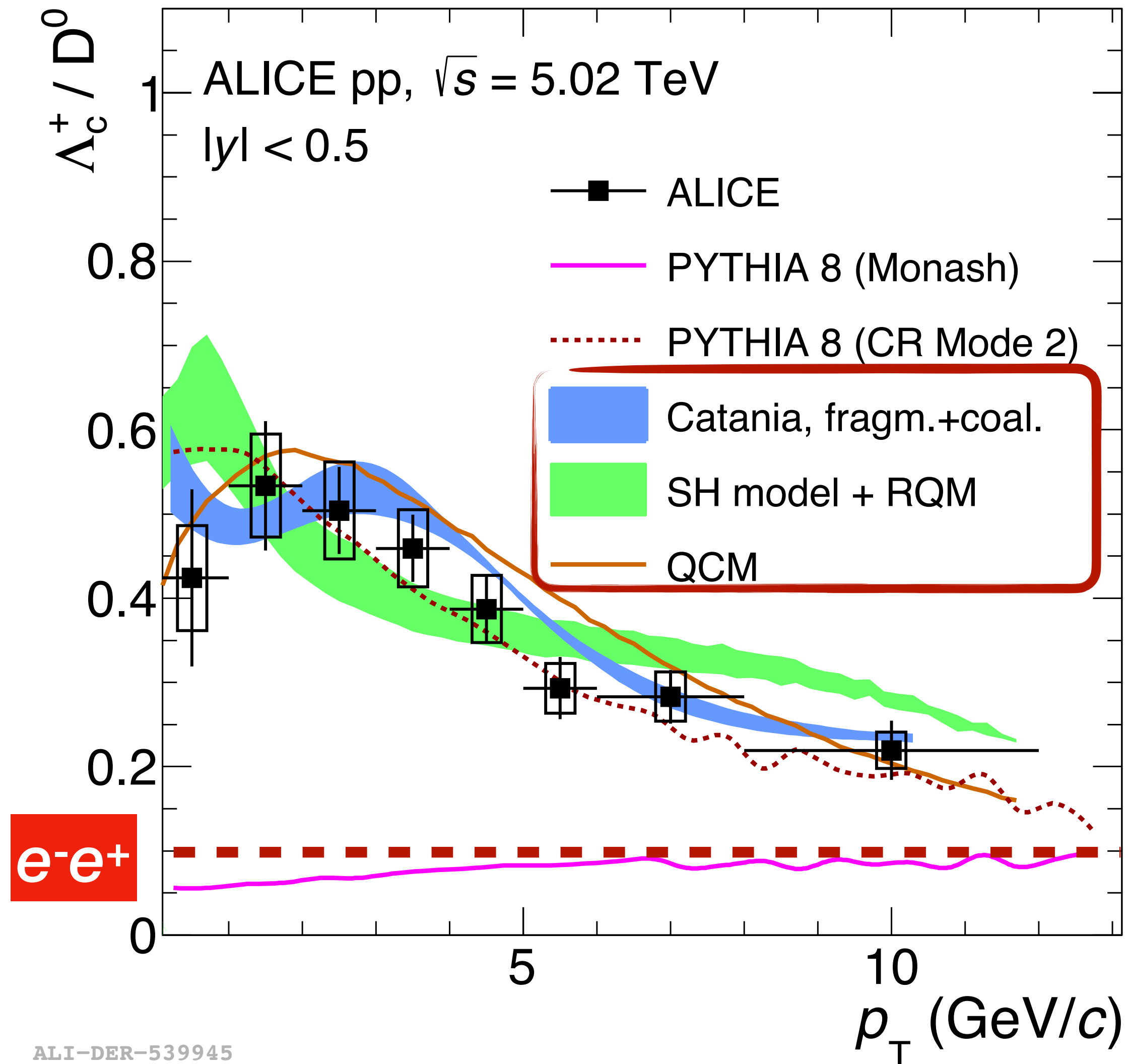


- $\Lambda_c / D^0$  ratios significantly higher than  $e^-e^+$ ,  $p_T$  dependence observed
- **PYTHIA8 color-reconnection** Allowing “junction” topologies in multiparton interactions, which enhance the charm baryon production



ALI-DER-539945

# $\Lambda_c^+ / D^0$ ratio in pp collisions

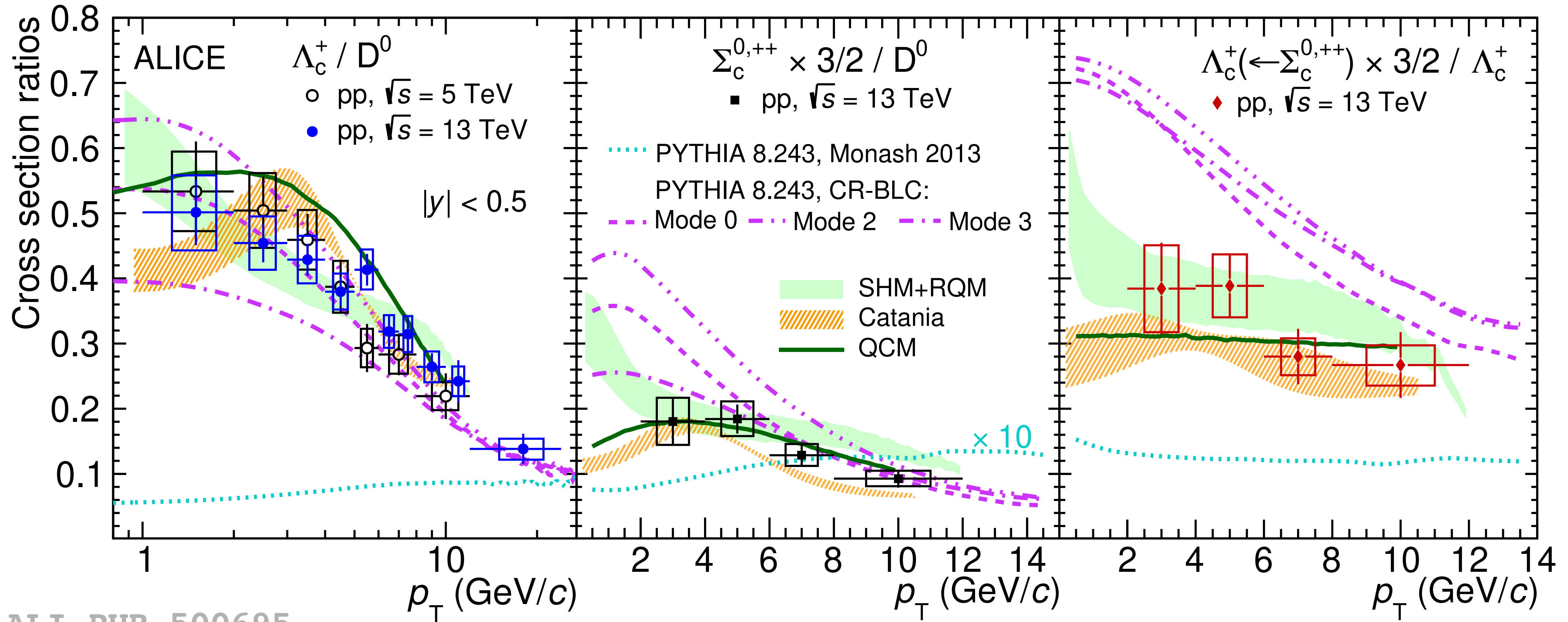


ALI-DER-539945

ALICE Phys. Rev. C107 (2023) 064901

- Catania Thermalised system of gluons, light quarks and antiquarks (QGP), hadronisation via coalescence and fragmentation
- SH model + RQM Hadronisation driven by statistical weights govern by hadron masses, feed-down from excited baryon states predicted by RQM
- QCM Pure coalescence model, charm is combined with co-moving light antiquark or two quarks

# $\Sigma_c^{0,++}$ production in pp collisions



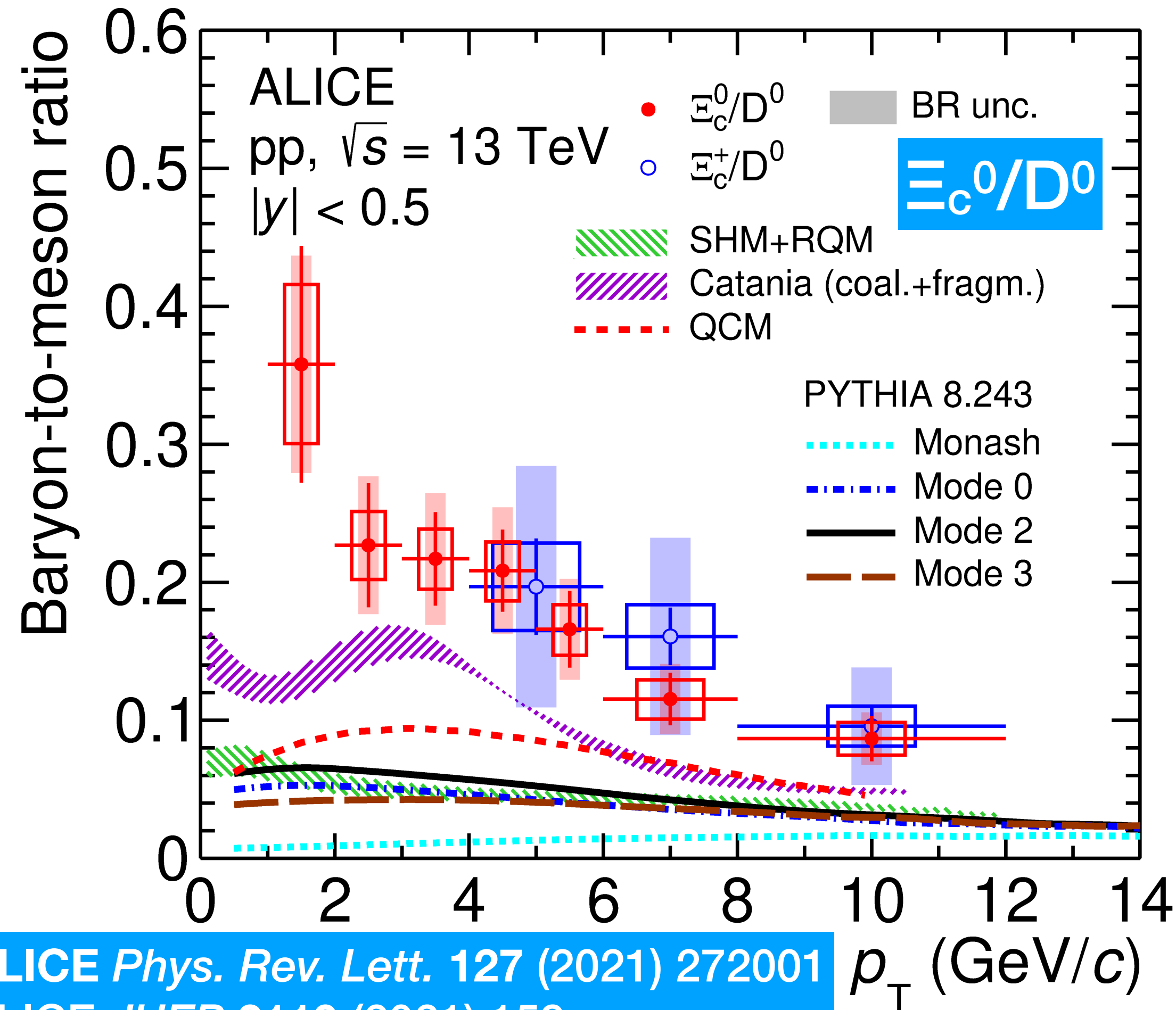
ALI-PUB-500695

ALICE Phys. Rev. Lett. 128 (2022) 012001

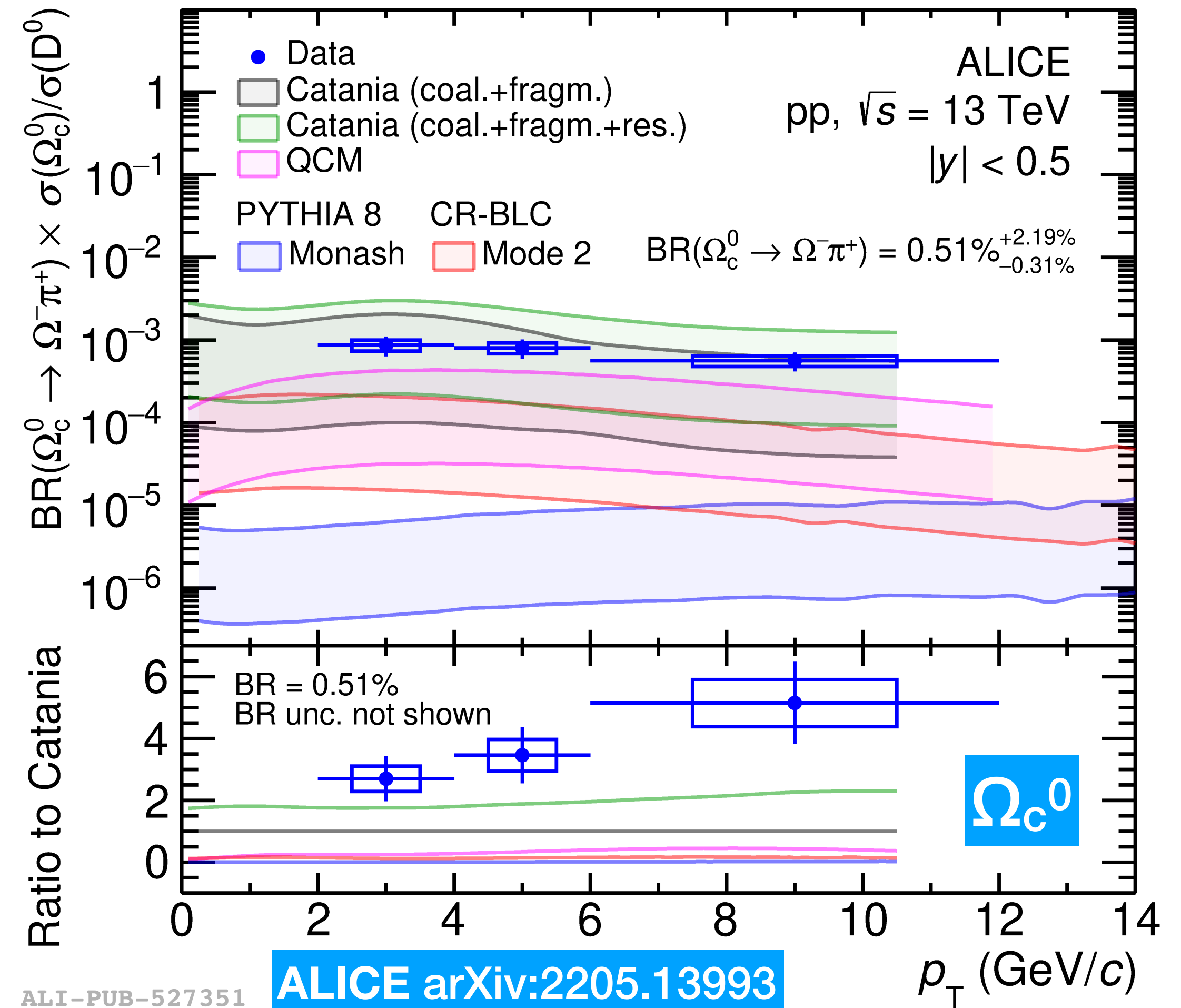
- SHM+RQM, Catania, and QCM describe the  $\Lambda_c^+(\leftarrow \Sigma_c^{0,+,++})/\Lambda_c^+$  ratio while PYTHIA8 with CR-BLC overestimates the data



# Strange charmed baryon in pp



ALICE Phys. Rev. Lett. 127 (2021) 272001  
ALICE JHEP 2110 (2021) 159

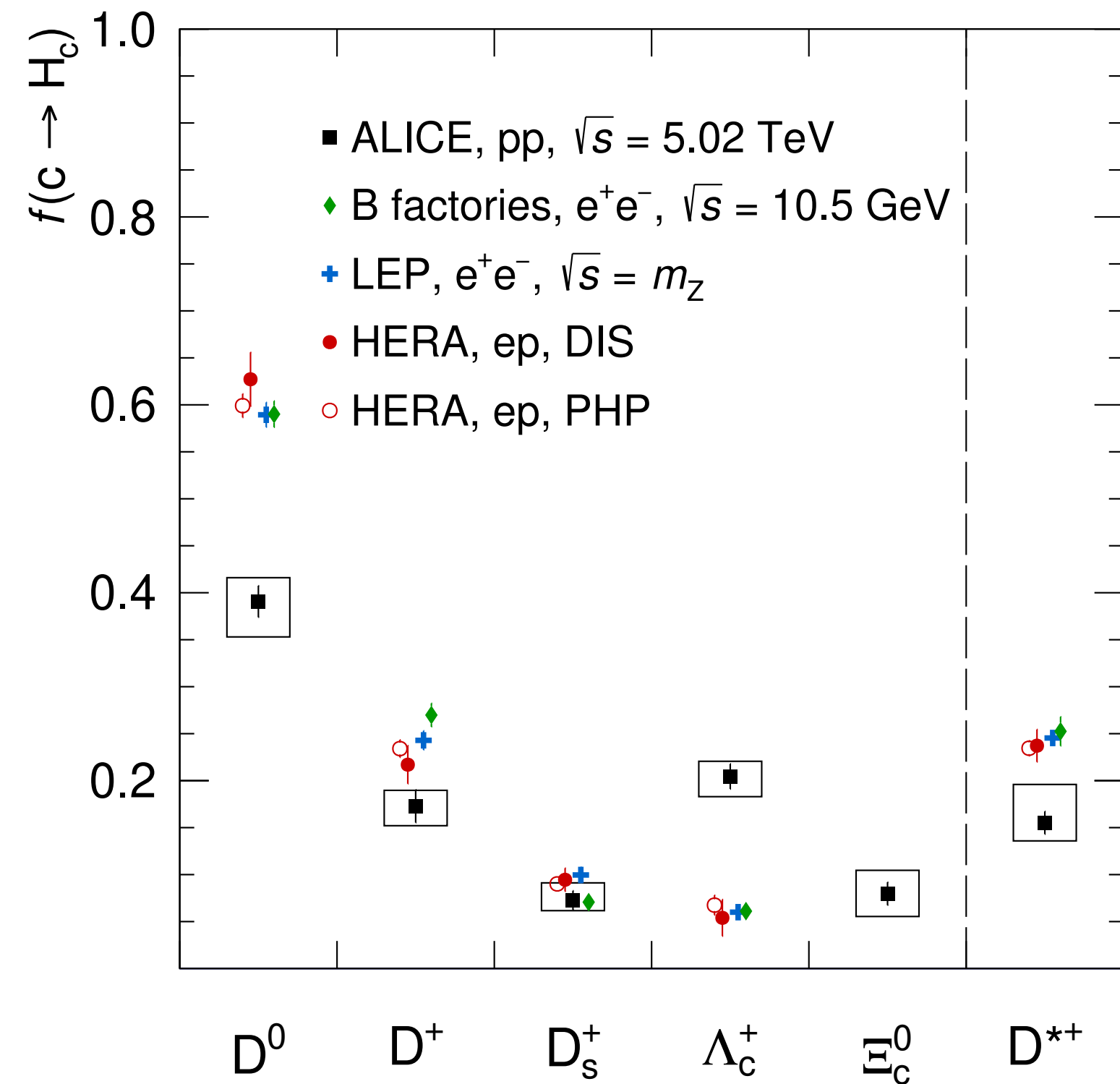


ALI-PUB-527351

ALICE arXiv:2205.13993

- Catania model closer to the measurement when decays from additional higher-mass resonances are considered

# Charm quark hadronization

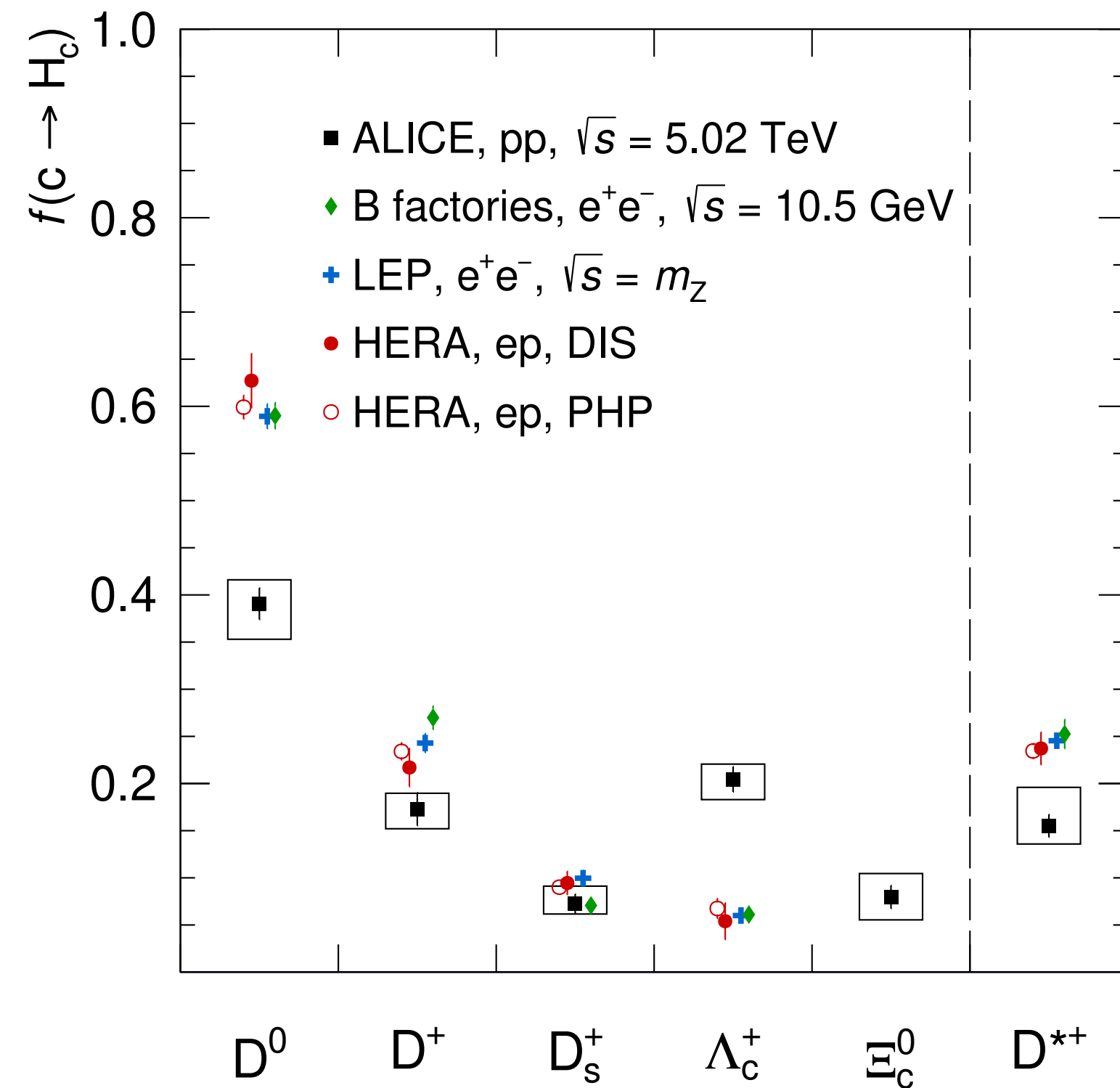


ALI-PUB-488617



- Hadronization non-universal between  $e^-e^+$ / $ep$  and  $pp$  collisions
- Additional constraint to hadronization — heavy quarks created in hard scatterings
- Important to calibrate heavy-quark observables for QCD matter studies

# Charm quark hadronization



- Hadronization non-universal between e-e+/ep and pp collisions
- Additional constraint to hadronization — heavy quarks created in hard scatterings
- Important to calibrate heavy-quark observables for QCD matter studies

ALI-PUB-488617

$$\frac{d\sigma^{pp \rightarrow H_Q}}{dp_T} = \sum_{ij} f_i(x_1, \mu_F) f_j(x_2, \mu_F) \otimes \frac{d\sigma^{ij \rightarrow Q}}{dp_T}(x_1 x_2, \mu_F, \mu_R) \otimes D_{Q \rightarrow H_Q}(z_Q = \frac{p_{H_Q}}{p_Q}, \mu_F)$$

Parton distribution functions (PDF)

Hard scattering cross section (pQCD)

Fragmentation function (Hadronization)

# ALICE schedule



2010-2013

2015-2018

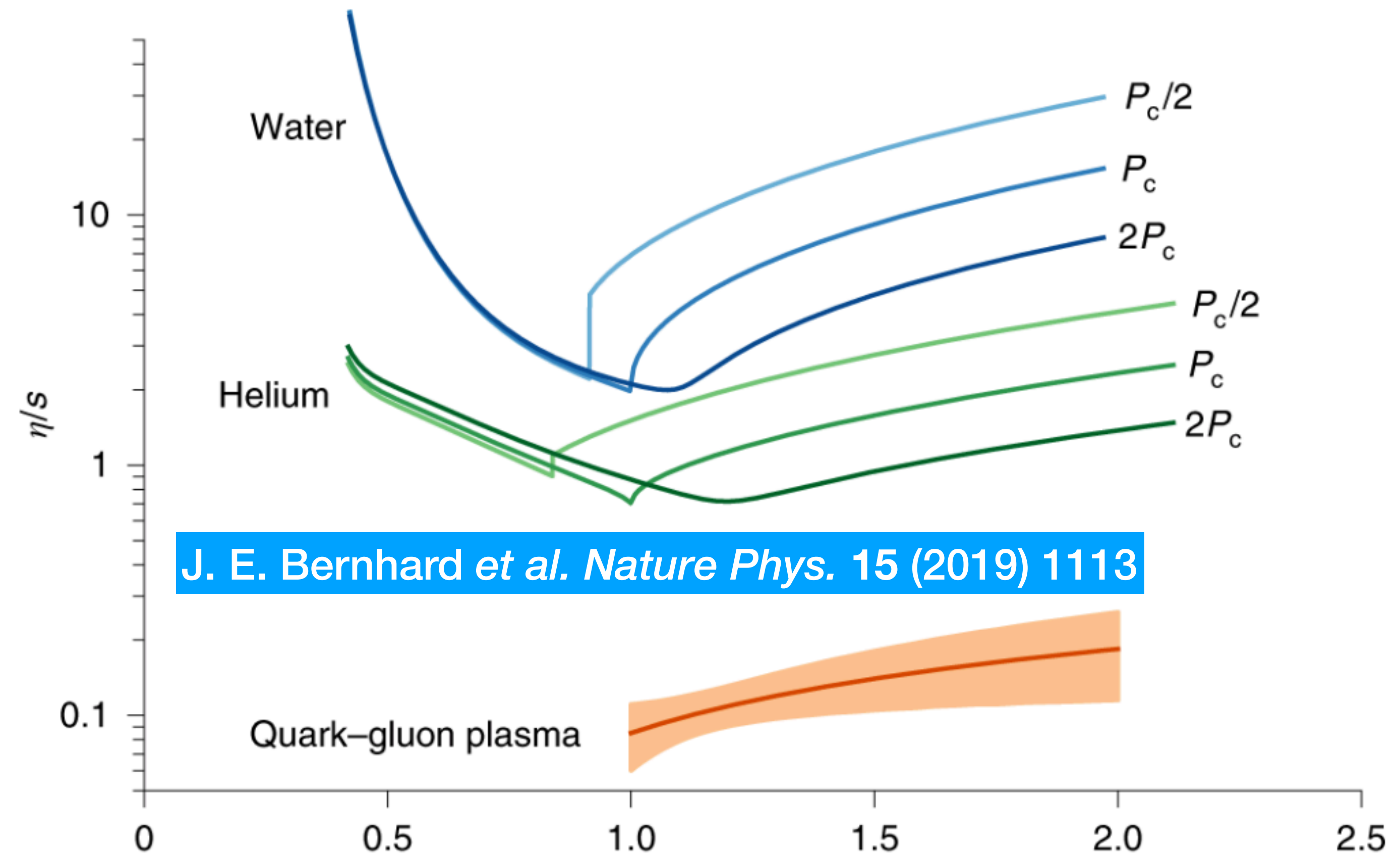
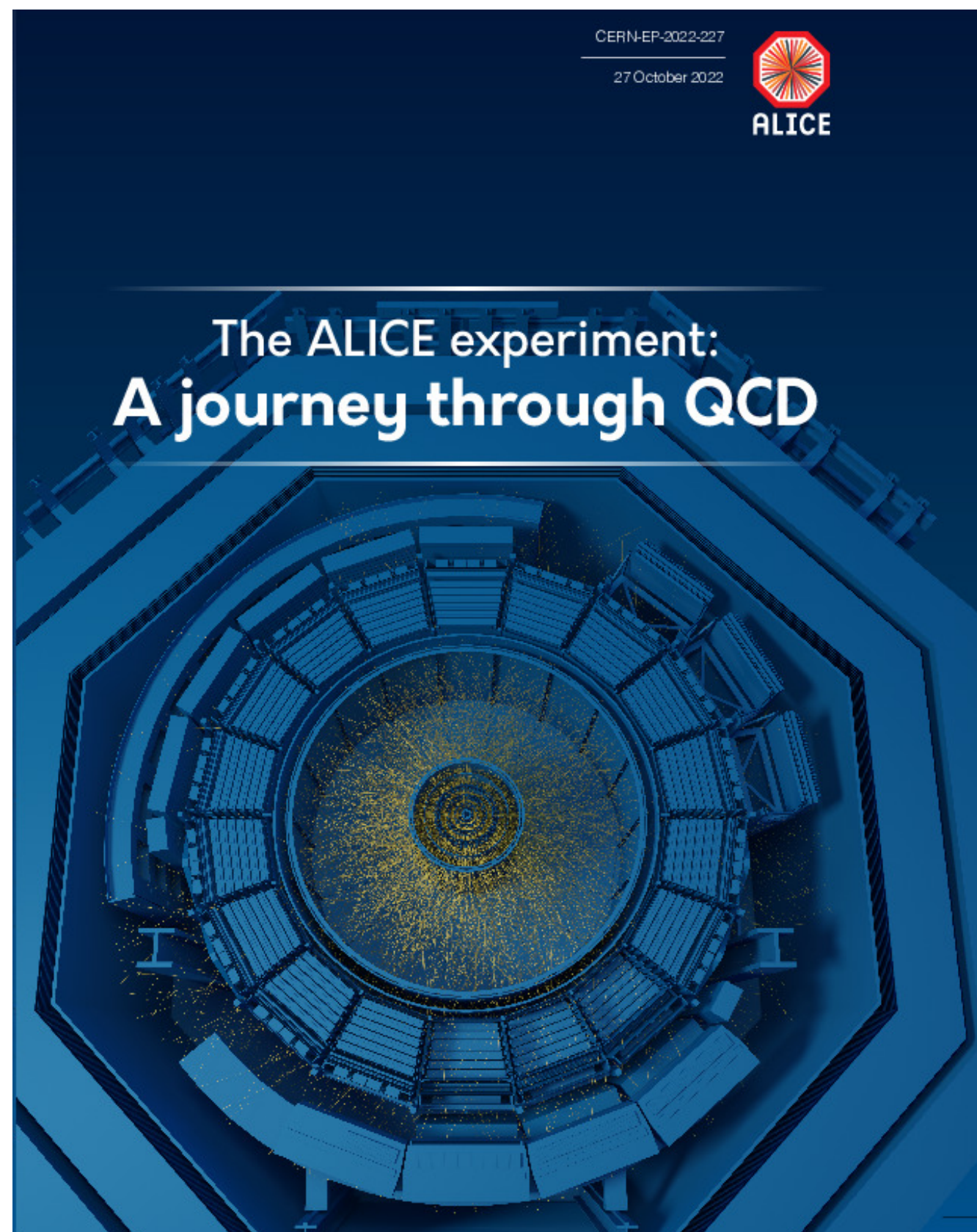
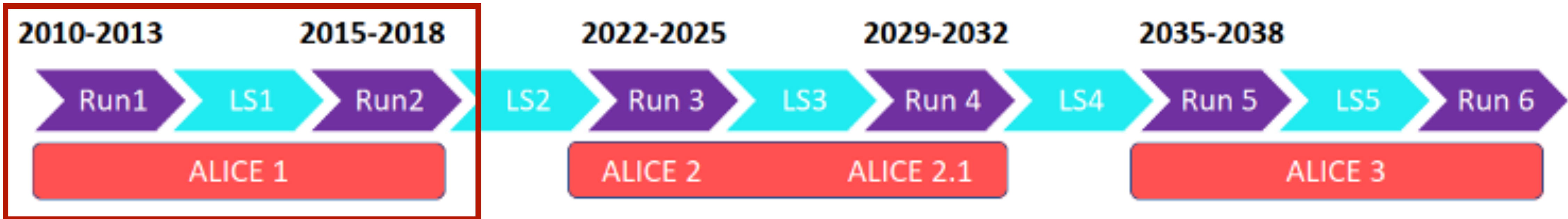
2022-2025

2029-2032

2035-2038



# A journey through QCD



# Microscopic of the QCD



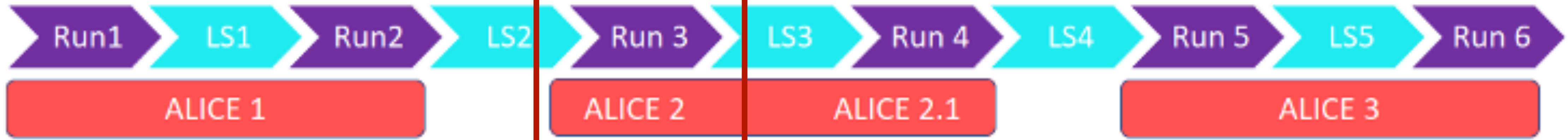
2010-2013

2015-2018

2022-2025

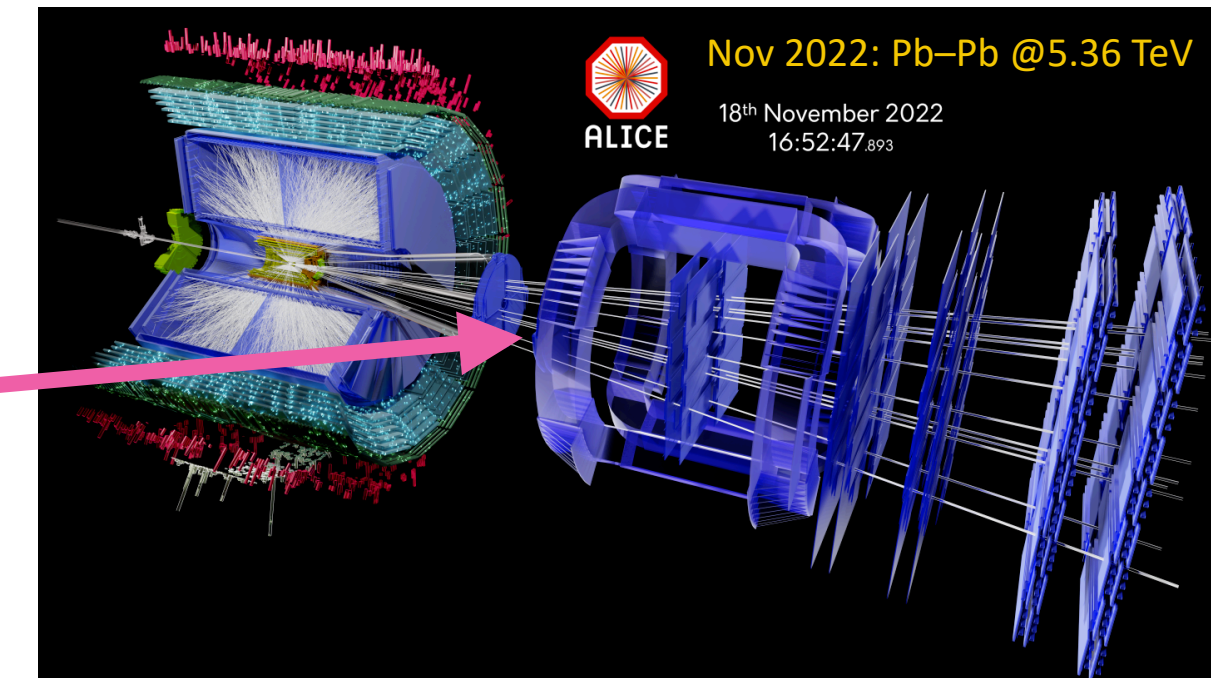
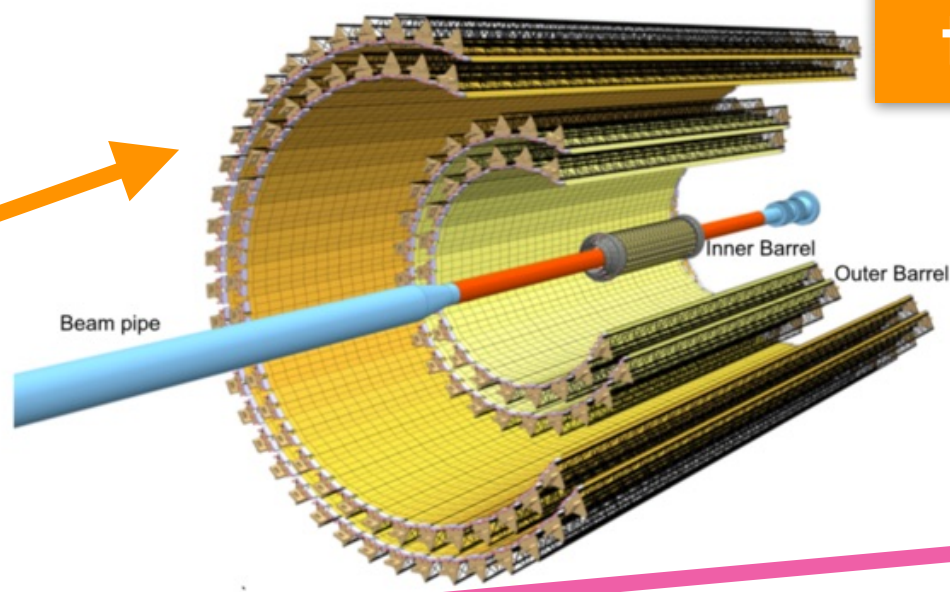
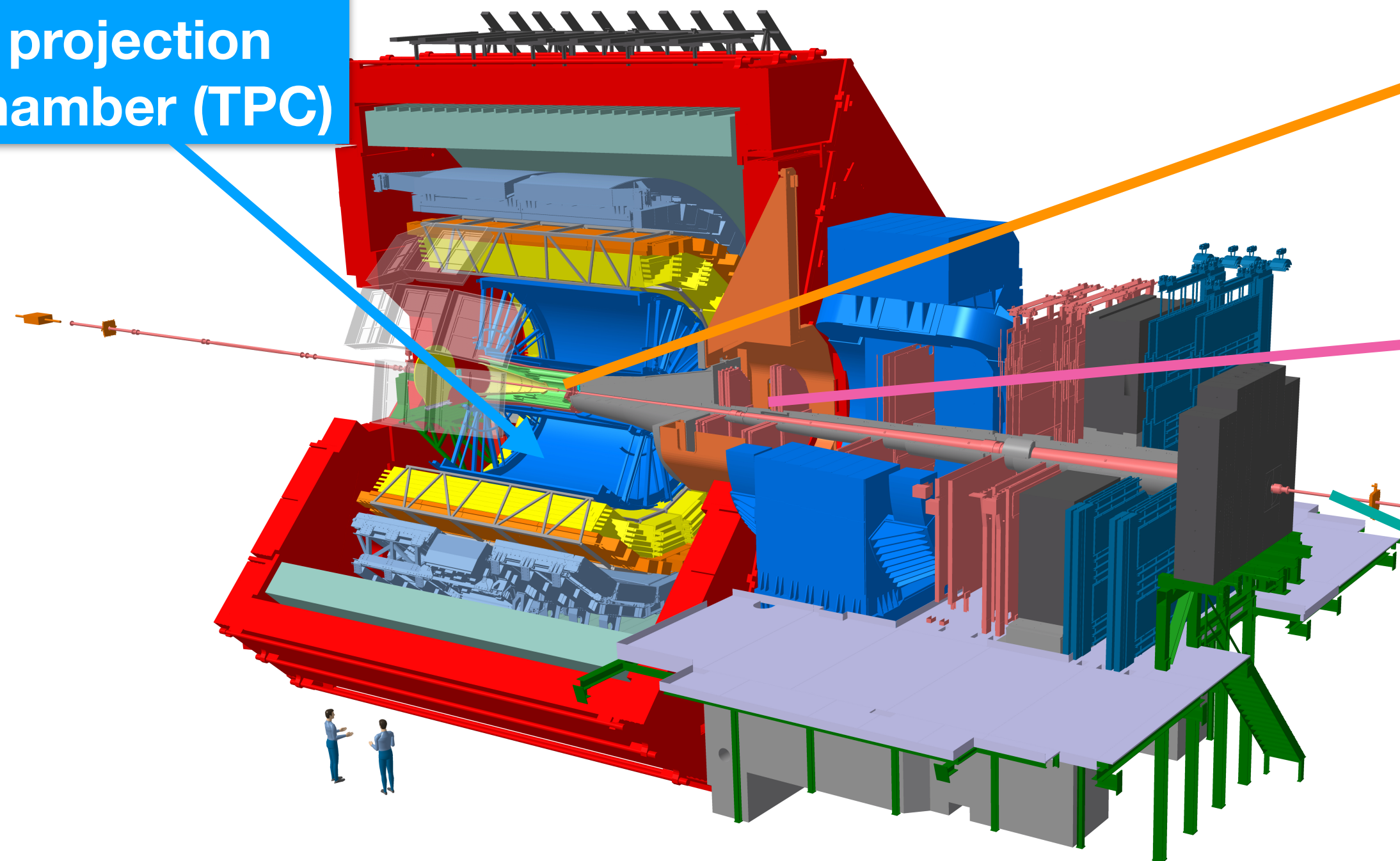
2029-2032

2035-2038

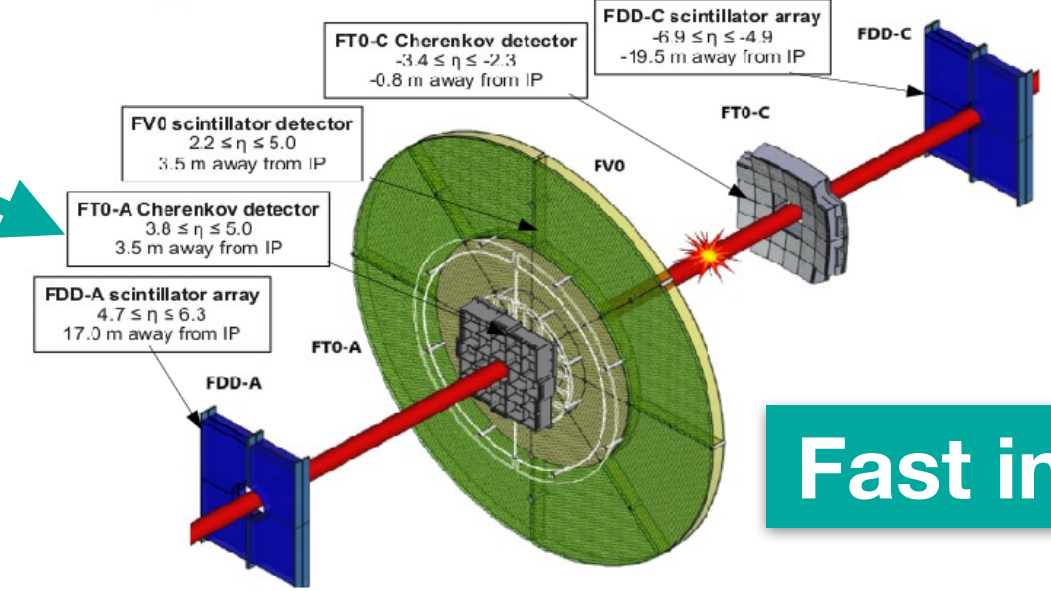


Upgraded readout of time projection chamber (TPC)

The 2nd generation inner tracking system (ITS2)



Muon forward tracker (MFT)



Fast integrated trigger (FIT)

# Microscopic of the QCD



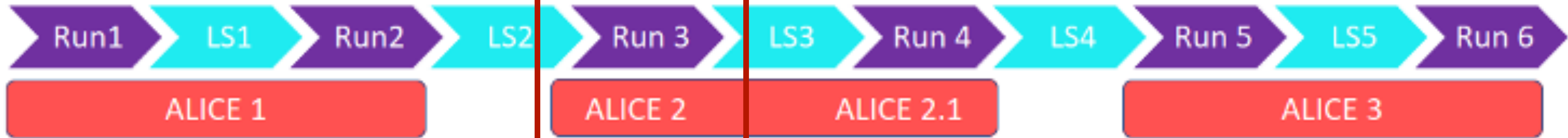
2010-2013

2015-2018

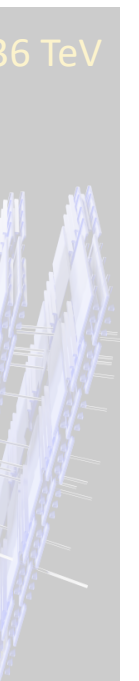
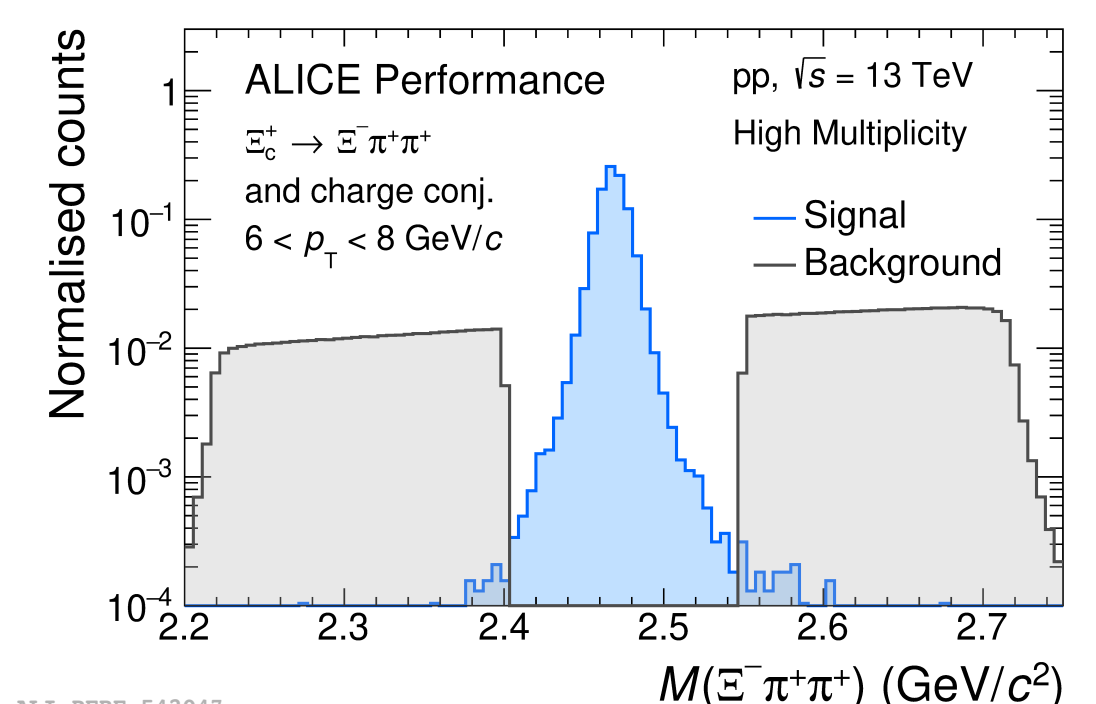
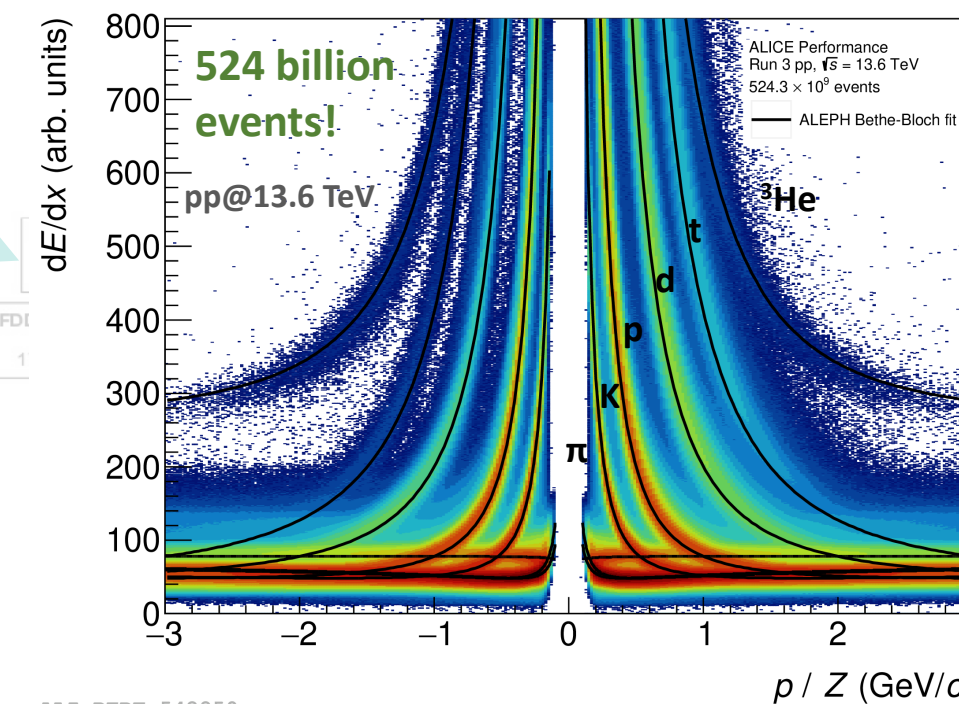
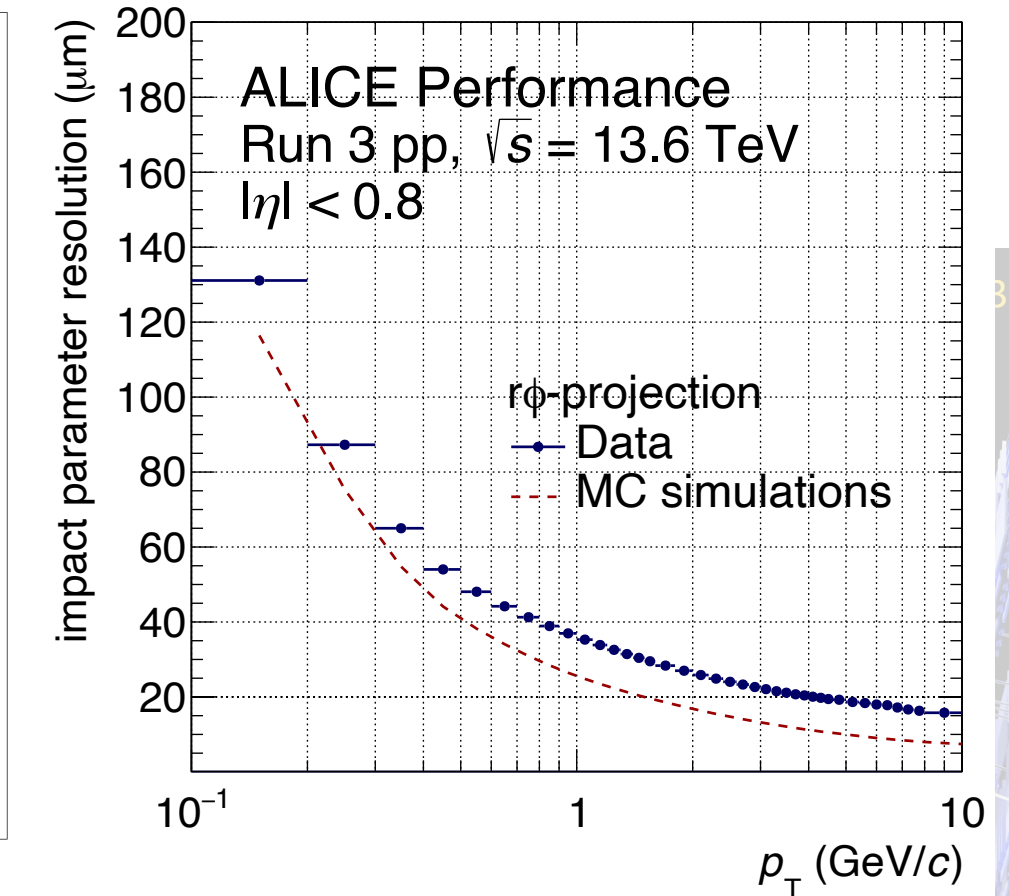
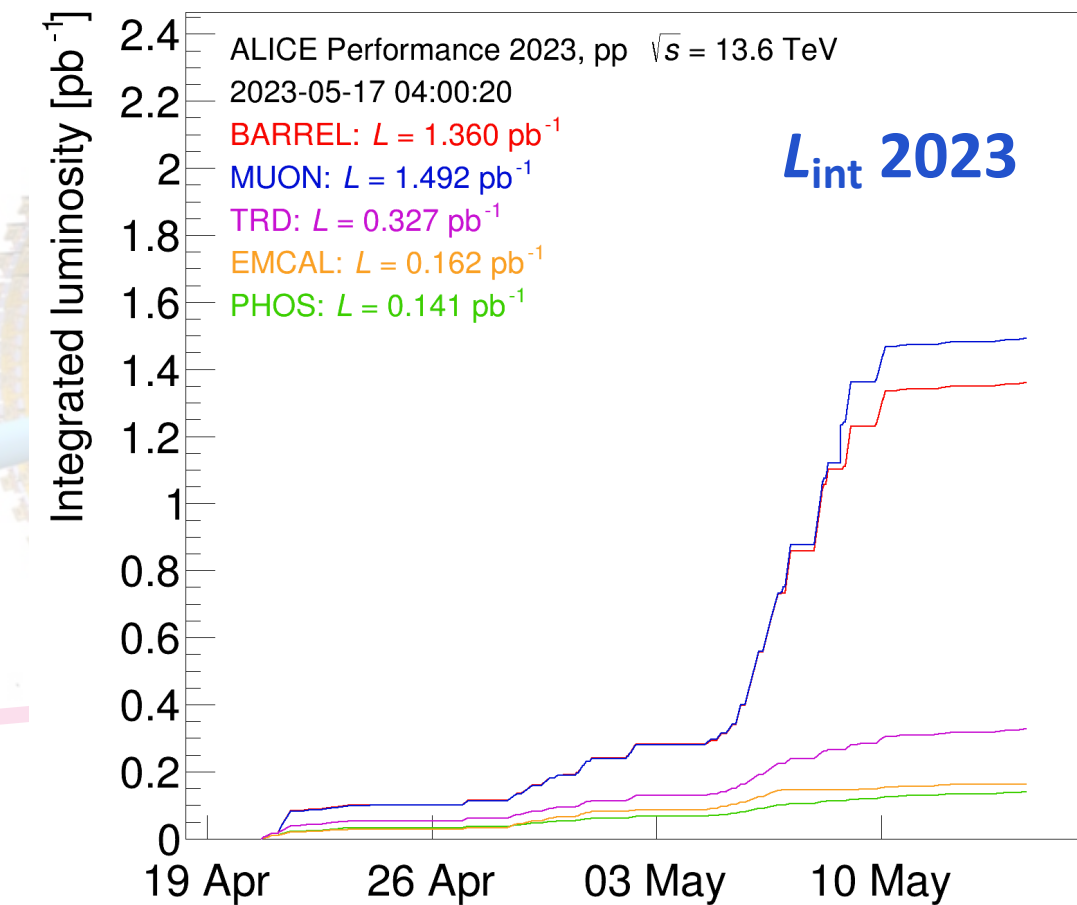
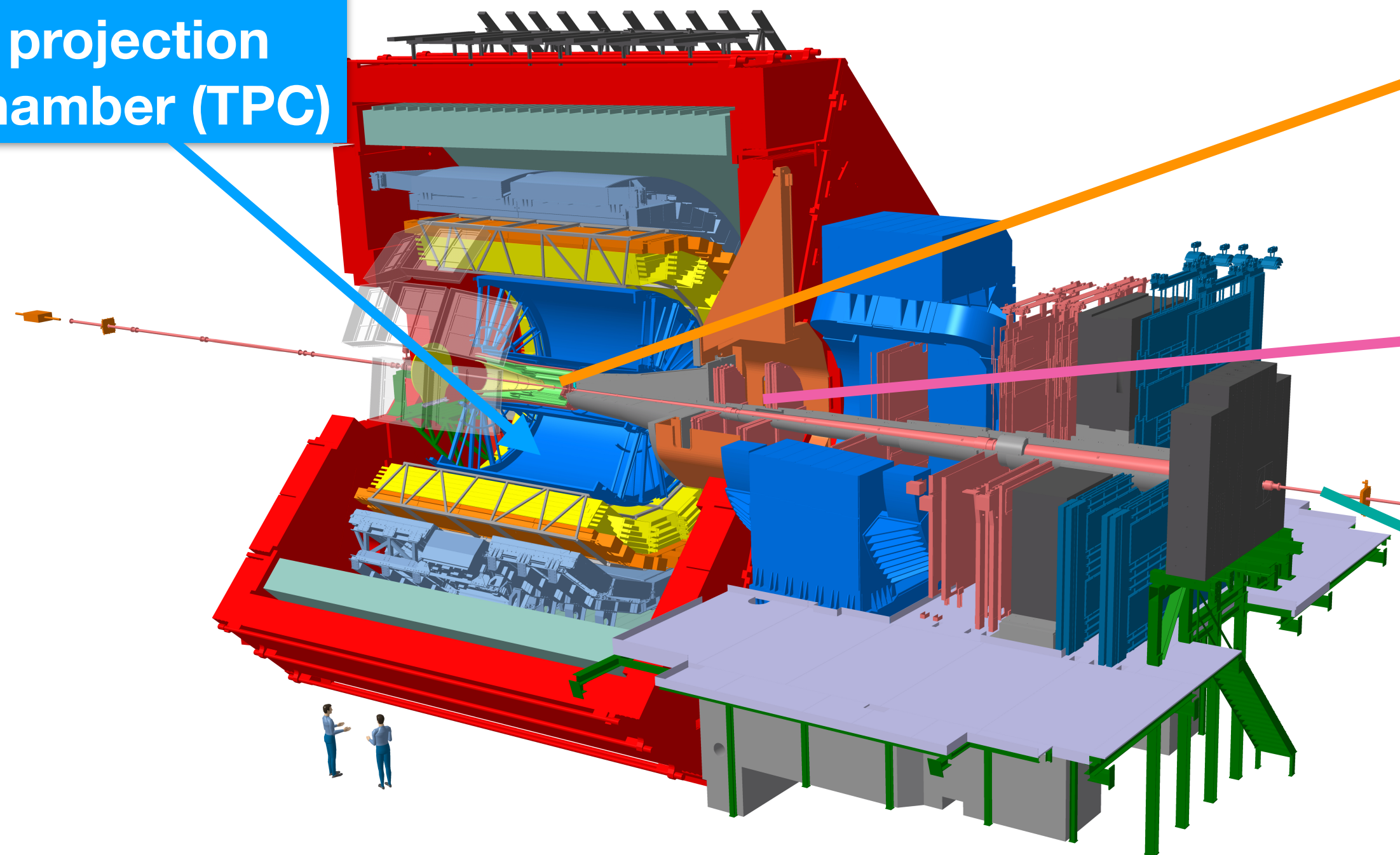
2022-2025

2029-2032

2035-2038



Upgraded readout of time projection chamber (TPC)



er (MFT)

# Femtoscopic of the QCD



2010-2013

2015-2018

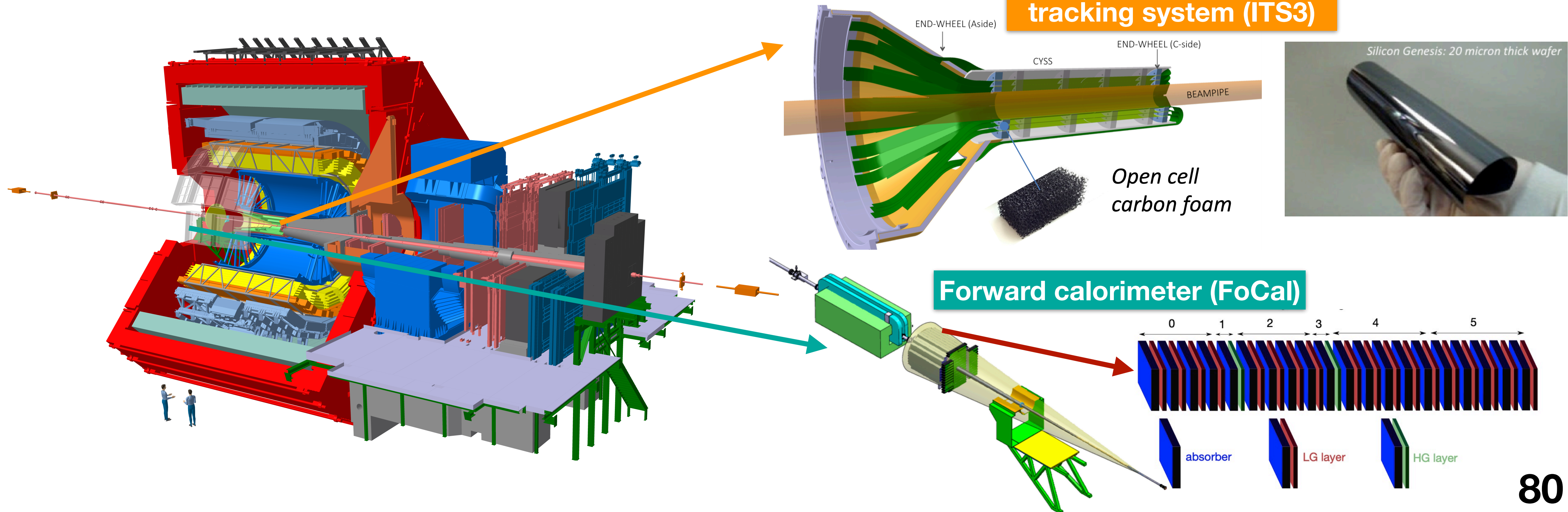
2022-2025

2029-2032

2035-2038



The 3rd generation inner tracking system (ITS3)





# Next-generation HI experiment



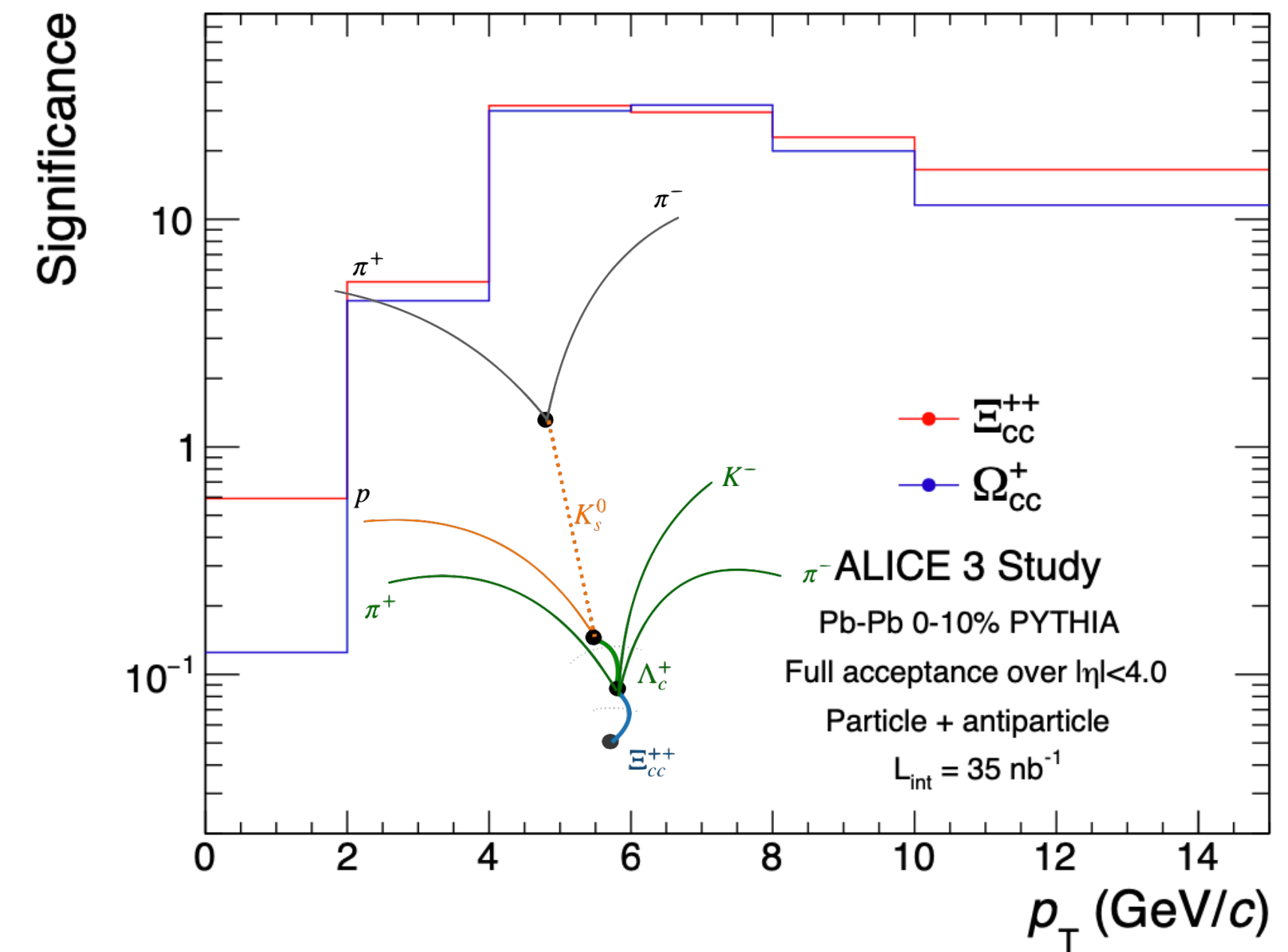
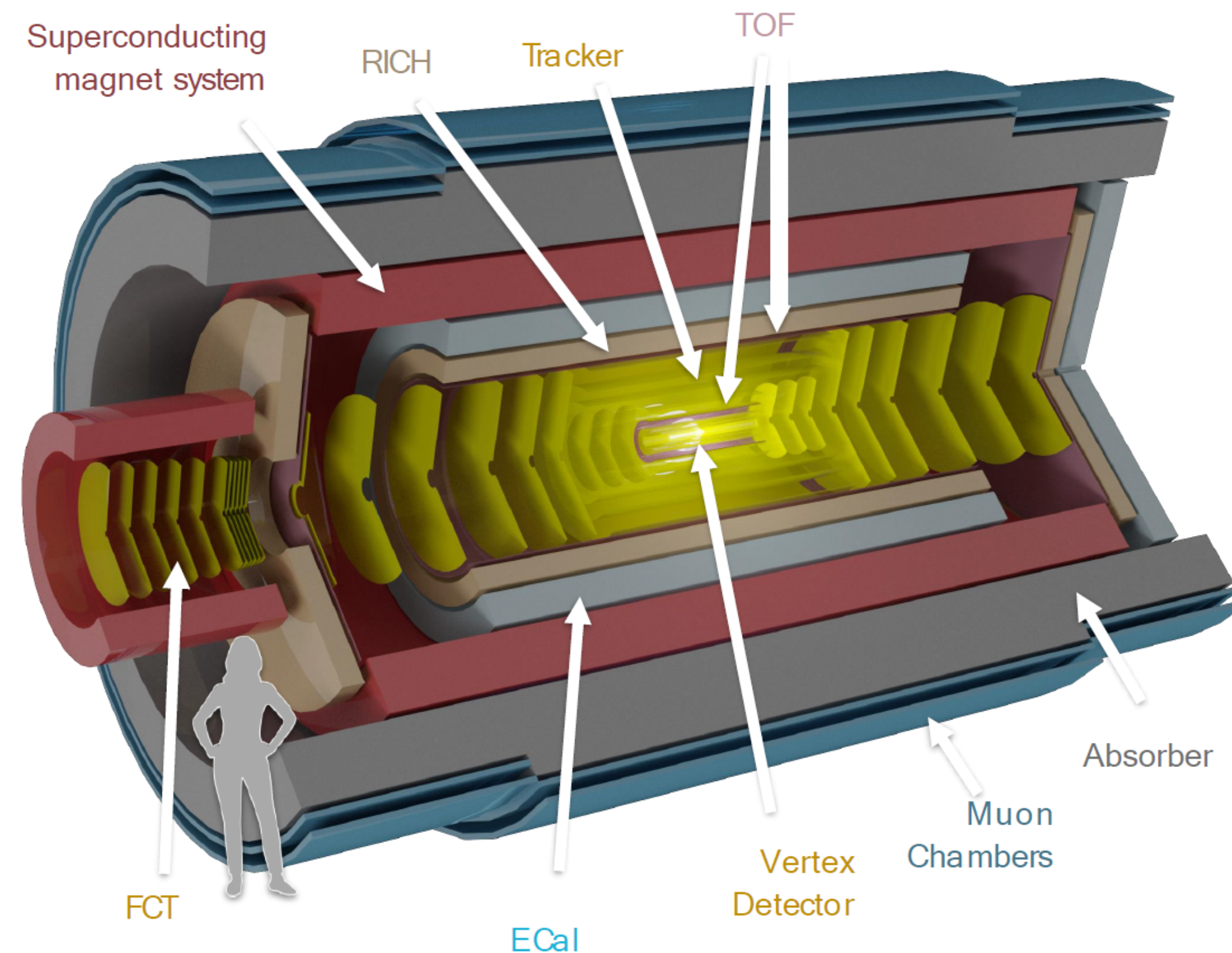
**ALICE 3**  
Letter of intent

CERN-LHCC-2022-009  
(LHCC-4-038)  
4 November 2022

**ALICE arXiv:2211.02491**

A next-generation heavy-ion experiment at the LHC

VERSION 2



# What is experimental physics?

