

# Nucleon spin structure study with pp collisions & spin physics in heavy ion collisions



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华大QCD讲习班, 2023.10.23-27

# Outline for today's topic

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- Introduction to SPIN & nucleon spin structure
- Recent spin highlights in pp collisions from RHIC:
  - ✓ Gluon polarization (Jet,  $\pi^0$  production): gluon polarization  $\Delta g$
  - ✓ Quark/Anti-quark polarization (W/Z production): sea quark  $\Delta q$
  - ✓ Transverse spin asymmetry (W/Z production): Sivers function
  - ✓ Transverse spin asymmetry (Hadron production): Collins
- Global polarization in heavy ion collisions
  - ✓ Hyperon global polarization
  - ✓ Spin alignment of vector meson
- Future plans for spin physics in 2024+ at RHIC/EIC/EicC

# What is SPIN?

- As a fundamental observable of (sub)-atomic physics, spin was introduced by S. Goudsmit & G. Uhlenbeck (1925)

*“This is a good idea. Your idea may be wrong, but since both of you are so young without any reputation, you would not lose anything by making a stupid mistake.”*

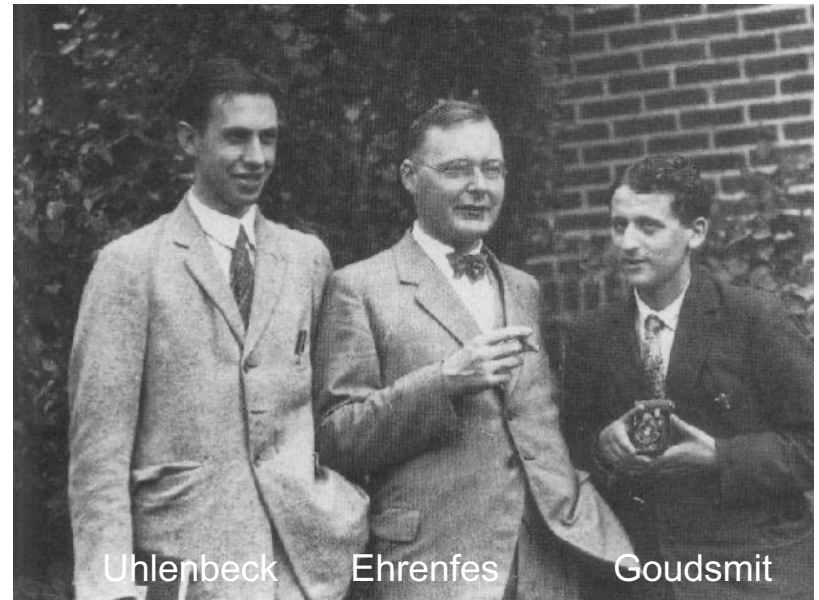
-R. Kronig, 1926  
(Pauli)

-Ehrenfest upon receiving the paper by Goudsmit & Uhlenbeck

- Original measurement (1922) by Stern & Gerlach
- **In non-relativistic** theory: operator  $\mathbf{S}_i$

$$\hat{\mathbf{S}} \equiv (\hat{S}_x, \hat{S}_y, \hat{S}_z)$$

$$[\hat{S}_j, \hat{S}_k] = i\epsilon_{jkl}\hat{S}_l$$



# Spin in a relativistic theory

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- Physics invariant under Lorentz boosts, rotations, and translations → **inhomogeneous Lorentz transformations**

→ **Poincare group/invariance** of 3+1 Minkowski space-time

10 generators:  $P_j, P^0$  translations in space & time  
 $M^{\mu\nu} = -M^{\nu\mu}$  Lorentz boosts & rotations

→  $J_i \equiv -\varepsilon_{ijk} M^{jk}/2$  and  $K^i \equiv M^{i0}$   
pure rotations pure boosts

- generators satisfy algebra (= set of commutation relations),  
e.g.,  $[J_i, J_j] = i \varepsilon_{ijk} J_k$
- any physical system is a realization of this algebra

-E. Leader, 2001, "*Spin in particle physics*"

# Spin in a relativistic theory

- Two group invariants (Casimir operators) define two universal observables:

$$P_\mu P^\mu = m^2$$

mass

$$W_\mu W^\mu \text{ where } W_\mu = -\varepsilon_{\mu\nu\rho\sigma} M^{\nu\rho} P^\sigma / 2$$

spin !!

$$[W_\lambda, W_\mu] = i\varepsilon_{\lambda\mu\nu\sigma} W^\nu P^\sigma$$

Pauli-Lubanski operator

→ spin is a consequence of space-time symmetry

- for **states at rest**:  $[W_i, W_j] = i m \varepsilon_{ijk} W^k \rightarrow S_i \equiv W_i / m$  (spin operator)
- eigenvalues  $W_\mu W^\mu = m^2 S(S+1)$  with  $S=0, 1/2, 1, \dots$  (inv. spin quantum no.)
- states can be labelled as  $|S, S_Z\rangle$

Leader, Stratmann

# Spin $\frac{1}{2}$ - most important example

- Longitudinal polarization: “Dirac equation”

$$n^\mu = \frac{1}{m}(|\vec{p}|, E p^i / |\vec{p}|) \rightarrow \Pi = \frac{\vec{J} \cdot \vec{p}}{|\vec{p}|}$$

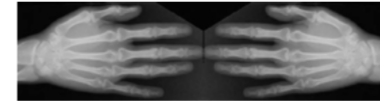
“helicity”

-周光召 & Shirokov 1958

-Jacob & Wick 1959

- Eigenvalue: helicity  $\lambda$ ; helicity states labelled as  $|p, \lambda\rangle$
- High energy limit or  $m \sim 0$

- $\Pi \rightarrow \gamma_5$  and helicity = chirality



- helicity becomes Lorentz-invariant

- Transverse polarization:  $n^\mu = (0, \vec{n}_\perp, 0)$

- Spin polarization vector:  $\vec{P} = \frac{\langle \vec{s} \rangle}{s} = \frac{\langle \vec{s} \rangle}{\hbar/2}, \quad |P| \leq 1$

- Spin density matrix:  $\rho = \frac{1}{2}(1 + \vec{P} \cdot \vec{\sigma}), \quad \vec{P} = \text{Tr} \rho \vec{\sigma}$   
 $(\rho = \sum_i P_i |i\rangle\langle i|)$

# Polarization determination example: $\Lambda$ weak decay

- $\Lambda$  polarization can be measured in experiment via weak decay:

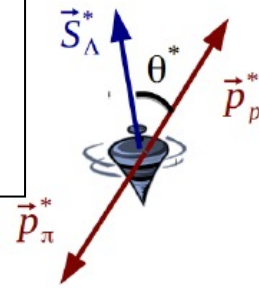
$\Lambda \rightarrow p\pi^-$  (Br64%),  $\Lambda \rightarrow n\pi^0$  (Br36%),

-T.D.Lee, C.N.Yang(1957)

$$\frac{dN}{d\Omega} \propto 1 + \alpha (\vec{P}_\Lambda \cdot \hat{p}_p^*)$$

Unit vector along proton momentum in  $\Lambda$ 's rest frame.

$$\vec{P}_\Lambda \cdot \vec{p}_p^* = P_\Lambda \cos \theta^*$$



$\Lambda \rightarrow p + \pi^+$   
(BR: 63.9%,  $c\tau \sim 7.9$  cm)

decay parameter: 0.732( $p\pi^-$ )

$\Lambda$  polarization vector

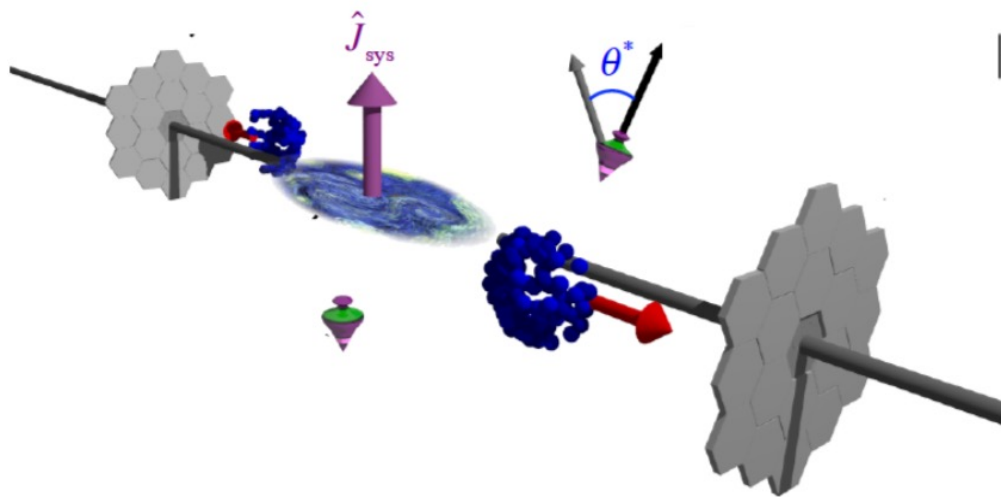
- $\Lambda$ 's contain a strange constituent quark, whose spin is expected to carry most of the  $\Lambda$  spin:  $|\Lambda^\uparrow\rangle = (ud)_{00} s^\uparrow$

- Different method for proton/electron beam:

-via spin asymmetry in scattering, for example proton polarimetry based on Coulomb Nuclear Interference

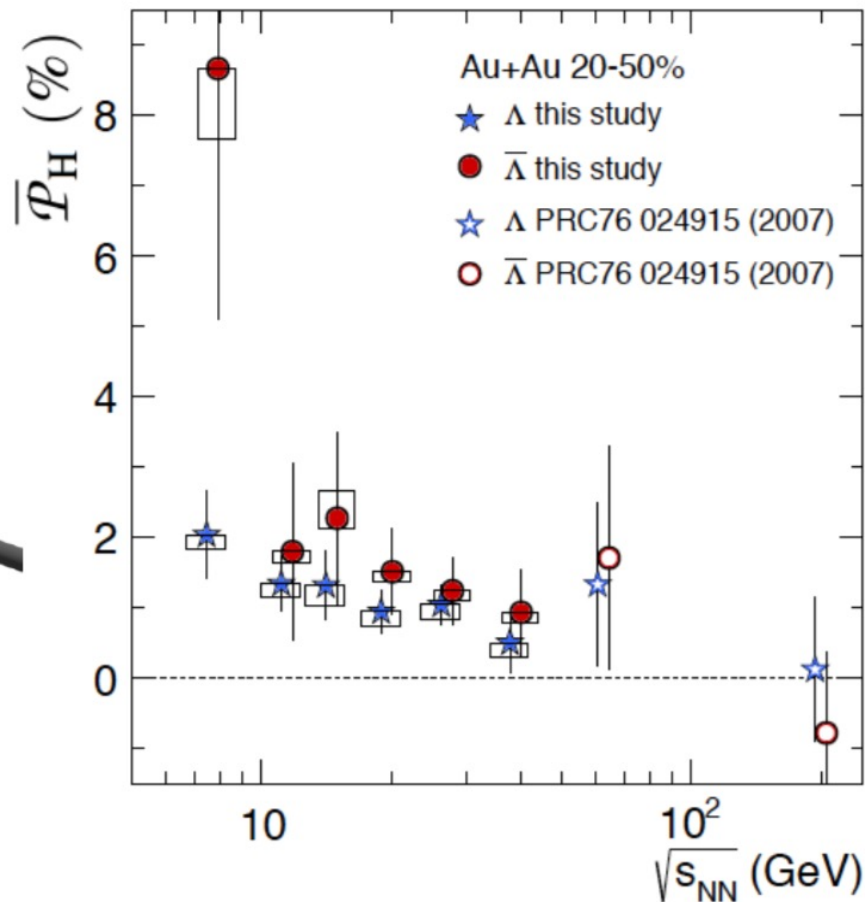
# $\Lambda$ Global polarization in heavy ion collisions

- $\Lambda$  global polarization observed at STAR (Nature cover), as predicted by Z.T. Liang and X.N. Wang in 2004.



非零角动量可以转化成流体涡旋，  
并极化超子

STAR, Nature 548(2017)62





# Spin structure of nucleon

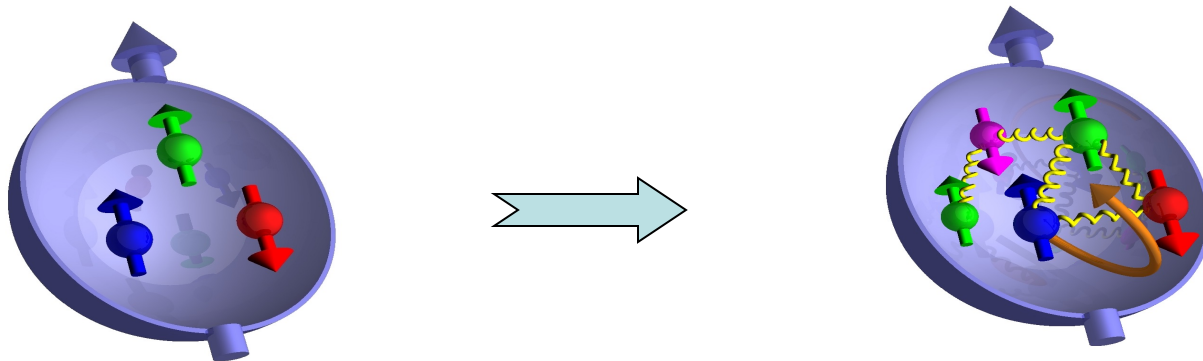
- In the naive Quark Model, the nucleon is made of three quarks - p(uud). The quark spins make up the nucleon spin, as the quarks are in the s-orbit:

$$\Delta\Sigma = 1 \quad \left\{ \begin{array}{l} |p^\uparrow\rangle = \sqrt{\frac{2}{3}}u^\uparrow u^\uparrow d^\downarrow - \sqrt{\frac{1}{3}}\sqrt{\frac{1}{2}}(u^\uparrow u^\downarrow + u^\downarrow u^\uparrow)d^\uparrow \\ \Delta U = \frac{4}{3}, \Delta D = -\frac{1}{3} \end{array} \right.$$

- With Parton Model (sea quark, gluon) but assumes  $\Delta S=0$ :

$$\Delta\Sigma \approx 0.6$$

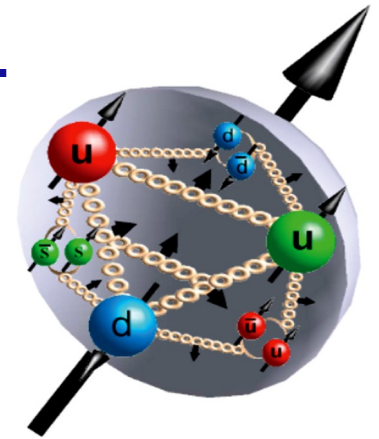
- 1988 - European Muon Collaboration (polarized Deep Inelastic Scattering) “Spin Crisis” --- proton spin carried by quark spin is rather small:  $\Delta\Sigma \sim 0.2$



# Spin structure of nucleon

- Spin sum rule (longitudinal case):

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + \langle L_{q,g} \rangle$$



**Quark spin,**  
Best known  
(~30%)-DIS

**Gluon spin,**  
Poorly known,  
RHIC

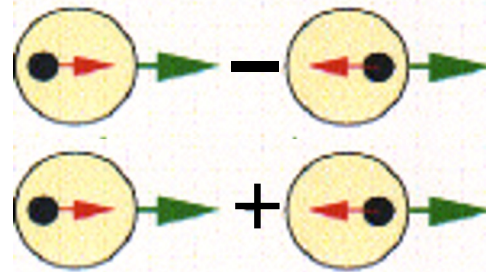
**Orbital Angular Momenta**  
Little known

$$\Delta\Sigma = \Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s} \quad [\Delta q = \int_0^1 \Delta q(x) dx]$$

- Polarized parton densities:

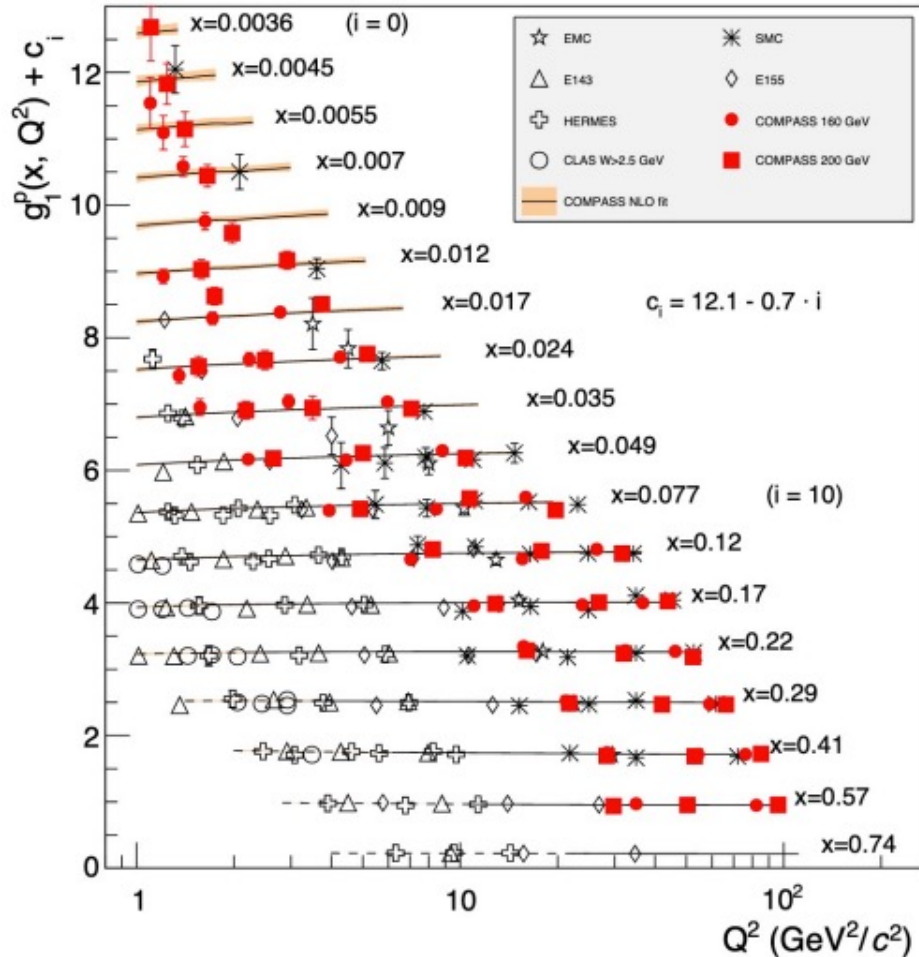
$$\Delta q(x, Q^2) = q^+(x, Q^2) - q^-(x, Q^2)$$

$$q(x, Q^2) = q^+(x, Q^2) + q^-(x, Q^2)$$



# World data on pol. and unpol. deep-inelastic scattering

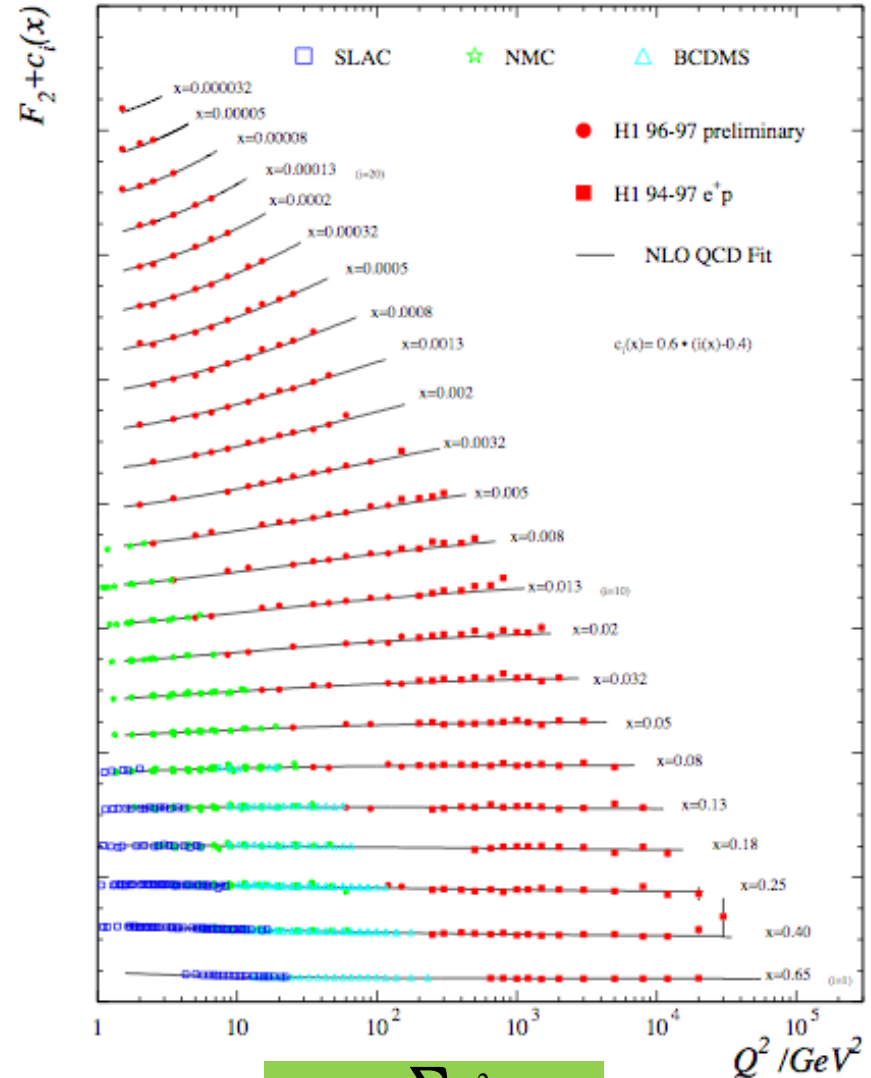
polarized



PDG (online 2023)

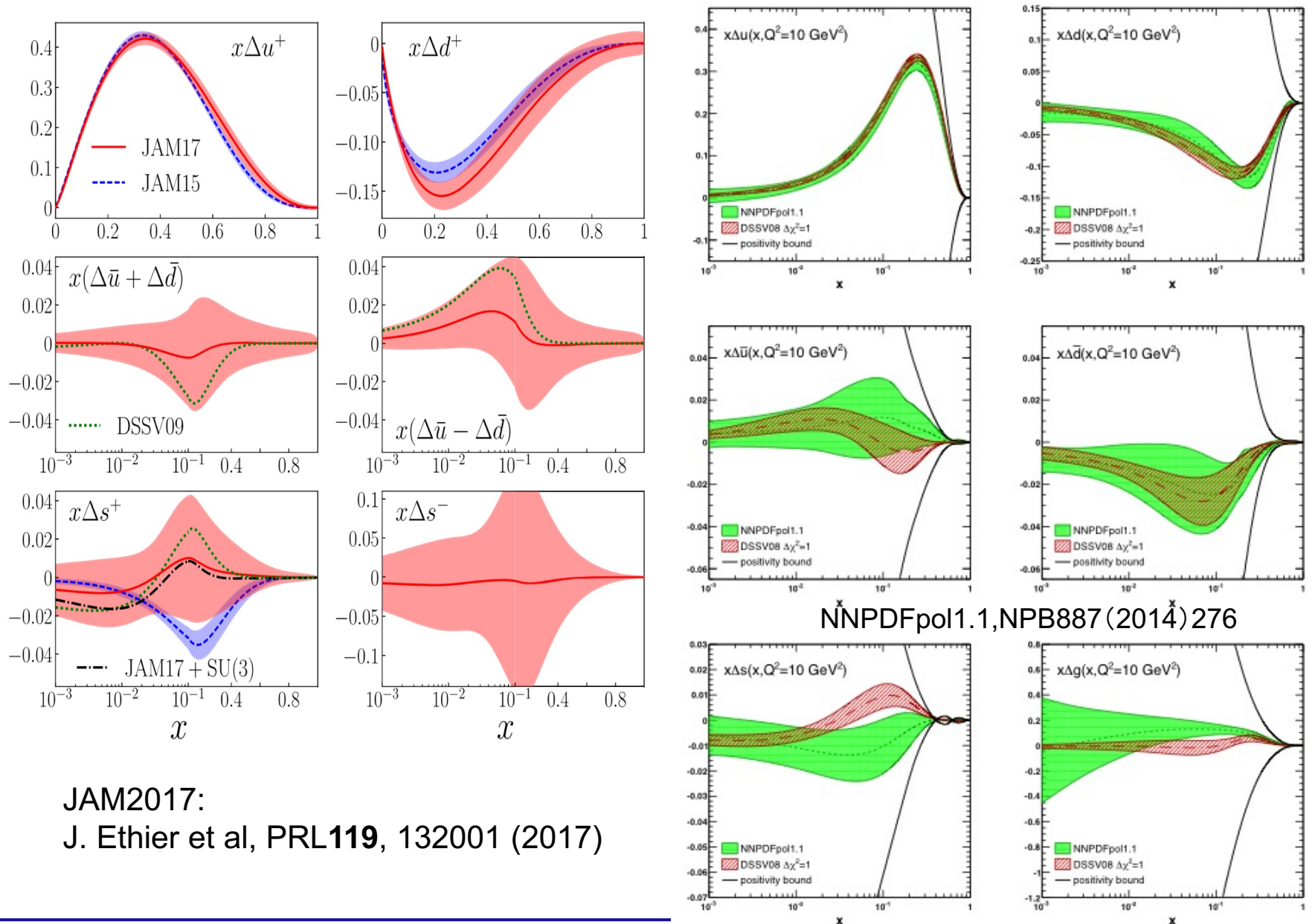
$$g_1(x) = \frac{1}{2} \sum_i e_i^2 \Delta q_i(x)$$

unpolarized



$$F_2(x) = \sum_i e_i^2 x q_i(x)$$

# Detailed knowledge on $\Delta q(x)$ , $\Delta g(x)$ - global fit using DIS and pp data



**JAM2017:**  
 J. Ethier et al, PRL119, 132001 (2017)

# World efforts for spin physics

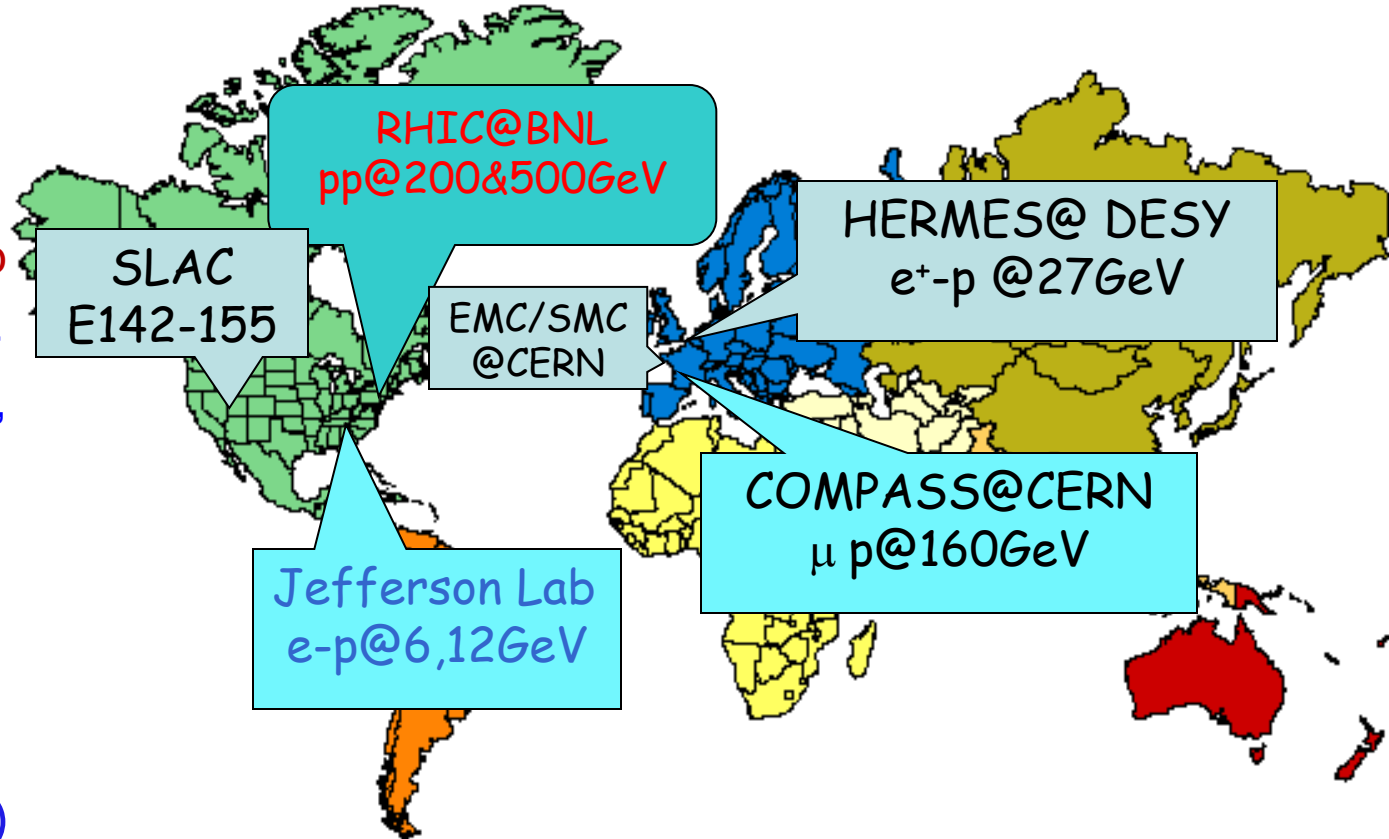
- Finished experiments: SLAC, EMC, SMC, HERMES

- Current running

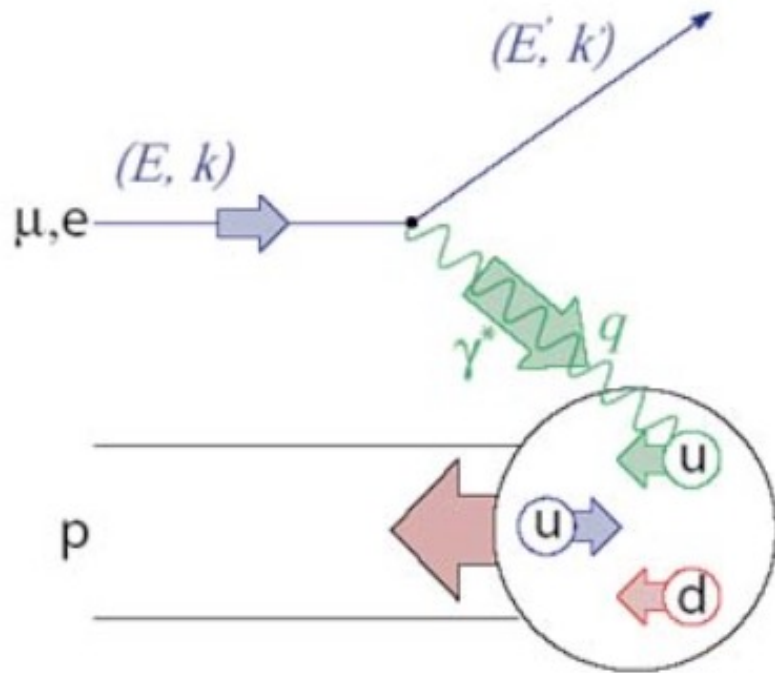
- Lepton-nucleon scattering:  
COMPASS, JLab
- Polarized proton-proton scattering,  
RHIC

- Future facilities

- EIC (US, BNL)
- EicC (China)
- JPARC (Japan)
- GSI-FAIR (Germany)
- NICA (Russia)



# Polarized Deep Inelastic Scattering



$$Q^2 = -(k - k')^2 \stackrel{lab}{=} 4EE' \sin^2 \frac{\vartheta}{2}$$

$$P \cdot q \stackrel{lab}{=} M\nu = M(E - E')$$

$$P \cdot k \stackrel{lab}{=} ME$$

**Bjorken-x:** fraction of longitudinal momentum carried by struck quark in infinite-momentum frame (Breit)

$$x \stackrel{lab}{=} \frac{Q^2}{2M\nu} = \frac{-q^2}{2P \cdot q}$$

$$y \stackrel{lab}{=} \frac{\nu}{E} = \frac{P \cdot q}{P \cdot k}$$

$Q^2$  corresponds to the “spatial resolution”

$$\lambda \approx \frac{1}{|\vec{q}|}$$

# Structure Function and parton densities (PDF)

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$F_1, F_2$

unpolarised structure functions: momentum distributions

$g_1, g_2$

polarised structure functions: spin distributions

QPM:  $F_2(x) = 2xF_1$

Callan Gross relation

$g_2 = 0$

twist-3 quark-gluon correlations

$$F_1(x) = \frac{1}{2} \sum_f e_f^2 \{q_f^+(x) + q_f^-(x)\} = \frac{1}{2} \sum_f e_f^2 q_f(x)$$

$$g_1(x) = \frac{1}{2} \sum_f e_f^2 \{q_f^+(x) - q_f^-(x)\} = \frac{1}{2} \sum_f e_f^2 \Delta q_f(x)$$

# $\Delta U, \Delta D, \Delta S$ from polarized inclusive DIS

- Determination of  $\Delta S, \Delta \Sigma$  with polarized inclusive DIS:

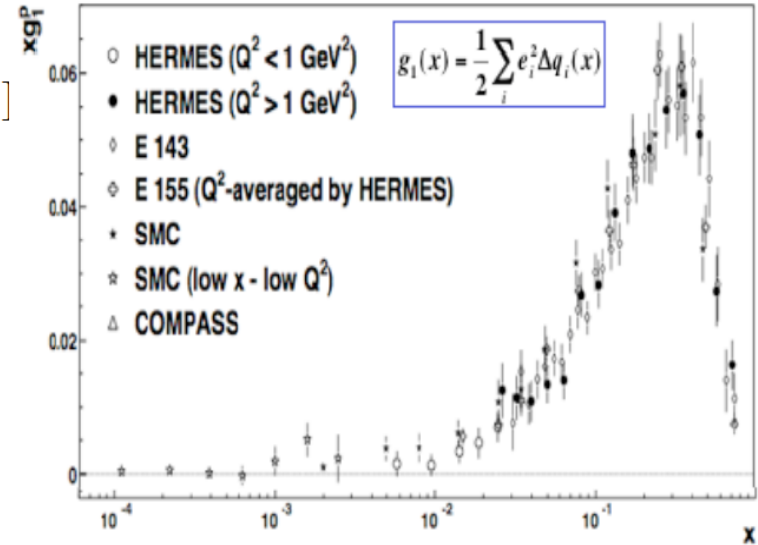
$$\Gamma_1^P = \int_0^1 g_1^P(x) dx = \frac{1}{2} \int \sum_i e_i^2 \Delta q_i(x) = \frac{1}{18} [4\Delta U + \Delta D + \Delta S]$$

$$\Delta \Sigma = \underbrace{\Delta u + \Delta \bar{u}}_{\Delta U} + \underbrace{\Delta d + \Delta \bar{d}}_{\Delta D} + \underbrace{\Delta s + \Delta \bar{s}}_{\Delta S}$$

Each flavor's contribution to nucleon spin:

$$\Delta q = \int_0^1 \Delta q(x) dx$$

$\Delta q(x) = q^+(x) - q^-(x)$ : helicity distribution function



- Together with neutron, hyperon  $\beta$  decay data using  $SU(3)_f$  symmetry:

$$\Rightarrow \Delta \Sigma = 0.33 \pm 0.03 \pm 0.01 \pm 0.03: \quad \begin{cases} \Delta U \sim 0.84, \\ \Delta D \sim -0.43, \quad (\text{HERMES}, Q^2=5 \text{ GeV}^2) \\ \Delta S \sim -0.08 \pm 0.01 \pm 0.01 \pm 0.01^* \end{cases}$$



# Sum rules

- with  $\Delta q = \int \Delta q(x) dx$  we get for the proton

$$\begin{aligned} \Gamma_1^p &= \frac{1}{2} \left\{ \frac{4}{9} \Delta u + \frac{1}{9} \Delta d + \frac{1}{9} \Delta s \right\} \\ &= \frac{1}{12} \underbrace{(\Delta u - \Delta d)}_{a_3} + \frac{1}{36} \underbrace{(\Delta u + \Delta d - 2\Delta s)}_{\sqrt{3}a_8} + \frac{1}{9} \underbrace{(\Delta u + \Delta d + \Delta s)}_{a_0} \end{aligned}$$

$$\Gamma_1^{p,n} = \frac{1}{12} \left( \pm a_3 + \frac{1}{\sqrt{3}} a_8 \right) + \frac{1}{9} a_0$$

Neutron decay  
 $a_3 = g_A$

Hyperon decay  
 $(3F-D)/3$

$\Delta\Sigma$

$a_3 = g_A = 1.267 \pm 0.0035$  (from neutron decay)

$a_8 = 0.58 \pm 0.03$  (assuming SU(3) symmetry)

-G. Mallot

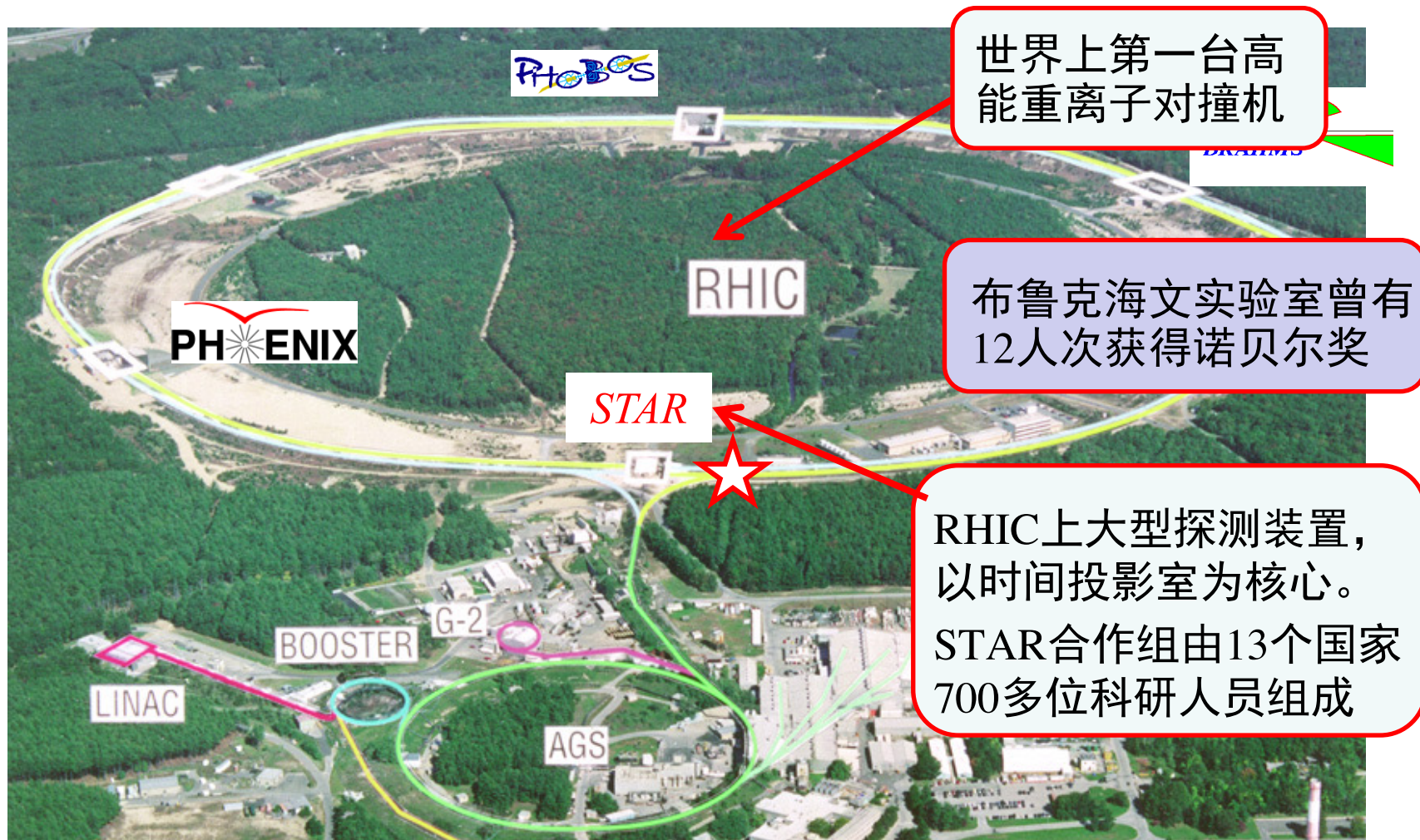
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- Future plans for spin physics in 2024+ at RHIC/EIC/EicC

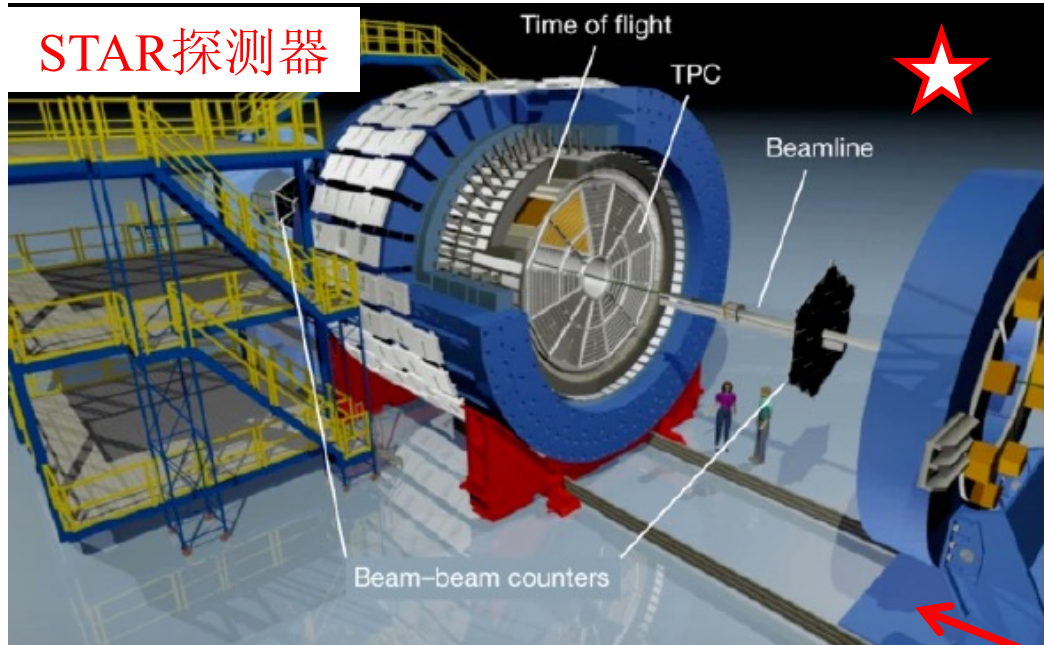
# 美国Brookhaven国家实验室的RHIC对撞机

## Relativistic Heavy Ion Collider --- RHIC



# 美国Brookhaven国家实验室的RHIC对撞机

STAR探测器

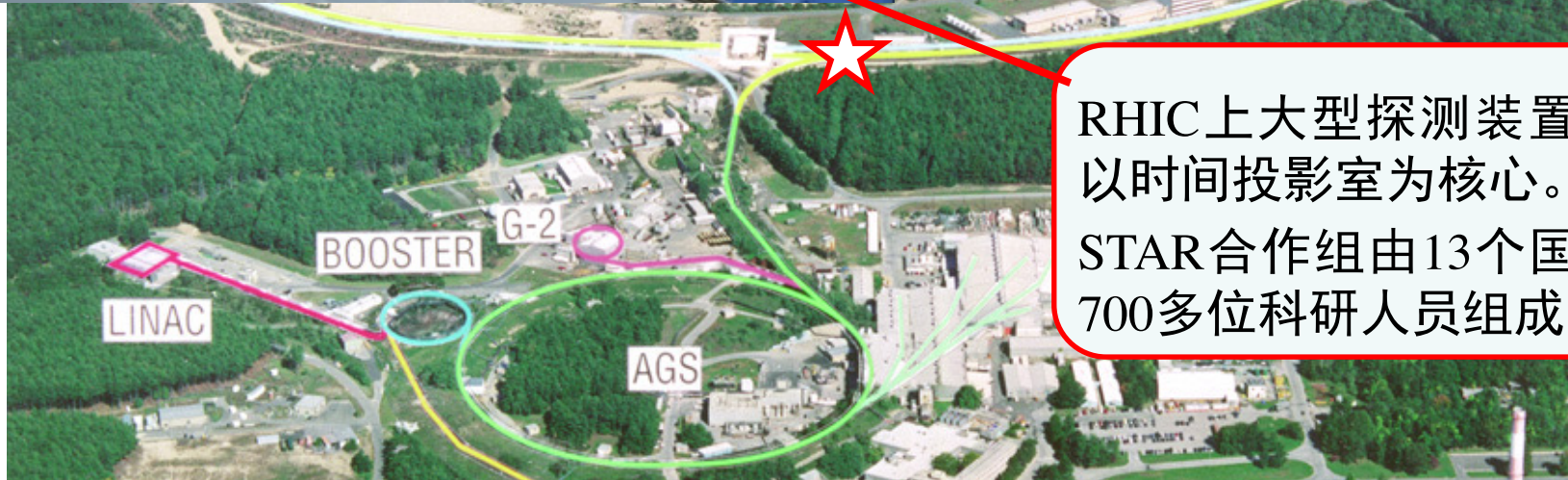


Collider --- RHIC

世界上第一台高能重离子对撞机

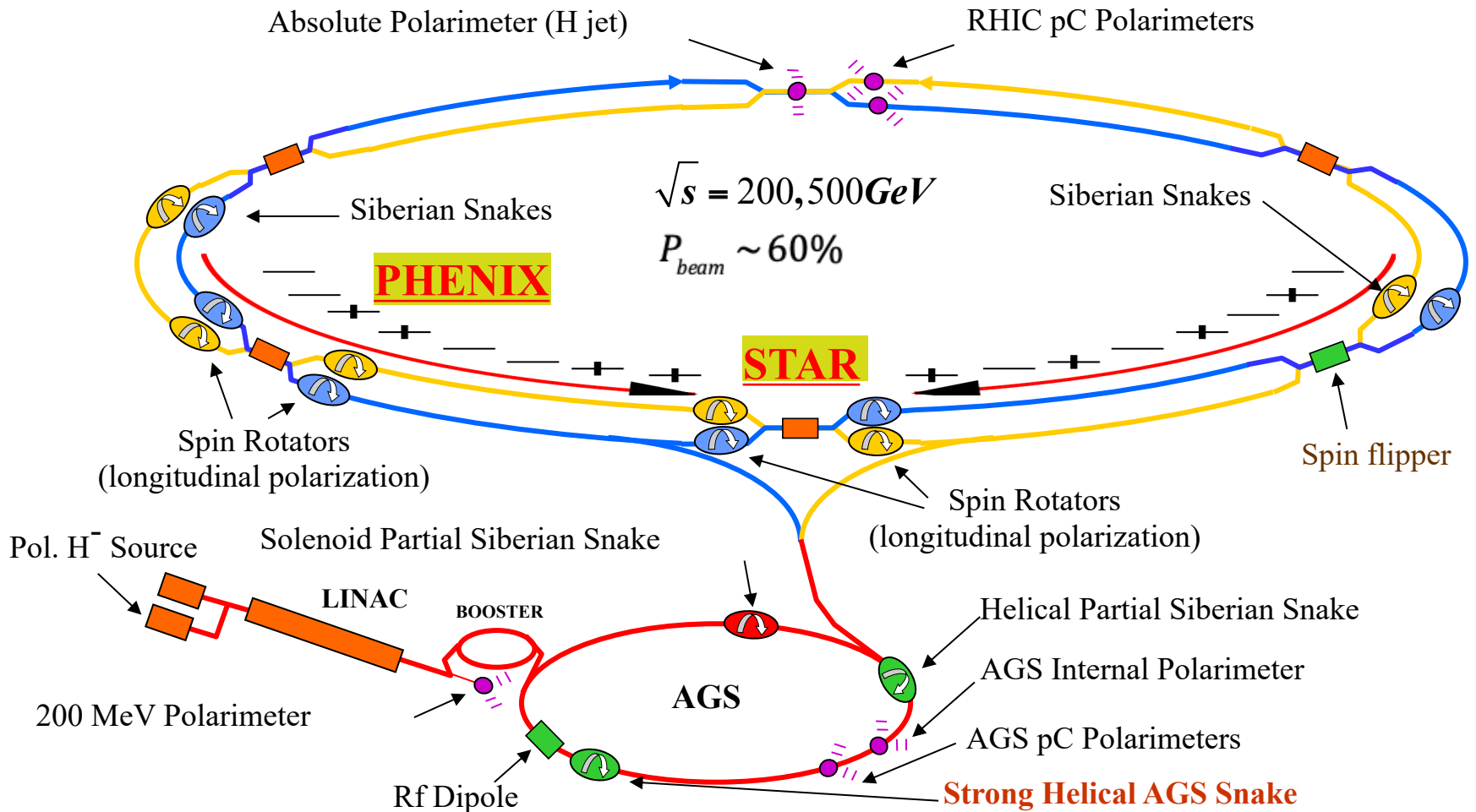
布鲁克海文实验室曾有12人次获得诺贝尔奖

RHIC上大型探测装置，以时间投影室为核心。STAR合作组由13个国家700多位科研人员组成



STAR中国组包括华中师范大学、中科院近代物理研究所、中科院上海应用物理研究所、中国科学技术大学、中国科学院大学、复旦大学、湖州师范学院、山东大学、清华大学等

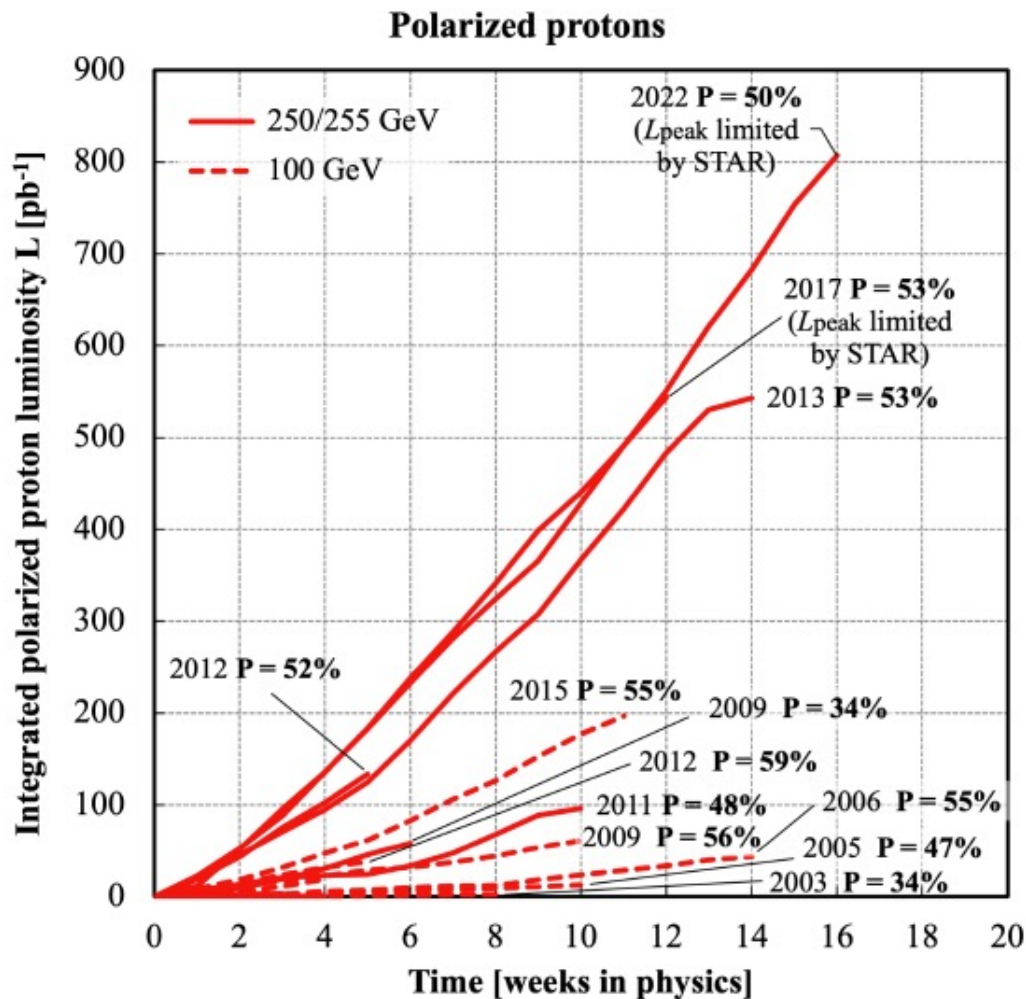
# RHIC- 1st polarized proton-proton collider



- Spin direction changes from bunch to bunch, longitudinal or transverse
- Two main experiments: PHENIX & STAR, have been running since 2004
- STAR is only detector at RHIC during 2017-2022

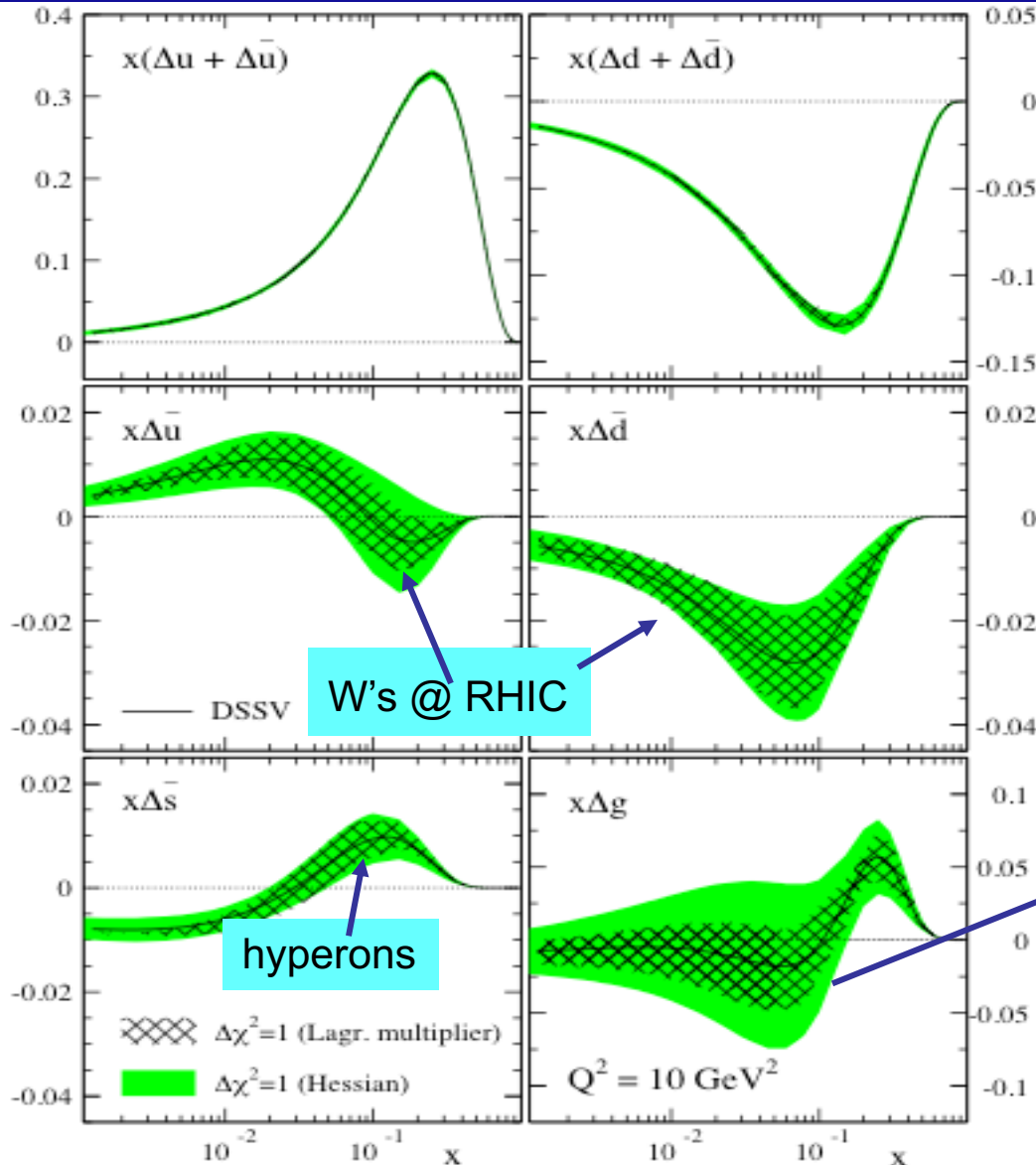
# RHIC performance with pp collisions

- Long runs with **long. pol.** at 200 GeV in 2005, 2006, 2009, 2015.
- Collisions at 500 GeV with **long. pol.** in 2009, 2012 and 2013.
- Long runs with **trans. pol.** in 2006, 2008, 2012, 2015 at 200 GeV and 2011, 2017, **2022** at 500 GeV.



- Last transversely polarized proton-proton run will be taken in 2024 at 200 GeV

# $\Delta q(x), \Delta g(x)$ - global analysis of data



■ Spin sum rule (longitudinal):

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + \langle L_{q,g} \rangle$$

Quark spin,  
(~30%)-  
DIS

Gluon spin,  
Poorly known,  
RHIC

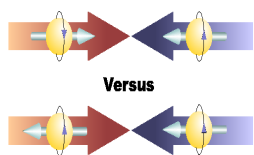
Orbital Angular  
Momenta  
Little known

RHIC (jet,  $\pi^0$ )  
200 GeV, 500 GeV

D. De Florian, R. Sassot, M. Stratmann, W. Vogelsang, PRD80(2009)

# Accessing $\Delta g(x)$ in pp collision

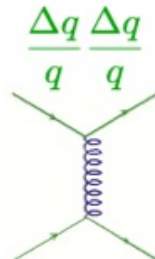
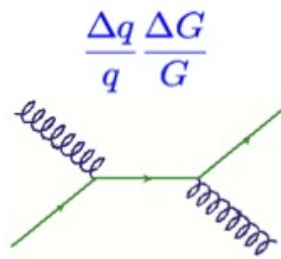
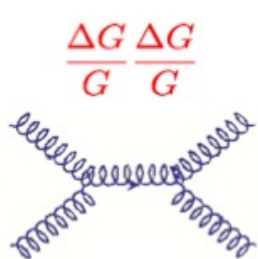
- Longitudinal spin asymmetry:



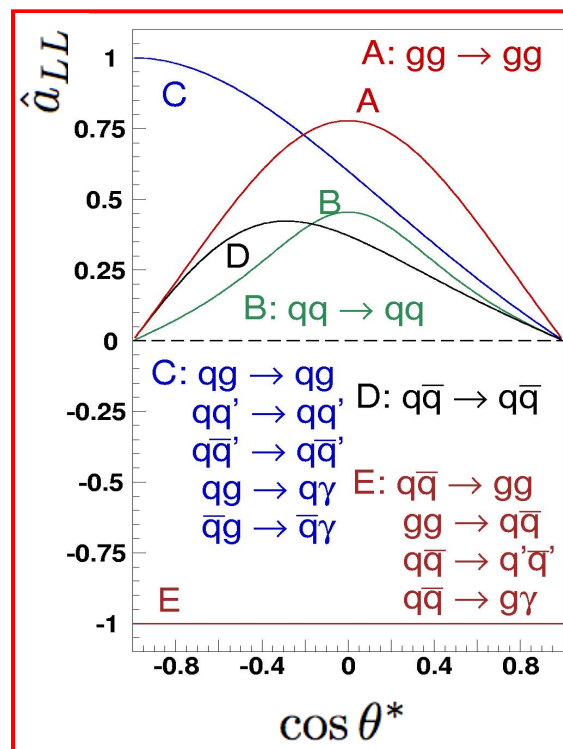
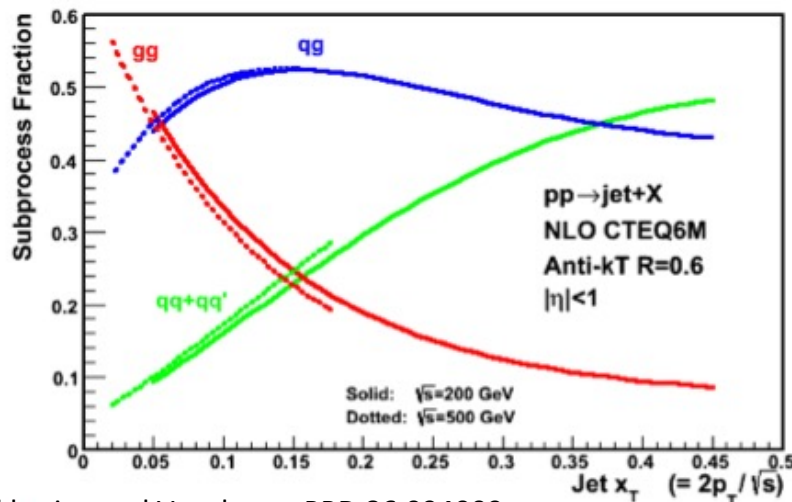
$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$$

$$= \frac{\sum_{f_1, f_2} \Delta f_1 \otimes \Delta f_2 \otimes d\hat{\sigma}^{f_1 f_2 \rightarrow fX} \cdot \hat{a}_{LL}^{f_1 f_2 \rightarrow fX} \otimes D_f^\pi}{\sum_{f_1, f_2} f_1 \otimes f_2 \otimes d\hat{\sigma}^{f_1 f_2 \rightarrow fX} \otimes D_f^\pi}$$

$\hat{a}_{LL} = \frac{d\Delta\hat{\sigma}}{d\hat{\sigma}}$



- Partonic fraction for jet/ $\pi^0$  production:



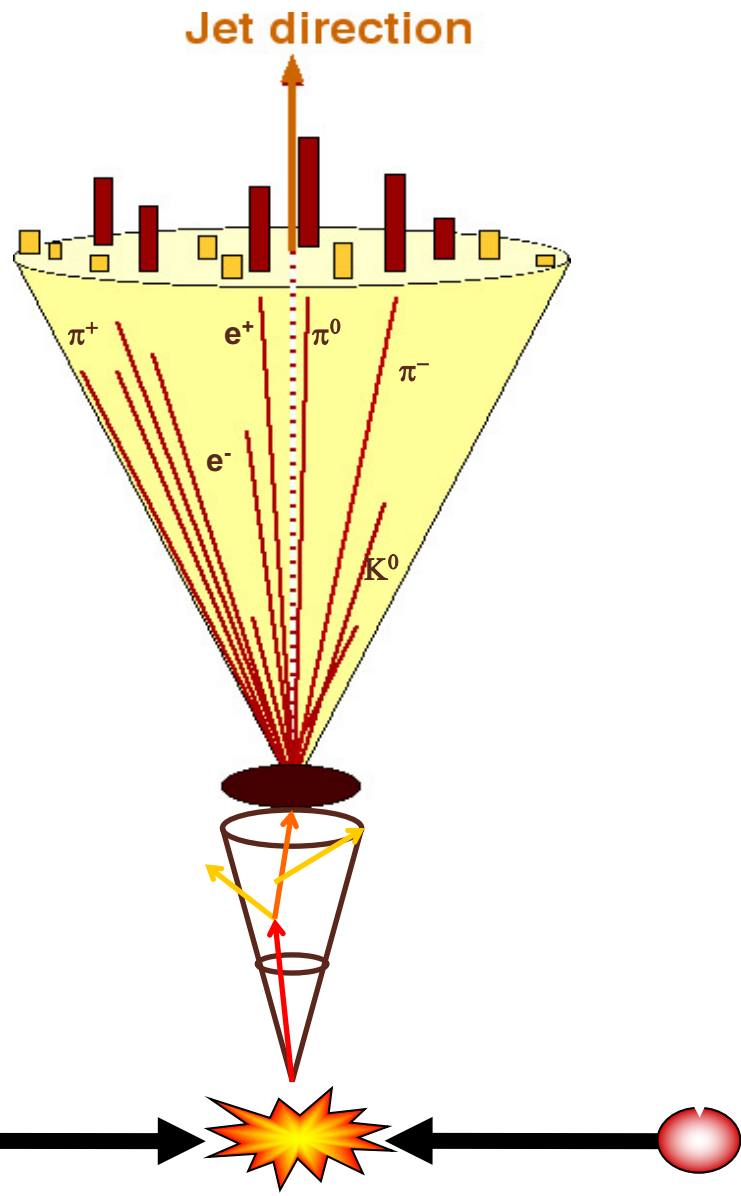


# Jet Reconstruction in pp at STAR

DETECTOR

PARTICLE

PARTON



## 1) Midpoint cone algorithm

(Adapted from Tevatron II - hep-ex/0005012)

- Seed energy  $E_T^{\text{seed}} = 0.5 \text{ GeV}$
- Cone radius  $R = \sqrt{\Delta\eta^2 + \Delta\phi^2} = 0.7$
- Split/merge fraction  $f = 0.5$

## 2) Anti- $K_T$ algorithm

([arXiv:0802.1189])

- Successive combination
- Radius  $R = 0.6/0.5$

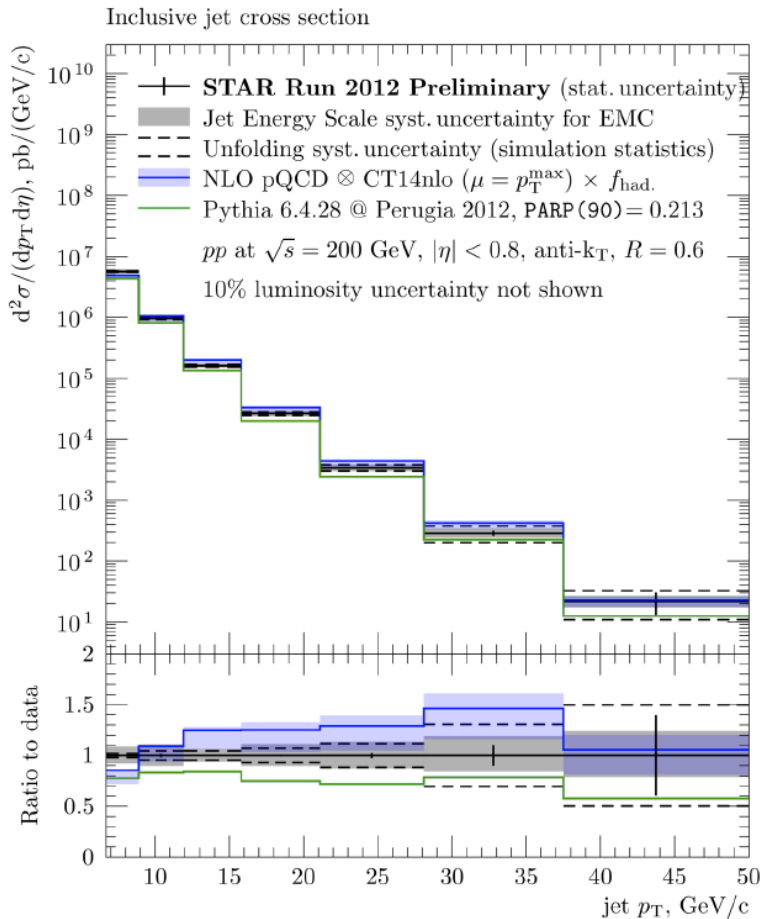
$$d_{ij} = \min\left(\frac{1}{k_{Ti}^2}, \frac{1}{k_{Tj}^2}\right) \frac{\Delta R_{ij}^2}{R^2}$$

$$d_{iB} = \frac{1}{k_{Ti}^2}$$

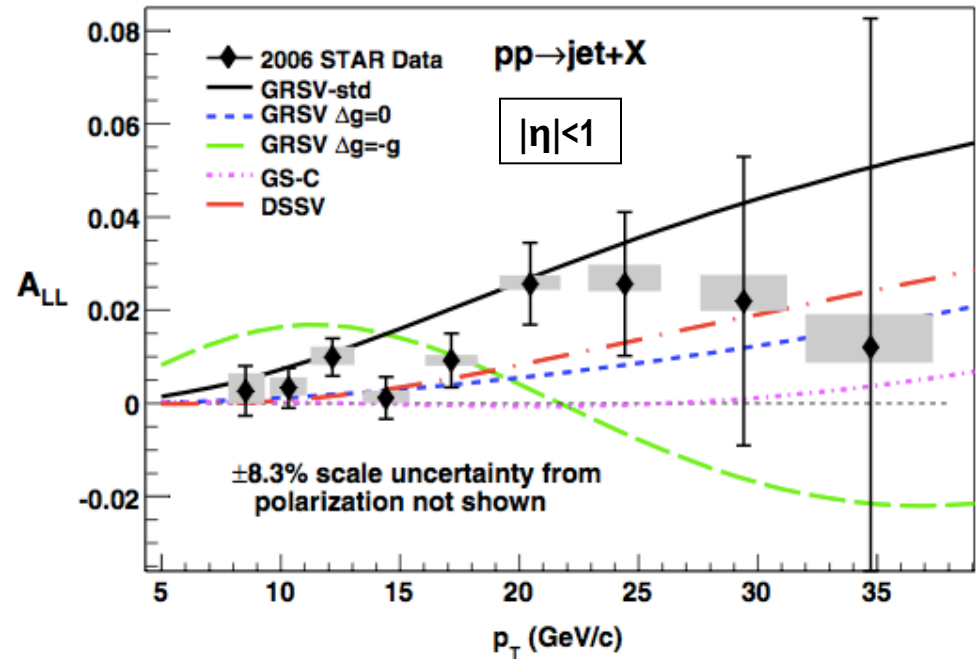
1) was used in previous years, now  
2) is widely used.

# STAR Run6 results on jet x-section and $A_{LL}$

- Cross section well described by NLO pQCD+Hadronization



STAR, PRD86, 32006(2012)



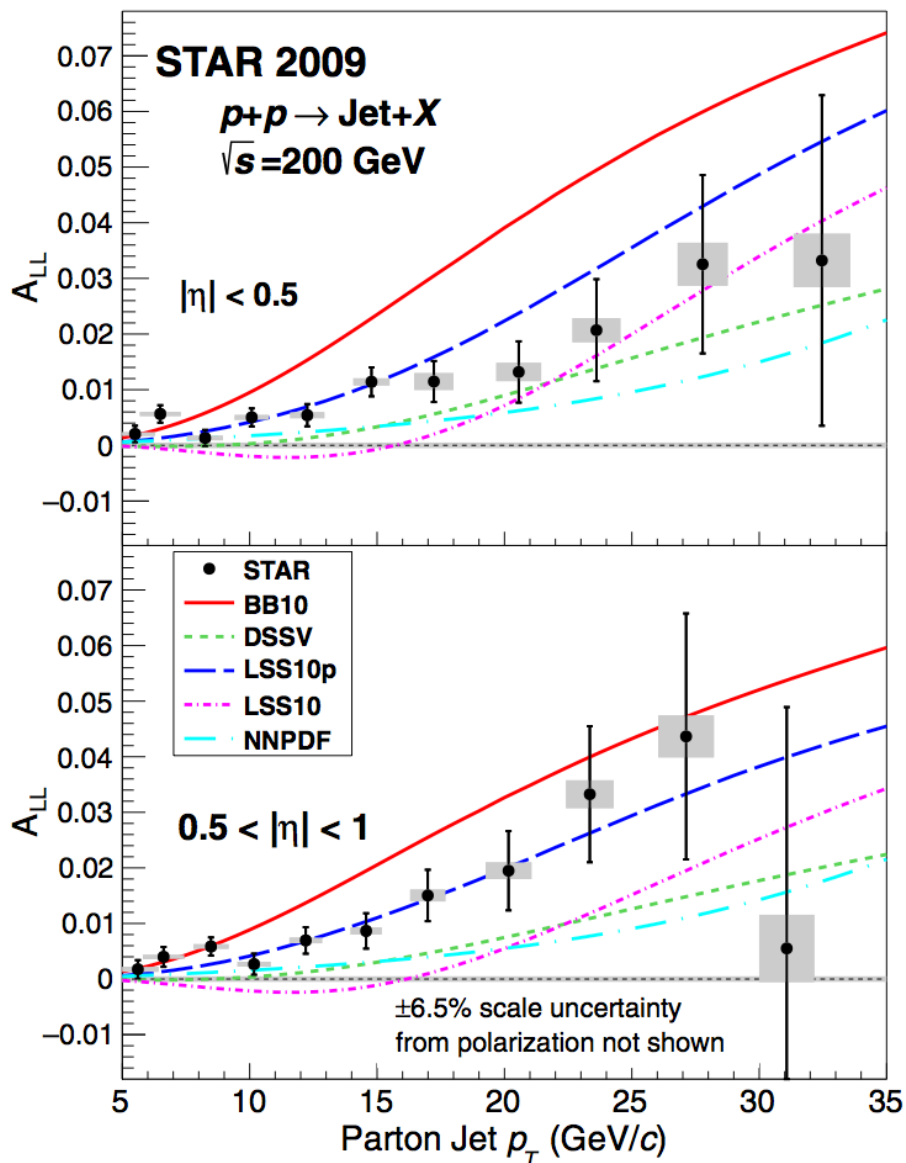
- STAR run6 data rule out several previous models of gluon polarization, and included in the **DSSV** global analysis together with PHENIX  $\pi^0$  results.

$$\int_{0.05}^{0.2} \Delta g(x) dx = 0.005 \pm_{0.164}^{0.129} \text{ at } Q^2 = 10 \text{ GeV}^2$$

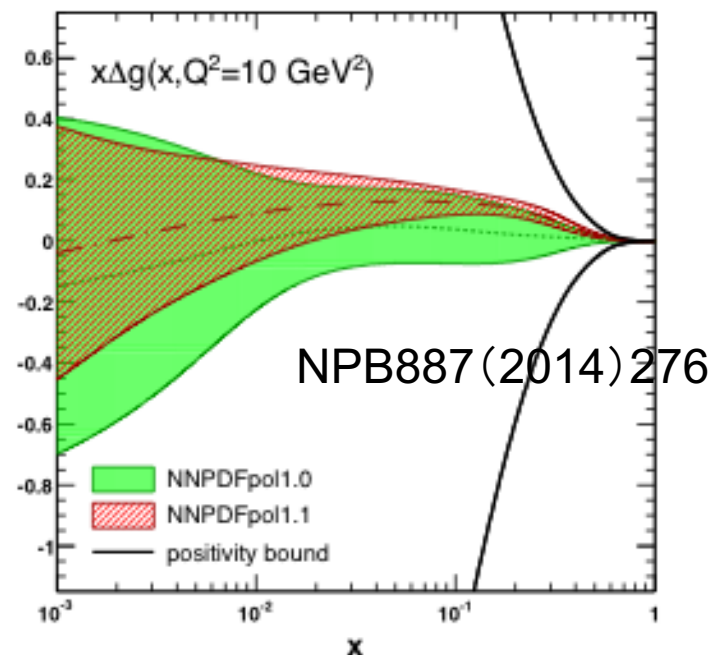
-arXiv:1304.0079

# STAR inclusive jet $A_{LL}$ from run9

STAR, Phys. Rev. Lett. 115(2015) 92002



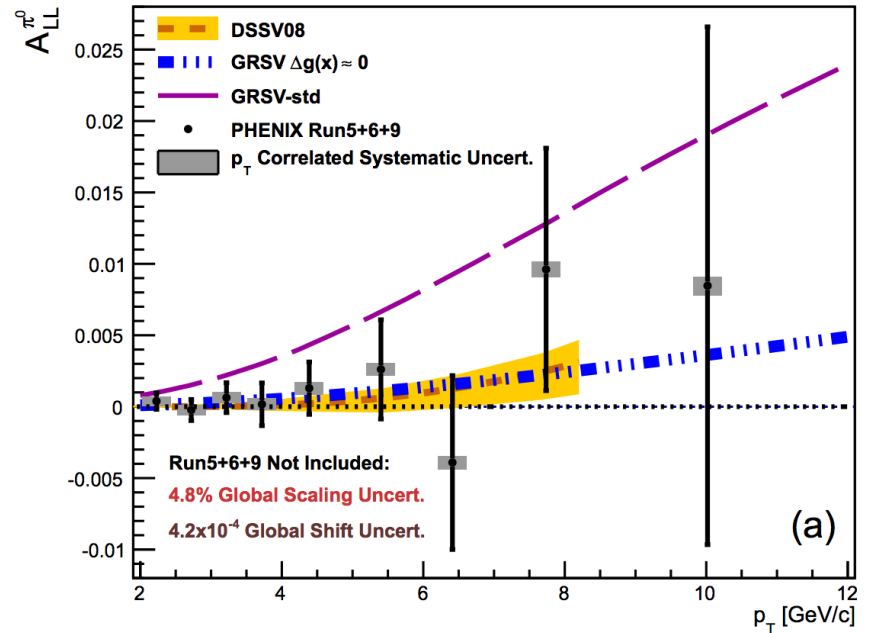
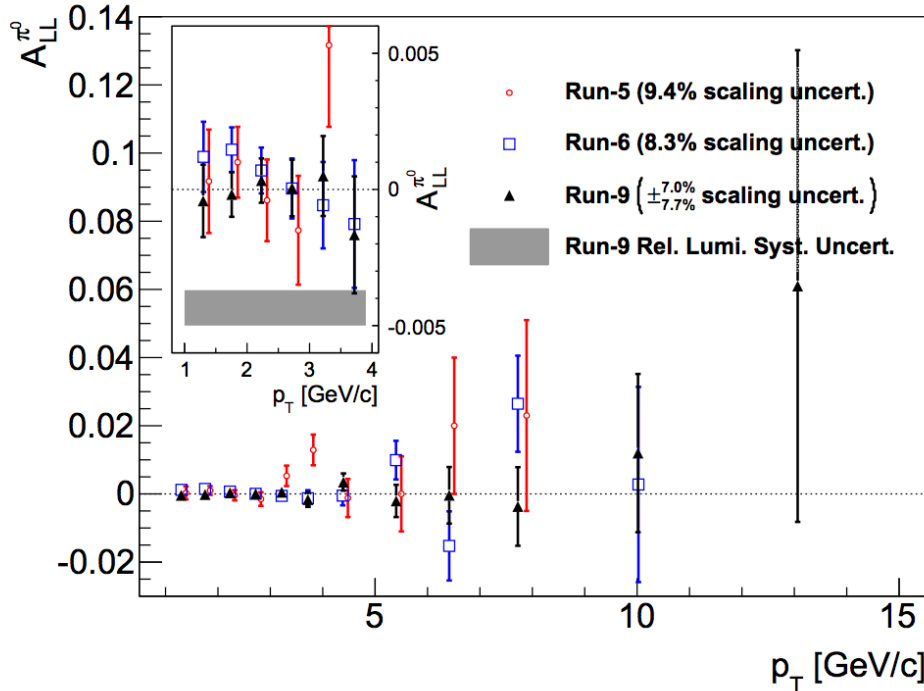
- 2009 STAR data is a factor of 4 more precise than 2006.
- The  $A_{LL}$  asymmetry is small, but clearly non-zero !
- Impact of STAR data in NNPDF:



$$\int_{0.05}^{0.2} \Delta g(x, Q^2 = 10 \text{ GeV}^2) dx = 0.17 \pm 0.06$$

# PHENIX results on $\pi^0 A_{LL}$

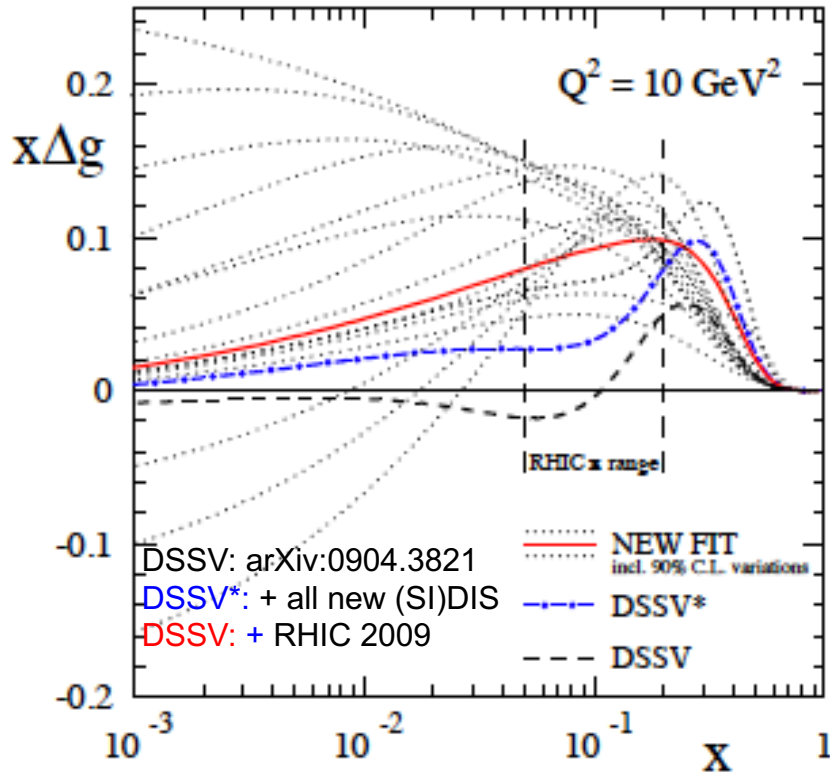
PHENIX-Phys. Rev. D 90, 12007(2014) [pp @ 200 GeV]



- High precision measurement at mid-rapidity
- Results are consistent with zero within uncertainty

## -Observation of gluon polarization

DSSV, PRL 113, 12001 (2014)

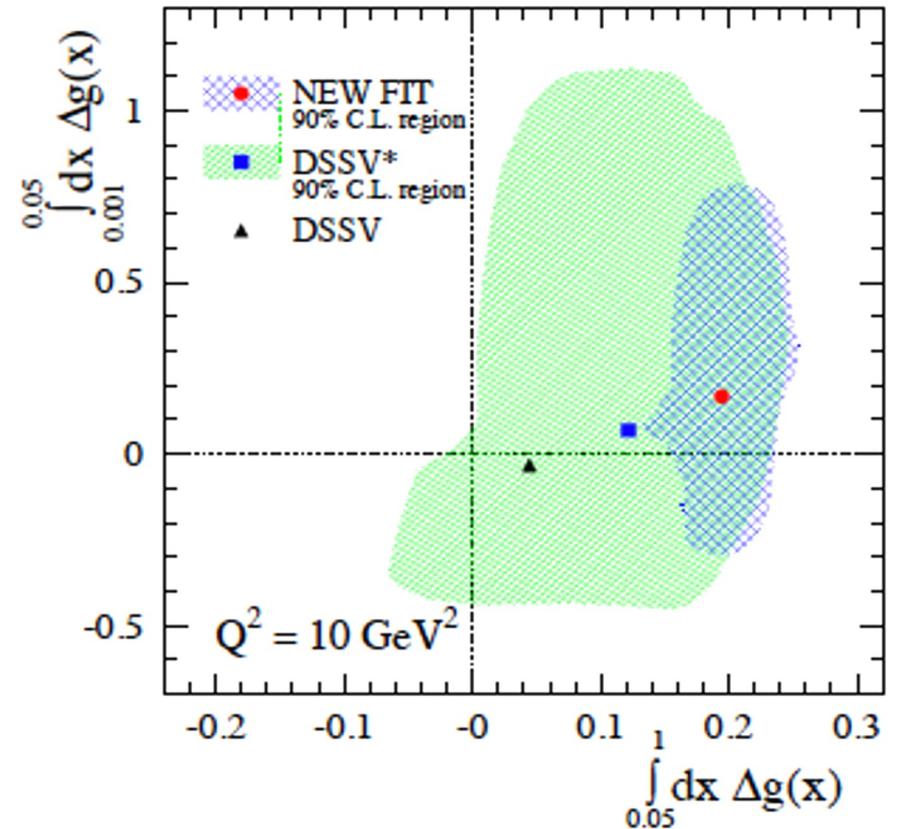


$$\int_{0.05}^{1.0} dx \Delta g \sim 0.2 \pm_{0.07}^{0.06} @ 10 \text{ GeV}^2$$

➤ 1<sup>st</sup> Lattice calculation:

$$\int_0^1 dx \Delta g(x) = 0.251 \pm 0.047(\text{stat.}) \pm 0.016(\text{syst.})$$

χQCD, PRL 118, 102001 (2017)

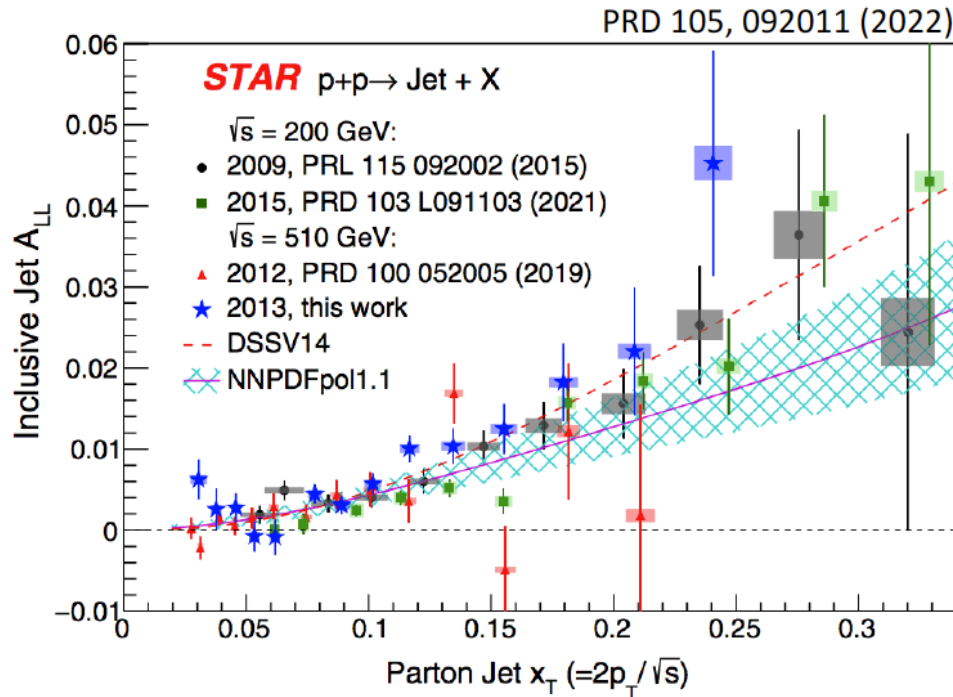


To further constrain  $\Delta g(x)$ , need to go to lower  $x$

-> higher energy, forward di-jets

# $A_{LL}$ results on jet/ $\pi^0$ at 510 GeV from RHIC

- Can we further improve our knowledge on  $\Delta g(x)$ ? Yes!

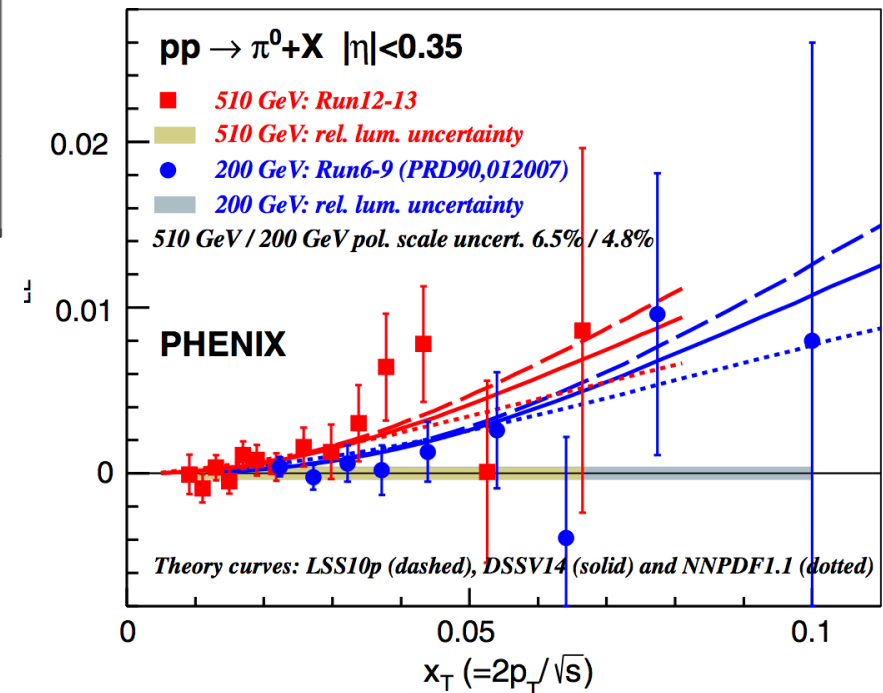


STAR, PRD103,91103(2021)

STAR, PRD105,92011(2022)

- PHENIX  $\pi^0 A_{LL}$  at 510 GeV, which is also sensitive to  $\Delta g$  in small  $x$  region

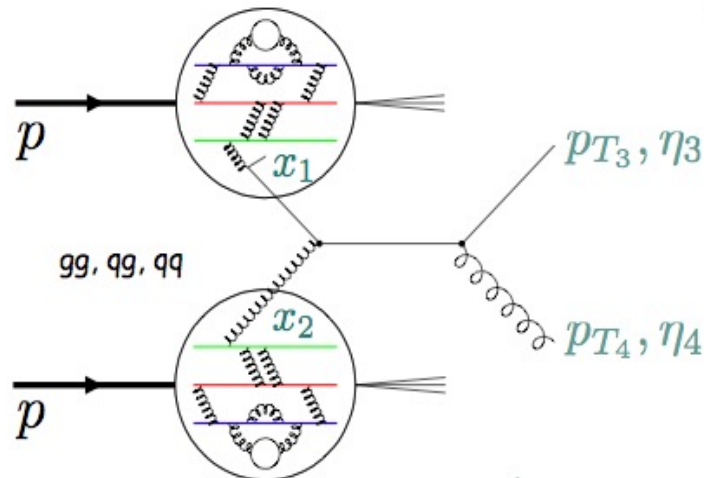
- STAR jet  $A_{LL}$  at 510 GeV, access small  $x$  region down to  $x \sim 0.015$ .
- Most precision  $A_{LL}$  results at 200 GeV from STAR 2015.



PHENIX, PRD 93, 011501 (2016)

# Correlation measurements with partonic kinematics

- Access to partonic kinematics through di-jet production



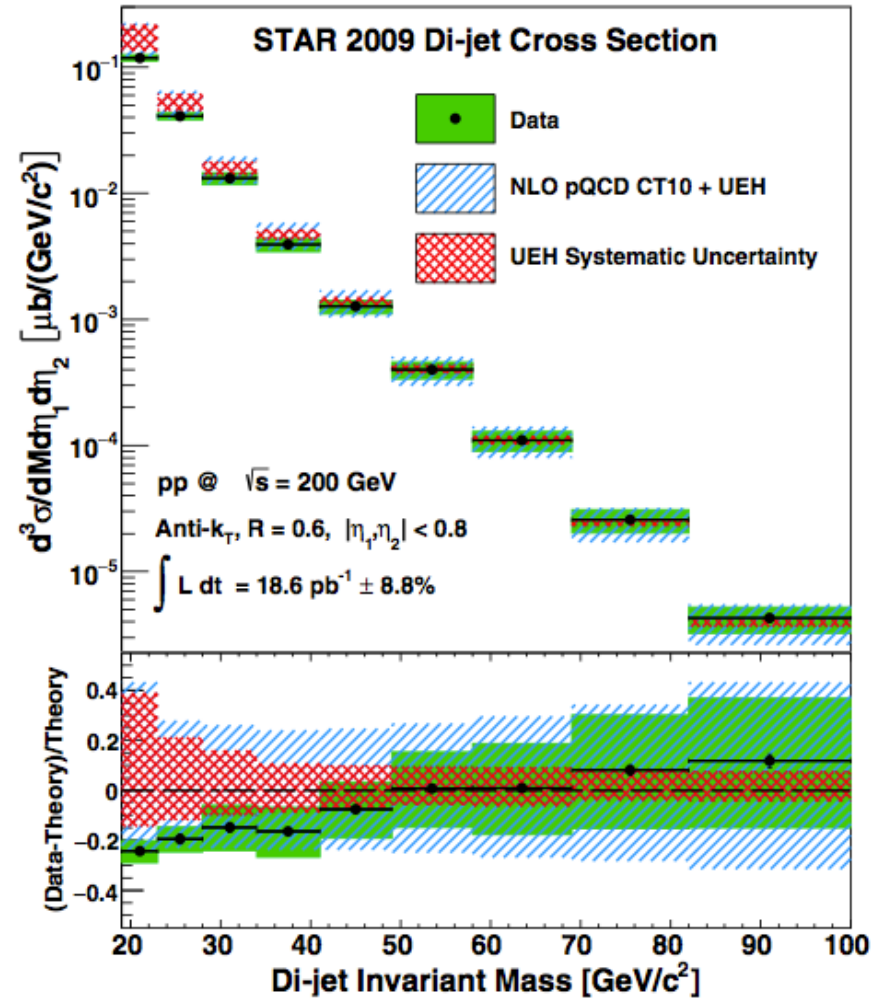
$$x_1 = \frac{1}{\sqrt{s}} (p_{T3} e^{\eta_3} + p_{T4} e^{\eta_4})$$

$$x_2 = \frac{1}{\sqrt{s}} (p_{T3} e^{-\eta_3} + p_{T4} e^{-\eta_4})$$

$$M = \sqrt{x_1 x_2 s}$$

$$\eta_3 + \eta_4 = \ln \frac{x_1}{x_2}$$

$$|\cos \theta^*| = \tanh \left| \frac{\eta_3 - \eta_4}{2} \right|$$

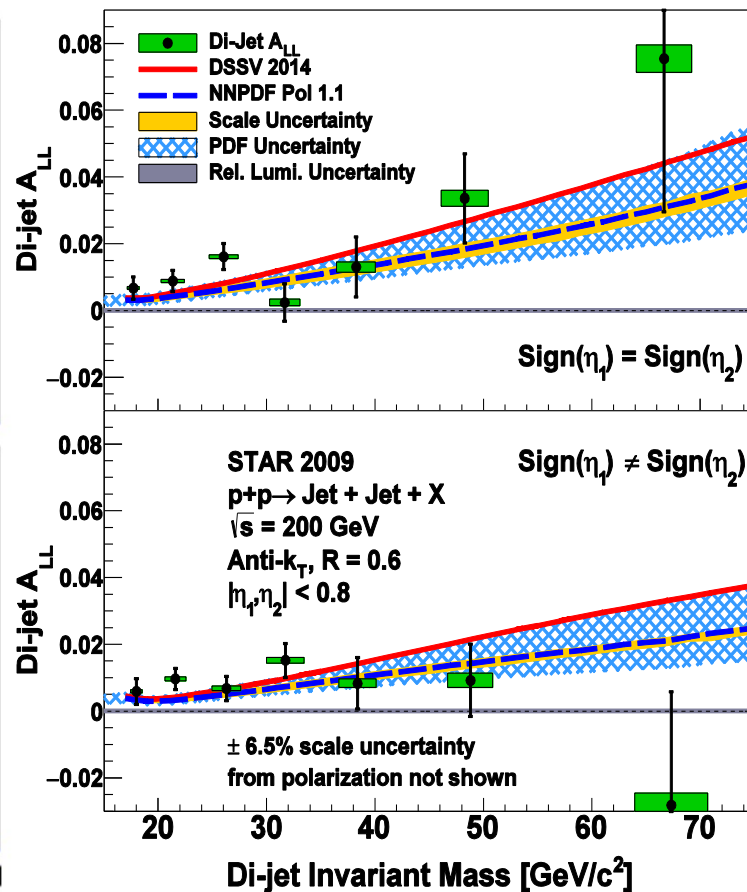
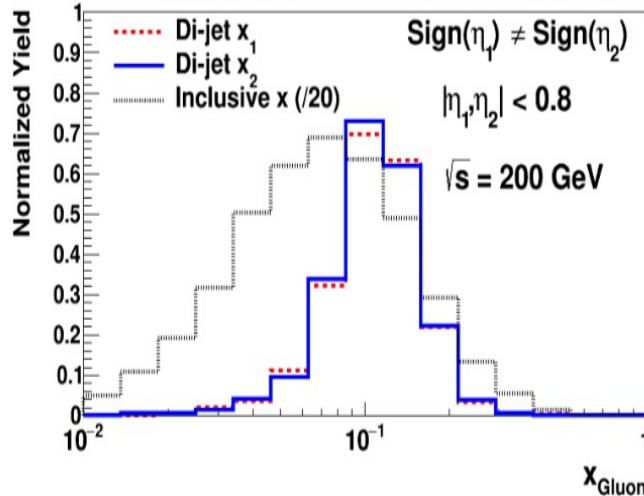
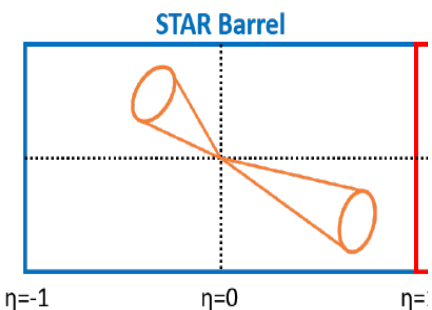
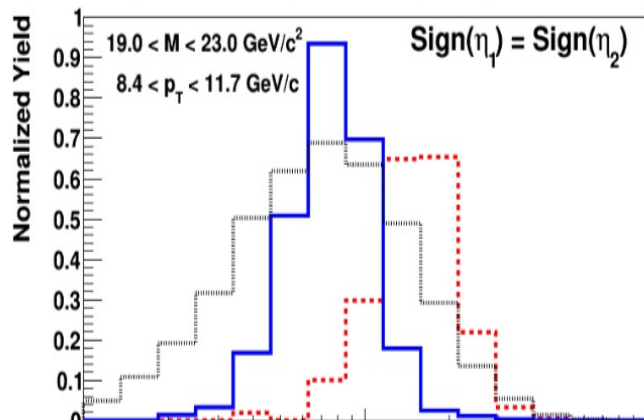
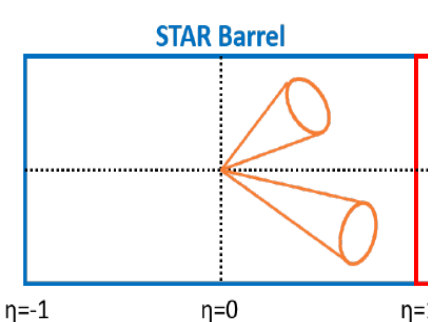


STAR, Phys. Rev. D95,071103(2017)

# Central di-jet $A_{LL}$ at 200 GeV at STAR

- Di-jet  $A_{LL}$  for two topologies, allowing for constraints on the shape of  $\Delta g(x)$

STAR, PRD95,071103(2017)

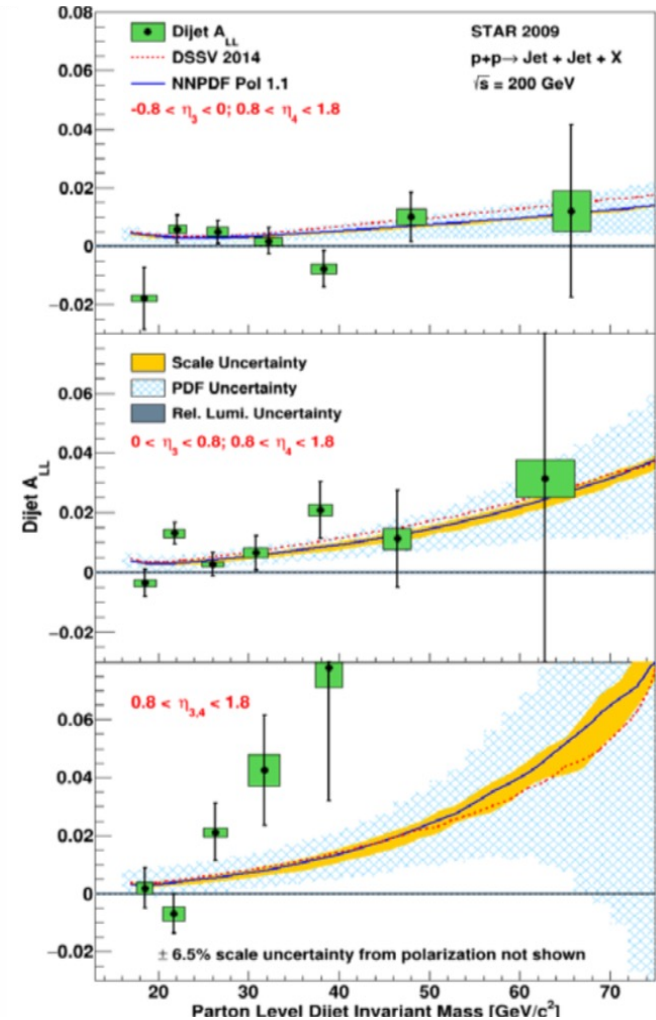
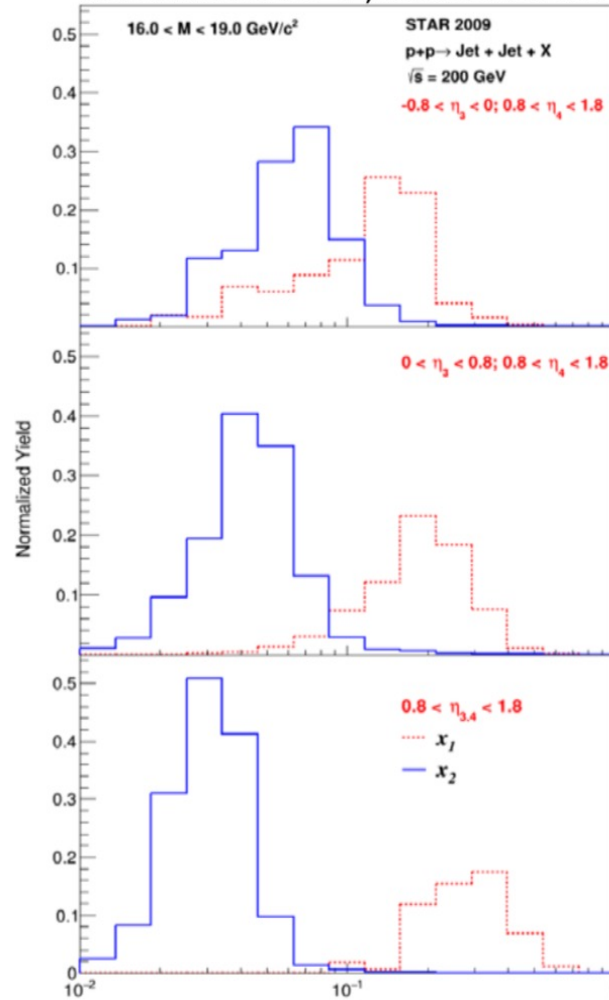
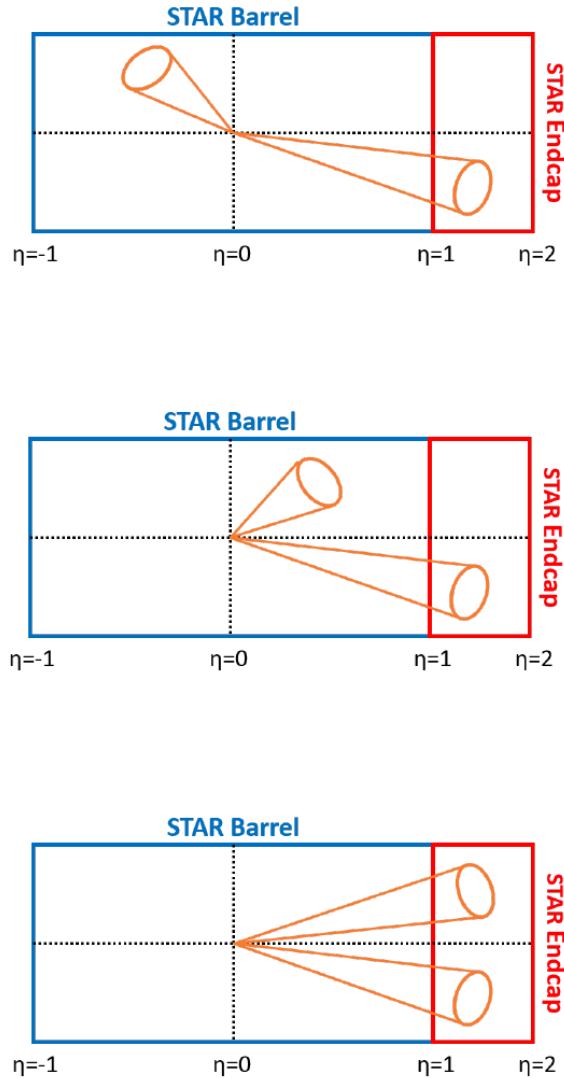




# Central-forward di-jet at 200 GeV at STAR

STAR, PRD98,032011(2018)

Wider rapidity coverage!

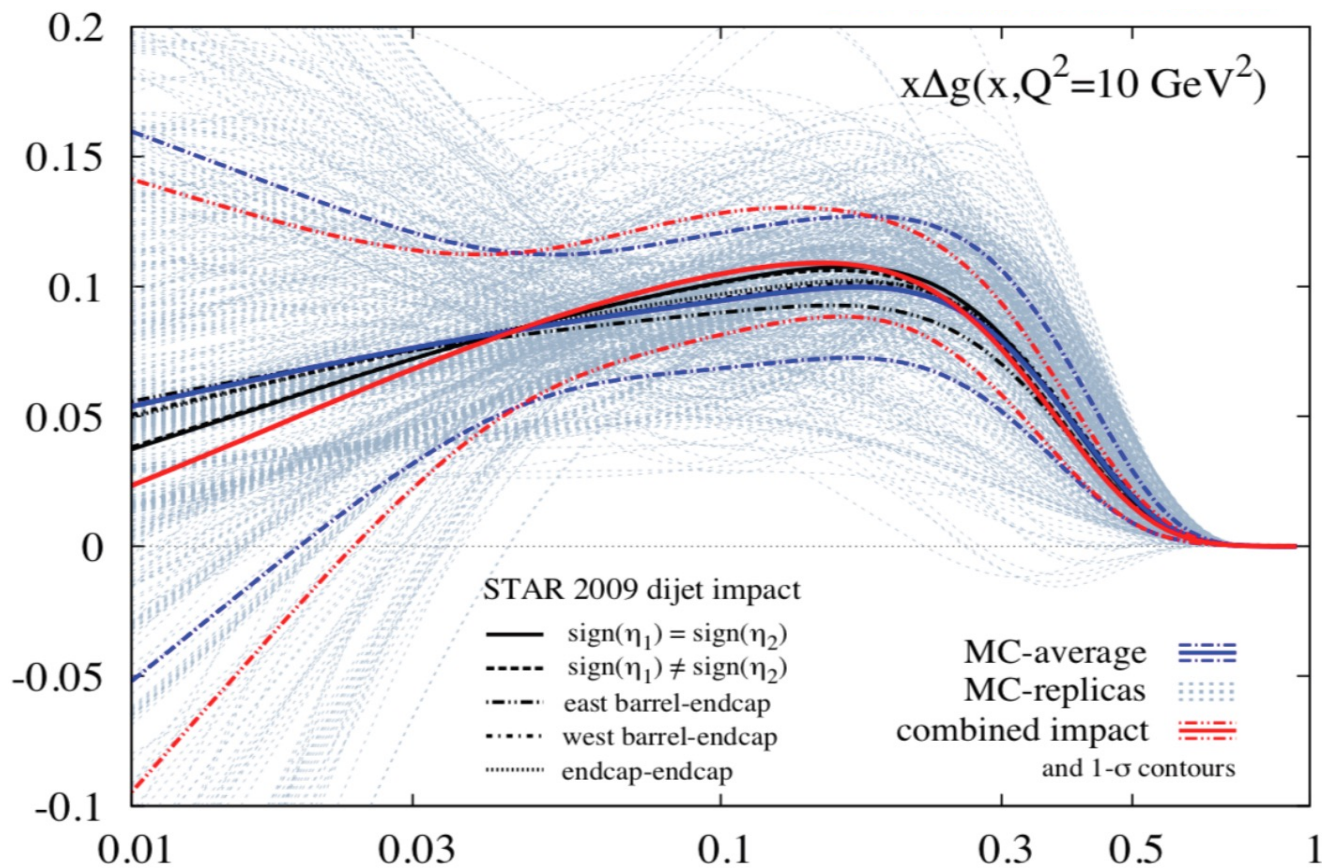


# Impact of STAR di-jet $A_{LL}$ to $\Delta g$ global fit

D. de Florian, et al., (DSSV2018), PRD 100, 114027 (2019)

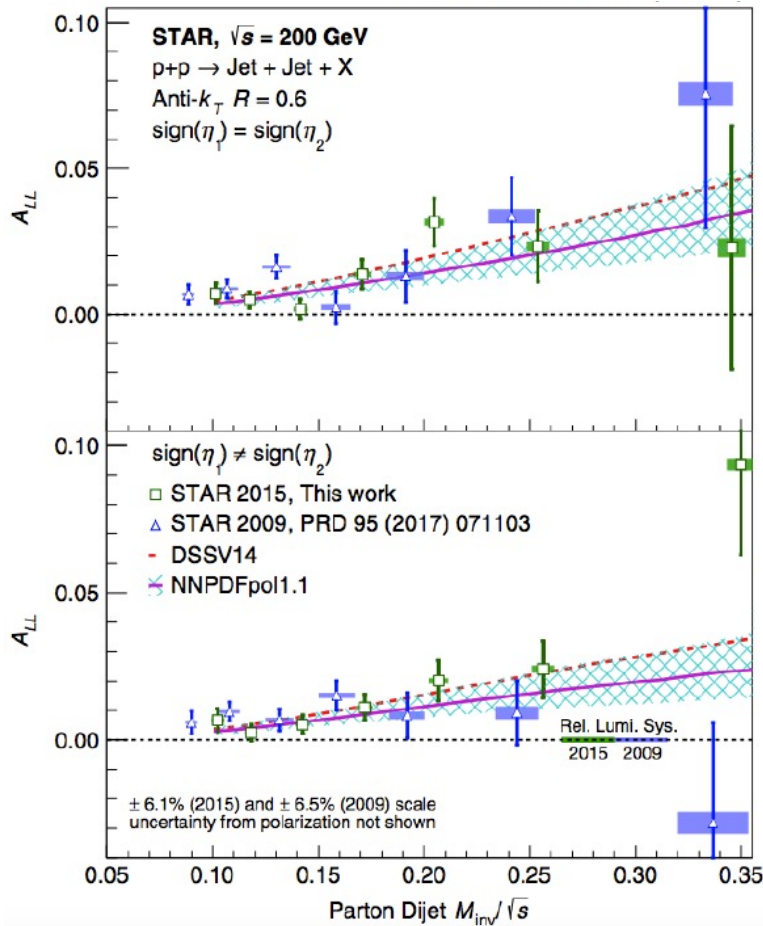
$$\text{Before reweighting: } \int_{0.1}^1 \Delta g(x) dx = 0.133 \pm 0.035$$

$$\text{After reweighting: } \int_{0.1}^1 \Delta g(x) dx = 0.126 \pm 0.023$$

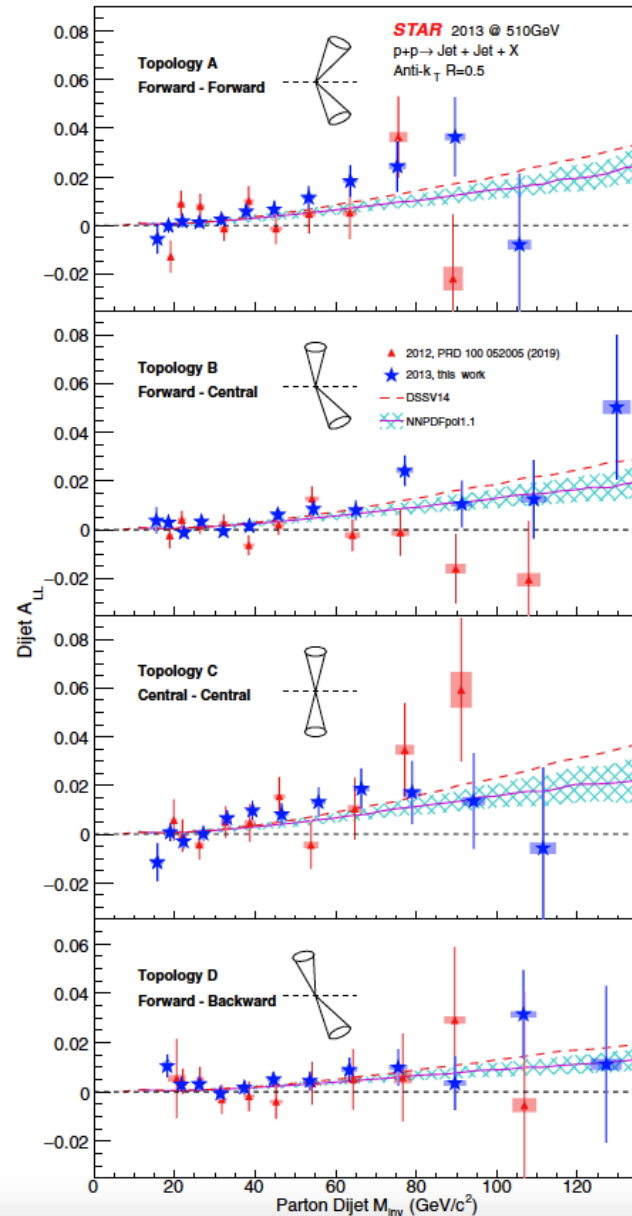


# Recent new STAR di-jet $A_{LL}$ results

STAR, PRD103,091103(2021)

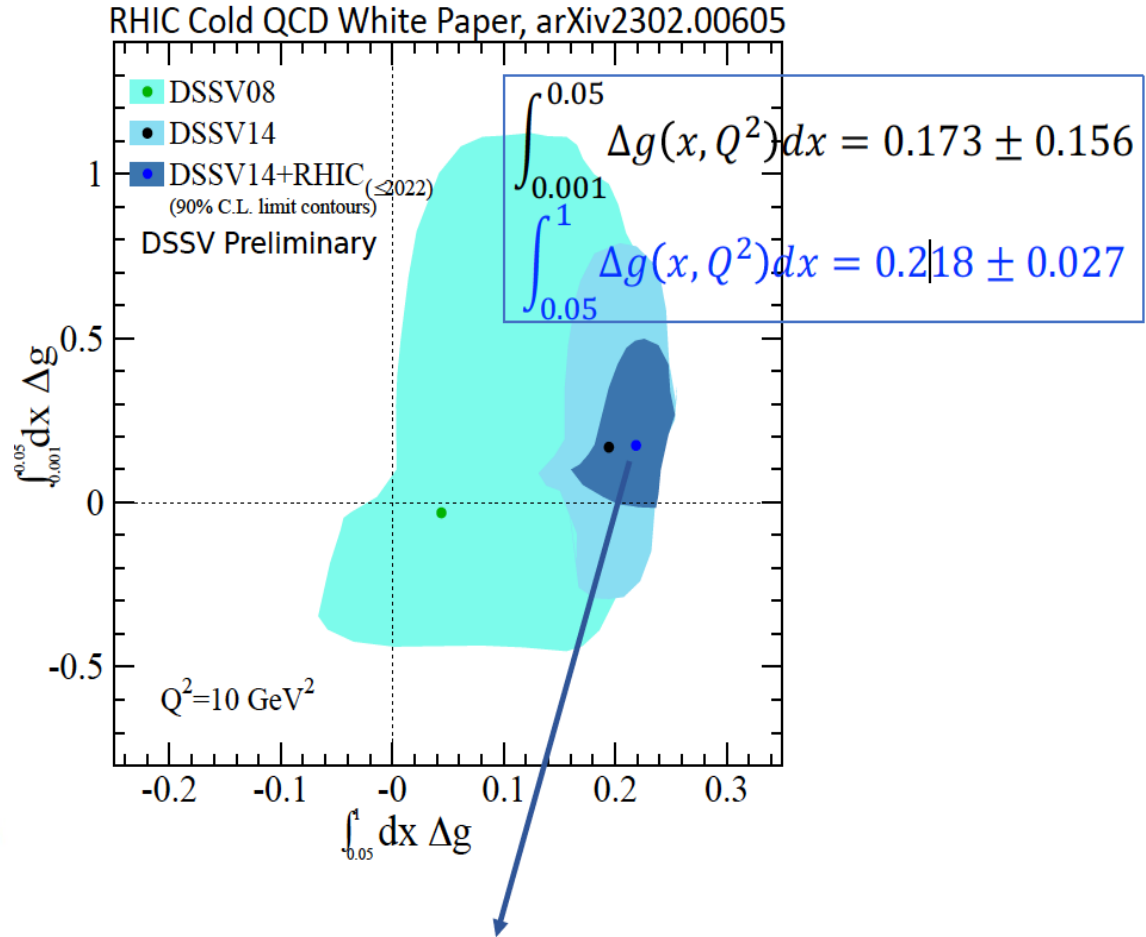
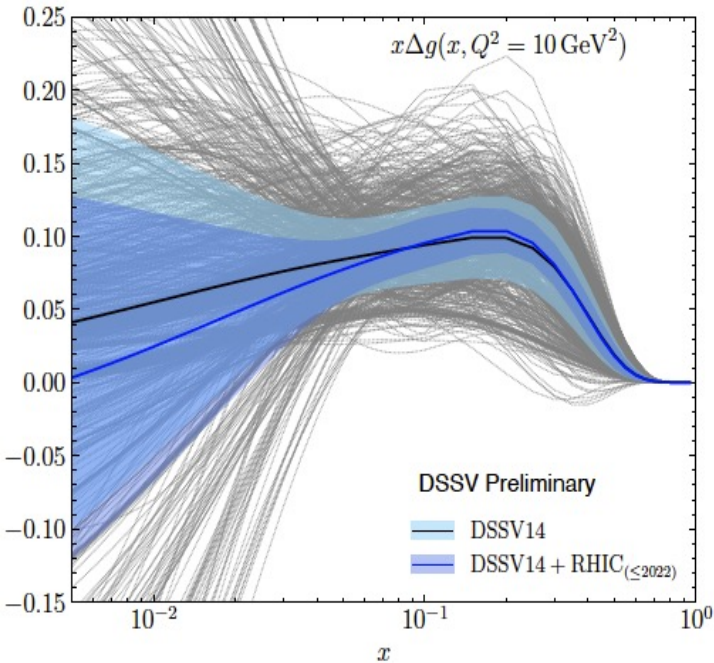


STAR, PRD105,92011(2022)



# Most recent updates from DSSV group on $\Delta g$

- The impact of RHIC 2014+ data in constraining gluon polarization  $\Delta g$  :

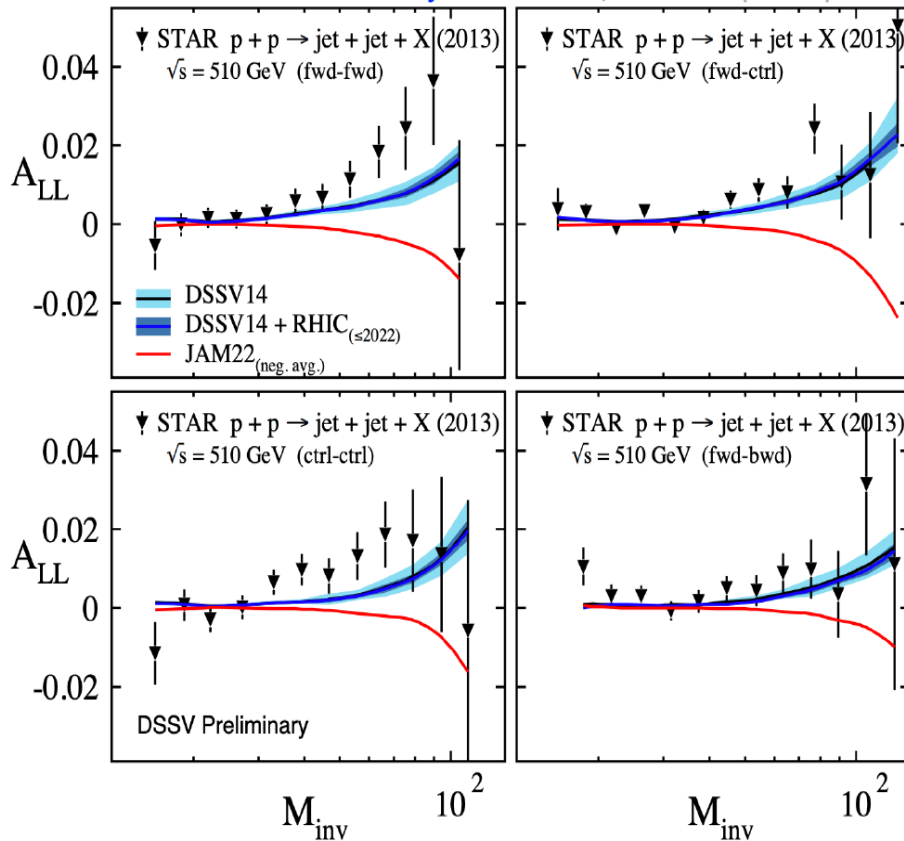


- Results show **8- $\sigma$**  positive gluon spin  $\Delta G$  for  $x > 0.05$ .
- $\Delta G$  contributes **40%** of proton spin at  $x > 0.05$ .

# Most recent updates from DSSV group on $\Delta g$

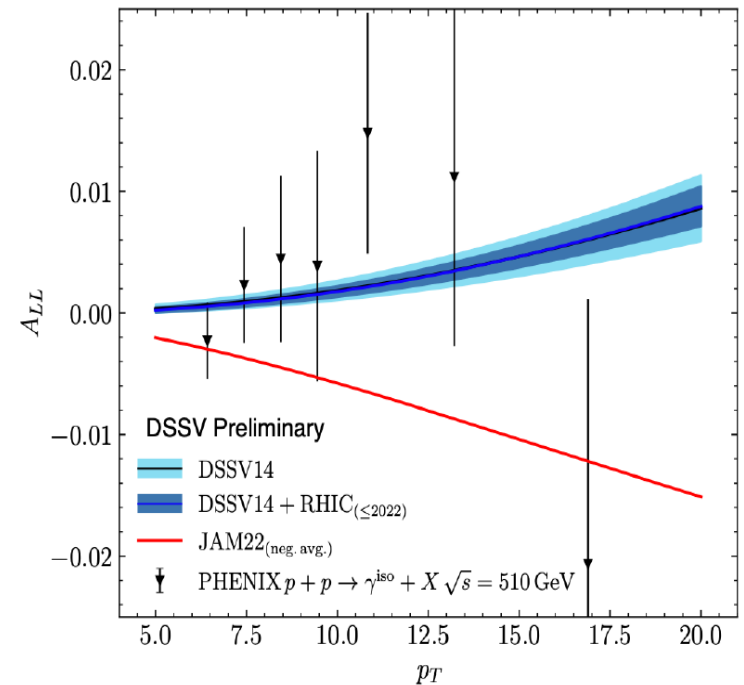
- Could  $\Delta g$  be negative? no

STAR dijet PRD 105, 092011 (2022)



PHENIX direct  $\gamma$  2202.08158

JAM, PRD 105, 074022 (2022)

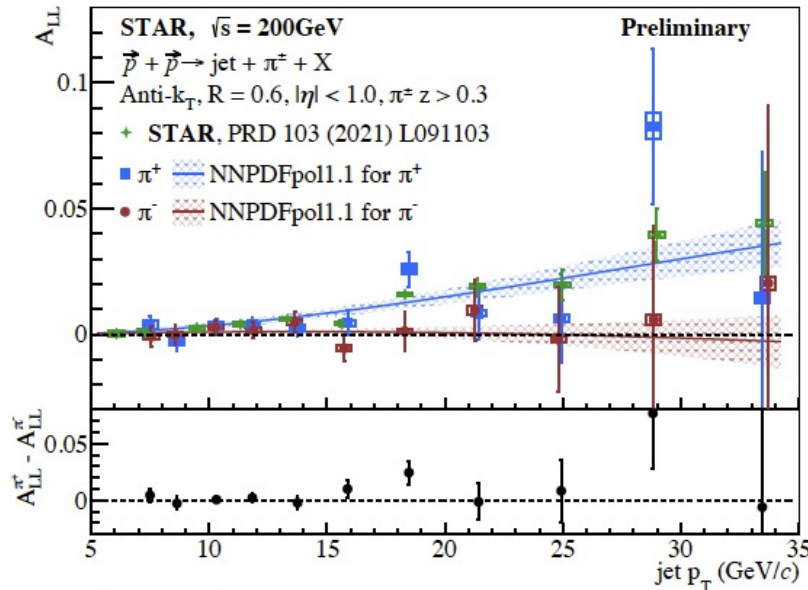


- JAM global QCD fit indicate possible negative  $\Delta g$  with inclusive jet ALL data only.
- STAR dijet + PHENIX direct photo data strong disfavor negative  $\Delta g$
- New results with pion tagged jet also support positive  $\Delta g$

# Most recent updates from DSSV group on $\Delta g$

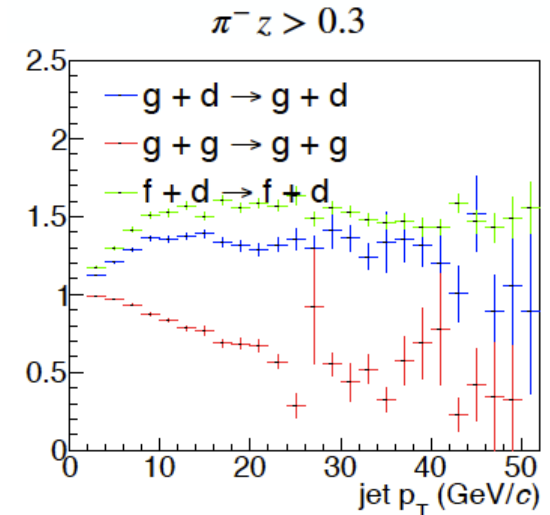
- New results with pion tagged jet  $A_{LL}$  also support positive  $\Delta g$

## $\pi^\pm$ -tagged $A_{LL}$ with $z > 0.3$



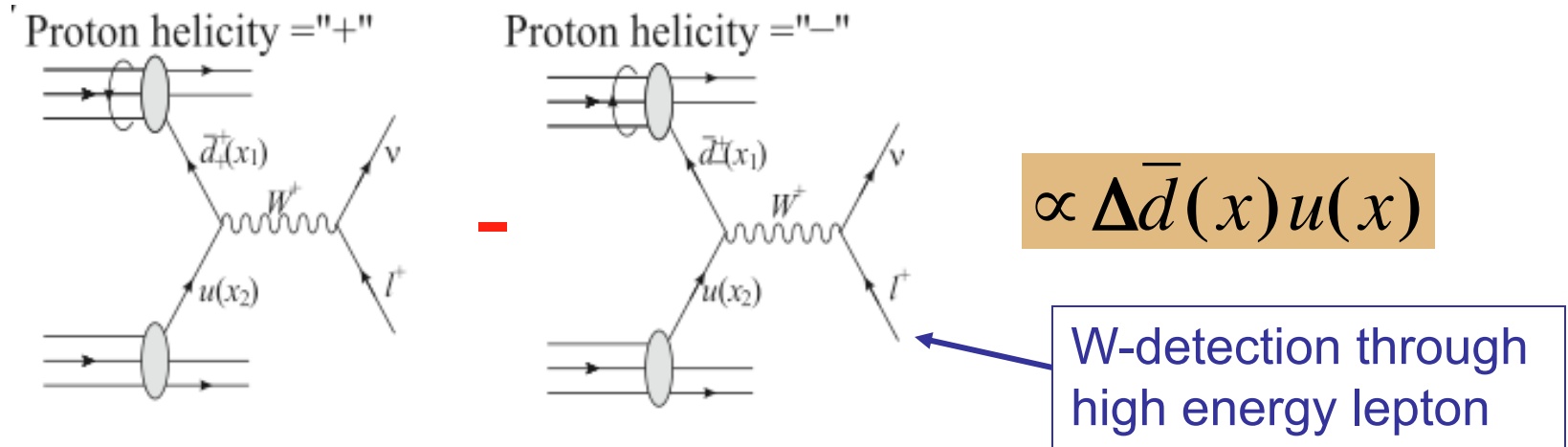
- Indication of  $A_{LL}^{\pi^+} > A_{LL}^{\pi^-}$
- Larger separation between predictions
- The results are close to the predictions

- Tagging suppresses  $g-g$  scattering
- $\pi^+$  tagging enhances  $u$  quark related subprocess up to 20%
- $\pi^-$  tagging enhances  $d$  quark related subprocess up to 40%



# Probing sea quark polarization via W production

- **Unique quark polarimetry with W-bosons at RHIC:**



- **Spin asymmetry measurements:**

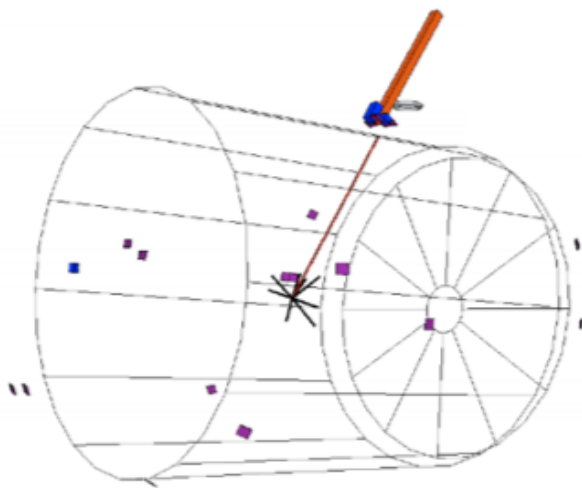
$$A_L^{W^+} = \frac{\sigma_+ - \sigma_-}{\sigma_+ + \sigma_-} = \frac{-\Delta u(x_1) \bar{d}(x_2) + \Delta \bar{d}(x_1) u(x_2)}{u(x_1) \bar{d}(x_2) + \bar{d}(x_1) u(x_2)} \sim \begin{cases} -\frac{\Delta u(x_1)}{u(x_1)}, & y_{W^+} \gg 0 \\ \frac{\Delta \bar{d}(x_1)}{\bar{d}(x_1)}, & y_{W^+} \ll 0 \end{cases}$$

$$A_L^{W^-} \sim \begin{cases} -\frac{\Delta d(x_1)}{d(x_1)}, & y_{W^-} \gg 0 \\ \frac{\Delta \bar{u}(x_1)}{\bar{u}(x_1)}, & y_{W^-} \ll 0 \end{cases}$$

# W selection via $W \rightarrow e\nu$ at STAR

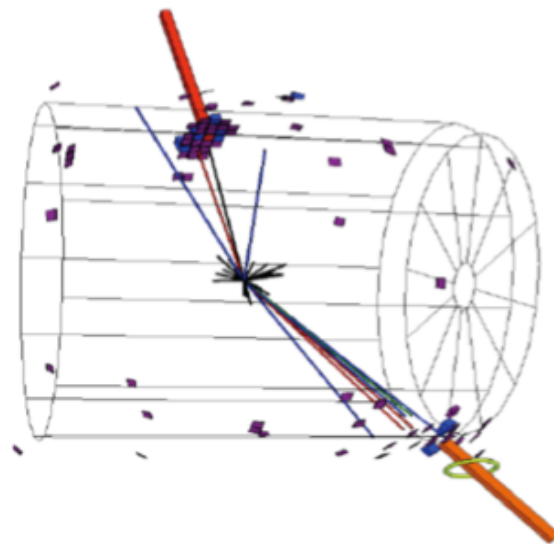
## $W \rightarrow e + \nu$ Candidate Event:

- Isolated track pointing to isolated EM cluster in calorimeter
- Large “missing energy” opposite the electron candidate



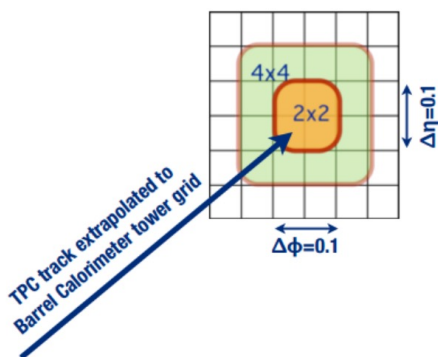
## QCD Background Event

- Several tracks pointing to energy deposit in several towers
- $p_T$  sum is balanced by di-jet, no large “missing energy”





# W selection at STAR : Jacobian peak



$$\vec{p}_T^{bal} = \vec{p}_T^e + \sum_{\Delta R > 0.7} \vec{p}_T^{jets}$$

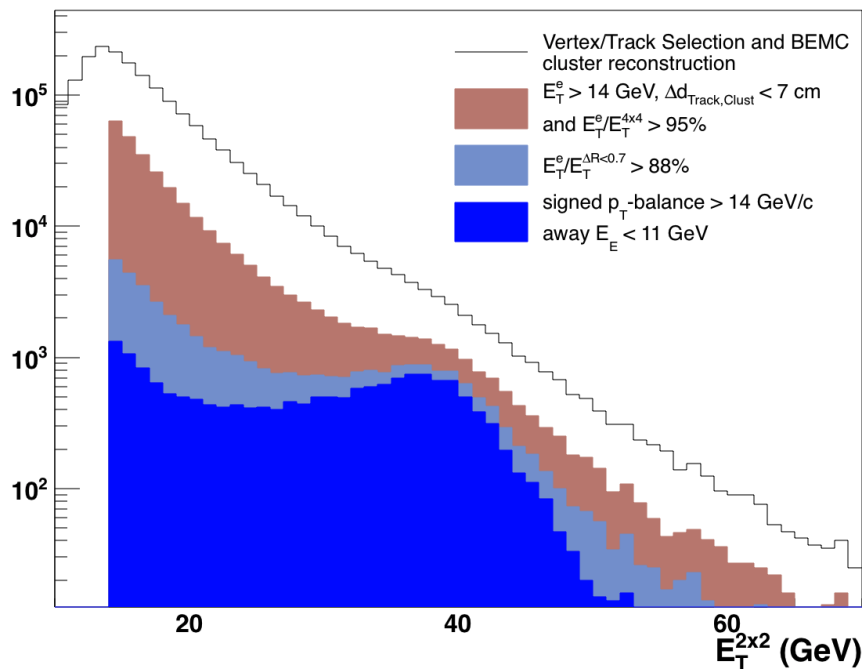
- Isolation ratio  $E_{2 \times 2} / E_{4 \times 4} > 95\%$

- Isolation ratio  $E_T^e / E_T^{\Delta R < 0.7} > 88\%$

- Signed  $P_T$ -balance =  $\frac{\vec{p}_T^e \cdot \vec{p}_T^{bal}}{|\vec{p}_T^e|} > 14 \text{ GeV}$
- away  $E_T < 11 \text{ GeV}$

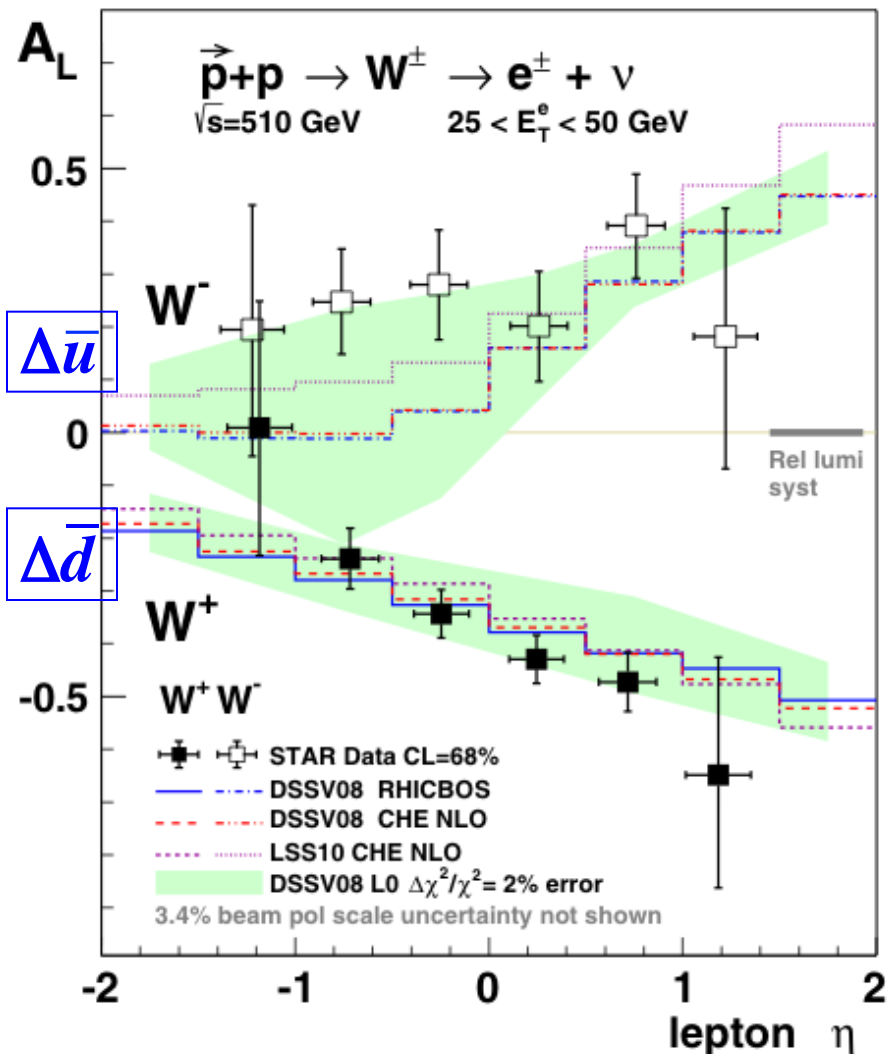
Signal of Jacobian peak with  $E_T$  distribution after selection :

-STAR 2013 with BEMC ( $|\eta| < 1$ )



# STAR mid-rapidity $W A_L$ –2011+2012

- First multiple-eta-bin  $A_L$  results from 2011+2012 data:



- $A_L$  of  $W^-$  shows indication that data are larger than the DSSV predictions
- $A_L$  of  $W^+$  is consistent with theoretical predictions with DSSV pdf.
- Indication of symmetry breaking of polarized sea.

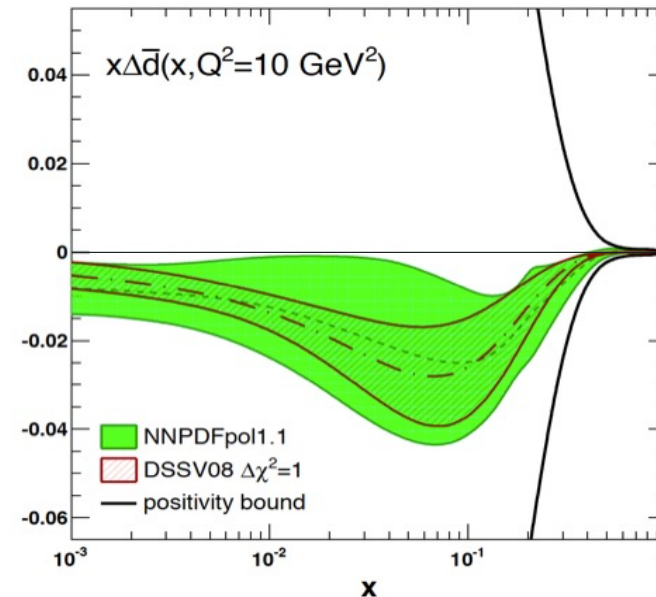
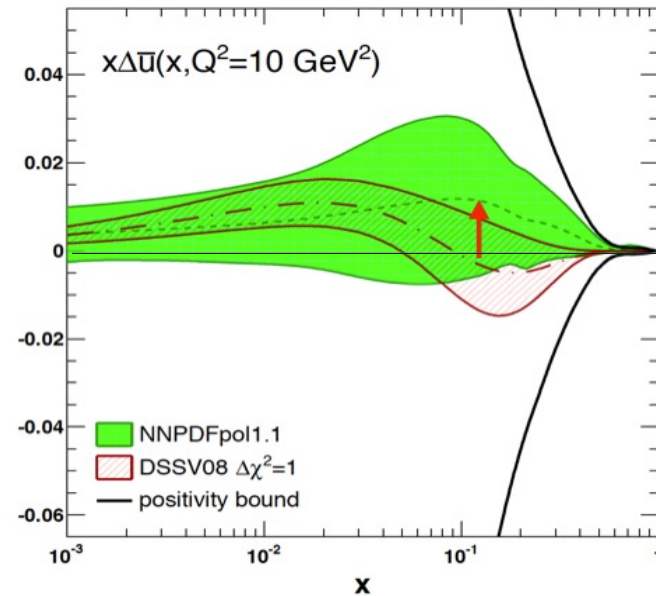
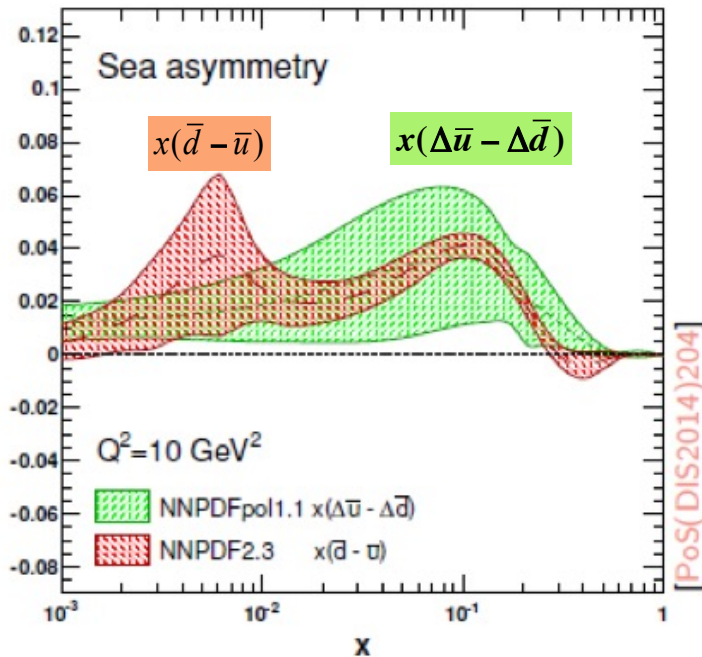
STAR, PRL113, 72301(2014)

# Global Analysis with STAR $W A_L$ results

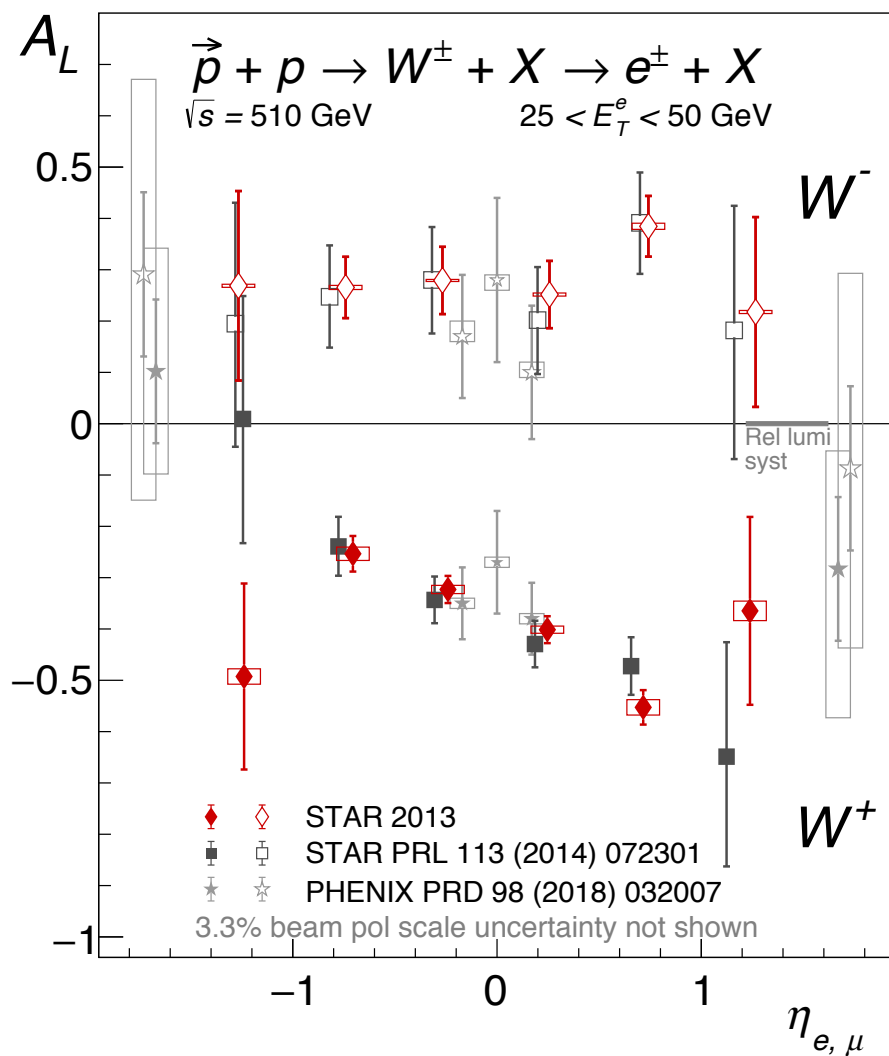
- Big impact seen in NNPDFpol1.1 global analysis after including STAR  $A_L$  data.

NNPDF1.1, Nucl.Phys. B887,276 (2014)

- Polarized sea asymmetry:



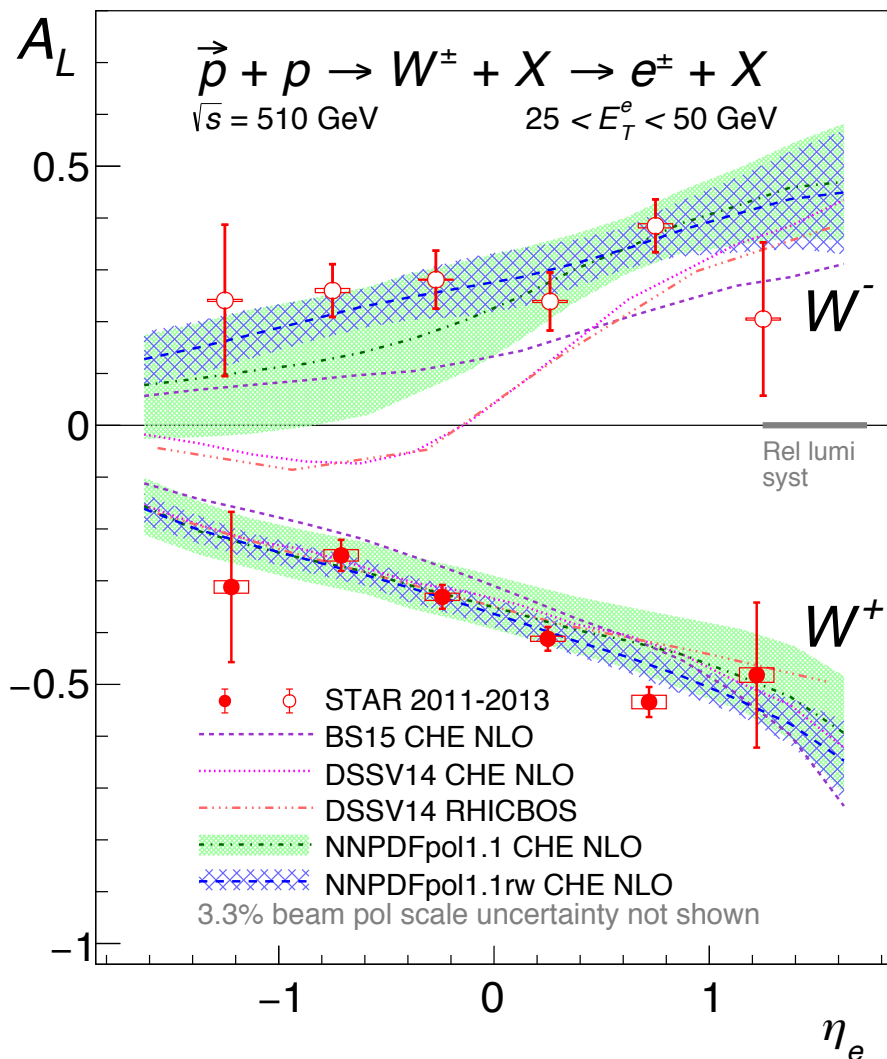
# W A<sub>L</sub> results – STAR 2013



- ✓ Most precise W A<sub>L</sub> results from 2013 STAR dataset
- ✓ Consistent with published RHIC results; with 40-50% smaller uncertainties than STAR 2011+2012 results
- ✓ Confirmed positively polarized anti-up quark first seen in the 2011+2012 data.

STAR, PRD99, 051102R(2019)

# W A<sub>L</sub> results – STAR 2013

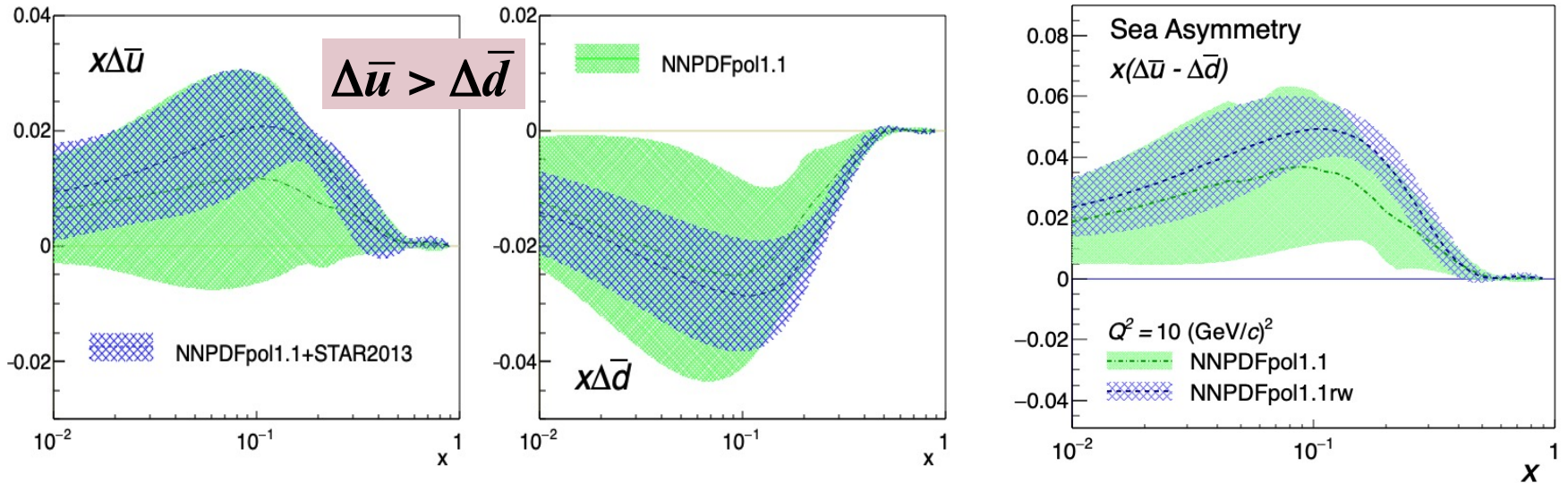


STAR, PRD99, 051102R(2019)

- ✓ Most precise W A<sub>L</sub> results from 2013 STAR dataset
- ✓ Consistent with published RHIC results; with 40-50% smaller uncertainties than STAR 2011+2012 results
- ✓ Confirmed positively polarized anti-up quark first seen in the 2011+2012 data.
- ✓ Combined STAR 2011-2013 results in comparison with theoretical predications

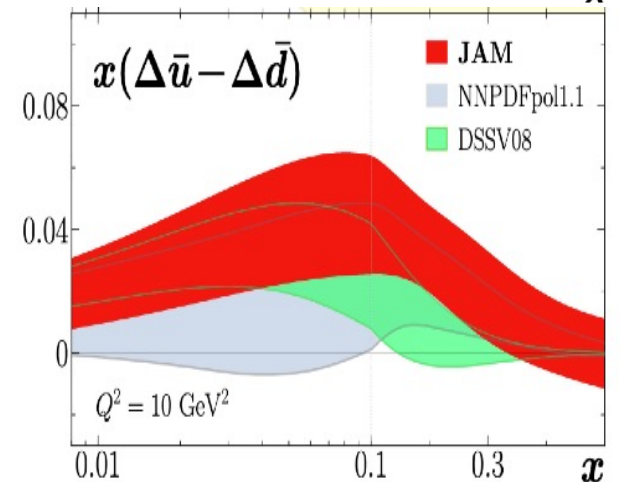
# Impact of STAR 2013 W A<sub>L</sub> results

- SU(2) flavor asymmetry observed in the polarized sea quark distribution, confirmed by JAM and reweighting NNPDF:



STAR, PRD99, 051102R(2019)

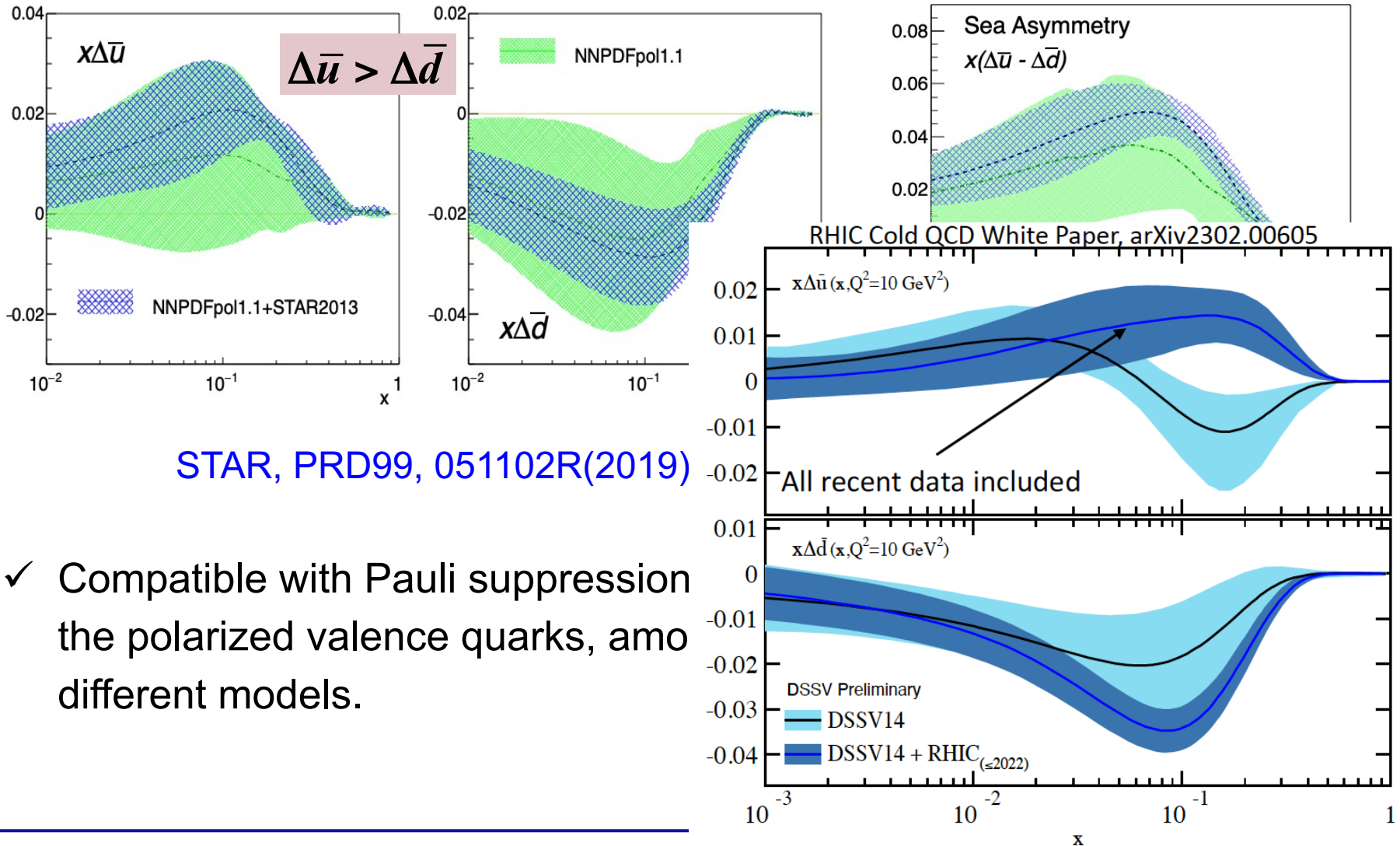
- ✓ Compatible with Pauli suppression by the polarized valence quarks, among different models.



JAM, PRD.106.031502 (2022)

# Impact of STAR 2013 W A<sub>L</sub> results

- SU(2) flavor asymmetry observed in the polarized sea quark distribution, confirmed by JAM and reweighting NNPDF, **DSSV**:



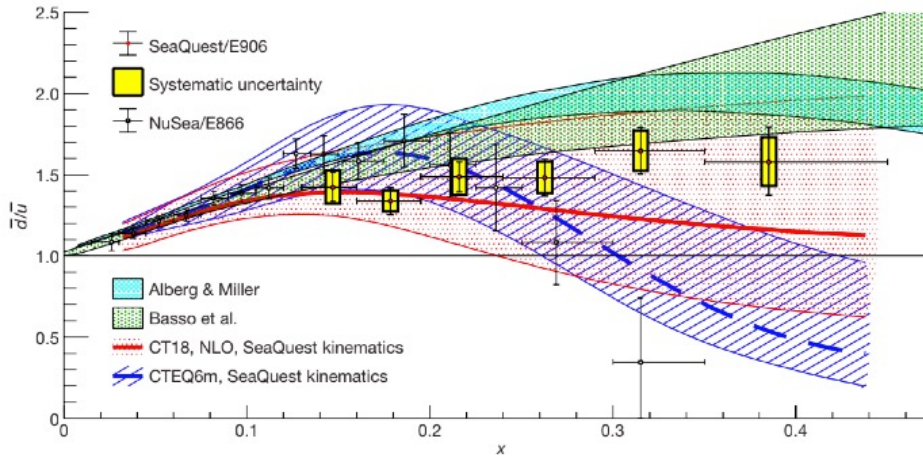
- ✓ Compatible with Pauli suppression the polarized valence quarks, and different models.

# Impact of STAR 2013 W A<sub>L</sub> results

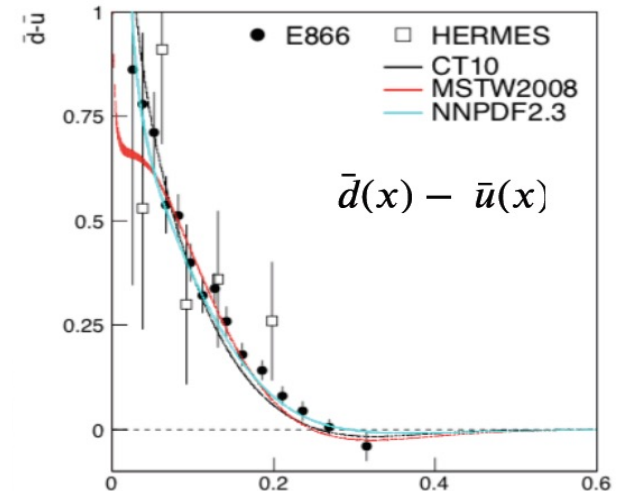
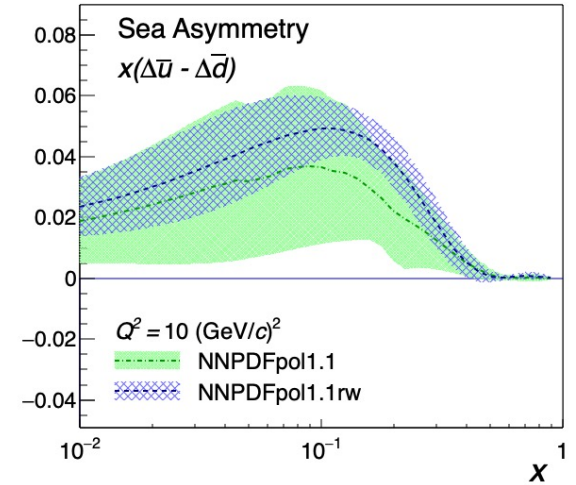
- SU(2) flavor asymmetry observed in the polarized sea quark distribution, confirmed by JAM and reweighting NNPDF:

$$\Delta\bar{u} > \Delta\bar{d}$$

- The polarized flavor asymmetry is opposite to the unpolarized case !



- SeaQuest, Nature 590, (2021)561



- E866, PRD64, 052002(2001)  
 - NNPDF2.3, NPB867,244(2013)



# Self analyzing $\Lambda$ polarization via weak decay

- $\Lambda$  polarization can be measured in experiment via weak decay:

$\Lambda \rightarrow p\pi^-$  (Br64%),  $\Lambda \rightarrow n\pi^0$  (Br36%) ,

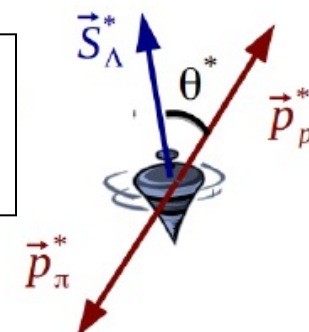
-T.D.Lee, C.N.Yang(1957)

$$\frac{dN}{d\Omega} \propto 1 + \alpha (\vec{P}_\Lambda \cdot \hat{p}_p^*)$$

decay parameter

$\Lambda$  polarization vector

Unit vector along proton momentum in  $\Lambda$ 's rest frame.



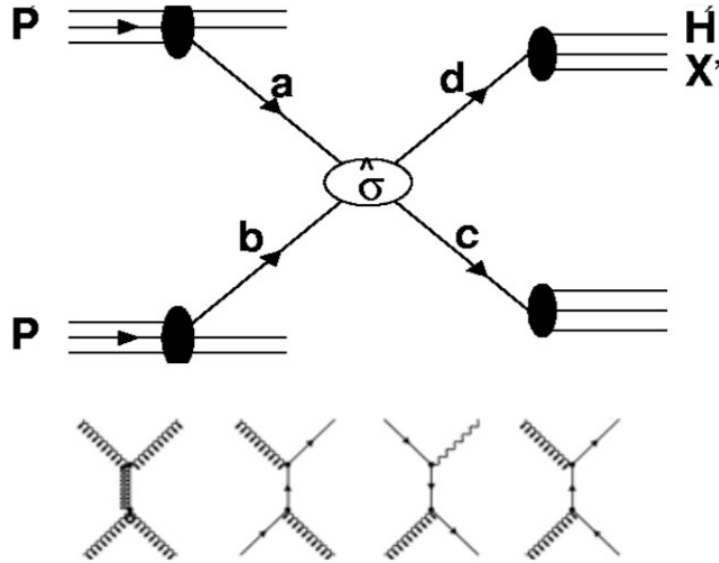
$\Lambda \rightarrow p + \pi^+$   
(BR: 63.9%,  $c\tau \sim 7.9$  cm)

- $\Lambda$ 's contain a strange constituent quark, whose spin is expected to carry most of the  $\Lambda$  spin:  $|\Lambda^\uparrow\rangle = (ud)_{00} s^\uparrow$

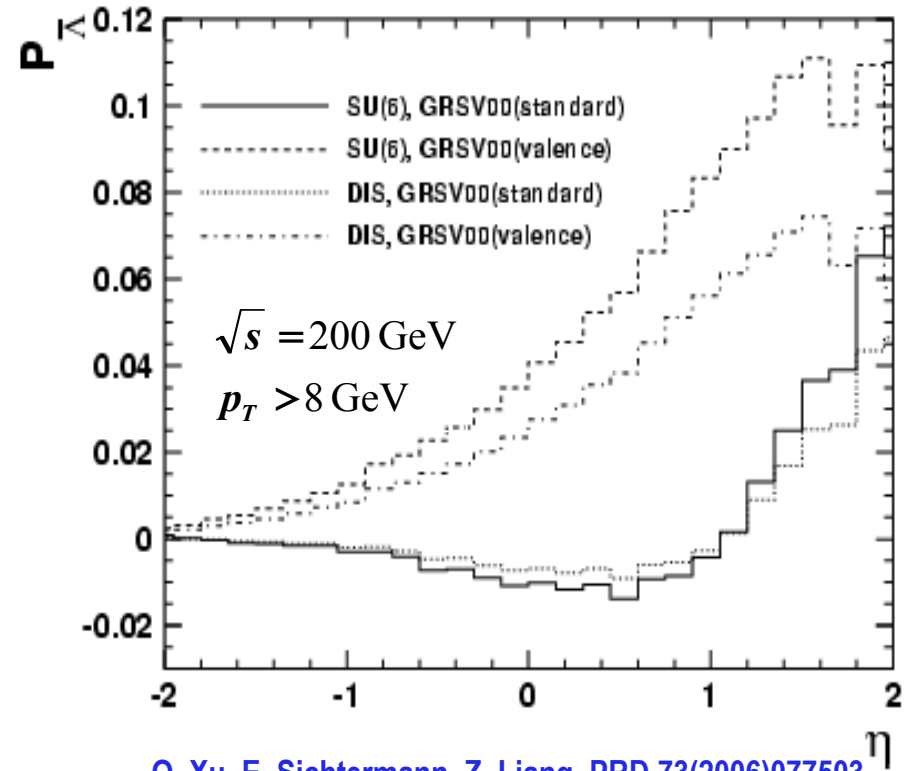
- $\Lambda$  polarization can serve as a powerful tool in spin physics of different field.

# Hyperon spin transfer in pp collision

- The factorized framework enables perturbative description,



$$d\sigma \propto \int f_a(x_1) \cdot f_b(x_2) \otimes d\hat{\sigma} \otimes D^\Lambda(z)$$



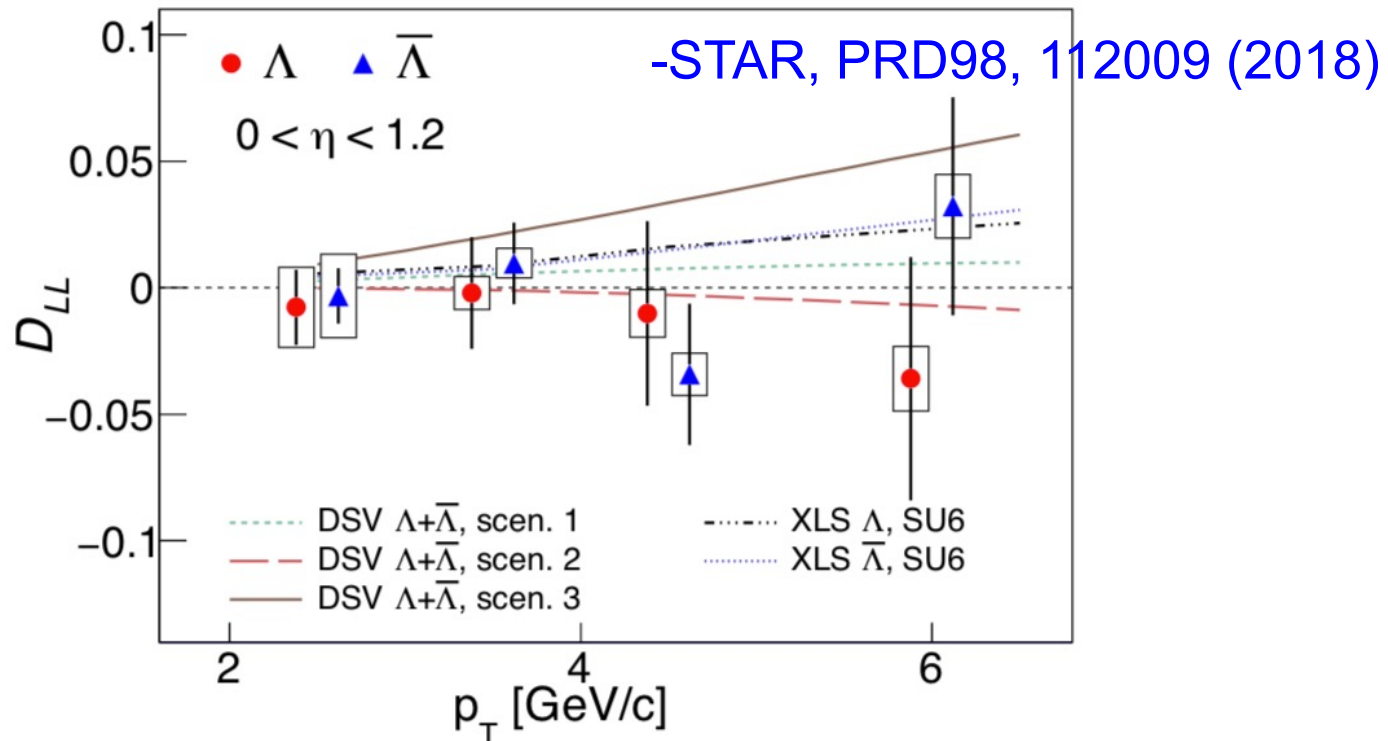
Q. Xu, E. Sichtermann, Z. Liang, PRD 73(2006)077503

- Hyperon spin transfer  $D_{LL}$  provides access to  $\Delta f$  and  $\Delta D$  :

$$D_{LL} \equiv \frac{\sigma_{p^+ p \rightarrow \bar{\Lambda}^+ X} - \sigma_{p^+ p \rightarrow \bar{\Lambda}^- X}}{\sigma_{p^+ p \rightarrow \bar{\Lambda}^+ X} + \sigma_{p^+ p \rightarrow \bar{\Lambda}^- X}} = \frac{d\Delta\sigma}{d\sigma}$$

# $D_{LL}$ results of (anti-)Lambda at STAR

- $D_{LL}$  measurements from STAR 2009 data, which is expected to provide sensitivity to strange quark polarization  $\Delta s$ .



- D.de Florian, M.Stratmann, and W.Vogelsang, PRL81,530(1998)

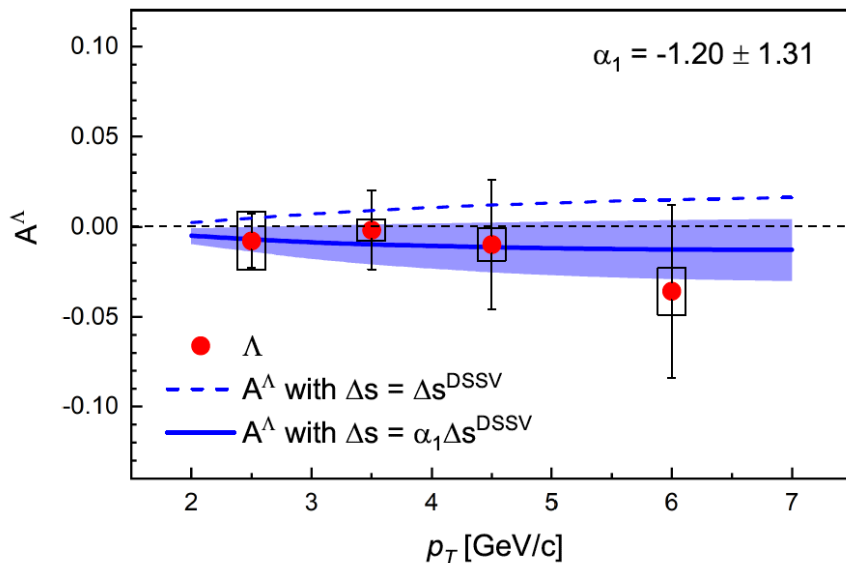
- Q. Xu, Z.T. Liang, E. Sichtermann, PRD 73, 077503(2006)

- $D_{LL}$  results are still consistent with zero within the uncertainties.
- Statistics uncertainties are comparable to the spread of models calculations.

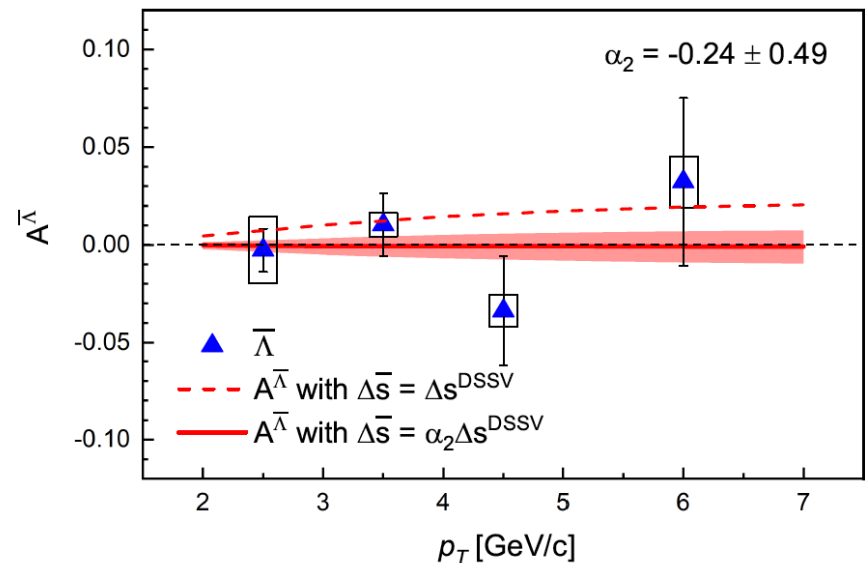
# $D_{LL}$ results of (anti-)Lambda at STAR

- Theoretical studies show impact on asymmetry of strange and anti-strange quark polarization:

X.N. Liu, B. Q. Ma, Eur.Phys.J. C79 (2019) 409



(a) Longitudinal spin transfer to  $\Lambda$ .



(b) Longitudinal spin transfer to  $\bar{\Lambda}$ .

**Table 1** Fitting results of  $\alpha_i$  and calculated results of  $\Delta s$  and  $\Delta \bar{s}$

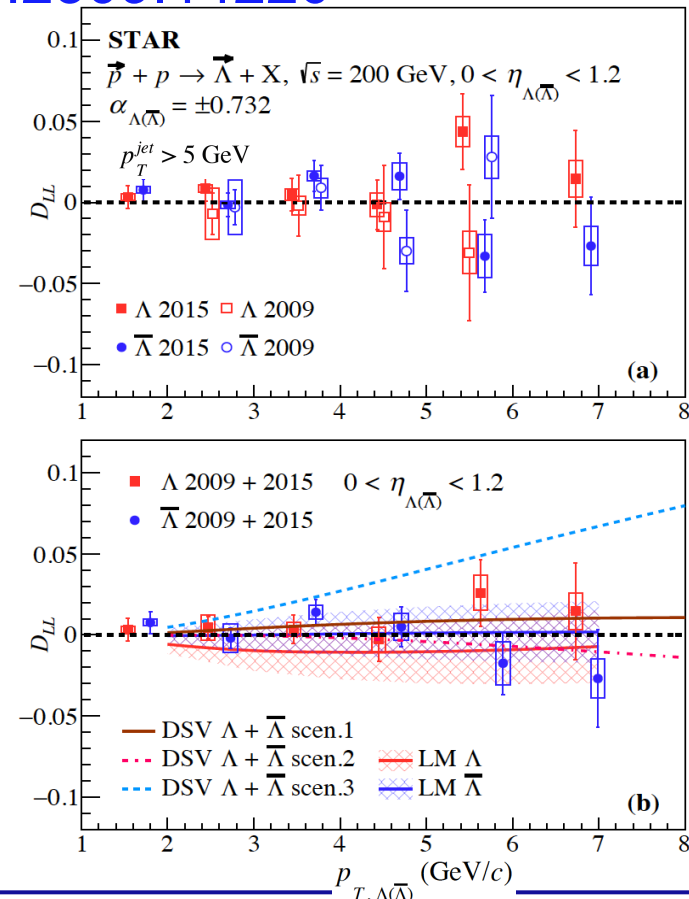
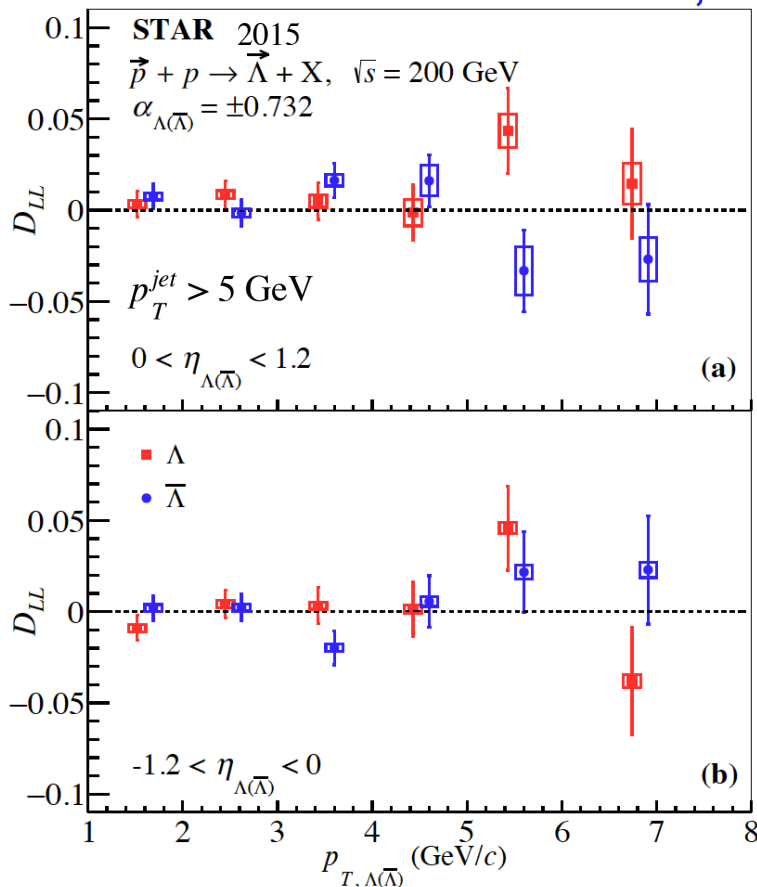
coefficient	value	$\Delta s$	$\Delta \bar{s}$	$\chi^2_{\min}$
$\alpha_1$	$-1.20 \pm 1.31$	$-0.014 \pm 0.015$		0.37
$\alpha_2$	$-0.24 \pm 0.49$		$-0.003 \pm 0.005$	2.48

# New $D_{LL}$ results with STAR 2015 data



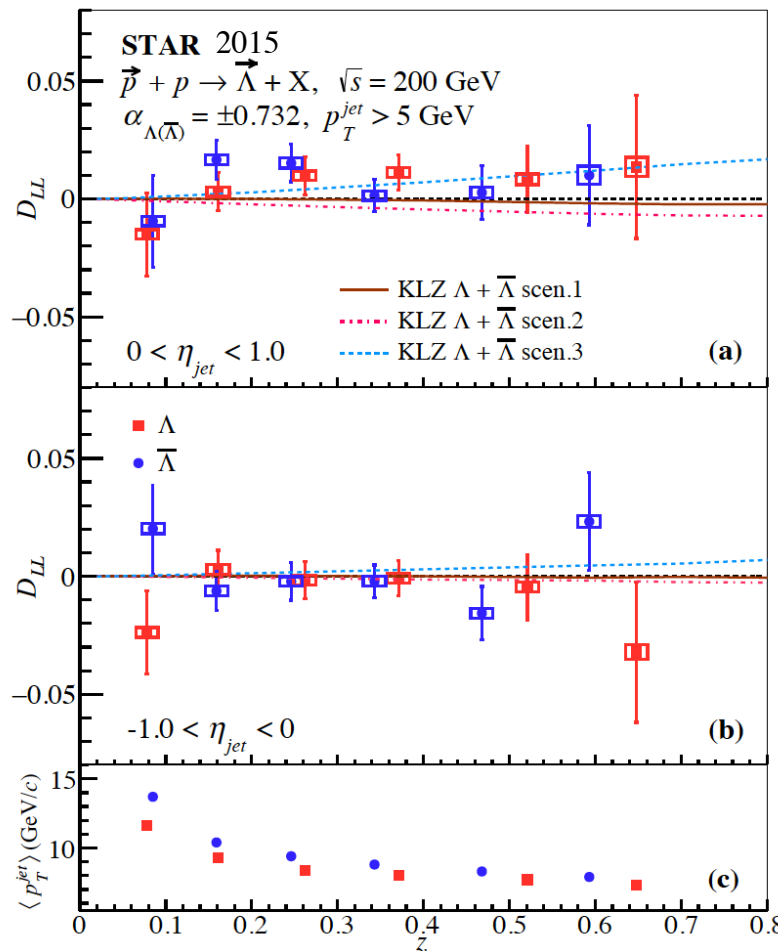
- New results are in agreement with previous measurements, with twice statistics. No clear difference observed between  $\Lambda$  and  $(\bar{\Lambda})$ .
- Results are in agreement with various model predictions, except “DSV” calculation with “scen. 3” of polarized fragmentation function.

STAR, arXiv:2309.14220





- First measurements of  $D_{LL}$  vs  $z$  in polarized p+p collisions, directly probing the polarized fragmentation functions.
- The results are comparable to model prediction within uncertainties.



STAR, arXiv: 2309.14220

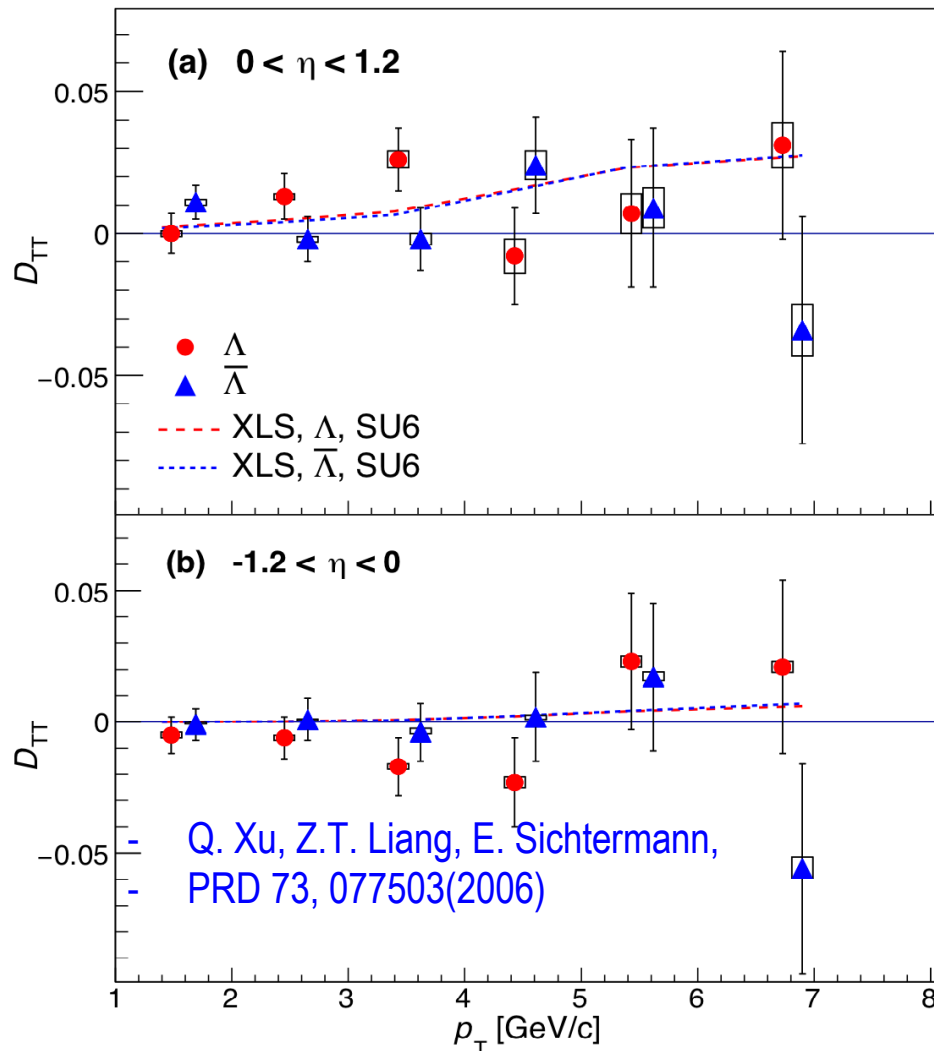
$$z = \frac{\mathbf{p}_{\Lambda} \cdot \mathbf{p}_{jet}}{|\mathbf{p}_{jet}|^2}$$

$z$ : Jet momentum fraction carried by hyperon

# Transverse spin transfer $D_{TT}$ results at STAR

- First  $D_{TT}$  measurements in p+p collision at 200 GeV at RHIC:

-STAR, PRD98, 091103R (2018)



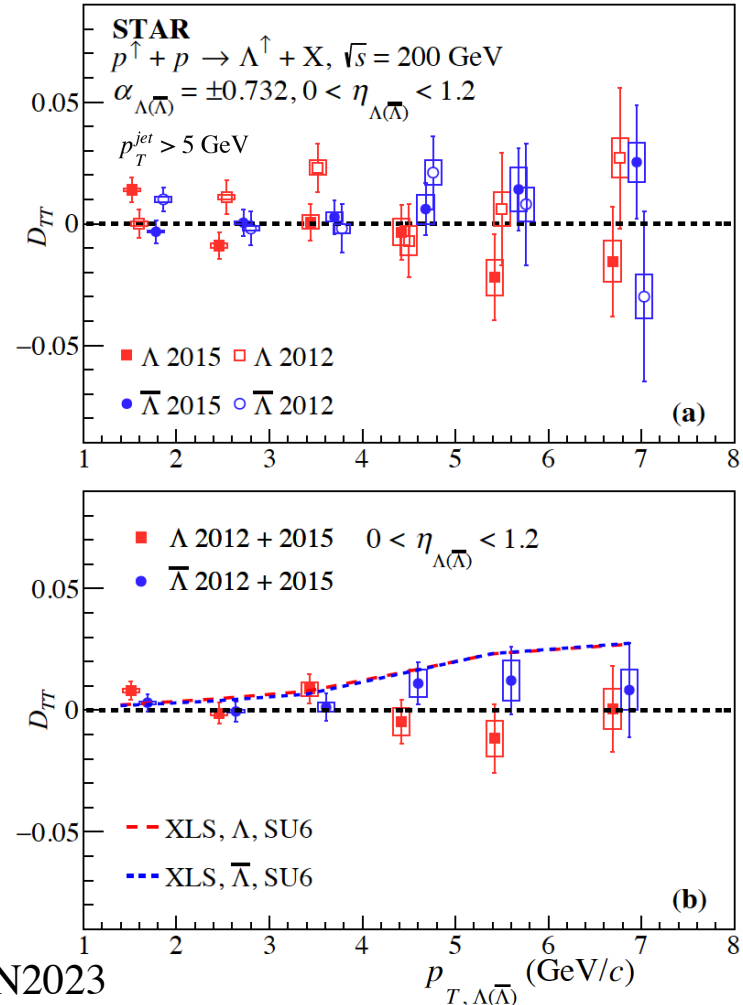
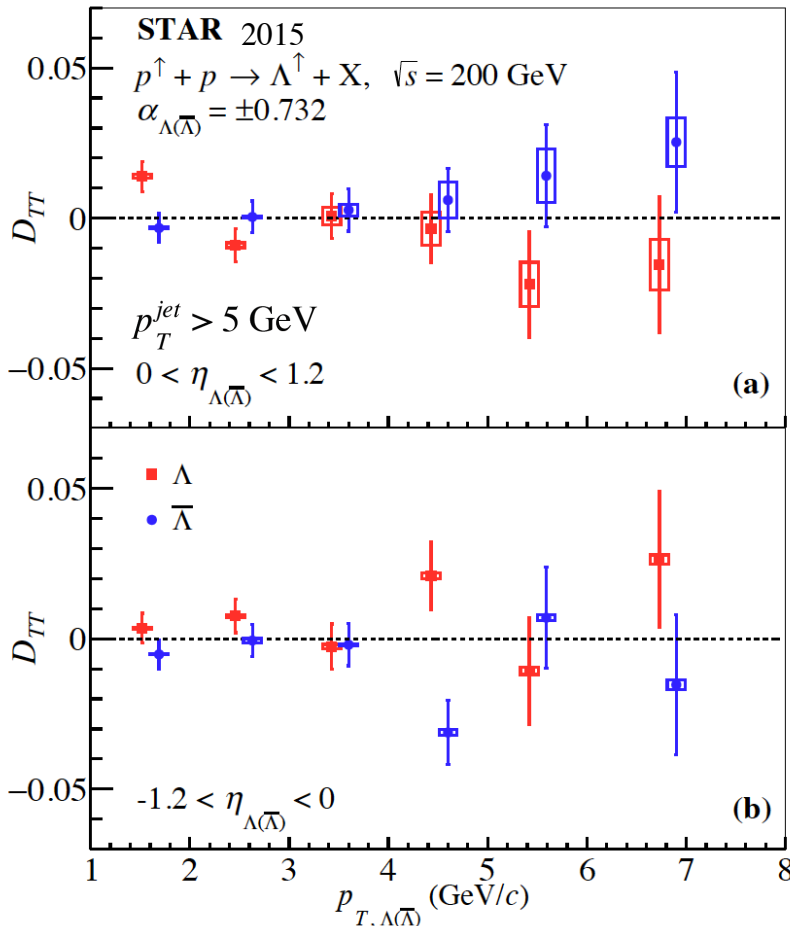
- ✓ 1<sup>st</sup> transverse spin transfer measurement in p+p collisions at RHIC.
- ✓ Most precise measurement on hyperon polarization in p+p collision at RHIC, which reach  $p_T \sim 6.7$  GeV/c with statistical uncertainty of 0.04.
- ✓  $D_{TT}$  of  $\Lambda / \bar{\Lambda}$  are consistent with a model prediction, also consistent with zero within uncertainty.

# New $D_{TT}$ results with STAR 2015 data



- New  $D_{TT}$  results from 2015 are consistent with previous 2012 data, with twice statistics. Most precise data up to date.
- $D_{TT}$  is consistent with the model predictions within uncertainties.

STAR, arXiv: 2309.14220

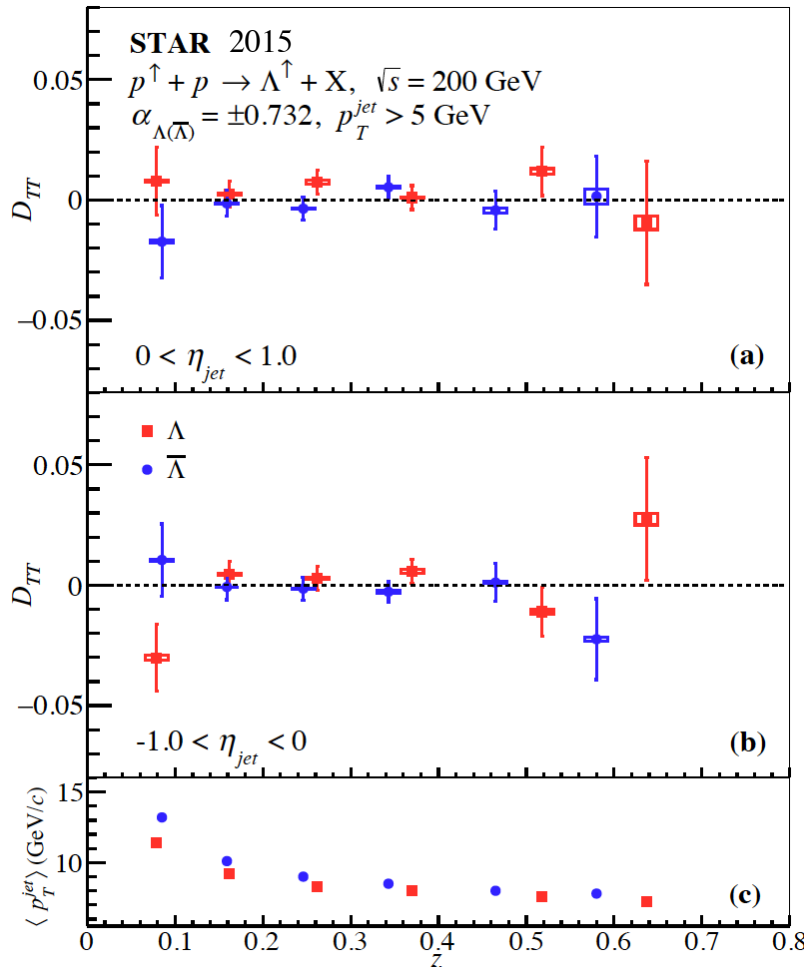




# New $D_{TT}$ results STAR 2015 data



- First measurement of  $D_{TT}$  vs.  $z$  for  $\Lambda(\bar{\Lambda})$  in p+p collisions, providing constraints on transversely polarized fragmentation functions.
- Results are consistent with zero within uncertainties.



STAR, arXiv: 2309.14220

$$z = \frac{\mathbf{p}_\Lambda \cdot \mathbf{p}_{jet}}{|\mathbf{p}_{jet}|^2}$$

$z$ : Jet momentum fraction carried by hyperon

# Nucleon 3d-structure & TMD distribution

- Transverse momentum dependent distribution (TMD):

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \text{⊙}$		$h_1^\perp = \text{⊙} - \text{⊙}$ Boer-Mulders
	L		$g_{1L} = \text{⊙} \rightarrow - \text{⊙} \rightarrow$ Helicity	$h_{1L}^\perp = \text{⊙} \rightarrow - \text{⊙} \rightarrow$
	T	$f_{1T}^\perp = \text{⊙} \uparrow - \text{⊙} \downarrow$ Sivers	$g_{1T} = \text{⊙} \uparrow - \text{⊙} \uparrow$	$h_1 = \text{⊙} \uparrow - \text{⊙} \uparrow$ Transversity $h_{1T}^\perp = \text{⊙} \uparrow - \text{⊙} \uparrow$

Sivers function: 
$$f_q(x, k_\perp; S_\perp) = f_q(x, k_\perp) + \frac{1}{M} (\vec{k}_\perp \times \hat{p}) \cdot \vec{S}_\perp f_{1T}^\perp(x, k_\perp)$$

- correlation between parton transverse momentum, proton momentum and proton spin

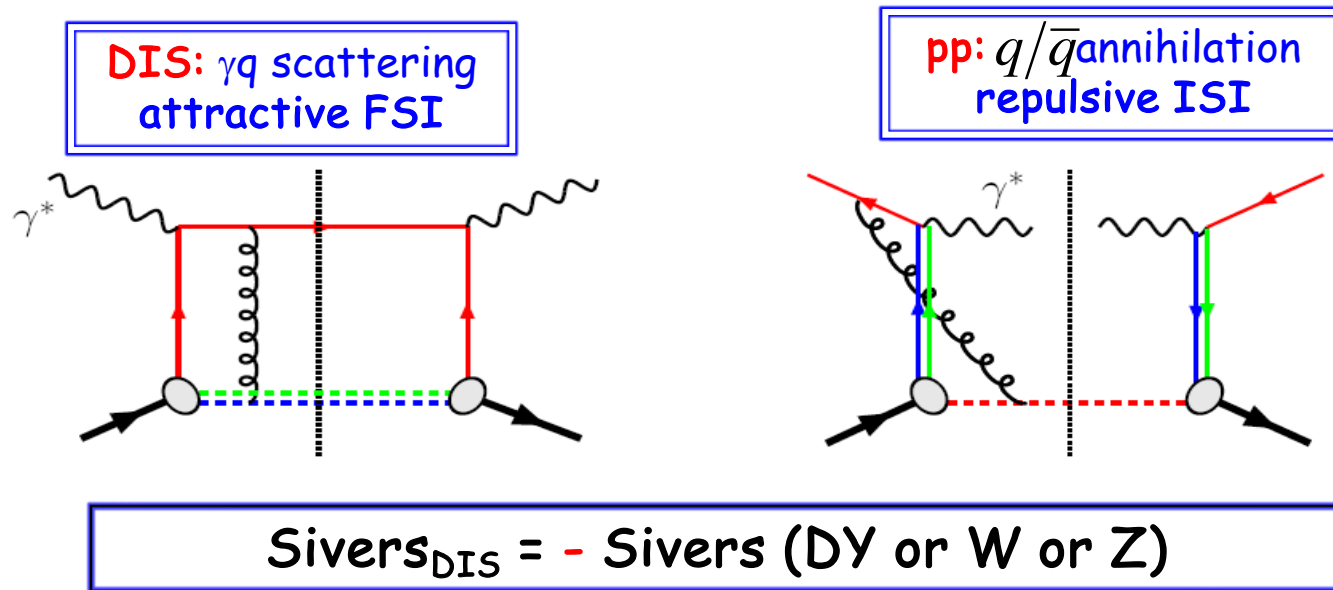
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## Transverse spin physics at RHIC

- Transverse spin asymmetry (W/Z production):  
Sign-change of Sivers function
- Transverse spin asymmetry (Hadron production):  
Access to transversity via Collins & IFF asymmetry
- Transverse spin transfer of Lambda hyperons  
Access to strange quark transversity

# Transverse single spin asymmetry ( $A_N$ ) of W boson

- **Sivers** sign change in DIS and DY/W/Z process:

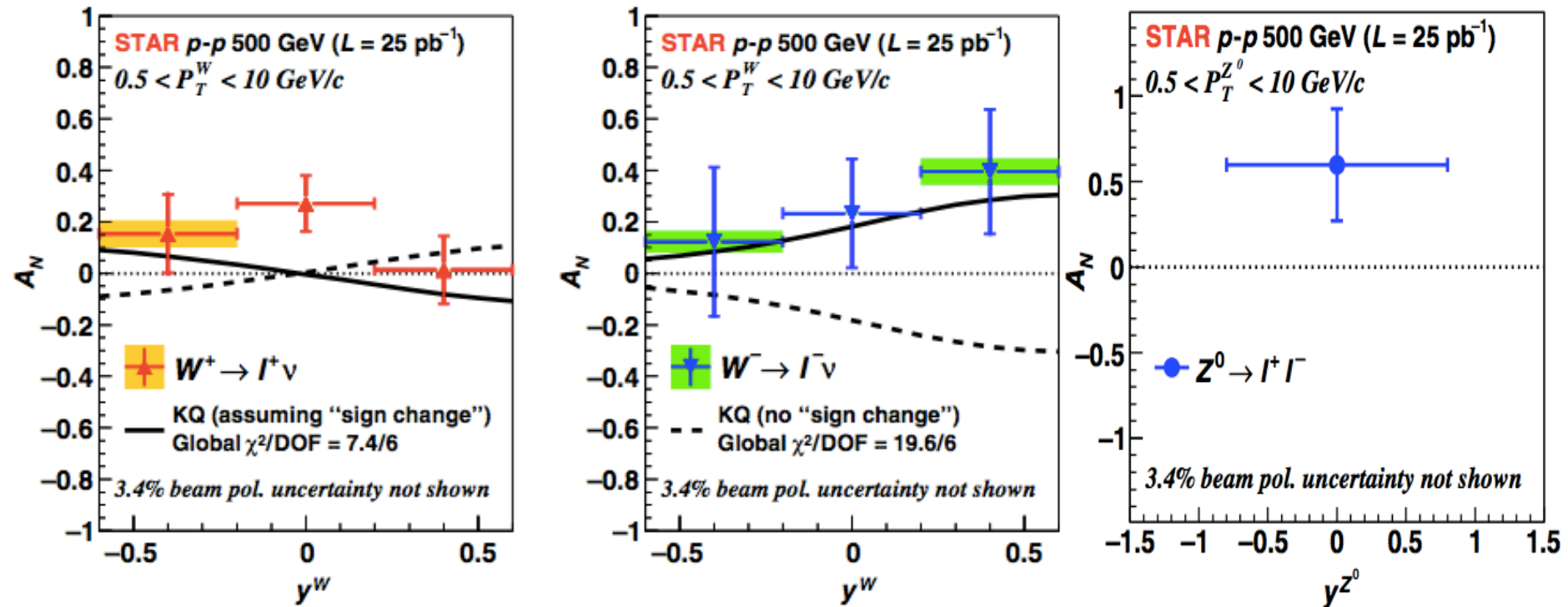


-Critical test for our understanding of TMD's and TMD factorization

- Active experimental programs at CERN-COMPASS (DY), Fermi-SpinQuest (E1039, DY), and **RHIC (W production)**.
- Advantages of weak boson production
  - Low background
  - High  $Q^2$ -scale ( $\sim W/Z$  boson mass)

# First W, Z $A_N$ results at 500 GeV from STAR

- Data: STAR 2011 transverse run at 500 GeV, integrated luminosity  $\sim 25 \text{ pb}^{-1}$
- First  $A_N$  for  $W^\pm$  and Z results : 
$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

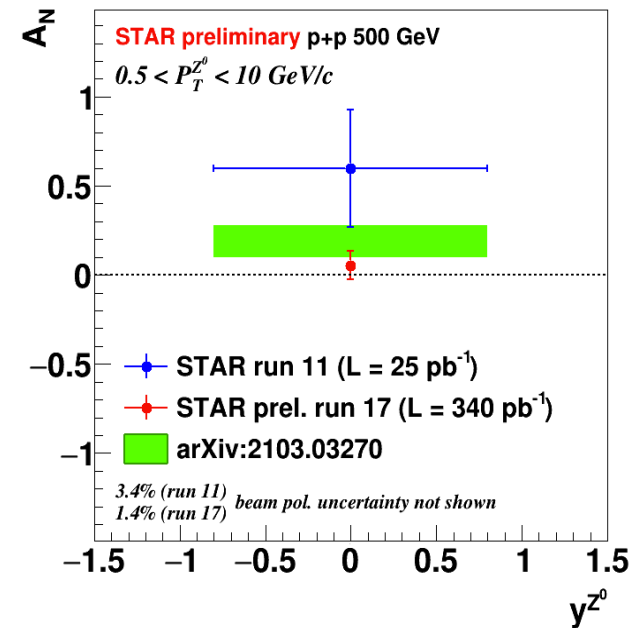
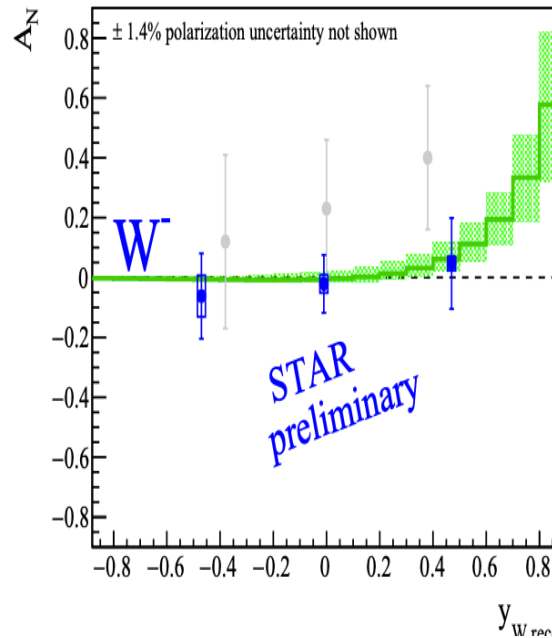
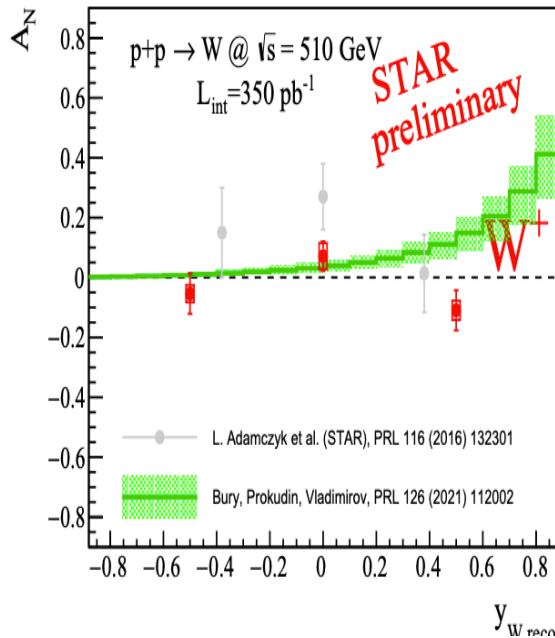


STAR, Phys. Rev. Lett. 116,132301(2016)

- Siverson sign-change scenario preferred over no-sign change scenario.

# Coming measurements of $W/Z A_N$ at STAR

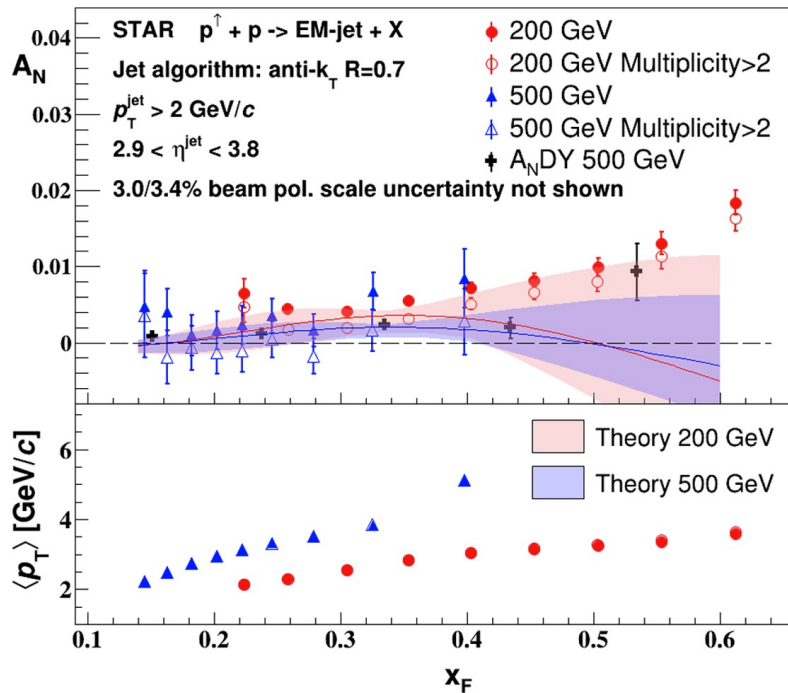
- New STAR results with a much larger data sample taken in 2017:



- 2017 results have much improved precision over those from the initial measurement
- New STAR data will have biggest impact on high-x region of the quark Sivers function.

# Transverse spin asymmetry & TMDs

- Jet  $A_N$  - sensitive to the initial state effect, related to Sivers effect, decoupled from Collins effect



STAR, Phys. Rev. D103, 92009 (2021).

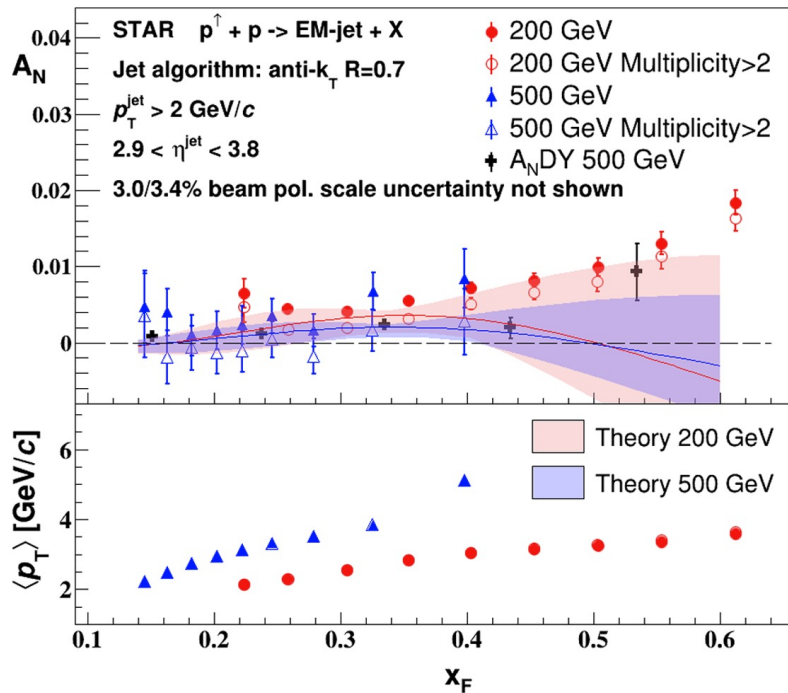
L. Gamberg, Z. Kang, A. Prokudin,  
 Phys.Rev.Lett.110(2013)23,232301

- The jet TSSA is a few times smaller than the  $\pi^0$  TSSA in the same  $x_F$  bin.
- The jet with photon multiplicity minimum requirement has significant smaller TSSA.
- The ANDY result shows the TSSA of the full jet, and is consistent with the result of the EM-jet which has at least 3 photons.

➤ Initial state effect is small

# Transverse spin asymmetry & TMDs

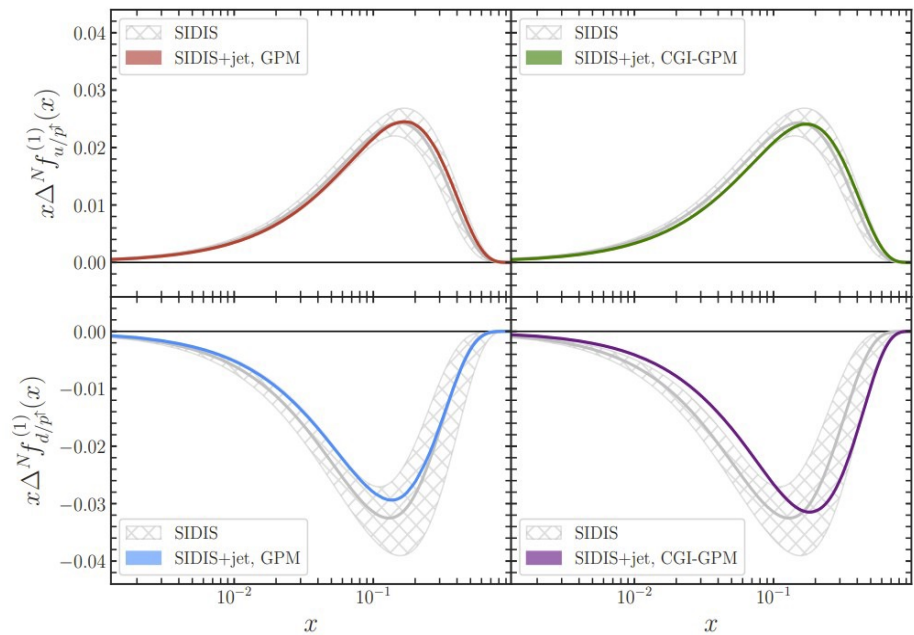
- Jet  $A_N$  - sensitive to the initial state effect, related to Siverts effect, decoupled from Collins effect
- Impact of our data in constraining Siverts function via global analysis



STAR, Phys. Rev. D103, 92009 (2021).

L. Gamberg, Z. Kang, A. Prokudin,  
Phys.Rev.Lett.110(2013)23,232301

M.Boglione, et al., Phys. Lett. B 815 (2021) 136135



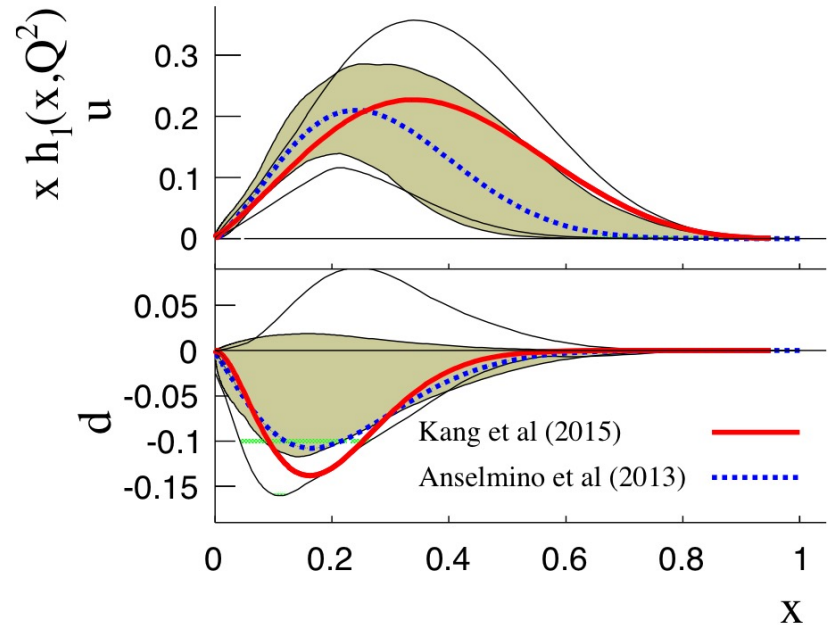
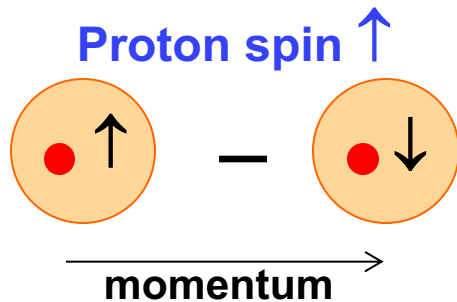
➤ Initial state effect is small



# Transverse spin structure of nucleon

- Transversity- least known pdf among 3 leading twist pdfs.

$$\delta q(x, Q^2) = q^\uparrow(x, Q^2) - q^\downarrow(x, Q^2)$$



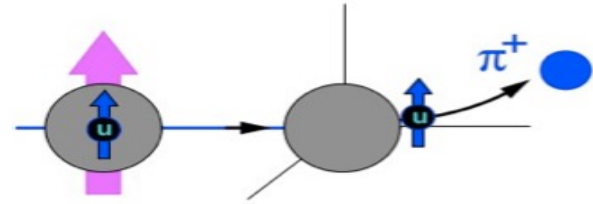
- Transversity involves helicity flip, thus no access in inclusive DIS process.

- Possible experimental measurements on  $\delta q(x)$ :

- Via Collins function (SIDIS, p+p), di-hadron production (SIDIS and p+p)  
Several Global fits available: [Anselmino et al'13](#), [Kang et al'15](#), [M. Radici et al'18](#)
- Transversely polarized Drell-Yan process
- **Transverse spin transfer to hyperons (DIS, p+p)**

# Mid-rapidity hadron-jet correlations (Collins)

- Study proton transversity through its coupling to Collins function:



$$A_{UT} \propto \mathbf{h}_1(\mathbf{x}) \otimes \mathbf{H}_1^\perp(\mathbf{z}, \mathbf{j}_T)$$

- Collins asymmetries:

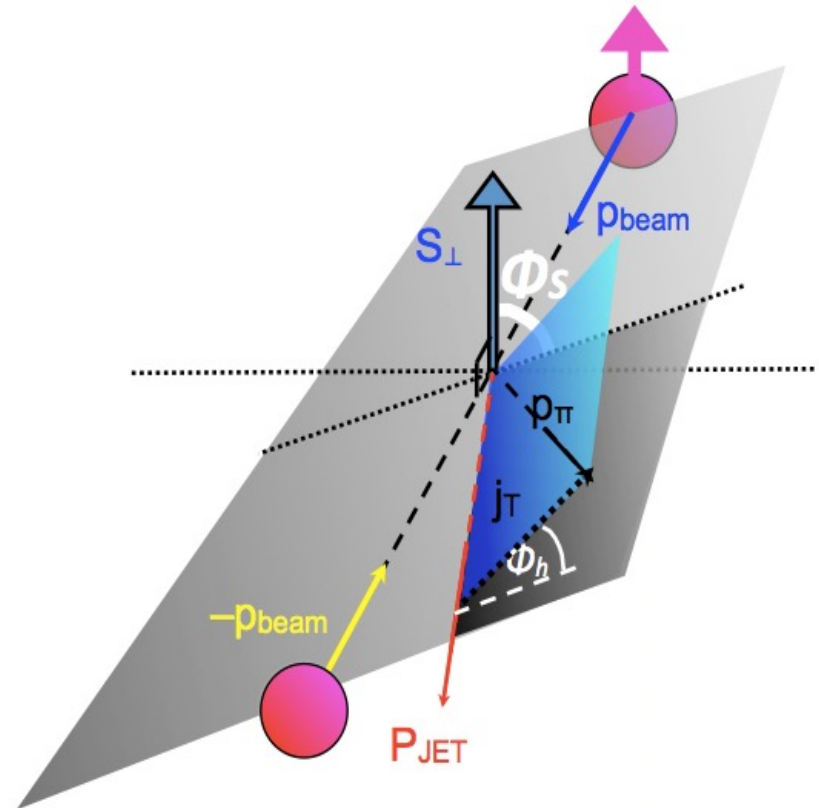
Collins angle:  $\Phi_c = \Phi_s - \Phi_h$

Collins modulation:  $\sin(\Phi_s - \Phi_h)$

$\mathbf{j}_T$ : transverse momentum in jet

$\Phi_s$ : azimuthal angle of beam spin

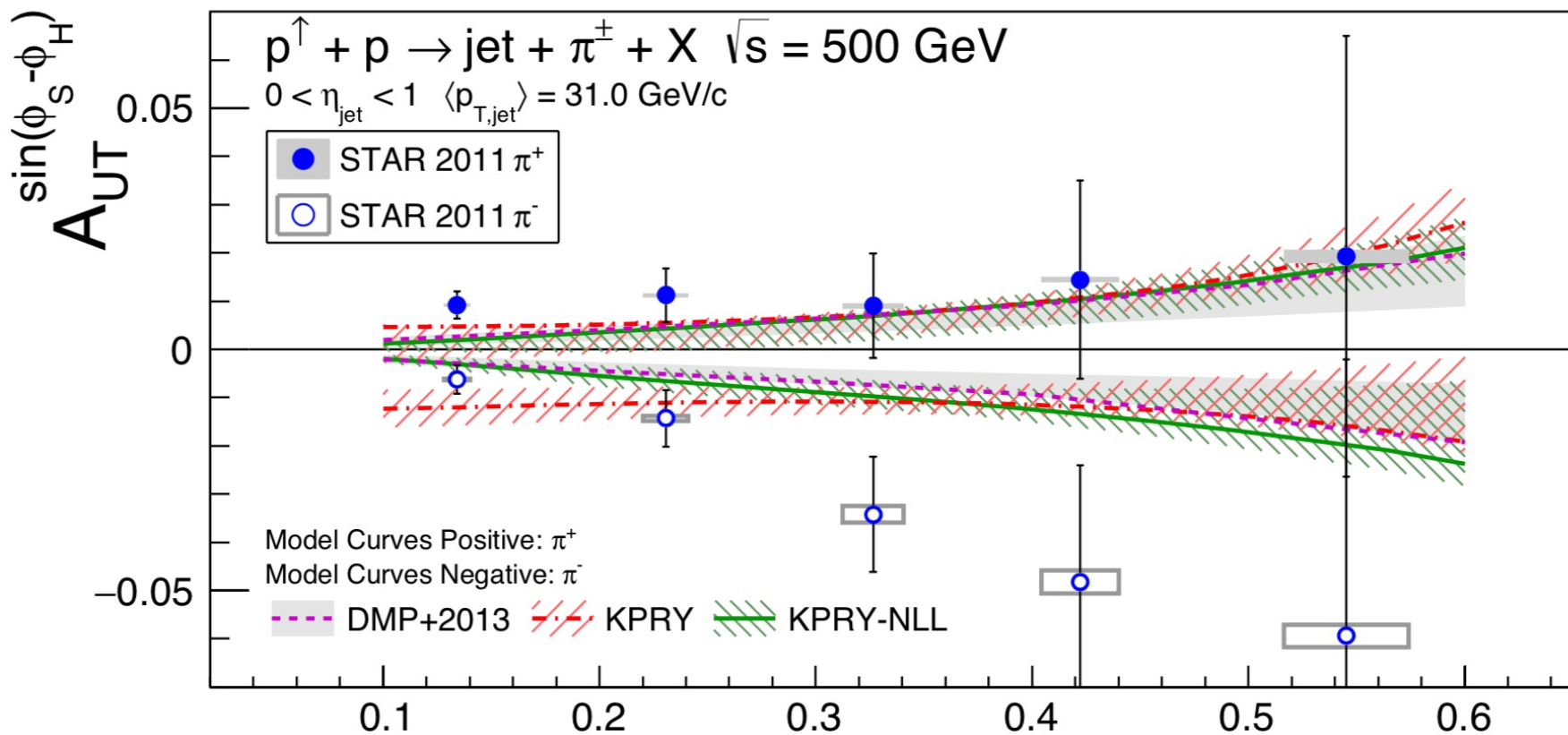
$\Phi_h$ : azimuthal angle of hadron



-F.Yuan, PRL100,32003

# Collins asymmetries at STAR

- Collins asymmetries at 500 GeV & comparison with theory curves:



STAR, Phys. Rev. D **97**, 32004(2018)

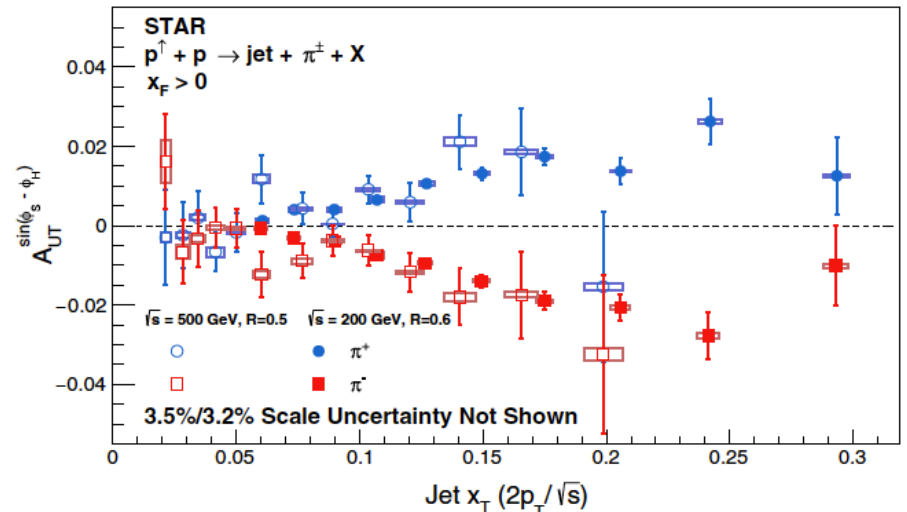
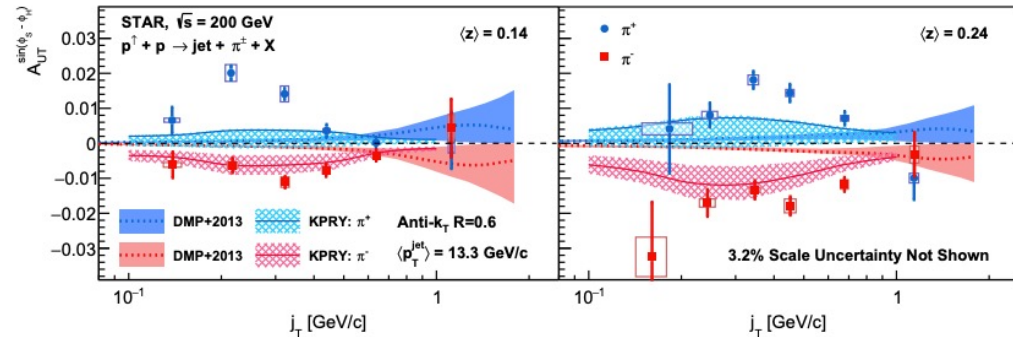
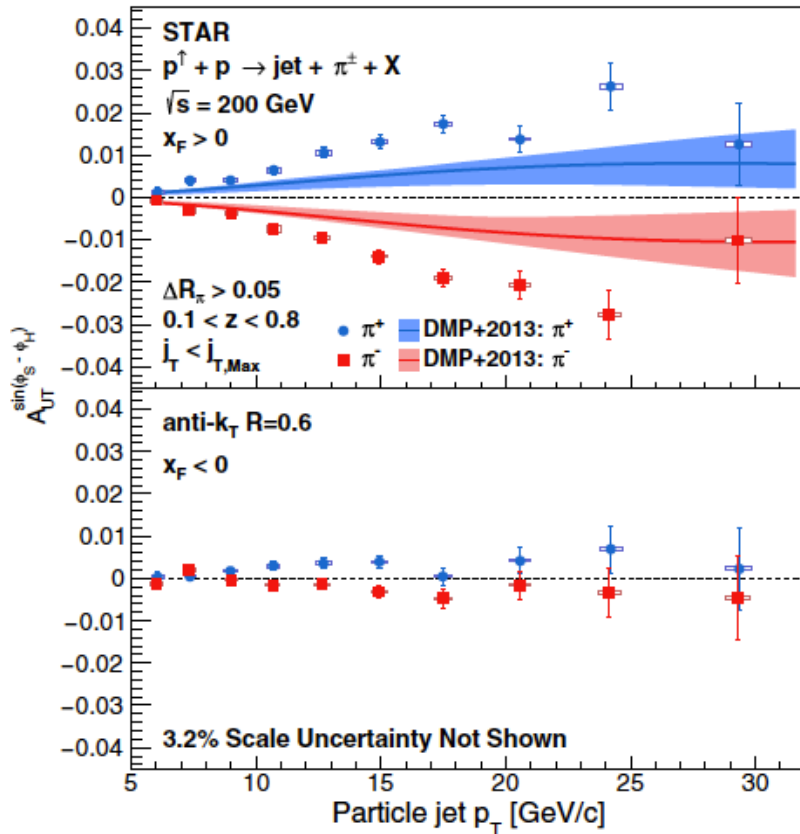
Z

- Collins asymmetries observed in p+p collisions, providing information for scale dependence, also access to transversity.

# Collins asymmetries at STAR

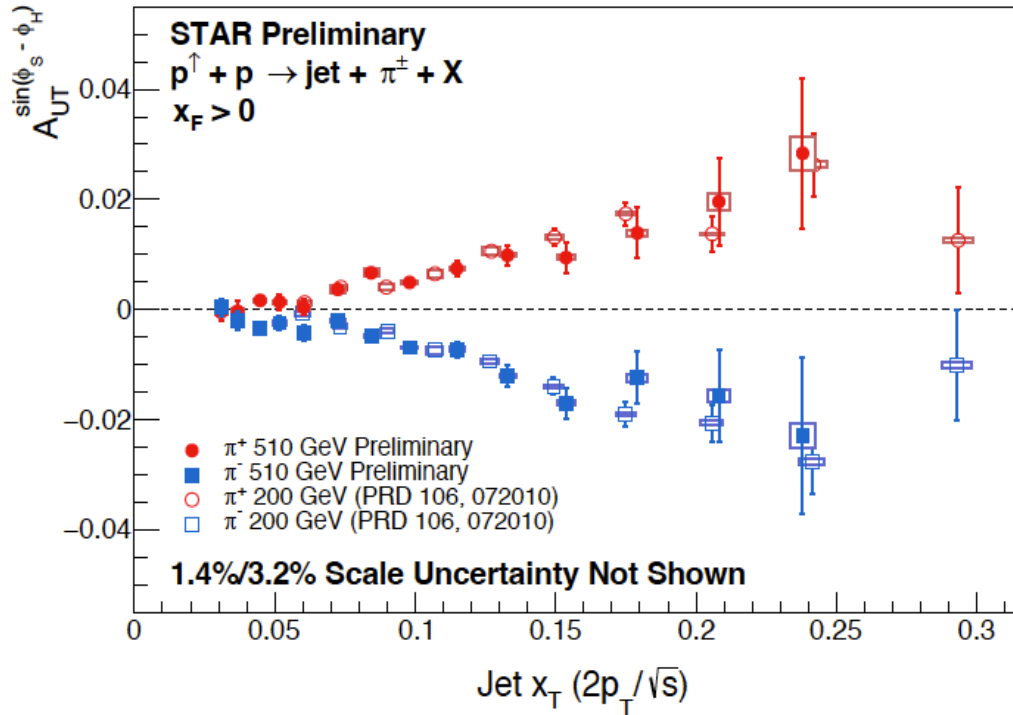
- High precision data on Collins asymmetry in p+p collisions at 200 GeV, providing access to transversity distribution:

STAR, PRD 106, 072010 (2022)



# New Collins results at 510 GeV & comparison to 200 GeV

- Collins results as a function of  $x_T$  for 200 GeV and 510 GeV:



- The high precision Collins results of 510 GeV and 200 GeV nicely align with jet  $x_T$  scale, giving almost no energy dependence.
- These data provide important constraints on the scale evolution for Collins asymmetry.

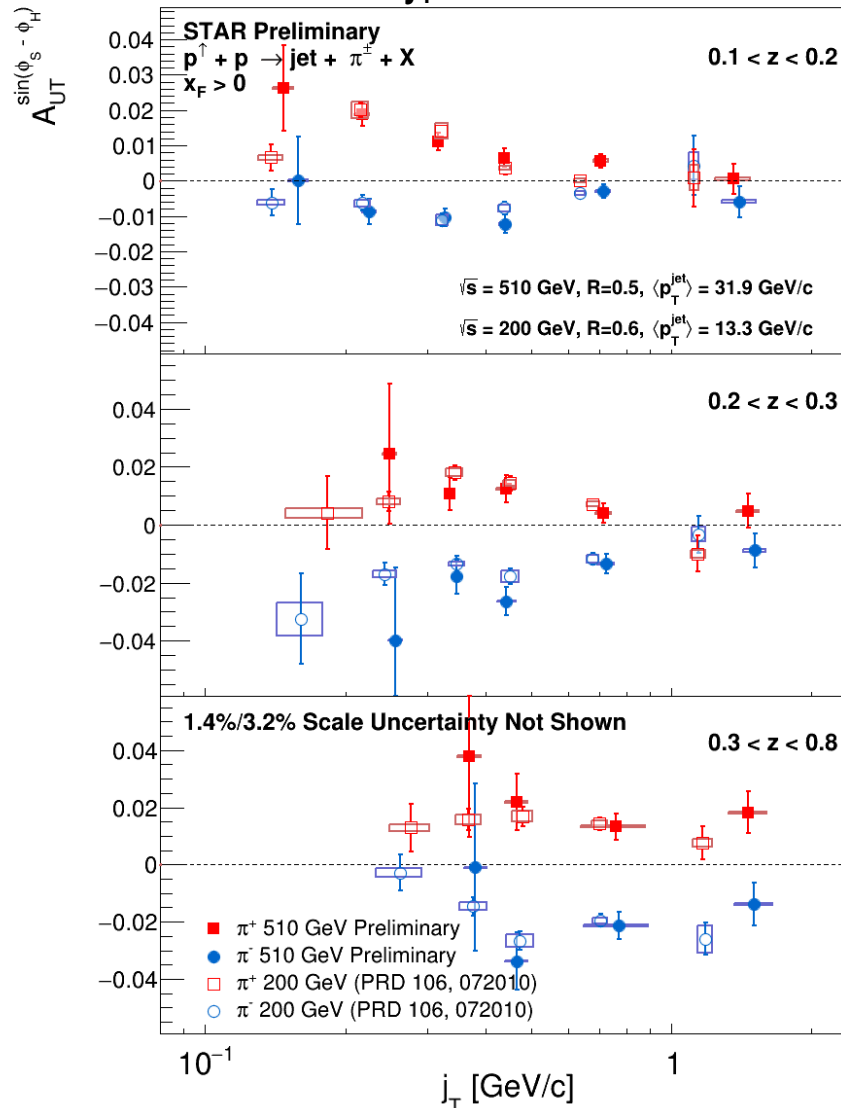
Sep 25, 2023

Yixin Zhang, SPIN2023

**Striking comparison indicating weak energy dependence !**

# New Collins results at 510 GeV & comparison to 200 GeV

- Collins results as a function of  $j_T$  :

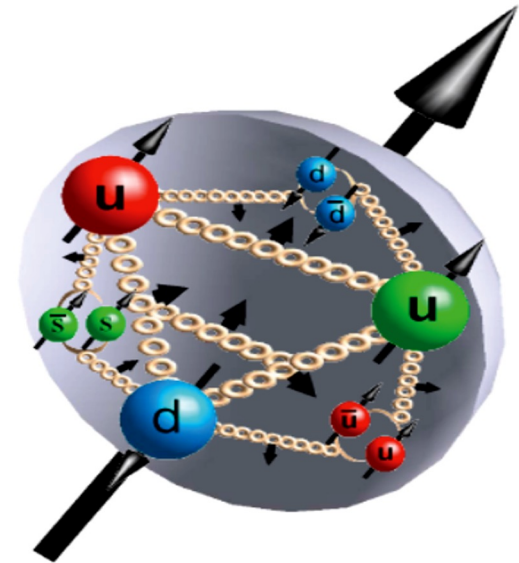


$j_T$  : pion's transverse momentum relative to the jet axis

Striking comparison indicating weak energy dependence !

---

If you are not getting bored with these spin thing....



Let's continue with some spin physics in heavy ion collisions 😊

# Outline for today's topic

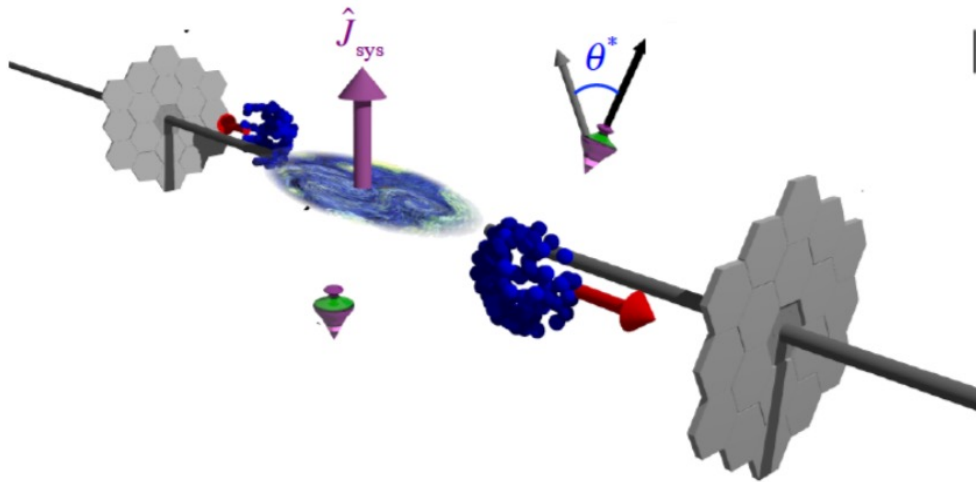
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- Introduction to SPIN & nucleon spin structure
- Recent spin highlights in pp collisions from RHIC:
  - ✓ Gluon polarization (Jet,  $\pi^0$  production): gluon polarization  $\Delta g$
  - ✓ Quark/Anti-quark polarization (W/Z production): sea quark  $\Delta q$
  - ✓ Transverse spin asymmetry (W/Z production): Sivers function
  - ✓ Transverse spin asymmetry (Hadron production): Collins
- Global polarization in heavy ion collisions
  - ✓ Hyperon global polarization
  - ✓ Spin alignment of vector meson
- Future plans for spin physics in 2024+ at RHIC/EIC/EicC



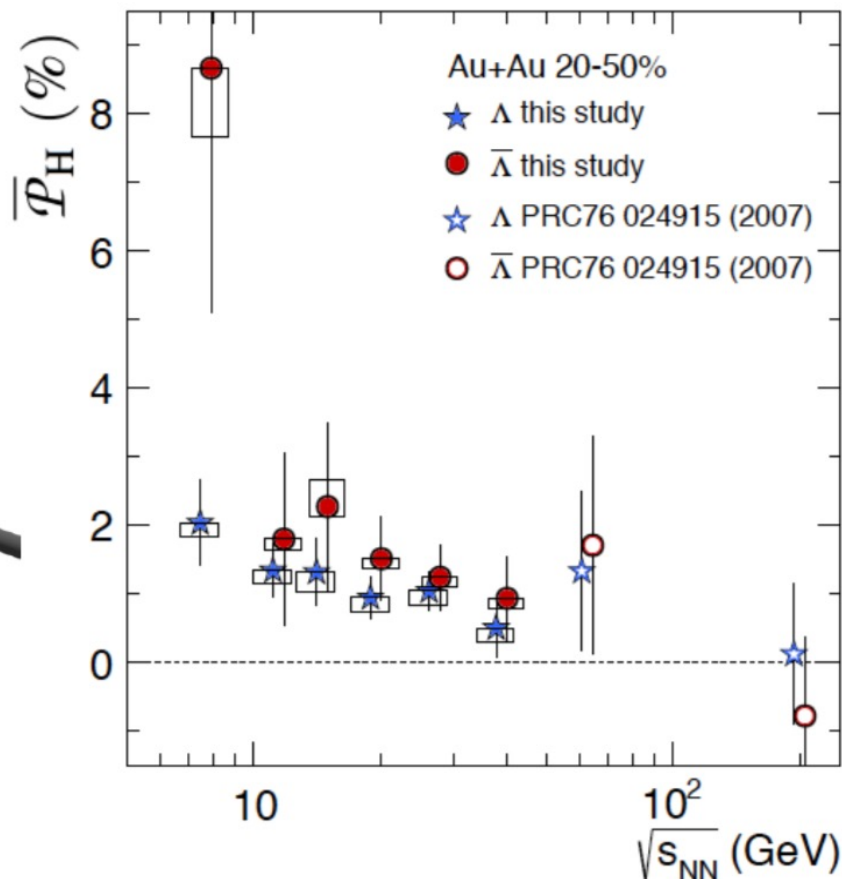
# $\Lambda$ Global polarization in heavy ion collisions

- $\Lambda$  global polarization observed at STAR (Nature cover), as predicted by Z.T. Liang and X.N. Wang in [PRL94,102301\(2005\)](#)



非零角动量可以转化成流体涡旋，  
并极化超子

STAR, Nature 548(2017)62



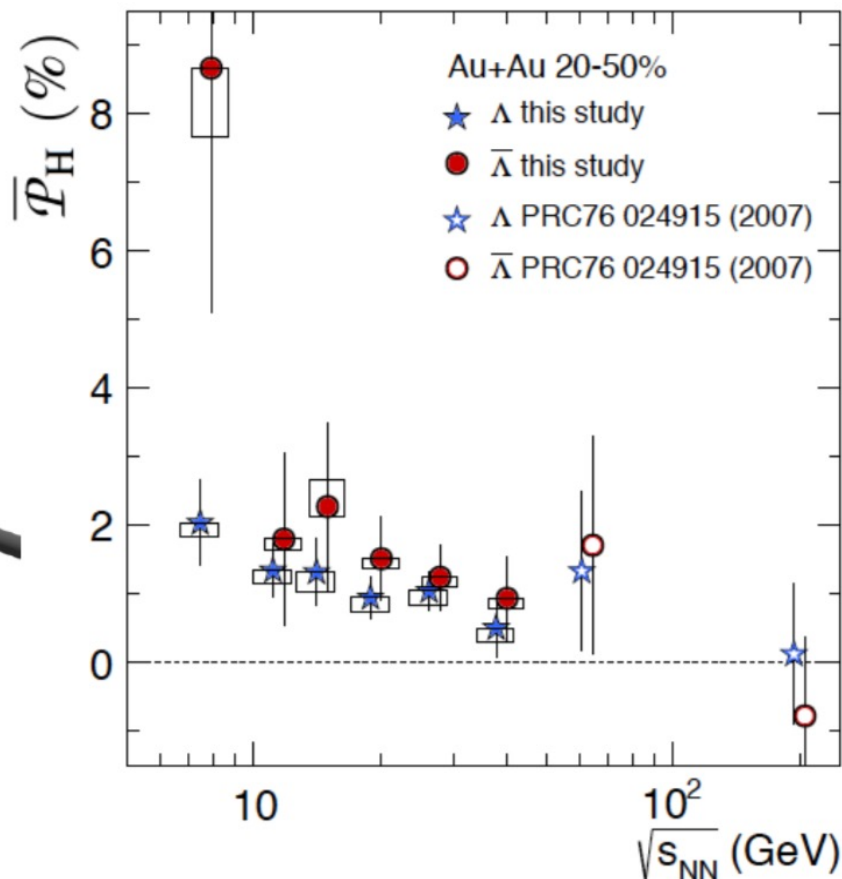
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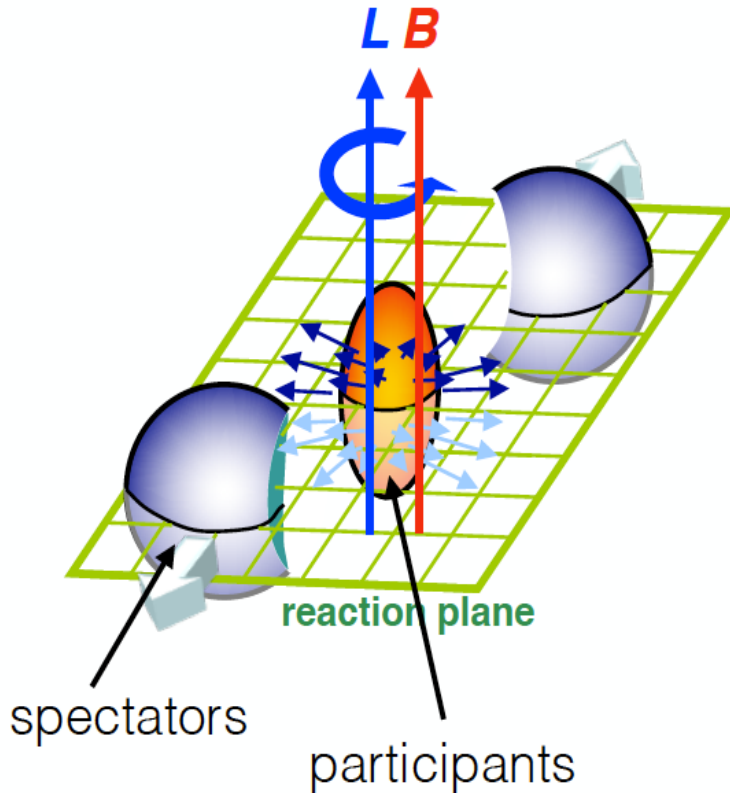


非零  
并极

STAR, Nature 548(2017)62



# Orbital angular momentum/magnetic field in HIC



Orbital angular momentum

Z.-T. Liang and X.-N. Wang, PRL94, 102301 (2005)

$$\mathbf{L} = \mathbf{r} \times \mathbf{p}$$

$$\sim bA\sqrt{s_{NN}} \sim 10^6 \hbar$$

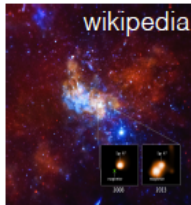
Strong magnetic field

$$B \sim 10^{13} \text{ T}$$

$$(eB \sim m_{\pi}^2 (\tau \sim 0.2 \text{ fm}))$$

D. Kharzeev, L. McLerran, and H. Warringa,  
Nucl. Phys. A803, 227 (2008)

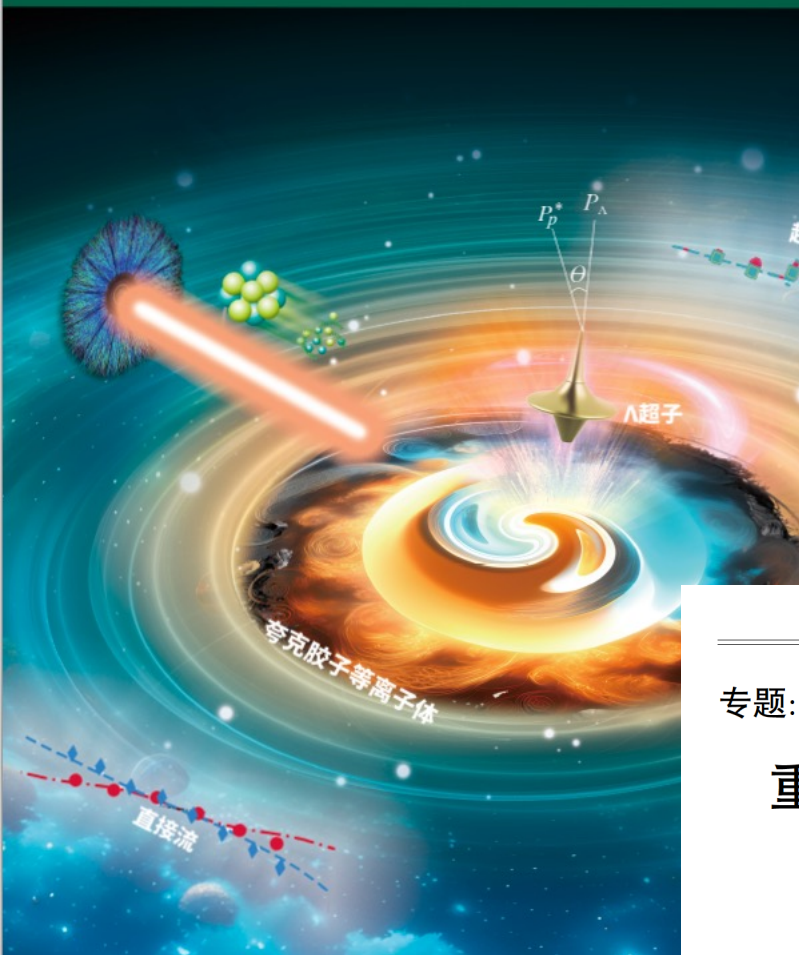
L. McLerran and V. Skokov, Nucl. Phys. A929, 184 (2014)



magnetar

$$B \sim 10^{11} \text{ T}$$

T. Niida



### 专题: 高能重离子碰撞过程的自旋与手征效应

- 070101 高能重离子碰撞过程的自旋与手征效应专题编者按 ..... 梁作堂 王群 马余刚  
综述
- 071202 相对论自旋流体力学 ..... 浦实 黄旭光
- 072501 强相互作用自旋-轨道耦合与夸克-胶子等离子体整体极化 ..... 高建华 黄旭光 梁作堂 王群 王新年
- 072503 高能重离子超边缘碰撞中极化光致反应 ..... 浦实 肖博文 周剑 周雅瑾  
研究论文
- 071201 引力形状因子的介质修正 ..... 林树 田家源
- 072401 重离子碰撞中 QCD 物质整体极化的实验测量 .....  
..... 孙旭 周晨升 陈金辉 陈震宇 马余刚 唐爱洪 徐庆华
- 072502 重离子碰撞中的矢量介子自旋排列 ..... 盛欣力 梁作堂 王群
- 072504 RHIC 能区 Au+Au 碰撞中带电粒子直接流与超子整体极化的计算与分析 .....  
..... 江泽方 吴祥宇 余华清 曹杉杉 张本威

物理学报 *Acta Phys. Sin.* Vol. 72, No. 7 (2023) 072401

### 专题: 高能重离子碰撞过程的自旋与手征效应

## 重离子碰撞中 QCD 物质整体极化的实验测量\*

孙旭<sup>1)</sup> 周晨升<sup>2)</sup> 陈金辉<sup>2)†</sup> 陈震宇<sup>3)</sup>  
马余刚<sup>2)</sup> 唐爱洪<sup>4)</sup> 徐庆华<sup>3)‡</sup>

# Measurement of global polarization at STAR

- The  $\Lambda$  polarization can be determined through the angular distribution of its weak decay product.

$$\frac{dN}{d\Omega^*} = \frac{1}{4\pi} (1 + \alpha_H \mathbf{P}_H^* \cdot \hat{\mathbf{p}}_B^*)$$

$\mathbf{P}_H$ : hyperon polarization

$\hat{\mathbf{p}}_B$ : unit vector of daughter baryon momentum

$\alpha_H$ : hyperon decay parameter

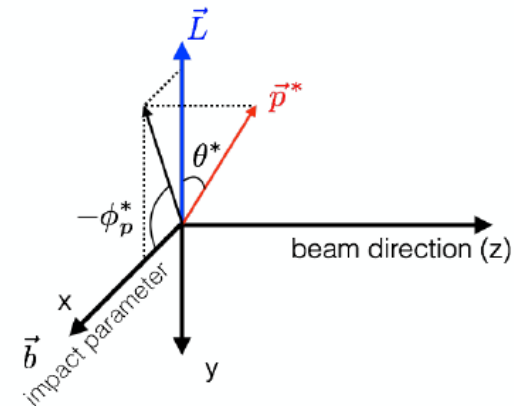
- At STAR, the global polarization has been extracted with

*First adopted in PRC76, 024915 (2007)*

$$P_\Lambda = \frac{8}{\pi \alpha_\Lambda A_0} \frac{1}{\text{Res}(\Psi_1)} \langle \sin(\Psi_1 - \phi_p^*) \rangle$$

$$\alpha_\Lambda = -\alpha_{\bar{\Lambda}} = 0.732 \pm 0.014$$

$\Psi_1$ : azimuthal angle of 1<sup>st</sup> order reaction plane

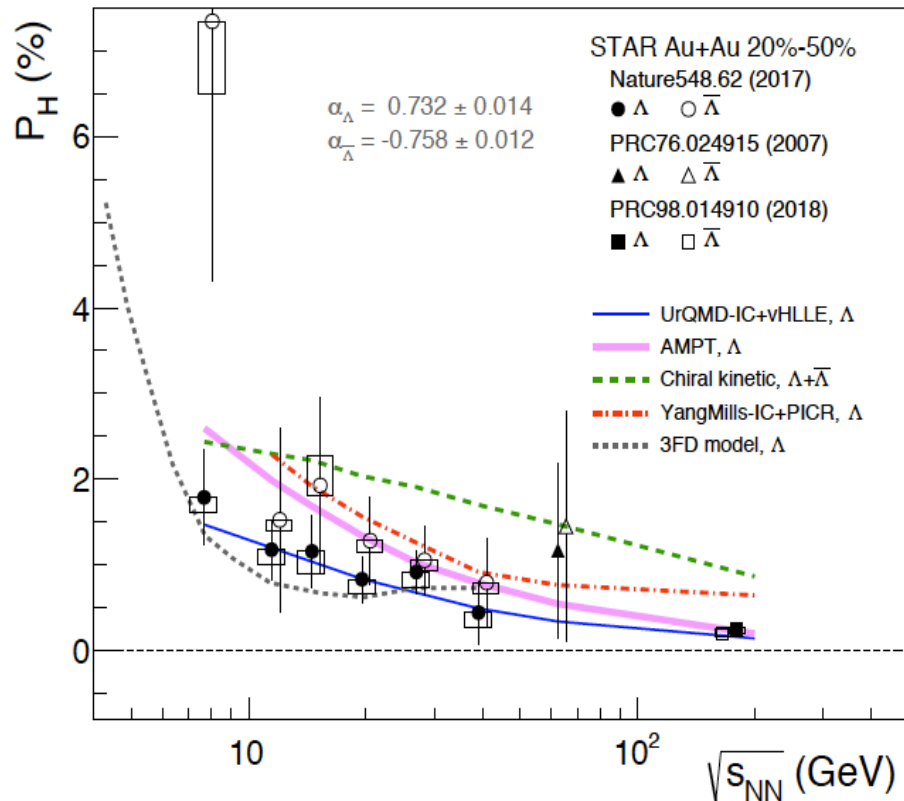


-In this way, the detector acceptance is largely avoided, but rather a scale effect with  $A_0$

# Global polarization in heavy ion collisions

- Spin-orbit coupling leads to spin polarization of produced particles, like  $\Lambda$
- Effects to global polarization from the magnetic field

STAR, Nature 548, 62 (2017)  
 STAR, PRC90, 014910 (2018)



- Indication of thermal vorticity

$$P_{\Lambda(\bar{\Lambda})} \simeq \frac{1}{2} \frac{\omega}{T} \pm \frac{\mu_{\Lambda} B}{T} \quad \omega = (P_{\Lambda} + P_{\bar{\Lambda}}) k_B T / \hbar$$

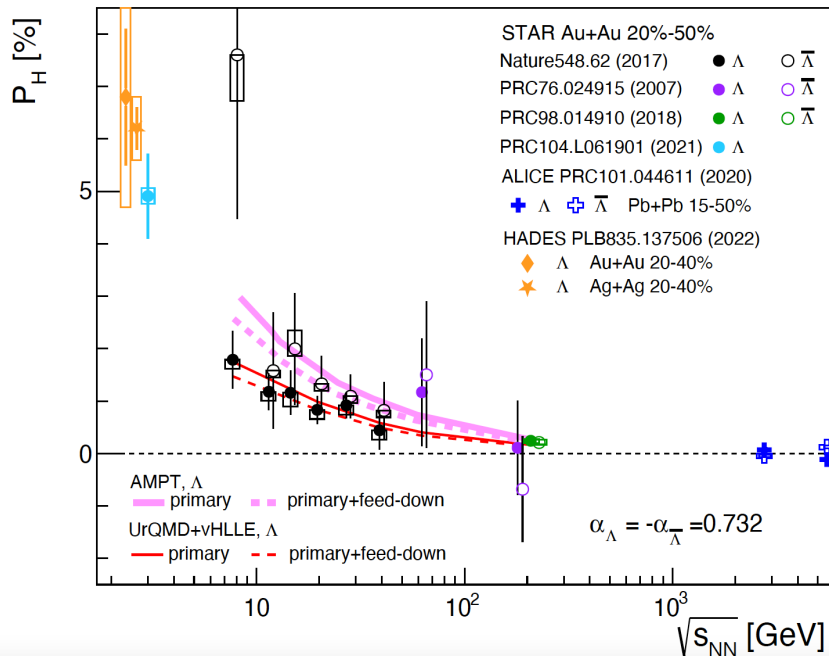
$$\sim 10^{22} \text{ s}^{-1}$$

F. Becattini et al., PRC95.054902 (2017)

$\mu_{\Lambda}$ :  $\Lambda$  magnetic moment  
 T: temperature at thermal equilibrium

- Increasing trend toward lower energies, described well by various theoretical models
  - I. Karpenko and F. Becattini, EPJC(2017)77:213, UrQMD+vHLLLE
  - H. Li et al., PRC96, 054908 (2017), AMPT
  - Y. Sun and C.-M. Ko, PRC96, 024906 (2017), CKE
  - Y. Xie et al., PRC95, 031901(R) (2017), PICR
  - Y. B. Ivanov et al., PRC100, 014908 (2019), 3FD model
- Possible difference between  $\Lambda$  and anti- $\Lambda$

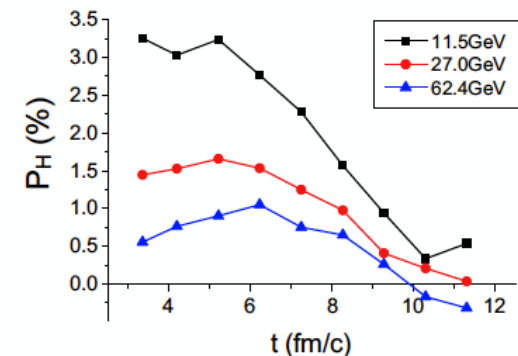
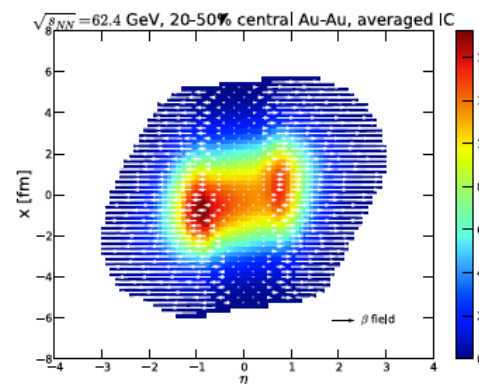
# Energy dependence of global polarization



- HADES data at 2.4 GeV Au+Au and 2.7 GeV Ag+Ag
- STAR data at 3 GeV
- ALICE results at 2.76 and 5.02 TeV Pb+Pb, consistent with zero within uncertainties
- More data coming from STAR BES-II +FXT

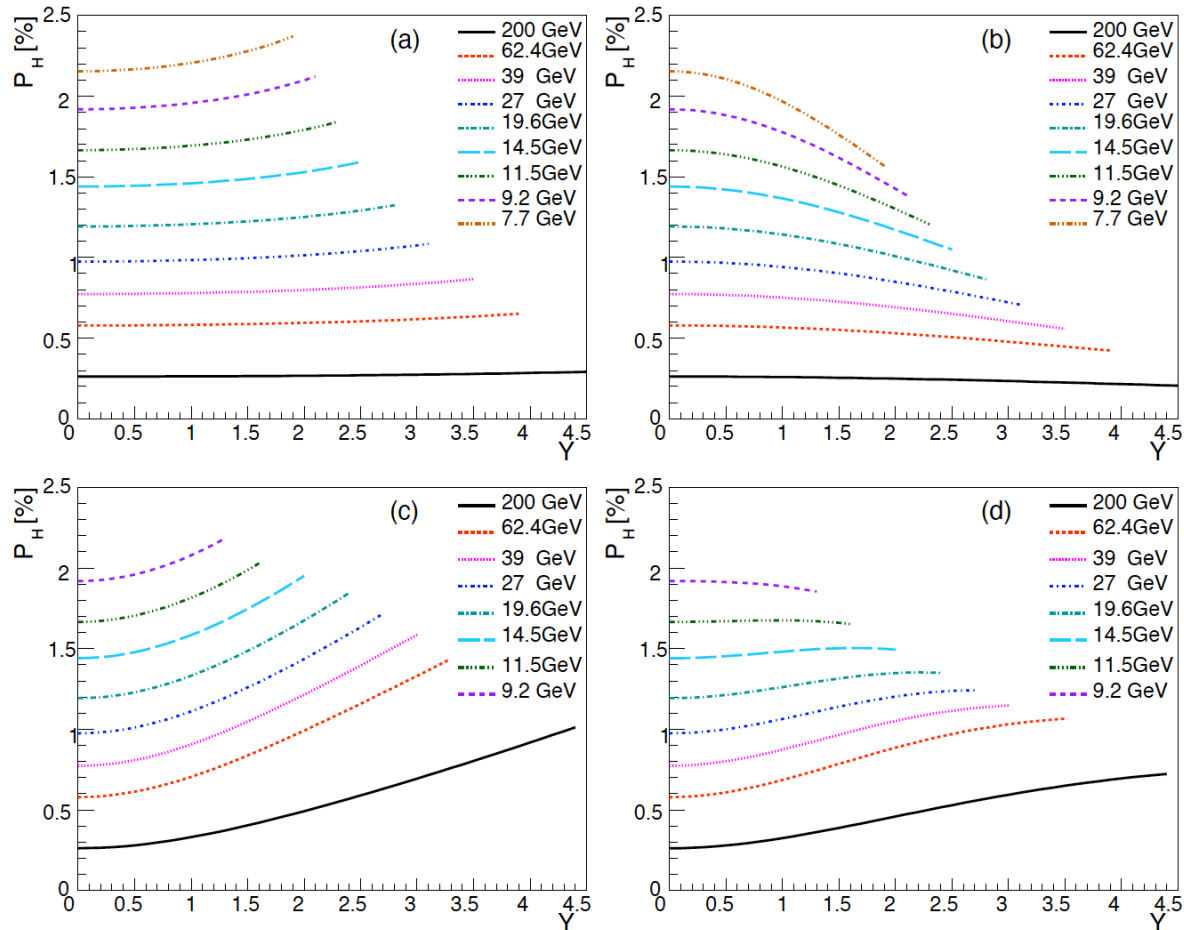
I.Karpenko, F. Becattini, EPJ(2017)77.213  
 Y. Xie, D. Wang, L. P. Csernai, PRC95, 031901(R) (2017)

- Stronger shear flow in forward/backward regions+ baryon stopping with limited acceptance (also related to unknown rapidity dependence)
- Longer lifetime of system may dilute the polarization



# Rapidity dependence of global polarization

- Zuo-Tang Liang, Jun Song, Isaac Upsal, Qun Wang and Zhang-Bu Xu, *Chin. Phys.C* 45 (2021), 014102

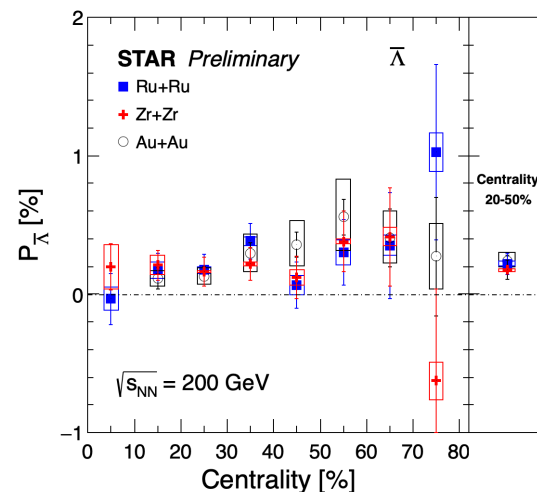
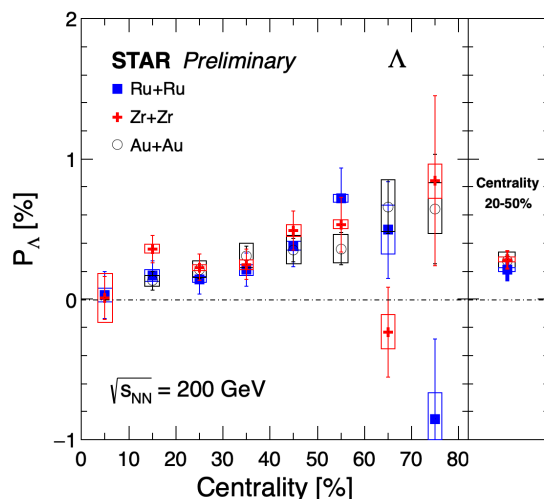
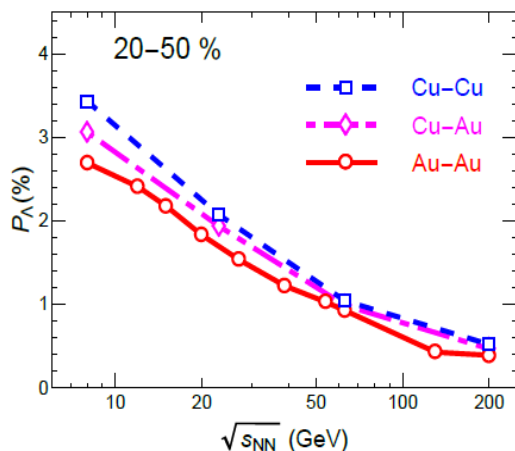




# Global polarization in Ru+Ru and Zr+Zr collisions at 200 GeV

- Global polarization difference from different magnetic field in Zr+Zr and Ru+Ru?
- System size dependence of global polarization?

Shuzhe Shi, Kangle Li, Jinfeng Liao  
PLB 788(2019) 409413



- Significant global polarization observed,  $P_{\Lambda}$  and  $P_{\bar{\Lambda}}$  increase with centrality.
- No significant difference between  $P_{\Lambda}$  and  $P_{\bar{\Lambda}}$  in Ru+Ru and Zr+Zr collisions.
- Global polarization of  $\Lambda + \bar{\Lambda}$  are consistent between Ru+Ru/Zr+Zr and Au+Au collisions within uncertainties.

# $\Xi$ and $\Omega$ global polarization in 200 GeV Au+Au

- Two possible ways of measurement:

- 1) Direct measurement via weak decay, but subject to small decay parameters.

hyperon	decay mode	$\alpha_H$	magnetic moment $\mu_H$	spin
$\Lambda$ (uds)	$\Lambda \rightarrow p\pi^-$ (BR: 63.9%)	0.732	-0.613	1/2
$\Xi^-$ (dss)	$\Xi^- \rightarrow \Lambda\pi^-$ (BR: 99.9%)	-0.401	-0.6507	1/2
$\Omega^-$ (sss)	$\Omega^- \rightarrow \Lambda K^-$ (BR: 67.8%)	0.0157	-2.02	3/2

- 2) Through the polarization transfer to

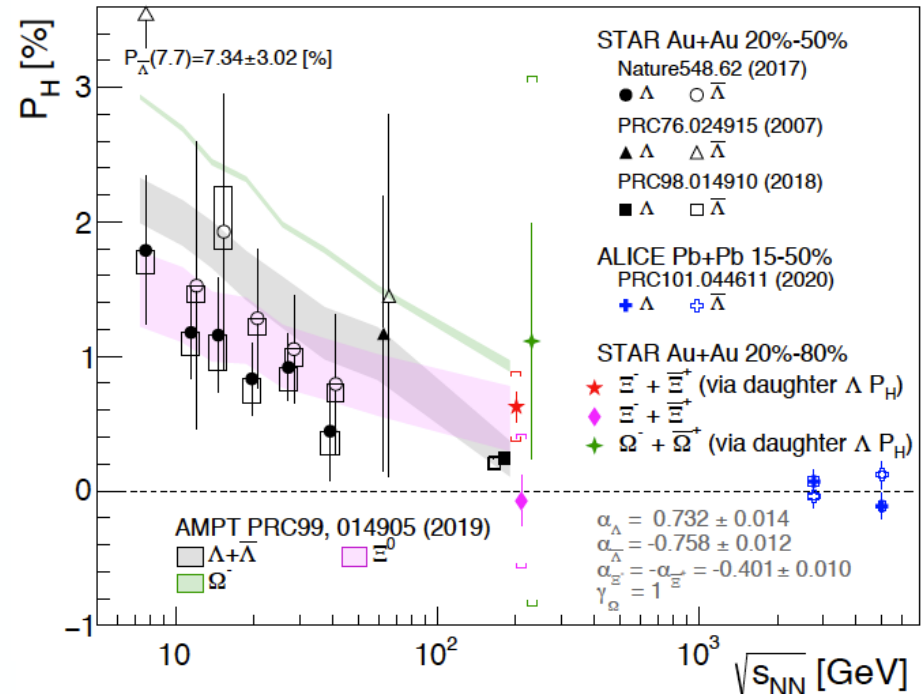
$$P_{\Lambda}^* = C_{\Xi-\Lambda} P_{\Xi}^* = \frac{1}{3} (1 + 2\gamma_{\Xi}) P_{\Xi}^*. \quad C_{\Xi-\Lambda} = +0.944$$

$$P_{\Lambda}^* = C_{\Omega-\Lambda} P_{\Omega}^* = \frac{1}{5} (1 + 4\gamma_{\Omega}) P_{\Omega}^*.$$

$-\gamma_{\Omega}$  is not known, with estimation  $\sim 1$ ,  $C \sim 1$

- STAR report different  $\Xi$  polarization with these two methods?

STAR, PRL126, 162301 (2021)



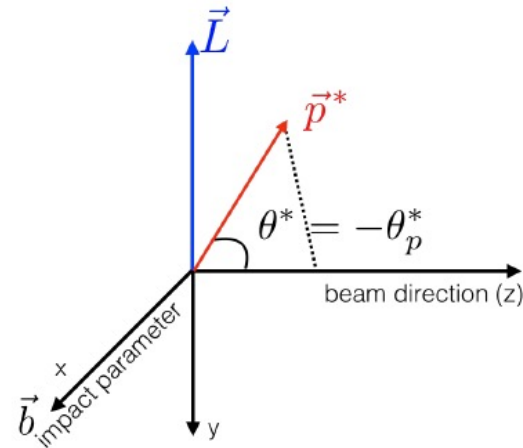
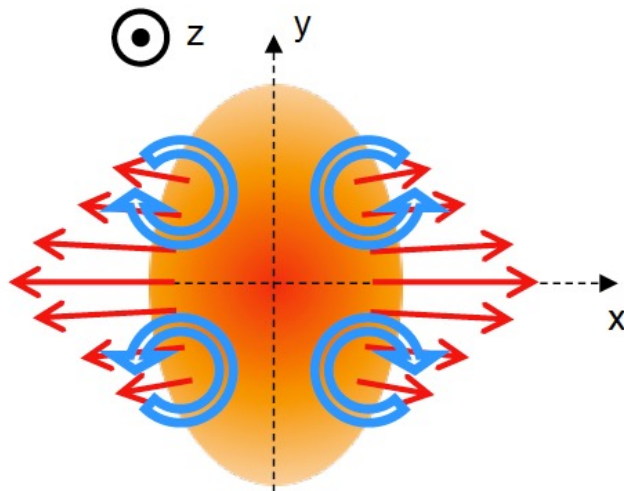
- AMPT and hydro calculations capture the trend:

*D.-X. Wei, W.-T. Deng, and X.-G. Huang, PRC99.014905 (2019)*

# Polarization along the beam direction

## “Local polarization”

F. Becattini and I. Karpenko, PRL120.012302 (2018)  
S. Voloshin, SQM2017



- Stronger flow in in-plane than in out-of-plane, known as elliptic flow, makes local vorticity (thus polarization) along beam axis.

$$\frac{dN}{d\Omega^*} = \frac{1}{4\pi} (1 + \alpha_H \mathbf{P}_H \cdot \mathbf{P}_p^*)$$

$$\langle \cos \theta_p^* \rangle = \int \frac{dN}{d\Omega^*} \cos \theta_p^* d\Omega^*$$

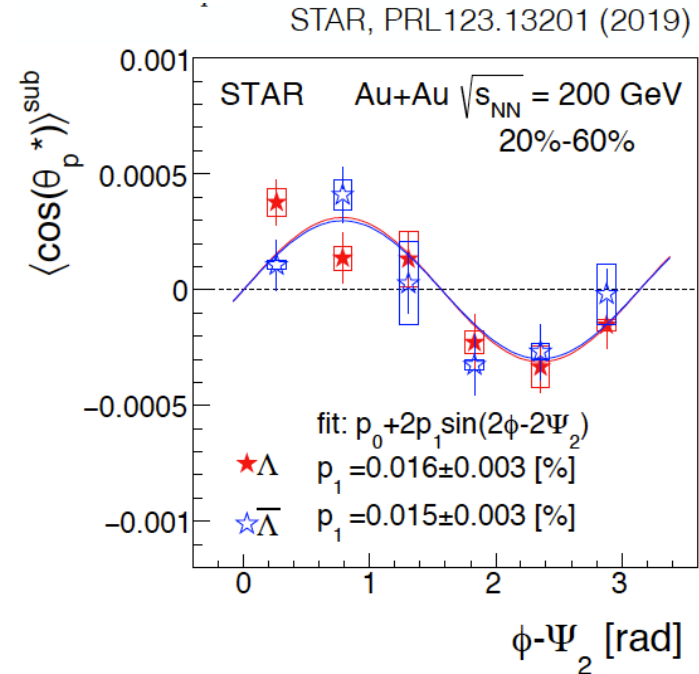
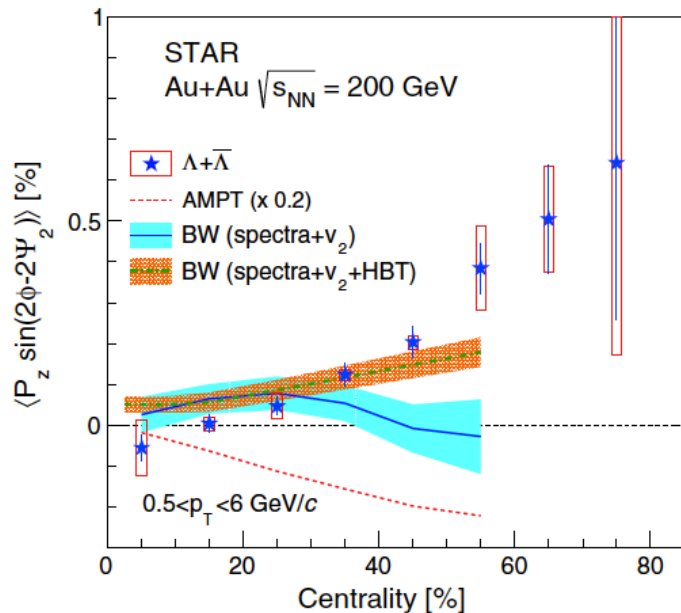
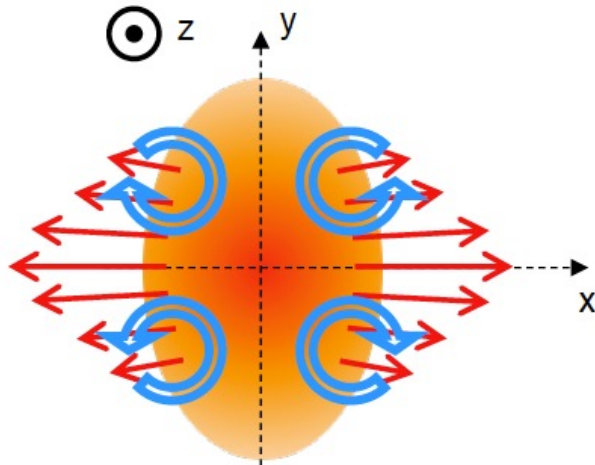
$$= \alpha_H P_z \langle (\cos \theta_p^*)^2 \rangle$$

$$\therefore P_z = \frac{\langle \cos \theta_p^* \rangle}{\alpha_H \langle (\cos \theta_p^*)^2 \rangle}$$

$$= \frac{3 \langle \cos \theta_p^* \rangle}{\alpha_H} \quad (\text{if perfect detector})$$

# Polarization along the beam direction

“Local polarization”

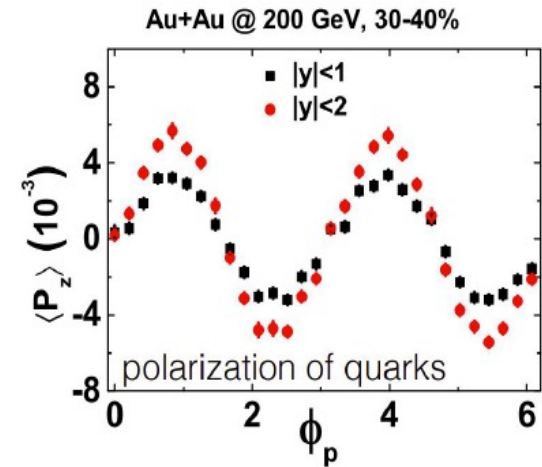
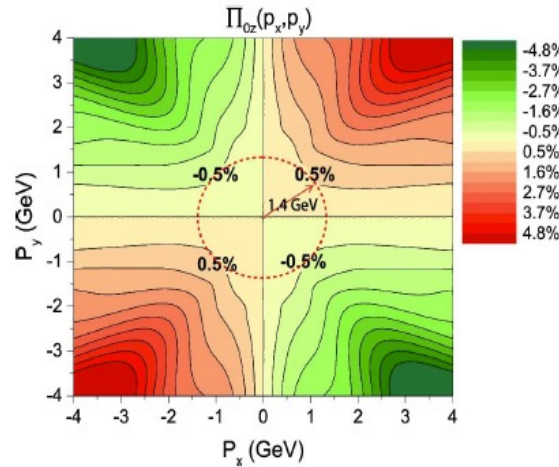


- Polarization along the beam direction expected from the “elliptic flow”
- STAR data indeed show such a longitudinal polarization  $P_z$  depending on azimuthal angle (sine function)
- BW model captures the trend with correct sign, while many others do not.

# $P_z$ : sign problem

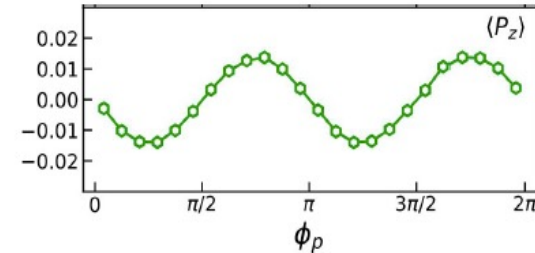
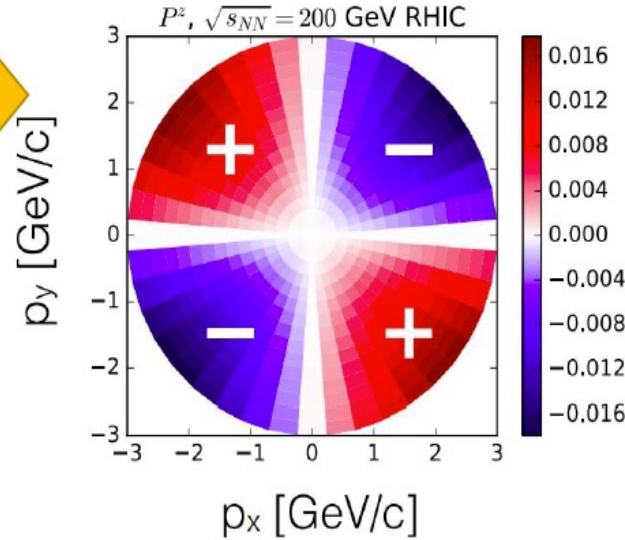
• Some model studies predicted this behavior with the correct sign

- (3+1)D PICR hydro.: Y. Xie, *et. al.*, EPJ C 80, 39 (2020)
- Chiral kinetic: Y. Sun, *et. al.*, PRC 99, 011903(R) (2019)



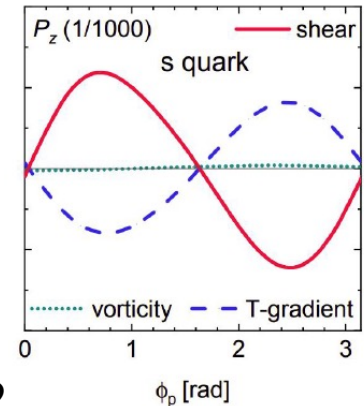
• Others predicted the incorrect sign

- UrQMD+hydro: F. Becattini, *et. al.*, PRL.120.012302 (2018)
- AMPT: X. Xia, *et. al.*, PRC98.024905 (2018)



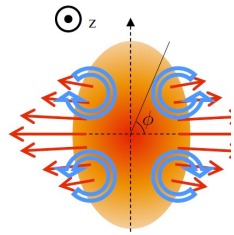
• Recent considerations of a shear term may resolve the sign discrepancies

- B. Fu et al., PRL 127 (2021) 14, 142301
- F. Becattini et al., PRL 127, 272302 (2021)



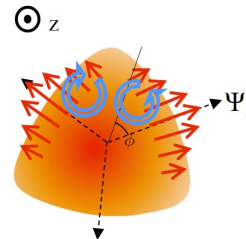
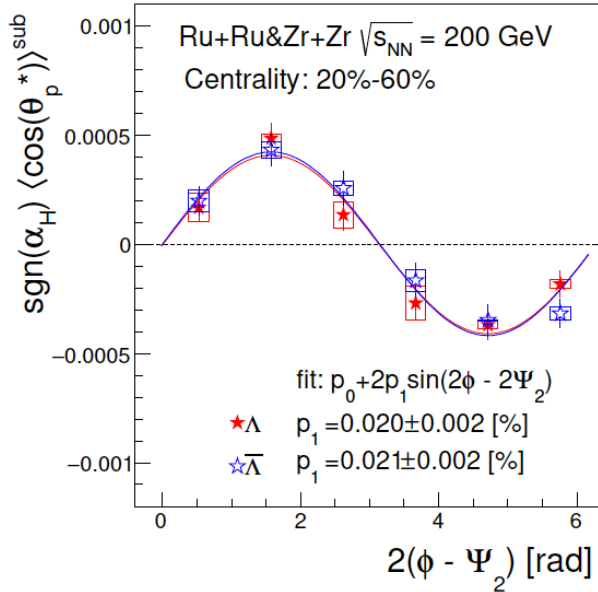
-J. Adams, QM 2022

# Local polarization in isobar collisions

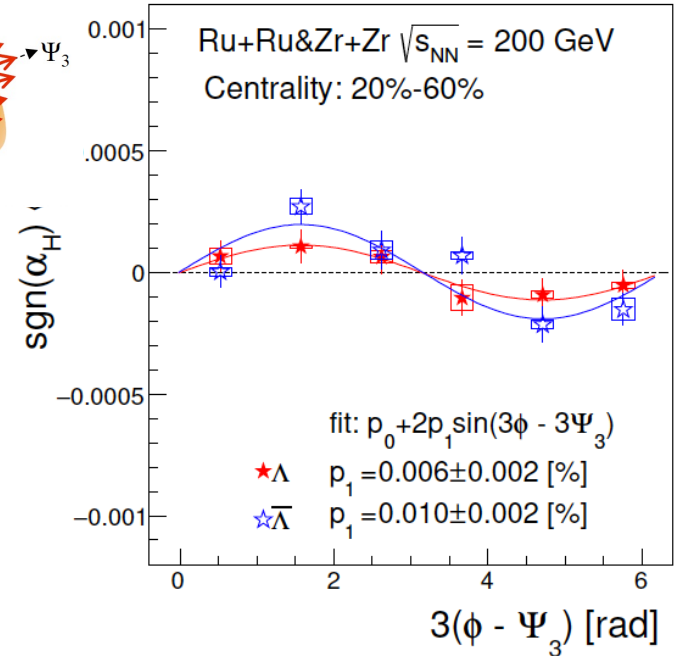


STAR, arXiv:2303.09074

$$P_{z,2} \propto \langle \cos\theta_p^* \rangle$$

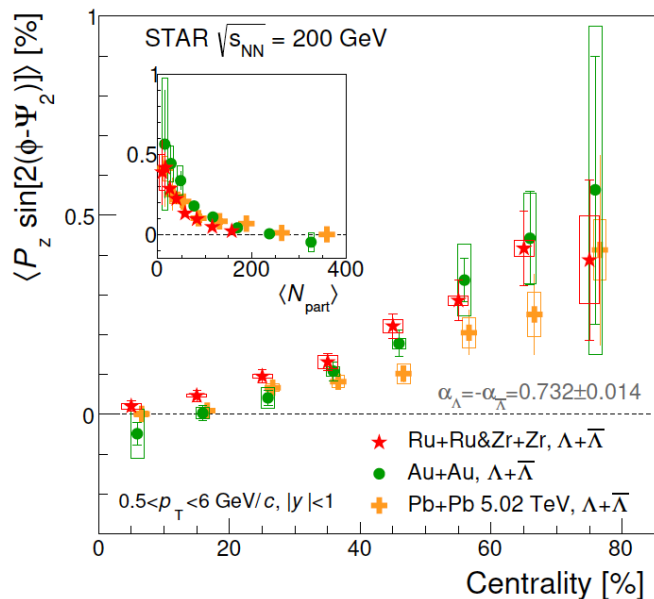
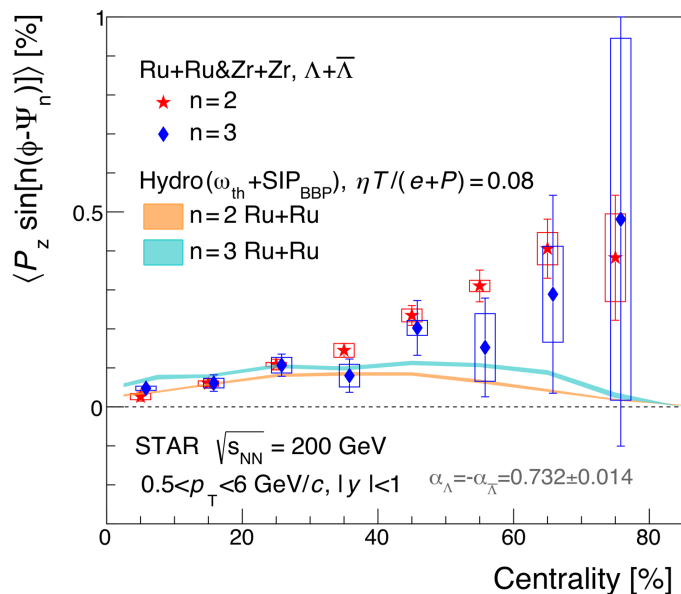


$$P_{z,3} \propto \langle \cos\theta_p^* \rangle$$



- Polarization along the beam direction expected from the “elliptic flow”
- STAR data indeed show such a longitudinal polarization depending on azimuthal angle (sine function)
- First measurement relative to the 3<sup>rd</sup>-order event plane  $\Psi_3$ 
  - Similar pattern to the 2<sup>nd</sup>-order, indicating  $v_3$ -driven polarization

# Centrality dependence of $P_{z,n}$



- Comparable 2<sup>nd</sup> and 3<sup>rd</sup> order sine coefficients of  $P_{z,n}$ , especially in most central events
- Hydrodynamic models with shear term reasonably describes the data for central collisions, but not for peripheral, Additional constraint on shear viscosity
- $P(z,n)$  from Isobar data comparable to Au+Au and Pb+Pb
  - ✓ A hint of system size dependence rather than energy dependence

STAR, arXiv:2303.09074, to appear in PRL

# Spin alignment of vector meson

- Spin density matrix of a vector meson:  $\rho = \begin{pmatrix} \rho_{11} & \rho_{10} & \rho_{1-1} \\ \rho_{01} & \rho_{00} & \rho_{0-1} \\ \rho_{-11} & \rho_{-10} & \rho_{-1-1} \end{pmatrix}$

$$(\rho = \sum_i P_i |i\rangle\langle i|)$$

- $\rho_{mm}$ : the diagonal element of spin density matrix of  $V$ .
- $\rho_{11}$ : the probability to be in  $h=1$  state, similar for  $\rho_{-1-1}$  and  $\rho_{00}$ .
- For  $V \rightarrow M_1 + M_2$ ,  $M_1$  and  $M_2$  are two pseudo-scalar mesons,

$$\mathbf{W}(\cos\theta)^* = \frac{3}{4} [(1 - \rho_{00}) + (3\rho_{00} - 1) \cos^2 \theta]^*$$

“Spin alignment”, J.F. Donoghue, PRD19, 1979.

$\Theta^*$ : angle between decay  $M$  and the spin quantization axis in **rest frame** of  $V$



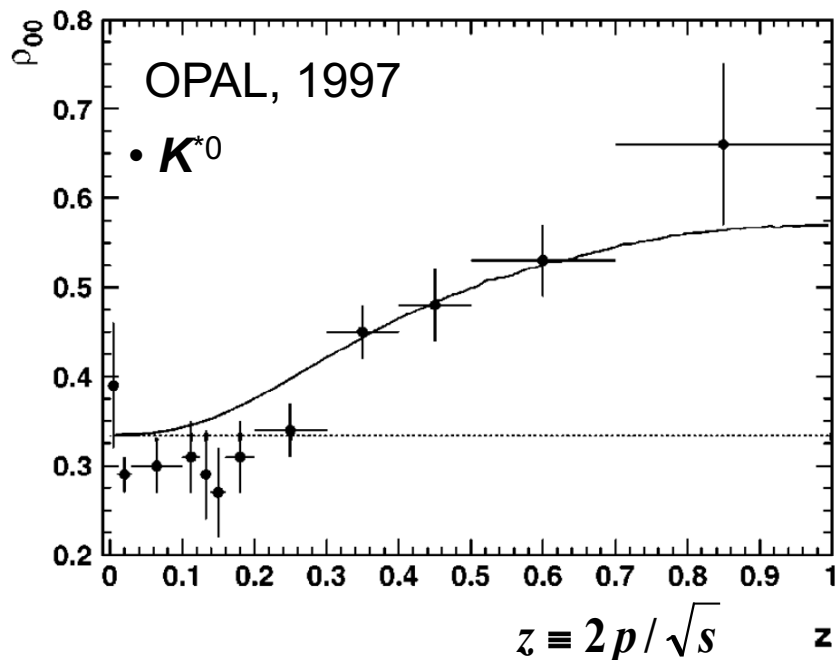
# Spin alignment of vector meson in $e^+e^-$

- Polarization of V:

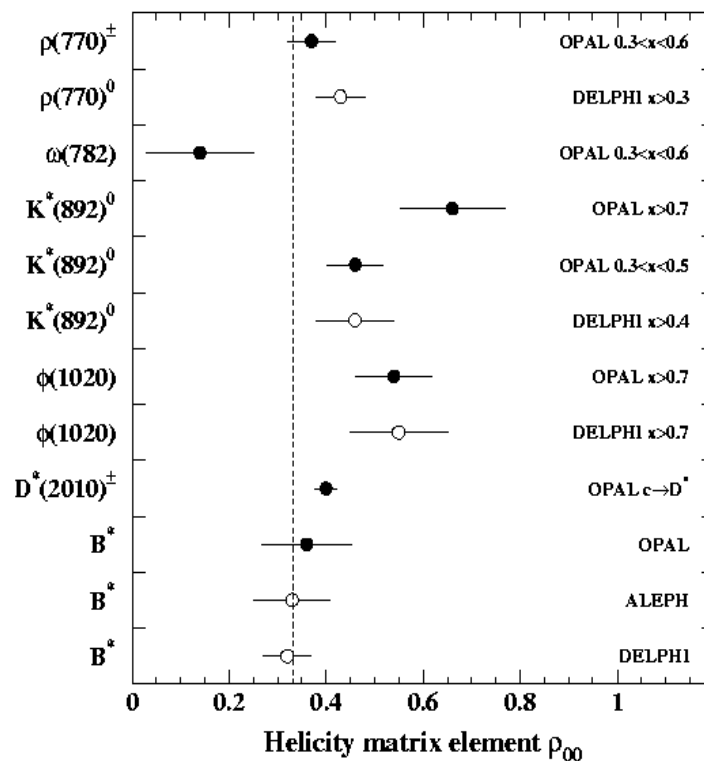
$$W(\cos\theta) = \frac{3}{4} [(1 - \rho_{00}) + (3\rho_{00} - 1) \cos^2 \theta^*]$$

- The data at LEP:

$\rho_{00}$ : Prob. of being in  $h=0$  state.



Q. Xu, Z. Liang, PRD63(2001)111301



➤ Spin alignment exists in fragmentation of a longitudinally polarized quark

# Global spin alignment in heavy ion

- Spin alignment of  $\phi$ ,  $K^*$  meson in Au+Au collision at STAR:

Yield of  $\phi$ ,  $K^*$  is corrected for efficiency and acceptance using STAR embedding simulations, then fitted with decay angle distribution:

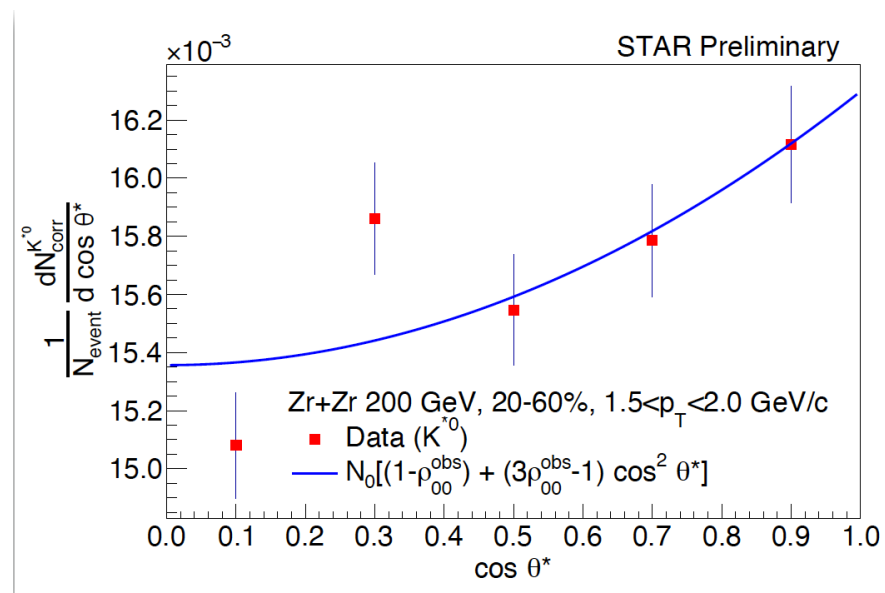
- Observed  $\rho_{00}^{obs}$  is calculated from fitting the yield with function:

$$\frac{dN}{d(\cos\theta^*)} = N_0 \times [(1 - \rho_{00}^{obs}) + (3\rho_{00}^{obs} - 1) \cos^2\theta^*]$$

- Observed  $\rho_{00}^{obs}$  is corrected for TPC event plane resolution ( $R$ )

$$\rho_{00} - \frac{1}{3} = \frac{4}{1 + 3R} \left( \rho_{00}^{obs} - \frac{1}{3} \right)$$

Tang et. al., Phys. Rev. C 98, 044907 (2018)

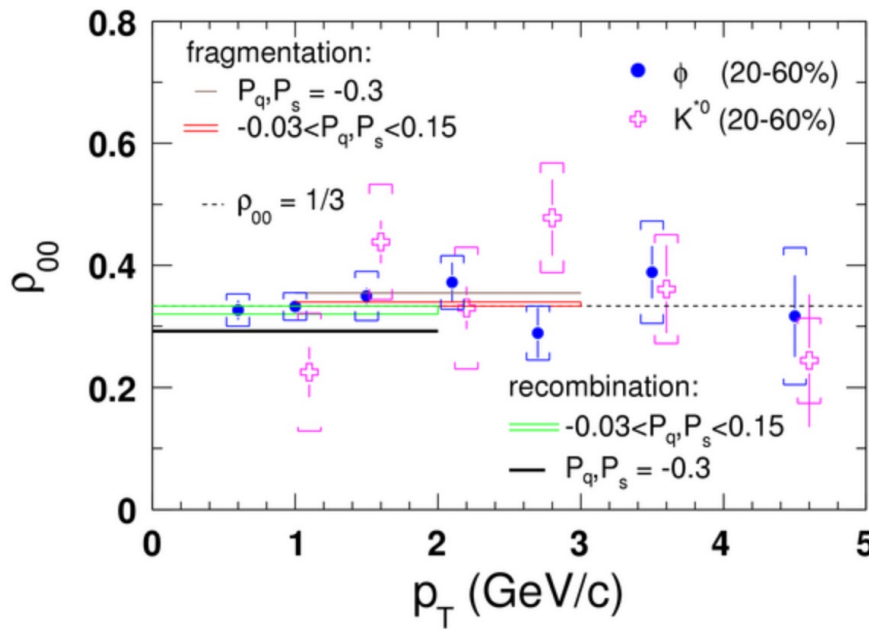


# 1<sup>st</sup> STAR paper on spin alignment

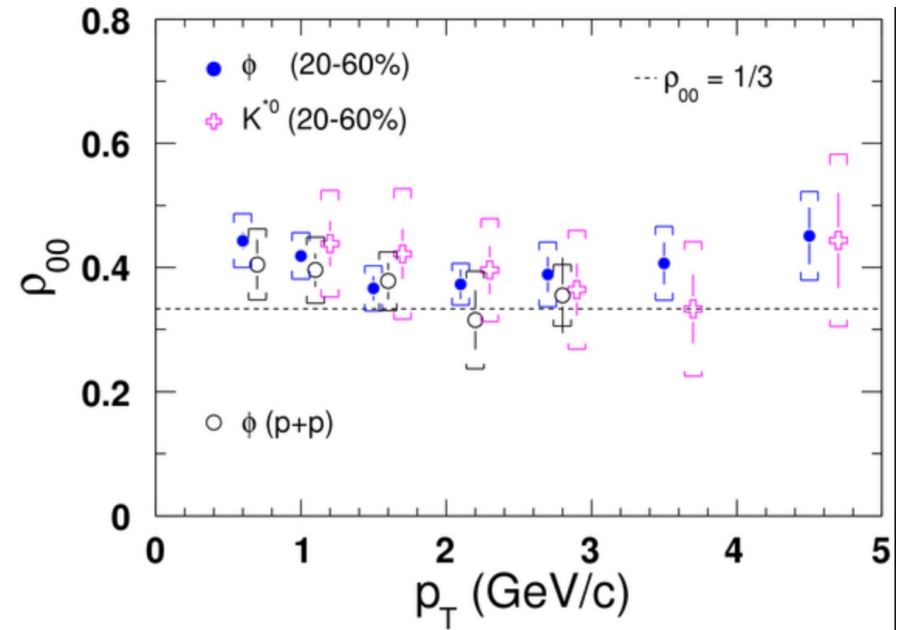
Spin alignment measurements of the  $K^{*0}(892)$  and  $\phi(1020)$  vector mesons in heavy ion collisions at  $\sqrt{s_{NN}} = 200$  GeV

B. I. Abelev *et al.* (STAR Collaboration)  
Phys. Rev. C **77**, 061902(R) – Published 12 June 2008

J.H. Chen, Z.B. Tang *et al.*



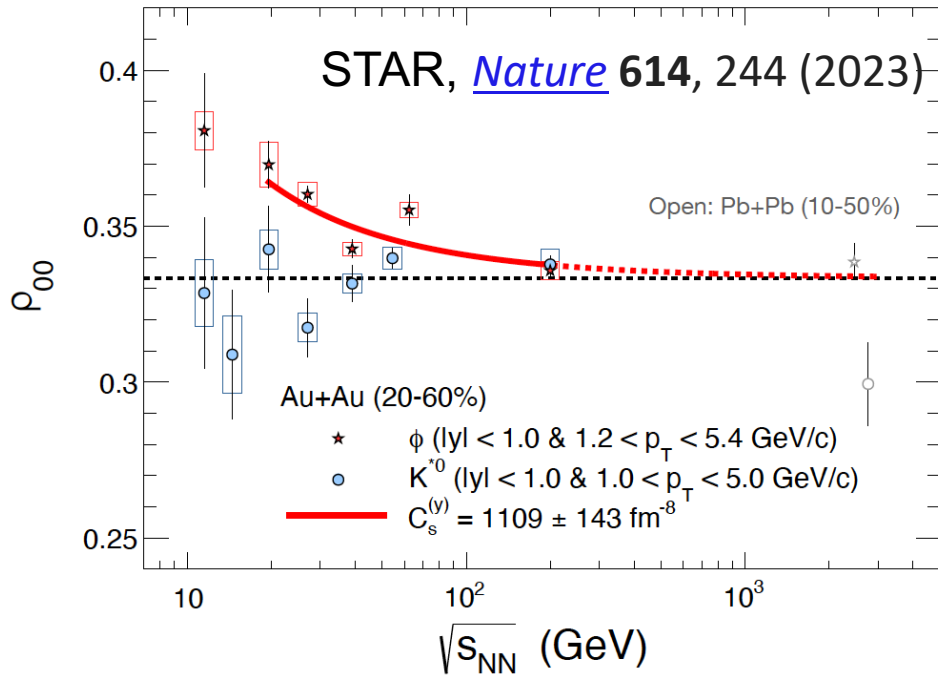
w.r.t. reaction plane



w.r.t. production plane

# Global spin alignment in heavy ion

- Vector mesons'  $\rho_{00}$  from Au+Au at STAR BES-I:



## Theoretical expectation for $\rho_{00}$

Vorticity	
recombination	$\rho_{00} < 1/3$
fragmentation	$\rho_{00} > 1/3$
Magnetic field	$\rho_{00} > 1/3$ (for neutral vector mesons)

Z. Liang, X.N. Wang, *Phys. Lett. B* 629, (2005)

- Polarization by a strong force field of vector meson: presently only known mechanism that can produce large deviation for  $\phi$  spin alignment:

X. Sheng, L. Oliva, and Q. Wang, PRD101.096005(2020)

X. Sheng, Q.Wang, and X. Wang, PRD102.056013 (2020)

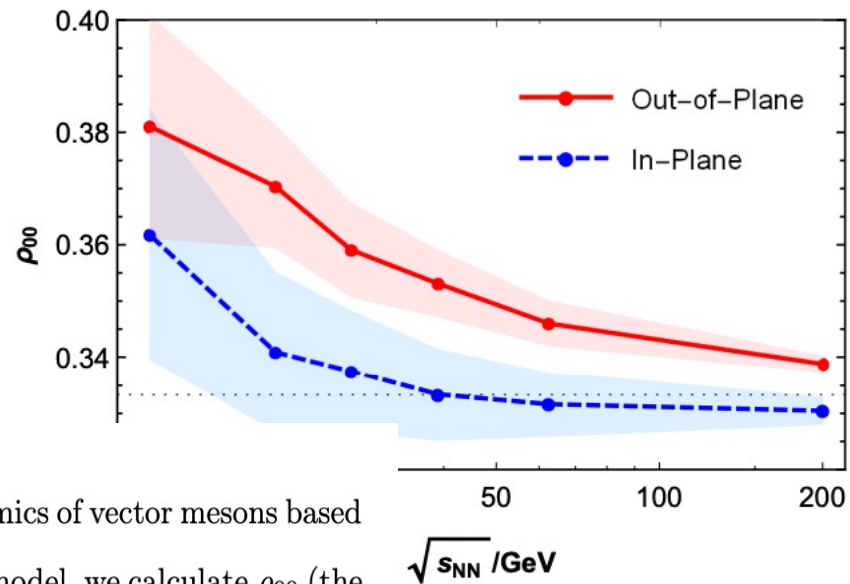
# Spin alignment of vector mesons in heavy-ion collisions

Xin-Li Sheng,<sup>1</sup> Lucia Oliva,<sup>2,3</sup> Zuo-Tang Liang,<sup>4</sup> Qun Wang,<sup>5</sup> and Xin-Nian Wang<sup>6</sup>

[arXiv:2205.15689](https://arxiv.org/abs/2205.15689), PRL131, 042304

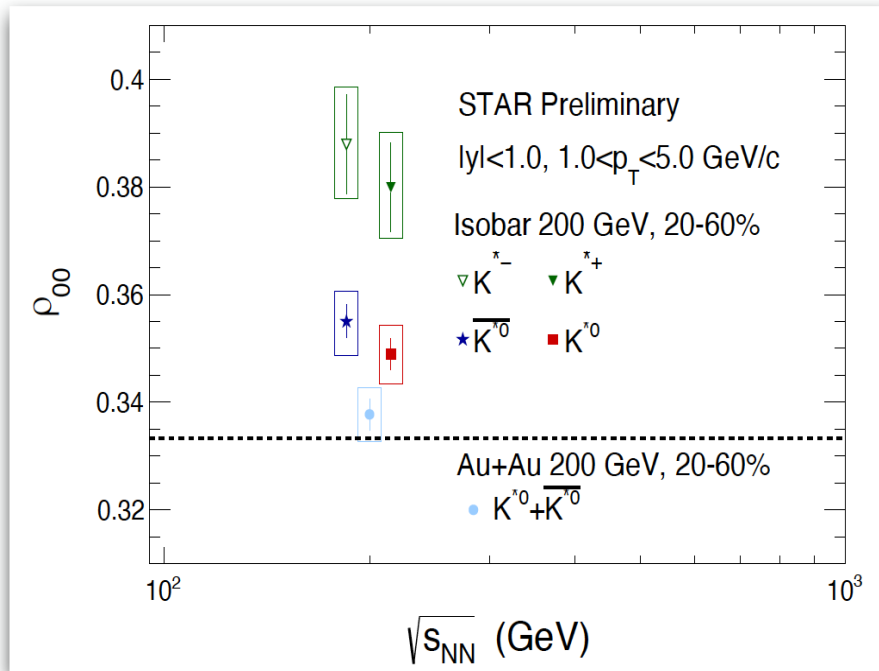
## Abstract

We present a relativistic spin Boltzmann equation (SBE) for spin dynamics of vector mesons based on Kadanoff-Baym equations. Using SBE and an effective quark-meson model, we calculate  $\rho_{00}$  (the 00-element of the spin density matrix) for  $\phi$  mesons formed by the coalescence of  $s$  and  $\bar{s}$  quarks which are assumed to be polarized by the vorticity and  $\phi$  fields. We show that the contributions to  $\rho_{00}$  from the vorticity and  $\phi$  fields all appear as local correlation between strong force fields of the same kinds and same components. This indicates that fluctuations of strong force fields play an important role in  $\rho_{00}$ , which can be formulated and extracted in relativistic quantum transport theory. Our results on the colliding energy, transverse momentum and centrality dependence of  $\rho_{00}$  are in good agreement with recent STAR data for  $\phi$  mesons.





# $K^* \rho_{00}$ from Isobar collisions



- $K^{*+/-}$  : First measurement of global  $\rho_{00}$
- $K^{*0}$  vs.  $K^{*+/-}$  :  $\sim 2.5\sigma$  difference
- Ordering opposite to the expectation from **B** field Yang, et. al., Phys Rev C 97, 034917 (2018)
- Effects from vector meson strong force field?

• Need inputs from theory to understand this behavior

Spin alignment becomes a new hot topic in heavy ion physics!

# Global spin alignment of $J/\psi$

- 矢量介子不同产生机制:

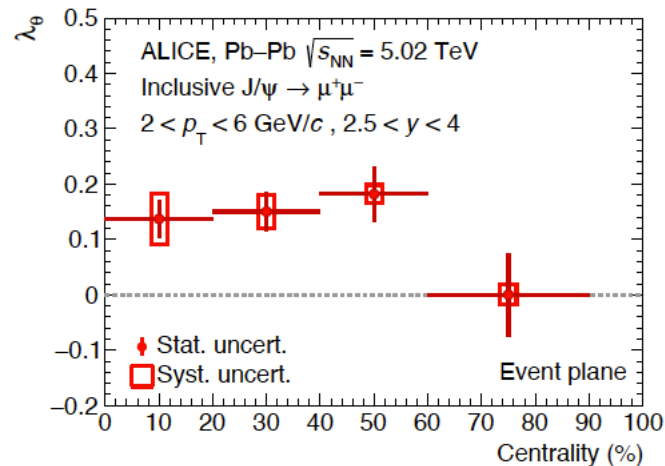
$J/\psi$  介子

再产生效应 — QGP中 — 能量依赖性  
硬散射产生 — 碰撞早期 — 强磁场

$\phi$  介子

再产生效应 — QGP中

ALICE, PRL 131 (2023) 042303



- $J/\psi$  自旋排列实验抽取方法: 碰撞系统角动量方向作为z轴方向

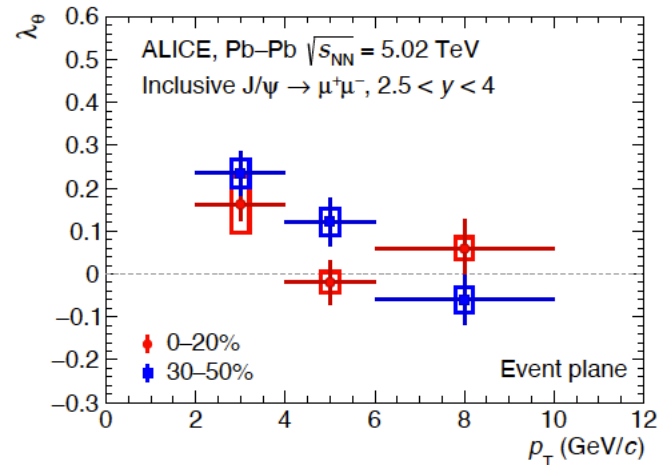
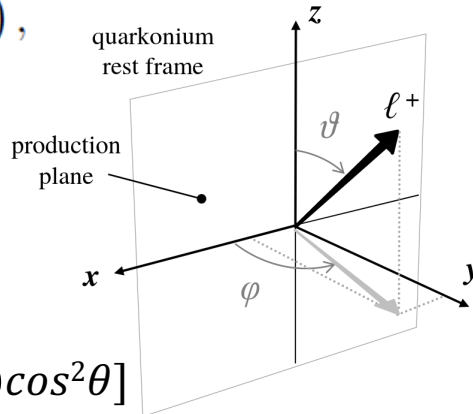
Decay channel:  $J/\psi \rightarrow e^+e^-$

$$W(\theta) \propto \frac{1}{3 + \lambda_\theta} (1 + \lambda_\theta \cos^2 \theta),$$

$$\lambda_\theta = (1 - 3\rho_{00}) / (1 + \rho_{00})$$

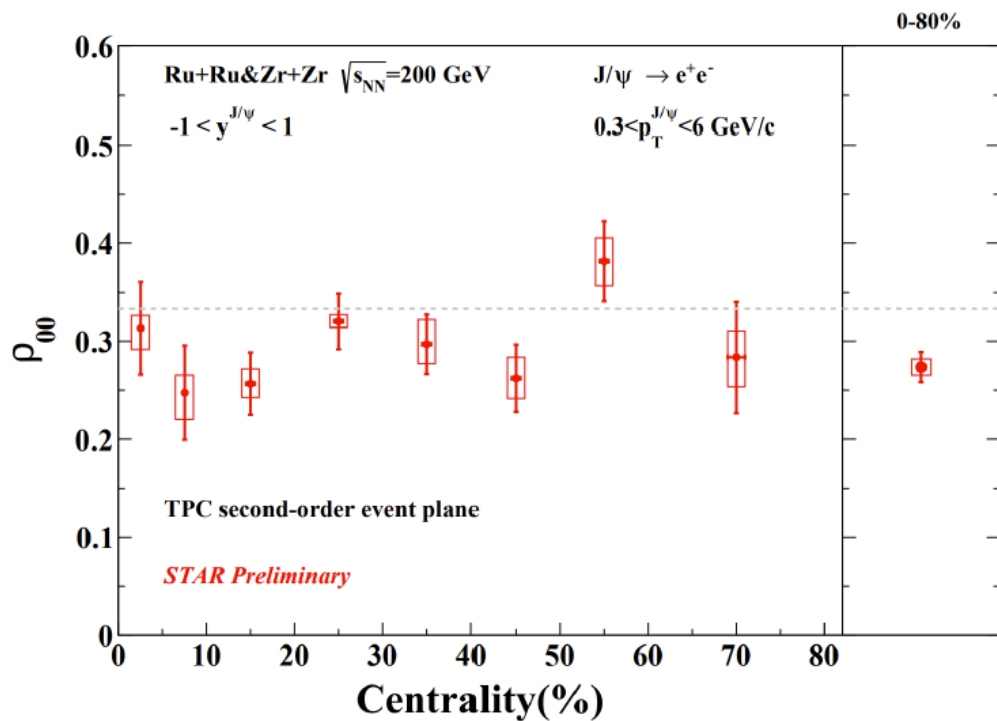
- $\lambda_\theta = 1$ : transverse polarization
- $\lambda_\theta = -1$ : longitudinal polarization
- $\lambda_\theta = 0$ : no polarization

$$W(\theta) \propto [(1 + \rho_{00}) + (1 - 3\rho_{00})\cos^2\theta]$$



# J/ψ global spin alignment in iso-bar at STAR

\*New results just released in SPIN2023



- First measurement of  $\rho_{00}$  using second-order event plane at RHIC
- $\rho_{00}$  lower than 1/3 with a **significance of  $3.5 \sigma$**  for  $p_T$  from 0.3 to 6 GeV/c and 0-80% centrality
- No significant centrality dependence within uncertainty.

09/26/2023

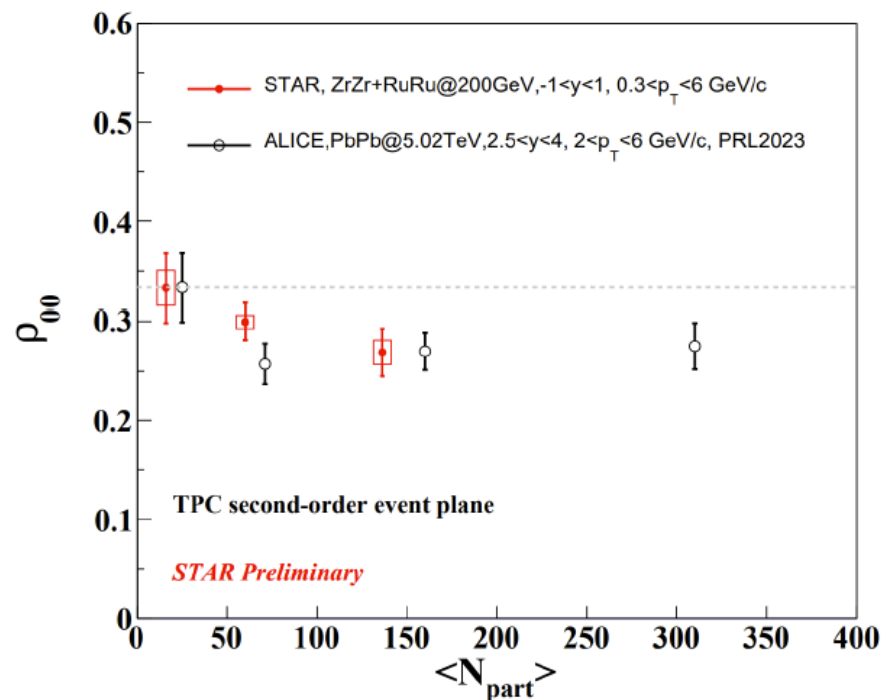
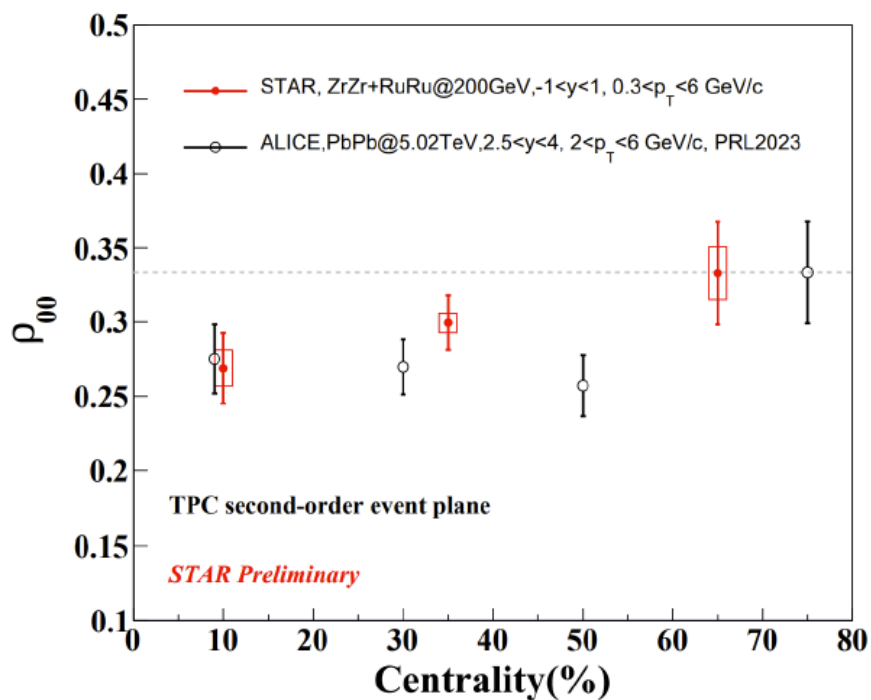
Dandan Shen @ SPIN 2023

18



# J/ψ global spin alignment in iso-bar at STAR

\*New results just released in SPIN2023



➤ The  $\rho_{00}$  at RHIC energy is comparable to LHC results, despite of very different collision energy, systems and rapidity

# Outline for today's topic

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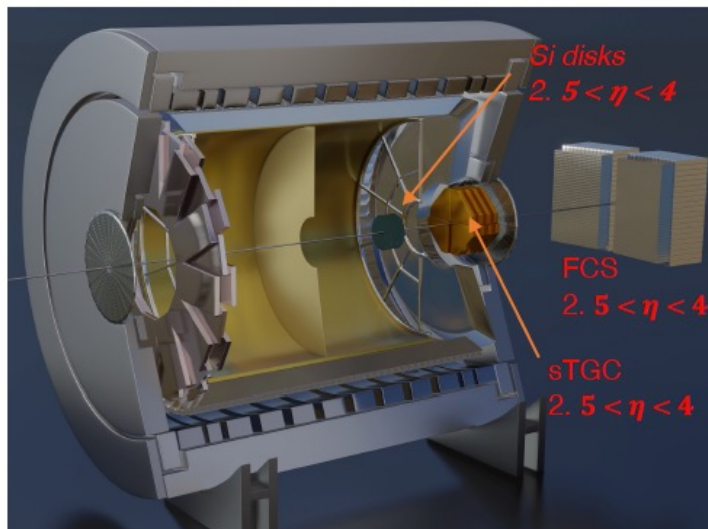
- Introduction to SPIN & nucleon spin structure
- Recent spin highlights in pp collisions from RHIC:
  - ✓ Gluon polarization (Jet,  $\pi^0$  production): gluon polarization  $\Delta g$
  - ✓ Quark/Anti-quark polarization (W/Z production): sea quark  $\Delta q$
  - ✓ Transverse spin asymmetry (W/Z production): Sivers function
  - ✓ Transverse spin asymmetry (Hadron production): Collins
- Global polarization in heavy ion collisions
  - ✓ Hyperon global polarization
  - ✓ Spin alignment of vector meson
- Future plans for spin physics in 2024+ at RHIC/EIC/EicC

# RHIC pp running until 2025

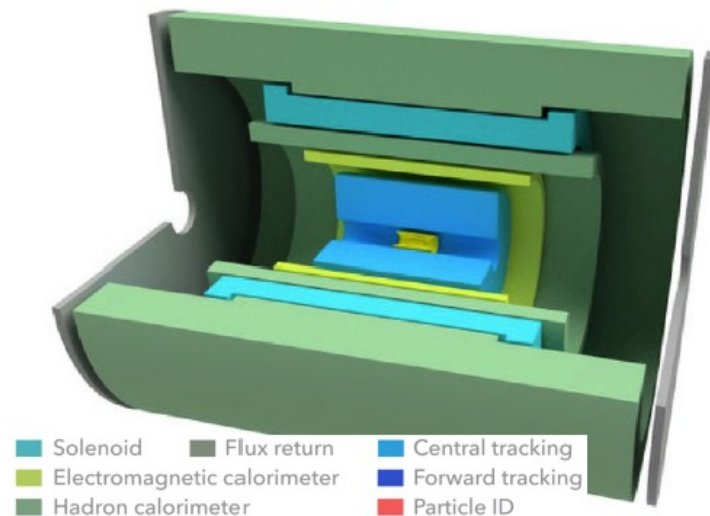
- Successful STAR run in 2022 with forward upgrade
- One more transverse spin run in 2024 before EIC, unique physics opportunities in pp and pA before EIC

$\sqrt{s}$ (GeV)	Species	Luminosity	Year
510	$p^\uparrow + p^\uparrow$	$400 \text{ pb}^{-1}$	2022
200	$p^\uparrow + p^\uparrow$	$235 \text{ pb}^{-1}$	2024
200	$p^\uparrow + \text{Au}$	$1.3 \text{ pb}^{-1}$	2024

STAR w/ forward upgrade



sPHENIX



# Electron-Ion Collider (EIC)

- **EIC Project Design Goals:**

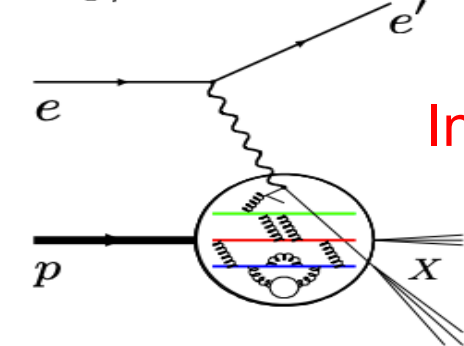
- ✓ **High Luminosity:**  $L = 10^{33} - 10^{34}$   $\text{cm}^{-2}\text{s}^{-1}$ ,  $10 \sim 100 \text{ fb}^{-1}/\text{year}$
- ✓ **Highly Polarized Beams:** 70%
- ✓ **Large Center of Mass Energy Range:**  $E_{\text{cm}} = 20 - 140 \text{ GeV}$
- ✓ **Large Ion Species Range:** protons – Uranium
- ✓ Large Detector Acceptance
- ✓ Accommodate a Second Interaction Region (IR)



- EIC will be built at Brookhaven National Laboratory in  **$\sim 2030+$**

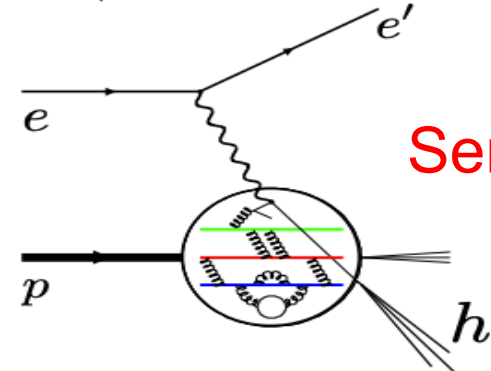
# Electron-Ion Collider (EIC)

$$e + p/A \rightarrow e' + X$$



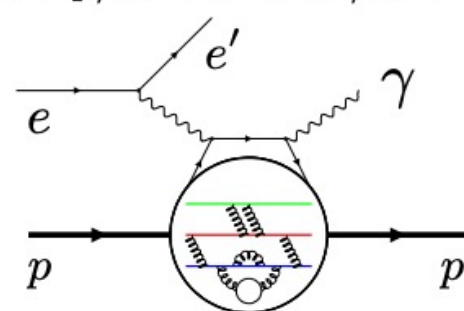
Inclusive DIS

$$e + p/A \rightarrow e' + h + X$$



Semi-inclusive

$$e + p/A \rightarrow e' + N'/A' + \gamma$$



Exclusive

- EIC key physics:

“An EIC can uniquely address three profound questions about nucleons - neutrons and protons - and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?”

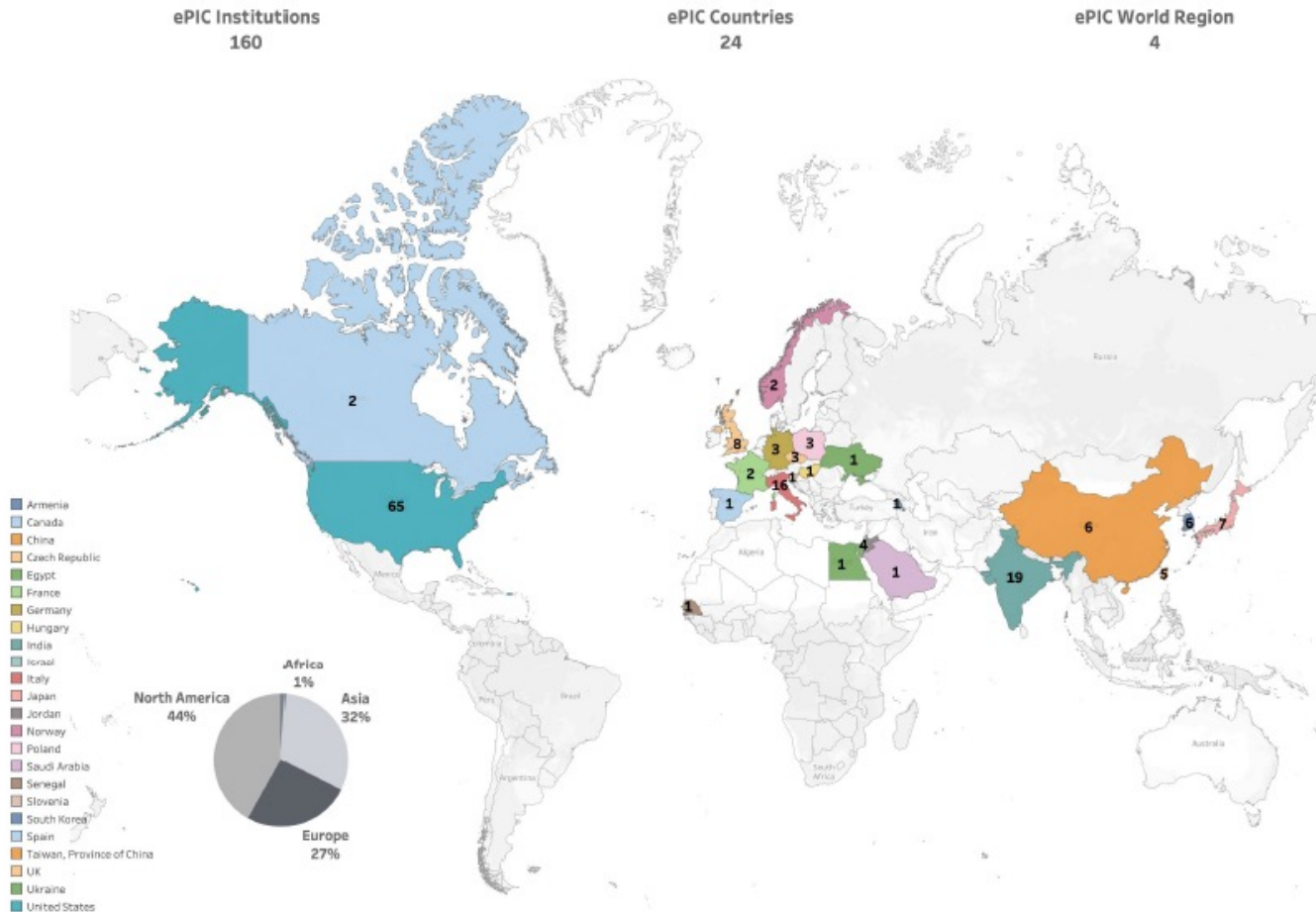
- U.S. National Academy of Science Report (2018)

# ePIC collaboration (electron-Proton/Ion Collider)

## World Map - Institutions



ePIC - A global pursuit for a new EIC experiment at IP6 at BNL



CCNU,  
Fudan,  
SCNU,  
Shandong  
Tsinghua  
USTC

# Electron-ion collider China(EicC)

## • 中国的电子-离子对撞机计划EicC

- ✓ 电子能量2.8~5GeV
- ✓ 质子/核能量~20GeV
- ✓ 中等能区、高亮度
- ✓ 与美国EIC物理互补
- ✓ 中英文白皮书已发布
- ✓ 基于兰州所HIAF装置(惠州, ~2030)

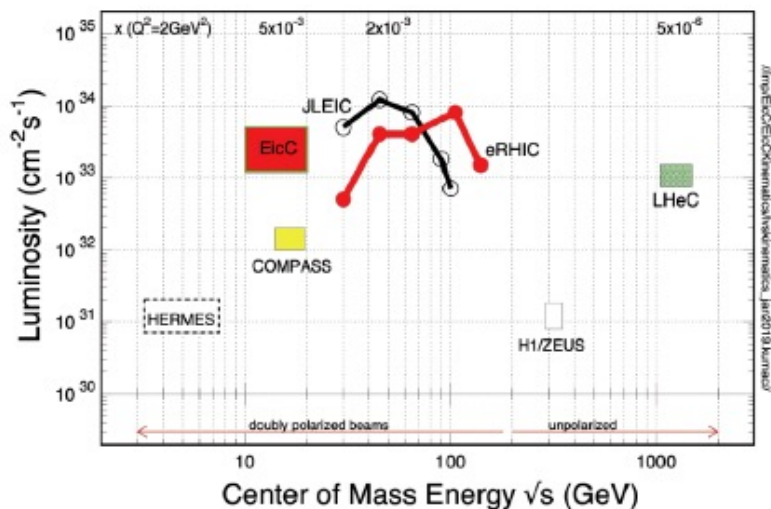
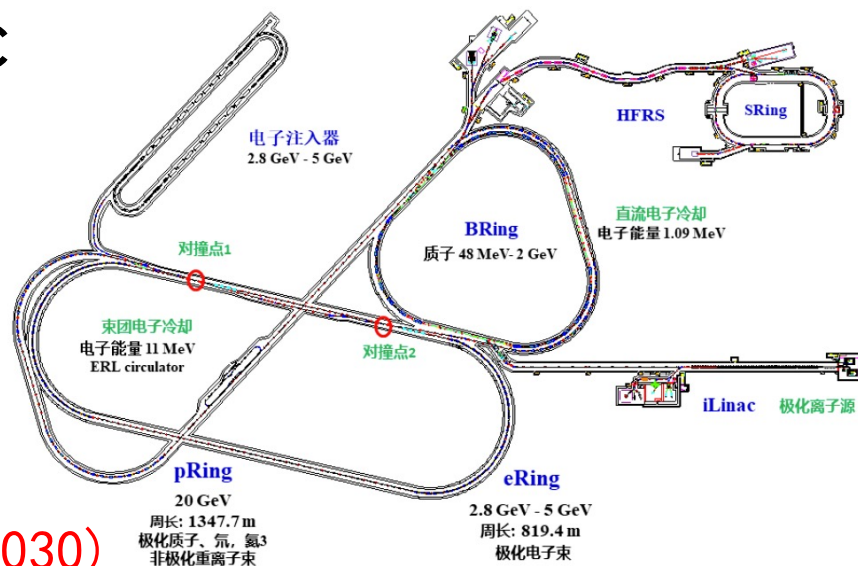
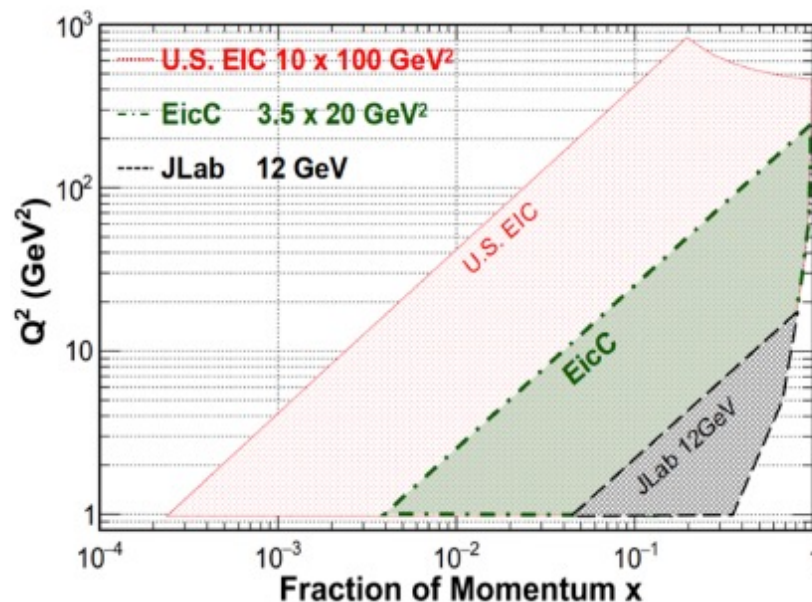


图 1.4: 国际上电子离子装置 (包括拟建) 亮度和质心系能量比较。



# Electron-ion collider China(EicC)

- EicC中英文白皮书分别于2020/2021年发布

第43卷 第2期  
2020年2月

核 技 术  
NUCLEAR TECHNIQUES

Vol.43, No.2  
February 2020

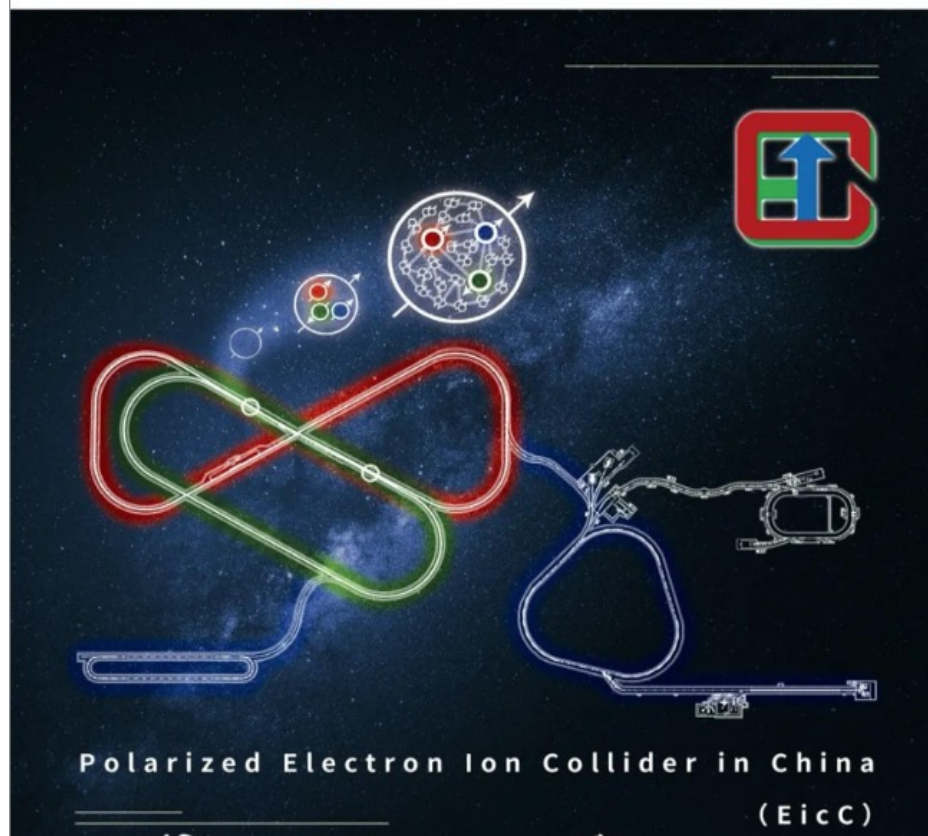
## 中国极化电子离子对撞机计划

### 2 EicC 重要物理

- 2.1 核子的一维纵向结构 .....
- 2.2 核子结构三维成像 .....
- 2.2.1 横动量部分子分布函数研究 .....
- 2.2.2 广义部分子分布函数研究 .....
- 2.3 核介质效应 .....
- 2.3.1 原子核内部的夸克胶子分布 .....
- 2.3.2 EMC 效应和核子短程关联 .....
- 2.3.3 原子核介质中的部分子能量损失与强子化 .....
- 2.4 强子和奇特强子态 .....
- 2.5 其它重要探索研究 .....
- 2.5.1 质子质量 .....
- 2.5.2  $\pi$  介子的非极化结构函数 .....
- 2.5.3 核子内禀重夸克 .....
- 2.6 格点 QCD 和 Dyson - Schwinger 方程 .....
- 2.6.1 格点 QCD .....
- 2.6.2 Dyson-Schwinger 方程 .....

# Frontiers of Physics

ISSN 2095-0462  
Volume 16 • Number 6  
December 2021





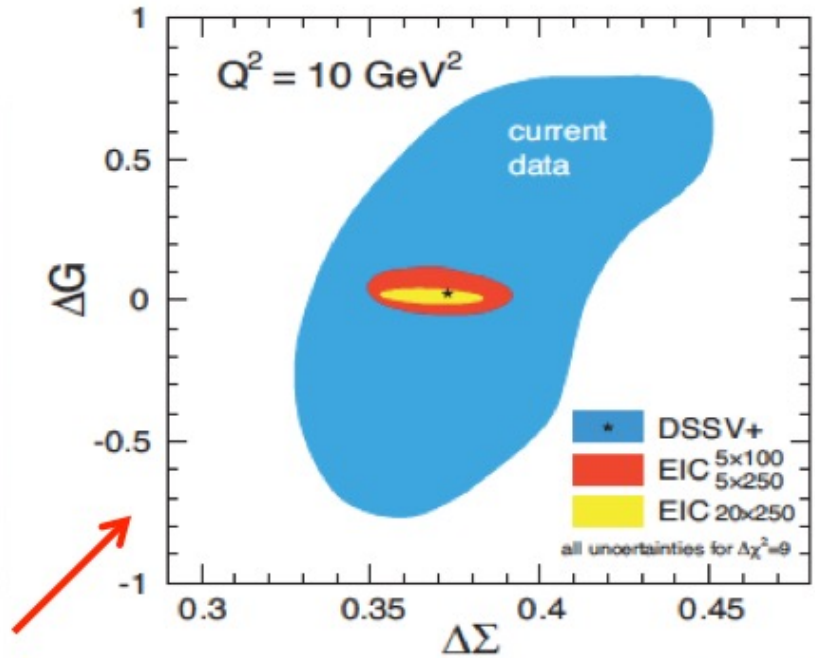
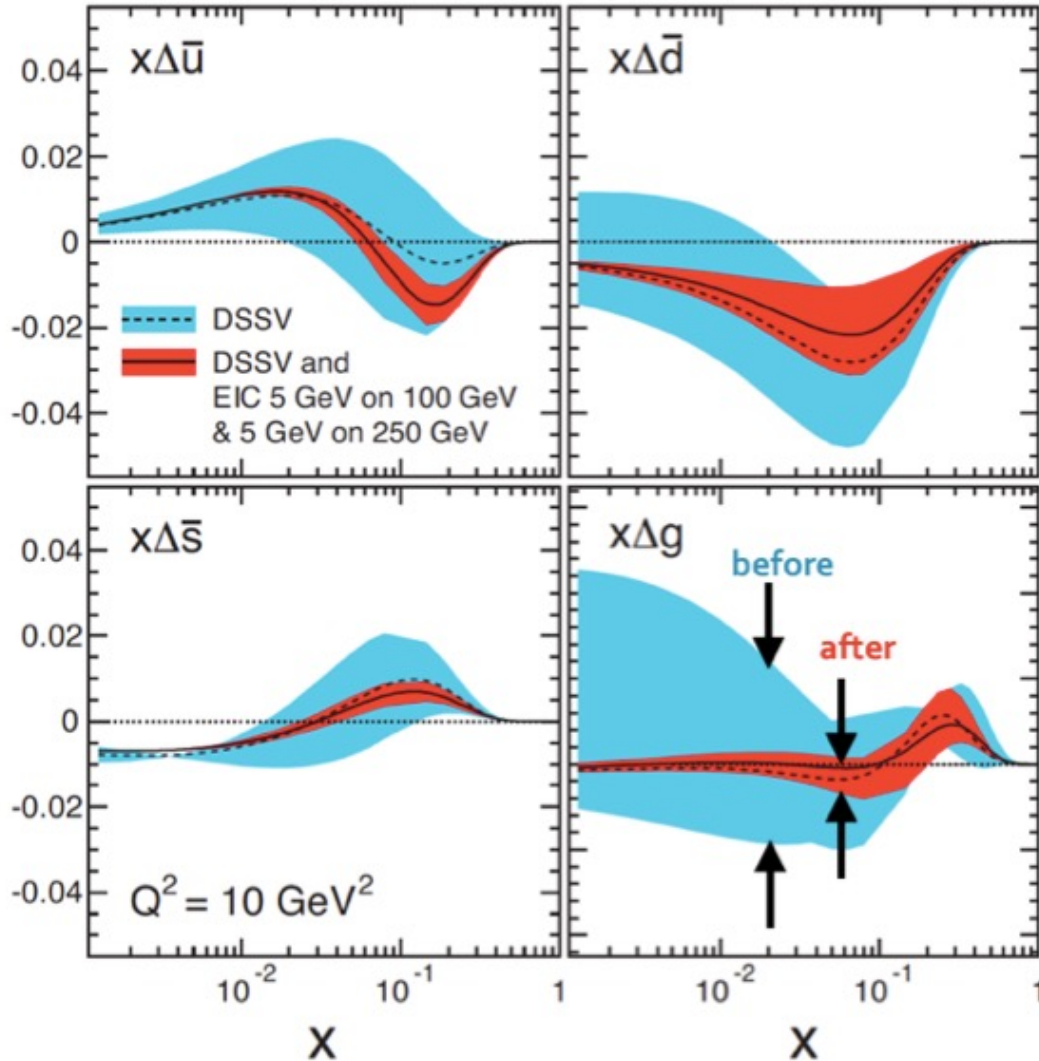
# Summary

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- ❑ Origin of proton spin remains a fundamental question in QCD.
  - Observation of positive gluon polarization from RHIC
  - Unique probe of sea quark polarization via W production
  
- ❑ Transverse spin physics at RHIC:
  - $A_N$  for W,Z at STAR: Sivers sign change
  - Results on Collins asymmetries & hyperon spin transfer provide window for transversity distribution of nucleon.
  
- ❑ Global polarization in heavy-ion collisions opened a new direction to study the QGP properties!
  
- ❑ Future RHIC spin in 2024<sup>+</sup> & EIC/EicC in 2030+
  - Unique physics opportunities in pp and pA, essential to fully realize the scientific promise of the EIC.
  - A ultimate QCD machine for proton structure: EIC/EicC !

# Future on proton spin - eRHIC

EIC: arXiv:1212.1701



EicC: 中国极化电子离子对撞机

# EIC participation from China-mainland

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- **Oct 2020, 8 institutions in China-mainland submitted EOI to EIC, with main detector interests on calorimetry and tracking**
  - **Participation in Yellow Report from Chinese institutions (2020~2021)**
    - ✓ Authors from 14 Chinese institutions involved in YR writing including both theorists and experimentalist, Bowen Xiao served as co-convener of semi-inclusive working group
  - **Chinese groups actively participated in EIC detector proposals (2021)**
    - ✓ 8 institutions joined **ATHENA** proposal, Qinghua Xu served as co-convener of inclusive working group, with detector interest on EMCal etc.
    - ✓ 6 institutions joined **ECCE** proposal, Wangmei Zha served as co-convener of jets and heavy flavor working group, with detector interest on silicon tracker, MPGD etc.
  - **After DPAP decision on EIC detector proposal ~March 2022, 6 Chinese universities remain with EIC detector 1, i.e., **ePIC experiment**.** Wangmei Zha serves as co-convener of jets and heavy flavor working group.
    - ✓ Central China Normal University (CCNU), Fudan University, Shandong University (SDU), South China Normal University (SCNU), Tsinghua University (THU), University of Science and Technology of China (USTC)
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