

# Rigorous Roy equations meet precise lattice data: $\pi\pi$ scattering at unphysical pion masses

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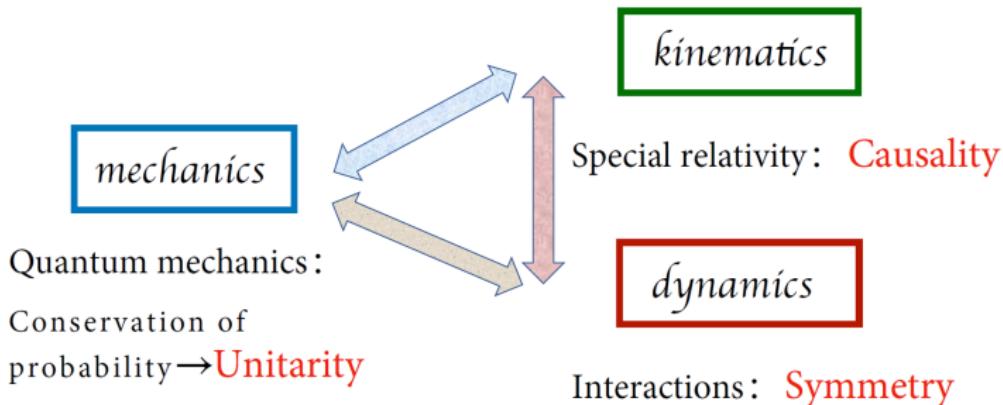


## 第八届手征有效场论研讨会

河南, 开封

# S-matrix theory

- ▶ 1943-1946: Heisenberg proposed the S-matrix theory as an alternative to field theory



- ▶ Avoid references to specific Hamiltonians and equations of motion
- ▶ It was primarily developed by G. Chew, S. Frautschi, S. Mandelstam, V. Gribov, and T. Regge...  
E.g. Regge theory, Veneziano model  $\implies$  String theory
- ▶ Dispersion relation (DR) + Optical theorem: retain analyticity and unitarity
- ★ **The pinnacle of the DRs are Roy and Roy-Steiner eqs. in the field of the hadron phenomenology**

# A brief review of Roy & Roy-Steiner equations

- In 1971, Roy developed an exact integral equation based on axiomatic field theory [Roy, PLB (1971)]. Subsequently, Basdevant et al. and Pennington et al. realized the importance of Roy eq. and applied it to  $\pi\pi$  data [Basdevant, et al., Nuovo Cim., PLB (1972), NPB (1973), Pennington and Protopopescu PRD (1973)]
- In 1973, Hite and Steiner analyzed previous dispersive approaches and proposed a new integral equations (Roy-Steiner eqs.), which can be applied to unequal mass scatterings such as  $\pi N \rightarrow \pi N$ , etc. [Hite and Steiner, Nuovo Cim. (1973)]



Shasanka Mohan Roy Frank Steiner

## ★ The last two decades are marked by a renaissance in Roy and Roy-Steiner equations caused by the development of $\chi$ PT

- ▶ Leutwyler et al. reanalyzed  $\pi\pi$  Roy eq. using new data with chiral constrains [Colangelo, et al., NPB (2001), Ananthanarayan, et. al., Phys. Rept. (2001)] and demonstrate the existence of  $\sigma/f_0(500)$ —the lowest-lying resonance in QCD [Caprini, et al., PRL (2006)]
- ▶ Moussallam et al. analyzed  $\pi K$  low energy partial waves (PWs) using RS eq. [Buettiker, et al., EPJC (2004)] and found  $\kappa/K_0^*(700)$  [Descotes-Genon, et al., EPJC (2006)]
- ▶ Hoferichter et al. given a RS eq. analyses of  $\pi N$  scattering [Ditsche, et al., JHEP (2012), Hoferichter, et al., Phys. Rept. (2016)], and applied it to extract  $\pi N \sigma$  term,  $\sigma_{\pi N} = (59.1 \pm 3.5) \text{ MeV}$  [Hoferichter, et al., PRL 115, 092301 (2015)] and  $\chi$ PT low energy constants [Hoferichter, et al., PRL 115, 192301 (2015)]; XHC, Z.-L. Qu and H.-Q. Zheng demonstrated the existence of  $N^*(920)$  [XHC, et al., JHEP (2022)]
- ▶ Other processes:  $\gamma\pi \rightarrow \pi\pi$  [Hannah, NPB (2001)],  $\gamma\gamma \rightarrow \pi\pi$  [Hoferichter, et al., EPJC (2011)] and  $\gamma^*\gamma^* \rightarrow \pi\pi$  [Hoferichter and Stoffer, JHEP (2019)], etc.; Theoretical improvements: (once-sub. DR) GKY eqs.; high energy,  $\pi\pi$  [Moussallam, EPJC (2011), Garcia-Martin, et al., PRL (2011), Caprini, et al., EPJC (2011)] and  $\pi K$  [Pelaez and Rodas, PRL (2020)]

## Roy equations

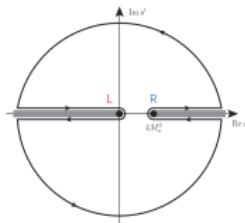
Roy eqs. = Analyticity (Causality) + Crossing symmetry + Unitarity + Froissart-Martin bound

- Fixed- $t$  (twice sub.) DR for  $\pi\pi$  scattering:

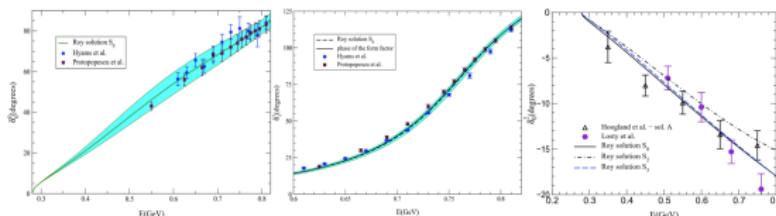
$$T(s, t, u) = \alpha(t) + s\beta(t) + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty ds' \frac{\text{Im}_s T(s', t, u')}{s'^2 (s' - s)} + \frac{s^2}{\pi} \int_{-\infty}^{-t} ds' \frac{\text{Im}_u T(s', t, u')}{s'^2 (s' - s)}$$

- ▶ Using crossing symmetry, (a) the contribution of the left-hand cuts are represented by the right-hand cuts; (b)  $\alpha(t), \beta(t)$  are expressed by  $S$  wave scattering lengths  $a_0^0, a_0^2$  and some DR integrals
  - ▶ Partial wave expansion  $\implies$  Roy equations,

$$\text{Re } t_J^I(s) = k_J^I(s) + \sum_{I'} \sum_{J'} \mathcal{P} \int_{4m_\pi^2}^\infty ds' \underbrace{K_{J,J'}^{II'}(s', s)}_{\frac{1}{\pi} \frac{\delta_{J,J'} \delta_{II'}}{s' - s - i\epsilon}} \text{Im } t_{J'}^{I'}(s')$$



[Colangelo, et al., NPB (2001), Ananthanarayan, et. al., Phys. Rept. (2001)]



- Phase shifts :  $t_{J,J}^I(s) = \frac{\eta(s)e^{2i\delta_{J,J}(s)} - 1}{2i\rho_{\pi\pi}(s)}$
  - Scattering lengths: "free" parameters in  $k_{J,J}^I(s)$

# Lehmann-Martin ellipse

## Jost-Lehmann-Dyson representation

A causal Green function satisfies a necessary and sufficient representation:

$$F(q) = \int d^4 u \int_0^\infty d\kappa^2 \epsilon(q_0 - u_0) \delta[(q-u)^2 - \kappa^2] \Phi(u, \kappa^2)$$

$\Phi(u, \kappa^2)$  is arbitrary but differs from 0 only in some domains [Jost and Lehmann \[1957\]](#) [Dyson \[1958\]](#).

- $F_R(q) = \frac{i}{2\pi} \int dq'_0 \frac{1}{q_0 - q'_0 + i\epsilon} F(q') \Big|_{q'=(q'_0, q)}$  “retarded” LSZ  $\implies -iT = \frac{1}{2\pi} \int d^4 u d\kappa^2 \frac{\Phi(u, \kappa^2, p, k)}{((k' - p')/2 - u)^2 - \kappa^2}$
- PW unitarity  $\left( \text{Im } T_\ell = \frac{2q}{\sqrt{s}} |T_\ell|^2 \right)$  + Analyticity of Legendre function  $\left( (T_\ell)^{1/\ell} < \frac{1}{z_0 + \sqrt{z_0^2 - 1}} \right)$

## Lehmann-Martin ellipse

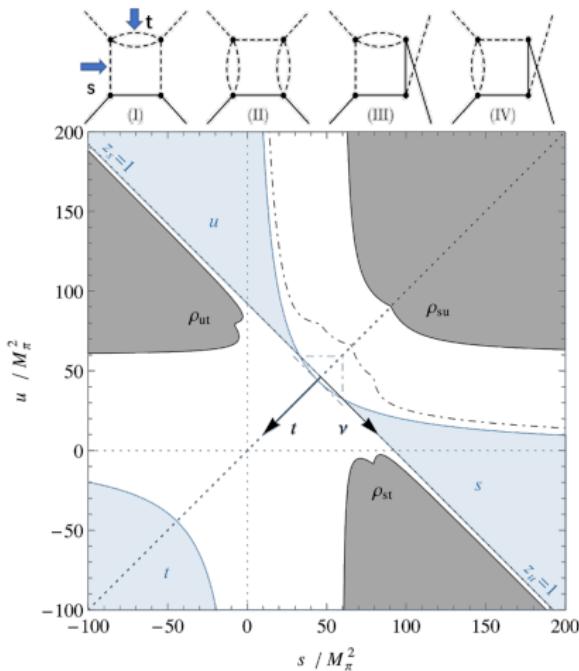
Any PWDR (or  $\text{Im } T_\ell$ ) can be shown to be valid in a finite region. The domain of validity relies on Lehmann-Martin ellipse [Lehmann \[1958\]](#).

- ★ Foci:  $z = \pm 1$ ; Semi-major axis:  $z_{\max} = 1 + \frac{2s}{\lambda_s} \mathcal{T}(s)$

# Mandelstam Analyticity

## Mandelstam Analyticity

The scattering amplitudes satisfy Mandelstam double spectral representation [Mandelstam \[1958, 1959\]](#).



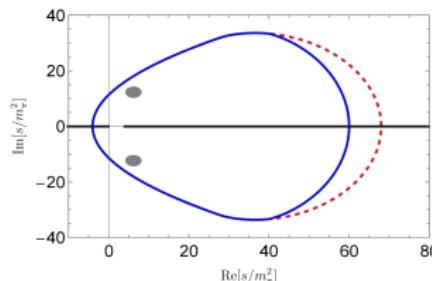
► Mandelstam double spectral representation:

$$\begin{aligned} T(s, t) = & \frac{1}{\pi^2} \iint ds' du' \frac{\rho_{su}(s', u')}{(s' - s)(u' - u)} \\ & + \frac{1}{\pi^2} \iint dt' du' \frac{\rho_{tu}(t', u')}{(t' - t)(u' - u)} \\ & + \frac{1}{\pi^2} \iint ds' dt' \frac{\rho_{st}(s', t')}{(s' - s)(t' - t)} \end{aligned}$$

- This concept can be justified in perturbation theory to all orders
- A rigorous proof from axiomatic field theory is absent

# Validity (Analyticity) Domain

**Lehmann-Martin ellipse + Mandelstam analyticity (or analyticity from axiomatic field theory)  $\implies$**   
 Validity domain of  $\pi\pi$  scattering

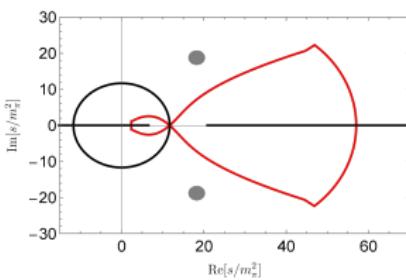


$$m_\sigma = 441_{-8}^{+16} \text{ MeV}$$

$$\Gamma_\sigma = 544_{-25}^{+18} \text{ MeV}$$

Caprini et al. [2006]

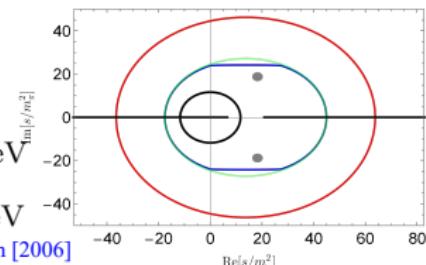
$\pi K$  scattering: Roy-Steiner eqs. and hyperbolic DR



$$m_\kappa = 658 \pm 13 \text{ MeV}$$

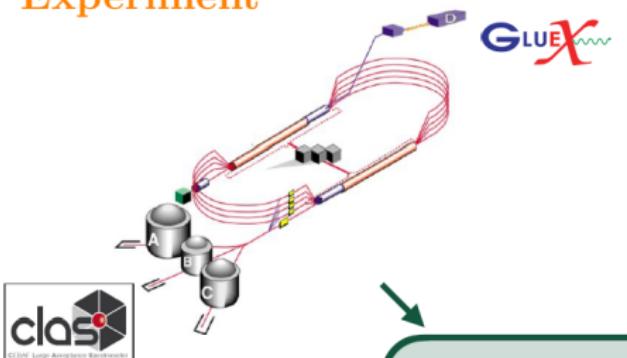
$$\Gamma_\kappa = 557 \pm 24 \text{ MeV}$$

Descotes-Genon and Moussallam [2006]



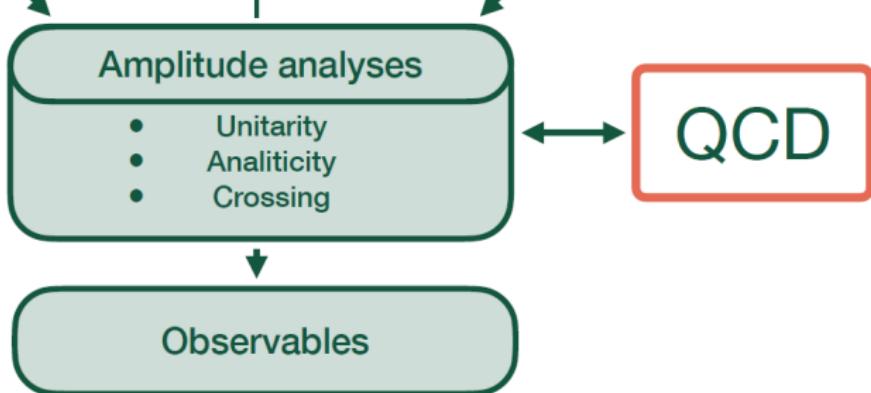
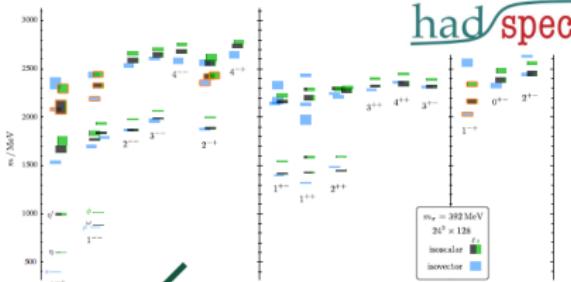
## Why lattice QCD?

## Experiment



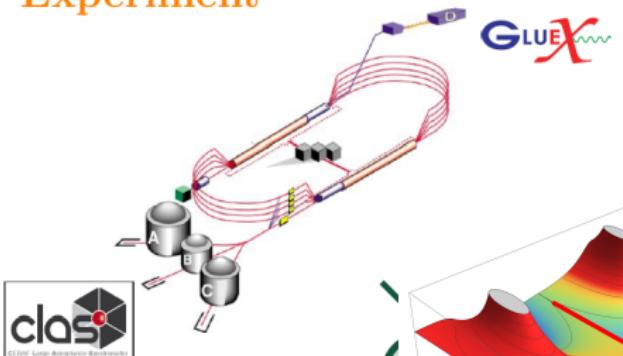
## Resonances manifest as amplitudes

## Lattice QCD



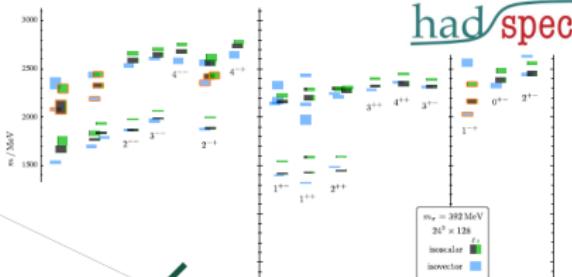
## Why lattice QCD?

## Experiment

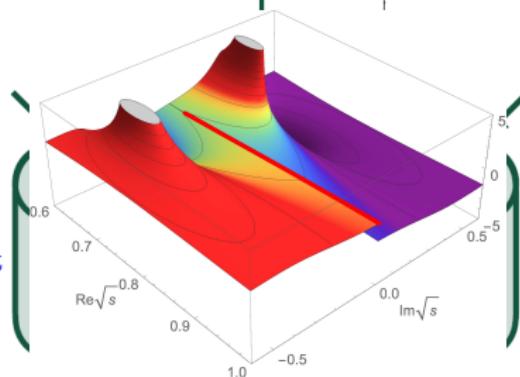


## Resonances manifest as amplitudes

# Lattice QCD



QCD



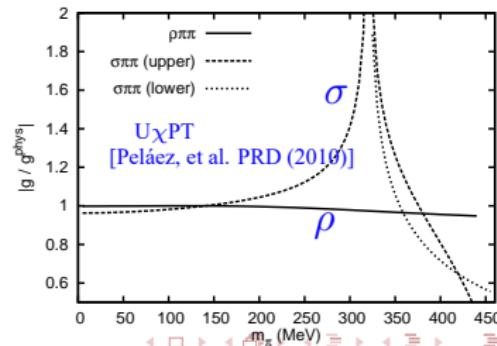
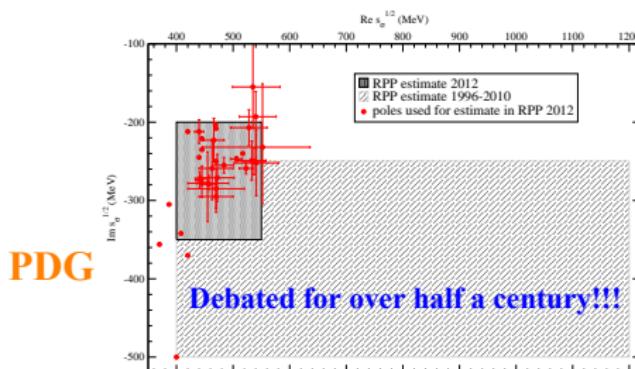
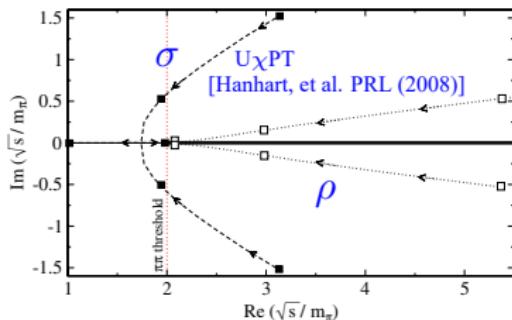
## Observables

## Roy equation analyses of $\pi\pi$ scatterings at unphysical pion masses

XHC, Qu-Zhi Li, Zhi-Hui Guo and Han-Qing Zheng, Phys.Rev.D 108 (2023) 3, 034009

- ▶ The lowest resonance in QCD
  - ▶ Extremely broad object at physical  $m_\pi$
  - ▶ Interesting “modeled”  $m_q$  dependence
  - ★  $\rho$ : Ordinary  $m_q$  dependence  

$$m_\rho \sim a + b m_q$$
  - ★ Sub-percent errors
  - ◆  $IJ = 20$ : non-resonant channel  
 There is a virtual state pole! [Z.-Y. Zhou, et al. JHEP (2005)]
  - ◆ Weak  $m_q$  dependence



# $\sigma$ as intermediate state: I

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Nuclear Physics B 578 (2000) 367–382


  
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## Insight into the scalar mesons from a lattice calculation

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Received 8 February 2000; accepted 8 March 2000

**Abstract**

We study the possibility that the light scalar mesons are  $q^2 \cdot q^2$  states rather than  $qq$ . We perform a lattice QCD calculation of pseudoscalar meson scattering amplitudes, ignoring quark loops and quark annihilation, and find indications that for sufficiently heavy quarks there is a stable four-quark bound state with  $J^{PC} = 0^{++}$  and non-exotic flavor quantum numbers. © 2000 Elsevier Science B.V. All rights reserved.

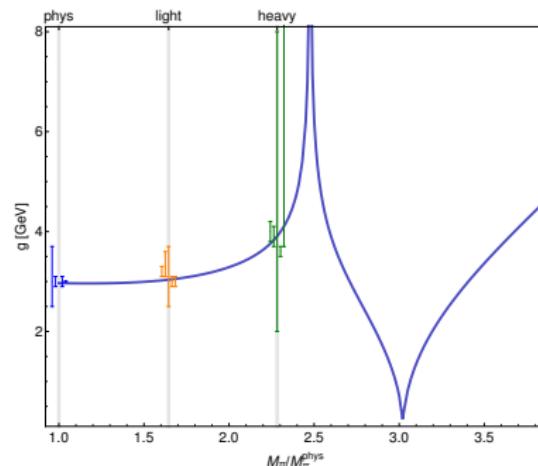
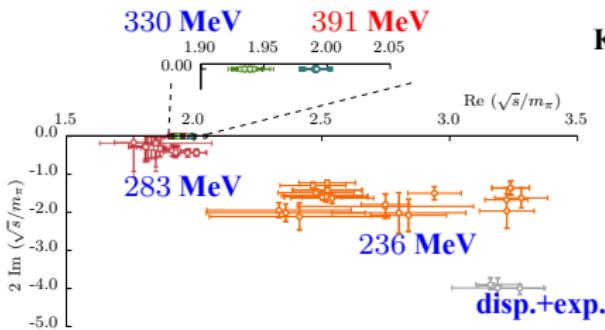
## $\sigma$ as intermediate state: II

$N_f = 2$  [Guo, et al. PRD (2018)]

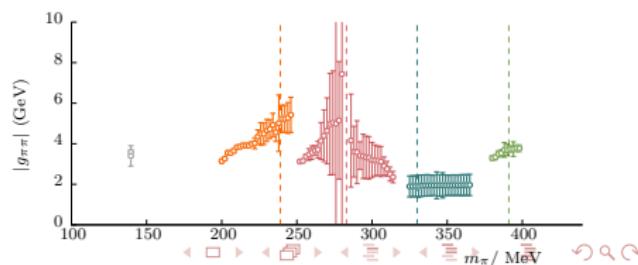
- $m_\pi \sim 224$  MeV  $\implies$  Broad resonance
  - $m_\pi \sim 315$  MeV  $\implies$  Resonance

$N_f \equiv 2 + 1$  [HadSpec, PRL, PRD... (2017-now)]

- ★  $m_\pi \sim 236$  MeV  $\implies$  Broad resonance
  - ★  $m_\pi \sim 283$  MeV  $\implies$  Resonance v.s. Virtual state
  - ★  $m_\pi \sim 330$  MeV  $\implies$  Extremely precise, shallow bound state
  - ★  $m_\pi \sim 391$  MeV  $\implies$  Extremely precise, shallow bound state



## K-matrix approaches



## Extended Roy equation

$$\blacktriangleright \text{ Ret}_J^I(s) = k_J^I(s) + \sum_{I'=0}^2 \sum_{J'=0}^1 \mathcal{P}_{\int_{4m_\pi}^{s_m} ds' K_{JJ'}^{II'}}(s', s) \text{Im} t_{J'}^{I'}(s') + d_J^I(s)$$

$$k_0^0(s) = a_0^0 + \frac{s - 4m_\pi^2}{12m_\pi^2} (2a_0^0 - 5a_0^2) + \frac{g_{\sigma\pi\pi}^2}{12} \left( \frac{16m_\pi^2(4s - s_\sigma) - 4(2s - s_\sigma)(s + 2s_\sigma)}{(4m_\pi^2 - s_\sigma)s_\sigma(s_\sigma - s)} - \frac{8L_\sigma}{4m_\pi^2 - s} \right)$$

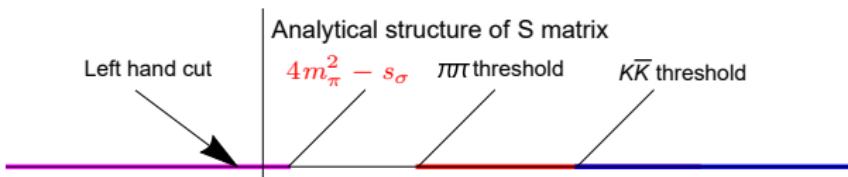
$$k_1^1(s) = 0 + \frac{s - 4m_\pi^2}{72m_\pi^2} (2a_0^0 - 5a_0^2) + \frac{g_{\sigma\pi\pi}^2}{9} \left( -\frac{(4m_\pi^2 - s)^2 - 48m_\pi^2 s_\sigma + 12s^2}{(4m_\pi^2 - s)(4m_\pi^2 - s_\sigma)s_\sigma} + \frac{6(s + 2s_\sigma - 4m_\pi^2)L_\sigma}{(4m_\pi^2 - s)^2} \right)$$

$$k_0^2(s) = a_0^2 - \frac{s - 4m_\pi^2}{24m_\pi^2} (2a_0^0 - 5a_0^2) - \frac{g_{\sigma\pi\pi}^2}{3} \left( \frac{4m_\pi^2 + s - 2s_\sigma}{s_\sigma(4m_\pi^2 - s_\sigma)} + \frac{2L_\sigma}{4m_\pi^2 - s} \right)$$

★ The logarithm  $L_\sigma = \ln \left( \frac{s+s_\sigma-4m_\pi^2}{s_\sigma} \right)$ : left-hand cuts  $(-\infty, 4m_\pi^2 - s_\sigma]$  ✓  $(-\infty, 0]$  ✗

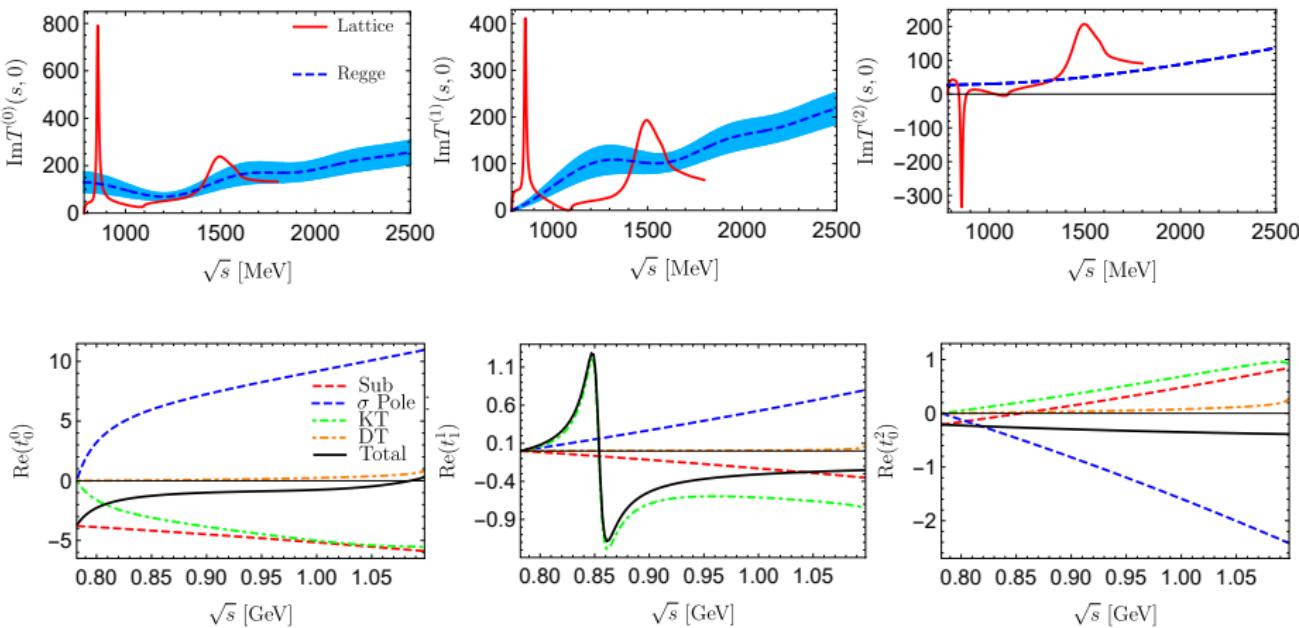
★ Correct threshold behavior:  $\lim_{s \rightarrow 4m_\pi^2} (k_0^0, k_1^1, k_0^2)(s) = (a_0^0, 0, a_0^2)$

★ Four parameters :  $a_0^0$ ,  $a_0^2$ ,  $s_\sigma$ ,  $|g_{\sigma\pi\pi}|$



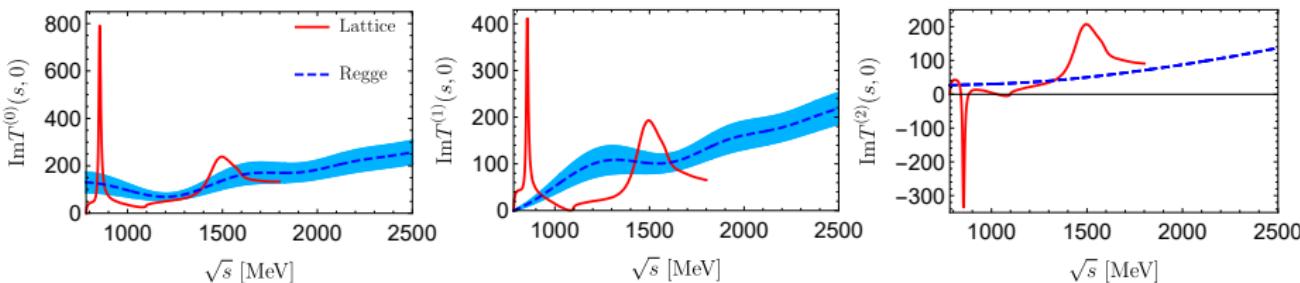
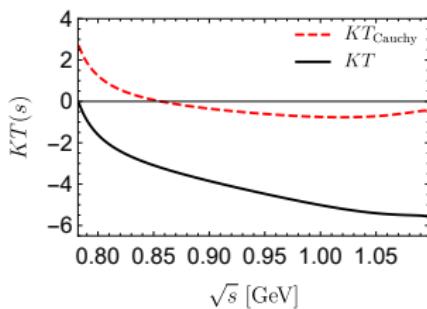
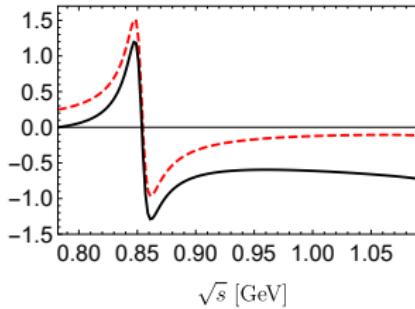
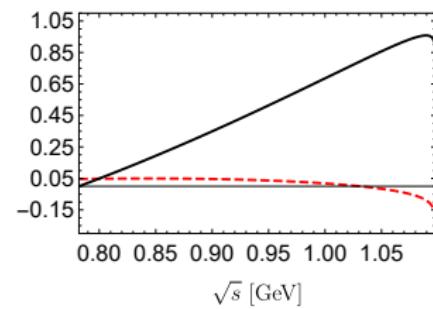
# Numerical solution I: driving terms

- HadSpec LQCD data: S- and P-waves  $\sqrt{s} \in (2m_K \sim 1.098 \text{ GeV}, 1.8 \text{ GeV})$ ; D-wave  $\sqrt{s} \in (2m_\pi \sim 0.782 \text{ GeV}, 1.8 \text{ GeV})$
- Improved Veneziano model: S-,P- and D-Waves above  $\sqrt{s} > 1.8 \text{ GeV}$ ; Other high partial-waves

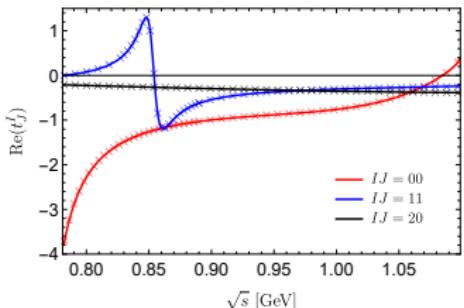


# Numerical solution I: driving terms

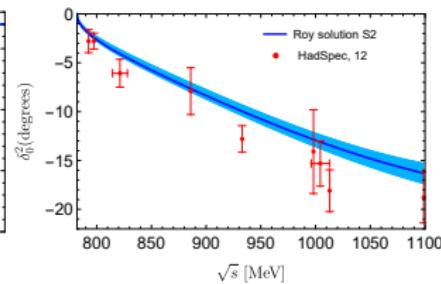
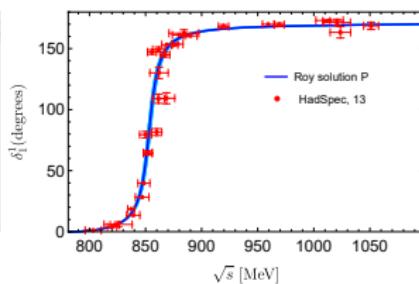
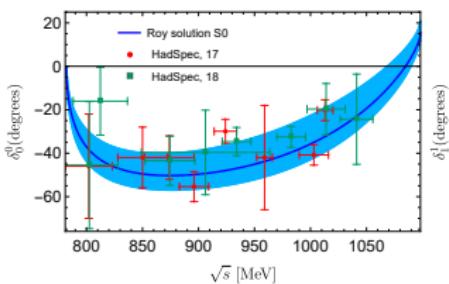
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 $IJ = 00$  $IJ = 11$  $IJ = 20$ 

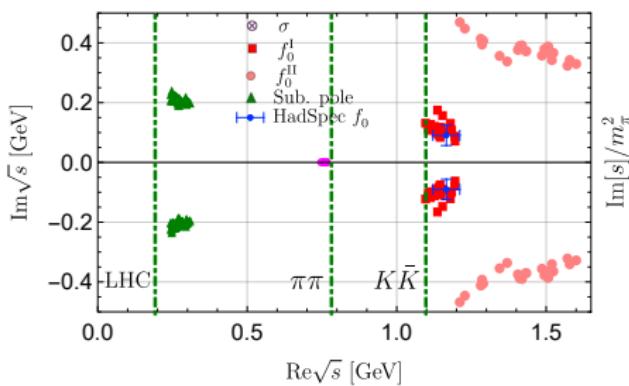
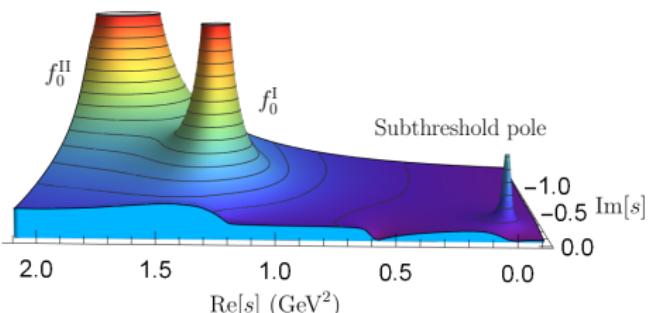
# Numerical solution II: phase shifts



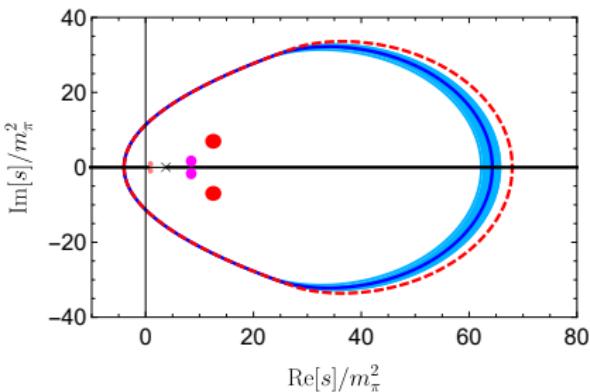
- Roy eqs.:  $\text{Re}(t_J^I) = \sum_{I', J'} \mathcal{F}[\text{Im}(t_{J'}^{I'})]$
- $\hookrightarrow a_0^0 = -(3.9_{-1.2}^{+1.1})$
- $a_0^2 = -(0.21_{-0.03}^{+0.02})$
- $\sqrt{s_\sigma} = 759_{-16}^{+7}$  MeV
- $|g_{\sigma\pi\pi}| = 493_{-46}^{+27}$  MeV



# The singularities inside the validity domain



- ▶ 2nd Riemann sheet:  
 $\sqrt{s_{\text{sub}}} = (269^{+40}_{-25}) - i(211^{+26}_{-23}) \text{ MeV } !!!$
- ▶  $\sqrt{s_{f_0^I}} = (1142^{+53}_{-46}) - i(112^{+59}_{-45}) \text{ MeV}$
- ▶  $\sqrt{s_{f_0^{II}}} = (1434^{+167}_{-223}) - i(371^{+97}_{-49}) \text{ MeV}$
- ▶ “ $f_0(980)$ ” [HadSpec. PRD (2018)]:  
 $(1166 \pm 45) - \frac{i}{2}(181 \pm 68) \text{ MeV}$  ✓
- ▶ “ $f_0(1370)$ ” ???

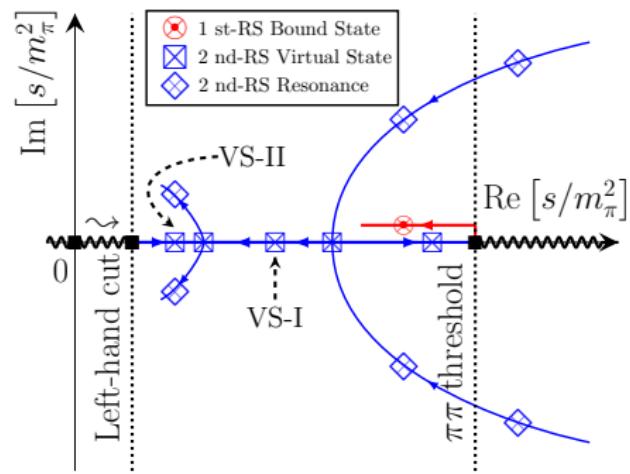
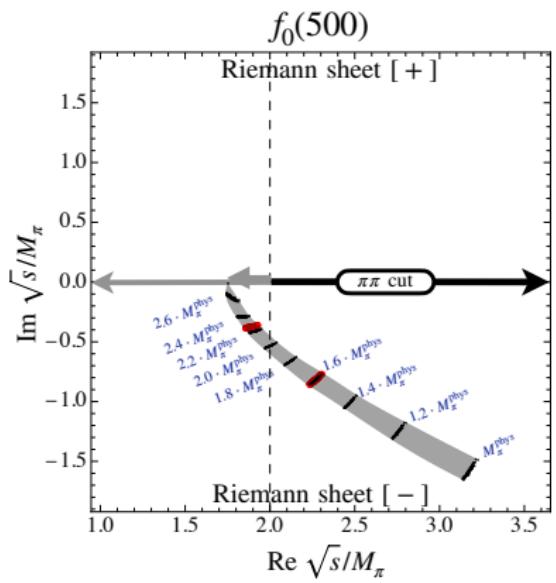


# “ $\sigma$ ” trajectory and the sub. pole

## Controversy:

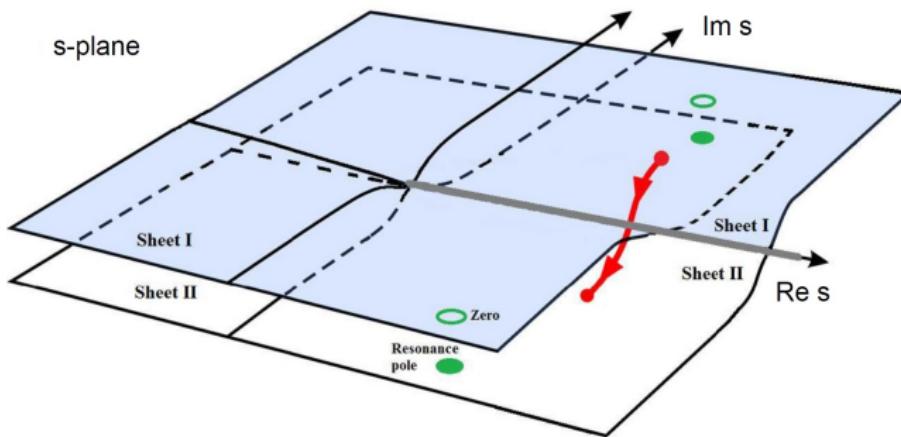
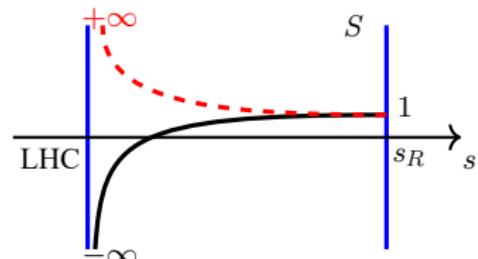
- ▶ Only one  $\sigma$  [Beveren and Rupp PRD (2023)...]
- ▶ One “ $\sigma$ ”+ one virtual state pole [Gao et. al. PRD (2022)]

## Where is the virtual state pole?



# Virtual state pole mechanism

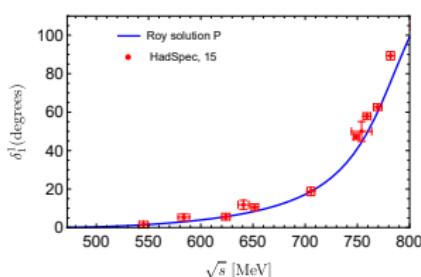
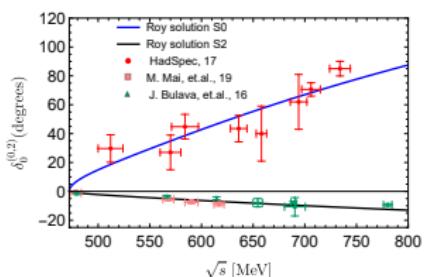
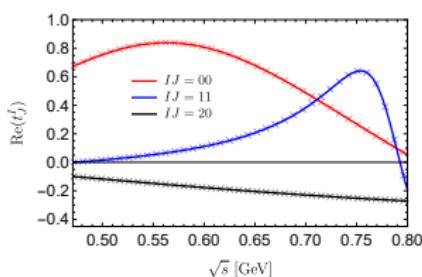
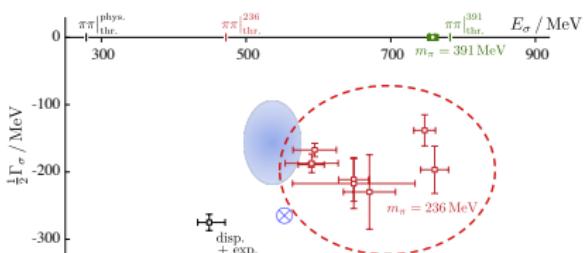
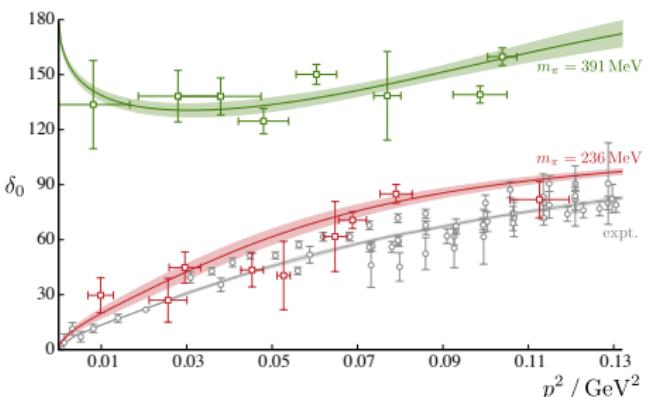
- ▶ The general phenomenon was firstly discussed in [Blankenbecler, Goldberger, MacDowell, and Treiman, Phys. Rev. (1961)] (rediscovered in  $\pi\pi$  scatterings [Z.-Y Zhou, et al., JHEP (2005)], in  $\pi N$  scatterings [Q.-Z. Li and H.-Q. Zheng, CTP (2022)])
- ▶  $S^{II} = 1/S^I$ , e.g., S-matrix zero in the 1-st sheet  $\rightleftharpoons$  S-matrix pole (virtual state pole and resonance pole) in the 2-nd sheet (single channel case)



# A preliminary attempt: $m_\pi \sim 236$ MeV

$IJ = 00$

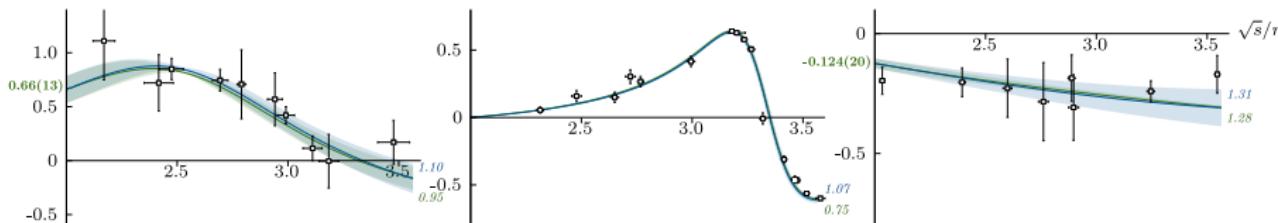
- $p \cot \delta \sim \frac{1}{a}$ : lower  $a \longrightarrow$  broader  $\sigma$
- Phase shifts are similar to the physical case
- Extremely “noisy” [HadSpec. PRL (2017)]



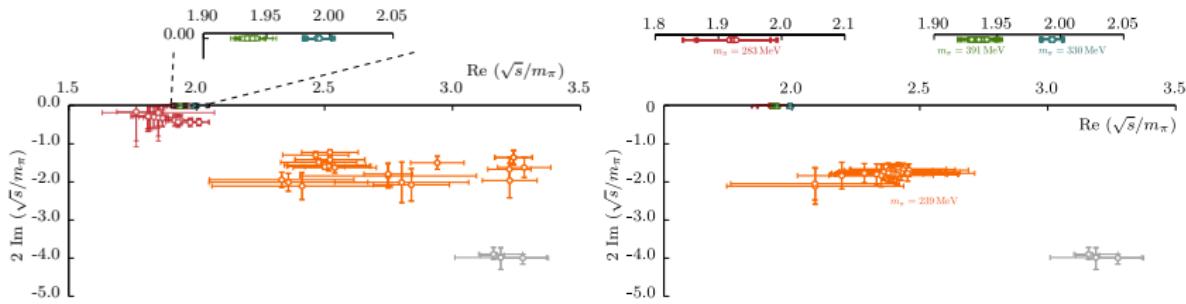
# Other Roy equation analyses

A. Rodas et.al. [HadSpec. Collaboration] arXiv: 2304.03762

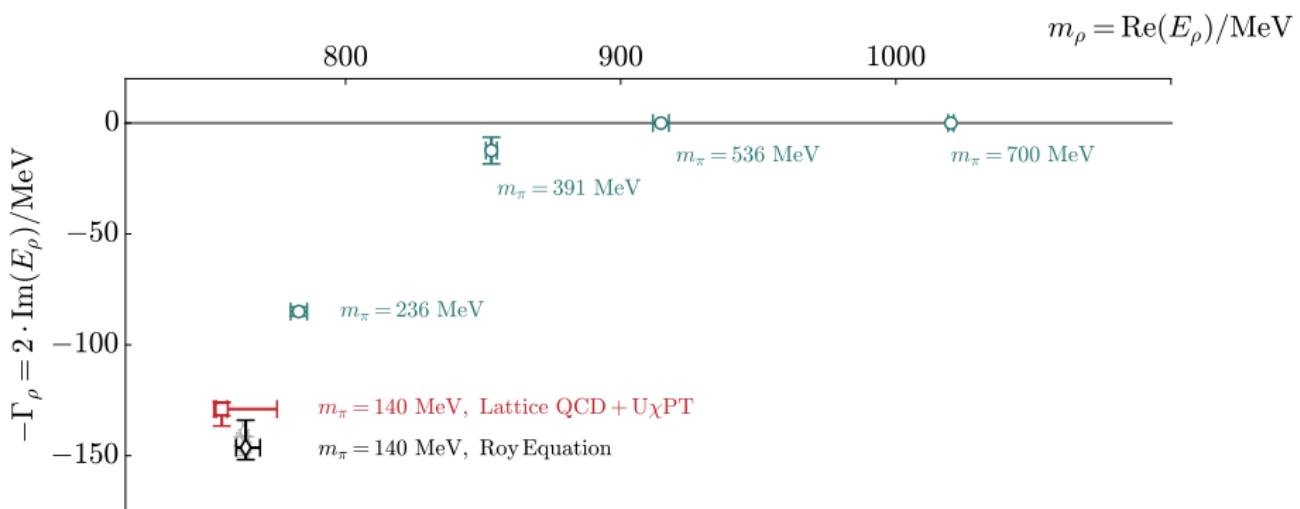
- Focus on two cases:  $m_\pi \sim 283, 239$  MeV
- Use extrapolations of  $IJ = 00$  elastic amplitude up to  $\sim 1.34$  GeV, and for different parameterizations



- New  $\sigma$  pole positions via preliminary Roy equation analyses



$IJ = 11 : \rho$

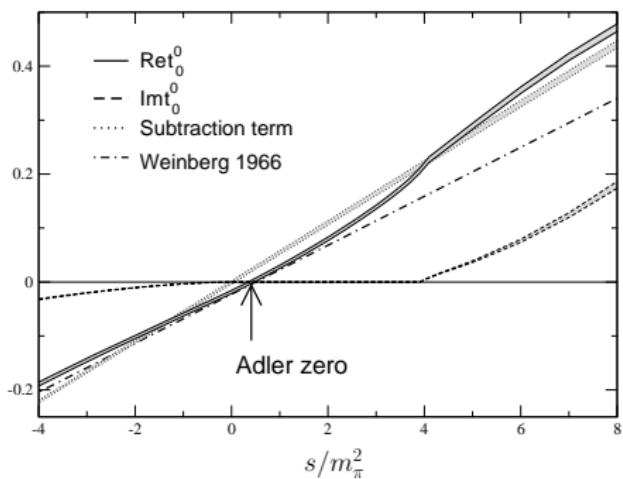


- ▶ K-Matrix:  $m_\pi = 391$  MeV:  $m_\rho = 854.1 \pm 1.1$  MeV,  $\Gamma_\rho = 12.4 \pm 0.6$  MeV  
 $m_\pi = 236$  MeV:  $m_\rho = 783 \pm 2$  MeV,  $\Gamma_\rho = 90 \pm 8$  MeV
- ▶ Roy Equation:  $m_\pi = 391$  MeV:  $m_\rho = 853.3^{+1.1}_{-1.1}$  MeV,  $\Gamma_\rho = 13.4^{+0.4}_{-1.4}$  MeV  
 $m_\pi = 236$  MeV:  $m_\rho = 785$  MeV,  $\Gamma_\rho = 86$  MeV

**IJ = 20 : Roy equation v.s.  $\chi$ PT<sub>NNLO</sub>**

	$m_\pi = 236 \text{ MeV}$	$m_\pi = 391 \text{ MeV}$	
Roy equation	$\chi\text{PT}_{\text{NNLO}}$	Roy equation	$\chi\text{PT}_{\text{NNLO}}$
$\sqrt{s_{A,IJ=00}}$	162	$140^{+46}_{-29}$	$(206^{+29}_{-18}) \pm i(218^{+3}_{-18})$
$\sqrt{s_{A,IJ=20}}$	326	$334^{+13}_{-16}$	$601^{+8}_{-17}$
$\sqrt{s_{v,IJ=20}}$	117	$167^{+8}_{-9}$	$435^{+4}_{-12}$

[Caprini et. al. PRL (2006)]

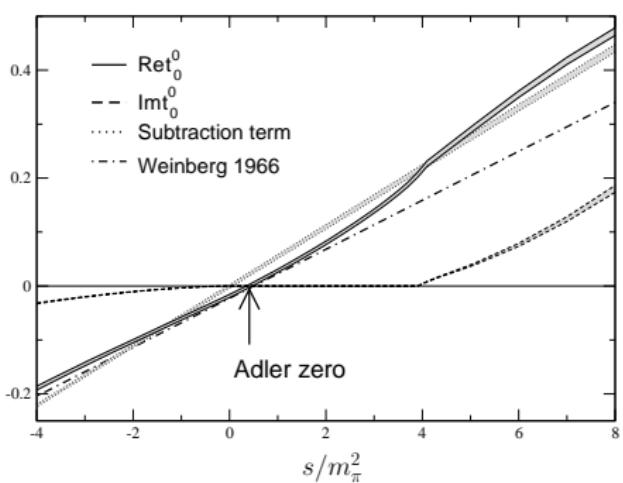


- Adler zero:  
special zero of amplitudes below the threshold  
**Manifests chiral symmetry  
(even in unphysical region)!**

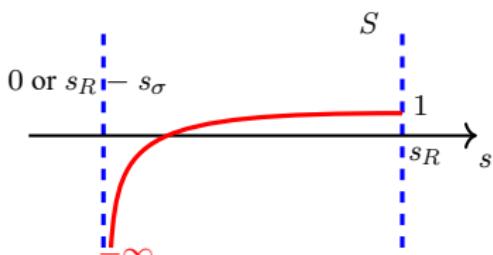
# $IJ = 20$ : Roy equation v.s. $\chi$ PT<sub>NNLO</sub>

	$m_\pi = 236$ MeV		$m_\pi = 391$ MeV	
Roy equation	$162$	$\chi$ PT <sub>NNLO</sub>	Roy equation	$\chi$ PT <sub>NNLO</sub>
$\sqrt{s_{A,IJ=00}}$	162	$140^{+46}_{-29}$	$(206^{+29}_{-18}) \pm i(218^{+3}_{-18})$	$225^{+131}_{-115}$
$\sqrt{s_{A,IJ=20}}$	326	$334^{+13}_{-16}$	$601^{+8}_{-17}$	$546^{+41}_{-73}$
$\sqrt{s_{v,IJ=20}}$	117	$167^{+8}_{-9}$	$435^{+4}_{-12}$	$410^{+30}_{-41}$

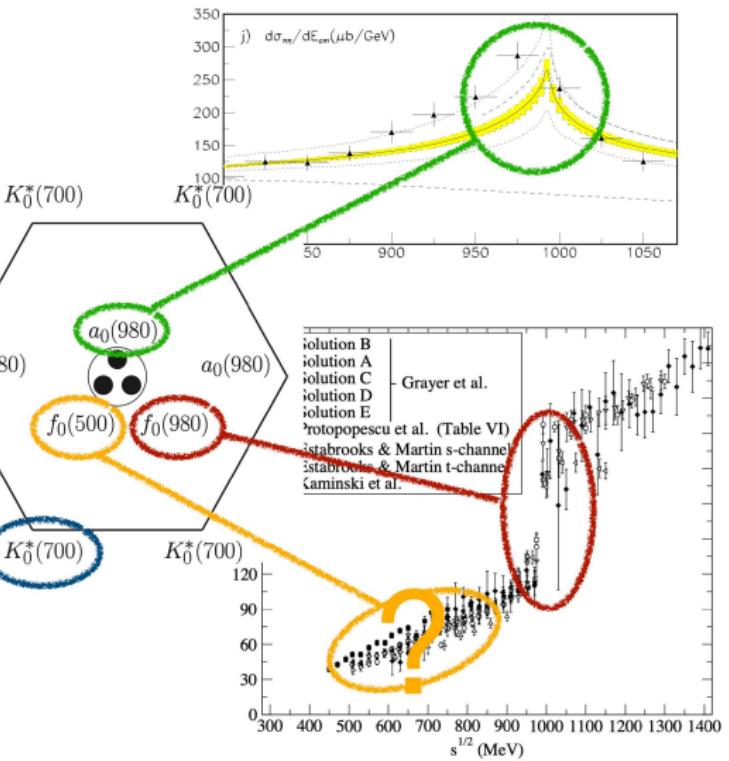
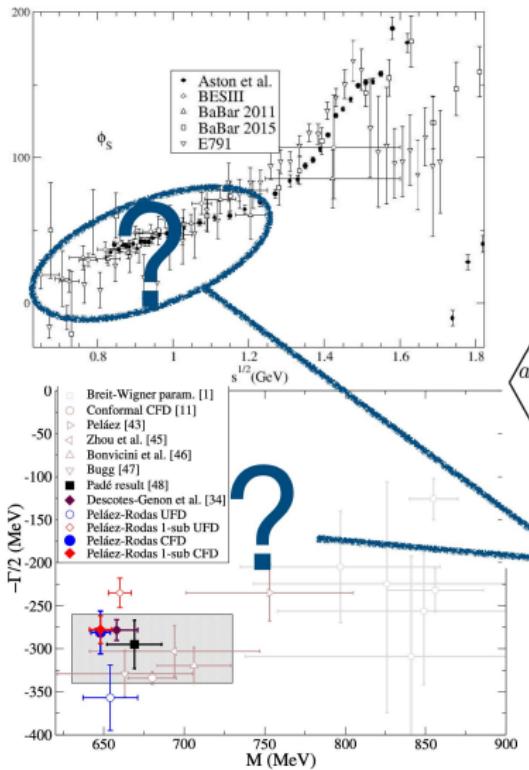
[Caprini et. al. PRL (2006)]



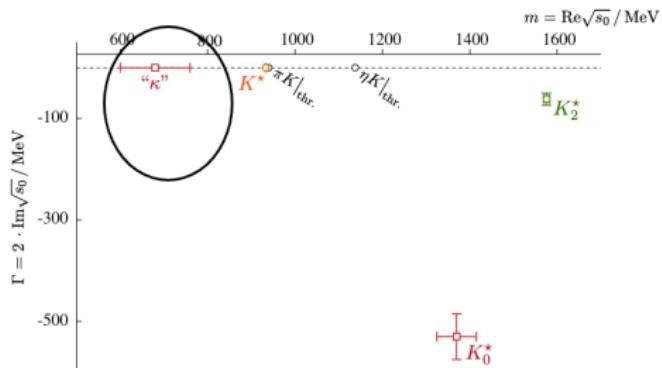
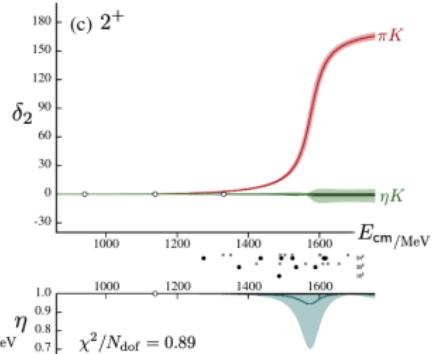
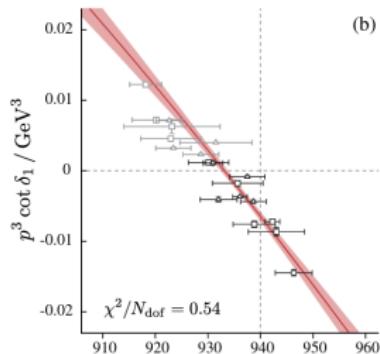
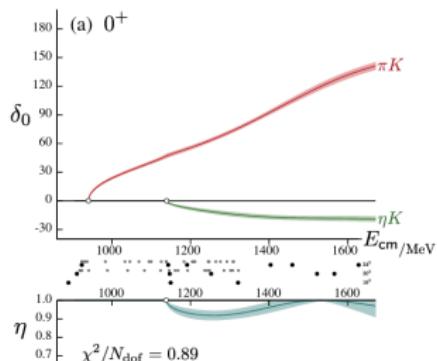
- Adler zero:  
special zero of amplitudes below the threshold  
**Manifests chiral symmetry  
(even in unphysical region)!**
- Virtual state pole in  $IJ = 20$  channel:



# Light exotic states



# Unphysical quark masses HadSpec. Collaboration, PRL(2014); PRD(2015); PRL(2019)



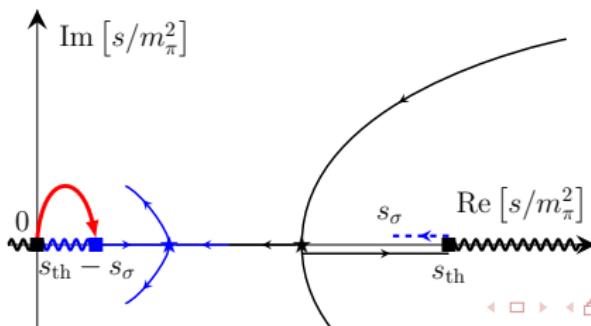
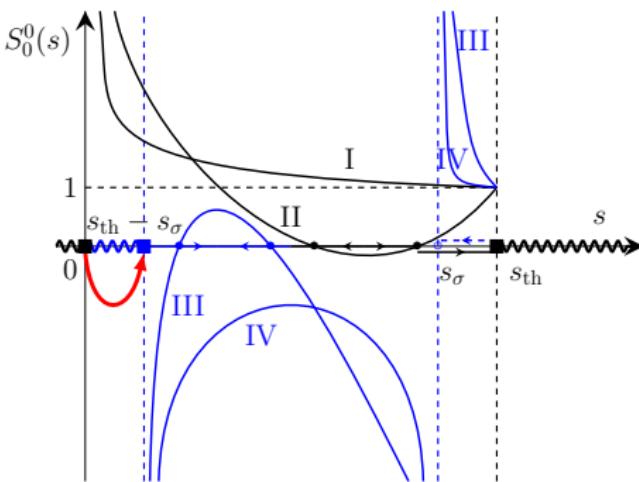
- $m_\pi \sim 390 \text{ MeV}$ , K-matrix fits:
  - ▶  $\kappa/K_0^*(700)$ : **one virtual state???**
  - ▶  $K^*(892)$ : **a shallow bound state**
  - ▶  $K_0^*(1430)$ :  $m \downarrow, \Gamma \uparrow$
  - ▶  $K_2^*(1430)$ :  $m \uparrow, \Gamma \downarrow$

# Summary

- The unity of dispersive techniques and experimental data is powerful to investigate low energy hadron physics
  - ▶ Widely-used unitarization methods such as K-matrix, etc., are not good in light meson & baryon studies
  - ▶ (Covariant) Chiral perturbation theory obeys perturbative unitarity and analytic properties (correct LHC structure)
  - ▶ Dispersive approaches, Muskhelishvili-Omnès formalism, Roy and Roy-Steiner equations, etc. are necessary
- Lattice data+Roy equation (other dispersive methods)
  - ▶  $\sigma$  found as a bound state, virtual state and resonance
  - ▶ Systematics  $\implies$  model independent!
  - ▶ Generalize to  $\pi K$  scattering (in progress)
  - ▶ Meson-baryon ( $\pi N, KN, \bar{K}N...$ ) scatterings?

*Thanks for your attention!*

# Trajectory



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