



Research on inclusive decay at BESIII

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Standard model

• Standard model(SM):







Success and shortage of SM:

- ✓ A good framework of particle physics based on 3 interactions and 61 basic particles;
- ✓ Agreement well with most experiments;
- ✓ Successful predictions.
- No gravity;
- Parameters;
- CP violation;
- Neutrino oscillation;
- Non-perturbative;

Higher precision!

More situations!

New physics!

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Inclusive decay at BESIII

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New physics

• Why inclusive decay presented here?



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BEPCII

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BESIII







First HEP collider in China (1988) CMS energy: 2 ~ 5 GeV Max luminosity: 1×10³³cm⁻²s⁻¹

Non-perturbative $\tau - charm$ region $\tau^{\pm} \ \ D/D_s \ \Lambda_c^+...$

 J/ψ : 2.97 fb⁻¹(10B) ψ (3686): 4.07 fb⁻¹(2.7B) ψ (3770): 8 fb⁻¹ 4.6~4.7GeV: 4.48 fb⁻¹

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Inclusive decay

Inclusive decay:

- Decay as $A \rightarrow B + X$, *B* is a certain particle, *X* contains any possible particles, then this decay is called an inclusive decay of particle *A*;
- Inclusive decay is the sum of a series of exclusive decays.

Motivation:

- Provide verifications for SM parameters;
- Guide for undiscovered exclusive decays;
- Study the characteristics of a series of decays.

- Decay parameter;
- CPV

• . . .

$$\Lambda_c^+ \to \Lambda X_{(exclusive)} \approx (30.1 \pm 1.2)\%$$

$$\Lambda_c^+ \to \Lambda X_{(inclusive)} = (38.2 \pm 2.6)\%$$

$$\frac{\mathfrak{B}(\Lambda_c^+ \to \Lambda X)_{exclusive}}{\mathfrak{B}(\Lambda_c^+ \to \Lambda X)_{inclusive}} = (78.8 \pm 6.3)\%$$

=> Any other decay modes?

$$c \to se^+ v_e \qquad |V_{cs}|$$





- Reasonability:
- The Λ_c are produced in pairs at threshold on BESIII, no other accompanied particles, 4-momentum conservation.

> Advantage:

- Absolute BF, decay parameter, CPV;
- Less background than ST;
- Cancel out some systematic uncertainties.

• **Data driven** Using control sample from data to determine the efficiency of inclusive decay.

≻Necessity:

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- The inclusive decay contains multiple exclusive decays, of which the phase space is complicated.
- There are still undiscovered exclusive decays.
- The efficiency in different phase space may vary greatly.

≻Reasonability:

• The detector doesn't matter the history of particles.





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BESIII

Reweight



Suppose that a variable x distribute differently in MC and data: $\rho_{MC}(x) \quad \rho_{data}(x)$

Problem:

How much of the difference of yields between using the efficiency from MC N'_{data} and real data N_{data} ?

•
$$\overline{\varepsilon_{MC}} = \frac{n_{MC}}{N_{MC}} = \frac{\int_b N_{MC}(x)\varepsilon_{MC}(x)dx}{\int_b N_{MC}(x)dx} = \int_b \rho_{MC}(x)\varepsilon_{MC}(x)dx, \quad \rho_{MC}(x) = \frac{N_{MC}(x)}{\int_b N_{MC}(x)dx}$$

• Using averaged efficiency from MC to obtained the yields:

•
$$N_{data}' = \frac{n_{data}}{\overline{\varepsilon_{MC}}} = \frac{\int_{b} N_{data}(x)\varepsilon_{data}(x)dx}{\int_{b} \rho_{MC}(x)\varepsilon_{MC}(x)dx} = N_{data} \left(\frac{\int_{b} \rho_{data}(x)\varepsilon_{data}(x)dx}{\int_{b} \rho_{MC}(x)\varepsilon_{MC}(x)dx} \right) \rho_{data}(x) = \frac{N_{data}(x)}{\int_{b} N_{data}(x)dx}$$

• Dynamic binning

The efficiency varies greatly in phase space.



$$\bar{\varepsilon} = \frac{\sum_{b_i} n_{b_i}}{\sum_{b_i} N_{b_i}}, \quad \varepsilon_{b_i} = \frac{n_{b_i}}{N_{b_i}}$$

The averaged efficiency is influenced by the phase space distribution. If the efficiency varies largely, the binning scheme will bring sizable bias.

Loose binning => systematic uncertainty Tight binning => statistical uncertainty.

> Necessity:

- Efficiency varies with the phase space distribution, MC differs with data;
- The binning scheme will influence the uncertainty.

Difficulties:

• Reduce the sys. & sta. uncertainties simultaneously.

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• Dynamic binning



For a certain point A in the phase space of real data, we can look for its corresponding point B in the control sample, then calculate the efficiency using the neighborhood region of B. The systematic and statistic uncertainty can be balanced by varying the size of neighborhood of B.

Multivariate Analysis





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Blind Analysis (In inclusive rare decay)



Figure 2: Summary of B meson lifetime ratio measurements. The average has a $\chi^2 = 4.5$ for 13 degrees of freedom.

"Blind Analysis in Particle Physics"



Cut Value



Hide results to seek the truth

More fields should, like particle physics, adopt blind analysis to thwart bias, urge Robert MacCoun and Saul Perlmutter.

Nature volume 526, pages187-189 (2015)

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Status of inclusive decay

• **D**[±]:

• Inc	clusive modes		
Γ_1	e^+ semileptonic	CLEO,2010	$(16.07\pm 0.30)\%$
Γ_2	μ^+ anything	BES2,2008	$(17.6 \pm 3.2)\%$
Γ_3	K^- anything	BES2,2007	$(25.7 \pm 1.4)\%$
Γ_4	\overline{K}^0 anything $+$ K^0 anything	BES3,2023	$(61\pm5)\%$
Γ_5	K^+ anything	BES2,2007	$(5.9\pm0.8)\%$
Γ_6	$K^*(892)^-$ anything	BES2,2006	$(6\pm5)\%$
Γ_7	$\overline{K}^{*}\!\left(892 ight)^{0}$ anything	BES,2005	$(23\pm5)\%$
Γ_8	$K^{*}(892)^{0}$ anything	BES,2005	< 6.6%
Γ_9	η anything	CLEO,2006	$(6.3\pm0.7)\%$
Γ 10	η^{\prime} anything	CLEO,2006	$(1.04 \pm 0.18)\%$
Γ_{11}	ϕ anything	BES3,2019	$(1.12 \pm 0.04)\%$

D⁰:

• Inc	lusive modes		
Γ_5	e^+ anything	CLEO,2010	[4] $(6.49 \pm 0.11)\%$
Γ_6	μ^+ anything	BES2,2008	$(6.8\pm0.6)\%$
Γ7	K^- anything	BES2,2007	$(54.7 \pm 2.8)\%$
Γ_8	\overline{K}^0 anything $+$ K^0 anything	BES3,2023	$(47\pm4)\%$
Γ9	K^+ anything	BES2,2007	$(3.4\pm0.4)\%$
Γ ₁₀	$K^{*}(892)^{-}$ anything	BES2,2006	$(15\pm9)\%$
Γ ₁₁	$\overline{K}^{*}(892)^{0}$ anything	BES,2005	$(9\pm4)\%$
Γ ₁₂	$K^{*}(892)^{+}$ anything	BES2,2006	< 3.6%
Γ ₁₃	$K^{*}(892)^{0}$ anything	BES,2005	$(2.8\pm1.3)\%$
Γ ₁₄	η anything	CLEO,2006	$(9.5\pm0.9)\%$
Γ ₁₅	η' anything	CLEO,2006	$(2.48 \pm 0.27)\%$
Γ ₁₆	ϕ anything	BES3,2019	$(1.08 \pm 0.04)\%$



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$$\Lambda_{\rm c}^+ \to X e^+ \nu_e$$

• $\Lambda_c^+ \rightarrow X e^+ \nu_e$: Phys. Rev. Lett. 121 251801

In HQET, the u_{γ} d plays as passive quarks, the decay of Λ_c^+ is dominated by $c \to s$ transition, including are semi-leptonic channels $(e^+\nu_e \text{ or } \mu^+\nu_e)$ and non-leptonic channels $(\Lambda_{\gamma} K_S^0, K^-)$



Example: $\Gamma(\Lambda_c^+ \to Xe^+\nu_e)/\Gamma(D^+ \to Xe^+\nu_e)$, theories provide different predictions, effective-quark predicts it to be 1.67, heavy-quark expansion predicts it to be 1.2. Precise measurement can distinguish different theories.

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 $\Lambda_{c}^{+} \rightarrow Xe^{+}\nu_{e}$



The difficulty of this analysis lies in the fact that the distinctive signal in the final state is only an e^+ . It is challenging to effectively distinguish the e^+ from other particles and obtain the true number of $\Lambda_c^+ \to X e^+ v_e$. Firstly, a double-tag method must be used; Then, particle misidentification is the most challenging aspect of this analysis. It is difficult to separate different particles, especially π and μ .



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 e^+

 Λ_c^+

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 $\Lambda_{\rm c}^+ \rightarrow X e^+ \nu_e$

• PID Unfolding:

$$\begin{pmatrix} n_{e}^{obs} \\ n_{\mu}^{obs} \\ n_{\mu}^{obs} \\ n_{\kappa}^{obs} \\ n_{K}^{obs} \\ n_{p}^{obs} \end{pmatrix} = \begin{pmatrix} \varepsilon_{e \to e} & \varepsilon_{\mu \to e} & \varepsilon_{\pi \to e} & \varepsilon_{K \to e} & \varepsilon_{p \to e} \\ \varepsilon_{e \to \mu} & \varepsilon_{\mu \to \mu} & \varepsilon_{\pi \to \mu} & \varepsilon_{K \to \mu} & \varepsilon_{p \to \mu} \\ \varepsilon_{e \to K} & \varepsilon_{\mu \to K} & \varepsilon_{\pi \to K} & \varepsilon_{K \to K} & \varepsilon_{p \to K} \\ \varepsilon_{e \to p} & \varepsilon_{\mu \to p} & \varepsilon_{\pi \to p} & \varepsilon_{K \to p} & \varepsilon_{p \to p} \end{pmatrix} \cdot \begin{pmatrix} N_{e}^{truth} \\ N_{\mu}^{truth} \\ N_{\pi}^{truth} \\ N_{K}^{truth} \\ N_{K}^{truth} \\ N_{p}^{truth} \end{pmatrix}$$



Basic idea: matrix inversion to estimate the number of each kind of particle.

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 $\Lambda_{c}^{+} \rightarrow Xe^{+}\nu_{e}$

Control sample:

Pure control sample is essential for PID unfolding:

Then calculate the efficiency for each track identified as a certain particle.



$\Lambda_{\rm c}^+ \rightarrow X e^+ \nu_e$

Inverse & extension:

By solving the matrix equation, the yield of $\Lambda_c^+ \to Xe^+\nu_e$ can be obtained.



The electron with momentum below 0.2 GeV can not be detected by the spectrometer, the efficiency is extremely low. Extension to the whole region is essential to obtain the total yield.

Latest result from BESIII is $\mathcal{B}(\Lambda_c^+ \rightarrow Xe^+\nu_e) = (4.06 \pm 0.10 \pm 0.09)\%$, the ratio with $D^+ \rightarrow Xe^+\nu_e$ is 1.28 ± 0.05 . [Phys. Rev. D 107, 052005]



$\Lambda_{\rm c}^+ \to \Lambda X/K_S^0 X$

• $\Lambda_c^+ \rightarrow \Lambda X$: Phys. Rev. Lett. 121 062003

In the decay of Λ_c^+ , the c quark mainly decays to an s quark, and there is a significant possibility of forming Λ baryons after hadronization of the final state.



Until 2023, the sum of all decays of $\Lambda_c^+ \rightarrow \Lambda X/K_S^0 X$ exclusive channels are $(30.1\pm1.2)\%$ and $(11.2\pm0.5)\%$. Conducting measurements on this inclusive decay can help determine the upper limit of the exclusive decay and provide guidance for further research on Λ_c^+ .

Unlike $\Lambda_c^+ \to Xe^+\nu_e$, the Λ signal reconstructed through $\Lambda(K_S^0) \to p\pi^-(\pi^+\pi^-)$ is very clean in this analysis. The challenge lies not in the correct reconstruction of $\Lambda(K_S^0)$, but in accurately estimating the efficiency of $\Lambda(K_S^0)$. Since the study of Λ_c^+ is still in its early stages, Monte Carlo simulations cannot accurately reflect the situation in real data. Therefore, a datadriven approach is necessary in this analysis.

• $\Lambda_c^+ \rightarrow K_s^0 X$: Eur. Phys. J. C. Lett. 80 935

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$$\Lambda_{\rm c}^+ \to \Lambda X$$

Control sample & binning:

Taking advantage of high statistics of J/ψ (10¹⁰)

Control channel:

$$J/\psi \to \bar{p}K^+\Lambda$$

Fit the spectrum of recoil mass of \bar{p} and K^+ before find a Λ :



Then fit the $M_{recoil}(\bar{p}K^+) v.s. M_{p\pi^-}(\Lambda)$ after finding a Λ .

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 $\Lambda_{c}^{+} \rightarrow \Lambda X$

• MVA:

In analysis for $\Lambda_c^+ \to \Lambda X$, the MVA can be used to obtain pure control sample using 13 variables in the training:



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BESII

$\Lambda_{\rm c}^+ \to \Lambda X$

Dynamic binning:

Due to high purity of the control sample, the efficiency of Λ can be obtained by just counting the numbers in each point after subtracting background.





$\overline{\Lambda}_{c}^{-} \rightarrow \overline{n}X$

 $(50 \pm 16)\%$

 $(50 \pm 16)\%$

• $\overline{\Lambda}_c^- \rightarrow \overline{n}X$: Phys. Rev. D 108 L031101

Γ ₇	77 P	anything
Γ_7	78 n	anything

from PDG

The sum of exclusive decays of $\Lambda_c^+ \rightarrow pX$ and $\Lambda_c^+ \rightarrow nX$ are 44.5%, 22.0%. Precise determination of inclusive decay may help search for undiscovered exclusive decays.

• Tag mode:

Only choose the $\Lambda_c^+ \rightarrow pK^-\pi^+$ as tag mode. (Highest statistics, lowest background)

- **Control sample:** choose $J/\psi \rightarrow p\bar{n}\pi^-$.
- $E_{\bar{n}} > 0.48 \, GeV$
- Number of hits $Hits_{\bar{n}} > 20$
- second moment $S_{\bar{n}} > 18 \ cm^2$
- $N_{\bar{p}}=0$





 $\Lambda_{\rm c}^- \rightarrow \overline{n}X$

Data-driven simulation:



Discrepancy between MC and data => Reweight the MC using data-driven.



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 $D^{0/+} \rightarrow K^0_S X$

• $D^{0/+} \rightarrow K^0_S X$: Phys. Rev. D 107 112005

Recoil mass spectrum:

+ data

 $15 \vdash D^0 \rightarrow K_s^0 X$

+ data

The *D* meson has been well studied by hep experiments, the Monte Carlo can be trusted in efficiency study of inclusive decay of *D* meson.



• 2D Fit:

Results:

Decay mode	Mark-III (%) [1]	BES (%) [2]	PDG (%) [3]	This study (%)	$\mathcal{B}_{\text{exclusive}}^{\text{sum}}$ (%)
$D^+ \to K^0_S X$	$30.60 \pm 3.25 \pm 2.15$	$30.25 \pm 2.75 \pm 1.65$	30.5 ± 2.5	$33.11 \pm 0.13 \pm 0.36$	31.68 ± 0.32
$D^0 \to K^0_S X$	$22.75 \pm 2.50 \pm 1.60$	$23.80 \pm 2.40 \pm 1.50$	23.5 ± 2.0	$20.75 \pm 0.12 \pm 0.20$	18.16 ± 0.72

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Events / (0.25 MeV/c²) (×10²)

800-

600

400

200

1.84

 $D^{-} \rightarrow K^{+} \pi^{-} \pi^{-}$

1.86

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 $D^{0/+} \rightarrow \phi X$

• $D^{0/+} \to \phi X$: Phys. Rev. D 100 072006

The efficiency is also obtained from MC. The remaining difference between data and MC is studied with data-driven hadronic events.

Fit & sideband subtraction



Decay mode	B
$D^+ o \phi \pi^+ \pi^0$	$(2.3 \pm 1.0)\%$
$D^+ \rightarrow \phi \rho^+$	< 1.5%
$D^+ o \phi \pi^+$	$(5.70 \pm 0.14) \times 10^{-3}$
$D^+ o \phi K^+$	$(8.86 \pm 1.14) \times 10^{-6}$
Sum	$(2.87 \pm 1.00)\%$
$D^0 o \phi \gamma$	$(2.81 \pm 0.19) \times 10^{-5}$
$D^0 o \phi K^0_S$	$(4.13 \pm 0.31) \times 10^{-3}$
$D^0 o \phi K_L^{0}$	$(4.13 \pm 0.31) \times 10^{-3}$
$D^0 o \phi \omega$	$< 2.1 \times 10^{-3}$
$D^0 o \phi(\pi^+\pi^-)_{ m S-wave}$	$(20 \pm 10) \times 10^{-5}$
$D^0 \to (\phi \rho^0)_{\text{S-wave}}$	$(14.0 \pm 1.2) \times 10^{-4}$
$D^0 \to (\phi \rho^0)_{\text{D-wave}}$	$(8.5 \pm 2.8) \times 10^{-5}$
$D^0 \rightarrow (\phi \rho^0)_{\text{P-wave}}$	$(8.1 \pm 3.8) \times 10^{-5}$
$D^0 o \phi \pi^0$	$(1.17 \pm 0.04) \times 10^{-3}$
$D^0 o \phi \eta$	$(1.81 \pm 0.46) \times 10^{-4}$
Sum	$(1.14 \pm 0.09)\%$

Results:

	${\cal B}(D^0 o \phi X)$	${\cal B}(D^+ o \phi X)$
This Work CLEO-c BES	$\begin{array}{c} (1.278\pm 0.032\pm 0.041) \ \% \\ (1.05\pm 0.08\pm 0.07) \ \% \\ (1.71^{+0.76}_{-0.71}\pm 0.17) \ \% \end{array}$	$\begin{array}{c} (1.235\pm 0.036\pm 0.037) \ \% \\ (1.03\pm 0.10\pm 0.07) \ \% \\ < 1.8 \ \% \end{array}$

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 $D^{0/+} \rightarrow \pi^+ \pi^+ \pi^- X$

• $D^{0/+} \to \pi^+ \pi^+ \pi^- X$: Phys. Rev. D 107 032002

Estimate the leading background in $B^0 \rightarrow D^{*-}\tau^+\nu_{\tau}$, $\tau^+ \rightarrow \pi^+\pi^-\nu_{\tau}$ (test LFU).





Summary & Prospect

- BESIII has obtained a series of achievement on inclusive decays, mature analytical techniques are developed.
- Inclusive decays of Λ_c^+ are widely studied, on-going analyses:

• $\Lambda_c^+ \to \Lambda X$, $\Lambda_c^+ \to K_S^0 X$, $\Lambda_c^+ \to p X$, $\Lambda_c^+ \to \Sigma^+ X$, $\Lambda_c^+ \to \Sigma^0 X$, $\Lambda_c^+ \to X \mu^+ \nu_{\mu}$

- Inclusive decays of charmed meson are performed mainly on BES2, waiting for update:
 - $D^{0/+} \rightarrow Xe^+\nu_e$, $D^{0/+} \rightarrow X\mu^+\nu_\mu$, $D^{0/+} \rightarrow K^{\pm}X...$
- Inclusive decays of charmonium remain blank, possible analyses:
 - $J/\psi \rightarrow pX$, $J/\psi \rightarrow nX$, $J/\psi \rightarrow \Lambda X$, $J/\psi \rightarrow K_S^0 X$...
- Some BNV channels can be searched via inclusive decay:
 - $J/\psi \rightarrow \Lambda_c^+ X$, $J/\psi \rightarrow ppX$, $D^{0/+} \rightarrow pX...$



Summary & Prospect

Dataset at BESIII: (from BESIII physics page)

For J/Ψ

Sample type	Ecms (GeV)	Run ID	Event number (Int. luminosity)
On-J/ψ (2009)	3.097	9947-10878	224.0±1.3M (80 pb-1)
On-J/ψ (2012)	3.097	27255-28236	1088.5±4.4M (315 pb-1)
On-J/ψ (2017-2019)	3.097	52940-54976 55861-56546 56788-59015	8774.0±39.4M (2571 pb-1)

For $\Psi(3686)$

Sample type	Ecms (GeV)	Run ID	Event number (Int. luminosity)
On-ψ(3686) (2009)	3.686	8093-9025	107.0±0.8M (161.63±0.13 pb-1)
On-ψ(3686) (2012)	3.686	25338-27090	341.1±2.1M (506.92±0.23 pb-1)

For $\Psi(3770)$

Sample type	Ecms (GeV)	Run ID	Int. luminosity	
On-ψ(3770) (2010)	3.773	11414-13988 14395-14604	2931.8±0.2±13.8 pb-1	
On-ψ(3770) (2011)	3.773	20448-23454		

For above 4.6 GeV:

Energy points	4.600 GeV	4.612 GeV	4.628 GeV	4.641 GeV	4.661 GeV	4.682 GeV	4.698 GeV
$Lumi(pb^{-1})$	566.90	103.45	519.93	548.15	527.55	1664.34	534.40

• BESIII is an ideal platform to study inclusive decay!

Thanks for your attention!

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