

GPDs for Nucleon to Resonance Transitions

Strong QCD

2024

Strong QCD from
Hadron Structure
Experiments - VI

Kyungseon Joo

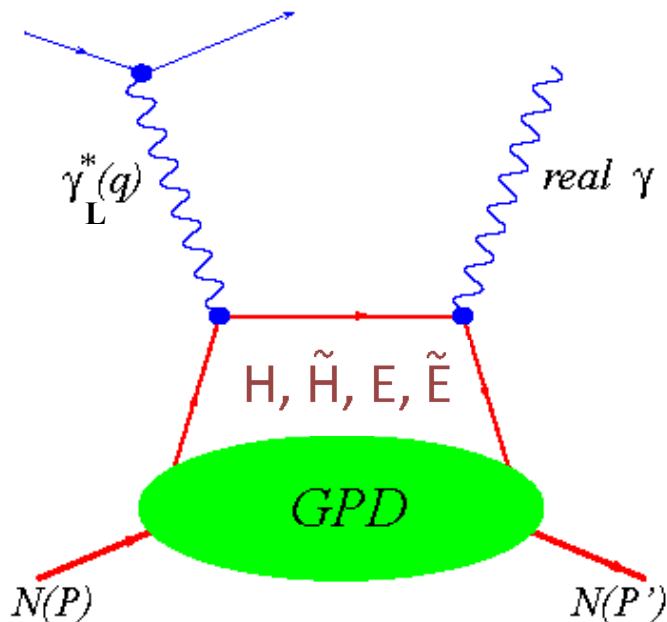
University of Connecticut
For the CLAS Collaboration

Motivations

1. Generalized Parton Distributions (GPDs) are a well-established tool for exploring the 3D structure of the nucleon
2. While extensive studies have been performed for the ground-state nucleon, little is known about the 3D structure of baryon resonances.
3. The nucleon-to-resonance ($N \rightarrow N^*$) transition GPDs may provide a unique tool for exploring the 3D structure and mechanical properties of baryon resonances.

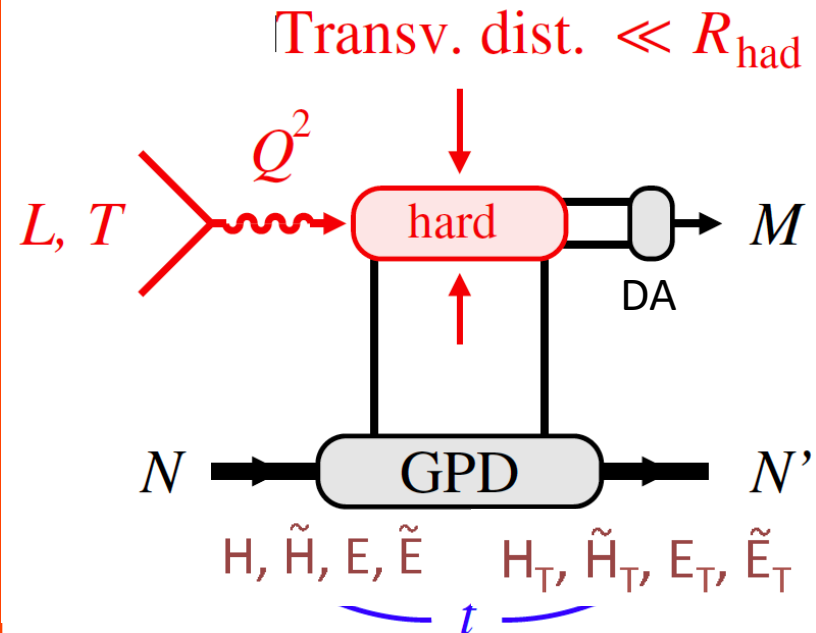
Study GPDs: Deeply Exclusive Processes

Deeply Virtual Compton Scattering (DVCS)



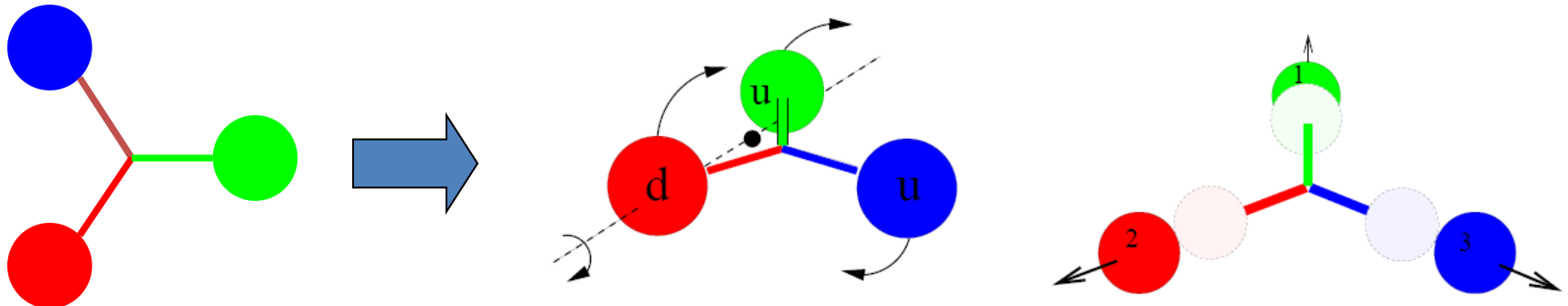
- + Clean process
- 4 twist2 chiral even GPDs: 2 unpolarized and 2 polarized.

Deeply Virtual Meson Production (DVMP)



- + Access to transversity degrees of freedom described by chiral-odd GPDs
- Distribution Amplitude (DA) is involved as additional soft non pert. quantity

From the ground state nucleon to resonances



How does the excitation affect the 3D structure of the Nucleon?

→ Pressure distributions, tensor charge, ... of resonances?

Traditional way: Study of transition form factors (**2D picture** of transv. position)

3D picture of the excitation process: Encoded in **transition GPDs**

Simplest case: $N \rightarrow \Delta$ transition → **16 transition GPDs**

P. Kroll and K. Passek-Kumericki, *Phys. Rev. D* 107, 054009 (2023).

K. Semenov, M. Vanderhaeghen, arXiv:2303.00119 (2023).

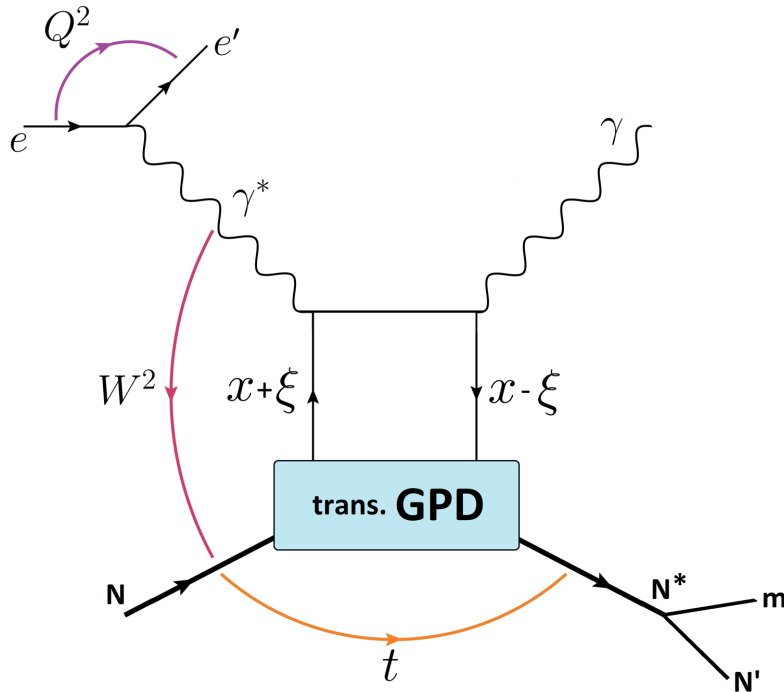
• **8 helicity non-flip transition GPDs (twist 2)**

- Related to the Jones-Scardon and Adler EM FF for the $N \rightarrow \Delta$ transition

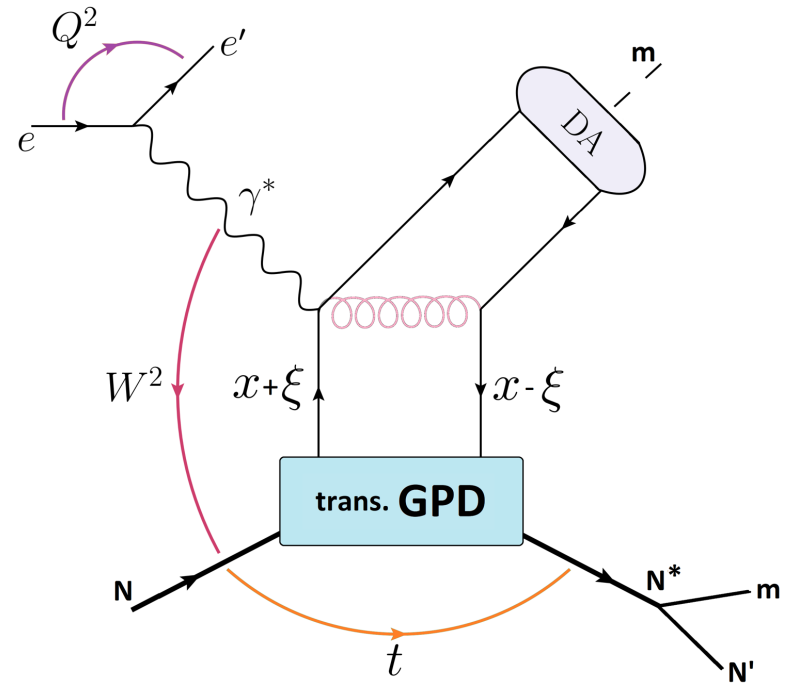
• **8 helicity flip transition GPDs (transversity)**

Non-diagonal DVCS / DVMP

non-diagonal DVCS



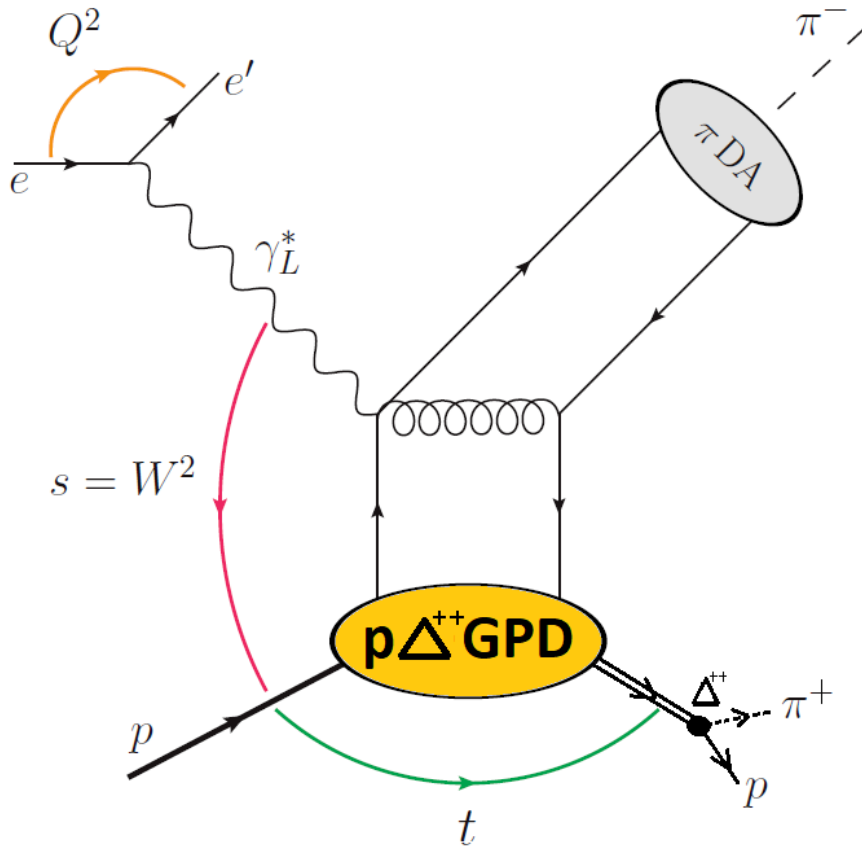
non-diagonal DVMP



factorization expected for: $-t/Q^2$ small, $Q^2 > M_{N^*}^2$ x_B fixed

$N \rightarrow \Delta(1232)$ transition GPDs: 8 twist-2 GPDs: 4 unpolarized, 4 polarized. [K. Semenov, M. Vanderhaeghen, arXiv:2303.00119 \(2023\)](https://arxiv.org/abs/2303.00119)

$$ep \rightarrow e\Delta^{++}\pi^- \rightarrow ep\pi^+\pi^-$$



Factorization expected for:

$$-t / Q^2 \ll 1, x_B \text{ fixed, and } Q^2 > M_\Delta^2$$

➔ Provides access to p - Δ transition GPDs

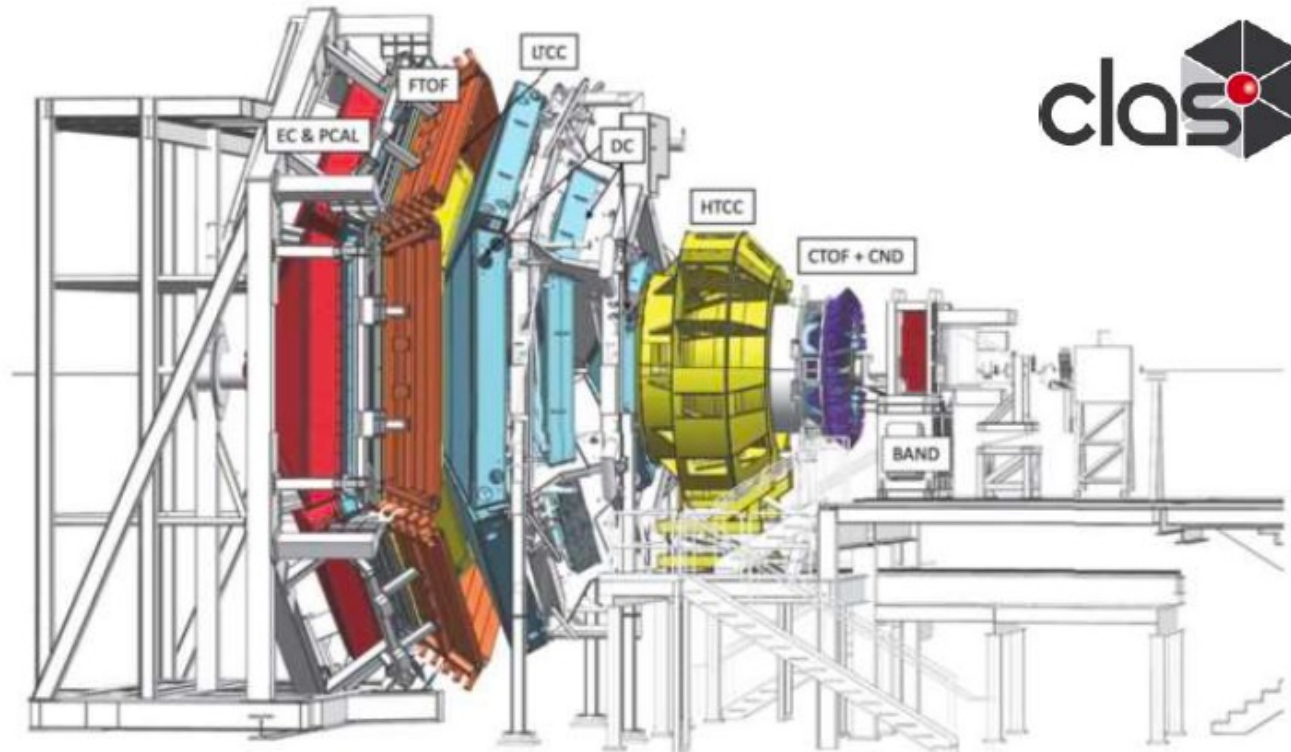
➔ 3D structure of the Δ resonance and of the excitation process

First Measurement of Hard Exclusive $\pi^- \Delta^{++}$ Electroproduction Beam-Spin Asymmetries off the Proton

S. Diehl^{34,6}, N. Trotta⁶, K. Joo⁶, P. Achenbach³⁹, Z. Akbar^{46,12}, W. R. Armstrong¹, H. Atac³⁸, H. Avakian³⁹, L. Baashen¹¹, N. A. Baltzell³⁹, L. Barion¹⁵, M. Bashkanov⁴⁵, M. Battaglieri¹⁷, I. Bedlinskiy²⁸, F. Benmokhtar⁸, A. Bianconi^{42,20}, A. S. Biselli⁹, F. Bossù⁴, K.-T. Brinkmann³⁴, W. J. Briscoe¹³, D. Bulumulla³³, V. Burkert³⁹, R. Capobianco⁶, D. S. Carman³⁹, J. C. Carvajal¹¹, A. Celentano¹⁷, G. Charles^{21,33}, P. Chatagnon^{39,21}, V. Chesnokov³⁶, G. Ciullo^{15,10}, P. L. Cole²⁵, M. Contalbrigo¹⁵, G. Costantini^{42,20}, V. Crede¹², A. D'Angelo^{18,35}, N. Dashyan⁴⁸, R. De Vita¹⁷, A. Deur³⁹, C. Djalali^{32,37}, R. Dupre²¹, M. Ehrhart^{21,*}, A. El Alaoui⁴⁰, L. El Fassi²⁷, L. Elouadrhiri³⁹, S. Fegan⁴⁵, A. Filippi¹⁹, G. Gavalian³⁹, D. I. Glazier⁴⁴, A. A. Golubenko³⁶, G. Gosta^{42,20}, R. W. Gothe³⁷, Y. Gotra³⁹, K. Griffioen⁴⁷, K. Hafidi¹, H. Hakobyan⁴⁰, M. Hattawy^{33,1}, T. B. Hayward⁶, D. Heddle^{5,39}, A. Hobart²¹, M. Holtrop²⁹, I. Illari¹³, D. G. Ireland⁴⁴, E. L. Isupov³⁶, H. S. Jo²⁴, R. Johnston²⁶, D. Keller⁴⁶, M. Khachatryan³³, A. Khanal¹¹, A. Kim⁶, W. Kim²⁴, V. Klimenko⁶, A. Kripko³⁴, V. Kubarovsky³⁹, S. E. Kuhn³³, V. Lagerquist³³, L. Lanza^{18,35}, M. Leali^{42,20}, S. Lee¹, P. Lenisa^{15,10}, X. Li²⁶, I. J. D. MacGregor⁴⁴, D. Marchand²¹, V. Mascagna^{42,41,20}, G. Matousek⁷, B. McKinnon⁴⁴, C. McLaughlin³⁷, Z. E. Meziani^{1,38}, S. Migliorati^{42,20}, R. G. Milner²⁶, T. Mineeva⁴⁰, M. Mirazita¹⁶, V. Mokeev³⁹, P. Moran²⁶, C. Munoz Camacho²¹, P. Naidoo⁴⁴, K. Neupane³⁷, S. Niccolai²¹, G. Niculescu²³, M. Osipenko¹⁷, P. Pandey³³, M. Paolone^{30,38}, L. L. Pappalardo^{15,10}, R. Paremuzyan^{39,29}, S. J. Paul⁴³, W. Phelps^{5,13}, N. Pilleux²¹, M. Pokhrel³³, J. Poudel^{33,†}, J. W. Price², Y. Prok³³, A. Radic⁴⁰, B. A. Raue¹¹, T. Reed¹¹, J. Richards⁶, M. Ripani¹⁷, J. Ritman^{14,22}, P. Rossi^{39,16}, F. Sabatié⁴, C. Salgado³¹, S. Schadmand¹⁴, A. Schmidt^{13,26}, Y. G. Sharabian³⁹, U. Shrestha^{6,32}, D. Sokhan^{4,44}, N. Sparveris³⁸, M. Spreafico¹⁷, S. Stepanyan³⁹, I. Strakovsky¹³, S. Strauch³⁷, M. Turisini¹⁶, R. Tyson⁴⁴, M. Ungaro³⁹, S. Vallarino¹⁵, L. Venturelli^{42,20}, H. Voskanyan⁴⁸, E. Voutier²¹, D. P. Watts⁴⁵, X. Wei³⁹, R. Williams⁴⁵, R. Wishart⁴⁴, M. H. Wood³, M. Yurov²⁷, N. Zachariou⁴⁵, Z. W. Zhao^{7,33} and M. Zurek¹

(CLAS Collaboration)

CLAS12 at JLAB



V. Burkert et al., Nucl. Instr. Meth. A 959, 163419 (2020)

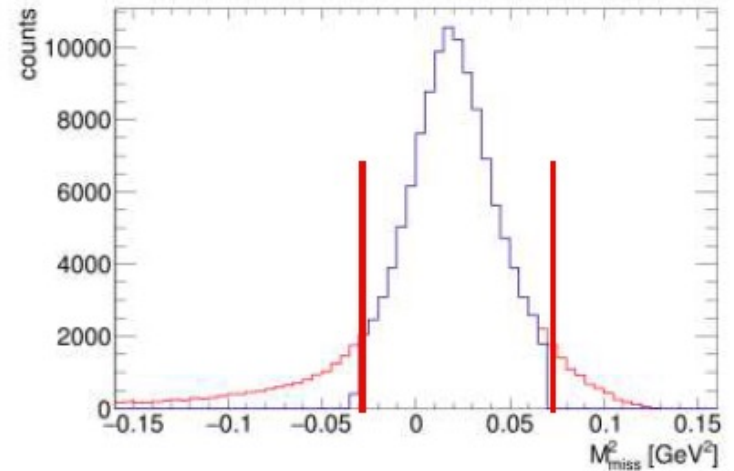
- Data recorded with CLAS12 during fall 2018 and spring 2019 (RG-A)
 - 10.6 GeV / 10.2 GeV electron beam ~ 86 % average polarization
 - liquid H₂ target

Event Selection and Kinematic Cuts

Event selection: $ep \rightarrow ep\pi^- X$

$$X = \pi^+$$

→ 2 sigma cut around the missing π^+



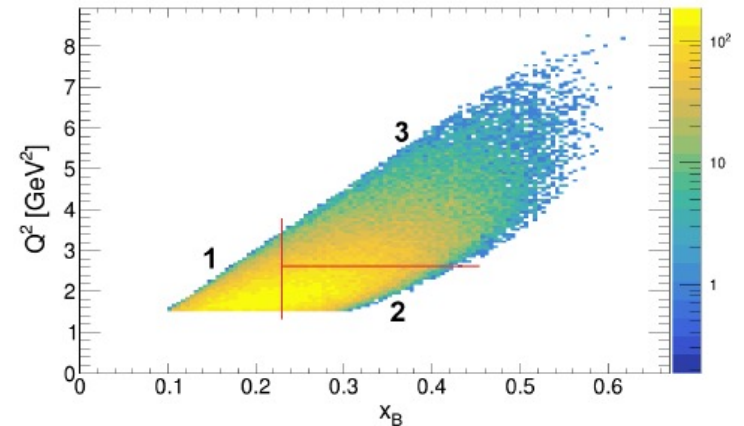
Kinematic cuts:

$$Q^2 > 1.5 \text{ GeV}^2$$

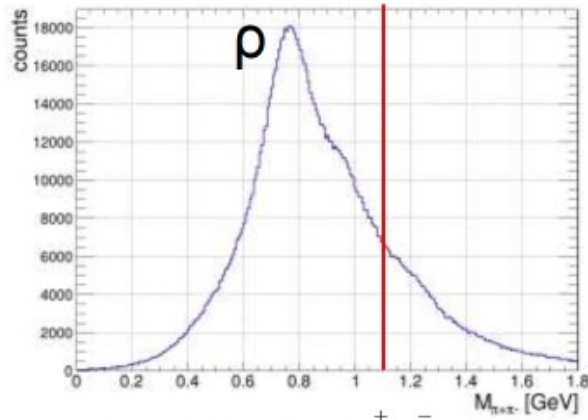
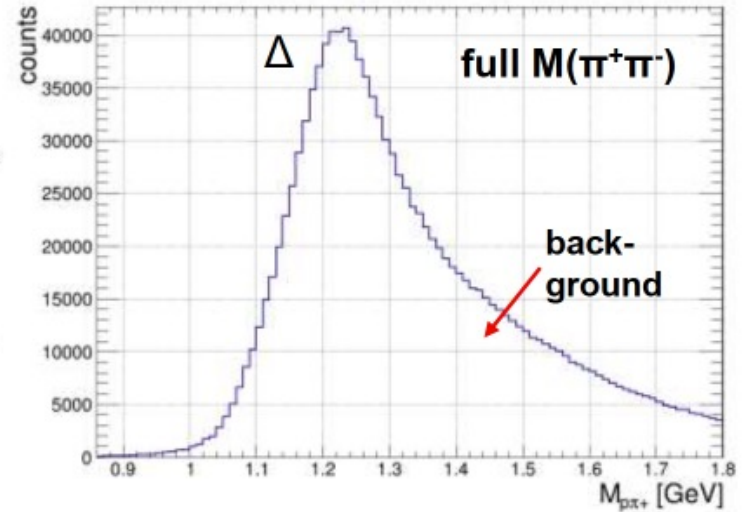
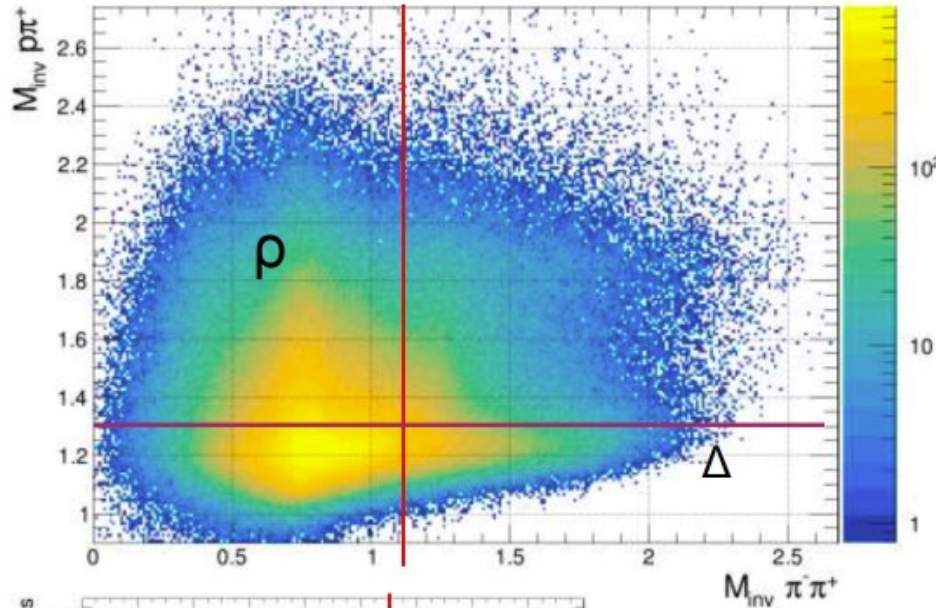
$$W > 2 \text{ GeV}$$

$$y < 0.75$$

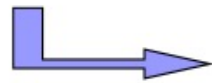
$$-t < 1.5 \text{ GeV}^2$$



Event Selection and Background Rejection



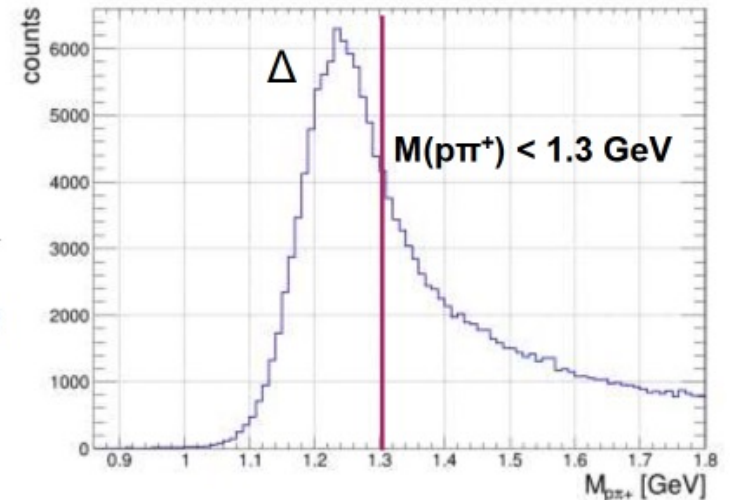
$M(\pi^+\pi^-) > 1.1 \text{ GeV}$



ρ contamination

$< 0.8 \%$

$ep \rightarrow ep\rho \rightarrow ep\pi^+\pi^-$



Monte Carlo Simulations

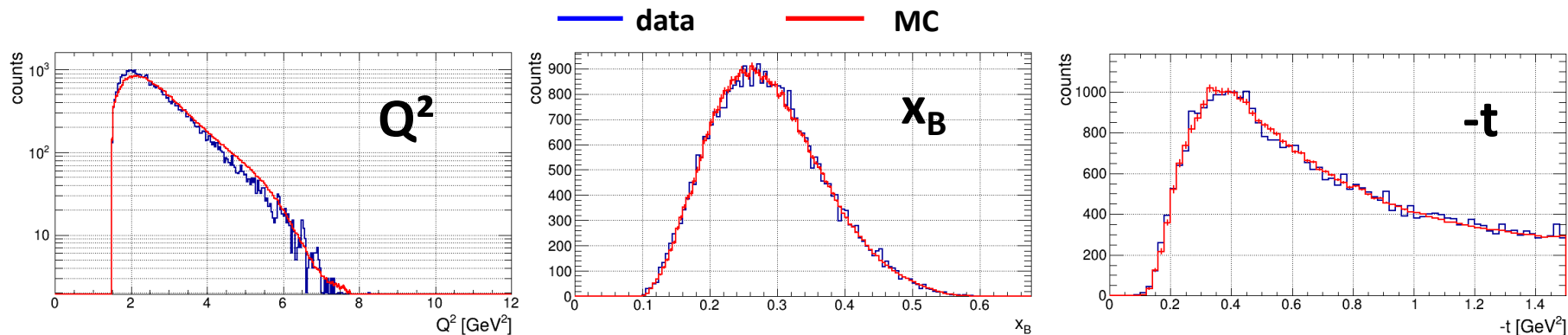
2 MC samples have been used:

a) Background: Semi-inclusive DIS MC

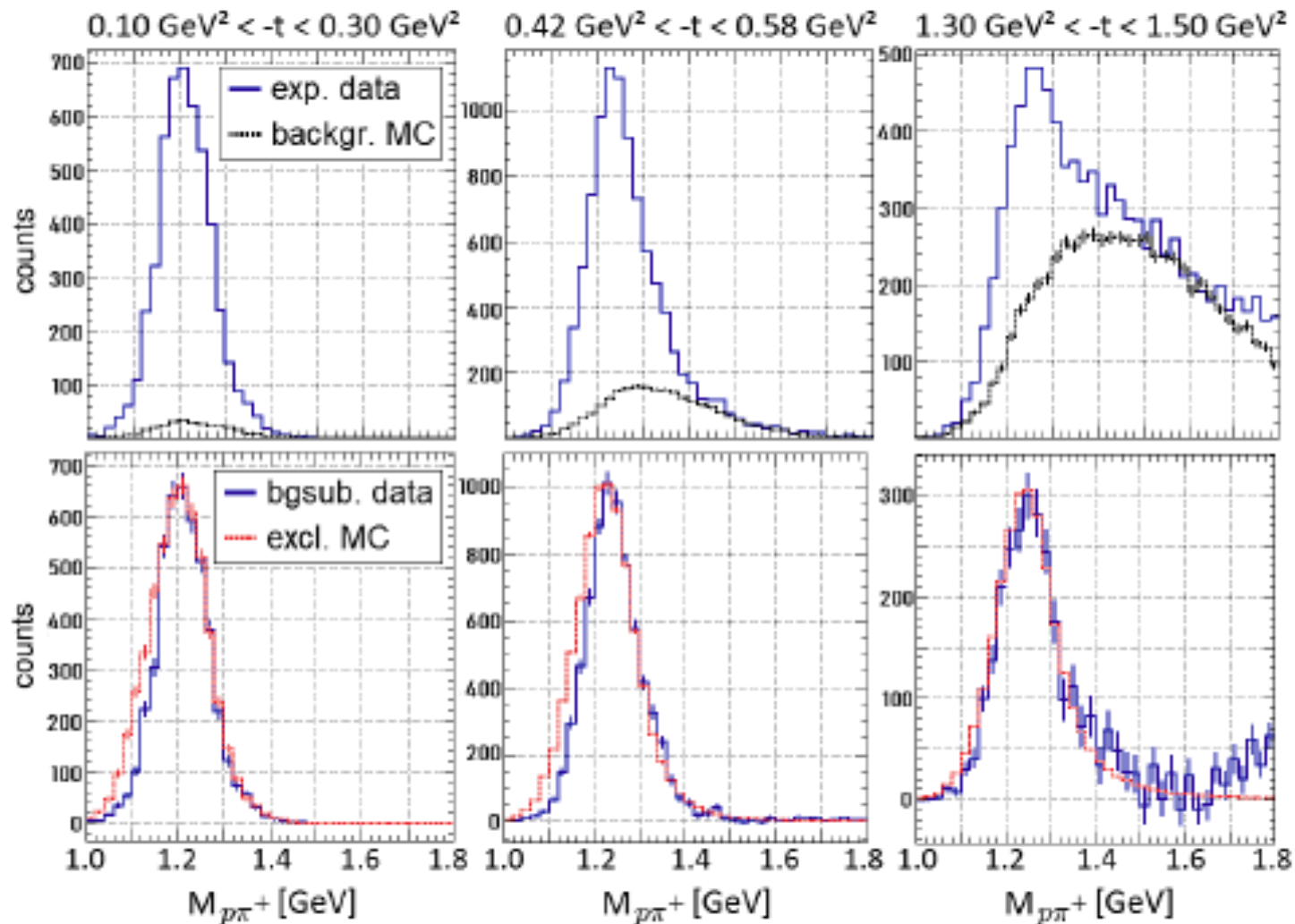
- Does not contain the $\pi^- \Delta^{++}$ production in "forward" kinematics
- Contains nonresonant 2-pion background as well as ρ production and other potential background channels
- Used to estimate background shape and contaminations

b) Signal: Exclusive $\pi^- \Delta^{++}$ MC

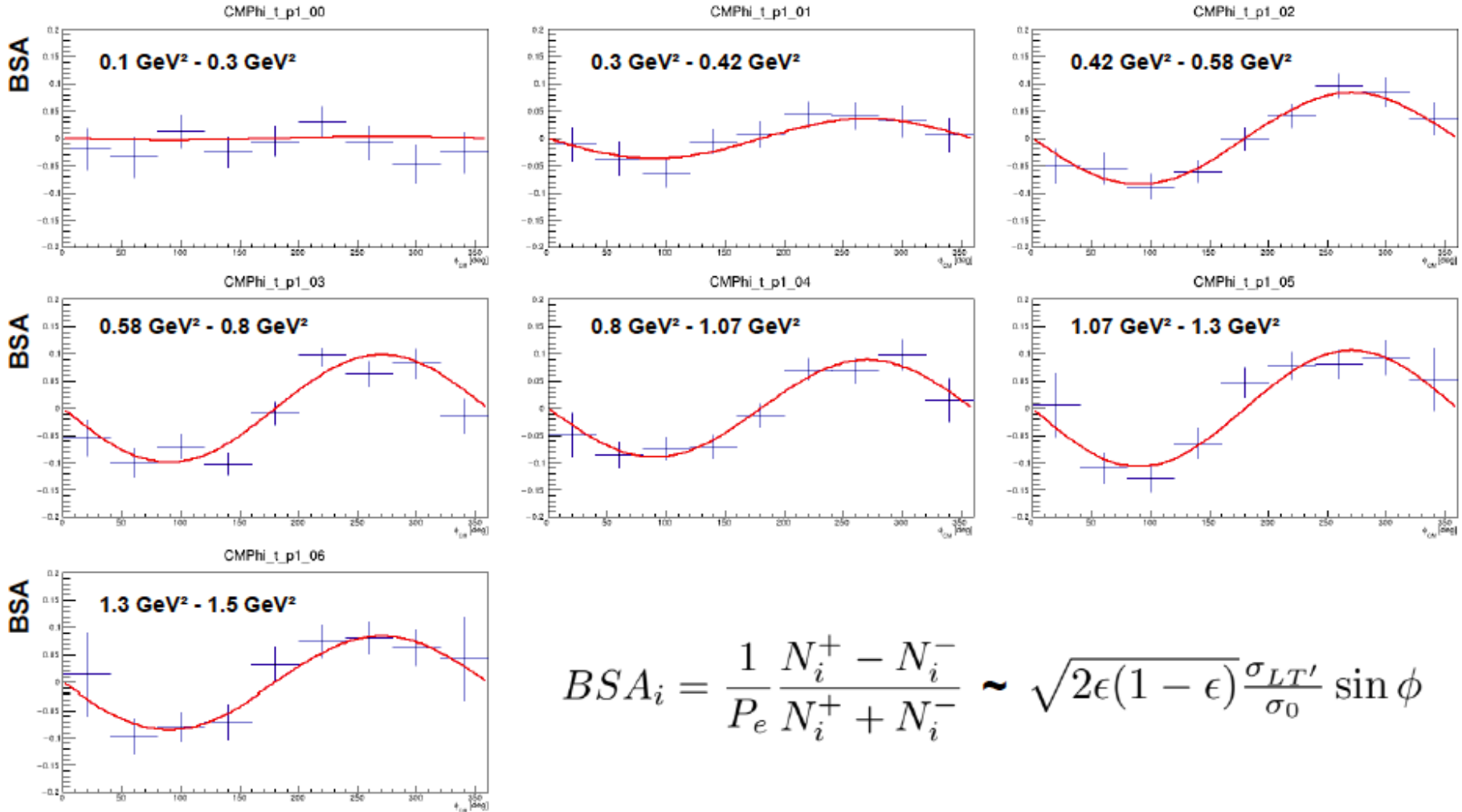
- Phase space simulation with a weight added to match experimental data
 - Δ peak with PDG mass and FWHM
- ➔ Both MCs are processed through the full simulation and reconstruction chain



Signal and Background Separation

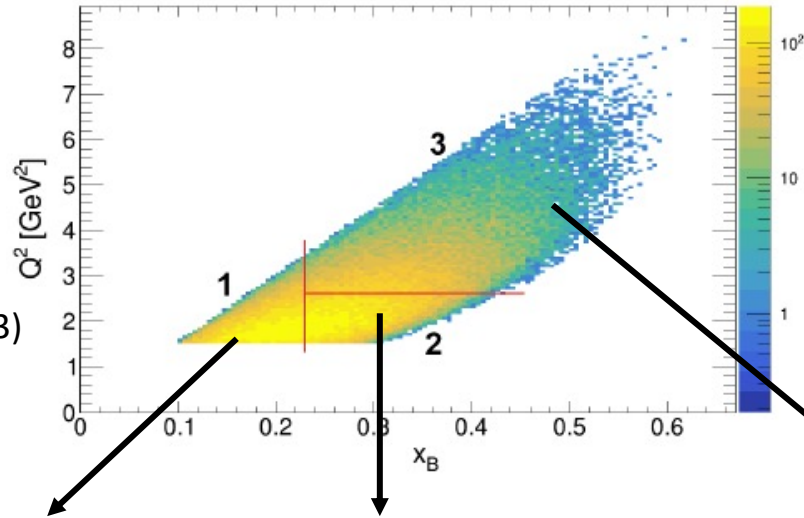


Resulting Beam Spin Asymmetries (Q^2 - x_B integrated)



$$BSA_i = \frac{1}{P_e} \frac{N_i^+ - N_i^-}{N_i^+ + N_i^-} \sim \sqrt{2\epsilon(1-\epsilon)} \frac{\sigma_{LT'}}{\sigma_0} \sin \phi$$

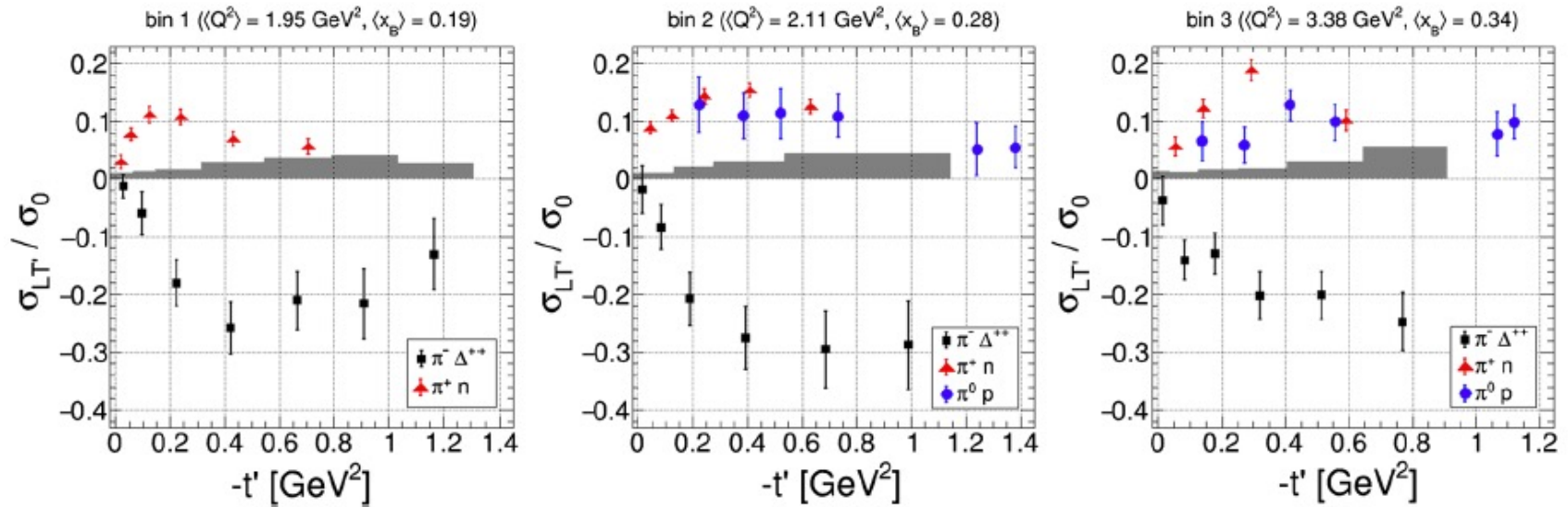
Results



S. Diehl et al. (CLAS collab.),
Phys. Rev. Lett. 131, 021901 (2023)

S. Diehl et al. (CLAS collab.)
Phys. Lett. B 839, 137761 (2023)

A. Kim, submitted to PLB



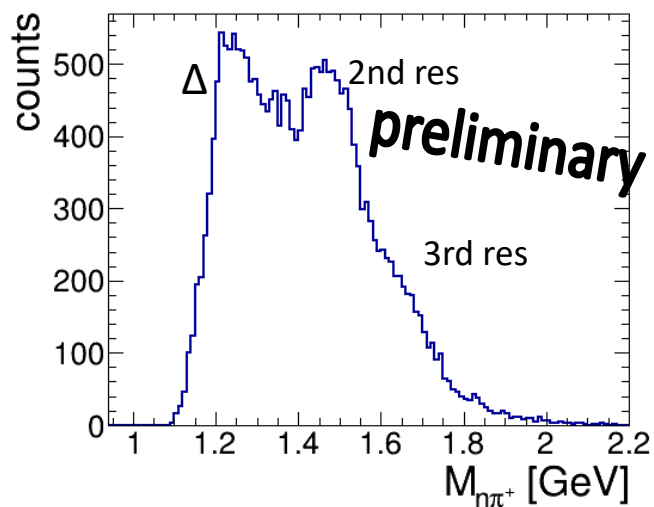
Outlook and Next Steps

$$ep \rightarrow e\Delta^{++}\pi^- \rightarrow \underbrace{ep\pi^+\pi^-}_{I_z = +3/2}$$

- The $p\pi^+$ final state can **only** be populated by **Δ -resonances**
- Large gap between $\Delta(1232)$ and higher resonances

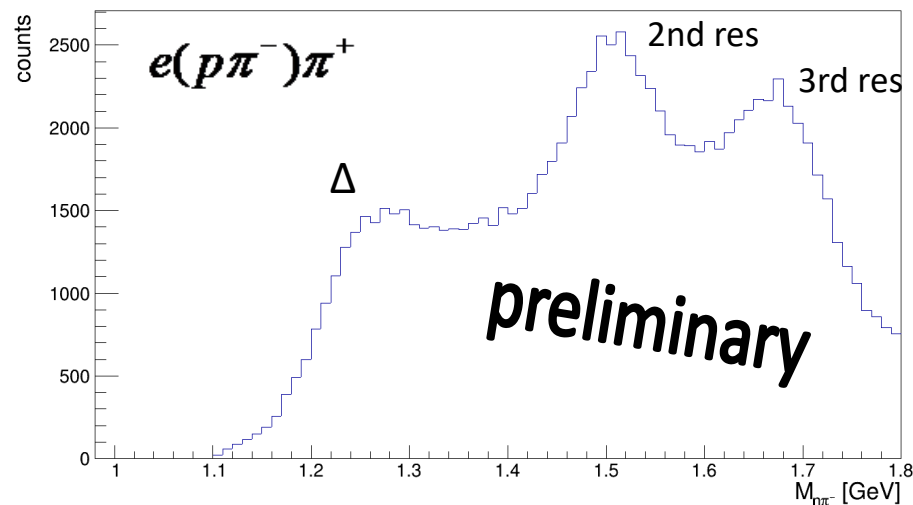
non-diagonal DVCS

$$ep \rightarrow e'\Delta^+\gamma \rightarrow e'n\pi^+\gamma$$



Other non-diagonal DVMP channels

$$ep \rightarrow e\Delta^0\pi^+ \rightarrow e(p\pi^-\pi^+$$



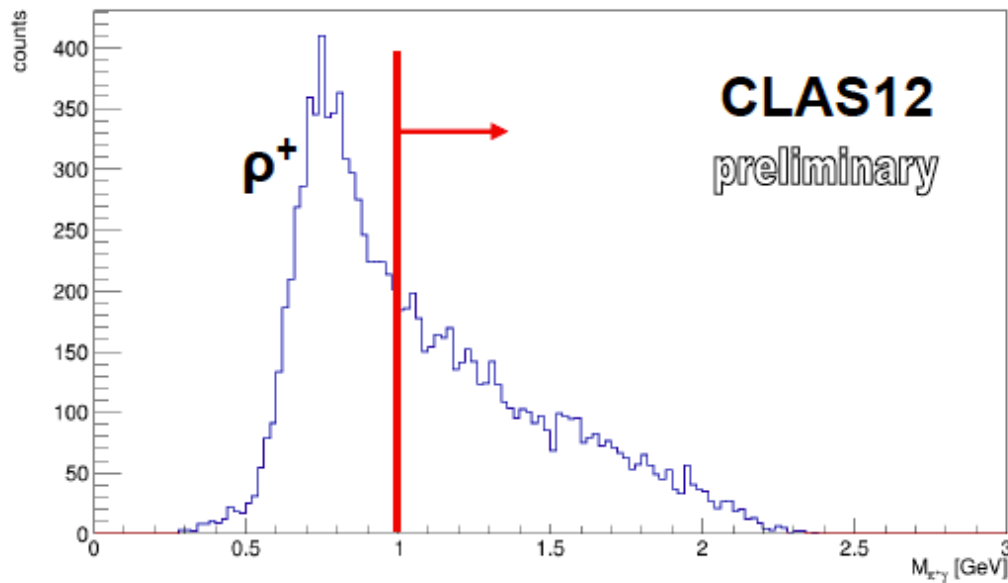
Non-Diagonal DVCS

$$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$$

Kinematic cuts: $W > 2 \text{ GeV}$ $Q^2 > 1 \text{ GeV}^2$ $y < 0.8$ $-t < 2 \text{ GeV}^2$ $E_{\text{DVCS}} > 2 \text{ GeV}$

Background:

$M(\pi^+ \gamma)$ for $1.13 \text{ GeV} < M(\pi^+ n) < 1.33 \text{ GeV}$

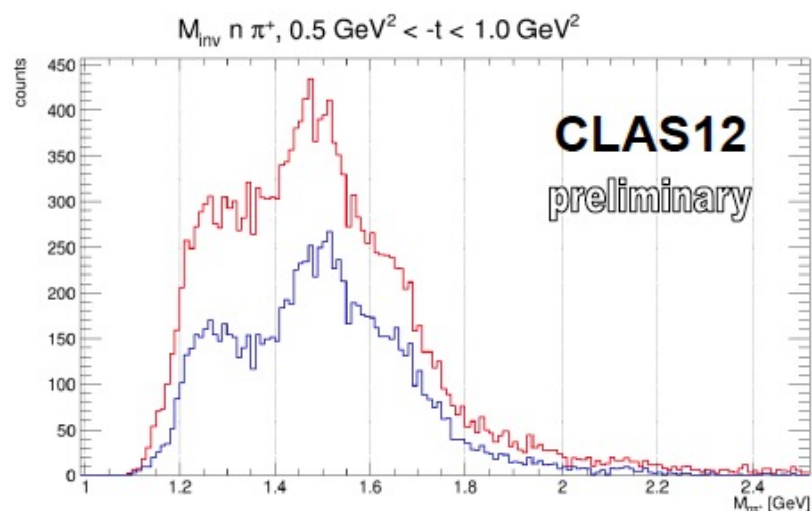
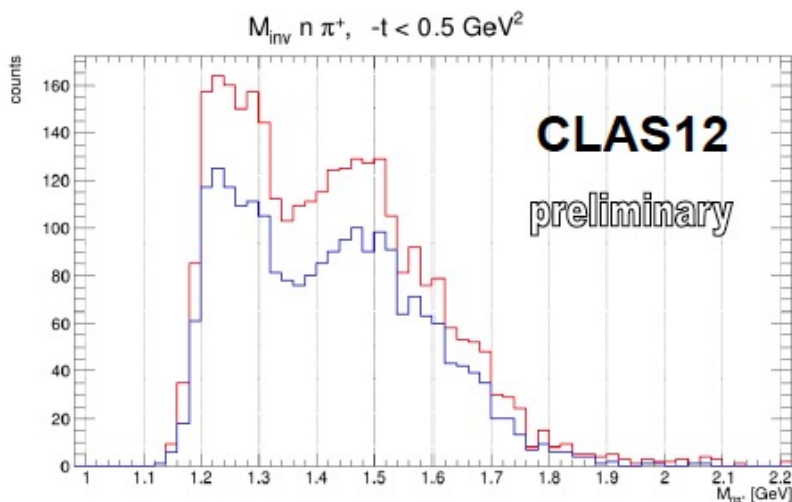


- Dominant background from $\rho^+ \rightarrow \pi^+ \gamma$

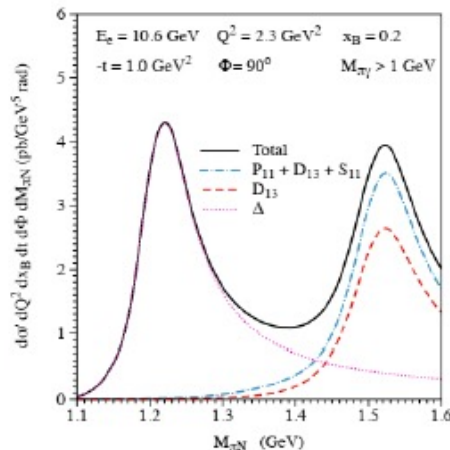
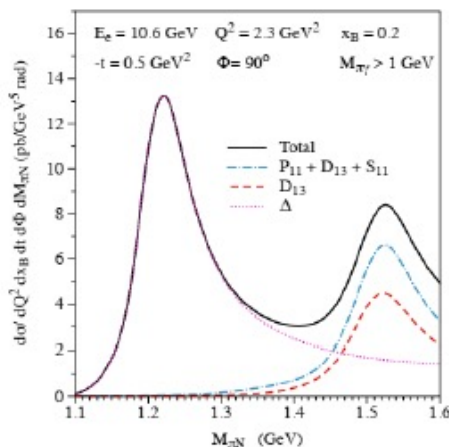
$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$

$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$

— raw — $M(\pi^+\gamma) > 1.0 \text{ GeV}$



Semenov-Tian-Shansky,
Vanderhaeghen,
arXiv:2303.00119 (2023)

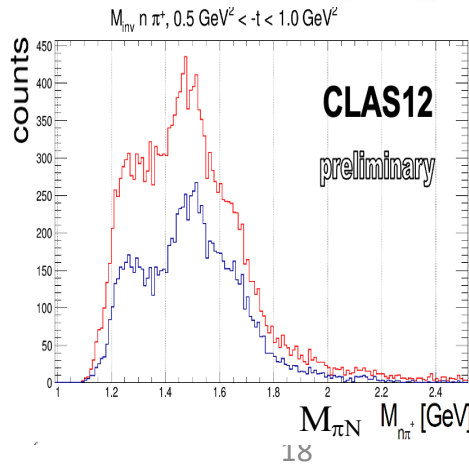
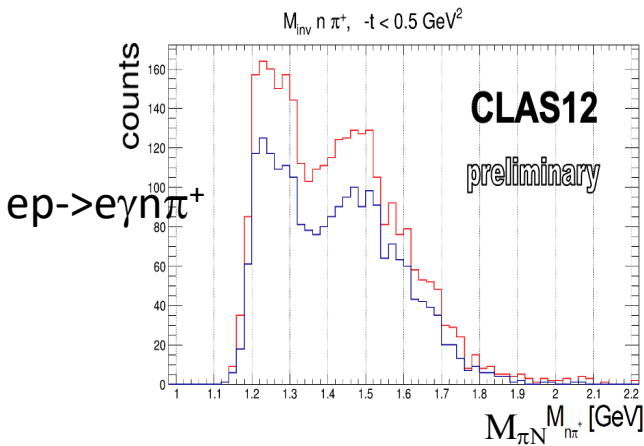
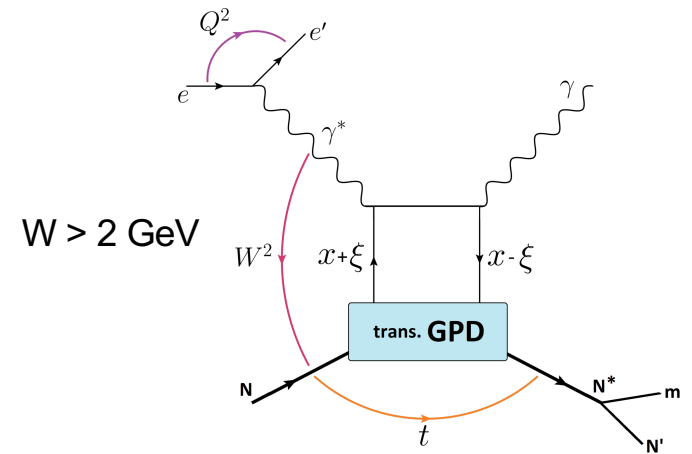
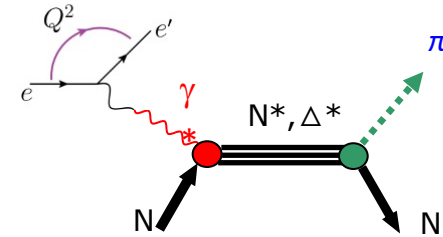
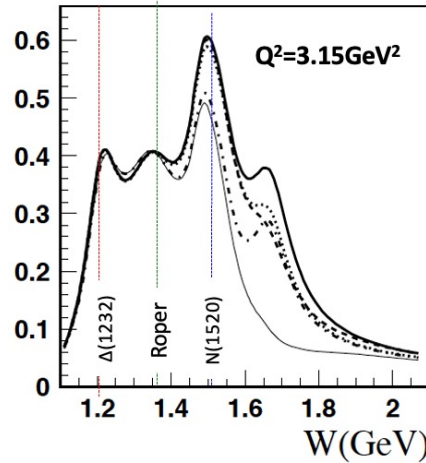
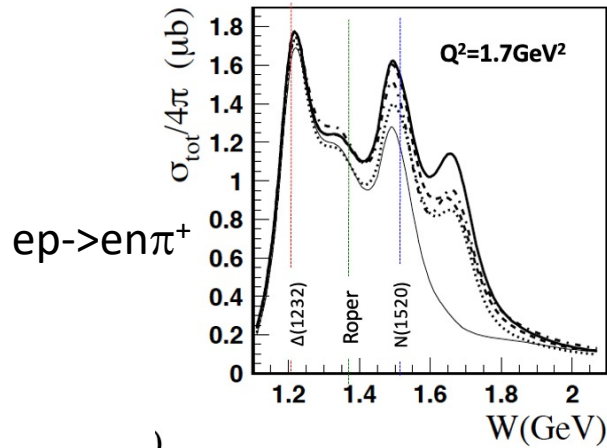


- study in progress
- π^+ mainly in CD and low momentum
- Good agreement of BSA
- awaiting pass 2

$ep \rightarrow en\pi^+$

vs.

$ep \rightarrow e\gamma n\pi^+$



Electron Scattering Binning Scheme

	Resonance Region	DIS Region
Inclusive Scattering	Q^2, W	Q^2, x_B
Exclusive Process ($\gamma, \pi, \rho, \phi, \dots$)	$Q^2, W, \cos\theta^*, \phi$	$Q^2, x_B, -t, \phi$
Off-diagonal DVCS or DVMP		$Q^2, x_B, -t, \phi, M_{\pi N}, \cos\theta^*, \phi^*$

Conclusion and Outlook

1. Hard exclusive $\pi^- \Delta^{++}$ production has been measured with CLAS12 and provides a first observable sensitive to $N \rightarrow \Delta$ transition GPDs. (Phys. Rev. Lett. 131, 021901 (2023))
2. The obtained BSA is clearly negative and ~ 2 times larger than for π^+
3. Transition GPDs based description of the reaction exists by P. Kroll and K. Passek-Kumericki (Phys. Rev. D 107, 054009 (2023)), but a reliable prediction of BSAs is not available due to missing experimental constraints to the transversity transition GPDs.

Outlook

1. The $N \rightarrow N^*$ DVCS and $N \rightarrow N^*$ DVMP processes are under investigation by scanning a wide range of invariant mass of $N\pi$.
2. First data on these reactions are becoming available from experiments at JLab12, but detailed strategies for their analysis and theoretical interpretation need to be developed.
3. A new proposal would be submitted to JLAB PAC in the near future for high statistics run in 7D: $Q^2, x_B, t, \phi, M_{N\pi}, \theta^*, \phi^*$

BACKUP

Generalized Parton Distributions (GPDs)

$$\rho(x, \vec{k}_T, \vec{b}_T)$$

$$\int d^2 k_T$$

Integrate over transverse
momentum space

Generalized Parton Distributions
(GPDs)

3-D nucleon images in the
transverse coordinate and
longitudinal momentum space

S. Liuti et al., Phys. Rev. D 84, 034007
(2011) (GGL)

P. Kroll et al., Eur. Phys. J. A 47, 112
(2011) (GK)

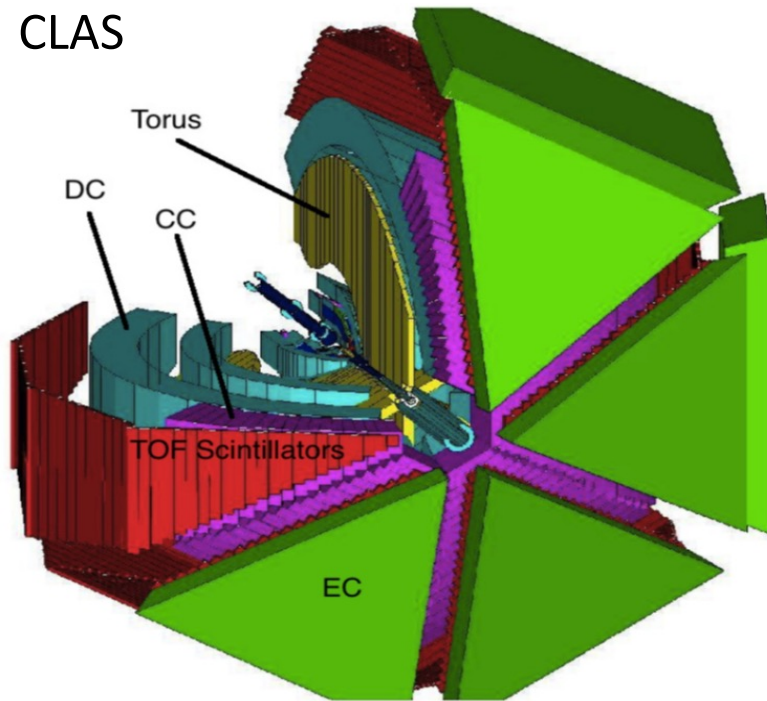
quark pol.

N/q	<i>U</i>	<i>L</i>	<i>T</i>
<i>U</i>	<i>H</i>		\bar{E}_T
<i>L</i>		\tilde{H}	\tilde{E}_T
<i>T</i>	<i>E</i>	\tilde{E}	H_T, \tilde{H}_T

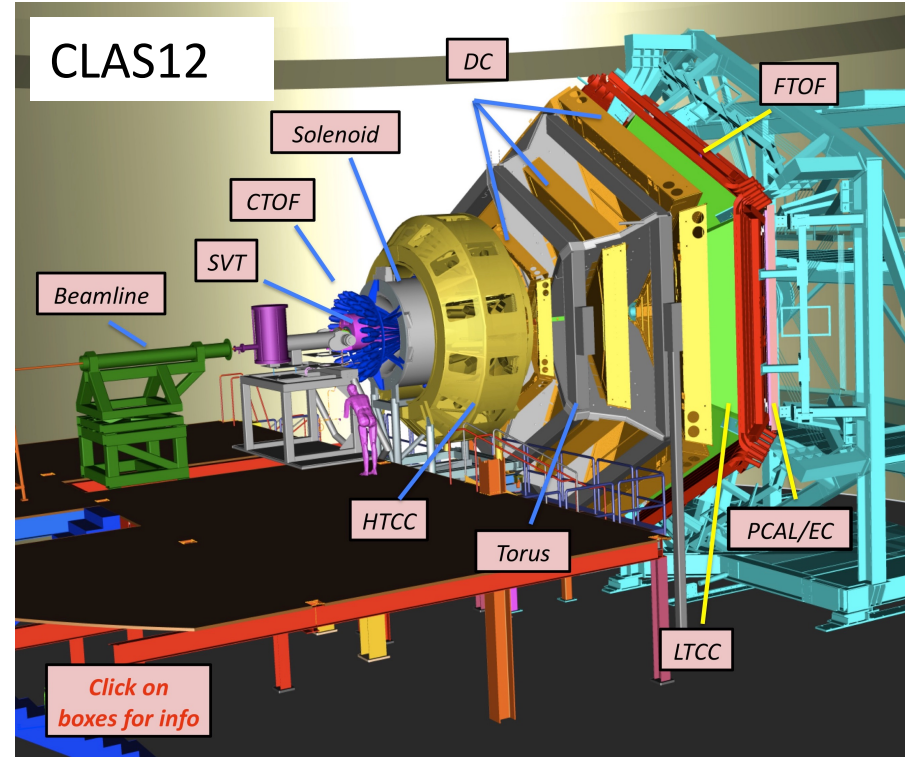
nucleon pol.

$$\bar{E}_T = 2\tilde{H}_T + E_T$$

CLAS



CLAS12



Transition Form Factors
(N^* Physics) at 6 GeV JLab Era



Transition GPDs ($3D N^*$ Physics)
at 12-22 GeV JLab Era

Sources of Systematic Uncertainty

1. Uncertainty of the background subtraction

→ 2 sources of uncertainty: S/B ratio and sideband asymmetry

→ Both sources were varied within their uncertainty range

→ Typically in the order of 1.5 % (low -t) - 12.5 % (high -t) (stat. \sim 12 – 25 %)

→ Dominant sys. uncertainty for the high -t bins

2. Uncertainty of the beam polarization \sim 3.1 %

3. Effect of the extraction method and the denominator terms \sim 2.8 %

4. Acceptance and bin-migration effects \sim 2.9 %

→ Comparison of injected and reconstructed BSA in the MC

5. Radiative effects \sim 3.0 %

6. Other sources (particle ID, fiducial cuts, ...) $<$ 2.0 %

Total: 7.1 - 14.3 %

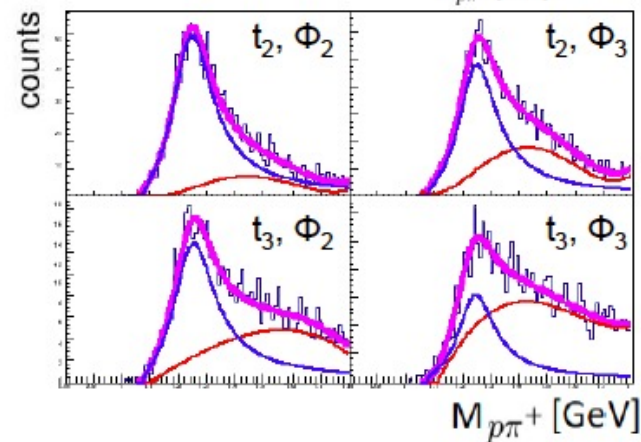
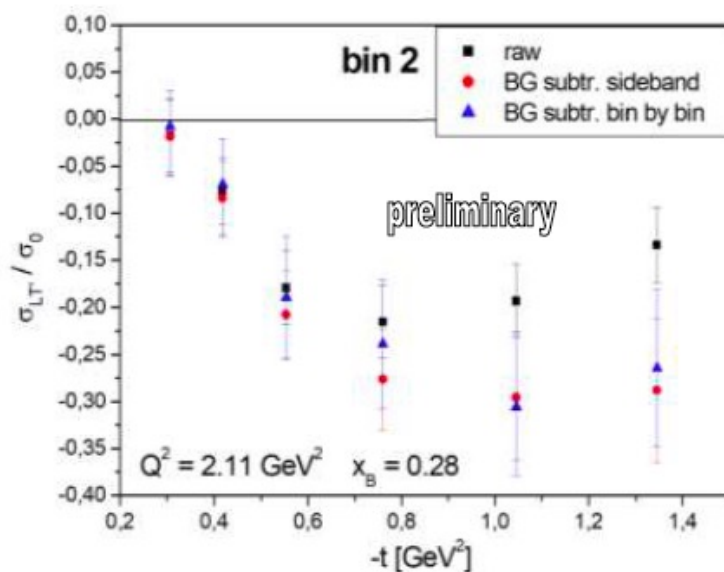
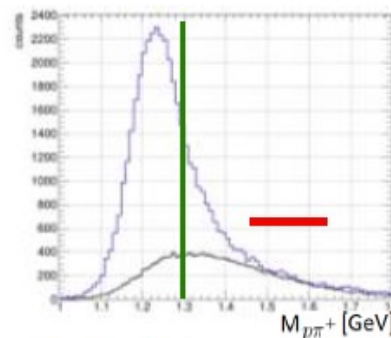
Background Asymmetry Subtraction

Method 1: A sideband based background subtraction

- S/B ratio from a fit of the signal shape and background asymmetry from the sideband

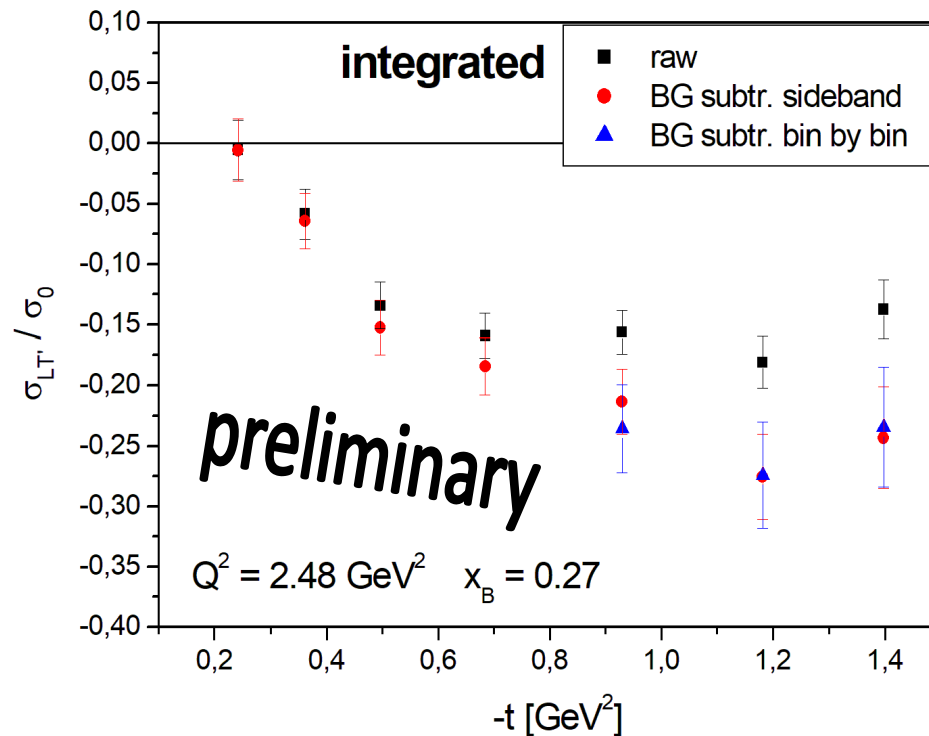
Method 2: A bin-by-bin background subtraction

- Fit of the $p\pi^+$ inv. mass with a „Sill“ function and a 5th order polynomial in each Q^2 , x_B , $-t$, Φ bin.



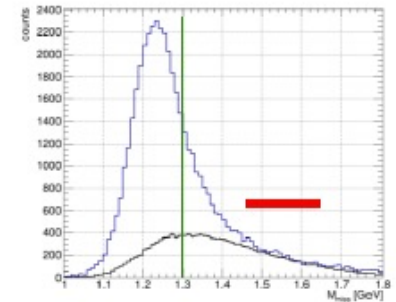
Background Subtraction

- Based on the obtained S/B ratio and based on the asymmetry of the sideband, the contribution of the non-resonant background has been subtracted.
- As a crosscheck, a bin-by-bin background subtraction has been performed with a fit of the signal and background function in each phi bin and for each helicity state.
- A good agreement of the two methods has been found.

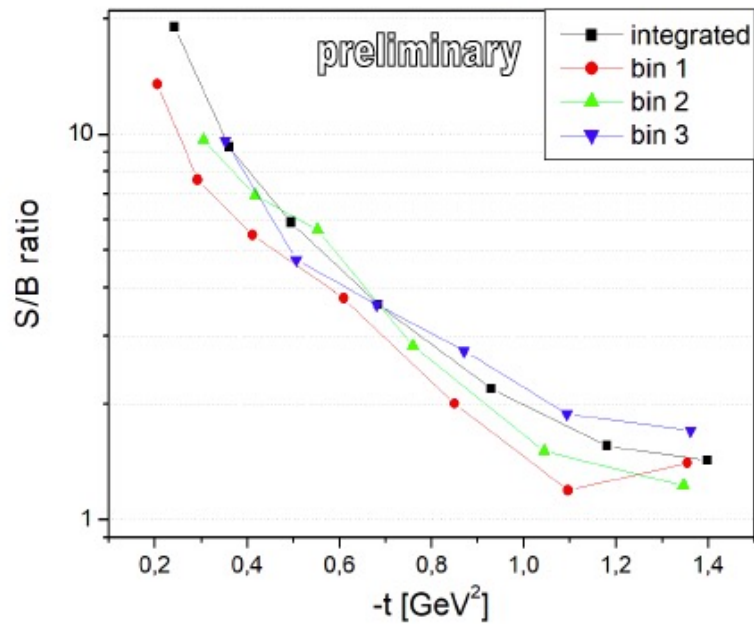


Background Subtraction

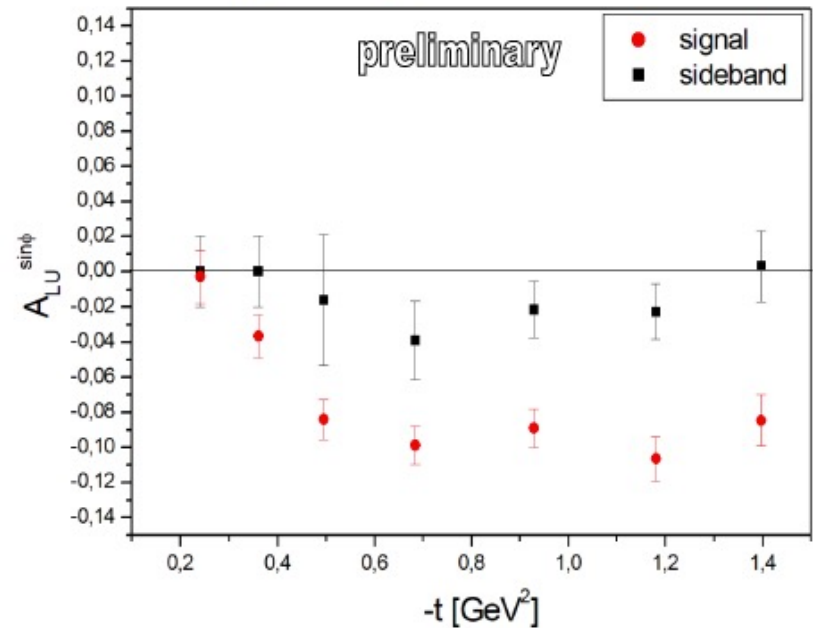
Method 1: A sideband based background subtraction



S/B ratio based on
data - MC comparison



asymmetry of the sidebands



Background Subtraction

Method 2: A bin-by-bin background subtraction

- Fit of the $\rho\pi^+$ inv. mass with a „Sill“ function and a 5th order polynomial in each Q^2 , x_B , $-t$, Φ bin.

