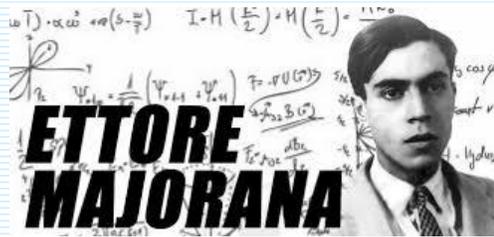
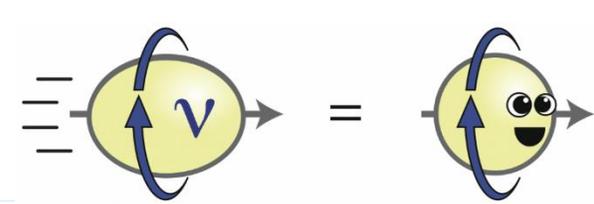


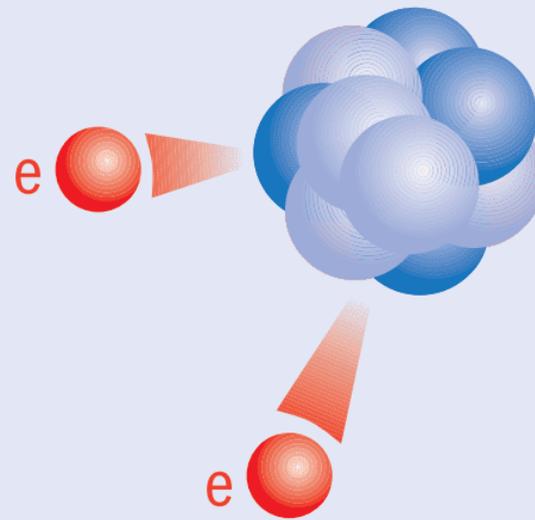
International Symposium on Neutrino Physics and Beyond  
HKUST Jockey Club Institute for Advanced Study,  
Hong Kong, China, Feb 18 – 21, 2024



ENDLESS DECAYING  
**WORLD**



UNKNOWN WRITER



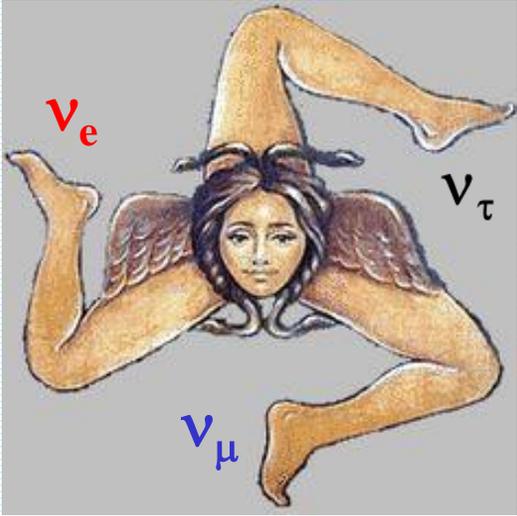
**Review on  $0\nu 2\beta$  Decays**  
*(unique decay)*  
**Fedor Šimkovic**

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**WORLD**



UNKNOWN WRITER

# OUTLINE



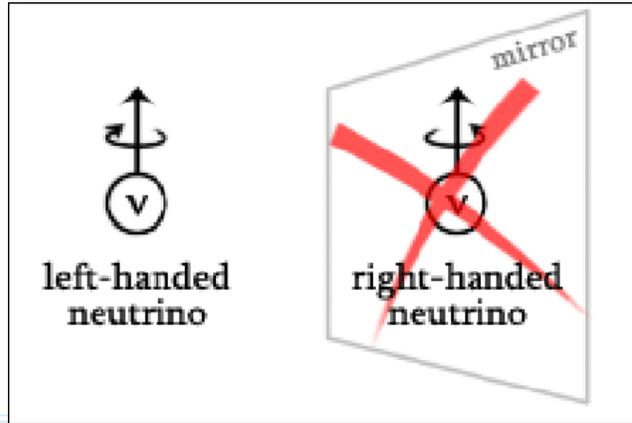
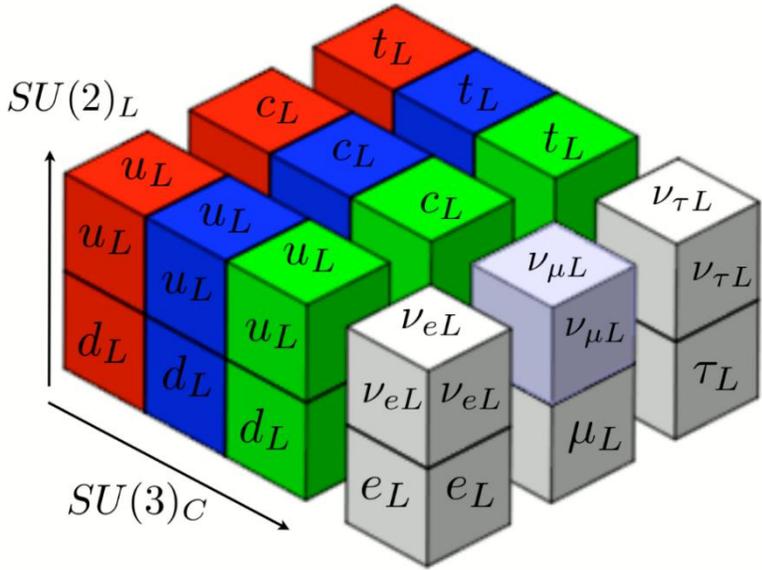
- I. Introduction**
- II. The  $0\nu\beta\beta$ -decay is a particle physics problem**  
(QCSS scenario, sterile  $\nu$ , LR symmetric model, Quasi-Dirac  $\nu$ , neutrino-antineutrino oscillations)
- III. The  $0\nu\beta\beta$ -decay is a nuclear physics problem**  
(status of NMEs calculation, contact term,  $g_A$ , supporting nuclear physics activities -  $2\nu\beta\beta$ -decay, muon capture, DCE heavy ion reactions )
- IV. The  $0\nu\beta\beta$ -decay is an atomic physics problem**  
(electron exchange effect radiative corrections, atomic relaxation time, atomic overlap factor, etc.)
- V. Outlook**

# Standard Model

(an astonishing successful theory, based on few principles)

$\nu$  is a special particle in SM:

- It is the only fermion that **does not carry electric charge** (like  $\gamma$ ,  $g$ ,  $H^0$ )
- There are only **left-handed  $\nu$ 's** ( $\nu_{eL}$ ,  $\nu_{\mu L}$ ,  $\nu_{\tau L}$ )
- **$\nu$ -mass** can not be generated with any renormalizable coupling with the Higgs fields through SSB



**$\nu$ 's oscillations experiments**  
 $\Rightarrow$  tiny neutrino masses (!)  
 $\Rightarrow$  Beyond SM physics (!)



, etc

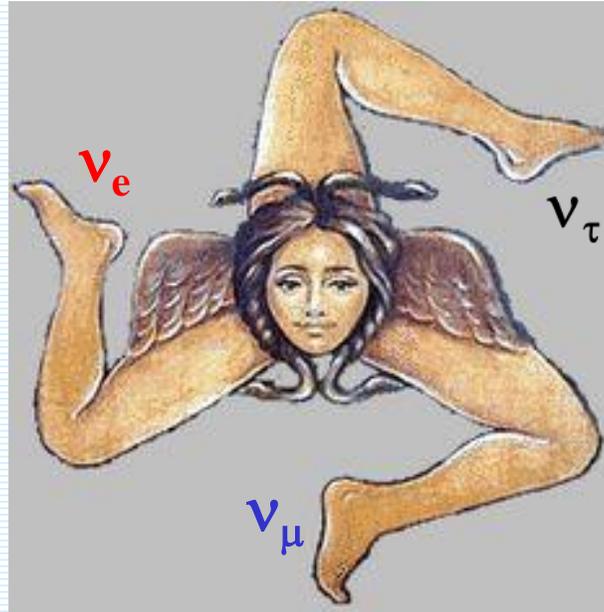


After 94/68 years we know

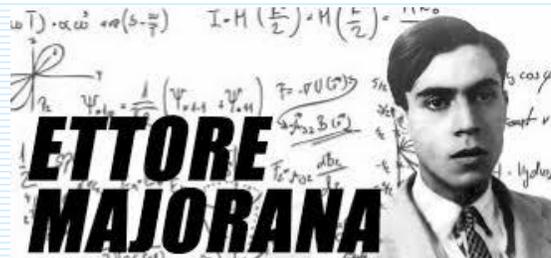
## Fundamental $\nu$ properties

No answer yet

- 3 families of light (V-A) neutrinos:  
 $\nu_e, \nu_\mu, \nu_\tau$
- $\nu$  are massive:  
we know mass squared differences
- relation between flavor states and mass states (neutrino mixing)

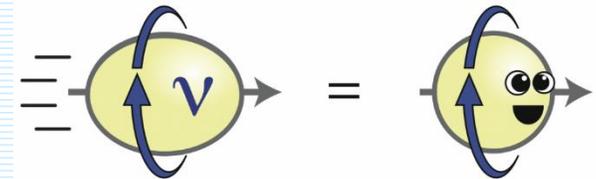


- Are  $\nu$  Dirac or Majorana?
- Is there a CP violation in  $\nu$  sector?
- Are neutrinos stable?
- What is the magnetic moment of  $\nu$ ?
- **Sterile neutrinos?**
- Statistical properties of  $\nu$ ? Fermionic or partly bosonic?



Currently main issue

*Nature, Mass hierarchy, CP-properties, sterile  $\nu$*



The observation of neutrino oscillations has opened a new excited era in neutrino physics and represents a big step forward in our knowledge of neutrino properties



# Majorana fermions

## Ettore Majorana

*Teoria simmetrica dell'elettrone e del positrone*  
(A symmetric theory of electrons and positrons).  
Il Nuovo Cimento, 14: 171–184, 1937.) 171

$\nu$  is its own antiparticle

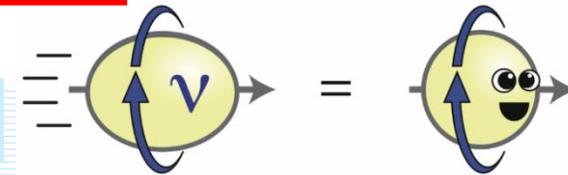


## Bruno Pontecorvo

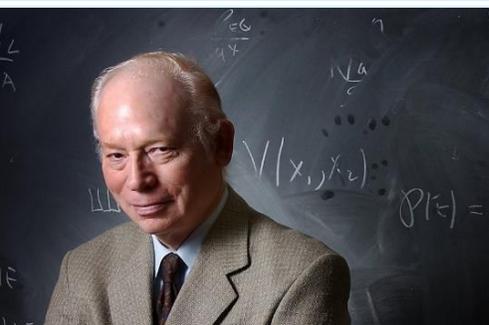
*Inverse beta processes and nonconservation of lepton charge*  
Zhur. Eksptl'. i Teoret. Fiz.  
34, 247 (1958)

It follows from the above assumptions that in vacuum a neutrino can be transformed into an antineutrino and vice versa. This means that the neutrino and antineutrino are “mixed” particles, i.e., a symmetric and antisymmetric combination of two truly neutral Majorana particles  $\nu_1$  and  $\nu_2$  of different combined parity.<sup>5</sup>

$\nu \leftrightarrow$  anti- $\nu$  oscillation



**Steve Weinberg**  
 **$\nu$ -mass generation via d=5 eff. oper. related to unknown high energy scale (GUT?)**



thought massless back in 1979. Weinberg does not take credit for predicting neutrino masses, but he thinks it's the right interpretation. What's more, he says, the non-renormalisable interaction that produces the neutrino masses is probably also accompanied with non-renormalisable interactions that produce proton decay and other things that haven't been observed, such as violation of baryon-number conservations. “We don't know anything about the details of those terms, but I'll swear they are there.”

## Dirac

$$L_{\text{mass}}^D = - \sum_{\alpha\beta} \bar{\nu}_{\alpha R} M_{\alpha\beta}^D \nu_{\beta L} + H.c.$$

$$= - \sum_{k=1}^3 m_k \bar{\nu}_k \nu_k$$

$$\alpha, \beta = e, \mu, \tau, \quad V^\dagger M^D U = M_{\text{diag}}^D$$

## Neutrino Mass Term

## Majorana

$$L_{\text{mass}}^M = \frac{1}{2} \sum_{\alpha\beta} \nu_{\alpha L}^T C^\dagger M_{\alpha\beta}^L \nu_{\beta L} + H.c.$$

$$= \frac{1}{2} \sum_{k=1}^3 m_k \nu_k^T C^\dagger \nu_k$$

$$\alpha, \beta = e, \mu, \tau$$

$$M_{\alpha\beta}^L = M_{\beta\alpha}^L \quad U^T M^M U = M_{\text{diag}}^M$$

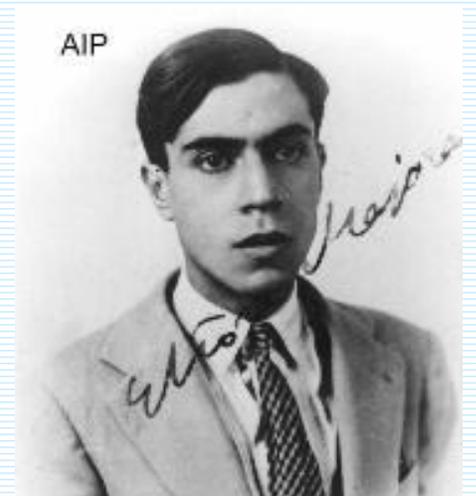
## Dirac-Majorana

$$L_{\text{mass}}^{D+M} = - \sum_{\alpha\beta} \bar{N}_{\alpha R} M_{\alpha\beta}^{D+M} N_{\beta L} + H.c.$$

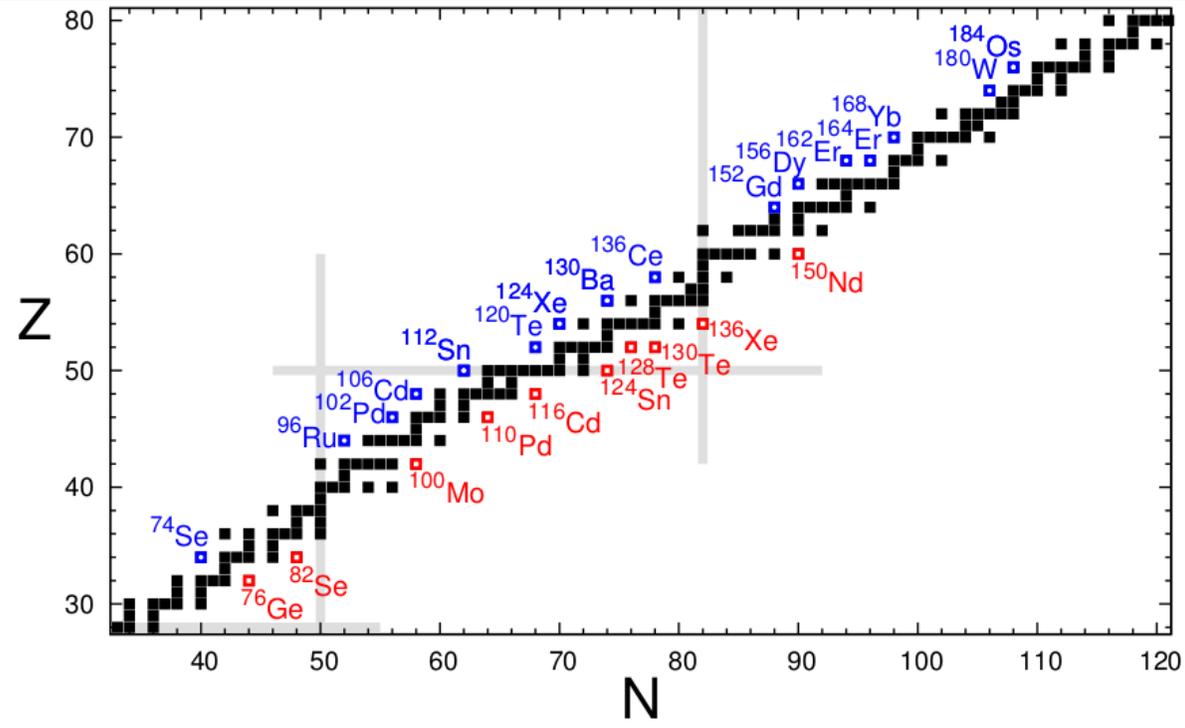
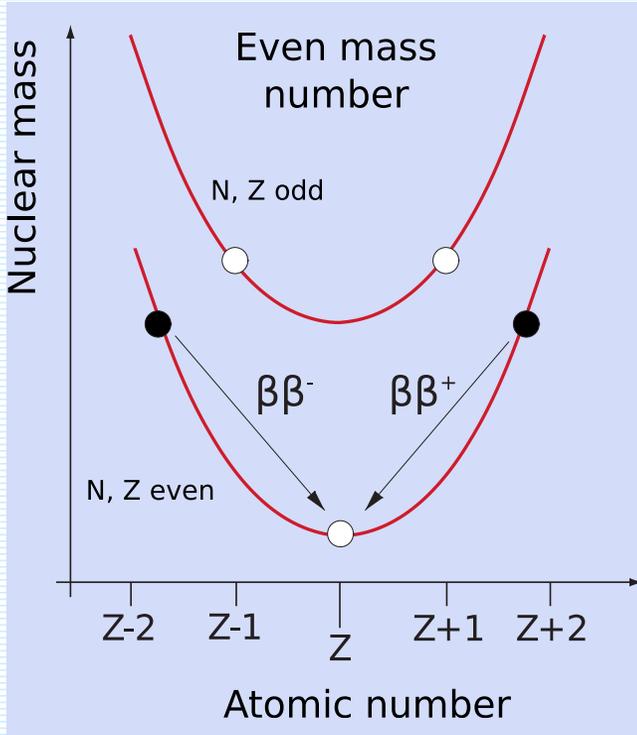
$$= - \sum_{k=1}^6 m_k \bar{\nu}_k \nu_k$$

$$N = \begin{pmatrix} \nu_L \\ \nu_R^C \end{pmatrix}, \quad \alpha, \beta = e, \mu, \tau, s_1, s_2, s_3$$

$$U^T M^{D+M} U = M_{\text{diag}}^{D+M}$$



**Nuclear double- $\beta$  decay**  
(even-even nuclei, pairing int.)



Phys. Rev. 48, 512 (1935)

**Two-neutrino double- $\beta$  decay – LN conserved**

$$(A, Z) \rightarrow (A, Z+2) + e^- + e^- + \bar{\nu}_e + \nu_e$$

Goepert-Mayer – 1935. 1<sup>st</sup> observation in 1987



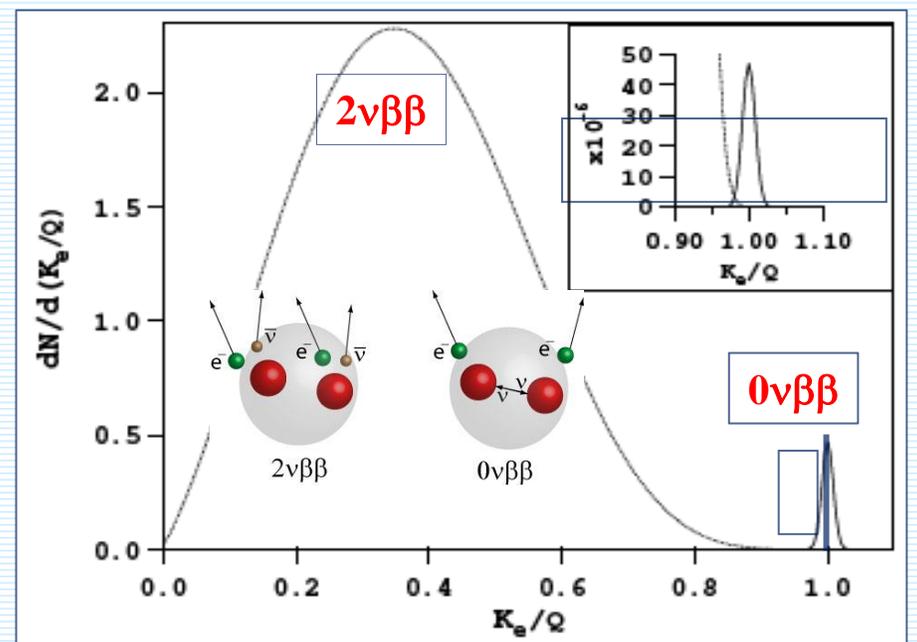
Nuovo Cim. 14, 322 (1937)

Phys. Rev. 56, 1184 (1939)

**Neutrinoless double- $\beta$  decay – LN violated**

$$(A, Z) \rightarrow (A, Z+2) + e^- + e^- \text{ (Furry 1937)}$$

Not observed yet. Requires massive Majorana  $\nu$ 's

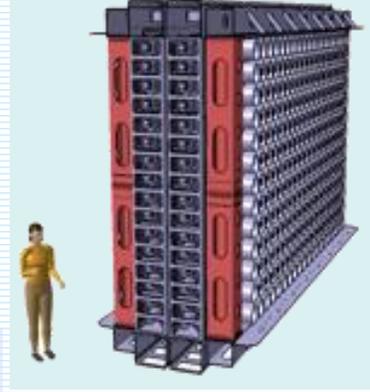




High-pressure TPC chamber



CANDLE  
CaF  
scintillating  
crystal



SuperNEMO  
Se source foil

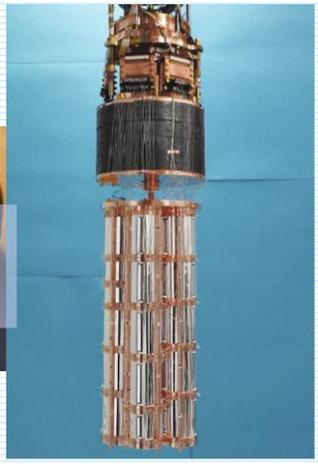
GERDA, MAJORANA  
Ge crystal



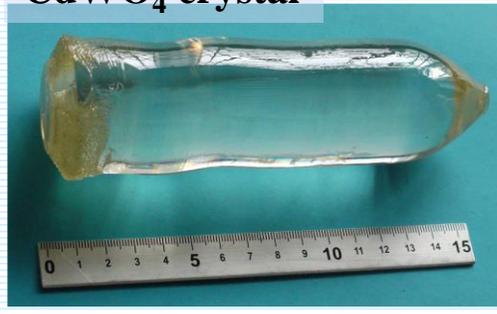
**$0\nu\beta\beta$  decay isotopes and experiments**

Candidates	$Q_{\beta\beta}$ (MeV)	N.A. (%)
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.268	0.187
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.039	7.8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.998	8.8
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.356	2.8
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	9.7
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.017	11.7
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.813	7.5
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.293	5.8
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.528	34.1
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.458	8.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.371	5.6

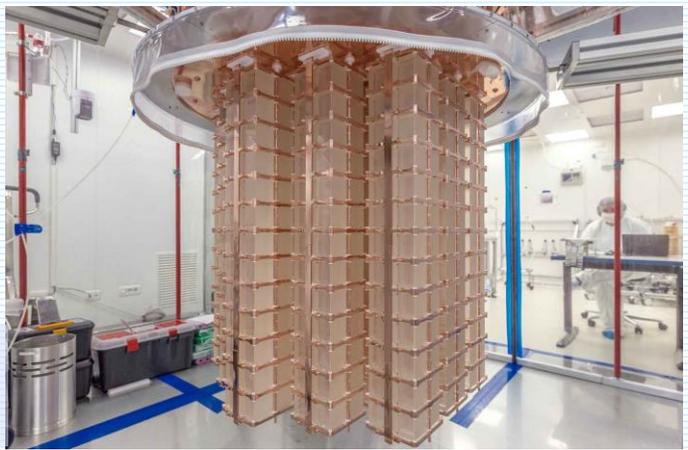
CUPID-0  
ZnSe  
scintillating  
crystal



Aurora  
 $\text{CdWO}_4$  crystal



CUORE  
 $\text{TeO}_2$  crystal



EXO, KamLAND-Zen  
Liquid Xe

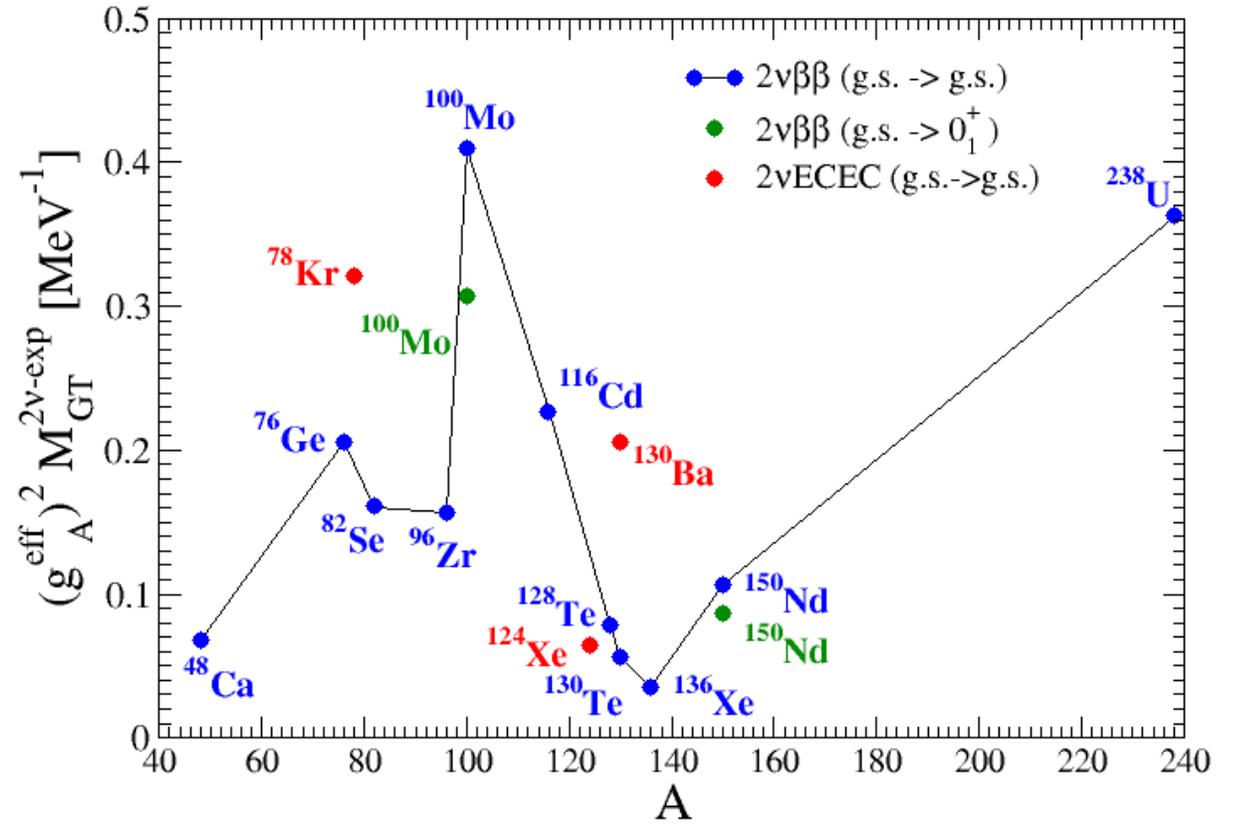
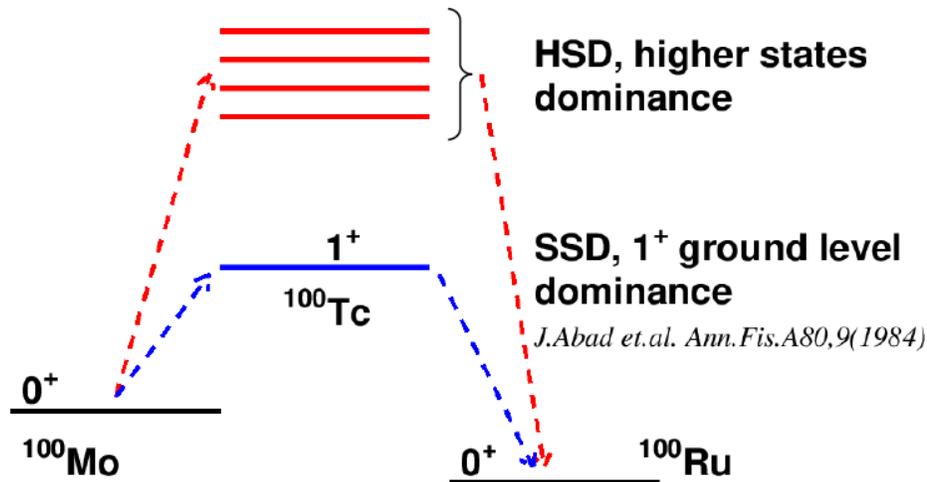


Amore  
 $\text{CaMoO}_4$  crystal



## 2νββ-decay

$$\left(T_{1/2}^{2\nu}\right)^{-1} \simeq g_A^4 \left|M_{GT}^{2\nu}\right|^2 G_{01}^{2\nu}$$



$$M_{GT}^{2\nu} = \sum_m \frac{\langle 0_f^+ || \tau^+ \sigma || 1_m^+ \rangle \langle 1_m^+ || \tau^+ \sigma || 0_i^+ \rangle}{E_m - E_i + \Delta}$$

There is no reliable calculation of the  $2\nu\beta\beta$ -decay NMEs yet - quenching of  $g_A$ , sensitivity to **particle-particle int.** of nuclear Hamiltonian

# 2νββ probes

## New Beyond SM Physics

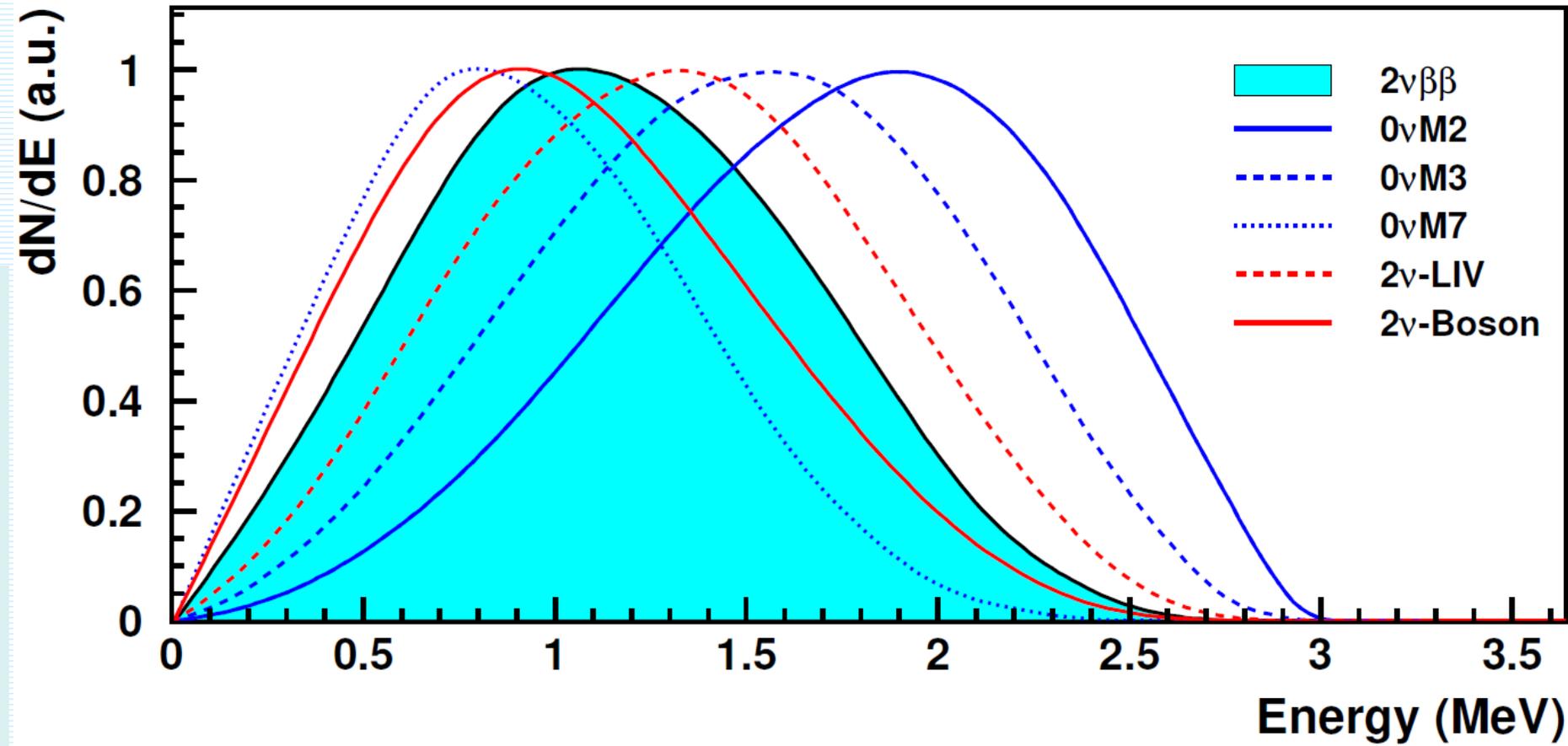
All 100 kg- and ton-class 0νββ experiments can also study a diverse range of **exotic phenomena**, e.g. through **spectral distortion** in 2νββ. Future searches will probe the 2νββ with **high statistics** about 10<sup>5</sup>-10<sup>6</sup> events.

### Common subjects:

- Majoron(s) emission
- (partly) bosonic neutrinos,
- Lorentz invariance violation

### Recent subjects:

- Lepton-number conserving right-handed currents (PRL 125 (2020) 17, 171801)
- Neutrino self-interactions (PRD 102 (2020) 5, 051701)
- Sterile neutrino and light fermion searches through energy end point (PRD 103 (2021) 5, 055019; PLB 815 (2021) 136127)



$$\frac{d\Gamma}{d\varepsilon_1 d\varepsilon_2} = C(Q - \varepsilon_1 - \varepsilon_2)^n [p_1 \varepsilon_1 F(\varepsilon_1)] [p_2 \varepsilon_2 F(\varepsilon_2)]$$

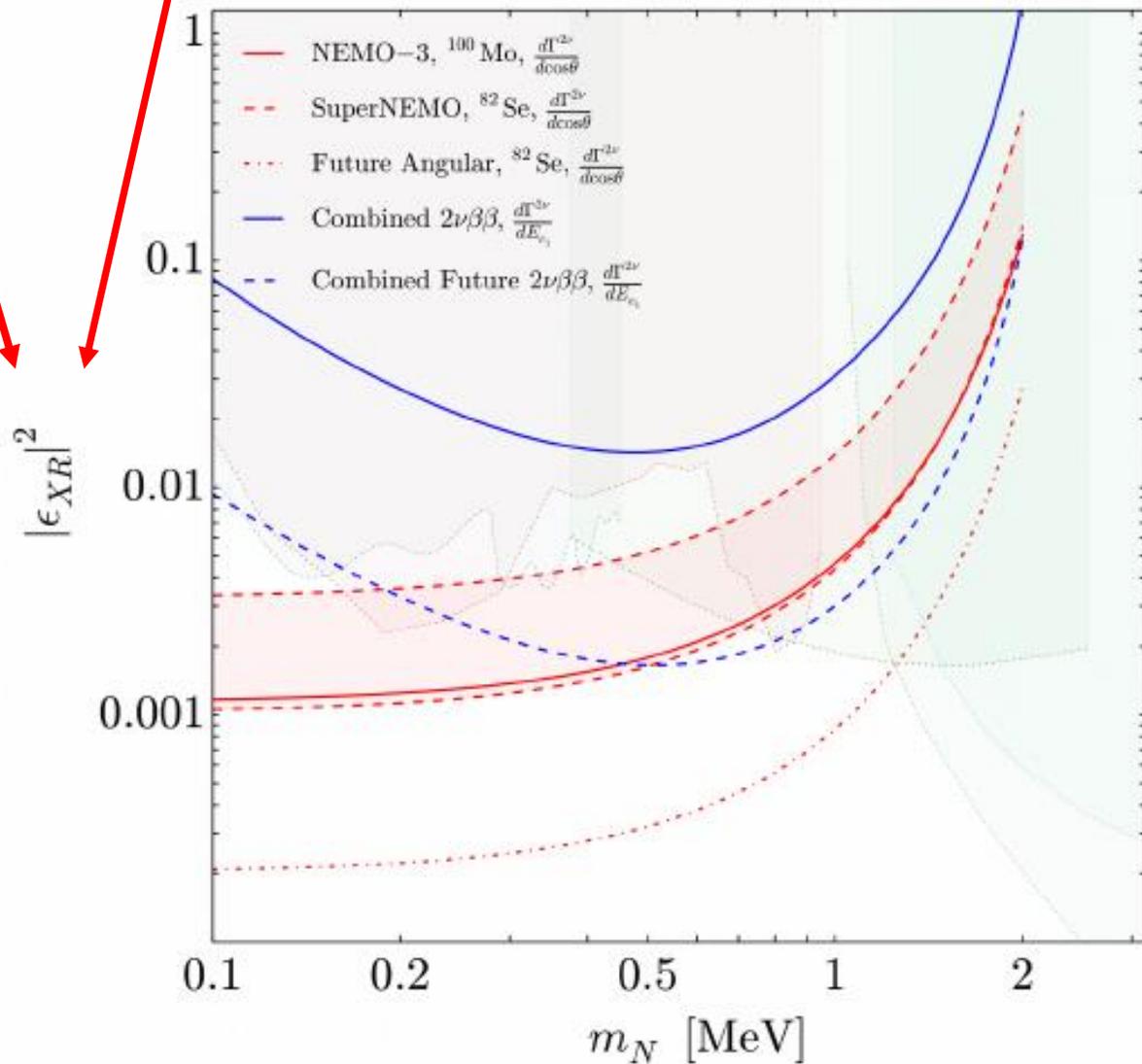
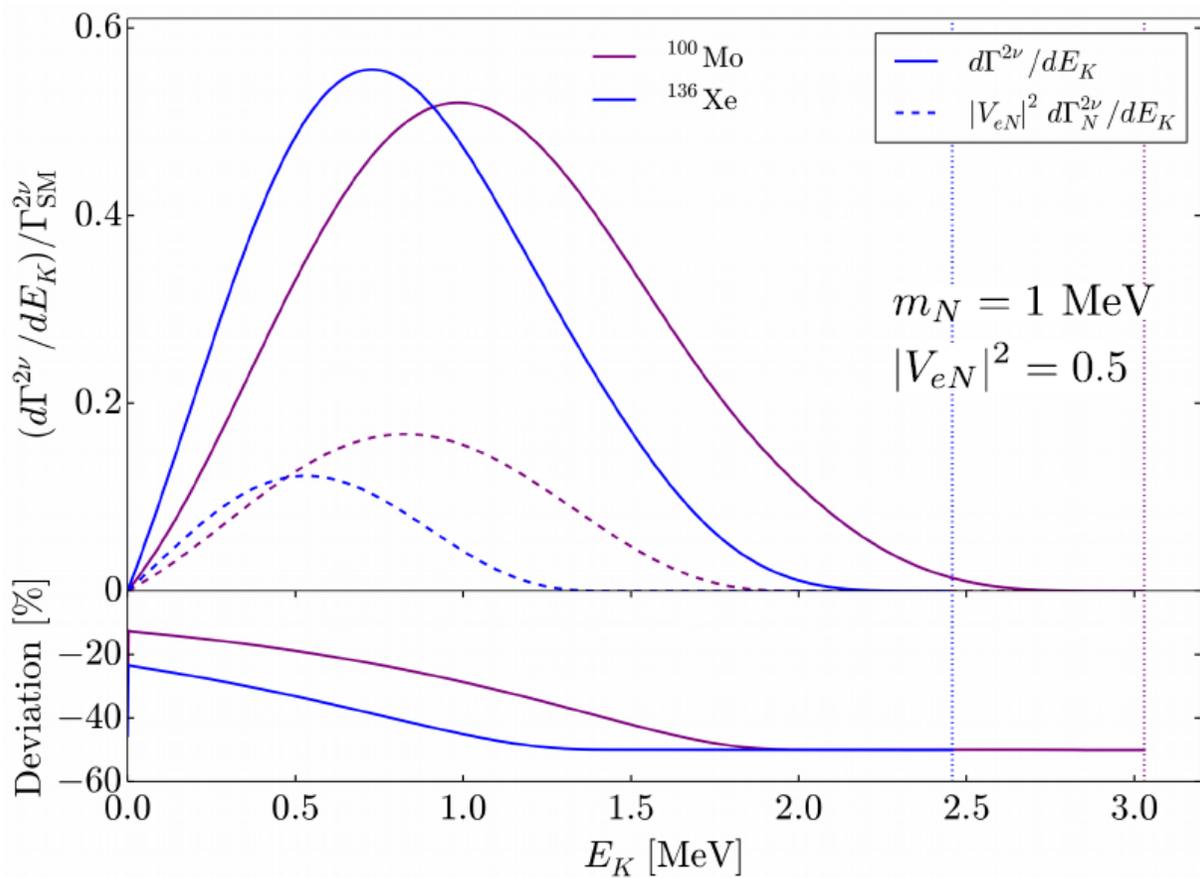
Spectral index **n**

$$\mathcal{L} = \frac{G_F \cos \theta_C}{\sqrt{2}} \left[ (1 + \delta_{\text{SM}}) j_L^\mu J_{L\mu} + V_{eN} j_L^{N\mu} J_{L\mu} + \epsilon_{LR} j_R^{N\mu} J_{L\mu} + \epsilon_{RR} j_R^{N\mu} J_{R\mu} \right] + \text{h.c.}$$

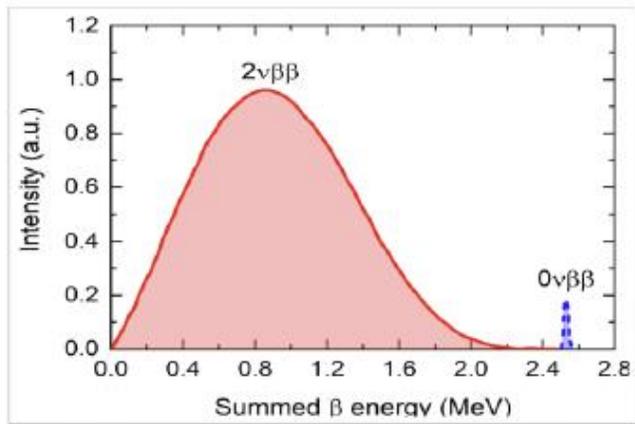
**Exotic  $2\nu\beta\beta$   
Sterile Neutrino  
(or HNL)**

Consider either mixing of **sterile  $\nu$**  with **active  $\nu$** ,  
or **right-handed currents**

Phys.Rev.D 103 (2021) 055019



# $0\nu\beta\beta$ experiments – a worldwide competition of ideas and underground physics technologies



**GERDA ( $^{76}\text{Ge}$ )**

$$T_{1/2}^{0\nu} > 1.8 \times 10^{26} \text{ yrs}$$

Phys.Rev.Lett.125(2020)252502

**GUORE ( $^{130}\text{Te}$ )**

$$T_{1/2}^{0\nu} > 2.2 \times 10^{25} \text{ yrs}$$

Nature 604(2022)53

**CUPID-0 ( $^{82}\text{Se}$ )**

$$T_{1/2}^{0\nu} > 2.4 \times 10^{24} \text{ yrs}$$

Phys.Rev.Lett.120(2018)232502

**CUPID ( $^{100}\text{Mo}$ )**

$$T_{1/2}^{0\nu} > 1.5 \times 10^{24} \text{ yrs}$$

Phys.Rev.Lett.126(2021)181802

**MAJORANA ( $^{76}\text{Ge}$ )**

$$T_{1/2}^{0\nu} > 8.3 \times 10^{25} \text{ yrs}$$

Phys.Rev.Lett.130(2023)062501

**SNO+**

**NvDex, PandaX,  
CDEX, CUPID-China**

**NEMO-3**

**JUNO**

**EXO-200 ( $^{136}\text{Xe}$ )**

$$T_{1/2}^{0\nu} > 3.5 \times 10^{25} \text{ yrs}$$

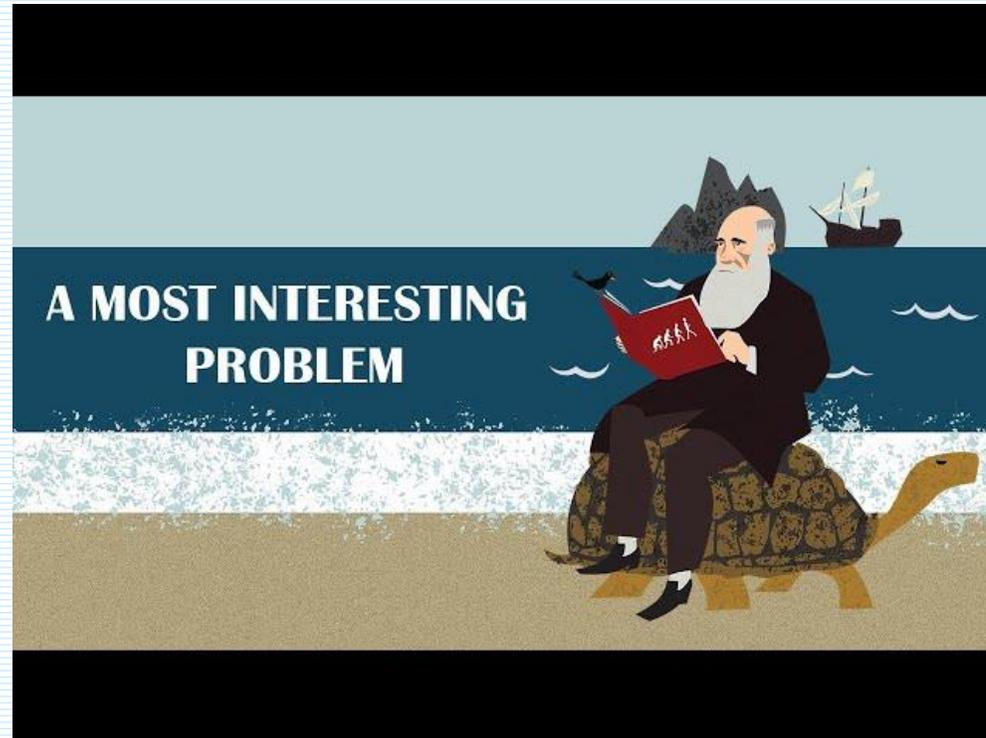
Phys.Rev.Lett.123(2019)161802

**KamLAND-Zen ( $^{136}\text{Xe}$ )**

$$T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yrs}$$

★ Phys.Rev.Lett.130(2023)051801

$0\nu\beta\beta$  is a particle physics problem





**$0\nu\beta\beta$ -decay**  
*(LNV at  $\approx$ GUT scale, exchange of three light  $\nu$ )*

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \left|\frac{m_{\beta\beta}}{m_e}\right|^2 g_A^4 \left|M_\nu^{0\nu}\right|^2 G^{0\nu}$$

Phase space factor  
well understood

$$m_{\beta\beta} = \left|c_{13}^2 c_{12}^2 e^{i\alpha_1} m_1 + c_{13}^2 s_{12}^2 e^{i\alpha_2} m_2 + s_{13}^2 m_3\right|$$

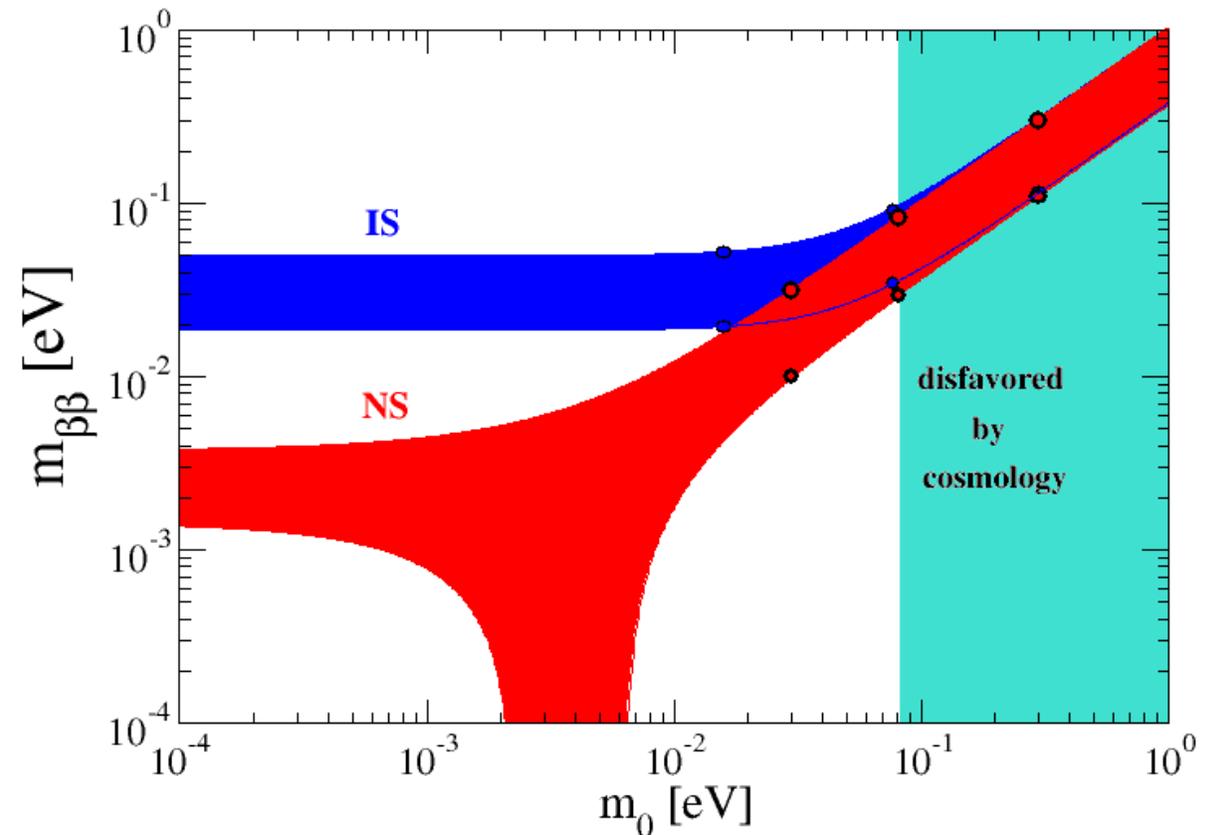
*NME must be evaluated  
using tools of nuclear theory*

### Constraint from cosmology

$$\begin{aligned} \Sigma &= m_1 + m_2 + m_3 \\ &< 0.90 \text{ eV} \\ &< 0.26 \text{ eV (Planck coll.)} \\ &< 0.12 \text{ eV} \end{aligned}$$

Contrary, the constraint from  
 $0\nu\beta\beta$ -decay (KLZ)

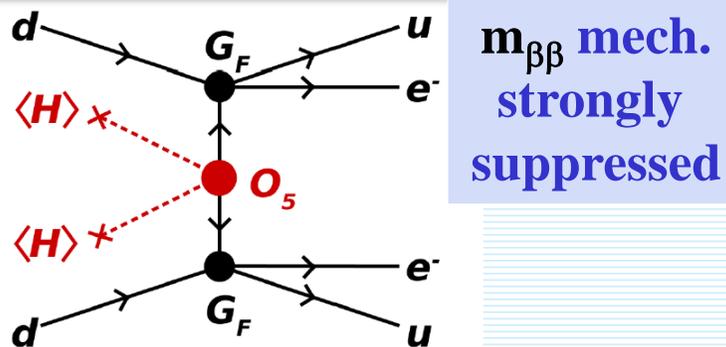
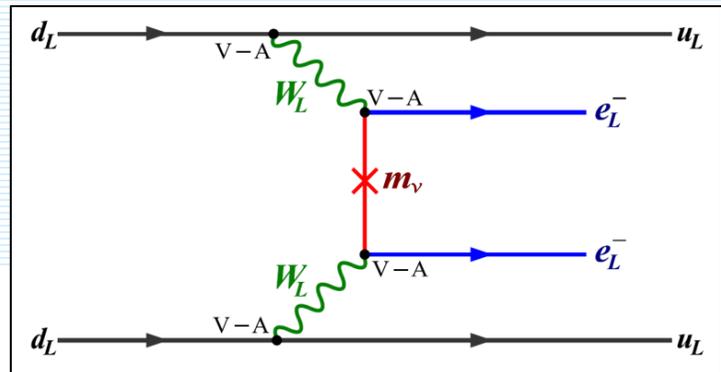
$$\begin{aligned} m_{\beta\beta} &< 0.036\text{-}0.156 \text{ eV} \\ \text{implies} \\ \Sigma &< 0.12 \text{ eV} \end{aligned}$$



**$0\nu\beta\beta$  governed by exotic mechanisms**

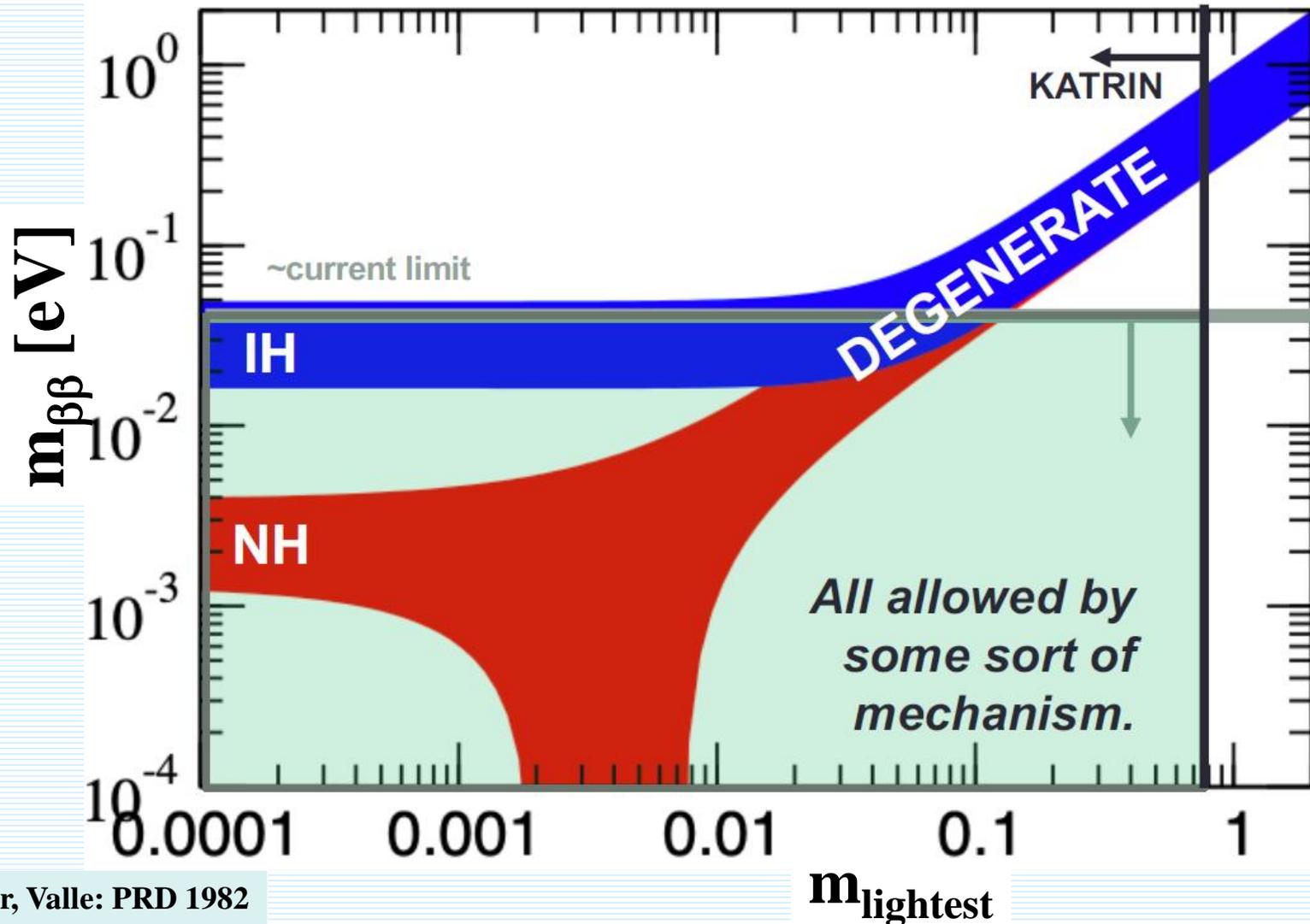
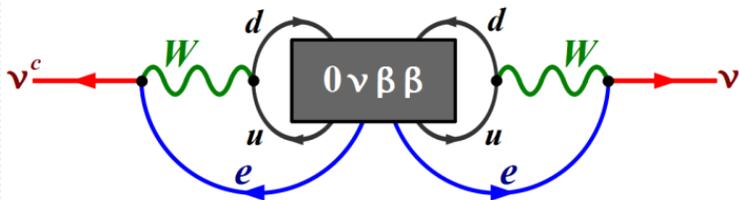
**Light  $\nu$ -mass mechanism can be strongly suppressed:  $m_{\beta\beta} < 1$  meV**

- It is not possible to discover  $0\nu\beta\beta$  with 10-100 ton-class experiment
- It should be a **subject of theory** to justify it
- There might be a dominance of other  $0\nu\beta\beta$  mechanisms



$m_{\beta\beta}$  mech. strongly suppressed

Any  $0\nu\beta\beta$  mech. generates a small correction to  $\nu$ -mass



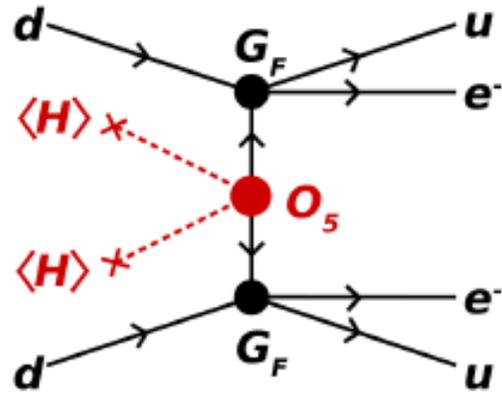
Beyond the SM physics

$$\mathcal{L} = \mathcal{L}_{SM}^{(4)} + \frac{1}{\Lambda} \sum_i c_i^{(5)} \mathcal{O}_i^{(5)} + \frac{1}{\Lambda^2} \sum_i c_i^{(6)} \mathcal{O}_i^{(6)} + O\left(\frac{1}{\Lambda^3}\right)$$

Amplitude for  $(A,Z) \rightarrow (A,Z+2) + 2e^-$  can be divided into:

long range:  $d=7$

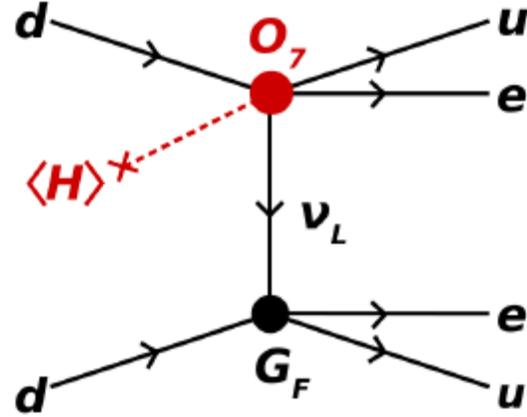
mass mechanism:  $d=5$



$$\mathcal{O}_W \propto \frac{c_{ij}}{\Lambda} (L_i H)(L_j H)$$

Weinberg, 1979

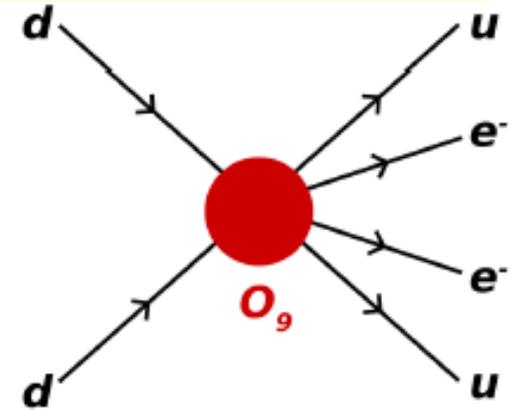
+



- $\mathcal{O}_2 \propto LLLe^c H$
- $\mathcal{O}_3 \propto LLQd^c H$
- $\mathcal{O}_4 \propto LL\bar{Q}\bar{u}^c H$
- $\mathcal{O}_8 \propto L\bar{e}^c \bar{u}^c d^c H$

Babu, Leung: 2001  
de Gouvea, Jenkins: 2007

short range:  $d=9$  ( $d=11$ )



+

- $\mathcal{O}_5 \propto LLQd^c H H H^\dagger$
- $\mathcal{O}_6 \propto LL\bar{Q}\bar{u}^c H H^\dagger H$
- $\mathcal{O}_7 \propto LQ\bar{e}^c \bar{Q} H H H^\dagger$
- $\mathcal{O}_9 \propto LLLe^c Le^c$
- $\mathcal{O}_{10} \propto LLLe^c Qd^c$
- $\mathcal{O}_{11} \propto LLQd^c Qd^c$
- .....

Valle

# Quark Condensate Seesaw Mechanism for Neutrino Mass

PRD 103, 015007 (2021).

This operator contributes to the **Majorana-neutrino mass matrix** due to chiral symmetry breaking via the **light-quark condensate**.

## The SM gauge-invariant effective operators

$$\mathcal{O}_7^{u,d} = \frac{\tilde{g}_{\alpha\beta}^{u,d}}{\Lambda^3} \overline{L}_\alpha^C L_\beta H \left\{ (\overline{Q} u_R), (\overline{d}_R Q) \right\}$$

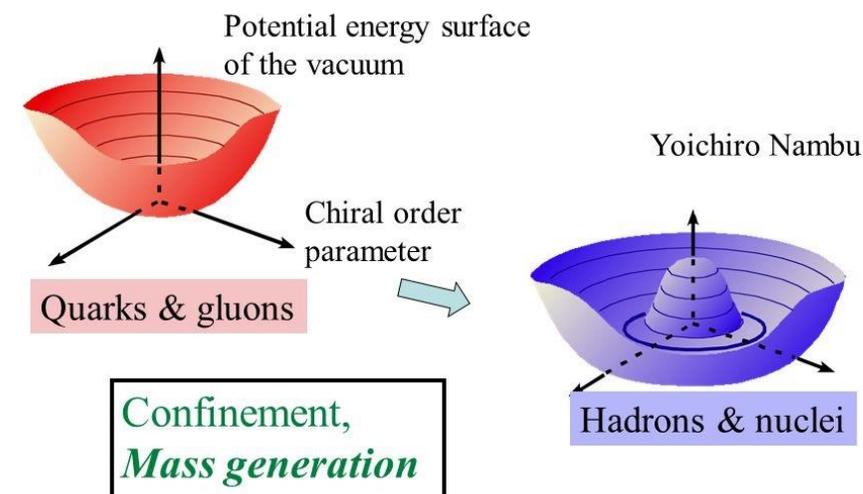
After the **EWSB** and **ChSB** one arrives at the Majorana mass matrix of active neutrinos

$$m_{\alpha\beta}^\nu = g_{\alpha\beta} v \frac{\langle \overline{q}q \rangle}{\Lambda^3} = g_{\alpha\beta} v \left( \frac{\omega}{\Lambda} \right)^3$$

$$g_{\alpha\beta} = g_{\alpha\beta}^u + g_{\alpha\beta}^d, \quad v/\sqrt{2} = \langle H^0 \rangle$$

$$\omega = -\langle \overline{q}q \rangle^{1/3}, \quad \langle \overline{q}q \rangle^{1/3} \approx -283 \text{ MeV}_{\text{vic}}$$

## Spontaneous breaking of *chiral* ( $\chi$ ) symmetry

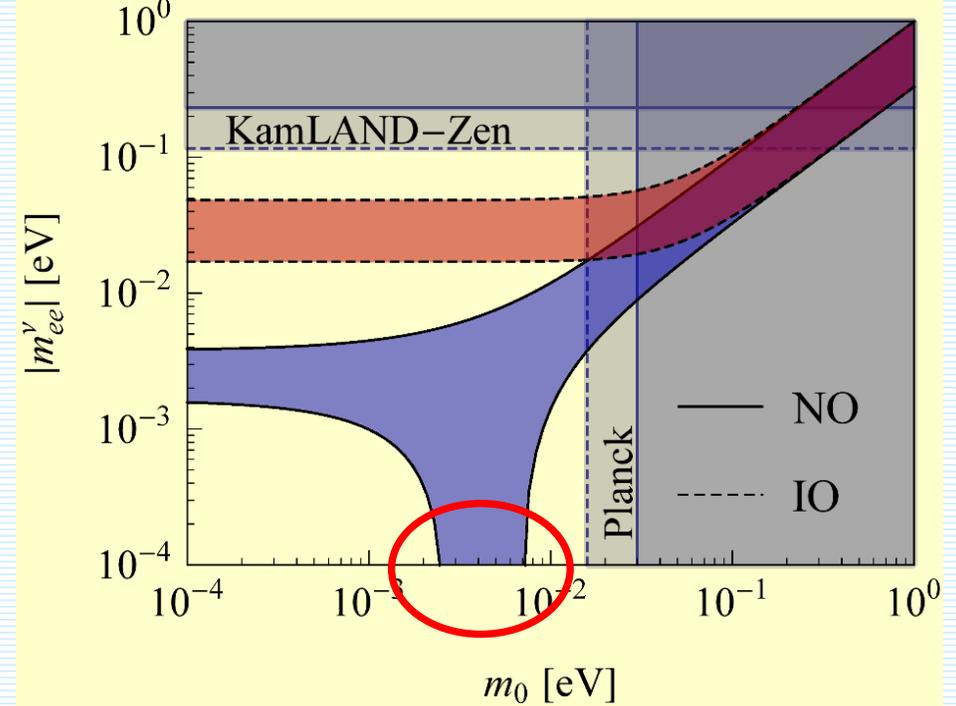


$\Lambda \sim$  a few TeV  
we get the neutrino mass in the **sub-eV** ballpark

**The genuine QCSS scenario**  
(predicts NH and  $\nu$ -mass spectrum)

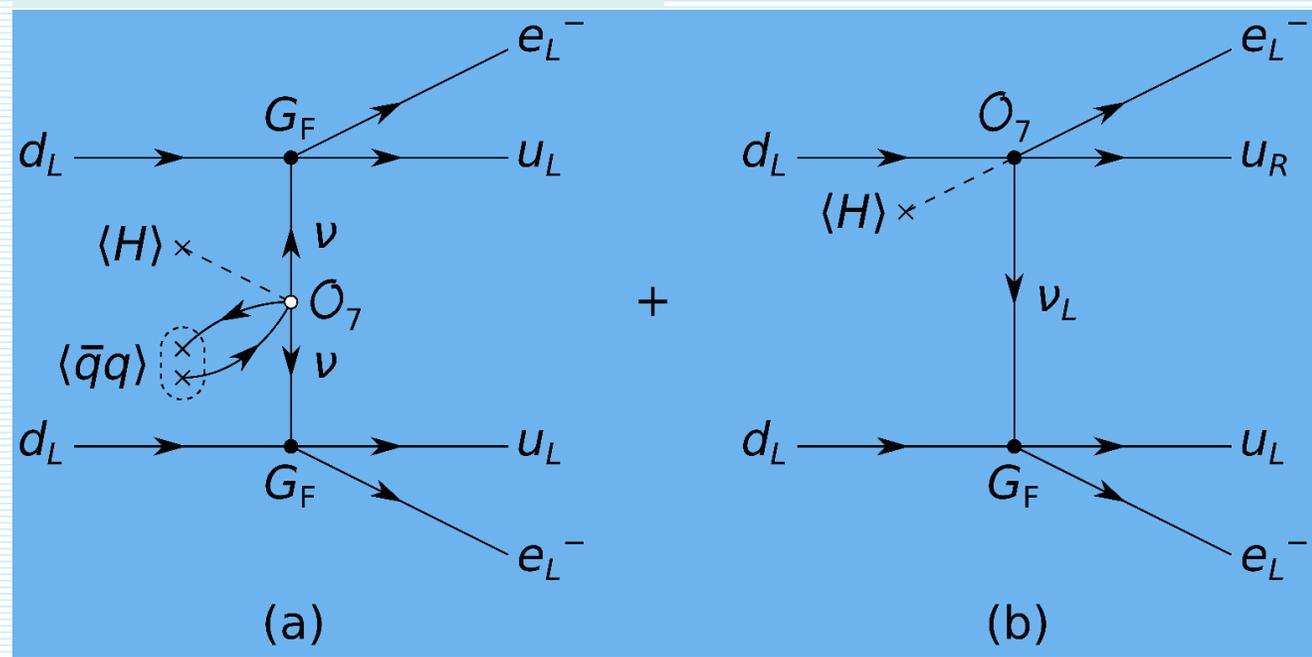
$$\mathcal{L}_7 = \frac{1}{\sqrt{2}} \sum_{\alpha\beta} \frac{v}{\Lambda^3} \overline{\nu_{\alpha L}^C} \nu_{\beta L} (g_{\alpha\beta}^u \overline{u}_L u_R + g_{\alpha\beta}^d \overline{d}_R d_L) + \text{H.c.}$$

$$m_{\alpha\beta}^\nu = -\frac{g_{\alpha\beta}}{\sqrt{2}} v \frac{\langle \bar{q}q \rangle}{\Lambda^3} = \frac{g_{\alpha\beta}}{\sqrt{2}} v \left( \frac{\omega}{\Lambda} \right)^3$$



(a) PRL 112, 142503 (2014).

(b) PLB 453, 194 (1999).



**Neutrino spectrum (NH) !!!**

- $2 \text{ meV} < m_1 < 7 \text{ meV}$
- $9 \text{ meV} < m_2 < 11 \text{ meV}$
- $50 \text{ meV} < m_3 < 51 \text{ meV}$

**Prediction for  $m_\beta$**   
 $9 \text{ meV} < m_\beta < 12 \text{ meV}$

**Prediction for cosmology ( $\Sigma$ )**  
 $62 \text{ meV} < m_1 + m_2 + m_3 < 69 \text{ meV}$

# Majorana neutrino mass eigenstate $N$ with arbitrary mass $m_N$ mixed with 3 active neutrinos ( $U_{eN}$ )

**Dominant contribution of  $N$**

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} g_A^4 \left| \sum_N (U_{eN}^2 m_N) m_p M'^{0\nu}(m_N, g_A^{\text{eff}}) \right|^2$$

**General case**

$$M'^{0\nu}(m_N, g_A^{\text{eff}}) = \frac{1}{m_p m_e} \frac{R}{2\pi^2 g_A^2} \sum_n \int d^3x d^3y d^3p$$

$$\times e^{ip \cdot (x-y)} \frac{\langle 0_F^+ | J^{\mu\dagger}(\mathbf{x}) | n \rangle \langle n | J_\mu^\dagger(\mathbf{y}) | 0_I^+ \rangle}{\sqrt{p^2 + m_N^2} (\sqrt{p^2 + m_N^2} + E_n - \frac{E_I - E_F}{2})}$$

**light  $\nu$  exchange**

$$M'^{0\nu}(m_N \rightarrow 0, g_A^{\text{eff}}) = \frac{1}{m_p m_e} M_\nu'^{0\nu}(g_A^{\text{eff}})$$

$$M'^{0\nu}(m_N \rightarrow \infty, g_A^{\text{eff}}) = \frac{1}{m_N^2} M_N'^{0\nu}(g_A^{\text{eff}})$$

**heavy  $\nu$  exchange**

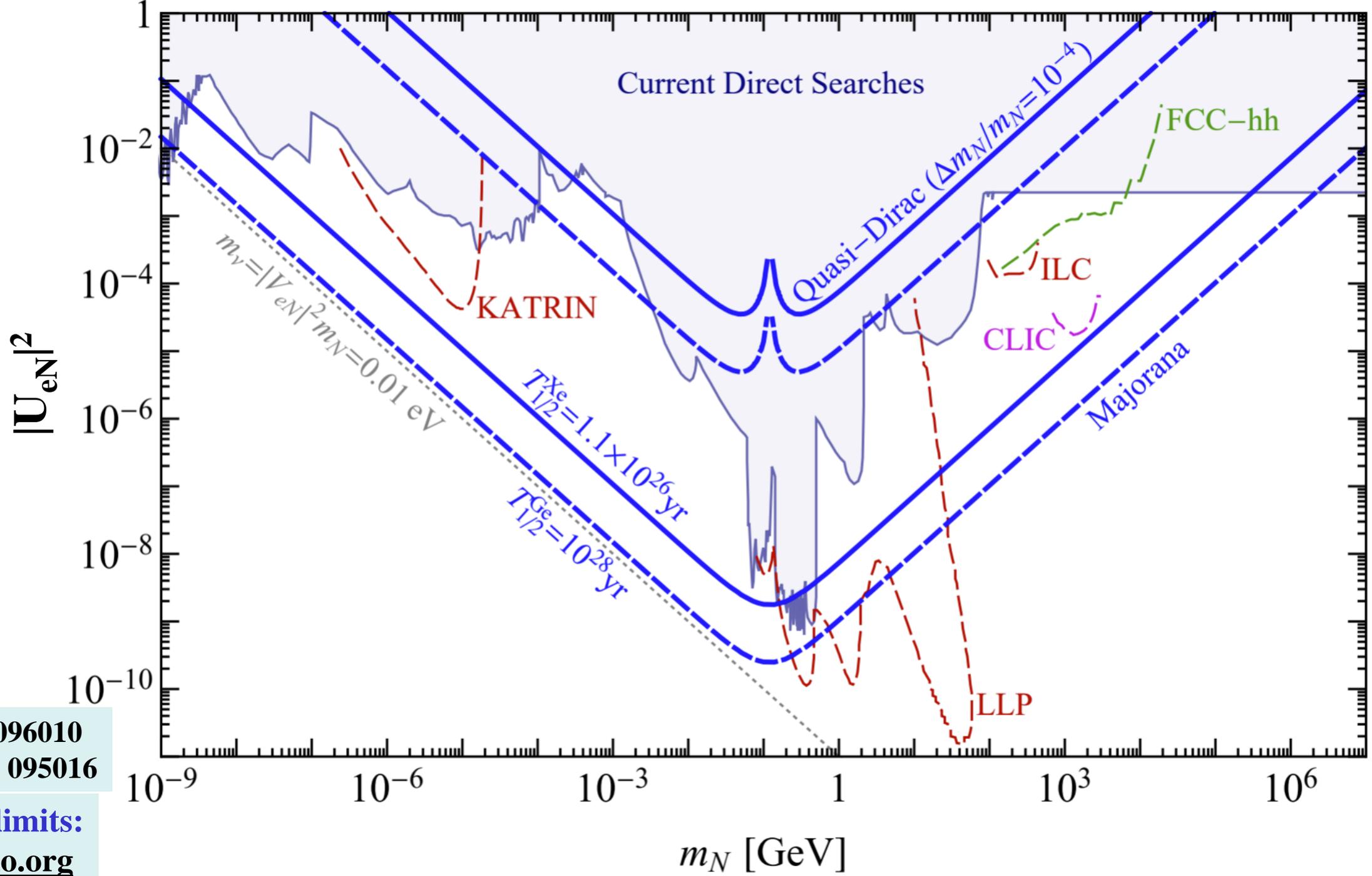
**Particular cases**

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} g_A^4 \times$$

$$\times \begin{cases} \left| \frac{\langle m_\nu \rangle}{m_e} \right|^2 \left| M_\nu'^{0\nu}(g_A^{\text{eff}}) \right|^2 & \text{for } m_N \ll p_F \\ \left| \left\langle \frac{1}{m_N} \right\rangle m_p \right|^2 \left| M_N'^{0\nu}(g_A^{\text{eff}}) \right|^2 & \text{for } m_N \gg p_F \text{ or Simkovic} \end{cases}$$

**Sterile  $\nu$**   
**( $U_{eN}, m_N$ )**

Constraints from **Direct Searches** are less Stringent as those from  **$0\nu\beta\beta$**



PRD 90 (2014) 096010  
 PRD 102 (2020) 095016

Direct search limits:  
[sterile-neutrino.org](http://sterile-neutrino.org)

# The $0\nu\beta\beta$ -decay within L-R symmetric theories

(D-M mass term, see-saw, V-A and V+A int., exchange of heavy neutrinos)

$$U = \begin{pmatrix} U & S \\ T & V \end{pmatrix}$$

Mixing of 3 light  
and 3 heavy neutrinos:  
15 angles + 15 phases

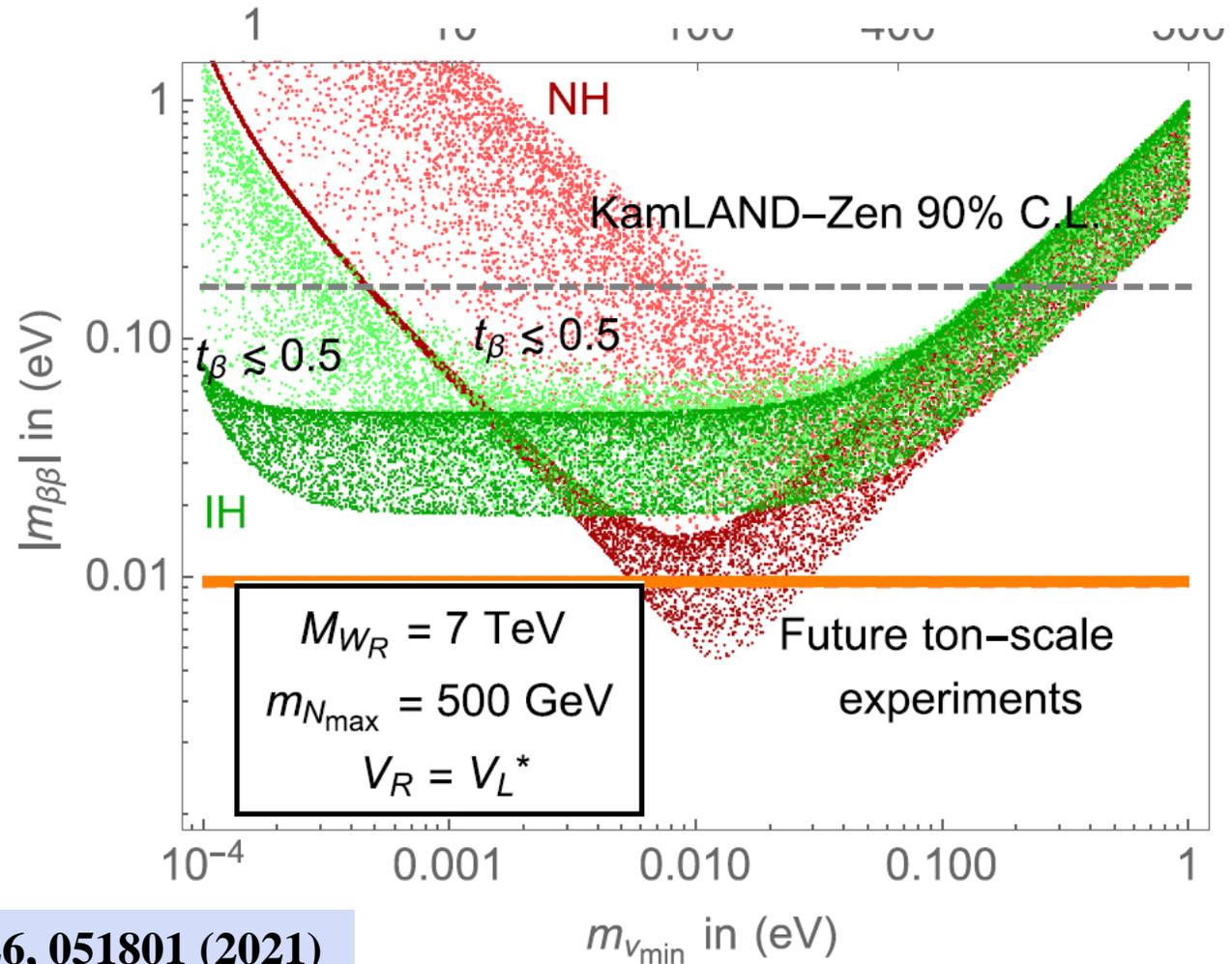
The most economical:  $S=T=\zeta 1$   
( $\zeta$  - see-saw parameter)

The **mixing for heavy neutrinos**,  
( $V \equiv V_R = U_{PMNS}^+$  with  $U = U_{PMNS}$ )  
follows from the **unitarity**  
of the whole 6x6 matrix  $U$

PRD 98, 015003 (2018)

2/19/2024

$$\begin{aligned} (T_{1/2}^{0\nu})^{-1} &= G_{0\nu} \mathcal{M}_\nu^2 |m_{\beta\beta}|^2 \\ &= G_{0\nu} \mathcal{M}_\nu^2 (|m_\nu^{ee}|^2 + |m_N^{ee}|^2) \end{aligned}$$



PRL 126, 051801 (2021)

# Six Quasi-Dirac neutrinos and $0\nu\beta\beta$ -decay

Symmetry 12, 1310 (2020).

$M_D$  - 3x3 complex matrix (18 real numb.)

$M_{L,R}$  - 3x3 symmetric matrix (12 real numb.)  
(42 parameters)

Dirac-Majorana mass term

$$\mathcal{L}_m = \frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \mathcal{M} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c.$$

$$U^T \tilde{M} U = \mathcal{M}$$

Diagonalization: 6x6 unitary mixing matrix  
(15 mixing angles plus 15 phases)

$$U = \mathcal{X} \cdot A \cdot S$$

Product of 3 unitary matrices.

$A$  and  $S$  mix exclusively active and sterile neutrino flavors, each given by 3 angles and 3 phases.

$$A \equiv \begin{pmatrix} U^T & 0 \\ 0 & 1 \end{pmatrix}$$
$$S \equiv \begin{pmatrix} 1 & 0 \\ 0 & V^\dagger \end{pmatrix}$$

$$\mathcal{M} = \begin{pmatrix} M_L & M_D \\ M_D^T & M_R \end{pmatrix}$$

$$|M_{L,R}| \ll |M_D|$$

6 eigenvalues:

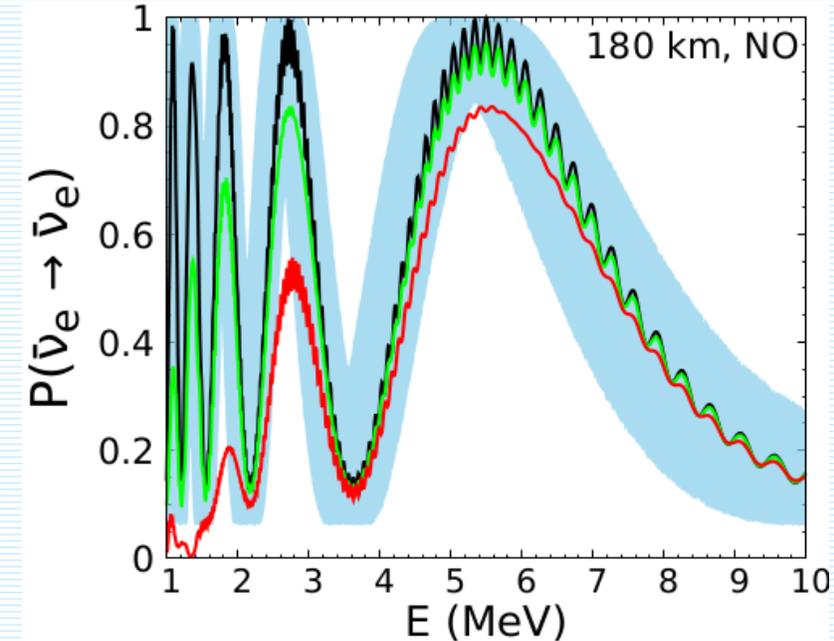
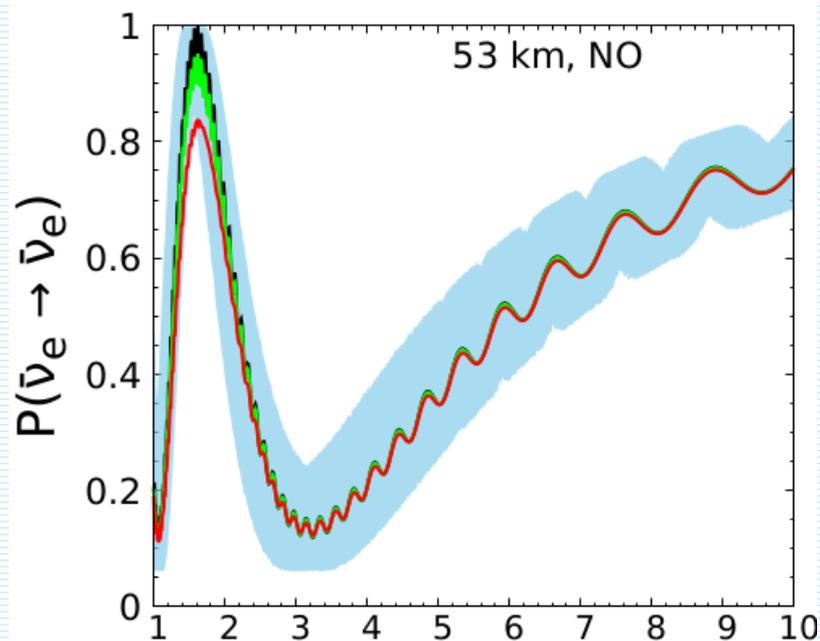
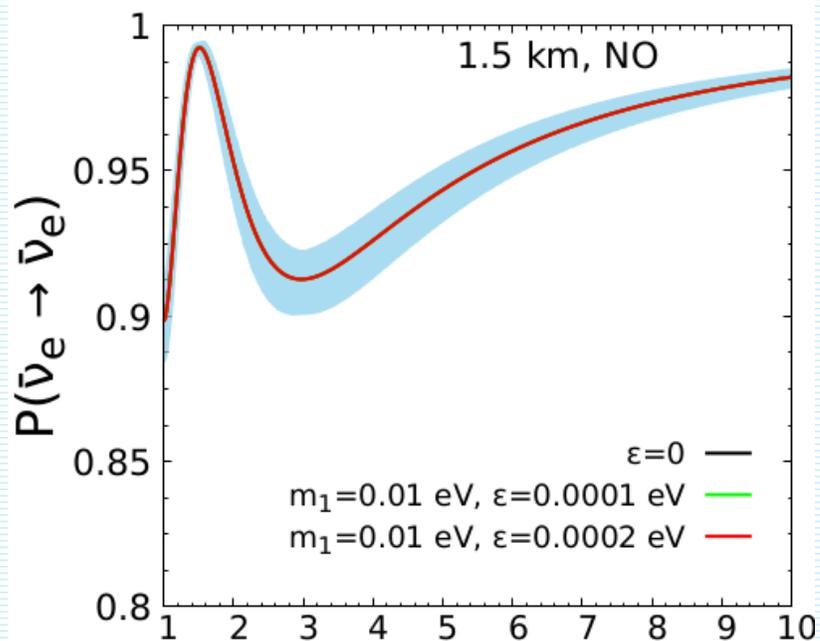
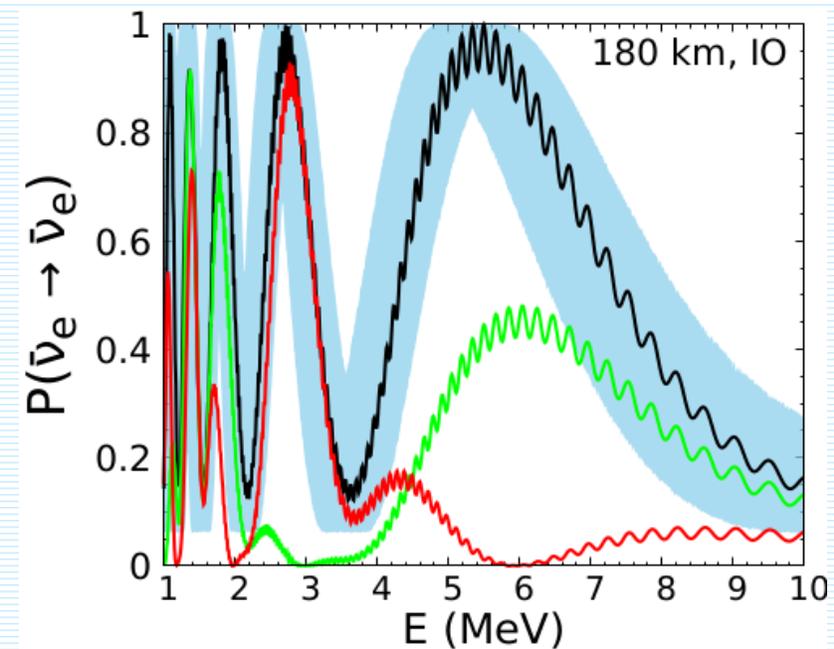
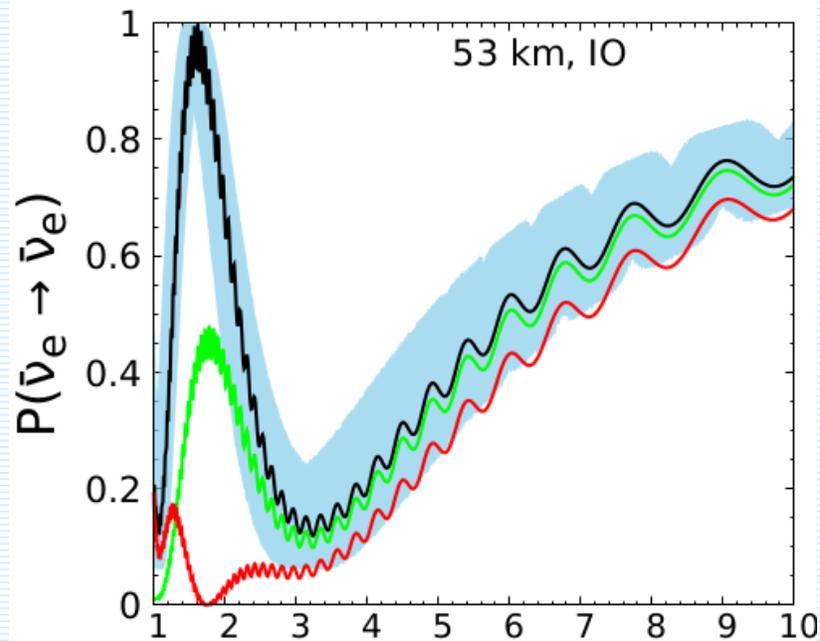
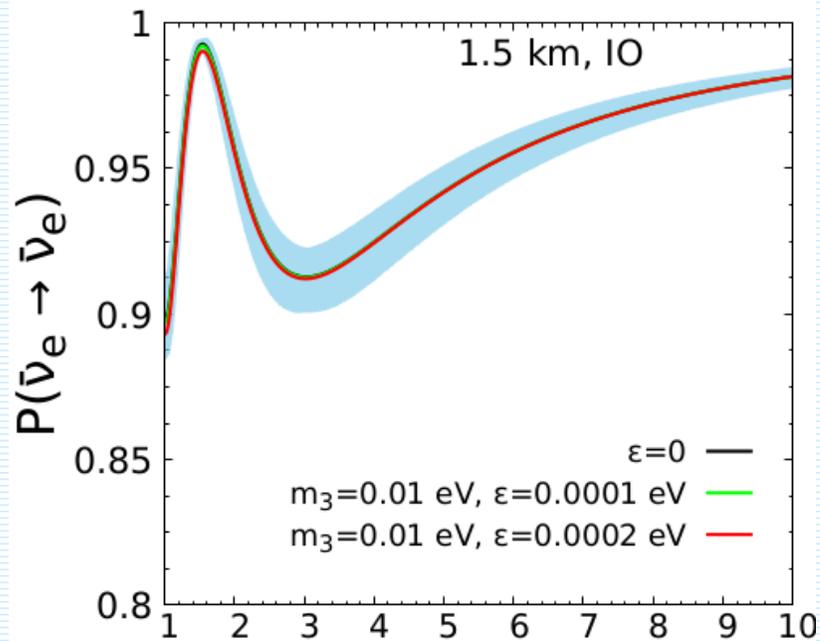
3 Dirac masses  $m_{1,2,3}$ , 3 Majorana mass splitting  $\epsilon_{1,2,3}$

$$m_i^\pm = \pm m_i + \epsilon_i$$

$$\mathcal{X} = \begin{pmatrix} 1 & X^\dagger \\ -X & 1 \end{pmatrix} + O(X^2)$$

$X$  given by 9 angles and 9 phases,  
small parameters.

# Quasi-Dirac neutrino oscillations at different distances



The survival probability of electron antineutrinos

## Quasi-Dirac $\nu$ a simplified scenario (limits on neutrino masses)

$$U_{\text{QD}} = \frac{1}{\sqrt{2}} \begin{pmatrix} U & U \\ -V^* & V^* \end{pmatrix}$$

$$m_i^\pm = \pm m_i + \epsilon \quad (\epsilon > 0)$$

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(\epsilon \neq 0) = P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(\epsilon = 0) - \frac{\epsilon^2 L^2}{E^2} \left[ c_{13}^4 c_{12}^4 m_1^2 + c_{13}^4 s_{12}^4 m_2^2 + s_{13}^4 m_3^2 \right] - \frac{\epsilon^2 L^2}{4E^2} \left[ 4 c_{13}^4 s_{12}^2 c_{12}^2 \Sigma m_{21}^2 \cos \frac{\Delta m_{21}^2 L}{2E} + 4 s_{13}^2 c_{13}^2 c_{12}^2 \Sigma m_{31}^2 \cos \frac{\Delta m_{31}^2 L}{2E} + 4 s_{13}^2 c_{13}^2 s_{12}^2 \Sigma m_{32}^2 \cos \frac{\Delta m_{32}^2 L}{2E} \right] + \mathcal{O}(\epsilon^4),$$

### Tritium $\beta$ -decay

$$m_\beta = \sqrt{m_1^2 c_{12}^2 c_{13}^2 + m_2^2 c_{13}^2 s_{12}^2 + m_3^2 s_{13}^2} + \epsilon^2 = m_\beta^{(0)} \left( 1 + \frac{1}{2} \left( \frac{\epsilon}{m_\beta^{(0)}} \right)^2 + \dots \right)$$

### Cosmology

$$\frac{1}{2} \sum_{i=1}^3 |\tilde{\mathcal{M}}_{ii}| = \sum_{i=1}^3 m_i$$

Restriction from **Daya-Bay data** ( $3\sigma$ ):

Survival probabilities with non-zero  $\epsilon$  are the same **3 $\nu$**  cases.

### $0\nu\beta\beta$ -decay

$$m_{\beta\beta} = [M_L]_{ee} = \epsilon \left| c_{12}^2 c_{13}^2 + e^{2i\alpha_{21}} c_{13}^2 s_{12}^2 + e^{2i\alpha_{31}} s_{13}^2 \right| \text{ for Simkovic}$$

$$m_{\beta\beta} \lesssim 30 \text{ meV for NO} \\ \lesssim 1 \text{ meV for IO}$$

Nuovo Cim. 14,  
322 (1937)



## neutrino ↔ antineutrinos oscillations

Second order process  
with real intermediate neutrinos  
( $0\nu\beta\beta$  with real neutrinos)

$$S + D \rightarrow \ell_\alpha^+ + \ell_\beta^+ + S' + D'$$

$$S \rightarrow S' + \ell_\alpha^+ + \nu_\alpha, \nu_\alpha \rightarrow \bar{\nu}_\beta, \bar{\nu}_\beta + D \rightarrow D' + \ell_\beta^+$$

Amplitude proportional to **v-mass**

$$T_k^{\alpha\beta} = J_S^\mu(P'_S, P_S) J_D^\nu(P'_D, P_D) \times \bar{v}(P_\alpha; \lambda_\alpha) \gamma_\mu (1 - \gamma_5) m_k \gamma_\nu u(P_\beta; \lambda_\beta)$$

Replacement:

$$U_{\alpha k} \rightarrow U_{\alpha k}^*$$

$$U_{\beta m}^* \rightarrow U_{\beta m}$$

Particular process:

$$\pi^+ + p \rightarrow \mu^+ + e^+ + n$$

Production rate

$$\Gamma_{QFT}^{\pi^+ p} = \frac{1}{2\pi^2} G_\beta^2 \left( \frac{f_\pi}{\sqrt{2}} \right)^2 \frac{m_\mu^2}{m_\pi} E_\nu^2 \frac{P_{\nu\mu\bar{\nu}e}^{QFT}(E_\nu, L)}{4\pi L^2} (g_V^2 + 3g_A^2) p_e E_e$$

Oscillation probability

$$\begin{aligned} P_{\alpha\bar{\beta}}^{QFT}(E_\nu, L) &\equiv |\langle \nu_\beta | \bar{\nu}_\alpha \rangle|^2 \\ &= \left| \sum_{j=1}^3 U_{\alpha j}^* U_{\beta j} \frac{m_j}{E_\nu} e^{-im_j^2 L / (2E_\nu)} \right|^2 \end{aligned}$$

Neutrino oscillations as a single Feynman diagram  
J. Phys. G 51, 035202 (2024)

# A connection of neutrino-antineutrino oscillation with $0\nu\beta\beta$ -decay

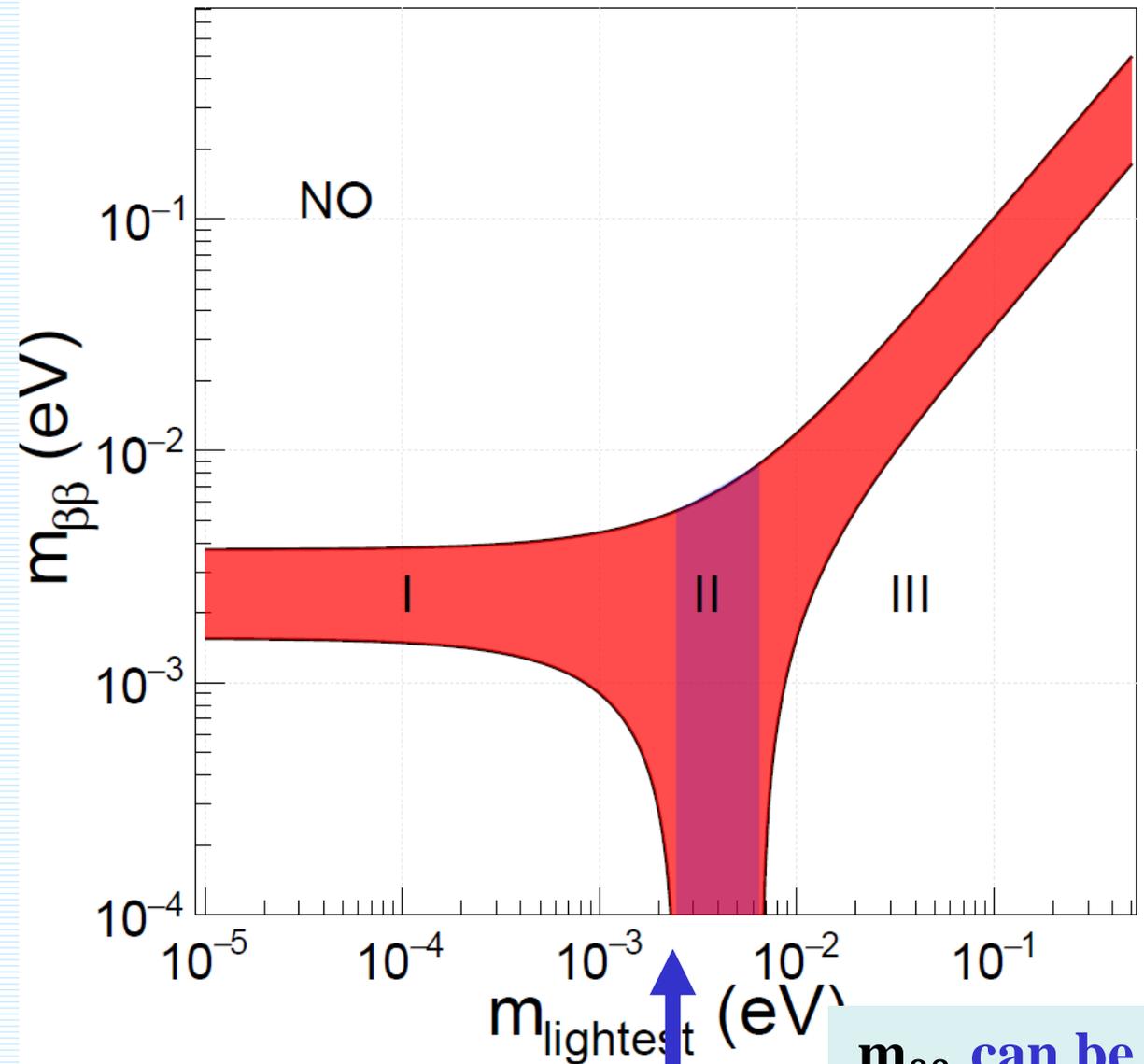
$$m_{ee}^{L=0} = m_{\beta\beta}$$

$$\begin{aligned} \mathcal{P}_{e\bar{e}}^{\text{QFT}}(E_\nu, L=0) &\equiv |\langle \nu_e | \bar{\nu}_e \rangle|^2 = \left| \sum_{k=1}^3 U_{ek}^* U_{ek} \frac{m_k}{E_\nu} \right|^2 \\ &= \frac{(m_{ee}^{L=0})^2}{E_\nu^2} = \frac{(m_{\beta\beta})^2}{E_\nu^2} \end{aligned}$$

$$m_{\beta\beta} = |\rho_1 e^{2i\phi_1} + \rho_2 e^{2i\phi_2} + \rho_3|$$

$$\rho_1 = c_{12}^2 c_{13}^2 m_1, \quad \rho_2 = s_{12}^2 c_{13}^2 m_2, \quad \rho_3 = s_{13}^2 m_3$$

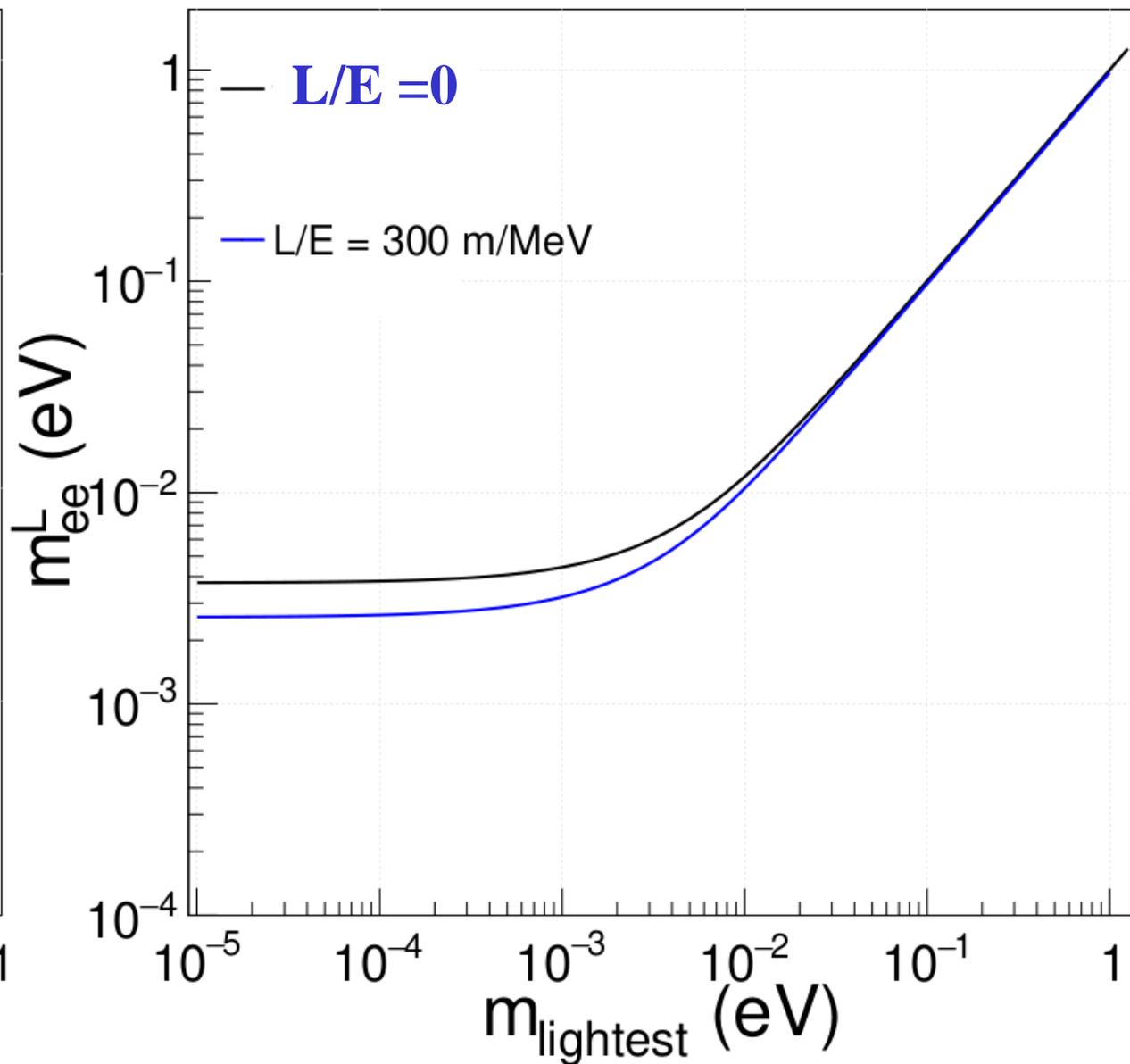
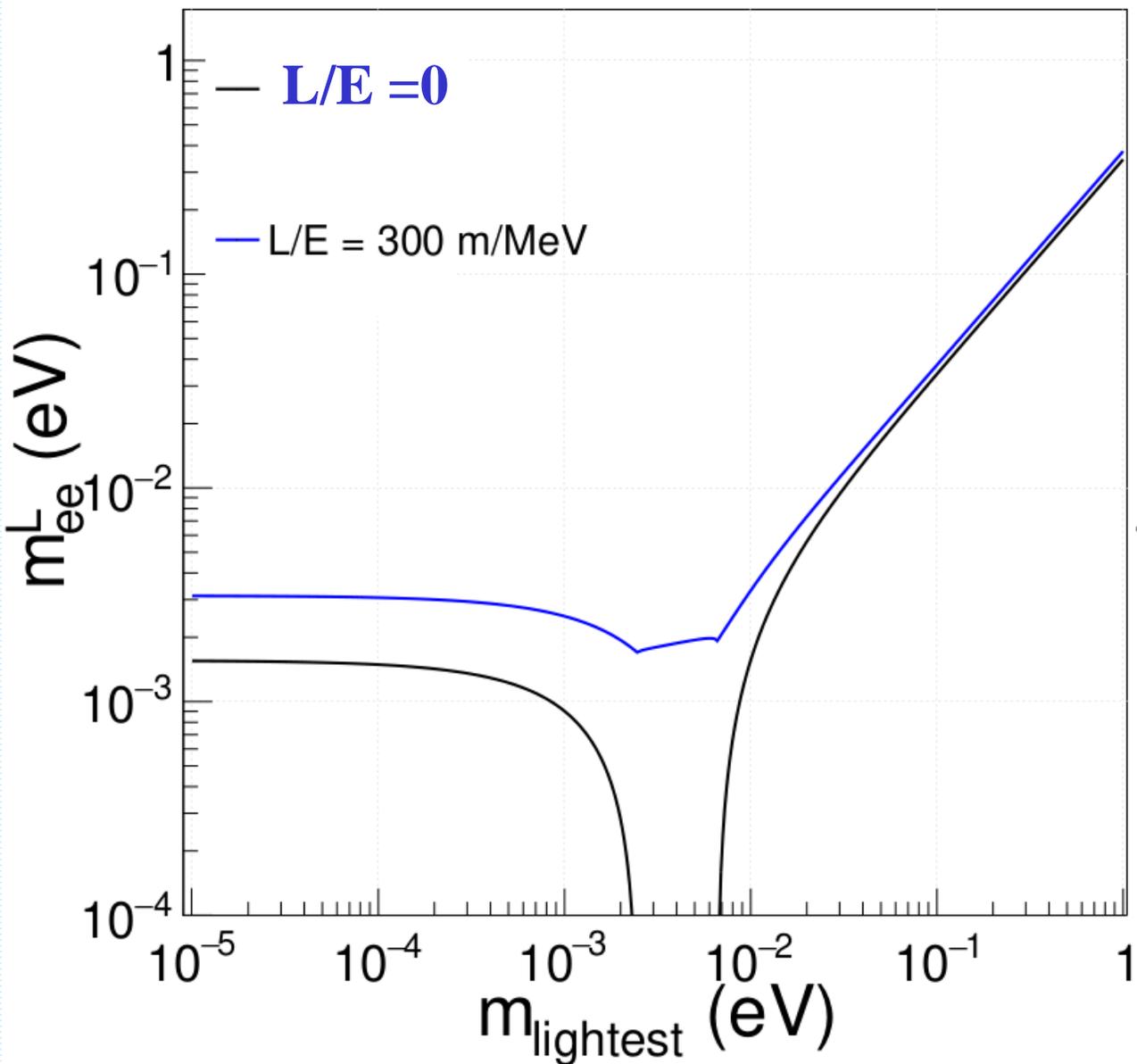
$$\min_{\phi_1, \phi_2} m_{\beta\beta} = \begin{cases} |\rho_2 - \rho_3| - \rho_1, & \text{if } \rho_1 < |\rho_2 - \rho_3| & \text{: region I,} \\ 0, & \text{if } |\rho_2 - \rho_3| \leq \rho_1 \leq \rho_2 + \rho_3 & \text{: region II,} \\ \rho_1 - (\rho_2 + \rho_3), & \text{if } \rho_2 + \rho_3 < \rho_1 & \text{: region III.} \end{cases}$$



$m_{\beta\beta}$  can be strongly suppressed (!?)

# Dependence of $m_{ee}^L$ on $m_{\text{lightest}}$ and $L/E$

$$m_{ee}^{L=0} = m_{\beta\beta}$$



# $0\nu\beta\beta$ is a nuclear physics problem



# 0νββ-decay NME status 2023

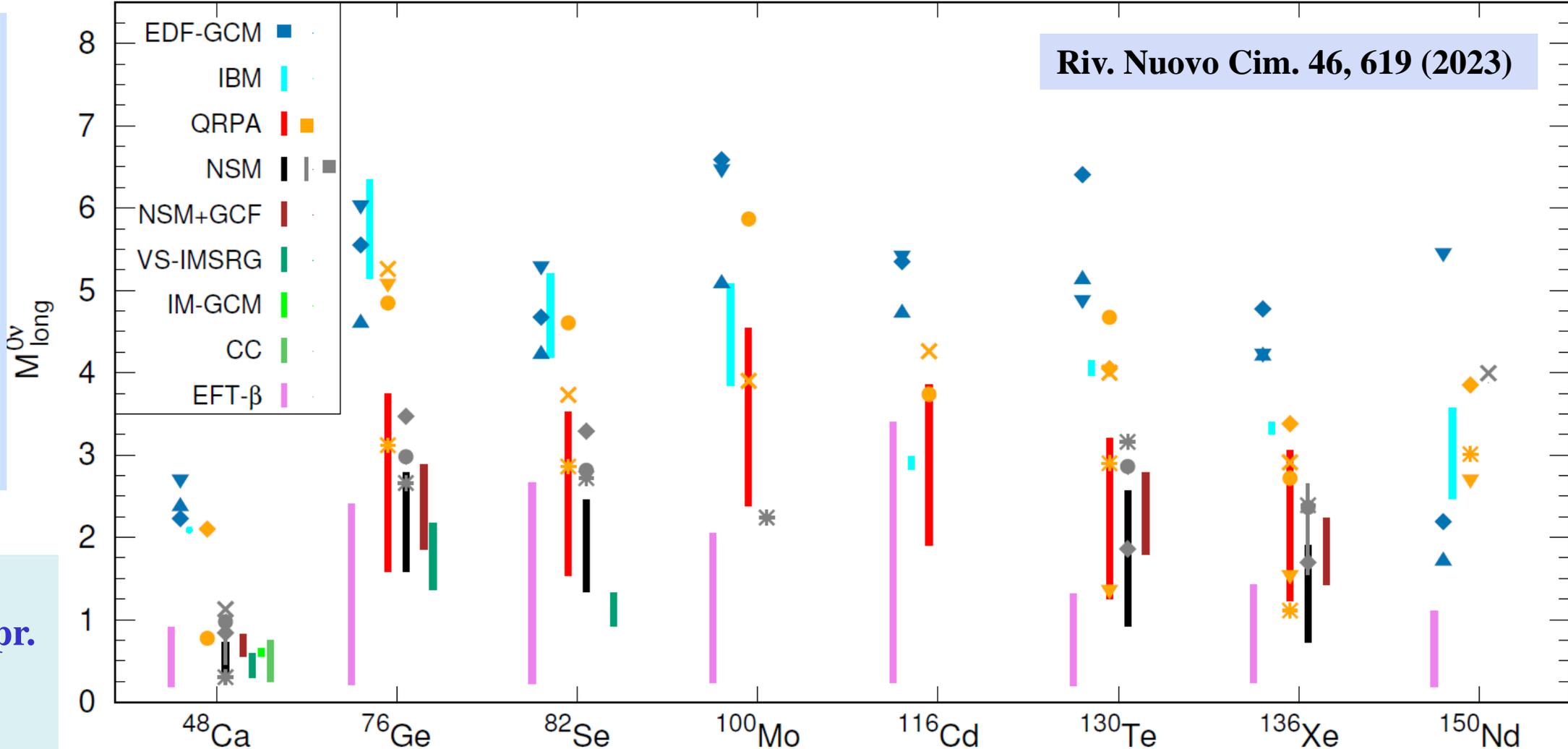
The nuclear w. f. of  
(A,Z), (A,Z+1)\*, (A,Z+2)  
Many-body methods  
of choice:

The 0νββ nuclear transition operators  
(F, GT, and tensor type):

! Isospin, and spin-isospin symmetries  
(M<sub>Fcd</sub> ≈ 0, M<sub>GTcd</sub> strongly suppressed):

All  
models  
missing  
essential  
physics  
  
Impossible  
to assign  
rigorous  
uncertainties

Differences:  
Many-body appr.  
Size of the m.s.  
Residual int.



Assuming that the  $0\nu\beta\beta$  process is mediated by a light-Majorana-neutrino exchange, a systematic analysis in chiral effective field theory shows that already at leading order **a contact operator is required to ensure renormalizability** of the amplitude for  $nn \rightarrow pp + ee$  process. Without the strong  $^1S_0$  short range interaction (which appears universally in all nuclear potentials) there would be no need of contact term.

$$M^{0\nu} = -\frac{1}{g_A^2} M_F^{0\nu} + M_{GT}^{0\nu} + M_T^{0\nu} + 2 \frac{m_\pi^2 g_\nu^{NN}}{g_A^2} M_{F,sd}^{0\nu}$$



### Some questions:

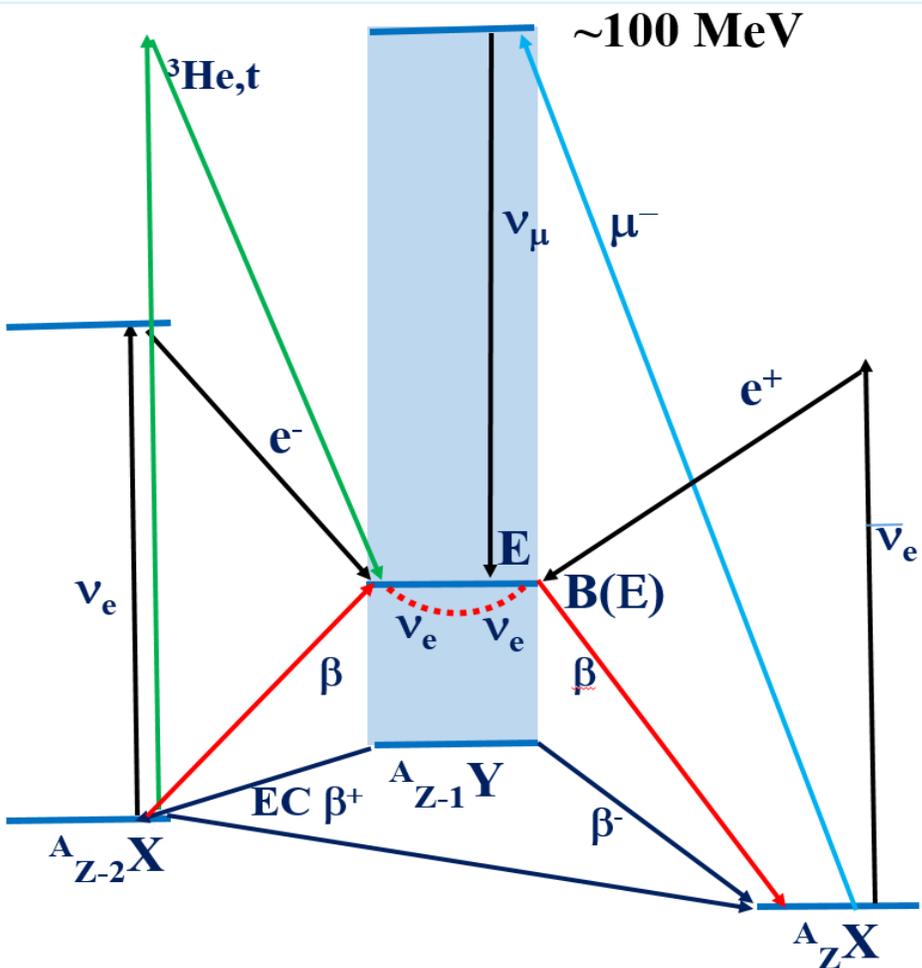
A **correspondence** of the standard and the chiral field theory formalisms. Is the contact term involved in the standard mechanism (completeness ...)?

What is the **magnitude** of the contact term NME? **Can it be large?** Justification with other phenomenology needed – pion and heavy-ion DCX, etc.

Nucleus	QRPA	Short-range
	$M_S^{0\nu} / M_L^{0\nu} (\%)$	$M_S^{0\nu} / M_L^{0\nu} (\%)$
$^{48}\text{Ca}$		23 – 62
$^{76}\text{Ge}$	32 – 73	15 – 42
$^{82}\text{Se}$	30 – 70	15 – 41
$^{96}\text{Zr}$	29 – 69	
$^{100}\text{Mo}$	49 – 108	
$^{116}\text{Cd}$	26 – 61	
$^{124}\text{Sn}$	36 – 81	17 – 46
$^{128}\text{Te}$	35 – 77	17 – 46
$^{130}\text{Te}$	34 – 77	17 – 47
$^{136}\text{Xe}$	30 – 70	17 – 47

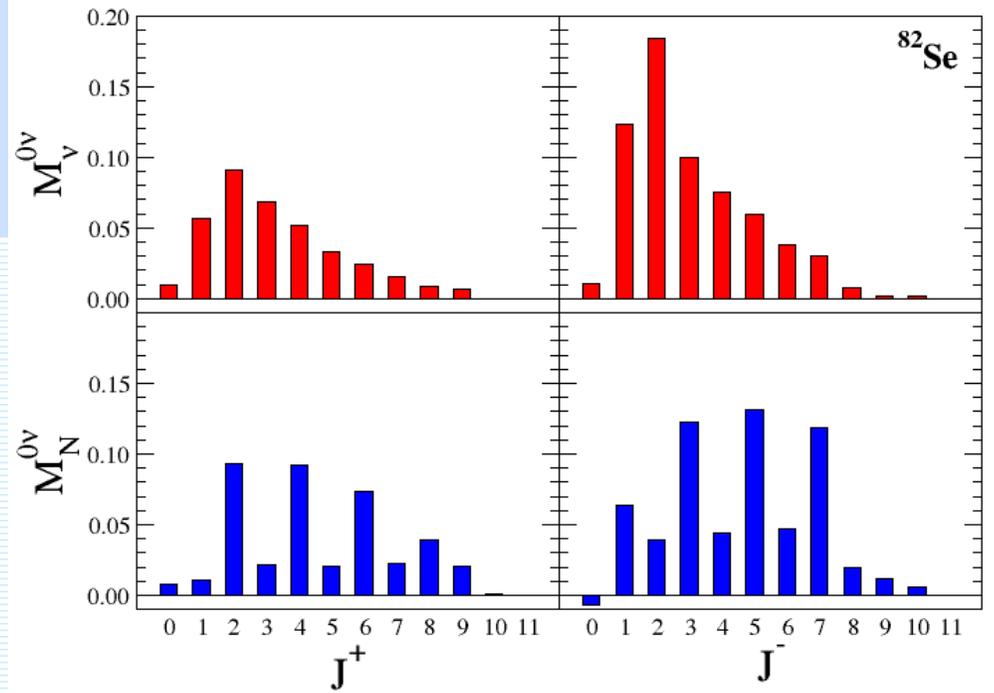
# Supporting nuclear physics experiments (Measurements still not conclusive for $0\nu\beta\beta$ NME)

- ✓  $\beta$ -decay, EC and  $2\nu\beta\beta$  decay
- ✓  $\mu$ -capture
- ✓  $(\pi^+, \pi^-)$ , single charge exchange
- ✓  $({}^3\text{He}, t)$ ,  $(d, {}^2\text{He})$ , transfer reactions
- ✓  $\gamma$ -ray spectroscopy,  $\gamma\gamma$ -decay
- ✓ A promising experimental tool:  
**Heavy-Ion Double Charge-Exchange**



*Multipole decomposition of light and heavy  $0\nu\beta\beta$ -decay NMEs normalized to unity*

*Higher multipoles are populated mostly due large  $\nu$ -momenta transfer*



**Improved description of the  $0\nu\beta\beta$ -decay rate (a way to fix  $g_A^{\text{eff}}$ )**

PRC 97, 034315 (2018).

Both  $2\nu\beta\beta$  and  $0\nu\beta\beta$  operators connect the same states.  
Both change two neutrons into two protons.  
Explaining  $2\nu\beta\beta$ -decay is necessary but not sufficient

Taylor expansion

$$\frac{\epsilon_{K,L}}{E_n - (E_i + E_f)/2}$$

$$\epsilon_{K,L} \in \left(-\frac{Q}{2}, \frac{Q}{2}\right)$$

We get

$$\left[T_{1/2}^{2\nu\beta\beta}\right]^{-1} \simeq \left(g_A^{\text{eff}}\right)^4 \left|M_{GT-3}^{2\nu}\right|^2 \frac{1}{|\xi_{13}^{2\nu}|^2} \left(G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu}\right)$$

*The  $g_A^{\text{eff}}$  can be determined with measured half-life and ratio of NMEs and calculated NME dominated by transitions through low lying states of the intermediate nucleus (ISM)*

$$M_{GT}^{K,L} = m_e \sum_n M_n \frac{E_n - (E_i + E_f)/2}{[E_n - (E_i + E_f)/2]^2 - \epsilon_{K,L}^2}$$

$$\epsilon_K = (E_{e_2} + E_{\nu_2} - E_{e_1} - E_{\nu_1})/2$$

$$\epsilon_L = (E_{e_1} + E_{\nu_2} - E_{e_2} - E_{\nu_1})/2$$

$$M_{GT-1}^{2\nu} = \sum_n M_n \frac{1}{(E_n - (E_i + E_f)/2)}$$

$$M_{GT-3}^{2\nu} = \sum_n M_n \frac{4 m_e^3}{(E_n - (E_i + E_f)/2)^3}$$

$$\xi_{13}^{2\nu} = \frac{M_{GT-3}^{2\nu}}{M_{GT-1}^{2\nu}}$$

$$\xi_{15}^{2\nu} = \frac{M_{GT-5}^{2\nu}}{M_{GT-1}^{2\nu}}$$

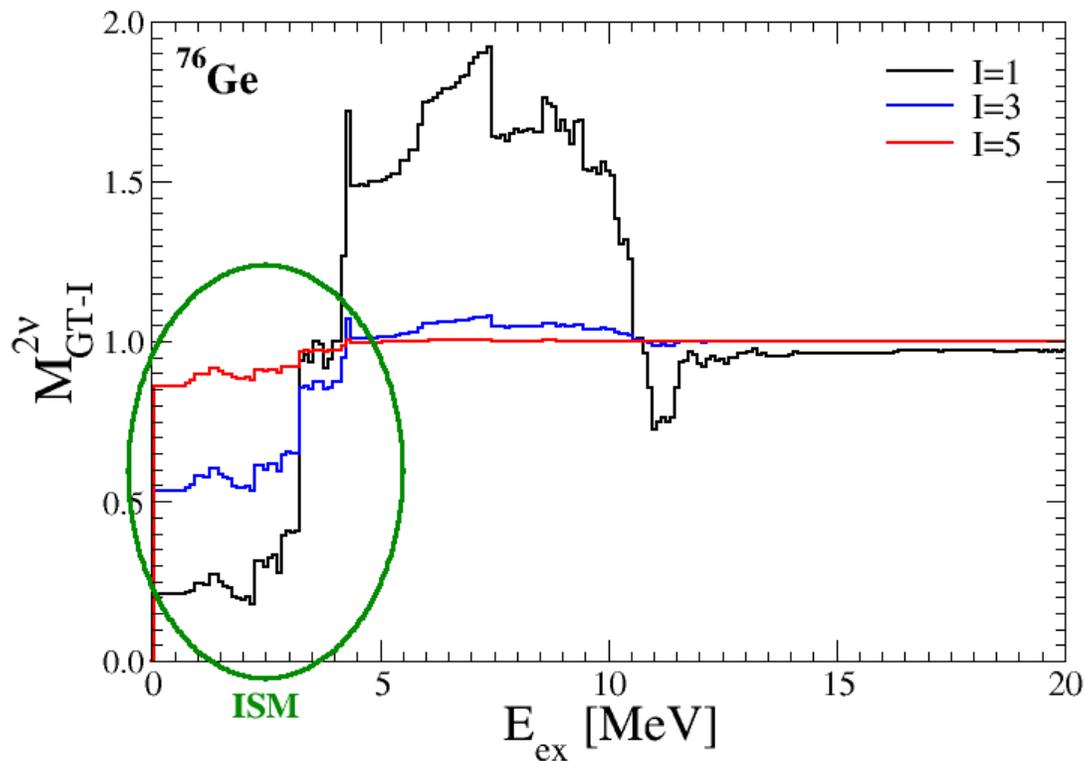
**The running sum of the  $2\nu\beta\beta$ -decay NMEs (QRPA)**

$$M_{GT-1}^{2\nu} = \sum_n M_n \frac{1}{(E_n - (E_i + E_f)/2)}$$

$$M_{GT-3}^{2\nu} = \sum_n M_n \frac{4 m_e^3}{(E_n - (E_i + E_f)/2)^3}$$

$$\xi_{13}^{2\nu} = \frac{M_{GT-3}^{2\nu}}{M_{GT-1}^{2\nu}}$$

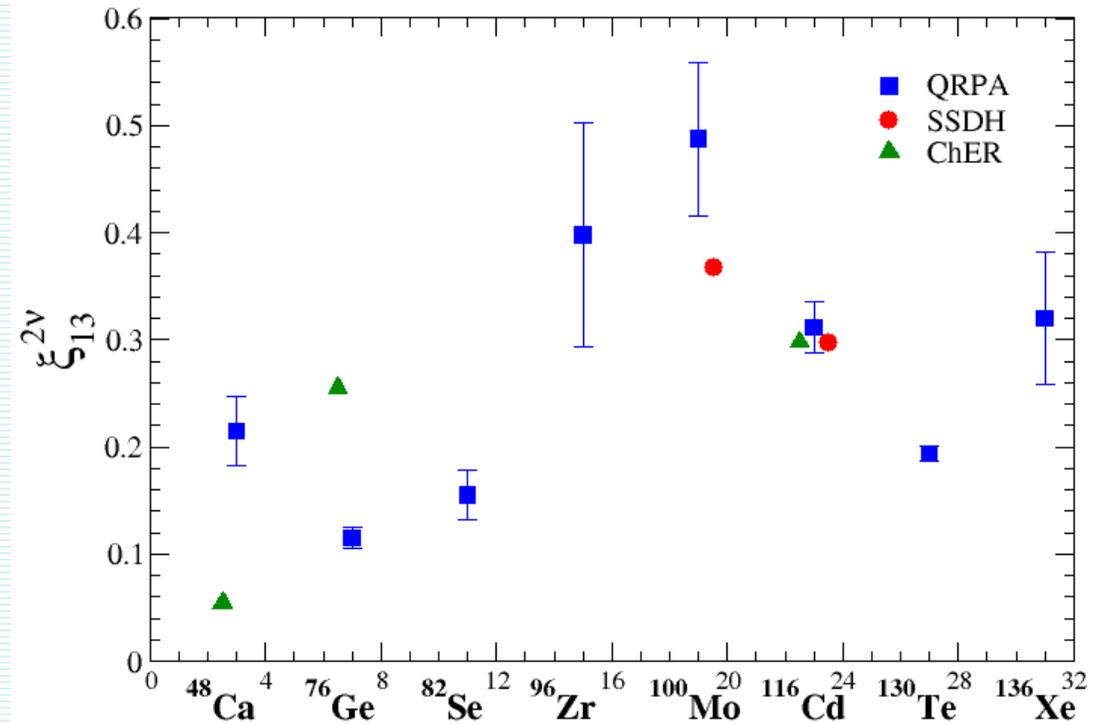
$$\xi_{15}^{2\nu} = \frac{M_{GT-5}^{2\nu}}{M_{GT-1}^{2\nu}}$$



$\xi_{13}$  tell us about importance of higher lying states of int. nucl.

HSD:  $\xi_{13}=0$

$\xi_{13} \approx 1$  (large)  
Possible due to a large cancellation of contributions through lower and higher lying states of (A,Z+1).



$\xi_{13}$  can be determined phenomenologically from the shape of energy distributions of emitted electrons  
F.Š., Šmotlák, Semenov, J. Phys. G, 27, 2233, 2001

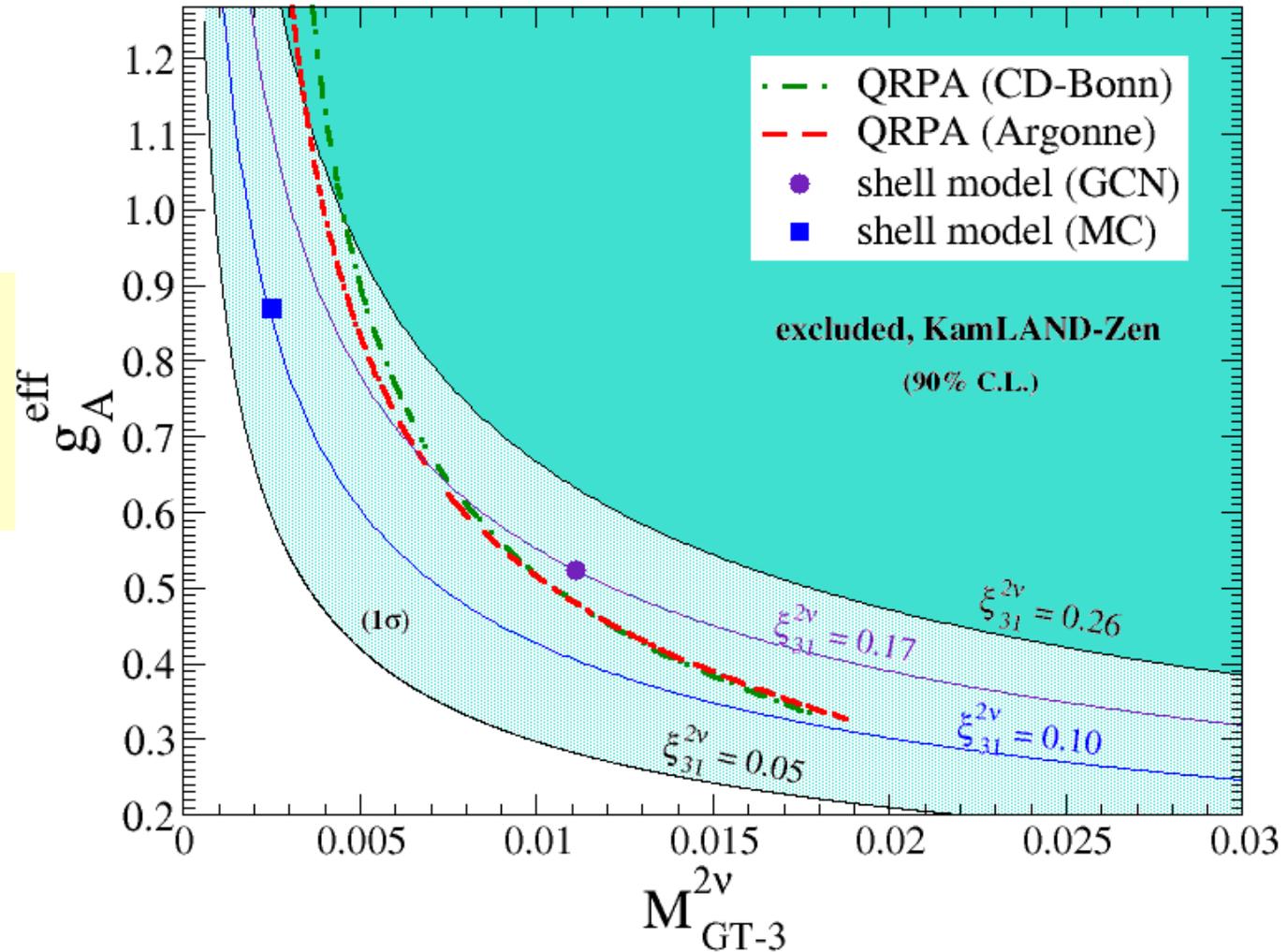
# KamLAND-Zen Exp. : $\xi_{13} < 0.26$ ( $^{136}\text{Xe}$ )

$\xi_{13}$  can be determined phenomenologically from the shape of energy distributions of emitted electrons

The  $g_A^{\text{eff}}$  can be determined with measured half-life, ratio of NMEs  $\xi_{31}$  and calculated NME, dominated by transitions through low lying states of the intermediate nucleus.

$$(g_A^{\text{eff}})^2 = \frac{1}{|M_{GT-3}^{2\nu}|} \frac{|\xi_{13}^{2\nu}|}{\sqrt{T_{1/2}^{2\nu-\text{exp}} (G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu})}}$$

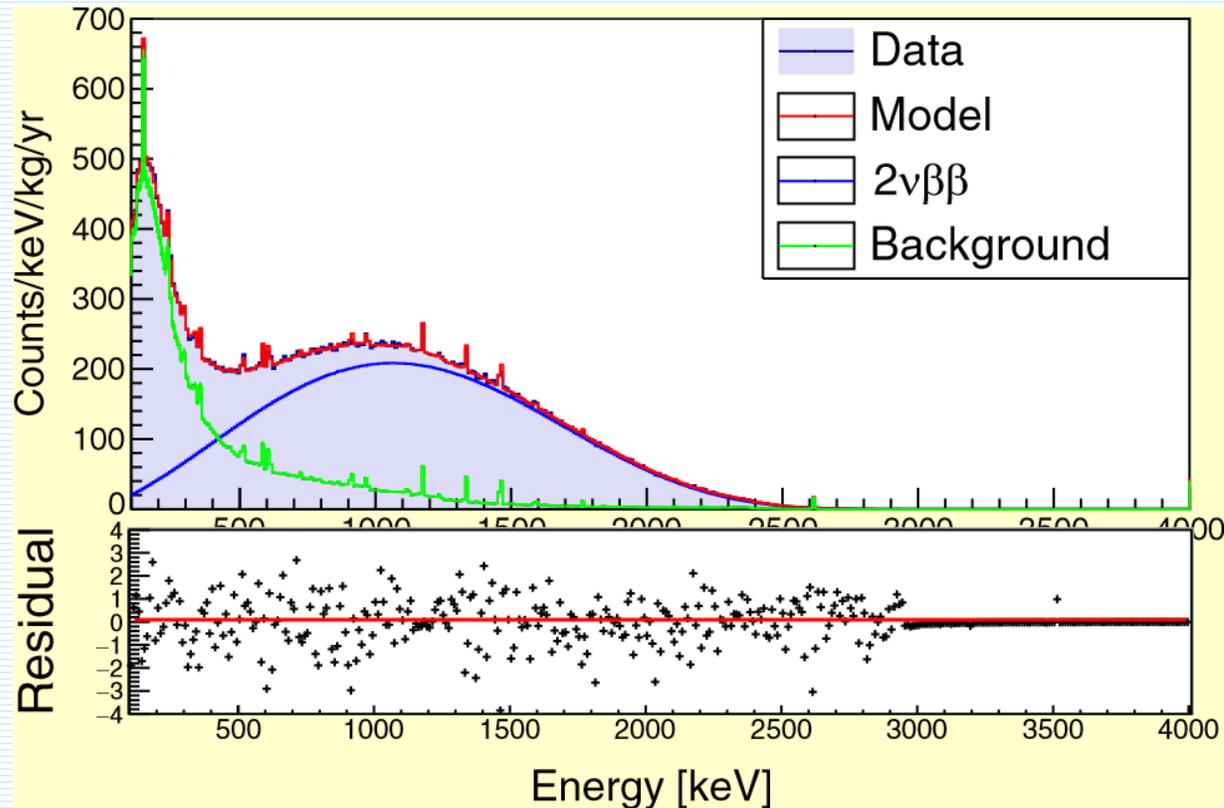
$M_{GT-3}$  have to be calculated by nuclear theory - ISM



KamLAND-Zen Coll. (+J. Menendez, F.Š.),  
Phys.Rev.Lett. 122, 192501 (2019)

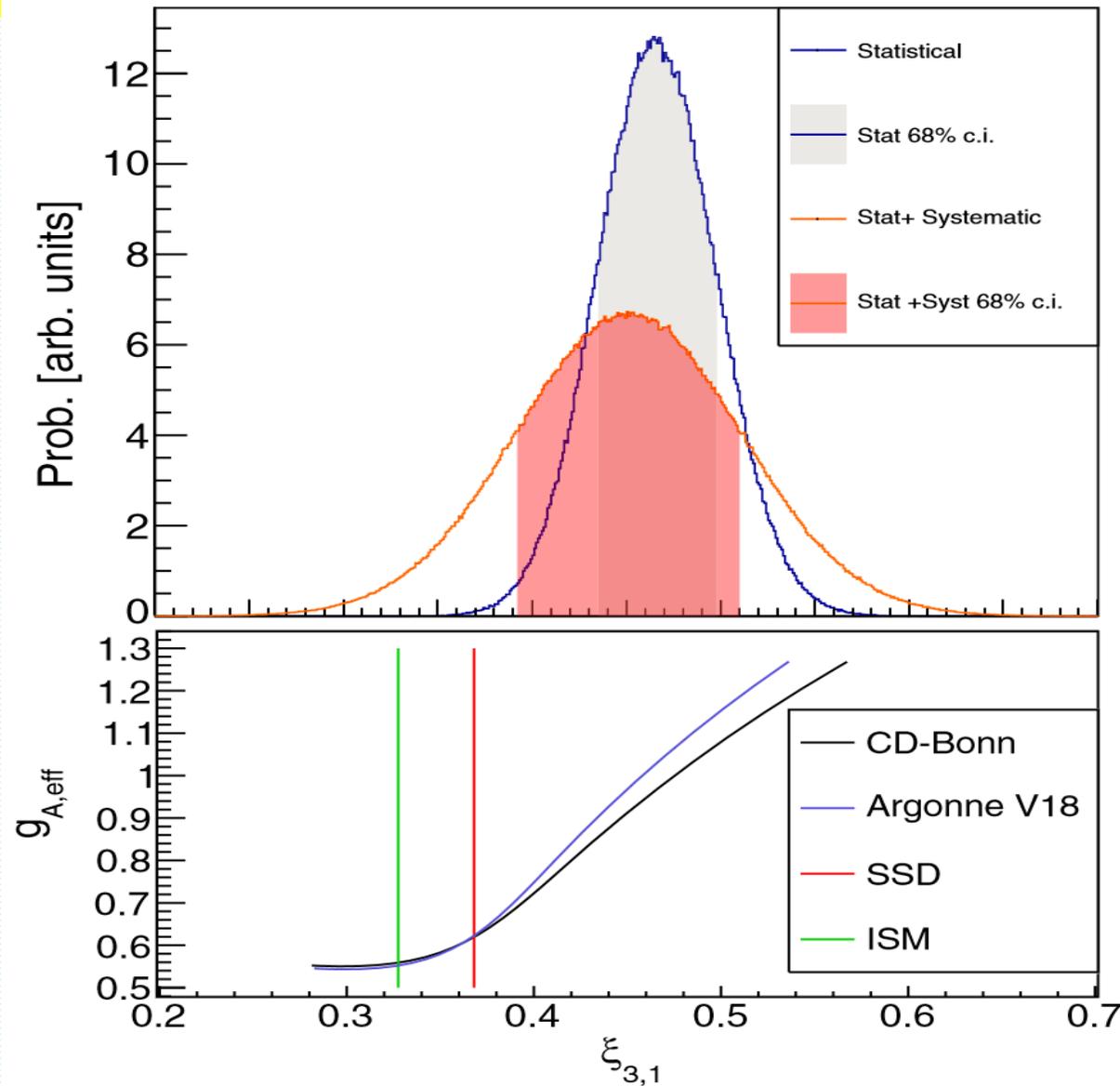
# CUPID-Mo Exp. : $\xi_{13} = 0.45 \pm 0.03$ (stat) $\pm 0.05$ (syst) ( $^{100}\text{Mo}$ )

CUPID-Mo Coll. (+F.Š.), Phys.Rev.Lett. 131, 162501 (2023)



$$T_{1/2} = [7.07 \pm 0.02(\text{stat}) \pm 0.11(\text{syst})] \times 10^{18} \text{ yr}$$

$$\xi_{51}/\xi_{31} = 0.364\text{-}0.368 \text{ (QRPA)}, 0.367 \text{ (SSD)}, 0.349 \text{ (ISM)}$$



2/19/2024

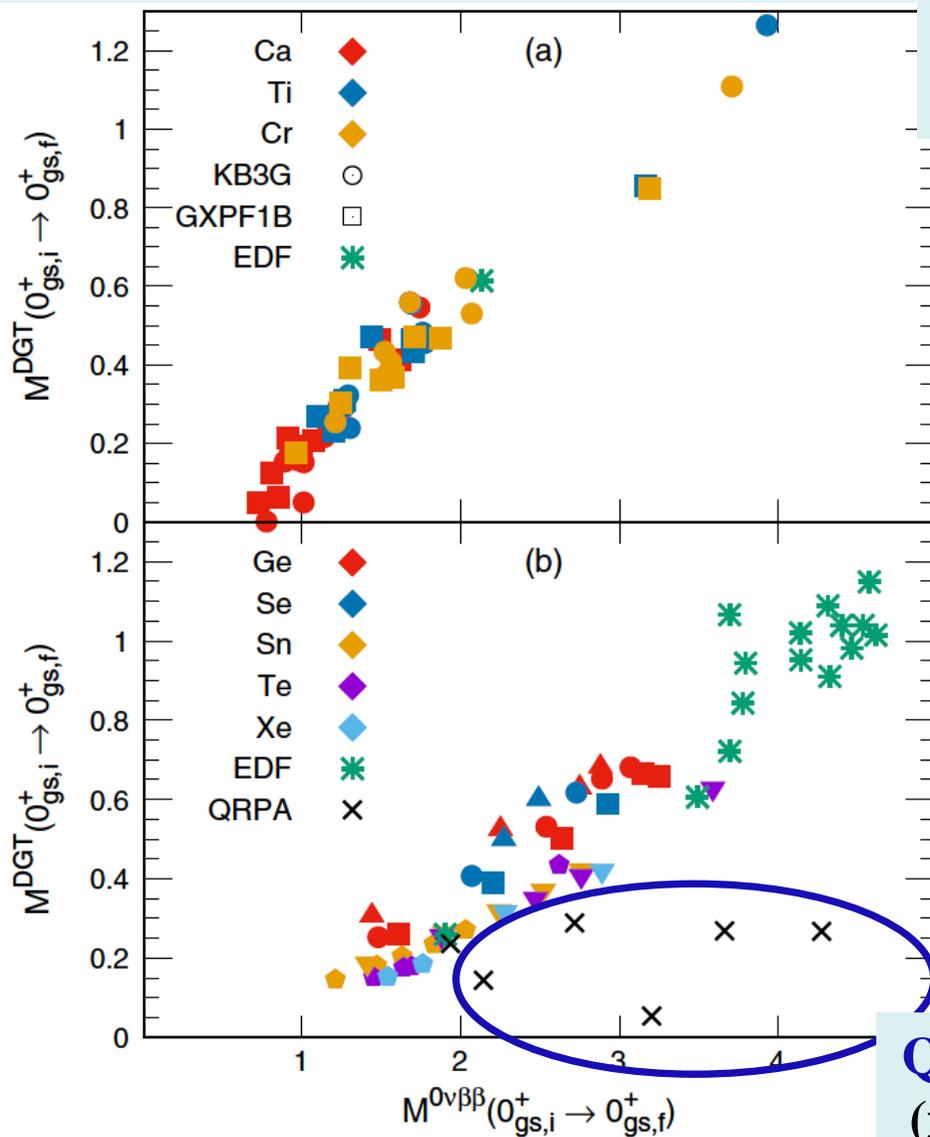
$$g_A^{\text{eff}} \text{ (pnQRPA)} = 1.0 \pm 0.1(\text{stat}) \pm 0.2(\text{syst})$$

$$g_A^{\text{eff}} \text{ (ISM)} = 1.11 \pm 0.03(\text{stat}) \pm 0.05(\text{syst})$$

ISM, EDF:  $M^{0\nu} \propto M^{2\nu}_{GT-cl}$

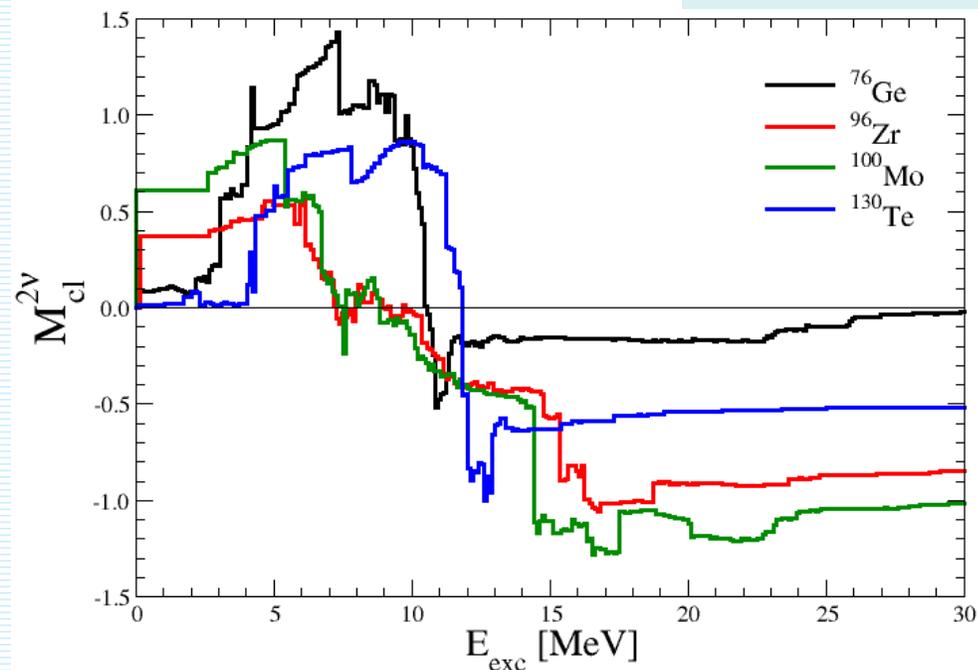
Assumption exploited by the EFT- $\beta$  approach for the calculation of  $0\nu\beta\beta$  NMEs

$M^{DGT}$  – only  $1^+$   
 $M^{0\nu}$  - contribution from many  $J^\pi$  (!)



ISM versus QRPA

PRC 83, 015502 (2011)

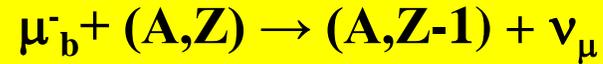


QRPA – no proportionality between  $M^{0\nu}$  and  $M^{2\nu}_{GT-cl}$  (modest quenching of  $g_A$ )

PRL 120, 142502 (2018)

Favored by  $\xi_{13} (^{100}\text{Mo}) = 0.45 \pm 0.03 > \xi_{13}^{SSD}$

## Measurement of GT strength via $\mu$ -capture



### Contradicting results:

- Strong quenching ( $g_A \approx 0.6$ )

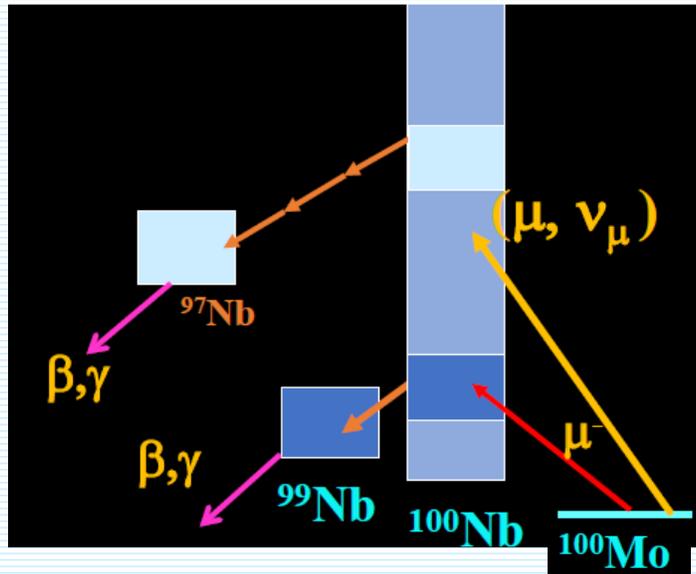
PRC 100, 014619 (2019)

- Weak quenching ( $g_A \approx 1.1$ )

PRC 74, 024326 (2006)

PRC 79, 054323 (2009)

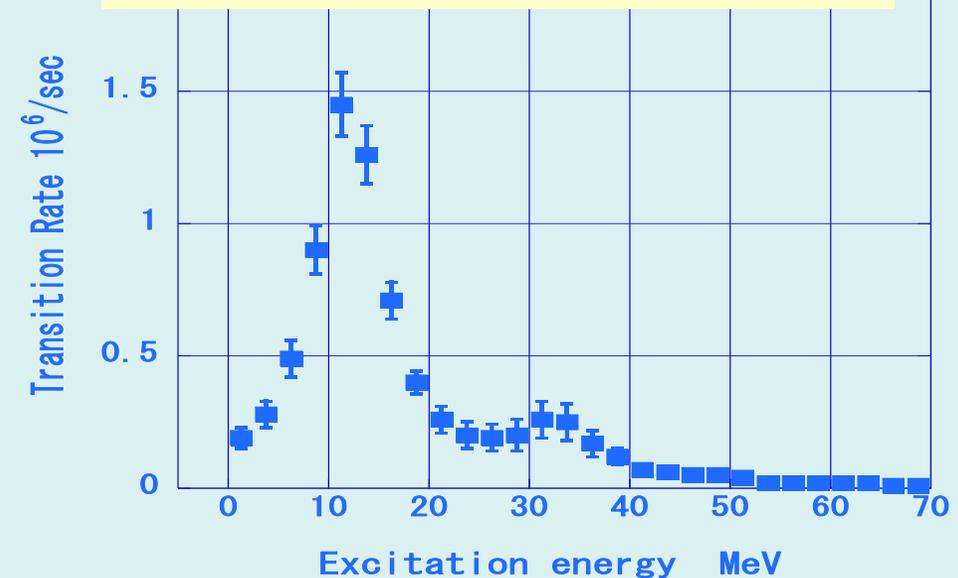
## J-PARC 3-50 GeV p, $\nu$ , $\mu$



$\Rightarrow$  Small basis nuclear structure calculations (NSM, IBM) are disfavored.  $\Rightarrow$

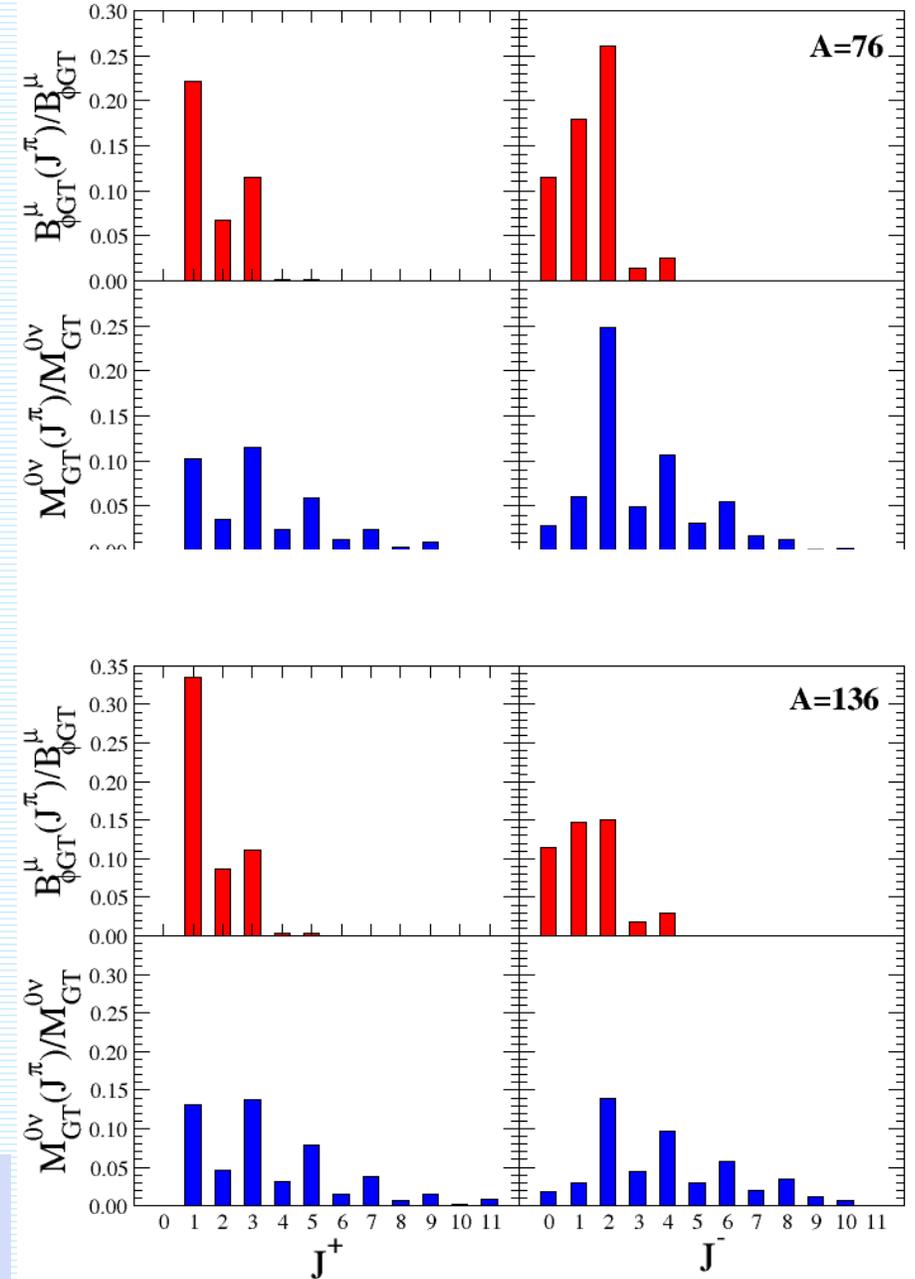
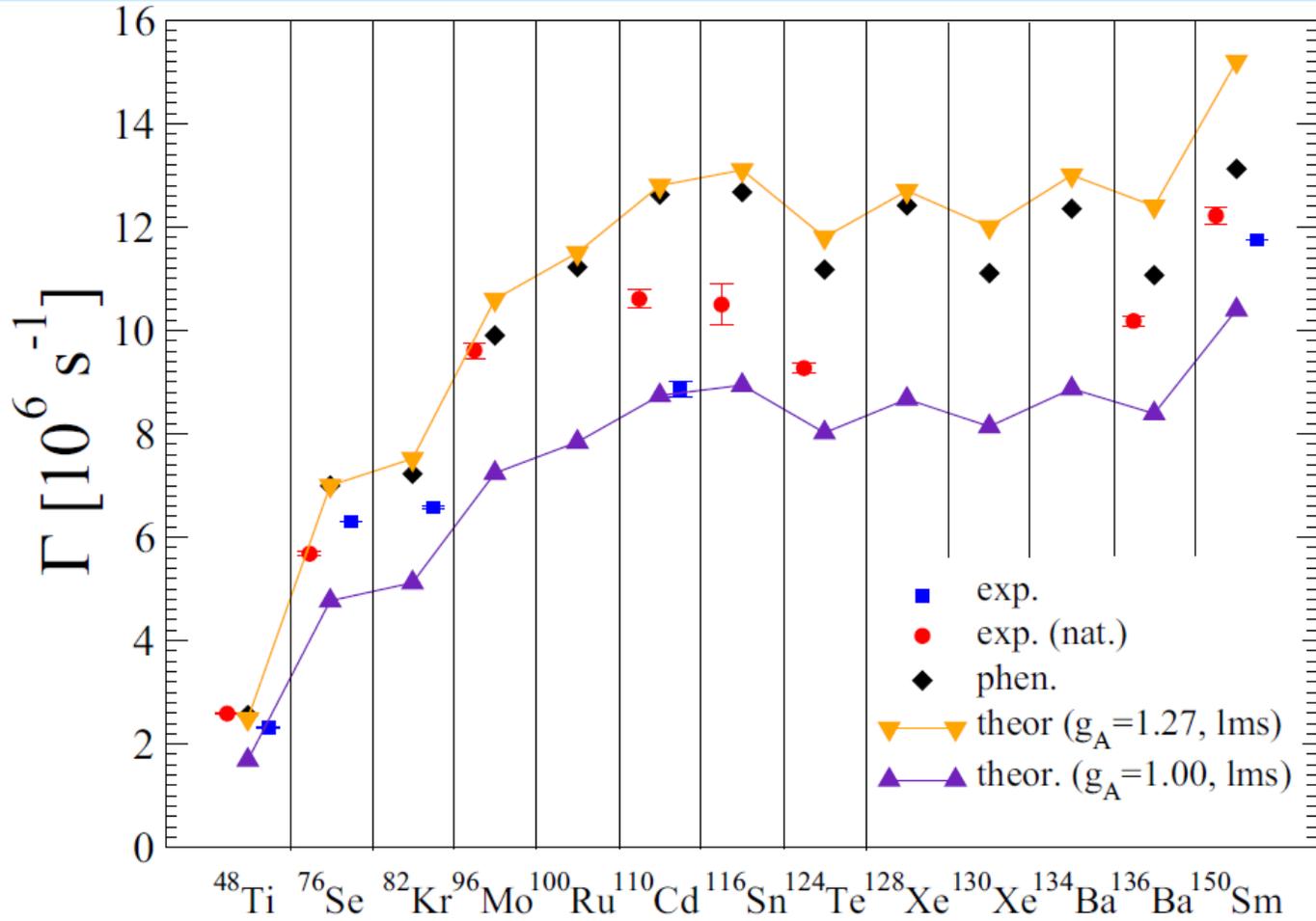
I. Hashim H. Ejiri, MXG16, PR C 97 2018

### Momentum transfer $q \sim 80$ MeV



# Muon capture rates evaluated within QRPA

In agreement with soft quenching ( $g_A \approx 1.1$ )

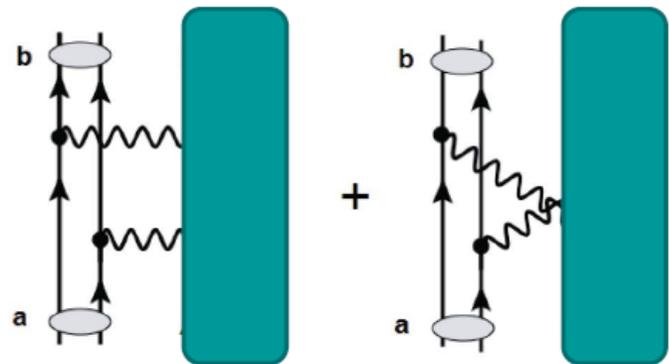


Multipole decomposition of  $B_{GT}^{\mu}$  and  $M_{GT}^{0\nu}$

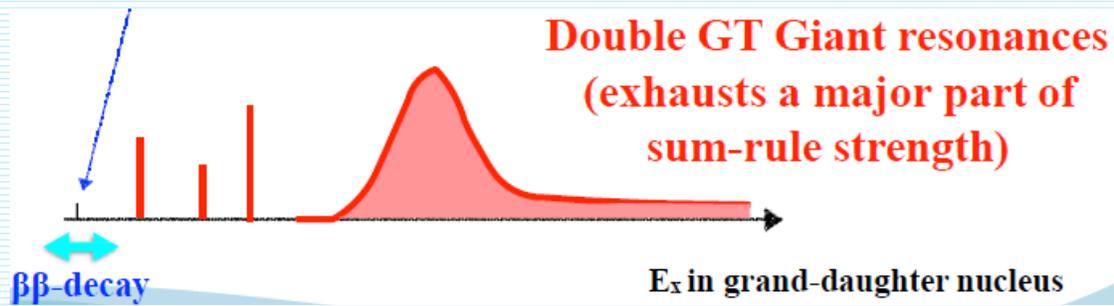
PRC 102, 034301 (2020).

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Experiment Monument at PSI  
will study contributions from all multipoles



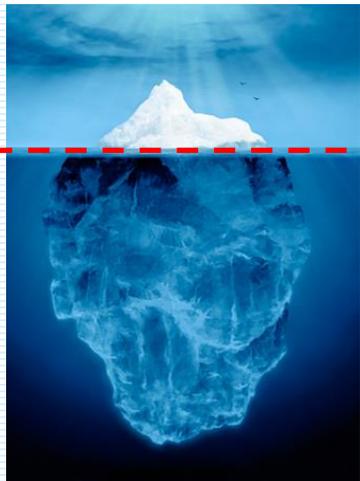
**Heavy-ion DCE  
as surrogate processes  
of  $\beta\beta$ -decay**



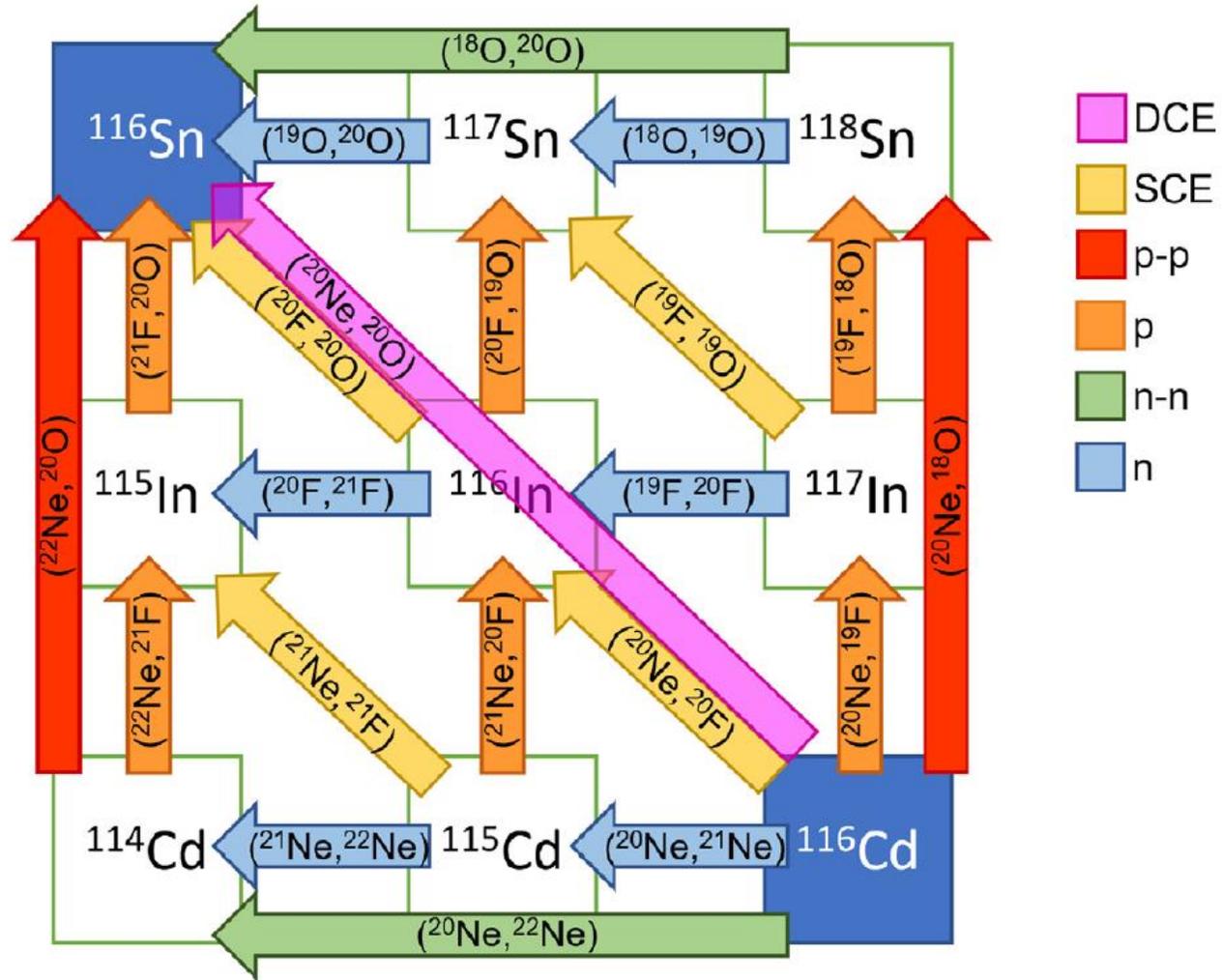
- ✓ Induced by strong interaction
- ✓ Sequential nucleon transfer mechanism 4<sup>th</sup> order: Kinematical matching
- ✓ Meson exchange mechanism 1<sup>st</sup> or 2<sup>nd</sup> order
- ✓ Possibility to go in both directions
- ✓ Low cross section

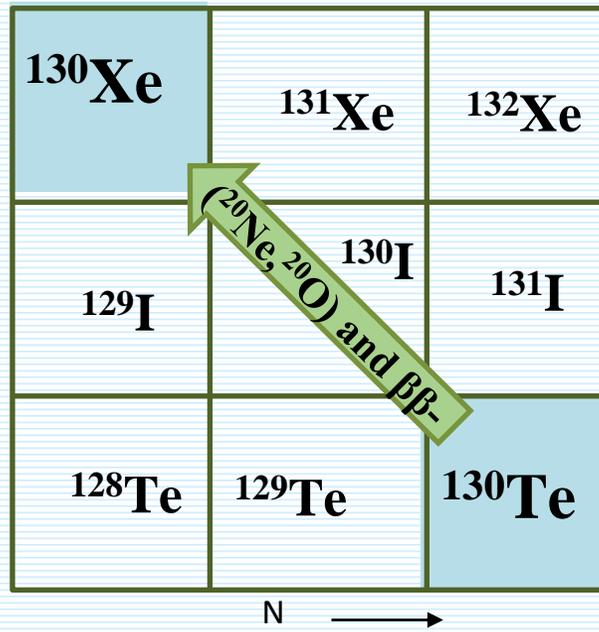
Tiny amount of DGT strength for low lying states

Sum rule almost exhausted by DGT Giant Mode, still not observed

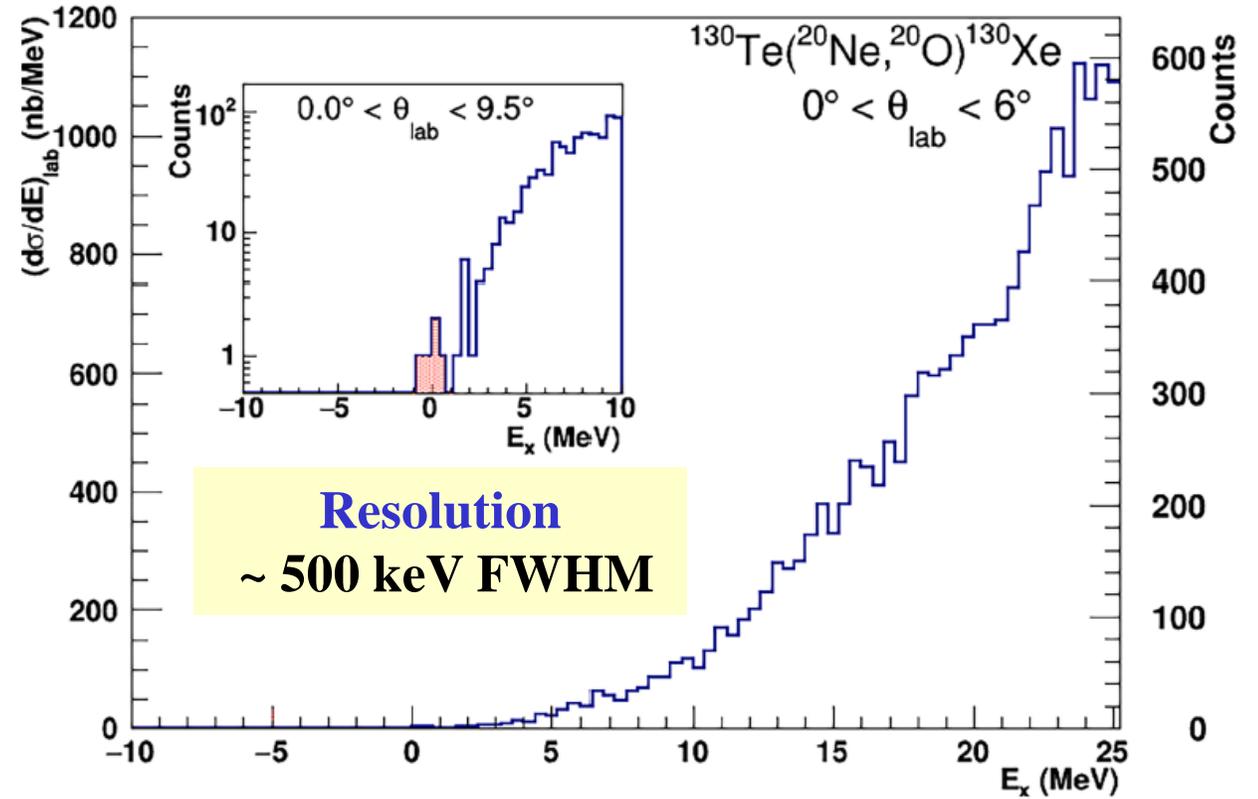


RIKEN  
RCNP  
Future:  
INFN-LN

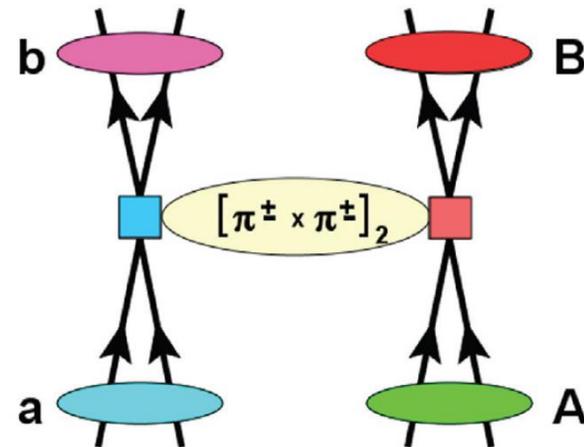
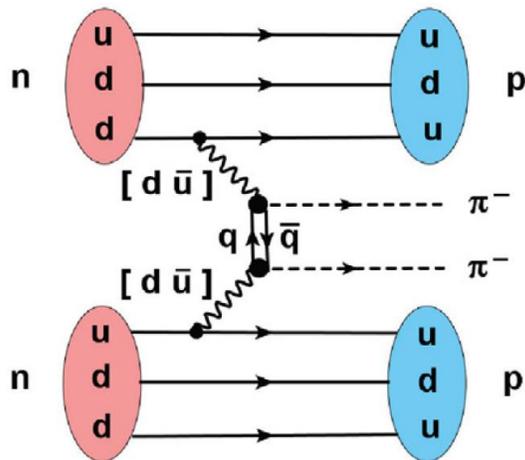




# The $^{130}\text{Te}(^{20}\text{Ne}, ^{20}\text{O})^{130}\text{Xe}$ DCE reaction



- g.s. → g.s. transition can be isolated
- Absolute cross section measured



State (MeV)	Counts	Absolute cross section (nb)	Cross section 95% limit (nb)
g.s. (0 <sup>+</sup> ) + 2 <sup>+</sup> (536 keV)	5	13	[3--18]

Analysis of cross-section sensitivity < 0.1 nb in the Region Of Interest

# $0\nu\beta\beta$ is an atomic physics problem



# Atomic effects in $\beta$ -decay (electron exchange effect)

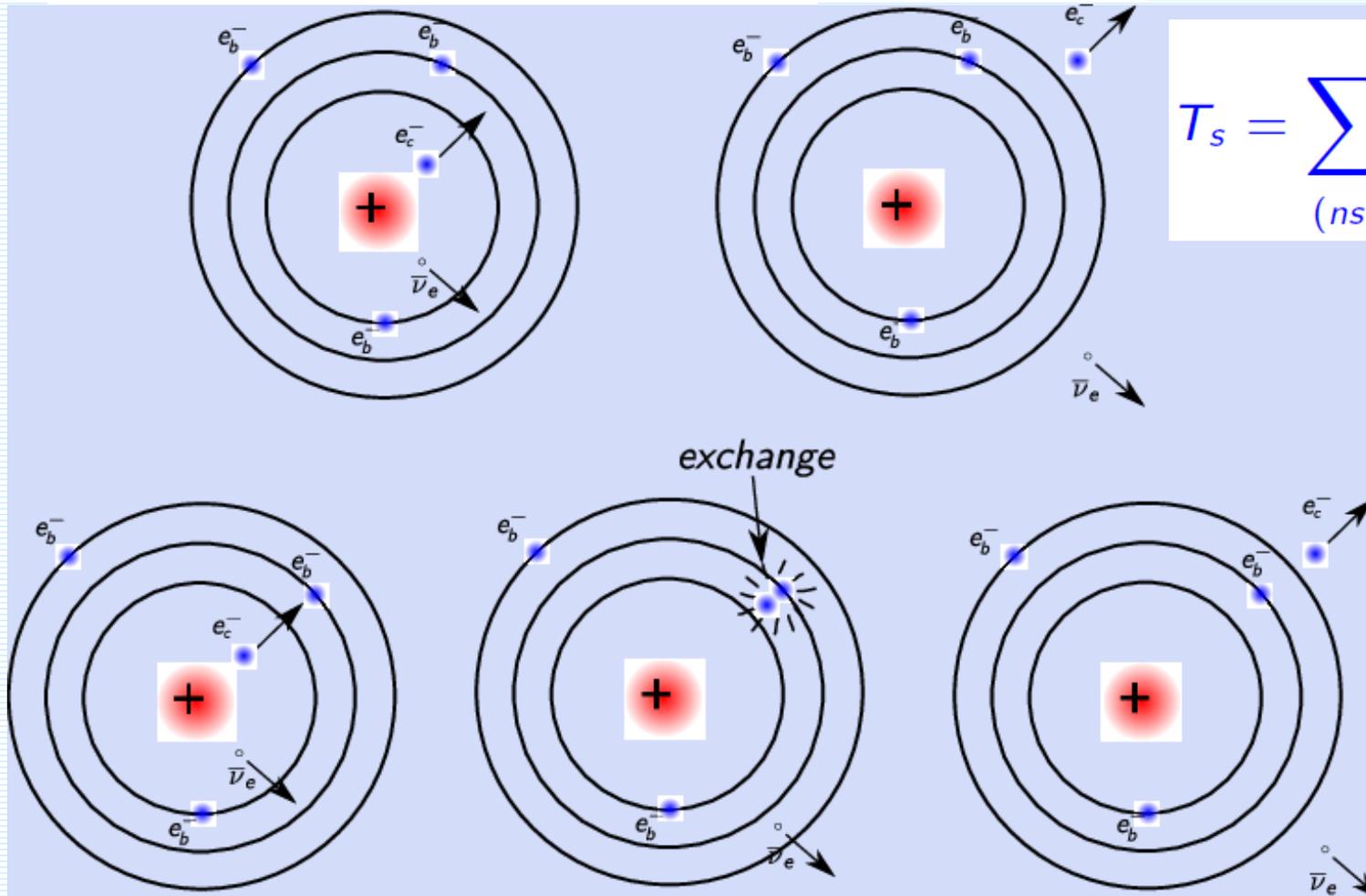
$$\frac{d\Gamma}{dE_e} \Rightarrow \frac{d\Gamma}{dE_e} \times \left[ 1 + \eta^T(E_e) \right]$$

$$\eta^T(E_e) = f_s(2T_s + T_s^2) + (1 - f_s)(2T_{\bar{p}} + T_{\bar{p}}^2) = \eta_s(E_e) + \eta_{\bar{p}}(E_e)$$

Overlap of  $(A, Z)$  bound and  $(A, Z+1)$  continuum e-states

$$T_s = \sum_{(ns)'} T_{ns} = - \sum_{(ns)'} \frac{\langle \psi'_{E_e s} | \psi_{ns} \rangle}{\langle \psi'_{ns} | \psi_{ns} \rangle} \frac{g'_{n,-1}(R)}{g'_{-1}(E_e, R)}$$

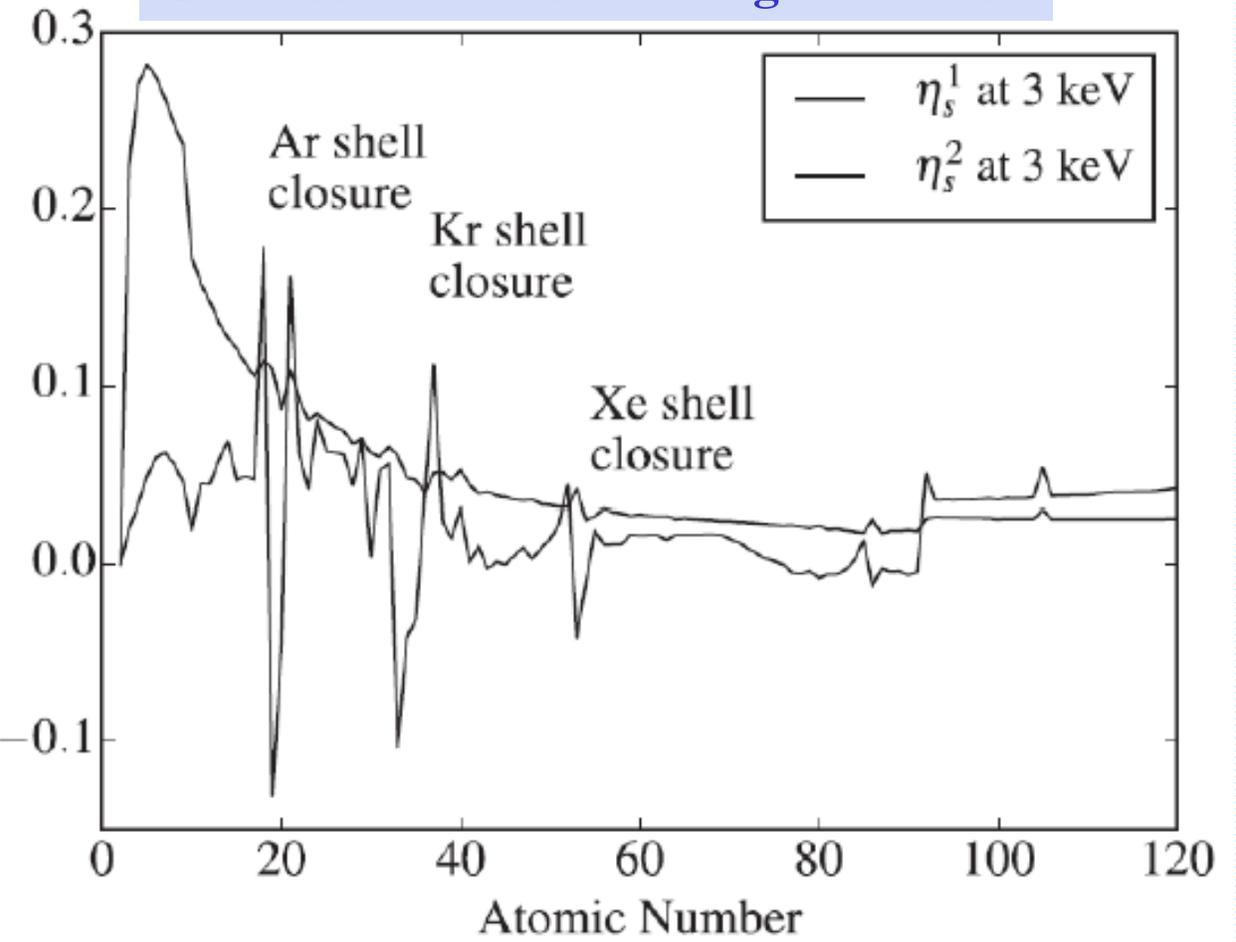
$$f_s = \frac{g'^2_{-1}(E_e, R)}{g'^2_{-1}(E_e, R) + f'^2_{+1}(E_e, R)}$$



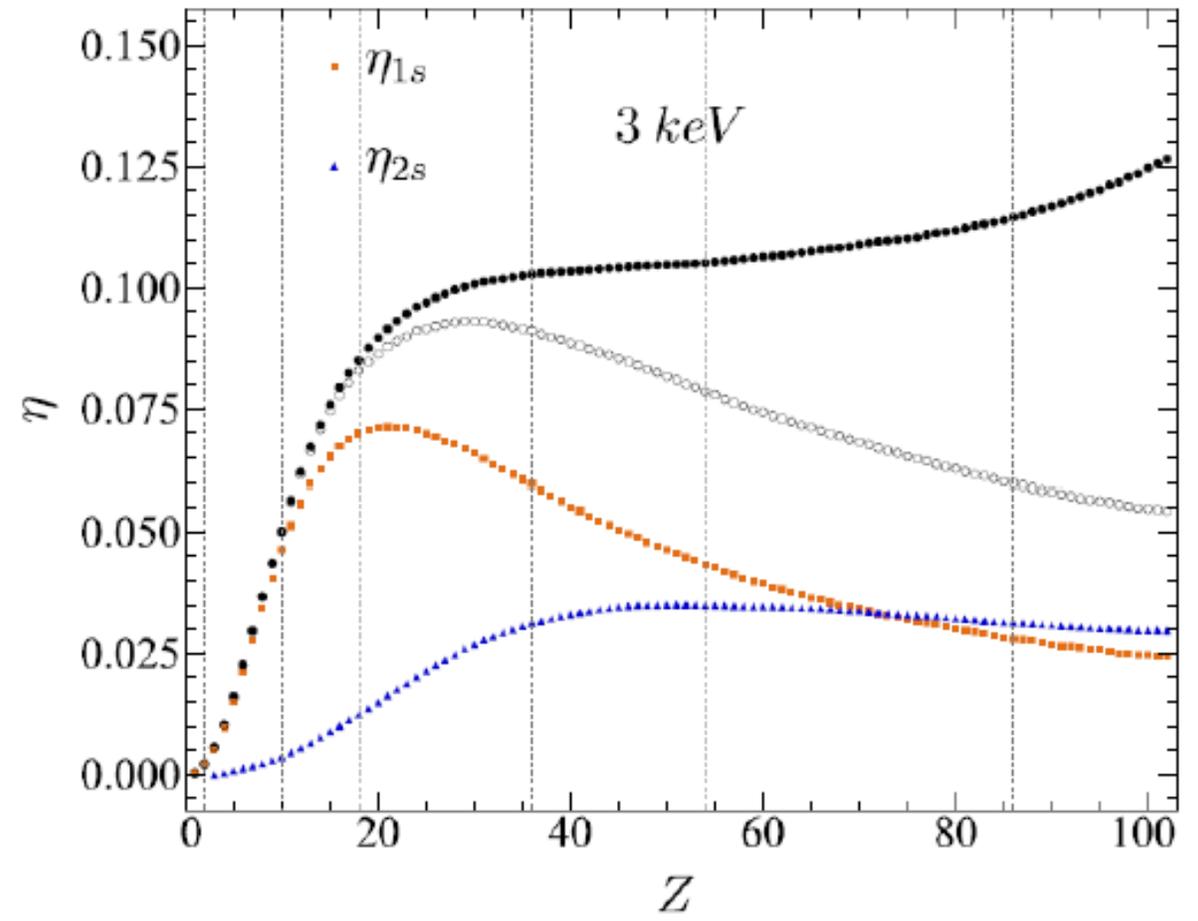
Transition	<b>L</b>	<b> \Delta J </b>	<b>\Delta\pi</b>
<b>allowed</b>	0	0,1	0
<b>first-forbidden</b>	1	0,1,2	1
<b>second-forbidden</b>	2	1,2,3	0
<b>third-forbidden</b>	3	2,3,4	1
<b>fourth-forbidden</b>	4	3,4,5	0

# Orthogonalization of bound and continuum states

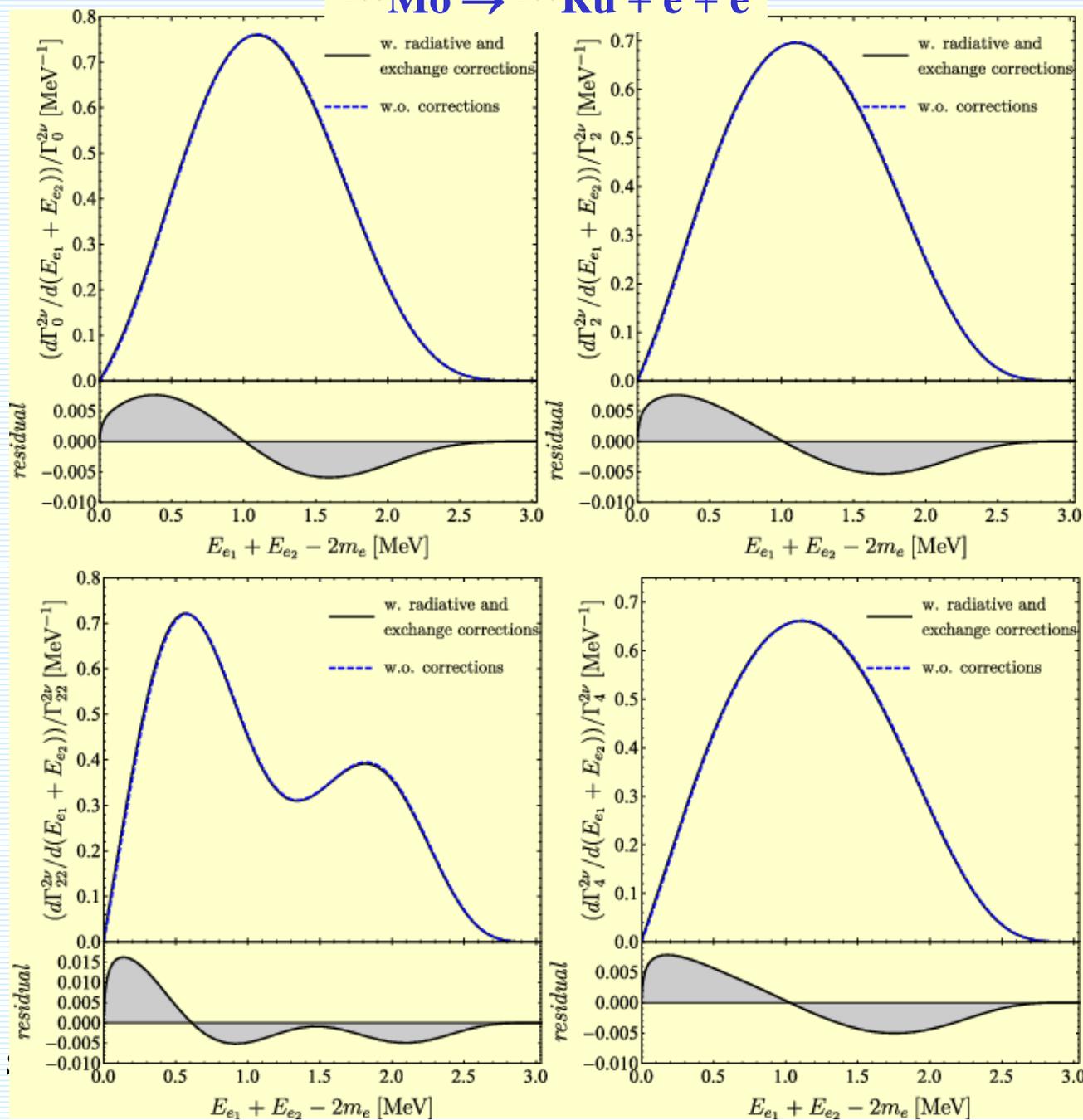
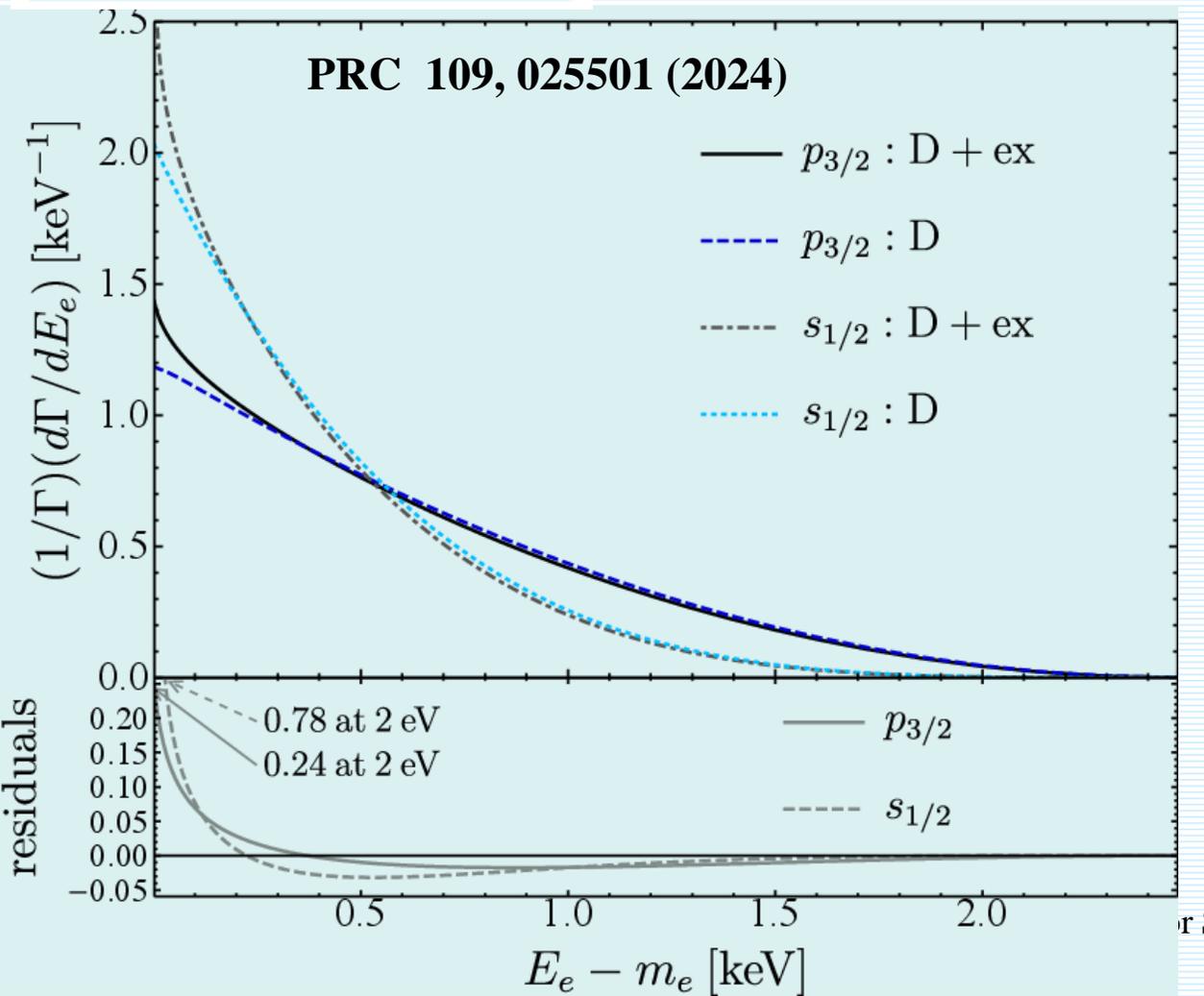
Old results: without orthogonalization



with orthogonalization

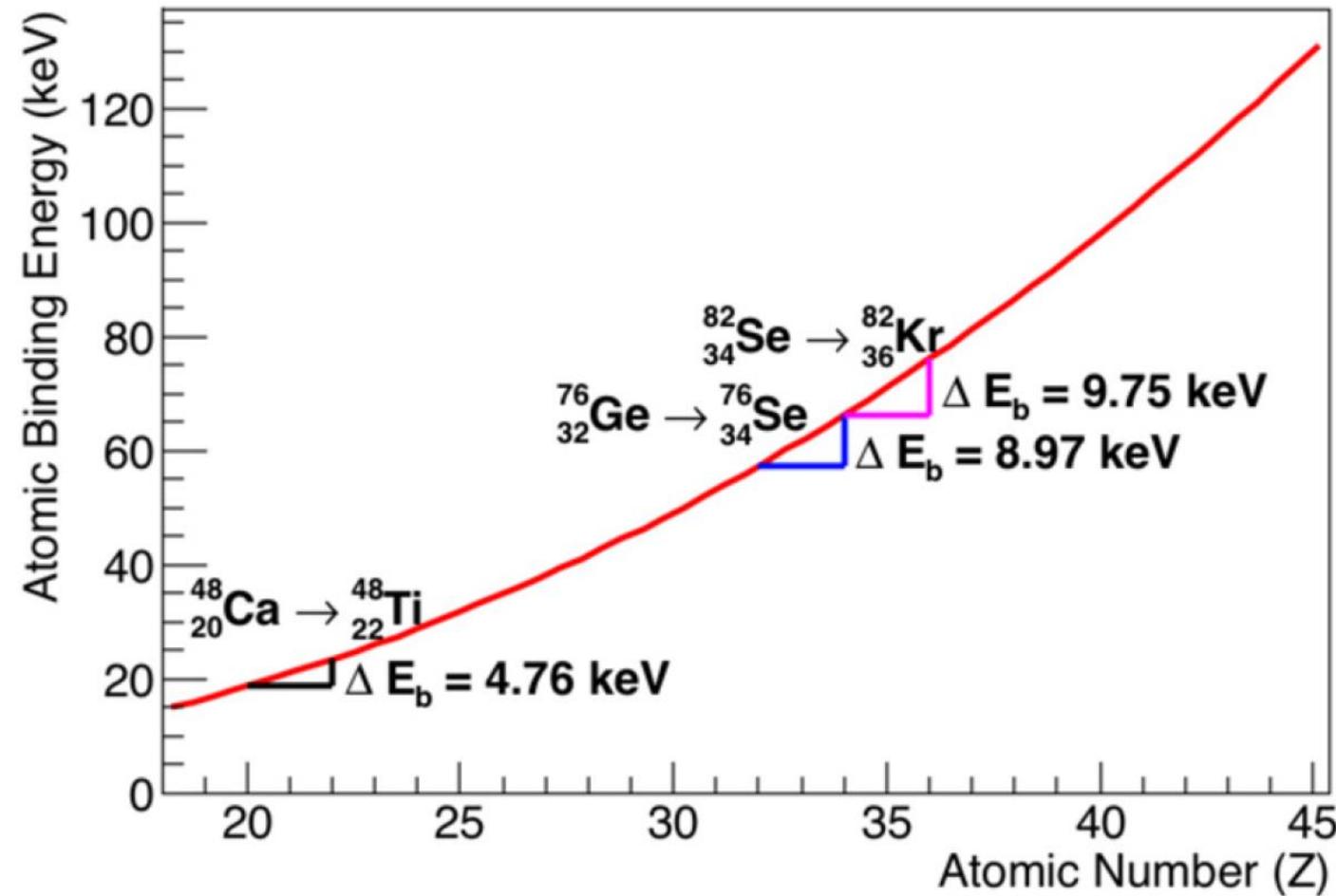


# Electron exchange effect and radiative corrections

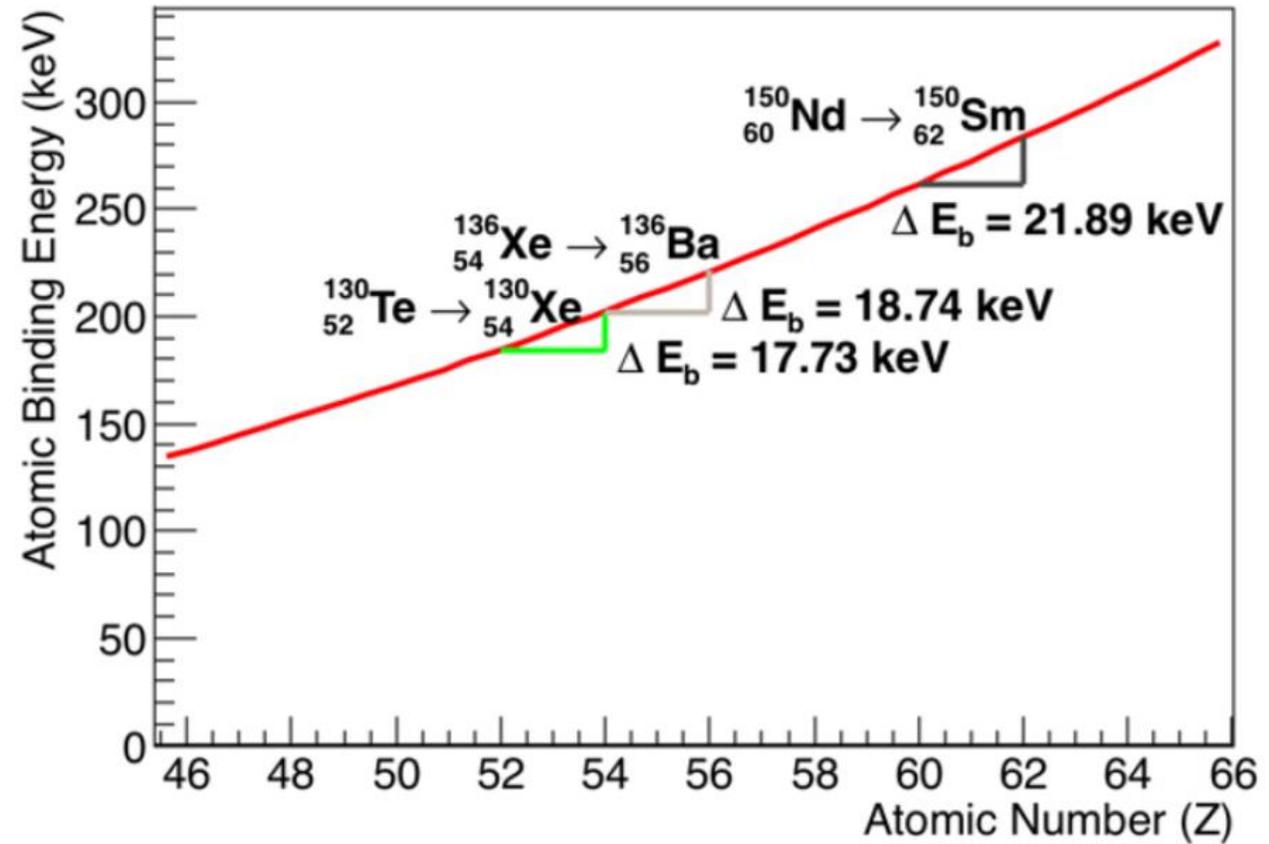
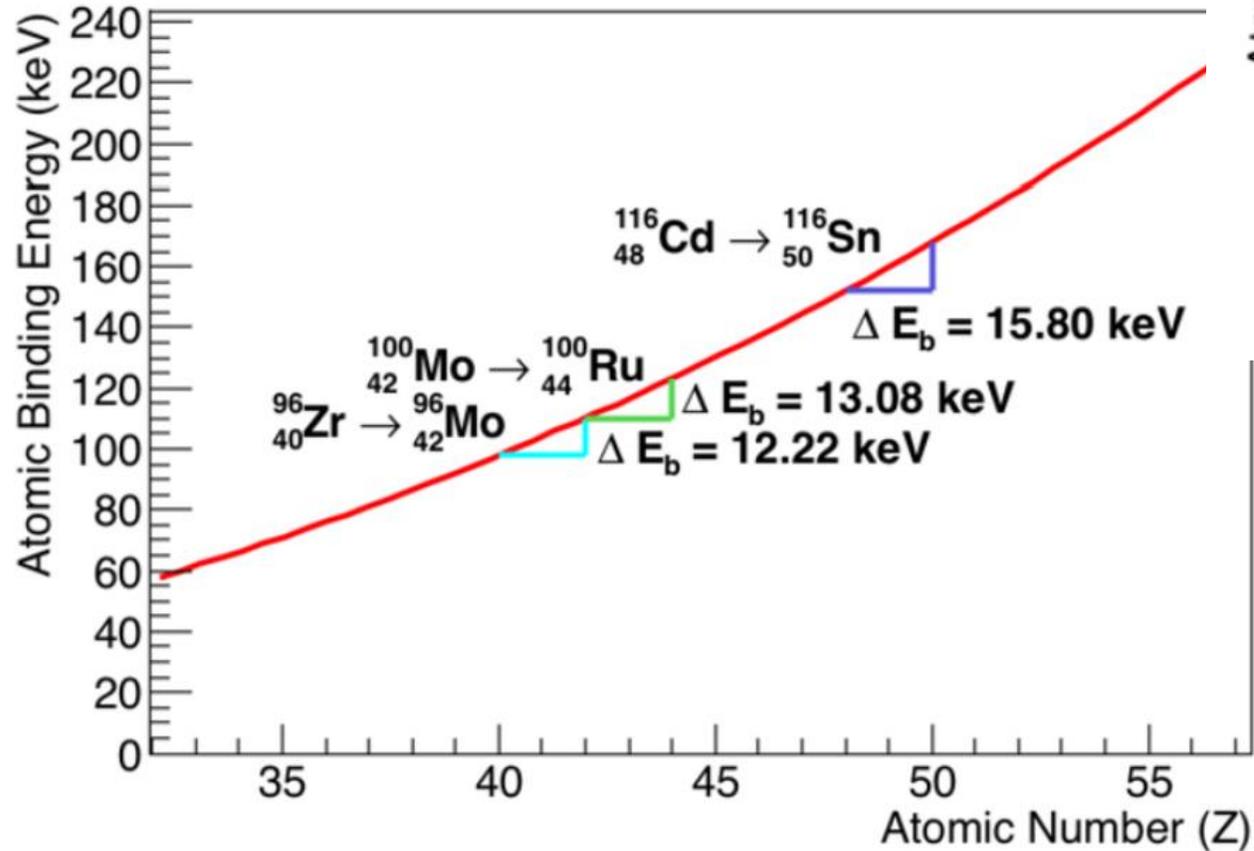


The relaxation time of the atomic orbits can be very long (who knows?).

$0\nu\beta\beta$  decay happens quickly in picoseconds, causing the atomic structure to be unable to respond to the nuclear charge change. The daughter atom becomes a double-ionized ion, surrounded by atomic electrons from the mother atom. It is reasonable to assume that the release of the atomic binding energy after the  $0\nu\beta\beta$  decay may not come within the detection time window for the energy deposition from the two ejected beta particles.



**Electron binding energy  
as a function of atomic number  $Z$**



# Overlap in electron shells in double beta decay

(assuming no problem with a relaxation of atomic orbits)

The  $\beta$  and double- $\beta$  decay channels, which are not accompanied by excitation of the electron shells, are suppressed due to the **nonorthogonality** of the electron wave functions of **the parent and daughter atoms**. The effect is **sensitive** to the contribution of the **outer electron shells**. Since valence electrons participate in chemical bonding and collectivize in metals, the **decay rates of the unstable nuclides are modified** when they are embedded **in a host material**. Core electrons are less affected by the environment, and their overlap amplitudes are more stable.

Overlap amplitude	$^{32}\text{Ge}$ (4) [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>2</sup>	$^{36}\text{Kr}$ (8) [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>6</sup>	$^{42}\text{Mo}$ (6) [Kr]4d <sup>5</sup> 5s <sup>1</sup>
$K_Z$	$6.2 \cdot 10^{-3}$	0.89	0.56
$K_Z^{\text{core shells}}$	0.26	0.90	0.58

It's excellent that  $0\nu\beta\beta$  has been studied using **various isotopes** in **different environments**.

$$(T_{1/2}^{0\nu})^{-1} = \left| \frac{m_{\beta\beta}}{m_e} \right|^2 |K(Z)|^2 g_A^4 |M^{0\nu}|^2 G^{0\nu}$$

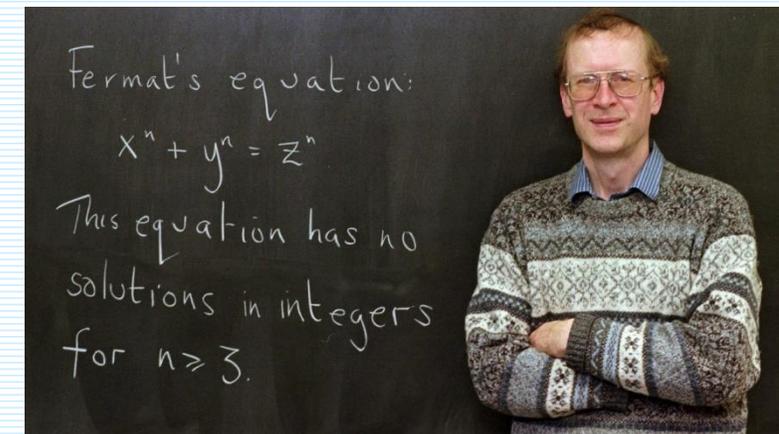
	$^{52}\text{Te}$ (6) [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>4</sup>	$^{54}\text{Xe}$ (8) [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>6</sup>	$^{67}\text{Ho}$ (3) [Xe]4f <sup>11</sup> 6s <sup>2</sup>
	$1.4 \cdot 10^{-4}$	0.22	0.53
Fe	0.069	0.36	0.64

2/19/2024

Eur. Phys. J. A 56, 16 (2020)



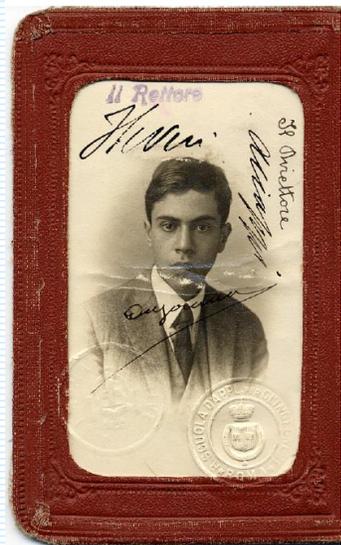
Around 1637, Pierre de Fermat wrote in the margin of a book that the more general equation  $a^n + b^n = c^n$  had no solutions in positive integers if  $n$  is an integer greater than 2.



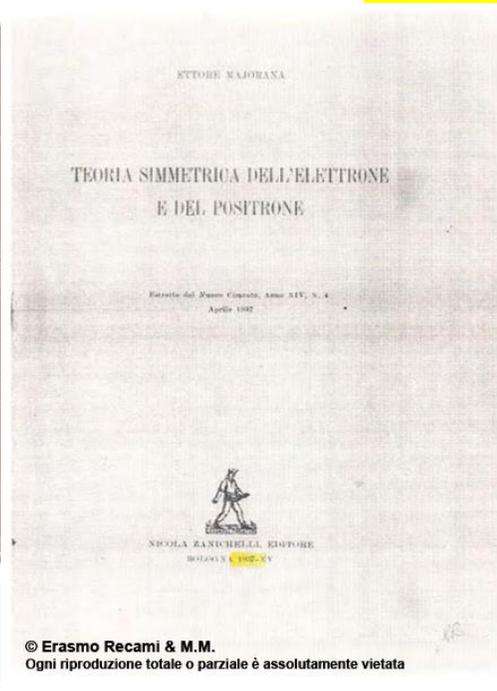
The proof was published by Andrew Wiles in 1995.

After 358 years

Some long-standing tasks of humanity ...



1937

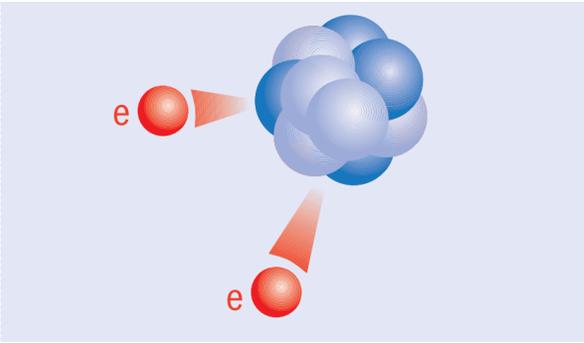


After 85 years

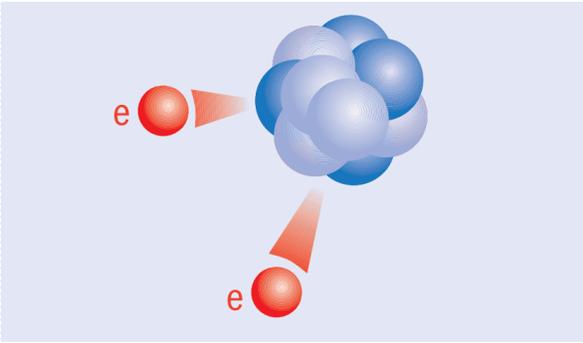
n-ton-class  $0\nu\beta\beta$  exp. with discovery potential  
**KamLAND-Zen 800**  
**SNO+**  
**LEGEND**  
**nEXO**  
**NEXT**  
**CUPID**  
 etc

After ? years

If  $m_{\beta\beta} < 1$  meV, what technology is needed for observation of  $0\nu\beta\beta$ ?



**THANK YOU!**



Time flies when  
you are having fun.

Albert Einstein

quotefancy