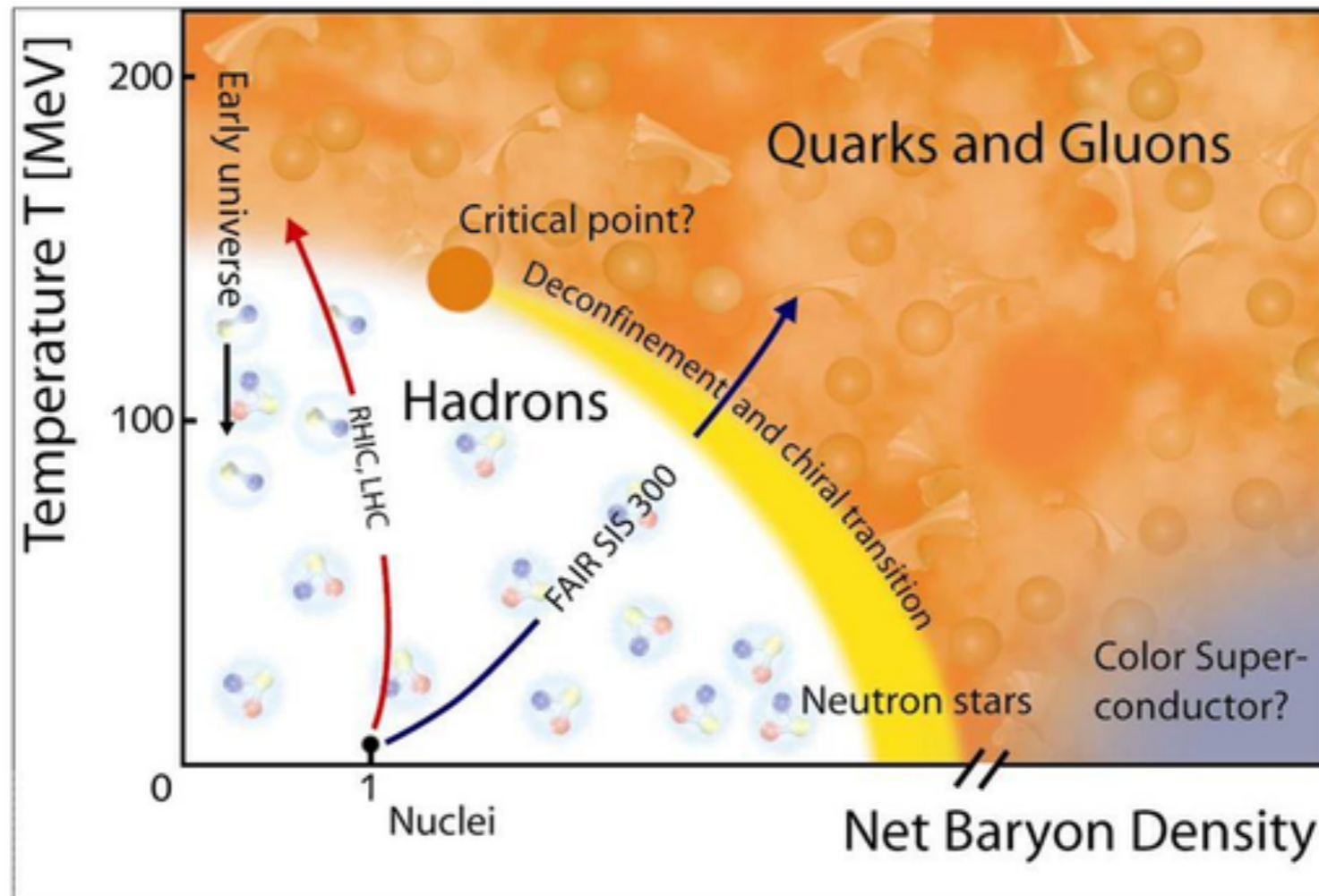


Lattice constraints on the QCD phase diagram

Owe Philipsen

- Chiral phase transition in massless limit constrains the QCD phase diagram
- 30+ years of common wisdom and inconclusive lattice results
- Resolution by a new lattice approach + data [Cuteri, O.P., Sciarra JHEP 21]
- Bounds on the phase diagram at finite density

The expected QCD phase diagram



from GSI

- Fundamental for particle-, nuclear-, astro- physics, future textbook knowledge!
- Non-perturbative nature/confinement prevents perturbative solution
- “Sign problem” prevents Monte Carlo simulation (NP-hard problem?)

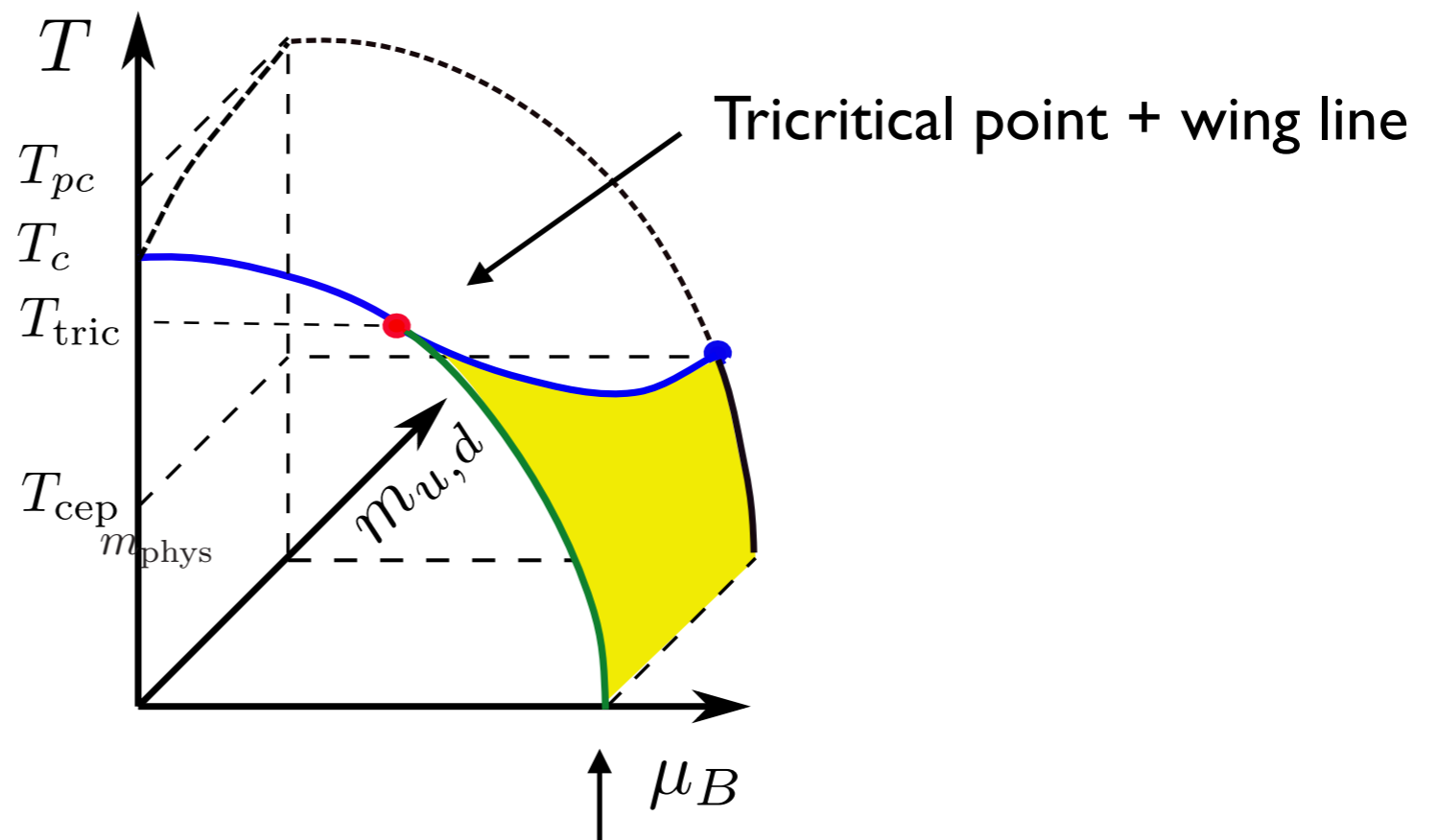
History: motivation for the critical endpoint

[Rajagopal 95, Halasz et al., PRD 98, Stephanov, Rajagopal, Shuryak PRL 98, Rajagopal, Wilczek 00, Hatta, Ikeda, PRD 03,...]

Breaking/restoration of exact chiral symmetry requires a (non-analytic) phase transition

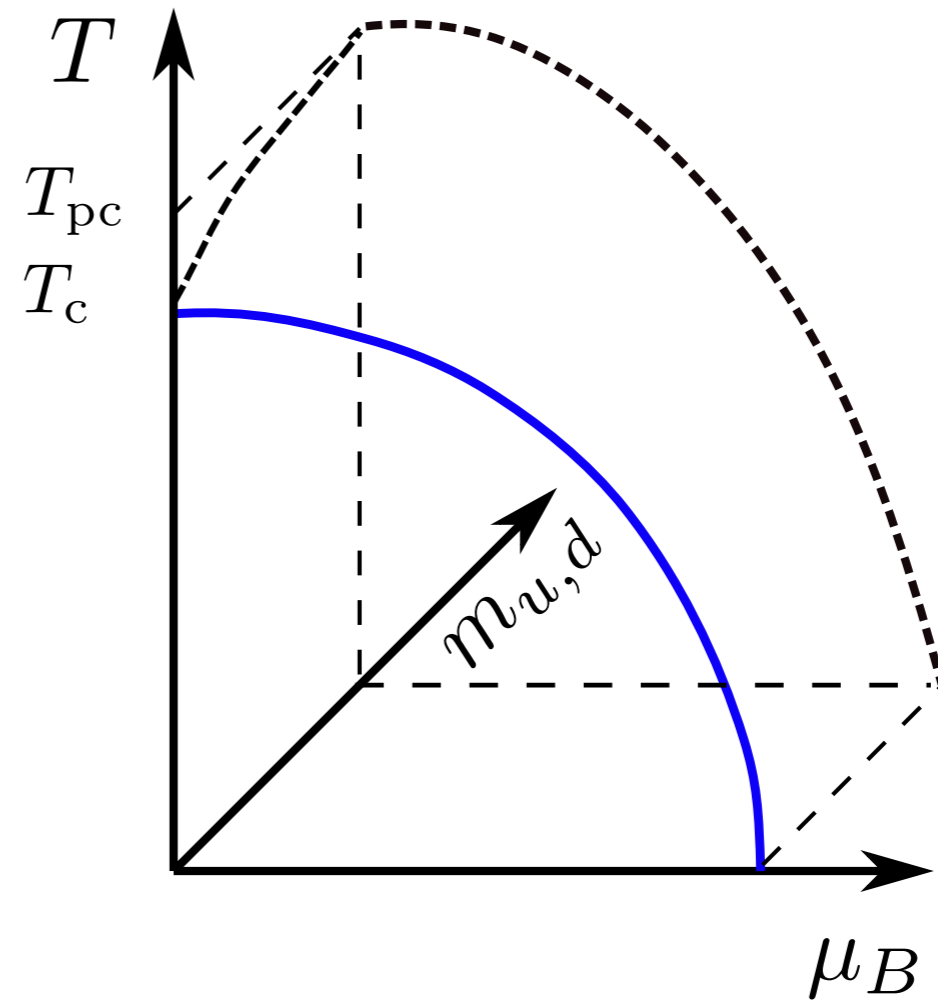
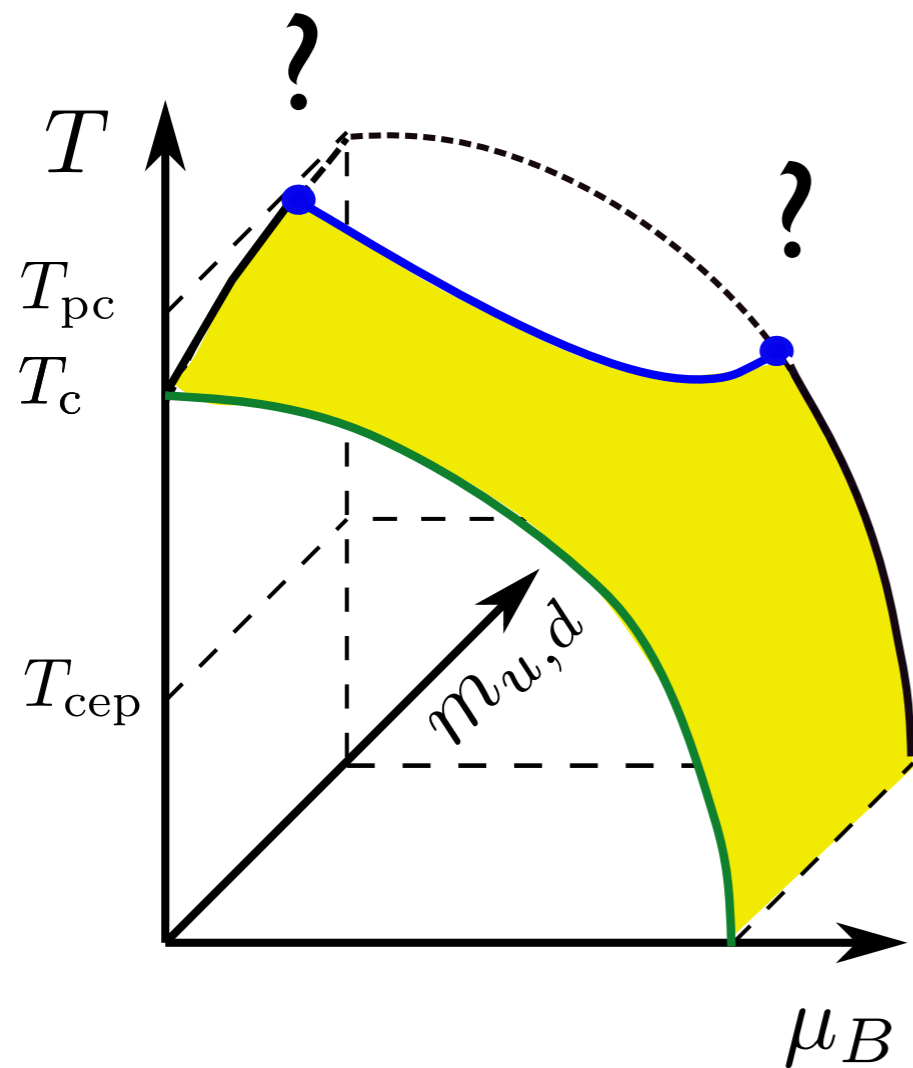
$N_f = 2$:

Model predictions,
early lattice results



Model predictions, no QCD information

Other (mostly ignored) possibilities

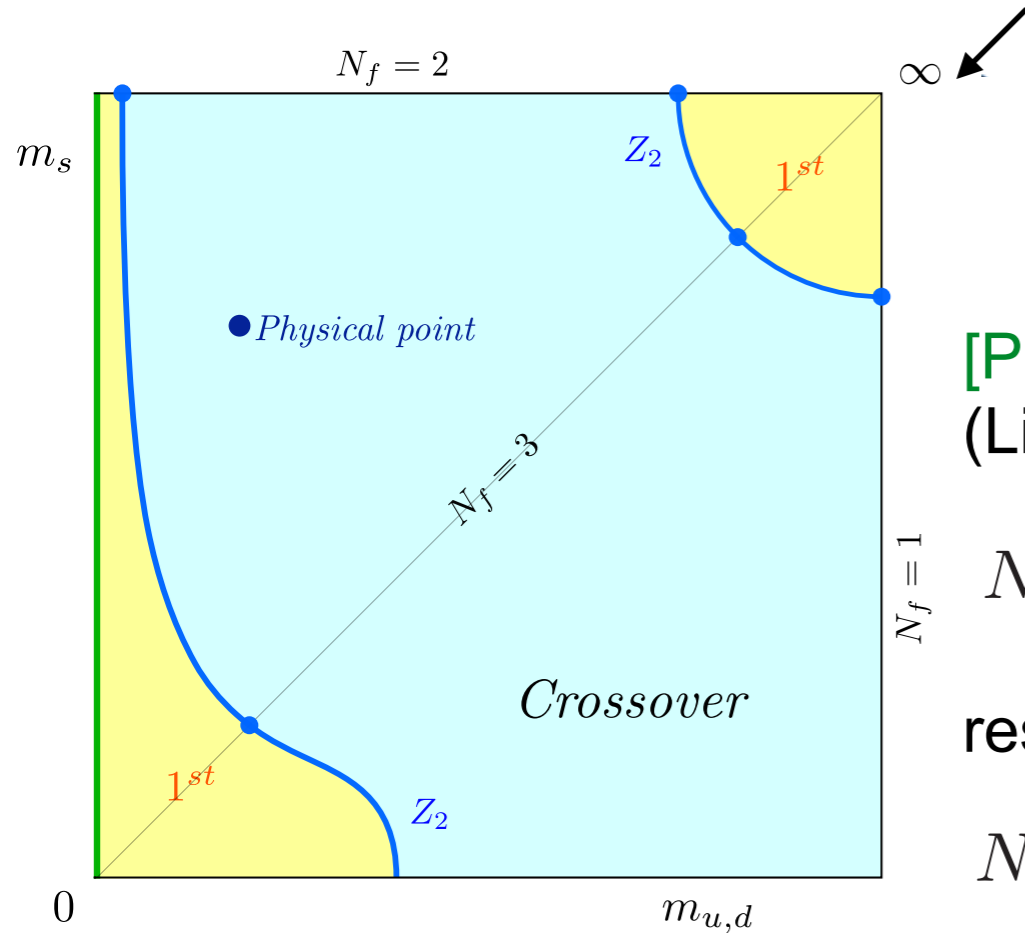


The order of the chiral phase transition at $\mu_B = 0$ narrows down possibilities

Nature of the QCD thermal transition at zero density

$$N_f = 2 + 1$$

deconfinement p.t.:
breaking of global $Z(3)$ symmetry



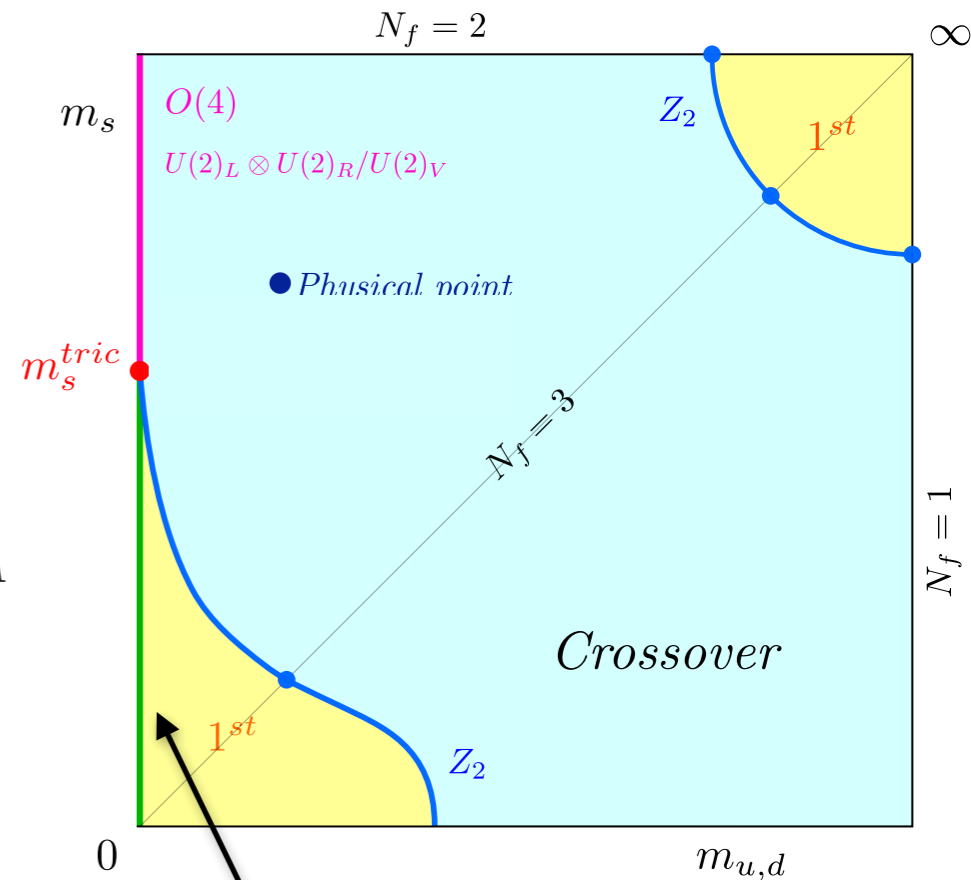
[Pisarski, Wilczek, PRD 84]:
(Linear sigma model in 3d)

$N_f = 2$ depends on $U(1)_A$

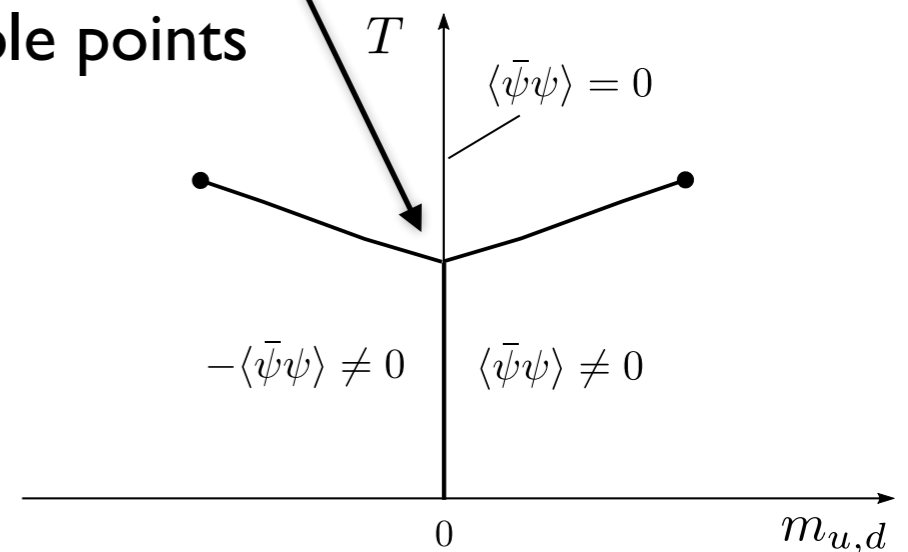
restored

broken

$N_f \geq 3$ 1st order



triple points



chiral p.t.

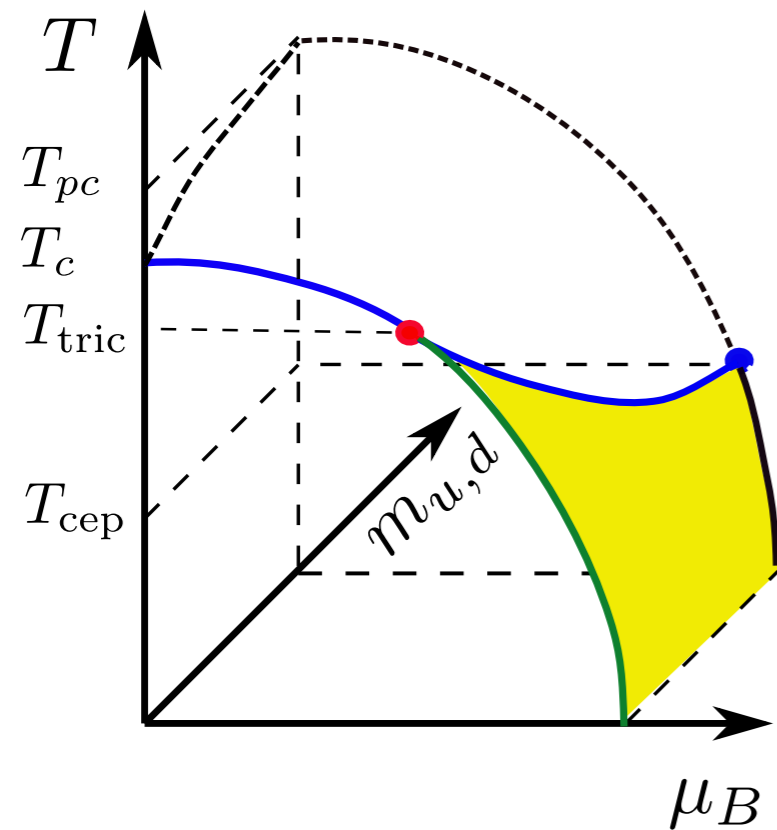
restoration of global symmetry in flavour space

$$SU(2)_L \times SU(2)_R \times U(1)_A$$

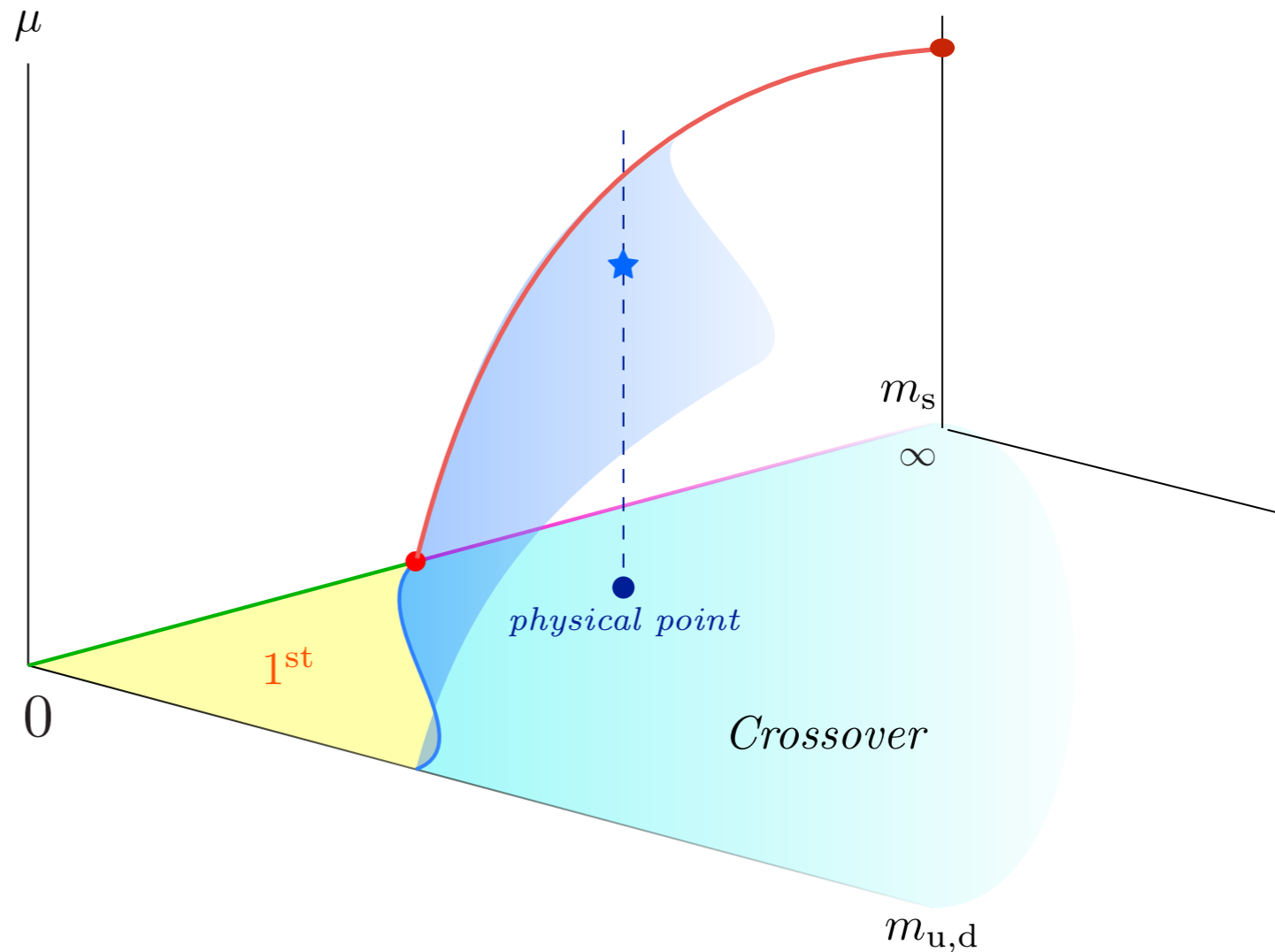
↑
anomalous

The Columbia plot with chemical potential

$$N_f = 2$$



$$N_f = 2 + 1$$

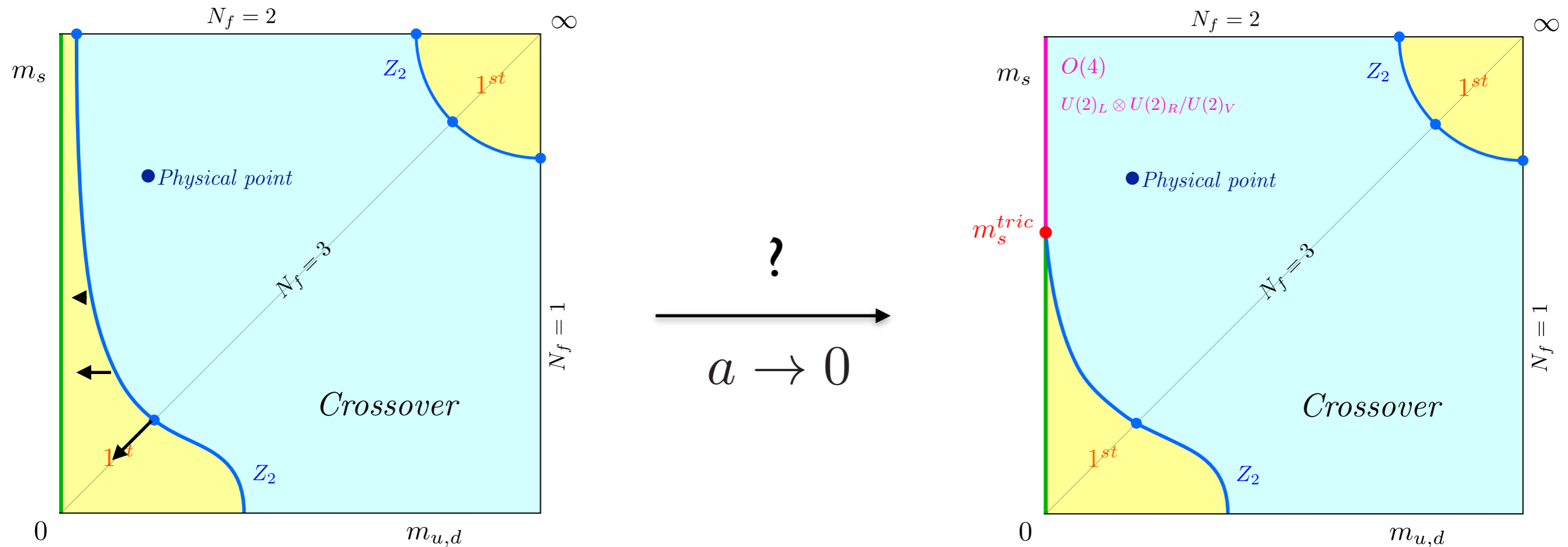


[Stephanov, Rajagopal, Shuryak PRL 98]: (based on models, early lattice)

“As m_s is reduced from infinity, the tricritical point ... moves to lower μ until it reaches the T-axis and can be identified with the tricritical point in the (T, m_s) -plane”

The nature of the QCD chiral transition at zero density

...is elusive, massless limit **not simulable!**

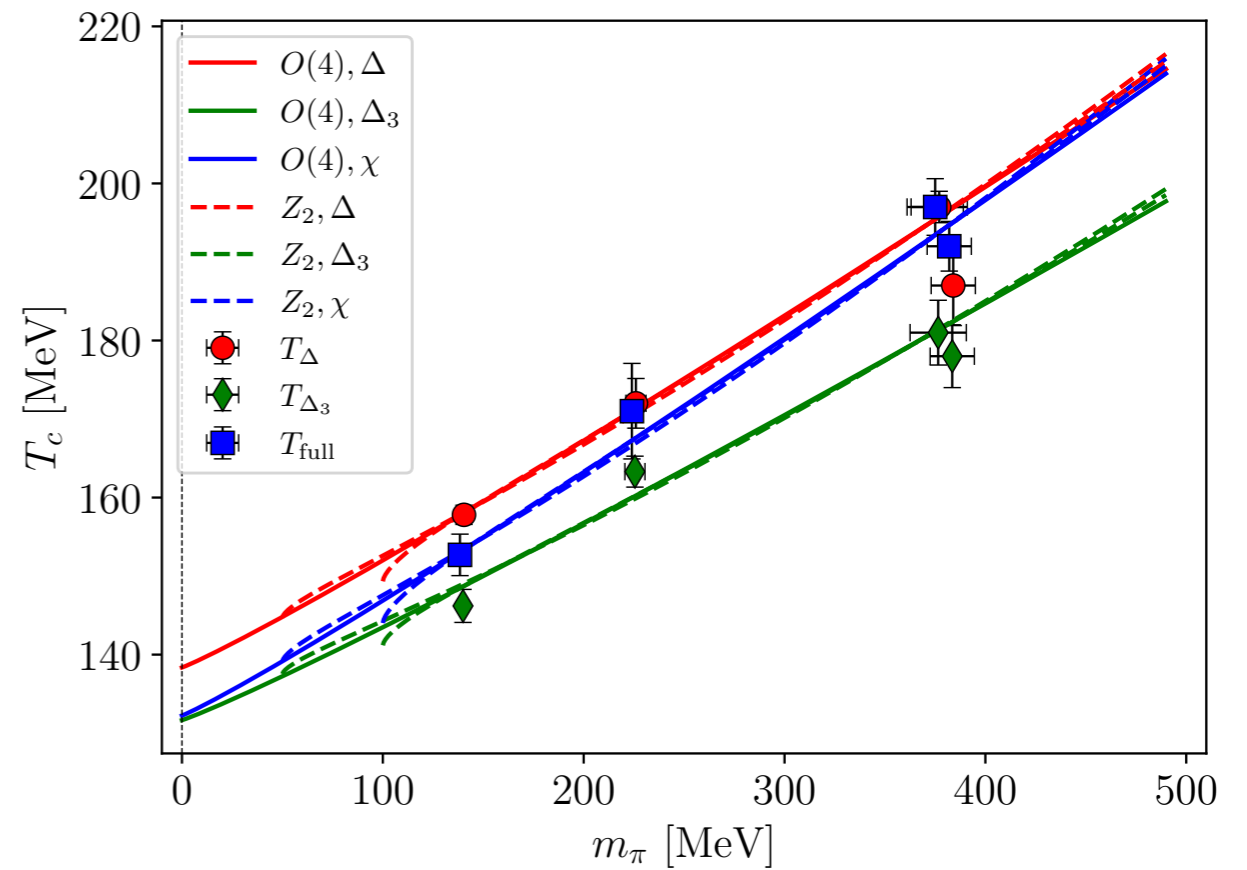
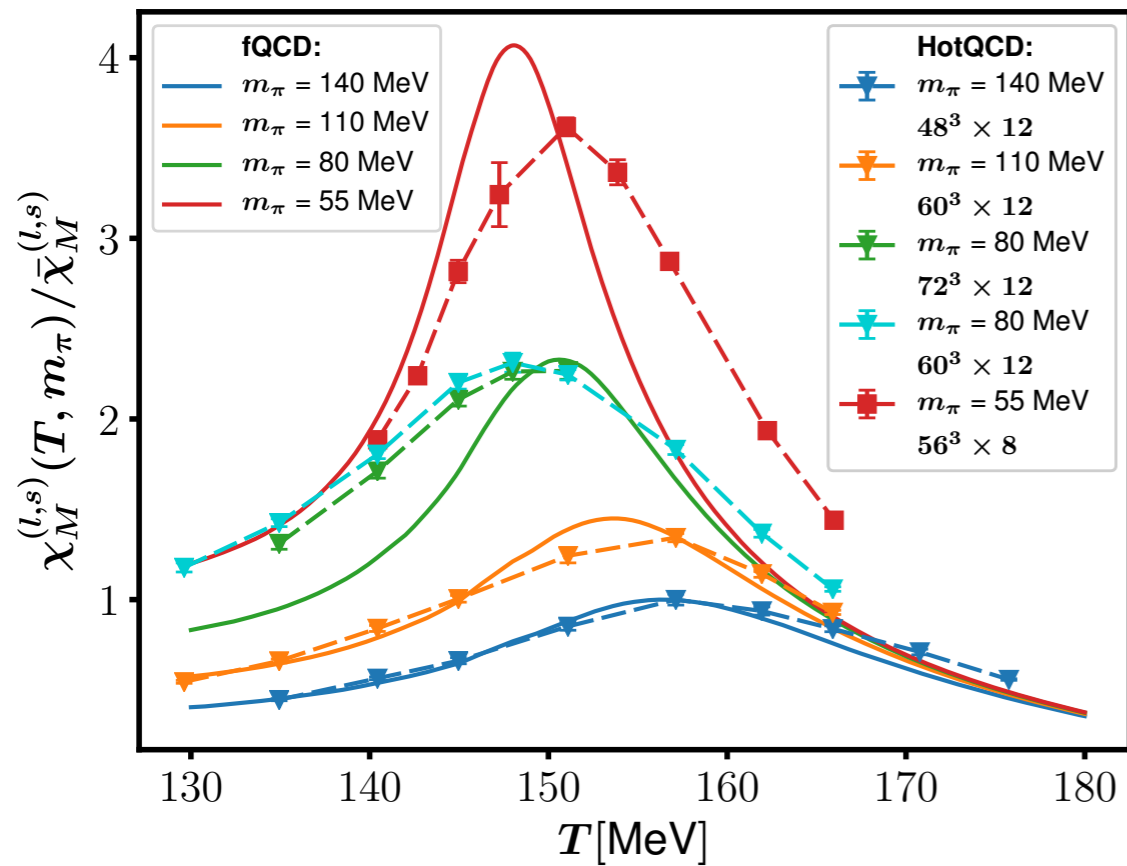


- Coarse lattices or unimproved actions: 1st order for $N_f = 2, 3$
- 1st order region shrinks rapidly as $a \rightarrow 0$
- For fixed lattice spacing: apparent contradictions between different lattice actions

Details and references: [\[O.P., Symmetry 13, 2021\]](#)

From the physical point to the chiral limit

arXiv:2012.06231



[HotQCD, PRL 19] HISQ (staggered)

[Kotov, Lombardo, Trunin, PLB 21] Wilson twisted mass

$$T_c^0 = 132_{-6}^{+3} \text{ MeV}$$

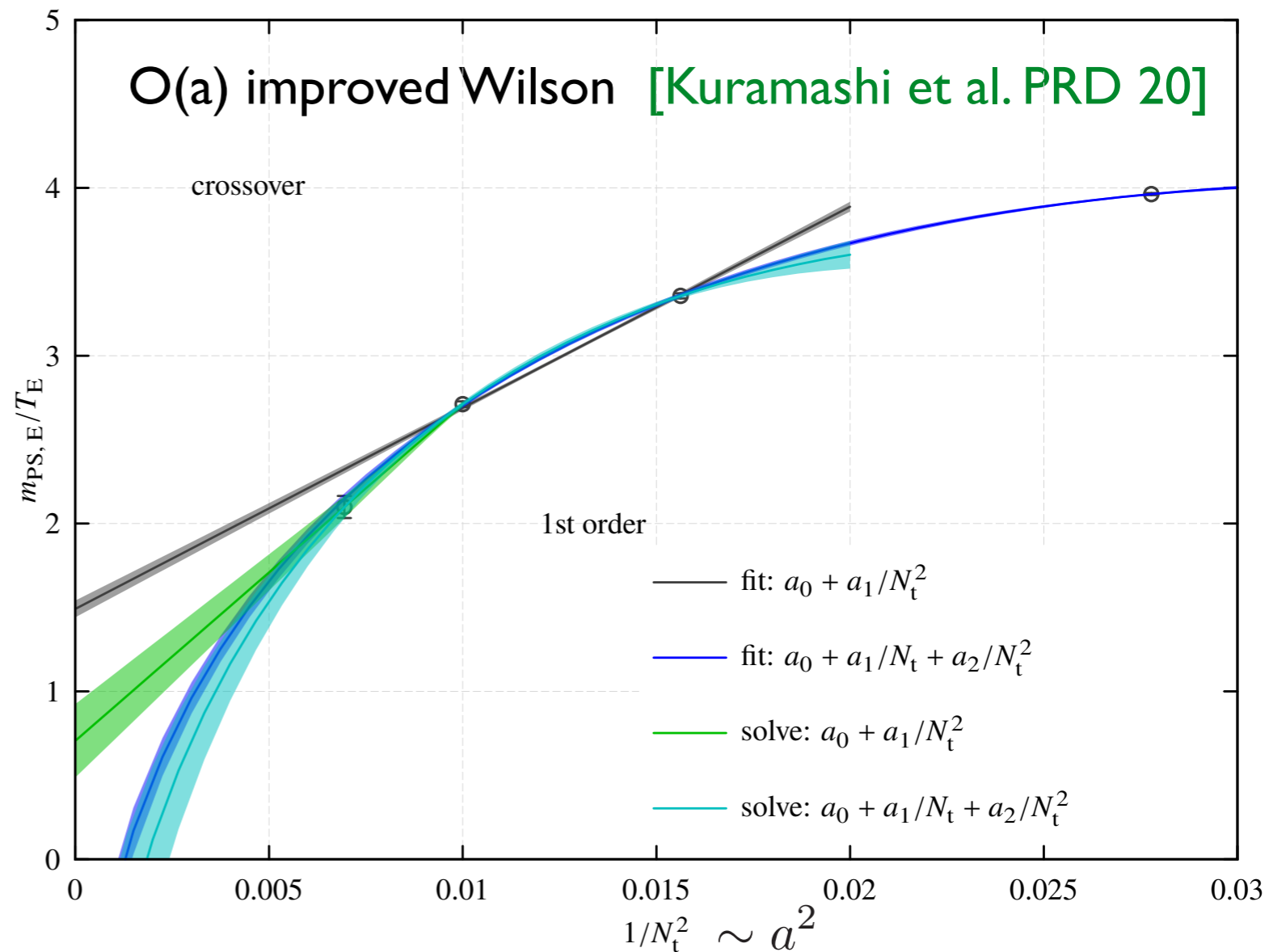
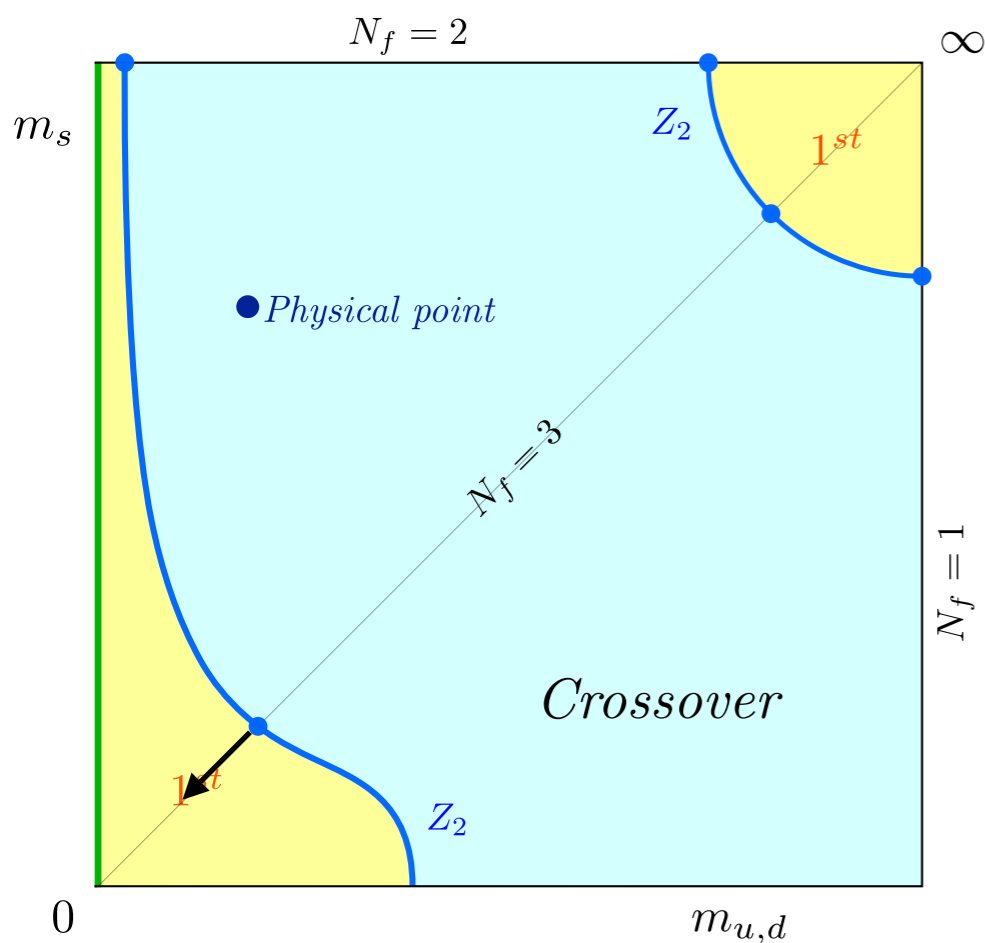
$$T_{pc}(m_l) = T_c^0 + K m_l^{1/\beta\delta}$$

$$T_c^0 = 134_{-4}^{+6} \text{ MeV}$$

- Strange quark mass fixed, crossover sharpens as chiral limit approached, no 1st o. seen
 - Cannot distinguish between Z(2) vs. O(4) exponents, need exponential accuracy!
 - Comparison with fRG: $T_c^0 \approx 142 \text{ MeV}$
[Braun et al., PRD 21]
- Scaling window tiny $m_\pi \leq 5 \text{ MeV}$ (!!)
[Braun et al., arXiv:2310.19853]

The nature of the QCD chiral transition, $N_f=3$

...has enormously large cut-off effects!



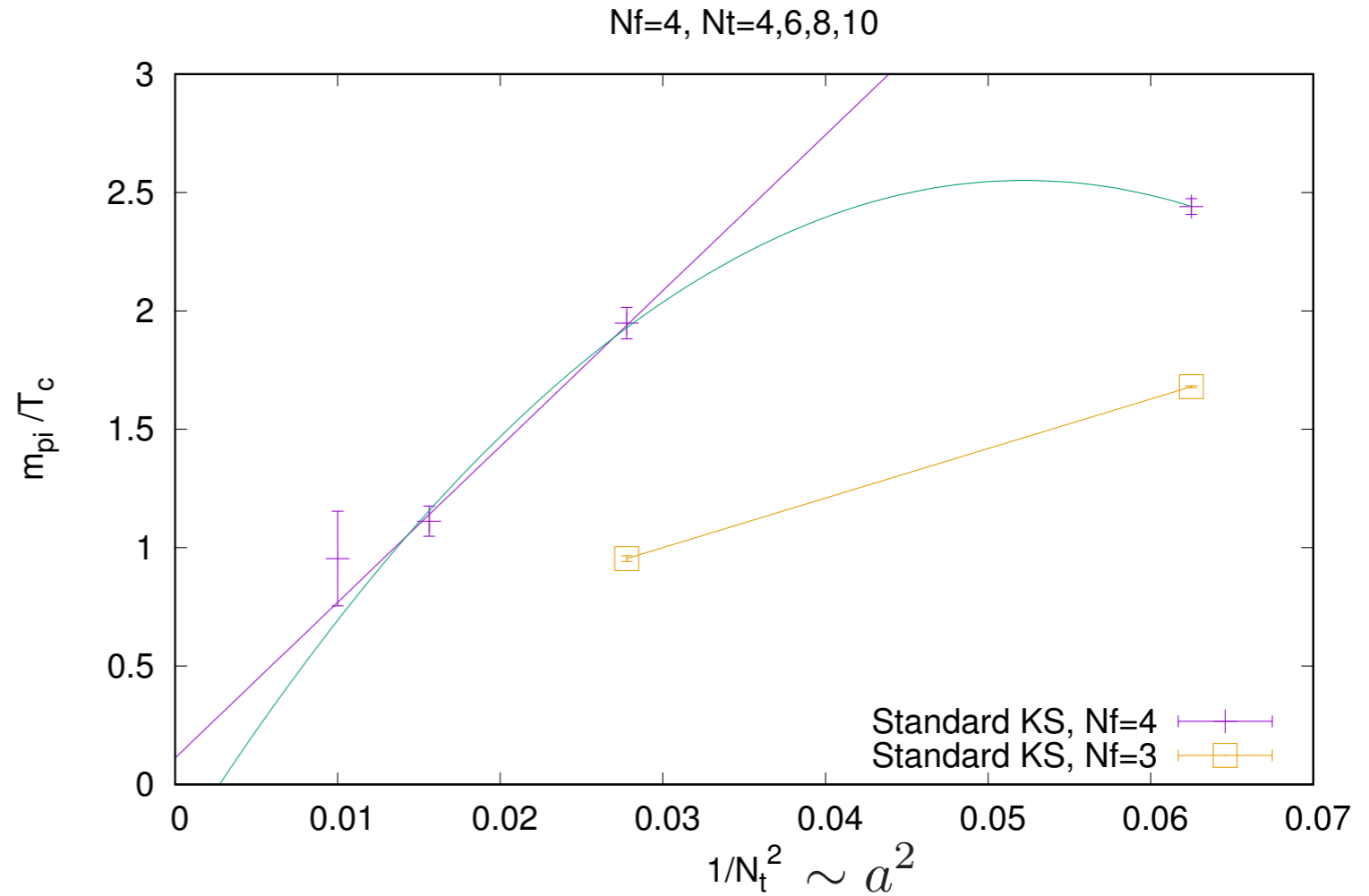
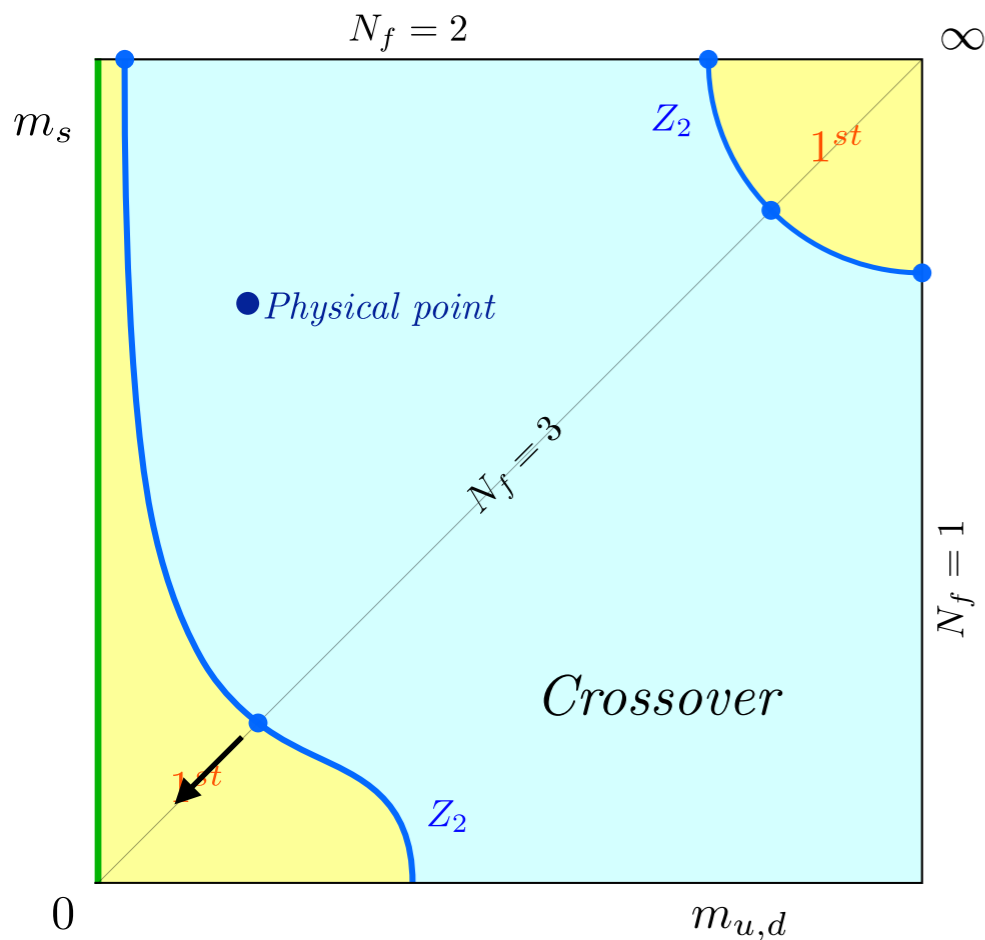
O(a)-improved Wilson:

1st order region shrinks for $a \rightarrow 0$

$$m_\pi^c \leq 110 \text{ MeV} \quad N_\tau = 4, 6, 8, 10, 12$$

The nature of the QCD chiral transition, $N_f=3,4$

...has enormously large cut-off effects!

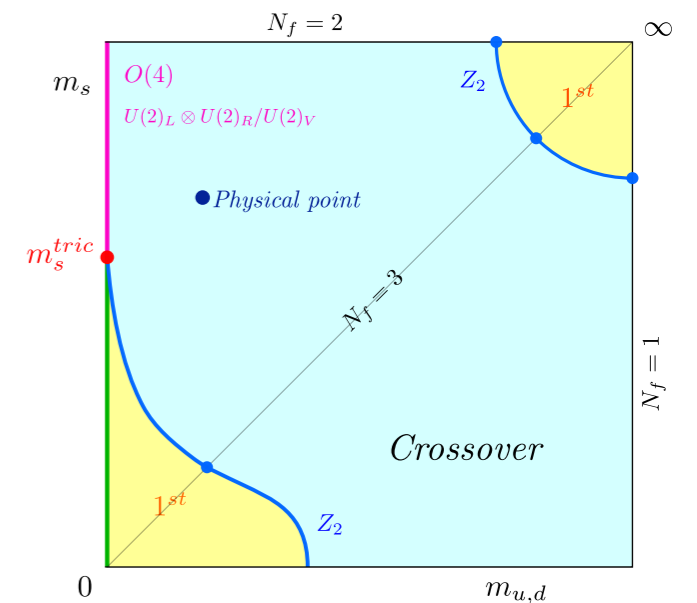
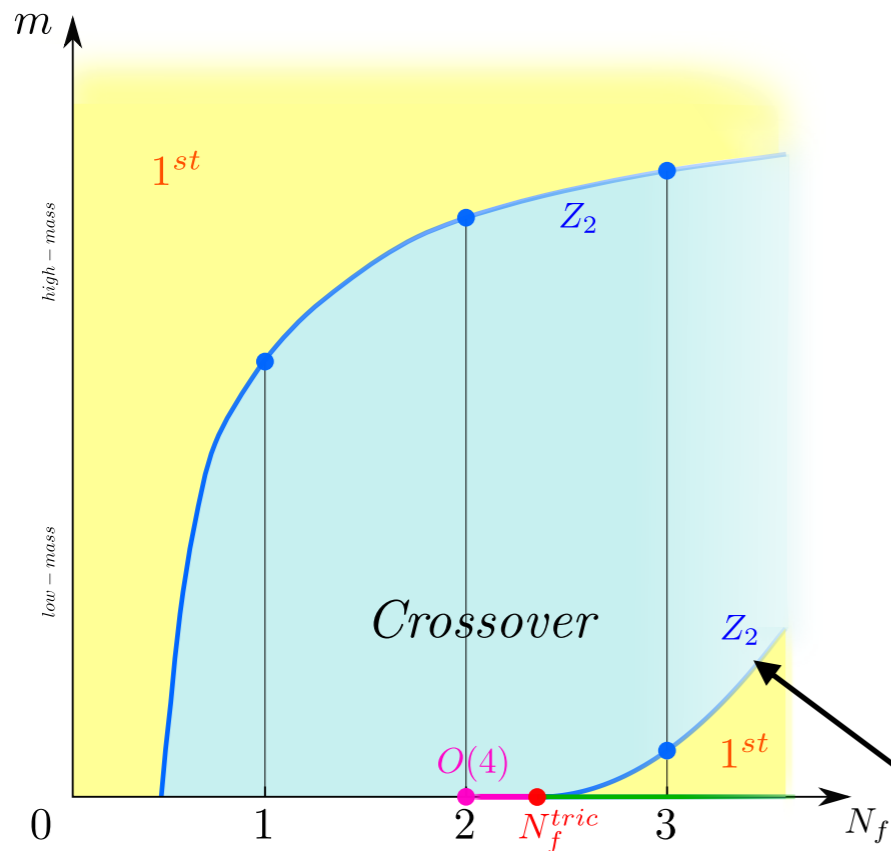


Unimproved staggered:

1st order region shrinks for $a \rightarrow 0$, both for $N_f = 3, 4$

[de Forcrand, D'Elia, PoS LAT 17]

Different view point: mass degenerate quarks

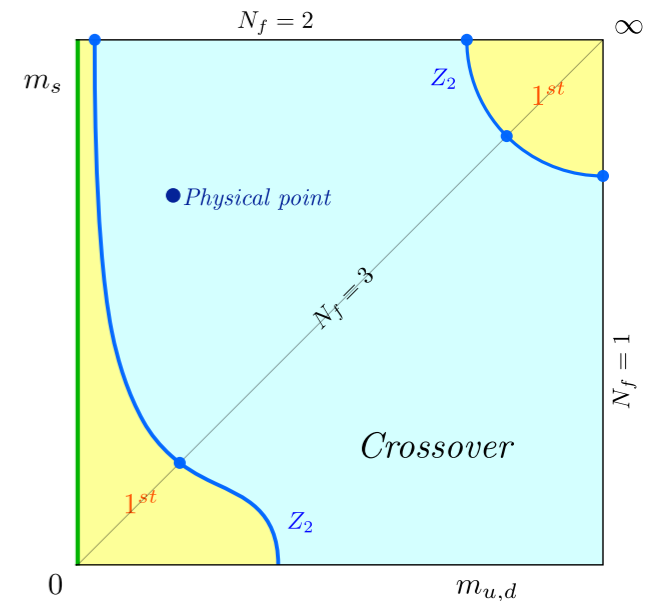
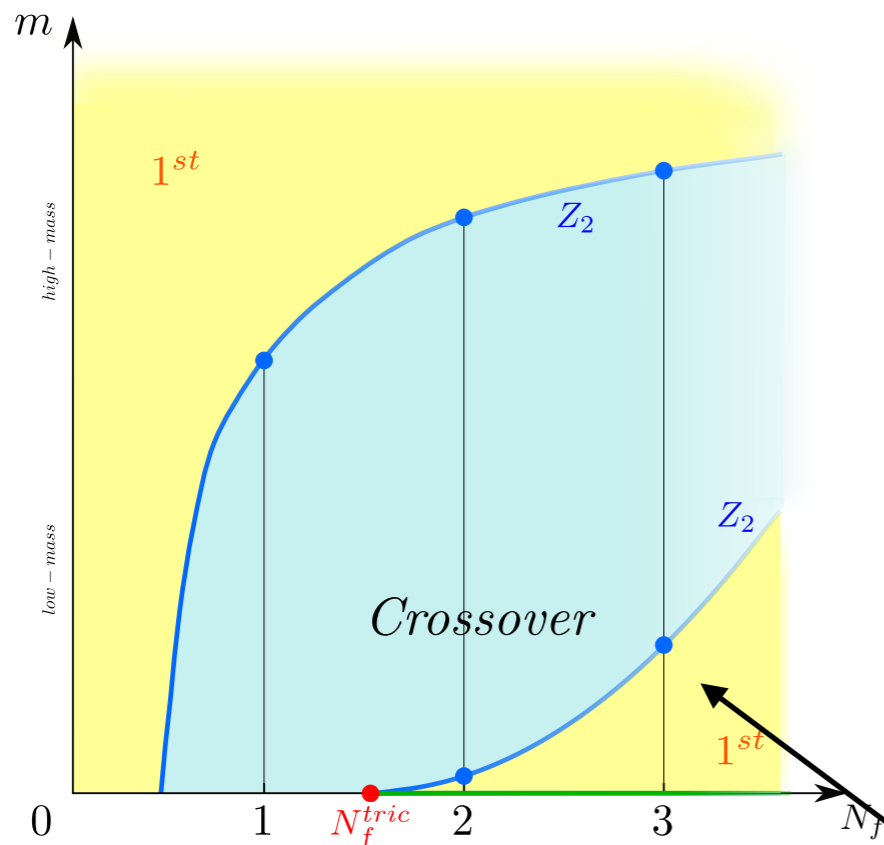


$$Z(N_f, g, m) = \int \mathcal{D}A_\mu (\det M[A_\mu, m])^{N_f} e^{-\mathcal{S}_{\text{YM}}[A_\mu]}$$

$$N_f^c(am) = N_f^{\text{tric}} + \mathcal{B}_1 \cdot (am)^{2/5} + \mathcal{O}((am)^{4/5})$$

- Consider analytic continuation to continuous N_f
- Tricritical point **guaranteed** to exist if there is 1st order at any N_f
- Known exponents for critical line entering tric. point!
- Continuation to $a \neq 0$: $Z(2)$ surface ends in tricritical line

Different view point: mass degenerate quarks



$$Z(N_f, g, m) = \int \mathcal{D}A_\mu (\det M[A_\mu, m])^{N_f} e^{-\mathcal{S}_{\text{YM}}[A_\mu]}$$

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Methodology to determine order of transition

Finite size scaling of generalised cumulants

$$B_n = \frac{\langle (\bar{\psi}\psi - \langle \bar{\psi}\psi \rangle)^n \rangle}{\langle (\bar{\psi}\psi - \langle \bar{\psi}\psi \rangle)^2 \rangle^{n/2}}$$

Standard staggered fermions, bare parameters:

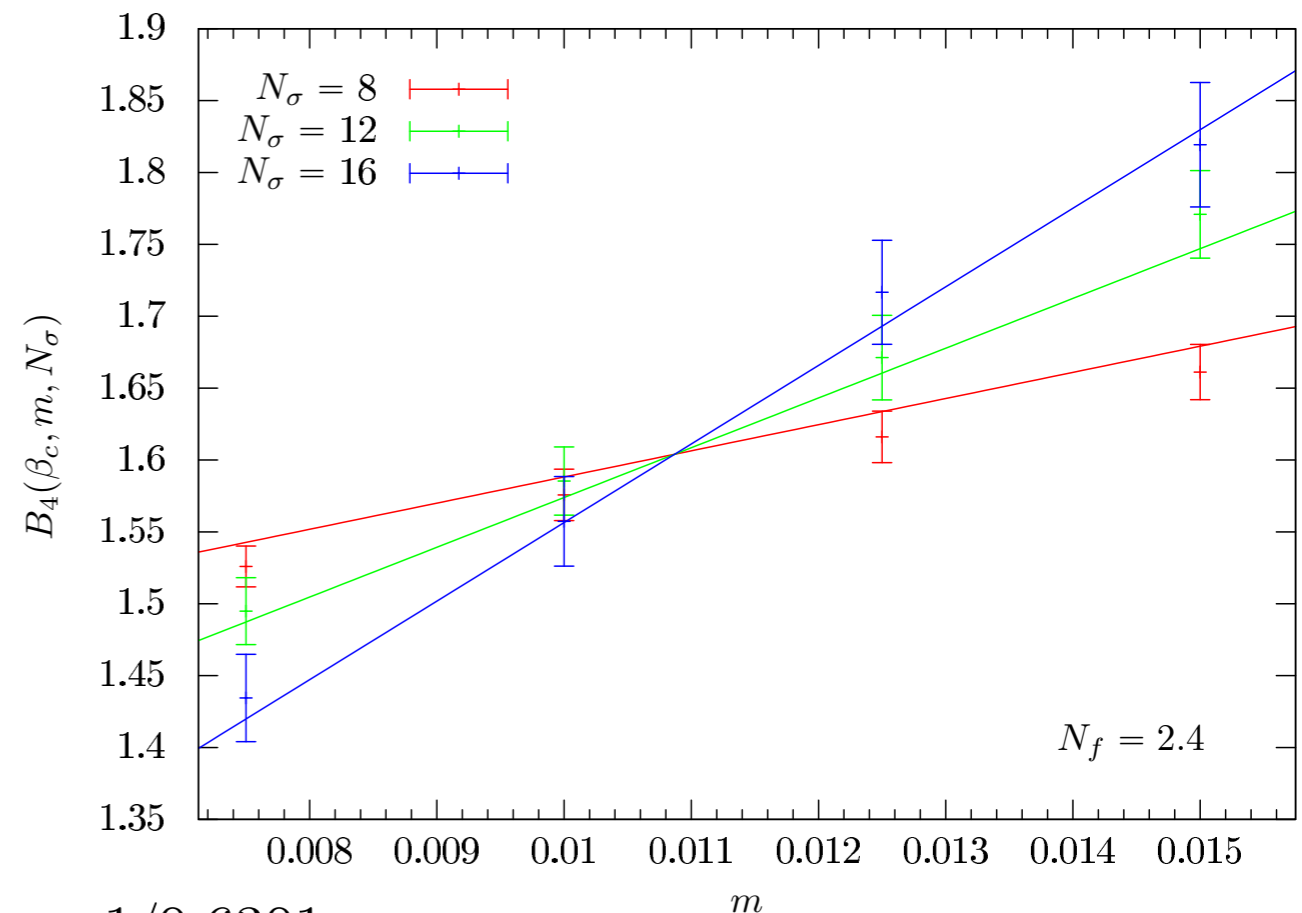
$$\beta, am, N_f, N_\tau$$

(Pseudo-critical) phase boundary: $B_3 = 0$

3d manifold

Second-order 3d Ising:

2d chiral critical surface separates 1st order from crossover



$$B_4(\beta_c, am, N_\sigma) \approx 1.604 + c(am - am_c) N_\sigma^{1/0.6301}$$

Machines and computing approach

Goethe-HLR (Goethe U.) and VIRGO cluster (GSI), AMD-GPU cluster

Scans of parameter space parallel, one lattice per GPU, strictly zero communication overhead

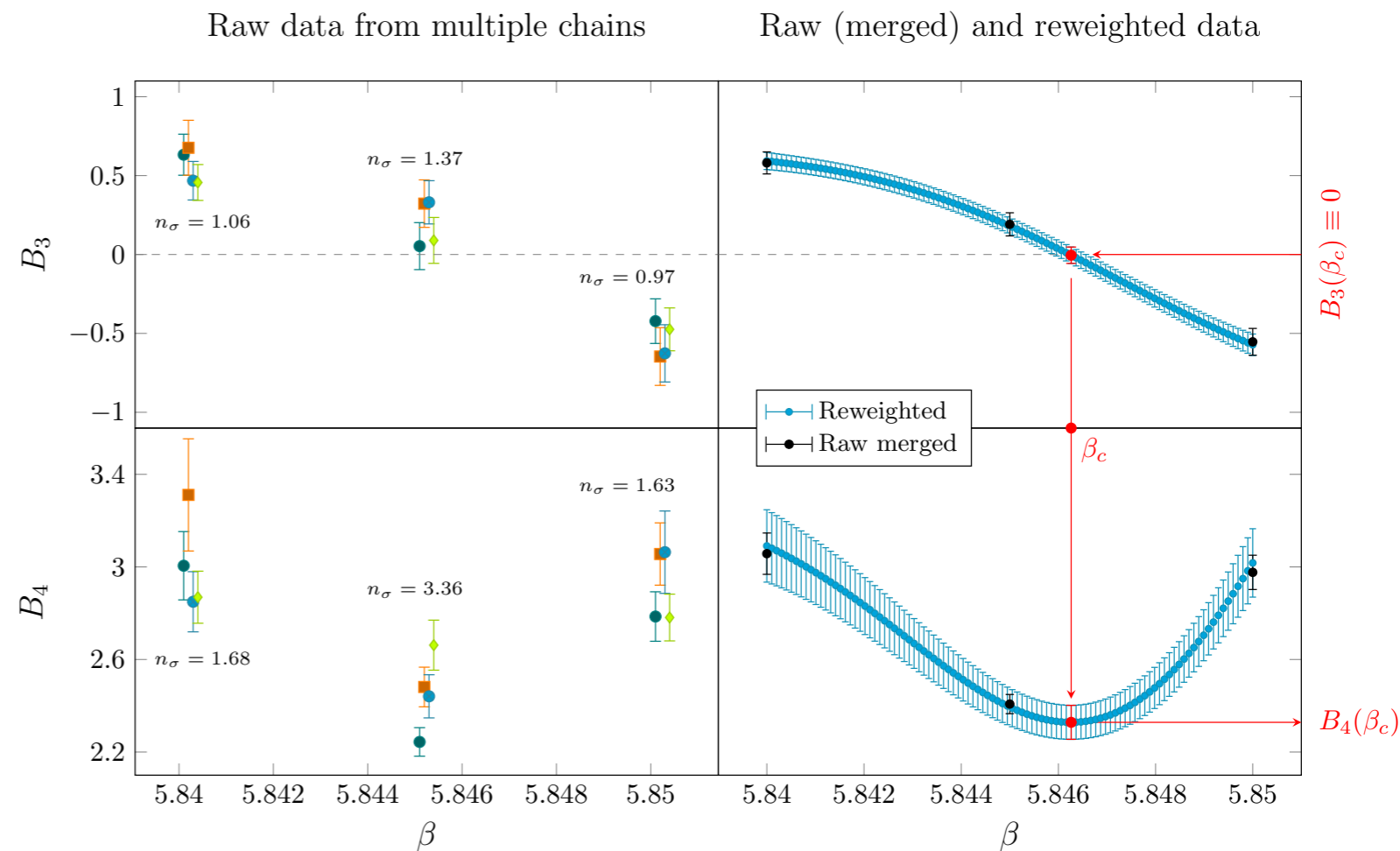
Search for phase boundary:

3-4 coupling values with multiple simulation chains

Good control over autocorrelation; merge independent chains

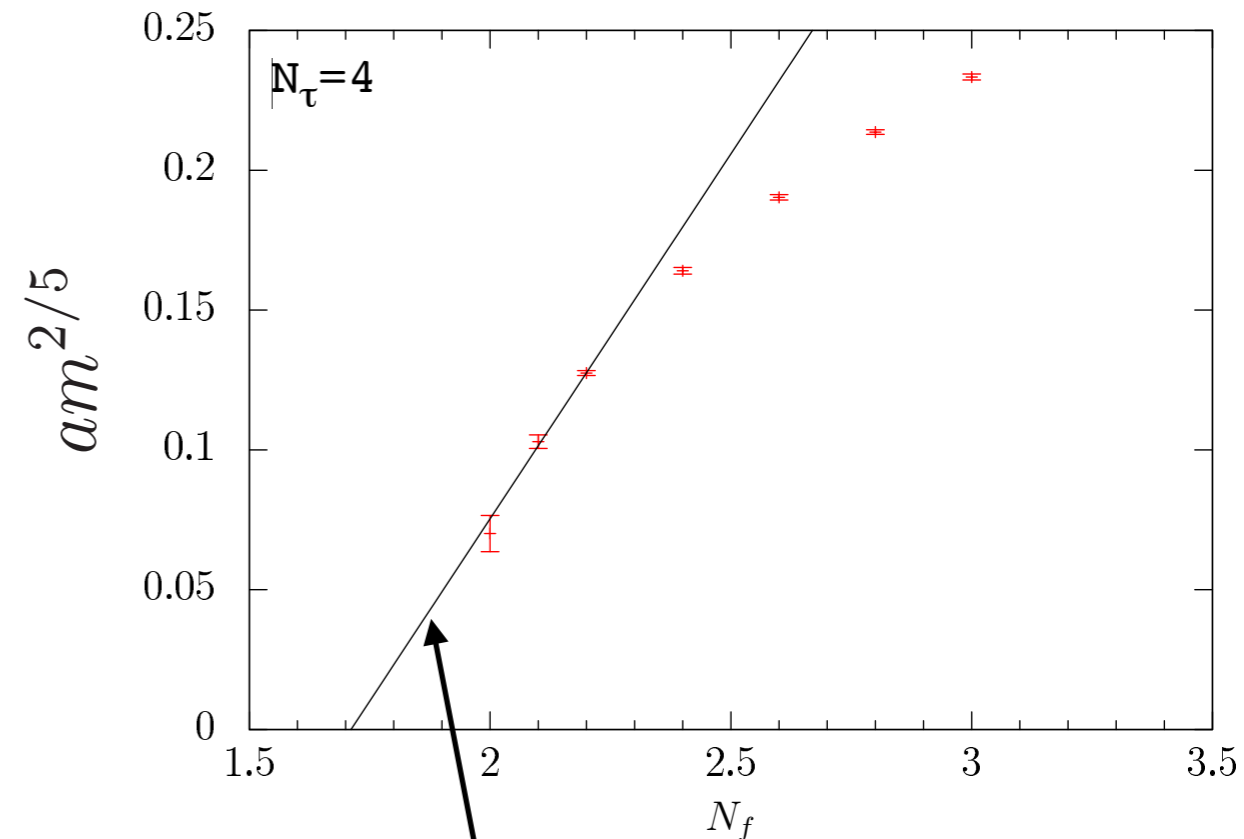
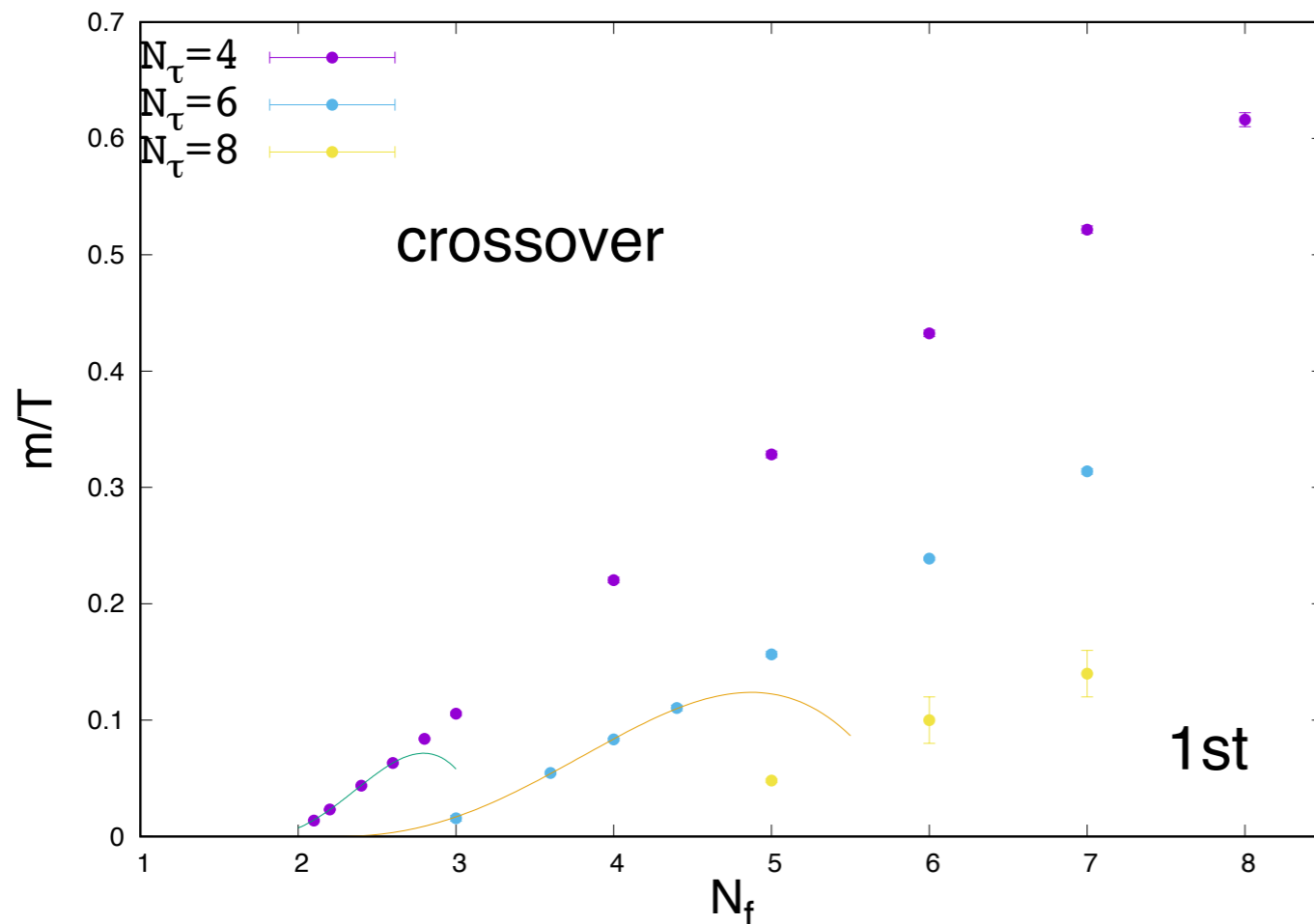
Repeat for different masses

Repeat for different volumes



Bare parameter space of unimproved staggered LQCD

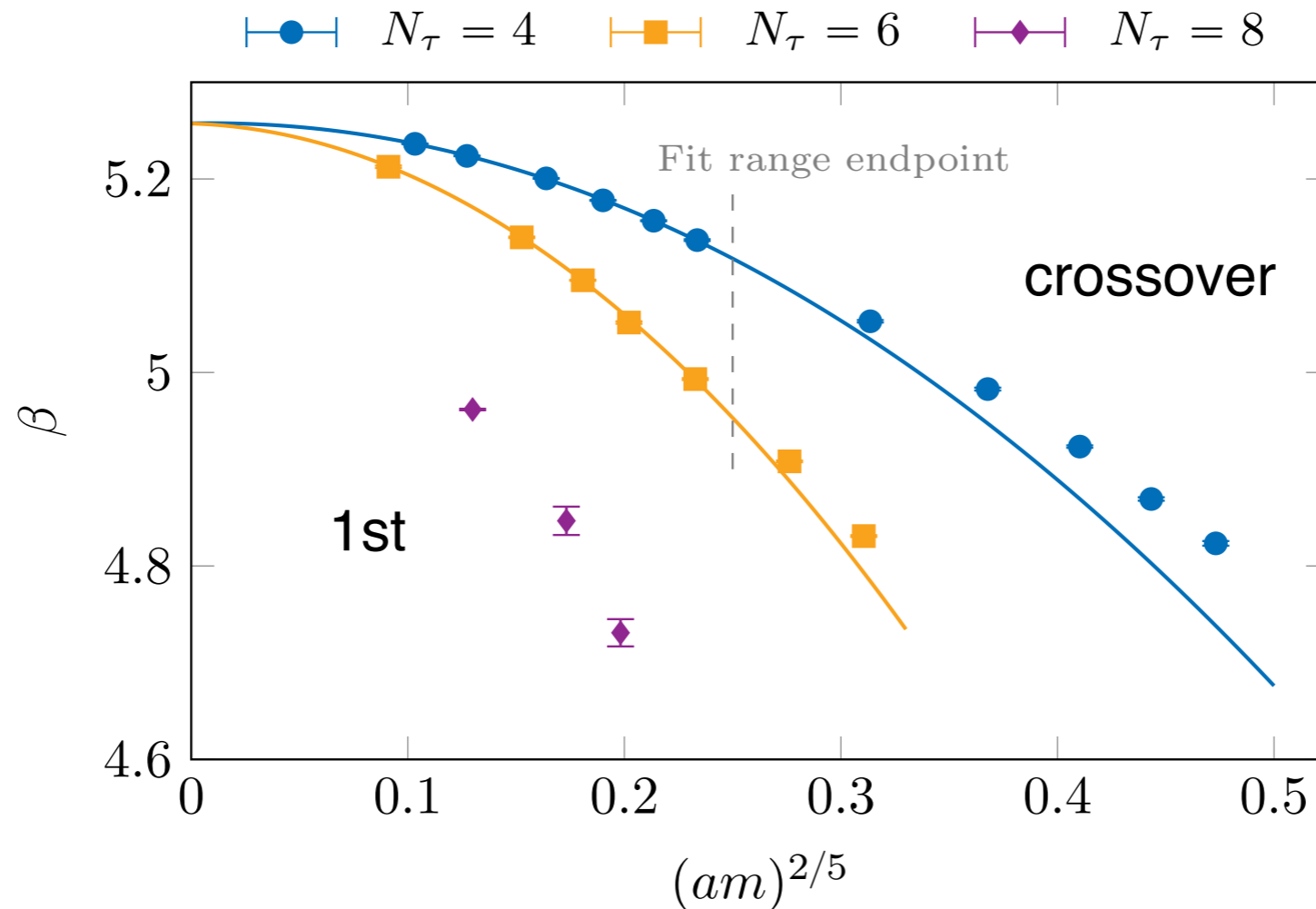
[Cuteri, O.P., Sciarra 21] ~120 M Monte Carlo trajectories with light fermions, aspect ratios 3,4,5



- Tricritical scaling observed in different variable pairings
- Consistent with tric. scaling from finite imaginary μ [Bonati et al. PRD 14]
- Old question: $m_c/T = 0$ or $\neq 0$? Answered for $N_f = 2$
- New question: will N_f^{tric} slide beyond $N_f = 3$?

Bare parameter space of unimproved staggered LQCD

[Cuteri, O.P., Sciarra JHEP 21] ~120 M Monte Carlo trajectories with light fermions, aspect ratios 3,4,5

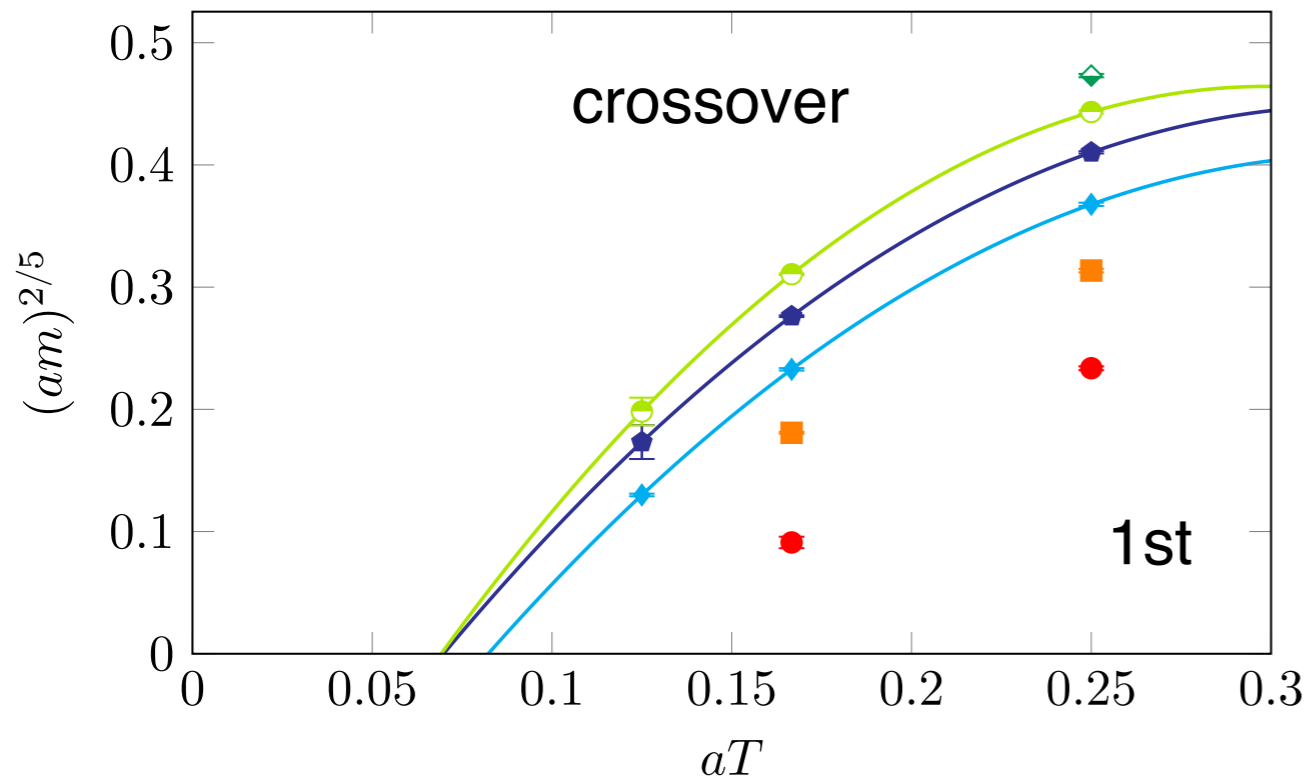


- Data points implicitly labeled by N_f
- Tricritical scaling observed in lattice bare parameter space
- Tricritical extrapolation always possible!

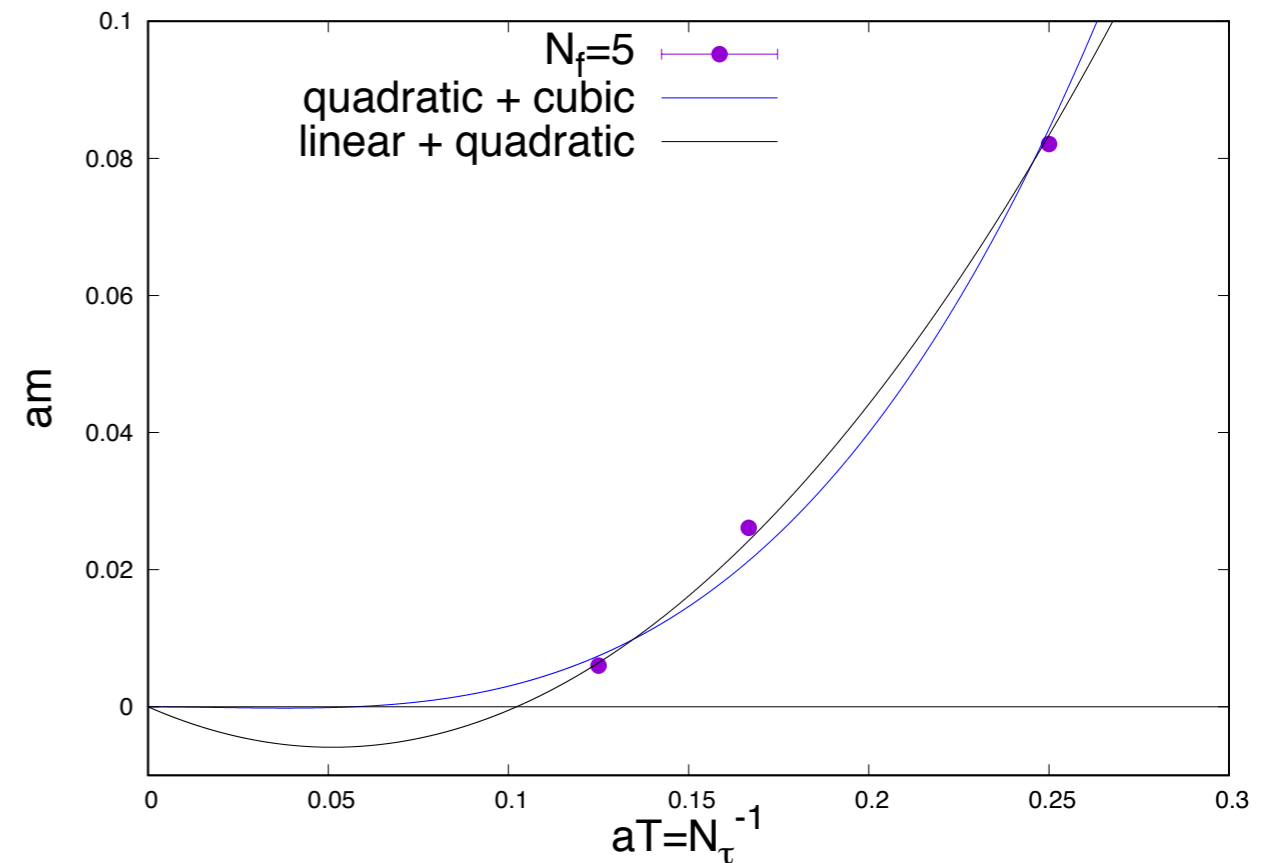
Bare parameter space of unimproved staggered LQCD

[Cuteri, O.P., Sciarra 21]

$N_f = 3$ (red circle) $N_f = 4$ (orange square) $N_f = 5$ (cyan diamond) $N_f = 6$ (dark blue pentagon) $N_f = 7$ (light green circle) $N_f = 8$ (green diamond)



1st order scenario does not fit!

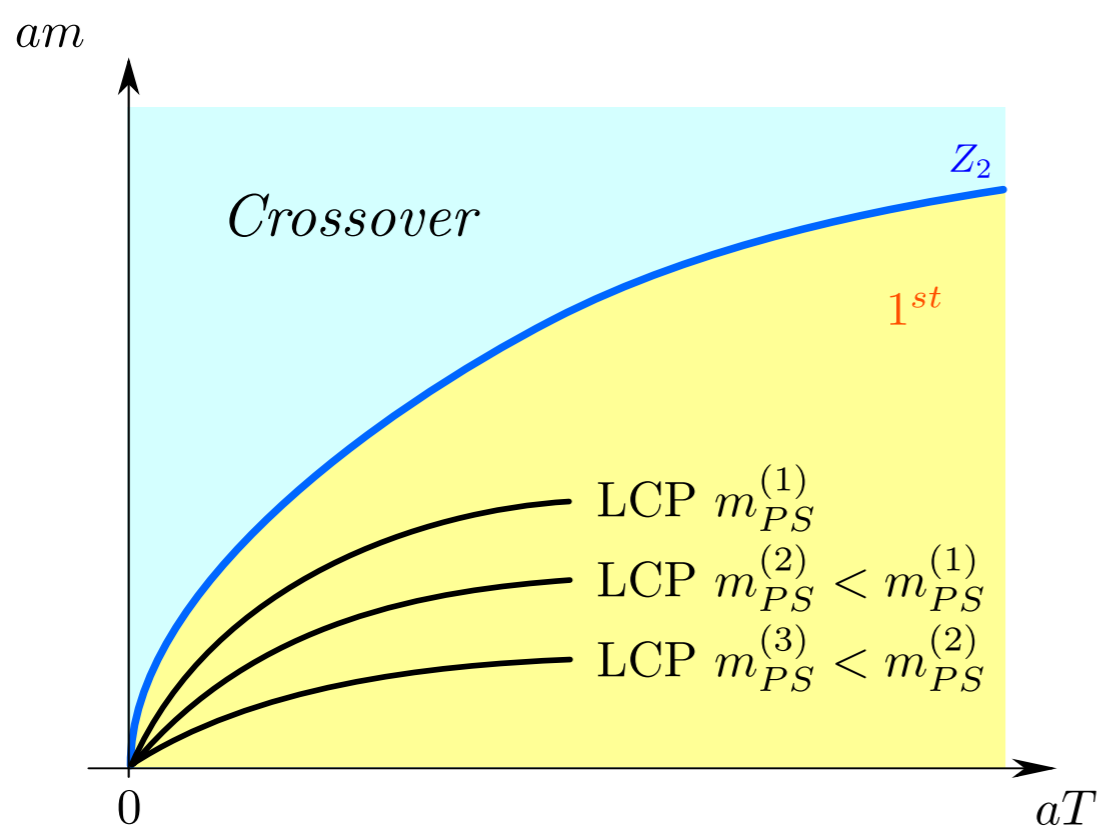


- Tricritical scaling observed also in plane of mass vs. lattice spacing
- Allows extrapolation to lattice chiral limit, tricritical points $N_\tau^{\text{tric}}(N_f)$
- 1st order scenario: $m_c(a) = m_c(0) + c_1(aT) + c_2(aT)^2 + \dots$

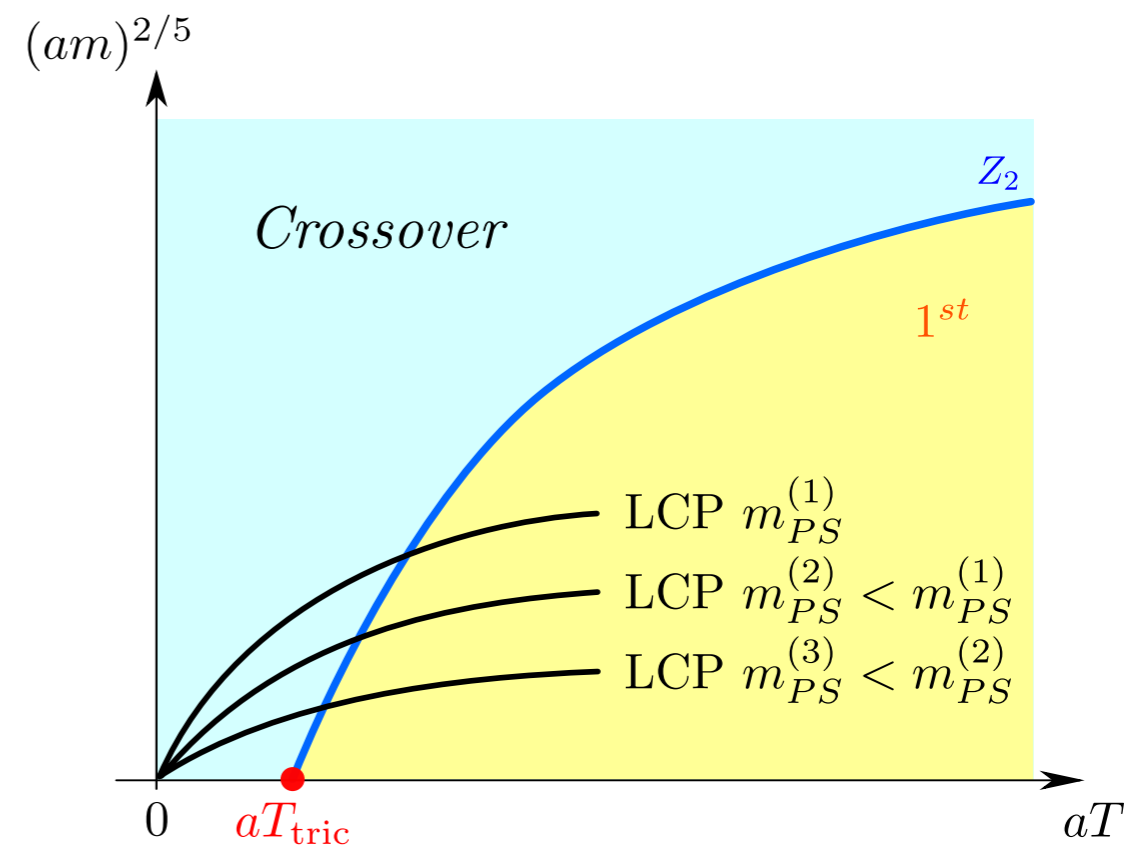
Incompatible with data! $\chi_{\text{dof}}^2 > 10$

Implications for the continuum

- Finite $N_{\tau}^{\text{tric}}(N_f)$ implies that 1st order transition is not connected to continuum
- Approaching continuum first, then chiral limit:
Continuum chiral phase transition second-order!



1st order scenario

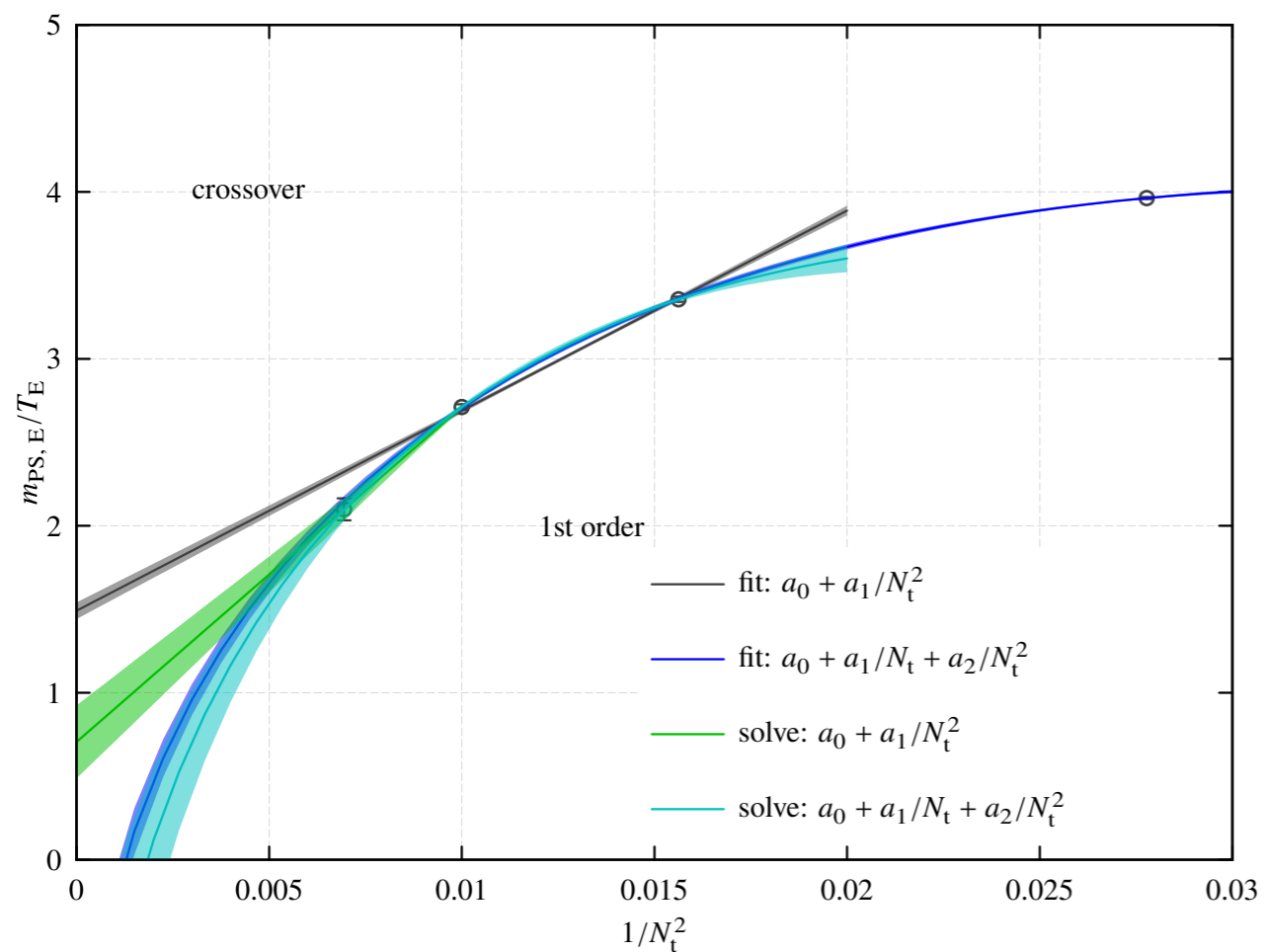


2nd order scenario

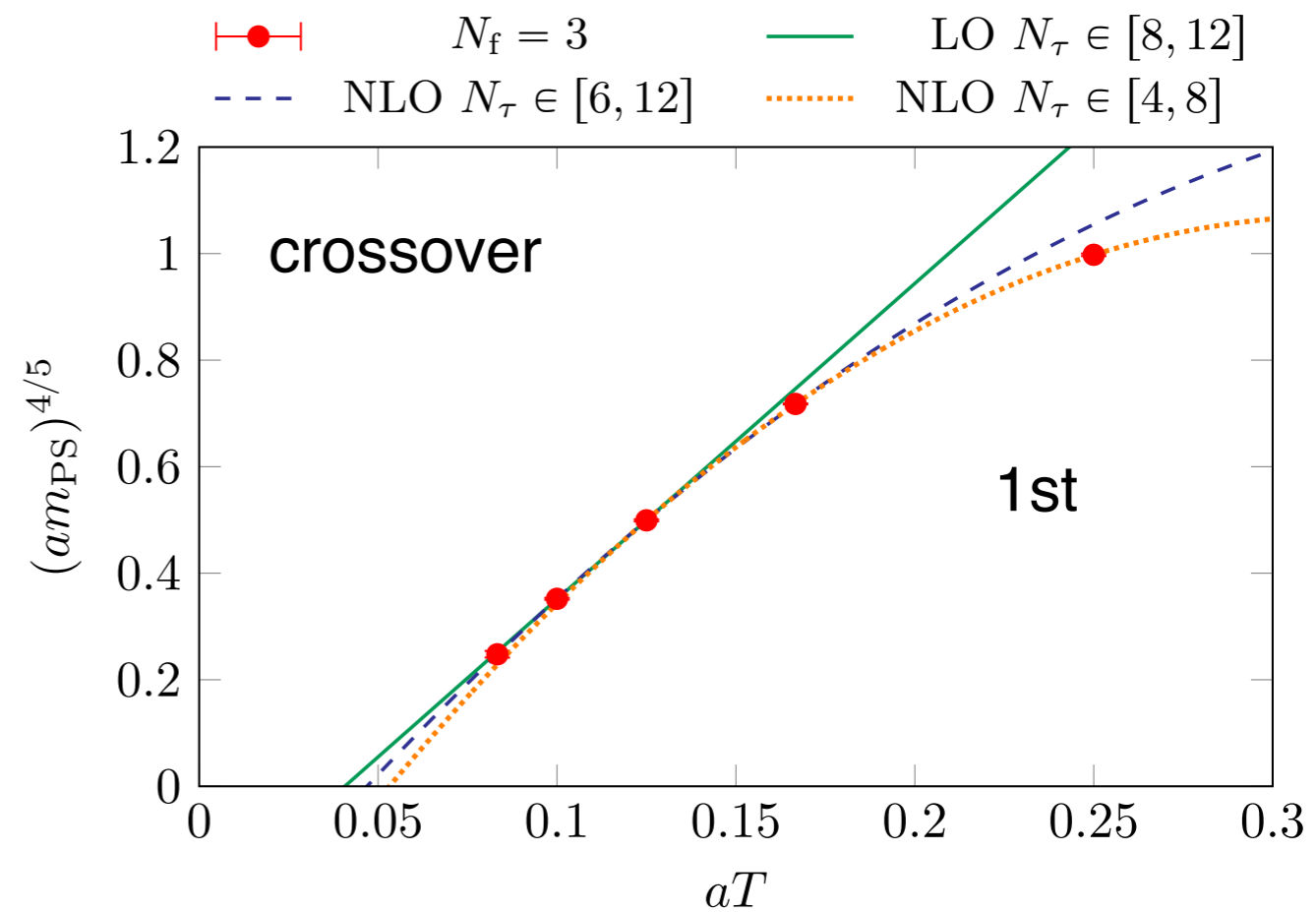
Nf=3 O(a)-improved Wilson fermions

[Kuramashi et al. PRD 20]

$$m_\pi^c \leq 110 \text{ MeV} \quad N_\tau = 4, 6, 8, 10, 12$$



Re-analysis using: $am_{PS}^2 \propto am_q$

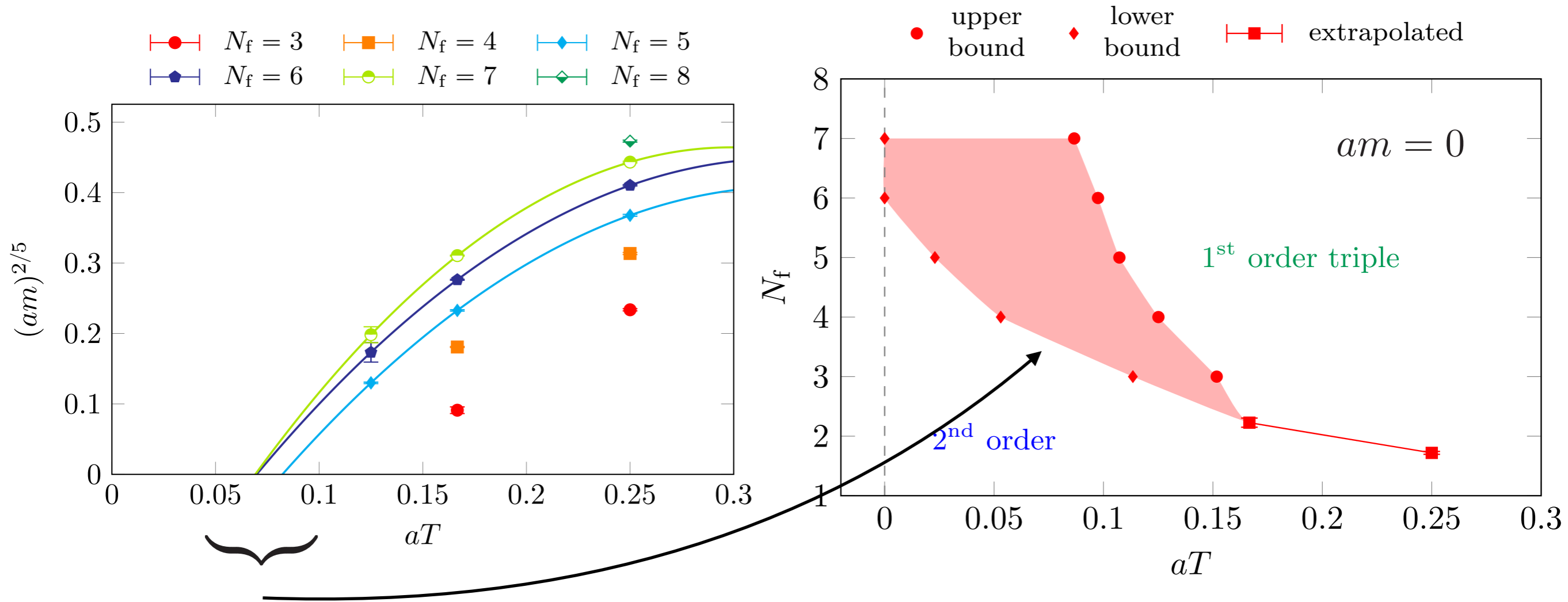


[Cuteri, O.P., Sciarra, JHEP 21]

Tricritical scaling!

Nf=3 consistent with staggered, 2nd order in chiral continuum limit!

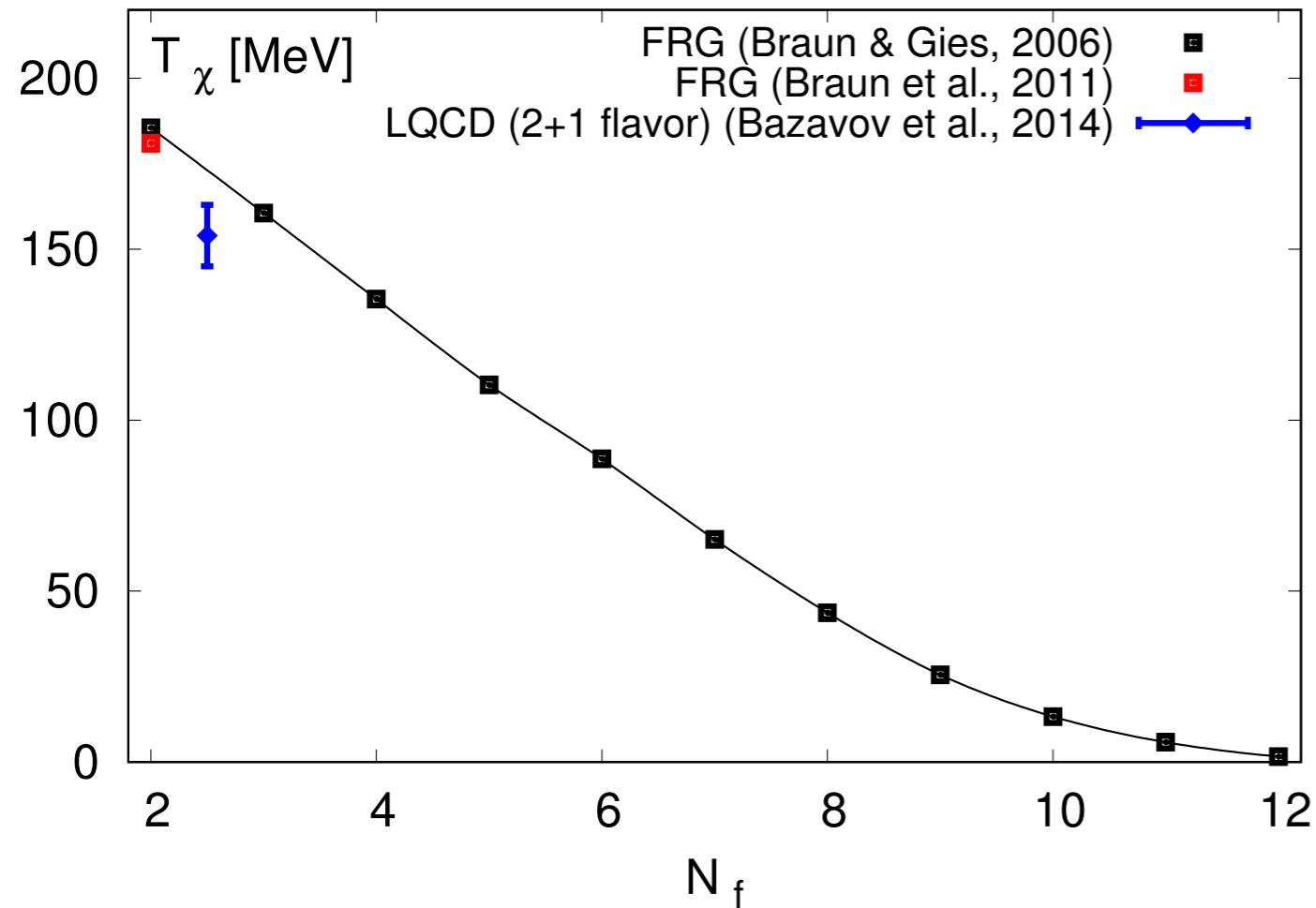
Digression: tricritical points as function of N_f



- $N_{\tau}^{\text{tric}}(N_f)$ increasing function
- Tricritical line in the plane of the lattice chiral limit, separates 1st from 2nd
- Is there a tricritical point in the continuum?

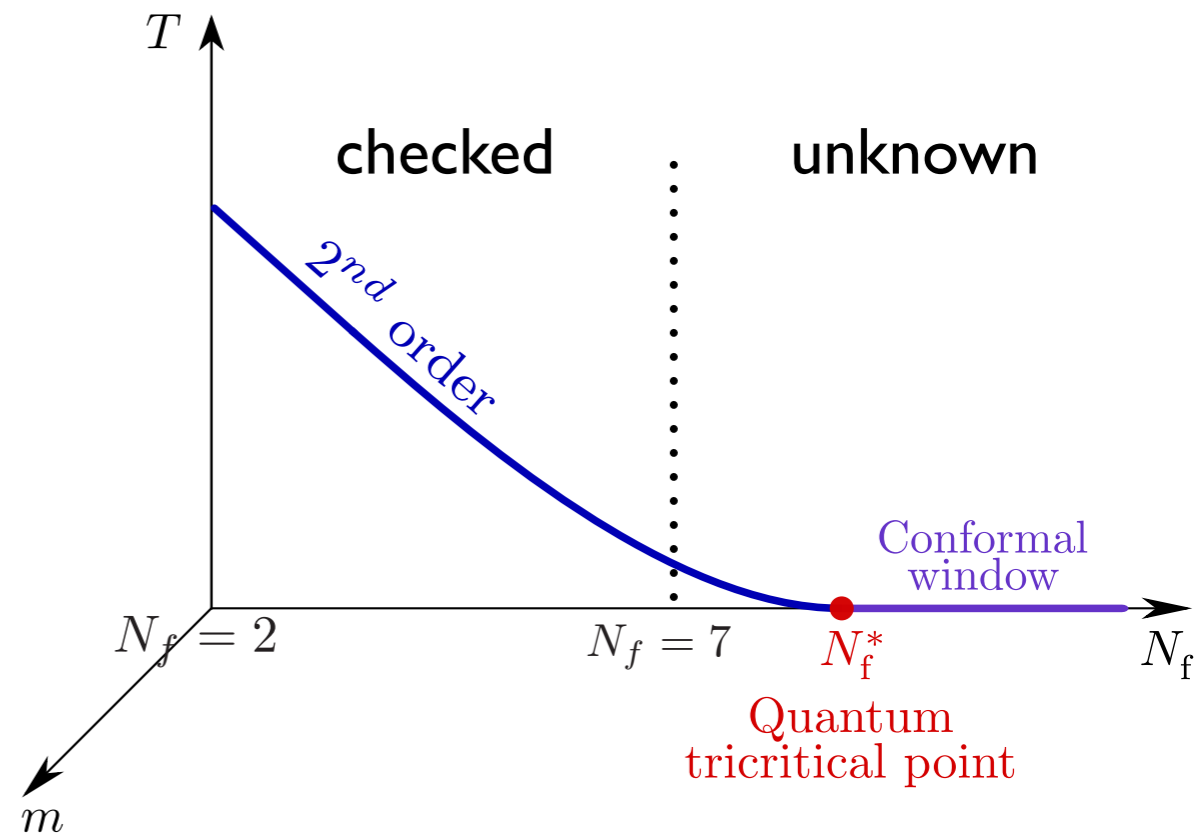
The chiral phase transition for different N_f

Temperature dependence:



For lattice, see [\[Miura, Lombardo, NPB 13\]](#)

Order of the transition:

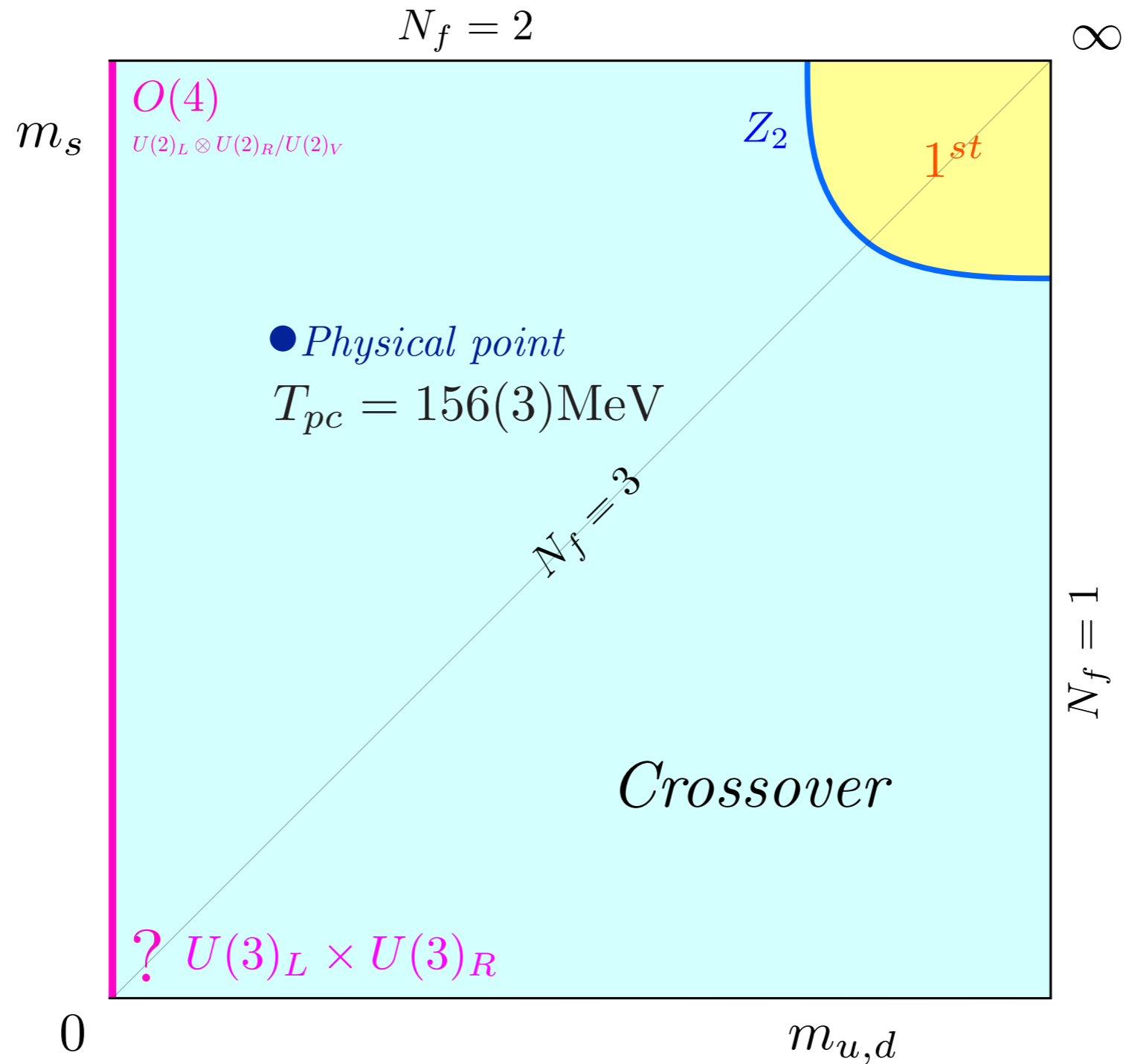


[\[Cuteri, O.P., Sciarra, JHEP 21\]](#)

The chiral phase transition in the massless limit is likely second-order for all N_f

The Columbia plot in the continuum

[Cuteri, O.P., Sciarra JHEP 21]



What about Pisarski, Wilczek 1984?

- 3d ϕ^4 - Ginzburg-Landau-Wilson theory for chiral condensate plus t'Hooft term
- Epsilon expansion about $\epsilon = 1$
- All conclusions confirmed by [Butti, Pelissetto, Vicari, JHEP 03]
(High order perturbative expansion in fixed d)
- Support also from simulation of 3d sigma model [Gausterer, Sanielevici, PLB 88]

Suggested resolution: ϕ^6 term, in 3d renormalisable; even higher powers.....?

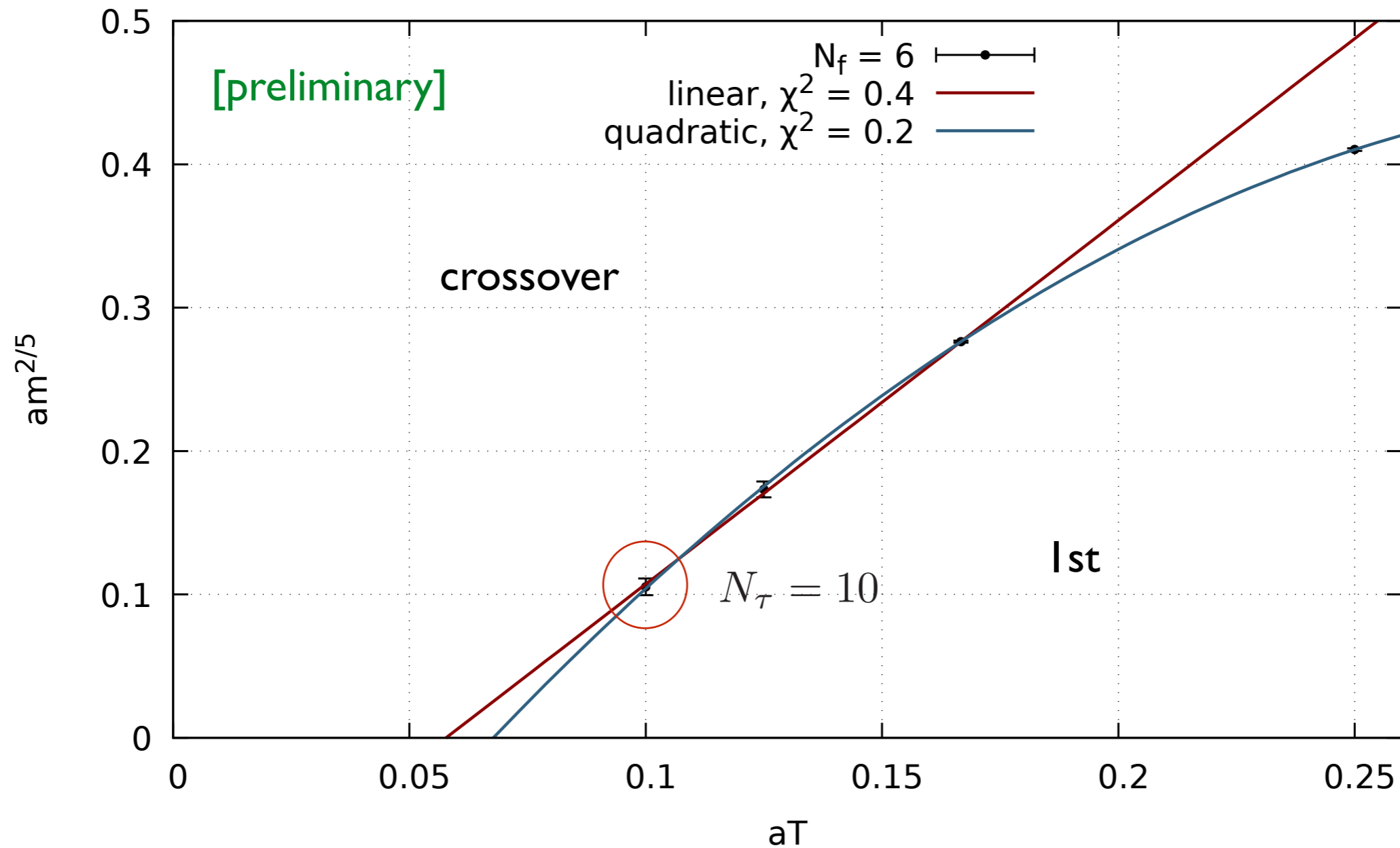
[Fejos, PRD 22] 3d ϕ^6 with t'Hooft term, functional RG study:
IR-stable fixed point, 2nd order transition for restored anomaly

[Kousvos, Stergiou, SciPost 23] Numerical conformal bootstrap:
U(3)xU(3) displays IR stable fixed point

No contradictions!

Meanwhile in Frankfurt...

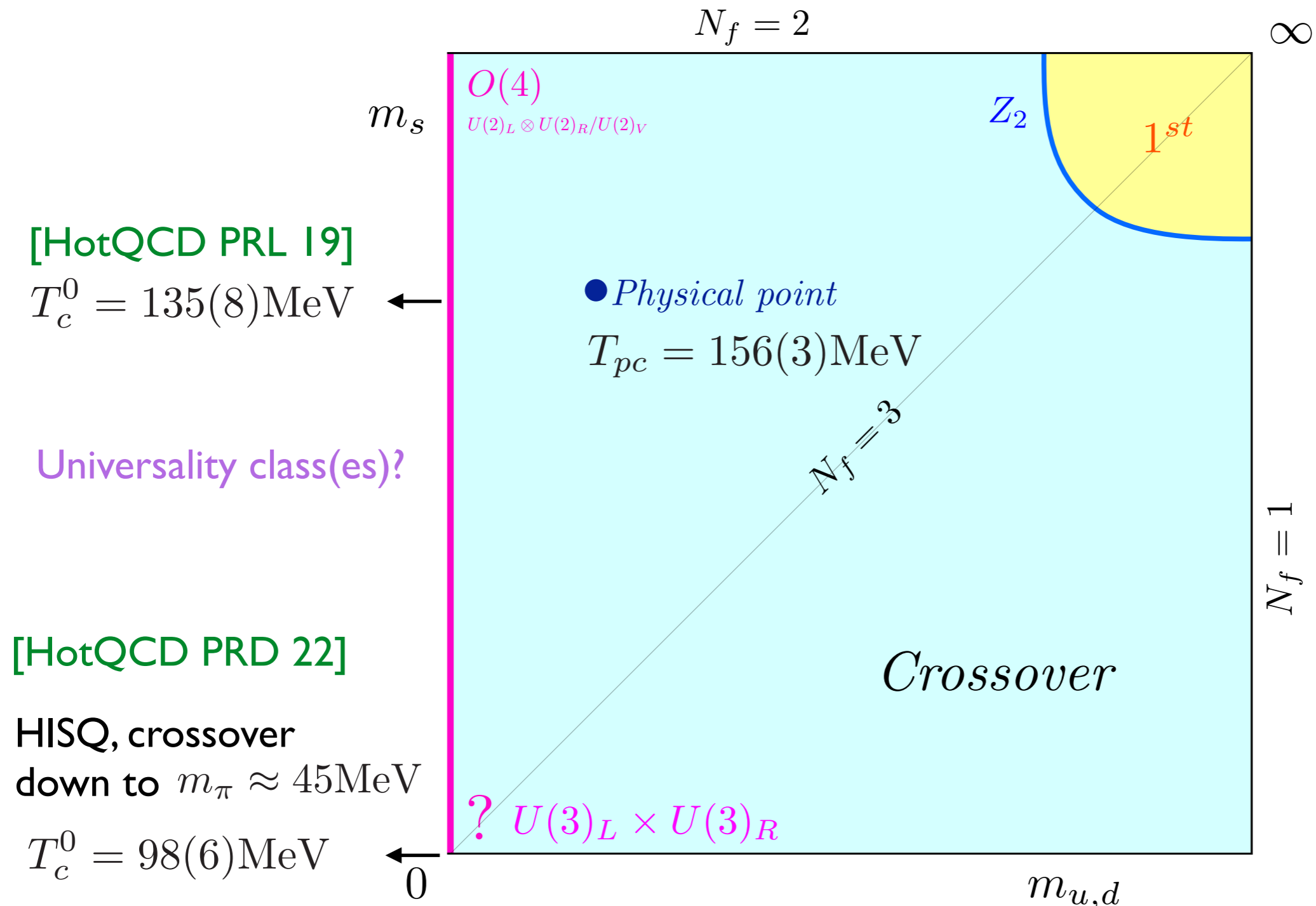
progressing to finer lattices



New $N_\tau = 10$ result on predicted scaling curve!

The Columbia plot in the continuum

[Cuteri, O.P., Sciarra JHEP 21]



Crossover for DW fermions, $N_f=3$, $m_q \sim m_{phys}$ [Zhang et al., PoS LAT22]

QCD with imaginary chemical potential

Motivation: no sign problem!

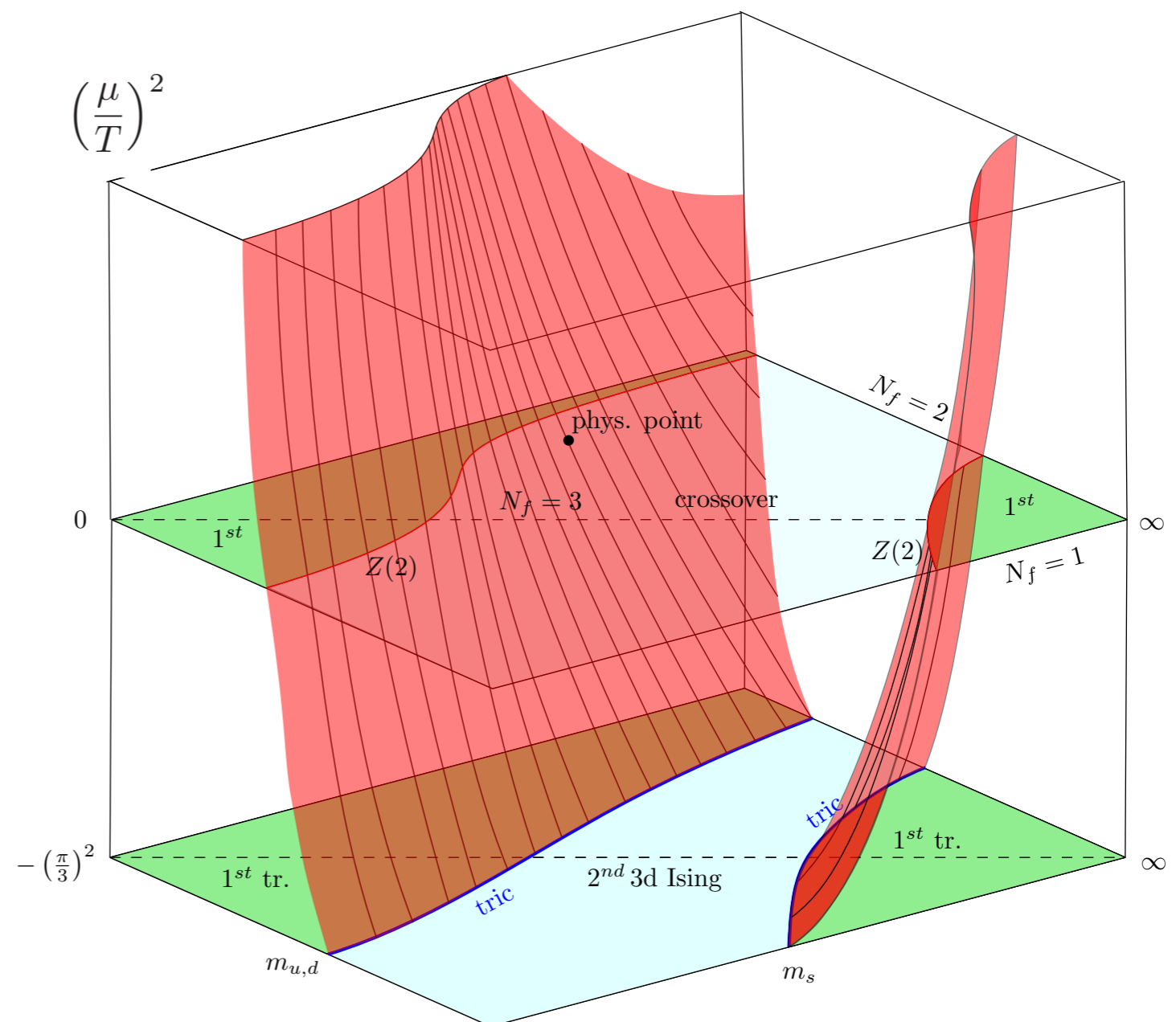
Roberge-Weiss (center) symmetry: $Z\left(T, i\frac{\mu_i}{T}\right) = Z\left(T, i\frac{\mu_i}{T} + i\frac{2n\pi}{N_c}\right)$

Results from coarse lattices: $N_\tau = 4$

Chiral critical surface analytic around $\mu_B = 0$, negative curvature

[de Forcrand, O.P. 07]

Details and reference list:
[O.P., Symmetry 13, 2021]



Imaginary chemical potential: cutoff effects

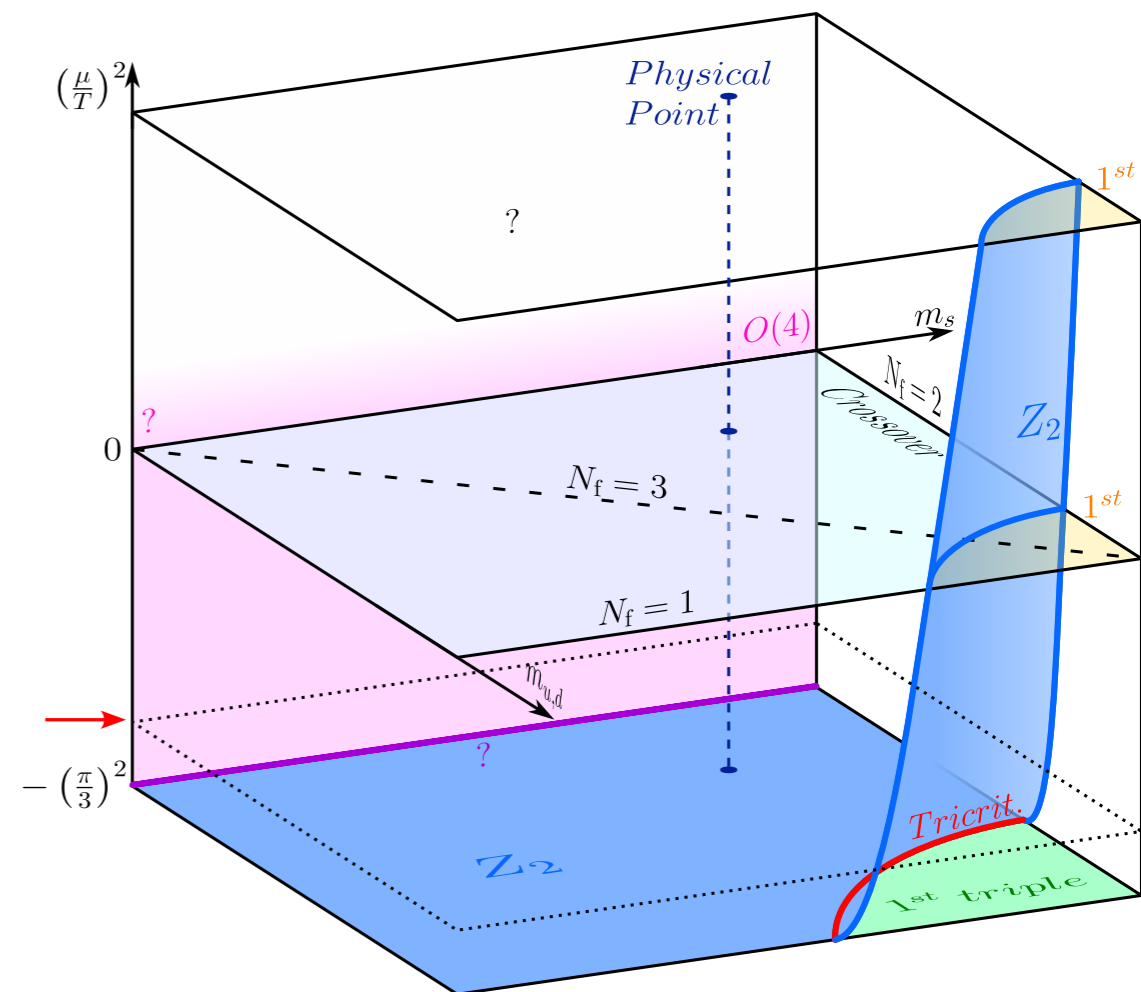
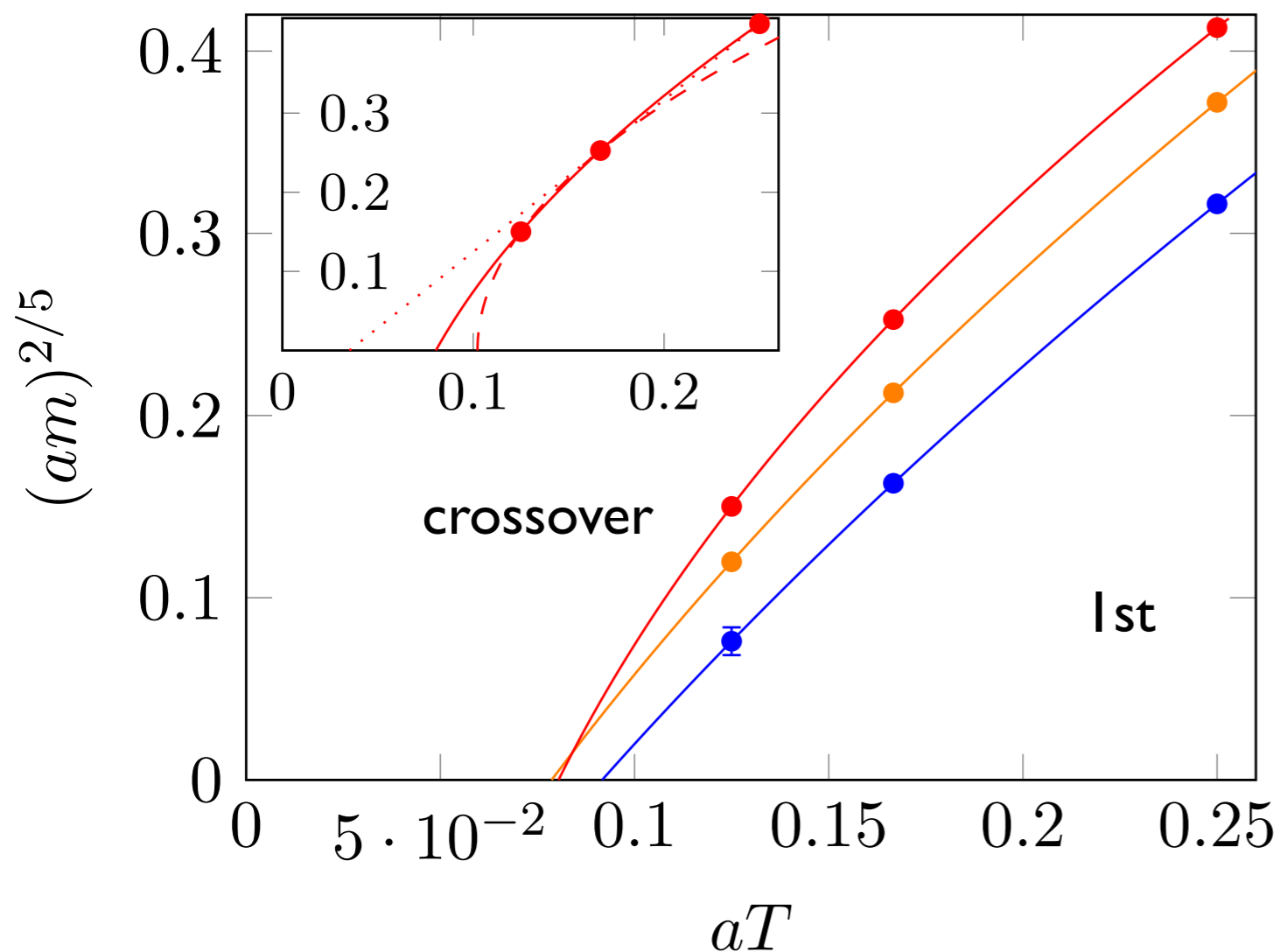
[D'Ambrosio, Fromm, Kaiser, O.P., in progress]

Repeat study of Columbia plot with $\mu = i 0.81\pi T/3$

Same situation as $\mu = 0$

1st-order region not connected to continuum limit!

• $N_f = 4$ • $N_f = 5$ • $N_f = 6$



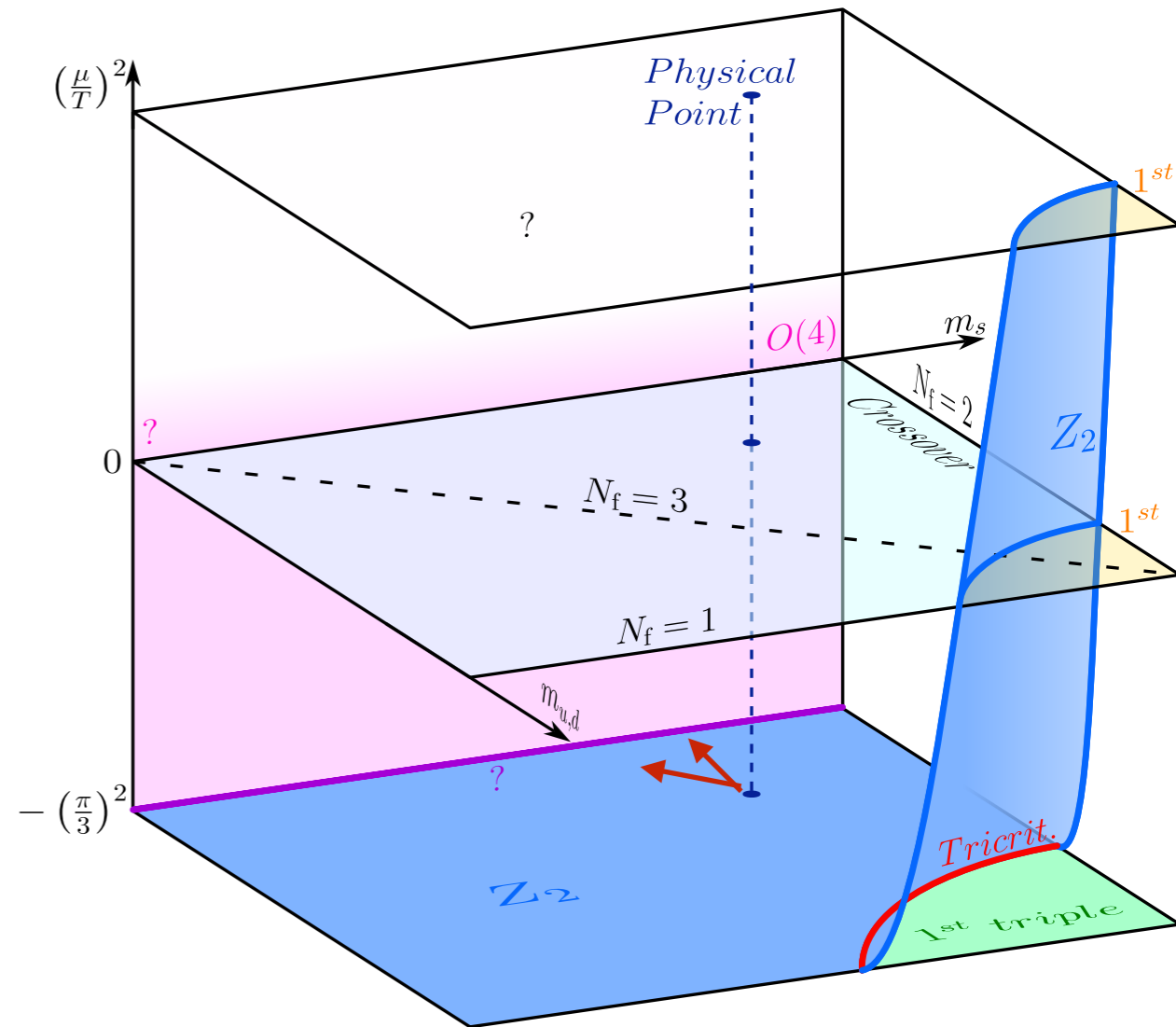
Imaginary chemical potential, improved actions

$\mu = i\pi T/3$ Roberge-Weiss boundary

- [Bonati et al., PRD 19]
 stout-smearred staggered $N_\tau = 4$

 quark mass scan down to $m_\pi \approx 50$ MeV
 fixed m_{ud}/m_s
- [Bielefeld+Frankfurt, PRD 22]
 HISQ $N_\tau = 4$

 quark mass scan down to $m_\pi \approx 55$ MeV
 fixed m_s
- No sign of 1st-order phase transition!
- Entire chiral critical surface moves to massless limit



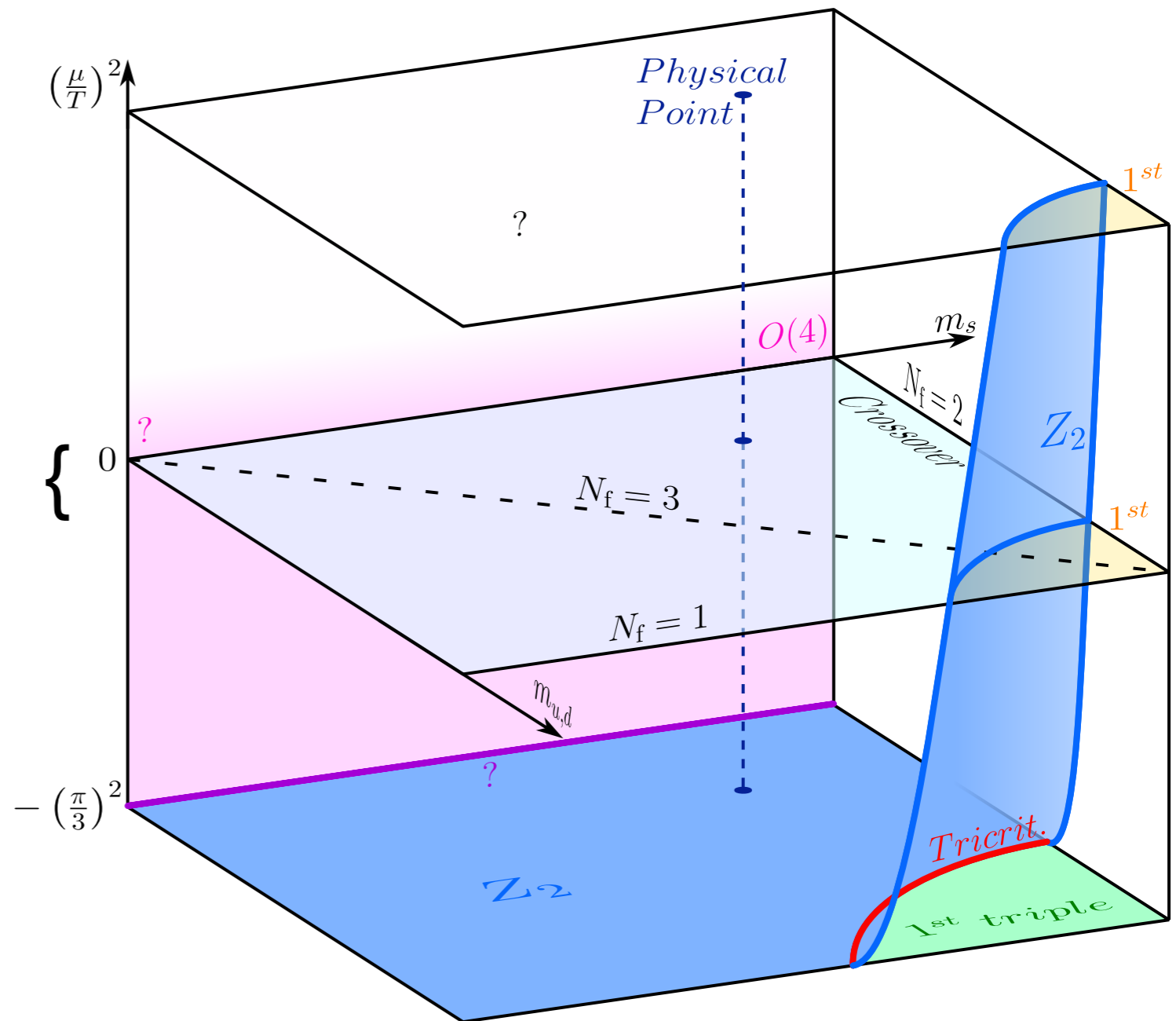
Columbia plot with chemical potential, continuum

[Bernhardt, Fischer, arXiv:2309.06737]

Dyson-Schwinger eqs. $|\mu| \leq 30\text{MeV}$

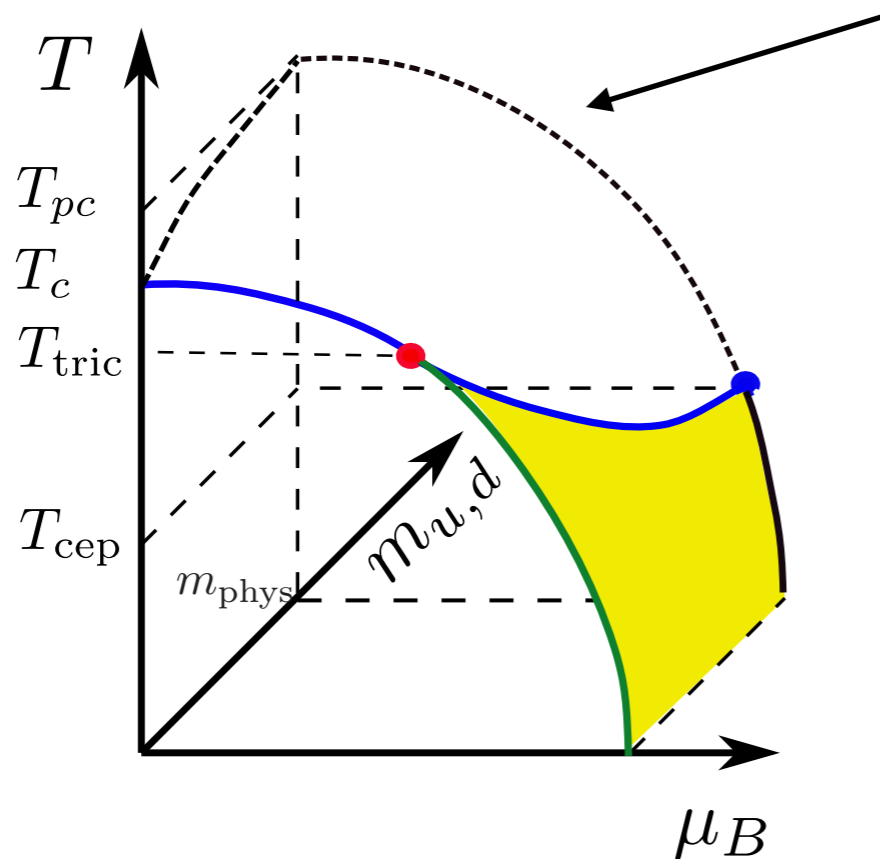
Same picture

Columbia plot analytic around $\mu = 0$



From the chiral limit back to the physical point

The “standard scenario”:



$$\frac{T_{pc}(\mu_B)}{T_{pc}(0)} = 1 - \kappa_2 \left(\frac{\mu_B}{T_{pc}(0)} \right)^2 + \dots$$

| κ_2 | Action |
|------------|--|
| 0.0158(13) | imag. μ , stout-smearred staggered |
| 0.0135(20) | imag. μ , stout-smearred staggered |
| 0.0145(25) | Taylor, stout-smearred staggered |
| 0.016(5) | Taylor, HISQ |

[Bellwied et al, PLB 15]
 [Bonati et al, NPA 19]
 [Bonati et al, PRD 18]
 [HotQCD, PLB 19]

$$T_{pc} > T_c > T_{tric} > T_{cep}$$



$$\mu_B^{cep} > 3.1 T_{pc}(0) \approx 485 \text{ MeV}$$

Physical point: modelling lattice fluctuations

$$\frac{p(T, \mu_B)}{T^4} = \frac{p(T, 0)}{T^4} + \sum_{n=1}^{\infty} \frac{1}{2n!} \chi_{2n}^B(T) \left(\frac{\mu_B}{T}\right)^{2n} \quad \text{search for radius of convergence}$$

Example: baryon number density at imag. μ_B

$$\left. \frac{\rho_B(T, \mu_B)}{T^3} \right|_{\mu_B = i\theta_B T} = i \sum_{k=0}^{\infty} b_k(T) \sin\left(\frac{k\mu_B}{T}\right)$$

Coefficients computable on the lattice

[Wuppertal-Budapest, Vovchenko et al., PLB 2017]

$$b_k(T) = \frac{2}{\pi} \int_0^{\pi} \text{Im} \left[\frac{\rho_B(T, i\theta_B T)}{T^3} \right] \sin(k\theta_B) d\theta_B$$

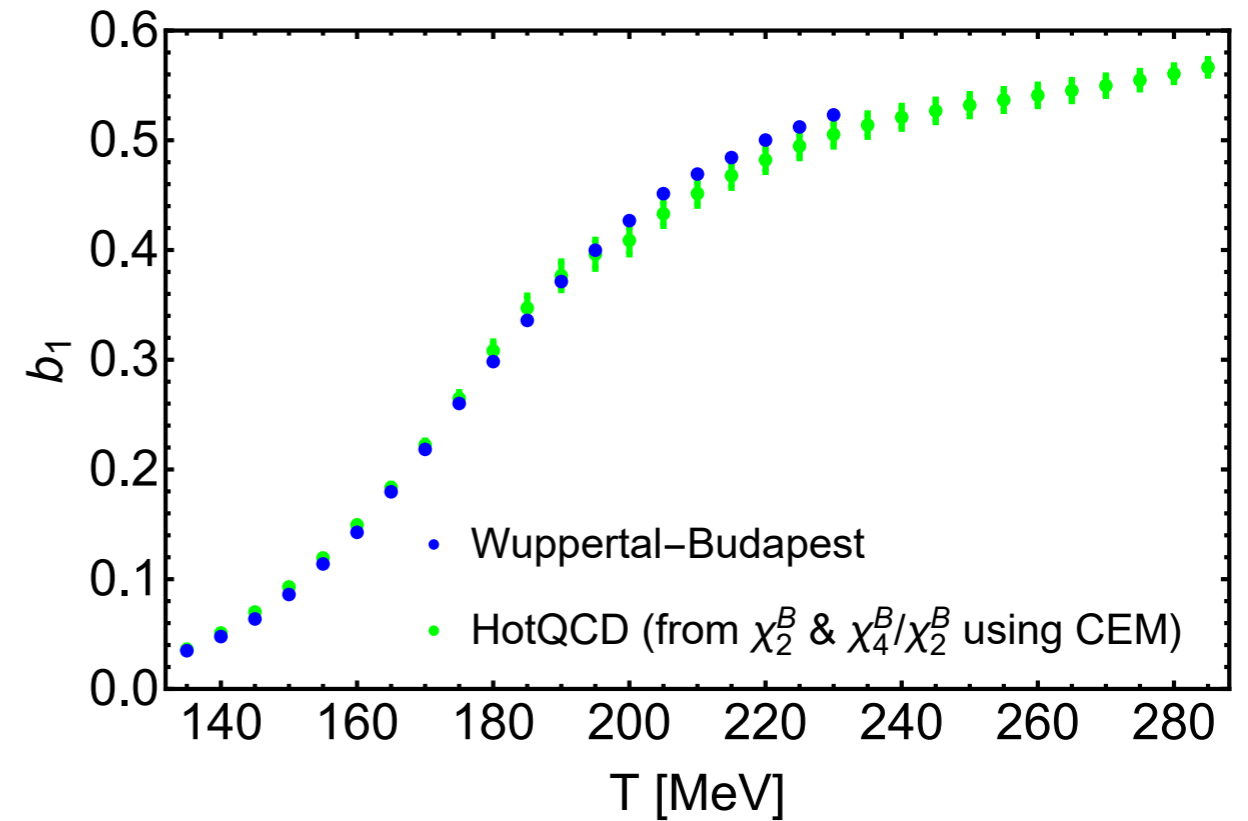
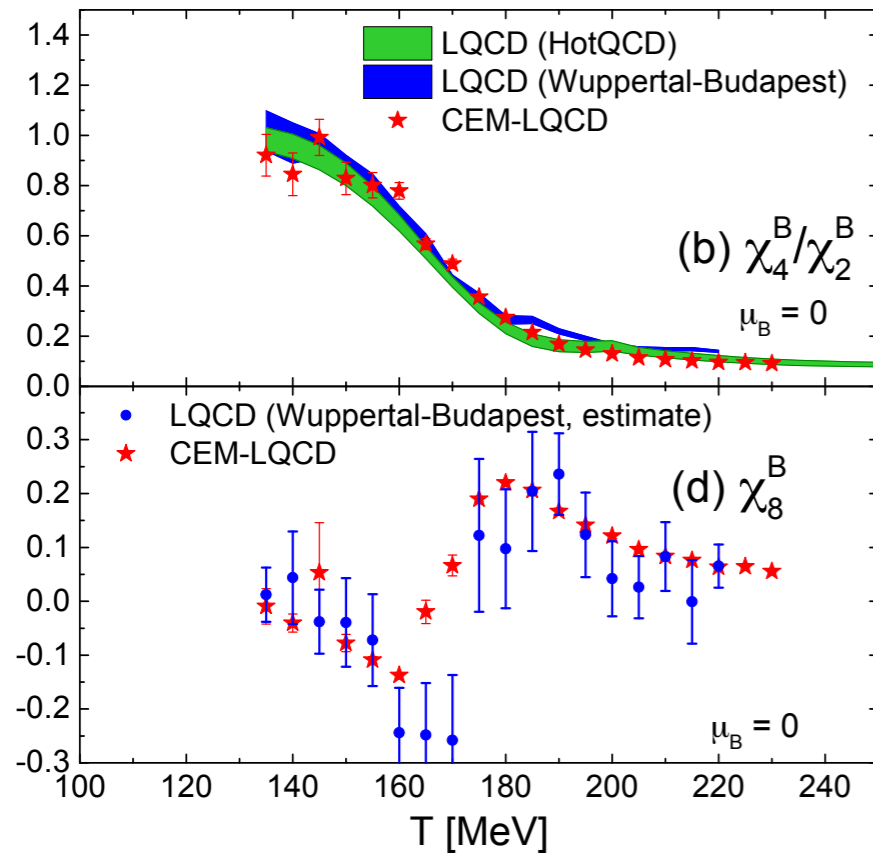
Cluster expansion model (CEM) [Vovchenko et al., PRD 2018]:

$$b_k(T) = \alpha_k^{\text{SB}} \frac{[b_2(T)]^{k-1}}{[b_1(T)]^{k-2}}, \quad k = 3, 4, \dots$$

b_1, b_2 input from the WB-lattice data, α_k^{SB} fixed to Stefan-Boltzmann,
all higher coefficients are model predictions

Physical point: modelling fluctuations

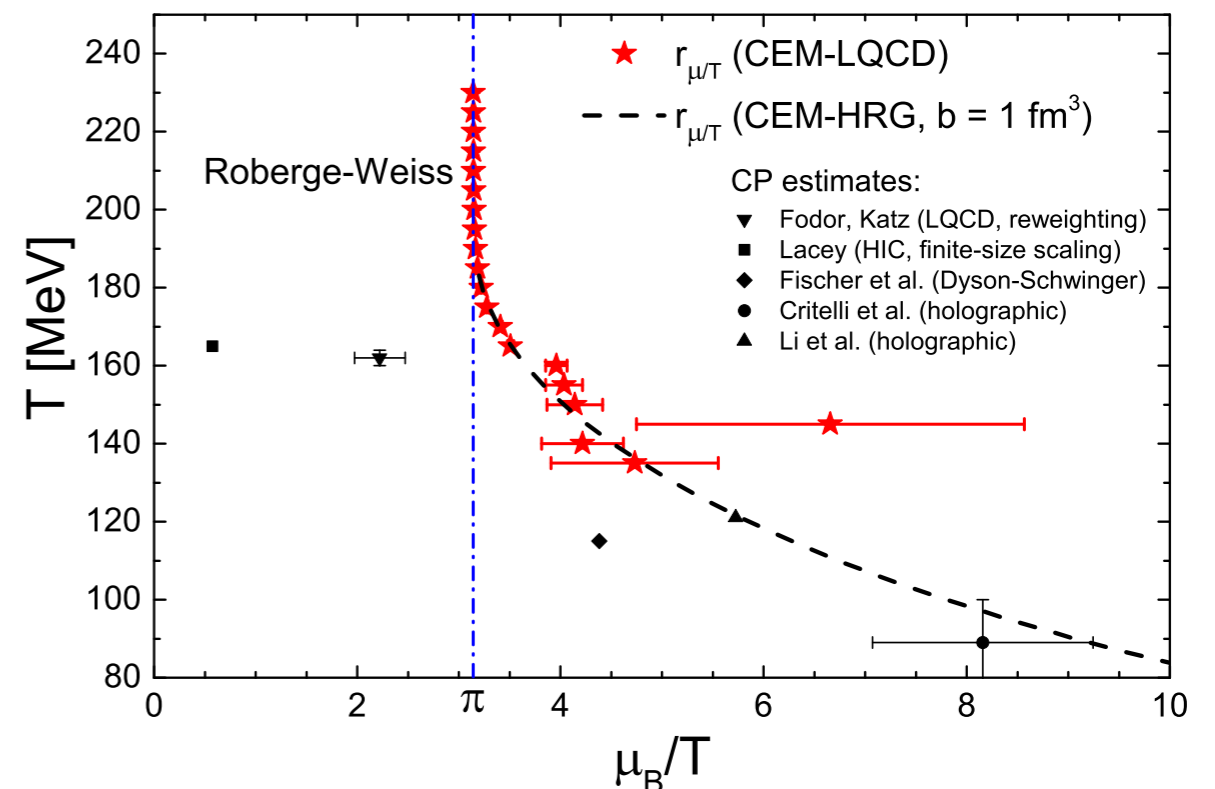
[Vovchenko et al., PoS Corfu 2018; NPA 2018]



CEM prediction: [Vovchenko et al. PRD 18]

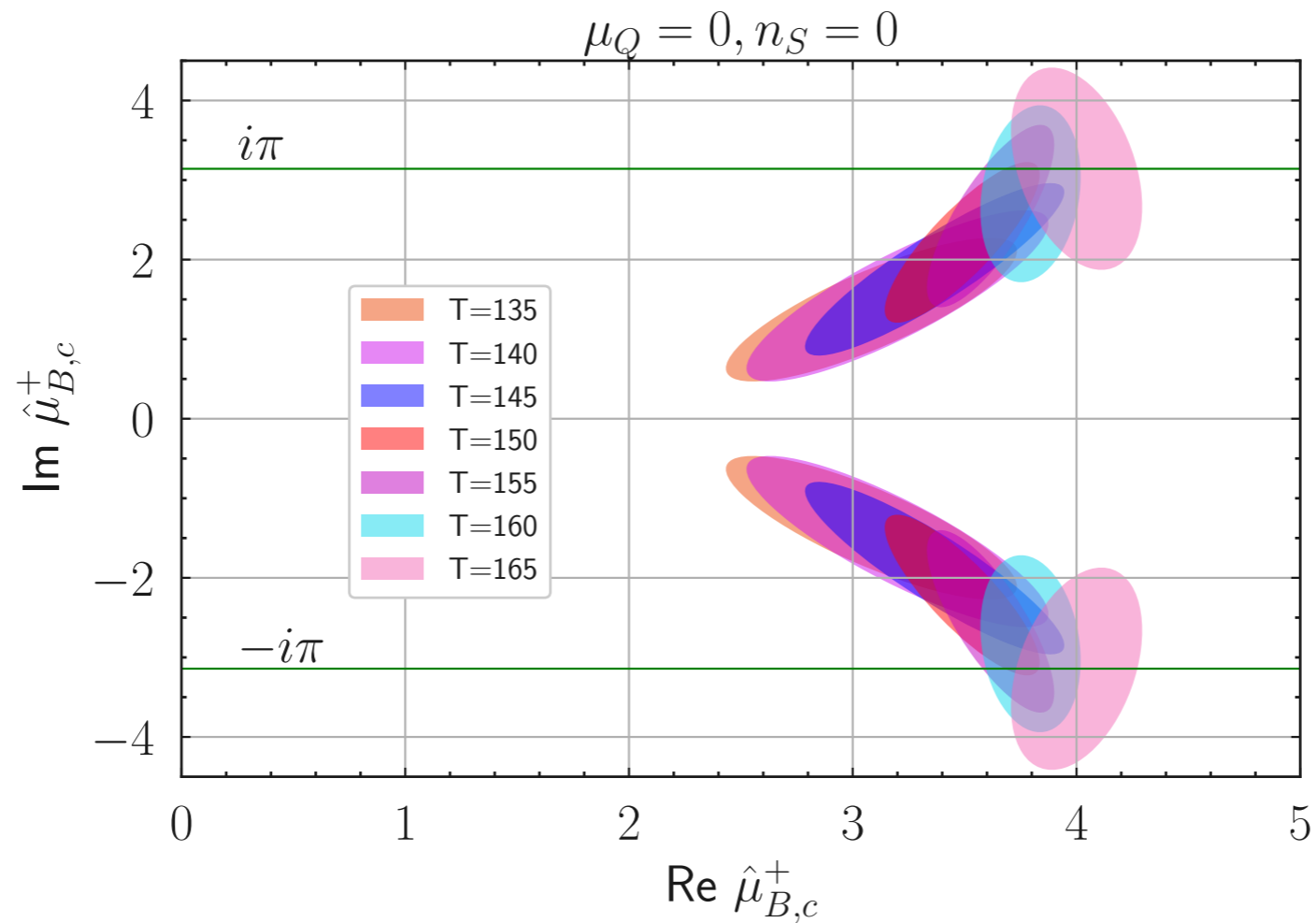
Closest singularity in complex plane
is Roberge-Weiss transition

$$\mu_B^{\text{cep}} > \pi T$$



Search for Lee-Yang zeroes

LY zeros (in infinite volume) at real parameter values signal non-analytic phase transition

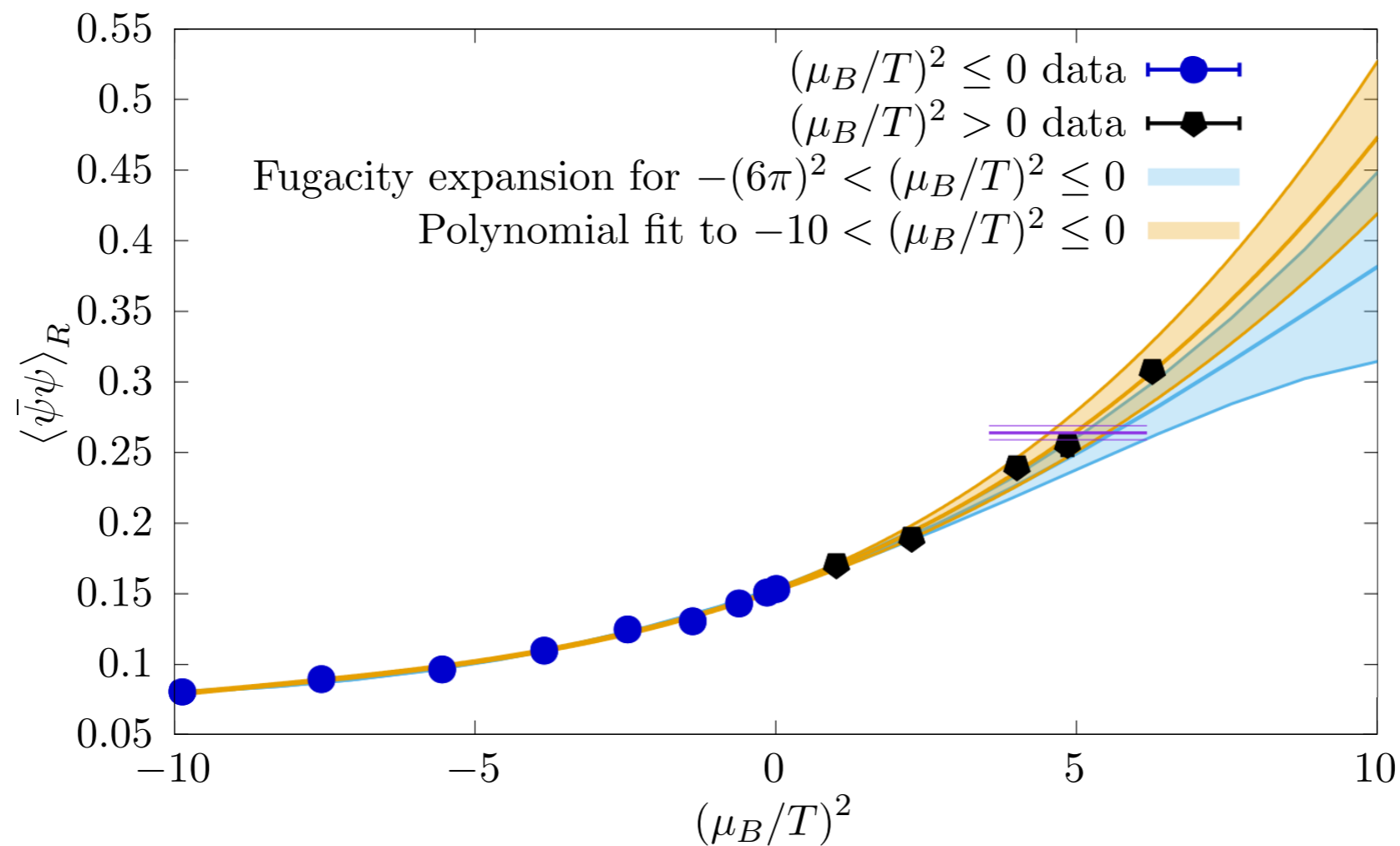


From lattice coeffs up to μ_B^8 , Pade resummation

$$\mu_B^{\text{cep}} > 2.5T, T < 125 \text{ MeV}$$

[HotQCD PRD 22]

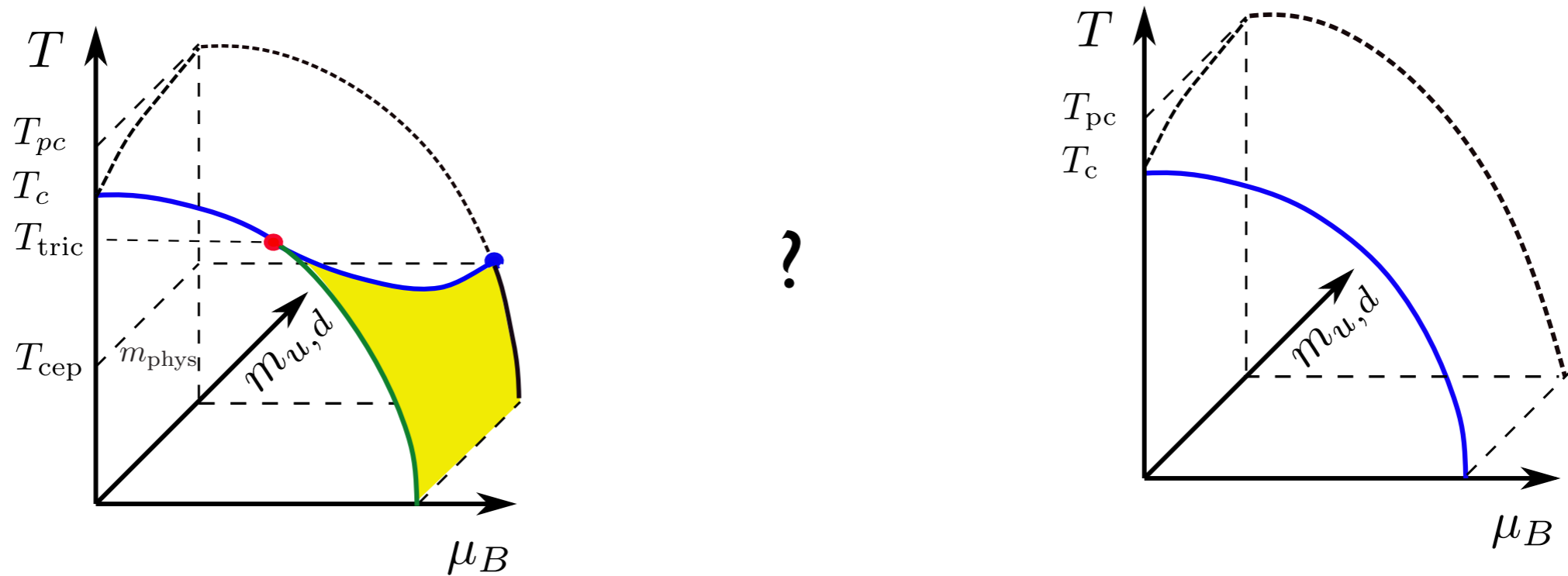
Physical point: reweighting LQCD revisited



[Borsanyi et al., PRD 22]

- New treatment: rooted determinant + reweighting in sign only [Giordano et al. JHEP 20]
- Simulation with stout-sm. staggered action, $N_\tau = 6$: no sign of criticality for $\mu_B < 2.5T$

Summary: constraints on the critical point



- ▶ Ordering of critical temperatures $\mu_B^{cep} > 3.1 T_{pc}(0) \approx 485 \text{ MeV}$ [O.P. Symmetry 21]
 - ▶ Cluster expansion model of lattice fluctuations $\mu_B^{cep} > \pi T$ [Vovchenko et al. PRD 18]
 - ▶ Singularities, Pade-approx. fluctuations $\mu_B^{cep} > 2.5T, T < 125 \text{ MeV}$ [Bollweg et al. PRD 21]
 - ▶ Direct simulations with refined reweighting $\mu_B^{cep} > 2.5T$ [Wuppertal-Budapest collaboration, PRD 21]
-
- ▶ Consistent with DSE, fRG [Fischer PNP 19; Fu, Pawłowski, Rennecke PRD 20; Gao, Pawłowski PRD 21]
 - CEP seen at larger density, but “not yet controlled” $(T_{CEP}, \mu_{B_{CEP}}) = (98, 643) \text{ MeV}$

Conclusions

- Chiral transition at zero density is second order for $N_f=2,3$ massless quark flavours
- So far consistent between all lattice discretisations + DSE
- Imaginary chemical potential has no effect on the order of the chiral transition
- Lesson from cutoff effects:
Correct UV sector of a theory is crucial for its phase diagram!
“Low energy effective models” can be deceiving