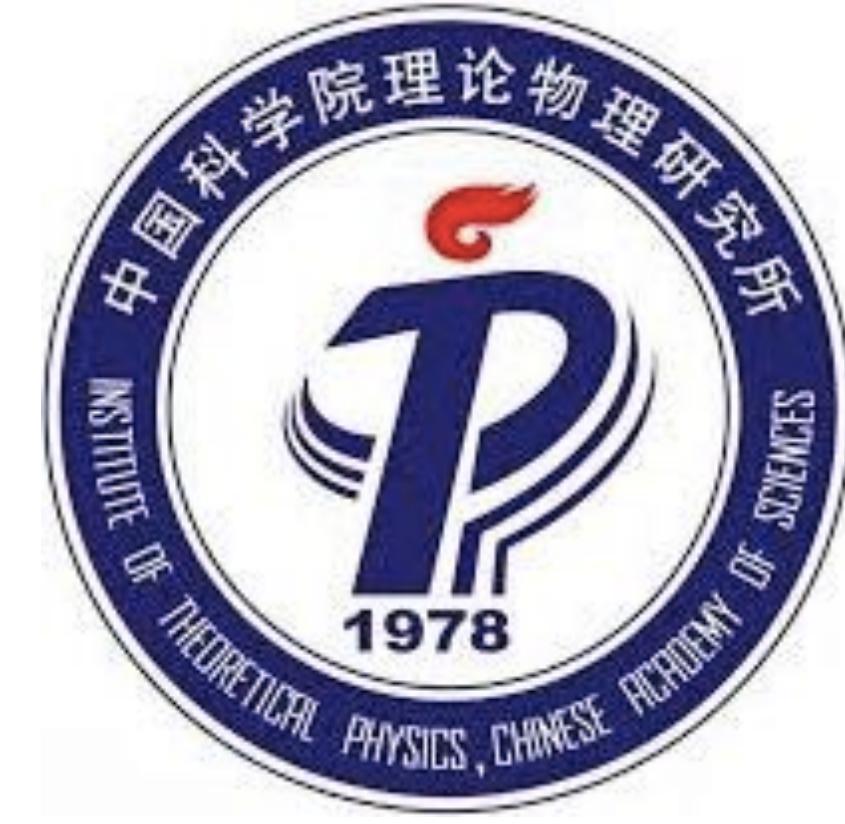




第十七届TeV工作组会议



Non-Gaussianities in the PBH Formation

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Collaborators: Rong-Gen Cai, Diego Cruces, Guillem Domenech,
Misao Sasaki, Volodymyr Takhistov, Jianing Wang

Nanjing, Dec 17 2023

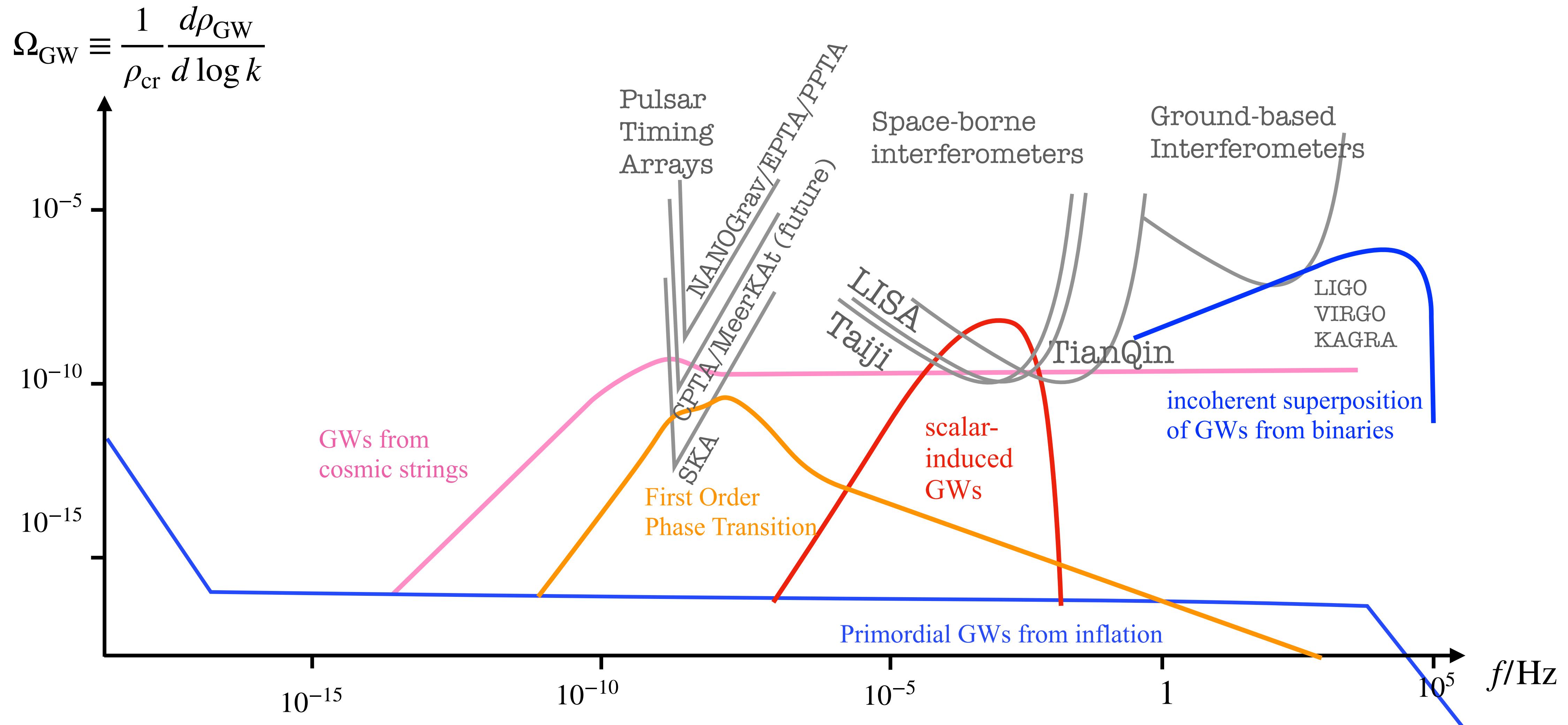
CONTENT

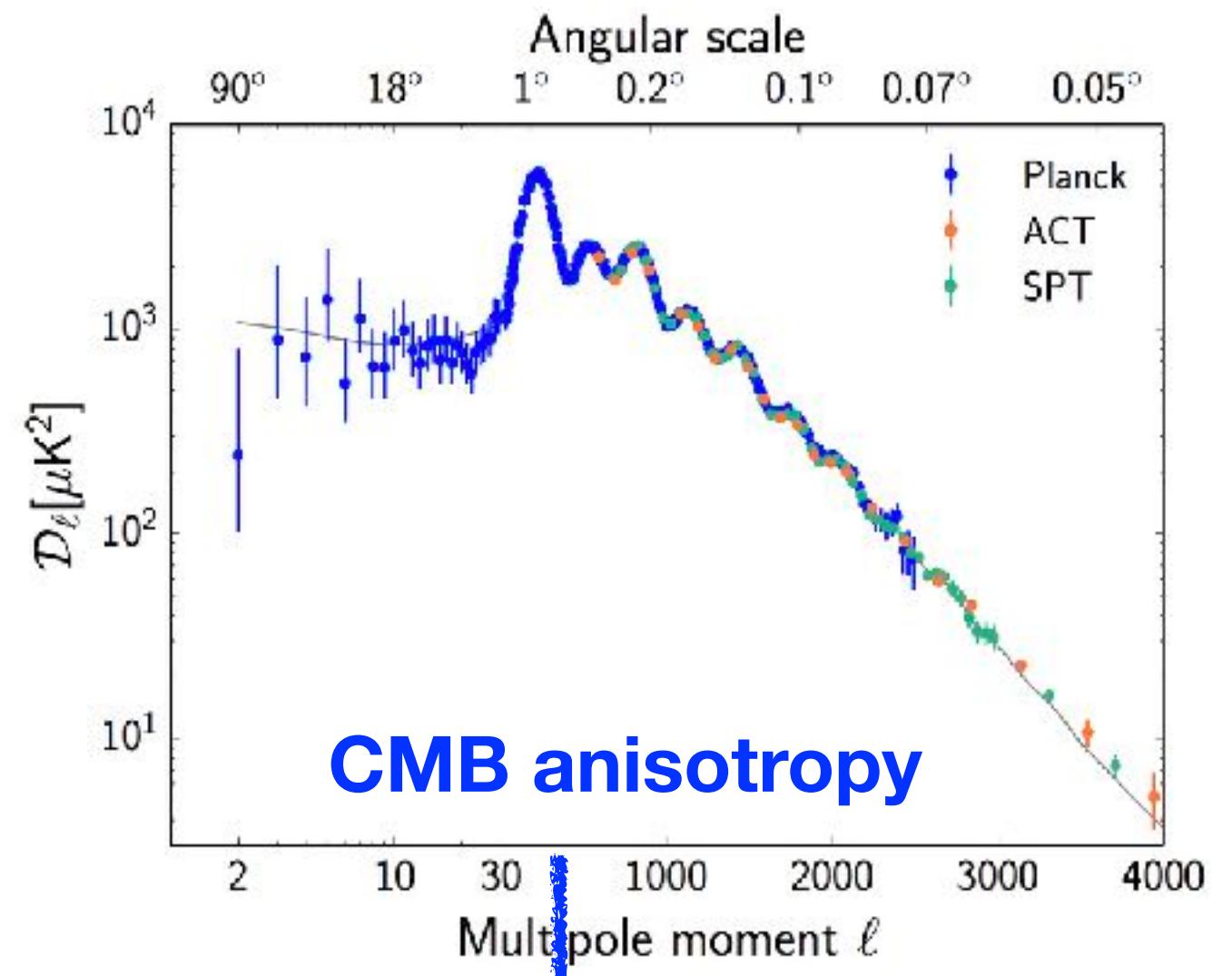
- Introduction: PBH and IGW
- Primordial NG of the curvature perturbation
- Application to ultra-slow-roll inflation
- Summary

Introduction:

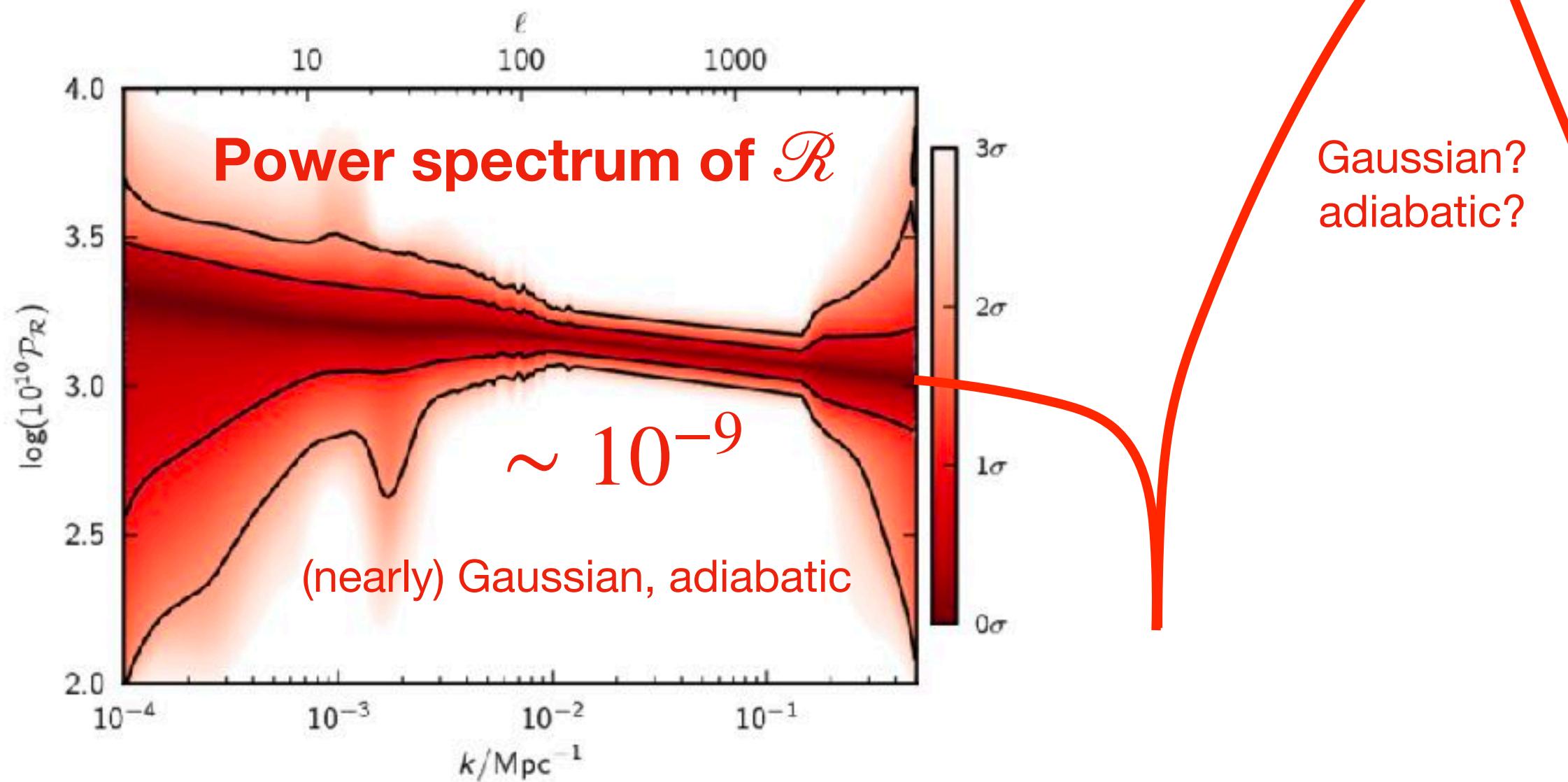
Primordial Black Hole and Scalar Induced Gravitational Waves

Possible SGWB Sources

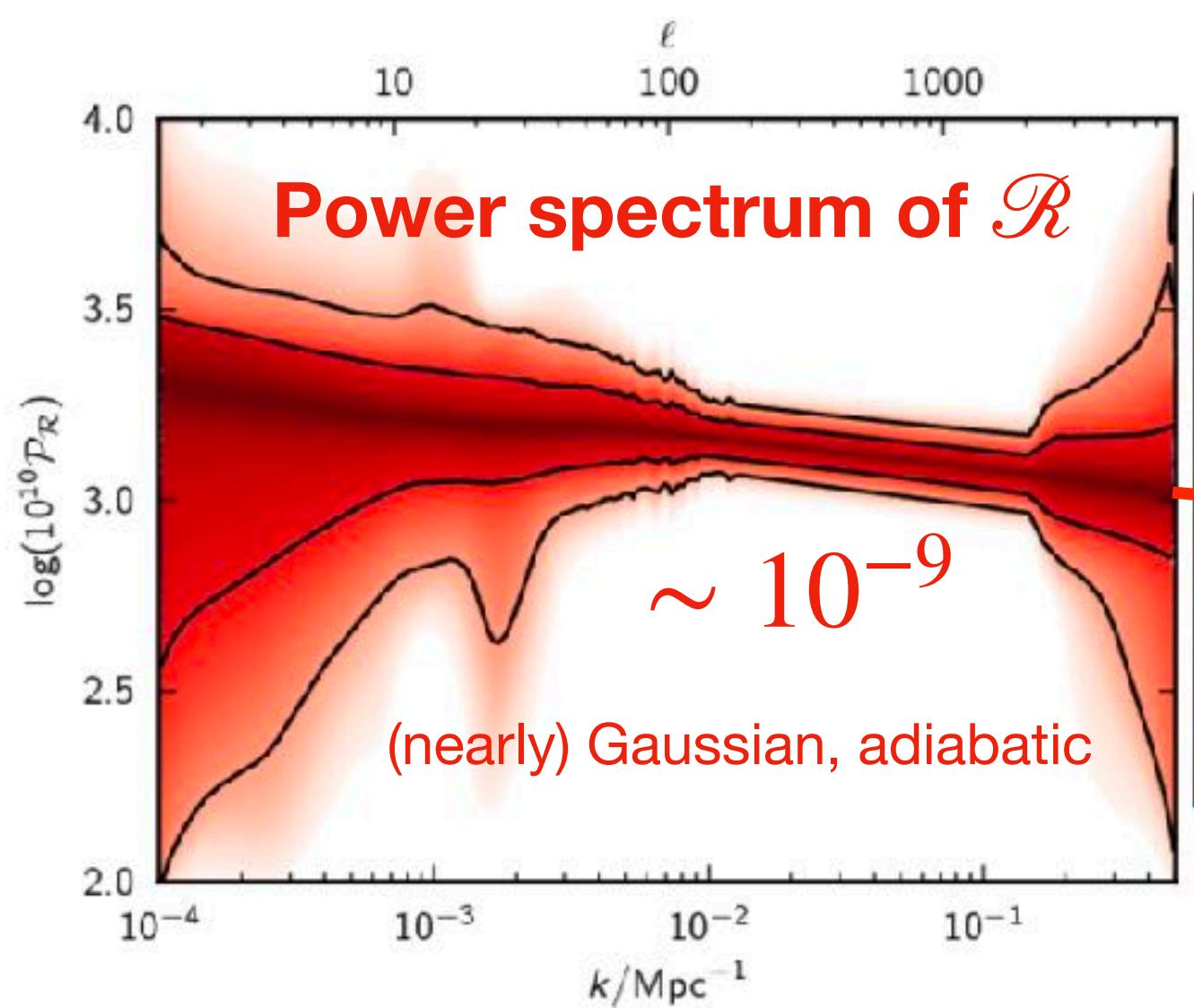
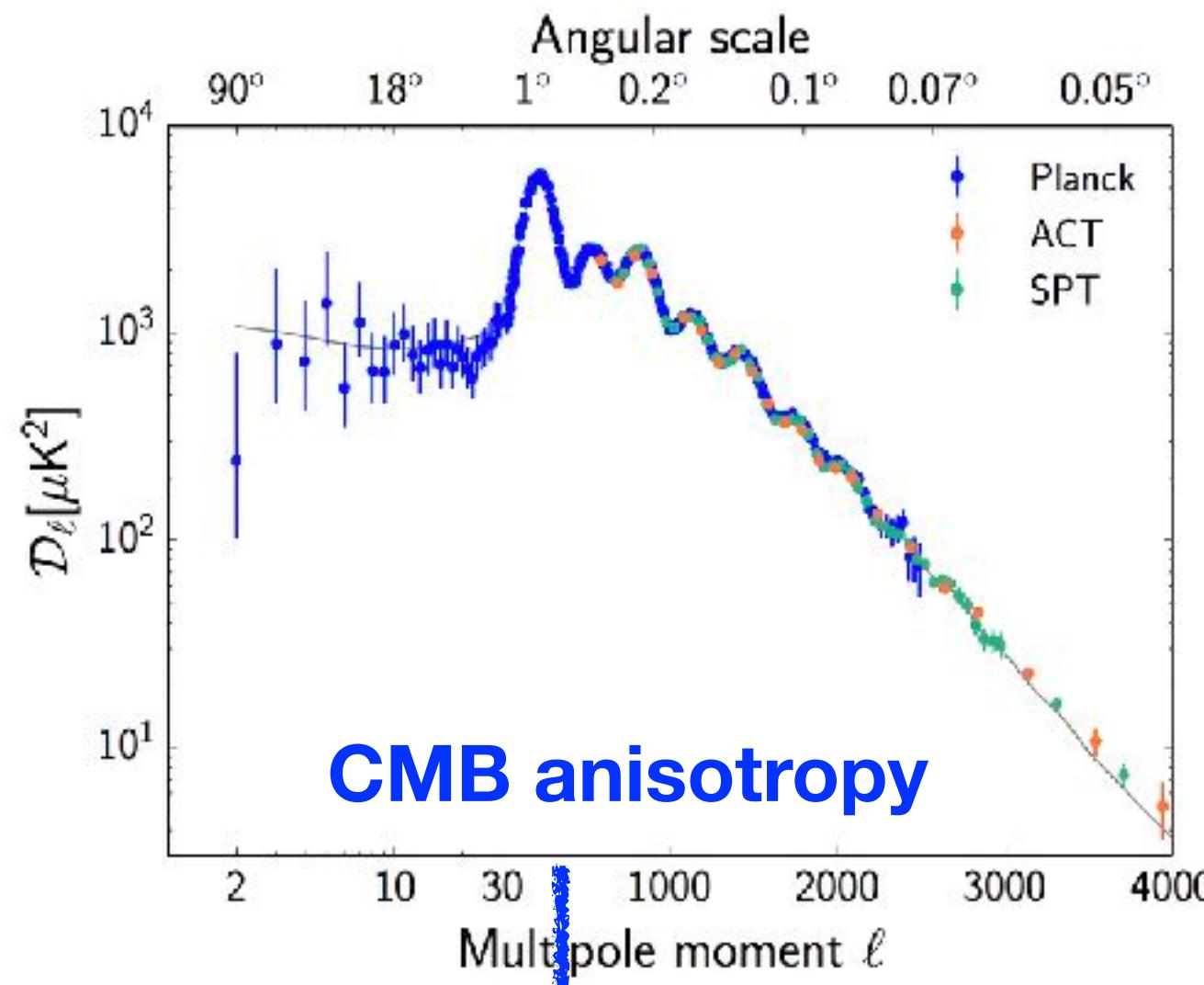




Reconstruction



Gaussian?
adiabatic?



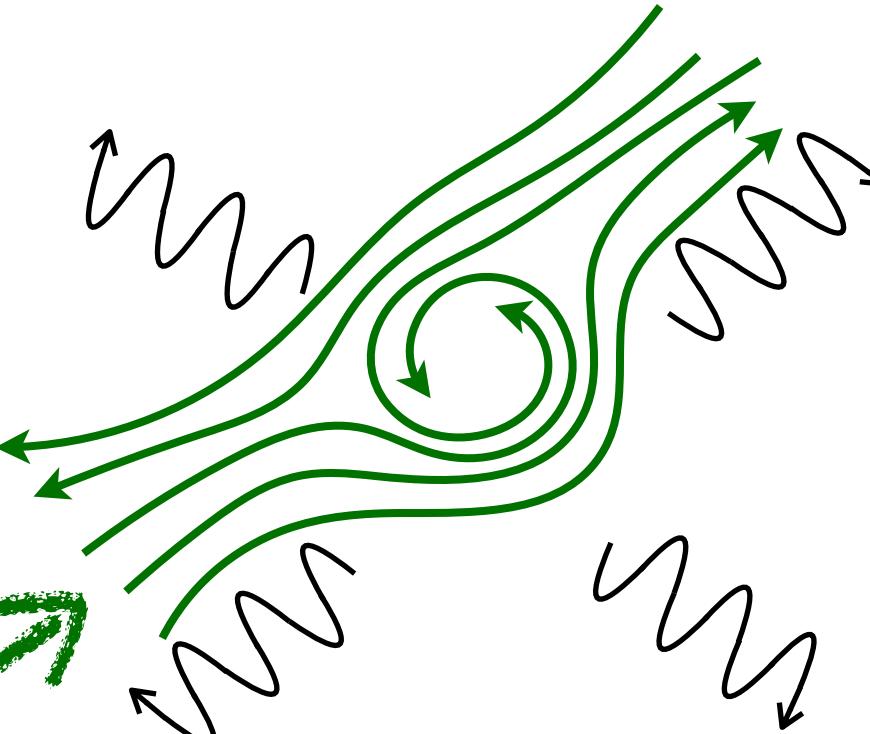
Required
by PBH
formation

$\sim 10^{-2}$

Gaussian?
adiabatic?

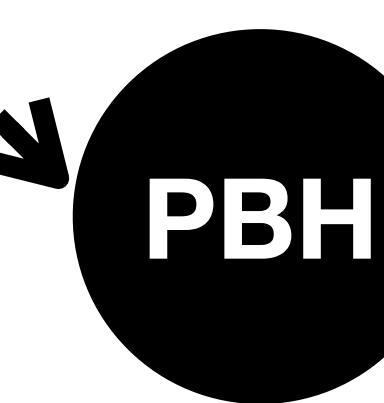
nonlinear
perturbation

Scalar Perturbation
Induced GW



gravitational
collapse

Primordial
Black Hole



Matarrese et al, PRD 47, 1311;
PRL 72, 320; PRD 58, 043504
Ananda et al, gr-qc/0612013
Bauman et al, hep-th/0703290

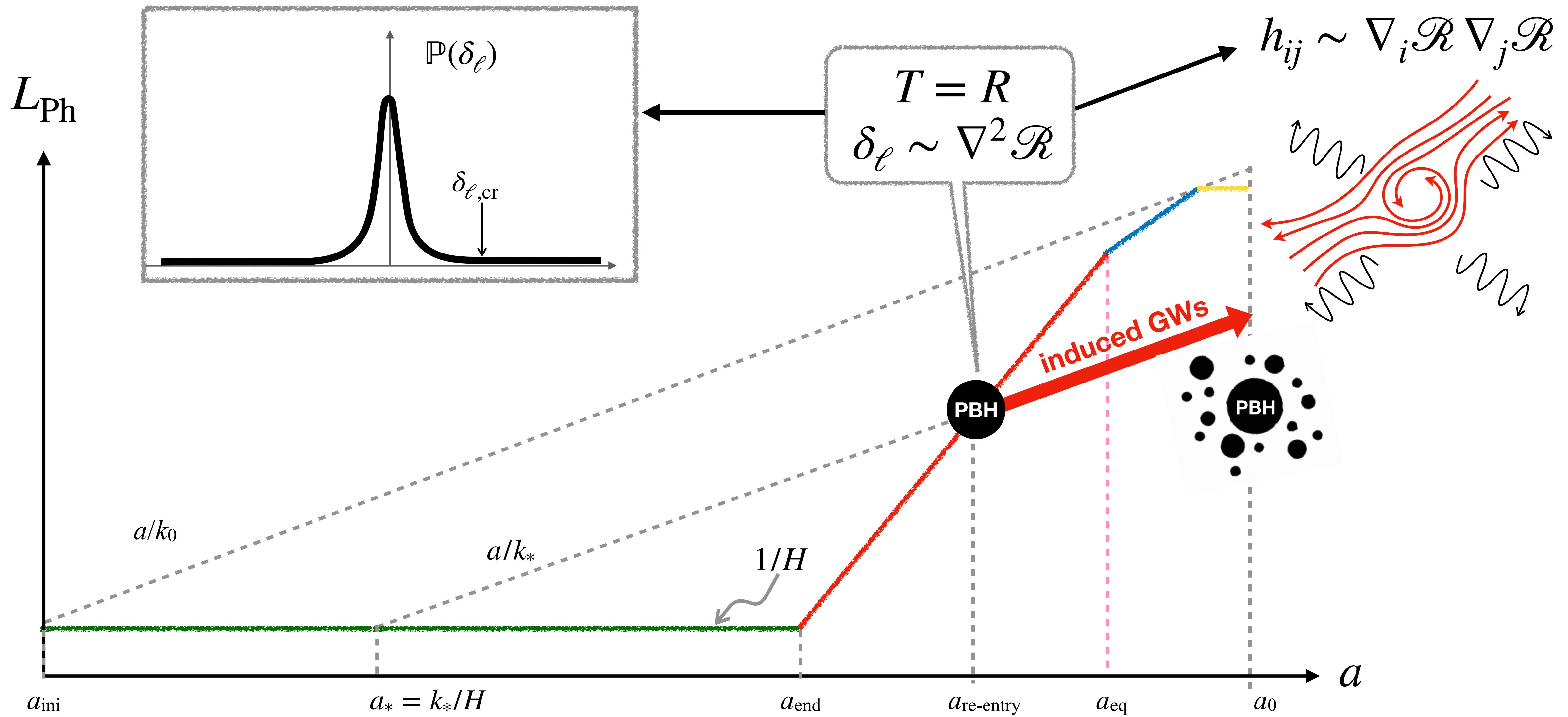
Zeldovich & Novikov 1966
Hawking 1971
Carr & Hawking 1974



Scalar induced GWs

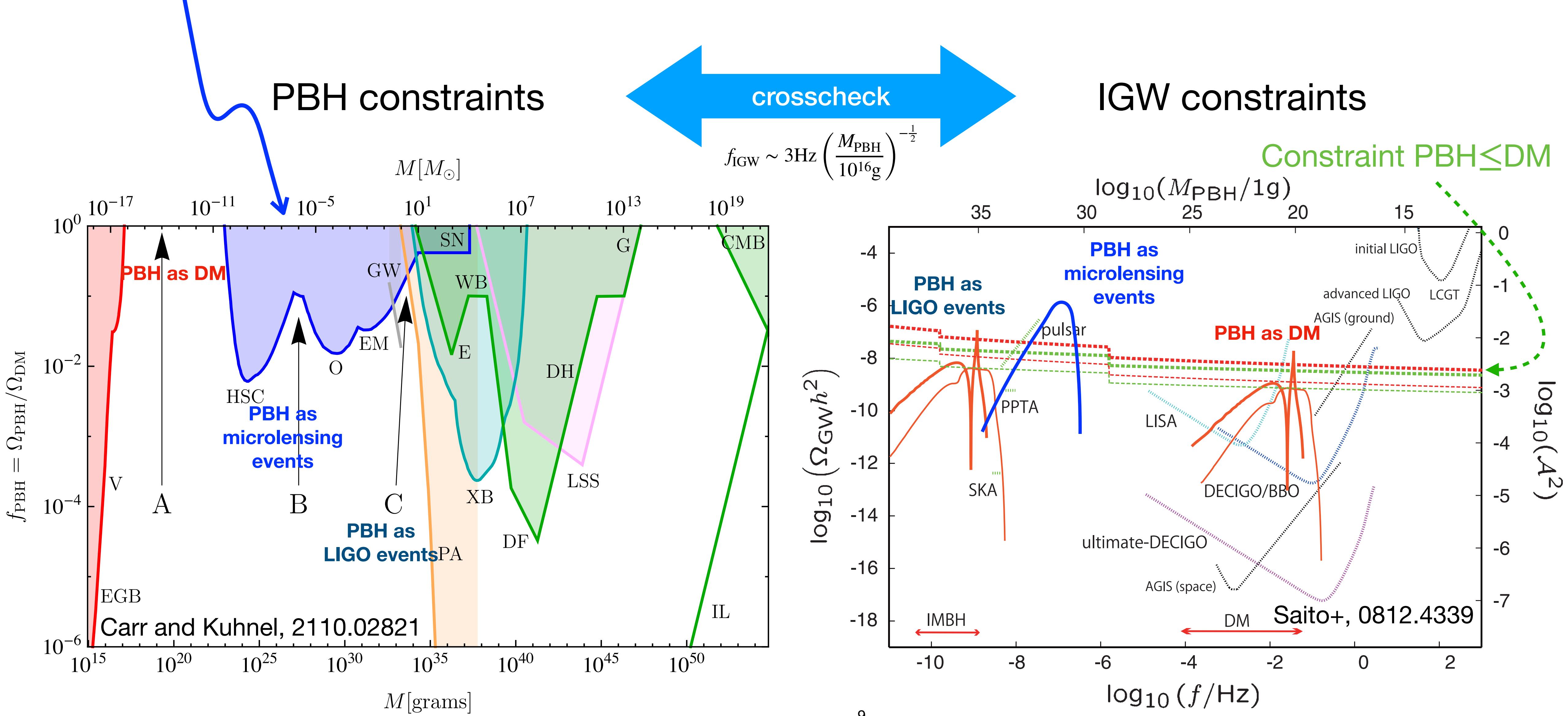
PBH

PBH-IGW crosscheck

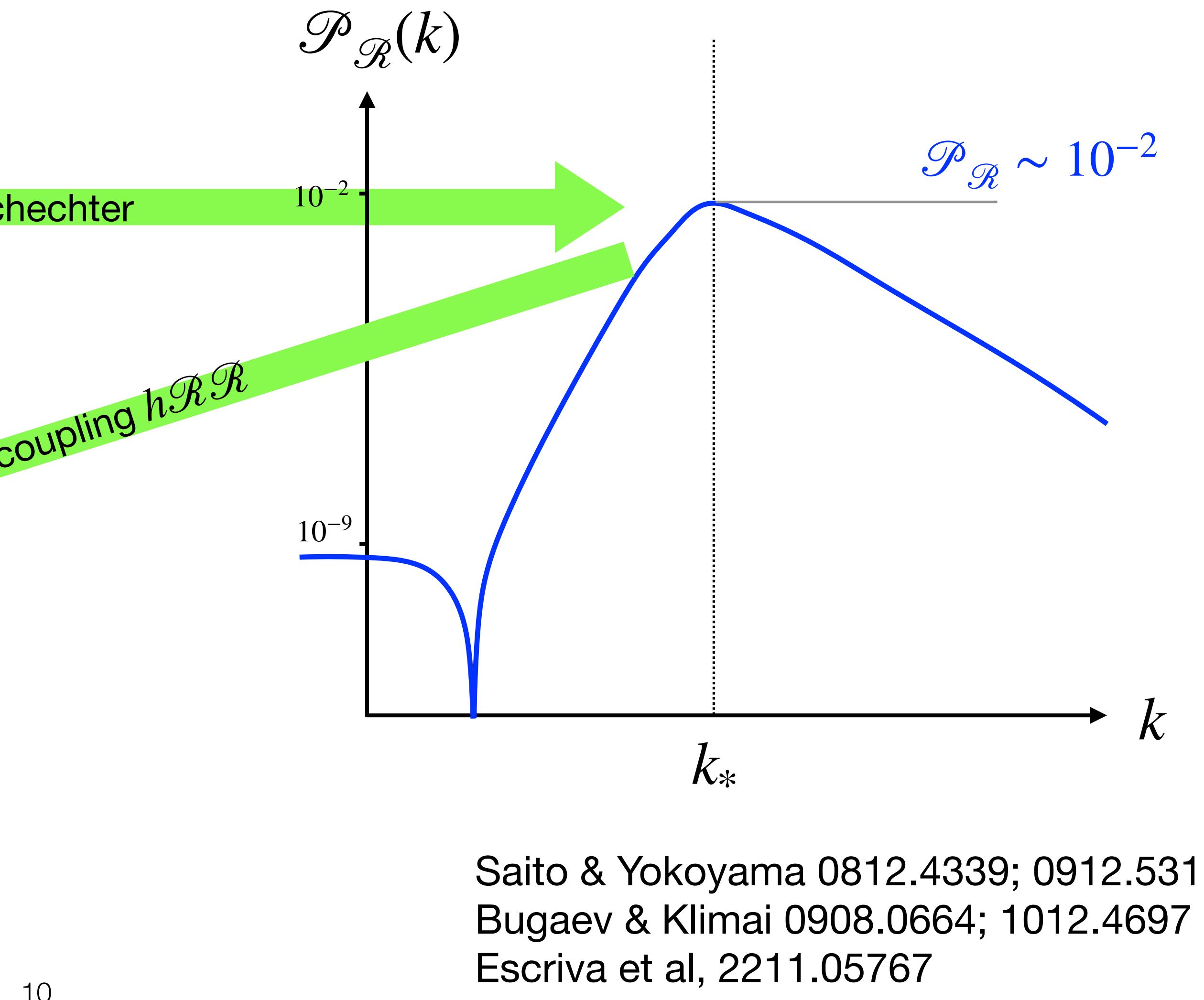
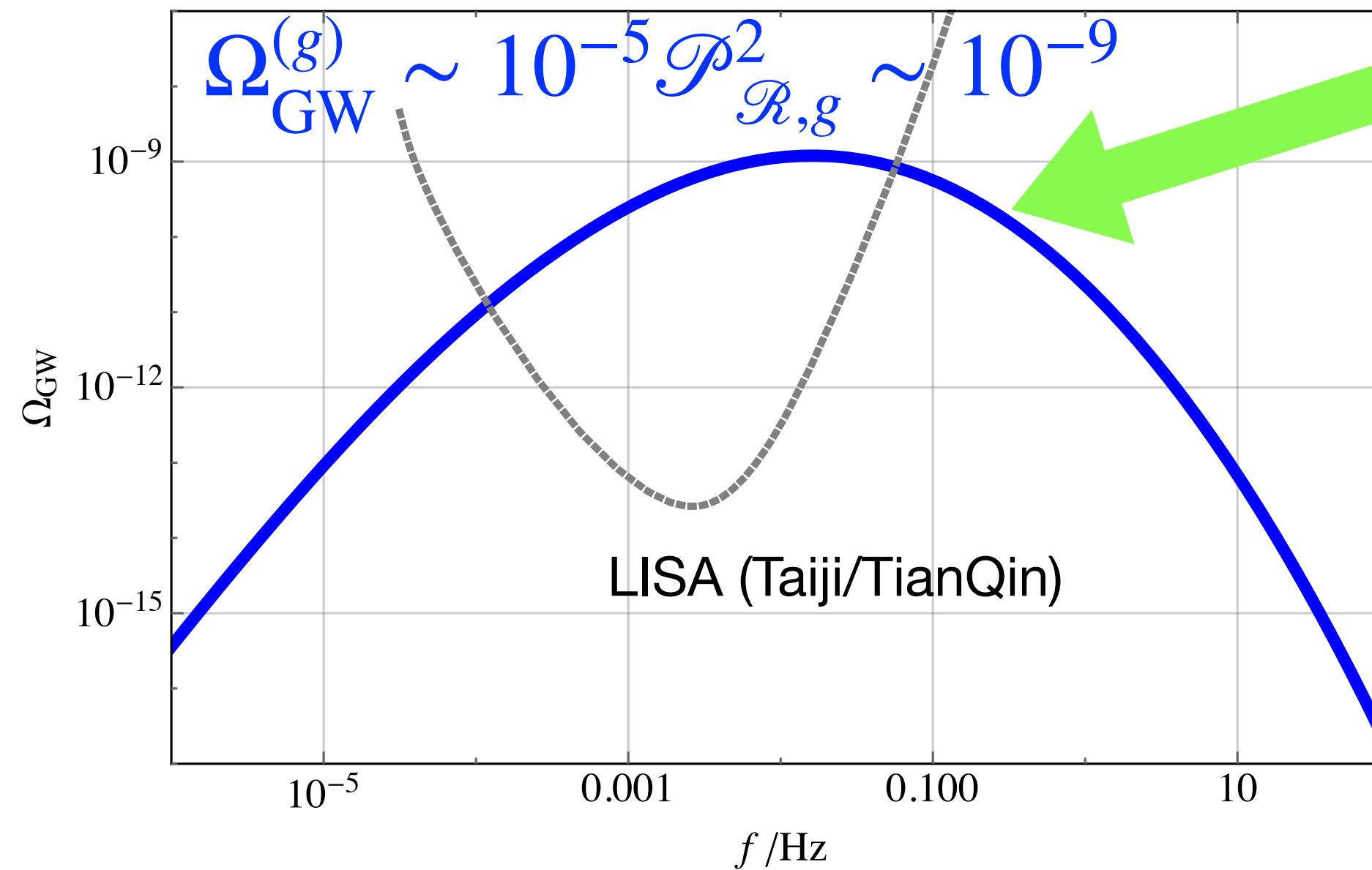
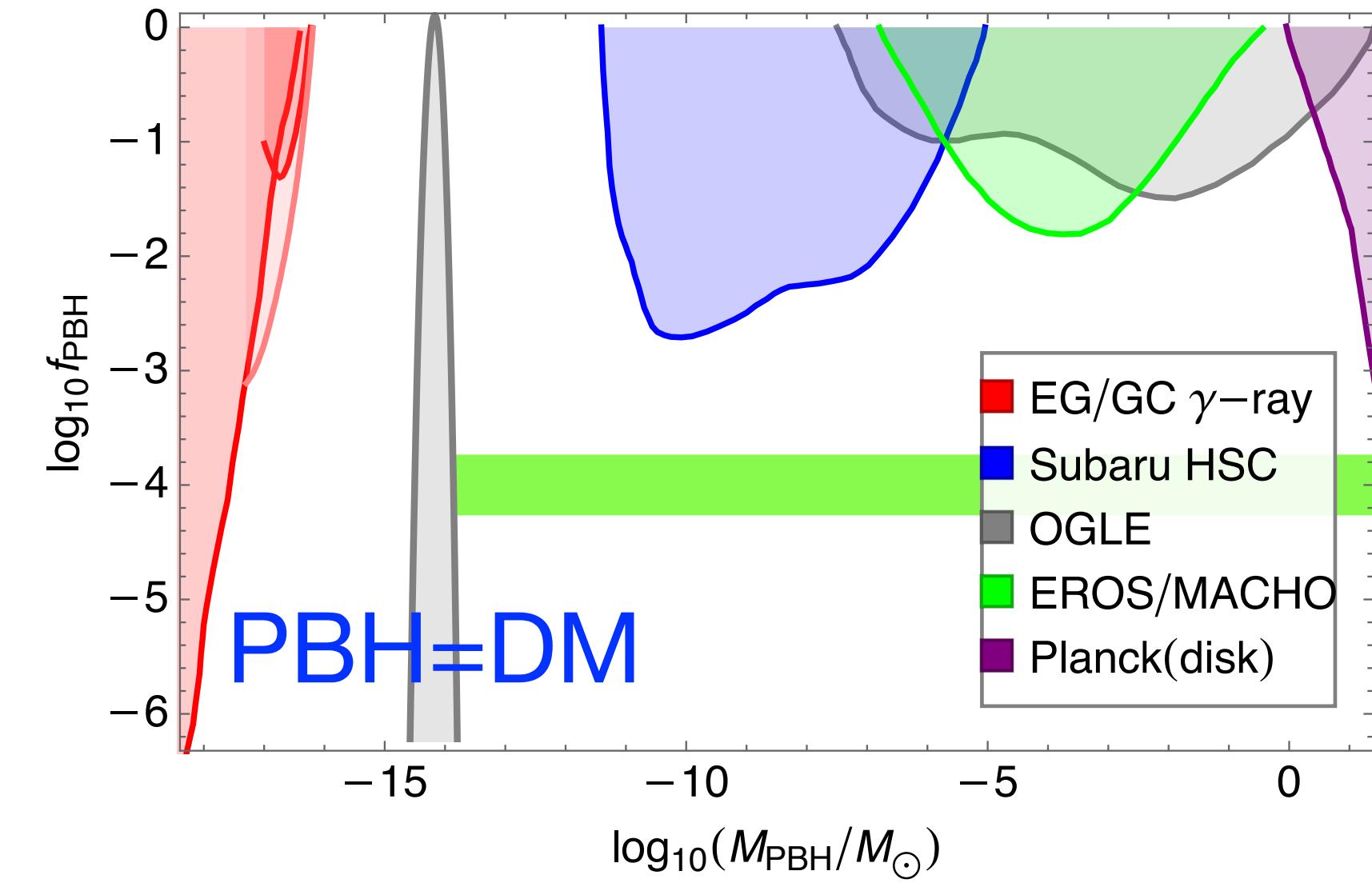


$$M_{\text{PBH}} \sim 5 \times 10^{26} \text{g} \left(\frac{\text{TeV}}{T} \right)^2$$

PBH-IGW crosscheck

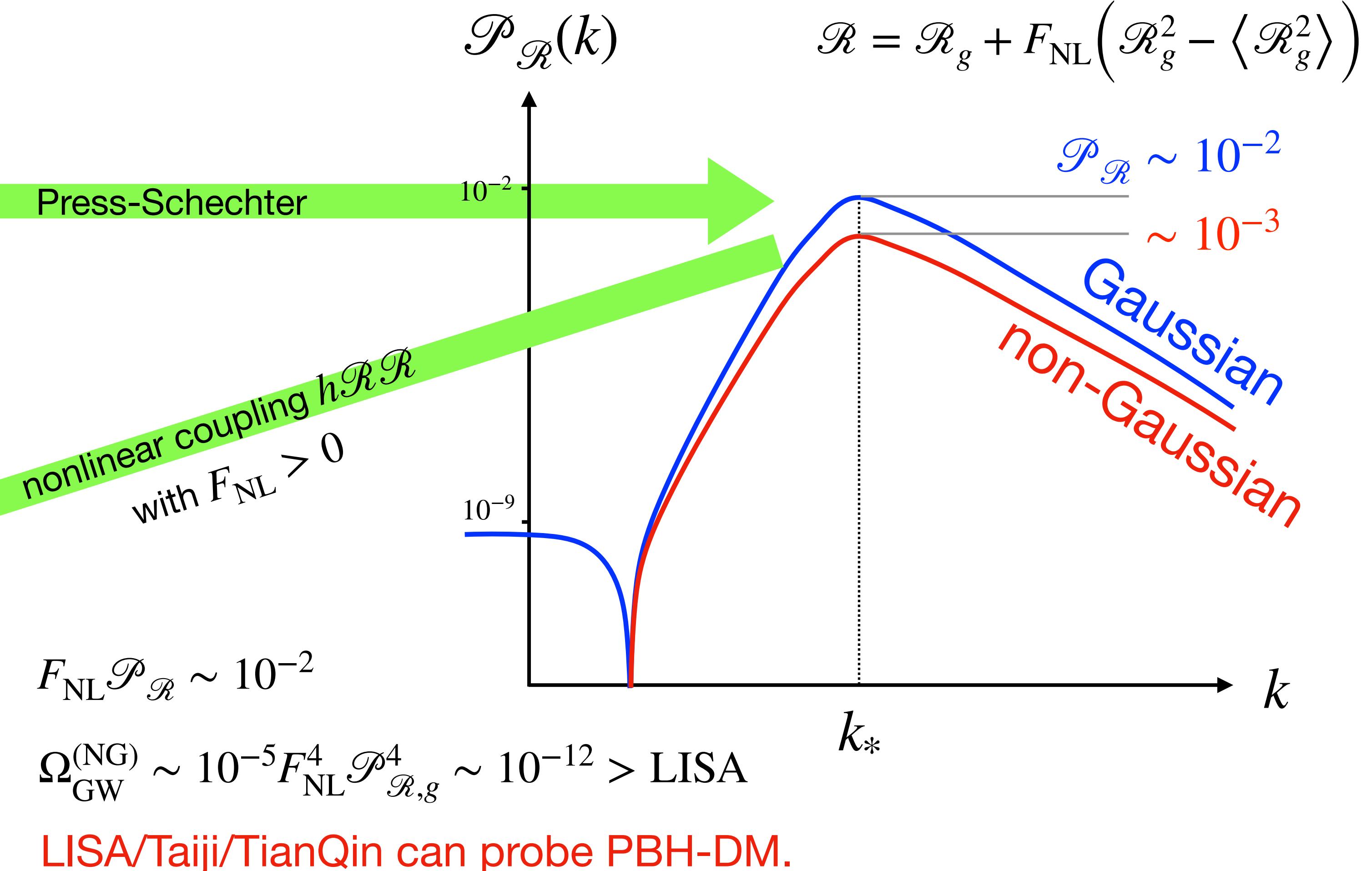
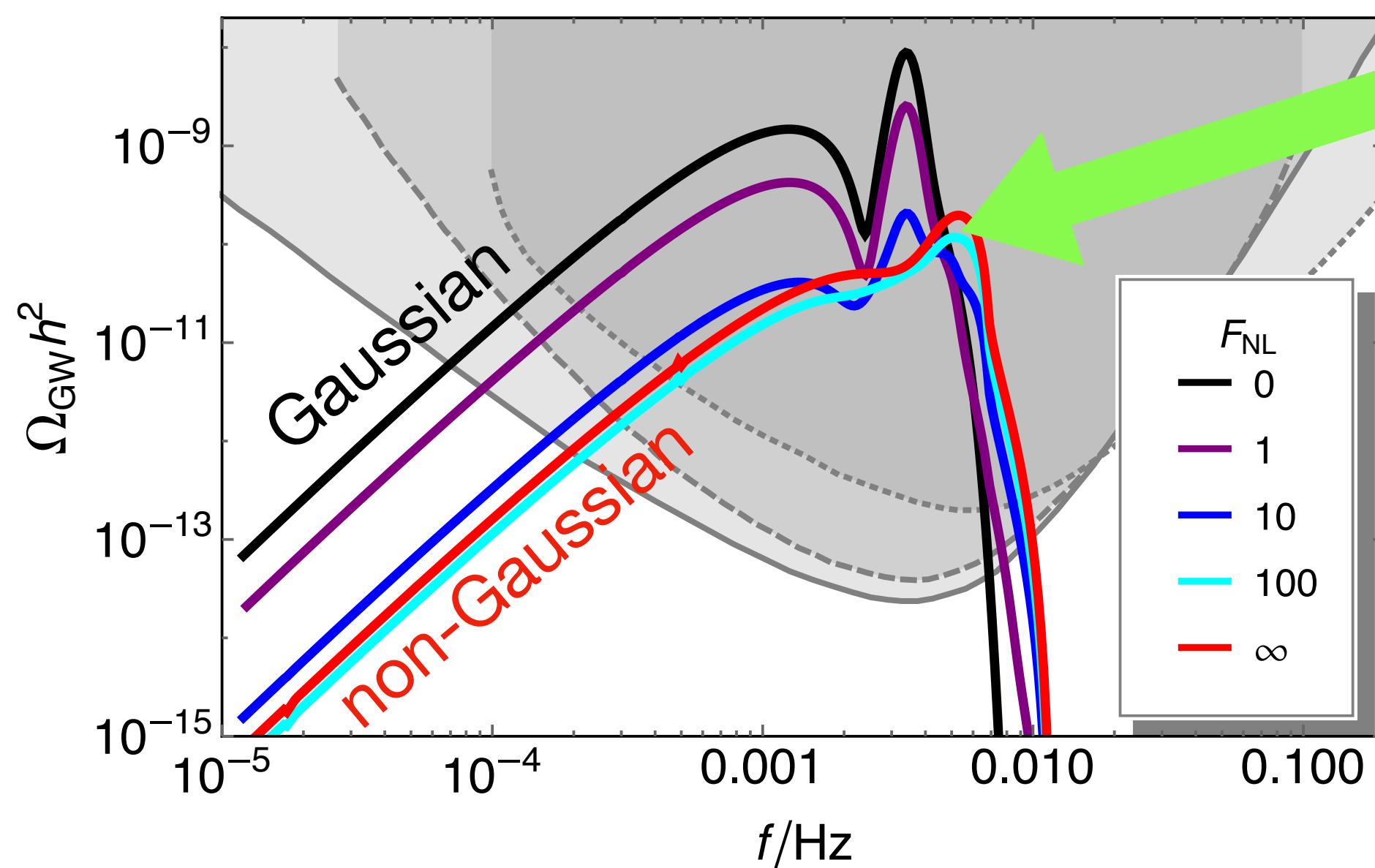
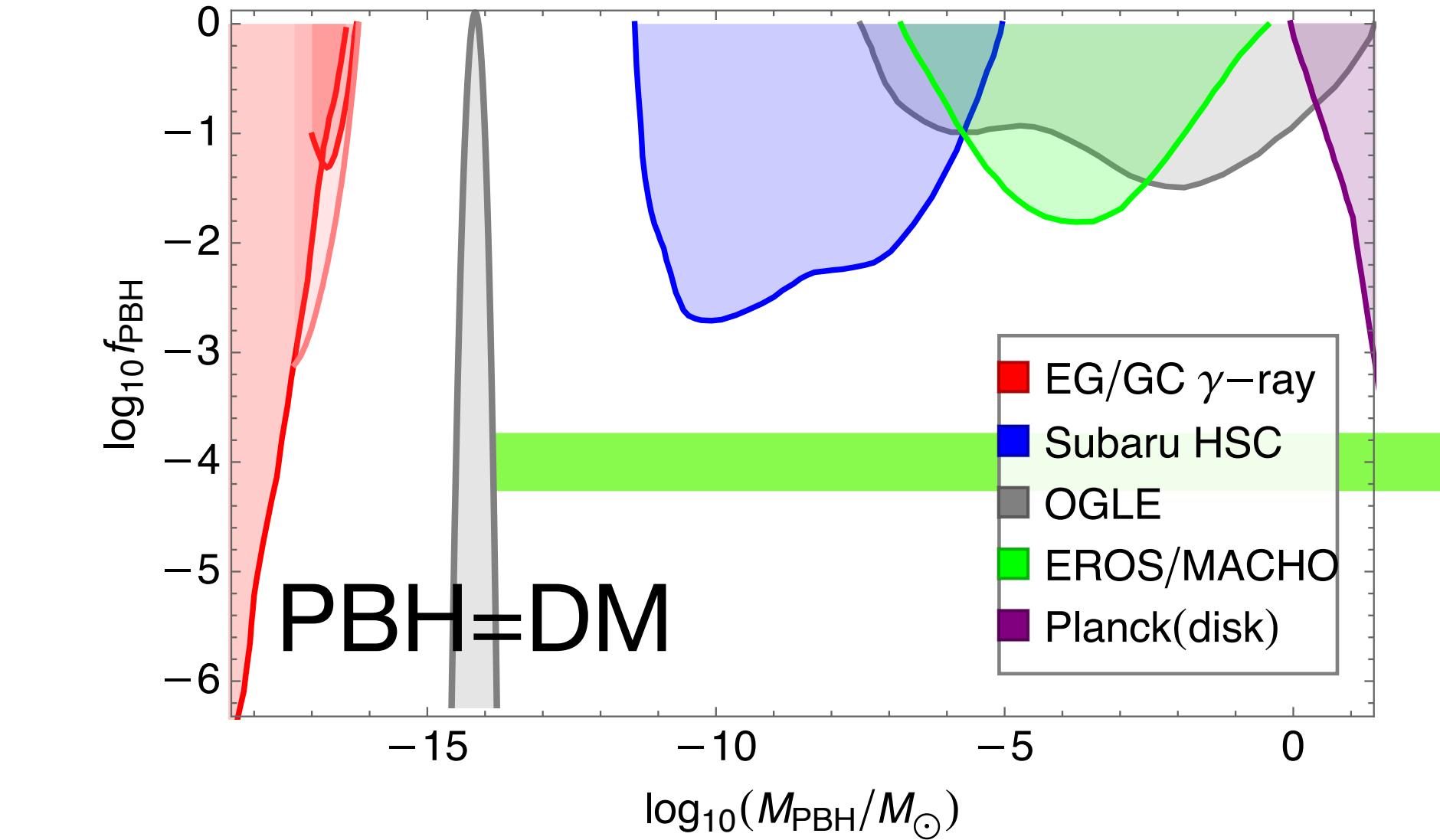


PBH-IGW crosscheck

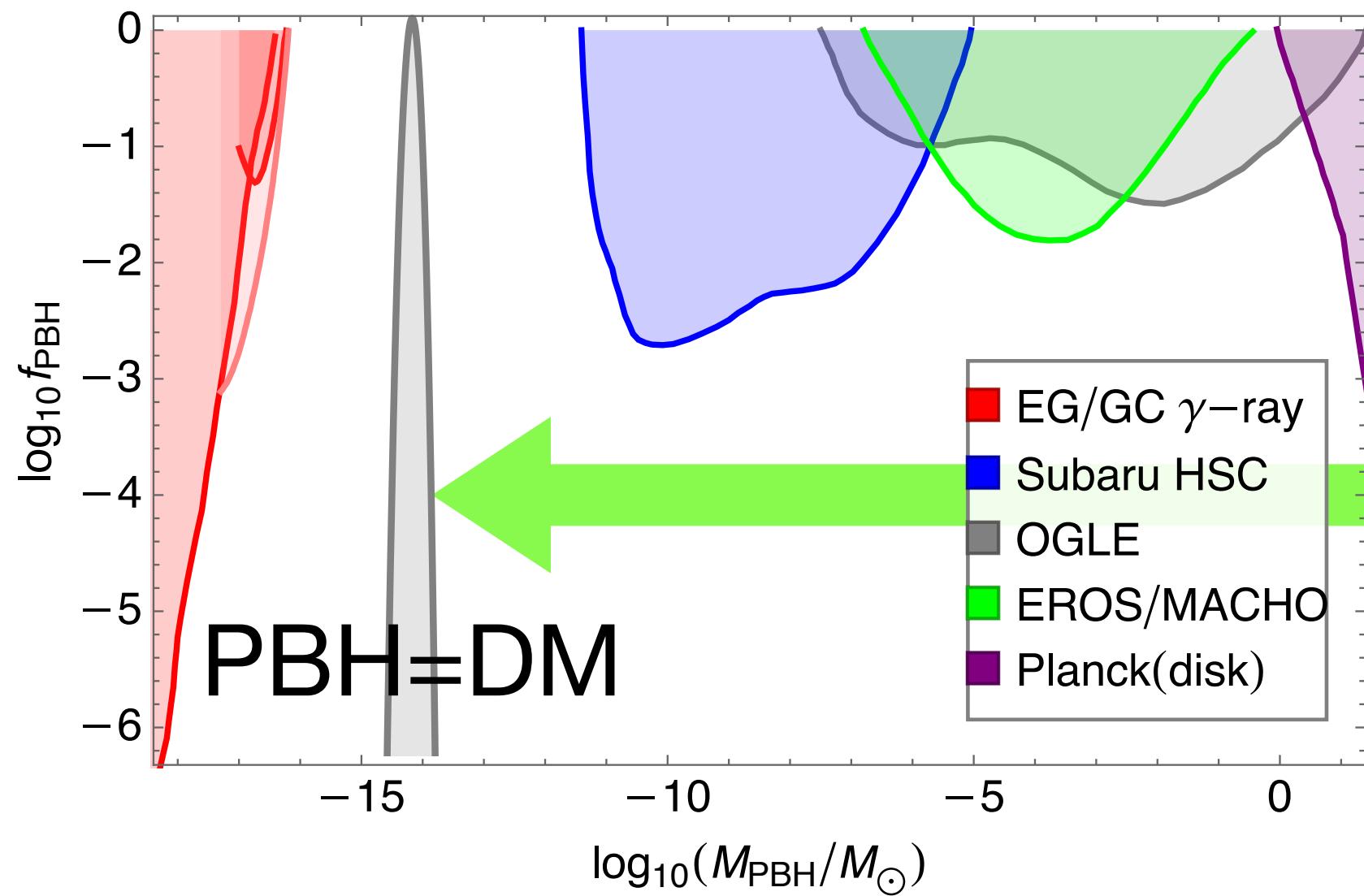


Saito & Yokoyama 0812.4339; 0912.5317
 Bugaev & Klimai 0908.0664; 1012.4697
 Escriva et al, 2211.05767

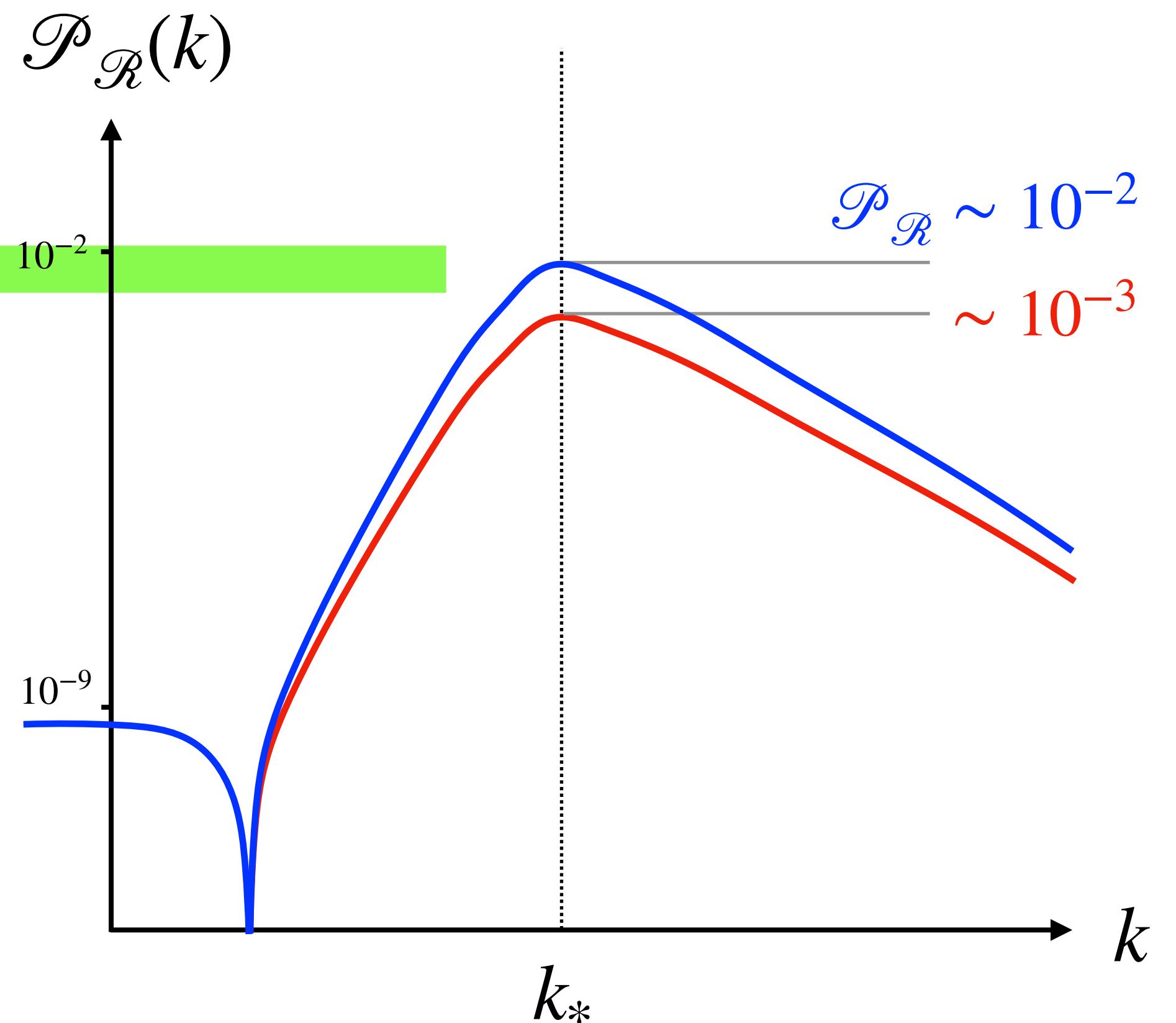
Including non-Gaussianity



More non-Gaussianities

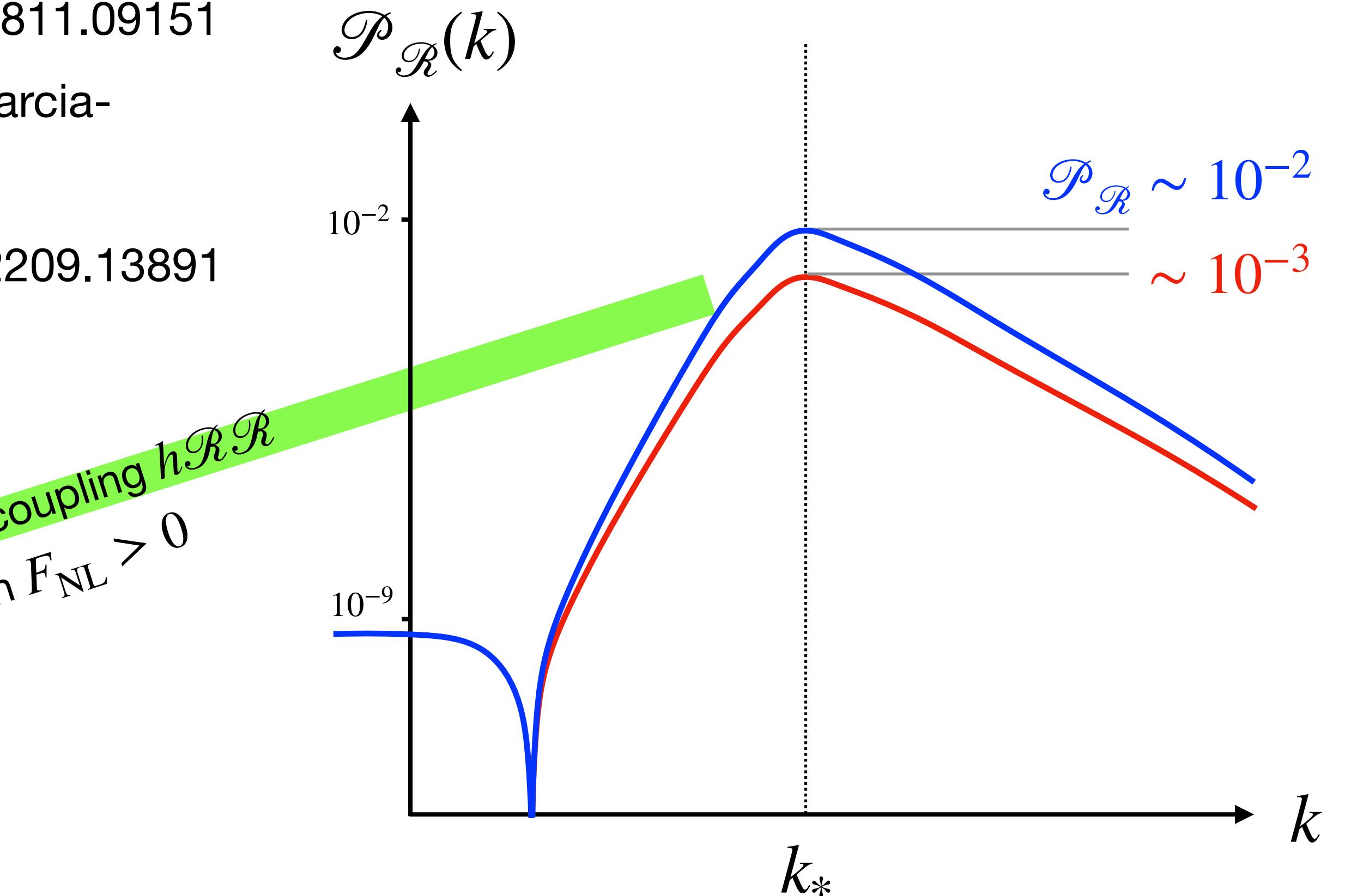
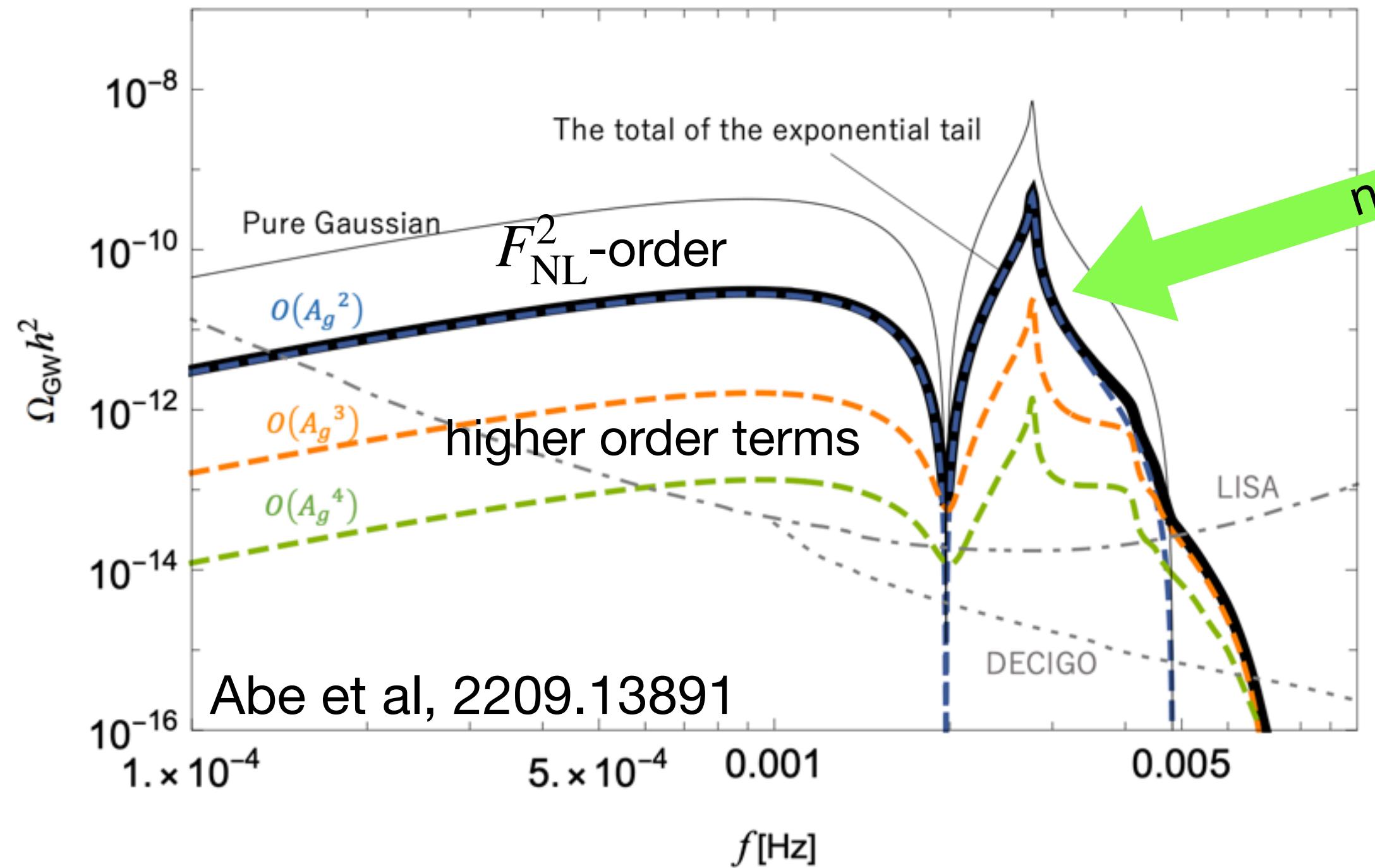


- Press-Schechter: Young & Byrnes 1307.4995; Young et al 1405.7023
- Extended Press-Schechter: Biagetti et al 2105.07810; Gow et al 2211.08348; Ferrante et al 2211.01728
- Peak theory: De Luca et al 1904.00970; Atal et al 1905.13202; Yoo et al 2008.02425; Kitajima et al 2109.00791; Escrivà et al 2202.01028; Germani & Sheth 1912.07072;
- Non-Gaussianity is important in calculating the PBH abundance.

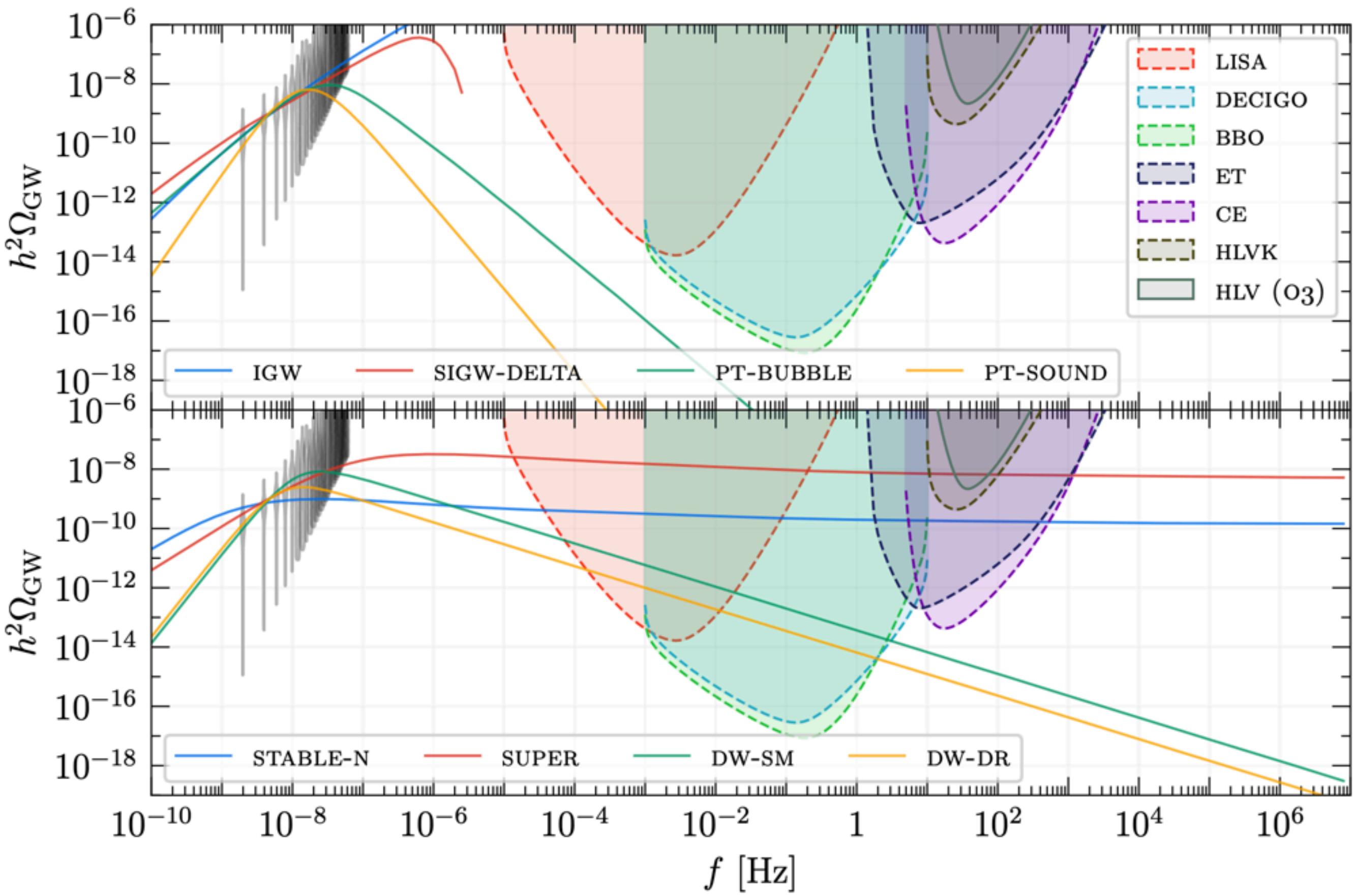
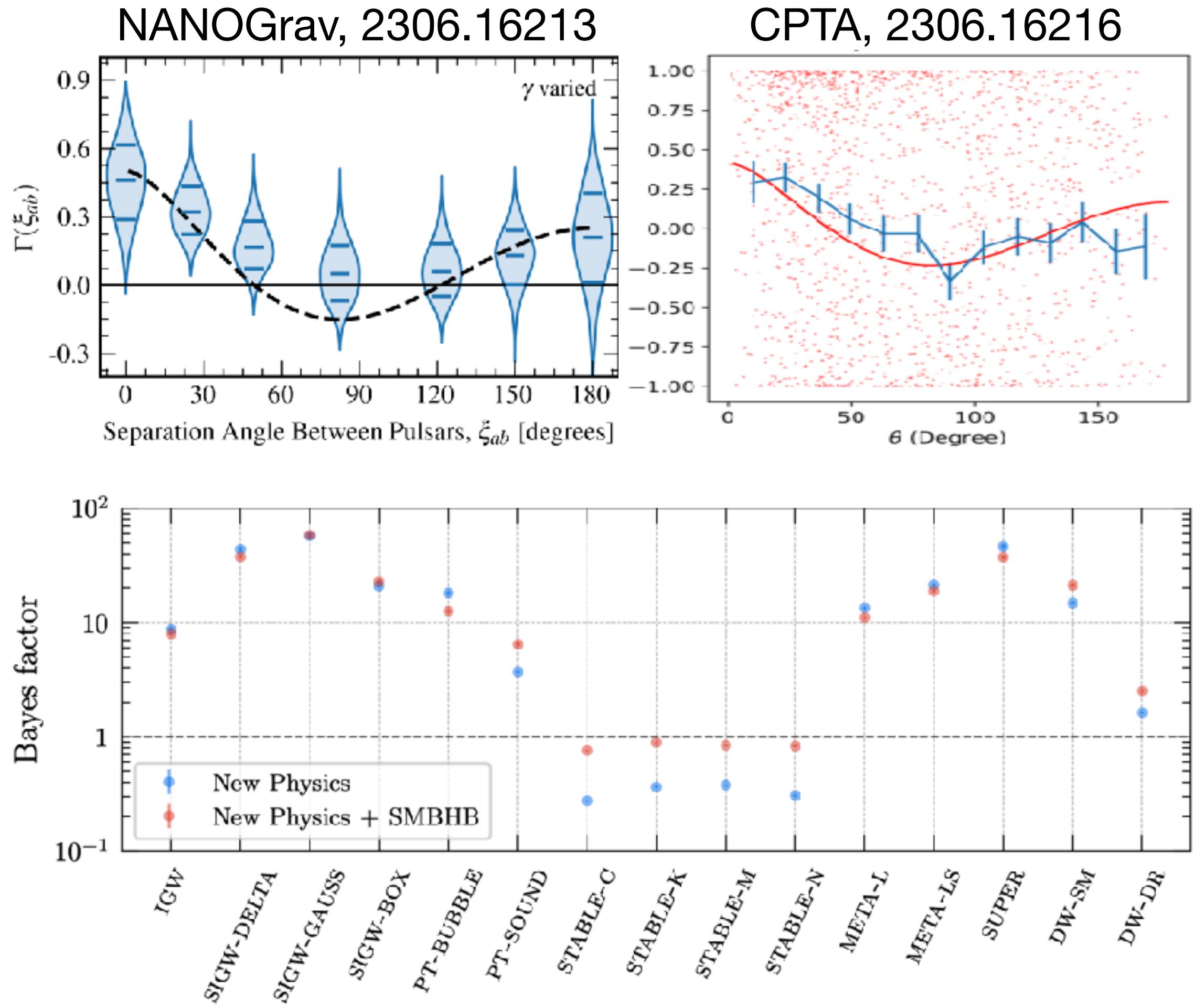


Non-Gaussianities in IGW

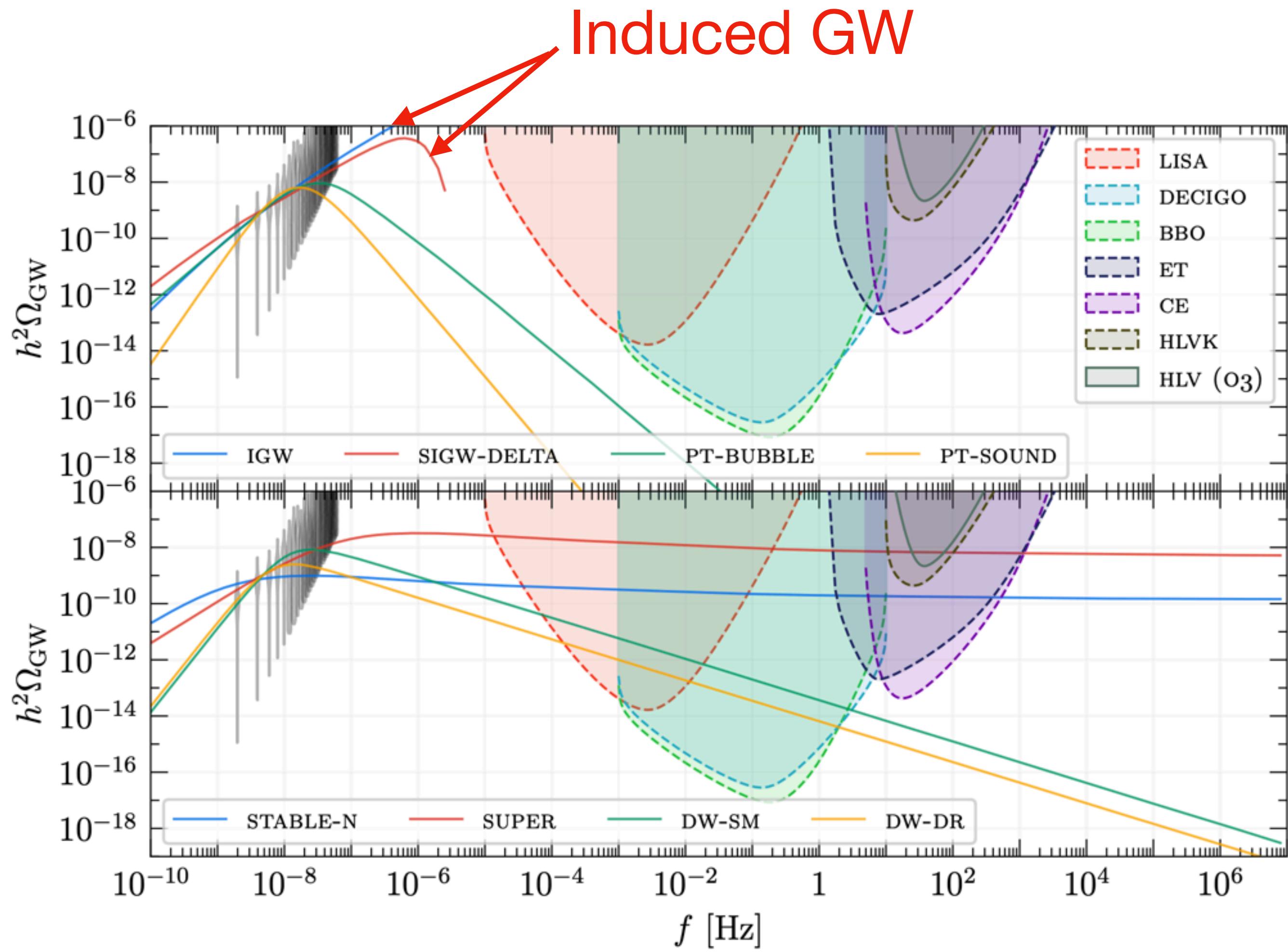
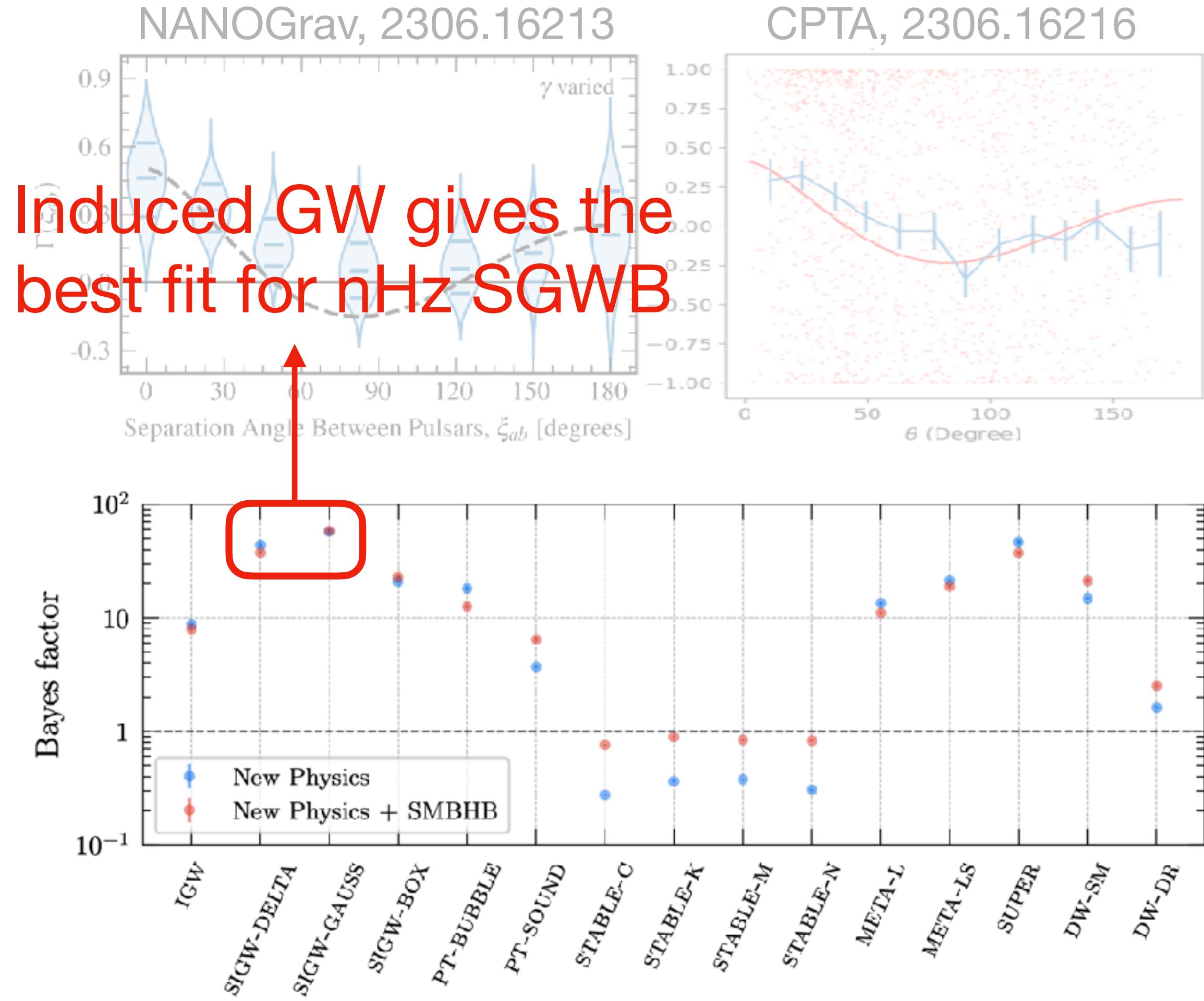
- Quadratic expansion: Cai, SP, Sasaki 1810.11000; Unal 1811.09151
- Higher orders: Adshead, Lozanov, Weiner 2105.01659; Garcia-Saenz, Pinol, Renaux-Petel, Werth, 2207.14267
- For exponential-tail of USR: Abe, Inui, Tada, Yokoyama, 2209.13891
- **The impact of non-Gaussianity on induced GW is mild.**



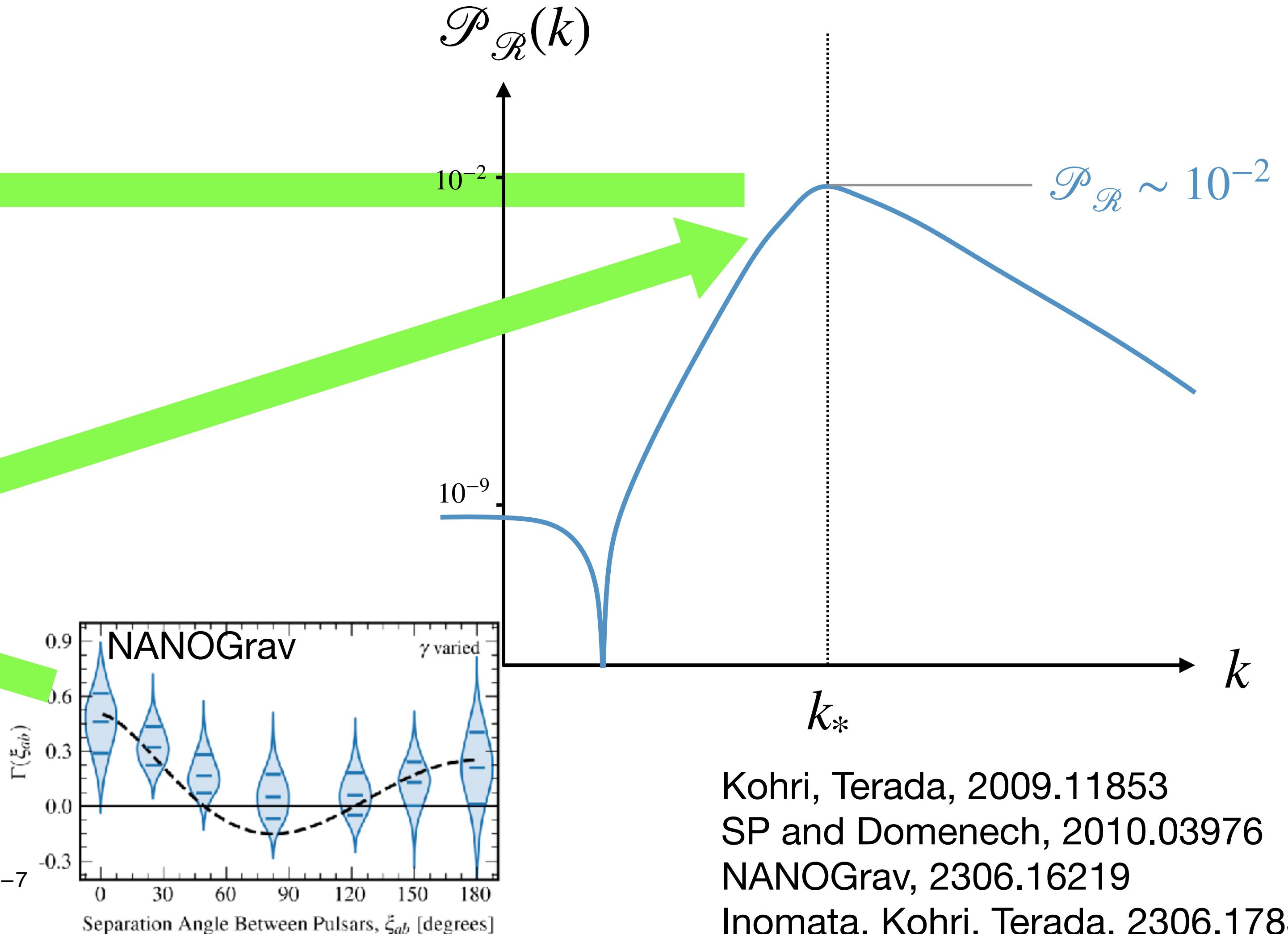
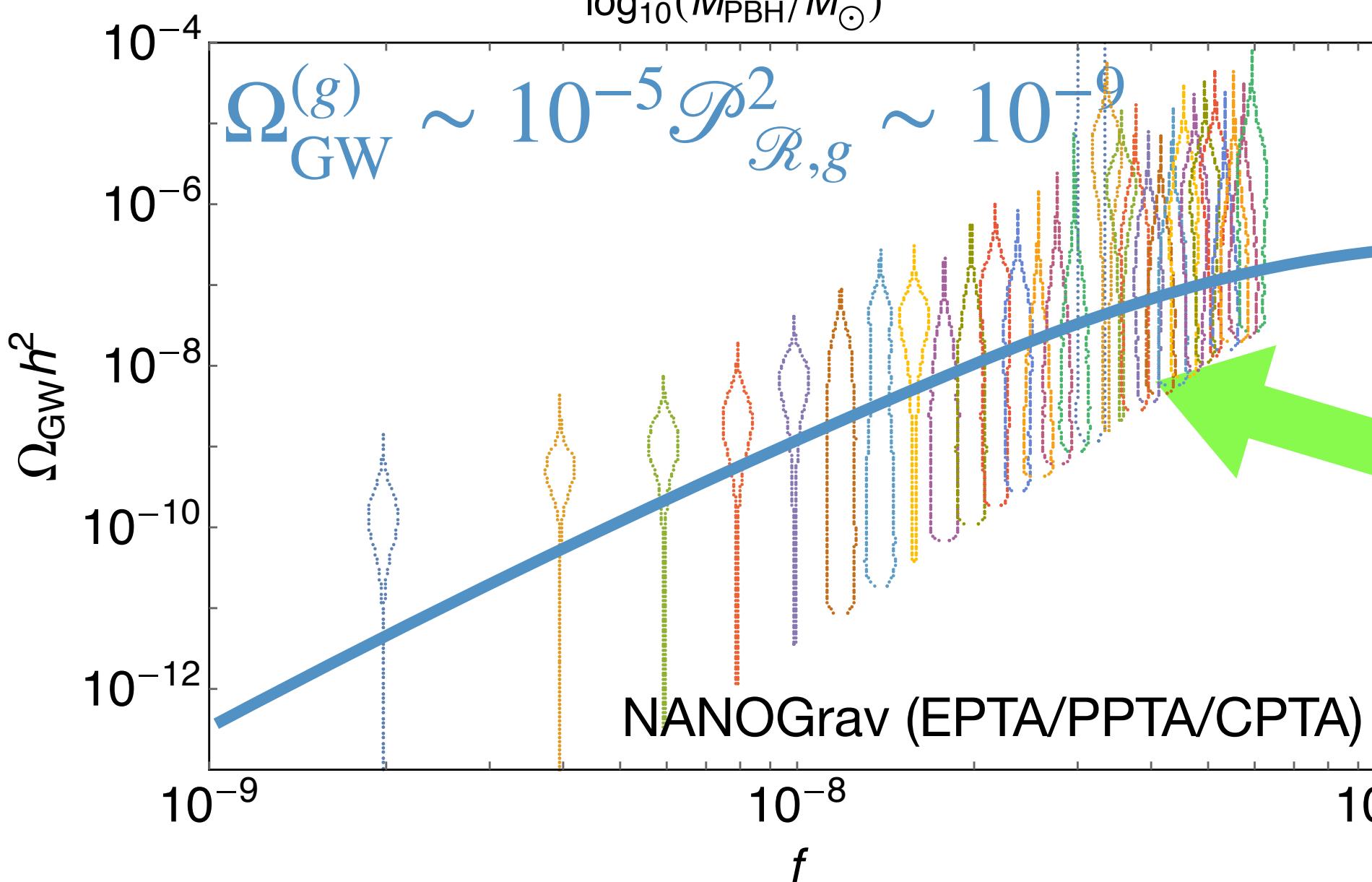
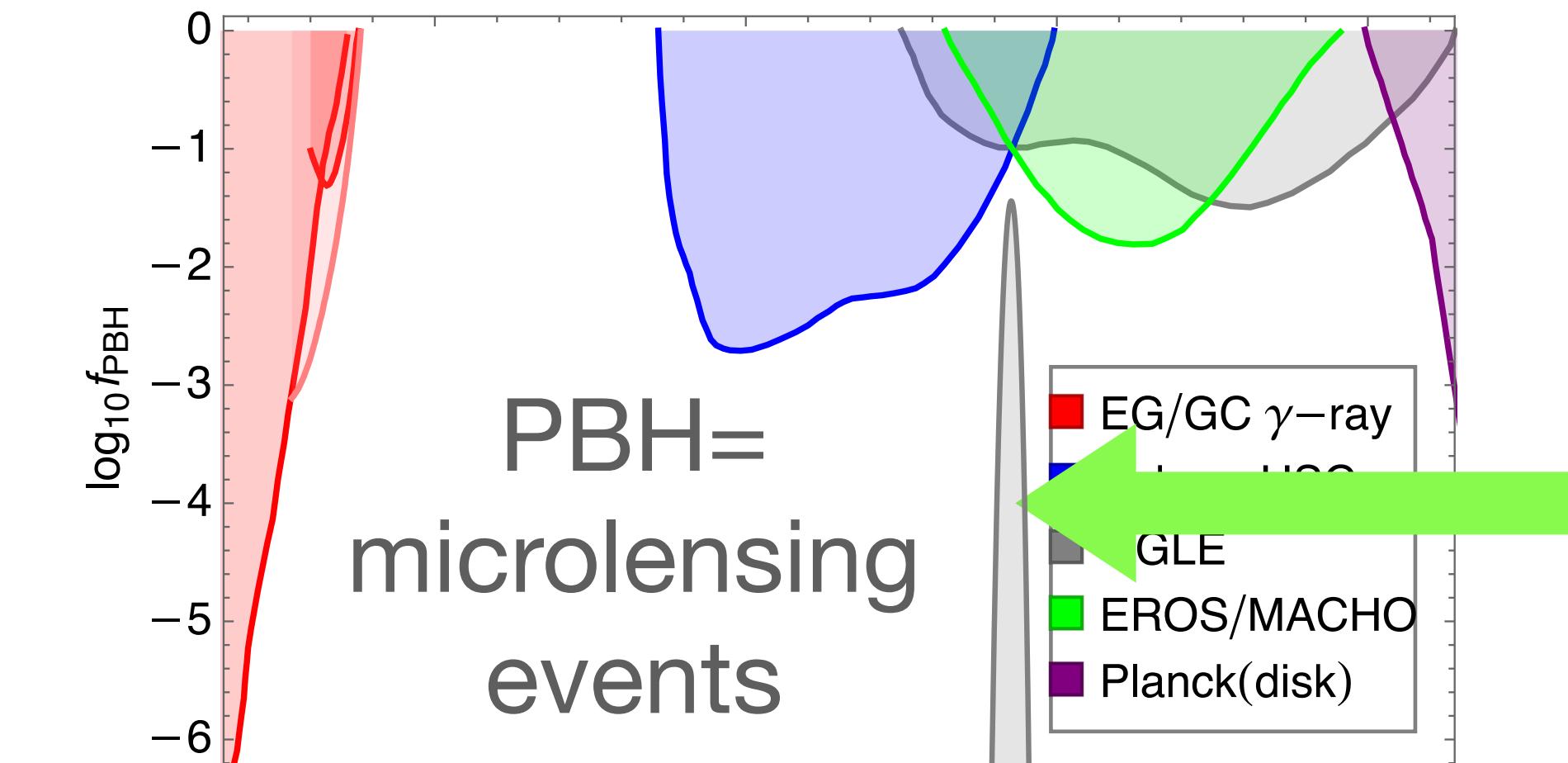
Application: nHz SGWB



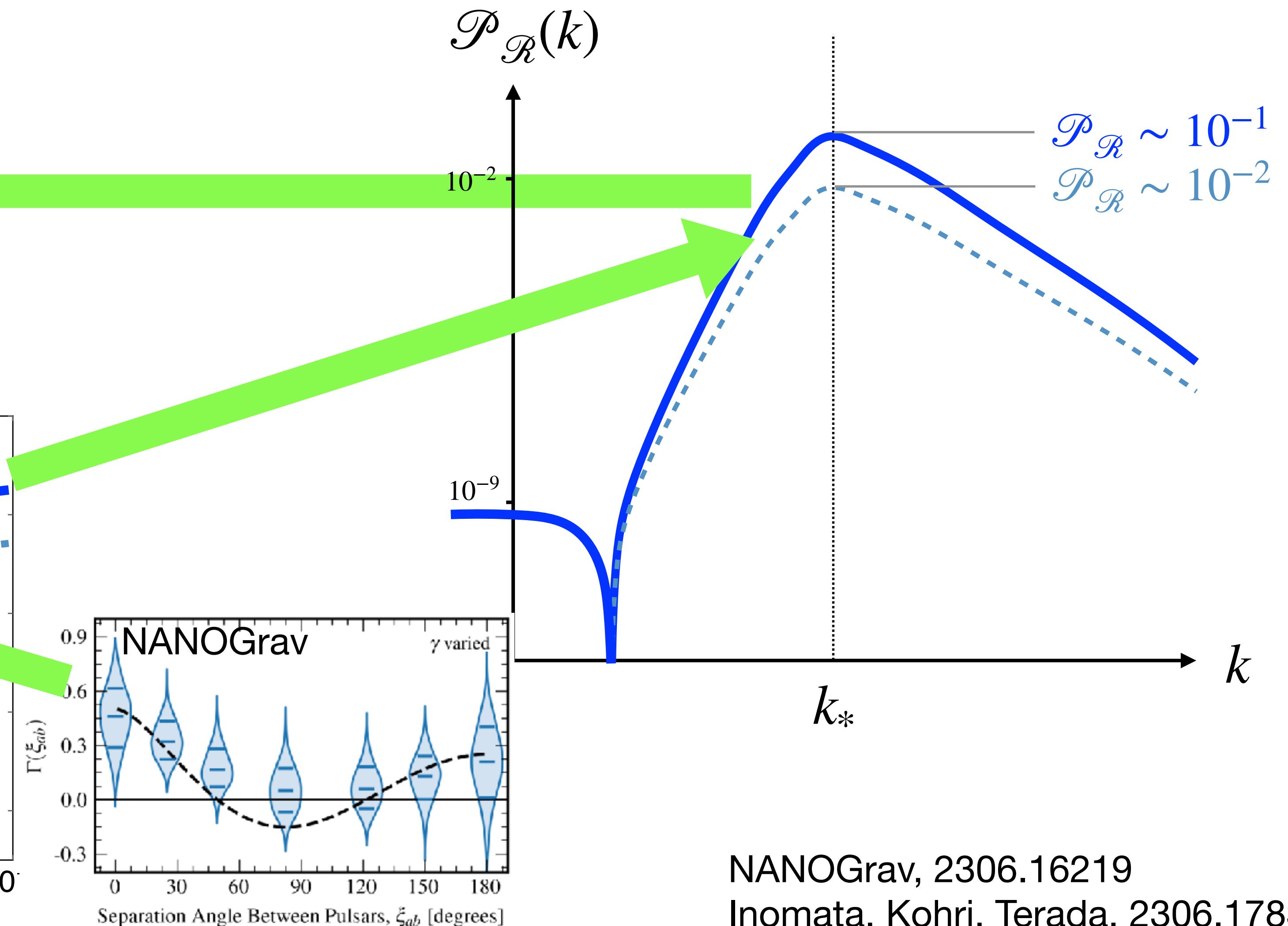
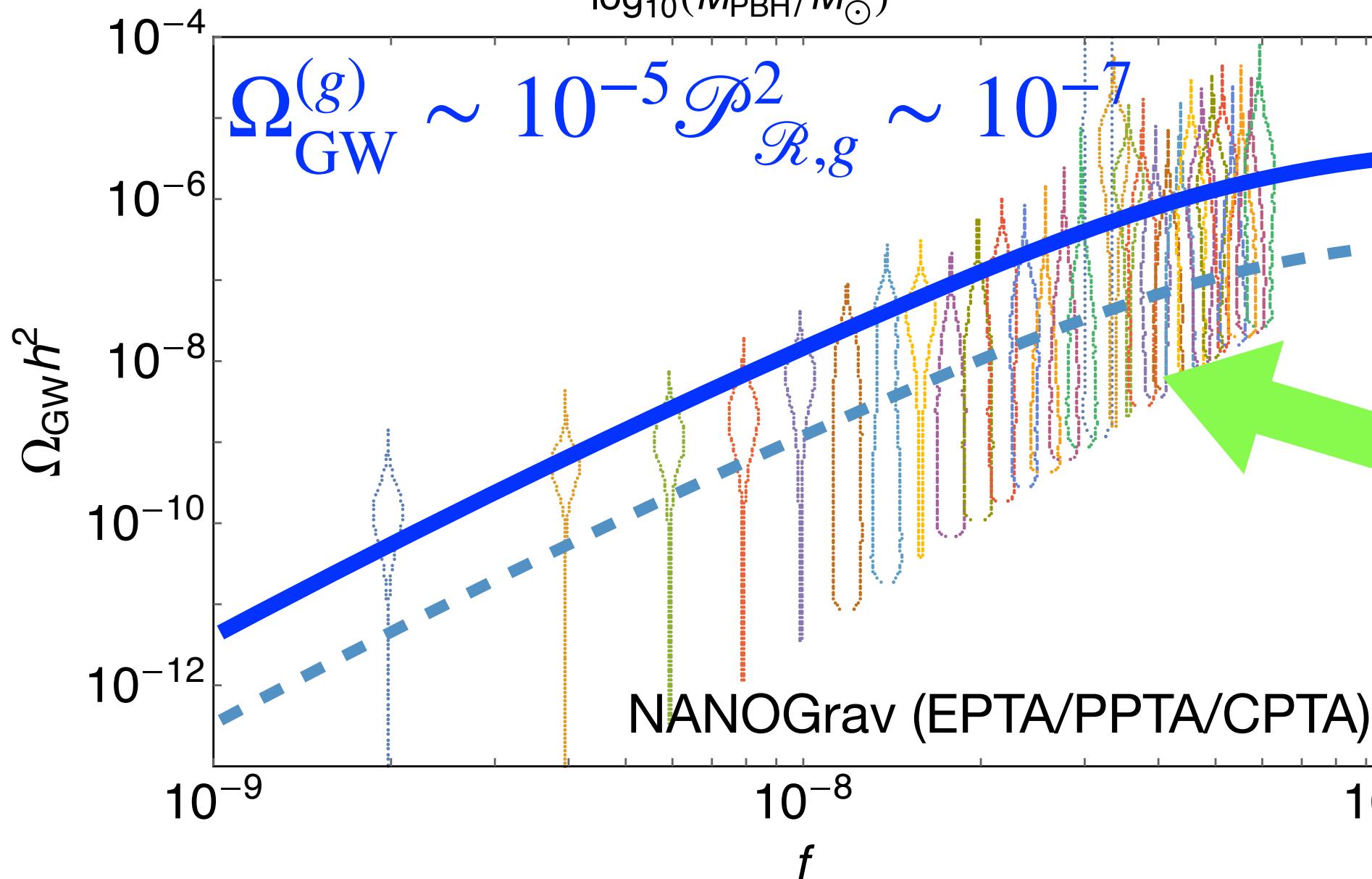
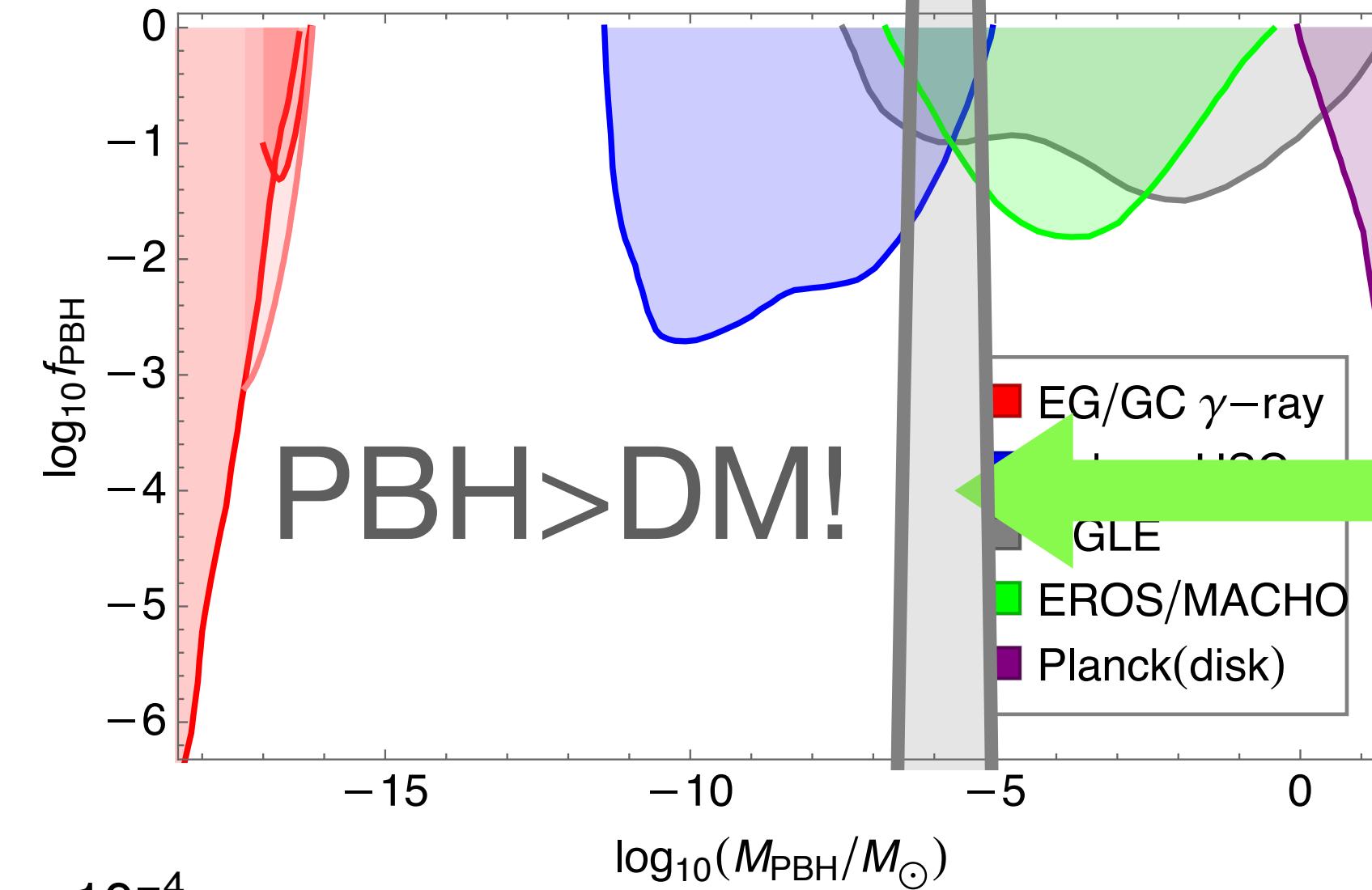
Application: nHz SGWB



Crosscheck by PBH and IGW

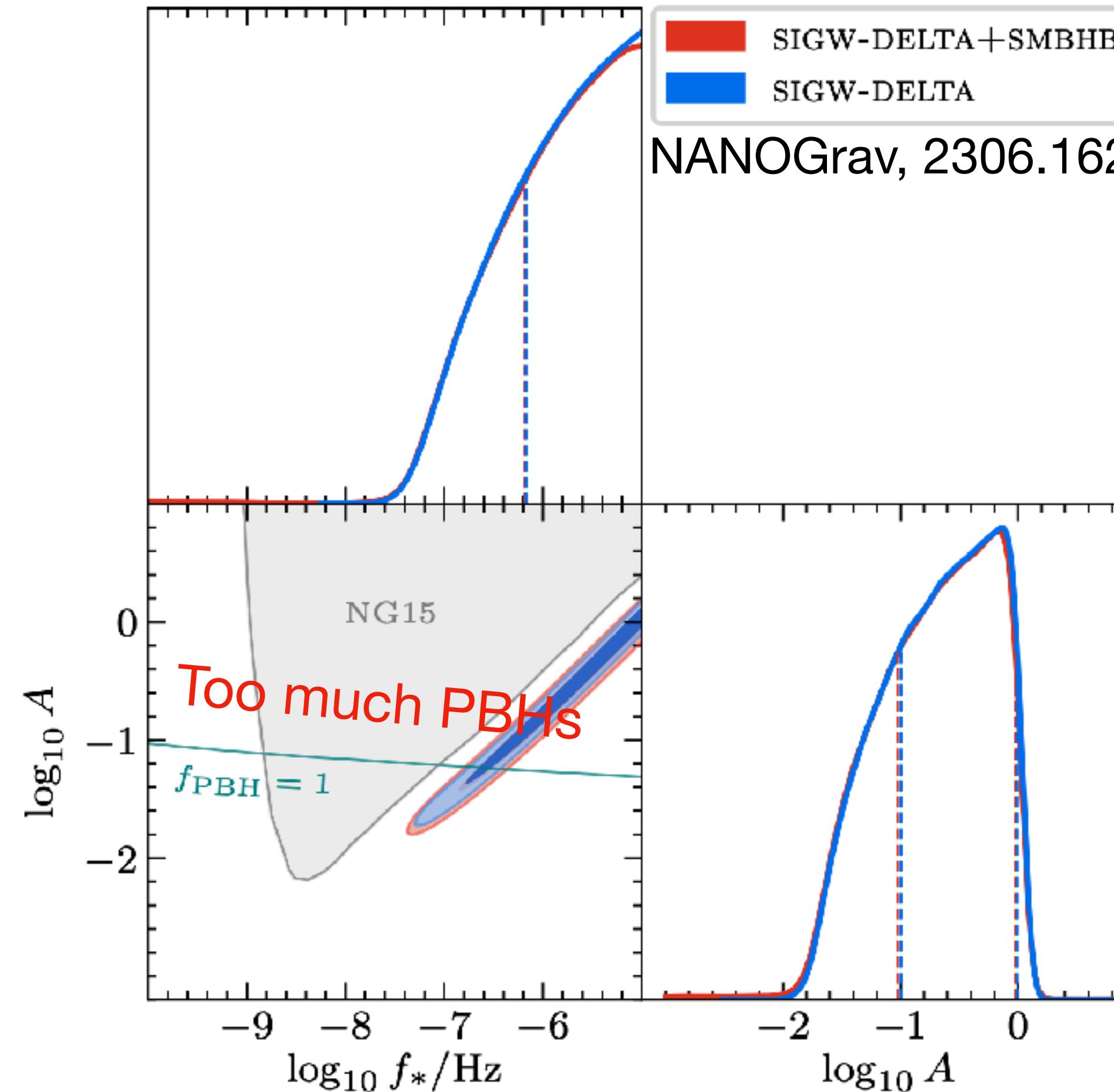


Crosscheck by PBH and IGW



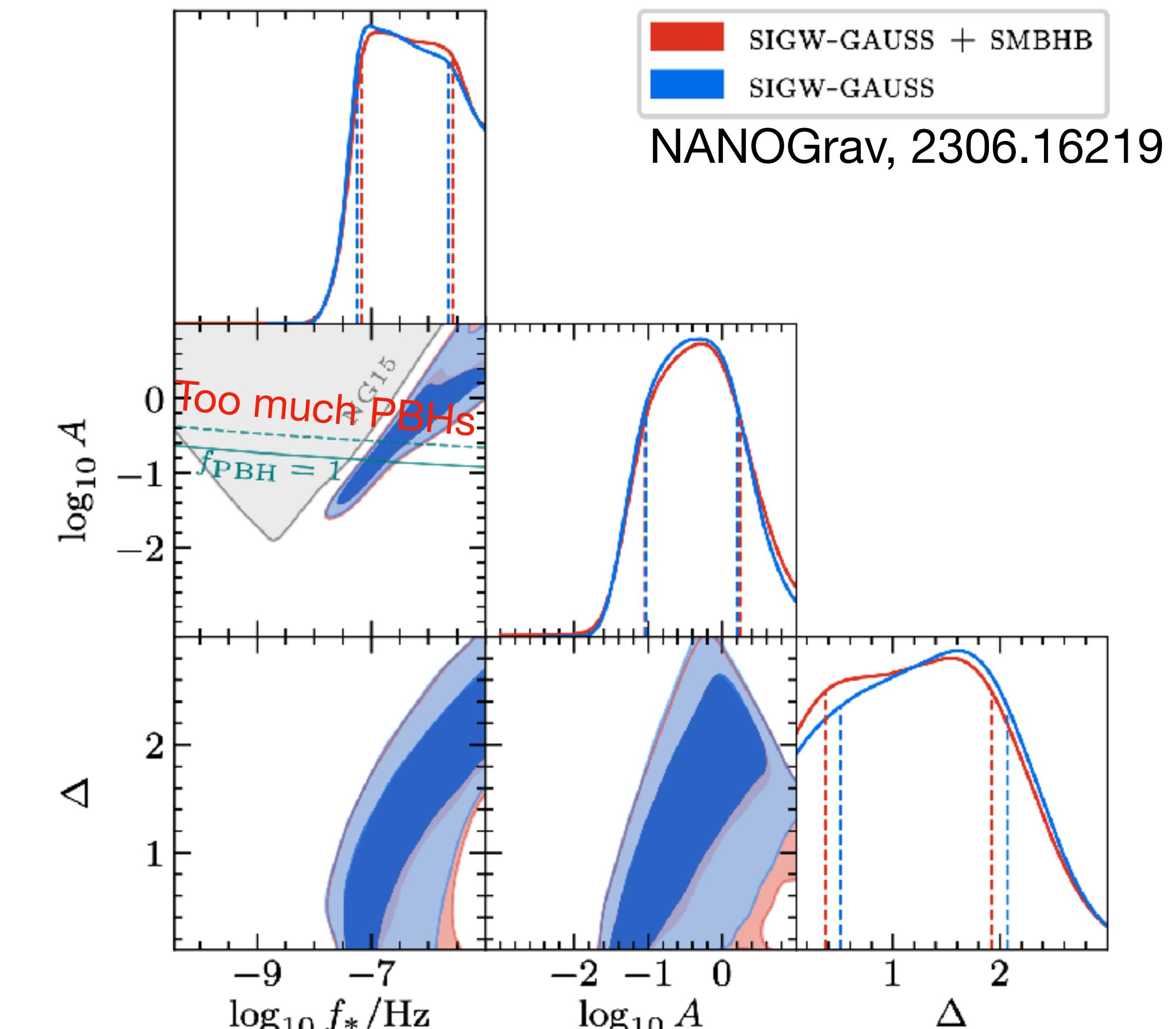
NANOGrav, 2306.16219
Inomata, Kohri, Terada, 2306.17834

IGW as nHz SGWB



$$\mathcal{P}_{\mathcal{R}} = A \delta(\ln k - \ln k_*)$$

monochromatic

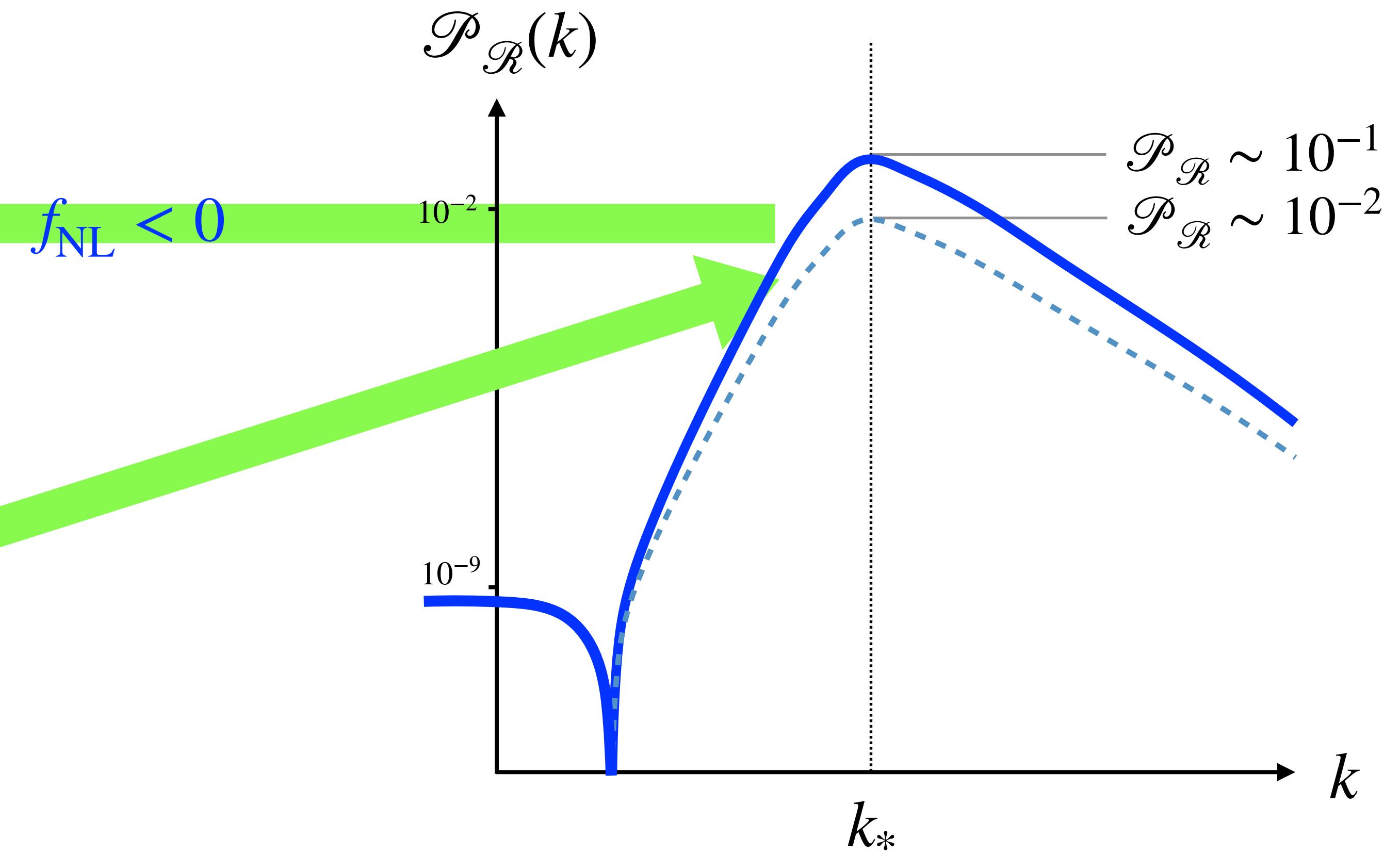
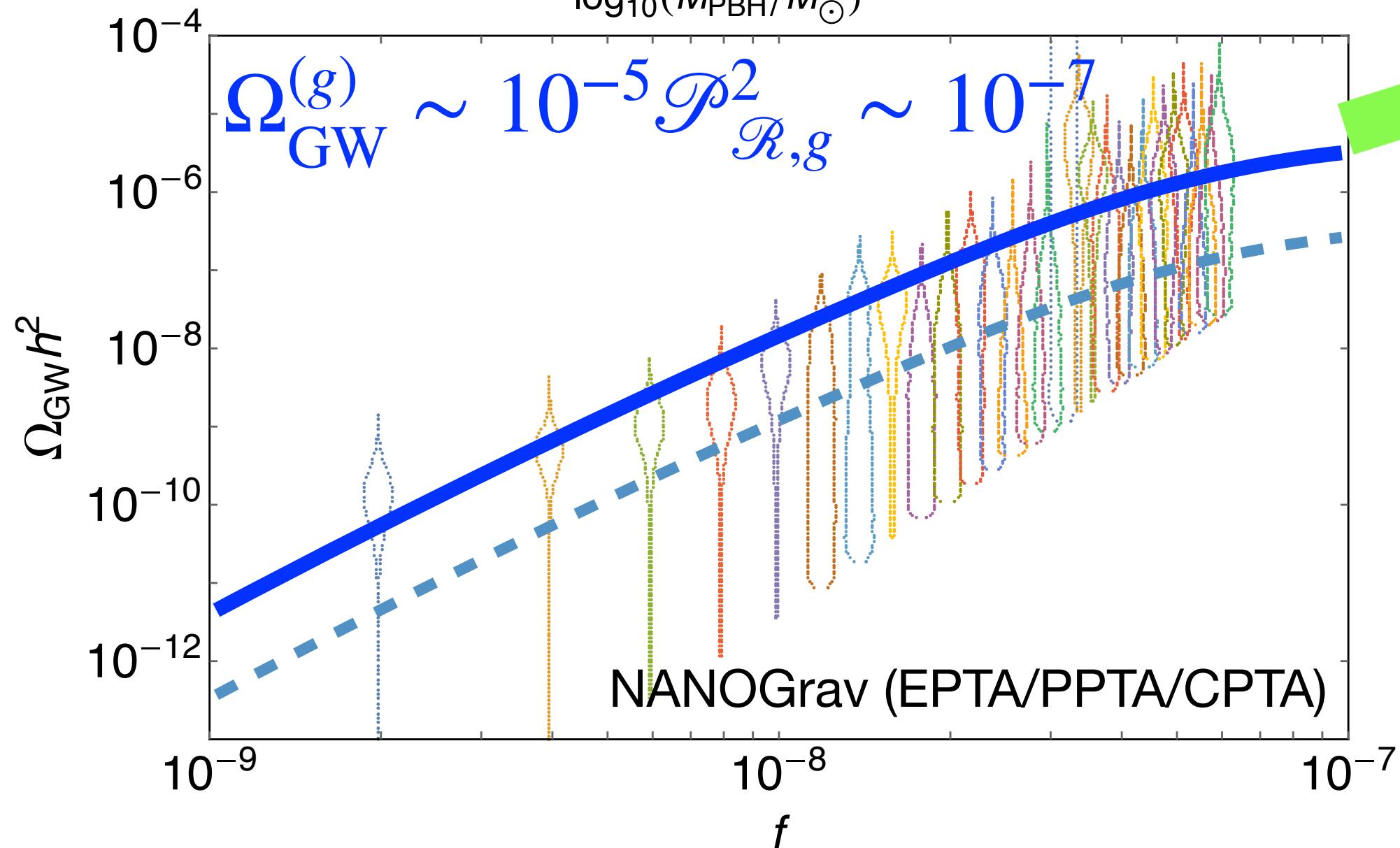
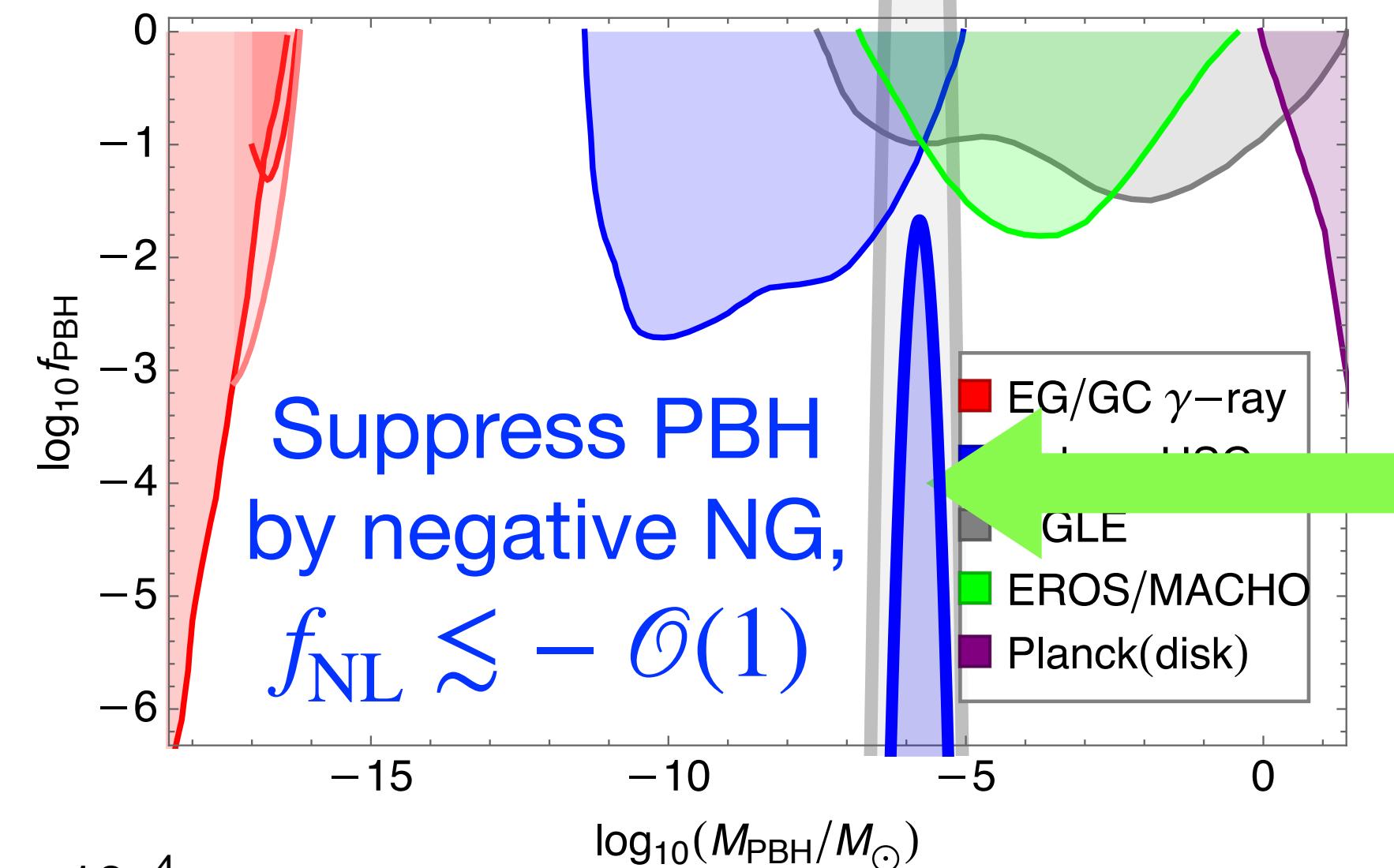


$$\mathcal{P}_{\mathcal{R}} = \frac{A}{\sqrt{2\pi}\Delta} \exp\left(-\frac{(\ln k - \ln k_*)^2}{2\Delta^2}\right)$$

lognormal [SP and Sasaki 2005.12306]

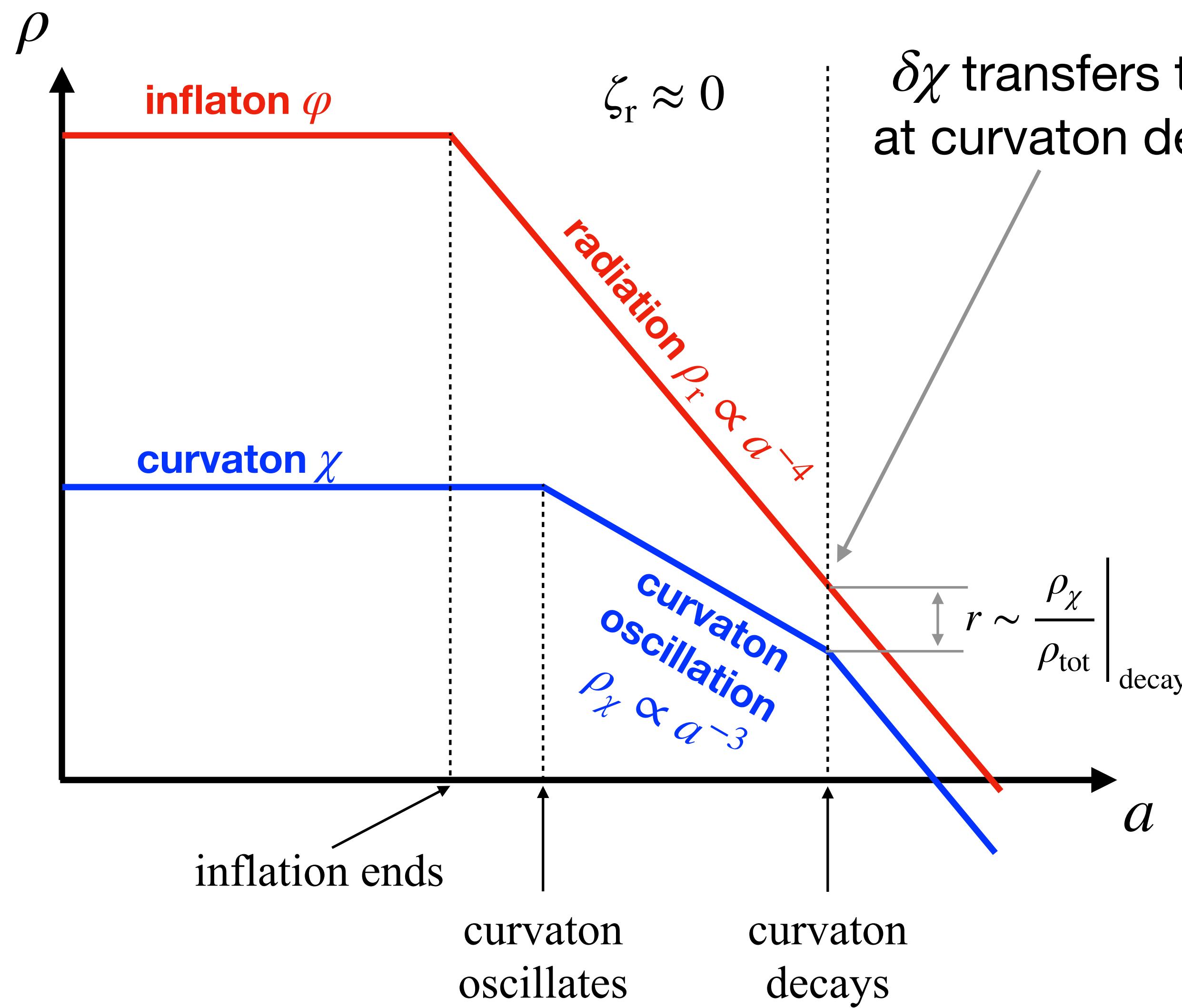
Crosscheck by PBH and IGW

Gaussian case,
PBH>DM!



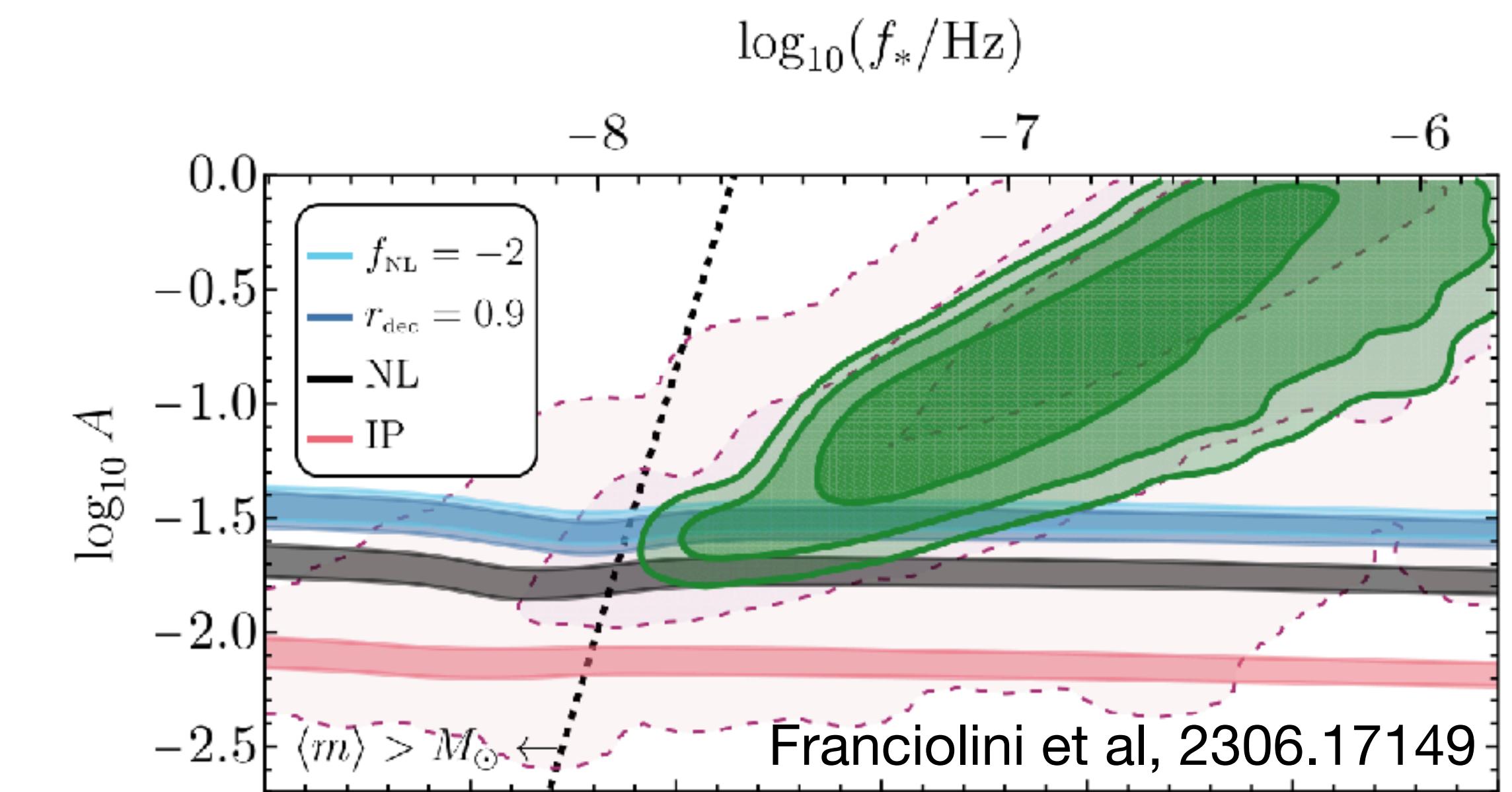
Curvaton Scenario

SP and Sasaki, 2112.12680
 Ferrante et al, 2211.01728



$$\zeta = \zeta(\delta\chi/\chi) \rightarrow \begin{cases} \frac{r}{3} \left[2\frac{\delta\chi}{\chi} + \left(\frac{\delta\chi}{\chi} \right)^2 \right] & \text{when } r \ll 1 \\ \frac{2}{3} \ln \left| 1 + \frac{\delta\chi}{\chi} \right| & \text{when } r \sim 1 \end{cases}$$

- $\zeta(\delta\chi)$ degenerates to a logarithmic relation ($f_{\text{NL}} = -5/4$) when the curvaton dominates.



How to calculate: Press-Schechter

$$\left. \begin{array}{l} \mathcal{R} \xrightarrow{(1)} \delta_\ell \\ \mathbb{P}(\mathcal{R}) \xrightarrow{(2)} \mathbb{P}(\delta_\ell) \end{array} \right\} \xrightarrow{(3) \text{ given } \delta_{\ell,\text{cr}}} \beta = \int_{\delta_{\ell,\text{cr}}} \mathbb{P}(\delta_\ell) M(\delta_\ell) d\delta_\ell$$

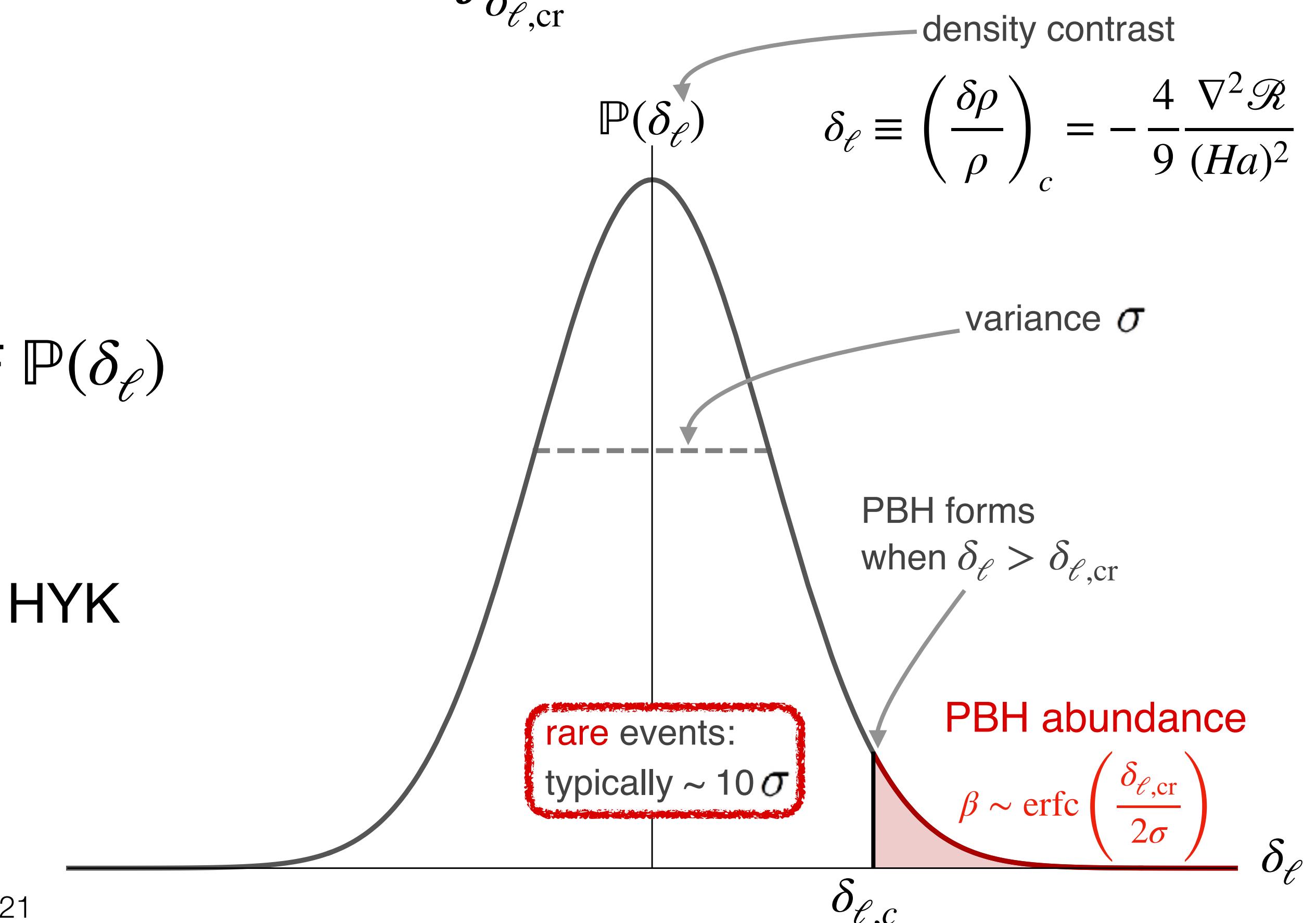
(4) Window function

Every step is linear/Gaussian.

- (1) Linear Poisson equation.
- (2) Gaussian PDF $\mathbb{P}(\mathcal{R})$ goes to Gaussian PDF $\mathbb{P}(\delta_\ell)$

by $\mathbb{P}(\mathcal{R})d\mathcal{R} = \mathbb{P}(\delta_\ell)d\delta_\ell$

- (3) Critical density contrast $\delta_{\ell,\text{cr}}$ is given by the HYK limit (Harada, Yoo, Kohri, 1309.4201).
- (4) Window function matters for broad peaks.



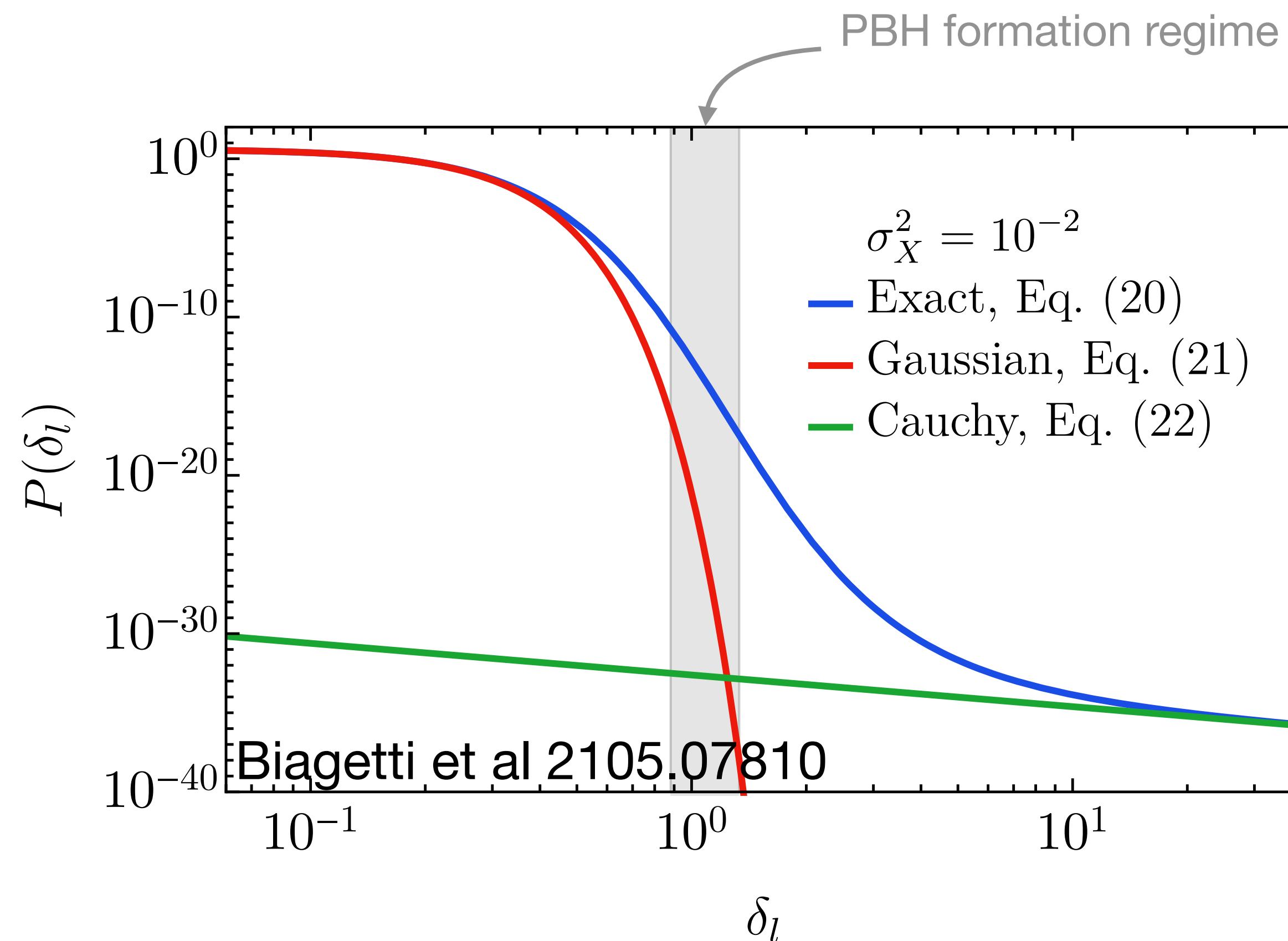
Why non-Gaussianity?

$$\left. \begin{array}{c} \mathcal{R} \xrightarrow{(1)} \mathcal{C} \\ \mathbb{P}(\mathcal{R}) \xrightarrow{(2)} \mathbb{P}(\mathcal{C}) \end{array} \right\} \xrightarrow{(3) \text{ given } \mathcal{C}_{\text{cr}}} \beta = \int_{\mathcal{C}_{\text{cr}}} \mathbb{P}(\mathcal{C}) M(\mathcal{C}) d\mathcal{C}$$

(4) Window function

Non-Gaussianity enters in different processes

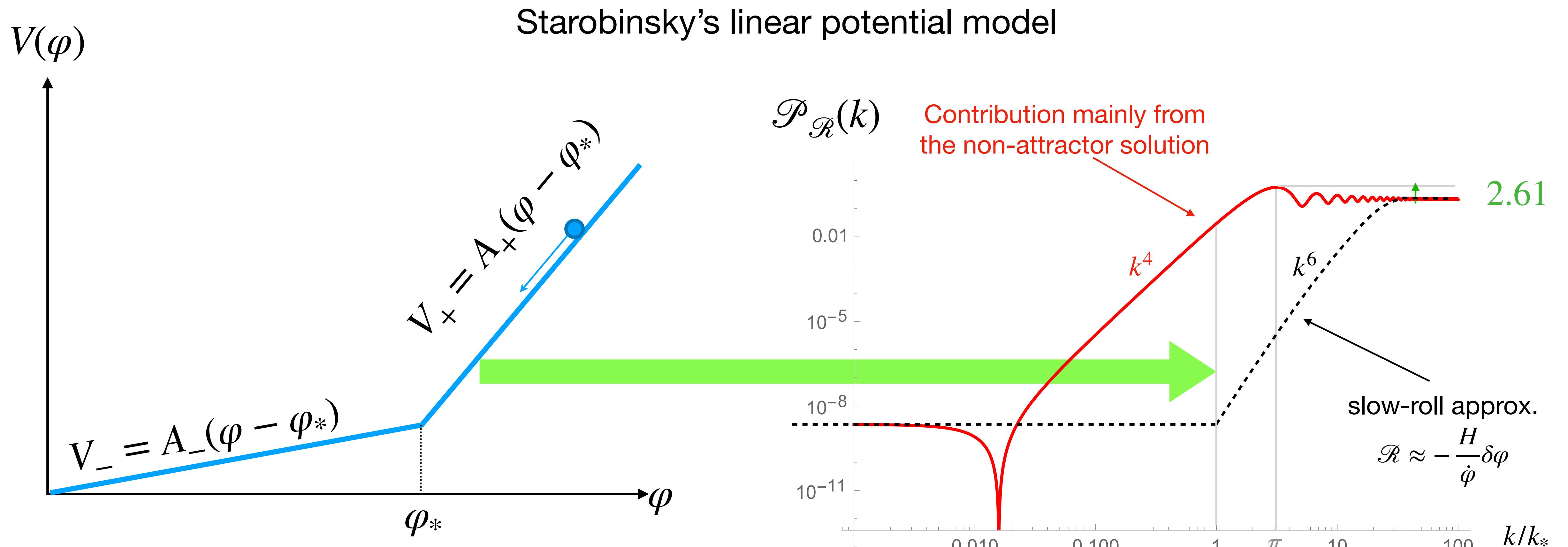
- (1) Use the compaction \mathcal{C} function to calculate, which is connected to \mathcal{R} by nonlinear Poisson equation. (Harada et al 1503.03934; De Luca et al 1904.00970.)
- (2) PDF $\mathbb{P}(\mathcal{R})$ could be non-Gaussian, which goes to non-Gaussian PDF $\mathbb{P}(\mathcal{C})$. (**Main topic of this talk**)
- (3) Critical density contrast \mathcal{C}_{cr} given by numerical simulations. (Musco 1809.02127; Escrivà et al 1907.13311)



Nonlinearity of the curvature perturbation

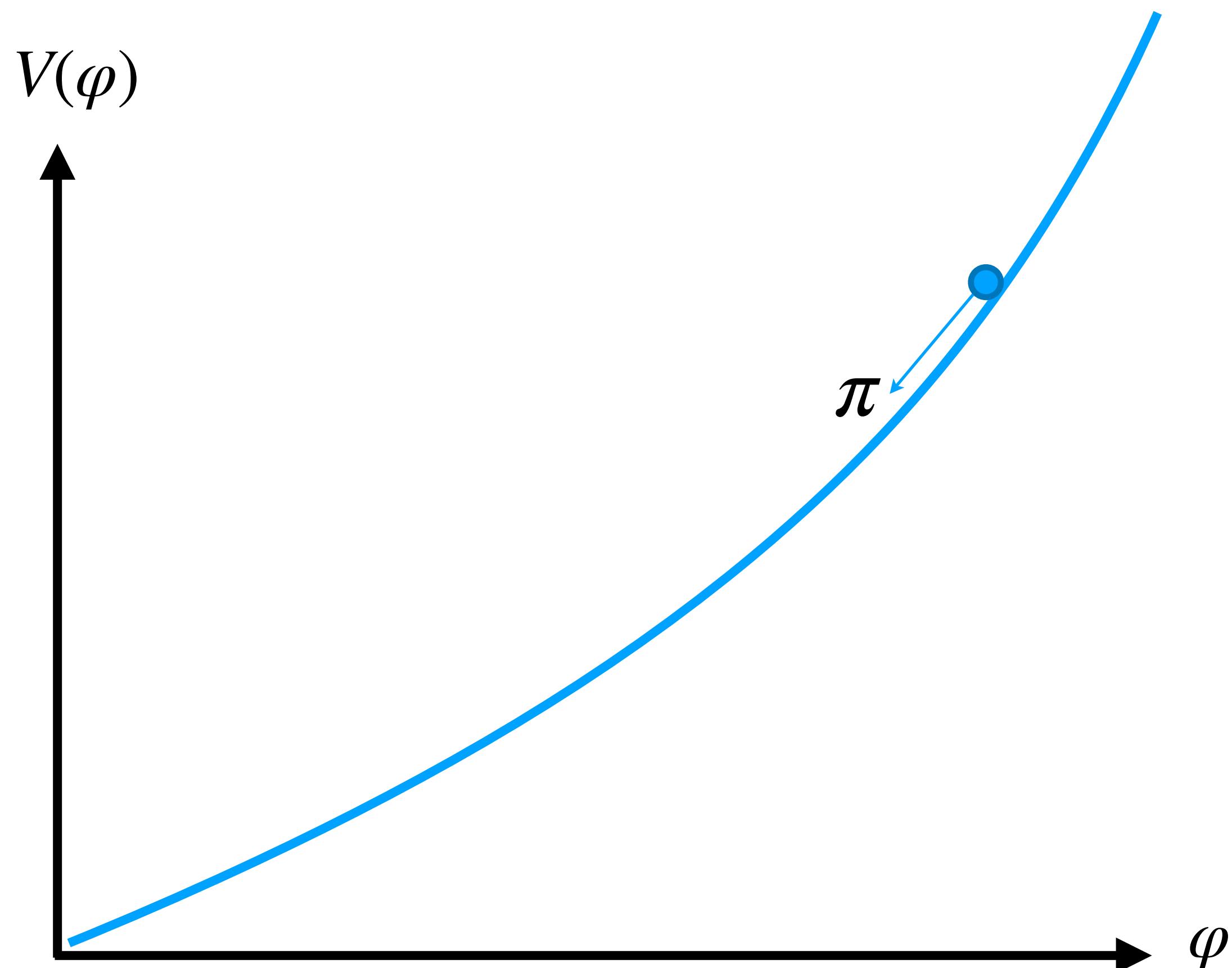
A general relation between \mathcal{R} and $\delta\varphi$

Ultra-slow-roll Inflation



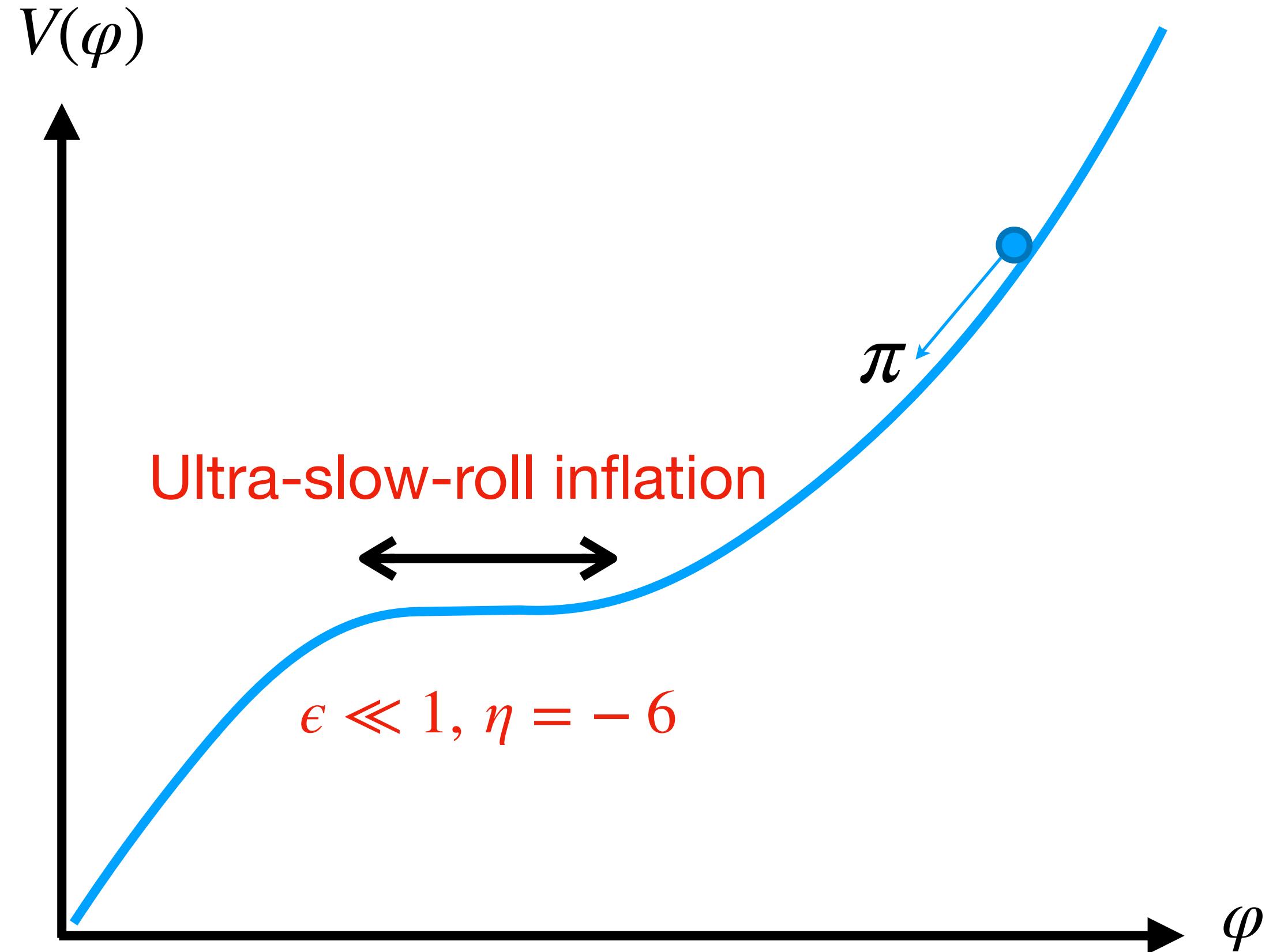
Starobinski, JETP Lett. 55, 489
 Byrnes, Cole, Patil, 1811.11158
 Cole, Gow, Byrnes, Patil, 2204.07573
 SP, Jianing Wang, 2209.14183
 Domenech, Vargas, Vargas, 2309.05750

Gaussian Curvature Perturbation



$$\begin{aligned}\mathcal{R} &= \delta N \approx N_{,\varphi} \delta \varphi + \frac{1}{2} N_{,\varphi\varphi} \delta \varphi^2 + \dots \\ &= -H \frac{\delta \varphi}{\dot{\varphi}} + \frac{3}{5} f_{\text{NL}} \boxed{f_{\text{NL}}} \left(-H \frac{\delta \varphi}{\dot{\varphi}} \right)^2 \dots \\ &\quad \downarrow \\ &\quad \mathcal{O}(\epsilon, \eta)\end{aligned}$$

Logarithmic Relation in the USR inflation

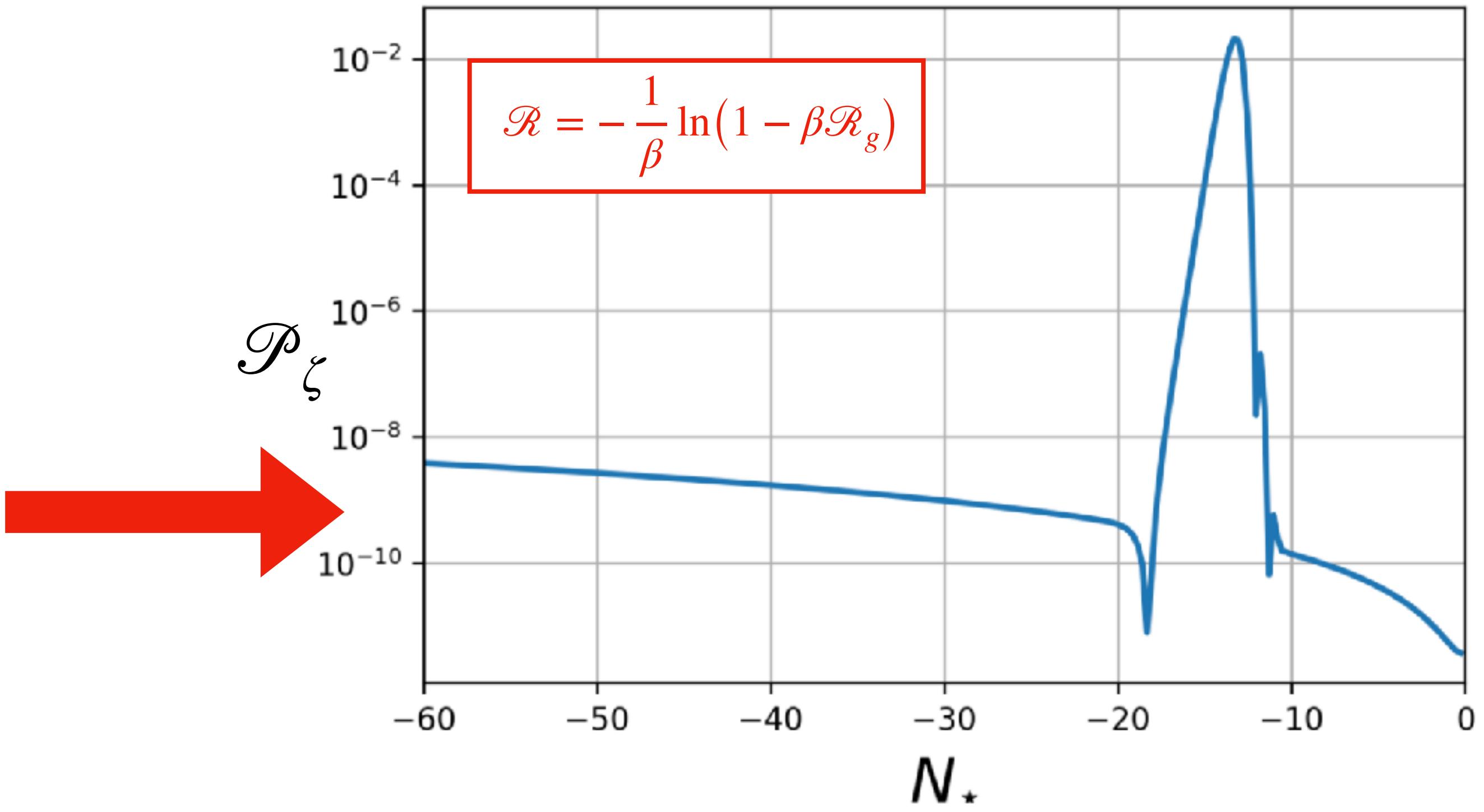
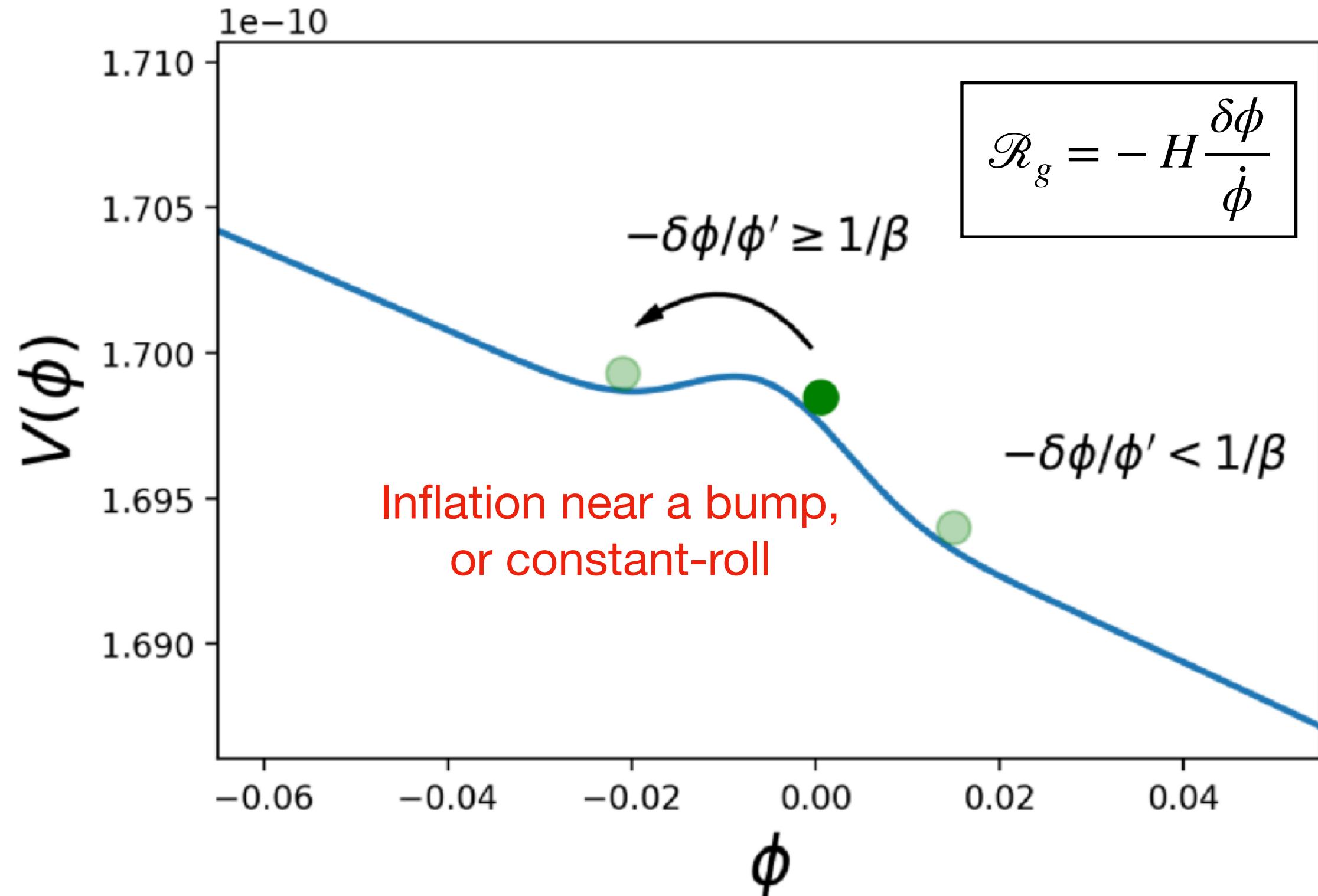


$$\begin{aligned} \mathcal{R} = \delta N &= N_{,\varphi} \delta\varphi + \frac{1}{2} \boxed{N_{,\varphi\varphi}} \delta\varphi^2 + \dots \\ &\quad + N_{,\pi} \delta\pi + \frac{1}{2} \boxed{N_{,\pi\pi}} \delta\pi^2 + \dots \\ (\text{For USR}) &= -\frac{1}{3} \ln \left(1 + \frac{3\delta\varphi}{\pi_*} \right). \\ \left(f_{\text{NL}} = \frac{5}{2}, g_{\text{NL}} = -\frac{25}{3}, \dots \right) \end{aligned}$$

$\mathcal{O}(\epsilon, \eta) \sim \mathcal{O}(1)$

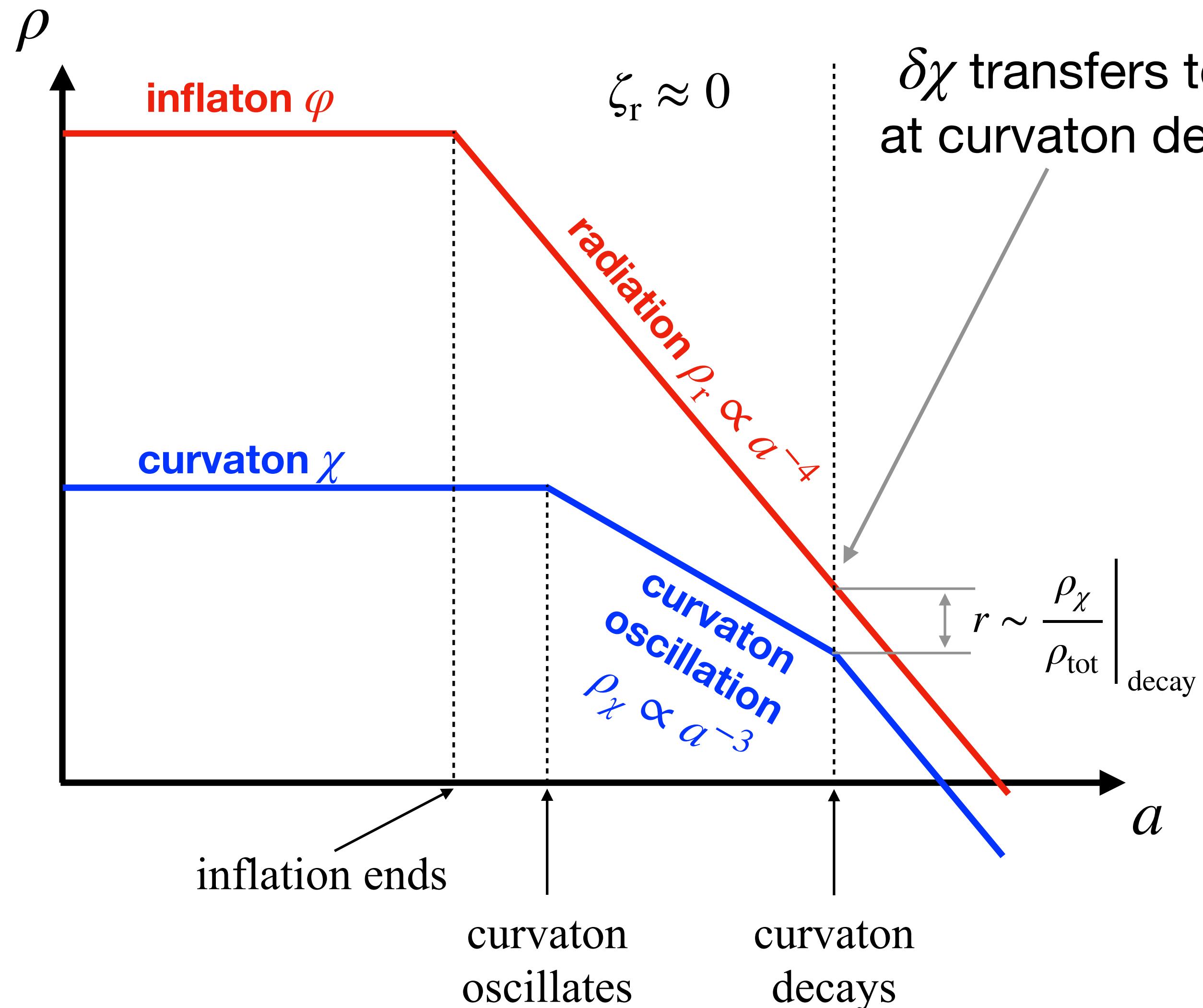
Namjoo, Firouzjahi, Sasaki, 1210.3692
 Chen, Firouzjahi, Komatsu, Namjoo, Sasaki, 1308.5341
 Cai, Chen, Namjoo, Sasaki, Wang, Wang, 1712.09998
 Biagetti, Franciolini, Kehagias, Riotto, 1804.07124
 Passaglia, Hu, Motohashi, 1812.08243
 Also verified by stochastic approach, see e.g.
 Pattison et al 2101.05741

Logarithmic Relation in Constant-Roll Inflation



Atal, Garriga, Marcos-Caballero, 1905.13202
 Atal, Cid, Escrivà, Garriga, 1908.11357
 Escrivà, Atal, Garriga, 2306.09990

Curvaton Scenario

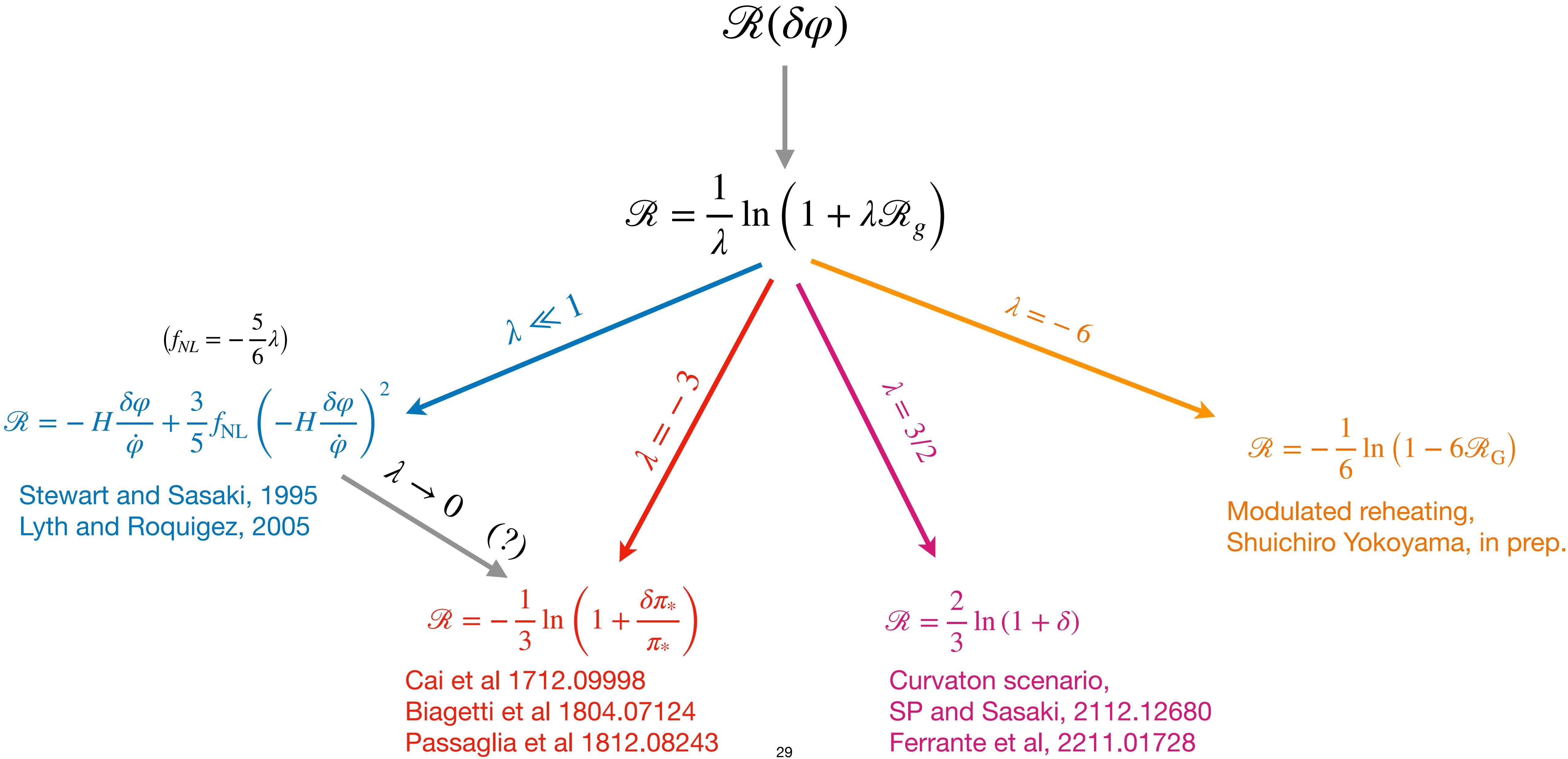


$\delta\chi$ transfers to ζ
at curvaton decay

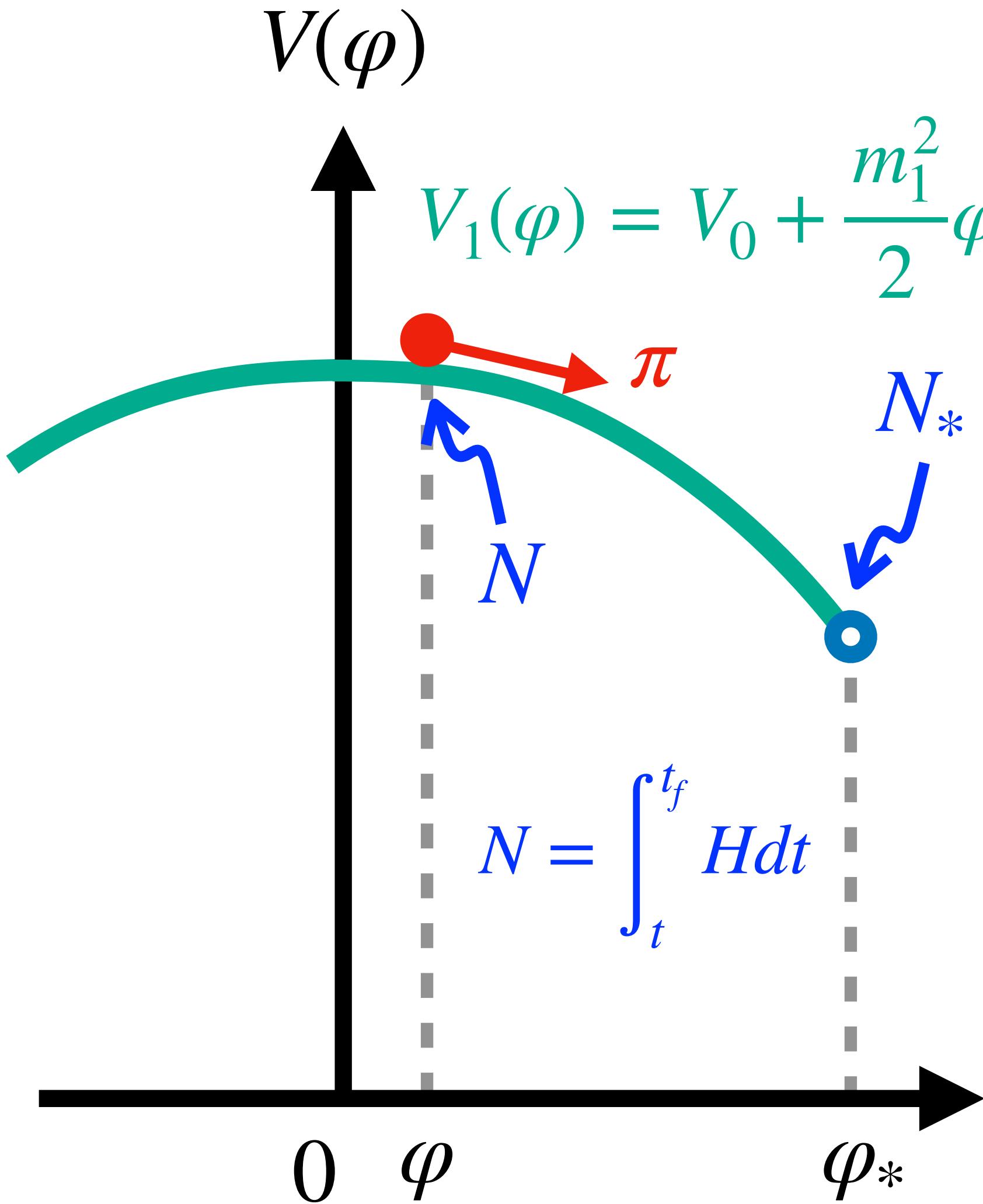
$$\Rightarrow e^{4\zeta} - \frac{4r}{3+r} \left(1 + \frac{\delta\chi}{\chi}\right)^2 e^\zeta + \frac{3r-3}{3+r} = 0$$

$$\zeta = \zeta(\delta\chi/\chi) \rightarrow \begin{cases} \frac{r}{3} \left[2\frac{\delta\chi}{\chi} + \left(\frac{\delta\chi}{\chi}\right)^2 \right] & \text{when } r \ll 1 \\ \frac{2}{3} \ln \left| 1 + \frac{\delta\chi}{\chi} \right| & \text{when } r \sim 1 \end{cases}$$

- $\zeta(\delta\chi)$ is strictly quadratic when the curvaton is negligible, $f_{\text{NL}} = 5/(4r) \gg 1$.
- $\zeta(\delta\chi)$ degenerates to a logarithmic relation ($f_{\text{NL}} = -5/4$) when the curvaton dominates.



Logarithmic Duality



$$\frac{\partial^2 \varphi}{\partial^2 N} - 3 \frac{\partial \varphi}{\partial N} + 3\eta_V \varphi = 0$$

$$\Rightarrow \varphi = c_+ e^{\lambda_+ N} + c_- e^{\lambda_- N}$$

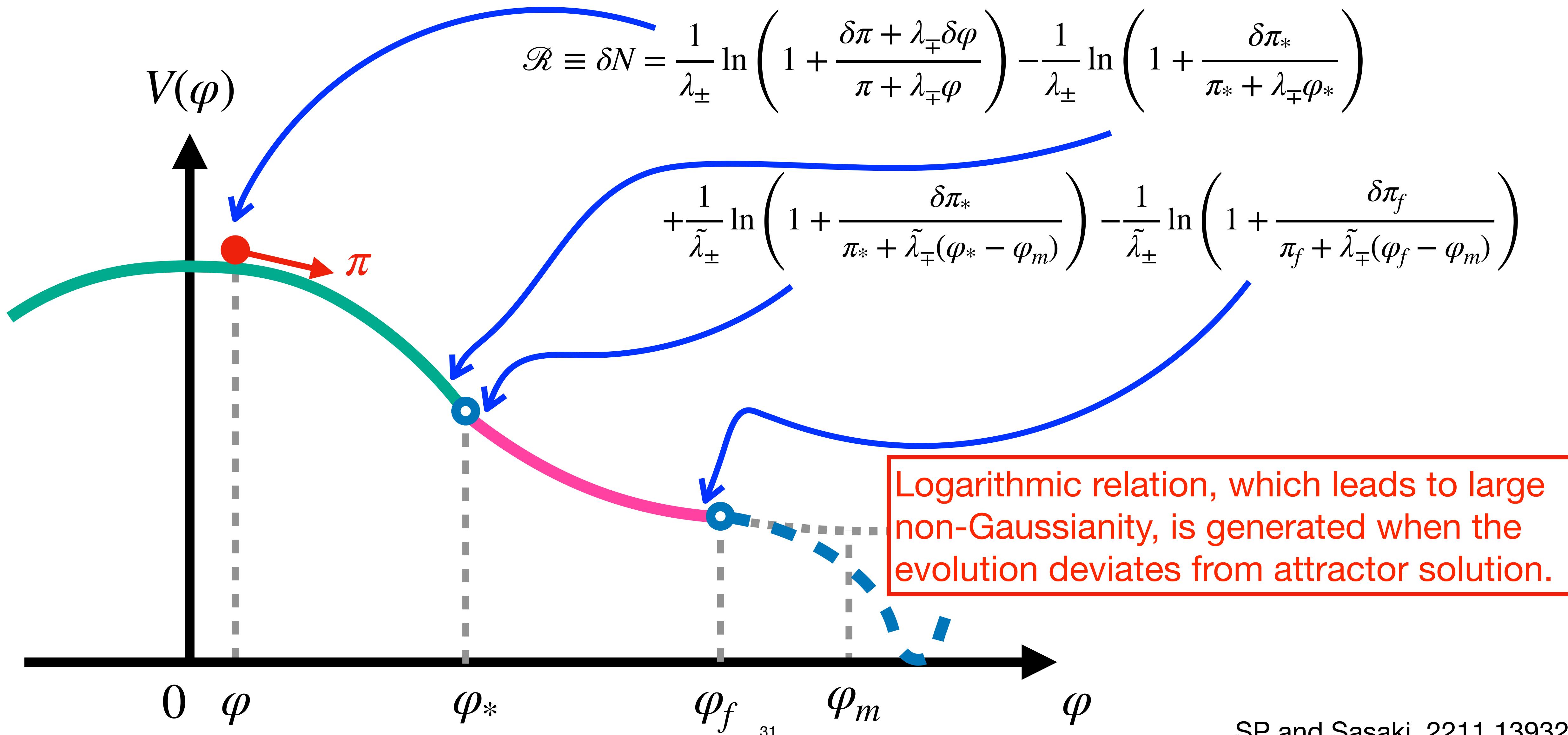
$$\lambda_{\pm} = \frac{3 \pm \sqrt{9 - 12\eta_V}}{2}$$

$$\eta_V = \frac{m_1^2}{3H^2}$$

We show that \mathcal{R} can be expressed by two equivalent expressions:

$$\mathcal{R} = \frac{1}{\lambda_{\pm}} \ln \left(1 + \frac{\delta\pi + \lambda_{\mp} \delta\varphi}{\pi + \lambda_{\mp} \varphi} \right) - \frac{1}{\lambda_{\pm}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \lambda_{\mp} \varphi_*} \right)$$

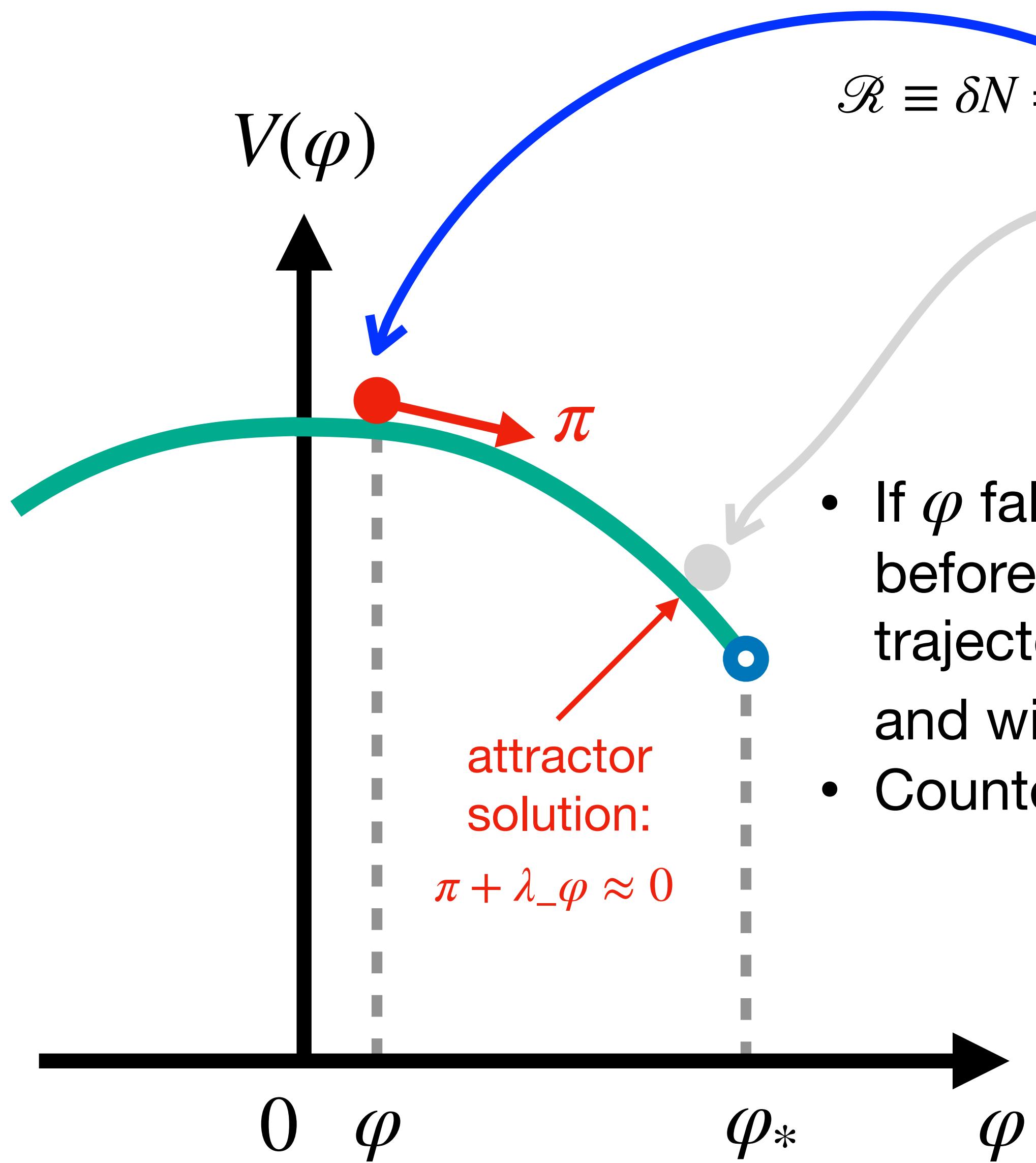
Logarithmic Duality



Application:

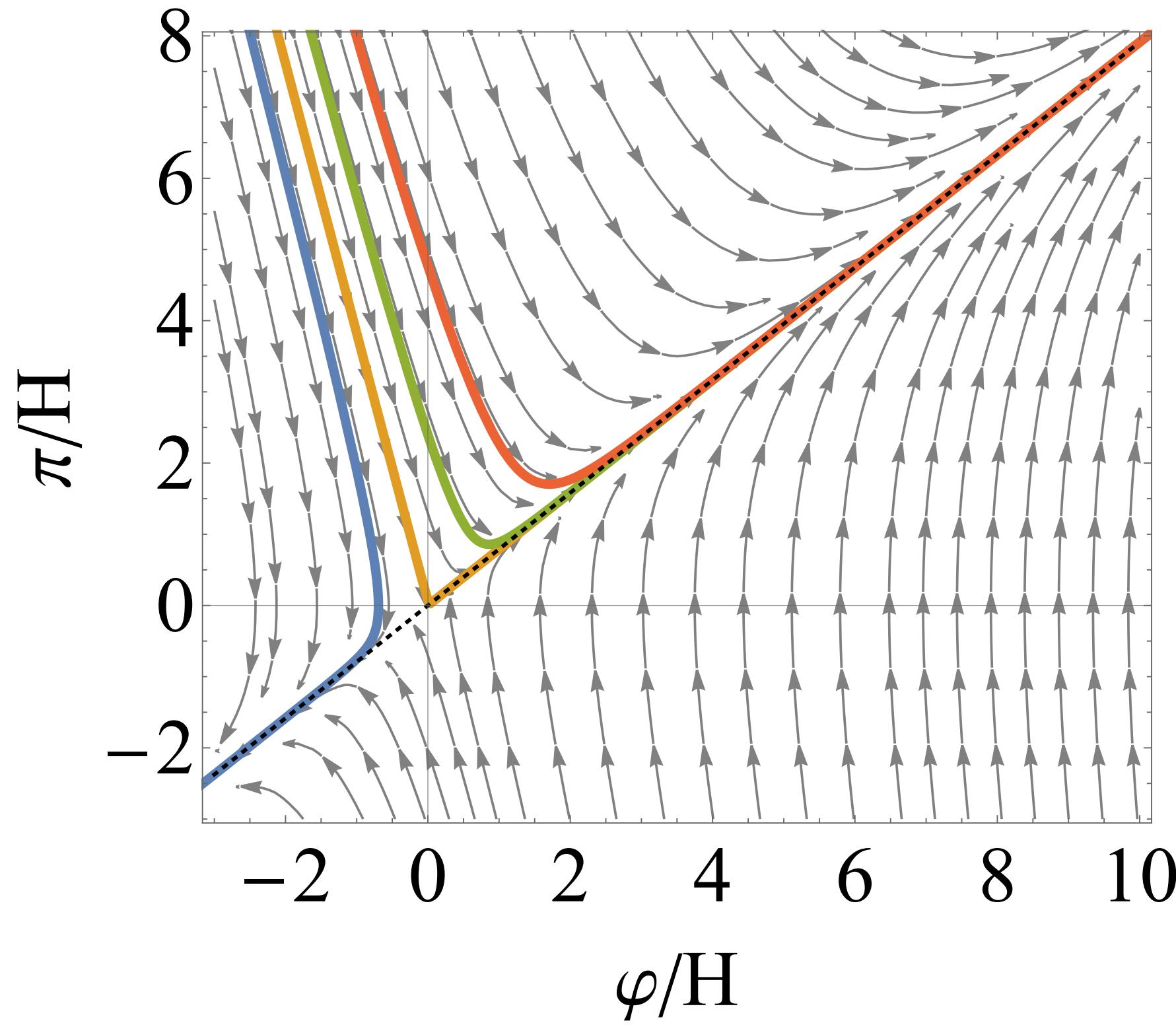
Ultra-slow-roll inflation

Constant-roll



- If φ falls into the attractor before the boundary, its trajectory becomes unique and will not contribute to δN .
- Counterexample: USR

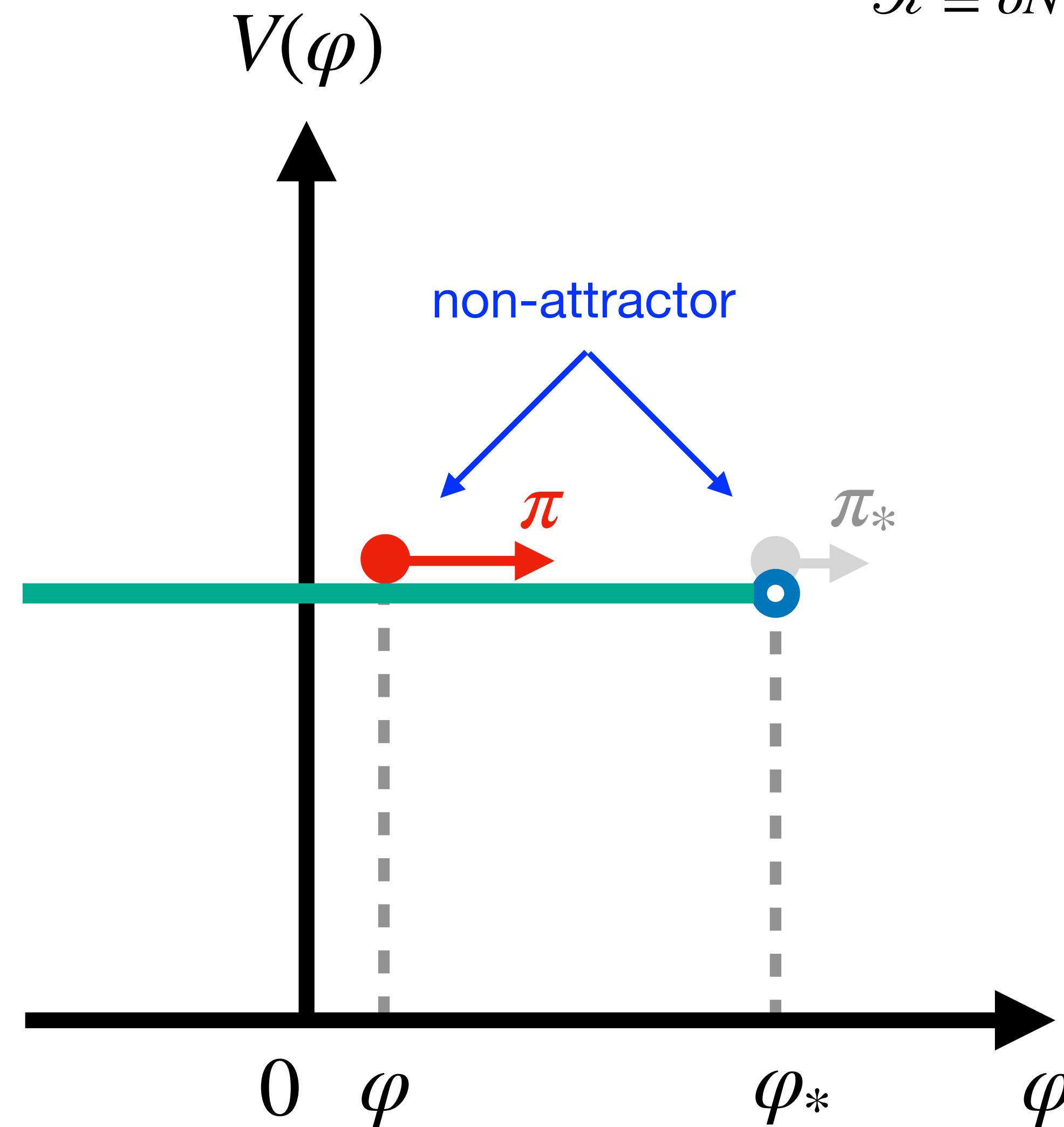
$$\mathcal{R} \equiv \delta N = \frac{1}{\lambda_-} \ln \left(1 + \frac{\delta\pi + \lambda_+ \delta\varphi}{\pi + \lambda_+ \varphi} \right) - \frac{1}{\lambda_-} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \lambda_+ \varphi_*} \right)$$



See also Atal et al, 1908.11357,
1905.13202

USR

$(\lambda_- = 0, \quad \lambda_+ = 3)$



$$\mathcal{R} \equiv \delta N = \frac{1}{3} \ln \left(1 + \frac{\cancel{\delta\pi} + \cancel{\lambda_+} \delta\varphi}{\pi + \lambda_+ \varphi} \right) - \frac{1}{3} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \cancel{\lambda_+} \varphi_*} \right)$$

- If φ reaches the attractor solution before the boundary, it got stuck (classically), and quantum diffusion dominates. We must use stochastic approach to inflation.

Figueroa et al, 2012.06551

Pattison et al, 2101.05741

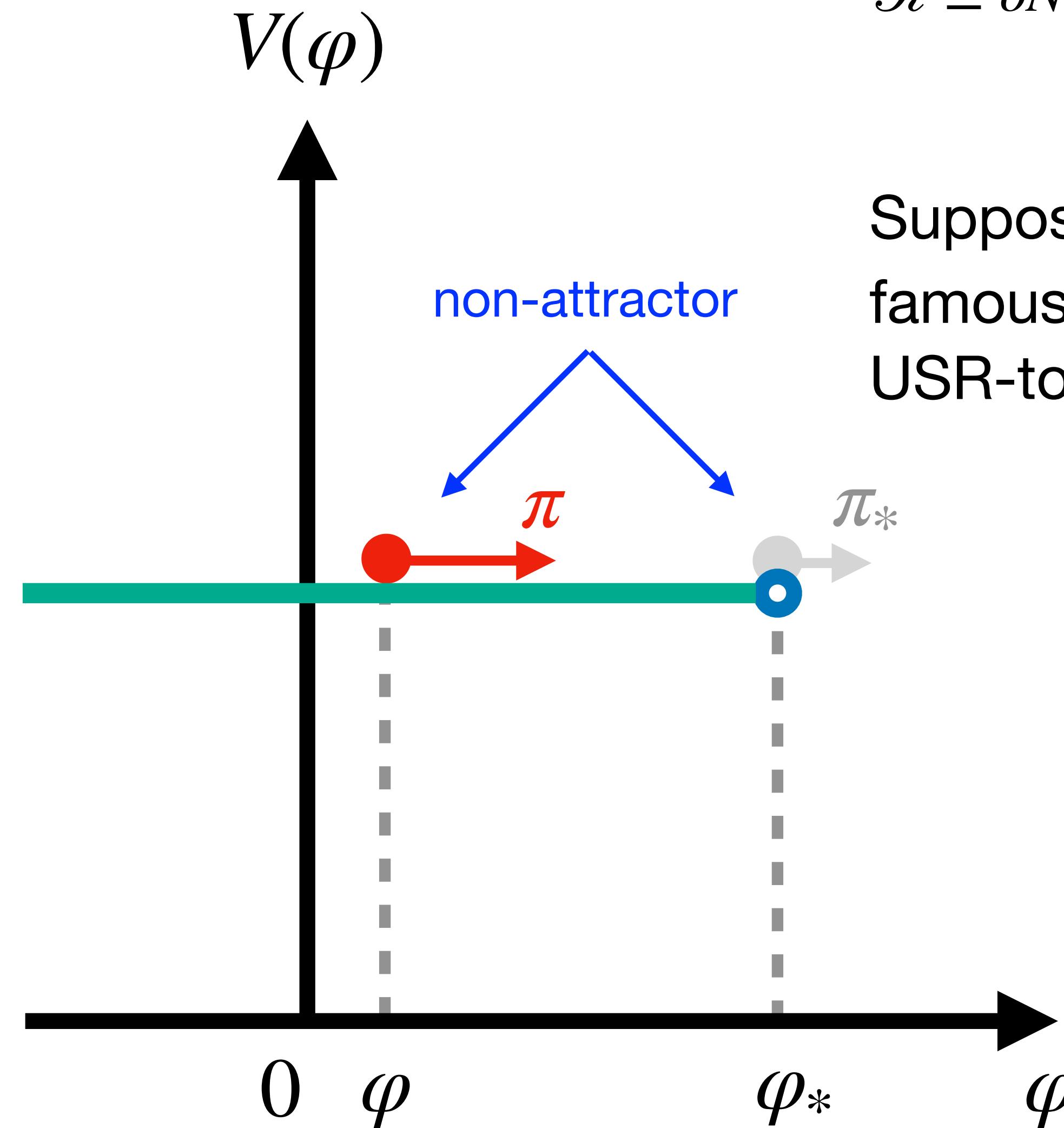
Rigopoulos & Wilkins, 2107.05317

Cruces & Germani, 2107.12735

Tada & Vennin, 2111.15280

USR

$(\lambda_- = 0, \quad \lambda_+ = 3)$



$$\mathcal{R} \equiv \delta N = -\frac{1}{3} \ln \left(1 + \frac{\delta \pi_*}{\pi_*} \right)$$

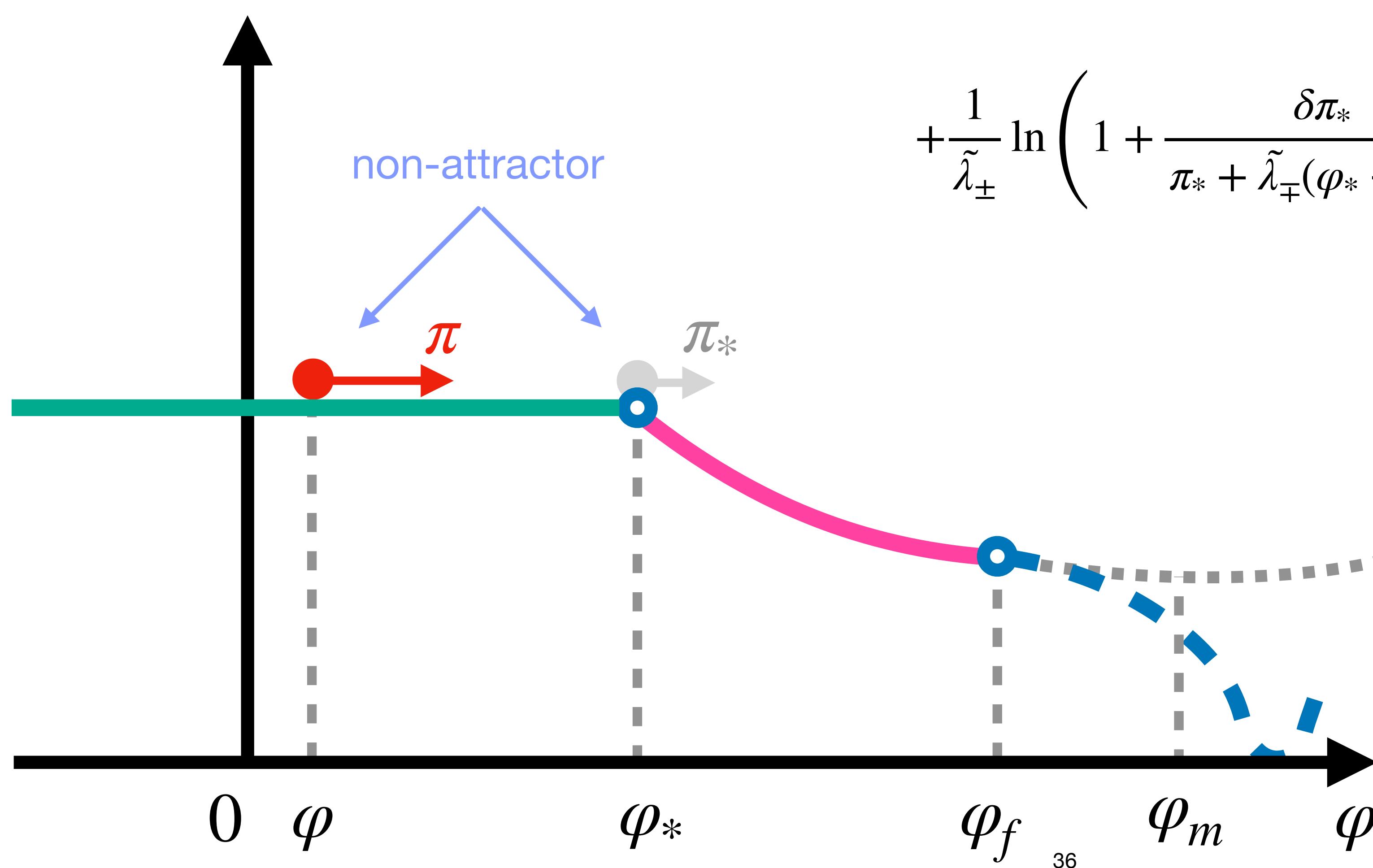
Suppose inflation ends as the USR ends, it gives a famous result of $\mathbb{P}(\mathcal{R}) \propto \exp(-3\mathcal{R})$. However, the USR-to-SR transition should be considered.

USR

$$(\lambda_- = 0, \quad \lambda_+ = 3) \\ (\tilde{\lambda}_- = \tilde{\eta}, \quad \tilde{\lambda}_+ = 3 - \tilde{\eta})$$

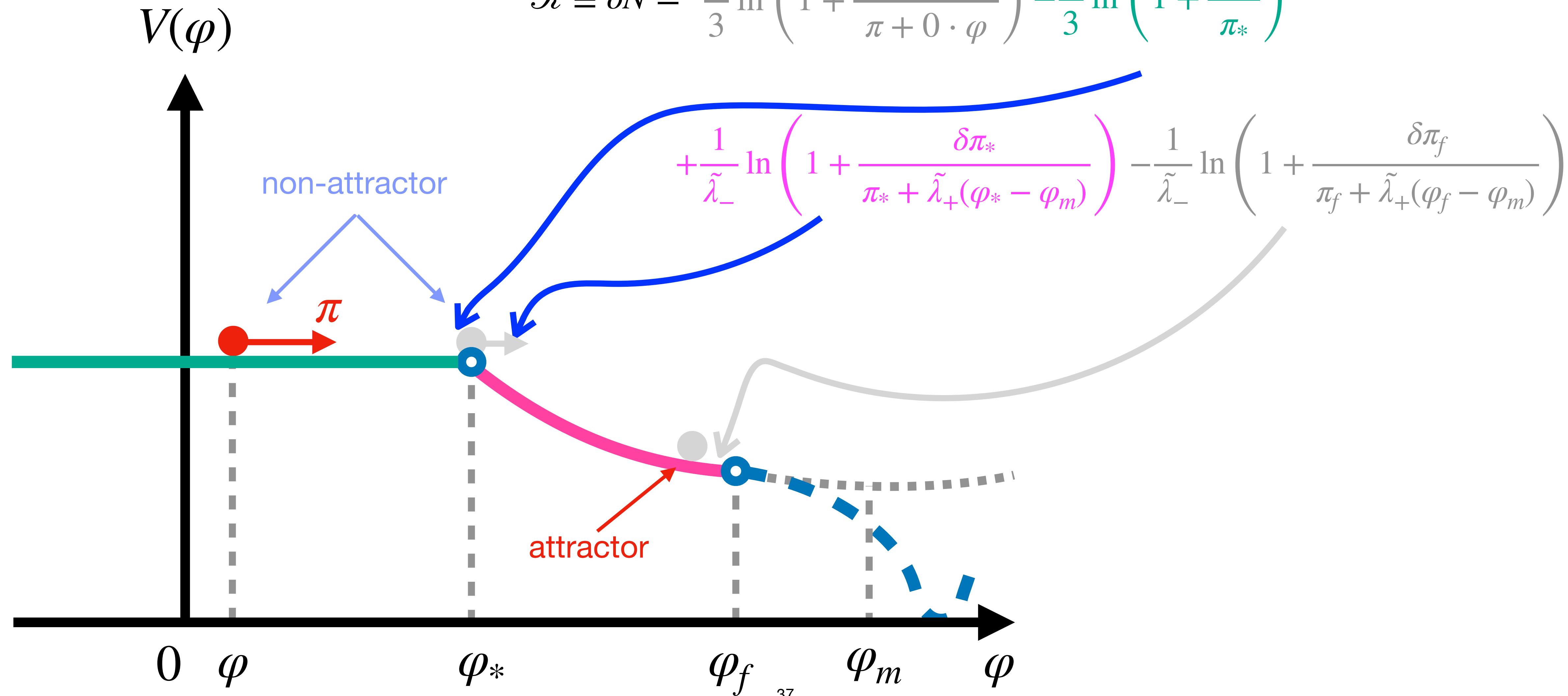
$$\mathcal{R} \equiv \delta N = \frac{1}{\lambda_{\pm}} \ln \left(1 + \frac{\delta\pi + \lambda_{\mp}\delta\varphi}{\pi + \lambda_{\mp}\varphi} \right) - \frac{1}{\lambda_{\pm}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \lambda_{\pm}\varphi_*} \right)$$

$$+ \frac{1}{\tilde{\lambda}_{\pm}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \tilde{\lambda}_{\mp}(\varphi_* - \varphi_m)} \right) - \frac{1}{\tilde{\lambda}_{\pm}} \ln \left(1 + \frac{\delta\pi_f}{\pi_f + \tilde{\lambda}_{\pm}(\varphi_f - \varphi_m)} \right)$$



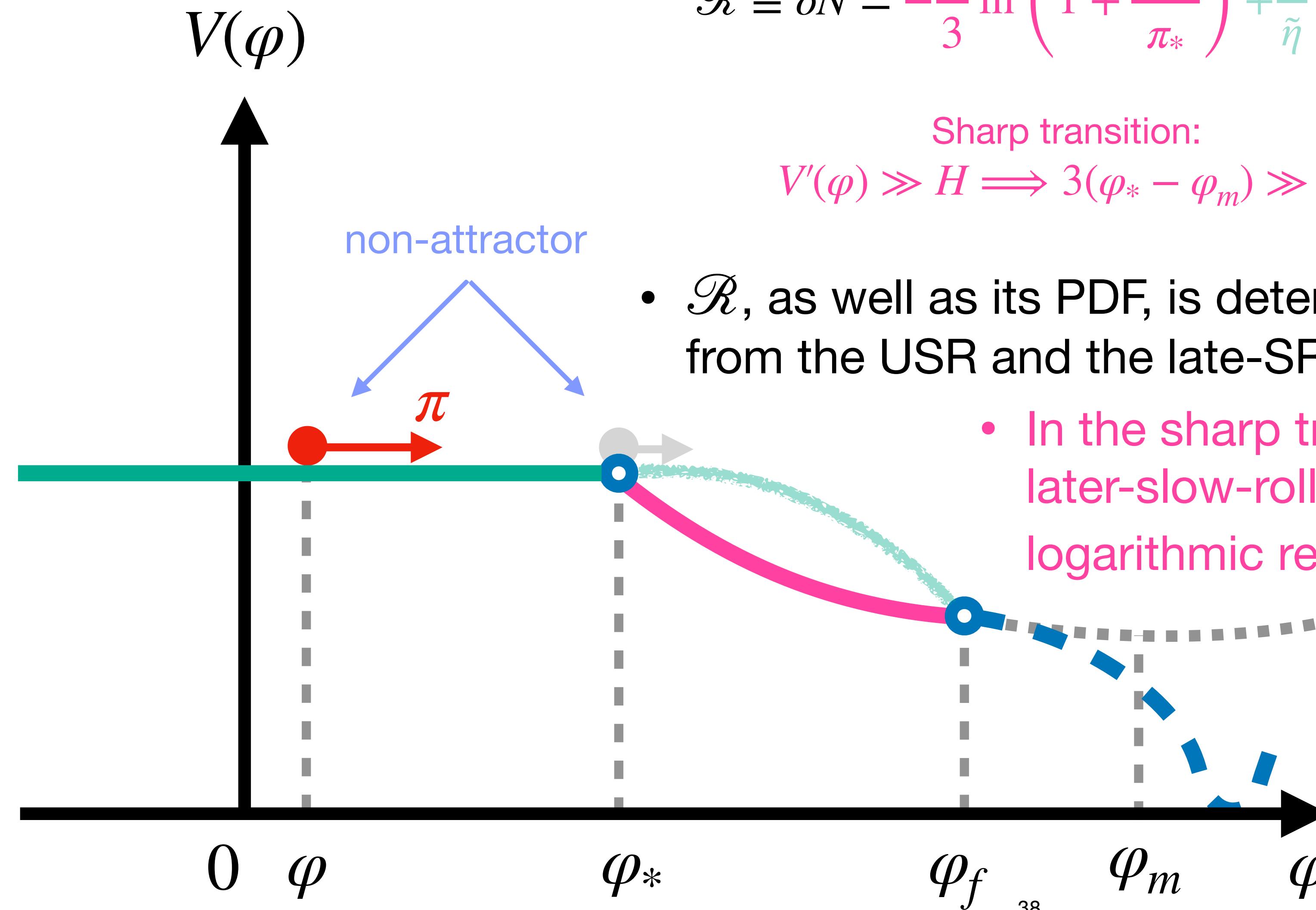
USR

$$(\lambda_- = 0, \quad \lambda_+ = 3) \\ (\tilde{\lambda}_- = \tilde{\eta}, \quad \tilde{\lambda}_+ = 3 - \tilde{\eta})$$



USR

$$\begin{aligned} (\lambda_- = 0, & \quad \lambda_+ = 3) \\ (\tilde{\lambda}_- = \tilde{\eta}, & \quad \tilde{\lambda}_+ = 3 - \tilde{\eta}) \end{aligned}$$



$$\mathcal{R} \equiv \delta N = -\frac{1}{3} \ln \left(1 + \frac{\delta\pi_*}{\pi_*} \right) + \frac{1}{\tilde{\eta}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + (3 - \tilde{\eta})(\phi_* - \phi_m)} \right)$$

Sharp transition:
 $V'(\phi) \gg H \implies 3(\phi_* - \phi_m) \gg \pi_*$

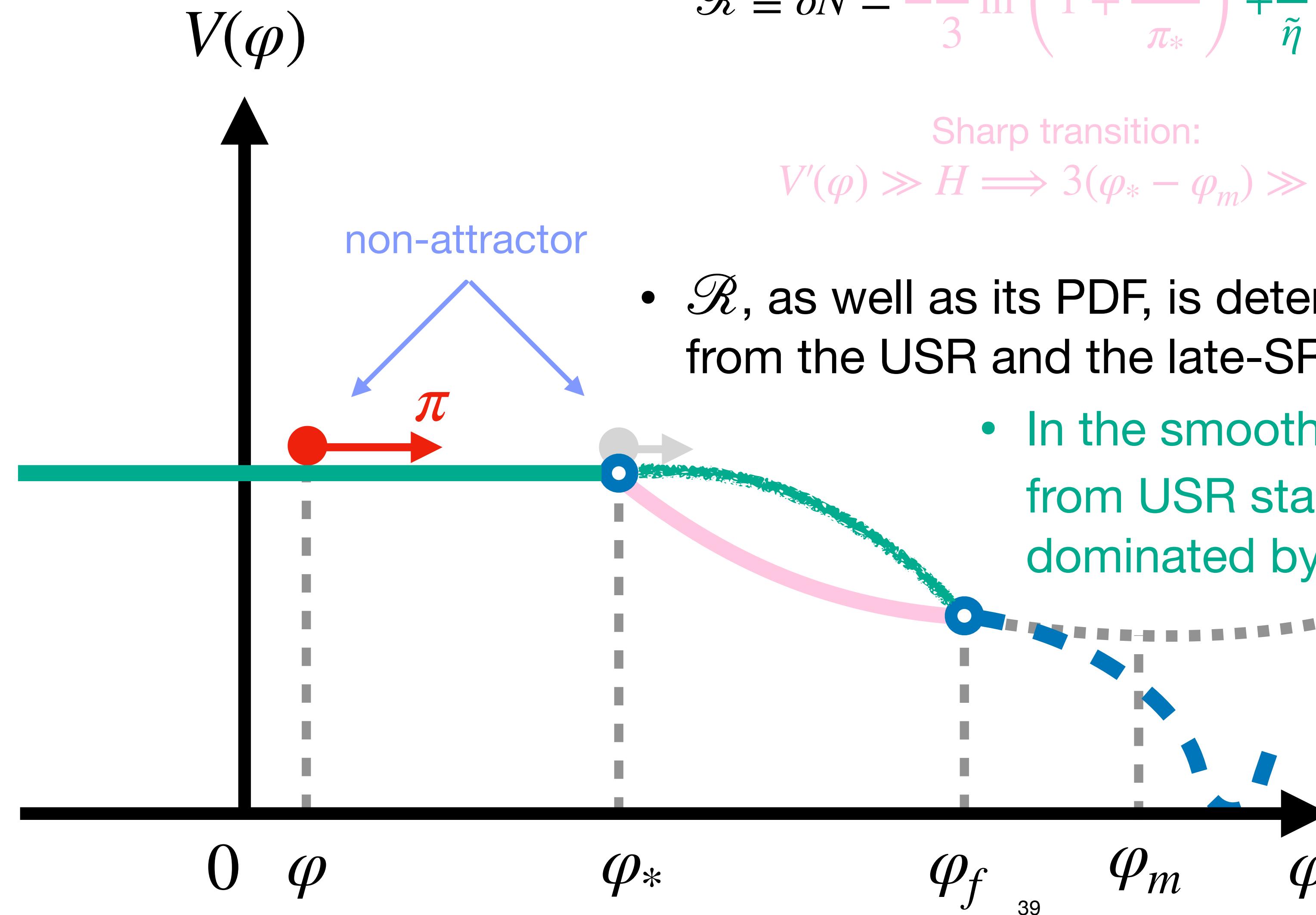
Smooth transition
 $V'(\phi) \ll H \implies 3(\phi_* - \phi_m) \ll \pi_*$

- \mathcal{R} , as well as its PDF, is determined by the larger contribution from the USR and the late-SR.
 - In the sharp transition case, the contribution from later-slow-roll stage is negligible, thus the logarithmic relation of $\mathcal{R}(\delta\phi)$ is preserved.

USR

$$(\lambda_- = 0, \quad \lambda_+ = 3)$$

$$(\tilde{\lambda}_- = \tilde{\eta}, \quad \tilde{\lambda}_+ = 3 - \tilde{\eta})$$



$$\mathcal{R} \equiv \delta N = -\frac{1}{3} \ln \left(1 + \frac{\delta\pi_*}{\pi_*} \right) + \frac{1}{\tilde{\eta}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + (3 - \tilde{\eta})(\varphi_* - \varphi_m)} \right)$$

Sharp transition:
 $V'(\varphi) \gg H \implies 3(\varphi_* - \varphi_m) \gg \pi_*$

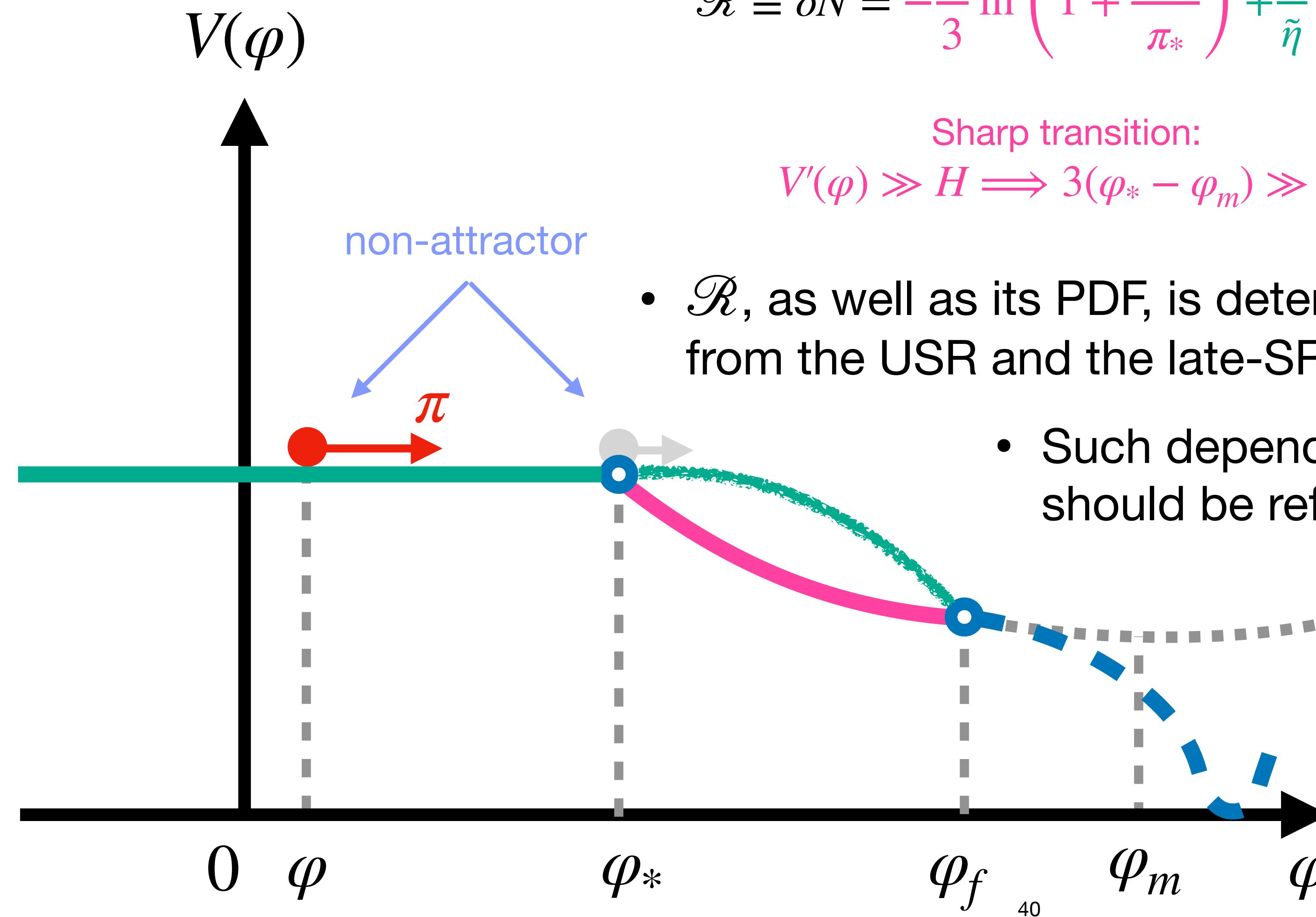
Smooth transition
 $V'(\varphi) \ll H \implies 3(\varphi_* - \varphi_m) \ll \pi_*$

- \mathcal{R} , as well as its PDF, is determined by the larger contribution from the USR and the late-SR.
 - In the smooth transition case, the contribution from USR stage is negligible, and $\mathcal{R}(\delta\varphi)$ is dominated by the slow-roll part.

USR

$$(\lambda_- = 0, \quad \lambda_+ = 3)$$

$$(\tilde{\lambda}_- = \tilde{\eta}, \quad \tilde{\lambda}_+ = 3 - \tilde{\eta})$$



$$\mathcal{R} \equiv \delta N = -\frac{1}{3} \ln \left(1 + \frac{\delta\pi_*}{\pi_*} \right) + \frac{1}{\tilde{\eta}} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + (3 - \tilde{\eta})(\varphi_* - \varphi_m)} \right)$$

Sharp transition:
 $V'(\varphi) \gg H \implies 3(\varphi_* - \varphi_m) \gg \pi_*$

Smooth transition
 $V'(\varphi) \ll H \implies 3(\varphi_* - \varphi_m) \ll \pi_*$

- \mathcal{R} , as well as its PDF, is determined by the larger contribution from the USR and the late-SR.
- Such dependence on the boundary condition should be reflected in the stochastic approach.
- Sharp transition will make the separate universe approach (thus δN formalism) invalid transiently.

Pattison et al., 2101.05741
Cruces, SP, Sasaki, in prep.

Domenech et al., 2309.05750
Jackson et al., 2311.03281

Probability Distribution Function

For the USR case we use the dual relation: $\mathcal{R} \equiv \delta N = -\frac{1}{3} \ln \left(1 + \frac{3\delta\varphi}{\pi_*} \right)$

$$\downarrow \quad \mathbb{P}(\mathcal{R})d\mathcal{R} = \boxed{\mathbb{P}(\delta\varphi)d\delta\varphi} \quad \xrightarrow{\text{Gaussian PDF with variance } \sigma_{\delta\varphi}^2}$$

$$\mathbb{P}(\mathcal{R}) = \frac{e^{-3\mathcal{R}}}{\sqrt{2\pi}\sigma_{\delta\varphi}} \pi_* \exp \left[-\frac{\pi_*^2}{18\sigma_{\delta\varphi}^2} (e^{-3\mathcal{R}} - 1)^2 \right]$$

$$\downarrow \quad \mathcal{R} \sim \mathcal{O}(1)$$

$$\mathbb{P}(\mathcal{R}) \sim e^{-3\mathcal{R}}$$

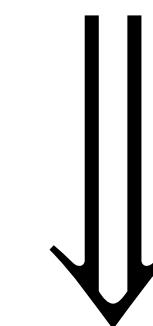
exponential tail

Biagetti et al, 2105.07810
Pattison et al, 2101.05741
SP and Sasaki, 2211.13932

Probability Distribution Function

For the simplest single-logarithm case:

$$\mathcal{R} \equiv \delta N = \frac{1}{\lambda_-} \ln \left(1 + \frac{\delta\pi + \lambda_+ \delta\varphi}{\pi + \lambda_+ \varphi} \right)$$



$$\mathbb{P}(\mathcal{R})d\mathcal{R} = \boxed{\mathbb{P}(\delta\varphi)d\delta\varphi}$$

Gaussian PDF with variance $\sigma_{\delta\varphi}^2$

$$\mathbb{P}(\mathcal{R}) = \frac{e^{\lambda_- \mathcal{R}}}{\sqrt{2\pi}\sigma_{\delta\varphi}} |\lambda_-| \varphi \exp \left[-\frac{\varphi^2}{2\sigma_{\delta\varphi}^2} (e^{\lambda_- \mathcal{R}} - 1)^2 \right]$$

$$\begin{aligned} & \lambda_- < 0 \\ & \mathcal{R} \sim \mathcal{O}(1) \end{aligned}$$

$$\mathbb{P}(\mathcal{R}) \sim e^{\lambda_- \mathcal{R}}$$

exponential tail

$$\begin{aligned} & \lambda_- > 0 \\ & \mathcal{R} \sim \mathcal{O}(1) \end{aligned}$$

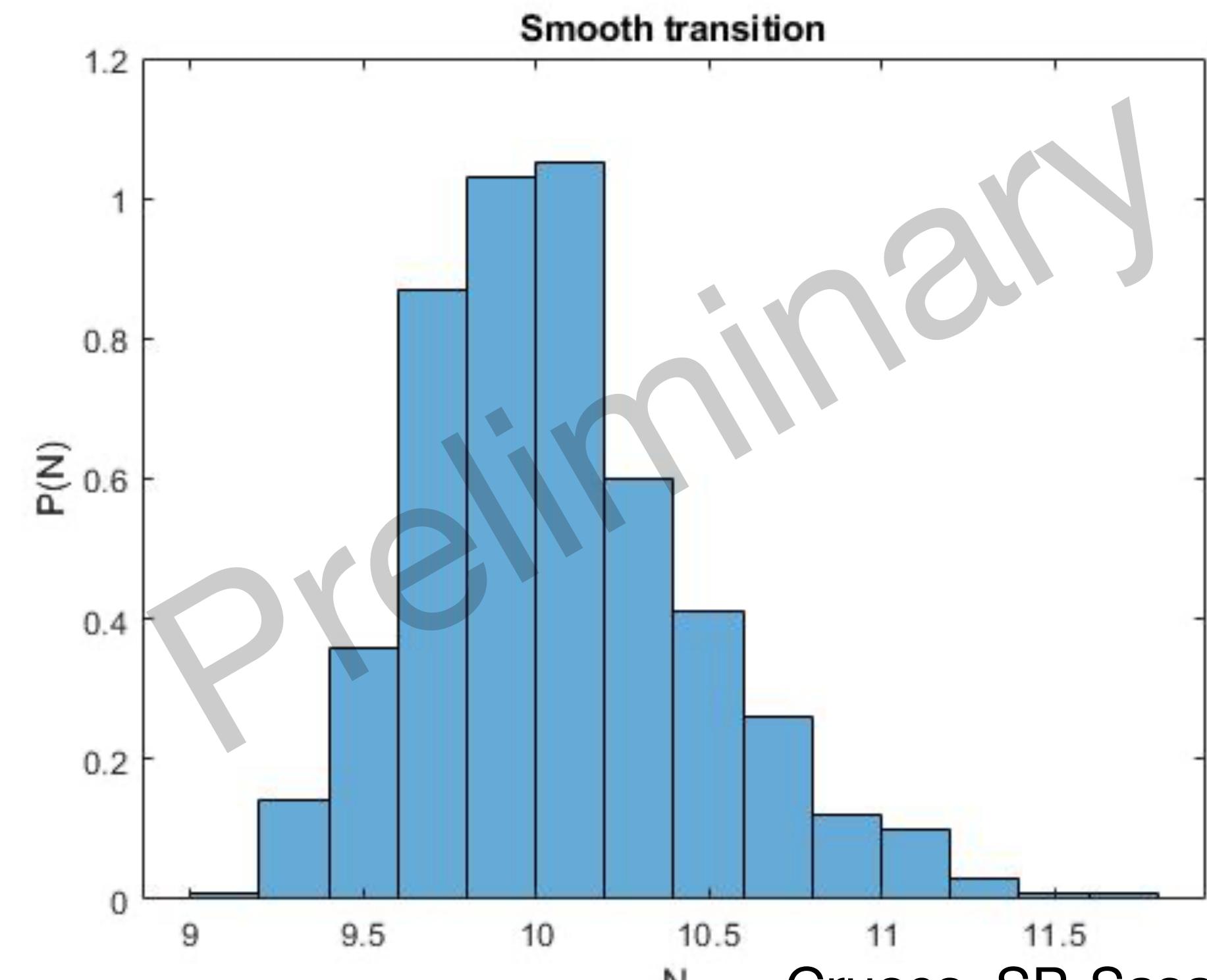
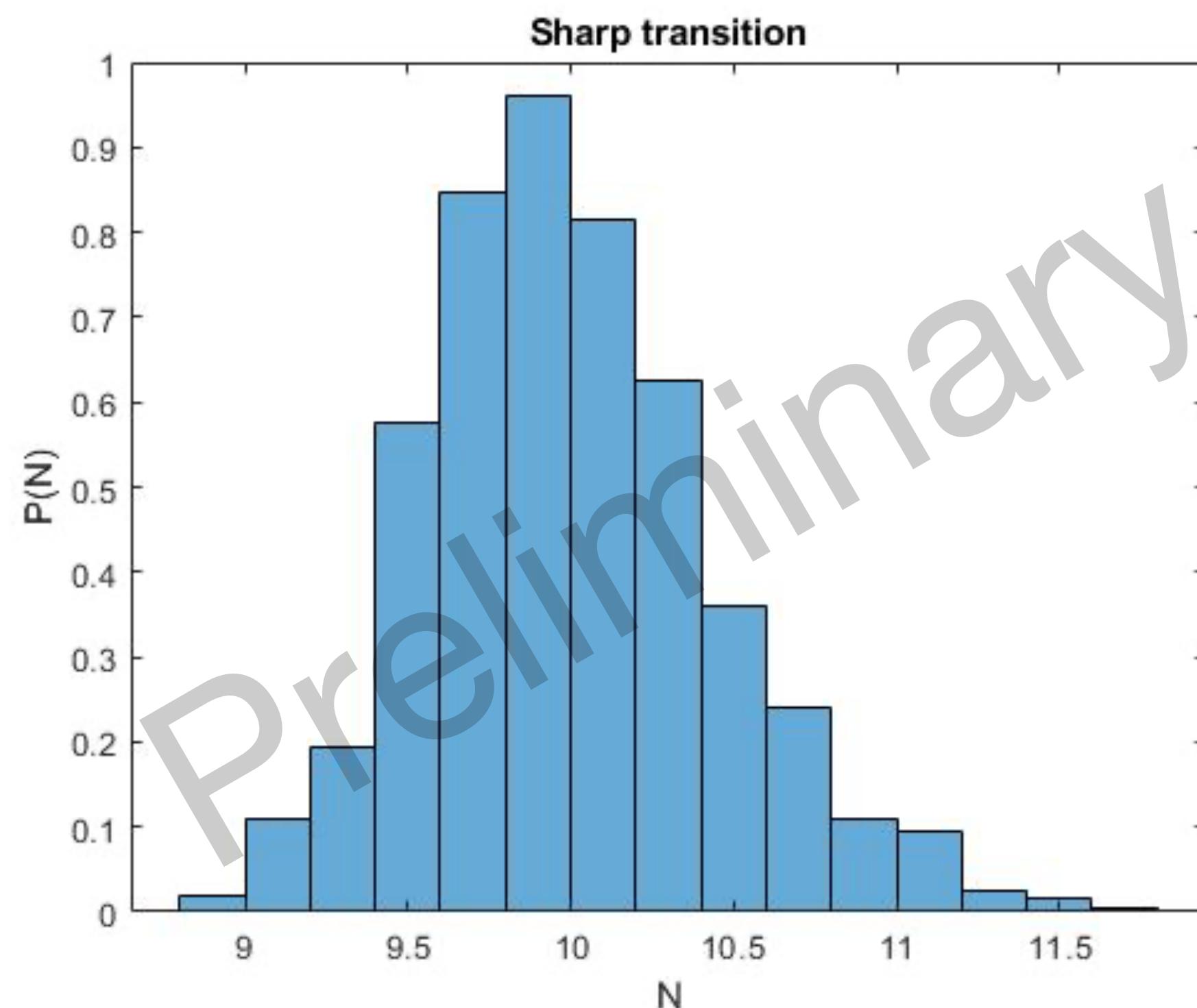
$$\mathbb{P}(\mathcal{R}) \sim \exp(-c^2 e^{2\lambda_- \mathcal{R}})$$

Gumbel-distribution-like tail

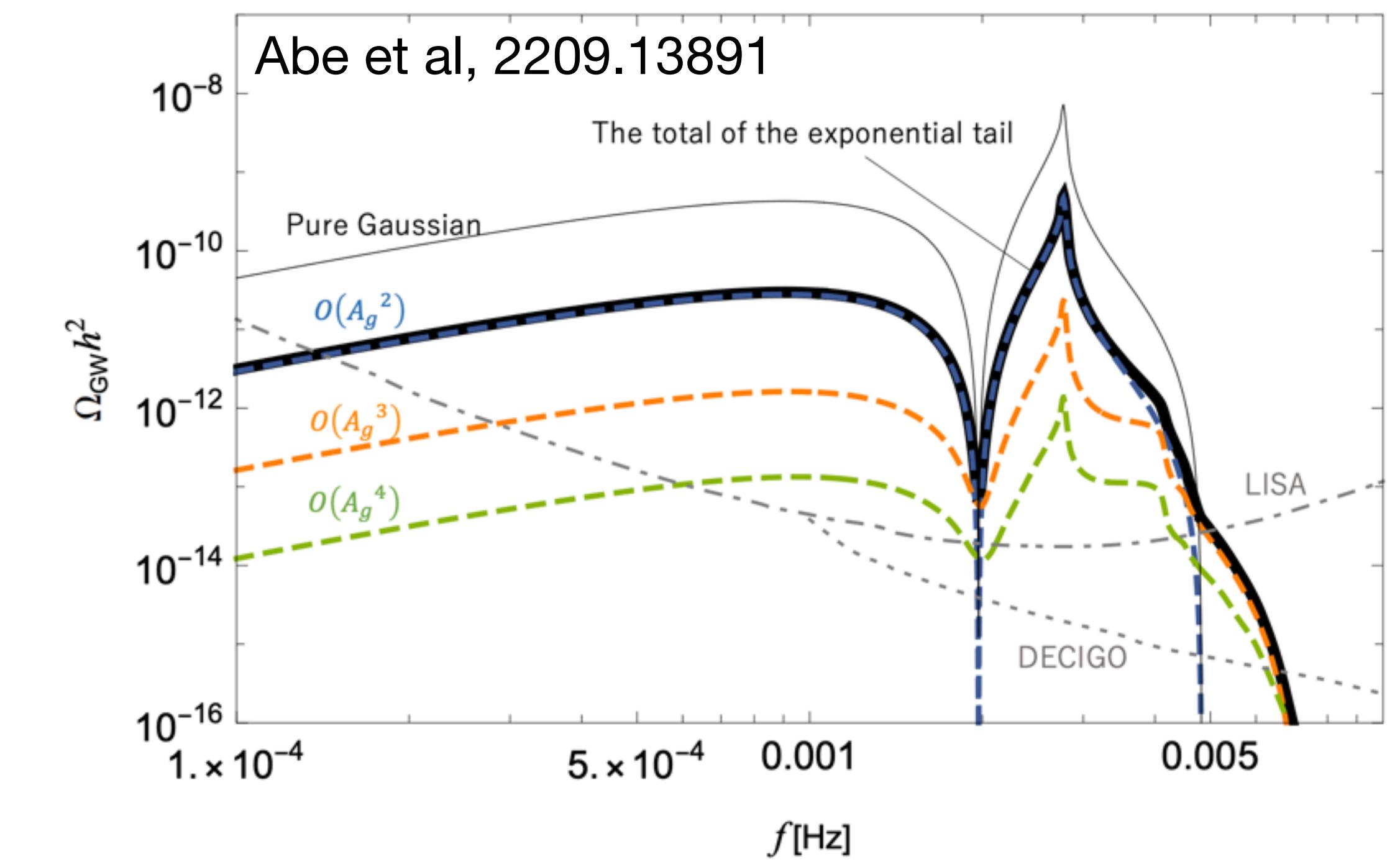
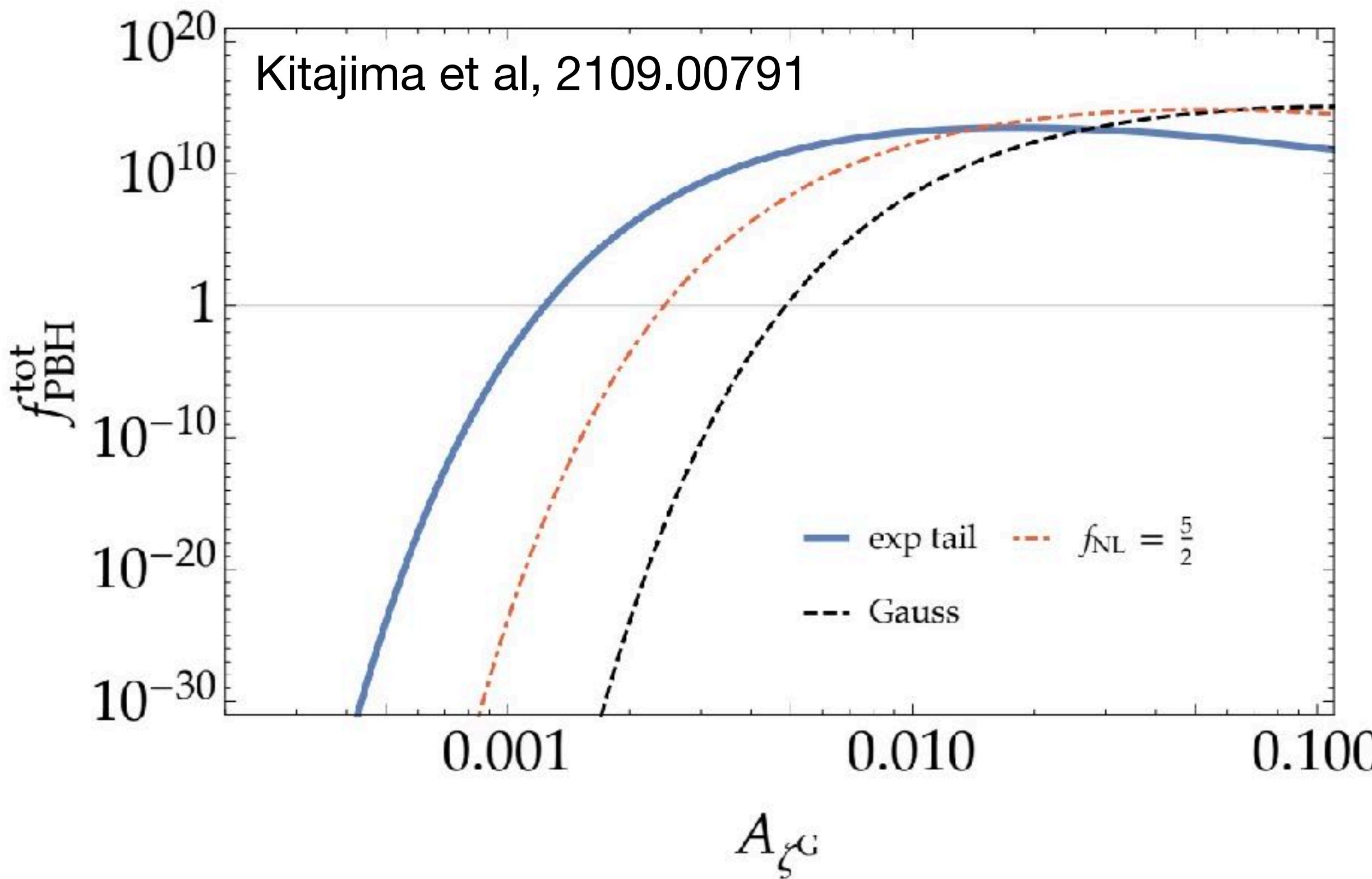
Probability Distribution Function

For a general case: $\mathcal{R} = -\frac{1}{3} \ln \left(1 + \frac{\delta\pi_*}{\pi_*} \right) + \frac{1}{\tilde{\lambda}_-} \ln \left(1 + \frac{\delta\pi_*}{\pi_* + \tilde{\lambda}_+ (\varphi_* - \varphi_m)} \right)$ $\left(\tilde{\lambda}_- = -\frac{1}{2}, \quad \tilde{\lambda}_+ = \frac{7}{2} \right)$

- It shows that smooth transition could be even “more non-Gaussian”, depending on the value of $\tilde{\lambda}_-$.



PBH and IGW with NG



Summary

- The simplest Press-Schechter ignores non-Gaussianities of different origins, which greatly(mildly) enhance/suppress the PBH abundance (IGW spectrum) when NG is positive/negative.
- Primordial non-Gaussianity in $\mathcal{R}(\delta\varphi)$ originates from the non-attractor evolution. The final $\mathcal{R}(\delta\varphi)$ is a sum of contributions from all the stages.
- If $\mathcal{R}(\delta\varphi)$ is dominated by one stage, $\mathbb{P}(\mathcal{R})$ displays an exponential tail or a Gumbel-like (double exponential suppression) tail, depending on the signature of $V''(\varphi)$.
- When $|f_{\text{NL}}| \sim \mathcal{O}(1)$, all the NG effect must be taken appropriately to calculate the PBH abundance. This is necessary when interpreting nHz GW as the IGW.