

Exploring nuclear physics across energy scales 2024:

Intersection between nuclear structure and high energy nuclear collisions

Alpha Clustering and Condensation in Light Nuclei

Bo Zhou (周波)

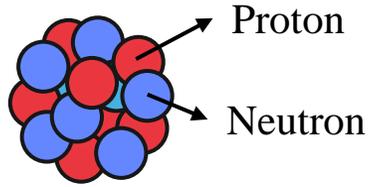
Fudan University

- Introduction
- Container picture
- 5α condensate
- Summary

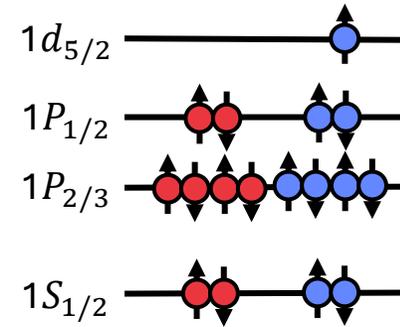
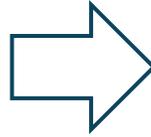
2024-04-22@Peking University

Nuclear Cluster Physics

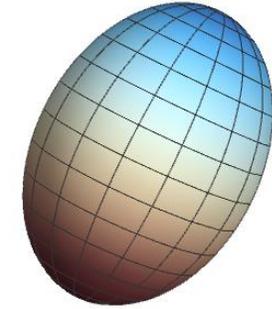
Nuclear many-body problem



$$H |\Psi\rangle = E |\Psi\rangle$$

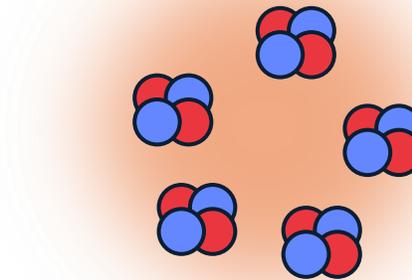
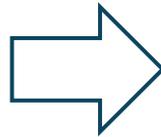
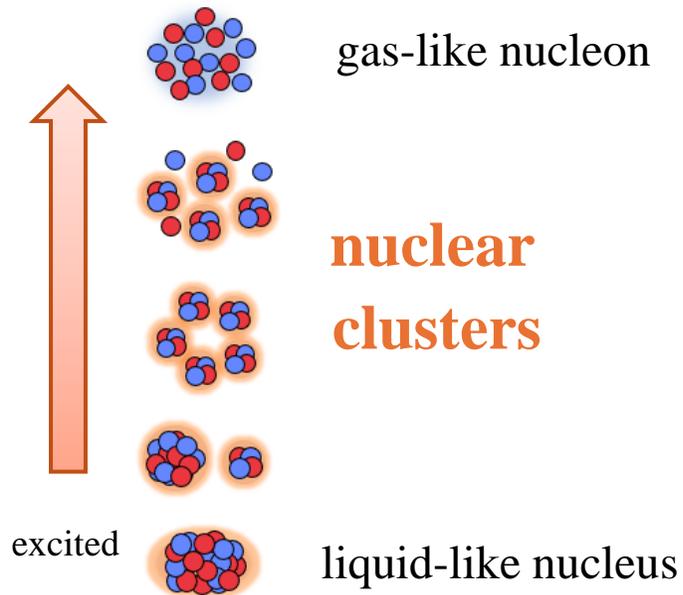


single-particle shell model



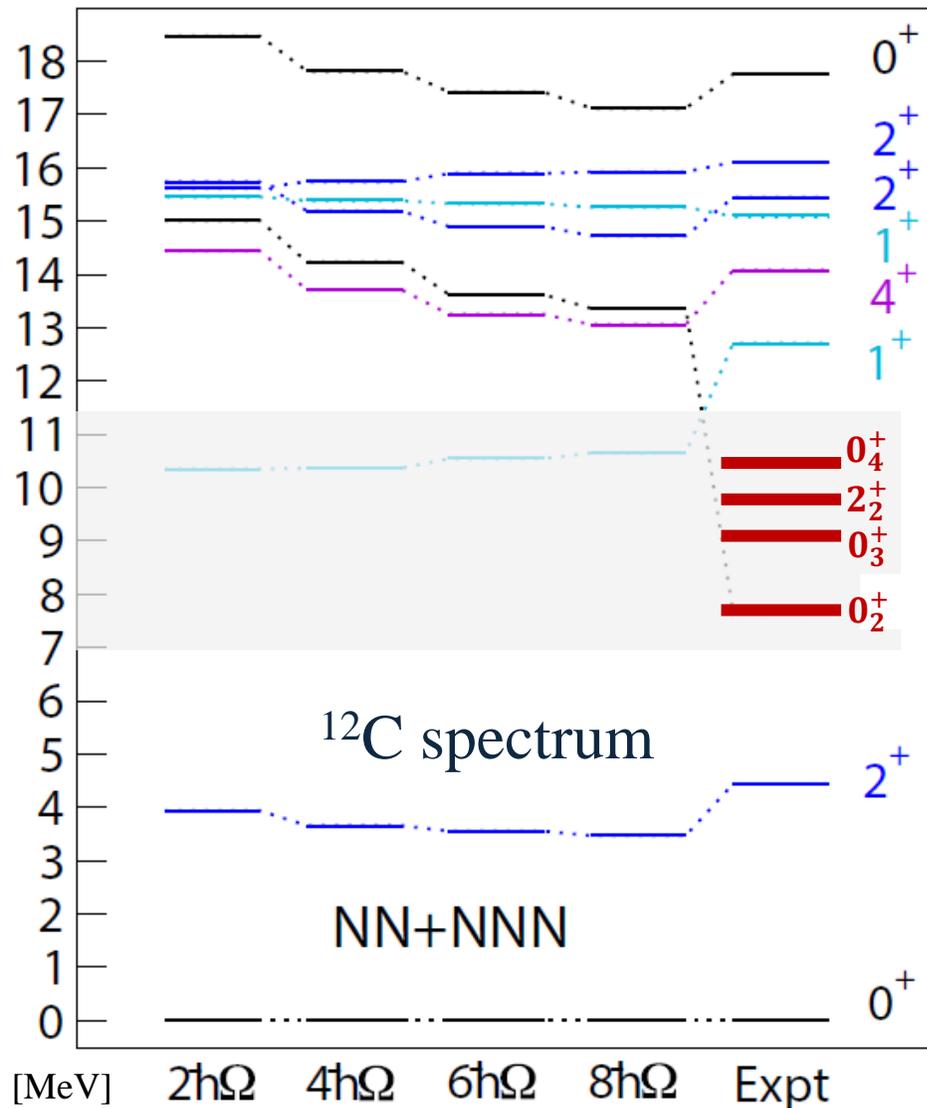
collective model

A "phase transition" can occur,



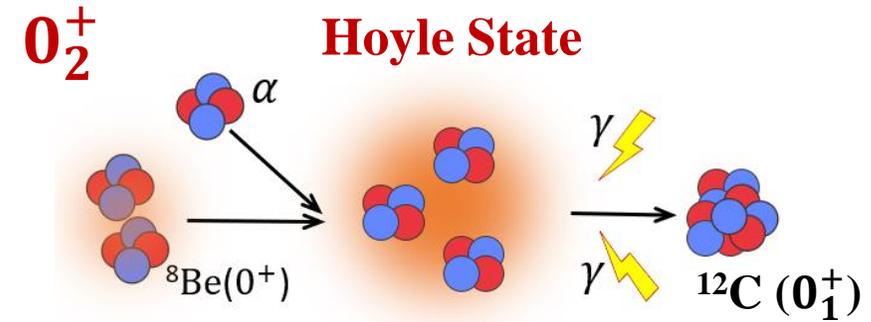
nuclear cluster structure

Cluster states of ^{12}C



Recent No-Core-Shell-Model calculations

[V.Somà, P.Navrátil, et al. PRC, 101, 014318 \(2020\)](#)



The 3α gas-like state & Bose-Einstein Condensate.

[Rev. Mod. Phys. 89, 011002 \(2017\)](#)

$0_{3,4}^+$

Two broad resonance states with large decay width

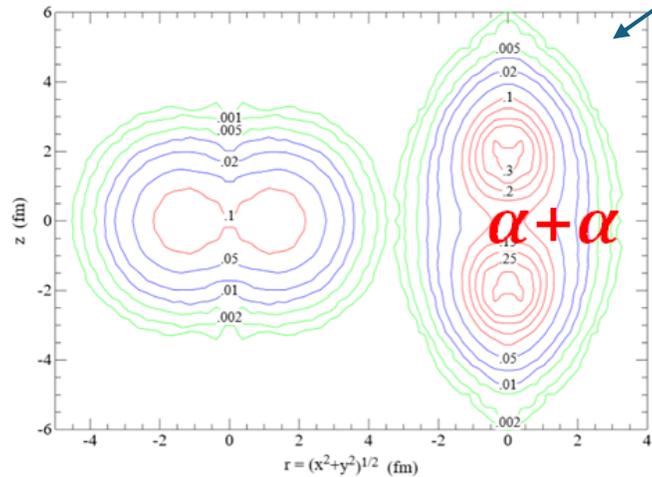
[Phys. Rev. C 84, 054308 \(2011\)](#)

2_2^+

Long puzzle and it now has been confirmed for its existence.

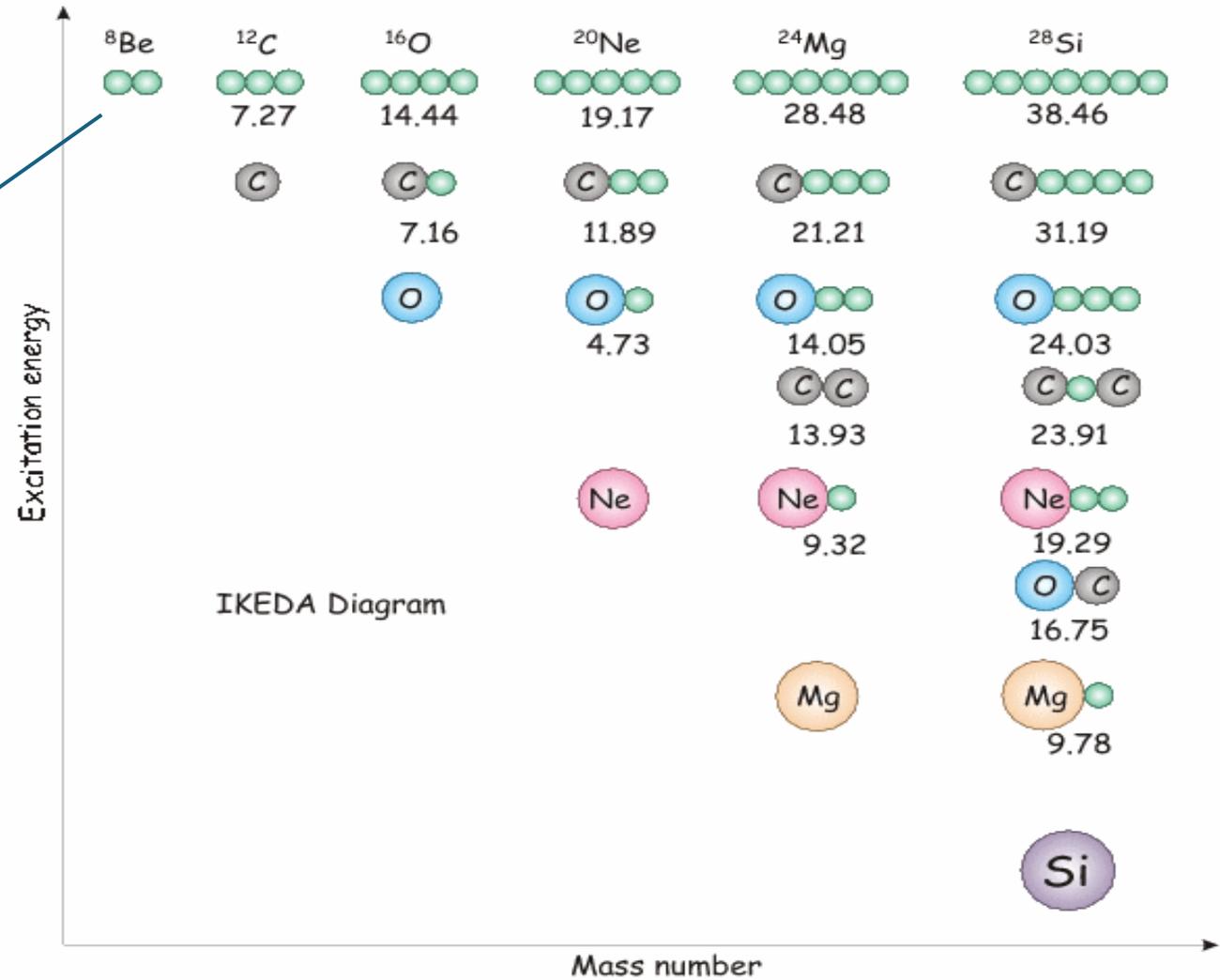
[Phys. Rev. Lett. 110, 152502 \(2013\)](#)

Ikeda diagram for light nuclei



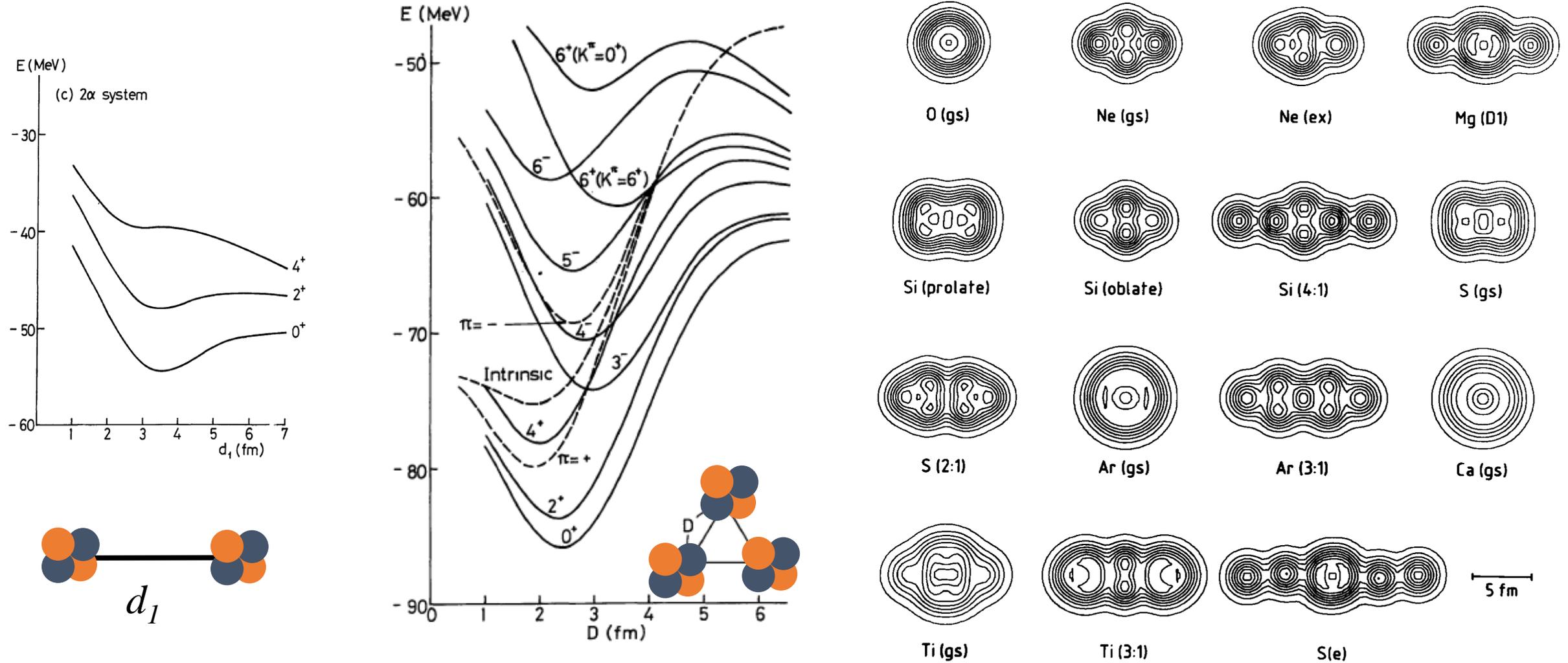
Monte Carlo for the ground state density of ^8Be

R. B. Wiringa, *et al.*, PRC **62**, 014001(2000)

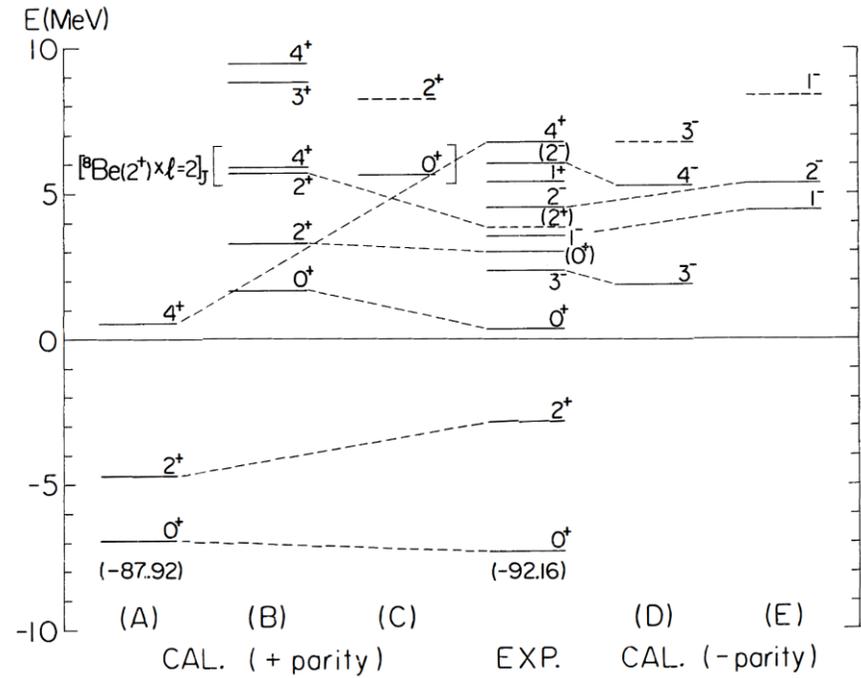
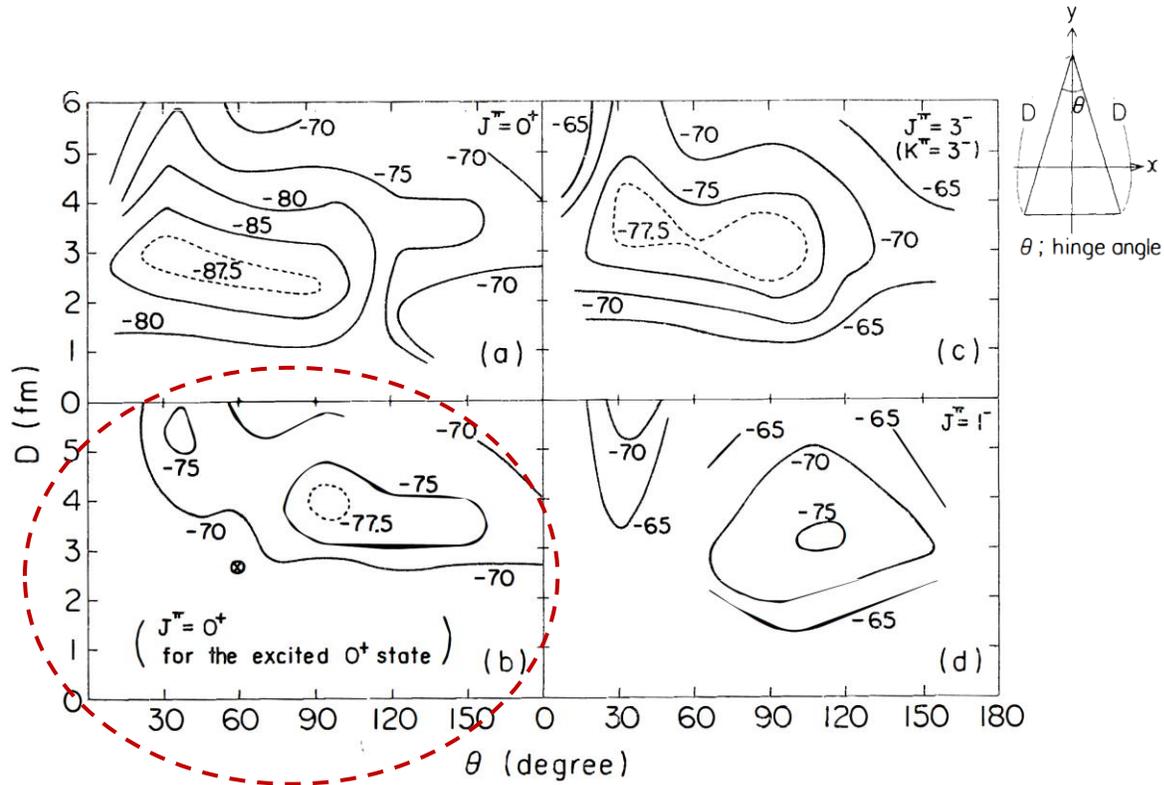


Geometry structure of $N\alpha$ nuclei

in nuclear microscopic cluster model,



Structure of the Excited States in ^{12}C



Microscopic cluster calculations for ^{12}C ,

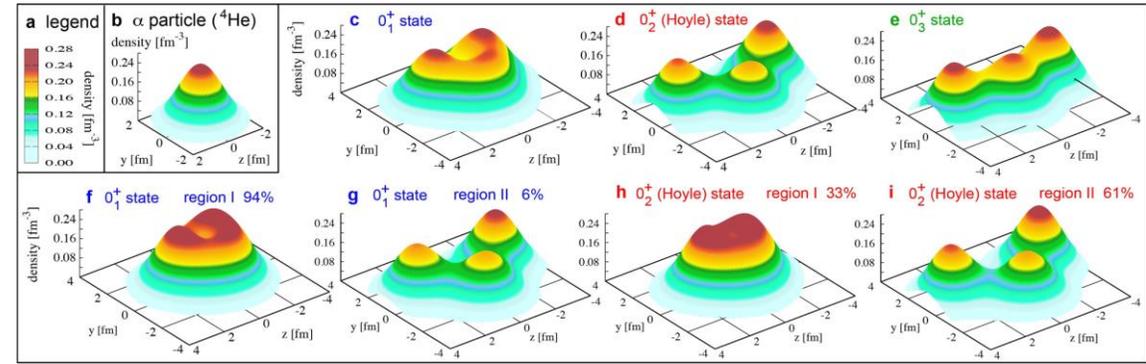
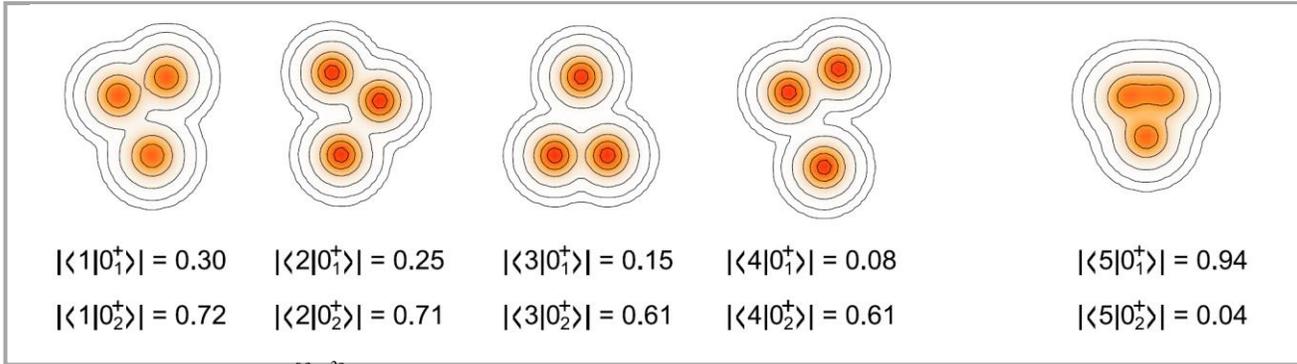
[1] H.Horiuchi, Prog.Theor.Phys. 51,1266 (1974); 53,447(1975)(**OCM**)

[2] Y.Fukushima and M.Kamimura in Proceedings of the International Conference on Nuclear Structure (1977).

M.Kamimura, Nucl.Phys.A 351,456(1981)(**RGM**)

[3] E.Uegaki, S.Okabe, Y.Abe and H.Tanaka, Prog.Theor.Phys. 57,1262(1977); 59,1031(1978); 62,1621(1979) (**GCM**)

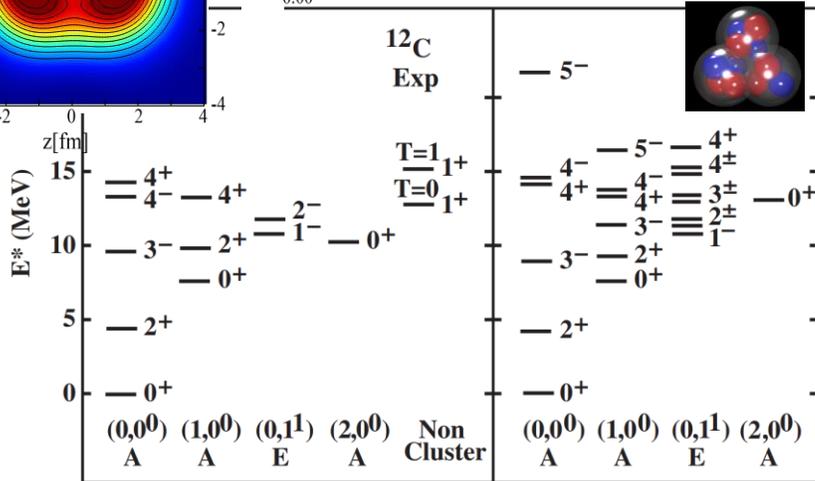
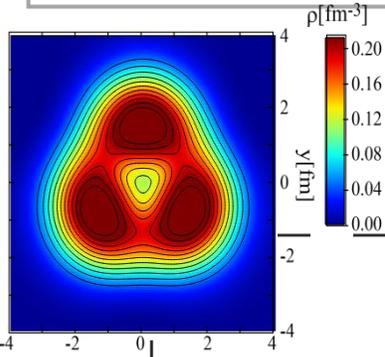
Structure of the ^{12}C



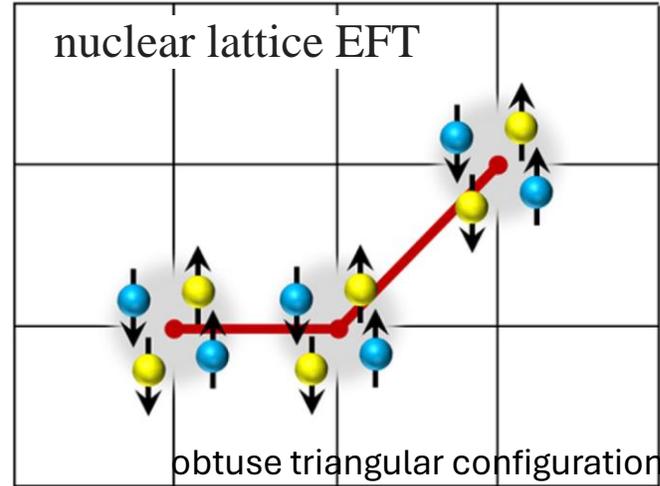
[M. Chernykh, et al., PRL 98, 032501 \(2007\)](#)

[Otsuka, et al., Nat Commun 13, 2234 \(2022\)](#)

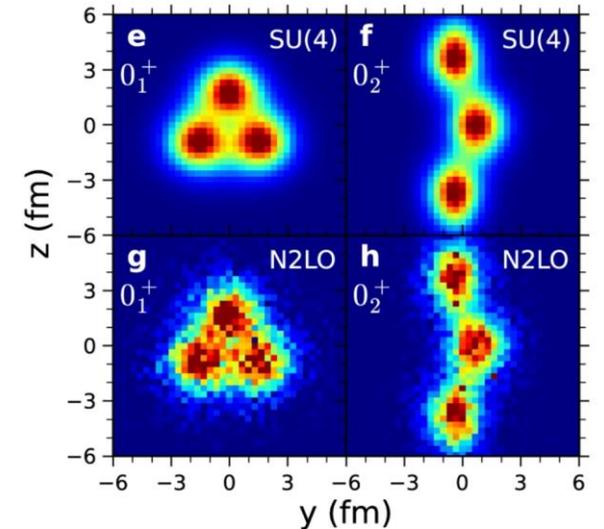
[M. Kimura's talk](#)



[D J Marín-Lámbarri, et al., PRL 113, 012502 \(2014\)](#)



[E. Epelbaum, et al., PRL 109, 252501 \(2012\)](#)



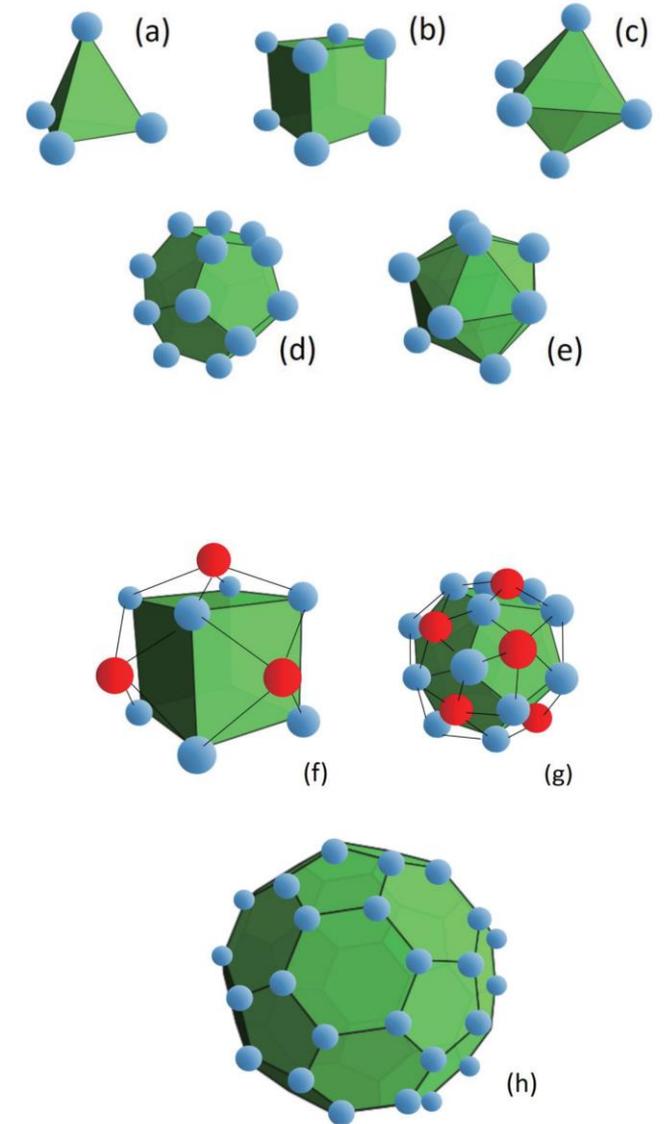
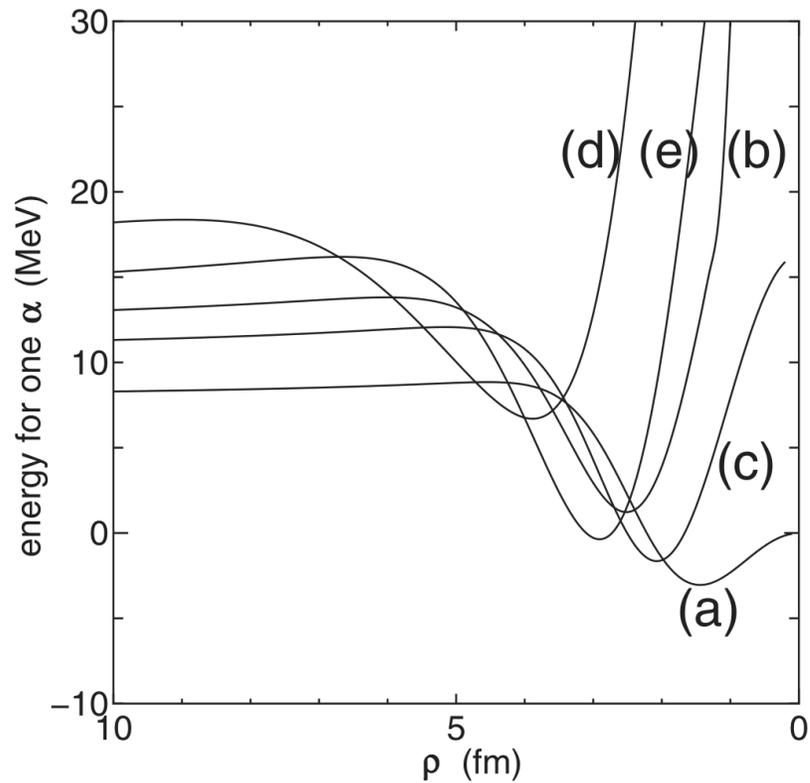
[S. Shen, et al., Nat Commun 14, 2777 \(2023\)](#)

α clustering with a hollow structure: Geometrical structure of α clusters from platonic solids to fullerene shape

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²Yukawa Institute for Theoretical Physics, Kyoto University, Kitashirakawa Oiwake-Cho, Kyoto 606-8502, Japan



an idea full of imagination

Alpha Cluster Condensation in ^{12}C and ^{16}O

A. Tohsaki,¹ H. Horiuchi,² P. Schuck,³ and G. Röpke⁴ **THSR wave function**

¹*Department of Fine Materials Engineering, Shinshu University, Ueda 386-8567, Japan*

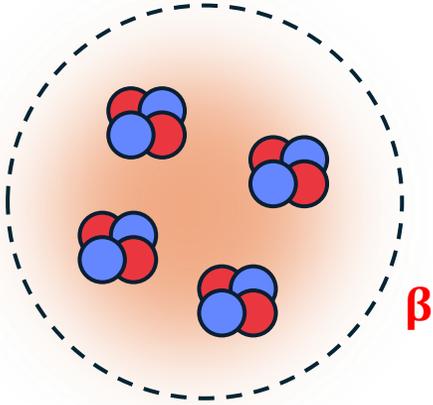
²*Department of Physics, Kyoto University, Kyoto 606-8502, Japan*

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⁴*FB Physik, Universität Rostock, D-18051 Rostock, Germany*

(Received 29 June 2001; published 17 October 2001)

A new α -cluster wave function is proposed which is of the α -particle condensate type. Applications to ^{12}C and ^{16}O show that states of low density close to the 3 and 4 α -particle thresholds in both nuclei are possibly of this kind. It is conjectured that all self-conjugate $4n$ nuclei may show similar features.



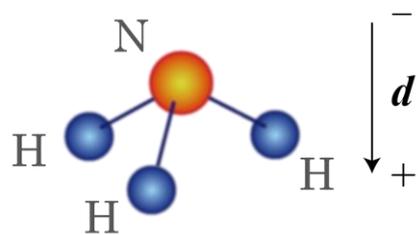
$$\Phi^{\text{THSR}}(\beta) = \int d^3 R_1 \dots d^3 R_n \text{Exp}\left[-\frac{R_1^2 + \dots + R_n^2}{\beta^2}\right] \Phi^{\text{Brink}}(\mathbf{R}_1, \dots, \mathbf{R}_n)$$

$$\propto \phi_G \mathcal{A} \left\{ \prod_{i=1}^n \left[\text{Exp}\left(-\frac{2(\mathbf{X}_i - \mathbf{X}_G)^2}{\mathbf{B}^2}\right) \phi(\alpha_i) \right] \right\}$$

$$\phi(\alpha) \propto \exp\left[-\sum_{1 \leq i < j \leq 4} (r_i - r_j)^2 / (8b^2)\right] \quad \mathbf{B}^2 = \mathbf{b}^2 + 2\beta^2$$

β can be considered as the size parameter of the nucleus

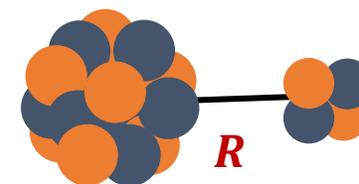
Nonlocalized clustering



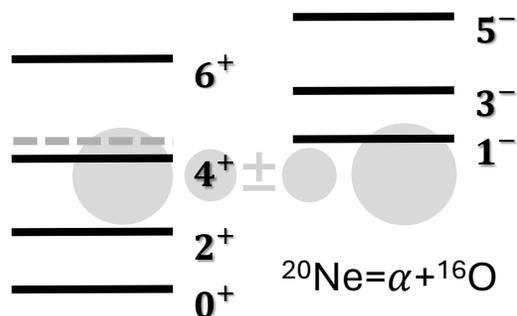
M.Kimura's talk

Clusters make the **localized** motion confined by the inter-cluster distance parameter R .

$$\mathcal{A}\left\{\exp\left[-\frac{8(r-R)^2}{5b^2}\right]\phi(\alpha)\phi(^{16}\text{O})\right\}$$



Brink cluster model



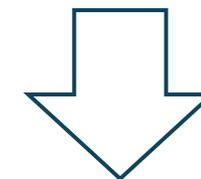
Inversion doublet rotational bands in ^{20}Ne

[H.Horiuchi and K.Ikeda, PTP40,277\(1968\)](#)

Single THSR wave function
 \approx Superposed Brink wave functions

$$\mathcal{A}\left\{\exp\left[-\frac{8r^2}{5B^2}\right]\phi(\alpha)\phi(^{16}\text{O})\right\}$$

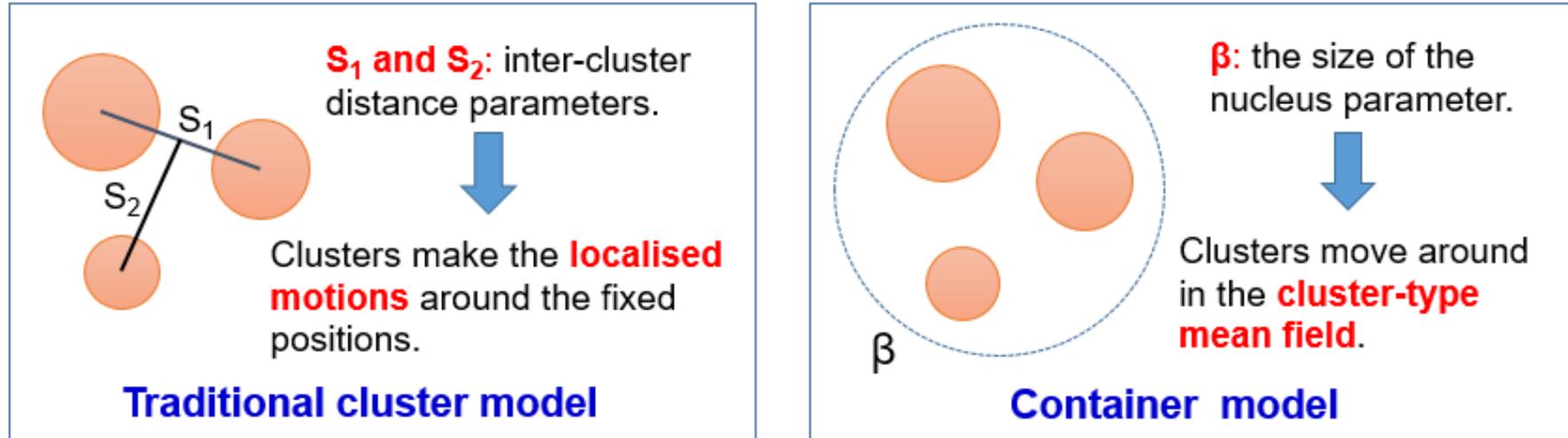
Clusters make the **nonlocalized** motion in a container whose size is described by parameter β ($B^2 = b^2 + 2\beta^2$)



Container picture

[B. Zhou, et al., PRL. 110, 262501 \(2013\)](#)

Container picture for various cluster systems



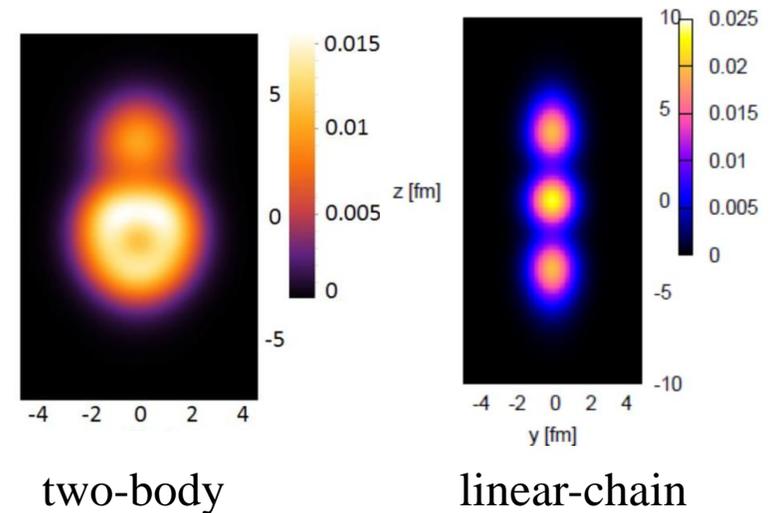
B. Zhou, Y. Funaki, H. Horiuchi, Z. Ren, et al., PRL. **110**, 262501 (2013) PRC89, 034319 (2014).

Table 5

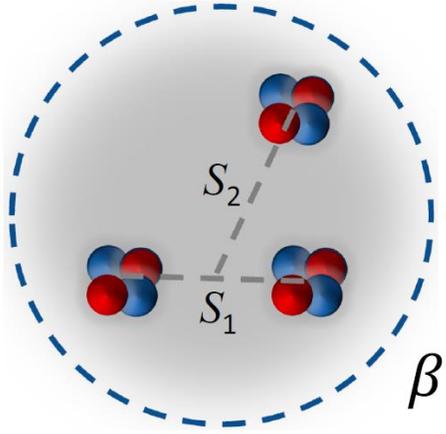
The maximum squared overlaps between the single THSR wave functions and RGM/GCM wave functions. The corresponding β values, where $(\beta_x = \beta_y, \beta_z) = (\beta_\perp, \beta_z)$, are shown in parentheses in a unit of fm.

| | Max. (β_\perp, β_z) | ^{12}C | ^{20}Ne | $3\alpha\text{LCS}$ | $4\alpha\text{LCS}$ | $^9_\Lambda\text{Be}$ |
|-------|-------------------------------|--|------------------|---------------------|---------------------|-----------------------|
| 0^+ | 1.000(1.8, 7.8) | $0_1^+ : 0.93(1.5, 1.5)$ $(0_1^+ : 0.978)^a$ $0_2^+ : 0.993(5.3, 1.5)$ | 0.993(0.9, 2.5) | 0.987(0.1, 5.1) | 0.944(0.1, 8.2) | 0.995(1.6, 3.0) |
| 2^+ | | | 0.988(0.0, 2.2) | 0.989(0.1, 5.4) | 0.942(0.1, 8.4) | 0.994(0.1, 3.0) |
| 4^+ | | | 0.978(0.0, 1.8) | 0.981(0.1, 6.6) | 0.931(0.1, 9.0) | 0.977(0.1, 2.1) |
| 3^- | | | 1.000(3.7, 1.4) | 0.999(3.7, 0.0) | | |

^a The value by the use of the extended version of the THSR wave function, with the parameter values $(\beta_{1\perp}, \beta_{1z}, \beta_{2\perp}, \beta_{2z}) = (0.1, 2.3, 2.8, 0.1)$, which we will discuss in Section 3.9.3.



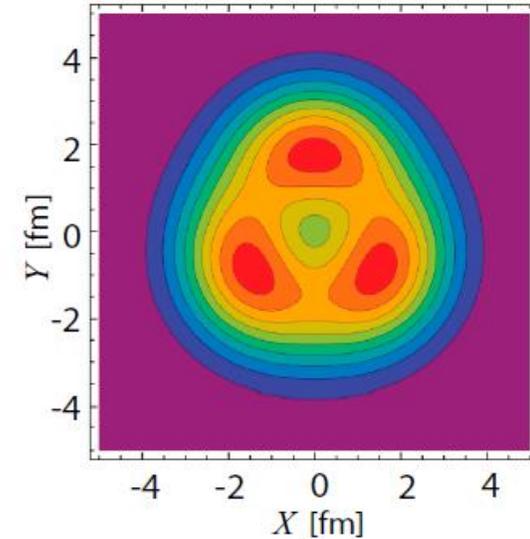
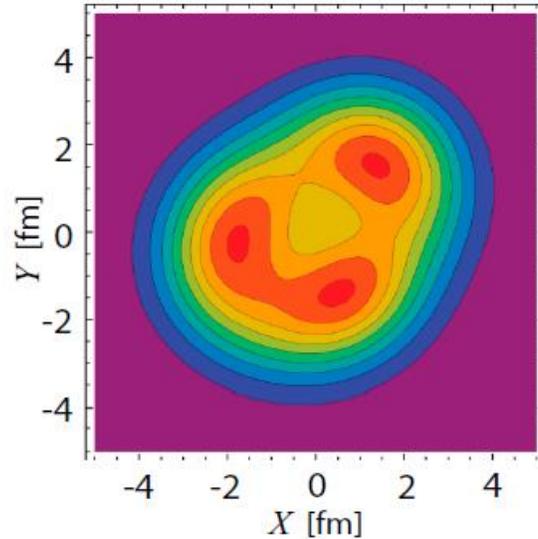
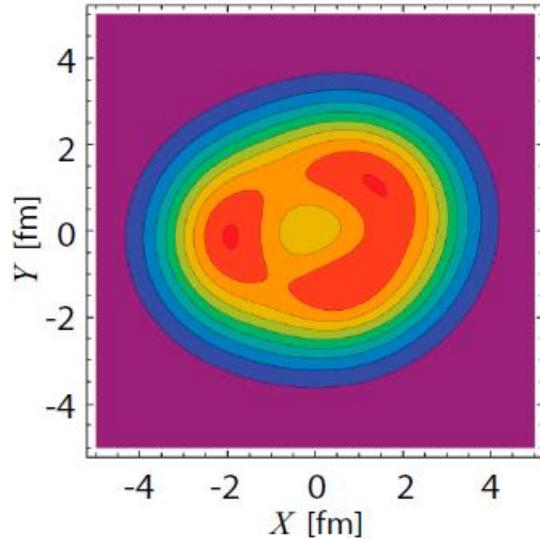
Nonlocalized cluster motion of 3 α clusters in ^{12}C



We really obtained the single high-accuracy THSR-type wave functions for 3 $^-$ and 4 $^-$ states,

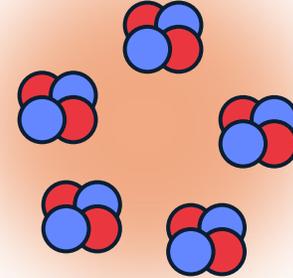
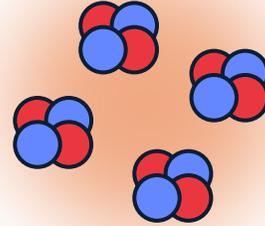
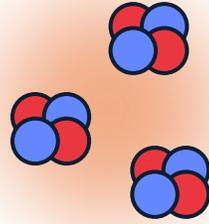
$$\propto \mathcal{A}\left\{\exp\left[-\frac{(\boldsymbol{\xi}_1 - \mathbf{S}_1)^2}{b^2 + 2\beta^2} - \frac{(\boldsymbol{\xi}_2 - \mathbf{S}_2)^2}{3/4 (b^2 + 2\beta^2)}\right]\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\right\}$$

Size parameters β obtained by variational calculations.



$$\begin{aligned} |\langle \Phi^{3^-}(3/2, 3/2, 1/2) | \Phi_{\text{GCM}}^{3^-} \rangle|^2 &= 0.94 & |\langle \Phi^{3^-}(1, 3/2, 3/2) | \Phi_{\text{GCM}}^{3^-} \rangle|^2 &= 0.93 & |\langle \Phi^{3^-}(3/2, 0, 3/2) | \Phi_{\text{GCM}}^{3^-} \rangle|^2 &= 0.94 \\ |\langle \Phi^{4^-}(3/2, 3/2, 1/2) | \Phi_{\text{GCM}}^{4^-} \rangle|^2 &= 0.92 & |\langle \Phi^{4^-}(1, 3/2, 3/2) | \Phi_{\text{GCM}}^{4^-} \rangle|^2 &= 0.92 & |\langle \Phi^{4^-}(3/2, 0, 3/2) | \Phi_{\text{GCM}}^{4^-} \rangle|^2 &= 0.92 \end{aligned}$$

gas-like cluster state
no-geometry shape
excited states



$N\alpha$ nuclei

Search for the 5α condensate state

3α condensate

(Hoyle state)

2001 (THSR)

4α condensate

(0_6^+ state)

2008~ (OCM, THSR)

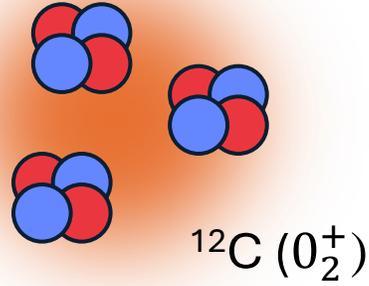
5α condensate

(?)

2019~

study of alpha condensate in finite nuclei

Hoyle states of ^{12}C

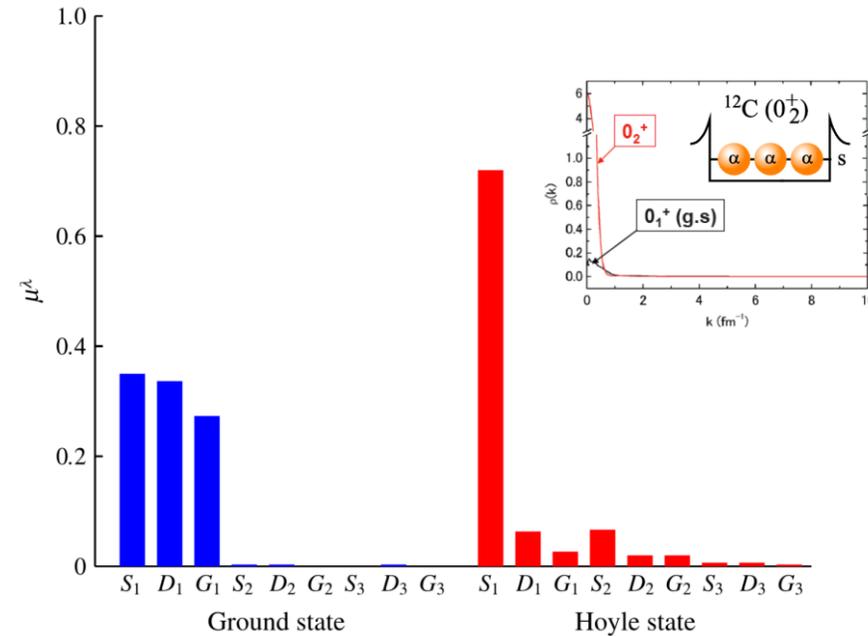
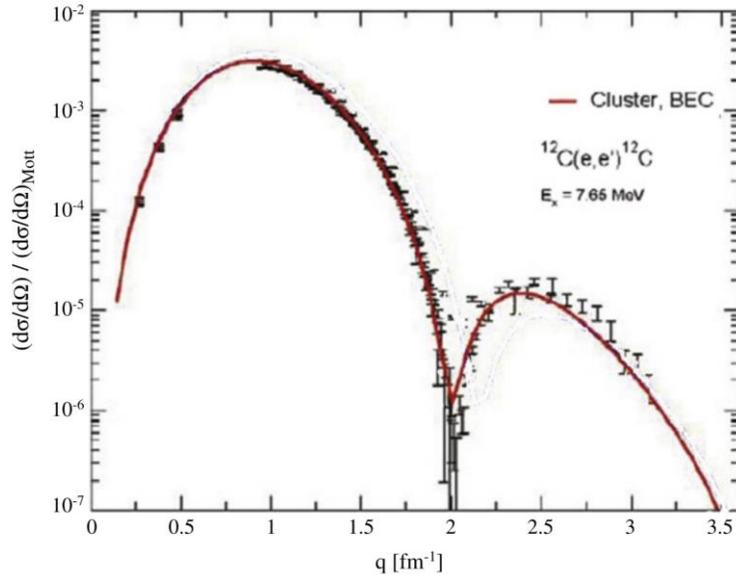


3α Bose-Einstein state

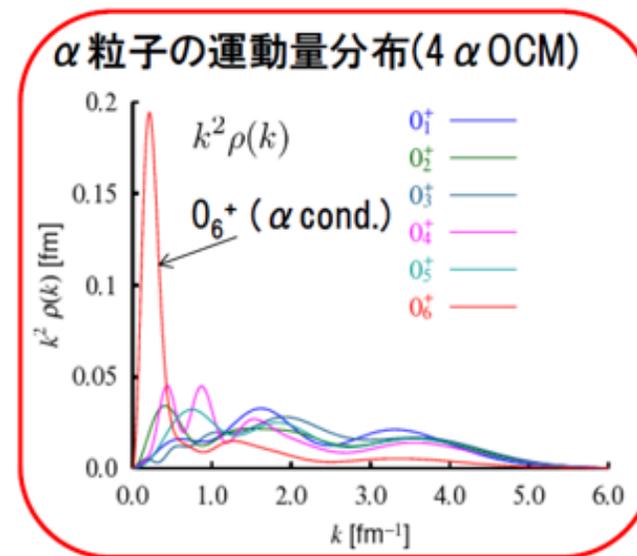
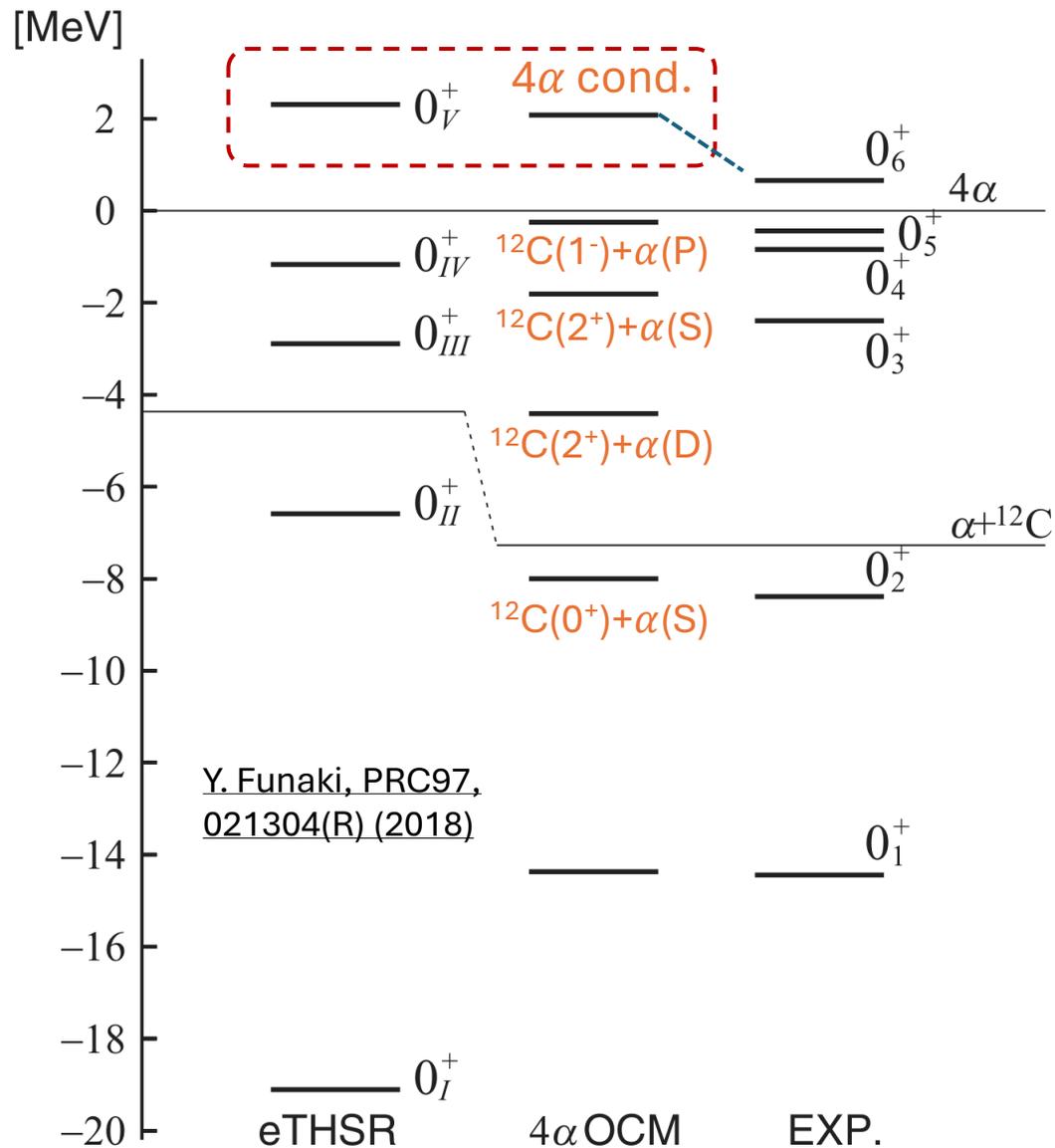
$$\begin{aligned} \Psi_{3\alpha}^{\text{THSR}} &= \mathcal{A} \left\{ \exp \left[-\frac{2}{B^2} (\mathbf{X}_1^2 + \mathbf{X}_2^2 + \mathbf{X}_3^2) \right] \phi(\alpha_1) \phi(\alpha_2) \phi(\alpha_3) \right\} \\ &= \exp \left(-\frac{6}{B^2} \xi_3^2 \right) \mathcal{A} \left\{ \exp \left(-\frac{4}{3B^2} \xi_1^2 - \frac{1}{B^2} \xi_2^2 \right) \phi(\alpha_1) \phi(\alpha_2) \phi(\alpha_3) \right\}, \\ \xi_1 &= \mathbf{X}_1 - \frac{1}{2} (\mathbf{X}_2 + \mathbf{X}_3), \quad \xi_2 = \mathbf{X}_2 - \mathbf{X}_3, \quad \xi_3 = \frac{1}{3} (\mathbf{X}_1 + \mathbf{X}_2 + \mathbf{X}_3) \end{aligned}$$

[THSR, PRL 87, 192501 \(2001\)](#)

Y. Funaki et al. / *Progress in Particle and Nuclear Physics* 82 (2015) 78–132



Alpha condensate in ^{16}O

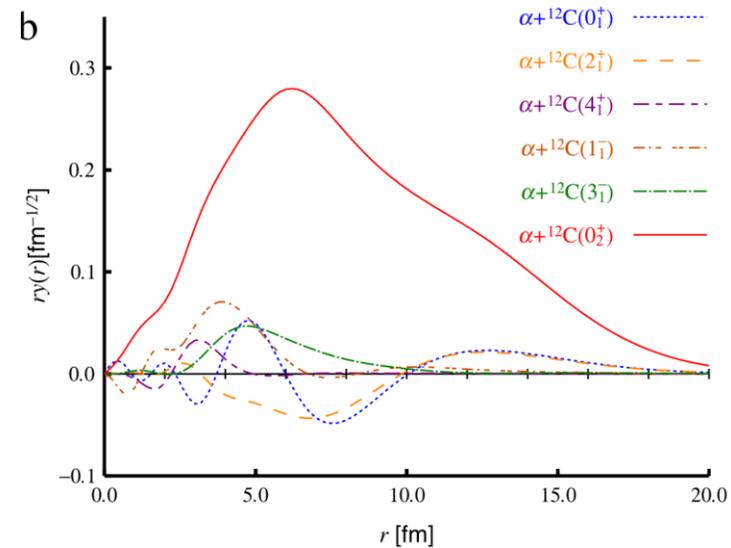


4α OCM

Y. F. et al., PRL 101, 082502 (2008).

4α THSR

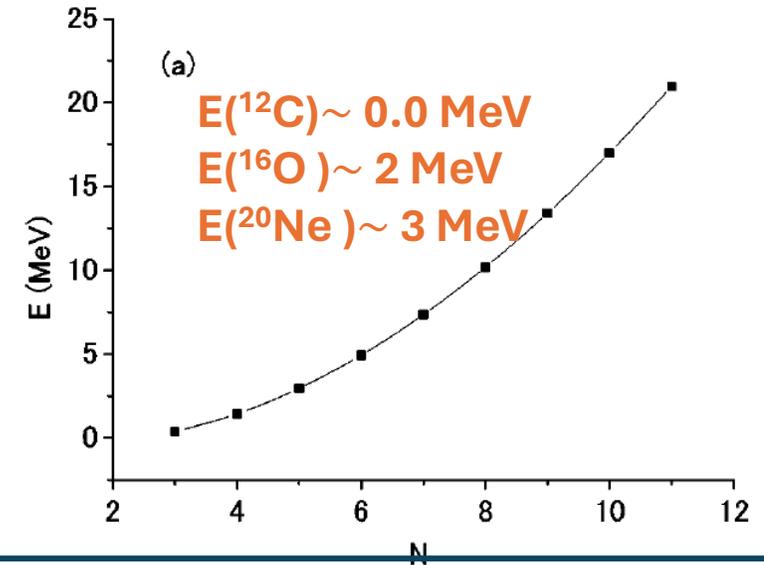
Y. F. et al., PRC 82, 024312 (2010).



Multi-alpha condensation

Dilute multi- α cluster condensed states with spherical and axially deformed shapes are studied with the Gross-Pitaevskii equation and Hill-Wheeler equation where the α cluster is treated as a structureless boson,
it is predicted to exist in heavier self-conjugate $4N$ nuclei up to $N=10$.

[T. Yamada and P. Schuck, Phys. Rev. C 69, 024309 \(2004\).](#)



Some candidates for α condensate were found from experiments for ^{12}C and ^{16}O .

[Rev. Mod. Phys. 89, 011002 \(2017\).](#)

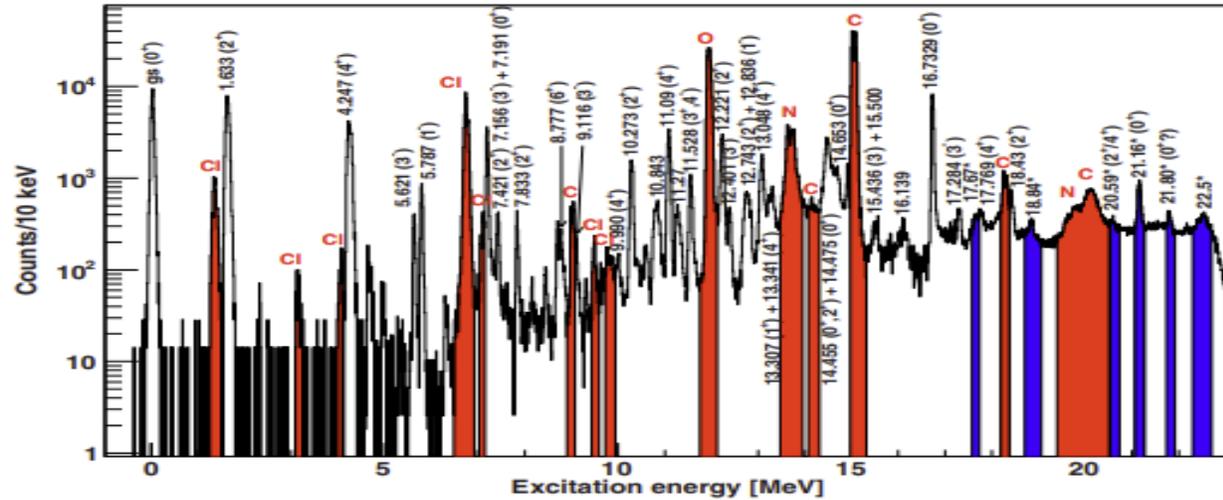
No experimental signatures for α condensation were observed

[Phys. Rev. C 100, 034320 \(2019\)](#)

An experimental way of testing Bose-Einstein condensation of clusters in the atomic nucleus is reported. The enhancement of cluster emission and the multiplicity partition of possible emitted clusters could be direct signatures for the condensed states.

[PRL 96, 192502 \(2006\)](#)

Recent experiment for 5α condensation

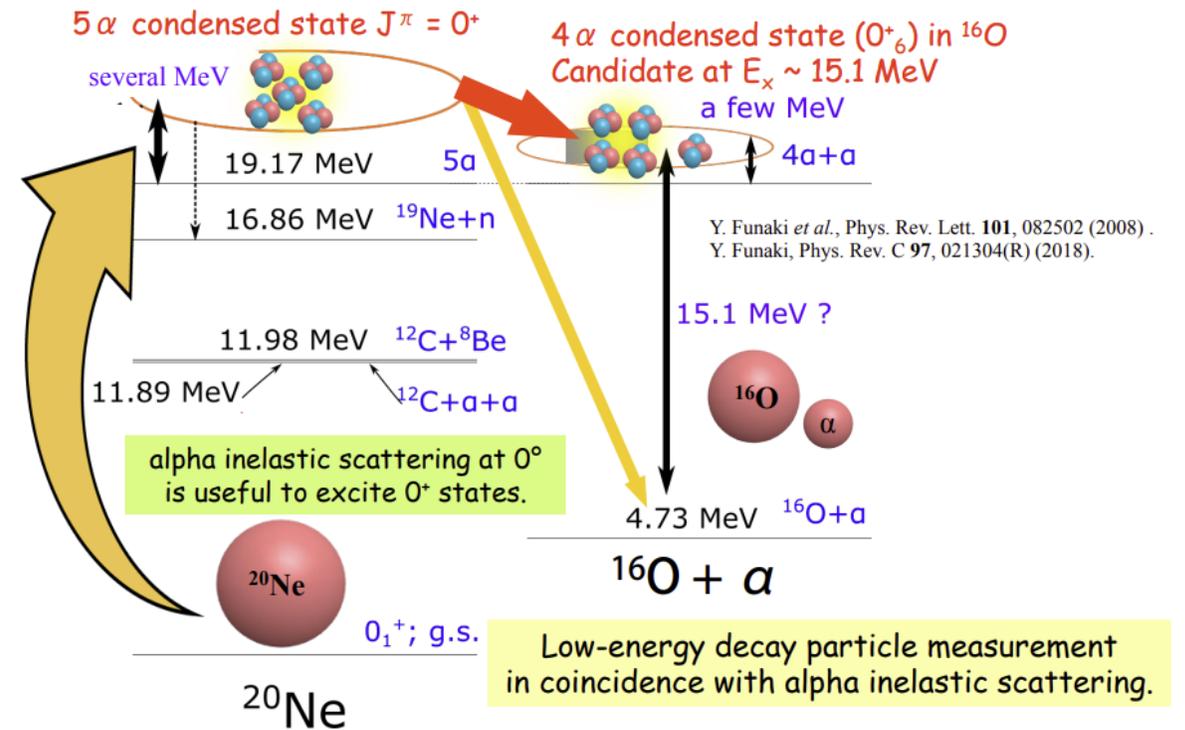


| E_x calculated MeV | Model | E_x measured [MeV] |
|----------------------|-----------------|----------------------|
| 6.05 | $2\hbar\omega$ | 6.725 |
| 6.70 | USDB | 7.191 |
| 12.58 | $2\hbar\omega$ | 13.642 |
| 14.43 | USDB | 14.455/14.475 |
| 14.67 | USDB | 14.653 |
| 16.76 | USDB | 16.73 |
| 18.06 | USDB | 17.90 |
| 19.02 | USDB | 18.840(56) |
| 20.47 | $2\hbar\omega$ | 21.160(53) |
| 22.14 | $5-\alpha$ [31] | 22.500(52) |

PHYSICAL REVIEW C 91, 034317 (2015)

Spectroscopy of narrow, high-lying, low-spin states in ^{20}Ne

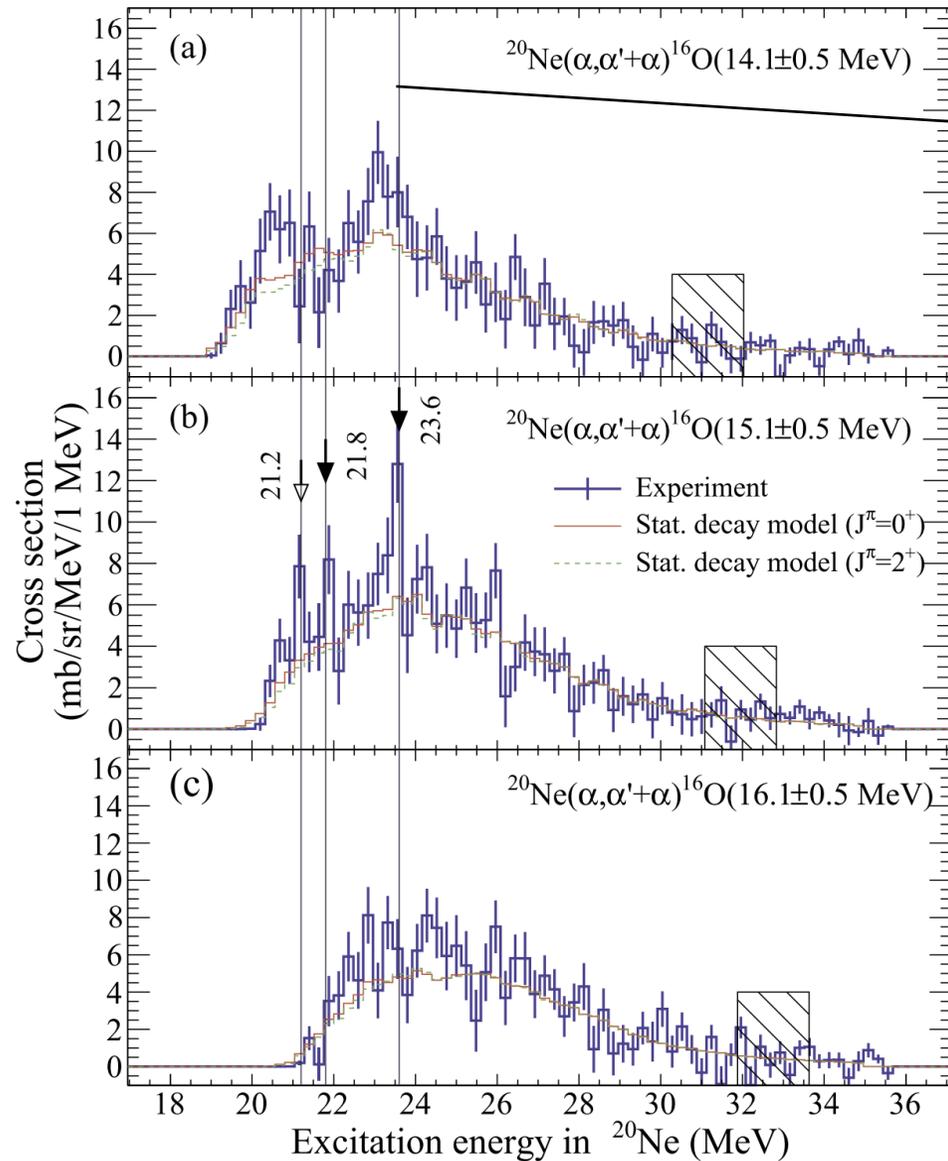
J. A. Swartz,^{1,2,*} B. A. Brown,^{3,4} P. Papka,^{1,2} F. D. Smit,² R. Neveling,² E. Z. Buthelezi,² S. V. Förtsch,² M. Freer,⁵ Tz. Kokalova,⁵ J. P. Mira,^{1,2} F. Nemulodi,^{1,2} J. N. Orce,⁶ W. A. Richter,^{2,6} and G. F. Steyn²



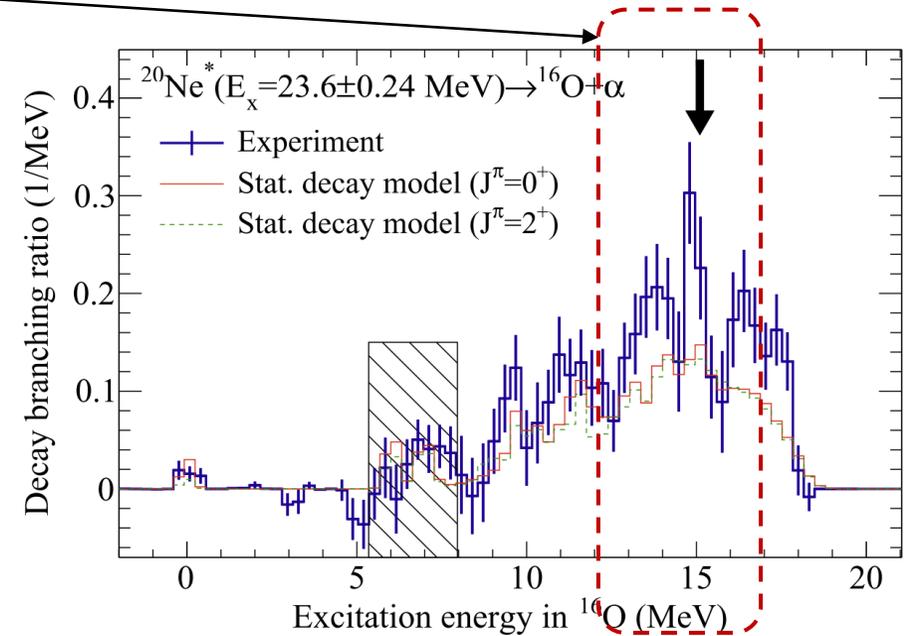
courtesy from Kawabata

The state at $E_x=22.5 \text{ MeV}$, which could not be interpreted by the shell-model calculations, is a **tentative candidate for the 5α cluster state**.

Recent experiment for 5α condensation



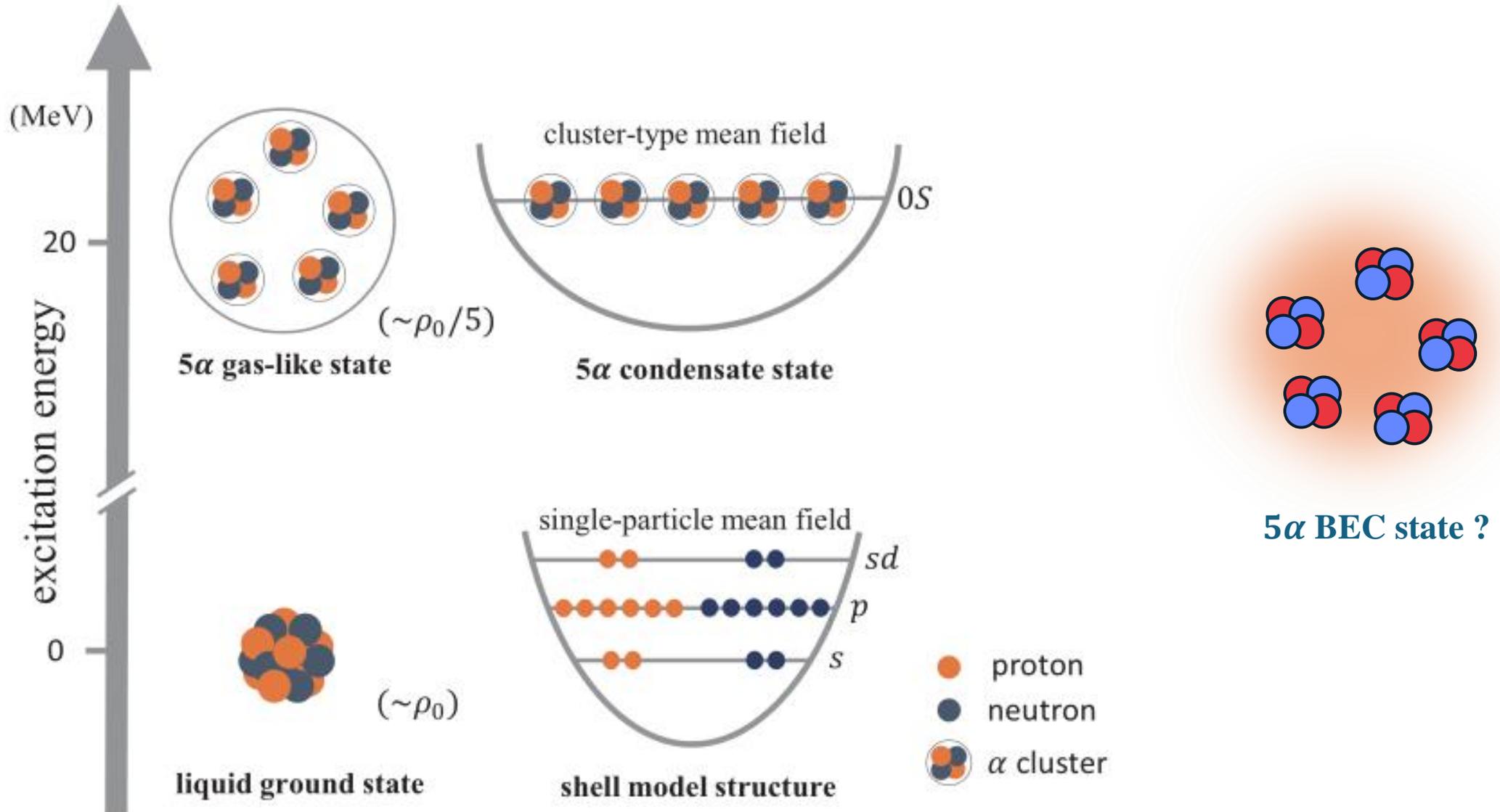
23.6-MeV state enhances in the decay to the $^{16}\text{O}(0_6^+) + \alpha$ channel



- 3.3 MeV above the 5α threshold
- strongly coupled to $^{16}\text{O}(0_6^+)$ state

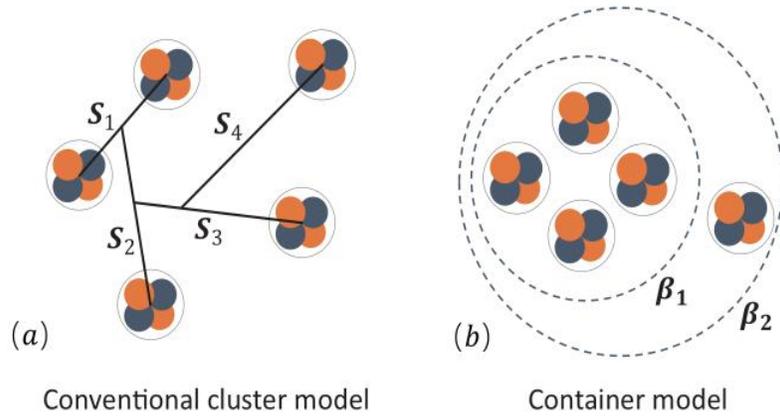
S. Adachi et al., *Candidates for the 5α condensed state in ^{20}Ne* , PLB **819**, 136411 (2021).

Search for the 5α condensate state



The 5alpha microscopic wave function

To solve the configurations problem:



Schematic illustrations of two distinct microscopic cluster models. **a**, The conventional cluster model of Φ^B , in which the inter-cluster variables $\{S_i\}$ are the Jacobi coordinates of $\{R_i\}$. **b**, Container picture for $4\alpha + \alpha$ cluster structure of ^{20}Ne . The β_1 is the size variable for the description of 4α and β_2 for the description of the relative motion between 4α and α clusters.

$$\Psi(\beta_1, \beta_2) = \int d^3R_1 d^3R_2 d^3R_3 d^3R_4 d^3R_5 \text{Exp} \left[-\frac{1/2S_1^2 + 2/3S_2^2 + 3/4S_3^2 - 4/5S_4^2}{\beta_1^2} - \frac{4/5S_4^2}{\beta_2^2} \right] \Phi^B(R_1, R_2, R_3, R_4, R_5) \quad (1)$$

$$= n_0 \mathcal{A} \left\{ \text{Exp} \left[-\frac{2\xi_1^2 + 8/3\xi_2^2 + 3\xi_3^2}{2(b^2 + 2\beta_1^2)} \right] \text{Exp} \left[-\frac{16/5\xi_4^2}{2(b^2 + 2\beta_2^2)} \right] \prod_{i=1}^5 \varphi_i^{\text{int}}(\alpha) \right\},$$

where the conventional Brink cluster wave function Φ^B ,

$$\Phi^B(R_1, R_2, R_3, R_4, R_5) = \frac{1}{\sqrt{20!}} \mathcal{A} [\phi_1(R_1) \dots \phi_5(R_2) \dots \phi_{20}(R_5)],$$

$$\propto \phi_g \mathcal{A} \left\{ \text{Exp} \left[-\frac{2(\xi_1 - S_1)^2 + 8/3(\xi_2 - S_2)^2 + 3(\xi_3 - S_3)^2}{2b^2} \right] \text{Exp} \left[-\frac{16/5(\xi_4 - S_4)^2}{2b^2} \right] \prod_{i=1}^5 \varphi_i^{\text{int}}(\alpha) \right\}, \quad (4)$$

with the single-nucleon wave function,

$$\phi_i(R_k) = \left(\frac{1}{\pi b^2} \right)^{\frac{3}{4}} e^{-\frac{1}{2b^2}(r_i - R_k)^2} \chi_i \tau_i.$$

Three-body effective interaction

To solve the interaction problem:

The Hamiltonian for ^{20}Ne in this work can be written as:

$$\mathcal{H} = -\frac{\hbar^2}{2M} \sum_i \nabla_i^2 - T_G + \sum_{i<j} V_{ij}^C + \sum_{i<j} V_{ij}^{(2)} + \sum_{i<j<k} V_{ijk}^{(3)},$$

The effective nucleon-nucleon potential part is taken a Gaussian form, which is expressed as:

$$V_{ij}^{(2)} = \sum_n v_n^{(2)} \exp \left\{ - \left(\frac{r_{ij}}{r_n^{(2)}} \right)^2 \right\} (W_n^{(2)} + M_n^{(2)} P_{ij})$$

and

$$V_{ijk}^{(3)} = \sum_n v_n^{(3)} \exp \left\{ - \left(\frac{r_{ij}}{r_n^{(3)}} \right)^2 - \left(\frac{r_{jk}}{r_n^{(3)}} \right)^2 \right\} \\ \times (W_n^{(3)} + M_n^{(3)} P_{ij})(W_n^{(3)} + M_n^{(3)} P_{jk}),$$

Tohsaki F1 three-body interaction was used.

Radius-Constraint Method + Stabilization Method

To solve the resonance problem:

Radius-Constraint Method,

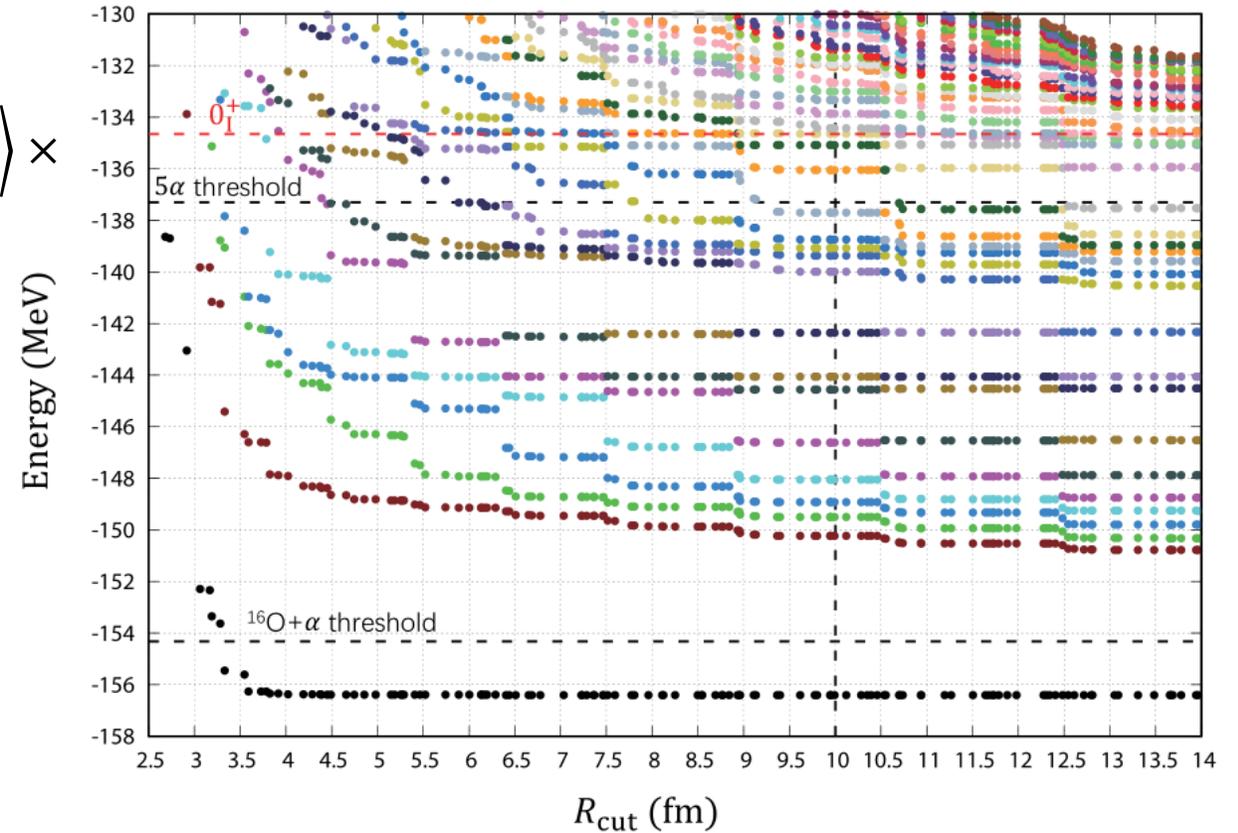
$$\sum_{\beta'_1, \beta'_2} \left\langle \hat{\Phi}_{4\alpha+\alpha}^{0+}(\beta_1, \beta_2) \left| \sum_{i=1} \frac{1}{20} (r_i - X_G)^2 \right| \hat{\Phi}_{4\alpha+\alpha}^{0+}(\beta'_1, \beta'_2) \right\rangle \times g^{(\gamma)}(\beta'_1, \beta'_2)$$

$$= \{R^{(\gamma)}\} g^{(\gamma)}(\beta_1, \beta_2) \left\langle \hat{\Phi}_{4\alpha+\alpha}^{0+}(\beta_1, \beta_2) \left| \hat{\Phi}_{4\alpha+\alpha}^{0+}(\beta'_1, \beta'_2) \right\rangle$$

$$\Psi_{GCM}^{0+} = \sum_{\beta_1, \beta_2} g^{(\gamma)}(\beta_1, \beta_2) \hat{\Phi}_{4\alpha+\alpha}^{0+}(\beta_1, \beta_2)$$

Here, $R^{(\gamma)} \leq R_{cut}$ and R_{cut} is the radius cut-off parameter.

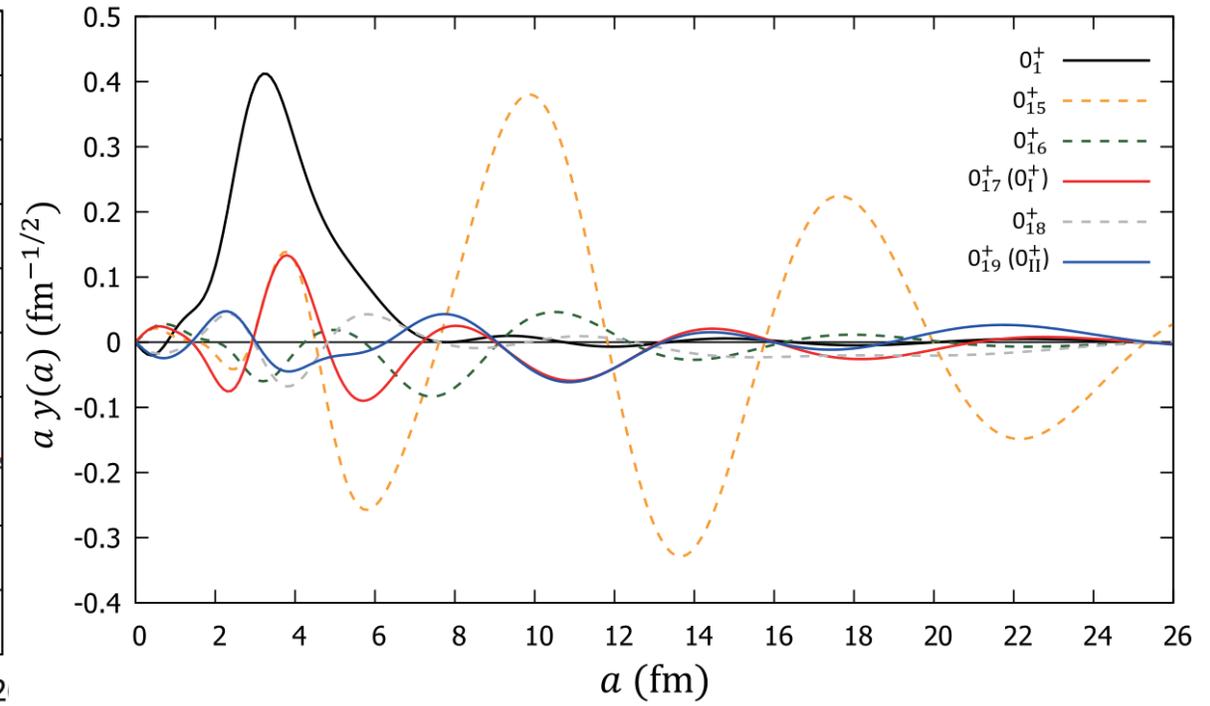
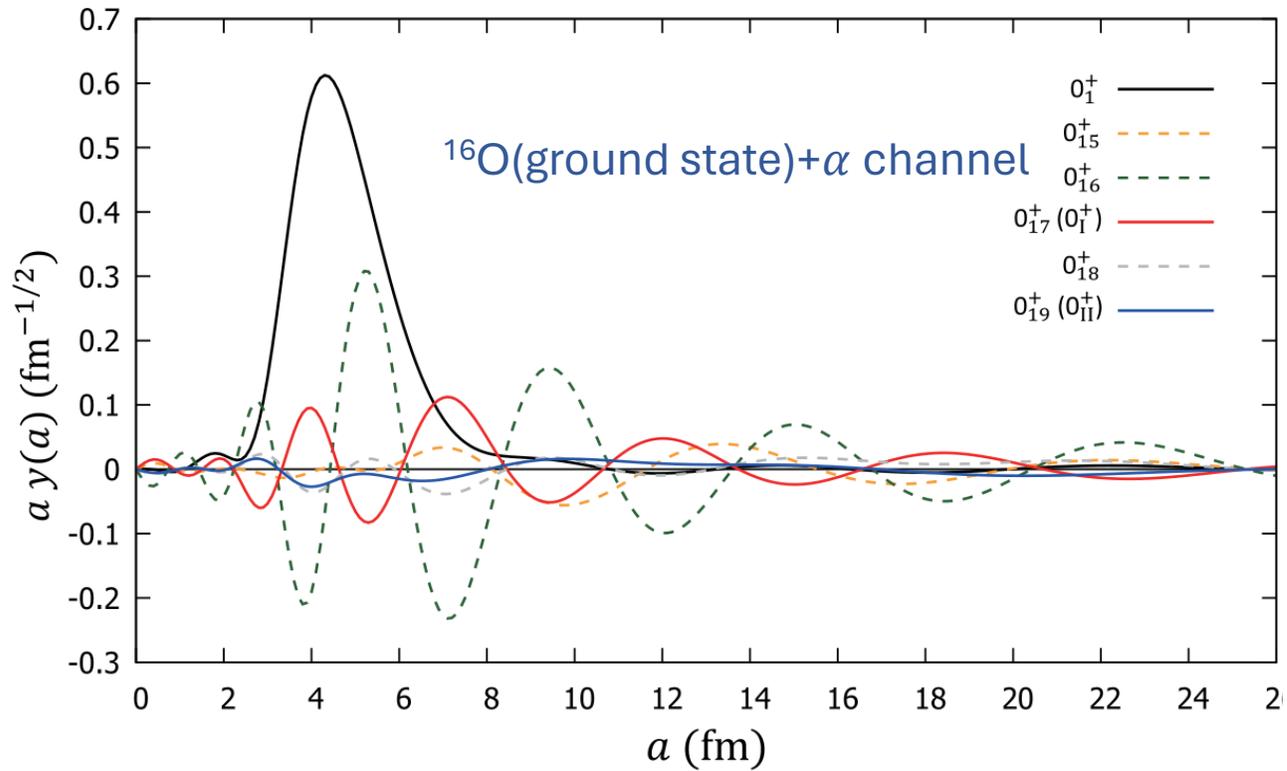
Stabilization Method



Above the 5alpha threshold: $0_{15}^+ \sim 0_{19}^+$

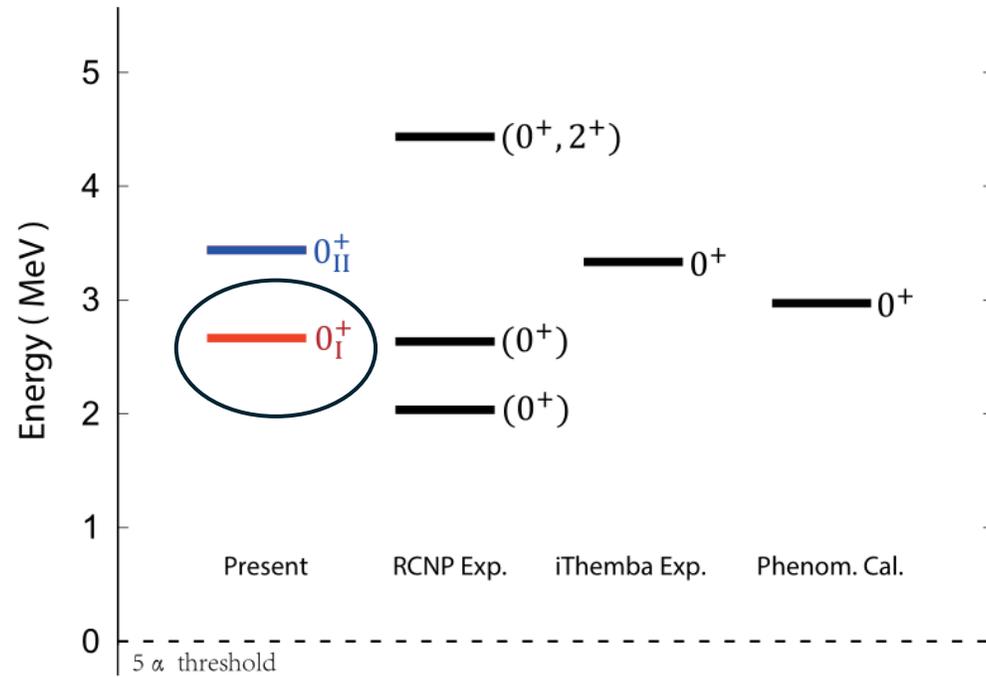
Five 0^+ states above the threshold

To solve the resonance problem

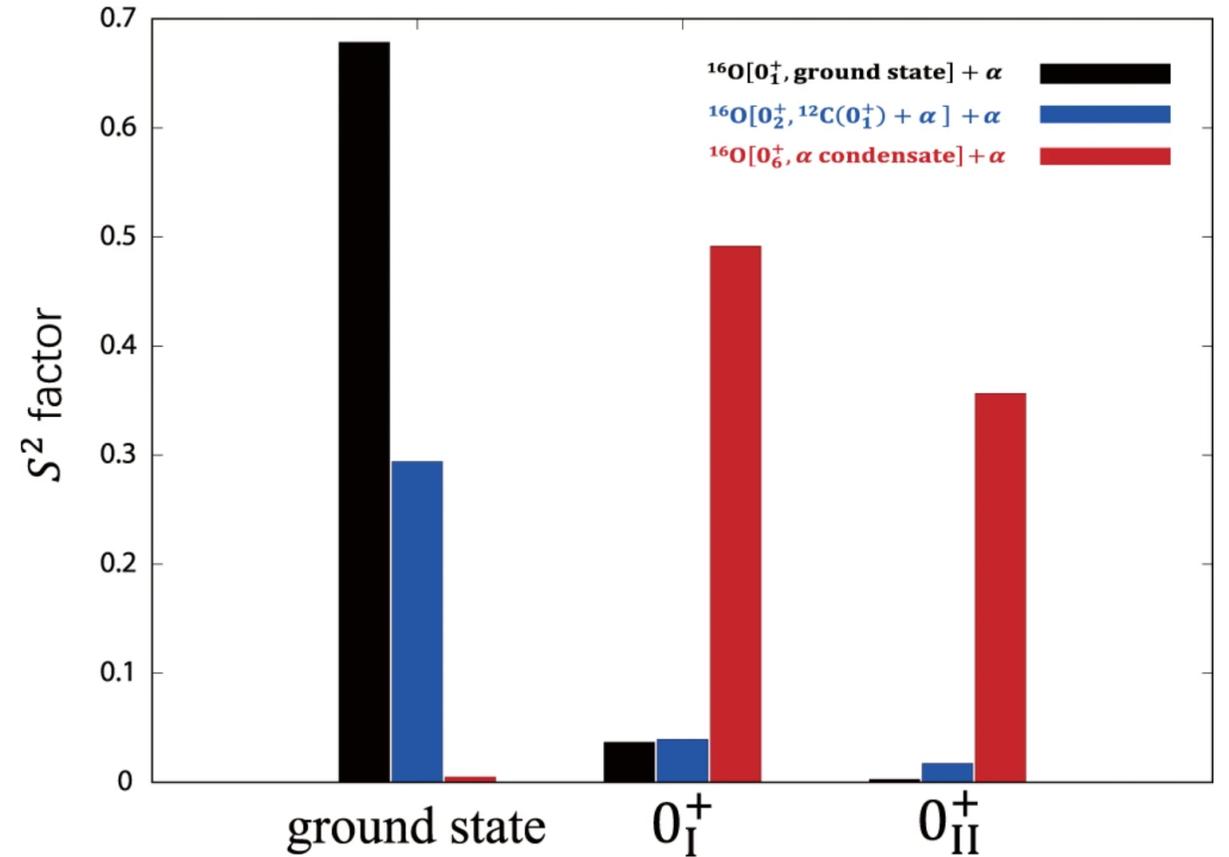


$$y(a) = \sqrt{\frac{20!}{4!16!}} \left\langle \left[[\Psi_{\text{gcm}}^{0^+}({}^{16}\text{O}) \varphi_5(\alpha)]_{0^+} Y_{00}(\hat{\xi}_4) \right]_{0^+} \frac{\delta(\xi_4 - a)}{\xi_4^2} \middle| \Psi_{\text{gcm}}^{0^+}({}^{20}\text{Ne}) \right\rangle$$

Five 0^+ states above the threshold



Two 0^+ states around 3 MeV are found.

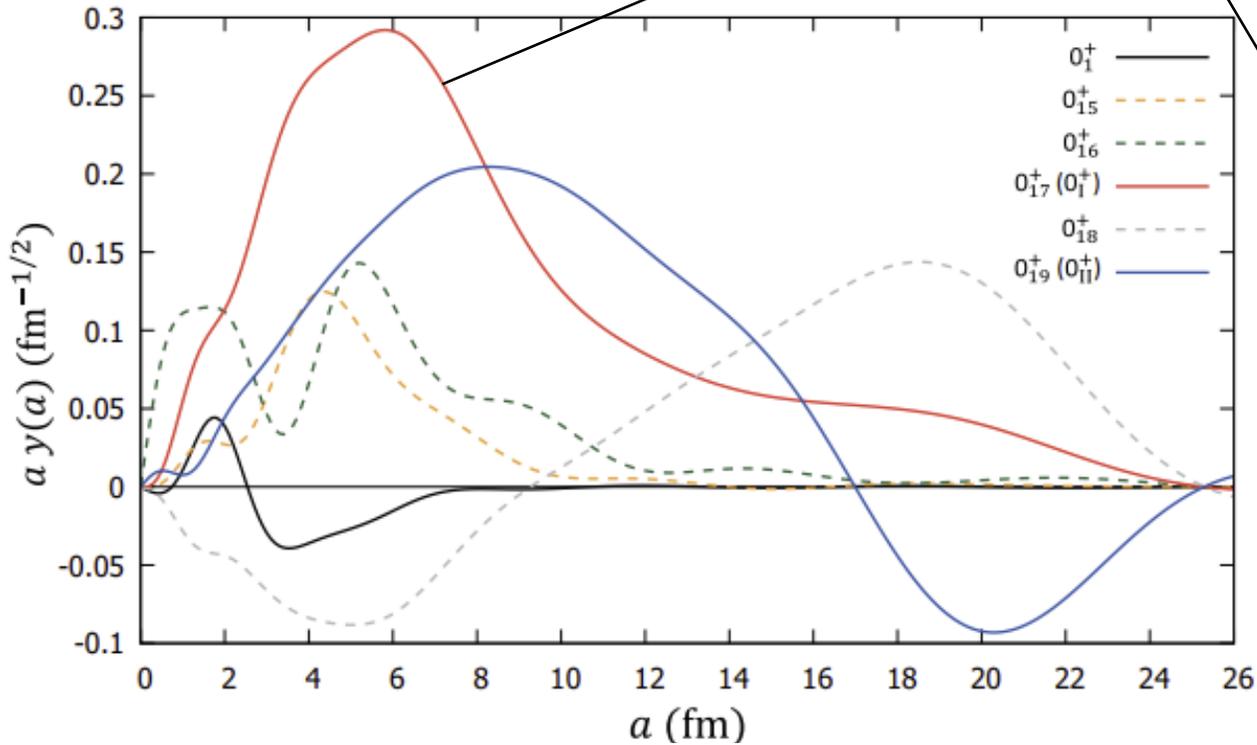


The 5alpha condensate state

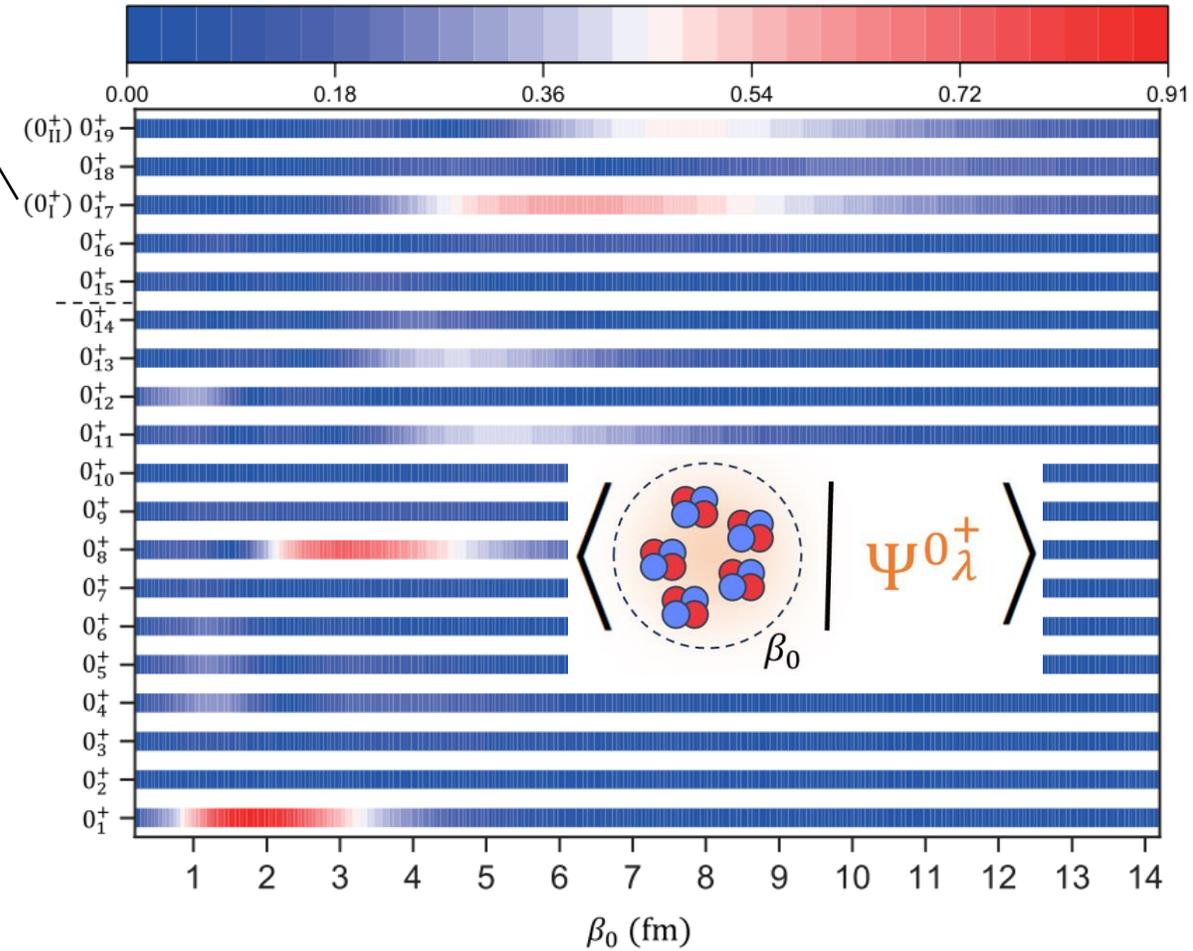
Reduced width amplitude

larger amplitude

Simple way to confirm condensate state



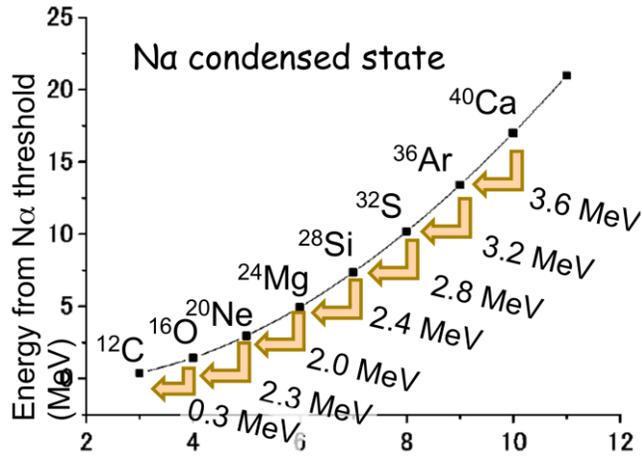
$$y(a) = \sqrt{\frac{20!}{4!16!}} \left\langle \left[[\Psi_{\text{gcm}}^{0_1^+}({}^{16}\text{O}) \phi_5(\alpha)]_{0^+} Y_{00}(\hat{\xi}_4) \right]_{0^+} \frac{\delta(\xi_4 - a)}{\xi_4^2} \left| \Psi_{\text{gcm}}^{0_\lambda^+}({}^{20}\text{Ne}) \right\rangle \right.$$



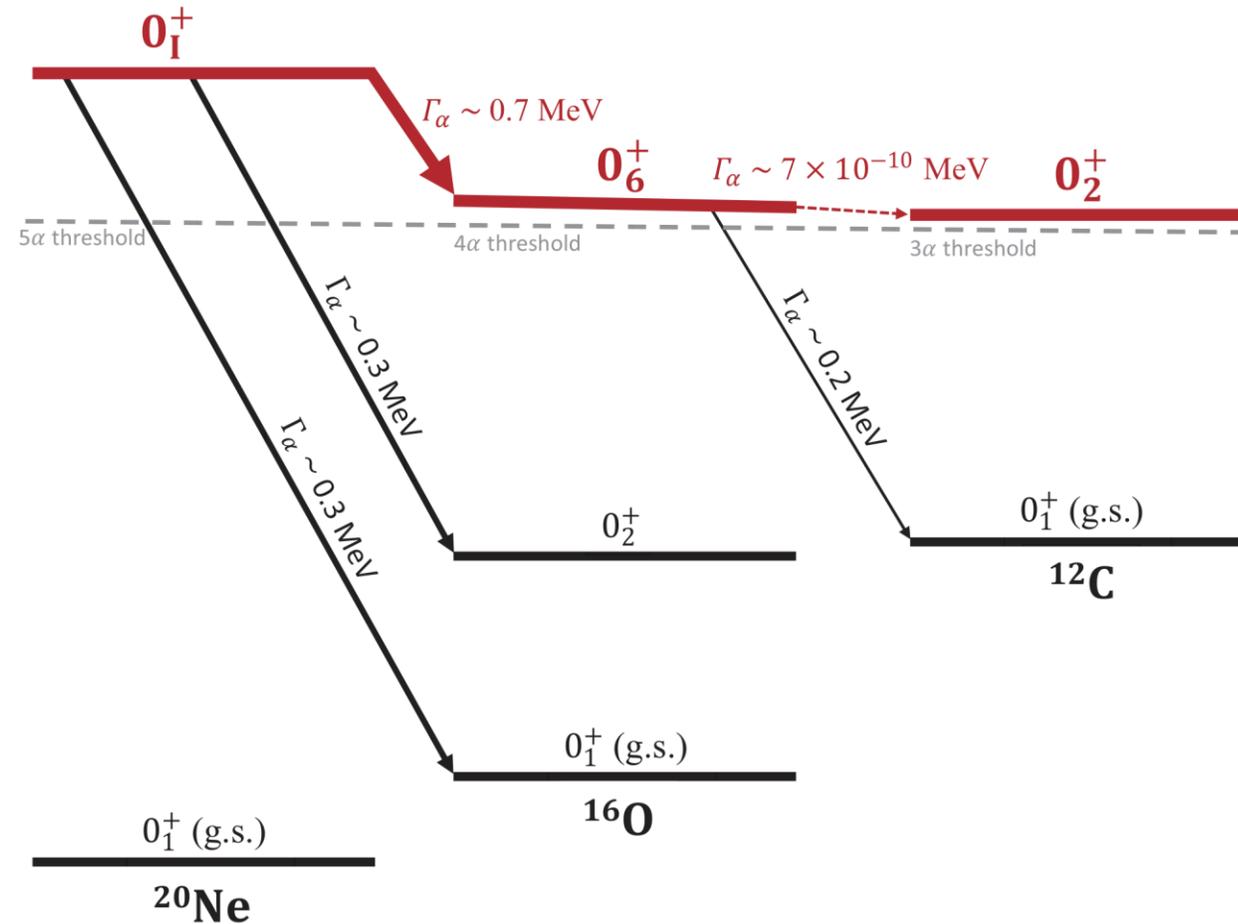
The decay scheme and connections



Exotic clustering structure ?

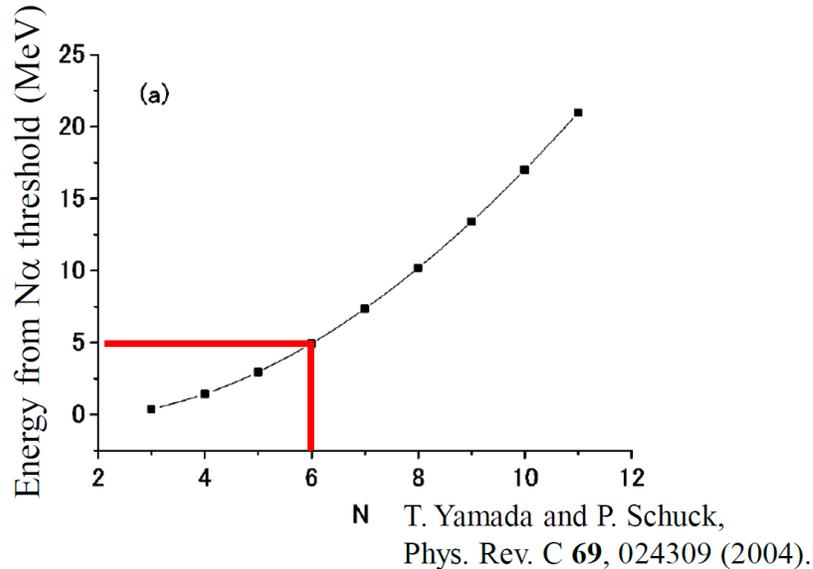


T. Yamada and P. Schuck, PRC 69, 024309 (2004).

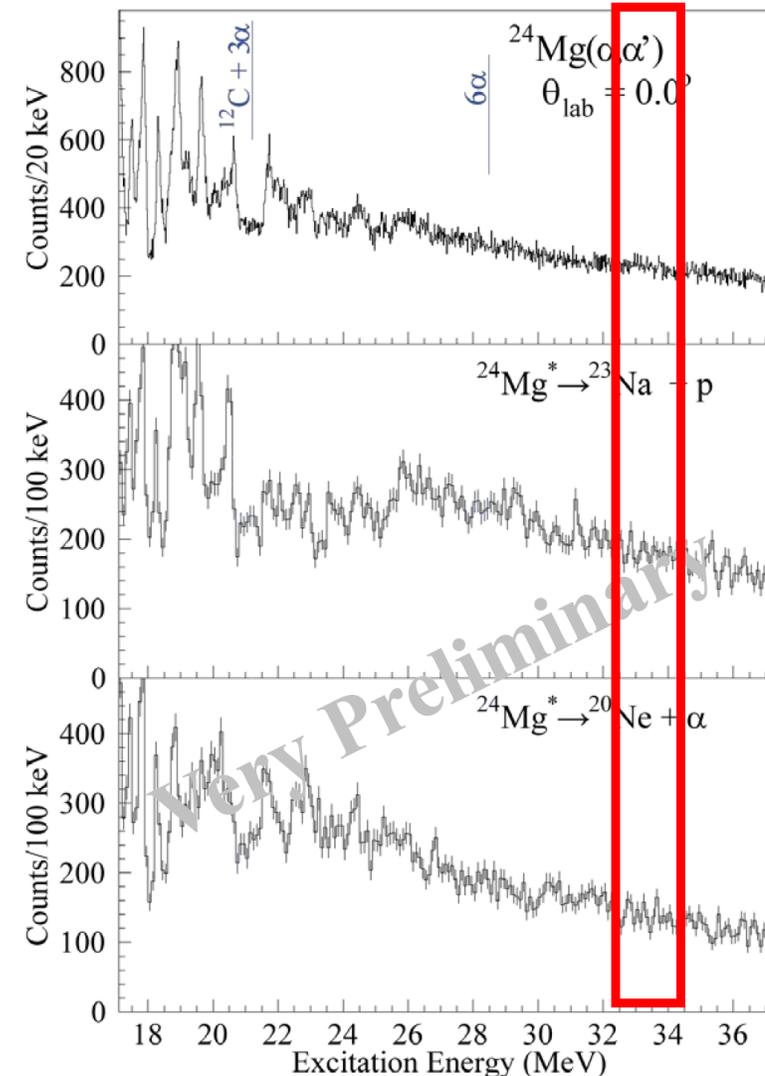


The 6α clustering structure probed by Inelastic Scattering

6α condensed state was searched for in the highly excited region.



- 6α condensed state is expected at 5 MeV above the 6α threshold.
 - $E_x \sim 28.5 + 5 = 33.5$ MeV
- No significant structure suggesting the 6α condensed state.
 - Several small structures indistinguishable from the statistical fluctuation. → Need more statistics.



Observation of the Exotic 0_2^+ Cluster State in ^8He

Z. H. Yang^{1,2,*}, Y. L. Ye^{1,*}, B. Zhou^{3,4,5}, H. Baba², R. J. Chen⁶, Y. C. Ge¹, B. S. Hu¹, H. Hua¹, D. X. Jiang¹, M. Kimura^{2,5,7}, C. Li², K. A. Li⁶, J. G. Li¹, Q. T. Li¹, X. Q. Li¹, Z. H. Li¹, J. L. Lou¹, M. Nishimura², H. Otsu², D. Y. Pang⁸, W. L. Pu¹, R. Qiao¹, S. Sakaguchi^{2,9}, H. Sakurai², Y. Satou¹⁰, Y. Togano², K. Tshoo¹⁰, H. Wang^{2,11}, S. Wang², K. Wei¹, J. Xiao¹, F. R. Xu¹, X. F. Yang¹, K. Yoneda², H. B. You¹ and T. Zheng¹

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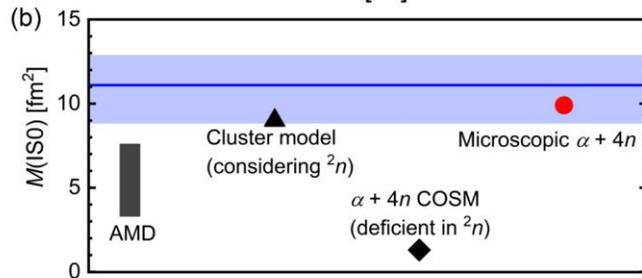
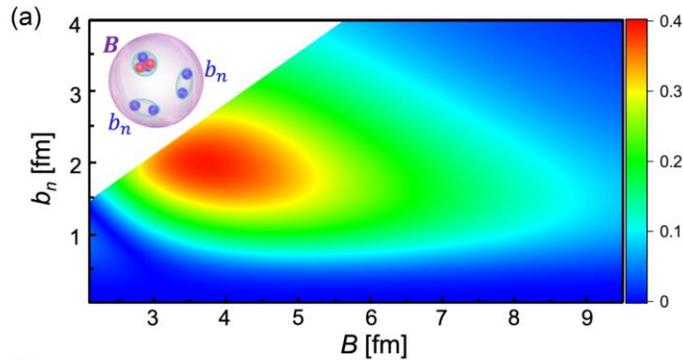
²RIKEN Nishina Center, 2-1 Hirosawa, Wako, Saitama 351-0198, Japan

³Key Laboratory of Nuclear Physics and Ion-beam Application (MOE), Institute of Modern Physics, Fudan University, Shanghai 200433, China

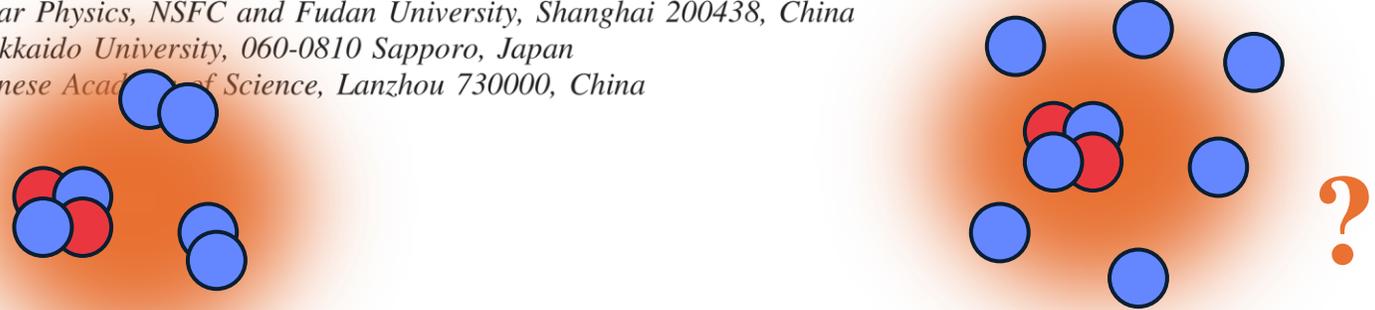
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⁶Department of Modern Physics, Chinese Academy of Science, Lanzhou 730000, China

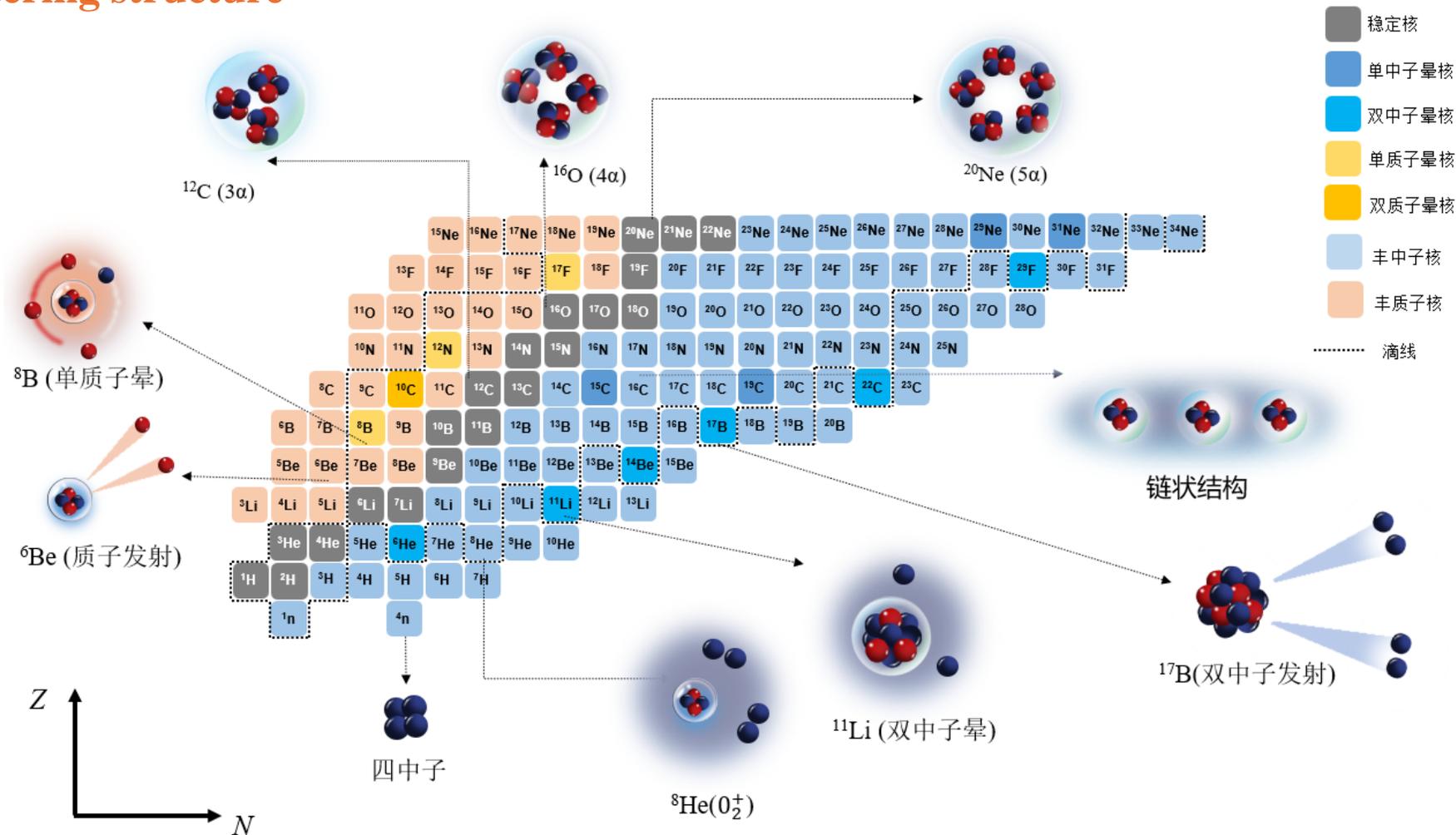


$$\Phi(B, b_n) \propto \mathcal{A} \left\{ \exp \left[-\frac{4\xi_1^2}{3B^2} - \frac{3\xi_2^2}{2b_n^2} \right] \times \phi_\alpha(b_\alpha) \phi_{1n}^2(b_n) \phi_{2n}^2(b_n) \right\},$$

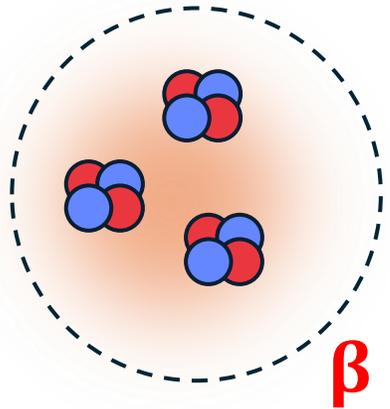
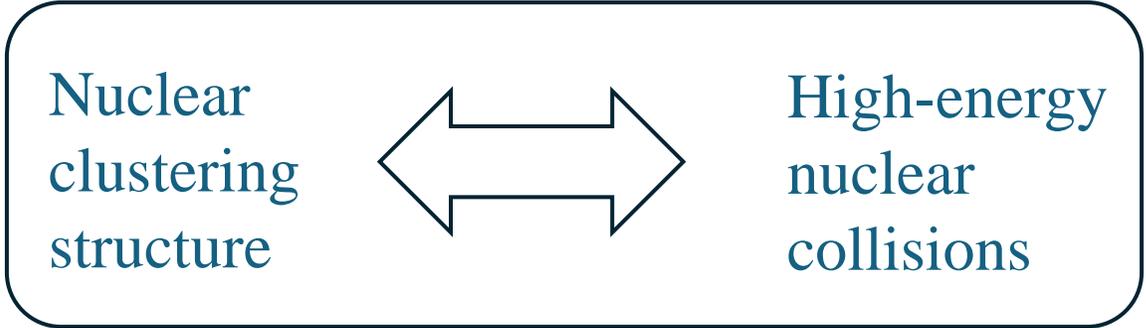


Summary and Prospect

rich clustering structure



explore the clustering structure of light nuclei



$$|\psi\rangle = \left| \begin{array}{c} \text{cluster} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\rangle + \left| \begin{array}{c} \text{cluster} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\rangle + \left| \begin{array}{c} \text{cluster} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\rangle + \dots$$

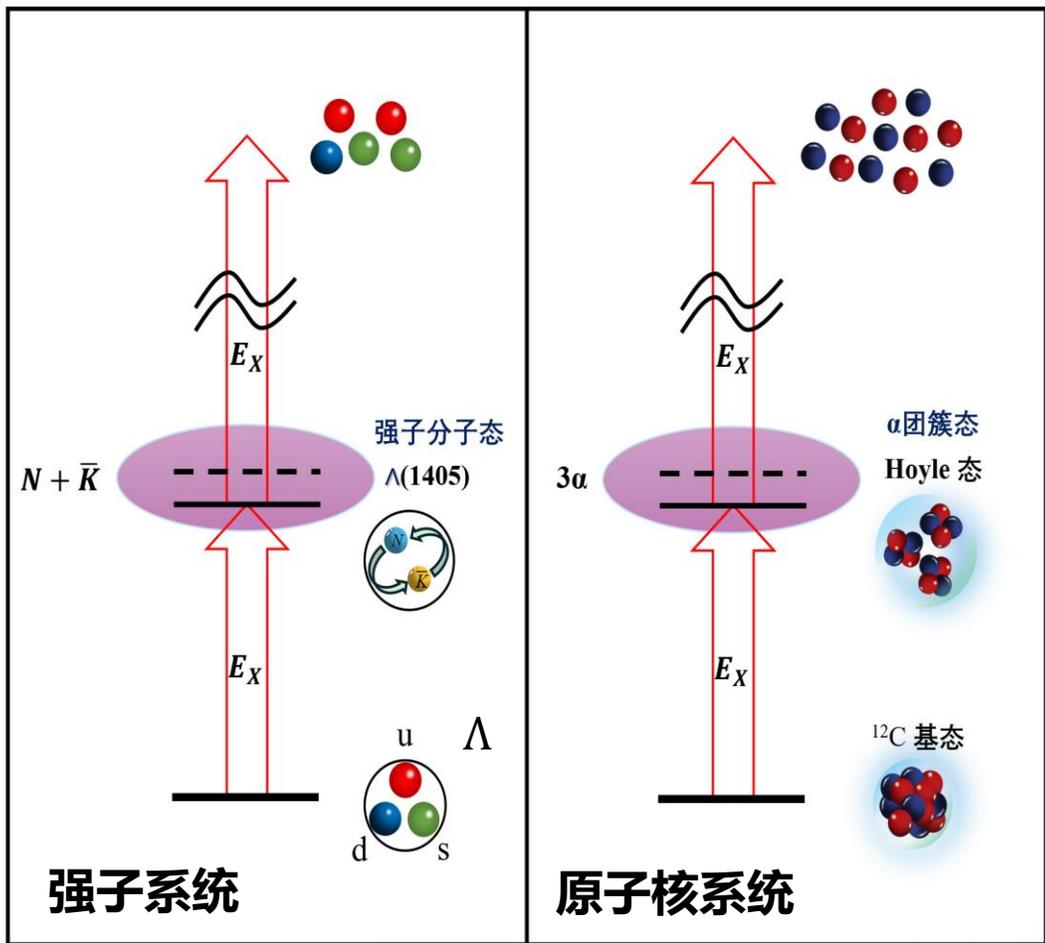
light nuclei, excited states, superposed many clustering configurations

Thanks for my collaborators

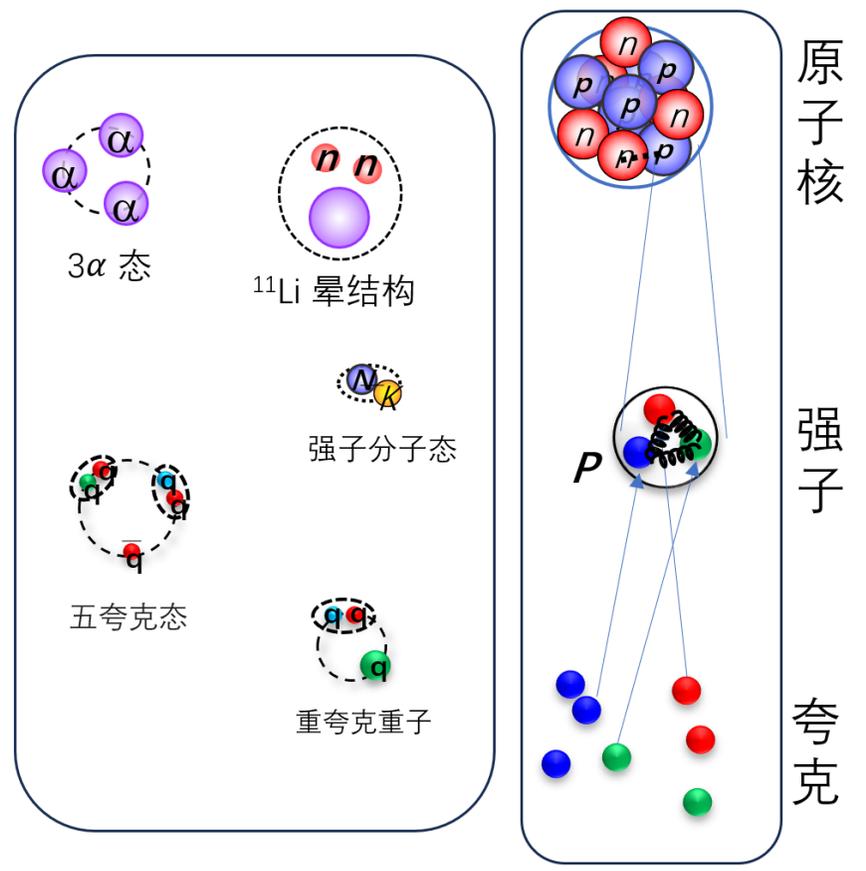
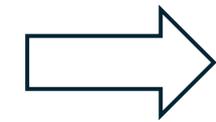
and your attentions.

**Fudan University
Jiangwan Campus**

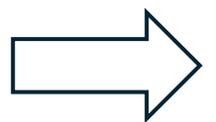




相似的团簇现象



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Novel Manifestation of α -Clustering Structures: New “ $\alpha + {}^{208}\text{Pb}$ ” States in ${}^{212}\text{Po}$ Revealed by Their Enhanced $E1$ Decays

A. Astier,¹ P. Petkov,^{1,2} M.-G. Porquet,¹ D. S. Delion,^{3,4} and P. Schuck⁵

