

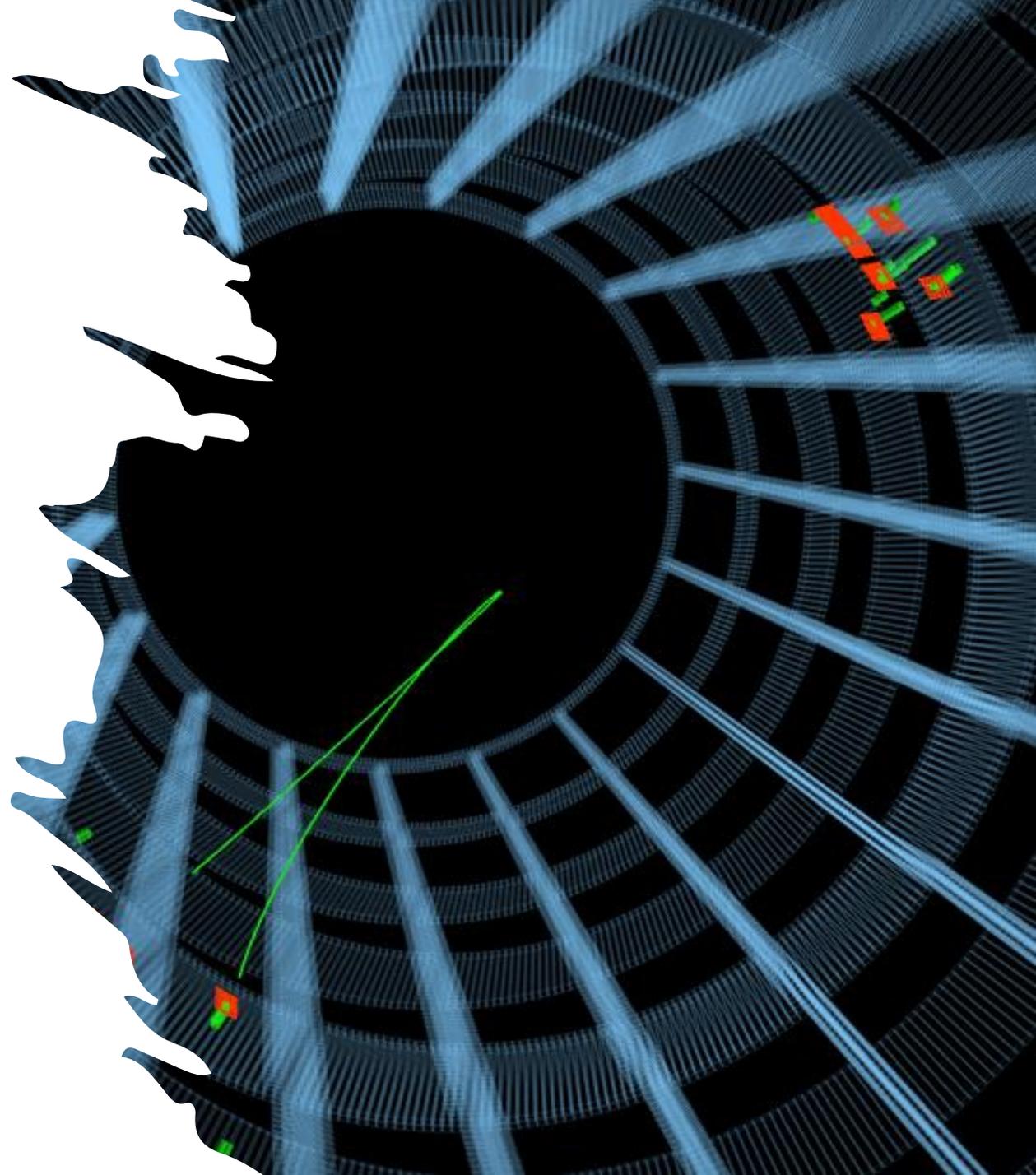
# Electrons and Photons

CMS China School 2024

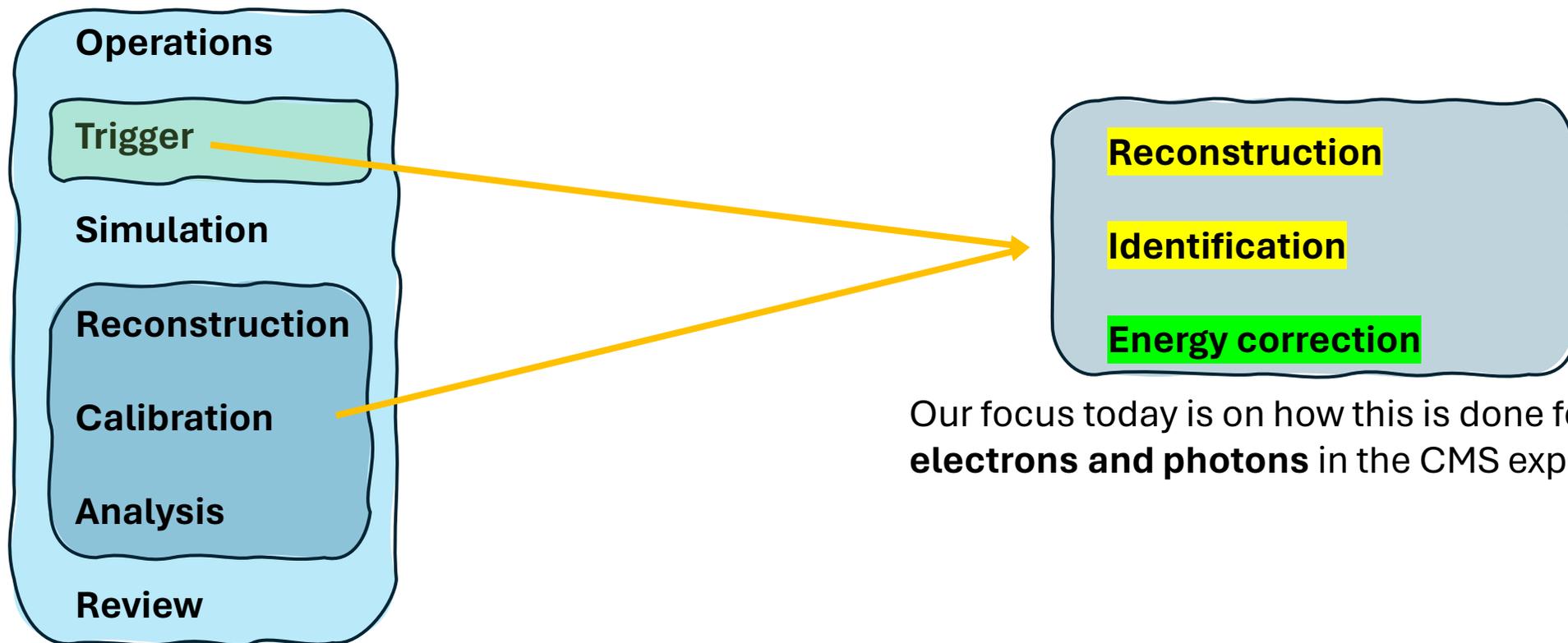
Anshul Kapoor



21<sup>st</sup> Jan 2024



# What is the focus today

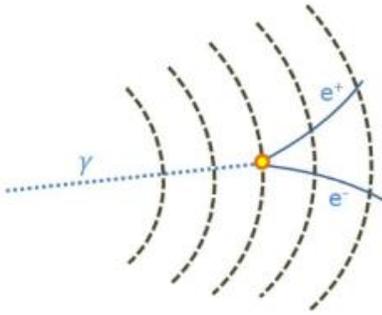


Our focus today is on how this is done for **electrons and photons** in the CMS experiment

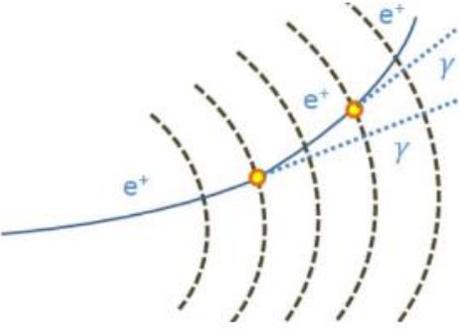
# Interactions

How  $e/\gamma$  interact with matter

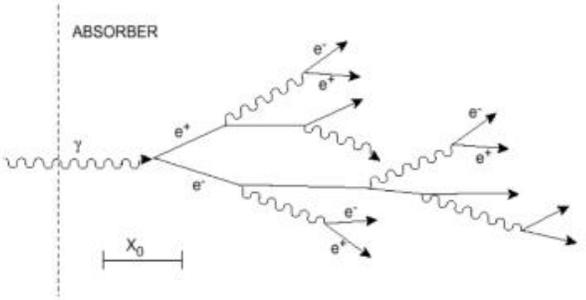
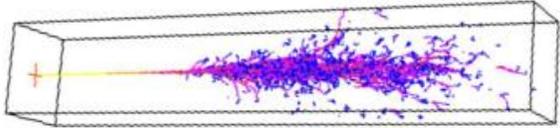
### Pair production



### Bremsstrahlung



These two processes form the basis of the “electromagnetic shower”



# The detector

## CMS DETECTOR

Total weight : 14,000 tonnes  
 Overall diameter : 15.0 m  
 Overall length : 28.7 m  
 Magnetic field : 3.8 T

STEEL RETURN YOKE  
 12,500 tonnes

SILICON TRACKERS  
 Pixel ( $100 \times 150 \mu\text{m}^2$ )  $\sim 1.9 \text{ m}^2 \sim 124\text{M}$  channels  
 Microstrips ( $80\text{--}180 \mu\text{m}$ )  $\sim 200 \text{ m}^2 \sim 9.6\text{M}$  channels

SUPERCONDUCTING SOLENOID  
 Niobium titanium coil carrying  $\sim 18,000 \text{ A}$

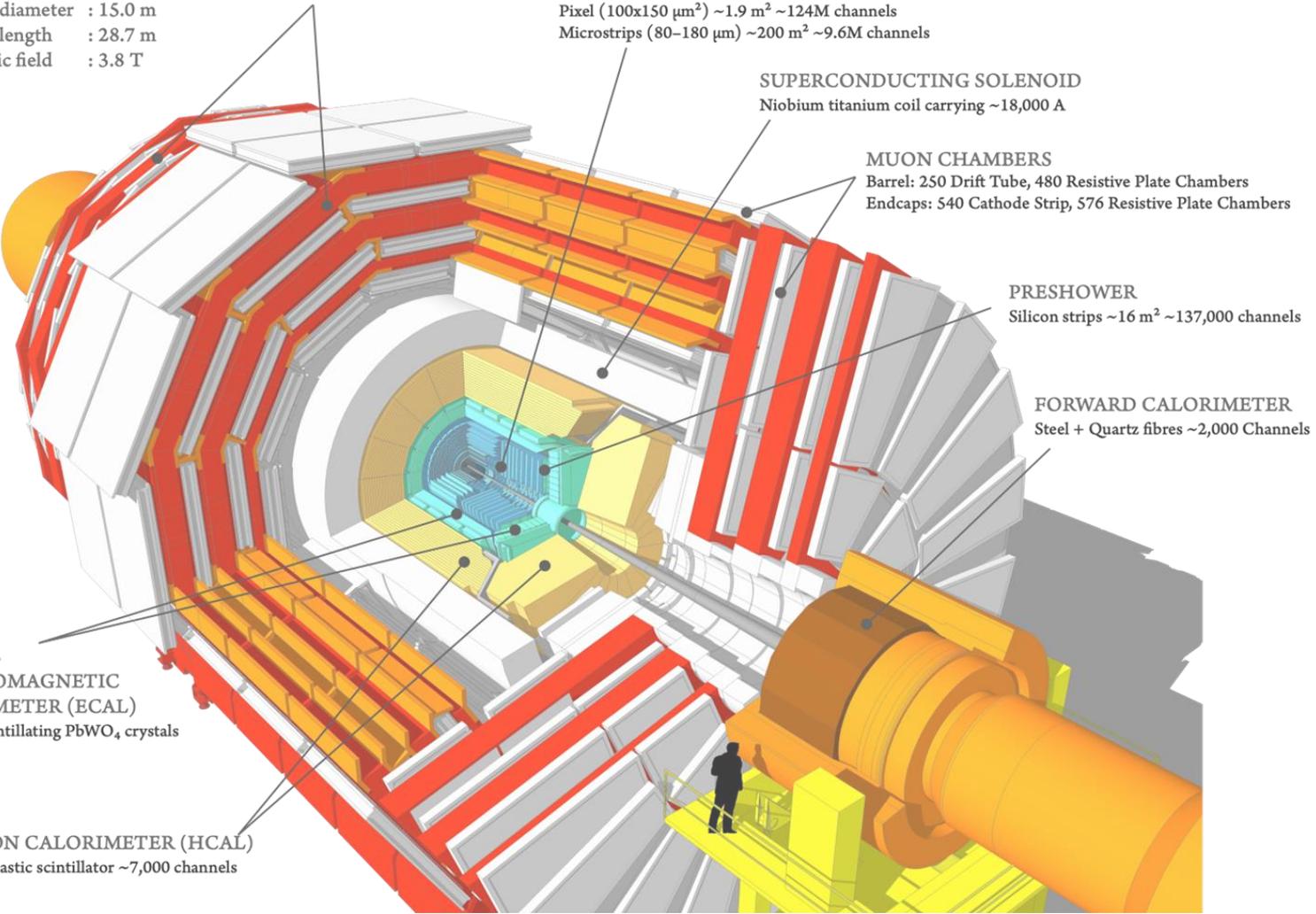
MUON CHAMBERS  
 Barrel: 250 Drift Tube, 480 Resistive Plate Chambers  
 Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER  
 Silicon strips  $\sim 16 \text{ m}^2 \sim 137,000$  channels

FORWARD CALORIMETER  
 Steel + Quartz fibres  $\sim 2,000$  Channels

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)  
 $\sim 76,000$  scintillating  $\text{PbWO}_4$  crystals

HADRON CALORIMETER (HCAL)  
 Brass + Plastic scintillator  $\sim 7,000$  channels



# The detector

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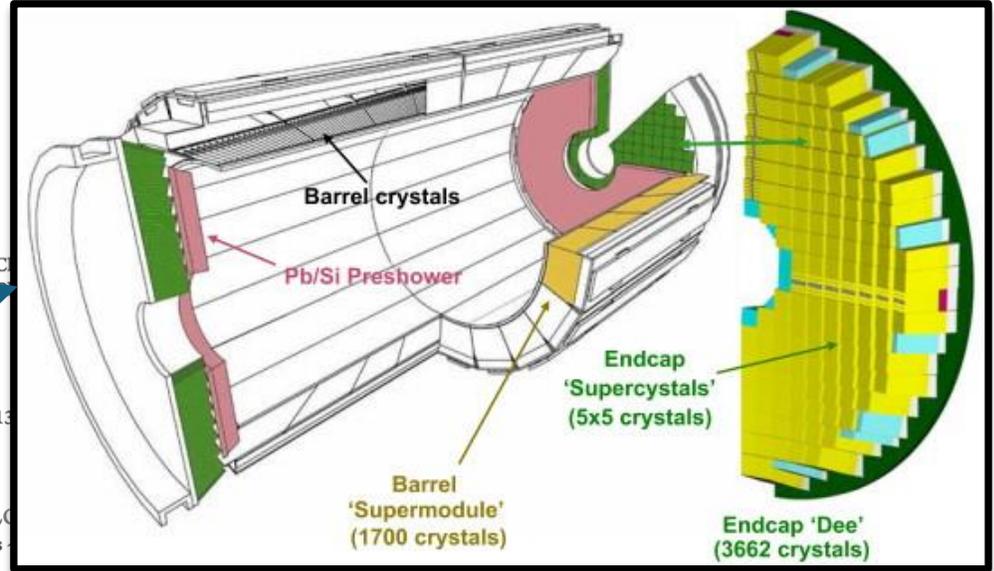
PRESHOWER  
Silicon strips  $\sim 16 \text{ m}^2 \sim 13$

FORWARD CALO  
Steel + Quartz fibres

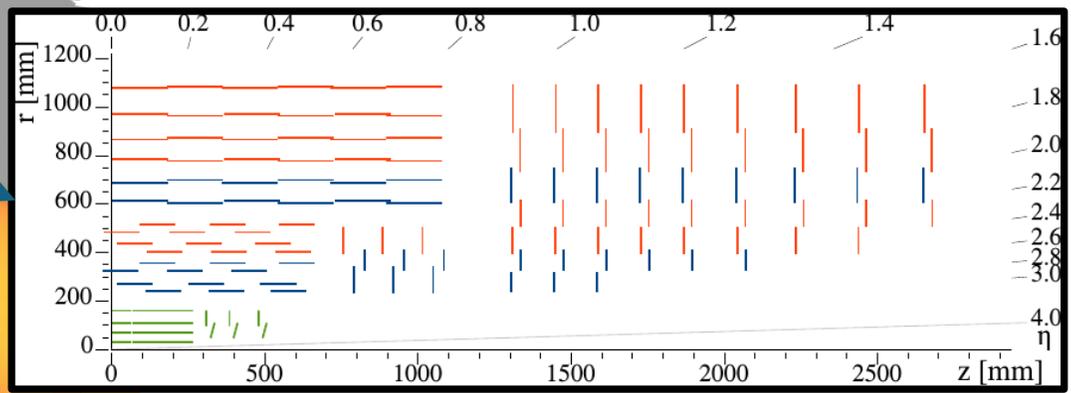
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Brass + Plastic scintillator  $\sim 7,000$  channels

PbWO4 crystals **Hermetic Barrel & Endcap**  
Additional preshower for endcap



all silicon, full coverage  $|\eta| < 2.6$   
Even beyond,  $|\eta| > 2.6$ , some coverage from the pixel detector



# Electrons and Photons

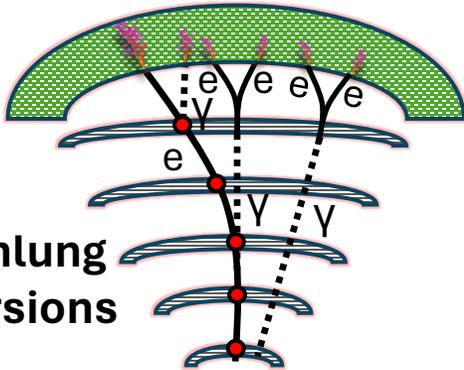
**Electrons ( $e$ ) and photons ( $\gamma$ )** are critical to the experimental high energy physics program of the CMS experiment

$e$  &  $\gamma$  appear in several new physics signatures

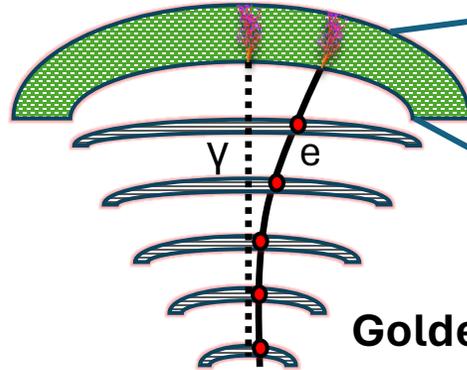
Also critical to standard model measurements

At CMS, **Reconstruction & Identification** of  $e$  &  $\gamma$  is done primarily using information from silicon tracker & electromagnetic calorimeter (ECAL)

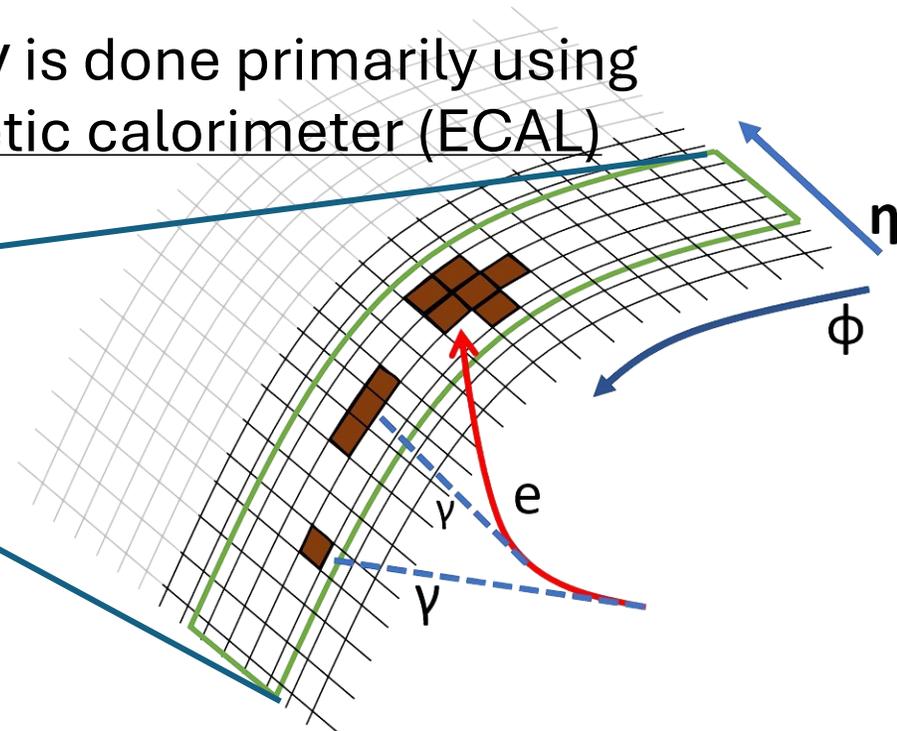
With  
bremsstrahlung  
and conversions



Tracker



Golden cases



- electron
- ..... bremsstrahlung photon 1
- ..... bremsstrahlung photon 2

ECAL

Tracker



# What does an electron look like?

Electrons can interact with the material before ECAL  
→ emits bremsstrahlung Photon

✓ Leads to multiple energy deposits in ECAL

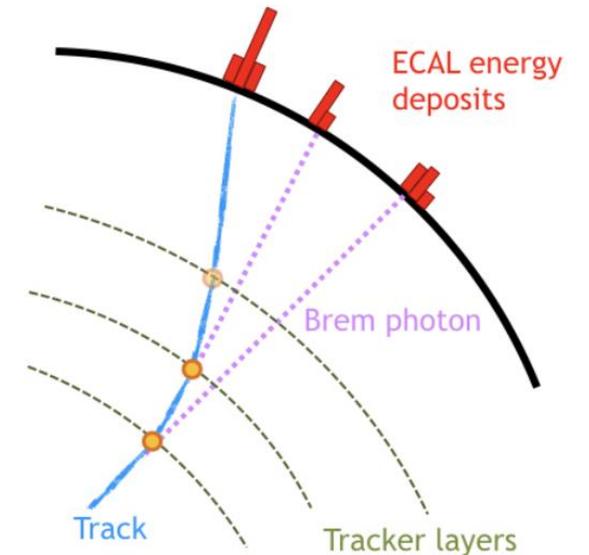
✓ Need to properly take into account energy of brems to reconstruct full energy of electron

Electron, after radiating a brems-photon in tracker, loses energy and starts to bend more in the magnetic field

✓ Electron track can have “kinks”

✓ Special tracking needed for electrons to take care of that

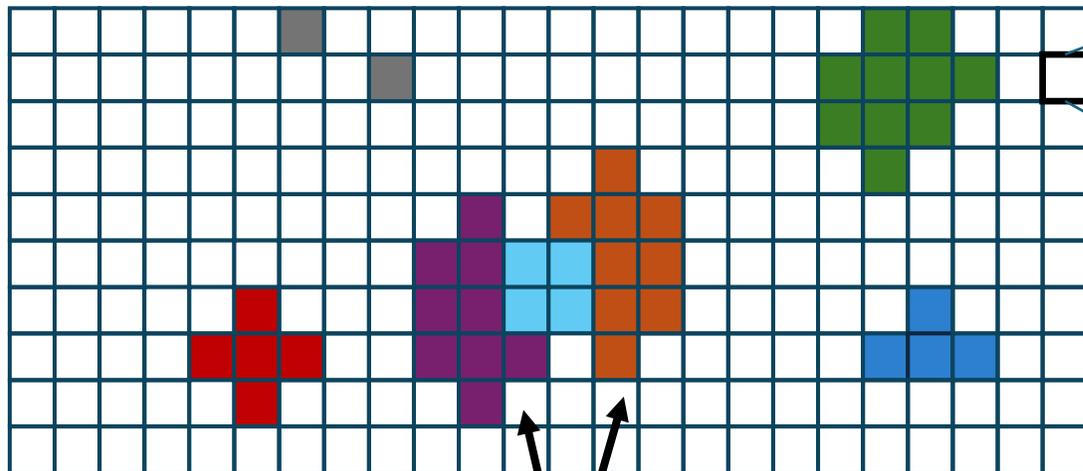
✓ Electron reconstruction algorithm takes into account all these complexities





# Clustering

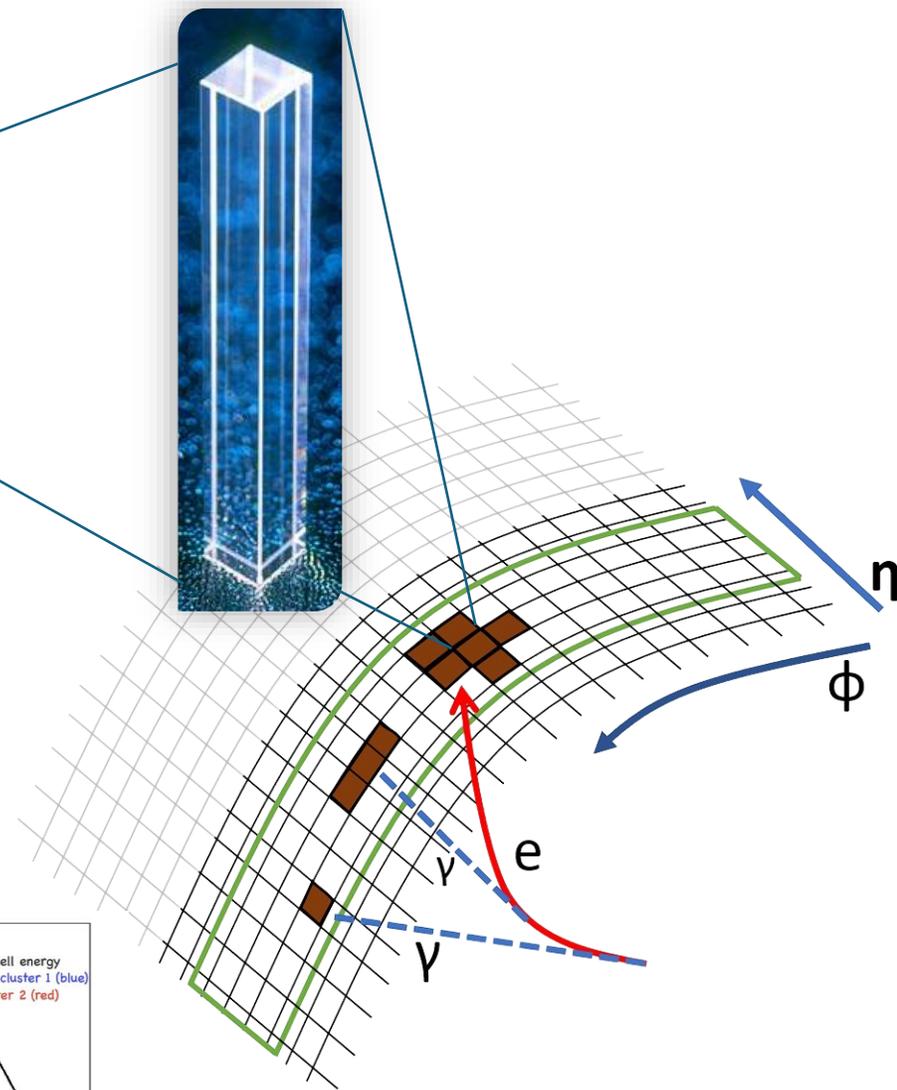
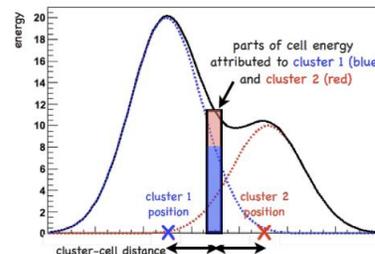
- Clustering of ECAL clusters



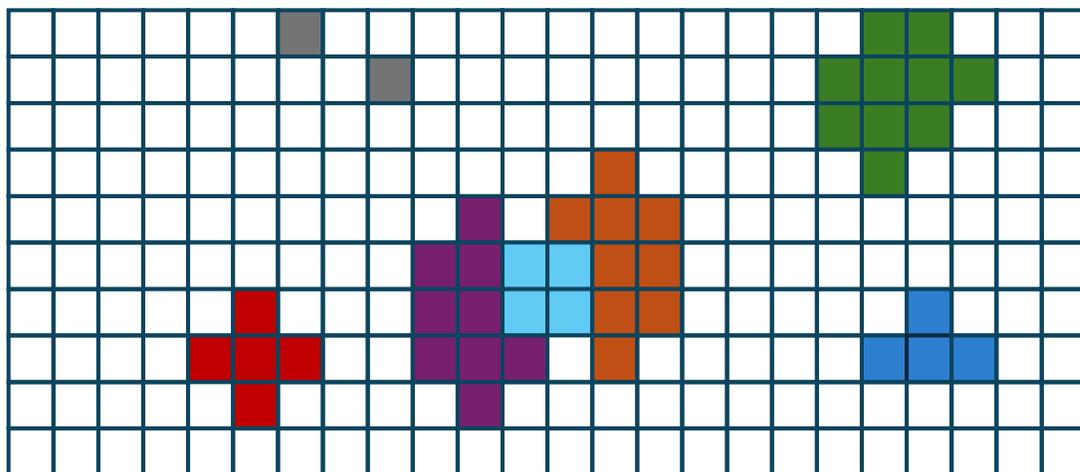
Clusters corresponding to electrons / photons

found 5 Clusters

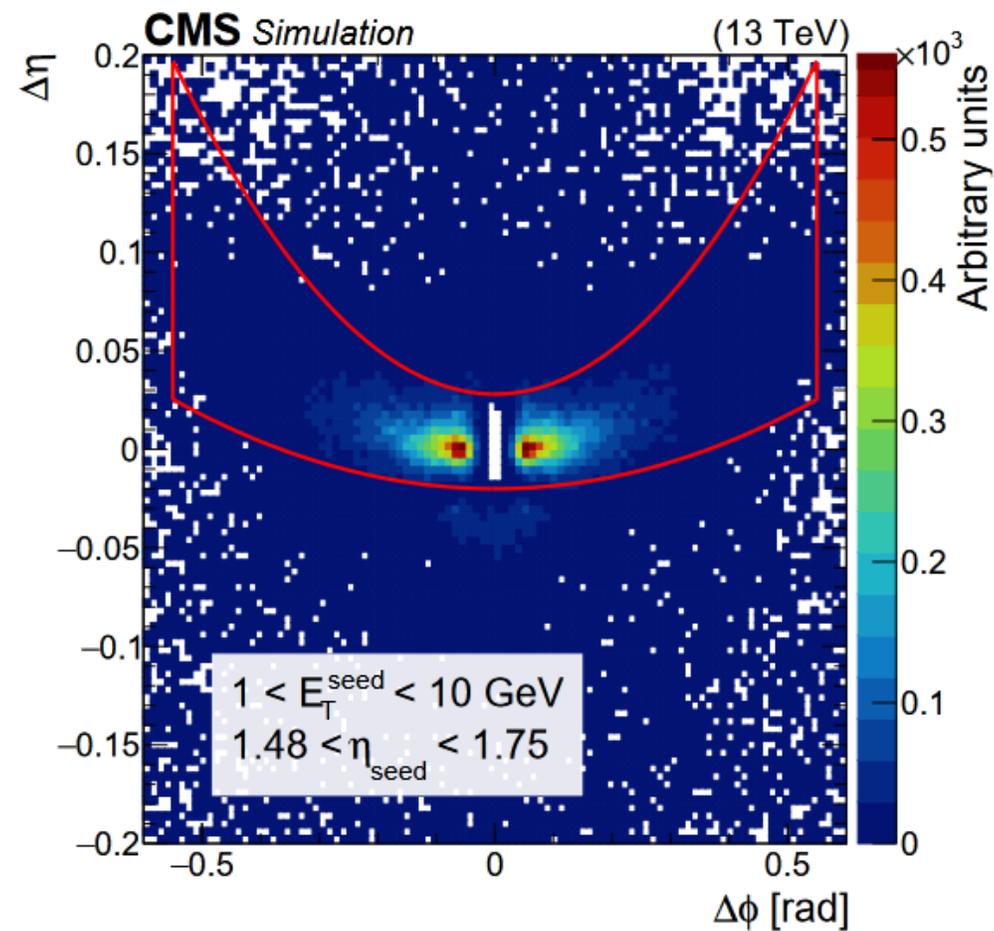
these two clusters overlap, clustering algo shares energy of rec-hits between the two clusters according to a Gaussian energy profile, each gets a fraction of the rec-hit energy



# Clustering

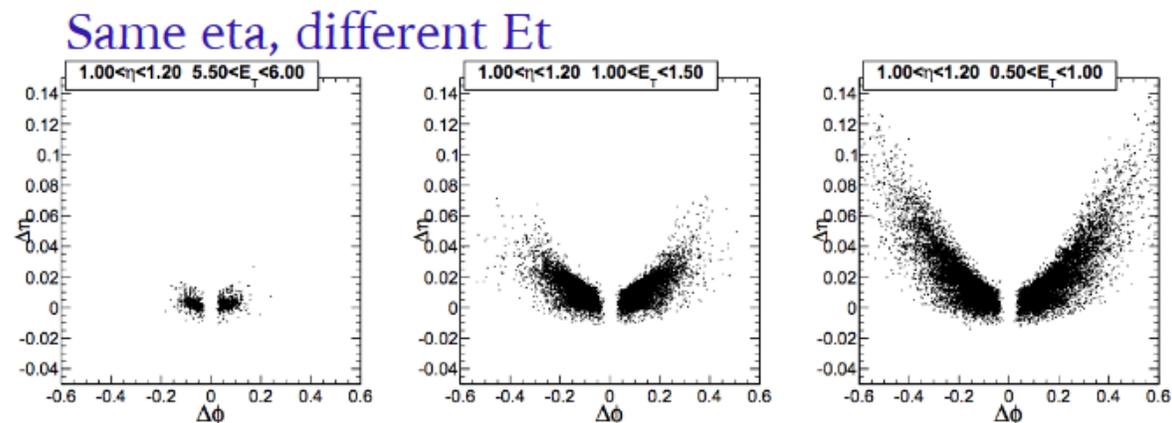


Moustache supercluster  
A cluster of clusters

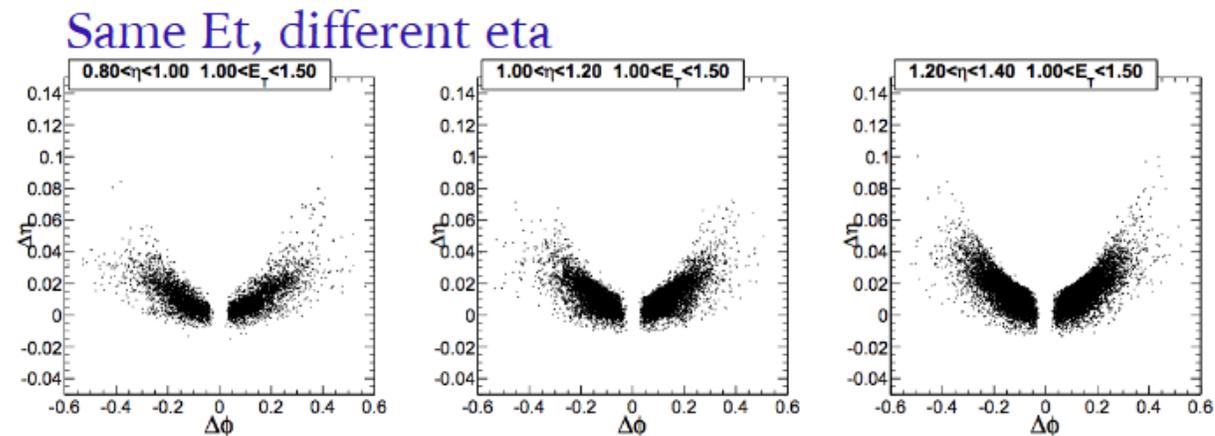


# Clustering

Clusters with smaller  $E_t$  have larger allowed  $\Delta\eta/\Delta\phi$  distances from seed.

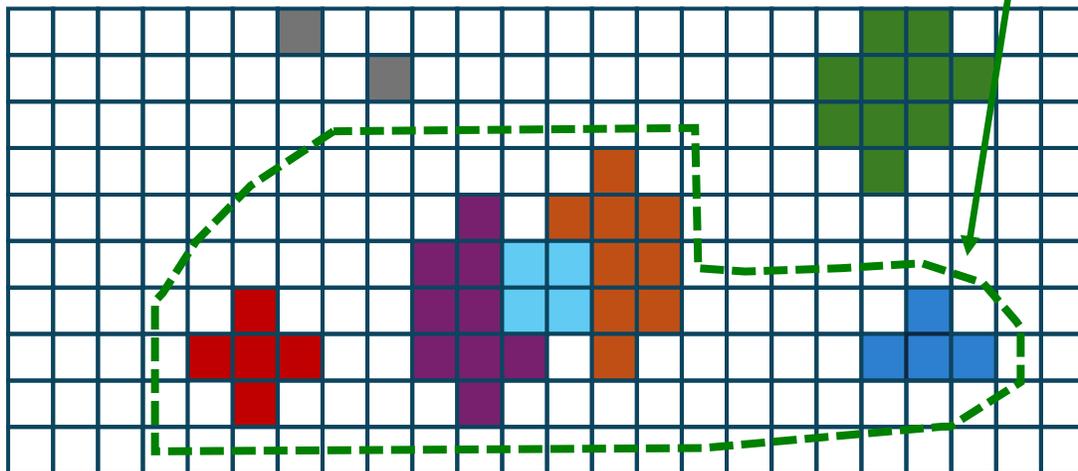


Allowed  $\Delta\eta/\Delta\phi$  depends on cluster eta also.



# Clustering

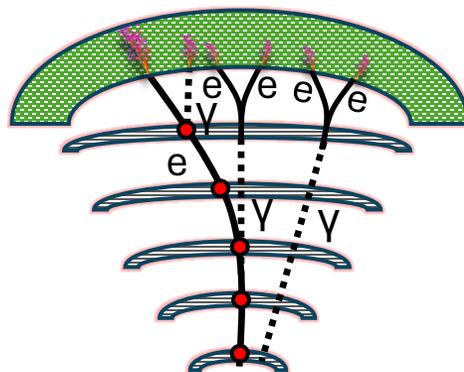
Refined Supercluster



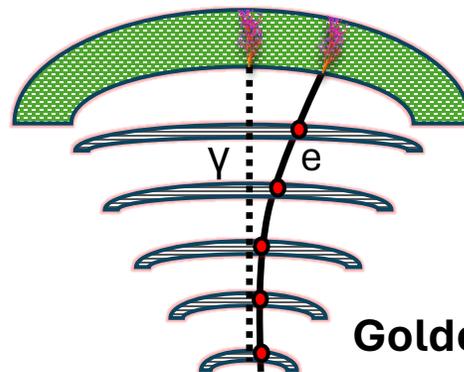
Refined superclusters use the information from the tracker, to be able to link bremsstrahlung emissions to missed ECAL deposits

Information from clustering and tracking is used in tandem to achieve best resolution

With  
bremsstrahlung  
and conversions

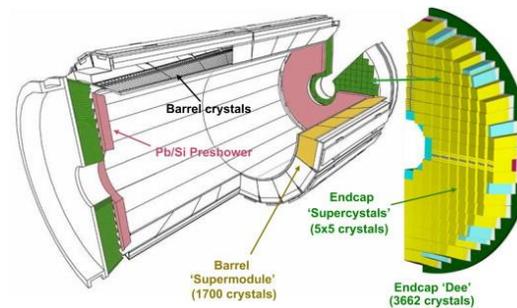


Tracker  
ECAL

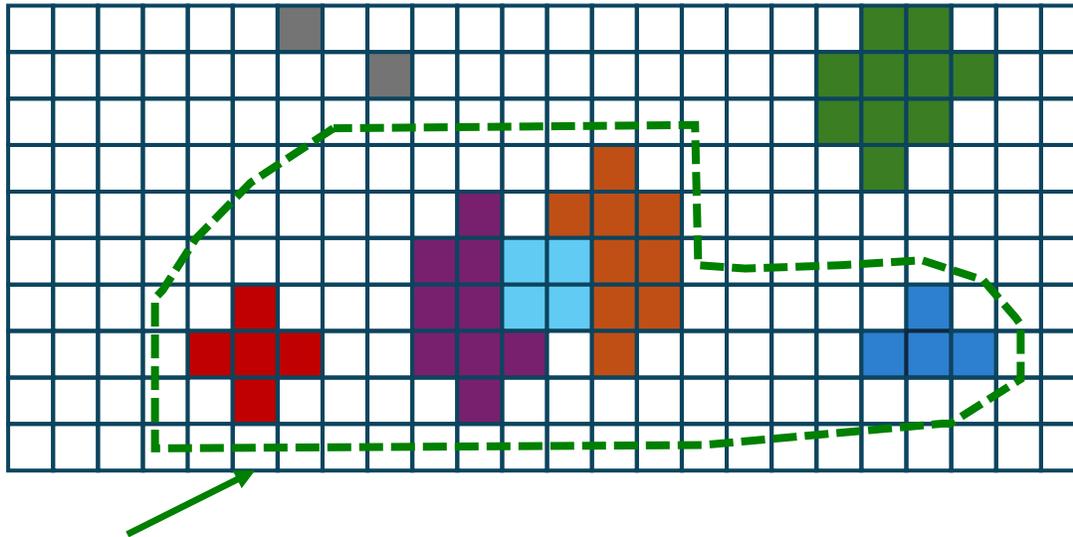


Golden  
cases

# Clustering

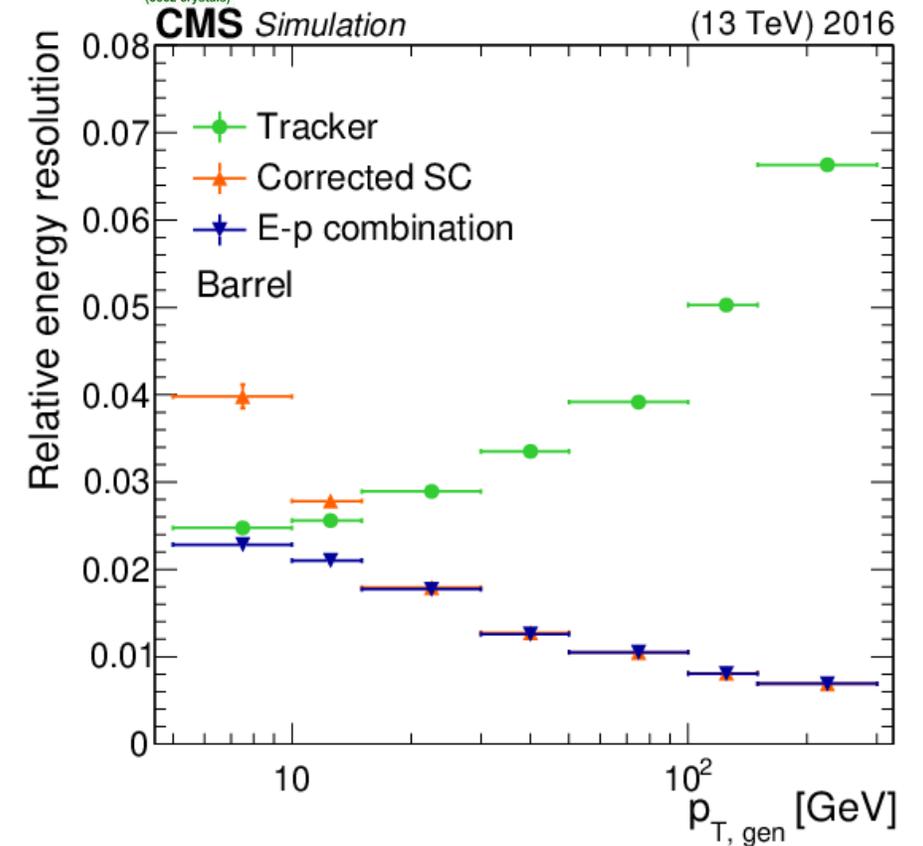


- Clustering of ECAL clusters



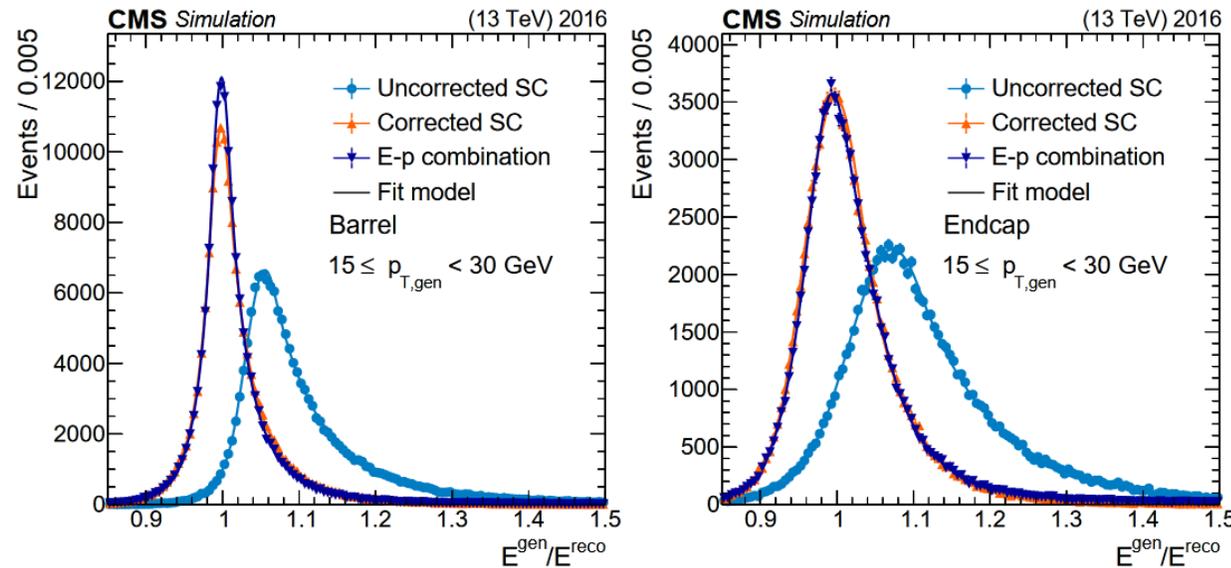
Refined superclusters use the information from the tracker, to be able to link bremsstrahlung emissions to missed ECAL deposits

There is also dedicated photon conversion recovery algorithm



# Conversions can happen

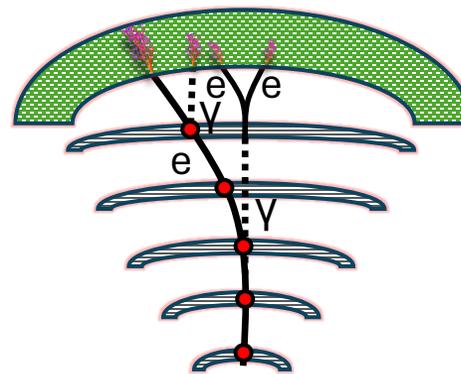
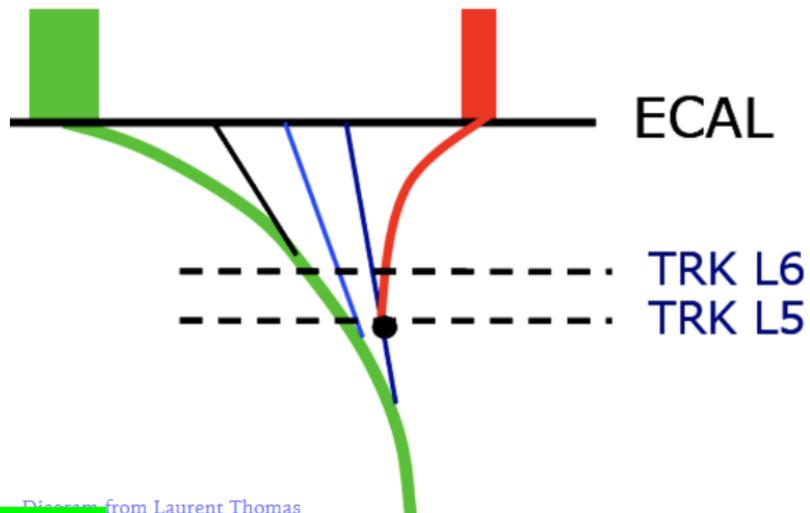
- Several losses occur before electrons and photons deposit energy in the ECAL
  - We calibrate the reconstructed energy back to the expected original energy using correction procedures
  - Employ machine learning in tandem with algorithmic approaches
  - Tracker information used for E-p combination



# Conversions can happen

- Electrons can radiate hard photons in the tracker
- The brem photon can then convert to  $e^+ e^-$
- It is not very rare (because of material budget in tracker)
- Sometimes, one of the converted  $e^+ e^-$  is not reconstructed.
- If converted brem photons are not correctly identified, those tracks can affect the global event description.
- That's why we have a dedicated converted brem track finder

## Conversions of photons within the “electron”

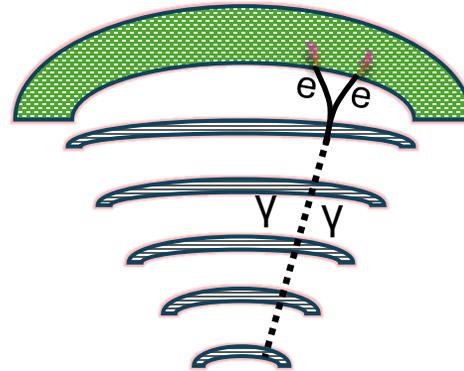


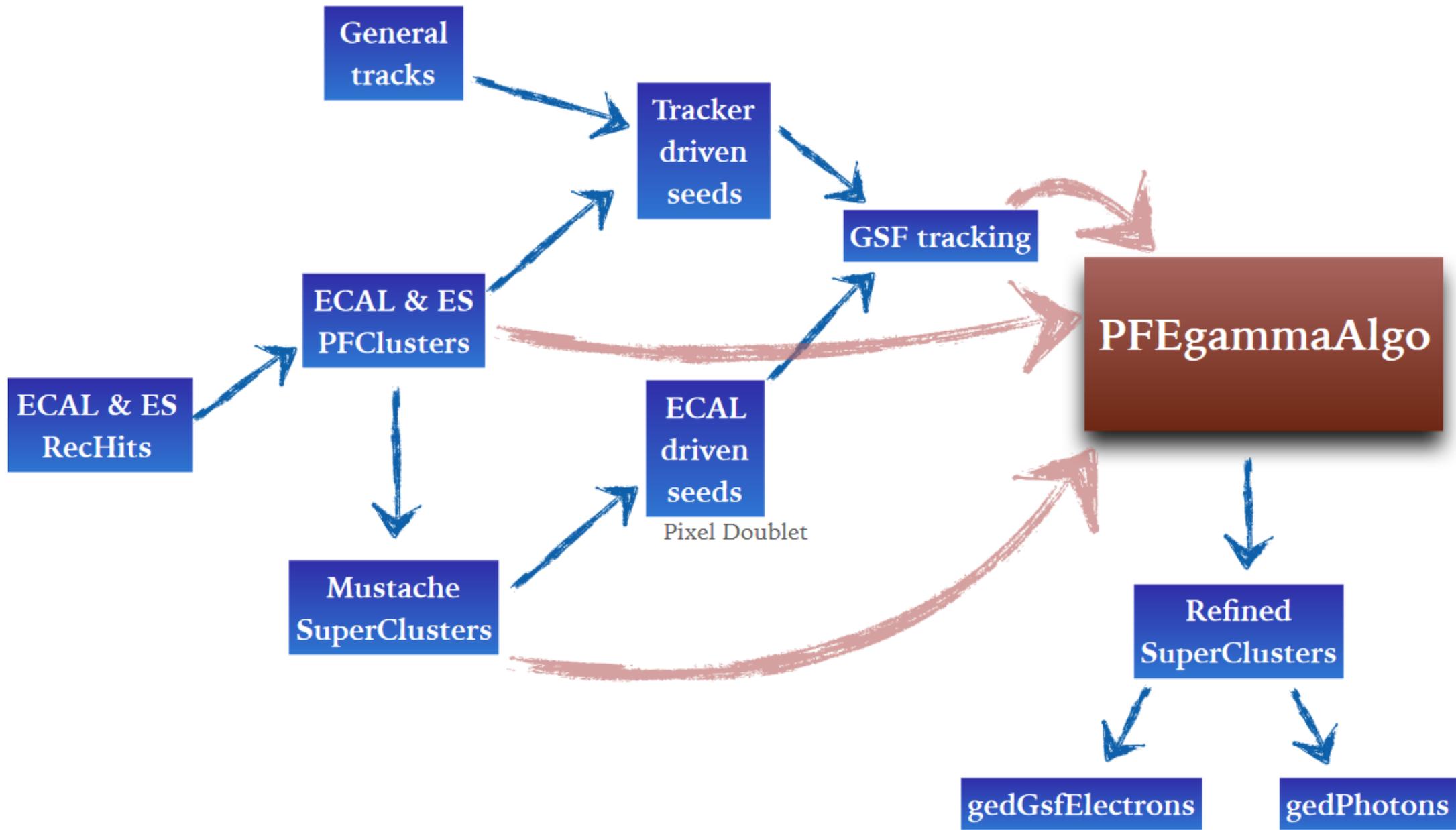
# Conversions can happen

## Conversions of photons

Conversion reconstruction is challenging:

- Displaced tracks to be reconstructed (issues with resolution and combinatorics)
- Trailing conversion leg may be very soft
- Conversion legs can radiate photon





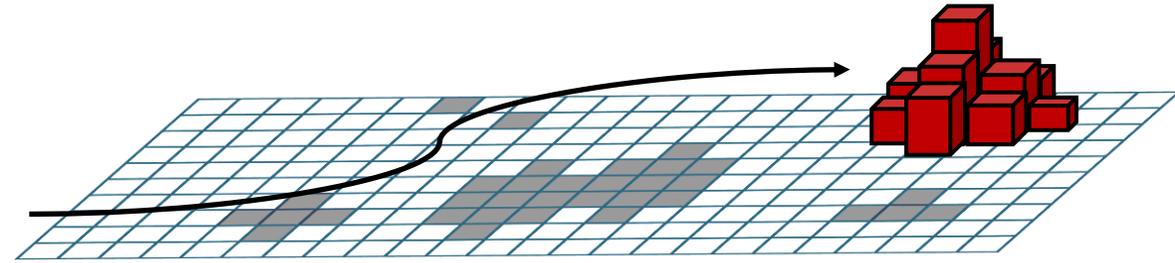
# Identification

**Two schemes are primarily used for identification:**

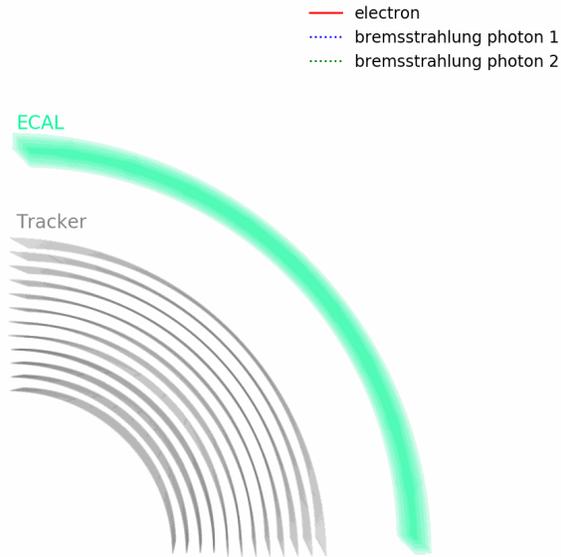
- Via series of selections on various high-level properties
- Via machine learning based classifiers trained on these high level properties

What are high level properties?

- **Description of the electromagnetic shower**  
(energy deposit pattern, lateral and longitudinal spread etc.)
- **Tracking and clustering matching parameters**  
(momentum trajectory extrapolated to ECAL considering the magnetic field etc.)
- **Quantification of isolation of these objects**  
(Energy sums of crystals in ECAL in a defined area, leakage in HCAL etc.)

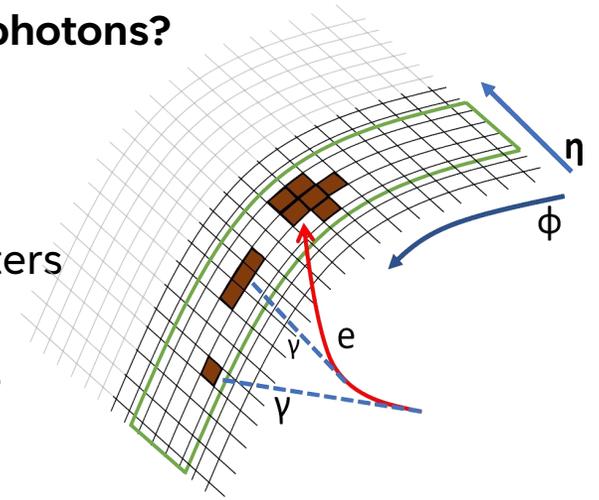




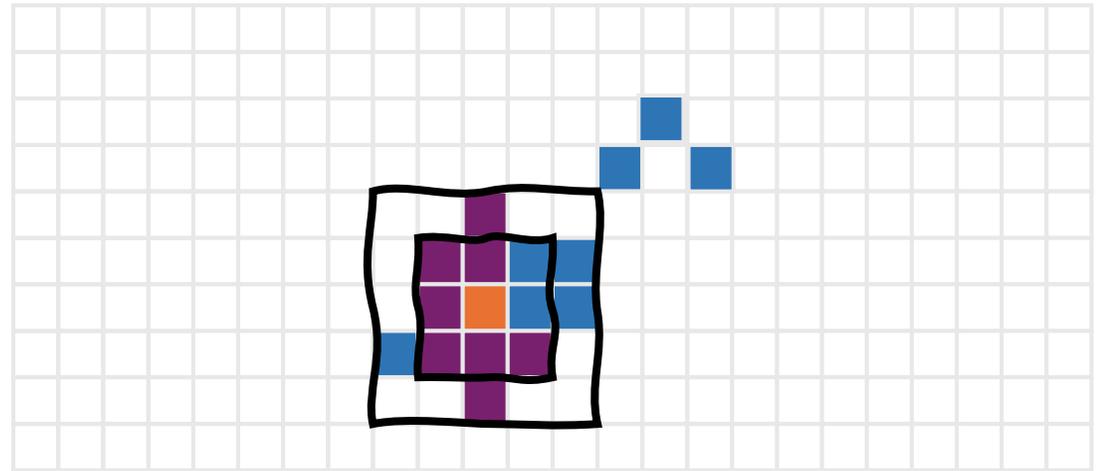


## What can we use to identify electrons and photons?

- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

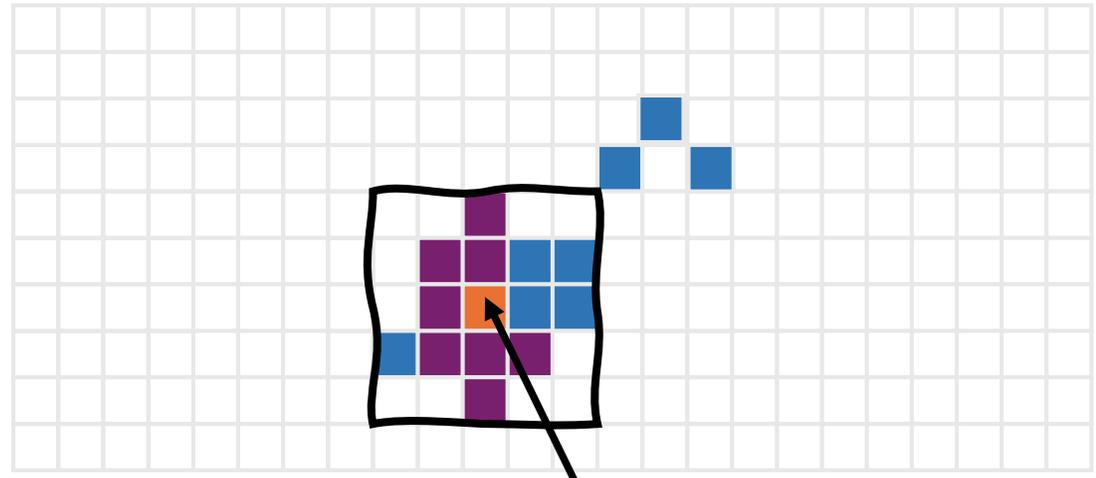
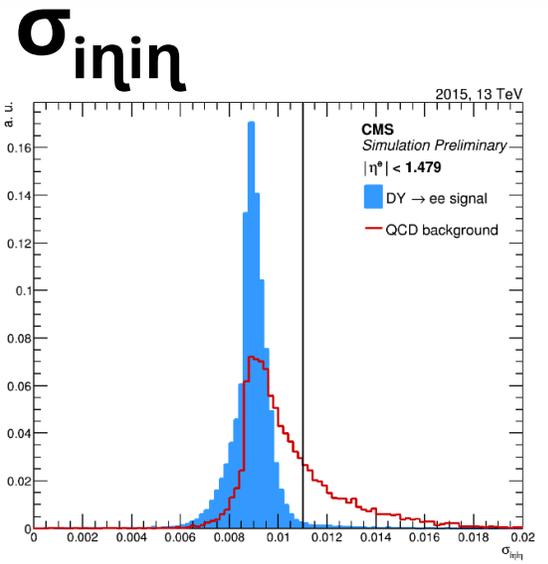
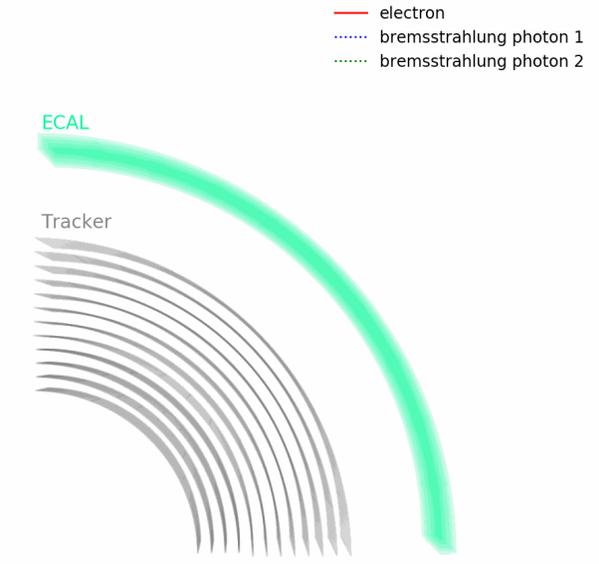
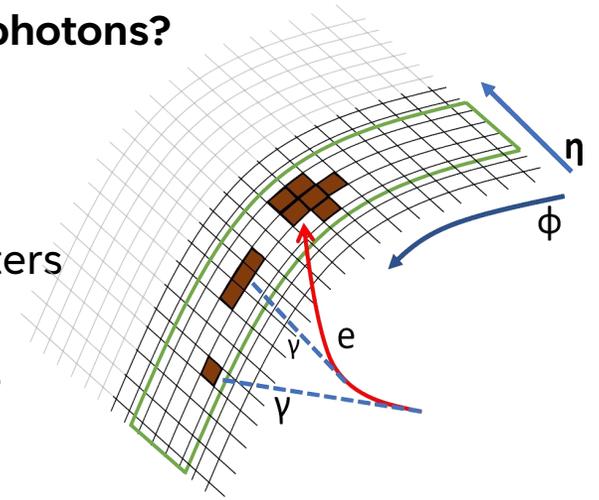


- $E_{sc}$  : Energy of supercluster
- $E_{2x2}$  : Energy contained in 2X2 crystals
- $E_{3x3}$  : Energy contained in 3X3 crystals
- $E_{5x5}$  : Energy contained in 5X5 crystals
- $E_{1x5}$  : Energy contained in 1X5 crystals
- $d\eta_{sc}$  :  $\eta$  width of supercluster
- $d\Phi_{sc}$  :  $\Phi$  width of supercluster

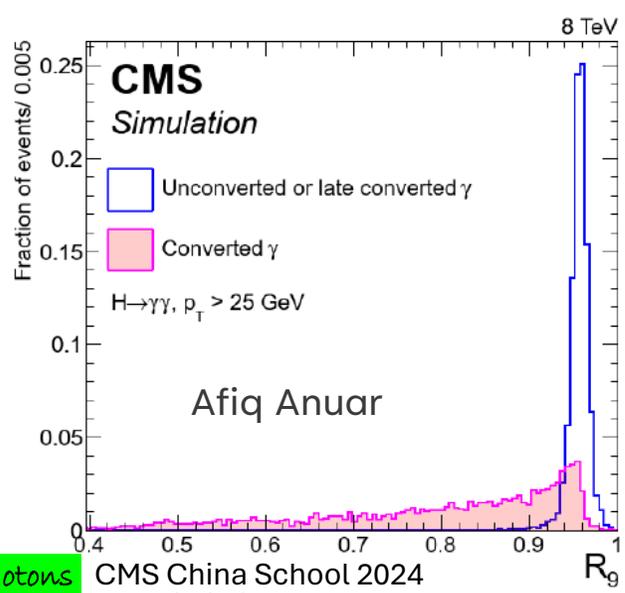
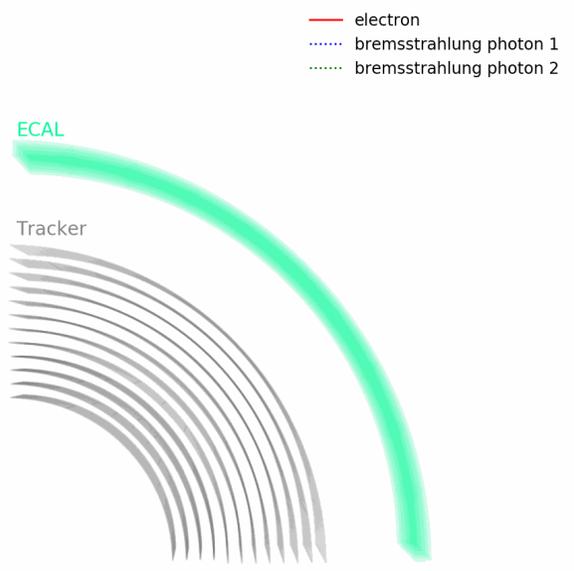


# What can we use to identify electrons and photons?

- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

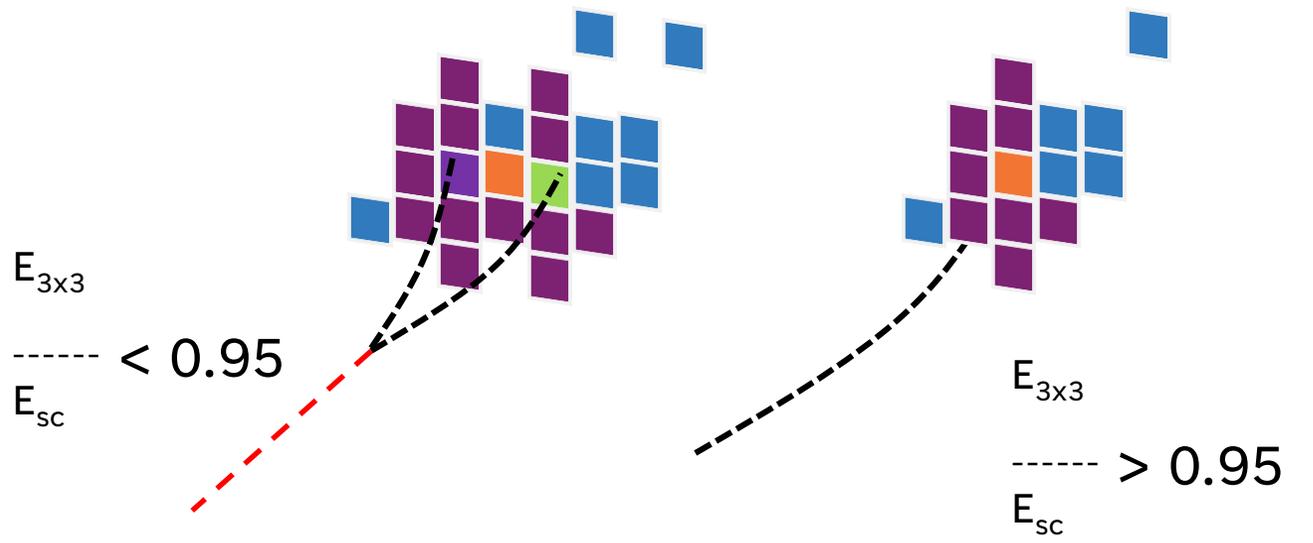
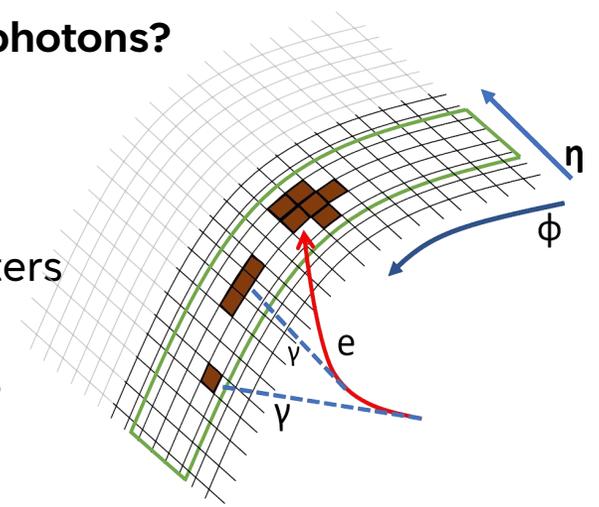


Most energetic crystal of 5x5 array



## What can we use to identify electrons and photons?

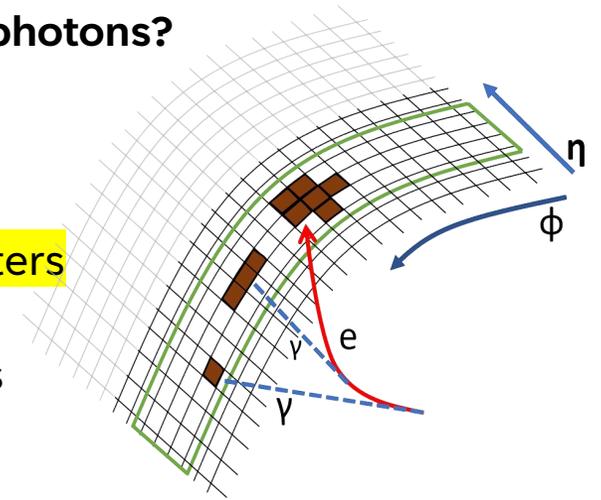
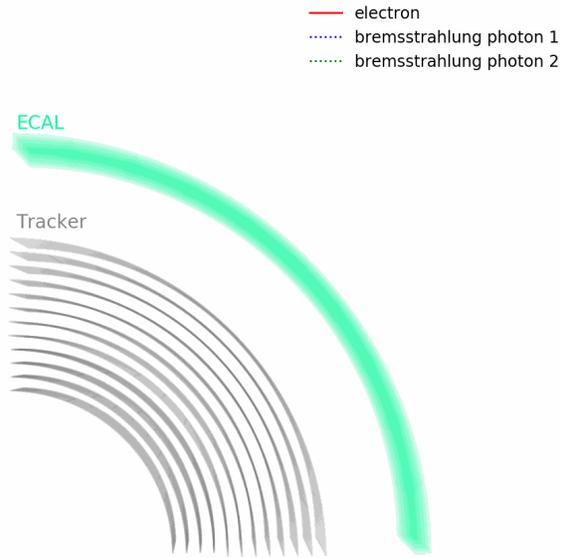
- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects



5x5 matrix contains ~96% (~97%) of unconverted photon energy in EB (EE)

## What can we use to identify electrons and photons?

- Description of the EM shower shape
- **Tracking and clustering matching parameters**
- Quantification of isolation of these objects



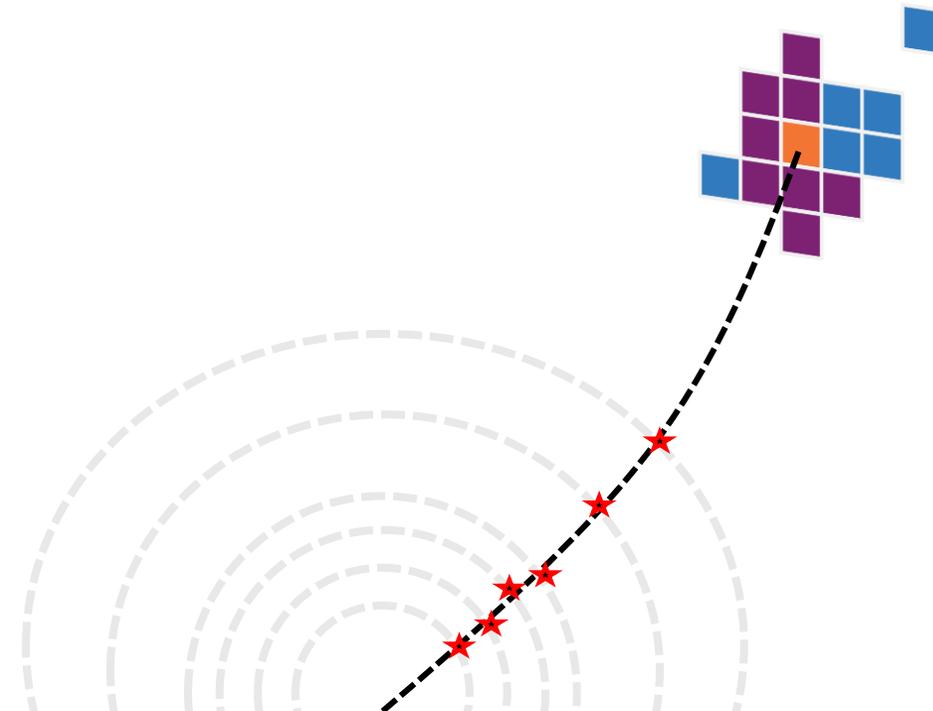
$N_{\text{hits}}^{\text{gsf}}$  : Hits in the “gsf” track

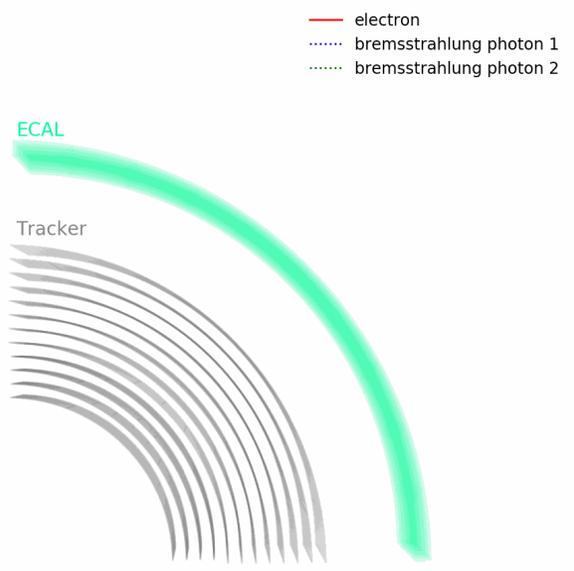
$N_{\text{hits}}^{\text{kf}}$  : Hits in the “kf” track

$E/p$  : Energy of supercluster/ momentum

$\chi^2$ : Track quality

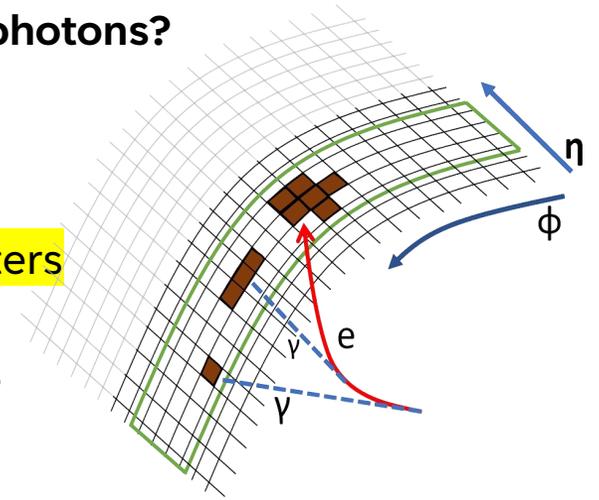
Not just for electrons,  
Even for photons, good ones should  
not have a “track at all”  
Or would have converted?





### What can we use to identify electrons and photons?

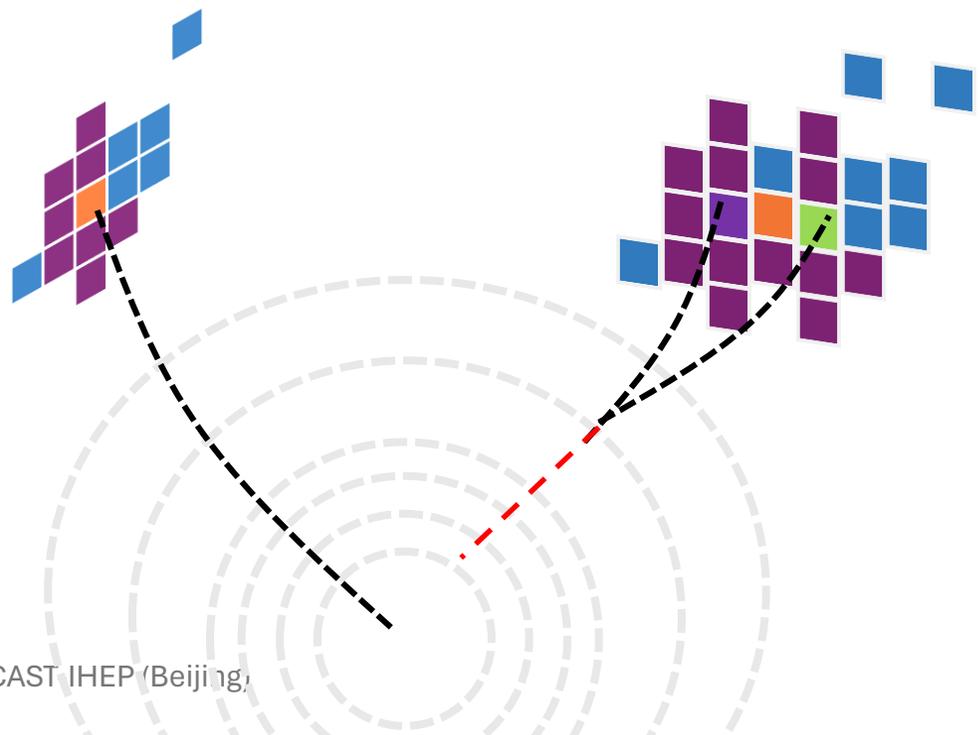
- Description of the EM shower shape
- **Tracking and clustering matching parameters**
- Quantification of isolation of these objects

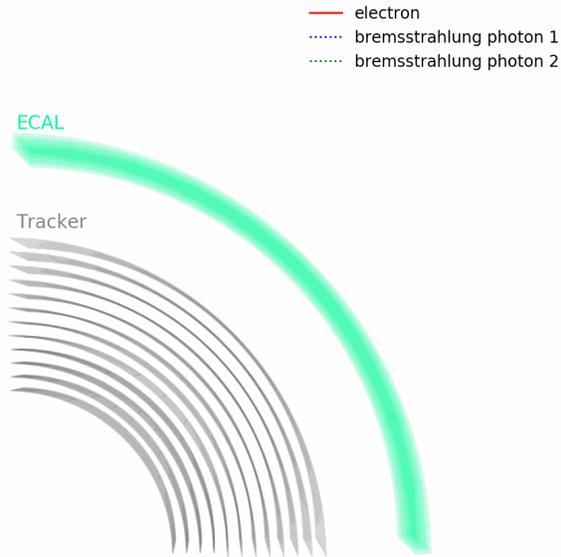


Conversion ID variables

How to differentiate between electrons in photon conversions from prompt electrons?

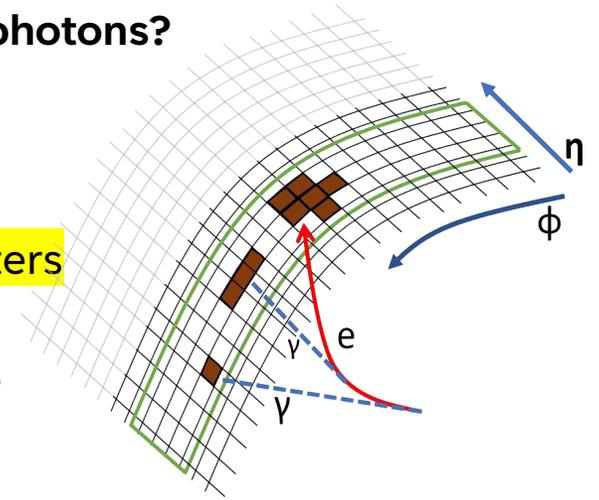
Conversion Safe Electron Veto  
Pixel Veto





## What can we use to identify electrons and photons?

- Description of the EM shower shape
- **Tracking and clustering matching parameters**
- Quantification of isolation of these objects

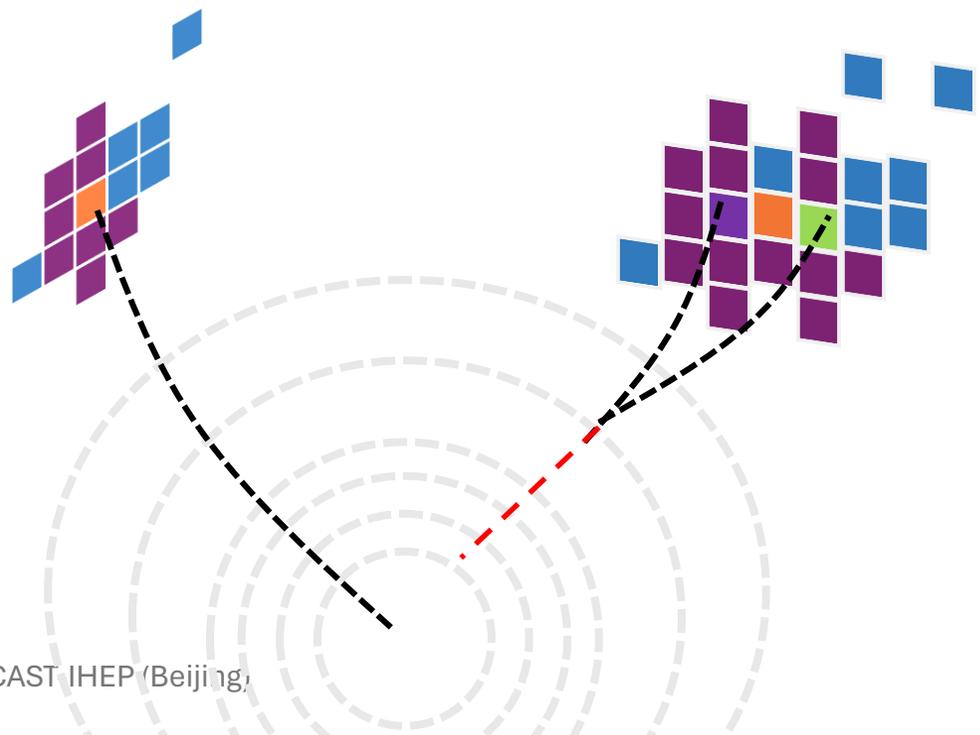


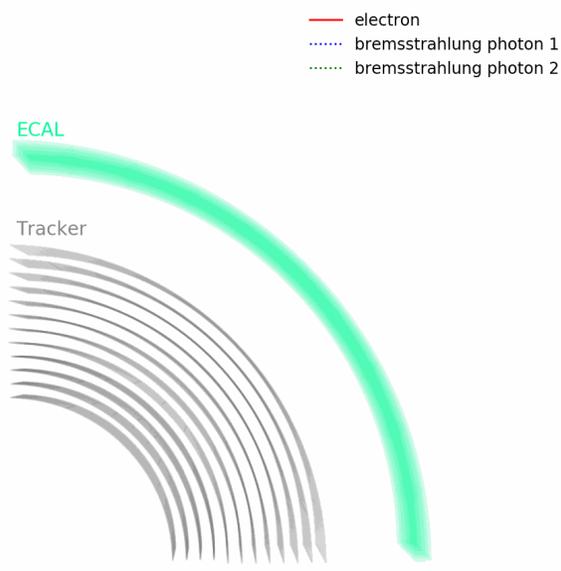
### Conversion Safe Electron Veto

If a secondary vertex is found, this is not an electron!

### Pixel Veto

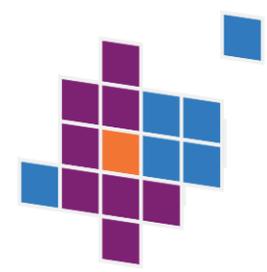
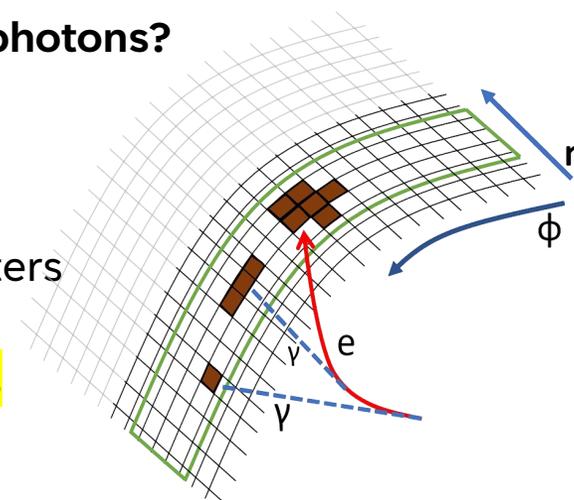
Track in pixel detector, this is not a photon



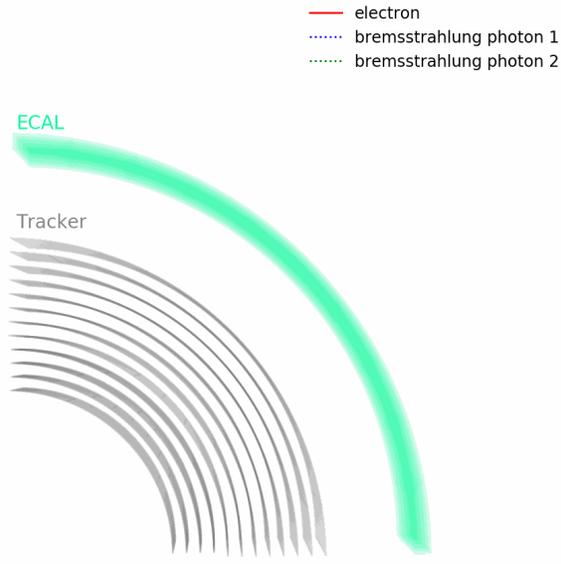


## What can we use to identify electrons and photons?

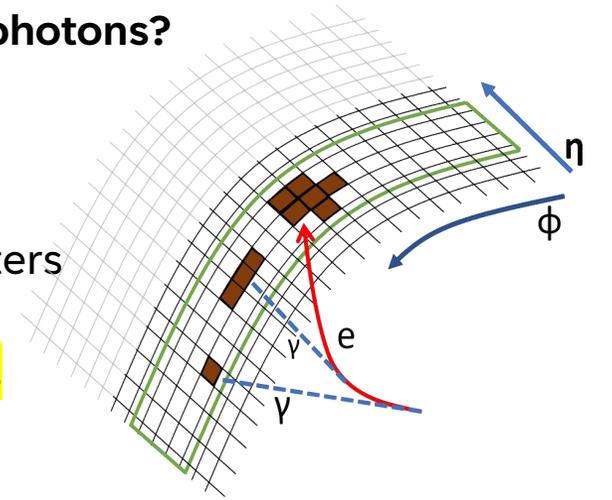
- Description of the EM shower shape
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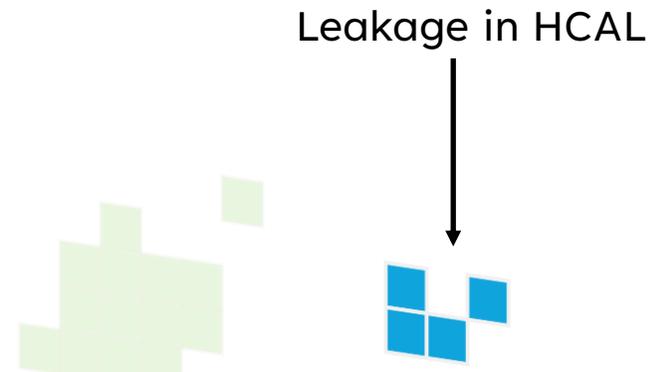
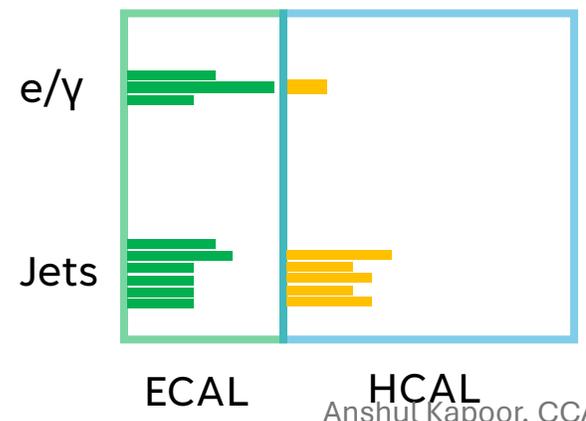
# What can we use to identify electrons and photons?

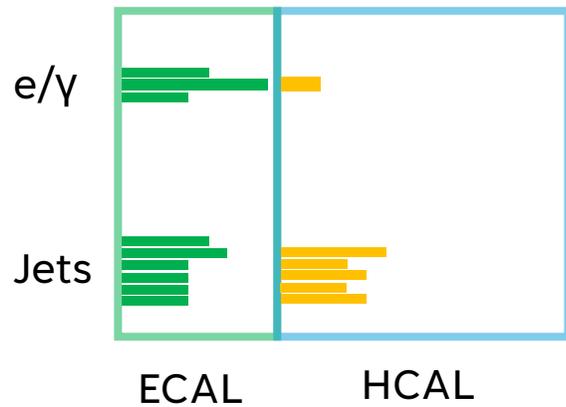


- Description of the EM shower shape
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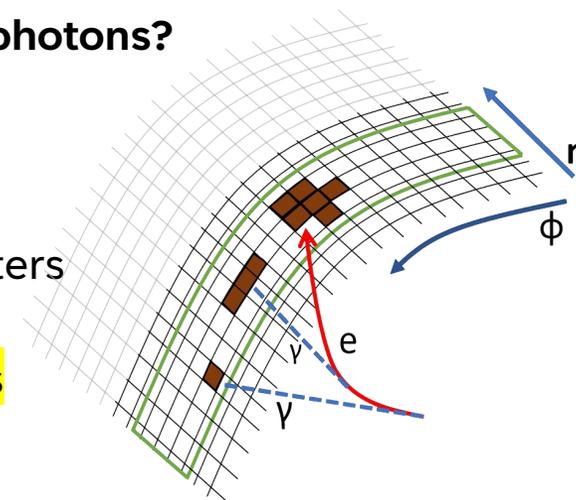
H/E : Energy leaked into HCAL / Energy in ECAL



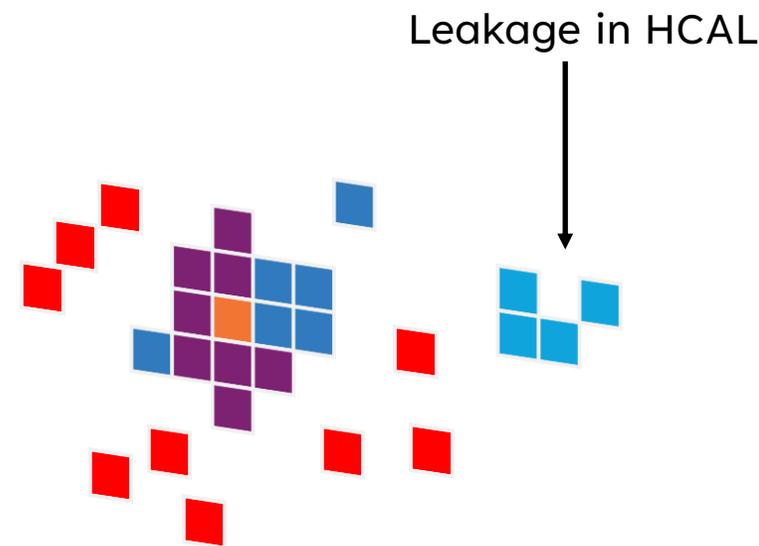


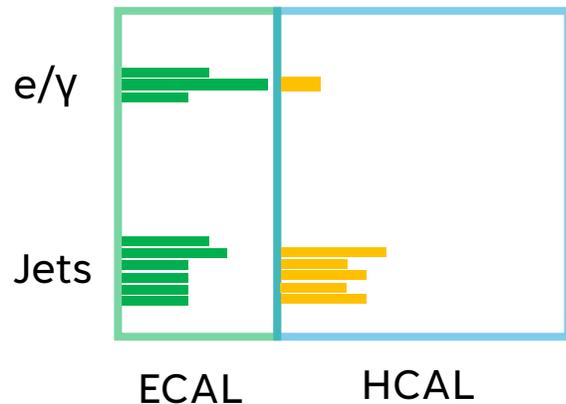
## What can we use to identify electrons and photons?

- Description of the EM shower shape
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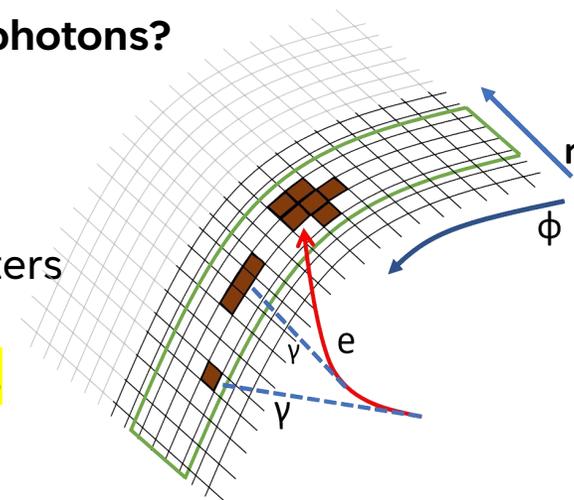
H/E : Energy leaked into HCAL / Energy in ECAL  
 Isolation: Other stuff around it?





## What can we use to identify electrons and photons?

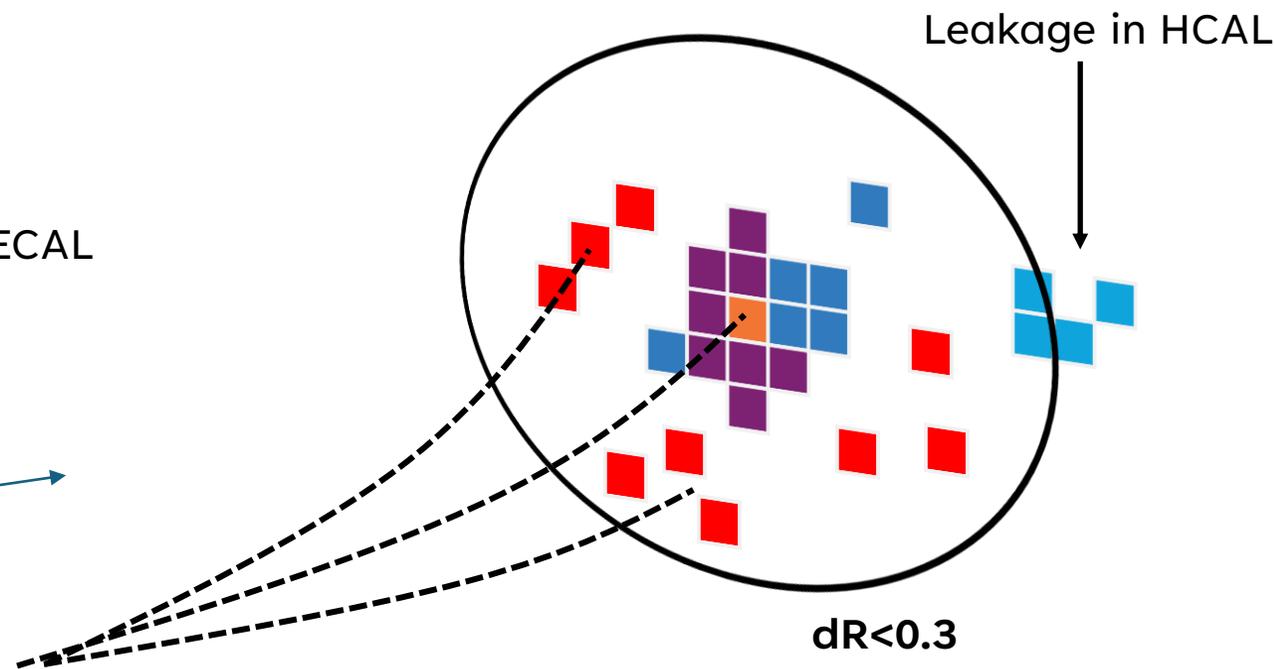
- Description of the EM shower shape
- Tracking and clustering matching parameters
- **Quantification of isolation of these objects**



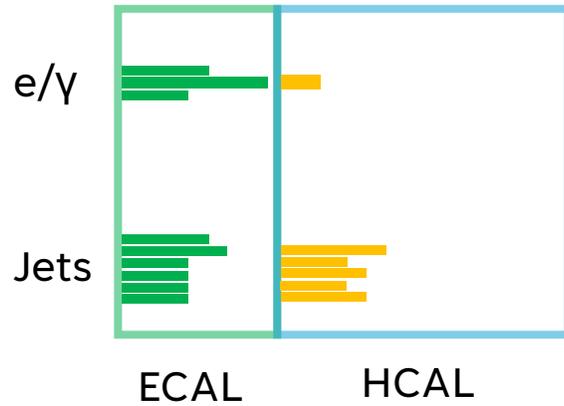
H/E : Energy leaked into HCAL / Energy in ECAL  
 Isolation: Other stuff **around** it?

Information provided by PF Is used here:

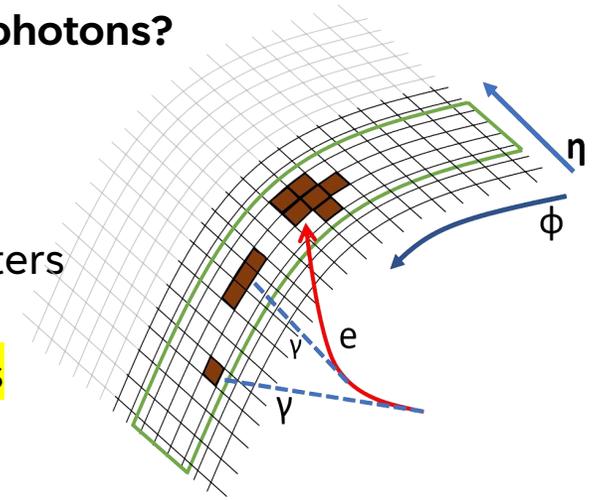
- PF Cluster+Tracker isolations**
- HCAL clusters
- ECAL clusters
- Tracks



## What can we use to identify electrons and photons?



- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

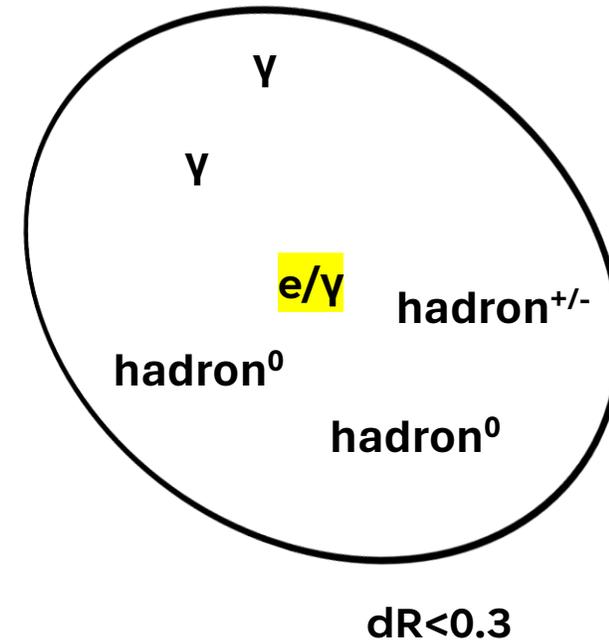


Correlated

H/E : Energy leaked into HCAL / Energy in ECAL  
 Isolation: Other stuff around it?

Information provided by PF Is used here:

**PF Particle Isolations**  
 Charged hadrons  
 Neutral hadrons  
 Photons

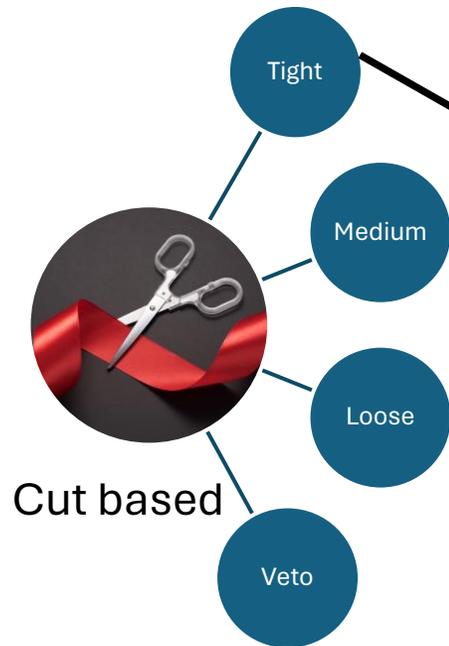


## Offline Analysis Central E/Gamma Identification

### Electron case as an example:

What should an electron look like? Here are some key indicators to consider:

- Isolated cluster: A prompt electron will result in an isolated cluster
- Electromagnetic cluster: characterized by low H/E
- EM shower typically appears as a concentrated cluster
- $E_{SC} / pT$ : a track and cluster produced by the same particle, indicating a high likelihood of being an electron
- Matching cluster-track: comparing the angle of the cluster to the track can help distinguish against Bremsstrahlung radiation.



## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{in}^{seed} $	$<0.0025$	$<0.005$
$ \Delta\phi_{in} $	$<0.022$ rad	$<0.024$ rad
$H/E$	$<0.026 + 1.15 \text{ GeV} / E_{SC}$ $+0.032\rho / E_{SC}$	$<0.019 + 2.06 \text{ GeV} / E_{SC}$ $+0.183\rho / E_{SC}$
$I_{combined} / E_T$	$<0.029 + 0.51 \text{ GeV} / E_T$	$<0.0445 + 0.963 \text{ GeV} / E_T$
$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
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$H/E$	$<0.026 + 1.15 \text{ GeV}/E_{\text{SC}}$	$<0.019 + 2.06 \text{ GeV}/E_{\text{SC}}$
	$+0.032\rho/E_{\text{SC}}$	$+0.183\rho/E_{\text{SC}}$
$I_{\text{combined}}/E_{\text{T}}$	$<0.029 + 0.51 \text{ GeV}/E_{\text{T}}$	$<0.0445 + 0.963 \text{ GeV}/E_{\text{T}}$
$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

**Efficient against:**  
**All hadronic background**

## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Efficient against:  
Jets

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{\text{in}}^{\text{seed}} $	$<0.0025$	$<0.005$
$ \Delta\phi_{\text{in}} $	$<0.022 \text{ rad}$	$<0.024 \text{ rad}$
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Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

## Offline Analysis

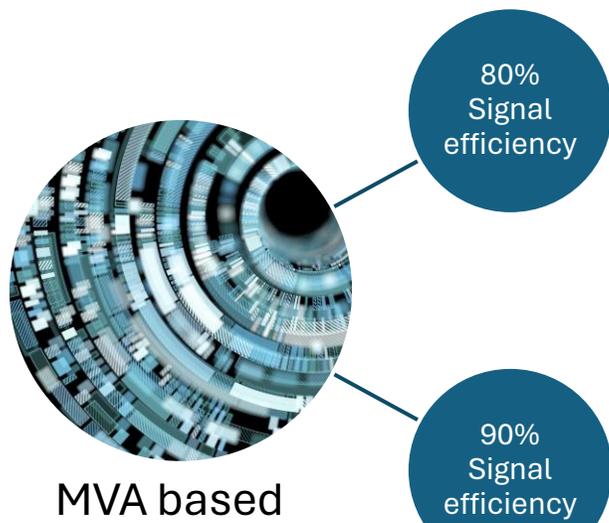
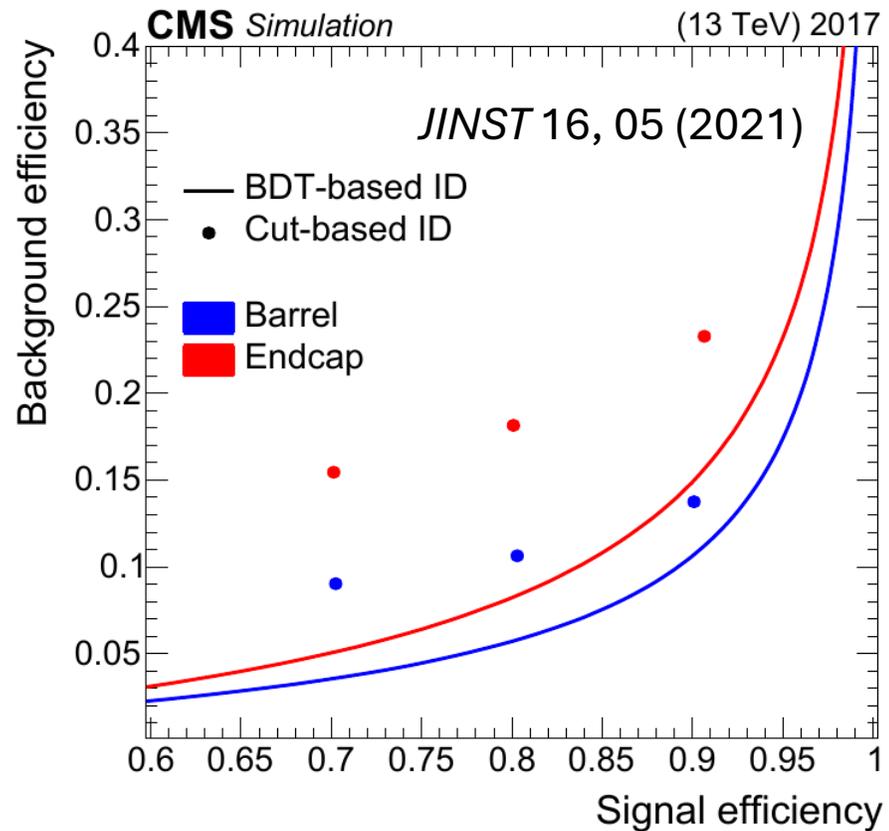
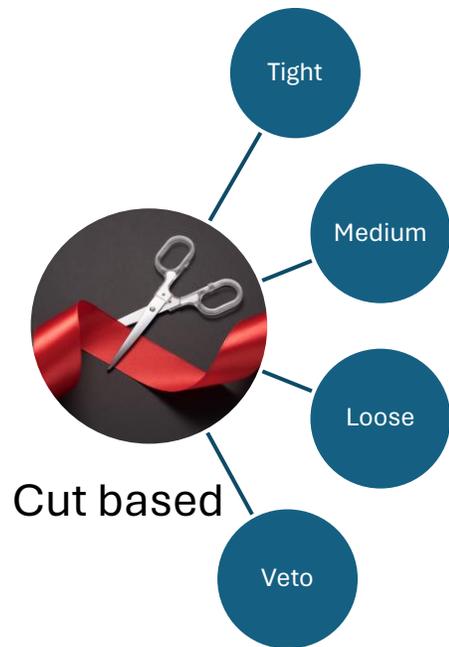
### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
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$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

**Efficient against:**  
Pions, photons

### Offline Analysis Central E/Gamma Identification



# Photon ID?

**Junquan will now talk about how to identify photons using a hands-on exercise**

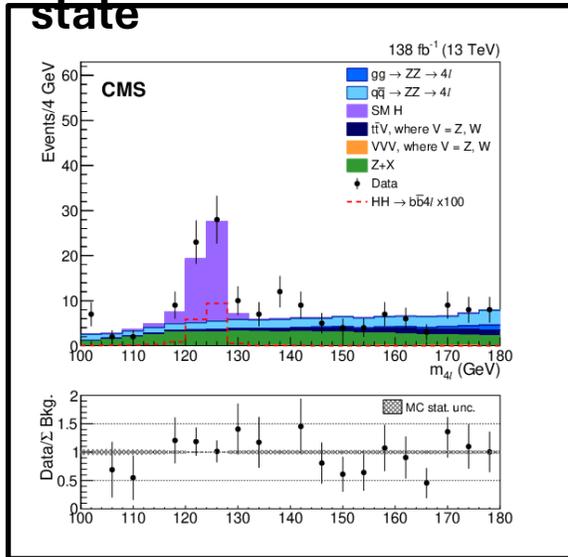
BACKUP



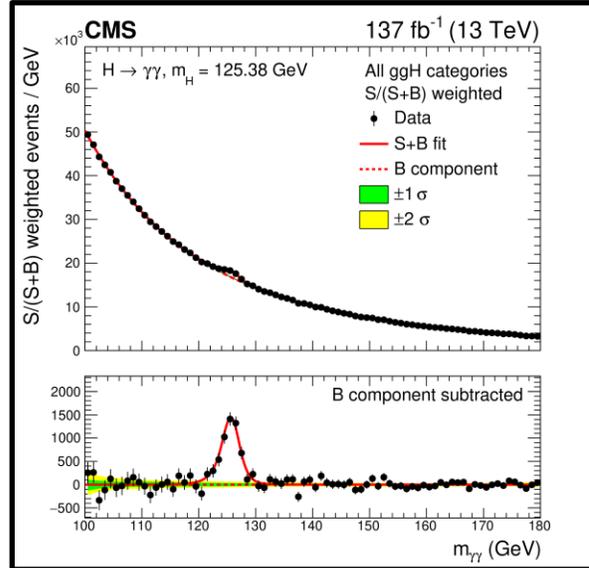
**Some plots on these slides have been picked up  
from common material of E/Gamma POG.**

# e/γ Identification is super critical for several analyses

**Search for non-resonant Higgs boson pair production in the four leptons plus two b jets final state**

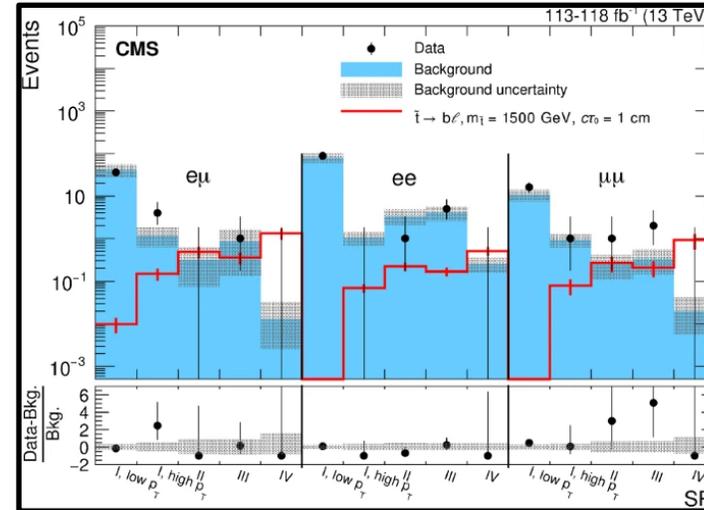


[CERN-EP-2022-114](#)  
Submitted to the JHEP



[JHEP 07 \(2021\) 027](#)

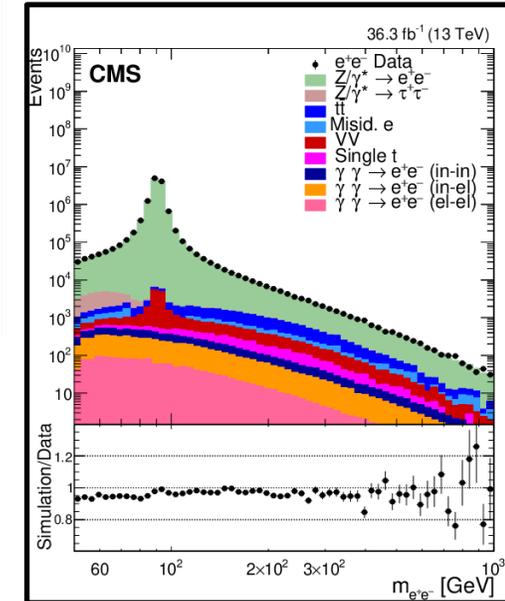
**Measurements of Higgs boson production cross sections and couplings in the diphoton decay channel**



[Eur.Phys.J.C 82\(2022\)1537](#)

**Search for long-lived particles decaying to displaced leptons**

[CERN-EP-2022-053](#)  
Submitted to the EPJ-C



## Where to find the necessary information?

Parent Twiki:

<https://twiki.cern.ch/twiki/bin/view/CMS/EgammaPOG>

Run2:

<https://twiki.cern.ch/twiki/bin/view/CMS/EgammaIDRecipesRun2>

Run3:

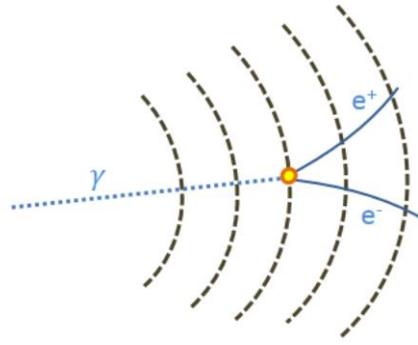
<https://twiki.cern.ch/twiki/bin/view/CMS/EgammaIDRecipesRun3>

High  $p_T$  Photons:

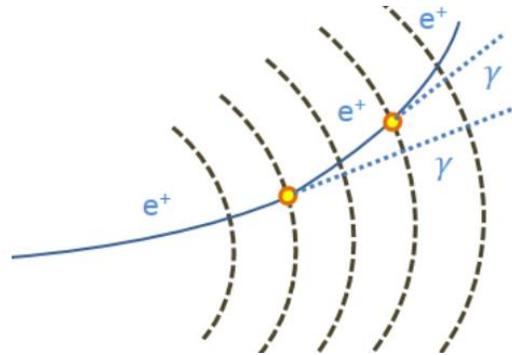
<https://twiki.cern.ch/twiki/bin/view/CMS/EGMPhotonIDHighPtPhotons>

# How e/ $\gamma$ interact with matter

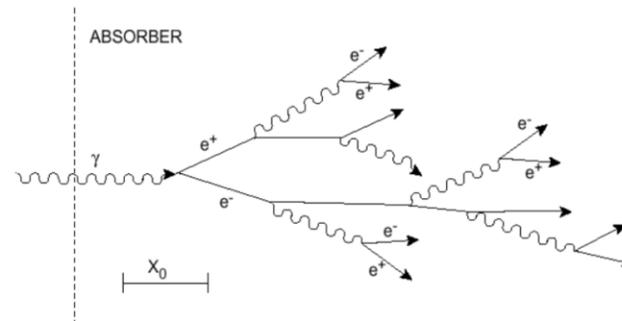
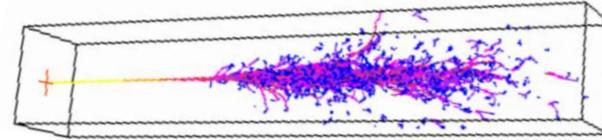
## Pair production



## Bremsstrahlung



These two processes form the basis of the “electromagnetic shower”



- electron
- ..... bremsstrahlung photon 1
- ..... bremsstrahlung photon 2

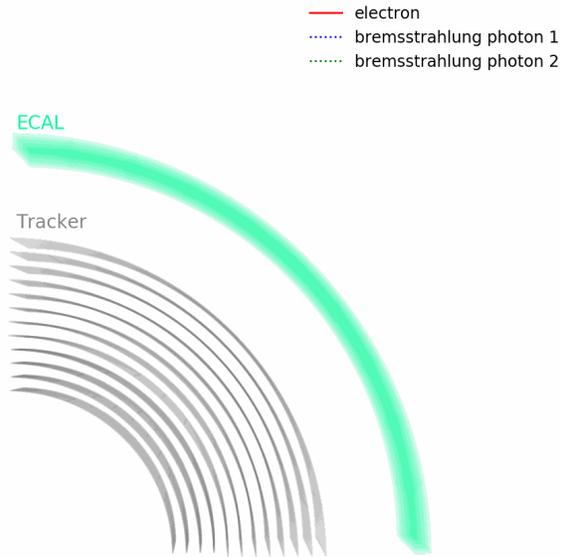
ECAL

Tracker



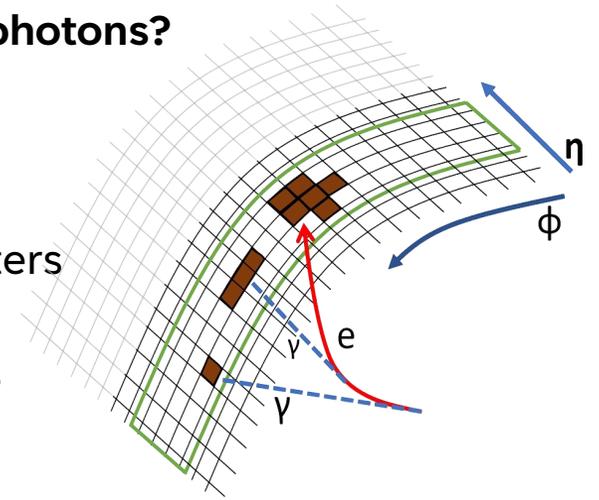


## What can we use to identify electrons and photons?

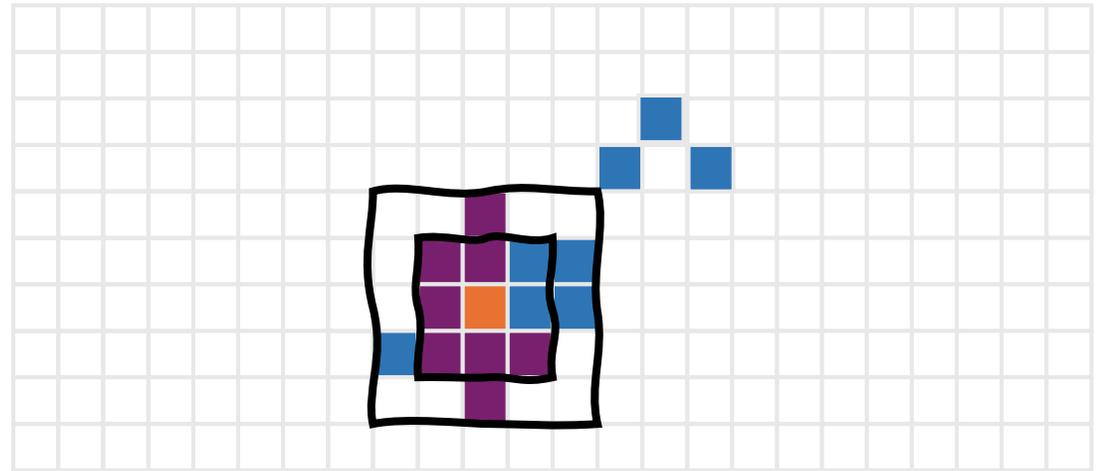


### Description of the EM shower shape

- Tracking and clustering matching parameters
- Quantification of isolation of these objects

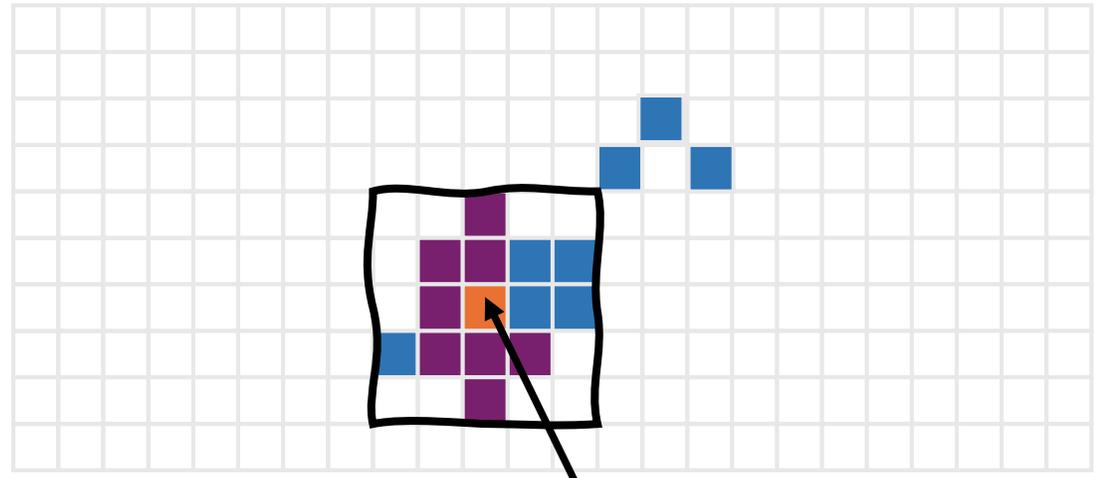
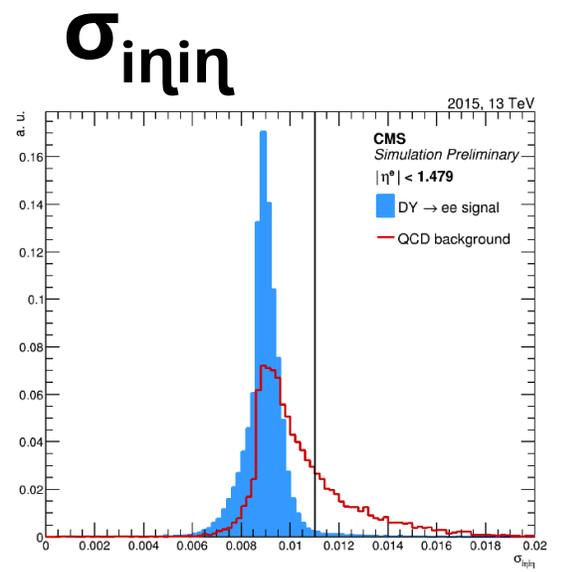
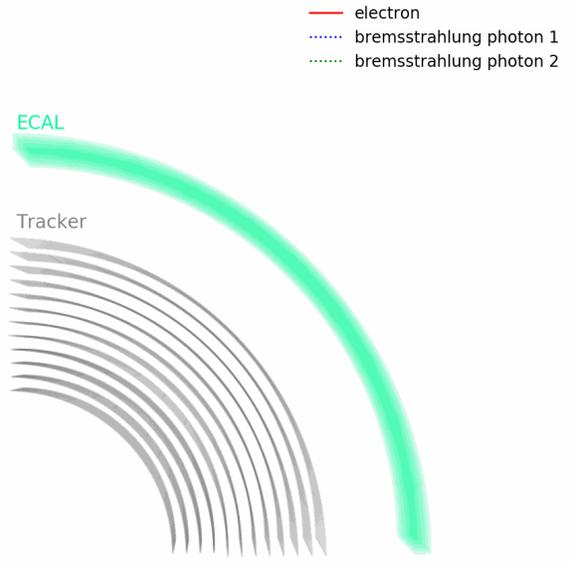
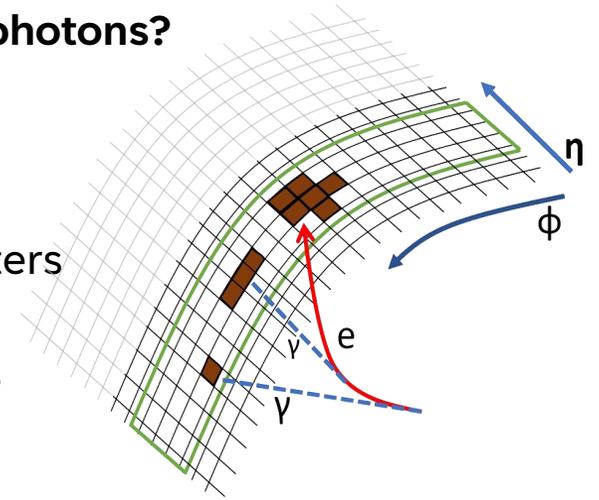


- $E_{sc}$  : Energy of supercluster
- $E_{2 \times 2}$  : Energy contained in 2X2 crystals
- $E_{3 \times 3}$  : Energy contained in 3X3 crystals
- $E_{5 \times 5}$  : Energy contained in 5X5 crystals
- $E_{1 \times 5}$  : Energy contained in 1X5 crystals
- $d\eta_{sc}$  :  $\eta$  width of supercluster
- $d\Phi_{sc}$  :  $\Phi$  width of supercluster

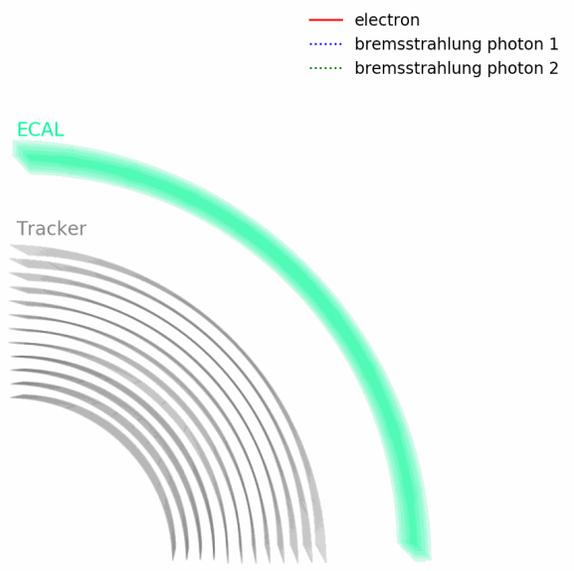


# What can we use to identify electrons and photons?

- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

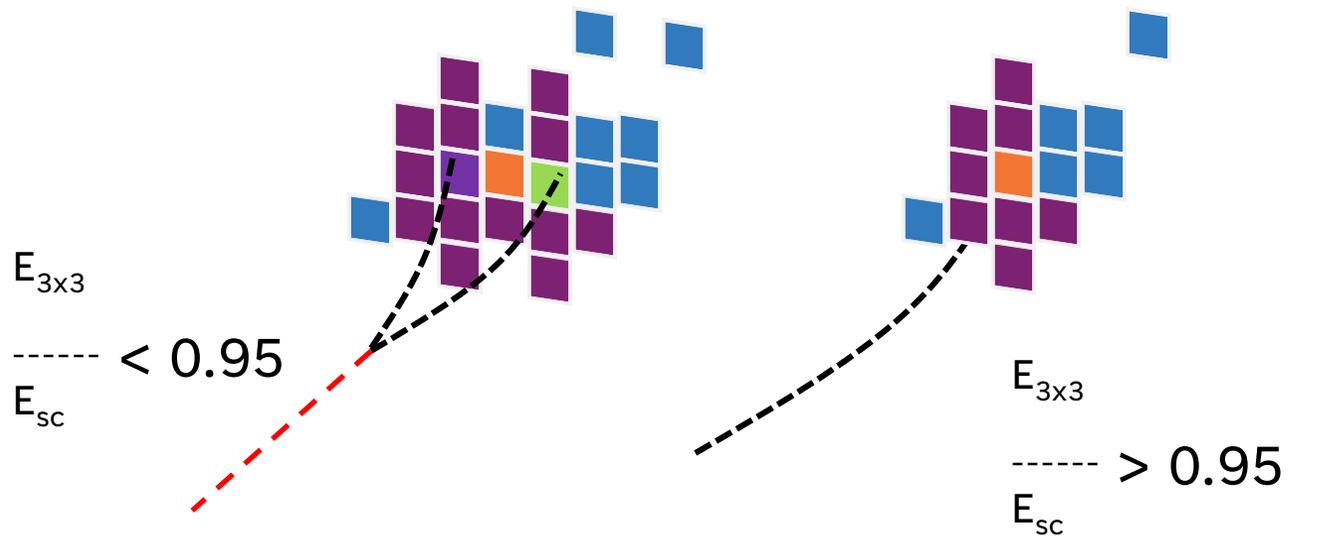
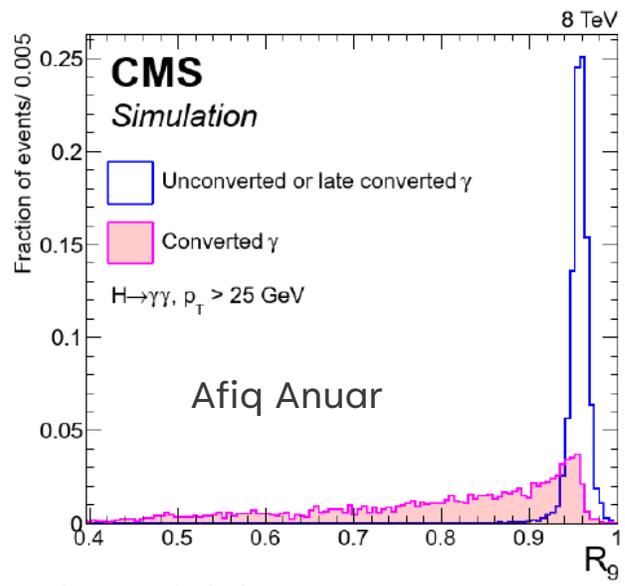
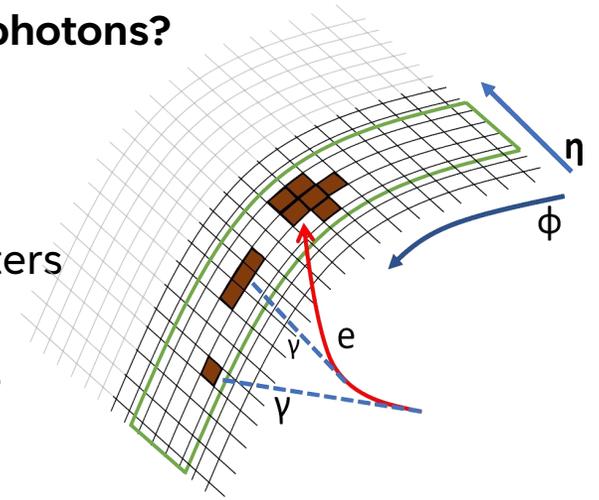


Most energetic crystal of 5x5 array



# What can we use to identify electrons and photons?

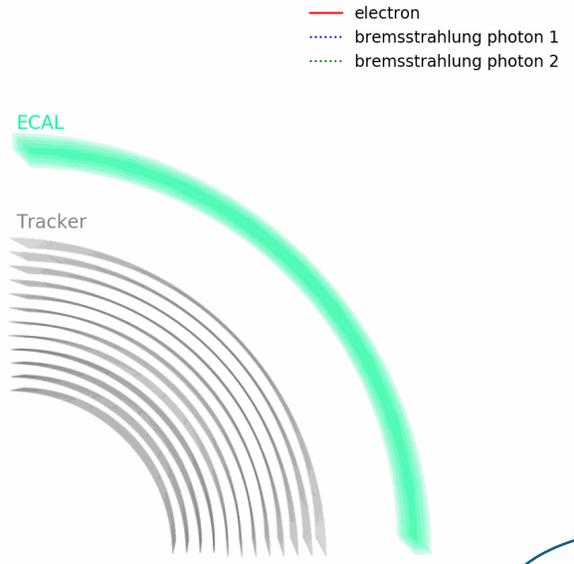
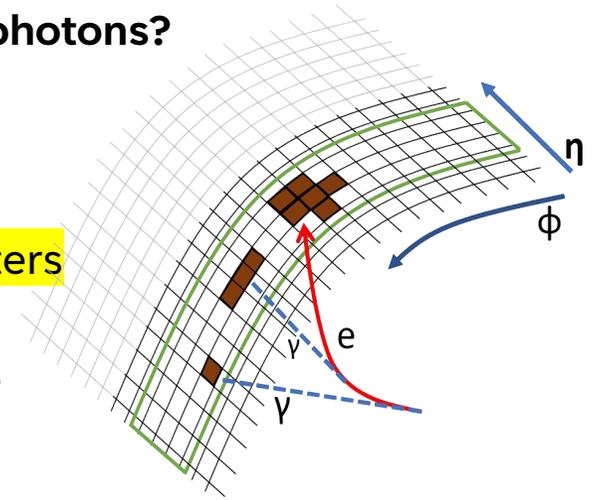
- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects



5x5 matrix contains ~96% (~97%) of unconverted photon energy in EB (EE)

# What can we use to identify electrons and photons?

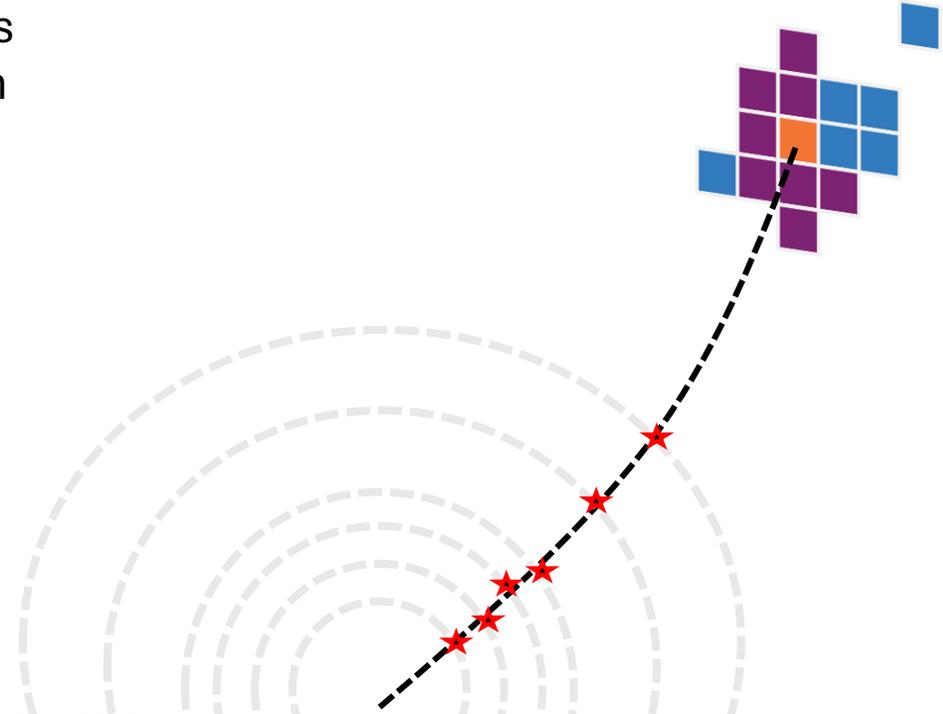
- Description of the EM shower shape
- **Tracking and clustering matching parameters**
- Quantification of isolation of these objects

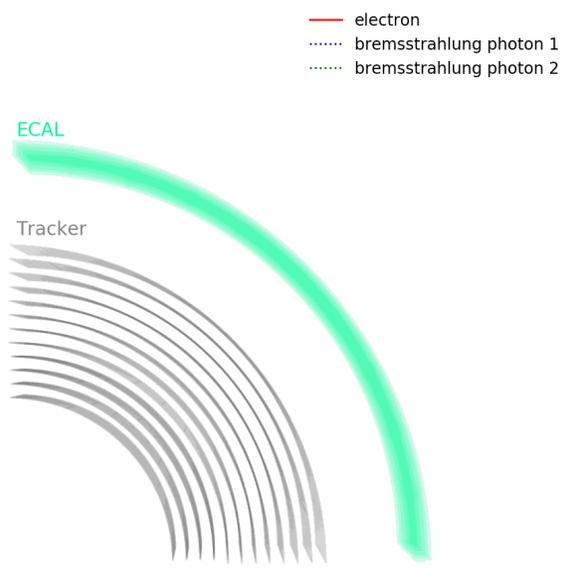


We heard about this in Wolfgang's talk in the morning

- $N_{hits}^{gsf}$  : Hits in the "gsf" track
- $N_{hits}^{kf}$  : Hits in the "kf" track
- $E/p$  : Energy of supercluster/ momentum
- $\chi^2$ : Track quality

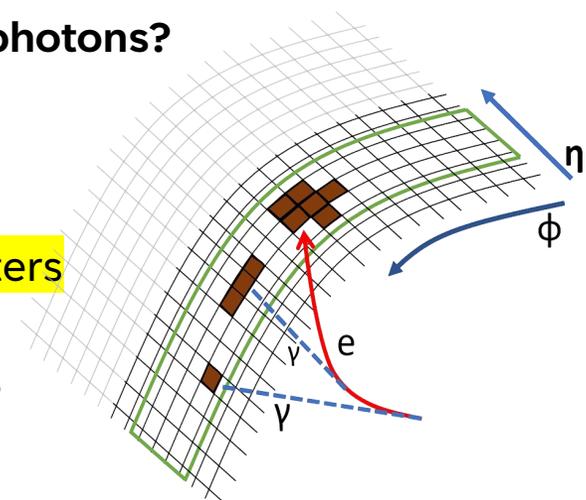
Not just for electrons,  
Even for photons, good ones should not have a "track at all"  
Or would have converted?





## What can we use to identify electrons and photons?

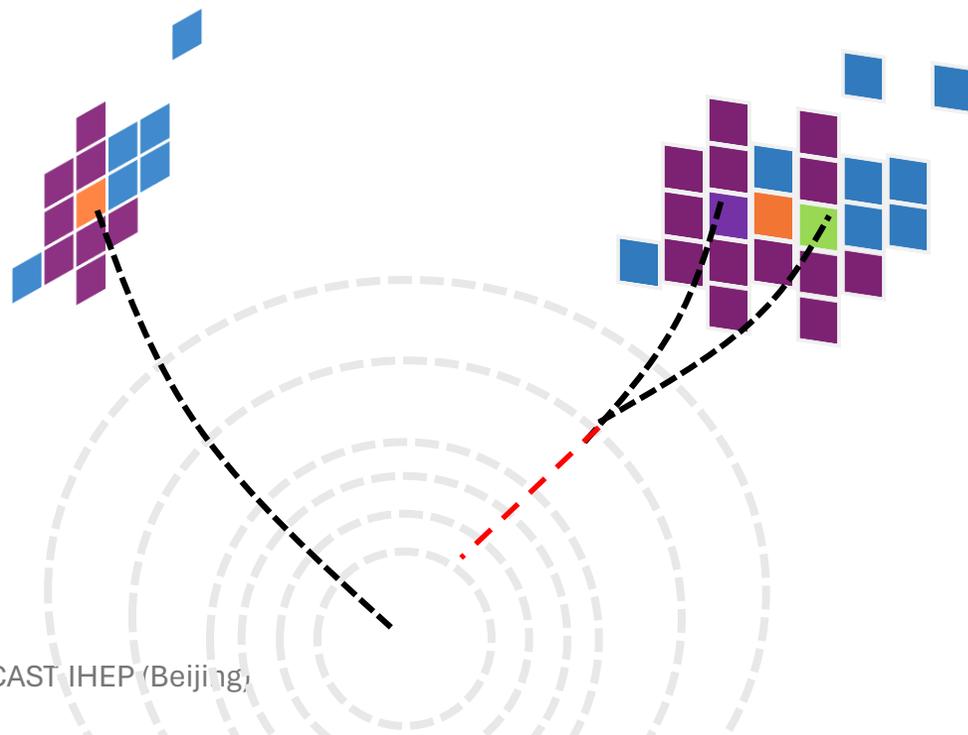
- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

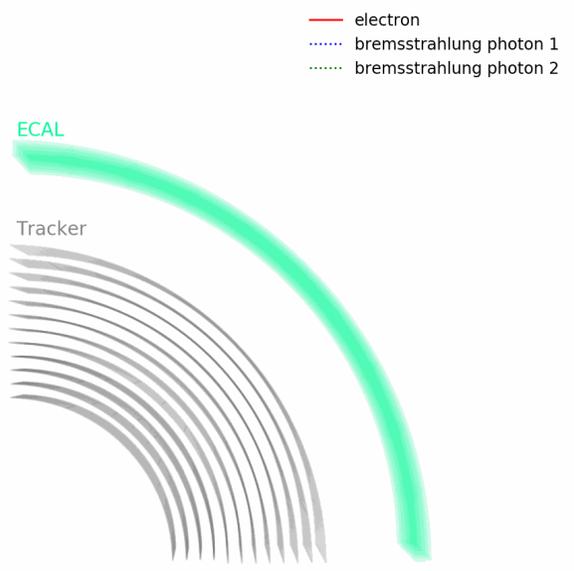


Conversion ID variables

How to differentiate between electrons in photon conversions from prompt electrons?

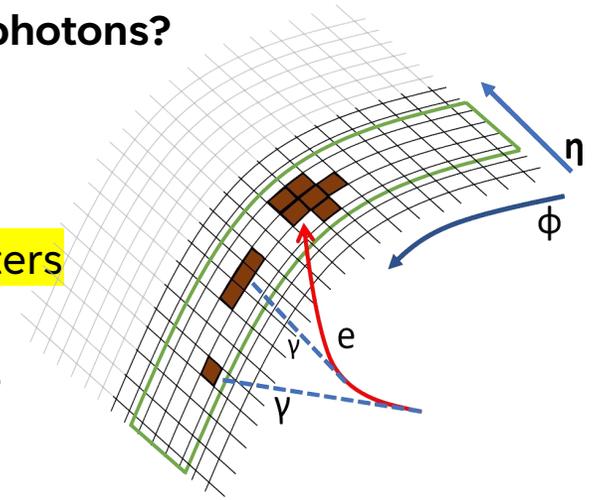
Conversion Safe Electron Veto  
Pixel Veto





### What can we use to identify electrons and photons?

- Description of the EM shower shape
- **Tracking and clustering matching parameters**
- Quantification of isolation of these objects

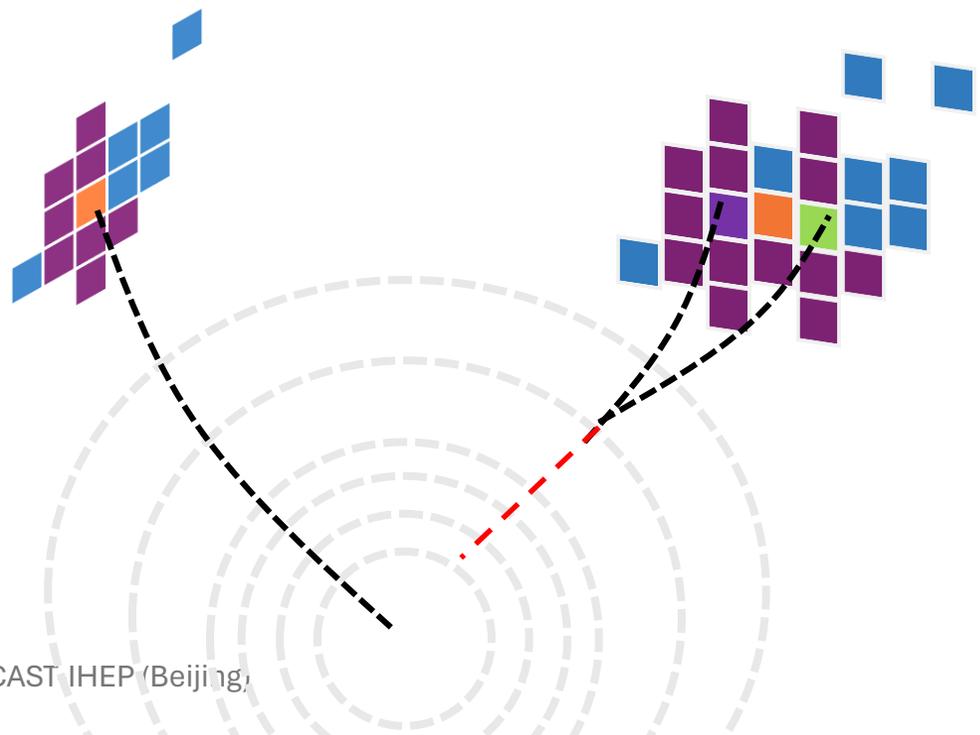


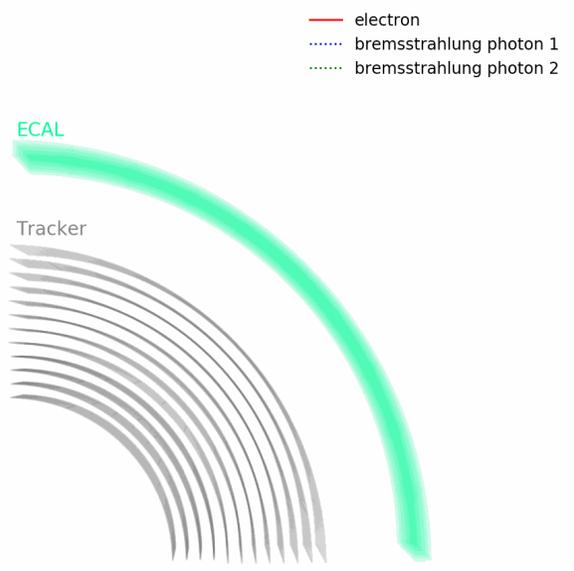
#### Conversion Safe Electron Veto

If a secondary vertex is found, this is not an electron!

#### Pixel Veto

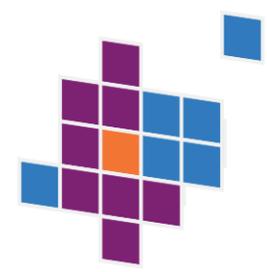
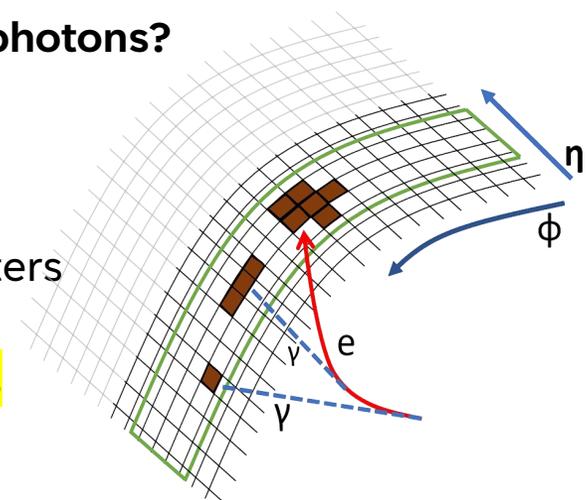
Track in pixel detector, this is not a photon



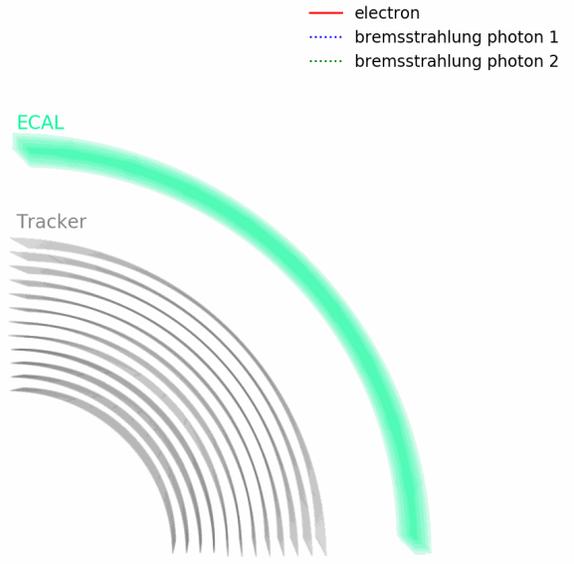


## What can we use to identify electrons and photons?

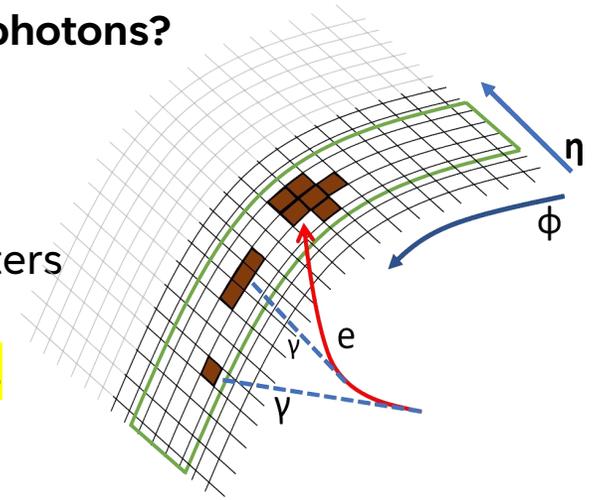
- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects



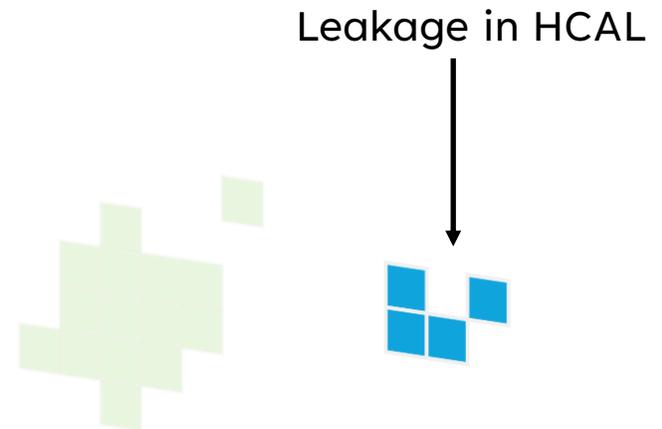
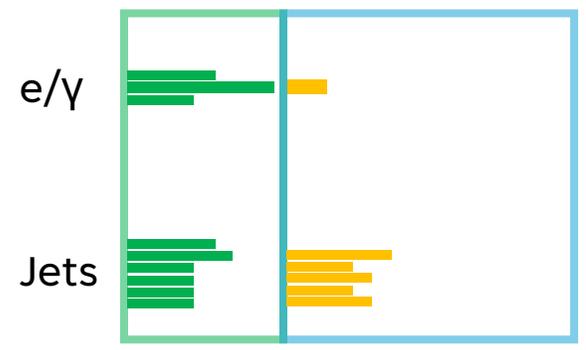
# What can we use to identify electrons and photons?

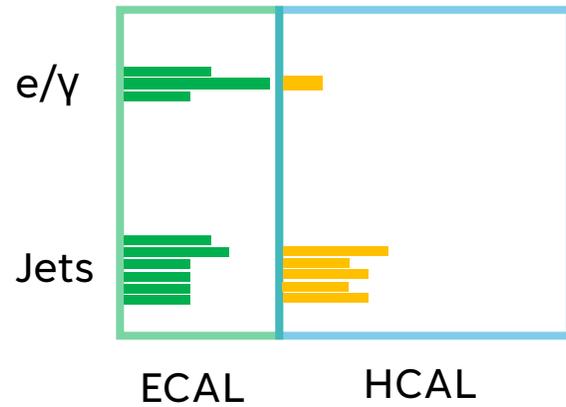


- Description of the EM shower shape
- Tracking and clustering matching parameters
- **Quantification of isolation of these objects**



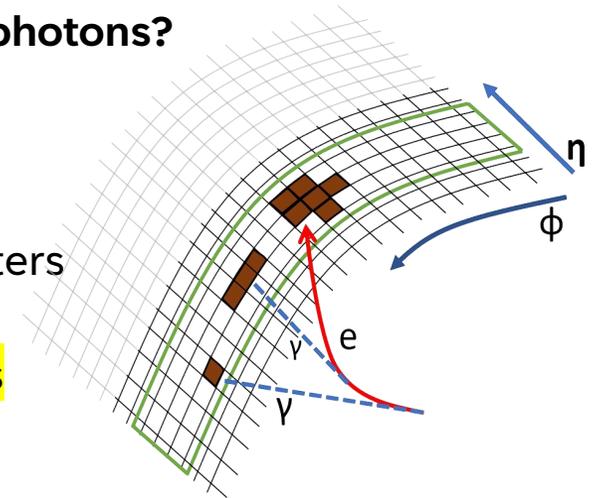
H/E : Energy leaked into HCAL / Energy in ECAL



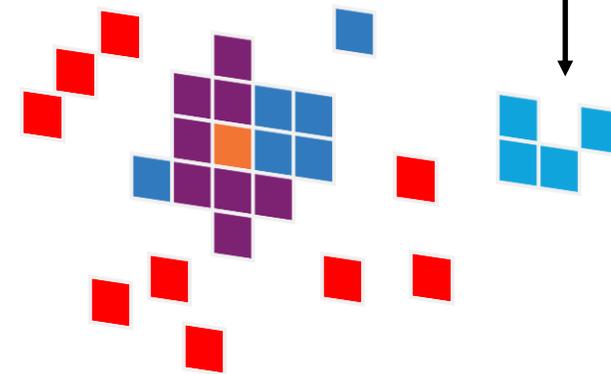


## What can we use to identify electrons and photons?

- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects

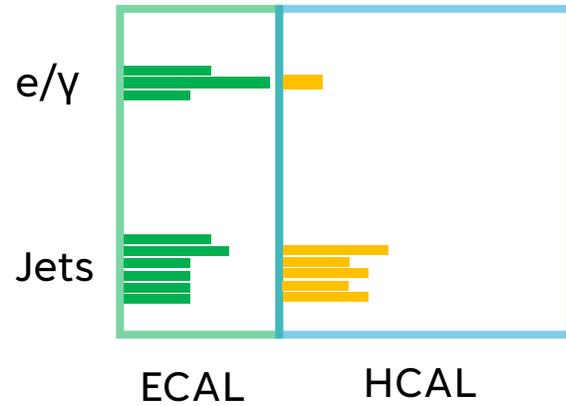


Leakage in HCAL

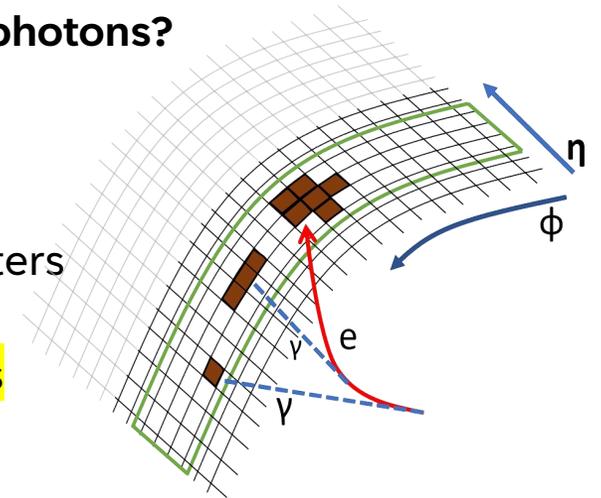


H/E : Energy leaked into HCAL / Energy in ECAL  
 Isolation: Other stuff around it?

## What can we use to identify electrons and photons?



- Description of the EM shower shape
- Tracking and clustering matching parameters
- **Quantification of isolation of these objects**



H/E : Energy leaked into HCAL / Energy in ECAL  
 Isolation: Other stuff **around** it?

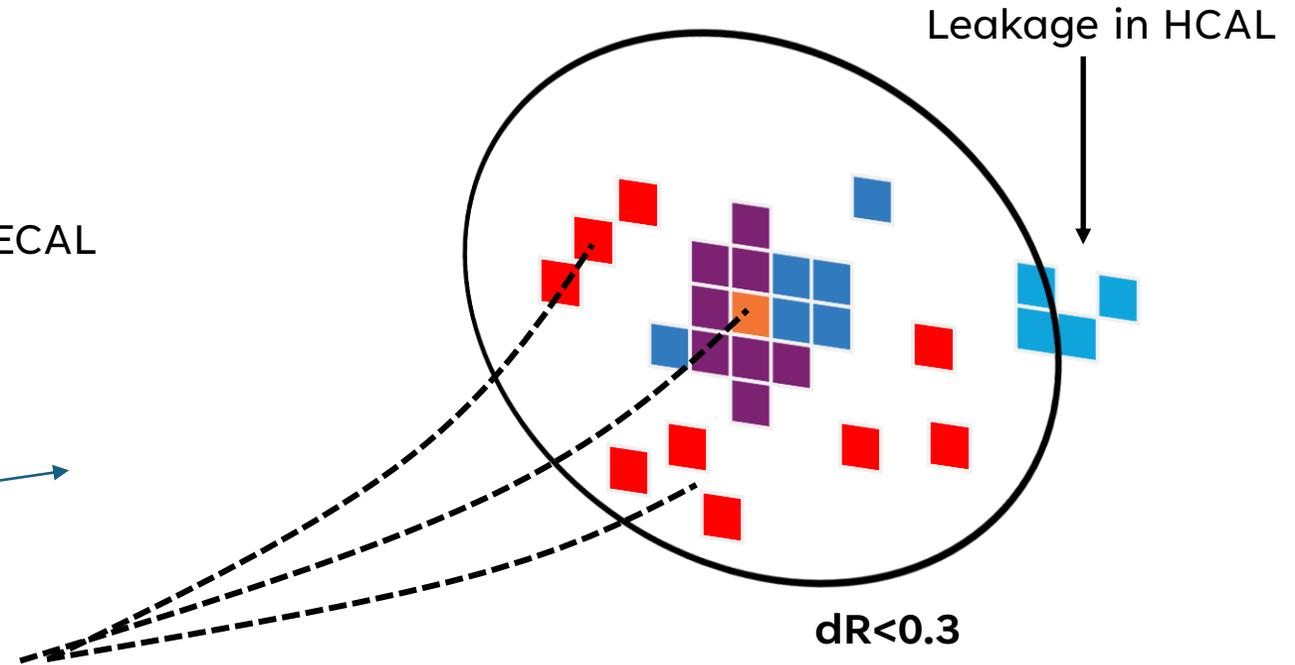
Information provided by PF Is used here:

**PF Cluster+Tracker isolations**

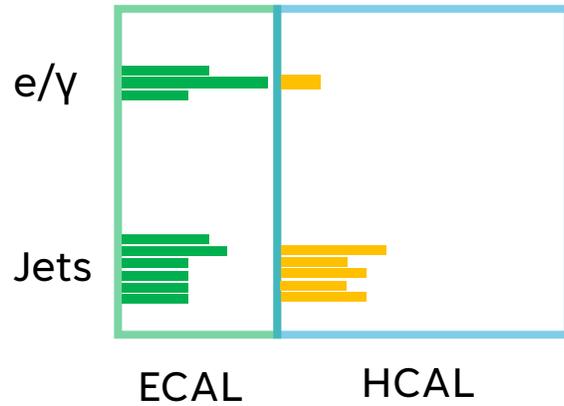
HCAL clusters

ECAL clusters

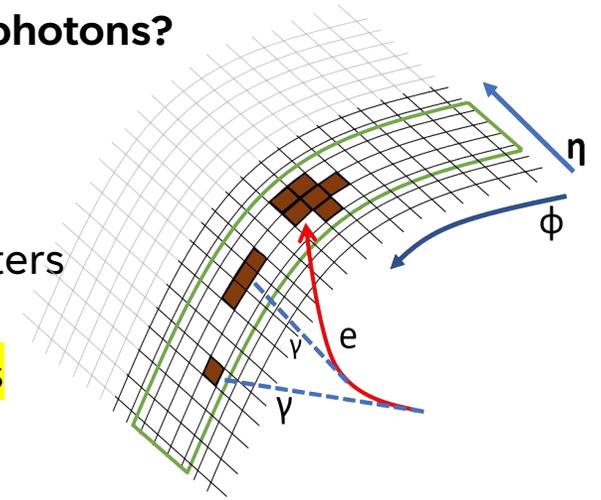
Tracks



## What can we use to identify electrons and photons?



- Description of the EM shower shape
- Tracking and clustering matching parameters
- **Quantification of isolation of these objects**

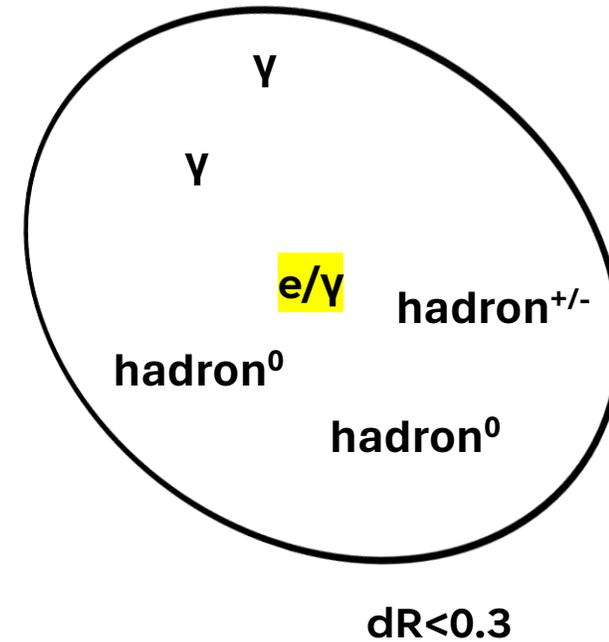


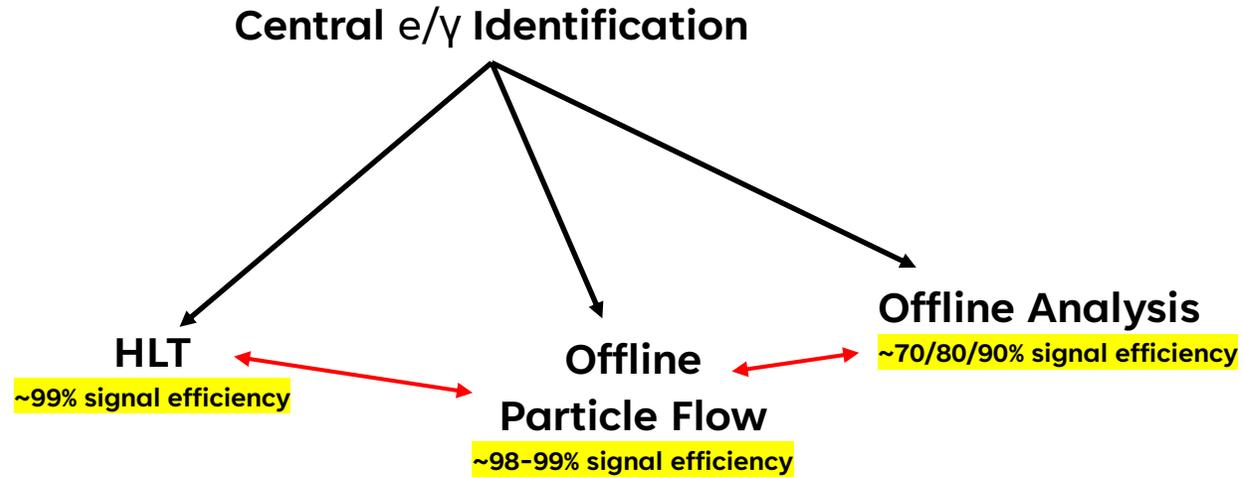
Correlated

- H/E : Energy leaked into HCAL / Energy in ECAL
- Isolation: Other stuff **around** it?

Information provided by PF Is used here:

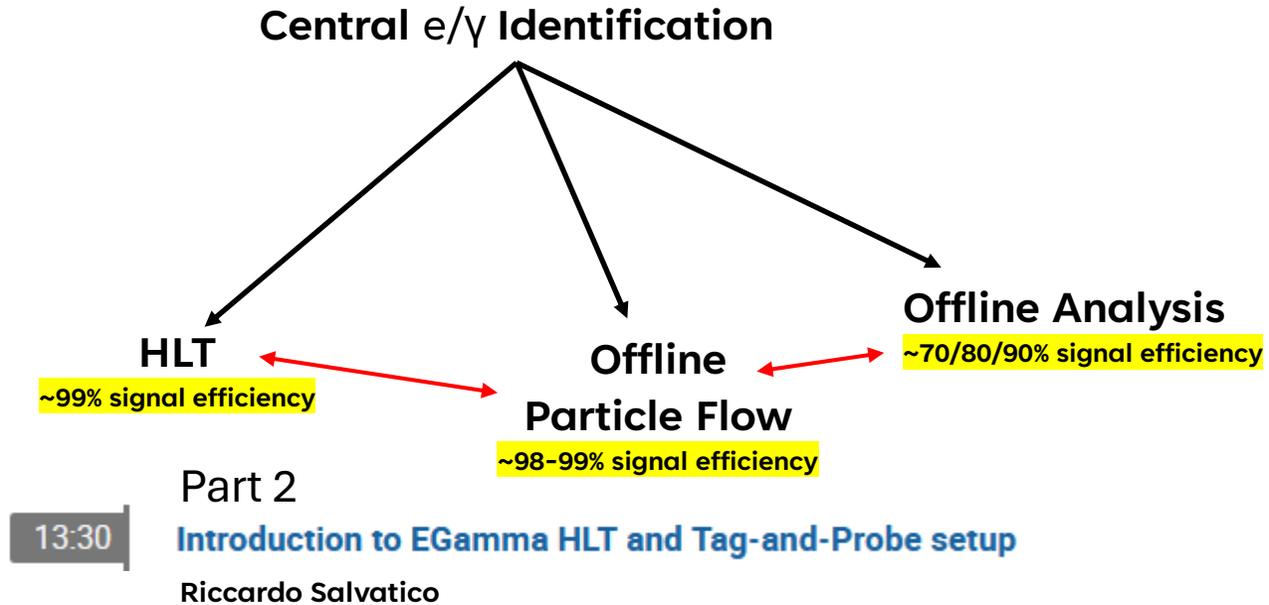
- PF Particle Isolations**
- Charged hadrons
- Neutral hadrons
- Photons





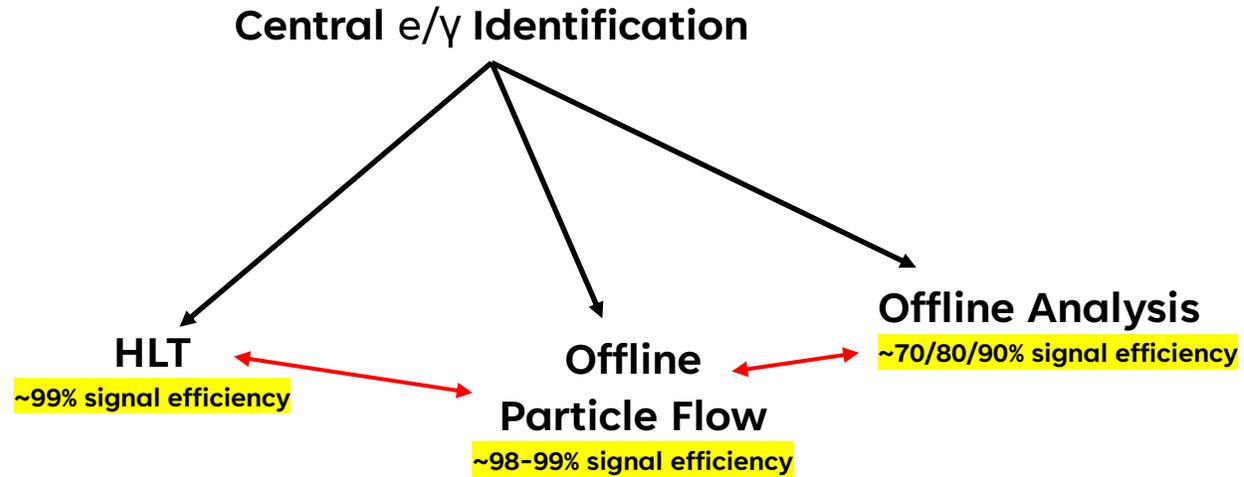
The basics that go into each of them are the same!

Yet, “signal of interest” is not EXACTLY the same



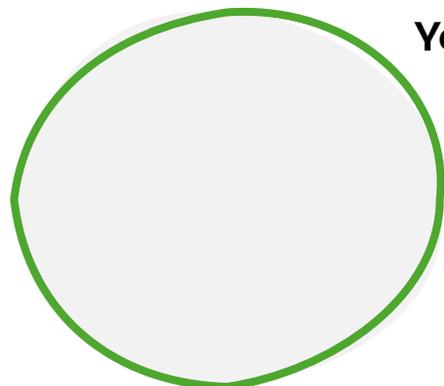
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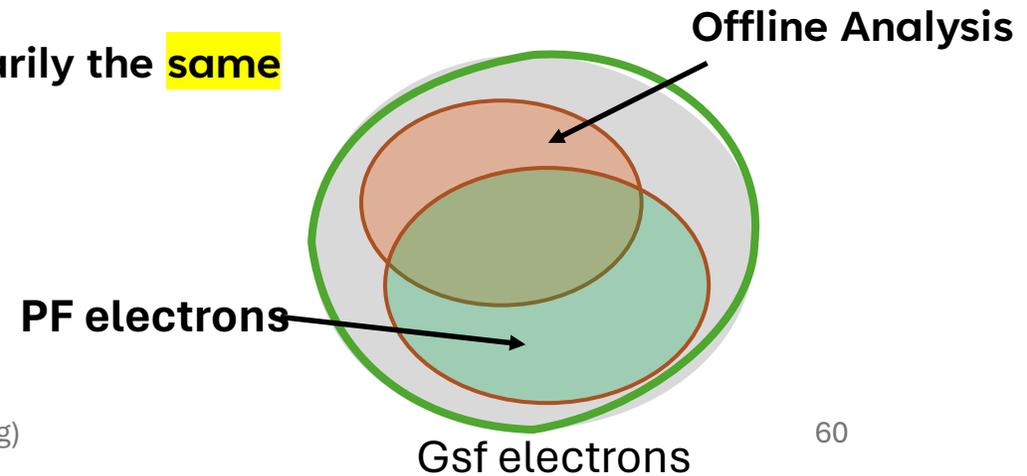


The basics that go into each of them are the same!

Yet, “signal of interest” is not necessarily the **same**

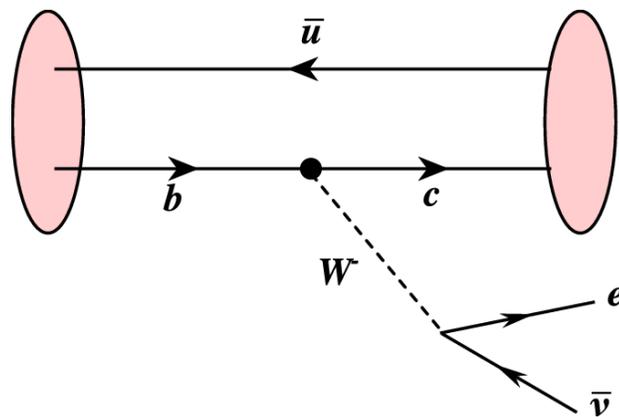
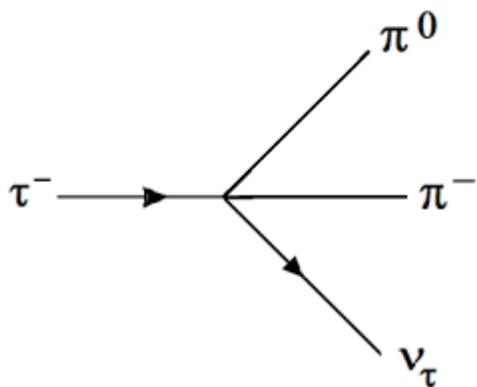
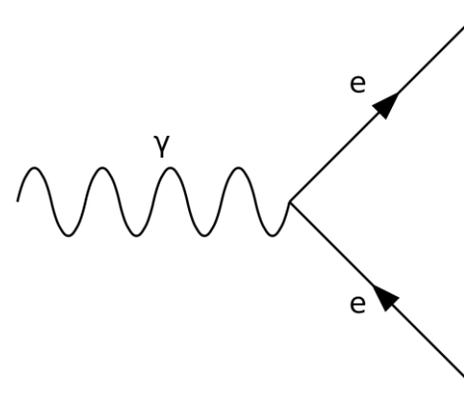
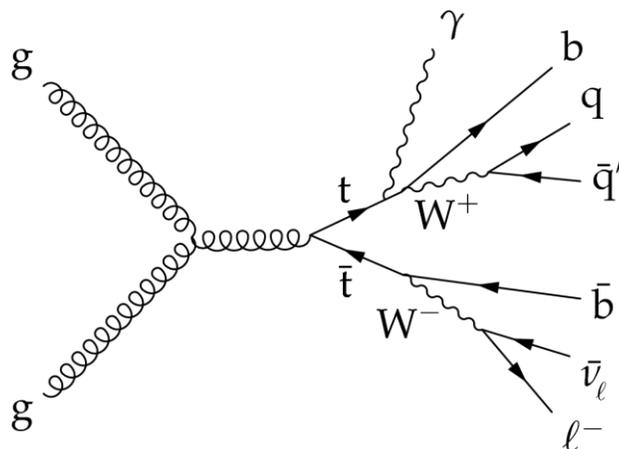
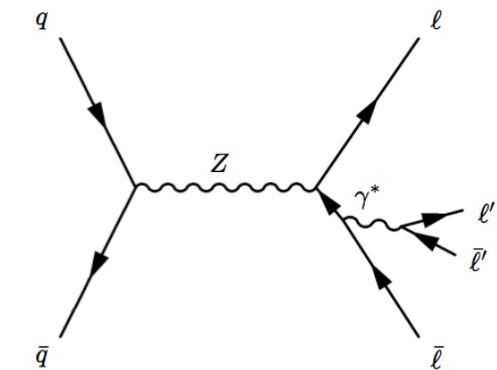
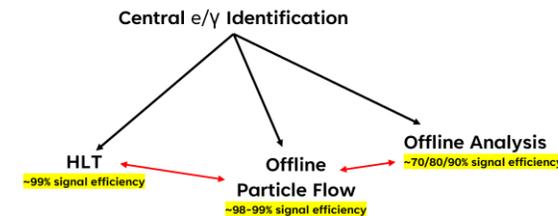


HLT Electrons



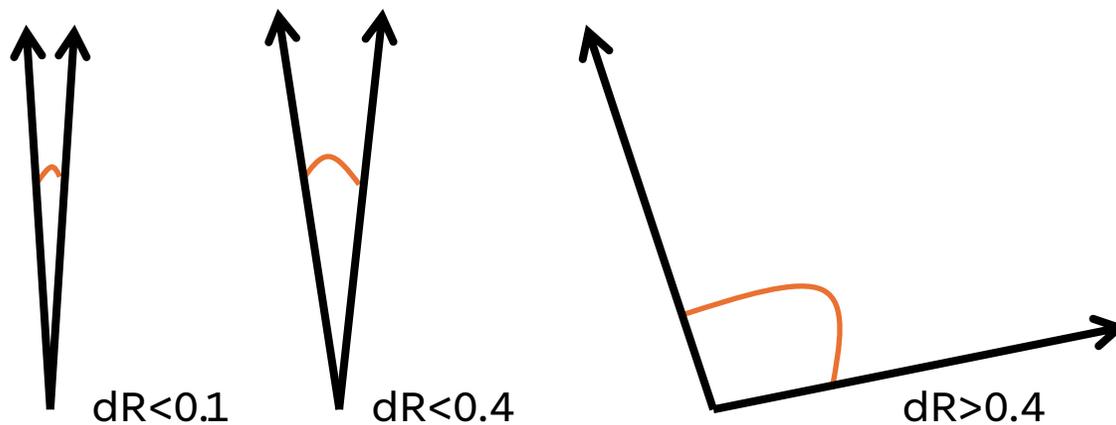
- Good object/ Signal
- Bad object/ Background

What is good and what is bad, can often be subjective!

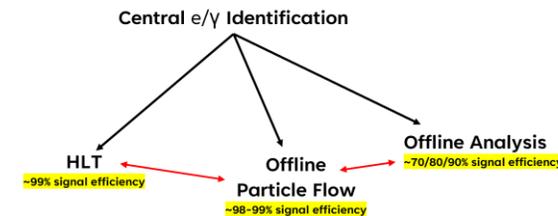


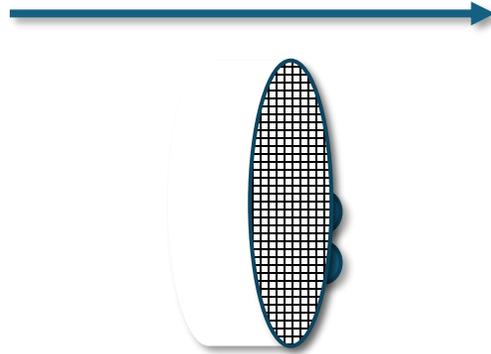
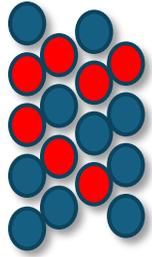
- Good object/ Signal
- Bad object/ Background

What is good and what is bad, can often be subjective!

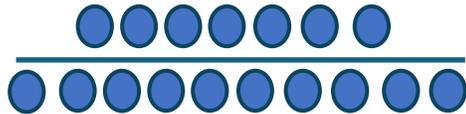


One identification criteria won't work for everything!

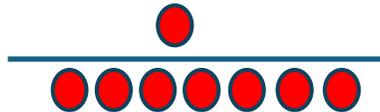




Signal efficiency



Background efficiency



All identification criteria have two important metrics:

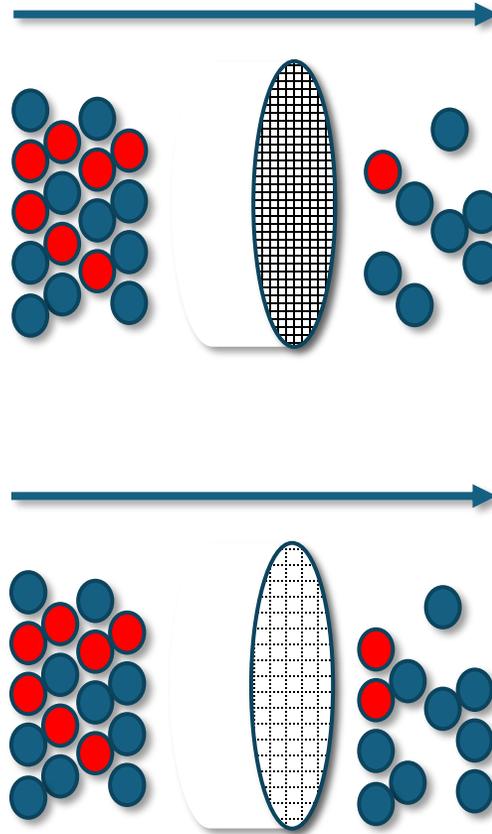
False positive / Background efficiency

True positive / Signal efficiency

High signal efficiency and low background efficiency are the most important requirements

Along with a few other necessary conditions!

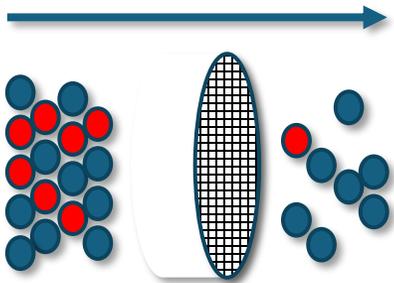
## The basics: Working Points



The same kind of criteria can have a looser/tighter version

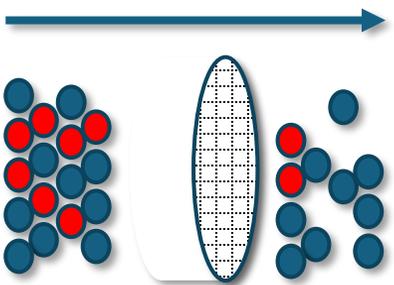
The goal is to design one or more criteria that most “good” objects pass and most “bad” objects fail

Purpose: Someone is happy with 70% signal but might want absolutely minimal background, whereas for another it is probably ok to have some background, but they want 90% signal



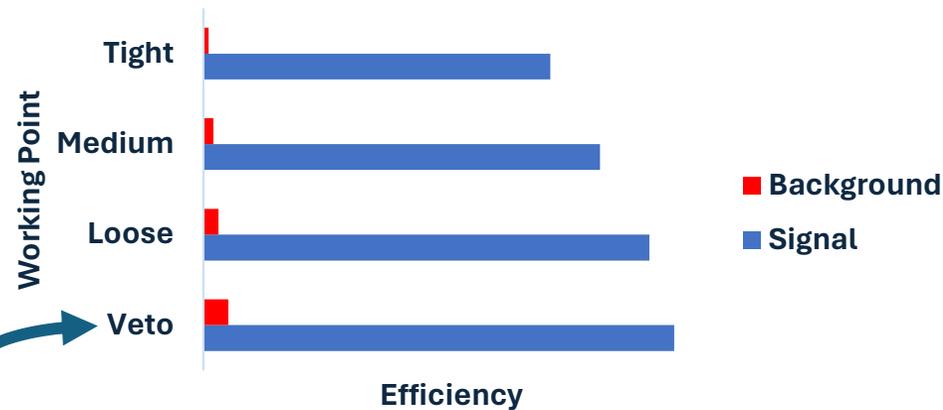
What is good and what is bad, can often be subjective!

Yet, for most purposes, good is always good, and bad is “mostly” bad.



With this principle in mind, E/Gamma POG designs the most “general” offline identification criteria

ID principle



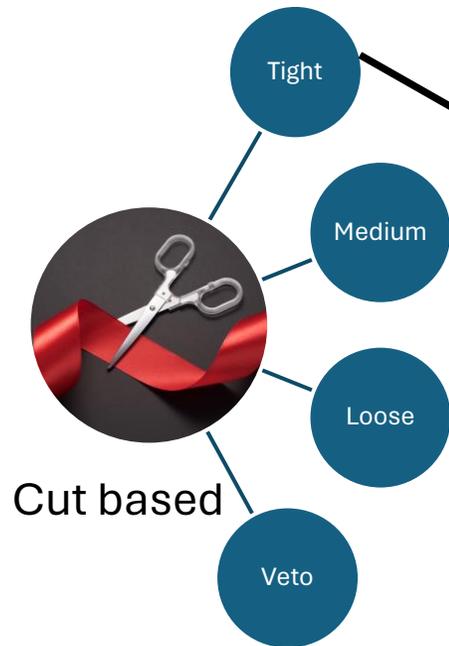
Selects almost every “good object”, but significant background also passes

## Offline Analysis Central E/Gamma Identification

### Electron case as an example:

What should an electron look like? Here are some key indicators to consider:

- Isolated cluster: A prompt electron will result in an isolated cluster
- Electromagnetic cluster: characterized by low H/E
- EM shower typically appears as a concentrated cluster
- $E_{SC} / pT$ : a track and cluster produced by the same particle, indicating a high likelihood of being an electron
- Matching cluster-track: comparing the angle of the cluster to the track can help distinguish against Bremsstrahlung radiation.



## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{in}^{seed} $	$<0.0025$	$<0.005$
$ \Delta\phi_{in} $	$<0.022$ rad	$<0.024$ rad
$H/E$	$<0.026 + 1.15 \text{ GeV} / E_{SC}$ $+0.032\rho / E_{SC}$	$<0.019 + 2.06 \text{ GeV} / E_{SC}$ $+0.183\rho / E_{SC}$
$I_{combined} / E_T$	$<0.029 + 0.51 \text{ GeV} / E_T$	$<0.0445 + 0.963 \text{ GeV} / E_T$
$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{\text{in}}^{\text{seed}} $	$<0.0025$	$<0.005$
$ \Delta\phi_{\text{in}} $	$<0.022$ rad	$<0.024$ rad
$H/E$	$<0.026 + 1.15 \text{ GeV} / E_{\text{SC}}$	$<0.019 + 2.06 \text{ GeV} / E_{\text{SC}}$
	$+0.032\rho / E_{\text{SC}}$	$+0.183\rho / E_{\text{SC}}$
$I_{\text{combined}} / E_{\text{T}}$	$<0.029 + 0.51 \text{ GeV} / E_{\text{T}}$	$<0.0445 + 0.963 \text{ GeV} / E_{\text{T}}$
$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

**Efficient against:**  
**All hadronic background**

## Offline Analysis

### Central E/Gamma Identification

#### Electron case as an example

Efficient against:  
Jets

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{\text{in}}^{\text{seed}} $	$<0.0025$	$<0.005$
$ \Delta\phi_{\text{in}} $	$<0.022 \text{ rad}$	$<0.024 \text{ rad}$
$H/E$	$<0.026 + 1.15 \text{ GeV}/E_{\text{SC}}$	$<0.019 + 2.06 \text{ GeV}/E_{\text{SC}}$
$I_{\text{combined}}/E_{\text{T}}$	$+0.032\rho/E_{\text{SC}}$	$+0.183\rho/E_{\text{SC}}$
$ 1/E - 1/p $	$<0.029 + 0.51 \text{ GeV}/E_{\text{T}}$	$<0.0445 + 0.963 \text{ GeV}/E_{\text{T}}$
	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

## Offline Analysis

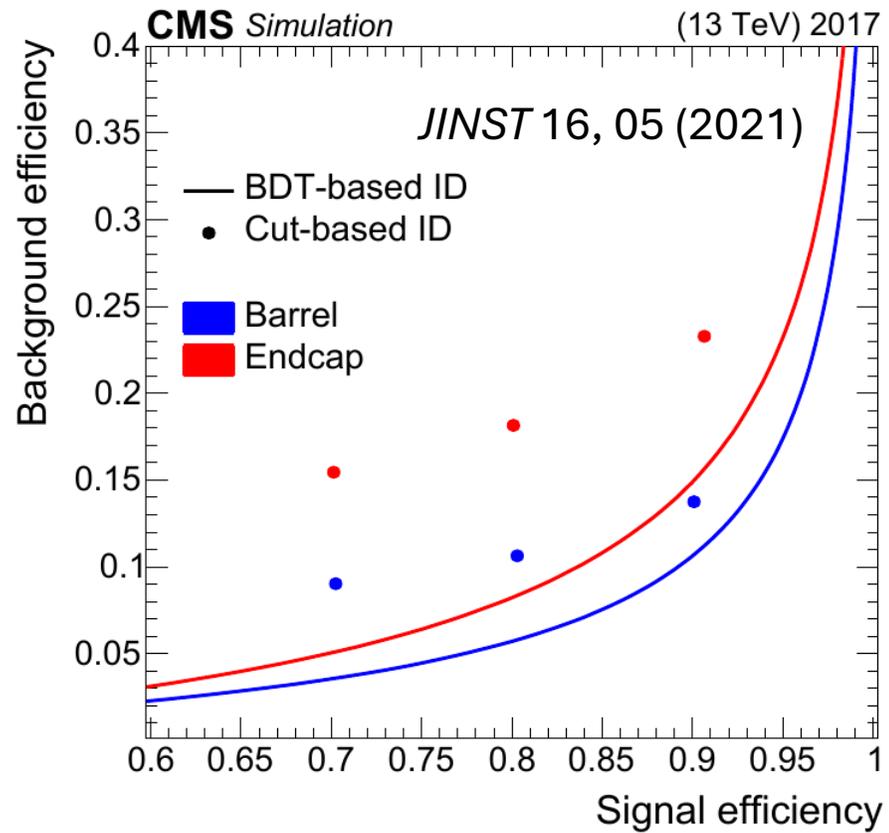
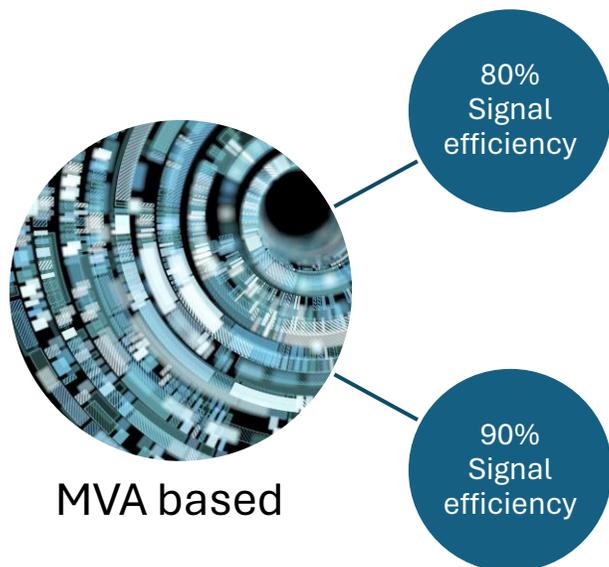
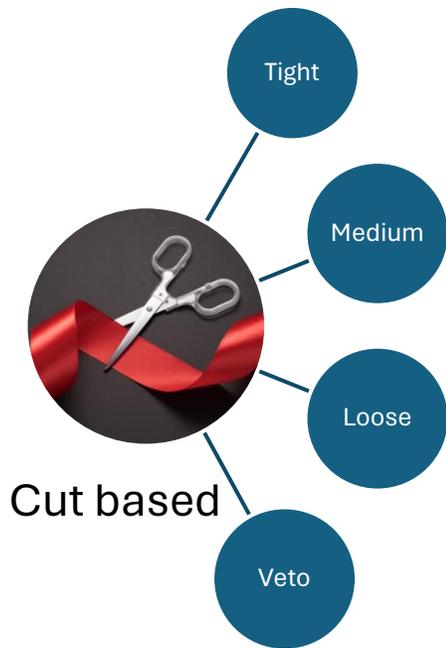
### Central E/Gamma Identification

#### Electron case as an example

Variable	Barrel (tight WP)	Endcaps (tight WP)
$\sigma_{i\eta i\eta}$	$<0.010$	$<0.035$
$ \Delta\eta_{\text{in}}^{\text{seed}} $	$<0.0025$	$<0.005$
$ \Delta\phi_{\text{in}} $	$<0.022$ rad	$<0.024$ rad
$H/E$	$<0.026 + 1.15 \text{ GeV} / E_{\text{SC}}$	$<0.019 + 2.06 \text{ GeV} / E_{\text{SC}}$
	$+0.032\rho / E_{\text{SC}}$	$+0.183\rho / E_{\text{SC}}$
$I_{\text{combined}} / E_{\text{T}}$	$<0.029 + 0.51 \text{ GeV} / E_{\text{T}}$	$<0.0445 + 0.963 \text{ GeV} / E_{\text{T}}$
$ 1/E - 1/p $	$<0.16 \text{ GeV}^{-1}$	$<0.0197 \text{ GeV}^{-1}$
Number of missing hits	$\leq 1$	$\leq 1$
Pass conversion veto	Yes	Yes

**Efficient against:**  
Pions, photons

### Offline Analysis Central E/Gamma Identification



## Offline Analysis Central E/Gamma Identification

### What is a good electron/photon?

For an experimentalist, the answer depends on whether one is

- Searching for a new physics process
- Isolating electrons from jets
- Correcting jet energy based on its leptonic content
- Identifying electrons in hadronically decaying tau leptons, and so on...

It is thus important to “isolate” the “isolation variables”

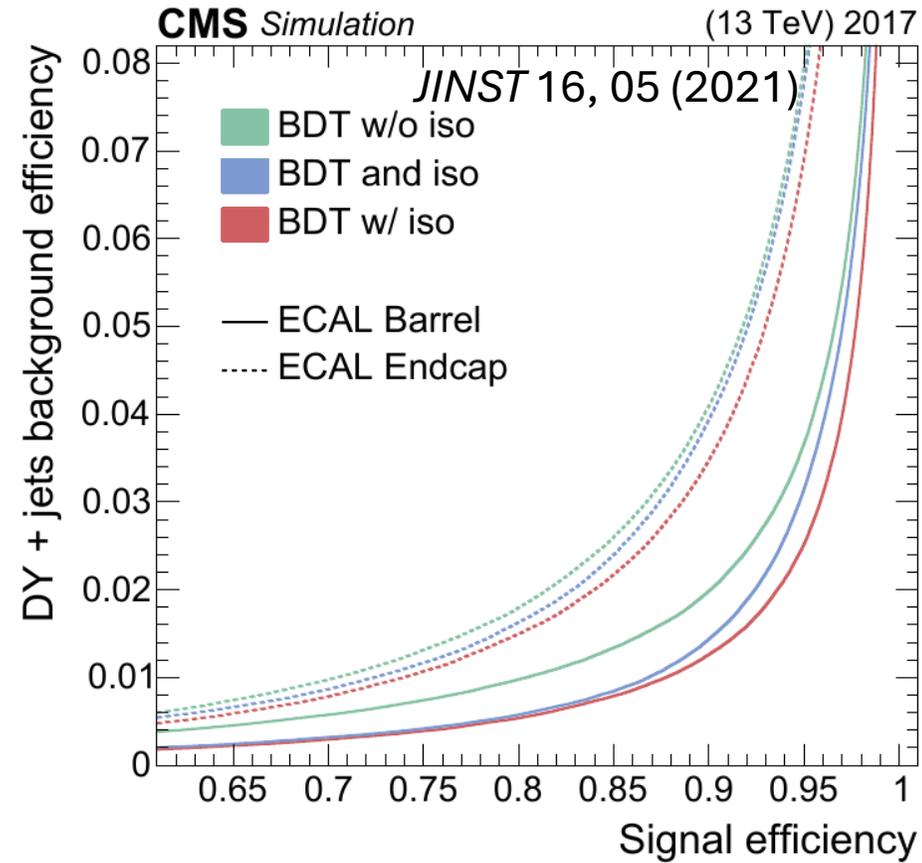
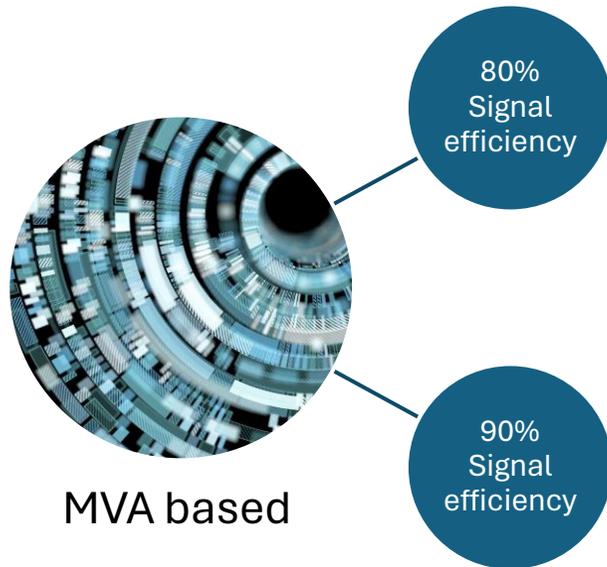
-> **Stuff that depends on other objects around the main object of interest**

## Offline Analysis

~70/80/90% signal efficiency



### Offline Analysis Central E/Gamma Identification



## Offline Analysis

### Central E/Gamma Identification

Cut based	Veto	Loose	Medium	Tight
Electron	✓	✓	✓	✓
Photon		✓	✓	✓
MVA ID	90wp	80wp		
Electron (Isolated)	✓	✓		
Electron (Non-Isolated)	✓	✓		
Photon	✓	✓		
HEEP ID	✓	✓		

## Particle Flow Central E/Gamma Identification

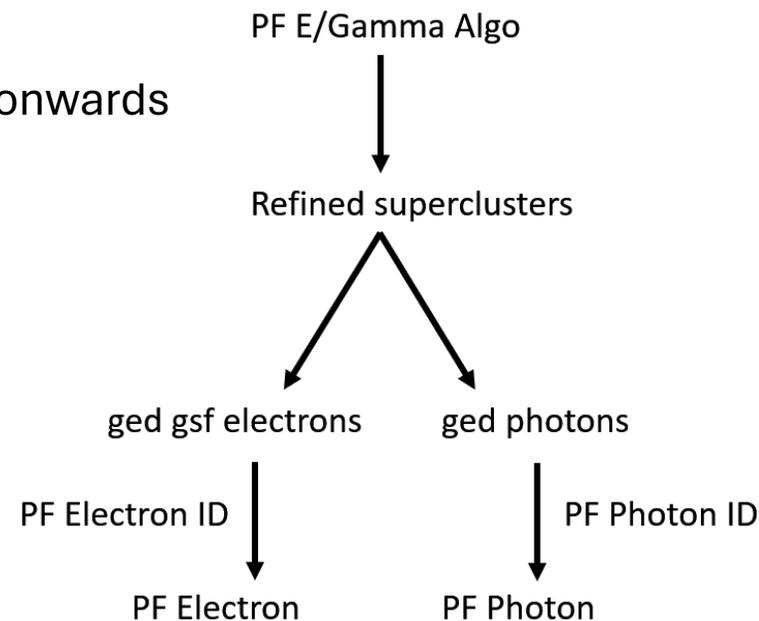
Correctly identifying **PF electrons** and **photons** is critical to PF reconstruction

- Indirect impact on PF quantities like isolation and on tau reconstruction

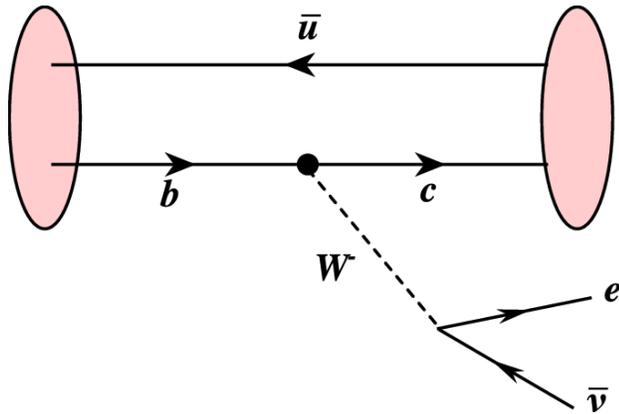
$\pi^0 \rightarrow$  **Photons**  $\rightarrow e+e- e+e-$

$\pi^\pm$  can produce cascades of low pT electrons and photons

We already know 5% of 1prong+ $\pi^0$  tau leptons were lost Run1 onwards



## Particle Flow Central E/Gamma Identification



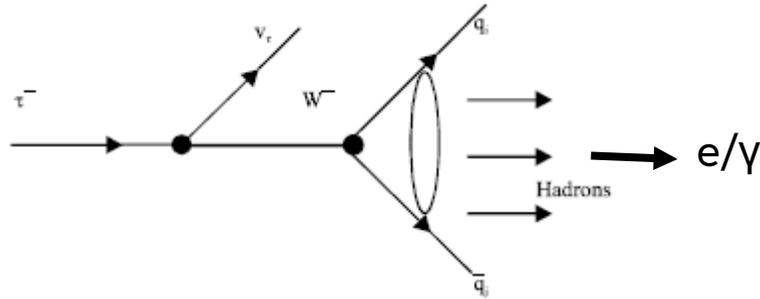
- Good object/ Signal
- Bad object/ Background

?

Most offline analyses will not consider this electron as a signal!

At the level of particle flow, this needs to identify as a proper electron.

## Particle Flow Central E/Gamma Identification



- Good object/ Signal
- Bad object/ Background

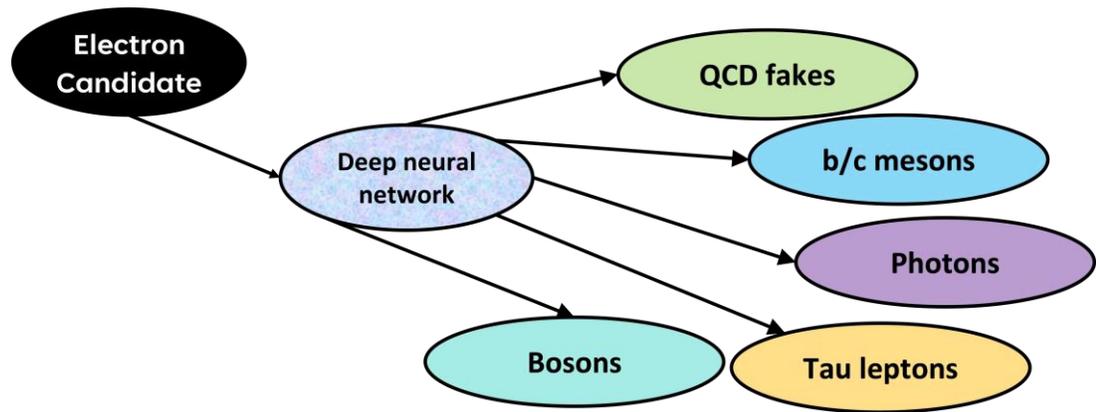
?

If you reconstruct these as PF electrons or PF photons, the hadronic tau reconstruction may not have these “candidates” available for PF Tau reconstruction

How does one reject such leptons but still identify the one on the previous slide?

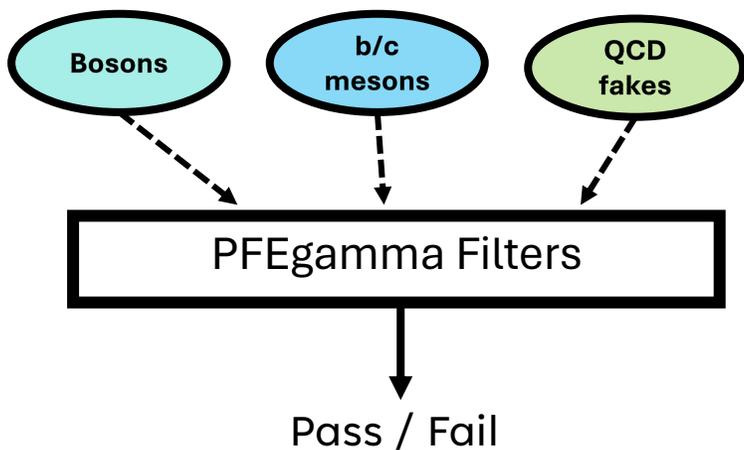
Particle Flow  
Central E/Gamma Identification

New ID in Run3



A DNN-based multi-class identification is done for electrons.

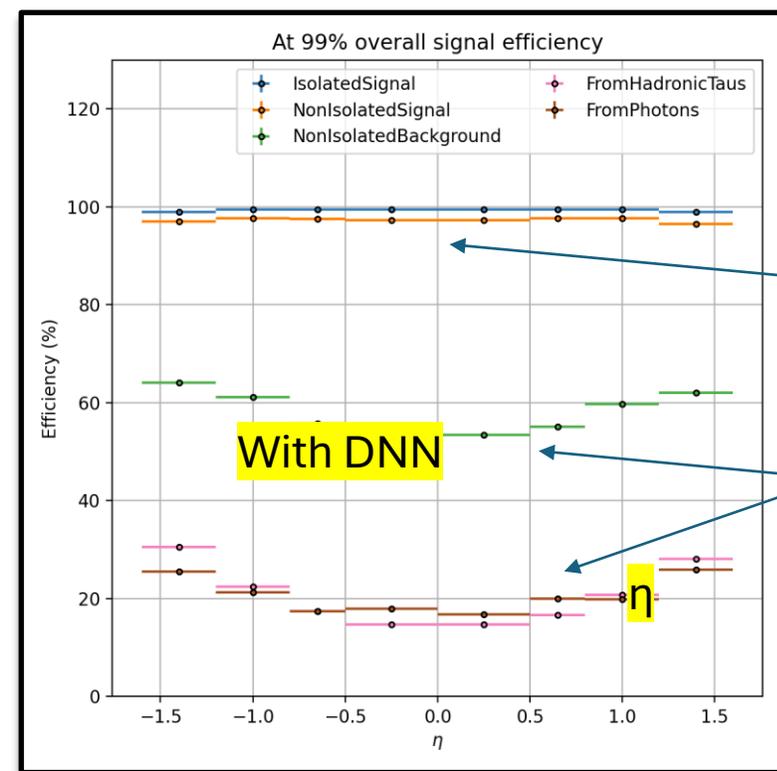
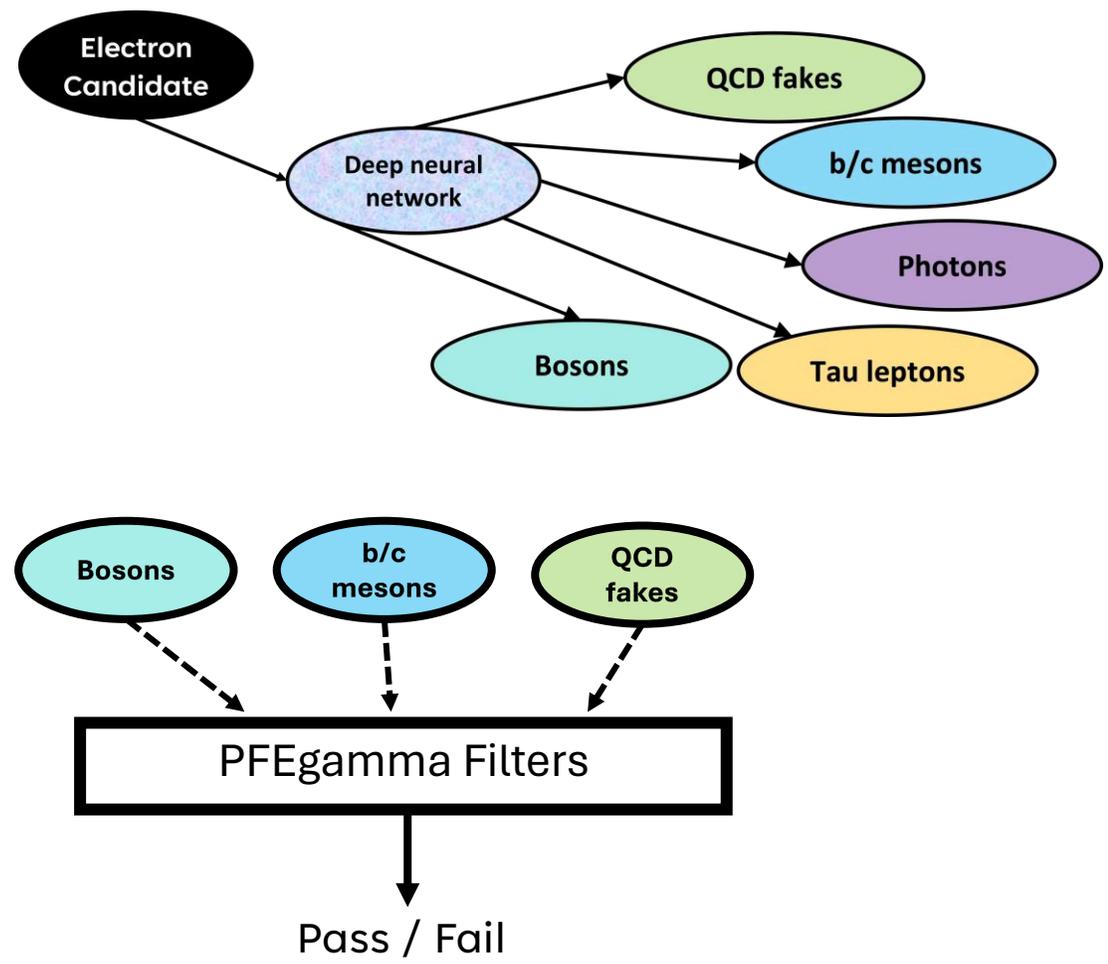
Fixed “working point”



A neural network is used to assign a probability that an electron candidate came from a certain source

A discriminator is then constructed using 3 of the nodes

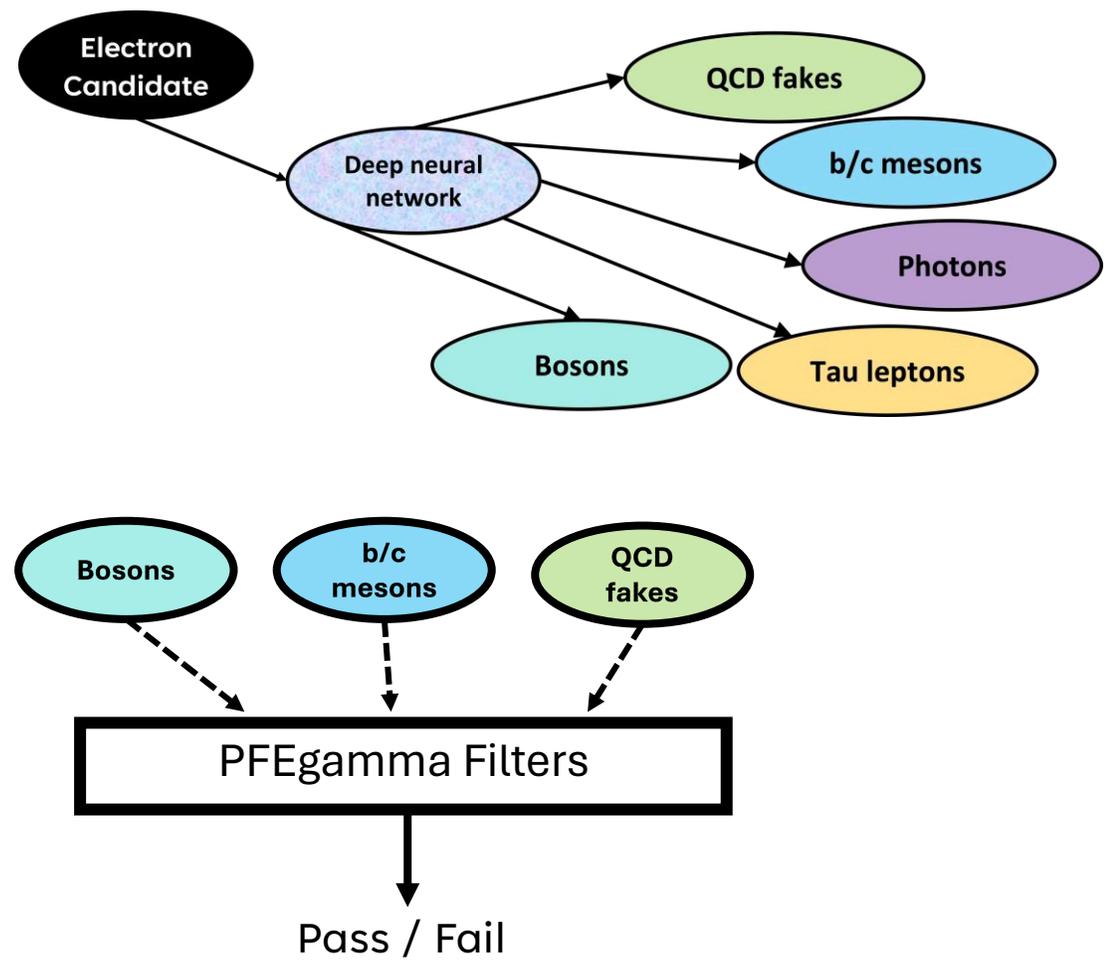
Particle Flow  
Central E/Gamma Identification



Signals

Backgrounds

Particle Flow  
Central E/Gamma Identification



Old ID  
DNN off

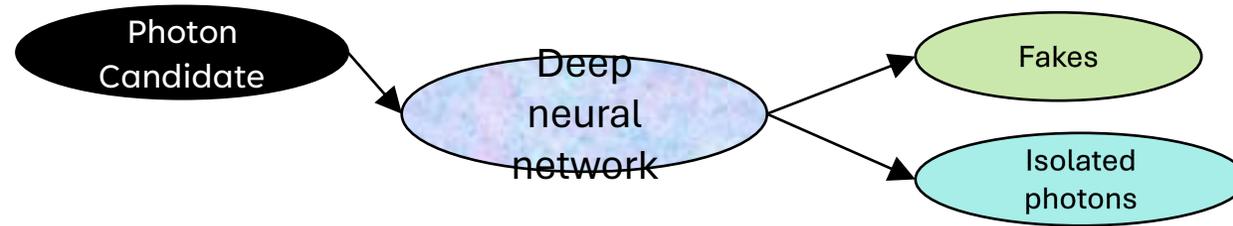
Offline DM	$\pi\pi\pi\pi^0s$	$\pi\pi\pi$	$\pi\pi^0s$	$\pi$	Other	None
	0.12	0.01	0.02	0.07	0.32	
	0.08	0.01	0.02	0.41	0.20	
	0.51	0.16	0.56	0.07	0.07	
	0.10	0.76	0.25	0.02	0.00	
	0.17	0.02	0.09	0.43	0.41	
	0.03	0.05	0.06	0.00	0.00	
	Other	$\pi$	$\pi\pi^0s$	$\pi\pi\pi$	$\pi\pi\pi\pi^0s$	
	Gen DM					

New DNN ID for Run3  
DNN on

Offline DM	$\pi\pi\pi\pi^0s$	$\pi\pi\pi$	$\pi\pi^0s$	$\pi$	Other	None
	0.12	0.01	0.02	0.07	0.32	
	0.08	0.00	0.02	0.41	0.19	
	0.54	0.17	0.67	0.07	0.07	
	0.07	0.76	0.15	0.02	0.00	
	0.17	0.02	0.09	0.43	0.41	
	0.02	0.04	0.05	0.00	0.00	
	Other	$\pi$	$\pi\pi^0s$	$\pi\pi\pi$	$\pi\pi\pi\pi^0s$	
	Gen DM					

Migration of  $\pi^\pm \pi^0$  is to  $\pi^\pm$  now reduced by ~11%

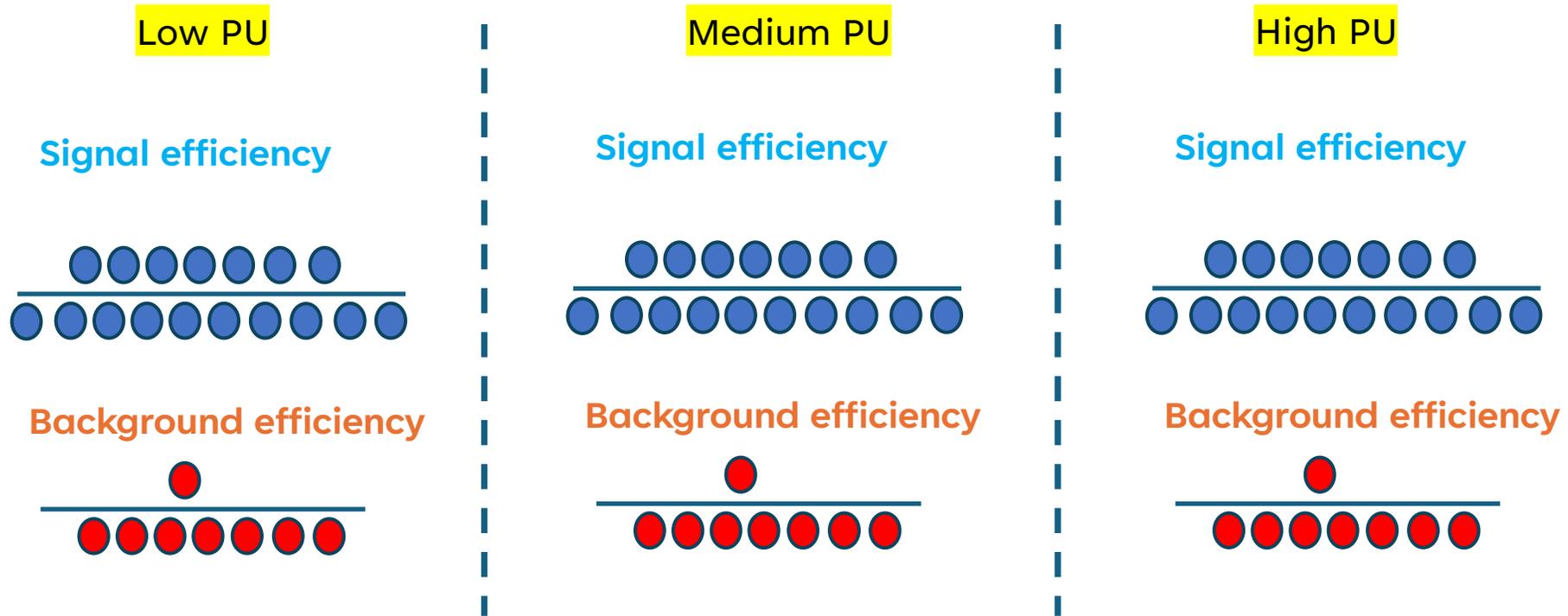
Particle Flow  
Central E/Gamma Identification



Currently, a simple binary DNN is in place.  
(Scope for improvement)

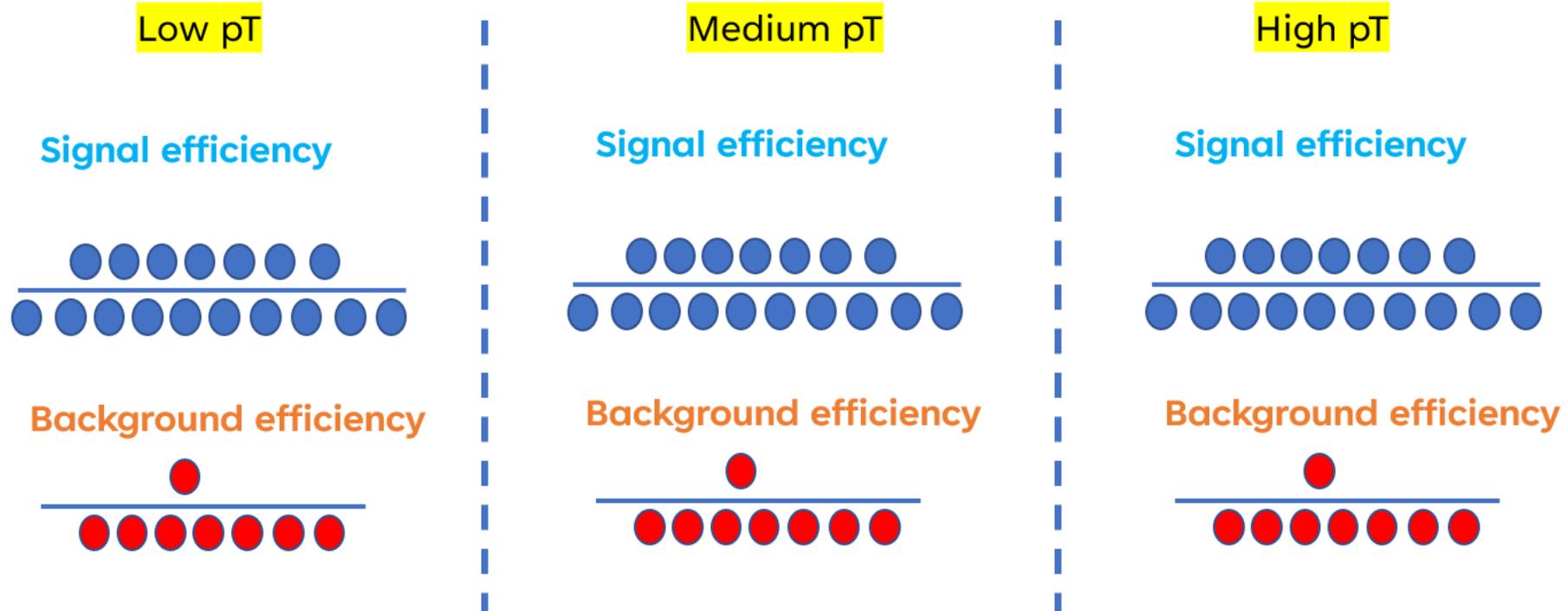
## Robustness of identification criteria

An overall efficiency is as important as “stability” of efficiency



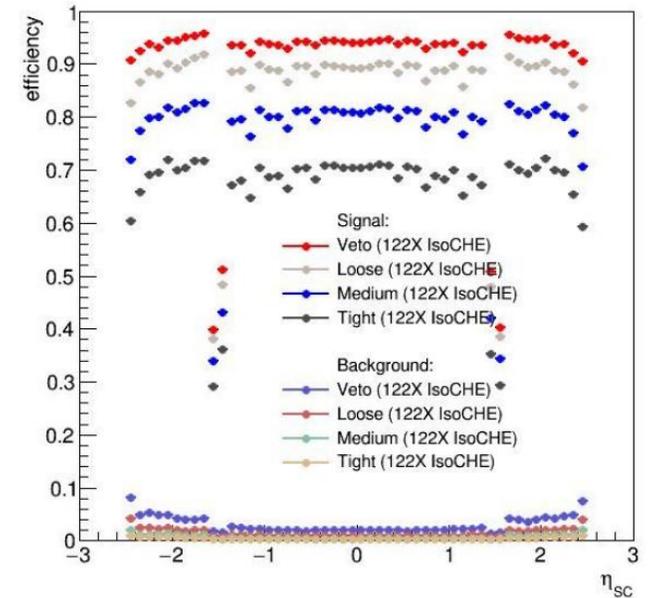
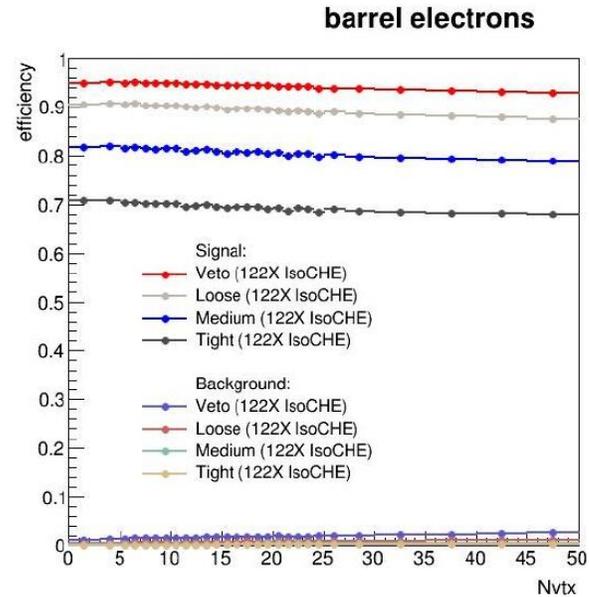
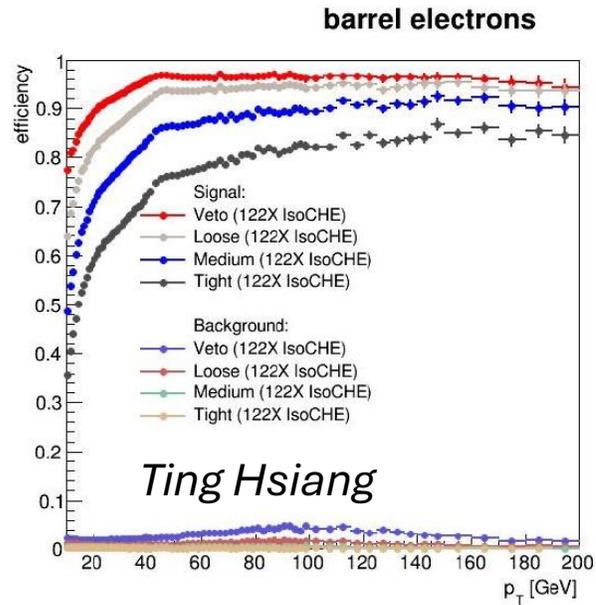
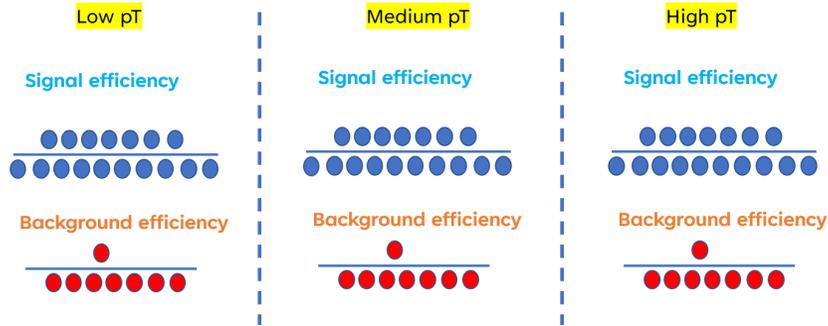
## Robustness of identification criteria

An overall efficiency is as important as “stability” of efficiency



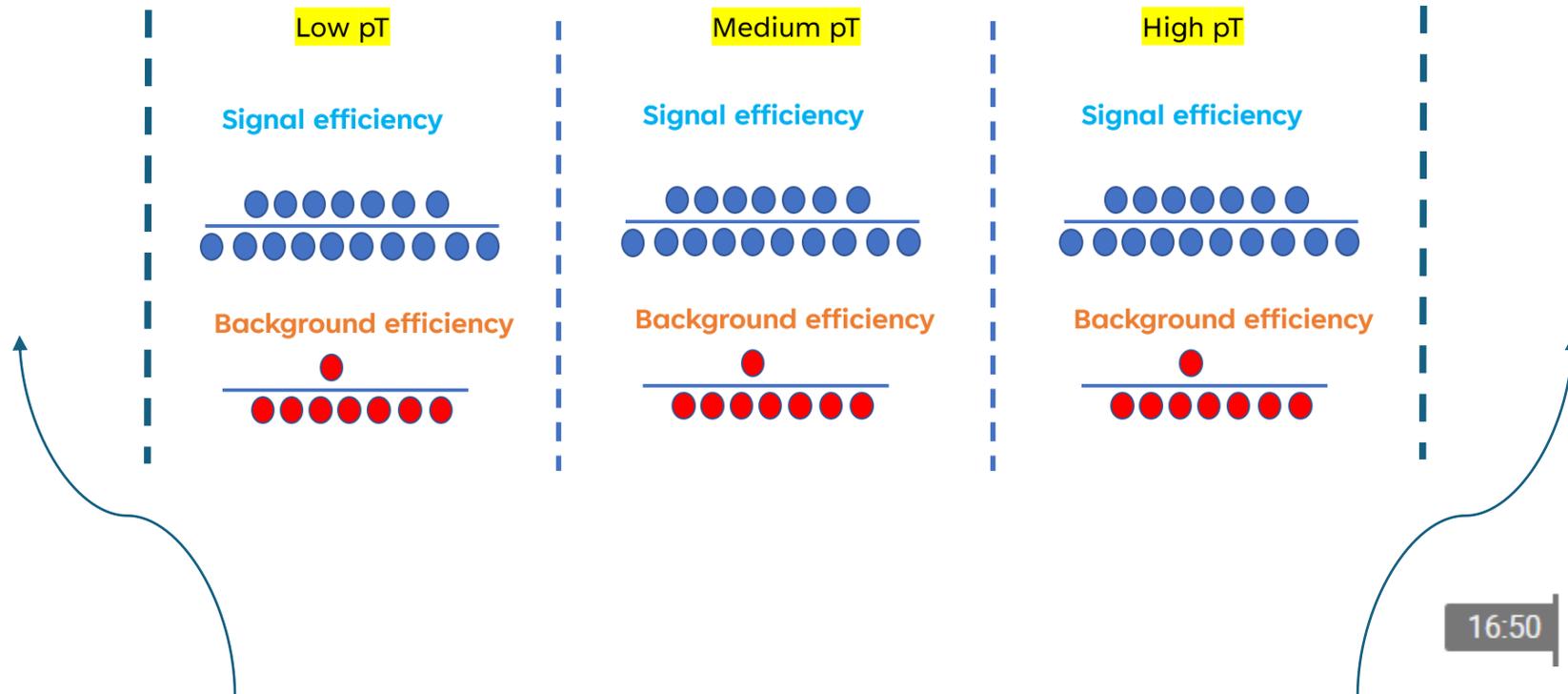
# Robustness of identification criteria

An overall efficiency is as important as “stability” of efficiency



## Robustness of identification criteria

An overall efficiency is as important as “stability” of efficiency

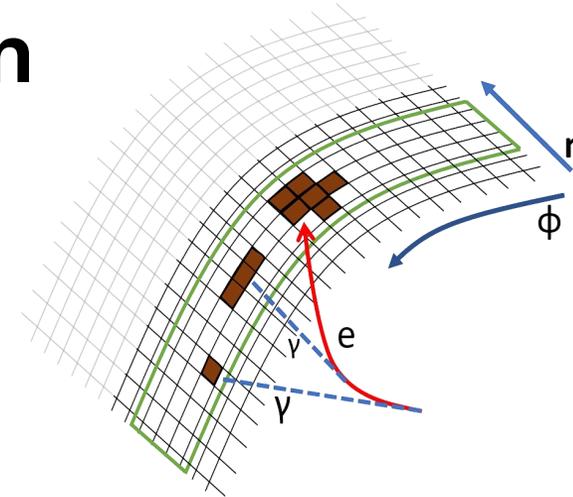


In extreme scenarios, standard identification criteria become limited in performance and stability

# Conclusion

To identify electrons and photons we rely on:

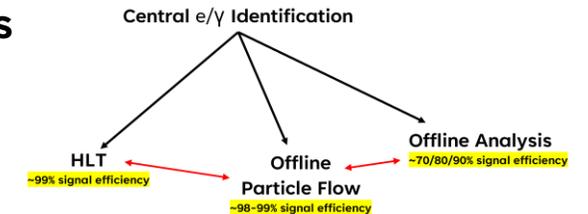
- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects



In E/Gamma, identification occurs at three levels: **HLT**, **Particle Flow**, and **Analysis**

The particle flow IDs are “fixed working point IDs”

E/Gamma POG provides several working points and IDs for offline analyses



**Additional note****When Central E/Gamma Identification is not sufficient****Note on custom IDs**

“Please” consult PAG EGM contacts or directly E/Gamma POG, when “tinkering” with central IDs  
Feel free to consult E/Gamma POG, when designing custom IDs (earlier is better!)

When “adding” or “removing” variables from cut-based IDs, please consult us before applying scale factors  
In most cases, you will need approval from the contact or EGM POG anyway.

The central IDs are not to be applied blindly! Please check signal and background efficiencies when in doubt.

“Do not” blindly apply central ID scale factors if background efficiency is “high”

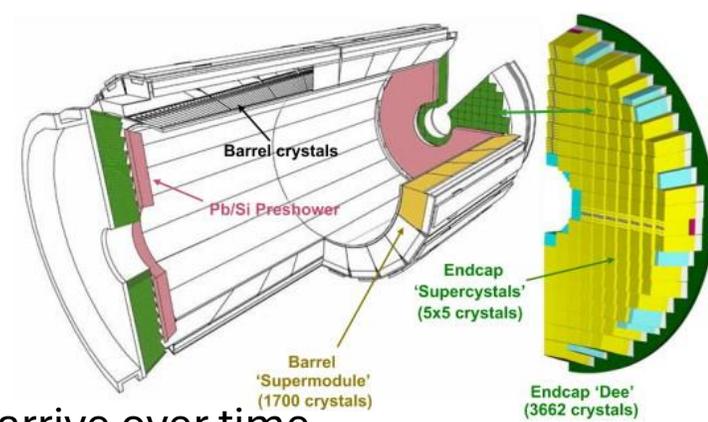
**Contact us:**

- [cms-phys-conveners-EGM@cern.ch](mailto:cms-phys-conveners-EGM@cern.ch) ([Send Email](#))
- [cms-egamma-l3-conveners@cern.ch](mailto:cms-egamma-l3-conveners@cern.ch) ([Send Email](#))
- [cmstalk+egm@dovecotmta.cern.ch](mailto:cmstalk+egm@dovecotmta.cern.ch) ([Send Email](#))

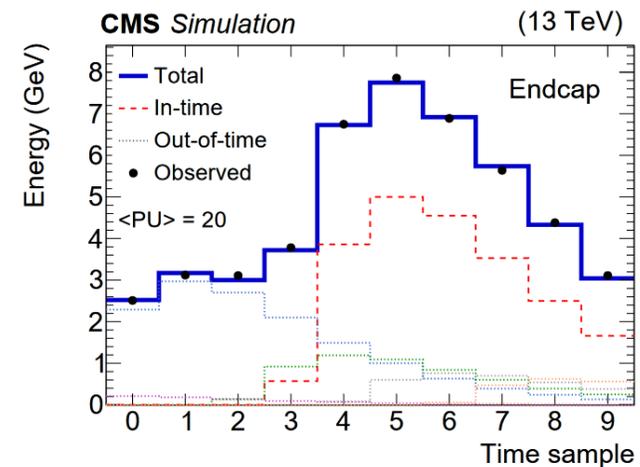
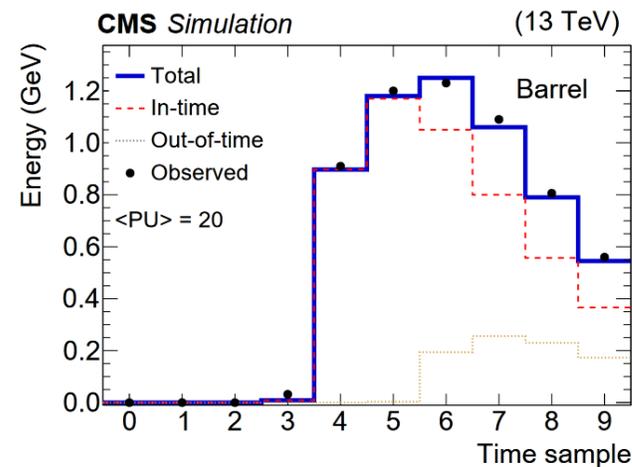
Hope that we can now have a **charged** and **enlightening** discussion!

# Backup

# Ecal Energy reconstruction

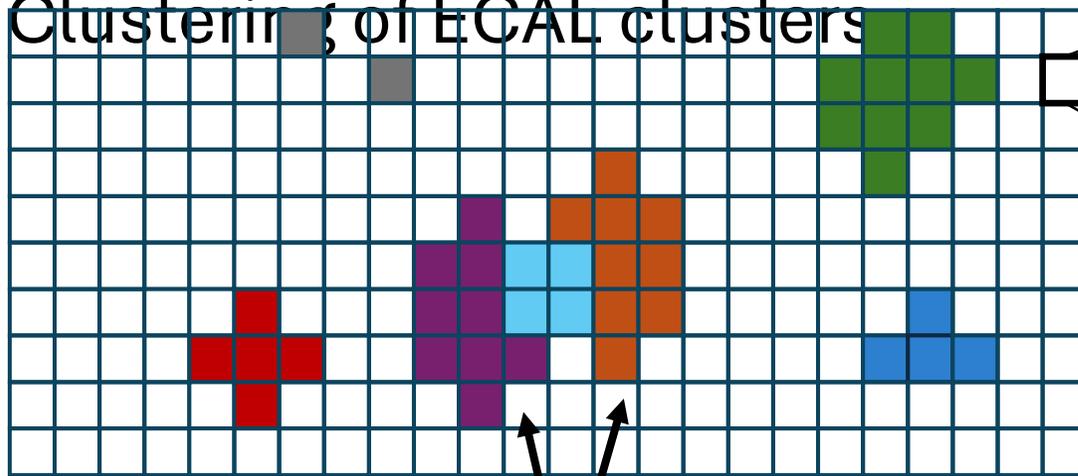


- Energy in the ECAL is observed as a pulse as the photons from the shower arrive over time
- This pulse shape is converted to energy ( $E \propto A$ , signal channel amplitude) In case of out-of-time pile-up events, multiple pulses from different bunch crossings are generated
- They lead to an apparently increased amplitude measurement
- The amplitudes of different pulses are resolved by fitting the multiple pulse shapes simultaneously



# Ecal Energy reconstruction

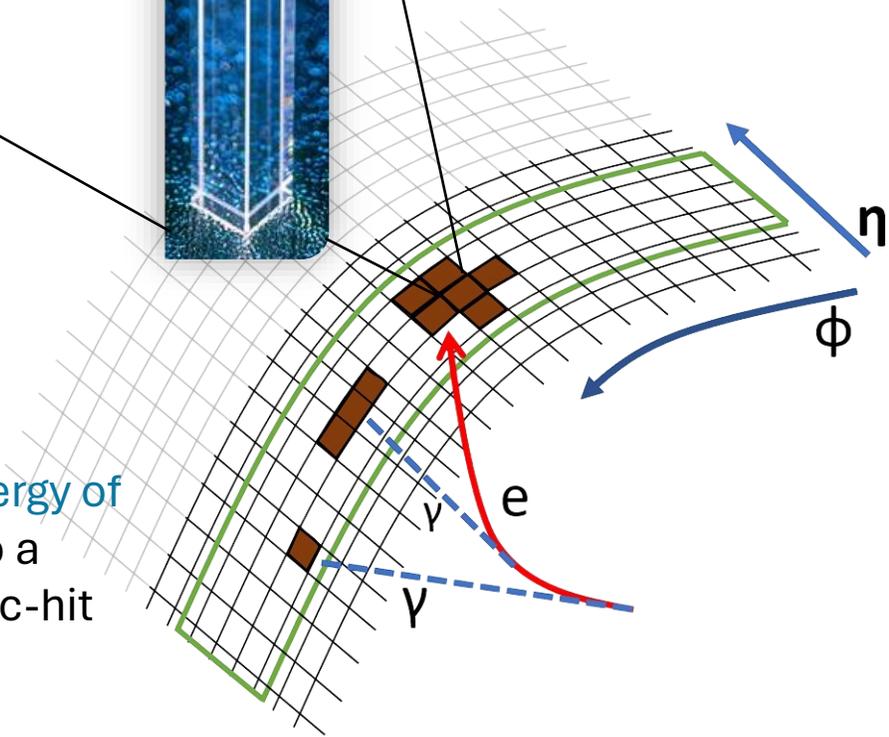
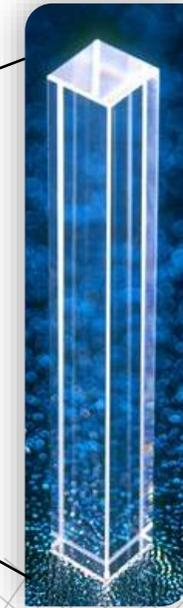
- Clustering of ECAL clusters



Clusters corresponding to electrons / photons

**found 5 Clusters**

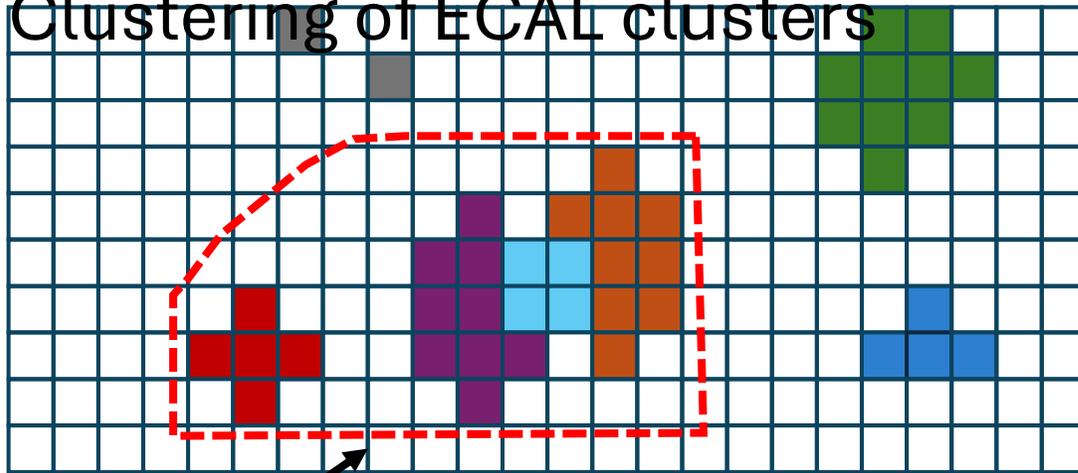
these two clusters overlap, clustering algo **shares energy of yellow rec-hits** between the two clusters according to a Gaussian energy profile, each gets a fraction of the rec-hit energy



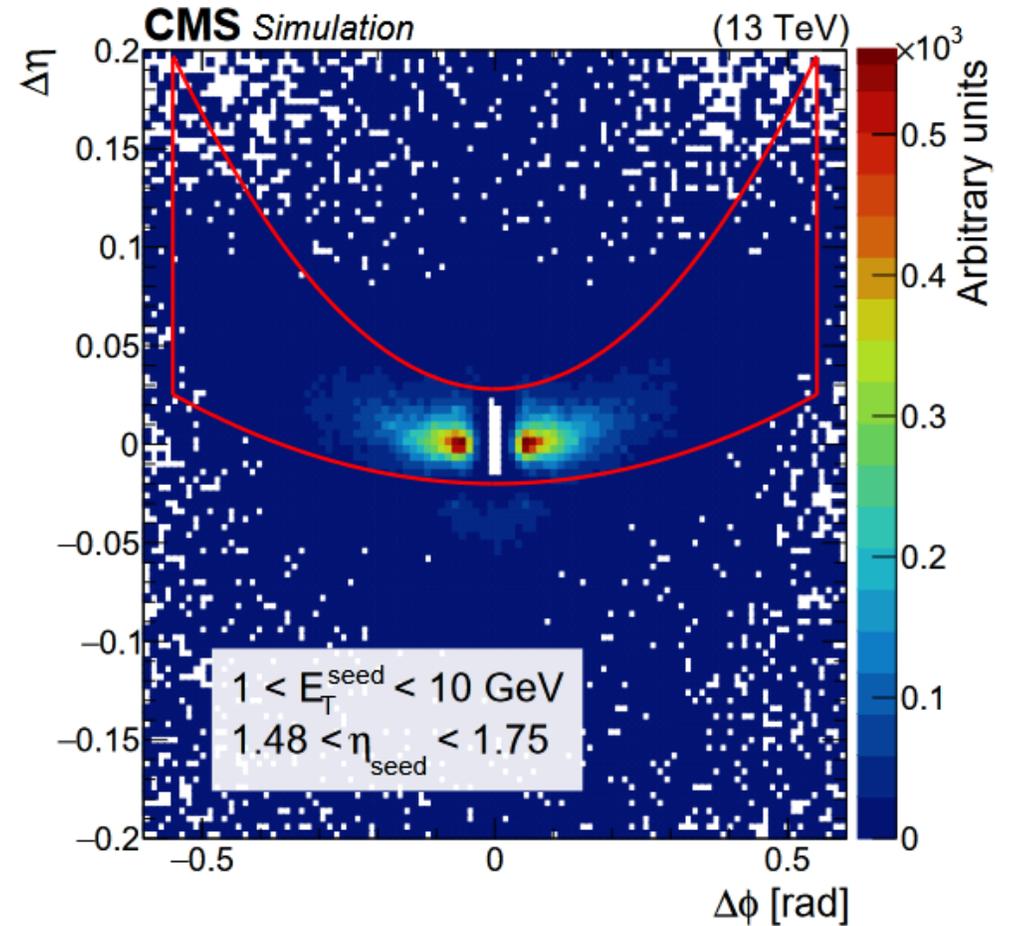
# Ecal Energy reconstruction

JINST 16 P05014

- Clustering of ECAL clusters

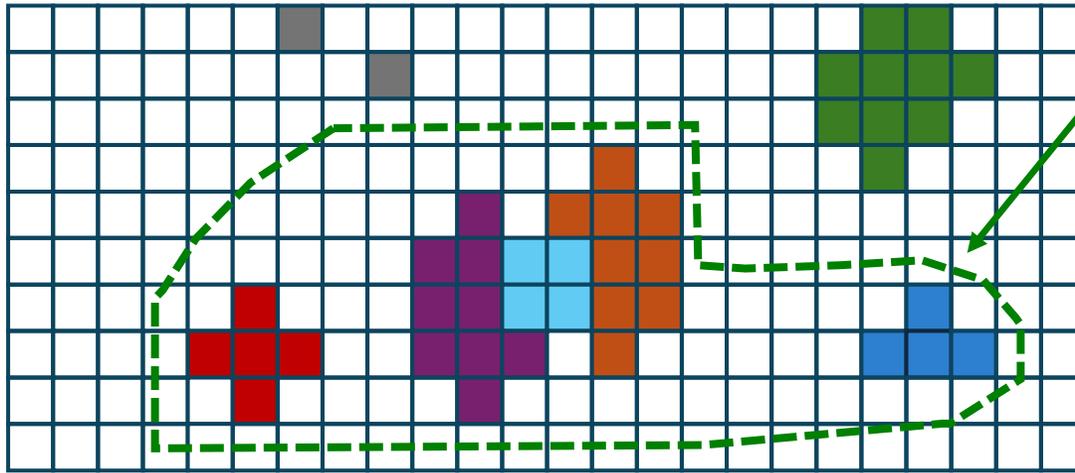


Moustache supercluster  
A cluster of clusters



# Ecal Energy reconstruction

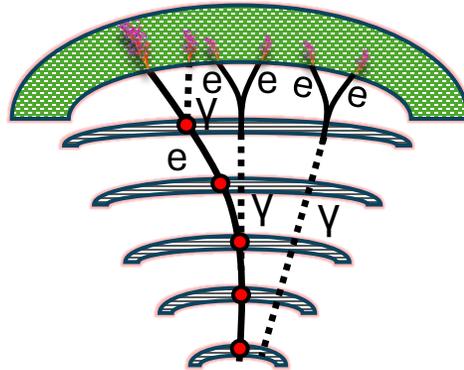
Refined Supercluster



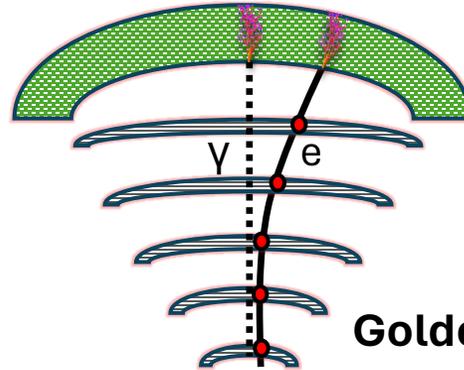
Refined superclusters use the information from the tracker, to be able to link bremsstrahlung emissions to missed ECAL deposits

Information from clustering and tracking is used in tandem to achieve best resolution

With bremsstrahlung and conversions

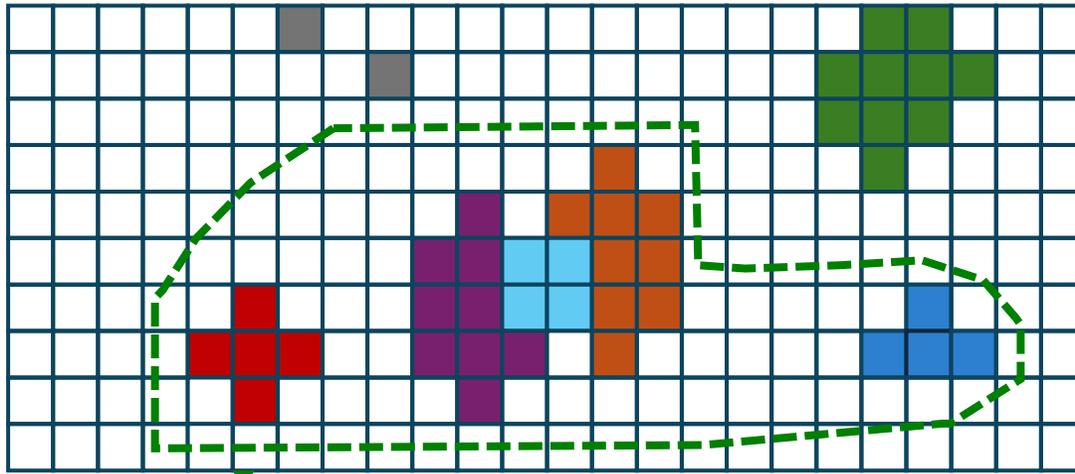
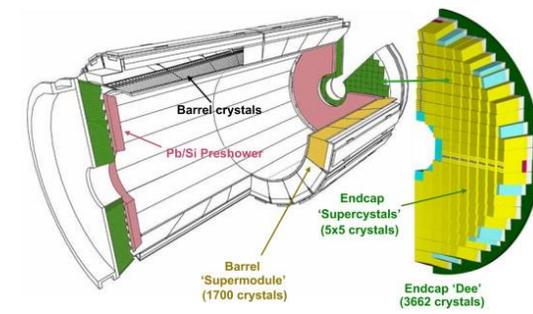


Tracker ECAL



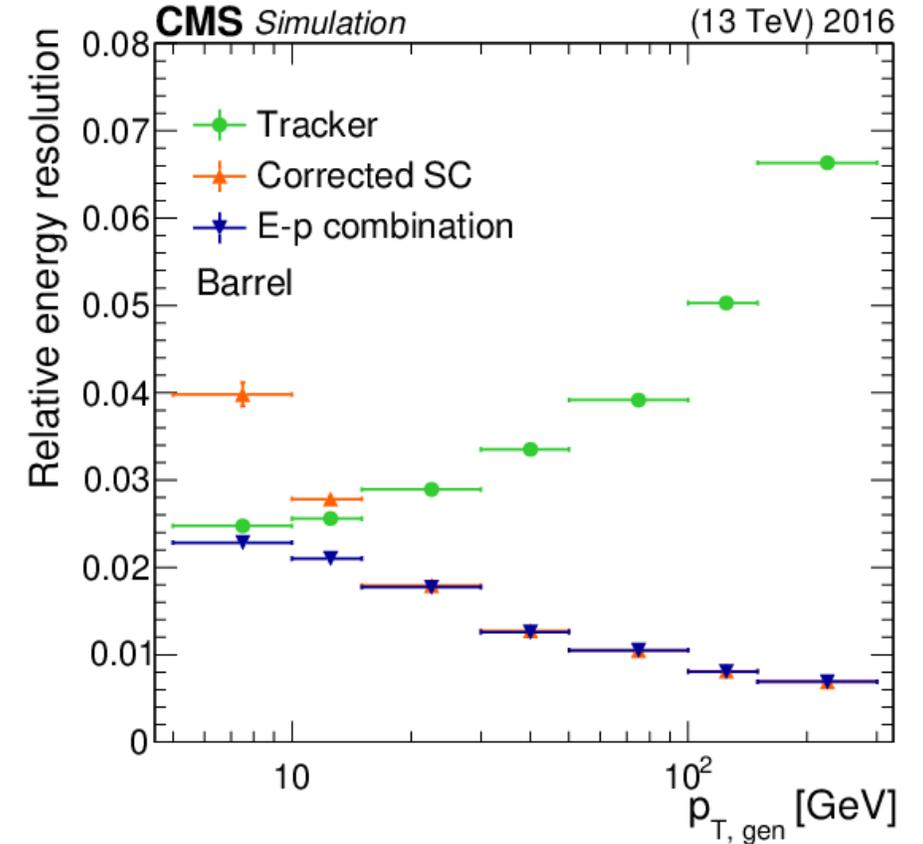
Golden cases

# Ecal Energy reconstruction



Refined superclusters use the information from the tracker, to be able to link bremsstrahlung emissions to missed ECAL deposits

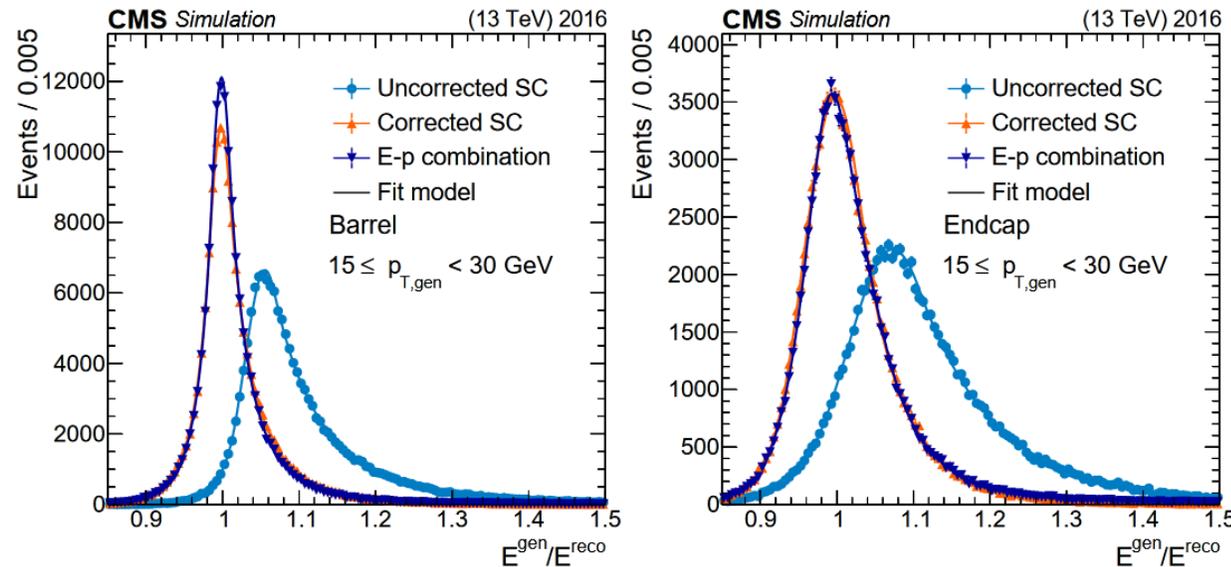
There is also dedicated photon conversion recovery algorithm



# Ecal Energy Correction

Several losses occur before electrons and photons deposit energy in the ECAL

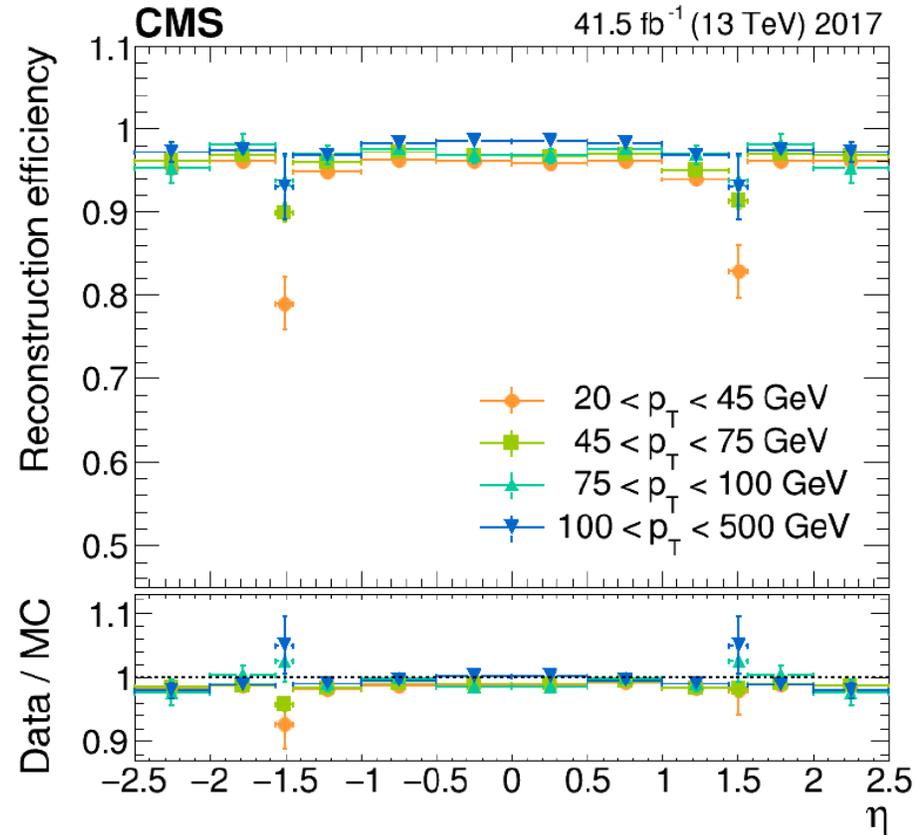
- We calibrate the reconstructed energy back to expected original energy using correction procedures
- Employ machine learning in tandem with algorithmic approaches
- Tracker information used for E-p combination
- Any residual energy corrections that maybe needed are derived using  $Z \rightarrow ee$  events in data and MC



[JINST 16 P05014](#)

# Reconstruction performance

Electron reconstruction efficiency is higher than 95% for  $E_T > 20$  GeV and is compatible between data and simulation within 2%



# Identification: The good and the bad

Several variables have been developed to separate electrons/photons from background (jets, photon conversions, particles from secondary vertices)

They exploit that electrons/photons are single objects which are almost fully contained in the ECAL

Many different types:

• Shower-shape variables

Are the energy deposits in the calorimeters compatible with coming from a single electron/photon?

• Track matching variables

Does the ECAL deposit have a compatible track?

• Conversion ID variables

Are the tracks compatible with coming from the collision point? Or do they appear later on in the tracker?

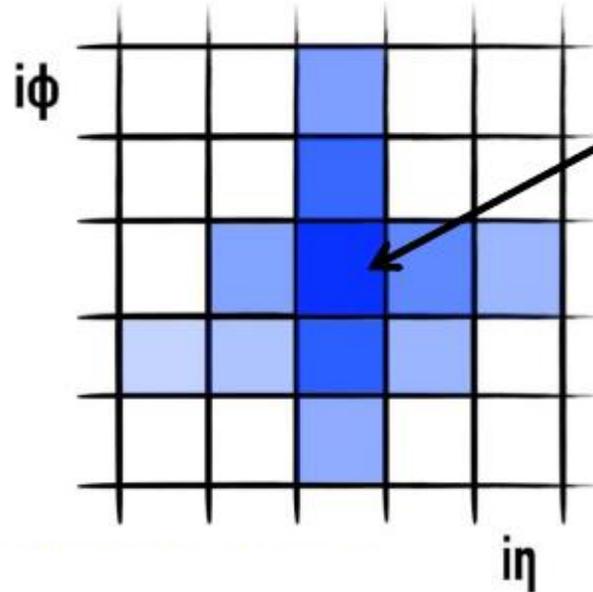
• Isolation variables

Is there a large amount of other particles nearby the electron/photon?

# Identification: The good and the bad

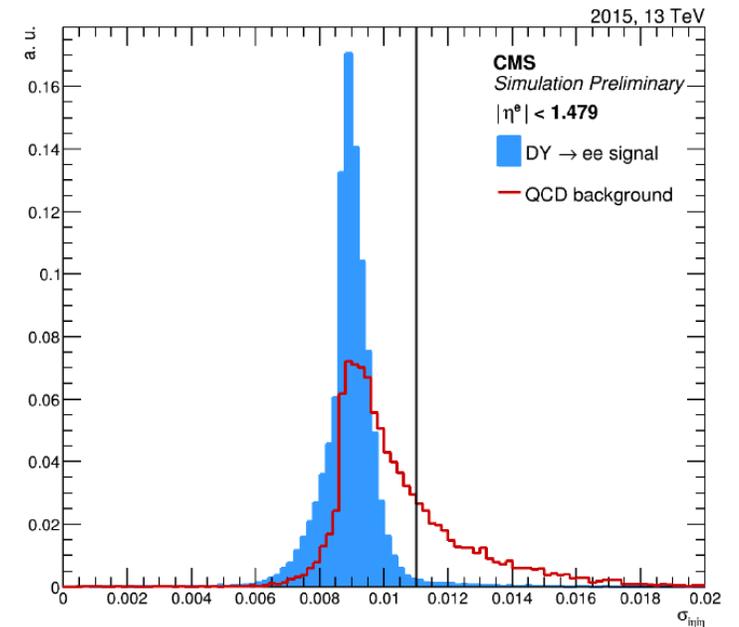
Many different types:

- Shower-shape variables
- Track matching variables
- Conversion ID variables
- Isolation variables



Most energetic crystal of 5x5 array

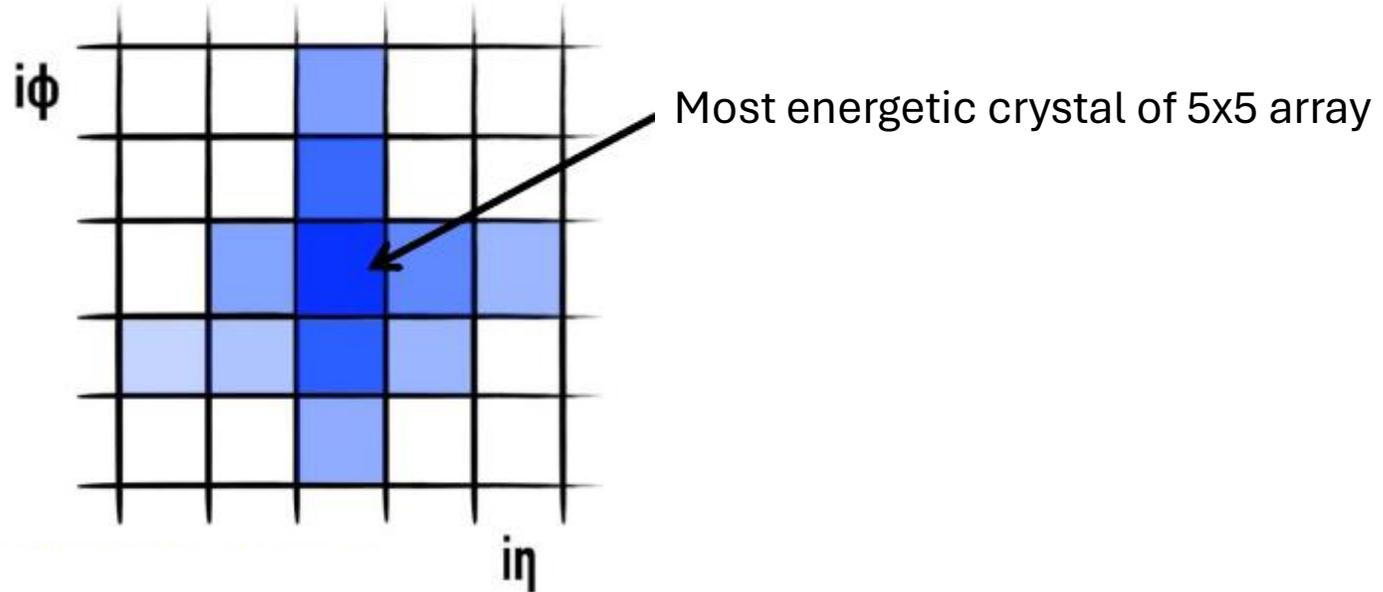
$$\sigma_{i\eta i\eta} = \sqrt{\left( \frac{\sum_i^{5 \times 5} w_i (\eta_i - \bar{\eta}_{5 \times 5})^2}{\sum_i^{5 \times 5} w_i} \right)}$$



# Identification: The good and the bad

Many different types:

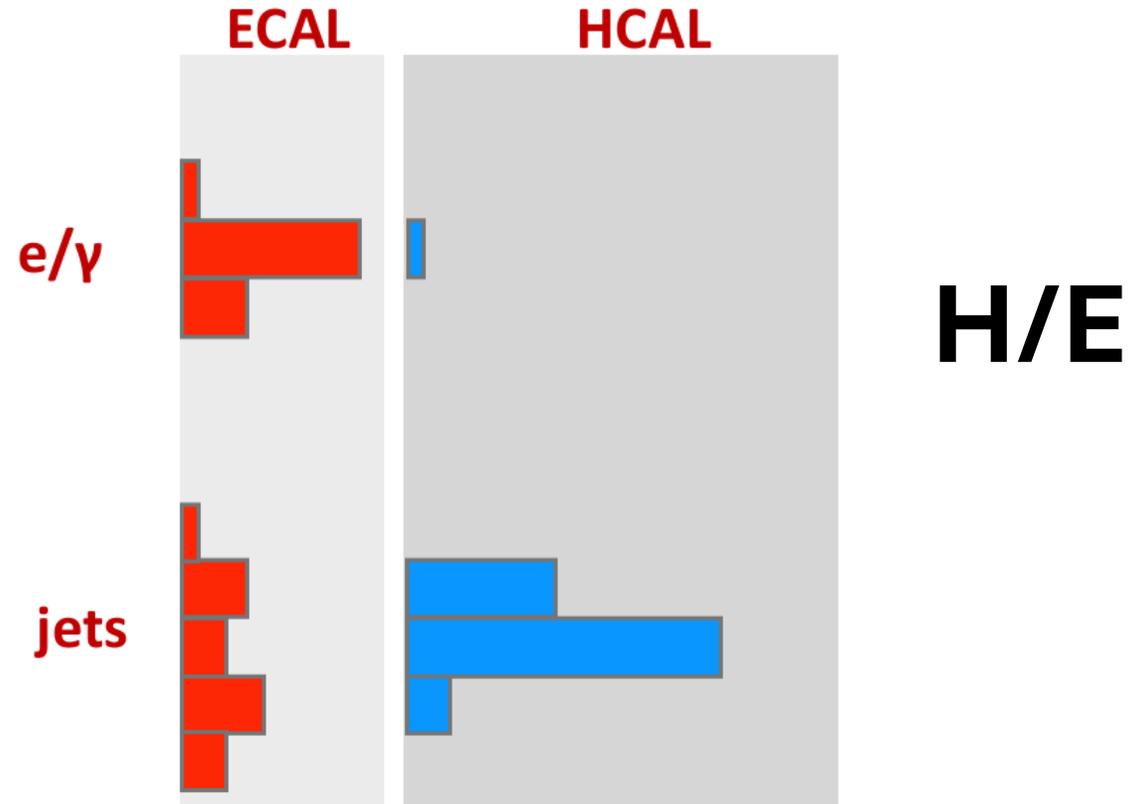
- Shower-shape variables
- Track matching variables
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# Identification: The good and the bad

Many different types:

- Shower-shape variables
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# Identification: The good and the bad

# $R_9$

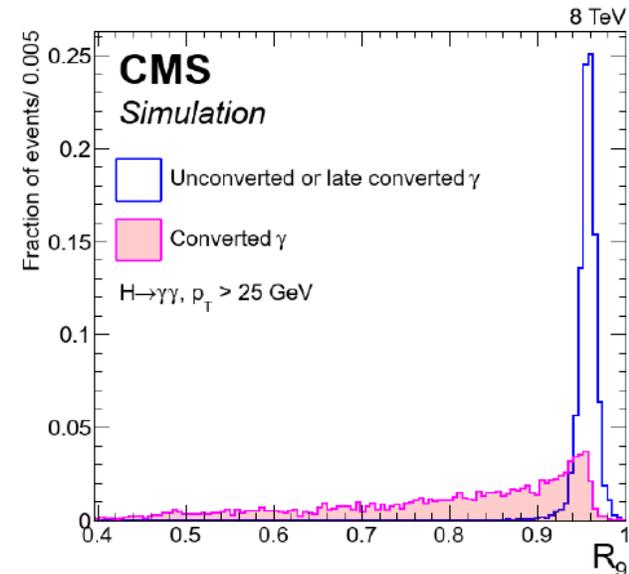
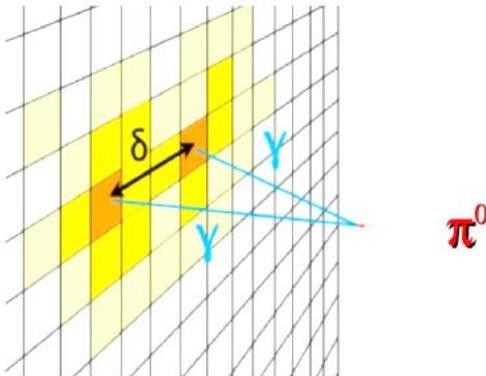
Many different types:

- Shower-shape variables
- Track matching variables
- Conversion ID variables
- Isolation variables

5x5 matrix contains  $\sim 96\%$  ( $\sim 97\%$ ) of unconverted photon energy in EB (EE)

$R_9$  is the energy sum of the  $3 \times 3$  crystals centered on the most energetic crystal in the supercluster divided by the energy of the supercluster

$R_9$  helps in conversions identification and to distinguish real photons from pions

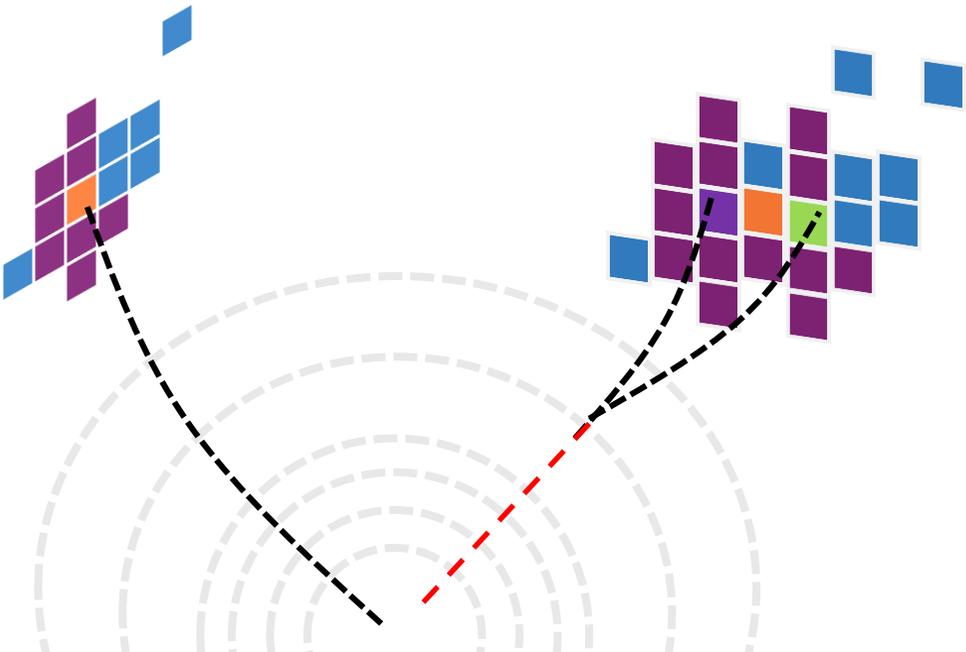
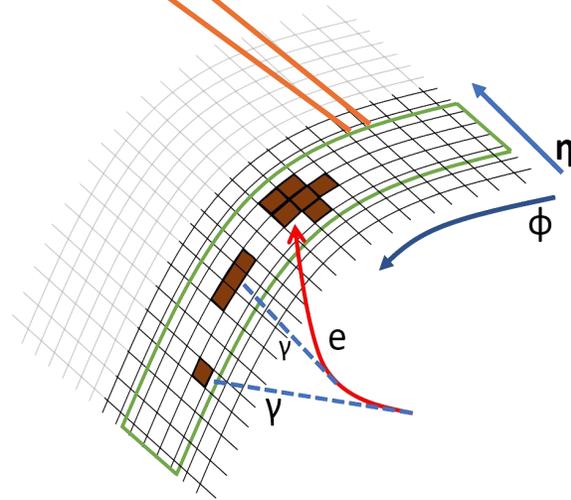
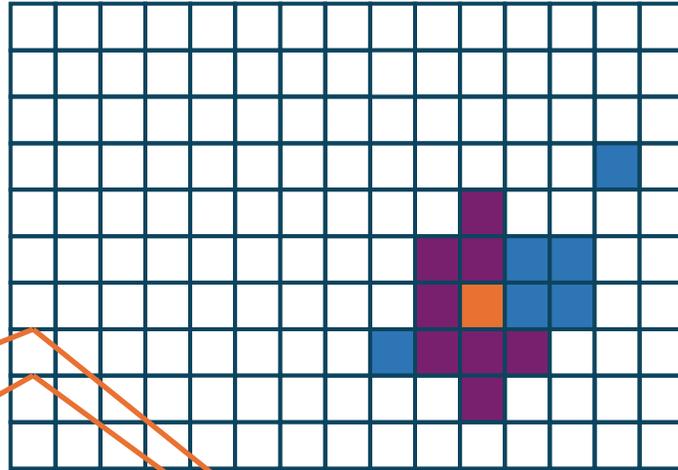
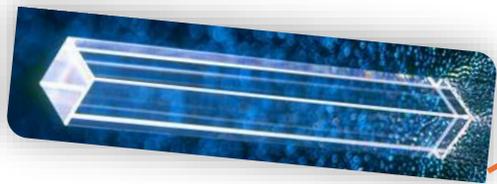


- $\sigma_{i\eta i\eta}$ : the second moment of the log-weighted distribution of crystal energies in  $\eta$ , calculated in the  $5 \times 5$  matrix around the most energetic crystal in the SC and rescaled to units of crystal size. The mathematical expression is given below:

$$\sigma_{i\eta i\eta} = \sqrt{\frac{\sum_i^{5 \times 5} w_i (\eta_i - \bar{\eta}_{5 \times 5})^2}{\sum_i^{5 \times 5} w_i}}. \quad (7.1)$$

# What can we use to identify electrons and photons?

- Description of the EM shower shape
- Tracking and clustering matching parameters
- Quantification of isolation of these objects



Leakage in HCAL

