



The logo for the BESIII experiment, consisting of the letters "B", "E", "S", and "III" in a stylized, colorful font. The "B" is blue, the "E" is red, the "S" is green, and the "III" is black.



# R value measurement and hadron fragmentation functions: recent results by the BESIII collaboration

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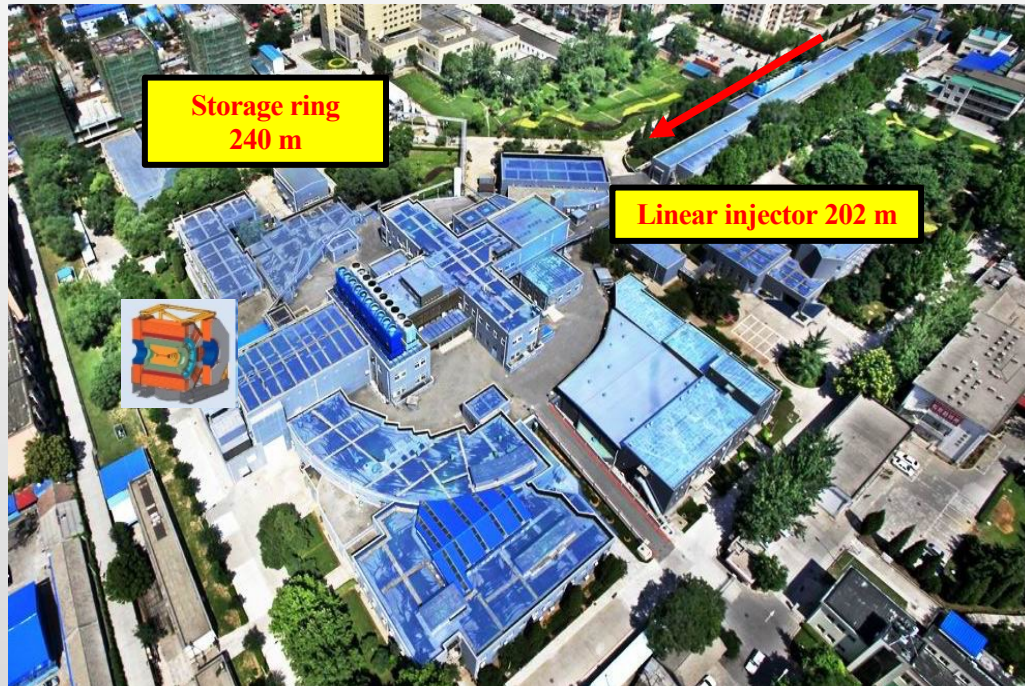
(On behalf of the BESIII collaboration)

Fudan University

September 22-27, 2024, Beijing, China

# BEPCEII and BESIII

## Accelerator: BEPCEII



$E_{cm} = 1.84 - 4.95 \text{ GeV}$

Peak luminosity @3.770 GeV:  $\sim 1.1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

## Detector: BESIII

### Electromagnetic Calorimeter

CsI(Tl):  $L=28 \text{ cm}$

Barrel  $\sigma_E = 2.5\%$

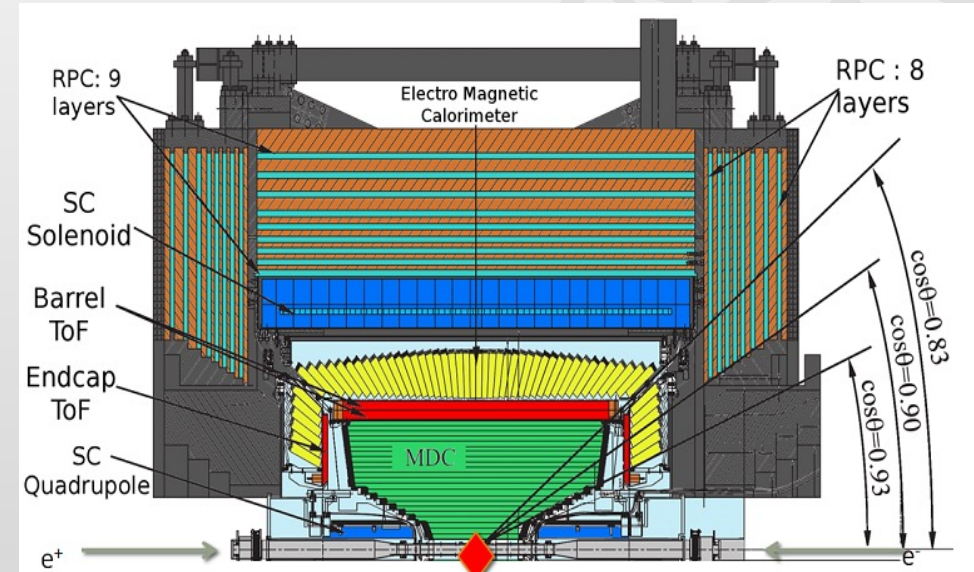
Endcap  $\sigma_E = 5.0\%$

### Muon Counter RPC

Barrel: 9 layers

Endcap: 8 layers

$\sigma_{\text{spatial}} = 1.48 \text{ cm}$



### Main Drift Chamber

Small cell, 43 layer

$\sigma_{xy} = 130 \mu\text{m}$

$dE/dx \sim 6\%$

$\sigma_p/p = 0.5\%$  at 1 GeV

### Time Of Flight

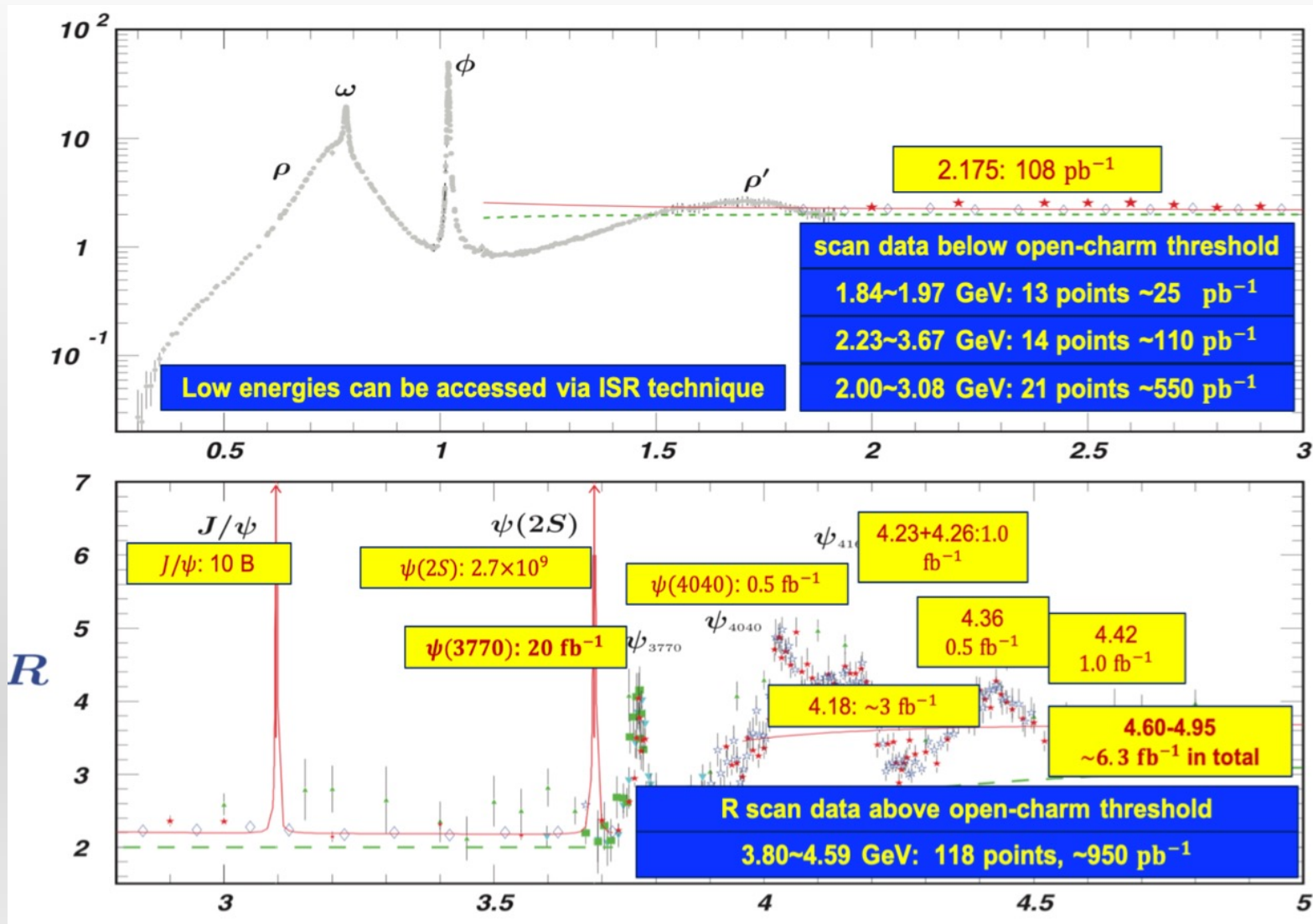
Plastic scintillator

$\sigma_T(\text{barrel}) = 68 \text{ ps}$

$\sigma_T(\text{endcap}) = 110 \text{ ps}$

(update to 65 ps with MRPC)

# Data collected at BESIII



## R-scan data:

- $1.84\sim 4.95 \text{ GeV}$ ,  $\sim 170$  points
- Extensive R ratio measurement
- Near-threshold Baryon pair-production mechanism

## $J/\psi$ and $\psi(2S)$ data:

- Largest sample in the world
- Light(exotic) hadron spectrum

## $\psi(3770)$ data:

- $20 \text{ fb}^{-1}$
- Charm meson decays
- ISR technique for g-2 physics

## XYZ data:

- Large open-charm samples
- Charmonium (-like) states

# The definition of $R$ value

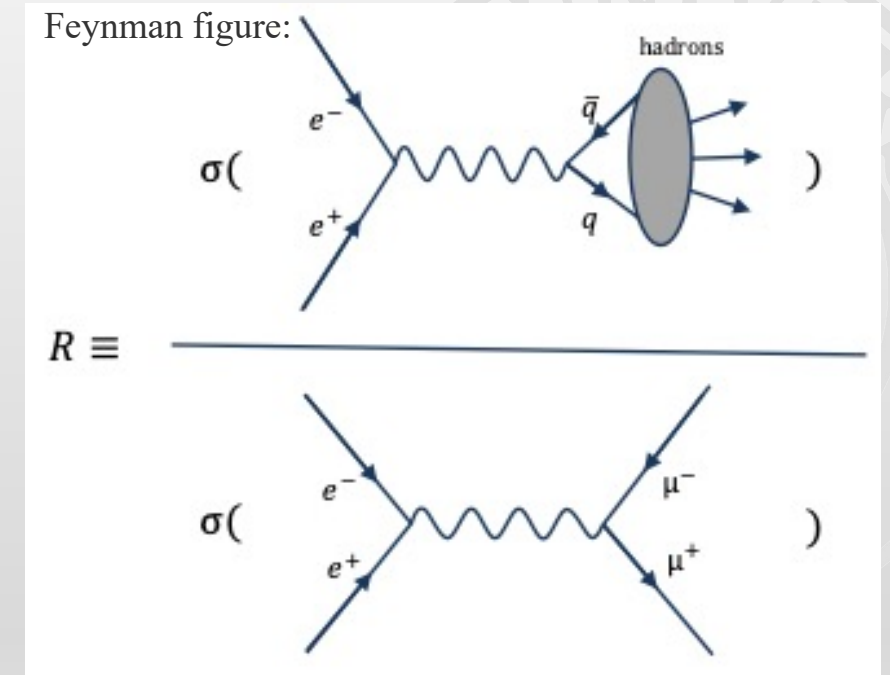
## Theoretical definition

- The  $R$  value is defined as the leading-order production cross section ratio of hadrons and muon pairs in electron-positron annihilation:

$$R \equiv \frac{\sigma^0(e^+e^- \rightarrow \text{hadrons})}{\sigma^0(e^+e^- \rightarrow \mu^+\mu^-)} \equiv \frac{\sigma_{\text{had}}^0}{\sigma_{\mu\mu}^0}$$

A direct result from the QED theory:

$$\sigma_{\mu\mu}^0(s) = \frac{4\pi\alpha^2}{3s} \frac{\beta_\mu(3-\beta_\mu^2)}{2}, \text{ with } \beta_\mu = \sqrt{1 - 4m_\mu^2/s}$$



Measurement of  $R$  value  $\Leftrightarrow$  Measurement of total cross section of hadron production

**Important quantity in particle physics to test the Standard Model (SM)!**

# Muon anomalous $a_\mu^{SM}$ & running $\Delta\alpha(s)$

## The anomalous magnetic moment of muon

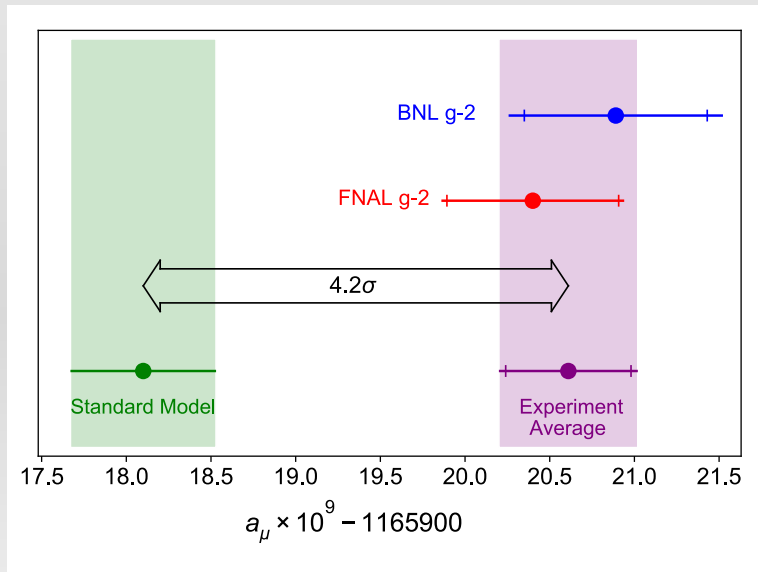
$$a_\mu^{SM} = a_\mu^{QED} + a_\mu^{Weak} + a_\mu^{had}$$

- $a_\mu^{QED}$  and  $a_\mu^{Weak}$  can be calculated precisely

$$a_\mu^{had} = a_\mu^{LO-HVP} + a_\mu^{NLO-HVP} + a_\mu^{HLBL}$$

$$a_\mu^{LO-HVP} = \left(\frac{\alpha m_\mu}{3\pi}\right)^2 \int_{4m_\pi^2}^{\infty} ds \frac{R(s)K(s)}{s^2}$$

[Phys. Rev. Lett. 126, 141801 \(2021\)](#)



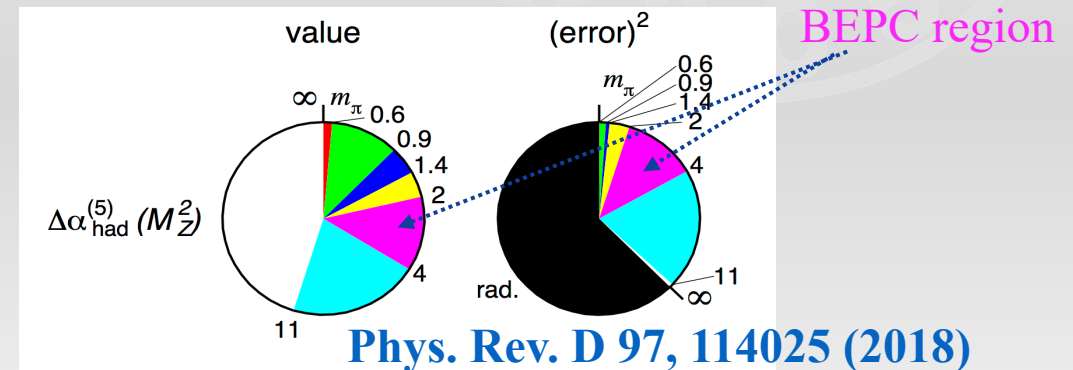
## QED running coupling constant

$$\Delta\alpha_s = 1 - \frac{\alpha(0)}{\alpha(s)} = \Delta\alpha_{lepton}(s) + \Delta\alpha_{had}^{(5)}(s) + \Delta\alpha_{top}(s)$$

- $\Delta\alpha_{lepton}(s)$  can be calculated analytically using perturbation theory
- $\Delta\alpha_{top}(s)$ : is small ( $10^{-7} \sim 10^{-10}$  for BESIII region), since the top quark is heavy
- $\Delta\alpha_{had}^{(5)}(s)$ : should be calculated using **R value** at low energy

$$\Delta\alpha_{had}^{(5)}(s) = -\frac{\alpha s}{3\pi} Re \int_{E_{th}}^{\infty} ds' \frac{R(s')}{s'(s' - s - i\epsilon)}$$

Fractional contribution to  $\Delta\alpha_{had}^{(5)}(M_Z^2)$ :



# Determination of $R$ value in experiment

## Inclusive method

Numbers of observed hadronic events

Number of the residual background events

$$R = \frac{N_{had}^{obs} - N_{bkg}}{\sigma_{\mu\mu}^0 \mathcal{L}_{int} \epsilon_{trig} \epsilon_{had} (1 + \delta)}$$

- Leading order QED cross section for  $e^+e^- \rightarrow \mu^+\mu^-$
- $\sigma_{\mu\mu}^0(s) = 86.85 \text{ nb/s}$

Integrated luminosity is measured by analyzing Bhabha events

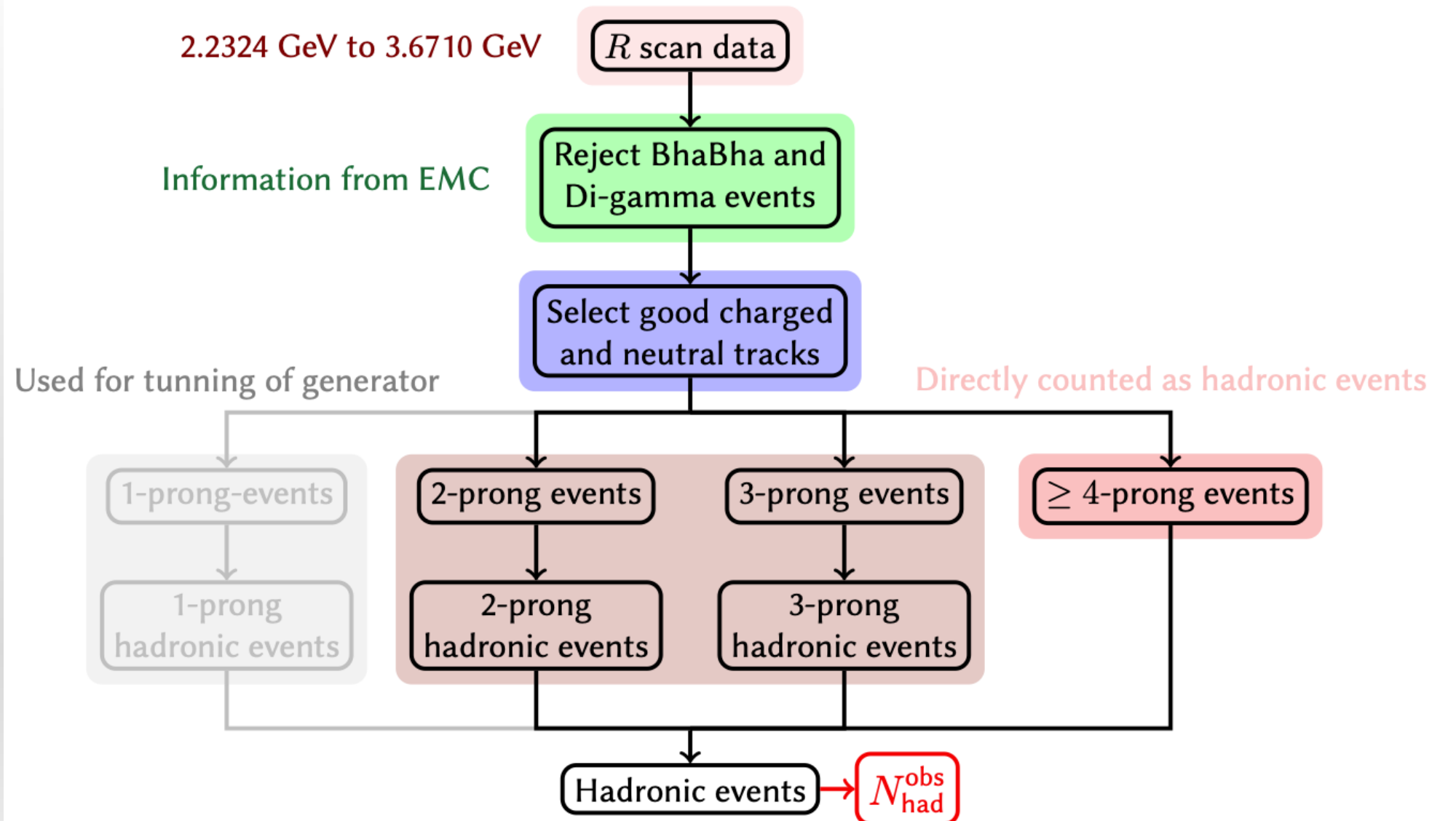
Trigger efficiency  
~100%

ISR correction factor

Detection efficiency of the hadronic events

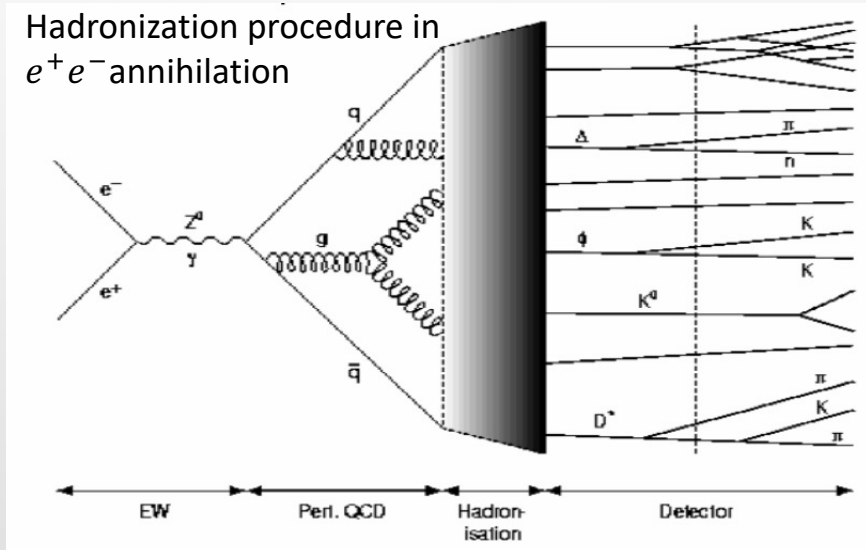
Determination of  $\epsilon_{had}$  is the most challenging task!

# Analysis strategy



# Two MC simulation model: LUARLW and HYBRID

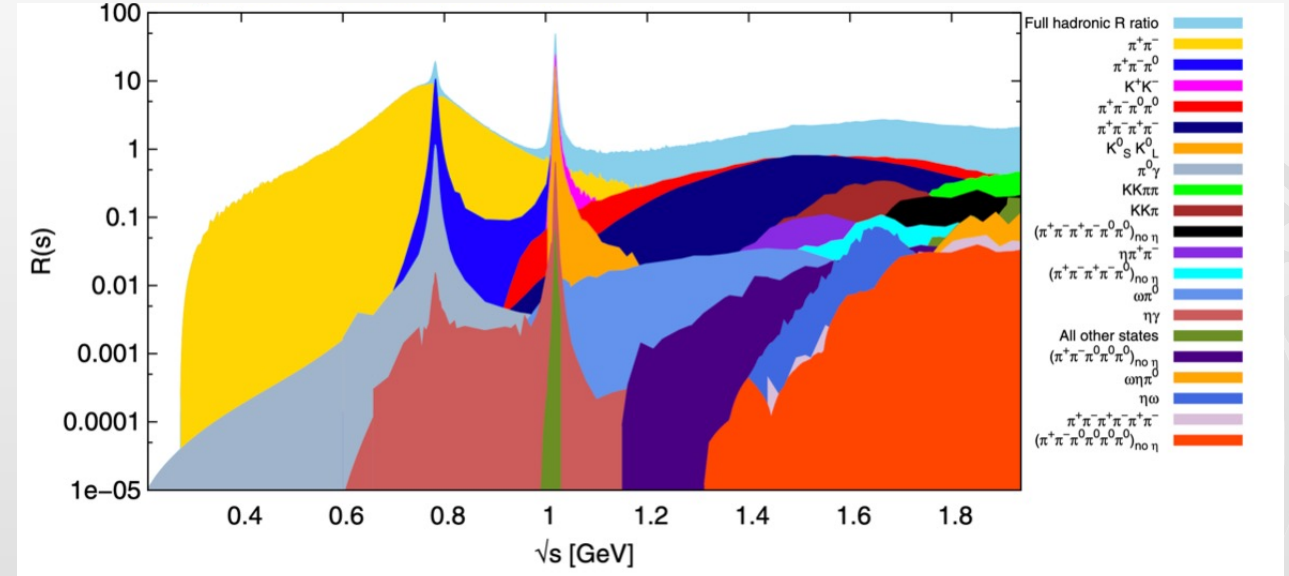
## LUARLW model (nominal generator)



Main features of LUARLW:

- ▶ A self-consistent inclusive generator developed based on **JETSET**
- ▶ **Initial-state radiation (ISR)** process is implemented from  $2m_\pi$  to  $\sqrt{s}$
- ▶ Kinematic quantities of initial hadrons are sampled by the **Lund** area law
- ▶ Phenomenological parameters are tuned based on comparisons between data and MC

## HYBRID model (alternative generator)



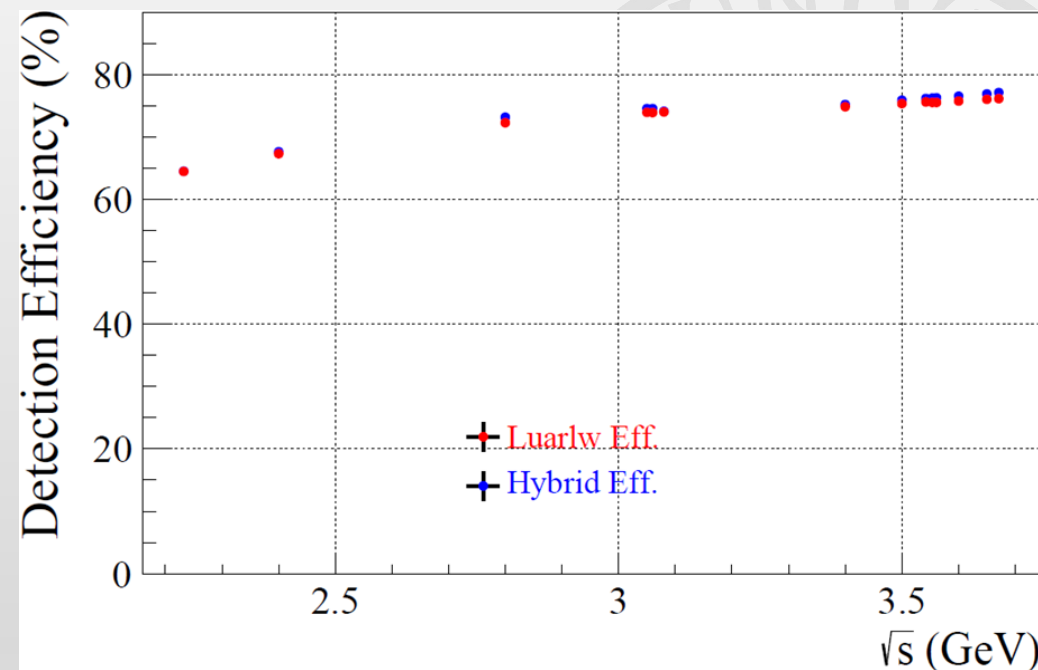
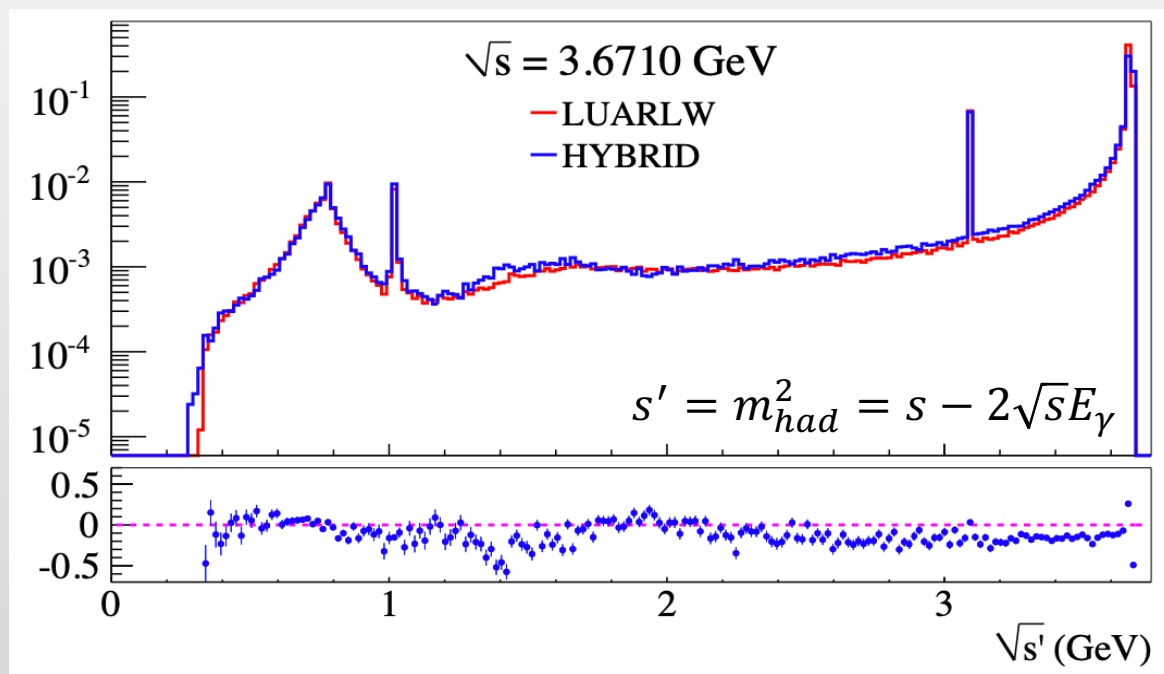
Main features of HYBRID:

- ▶ The first attempt of exclusive simulations in determination of hadronic efficiency
- ▶ Combination of **THREE** different well-established simulation models
- ▶ As much as currently **known experimental** knowledges are implemented.
- ▶ Different **ISR** and **VP** correction schemes are adopted.



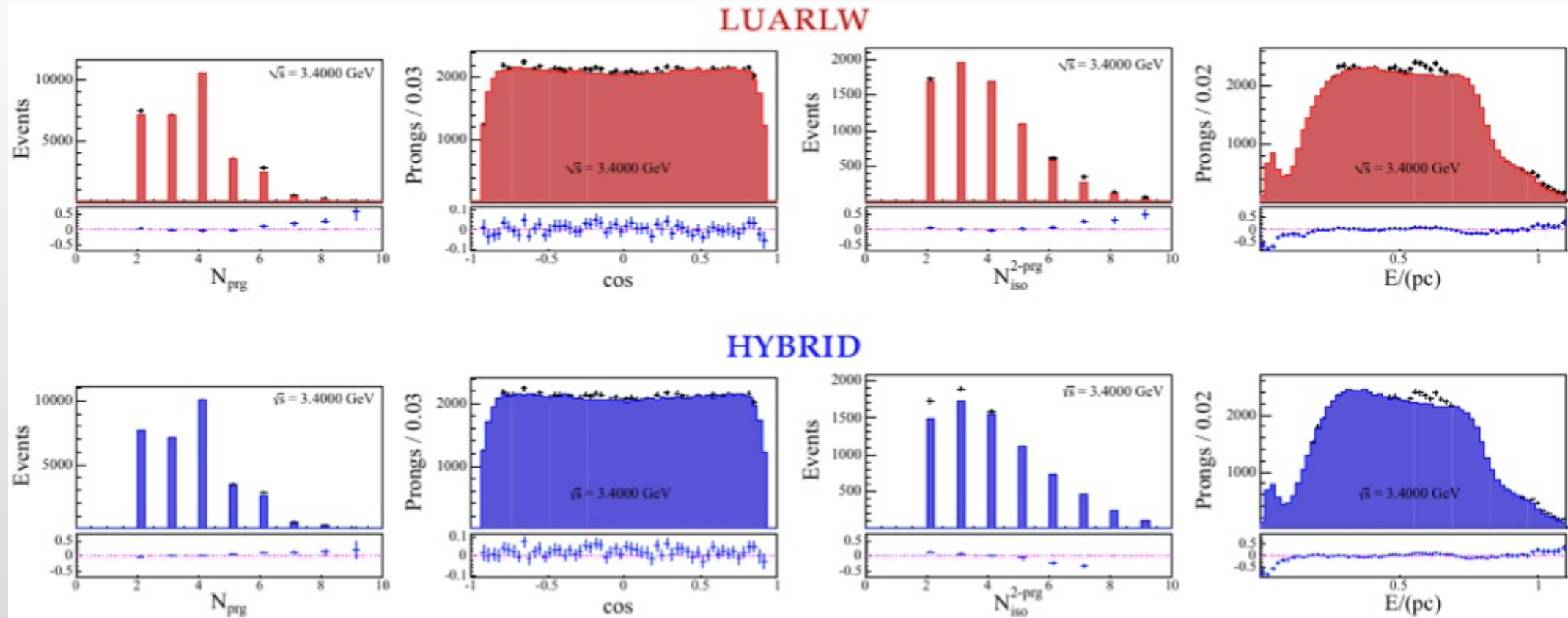
# HYBRID-LUARLW comparison: effective energy

- The comparisons of the  $\sqrt{s'}$  spectrum between LUARLW and HYBRID
- The  $\sqrt{s'}$  spectrum directly reflect the fraction of the ISR-retuned processes



These two different simulation schemes result in consistent  $\sqrt{s'}$  spectra!

# Comparison between MC and data



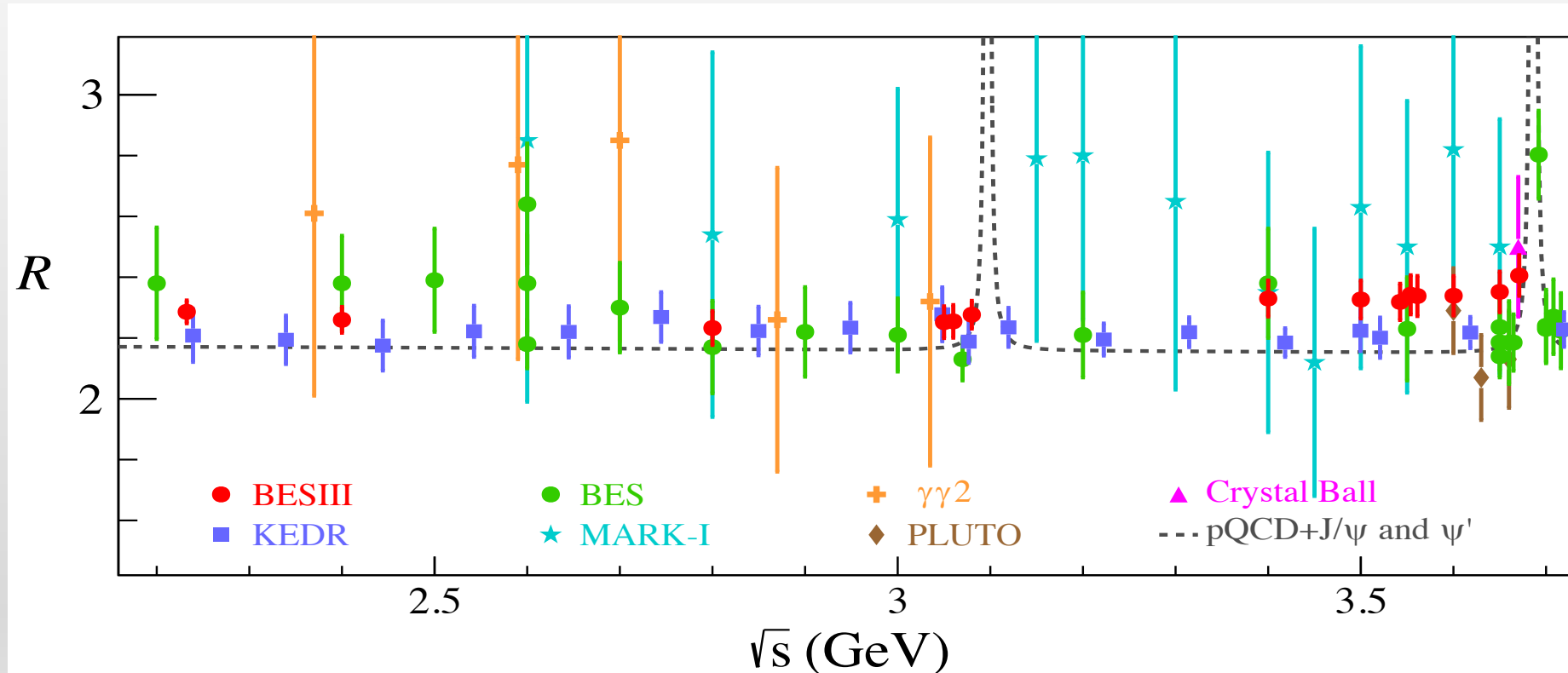
- $N_{prg}, \theta$ : Number and polar angle of selected charged tracks
- $N_{iso}^{2-prg}$ : Number of isolated clusters in 2-prong events
- $E/(pc)$ : Ratio of deposited energy and measured momentum per track

**Good agreement of both generator models and data**

# R-value measurements in 2.2-3.7 GeV

Comparing BESIII  $R$  values with previously published results:

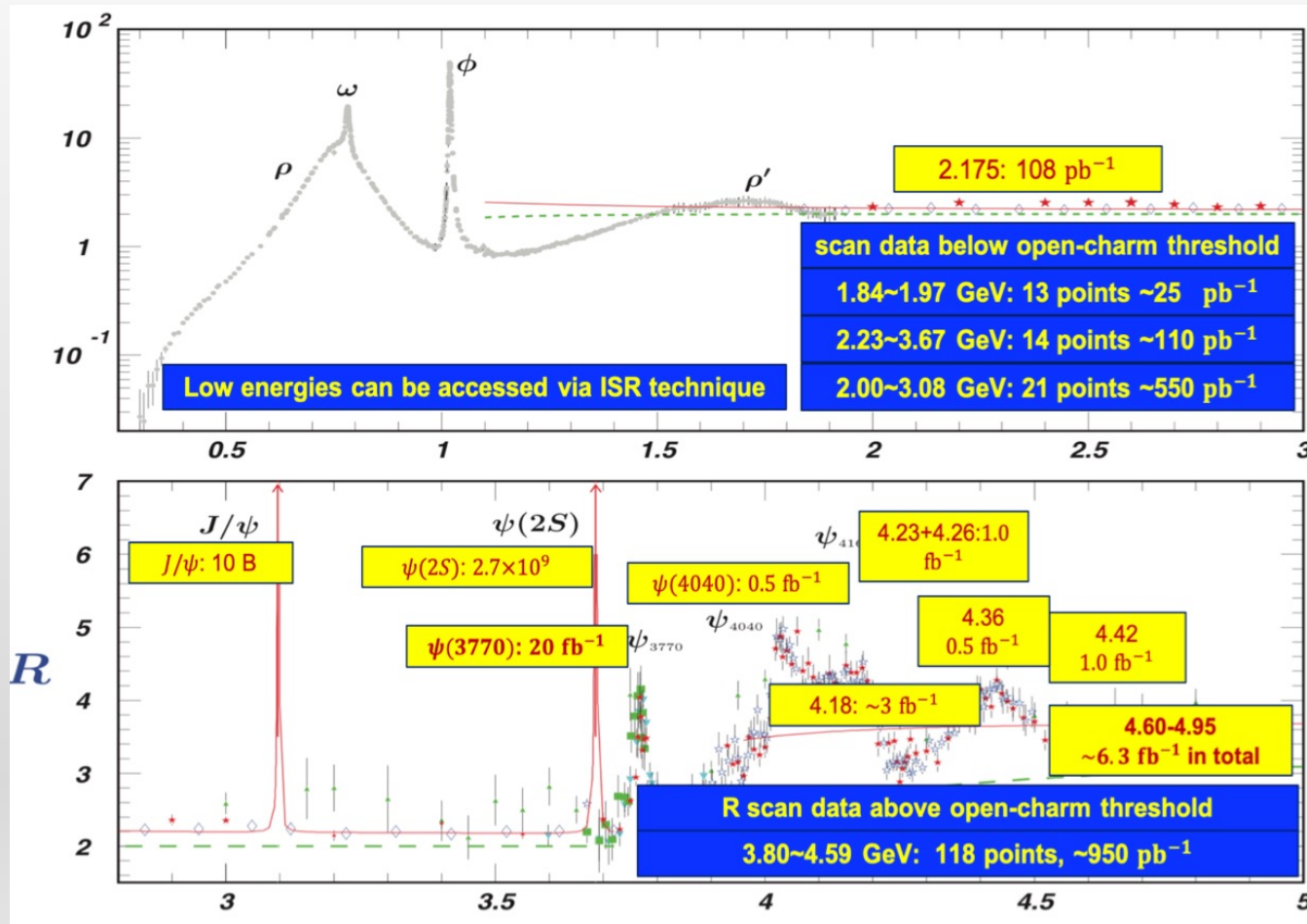
[Phys. Rev. Lett. 128, 06200\(2022\)](#)



- The accuracy is better than 2.6% below 3.1 GeV and 3.0% above
- Larger than the pQCD prediction by  $2.7\sigma$  between 3.4~3.6 GeV

# Prospect of the R value at BESIII

More additional data already collected (2.0-4.96 GeV, below 2.0 GeV also obtained)



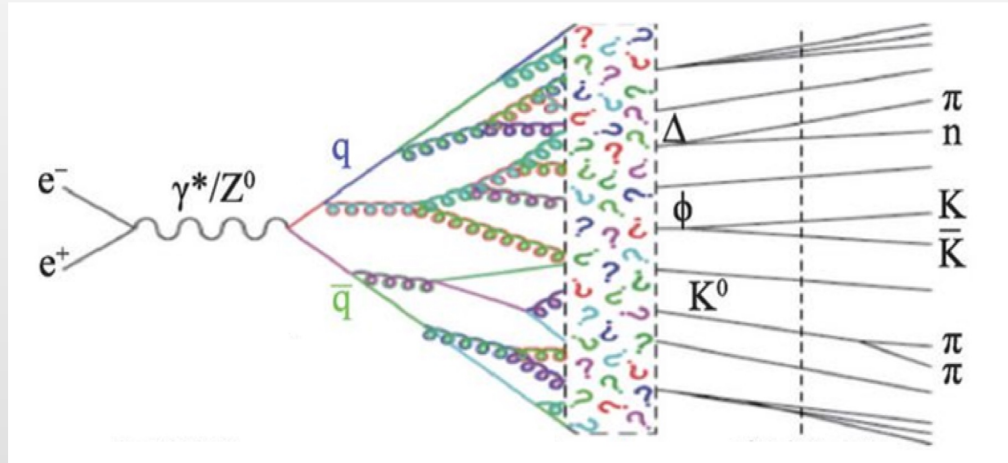
## Different methods:

- $@ \leq 2.0 \text{ GeV}$ : exclusive
- $@ \geq 2.0 \text{ GeV}$ : inclusive
- **ISR technique**: taking advantage of BESIII  $\psi(3770)$  data, the R value from  $\pi^+ \pi^-$  threshold to continuum region can be accessed

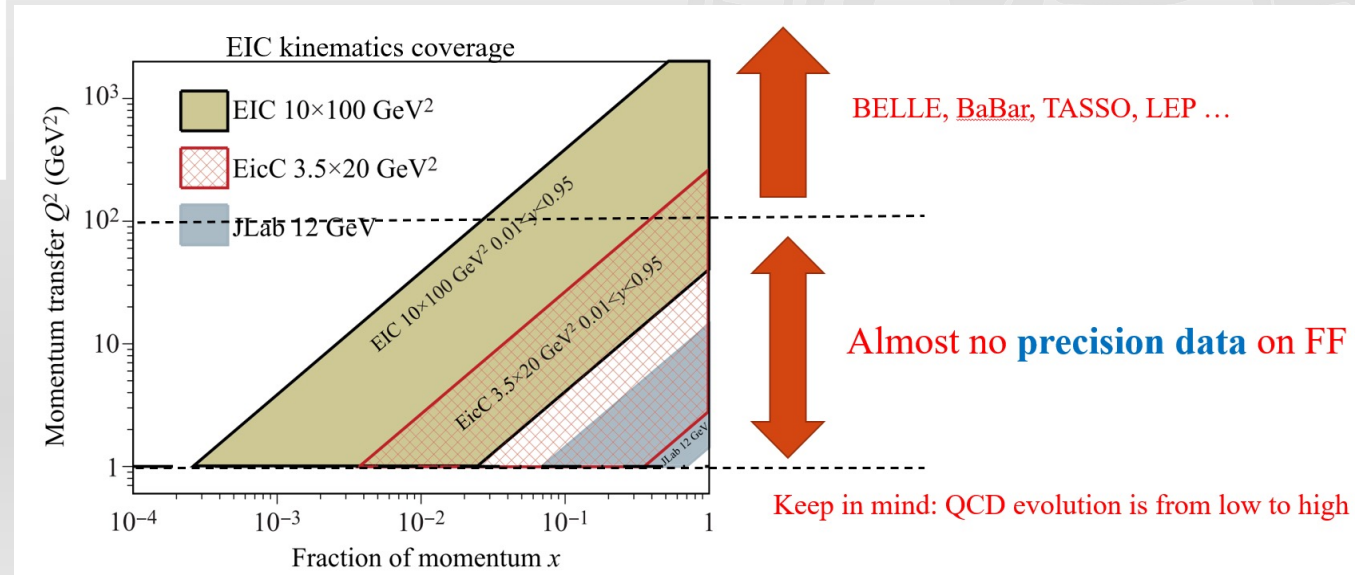
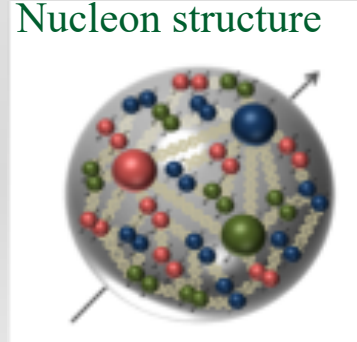
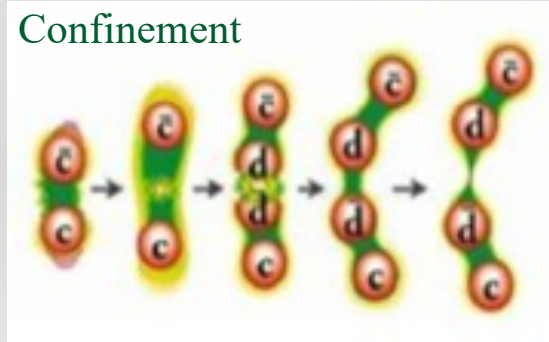
More results of R measurement at BESIII are coming soon!

# Fragmentation functions (FFs) $D_q^h(z)$

- $D_q^h(z)$ : describe the fragmentation of an unpolarized quark into an unpolarized hadron, where the hadron carries a fraction  $z = 2E_h/\sqrt{s}$  of parton's momentum

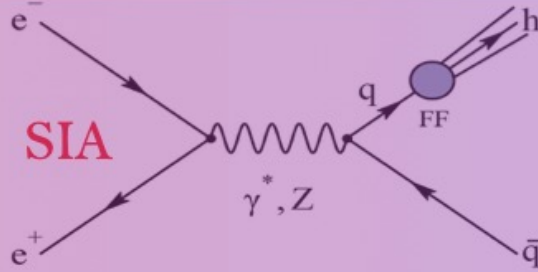


- Better knowledge of  $D_q^h(z)$  needed to exploit full potential of future facilities (EIC & EicC)



Several open questions about QCD

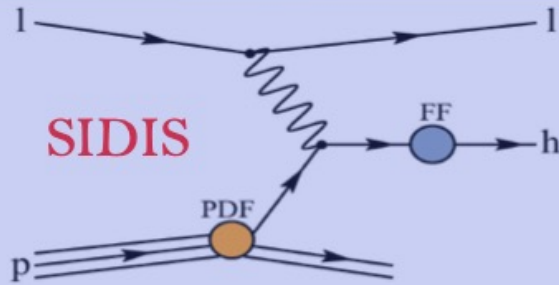
# Access FFs with QCD factorization



**SIA**

$$e^+e^-: s = \sum_q \sigma(e^+e^- \rightarrow q\bar{q}) \otimes FF$$

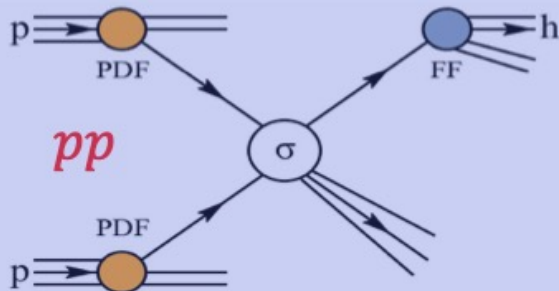
- No PDFs necessary
- Calculations known at NNLO
- Flavor structure not directly accessible



**SIDIS**

$$\text{SIDIS}: s = \sum_q PDF \otimes \sigma(eq \rightarrow e'q') \otimes FF$$

- Depend on unpolarized PDFs
- Flavor structure directly accessible
- FFs and PDFs



**pp**

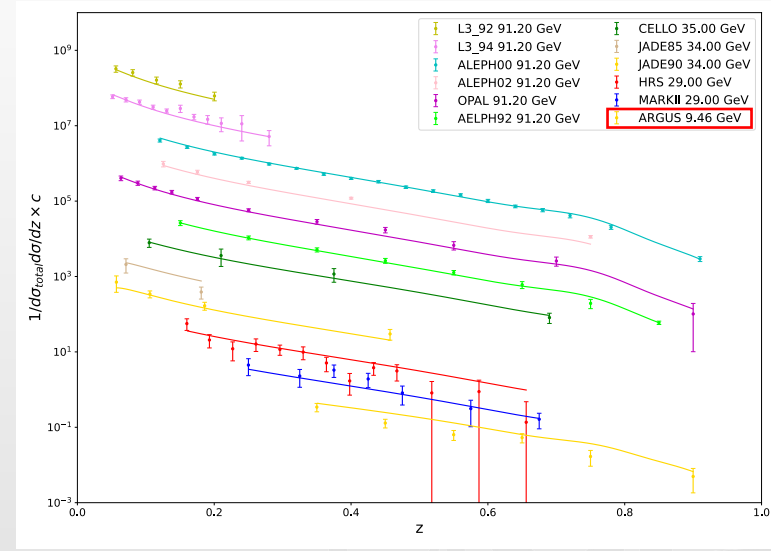
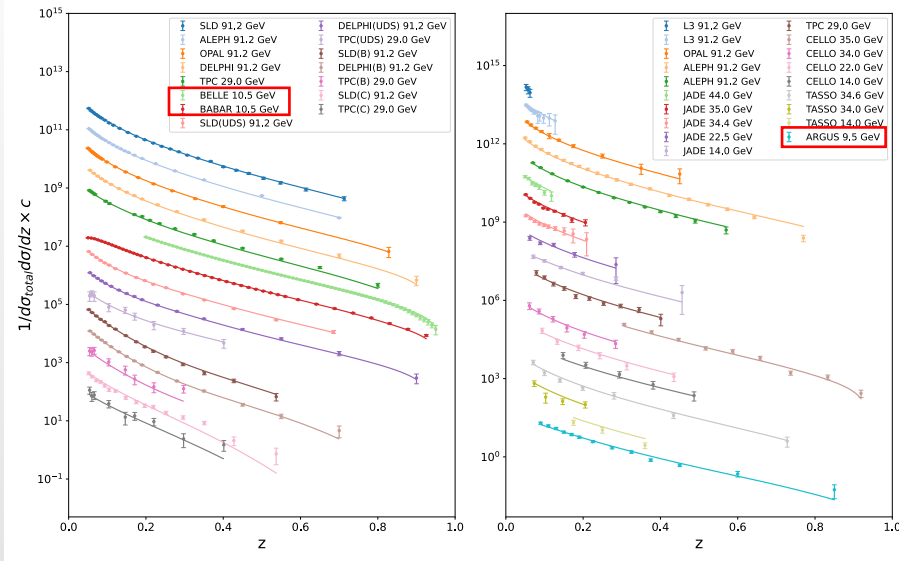
$$pp: s = \sum_q PDF \otimes PDF \otimes \sigma(q_1q_1 \rightarrow q'_1q'_2) \otimes FF$$

- Depend on unpolarized PDFs
- Leading access to gluon FF
- Parton momenta not directly known

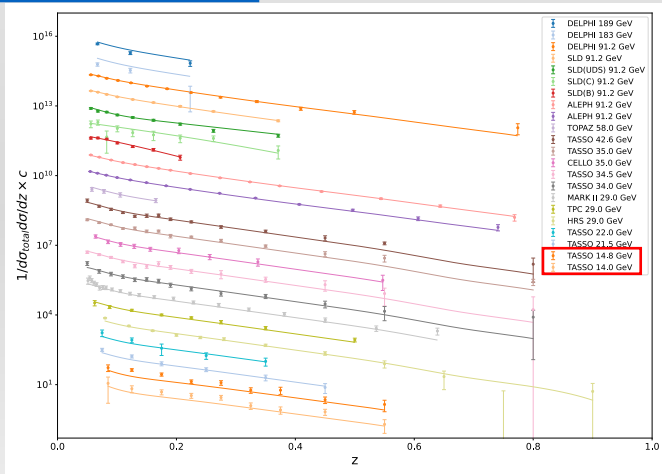
**SIA @  $e^+e^-$  : the cleanest process for FFs studying**

# World data: $\pi^\pm, \pi^0, \eta, K_S^0, K^\pm$

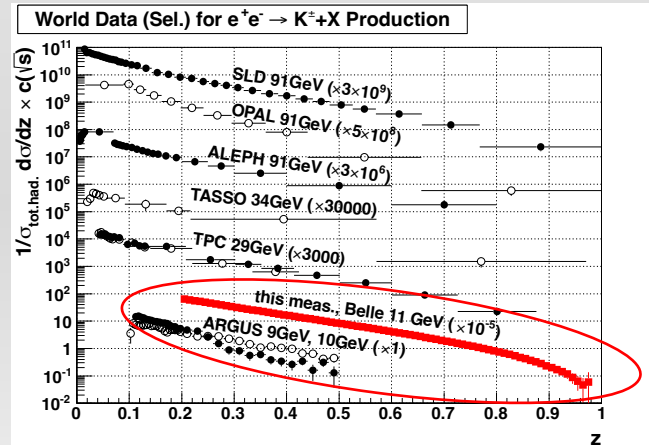
[arxiv:2404.11527](https://arxiv.org/abs/2404.11527)



[arxiv:2404.11527](https://arxiv.org/abs/2404.11527)



[PRL 111 062002 \(2013\)](https://arxiv.org/abs/1106.2002)



Lack of precise data at low energy, where BESIII could contribute

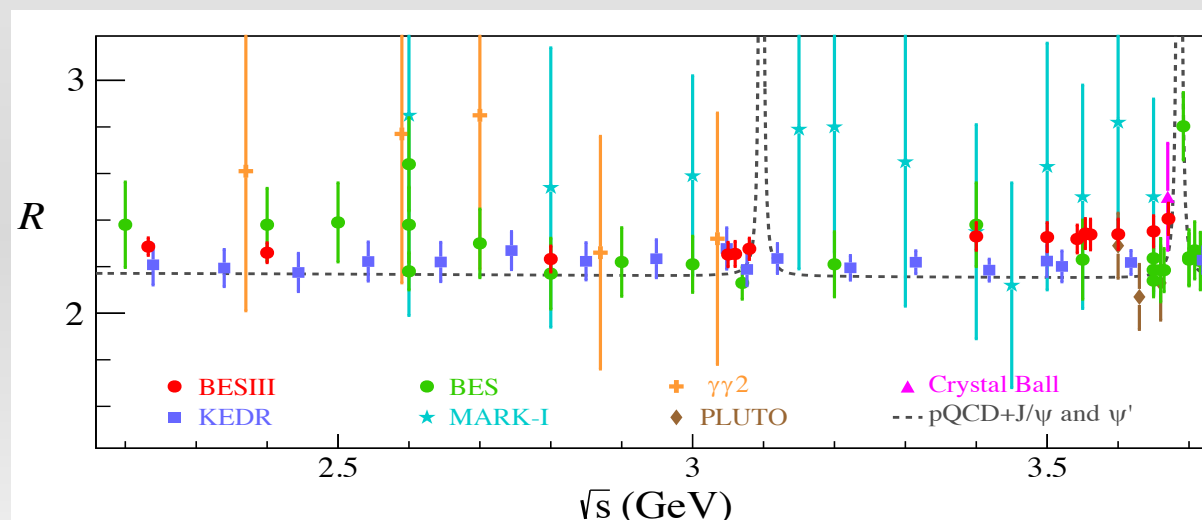
# Measurement strategy at BESIII

- **Normalized** differential cross section ( $h$  as the hadron under investigation):

$$\frac{1}{\sigma_{\text{had}}} \frac{d\sigma(e^+e^- \rightarrow h+X)}{dp_h} = \frac{N_h}{N_{\text{had}}} \frac{1}{\Delta p_h} = \frac{N_h^{\text{obs}}}{N_{\text{had}}^{\text{obs}}} \frac{1}{\Delta p_h} f_h$$

- Hardronic events  $N_{\text{had}}$ : using the same criteria as those applied in the R value measurement
- $\Delta p_h$ : Bin width in a momentum bin
- Two relatively independent inclusive MC models are developed to determine  $f_h$

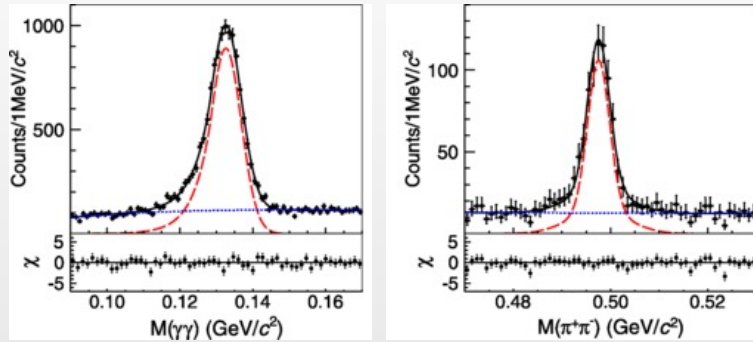
[Phys. Rev. Lett. 128, 06200\(2022\)](#)





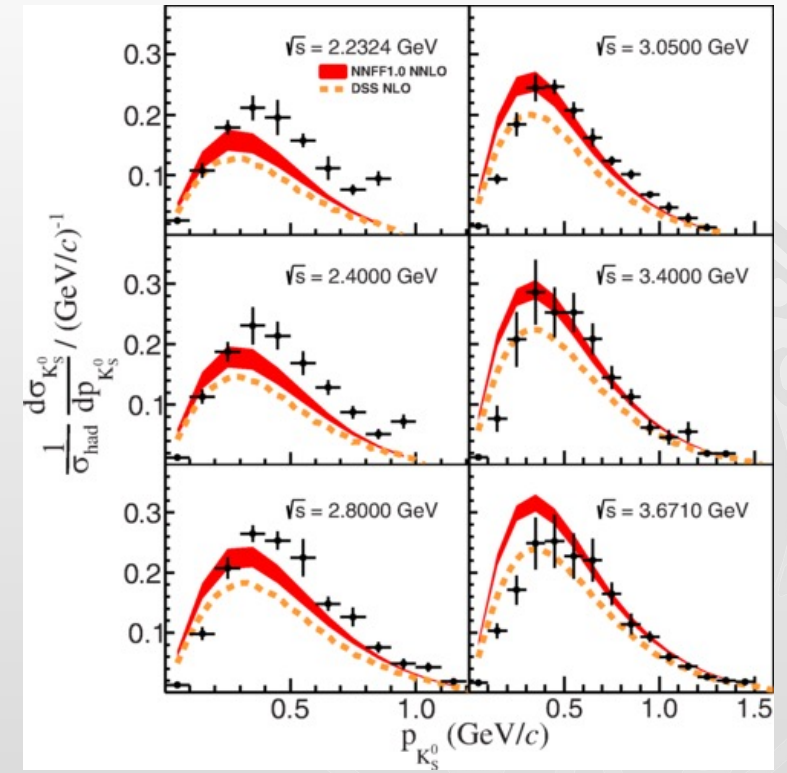
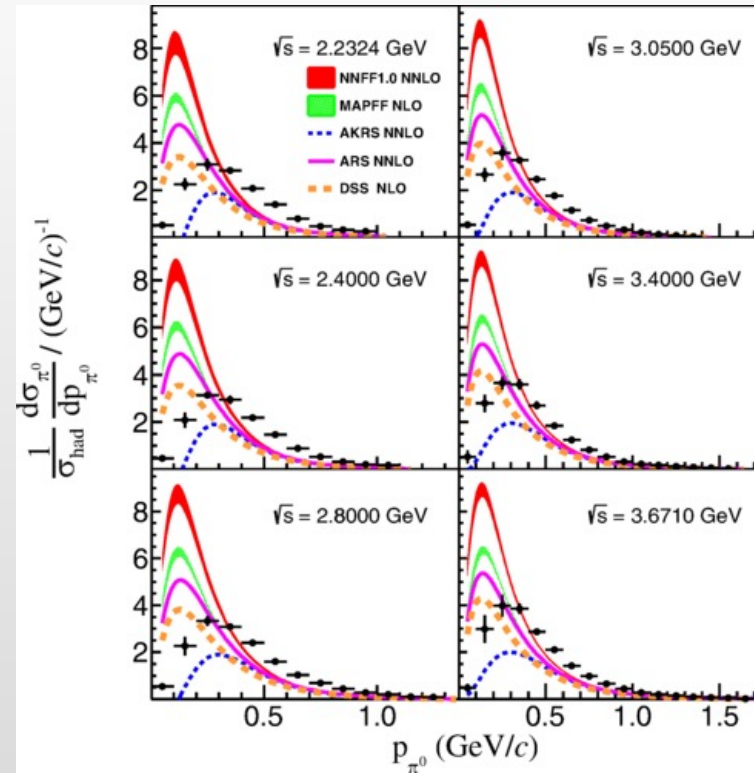
# Results for $\pi^0$ and $K_S^0$

PRL 130 231901 (2023)



$P \in (0.4, 0.5) \text{ GeV}/c$

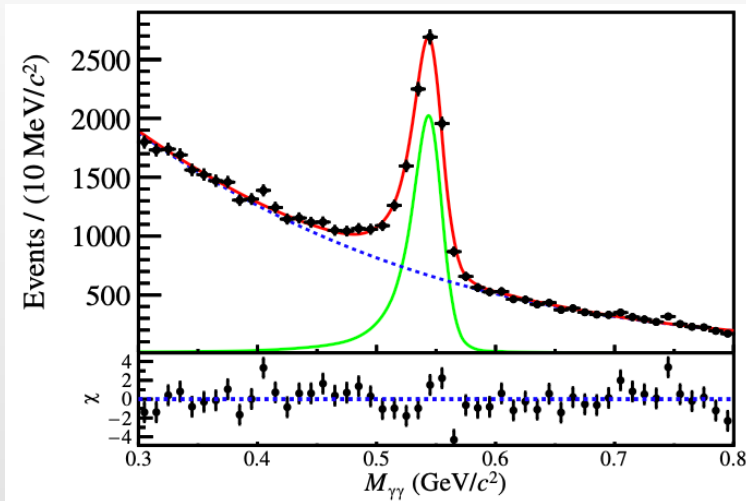
- Hadrons reconstructed from daughters
- Background suppression:
  - Helicity angle cut
  - Secondary vertex fit



- Dominant uncertainty is from simulation of  $e^+e^- \rightarrow \pi^0/K_S^0 + X$
- Disagreement between FF fits and data **depends on both  $\sqrt{s}$  and  $p_h$**
- Leading twist calculation not sufficient? quark and hadron mass correction? small- $z$  resummation? problem in the extrapolation of FFs to lower energy?

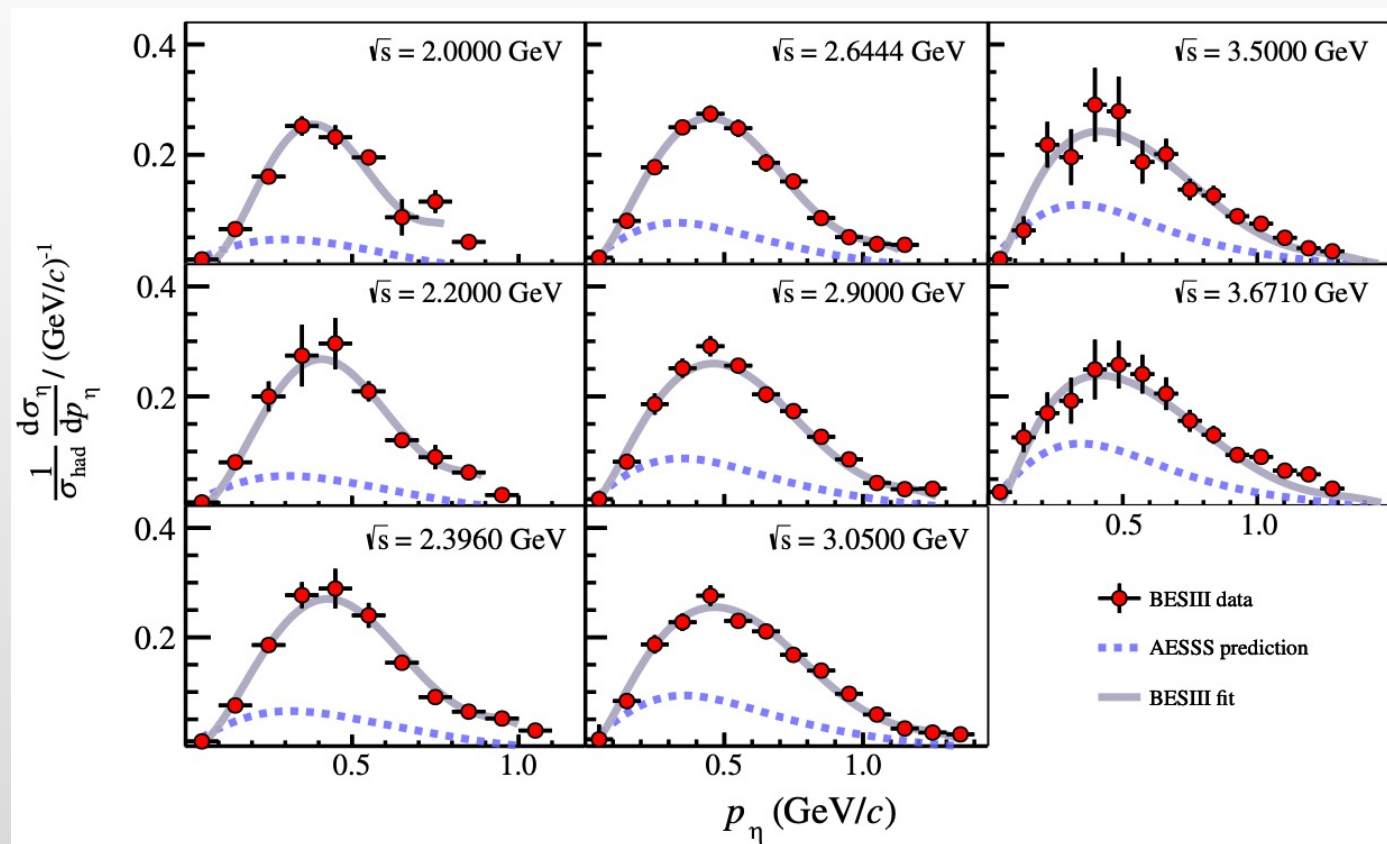
# Results for $\eta$

[PRL 133 021901 \(2024\)](#)



**(0.4, 0.5) GeV/c @  $\sqrt{s} = 2.9$  GeV**

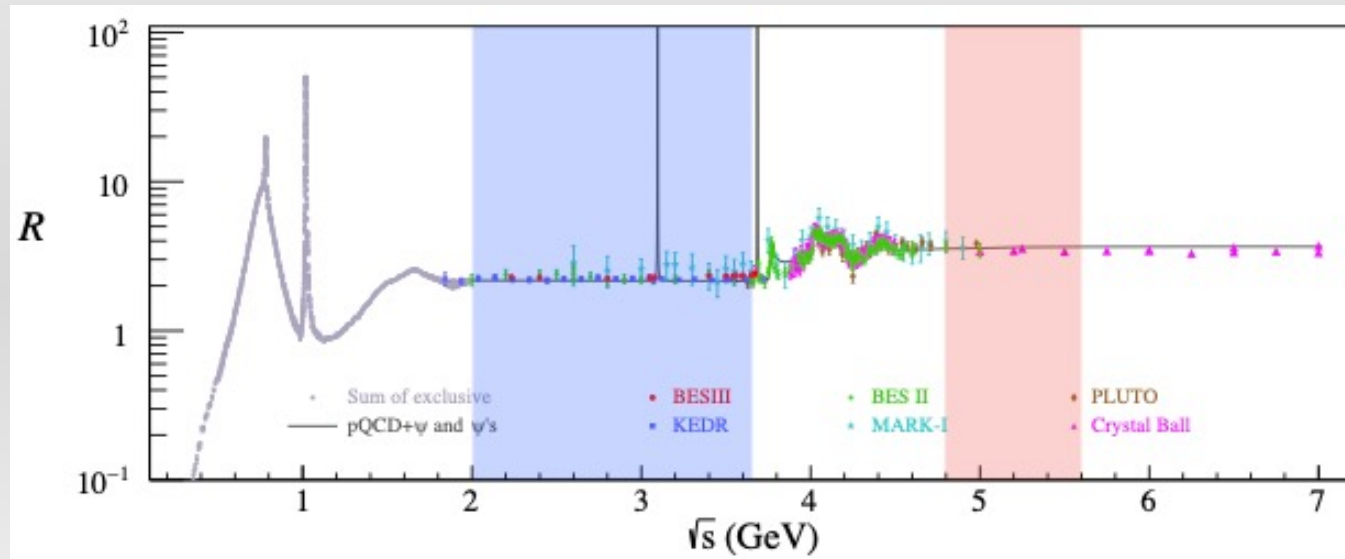
- Hadrons reconstructed from daughters
- Helicity angle cut for background suppression



- Significant disagreement between FF fits given in [PRD 83, 034002 \(2011\)](#) and data
- A new fit performed ([arXiv: 2404.11527](#)):
  - ▶ Incorporated **new BESIII data** and previous world  $e^+e^-$  data with  $\sqrt{s} > 10$  GeV
  - ▶ Implemented **higher-twist effects** and **hadron mass correction** in **NNLO accuracy**

# More results are ongoing

- In continuum region 2.00 ~ 3.67 GeV:
  - 1D and 2D ( $p$  v.s.  $p_t$ ) inclusive production of charged particles:  $e^+e^- \rightarrow \pi^\pm/K^\pm + X$
  - Search for spin-alignment effect for vector mesons:  $e^+e^- \rightarrow \phi K^* + X$
- In higher-energy region above 4.8 GeV:
  - High luminosity data:  $> 150 \text{ pb}^{-1}$  on the tape and more on the schedule
  - Possible to measuring heavier strange meson and hyperons:  $e^+e^- \rightarrow \eta'/\Lambda/\Sigma + X$



# Summary

## ■ R value

- Improving accuracy determination of  $R$  value important for Standard Models tests
  - The First round measurement of  $R$  value at BESIII published ([Phys. Rev. Lett. 128, 06200\(2022\)](#))
    - ✓  $2.2324 \text{ GeV} \leq \sqrt{s} \leq 3.6710 \text{ GeV}$
    - ✓ Accuracy better than 2.6% below 3.1 GeV and 3.0% above
- ⇒ Other high statistics samples available  
⇒ Other approaches on-going @ BESIII Stay tuned !!!!

## ■ Fragmentation functions

- Precise knowledge of FFs helps us to understand the **non-perturbative QCD** dynamics
  - The  $e^+e^-$  annihilation experiments provide the **cleanest** environment to measure FFs
  - BESIII contributes to the study of unpolarized FFs at  $\sqrt{s} < 5 \text{ GeV}$ 
    - ✓ Normalized differential cross sections of inclusive  $\pi^0/K_S^0/\eta$  production are measured
    - ✓ The results provide broad  $z$  coverage from 0.1 to 0.9 with precision of 3% at  $z \sim 0.4$
    - ✓ Large discrepancy from predictions of the existing fragmentation functions are observed, which requires more study
- ⇒ More results for  $\pi^\pm, K^\pm, \phi, K^*$  at continuum region and  $\eta'/\Lambda/\Sigma$  at higher energy region are currently in progress

*Thank you!*