

# Modeling pion production for GeV neutrino experiments

The 23rd International Conference on Few-Body Problems in Physics

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2024-09-26

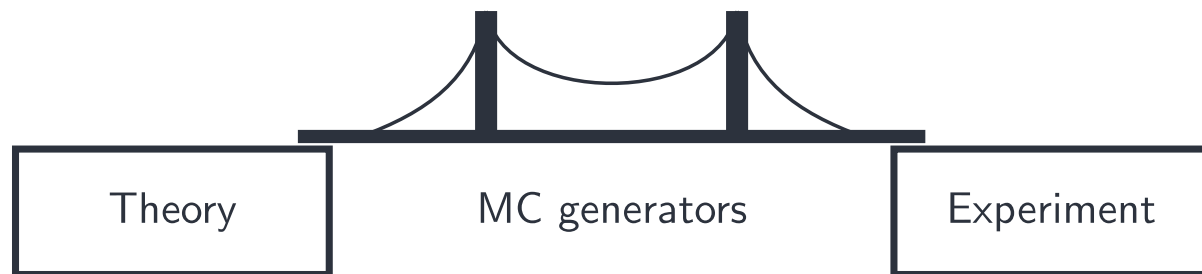
University of Chinese Academy of Sciences  
University of Warwick



# Introduction

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Neutrino generators are bridges between theory and experiment.



Credit: Tomasz Golan, [Link](#)

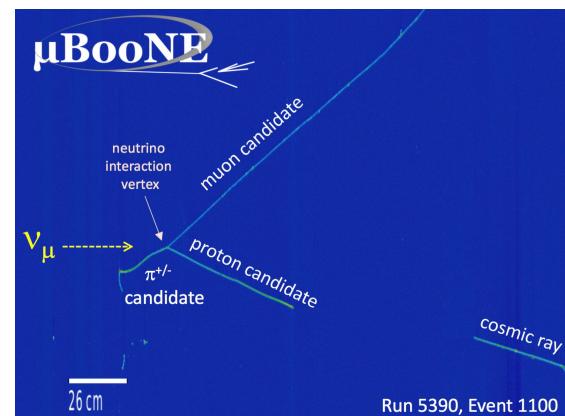


Figure 1: An example of a  $CC\pi^\pm$  event from MicroBooNE, [Link to source](#)

- From neutrino to the 4-momenta of final state particles.
- Neutrino experiments relays on MC generators.
- Combination of multiple models:
  - Nucleus model (nuclear ground state/fermi motion/pauli blocking)
  - Interaction model ( $\nu + p/n \rightarrow l + N + X$ )
  - Final State Interaction, FSI ( $\pi$  absorption/production/charge exchange...)

## How are neutrino generators working in GeV region?

- There are still tensions between different models and data.
  - In the GeV region, RES<sup>1</sup> and DIS kick in, the final state gets more complicated.
  - Any **mis-modeling** can lead to **mis-reconstruction**.
- Properly model the final state in those region is crucial for the experiments in this region. (reconstruction, background estimation, etc.)
- Xianguo Lu's talk in this morning provides a lot information on the importance of modeling interaction.
- Generators to discuss here:
  - NuWro
  - GENIE
  - GiBUU

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<sup>1</sup>Inelastic process with meson production through resonances

# Challenges

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## RES Channel

- Neutrino excites a nucleon to a resonance state, which decays to give a nucleon and a meson.
- For low- $W$ : dominated by  $\Delta(1232)$  resonance, final state follows iso-spin rule:

$$\sigma(\nu p \rightarrow \ell^- p \pi^+) : \sigma(\nu n \rightarrow \ell^- p \pi^0) : \sigma(\nu n \rightarrow \ell^- n \pi^+) = 9 : 2 : 1$$

A full  $\pi$  production model should describe:

$$\frac{d^4\sigma}{dW dQ^2 d^2\Omega_\pi}$$

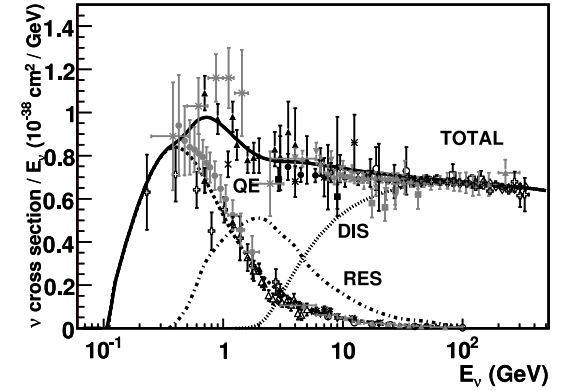
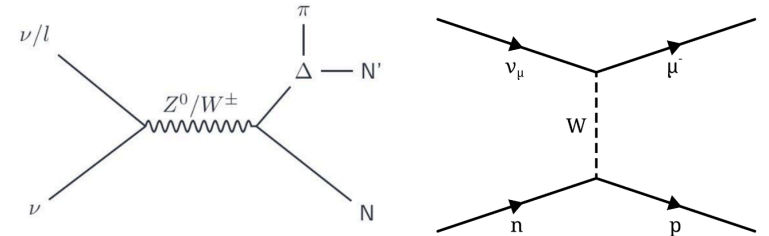


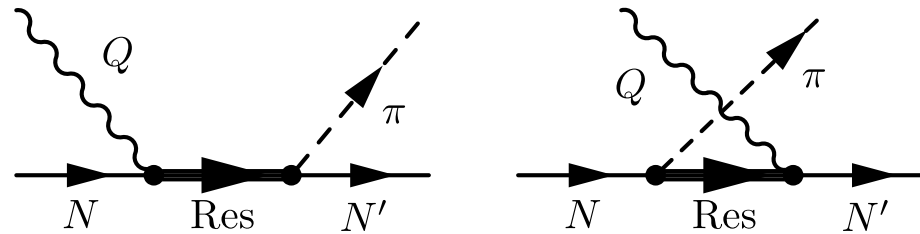
Figure 2: Inclusive per nucleon cross section of  $\nu$  on isoscalar target, figure from Qian, PPNP, 83, 1-30 (2015)



$\pi$  production via  $\Delta$  resonance, Quasi-Elastic Scattering, Credit: Tomasz Golan, [Link](#) for 5 / 36

**And resonance contribution gets complicated when  $W > 1.4$  GeV**

- Leaves  $\Delta(1232)$  dominating region  $\rightarrow$  Other  $N^*$ s contribute significantly.
- More inelastic channels like  $2\pi$  start to show up.
- Ideally those contributions should be added coherently.
- The non-resonant background also starts to contribute significantly.



Credit: Kajetan Niewczas, [Link](#)

# Challenges

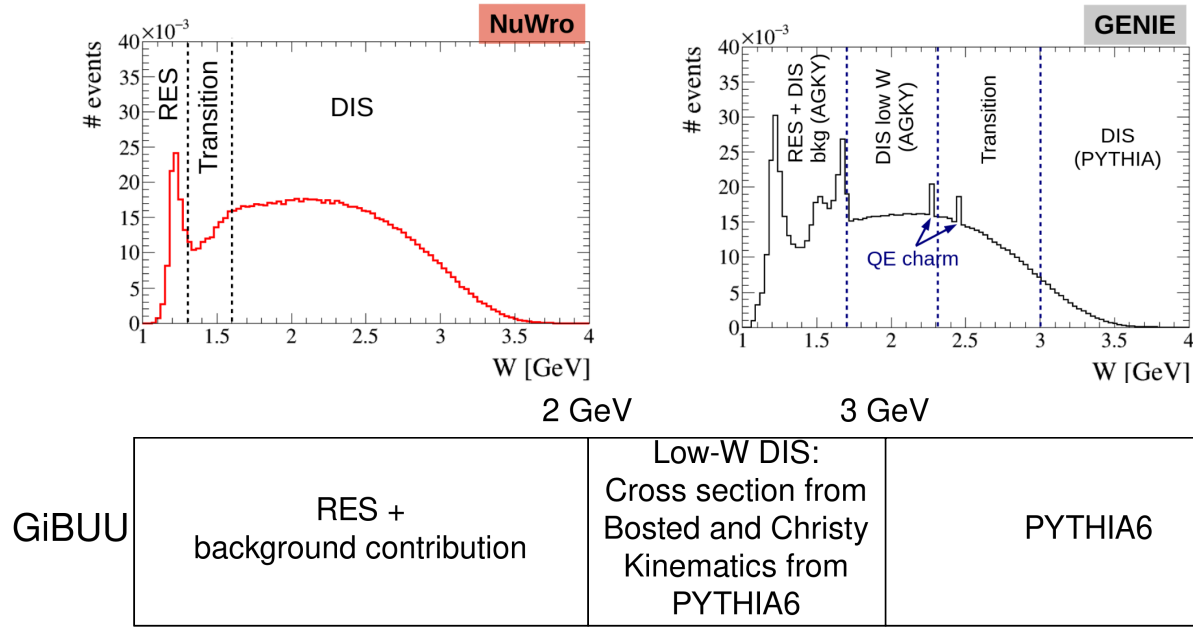


Figure 3: Overview of model composition in different generators. upper plot credit: Kavli IPMU, [link](#)

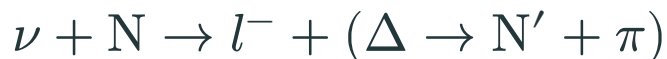
All models have RES region, transition region and DIS (PYTHIA) region.



NUWRO



## The $\Delta$ Production-Decay Model



- Default model for NuWro for RES.
- $\Delta$  excitation via *Adler-Rarita-Schwinger formalism*.
- Axial form factor extracted from bubble chamber data. Graczyk, K. M. *et al.* “ $C_5^V$  axial form factor from bubble chamber experiments”
- $\Delta$  decay: angular distribution  $f_\Delta(\Omega_\pi^*)$  in Adler frame is from ANL/BNL data. (The only data available at that time.)

$$\frac{d^4\sigma}{dW dQ^2 d^2\Omega_\pi^*} = \frac{d^2\sigma}{dW dQ^2} f_\Delta(\Omega_\pi^*)$$

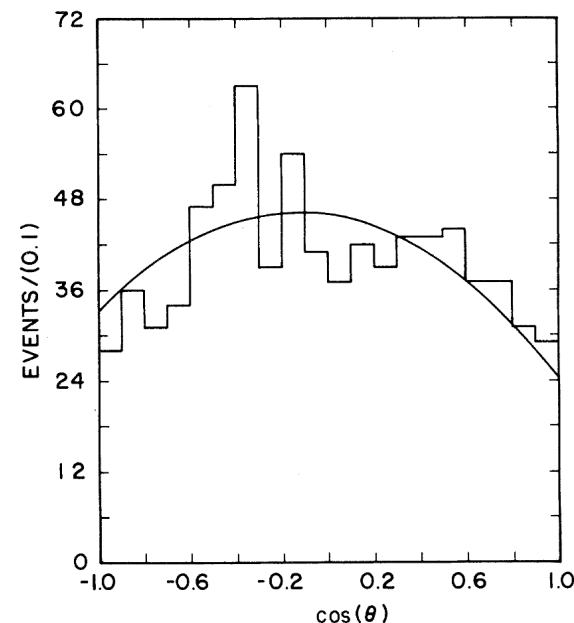


FIG. 15. Distribution of events in the pion polar angle  $\cos\theta$  for the final state  $\mu^-p\pi^+$ , with  $M(p\pi^+) < 1.4$  GeV. The curve is the area-normalized prediction of the Adler model.

Figure 4:  $\Delta$  decay angular distribution from ANL. Radecky, G. M. *et al.* Phys. Rev. D 25, 1161 (1982)

## Non-resonant Background and more inelastic channel in NUWRO with $\Delta$ Production-Decay Model

- Extracted from DIS contribution. (**quark-hadron duality**)
- PYTHIA6 hadronization and Bodek-Yang cross section.
  - Multiplied with a scaling factor to combine with  $\Delta$  contribution for single  $\pi$  production channel.
  - For more inelastic channel, directly contribute to the cross section.
  - Implemented by adding a transition region.

## Transition region for NUWRO

- **quark-hadron duality**: aims to include contribution from heavier resonance contribution to the region beyond  $\Delta$  region ( $W > 1.3$  GeV).
- the full cross section in RES/DIS region is defined as:

$$\sigma = \sigma^{\text{SPP}} + \sigma^{\text{non-SPP, PYTHIA}},$$

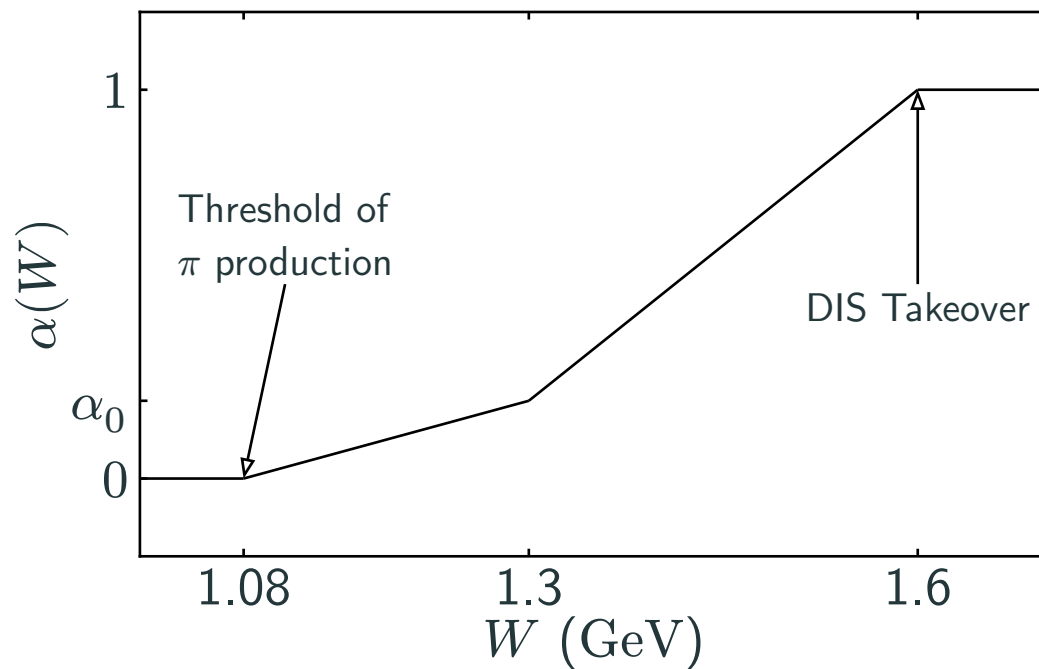
The “non-SPP” stands for the more inelastic channel contribution including multi- $\pi$  production.

- The single pion production part:

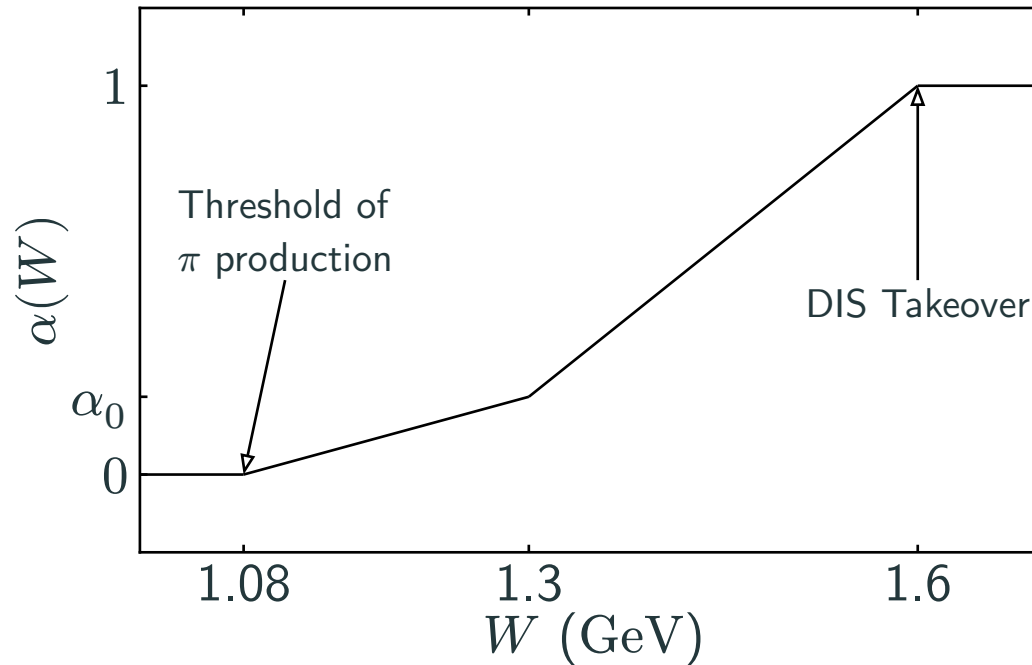
$$\sigma^{\text{SPP}} = [1 - \alpha(W)]\sigma^{\Delta} + \alpha(W)\sigma^{\text{PYTHIA, SPP}},$$

$\sigma^{\text{PYTHIA, SPP}}$  stands for the contribution from the DIS formalism in PYTHIA6.

- The  $\alpha(W)$  describes the non-resonant contribution and transition behavior.



- The  $\alpha(W)$  describes the non-resonant contribution and transition behavior.



**I would not trust PYTHIA for anything with less than 6 pions**

S. Prestel, "The LUND hadronization model"

The model works great... until it doesn't (when high  $W$  contributes to the prediction)

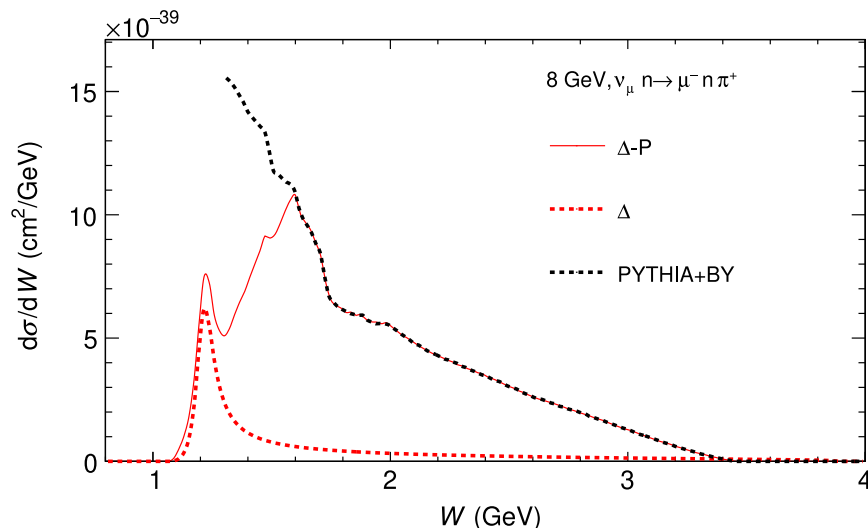


Figure 5: The single pion production prediction from **NUWRO  $\Delta$  model** for 8 GeV neutrino on n.  $\Delta$ -P refers the full model with background contribution. Yan *et al.* arXiv:2405.05212 [hep-ph]

- Heavy usage of PYTHIA6 at lower  $W$  region  $\rightarrow$  over-prediction.
- Peak around  $W = 1.6$  GeV is from transition.

## Addressing the issue: **Hybrid Model** in NUWRO

- LEM model that describes resonances contribution (incl.  $\Delta$ ,  $P_{11}(1440)$ ,  $D_{13}(1520)$ ,  $S_{11}(1535)$ )
  - Similar to Valencia model.
- Background contribution from tree level diagrams calculation (ChPT based).
- Reggeized ChPT-background higher  $W$ .
  - Replace t-channel meson exchange with Regge propagator.
- **Coherent** addition of all components.

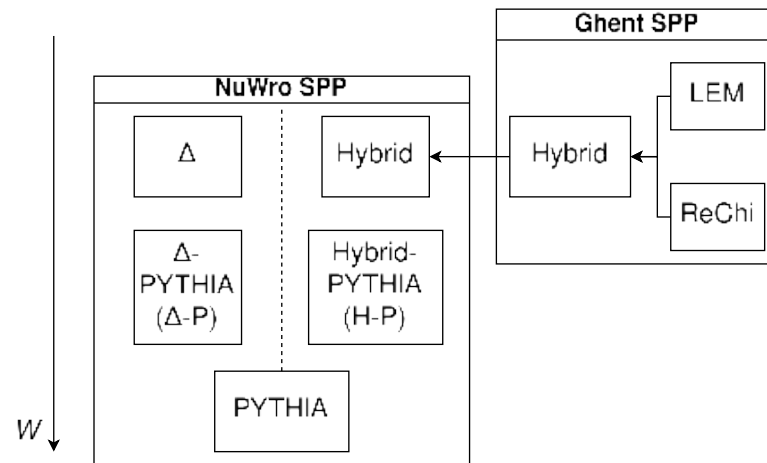


Figure 6: The structure of the Hybrid model. Yan *et al.* arXiv:2405.05212 [hep-ph]



- Produces 4-fold differential cross section  $\frac{d^4\sigma}{dW dQ^2 d^2\Omega_\pi}$ .
- Allowed minimal usage of PYTHIA6 for SPP:
  - Compared with the case for  $\Delta$  model,  $\alpha_0$  can be always set to 0: no more DIS contributed SPP in  $\Delta$  region.
  - Transition region can be pushed to very high  $W$ .

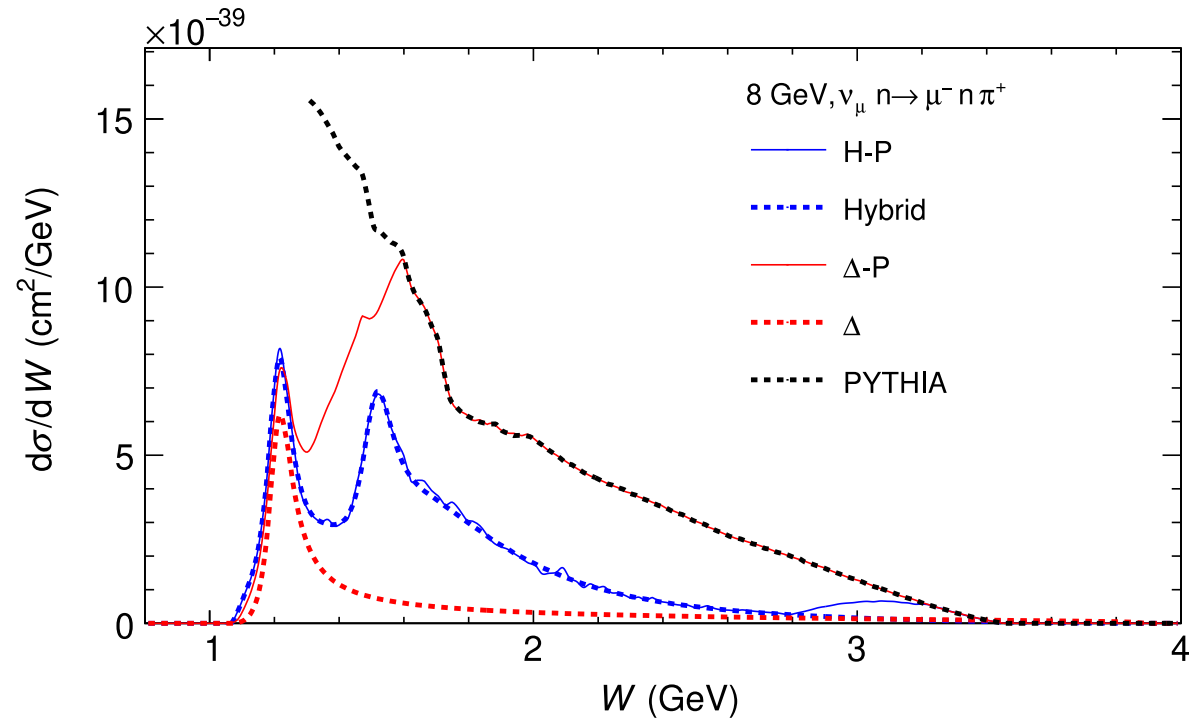
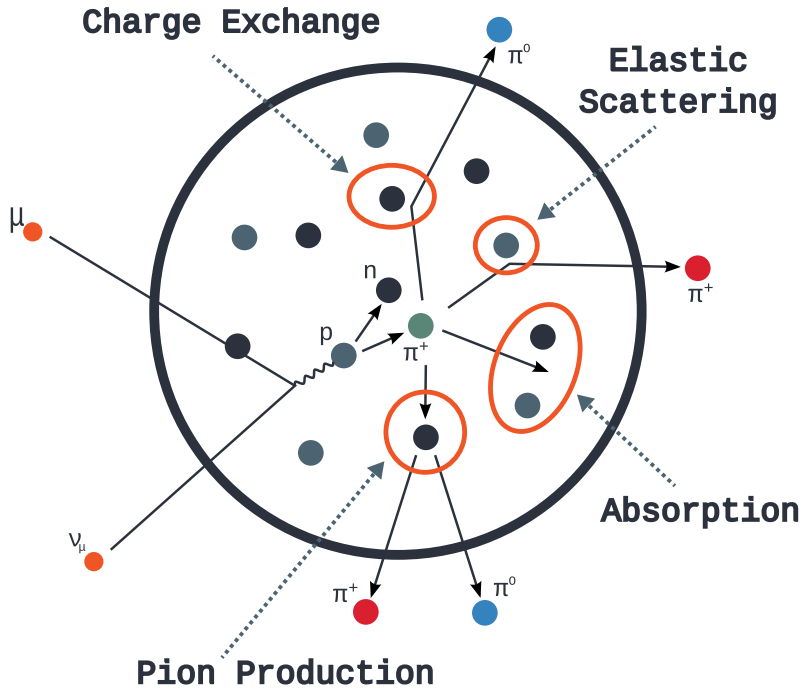


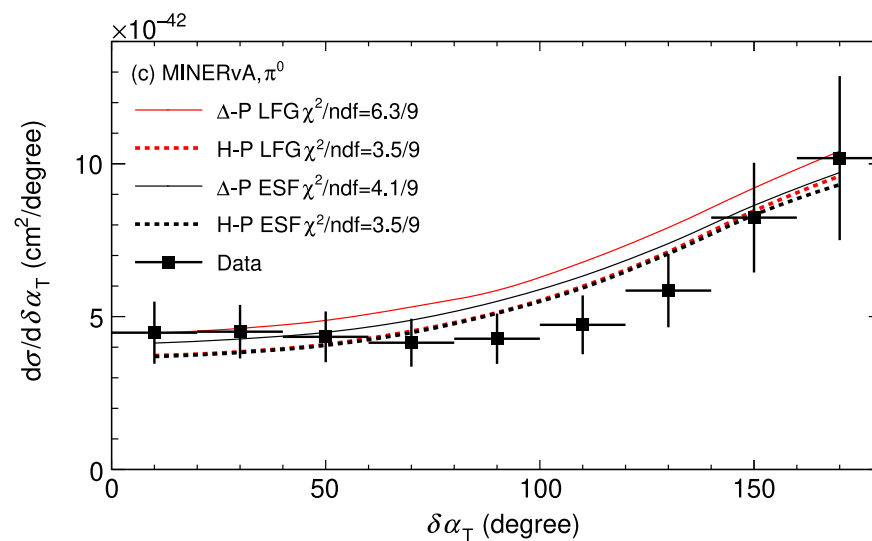
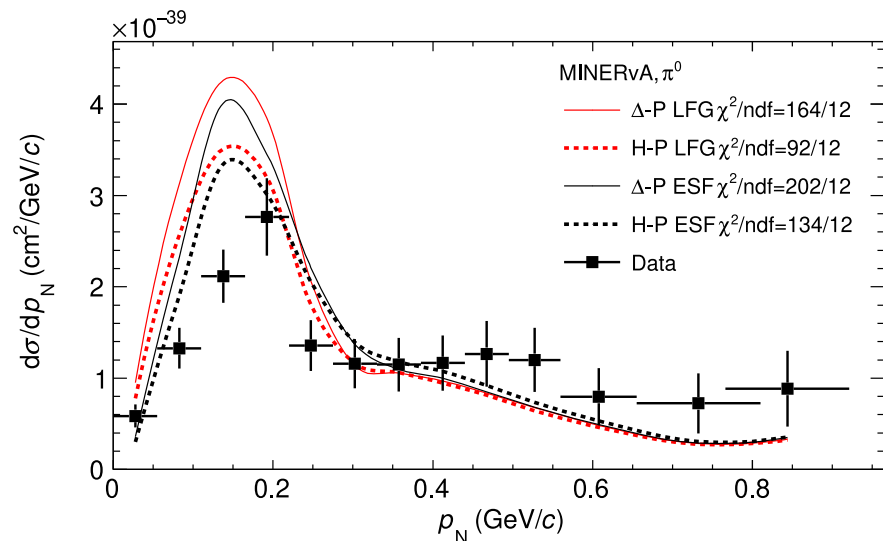
Figure 7: The  $\frac{d\sigma}{dW}$  result comparison between the  $\Delta$  model and the Hybrid model. Yan *et al.* arXiv:2405.05212 [hep-ph]

And when comparing with data, Final State Interaction (FSI) will be needed:



- $\pi$  created at primary vertex will interact with the nucleus.
- Modeled by intranuclear cascade.
  - low energy  $\pi$ : Oset *et al.*
  - High energy  $\pi$ : From scattering experiments.
  - Angular distribution from SAID model.

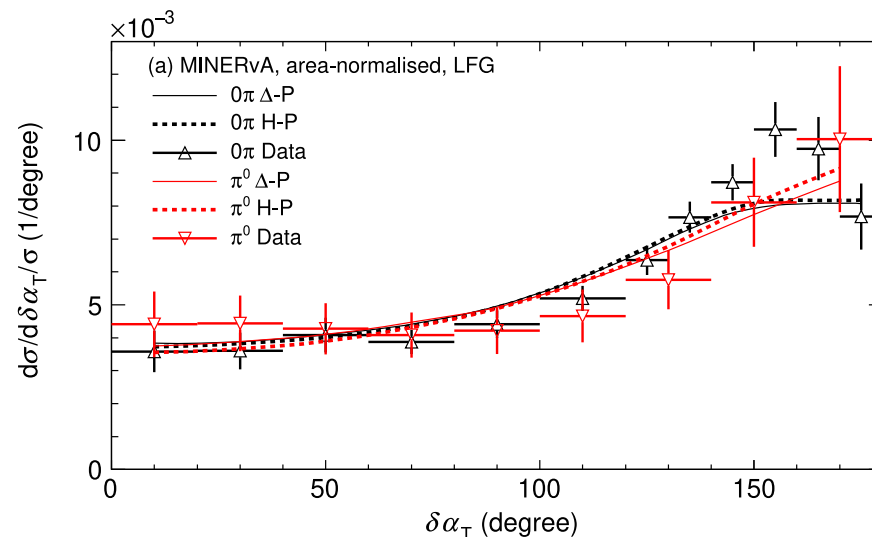
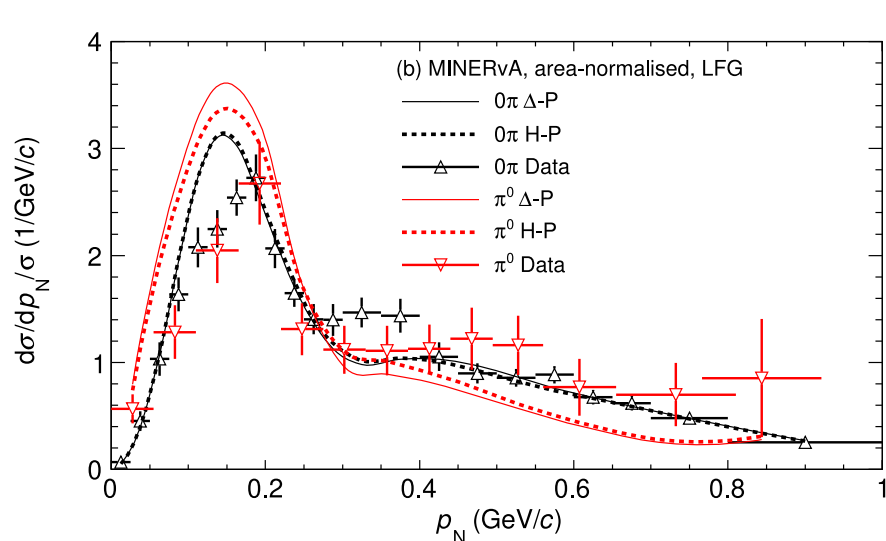
Credit: Tomasz Golan, [Link](#)



Model to data comparisons against MINERvA TKI measurements, the hybrid model showed significant improvements in  $\chi^2$

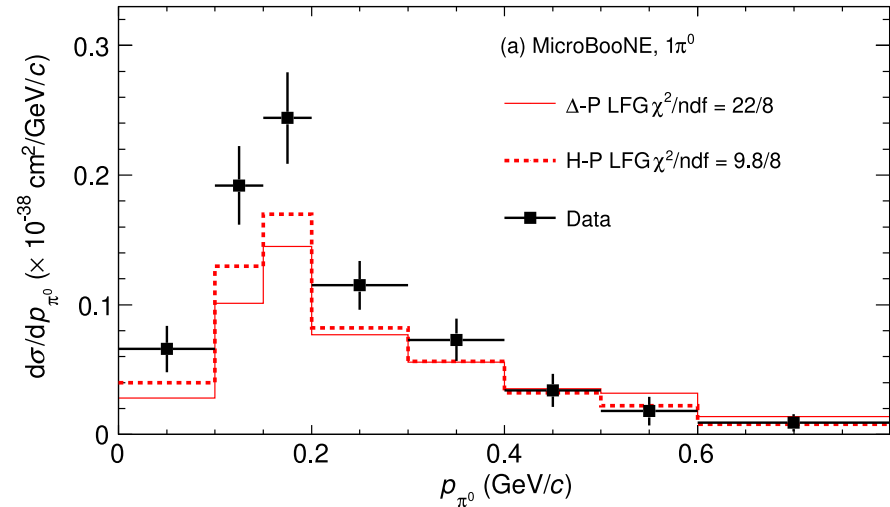
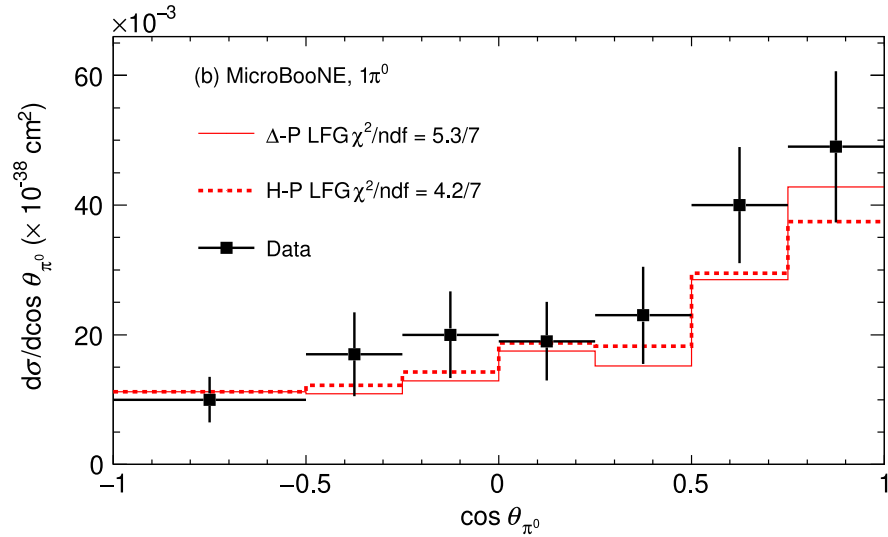
For the definitions of  $p_n$  and  $\delta\alpha_T$ , please refer to Xiangguo Lu's talk in this morning.

Yan *et al.* arXiv:2405.05212 [hep-ph], data from MINERvA collaboration *Phys. Rev. D* 102 (2020) 072007



The shape agreements against  $0\pi$  and  $\pi^0$  is also improved in new model. Also note that, changes in  $\pi$  production model don't change prediction in  $0\pi$  channel, which is QEL dominated.

Yan *et al.* arXiv:2405.05212 [hep-ph], data from MINERvA collaboration *Phys. Rev. D* 102 (2020) 072007



Model to data comparisons against MicroBooNE  $CC1\pi^0$  measurements, the hybrid model showed improvements in the distribution of  $p_{\pi^0}\chi^2$

Yan *et al.* arXiv:2405.05212 [hep-ph], data from MicroBooNE Collaboration, arXiv:2404.09949 [hep-ex]

GENIE



## Model composition for GENIE relating to $\pi$ production

- GENIE provide a comprehensive model for neutrino interaction.
- Many choices to describe the resonances
  - Resonance contribution stops at  $W = 1.7 \text{ GeV}$
- The non-resonant background is modeled using the AGKY model.
- Contains linear transition region from AGKY to PYTHIA6

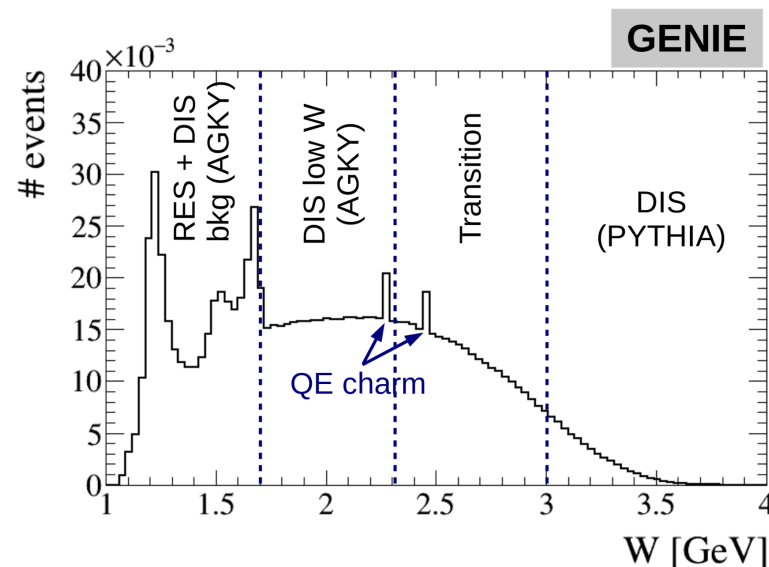


Figure 11: Kavli IPMU “Generators for the SIS/DIS region”



## Possible choices for the resonance model in GENIE

- Rein-Sehgal
  - Original paper models 18 resonances, 16 are included in GENIE
  - Lepton mass related effects not included originally, but kinematics related effects are included.
  - $M_A = 1.2 \text{ GeV}$
- Berger-Sehgal
  - Upgrade of Rein-Sehgal model.
  - Lepton mass contribution added.
  - Default for G18\_10 and later tunes.
- Berger-Sehgal-Kuzmin-Lyubushkin-Naumov
- ...

## The low- $W$ model for SIS/DIS region: AGKY model:

- AGKY is a Koba-Nielsen-Olesen (KNO) Scaling based hadronization model, T. Yang, *et al.* Eur. Phys. J. C 63, 1 (2009):

$$\langle n_{\text{ch}} \rangle = a_{\text{ch}} + \beta_{\text{ch}} \ln \frac{W^2}{\text{GeV}^2/c^4}$$

$$\langle n_{\pi^0} \rangle \approx 0.5 \langle n_{\text{ch}} \rangle$$

$$\langle n \rangle P(n) = f\left(\frac{n}{\langle n \rangle}\right)$$

$$f\left(\frac{n}{\langle n \rangle}\right) \stackrel{\text{parameterize}}{=} L\left(\frac{n}{\langle n \rangle}\right) = \frac{2e^{-c} c^{c\frac{n}{\langle n \rangle} + 1}}{\Gamma\left(\frac{n}{\langle n \rangle} + 1\right)}$$

- Parameters in AGKY ( $\alpha, \beta, c$ ) model was initially from fitting to bubble chamber data.

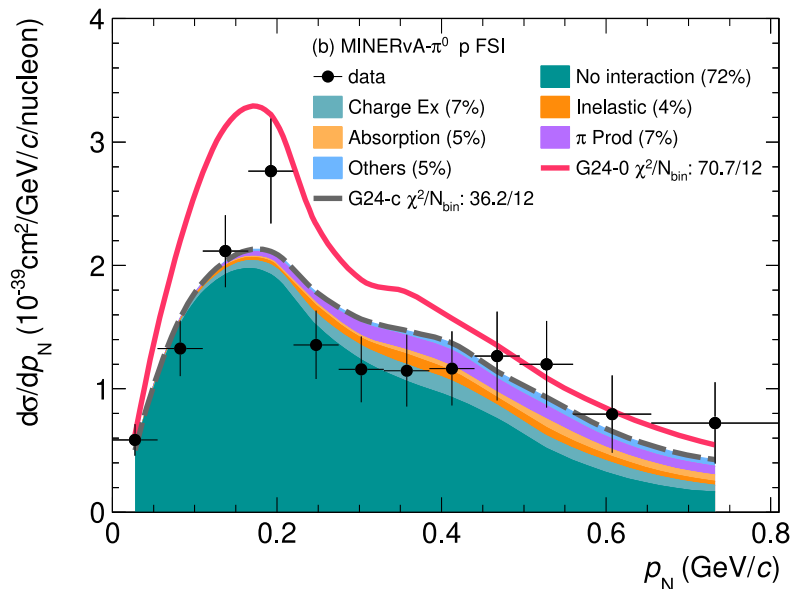
## Tunning effort within GENIE

- Start with comprehensive model, with internal uncertainties.
- Fit those uncertain parameters to experimental data.

**Tunning itself is a long story, involving too many aspects, but there are many great document about it.**

- Global CC inclusive,  $1\pi$ , and  $2\pi$  data sets
  - Tune the Shallow inelastic region
  - Phys. Rev. D 104, 072009 (2021)
- Average charged multiplicity data
  - AGKY and PYTHIA
  - Phys. Rev. D 105, 012009 (2022)
- Nuclear tunes
  - Phys. Rev. D 106, 112001 (2022)
  - And FSI tunes!

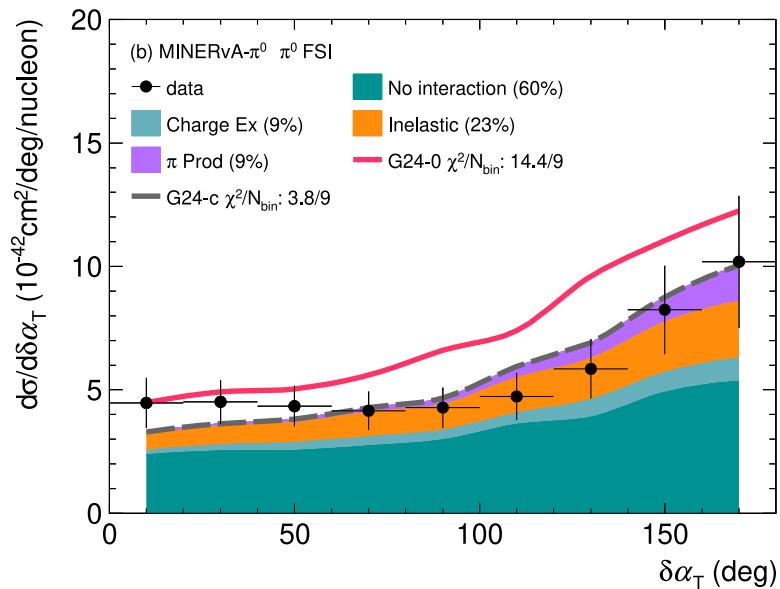
## And FSI can also be tuned



Weijun Li, *et al.* arXiv:2404.08510 [hep-ex] PRD in press  
 G24-0 refers to original GENIE G24 model and G24-c refers to the tuned result.

- Some tension against data can be resolved by tuning FSI.
- The work tuned GENIE hA (effective intranuclear transport model) against T2K and MINERvA TKI data.
  - TKI is sensitive to FSI.
- Only tuned factors for fermi motion and scaling factor for re-scattering. (Not the interaction model)
- Improved agreements with the data.

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GIBUU



The tool shared between few-body community and neutrino community: GIBUU

## Powerful tool of GIBUU: Connect neutrino scattering prediction to electron scattering prediction

- Cross section predictions are connected with **structural functions**  $W_i = W_i(Q^2, W)$ , with the formalism of GIBUU, the neutrino (anti-neutrino) nucleon scattering cross section is:

$$\frac{d^2\sigma}{dE_l d\Omega} = \frac{G^2}{2\pi^2} \left( \frac{M_W^2}{M_W^2 + Q^2} \right)^2 E_l^2 \left[ 2W_1(Q^2, W) \sin^2 \frac{\theta}{2} + 2W_2(Q^2, W) \cos^2 \frac{\theta}{2} \mp W_3(Q^2, W) \frac{E + E_l^2}{m} \sin^2 \frac{\theta}{2} \right]$$

The  $W_3(Q^2, W)$  donates the interference term between vector contribution and axial contribution, thus only preset in neutrino/anti-neutrino scattering.

- GiBUU uses the connection between electron scattering and neutrino scattering to model **2p2h** and **background** contribution:

$$W_1^\nu = \left[ 1 + \left( \frac{2m}{\mathbf{q}} \right)^2 \left( \frac{G_A(Q^2)}{G_M(Q^2)} \right)^2 \right] 2(\mathcal{T} + 1)W_1^e,$$

$$W_3^\nu = 2 \left( \frac{2m}{\mathbf{q}} \right)^2 \frac{G_A(Q^2)}{G_M(Q^2)} 2(\mathcal{T} + 1)W_1^e,$$

- The functions  $W^e$  are fit by Bosted and Christy to electron scattering data.
- The resonance contribution for neutrino scattering is modeled using vector form factors from the MAID2007 analysis and axial from PCAC method.



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$$W_3^\nu = 2 \left( \frac{2m}{q} \right)^2 \frac{G_A(Q^2)}{G_M(Q^2)} 2(\mathcal{T} + 1)W_1^e,$$

nucleon mass

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↑ 3 momentum transfer

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$$W_3^\nu = 2 \left( \frac{2m}{\mathbf{q}} \right)^2 \frac{G_A(Q^2)}{G_M(Q^2)} 2(\mathcal{T} + 1)W_1^e,$$

Axial coupling factor

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$$W_1^\nu = \left[ 1 + \left( \frac{2m}{\mathbf{q}} \right)^2 \left( \frac{G_A(Q^2)}{G_M(Q^2)} \right)^2 \right] 2(\mathcal{T} + 1)W_1^e,$$

$$W_3^\nu = 2 \left( \frac{2m}{\mathbf{q}} \right)^2 \frac{G_A(Q^2)}{G_M(Q^2)} 2(\mathcal{T} + 1)W_1^e,$$

↑ EM isovector coupling factor

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Isospin for the nucleus

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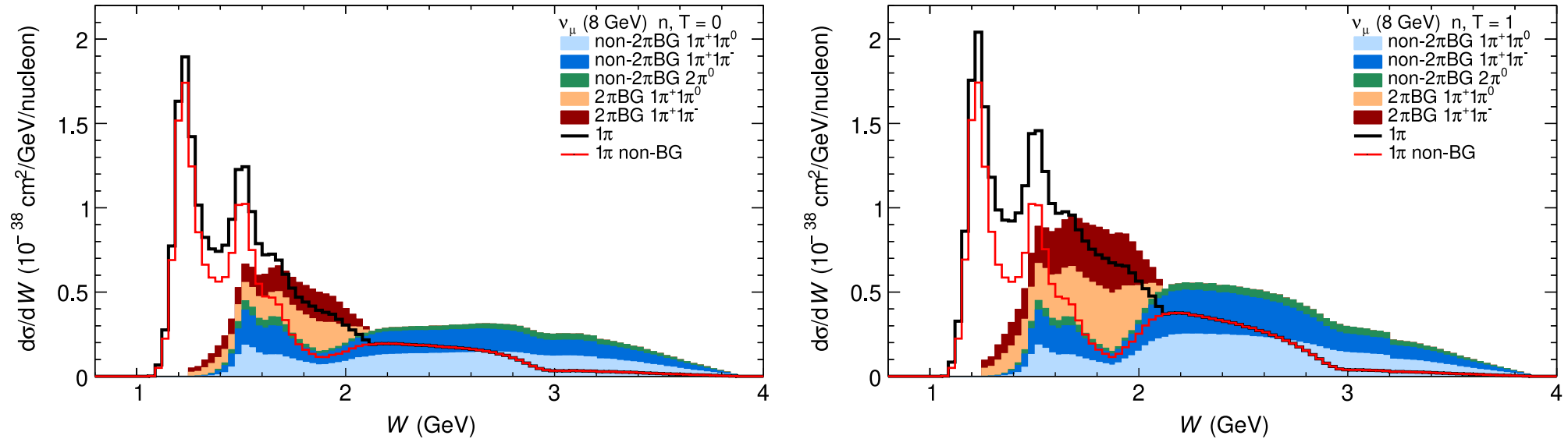


Figure 14: The demonstration of the affection of  $\mathcal{T}$  factor.

The  $\mathcal{T}$  factor scales the

- Background contribution
- Part of DIS with cross section modeled by Bosted and Christy fit

by a factor of  $\mathcal{T} + 1$ .

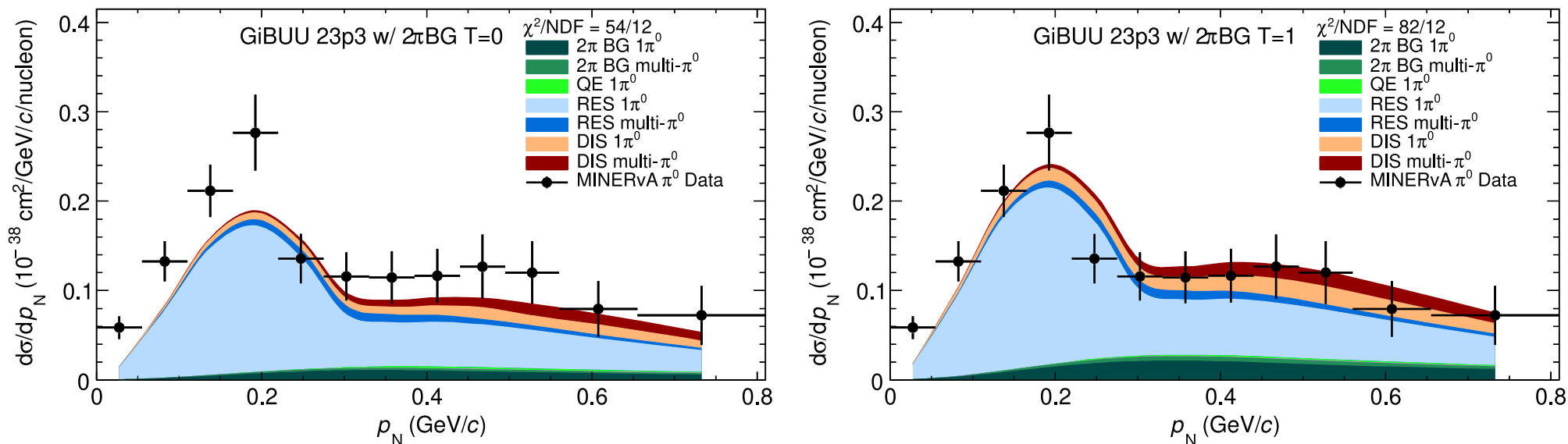


Figure 15: Comparison with MINERvA TKI with different  $T$  parameter.

For experiments with C target, the result from  $\mathcal{T} = 0$  (physical for C-12) yields better agreement with data.

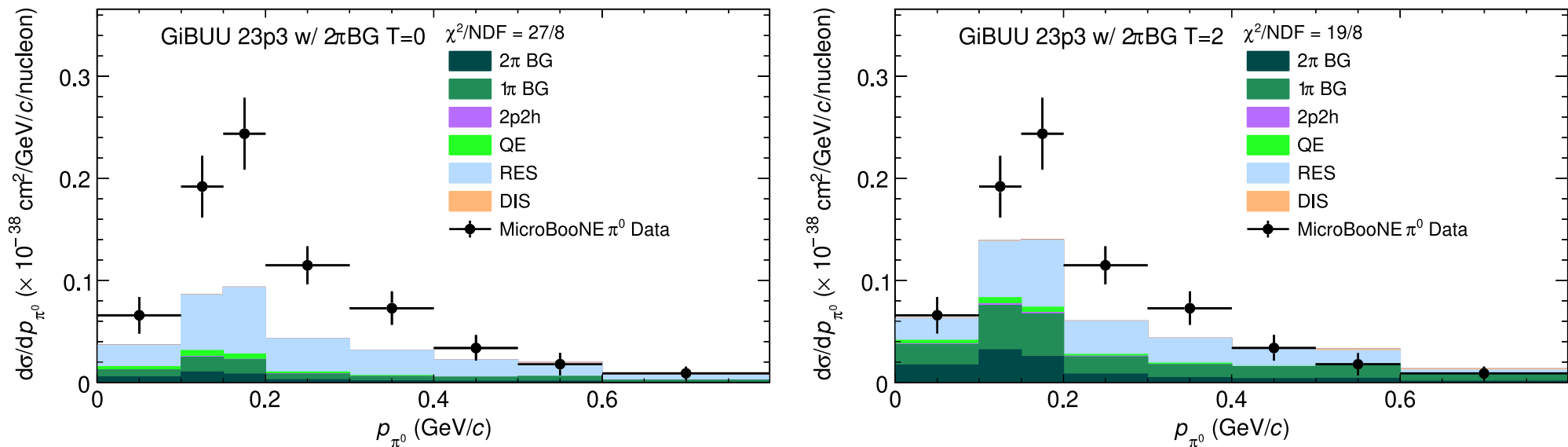


Figure 16: Comparison with MicroBooNE  $\text{CC}\pi^0$  data with different  $T$  parameter.

For experiments with Ar target, the result from  $\mathcal{T} = 2$  (physical for Ar-40) yields better agreement with data.



# Summary

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# Summary

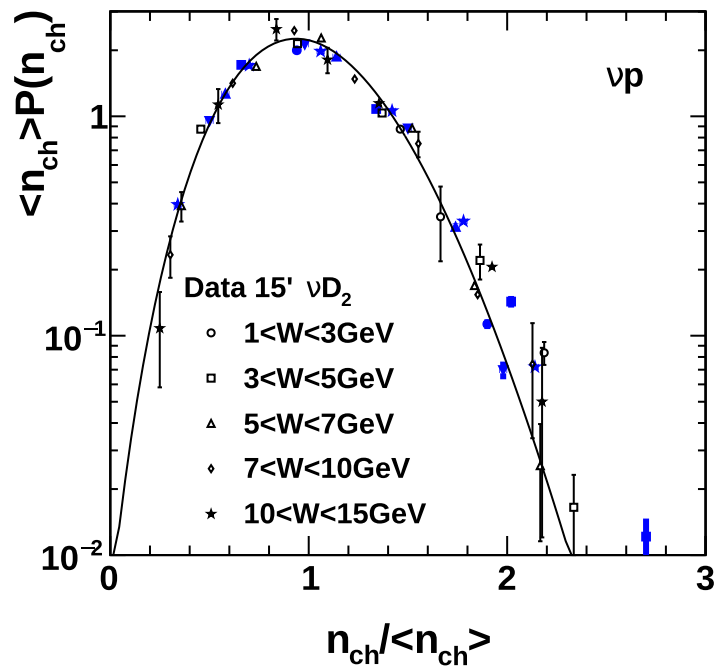
- This is a brief summary of the strategy of different neutrino generators employed pion production related process.
- There are some aspects related to nucleus effect not covered here:
  - Fermi motion model
  - In medium effect of the resonances
- The  $\pi$  production related region is a region with many challenges, but also with many opportunities.
- Many efforts being done to improve the GeV generators, getting them prepared for **next generation neutrino experiments**.
  - Soon to be running: atmospheric neutrino at JUNO
  - Long term: accelerator neutrino at DUNE and Hyper-K
- Systematic studies of neutrino pion production with dedicated data are much required.

Thank you!

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Backup

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Júlia Tena-Vidal, *et al.* Phys. Rev. D 105, 012009

$n_{ch} / \langle n_{ch} \rangle$  follows a distribution for different  $W$ .

## Idea

- pQCD, hard  $qq$  scattering scattering
- Creates a string
- String breaks, hadronization
- Phenomenological fragmentation function

$$f(z) \propto \frac{(1-z)^a}{z} \exp\left(-\frac{bm_{\perp}^2}{z}\right)$$

- Fit to data (e.g. to HERMES)

## FSI for GIBUU

- Different from all other generators: transport model (BUU) instead of cascade.

Contains mean-field potential      Collision term

$$\left[ \partial_t + (\Delta_p H_i) \Delta_r - (\Delta_r H_i) \Delta_p \right] f_i = C[f_i, f_j, \dots]$$

Drift term

With Test particle to describe nucleus state:

$$f \sim \sum_i \delta(\vec{r} - \vec{r}_i(t)) \delta(\vec{p} - \vec{p}_i(t))$$

propagates phase-space distributions, not particles.

Replace:

$$\frac{1}{t - m_\pi}$$

to

$$\mathcal{P}_\pi(t, s) = -\alpha'_\pi \varphi_\pi(t) \Gamma[-\alpha_\pi(t)] (\alpha'_\pi s)^{\alpha_\pi(t)}$$

- Based on crossing symmetry
- Amplitude can be expanded in a Legendre series
- Do intergral on complex plane
- See [This talk](#)