

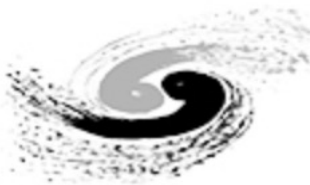
第二届桂子山粒子物理前沿论坛

LHCb upgrades

Xuhao Yuan

IHEP

2023-10-14

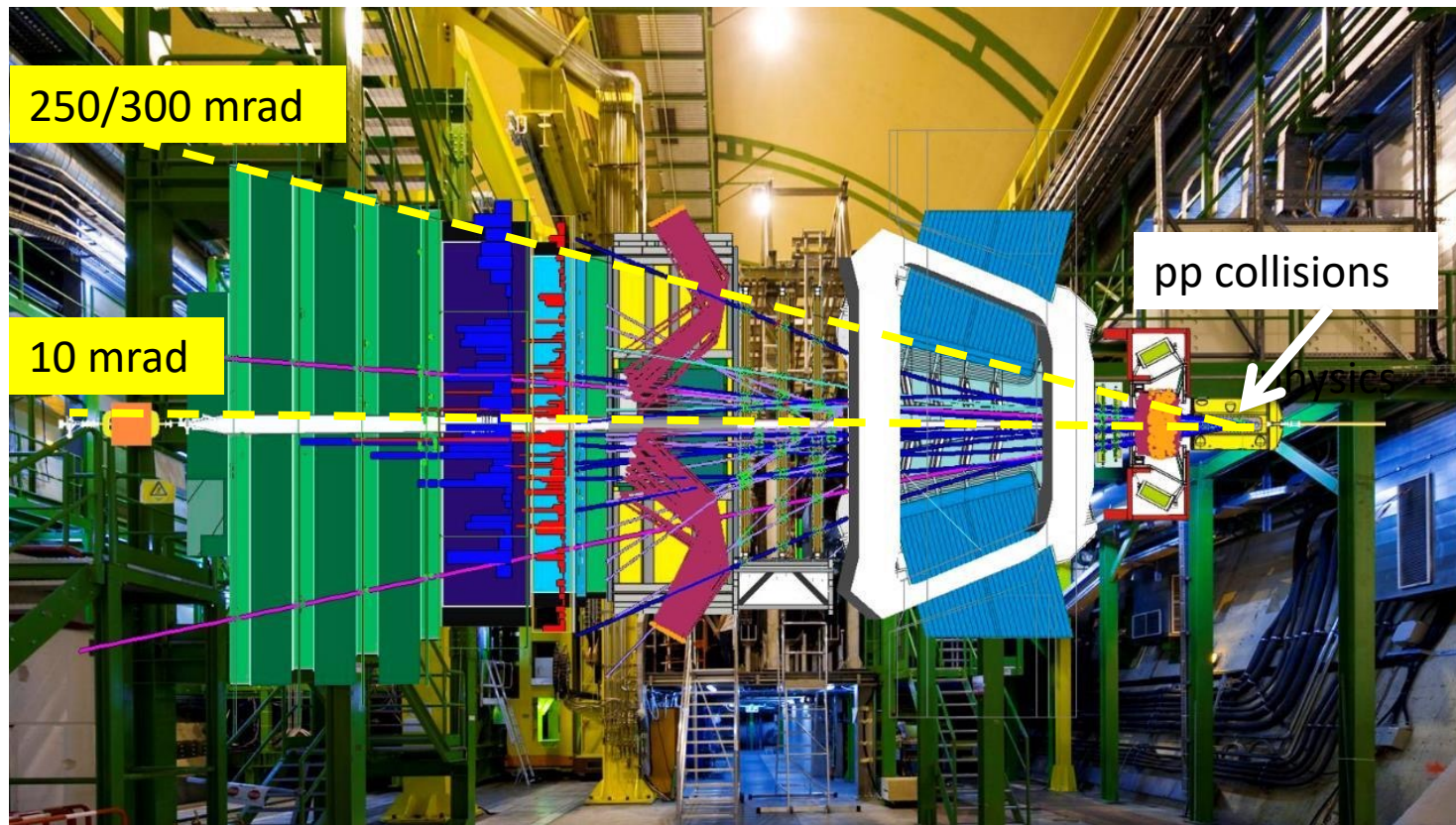


- Introduction
- Experiment sensitivity
- LHCb upgrades
 - ❑ Upgrade I (2019 - 2023)
 - ✓ Upgrade Ib (2026 – 2028)
 - ❑ Upgrade II (2032 -)
- Summary

LHCb: a forward spectrometer @ LHC



Detector before 2019



Main physics goal

- To study b & c sectors on CPV, rare decays, new physics...

$\Delta p/p = 0.5\% @ < 20 \text{ GeV}/c, 1\% @ < 200 \text{ GeV}/c$

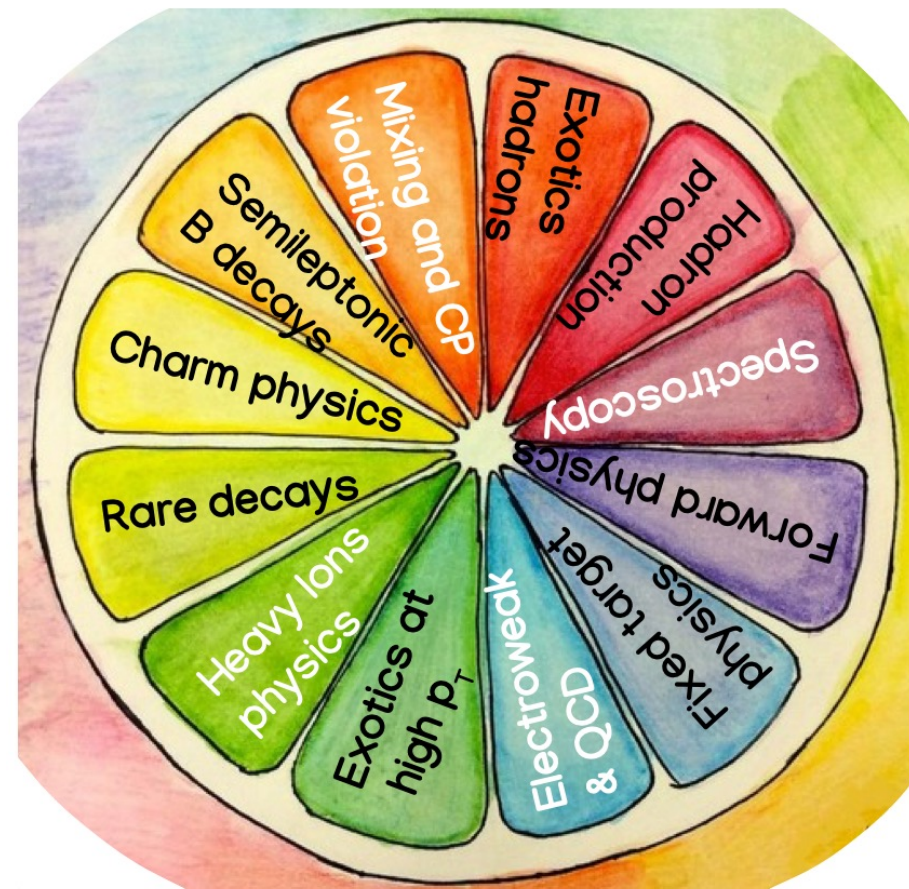
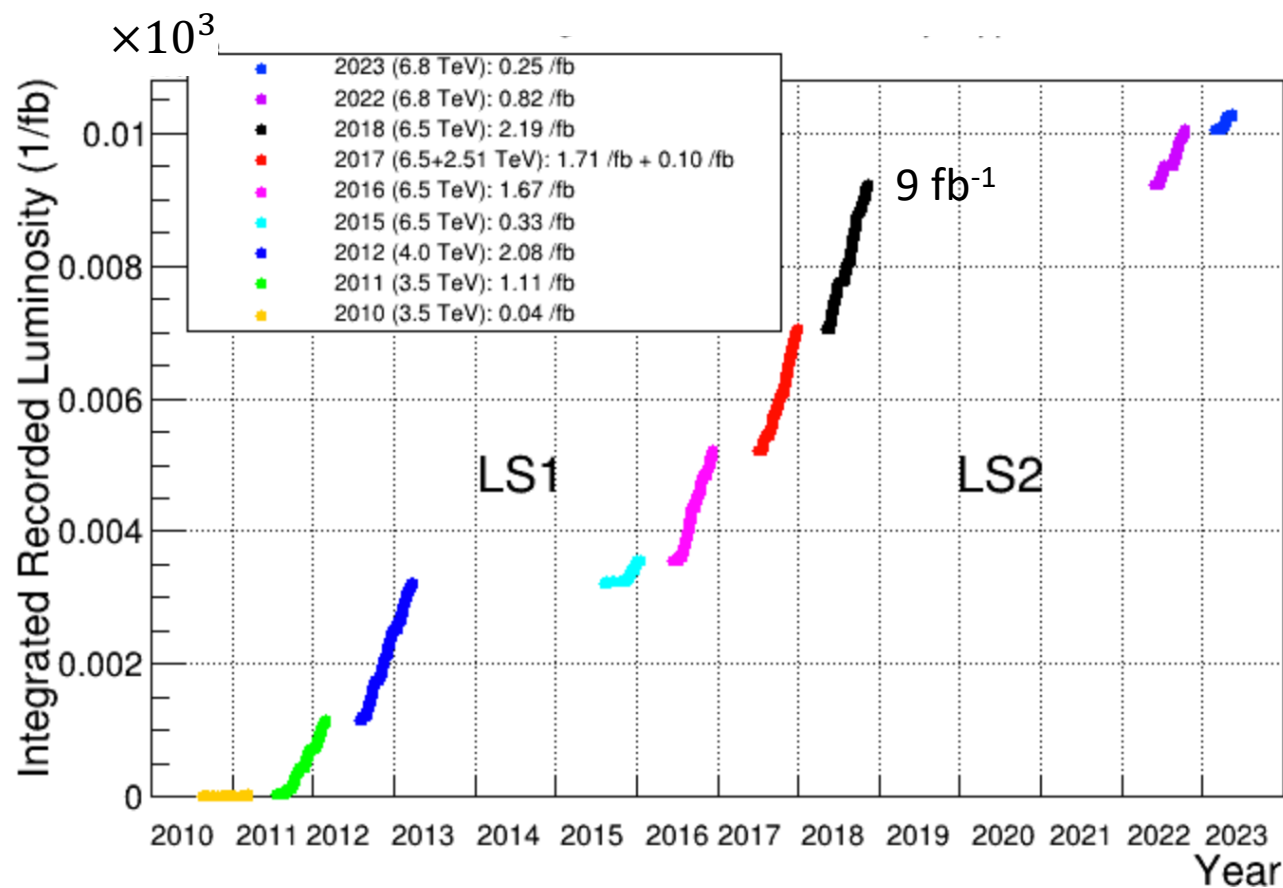
IP resolution $\sim 15 + 29/p_T [\text{GeV}/c] \mu\text{m}$

Decay time resolution 45 fs ($B_s \rightarrow J/\psi\phi$)

Kaon ID $\sim 95\%$ for 5% $\pi \rightarrow K$ mis-ID probability



A decade of important discoveries and precision measurements (9 fb⁻¹ pp data)



LHCb Upgrades



Upgrade I (U1), started in LS2 and installation completed in this March

$$\mathcal{L}_{\max} \sim 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$$

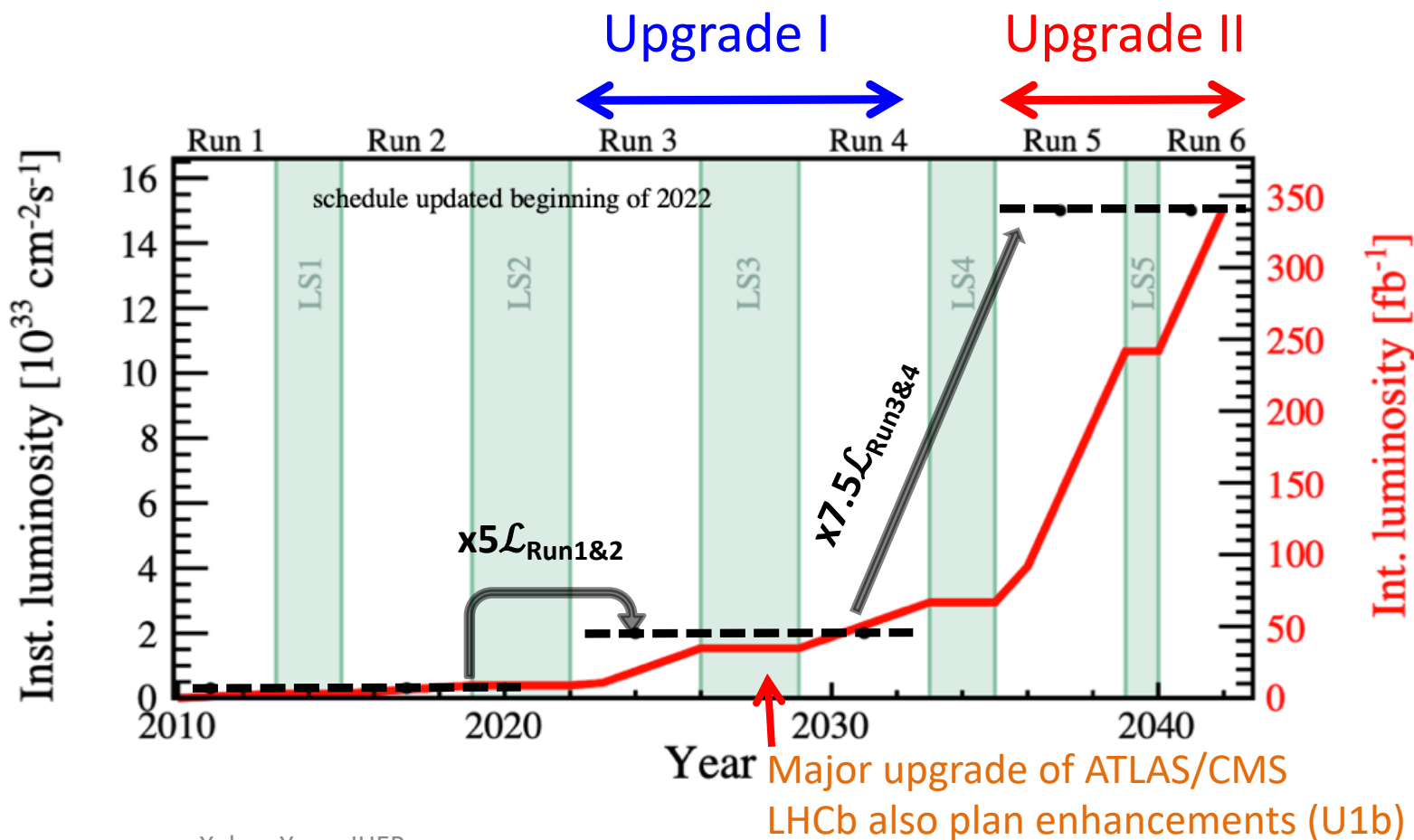
$$\mathcal{L}_{\text{int}} \sim 50 \text{ fb}^{-1}$$

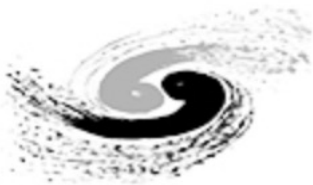
Upgrade II (U2), starts after LS4

$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

Some smaller detector consolidation and enhancements in LS3 (2026) \Leftarrow U1b





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$B_s \rightarrow \mu^+ \mu^-$



LHCb 9 fb⁻¹ data result:

[Phys.Rev.Lett. 128 \(2022\) 4, 041801](#)

$B(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46+0.15}_{-0.43-0.11}) \times 10^{-9}$
 $B(B^0 \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-10}$ @ 95% C.L.
 15% accuracy on B_s^0 , compatible with SM

SM: $(3.66 \pm 0.14) \times 10^{-9}$
[JHEP 10 \(2019\) 232](#)

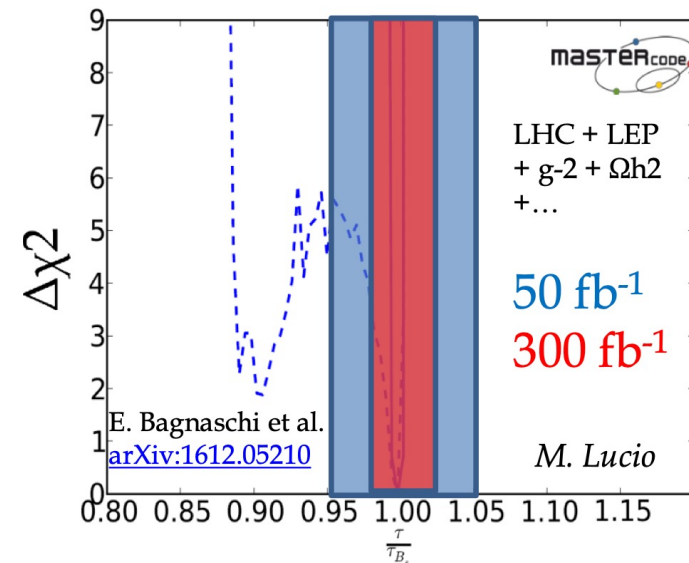
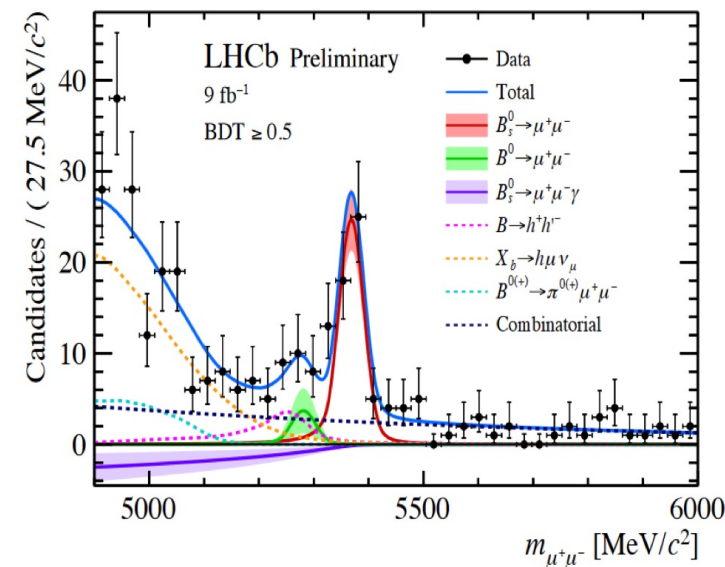
Expected precision

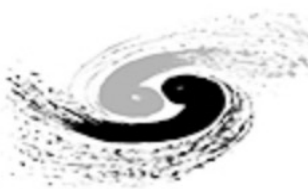
- ~7% @ U I, ~2% @ U II
- Systematics dominated by $f_s/f_d \sim 3\%$

- Large cross section
- Efficient muon trigger
- Excellent σ_{VTX} & PID

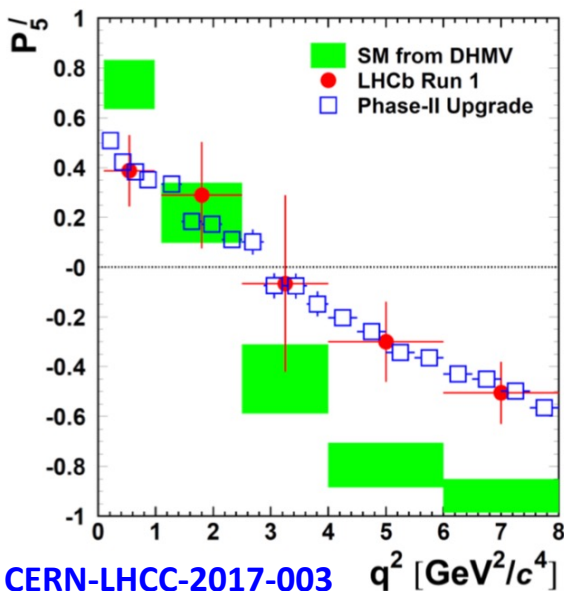
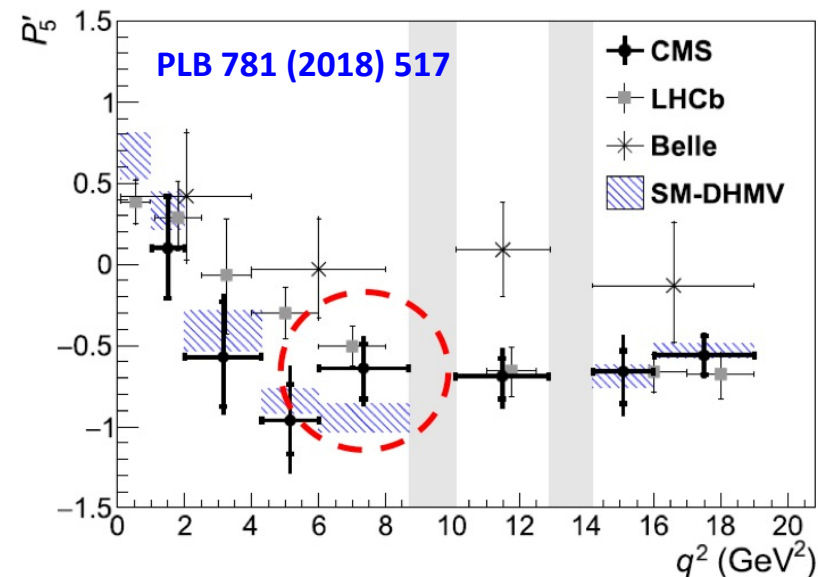
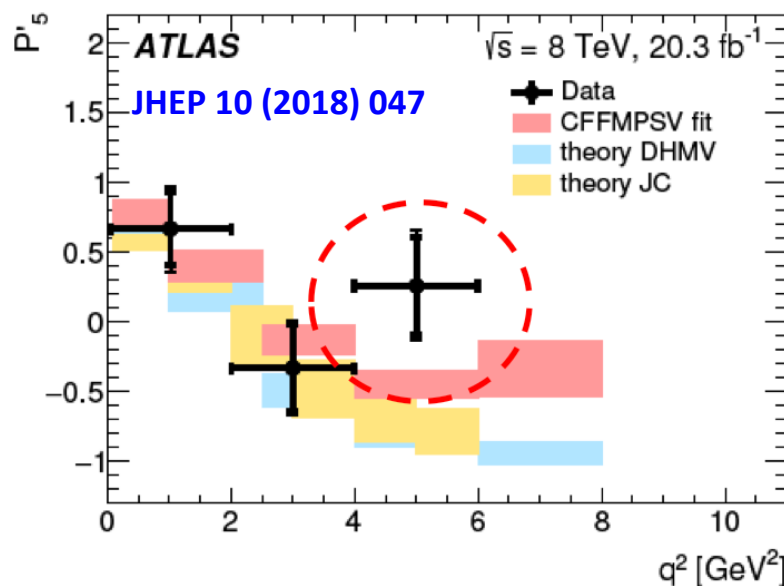
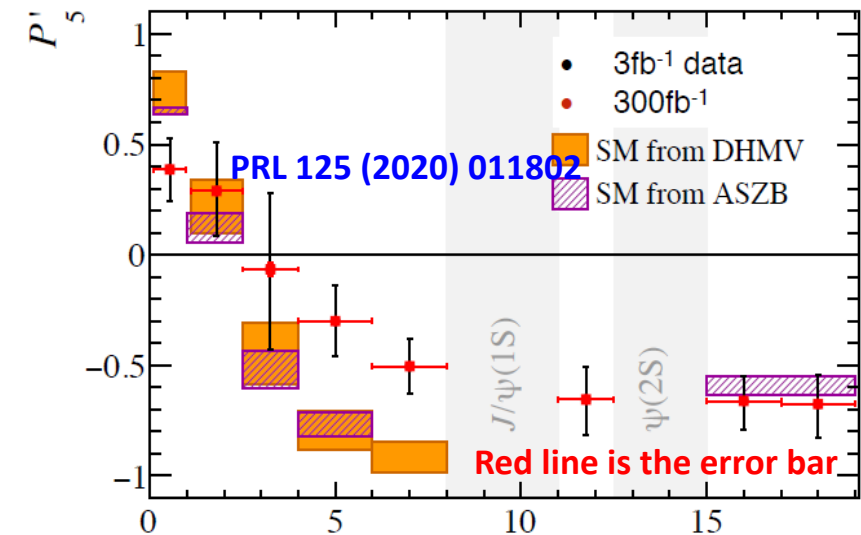
The effective B_s^0 lifetime in $B_s^0 \rightarrow \mu^+ \mu^-$ also sensitive to NP for precisions of percent

- $\sigma(\tau)$, 50 fb⁻¹ ~ 5%
- $\sigma(\tau)$, 300 fb⁻¹ ~ 2%





$$B^0 \rightarrow K^* \mu^+ \mu^-$$



Possible discrepancies at low q^2

- Observed from LHCb+CMS+ATLAS

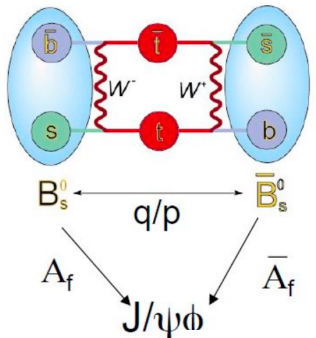
The q^2 dependence will be known with high precision

- Very valuable input to discussion on NP vs hadronic power corrections to SM
- Larger datasets would allow finer q^2 binning

B_s^0 mixing phase, ϕ_s



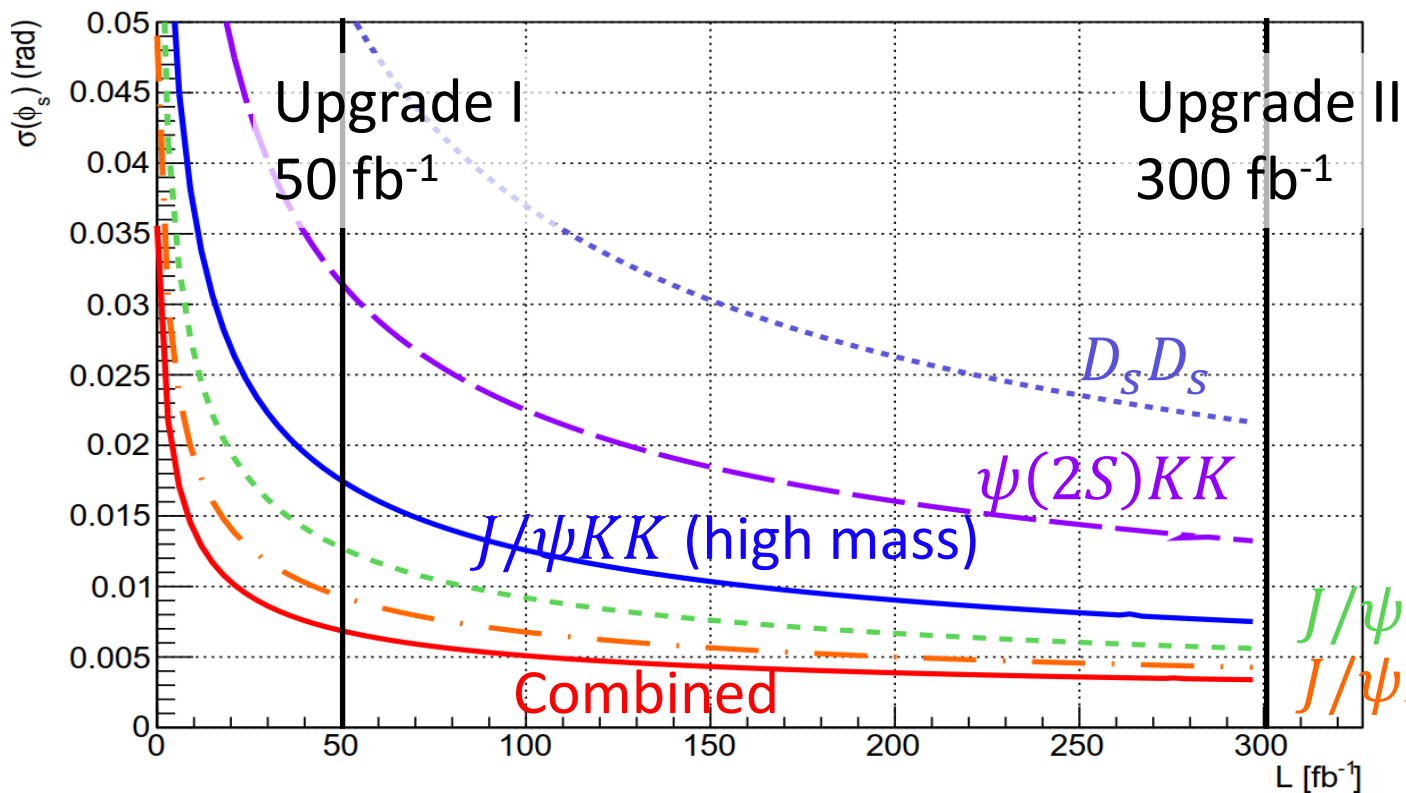
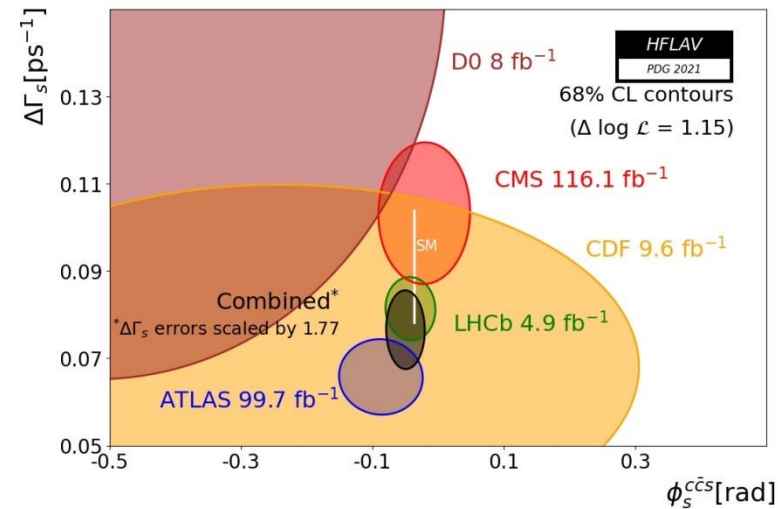
CPV in interference mixing-decay



$$\phi_s^{\text{SM}} = -2 \arg \left(\frac{V_{tb} V_{ts}^*}{V_{cb} V_{cs}^*} \right) \equiv -2\beta_s = -0.0376 \pm 0.0008 \text{ rad}$$

$$\phi_s^{\text{LHCb}} = -0.042 \pm 0.025 \text{ rad}$$

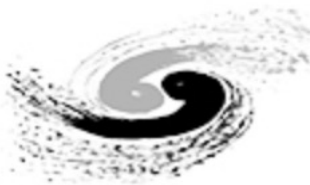
$$\phi_s^{\text{W/A}} = -0.050 \pm 0.019 \text{ rad} \quad \text{HFLAV 4 PDG 2021}$$



To expect

- $\sigma(\phi_s) \sim 7 \text{ mrad} @ 50 \text{ fb}^{-1}$
- $\sigma(\phi_s) < 5 \text{ mrad} @ 300 \text{ fb}^{-1}$

Based on the same performance @ Run2



- The only angle that can be determined exclusively from tree processes
- Theoretically clean: $\delta\gamma/\gamma \leq \mathcal{O}(10^{-7})$
- SM benchmark for NP searches
- The most recent LHCb result (15 decay modes): $\gamma = (65.4_{-3.8}^{+4.2})^\circ$ JHEP 12 (2021) 141

Run 1-2:

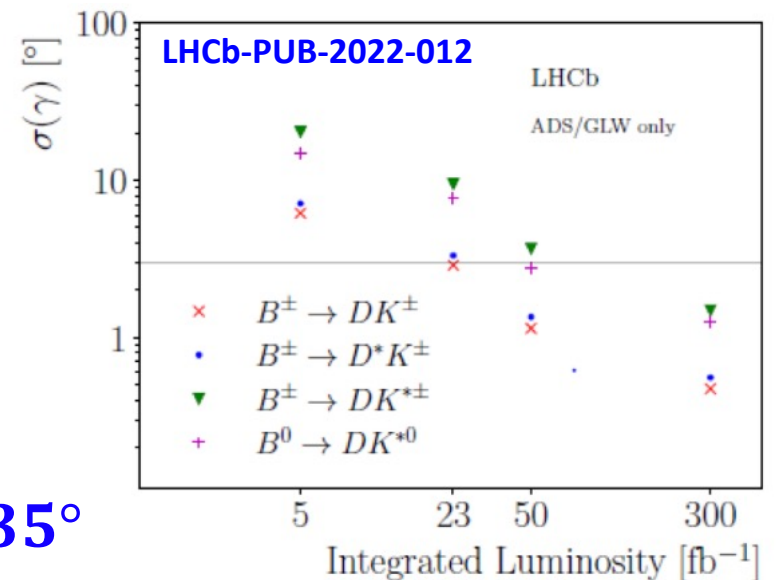
Some tension between direct and indirect methods
need better precision from tree measurements

- Upgrade in sensitivity: combination of many decays in

$$B_{(s)} \rightarrow D_{(s)}^{(*)} h^{(*)}$$

- Charged and neutral (π^0 , γ)
- Two- and multi body D decays

LHCb Upgrade II anticipates a precision on γ of about 0.35°





Key observables in flavor physics

Observable	Current LHCb (up to 9 fb ⁻¹)	Upgrade I (23 fb ⁻¹)	Upgrade I (50 fb ⁻¹)	Upgrade II (300 fb ⁻¹)
CKM tests				
$\gamma (B \rightarrow DK, \text{etc.})$	4° [9, 10]	1.5°	1°	0.35°
$\phi_s (B_s^0 \rightarrow J/\psi\phi)$	32 mrad [8]	14 mrad	10 mrad	4 mrad
$ V_{ub} / V_{cb} (\Lambda_b^0 \rightarrow p\mu^-\bar{\nu}_\mu, \text{etc.})$	6% [29, 30]	3%	2%	1%
$a_{\text{sl}}^d (B^0 \rightarrow D^-\mu^+\nu_\mu)$	36×10^{-4} [34]	8×10^{-4}	5×10^{-4}	2×10^{-4}
$a_{\text{sl}}^s (B_s^0 \rightarrow D_s^-\mu^+\nu_\mu)$	33×10^{-4} [35]	10×10^{-4}	7×10^{-4}	3×10^{-4}
Charm				
$\Delta A_{CP} (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	29×10^{-5} [5]	13×10^{-5}	8×10^{-5}	3.3×10^{-5}
$A_\Gamma (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	11×10^{-5} [38]	5×10^{-5}	3.2×10^{-5}	1.2×10^{-5}
$\Delta x (D^0 \rightarrow K_s^0\pi^+\pi^-)$	18×10^{-5} [37]	6.3×10^{-5}	4.1×10^{-5}	1.6×10^{-5}
Rare Decays				
$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	69% [40, 41]	41%	27%	11%
$S_{\mu\mu} (B_s^0 \rightarrow \mu^+\mu^-)$	—	—	—	0.2
$A_T^{(2)} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$A_T^{\text{Im}} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$\mathcal{A}_{\phi\gamma}^{\Delta\Gamma} (B_s^0 \rightarrow \phi\gamma)$	$\begin{smallmatrix} +0.41 \\ -0.44 \end{smallmatrix}$ [51]	0.124	0.083	0.033
$S_{\phi\gamma} (B_s^0 \rightarrow \phi\gamma)$	0.32 [51]	0.093	0.062	0.025
$\alpha_\gamma (\Lambda_b^0 \rightarrow \Lambda\gamma)$	$\begin{smallmatrix} +0.17 \\ -0.29 \end{smallmatrix}$ [53]	0.148	0.097	0.038
Lepton Universality Tests				
$R_K (B^+ \rightarrow K^+\ell^+\ell^-)$	0.044 [12]	0.025	0.017	0.007
$R_{K^*} (B^0 \rightarrow K^{*0}\ell^+\ell^-)$	0.12 [61]	0.034	0.022	0.009
$R(D^*) (B^0 \rightarrow D^{*-}\ell^+\nu_\ell)$	0.026 [62, 64]	0.007	0.005	0.002

LHCC-2021-012

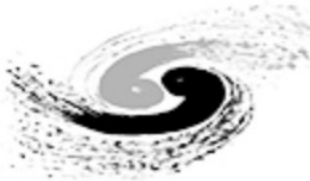
Upgrade II will fully realize the flavor physics potential of the HL-LHC

Further pursue a broad physics programme

- Spectroscopy
- High precision EW and Higgs
- Dark sector
- Exotic search
- Heavy ions and fixed target

Success of the physics programme relies on

- HL-LHC providing LHCb $\sim 50 \text{ fb}^{-1}/\text{year}$ during Run 5&6
- A detector with similar or better performance as the present one for Run 3



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Upgrade I (U1), started in LS2 and installation completed in this March

$$\mathcal{L}_{\max} \sim 2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$$

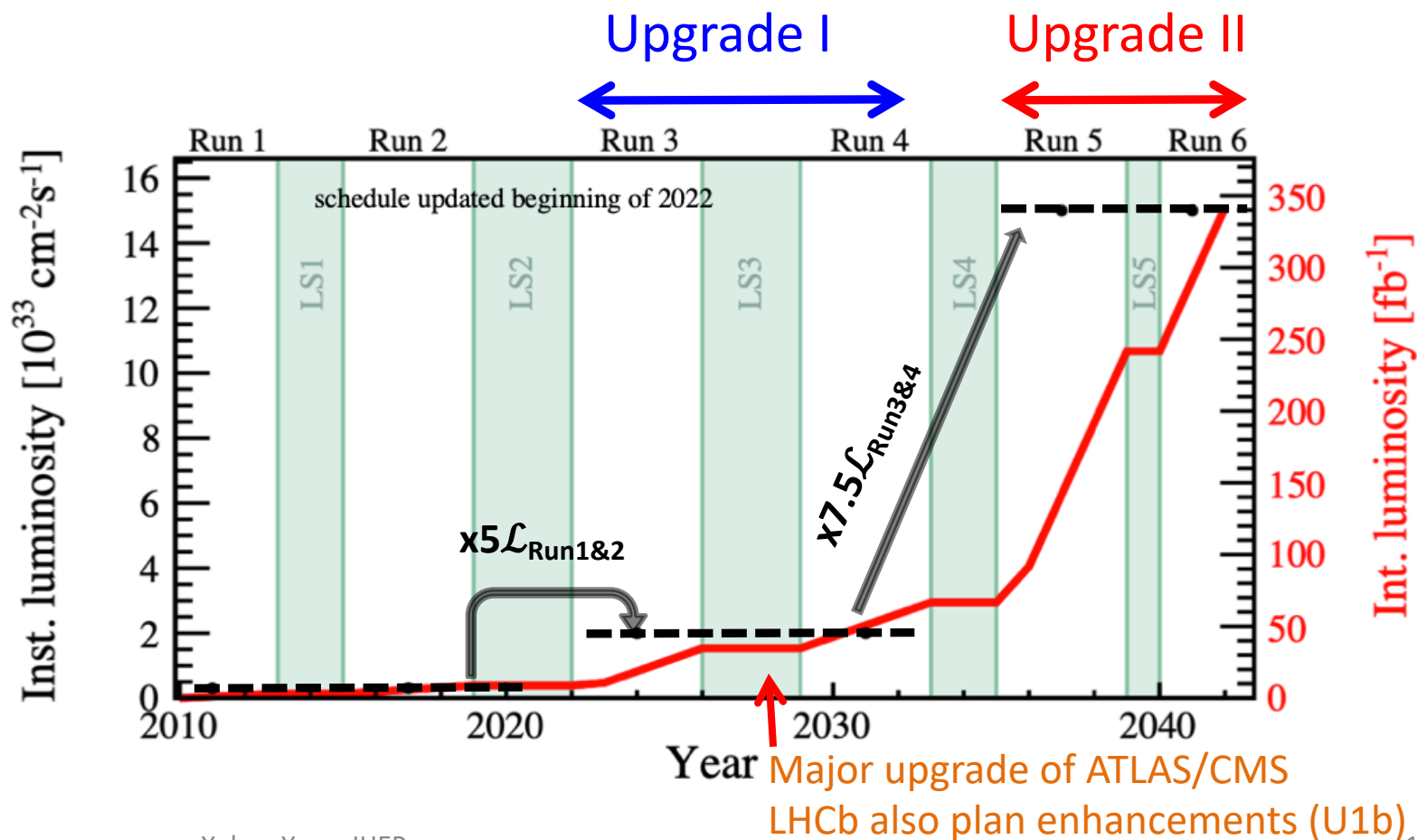
$$\mathcal{L}_{\text{int}} \sim 50 \text{ fb}^{-1}$$

Upgrade II (U2), starts after LS4

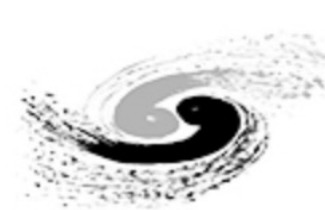
$$\mathcal{L}_{\max} \sim 1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

Some smaller detector consolidation and enhancements in LS3 (2026) \Leftarrow U1b



Upgrade I: a brand new detector



New tracking system

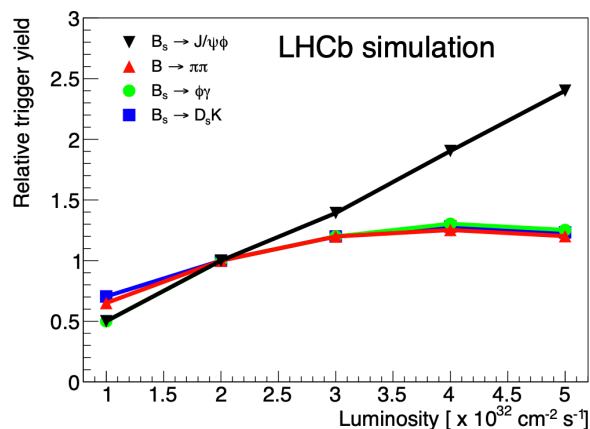
- **VERTexLOCator (VELO)**, **Upstream Tracker (UT)** and **Scintillating Fiber Tracker (SciFi)**

Particle ID: New optics + photon detectors

Calos: Reduce PMT gain + new electronics

No hardware trigger

- **1st GPU trigger** in a HEP experiment

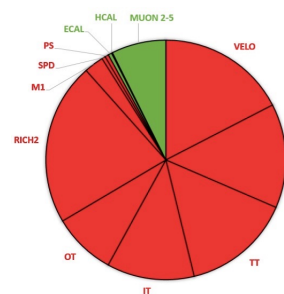


Already saturated @ Run 1&2

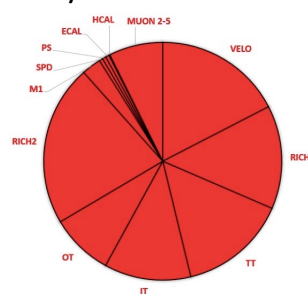
Readout at the LHC bunch crossing rate (40 MHz)

To be upgraded
To be kept

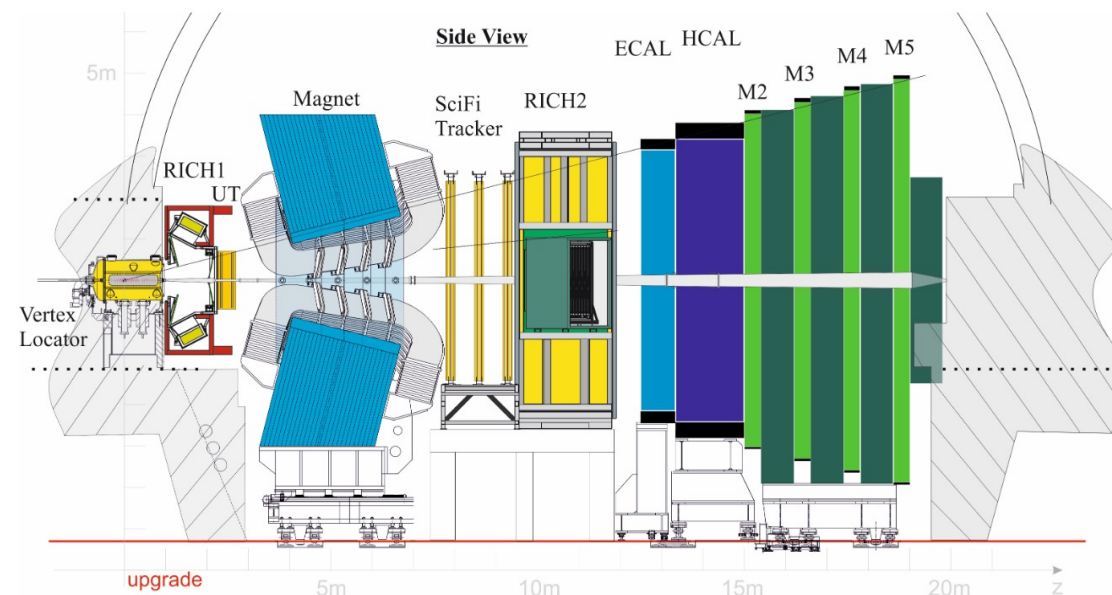
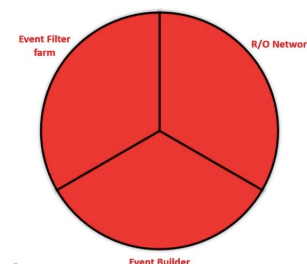
Detector channels

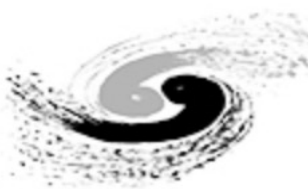


R/O electronics

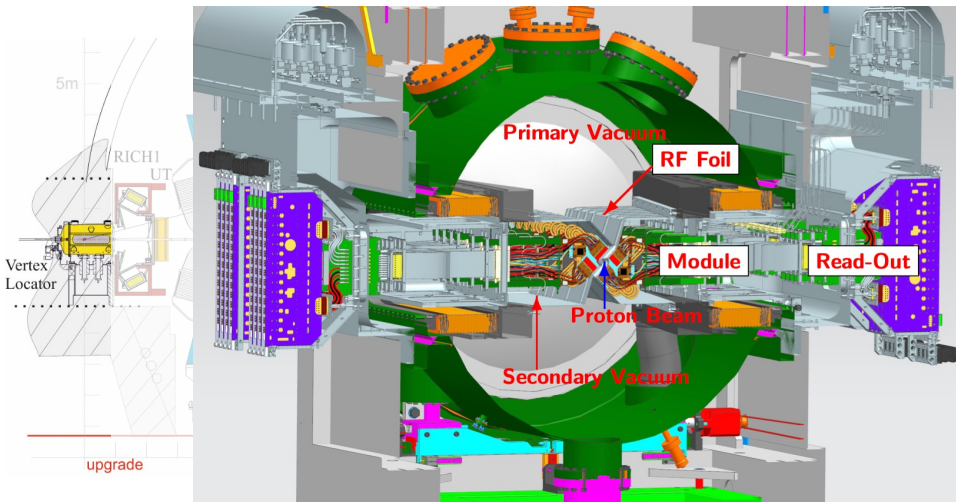


DAQ



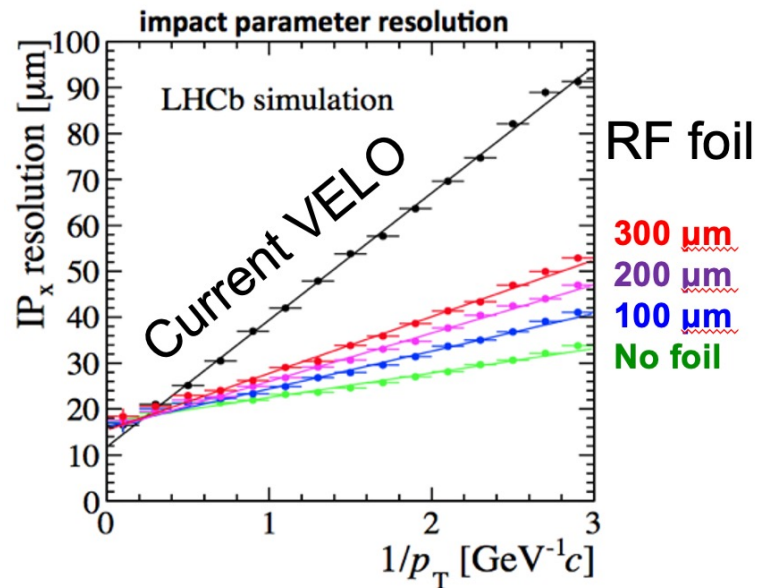
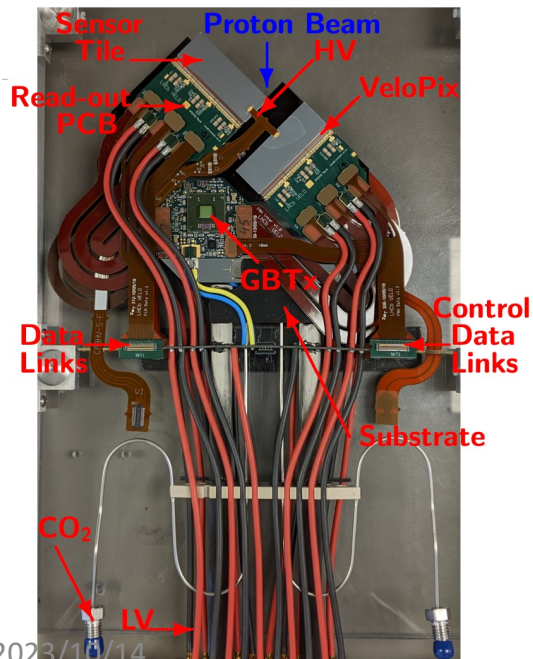


Velo pixel (VP)



New hybrid pixel detector

- c/b hadrons: flight \sim mm before decay – distinctive feature to select them
- Silicon pixels (55x55 μ m)
single hit resolution 12-15 μ m
- Closer to beam (5 mm \rightarrow 3 mm) \Rightarrow better σ_{IP}

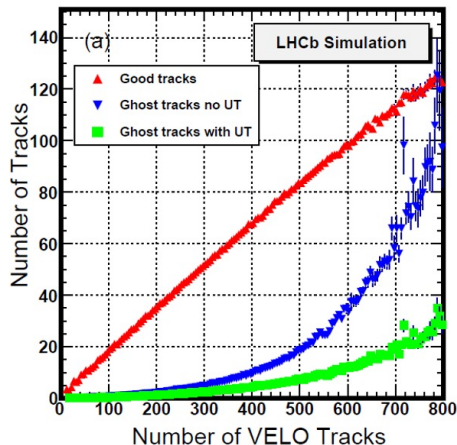
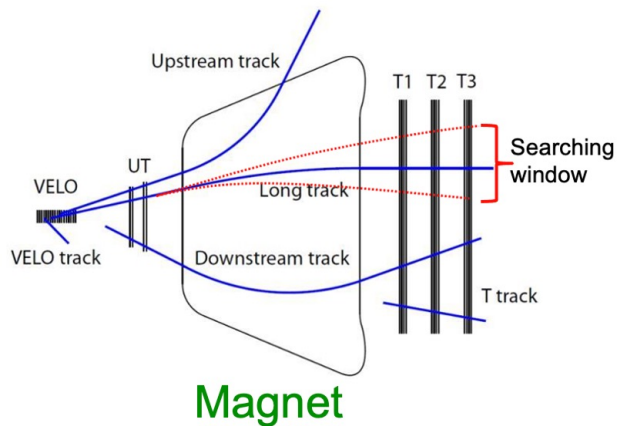


RF foil (250 μ m thickness)

- Separate VELO from primary vacuum
- An vacuum incident on 2023 Jan 10
 - RF foils deformed
 - No damage on sensors
 - Cant fully close (3 mm \rightarrow 24.5 mm x2)
 - Replace during YETS 2023



Upstream Tracker (UT)

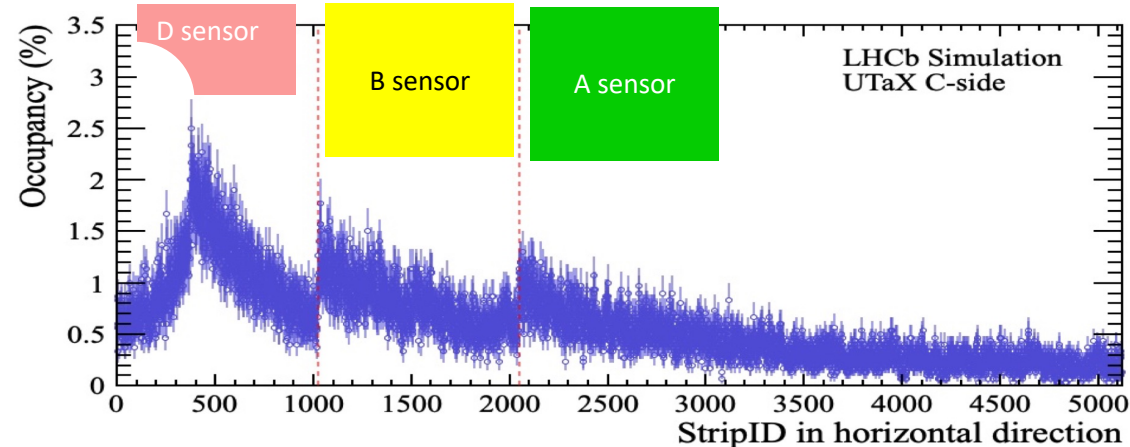
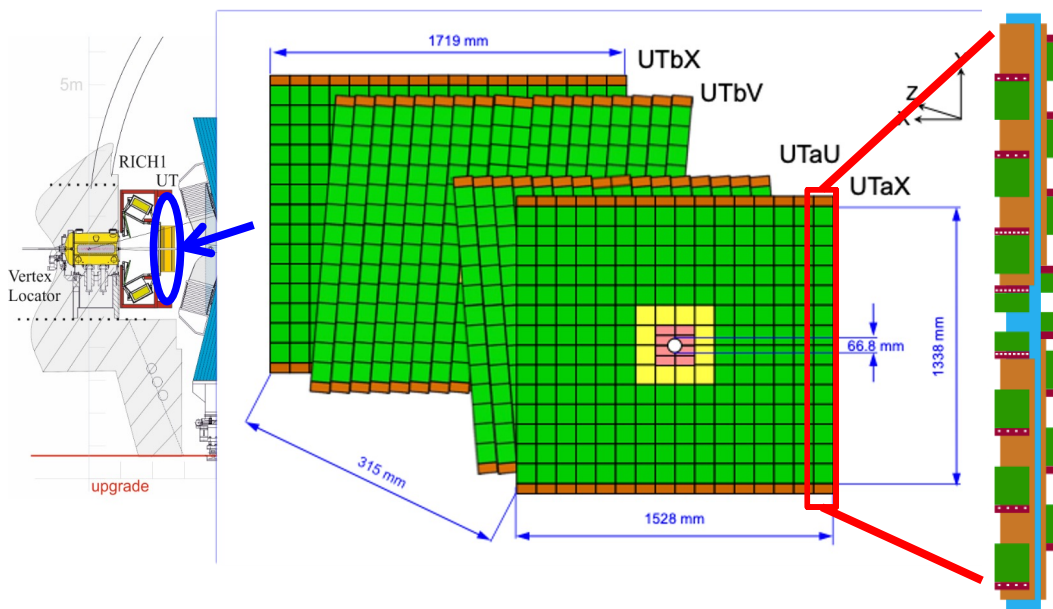


UT: Si Strip detector

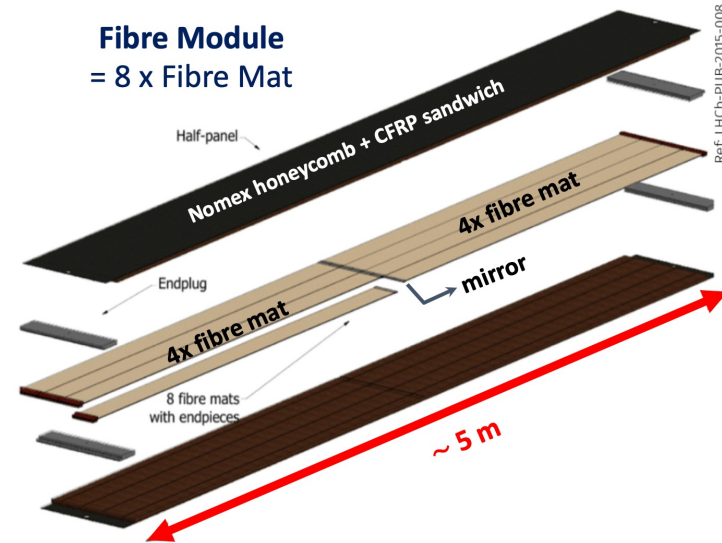
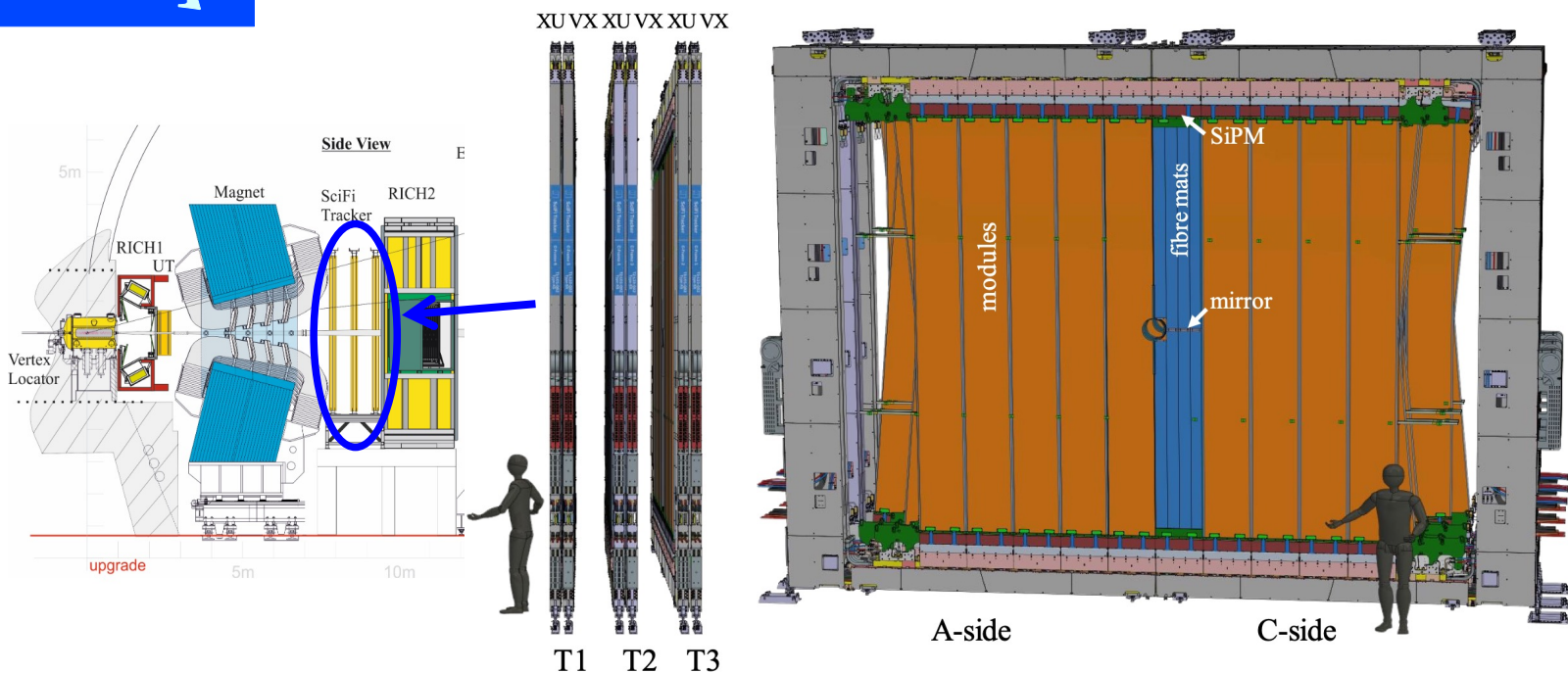
- High coverage, segmentation, resolution
- Speed up tracks reconstruction & reduce P_{GhostTrk}

Different sensors for different regions

- Maximum occupancy $\sim 1\%$

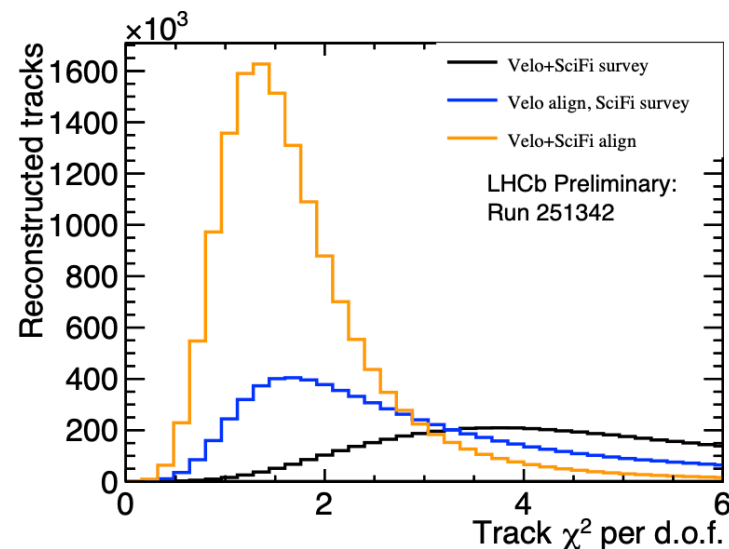


Scintillating fibers tracker (SciFi)

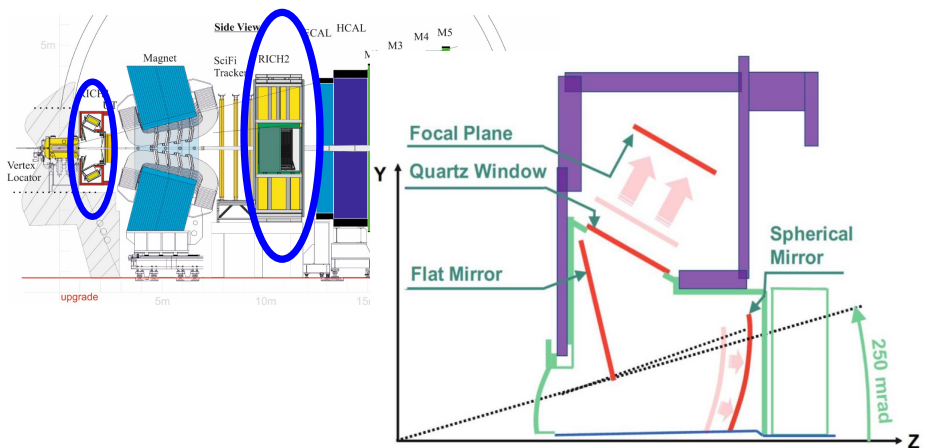


SciFi: Scintillating fibers detector

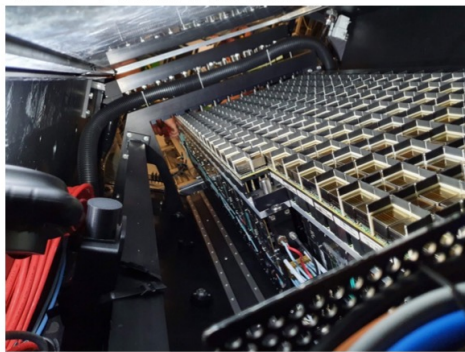
- 3 station with 4 detection layers
- >10 km scintillating fiber, covering 340m² area
- 2x2.5 m long modules with Readout SiPMs at the outer edge
- $\epsilon_{\text{Hit}} \sim 99\%$, $\sigma_{\text{Hit}} \sim 100 \mu\text{m}$, $X/X_0 \sim 1\%$ per layer



Ring imaging Cherenkov detector (RICHs)



RICH1
1888 1-inch MaPMTs



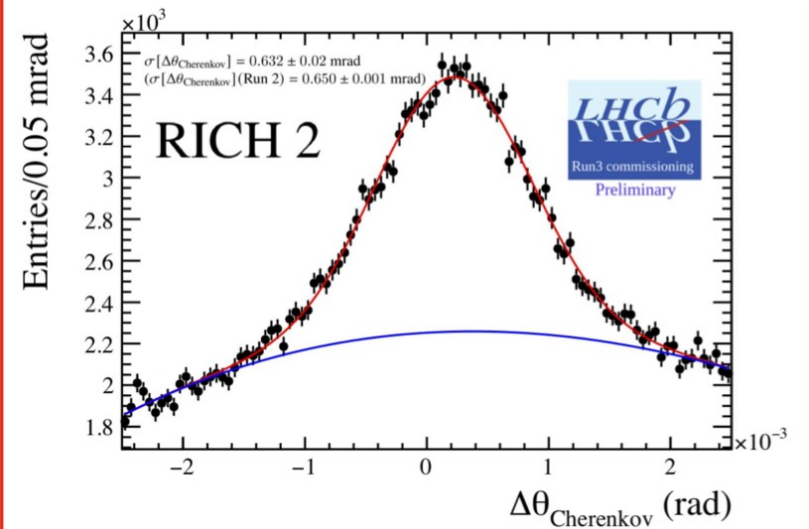
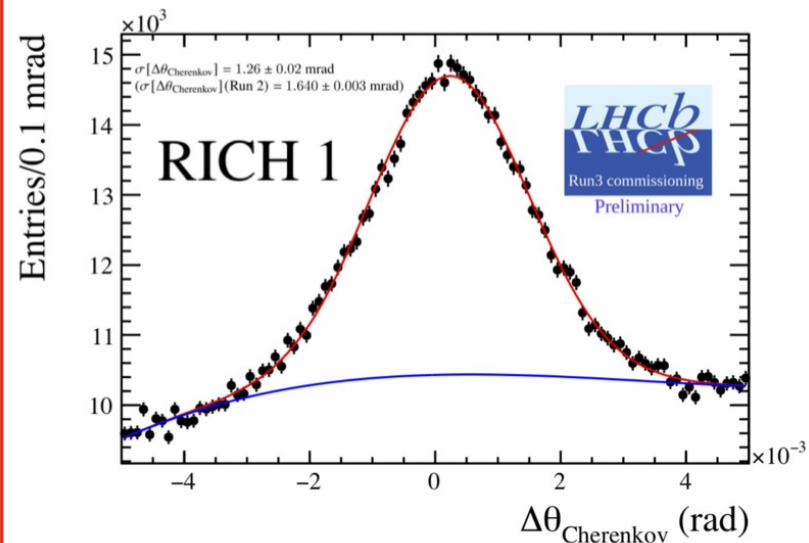
RICH2
768 1-inch MaPMTs in the inner region 384 in the outer region



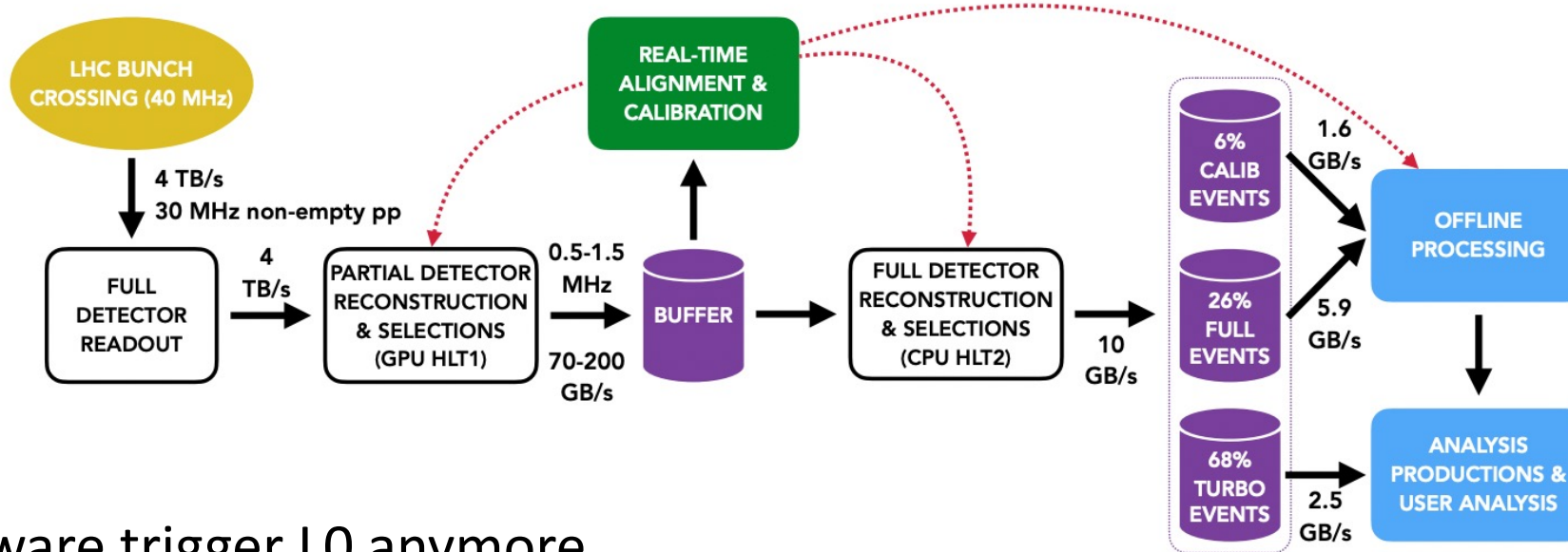
New optics+photon detectors
(HPDs -> MaPMTs)

➤ PID for $p \in (2.6, 100)$ GeV

Performance already better
than Run2



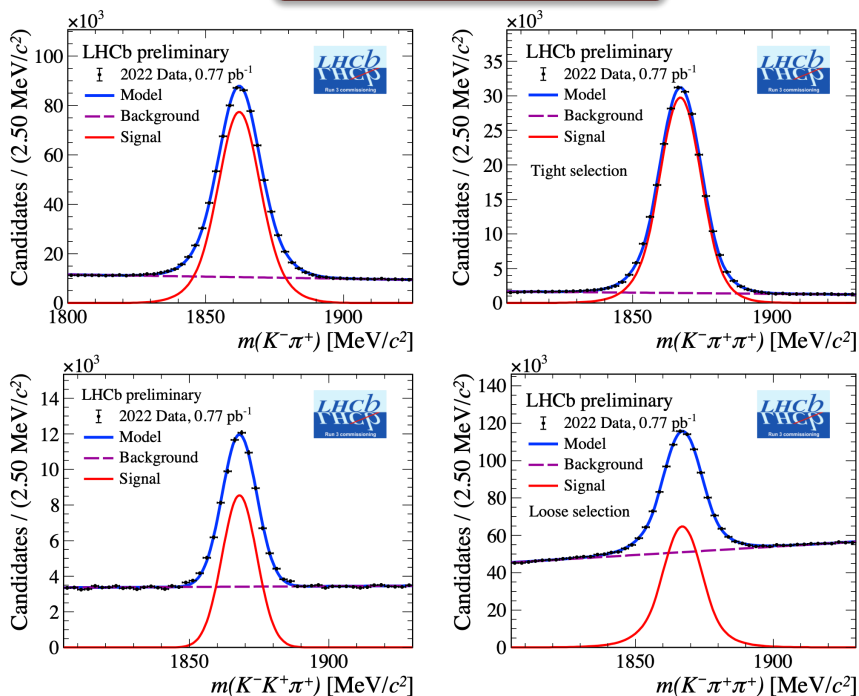
Trigger & Real Time Analysis



- No hardware trigger L0 anymore
- Data stored @ 30 MHz by FPGAs
- HLT1 running on GPUs – track reconstruction
- HLT2 running on CPUs – reconstruction and selection for each decay
- Alignment (VELO, RICH mirrors, UT, SciFi, Muon) and calibration (RICH, ECAL, HCAL) in real time

Physics performance

Charmed mesons



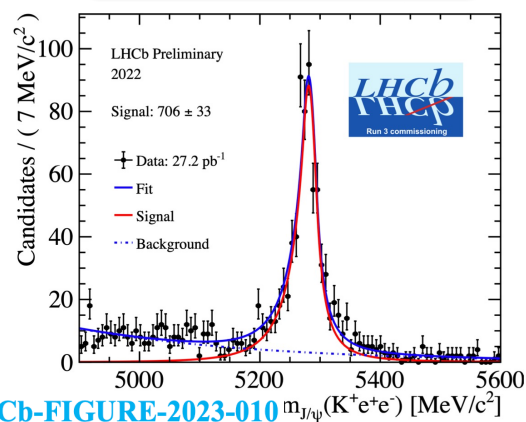
LHCb upgrade I detectors functions well

➤ W/ full triggers/"sub-detectors" signals return since 2019

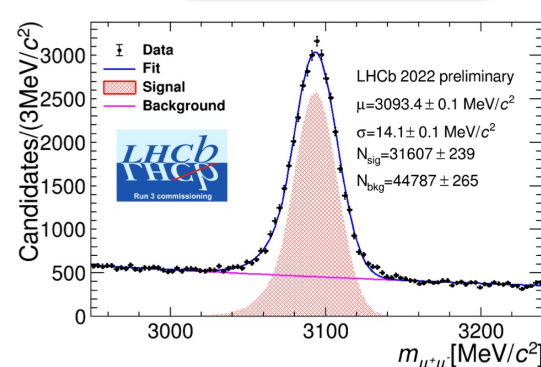
- D^0 and K_S^0 candidates reconstructed directly @ 1st level trigger
- Trigger efficiency can be improved w.r.t. Run2

LHCb-FIGURE-2023-010

Beauty mesons

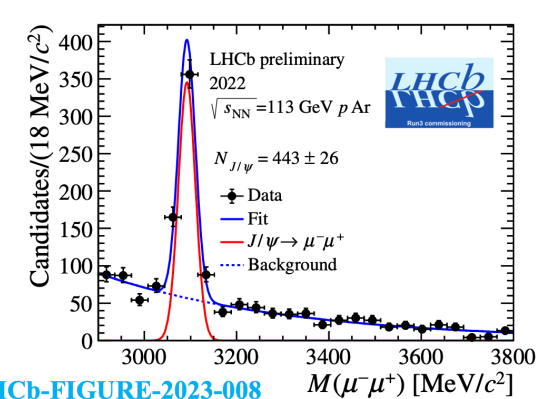


$J/\psi \rightarrow \mu^+ \mu^-$



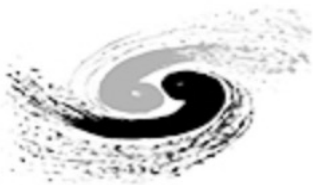
LHCb-FIGURE-2023-015

J/ψ in pAr collisions



LHCb-FIGURE-2023-008

Mass resolution compatible with MC expectations within 1 MeV



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High pile-up in Upgrade II



In Upgrade II

$$\mathcal{L}_{\text{max}} \sim 1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

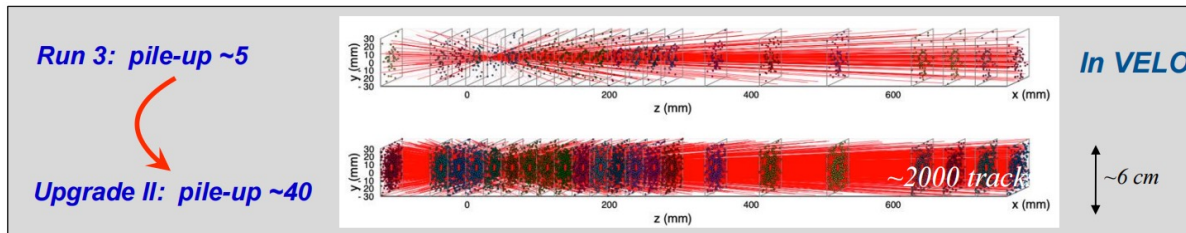
$$\mathcal{L}_{\text{int}} \sim 300 \text{ fb}^{-1}$$

~ 40 visible interactions/Xing

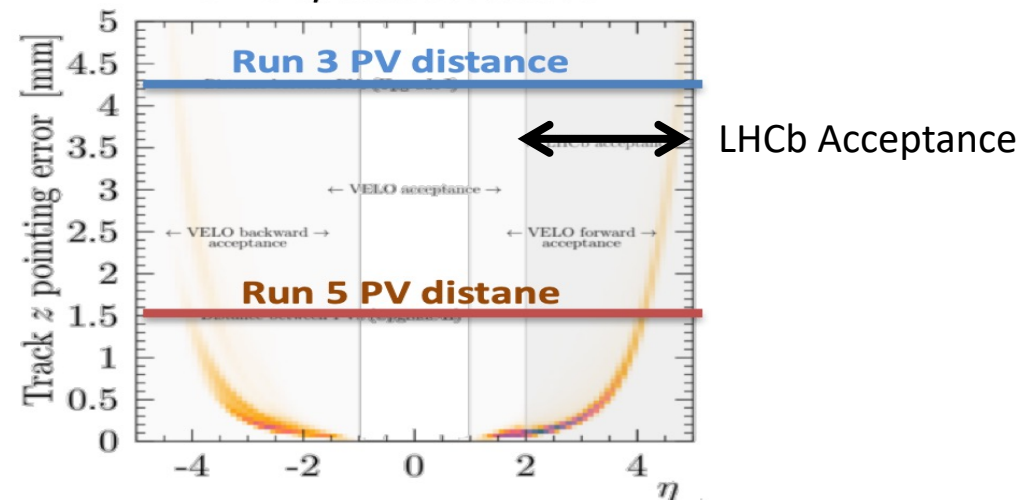
- High pile-up induces PV spatial separation of the same order as VELO resolution

-> PV unresolvable

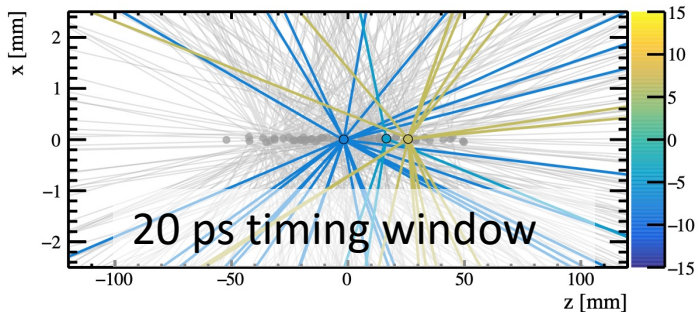
- Ensure $\epsilon_{\text{trigger}}$ at high pileup condition



VELO spacial Resolution



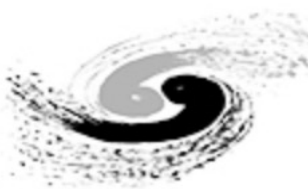
VELO: 4D detector with timing



- $\sigma_t(\text{Track}) = 20 \text{ ps}$ restores the performance to U1 level

New techiques in R&D:

- Sensor: timing (~50 ps), radiation hardness (max $\sim 6 \times 10^{16} n_{\text{eq}}/\text{cm}^2$)
Candidates: Planar, LGAD, 3D pixel or new concepts
- Candidates of 28 nm tech. ASICs: VeloPix2, TimePix4 or PicpPix
- Replaceable modules, thinner or no RF foil, robust 3D printed Ti cooling substrate...



Upgrade II UT (U2UT)

LHCb China group will lead this upgrade

Channel occupancy [%]

0.42	0.45	0.47	0.49	0.52	0.54	0.57	0.60	0.60
0.46	0.49	0.52	0.56	0.59	0.63	0.68	0.74	0.77
0.53	0.58	0.62	0.68	0.73	0.83	0.89	1.00	1.06
0.64	0.70	0.77	0.86	0.96	1.10	1.26	1.48	1.63
0.78	0.88	0.97	1.13	1.27	1.54	1.81	2.34	2.72
0.96	1.10	1.23	1.45	1.68	2.05	2.63	2.84	3.87
1.28	1.45	1.54	1.81	2.04	2.57	3.42	4.48	3.95 5.13

Current UT optimized for $\mathcal{L}_{\text{Run 3\&4}}$

Upgrade II luminosity $1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ($\times 7.5 \mathcal{L}_{\text{Run 3\&4}}$)

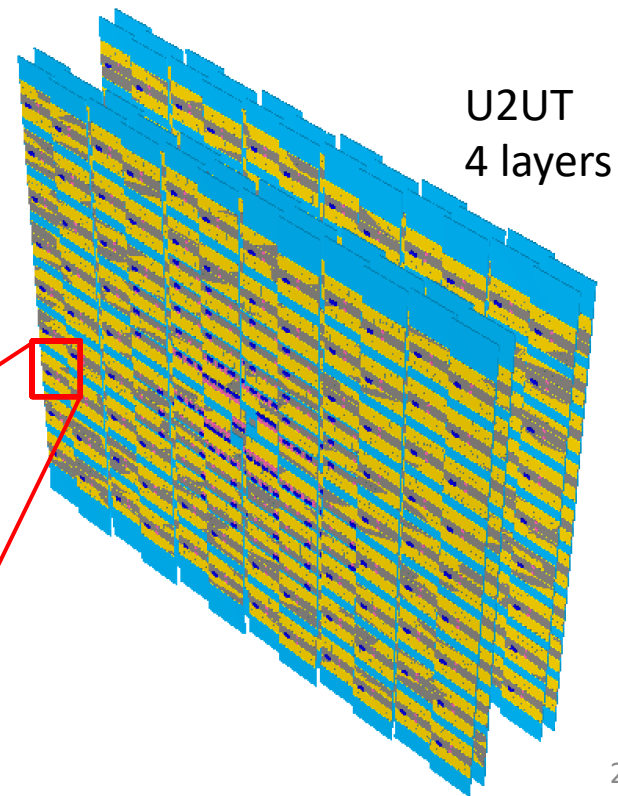
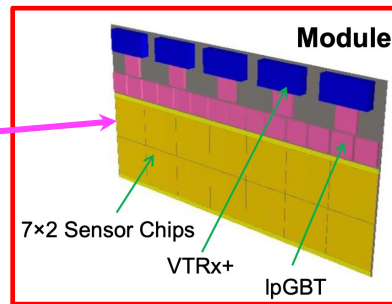
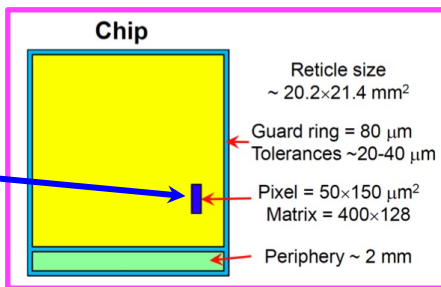
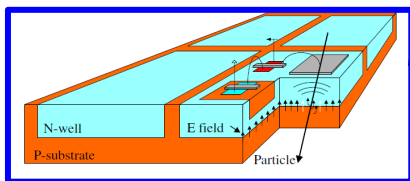
- The occupancy (max $\sim 10\%$) will compromise the performance
- Radiation does too high for current sensor

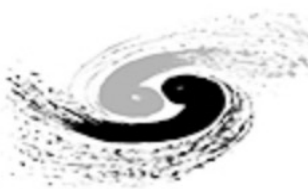
Beam pipe

U2UT:

- CMOS MAPS technique applied
- Very promising and cost effective for large area pixel detectors.

Monolithic Active Pixel Sensor (MAPS)





U2UT R&D status

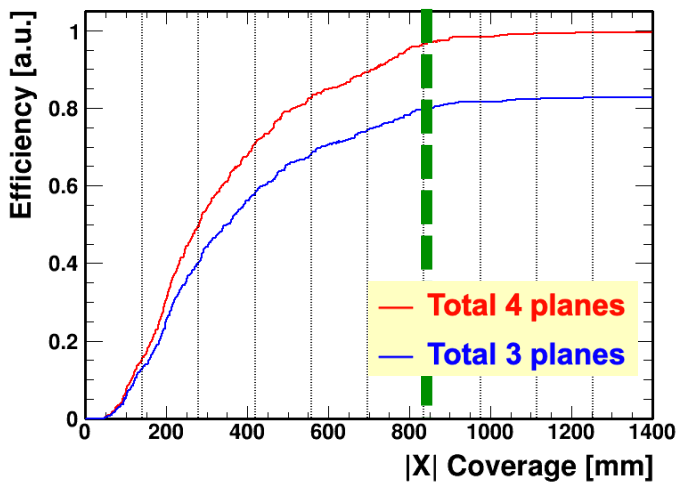
U2UT software ⇔ Lead by LHCb China group

- Preliminary studies on
 - ❑ Track efficiency for $B^- \rightarrow D^0 K^-$, $D^0 \rightarrow K_S \pi^+ \pi^-$, $K_S \rightarrow \pi^+ \pi^-$
 - Optimizing U2UT coverage
 - ❑ Detector simulation mostly done and R.L. calculated

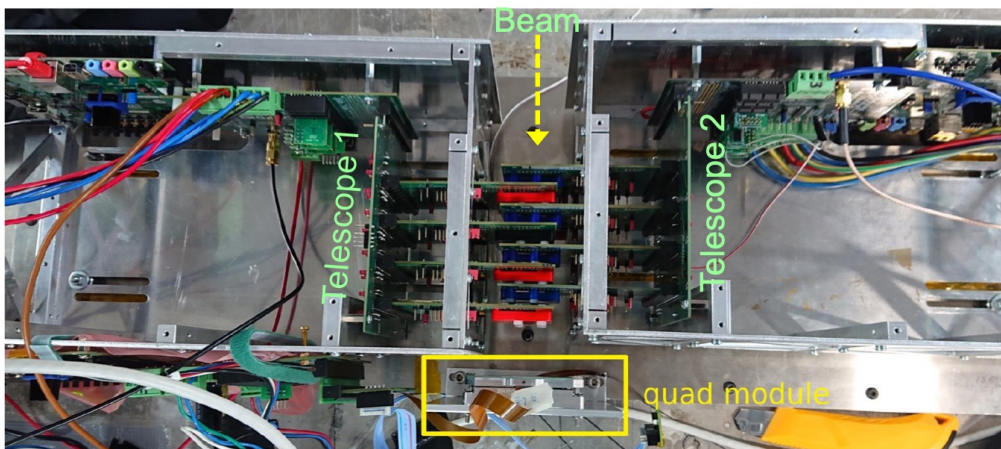
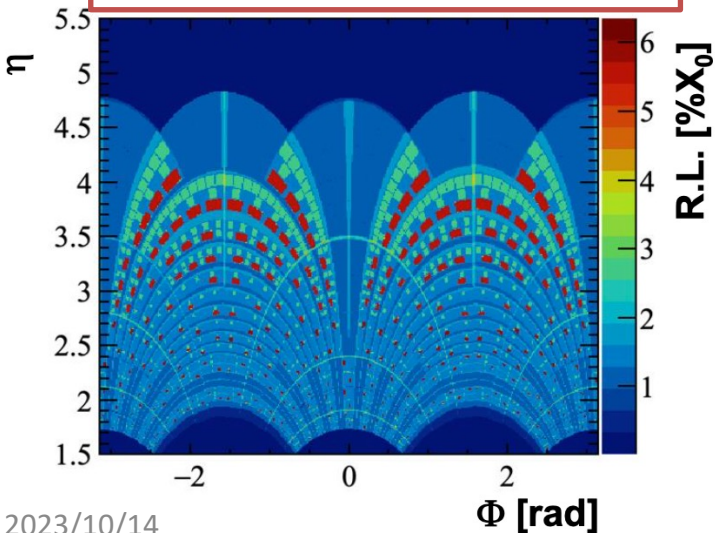
LHCb China group lead HVCMOS-based development

- Extensive tests using ATLASPix: lab test with cosmic ray and radioactive sources, testteam at DESY in April 2022
- Search for domestic foundry ongoing

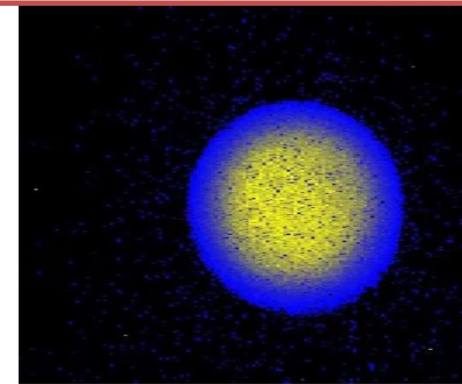
Track efficiency vs X coverage



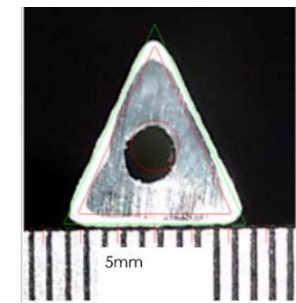
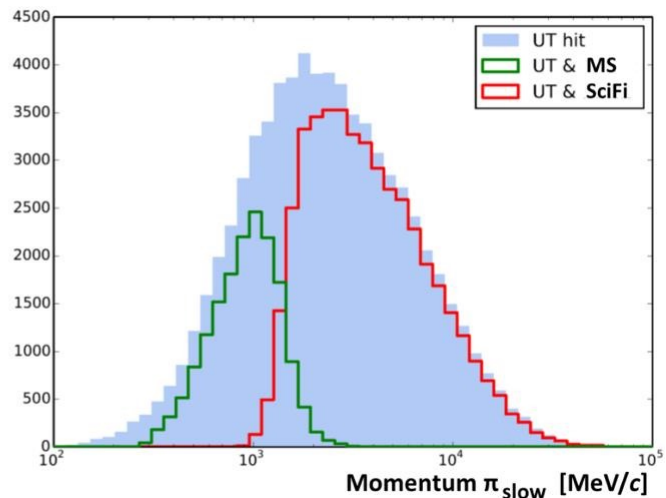
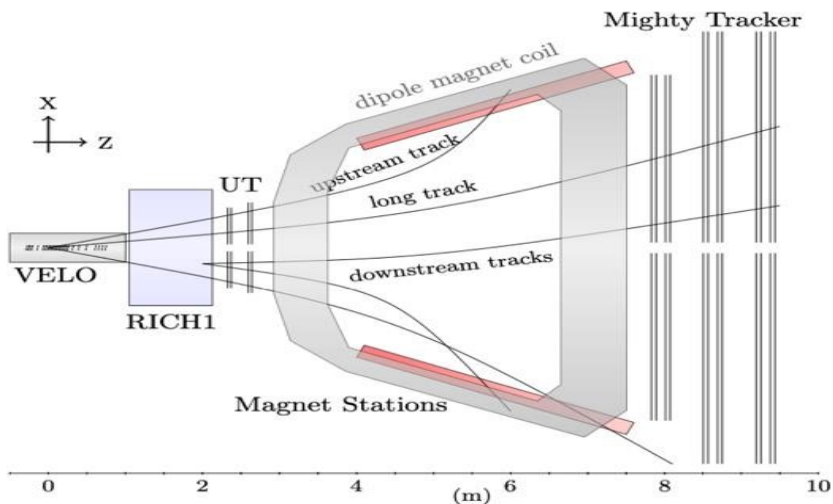
R.L. as functions of Φ and η



Hitmap with Fe55 source



Magnet Stations (MS)



A new MS to be installed for reconstructing Upstream Tracks

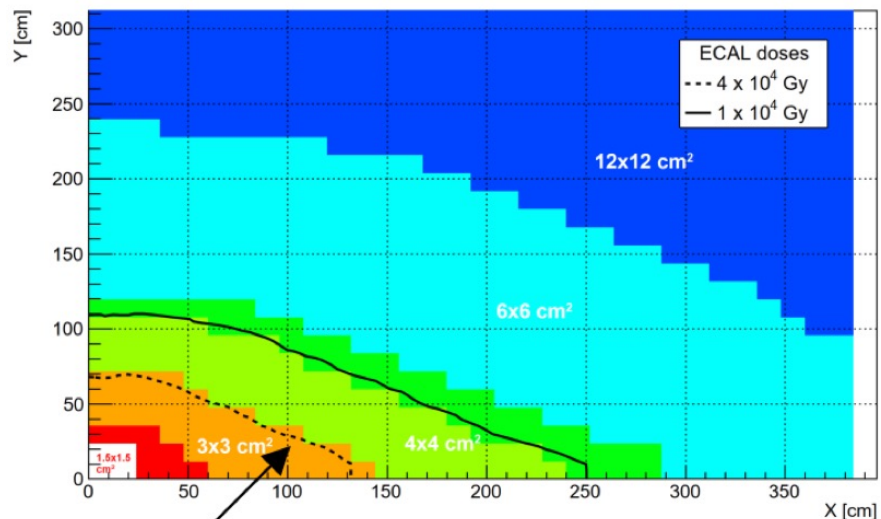
- Tracking capability, reconstruction ↗ for low momentum tracks.

Instrument walls of magnet with extruded triangular scintillating bars.

- Light collected by WS, guided through clear fibers to SiPMs outside magnet.
- sub-% momentum measurement precision.

The Magnet Stations could be installed at LS3.

5D calorimeter with precision timing

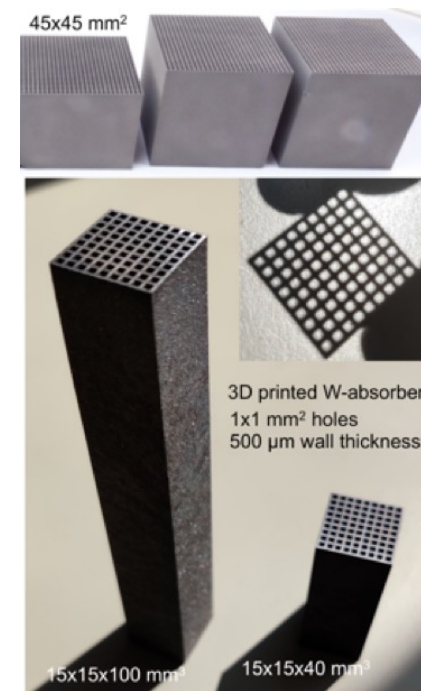
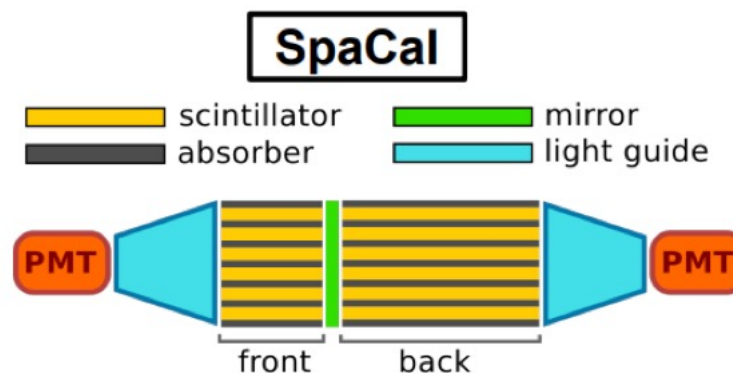
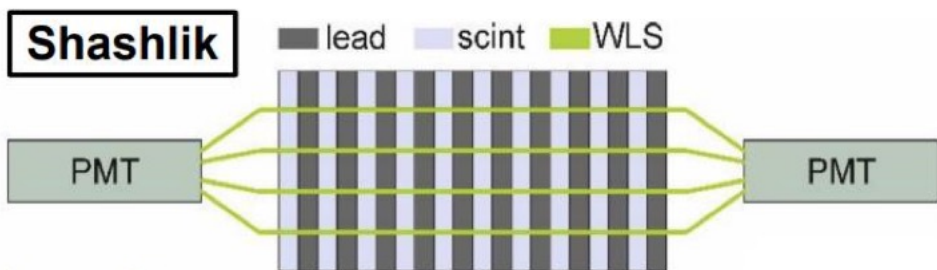


Radiation limit of current Shashlik technology

1

Key features: energy resolution ($10\%/\sqrt{E} \oplus 1\%$), radiation hardness (up to 1 MGy), timing capability (tens of ps) and granularity.

- Multiple technologies for different regions from inner to outer
- Possibility of adding timing layer: Si layers.
- Possibility of replacing the inner-most modules at LS3.

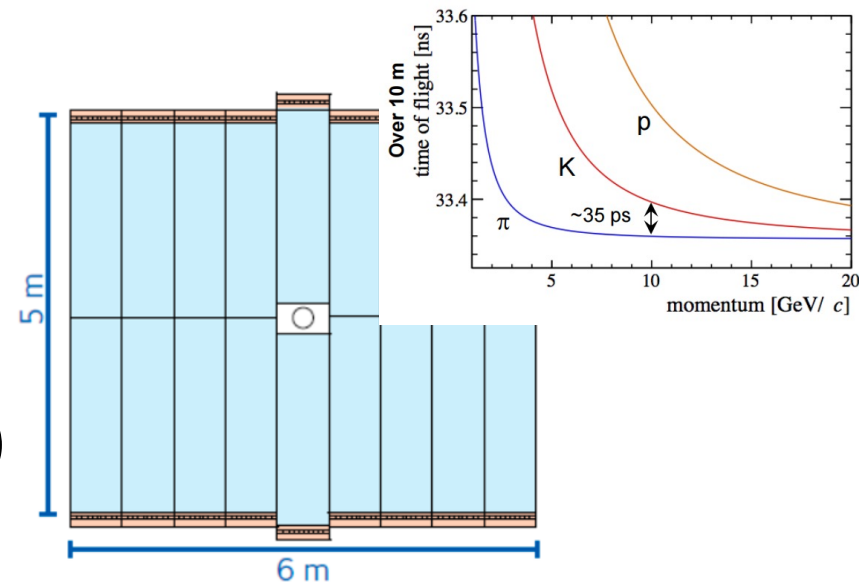


TORCH: Time of Flight Detector

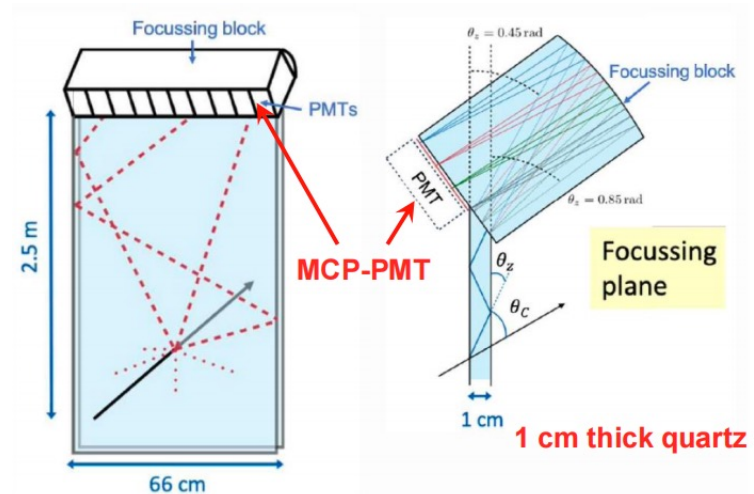
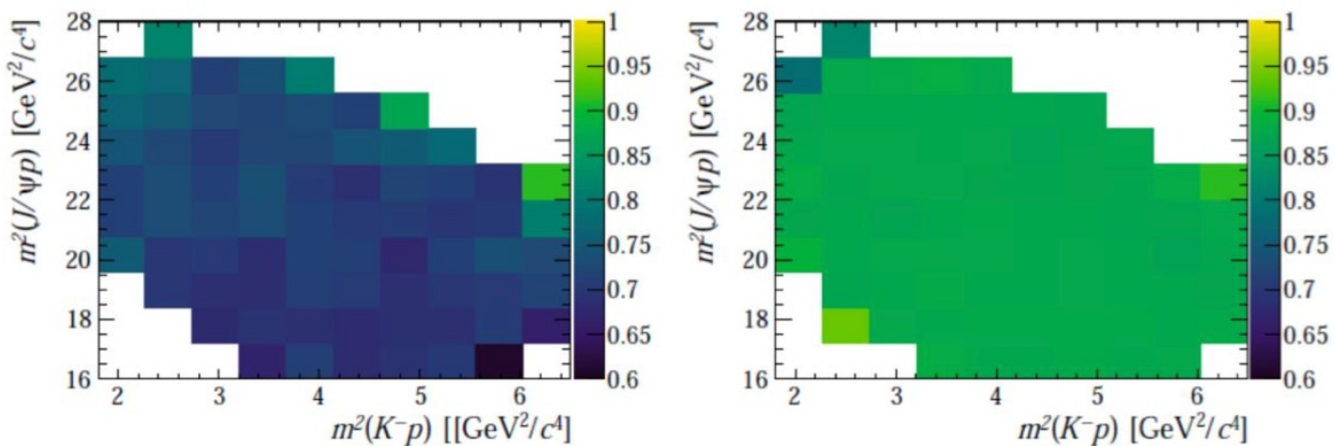


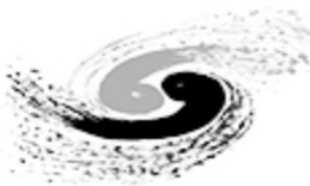
Low-momentum PID (2-10 GeV)

- Improve background suppression and flavor tagging
- To measure θ_γ , L_γ , and time of arrival
- Aim: $\sigma_{\text{ToF}} \sim 10 - 15$ ps per track
a single-photon timing resolution: 70 ps (30 photon/track)



Dalitz plot efficiency for $\Lambda_b \rightarrow J/\psi p K^-$ w/o and with TORCH





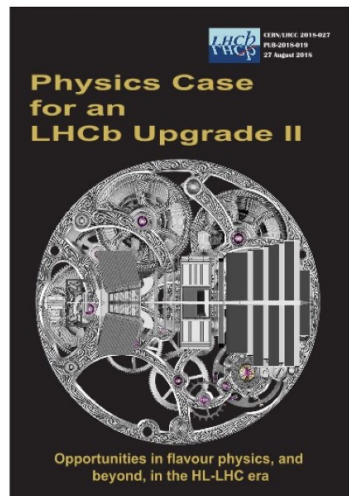
LHCb upgrades

- Upgrade I: installation completed
- Upgrade II: starts in LS4, with consolidation in LS3

LHCb Upgrade II to fully exploit HL-LHC for flavor physics and beyond

- FTDR approved and now in R&D phase
 - Next: TDR @2026, construction, installation and eventually operation for physics
- LHCb China groups contribute more significantly, and you are welcome to join

More physical results can be expected from LHCb



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LHCb Upgrades and operation at $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ luminosity –A first study

G. Arduini, V. Baglin, H. Burkhardt, F. Cerutti, S. Claudet, B. Di Girolamo, R. De Maria, I. Efthymiopoulos, L.S. Esposito, N. Karastathis, R. Lindner, L.E. Medina Medrano, Y. Papaphilippou, C.Parkes, D. Pellegrini, S. Redaelli, S. Roester, F. Sanchez-Galan, P. Schwarz, E. Thomas, A. Tsinganis, D. Wollmann, G. Wilkinson
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Keywords: LHC, HL-LHC, HiLumi LHC, LHCb, <https://indico.cern.ch/event/400665>

- Expression of Interest, LHCC-2017-003
- Physics case, LHCC-2018-027
- Accelerator study, CERN-ACC-2018-038
- Framework TDR, CERN-LHCC-2021-012

Thank you for your attentions