

课题三：ALICE探测器升级

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华中师范大学

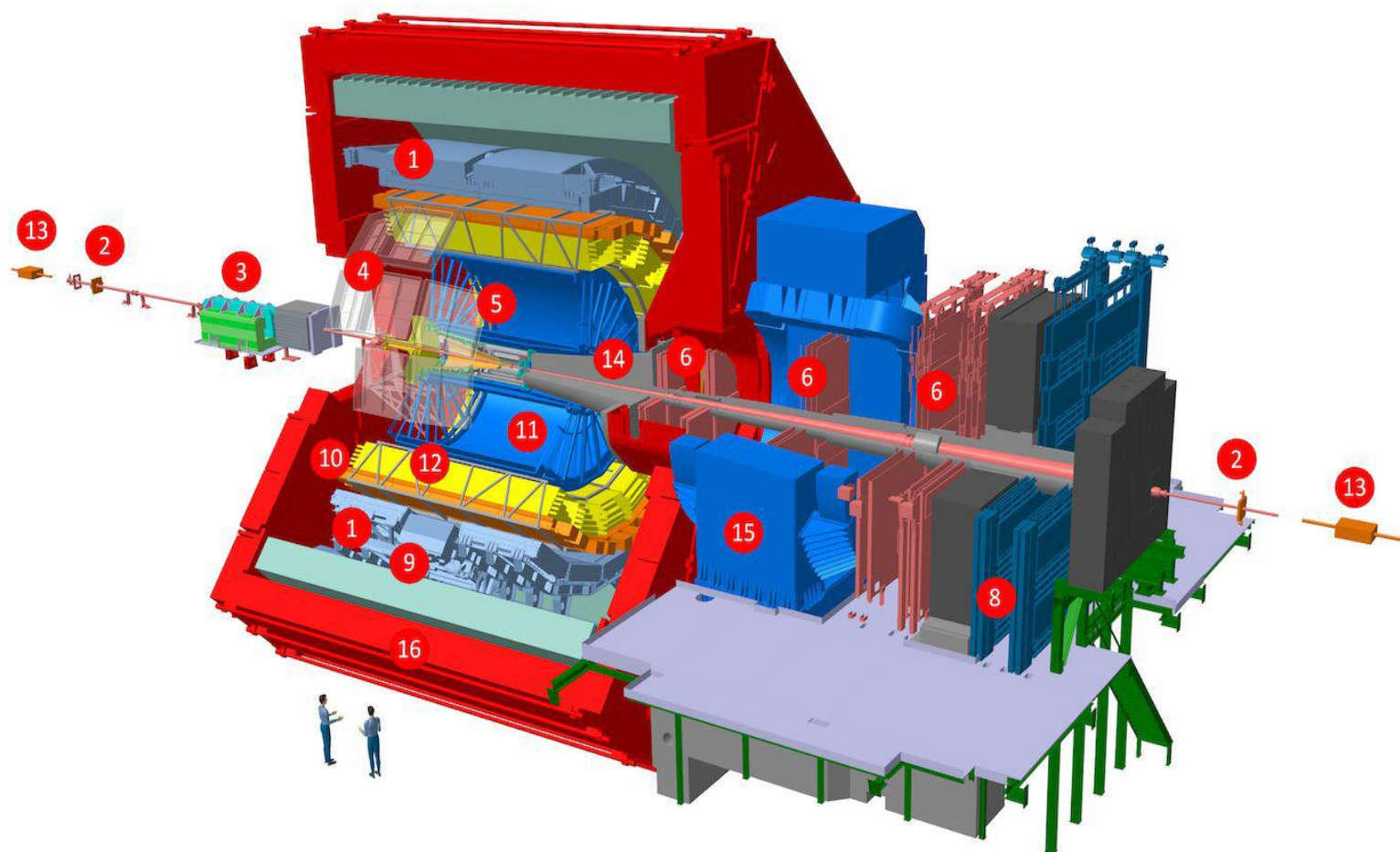
报告内容



- 研究内容
- 考核指标
- 进度安排
- **课题研究进展**

升级后的ALICE探测器 (2029年)

LS3 (2026-2028) 期间

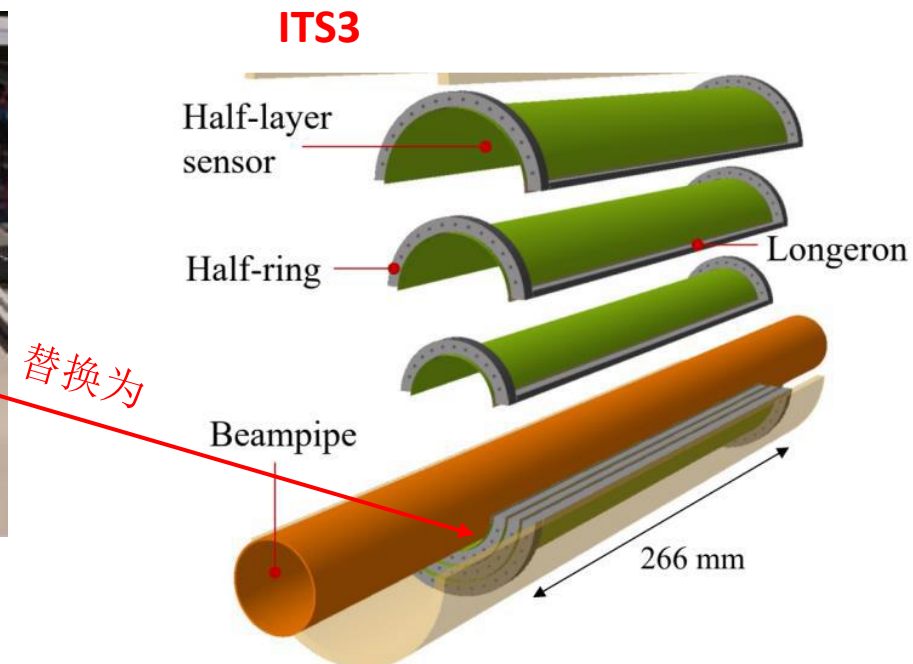
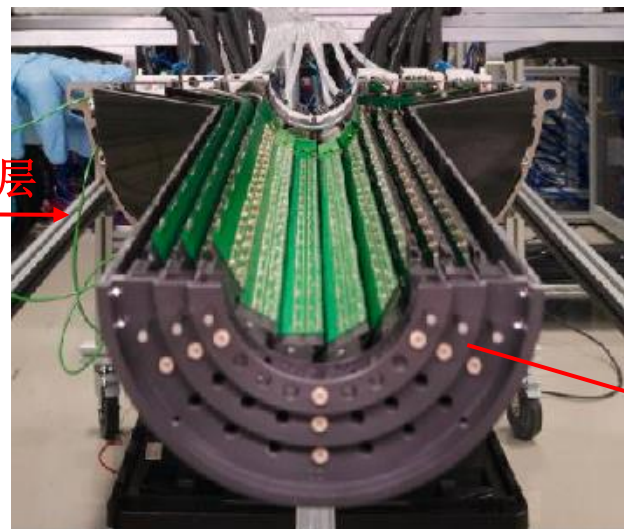
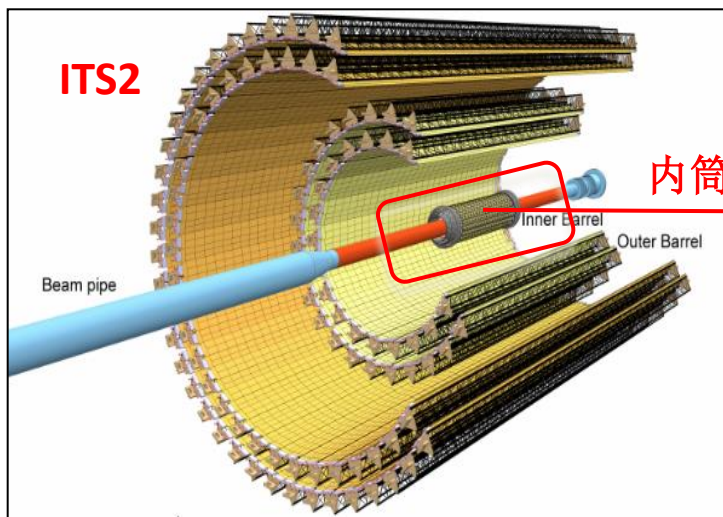


- 1 EMCAL | Electromagnetic Calorimeter
- 2 FIT | Fast Interaction Trigger
- 3 FoCal | Forward Calorimeter
- 4 HMPID | High Momentum Particle Identification Detector
- 5 ITS | Inner Tracking System
- 6 MCH | Muon Tracking Chambers
- 7 MFT | Muon Forward Tracker
- 8 MID | Muon Identifier
- 9 PHOS/CPV | Photon Spectrometer
- 10 TOF | Time Of Flight
- 11 TPC | Time Projection Chamber
- 12 TRD | Transition Radiation Detector
- 13 ZDC | Zero Degree Calorimeter
- 14 Absorber
- 15 Dipole Magnet
- 16 L3 Magnet

新增

更新

ITS3

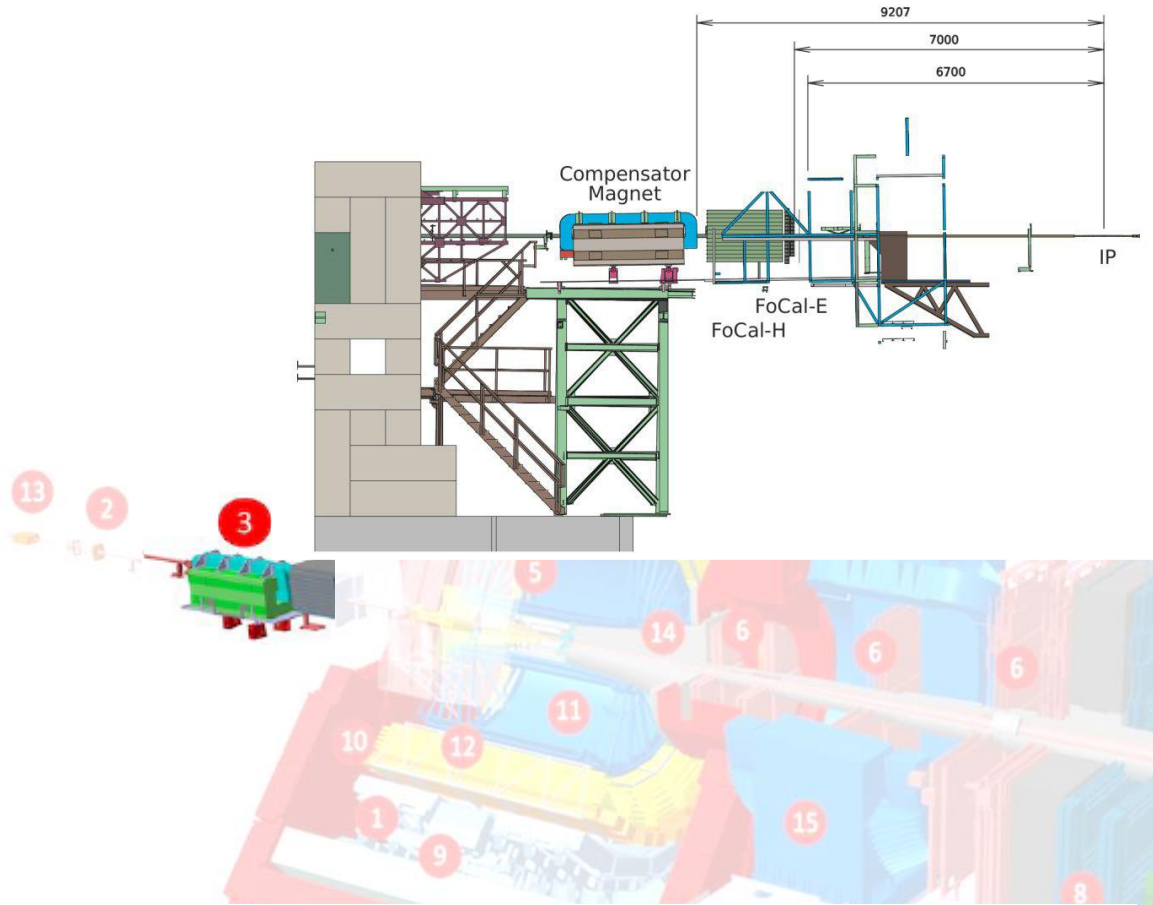


整个探测器几乎仅由6片大面积超薄传感器芯片组成

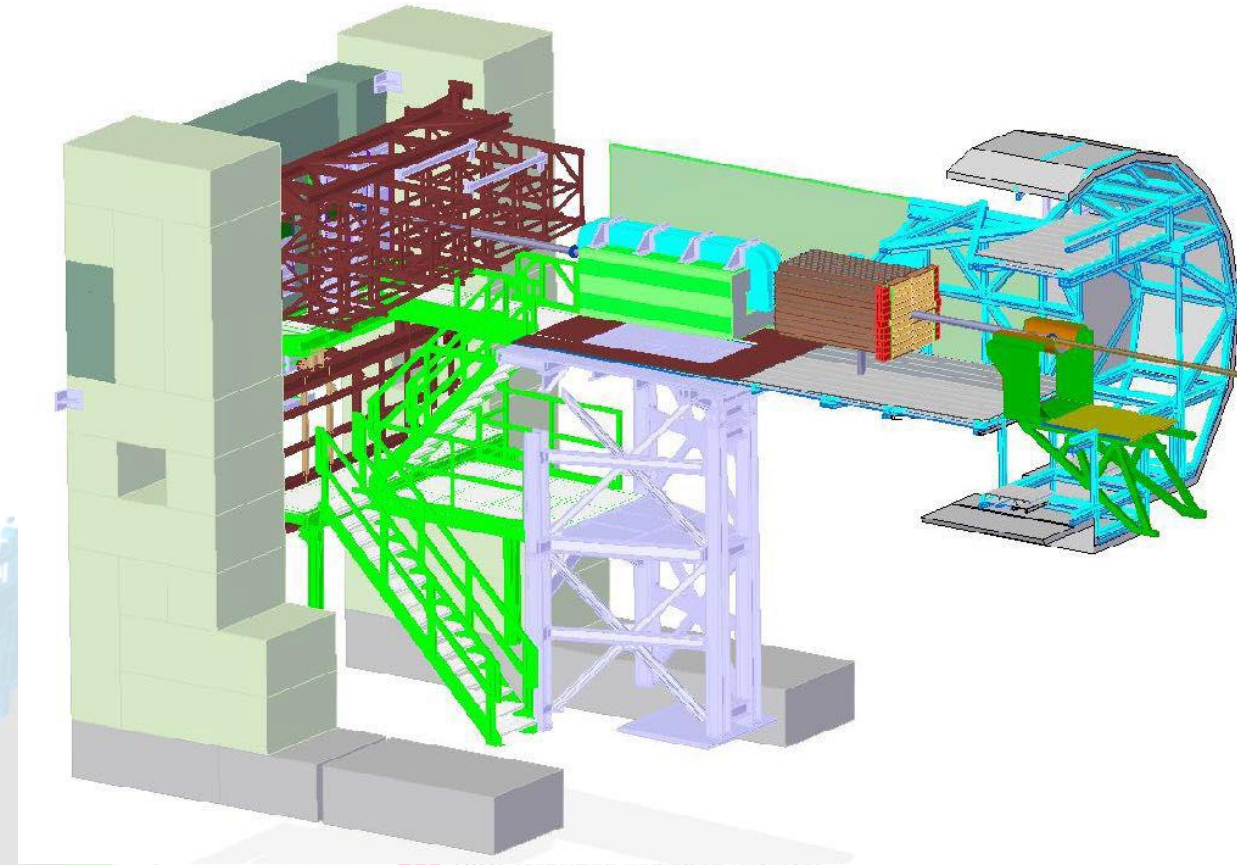
- 非常小的探测器材料辐射长度
- 非常均匀的材料分布，减小系统误差
- 更细的束流管，探测器最内层半径更小
- 会极大地提升在重离子碰撞中测量低横动量粲强子和底强子以及低质量双电子的能力

	ITS2	ITS3
到碰撞点的径向距离 (mm)	22	18
最内层辐射长度 ($\%X_0$)	~ 0.35	~ 0.05
像素大小 (μm^2)	30×30	$O(15 \times 15)$
芯片大小 (cm^2)	1.5×3.0	$O(90 \times 280)$
芯片厚度 (μm)	50	20-40

Forward Calorimeter (FoCal)

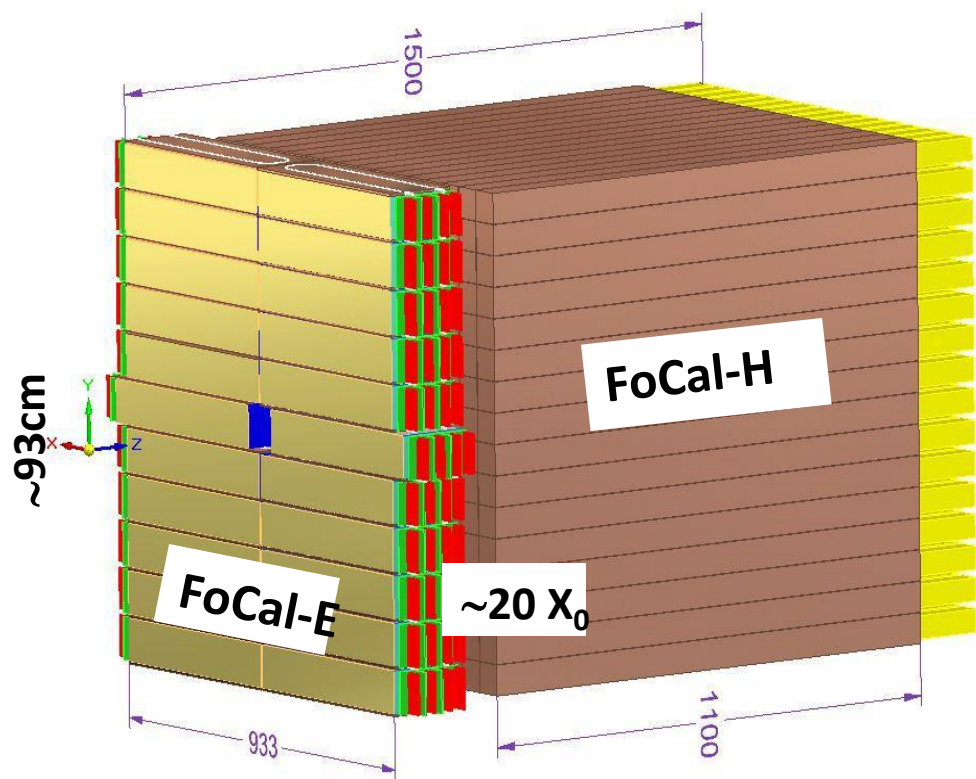


- 离碰撞点的距离为7米
- 覆盖赝快度: 3.4 - 5.8



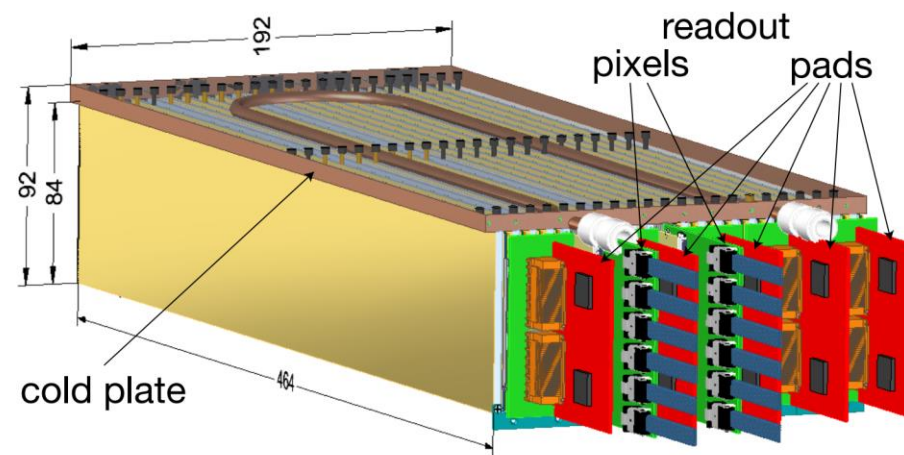
- 小 x 物理

FoCal探测器的构造

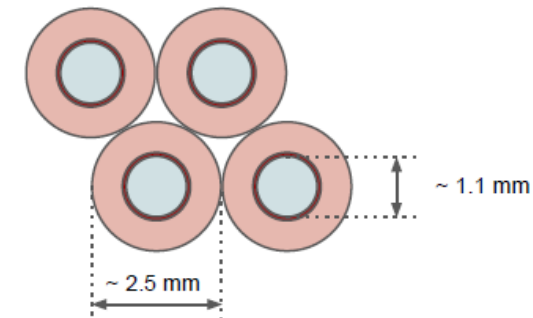
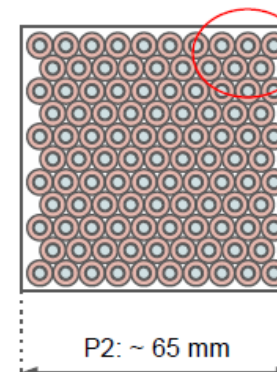
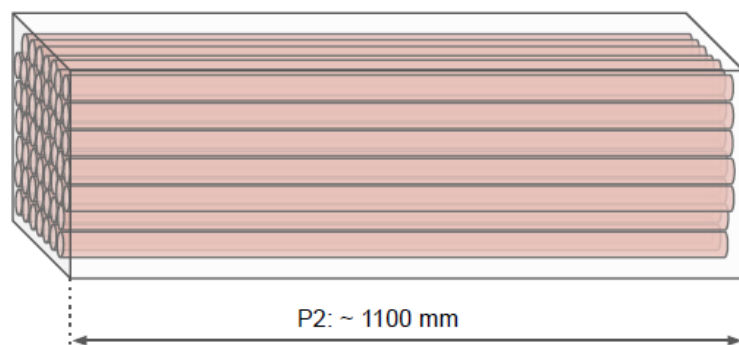


- FoCal-H: 毛细铜管+闪烁光纤意大利面型强子量能器

- FoCal-E: 18层粒度为 $1 \times 1 \text{ cm}^2$ 硅片+2层硅像素层
取样型电磁量能器



共含22个FoCal-E模块



- 合作研发晶圆尺寸的超薄硅像素芯片
 - 与CERN合作，利用缝合技术，研发晶圆尺寸的超薄硅像素芯片
 - 参与芯片设计、模拟验证和测试等工作
- FoCal探测器的硅像素层研制
 - 与挪威卑尔根大学等单位合作，研制出FoCal探测器的硅像素层及其读出电子学系统
 - 参与束流测试，研究硅像素层模块的性能
 - 通过探测器模拟，优化FoCal的构造和像素层的位置
 - 设计开发探测器读出单元，结合系统模拟，优化固件架构设计，提高探测器性能
 - 基于束流测试结果，优化MC模拟参数，研究探测器物理性能，建立分析框架

考核指标



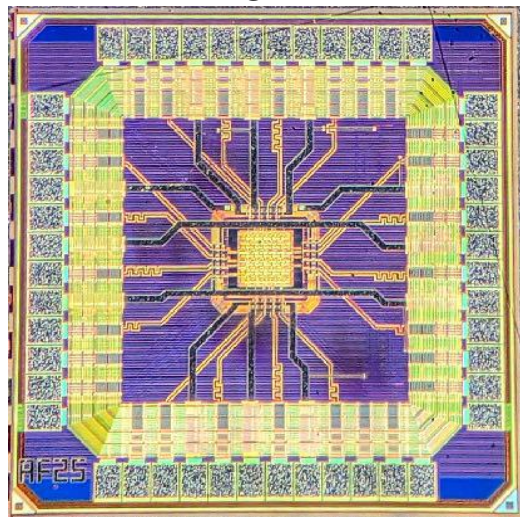
课题目标 ¹	预期成果			考核指标 ²				考核方式 (方法) 及 评价手段 ⁴	
	预期成果名称		预期成果类型	指标 名称	立项时已有指标 值/状态	中期指标 值/状态 ³	完成时指标 值/状态		
(限 500 字以 内。) 参与研发用于ALICE第三 代硅像素探测器的晶圆 尺寸硅像素芯片,掌握其 研发关键技术; 完 成 FoCal 探测器的硅像素层 的研制任务, 掌握研制基 于硅像素芯片的高粒度 量能器及其读出电子学 的关键技术。发表论文 1-2 篇; 培养研究生 2-3 名。	主要 成果	1	合作研 发出大 面积硅 像素芯 片	☐ 新理论 ☐ 新原理 ☐ 新产品 ☐ 新技术 ☐ 新方法 ☒ 关键 部件 ☐ 数据库 ☐ 软件 ☐ 应用 解决方案 ☐ 实验装置/系 统 ☐ 临床指南/规范 ☐ 工 程工艺 ☐ 标准 ☐ 论文 ☐ 发明专利 ☐ 其他_____	指标 1.1 芯片技 术指标-面积、功 耗等	无	芯 片 面 积 达 90 mm x 140 mm	芯 片 面 积 达 90 mm×140 mm, 像素大小 约 15 um×15 um, 功耗低至 20 mW/cm ²	合作组安排 测试,提供测 试结果
		2	合作研 制 出 FoCal 硅 像 素 层 模 块 及 其 读 出 电 路 板	☐ 新理论 ☐ 新原理 ☐ 新产品 ☐ 新技术 ☐ 新方法 ☒ 关键 部件 ☐ 数据库 ☐ 软件 ☐ 应用 解决方案 ☒ 实验装置/系 统 ☐ 临床指南/规范 ☐ 工 程工艺 ☐ 标准 ☐ 论文 ☐ 发明专利 ☐ 其他_____	指标 2.1 FoCal 技术指标-位置 分辨本领	~10 mm	样 机 位 置 分辨率~5 mm	~5 mm	合作组安排 测试,提供测 试结果
	其他 成果								

进度安排



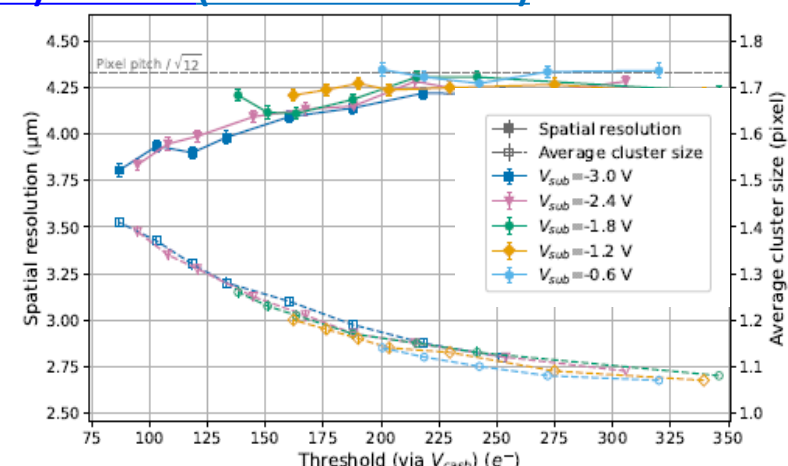
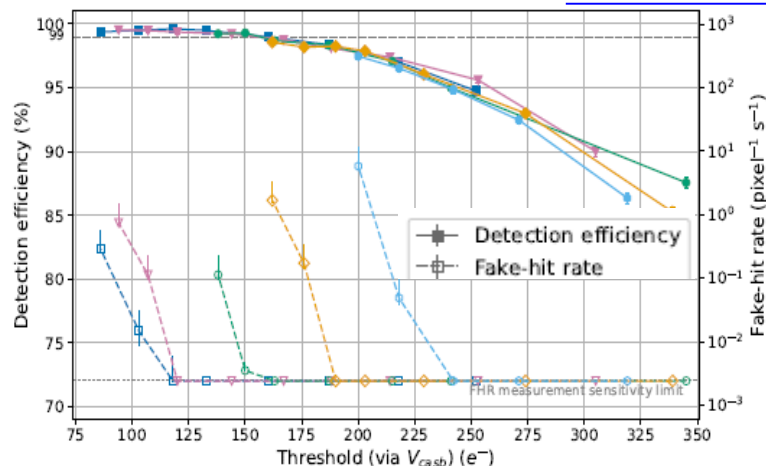
ITS3芯片研发进展：模拟、数字功能验证

APTS

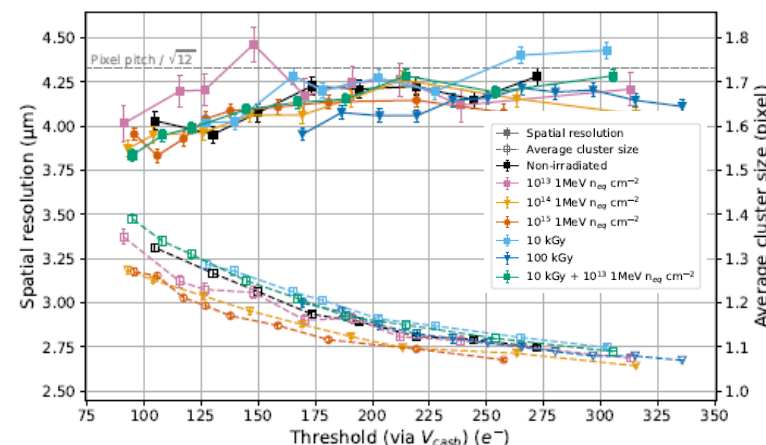
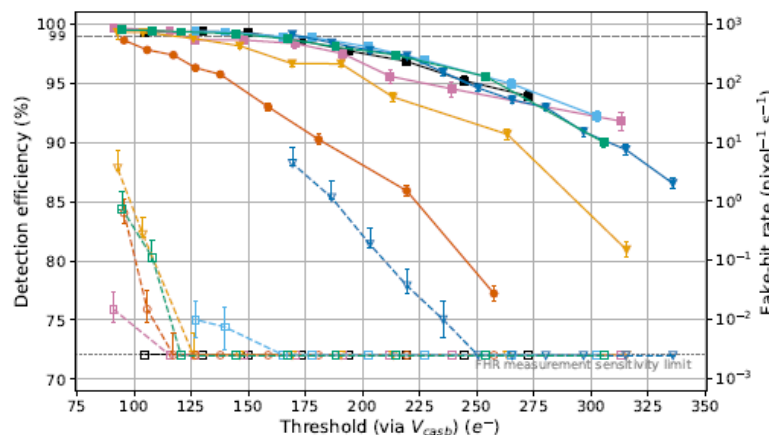


Matrix: 6x6 pixels
Readout: direct analog readout of central 4x4
Pitch: 10, 15, 20, 25 μm
Total: 34 dies

NIMA 1056 (2023) 168589 (arXiv:2212.08621)

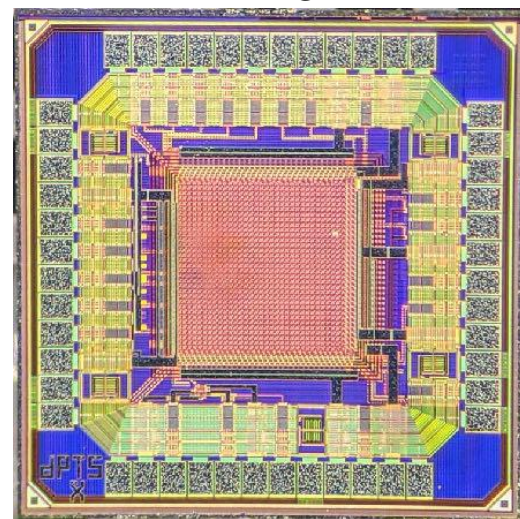


Sensor irradiated to a dose of 10 kGy and 10^{13} 1 MeV n_{eq} cm^{-2} at different $V_{sub} = V_{pwell}$.



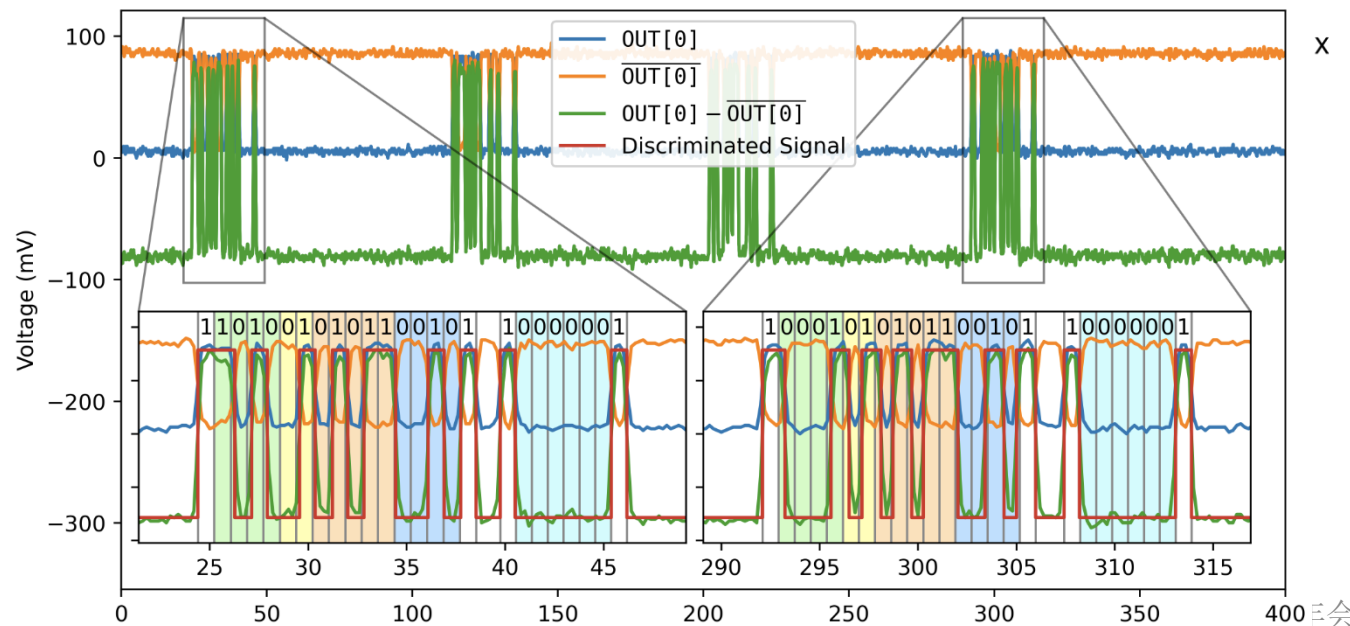
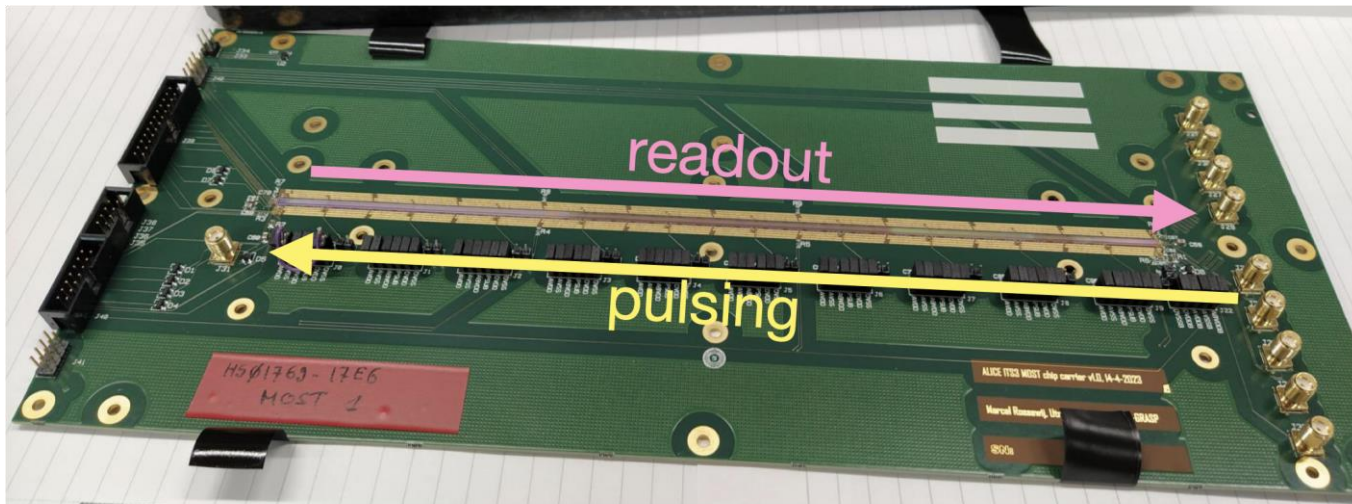
Sensors irradiated to different levels.

DPTS



Matrix: 32x32 pixels
Readout: async. digital with ToT
Pitch: 15 μm
Total: 3 dies

ER1 单片缝合芯片性能测试：数据传输

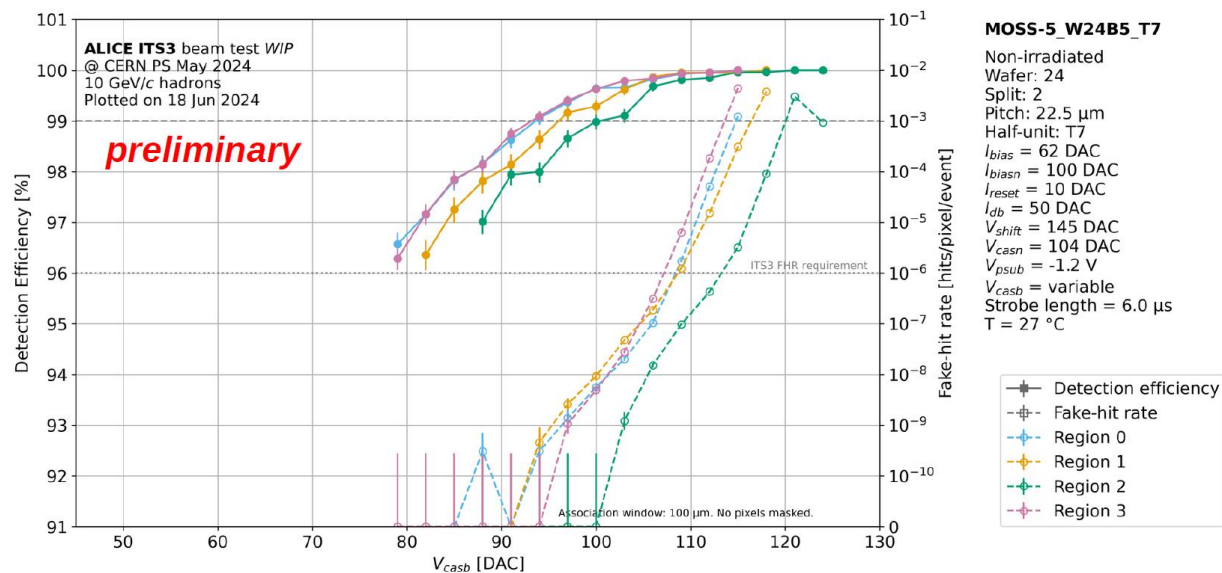


- Slow control backbone works across all stitches
 - Digital readout done by 256 lines (4 per column)
 - serial data at 1 Gb/s
 - across full chip (26cm) towards bottom (right) end
 - all lines work
 - responses to digital pulsing according to specs
- MOSS design fully functional
Design concepts and methodology validated
Much learning on yield, handling and performance of a full-scale device

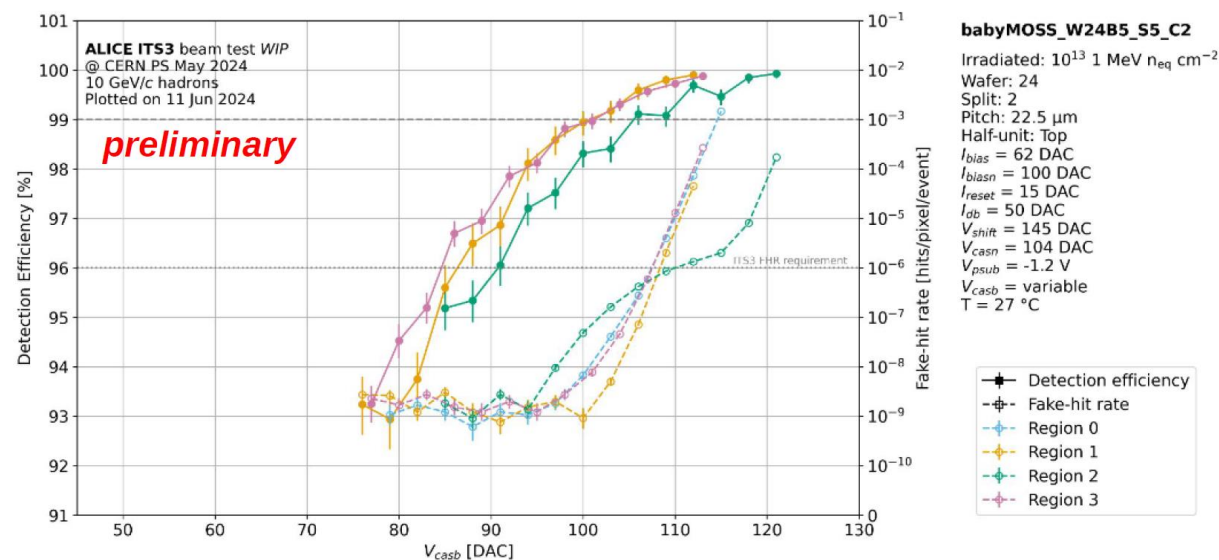
ER1单片缝合芯片性能测试：探测效率和分辨率



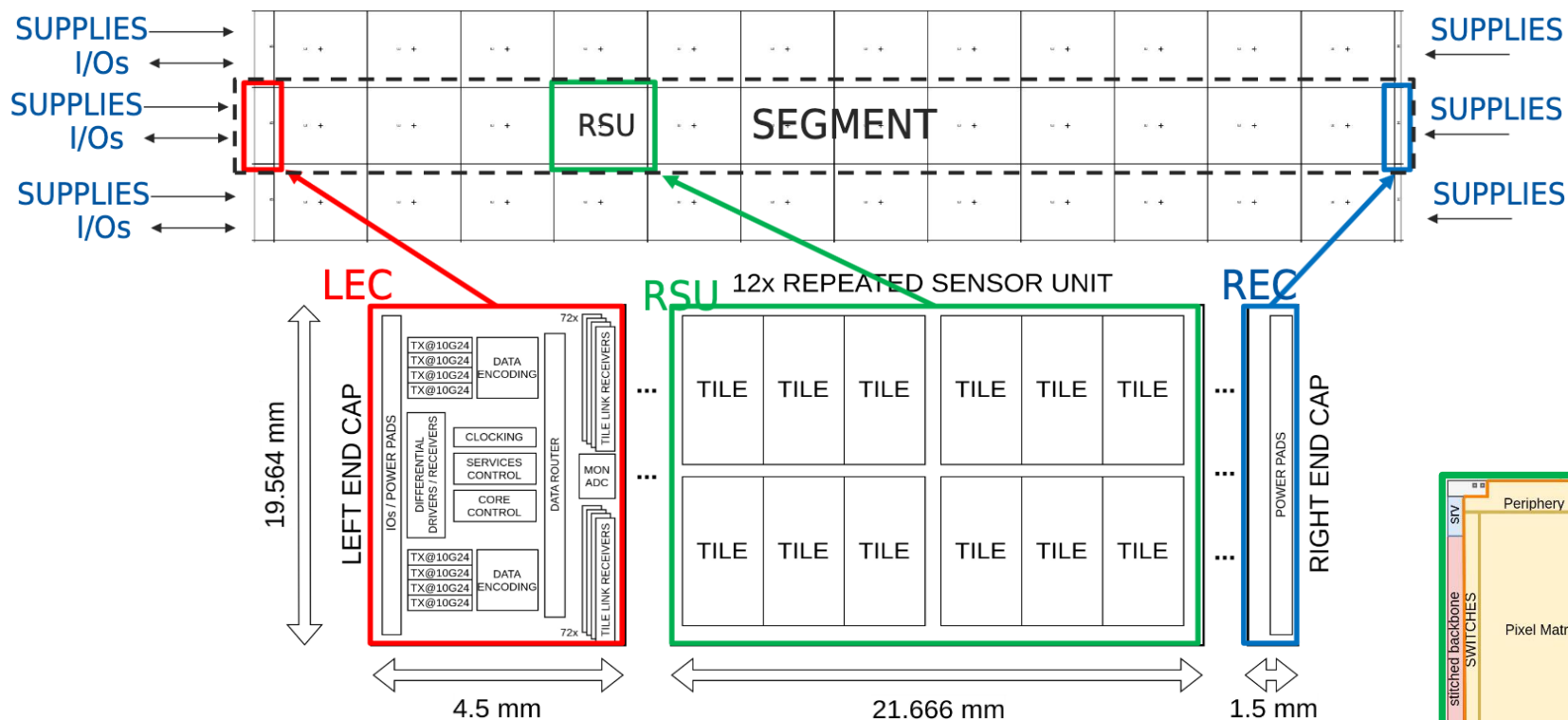
Non-irradiated MOSS, PSUB = -1.2 V



Irradiated babyMOSS, PSUB = -1.2 V



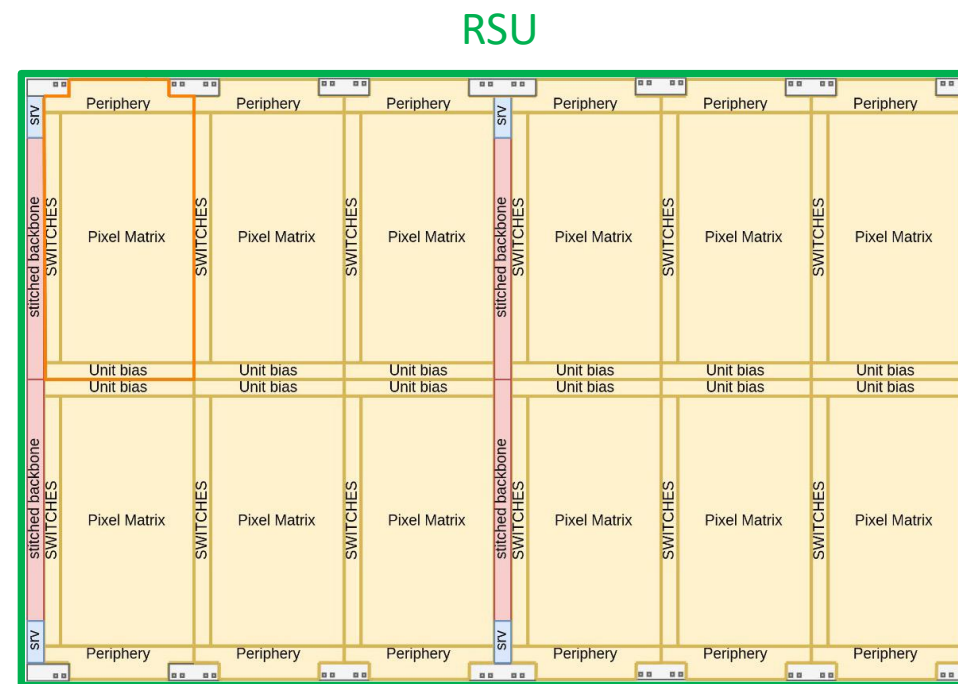
ER2芯片：MOSAIX总体结构



- Design ongoing
submission target October 2024

12 RSU per segment, 12 TILES per RSU
144 TILES can be switched on, biased and
read out independently

Programmable Switches
One TILE is $1/864=0.116\%$ of L0 acceptance

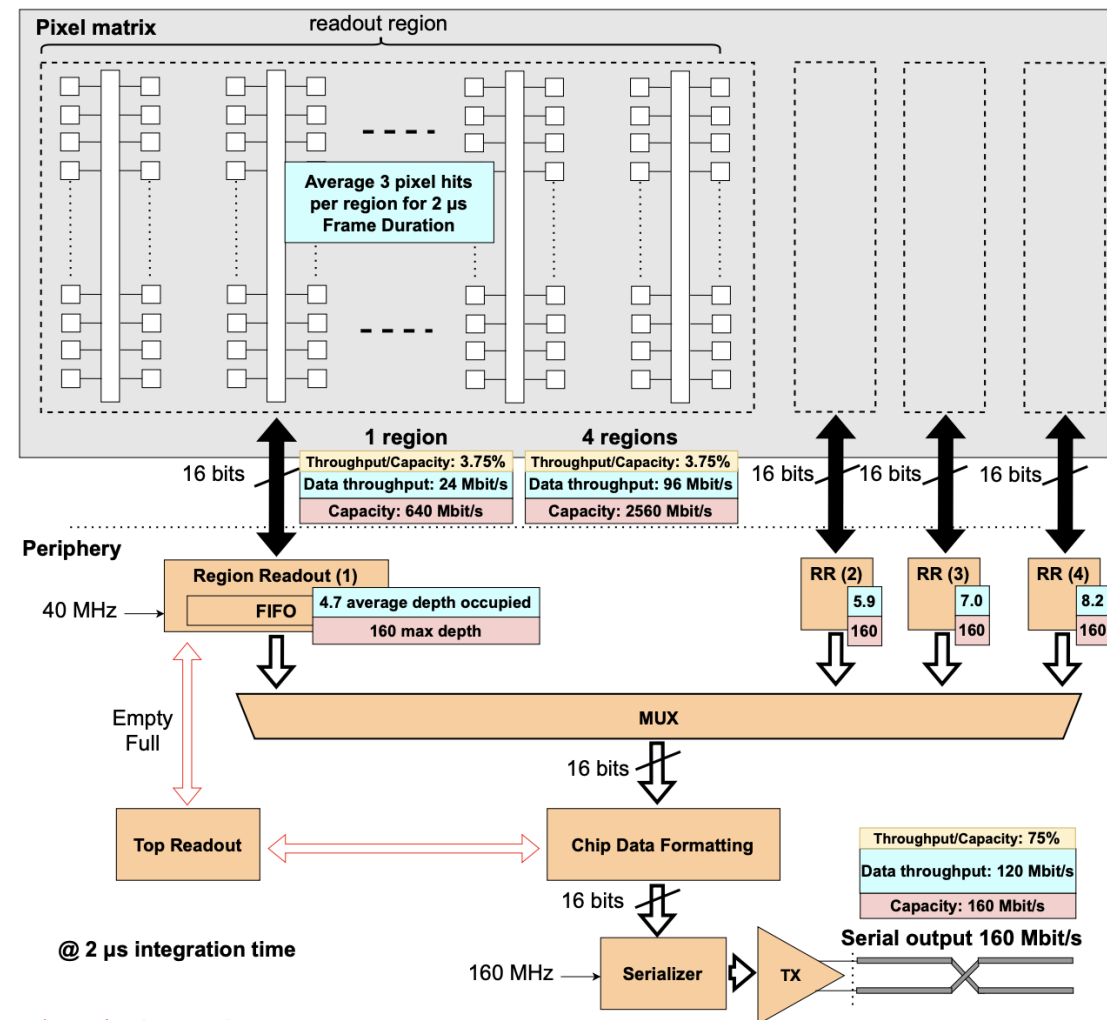


ER2芯片设计：ASIC框图

设计指标

Simulation parameters	Value	Unit	Conditions
Particle Rates			
Pb-Pb Interaction Rate	164	kHz	Safety factor 2
Particle flux (Hadronic)	2.55	MHz cm ⁻²	z=0 cm, all centralities.
Particle flux (QED)	3.20	MHz cm ⁻²	z=0 cm.
Total particle flux	5.75	MHz cm ⁻²	z=0 cm, all centralities.
Geometry, timing, encoding, data transfer capacity			
Pixel dimensions	20.8 × 22.8	μm × μm	
Tile pixel array size	442 × 156		
Pixels per Tile	68952		
Sensitive Area of the tile	0.328	cm ²	
Tiles per segment	144		
Readout regions per tile	3 or 4		
Frame Interval Duration (FD)	2 or 5	μs	
Minimum average cluster size	2.1		Δz = 0 cm, Fig. 3.43.
Pixel hit encoding time	25	ns	
Bits per pixel hit	16	bit	
Capacity of tile link	160	Mbit s ⁻¹	
Aggregated capacity (Segment)	23.04	Gbit s ⁻¹	
Simulation results			
Average pixel occupancy	< 2.0 × 10 ⁻⁴		z=0 cm.
Average pixel occupancy	< 5.0 × 10 ⁻⁴		z=0 cm, FD=5 μs.
Data throughput	120	Mbit s ⁻¹ Tile ⁻¹	z=0 cm.
Data throughput	15.55	Gbit s ⁻¹ Segment ⁻¹	
Data throughput per unit area	365	Mbit s ⁻¹ cm ⁻²	z=0 cm.
Data throughput per unit area	329	Mbit s ⁻¹ cm ⁻²	Average over z.
Data throughput per link	2.58	Gbit s ⁻¹	
Incomplete event probability	< 6 × 10 ⁻⁵		Layer 0 segment.
Incomplete event probability	< 2 × 10 ⁻⁴		Full layer 0.

One tile (total 6) of the half of one repeated sensor unit (total 12)

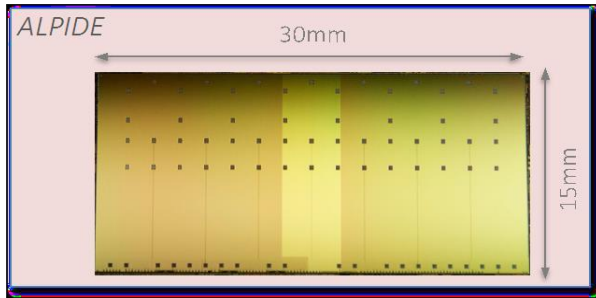
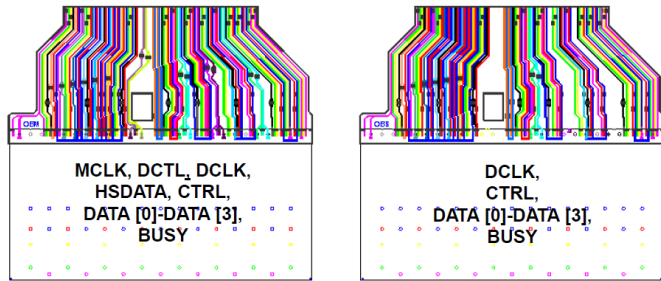


更多细节见下午全乔木的报告

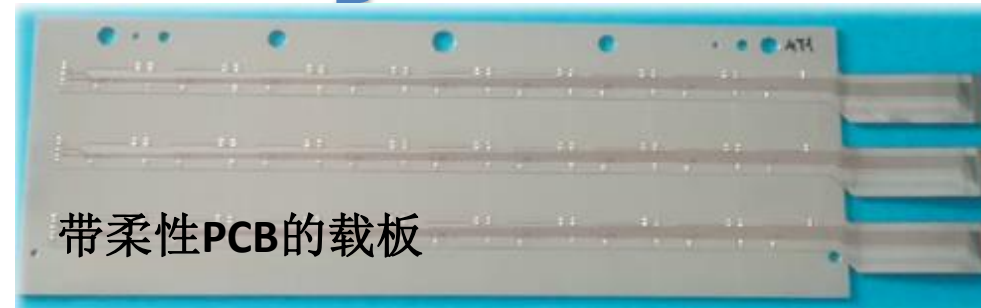
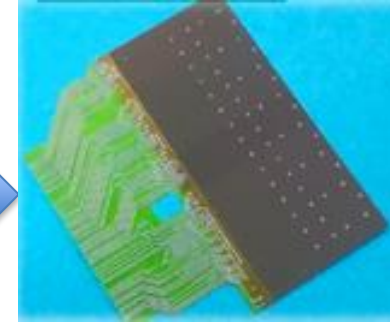
重点研发项目年会

FoCal探测器硅像素层制作流程

3 type of chip-cables



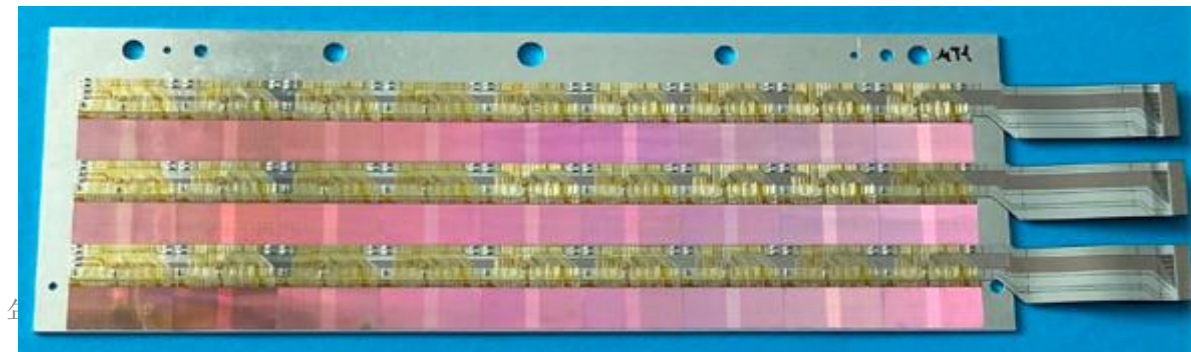
SpTAB



更多细节见下午刘军的报告

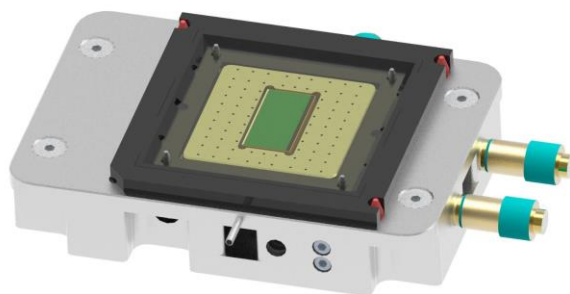
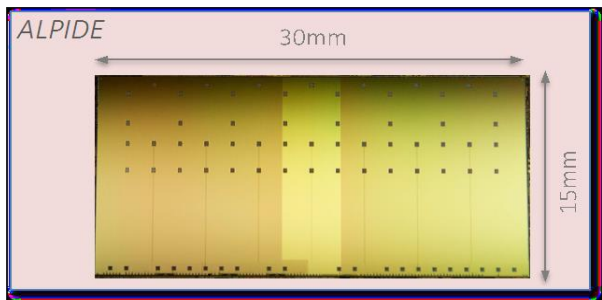
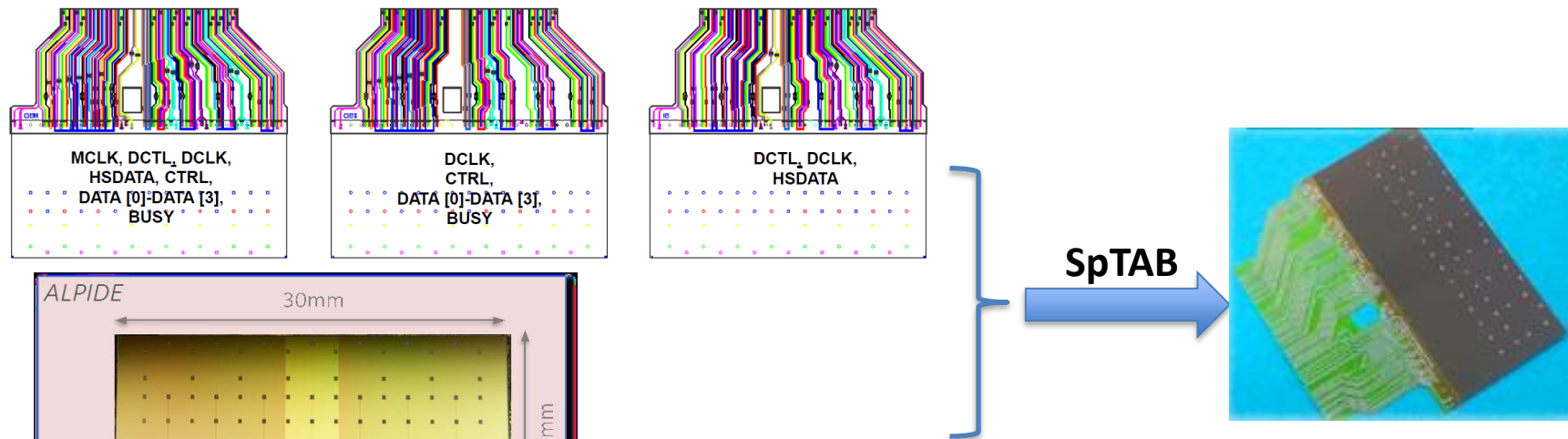
SpTAB

- 研制各种夹具
- 承担部分组装任务



FoCal硅像素层研制进展: 单芯片组装夹具研制

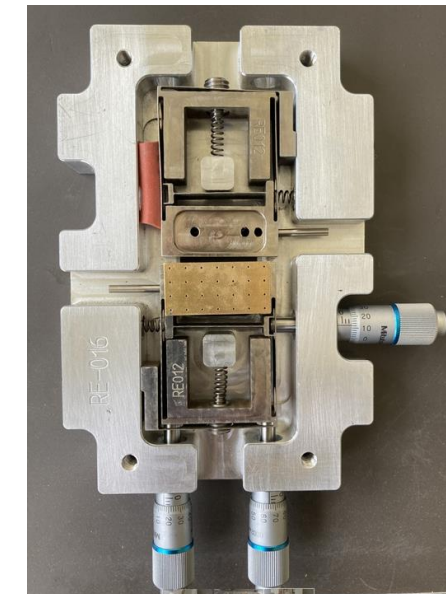
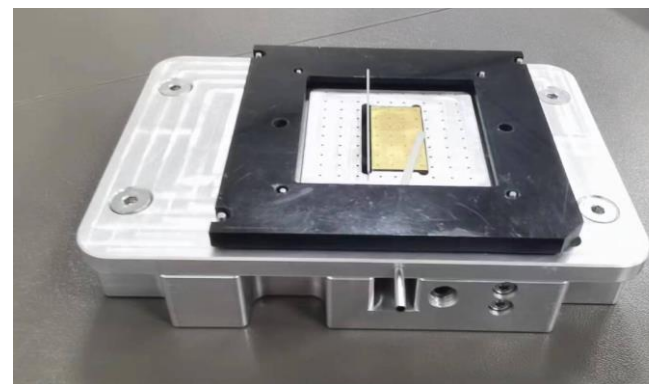
3 type of chip-cables



Single ALPIDE mounting jig

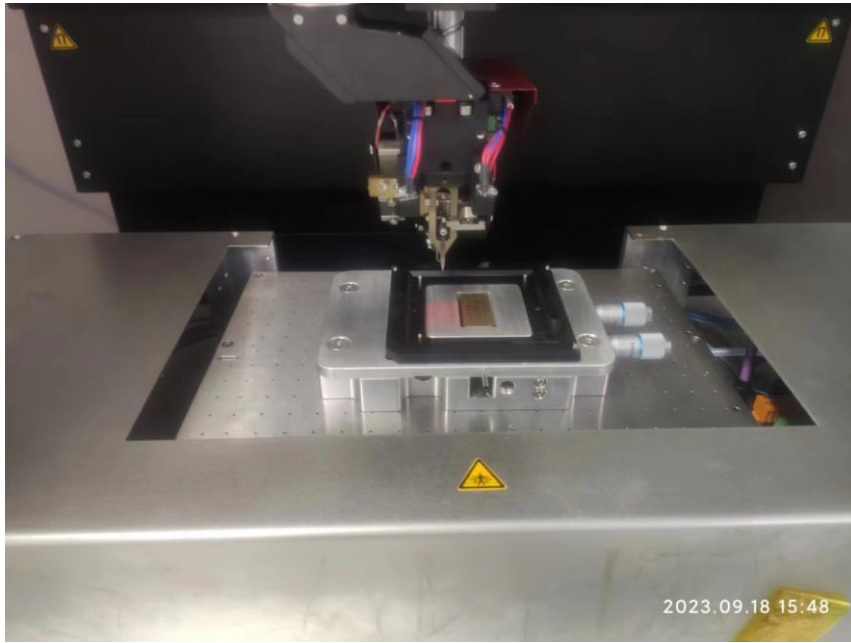


First try failed with one component
Solved by heat treatment



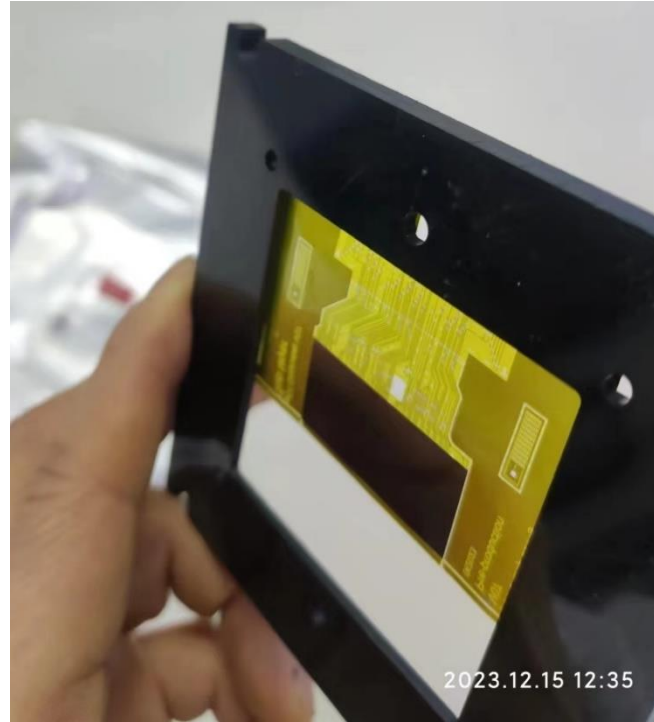
- Brought to CERN for validation in May, 2023
- Three more jigs produced and sent to labs in 2023
- Vacuum tightness validated
- Functioning tested with micrometer heads

FoCal硅像素层研制进展: ALPIDE2Chipcable



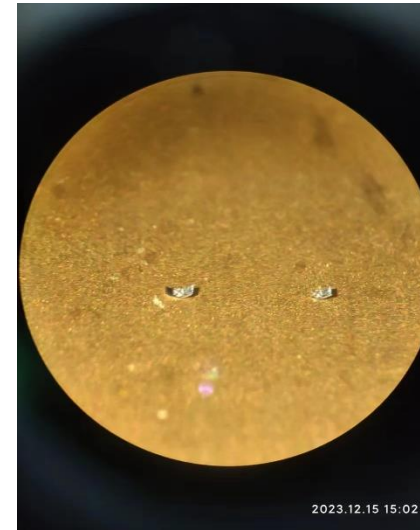
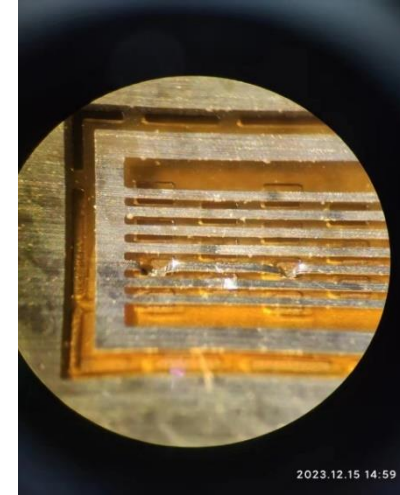
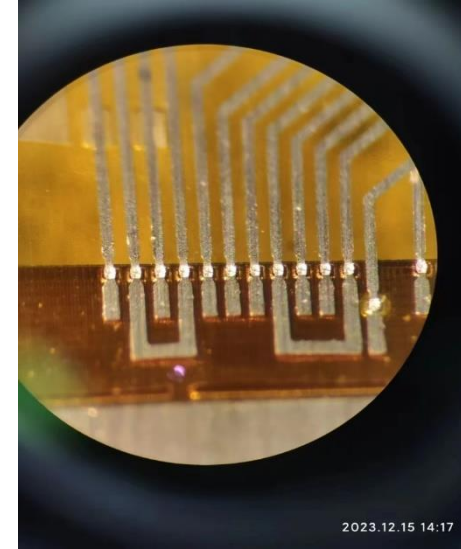
To hold the single ALPIDE mounting jig, the bonder table is lowered by 2 cm

13/07/2024



ALPIDE is bonded to chipcable

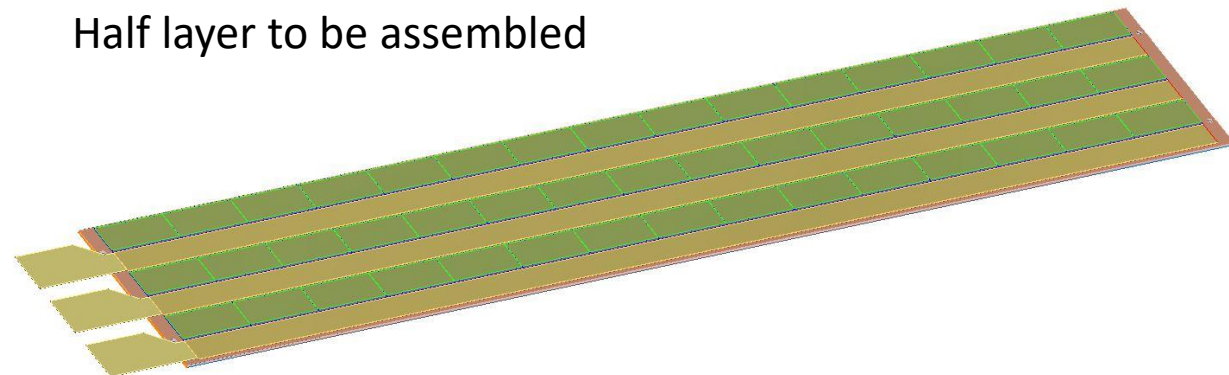
View under microscope



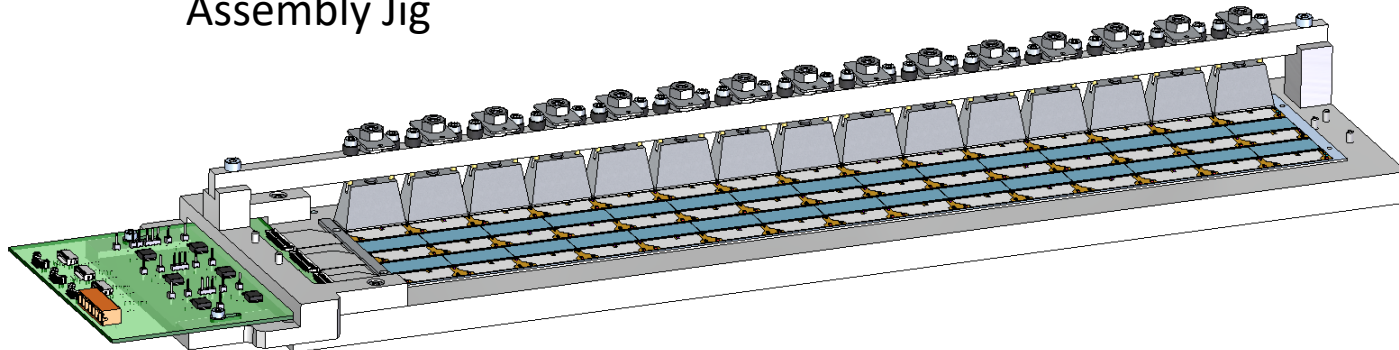
Peel test

FoCal硅像素层研制进展: 组装夹具研制

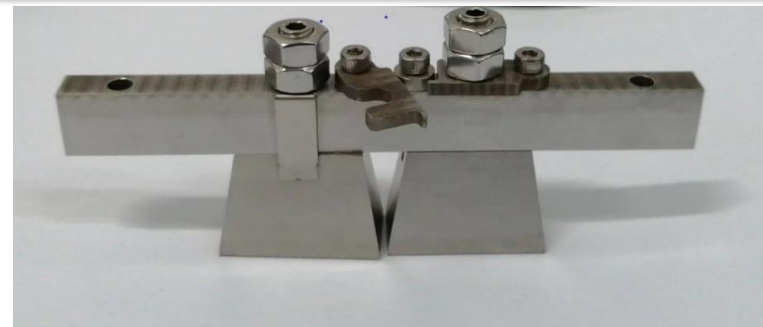
Half layer to be assembled



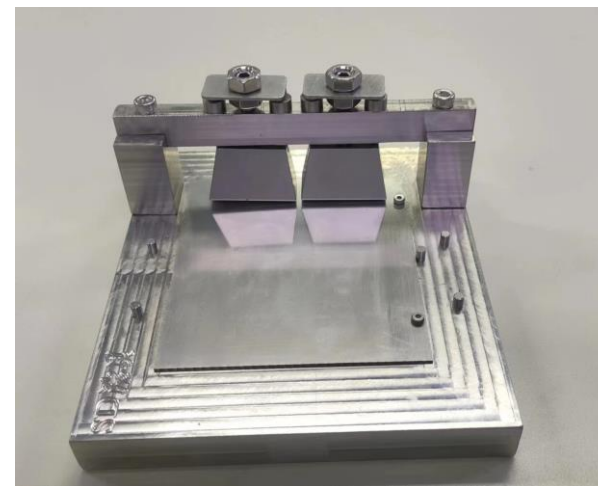
Assembly Jig



Production of assembly jigs on-going

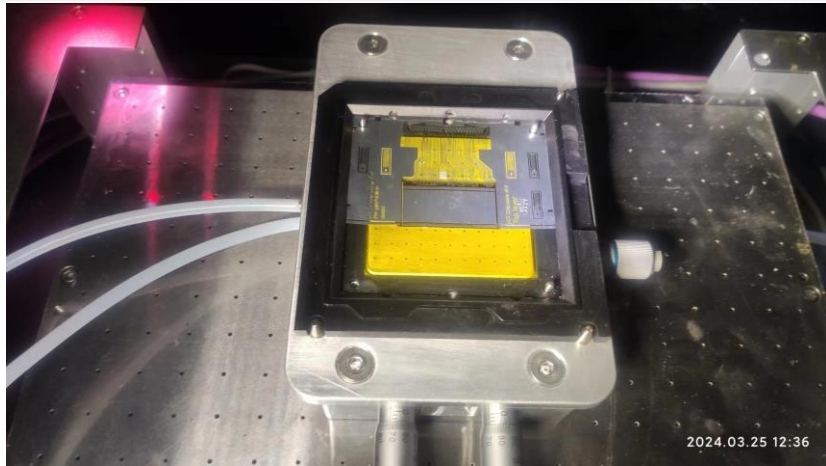


1st test sample with two structures produced and sent to Europe before Christmas, 2023

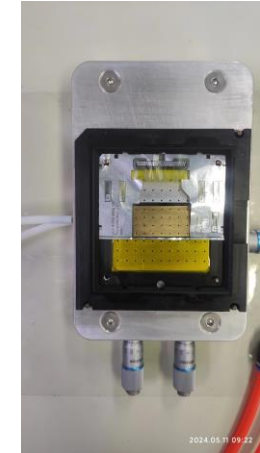
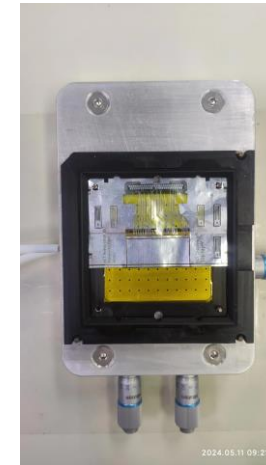
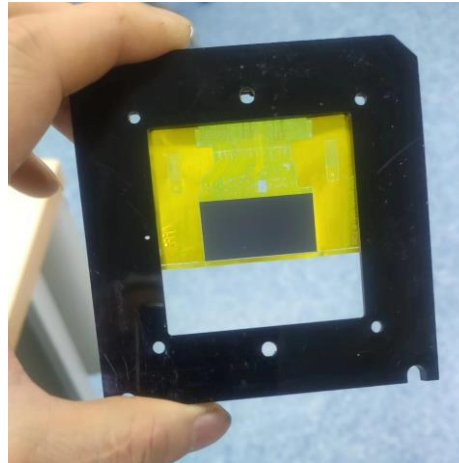


2nd version of test sample produced and sent to Oslo in April, 2024

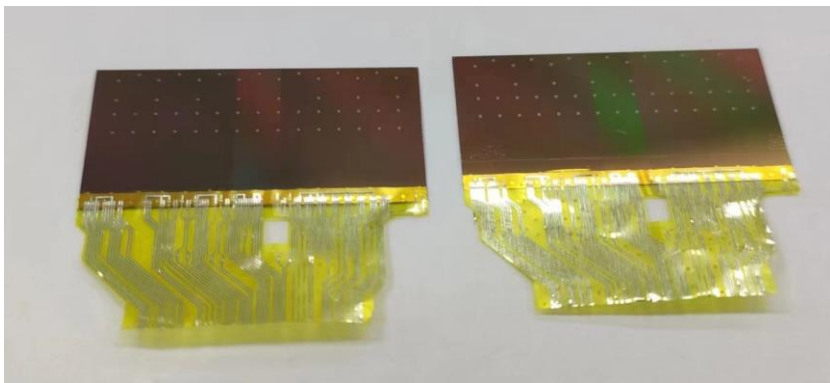
FoCal硅像素层研制进展: 组装流程测试



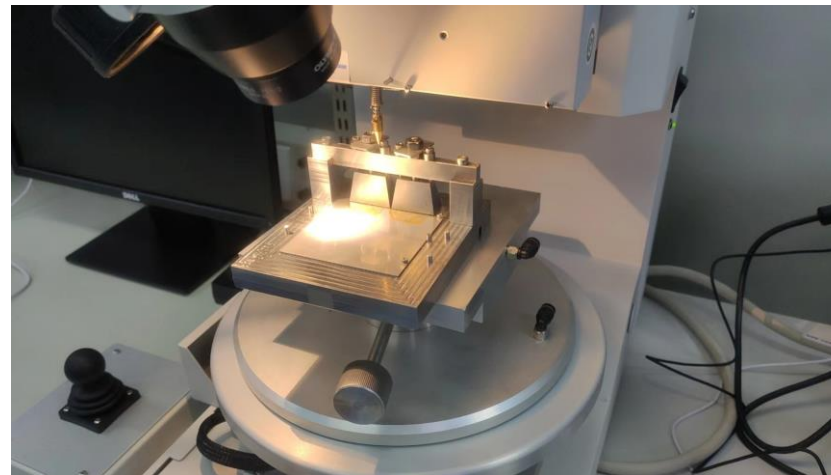
SpTab Bonding using F&K Delvotec G5 64000 bonder



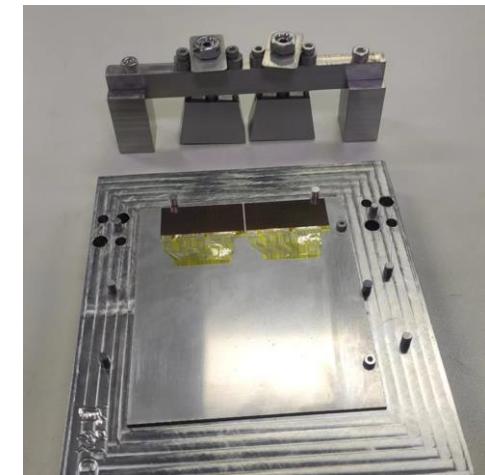
Cut off the part for assembly



The parts for assembly



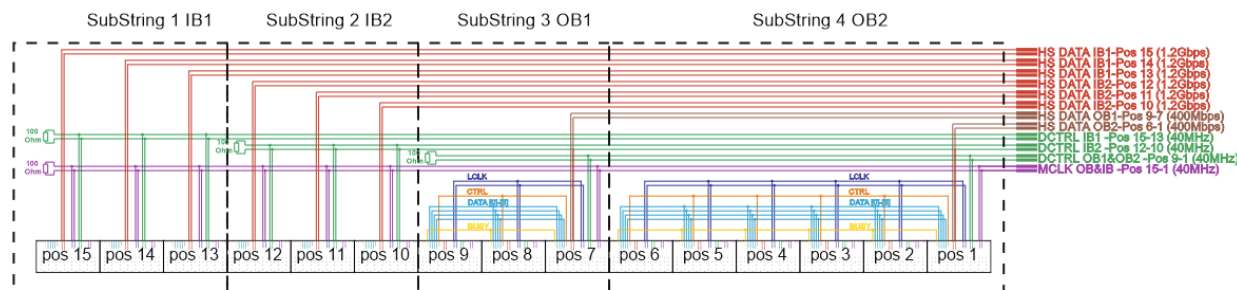
Place the parts using the assembly tool prototype under a microscope



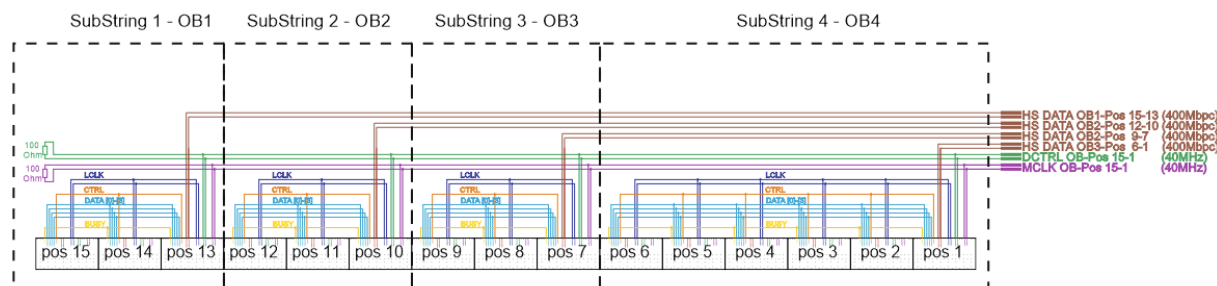
- **The full procedure with a checklist to be established**

FoCal硅像素层研制进展:String研制

Schematic diagram



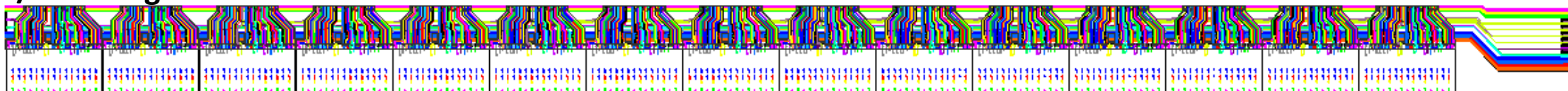
IB/OB



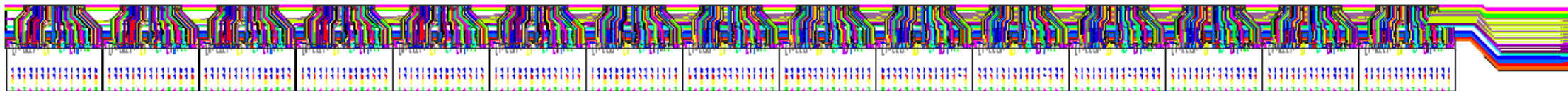
OB

Layout

IB/OB string



OB string



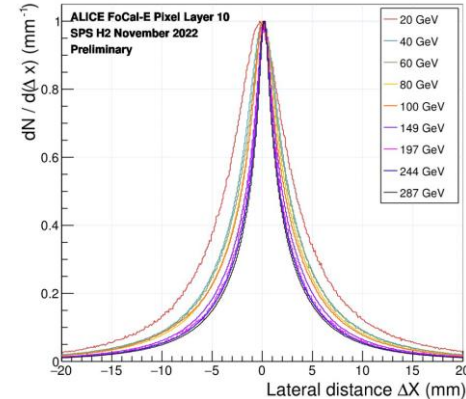
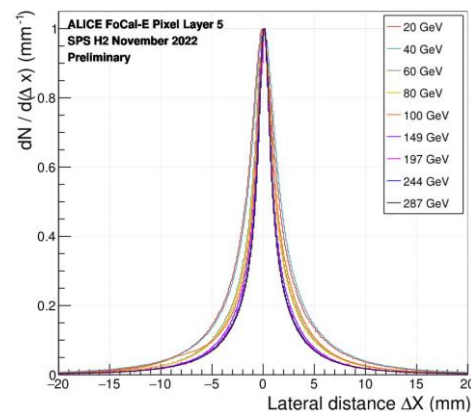
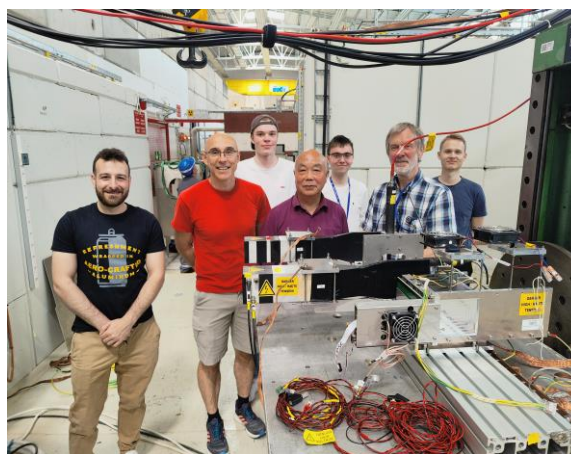
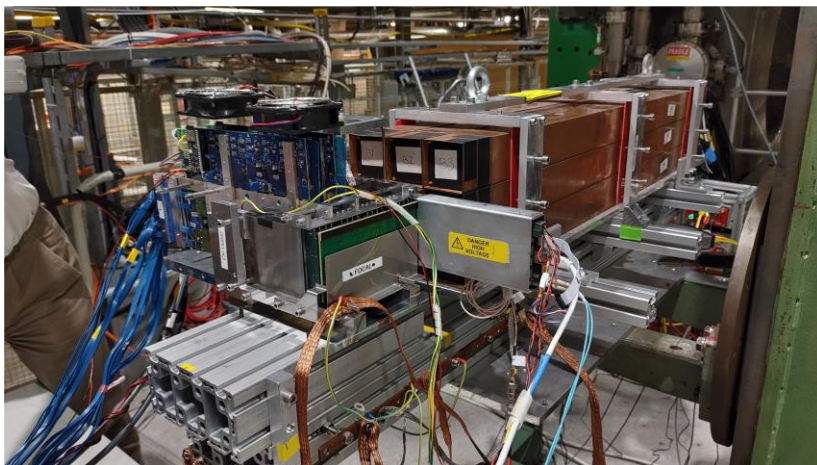
Production is expected to start in July in Ukraine

关于读出电子学的更多细节
见吝守龙的报告

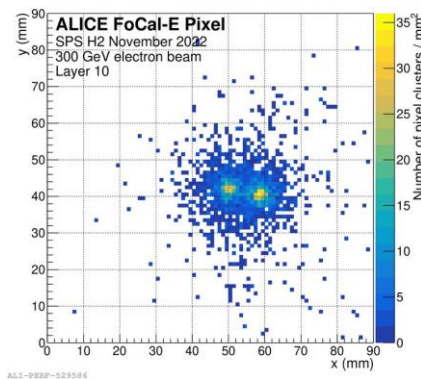
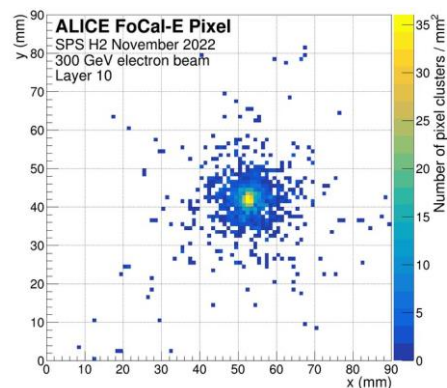
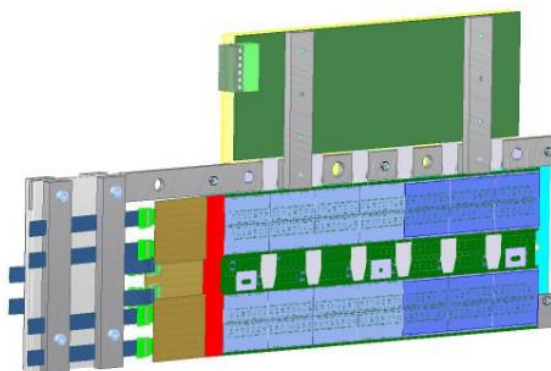
FoCal硅像素层研制进展: 束流测试及其数据分析



ALICE



arXiv: [2311.07413](https://arxiv.org/abs/2311.07413)



基于HIC的硅像素层样机

- Liu He participated the Nov. beam test in 2022
- Jie Yi and Prof. Zhou participated the May and June beam test in 2023

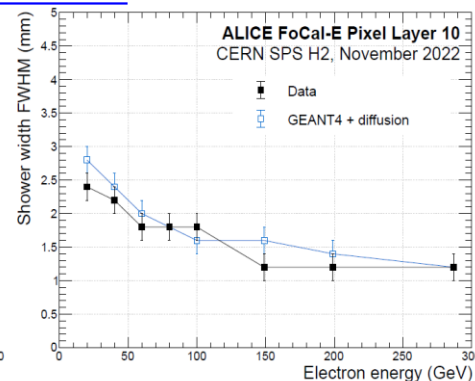
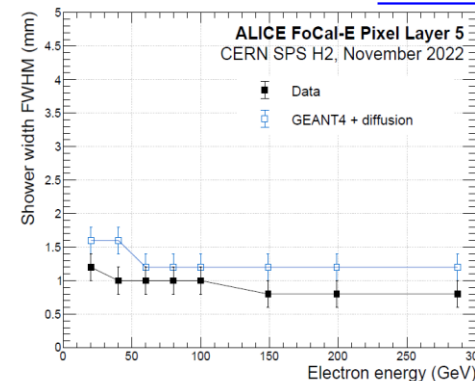


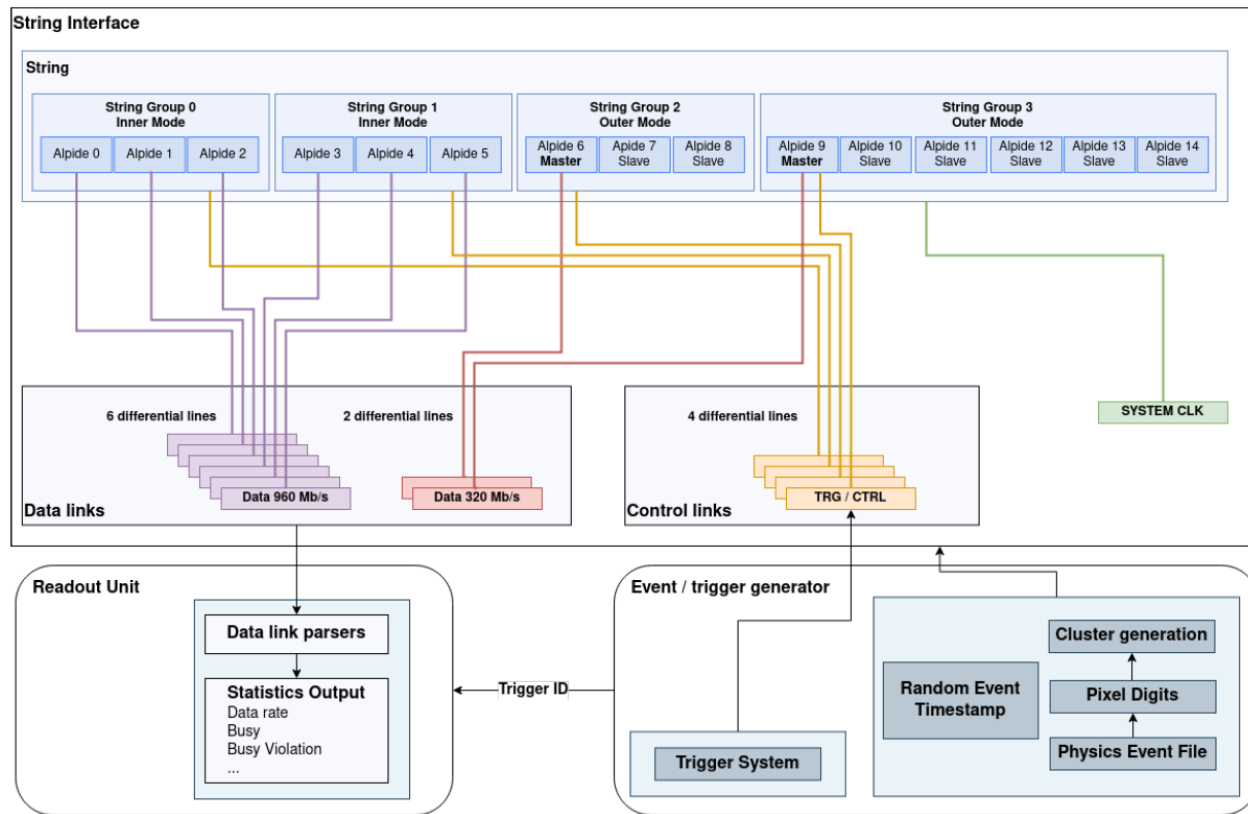
Figure 33. Measured and simulated FWHM for layer 5 (left panel) and 10 (right panel) versus electron energy. The error bars represent an uncertainty of 0.2 mm.

- **Shower width of 1 mm achieved.**
- **Analysis has been summarized in a paper accepted for publication in JINST and also documented in Liu He's master thesis**

SystemC 模拟



SystemC 模拟框架



- Results from SystemC simulation have been documented in TDR, which have been approved in March 2024.
- It has also been fully documented in Jie Yi's master thesis

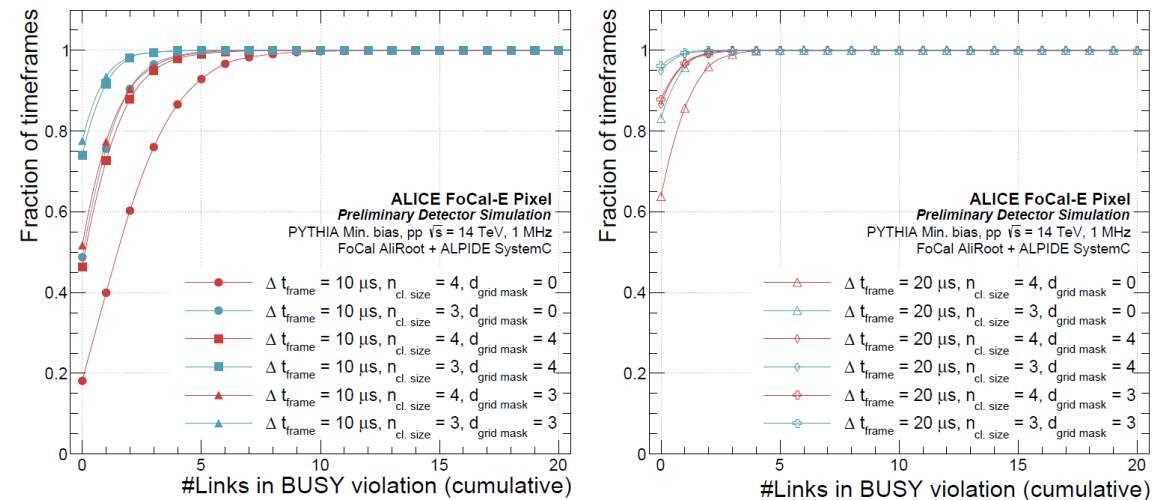
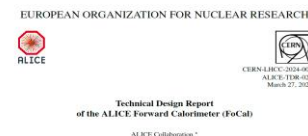


Fig. A.19: Fraction of frames with the cumulative number of links in BUSY violation for pp collisions, i. e. #Links with grid mask of $d_{\text{grid mask}} = 4$ and $d_{\text{grid mask}} = 3$, with two different timeframe lengths $\Delta t_{\text{frame}} = 10 \mu\text{s}$ (left) and $\Delta t_{\text{frame}} = 20 \mu\text{s}$ (right). The cumulative curves show the probability to encounter a timeframe with a maximum of #Links in BUSY violations.



Technical Design Report
of the ALICE Forward Calorimeter (FoCal)
ALICE Collaboration



更多细节见下午易杰的报告

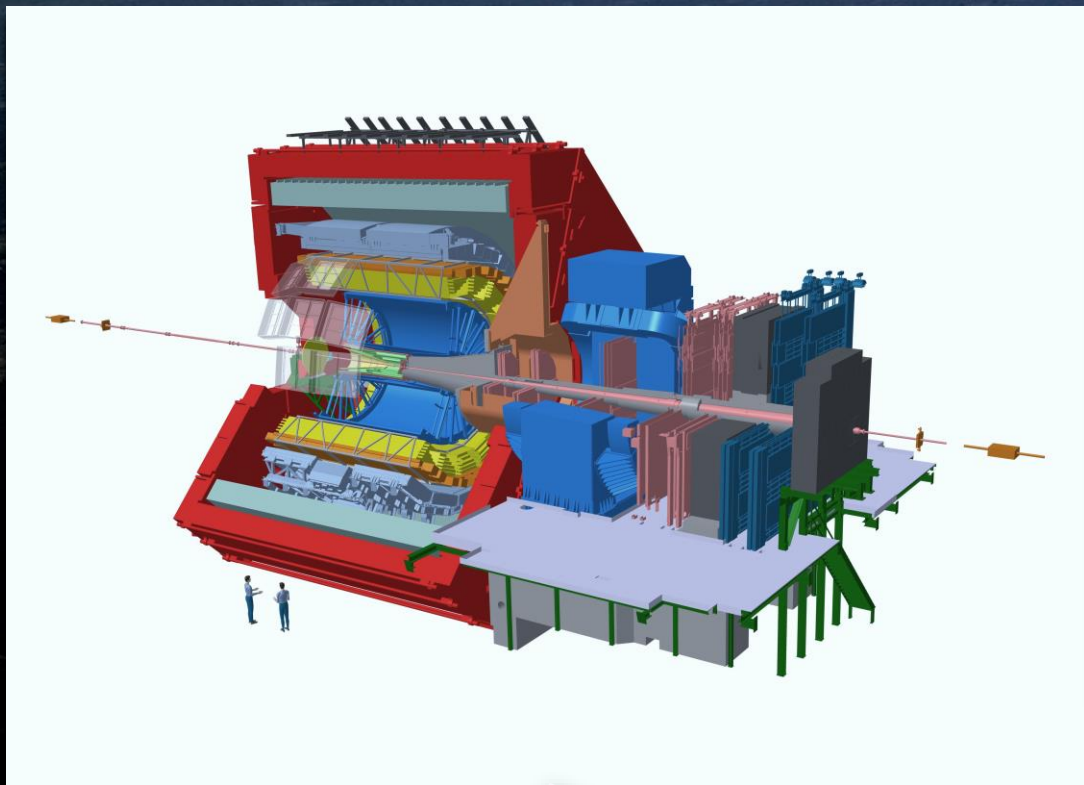
总结



- 课题将完成ALICE中国组承担的ALICE在LS3期间的升级任务
 - 参与用于ITS3的大面积超薄柔性硅像素芯片的研发
 - 参与FoCal探测器硅像素层及其读出电子学系统的研制
- 课题组开展的相关工作
 - ITS3 ER1芯片测试和ER2芯片设计和模拟验证正在有序推进
 - 已研制出单ALPIDE芯片工装夹具
 - 硅像素层的工装夹具正在制作之中
 - 正在建立硅像素层模块组装流程
 - 正在开发读出电子学系统的固件
 - 基于束流测试结果的文章已经被JINST接受发表
 - 系统模拟的结果被包含在TDR之中

谢谢各位专家的指导!

课题简介



- ALICE是LHC上唯一致力于重离子碰撞物理的大科学装置。
- 研究夸克-胶子等离子体 (QGP) 的性质及其演化规律，以深入理解由量子色动力学 (QCD) 主导的多粒子系统的特性，认识早期宇宙及其演化规律。

~40个国家，~172个大学和研究机构，~2000名科学家和工程技术人员

13/07/2024

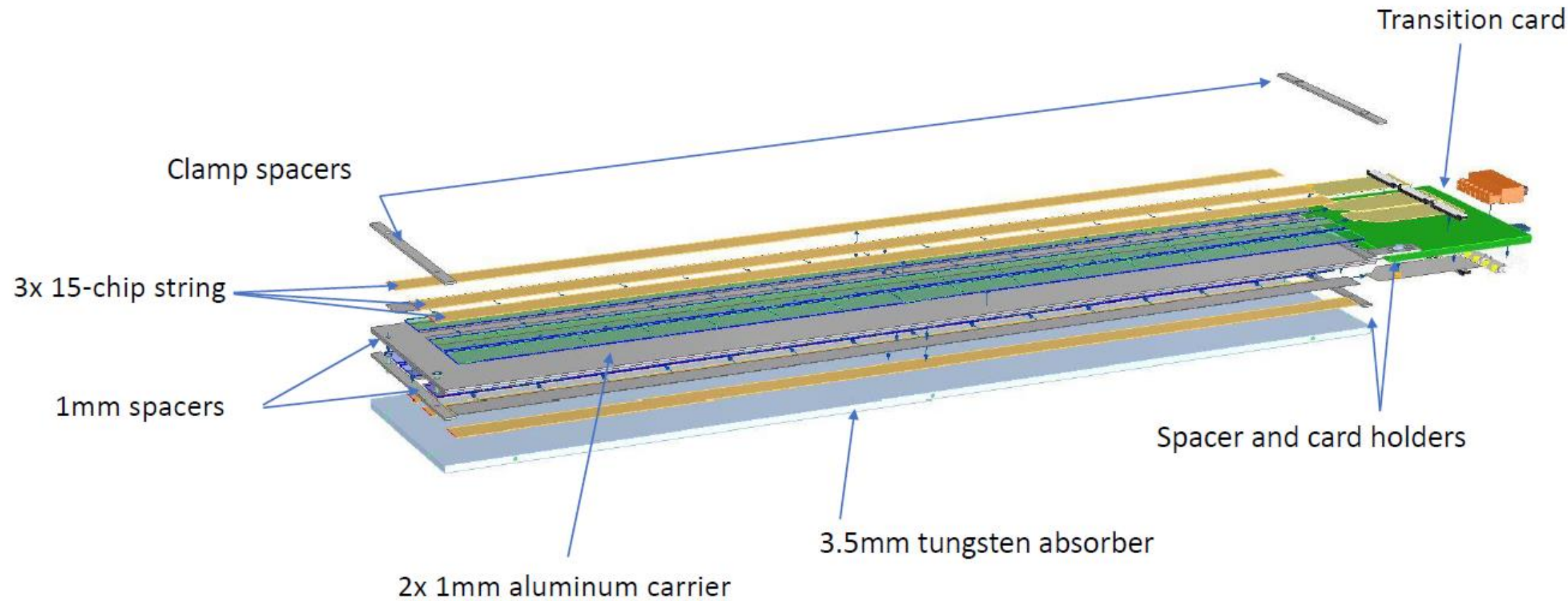
重点研发项目年会

探测器建造费用：200 M 瑞郎

本次升级总经费：18 M 瑞郎

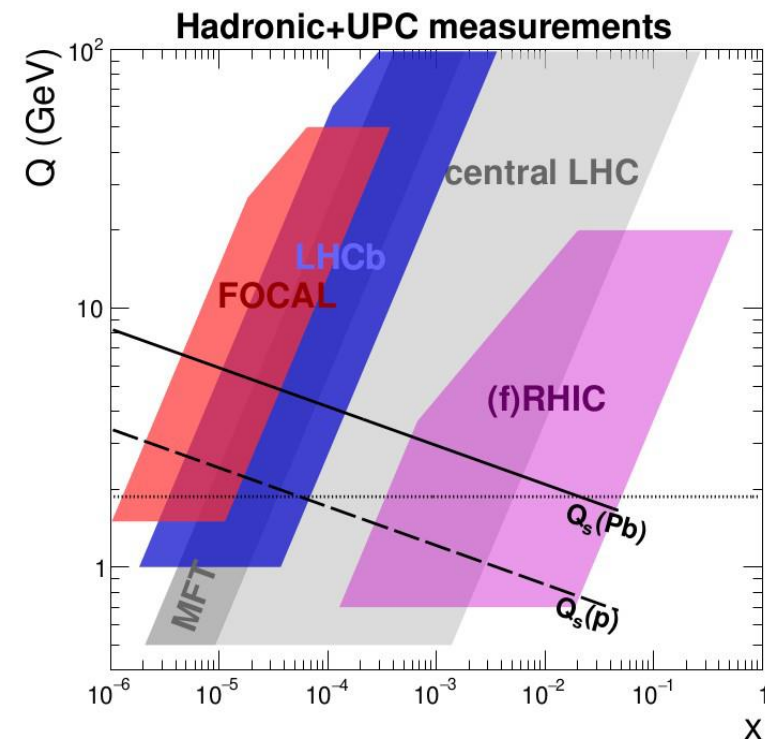
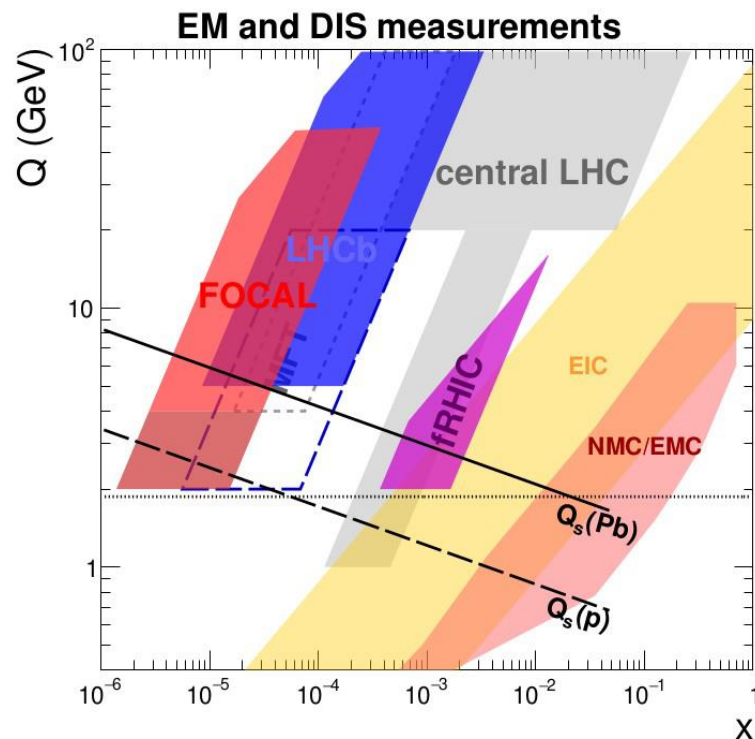
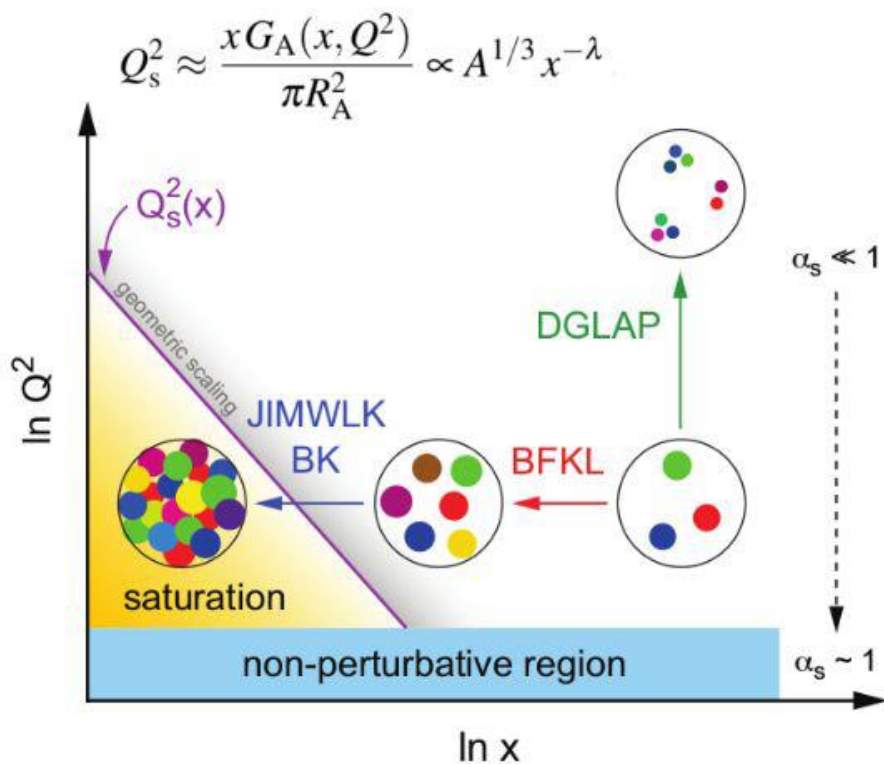
→ 中国组预期贡献：~2.8 %

FoCal硅像素层的结构



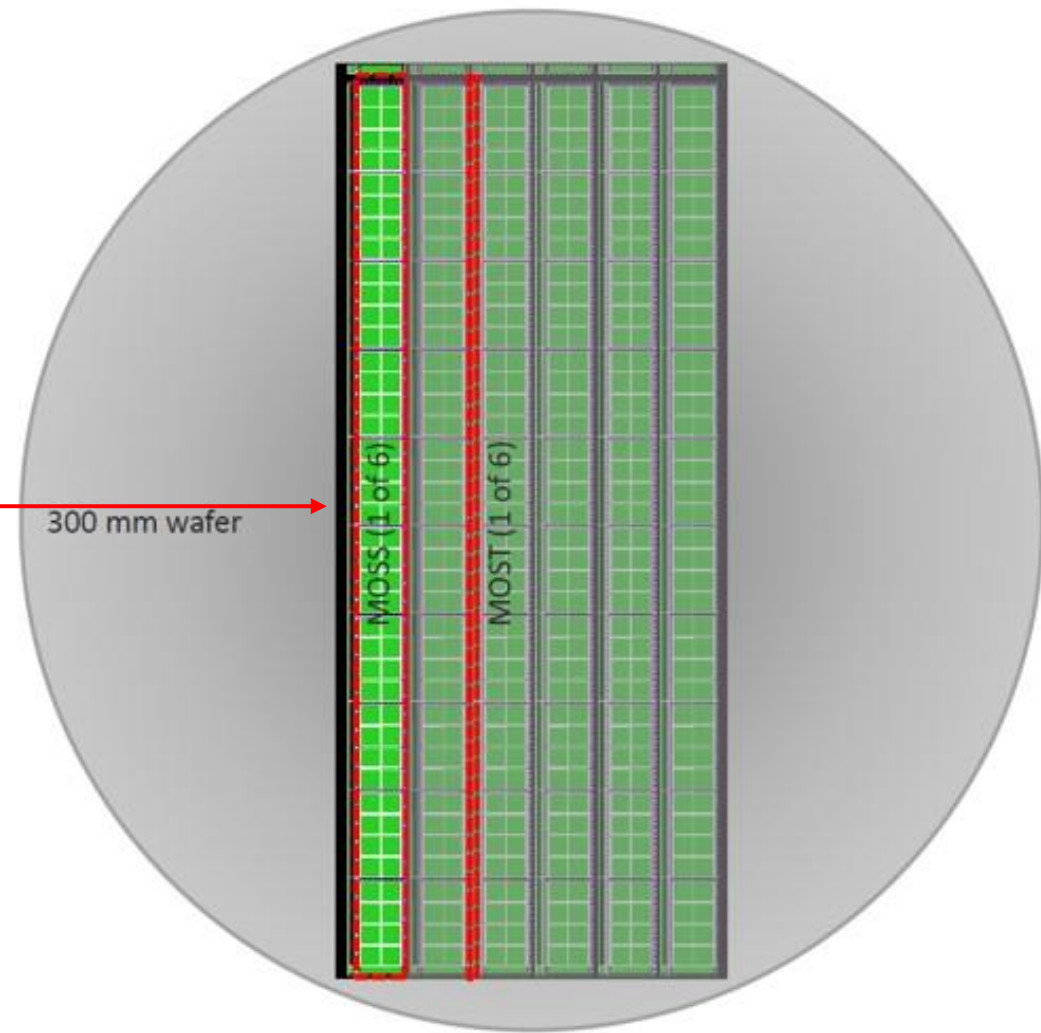
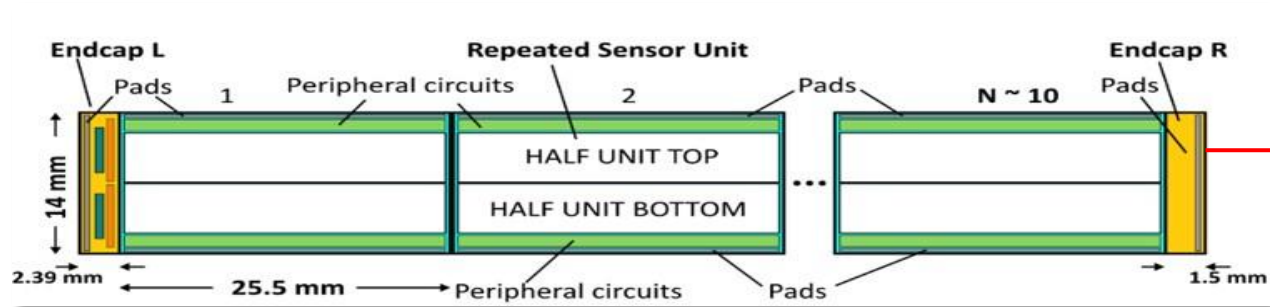
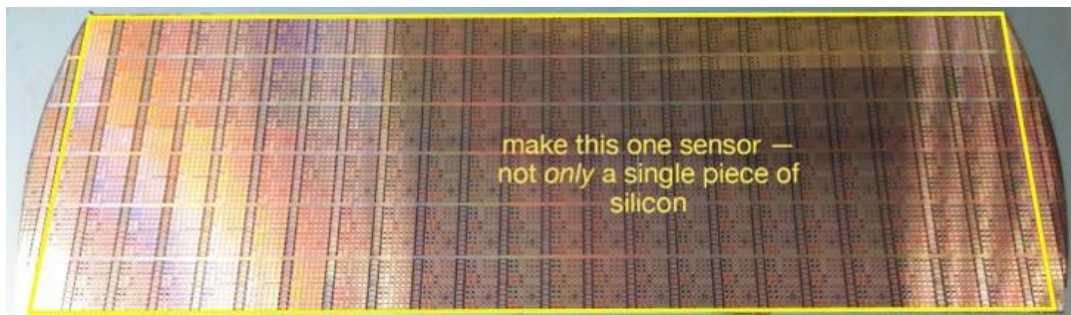
Total thickness = 3.5 (absorber) + 1.0 (spacer) + 1.0 (carrier) + 1.0 (carrier) + 1.0 (spacer) + 1.0 (spacer) = **8.5mm**

FoCal的物理目标



- FoCal将拓展 Q - x 探测区域, x 低至 10^{-6} , Q 达 4 GeV $\rightarrow$ 测量质子-质子碰撞中前向快速度区直接光子的横动量谱
- 研究小 x 物理及 QCD 非线性动力学 $\rightarrow$ 测量 π^0 - π^0 和 γ - π^0 方位角关联

合作研发晶圆尺寸的超薄硅像素芯片

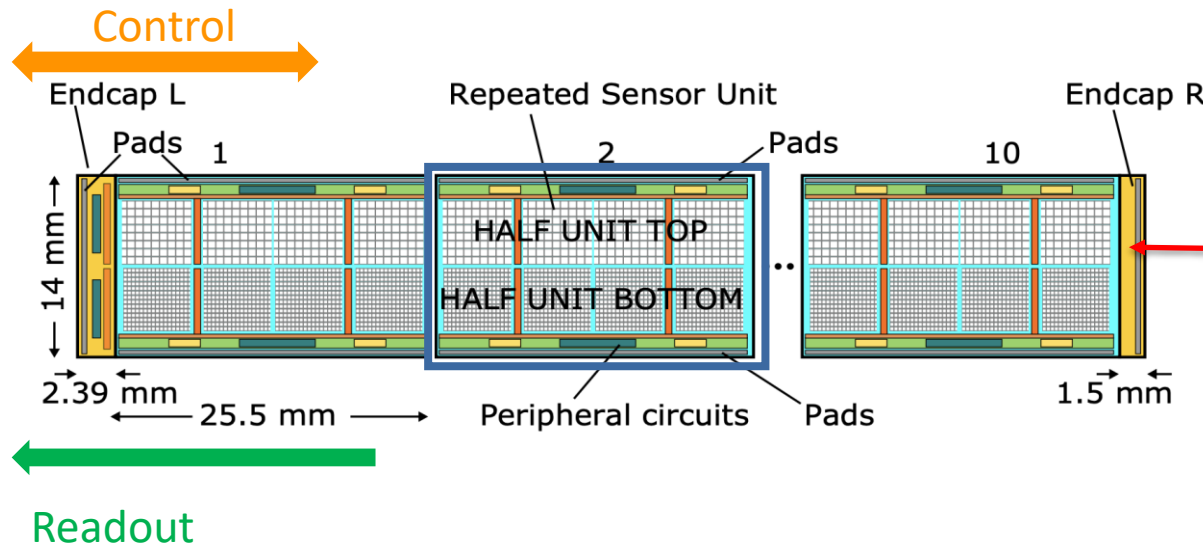


- 基于缝合技术合作研发晶圆尺寸的硅像素芯片
- 优化像素传感器读出架构，采用全局选通、优先编码、击中驱动异步读出方法，降低功耗
- 研究降低芯片对故障的敏感度的方案，以提高良品率
 - 各重复单元是独立的芯片，有独立的电源域和使能信号等
 - 缝合传输主干是唯一所有重复传感器单元共用的模块，负责控制信号和数据的传输，也有其独立的电源域

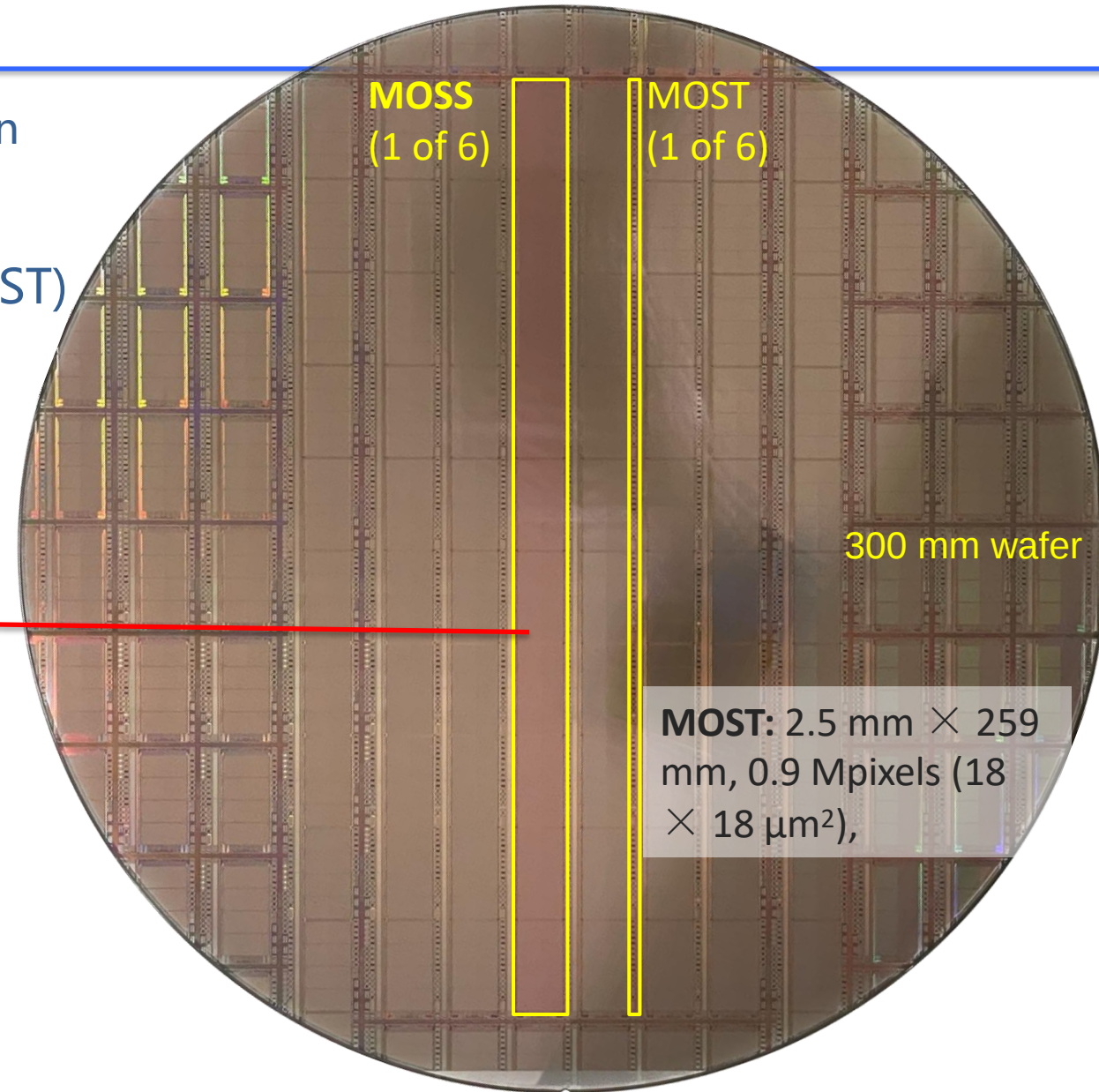
ER1 MAPS Chip

Aim at learning and proving **stitching**, submitted in December 2022

Two wafer scale stitched sensor chips (MOSS, MOST)

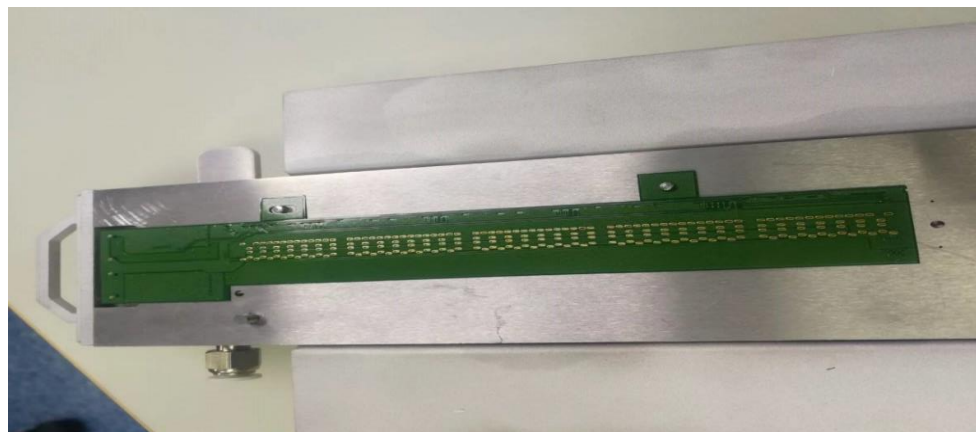
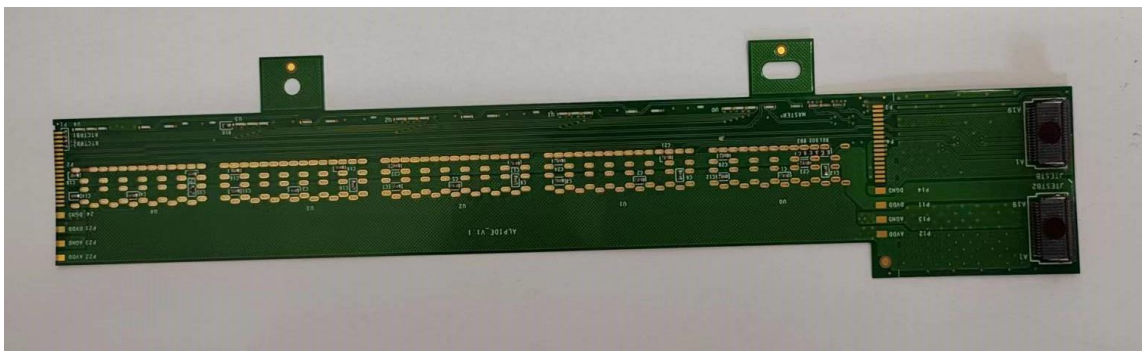
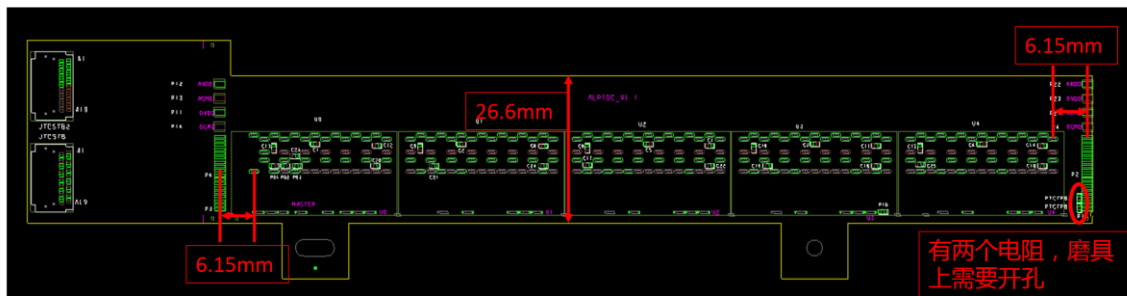


	Pixel matrix	Pixel size
Matrices on the top	256×256	$22.5 \mu\text{m}$
Matrices on the bottom	320×320	$18 \mu\text{m}$



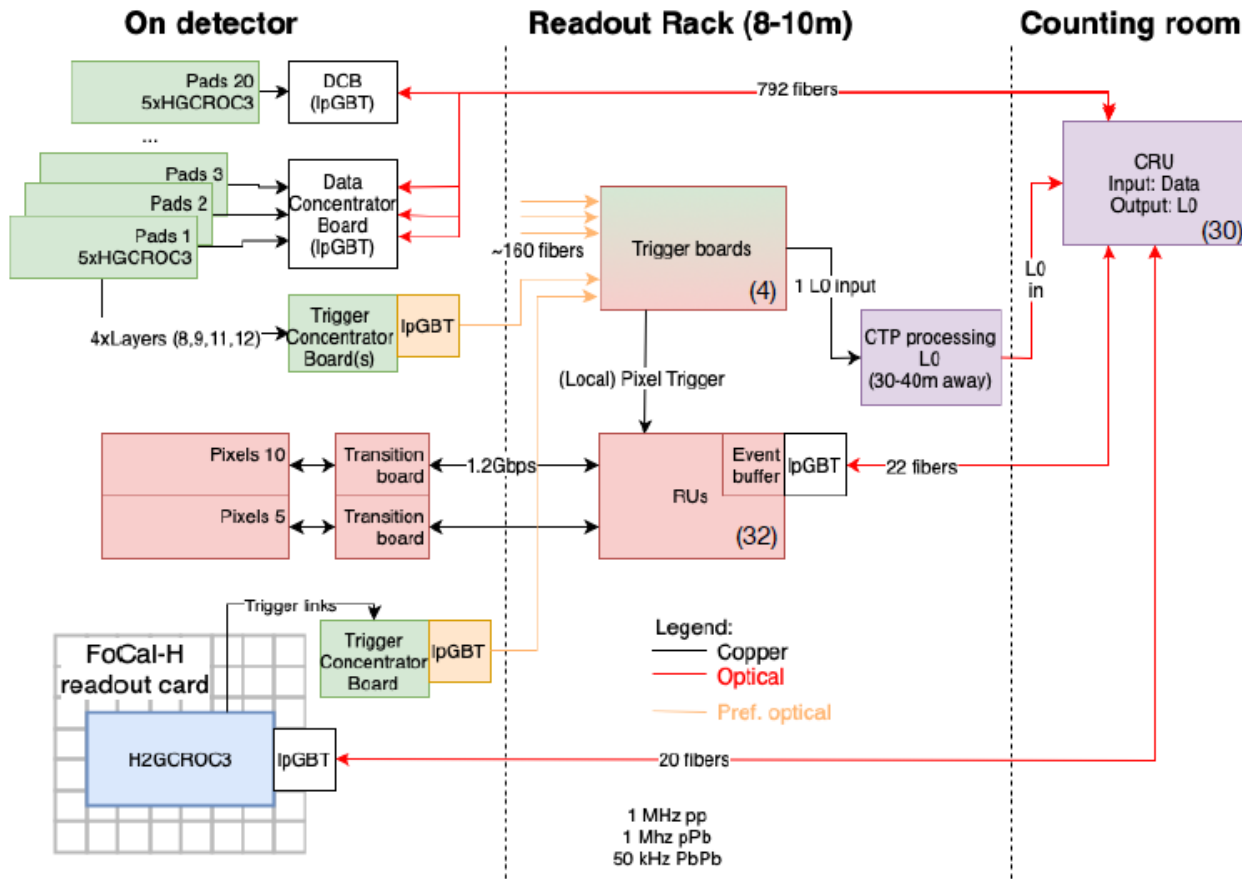
The MOSS chip contains 20 half units and 6.72 million pixels.

FoCal硅像素层研制进展: FPC、 夹具研制

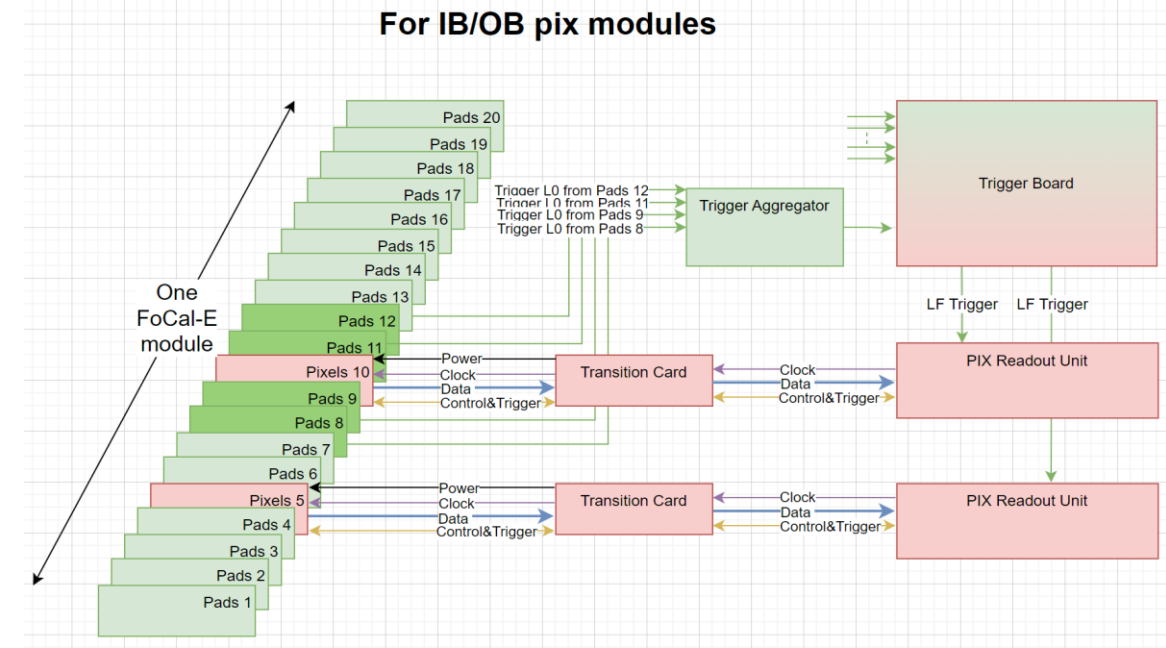


- R&D on HIC as backup solution is ended as chips have to be returned to CERN by the end of March, 2023
- We are suggested to join the effort on string-based pixel layer R&D and production.

FoCal探测器硅像素层读出电子学系统



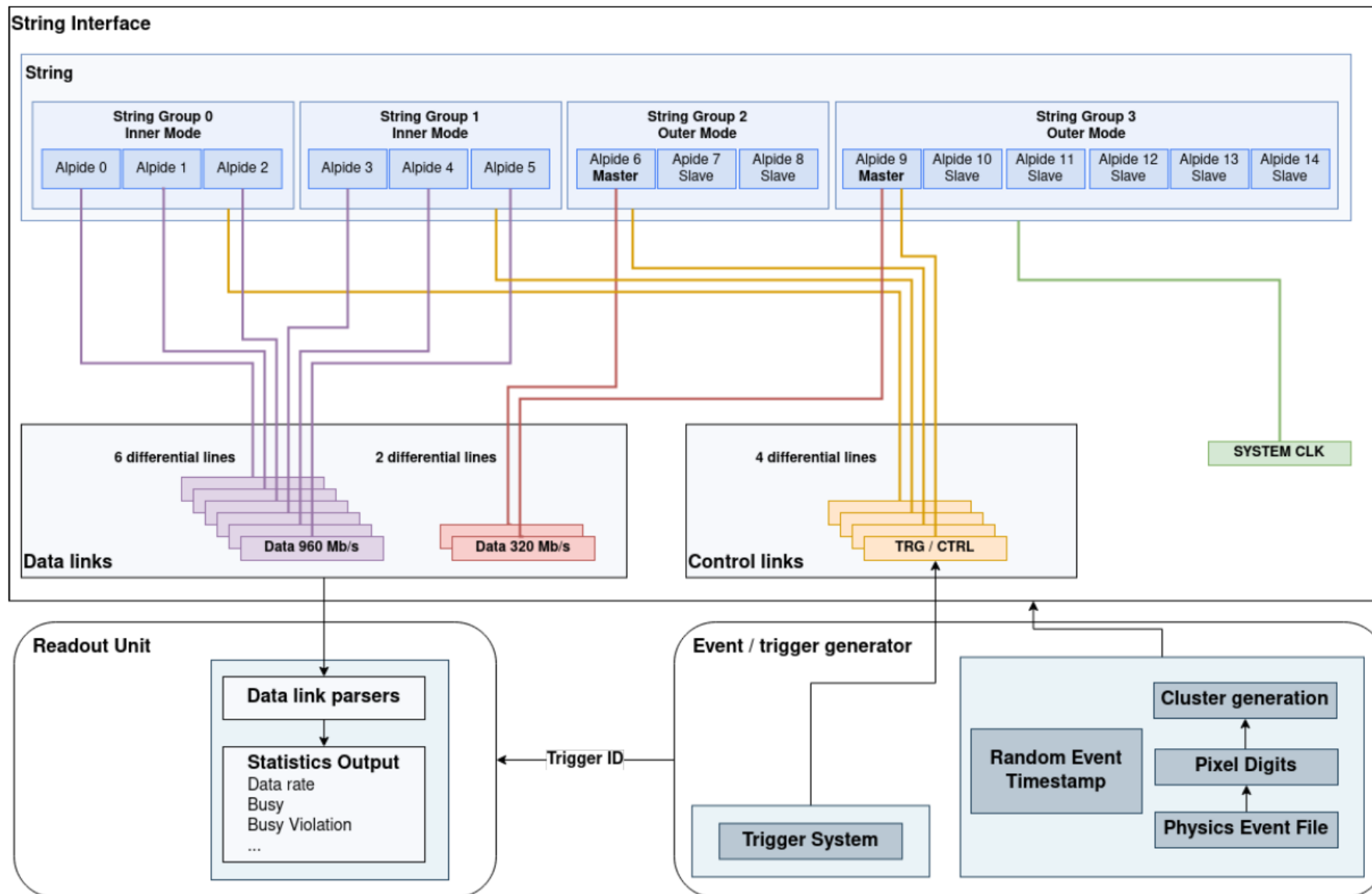
FoCal读出系统



硅像素层读出系统

- 将合作研制硅像素层读出单元

SystemC模拟框架

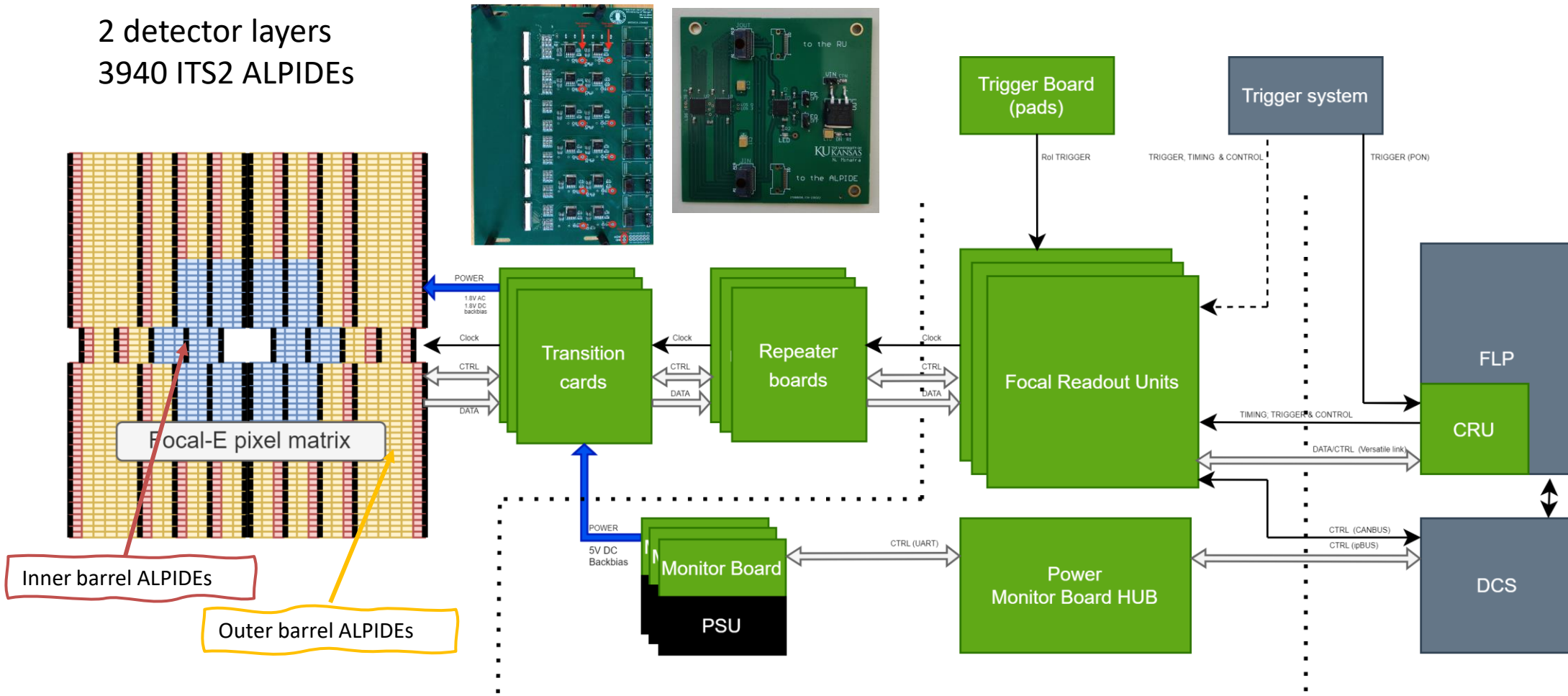


- 通过系统模拟，优化FoCal的构造和像素层的位置，在实现有效分离高能 π^0 衰变光子的同时，减小读出带宽和读出死（忙）时间。

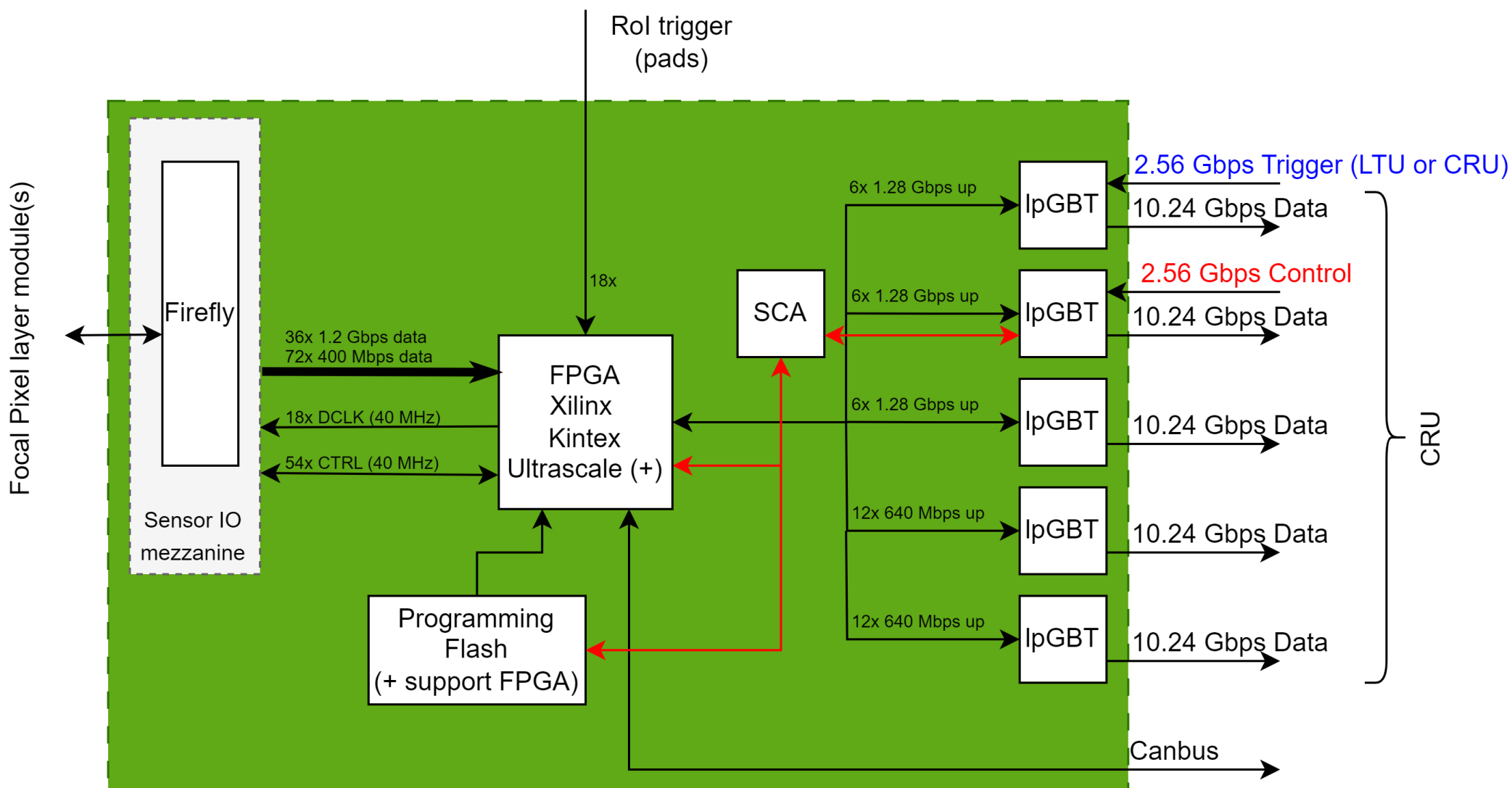
FoCal硅像素层读出电子学进展

Readout chain similar to ITS2

2 detector layers
3940 ITS2 ALPIDEs

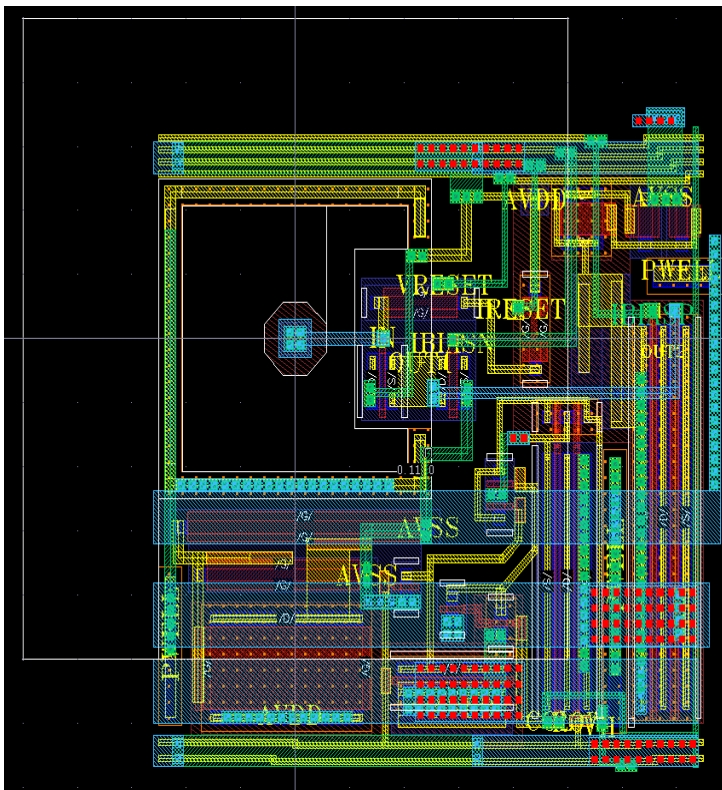


FoCal硅像素层读出电子学进展：RU

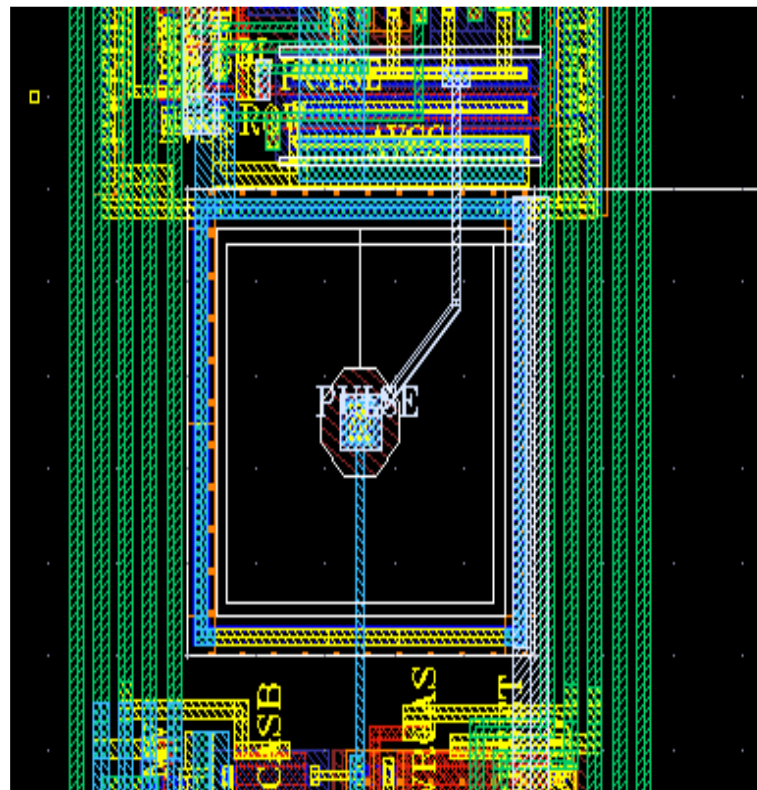


ER2芯片设计：功能优化

- Reduce the input capacitance while balance current leakage



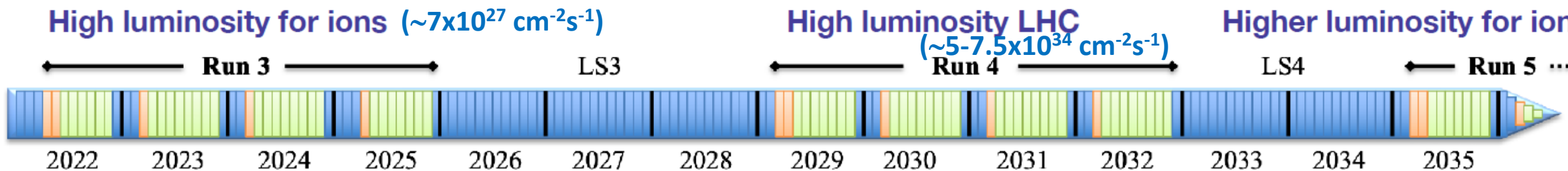
APTS



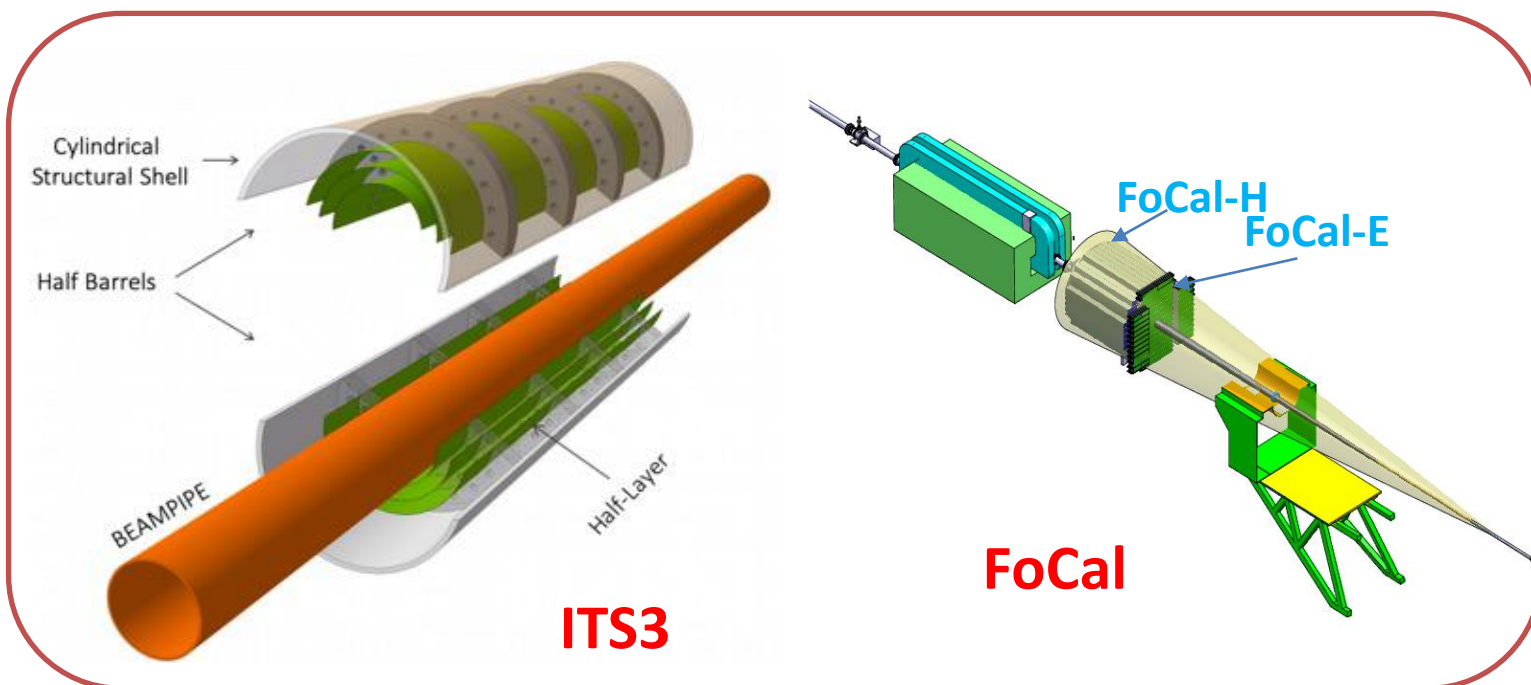
DPTS

- The length of the metal lines at the input terminal has a negligible impact on its own capacitance, approximately from 0.01 to 0.05fF.
- Concerning coupling capacitance, shortening the metal lines significantly reduces the coupling capacitance between the input terminal and the substrate PWE, while changes in other areas are minor.
- Optimizing the layout of power lines and isolation rings can reduce some coupling capacitance.
- Additionally, removing the PULSE covering the M4 metal portion on the input terminal can decrease the coupling capacitance by approximately 0.1fF.

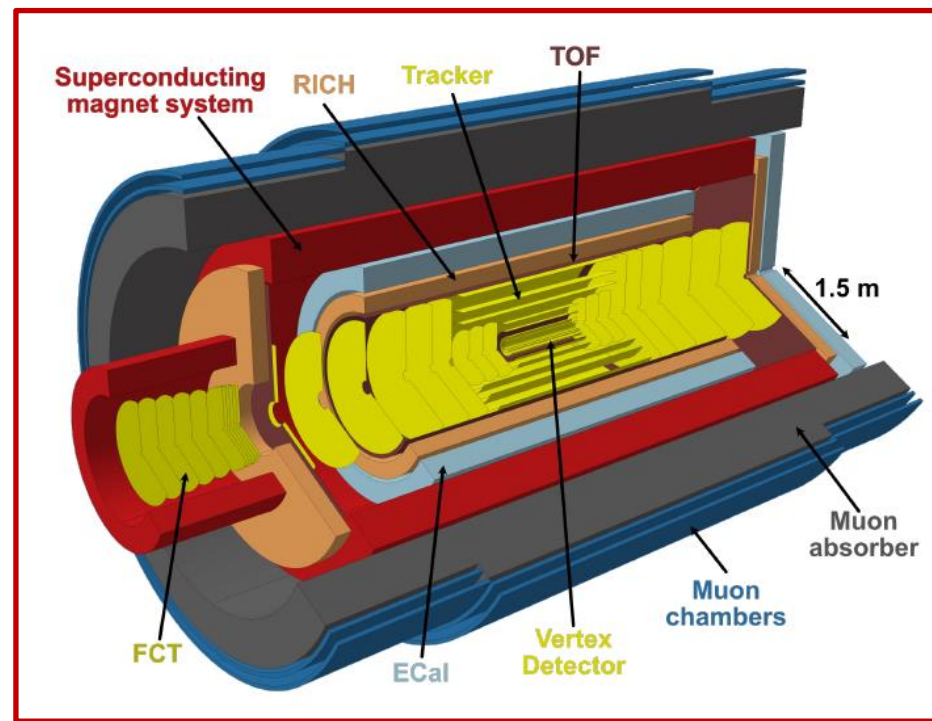
LHC运行和ALICE升级计划



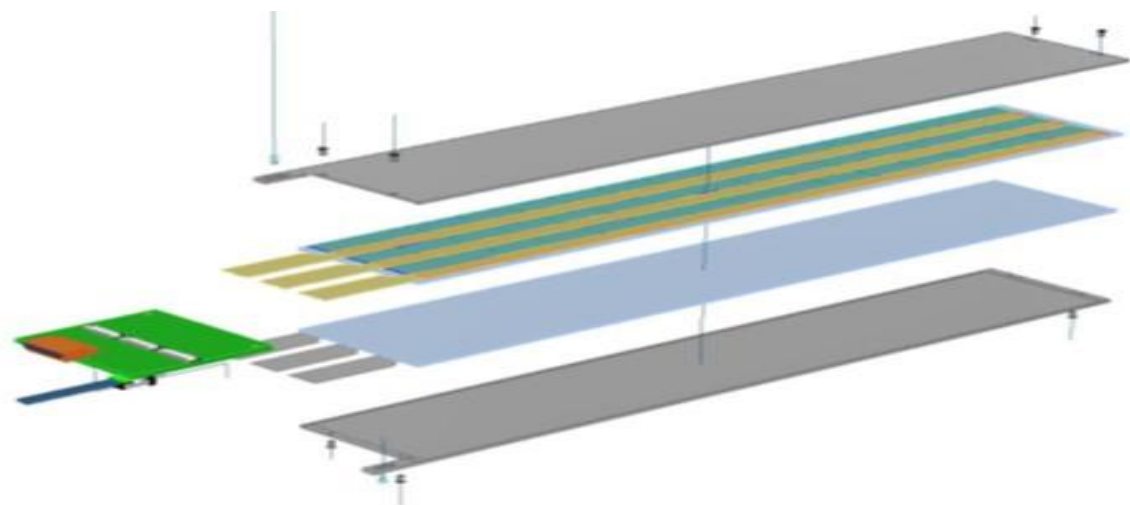
Intermediate upgrade



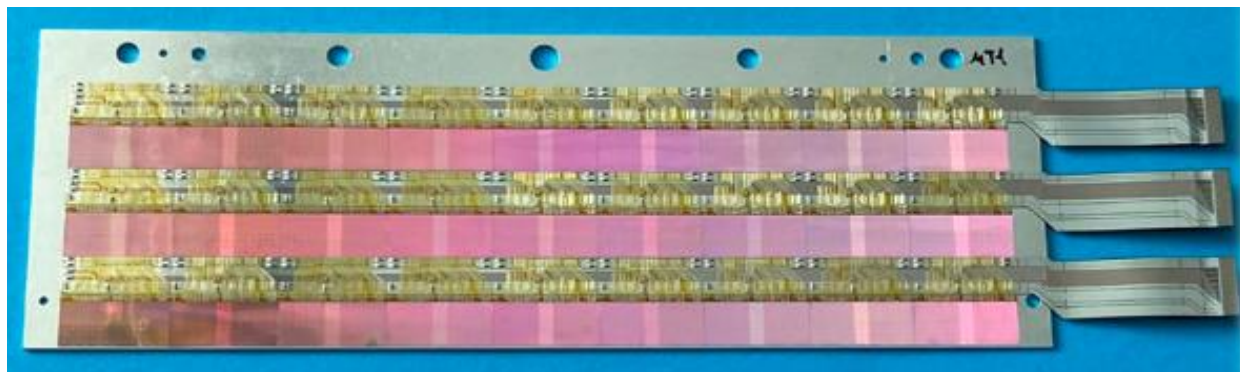
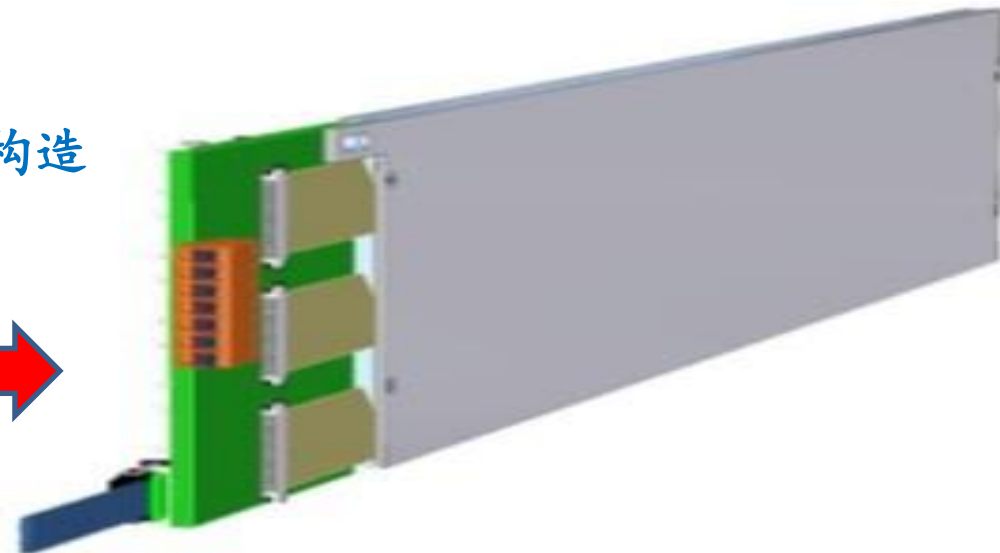
Major upgrade



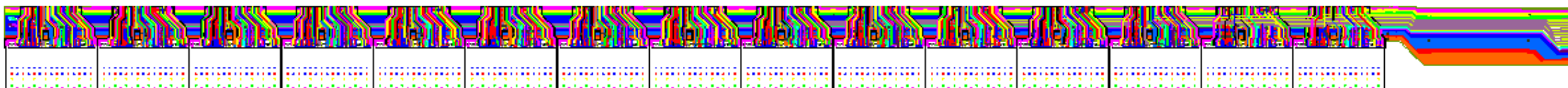
FoCal探测器硅像素层的研制



硅像素层构造

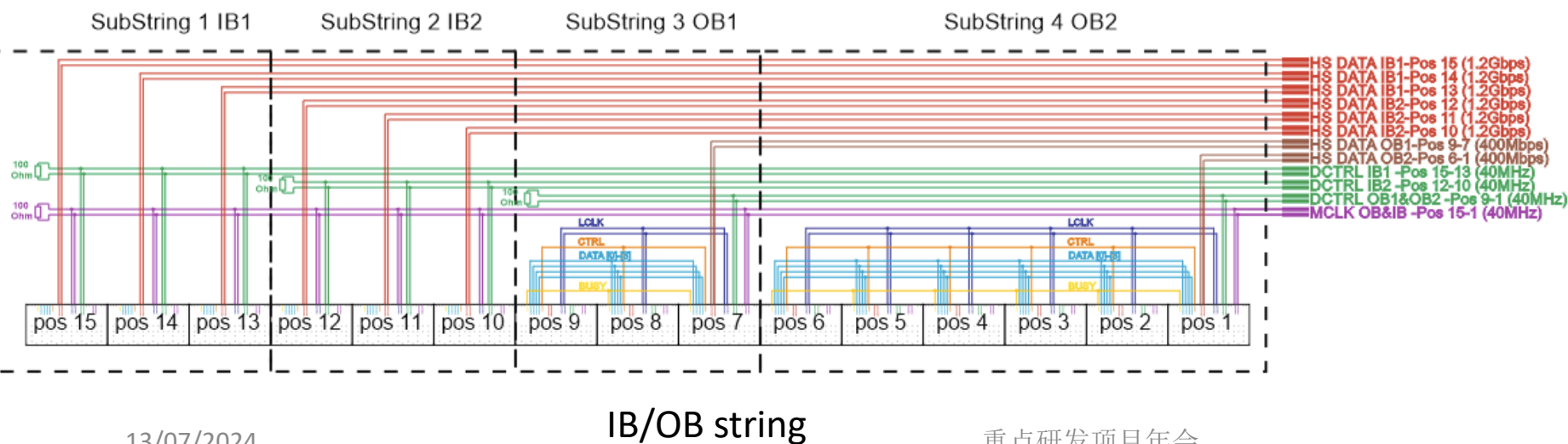
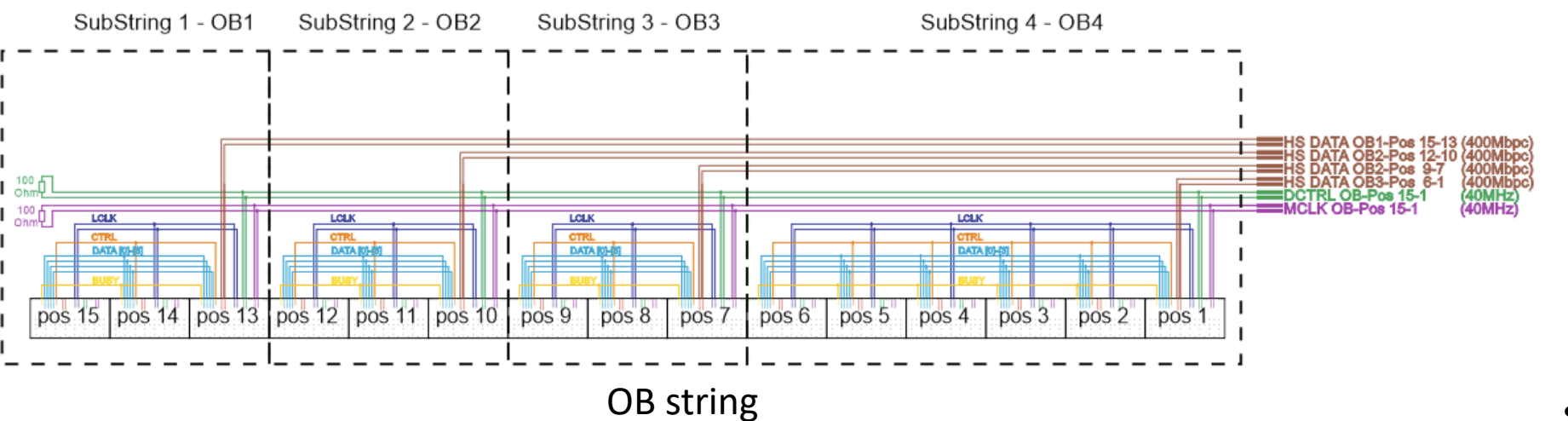


- 每个模块含 2×3 个长条，每个长条由15个ALPIDE芯片及其读出柔性电路组成
- 每个模块含90个ALPIDE芯片
- FoCal共44个像素层模块，需要3960个ALPIDE芯片



由15个ALPIDE组成的长条

Schematic diagram of strings



- 1个由IB/OB ALPIDE芯片构成的模块含6个长条 → 1个RU:

- 36 IB chips @ 960 Mbps
- 12 OB 主芯片 @ 320 Mbps

带宽: 38.4 Gbps

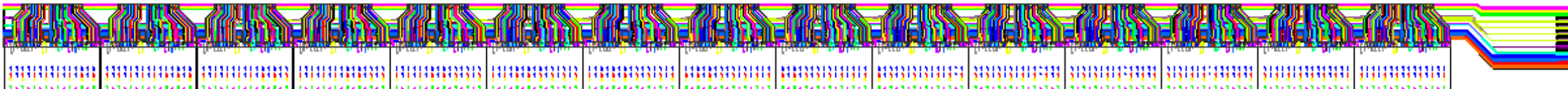
- 3个由OB ALPIDE 芯片构成的模块 → 1个RU:

- 每个模块含24个OB主芯片
- → 72个OB主芯片 @ 320 Mbps

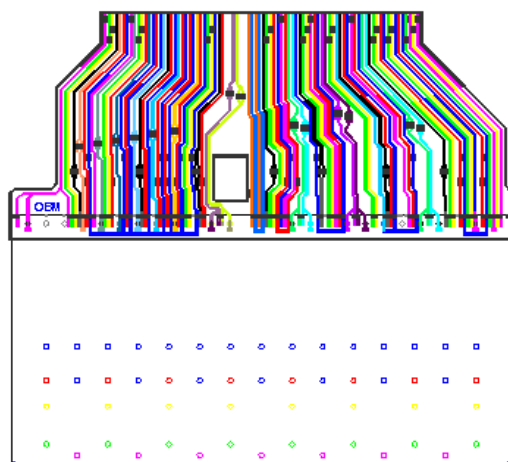
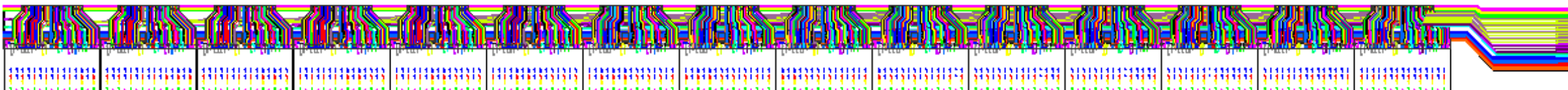
带宽: 23.4 Gbps

Layout of the strings

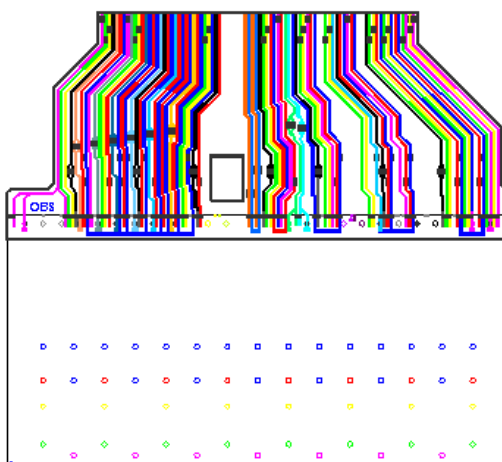
IB/OB string



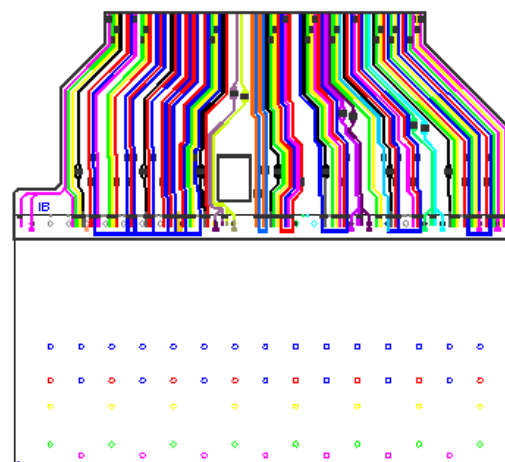
OB string



OB-M



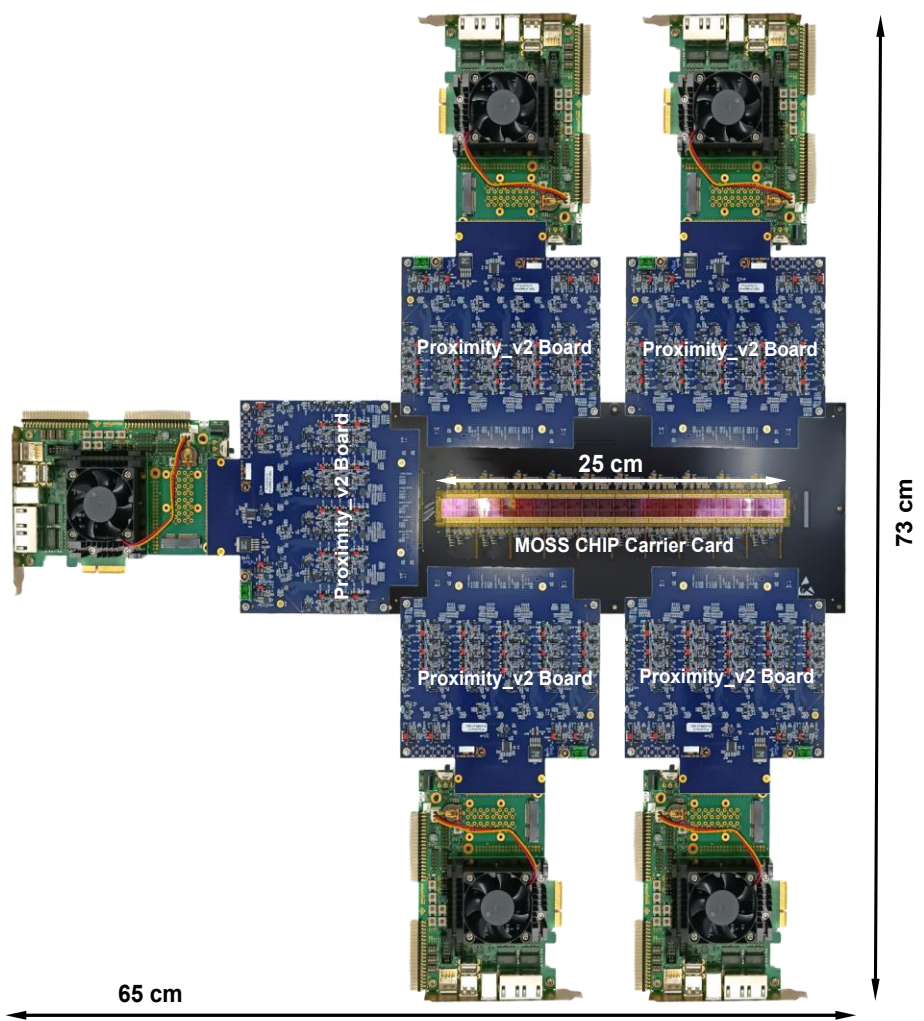
OB-S



IB

Three different types of chip-cables are designed as similar as possible

ER1单片缝合芯片性能测试: 产率



ER1 test system

Yields									
Wafer 20	1-TOP	OK - I	OK - I	OK - II	OK - I	OK - I	OK - I	OK - II	OK - II
	1-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	OK - II
	2-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	2-BOT	OK - II	OK - I	OK - I	LIMIT	OK - II	OK - I	OK - I	OK - I
	3-TOP	OK - I	OK - I	OK - II	OK - I	OK - I	OK - II	OK - I	OK - I
	3-BOT	OK - I	OK - I	OK - I	LIMIT	OK - I	OK - II	LIMIT	OK - I
	4-TOP	OK - I	OK - I	LIMIT	OK - I	OK - II	OK - I	OK - I	OK - I
	4-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	LIMIT	OK - II
	5-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	5-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
Wafer 21	6-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	OK - II
	6-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	1-TOP	OK - II	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	OK - II
	1-BOT	OK - II	OK - II	OK - I	OK - I	OK - I	LIMIT	OK - I	OK - I
	2-TOP	OK - I	OK - II	OK - I	OK - I	OK - II	OK - I	OK - I	OK - I
	2-BOT	LIMIT	OK - II	OK - I	OK - II	OK - I	LIMIT	LIMIT	OK - I
	3-TOP	OK - II	OK - I	LIMIT	OK - I	OK - I	OK - I	OK - I	OK - I
	3-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	4-TOP	OK - I	OK - II	OK - I	OK - I	OK - II	LIMIT	OK - I	OK - I
	4-BOT	OK - I	OK - I	OK - I	OK - II	OK - I	OK - I	OK - II	LIMIT
Wafer 22	5-TOP	LIMIT	OK - I	OK - I	OK - II	OK - I	OK - I	OK - II	OK - II
	5-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	OK - II
	6-TOP	OK - I	OK - I	OK - I	OK - I	OK - II	LIMIT	OK - II	OK - I
	6-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	LIMIT
	1-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	1-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	2-TOP	OK - I	OK - I	OK - I	OK - II	OK - I	OK - I	OK - I	OK - II
	2-BOT	LIMIT	OK - I	OK - I	OK - I	OK - II	OK - I	OK - I	OK - I
	3-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	3-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I
	4-TOP	OK - I	OK - I	OK - I	OK - II	OK - II	OK - I	OK - II	OK - II
	4-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	LIMIT	OK - I	OK - I
	5-TOP	OK - I	OK - I	OK - I	OK - I	OK - I	OK - I	OK - II	OK - I
	5-BOT	OK - I	OK - I	OK - I	LIMIT	OK - I	OK - I	OK - I	OK - I
	6-TOP	OK - I	OK - I	OK - I	OK - I	OK - II	OK - I	OK - I	OK - I
	6-BOT	OK - I	OK - I	OK - I	OK - I	OK - I	LIMIT	OK - II	OK - I

115/120 'OK' (95.8%)
19 HUs 'OK-II'
5 HUs 'LIMIT'

109/120 'OK' (90.8%)
29 HUs 'OK-II'
11 HUs 'LIMIT'

116/120 'OK' (96.7%)
11 HUs 'OK-II'
4 HUs 'LIMIT'