



山东大学  
SHANDONG UNIVERSITY

# Track reconstruction algorithm for drift chambers based on GNN

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# Outline

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**01** BESIII and STCF

**02** Methodology

➤ Filtering Noise via GNN

➤ Clustering of Tracks Based on DBSCAN and RANSAC

**03** Preliminary Results

**04** Summary

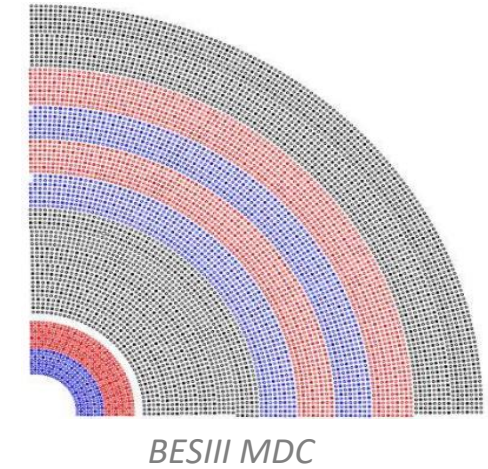
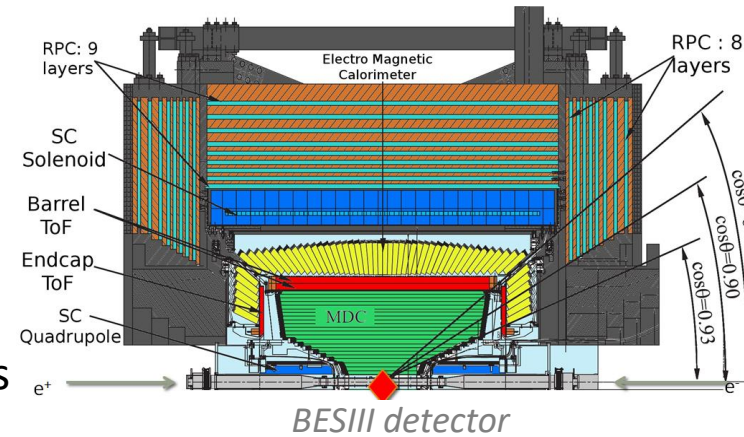
# 01 MDC at BESIII and STCF

## Beijing electron-positron collider (BEPCII)

- Peak luminosity :  $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- CMS: 2.0 - 4.95 GeV,  $\tau$ -charm region
- World's largest  $J/\psi$  dataset : 10 billion

### ◆ Main Drift Chamber (MDC) at BESIII

- 43 sense wire layers
- 5 axial wire super-layers, 6 stereo wire super-layers
- $dE/dx$  resolution : 6%
- Momentum resolution :  $0.5\% @ 1\text{GeV}/c$

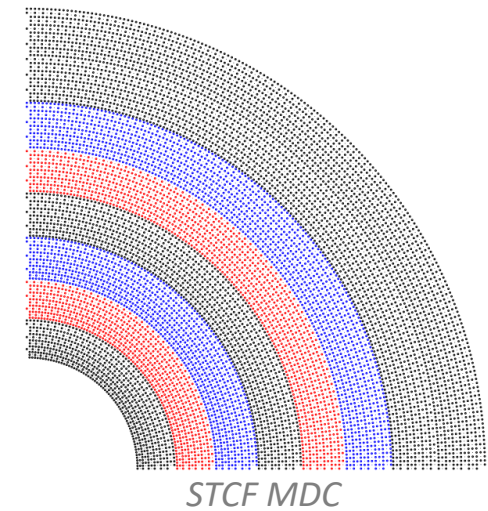
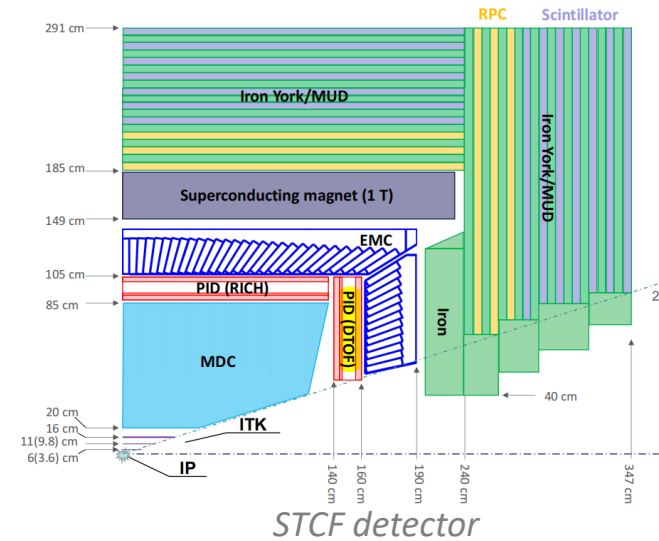


## Super Tau-Charm Facility (STCF)

- High Luminosity:  $> 0.5 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1} @ 4\text{GeV}$
- CMS: 2.0 - 7 GeV

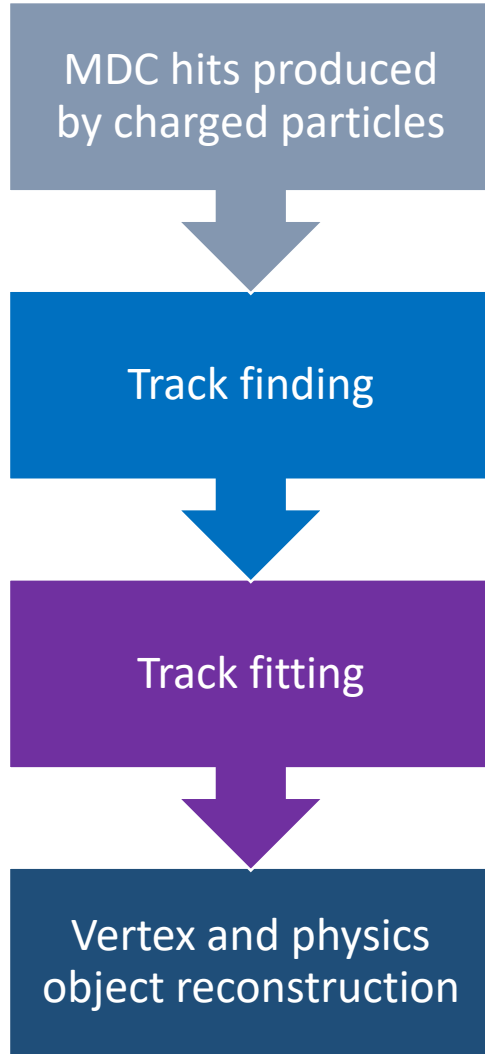
### ◆ Main Drift Chamber (MDC) at STCF

- 48 sense wire layers
- 4 axial wire super-layers, 4 stereo wire super-layers
- $dE/dx$  resolution :  $\sim 6\%$
- Momentum resolution :  $0.5\% @ 1\text{GeV}/c$

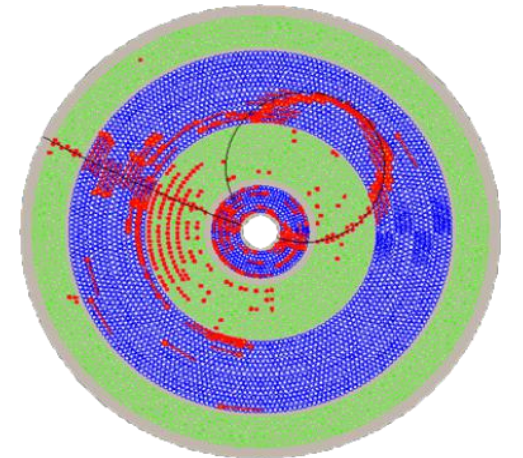


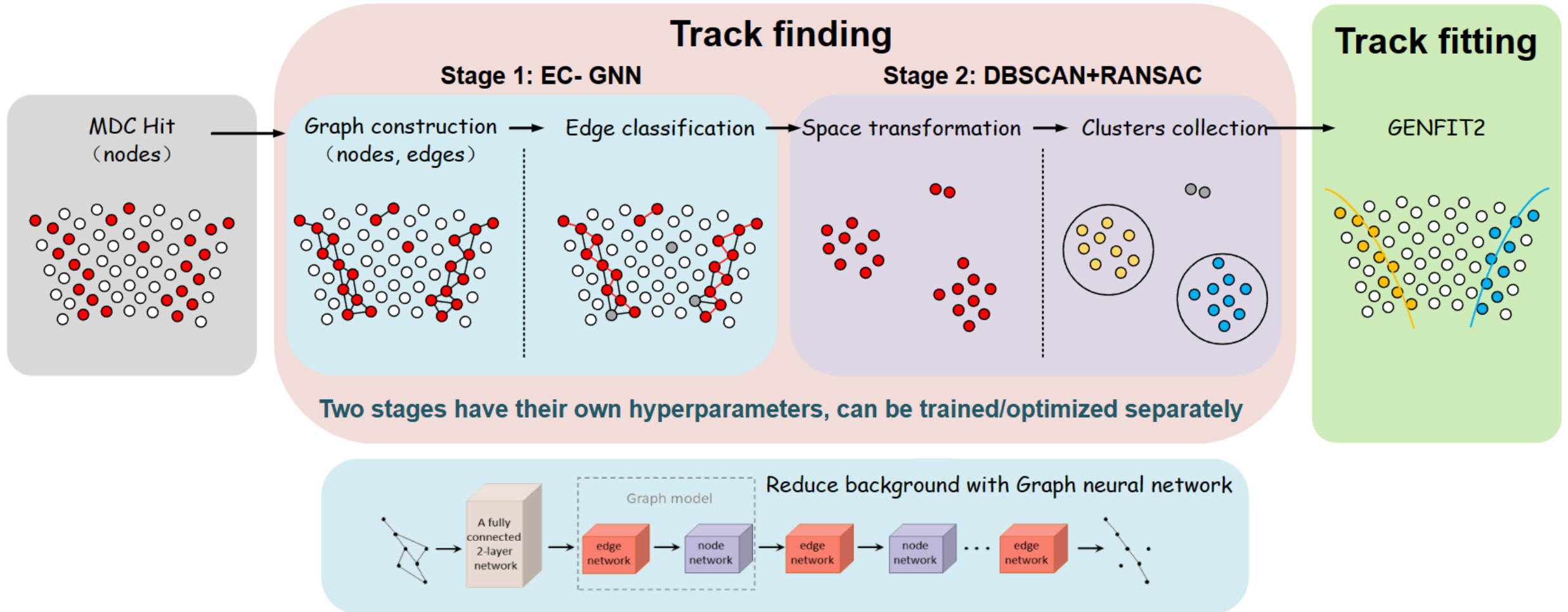
## 01

# Traditional tracking of drift chamber



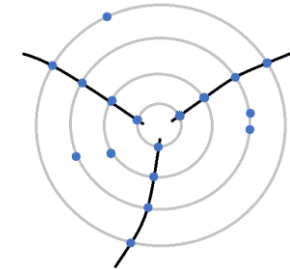
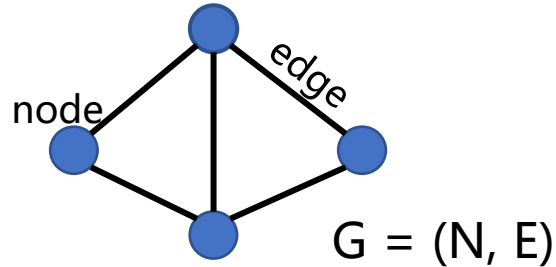
- ◆ Build candidate tracks and perform hits assignment
  - Global approach : Hough Transform (HOUGH)
  - Local approach : Template Matching (PAT) Track Segment Finding (TSF)  
Combinatorial Kalman Filter (CKF)
- ◆ Estimate the track parameters
  - Global fit : Least Square Method, Runge-Kutta Method
  - Recursive fit : Kalman filter



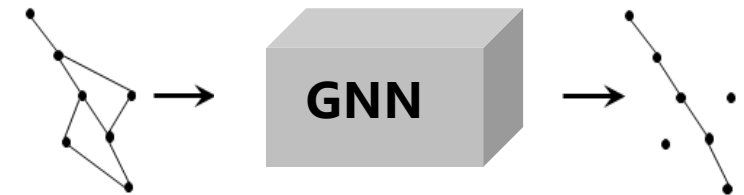
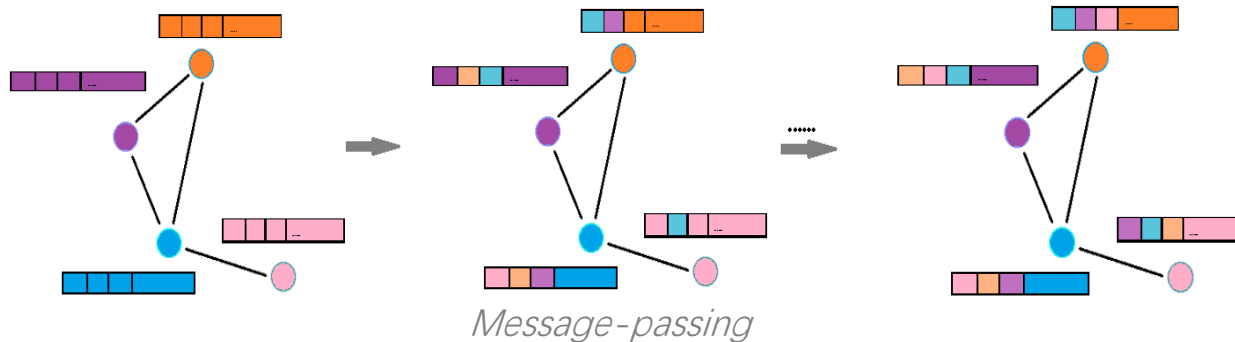


# 02 Graph and Graph Neural Network

- ◆ A type of neural network that are specifically designed to operate on graph-structured data
- ◆ Graph: nodes, edges
- ◆ Graph  $\rightarrow$  Track
  - Nodes  $\rightarrow$  Hits
  - edges  $\rightarrow$  track segments



- ◆ GNN key idea: propagate information across the graph using a set of learnable functions that operate on node and edge features
- ◆ Graph Neural Network edge classifier
  - High classification score
    - $\rightarrow$  *the edge belongs to a true particle track*
  - Low classification score
    - $\rightarrow$  *it is a spurious or noise edge*





# 02 Graph construction at BESIII

*To reduce the number of fake edges during graph construction*

## Pattern Map based on MC simulation at BESIII

### ◆ Definition of valid neighbors

- Hits on the same layer
  - Two adjacent sense wires on the left and right
- Hits on the next layer

The collection of sense wires that could potentially represent **two successive hits on a track**

### ◆ MC sample used to build pattern map

- Two million single tracks produced with BESIII offline software (BOSS)
- 5 types of charged particles ( $e^\pm$ ,  $K^\pm$ ,  $\mu^\pm$ ,  $p^\pm$ ,  $\pi^\pm$ )
- $0.05 \text{ GeV}/c < P < 3 \text{ GeV}/c$

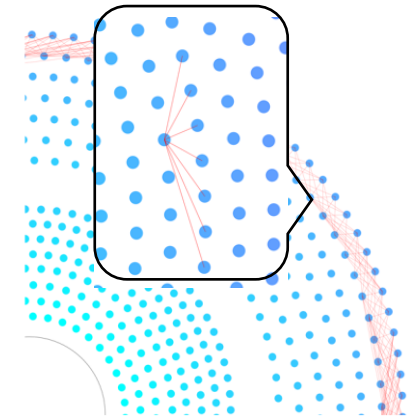
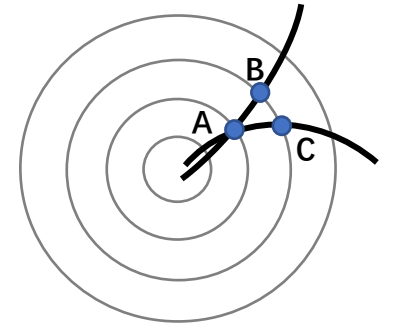
### ◆ Edge assignment based on Pattern Map

- Hit with its neighbors on the **same layer** and **next layer**
- Hit with its neighbors' neighbors on **one layer apart**

### ◆ To reduce the size of the graphs, the Pattern Map is further reduced based on **a probability cut**

### ◆ Graph representation

- Node features (raw time, position coordinates  $r$ ,  $\phi$  of the sense wires), adjacency matrices, edge labels

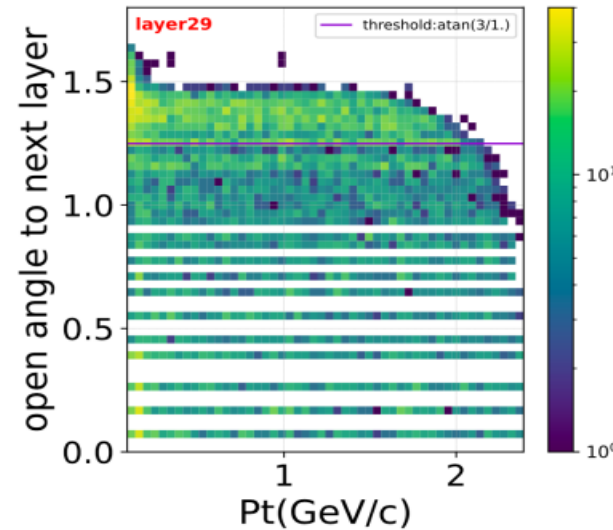
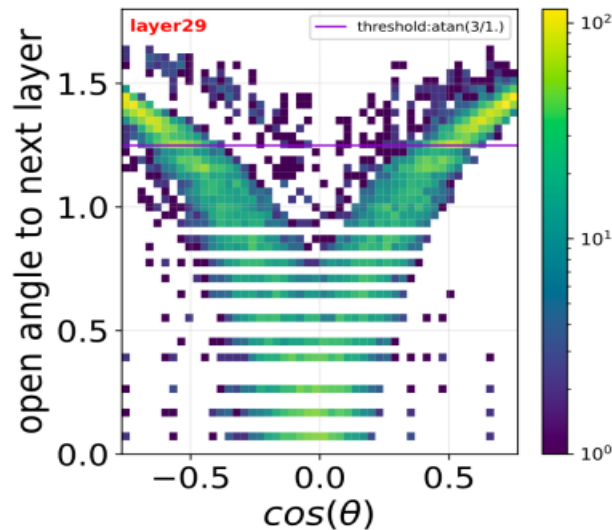
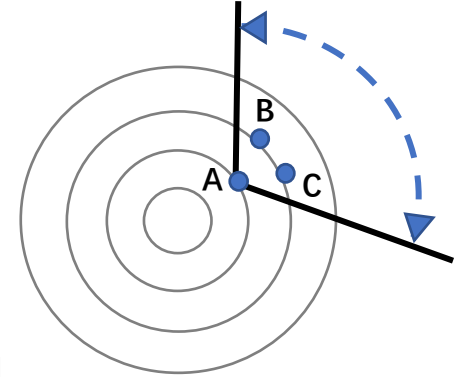


*A wire on layer13 and its neighbors on layer14*

# 02 Graph construction at STCF

## Geometric cut at STCF

- ◆ Edge assignment
  - Hit and two adjacent hits on the left and right sides (same layer)
  - Within a certain opening angle (the next layer and one layer apart)
- ◆ Angle range
  - No sense wire efficiency
  - The junction of U-V superlayers (layers 11 and 29) appropriately amplify the threshold
- ◆ Graph representation
  - Node features (raw time, position coordinates  $r$ ,  $\phi$  of the sense wires), adjacency matrices, edge labels





# 02 GNN edge classifier based on PyTorch

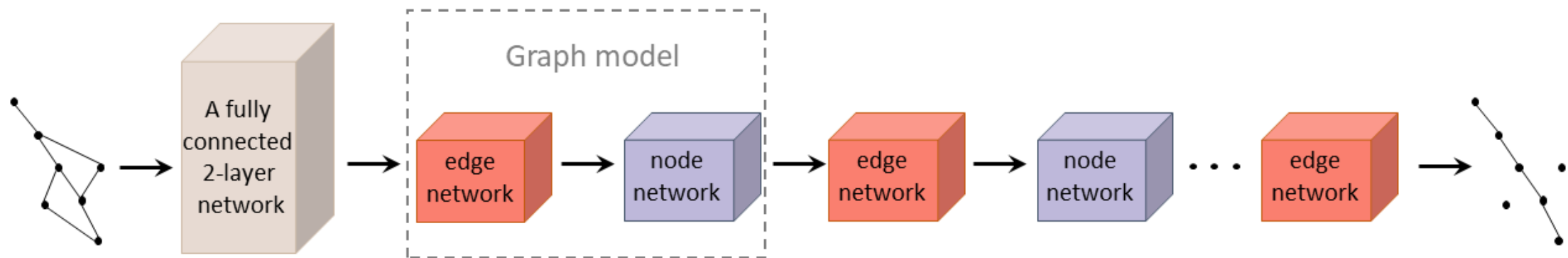
## ◆ Input network

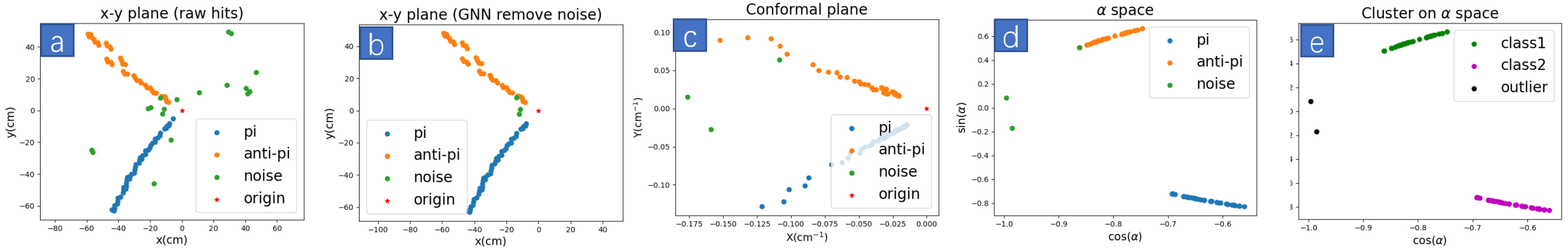
- Node features embedded in latent space

## ◆ Graph model

- Edge network computes **weights for edges** using the features of the start and end nodes
- Node network computes **new node features** using the edge weight aggregated features of the connected nodes and the nodes' current features
- MLPs
- 8 graph iterations

## ◆ Strengthen important connections and weaken useless or spurious ones





### a) Original MC data sample

- $J/\Psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$
- $\pi^+, \pi^-$  : Pt (0.2GeV - 1.4GeV)

### b) Remove noise via GNN

### c) Transform to Conformal plane

- $X = \frac{2x}{x^2+y^2} \quad Y = \frac{2y}{x^2+y^2}$
- Circle passing the origin transform into a straight line

### d) Transform to ' $\alpha$ ' parameter plane

- Hits connected in the X-Y plane in a straight line
- $\alpha$  as the angle between the straight line and X axis
- The parameter space as  $\cos\alpha$  and  $\sin\alpha$

### e) DBSCAN clustering in ' $\alpha$ ' parameter plane

- [Density-Based Spatial Clustering of Application with Noise](#)
- Hits in a cluster are considered to be in the same track

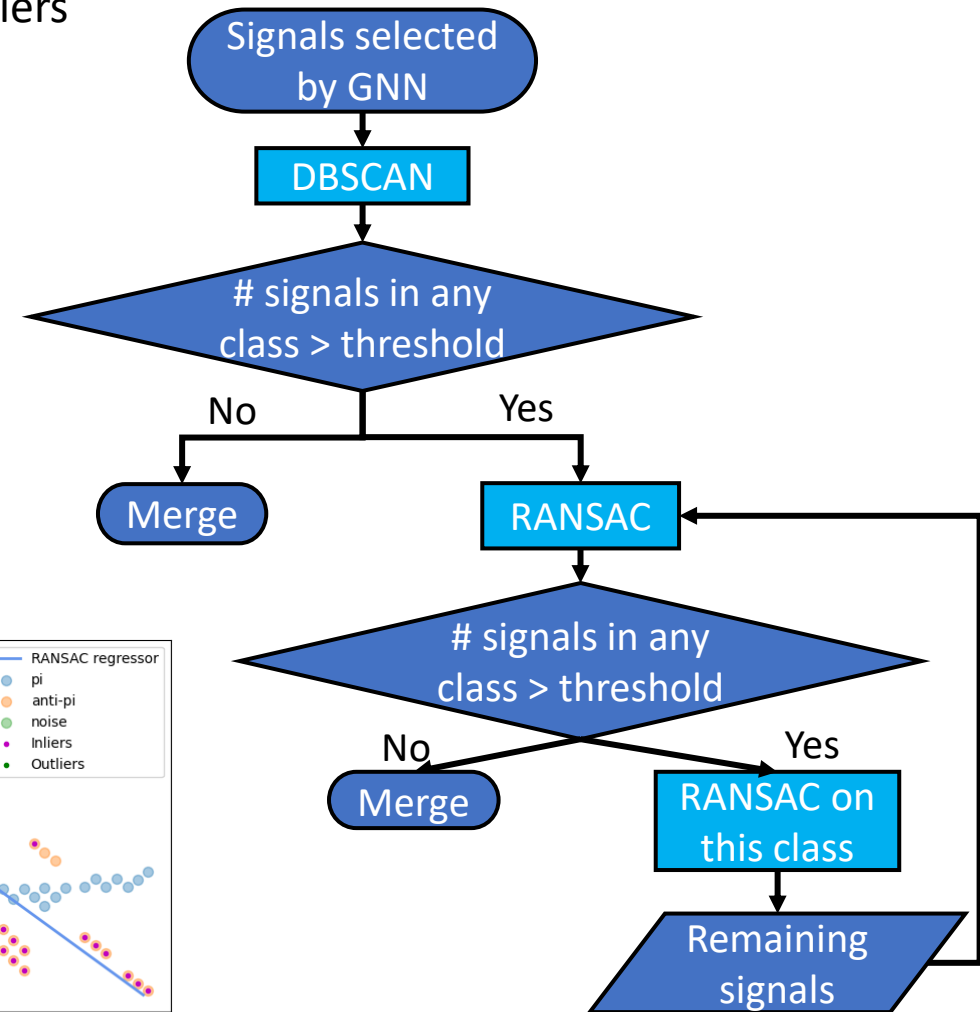
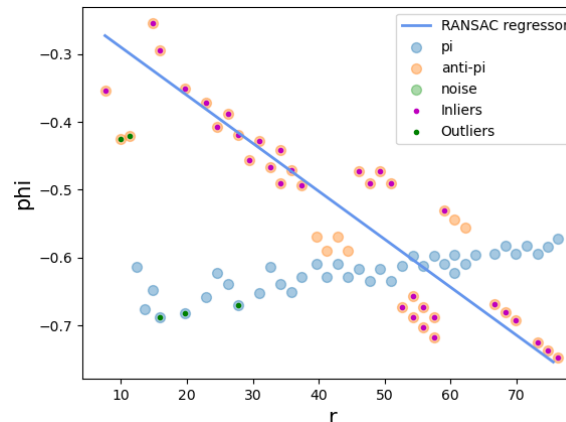
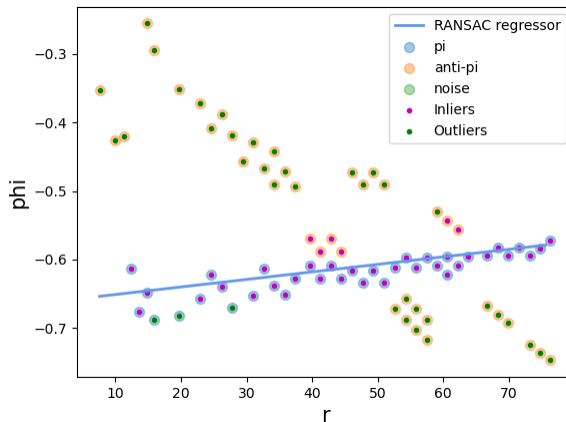
# Clustering salvage algorithm RANSAC

## ◆ Random sample consensus (RANSAC)

- Estimate a mathematical model from the data that contains outliers
- Its good robustness to noise and outliers
- Model can be specified

## ◆ RANSAC is triggered by the events that DBSCAN processing fails

- Polar coordinate space
- linear model
- Inliers  $\rightarrow$  a track , outliers  $\rightarrow$  other tracks
- Stop condition: outliers  $<$  threshold



# 02 Track fitting

## Genfit2

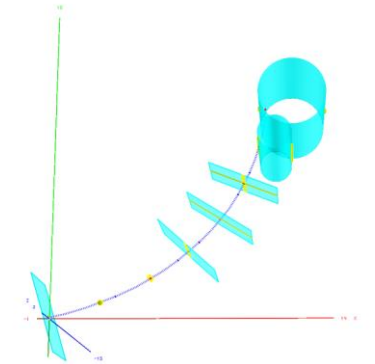
- A Generic Track-Fitting Toolkit
- Experiment-independent framework
- PANDA, Belle II, FOPI and other experiments
- Deterministic annealing filter (DAF) to resolving the left-right ambiguities of wire measurements

◆ Configuration: Detector geometry and materials

◆ Input : Signal wire position, initial values of position and momentum, particle hypothesis for  $e$ ,  $\mu$ ,  $\pi$ ,  $k$ ,  $p$

◆ Fitting procedure:

- Start 1st try: drift distance roughly estimated from TDC、 ADC of sense wires
- Iteration to update information of drift distance, left-right assignment, hit position on z direction and entrancing angle in the cell et al.



## ◆ Dataset

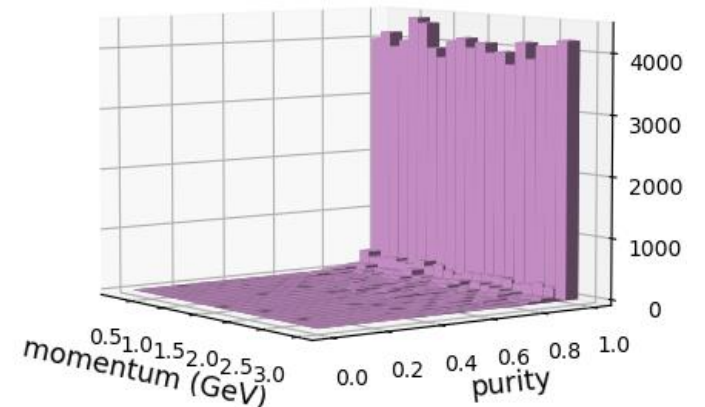
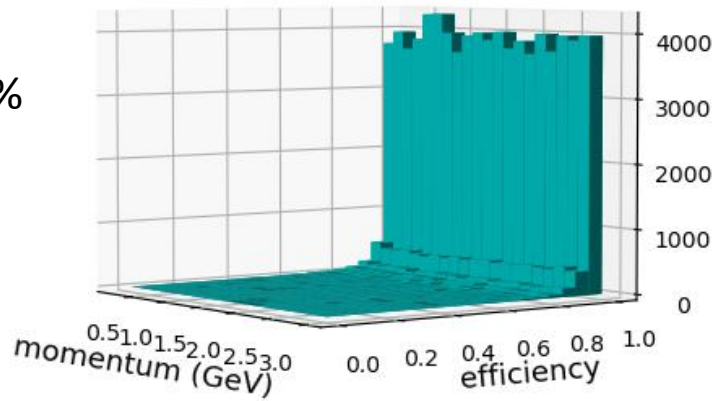
- Single-particle ( $e^\pm$ ,  $K^\pm$ ,  $\mu^\pm$ ,  $p^\pm$ ,  $\pi^\pm$ ) MC sample
- $0.2 \text{ GeV}/c < P < 3.0 \text{ GeV}/c$
- Mixed with BESIII random trigger data as background ( $\sim 45\%$  hits)
- Train: Validation: Test = 4: 1: 1

## ◆ Hit selection performance

- The preliminary results show that GNN provides high efficiency and purity of hits selection

- Hit selection Efficiency :  $\frac{N_{signal}^{predicted}}{N_{signal}^{real}} 98.7\%$

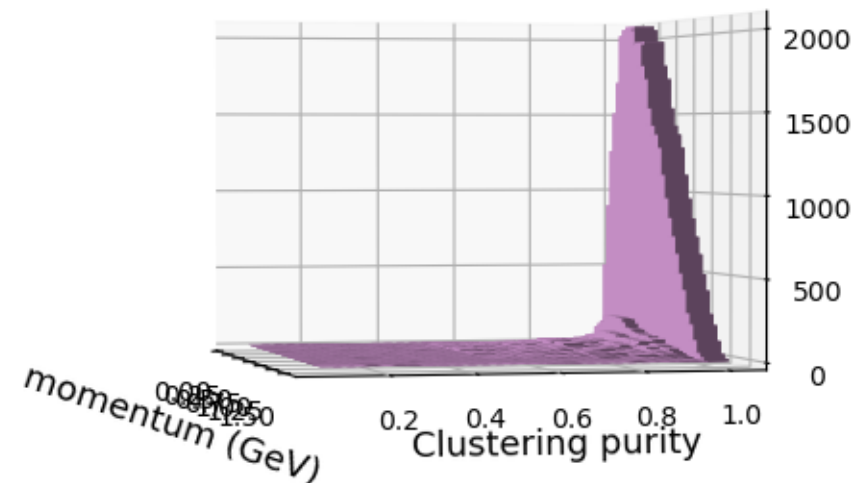
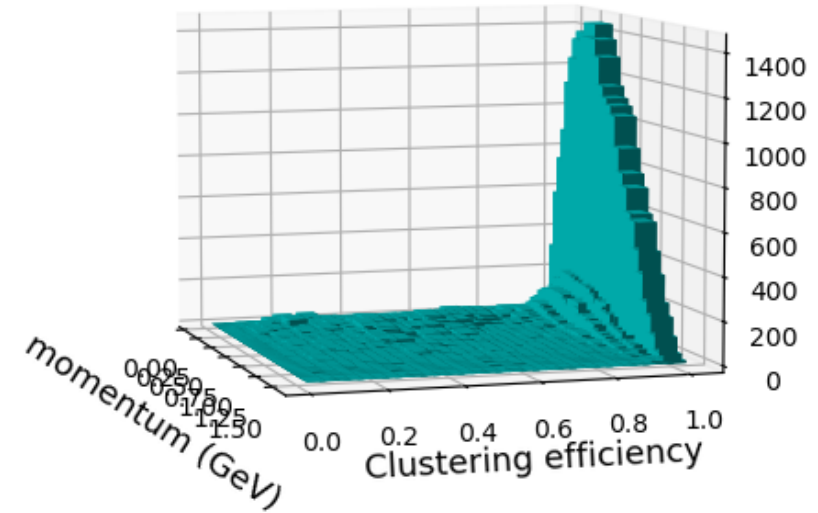
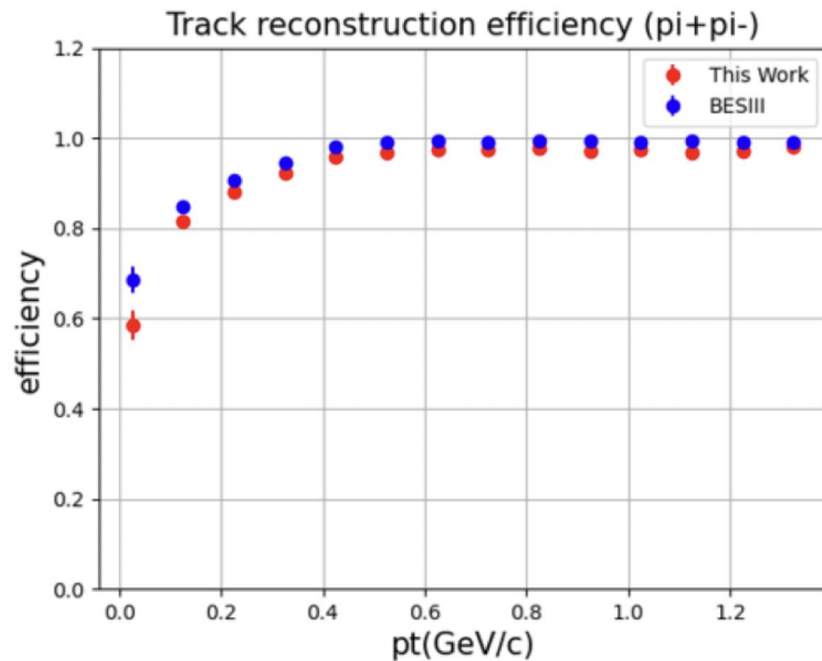
- Hit selection Purity :  $\frac{N_{signal}^{predicted}}{N_{all}^{predicted}} 96.5\%$



*Efficiency and purity can be balanced by adjusting the model parameter*

## ◆ Particle reconstructed performance

- $J/\psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$  from MC simulation
- $track\ eff = \frac{N_{rec\ tracks}}{N_{total\ tracks}}$
- The preliminary results presents promising performance



## ◆ Dataset

- $J/\Psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$  from MC simulation
- Mixing background (Luminosity-related, Beam-gas effect, Touschek effect ) within the framework

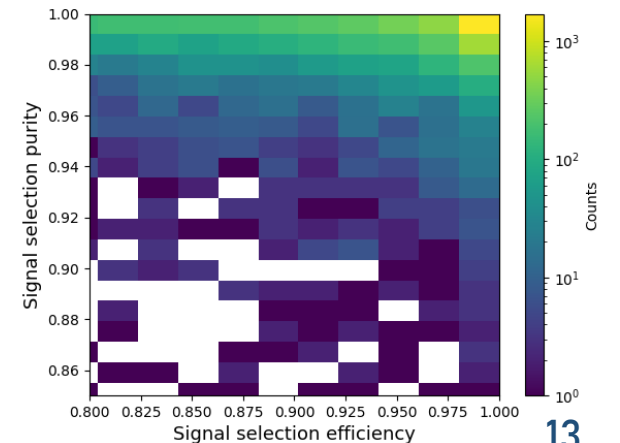
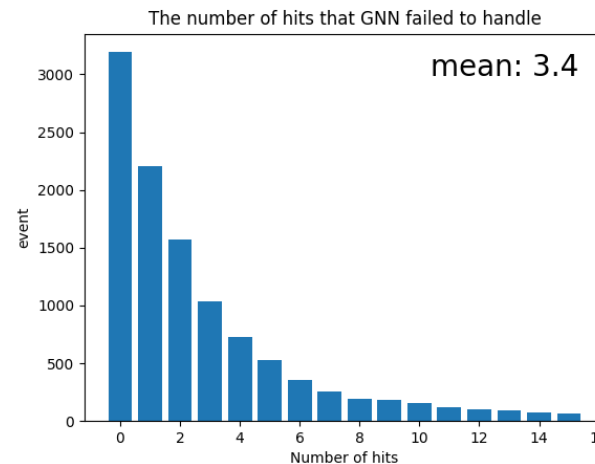
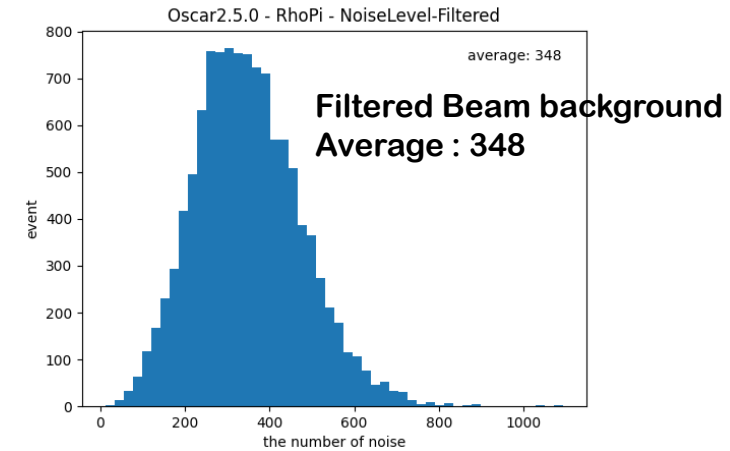
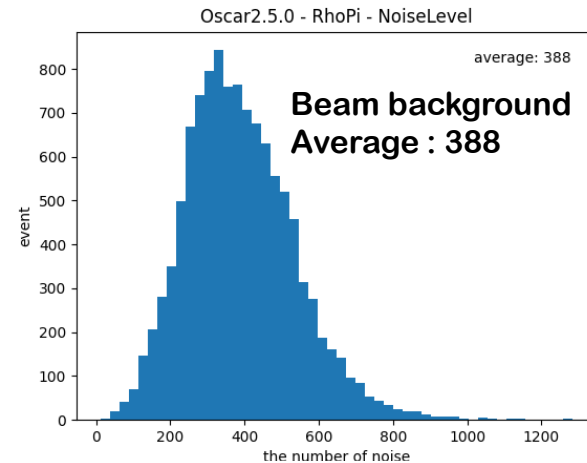
## ◆ Hit selection performance

- The background includes ‘track’ background , after removal, the noise level is 348

- *Hit selection Efficiency* :  $\frac{N_{signal}^{predicted}}{N_{signal}^{real}}$  91.7%

- *Hit selection Purity* :  $\frac{N_{signal}^{predicted}}{N_{all}^{predicted}}$  97.0%

- *Remove noises rate*:  $\frac{N_{noise}^{predicted}}{N_{noise}^{real}}$  99.0%





## 03

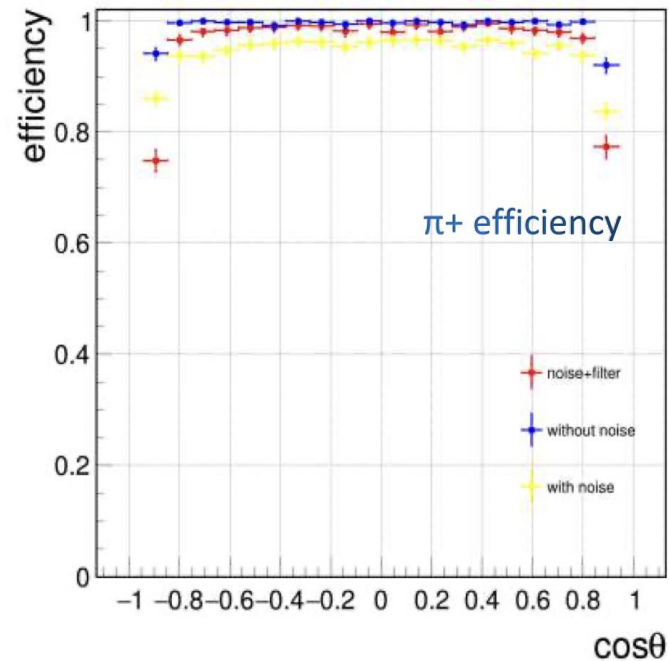
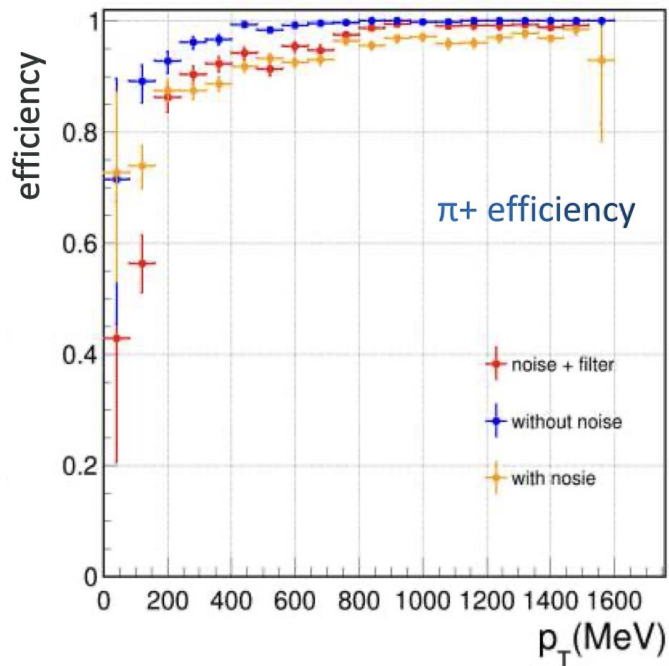
# Performance of filtering noise at STCF

## ◆ Dataset

- $J/\psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$  from MC simulation
- Mixing background (Luminosity-related, Beam-gas effect, Touschek effect ) within the framework

## ◆ The reconstruction efficiency after GNN filtering noise is significantly improved

## ◆ At large $|\cos\theta|$ , the tracking efficiency decreases due to **fewer signal and more noise**



## 03

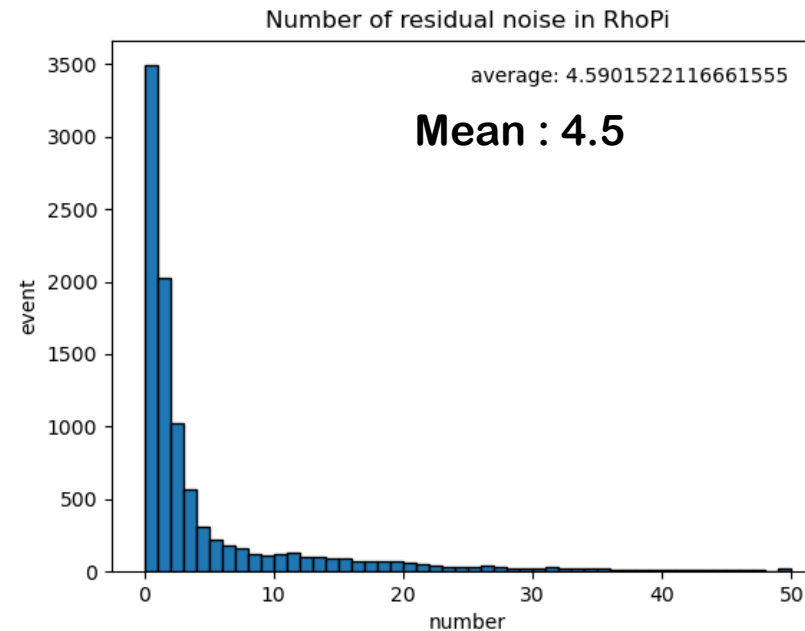
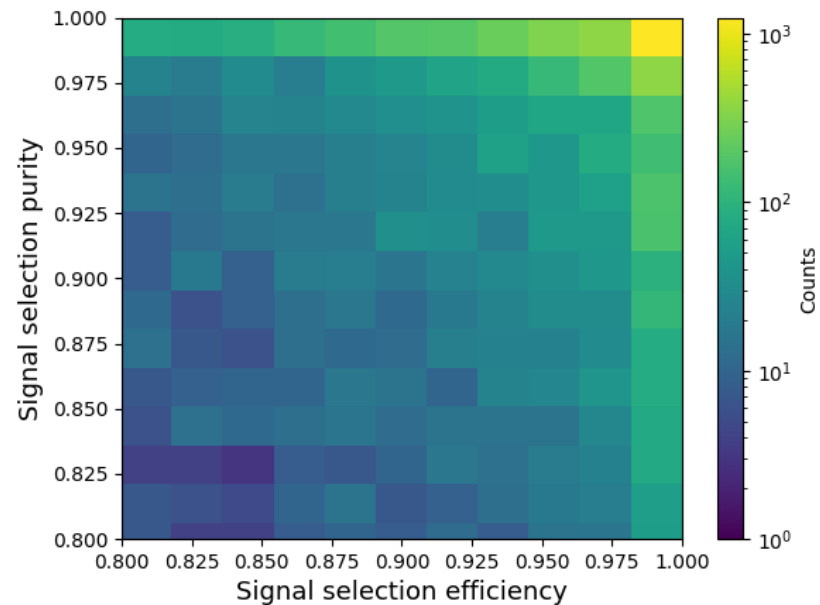
# Performance of filtering noise at STCF

## ◆ Dataset

- $J/\Psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$  from MC simulation
- Mixed with 600 random trigger noises

## ◆ Hit selection performance

- Preliminary results shows promising performance



# 04 Summary

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- ◆ A novel tracking algorithm prototype based on machine learning method at BESIII and STCF is under development
  - GNN to distinguish the hit-on-track from noise hits.
  - Clustering method based on DBSCAN and RANSAC to cluster hits from multiple tracks
- ◆ Preliminary results on MC data shows promising performance

## Outlook

- ◆ Further optimization of the cluster model is needed
- ◆ Performance verification concerning events with more tracks and long lived particle
- ◆ Check the reconstruction time



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# Thank you !

**Xiaoqian Jia**



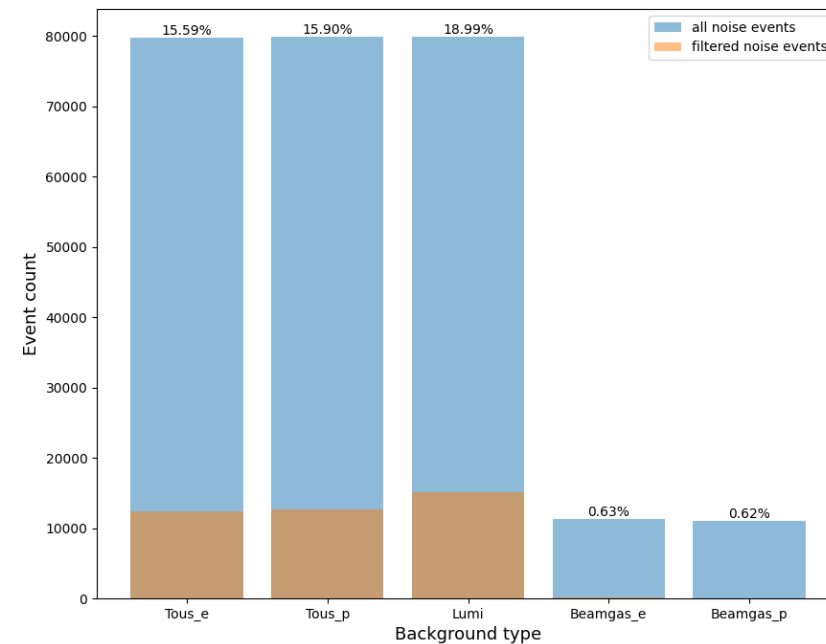
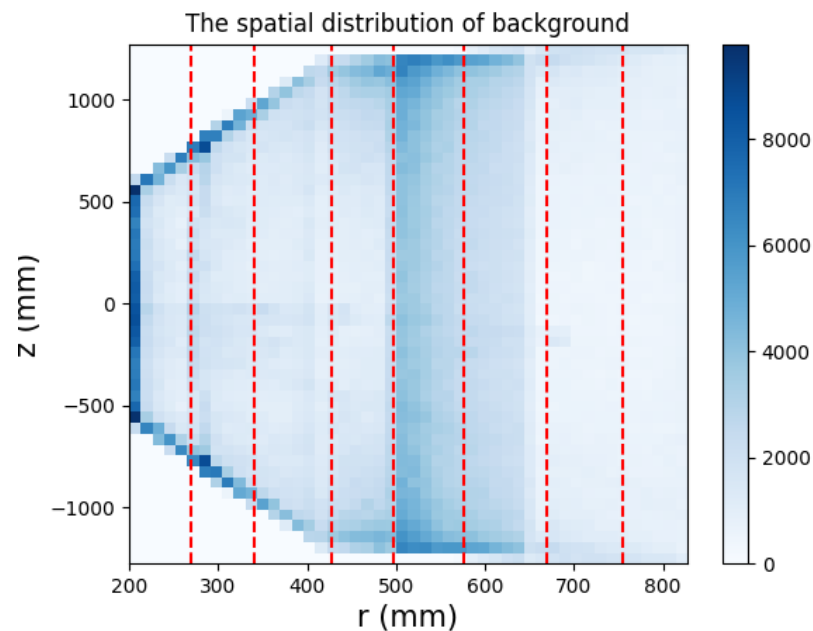
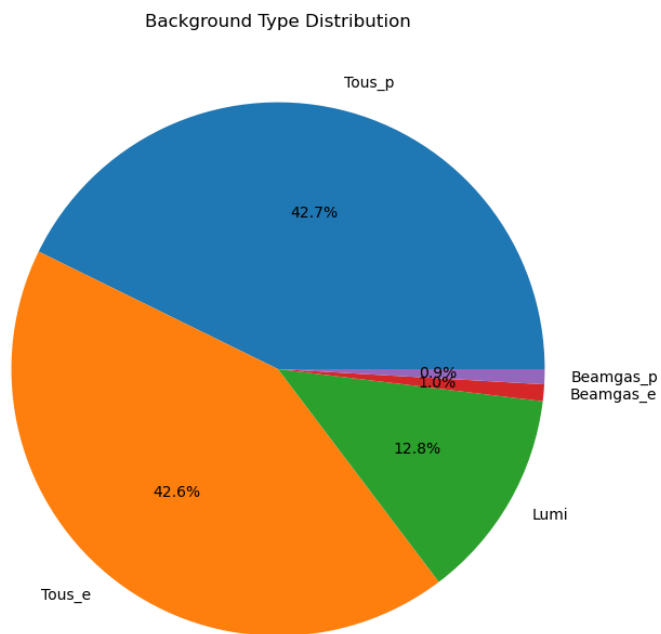
**Back up**

# STCF background

五种类别的噪声占比 (hit level)

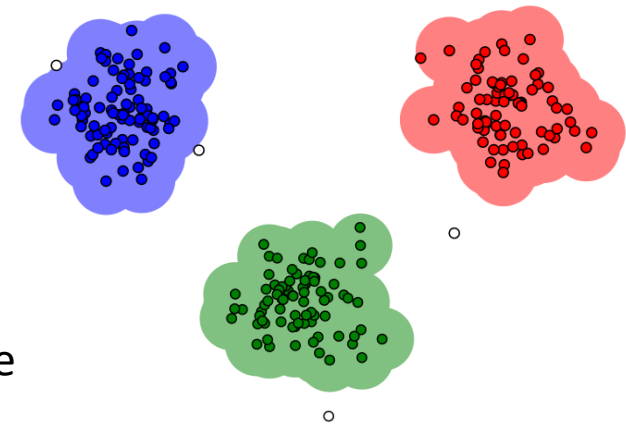
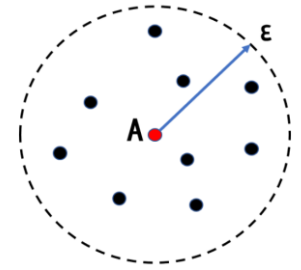
噪声R-Z空间分布

'Track' noise 在各类本底中的占比



# DBSCAN (Density-Based Spatial Clustering of Applications with Noise)

- ◆ A density-based clustering algorithm that can automatically discover clusters of arbitrary shapes and identify noise points
- ◆ Robust to outliers
- ◆ Not require the number of clusters to be told beforehand
- ◆ Parameter
  - Epsilon (radius of the circle to be created around each data point)
  - MinPoints (the minimum number of data points required inside that circle for that data point to be classified as a Core point)
  - Choose MinPoints based on the dimensionality ( $\geq \text{dim}+1$ ), and epsilon based on the elbow in the k-distance graph





# RANSAC (Random Sample Consensus)

- ◆ Basic idea: randomly select a subset of data points, fit a model based on these points, and then judge whether the remaining data points belong to the inlier set by calculating their distances to the model
- ◆ Accurately estimate model parameters even in the presence of noise and outliers
- ◆ The specific steps
  - Randomly select a small subset of data, called the inlier set
  - Fit a model based on the inlier set
  - Calculate the distances between the remaining data points and the model, and classify these points as inliers or outliers based on a certain threshold
  - If the number of inliers reaches a preset threshold, the algorithm exits and the current model is considered good
  - If the number of inliers is not enough, repeat steps 1-4 until the maximum iteration times are reached
- ◆ Parameters such as threshold and iteration times need to be preset

