

Spin hydrodynamics of Dirac fermions consistent with entropy principle



復旦大學
FUDAN UNIVERSITY

Lixin Yang

Collaborators: Li Yan

中国物理学会高能物理分会第十四届全国粒子
物理学术会议

2024.8.14, 青岛

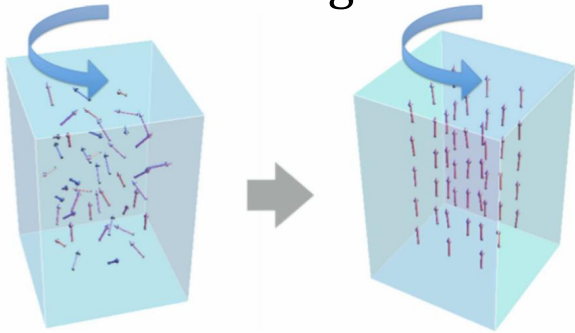
OUTLINE

- 01 **Spin polarization**
- 02 **Spin hydrodynamics of Dirac fermions**
- 03 **Linear mode analysis**
- 04 **Summary&Outlook**

Polarizations relate to spin

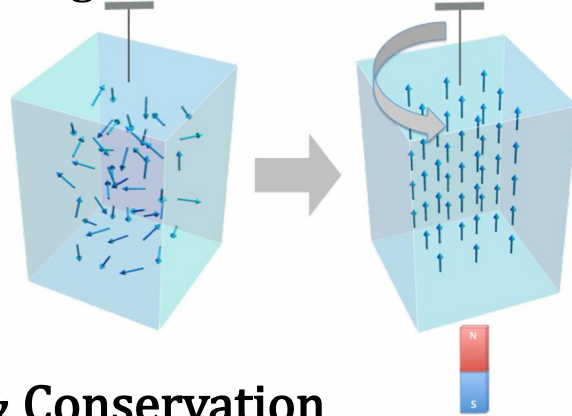
Barnett Effect

Rotation \Rightarrow Magnetization



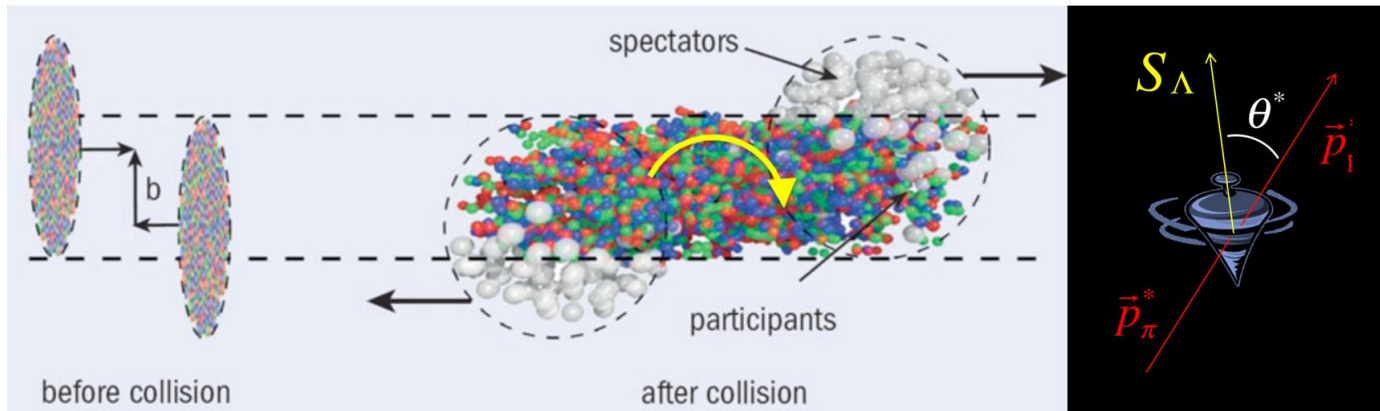
Einstein de-Haas Effect

Magnetization \Rightarrow Rotation



Spin-Orbit coupling & Conservation

OAM \Rightarrow Polarizations of Λ hyperons and vector mesons



Liang, Wang, PRL 2005; Betz, Gyulassy, Torrieri, PRC 2007; Becatini, Piccinini, Rizzo, PRC 2008

Global Polarization

STAR, Nature 2017
 UrQMD, AMPT, PICR hydro, CKT+collisions
 Karpenko, Becattini, EPJC 2017; Li, Pang, Wang, Xia PRC 2017
 Xie, Wang, Csernai, PRC 2017; Sun, Ko, PRC 2017
 Shi, Li, Liao, PLB 2018; Wei, Deng, Huang, PRC 2019
 Fu, Xu, Huang, Song, PRC 2021; S. Ryu, V. Jovic, C. Shen, PRC 2021
 Y.X. Wu, C. Yi, G.Y. Qin, S. Pu, PRC 2022

Theory=Experiment

Local polarization

STAR, PRL 2019
 Sign problem **Theory \neq Experiment**

Xia, Li, Tang, Wang, PRC 2018; Becattini, Karpenko, PRL 2018

Shear-induced polarization

B. Fu, S. Liu, L. Pang, H. Song, Y. Yin, PRL 2021

S. Liu, Y. Yin, JHEP 2021;

Becattini, Buzzegoli, Inghirami, Karpenko, PRL 2021

Becattini, Buzzegoli, Palermo, PLB 2021;

S. Lin, Z. Wang, JHEP 2022

Eos, Initial condition sensitivity

PRC 104, 064901 2021; PRC 105, 064909 2022

Theoretic approaches to spin hydrodynamics

Microscopic approach

QKT=Boltzmann equation+spin

Pro: systematic treatment of spin transport

Cons: assumes medium weakly coupled, not well-justified for QGP

Macroscopic approach

Phenomenology=hydrodynamics+spin

Pro: consistent with entropy principle

Cons: ambiguity due to pseudo-gauge transformations, frame choices

Quantum statistical density operators

[Becattini, Tinti, X. Sheng, et al., \(2008-2023\); J. Hu, PRD 2021](#)

Quantum kinetic theory(QKT)

[Florkowski, Friman, Kumar, Jaiswal, Ryblewski, Speranza, et al., 2017-2021](#)

[Weickgenannt, Speranza, Sheng, Wang, Rischke, PRL, PRD 2019-2021](#)

[S. Shi, Gale, Jeon, PRC 2021; S. Lin, Z. Wang, JHEP 2022](#)

Entropy current analysis(phenomenology)

[Hattori, Hongo, Huang, Matsuo, Taya, PLB 2019; Fukushima, S. Pu, PLB 2021](#)

[Hongo, Huang, Kaminski, Stephanov, Yee, JHEP 2021; Li, Stephanov, Yee, PRL 2021](#)

[D. She, A. Huang, D. Hou, J. Liao, Sci.B 2022; D.-L. Wang, S. Fang, S. Pu, PRD 2021](#)

[Daher, Das, Florkowski, Ryblewski, et al., PRD, PRC 2023](#)

Effective Lagrangian [Montenegro, Tinti, Torrieri, PRD\(2017-2020\)](#)

Holography [Gallegos, Gürsoy, JHEP 2020; Garbiso, Kaminski, JHEP 2020](#)

Equilibrium partition functions [Gallegos, Yarom, SPP 2021](#)

.....

Spin hydrodynamics in the regime $\tau \leq \Gamma_r$

Conserved currents $\Theta^{\mu\nu}, J^{\mu\nu\alpha}$

$$\partial_\mu \Theta^{\mu\nu} = 0 \quad \Leftarrow \text{spacetime translations symmetry}$$

$$\partial_\mu J^{\mu\nu\alpha} = 0 \quad \Leftarrow \text{Lorentz symmetry (rotation } \nu\alpha=ij \text{ \& boost } \nu\alpha=0i)$$

$$J^{\mu\nu\alpha} = \Sigma^{\mu\nu\alpha} + (x^\nu \Theta^{\mu\alpha} - x^\alpha \Theta^{\mu\nu}) \quad \Rightarrow \quad \partial_\mu \Sigma^{\mu\nu\alpha} = \Theta^{\alpha\nu} - \Theta^{\nu\alpha}$$

spin

orbital

$$S^{\mu\nu} = \mathcal{R}^{\mu\nu} + \mathcal{B}^{\mu\nu} = \epsilon^{\mu\nu\alpha\sigma} \mathcal{R}_\alpha u_\sigma + 2u^{[\mu} \mathcal{B}^{\nu]}$$

First law of thermodynamics in local rest frame near local equilibrium

$$\varepsilon + p = Ts + \frac{1}{2} \omega_{\mu\nu} S^{\mu\nu} \quad \text{spin density } S^{\mu\nu} = -u_\lambda \Sigma^{\lambda\mu\nu} \quad \omega_{\mu\nu} - \varpi_{\mu\nu} \sim O(\partial) \quad \text{near equilibrium}$$

$$\omega_{\mu\nu} = \varpi_{\mu\nu} \quad \text{in equilibrium}$$

Second law of thermodynamics

$$\text{entropy current } s^\mu = p\beta^\mu - \Theta^{\mu\nu} \beta_\nu - \frac{1}{2} \omega_{\nu\alpha} \Sigma^{\mu\nu\alpha} + G^\mu$$

$$\text{entropy principle } \partial_\mu s^\mu \geq 0$$

pseudo-gauge

Gauthier-Villars, Paris, 1960

Becattini, Tinti, PRD 2011

entropy-gauge

Becattini, Daher, X. Sheng, PLB 2024

Entropy current analysis of Dirac fermions

Spin tensor

$$\Sigma^{\mu\nu\alpha} = \epsilon^{\mu\nu\alpha\sigma} (\mathcal{R}_\sigma + \tilde{\mathcal{R}}u_\sigma) \quad \text{totally anti-symmetric}$$

Hongo, Huang, Kaminski, Stephanova, Yee, JHEP 2021

$$\Sigma'^{\mu\nu\alpha} = u^\mu \mathcal{S}^{\nu\alpha} + \tilde{\Sigma}'^{\mu\nu\alpha} = u^\mu (\epsilon^{\nu\alpha\rho\sigma} \mathcal{R}_\rho u_\sigma + 2u^{[\nu} \mathcal{B}^{\alpha]}) + \tilde{\Sigma}'^{\mu\nu\alpha} \quad \text{Hattori, Hongo, Huang, Matsuo, Taya, PLB 2019}$$

Entropy current analysis

$$\varepsilon + p = Ts + \frac{1}{2} \omega_{\mu\nu} \mathcal{R}^{\mu\nu}$$

$$s^\mu = su^\mu + \tilde{s}^\mu + s_\delta^\mu \quad \tilde{s}^\mu = -\beta_\nu \tilde{\Theta}^{\mu\nu} - \frac{1}{2} \beta \omega_{\nu\alpha} \tilde{\Sigma}^{\mu\nu\alpha}$$

$$\Theta^{\mu\nu} = \varepsilon u^\mu u^\nu + p \Delta^{\mu\nu} + \tilde{\Theta}^{\mu\nu} + \Theta_\delta^{\mu\nu} \quad \tilde{\mathcal{R}} \rightarrow \tilde{\mathcal{R}} + \mathcal{R}_\delta$$

$$\partial_\mu s^\mu = (\beta \omega_{\mu\nu} - \partial_\mu \beta_\nu) \tilde{\Theta}^{\mu\nu} - \frac{1}{2} \partial_\mu (\beta \omega_{\nu\alpha}) \epsilon^{\mu\nu\alpha\sigma} \tilde{\mathcal{R}} u_\sigma$$

$$+ \partial_\alpha (\mathcal{R}^{\alpha\mu} u^\nu) \beta \omega_{\mu\nu}$$

$$+ \partial_\mu s_\delta^\mu + \partial_\mu \Theta_\delta^{\mu\nu} \beta_\nu - \frac{1}{2} \partial_\mu (\epsilon^{\mu\nu\alpha\sigma} \mathcal{R}_\delta u_\sigma) \beta \omega_{\nu\alpha}$$

$$\varepsilon + p = Ts + \frac{1}{2} \omega_{\mu\nu} \mathcal{S}^{\mu\nu}$$

$$s'^\mu = su^\mu + \tilde{s}'^\mu \quad \tilde{s}'^\mu = -\beta_\nu \tilde{\Theta}'^{\mu\nu} - \frac{1}{2} \beta \omega_{\nu\alpha} \tilde{\Sigma}'^{\mu\nu\alpha}$$

$$\Theta'^{\mu\nu} = \varepsilon u^\mu u^\nu + p \Delta^{\mu\nu} + \tilde{\Theta}'^{\mu\nu}$$

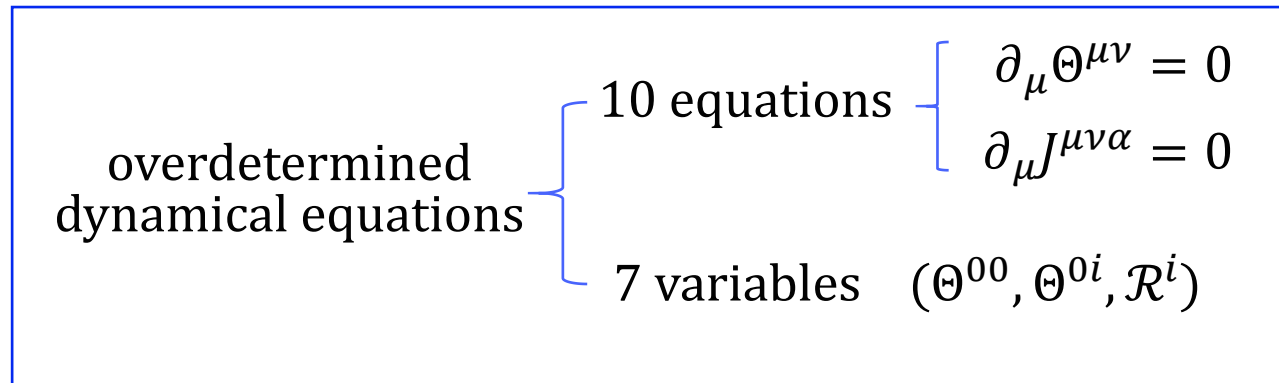
$$\partial_\mu s'^\mu = (\beta \omega_{\mu\nu} - \partial_\mu \beta_\nu) \tilde{\Theta}'^{\mu\nu} - \frac{1}{2} \partial_\mu (\beta \omega_{\nu\alpha}) \tilde{\Sigma}'^{\mu\nu\alpha}$$

Entropy principle issue in the absence of \mathcal{B}

Constraints from nondissipative transports with general $\omega_{\mu\nu}$

$$\partial_\alpha (\mathcal{R}^{\alpha\mu} u^\nu) \beta \omega_{\mu\nu} + \partial_\mu s_\delta^\mu + \partial_\mu \Theta_\delta^{\mu\nu} \beta_\nu - \frac{1}{2} \partial_\mu (\epsilon^{\mu\nu\alpha\sigma} \mathcal{R}_\delta u_\sigma) \beta \omega_{\nu\alpha} = 0$$

Constraints from the absence of \mathcal{B}



equations of boost symmetry

$$2\Theta^{[\mu\nu]} u_\nu \equiv -\partial_\alpha \Sigma^{\alpha\mu\nu}$$

constraints yield empty set of $(s_\delta^\mu, \Theta_\delta^{\mu\nu}, \mathcal{R}_\delta)$

Pseudo-gauge solution in the presence of \mathcal{B}

Pseudo-gauge solution

$$\Theta'^{\mu\nu}, S'^{\mu}, \Sigma'^{\mu\nu\alpha} = u^{\mu} S^{\nu\alpha} + \tilde{\Sigma}'^{\mu\nu\alpha} \quad \text{Hattori, Hongo, Huang, Matsuo, Taya, PLB 2019}$$

$$\Theta_{\delta}^{\mu\nu} = -\partial_{\alpha} (S^{\alpha\mu} u^{\nu}) - \frac{1}{2} \epsilon^{\mu\nu\alpha\sigma} \partial_{\alpha} (\mathcal{R}_{\delta} u_{\sigma}), \quad S_{\delta}^{\mu} = 0 \quad \text{Daher, Das, Florkowski, Ryblewski, PRC 2023}$$

$$\Theta'^{\mu\nu}, S'^{\mu}, \Sigma'^{\mu\nu\alpha} \xrightarrow{\text{pseudo-gauge transformation}} \Theta^{\mu\nu}, S^{\mu}, \Sigma^{\mu\nu\alpha} \text{ totally anti-symmetric}$$

similar to nondissipative transports in conventional hydrodynamics

$$\Theta'^{\mu\nu}, S'^{\mu}, \Sigma'^{\mu\nu\alpha} \xrightarrow{\text{pseudo-gauge transformation}} \Theta^{\mu\nu} = \Theta^{\nu\mu}, S^{\mu}, \Sigma^{\mu\nu\alpha} = 0$$

Li, Stephanov, Yee, PRL 2021

Linear mode analysis: Dirac fermions

- ◆ One pair of sound modes: $\omega_{\text{sound}}(\mathbf{k}) = \pm c_s |\mathbf{k}| - \frac{i}{2} \gamma_{\parallel} \mathbf{k}^2 \mp c_s^3 \kappa'_s \frac{k^3}{\Gamma_b} + O(k^3)$
- ◆ One longitudinal spin-boost mode: $\omega_{\text{spin,b,}\parallel} = -i\Gamma_b - ic_s^2 \kappa'_s \mathbf{k}^2 + O(k^2)$
- ◆ One longitudinal spin-rotation mode: $\omega_{\text{spin,r,}\parallel} = -i\Gamma_r + O(k^2)$
- ◆ Two transverse spin-boost modes: $\omega_{\text{spin,b,}\perp} = -i\Gamma_b + O(k^2)$
- ◆ Two shear modes: $\omega_{\text{shear}}(\mathbf{k}) = -i\gamma_{\perp} \mathbf{k}^2 + O(k^3)$
- ◆ Two transverse spin-rotation modes: $\omega_{\text{spin,r,}\perp} = -i\Gamma_r - i\gamma_s \mathbf{k}^2 + O(k^2)$

Linear mode analysis: general spin particles

- ◆ One pair of sound modes: $\omega_{\text{sound}}(\mathbf{k}) = \pm c_s |\mathbf{k}| - \frac{i}{2} \gamma_{\parallel} \mathbf{k}^2 \mp 2c_s^3 \kappa'_s \frac{k^3}{\Gamma_b} + O(k^3)$
- ◆ One longitudinal spin-boost mode: $\omega_{\text{spin,b},\parallel} = -i\Gamma_b - 3ic_s^2 \kappa'_s \mathbf{k}^2 + O(k^2)$
- ◆ One longitudinal spin-rotation mode: $\omega_{\text{spin,r},\parallel} = -i\Gamma_r + O(k^2)$
- ◆ Two transverse spin-boost modes: $\omega_{\text{spin,b},\perp} = -i\Gamma_b + O(k^2)$
- ◆ Two shear modes: $\omega_{\text{shear}}(\mathbf{k}) = -i\gamma_{\perp} \mathbf{k}^2 + O(k^3)$
- ◆ Two transverse spin-rotation modes: $\omega_{\text{spin,r},\perp} = -i\Gamma_r - i\gamma_s \mathbf{k}^2 + O(k^2)$

Summary&Outlook

Summary

Spin hydro of Dirac fermions need boost variables in general

Dispersion relations for spin hydro of Dirac fermions & general spin particles

Outlook

Causality and stability analysis with nondissipative transports

Possible solution with $\omega_{\mu\nu} \rightarrow r_{\mu\nu} = \epsilon_{\mu\nu\rho\sigma} r^\rho u^\sigma$?

Thanks for your attention!

Spin polarization

Global Polarization

AMPT, UrQMD, PICR hydro, CKT+collisions...

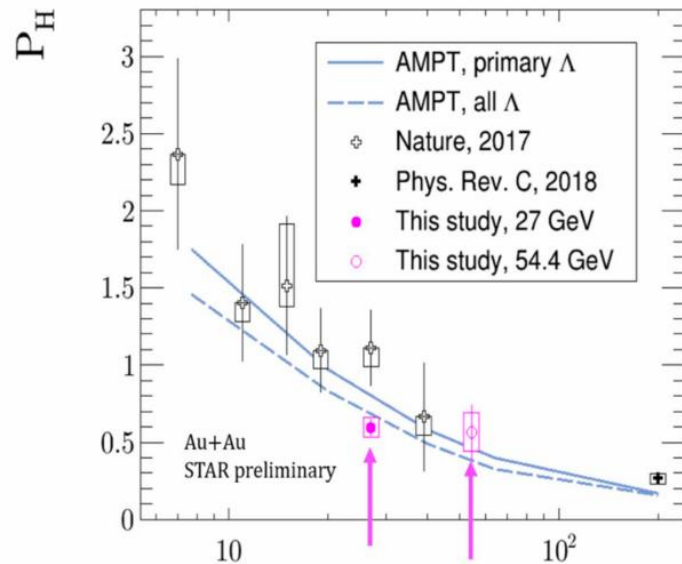
Karpenko, Becattini, EPJC 2017; Li, Pang, Wang, Xia PRC 2017

Xie, Wang, Csernai, PRC 2017; Sun, Ko, PRC 2017

Shi, Li, Liao, PLB 2018; Wei, Deng, Huang, PRC 2019

Fu, Xu, Huang, Song, PRC 2021; S. Ryu, V. Jupic, C. Shen, PRC 2021

Y.X. Wu, C. Yi, G.Y. Qin, S. Pu, PRC 2022...

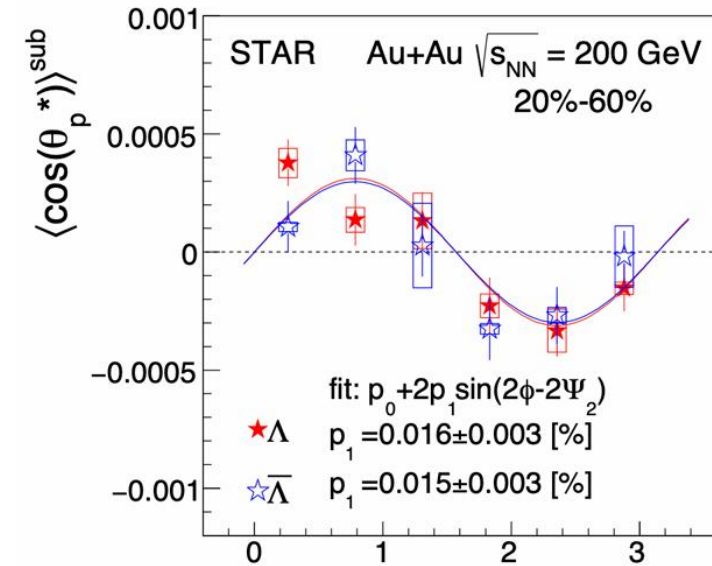


Experiment = Theory $\sqrt{s_{NN}}$ (GeV)

Local polarization

Sign problem

PRL123, 132301 2019



Experiment \neq Theory $\phi - \Psi_2$ [rad]

Shear-induced polarization, Eos, Initial condition sensitivity...

PRL 127, 142301 2021 JHEP 07, 188 2021;

PRL 127, 272302 2021 PLB 820,136519 2021;

PRC 104, 064901 2021; PRC 105, 064909 2022...