



Dispersive Determination of Nucleon Gravitational Form Factors

Xiong-Hui Cao (曹雄辉)

Institute of Theoretical Physics, Chinese Academy of Sciences
in collaboration with

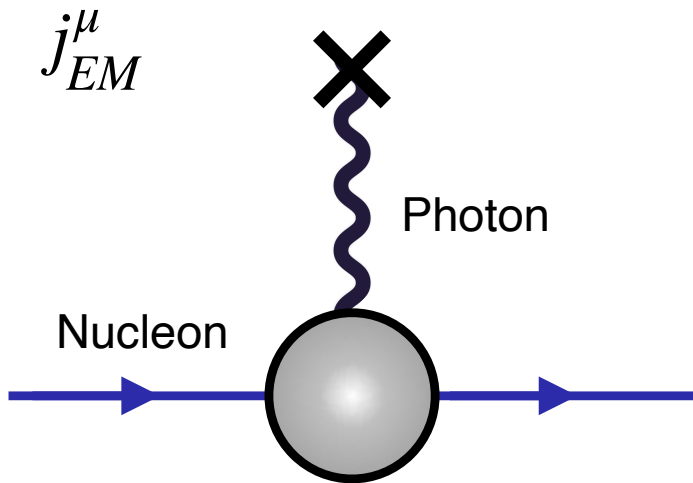
Qu-Zhi Li, De-Liang Yao and Feng-Kun Guo

第九届手征有效理论研讨会



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EM structure of nucleons



- Definition: $t \equiv q^2 = (p' - p)^2$

$$\langle N(p') | j_{EM}^{\mu} | N(p) \rangle = \bar{u}(p') \left[F_1(t) \gamma^{\mu} + i \frac{F_2(t)}{2m_N} \sigma^{\mu\nu} q_{\nu} \right] u(p)$$

- Normalization: $F_1^p(0) = 1, F_1^n(0) = 0, F_2^p(0) = \kappa_p, F_2^n(0) = \kappa_n$

- Sachs form factors: $G_E = F_1 + \frac{t}{4m^2} F_2, \quad G_M = F_1 + F_2$

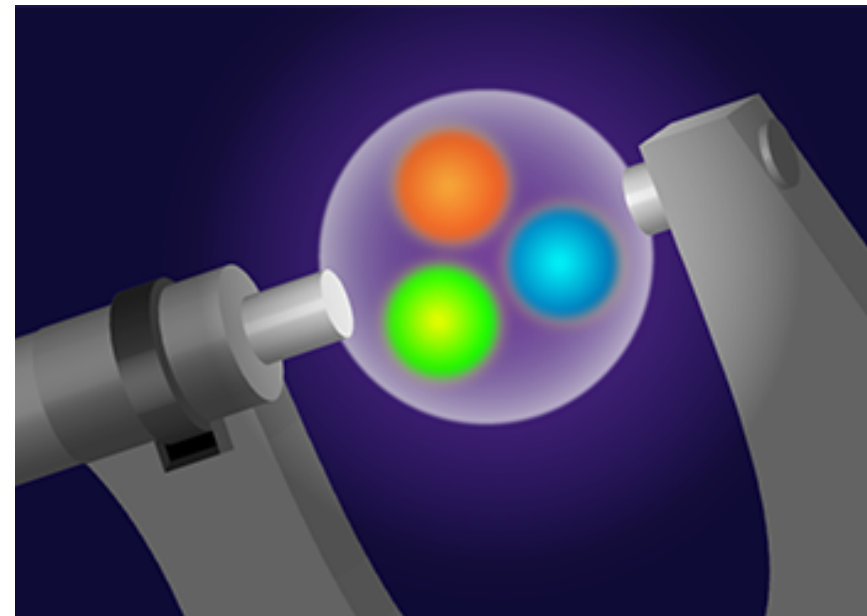
- Charge radius (proton):

$$G_E(t) = 1 + t \langle r_C^2 \rangle / 6 + \dots$$

- Extracted from the lepton-nucleon elastic scattering or the hydrogen(-like) atom spectroscopy

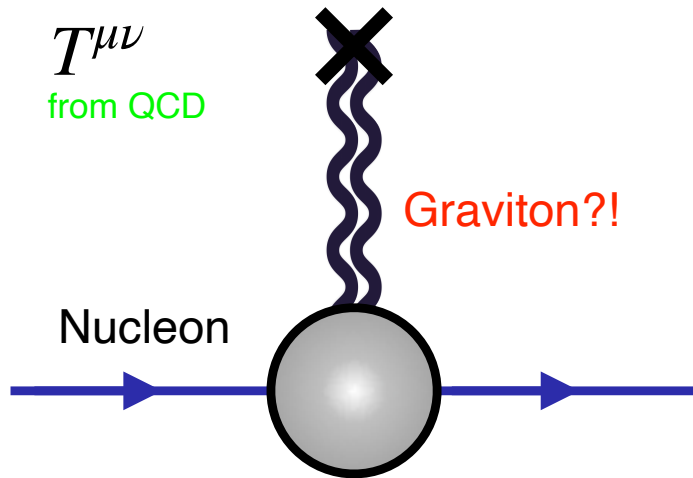
⇒ “proton radius puzzle”

0.84 fm v.s. 0.88 fm



<https://physics.aps.org/articles/v12/s28>

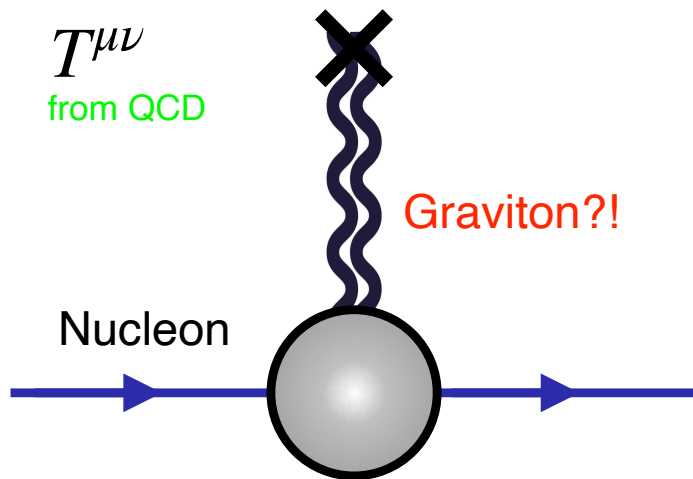
Gravitational structure of nucleons



- Gravity couples to matter through energy-momentum tensor (EMT) $T^{\mu\nu}$
- No direct experiment for detection of the nucleon-graviton interaction (10^{-39} times weaker than electromagnetic interaction)



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Total (quark+gluon) QCD EMT matrix element is renormalization-scale-independent

- Definition: $a_{\{\mu}b_{\nu\}} = a_{\mu}b_{\nu} + a_{\nu}b_{\mu}$, $P = p' + p$, $\Delta = p' - p$

$$\langle N(p') | T^{\mu\nu} | N(p) \rangle = \frac{1}{4m_N} \bar{u}(p') \left[A(t) P^{\mu} P^{\nu} + J(t) \left(i P^{\{\mu} \sigma^{\nu\} \rho} \Delta_{\rho} \right) + D(t) \left(\Delta^{\mu} \Delta^{\nu} - g^{\mu\nu} \Delta^2 \right) \right] u(p)$$

Kobzarev, Okun (1962); Pagels (1966)
M.V. Polyakov, P. Schweitzer, (2018)

☛ Mass normalization:

$$m_N = \int d^3r T_{00}(r)$$

$$A(0) = 1$$

☛ Spin normalization:

$$J^i = \epsilon^{ijk} \int d^3r r^j T_{0k}(r)$$

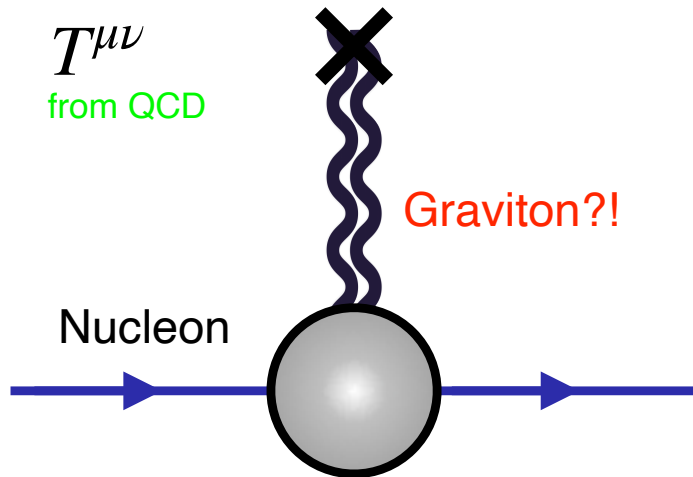
$$J(0) = 1/2$$

☛ D-term: $D \equiv D(0)$

$$D = -\frac{m_N}{2} \int d^3r \left(r^i r^j - \frac{1}{3} \delta_{ij} \right) T_{ij}(r)$$

$$D = ?$$

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D -term as the “last unknown global property”

$$\text{em: } \partial_\mu J_{\text{em}}^\mu = 0 \quad \langle N' | J_{\text{em}}^\mu | N \rangle \longrightarrow \begin{aligned} Q &= 1.602176487(40) \times 10^{-19} \text{C} \\ \mu &= 2.792847356(23) \mu_N \end{aligned}$$

$$\text{weak: PCAC} \quad \langle N' | J_{\text{weak}}^\mu | N \rangle \longrightarrow \begin{aligned} g_A &= 1.2694(28) \\ g_p &= 8.06(55) \end{aligned}$$

$$\text{gravity: } \partial_\mu T_{\text{grav}}^{\mu\nu} = 0 \quad \langle N' | T_{\text{grav}}^{\mu\nu} | N \rangle \longrightarrow \begin{aligned} m &= 938.272013(23) \text{ MeV}/c^2 \\ J &= \frac{1}{2} \\ D &= ? \end{aligned}$$

M.V. Polyakov, P. Schweitzer, (2018)



Mass radius puzzle

- Trace FF:

$$\langle N(p') | T_{\mu}^{\mu} | N(p) \rangle = m_N \bar{u}(p') \left[A(t) - \frac{t}{4m_N^2} [A(t) - 2J(t) + 3D(t)] \right] u(p) \equiv \bar{u}(p') \Theta(t) u(p)$$

- Trace anomaly in QCD: $T_{\mu}^{\mu} \equiv \frac{\beta(g)}{2g} F^{a,\mu\nu} F_{\mu\nu}^a + (1 + \gamma_m) \sum_q m_q \bar{\psi}_q \psi_q$

>90% <10% due to the small σ -term, $\sim 60 \text{ MeV} \ll 940 \text{ MeV}$
Hoferichter et.al., (2015)...

- Mass radius: $\Theta(t) = 1 + t \langle r_M^2 \rangle / 6 + \dots$

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Mass (scalar, trace or dilatation) radius v.s. Energy radius

- Energy FF:

$$\langle N(p') | T^{00} | N(p) \rangle = m_N \bar{u}(p') \left[A(t) - \frac{t}{4m_N^2} [A(t) - 2J(t) + D(t)] \right] u(p) \equiv \bar{u}(p') E(t) u(p)$$

- Energy radius: $E(t) = 1 + t \langle r_E^2 \rangle / 6 + \dots$

- Reference frame dependent

Mass radius puzzle

- Trace FF:

$$\langle N(p') | T_{\mu}^{\mu} | N(p) \rangle = m_N \bar{u}(p') \left[A(t) - \frac{t}{4m_N^2} [A(t) - 2J(t) + 3D(t)] \right] u(p) \equiv \bar{u}(p') \Theta(t) u(p)$$

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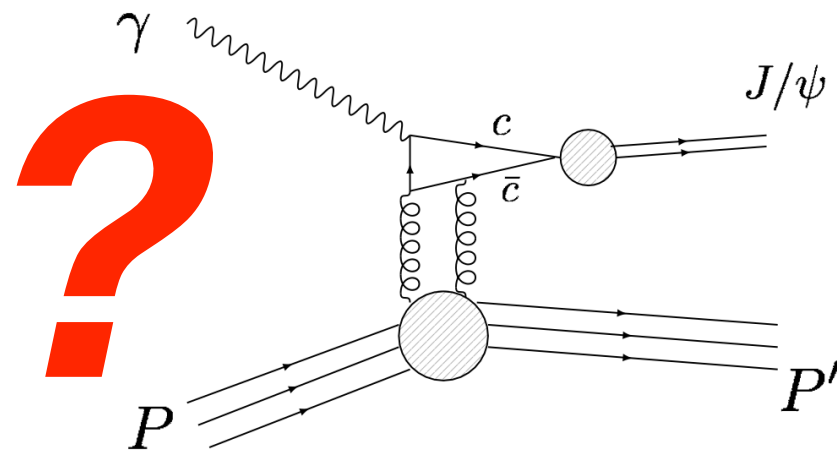
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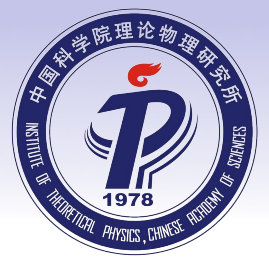
📍 Kharzeev proposed it can be extracted from the threshold photoproduction of the vector-meson, e.g., J/ψ , and the fit result is $\sim 0.5 \text{ fm}$
Kharzeev, (2021).....

📍 Recently, two LQCD calculations at near physical quark mass result in a large mass radius, $\sim 1 \text{ fm}$

Hackett et al., (2024); Wang et al., (2024)



fits to low- t data \Leftrightarrow dispersive analyses of "all" data



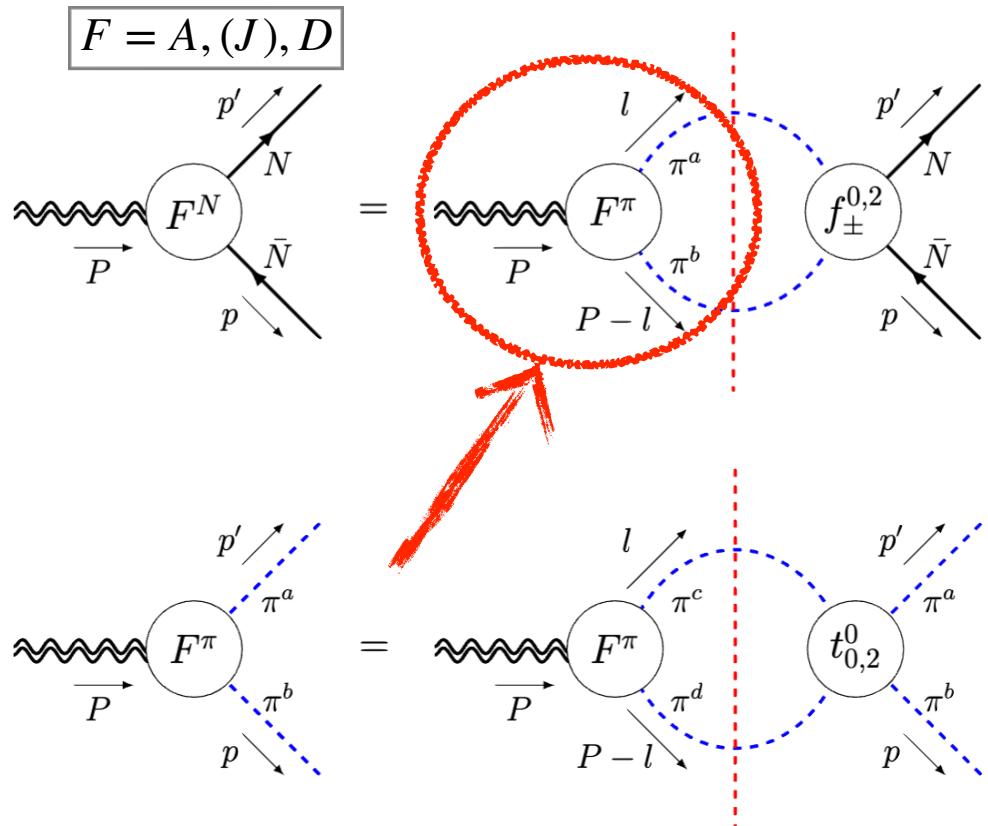
Why data driven dispersion theory?

- Based on fundamental principles: **unitarity**, **analyticity** and **crossing symmetry**
- Simultaneous analysis of all four FFs (A , J , D and Θ)
- Connects FFs over full range of momentum transfers: **time-like** and **space-like** data
- Connects to data from other processes ($\pi\pi$, $K\bar{K}$ and πN , KN scatterings...)
- Constraints from χ PT, pQCD
- ***Model-independent extraction of nucleon radii based on broad theoretical and experimental input***

Dispersive representations

- Crossing: space-like $\langle N(p') | T^{\mu\nu} | N(p) \rangle \Leftrightarrow$ time-like $\langle N(p') \bar{N}(p) | T^{\mu\nu} | 0 \rangle$

Take $A(t)$ as an example



- Nucleon sector:

$$\text{Im } A(t) = \frac{3p_{\pi}^5}{\sqrt{6}t} \left[f_{-}^2(t) + \sqrt{\frac{3}{2}} \frac{m_N}{p_N^2} \Gamma^2(t) \right]^* A^{\pi}(t),$$

...

$$\Gamma^2(t) = m_N \sqrt{\frac{2}{3}} f_{-}^2(t) - f_{+}^2(t)$$

- Meson sector:

$$\text{Im } A^{\pi}(t) = \rho_{\pi}(t) (t_2^0(t))^* A^{\pi}(t),$$

...

πN amplitudes $f_{\pm}^{0,2}$ from modern Roy-Steiner eq. analyses

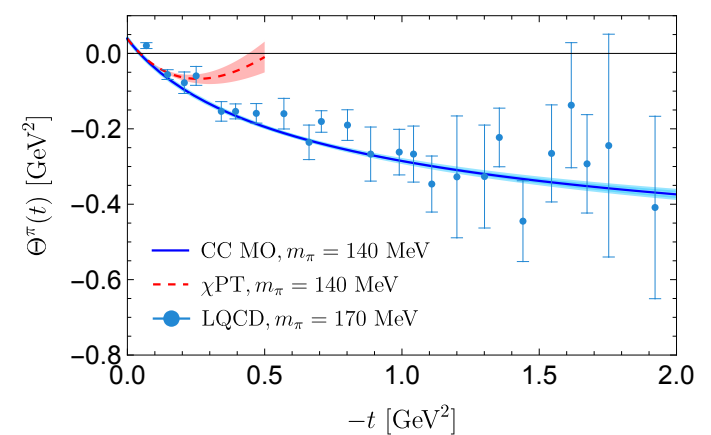
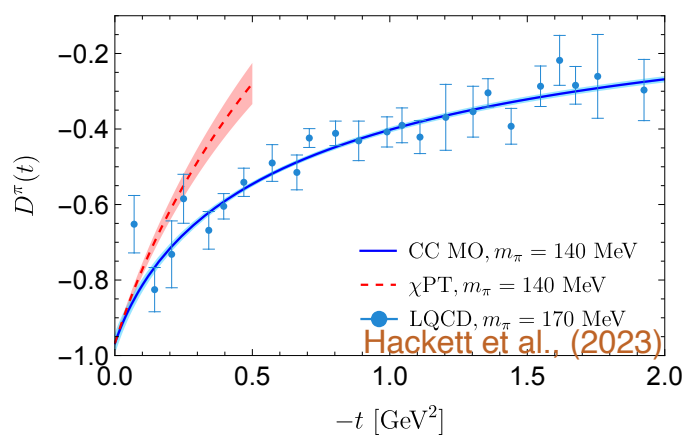
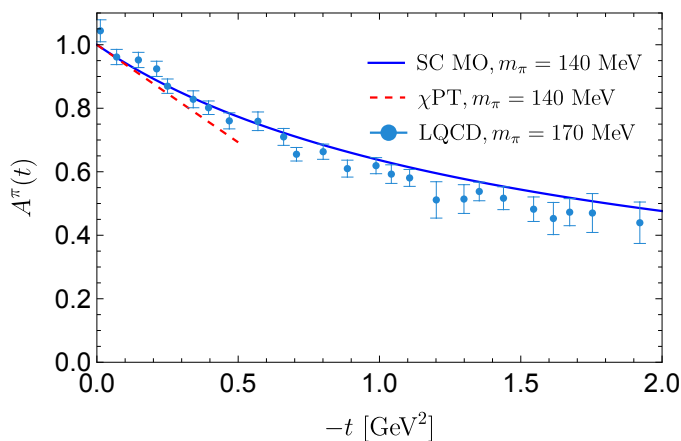
C. Ditsche, et al., JHEP (2012); M. Hoferichter et al., JHEP (2012); M. Hoferichter, et al., PRL 115, 092301(2015); PRL 115, 192301 (2015); Phys. Rept. (2016); PLB (2016); EPJA (2016); J. Ruiz de Elvira et al., JPG (2018); M. Hoferichter, et al., PRL (2018); XHC, et al., JHEP (2022); M. Hoferichter, et al., PLB (2024)...

Gravitational form factors of pion

- Meson sector: $\text{Im } A^\pi(t) = \rho_\pi(t) (t_2^0(t))^* A^\pi(t)$

Single (D-wave) and couple (S-wave) channel Muskhelishvili-Omnes problem

- Meson sector: $A^\pi(t) = (1 + \alpha t) \Omega_2^0(t)$, $\Omega_2^0(t) \equiv \exp \left\{ \frac{t}{\pi} \int_{4m_\pi^2}^{\infty} \frac{dt'}{t'} \frac{\delta_2^0(t')}{t' - t} \right\}$
- ↗ Match to χ PT $\mathcal{O}(p^4)$ result
 Donoghue & Leutwyler, (1991)
 ↖ D-wave phase-shift from experiment



Gravitational form factors of nucleon

- Nucleon sector:
$$\text{Im } A(t) = \frac{3p_\pi^5}{\sqrt{6t}} \left[f_-^2(t) + \sqrt{\frac{3}{2}} \frac{m_N}{p_N^2} \Gamma^2(t) \right]^* A^\pi(t)$$

Rigorous πN Roy-Steiner equation analysis

Muskhelishvili-Omnes formalism

- Unsubtracted dispersion relation:
$$A(t) = \frac{1}{\pi} \int_{4m_\pi^2}^{\infty} dt' \frac{\text{Im } A(t')}{t' - t}$$

- Constraints:

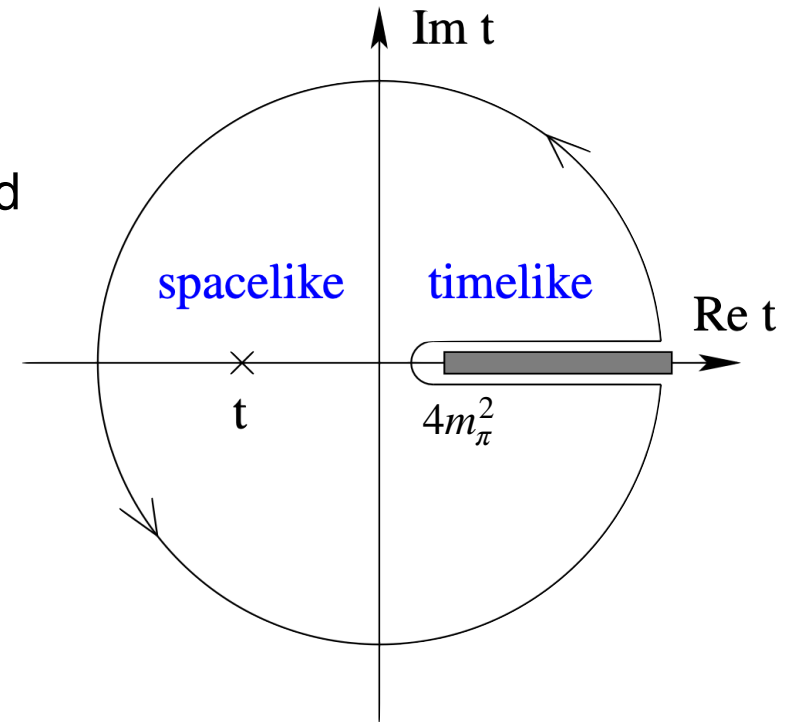
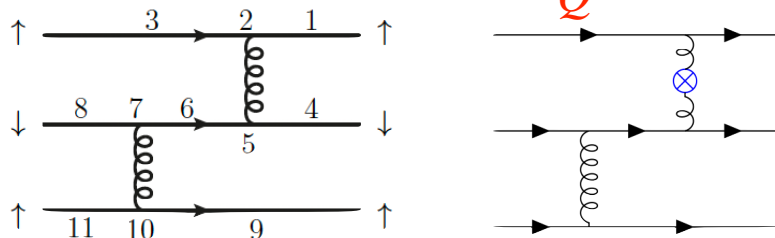
- Normalizations: mass m_N , spin 1/2

- \Rightarrow sum rules saturated by $\pi\pi$, $K\bar{K}$ continuum and some higher mass states ($f_0(1500) \dots; f_2(1565) \dots$)

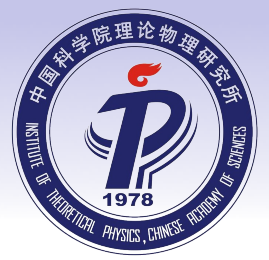
- pQCD behavior for large momentum transfer (modulo some logs):

Tanaka, (2018); Tong, et al. (2021) (2022)

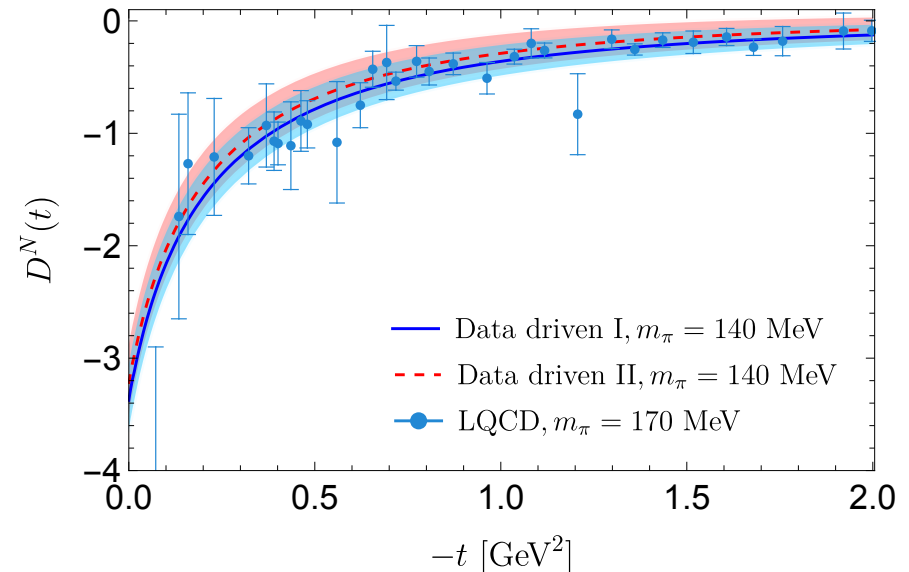
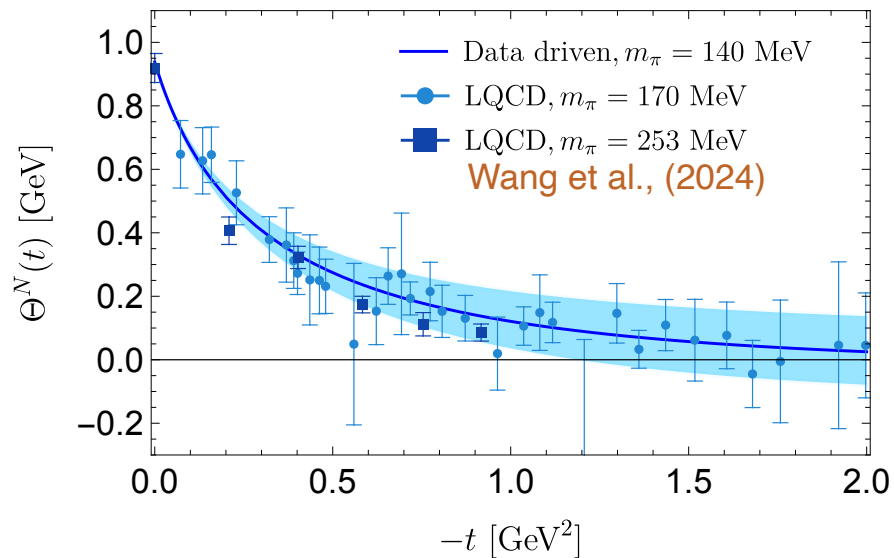
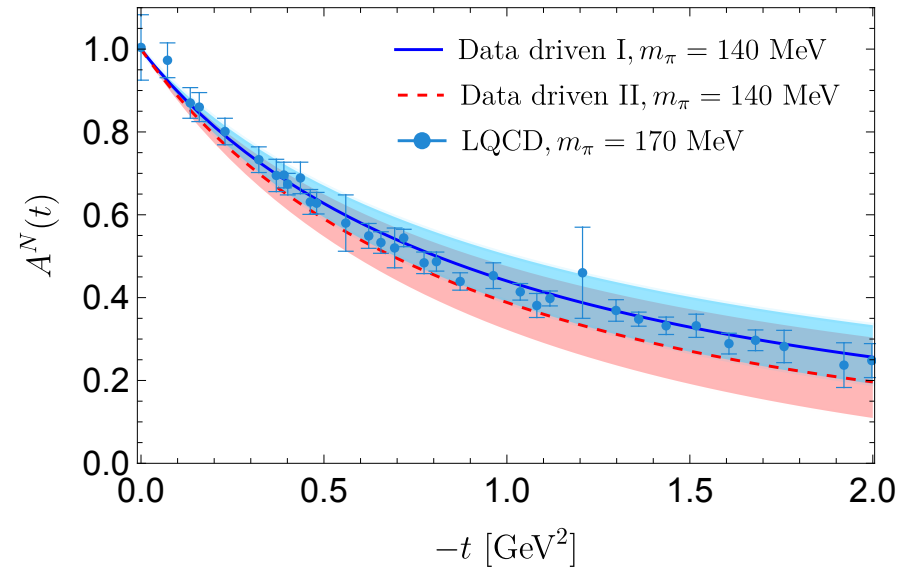
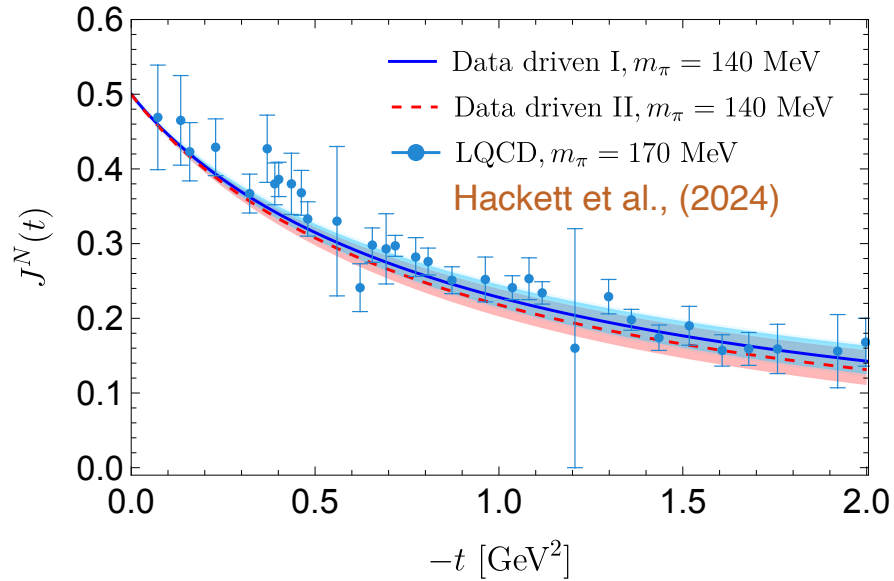
- $\Rightarrow A(Q^2) \sim J(Q^2) \sim \Theta(Q^2) \sim \frac{1}{Q^2}$ and $D(Q^2) \sim \frac{1}{Q^6}$



Results: space-like GFFs

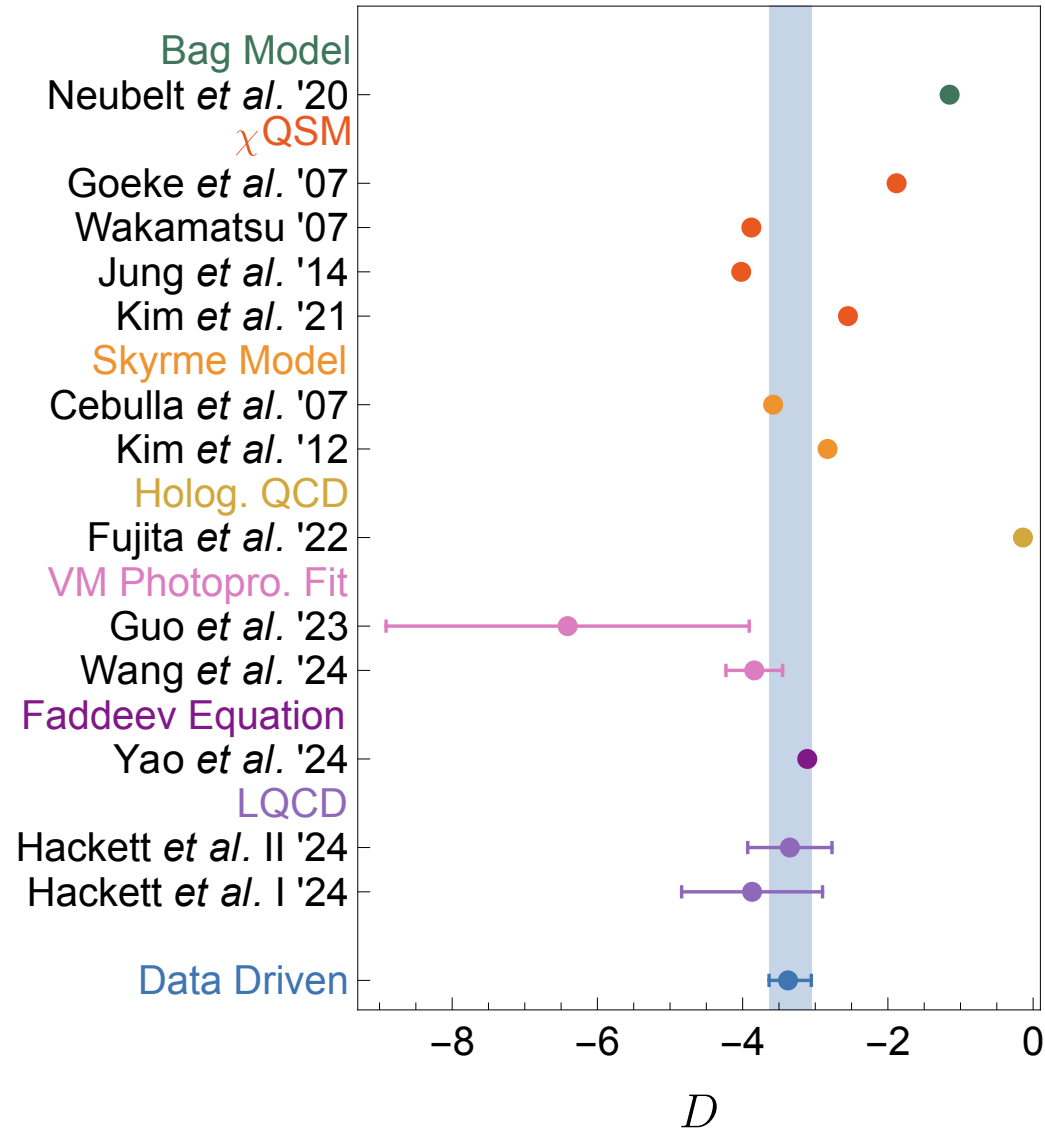


● Nucleon gravitational form factors:



Results: D-term

● Nucleon D-term: $D = - \left(3.38^{+0.26}_{-0.32} \right)$



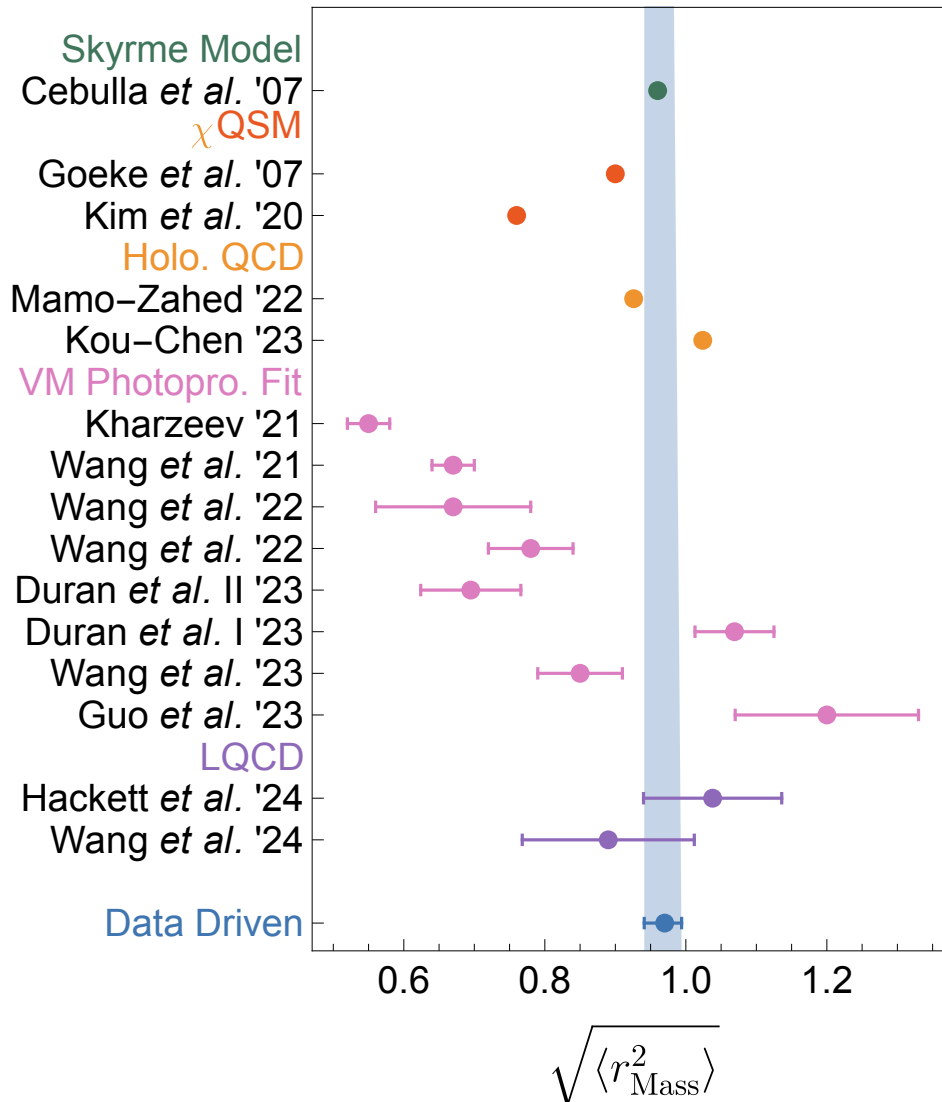
● Positivity bound:

$$D < -0.2$$

Gegelia & Polyakov, (2021)

Results: mass radius

- Nucleon mass radius: $\langle r_{\text{Mass}}^2 \rangle = \frac{6\Theta'(0)}{m_N} = (0.97_{-0.03}^{+0.02} \text{ fm})^2$



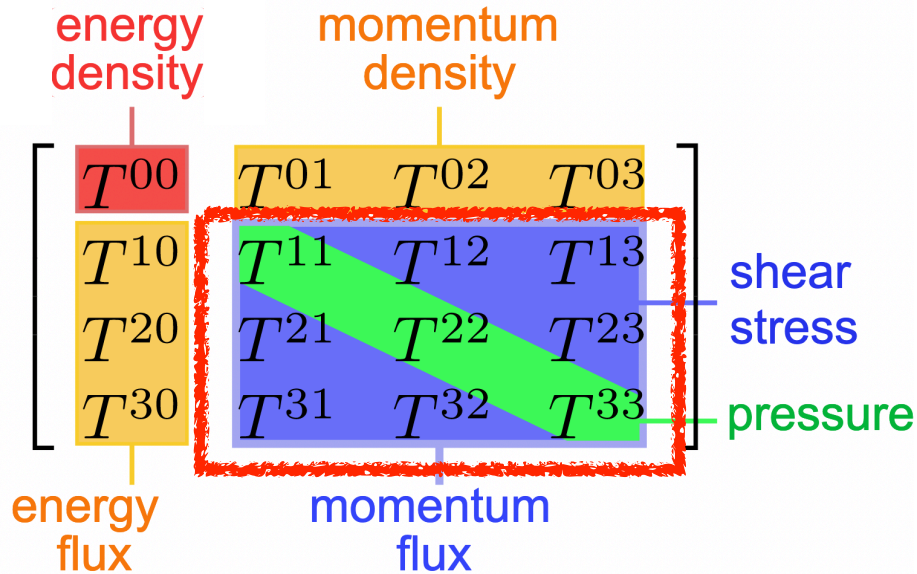
- Dispersive analyses have always found a “large” mass radius $\sim 1 \text{ fm}$

- Notice that the proton charge radius: $\langle r_{\text{Char}}^2 \rangle \sim (0.84 \text{ fm})^2$

$$\Rightarrow \langle r_{\text{Mass}}^2 \rangle > \langle r_{\text{Char}}^2 \rangle$$

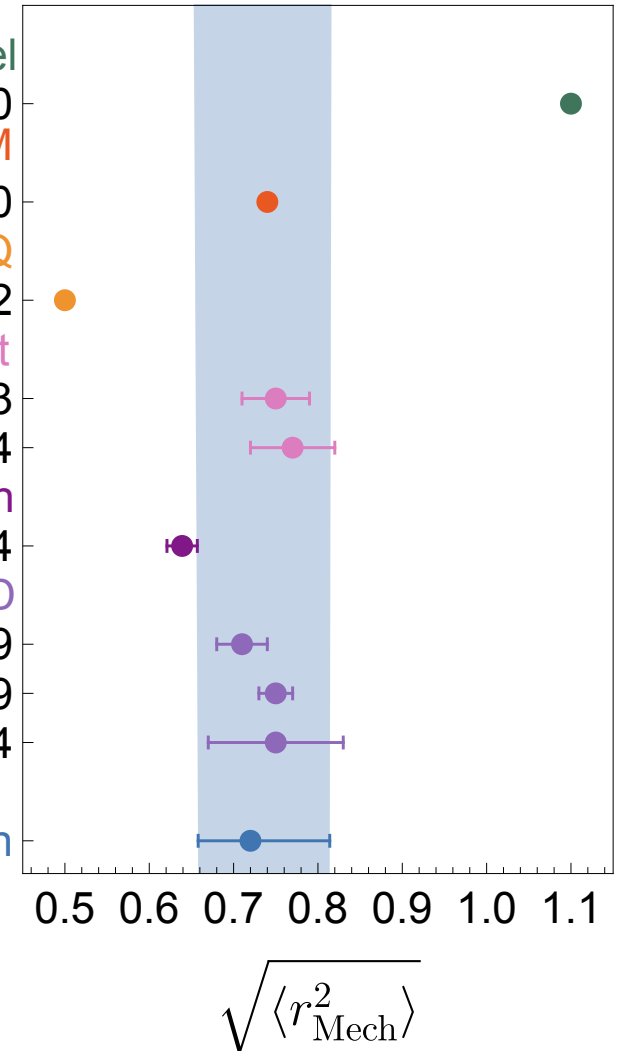
Results: mechanical radius

● Nucleon mass radius: $\langle r_{\text{Mech}}^2 \rangle = \frac{6D}{\int_{-\infty}^0 dt D(t)} = (0.72^{+0.09}_{-0.06} \text{ fm})^2$



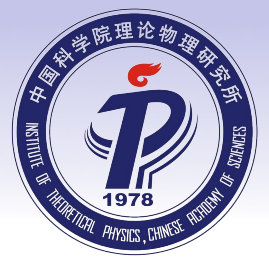
- Bag Model
- Neubelt *et al.* '20
- χ QSM
- Kim *et al.* '20
- LFQDQ
- Choudhary *et al.* '22
- VM Photopro. Fit
- Wang *et al.* '23
- Wang *et al.* '24
- Faddeev Equation
- Yao *et al.* '24
- LQCD
- Shanahan–Detmold II '19
- Shanahan–Detmold I '19
- Hackett *et al.* '24

Data Driven



● Ordering of nucleon radii

$$\langle r_{\text{Mass}}^2 \rangle > \langle r_{\text{Char}}^2 \rangle > \langle r_{\text{Mech}}^2 \rangle$$



Summary and outlook

- The unity of **dispersive techniques** and **experiment data** is powerful to investigate nucleon FF
- Quantification of **systematic and theoretical uncertainties**
- Predictions for various proton radii, $\langle r_{\text{Mass}}^2 \rangle > \langle r_{\text{Char}}^2 \rangle > \langle r_{\text{Mech}}^2 \rangle$
- Pion-mass dependence** of pion and nucleon GFFs (in progress)
- Matching** the results to $\chi\text{PT} \Rightarrow$ pure gravitational LECs c_8 and c_9 (in progress)
Alharazin et al., (2020)
- 3D (static) and 2D (light-front) distributions (in progress)
Lorcé et al., (2019)
- Hyperon** gravitational structure.....



Thank you for your attention!