



## QCD自旋物理基础 Basics for QCD Spin Physics

第二部分：部分子分布函数和碎裂函数基础  
Basics for Parton Distribution Functions (PDFs) and Fragmentation Functions (FFs)

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Based on a short review by K.B. Chen, S.Y. Wei and ZTL, Front. Phys. 10, 101204 (2015)

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## 强相互作用物理



**强相互作用物理**

- pQCD高精度计算与应用
- 强子结构与强子产生
- 强相互作用物质形态
- ....

是当代 粒子物理 原子核物理 共同的 前沿之一

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## 强相互作用物理：强相互作用物质形态



气体  
液体  
固体  
晶体  
超导体  
超流体  
等离子体  
电磁波  
.....



强  
核子/强子  
(nucleon/hadron)  
原子核 (nuclei)  
色超导体?  
(color super conductor)  
色玻璃体?  
(color glass condensate)  
夸克胶子等离子体?  
(quark gluon plasmas)  
.....



原子分子物理  
凝聚态物理  
光学  
等离子体物理  
声学  
无线电物理

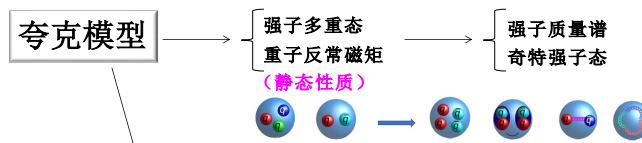
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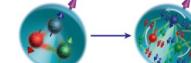
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## 强相互作用物理：强子结构



量子场论的基本性质: 真空涨落与激发 (vacuum excitation)  
(Lamb位移 —— QED)



高速运动的核子的内部结构——夸克部分子模型

\*强相互作用性质研究的重要场所 \*高能反应的初始条件

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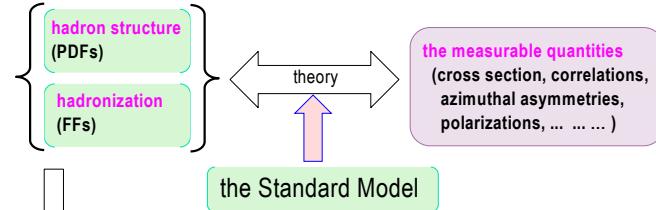
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## Introduction: QCD and hadron physics

Two important quantities: parton distribution function (PDF)  $\longleftrightarrow$  hadron structure  
 fragmentation function (FF)  $\longleftrightarrow$  hadronization



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## 部分子分布函数与碎裂函数: prelude --- before we really start

### Parton distribution functions (PDFs)

$$f_1(x) = \int \frac{dz^-}{2\pi} e^{ixp^+z^-} \langle p | \bar{\psi}(0) \not{A}(0, z^-) \frac{\gamma^+}{2} \psi(0, z^-, \vec{0}_\perp) | p \rangle$$

$$\not{A}(0, z) = \not{A}^i(-\infty, 0) \not{A}(-\infty, z),$$

gauge link

$$\not{A}(-\infty, z) = P e^{-i\int_0^z dy^- A^*(0, y^-, \vec{0}_\perp)}$$

$$= 1 + ig \int_0^z dy^- A^+(0, y^-, \vec{0}_\perp) + \frac{1}{2} (ig)^2 \int_0^z dy^- \int_0^y dy'^- A^+(0, y^-, \vec{0}_\perp) A^+(0, y'^-, \vec{0}_\perp) + \dots$$

Why? Where does it come from?

How does it look like in the three dimensional case ?

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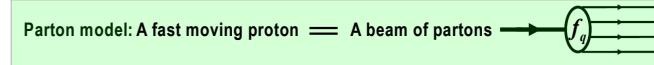
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## Introduction: Intuitive definition of TMD PDFs

### One-dimensional PDFs:



$f_q(x)$ : number density of parton in proton, parton distribution function (PDF)  
 $x = k/p$ : fractional momentum carried by the parton

### Including spin $\longrightarrow$ spin dependent PDFs:

$$f_i(x, s_q; \mathbf{S}) = f_i(x) + \lambda_q \lambda_i g_{iL}(x) + \vec{s}_{Tq} \cdot \vec{S}_i h_{iT}(x)$$

helicity distribution      transversity

### Including transverse momentum $\longrightarrow$ three-dimensional (or TMD) PDFs:

$$f(x, k_\perp, s_q; p, \mathbf{S}) = f_i(x, k_\perp) + \frac{1}{M} \vec{S}_i \cdot (\hat{p} \times \vec{k}_\perp) f_{iT}^L(x, k_\perp) + \lambda_i \lambda g_{iL}(x, k_\perp) + \lambda_i \frac{1}{M} (\vec{S}_i \cdot \vec{k}_\perp) g_{iT}^L(x, k_\perp) \\ + \frac{1}{M} \vec{s}_{Lq} \cdot (\hat{p} \times \vec{k}_\perp) h_{iT}^L(x, k_\perp) + \vec{s}_{Lq} \cdot \vec{S}_i h_{iT}(x, k_\perp) + \frac{1}{M^2} (\vec{s}_{Lq} \cdot \vec{k}_\perp) (\vec{S}_i \cdot \vec{k}_\perp) h_{iT}^L(x, k_\perp) + \frac{1}{M} (\vec{s}_{Lq} \cdot \vec{k}_\perp) \lambda h_{iT}^L(x, k_\perp)$$

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## Contents

- I. Introduction: Inclusive DIS and parton model without QCD interaction
- II. Gauge invariant parton distribution functions (PDFs) and collinear expansion for inclusive DIS
  - Leading order pQCD & leading twist (leading power)
  - Leading order pQCD & higher twists (higher powers/power suppressed)
- III. TMDs (transverse momentum dependent PDFs and FFs defined via quark-quark correlator)
- IV. Accessing TMDs via semi-inclusive high energy reactions
  - Kinematical analysis
  - Leading order pQCD & leading twist (leading power)
  - Collinear expansion & higher twists (higher powers/power suppressed)
- V. Summary and outlook

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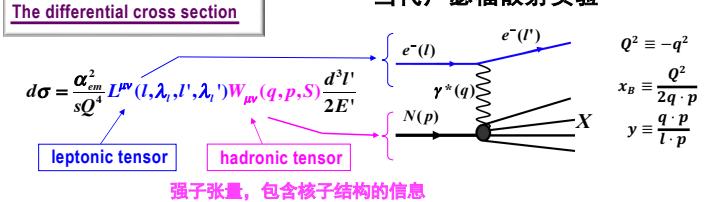
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## Inclusive deep inelastic scattering (DIS) $e^- + N \rightarrow e^- + X$



### Our knowledge of parton model started from inclusive DIS

#### 当代卢瑟福散射实验



The hadronic tensor:

$$W_{\mu\nu}(q, p, S) = \sum_X \langle p, S | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p, S \rangle (2\pi)^4 \delta^4(p + q - p_X)$$

$|M|^2$       cut line

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## Inclusive deep inelastic scattering (DIS) $e^- + N \rightarrow e^- + X$



#### The derivation of the differential cross section

$$d\sigma = \frac{1}{4s} \frac{|\mathcal{W}|^2}{TV} \frac{d^3 l'}{(2\pi)^3 (2E')}$$

$$\mathcal{W} = \langle f | \hat{S} | i \rangle = \langle e_j^- | \hat{S} | e_i^- N \rangle$$

$$\hat{S} = Te^{\int d^4 x \mathcal{H}_j(x)} = 1 + i \int d^4 x \mathcal{H}_j(x) + \frac{i^2}{2} T \int d^4 x d^4 y \mathcal{H}_j(x) \mathcal{H}_j(y) + \dots$$

$$\mathcal{H}_j(x) = c J_\mu(x) A^\mu(x) \quad J_\mu(x) = \bar{\psi}(x) \gamma_\mu \psi(x)$$

$$\mathcal{W} = \frac{i^2}{2} \langle e_j^- X | T \int d^4 x d^4 y \mathcal{H}_j(x) \mathcal{H}_j(y) | e_i^- N \rangle = \frac{i^2}{2} \langle e_j^- X | T \int d^4 x d^4 y J_\mu(x) A^\mu(x) J_\nu(y) A^\nu(y) | e_i^- N \rangle$$

$$= i^2 \int \frac{d^4 q}{(2\pi)^4 q^2} \langle e_j^- X | \int d^4 x d^4 y e^{iq(x-y)} J^\mu(x) J_\mu(y) | e_i^- N \rangle$$

$$= i^2 \int \frac{d^4 q}{(2\pi)^4 q^2} \langle e_j^- X | \int d^4 x d^4 y e^{iq(x-y)} \langle e_j^- | J^\mu(x) | e_i^- \rangle \langle X | J_\mu(y) | N \rangle$$

$$= i^2 \int \frac{d^4 q}{(2\pi)^4 q^2} \langle e_j^- X | \int d^4 x d^4 y e^{-i(l-l'-q)x} \langle e_j^- | J^\mu(0) | e_i^- \rangle \langle X | J_\mu(0) | N \rangle$$

$$= \frac{i}{q^2} \langle e_j^- | J^\mu(0) | e_i^- \rangle \langle X | J_\mu(0) | N \rangle (2\pi)^4 \delta^4(l + p - l' - p_X)$$

$$D_F^{\mu\nu}(q) = \frac{-ig^{\mu\nu}}{q^2}$$

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## Inclusive deep inelastic scattering (DIS) $e^- + N \rightarrow e^- + X$



Kinematic analysis: find the complete set of the "basic Lorentz tensors" and the general form of the hadronic tensor

The constraints: Gauge invariance  $q^\mu W_{\mu\nu}(q, p, S) = 0$       Hermiticity  $W_{\mu\nu}^*(q, p, S) = W_{\mu\nu}(q, p, S)$   
 Parity invariance  $W_{\mu\nu}(\tilde{q}, \tilde{p}, -\tilde{S}) = W^{\mu\nu}(q, p, S)$

The unpolarized set:  $(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2})$ ,  $(q + 2xp)_\mu (q + 2xp)_\nu$

The polarized (spin dependent) set:  $\epsilon_{\mu\nu\rho\sigma} q^\rho S^\sigma$ ,  $\epsilon_{\mu\nu\rho\sigma} q^\sigma (S^\sigma - \frac{S \cdot q}{p \cdot q} p^\sigma)$

$$\Rightarrow W_{\mu\nu}(q, p, S) = W_{\mu\nu}^{(S)}(q, p) + iW_{\mu\nu}^{(A)}(q, p, S)$$

$$W_{\mu\nu}^{(S)}(q, p) = 2 \left( g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \frac{1}{xQ^2} (q + 2xp)_\mu (q + 2xp)_\nu F_2(x, Q^2)$$

$$W_{\mu\nu}^{(A)}(q, p, S) = \frac{2M}{p \cdot q} \epsilon_{\mu\nu\rho\sigma} q^\rho S^\sigma g_1(x, Q^2) + \frac{2M}{p \cdot q} \epsilon_{\mu\nu\rho\sigma} q^\sigma (S^\sigma - \frac{S \cdot q}{p \cdot q} p^\sigma) g_2(x, Q^2)$$

4 independent "basic Lorentz tensors" and correspondingly 4 structure functions  
 包含核子结构的信息

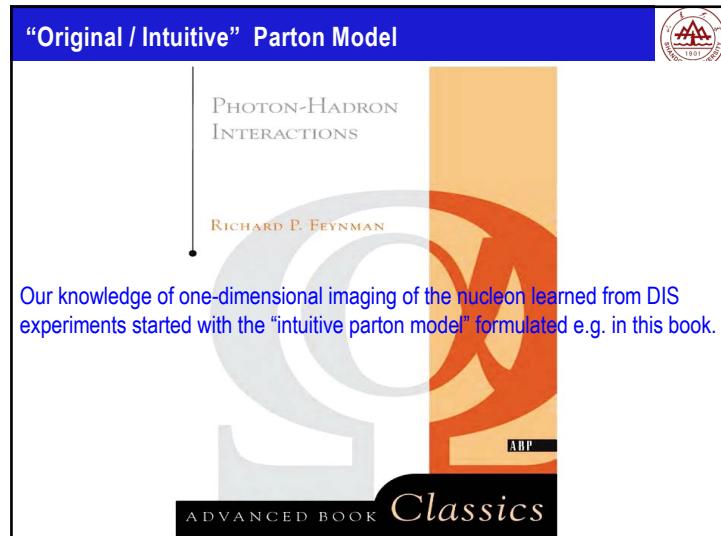
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**“Original / Intuitive” Parton Model**

**The model:**

Virtual processes such as

Because of time dilatation, in the **infinite momentum frame**, they exist forever.

A fast moving proton  $\equiv$  A beam of **free partons**

$| \mathcal{M}(eN \rightarrow eX) |^2 = \sum_q \int dx f_q(x) | \hat{\mathcal{M}}(eq \rightarrow eq) |^2$

scattering amplitude squared

$x = k/p$ : momentum fraction carried by the parton  
 $f_q(x)$ : parton number density, known as **Parton Distribution Function (PDF)**

$E.g.: F_2(x) = 2x F_1(x) = \sum_q e_q^2 f_q(x) \quad g_1(x) = \sum_q e_q^2 \Delta f_q(x)$

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**“Original / Intuitive” Parton Model**

**It is just the impulse approximation!**

$W_{\mu\nu}(q, p, S) = \left| \rightarrow \begin{array}{c} \text{数密度} \\ \text{几率} \end{array} \right|^2 = f(x) \otimes \left| \begin{array}{c} q \\ q \end{array} \right|^2$

**Impulse Approximation (冲量/脉冲近似):**

- (1) during the interaction of lepton with parton, interaction between partons is **neglected**;
- (2) lepton interacts only with **one single parton**;
- (3) interaction with different partons adds **incoherently**.

**Approximation:** What is neglected? Controllable?

**Parton distribution function (PDF):** A proper (quantum field theoretical) definition?

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**Quantum field theoretical formulation of parton model**

**Parton model without QCD:**

$$W_{\mu\nu}(q, p, S) = \left| \text{---} \right|^2 = \left| \text{---} \right|^2 =$$

$$W_{\mu\nu}(q, p, S) = \sum_X \langle p, S | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p, S \rangle (2\pi)^4 \delta^4(p + q - p_X)$$

$$= \sum_X \int d^4z \langle p, S | J_\mu(0) | X \rangle \langle X | J_\nu(z) | p, S \rangle e^{-iqz}$$

$$= \int \frac{d^4k}{(2\pi)^4} (2\pi) \delta_+(k^2) \sum_X \int d^4z e^{-iqz} \langle p, S | \bar{\psi}(0) | X \rangle \gamma_\mu u(k) \bar{u}(k) \gamma_\nu e^{ik'z} \langle X' | \psi(z) | p, S \rangle$$

$$= \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}(k, q) \hat{\phi}(k, p, S) \right]$$

the calculable hard part  $\hat{H}_{\mu\nu}(k, q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+((k+q)^2)$

the quark-quark correlator  $\hat{\phi}(k, p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \psi(z) | p, S \rangle$

4x4 matrix:  $\phi_{ab}(k, p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}_b(0) \psi_a(z) | p, S \rangle$

根本不像部分子模型?

no local (color) gauge invariance!

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**Quantum field theoretical formulation of parton model**

$|X\rangle = |X', k'\rangle, \quad \sum_X = \sum_{X'} \int \frac{d^3k'}{(2\pi)^3 2E_k} \quad \int \frac{d^3k'}{(2\pi)^3 2E_k} = \int \frac{d^4k'}{(2\pi)^4} \delta_+(k^2)$

 $W_{\mu\nu}(q, p) = \sum_X \langle p | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_X)$ 
 $= \sum_X \int \frac{d^3k'}{(2\pi)^3 2E_k} \langle p | \bar{\psi}(0) \gamma_\mu \psi(0) | X', k' \rangle \langle X', k' | \bar{\psi}(0) \gamma_\nu \psi(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_{X'} - k')$ 
 $= \int d^4z \sum_{X'} \int \frac{d^3k'}{(2\pi)^3 2E_k} e^{i(p+q-p_{X'}-k')z} \langle p | \bar{\psi}(0) \gamma_\mu | X' \rangle \langle X' | \gamma_\nu \psi(0) | p \rangle$ 
 $= \int d^4z \frac{d^4k}{(2\pi)^4} e^{ikz} \langle p | \psi(0) \gamma_\mu (\not{k} + \not{q}) \gamma_\nu \psi(z) | p \rangle (2\pi) \delta_+((k+q)^2)$ 
 $= \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}(k, q) \hat{\phi}(k, p, S) \right]$ 

$\hat{H}_{\mu\nu}(k, q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+((k+q)^2)$

$\hat{\phi}_{ab}(k, p, S) = \int d^4z e^{ikz} \langle p, S | \psi_b(0) \psi_a(z) | p, S \rangle$

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**Quantum field theoretical formulation of parton model**

**Parton model without QCD (continued):**

**Collinear approximation(共线近似):**  $p \approx p^+ \bar{n}, \quad k \approx xp$

$$\hat{H}_{\mu\nu}(k, q) = \hat{H}_{\mu\nu}(x) \approx \hat{H}_{\mu\nu}(k = xp, q) = \gamma_\mu \not{n} \gamma_\nu \delta(x - x_b)$$

$$W_{\mu\nu}(q, p) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}(k, q) \hat{\phi}(k, p) \right] = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}(x) \hat{\phi}(k, p) \right] = \int dx \text{Tr} \left[ \hat{H}_{\mu\nu}(x) \hat{\phi}(x, p) \right]$$

$$\hat{\phi}(x; p) \equiv \int \frac{d^4k}{(2\pi)^4} \delta(x - k^+ / p^+) \hat{\phi}(k, p) = \frac{1}{2} p^+ \bar{n} f_1(x) + \dots$$

$$\Rightarrow W_{\mu\nu}(q, p) \approx \left[ (-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2}) + \frac{1}{2xq \cdot p} (q + 2xp)_\mu (q + 2xp)_\nu \right] f_1(x)$$

operator expression of the number density:  $f_1(x) = \int \frac{dz^-}{2\pi} e^{ip^\mu z^-} \langle p | \bar{\psi}(0) \frac{\gamma^+}{2} \psi(z) | p \rangle$

正是部分子模型的结果!

no local (color) gauge invariance!

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**Inclusive DIS with “multiple gluon scattering”**

To get the gauge invariance, we need to take the “multiple gluon scattering” into account

$$W_{\mu\nu}(q, p, S) = \frac{\text{---}}{N(q)} + \frac{\text{---}}{N(q)} + \frac{\text{---}}{N(q)} + \dots$$

$$W_{\mu\nu}^{(0)}(q, p, S) = W_{\mu\nu}^{(0)}(q, p, S) + W_{\mu\nu}^{(1)}(q, p, S) + W_{\mu\nu}^{(2)}(q, p, S) + \dots$$

$$W_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}^{(0)}(k, q) \hat{\phi}^{(0)}(k, p, S) \right]$$

$$W_{\mu\nu}^{(1)}(q, p, S) = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \text{Tr} \left[ \hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) \hat{\phi}_\rho^{(1)}(k_1, k_2, p, S) \right]$$

$$\hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) = \gamma_\mu \frac{(k_2 + \not{q}) \gamma^\rho (k_1 + \not{q})}{(k_2 + q)^2 - i\epsilon} \gamma_\nu (2\pi) \delta_+((k_1 + q)^2)$$

the calculable hard part:  $\hat{H}_{\mu\nu}^{(0)}(k, q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+((k+q)^2)$

the quark-quark correlator:  $\hat{\phi}^{(0)}(k; p, S) = \int d^4z e^{ikz} \langle p, S | \psi(0) \psi(z) | p, S \rangle$

the quark-gluon-quark correlator:  $\hat{\phi}^{(1)}(k_1, k_2; p, S) = \int d^4y d^4z e^{ik_1 z + ik_2 (y-z)} \langle p, S | \psi(0) A_\rho(y) \psi(z) | p, S \rangle$

no (local) gauge invariance!

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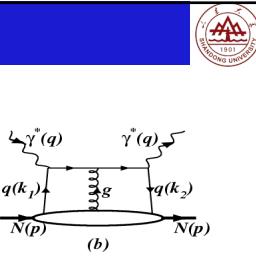
## Inclusive DIS with QCD interaction

Consider QCD interaction: first order

$$\mathcal{H}_I(y) = \mathcal{H}_I^{QED}(y) + \mathcal{H}_I^{QCD}(y)$$

$$\mathcal{H}_I^{QED}(y) = e\bar{\psi}(y)\gamma_\mu\psi(y)A_{em}^\mu(y)$$

$$\mathcal{H}_I^{QCD}(y) = g\bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y) + \dots$$



$$J_\mu(x) \rightarrow T \int d^4y \mathcal{H}_I^{QCD}(y) \bar{\psi}(x)\gamma_\mu\psi(x),$$

$$\begin{aligned} W_{\mu\nu}^{(1,R)}(q,p) &= T \int d^4y \sum_x \frac{d^4k'}{(2\pi)^4 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X', k' \rangle \langle X', k' | \mathcal{H}_I^{QCD}(y) \bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_X - k') \\ &= g \int d^4y \sum_x \frac{d^4k'}{(2\pi)^4 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X', k' \rangle \langle X', k' | T\bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y)\bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_X - k') \\ &= g \int d^4y \sum_x \frac{d^4k'}{(2\pi)^4 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X', k' \rangle \langle X', k' | \bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y)\bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_X - k') \\ &= g \int d^4y d^4z \frac{d^4k'}{(2\pi)^4} e^{-i(q-k)z} e^{-i(k-y)z} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | k A_\rho(y+z)\gamma_\nu\psi(z) | p \rangle \end{aligned}$$

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## Inclusive DIS with QCD interaction

Consider QCD interaction: first order

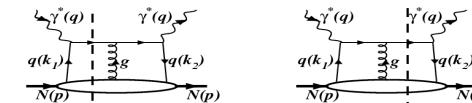
$$\Rightarrow W_{\mu\nu}^{(1,R)}(q,p,S) = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \text{Tr}[\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) \hat{H}_{\mu\nu}^{(1,R)\rho}(k_1, k_2, q)]$$

$$\hat{H}_{\mu\nu}^{(1,R)\rho}(k, q) = \gamma_\mu \frac{(k_2 + q)\gamma^\rho(k_1 + q)}{(k_1 + q)^2 - i\epsilon} \gamma_\nu (2\pi) \delta_+(k_2 + q)^2$$

$$\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) = \int d^4z d^4y e^{ik_1 y + ik_2(z-y)} \langle p, S | \bar{\psi}(0) g A_\rho(y) \psi(z) | p, S \rangle$$

$$\text{Similarly: } W_{\mu\nu}^{(1,L)}(q, p, S) = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \text{Tr}[\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) \hat{H}_{\mu\nu}^{(1,L)\rho}(k_1, k_2, q)]$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(k, q) = \gamma_\mu \frac{(k_2 + q)\gamma^\rho(k_1 + q)}{(k_1 + q)^2 - i\epsilon} \gamma_\nu (2\pi) \delta_+(k_1 + q)^2$$



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## Inclusive DIS: LO pQCD, leading twist

Collinear approximation:

Approximating the hard part as that at  $k = xp$ :

$$\hat{H}_{\mu\nu}^{(0)}(x) \equiv \hat{H}_{\mu\nu}^{(0)}(k = xp, q)$$

$$\hat{H}_{\mu\nu}^{(0)}(x_1, x_2) \equiv \hat{H}_{\mu\nu}^{(0)}(k_1 = x_1 p, k_2 = x_2 p, q)$$

$$\hat{H}_{\mu\nu}^{(0)}(k, q) \approx \hat{H}_{\mu\nu}^{(0)}(x)$$

$$\hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) \approx \hat{H}_{\mu\nu}^{(1)\rho}(x_1, x_2)$$

Keep only the longitudinal component of the gluon field:

$$A_\rho(y) \approx n \cdot A(y) \frac{p_\rho}{n \cdot p} = A^+(y) \frac{p_\rho}{p^+}$$

$$x = k^+ / p^+$$

$$k^\pm = \frac{1}{\sqrt{2}}(k_0 \pm k_3)$$

$$n = (0, 1, \vec{0}_\perp)$$

$$\bar{n} = (1, 0, \vec{0}_\perp)$$

Using the Ward identities such as,

$$p_\rho \hat{H}_{\mu\nu}^{(1,1)\rho}(x_1, x_2) = \frac{\hat{H}_{\mu\nu}^{(0)}(x_1)}{x_2 - x_1 - i\epsilon}$$

to replace hard parts for diagrams with multiple gluon scatterings by  $\hat{H}_{\mu\nu}^{(0)}(x)$ .

Adding all terms together  $\Rightarrow$

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## Inclusive DIS: LO pQCD, leading twist

$$\Rightarrow W_{\mu\nu}(q, p, S) \approx \tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4k}{(2\pi)^4} \text{Tr}[\hat{\Phi}^{(0)}(k; p, S) \hat{H}_{\mu\nu}^{(0)}(x)]$$

$$\hat{\Phi}^{(0)}(k; p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$$

The gauge invariant un-integrated quark-quark correlator: contain QCD interaction!

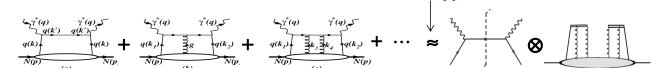
$$\mathcal{L}(0, z) = \mathcal{L}^F(-\infty, 0) \mathcal{L}(-\infty, z)$$

$$\mathcal{L}(-\infty, z) = Pe \int \frac{dy^-}{z} A^+(0, y^-, \vec{0}_\perp)$$

$$= 1 + ig \int dy^- A^+(0, y^-, \vec{0}_\perp) + \frac{1}{2} (ig)^2 \int dy^- \int dy'^- A^+(0, y^-, \vec{0}_\perp) A^+(0, y'^-, \vec{0}_\perp) + \dots$$

Gauge link comes from the multiple gluon scattering.

Graphically:

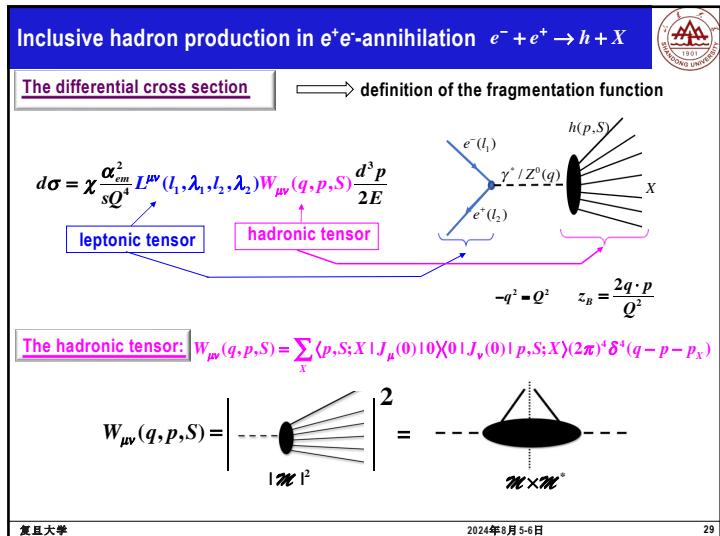


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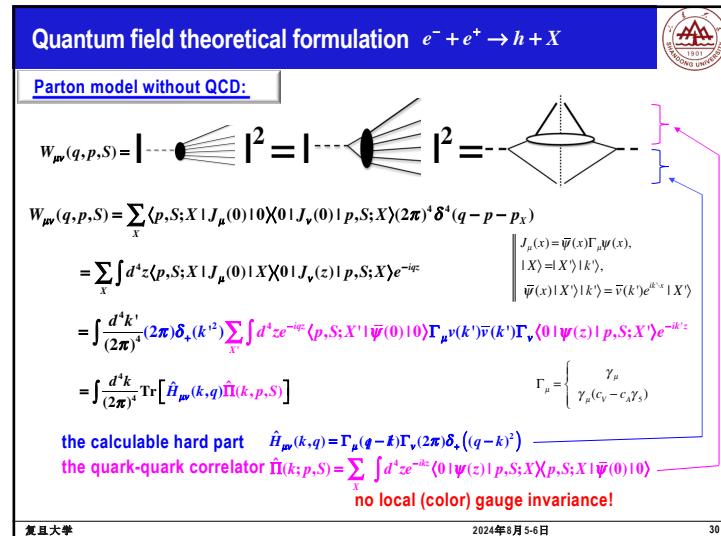
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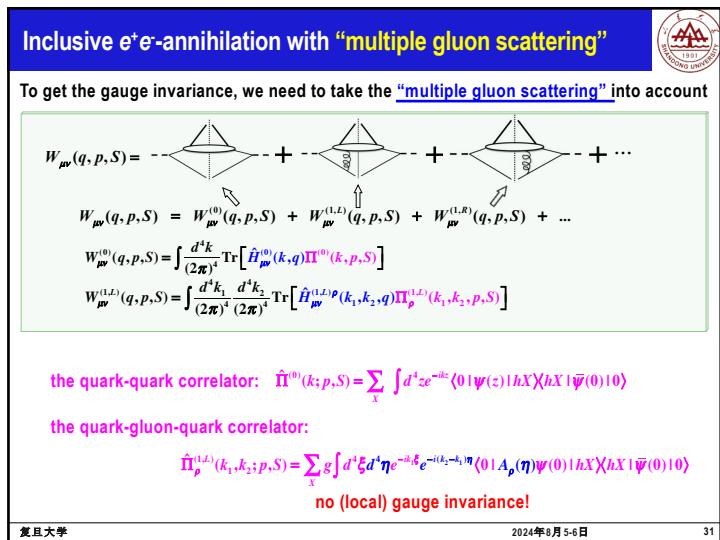




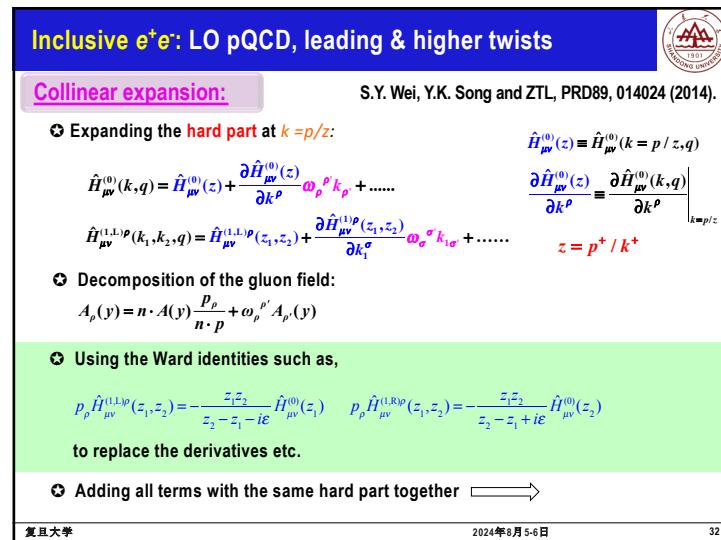
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## Inclusive $e^+e^-$ : LO pQCD, leading & higher twists



$$W_{\mu\nu}(q, p, S) = \tilde{W}_{\mu\nu}^{(0)}(q, p, S) + \tilde{W}_{\mu\nu}^{(1,L)}(q, p, S) + \tilde{W}_{\mu\nu}^{(1,R)}(q, p, S) + \dots$$

$$\tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [\hat{\Xi}^{(0)}(k, p, S) \hat{H}_{\mu\nu}^{(0)}(z)] \quad \text{twist-2, 3 and 4 contributions}$$

$$\hat{\Xi}^{(0)}(k; p, S) = \sum_x \int d^4 \xi e^{ik\xi} \langle hX | \bar{\psi}(0) \mathcal{L}(0, \infty) 10 \rangle \langle 0 | \mathcal{L}(\xi, \infty) \psi(\xi) | hX \rangle \quad \text{gauge invariant quark-quark correlator}$$

$$\tilde{W}_{\mu\nu}^{(1,L)}(q, p, S) = \int \frac{d^4 k_1}{(2\pi)^4} \int \frac{d^4 k_2}{(2\pi)^4} \text{Tr} [\hat{\Xi}_\rho^{(1,L)}(k_1, k_2; p, S) \omega_\rho^\mu \hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2)] \quad \text{twist-3, 4 and 5 contributions}$$

$$\hat{\Xi}_\rho^{(1,L)}(k_1, k_2; p, S) = \sum_x \int d^4 \xi d^4 \eta e^{-ik_1 \cdot \xi} e^{-ik_2 \cdot \eta} \langle 0 | \mathcal{L}(\eta, \infty) D_\rho(\eta) \mathcal{L}(0, \eta) \psi(0) | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi, \infty) 10 \rangle \quad \text{gauge invariant quark-gluon-quark correlator}$$

$$D_\rho(\eta) = -i \partial_\rho + g A_\rho(\eta)$$

➡ A consistent framework for  $e^+e^- \rightarrow hX$  including leading & higher twists

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## Inclusive $e^+e^-$ : LO pQCD, leading & higher twists



### Simplified expressions for hadronic tensors

The “collinearly expanded hard parts” take the simple forms such as:

$$\hat{H}_{\mu\nu}^{(0)}(z) = z_b^2 \hat{h}_{\mu\nu}^{(0)} \delta(z - z_b), \quad \hat{h}_{\mu\nu}^{(0)} = \Gamma_\mu^\mu n \Gamma_\nu / p^+$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2) \omega_\rho^\mu = -\frac{\pi}{2q \cdot p} z_b^2 \hat{h}_{\mu\nu}^{(1)L} \omega_\rho^\mu \delta(z_1 - z_b), \quad \hat{h}_{\mu\nu}^{(1)L} = \Gamma_\mu^\mu n \gamma^\mu \bar{n} \Gamma_\nu$$

$$\tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \frac{1}{2} \int dz \text{Tr} [\hat{\Xi}^{(0)}(z; p, S) \hat{h}_{\mu\nu}^{(0)}] \delta(z - z_b) \quad \text{twist-2, 3 and 4 contributions}$$

$$\hat{\Xi}^{(0)}(z; p, S) = \int \frac{d^4 k}{(2\pi)^4} \delta(z - \frac{p^+}{k^+}) \hat{\Xi}^{(0)}(k; p, S) = \sum_x \int \frac{p^* d\xi}{2\pi} e^{-ip^* \xi/z} \langle 0 | \mathcal{L}(0, \infty) \psi(0) | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi, \infty) 10 \rangle \quad \text{one-dimensional gauge invariant quark-quark correlator}$$

$$\tilde{W}_{\mu\nu}^{(1,L)}(q, p, S) = -\frac{\pi}{4q \cdot p} \text{Re} \int dz \text{Tr} [\hat{\Xi}_\rho^{(1)}(z; p, S) h_{\mu\nu}^{(1)\rho} \omega_\rho^\mu] \delta(z - z_b) \quad \text{twist-3, 4 and 5 contributions}$$

$$\begin{aligned} \hat{\Xi}_\rho^{(1)}(z; p, S) &= \int \frac{d^4 k_1}{(2\pi)^4} \int \frac{d^4 k_2}{(2\pi)^4} \delta(z - \frac{p^+}{k_1^+}) \hat{\Xi}_\rho^{(1)}(k_1, k_2; p, S) \\ &= \int \frac{p^* d\xi}{2\pi} e^{-ip^* \xi/z} \langle 0 | \mathcal{L}(0, \infty) [D_\rho(0) \psi(0)] | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi, \infty) 10 \rangle \end{aligned} \quad \text{the involved one-dimensional gauge invariant quark-gluon-quark correlator}$$

➡ Only one-dimensional fragmentation functions are involved in inclusive  $e^+e^-$  annihilations

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## Description of polarization of particles with different spins



### Spin 1/2 hadrons:

$$\text{The spin density matrix is } 2 \times 2: \rho = \begin{pmatrix} \rho_{++} & \rho_{+-} \\ \rho_{-+} & \rho_{--} \end{pmatrix} = \frac{1}{2} (1 + \vec{\Sigma} \cdot \vec{\sigma})$$

$$\text{Vector polarization: } S^\mu = (0, \vec{\Sigma}, \lambda)$$

### Spin 1 hadrons:

$$\text{The spin density matrix is } 3 \times 3: \rho = \begin{pmatrix} \rho_{11} & \rho_{10} & \rho_{1-1} \\ \rho_{01} & \rho_{00} & \rho_{0-1} \\ \rho_{-11} & \rho_{-10} & \rho_{-1-1} \end{pmatrix} = \frac{1}{3} (1 + \frac{3}{2} \vec{\Sigma} \cdot \vec{\Sigma} + 3 T^y \Sigma^y)$$

$$\text{Vector polarization: } S^\mu = (0, \vec{\Sigma}, \lambda)$$

$$\text{Tensor polarization: } S_{LL} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & S_{TT}^x & S_{TT}^y \\ 0 & S_{TT}^y & -S_{TT}^x \end{pmatrix}, \quad S_{LT}^\mu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad S_{TT}^\mu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \begin{matrix} 3 \\ 5 \\ 5 \end{matrix} \quad \begin{matrix} 8 \text{ independent components.} \end{matrix}$$

$$S_{LL} = \frac{-\langle \circlearrowleft \circlearrowright \rangle + \langle \circlearrowright \circlearrowleft \rangle}{2} - \langle \circlearrowleft \circlearrowleft \rangle$$

transverse plane

See e.g. A. Bacchetta, & P.J. Mulders, PRD62, 114004 (2000).

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## One dimensional FFs defined via quark-quark correlator



- Expand the quark-quark correlator in terms of the  $\Gamma$ -matrices:

$$\begin{aligned} \hat{\Xi}^{(0)}(z; p, S) &= \frac{1}{2} [\Xi^{(0)}(z; p, S) + i \gamma_s \tilde{\Xi}^{(0)}(z; p, S) + \gamma^a \Xi_a^{(0)}(z; p, S) + \gamma_s \gamma^a \tilde{\Xi}_a^{(0)}(z; p, S) + i \gamma_s \sigma^{ab} \Xi_{ab}^{(0)}(z; p, S)] \\ (\text{scalar}) &\quad (\text{pseudo-scalar}) \quad (\text{vector}) \quad (\text{tensor}) \end{aligned}$$

- Make Lorentz decompositions

blue: twist-2  
black: twist-3, M/Q suppressed  
brown: twist-4, (M/Q)<sup>2</sup> suppressed

$$z\Xi^{(0)}(z; p, S) = ME(z) + MS_{LL} E_{LL}(z)$$

$$z\tilde{\Xi}^{(0)}(z; p, S) = \Lambda ME_L(z)$$

$$z\Xi_a^{(0)}(z; p, S) = p^+ \bar{n}_a D_{LL}(z) + p^+ \bar{n}_a S_{LL} D_{LL}(z) - M \tilde{S}_{LL} D_T(z) + M S_{LTa} D_{LT}(z) + \frac{M^2}{p^+} n_a D_3(z) + \frac{M^2}{p^+} n_a S_{LL} D_{LL}(z)$$

$$z\tilde{\Xi}_a^{(0)}(z; p, S) = \Lambda p^+ \bar{n}_a G_{LL}(z) - M S_{T\alpha} G_T(z) - M \tilde{S}_{LTa} G_{LT}(z) + \frac{M^2}{p^+} n_a G_{3L}(z)$$

$$\begin{aligned} z\Xi_{ab}^{(0)}(z; p, S) &= p^+ \bar{n}_{[a} S_{b]} H_{LL}(z) - p^+ \bar{n}_{[a} \tilde{S}_{b]} H_{LL}(z) - M \epsilon_{T\alpha} H_T(z) + \Lambda M \bar{n}_{[a} n_{b]} H_{LL}(z) + M S_{LL} \epsilon_{T\alpha} H_{LL}(z) \\ &+ \frac{M^2}{p^+} n_{[a} S_{b]} H_{3L}(z) - \frac{M^2}{p^+} n_{[a} \tilde{S}_{b]} H_{3L}(z) \end{aligned}$$

$$A_{\alpha\beta} B_{\beta} = A_{\alpha} B_{\beta} - A_{\beta} B_{\alpha}$$

$$\epsilon_{1\alpha\beta} \equiv \epsilon_{\alpha\beta\gamma} \bar{n}^\gamma n^\sigma \quad \tilde{\epsilon}_{1\alpha} \equiv \epsilon_{1\alpha\beta} A_\beta^\sigma$$

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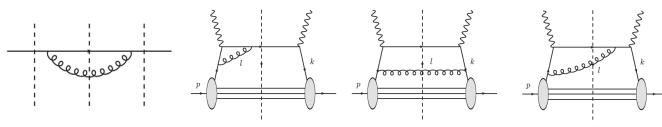
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## Inclusive DIS: Higher order pQCD

### Factorization theorem and QCD evolution of PDFs

"Loop diagram contributions"



factorization & resummation

- Higher order pQCD contributions;
- Evolution of PDFs (DGLAP equation)

Not covered in these lectures.

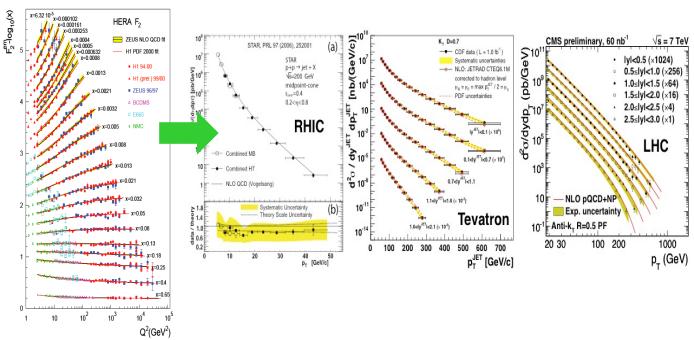
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## Global QCD analysis and PDFLIB

Very successful!



J.W. Qiu, lectures at Weihai High Energy Physics Summer School(WHEPS2015), 2015, Weihai, China.

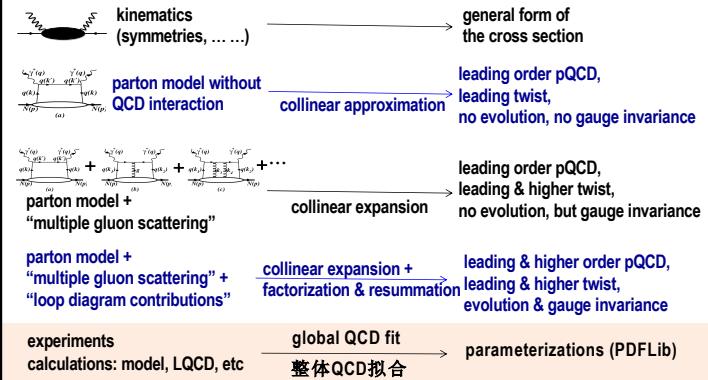
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## Inclusive DIS and parton model: brief summary

### List of to do's --- the recipe



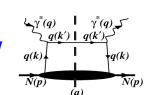
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## Inclusive DIS and parton model: brief summary

- (Gauge invariant) PDF is not merely



but

$$\text{(a)} + \text{(b)} + \text{(c)} + \dots$$

i.e., it always contains "intrinsic motion" and "multiple gluon scattering".

- "Multiple gluon scattering" gives rise to the gauge link.
- Collinear expansion is the necessary procedure to obtain the correct formulation in terms of gauge invariant parton distribution functions (PDFs).
- Collinear expansion  $\iff$  power  $(\frac{M}{Q})^n$  expansion

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## Fragmentation Function v.s. Parton Distribution Function



$$\text{TMDs} = \text{TMD PDFs} + \text{TMD FFs}$$

### Parton distribution functions (PDFs):

$$h \rightarrow q+X \quad h \rightarrow \underset{J_q}{\circlearrowleft} \quad q \quad X$$

a hadron  $\longrightarrow$  a beam of partons  
number density of parton in the beam

$$\hat{\Phi}(k; p, S) = \sum_x \int d^4 z e^{ikz} \times \langle h | \bar{\psi}(0) | X \rangle \langle X | \cancel{A}(0, z) \psi(z) | h \rangle$$

"conjugate" to each other

Deeply inelastic scattering (DIS)

Hadron production in  $e^+e^-$ -annihilation

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### Fragmentation functions (FFs):

$$q \rightarrow h+X \quad q \rightarrow \underset{D_h}{\circlearrowleft} \quad h \quad X$$

a quark  $\longrightarrow$  a jet of hadrons  
number density of hadron in the jet

$$\hat{\Xi}(k_f; p, S) = \sum_x \int d^4 \xi e^{ik_f \xi} \times \langle 0 | \cancel{A}(0, \xi) \psi(\xi) | h X \rangle \langle h X | \bar{\psi}(0) | 0 \rangle$$

FFs and PDFs should be studied simultaneously!

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