



CyberPFA: CrYstal Bar ECAL Reconstruction in CEPC

Yang Zhang

on behalf of the CEPC ECAL software working group

IHEP, CAS

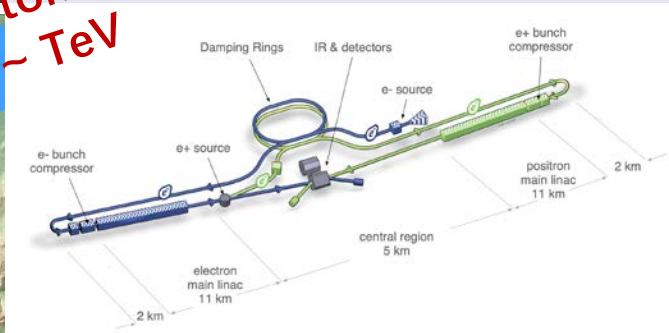
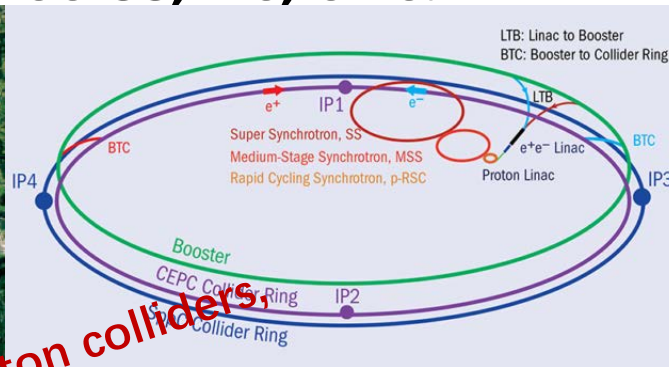
26 Oct. 2024



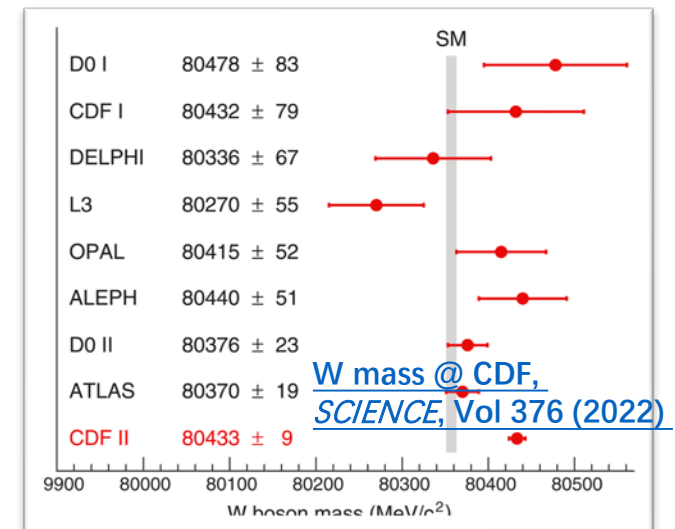
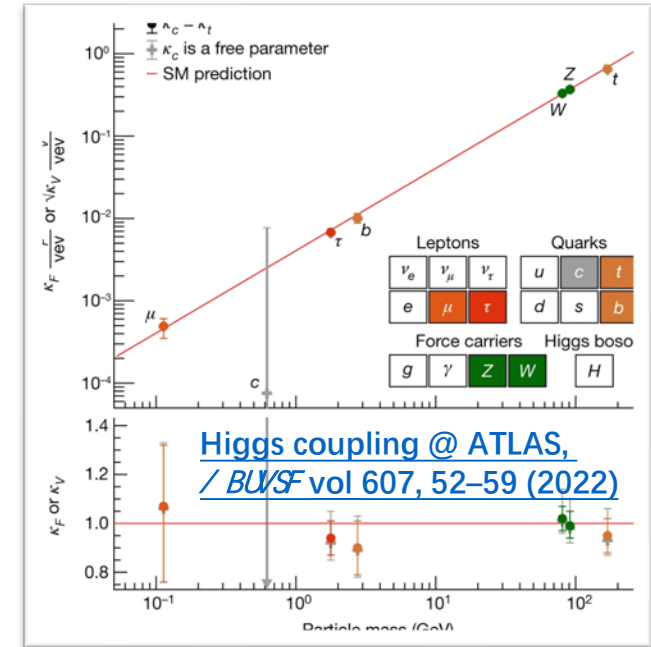
中国科学院高能物理研究所
Institute of High Energy Physics, Chinese Academy of Sciences

Future lepton collider

- **Physics after Higgs discovery:**
 - Precise measurement of Higgs, EW, top, flavor, QCD...
 - BSM physics (dark matter, EW phase transition, SUSY, LLP...)
- **Projects: CEPC, FCC-ee, ILC, CLIC.**



Future lepton colliders,
 $\sqrt{s} = EW \sim TeV$



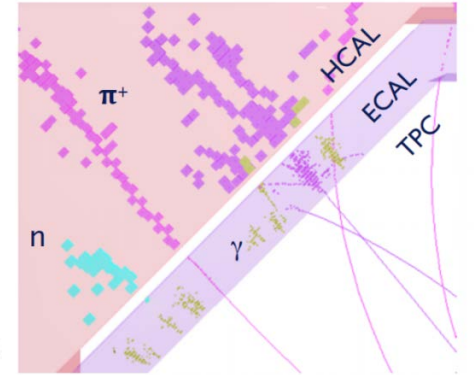
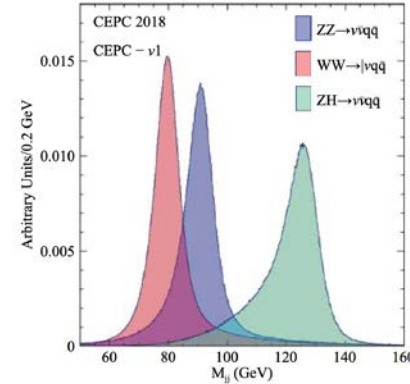
Future lepton collider

- **Detector requirement:**

- For hadronic final states $W^\pm/Z/H \rightarrow q\bar{q}$: **BMR<4%**
- For flavor: precise PID in heavy quark decay
K/ π separation, jet tagging, jet charge, etc.

- **Particle Flow Approach:**

- Measure the jet by its components: $E_{jet} = E_{tracker} + E_{ECAL} + E_{HCAL}$
- Hardware + Software:

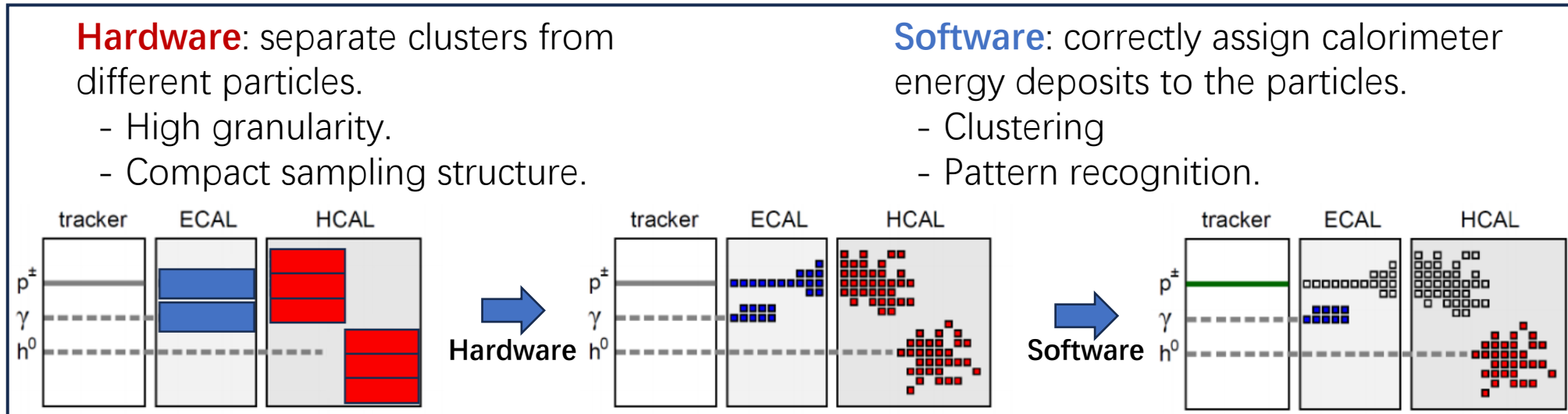


Hardware: separate clusters from different particles.

- High granularity.
- Compact sampling structure.

Software: correctly assign calorimeter energy deposits to the particles.

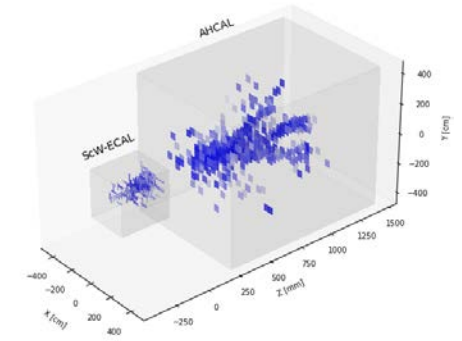
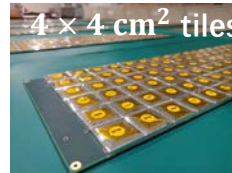
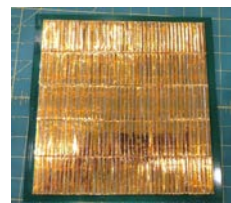
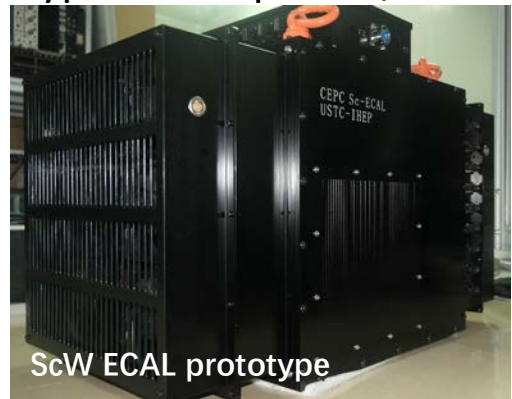
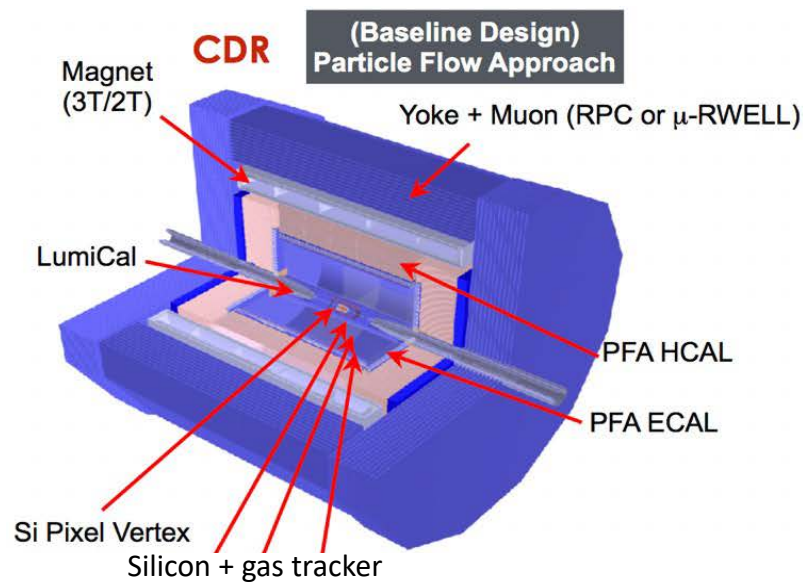
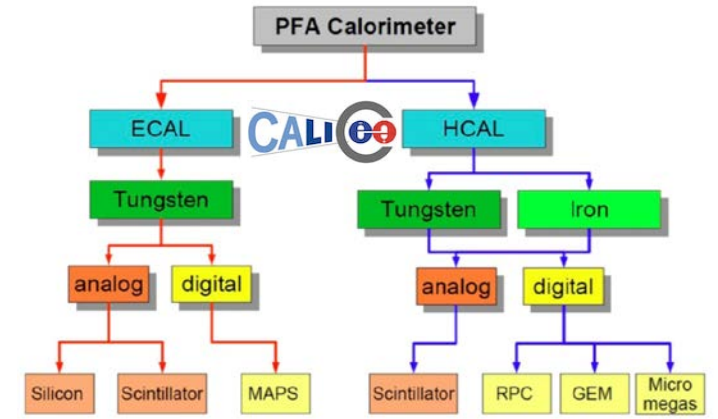
- Clustering
- Pattern recognition.



Calorimetry in CALICE



- **CALICE concept: high granularity sampling calorimeter**
 - **Hardware:** sampling and compact calorimeter.
 - **Software:** fantastic pattern recognition, PandoraPFA / ArborPFA.
- **Also is CEPC CDR design: enormous efforts from team**
 - **ECAL prototype:** scintillator strip + SiPM + CuW (ScW)
 - **HCAL prototype:** scintillator tile + SiPM + steel (AHCAL)
 - From 2016 to now: Technical R&D, prototype development, beam test activities...



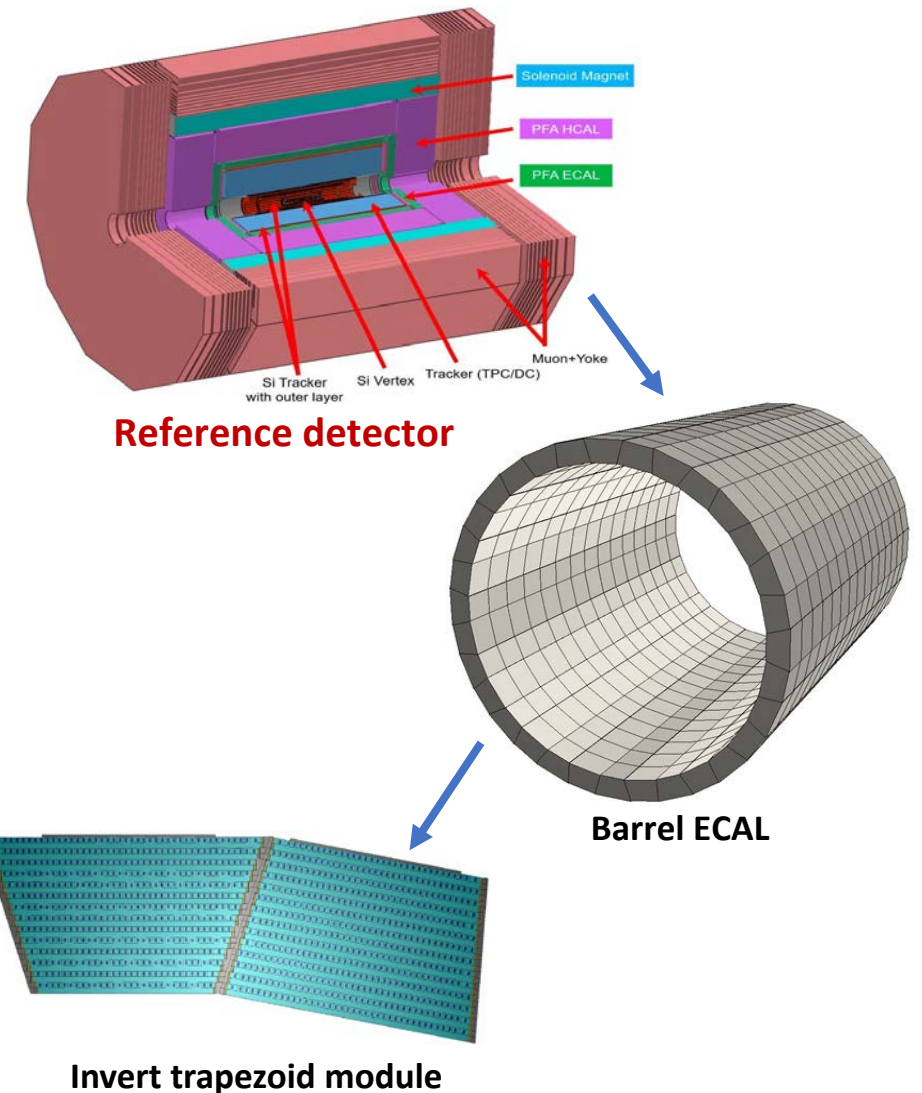
Homogeneous ECAL in CEPC Ref-TDR

Why crystal calorimeter

- A long history in particle physics precise measurement: L3@LEP, BESIII@BEPC, CMS@LHC, HERD, Panda...
- Optimal intrinsic EM resolution: $\sigma_E/E < 3\%/\sqrt{E}$
 - Photon recovery from bremsstrahlung,
 - π^0 reconstruction.
- Fast response:
 - Introduce timing in PFA.

	CsI	BGO	PbWO ₄	LYSO
R_M (cm)	3.57	2.23	2.00	2.07
X_0 (cm)	1.86	1.12	0.89	1.14
λ_I (cm)	39.3	22.7	20.7	20.9
Light yield (ph/MeV)	58000	7400	130	30000
Decay time (ns)	1220	300	30	40

- BGO for a balance performance & cost.



Homogeneous ECAL



- **New concept of crystal ECAL:**

- Advantage:

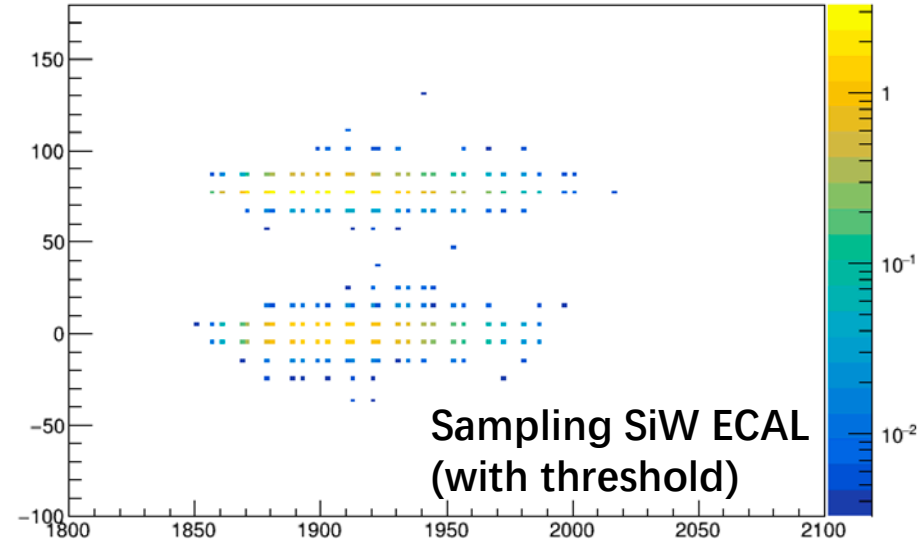
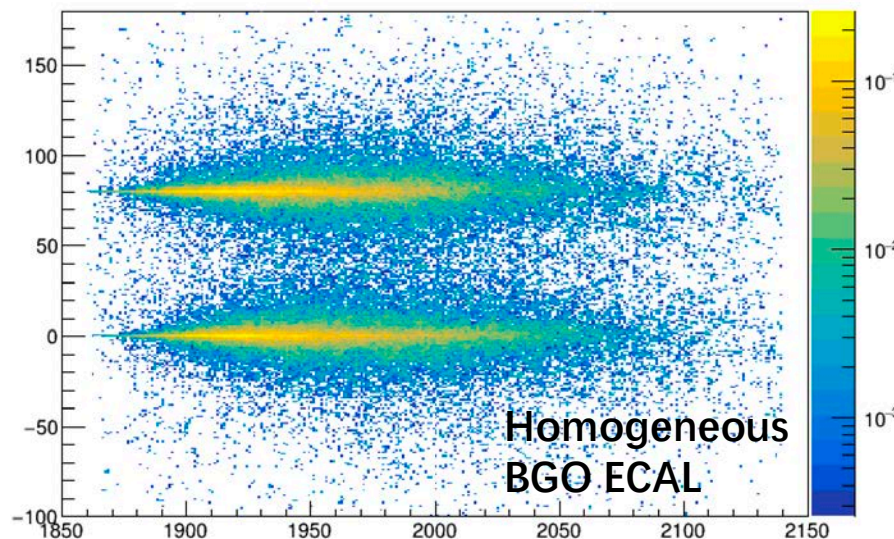
- Optimal energy resolution.
- Better EM sensitivity for flavor physics.

- But at what cost:

- Larger R_M & smaller λ_I/X_0 \Rightarrow more shower overlap.
- Not self-supporting \Rightarrow Need supporting mechanics (dead material).

Software task:

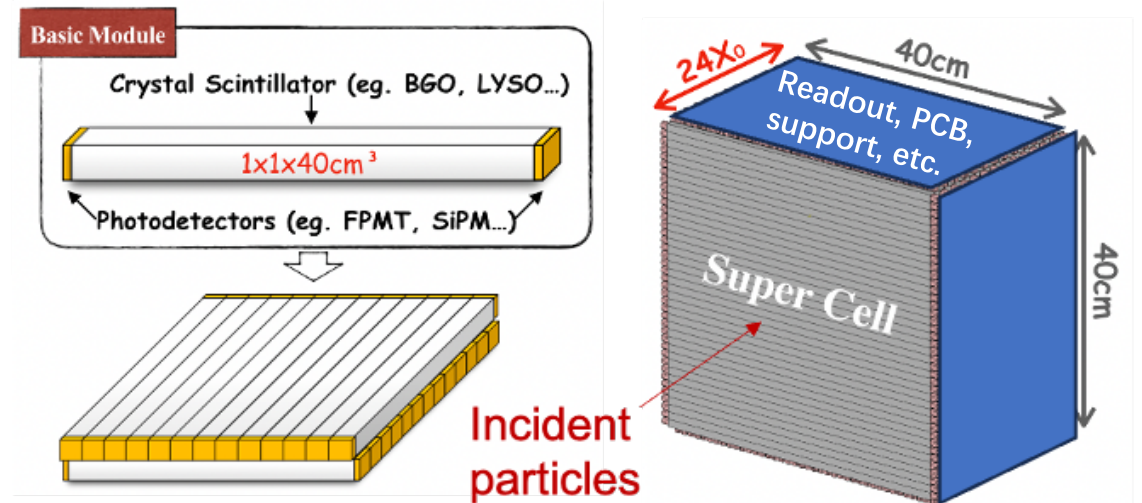
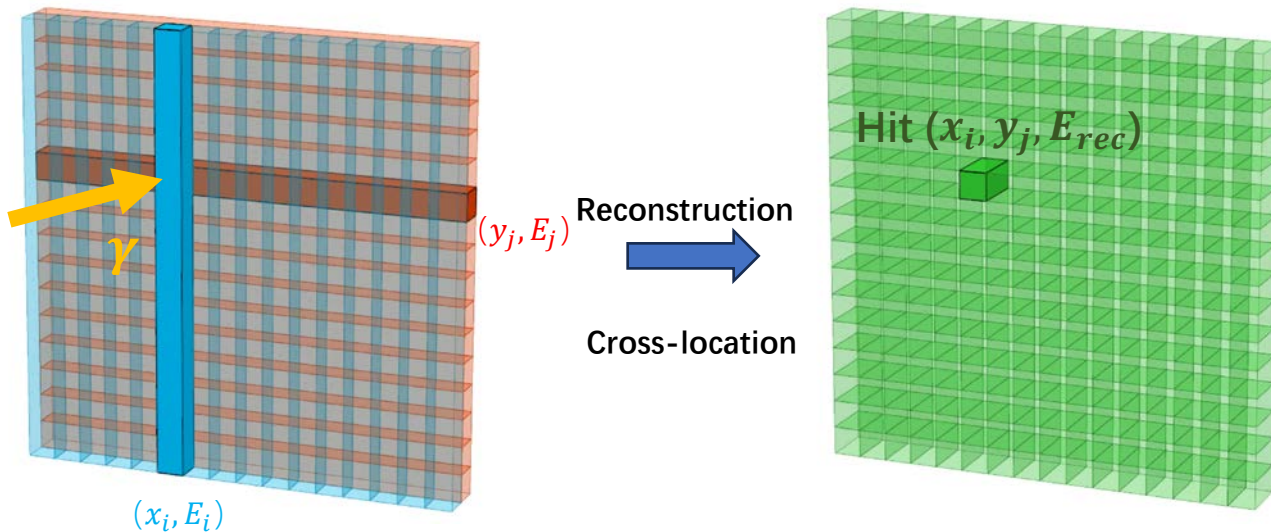
- * Clustering
- * Pattern recognition.
- + **Overlap: energy splitting.**



Homogeneous ECAL

- **New concept of crystal ECAL: orthogonal arranged crystal bars.**

- Double-end readout with SiPM (Q, T).
- Cross-location by bars.
- Less readout channels, lower cost.



Software task:

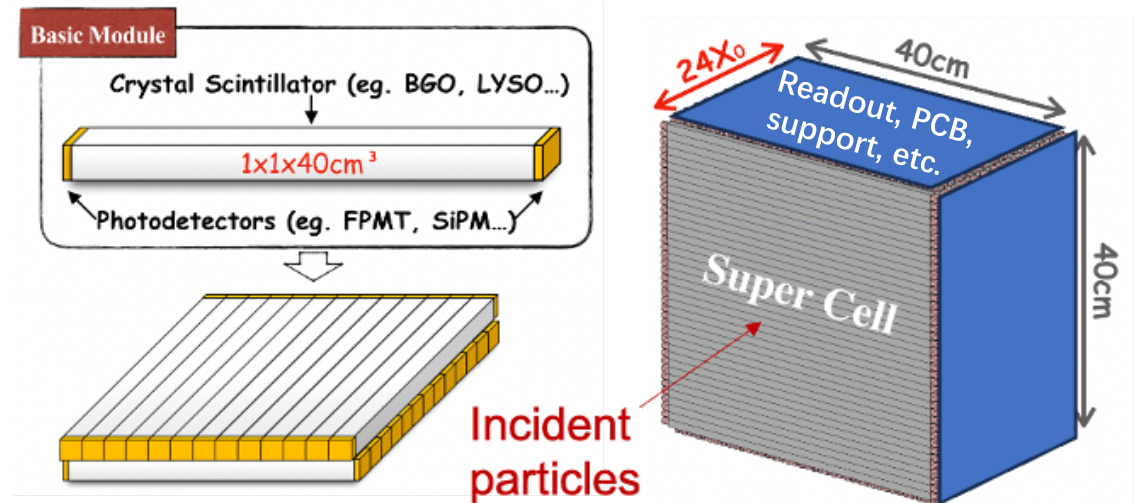
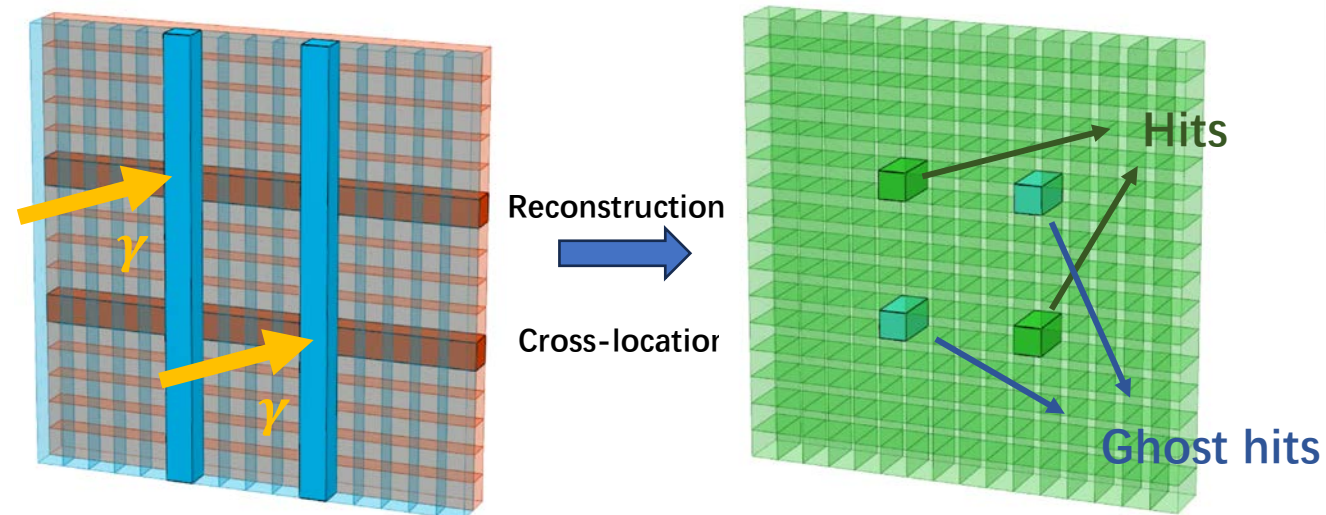
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Homogeneous ECAL

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New challenge: multi-particle ambiguity.



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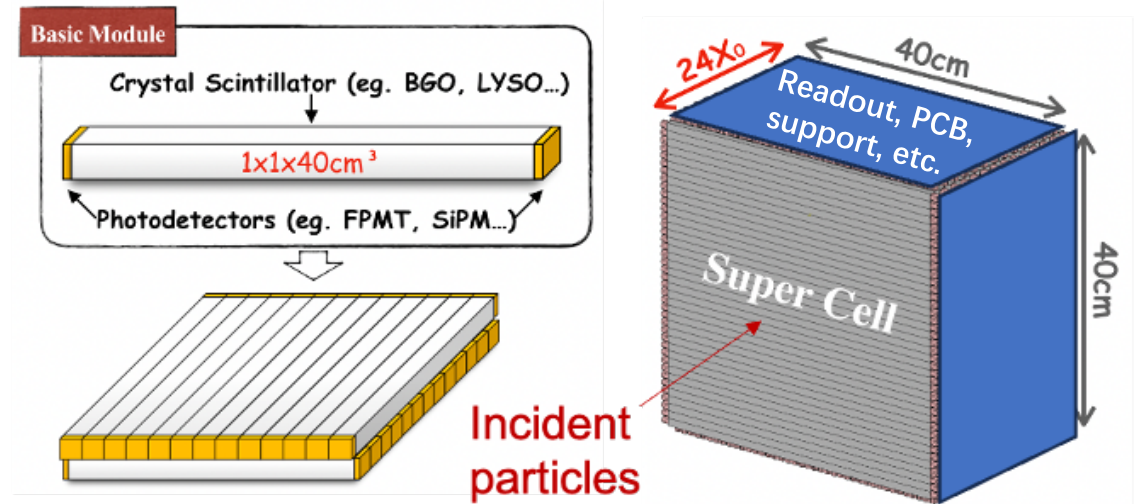
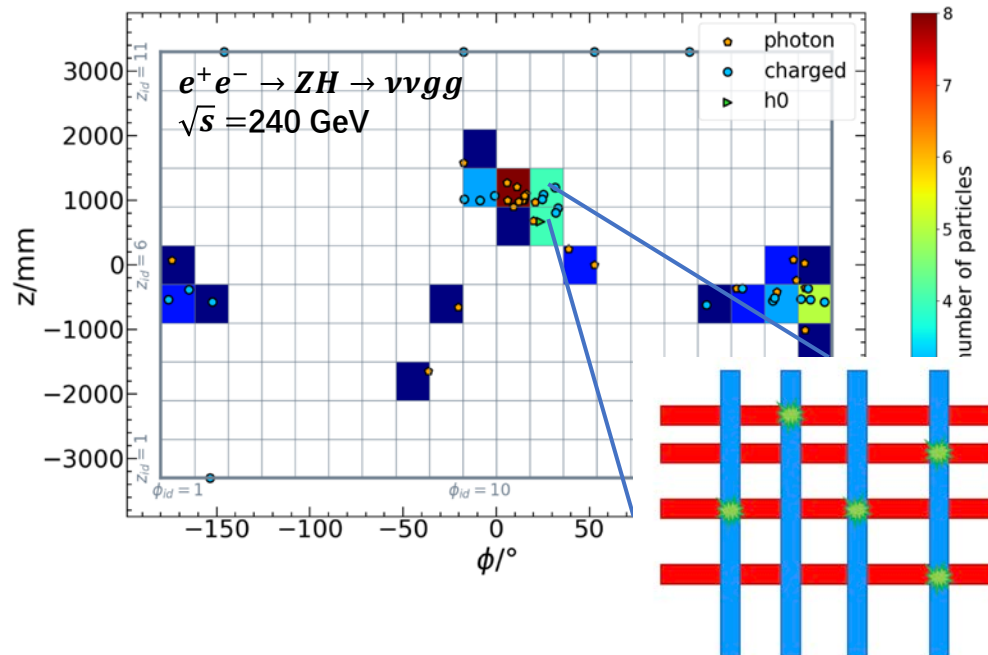
- * Clustering
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- + **Overlap: energy splitting.**
- + **Ambiguity removal**

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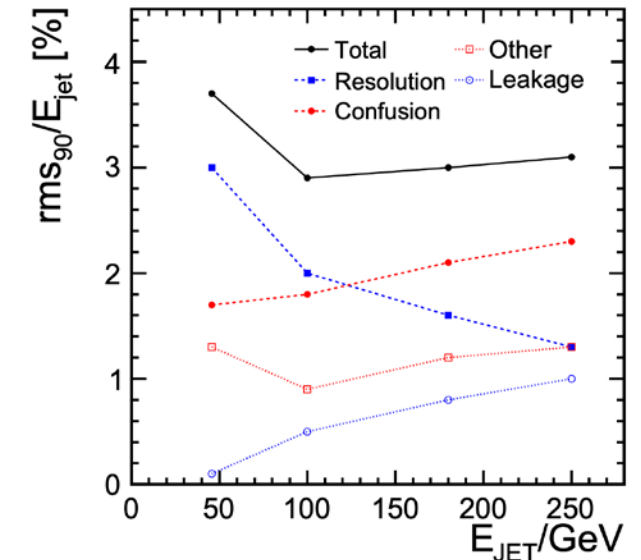
- * Clustering
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- + **Ambiguity removal**

Particle flow algorithm

- PF performance decoupling

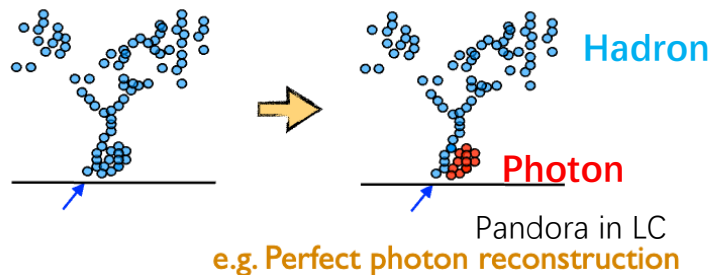
- $\sigma_{jet} \sim \sigma_{trk} \oplus \sigma_{EM} \oplus \sigma_{Had} \oplus \sigma_{confusion}$. **Confusion is an important limitation factor.**

Contribution	Jet Energy Resolution $rms_{90}(E_j)/E_j$			
	$E_j=45$ GeV	$E_j=100$ GeV	$E_j=180$ GeV	$E_j=250$ GeV
Total	3.7%	2.9%	3.0%	3.1%
Resolution	3.0%	2.0%	1.6%	1.3%
Tracking	1.2%	0.7%	0.8%	0.8%
Leakage	0.1%	0.5%	0.8%	1.0%
Other	0.6%	0.5%	0.9%	1.0%
Confusion	1.7%	1.8%	2.1%	2.3%
i) Confusion (photons)	0.8%	1.0%	1.1%	1.3%
ii) Confusion (neutral hadrons)	0.9%	1.3%	1.7%	1.8%
iii) Confusion (charged hadrons)	1.2%	0.7%	0.5%	0.2%



[PandoraPFA, Nim.A Vol 611, Issue 1, 2009](#)

- Confusion mainly comes from the imperfect pattern recognition.



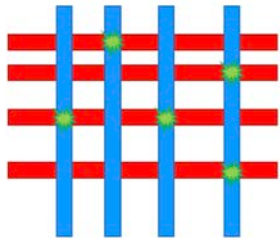
Particle flow algorithm

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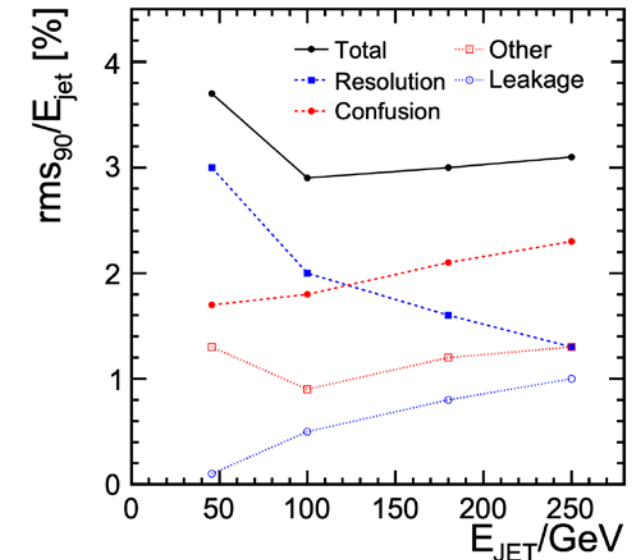
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iv) Confusion (ambiguity)



Software task:

- * Clustering
- * Pattern recognition.
 - + Improve the performance.
- * Overlap: energy splitting.
- * Ambiguity problem.
 - + Minimize the impact.



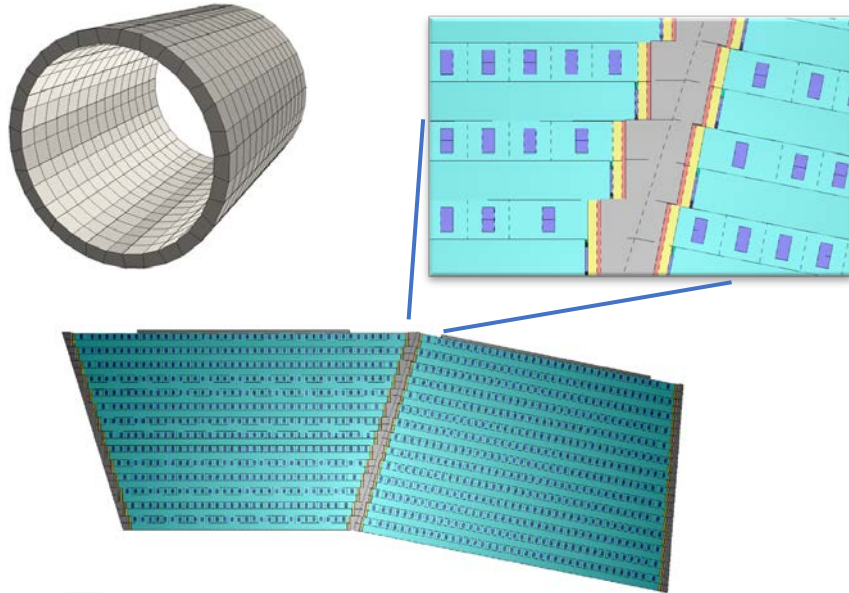
PandoraPFA, Nim.A Vol 611, Issue 1, 2009

CyberPFA was proposed to address these issues.

Detector Simulation

A realistic detector description implemented in CEPCSW with DD4HEP

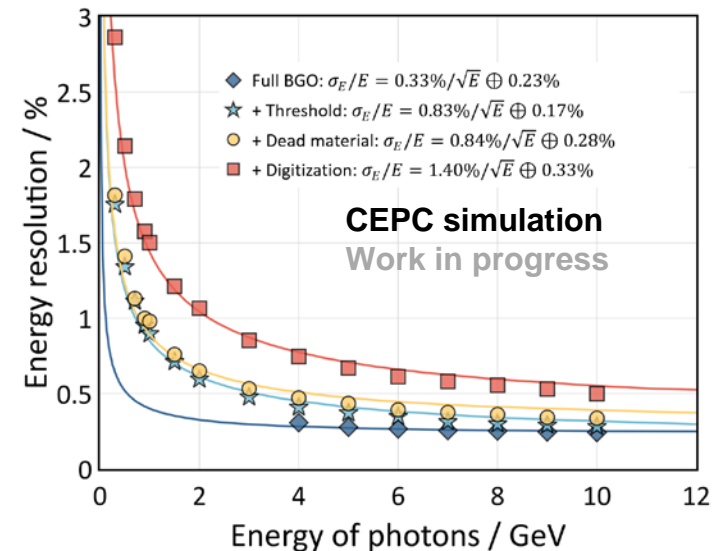
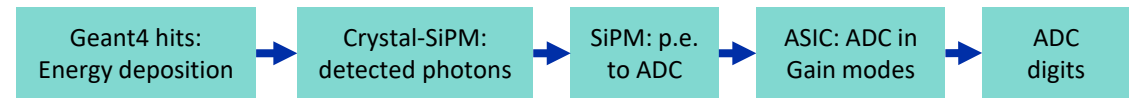
- Inner R = 1830 mm, depth 300 mm (24 X_0), 28 layers.
- $1 \times 1 \times \sim 40 \text{ cm}^3$ BGO bars with ESR wrapping
- 32-side polygon, invert trapezoid modules.
- **Dead material between modules:**
 - SiPM, PCB, FE and BE electronic boards ($\sim 3 \text{ mm}$)
 - Copper plate cooling (1 mm)
 - Carbon fiber supporting (5 mm/side)
- An energy correction for the crack leakage.

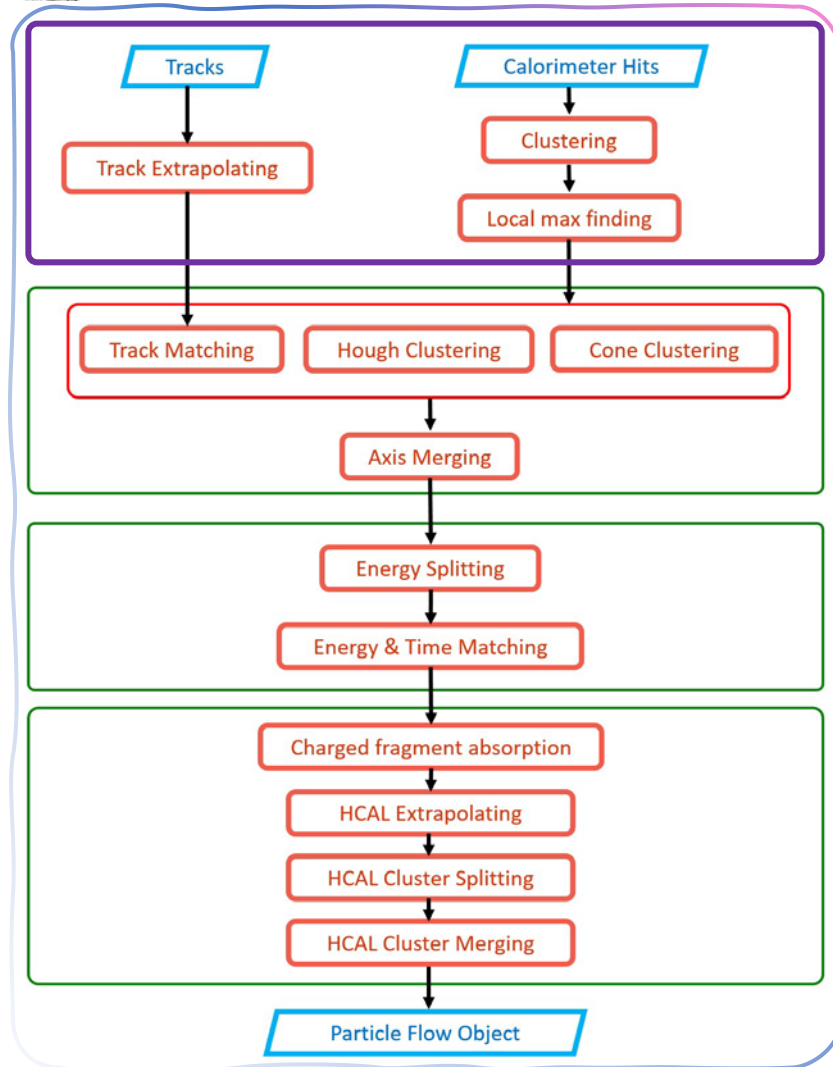


Digitization model: from beam test

- **Crystal scintillation:** 100 p.e./MIP (single end detected)
- **SiPM gain calibration:** 1 p.e. = 5 ADC, with noise
- **Electronics:** 12 bits ADC with precision 0.2%, 3 gain modes
- **Threshold:** 0.1 MIP.

Energy resolution with full digi: $\sigma_E/E = 1.4\%/\sqrt{E} \oplus 0.3\%$ (in module center)

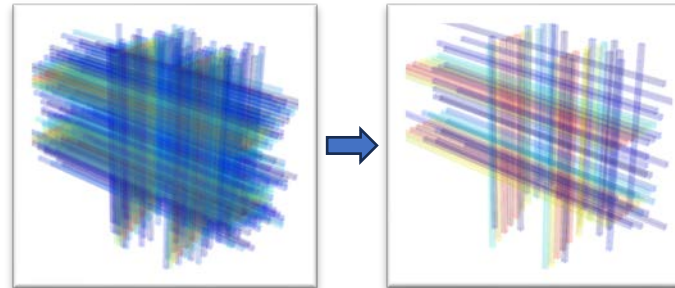




Step 1: preparation

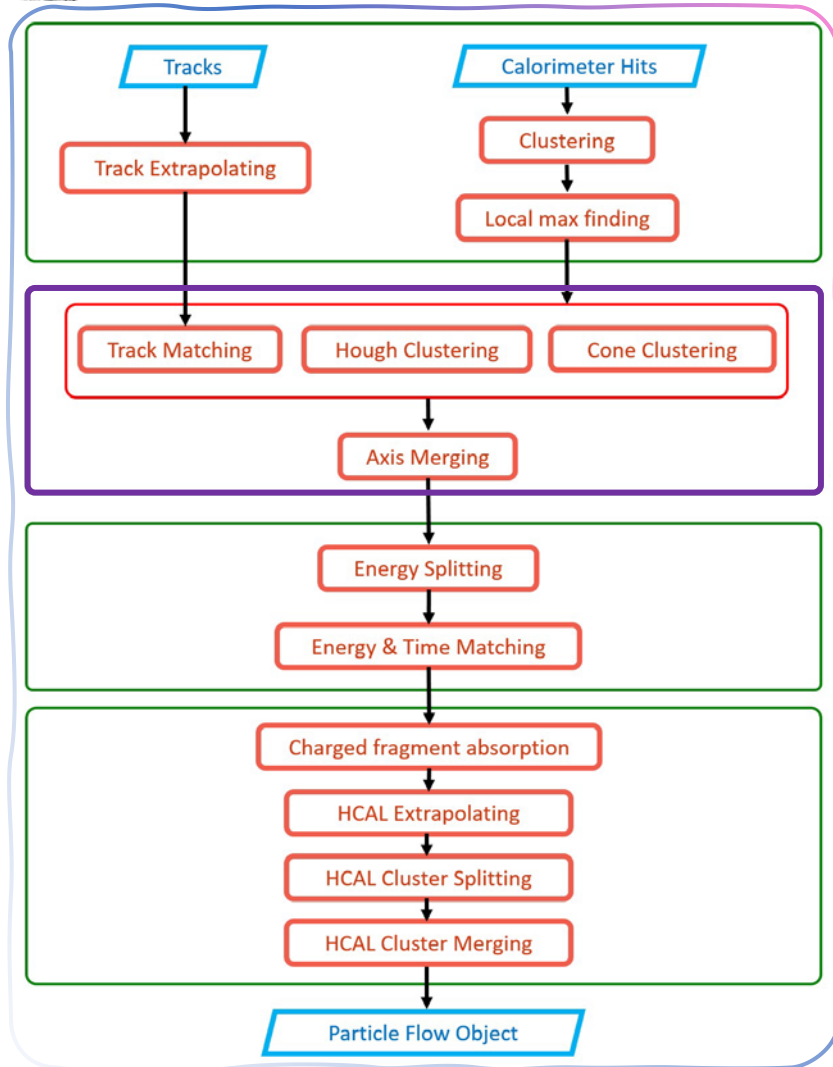
- Global neighbor clustering in full detector.
- Find the local maximum: 1st pattern recognition

Event display: 2 photons, $E_\gamma = 5$ GeV, distance = 15×15 cm.



Task list in PFA reconstruction:

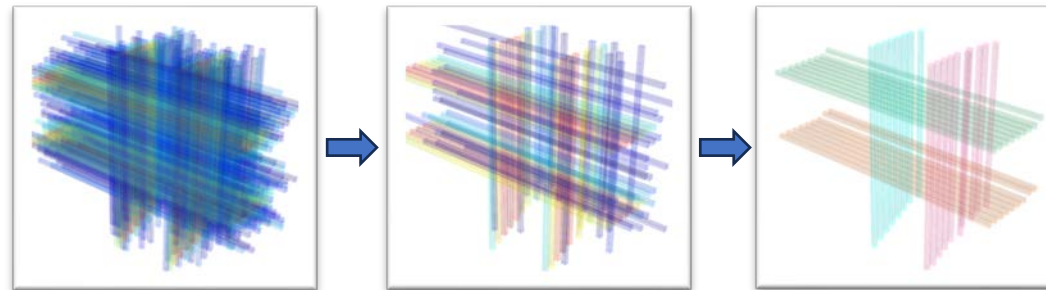
- ✓ Clustering
- * Pattern recognition.
- * Shower splitting for overlap
- * Ambiguity removal



Step 2: shower recognition

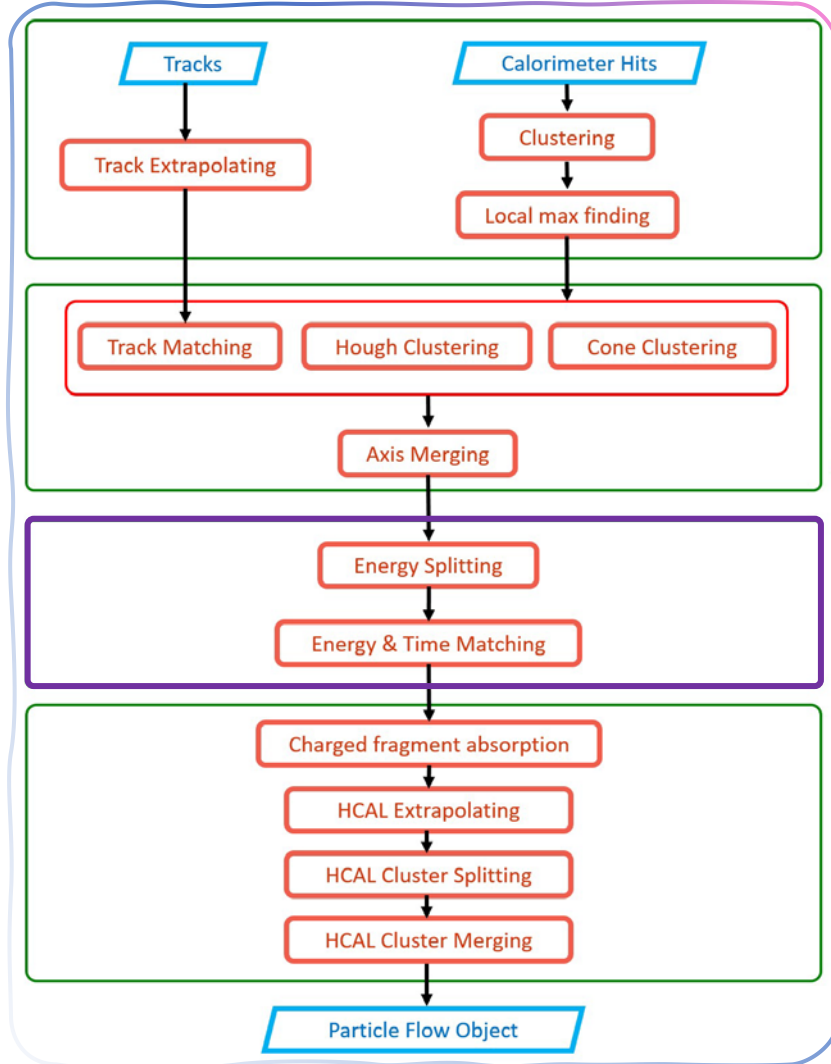
- Tracking in ECAL: find patterns with 3 individual algorithms.
- A set of topological cluster merging

Event display: 2 photons, $E_\gamma = 5$ GeV, distance = 15×15 cm.



Task list in PFA reconstruction:

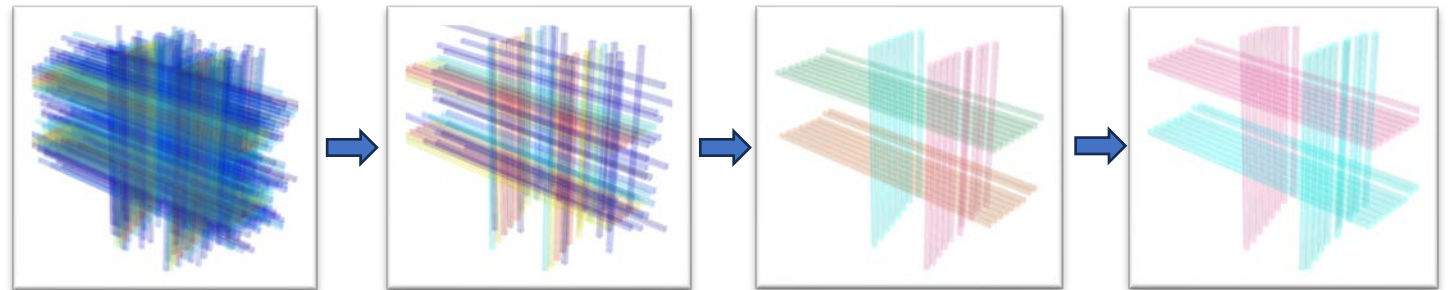
- ✓ Clustering
- ✓ Pattern recognition.
- * Shower splitting for overlap
- * Ambiguity removal



Step 3: energy splitting & ambiguity removal

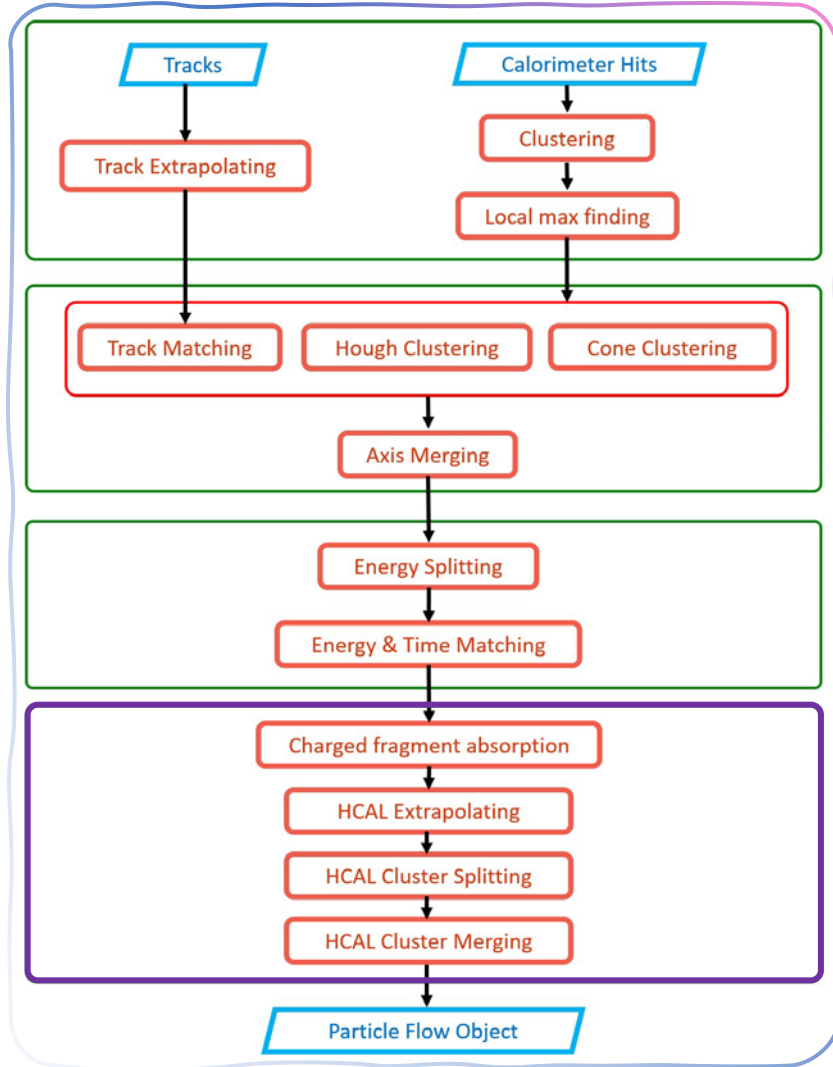
- Split the energy with EM profile.
- Remove ambiguity from track + neighbor module + time.

Event display: 2 photons, $E_\gamma = 5$ GeV, distance = 15×15 cm.



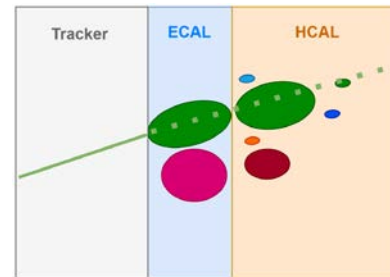
Task list in PFA reconstruction:

- ✓ Clustering
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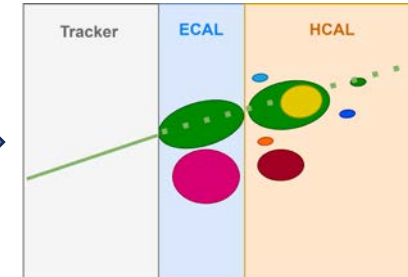


Step 4: clustering and reclustering

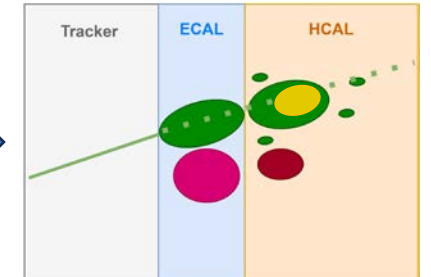
- Traditional PFA idea: $E_{cluster} \sim P_{track}$ match.



Extrapolate track to connect ECAL and HCAL clusters



Split a neutral cluster if $E_{cluster} > P_{track}$



Check and merge fragments into core cluster.

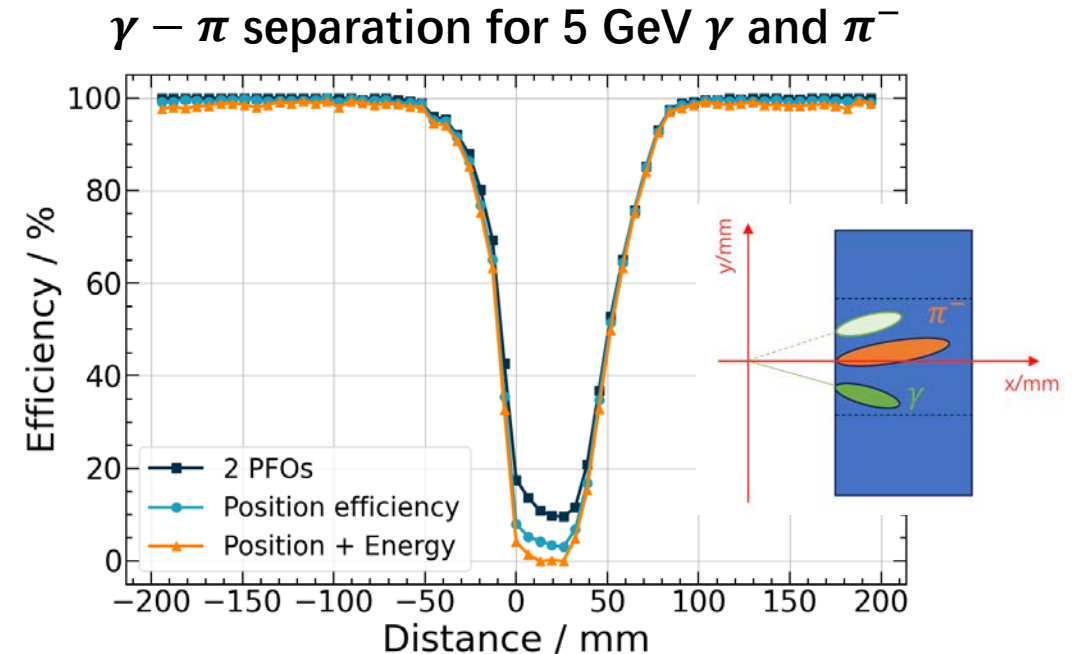
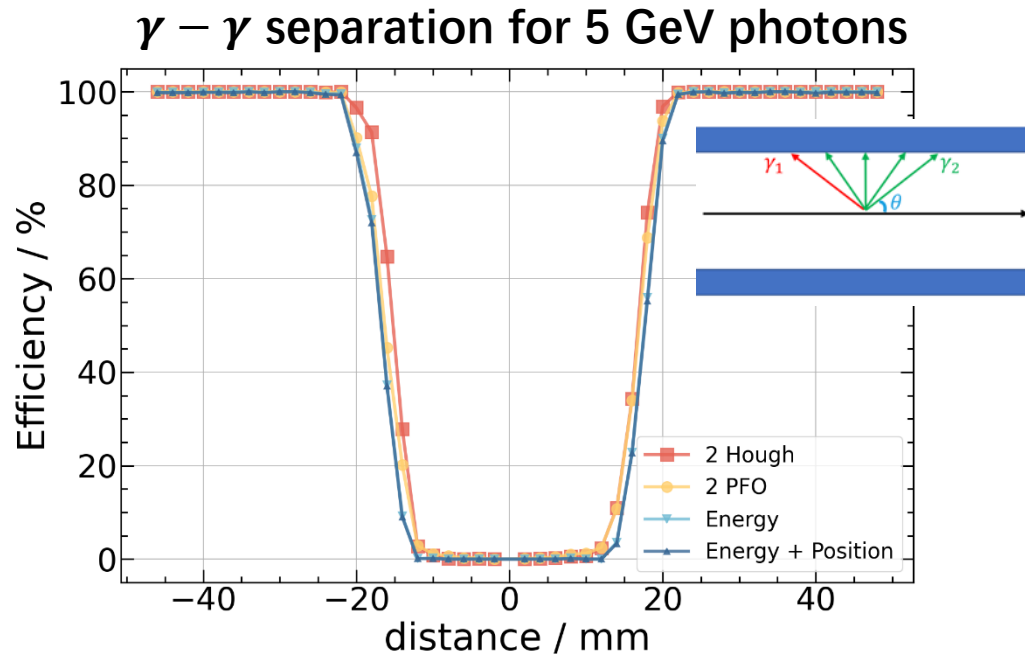
Task list in PFA reconstruction:

- ✓ Clustering
- ✓ Pattern recognition.
- ✓ Shower splitting for overlap
- ✓ Ambiguity removal
- ✓ Full PFA

Separation performance

• Close-by particle separation

- Key performance in PFA reconstruction.
- $\gamma - \gamma$ separation: 2.2 cm @ 100% efficiency.
- $\gamma - \pi$ separation: 10 cm @ 100% efficiency.

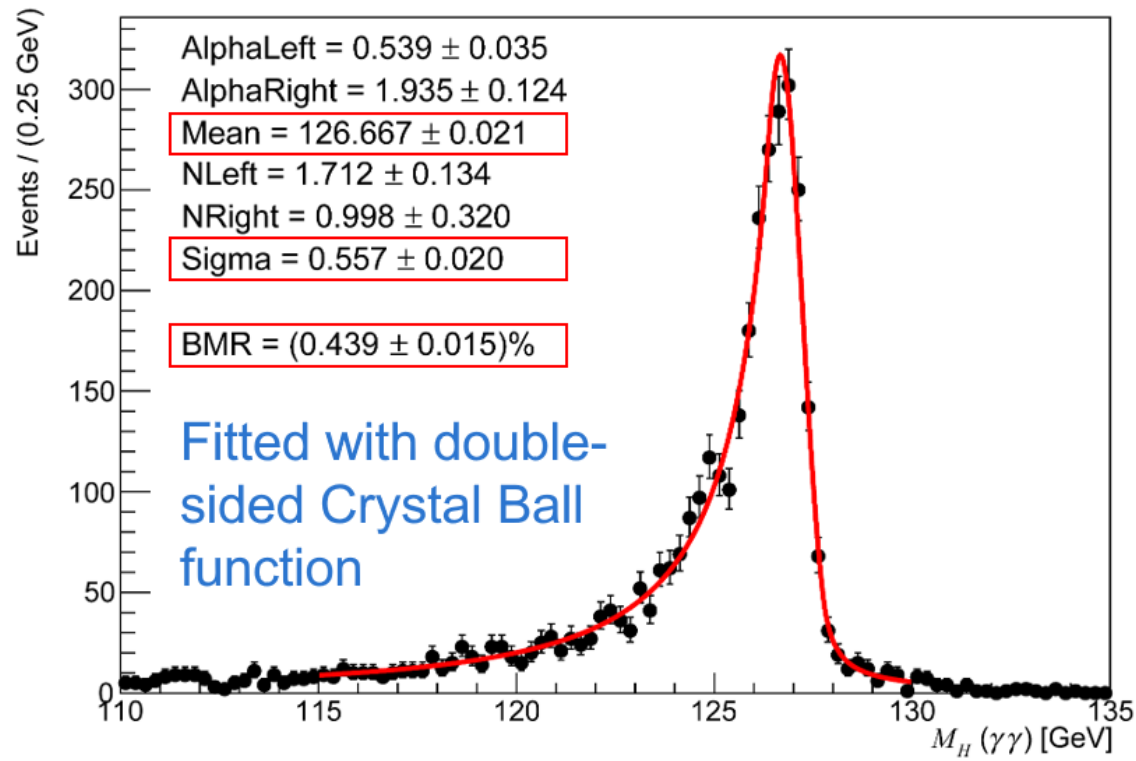


Physics performance: $H \rightarrow \gamma\gamma$



- **Physics process: $ee \rightarrow ZH \rightarrow \nu\nu\gamma\gamma$ in $\sqrt{s} = 240$ GeV**

- Full simulation and digitization. Energy correction in crack region has been applied.



Double-side CB fit, $\sigma(m_{\gamma\gamma}) = 0.56$ GeV

Long tile & biased peak from:

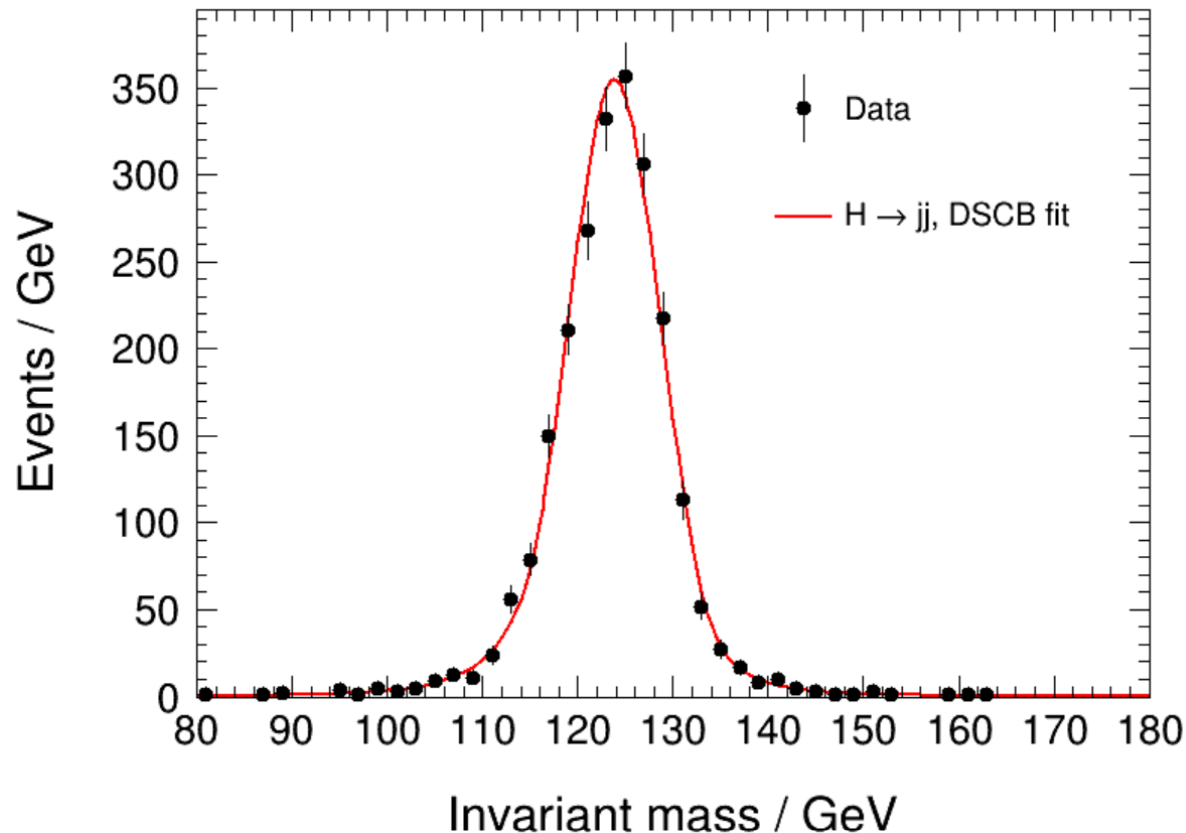
- longitudinal energy leakage.
- Imperfect energy correction.

Can be fixed with better photon energy correction in the future.

Physics performance: $H \rightarrow gg$



- **Physics process: $ee \rightarrow ZH \rightarrow \nu\nu gg$ in $\sqrt{s} = 240$ GeV**
 - Full reconstruction in CEPC detector: Silicon + TPC tracker, crystal ECAL, glass tile HCAL.



$$m_{jj} = 123.81 \pm 0.13 \text{ GeV},$$
$$\sigma(m_{jj}) = 4.89 \pm 0.12 \text{ GeV}$$

Boson mass resolution (BMR) $3.95 \pm 0.10\%$.

With truth track: **BMR $\sim 3.7\%$.**

Summary and outlook

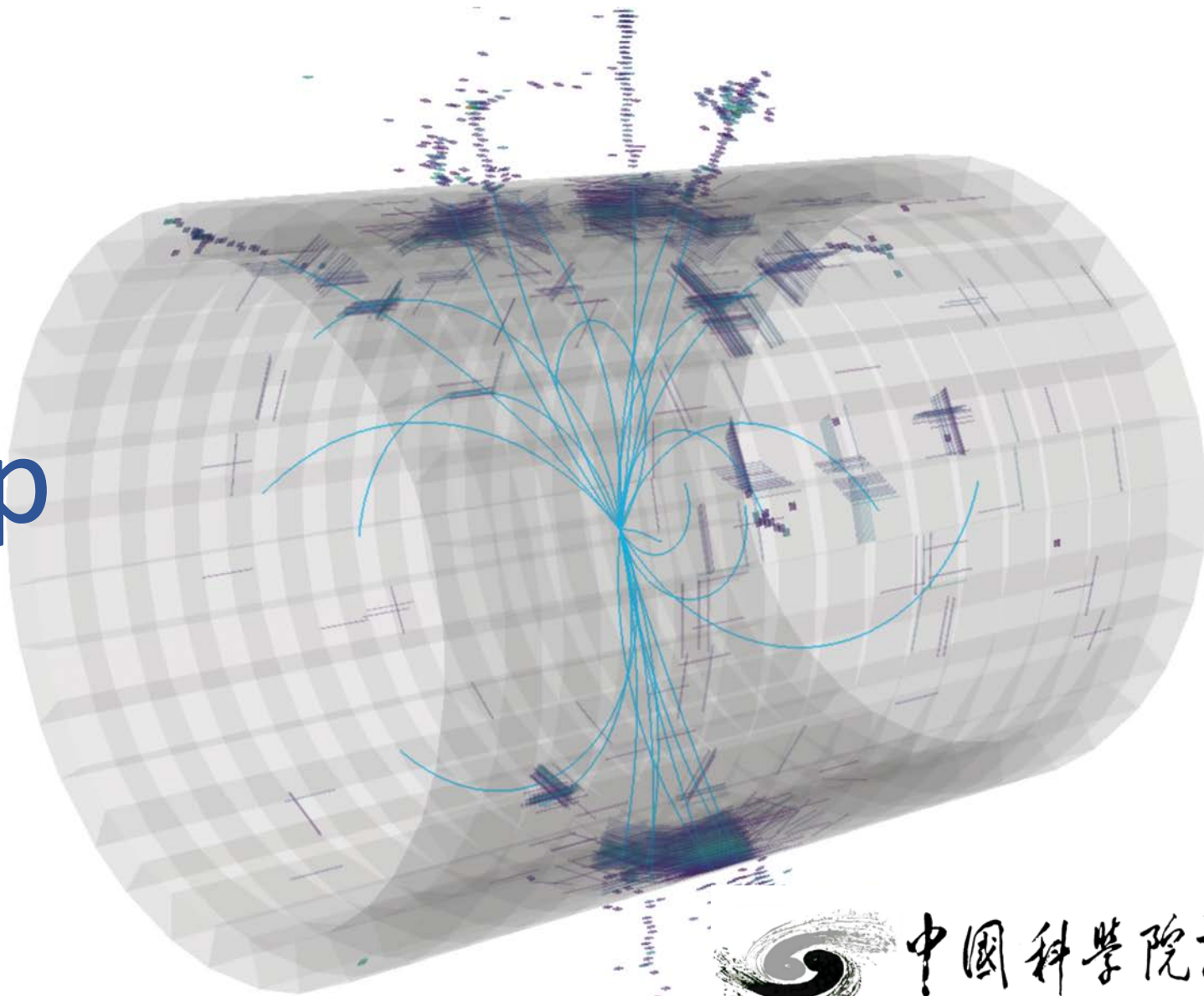


- **A novel crystal ECAL design for CEPC detector**
 - Following PFA concept.
 - Satisfy the jet energy resolution requirement in future lepton collider.
 - Optimal EM resolution for flavor physics.
- **CyberPFA for the new design:**
 - Main challenges: overlapping & ambiguity.
 - Series of algorithms are developed and show promising results.
 - Boson Mass Resolution (BMR) $\sim 3.95\%$.
- **Future plan: CEPC reference detector TDR in 2025**
 - Optimization of PFA performance: cluster ID, energy correction, advanced pattern recognition, ...

Thank you for your attention!



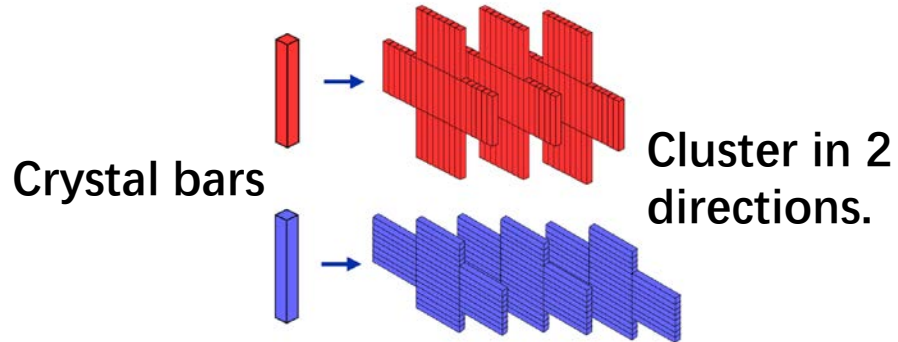
Backup



中国科学院高能物理研究所
Institute of High Energy Physics, Chinese Academy of Sciences

Clustering & recognition

- Global neighbor clustering for pre-processing.

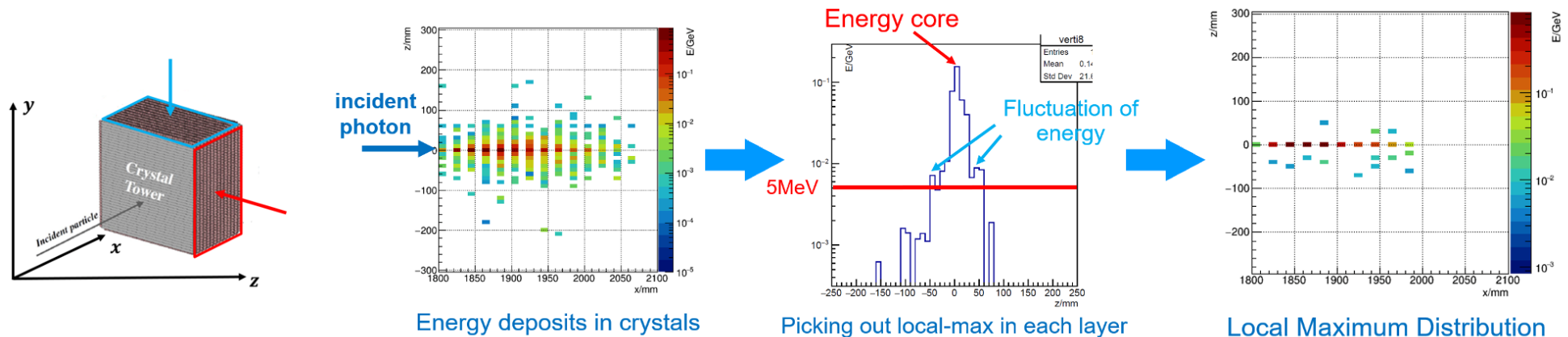


Software task:

- ✓ * Clustering
- * Pattern recognition.
- * Overlap: energy splitting.
- * Ambiguity problem.

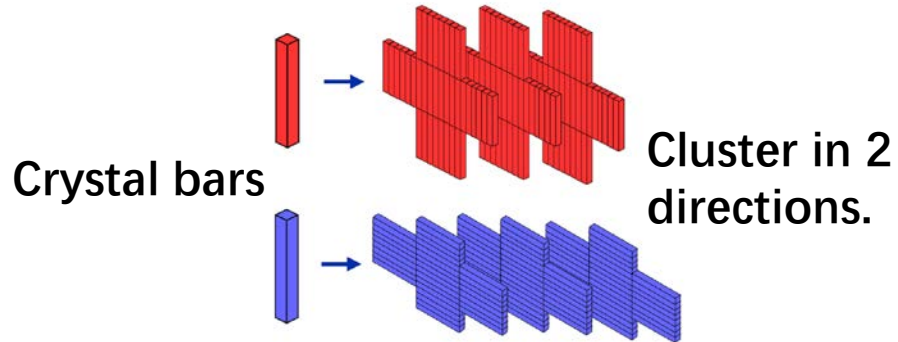
- Shower recognition:

- Use the local maximum to simplify the pattern in homogeneous ECAL



Clustering & recognition

- Global neighbor clustering for pre-processing.

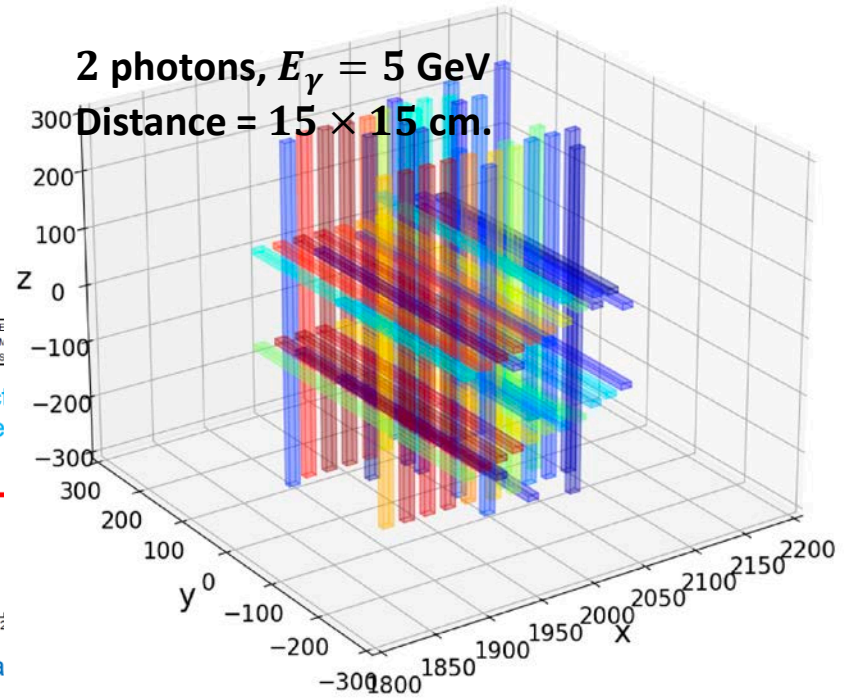
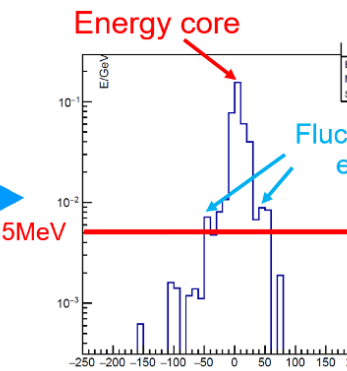
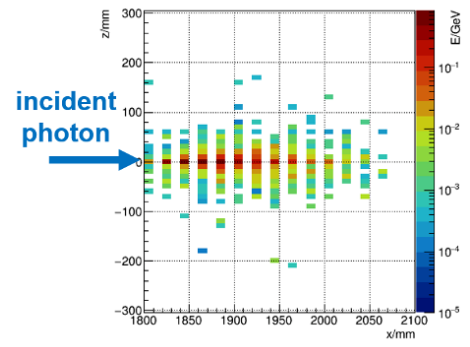
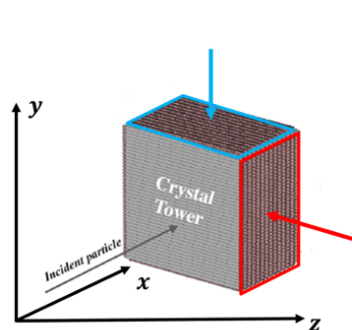


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- Use the local maximum to simplify the pattern in homogen

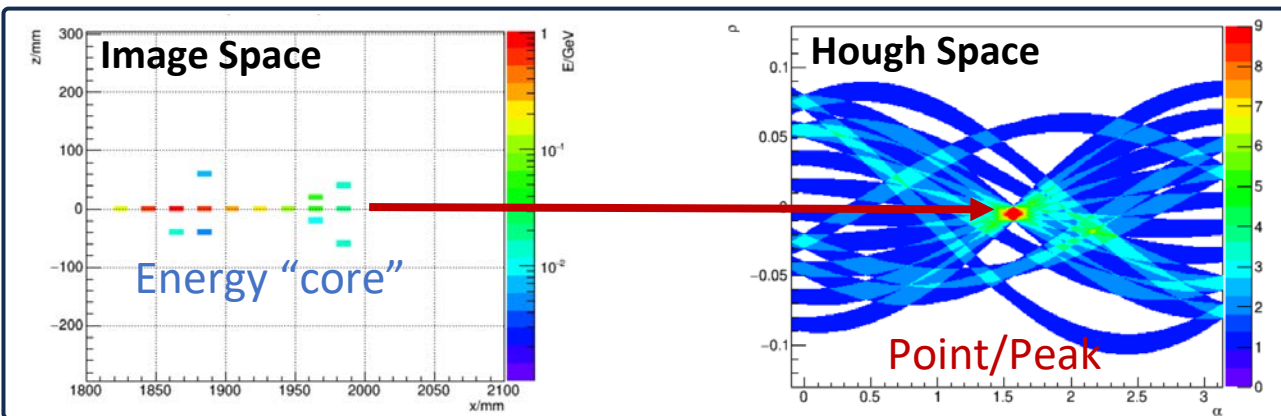
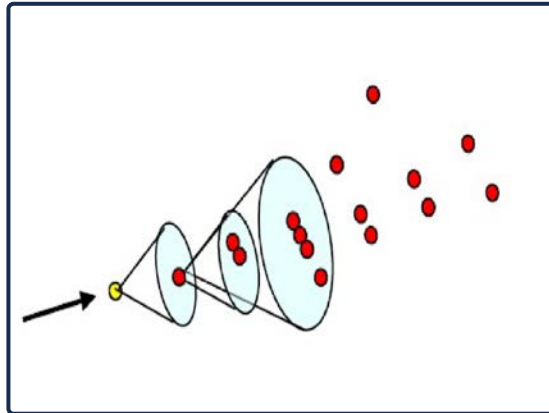
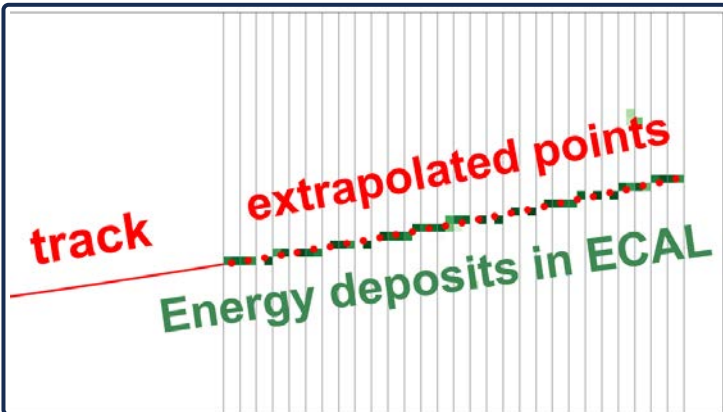


Clustering & recognition



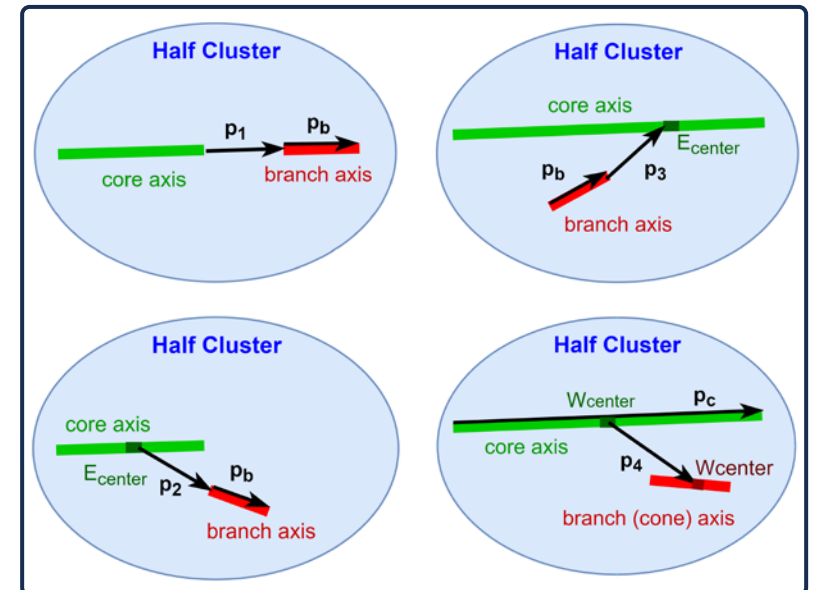
- Shower recognition:

- 3 individual algorithms for different type: track-match, Hough, Cone-clustering.
- A set of topological cluster merging.



Software task:

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- ✓ * Pattern recognition.
- * Overlap: energy splitting.
- * Ambiguity problem.

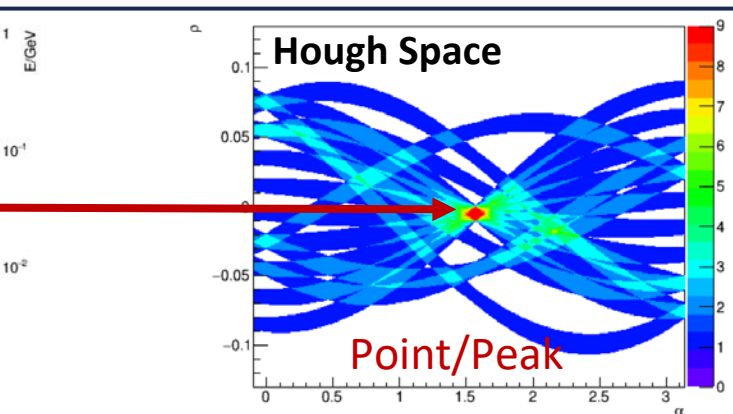
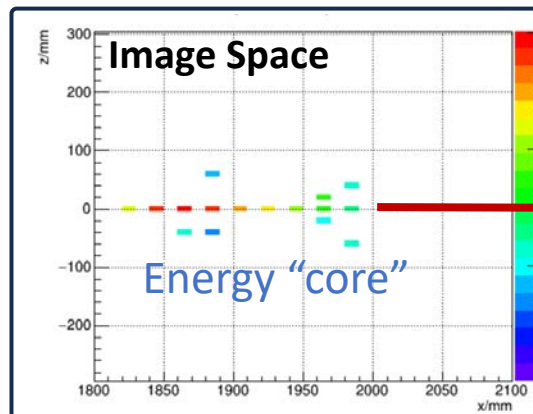
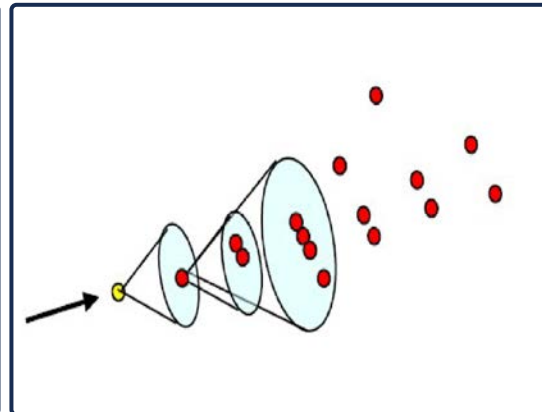
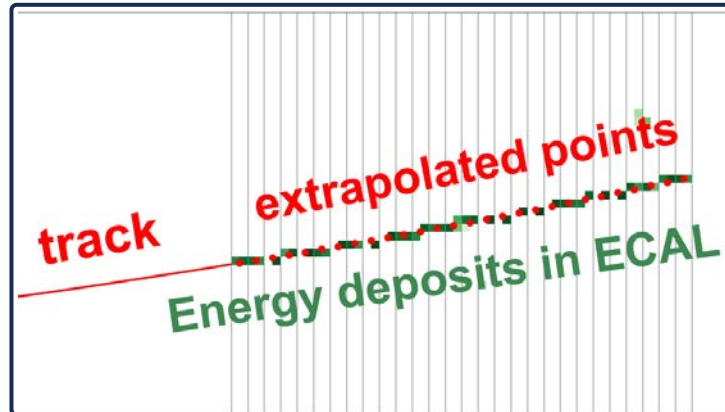


Clustering & recognition



- **Shower recognition:**

- 3 individual algorithms for different type: track-match, Hough, Cone-clustering.
- A set of topological cluster merging.

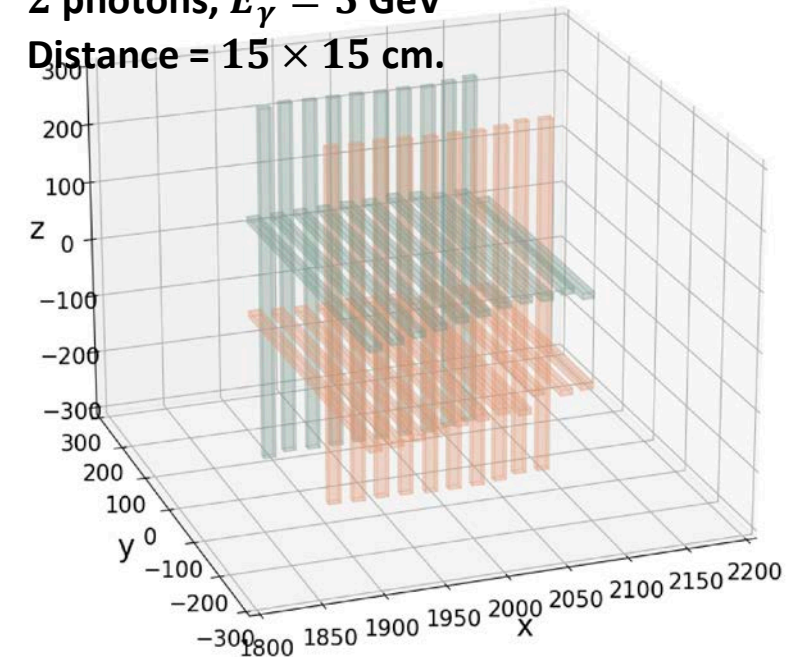


Software task:

- ✓ * Clustering
- ✓ * Pattern recognition.
- * Overlap: energy splitting.

2 photons, $E_\gamma = 5 \text{ GeV}$

Distance = $15 \times 15 \text{ cm}$.



Energy splitting and matching



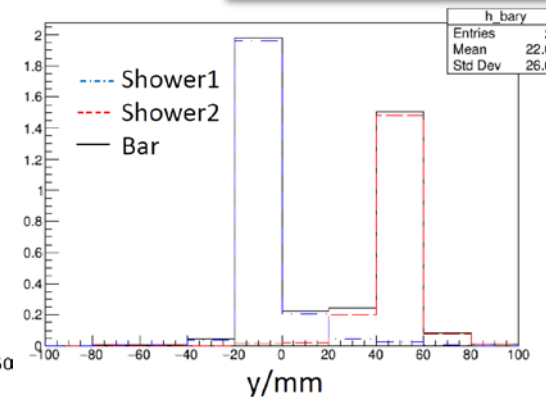
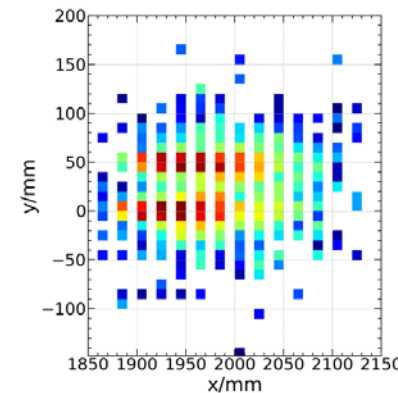
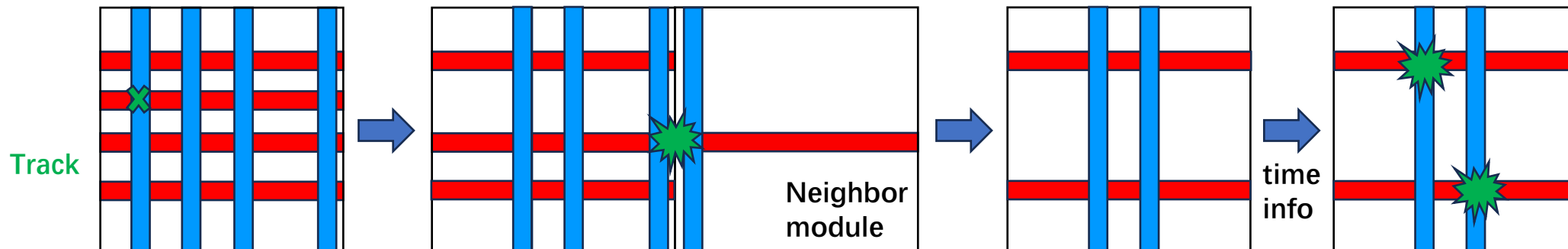
- **Splitting for the overlapped shower:**

- Calculate the expected energy deposition from EM profile.

- Expected energy : $E_{i\mu}^{exp} = E_{\mu}^{seed} \times f(|x_i - x_c|)$
- Assigned weight: $w_{i\mu} = \frac{E_{i\mu}^{exp}}{\sum_{\mu} E_{i\mu}^{exp}}$

- **Ambiguity removal:**

- Information from: track, neighbor tower, time.



Software task:

- ✓ * Clustering
- ✓ * Pattern recognition.
- ✓ * Overlap: energy splitting.
- ✓ * Ambiguity problem.

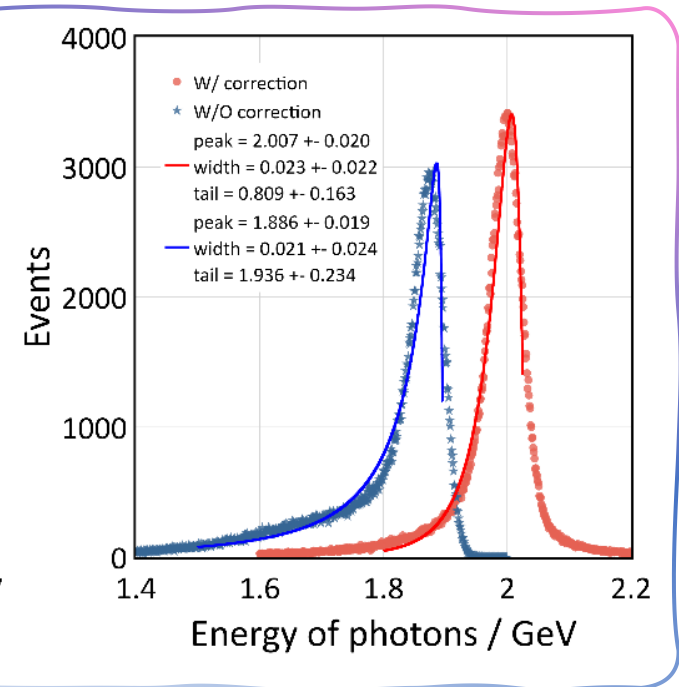
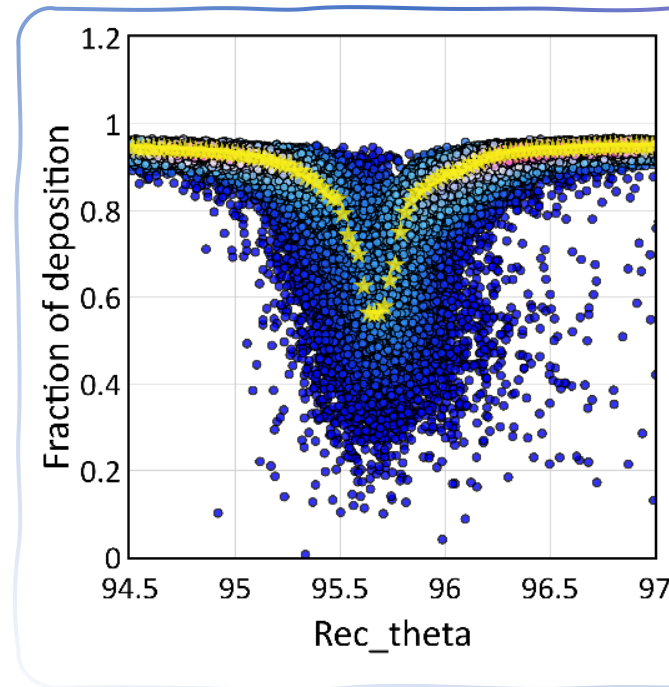
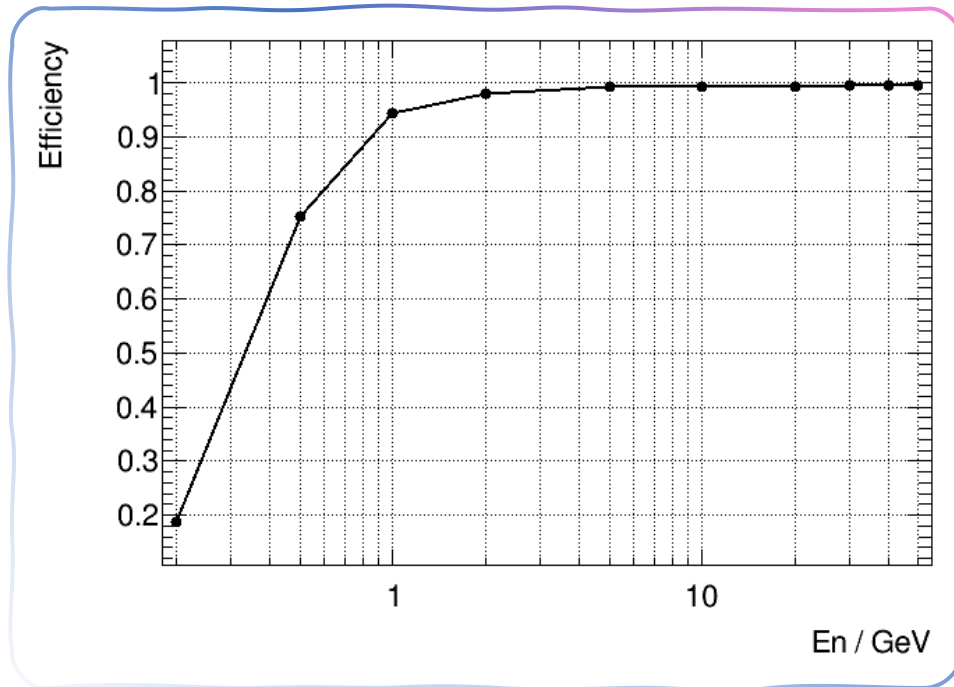
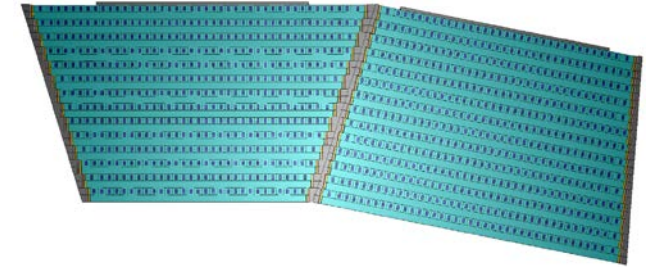
Physics performance: single photon

- **Single photon reconstruction efficiency:**

- Efficiency: $\sim 100\%$ for >1 GeV photons.

- **Energy correction from simulation:**

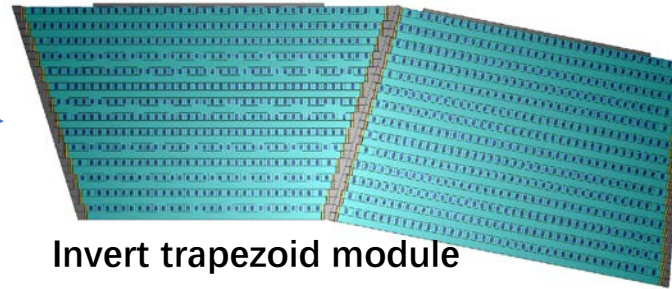
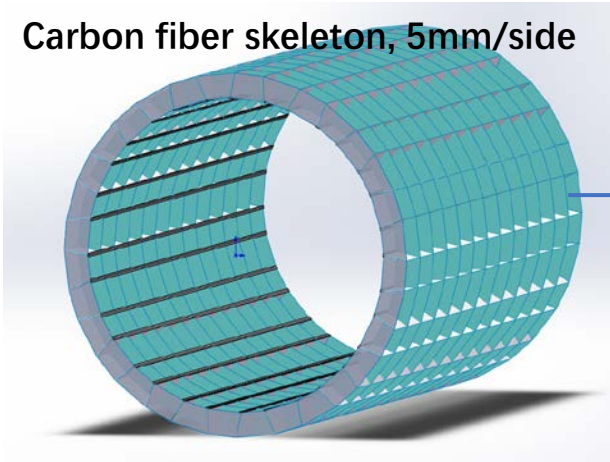
- For the cracks: $E_{corr} = \frac{E'_{truth}}{E'_{deposition}} \times E_{dep}^{mean}$



Mechanics design

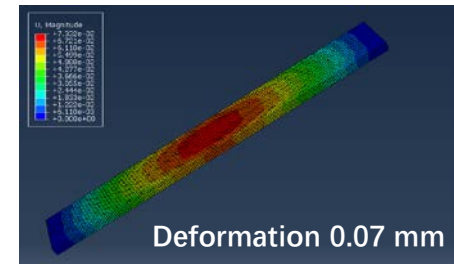
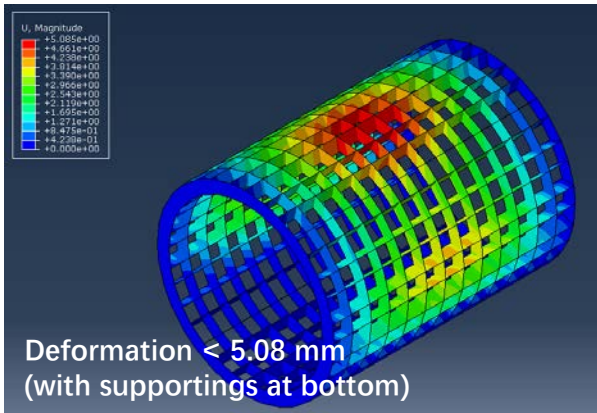
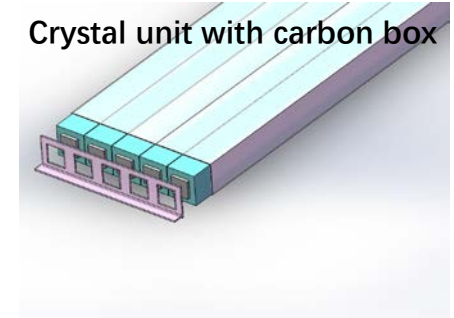
- Carbon fiber skeleton and unit strength

Carbon fiber skeleton, 5mm/side



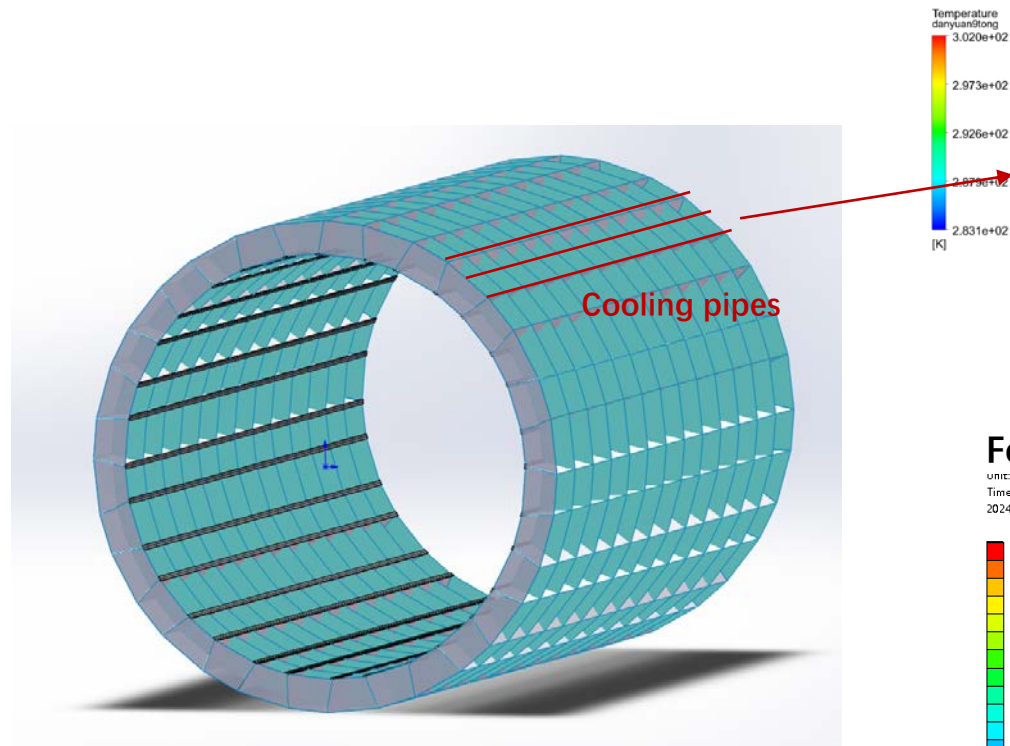
Invert trapezoid module

Crystal unit with carbon box

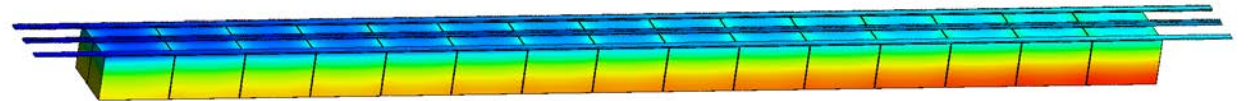


Cooling design

- Copper plate + aluminum water pipe cooling



For 1 unit



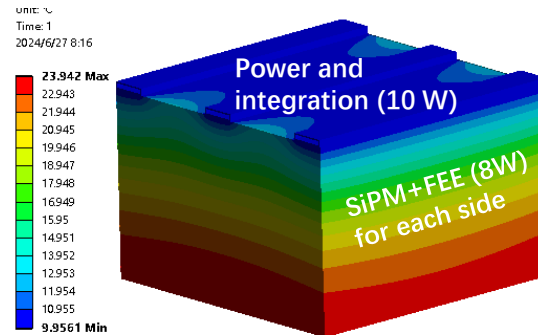
Cooling pipe at outside of ECAL.

Heat from electronics: $(10\text{W} + 4 \times 8\text{W})$ / module.

Stable but large temperature gradient ($\sim 15^\circ\text{C}$).

Working in progress.

For 1 module



Energy resolution

