

FPGA acceleration for HLT1 at LHCb

Ao Xu (许傲)

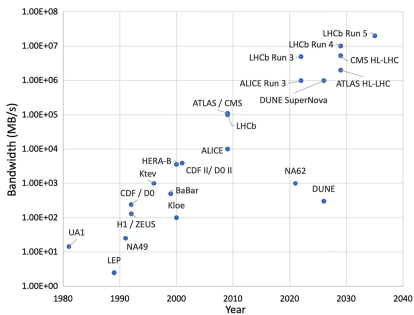
CEPC Workshop 2024

October 24, 2024



Need for speed

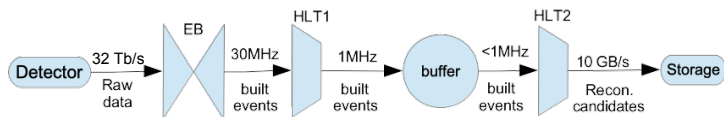
- Progress of experiments goes together with increasing bandwidth
- Flavour physics at low p_T is most demanding ($\sigma_b \sim 10^{4/7} \sigma_{Z/H}$)
 - LHCb tops the chart despite smaller size and lower luminosity
 - Increasing $\mathcal{L}(t)$: $\mathcal{L}_{\text{Run5}} = 7.5 \times \mathcal{L}_{\text{Run3/4}} = 7.5 \times 5 \times \mathcal{L}_{\text{Run1/2}}$
 - LHCb is effectively “processing-limited”



[EPJ Plus 138 1005 (2023)]

- Triggerless readout of whole detector + full event reconstruction
 - No inefficient hardware trigger on simple quantities (e.g. p_T , E_T)

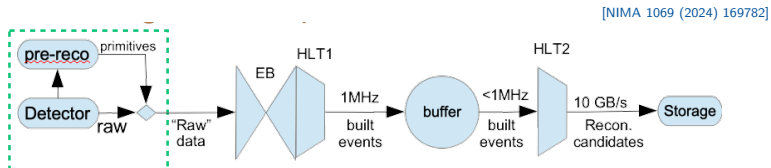
[NIMA 1069 (2024) 169782]



- Two-level trigger system
 - HLT1 (GPUs): full track reconstruction for trigger purpose
 - HLT2 (CPUs): full detector reconstruction and final physics selection
- Alignments performed between HLT1 and HLT2

Evolving towards primitive-based reconstruction

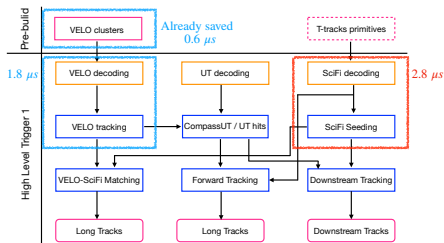
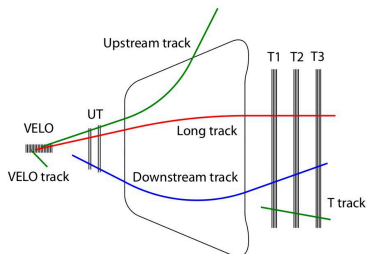
- Pre-EB: reconstruct intermediate data structures (*primitives*)



- Clusters, track segments *etc.*
 - Locally embedded in the detector: looks like “Raw” data to DAQ
 - Accelerate HLT reconstruction and reduce data flow at the source
- Challenges: no time-multiplexing, little buffering
 - Solutions
 - ASICs (on detector): *e.g.* CMS track vectors
 - **FPGAs (off detector)**: for more complex primitives

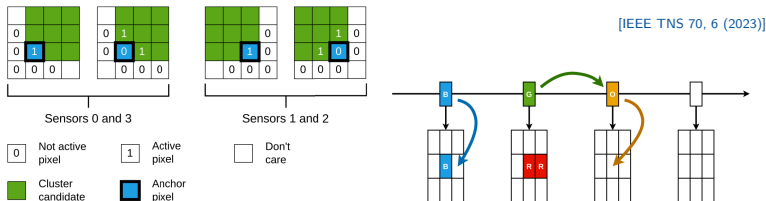
LHCb Run 3 tracking system and sequence

- Current HLT1 reconstructions focus on **Long tracks**
 - Run 2 based on Forward tracking
 - Run 3 benefits also from Matching
- Add **Downstream tracks** to HLT1
 - Expand the LHCb physics program
- FPGA acceleration at pre-build level
 - **VELO clustering**: established as default method at LHCb
 - **T-track primitives**: approved enhancement for Run 4



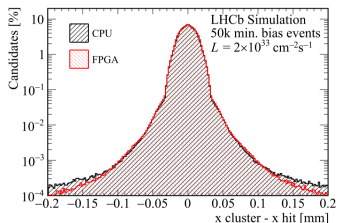
Hits in the VELO pixel detector

- Hits in VELO detector appear as 2D clusters of pixels
- Firmware deployed in Run 3 in FPGA readout boards (Arria 10) to make clusters on the fly
 - Pixels read out as 2×4 arrays (SuperPixels, SP)
 - Clusters found by unpacking them into active matrices, where each pixel actively checks if it belongs to a pattern
 - Centroid evaluated by LUTs
 - Dynamically allocate small matrixes where active pixels are found

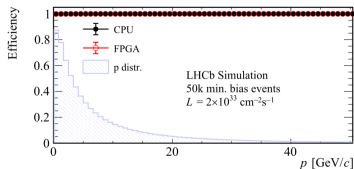


Performance of embedded cluster finding

- Quality of real-time clustering as good as CPU algorithm



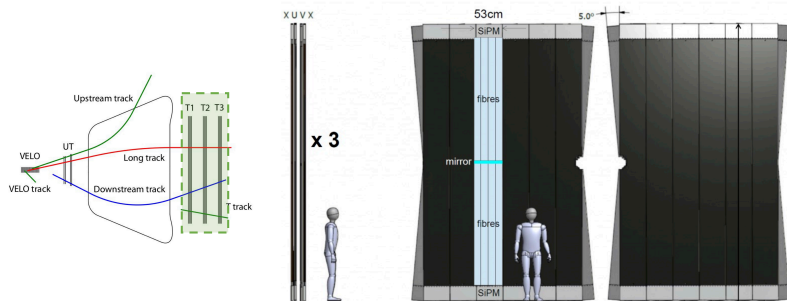
[IEEE TNS 70, 6 (2023)]



- 14% BW reduction: raw pixel information dropped and replaced by hit positions during readout
- 11% throughput increase and 1/50 power consumption
- Enable opportunities for further applications (e.g. precision monitoring of beamline): real-time availability of 10^{11} hits/s in accessible way

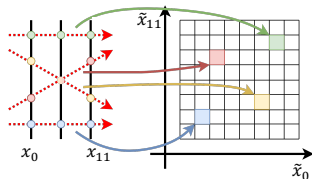
- **DoWnstream Tracker** will provide HLT1 with pre-formed T-track primitives in LHCb Run 4
 - Make room for Downstream tracking and other desirable enhancements
- Artificial retina architecture: highly-parallel architecture for pattern recognition
 - High throughput and low latency
 - Extreme parallelism and high connectivity
 - Computation similar to Hough transform
- Implemented in an array of FPGAs
 - Each board specialised to reconstruct a portion of parameter space
 - Each board processes each event
 - Connection between boards through a custom network

- **Three** tracking stations: T1, T2, T3
- Each consists of **four** detection planes: oriented ($0^\circ, +5^\circ, -5^\circ, 0^\circ$)
 - Modules have 2.5 m long scintillating fibres with a diameter of $250\ \mu\text{m}$ read out by SiPMs
 - Measurements of the co-ordinates (x, u, v, x)



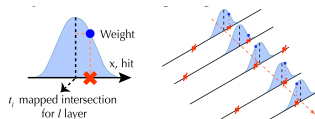
1. Axial (x - z plane) track parametrisation

- $(\tilde{x}_0, \tilde{x}_{11})$: x -coordinates at the **first** and **last** SciFi layer
- # of pattern cells for SciFi: **2×73k**



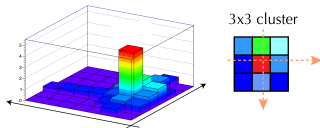
2. Weight accumulation

- $w = \sum_{hits} \exp\left(-\frac{(x_l - t_l)^2}{2\sigma}\right)$
for $|x_l - t_l| < d_s$



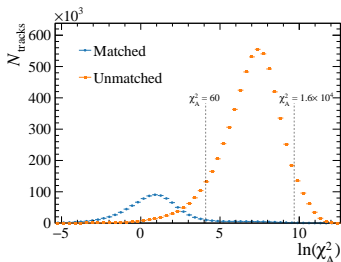
3. Identification of local maxima (axial track primitives)

- **Maximum** above **threshold** in the centered 3×3 cluster

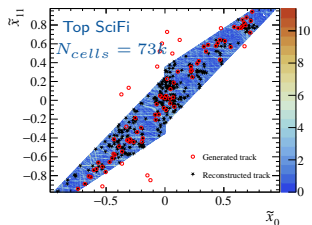


Ghost removal with axial track fit

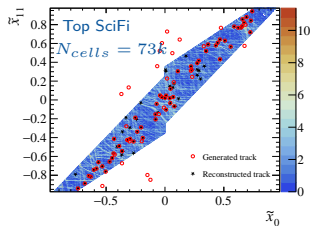
- Linearised χ^2 fit for false maxima removal
- Parabolic model with cubic correction [1, 2]
$$x(z) = a_x + b_x \times z + c_x \times z^2 \times (1 + \text{dRatio} \times z)$$
- For each local maximum determine the best fit over combinations of
 - 5 different axial layers out of 6
 - 1 out of 2 candidate hits on each layer



Before χ_A^2 requirement



After $\chi_A^2 < 60$



1. Stereo (y - z plane) track parametrisation

- \tilde{y} : y -coordinate at the middle of SciFi
- # of bins per axial track: 45

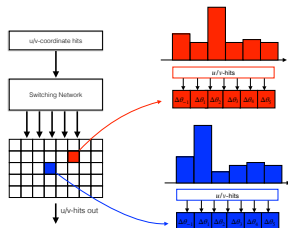
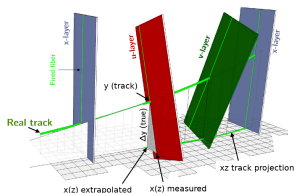
2. u/v hits distribution

- Good axial track candidate \longleftrightarrow Binned parametric space

$$x_{\text{pred},u/v} \xrightarrow{x_{\text{pred},u/v} - y \times \tan \alpha} x_{\text{meas},u/v}$$

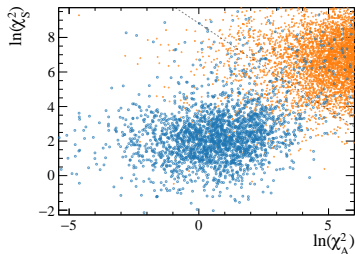
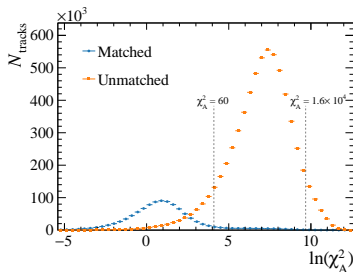
3. Identification of local maxima (stereo track primitives)

- **Maximum** above **threshold** in 1D histogram



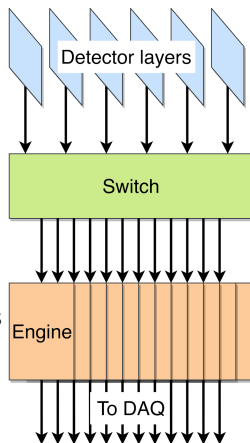
Ghost removal with stereo track fit

- Linearised χ^2 fit for false maxima removal
- Straight line: $y(z) = a_y + b_y \times z$
- For each local maximum determine best fit over combinations of
 - 5 different stereo layers out of 6
 - 1 out of all candidate hits on each layer
- 3D track primitives filtered with (χ_A^2, χ_S^2) requirement
 - Linear cut for illustration of performance

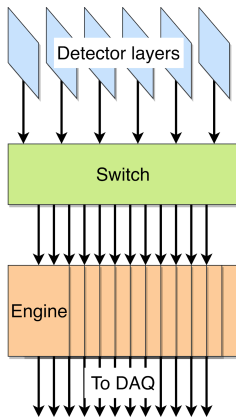
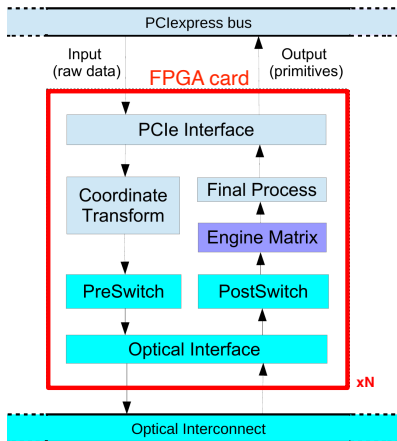


Physical implementation

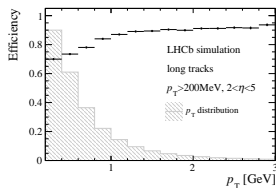
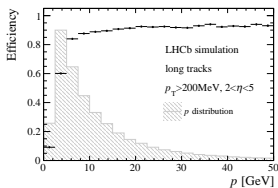
- Input from detector over multiple lines
- Distribution network
 - Switch: routes hits only to appropriate cells
 - Optical communication: exchanges hits between boards
- Cell
 - Engine: computes and accumulates hit weights
 - Max-finder: finds tracks (local maxima)
- Primitive tracks are sent to the Event Builder



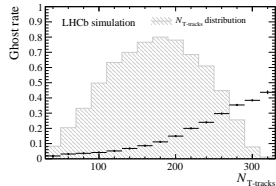
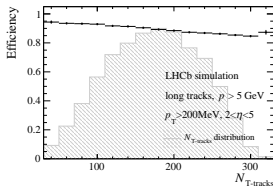
Modular design



- Efficiency above 90% for high-momentum tracks
- Good efficiency for low-momentum ($p < 5 \text{ GeV}$) tracks
 - Essential for downstream tracks (K_S^0 and Λ)

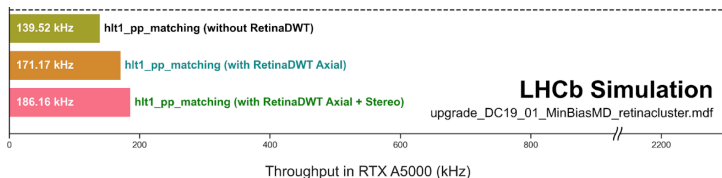


- Robust scaling with occupancy



Throughput gain with DoWnstream Tracker

■ HLT1 sequence hlt1_pp_matching



■ Default sequence

- Total (T-track reconstruction): 7.2 μs (1.5 μs)

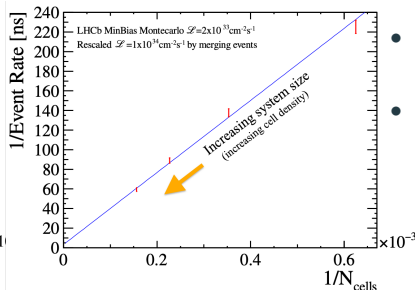
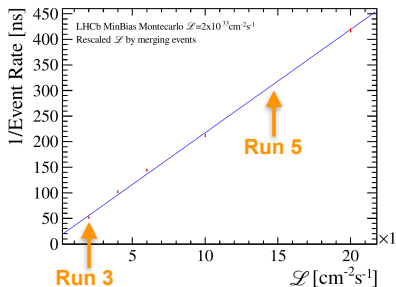
■ With T-track primitives from DWT

- Total (Primitives decoding and refitting): 5.4 μs (0.06 μs)

■ Throughput increased by a factor of **1.33**

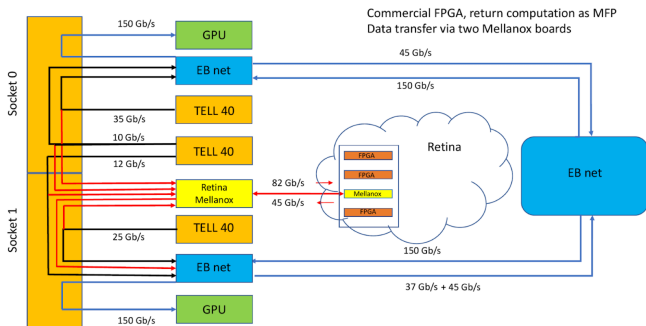
Throughput scalability

- Event rate scales linearly with instantaneous luminosities
 - Luminosities obtained by merging events
- Event rate scales linearly with system size



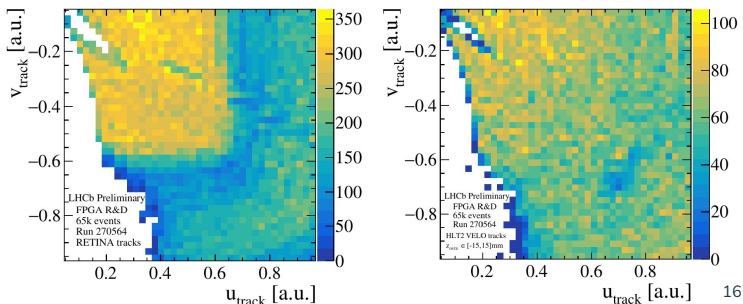
Resources and integration in LHCb Run 4 DAQ

- Number of FPGAs: 64 (axial) + 32 (stereo)
- DWT Boxes (up to 6 FPGA each) connected to SciFi EB nodes
- Modular, scalable, and minimal disturbance to current DAQ



Hardware demonstrator with live LHCb Run 3 data

- A complete demonstrator installed and currently Running parasitically at the LHCb TestBed facility (Point 8)
- Smooth long-term operation without errors
- Tracks from demonstrator show very similar distribution to HLT2 reconstruction output



- Two major efforts of FPGA acceleration at LHCb
 - VELO cluster finding: established as the default method in Run 3
 - DoWnstream Tracker: approved as part of LHCb DAQ Enhancement in Run 4
- Increase throughput and decrease power consumption
 - Performance as good as software algorithms
- R&D ongoing for LHCb Run 5

BACKUP

Wander Baldini^{1,2}, Giovanni Bassi^{3,4}, Andrea Contu⁵, Riccardo Fantechi³,
Jibo He^{6,7}, Brij Kishor Jashal⁸, Sofia Kotriakhova^{1,9}, Federico Lazzari^{3,10},
Maurizio Martinelli^{11,12}, Diego Mendoza⁸, Michael J. Morello^{3,4},
Arantza De Oyanguren Campos⁸, Lorenzo Pica^{3,4}, Giovanni Punzi^{3,10}, Qi Shi⁶,
Francesco Terzuoli^{3,13}, Giulia Tuci¹⁴, Ao Xu³, Jiahui Zhuo⁸

¹ INFN Sezione di Ferrara, Ferrara, Italy

² European Organization for Nuclear Research (CERN), Geneva, Switzerland

³ INFN Sezione di Pisa, Pisa, Italy

⁴ Scuola Normale Superiore, Pisa, Italy

⁵ INFN Sezione di Cagliari, Monserrato, Italy

⁶ University of Chinese Academy of Sciences, Beijing, China

⁷ Hangzhou Institute for Advanced Study, UCAS, Hangzhou, China

⁸ Instituto de Física Corpuscular, Centro Mixto Universidad de Valencia - CSIC, Valencia, Spain

⁹ Università di Ferrara, Ferrara, Italy

¹⁰ Università di Pisa, Pisa, Italy

¹¹ INFN Sezione di Milano-Bicocca, Milano, Italy

¹² Università di Milano Bicocca, Milano, Italy

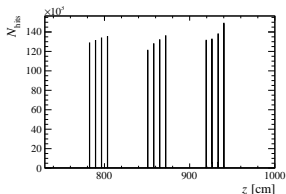
¹³ Università di Siena, Siena, Italy

¹⁴ Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany

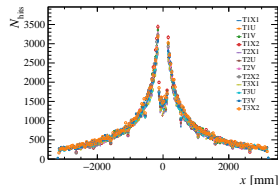
Performance with simulation

■ LHCb simulation with Run 3-4 condition

- $\sqrt{s} = 14$ TeV, bunch 25 ns, $\nu = 7.6$
- Samples: Minimum Bias, $D^0 \rightarrow K_S^0 \pi^+ \pi^-$, $B_s^0 \rightarrow \phi\phi$



Hit distribution z



Hit distribution x

■ DoWnstream Tracker emulator

- C++ software emulator of an FPGA-based system for reconstruction of T-track primitives
- Use integers to emulate the firmware implementation at **bit-level**

■ Indicators: efficiency and ghost rate

Performance of T-track at primitive level

- Fiducial requirements: $p_T > 200 \text{ MeV}$ and $2 < \eta < 5$
- Efficiencies **comparable** with GPU-HLT1 and CPU-HLT2 Seeding
 - Higher efficiencies could be achieved with looser χ^2 requirements
- Ghost rate is **under control**
 - As a reference: below 15% (6%) for GPU-HLT1 tracking

Track type	MinBias	$D^0 \rightarrow K_S^0 \pi^+ \pi^-$	$B_s^0 \rightarrow \phi \phi$
Long, $p > 3 \text{ GeV}/c$	85 (86)	83 (84)	84 (85)
Long, $p > 5 \text{ GeV}/c$	90 (91)	89 (90)	89 (89)
Long from B not e^\pm , $p > 3 \text{ GeV}/c$	-	-	88 (87)
Long from B not e^\pm , $p > 5 \text{ GeV}/c$	-	-	90 (90)
Down, $p > 3 \text{ GeV}/c$	84 (85)	83 (84)	83 (84)
Down, $p > 5 \text{ GeV}/c$	89 (91)	88 (89)	88 (89)
Down from strange not e^\pm , $p > 3 \text{ GeV}/c$	-	83 (83)	-
Down from strange not e^\pm , $p > 5 \text{ GeV}/c$	-	88 (88)	-
Down from strange not long not e^\pm , $p > 3 \text{ GeV}/c$	-	83 (83)	-
Down from strange not long not e^\pm , $p > 5 \text{ GeV}/c$	-	88 (89)	-
ghost rate	16 (10)	17 (12)	17 (13)
ghost per real track	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)

Event-averaged values shown in brackets

Physics performance of axial T-track primitives

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5$ GeV
 - Number of pattern cells for SciFi: $2 \times 73k$
 - Efficiencies comparable with CPU-HLT2 Hybrid Seeding and GPU-HLT1 Seeding
 - Ghost rate about 35% (25%) \implies 0.5 (0.4) fake track for each real track
 - ▶ For reference 22% of (axial-only) GPU-HLT1

Track type	$\varepsilon(\text{MinBias})$	$\varepsilon(D^0 \rightarrow K_S^0 \pi^+ \pi^-)$	$\varepsilon(B_s^0 \rightarrow \phi\phi)$ [%]
T-track, $p > 3$ GeV	83 (85)	82 (83)	83 (84)
T-track, $p > 5$ GeV	90 (91)	89 (90)	88 (89)
Long, $p > 3$ GeV	86 (87)	84 (85)	85 (86)
Long, $p > 5$ GeV	91 (92)	90 (91)	89 (90)
Long from B not e^\pm , $p > 3$ GeV	-	-	89 (88)
Long from B not e^\pm , $p > 5$ GeV	-	-	92 (91)
Down, $p > 3$ GeV	85 (86)	83 (84)	84 (85)
Down, $p > 5$ GeV	90 (91)	89 (90)	89 (90)
Down from strange not e^\pm , $p > 3$ GeV	-	83 (83)	-
Down from strange not e^\pm , $p > 5$ GeV	-	89 (89)	-
ghost rate [%]	32 (22)	35 (28)	35 (27)
ghost per real track	0.5 (0.3)	0.5 (0.4)	0.5 (0.4)

Event-averaged values are shown in parenthesis

Definition of efficiency and ghost rate

■ Event-integrated quantity

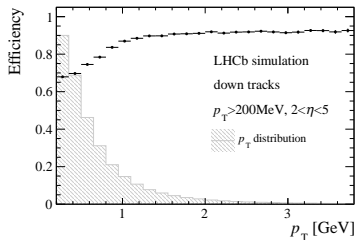
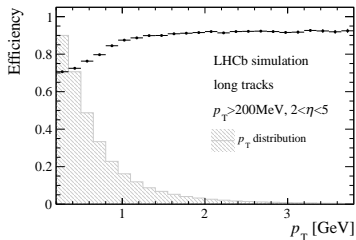
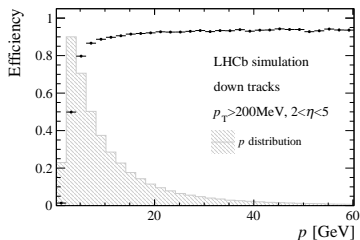
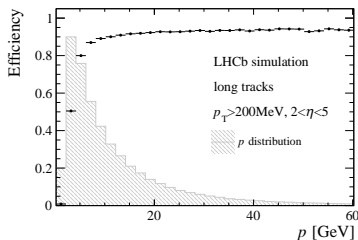
$$\begin{aligned}\varepsilon &\equiv \frac{\sum_i n_{\text{tracks,matched}}^i}{\sum_i n_{\text{tracks,reconstructible}}^i} \\ \text{ghost rate} &\equiv \frac{\sum_i n_{\text{tracks,unmatched}}^i}{\sum_i n_{\text{tracks,reconstructed}}^i} \\ &= \sum_i \frac{n_{\text{tracks,reconstructed}}^i}{\sum_i n_{\text{tracks,reconstructed}}^i} \times \frac{n_{\text{tracks,unmatched}}^i}{n_{\text{tracks,reconstructed}}^i}\end{aligned}$$

■ Event-averaged quantity

$$\begin{aligned}\varepsilon &\equiv \sum_i \frac{1}{N_{\text{evt}}} \times \frac{n_{\text{tracks,matched}}^i}{n_{\text{tracks,reconstructible}}^i} \\ \text{ghost rate} &\equiv \sum_i \frac{1}{N_{\text{evt}}} \times \frac{n_{\text{tracks,unmatched}}^i}{n_{\text{tracks,reconstructed}}^i}\end{aligned}$$

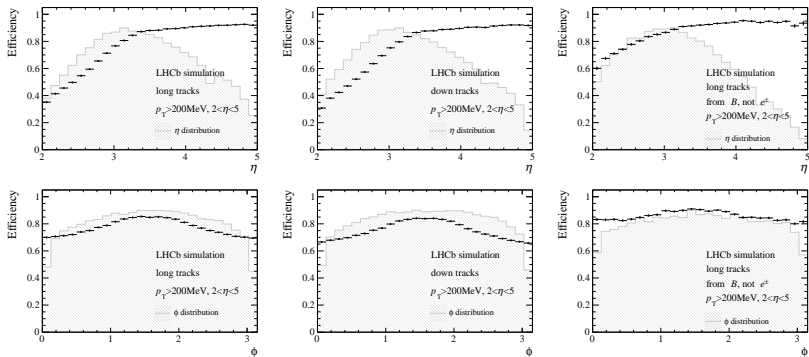
Physics performance (axial): efficiency VS momentum

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5$ GeV



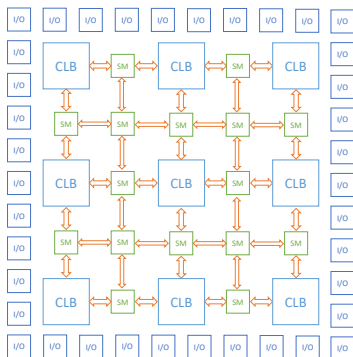
Physics performance (axial): efficiency VS η and ϕ

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5$ GeV

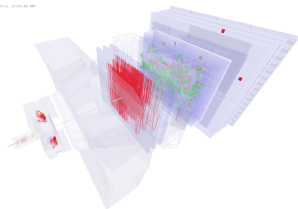
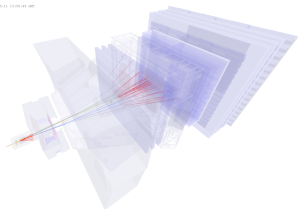


FPGA architecture

- Programmable logic blocks (CLB)
 - linked by programmable interconnections (SM)
- Programmable Input and Output (I/O)
- More than a million of CLB and a thousand of I/O



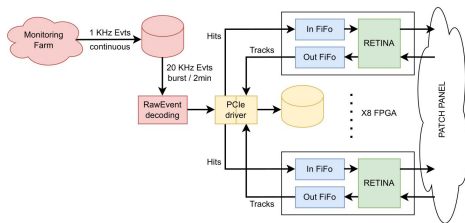
■ Track reconstruction of charged particles



- Pattern recognition: partition of signals (detector measurements) into disjoint sets (track candidates)
 - ▶ Local method: select one track candidate at a time
 - ▶ **Global method**: all hits enter the algorithm in the same way
- Track fitting: determination of track parameters considering multiple scattering and energy loss

Hardware demonstrator

- All individual components tested in 10 years R&D
- A complete demonstrator installed and currently Running parasitically at the LHCb TestBed facility (Point 8)
 - Implemented in 8 PCIe-hosted FPGA cards
 - Reconstruct a VELO quadrant
 - Monitoring Farm provides to the TestBed facility events @ 1 kHz
 - Online LHCb alignment constant applied on the fly



- RTA proposal in the Framework TDR for the LHCb Upgrade II
- Dedicated workshop on 27/02/2023
- LHCb public note (LHCb-PUB-2024-001) submitted to U2PG and RTA on 24/10/2023
- LHCb internal U2PG review from 24/10/2023
- RTA endorsement on 30/11/2023
- LHCb TDR for LS2 enhancement submitted to LHCC on 27/02/2024