



郑州大学
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Experimental study of fragmentation functions at BESIII

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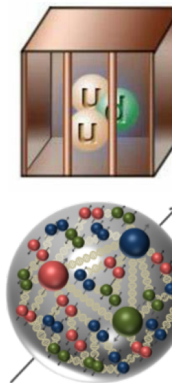
(On behalf of the BESIII Collaboration)

Zhengzhou University

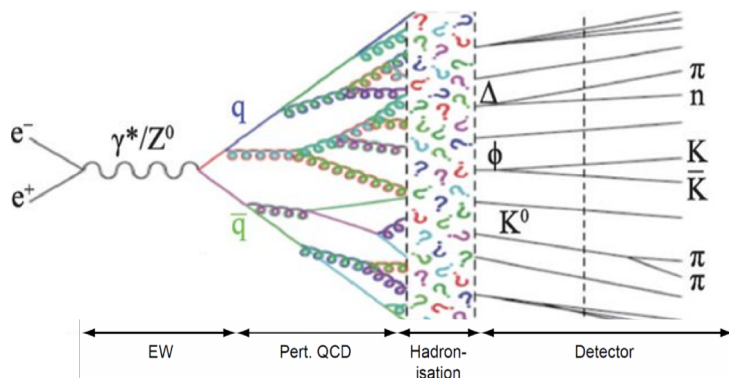
26th International Symposium on Spin Physics (SPIN2015)

Several open questions about QCD and FF

- **Confinement**, no existing isolated quarks or gluons
- **Nucleon structure**, what is the origin of nucleon spin and mass in terms of quarks and gluons degree of freedom



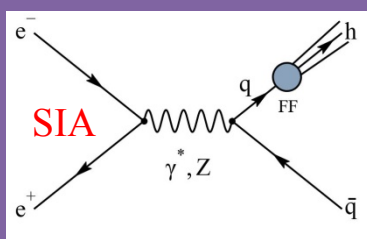
Fragmentation Functions (FFs):



$D_q^h(z)$: describe the fragmentation of an quark into an hadron, where the hadron carries a fraction $z = 2E_h/\sqrt{s}$ of parton's momentum

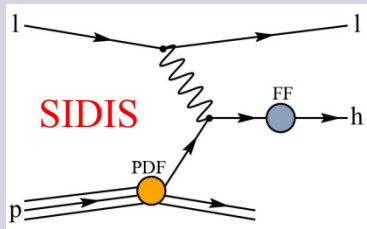
- ✓ Helps us understand the mechanism of color confinement.
- ✓ Extract accurately Parton Distribution Functions (PDFs).

Access FFs with QCD factorization



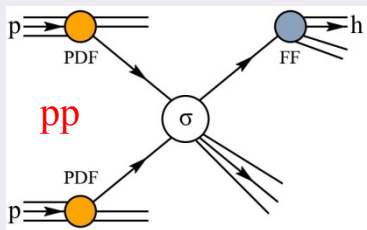
$$e^+e^-: \sigma = \sum_q \sigma(e^+e^- \rightarrow q\bar{q}) \otimes FF$$

- No PDFs necessary
- Calculations known at NNLO
- Flavor structure not directly accessible



$$\text{SIDIS}: \sigma = \sum_q PDF \otimes \sigma(eq \rightarrow e'q') \otimes FF$$

- Depend on unpolarized PDFs
- Flavor structure directly accessible
- FFs and PDFs



$$pp: \sigma = \sum_q PDF \otimes PDF \otimes \sigma(q_1 q_1 \rightarrow q'_1 q'_2) \otimes FF$$

- Depend on unpolarized PDFs
- Leading access to gluon FF
- Parton momenta not directly known

- SIA @ e^+e^- : the **cleanest** input for FFs fitting

FFs @ e^+e^- Experiment

Leading Quark TMDFFs

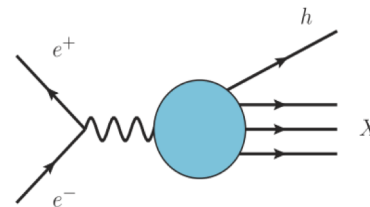


Hadron Spin



Quark Spin

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Unpolarized (or Spin 0) Hadrons		$D_1 = \text{Unpolarized}$		$H_1^\perp = \text{Collins}$
Polarized Hadrons	L		$G_1 = \text{Helicity}$	H_{1L}^\perp
	T	$D_{1T}^\perp = \text{Polarizing FF}$	G_{1T}^\perp	$H_1 = \text{Transversity}$ H_{1T}^\perp



Experimental observable

$$\frac{1}{\sigma_{had,tot}} \frac{d\sigma_h}{dz}$$

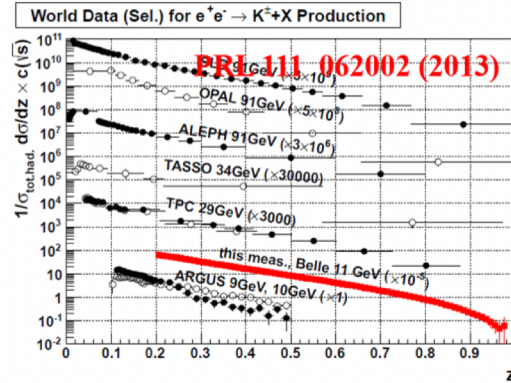
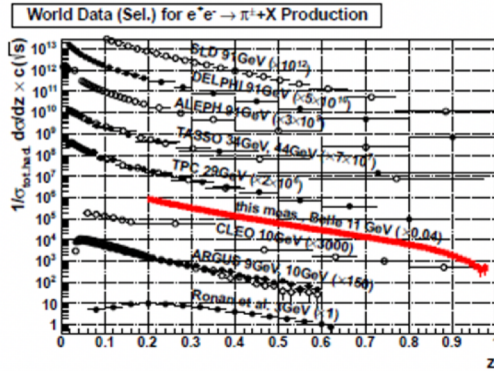
Leading order

$$\checkmark e^+e^- \rightarrow hX \sim \sum_q e_q^2 \mathbf{D}_1^{h/q}(z)$$

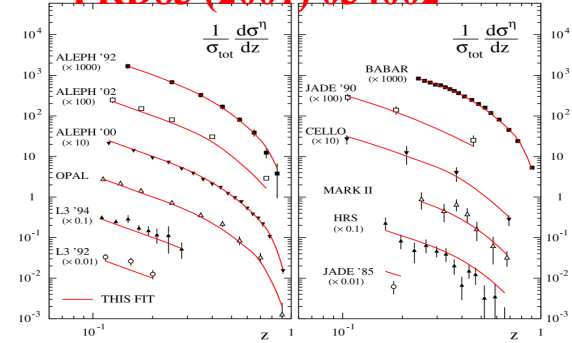
$$\checkmark e^+e^- \rightarrow q\bar{q} \rightarrow h_1 h_2 X$$

$$\sim \cos(2\phi_0) \mathbf{H}_1^\perp(\mathbf{z}_1) \otimes \mathbf{H}_2^\perp(\mathbf{z}_2)$$

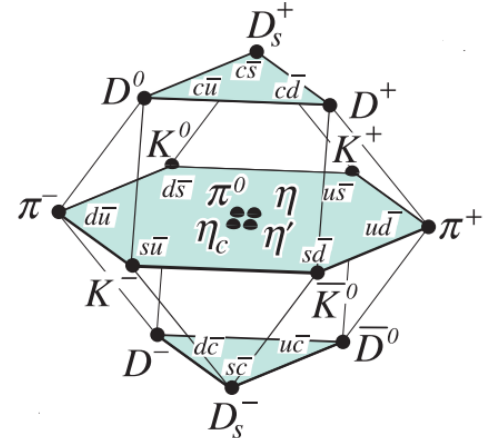
World π 、 K & η data @ e^+e^-



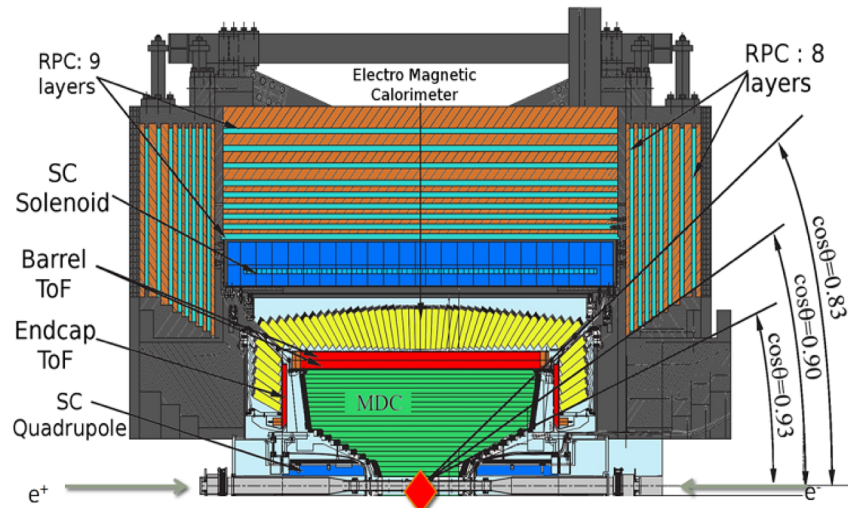
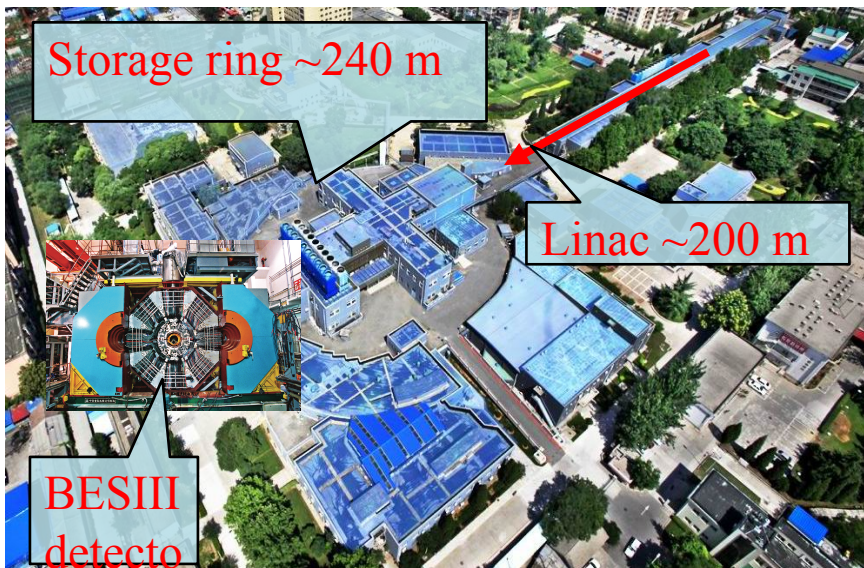
PRD83 (2001) 034002



- Precision data includes charged π , K
- Data sets at $\sqrt{s} < 10$ GeV e^+e^- collision ?
 - high z data sets ?
- R scan data @ BESIII: $\sim 10 \text{ pb}^{-1}$ @ each \sqrt{s}



BEPCHII/BESIII



Double-ring, symmetry, multi-bunch $e^+ e^-$ collider

$E_{\text{cm}} = 1.84$ to 4.95 GeV

Energy spread: $\Delta E \approx 5 \times 10^{-4}$

Peak luminosity in continuously operation @ $E_{\text{cm}} = 3.77$ GeV: $1.1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

Main Drift Chamber

Small cell, 43 layer

$\sigma_{xy} = 130 \mu\text{m}$

$dE/dx \sim 6\%$

$\sigma_p/p = 0.5\%$ at 1 GeV

Time Of Flight

Plastic scintillator

$\sigma_T(\text{barrel})$: 65 ps

$\sigma_T(\text{endcap})$: 110 ps

(update to 60 ps

with MRPC)

Electromagnetic Calorimeter

CsI(Tl): $L=28$ cm

Barrel $\sigma_E = 2.5\%$

Endcap $\sigma_E = 5.0\%$

Muon Counter

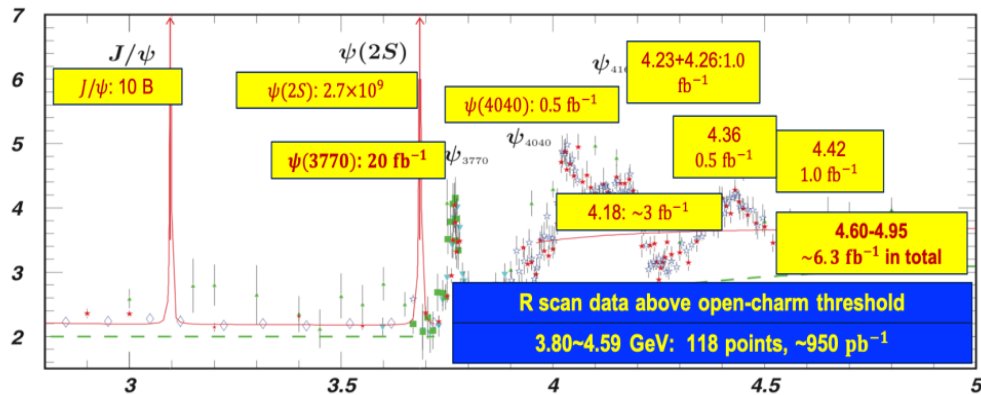
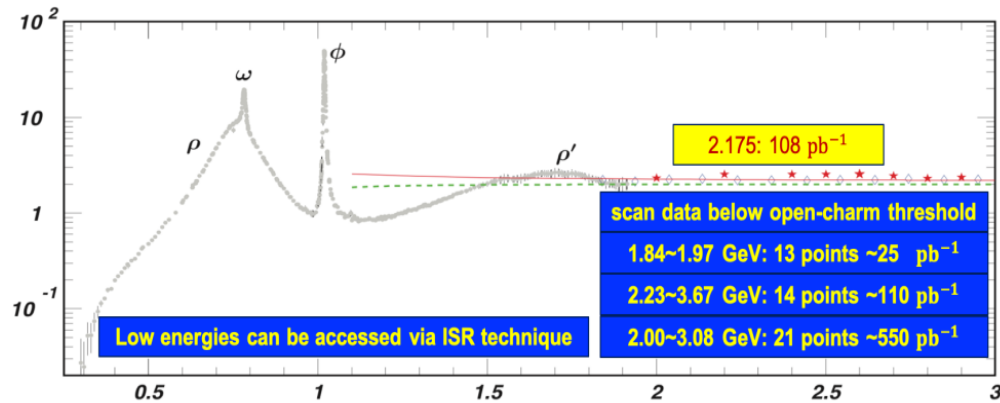
RPC

Barrel: 9 layers

Endcap: 8 layers

$\sigma_{\text{spatial}}: 1.48$ cm

Data samples collected at BESIII



Data sets collected so far include:

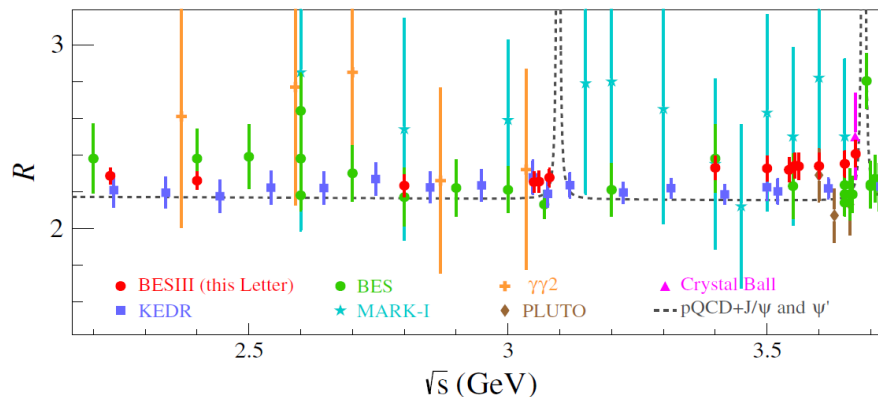
- 10×10^9 J/ψ events
- 2.7×10^9 ψ' events
- Scan data [2.0, 3.08] GeV; [3.735, 4.600] GeV, 130 energy points, about 2.0 fb⁻¹
- Large data sets for XYZ study above 4.0 GeV about 22 fb⁻¹

Analysis at BESIII

- Normalized differential cross section (take h as an example):

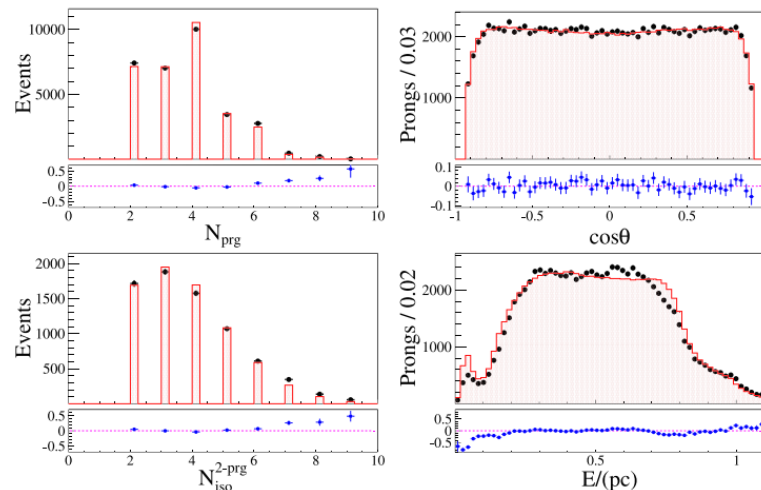
$$\frac{1}{\sigma_{\text{had}}} \frac{d\sigma_h}{dp_h} = \frac{N_h}{N_{\text{had}}} \frac{1}{\Delta p_h} f$$

- Hardronic events N_{had} : $R \equiv \sigma(e^+e^- \rightarrow \text{hadrons})/\sigma(e^+e^- \rightarrow \mu^+\mu^-)$



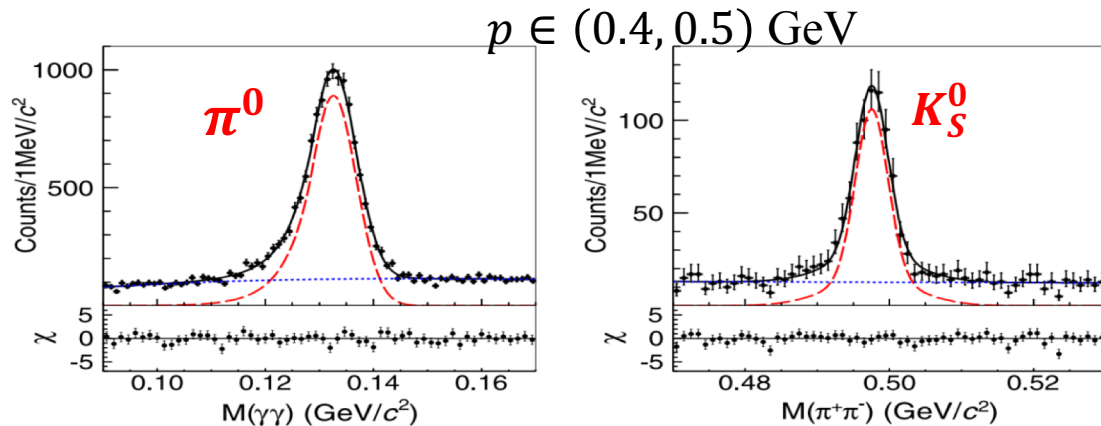
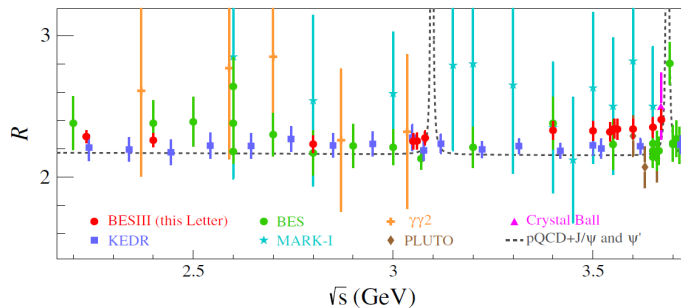
PRL 128 062004(2022)

BESIII

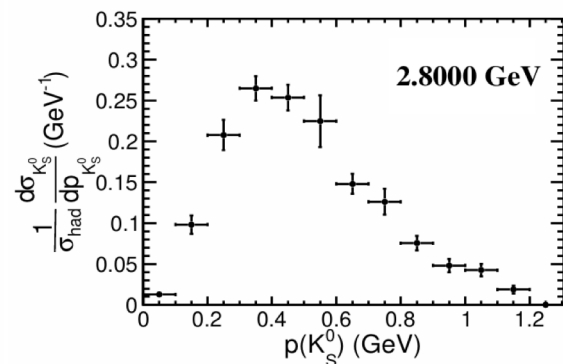
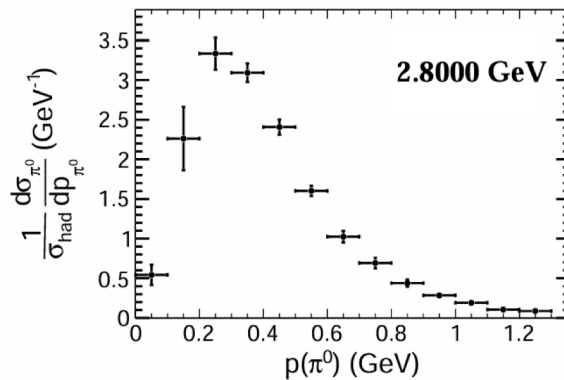


LUARLW MC generator

Inclusive π^0/K_S^0 production



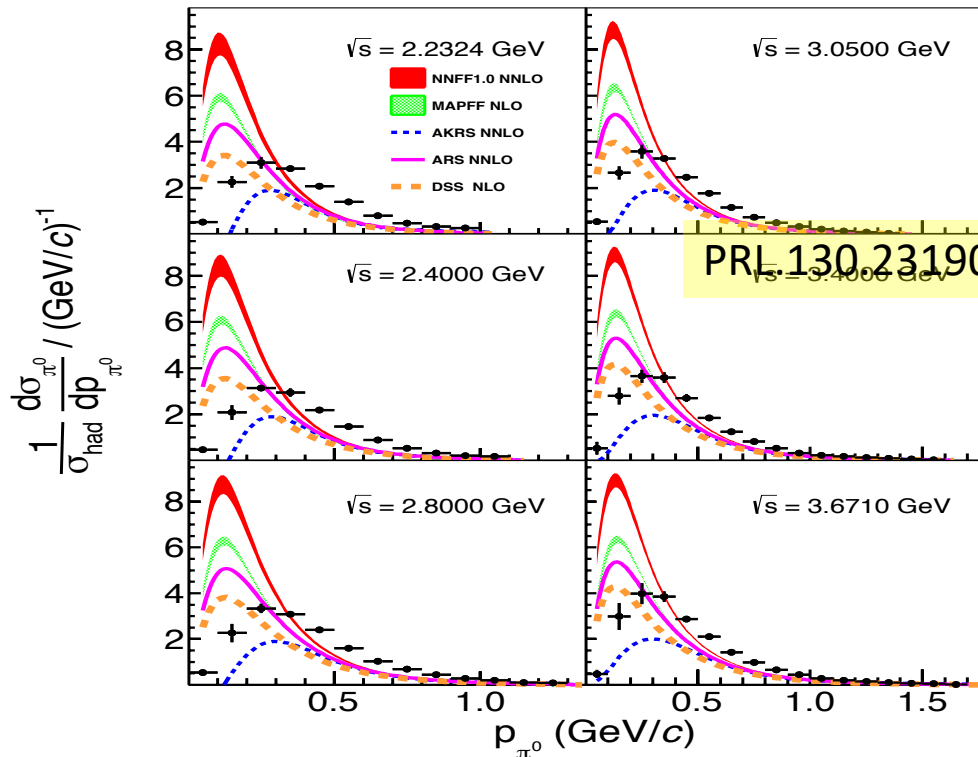
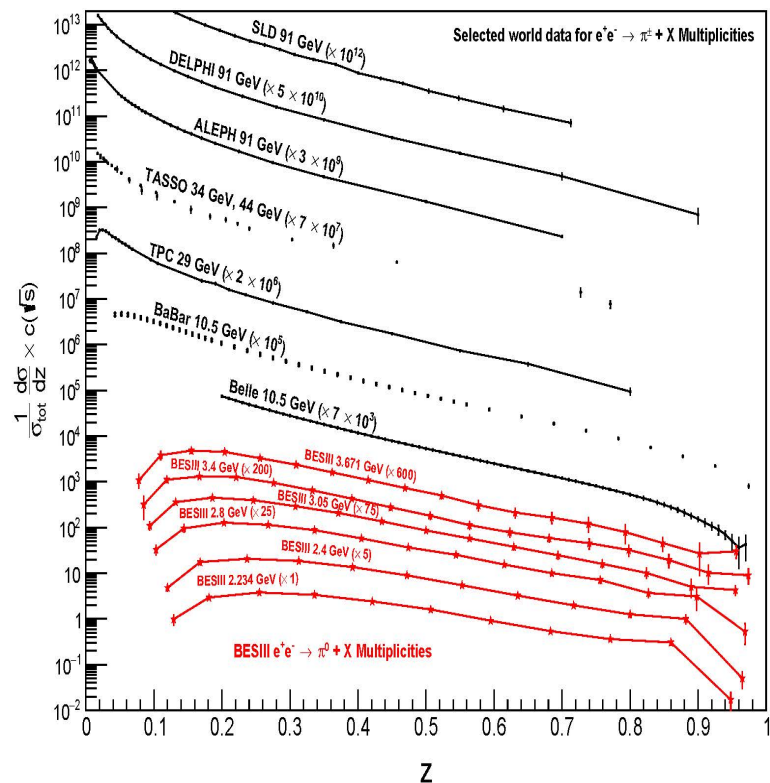
\sqrt{s} (GeV)	\mathcal{L} (pb ⁻¹)	$N_{\text{had}}^{\text{tot}}$	N_{bkg}
2.2324	2.645	83227	2041
2.4000	3.415	96627	2331
2.8000	3.753	83802	2075
3.0500	14.89	283822	7719
3.4000	1.733	32202	843
3.6710	4.628	75253	6461



Results: inclusive π^0

Theory support: Hongxi Xing, Daniele Anderle

Compared with theoretical estimation



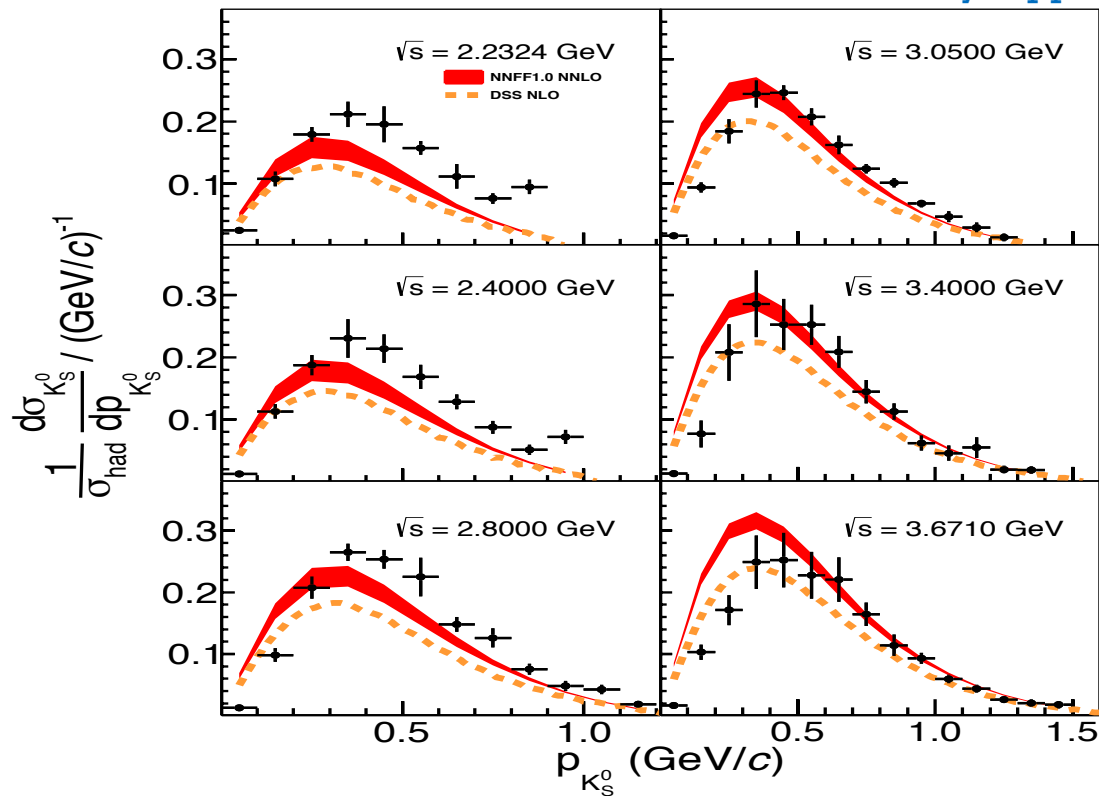
PRL.130.231901

Uncertainties \sim less 10%

Results: Inclusive K_S^0

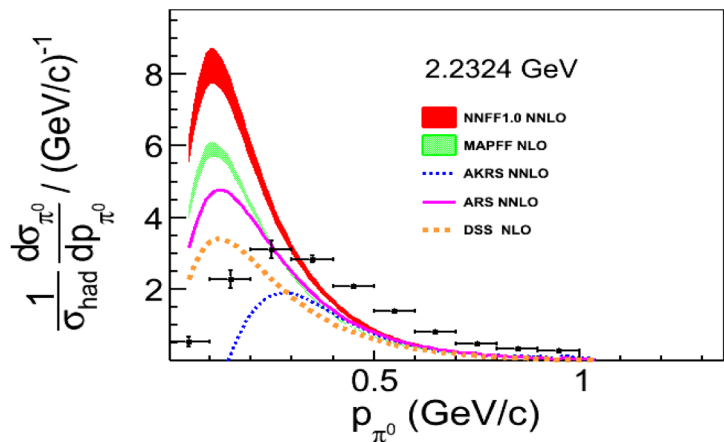
Compared with theoretical estimation

Theory support: Hongxi Xing, Daniele Anderle



PRL.130.231901

Results: inclusive π^0/K_S^0

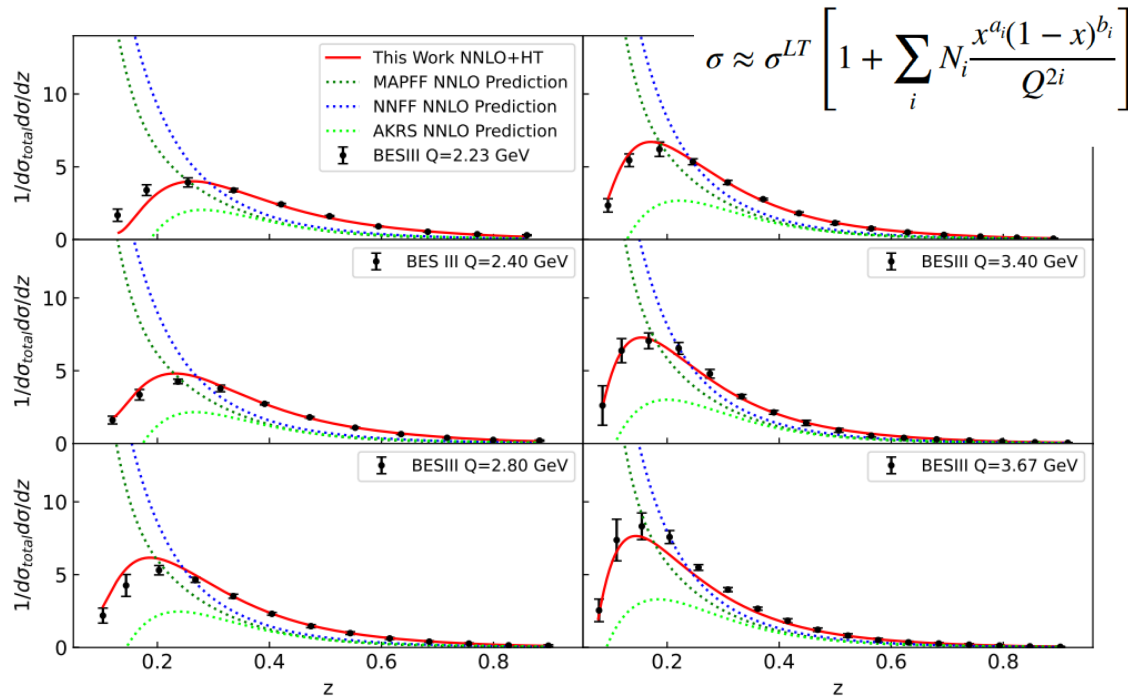
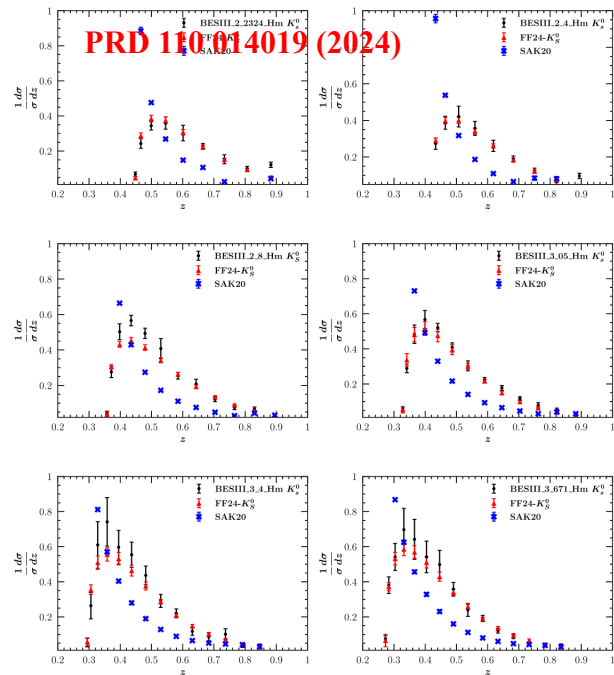


PRL 130 231901(2023) **BESIII**

- From theory side: fitting with BESIII data, hadron mass effect, large z re-summation, and so on
- From experimental side
 - Primary hadron vs from resonance decay
 \Rightarrow measure $e^+ e^- \rightarrow \rho(\omega, \phi) + X$, and so on
 - Contribution of vector states ρ^* , ω^* and ϕ^*
 $\Rightarrow e^+ e^- \rightarrow \rho^*/\omega^*/\phi^* \rightarrow h + X$

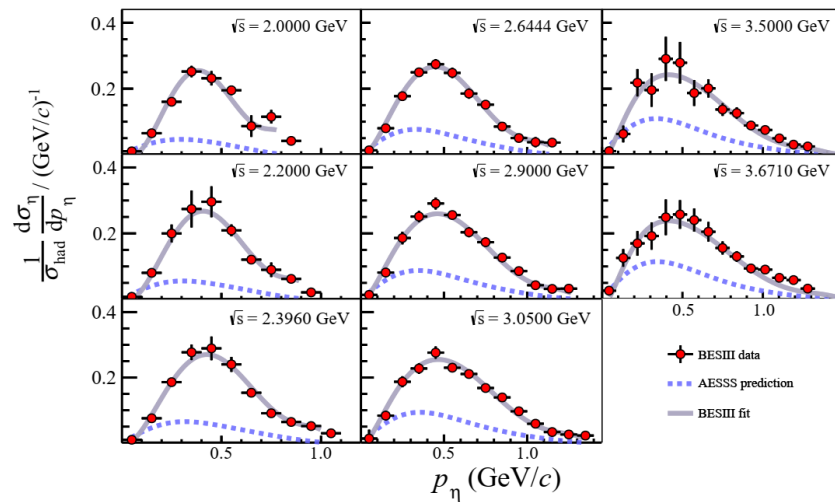
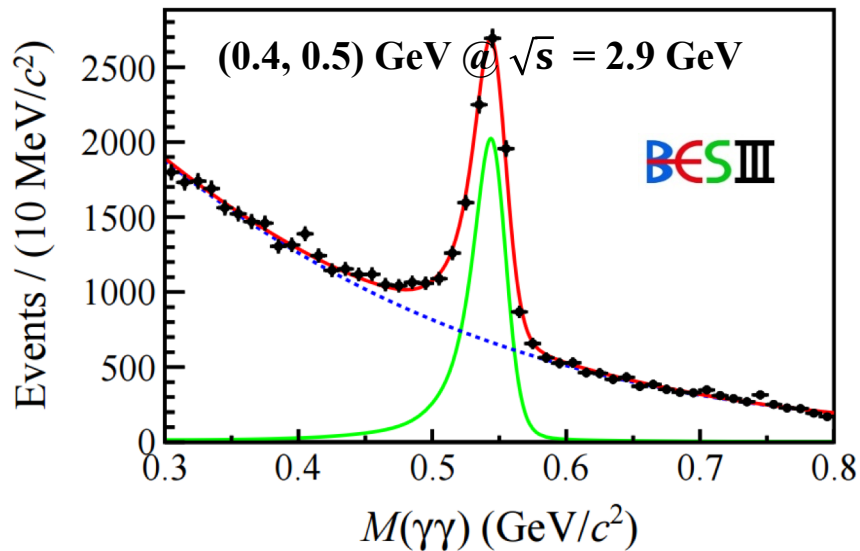
Results: inclusive π^0/K_S^0

theory



- PRD 110 014019 (2024): NNLO & hadron mass correction for K_S
- arXiv:2404.11527: NNLO & higher twist contribution for π^0

Inclusive η production



PRL 133, 021901 (2024)

- PRD83 (2001) 034002 prediction vs. BESIII data: tension !

BESIII fit: [detail @ Phys. Rev. D 111, 034030 \(2025\)](#)

- $\sqrt{s} > 10\text{GeV}$ e^+e^- data + **BESIII data**
- NNLO accuracy, hadron mass correction & higher twist contributions

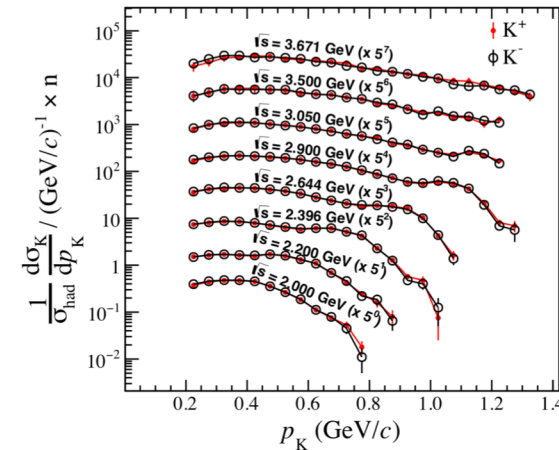
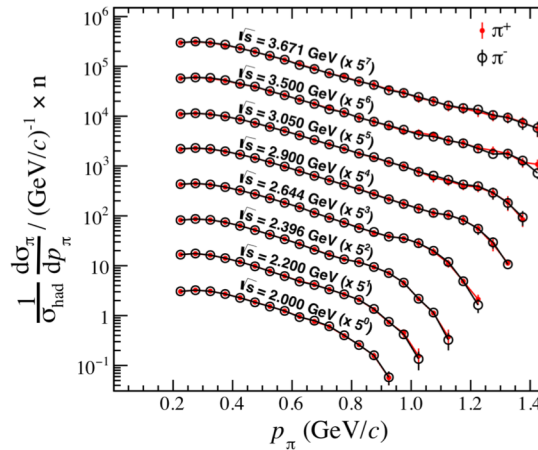
$$\sigma \approx \sigma^{LT} \left[1 + \sum_i N_i \frac{x^{a_i} (1-x)^{b_i}}{Q^{2i}} \right]$$

Inclusive π^\pm/K^\pm production

arXiv: 2502.16084

TABLE I. The integrated luminosities and the numbers of total selected hadronic and residual background events in different c.m. energies.

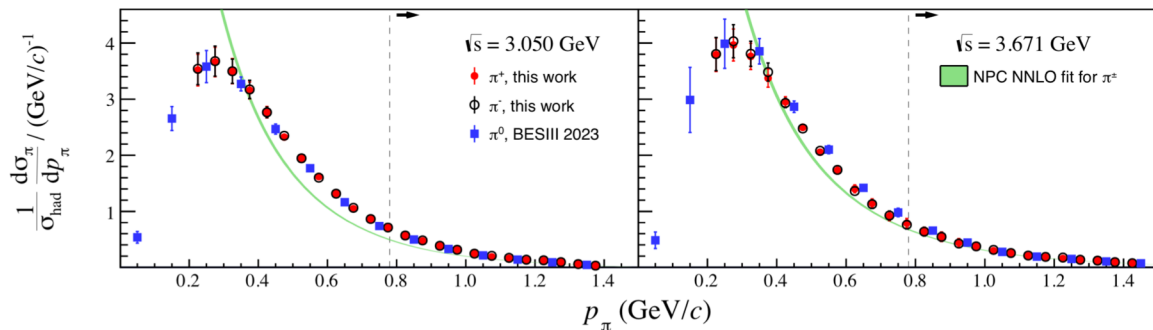
\sqrt{s} (GeV)	\mathcal{L} (pb $^{-1}$)	$N_{\text{had}}^{\text{tot}}$	N_{bkg}
2.0000	10.074	350298 ± 592	8722 ± 94
2.2000	13.699	445019 ± 668	10737 ± 104
2.3960	66.869	1869906 ± 1368	47550 ± 219
2.6444	33.722	817528 ± 905	21042 ± 146
2.9000	105.253	2197328 ± 1483	56841 ± 239
3.0500	14.893	283822 ± 533	7719 ± 88
3.5000	3.633	62670 ± 251	1691 ± 42
3.6710	4.628	75253 ± 275	6461 ± 81



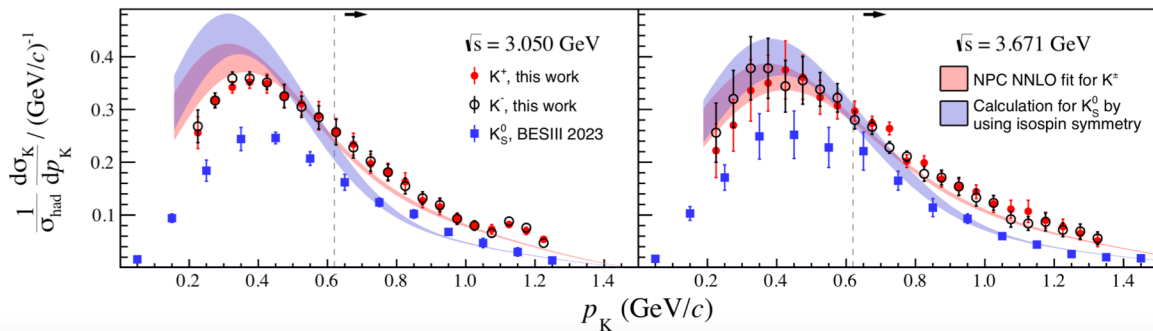
- ✓ Center-of-mass energies: 2.0 – 3.671 GeV, 8 energy points
- ✓ z coverage: ~ 0.13 to 0.95 for π^\pm , 0.30 to 0.95 for K^\pm
- ✓ Highest experimental precision $\sim 1(2)\%$ at $z \sim 0.3 - 0.5$ for π^\pm and K^\pm

Inclusive π^\pm/K^\pm production

arXiv: 2502.16084



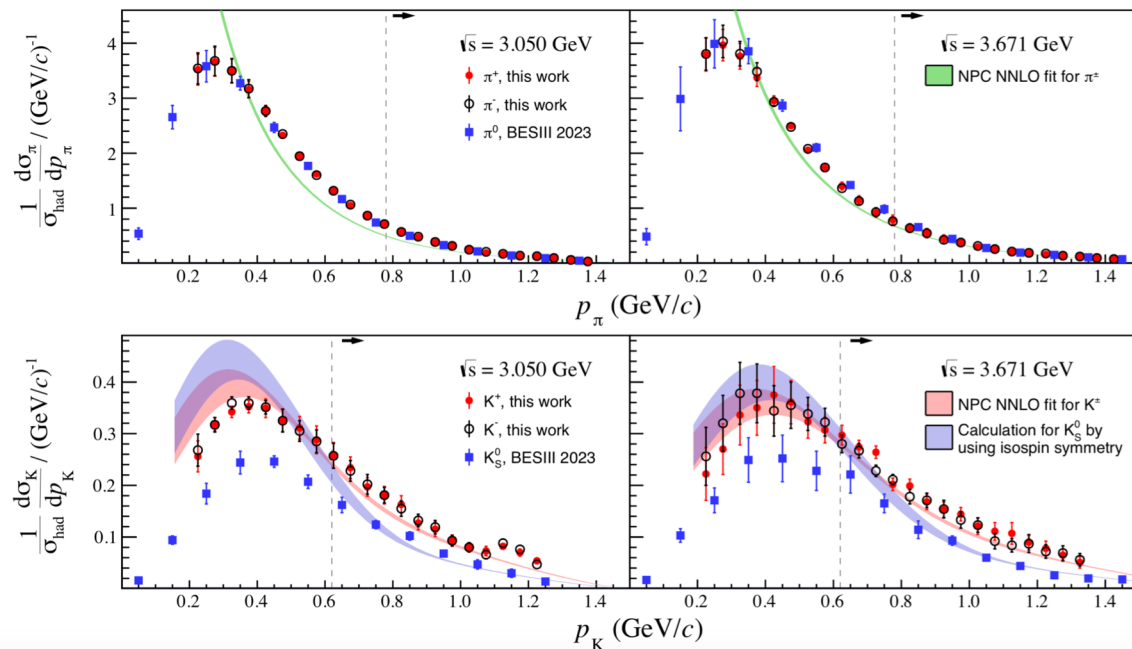
- ✓ The measured π^\pm cross sections are consistent with the previously reported π^0 cross-sections by BESIII



- ✓ The K^\pm cross sections are systematically higher than the K_S^0 cross sections by a factor of approximately 1.4.

Results: inclusive π^\pm/K^\pm

arXiv: 2502.16084



- $\sqrt{s} > 3.0$ GeV, $E_h > 0.8$ GeV
- Charge conjugation symmetry and flavor symmetries among favored (unfavored) quark FFs assumed
- s quark FF shares the same shape as the \bar{u} quark FF

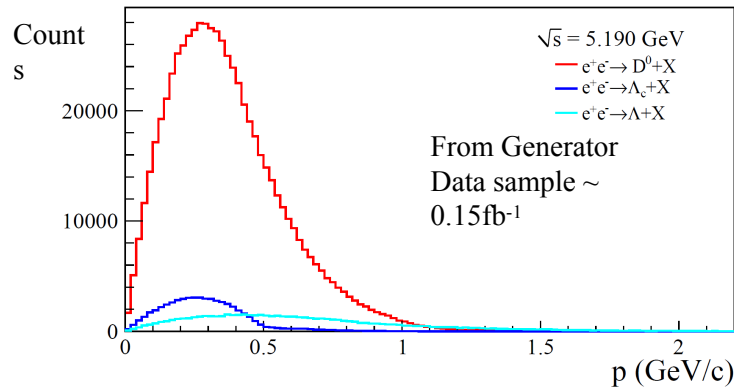
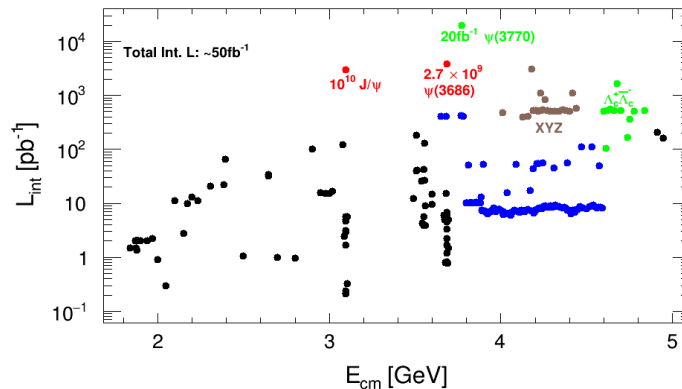
$$D_i^{\pi^0} = \frac{1}{2} (D_i^{\pi^+} + D_i^{\pi^-})$$

$$D_q^{K_S^0} = \frac{1}{2} (D_{q'}^{K^+} + D_{q'}^{K^-})$$

- ✓ Consistent with NPC fit results
- ✓ Support isospin symmetry of K^\pm and K_S^0

Prospects of FFs at BESIII

- **Higher center-of-mass energy**
 - Broader hard scale Q coverage
 - heavy flavors: Λ , D^0
 - Hadron mass correction is smaller
- **High luminosity**
 - From exploratory to precision measurements
 - Multi-dimensional binning of the measurements
 - Currently mainly on z and Q^2 , P_t of hadron is crucial (now with Gaussian assumption)



Summary

- The knowledge of FFs is an important ingredient in our understanding of **non-perturbative QCD dynamics**. e^+e^- annihilation experiments provide the **cleanest** environment to measure FFs.
- Two types of fragmentation functions can be studied at BEPCII/BESIII
 - **Unpolarized fragmentation function**
 - ✓ Unique $Q < 10$ GeV data
 - ✓ More results from Λ, Σ
 - **Collins fragmentation function**
 - ✓ Essential input in the 3D imaging era of the nucleon structure study
 - ✓ More results from $K\pi + X$ and $KK + X$

Thanks