

# **Holographic spin alignment of vector mesons in heavy ion collisions**



Defu Hou

**Central China Normal University**

Yan-Qing Zhao, Xin-Li Sheng, Si-Wen Li, Francesco Becattini

Based on: JHEP 08 (2024) 070 ; PRD 110 (2024) 5, 056047

**The 26<sup>th</sup> International Symposium on Spin Physics, Qingdao Sept 21-26,2025**

# Experiment results

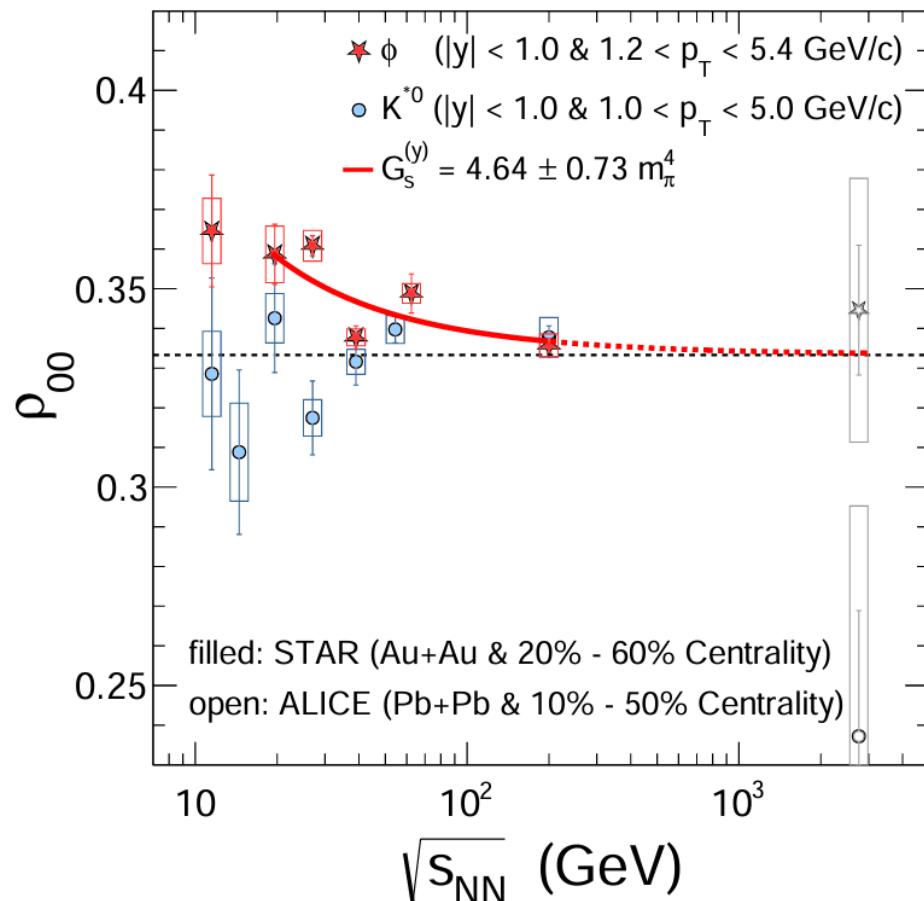
STAR, Nature 614 (7947) (2023) 244–248.

Global spin alignment of  $\phi$  and  $K_0^*$  mesons in Au-Au collisions.

$\phi$  Meson

$$\rho_{00} > 1/3$$

$$\lambda_\theta = \frac{3\rho_{00} - 1}{1 - \rho_{00}}$$



- $\phi$  mesons are preferably to be longitudinally polarized especially for low collision energy.
- The spin alignment of a meson  $K_0^*$  mesons are almost zero across all beam energy scans.
- The spin alignment is strongly dependent on the vector meson species.

# Experiment results

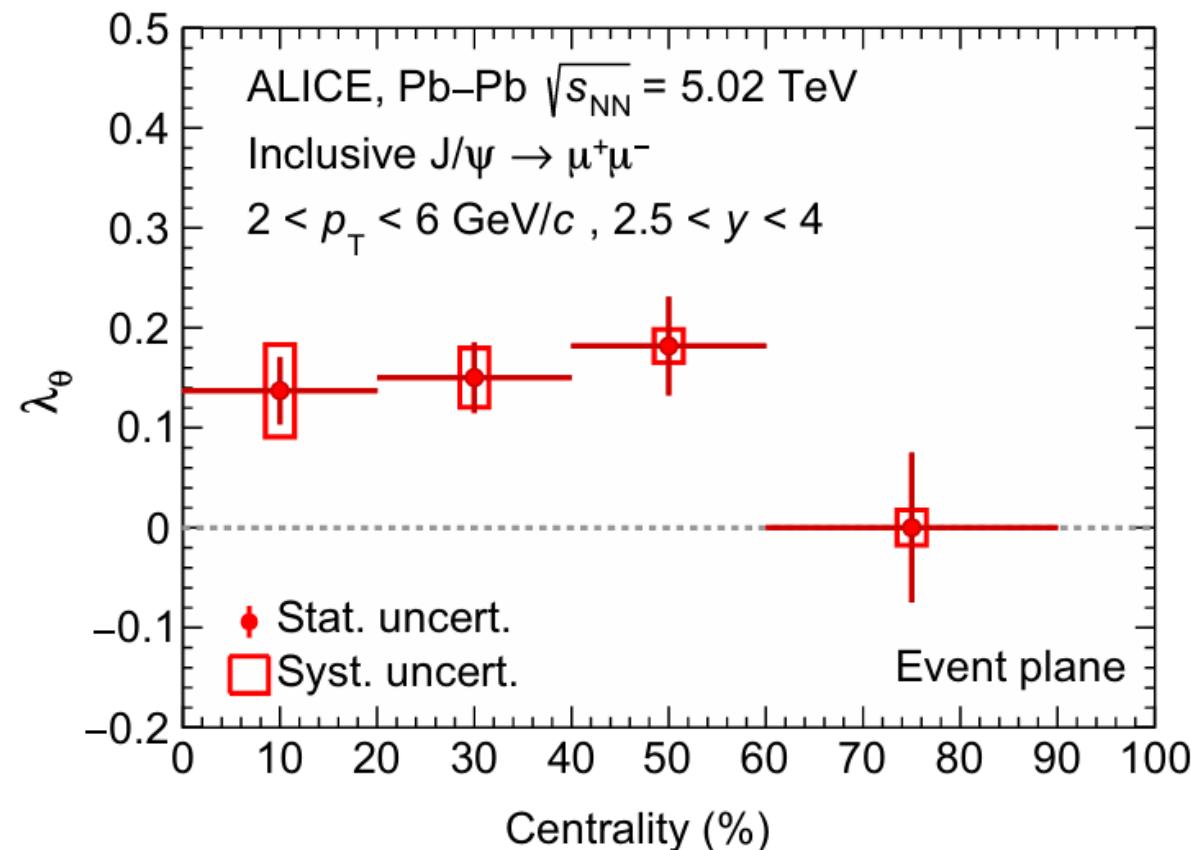
ALICE, Phys. Rev. Lett. 131 (4) (2023) 042303.

Global spin alignment of  $J/\psi$  vector mesons in Pb-Pb collisions.

$J/\psi$  Meson

$$\rho_{00} < 1/3$$

$$\lambda_\theta = \frac{1 - 3\rho_{00}}{1 + \rho_{00}}$$



$J/\psi$  are preferably to be transversely polarized.

# Theoretical approaches

## ➤ Coalescence model with spin

- Quark/antiquark polarized by external fields
- Non-equilibrium process described by kinetic theory

## ➤ Spin kinetic equation and linear response theory

## ➤ Spectral function method

In this talk !!!

- Splitting between spectral functions of longitudinal and transverse modes due to external fields or motion relative to a thermal background, calculated by QFT, NJL model, holographic model...
- Meson at thermodynamical equilibrium

# Theoretical approaches

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14. X.-L. S, S.-Y. Yang, Y.-L. Zou, D. Hou, arXiv:2209.01872
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17. J.-H. Gao, PRD 104, 076016 (2021)
18. A. Kumar, B. Muller, D.-L. Yang, PRD 108, 016020 (2023)
19. X.-L. S, S. Pu, Q. Wang, PRC 108, 054902 (2023).
20. X.-L. S, Y.-Q. Zhao, S.-W. Li, F. Becattini, D. Hou, PRD 110 (2024) 5, 056047
21. X.-L. S, L. Oliva, Z.-T. Liang, Q. Wang, X.-N. Wang, PRL 131, 042304 (2023); PRD 109, 036004 (2024).
22. E. Grossi, A. Palermo, I. Zahed, e-Print: 2507.19228.

$$\rho_{00} \approx \frac{1}{3} + c_{hadro} + c_{EM} + c_F + c_A + c_h + c_{strong} + \dots$$

Smaller deviation from 1/3      Larger deviation from 1/3 ?

# Outline

- Theory
  - Spin alignment
  - Correlation function
- Momentum induced spin alignment
- Magnetic field induced spin alignment
- Summary

# Spin alignment

- S-matrix element(  $J/\psi \rightarrow l + \bar{l}$  and  $\phi \rightarrow l + \bar{l}$  ):

C. Gale and J. I. Kapusta, Nucl. Phys. B 357 (1991) 65–89

$$S_{fi} = \int d^4x d^4y \langle f, \bar{l}l | J_\mu(y) G_R^{\mu\nu}(x-y) J_\nu^l(x) | i \rangle$$

where

$J_\mu$  is the current that couples to V.M. ;

$J_\nu^l$  is the leptonic current

$$J_\nu^l(x) = g_{Ml\bar{l}} \bar{\psi}_l(x) \Gamma_\nu \psi_l(x)$$

- Propagators (vacuum): Coordinate space

$$G_{R/A}^{\mu\nu} = -\frac{\eta^{\mu\nu} + p^\mu p^\nu / p^2}{p^2 + m_V^2 \pm im_V \Gamma}$$

- Retarded current-current correlation:

$$D^{\mu\nu}(x, p) \equiv \int d^4y \theta(y^0) \langle [J^\mu(y), J^\nu(0)] \rangle_{T(x)} e^{-ip \cdot y}$$

- Spectral function:

$$\mathcal{Q}_{\alpha\beta}(x, p) \equiv -\text{Im} D_{\alpha\beta}(x, p)$$

- Differential production rate:

$$n(x, p) = -\frac{2g_{Ml\bar{l}}^2}{3(2\pi)^5} \left(1 - \frac{2m_l^2}{p^2}\right) \sqrt{1 + \frac{4m_l^2}{p^2}} p^2 n_B(x, \omega) \\ \times \left(\eta_{\mu\nu} + \frac{p_\mu p_\nu}{p^2}\right) G_A^{\mu\alpha}(p) \mathcal{Q}_{\alpha\beta}(x, p) G_R^{\beta\nu}(p),$$

Y. Burnier, M. Laine, M. Vepsäläinen,, JHEP 02 (2009) 008.

L. D. McLerran, T. Toimela, Phys. Rev. D 31 (1985) 545.

H. A. Weldon, Phys. Rev. D 42 (1990) 2384–2387.

# Spin alignment

➤ Spectral function:

$$Q^{\mu\nu}(x, p) = \sum_{\lambda, \lambda'=0, \pm 1} v^\mu(\lambda, p) v^{*\nu}(\lambda', p) \tilde{Q}_{\lambda\lambda'}(x, p)$$

➤ Polarization vectors:

$$v^\mu(\lambda, p) = \left( \frac{\mathbf{p} \cdot \boldsymbol{\epsilon}_\lambda}{M}, \boldsymbol{\epsilon}_\lambda + \frac{\mathbf{p} \cdot \boldsymbol{\epsilon}_\lambda}{M(\omega + M)} \mathbf{p} \right)$$

Orthonormality conditions:

$$\eta_{\mu\nu} v^\mu(\lambda, p) v^{*\nu}(\lambda', p) = \delta_{\lambda\lambda'}$$

Completeness condition:

$$\sum_\lambda v^\mu(\lambda, p) v^{*\nu}(\lambda, p) = (\eta^{\mu\nu} + p^\mu p^\nu / p^2)$$

➤ Dilepton production rate:

$$n_\lambda(x, p) = -\frac{2g_M^2 l \bar{l}}{3(2\pi)^5} \left( 1 - \frac{2m_l^2}{p^2} \right)$$

$$\times \sqrt{1 + \frac{4m_l^2}{p^2}} \frac{p^2 n_B(x, \omega) \tilde{Q}_{\lambda\lambda}(x, p)}{(p^2 + m_V^2)^2 + m_V^2 \Gamma^2}.$$

➤ Spin alignment:

$$\rho_{00}(x, \mathbf{p}) \equiv \frac{\int d\omega n_0(x, p)}{\sum_{\lambda=0, \pm 1} \int d\omega n_\lambda(x, p)}$$

Spin space

# Correlation function

- AdS/CFT dictionary:

$$Z_{\text{QFT}}[A_\mu^{(0)}] = Z_{\text{gravity}}[A_\mu]$$

where

$$Z_{\text{QFT}}[A_\mu^{(0)}] = \left\langle \exp \left\{ \int_{\partial\mathcal{M}} J^\mu A_\mu^{(0)} d^4x \right\} \right\rangle,$$

$$Z_{\text{gravity}}[A_\mu] = \exp \{-S_{\text{bulk}}[A_\mu]\}$$

- Bulk geometry:  $0 \leq \zeta \leq \zeta_h$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu + g_{\zeta\zeta} d\zeta^2$$

- Two-point correlators

$$\langle [J^\mu, J^\nu] \rangle = D^{\mu\nu} \propto \frac{\delta^2 Z_{\text{QFT}}}{\delta A_\mu^{(0)} \delta A_\nu^{(0)}} = \frac{\delta^2 Z_{\text{gravity}}}{\delta A_\mu^{(0)} \delta A_\nu^{(0)}}$$

- Bulk mesonic action:

T. Sakai, S. Sugimoto, Prog. Theor. Phys. 113 (2005) 843882.

T. Sakai, S. Sugimoto, Prog. Theor. Phys. 114 (2005) 1083-1118.

A. Karch, E. Katz, D. T. Son, M. A. Stephanov, Phys. Rev. D 74(2006) 015005.

$$S_{\text{bulk}} = - \int d^4x d\zeta Q(\zeta) F_{MN} F^{MN}$$

- Equation of motion:  $\partial_M [Q(\zeta) F^{MN}] = 0$  Radial gauge condition:  $A_\zeta = 0$

$$\text{➤ Fourier transformation: } A_\mu(x, \zeta) = \int \frac{d^4p}{(2\pi)^4} e^{-ip\cdot x} A_\mu(p, \zeta)$$

- Electric fields:  $E_i(p, \zeta) \equiv -p_0 A_i(p, \zeta) + p_i A_0(p, \zeta)$

- Boundary condition:  $\lim_{\zeta \rightarrow 0} \tilde{E}_i(j, p, \zeta) = \delta_{ij}$

$$\text{➤ Equation of motion : } \partial_\zeta^2 E_i(p, \zeta) + \frac{[\partial_\zeta Q(\zeta) g^{\zeta\zeta}]}{Q(\zeta) g^{\zeta\zeta}} [\partial_\zeta E_i(p, \zeta)] - \frac{p^2}{g^{\zeta\zeta}} E_i(p, \zeta) \\ + (-p_0 g_{i\mu} + p_i g_{0\mu})(\partial_\zeta g^{\mu\nu}) [\partial_\zeta A_\nu(p, \zeta)] = 0,$$

# Correlation function

➤ Correlation function:

D. T. Son and A. O. Starinets, JHEP 09 (2002) 042

$$D^{\mu\nu}(p) = \lim_{\zeta \rightarrow 0} g^{\zeta\zeta} g^{\mu\alpha} Q(\zeta) \frac{\delta[\partial_\zeta A_\alpha(p, \zeta)]}{\delta A_\nu(p, \zeta)} \Big|_{A_\mu(p, 0)=0}$$

$$D^{\mu 0}(p) = -\lim_{\zeta \rightarrow 0} \frac{p_j}{p_0} \left( g^{\mu k} - \frac{p^\mu p^k}{p^2} \right) \frac{1}{\zeta} \partial_\zeta \tilde{E}_k(j, p, \zeta),$$

$$D^{\mu i}(p) = \lim_{\zeta \rightarrow 0} \left( g^{\mu k} - \frac{p^\mu p^k}{p^2} \right) \frac{1}{\zeta} \partial_\zeta \tilde{E}_k(i, p, \zeta).$$

➤ Ward identity:

$$p_\mu D^{\mu\nu} = p_\mu D^{\nu\mu} = 0$$

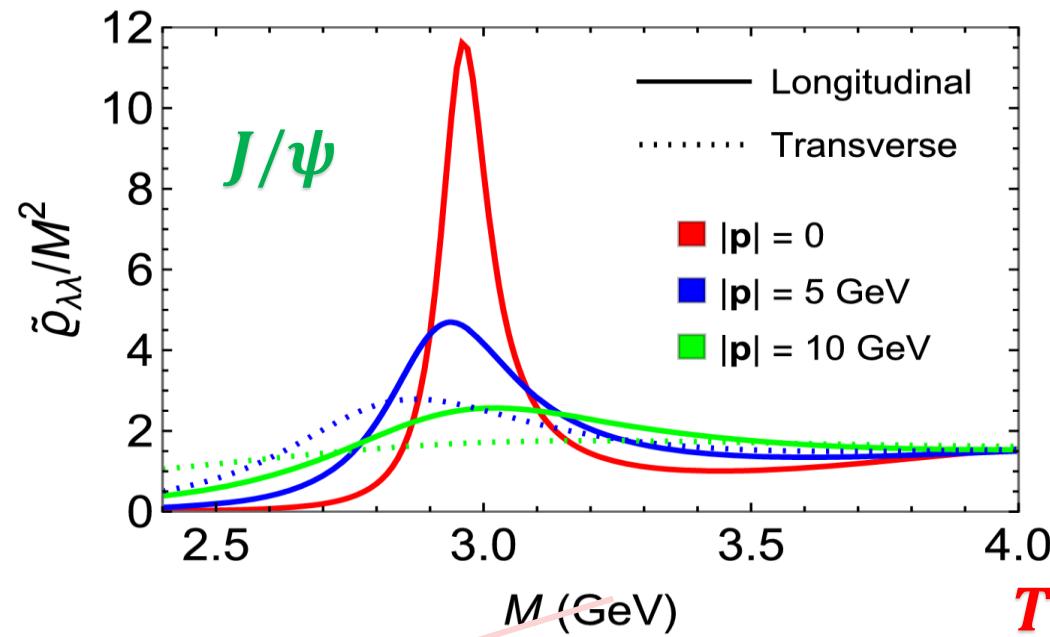
➤ Spectral function:

$$\tilde{\rho}_{\lambda\lambda}(p) = v_\mu^*(\lambda, p) v_\nu(\lambda, p) \text{Im}D^{\mu\nu}(p)$$

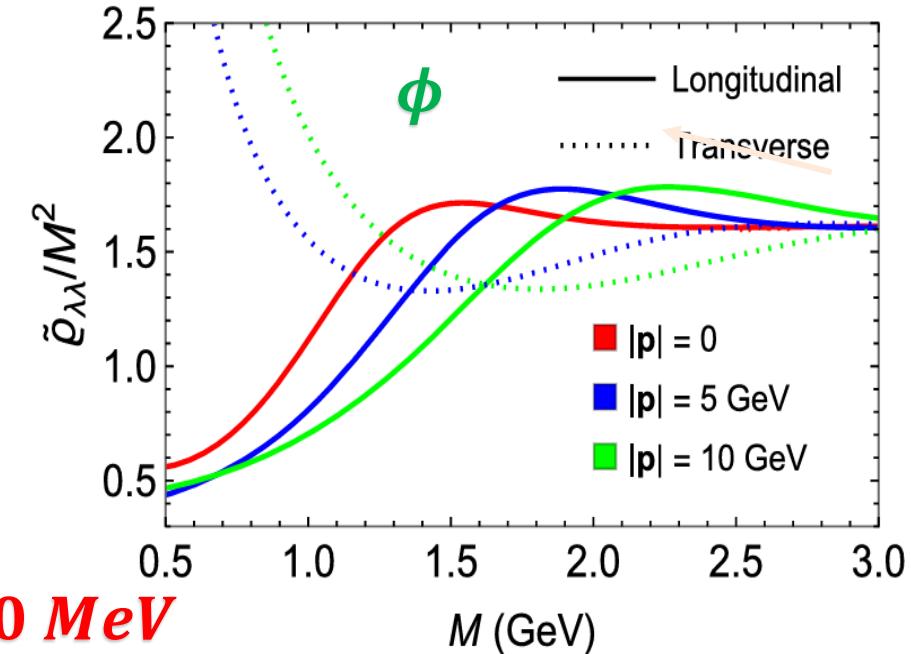
# Momentum induced spin alignment

Motion of  $J/\psi$  relative to a thermal background breaks symmetry between longitudinally polarized state and transversely polarized state

## Spectral function



$T = 150$  MeV

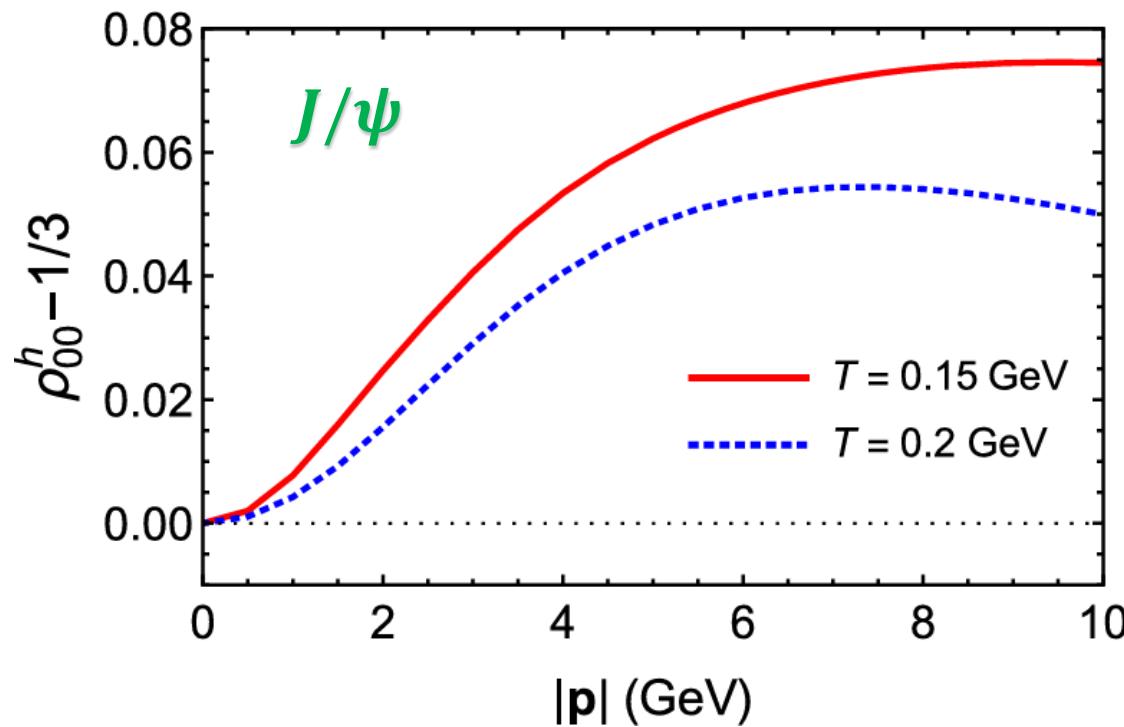


At zero momentum, spectral functions for all spin states are degenerate because of the rotation symmetry.

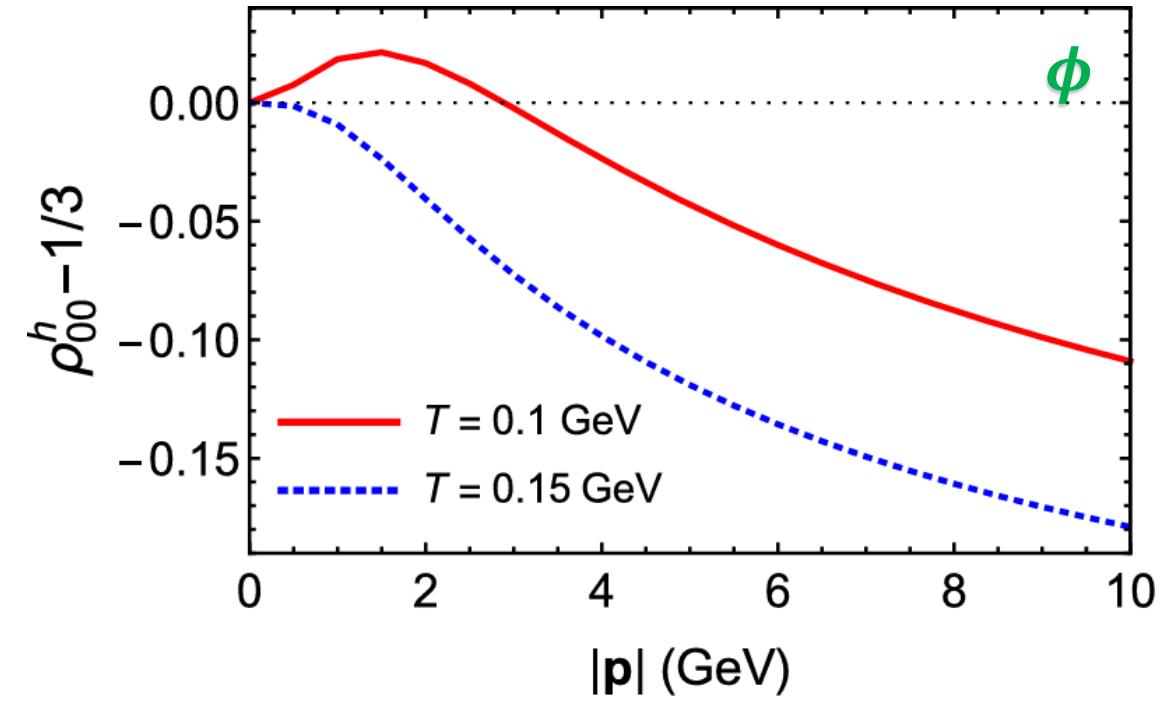
# Momentum induced spin alignment

## Spin alignment in the helicity frame

$$\lambda_\theta = \frac{1 - 3\rho_{00}}{1 + \rho_{00}},$$

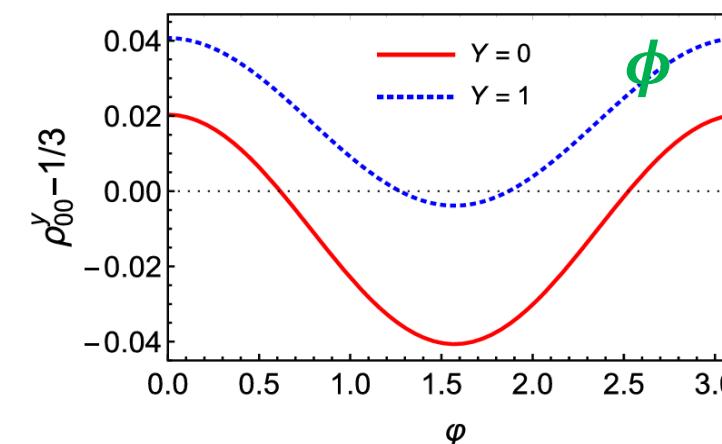
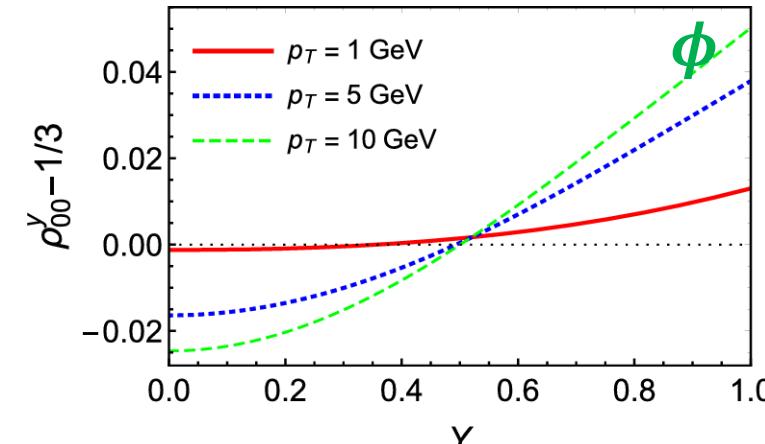
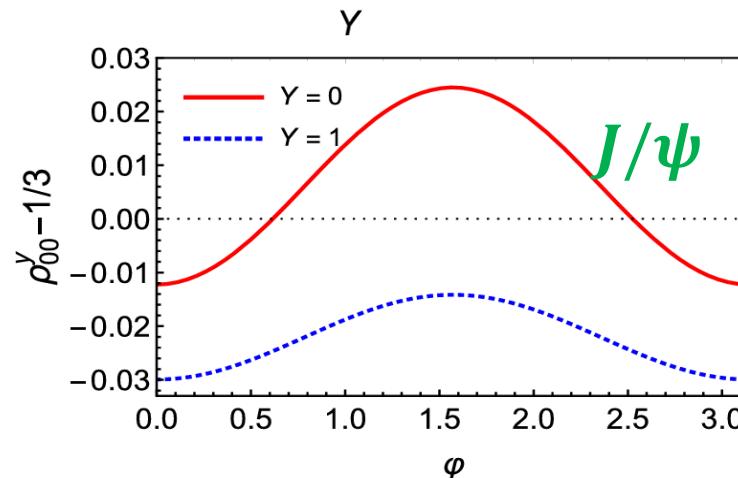
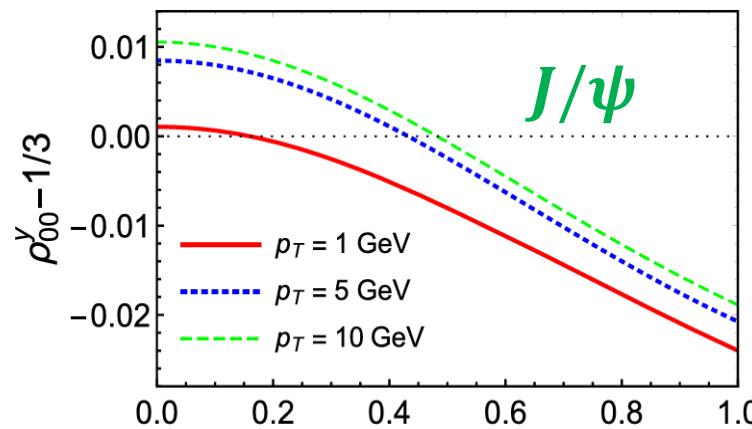


$$\lambda_\theta = \frac{3\rho_{00} - 1}{1 - \rho_{00}}$$



# Momentum induced spin alignment

**Global Spin alignment:** setting the spin quantization direction as y-direction,  $\epsilon_0 = \epsilon_0^y = (0, 1, 0)$   
i.e., the direction of global OAM in heavy-ion collisions.



$T = 150 \text{ MeV}$   
 $p_T = 2 \text{ GeV},$   
 $T = 150 \text{ MeV}$

# Magnetic field induced spin alignment

Yan-Qing Zhao ,Xin-Li Sheng ,Si-Wen Li DF Hou , JHEP08 (2024)070

➤ Action: Only considering  $J/\psi$  mesons.

$$S = \frac{1}{16\pi G_5} \int d^5x \sqrt{-g} \left( R + \frac{12}{L^2} \right) + \frac{1}{8\pi G_5} \int d^4x \sqrt{-\gamma} \left( K - \frac{3}{L} \right) + S_f,$$
$$S_f = -\frac{N_c}{16\pi^2} \int d^4x \int_0^{\zeta_h} d\zeta Q(\zeta) \text{Tr} \left( F_L^2 + F_R^2 \right),$$

➤ Holographic background metric:

$$ds^2 = \frac{L^2}{\zeta^2} \left( -f(\zeta) dt^2 + h_T(\zeta)(dx^2 + dy^2) + h_P(\zeta) dz^2 + \frac{d\zeta^2}{f(\zeta)} \right).$$
$$f(\zeta) = 1 - \frac{\zeta^4}{\zeta_h^4} + \frac{2}{3} \frac{e^2 B^2}{1.6^2} \zeta^4 \ln \frac{\zeta}{\zeta_h} + \mathcal{O}(e^4 B^4),$$
$$h_T(\zeta) = 1 - \frac{4}{3} \frac{e^2 B^2}{1.6^2} \zeta_h^4 \int_0^{\zeta/\zeta_h} \frac{y^3 \ln y}{1 - y^4} dy + \mathcal{O}(e^4 B^4),$$
$$h_P(\zeta) = 1 + \frac{8}{3} \frac{e^2 B^2}{1.6^2} \zeta_h^4 \int_0^{\zeta/\zeta_h} \frac{y^3 \ln y}{1 - y^4} dy + \mathcal{O}(e^4 B^4),$$

➤ Hawking temperature:

$$T = \frac{1}{4\pi} \left| \frac{4}{\zeta_h} - \frac{2}{3} \frac{e^2 B^2}{1.6^2} \zeta_h^3 \right|.$$

➤ Magnetic field constraint conditions

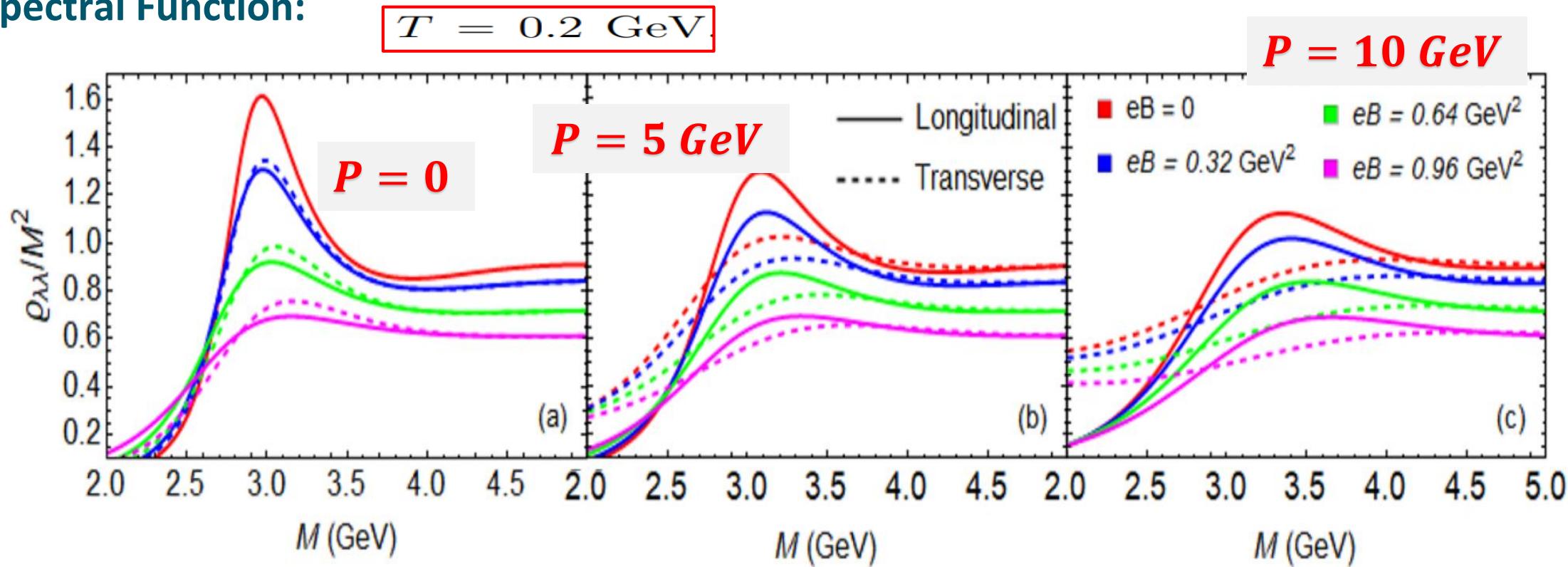
$$eB < \sqrt{\frac{3}{2}} \frac{1.6}{\zeta_h^2} \approx \frac{1.96}{\zeta_h^2}$$

# $J/\psi$ meson in magnetized plasma

➤ Magnetic field parallel to momentum

Yan-Qing Zhao ,Xin-Li Sheng ,Si-Wen Li DF Hou , JHEP08 (2024)070

➤ Spectral Function:

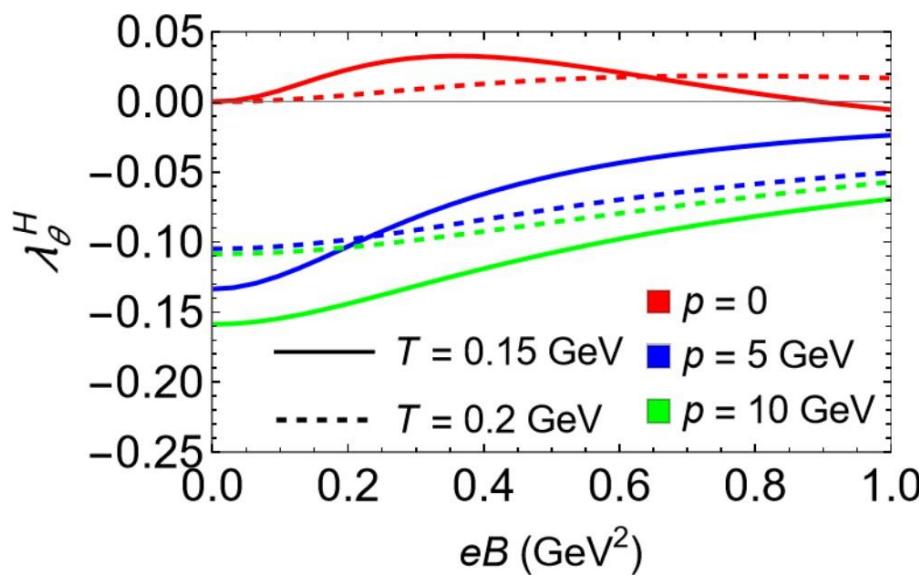


A nonzero magnetic field or a nonzero momentum will induce a separation between longitud. transversely polarized modes.

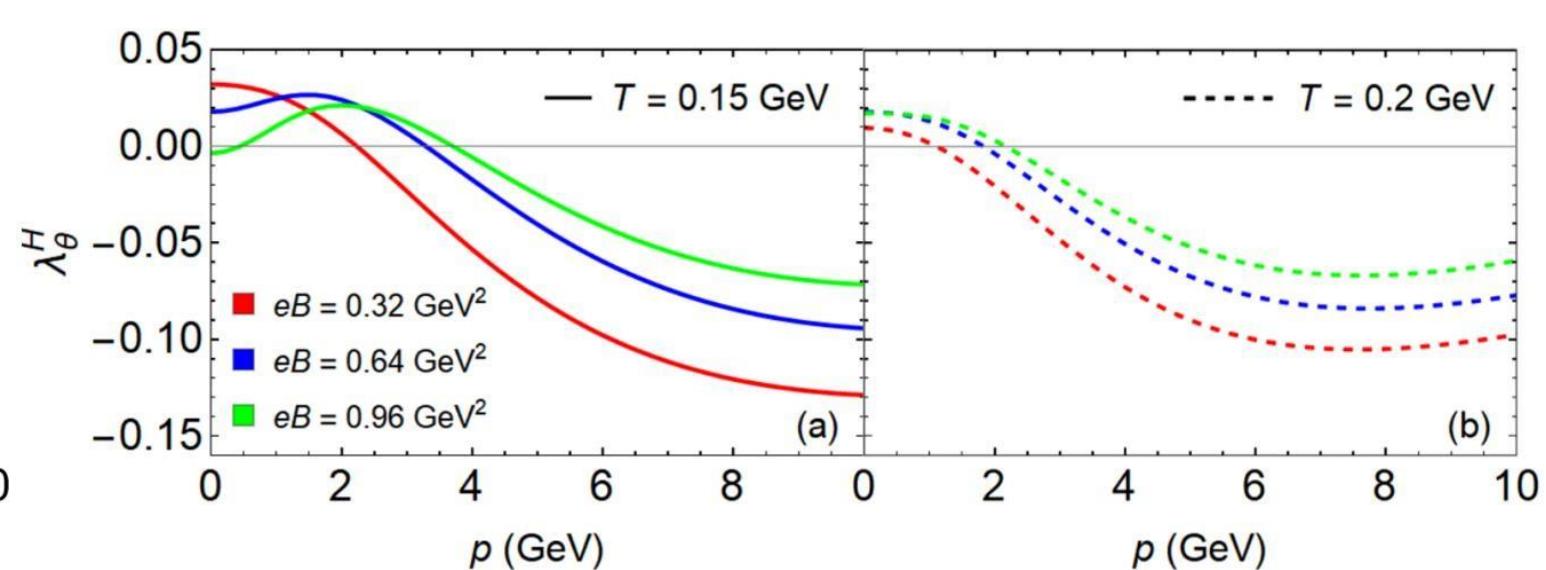
# Magnetic field induced spin alignment

➤ Magnetic field parallel to momentum  $\mathbf{p} = (0, 0, p)$

Magnetic Field:

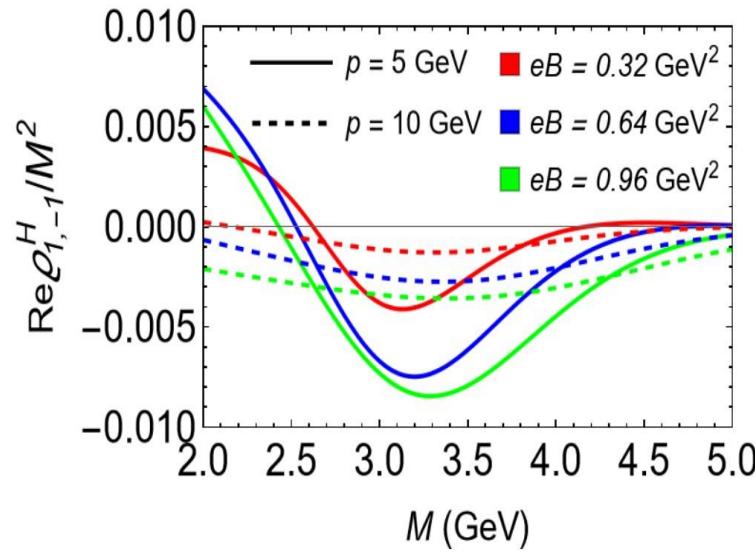


Momentum:

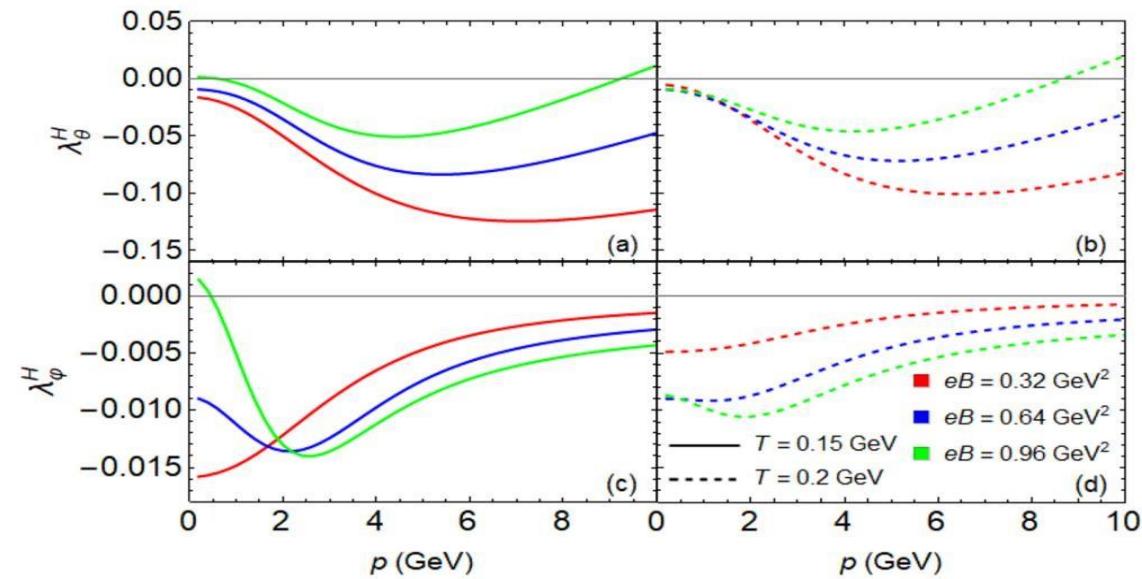
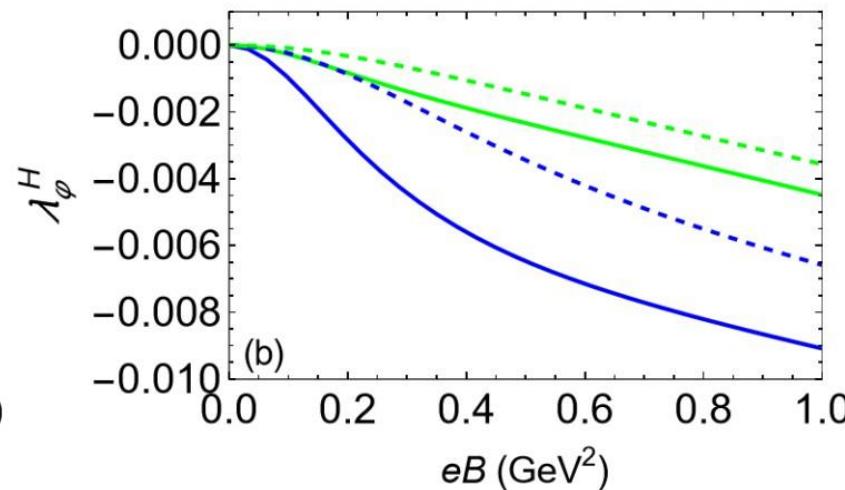
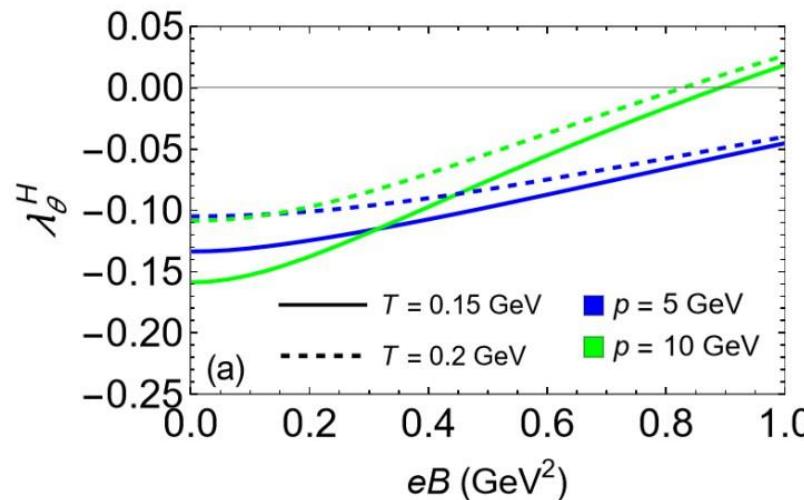


# ➤ Magnetic field perpendicular to momentum

Yan-Qing Zhao ,Xin-Li Sheng ,Si-Wen Li DF Hou , JHEP08 (2024)070



$p = (p, 0, 0)$



$$\lambda_\theta = \frac{1 - 3\rho_{00}}{1 + \rho_{00}},$$

$$\lambda_\varphi = \frac{2\text{Re}\rho_{1,-1}}{1 + \rho_{00}},$$

➤ Application to heavy-ion collisions(the magnetic field alongs the y- direction)

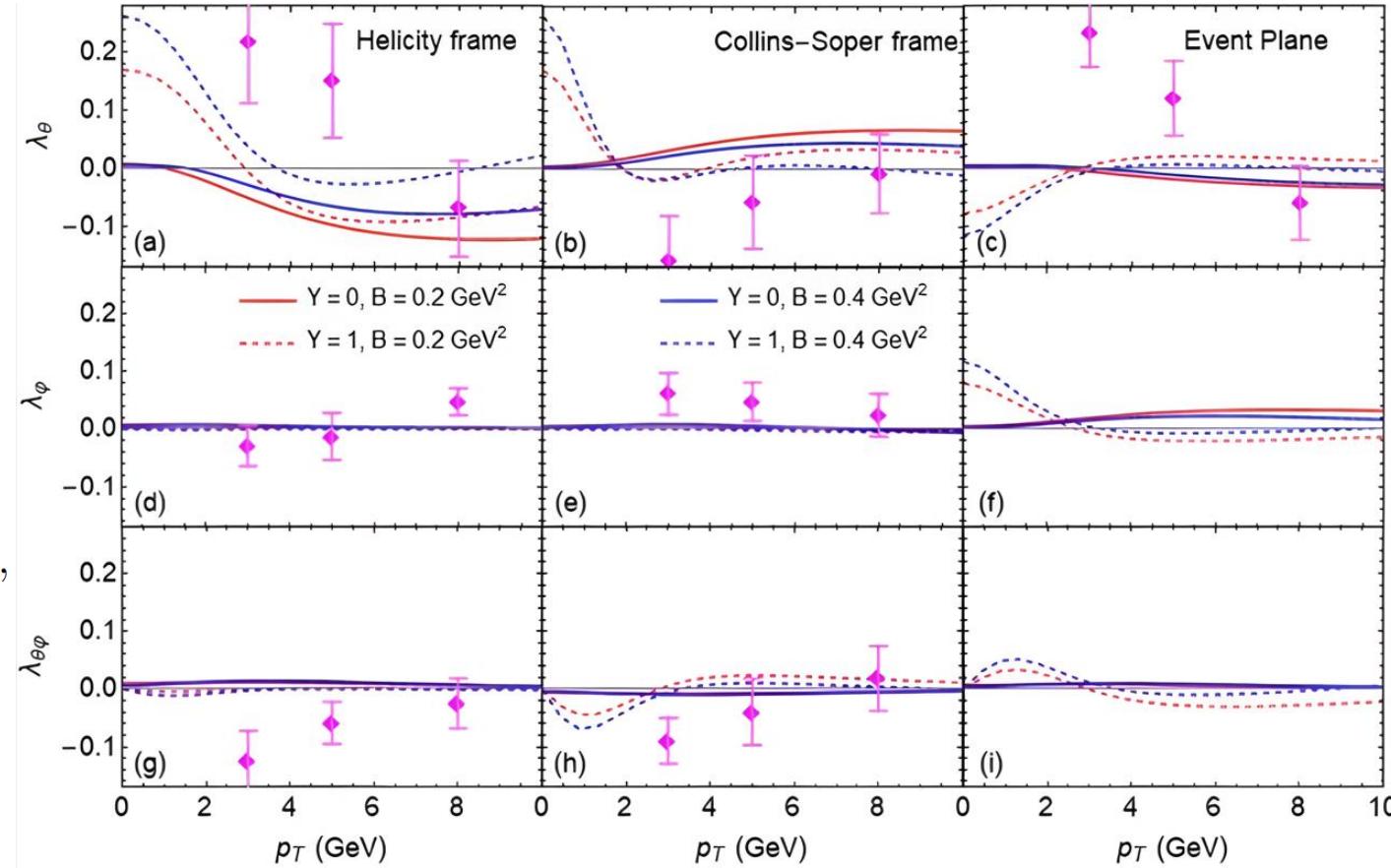
Yan-Qing Zhao ,Xin-Li Sheng ,Si-Wen Li DF Hou , JHEP08 (2024)070

$$T = 0.15 \text{ GeV}$$

$$\lambda_\theta = \frac{1 - 3\rho_{00}}{1 + \rho_{00}},$$

$$\lambda_\varphi = \frac{2\text{Re}\rho_{1,-1}}{1 + \rho_{00}},$$

$$\lambda_{\theta\varphi} = \frac{\sqrt{2}\text{Re}(\rho_{01} - \rho_{0,-1})}{1 + \rho_{00}},$$



ALICE , PRL 131  
(2023) 042303;  
ALICE , PLB 815  
(2021)136146

the Collins-Soper frame, we find that the  $\lambda_\theta$  parameter is dominant

when measuring along the event plane direction, all three parameters  $\lambda_\theta^{\text{EP}}$ ,  $\lambda_\varphi^{\text{EP}}$ , and  $\lambda_{\theta\varphi}^{\text{EP}}$  are of the same order.

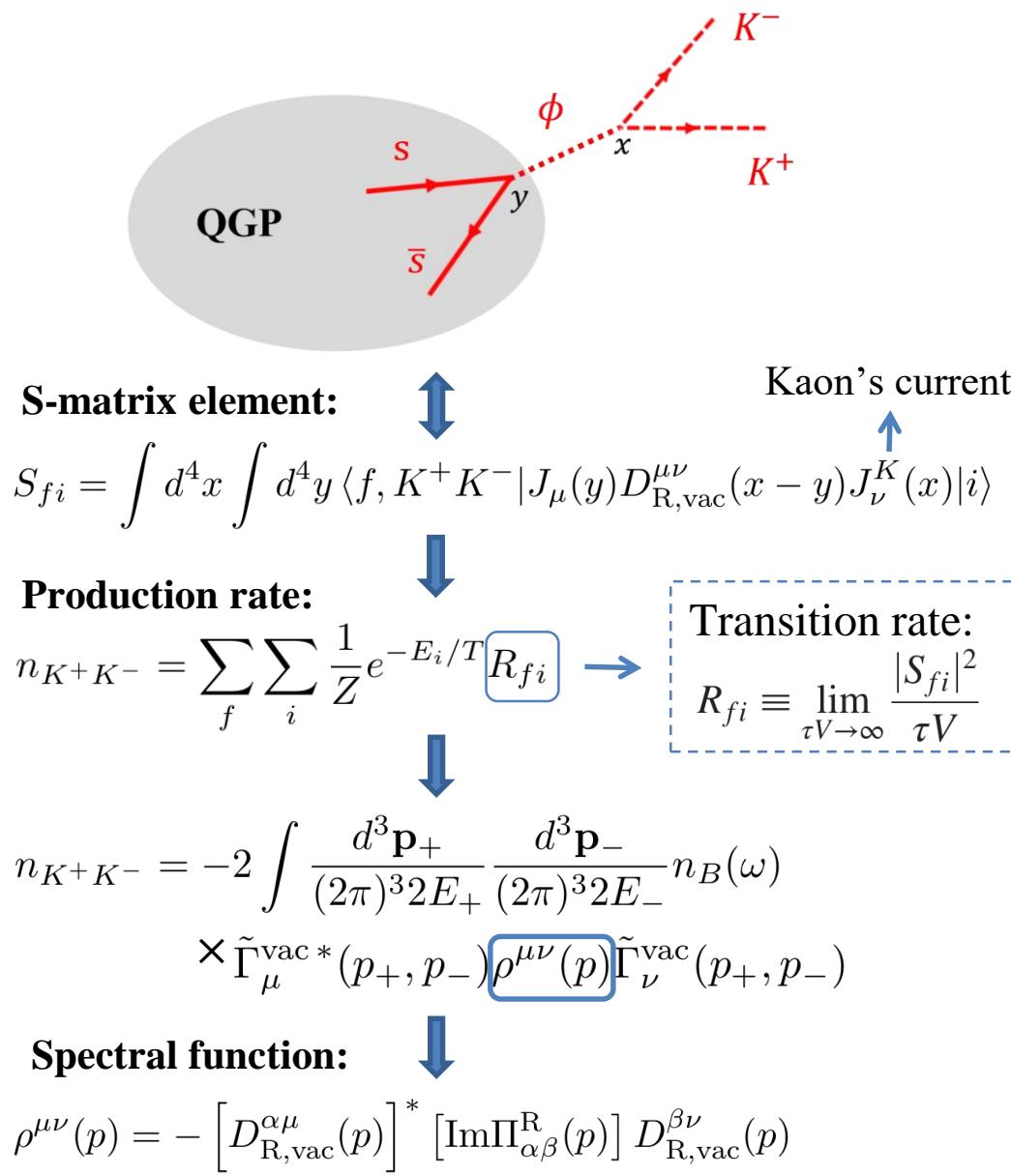
# Summary

- The spin alignment can be purely induced by the motion of vector meson relative to the background.
- The holographic prediction shows that  $J/\psi$  and  $\phi$  have opposite behaviours.  $J/\psi(\phi)$  are preferably to be transversely(longitudinally) polarized. This phenomenon is fully consistent with experiments' observation.
- The vector meson's spin alignment is a non-perturbative property in the strongly interacting matter.
- Magnetic field induces  $\lambda_{\theta}^H > 0$  when the meson's momentum  $p$  is very small, while  $\lambda_{\theta}^H < 0$  when  $p$  is large enough.
- Comparisons with experimental data show qualitative agreement for spin parameters  $\lambda_{\theta}$  and  $\lambda_{\varphi}$  in the helicity and Collins-Soper frames.
- We also find significant differences between our results for  $\lambda_{\theta\varphi}^H$  and  $\lambda_{\theta}^{EP}$  with experiments .

Thanks!



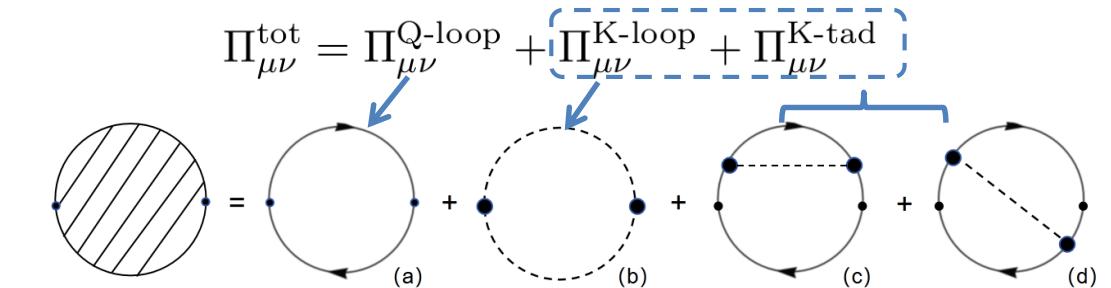
# $\phi \rightarrow K^+ K^-$ : Pair Production Rate and Spectral Function



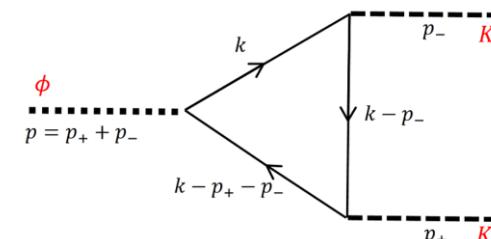
◆  $\phi$  meson's propagator and self-energy

$$D_{L/T}(p) = \frac{4G_V}{1 + 4G_V \Pi_{L/T}^{\text{tot}}(p)}$$

next-to-leading



◆  $\phi$  --- vertex



$$\tilde{\Gamma}^\mu(p_+, p_-) \approx (p_+^\mu - p_-^\mu) \Gamma_{\text{on}}(M_\phi, |\mathbf{p}|)$$

◆ Spectral function

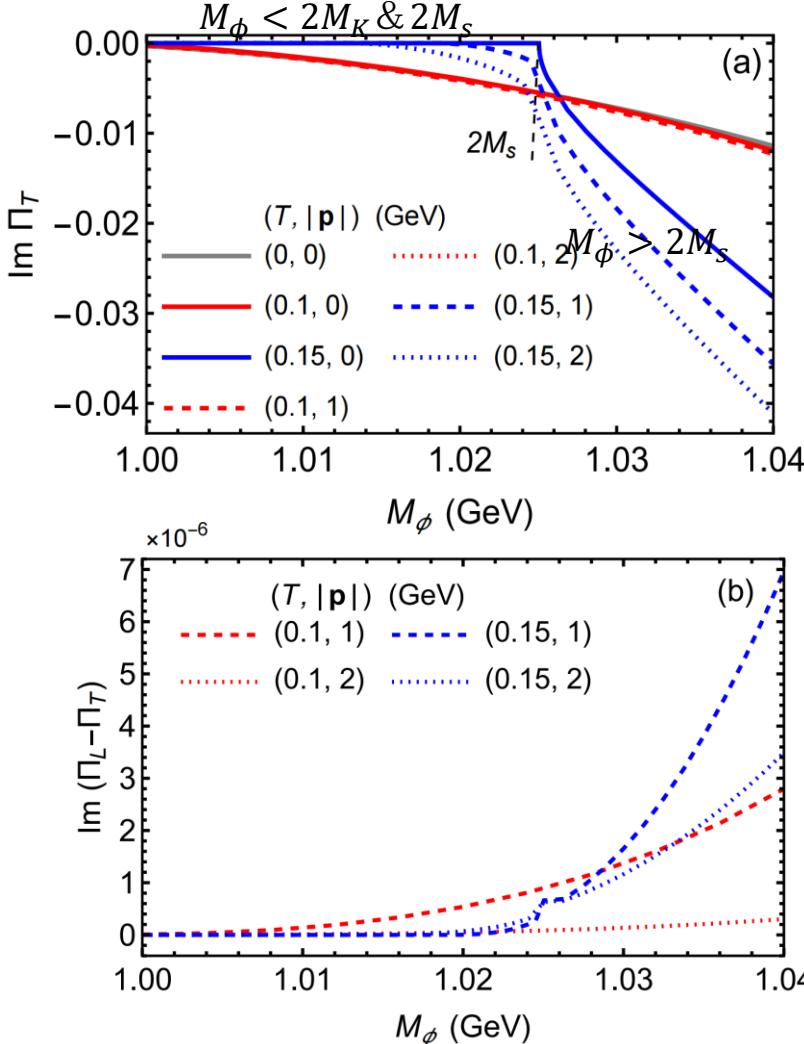
$$\rho_{L/T}(p) = - \left| \frac{4G_V}{1 + 4G_V \Pi_{\text{vac}}^{\text{tot}}(p)} \right|^2 \text{Im} \Pi_{L/T}^{\text{tot}}(p)$$

C. Gale , J. I. Kapusta , Nucl.Phys.B 357 (1991), 65-89, Nucl.Phys.B 357 (1991), 65-89.

X. N. Zhu, X. L. Sheng, Defu Hou, Phys. Rev. D 112, 056011

# Meson's Self-Energy and Mass Spectrum

$$\Pi_{\text{tot}}^{\mu\nu}(p) = -\epsilon_H^\mu \epsilon_H^\nu \Pi_L(p) + (g^{\mu\nu} - p^\mu p^\nu/p^2 + \epsilon_H^\mu \epsilon_H^\nu) \Pi_T(p)$$

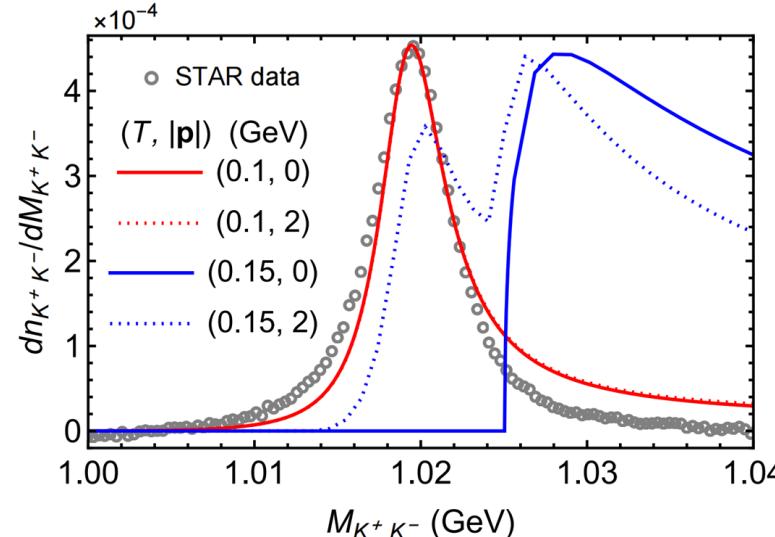
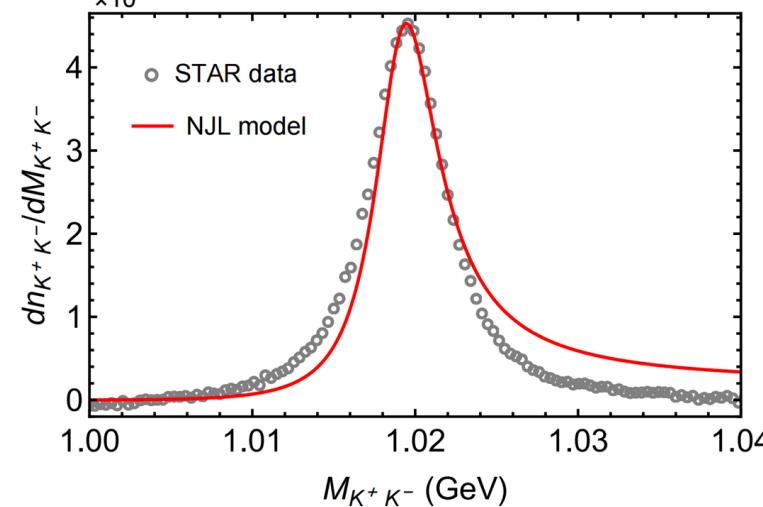


At  $T \leq 0.1$  GeV,  
 $M_\phi$  is always  
larger than  $2M_s$ ,  
quark loop  
dominates.

At  $T = 0.15$  GeV,  
the value turns to  
be nonzero as  
 $M_\phi$  increases  
over  $2M_s$ , kaon  
loop dominating.

The deviation  
between the two  
part is only the  
order of  $10^{-4}$   
compared with  
the above.

$$\frac{dn_{K^+ K^-}}{dM_\phi} \propto \frac{1}{M_\phi} (M_\phi^2 - 4M_{K,\text{vac}}^2)^{3/2} |\Gamma_{\text{on}}^{\text{vac}}(M_\phi)|^2 \rho(p)$$

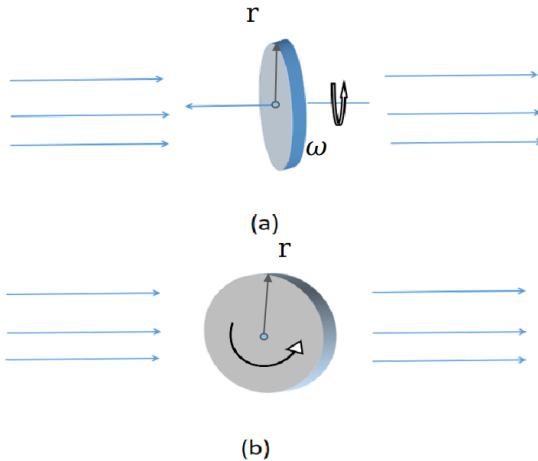


In vacuum, the  
 $K^+ K^-$  spectrum is  
well reproduced.  
The spectrum is  
underestimated  
below the peak  
and overestimated  
above it.

At  $T \leq 0.1$  GeV, the  
spectrum remains  
almost unchanged;  
at  $T = 0.15$  GeV,  
the peak shifts to  
higher mass and a  
double-peak  
structure appears at  
finite momentum.

# $\phi$ Meson's Global Spin Alignment

## Disc in the water flow



Motion leads to mesons in different spin states having different energy.

## Spin alignment

$$\rho_{00} = \frac{\bar{\rho}_{00}}{\sum_{\lambda=0,\pm 1} \bar{\rho}_{\lambda\lambda}}$$

The probability of mesons in the spin-0 states

## Diagonal spin density matrix of meson in bound state

$$\rho_\lambda \sim \frac{1}{\exp(M_{\phi,\lambda}/T) - 1}$$

$$\bar{M}_\phi = \frac{1}{3} \sum_{\lambda=0,\pm 1} M_{\phi,\lambda} \quad M_{\phi,0} = \bar{M}_\phi + \Delta, \quad M_{\phi,\pm 1} = \bar{M}_\phi - \frac{\Delta}{2}.$$

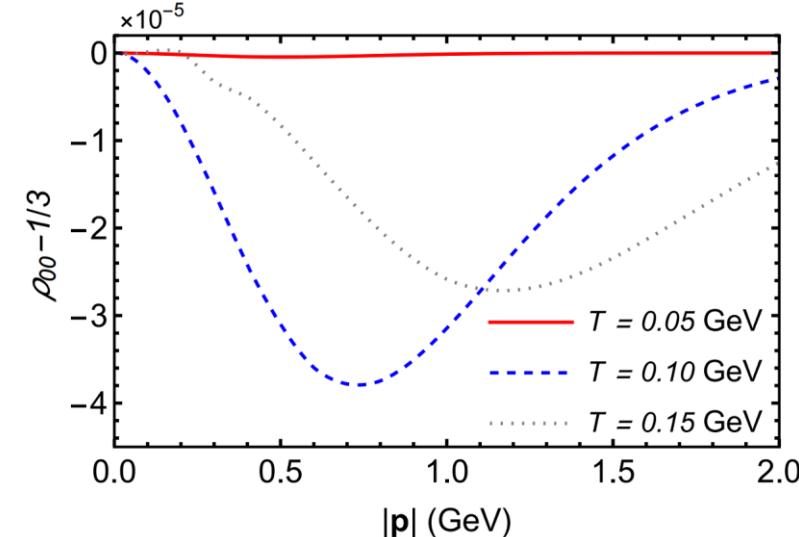
$$\rho_{00} \equiv \frac{f_0}{f_1 + f_0 + f_{-1}} \simeq \frac{1}{3} - \frac{\Delta}{3T} \left[ 1 + \frac{1}{\exp(\bar{M}_\phi/T) - 1} \right] + O\left[\left(\frac{\Delta}{T}\right)^2\right]$$

## Spin alignment of $\phi$ meson

$$\bar{\rho}_{00}(\mathbf{p}) - \frac{1}{3} = \frac{2 \int_{M_{\min}}^{M_{\max}} dM_\phi \delta f(p)}{3 \int_{M_{\min}}^{M_{\max}} dM_\phi [3f_T(p) + \delta f(p)]}$$

## Auxiliary function

$$\begin{pmatrix} f_T(p) \\ \delta f(p) \end{pmatrix} \equiv \frac{1}{\omega} n_B(\omega) (M_\phi^2 - M_{K,\text{vac}}^2)^{3/2} |\Gamma_{\text{on}}^{\text{vac}}(M_\phi)|^2 \begin{pmatrix} \rho_T(p) \\ \rho_L(p) - \rho_T(p) \end{pmatrix}$$



At  $T = 0.1$  GeV,  $\bar{\rho}_{00}$  reaches its minimum value at  $|p| = 0.72$  GeV, while at  $T = 0.15$  GeV the minimum value corresponds to a larger  $|p|$ .

The magnitude of the deviations is just  $10^{-5}$  at  $T = 0.1$  or 0.15 GeV.