



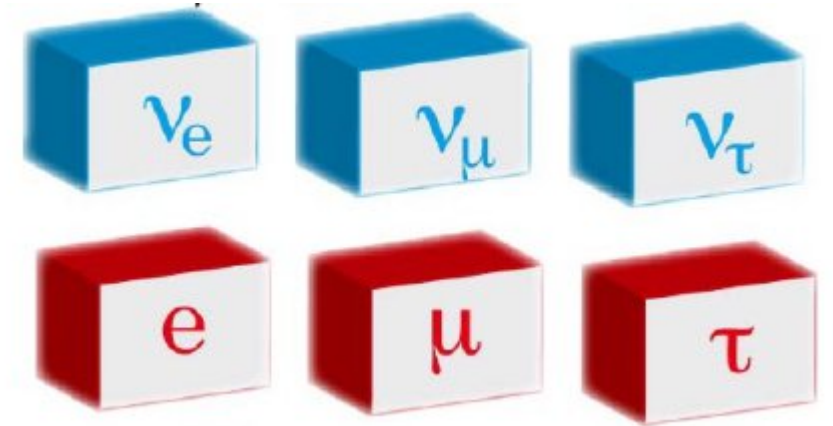
Lepton Flavor Universality Highlights from LHCb

Liang Sun
Wuhan University

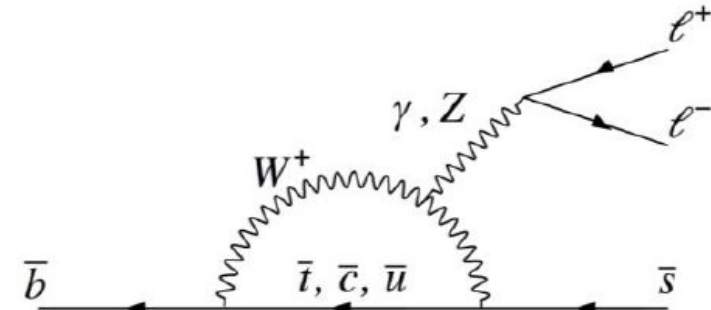
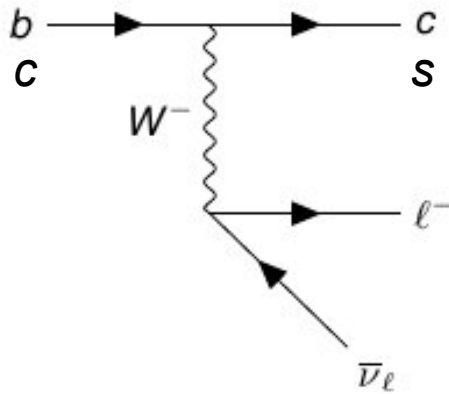
July/29/2024

Outline

- LFU tests in semi-leptonic B decays (Charged currents)
 - τ versus μ
 - For τ : muonic versus hadronic
- LFU tests in rare B decays (Neutral currents)
 - μ versus e
- Summary



Charged currents versus neutral currents

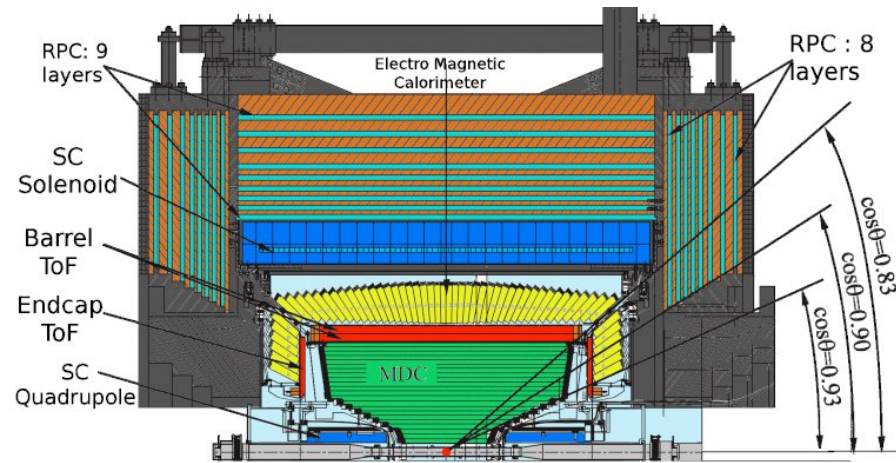


- One charged lepton in the final state
- Tree level
- Theoretically clean
- Abundance of data
- Experimentally challenging due to missing neutrino

- Dilepton final states
- Forbidden at tree level in SM
- Sensitive to NP
- Highly suppressed, statistically limited in experiments
- Mainly on e - μ asymmetry

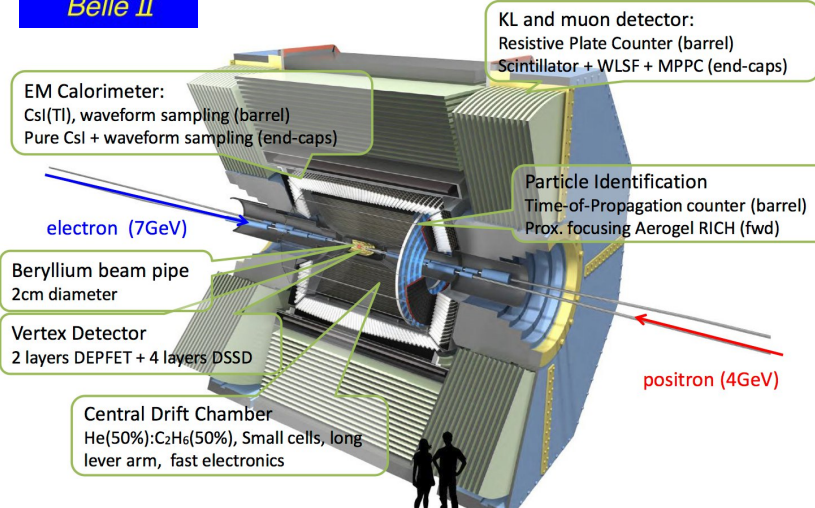
Major experiments for LFU tests

BES III

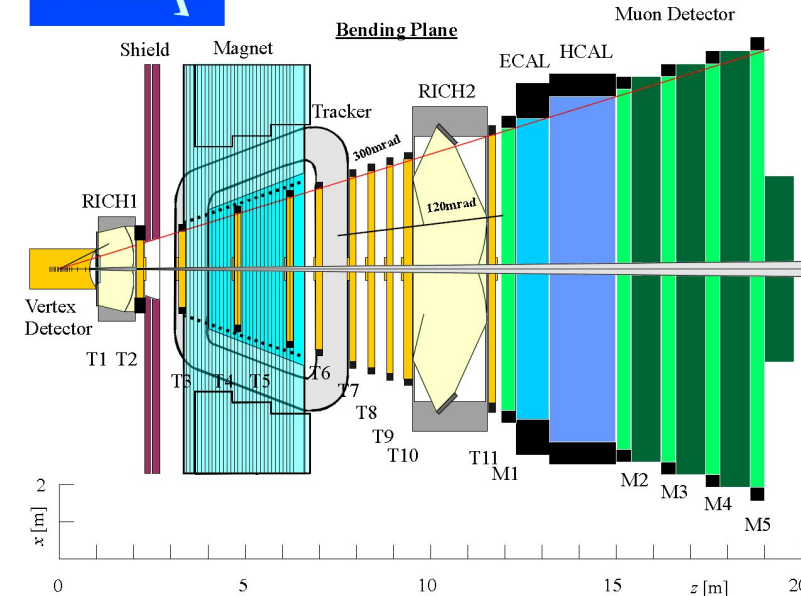


Belle II

Belle II Detector



LHCb



Wire tracker (no Si); TOF + dE/dx for PID; **CsI Ecal**; RPC muon

- ✓ double-tag method for bkg. suppr. & neutrino reco.
- ✓ extremely clean environment
- ✓ high efficiency detection on electrons/neutrals
- ✓ quantum coherence
- no CM boost, no T-dep analyses

✓ clean event environment

✓ high trigger efficiency

✓ high-efficiency detection of neutrals

✓ many high-statistics control samples

✓ time-dependent analysis

○ smaller cross-section than hadron colliders

✓ large production cross-section

✓ large boost: excellent time res

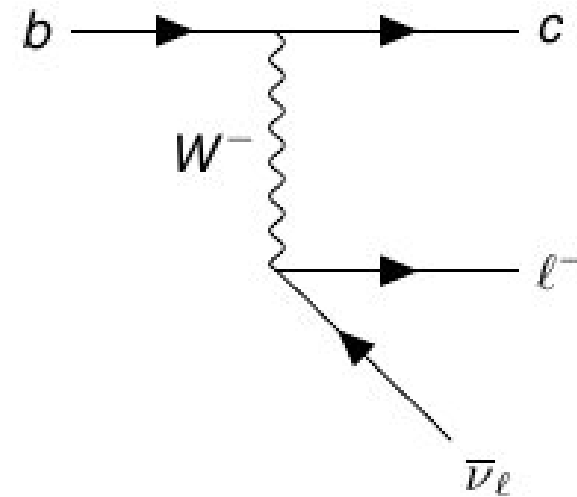
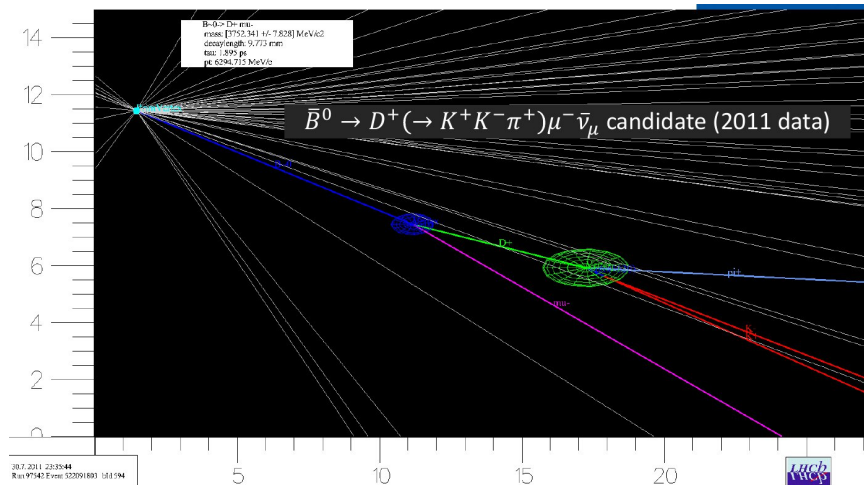
○ dedicated trigger required

○ hard to do neutrals and neutrinos

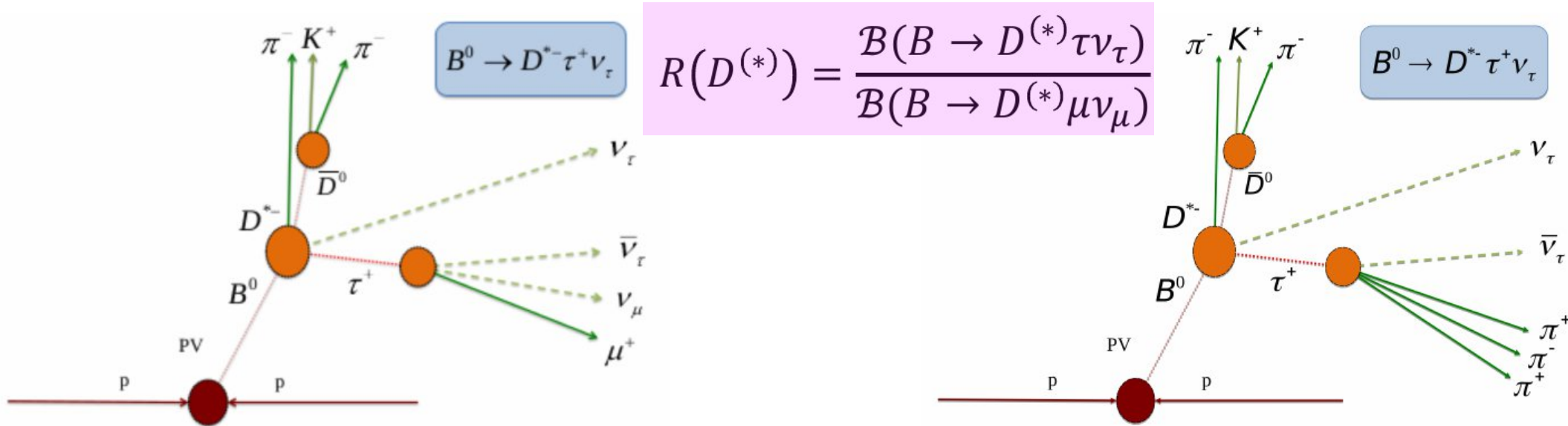
Experimental challenges for LFU tests

- Hadronic part: most of uncertainties cancel in the ratio at 1st order
- **Missing neutrinos for (semi-)leptonic processes:**
 - e^+e^- machines: inferred using beam condition & missing info
 - Hadron machines: more difficult, using info such as decay vertices, isolation info, kinematics of visible part, etc
- **Electron:** generally more difficult in experiments such as LHCb
- **Muon:** difficulties in μ/π separation for low-P tracks @ BESIII
- **Tau lepton:** short lifetime, decaying into final states with $\geq 1\nu$
 - e^+e^- machines: $\tau \rightarrow e\bar{\nu}\nu, \mu\bar{\nu}\nu, \pi(\pi^0)\nu$
 - **Hadron machines:** $\tau \rightarrow \mu\bar{\nu}\nu, \pi\pi\pi(\pi^0)\nu$

LFU tests in semi-leptonic B decays



R(D^(*)) measurements @ LHCb



Muonic $\tau \rightarrow \mu \bar{\nu} \nu$:

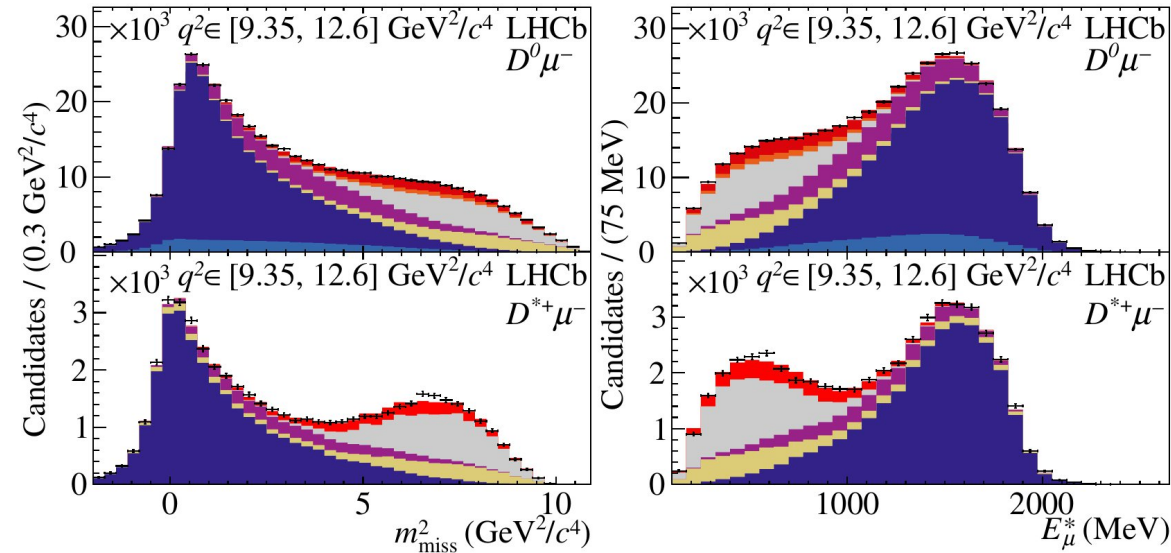
- Large statistics
- Study of τ and μ modes in one dataset
- Can measure R(D) and R(D^{*}) simultaneously

Hadronic $\tau \rightarrow \pi \pi \pi (\pi^0) \bar{\nu}$:

- Relatively high purity
- External BR measurement for normalization
- Decay vertex of τ well measured to suppress dominant backgrounds
- 3π dynamics important for the separation of B- \rightarrow D^{*}D^X backgrounds

R(D^(*)) measurements @ LHCb

Muonic $\tau \rightarrow \mu \bar{\nu} \nu$



- + Data (3 fb⁻¹)
- $B \rightarrow D^* \tau \nu$
- $B \rightarrow D \tau \nu$
- $B \rightarrow D^{(*)} D X$
- $B \rightarrow D^{**} \mu \nu$
- Comb. + misID
- $B \rightarrow D^0 \mu \nu$
- $B \rightarrow D^{*0} \mu \nu$
- $B \rightarrow D^{*+} \mu \nu$

Using Run1 3 fb⁻¹ data:

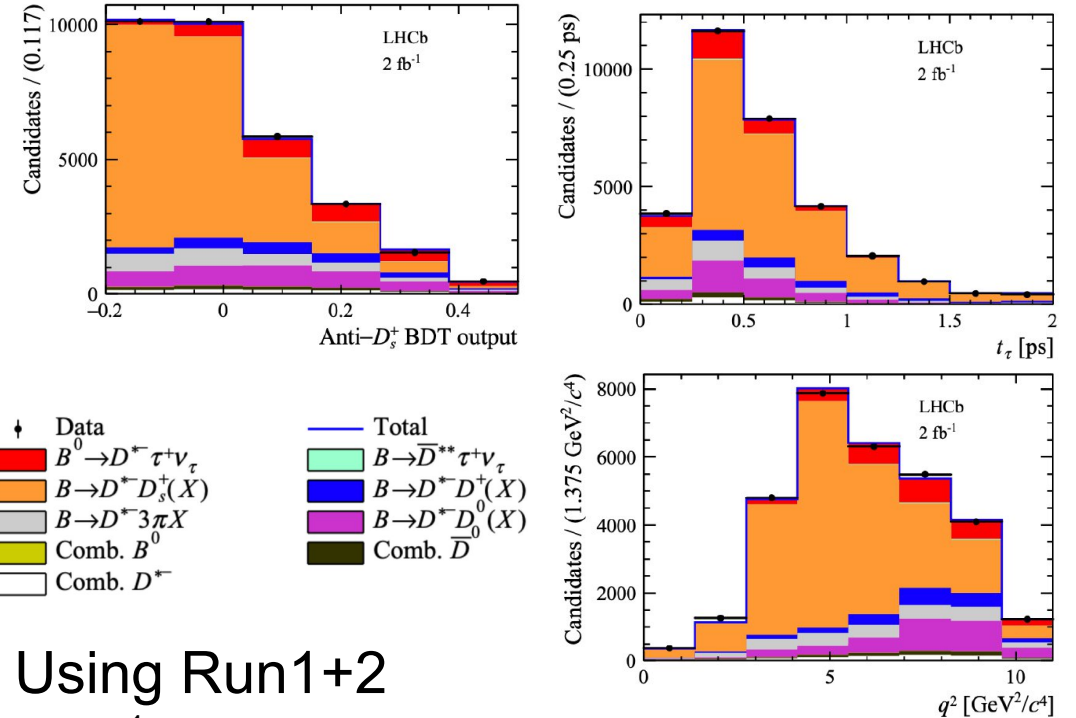
$$R(D^*) = 0.281 \pm 0.018 \text{ (stat.)} \pm 0.024 \text{ (syst.)}$$

$$R(D) = 0.441 \pm 0.060 \text{ (stat.)} \pm 0.066 \text{ (syst.)}$$

$$\rho = -0.43$$

1.9 σ deviation from SM

Hadronic $\tau \rightarrow \pi \pi \pi (\pi^0) \nu$



- + Data
- $B^0 \rightarrow D^{*+} \tau^+ \nu_\tau$
- $B \rightarrow D^{*+} D_s^+(X)$
- $B \rightarrow D^{*+} 3\pi X$
- Comb. B^0
- Comb. D^{*+}
- Total
- $B \rightarrow \bar{D}^{*+} \tau^+ \nu_\tau$
- $B \rightarrow D^{*+} D^+(X)$
- $B \rightarrow D^{*+} D^0(X)$
- Comb. \bar{D}

Using Run1+2

5 fb⁻¹ data:

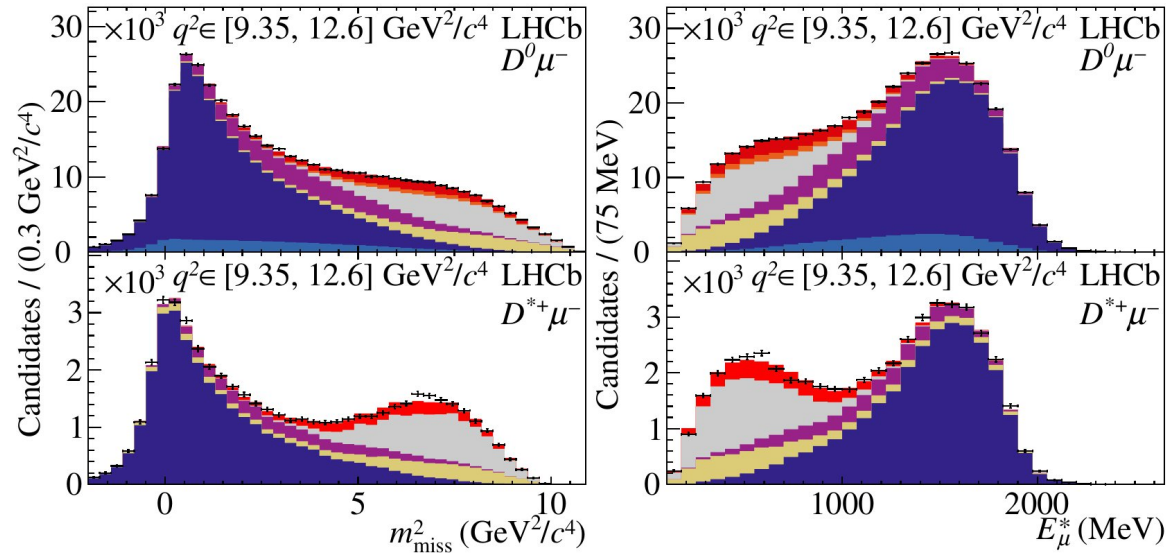
Agreement w/ SM < 1 σ

$$R(D^*) = 0.257 \pm 0.012 \text{ (stat)} \pm 0.014 \text{ (syst)} \pm 0.012 \text{ (ext)}$$

Considerable systematic uncertainty due to limited sample sizes

R(D^(*)) measurements @ LHCb

Muonic $\tau \rightarrow \mu \bar{\nu} \nu$



- + Data (3 fb⁻¹)
- $B \rightarrow D^* \tau \nu$
- $B \rightarrow D \tau \nu$
- $B \rightarrow D^{(*)} D X$
- $B \rightarrow D^{**} \mu \nu$
- Comb. + misID
- $B \rightarrow D^0 \mu \nu$
- $B \rightarrow D^{*0} \mu \nu$
- $B \rightarrow D^{*+} \mu \nu$

Using Run1 3 fb⁻¹ data:

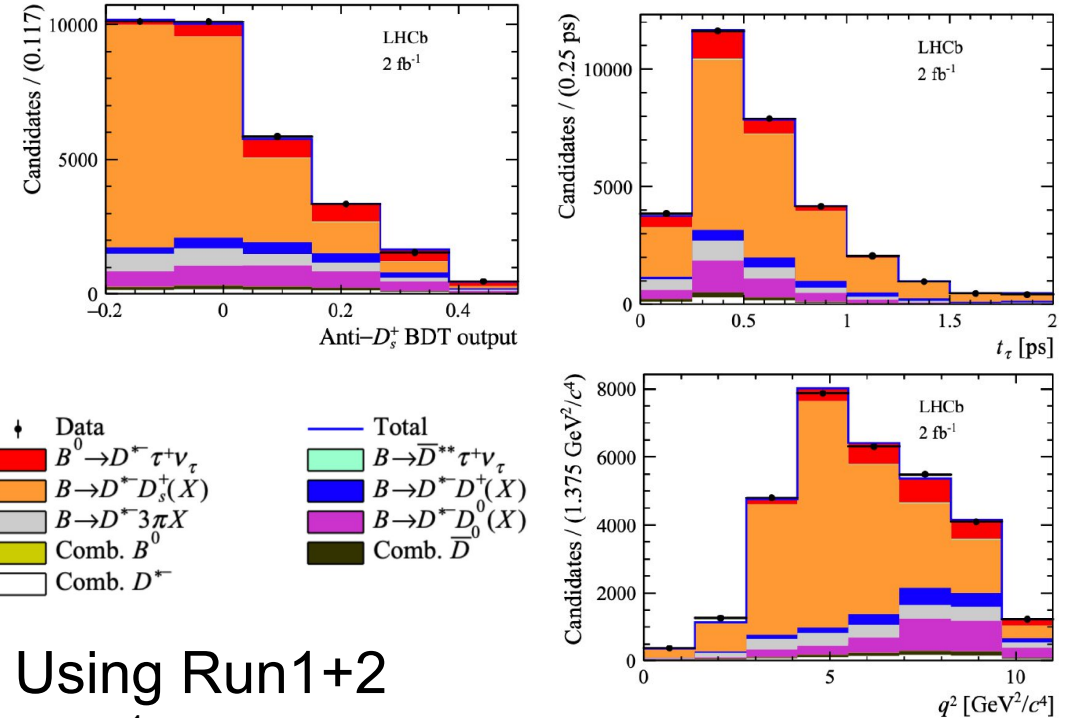
$$R(D^*) = 0.281 \pm 0.018 \text{ (stat.)} \pm 0.024 \text{ (syst.)}$$

$$R(D) = 0.441 \pm 0.060 \text{ (stat.)} \pm 0.066 \text{ (syst.)}$$

$$\rho = -0.43$$

1.9 σ deviation from SM

Hadronic $\tau \rightarrow \pi \pi \pi (\pi^0) \nu$



- + Data
- $B^0 \rightarrow D^* \tau^+ \nu_\tau$
- $B \rightarrow D^* D_s^+(X)$
- $B \rightarrow D^* 3\pi X$
- Comb. B^0
- Comb. D^{*-}
- Total
- $B \rightarrow \bar{D}^{**} \tau^+ \nu_\tau$
- $B \rightarrow D^* D^+(X)$
- $B \rightarrow D^* D^0(X)$
- Comb. \bar{D}

Using Run1+2

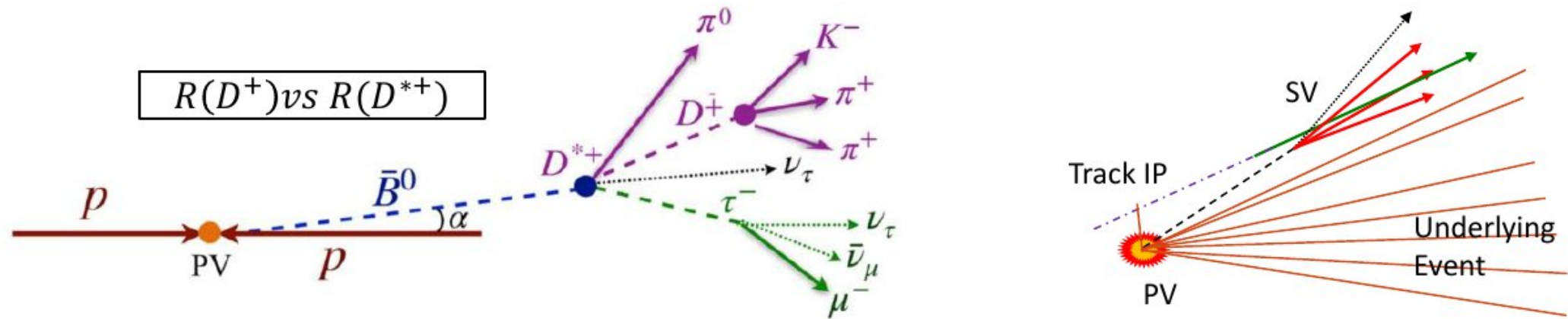
5 fb⁻¹ data:

Agreement w/ SM <1 σ

$$R(D^{*-})_{\text{comb}} = 0.267 \pm 0.012 \text{ (stat)} \pm 0.015 \text{ (syst)} \pm 0.013 \text{ (ext)}$$

Details can be found in [Bo Fang's PhD thesis](#)

New results: $R(D^{(*)+})$ with $\tau \rightarrow \mu\nu\bar{\nu}$



- Using 2016 (2 fb^{-1}) data
- First measurement with dedicated $B \rightarrow H_c \tau (\rightarrow \mu\nu\nu) \nu$ trigger line for Run2
- 3D template fit to single $D^+ (\rightarrow K\pi\pi) \mu^-$ sample
 - π^0 from $D^{*+} \rightarrow D^+ \pi^0$ not reconstructed
 - Fast “track-only” simulation to boost statistics for templates
 - BDT-based isolation tools to separate $\bar{B}^0 \rightarrow D^+ \tau \nu$ & $\bar{B}^0 \rightarrow D^{*+} \tau \nu$, and suppress backgrounds

New results: $R(D^{(*)+})$ with $\tau \rightarrow \mu\nu\bar{\nu}$

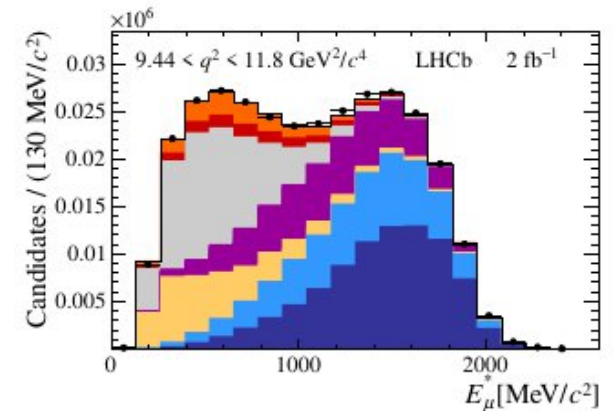
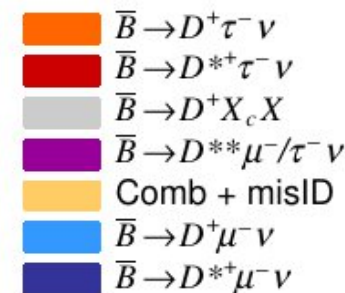
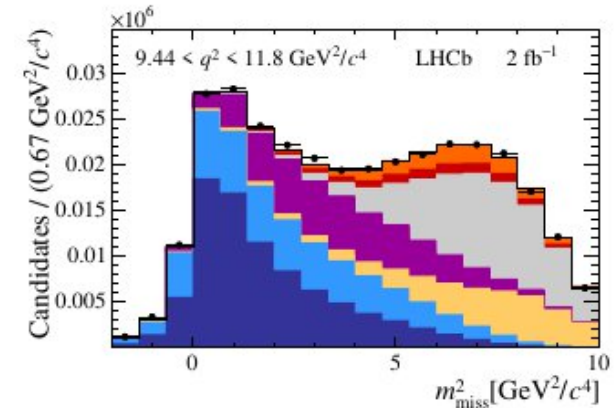
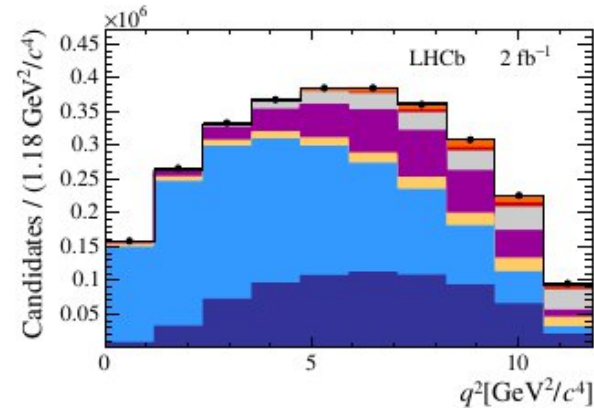
- Using 2016 (2 fb^{-1}) data
- First measurement yields:

$$R(D^+) = 0.249 \pm 0.043 \pm 0.047,$$

$$R(D^{*+}) = 0.402 \pm 0.081 \pm 0.085,$$

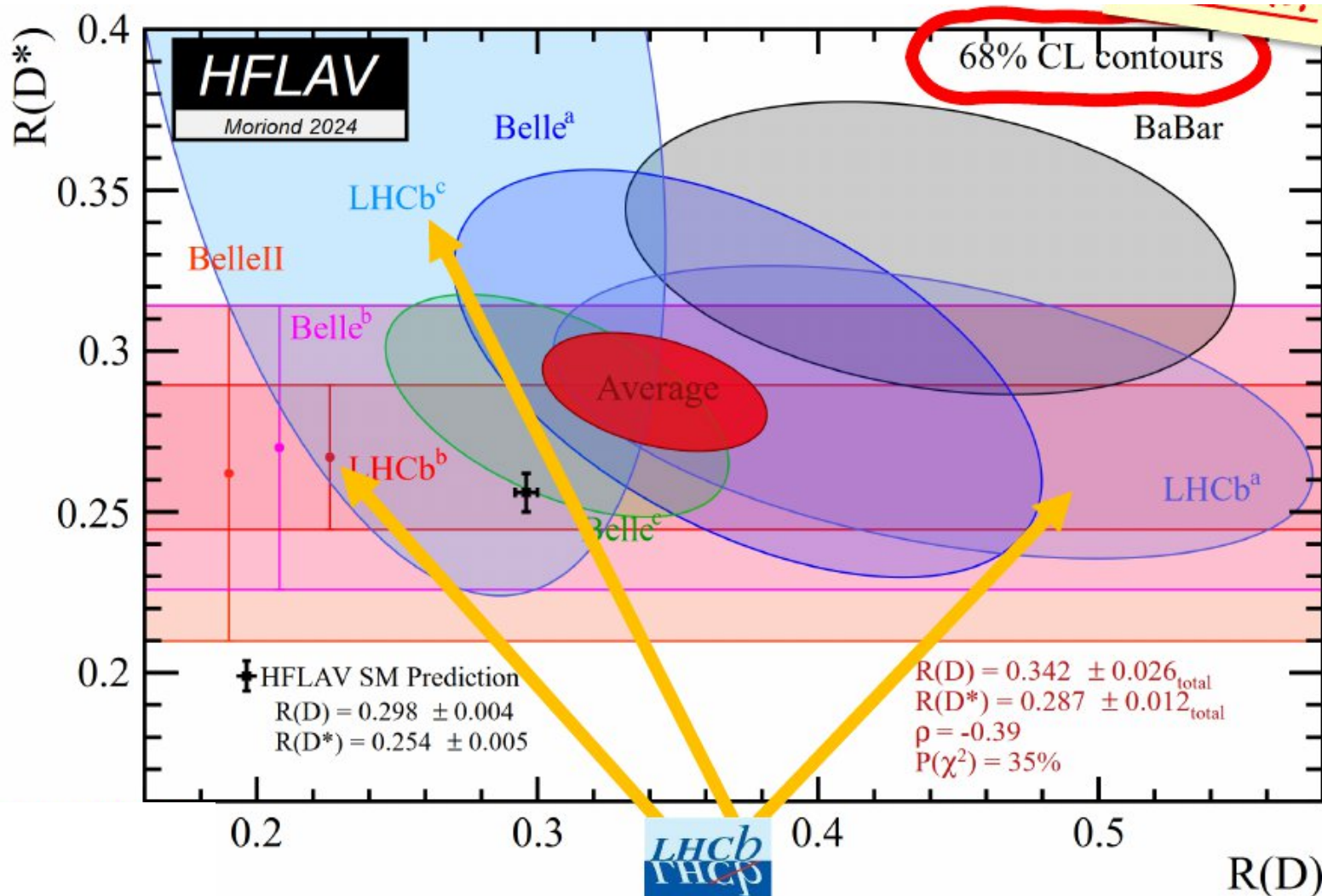
correlation coefficient = -0.39

- Dominating systematic sources: FFs, double-charm background shape and misID modelling
- MC sample size no longer a major limiting factor

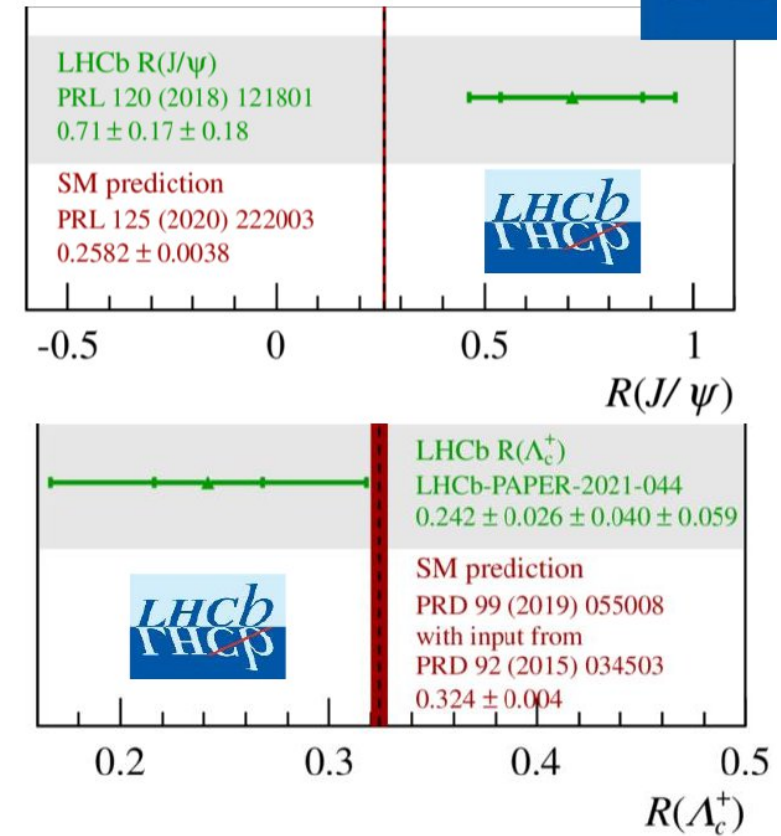


- (a) PRL 131, 111802 (2023)
- (b) PRD 108, 012018 (2023); E
- (c) arXiv:2406.03387

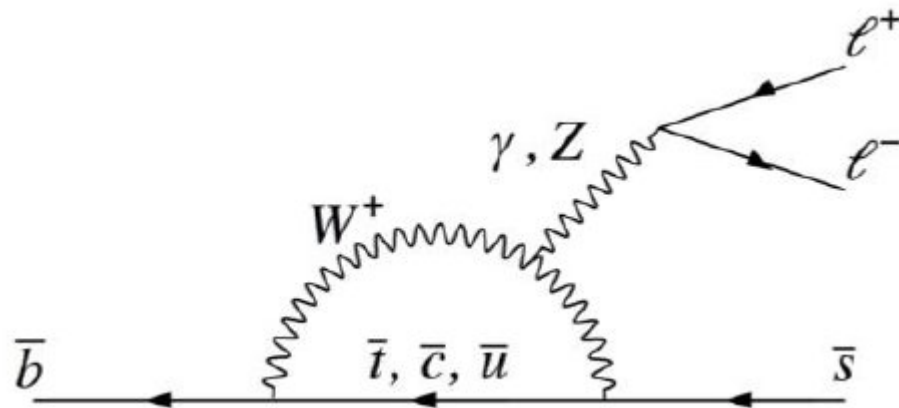
Current R(X) status



Deviation from SM for combined $R(D) - R(D^*) \sim 3.3\sigma$



LFU tests in rare b-hadron decays



LFU tests in $b \rightarrow s \ell^+ \ell^-$ decays

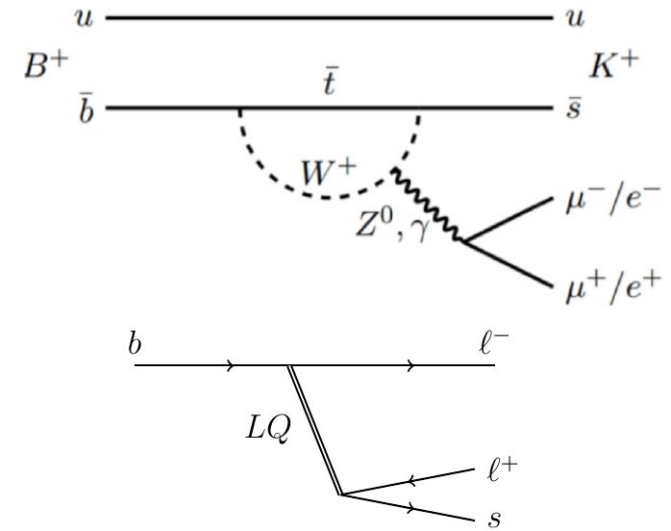
- $b \rightarrow s \ell^+ \ell^-$ FCNC processes highly suppressed in SM
- NP may manifest in the loops and cause LFU violation

- LFU tests use

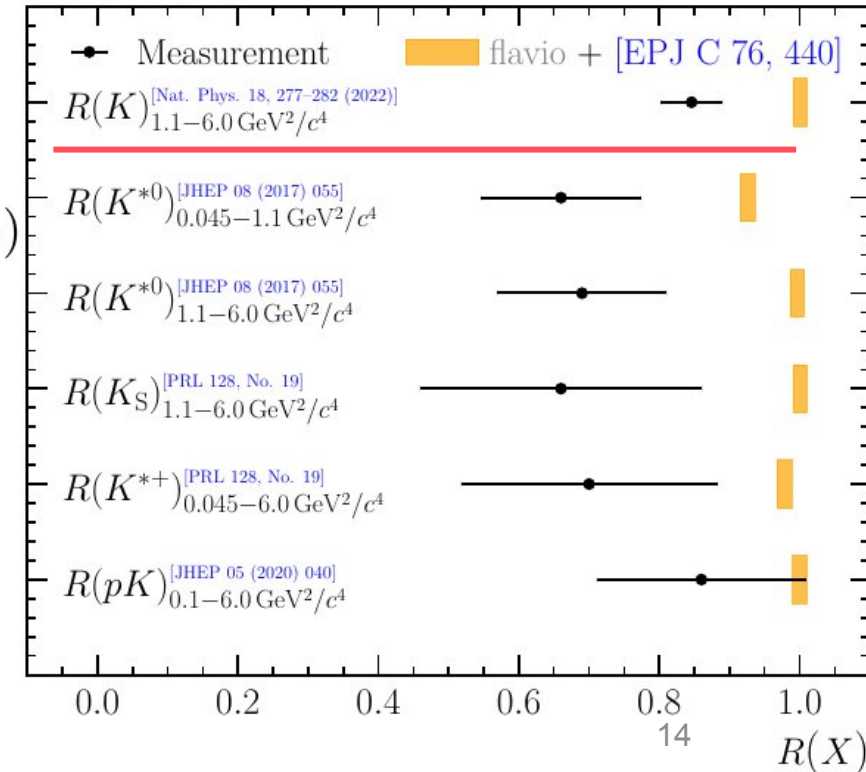
$$q^2 = m(\ell^+ \ell^-)^2$$

$$R_X = \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B_q \rightarrow X_s \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B_q \rightarrow X_s e^+ e^-)}{dq^2} dq^2} = 1 \pm \mathcal{O}(1\%)$$

- Cancellation of hadronic uncertainties in the ratio => precise prediction of R_X



Status Late 2022

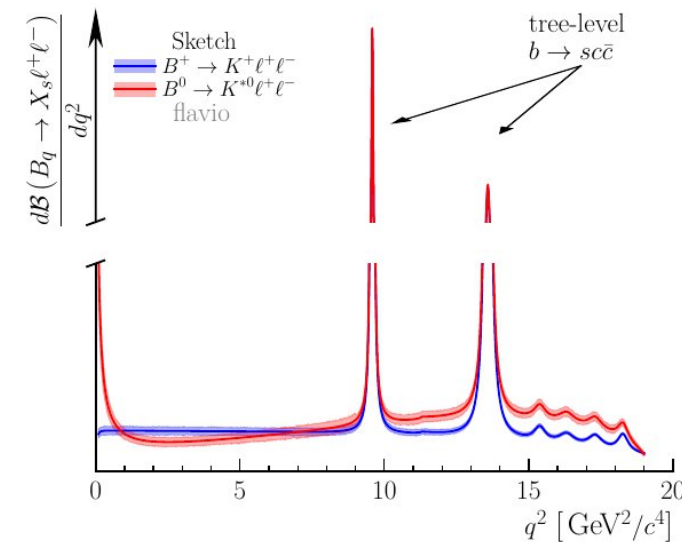
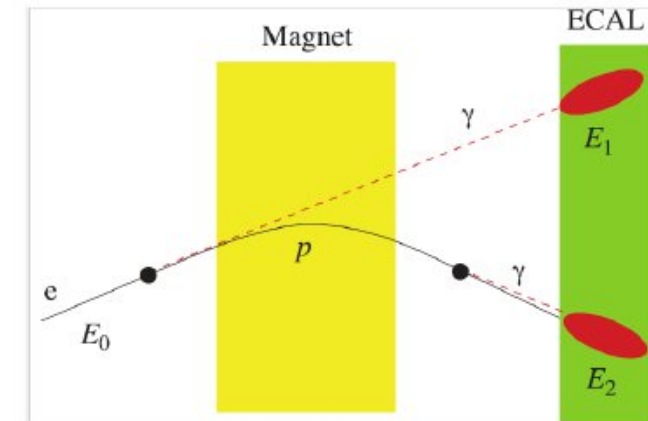


R(K^(*)) measurements @ LHCb

- Electrons & muons behave quite differently in the LHCb detector
- Lower efficiencies & worse resolution (energy loss) for electrons
- Double-ratio of branching fractions:

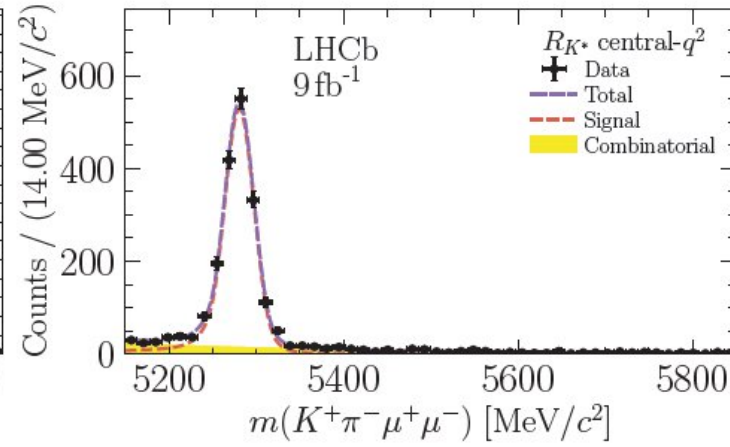
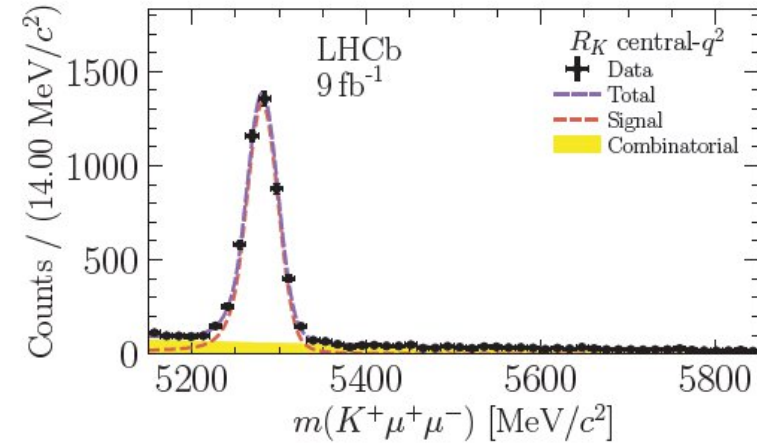
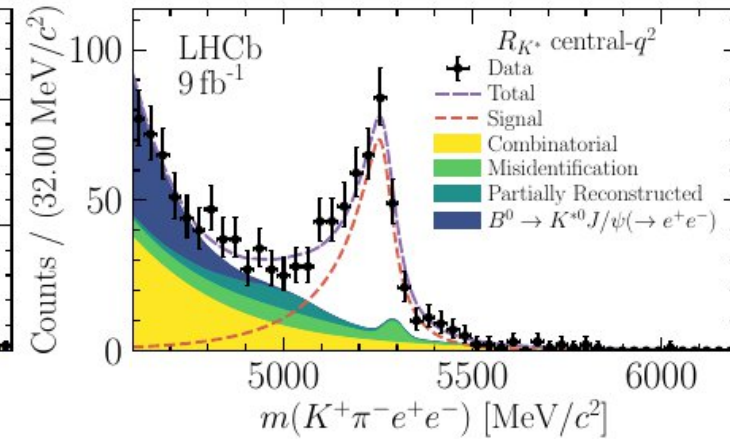
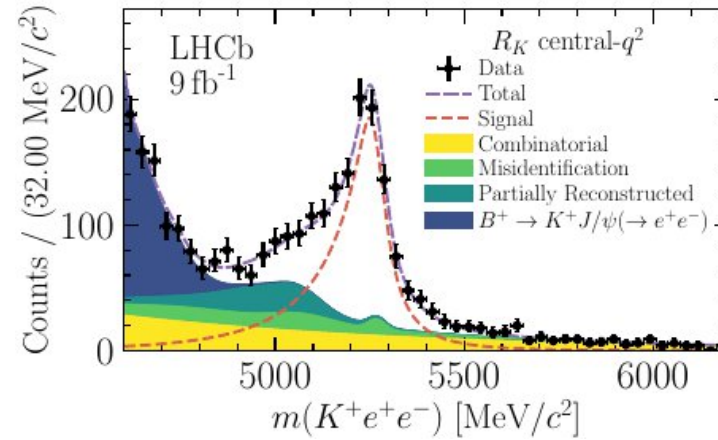
$$R_X = \frac{\mathcal{B}(B_q \rightarrow X_s \mu^+ \mu^-)}{\mathcal{B}(B_q \rightarrow X_s J/\psi(\mu^+ \mu^-))} \cdot \frac{\mathcal{B}(B_q \rightarrow X_s J/\psi(e^+ e^-))}{\mathcal{B}(B_q \rightarrow X_s e^+ e^-)}$$

- Most of systematic uncertainties cancel to 1st order
- LFU in $J/\psi \rightarrow \ell^+ \ell^-$ well established at ‰ level [BESIII, PRD 88, 032007 (2013)]
- Validated in $\psi(2S)$ mode



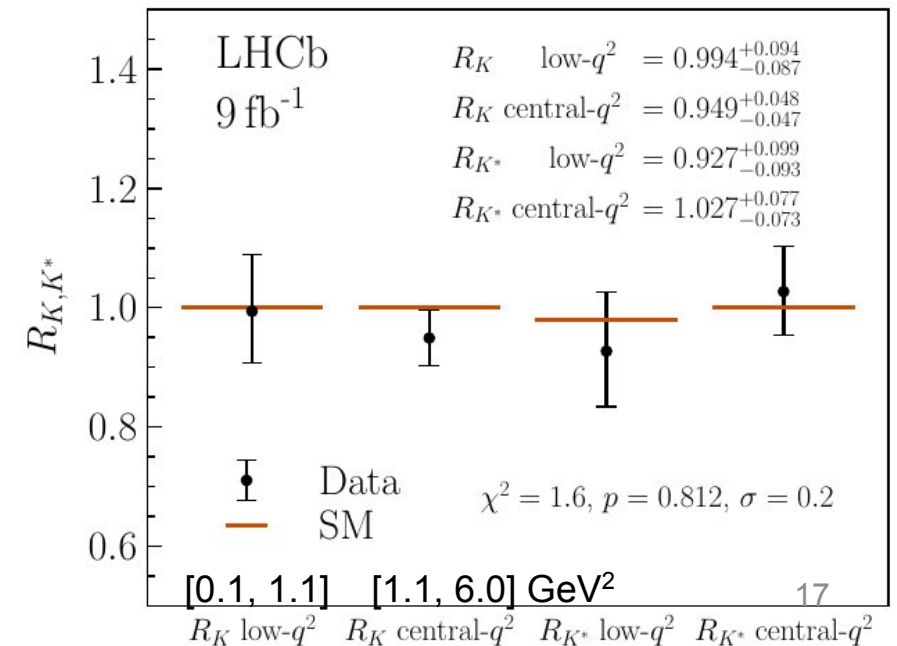
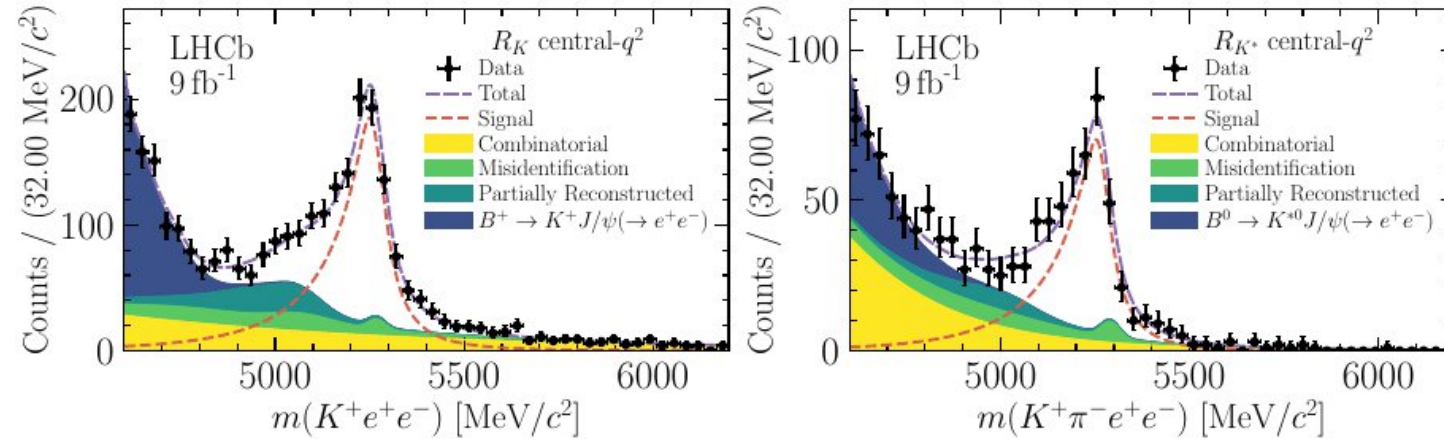
$R(K^{(*)})$ results @ LHCb

- Most precise LFU test in $b \rightarrow s \ell^+ \ell^-$ decays
- Supersedes previous results



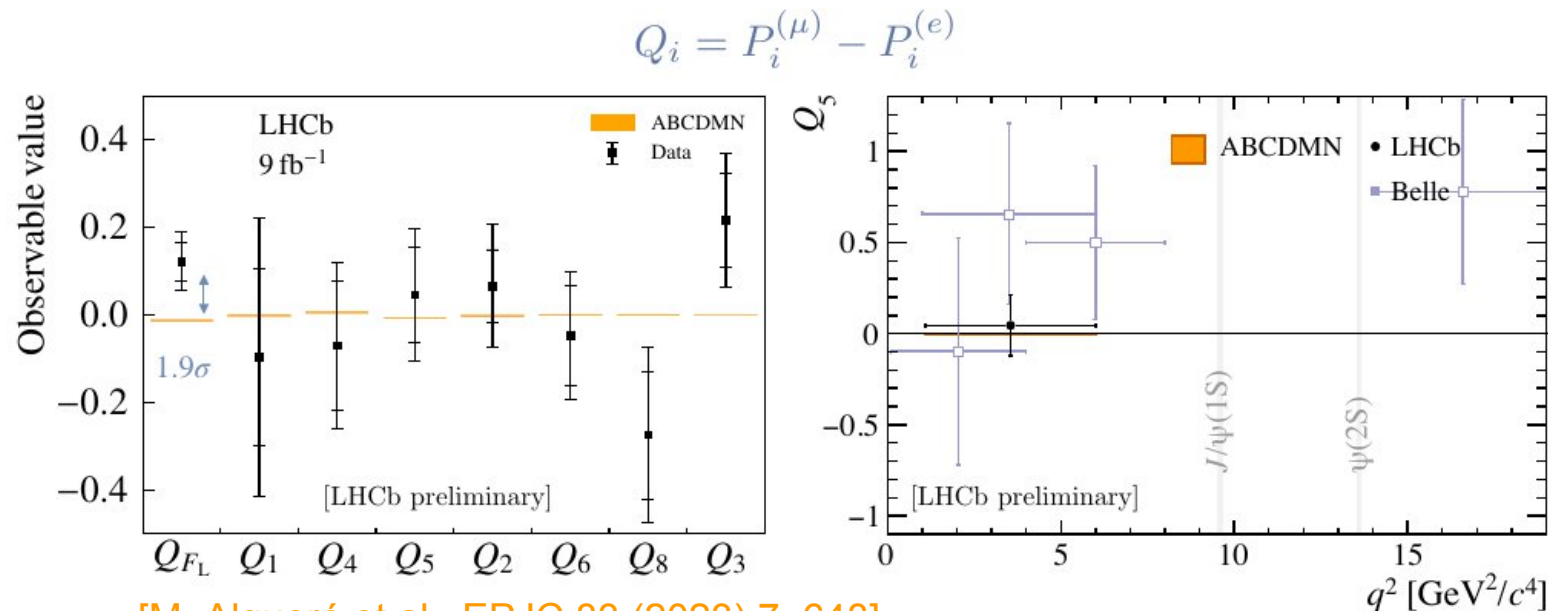
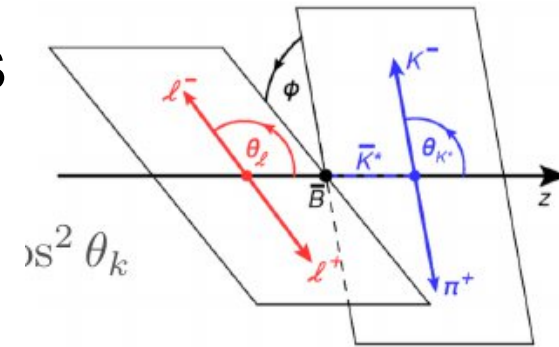
$R(K^{(*)})$ results @ LHCb

- Most precise LFU test in $b \rightarrow s\ell^+\ell^-$ decays
- Supersedes previous results
- Improved systematics of mis-IDed hadronic background in electron mode
- **Now compatible with SM predictions at 0.2σ level**
- Uncertainties statistically dominated



LFU in angular analysis of $B^0 \rightarrow K^{*0} l^+ l^-$

- First angular analysis of $B^0 \rightarrow K^{*0} e^+ e^-$ at central q^2 region
- Full Runs1-2 9fb^{-1} analysis with 5D unbinned weighted fit
- LFU quantities derived by comparing to muon results [PRL 132 (2024) 131801]



Results are all consistent with LFU conservation hypothesis

LFU in $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow l^+ l^-)$

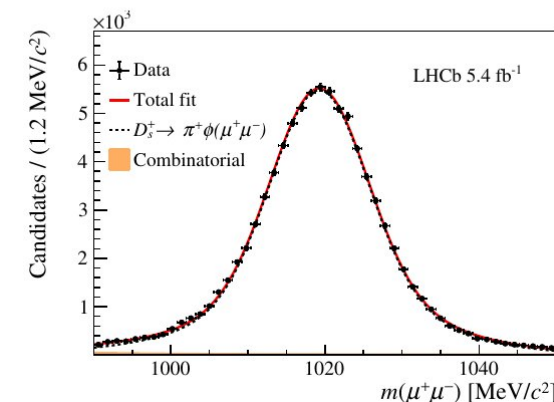
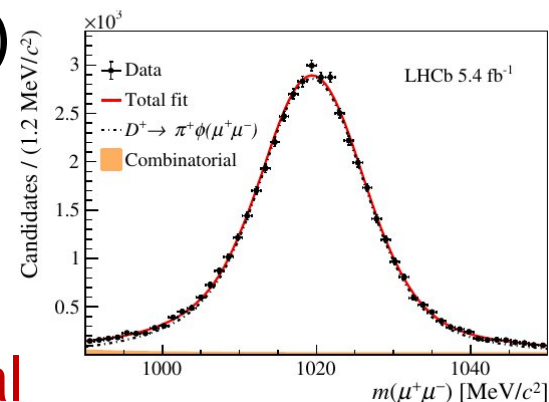
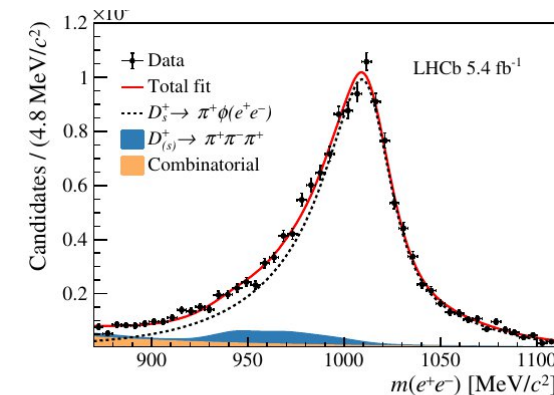
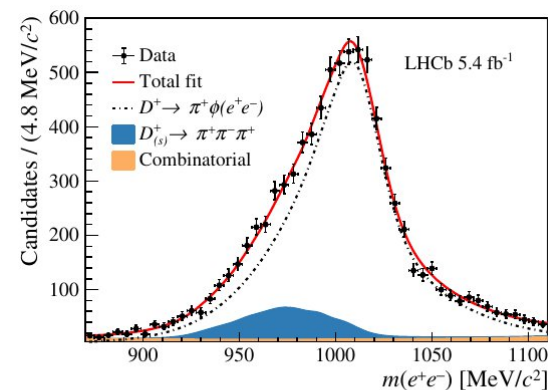
- Based on 2016-2018 data (5.4 fb^{-1})

- The BF ratio $R_{\phi\pi} = \frac{B(\phi \rightarrow \mu^+ \mu^-)}{B(\phi \rightarrow e^+ e^-)}$ is measured in $D_{(s)}^+$ decays, and normalized wrt $B^+ \rightarrow K^+ J/\psi (\rightarrow l^+ l^-)$

- $R_{\phi\pi} = 1.022 \pm 0.012 \text{ (stat)} \pm 0.048 \text{ (syst)}$

- Consistent with LFU within 1σ

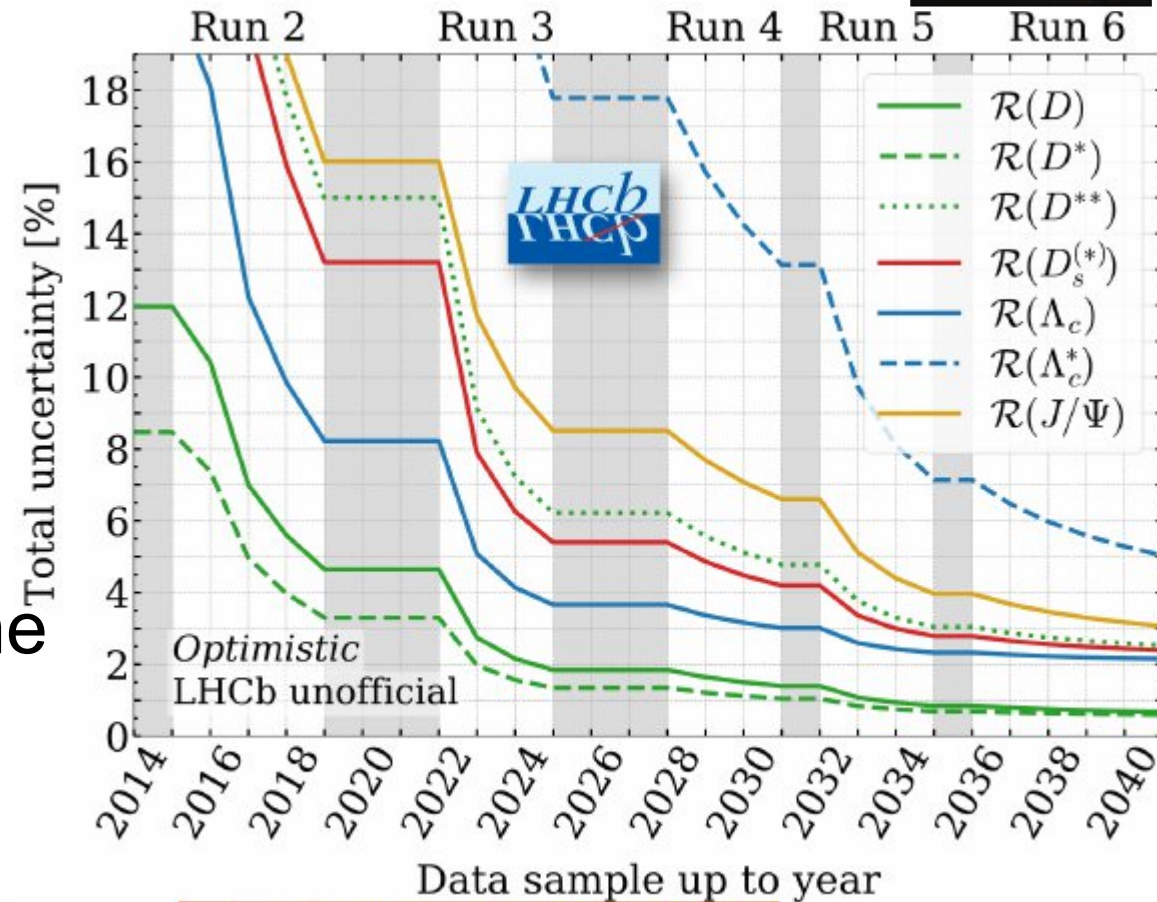
- Crucial for understanding experimental features of low-mass dileptons in the LHCb environment



Decay mode	Yield
$D^+ \rightarrow \pi^+ \phi (\rightarrow e^+ e^-)$	7460 ± 140
$D^+ \rightarrow \pi^+ \phi (\rightarrow \mu^+ \mu^-)$	43512 ± 220
$D_s^+ \rightarrow \pi^+ \phi (\rightarrow e^+ e^-)$	16740 ± 210
$D_s^+ \rightarrow \pi^+ \phi (\rightarrow \mu^+ \mu^-)$	87022 ± 300

Prospects on $b \rightarrow c\ell\nu$ LFU @ LHCb

- Broad program of related measurements underway
- With larger data samples, more efforts are needed to have better control of systematics
- Fast MC production with small event size is certainly a move in the right direction
- High trigger efficiency essential at high pileup



Rev. Mod. Phys. 94, 015003 (2022)

Summary & outlook

- LFU tests in a large range of decay channels have been performed recently by LHCb, mostly in the beauty sector
- With improved precision or being first measurements, all results show good agreement with LFU
- $R(D^{(*)})$ results still show tension with SM
- LHCb is capable of exploring LFU in charm SL decays, although not as competitive as e^+e^- experiments
- Synergy of different experiments important to improve precision
- Cooperation with theorists essential for more stringent LFU tests

Backup Slides